

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 132967860	Statement Date: 03/22/2021 AMENDED Document ID: 48U8 W40 201R	2020
	Jogendra Somarouthu 942 Campbell Street Bldg 9 South Plainfield, NJ 07080 RECIPIENT'S TIN: XXX-XX-7068		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	78.30		2- Royalties	0.00	
1b- Qualified dividends	78.30		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00				
3- Nondividend distributions	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
4- Federal income tax withheld	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
5- Section 199A dividends	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
8- Foreign country or US possession:	0.00		7- Foreign tax paid:	0.00	
9- Cash liquidation distributions	0.00		11- Aggregate profit or (loss) on contracts	0.00	
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
12- Specified private activity bond interest dividends (AMT)	0.00				

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	3,227,843.42	3,430,889.00	0.00	227,293.79	24,248.21
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	3,227,843.42	3,430,889.00	0.00	227,293.79	24,248.21
Long	D (basis reported to the IRS)	3,368.63	4,379.00	0.00	0.00	-1,010.37
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	3,368.63	4,379.00	0.00	0.00	-1,010.37
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	3,231,212.05	3,435,268.00	0.00	227,293.79	23,237.84

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 132967860

Summary Information

2020

(continued)

03/22/2021 AMENDED

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.95
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

03/22/2021 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
17 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
151.000		52.68	12/01/20	51.34	...	1.34	1 of 17 - Sale ²⁵
200.000		69.77	12/01/20	68.00	...	1.77	2 of 17 - Sale ²⁵
400.000		139.55	12/01/20	136.00	...	3.55	3 of 17 - Sale ²⁵
400.000		139.55	12/01/20	136.00	...	3.55	4 of 17 - Sale ²⁵
500.000		174.44	12/01/20	170.00	...	4.44	5 of 17 - Sale ²⁵
565.000		197.11	12/01/20	192.10	...	5.01	6 of 17 - Sale ²⁵
571.000		199.21	12/01/20	194.14	...	5.07	7 of 17 - Sale ²⁵
600.000		209.32	12/01/20	204.00	...	5.32	8 of 17 - Sale ²⁵
1,000.000		348.87	12/01/20	340.00	...	8.87	9 of 17 - Sale ²⁵
1,305.000		455.29	12/01/20	443.70	...	11.59	10 of 17 - Sale ²⁵
1,328.000		463.30	12/01/20	451.52	...	11.78	11 of 17 - Sale ²⁵
1,500.000		523.31	12/01/20	510.00	...	13.31	12 of 17 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol: (cont'd)							
	2,000.000	697.74	12/01/20	680.00	...	17.74	13 of 17 - Sale ²⁵
	3,000.000	1,046.63	12/01/20	1,020.00	...	26.63	14 of 17 - Sale ²⁵
	5,900.000	2,058.35	12/01/20	2,006.00	...	52.35	15 of 17 - Sale ²⁵
	9,785.000	3,413.72	12/01/20	3,326.90	...	86.82	16 of 17 - Sale ²⁵
	10,800.000	3,767.82	12/01/20	3,672.00	...	95.82	17 of 17 - Sale ²⁵
12/01/20	40,005.000	13,956.66	Various	13,601.70	...	354.96	Total of 17 transactions
	14 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	24,300.000	7,097.41	12/02/20	7,710.39	...	-612.98	1 of 14 - Sale ²⁵
	3,000.000	876.52	12/02/20	951.90	...	-75.38	2 of 14 - Sale ²⁵
	2,100.000	615.03	12/02/20	666.33	...	-51.30	3 of 14 - Sale ²⁵
	2,000.000	584.34	12/02/20	634.60	...	-50.26	4 of 14 - Sale ²⁵
	2,000.000	584.34	12/02/20	634.60	...	-50.26	5 of 14 - Sale ²⁵
	2,000.000	585.74	12/02/20	634.60	...	-48.86	6 of 14 - Sale ²⁵
	1,500.000	438.12	12/02/20	475.95	...	-37.83	7 of 14 - Sale ²⁵
	1,500.000	438.12	12/02/20	475.95	...	-37.83	8 of 14 - Sale ²⁵
	1,500.000	438.12	12/02/20	475.95	...	-37.83	9 of 14 - Sale ²⁵
	1,500.000	438.12	12/02/20	475.95	...	-37.83	10 of 14 - Sale ²⁵
	1,500.000	438.27	12/02/20	475.95	...	-37.68	11 of 14 - Sale ²⁵
	500.000	146.04	12/02/20	158.65	...	-12.61	12 of 14 - Sale ²⁵
	500.000	146.44	12/02/20	158.65	...	-12.21	13 of 14 - Sale ²⁵
	100.000	29.21	12/02/20	31.73	...	-2.52	14 of 14 - Sale ²⁵
12/09/20	44,000.000	12,855.82	Various	13,961.20	...	-1,105.38	Total of 14 transactions
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	8,000.000	2,342.99	12/02/20	2,538.40	12.21 W	-183.20	1 of 2 - Sale ²⁵
	2,000.000	585.74	12/02/20	634.60	48.86 W	0.00	2 of 2 - Sale ²⁵
12/09/20	10,000.000	2,928.73	Various	3,173.00	61.07 W	-183.20	Total of 2 transactions
	4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	100.000	30.99	12/23/20	48.94	17.95 W	0.00	1 of 4 - Sale ²⁵
	100.000	30.99	12/23/20	48.94	17.95 W	0.00	2 of 4 - Sale ²⁵
	500.000	154.94	12/23/20	244.71	89.77 W	0.00	3 of 4 - Sale ²⁵
	1,800.000	557.77	12/23/20	880.98	323.21 W	0.00	4 of 4 - Sale ²⁵
12/29/20	2,500.000	774.69	Various	1,223.57	448.88 W	0.00	Total of 4 transactions
	Security total:	30,515.90		31,959.47	509.95 W	-933.62	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol:							
<i>7 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	1,834.000	520.62	11/27/20	527.46	6.84 W	0.00	1 of 7 - Sale ²⁵
	600.000	170.33	11/27/20	172.56	2.23 W	0.00	2 of 7 - Sale ²⁵
	766.000	217.45	11/27/20	220.30	2.85 W	0.00	3 of 7 - Sale ²⁵
	2,000.000	567.74	11/27/20	575.20	7.46 W	0.00	4 of 7 - Sale ²⁵
	400.000	113.55	11/27/20	115.04	1.49 W	0.00	5 of 7 - Sale ²⁵
	600.000	170.33	11/27/20	172.56	2.23 W	0.00	6 of 7 - Sale ²⁵
11/30/20	11,791.000	3,323.58	11/27/20	3,391.09	67.51 W	0.00	7 of 7 - Sale ²⁵
	17,991.000	5,083.60	Various	5,174.21	90.61 W	0.00	Total of 7 transactions
<i>12 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	7,790.000	2,881.31	12/23/20	2,887.95	...	-6.64	1 of 12 - Sale ²⁵
	951.000	351.75	12/23/20	352.56	...	-0.81	2 of 12 - Sale ²⁵
	150.000	55.48	12/23/20	55.61	...	-0.13	3 of 12 - Sale ²⁵
	50.000	18.54	12/23/20	18.54	...	0.00	4 of 12 - Sale ²⁵
	400.000	147.95	12/23/20	147.49	...	0.46	5 of 12 - Sale ²⁵
	600.000	221.92	12/23/20	221.23	...	0.69	6 of 12 - Sale ²⁵
	600.000	221.92	12/23/20	221.23	...	0.69	7 of 12 - Sale ²⁵
	766.000	283.32	12/23/20	282.44	...	0.88	8 of 12 - Sale ²⁵
	1,834.000	678.35	12/23/20	676.25	...	2.10	9 of 12 - Sale ²⁵
	2,000.000	739.75	12/23/20	737.46	...	2.29	10 of 12 - Sale ²⁵
	916.000	338.80	12/23/20	335.13	...	3.67	11 of 12 - Sale ²⁵
	1,094.000	404.64	12/23/20	400.24	...	4.40	12 of 12 - Sale ²⁵
12/24/20	17,151.000	6,343.73	Various	6,336.13	...	7.60	Total of 12 transactions
<i>2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	1,756.000	649.49	12/23/20	651.00	0.79 W	-0.72	1 of 2 - Sale ²⁵
	1,094.000	404.64	12/23/20	405.57	0.93 W	0.00	2 of 2 - Sale ²⁵
12/24/20	2,850.000	1,054.13	Various	1,056.57	1.72 W	-0.72	Total of 2 transactions
	Security total:	12,481.46		12,566.91	92.33 W	6.88	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARTELO BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 04301G201 / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1,181.000	1,133.83	09/10/20	1,157.38	...	-23.55	1 of 2 - Sale ²⁵
	1,675.000	1,658.01	09/10/20	1,641.50	...	16.51	2 of 2 - Sale ²⁵
09/11/20	2,856.000	2,791.84	Various	2,798.88	...	-7.04	Total of 2 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
12 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	26.000	252.72	11/06/20	237.90	...	14.82	1 of 12 - Sale ²⁵
	66.000	641.50	11/06/20	603.90	...	37.60	2 of 12 - Sale ²⁵
	71.000	690.09	11/06/20	649.65	...	40.44	3 of 12 - Sale ²⁵
	138.000	1,341.31	11/06/20	1,262.70	...	78.61	4 of 12 - Sale ²⁵
	150.000	1,457.95	11/06/20	1,372.50	...	85.45	5 of 12 - Sale ²⁵
	234.000	2,274.39	11/06/20	2,141.10	...	133.29	6 of 12 - Sale ²⁵
	269.000	2,614.59	11/06/20	2,461.35	...	153.24	7 of 12 - Sale ²⁵
	300.000	2,915.90	11/06/20	2,745.00	...	170.90	8 of 12 - Sale ²⁵
	300.000	2,915.90	11/06/20	2,745.00	...	170.90	9 of 12 - Sale ²⁵
	396.000	3,848.98	11/06/20	3,623.40	...	225.58	10 of 12 - Sale ²⁵
	450.000	4,373.85	11/06/20	4,117.50	...	256.35	11 of 12 - Sale ²⁵
	600.000	5,831.80	11/06/20	5,490.00	...	341.80	12 of 12 - Sale ²⁵
11/06/20	3,000.000	29,158.98	Various	27,450.00	...	1,708.98	Total of 12 transactions
15 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	100.000	1,210.96	11/06/20	1,184.00	...	26.96	1 of 15 - Sale ²⁵
	100.000	1,210.96	11/06/20	1,184.00	...	26.96	2 of 15 - Sale ²⁵
	100.000	1,210.96	11/06/20	1,184.00	...	26.96	3 of 15 - Sale ²⁵
	100.000	1,210.96	11/06/20	1,184.00	...	26.96	4 of 15 - Sale ²⁵
	100.000	1,212.96	11/06/20	1,184.00	...	28.96	5 of 15 - Sale ²⁵
	100.000	1,212.96	11/06/20	1,184.00	...	28.96	6 of 15 - Sale ²⁵
	158.000	1,913.32	11/06/20	1,870.72	...	42.60	7 of 15 - Sale ²⁵
	158.000	1,916.48	11/06/20	1,870.72	...	45.76	8 of 15 - Sale ²⁵
	200.000	2,421.92	11/06/20	2,368.00	...	53.92	9 of 15 - Sale ²⁵
	200.000	2,425.92	11/06/20	2,368.00	...	57.92	10 of 15 - Sale ²⁵
	200.000	2,425.92	11/06/20	2,368.00	...	57.92	11 of 15 - Sale ²⁵
	342.000	4,148.32	11/06/20	4,049.28	...	99.04	12 of 15 - Sale ²⁵
	500.000	6,064.81	11/06/20	5,920.00	...	144.81	13 of 15 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
	796.000	10,029.28	11/09/20	9,950.00	...	79.28	14 of 15 - Sale ²⁵
	1,454.000	18,319.82	11/09/20	18,175.00	...	144.82	15 of 15 - Sale ²⁵
11/09/20	4,608.000	56,935.55	Various	56,043.72	...	891.83	Total of 15 transactions
	2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	200.000	2,205.93	11/09/20	2,380.00	174.07 W	0.00	1 of 2 - Sale ²⁵
	2,059.000	22,689.42	11/09/20	24,502.10	1,812.68 W	0.00	2 of 2 - Sale ²⁵
11/09/20	2,259.000	24,895.35	Various	26,882.10	1,986.75 W	0.00	Total of 2 transactions
	12 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	500.000	4,814.83	11/09/20	6,190.18	...	-1,375.35	1 of 12 - Sale ²⁵
	500.000	4,814.83	11/09/20	6,190.18	...	-1,375.35	2 of 12 - Sale ²⁵
	445.000	4,285.20	11/09/20	5,509.26	...	-1,224.06	3 of 12 - Sale ²⁵
	285.000	2,744.45	11/09/20	3,528.41	...	-783.96	4 of 12 - Sale ²⁵
	90.000	866.67	11/09/20	1,281.66	...	-414.99	5 of 12 - Sale ²⁵
	80.000	770.37	11/09/20	990.43	...	-220.06	6 of 12 - Sale ²⁵
	70.000	674.07	11/09/20	866.63	...	-192.56	7 of 12 - Sale ²⁵
	70.000	674.08	11/09/20	866.63	...	-192.55	8 of 12 - Sale ²⁵
	50.000	481.48	11/09/20	619.02	...	-137.54	9 of 12 - Sale ²⁵
	39.000	375.57	11/09/20	482.83	...	-107.26	10 of 12 - Sale ²⁵
	15.000	144.45	11/09/20	185.71	...	-41.26	11 of 12 - Sale ²⁵
	5.000	48.15	11/09/20	61.90	...	-13.75	12 of 12 - Sale ²⁵
11/10/20	2,149.000	20,694.15	Various	26,772.84	...	-6,078.69	Total of 12 transactions
11/10/20	200.000	1,925.93	11/09/20	2,474.07	246.66 W	-301.48	Sale ²⁵
	Security total:	133,609.96		139,622.73	2,233.41 W	-3,779.36	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
	8 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	100.000	85.99	12/23/20	73.00	...	12.99	1 of 8 - Sale ²⁵
	100.000	85.99	12/23/20	73.00	...	12.99	2 of 8 - Sale ²⁵
	441.000	379.21	12/23/20	321.93	...	57.28	3 of 8 - Sale ²⁵
	500.000	429.94	12/23/20	365.00	...	64.94	4 of 8 - Sale ²⁵
	858.000	737.76	12/23/20	626.34	...	111.42	5 of 8 - Sale ²⁵
	1,400.000	1,203.80	12/23/20	1,022.00	...	181.80	6 of 8 - Sale ²⁵
	1,800.000	1,547.75	12/23/20	1,314.00	...	233.75	7 of 8 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	3,000.000	2,579.58	12/23/20	2,190.00	...	389.58	8 of 8 - Sale ²⁵
12/28/20	8,199.000	7,050.02	Various	5,985.27	...	1,064.75	Total of 8 transactions
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
46 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	10.000	4.72	12/28/20	4.20	...	0.52	1 of 46 - Sale ²⁵
	16.000	7.55	12/28/20	6.72	...	0.83	2 of 46 - Sale ²⁵
	25.000	11.80	12/28/20	10.50	...	1.30	3 of 46 - Sale ²⁵
	31.000	14.66	12/28/20	13.02	...	1.64	4 of 46 - Sale ²⁵
	37.000	17.45	12/28/20	15.54	...	1.91	5 of 46 - Sale ²⁵
	37.000	17.45	12/28/20	15.54	...	1.91	6 of 46 - Sale ²⁵
	38.000	17.92	12/28/20	15.96	...	1.96	7 of 46 - Sale ²⁵
	38.000	17.92	12/28/20	15.96	...	1.96	8 of 46 - Sale ²⁵
	38.000	17.92	12/28/20	15.96	...	1.96	9 of 46 - Sale ²⁵
	62.000	29.24	12/28/20	26.04	...	3.20	10 of 46 - Sale ²⁵
	62.000	29.25	12/28/20	26.04	...	3.21	11 of 46 - Sale ²⁵
	63.000	29.72	12/28/20	26.46	...	3.26	12 of 46 - Sale ²⁵
	63.000	29.72	12/28/20	26.46	...	3.26	13 of 46 - Sale ²⁵
	63.000	29.72	12/28/20	26.46	...	3.26	14 of 46 - Sale ²⁵
	69.000	32.64	12/28/20	28.98	...	3.66	15 of 46 - Sale ²⁵
	84.000	39.62	12/28/20	35.28	...	4.34	16 of 46 - Sale ²⁵
	100.000	47.17	12/28/20	42.00	...	5.17	17 of 46 - Sale ²⁵
	100.000	47.17	12/28/20	42.00	...	5.17	18 of 46 - Sale ²⁵
	100.000	47.17	12/28/20	42.00	...	5.17	19 of 46 - Sale ²⁵
	100.000	47.17	12/28/20	42.00	...	5.17	20 of 46 - Sale ²⁵
	100.000	47.19	12/28/20	42.00	...	5.19	21 of 46 - Sale ²⁵
	106.000	50.13	12/28/20	44.52	...	5.61	22 of 46 - Sale ²⁵
	125.000	58.97	12/28/20	52.50	...	6.47	23 of 46 - Sale ²⁵
	150.000	70.75	12/28/20	63.00	...	7.75	24 of 46 - Sale ²⁵
	150.000	70.75	12/28/20	63.00	...	7.75	25 of 46 - Sale ²⁵
	200.000	94.34	12/28/20	84.00	...	10.34	26 of 46 - Sale ²⁵
	250.000	117.92	12/28/20	105.00	...	12.92	27 of 46 - Sale ²⁵
	262.000	123.58	12/28/20	110.04	...	13.54	28 of 46 - Sale ²⁵
	269.000	127.23	12/28/20	112.98	...	14.25	29 of 46 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol: (cont'd)							
	300.000	141.50	12/28/20	126.00	...	15.50	30 of 46 - Sale ²⁵
	300.000	141.50	12/28/20	126.00	...	15.50	31 of 46 - Sale ²⁵
	300.000	141.56	12/28/20	126.00	...	15.56	32 of 46 - Sale ²⁵
	400.000	188.67	12/28/20	168.00	...	20.67	33 of 46 - Sale ²⁵
	400.000	188.67	12/28/20	168.00	...	20.67	34 of 46 - Sale ²⁵
	400.000	188.67	12/28/20	168.00	...	20.67	35 of 46 - Sale ²⁵
	400.000	188.67	12/28/20	168.00	...	20.67	36 of 46 - Sale ²⁵
	400.000	189.19	12/28/20	168.00	...	21.19	37 of 46 - Sale ²⁵
	427.000	201.41	12/28/20	179.34	...	22.07	38 of 46 - Sale ²⁵
	600.000	283.73	12/28/20	252.00	...	31.73	39 of 46 - Sale ²⁵
	631.000	298.45	12/28/20	265.02	...	33.43	40 of 46 - Sale ²⁵
	700.000	330.32	12/28/20	294.00	...	36.32	41 of 46 - Sale ²⁵
	700.000	330.32	12/28/20	294.00	...	36.32	42 of 46 - Sale ²⁵
	700.000	330.32	12/28/20	294.00	...	36.32	43 of 46 - Sale ²⁵
	800.000	377.82	12/28/20	336.00	...	41.82	44 of 46 - Sale ²⁵
	800.000	378.38	12/28/20	336.00	...	42.38	45 of 46 - Sale ²⁵
	1,000.000	471.88	12/28/20	420.00	...	51.88	46 of 46 - Sale ²⁵
12/28/20	12,006.000	5,667.90	Various	5,042.52	...	625.38	Total of 46 transactions
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
12/28/20	100.000	5,399.87	12/24/20	4,870.00	...	529.87	Sale ²⁵
CLEVELAND BIOLABS, INC. COMMON STOCK / CUSIP: 185860202 / Symbol:							
7 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	2,000.000	7,219.60	12/28/20	8,300.00	...	-1,080.40	1 of 7 - Sale ²⁵
	1,500.000	5,414.70	12/28/20	6,225.00	...	-810.30	2 of 7 - Sale ²⁵
	1,346.000	4,858.79	12/28/20	5,585.90	...	-727.11	3 of 7 - Sale ²⁵
	400.000	1,443.92	12/28/20	1,660.00	...	-216.08	4 of 7 - Sale ²⁵
	100.000	360.98	12/28/20	415.00	...	-54.02	5 of 7 - Sale ²⁵
	53.000	191.32	12/28/20	219.95	...	-28.63	6 of 7 - Sale ²⁵
	1.000	3.61	12/28/20	4.15	...	-0.54	7 of 7 - Sale ²⁵
12/29/20	5,400.000	19,492.92	Various	22,410.00	...	-2,917.08	Total of 7 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	25.000	4,400.44	12/11/20	4,397.50	...	2.94	1 of 2 - Sale ²⁵
	75.000	13,201.31	12/11/20	13,192.50	...	8.81	2 of 2 - Sale ²⁵
12/11/20	100.000	17,601.75	Various	17,590.00	...	11.75	Total of 2 transactions
FIREEYE, INC. COMMON STOCK / CUSIP: 31816Q101 / Symbol:							
12/21/20	100.000	2,007.94	12/21/20	2,000.00	...	7.94	Sale ²⁵
FISKER INC. / CUSIP: 33813J106 / Symbol:							
13 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	3.000	34.80	11/02/20	34.44	...	0.36	1 of 13 - Sale ²⁵
	4.000	46.40	11/02/20	45.92	...	0.48	2 of 13 - Sale ²⁵
	5.000	58.02	11/02/20	57.40	...	0.62	3 of 13 - Sale ²⁵
	12.000	139.24	11/02/20	137.76	...	1.48	4 of 13 - Sale ²⁵
	20.000	232.00	11/02/20	229.60	...	2.40	5 of 13 - Sale ²⁵
	28.000	324.79	11/02/20	321.44	...	3.35	6 of 13 - Sale ²⁵
	50.000	579.98	11/02/20	574.00	...	5.98	7 of 13 - Sale ²⁵
	79.000	916.36	11/02/20	906.92	...	9.44	8 of 13 - Sale ²⁵
	88.000	1,020.77	11/02/20	1,010.24	...	10.53	9 of 13 - Sale ²⁵
	90.000	1,043.96	11/02/20	1,033.20	...	10.76	10 of 13 - Sale ²⁵
	100.000	1,159.96	11/02/20	1,148.00	...	11.96	11 of 13 - Sale ²⁵
	224.000	2,598.31	11/02/20	2,571.52	...	26.79	12 of 13 - Sale ²⁵
	372.000	4,315.05	11/02/20	4,270.56	...	44.49	13 of 13 - Sale ²⁵
11/02/20	1,075.000	12,469.64	Various	12,341.00	...	128.64	Total of 13 transactions
6 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	100.000	1,111.97	11/02/20	1,155.00	43.03 W	0.00	1 of 6 - Sale ²⁵
	30.000	333.59	11/02/20	346.50	12.91 W	0.00	2 of 6 - Sale ²⁵
	54.000	600.47	11/02/20	623.70	23.23 W	0.00	3 of 6 - Sale ²⁵
	500.000	5,559.87	11/02/20	5,775.00	215.13 W	0.00	4 of 6 - Sale ²⁵
	780.000	8,673.39	11/02/20	9,009.00	335.61 W	0.00	5 of 6 - Sale ²⁵
	813.000	9,040.35	11/02/20	9,390.15	349.80 W	0.00	6 of 6 - Sale ²⁵
11/02/20	2,277.000	25,319.64	Various	26,299.35	979.71 W	0.00	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FISKER INC. / CUSIP: 33813J106 / Symbol: (cont'd)							
<i>10 transactions for 11/12/20. Total proceeds and cost reported to the IRS.</i>							
	26.000	383.49	11/10/20	372.59	...	10.90	1 of 10 - Sale ²⁵
	30.000	442.49	11/10/20	429.91	...	12.58	2 of 10 - Sale ²⁵
	54.000	796.48	11/10/20	773.83	...	22.65	3 of 10 - Sale ²⁵
	100.000	1,474.96	11/10/20	1,433.03	...	41.93	4 of 10 - Sale ²⁵
	116.000	1,710.95	11/10/20	1,662.31	...	48.64	5 of 10 - Sale ²⁵
	216.000	3,185.90	11/10/20	3,095.34	...	90.56	6 of 10 - Sale ²⁵
	284.000	4,188.87	11/10/20	4,069.79	...	119.08	7 of 10 - Sale ²⁵
	290.000	4,277.37	11/10/20	4,155.78	...	121.59	8 of 10 - Sale ²⁵
	374.000	5,516.33	11/10/20	5,359.52	...	156.81	9 of 10 - Sale ²⁵
	400.000	5,899.82	11/10/20	5,732.10	...	167.72	10 of 10 - Sale ²⁵
11/12/20	1,890.000	27,876.66	Various	27,084.20	...	792.46	Total of 10 transactions
<i>3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	155.25	11/13/20	152.91	...	2.34	1 of 3 - Sale ²⁵
	147.000	2,535.68	11/13/20	2,522.64	...	13.04	2 of 3 - Sale ²⁵
	1,050.000	18,111.97	11/13/20	17,839.50	...	272.47	3 of 3 - Sale ²⁵
11/13/20	1,206.000	20,802.90	Various	20,515.05	...	287.85	Total of 3 transactions
11/13/20	387.000	6,675.54	11/13/20	6,741.64	66.10 W	0.00	Sale ²⁵
<i>2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	240.000	4,103.88	11/17/20	4,000.99	...	102.89	1 of 2 - Sale ²⁵
	1,300.000	22,229.35	11/17/20	21,450.00	...	779.35	2 of 2 - Sale ²⁵
11/18/20	1,540.000	26,333.23	Various	25,450.99	...	882.24	Total of 2 transactions
<i>3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	608.38	11/25/20	606.00	...	2.38	1 of 3 - Sale ²⁵
	200.000	4,055.88	11/25/20	4,040.00	...	15.88	2 of 3 - Sale ²⁵
	770.000	15,615.16	11/25/20	15,554.00	...	61.16	3 of 3 - Sale ²⁵
11/25/20	1,000.000	20,279.42	Various	20,200.00	...	79.42	Total of 3 transactions
	Security total:	139,757.03		138,632.23		1,045.81 W	2,170.61

FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:

4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.

	5.000	10.30	10/02/20	10.10	...	0.20	1 of 4 - Sale ²⁵
	7.000	14.42	10/02/20	14.14	...	0.28	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	899.000	1,851.78	10/02/20	1,815.62	...	36.16	3 of 4 - Sale ²⁵
	3,976.000	8,189.90	10/02/20	8,029.93	...	159.97	4 of 4 - Sale ²⁵
10/02/20	4,887.000	10,066.40	Various	9,869.79	...	196.61	Total of 4 transactions
	41 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1,200.000	2,052.17	10/05/20	2,472.00	419.83 W	0.00	1 of 41 - Sale ²⁵
	1,386.000	2,370.26	10/05/20	2,855.16	484.90 W	0.00	2 of 41 - Sale ²⁵
	1,200.000	2,052.17	10/05/20	2,472.00	419.83 W	0.00	3 of 41 - Sale ²⁵
	1,200.000	2,052.18	10/05/20	2,472.00	419.82 W	0.00	4 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	214.49	33.51 W	0.00	5 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	214.49	33.51 W	0.00	6 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	214.49	33.51 W	0.00	7 of 41 - Sale ²⁵
	100.000	180.99	10/05/20	214.48	33.49 W	0.00	8 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	214.49	33.51 W	0.00	9 of 41 - Sale ²⁵
	600.000	1,085.91	10/05/20	1,289.85	203.94 W	0.00	10 of 41 - Sale ²⁵
	100.000	180.99	10/05/20	214.97	33.98 W	0.00	11 of 41 - Sale ²⁵
	300.000	542.95	10/05/20	644.93	101.98 W	0.00	12 of 41 - Sale ²⁵
	200.000	361.97	10/05/20	429.95	67.98 W	0.00	13 of 41 - Sale ²⁵
	500.000	904.92	10/05/20	1,074.88	169.96 W	0.00	14 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	214.97	33.99 W	0.00	15 of 41 - Sale ²⁵
	200.000	361.97	10/05/20	429.95	67.98 W	0.00	16 of 41 - Sale ²⁵
	86.000	155.65	10/05/20	184.88	29.23 W	0.00	17 of 41 - Sale ²⁵
	514.000	930.25	10/05/20	1,104.98	174.73 W	0.00	18 of 41 - Sale ²⁵
	200.000	361.97	10/05/20	429.95	67.98 W	0.00	19 of 41 - Sale ²⁵
	400.000	723.93	10/05/20	859.90	135.97 W	0.00	20 of 41 - Sale ²⁵
	86.000	155.65	10/05/20	184.88	29.23 W	0.00	21 of 41 - Sale ²⁵
	214.000	387.30	10/05/20	460.05	72.75 W	0.00	22 of 41 - Sale ²⁵
	45.000	81.44	10/05/20	96.74	15.30 W	0.00	23 of 41 - Sale ²⁵
	24.000	43.44	10/05/20	51.60	8.16 W	0.00	24 of 41 - Sale ²⁵
	26.000	47.06	10/05/20	55.89	8.83 W	0.00	25 of 41 - Sale ²⁵
	20.000	36.20	10/05/20	43.00	6.80 W	0.00	26 of 41 - Sale ²⁵
	5.000	9.05	10/05/20	10.75	1.70 W	0.00	27 of 41 - Sale ²⁵
	780.000	1,411.66	10/05/20	1,676.80	265.14 W	0.00	28 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	213.50	32.52 W	0.00	29 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	213.50	32.52 W	0.00	30 of 41 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	59.000	106.78	10/05/20	125.96	19.18 W	0.00	31 of 41 - Sale ²⁵
	86.000	155.65	10/05/20	184.88	29.23 W	0.00	32 of 41 - Sale ²⁵
	41.000	74.20	10/05/20	87.54	13.34 W	0.00	33 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	213.48	32.50 W	0.00	34 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	213.50	32.52 W	0.00	35 of 41 - Sale ²⁵
	524.000	948.36	10/05/20	1,121.26	172.90 W	0.00	36 of 41 - Sale ²⁵
	100.000	180.98	10/05/20	213.97	32.99 W	0.00	37 of 41 - Sale ²⁵
	135.000	244.32	10/05/20	288.88	44.56 W	0.00	38 of 41 - Sale ²⁵
	76.000	137.55	10/05/20	162.62	25.07 W	0.00	39 of 41 - Sale ²⁵
	14.000	25.34	10/05/20	29.96	4.62 W	0.00	40 of 41 - Sale ²⁵
	165.000	298.63	10/05/20	353.07	54.44 W	0.00	41 of 41 - Sale ²⁵
10/05/20	11,486.000	20,290.71	Various	24,224.64	3,933.93 W	0.00	Total of 41 transactions
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	468.000	945.28	10/05/20	884.52	...	60.76	1 of 2 - Sale ²⁵
	1,746.000	3,526.64	10/05/20	3,299.94	...	226.70	2 of 2 - Sale ²⁵
10/07/20	2,214.000	4,471.92	Various	4,184.46	...	287.46	Total of 2 transactions
	34 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	40.000	80.79	10/05/20	89.20	8.41 W	0.00	1 of 34 - Sale ²⁵
	101.000	204.00	10/05/20	225.22	21.22 W	0.00	2 of 34 - Sale ²⁵
	300.000	605.95	10/05/20	668.97	63.02 W	0.00	3 of 34 - Sale ²⁵
	45.000	90.89	10/05/20	100.34	9.45 W	0.00	4 of 34 - Sale ²⁵
	55.000	111.09	10/05/20	122.65	11.56 W	0.00	5 of 34 - Sale ²⁵
	5.000	10.10	10/05/20	11.15	1.05 W	0.00	6 of 34 - Sale ²⁵
	20.000	40.40	10/05/20	44.60	4.20 W	0.00	7 of 34 - Sale ²⁵
	24.000	48.48	10/05/20	53.52	5.04 W	0.00	8 of 34 - Sale ²⁵
	26.000	52.52	10/05/20	57.97	5.45 W	0.00	9 of 34 - Sale ²⁵
	41.000	82.81	10/05/20	90.83	8.02 W	0.00	10 of 34 - Sale ²⁵
	45.000	90.89	10/05/20	100.35	9.46 W	0.00	11 of 34 - Sale ²⁵
	59.000	119.17	10/05/20	130.69	11.52 W	0.00	12 of 34 - Sale ²⁵
	86.000	173.71	10/05/20	191.77	18.06 W	0.00	13 of 34 - Sale ²⁵
	86.000	173.71	10/05/20	191.77	18.06 W	0.00	14 of 34 - Sale ²⁵
	86.000	173.71	10/05/20	191.77	18.06 W	0.00	15 of 34 - Sale ²⁵
	100.000	201.98	10/05/20	222.99	21.01 W	0.00	16 of 34 - Sale ²⁵
	100.000	201.98	10/05/20	221.52	19.54 W	0.00	17 of 34 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	100.000	201.98	10/05/20	221.52	19.54 W	0.00	18 of 34 - Sale ²⁵
	100.000	201.98	10/05/20	221.50	19.52 W	0.00	19 of 34 - Sale ²⁵
	100.000	201.98	10/05/20	221.52	19.54 W	0.00	20 of 34 - Sale ²⁵
	107.000	216.12	10/05/20	237.54	21.42 W	0.00	21 of 34 - Sale ²⁵
	145.000	292.88	10/05/20	323.34	30.46 W	0.00	22 of 34 - Sale ²⁵
	200.000	403.97	10/05/20	445.98	42.01 W	0.00	23 of 34 - Sale ²⁵
	200.000	403.97	10/05/20	445.98	42.01 W	0.00	24 of 34 - Sale ²⁵
	214.000	432.24	10/05/20	477.21	44.97 W	0.00	25 of 34 - Sale ²⁵
	400.000	807.93	10/05/20	891.97	84.04 W	0.00	26 of 34 - Sale ²⁵
	514.000	1,038.20	10/05/20	1,146.19	107.99 W	0.00	27 of 34 - Sale ²⁵
	780.000	1,575.47	10/05/20	1,739.34	163.87 W	0.00	28 of 34 - Sale ²⁵
	14.000	28.28	10/05/20	31.08	2.80 W	0.00	29 of 34 - Sale ²⁵
	76.000	153.51	10/05/20	168.71	15.20 W	0.00	30 of 34 - Sale ²⁵
	100.000	201.98	10/05/20	221.99	20.01 W	0.00	31 of 34 - Sale ²⁵
	135.000	272.68	10/05/20	299.71	27.03 W	0.00	32 of 34 - Sale ²⁵
	165.000	333.27	10/05/20	366.29	33.02 W	0.00	33 of 34 - Sale ²⁵
	417.000	842.27	10/05/20	925.72	83.45 W	0.00	34 of 34 - Sale ²⁵
10/07/20	4,986.000	10,070.89	Various	11,100.90	1,030.01 W	0.00	Total of 34 transactions
	16 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	40.000	88.49	10/12/20	106.41	17.92 W	0.00	1 of 16 - Sale ²⁵
	60.000	132.73	10/12/20	159.61	26.88 W	0.00	2 of 16 - Sale ²⁵
	20.000	44.24	10/14/20	53.20	8.96 W	0.00	3 of 16 - Sale ²⁵
	21.000	46.45	10/14/20	55.86	9.41 W	0.00	4 of 16 - Sale ²⁵
	45.000	99.55	10/14/20	119.70	20.15 W	0.00	5 of 16 - Sale ²⁵
	55.000	121.67	10/14/20	146.31	24.64 W	0.00	6 of 16 - Sale ²⁵
	86.000	190.24	10/14/20	228.76	38.52 W	0.00	7 of 16 - Sale ²⁵
	86.000	190.24	10/14/20	228.76	38.52 W	0.00	8 of 16 - Sale ²⁵
	100.000	221.21	10/14/20	266.01	44.80 W	0.00	9 of 16 - Sale ²⁵
	134.000	296.42	10/14/20	356.46	60.04 W	0.00	10 of 16 - Sale ²⁵
	145.000	320.76	10/14/20	385.71	64.95 W	0.00	11 of 16 - Sale ²⁵
	200.000	442.43	10/14/20	532.01	89.58 W	0.00	12 of 16 - Sale ²⁵
	200.000	442.43	10/14/20	532.01	89.58 W	0.00	13 of 16 - Sale ²⁵
	300.000	663.64	10/14/20	798.02	134.38 W	0.00	14 of 16 - Sale ²⁵
	400.000	884.85	10/14/20	1,064.04	179.19 W	0.00	15 of 16 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	514.000	1,137.03	10/14/20	1,367.29			
10/21/20	2,406.000	5,322.38	Various	6,400.16	230.26 W	0.00	16 of 16 - Sale ²⁵
10/28/20	5,710.000	12,275.55	10/28/20	11,991.00	1,077.78 W	0.00	Total of 16 transactions Sale ²⁵
	109 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	10.000	21.30	10/27/20	24.50	3.20 W	0.00	1 of 109 - Sale ²⁵
	10.000	21.30	10/27/20	24.50	3.20 W	0.00	2 of 109 - Sale ²⁵
	40.000	85.20	10/27/20	98.01	12.81 W	0.00	3 of 109 - Sale ²⁵
	60.000	127.80	10/27/20	147.01	19.21 W	0.00	4 of 109 - Sale ²⁵
	5.000	10.65	10/27/20	12.25	1.60 W	0.00	5 of 109 - Sale ²⁵
	5.000	10.65	10/27/20	12.25	1.60 W	0.00	6 of 109 - Sale ²⁵
	20.000	42.60	10/27/20	49.00	6.40 W	0.00	7 of 109 - Sale ²⁵
	20.000	42.60	10/27/20	49.00	6.40 W	0.00	8 of 109 - Sale ²⁵
	24.000	51.12	10/27/20	58.80	7.68 W	0.00	9 of 109 - Sale ²⁵
	26.000	55.38	10/27/20	63.69	8.31 W	0.00	10 of 109 - Sale ²⁵
	100.000	212.99	10/27/20	245.01	32.02 W	0.00	11 of 109 - Sale ²⁵
	41.000	87.33	10/27/20	99.86	12.53 W	0.00	12 of 109 - Sale ²⁵
	59.000	125.67	10/27/20	143.68	18.01 W	0.00	13 of 109 - Sale ²⁵
	86.000	183.17	10/27/20	210.70	27.53 W	0.00	14 of 109 - Sale ²⁵
	100.000	212.99	10/27/20	243.54	30.55 W	0.00	15 of 109 - Sale ²⁵
	100.000	212.99	10/27/20	243.54	30.55 W	0.00	16 of 109 - Sale ²⁵
	100.000	212.99	10/27/20	243.52	30.53 W	0.00	17 of 109 - Sale ²⁵
	100.000	212.99	10/27/20	243.54	30.55 W	0.00	18 of 109 - Sale ²⁵
	107.000	227.90	10/27/20	261.10	33.20 W	0.00	19 of 109 - Sale ²⁵
	147.000	313.10	10/27/20	358.70	45.60 W	0.00	20 of 109 - Sale ²⁵
	660.000	1,405.75	10/27/20	1,617.06	211.31 W	0.00	21 of 109 - Sale ²⁵
	40.000	85.20	10/27/20	107.52	22.32 W	0.00	22 of 109 - Sale ²⁵
	60.000	127.80	10/27/20	161.28	33.48 W	0.00	23 of 109 - Sale ²⁵
	20.000	42.60	10/27/20	53.76	11.16 W	0.00	24 of 109 - Sale ²⁵
	21.000	44.73	10/27/20	56.45	11.72 W	0.00	25 of 109 - Sale ²⁵
	45.000	95.85	10/27/20	120.95	25.10 W	0.00	26 of 109 - Sale ²⁵
	55.000	117.15	10/27/20	147.84	30.69 W	0.00	27 of 109 - Sale ²⁵
	179.000	381.26	10/27/20	481.14	99.88 W	0.00	28 of 109 - Sale ²⁵
	14.000	29.82	10/27/20	34.16	4.34 W	0.00	29 of 109 - Sale ²⁵
	76.000	161.87	10/27/20	185.44	23.57 W	0.00	30 of 109 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	100.000	212.99	10/27/20	244.01	31.02 W	0.00	31 of 109 - Sale ²⁵
	135.000	287.54	10/27/20	329.43	41.89 W	0.00	32 of 109 - Sale ²⁵
	165.000	351.44	10/27/20	402.62	51.18 W	0.00	33 of 109 - Sale ²⁵
	270.000	575.08	10/27/20	658.83	83.75 W	0.00	34 of 109 - Sale ²⁵
	86.000	183.17	10/27/20	231.16	47.99 W	0.00	35 of 109 - Sale ²⁵
	100.000	212.99	10/27/20	268.80	55.81 W	0.00	36 of 109 - Sale ²⁵
	121.000	257.72	10/27/20	325.24	67.52 W	0.00	37 of 109 - Sale ²⁵
	134.000	285.41	10/27/20	360.19	74.78 W	0.00	38 of 109 - Sale ²⁵
	145.000	308.84	10/27/20	389.75	80.91 W	0.00	39 of 109 - Sale ²⁵
	200.000	425.99	10/27/20	537.58	111.59 W	0.00	40 of 109 - Sale ²⁵
	200.000	425.99	10/27/20	537.58	111.59 W	0.00	41 of 109 - Sale ²⁵
	514.000	1,094.79	10/27/20	1,381.62	286.83 W	0.00	42 of 109 - Sale ²⁵
	86.000	183.17	10/27/20	231.16	47.99 W	0.00	43 of 109 - Sale ²⁵
	134.000	285.41	10/27/20	360.20	74.79 W	0.00	44 of 109 - Sale ²⁵
	266.000	566.56	10/27/20	715.00	148.44 W	0.00	45 of 109 - Sale ²⁵
	10.000	21.30	10/27/20	25.60	4.30 W	0.00	46 of 109 - Sale ²⁵
	1,004.000	2,138.46	10/27/20	2,248.96	110.50 W	0.00	47 of 109 - Sale ²⁵
	40.000	85.99	10/28/20	106.32	20.33 W	0.00	48 of 109 - Sale ²⁵
	60.000	128.99	10/28/20	159.48	30.49 W	0.00	49 of 109 - Sale ²⁵
	20.000	43.00	10/28/20	53.16	10.16 W	0.00	50 of 109 - Sale ²⁵
	21.000	45.15	10/28/20	55.82	10.67 W	0.00	51 of 109 - Sale ²⁵
	45.000	96.74	10/28/20	119.60	22.86 W	0.00	52 of 109 - Sale ²⁵
	55.000	118.24	10/28/20	146.19	27.95 W	0.00	53 of 109 - Sale ²⁵
	4.000	8.60	10/28/20	10.63	2.03 W	0.00	54 of 109 - Sale ²⁵
	100.000	214.98	10/28/20	265.81	50.83 W	0.00	55 of 109 - Sale ²⁵
	121.000	260.13	10/28/20	321.62	61.49 W	0.00	56 of 109 - Sale ²⁵
	145.000	311.72	10/28/20	385.41	73.69 W	0.00	57 of 109 - Sale ²⁵
	179.000	384.82	10/28/20	475.78	90.96 W	0.00	58 of 109 - Sale ²⁵
	200.000	429.97	10/28/20	531.59	101.62 W	0.00	59 of 109 - Sale ²⁵
	4.000	8.60	10/28/20	10.63	2.03 W	0.00	60 of 109 - Sale ²⁵
	50.000	107.50	10/28/20	132.90	25.40 W	0.00	61 of 109 - Sale ²⁵
	25.000	53.75	10/28/20	66.46	12.71 W	0.00	62 of 109 - Sale ²⁵
	2.000	4.30	10/28/20	5.31	1.01 W	0.00	63 of 109 - Sale ²⁵
	1.000	2.15	10/28/20	2.66	0.51 W	0.00	64 of 109 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	199.000	427.83	10/28/20	528.95	101.12 W	0.00	65 of 109 - Sale ²⁵
	7.000	15.05	10/28/20	18.61	3.56 W	0.00	66 of 109 - Sale ²⁵
	20.000	43.00	10/28/20	53.16	10.16 W	0.00	67 of 109 - Sale ²⁵
	86.000	184.88	10/28/20	228.59	43.71 W	0.00	68 of 109 - Sale ²⁵
	134.000	288.08	10/28/20	356.18	68.10 W	0.00	69 of 109 - Sale ²⁵
	26.000	55.90	10/28/20	69.11	13.21 W	0.00	70 of 109 - Sale ²⁵
	200.000	429.97	10/28/20	531.59	101.62 W	0.00	71 of 109 - Sale ²⁵
	266.000	571.85	10/28/20	707.04	135.19 W	0.00	72 of 109 - Sale ²⁵
	288.000	619.15	10/28/20	765.51	146.36 W	0.00	73 of 109 - Sale ²⁵
	2.000	4.30	10/28/20	5.32	1.02 W	0.00	74 of 109 - Sale ²⁵
	1.000	2.15	10/28/20	2.66	0.51 W	0.00	75 of 109 - Sale ²⁵
	20.000	43.00	10/28/20	53.16	10.16 W	0.00	76 of 109 - Sale ²⁵
	2.000	4.30	10/28/20	5.32	1.02 W	0.00	77 of 109 - Sale ²⁵
	10.000	21.50	10/28/20	26.58	5.08 W	0.00	78 of 109 - Sale ²⁵
	1.000	2.15	10/28/20	2.66	0.51 W	0.00	79 of 109 - Sale ²⁵
	72.000	154.79	10/28/20	191.38	36.59 W	0.00	80 of 109 - Sale ²⁵
	10.000	21.50	10/28/20	25.30	3.80 W	0.00	81 of 109 - Sale ²⁵
	5.000	10.75	10/28/20	12.10	1.35 W	0.00	82 of 109 - Sale ²⁵
	5.000	10.75	10/28/20	12.10	1.35 W	0.00	83 of 109 - Sale ²⁵
	10.000	21.50	10/28/20	24.20	2.70 W	0.00	84 of 109 - Sale ²⁵
	20.000	43.00	10/28/20	48.40	5.40 W	0.00	85 of 109 - Sale ²⁵
	20.000	43.00	10/28/20	48.40	5.40 W	0.00	86 of 109 - Sale ²⁵
	24.000	51.60	10/28/20	58.08	6.48 W	0.00	87 of 109 - Sale ²⁵
	26.000	55.90	10/28/20	62.91	7.01 W	0.00	88 of 109 - Sale ²⁵
	40.000	85.99	10/28/20	96.81	10.82 W	0.00	89 of 109 - Sale ²⁵
	41.000	88.14	10/28/20	98.63	10.49 W	0.00	90 of 109 - Sale ²⁵
	59.000	126.84	10/28/20	141.91	15.07 W	0.00	91 of 109 - Sale ²⁵
	60.000	128.99	10/28/20	145.21	16.22 W	0.00	92 of 109 - Sale ²⁵
	86.000	184.88	10/28/20	208.13	23.25 W	0.00	93 of 109 - Sale ²⁵
	100.000	214.98	10/28/20	242.02	27.04 W	0.00	94 of 109 - Sale ²⁵
	100.000	214.98	10/28/20	240.55	25.57 W	0.00	95 of 109 - Sale ²⁵
	100.000	214.98	10/28/20	240.55	25.57 W	0.00	96 of 109 - Sale ²⁵
	100.000	214.98	10/28/20	240.53	25.55 W	0.00	97 of 109 - Sale ²⁵
	100.000	214.99	10/28/20	240.55	25.56 W	0.00	98 of 109 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	62.000	133.29	10/28/20	149.44	16.15 W	0.00	99 of 109 - Sale ²⁵
	660.000	1,418.88	10/28/20	1,597.31	178.43 W	0.00	100 of 109 - Sale ²⁵
	14.000	30.10	10/28/20	33.74	3.64 W	0.00	101 of 109 - Sale ²⁵
	76.000	163.39	10/28/20	183.17	19.78 W	0.00	102 of 109 - Sale ²⁵
	100.000	214.98	10/28/20	241.02	26.04 W	0.00	103 of 109 - Sale ²⁵
	45.000	96.74	10/28/20	108.46	11.72 W	0.00	104 of 109 - Sale ²⁵
	135.000	290.23	10/28/20	325.39	35.16 W	0.00	105 of 109 - Sale ²⁵
	147.000	316.03	10/28/20	354.30	38.27 W	0.00	106 of 109 - Sale ²⁵
	165.000	354.72	10/28/20	397.68	42.96 W	0.00	107 of 109 - Sale ²⁵
	270.000	580.45	10/28/20	650.75	70.30 W	0.00	108 of 109 - Sale ²⁵
	1,004.000	2,158.43	10/28/20	2,218.90	60.47 W	0.00	109 of 109 - Sale ²⁵
10/28/20	11,990.000	25,657.12	Various	29,887.26	4,230.14 W	0.00	Total of 109 transactions
	3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	328.000	714.98	10/28/20	701.92	...	13.06	1 of 3 - Sale ²⁵
	5,382.000	11,731.84	10/28/20	11,517.48	...	214.36	2 of 3 - Sale ²⁵
	1,004.000	2,128.32	10/29/20	2,108.80	...	19.52	3 of 3 - Sale ²⁵
10/29/20	6,714.000	14,575.14	Various	14,328.20	...	246.94	Total of 3 transactions
	132 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	40.000	87.19	10/28/20	105.93	18.74 W	0.00	1 of 132 - Sale ²⁵
	60.000	130.79	10/28/20	158.89	28.10 W	0.00	2 of 132 - Sale ²⁵
	20.000	43.60	10/28/20	52.96	9.36 W	0.00	3 of 132 - Sale ²⁵
	21.000	45.78	10/28/20	55.61	9.83 W	0.00	4 of 132 - Sale ²⁵
	45.000	98.09	10/28/20	119.16	21.07 W	0.00	5 of 132 - Sale ²⁵
	55.000	119.89	10/28/20	145.65	25.76 W	0.00	6 of 132 - Sale ²⁵
	72.000	156.95	10/28/20	190.67	33.72 W	0.00	7 of 132 - Sale ²⁵
	1.000	2.18	10/28/20	2.65	0.47 W	0.00	8 of 132 - Sale ²⁵
	1.000	2.18	10/28/20	2.65	0.47 W	0.00	9 of 132 - Sale ²⁵
	1.000	2.18	10/28/20	2.65	0.47 W	0.00	10 of 132 - Sale ²⁵
	2.000	4.36	10/28/20	5.29	0.93 W	0.00	11 of 132 - Sale ²⁵
	2.000	4.36	10/28/20	5.30	0.94 W	0.00	12 of 132 - Sale ²⁵
	2.000	4.36	10/28/20	5.30	0.94 W	0.00	13 of 132 - Sale ²⁵
	4.000	8.72	10/28/20	10.59	1.87 W	0.00	14 of 132 - Sale ²⁵
	4.000	8.72	10/28/20	10.59	1.87 W	0.00	15 of 132 - Sale ²⁵
	7.000	15.26	10/28/20	18.54	3.28 W	0.00	16 of 132 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
10.000		21.80	10/28/20	26.48	4.68 W	0.00	17 of 132 - Sale ²⁵
20.000		43.60	10/28/20	52.96	9.36 W	0.00	18 of 132 - Sale ²⁵
20.000		43.60	10/28/20	52.96	9.36 W	0.00	19 of 132 - Sale ²⁵
25.000		54.50	10/28/20	66.21	11.71 W	0.00	20 of 132 - Sale ²⁵
26.000		56.68	10/28/20	68.85	12.17 W	0.00	21 of 132 - Sale ²⁵
50.000		108.99	10/28/20	132.40	23.41 W	0.00	22 of 132 - Sale ²⁵
72.000		156.95	10/28/20	190.67	33.72 W	0.00	23 of 132 - Sale ²⁵
86.000		187.47	10/28/20	227.75	40.28 W	0.00	24 of 132 - Sale ²⁵
100.000		217.98	10/28/20	264.83	46.85 W	0.00	25 of 132 - Sale ²⁵
107.000		233.24	10/28/20	283.35	50.11 W	0.00	26 of 132 - Sale ²⁵
121.000		263.76	10/28/20	320.43	56.67 W	0.00	27 of 132 - Sale ²⁵
134.000		292.10	10/28/20	354.86	62.76 W	0.00	28 of 132 - Sale ²⁵
145.000		316.08	10/28/20	383.99	67.91 W	0.00	29 of 132 - Sale ²⁵
199.000		433.79	10/28/20	526.98	93.19 W	0.00	30 of 132 - Sale ²⁵
200.000		435.97	10/28/20	529.62	93.65 W	0.00	31 of 132 - Sale ²⁵
200.000		435.97	10/28/20	529.62	93.65 W	0.00	32 of 132 - Sale ²⁵
266.000		579.83	10/28/20	704.43	124.60 W	0.00	33 of 132 - Sale ²⁵
288.000		627.79	10/28/20	762.68	134.89 W	0.00	34 of 132 - Sale ²⁵
10.000		21.80	10/28/20	25.20	3.40 W	0.00	35 of 132 - Sale ²⁵
5.000		10.90	10/28/20	12.05	1.15 W	0.00	36 of 132 - Sale ²⁵
5.000		10.90	10/28/20	12.05	1.15 W	0.00	37 of 132 - Sale ²⁵
10.000		21.80	10/28/20	24.10	2.30 W	0.00	38 of 132 - Sale ²⁵
14.000		30.52	10/28/20	33.60	3.08 W	0.00	39 of 132 - Sale ²⁵
20.000		43.60	10/28/20	48.20	4.60 W	0.00	40 of 132 - Sale ²⁵
20.000		43.60	10/28/20	48.20	4.60 W	0.00	41 of 132 - Sale ²⁵
24.000		52.32	10/28/20	57.84	5.52 W	0.00	42 of 132 - Sale ²⁵
26.000		56.68	10/28/20	62.65	5.97 W	0.00	43 of 132 - Sale ²⁵
40.000		87.19	10/28/20	96.42	9.23 W	0.00	44 of 132 - Sale ²⁵
41.000		89.37	10/28/20	98.23	8.86 W	0.00	45 of 132 - Sale ²⁵
45.000		98.09	10/28/20	108.02	9.93 W	0.00	46 of 132 - Sale ²⁵
59.000		128.61	10/28/20	141.33	12.72 W	0.00	47 of 132 - Sale ²⁵
60.000		130.79	10/28/20	144.62	13.83 W	0.00	48 of 132 - Sale ²⁵
62.000		135.15	10/28/20	148.83	13.68 W	0.00	49 of 132 - Sale ²⁵
76.000		165.67	10/28/20	182.42	16.75 W	0.00	50 of 132 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	86.000	187.47	10/28/20	207.29	19.82 W	0.00	51 of 132 - Sale ²⁵
	100.000	217.98	10/28/20	241.04	23.06 W	0.00	52 of 132 - Sale ²⁵
	100.000	217.98	10/28/20	239.57	21.59 W	0.00	53 of 132 - Sale ²⁵
	100.000	217.98	10/28/20	239.57	21.59 W	0.00	54 of 132 - Sale ²⁵
	100.000	217.98	10/28/20	239.55	21.57 W	0.00	55 of 132 - Sale ²⁵
	100.000	217.98	10/28/20	239.56	21.58 W	0.00	56 of 132 - Sale ²⁵
	100.000	217.98	10/28/20	240.04	22.06 W	0.00	57 of 132 - Sale ²⁵
	135.000	294.28	10/28/20	324.06	29.78 W	0.00	58 of 132 - Sale ²⁵
	147.000	320.43	10/28/20	352.85	32.42 W	0.00	59 of 132 - Sale ²⁵
	165.000	359.67	10/28/20	396.06	36.39 W	0.00	60 of 132 - Sale ²⁵
	270.000	588.55	10/28/20	648.10	59.55 W	0.00	61 of 132 - Sale ²⁵
	660.000	1,438.69	10/28/20	1,590.83	152.14 W	0.00	62 of 132 - Sale ²⁵
	1,004.000	2,188.55	10/28/20	2,209.03	20.48 W	0.00	63 of 132 - Sale ²⁵
	40.000	84.79	10/29/20	101.94	17.15 W	0.00	64 of 132 - Sale ²⁵
	60.000	127.19	10/29/20	152.90	25.71 W	0.00	65 of 132 - Sale ²⁵
	20.000	42.40	10/29/20	50.96	8.56 W	0.00	66 of 132 - Sale ²⁵
	21.000	44.52	10/29/20	53.51	8.99 W	0.00	67 of 132 - Sale ²⁵
	45.000	95.39	10/29/20	114.67	19.28 W	0.00	68 of 132 - Sale ²⁵
	55.000	116.59	10/29/20	140.16	23.57 W	0.00	69 of 132 - Sale ²⁵
	72.000	152.63	10/29/20	183.48	30.85 W	0.00	70 of 132 - Sale ²⁵
	100.000	211.98	10/29/20	254.85	42.87 W	0.00	71 of 132 - Sale ²⁵
	107.000	226.82	10/29/20	272.67	45.85 W	0.00	72 of 132 - Sale ²⁵
	121.000	256.50	10/29/20	308.35	51.85 W	0.00	73 of 132 - Sale ²⁵
	145.000	307.38	10/29/20	369.51	62.13 W	0.00	74 of 132 - Sale ²⁵
	156.000	330.69	10/29/20	397.53	66.84 W	0.00	75 of 132 - Sale ²⁵
	1.000	2.12	10/29/20	2.55	0.43 W	0.00	76 of 132 - Sale ²⁵
	2.000	4.24	10/29/20	5.09	0.85 W	0.00	77 of 132 - Sale ²⁵
	4.000	8.48	10/29/20	10.19	1.71 W	0.00	78 of 132 - Sale ²⁵
	4.000	8.48	10/29/20	10.19	1.71 W	0.00	79 of 132 - Sale ²⁵
	7.000	14.84	10/29/20	17.84	3.00 W	0.00	80 of 132 - Sale ²⁵
	20.000	42.40	10/29/20	50.96	8.56 W	0.00	81 of 132 - Sale ²⁵
	25.000	53.00	10/29/20	63.71	10.71 W	0.00	82 of 132 - Sale ²⁵
	44.000	93.27	10/29/20	112.12	18.85 W	0.00	83 of 132 - Sale ²⁵
	50.000	105.99	10/29/20	127.41	21.42 W	0.00	84 of 132 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	134.000	284.06	10/29/20	341.48	57.42 W	0.00	85 of 132 - Sale ²⁵
	199.000	421.85	10/29/20	507.11	85.26 W	0.00	86 of 132 - Sale ²⁵
	200.000	423.96	10/29/20	509.65	85.69 W	0.00	87 of 132 - Sale ²⁵
	245.000	519.35	10/29/20	624.36	105.01 W	0.00	88 of 132 - Sale ²⁵
	288.000	610.51	10/29/20	733.93	123.42 W	0.00	89 of 132 - Sale ²⁵
	10.000	21.20	10/29/20	25.09	3.89 W	0.00	90 of 132 - Sale ²⁵
	19.000	40.28	10/29/20	48.42	8.14 W	0.00	91 of 132 - Sale ²⁵
	21.000	44.52	10/29/20	53.52	9.00 W	0.00	92 of 132 - Sale ²⁵
	1.000	2.12	10/29/20	2.55	0.43 W	0.00	93 of 132 - Sale ²⁵
	1.000	2.12	10/29/20	2.55	0.43 W	0.00	94 of 132 - Sale ²⁵
	2.000	4.24	10/29/20	5.10	0.86 W	0.00	95 of 132 - Sale ²⁵
	2.000	4.24	10/29/20	5.10	0.86 W	0.00	96 of 132 - Sale ²⁵
	10.000	21.20	10/29/20	25.48	4.28 W	0.00	97 of 132 - Sale ²⁵
	20.000	42.40	10/29/20	50.96	8.56 W	0.00	98 of 132 - Sale ²⁵
	26.000	55.11	10/29/20	66.25	11.14 W	0.00	99 of 132 - Sale ²⁵
	72.000	152.62	10/29/20	183.48	30.86 W	0.00	100 of 132 - Sale ²⁵
	67.000	142.03	10/29/20	170.74	28.71 W	0.00	101 of 132 - Sale ²⁵
	10.000	21.20	10/29/20	24.20	3.00 W	0.00	102 of 132 - Sale ²⁵
	10.000	21.20	10/29/20	23.10	1.90 W	0.00	103 of 132 - Sale ²⁵
	19.000	40.28	10/29/20	43.90	3.62 W	0.00	104 of 132 - Sale ²⁵
	60.000	127.18	10/29/20	138.63	11.45 W	0.00	105 of 132 - Sale ²⁵
	5.000	10.60	10/29/20	11.55	0.95 W	0.00	106 of 132 - Sale ²⁵
	4.000	8.48	10/29/20	9.24	0.76 W	0.00	107 of 132 - Sale ²⁵
	20.000	42.40	10/29/20	46.20	3.80 W	0.00	108 of 132 - Sale ²⁵
	24.000	50.88	10/29/20	55.44	4.56 W	0.00	109 of 132 - Sale ²⁵
	26.000	55.11	10/29/20	60.05	4.94 W	0.00	110 of 132 - Sale ²⁵
	21.000	44.52	10/29/20	48.53	4.01 W	0.00	111 of 132 - Sale ²⁵
	1.000	2.12	10/29/20	2.31	0.19 W	0.00	112 of 132 - Sale ²⁵
	20.000	42.40	10/29/20	46.20	3.80 W	0.00	113 of 132 - Sale ²⁵
	4.000	8.48	10/29/20	9.24	0.76 W	0.00	114 of 132 - Sale ²⁵
	14.000	29.68	10/29/20	32.20	2.52 W	0.00	115 of 132 - Sale ²⁵
	41.000	86.91	10/29/20	94.14	7.23 W	0.00	116 of 132 - Sale ²⁵
	45.000	95.39	10/29/20	103.53	8.14 W	0.00	117 of 132 - Sale ²⁵
	59.000	125.07	10/29/20	135.44	10.37 W	0.00	118 of 132 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	62.000	131.43	10/29/20	142.64	11.21 W	0.00	119 of 132 - Sale ²⁵
	76.000	161.11	10/29/20	174.83	13.72 W	0.00	120 of 132 - Sale ²⁵
	86.000	182.31	10/29/20	198.70	16.39 W	0.00	121 of 132 - Sale ²⁵
	96.000	203.50	10/29/20	221.82	18.32 W	0.00	122 of 132 - Sale ²⁵
	100.000	211.98	10/29/20	229.59	17.61 W	0.00	123 of 132 - Sale ²⁵
	100.000	211.98	10/29/20	229.59	17.61 W	0.00	124 of 132 - Sale ²⁵
	100.000	211.98	10/29/20	229.57	17.59 W	0.00	125 of 132 - Sale ²⁵
	100.000	211.98	10/29/20	229.58	17.60 W	0.00	126 of 132 - Sale ²⁵
	100.000	211.98	10/29/20	230.06	18.08 W	0.00	127 of 132 - Sale ²⁵
	135.000	286.18	10/29/20	310.58	24.40 W	0.00	128 of 132 - Sale ²⁵
	147.000	311.62	10/29/20	338.18	26.56 W	0.00	129 of 132 - Sale ²⁵
	165.000	349.77	10/29/20	379.59	29.82 W	0.00	130 of 132 - Sale ²⁵
	270.000	572.36	10/29/20	621.15	48.79 W	0.00	131 of 132 - Sale ²⁵
	660.000	1,399.09	10/29/20	1,524.94	125.85 W	0.00	132 of 132 - Sale ²⁵
10/29/20	10,986.000	23,647.90	Various	26,883.89	3,235.99 W	0.00	Total of 132 transactions
	3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	334.000	674.62	10/30/20	671.40	...	3.22	1 of 3 - Sale ²⁵
	2,252.000	4,548.67	10/30/20	4,526.90	...	21.77	2 of 3 - Sale ²⁵
	2,412.000	4,871.83	10/30/20	4,848.52	...	23.31	3 of 3 - Sale ²⁵
10/30/20	4,998.000	10,095.12	Various	10,046.82	...	48.30	Total of 3 transactions
	154 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	10.000	20.00	10/30/20	23.99	3.99 W	0.00	1 of 154 - Sale ²⁵
	30.000	60.00	10/30/20	73.16	13.16 W	0.00	2 of 154 - Sale ²⁵
	60.000	119.99	10/30/20	146.31	26.32 W	0.00	3 of 154 - Sale ²⁵
	1.000	2.00	10/30/20	2.44	0.44 W	0.00	4 of 154 - Sale ²⁵
	1.000	2.00	10/30/20	2.44	0.44 W	0.00	5 of 154 - Sale ²⁵
	1.000	2.00	10/30/20	2.44	0.44 W	0.00	6 of 154 - Sale ²⁵
	2.000	4.00	10/30/20	4.87	0.87 W	0.00	7 of 154 - Sale ²⁵
	2.000	4.00	10/30/20	4.88	0.88 W	0.00	8 of 154 - Sale ²⁵
	2.000	4.00	10/30/20	4.88	0.88 W	0.00	9 of 154 - Sale ²⁵
	4.000	8.00	10/30/20	9.75	1.75 W	0.00	10 of 154 - Sale ²⁵
	4.000	8.00	10/30/20	9.75	1.75 W	0.00	11 of 154 - Sale ²⁵
	7.000	14.00	10/30/20	17.07	3.07 W	0.00	12 of 154 - Sale ²⁵
	10.000	20.00	10/30/20	24.38	4.38 W	0.00	13 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
19.000		38.00	10/30/20	46.33	8.33 W	0.00	14 of 154 - Sale ²⁵
20.000		40.00	10/30/20	48.76	8.76 W	0.00	15 of 154 - Sale ²⁵
20.000		40.00	10/30/20	48.76	8.76 W	0.00	16 of 154 - Sale ²⁵
20.000		40.00	10/30/20	48.76	8.76 W	0.00	17 of 154 - Sale ²⁵
21.000		42.00	10/30/20	51.20	9.20 W	0.00	18 of 154 - Sale ²⁵
21.000		42.00	10/30/20	51.21	9.21 W	0.00	19 of 154 - Sale ²⁵
25.000		50.00	10/30/20	60.96	10.96 W	0.00	20 of 154 - Sale ²⁵
26.000		52.00	10/30/20	63.40	11.40 W	0.00	21 of 154 - Sale ²⁵
44.000		87.99	10/30/20	107.29	19.30 W	0.00	22 of 154 - Sale ²⁵
45.000		89.99	10/30/20	109.73	19.74 W	0.00	23 of 154 - Sale ²⁵
50.000		99.99	10/30/20	121.92	21.93 W	0.00	24 of 154 - Sale ²⁵
55.000		109.99	10/30/20	134.12	24.13 W	0.00	25 of 154 - Sale ²⁵
67.000		133.99	10/30/20	163.38	29.39 W	0.00	26 of 154 - Sale ²⁵
72.000		143.99	10/30/20	175.57	31.58 W	0.00	27 of 154 - Sale ²⁵
72.000		143.99	10/30/20	175.58	31.59 W	0.00	28 of 154 - Sale ²⁵
100.000		199.98	10/30/20	243.87	43.89 W	0.00	29 of 154 - Sale ²⁵
107.000		213.98	10/30/20	260.92	46.94 W	0.00	30 of 154 - Sale ²⁵
121.000		241.98	10/30/20	295.06	53.08 W	0.00	31 of 154 - Sale ²⁵
134.000		267.98	10/30/20	326.76	58.78 W	0.00	32 of 154 - Sale ²⁵
145.000		289.98	10/30/20	353.58	63.60 W	0.00	33 of 154 - Sale ²⁵
156.000		311.97	10/30/20	380.40	68.43 W	0.00	34 of 154 - Sale ²⁵
199.000		397.97	10/30/20	485.25	87.28 W	0.00	35 of 154 - Sale ²⁵
200.000		399.97	10/30/20	487.69	87.72 W	0.00	36 of 154 - Sale ²⁵
245.000		489.96	10/30/20	597.46	107.50 W	0.00	37 of 154 - Sale ²⁵
288.000		575.95	10/30/20	702.30	126.35 W	0.00	38 of 154 - Sale ²⁵
10.000		20.00	10/30/20	23.10	3.10 W	0.00	39 of 154 - Sale ²⁵
1.000		2.00	10/30/20	2.20	0.20 W	0.00	40 of 154 - Sale ²⁵
4.000		8.00	10/30/20	8.80	0.80 W	0.00	41 of 154 - Sale ²⁵
4.000		8.00	10/30/20	8.80	0.80 W	0.00	42 of 154 - Sale ²⁵
5.000		10.00	10/30/20	11.00	1.00 W	0.00	43 of 154 - Sale ²⁵
10.000		20.00	10/30/20	22.00	2.00 W	0.00	44 of 154 - Sale ²⁵
14.000		28.00	10/30/20	30.66	2.66 W	0.00	45 of 154 - Sale ²⁵
19.000		38.00	10/30/20	41.81	3.81 W	0.00	46 of 154 - Sale ²⁵
20.000		40.00	10/30/20	44.00	4.00 W	0.00	47 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	20.000	40.00	10/30/20	44.00	4.00 W	0.00	48 of 154 - Sale ²⁵
	21.000	42.00	10/30/20	46.22	4.22 W	0.00	49 of 154 - Sale ²⁵
	24.000	48.00	10/30/20	52.80	4.80 W	0.00	50 of 154 - Sale ²⁵
	26.000	52.00	10/30/20	57.20	5.20 W	0.00	51 of 154 - Sale ²⁵
	41.000	81.99	10/30/20	89.64	7.65 W	0.00	52 of 154 - Sale ²⁵
	45.000	89.99	10/30/20	98.59	8.60 W	0.00	53 of 154 - Sale ²⁵
	59.000	117.99	10/30/20	128.96	10.97 W	0.00	54 of 154 - Sale ²⁵
	60.000	119.99	10/30/20	132.05	12.06 W	0.00	55 of 154 - Sale ²⁵
	62.000	123.99	10/30/20	135.83	11.84 W	0.00	56 of 154 - Sale ²⁵
	76.000	151.99	10/30/20	166.48	14.49 W	0.00	57 of 154 - Sale ²⁵
	86.000	171.99	10/30/20	189.25	17.26 W	0.00	58 of 154 - Sale ²⁵
	96.000	191.98	10/30/20	211.28	19.30 W	0.00	59 of 154 - Sale ²⁵
	100.000	199.98	10/30/20	218.61	18.63 W	0.00	60 of 154 - Sale ²⁵
	100.000	199.98	10/30/20	218.61	18.63 W	0.00	61 of 154 - Sale ²⁵
	100.000	199.98	10/30/20	218.59	18.61 W	0.00	62 of 154 - Sale ²⁵
	100.000	199.98	10/30/20	218.60	18.62 W	0.00	63 of 154 - Sale ²⁵
	100.000	199.98	10/30/20	219.08	19.10 W	0.00	64 of 154 - Sale ²⁵
	135.000	269.98	10/30/20	295.75	25.77 W	0.00	65 of 154 - Sale ²⁵
	147.000	293.98	10/30/20	322.03	28.05 W	0.00	66 of 154 - Sale ²⁵
	165.000	329.97	10/30/20	361.47	31.50 W	0.00	67 of 154 - Sale ²⁵
	270.000	539.95	10/30/20	591.49	51.54 W	0.00	68 of 154 - Sale ²⁵
	660.000	1,319.89	10/30/20	1,452.45	132.56 W	0.00	69 of 154 - Sale ²⁵
	4,664.000	9,327.22	10/30/20	9,374.64	47.42 W	0.00	70 of 154 - Sale ²⁵
	10.000	20.00	10/30/20	24.09	4.09 W	0.00	71 of 154 - Sale ²⁵
	30.000	59.99	10/30/20	73.46	13.47 W	0.00	72 of 154 - Sale ²⁵
	60.000	119.99	10/30/20	146.92	26.93 W	0.00	73 of 154 - Sale ²⁵
	2,463.000	4,925.59	10/30/20	4,950.63	25.04 W	0.00	74 of 154 - Sale ²⁵
	10.000	20.20	10/30/20	24.09	3.89 W	0.00	75 of 154 - Sale ²⁵
	30.000	60.60	10/30/20	73.47	12.87 W	0.00	76 of 154 - Sale ²⁵
	60.000	121.19	10/30/20	146.93	25.74 W	0.00	77 of 154 - Sale ²⁵
	1.000	2.02	10/30/20	2.44	0.42 W	0.00	78 of 154 - Sale ²⁵
	19.000	38.38	10/30/20	46.32	7.94 W	0.00	79 of 154 - Sale ²⁵
	21.000	42.42	10/30/20	51.20	8.78 W	0.00	80 of 154 - Sale ²⁵
	45.000	90.89	10/30/20	109.74	18.85 W	0.00	81 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	55.000	111.09	10/30/20	134.13	23.04 W	0.00	82 of 154 - Sale ²⁵
	72.000	145.43	10/30/20	175.58	30.15 W	0.00	83 of 154 - Sale ²⁵
	100.000	201.98	10/30/20	243.89	41.91 W	0.00	84 of 154 - Sale ²⁵
	107.000	216.12	10/30/20	260.94	44.82 W	0.00	85 of 154 - Sale ²⁵
	121.000	244.40	10/30/20	295.08	50.68 W	0.00	86 of 154 - Sale ²⁵
	145.000	292.87	10/30/20	353.60	60.73 W	0.00	87 of 154 - Sale ²⁵
	57.000	115.13	10/30/20	139.00	23.87 W	0.00	88 of 154 - Sale ²⁵
	1.000	2.02	10/30/20	2.44	0.42 W	0.00	89 of 154 - Sale ²⁵
	2.000	4.04	10/30/20	4.87	0.83 W	0.00	90 of 154 - Sale ²⁵
	4.000	8.08	10/30/20	9.75	1.67 W	0.00	91 of 154 - Sale ²⁵
	4.000	8.08	10/30/20	9.75	1.67 W	0.00	92 of 154 - Sale ²⁵
	25.000	50.50	10/30/20	60.96	10.46 W	0.00	93 of 154 - Sale ²⁵
	44.000	88.87	10/30/20	107.30	18.43 W	0.00	94 of 154 - Sale ²⁵
	50.000	100.99	10/30/20	121.93	20.94 W	0.00	95 of 154 - Sale ²⁵
	99.000	199.96	10/30/20	241.43	41.47 W	0.00	96 of 154 - Sale ²⁵
	29.000	58.57	10/30/20	70.72	12.15 W	0.00	97 of 154 - Sale ²⁵
	7.000	14.14	10/30/20	17.07	2.93 W	0.00	98 of 154 - Sale ²⁵
	20.000	40.40	10/30/20	48.76	8.36 W	0.00	99 of 154 - Sale ²⁵
	170.000	343.37	10/30/20	414.56	71.19 W	0.00	100 of 154 - Sale ²⁵
	15.000	30.30	10/30/20	36.58	6.28 W	0.00	101 of 154 - Sale ²⁵
	288.000	581.70	10/30/20	702.35	120.65 W	0.00	102 of 154 - Sale ²⁵
	134.000	270.66	10/30/20	326.78	56.12 W	0.00	103 of 154 - Sale ²⁵
	185.000	373.67	10/30/20	451.14	77.47 W	0.00	104 of 154 - Sale ²⁵
	121.000	244.40	10/30/20	295.09	50.69 W	0.00	105 of 154 - Sale ²⁵
	9.000	18.18	10/30/20	21.95	3.77 W	0.00	106 of 154 - Sale ²⁵
	99.000	199.97	10/30/20	241.44	41.47 W	0.00	107 of 154 - Sale ²⁵
	14.000	28.28	10/30/20	34.14	5.86 W	0.00	108 of 154 - Sale ²⁵
	16.000	32.32	10/30/20	39.02	6.70 W	0.00	109 of 154 - Sale ²⁵
	1.000	2.02	10/30/20	2.44	0.42 W	0.00	110 of 154 - Sale ²⁵
	1.000	2.02	10/30/20	2.44	0.42 W	0.00	111 of 154 - Sale ²⁵
	2.000	4.04	10/30/20	4.88	0.84 W	0.00	112 of 154 - Sale ²⁵
	2.000	4.04	10/30/20	4.88	0.84 W	0.00	113 of 154 - Sale ²⁵
	10.000	20.19	10/30/20	24.38	4.19 W	0.00	114 of 154 - Sale ²⁵
	19.000	38.38	10/30/20	46.33	7.95 W	0.00	115 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	20.000	40.40	10/30/20	48.76	8.36 W	0.00	116 of 154 - Sale ²⁵
	7.000	14.14	10/30/20	17.07	2.93 W	0.00	117 of 154 - Sale ²⁵
	26.000	52.52	10/30/20	63.40	10.88 W	0.00	118 of 154 - Sale ²⁵
	67.000	135.33	10/30/20	163.39	28.06 W	0.00	119 of 154 - Sale ²⁵
	8.000	16.16	10/30/20	19.51	3.35 W	0.00	120 of 154 - Sale ²⁵
	37.000	74.74	10/30/20	90.23	15.49 W	0.00	121 of 154 - Sale ²⁵
	19.000	38.38	10/30/20	46.34	7.96 W	0.00	122 of 154 - Sale ²⁵
	8.000	16.16	10/30/20	19.51	3.35 W	0.00	123 of 154 - Sale ²⁵
	10.000	20.20	10/30/20	23.10	2.90 W	0.00	124 of 154 - Sale ²⁵
	1.000	2.02	10/30/20	2.20	0.18 W	0.00	125 of 154 - Sale ²⁵
	4.000	8.08	10/30/20	8.80	0.72 W	0.00	126 of 154 - Sale ²⁵
	4.000	8.08	10/30/20	8.80	0.72 W	0.00	127 of 154 - Sale ²⁵
	5.000	10.10	10/30/20	11.00	0.90 W	0.00	128 of 154 - Sale ²⁵
	10.000	20.20	10/30/20	22.00	1.80 W	0.00	129 of 154 - Sale ²⁵
	14.000	28.28	10/30/20	30.66	2.38 W	0.00	130 of 154 - Sale ²⁵
	19.000	38.38	10/30/20	41.81	3.43 W	0.00	131 of 154 - Sale ²⁵
	20.000	40.40	10/30/20	44.00	3.60 W	0.00	132 of 154 - Sale ²⁵
	20.000	40.40	10/30/20	44.00	3.60 W	0.00	133 of 154 - Sale ²⁵
	21.000	42.42	10/30/20	46.22	3.80 W	0.00	134 of 154 - Sale ²⁵
	24.000	48.48	10/30/20	52.80	4.32 W	0.00	135 of 154 - Sale ²⁵
	26.000	52.52	10/30/20	57.20	4.68 W	0.00	136 of 154 - Sale ²⁵
	41.000	82.81	10/30/20	89.65	6.84 W	0.00	137 of 154 - Sale ²⁵
	45.000	90.89	10/30/20	98.60	7.71 W	0.00	138 of 154 - Sale ²⁵
	59.000	119.17	10/30/20	128.97	9.80 W	0.00	139 of 154 - Sale ²⁵
	60.000	121.19	10/30/20	132.06	10.87 W	0.00	140 of 154 - Sale ²⁵
	62.000	125.23	10/30/20	135.84	10.61 W	0.00	141 of 154 - Sale ²⁵
	76.000	153.51	10/30/20	166.49	12.98 W	0.00	142 of 154 - Sale ²⁵
	86.000	173.71	10/30/20	189.26	15.55 W	0.00	143 of 154 - Sale ²⁵
	96.000	193.90	10/30/20	211.30	17.40 W	0.00	144 of 154 - Sale ²⁵
	100.000	201.98	10/30/20	218.63	16.65 W	0.00	145 of 154 - Sale ²⁵
	100.000	201.98	10/30/20	218.63	16.65 W	0.00	146 of 154 - Sale ²⁵
	100.000	201.98	10/30/20	218.61	16.63 W	0.00	147 of 154 - Sale ²⁵
	100.000	201.98	10/30/20	218.62	16.64 W	0.00	148 of 154 - Sale ²⁵
	100.000	201.98	10/30/20	219.10	17.12 W	0.00	149 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	135.000	272.68	10/30/20	295.77	23.09 W	0.00	150 of 154 - Sale ²⁵
	147.000	296.92	10/30/20	322.05	25.13 W	0.00	151 of 154 - Sale ²⁵
	165.000	333.27	10/30/20	361.50	28.23 W	0.00	152 of 154 - Sale ²⁵
	270.000	545.35	10/30/20	591.54	46.19 W	0.00	153 of 154 - Sale ²⁵
	660.000	1,333.09	10/30/20	1,452.56	119.47 W	0.00	154 of 154 - Sale ²⁵
10/30/20	17,199.000	34,494.93	Various	37,627.48	3,132.55 W	0.00	Total of 154 transactions
	5 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	1.000	2.18	11/02/20	2.14	...	0.04	1 of 5 - Sale ²⁵
	1.000	2.18	11/02/20	2.14	...	0.04	2 of 5 - Sale ²⁵
	1.000	2.18	11/02/20	2.14	...	0.04	3 of 5 - Sale ²⁵
	197.000	429.42	11/02/20	425.55	...	3.87	4 of 5 - Sale ²⁵
	100.000	217.98	11/02/20	214.02	...	3.96	5 of 5 - Sale ²⁵
11/02/20	300.000	653.94	Various	645.99	...	7.95	Total of 5 transactions
	67 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	1.000	2.22	11/02/20	2.16	...	0.06	1 of 67 - Sale ²⁵
	2.000	4.44	11/02/20	4.32	...	0.12	2 of 67 - Sale ²⁵
	2.000	4.44	11/02/20	4.32	...	0.12	3 of 67 - Sale ²⁵
	4.000	8.88	11/02/20	8.64	...	0.24	4 of 67 - Sale ²⁵
	6.000	13.32	11/02/20	12.96	...	0.36	5 of 67 - Sale ²⁵
	9.000	19.98	11/02/20	19.44	...	0.54	6 of 67 - Sale ²⁵
	10.000	22.20	11/02/20	21.60	...	0.60	7 of 67 - Sale ²⁵
	15.000	33.30	11/02/20	32.40	...	0.90	8 of 67 - Sale ²⁵
	20.000	44.40	11/02/20	43.20	...	1.20	9 of 67 - Sale ²⁵
	25.000	55.50	11/02/20	54.00	...	1.50	10 of 67 - Sale ²⁵
	41.000	91.01	11/02/20	88.57	...	2.44	11 of 67 - Sale ²⁵
	60.000	133.19	11/02/20	129.61	...	3.58	12 of 67 - Sale ²⁵
	66.000	146.51	11/02/20	142.57	...	3.94	13 of 67 - Sale ²⁵
	77.000	170.93	11/02/20	166.34	...	4.59	14 of 67 - Sale ²⁵
	84.000	186.47	11/02/20	181.45	...	5.02	15 of 67 - Sale ²⁵
	150.000	332.97	11/02/20	324.03	...	8.94	16 of 67 - Sale ²⁵
	160.000	355.17	11/02/20	345.63	...	9.54	17 of 67 - Sale ²⁵
	197.000	437.31	11/02/20	425.55	...	11.76	18 of 67 - Sale ²⁵
	900.000	1,997.85	11/02/20	1,944.15	...	53.70	19 of 67 - Sale ²⁵
	1,118.000	2,481.76	11/02/20	2,403.70	...	78.06	20 of 67 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1,254.000	2,783.66	11/02/20	2,696.10	...	87.56	21 of 67 - Sale ²⁵
	1.000	2.30	11/03/20	2.29	...	0.01	22 of 67 - Sale ²⁵
	1.000	2.30	11/03/20	2.29	...	0.01	23 of 67 - Sale ²⁵
	4.000	9.20	11/03/20	9.16	...	0.04	24 of 67 - Sale ²⁵
	4.000	9.20	11/03/20	9.16	...	0.04	25 of 67 - Sale ²⁵
	5.000	11.50	11/03/20	11.45	...	0.05	26 of 67 - Sale ²⁵
	11.000	25.30	11/03/20	25.21	...	0.09	27 of 67 - Sale ²⁵
	10.000	23.00	11/03/20	22.90	...	0.10	28 of 67 - Sale ²⁵
	6.000	13.86	11/03/20	13.69	...	0.17	29 of 67 - Sale ²⁵
	19.000	43.70	11/03/20	43.52	...	0.18	30 of 67 - Sale ²⁵
	21.000	48.30	11/03/20	48.12	...	0.18	31 of 67 - Sale ²⁵
	20.000	46.00	11/03/20	45.80	...	0.20	32 of 67 - Sale ²⁵
	20.000	46.00	11/03/20	45.80	...	0.20	33 of 67 - Sale ²⁵
	7.000	16.17	11/03/20	15.97	...	0.20	34 of 67 - Sale ²⁵
	24.000	55.19	11/03/20	54.97	...	0.22	35 of 67 - Sale ²⁵
	26.000	59.79	11/03/20	59.55	...	0.24	36 of 67 - Sale ²⁵
	14.000	32.34	11/03/20	31.92	...	0.42	37 of 67 - Sale ²⁵
	18.000	41.58	11/03/20	41.07	...	0.51	38 of 67 - Sale ²⁵
	60.000	137.99	11/03/20	137.47	...	0.52	39 of 67 - Sale ²⁵
	85.000	195.48	11/03/20	194.77	...	0.71	40 of 67 - Sale ²⁵
	28.000	64.68	11/03/20	63.76	...	0.92	41 of 67 - Sale ²⁵
	45.000	103.94	11/03/20	102.69	...	1.25	42 of 67 - Sale ²⁵
	41.000	94.70	11/03/20	93.38	...	1.32	43 of 67 - Sale ²⁵
	86.000	198.64	11/03/20	197.07	...	1.57	44 of 67 - Sale ²⁵
	42.000	96.59	11/03/20	94.92	...	1.67	45 of 67 - Sale ²⁵
	62.000	143.21	11/03/20	141.46	...	1.75	46 of 67 - Sale ²⁵
	59.000	136.28	11/03/20	134.32	...	1.96	47 of 67 - Sale ²⁵
	72.000	166.31	11/03/20	164.27	...	2.04	48 of 67 - Sale ²⁵
	76.000	175.55	11/03/20	173.37	...	2.18	49 of 67 - Sale ²⁵
	82.000	189.41	11/03/20	187.11	...	2.30	50 of 67 - Sale ²⁵
	72.000	166.31	11/03/20	163.93	...	2.38	51 of 67 - Sale ²⁵
	142.000	327.99	11/03/20	325.42	...	2.57	52 of 67 - Sale ²⁵
	142.000	327.99	11/03/20	325.41	...	2.58	53 of 67 - Sale ²⁵
	93.000	214.81	11/03/20	212.20	...	2.61	54 of 67 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	100.000	230.99	11/03/20	228.16	...	2.83	55 of 67 - Sale ²⁵
	158.000	364.95	11/03/20	362.08	...	2.87	56 of 67 - Sale ²⁵
	100.000	230.98	11/03/20	227.71	...	3.27	57 of 67 - Sale ²⁵
	100.000	230.98	11/03/20	227.71	...	3.27	58 of 67 - Sale ²⁵
	100.000	230.98	11/03/20	227.70	...	3.28	59 of 67 - Sale ²⁵
	128.000	295.66	11/03/20	292.03	...	3.63	60 of 67 - Sale ²⁵
	217.000	501.23	11/03/20	497.27	...	3.96	61 of 67 - Sale ²⁵
	147.000	339.54	11/03/20	335.39	...	4.15	62 of 67 - Sale ²⁵
	164.000	378.81	11/03/20	374.19	...	4.62	63 of 67 - Sale ²⁵
	414.000	952.10	11/03/20	935.64	...	16.46	64 of 67 - Sale ²⁵
	989.000	2,274.51	11/03/20	2,235.14	...	39.37	65 of 67 - Sale ²⁵
	974.000	2,249.76	11/03/20	2,201.24	...	48.52	66 of 67 - Sale ²⁵
	4,077.000	9,417.17	11/03/20	9,214.02	...	203.15	67 of 67 - Sale ²⁵
11/03/20	13,267.000	30,248.78	Various	29,603.44	...	645.34	Total of 67 transactions
	542 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	1.000	2.22	11/02/20	2.54	0.32 W	0.00	1 of 542 - Sale ²⁵
	30.000	66.59	11/02/20	77.37	10.78 W	0.00	2 of 542 - Sale ²⁵
	60.000	133.19	11/02/20	154.74	21.55 W	0.00	3 of 542 - Sale ²⁵
	6.000	13.32	11/02/20	15.23	1.91 W	0.00	4 of 542 - Sale ²⁵
	3.000	6.66	11/02/20	7.62	0.96 W	0.00	5 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.57	0.35 W	0.00	6 of 542 - Sale ²⁵
	19.000	42.18	11/02/20	48.79	6.61 W	0.00	7 of 542 - Sale ²⁵
	21.000	46.62	11/02/20	53.93	7.31 W	0.00	8 of 542 - Sale ²⁵
	45.000	99.89	11/02/20	115.60	15.71 W	0.00	9 of 542 - Sale ²⁵
	55.000	122.09	11/02/20	141.29	19.20 W	0.00	10 of 542 - Sale ²⁵
	59.000	130.97	11/02/20	151.56	20.59 W	0.00	11 of 542 - Sale ²⁵
	5.000	11.10	11/02/20	12.84	1.74 W	0.00	12 of 542 - Sale ²⁵
	2.000	4.44	11/02/20	5.14	0.70 W	0.00	13 of 542 - Sale ²⁵
	5.000	11.10	11/02/20	12.84	1.74 W	0.00	14 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.57	0.35 W	0.00	15 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	56.51	7.67 W	0.00	16 of 542 - Sale ²⁵
	107.000	237.52	11/02/20	274.87	37.35 W	0.00	17 of 542 - Sale ²⁵
	121.000	268.60	11/02/20	310.83	42.23 W	0.00	18 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.57	0.35 W	0.00	19 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	100.000	221.98	11/02/20	256.89	34.91 W	0.00	20 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	56.51	7.67 W	0.00	21 of 542 - Sale ²⁵
	78.000	173.15	11/02/20	200.39	27.24 W	0.00	22 of 542 - Sale ²⁵
	21.000	46.62	11/02/20	53.95	7.33 W	0.00	23 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	56.52	7.68 W	0.00	24 of 542 - Sale ²⁵
	57.000	126.53	11/02/20	146.42	19.89 W	0.00	25 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	56.52	7.68 W	0.00	26 of 542 - Sale ²⁵
	78.000	173.15	11/02/20	200.37	27.22 W	0.00	27 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.57	0.35 W	0.00	28 of 542 - Sale ²⁵
	2.000	4.44	11/02/20	5.13	0.69 W	0.00	29 of 542 - Sale ²⁵
	4.000	8.88	11/02/20	10.27	1.39 W	0.00	30 of 542 - Sale ²⁵
	4.000	8.88	11/02/20	10.27	1.39 W	0.00	31 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	56.51	7.67 W	0.00	32 of 542 - Sale ²⁵
	25.000	55.50	11/02/20	64.21	8.71 W	0.00	33 of 542 - Sale ²⁵
	26.000	57.72	11/02/20	66.79	9.07 W	0.00	34 of 542 - Sale ²⁵
	50.000	110.99	11/02/20	128.44	17.45 W	0.00	35 of 542 - Sale ²⁵
	3.000	6.66	11/02/20	7.71	1.05 W	0.00	36 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	56.51	7.67 W	0.00	37 of 542 - Sale ²⁵
	7.000	15.54	11/02/20	17.98	2.44 W	0.00	38 of 542 - Sale ²⁵
	15.000	33.30	11/02/20	38.53	5.23 W	0.00	39 of 542 - Sale ²⁵
	20.000	44.40	11/02/20	51.36	6.96 W	0.00	40 of 542 - Sale ²⁵
	122.000	270.82	11/02/20	313.39	42.57 W	0.00	41 of 542 - Sale ²⁵
	148.000	328.53	11/02/20	380.18	51.65 W	0.00	42 of 542 - Sale ²⁵
	288.000	639.30	11/02/20	739.85	100.55 W	0.00	43 of 542 - Sale ²⁵
	63.000	139.85	11/02/20	161.83	21.98 W	0.00	44 of 542 - Sale ²⁵
	122.000	270.82	11/02/20	313.39	42.57 W	0.00	45 of 542 - Sale ²⁵
	9.000	19.98	11/02/20	23.12	3.14 W	0.00	46 of 542 - Sale ²⁵
	12.000	26.64	11/02/20	30.83	4.19 W	0.00	47 of 542 - Sale ²⁵
	72.000	159.83	11/02/20	184.96	25.13 W	0.00	48 of 542 - Sale ²⁵
	121.000	268.59	11/02/20	310.84	42.25 W	0.00	49 of 542 - Sale ²⁵
	5.000	11.10	11/02/20	12.84	1.74 W	0.00	50 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.57	0.35 W	0.00	51 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.57	0.35 W	0.00	52 of 542 - Sale ²⁵
	2.000	4.44	11/02/20	5.14	0.70 W	0.00	53 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	2.000	4.44	11/02/20	5.14	0.70 W	0.00	54 of 542 - Sale ²⁵
	7.000	15.54	11/02/20	17.98	2.44 W	0.00	55 of 542 - Sale ²⁵
	8.000	17.76	11/02/20	20.55	2.79 W	0.00	56 of 542 - Sale ²⁵
	8.000	17.76	11/02/20	20.55	2.79 W	0.00	57 of 542 - Sale ²⁵
	10.000	22.20	11/02/20	25.69	3.49 W	0.00	58 of 542 - Sale ²⁵
	14.000	31.08	11/02/20	35.96	4.88 W	0.00	59 of 542 - Sale ²⁵
	16.000	35.52	11/02/20	41.10	5.58 W	0.00	60 of 542 - Sale ²⁵
	19.000	42.18	11/02/20	48.80	6.62 W	0.00	61 of 542 - Sale ²⁵
	19.000	42.18	11/02/20	48.81	6.63 W	0.00	62 of 542 - Sale ²⁵
	20.000	44.40	11/02/20	51.36	6.96 W	0.00	63 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	56.52	7.68 W	0.00	64 of 542 - Sale ²⁵
	26.000	57.71	11/02/20	66.78	9.07 W	0.00	65 of 542 - Sale ²⁵
	37.000	82.13	11/02/20	95.04	12.91 W	0.00	66 of 542 - Sale ²⁵
	67.000	148.72	11/02/20	172.11	23.39 W	0.00	67 of 542 - Sale ²⁵
	10.000	22.20	11/02/20	24.40	2.20 W	0.00	68 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.33	0.11 W	0.00	69 of 542 - Sale ²⁵
	4.000	8.88	11/02/20	9.32	0.44 W	0.00	70 of 542 - Sale ²⁵
	4.000	8.88	11/02/20	9.32	0.44 W	0.00	71 of 542 - Sale ²⁵
	5.000	11.10	11/02/20	11.65	0.55 W	0.00	72 of 542 - Sale ²⁵
	10.000	22.20	11/02/20	23.30	1.10 W	0.00	73 of 542 - Sale ²⁵
	19.000	42.18	11/02/20	44.28	2.10 W	0.00	74 of 542 - Sale ²⁵
	20.000	44.40	11/02/20	46.60	2.20 W	0.00	75 of 542 - Sale ²⁵
	20.000	44.40	11/02/20	46.60	2.20 W	0.00	76 of 542 - Sale ²⁵
	21.000	46.61	11/02/20	48.95	2.34 W	0.00	77 of 542 - Sale ²⁵
	24.000	53.27	11/02/20	55.92	2.65 W	0.00	78 of 542 - Sale ²⁵
	26.000	57.71	11/02/20	60.58	2.87 W	0.00	79 of 542 - Sale ²⁵
	60.000	133.19	11/02/20	139.87	6.68 W	0.00	80 of 542 - Sale ²⁵
	96.000	213.10	11/02/20	223.80	10.70 W	0.00	81 of 542 - Sale ²⁵
	443.000	983.34	11/02/20	1,032.64	49.30 W	0.00	82 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.47	0.25 W	0.00	83 of 542 - Sale ²⁵
	3.000	6.66	11/02/20	7.41	0.75 W	0.00	84 of 542 - Sale ²⁵
	6.000	13.32	11/02/20	14.81	1.49 W	0.00	85 of 542 - Sale ²⁵
	30.000	66.59	11/02/20	75.28	8.69 W	0.00	86 of 542 - Sale ²⁵
	60.000	133.19	11/02/20	150.55	17.36 W	0.00	87 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1.000	2.22	11/02/20	2.50	0.28 W	0.00	88 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.50	0.28 W	0.00	89 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.50	0.28 W	0.00	90 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.50	0.28 W	0.00	91 of 542 - Sale ²⁵
	2.000	4.44	11/02/20	5.00	0.56 W	0.00	92 of 542 - Sale ²⁵
	2.000	4.44	11/02/20	4.99	0.55 W	0.00	93 of 542 - Sale ²⁵
	3.000	6.66	11/02/20	7.50	0.84 W	0.00	94 of 542 - Sale ²⁵
	4.000	8.88	11/02/20	9.99	1.11 W	0.00	95 of 542 - Sale ²⁵
	4.000	8.88	11/02/20	9.99	1.11 W	0.00	96 of 542 - Sale ²⁵
	5.000	11.10	11/02/20	12.49	1.39 W	0.00	97 of 542 - Sale ²⁵
	5.000	11.10	11/02/20	12.49	1.39 W	0.00	98 of 542 - Sale ²⁵
	5.000	11.10	11/02/20	12.49	1.39 W	0.00	99 of 542 - Sale ²⁵
	7.000	15.54	11/02/20	17.49	1.95 W	0.00	100 of 542 - Sale ²⁵
	9.000	19.98	11/02/20	22.49	2.51 W	0.00	101 of 542 - Sale ²⁵
	12.000	26.64	11/02/20	29.99	3.35 W	0.00	102 of 542 - Sale ²⁵
	15.000	33.30	11/02/20	37.48	4.18 W	0.00	103 of 542 - Sale ²⁵
	19.000	42.18	11/02/20	47.46	5.28 W	0.00	104 of 542 - Sale ²⁵
	20.000	44.40	11/02/20	49.96	5.56 W	0.00	105 of 542 - Sale ²⁵
	21.000	46.62	11/02/20	52.46	5.84 W	0.00	106 of 542 - Sale ²⁵
	21.000	46.62	11/02/20	52.48	5.86 W	0.00	107 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	54.97	6.13 W	0.00	108 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	54.97	6.13 W	0.00	109 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	54.98	6.14 W	0.00	110 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	54.98	6.14 W	0.00	111 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	54.97	6.13 W	0.00	112 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	54.97	6.13 W	0.00	113 of 542 - Sale ²⁵
	25.000	55.50	11/02/20	62.46	6.96 W	0.00	114 of 542 - Sale ²⁵
	26.000	57.72	11/02/20	64.97	7.25 W	0.00	115 of 542 - Sale ²⁵
	45.000	99.89	11/02/20	112.46	12.57 W	0.00	116 of 542 - Sale ²⁵
	50.000	110.99	11/02/20	124.95	13.96 W	0.00	117 of 542 - Sale ²⁵
	55.000	122.09	11/02/20	137.45	15.36 W	0.00	118 of 542 - Sale ²⁵
	57.000	126.53	11/02/20	142.44	15.91 W	0.00	119 of 542 - Sale ²⁵
	59.000	130.97	11/02/20	147.44	16.47 W	0.00	120 of 542 - Sale ²⁵
	63.000	139.85	11/02/20	157.43	17.58 W	0.00	121 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	72.000	159.83	11/02/20	179.93	20.10 W	0.00	122 of 542 - Sale ²⁵
	78.000	173.15	11/02/20	194.94	21.79 W	0.00	123 of 542 - Sale ²⁵
	78.000	173.15	11/02/20	194.92	21.77 W	0.00	124 of 542 - Sale ²⁵
	100.000	221.98	11/02/20	249.91	27.93 W	0.00	125 of 542 - Sale ²⁵
	107.000	237.52	11/02/20	267.40	29.88 W	0.00	126 of 542 - Sale ²⁵
	121.000	268.60	11/02/20	302.38	33.78 W	0.00	127 of 542 - Sale ²⁵
	121.000	268.60	11/02/20	302.40	33.80 W	0.00	128 of 542 - Sale ²⁵
	122.000	270.82	11/02/20	304.87	34.05 W	0.00	129 of 542 - Sale ²⁵
	122.000	270.82	11/02/20	304.87	34.05 W	0.00	130 of 542 - Sale ²⁵
	148.000	328.53	11/02/20	369.85	41.32 W	0.00	131 of 542 - Sale ²⁵
	288.000	639.31	11/02/20	719.75	80.44 W	0.00	132 of 542 - Sale ²⁵
	14.000	31.08	11/02/20	32.48	1.40 W	0.00	133 of 542 - Sale ²⁵
	41.000	91.01	11/02/20	94.99	3.98 W	0.00	134 of 542 - Sale ²⁵
	45.000	99.89	11/02/20	104.46	4.57 W	0.00	135 of 542 - Sale ²⁵
	59.000	130.97	11/02/20	136.65	5.68 W	0.00	136 of 542 - Sale ²⁵
	62.000	137.63	11/02/20	143.91	6.28 W	0.00	137 of 542 - Sale ²⁵
	76.000	168.71	11/02/20	176.38	7.67 W	0.00	138 of 542 - Sale ²⁵
	86.000	190.90	11/02/20	200.45	9.55 W	0.00	139 of 542 - Sale ²⁵
	100.000	221.98	11/02/20	231.65	9.67 W	0.00	140 of 542 - Sale ²⁵
	100.000	221.98	11/02/20	231.65	9.67 W	0.00	141 of 542 - Sale ²⁵
	100.000	221.98	11/02/20	231.63	9.65 W	0.00	142 of 542 - Sale ²⁵
	100.000	221.98	11/02/20	231.64	9.66 W	0.00	143 of 542 - Sale ²⁵
	100.000	221.98	11/02/20	232.12	10.14 W	0.00	144 of 542 - Sale ²⁵
	135.000	299.68	11/02/20	313.34	13.66 W	0.00	145 of 542 - Sale ²⁵
	147.000	326.31	11/02/20	341.18	14.87 W	0.00	146 of 542 - Sale ²⁵
	165.000	366.27	11/02/20	382.98	16.71 W	0.00	147 of 542 - Sale ²⁵
	270.000	599.35	11/02/20	626.69	27.34 W	0.00	148 of 542 - Sale ²⁵
	217.000	481.70	11/02/20	505.83	24.13 W	0.00	149 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.49	0.27 W	0.00	150 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.49	0.27 W	0.00	151 of 542 - Sale ²⁵
	2.000	4.44	11/02/20	4.98	0.54 W	0.00	152 of 542 - Sale ²⁵
	2.000	4.44	11/02/20	4.98	0.54 W	0.00	153 of 542 - Sale ²⁵
	7.000	15.54	11/02/20	17.42	1.88 W	0.00	154 of 542 - Sale ²⁵
	8.000	17.76	11/02/20	19.91	2.15 W	0.00	155 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	8.000	17.76	11/02/20	19.91	2.15 W	0.00	156 of 542 - Sale ²⁵
	10.000	22.20	11/02/20	24.89	2.69 W	0.00	157 of 542 - Sale ²⁵
	14.000	31.08	11/02/20	34.84	3.76 W	0.00	158 of 542 - Sale ²⁵
	16.000	35.52	11/02/20	39.82	4.30 W	0.00	159 of 542 - Sale ²⁵
	19.000	42.18	11/02/20	47.28	5.10 W	0.00	160 of 542 - Sale ²⁵
	19.000	42.18	11/02/20	47.29	5.11 W	0.00	161 of 542 - Sale ²⁵
	20.000	44.40	11/02/20	49.76	5.36 W	0.00	162 of 542 - Sale ²⁵
	22.000	48.84	11/02/20	54.76	5.92 W	0.00	163 of 542 - Sale ²⁵
	26.000	57.71	11/02/20	64.70	6.99 W	0.00	164 of 542 - Sale ²⁵
	37.000	82.13	11/02/20	92.08	9.95 W	0.00	165 of 542 - Sale ²⁵
	67.000	148.73	11/02/20	166.75	18.02 W	0.00	166 of 542 - Sale ²⁵
	10.000	22.20	11/02/20	23.60	1.40 W	0.00	167 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.25	0.03 W	0.00	168 of 542 - Sale ²⁵
	1.000	2.22	11/02/20	2.25	0.03 W	0.00	169 of 542 - Sale ²⁵
	4.000	8.88	11/02/20	9.00	0.12 W	0.00	170 of 542 - Sale ²⁵
	4.000	8.88	11/02/20	9.00	0.12 W	0.00	171 of 542 - Sale ²⁵
	5.000	11.10	11/02/20	11.25	0.15 W	0.00	172 of 542 - Sale ²⁵
	10.000	22.20	11/02/20	22.50	0.30 W	0.00	173 of 542 - Sale ²⁵
	19.000	42.18	11/02/20	42.76	0.58 W	0.00	174 of 542 - Sale ²⁵
	20.000	44.40	11/02/20	45.00	0.60 W	0.00	175 of 542 - Sale ²⁵
	20.000	44.40	11/02/20	45.00	0.60 W	0.00	176 of 542 - Sale ²⁵
	21.000	46.61	11/02/20	47.27	0.66 W	0.00	177 of 542 - Sale ²⁵
	24.000	53.27	11/02/20	54.00	0.73 W	0.00	178 of 542 - Sale ²⁵
	26.000	57.71	11/02/20	58.50	0.79 W	0.00	179 of 542 - Sale ²⁵
	60.000	133.19	11/02/20	135.06	1.87 W	0.00	180 of 542 - Sale ²⁵
	96.000	213.09	11/02/20	216.11	3.02 W	0.00	181 of 542 - Sale ²⁵
	300.000	689.95	11/03/20	711.39	21.44 W	0.00	182 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.51	0.21 W	0.00	183 of 542 - Sale ²⁵
	3.000	6.90	11/03/20	7.53	0.63 W	0.00	184 of 542 - Sale ²⁵
	6.000	13.80	11/03/20	15.05	1.25 W	0.00	185 of 542 - Sale ²⁵
	30.000	68.99	11/03/20	76.49	7.50 W	0.00	186 of 542 - Sale ²⁵
	60.000	137.99	11/03/20	152.96	14.97 W	0.00	187 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.54	0.24 W	0.00	188 of 542 - Sale ²⁵
	17.000	39.10	11/03/20	43.17	4.07 W	0.00	189 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	19.000	43.70	11/03/20	48.22	4.52 W	0.00	190 of 542 - Sale ²⁵
	21.000	48.30	11/03/20	53.30	5.00 W	0.00	191 of 542 - Sale ²⁵
	142.000	326.58	11/03/20	336.72	10.14 W	0.00	192 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.54	0.24 W	0.00	193 of 542 - Sale ²⁵
	2.000	4.60	11/03/20	5.08	0.48 W	0.00	194 of 542 - Sale ²⁵
	5.000	11.50	11/03/20	12.69	1.19 W	0.00	195 of 542 - Sale ²⁵
	5.000	11.50	11/03/20	12.69	1.19 W	0.00	196 of 542 - Sale ²⁵
	28.000	64.40	11/03/20	71.10	6.70 W	0.00	197 of 542 - Sale ²⁵
	38.000	87.39	11/03/20	96.49	9.10 W	0.00	198 of 542 - Sale ²⁵
	55.000	126.49	11/03/20	139.66	13.17 W	0.00	199 of 542 - Sale ²⁵
	59.000	135.69	11/03/20	149.81	14.12 W	0.00	200 of 542 - Sale ²⁵
	107.000	246.08	11/03/20	271.70	25.62 W	0.00	201 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.54	0.24 W	0.00	202 of 542 - Sale ²⁵
	15.000	34.50	11/03/20	38.09	3.59 W	0.00	203 of 542 - Sale ²⁵
	22.000	50.60	11/03/20	55.85	5.25 W	0.00	204 of 542 - Sale ²⁵
	22.000	50.60	11/03/20	55.85	5.25 W	0.00	205 of 542 - Sale ²⁵
	22.000	50.60	11/03/20	55.86	5.26 W	0.00	206 of 542 - Sale ²⁵
	57.000	131.09	11/03/20	144.73	13.64 W	0.00	207 of 542 - Sale ²⁵
	78.000	179.39	11/03/20	198.07	18.68 W	0.00	208 of 542 - Sale ²⁵
	83.000	190.89	11/03/20	210.75	19.86 W	0.00	209 of 542 - Sale ²⁵
	100.000	229.98	11/03/20	253.93	23.95 W	0.00	210 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.54	0.24 W	0.00	211 of 542 - Sale ²⁵
	2.000	4.60	11/03/20	5.07	0.47 W	0.00	212 of 542 - Sale ²⁵
	3.000	6.90	11/03/20	7.62	0.72 W	0.00	213 of 542 - Sale ²⁵
	4.000	9.20	11/03/20	10.15	0.95 W	0.00	214 of 542 - Sale ²⁵
	4.000	9.20	11/03/20	10.15	0.95 W	0.00	215 of 542 - Sale ²⁵
	6.000	13.80	11/03/20	15.23	1.43 W	0.00	216 of 542 - Sale ²⁵
	22.000	50.60	11/03/20	55.86	5.26 W	0.00	217 of 542 - Sale ²⁵
	22.000	50.60	11/03/20	55.85	5.25 W	0.00	218 of 542 - Sale ²⁵
	22.000	50.60	11/03/20	55.85	5.25 W	0.00	219 of 542 - Sale ²⁵
	25.000	57.50	11/03/20	63.46	5.96 W	0.00	220 of 542 - Sale ²⁵
	26.000	59.80	11/03/20	66.01	6.21 W	0.00	221 of 542 - Sale ²⁵
	50.000	114.99	11/03/20	126.96	11.97 W	0.00	222 of 542 - Sale ²⁵
	78.000	179.39	11/03/20	198.05	18.66 W	0.00	223 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	135.000	310.47	11/03/20	342.79	32.32 W	0.00	224 of 542 - Sale ²⁵
	7.000	16.10	11/03/20	17.77	1.67 W	0.00	225 of 542 - Sale ²⁵
	13.000	29.90	11/03/20	33.01	3.11 W	0.00	226 of 542 - Sale ²⁵
	20.000	46.00	11/03/20	50.76	4.76 W	0.00	227 of 542 - Sale ²⁵
	60.000	137.99	11/03/20	152.36	14.37 W	0.00	228 of 542 - Sale ²⁵
	15.000	34.50	11/03/20	38.08	3.58 W	0.00	229 of 542 - Sale ²⁵
	57.000	131.09	11/03/20	144.73	13.64 W	0.00	230 of 542 - Sale ²⁵
	228.000	524.36	11/03/20	578.96	54.60 W	0.00	231 of 542 - Sale ²⁵
	35.000	80.49	11/03/20	88.87	8.38 W	0.00	232 of 542 - Sale ²⁵
	65.000	149.49	11/03/20	165.04	15.55 W	0.00	233 of 542 - Sale ²⁵
	12.000	27.60	11/03/20	30.47	2.87 W	0.00	234 of 542 - Sale ²⁵
	28.000	64.39	11/03/20	71.09	6.70 W	0.00	235 of 542 - Sale ²⁵
	38.000	87.39	11/03/20	96.49	9.10 W	0.00	236 of 542 - Sale ²⁵
	122.000	280.58	11/03/20	309.77	29.19 W	0.00	237 of 542 - Sale ²⁵
	5.000	11.50	11/03/20	12.69	1.19 W	0.00	238 of 542 - Sale ²⁵
	9.000	20.70	11/03/20	22.85	2.15 W	0.00	239 of 542 - Sale ²⁵
	72.000	165.59	11/03/20	182.82	17.23 W	0.00	240 of 542 - Sale ²⁵
	83.000	190.88	11/03/20	210.77	19.89 W	0.00	241 of 542 - Sale ²⁵
	28.000	64.39	11/03/20	65.98	1.59 W	0.00	242 of 542 - Sale ²⁵
	41.000	94.29	11/03/20	96.64	2.35 W	0.00	243 of 542 - Sale ²⁵
	59.000	135.69	11/03/20	139.02	3.33 W	0.00	244 of 542 - Sale ²⁵
	86.000	197.78	11/03/20	203.91	6.13 W	0.00	245 of 542 - Sale ²⁵
	100.000	229.98	11/03/20	235.67	5.69 W	0.00	246 of 542 - Sale ²⁵
	100.000	229.98	11/03/20	235.67	5.69 W	0.00	247 of 542 - Sale ²⁵
	217.000	499.06	11/03/20	514.55	15.49 W	0.00	248 of 542 - Sale ²⁵
	18.000	41.40	11/03/20	42.51	1.11 W	0.00	249 of 542 - Sale ²⁵
	45.000	103.49	11/03/20	106.27	2.78 W	0.00	250 of 542 - Sale ²⁵
	62.000	142.59	11/03/20	146.40	3.81 W	0.00	251 of 542 - Sale ²⁵
	72.000	165.59	11/03/20	169.67	4.08 W	0.00	252 of 542 - Sale ²⁵
	76.000	174.79	11/03/20	179.43	4.64 W	0.00	253 of 542 - Sale ²⁵
	100.000	229.98	11/03/20	235.66	5.68 W	0.00	254 of 542 - Sale ²⁵
	147.000	338.07	11/03/20	347.09	9.02 W	0.00	255 of 542 - Sale ²⁵
	270.000	620.95	11/03/20	637.54	16.59 W	0.00	256 of 542 - Sale ²⁵
	82.000	188.58	11/03/20	193.63	5.05 W	0.00	257 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	128.000	294.38	11/03/20	302.23	7.85 W	0.00	258 of 542 - Sale ²⁵
	7.000	16.10	11/03/20	16.53	0.43 W	0.00	259 of 542 - Sale ²⁵
	93.000	213.88	11/03/20	219.60	5.72 W	0.00	260 of 542 - Sale ²⁵
	14.000	32.20	11/03/20	35.41	3.21 W	0.00	261 of 542 - Sale ²⁵
	14.000	32.20	11/03/20	33.04	0.84 W	0.00	262 of 542 - Sale ²⁵
	72.000	165.59	11/03/20	170.01	4.42 W	0.00	263 of 542 - Sale ²⁵
	7.000	16.10	11/03/20	17.70	1.60 W	0.00	264 of 542 - Sale ²⁵
	8.000	18.40	11/03/20	20.23	1.83 W	0.00	265 of 542 - Sale ²⁵
	14.000	32.20	11/03/20	35.40	3.20 W	0.00	266 of 542 - Sale ²⁵
	16.000	36.80	11/03/20	40.46	3.66 W	0.00	267 of 542 - Sale ²⁵
	19.000	43.70	11/03/20	48.04	4.34 W	0.00	268 of 542 - Sale ²⁵
	36.000	82.79	11/03/20	91.04	8.25 W	0.00	269 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.53	0.23 W	0.00	270 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.53	0.23 W	0.00	271 of 542 - Sale ²⁵
	2.000	4.60	11/03/20	5.06	0.46 W	0.00	272 of 542 - Sale ²⁵
	2.000	4.60	11/03/20	5.06	0.46 W	0.00	273 of 542 - Sale ²⁵
	7.000	16.10	11/03/20	17.70	1.60 W	0.00	274 of 542 - Sale ²⁵
	10.000	23.00	11/03/20	25.29	2.29 W	0.00	275 of 542 - Sale ²⁵
	20.000	46.00	11/03/20	50.56	4.56 W	0.00	276 of 542 - Sale ²⁵
	26.000	59.79	11/03/20	65.75	5.96 W	0.00	277 of 542 - Sale ²⁵
	31.000	71.29	11/03/20	78.40	7.11 W	0.00	278 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.53	0.23 W	0.00	279 of 542 - Sale ²⁵
	8.000	18.40	11/03/20	20.23	1.83 W	0.00	280 of 542 - Sale ²⁵
	19.000	43.70	11/03/20	48.05	4.35 W	0.00	281 of 542 - Sale ²⁵
	37.000	85.09	11/03/20	93.57	8.48 W	0.00	282 of 542 - Sale ²⁵
	10.000	23.00	11/03/20	24.00	1.00 W	0.00	283 of 542 - Sale ²⁵
	158.000	363.37	11/03/20	368.37	5.00 W	0.00	284 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.47	0.17 W	0.00	285 of 542 - Sale ²⁵
	3.000	6.90	11/03/20	7.41	0.51 W	0.00	286 of 542 - Sale ²⁵
	6.000	13.80	11/03/20	14.81	1.01 W	0.00	287 of 542 - Sale ²⁵
	30.000	68.99	11/03/20	75.30	6.31 W	0.00	288 of 542 - Sale ²⁵
	60.000	137.99	11/03/20	150.57	12.58 W	0.00	289 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.50	0.20 W	0.00	290 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.50	0.20 W	0.00	291 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1.000	2.30	11/03/20	2.50	0.20 W	0.00	292 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.50	0.20 W	0.00	293 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.49	0.19 W	0.00	294 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.49	0.19 W	0.00	295 of 542 - Sale ²⁵
	1.000	2.30	11/03/20	2.49	0.19 W	0.00	296 of 542 - Sale ²⁵
	2.000	4.60	11/03/20	5.00	0.40 W	0.00	297 of 542 - Sale ²⁵
	2.000	4.60	11/03/20	4.99	0.39 W	0.00	298 of 542 - Sale ²⁵
	2.000	4.60	11/03/20	4.98	0.38 W	0.00	299 of 542 - Sale ²⁵
	2.000	4.60	11/03/20	4.98	0.38 W	0.00	300 of 542 - Sale ²⁵
	3.000	6.90	11/03/20	7.50	0.60 W	0.00	301 of 542 - Sale ²⁵
	4.000	9.20	11/03/20	9.99	0.79 W	0.00	302 of 542 - Sale ²⁵
	4.000	9.20	11/03/20	9.99	0.79 W	0.00	303 of 542 - Sale ²⁵
	5.000	11.50	11/03/20	12.49	0.99 W	0.00	304 of 542 - Sale ²⁵
	5.000	11.50	11/03/20	12.49	0.99 W	0.00	305 of 542 - Sale ²⁵
	5.000	11.50	11/03/20	12.49	0.99 W	0.00	306 of 542 - Sale ²⁵
	6.000	13.80	11/03/20	14.99	1.19 W	0.00	307 of 542 - Sale ²⁵
	7.000	16.10	11/03/20	17.49	1.39 W	0.00	308 of 542 - Sale ²⁵
	7.000	16.10	11/03/20	17.42	1.32 W	0.00	309 of 542 - Sale ²⁵
	7.000	16.10	11/03/20	17.42	1.32 W	0.00	310 of 542 - Sale ²⁵
	8.000	18.40	11/03/20	19.91	1.51 W	0.00	311 of 542 - Sale ²⁵
	8.000	18.40	11/03/20	19.91	1.51 W	0.00	312 of 542 - Sale ²⁵
	9.000	20.70	11/03/20	22.49	1.79 W	0.00	313 of 542 - Sale ²⁵
	10.000	23.00	11/03/20	24.89	1.89 W	0.00	314 of 542 - Sale ²⁵
	12.000	27.60	11/03/20	29.99	2.39 W	0.00	315 of 542 - Sale ²⁵
	13.000	29.90	11/03/20	32.49	2.59 W	0.00	316 of 542 - Sale ²⁵
	14.000	32.20	11/03/20	34.85	2.65 W	0.00	317 of 542 - Sale ²⁵
	14.000	32.20	11/03/20	34.84	2.64 W	0.00	318 of 542 - Sale ²⁵
	15.000	34.50	11/03/20	37.49	2.99 W	0.00	319 of 542 - Sale ²⁵
	15.000	34.50	11/03/20	37.48	2.98 W	0.00	320 of 542 - Sale ²⁵
	16.000	36.80	11/03/20	39.82	3.02 W	0.00	321 of 542 - Sale ²⁵
	17.000	39.10	11/03/20	42.49	3.39 W	0.00	322 of 542 - Sale ²⁵
	19.000	43.70	11/03/20	47.46	3.76 W	0.00	323 of 542 - Sale ²⁵
	19.000	43.70	11/03/20	47.28	3.58 W	0.00	324 of 542 - Sale ²⁵
	19.000	43.70	11/03/20	47.29	3.59 W	0.00	325 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
20.000		46.00	11/03/20	49.96	3.96 W	0.00	326 of 542 - Sale ²⁵
20.000		46.00	11/03/20	49.76	3.76 W	0.00	327 of 542 - Sale ²⁵
21.000		48.30	11/03/20	52.46	4.16 W	0.00	328 of 542 - Sale ²⁵
22.000		50.60	11/03/20	54.97	4.37 W	0.00	329 of 542 - Sale ²⁵
22.000		50.60	11/03/20	54.97	4.37 W	0.00	330 of 542 - Sale ²⁵
22.000		50.60	11/03/20	54.98	4.38 W	0.00	331 of 542 - Sale ²⁵
22.000		50.60	11/03/20	54.98	4.38 W	0.00	332 of 542 - Sale ²⁵
22.000		50.60	11/03/20	54.97	4.37 W	0.00	333 of 542 - Sale ²⁵
22.000		50.60	11/03/20	54.97	4.37 W	0.00	334 of 542 - Sale ²⁵
25.000		57.50	11/03/20	62.46	4.96 W	0.00	335 of 542 - Sale ²⁵
26.000		59.80	11/03/20	64.97	5.17 W	0.00	336 of 542 - Sale ²⁵
26.000		59.80	11/03/20	64.72	4.92 W	0.00	337 of 542 - Sale ²⁵
28.000		64.40	11/03/20	69.98	5.58 W	0.00	338 of 542 - Sale ²⁵
28.000		64.39	11/03/20	69.98	5.59 W	0.00	339 of 542 - Sale ²⁵
31.000		71.29	11/03/20	77.17	5.88 W	0.00	340 of 542 - Sale ²⁵
35.000		80.49	11/03/20	87.48	6.99 W	0.00	341 of 542 - Sale ²⁵
36.000		82.79	11/03/20	89.61	6.82 W	0.00	342 of 542 - Sale ²⁵
37.000		85.09	11/03/20	92.10	7.01 W	0.00	343 of 542 - Sale ²⁵
38.000		87.39	11/03/20	94.98	7.59 W	0.00	344 of 542 - Sale ²⁵
38.000		87.39	11/03/20	94.98	7.59 W	0.00	345 of 542 - Sale ²⁵
50.000		114.99	11/03/20	124.97	9.98 W	0.00	346 of 542 - Sale ²⁵
55.000		126.49	11/03/20	137.47	10.98 W	0.00	347 of 542 - Sale ²⁵
57.000		131.09	11/03/20	142.46	11.37 W	0.00	348 of 542 - Sale ²⁵
57.000		131.09	11/03/20	142.46	11.37 W	0.00	349 of 542 - Sale ²⁵
59.000		135.69	11/03/20	147.46	11.77 W	0.00	350 of 542 - Sale ²⁵
60.000		137.99	11/03/20	149.97	11.98 W	0.00	351 of 542 - Sale ²⁵
65.000		149.49	11/03/20	162.45	12.96 W	0.00	352 of 542 - Sale ²⁵
72.000		165.59	11/03/20	179.95	14.36 W	0.00	353 of 542 - Sale ²⁵
78.000		179.39	11/03/20	194.96	15.57 W	0.00	354 of 542 - Sale ²⁵
78.000		179.39	11/03/20	194.94	15.55 W	0.00	355 of 542 - Sale ²⁵
83.000		190.89	11/03/20	207.44	16.55 W	0.00	356 of 542 - Sale ²⁵
83.000		190.88	11/03/20	207.47	16.59 W	0.00	357 of 542 - Sale ²⁵
100.000		229.98	11/03/20	249.95	19.97 W	0.00	358 of 542 - Sale ²⁵
107.000		246.08	11/03/20	267.44	21.36 W	0.00	359 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	122.000	280.58	11/03/20	304.91	24.33 W	0.00	360 of 542 - Sale ²⁵
	135.000	310.48	11/03/20	337.42	26.94 W	0.00	361 of 542 - Sale ²⁵
	228.000	524.36	11/03/20	569.88	45.52 W	0.00	362 of 542 - Sale ²⁵
	10.000	23.00	11/03/20	23.60	0.60 W	0.00	363 of 542 - Sale ²⁵
	7.000	16.10	11/03/20	16.25	0.15 W	0.00	364 of 542 - Sale ²⁵
	14.000	32.20	11/03/20	32.48	0.28 W	0.00	365 of 542 - Sale ²⁵
	18.000	41.40	11/03/20	41.79	0.39 W	0.00	366 of 542 - Sale ²⁵
	28.000	64.39	11/03/20	64.87	0.48 W	0.00	367 of 542 - Sale ²⁵
	41.000	94.29	11/03/20	95.01	0.72 W	0.00	368 of 542 - Sale ²⁵
	45.000	103.49	11/03/20	104.48	0.99 W	0.00	369 of 542 - Sale ²⁵
	59.000	135.69	11/03/20	136.67	0.98 W	0.00	370 of 542 - Sale ²⁵
	62.000	142.59	11/03/20	143.93	1.34 W	0.00	371 of 542 - Sale ²⁵
	72.000	165.59	11/03/20	166.80	1.21 W	0.00	372 of 542 - Sale ²⁵
	72.000	165.59	11/03/20	167.14	1.55 W	0.00	373 of 542 - Sale ²⁵
	76.000	174.79	11/03/20	176.40	1.61 W	0.00	374 of 542 - Sale ²⁵
	82.000	188.58	11/03/20	190.37	1.79 W	0.00	375 of 542 - Sale ²⁵
	86.000	197.78	11/03/20	200.49	2.71 W	0.00	376 of 542 - Sale ²⁵
	93.000	213.88	11/03/20	215.90	2.02 W	0.00	377 of 542 - Sale ²⁵
	100.000	229.98	11/03/20	231.69	1.71 W	0.00	378 of 542 - Sale ²⁵
	100.000	229.98	11/03/20	231.69	1.71 W	0.00	379 of 542 - Sale ²⁵
	100.000	229.98	11/03/20	231.68	1.70 W	0.00	380 of 542 - Sale ²⁵
	128.000	294.38	11/03/20	297.13	2.75 W	0.00	381 of 542 - Sale ²⁵
	142.000	326.57	11/03/20	331.07	4.50 W	0.00	382 of 542 - Sale ²⁵
	142.000	326.57	11/03/20	331.06	4.49 W	0.00	383 of 542 - Sale ²⁵
	147.000	338.07	11/03/20	341.24	3.17 W	0.00	384 of 542 - Sale ²⁵
	217.000	499.06	11/03/20	505.91	6.85 W	0.00	385 of 542 - Sale ²⁵
	270.000	620.95	11/03/20	626.79	5.84 W	0.00	386 of 542 - Sale ²⁵
	1.000	2.31	11/03/20	2.43	0.12 W	0.00	387 of 542 - Sale ²⁵
	3.000	6.93	11/03/20	7.29	0.36 W	0.00	388 of 542 - Sale ²⁵
	6.000	13.86	11/03/20	14.57	0.71 W	0.00	389 of 542 - Sale ²⁵
	30.000	69.29	11/03/20	74.11	4.82 W	0.00	390 of 542 - Sale ²⁵
	60.000	138.59	11/03/20	148.18	9.59 W	0.00	391 of 542 - Sale ²⁵
	1.000	2.31	11/03/20	2.46	0.15 W	0.00	392 of 542 - Sale ²⁵
	4.000	9.24	11/03/20	9.83	0.59 W	0.00	393 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
17.000		39.27	11/03/20	41.81	2.54 W	0.00	394 of 542 - Sale ²⁵
19.000		43.89	11/03/20	46.70	2.81 W	0.00	395 of 542 - Sale ²⁵
21.000		48.51	11/03/20	51.62	3.11 W	0.00	396 of 542 - Sale ²⁵
28.000		64.67	11/03/20	68.86	4.19 W	0.00	397 of 542 - Sale ²⁵
55.000		127.04	11/03/20	135.28	8.24 W	0.00	398 of 542 - Sale ²⁵
59.000		136.27	11/03/20	145.11	8.84 W	0.00	399 of 542 - Sale ²⁵
1.000		2.31	11/03/20	2.46	0.15 W	0.00	400 of 542 - Sale ²⁵
2.000		4.62	11/03/20	4.92	0.30 W	0.00	401 of 542 - Sale ²⁵
1.000		2.31	11/03/20	2.46	0.15 W	0.00	402 of 542 - Sale ²⁵
5.000		11.55	11/03/20	12.29	0.74 W	0.00	403 of 542 - Sale ²⁵
91.000		210.20	11/03/20	223.83	13.63 W	0.00	404 of 542 - Sale ²⁵
38.000		87.78	11/03/20	93.47	5.69 W	0.00	405 of 542 - Sale ²⁵
46.000		106.25	11/03/20	113.13	6.88 W	0.00	406 of 542 - Sale ²⁵
16.000		36.96	11/03/20	39.35	2.39 W	0.00	407 of 542 - Sale ²⁵
1.000		2.31	11/03/20	2.46	0.15 W	0.00	408 of 542 - Sale ²⁵
22.000		50.82	11/03/20	54.09	3.27 W	0.00	409 of 542 - Sale ²⁵
37.000		85.47	11/03/20	91.00	5.53 W	0.00	410 of 542 - Sale ²⁵
40.000		92.39	11/03/20	98.39	6.00 W	0.00	411 of 542 - Sale ²⁵
22.000		50.82	11/03/20	54.09	3.27 W	0.00	412 of 542 - Sale ²⁵
18.000		41.58	11/03/20	44.27	2.69 W	0.00	413 of 542 - Sale ²⁵
60.000		138.59	11/03/20	147.58	8.99 W	0.00	414 of 542 - Sale ²⁵
22.000		50.82	11/03/20	54.10	3.28 W	0.00	415 of 542 - Sale ²⁵
18.000		41.58	11/03/20	44.27	2.69 W	0.00	416 of 542 - Sale ²⁵
60.000		138.59	11/03/20	147.58	8.99 W	0.00	417 of 542 - Sale ²⁵
6.000		13.86	11/03/20	14.75	0.89 W	0.00	418 of 542 - Sale ²⁵
15.000		34.65	11/03/20	36.89	2.24 W	0.00	419 of 542 - Sale ²⁵
39.000		90.09	11/03/20	95.92	5.83 W	0.00	420 of 542 - Sale ²⁵
40.000		92.39	11/03/20	98.37	5.98 W	0.00	421 of 542 - Sale ²⁵
4.000		9.24	11/03/20	9.83	0.59 W	0.00	422 of 542 - Sale ²⁵
4.000		9.24	11/03/20	9.83	0.59 W	0.00	423 of 542 - Sale ²⁵
22.000		50.82	11/03/20	54.10	3.28 W	0.00	424 of 542 - Sale ²⁵
22.000		50.81	11/03/20	54.09	3.28 W	0.00	425 of 542 - Sale ²⁵
10.000		23.10	11/03/20	24.60	1.50 W	0.00	426 of 542 - Sale ²⁵
38.000		87.78	11/03/20	93.46	5.68 W	0.00	427 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1.000	2.31	11/03/20	2.46	0.15 W	0.00	428 of 542 - Sale ²⁵
	2.000	4.62	11/03/20	4.91	0.29 W	0.00	429 of 542 - Sale ²⁵
	3.000	6.93	11/03/20	7.38	0.45 W	0.00	430 of 542 - Sale ²⁵
	3.000	6.93	11/03/20	7.38	0.45 W	0.00	431 of 542 - Sale ²⁵
	25.000	57.75	11/03/20	61.46	3.71 W	0.00	432 of 542 - Sale ²⁵
	26.000	60.05	11/03/20	63.93	3.88 W	0.00	433 of 542 - Sale ²⁵
	40.000	92.40	11/03/20	98.38	5.98 W	0.00	434 of 542 - Sale ²⁵
	19.000	43.89	11/03/20	46.71	2.82 W	0.00	435 of 542 - Sale ²⁵
	81.000	187.10	11/03/20	199.22	12.12 W	0.00	436 of 542 - Sale ²⁵
	7.000	16.17	11/03/20	17.21	1.04 W	0.00	437 of 542 - Sale ²⁵
	13.000	30.03	11/03/20	31.97	1.94 W	0.00	438 of 542 - Sale ²⁵
	20.000	46.20	11/03/20	49.16	2.96 W	0.00	439 of 542 - Sale ²⁵
	6.000	13.86	11/03/20	14.76	0.90 W	0.00	440 of 542 - Sale ²⁵
	54.000	124.73	11/03/20	132.82	8.09 W	0.00	441 of 542 - Sale ²⁵
	15.000	34.65	11/03/20	36.88	2.23 W	0.00	442 of 542 - Sale ²⁵
	28.000	64.67	11/03/20	68.87	4.20 W	0.00	443 of 542 - Sale ²⁵
	54.000	124.73	11/03/20	132.82	8.09 W	0.00	444 of 542 - Sale ²⁵
	228.000	526.64	11/03/20	560.80	34.16 W	0.00	445 of 542 - Sale ²⁵
	1.000	2.31	11/03/20	2.45	0.14 W	0.00	446 of 542 - Sale ²⁵
	1.000	2.31	11/03/20	2.45	0.14 W	0.00	447 of 542 - Sale ²⁵
	1.000	2.31	11/03/20	2.45	0.14 W	0.00	448 of 542 - Sale ²⁵
	2.000	4.62	11/03/20	4.90	0.28 W	0.00	449 of 542 - Sale ²⁵
	2.000	4.62	11/03/20	4.90	0.28 W	0.00	450 of 542 - Sale ²⁵
	5.000	11.55	11/03/20	12.29	0.74 W	0.00	451 of 542 - Sale ²⁵
	7.000	16.17	11/03/20	17.14	0.97 W	0.00	452 of 542 - Sale ²⁵
	7.000	16.17	11/03/20	17.14	0.97 W	0.00	453 of 542 - Sale ²⁵
	8.000	18.48	11/03/20	19.59	1.11 W	0.00	454 of 542 - Sale ²⁵
	8.000	18.48	11/03/20	19.59	1.11 W	0.00	455 of 542 - Sale ²⁵
	9.000	20.79	11/03/20	22.13	1.34 W	0.00	456 of 542 - Sale ²⁵
	10.000	23.10	11/03/20	24.49	1.39 W	0.00	457 of 542 - Sale ²⁵
	12.000	27.72	11/03/20	29.51	1.79 W	0.00	458 of 542 - Sale ²⁵
	14.000	32.34	11/03/20	34.29	1.95 W	0.00	459 of 542 - Sale ²⁵
	14.000	32.34	11/03/20	34.28	1.94 W	0.00	460 of 542 - Sale ²⁵
	16.000	36.96	11/03/20	39.18	2.22 W	0.00	461 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
19.000		43.89	11/03/20	46.52	2.63 W	0.00	462 of 542 - Sale ²⁵
19.000		43.89	11/03/20	46.53	2.64 W	0.00	463 of 542 - Sale ²⁵
20.000		46.20	11/03/20	48.96	2.76 W	0.00	464 of 542 - Sale ²⁵
26.000		60.06	11/03/20	63.68	3.62 W	0.00	465 of 542 - Sale ²⁵
28.000		64.68	11/03/20	68.87	4.19 W	0.00	466 of 542 - Sale ²⁵
31.000		71.60	11/03/20	75.94	4.34 W	0.00	467 of 542 - Sale ²⁵
35.000		80.84	11/03/20	86.09	5.25 W	0.00	468 of 542 - Sale ²⁵
36.000		83.15	11/03/20	88.18	5.03 W	0.00	469 of 542 - Sale ²⁵
37.000		85.46	11/03/20	90.63	5.17 W	0.00	470 of 542 - Sale ²⁵
38.000		87.77	11/03/20	93.47	5.70 W	0.00	471 of 542 - Sale ²⁵
29.000		66.99	11/03/20	71.32	4.33 W	0.00	472 of 542 - Sale ²⁵
65.000		150.14	11/03/20	159.86	9.72 W	0.00	473 of 542 - Sale ²⁵
72.000		166.31	11/03/20	177.08	10.77 W	0.00	474 of 542 - Sale ²⁵
83.000		191.72	11/03/20	204.17	12.45 W	0.00	475 of 542 - Sale ²⁵
122.000		281.80	11/03/20	300.05	18.25 W	0.00	476 of 542 - Sale ²⁵
10.000		23.10	11/03/20	23.20	0.10 W	0.00	477 of 542 - Sale ²⁵
4.000		9.24	11/03/20	9.68	0.44 W	0.00	478 of 542 - Sale ²⁵
30.000		69.29	11/03/20	72.62	3.33 W	0.00	479 of 542 - Sale ²⁵
1.000		2.31	11/03/20	2.38	0.07 W	0.00	480 of 542 - Sale ²⁵
3.000		6.93	11/03/20	7.14	0.21 W	0.00	481 of 542 - Sale ²⁵
6.000		13.86	11/03/20	14.27	0.41 W	0.00	482 of 542 - Sale ²⁵
56.000		129.35	11/03/20	135.51	6.16 W	0.00	483 of 542 - Sale ²⁵
1.000		2.31	11/03/20	2.41	0.10 W	0.00	484 of 542 - Sale ²⁵
1.000		2.31	11/03/20	2.41	0.10 W	0.00	485 of 542 - Sale ²⁵
1.000		2.31	11/03/20	2.41	0.10 W	0.00	486 of 542 - Sale ²⁵
1.000		2.31	11/03/20	2.41	0.10 W	0.00	487 of 542 - Sale ²⁵
1.000		2.31	11/03/20	2.41	0.10 W	0.00	488 of 542 - Sale ²⁵
2.000		4.62	11/03/20	4.82	0.20 W	0.00	489 of 542 - Sale ²⁵
2.000		4.62	11/03/20	4.81	0.19 W	0.00	490 of 542 - Sale ²⁵
3.000		6.93	11/03/20	7.23	0.30 W	0.00	491 of 542 - Sale ²⁵
3.000		6.93	11/03/20	7.23	0.30 W	0.00	492 of 542 - Sale ²⁵
4.000		9.24	11/03/20	9.63	0.39 W	0.00	493 of 542 - Sale ²⁵
4.000		9.24	11/03/20	9.63	0.39 W	0.00	494 of 542 - Sale ²⁵
4.000		9.24	11/03/20	9.63	0.39 W	0.00	495 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	5.000	11.55	11/03/20	12.04	0.49 W	0.00	496 of 542 - Sale ²⁵
	6.000	13.86	11/03/20	14.45	0.59 W	0.00	497 of 542 - Sale ²⁵
	6.000	13.86	11/03/20	14.46	0.60 W	0.00	498 of 542 - Sale ²⁵
	7.000	16.17	11/03/20	16.86	0.69 W	0.00	499 of 542 - Sale ²⁵
	10.000	23.10	11/03/20	24.10	1.00 W	0.00	500 of 542 - Sale ²⁵
	13.000	30.03	11/03/20	31.32	1.29 W	0.00	501 of 542 - Sale ²⁵
	15.000	34.65	11/03/20	36.14	1.49 W	0.00	502 of 542 - Sale ²⁵
	15.000	34.65	11/03/20	36.13	1.48 W	0.00	503 of 542 - Sale ²⁵
	16.000	36.96	11/03/20	38.55	1.59 W	0.00	504 of 542 - Sale ²⁵
	17.000	39.27	11/03/20	40.96	1.69 W	0.00	505 of 542 - Sale ²⁵
	18.000	41.58	11/03/20	43.37	1.79 W	0.00	506 of 542 - Sale ²⁵
	18.000	41.58	11/03/20	43.37	1.79 W	0.00	507 of 542 - Sale ²⁵
	19.000	43.89	11/03/20	45.75	1.86 W	0.00	508 of 542 - Sale ²⁵
	19.000	43.89	11/03/20	45.76	1.87 W	0.00	509 of 542 - Sale ²⁵
	20.000	46.20	11/03/20	48.16	1.96 W	0.00	510 of 542 - Sale ²⁵
	21.000	48.51	11/03/20	50.57	2.06 W	0.00	511 of 542 - Sale ²⁵
	22.000	50.82	11/03/20	52.99	2.17 W	0.00	512 of 542 - Sale ²⁵
	22.000	50.82	11/03/20	52.99	2.17 W	0.00	513 of 542 - Sale ²⁵
	22.000	50.82	11/03/20	53.00	2.18 W	0.00	514 of 542 - Sale ²⁵
	22.000	50.82	11/03/20	53.00	2.18 W	0.00	515 of 542 - Sale ²⁵
	22.000	50.82	11/03/20	53.00	2.18 W	0.00	516 of 542 - Sale ²⁵
	25.000	57.75	11/03/20	60.21	2.46 W	0.00	517 of 542 - Sale ²⁵
	26.000	60.05	11/03/20	62.64	2.59 W	0.00	518 of 542 - Sale ²⁵
	28.000	64.68	11/03/20	67.47	2.79 W	0.00	519 of 542 - Sale ²⁵
	28.000	64.67	11/03/20	67.48	2.81 W	0.00	520 of 542 - Sale ²⁵
	28.000	64.68	11/03/20	67.47	2.79 W	0.00	521 of 542 - Sale ²⁵
	29.000	66.98	11/03/20	69.87	2.89 W	0.00	522 of 542 - Sale ²⁵
	35.000	80.84	11/03/20	84.35	3.51 W	0.00	523 of 542 - Sale ²⁵
	37.000	85.46	11/03/20	89.15	3.69 W	0.00	524 of 542 - Sale ²⁵
	38.000	87.77	11/03/20	91.57	3.80 W	0.00	525 of 542 - Sale ²⁵
	38.000	87.77	11/03/20	91.56	3.79 W	0.00	526 of 542 - Sale ²⁵
	39.000	90.08	11/03/20	93.97	3.89 W	0.00	527 of 542 - Sale ²⁵
	40.000	92.39	11/03/20	96.40	4.01 W	0.00	528 of 542 - Sale ²⁵
	40.000	92.39	11/03/20	96.38	3.99 W	0.00	529 of 542 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	40.000	92.39	11/03/20	96.38	3.99 W	0.00	530 of 542 - Sale ²⁵
	46.000	106.25	11/03/20	110.84	4.59 W	0.00	531 of 542 - Sale ²⁵
	54.000	124.73	11/03/20	130.13	5.40 W	0.00	532 of 542 - Sale ²⁵
	54.000	124.73	11/03/20	130.13	5.40 W	0.00	533 of 542 - Sale ²⁵
	55.000	127.04	11/03/20	132.54	5.50 W	0.00	534 of 542 - Sale ²⁵
	59.000	136.28	11/03/20	142.18	5.90 W	0.00	535 of 542 - Sale ²⁵
	60.000	138.59	11/03/20	144.59	6.00 W	0.00	536 of 542 - Sale ²⁵
	60.000	138.59	11/03/20	144.59	6.00 W	0.00	537 of 542 - Sale ²⁵
	65.000	150.14	11/03/20	156.62	6.48 W	0.00	538 of 542 - Sale ²⁵
	81.000	187.09	11/03/20	195.18	8.09 W	0.00	539 of 542 - Sale ²⁵
	91.000	210.19	11/03/20	219.29	9.10 W	0.00	540 of 542 - Sale ²⁵
	107.000	247.15	11/03/20	257.83	10.68 W	0.00	541 of 542 - Sale ²⁵
	228.000	526.63	11/03/20	549.44	22.81 W	0.00	542 of 542 - Sale ²⁵
11/03/20	21,372.000	48,578.17	Various	52,166.53	3,588.36 W	0.00	Total of 542 transactions
	28 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	1.000	2.34	11/03/20	2.29	...	0.05	1 of 28 - Sale ²⁵
	1.000	2.34	11/03/20	2.29	...	0.05	2 of 28 - Sale ²⁵
	1.000	2.34	11/03/20	2.29	...	0.05	3 of 28 - Sale ²⁵
	1.000	2.34	11/03/20	2.29	...	0.05	4 of 28 - Sale ²⁵
	1.000	2.34	11/03/20	2.29	...	0.05	5 of 28 - Sale ²⁵
	2.000	4.68	11/03/20	4.58	...	0.10	6 of 28 - Sale ²⁵
	2.000	4.68	11/03/20	4.58	...	0.10	7 of 28 - Sale ²⁵
	3.000	7.02	11/03/20	6.87	...	0.15	8 of 28 - Sale ²⁵
	3.000	7.02	11/03/20	6.87	...	0.15	9 of 28 - Sale ²⁵
	4.000	9.36	11/03/20	9.16	...	0.20	10 of 28 - Sale ²⁵
	7.000	16.38	11/03/20	16.03	...	0.35	11 of 28 - Sale ²⁵
	10.000	23.40	11/03/20	23.00	...	0.40	12 of 28 - Sale ²⁵
	13.000	30.42	11/03/20	29.77	...	0.65	13 of 28 - Sale ²⁵
	20.000	46.80	11/03/20	45.80	...	1.00	14 of 28 - Sale ²⁵
	30.000	70.19	11/03/20	68.70	...	1.49	15 of 28 - Sale ²⁵
	40.000	93.59	11/03/20	91.60	...	1.99	16 of 28 - Sale ²⁵
	59.000	138.05	11/03/20	135.11	...	2.94	17 of 28 - Sale ²⁵
	75.000	175.49	11/03/20	171.75	...	3.74	18 of 28 - Sale ²⁵
	232.000	542.84	11/03/20	531.28	...	11.56	19 of 28 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	250.000	584.96	11/03/20	572.50	...	12.46	20 of 28 - Sale ²⁵
	300.000	701.95	11/03/20	687.00	...	14.95	21 of 28 - Sale ²⁵
	400.000	935.93	11/03/20	916.00	...	19.93	22 of 28 - Sale ²⁵
	544.000	1,272.86	11/03/20	1,245.76	...	27.10	23 of 28 - Sale ²⁵
	610.000	1,427.29	11/03/20	1,396.90	...	30.39	24 of 28 - Sale ²⁵
	1,000.000	2,339.82	11/03/20	2,290.00	...	49.82	25 of 28 - Sale ²⁵
	1,177.000	2,753.97	11/03/20	2,695.33	...	58.64	26 of 28 - Sale ²⁵
	2,000.000	4,679.64	11/03/20	4,580.00	...	99.64	27 of 28 - Sale ²⁵
	2,337.000	5,468.16	11/03/20	5,351.73	...	116.43	28 of 28 - Sale ²⁵
11/05/20	9,123.000	21,346.20	Various	20,891.77	...	454.43	Total of 28 transactions
	99 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	5.000	11.70	11/03/20	12.20	0.50 W	0.00	1 of 99 - Sale ²⁵
	15.000	35.10	11/03/20	36.59	1.49 W	0.00	2 of 99 - Sale ²⁵
	7.000	16.38	11/03/20	17.07	0.69 W	0.00	3 of 99 - Sale ²⁵
	38.000	88.91	11/03/20	92.72	3.81 W	0.00	4 of 99 - Sale ²⁵
	55.000	128.69	11/03/20	134.20	5.51 W	0.00	5 of 99 - Sale ²⁵
	8.000	18.72	11/03/20	19.52	0.80 W	0.00	6 of 99 - Sale ²⁵
	2.000	4.68	11/03/20	4.88	0.20 W	0.00	7 of 99 - Sale ²⁵
	1.000	2.34	11/03/20	2.36	0.02 W	0.00	8 of 99 - Sale ²⁵
	3.000	7.02	11/03/20	7.08	0.06 W	0.00	9 of 99 - Sale ²⁵
	4.000	9.36	11/03/20	9.60	0.24 W	0.00	10 of 99 - Sale ²⁵
	6.000	14.04	11/03/20	14.15	0.11 W	0.00	11 of 99 - Sale ²⁵
	30.000	70.19	11/03/20	72.03	1.84 W	0.00	12 of 99 - Sale ²⁵
	56.000	131.03	11/03/20	134.40	3.37 W	0.00	13 of 99 - Sale ²⁵
	1.000	2.34	11/03/20	2.43	0.09 W	0.00	14 of 99 - Sale ²⁵
	1.000	2.34	11/03/20	2.43	0.09 W	0.00	15 of 99 - Sale ²⁵
	1.000	2.34	11/03/20	2.43	0.09 W	0.00	16 of 99 - Sale ²⁵
	1.000	2.34	11/03/20	2.39	0.05 W	0.00	17 of 99 - Sale ²⁵
	2.000	4.68	11/03/20	4.86	0.18 W	0.00	18 of 99 - Sale ²⁵
	2.000	4.68	11/03/20	4.86	0.18 W	0.00	19 of 99 - Sale ²⁵
	5.000	11.70	11/03/20	12.19	0.49 W	0.00	20 of 99 - Sale ²⁵
	6.000	14.04	11/03/20	14.33	0.29 W	0.00	21 of 99 - Sale ²⁵
	7.000	16.38	11/03/20	17.00	0.62 W	0.00	22 of 99 - Sale ²⁵
	7.000	16.38	11/03/20	17.00	0.62 W	0.00	23 of 99 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	8.000	18.72	11/03/20	19.43	0.71 W	0.00	24 of 99 - Sale ²⁵
	8.000	18.72	11/03/20	19.43	0.71 W	0.00	25 of 99 - Sale ²⁵
	9.000	21.06	11/03/20	21.95	0.89 W	0.00	26 of 99 - Sale ²⁵
	10.000	23.40	11/03/20	24.29	0.89 W	0.00	27 of 99 - Sale ²⁵
	14.000	32.76	11/03/20	34.01	1.25 W	0.00	28 of 99 - Sale ²⁵
	14.000	32.76	11/03/20	34.00	1.24 W	0.00	29 of 99 - Sale ²⁵
	16.000	37.44	11/03/20	38.86	1.42 W	0.00	30 of 99 - Sale ²⁵
	18.000	42.12	11/03/20	43.92	1.80 W	0.00	31 of 99 - Sale ²⁵
	19.000	44.46	11/03/20	46.14	1.68 W	0.00	32 of 99 - Sale ²⁵
	19.000	44.46	11/03/20	46.15	1.69 W	0.00	33 of 99 - Sale ²⁵
	20.000	46.80	11/03/20	48.56	1.76 W	0.00	34 of 99 - Sale ²⁵
	26.000	60.84	11/03/20	63.16	2.32 W	0.00	35 of 99 - Sale ²⁵
	31.000	72.53	11/03/20	75.33	2.80 W	0.00	36 of 99 - Sale ²⁵
	36.000	84.23	11/03/20	87.47	3.24 W	0.00	37 of 99 - Sale ²⁵
	37.000	86.57	11/03/20	89.90	3.33 W	0.00	38 of 99 - Sale ²⁵
	72.000	168.47	11/03/20	175.65	7.18 W	0.00	39 of 99 - Sale ²⁵
	3.000	7.02	11/03/20	7.16	0.14 W	0.00	40 of 99 - Sale ²⁵
	5.000	11.70	11/03/20	11.94	0.24 W	0.00	41 of 99 - Sale ²⁵
	1.000	2.34	11/03/20	2.39	0.05 W	0.00	42 of 99 - Sale ²⁵
	1.000	2.34	11/03/20	2.39	0.05 W	0.00	43 of 99 - Sale ²⁵
	1.000	2.34	11/03/20	2.39	0.05 W	0.00	44 of 99 - Sale ²⁵
	1.000	2.34	11/03/20	2.39	0.05 W	0.00	45 of 99 - Sale ²⁵
	2.000	4.68	11/03/20	4.78	0.10 W	0.00	46 of 99 - Sale ²⁵
	2.000	4.68	11/03/20	4.77	0.09 W	0.00	47 of 99 - Sale ²⁵
	3.000	7.02	11/03/20	7.17	0.15 W	0.00	48 of 99 - Sale ²⁵
	3.000	7.02	11/03/20	7.17	0.15 W	0.00	49 of 99 - Sale ²⁵
	4.000	9.36	11/03/20	9.55	0.19 W	0.00	50 of 99 - Sale ²⁵
	4.000	9.36	11/03/20	9.55	0.19 W	0.00	51 of 99 - Sale ²⁵
	4.000	9.36	11/03/20	9.55	0.19 W	0.00	52 of 99 - Sale ²⁵
	5.000	11.70	11/03/20	11.94	0.24 W	0.00	53 of 99 - Sale ²⁵
	5.000	11.70	11/03/20	11.94	0.24 W	0.00	54 of 99 - Sale ²⁵
	6.000	14.04	11/03/20	14.33	0.29 W	0.00	55 of 99 - Sale ²⁵
	6.000	14.04	11/03/20	14.34	0.30 W	0.00	56 of 99 - Sale ²⁵
	7.000	16.38	11/03/20	16.72	0.34 W	0.00	57 of 99 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	10.000	23.40	11/03/20	23.90	0.50 W	0.00	58 of 99 - Sale ²⁵
	13.000	30.42	11/03/20	31.06	0.64 W	0.00	59 of 99 - Sale ²⁵
	15.000	35.10	11/03/20	35.84	0.74 W	0.00	60 of 99 - Sale ²⁵
	15.000	35.10	11/03/20	35.83	0.73 W	0.00	61 of 99 - Sale ²⁵
	16.000	37.44	11/03/20	38.23	0.79 W	0.00	62 of 99 - Sale ²⁵
	17.000	39.78	11/03/20	40.62	0.84 W	0.00	63 of 99 - Sale ²⁵
	18.000	42.12	11/03/20	43.01	0.89 W	0.00	64 of 99 - Sale ²⁵
	18.000	42.12	11/03/20	43.01	0.89 W	0.00	65 of 99 - Sale ²⁵
	19.000	44.46	11/03/20	45.38	0.92 W	0.00	66 of 99 - Sale ²⁵
	20.000	46.80	11/03/20	47.76	0.96 W	0.00	67 of 99 - Sale ²⁵
	21.000	49.14	11/03/20	50.15	1.01 W	0.00	68 of 99 - Sale ²⁵
	22.000	51.48	11/03/20	52.55	1.07 W	0.00	69 of 99 - Sale ²⁵
	22.000	51.48	11/03/20	52.55	1.07 W	0.00	70 of 99 - Sale ²⁵
	22.000	51.48	11/03/20	52.56	1.08 W	0.00	71 of 99 - Sale ²⁵
	22.000	51.48	11/03/20	52.56	1.08 W	0.00	72 of 99 - Sale ²⁵
	22.000	51.48	11/03/20	52.56	1.08 W	0.00	73 of 99 - Sale ²⁵
	25.000	58.50	11/03/20	59.71	1.21 W	0.00	74 of 99 - Sale ²⁵
	26.000	60.84	11/03/20	62.13	1.29 W	0.00	75 of 99 - Sale ²⁵
	28.000	65.52	11/03/20	66.91	1.39 W	0.00	76 of 99 - Sale ²⁵
	28.000	65.51	11/03/20	66.93	1.42 W	0.00	77 of 99 - Sale ²⁵
	28.000	65.52	11/03/20	66.91	1.39 W	0.00	78 of 99 - Sale ²⁵
	29.000	67.85	11/03/20	69.30	1.45 W	0.00	79 of 99 - Sale ²⁵
	35.000	81.89	11/03/20	83.66	1.77 W	0.00	80 of 99 - Sale ²⁵
	37.000	86.57	11/03/20	88.42	1.85 W	0.00	81 of 99 - Sale ²⁵
	38.000	88.91	11/03/20	90.82	1.91 W	0.00	82 of 99 - Sale ²⁵
	38.000	88.91	11/03/20	90.81	1.90 W	0.00	83 of 99 - Sale ²⁵
	39.000	91.25	11/03/20	93.20	1.95 W	0.00	84 of 99 - Sale ²⁵
	40.000	93.59	11/03/20	95.61	2.02 W	0.00	85 of 99 - Sale ²⁵
	40.000	93.59	11/03/20	95.59	2.00 W	0.00	86 of 99 - Sale ²⁵
	40.000	93.59	11/03/20	95.59	2.00 W	0.00	87 of 99 - Sale ²⁵
	46.000	107.63	11/03/20	109.93	2.30 W	0.00	88 of 99 - Sale ²⁵
	54.000	126.35	11/03/20	129.06	2.71 W	0.00	89 of 99 - Sale ²⁵
	54.000	126.35	11/03/20	129.06	2.71 W	0.00	90 of 99 - Sale ²⁵
	55.000	128.69	11/03/20	131.45	2.76 W	0.00	91 of 99 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	59.000	138.05	11/03/20	141.01	2.96 W	0.00	92 of 99 - Sale ²⁵
	60.000	140.39	11/03/20	143.40	3.01 W	0.00	93 of 99 - Sale ²⁵
	60.000	140.39	11/03/20	143.40	3.01 W	0.00	94 of 99 - Sale ²⁵
	65.000	152.09	11/03/20	155.33	3.24 W	0.00	95 of 99 - Sale ²⁵
	81.000	189.53	11/03/20	193.58	4.05 W	0.00	96 of 99 - Sale ²⁵
	91.000	212.92	11/03/20	217.49	4.57 W	0.00	97 of 99 - Sale ²⁵
	107.000	250.36	11/03/20	255.71	5.35 W	0.00	98 of 99 - Sale ²⁵
	228.000	533.48	11/03/20	544.93	11.45 W	0.00	99 of 99 - Sale ²⁵
11/05/20	2,406.000	5,629.69	Various	5,772.95	143.26 W	0.00	Total of 99 transactions
	16 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	1.000	2.54	11/10/20	2.54	...	0.00	1 of 16 - Sale ²⁵
	3.000	7.62	11/10/20	7.62	...	0.00	2 of 16 - Sale ²⁵
	4.000	10.16	11/10/20	10.16	...	0.00	3 of 16 - Sale ²⁵
	6.000	15.24	11/10/20	15.23	...	0.01	4 of 16 - Sale ²⁵
	1.000	2.54	11/10/20	2.53	...	0.01	5 of 16 - Sale ²⁵
	3.000	7.62	11/10/20	7.58	...	0.04	6 of 16 - Sale ²⁵
	4.000	10.16	11/10/20	10.12	...	0.04	7 of 16 - Sale ²⁵
	5.000	12.70	11/10/20	12.64	...	0.06	8 of 16 - Sale ²⁵
	5.000	12.70	11/10/20	12.64	...	0.06	9 of 16 - Sale ²⁵
	6.000	15.24	11/10/20	15.17	...	0.07	10 of 16 - Sale ²⁵
	17.000	43.18	11/10/20	43.01	...	0.17	11 of 16 - Sale ²⁵
	21.000	53.34	11/10/20	53.10	...	0.24	12 of 16 - Sale ²⁵
	100.000	253.98	11/10/20	252.00	...	1.98	13 of 16 - Sale ²⁵
	296.000	751.78	11/10/20	745.92	...	5.86	14 of 16 - Sale ²⁵
	1,129.000	2,867.42	11/10/20	2,845.08	...	22.34	15 of 16 - Sale ²⁵
	2,008.000	5,099.92	11/10/20	5,060.16	...	39.76	16 of 16 - Sale ²⁵
11/10/20	3,609.000	9,166.14	Various	9,095.50	...	70.64	Total of 16 transactions
	647 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	4.000	10.16	11/10/20	10.32	0.16 W	0.00	1 of 647 - Sale ²⁵
	30.000	76.19	11/10/20	77.44	1.25 W	0.00	2 of 647 - Sale ²⁵
	56.000	142.23	11/10/20	144.49	2.26 W	0.00	3 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.61	0.07 W	0.00	4 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.61	0.07 W	0.00	5 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.61	0.07 W	0.00	6 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1.000	2.54	11/10/20	2.57	0.03 W	0.00	7 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.24	0.16 W	0.00	8 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.22	0.14 W	0.00	9 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.22	0.14 W	0.00	10 of 647 - Sale ²⁵
	3.000	7.62	11/10/20	7.70	0.08 W	0.00	11 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	13.10	0.40 W	0.00	12 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	13.09	0.39 W	0.00	13 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	12.84	0.14 W	0.00	14 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	12.84	0.14 W	0.00	15 of 647 - Sale ²⁵
	6.000	15.24	11/10/20	15.41	0.17 W	0.00	16 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	18.33	0.55 W	0.00	17 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	18.26	0.48 W	0.00	18 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	18.26	0.48 W	0.00	19 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.96	0.64 W	0.00	20 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.87	0.55 W	0.00	21 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.87	0.55 W	0.00	22 of 647 - Sale ²⁵
	9.000	22.86	11/10/20	23.57	0.71 W	0.00	23 of 647 - Sale ²⁵
	10.000	25.40	11/10/20	26.09	0.69 W	0.00	24 of 647 - Sale ²⁵
	14.000	35.56	11/10/20	36.53	0.97 W	0.00	25 of 647 - Sale ²⁵
	14.000	35.56	11/10/20	36.52	0.96 W	0.00	26 of 647 - Sale ²⁵
	15.000	38.10	11/10/20	39.29	1.19 W	0.00	27 of 647 - Sale ²⁵
	16.000	40.64	11/10/20	41.74	1.10 W	0.00	28 of 647 - Sale ²⁵
	17.000	43.17	11/10/20	43.68	0.51 W	0.00	29 of 647 - Sale ²⁵
	18.000	45.72	11/10/20	47.16	1.44 W	0.00	30 of 647 - Sale ²⁵
	19.000	48.26	11/10/20	49.56	1.30 W	0.00	31 of 647 - Sale ²⁵
	19.000	48.25	11/10/20	49.57	1.32 W	0.00	32 of 647 - Sale ²⁵
	20.000	50.80	11/10/20	52.16	1.36 W	0.00	33 of 647 - Sale ²⁵
	21.000	53.33	11/10/20	53.93	0.60 W	0.00	34 of 647 - Sale ²⁵
	26.000	66.03	11/10/20	67.84	1.81 W	0.00	35 of 647 - Sale ²⁵
	4.000	10.16	11/10/20	10.28	0.12 W	0.00	36 of 647 - Sale ²⁵
	31.000	78.73	11/10/20	80.92	2.19 W	0.00	37 of 647 - Sale ²⁵
	36.000	91.43	11/10/20	93.96	2.53 W	0.00	38 of 647 - Sale ²⁵
	37.000	93.97	11/10/20	96.57	2.60 W	0.00	39 of 647 - Sale ²⁵
	38.000	96.51	11/10/20	99.57	3.06 W	0.00	40 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	55.000	139.69	11/10/20	144.11	4.42 W	0.00	41 of 647 - Sale ²⁵
	72.000	182.87	11/10/20	188.62	5.75 W	0.00	42 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.57	0.03 W	0.00	43 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.57	0.03 W	0.00	44 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.14	0.06 W	0.00	45 of 647 - Sale ²⁵
	4.000	10.16	11/10/20	10.27	0.11 W	0.00	46 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	12.84	0.14 W	0.00	47 of 647 - Sale ²⁵
	24.000	60.96	11/10/20	61.67	0.71 W	0.00	48 of 647 - Sale ²⁵
	55.000	139.69	11/10/20	141.36	1.67 W	0.00	49 of 647 - Sale ²⁵
	59.000	149.85	11/10/20	151.64	1.79 W	0.00	50 of 647 - Sale ²⁵
	61.000	154.93	11/10/20	156.78	1.85 W	0.00	51 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.57	0.03 W	0.00	52 of 647 - Sale ²⁵
	10.000	25.40	11/10/20	25.71	0.31 W	0.00	53 of 647 - Sale ²⁵
	16.000	40.64	11/10/20	41.11	0.47 W	0.00	54 of 647 - Sale ²⁵
	22.000	55.88	11/10/20	56.51	0.63 W	0.00	55 of 647 - Sale ²⁵
	30.000	76.19	11/10/20	77.11	0.92 W	0.00	56 of 647 - Sale ²⁵
	37.000	93.97	11/10/20	95.09	1.12 W	0.00	57 of 647 - Sale ²⁵
	38.000	96.51	11/10/20	97.67	1.16 W	0.00	58 of 647 - Sale ²⁵
	46.000	116.83	11/10/20	118.22	1.39 W	0.00	59 of 647 - Sale ²⁵
	10.000	25.40	11/10/20	25.69	0.29 W	0.00	60 of 647 - Sale ²⁵
	18.000	45.72	11/10/20	46.25	0.53 W	0.00	61 of 647 - Sale ²⁵
	22.000	55.88	11/10/20	56.51	0.63 W	0.00	62 of 647 - Sale ²⁵
	30.000	76.19	11/10/20	77.11	0.92 W	0.00	63 of 647 - Sale ²⁵
	60.000	152.39	11/10/20	154.21	1.82 W	0.00	64 of 647 - Sale ²⁵
	60.000	152.39	11/10/20	154.21	1.82 W	0.00	65 of 647 - Sale ²⁵
	6.000	15.24	11/10/20	15.41	0.17 W	0.00	66 of 647 - Sale ²⁵
	10.000	25.40	11/10/20	25.69	0.29 W	0.00	67 of 647 - Sale ²⁵
	12.000	30.48	11/10/20	30.83	0.35 W	0.00	68 of 647 - Sale ²⁵
	15.000	38.10	11/10/20	38.54	0.44 W	0.00	69 of 647 - Sale ²⁵
	18.000	45.72	11/10/20	46.25	0.53 W	0.00	70 of 647 - Sale ²⁵
	22.000	55.88	11/10/20	56.52	0.64 W	0.00	71 of 647 - Sale ²⁵
	38.000	96.51	11/10/20	97.66	1.15 W	0.00	72 of 647 - Sale ²⁵
	39.000	99.05	11/10/20	100.23	1.18 W	0.00	73 of 647 - Sale ²⁵
	40.000	101.59	11/10/20	102.80	1.21 W	0.00	74 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1.000	2.54	11/10/20	2.57	0.03 W	0.00	75 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.13	0.05 W	0.00	76 of 647 - Sale ²⁵
	3.000	7.62	11/10/20	7.71	0.09 W	0.00	77 of 647 - Sale ²⁵
	3.000	7.62	11/10/20	7.71	0.09 W	0.00	78 of 647 - Sale ²⁵
	4.000	10.16	11/10/20	10.27	0.11 W	0.00	79 of 647 - Sale ²⁵
	4.000	10.16	11/10/20	10.27	0.11 W	0.00	80 of 647 - Sale ²⁵
	6.000	15.24	11/10/20	15.42	0.18 W	0.00	81 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	17.98	0.20 W	0.00	82 of 647 - Sale ²⁵
	10.000	25.40	11/10/20	25.70	0.30 W	0.00	83 of 647 - Sale ²⁵
	12.000	30.48	11/10/20	30.83	0.35 W	0.00	84 of 647 - Sale ²⁵
	13.000	33.02	11/10/20	33.40	0.38 W	0.00	85 of 647 - Sale ²⁵
	16.000	40.64	11/10/20	41.12	0.48 W	0.00	86 of 647 - Sale ²⁵
	19.000	48.26	11/10/20	48.80	0.54 W	0.00	87 of 647 - Sale ²⁵
	20.000	50.80	11/10/20	51.36	0.56 W	0.00	88 of 647 - Sale ²⁵
	25.000	63.50	11/10/20	64.21	0.71 W	0.00	89 of 647 - Sale ²⁵
	26.000	66.04	11/10/20	66.81	0.77 W	0.00	90 of 647 - Sale ²⁵
	40.000	101.59	11/10/20	102.80	1.21 W	0.00	91 of 647 - Sale ²⁵
	54.000	137.15	11/10/20	138.79	1.64 W	0.00	92 of 647 - Sale ²⁵
	54.000	137.15	11/10/20	138.79	1.64 W	0.00	93 of 647 - Sale ²⁵
	81.000	205.73	11/10/20	208.17	2.44 W	0.00	94 of 647 - Sale ²⁵
	180.000	457.17	11/10/20	462.64	5.47 W	0.00	95 of 647 - Sale ²⁵
	4.000	10.16	11/10/20	10.24	0.08 W	0.00	96 of 647 - Sale ²⁵
	30.000	76.19	11/10/20	76.85	0.66 W	0.00	97 of 647 - Sale ²⁵
	56.000	142.23	11/10/20	143.38	1.15 W	0.00	98 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.59	0.05 W	0.00	99 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.59	0.05 W	0.00	100 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.59	0.05 W	0.00	101 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.55	0.01 W	0.00	102 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.20	0.12 W	0.00	103 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.18	0.10 W	0.00	104 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.18	0.10 W	0.00	105 of 647 - Sale ²⁵
	3.000	7.62	11/10/20	7.64	0.02 W	0.00	106 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	13.00	0.30 W	0.00	107 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	12.99	0.29 W	0.00	108 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	5.000	12.70	11/10/20	12.74	0.04 W	0.00	109 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	12.74	0.04 W	0.00	110 of 647 - Sale ²⁵
	6.000	15.24	11/10/20	15.29	0.05 W	0.00	111 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	18.19	0.41 W	0.00	112 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	18.12	0.34 W	0.00	113 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	18.12	0.34 W	0.00	114 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.80	0.48 W	0.00	115 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.71	0.39 W	0.00	116 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.71	0.39 W	0.00	117 of 647 - Sale ²⁵
	9.000	22.86	11/10/20	23.39	0.53 W	0.00	118 of 647 - Sale ²⁵
	10.000	25.40	11/10/20	25.89	0.49 W	0.00	119 of 647 - Sale ²⁵
	14.000	35.56	11/10/20	36.25	0.69 W	0.00	120 of 647 - Sale ²⁵
	14.000	35.56	11/10/20	36.24	0.68 W	0.00	121 of 647 - Sale ²⁵
	15.000	38.10	11/10/20	38.53	0.43 W	0.00	122 of 647 - Sale ²⁵
	15.000	38.10	11/10/20	38.99	0.89 W	0.00	123 of 647 - Sale ²⁵
	16.000	40.64	11/10/20	41.42	0.78 W	0.00	124 of 647 - Sale ²⁵
	17.000	43.18	11/10/20	43.35	0.17 W	0.00	125 of 647 - Sale ²⁵
	18.000	45.72	11/10/20	46.80	1.08 W	0.00	126 of 647 - Sale ²⁵
	19.000	48.26	11/10/20	49.18	0.92 W	0.00	127 of 647 - Sale ²⁵
	19.000	48.26	11/10/20	49.20	0.94 W	0.00	128 of 647 - Sale ²⁵
	20.000	50.80	11/10/20	51.76	0.96 W	0.00	129 of 647 - Sale ²⁵
	21.000	53.34	11/10/20	53.52	0.18 W	0.00	130 of 647 - Sale ²⁵
	26.000	66.04	11/10/20	67.33	1.29 W	0.00	131 of 647 - Sale ²⁵
	28.000	71.11	11/10/20	71.98	0.87 W	0.00	132 of 647 - Sale ²⁵
	28.000	71.11	11/10/20	71.95	0.84 W	0.00	133 of 647 - Sale ²⁵
	29.000	73.65	11/10/20	74.53	0.88 W	0.00	134 of 647 - Sale ²⁵
	31.000	78.73	11/10/20	80.31	1.58 W	0.00	135 of 647 - Sale ²⁵
	32.000	81.27	11/10/20	82.25	0.98 W	0.00	136 of 647 - Sale ²⁵
	35.000	88.89	11/10/20	89.97	1.08 W	0.00	137 of 647 - Sale ²⁵
	36.000	91.43	11/10/20	93.25	1.82 W	0.00	138 of 647 - Sale ²⁵
	37.000	93.97	11/10/20	95.84	1.87 W	0.00	139 of 647 - Sale ²⁵
	38.000	96.51	11/10/20	98.82	2.31 W	0.00	140 of 647 - Sale ²⁵
	55.000	139.69	11/10/20	143.02	3.33 W	0.00	141 of 647 - Sale ²⁵
	65.000	165.09	11/10/20	167.04	1.95 W	0.00	142 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	72.000	182.87	11/10/20	187.19	4.32 W	0.00	143 of 647 - Sale ²⁵
	107.000	271.76	11/10/20	274.99	3.23 W	0.00	144 of 647 - Sale ²⁵
	4.000	10.16	11/10/20	10.20	0.04 W	0.00	145 of 647 - Sale ²⁵
	30.000	76.19	11/10/20	76.26	0.07 W	0.00	146 of 647 - Sale ²⁵
	56.000	142.23	11/10/20	142.27	0.04 W	0.00	147 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.55	0.01 W	0.00	148 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.55	0.01 W	0.00	149 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.10	0.02 W	0.00	150 of 647 - Sale ²⁵
	4.000	10.16	11/10/20	10.19	0.03 W	0.00	151 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	12.74	0.04 W	0.00	152 of 647 - Sale ²⁵
	16.000	40.64	11/10/20	40.79	0.15 W	0.00	153 of 647 - Sale ²⁵
	24.000	60.96	11/10/20	61.19	0.23 W	0.00	154 of 647 - Sale ²⁵
	30.000	76.19	11/10/20	76.52	0.33 W	0.00	155 of 647 - Sale ²⁵
	38.000	96.51	11/10/20	96.92	0.41 W	0.00	156 of 647 - Sale ²⁵
	14.000	35.56	11/10/20	35.70	0.14 W	0.00	157 of 647 - Sale ²⁵
	55.000	139.69	11/10/20	140.27	0.58 W	0.00	158 of 647 - Sale ²⁵
	59.000	149.85	11/10/20	150.47	0.62 W	0.00	159 of 647 - Sale ²⁵
	61.000	154.92	11/10/20	155.57	0.65 W	0.00	160 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.55	0.01 W	0.00	161 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.41	0.09 W	0.00	162 of 647 - Sale ²⁵
	22.000	55.88	11/10/20	56.07	0.19 W	0.00	163 of 647 - Sale ²⁵
	37.000	93.97	11/10/20	94.36	0.39 W	0.00	164 of 647 - Sale ²⁵
	32.000	81.28	11/10/20	81.61	0.33 W	0.00	165 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.10	0.02 W	0.00	166 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.39	0.07 W	0.00	167 of 647 - Sale ²⁵
	30.000	76.20	11/10/20	76.52	0.32 W	0.00	168 of 647 - Sale ²⁵
	60.000	152.39	11/10/20	153.02	0.63 W	0.00	169 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.39	0.07 W	0.00	170 of 647 - Sale ²⁵
	18.000	45.72	11/10/20	45.89	0.17 W	0.00	171 of 647 - Sale ²⁵
	14.000	35.56	11/10/20	35.68	0.12 W	0.00	172 of 647 - Sale ²⁵
	60.000	152.39	11/10/20	153.02	0.63 W	0.00	173 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.55	0.01 W	0.00	174 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.09	0.01 W	0.00	175 of 647 - Sale ²⁵
	3.000	7.62	11/10/20	7.65	0.03 W	0.00	176 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	3.000	7.62	11/10/20	7.65	0.03 W	0.00	177 of 647 - Sale ²⁵
	4.000	10.16	11/10/20	10.19	0.03 W	0.00	178 of 647 - Sale ²⁵
	4.000	10.16	11/10/20	10.19	0.03 W	0.00	179 of 647 - Sale ²⁵
	6.000	15.24	11/10/20	15.29	0.05 W	0.00	180 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.10	0.02 W	0.00	181 of 647 - Sale ²⁵
	10.000	25.40	11/10/20	25.49	0.09 W	0.00	182 of 647 - Sale ²⁵
	10.000	25.40	11/10/20	25.50	0.10 W	0.00	183 of 647 - Sale ²⁵
	12.000	30.48	11/10/20	30.59	0.11 W	0.00	184 of 647 - Sale ²⁵
	12.000	30.48	11/10/20	30.59	0.11 W	0.00	185 of 647 - Sale ²⁵
	15.000	38.10	11/10/20	38.24	0.14 W	0.00	186 of 647 - Sale ²⁵
	18.000	45.72	11/10/20	45.89	0.17 W	0.00	187 of 647 - Sale ²⁵
	19.000	48.25	11/10/20	48.42	0.17 W	0.00	188 of 647 - Sale ²⁵
	22.000	55.88	11/10/20	56.08	0.20 W	0.00	189 of 647 - Sale ²⁵
	25.000	63.49	11/10/20	63.71	0.22 W	0.00	190 of 647 - Sale ²⁵
	26.000	66.03	11/10/20	66.29	0.26 W	0.00	191 of 647 - Sale ²⁵
	38.000	96.51	11/10/20	96.91	0.40 W	0.00	192 of 647 - Sale ²⁵
	39.000	99.05	11/10/20	99.46	0.41 W	0.00	193 of 647 - Sale ²⁵
	40.000	101.59	11/10/20	102.01	0.42 W	0.00	194 of 647 - Sale ²⁵
	40.000	101.59	11/10/20	102.01	0.42 W	0.00	195 of 647 - Sale ²⁵
	50.000	126.99	11/10/20	127.51	0.52 W	0.00	196 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.57	0.03 W	0.00	197 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.57	0.03 W	0.00	198 of 647 - Sale ²⁵
	1.000	2.54	11/10/20	2.57	0.03 W	0.00	199 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.16	0.08 W	0.00	200 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.14	0.06 W	0.00	201 of 647 - Sale ²⁵
	2.000	5.08	11/10/20	5.14	0.06 W	0.00	202 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	12.90	0.20 W	0.00	203 of 647 - Sale ²⁵
	5.000	12.70	11/10/20	12.89	0.19 W	0.00	204 of 647 - Sale ²⁵
	6.000	15.24	11/10/20	15.30	0.06 W	0.00	205 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	17.84	0.06 W	0.00	206 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	18.05	0.27 W	0.00	207 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	17.98	0.20 W	0.00	208 of 647 - Sale ²⁵
	7.000	17.78	11/10/20	17.98	0.20 W	0.00	209 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.64	0.32 W	0.00	210 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	8.000	20.32	11/10/20	20.55	0.23 W	0.00	211 of 647 - Sale ²⁵
	8.000	20.32	11/10/20	20.55	0.23 W	0.00	212 of 647 - Sale ²⁵
	9.000	22.86	11/10/20	23.21	0.35 W	0.00	213 of 647 - Sale ²⁵
	10.000	25.40	11/10/20	25.69	0.29 W	0.00	214 of 647 - Sale ²⁵
	13.000	33.02	11/10/20	33.14	0.12 W	0.00	215 of 647 - Sale ²⁵
	14.000	35.56	11/10/20	35.97	0.41 W	0.00	216 of 647 - Sale ²⁵
	14.000	35.56	11/10/20	35.96	0.40 W	0.00	217 of 647 - Sale ²⁵
	15.000	38.10	11/10/20	38.23	0.13 W	0.00	218 of 647 - Sale ²⁵
	15.000	38.10	11/10/20	38.69	0.59 W	0.00	219 of 647 - Sale ²⁵
	16.000	40.64	11/10/20	40.80	0.16 W	0.00	220 of 647 - Sale ²⁵
	16.000	40.64	11/10/20	41.10	0.46 W	0.00	221 of 647 - Sale ²⁵
	18.000	45.72	11/10/20	46.44	0.72 W	0.00	222 of 647 - Sale ²⁵
	19.000	48.26	11/10/20	48.80	0.54 W	0.00	223 of 647 - Sale ²⁵
	19.000	48.26	11/10/20	48.82	0.56 W	0.00	224 of 647 - Sale ²⁵
	20.000	50.80	11/10/20	50.96	0.16 W	0.00	225 of 647 - Sale ²⁵
	20.000	50.80	11/10/20	51.36	0.56 W	0.00	226 of 647 - Sale ²⁵
	26.000	66.04	11/10/20	66.81	0.77 W	0.00	227 of 647 - Sale ²⁵
	28.000	71.11	11/10/20	71.43	0.32 W	0.00	228 of 647 - Sale ²⁵
	28.000	71.12	11/10/20	71.40	0.28 W	0.00	229 of 647 - Sale ²⁵
	29.000	73.65	11/10/20	73.96	0.31 W	0.00	230 of 647 - Sale ²⁵
	31.000	78.73	11/10/20	79.70	0.97 W	0.00	231 of 647 - Sale ²⁵
	32.000	81.27	11/10/20	81.62	0.35 W	0.00	232 of 647 - Sale ²⁵
	35.000	88.89	11/10/20	89.28	0.39 W	0.00	233 of 647 - Sale ²⁵
	36.000	91.43	11/10/20	92.54	1.11 W	0.00	234 of 647 - Sale ²⁵
	37.000	93.97	11/10/20	95.11	1.14 W	0.00	235 of 647 - Sale ²⁵
	38.000	96.51	11/10/20	98.07	1.56 W	0.00	236 of 647 - Sale ²⁵
	54.000	137.15	11/10/20	137.72	0.57 W	0.00	237 of 647 - Sale ²⁵
	54.000	137.15	11/10/20	137.72	0.57 W	0.00	238 of 647 - Sale ²⁵
	55.000	139.69	11/10/20	141.93	2.24 W	0.00	239 of 647 - Sale ²⁵
	65.000	165.09	11/10/20	165.75	0.66 W	0.00	240 of 647 - Sale ²⁵
	72.000	182.87	11/10/20	185.76	2.89 W	0.00	241 of 647 - Sale ²⁵
	31.000	78.73	11/10/20	79.05	0.32 W	0.00	242 of 647 - Sale ²⁵
	107.000	271.76	11/10/20	272.87	1.11 W	0.00	243 of 647 - Sale ²⁵
	180.000	457.17	11/10/20	459.07	1.90 W	0.00	244 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	30.000	72.29	11/10/20	75.07	2.78 W	0.00	245 of 647 - Sale ²⁵
	56.000	134.95	11/10/20	140.04	5.09 W	0.00	246 of 647 - Sale ²⁵
	1.000	2.41	11/10/20	2.51	0.10 W	0.00	247 of 647 - Sale ²⁵
	1.000	2.41	11/10/20	2.51	0.10 W	0.00	248 of 647 - Sale ²⁵
	2.000	4.82	11/10/20	5.02	0.20 W	0.00	249 of 647 - Sale ²⁵
	4.000	9.64	11/10/20	10.03	0.39 W	0.00	250 of 647 - Sale ²⁵
	5.000	12.05	11/10/20	12.54	0.49 W	0.00	251 of 647 - Sale ²⁵
	24.000	57.84	11/10/20	60.23	2.39 W	0.00	252 of 647 - Sale ²⁵
	2.000	4.82	11/10/20	5.02	0.20 W	0.00	253 of 647 - Sale ²⁵
	55.000	132.54	11/10/20	138.08	5.54 W	0.00	254 of 647 - Sale ²⁵
	59.000	142.18	11/10/20	148.12	5.94 W	0.00	255 of 647 - Sale ²⁵
	61.000	146.99	11/10/20	153.15	6.16 W	0.00	256 of 647 - Sale ²⁵
	1.000	2.41	11/10/20	2.51	0.10 W	0.00	257 of 647 - Sale ²⁵
	2.000	4.82	11/10/20	5.02	0.20 W	0.00	258 of 647 - Sale ²⁵
	8.000	19.28	11/10/20	20.09	0.81 W	0.00	259 of 647 - Sale ²⁵
	8.000	19.28	11/10/20	20.07	0.79 W	0.00	260 of 647 - Sale ²⁵
	14.000	33.74	11/10/20	35.14	1.40 W	0.00	261 of 647 - Sale ²⁵
	4.000	9.64	11/10/20	10.03	0.39 W	0.00	262 of 647 - Sale ²⁵
	16.000	38.56	11/10/20	40.15	1.59 W	0.00	263 of 647 - Sale ²⁵
	22.000	53.02	11/10/20	55.19	2.17 W	0.00	264 of 647 - Sale ²⁵
	28.000	67.47	11/10/20	70.31	2.84 W	0.00	265 of 647 - Sale ²⁵
	30.000	72.29	11/10/20	75.32	3.03 W	0.00	266 of 647 - Sale ²⁵
	32.000	77.11	11/10/20	80.33	3.22 W	0.00	267 of 647 - Sale ²⁵
	37.000	89.16	11/10/20	92.89	3.73 W	0.00	268 of 647 - Sale ²⁵
	38.000	91.57	11/10/20	95.41	3.84 W	0.00	269 of 647 - Sale ²⁵
	60.000	144.59	11/10/20	150.63	6.04 W	0.00	270 of 647 - Sale ²⁵
	2.000	4.82	11/10/20	5.02	0.20 W	0.00	271 of 647 - Sale ²⁵
	6.000	14.46	11/10/20	15.05	0.59 W	0.00	272 of 647 - Sale ²⁵
	8.000	19.28	11/10/20	20.07	0.79 W	0.00	273 of 647 - Sale ²⁵
	10.000	24.10	11/10/20	25.09	0.99 W	0.00	274 of 647 - Sale ²⁵
	12.000	28.92	11/10/20	30.11	1.19 W	0.00	275 of 647 - Sale ²⁵
	2.000	4.82	11/10/20	5.02	0.20 W	0.00	276 of 647 - Sale ²⁵
	10.000	24.10	11/10/20	25.09	0.99 W	0.00	277 of 647 - Sale ²⁵
	15.000	36.15	11/10/20	37.64	1.49 W	0.00	278 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	18.000	43.38	11/10/20	45.17	1.79 W	0.00	279 of 647 - Sale ²⁵
	18.000	43.38	11/10/20	45.17	1.79 W	0.00	280 of 647 - Sale ²⁵
	22.000	53.01	11/10/20	55.20	2.19 W	0.00	281 of 647 - Sale ²⁵
	38.000	91.56	11/10/20	95.40	3.84 W	0.00	282 of 647 - Sale ²⁵
	39.000	93.98	11/10/20	97.91	3.93 W	0.00	283 of 647 - Sale ²⁵
	40.000	96.39	11/10/20	100.42	4.03 W	0.00	284 of 647 - Sale ²⁵
	60.000	144.59	11/10/20	150.63	6.04 W	0.00	285 of 647 - Sale ²⁵
	1.000	2.41	11/10/20	2.51	0.10 W	0.00	286 of 647 - Sale ²⁵
	2.000	4.82	11/10/20	5.01	0.19 W	0.00	287 of 647 - Sale ²⁵
	4.000	9.64	11/10/20	10.03	0.39 W	0.00	288 of 647 - Sale ²⁵
	4.000	9.64	11/10/20	10.03	0.39 W	0.00	289 of 647 - Sale ²⁵
	10.000	24.10	11/10/20	25.10	1.00 W	0.00	290 of 647 - Sale ²⁵
	10.000	24.10	11/10/20	25.09	0.99 W	0.00	291 of 647 - Sale ²⁵
	25.000	60.25	11/10/20	62.72	2.47 W	0.00	292 of 647 - Sale ²⁵
	4.000	9.64	11/10/20	10.04	0.40 W	0.00	293 of 647 - Sale ²⁵
	40.000	96.39	11/10/20	100.42	4.03 W	0.00	294 of 647 - Sale ²⁵
	3.000	7.23	11/10/20	7.53	0.30 W	0.00	295 of 647 - Sale ²⁵
	3.000	7.23	11/10/20	7.53	0.30 W	0.00	296 of 647 - Sale ²⁵
	6.000	14.46	11/10/20	15.06	0.60 W	0.00	297 of 647 - Sale ²⁵
	7.000	16.87	11/10/20	17.56	0.69 W	0.00	298 of 647 - Sale ²⁵
	13.000	31.33	11/10/20	32.62	1.29 W	0.00	299 of 647 - Sale ²⁵
	16.000	38.56	11/10/20	40.16	1.60 W	0.00	300 of 647 - Sale ²⁵
	19.000	45.79	11/10/20	47.67	1.88 W	0.00	301 of 647 - Sale ²⁵
	20.000	48.19	11/10/20	50.16	1.97 W	0.00	302 of 647 - Sale ²⁵
	22.000	53.02	11/10/20	55.22	2.20 W	0.00	303 of 647 - Sale ²⁵
	31.000	74.70	11/10/20	77.82	3.12 W	0.00	304 of 647 - Sale ²⁵
	50.000	120.49	11/10/20	125.52	5.03 W	0.00	305 of 647 - Sale ²⁵
	54.000	130.13	11/10/20	135.57	5.44 W	0.00	306 of 647 - Sale ²⁵
	54.000	130.13	11/10/20	135.57	5.44 W	0.00	307 of 647 - Sale ²⁵
	102.000	245.79	11/10/20	256.08	10.29 W	0.00	308 of 647 - Sale ²⁵
	15.000	36.15	11/10/20	37.63	1.48 W	0.00	309 of 647 - Sale ²⁵
	28.000	67.47	11/10/20	70.32	2.85 W	0.00	310 of 647 - Sale ²⁵
	28.000	67.48	11/10/20	70.28	2.80 W	0.00	311 of 647 - Sale ²⁵
	29.000	69.89	11/10/20	72.81	2.92 W	0.00	312 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	32.000	77.11	11/10/20	80.35	3.24 W	0.00	313 of 647 - Sale ²⁵
	35.000	84.34	11/10/20	87.89	3.55 W	0.00	314 of 647 - Sale ²⁵
	65.000	156.64	11/10/20	163.16	6.52 W	0.00	315 of 647 - Sale ²⁵
	90.000	216.88	11/10/20	225.93	9.05 W	0.00	316 of 647 - Sale ²⁵
	78.000	187.96	11/10/20	195.82	7.86 W	0.00	317 of 647 - Sale ²⁵
	2.000	4.82	11/10/20	5.08	0.26 W	0.00	318 of 647 - Sale ²⁵
	5.000	12.05	11/10/20	12.70	0.65 W	0.00	319 of 647 - Sale ²⁵
	7.000	16.87	11/10/20	17.77	0.90 W	0.00	320 of 647 - Sale ²⁵
	8.000	19.28	11/10/20	20.32	1.04 W	0.00	321 of 647 - Sale ²⁵
	9.000	21.69	11/10/20	22.85	1.16 W	0.00	322 of 647 - Sale ²⁵
	15.000	36.15	11/10/20	38.09	1.94 W	0.00	323 of 647 - Sale ²⁵
	18.000	43.38	11/10/20	45.72	2.34 W	0.00	324 of 647 - Sale ²⁵
	38.000	91.58	11/10/20	96.56	4.98 W	0.00	325 of 647 - Sale ²⁵
	55.000	132.54	11/10/20	139.74	7.20 W	0.00	326 of 647 - Sale ²⁵
	26.000	62.65	11/10/20	66.04	3.39 W	0.00	327 of 647 - Sale ²⁵
	17.000	40.97	11/10/20	42.68	1.71 W	0.00	328 of 647 - Sale ²⁵
	38.000	91.58	11/10/20	96.53	4.95 W	0.00	329 of 647 - Sale ²⁵
	5.000	12.05	11/10/20	12.69	0.64 W	0.00	330 of 647 - Sale ²⁵
	8.000	19.28	11/10/20	20.23	0.95 W	0.00	331 of 647 - Sale ²⁵
	14.000	33.74	11/10/20	35.41	1.67 W	0.00	332 of 647 - Sale ²⁵
	11.000	26.51	11/10/20	27.81	1.30 W	0.00	333 of 647 - Sale ²⁵
	16.000	38.55	11/10/20	40.46	1.91 W	0.00	334 of 647 - Sale ²⁵
	8.000	19.28	11/10/20	20.32	1.04 W	0.00	335 of 647 - Sale ²⁵
	7.000	16.87	11/10/20	17.70	0.83 W	0.00	336 of 647 - Sale ²⁵
	3.000	7.23	11/10/20	7.59	0.36 W	0.00	337 of 647 - Sale ²⁵
	19.000	45.79	11/10/20	48.04	2.25 W	0.00	338 of 647 - Sale ²⁵
	32.000	77.11	11/10/20	80.99	3.88 W	0.00	339 of 647 - Sale ²⁵
	1.000	2.41	11/10/20	2.53	0.12 W	0.00	340 of 647 - Sale ²⁵
	1.000	2.41	11/10/20	2.53	0.12 W	0.00	341 of 647 - Sale ²⁵
	1.000	2.41	11/10/20	2.53	0.12 W	0.00	342 of 647 - Sale ²⁵
	2.000	4.82	11/10/20	5.06	0.24 W	0.00	343 of 647 - Sale ²⁵
	2.000	4.82	11/10/20	5.06	0.24 W	0.00	344 of 647 - Sale ²⁵
	7.000	16.87	11/10/20	17.70	0.83 W	0.00	345 of 647 - Sale ²⁵
	8.000	19.28	11/10/20	20.23	0.95 W	0.00	346 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	10.000	24.10	11/10/20	25.29	1.19 W	0.00	347 of 647 - Sale ²⁵
	19.000	45.79	11/10/20	48.06	2.27 W	0.00	348 of 647 - Sale ²⁵
	20.000	48.20	11/10/20	50.56	2.36 W	0.00	349 of 647 - Sale ²⁵
	26.000	62.66	11/10/20	65.77	3.11 W	0.00	350 of 647 - Sale ²⁵
	31.000	74.70	11/10/20	78.47	3.77 W	0.00	351 of 647 - Sale ²⁵
	4.000	9.64	11/10/20	10.12	0.48 W	0.00	352 of 647 - Sale ²⁵
	37.000	89.16	11/10/20	93.64	4.48 W	0.00	353 of 647 - Sale ²⁵
	131.000	315.67	11/10/20	327.50	11.83 W	0.00	354 of 647 - Sale ²⁵
	300.000	722.94	11/10/20	750.00	27.06 W	0.00	355 of 647 - Sale ²⁵
	500.000	1,204.91	11/10/20	1,250.00	45.09 W	0.00	356 of 647 - Sale ²⁵
	100.000	240.99	11/10/20	250.00	9.01 W	0.00	357 of 647 - Sale ²⁵
	400.000	963.92	11/10/20	1,000.00	36.08 W	0.00	358 of 647 - Sale ²⁵
	100.000	240.99	11/10/20	250.00	9.01 W	0.00	359 of 647 - Sale ²⁵
	159.000	383.17	11/10/20	397.50	14.33 W	0.00	360 of 647 - Sale ²⁵
	341.000	821.75	11/10/20	852.50	30.75 W	0.00	361 of 647 - Sale ²⁵
	6,718.000	16,189.22	11/10/20	16,795.00	605.78 W	0.00	362 of 647 - Sale ²⁵
	30.000	72.59	11/10/20	74.78	2.19 W	0.00	363 of 647 - Sale ²⁵
	56.000	135.51	11/10/20	139.49	3.98 W	0.00	364 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.50	0.08 W	0.00	365 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.50	0.08 W	0.00	366 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.00	0.16 W	0.00	367 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.00	0.16 W	0.00	368 of 647 - Sale ²⁵
	4.000	9.68	11/10/20	9.99	0.31 W	0.00	369 of 647 - Sale ²⁵
	5.000	12.10	11/10/20	12.49	0.39 W	0.00	370 of 647 - Sale ²⁵
	24.000	58.08	11/10/20	59.99	1.91 W	0.00	371 of 647 - Sale ²⁵
	55.000	133.09	11/10/20	137.54	4.45 W	0.00	372 of 647 - Sale ²⁵
	59.000	142.77	11/10/20	147.54	4.77 W	0.00	373 of 647 - Sale ²⁵
	61.000	147.60	11/10/20	152.56	4.96 W	0.00	374 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.50	0.08 W	0.00	375 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.00	0.16 W	0.00	376 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.00	0.16 W	0.00	377 of 647 - Sale ²⁵
	4.000	9.68	11/10/20	9.99	0.31 W	0.00	378 of 647 - Sale ²⁵
	8.000	19.36	11/10/20	20.01	0.65 W	0.00	379 of 647 - Sale ²⁵
	8.000	19.36	11/10/20	19.99	0.63 W	0.00	380 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	8.000	19.36	11/10/20	19.99	0.63 W	0.00	381 of 647 - Sale ²⁵
	10.000	24.20	11/10/20	24.99	0.79 W	0.00	382 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.00	0.16 W	0.00	383 of 647 - Sale ²⁵
	14.000	33.88	11/10/20	35.00	1.12 W	0.00	384 of 647 - Sale ²⁵
	16.000	38.72	11/10/20	39.99	1.27 W	0.00	385 of 647 - Sale ²⁵
	18.000	43.56	11/10/20	44.99	1.43 W	0.00	386 of 647 - Sale ²⁵
	22.000	53.24	11/10/20	54.97	1.73 W	0.00	387 of 647 - Sale ²⁵
	28.000	67.75	11/10/20	70.04	2.29 W	0.00	388 of 647 - Sale ²⁵
	30.000	72.59	11/10/20	75.03	2.44 W	0.00	389 of 647 - Sale ²⁵
	32.000	77.43	11/10/20	80.02	2.59 W	0.00	390 of 647 - Sale ²⁵
	37.000	89.53	11/10/20	92.53	3.00 W	0.00	391 of 647 - Sale ²⁵
	38.000	91.95	11/10/20	95.04	3.09 W	0.00	392 of 647 - Sale ²⁵
	60.000	145.19	11/10/20	150.04	4.85 W	0.00	393 of 647 - Sale ²⁵
	60.000	145.18	11/10/20	150.04	4.86 W	0.00	394 of 647 - Sale ²⁵
	3.000	7.26	11/10/20	7.50	0.24 W	0.00	395 of 647 - Sale ²⁵
	10.000	24.20	11/10/20	24.99	0.79 W	0.00	396 of 647 - Sale ²⁵
	15.000	36.30	11/10/20	37.49	1.19 W	0.00	397 of 647 - Sale ²⁵
	18.000	43.56	11/10/20	44.99	1.43 W	0.00	398 of 647 - Sale ²⁵
	39.000	94.37	11/10/20	97.53	3.16 W	0.00	399 of 647 - Sale ²⁵
	3.000	7.26	11/10/20	7.49	0.23 W	0.00	400 of 647 - Sale ²⁵
	17.000	41.14	11/10/20	42.51	1.37 W	0.00	401 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.50	0.08 W	0.00	402 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.00	0.16 W	0.00	403 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	4.99	0.15 W	0.00	404 of 647 - Sale ²⁵
	3.000	7.26	11/10/20	7.50	0.24 W	0.00	405 of 647 - Sale ²⁵
	3.000	7.26	11/10/20	7.50	0.24 W	0.00	406 of 647 - Sale ²⁵
	4.000	9.68	11/10/20	9.99	0.31 W	0.00	407 of 647 - Sale ²⁵
	4.000	9.68	11/10/20	9.99	0.31 W	0.00	408 of 647 - Sale ²⁵
	4.000	9.68	11/10/20	10.00	0.32 W	0.00	409 of 647 - Sale ²⁵
	6.000	14.52	11/10/20	15.00	0.48 W	0.00	410 of 647 - Sale ²⁵
	7.000	16.94	11/10/20	17.49	0.55 W	0.00	411 of 647 - Sale ²⁵
	10.000	24.20	11/10/20	24.99	0.79 W	0.00	412 of 647 - Sale ²⁵
	10.000	24.20	11/10/20	24.99	0.79 W	0.00	413 of 647 - Sale ²⁵
	10.000	24.20	11/10/20	25.00	0.80 W	0.00	414 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	13.000	31.46	11/10/20	32.49	1.03 W	0.00	415 of 647 - Sale ²⁵
	15.000	36.30	11/10/20	37.48	1.18 W	0.00	416 of 647 - Sale ²⁵
	16.000	38.72	11/10/20	40.00	1.28 W	0.00	417 of 647 - Sale ²⁵
	17.000	41.14	11/10/20	42.51	1.37 W	0.00	418 of 647 - Sale ²⁵
	19.000	45.98	11/10/20	47.48	1.50 W	0.00	419 of 647 - Sale ²⁵
	20.000	48.40	11/10/20	49.97	1.57 W	0.00	420 of 647 - Sale ²⁵
	22.000	53.24	11/10/20	54.99	1.75 W	0.00	421 of 647 - Sale ²⁵
	22.000	53.24	11/10/20	55.00	1.76 W	0.00	422 of 647 - Sale ²⁵
	25.000	60.50	11/10/20	62.47	1.97 W	0.00	423 of 647 - Sale ²⁵
	28.000	67.75	11/10/20	70.05	2.30 W	0.00	424 of 647 - Sale ²⁵
	28.000	67.75	11/10/20	70.00	2.25 W	0.00	425 of 647 - Sale ²⁵
	29.000	70.17	11/10/20	72.52	2.35 W	0.00	426 of 647 - Sale ²⁵
	31.000	75.01	11/10/20	77.52	2.51 W	0.00	427 of 647 - Sale ²⁵
	32.000	77.43	11/10/20	80.04	2.61 W	0.00	428 of 647 - Sale ²⁵
	35.000	84.69	11/10/20	87.55	2.86 W	0.00	429 of 647 - Sale ²⁵
	38.000	91.95	11/10/20	95.04	3.09 W	0.00	430 of 647 - Sale ²⁵
	23.000	55.66	11/10/20	57.52	1.86 W	0.00	431 of 647 - Sale ²⁵
	40.000	96.79	11/10/20	100.03	3.24 W	0.00	432 of 647 - Sale ²⁵
	50.000	120.99	11/10/20	125.03	4.04 W	0.00	433 of 647 - Sale ²⁵
	54.000	130.67	11/10/20	135.04	4.37 W	0.00	434 of 647 - Sale ²⁵
	54.000	130.67	11/10/20	135.04	4.37 W	0.00	435 of 647 - Sale ²⁵
	65.000	157.29	11/10/20	162.52	5.23 W	0.00	436 of 647 - Sale ²⁵
	78.000	188.74	11/10/20	195.06	6.32 W	0.00	437 of 647 - Sale ²⁵
	90.000	217.78	11/10/20	225.05	7.27 W	0.00	438 of 647 - Sale ²⁵
	102.000	246.82	11/10/20	255.09	8.27 W	0.00	439 of 647 - Sale ²⁵
	5.000	12.10	11/10/20	12.65	0.55 W	0.00	440 of 647 - Sale ²⁵
	5.000	12.10	11/10/20	12.64	0.54 W	0.00	441 of 647 - Sale ²⁵
	15.000	36.30	11/10/20	37.94	1.64 W	0.00	442 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.06	0.22 W	0.00	443 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.06	0.22 W	0.00	444 of 647 - Sale ²⁵
	8.000	19.36	11/10/20	20.24	0.88 W	0.00	445 of 647 - Sale ²⁵
	7.000	16.94	11/10/20	17.70	0.76 W	0.00	446 of 647 - Sale ²⁵
	18.000	43.56	11/10/20	45.54	1.98 W	0.00	447 of 647 - Sale ²⁵
	38.000	91.95	11/10/20	96.18	4.23 W	0.00	448 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	55.000	133.09	11/10/20	139.20	6.11 W	0.00	449 of 647 - Sale ²⁵
	5.000	12.10	11/10/20	12.64	0.54 W	0.00	450 of 647 - Sale ²⁵
	8.000	19.36	11/10/20	20.24	0.88 W	0.00	451 of 647 - Sale ²⁵
	7.000	16.94	11/10/20	17.63	0.69 W	0.00	452 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.06	0.22 W	0.00	453 of 647 - Sale ²⁵
	14.000	33.88	11/10/20	35.27	1.39 W	0.00	454 of 647 - Sale ²⁵
	26.000	62.92	11/10/20	65.79	2.87 W	0.00	455 of 647 - Sale ²⁵
	38.000	91.95	11/10/20	96.15	4.20 W	0.00	456 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.52	0.10 W	0.00	457 of 647 - Sale ²⁵
	3.000	7.26	11/10/20	7.55	0.29 W	0.00	458 of 647 - Sale ²⁵
	16.000	38.72	11/10/20	40.31	1.59 W	0.00	459 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.52	0.10 W	0.00	460 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.52	0.10 W	0.00	461 of 647 - Sale ²⁵
	3.000	7.26	11/10/20	7.56	0.30 W	0.00	462 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.03	0.19 W	0.00	463 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.52	0.10 W	0.00	464 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.52	0.10 W	0.00	465 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.52	0.10 W	0.00	466 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.04	0.20 W	0.00	467 of 647 - Sale ²⁵
	2.000	4.84	11/10/20	5.04	0.20 W	0.00	468 of 647 - Sale ²⁵
	3.000	7.26	11/10/20	7.56	0.30 W	0.00	469 of 647 - Sale ²⁵
	4.000	9.68	11/10/20	10.08	0.40 W	0.00	470 of 647 - Sale ²⁵
	7.000	16.94	11/10/20	17.63	0.69 W	0.00	471 of 647 - Sale ²⁵
	7.000	16.94	11/10/20	17.63	0.69 W	0.00	472 of 647 - Sale ²⁵
	8.000	19.36	11/10/20	20.15	0.79 W	0.00	473 of 647 - Sale ²⁵
	10.000	24.20	11/10/20	25.19	0.99 W	0.00	474 of 647 - Sale ²⁵
	1.000	2.42	11/10/20	2.52	0.10 W	0.00	475 of 647 - Sale ²⁵
	19.000	45.98	11/10/20	47.85	1.87 W	0.00	476 of 647 - Sale ²⁵
	19.000	45.98	11/10/20	47.87	1.89 W	0.00	477 of 647 - Sale ²⁵
	20.000	48.40	11/10/20	50.36	1.96 W	0.00	478 of 647 - Sale ²⁵
	26.000	62.92	11/10/20	65.51	2.59 W	0.00	479 of 647 - Sale ²⁵
	31.000	75.01	11/10/20	78.17	3.16 W	0.00	480 of 647 - Sale ²⁵
	32.000	77.43	11/10/20	80.68	3.25 W	0.00	481 of 647 - Sale ²⁵
	37.000	89.53	11/10/20	93.28	3.75 W	0.00	482 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	100.000	241.98	11/10/20	249.01	7.03 W	0.00	483 of 647 - Sale ²⁵
	100.000	241.98	11/10/20	249.01	7.03 W	0.00	484 of 647 - Sale ²⁵
	131.000	317.00	11/10/20	326.23	9.23 W	0.00	485 of 647 - Sale ²⁵
	138.000	333.94	11/10/20	343.64	9.70 W	0.00	486 of 647 - Sale ²⁵
	300.000	725.94	11/10/20	747.06	21.12 W	0.00	487 of 647 - Sale ²⁵
	400.000	967.92	11/10/20	996.08	28.16 W	0.00	488 of 647 - Sale ²⁵
	500.000	1,209.91	11/10/20	1,245.09	35.18 W	0.00	489 of 647 - Sale ²⁵
	21.000	50.82	11/10/20	52.29	1.47 W	0.00	490 of 647 - Sale ²⁵
	341.000	825.15	11/10/20	849.15	24.00 W	0.00	491 of 647 - Sale ²⁵
	38.000	91.95	11/10/20	94.63	2.68 W	0.00	492 of 647 - Sale ²⁵
	400.000	967.92	11/10/20	996.07	28.15 W	0.00	493 of 647 - Sale ²⁵
	10.000	24.20	11/10/20	24.90	0.70 W	0.00	494 of 647 - Sale ²⁵
	3.000	7.26	11/10/20	7.47	0.21 W	0.00	495 of 647 - Sale ²⁵
	457.000	1,105.86	11/10/20	1,138.01	32.15 W	0.00	496 of 647 - Sale ²⁵
	978.000	2,366.59	11/10/20	2,435.39	68.80 W	0.00	497 of 647 - Sale ²⁵
	6.000	14.52	11/10/20	14.83	0.31 W	0.00	498 of 647 - Sale ²⁵
	30.000	72.59	11/10/20	74.19	1.60 W	0.00	499 of 647 - Sale ²⁵
	4,832.000	11,692.60	11/10/20	12,032.51	339.91 W	0.00	500 of 647 - Sale ²⁵
	10.000	24.10	11/10/20	24.61	0.51 W	0.00	501 of 647 - Sale ²⁵
	40.000	94.00	11/10/20	98.44	4.44 W	0.00	502 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.47	0.12 W	0.00	503 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.47	0.12 W	0.00	504 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.47	0.12 W	0.00	505 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.94	0.24 W	0.00	506 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.94	0.24 W	0.00	507 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.94	0.24 W	0.00	508 of 647 - Sale ²⁵
	4.000	9.40	11/10/20	9.87	0.47 W	0.00	509 of 647 - Sale ²⁵
	5.000	11.75	11/10/20	12.34	0.59 W	0.00	510 of 647 - Sale ²⁵
	8.000	18.80	11/10/20	19.77	0.97 W	0.00	511 of 647 - Sale ²⁵
	9.000	21.15	11/10/20	22.24	1.09 W	0.00	512 of 647 - Sale ²⁵
	14.000	32.90	11/10/20	34.58	1.68 W	0.00	513 of 647 - Sale ²⁵
	16.000	37.60	11/10/20	39.51	1.91 W	0.00	514 of 647 - Sale ²⁵
	22.000	51.70	11/10/20	54.31	2.61 W	0.00	515 of 647 - Sale ²⁵
	24.000	56.40	11/10/20	59.27	2.87 W	0.00	516 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	28.000	65.80	11/10/20	69.21	3.41 W	0.00	517 of 647 - Sale ²⁵
	32.000	75.20	11/10/20	79.07	3.87 W	0.00	518 of 647 - Sale ²⁵
	37.000	86.95	11/10/20	91.43	4.48 W	0.00	519 of 647 - Sale ²⁵
	38.000	89.30	11/10/20	93.91	4.61 W	0.00	520 of 647 - Sale ²⁵
	55.000	129.25	11/10/20	135.90	6.65 W	0.00	521 of 647 - Sale ²⁵
	59.000	138.65	11/10/20	145.78	7.13 W	0.00	522 of 647 - Sale ²⁵
	61.000	143.35	11/10/20	150.75	7.40 W	0.00	523 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.47	0.12 W	0.00	524 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.49	0.14 W	0.00	525 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.49	0.14 W	0.00	526 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.49	0.14 W	0.00	527 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.49	0.14 W	0.00	528 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.49	0.14 W	0.00	529 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.94	0.24 W	0.00	530 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.94	0.24 W	0.00	531 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.94	0.24 W	0.00	532 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.93	0.23 W	0.00	533 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	5.00	0.30 W	0.00	534 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	5.00	0.30 W	0.00	535 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	5.00	0.30 W	0.00	536 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.97	0.27 W	0.00	537 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.98	0.28 W	0.00	538 of 647 - Sale ²⁵
	2.000	4.70	11/10/20	4.98	0.28 W	0.00	539 of 647 - Sale ²⁵
	3.000	7.05	11/10/20	7.41	0.36 W	0.00	540 of 647 - Sale ²⁵
	3.000	7.05	11/10/20	7.40	0.35 W	0.00	541 of 647 - Sale ²⁵
	3.000	7.05	11/10/20	7.41	0.36 W	0.00	542 of 647 - Sale ²⁵
	3.000	7.05	11/10/20	7.41	0.36 W	0.00	543 of 647 - Sale ²⁵
	3.000	7.05	11/10/20	7.46	0.41 W	0.00	544 of 647 - Sale ²⁵
	3.000	7.05	11/10/20	7.47	0.42 W	0.00	545 of 647 - Sale ²⁵
	3.000	7.05	11/10/20	7.47	0.42 W	0.00	546 of 647 - Sale ²⁵
	4.000	9.40	11/10/20	9.87	0.47 W	0.00	547 of 647 - Sale ²⁵
	4.000	9.40	11/10/20	9.87	0.47 W	0.00	548 of 647 - Sale ²⁵
	4.000	9.40	11/10/20	9.87	0.47 W	0.00	549 of 647 - Sale ²⁵
	4.000	9.40	11/10/20	9.88	0.48 W	0.00	550 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	4.000	9.40	11/10/20	9.96	0.56 W	0.00	551 of 647 - Sale ²⁵
	5.000	11.75	11/10/20	12.50	0.75 W	0.00	552 of 647 - Sale ²⁵
	5.000	11.75	11/10/20	12.49	0.74 W	0.00	553 of 647 - Sale ²⁵
	5.000	11.75	11/10/20	12.49	0.74 W	0.00	554 of 647 - Sale ²⁵
	5.000	11.75	11/10/20	12.45	0.70 W	0.00	555 of 647 - Sale ²⁵
	6.000	14.10	11/10/20	14.82	0.72 W	0.00	556 of 647 - Sale ²⁵
	7.000	16.45	11/10/20	17.28	0.83 W	0.00	557 of 647 - Sale ²⁵
	7.000	16.45	11/10/20	17.49	1.04 W	0.00	558 of 647 - Sale ²⁵
	7.000	16.45	11/10/20	17.42	0.97 W	0.00	559 of 647 - Sale ²⁵
	7.000	16.45	11/10/20	17.42	0.97 W	0.00	560 of 647 - Sale ²⁵
	8.000	18.80	11/10/20	19.75	0.95 W	0.00	561 of 647 - Sale ²⁵
	8.000	18.80	11/10/20	19.75	0.95 W	0.00	562 of 647 - Sale ²⁵
	8.000	18.80	11/10/20	20.00	1.20 W	0.00	563 of 647 - Sale ²⁵
	8.000	18.80	11/10/20	20.00	1.20 W	0.00	564 of 647 - Sale ²⁵
	10.000	23.50	11/10/20	24.69	1.19 W	0.00	565 of 647 - Sale ²⁵
	10.000	23.50	11/10/20	24.69	1.19 W	0.00	566 of 647 - Sale ²⁵
	10.000	23.50	11/10/20	24.69	1.19 W	0.00	567 of 647 - Sale ²⁵
	10.000	23.50	11/10/20	24.69	1.19 W	0.00	568 of 647 - Sale ²⁵
	10.000	23.50	11/10/20	24.70	1.20 W	0.00	569 of 647 - Sale ²⁵
	13.000	30.55	11/10/20	32.10	1.55 W	0.00	570 of 647 - Sale ²⁵
	14.000	32.90	11/10/20	34.85	1.95 W	0.00	571 of 647 - Sale ²⁵
	15.000	35.25	11/10/20	37.04	1.79 W	0.00	572 of 647 - Sale ²⁵
	15.000	35.25	11/10/20	37.03	1.78 W	0.00	573 of 647 - Sale ²⁵
	15.000	35.25	11/10/20	37.49	2.24 W	0.00	574 of 647 - Sale ²⁵
	16.000	37.60	11/10/20	39.52	1.92 W	0.00	575 of 647 - Sale ²⁵
	16.000	37.60	11/10/20	39.83	2.23 W	0.00	576 of 647 - Sale ²⁵
	17.000	39.95	11/10/20	42.00	2.05 W	0.00	577 of 647 - Sale ²⁵
	17.000	39.95	11/10/20	42.00	2.05 W	0.00	578 of 647 - Sale ²⁵
	18.000	42.30	11/10/20	44.45	2.15 W	0.00	579 of 647 - Sale ²⁵
	18.000	42.30	11/10/20	44.45	2.15 W	0.00	580 of 647 - Sale ²⁵
	18.000	42.30	11/10/20	45.00	2.70 W	0.00	581 of 647 - Sale ²⁵
	19.000	44.65	11/10/20	46.91	2.26 W	0.00	582 of 647 - Sale ²⁵
	19.000	44.65	11/10/20	47.28	2.63 W	0.00	583 of 647 - Sale ²⁵
	20.000	47.00	11/10/20	49.37	2.37 W	0.00	584 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	20.000	47.00	11/10/20	49.76	2.76 W	0.00	585 of 647 - Sale ²⁵
	21.000	49.35	11/10/20	51.90	2.55 W	0.00	586 of 647 - Sale ²⁵
	22.000	51.70	11/10/20	54.33	2.63 W	0.00	587 of 647 - Sale ²⁵
	22.000	51.70	11/10/20	54.34	2.64 W	0.00	588 of 647 - Sale ²⁵
	23.000	54.05	11/10/20	56.83	2.78 W	0.00	589 of 647 - Sale ²⁵
	25.000	58.75	11/10/20	61.72	2.97 W	0.00	590 of 647 - Sale ²⁵
	26.000	61.10	11/10/20	65.01	3.91 W	0.00	591 of 647 - Sale ²⁵
	26.000	61.10	11/10/20	64.73	3.63 W	0.00	592 of 647 - Sale ²⁵
	28.000	65.80	11/10/20	69.22	3.42 W	0.00	593 of 647 - Sale ²⁵
	28.000	65.80	11/10/20	69.17	3.37 W	0.00	594 of 647 - Sale ²⁵
	29.000	68.15	11/10/20	71.66	3.51 W	0.00	595 of 647 - Sale ²⁵
	31.000	72.85	11/10/20	76.60	3.75 W	0.00	596 of 647 - Sale ²⁵
	31.000	72.85	11/10/20	77.25	4.40 W	0.00	597 of 647 - Sale ²⁵
	32.000	75.20	11/10/20	79.09	3.89 W	0.00	598 of 647 - Sale ²⁵
	32.000	75.20	11/10/20	79.73	4.53 W	0.00	599 of 647 - Sale ²⁵
	35.000	82.25	11/10/20	86.51	4.26 W	0.00	600 of 647 - Sale ²⁵
	38.000	89.30	11/10/20	93.91	4.61 W	0.00	601 of 647 - Sale ²⁵
	38.000	89.30	11/10/20	95.05	5.75 W	0.00	602 of 647 - Sale ²⁵
	38.000	89.30	11/10/20	95.02	5.72 W	0.00	603 of 647 - Sale ²⁵
	39.000	91.65	11/10/20	96.37	4.72 W	0.00	604 of 647 - Sale ²⁵
	40.000	94.00	11/10/20	98.84	4.84 W	0.00	605 of 647 - Sale ²⁵
	50.000	117.50	11/10/20	123.54	6.04 W	0.00	606 of 647 - Sale ²⁵
	54.000	126.90	11/10/20	133.43	6.53 W	0.00	607 of 647 - Sale ²⁵
	54.000	126.90	11/10/20	133.43	6.53 W	0.00	608 of 647 - Sale ²⁵
	55.000	129.25	11/10/20	137.56	8.31 W	0.00	609 of 647 - Sale ²⁵
	60.000	141.00	11/10/20	148.25	7.25 W	0.00	610 of 647 - Sale ²⁵
	60.000	141.00	11/10/20	148.26	7.26 W	0.00	611 of 647 - Sale ²⁵
	65.000	152.74	11/10/20	160.58	7.84 W	0.00	612 of 647 - Sale ²⁵
	78.000	183.29	11/10/20	192.74	9.45 W	0.00	613 of 647 - Sale ²⁵
	90.000	211.49	11/10/20	222.37	10.88 W	0.00	614 of 647 - Sale ²⁵
	102.000	239.69	11/10/20	252.05	12.36 W	0.00	615 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.49	0.14 W	0.00	616 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.49	0.14 W	0.00	617 of 647 - Sale ²⁵
	5.000	11.75	11/10/20	12.44	0.69 W	0.00	618 of 647 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	7.000	16.45	11/10/20	17.42	0.97 W	0.00	619 of 647 - Sale ²⁵
	8.000	18.80	11/10/20	19.91	1.11 W	0.00	620 of 647 - Sale ²⁵
	19.000	44.65	11/10/20	47.30	2.65 W	0.00	621 of 647 - Sale ²⁵
	37.000	86.95	11/10/20	92.18	5.23 W	0.00	622 of 647 - Sale ²⁵
	1.000	2.35	11/10/20	2.46	0.11 W	0.00	623 of 647 - Sale ²⁵
	100.000	234.99	11/10/20	246.03	11.04 W	0.00	624 of 647 - Sale ²⁵
	100.000	234.99	11/10/20	246.03	11.04 W	0.00	625 of 647 - Sale ²⁵
	131.000	307.84	11/10/20	322.32	14.48 W	0.00	626 of 647 - Sale ²⁵
	300.000	704.97	11/10/20	738.12	33.15 W	0.00	627 of 647 - Sale ²⁵
	400.000	939.97	11/10/20	984.16	44.19 W	0.00	628 of 647 - Sale ²⁵
	500.000	1,174.96	11/10/20	1,230.18	55.22 W	0.00	629 of 647 - Sale ²⁵
	21.000	49.35	11/10/20	51.66	2.31 W	0.00	630 of 647 - Sale ²⁵
	137.000	321.94	11/10/20	337.06	15.12 W	0.00	631 of 647 - Sale ²⁵
	218.000	512.28	11/10/20	536.36	24.08 W	0.00	632 of 647 - Sale ²⁵
	6.000	14.10	11/10/20	14.65	0.55 W	0.00	633 of 647 - Sale ²⁵
	30.000	70.50	11/10/20	73.30	2.80 W	0.00	634 of 647 - Sale ²⁵
	3.000	7.05	11/10/20	7.38	0.33 W	0.00	635 of 647 - Sale ²⁵
	10.000	23.50	11/10/20	24.60	1.10 W	0.00	636 of 647 - Sale ²⁵
	38.000	89.30	11/10/20	93.50	4.20 W	0.00	637 of 647 - Sale ²⁵
	123.000	289.04	11/10/20	302.63	13.59 W	0.00	638 of 647 - Sale ²⁵
	400.000	939.97	11/10/20	984.15	44.18 W	0.00	639 of 647 - Sale ²⁵
	457.000	1,073.91	11/10/20	1,124.38	50.47 W	0.00	640 of 647 - Sale ²⁵
	755.000	1,774.18	11/10/20	1,857.56	83.38 W	0.00	641 of 647 - Sale ²⁵
	978.000	2,298.21	11/10/20	2,406.22	108.01 W	0.00	642 of 647 - Sale ²⁵
	2,800.000	6,579.76	11/10/20	6,888.97	309.21 W	0.00	643 of 647 - Sale ²⁵
	420.000	986.96	11/10/20	1,033.34	46.38 W	0.00	644 of 647 - Sale ²⁵
	10.000	23.50	11/10/20	24.41	0.91 W	0.00	645 of 647 - Sale ²⁵
	857.000	2,013.87	11/10/20	2,108.52	94.65 W	0.00	646 of 647 - Sale ²⁵
	90.000	211.50	11/10/20	215.10	3.60 W	0.00	647 of 647 - Sale ²⁵
11/10/20	38,764.000	93,557.52	Various	96,832.28	3,274.76 W	0.00	Total of 647 transactions
	303 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	6.000	15.30	11/12/20	15.79	0.49 W	0.00	1 of 303 - Sale ²⁵
	30.000	76.49	11/12/20	79.00	2.51 W	0.00	2 of 303 - Sale ²⁵
	40.000	101.99	11/12/20	106.04	4.05 W	0.00	3 of 303 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1.000	2.55	11/12/20	2.66	0.11 W	0.00	4 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.66	0.11 W	0.00	5 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.32	0.22 W	0.00	6 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.32	0.22 W	0.00	7 of 303 - Sale ²⁵
	4.000	10.20	11/12/20	10.63	0.43 W	0.00	8 of 303 - Sale ²⁵
	5.000	12.75	11/12/20	13.29	0.54 W	0.00	9 of 303 - Sale ²⁵
	14.000	35.69	11/12/20	37.24	1.55 W	0.00	10 of 303 - Sale ²⁵
	16.000	40.80	11/12/20	42.55	1.75 W	0.00	11 of 303 - Sale ²⁵
	24.000	61.20	11/12/20	63.83	2.63 W	0.00	12 of 303 - Sale ²⁵
	28.000	71.39	11/12/20	74.53	3.14 W	0.00	13 of 303 - Sale ²⁵
	6.000	15.30	11/12/20	15.97	0.67 W	0.00	14 of 303 - Sale ²⁵
	38.000	96.89	11/12/20	101.13	4.24 W	0.00	15 of 303 - Sale ²⁵
	55.000	140.24	11/12/20	146.35	6.11 W	0.00	16 of 303 - Sale ²⁵
	59.000	150.44	11/12/20	156.99	6.55 W	0.00	17 of 303 - Sale ²⁵
	61.000	155.54	11/12/20	162.34	6.80 W	0.00	18 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.66	0.11 W	0.00	19 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.66	0.11 W	0.00	20 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.32	0.22 W	0.00	21 of 303 - Sale ²⁵
	4.000	10.20	11/12/20	10.63	0.43 W	0.00	22 of 303 - Sale ²⁵
	8.000	20.40	11/12/20	21.29	0.89 W	0.00	23 of 303 - Sale ²⁵
	8.000	20.40	11/12/20	21.27	0.87 W	0.00	24 of 303 - Sale ²⁵
	9.000	22.95	11/12/20	23.95	1.00 W	0.00	25 of 303 - Sale ²⁵
	3.000	7.65	11/12/20	7.98	0.33 W	0.00	26 of 303 - Sale ²⁵
	21.000	53.54	11/12/20	55.89	2.35 W	0.00	27 of 303 - Sale ²⁵
	22.000	56.10	11/12/20	58.49	2.39 W	0.00	28 of 303 - Sale ²⁵
	25.000	63.75	11/12/20	66.52	2.77 W	0.00	29 of 303 - Sale ²⁵
	37.000	94.34	11/12/20	98.46	4.12 W	0.00	30 of 303 - Sale ²⁵
	60.000	152.98	11/12/20	159.65	6.67 W	0.00	31 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.66	0.11 W	0.00	32 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.68	0.13 W	0.00	33 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.68	0.13 W	0.00	34 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.68	0.13 W	0.00	35 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.68	0.13 W	0.00	36 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.68	0.13 W	0.00	37 of 303 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1.000	2.55	11/12/20	2.68	0.13 W	0.00	38 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.68	0.13 W	0.00	39 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.32	0.22 W	0.00	40 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.32	0.22 W	0.00	41 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.32	0.22 W	0.00	42 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.31	0.21 W	0.00	43 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.38	0.28 W	0.00	44 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.38	0.28 W	0.00	45 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.38	0.28 W	0.00	46 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.35	0.25 W	0.00	47 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.36	0.26 W	0.00	48 of 303 - Sale ²⁵
	2.000	5.10	11/12/20	5.36	0.26 W	0.00	49 of 303 - Sale ²⁵
	3.000	7.65	11/12/20	7.98	0.33 W	0.00	50 of 303 - Sale ²⁵
	3.000	7.65	11/12/20	7.97	0.32 W	0.00	51 of 303 - Sale ²⁵
	3.000	7.65	11/12/20	7.98	0.33 W	0.00	52 of 303 - Sale ²⁵
	3.000	7.65	11/12/20	7.98	0.33 W	0.00	53 of 303 - Sale ²⁵
	3.000	7.65	11/12/20	8.03	0.38 W	0.00	54 of 303 - Sale ²⁵
	3.000	7.65	11/12/20	8.04	0.39 W	0.00	55 of 303 - Sale ²⁵
	3.000	7.65	11/12/20	8.04	0.39 W	0.00	56 of 303 - Sale ²⁵
	4.000	10.20	11/12/20	10.63	0.43 W	0.00	57 of 303 - Sale ²⁵
	4.000	10.20	11/12/20	10.63	0.43 W	0.00	58 of 303 - Sale ²⁵
	4.000	10.20	11/12/20	10.64	0.44 W	0.00	59 of 303 - Sale ²⁵
	4.000	10.20	11/12/20	10.72	0.52 W	0.00	60 of 303 - Sale ²⁵
	5.000	12.75	11/12/20	13.45	0.70 W	0.00	61 of 303 - Sale ²⁵
	5.000	12.75	11/12/20	13.44	0.69 W	0.00	62 of 303 - Sale ²⁵
	5.000	12.75	11/12/20	13.44	0.69 W	0.00	63 of 303 - Sale ²⁵
	5.000	12.75	11/12/20	13.40	0.65 W	0.00	64 of 303 - Sale ²⁵
	5.000	12.75	11/12/20	13.39	0.64 W	0.00	65 of 303 - Sale ²⁵
	6.000	15.30	11/12/20	15.96	0.66 W	0.00	66 of 303 - Sale ²⁵
	7.000	17.85	11/12/20	18.61	0.76 W	0.00	67 of 303 - Sale ²⁵
	7.000	17.85	11/12/20	18.82	0.97 W	0.00	68 of 303 - Sale ²⁵
	7.000	17.85	11/12/20	18.75	0.90 W	0.00	69 of 303 - Sale ²⁵
	7.000	17.85	11/12/20	18.75	0.90 W	0.00	70 of 303 - Sale ²⁵
	7.000	17.85	11/12/20	18.75	0.90 W	0.00	71 of 303 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	8.000	20.40	11/12/20	21.27	0.87 W	0.00	72 of 303 - Sale ²⁵
	8.000	20.40	11/12/20	21.52	1.12 W	0.00	73 of 303 - Sale ²⁵
	8.000	20.40	11/12/20	21.52	1.12 W	0.00	74 of 303 - Sale ²⁵
	8.000	20.40	11/12/20	21.43	1.03 W	0.00	75 of 303 - Sale ²⁵
	7.000	17.85	11/12/20	18.61	0.76 W	0.00	76 of 303 - Sale ²⁵
	10.000	25.50	11/12/20	26.59	1.09 W	0.00	77 of 303 - Sale ²⁵
	10.000	25.50	11/12/20	26.59	1.09 W	0.00	78 of 303 - Sale ²⁵
	10.000	25.50	11/12/20	26.59	1.09 W	0.00	79 of 303 - Sale ²⁵
	10.000	25.50	11/12/20	26.60	1.10 W	0.00	80 of 303 - Sale ²⁵
	13.000	33.15	11/12/20	34.57	1.42 W	0.00	81 of 303 - Sale ²⁵
	14.000	35.70	11/12/20	37.51	1.81 W	0.00	82 of 303 - Sale ²⁵
	15.000	38.25	11/12/20	39.89	1.64 W	0.00	83 of 303 - Sale ²⁵
	15.000	38.25	11/12/20	39.88	1.63 W	0.00	84 of 303 - Sale ²⁵
	15.000	38.25	11/12/20	40.34	2.09 W	0.00	85 of 303 - Sale ²⁵
	16.000	40.80	11/12/20	42.56	1.76 W	0.00	86 of 303 - Sale ²⁵
	16.000	40.80	11/12/20	42.87	2.07 W	0.00	87 of 303 - Sale ²⁵
	17.000	43.35	11/12/20	45.23	1.88 W	0.00	88 of 303 - Sale ²⁵
	17.000	43.35	11/12/20	45.23	1.88 W	0.00	89 of 303 - Sale ²⁵
	18.000	45.90	11/12/20	47.87	1.97 W	0.00	90 of 303 - Sale ²⁵
	18.000	45.90	11/12/20	47.87	1.97 W	0.00	91 of 303 - Sale ²⁵
	18.000	45.90	11/12/20	48.42	2.52 W	0.00	92 of 303 - Sale ²⁵
	19.000	48.45	11/12/20	50.52	2.07 W	0.00	93 of 303 - Sale ²⁵
	19.000	48.45	11/12/20	50.89	2.44 W	0.00	94 of 303 - Sale ²⁵
	19.000	48.45	11/12/20	50.91	2.46 W	0.00	95 of 303 - Sale ²⁵
	20.000	51.00	11/12/20	53.17	2.17 W	0.00	96 of 303 - Sale ²⁵
	20.000	51.00	11/12/20	53.56	2.56 W	0.00	97 of 303 - Sale ²⁵
	22.000	56.10	11/12/20	58.51	2.41 W	0.00	98 of 303 - Sale ²⁵
	22.000	56.10	11/12/20	58.52	2.42 W	0.00	99 of 303 - Sale ²⁵
	23.000	58.65	11/12/20	61.20	2.55 W	0.00	100 of 303 - Sale ²⁵
	25.000	63.75	11/12/20	66.47	2.72 W	0.00	101 of 303 - Sale ²⁵
	26.000	66.30	11/12/20	69.95	3.65 W	0.00	102 of 303 - Sale ²⁵
	26.000	66.30	11/12/20	69.67	3.37 W	0.00	103 of 303 - Sale ²⁵
	28.000	71.39	11/12/20	74.54	3.15 W	0.00	104 of 303 - Sale ²⁵
	28.000	71.39	11/12/20	74.49	3.10 W	0.00	105 of 303 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	29.000	73.94	11/12/20	77.17	3.23 W	0.00	106 of 303 - Sale ²⁵
	31.000	79.04	11/12/20	82.49	3.45 W	0.00	107 of 303 - Sale ²⁵
	31.000	79.04	11/12/20	83.14	4.10 W	0.00	108 of 303 - Sale ²⁵
	32.000	81.59	11/12/20	85.17	3.58 W	0.00	109 of 303 - Sale ²⁵
	32.000	81.59	11/12/20	85.81	4.22 W	0.00	110 of 303 - Sale ²⁵
	35.000	89.24	11/12/20	93.16	3.92 W	0.00	111 of 303 - Sale ²⁵
	37.000	94.34	11/12/20	99.21	4.87 W	0.00	112 of 303 - Sale ²⁵
	38.000	96.89	11/12/20	101.13	4.24 W	0.00	113 of 303 - Sale ²⁵
	38.000	96.89	11/12/20	102.27	5.38 W	0.00	114 of 303 - Sale ²⁵
	38.000	96.89	11/12/20	102.24	5.35 W	0.00	115 of 303 - Sale ²⁵
	39.000	99.44	11/12/20	103.78	4.34 W	0.00	116 of 303 - Sale ²⁵
	40.000	101.99	11/12/20	106.44	4.45 W	0.00	117 of 303 - Sale ²⁵
	50.000	127.49	11/12/20	133.04	5.55 W	0.00	118 of 303 - Sale ²⁵
	54.000	137.69	11/12/20	143.69	6.00 W	0.00	119 of 303 - Sale ²⁵
	54.000	137.69	11/12/20	143.69	6.00 W	0.00	120 of 303 - Sale ²⁵
	55.000	140.24	11/12/20	148.01	7.77 W	0.00	121 of 303 - Sale ²⁵
	60.000	152.99	11/12/20	159.66	6.67 W	0.00	122 of 303 - Sale ²⁵
	65.000	165.74	11/12/20	172.94	7.20 W	0.00	123 of 303 - Sale ²⁵
	78.000	198.89	11/12/20	207.57	8.68 W	0.00	124 of 303 - Sale ²⁵
	90.000	229.48	11/12/20	239.48	10.00 W	0.00	125 of 303 - Sale ²⁵
	102.000	260.08	11/12/20	271.44	11.36 W	0.00	126 of 303 - Sale ²⁵
	1.000	2.55	11/12/20	2.65	0.10 W	0.00	127 of 303 - Sale ²⁵
	3.000	7.65	11/12/20	7.95	0.30 W	0.00	128 of 303 - Sale ²⁵
	10.000	25.50	11/12/20	26.50	1.00 W	0.00	129 of 303 - Sale ²⁵
	21.000	53.55	11/12/20	55.65	2.10 W	0.00	130 of 303 - Sale ²⁵
	38.000	96.89	11/12/20	100.72	3.83 W	0.00	131 of 303 - Sale ²⁵
	100.000	254.98	11/12/20	265.04	10.06 W	0.00	132 of 303 - Sale ²⁵
	100.000	254.98	11/12/20	265.04	10.06 W	0.00	133 of 303 - Sale ²⁵
	123.000	313.63	11/12/20	326.01	12.38 W	0.00	134 of 303 - Sale ²⁵
	131.000	334.02	11/12/20	347.22	13.20 W	0.00	135 of 303 - Sale ²⁵
	137.000	349.32	11/12/20	363.10	13.78 W	0.00	136 of 303 - Sale ²⁵
	218.000	555.86	11/12/20	577.80	21.94 W	0.00	137 of 303 - Sale ²⁵
	300.000	764.94	11/12/20	795.15	30.21 W	0.00	138 of 303 - Sale ²⁵
	400.000	1,019.92	11/12/20	1,060.19	40.27 W	0.00	139 of 303 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	400.000	1,019.92	11/12/20	1,060.18	40.26 W	0.00	140 of 303 - Sale ²⁵
	457.000	1,165.26	11/12/20	1,211.25	45.99 W	0.00	141 of 303 - Sale ²⁵
	500.000	1,274.90	11/12/20	1,325.22	50.32 W	0.00	142 of 303 - Sale ²⁵
	334.000	851.64	11/12/20	885.25	33.61 W	0.00	143 of 303 - Sale ²⁵
	208.000	530.36	11/12/20	551.29	20.93 W	0.00	144 of 303 - Sale ²⁵
	755.000	1,925.12	11/12/20	2,001.08	75.96 W	0.00	145 of 303 - Sale ²⁵
	644.000	1,642.09	11/12/20	1,706.88	64.79 W	0.00	146 of 303 - Sale ²⁵
	2,800.000	7,139.51	11/12/20	7,421.21	281.70 W	0.00	147 of 303 - Sale ²⁵
	10.000	25.60	11/12/20	26.21	0.61 W	0.00	148 of 303 - Sale ²⁵
	212.000	542.68	11/12/20	559.77	17.09 W	0.00	149 of 303 - Sale ²⁵
	778.000	1,991.54	11/12/20	2,054.26	62.72 W	0.00	150 of 303 - Sale ²⁵
	6.000	15.36	11/12/20	15.67	0.31 W	0.00	151 of 303 - Sale ²⁵
	30.000	76.80	11/12/20	78.41	1.61 W	0.00	152 of 303 - Sale ²⁵
	40.000	102.40	11/12/20	105.25	2.85 W	0.00	153 of 303 - Sale ²⁵
	24.000	61.43	11/12/20	63.35	1.92 W	0.00	154 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.64	0.08 W	0.00	155 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.64	0.08 W	0.00	156 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.64	0.08 W	0.00	157 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.64	0.08 W	0.00	158 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.28	0.16 W	0.00	159 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.28	0.16 W	0.00	160 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.28	0.16 W	0.00	161 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.28	0.16 W	0.00	162 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.28	0.16 W	0.00	163 of 303 - Sale ²⁵
	3.000	7.68	11/12/20	7.92	0.24 W	0.00	164 of 303 - Sale ²⁵
	3.000	7.68	11/12/20	7.92	0.24 W	0.00	165 of 303 - Sale ²⁵
	3.000	7.68	11/12/20	7.91	0.23 W	0.00	166 of 303 - Sale ²⁵
	4.000	10.24	11/12/20	10.55	0.31 W	0.00	167 of 303 - Sale ²⁵
	4.000	10.24	11/12/20	10.55	0.31 W	0.00	168 of 303 - Sale ²⁵
	5.000	12.80	11/12/20	13.19	0.39 W	0.00	169 of 303 - Sale ²⁵
	6.000	15.36	11/12/20	15.85	0.49 W	0.00	170 of 303 - Sale ²⁵
	7.000	17.92	11/12/20	18.47	0.55 W	0.00	171 of 303 - Sale ²⁵
	8.000	20.48	11/12/20	21.13	0.65 W	0.00	172 of 303 - Sale ²⁵
	8.000	20.48	11/12/20	21.11	0.63 W	0.00	173 of 303 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	8.000	20.48	11/12/20	21.11	0.63 W	0.00	174 of 303 - Sale ²⁵
	9.000	23.04	11/12/20	23.77	0.73 W	0.00	175 of 303 - Sale ²⁵
	10.000	25.60	11/12/20	26.39	0.79 W	0.00	176 of 303 - Sale ²⁵
	13.000	33.28	11/12/20	34.34	1.06 W	0.00	177 of 303 - Sale ²⁵
	14.000	35.84	11/12/20	36.97	1.13 W	0.00	178 of 303 - Sale ²⁵
	15.000	38.40	11/12/20	39.59	1.19 W	0.00	179 of 303 - Sale ²⁵
	16.000	40.96	11/12/20	42.23	1.27 W	0.00	180 of 303 - Sale ²⁵
	17.000	43.52	11/12/20	44.89	1.37 W	0.00	181 of 303 - Sale ²⁵
	18.000	46.08	11/12/20	47.51	1.43 W	0.00	182 of 303 - Sale ²⁵
	18.000	46.08	11/12/20	47.51	1.43 W	0.00	183 of 303 - Sale ²⁵
	21.000	53.76	11/12/20	55.48	1.72 W	0.00	184 of 303 - Sale ²⁵
	22.000	56.32	11/12/20	58.05	1.73 W	0.00	185 of 303 - Sale ²⁵
	23.000	58.88	11/12/20	60.74	1.86 W	0.00	186 of 303 - Sale ²⁵
	25.000	64.00	11/12/20	66.02	2.02 W	0.00	187 of 303 - Sale ²⁵
	28.000	71.68	11/12/20	73.98	2.30 W	0.00	188 of 303 - Sale ²⁵
	37.000	94.71	11/12/20	97.73	3.02 W	0.00	189 of 303 - Sale ²⁵
	38.000	97.27	11/12/20	100.38	3.11 W	0.00	190 of 303 - Sale ²⁵
	39.000	99.83	11/12/20	103.01	3.18 W	0.00	191 of 303 - Sale ²⁵
	55.000	140.79	11/12/20	145.26	4.47 W	0.00	192 of 303 - Sale ²⁵
	59.000	151.03	11/12/20	155.82	4.79 W	0.00	193 of 303 - Sale ²⁵
	60.000	153.59	11/12/20	158.47	4.88 W	0.00	194 of 303 - Sale ²⁵
	60.000	153.59	11/12/20	158.47	4.88 W	0.00	195 of 303 - Sale ²⁵
	61.000	156.15	11/12/20	161.13	4.98 W	0.00	196 of 303 - Sale ²⁵
	79.000	202.23	11/12/20	208.60	6.37 W	0.00	197 of 303 - Sale ²⁵
	90.000	230.38	11/12/20	231.30	0.92 W	0.00	198 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.65	0.09 W	0.00	199 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.67	0.11 W	0.00	200 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.67	0.11 W	0.00	201 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.67	0.11 W	0.00	202 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.67	0.11 W	0.00	203 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.67	0.11 W	0.00	204 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.67	0.11 W	0.00	205 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.67	0.11 W	0.00	206 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.30	0.18 W	0.00	207 of 303 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	2.000	5.12	11/12/20	5.29	0.17 W	0.00	208 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.36	0.24 W	0.00	209 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.36	0.24 W	0.00	210 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.36	0.24 W	0.00	211 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.33	0.21 W	0.00	212 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.34	0.22 W	0.00	213 of 303 - Sale ²⁵
	2.000	5.12	11/12/20	5.34	0.22 W	0.00	214 of 303 - Sale ²⁵
	3.000	7.68	11/12/20	7.95	0.27 W	0.00	215 of 303 - Sale ²⁵
	3.000	7.68	11/12/20	7.95	0.27 W	0.00	216 of 303 - Sale ²⁵
	3.000	7.68	11/12/20	8.00	0.32 W	0.00	217 of 303 - Sale ²⁵
	3.000	7.68	11/12/20	8.01	0.33 W	0.00	218 of 303 - Sale ²⁵
	3.000	7.68	11/12/20	8.01	0.33 W	0.00	219 of 303 - Sale ²⁵
	4.000	10.24	11/12/20	10.59	0.35 W	0.00	220 of 303 - Sale ²⁵
	4.000	10.24	11/12/20	10.59	0.35 W	0.00	221 of 303 - Sale ²⁵
	4.000	10.24	11/12/20	10.60	0.36 W	0.00	222 of 303 - Sale ²⁵
	4.000	10.24	11/12/20	10.68	0.44 W	0.00	223 of 303 - Sale ²⁵
	5.000	12.80	11/12/20	13.40	0.60 W	0.00	224 of 303 - Sale ²⁵
	5.000	12.80	11/12/20	13.39	0.59 W	0.00	225 of 303 - Sale ²⁵
	5.000	12.80	11/12/20	13.39	0.59 W	0.00	226 of 303 - Sale ²⁵
	5.000	12.80	11/12/20	13.35	0.55 W	0.00	227 of 303 - Sale ²⁵
	5.000	12.80	11/12/20	13.34	0.54 W	0.00	228 of 303 - Sale ²⁵
	6.000	15.36	11/12/20	15.90	0.54 W	0.00	229 of 303 - Sale ²⁵
	7.000	17.92	11/12/20	18.54	0.62 W	0.00	230 of 303 - Sale ²⁵
	7.000	17.92	11/12/20	18.75	0.83 W	0.00	231 of 303 - Sale ²⁵
	7.000	17.92	11/12/20	18.68	0.76 W	0.00	232 of 303 - Sale ²⁵
	7.000	17.92	11/12/20	18.68	0.76 W	0.00	233 of 303 - Sale ²⁵
	7.000	17.92	11/12/20	18.68	0.76 W	0.00	234 of 303 - Sale ²⁵
	8.000	20.48	11/12/20	21.44	0.96 W	0.00	235 of 303 - Sale ²⁵
	8.000	20.48	11/12/20	21.44	0.96 W	0.00	236 of 303 - Sale ²⁵
	8.000	20.48	11/12/20	21.35	0.87 W	0.00	237 of 303 - Sale ²⁵
	10.000	25.60	11/12/20	26.49	0.89 W	0.00	238 of 303 - Sale ²⁵
	10.000	25.60	11/12/20	26.49	0.89 W	0.00	239 of 303 - Sale ²⁵
	10.000	25.60	11/12/20	26.50	0.90 W	0.00	240 of 303 - Sale ²⁵
	13.000	33.28	11/12/20	34.44	1.16 W	0.00	241 of 303 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
14.000		35.84	11/12/20	37.37	1.53 W	0.00	242 of 303 - Sale ²⁵
15.000		38.40	11/12/20	39.73	1.33 W	0.00	243 of 303 - Sale ²⁵
15.000		38.40	11/12/20	40.19	1.79 W	0.00	244 of 303 - Sale ²⁵
16.000		40.96	11/12/20	42.40	1.44 W	0.00	245 of 303 - Sale ²⁵
16.000		40.96	11/12/20	42.71	1.75 W	0.00	246 of 303 - Sale ²⁵
17.000		43.52	11/12/20	45.06	1.54 W	0.00	247 of 303 - Sale ²⁵
18.000		46.08	11/12/20	48.24	2.16 W	0.00	248 of 303 - Sale ²⁵
19.000		48.64	11/12/20	50.33	1.69 W	0.00	249 of 303 - Sale ²⁵
19.000		48.64	11/12/20	50.70	2.06 W	0.00	250 of 303 - Sale ²⁵
19.000		48.64	11/12/20	50.72	2.08 W	0.00	251 of 303 - Sale ²⁵
20.000		51.20	11/12/20	52.97	1.77 W	0.00	252 of 303 - Sale ²⁵
20.000		51.20	11/12/20	53.36	2.16 W	0.00	253 of 303 - Sale ²⁵
22.000		56.32	11/12/20	58.29	1.97 W	0.00	254 of 303 - Sale ²⁵
22.000		56.32	11/12/20	58.30	1.98 W	0.00	255 of 303 - Sale ²⁵
25.000		64.00	11/12/20	66.29	2.29 W	0.00	256 of 303 - Sale ²⁵
25.000		64.00	11/12/20	66.22	2.22 W	0.00	257 of 303 - Sale ²⁵
26.000		66.56	11/12/20	69.69	3.13 W	0.00	258 of 303 - Sale ²⁵
26.000		66.56	11/12/20	69.41	2.85 W	0.00	259 of 303 - Sale ²⁵
28.000		71.67	11/12/20	74.27	2.60 W	0.00	260 of 303 - Sale ²⁵
28.000		71.67	11/12/20	74.22	2.55 W	0.00	261 of 303 - Sale ²⁵
29.000		74.23	11/12/20	76.89	2.66 W	0.00	262 of 303 - Sale ²⁵
31.000		79.35	11/12/20	82.19	2.84 W	0.00	263 of 303 - Sale ²⁵
31.000		79.35	11/12/20	82.84	3.49 W	0.00	264 of 303 - Sale ²⁵
32.000		81.91	11/12/20	84.86	2.95 W	0.00	265 of 303 - Sale ²⁵
32.000		81.91	11/12/20	85.50	3.59 W	0.00	266 of 303 - Sale ²⁵
35.000		89.59	11/12/20	92.82	3.23 W	0.00	267 of 303 - Sale ²⁵
37.000		94.71	11/12/20	98.85	4.14 W	0.00	268 of 303 - Sale ²⁵
38.000		97.27	11/12/20	101.90	4.63 W	0.00	269 of 303 - Sale ²⁵
38.000		97.27	11/12/20	101.87	4.60 W	0.00	270 of 303 - Sale ²⁵
40.000		102.39	11/12/20	106.05	3.66 W	0.00	271 of 303 - Sale ²⁵
50.000		127.99	11/12/20	132.55	4.56 W	0.00	272 of 303 - Sale ²⁵
54.000		138.23	11/12/20	143.16	4.93 W	0.00	273 of 303 - Sale ²⁵
54.000		138.23	11/12/20	143.16	4.93 W	0.00	274 of 303 - Sale ²⁵
55.000		140.79	11/12/20	147.47	6.68 W	0.00	275 of 303 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	65.000	166.39	11/12/20	172.30	5.91 W	0.00	276 of 303 - Sale ²⁵
	78.000	199.67	11/12/20	206.80	7.13 W	0.00	277 of 303 - Sale ²⁵
	90.000	230.38	11/12/20	238.60	8.22 W	0.00	278 of 303 - Sale ²⁵
	102.000	261.10	11/12/20	270.44	9.34 W	0.00	279 of 303 - Sale ²⁵
	131.000	335.34	11/12/20	345.94	10.60 W	0.00	280 of 303 - Sale ²⁵
	300.000	767.94	11/12/20	792.21	24.27 W	0.00	281 of 303 - Sale ²⁵
	380.000	972.73	11/12/20	1,003.44	30.71 W	0.00	282 of 303 - Sale ²⁵
	1.000	2.56	11/12/20	2.64	0.08 W	0.00	283 of 303 - Sale ²⁵
	3.000	7.68	11/12/20	7.92	0.24 W	0.00	284 of 303 - Sale ²⁵
	10.000	25.60	11/12/20	26.40	0.80 W	0.00	285 of 303 - Sale ²⁵
	21.000	53.76	11/12/20	55.44	1.68 W	0.00	286 of 303 - Sale ²⁵
	38.000	97.27	11/12/20	100.35	3.08 W	0.00	287 of 303 - Sale ²⁵
	100.000	255.98	11/12/20	264.06	8.08 W	0.00	288 of 303 - Sale ²⁵
	100.000	255.98	11/12/20	264.06	8.08 W	0.00	289 of 303 - Sale ²⁵
	120.000	307.18	11/12/20	316.88	9.70 W	0.00	290 of 303 - Sale ²⁵
	123.000	314.86	11/12/20	324.80	9.94 W	0.00	291 of 303 - Sale ²⁵
	137.000	350.69	11/12/20	361.76	11.07 W	0.00	292 of 303 - Sale ²⁵
	172.000	440.29	11/12/20	454.19	13.90 W	0.00	293 of 303 - Sale ²⁵
	218.000	558.04	11/12/20	575.66	17.62 W	0.00	294 of 303 - Sale ²⁵
	400.000	1,023.92	11/12/20	1,056.27	32.35 W	0.00	295 of 303 - Sale ²⁵
	400.000	1,023.92	11/12/20	1,056.26	32.34 W	0.00	296 of 303 - Sale ²⁵
	457.000	1,169.83	11/12/20	1,206.77	36.94 W	0.00	297 of 303 - Sale ²⁵
	162.000	414.69	11/12/20	427.78	13.09 W	0.00	298 of 303 - Sale ²⁵
	644.000	1,648.52	11/12/20	1,700.55	52.03 W	0.00	299 of 303 - Sale ²⁵
	739.000	1,891.70	11/12/20	1,951.41	59.71 W	0.00	300 of 303 - Sale ²⁵
	755.000	1,932.66	11/12/20	1,993.66	61.00 W	0.00	301 of 303 - Sale ²⁵
	39.000	99.83	11/12/20	102.98	3.15 W	0.00	302 of 303 - Sale ²⁵
	2,061.000	5,275.77	11/12/20	5,442.29	166.52 W	0.00	303 of 303 - Sale ²⁵
11/12/20	21,000.000	53,656.28	Various	55,605.30	1,949.02 W	0.00	Total of 303 transactions
183 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	644.000	2,543.67	11/17/20	2,608.98	...	-65.31	1 of 183 - Sale ²⁵
	462.000	1,824.80	11/17/20	1,871.67	...	-46.87	2 of 183 - Sale ²⁵
	603.000	2,381.69	11/17/20	2,418.79	...	-37.10	3 of 183 - Sale ²⁵
	349.000	1,378.48	11/17/20	1,413.90	...	-35.42	4 of 183 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	339.000	1,338.98	11/17/20	1,373.38	...	-34.40	5 of 183 - Sale ²⁵
	300.000	1,187.93	11/17/20	1,221.41	...	-33.48	6 of 183 - Sale ²⁵
	294.000	1,164.18	11/17/20	1,196.95	...	-32.77	7 of 183 - Sale ²⁵
	293.000	1,157.29	11/17/20	1,189.94	...	-32.65	8 of 183 - Sale ²⁵
	218.000	861.05	11/17/20	883.18	...	-22.13	9 of 183 - Sale ²⁵
	175.000	691.21	11/17/20	708.98	...	-17.77	10 of 183 - Sale ²⁵
	136.000	537.17	11/17/20	552.33	...	-15.16	11 of 183 - Sale ²⁵
	131.000	518.73	11/17/20	533.35	...	-14.62	12 of 183 - Sale ²⁵
	137.000	541.12	11/17/20	555.02	...	-13.90	13 of 183 - Sale ²⁵
	123.000	485.82	11/17/20	498.31	...	-12.49	14 of 183 - Sale ²⁵
	120.000	473.98	11/17/20	486.16	...	-12.18	15 of 183 - Sale ²⁵
	117.000	462.13	11/17/20	473.99	...	-11.86	16 of 183 - Sale ²⁵
	115.000	454.23	11/17/20	465.91	...	-11.68	17 of 183 - Sale ²⁵
	110.000	434.48	11/17/20	445.65	...	-11.17	18 of 183 - Sale ²⁵
	103.000	406.83	11/17/20	417.28	...	-10.45	19 of 183 - Sale ²⁵
	100.000	394.98	11/17/20	405.12	...	-10.14	20 of 183 - Sale ²⁵
	100.000	394.98	11/17/20	405.12	...	-10.14	21 of 183 - Sale ²⁵
	90.000	355.48	11/17/20	365.58	...	-10.10	22 of 183 - Sale ²⁵
	55.000	217.24	11/17/20	225.06	...	-7.82	23 of 183 - Sale ²⁵
	102.000	402.88	11/17/20	410.27	...	-7.39	24 of 183 - Sale ²⁵
	69.000	272.54	11/17/20	279.54	...	-7.00	25 of 183 - Sale ²⁵
	59.000	233.63	11/17/20	240.21	...	-6.58	26 of 183 - Sale ²⁵
	61.000	240.94	11/17/20	247.13	...	-6.19	27 of 183 - Sale ²⁵
	58.000	229.09	11/17/20	234.98	...	-5.89	28 of 183 - Sale ²⁵
	78.000	308.08	11/17/20	313.73	...	-5.65	29 of 183 - Sale ²⁵
	38.000	150.09	11/17/20	155.52	...	-5.43	30 of 183 - Sale ²⁵
	38.000	150.09	11/17/20	155.49	...	-5.40	31 of 183 - Sale ²⁵
	169.000	669.20	11/17/20	674.50	...	-5.30	32 of 183 - Sale ²⁵
	50.000	197.49	11/17/20	202.55	...	-5.06	33 of 183 - Sale ²⁵
	37.000	146.14	11/17/20	151.06	...	-4.92	34 of 183 - Sale ²⁵
	65.000	256.74	11/17/20	261.40	...	-4.66	35 of 183 - Sale ²⁵
	45.000	177.74	11/17/20	182.31	...	-4.57	36 of 183 - Sale ²⁵
	32.000	126.39	11/17/20	130.66	...	-4.27	37 of 183 - Sale ²⁵
	31.000	122.44	11/17/20	126.59	...	-4.15	38 of 183 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	65.000	256.73	11/17/20	260.73	...	-4.00	39 of 183 - Sale ²⁵
	54.000	213.29	11/17/20	217.18	...	-3.89	40 of 183 - Sale ²⁵
	38.000	150.09	11/17/20	153.96	...	-3.87	41 of 183 - Sale ²⁵
	26.000	102.69	11/17/20	106.38	...	-3.69	42 of 183 - Sale ²⁵
	26.000	102.69	11/17/20	106.10	...	-3.41	43 of 183 - Sale ²⁵
	60.000	236.98	11/17/20	240.12	...	-3.14	44 of 183 - Sale ²⁵
	59.000	233.04	11/17/20	236.12	...	-3.08	45 of 183 - Sale ²⁵
	261.000	1,033.50	11/17/20	1,036.45	...	-2.95	46 of 183 - Sale ²⁵
	258.000	1,021.62	11/17/20	1,024.52	...	-2.90	47 of 183 - Sale ²⁵
	54.000	213.29	11/17/20	216.12	...	-2.83	48 of 183 - Sale ²⁵
	27.000	106.64	11/17/20	109.38	...	-2.74	49 of 183 - Sale ²⁵
	36.000	142.19	11/17/20	144.79	...	-2.60	50 of 183 - Sale ²⁵
	35.000	138.24	11/17/20	140.81	...	-2.57	51 of 183 - Sale ²⁵
	20.000	79.00	11/17/20	81.56	...	-2.56	52 of 183 - Sale ²⁵
	18.000	71.10	11/17/20	73.62	...	-2.52	53 of 183 - Sale ²⁵
	40.000	157.99	11/17/20	160.50	...	-2.51	54 of 183 - Sale ²⁵
	19.000	75.05	11/17/20	77.51	...	-2.46	55 of 183 - Sale ²⁵
	19.000	75.05	11/17/20	77.49	...	-2.44	56 of 183 - Sale ²⁵
	212.000	839.47	11/17/20	841.86	...	-2.39	57 of 183 - Sale ²⁵
	32.000	126.39	11/17/20	128.74	...	-2.35	58 of 183 - Sale ²⁵
	31.000	122.44	11/17/20	124.70	...	-2.26	59 of 183 - Sale ²⁵
	31.000	122.44	11/17/20	124.68	...	-2.24	60 of 183 - Sale ²⁵
	41.000	161.94	11/17/20	164.09	...	-2.15	61 of 183 - Sale ²⁵
	29.000	114.54	11/17/20	116.66	...	-2.12	62 of 183 - Sale ²⁵
	21.000	82.95	11/17/20	85.05	...	-2.10	63 of 183 - Sale ²⁵
	15.000	59.25	11/17/20	61.34	...	-2.09	64 of 183 - Sale ²⁵
	28.000	110.59	11/17/20	112.67	...	-2.08	65 of 183 - Sale ²⁵
	16.000	63.20	11/17/20	65.27	...	-2.07	66 of 183 - Sale ²⁵
	39.000	154.04	11/17/20	156.10	...	-2.06	67 of 183 - Sale ²⁵
	33.000	130.34	11/17/20	132.37	...	-2.03	68 of 183 - Sale ²⁵
	37.000	146.14	11/17/20	148.10	...	-1.96	69 of 183 - Sale ²⁵
	17.000	67.15	11/17/20	69.03	...	-1.88	70 of 183 - Sale ²⁵
	35.000	138.24	11/17/20	140.10	...	-1.86	71 of 183 - Sale ²⁵
	14.000	55.30	11/17/20	57.11	...	-1.81	72 of 183 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	40.000	157.99	11/17/20	159.69	...	-1.70	73 of 183 - Sale ²⁵
	31.000	122.44	11/17/20	124.10	...	-1.66	74 of 183 - Sale ²⁵
	23.000	90.85	11/17/20	92.49	...	-1.64	75 of 183 - Sale ²⁵
	25.000	98.74	11/17/20	100.33	...	-1.59	76 of 183 - Sale ²⁵
	28.000	110.59	11/17/20	112.10	...	-1.51	77 of 183 - Sale ²⁵
	20.000	79.00	11/17/20	80.38	...	-1.38	78 of 183 - Sale ²⁵
	22.000	86.89	11/17/20	88.24	...	-1.35	79 of 183 - Sale ²⁵
	22.000	86.89	11/17/20	88.22	...	-1.33	80 of 183 - Sale ²⁵
	25.000	98.74	11/17/20	100.06	...	-1.32	81 of 183 - Sale ²⁵
	18.000	71.10	11/17/20	72.39	...	-1.29	82 of 183 - Sale ²⁵
	24.000	94.79	11/17/20	96.04	...	-1.25	83 of 183 - Sale ²⁵
	23.000	90.84	11/17/20	92.06	...	-1.22	84 of 183 - Sale ²⁵
	19.000	75.04	11/17/20	76.22	...	-1.18	85 of 183 - Sale ²⁵
	19.000	75.04	11/17/20	76.18	...	-1.14	86 of 183 - Sale ²⁵
	100.000	395.98	11/17/20	397.10	...	-1.12	87 of 183 - Sale ²⁵
	8.000	31.60	11/17/20	32.72	...	-1.12	88 of 183 - Sale ²⁵
	8.000	31.60	11/17/20	32.72	...	-1.12	89 of 183 - Sale ²⁵
	16.000	63.20	11/17/20	64.32	...	-1.12	90 of 183 - Sale ²⁵
	21.000	82.95	11/17/20	84.05	...	-1.10	91 of 183 - Sale ²⁵
	22.000	86.89	11/17/20	87.98	...	-1.09	92 of 183 - Sale ²⁵
	8.000	31.60	11/17/20	32.63	...	-1.03	93 of 183 - Sale ²⁵
	15.000	59.25	11/17/20	60.28	...	-1.03	94 of 183 - Sale ²⁵
	10.000	39.50	11/17/20	40.50	...	-1.00	95 of 183 - Sale ²⁵
	88.000	348.46	11/17/20	349.45	...	-0.99	96 of 183 - Sale ²⁵
	7.000	27.65	11/17/20	28.62	...	-0.97	97 of 183 - Sale ²⁵
	7.000	27.65	11/17/20	28.55	...	-0.90	98 of 183 - Sale ²⁵
	7.000	27.65	11/17/20	28.55	...	-0.90	99 of 183 - Sale ²⁵
	7.000	27.65	11/17/20	28.55	...	-0.90	100 of 183 - Sale ²⁵
	13.000	51.35	11/17/20	52.25	...	-0.90	101 of 183 - Sale ²⁵
	18.000	71.10	11/17/20	71.99	...	-0.89	102 of 183 - Sale ²⁵
	18.000	71.10	11/17/20	71.99	...	-0.89	103 of 183 - Sale ²⁵
	17.000	67.15	11/17/20	68.01	...	-0.86	104 of 183 - Sale ²⁵
	14.000	55.30	11/17/20	56.12	...	-0.82	105 of 183 - Sale ²⁵
	71.000	281.14	11/17/20	281.95	...	-0.81	106 of 183 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	16.000	63.20	11/17/20	63.99	...	-0.79	107 of 183 - Sale ²⁵
	69.000	273.23	11/17/20	274.01	...	-0.78	108 of 183 - Sale ²⁵
	15.000	59.25	11/17/20	59.99	...	-0.74	109 of 183 - Sale ²⁵
	14.000	55.30	11/17/20	56.03	...	-0.73	110 of 183 - Sale ²⁵
	14.000	55.30	11/17/20	56.02	...	-0.72	111 of 183 - Sale ²⁵
	14.000	55.30	11/17/20	56.01	...	-0.71	112 of 183 - Sale ²⁵
	5.000	19.75	11/17/20	20.45	...	-0.70	113 of 183 - Sale ²⁵
	5.000	19.75	11/17/20	20.44	...	-0.69	114 of 183 - Sale ²⁵
	5.000	19.75	11/17/20	20.44	...	-0.69	115 of 183 - Sale ²⁵
	13.000	51.35	11/17/20	52.02	...	-0.67	116 of 183 - Sale ²⁵
	5.000	19.75	11/17/20	20.40	...	-0.65	117 of 183 - Sale ²⁵
	11.000	43.45	11/17/20	44.10	...	-0.65	118 of 183 - Sale ²⁵
	5.000	19.75	11/17/20	20.39	...	-0.64	119 of 183 - Sale ²⁵
	12.000	47.40	11/17/20	48.02	...	-0.62	120 of 183 - Sale ²⁵
	10.000	39.50	11/17/20	40.10	...	-0.60	121 of 183 - Sale ²⁵
	10.000	39.50	11/17/20	40.09	...	-0.59	122 of 183 - Sale ²⁵
	10.000	39.50	11/17/20	40.09	...	-0.59	123 of 183 - Sale ²⁵
	5.000	19.75	11/17/20	20.31	...	-0.56	124 of 183 - Sale ²⁵
	4.000	15.80	11/17/20	16.32	...	-0.52	125 of 183 - Sale ²⁵
	10.000	39.50	11/17/20	39.99	...	-0.49	126 of 183 - Sale ²⁵
	7.000	27.65	11/17/20	28.13	...	-0.48	127 of 183 - Sale ²⁵
	9.000	35.55	11/17/20	36.01	...	-0.46	128 of 183 - Sale ²⁵
	6.000	23.70	11/17/20	24.12	...	-0.42	129 of 183 - Sale ²⁵
	8.000	31.60	11/17/20	32.01	...	-0.41	130 of 183 - Sale ²⁵
	3.000	11.85	11/17/20	12.24	...	-0.39	131 of 183 - Sale ²⁵
	3.000	11.85	11/17/20	12.24	...	-0.39	132 of 183 - Sale ²⁵
	8.000	31.60	11/17/20	31.99	...	-0.39	133 of 183 - Sale ²⁵
	8.000	31.60	11/17/20	31.99	...	-0.39	134 of 183 - Sale ²⁵
	3.000	11.85	11/17/20	12.23	...	-0.38	135 of 183 - Sale ²⁵
	7.000	27.65	11/17/20	28.01	...	-0.36	136 of 183 - Sale ²⁵
	7.000	27.65	11/17/20	27.99	...	-0.34	137 of 183 - Sale ²⁵
	6.000	23.70	11/17/20	24.01	...	-0.31	138 of 183 - Sale ²⁵
	6.000	23.70	11/17/20	24.01	...	-0.31	139 of 183 - Sale ²⁵
	3.000	11.85	11/17/20	12.15	...	-0.30	140 of 183 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	2.000	7.90	11/17/20	8.18	...	-0.28	141 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.18	...	-0.28	142 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.18	...	-0.28	143 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.16	...	-0.26	144 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.16	...	-0.26	145 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.15	...	-0.25	146 of 183 - Sale ²⁵
	4.000	15.80	11/17/20	16.04	...	-0.24	147 of 183 - Sale ²⁵
	5.000	19.75	11/17/20	19.99	...	-0.24	148 of 183 - Sale ²⁵
	4.000	15.80	11/17/20	16.03	...	-0.23	149 of 183 - Sale ²⁵
	4.000	15.80	11/17/20	16.03	...	-0.23	150 of 183 - Sale ²⁵
	4.000	15.80	11/17/20	15.99	...	-0.19	151 of 183 - Sale ²⁵
	4.000	15.80	11/17/20	15.99	...	-0.19	152 of 183 - Sale ²⁵
	3.000	11.85	11/17/20	12.03	...	-0.18	153 of 183 - Sale ²⁵
	3.000	11.85	11/17/20	12.03	...	-0.18	154 of 183 - Sale ²⁵
	3.000	11.85	11/17/20	12.00	...	-0.15	155 of 183 - Sale ²⁵
	3.000	11.85	11/17/20	12.00	...	-0.15	156 of 183 - Sale ²⁵
	3.000	11.85	11/17/20	11.99	...	-0.14	157 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.08	...	-0.13	158 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.08	...	-0.13	159 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.08	...	-0.13	160 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.08	...	-0.13	161 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.08	...	-0.13	162 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.08	...	-0.13	163 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.08	...	-0.13	164 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.02	...	-0.12	165 of 183 - Sale ²⁵
	10.000	39.60	11/17/20	39.71	...	-0.11	166 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.01	...	-0.11	167 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.05	...	-0.10	168 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.00	...	-0.10	169 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.00	...	-0.10	170 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.00	...	-0.10	171 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.00	...	-0.10	172 of 183 - Sale ²⁵
	2.000	7.90	11/17/20	8.00	...	-0.10	173 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.01	...	-0.06	174 of 183 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1.000	3.95	11/17/20	4.00	...	-0.05	175 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.00	...	-0.05	176 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.00	...	-0.05	177 of 183 - Sale ²⁵
	1.000	3.95	11/17/20	4.00	...	-0.05	178 of 183 - Sale ²⁵
	1.000	4.05	11/17/20	4.04	...	0.01	179 of 183 - Sale ²⁵
	6.000	24.30	11/17/20	24.25	...	0.05	180 of 183 - Sale ²⁵
	10.000	41.60	11/17/20	41.51	...	0.09	181 of 183 - Sale ²⁵
	29.000	117.44	11/17/20	117.27	...	0.17	182 of 183 - Sale ²⁵
	90.000	364.48	11/17/20	360.02	...	4.46	183 of 183 - Sale ²⁵
11/17/20	9,167.000	36,242.64	Various	36,980.96	...	-738.32	Total of 183 transactions
	537 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	39.000	154.43	11/17/20	157.21	2.64 W	-0.14	1 of 537 - Sale ²⁵
	10.000	40.50	11/17/20	40.51	0.01 W	0.00	2 of 537 - Sale ²⁵
	169.000	684.41	11/17/20	691.32	6.91 W	0.00	3 of 537 - Sale ²⁵
	212.000	858.56	11/17/20	862.97	4.41 W	0.00	4 of 537 - Sale ²⁵
	261.000	1,056.99	11/17/20	1,062.43	5.44 W	0.00	5 of 537 - Sale ²⁵
	258.000	1,044.85	11/17/20	1,050.22	5.37 W	0.00	6 of 537 - Sale ²⁵
	100.000	404.98	11/17/20	407.06	2.08 W	0.00	7 of 537 - Sale ²⁵
	40.000	161.99	11/17/20	162.45	0.46 W	0.00	8 of 537 - Sale ²⁵
	159.000	643.92	11/17/20	647.23	3.31 W	0.00	9 of 537 - Sale ²⁵
	24.000	97.19	11/17/20	97.68	0.49 W	0.00	10 of 537 - Sale ²⁵
	41.000	166.04	11/17/20	166.92	0.88 W	0.00	11 of 537 - Sale ²⁵
	1.000	4.05	11/17/20	4.07	0.02 W	0.00	12 of 537 - Sale ²⁵
	1.000	4.05	11/17/20	4.07	0.02 W	0.00	13 of 537 - Sale ²⁵
	2.000	8.10	11/17/20	8.14	0.04 W	0.00	14 of 537 - Sale ²⁵
	4.000	16.20	11/17/20	16.27	0.07 W	0.00	15 of 537 - Sale ²⁵
	5.000	20.25	11/17/20	20.34	0.09 W	0.00	16 of 537 - Sale ²⁵
	14.000	56.70	11/17/20	57.00	0.30 W	0.00	17 of 537 - Sale ²⁵
	14.000	56.70	11/17/20	57.00	0.30 W	0.00	18 of 537 - Sale ²⁵
	59.000	238.94	11/17/20	240.20	1.26 W	0.00	19 of 537 - Sale ²⁵
	2.000	8.10	11/17/20	8.14	0.04 W	0.00	20 of 537 - Sale ²⁵
	7.000	28.35	11/17/20	28.50	0.15 W	0.00	21 of 537 - Sale ²⁵
	16.000	64.80	11/17/20	65.11	0.31 W	0.00	22 of 537 - Sale ²⁵
	28.000	113.39	11/17/20	114.02	0.63 W	0.00	23 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	47.000	190.34	11/17/20	191.37	1.03 W	0.00	24 of 537 - Sale ²⁵
	1.000	4.05	11/17/20	4.07	0.02 W	0.00	25 of 537 - Sale ²⁵
	1.000	4.05	11/17/20	4.07	0.02 W	0.00	26 of 537 - Sale ²⁵
	2.000	8.10	11/17/20	8.14	0.04 W	0.00	27 of 537 - Sale ²⁵
	2.000	8.10	11/17/20	8.14	0.04 W	0.00	28 of 537 - Sale ²⁵
	2.000	8.10	11/17/20	8.14	0.04 W	0.00	29 of 537 - Sale ²⁵
	3.000	12.15	11/17/20	12.21	0.06 W	0.00	30 of 537 - Sale ²⁵
	3.000	12.15	11/17/20	12.21	0.06 W	0.00	31 of 537 - Sale ²⁵
	3.000	12.15	11/17/20	12.20	0.05 W	0.00	32 of 537 - Sale ²⁵
	4.000	16.20	11/17/20	16.27	0.07 W	0.00	33 of 537 - Sale ²⁵
	6.000	24.30	11/17/20	24.43	0.13 W	0.00	34 of 537 - Sale ²⁵
	7.000	28.35	11/17/20	28.48	0.13 W	0.00	35 of 537 - Sale ²⁵
	8.000	32.40	11/17/20	32.57	0.17 W	0.00	36 of 537 - Sale ²⁵
	8.000	32.40	11/17/20	32.55	0.15 W	0.00	37 of 537 - Sale ²⁵
	8.000	32.40	11/17/20	32.55	0.15 W	0.00	38 of 537 - Sale ²⁵
	9.000	36.45	11/17/20	36.64	0.19 W	0.00	39 of 537 - Sale ²⁵
	10.000	40.50	11/17/20	40.69	0.19 W	0.00	40 of 537 - Sale ²⁵
	13.000	52.65	11/17/20	52.93	0.28 W	0.00	41 of 537 - Sale ²⁵
	14.000	56.70	11/17/20	56.99	0.29 W	0.00	42 of 537 - Sale ²⁵
	15.000	60.75	11/17/20	61.04	0.29 W	0.00	43 of 537 - Sale ²⁵
	17.000	68.85	11/17/20	69.20	0.35 W	0.00	44 of 537 - Sale ²⁵
	18.000	72.90	11/17/20	73.25	0.35 W	0.00	45 of 537 - Sale ²⁵
	18.000	72.90	11/17/20	73.25	0.35 W	0.00	46 of 537 - Sale ²⁵
	21.000	85.05	11/17/20	85.51	0.46 W	0.00	47 of 537 - Sale ²⁵
	22.000	89.10	11/17/20	89.51	0.41 W	0.00	48 of 537 - Sale ²⁵
	23.000	93.14	11/17/20	93.63	0.49 W	0.00	49 of 537 - Sale ²⁵
	25.000	101.24	11/17/20	101.77	0.53 W	0.00	50 of 537 - Sale ²⁵
	31.000	125.54	11/17/20	126.23	0.69 W	0.00	51 of 537 - Sale ²⁵
	37.000	149.84	11/17/20	150.65	0.81 W	0.00	52 of 537 - Sale ²⁵
	39.000	157.94	11/17/20	158.79	0.85 W	0.00	53 of 537 - Sale ²⁵
	60.000	242.99	11/17/20	244.28	1.29 W	0.00	54 of 537 - Sale ²⁵
	60.000	242.99	11/17/20	244.28	1.29 W	0.00	55 of 537 - Sale ²⁵
	10.000	40.50	11/17/20	40.71	0.21 W	0.00	56 of 537 - Sale ²⁵
	2.000	8.10	11/17/20	8.16	0.06 W	0.00	57 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	4.000	16.20	11/17/20	16.31	0.11 W	0.00	58 of 537 - Sale ²⁵
	4.000	16.20	11/17/20	16.31	0.11 W	0.00	59 of 537 - Sale ²⁵
	10.000	40.50	11/17/20	40.79	0.29 W	0.00	60 of 537 - Sale ²⁵
	10.000	40.50	11/17/20	40.79	0.29 W	0.00	61 of 537 - Sale ²⁵
	10.000	40.50	11/17/20	40.80	0.30 W	0.00	62 of 537 - Sale ²⁵
	14.000	56.70	11/17/20	57.10	0.40 W	0.00	63 of 537 - Sale ²⁵
	22.000	89.09	11/17/20	89.75	0.66 W	0.00	64 of 537 - Sale ²⁵
	25.000	101.24	11/17/20	102.04	0.80 W	0.00	65 of 537 - Sale ²⁵
	40.000	161.99	11/17/20	163.26	1.27 W	0.00	66 of 537 - Sale ²⁵
	69.000	279.43	11/17/20	280.87	1.44 W	0.00	67 of 537 - Sale ²⁵
	1.000	4.05	11/17/20	4.08	0.03 W	0.00	68 of 537 - Sale ²⁵
	2.000	8.10	11/17/20	8.15	0.05 W	0.00	69 of 537 - Sale ²⁵
	3.000	12.15	11/17/20	12.24	0.09 W	0.00	70 of 537 - Sale ²⁵
	3.000	12.15	11/17/20	12.24	0.09 W	0.00	71 of 537 - Sale ²⁵
	4.000	16.20	11/17/20	16.32	0.12 W	0.00	72 of 537 - Sale ²⁵
	6.000	24.30	11/17/20	24.48	0.18 W	0.00	73 of 537 - Sale ²⁵
	7.000	28.35	11/17/20	28.55	0.20 W	0.00	74 of 537 - Sale ²⁵
	11.000	44.55	11/17/20	44.87	0.32 W	0.00	75 of 537 - Sale ²⁵
	13.000	52.65	11/17/20	53.03	0.38 W	0.00	76 of 537 - Sale ²⁵
	19.000	76.94	11/17/20	77.50	0.56 W	0.00	77 of 537 - Sale ²⁵
	20.000	80.99	11/17/20	81.57	0.58 W	0.00	78 of 537 - Sale ²⁵
	22.000	89.09	11/17/20	89.76	0.67 W	0.00	79 of 537 - Sale ²⁵
	31.000	125.54	11/17/20	126.53	0.99 W	0.00	80 of 537 - Sale ²⁵
	50.000	202.49	11/17/20	204.06	1.57 W	0.00	81 of 537 - Sale ²⁵
	54.000	218.69	11/17/20	220.39	1.70 W	0.00	82 of 537 - Sale ²⁵
	54.000	218.69	11/17/20	220.39	1.70 W	0.00	83 of 537 - Sale ²⁵
	15.000	60.75	11/17/20	61.18	0.43 W	0.00	84 of 537 - Sale ²⁵
	16.000	64.80	11/17/20	65.28	0.48 W	0.00	85 of 537 - Sale ²⁵
	28.000	113.39	11/17/20	114.32	0.93 W	0.00	86 of 537 - Sale ²⁵
	29.000	117.44	11/17/20	118.37	0.93 W	0.00	87 of 537 - Sale ²⁵
	32.000	129.59	11/17/20	130.63	1.04 W	0.00	88 of 537 - Sale ²⁵
	78.000	315.88	11/17/20	318.35	2.47 W	0.00	89 of 537 - Sale ²⁵
	102.000	413.07	11/17/20	416.32	3.25 W	0.00	90 of 537 - Sale ²⁵
	23.000	93.14	11/17/20	93.86	0.72 W	0.00	91 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	35.000	141.74	11/17/20	142.88	1.14 W	0.00	92 of 537 - Sale ²⁵
	65.000	263.23	11/17/20	265.26	2.03 W	0.00	93 of 537 - Sale ²⁵
	1.000	4.24	11/17/20	4.33	0.09 W	0.00	94 of 537 - Sale ²⁵
	1.000	4.24	11/17/20	4.33	0.09 W	0.00	95 of 537 - Sale ²⁵
	1.000	4.24	11/17/20	4.33	0.09 W	0.00	96 of 537 - Sale ²⁵
	1.000	4.24	11/17/20	4.33	0.09 W	0.00	97 of 537 - Sale ²⁵
	1.000	4.24	11/17/20	4.33	0.09 W	0.00	98 of 537 - Sale ²⁵
	1.000	4.24	11/17/20	4.33	0.09 W	0.00	99 of 537 - Sale ²⁵
	1.000	4.24	11/17/20	4.33	0.09 W	0.00	100 of 537 - Sale ²⁵
	2.000	8.48	11/17/20	8.68	0.20 W	0.00	101 of 537 - Sale ²⁵
	2.000	8.48	11/17/20	8.68	0.20 W	0.00	102 of 537 - Sale ²⁵
	2.000	8.48	11/17/20	8.68	0.20 W	0.00	103 of 537 - Sale ²⁵
	2.000	8.48	11/17/20	8.65	0.17 W	0.00	104 of 537 - Sale ²⁵
	2.000	8.48	11/17/20	8.66	0.18 W	0.00	105 of 537 - Sale ²⁵
	2.000	8.48	11/17/20	8.66	0.18 W	0.00	106 of 537 - Sale ²⁵
	3.000	12.72	11/17/20	12.98	0.26 W	0.00	107 of 537 - Sale ²⁵
	3.000	12.72	11/17/20	12.99	0.27 W	0.00	108 of 537 - Sale ²⁵
	3.000	12.72	11/17/20	12.99	0.27 W	0.00	109 of 537 - Sale ²⁵
	4.000	16.96	11/17/20	17.32	0.36 W	0.00	110 of 537 - Sale ²⁵
	5.000	21.20	11/17/20	21.56	0.36 W	0.00	111 of 537 - Sale ²⁵
	5.000	21.20	11/17/20	21.70	0.50 W	0.00	112 of 537 - Sale ²⁵
	5.000	21.20	11/17/20	21.69	0.49 W	0.00	113 of 537 - Sale ²⁵
	5.000	21.20	11/17/20	21.69	0.49 W	0.00	114 of 537 - Sale ²⁵
	5.000	21.20	11/17/20	21.65	0.45 W	0.00	115 of 537 - Sale ²⁵
	5.000	21.20	11/17/20	21.64	0.44 W	0.00	116 of 537 - Sale ²⁵
	7.000	29.68	11/17/20	30.37	0.69 W	0.00	117 of 537 - Sale ²⁵
	7.000	29.68	11/17/20	30.30	0.62 W	0.00	118 of 537 - Sale ²⁵
	7.000	29.68	11/17/20	30.30	0.62 W	0.00	119 of 537 - Sale ²⁵
	7.000	29.68	11/17/20	30.30	0.62 W	0.00	120 of 537 - Sale ²⁵
	8.000	33.92	11/17/20	34.72	0.80 W	0.00	121 of 537 - Sale ²⁵
	8.000	33.92	11/17/20	34.72	0.80 W	0.00	122 of 537 - Sale ²⁵
	8.000	33.92	11/17/20	34.63	0.71 W	0.00	123 of 537 - Sale ²⁵
	14.000	59.36	11/17/20	60.61	1.25 W	0.00	124 of 537 - Sale ²⁵
	15.000	63.60	11/17/20	65.09	1.49 W	0.00	125 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	16.000	67.84	11/17/20	69.27	1.43 W	0.00	126 of 537 - Sale ²⁵
	17.000	72.08	11/17/20	73.28	1.20 W	0.00	127 of 537 - Sale ²⁵
	18.000	76.32	11/17/20	78.12	1.80 W	0.00	128 of 537 - Sale ²⁵
	19.000	80.56	11/17/20	82.24	1.68 W	0.00	129 of 537 - Sale ²⁵
	19.000	80.56	11/17/20	82.26	1.70 W	0.00	130 of 537 - Sale ²⁵
	20.000	84.80	11/17/20	86.56	1.76 W	0.00	131 of 537 - Sale ²⁵
	26.000	110.23	11/17/20	112.85	2.62 W	0.00	132 of 537 - Sale ²⁵
	26.000	110.23	11/17/20	112.57	2.34 W	0.00	133 of 537 - Sale ²⁵
	31.000	131.43	11/17/20	134.31	2.88 W	0.00	134 of 537 - Sale ²⁵
	32.000	135.67	11/17/20	138.63	2.96 W	0.00	135 of 537 - Sale ²⁵
	37.000	156.87	11/17/20	160.28	3.41 W	0.00	136 of 537 - Sale ²⁵
	38.000	161.11	11/17/20	164.99	3.88 W	0.00	137 of 537 - Sale ²⁵
	38.000	161.11	11/17/20	164.96	3.85 W	0.00	138 of 537 - Sale ²⁵
	55.000	233.19	11/17/20	238.78	5.59 W	0.00	139 of 537 - Sale ²⁵
	90.000	381.58	11/17/20	388.02	6.44 W	0.00	140 of 537 - Sale ²⁵
	1.000	4.24	11/17/20	4.30	0.06 W	0.00	141 of 537 - Sale ²⁵
	3.000	12.72	11/17/20	12.90	0.18 W	0.00	142 of 537 - Sale ²⁵
	10.000	42.40	11/17/20	43.00	0.60 W	0.00	143 of 537 - Sale ²⁵
	21.000	89.04	11/17/20	90.30	1.26 W	0.00	144 of 537 - Sale ²⁵
	38.000	161.11	11/17/20	163.44	2.33 W	0.00	145 of 537 - Sale ²⁵
	100.000	423.98	11/17/20	430.08	6.10 W	0.00	146 of 537 - Sale ²⁵
	100.000	423.98	11/17/20	430.08	6.10 W	0.00	147 of 537 - Sale ²⁵
	120.000	508.77	11/17/20	516.10	7.33 W	0.00	148 of 537 - Sale ²⁵
	123.000	521.49	11/17/20	529.00	7.51 W	0.00	149 of 537 - Sale ²⁵
	131.000	555.41	11/17/20	563.42	8.01 W	0.00	150 of 537 - Sale ²⁵
	137.000	580.85	11/17/20	589.21	8.36 W	0.00	151 of 537 - Sale ²⁵
	162.000	686.84	11/17/20	696.73	9.89 W	0.00	152 of 537 - Sale ²⁵
	172.000	729.24	11/17/20	739.74	10.50 W	0.00	153 of 537 - Sale ²⁵
	218.000	924.27	11/17/20	937.58	13.31 W	0.00	154 of 537 - Sale ²⁵
	300.000	1,271.93	11/17/20	1,290.27	18.34 W	0.00	155 of 537 - Sale ²⁵
	380.000	1,611.12	11/17/20	1,634.31	23.19 W	0.00	156 of 537 - Sale ²⁵
	400.000	1,695.91	11/17/20	1,720.35	24.44 W	0.00	157 of 537 - Sale ²⁵
	400.000	1,695.91	11/17/20	1,720.34	24.43 W	0.00	158 of 537 - Sale ²⁵
	457.000	1,937.58	11/17/20	1,965.48	27.90 W	0.00	159 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	462.000	1,958.78	11/17/20	1,986.97	28.19 W	0.00	160 of 537 - Sale ²⁵
	644.000	2,730.42	11/17/20	2,769.71	39.29 W	0.00	161 of 537 - Sale ²⁵
	40.000	166.39	11/17/20	166.46	0.07 W	0.00	162 of 537 - Sale ²⁵
	1.000	4.16	11/17/20	4.17	0.01 W	0.00	163 of 537 - Sale ²⁵
	1.000	4.16	11/17/20	4.17	0.01 W	0.00	164 of 537 - Sale ²⁵
	2.000	8.32	11/17/20	8.34	0.02 W	0.00	165 of 537 - Sale ²⁵
	4.000	16.64	11/17/20	16.67	0.03 W	0.00	166 of 537 - Sale ²⁵
	5.000	20.80	11/17/20	20.84	0.04 W	0.00	167 of 537 - Sale ²⁵
	14.000	58.24	11/17/20	58.40	0.16 W	0.00	168 of 537 - Sale ²⁵
	14.000	58.23	11/17/20	58.40	0.17 W	0.00	169 of 537 - Sale ²⁵
	24.000	99.83	11/17/20	100.09	0.26 W	0.00	170 of 537 - Sale ²⁵
	41.000	170.55	11/17/20	171.03	0.48 W	0.00	171 of 537 - Sale ²⁵
	35.000	145.59	11/17/20	146.02	0.43 W	0.00	172 of 537 - Sale ²⁵
	59.000	245.43	11/17/20	246.11	0.68 W	0.00	173 of 537 - Sale ²⁵
	1.000	4.16	11/17/20	4.17	0.01 W	0.00	174 of 537 - Sale ²⁵
	1.000	4.16	11/17/20	4.17	0.01 W	0.00	175 of 537 - Sale ²⁵
	1.000	4.16	11/17/20	4.18	0.02 W	0.00	176 of 537 - Sale ²⁵
	2.000	8.32	11/17/20	8.34	0.02 W	0.00	177 of 537 - Sale ²⁵
	2.000	8.32	11/17/20	8.34	0.02 W	0.00	178 of 537 - Sale ²⁵
	2.000	8.32	11/17/20	8.34	0.02 W	0.00	179 of 537 - Sale ²⁵
	2.000	8.32	11/17/20	8.34	0.02 W	0.00	180 of 537 - Sale ²⁵
	2.000	8.32	11/17/20	8.36	0.04 W	0.00	181 of 537 - Sale ²⁵
	2.000	8.32	11/17/20	8.35	0.03 W	0.00	182 of 537 - Sale ²⁵
	3.000	12.48	11/17/20	12.51	0.03 W	0.00	183 of 537 - Sale ²⁵
	3.000	12.48	11/17/20	12.51	0.03 W	0.00	184 of 537 - Sale ²⁵
	3.000	12.48	11/17/20	12.50	0.02 W	0.00	185 of 537 - Sale ²⁵
	3.000	12.48	11/17/20	12.54	0.06 W	0.00	186 of 537 - Sale ²⁵
	3.000	12.48	11/17/20	12.54	0.06 W	0.00	187 of 537 - Sale ²⁵
	4.000	16.64	11/17/20	16.67	0.03 W	0.00	188 of 537 - Sale ²⁵
	4.000	16.64	11/17/20	16.71	0.07 W	0.00	189 of 537 - Sale ²⁵
	4.000	16.64	11/17/20	16.71	0.07 W	0.00	190 of 537 - Sale ²⁵
	4.000	16.64	11/17/20	16.72	0.08 W	0.00	191 of 537 - Sale ²⁵
	6.000	24.96	11/17/20	25.03	0.07 W	0.00	192 of 537 - Sale ²⁵
	7.000	29.12	11/17/20	29.20	0.08 W	0.00	193 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	7.000	29.12	11/17/20	29.18	0.06 W	0.00	194 of 537 - Sale ²⁵
	8.000	33.28	11/17/20	33.37	0.09 W	0.00	195 of 537 - Sale ²⁵
	8.000	33.28	11/17/20	33.35	0.07 W	0.00	196 of 537 - Sale ²⁵
	8.000	33.28	11/17/20	33.35	0.07 W	0.00	197 of 537 - Sale ²⁵
	9.000	37.44	11/17/20	37.54	0.10 W	0.00	198 of 537 - Sale ²⁵
	10.000	41.60	11/17/20	41.69	0.09 W	0.00	199 of 537 - Sale ²⁵
	10.000	41.60	11/17/20	41.79	0.19 W	0.00	200 of 537 - Sale ²⁵
	10.000	41.60	11/17/20	41.79	0.19 W	0.00	201 of 537 - Sale ²⁵
	10.000	41.60	11/17/20	41.80	0.20 W	0.00	202 of 537 - Sale ²⁵
	11.000	45.76	11/17/20	45.97	0.21 W	0.00	203 of 537 - Sale ²⁵
	13.000	54.08	11/17/20	54.23	0.15 W	0.00	204 of 537 - Sale ²⁵
	14.000	58.24	11/17/20	58.39	0.15 W	0.00	205 of 537 - Sale ²⁵
	14.000	58.24	11/17/20	58.50	0.26 W	0.00	206 of 537 - Sale ²⁵
	15.000	62.40	11/17/20	62.54	0.14 W	0.00	207 of 537 - Sale ²⁵
	16.000	66.56	11/17/20	66.71	0.15 W	0.00	208 of 537 - Sale ²⁵
	17.000	70.72	11/17/20	70.90	0.18 W	0.00	209 of 537 - Sale ²⁵
	18.000	74.88	11/17/20	75.05	0.17 W	0.00	210 of 537 - Sale ²⁵
	18.000	74.88	11/17/20	75.05	0.17 W	0.00	211 of 537 - Sale ²⁵
	19.000	79.04	11/17/20	79.41	0.37 W	0.00	212 of 537 - Sale ²⁵
	19.000	79.04	11/17/20	79.45	0.41 W	0.00	213 of 537 - Sale ²⁵
	21.000	87.36	11/17/20	87.61	0.25 W	0.00	214 of 537 - Sale ²⁵
	22.000	91.52	11/17/20	91.71	0.19 W	0.00	215 of 537 - Sale ²⁵
	22.000	91.52	11/17/20	91.96	0.44 W	0.00	216 of 537 - Sale ²⁵
	22.000	91.52	11/17/20	91.97	0.45 W	0.00	217 of 537 - Sale ²⁵
	23.000	95.67	11/17/20	95.94	0.27 W	0.00	218 of 537 - Sale ²⁵
	25.000	103.99	11/17/20	104.28	0.29 W	0.00	219 of 537 - Sale ²⁵
	25.000	103.99	11/17/20	104.55	0.56 W	0.00	220 of 537 - Sale ²⁵
	28.000	116.47	11/17/20	116.83	0.36 W	0.00	221 of 537 - Sale ²⁵
	31.000	128.95	11/17/20	129.34	0.39 W	0.00	222 of 537 - Sale ²⁵
	37.000	153.91	11/17/20	154.36	0.45 W	0.00	223 of 537 - Sale ²⁵
	39.000	162.23	11/17/20	162.70	0.47 W	0.00	224 of 537 - Sale ²⁵
	40.000	166.39	11/17/20	167.27	0.88 W	0.00	225 of 537 - Sale ²⁵
	12.000	49.92	11/17/20	50.06	0.14 W	0.00	226 of 537 - Sale ²⁵
	60.000	249.59	11/17/20	250.29	0.70 W	0.00	227 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	60.000	249.59	11/17/20	250.29	0.70 W	0.00	228 of 537 - Sale ²⁵
	39.000	162.23	11/17/20	165.00	2.77 W	0.00	229 of 537 - Sale ²⁵
	100.000	415.98	11/17/20	417.08	1.10 W	0.00	230 of 537 - Sale ²⁵
	88.000	366.06	11/17/20	367.03	0.97 W	0.00	231 of 537 - Sale ²⁵
	169.000	703.00	11/17/20	708.26	5.26 W	0.00	232 of 537 - Sale ²⁵
	212.000	881.87	11/17/20	884.21	2.34 W	0.00	233 of 537 - Sale ²⁵
	258.000	1,073.23	11/17/20	1,076.07	2.84 W	0.00	234 of 537 - Sale ²⁵
	261.000	1,085.70	11/17/20	1,088.59	2.89 W	0.00	235 of 537 - Sale ²⁵
	293.000	1,218.81	11/17/20	1,239.62	20.81 W	0.00	236 of 537 - Sale ²⁵
	739.000	3,074.07	11/17/20	3,126.56	52.49 W	0.00	237 of 537 - Sale ²⁵
	2,061.000	8,573.29	11/17/20	8,719.67	146.38 W	0.00	238 of 537 - Sale ²⁵
	10.000	41.60	11/17/20	41.71	0.11 W	0.00	239 of 537 - Sale ²⁵
	69.000	287.02	11/17/20	287.79	0.77 W	0.00	240 of 537 - Sale ²⁵
	71.000	295.34	11/17/20	296.13	0.79 W	0.00	241 of 537 - Sale ²⁵
	40.000	158.39	11/17/20	160.47	2.08 W	0.00	242 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	243 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	244 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	245 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	246 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	247 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	248 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	249 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.02	0.06 W	0.00	250 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.02	0.06 W	0.00	251 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.02	0.06 W	0.00	252 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.02	0.06 W	0.00	253 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.22	0.30 W	0.00	254 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.22	0.30 W	0.00	255 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.22	0.30 W	0.00	256 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.19	0.27 W	0.00	257 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.20	0.28 W	0.00	258 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.20	0.28 W	0.00	259 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	260 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	261 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	262 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.29	0.41 W	0.00	263 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.30	0.42 W	0.00	264 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.30	0.42 W	0.00	265 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.40	0.56 W	0.00	266 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.07	0.23 W	0.00	267 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.41	0.61 W	0.00	268 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.55	0.75 W	0.00	269 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.54	0.74 W	0.00	270 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.54	0.74 W	0.00	271 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.50	0.70 W	0.00	272 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.49	0.69 W	0.00	273 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.09	0.29 W	0.00	274 of 537 - Sale ²⁵
	6.000	23.76	11/17/20	24.24	0.48 W	0.00	275 of 537 - Sale ²⁵
	6.000	23.76	11/17/20	24.13	0.37 W	0.00	276 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.27	0.55 W	0.00	277 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.76	1.04 W	0.00	278 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.69	0.97 W	0.00	279 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.69	0.97 W	0.00	280 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.69	0.97 W	0.00	281 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.15	0.43 W	0.00	282 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.88	1.20 W	0.00	283 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.88	1.20 W	0.00	284 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.79	1.11 W	0.00	285 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.17	0.49 W	0.00	286 of 537 - Sale ²⁵
	9.000	35.64	11/17/20	36.19	0.55 W	0.00	287 of 537 - Sale ²⁵
	12.000	47.52	11/17/20	48.26	0.74 W	0.00	288 of 537 - Sale ²⁵
	13.000	51.48	11/17/20	52.51	1.03 W	0.00	289 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	57.39	1.95 W	0.00	290 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	56.30	0.86 W	0.00	291 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	56.31	0.87 W	0.00	292 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	56.29	0.85 W	0.00	293 of 537 - Sale ²⁵
	15.000	59.40	11/17/20	60.58	1.18 W	0.00	294 of 537 - Sale ²⁵
	15.000	59.40	11/17/20	61.64	2.24 W	0.00	295 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
16.000		63.36	11/17/20	64.64	1.28 W	0.00	296 of 537 - Sale ²⁵
16.000		63.36	11/17/20	65.59	2.23 W	0.00	297 of 537 - Sale ²⁵
16.000		63.36	11/17/20	64.31	0.95 W	0.00	298 of 537 - Sale ²⁵
17.000		67.32	11/17/20	69.37	2.05 W	0.00	299 of 537 - Sale ²⁵
18.000		71.28	11/17/20	73.98	2.70 W	0.00	300 of 537 - Sale ²⁵
19.000		75.24	11/17/20	77.87	2.63 W	0.00	301 of 537 - Sale ²⁵
19.000		75.24	11/17/20	77.89	2.65 W	0.00	302 of 537 - Sale ²⁵
20.000		79.20	11/17/20	80.78	1.58 W	0.00	303 of 537 - Sale ²⁵
20.000		79.20	11/17/20	81.96	2.76 W	0.00	304 of 537 - Sale ²⁵
21.000		83.15	11/17/20	84.46	1.31 W	0.00	305 of 537 - Sale ²⁵
22.000		87.11	11/17/20	88.41	1.30 W	0.00	306 of 537 - Sale ²⁵
23.000		91.08	11/17/20	92.95	1.87 W	0.00	307 of 537 - Sale ²⁵
24.000		95.03	11/17/20	96.50	1.47 W	0.00	308 of 537 - Sale ²⁵
25.000		98.99	11/17/20	100.54	1.55 W	0.00	309 of 537 - Sale ²⁵
26.000		102.95	11/17/20	106.88	3.93 W	0.00	310 of 537 - Sale ²⁵
26.000		102.95	11/17/20	106.60	3.65 W	0.00	311 of 537 - Sale ²⁵
28.000		110.87	11/17/20	113.21	2.34 W	0.00	312 of 537 - Sale ²⁵
28.000		110.87	11/17/20	112.64	1.77 W	0.00	313 of 537 - Sale ²⁵
29.000		114.83	11/17/20	117.22	2.39 W	0.00	314 of 537 - Sale ²⁵
31.000		122.75	11/17/20	125.28	2.53 W	0.00	315 of 537 - Sale ²⁵
31.000		122.75	11/17/20	125.30	2.55 W	0.00	316 of 537 - Sale ²⁵
31.000		122.75	11/17/20	127.19	4.44 W	0.00	317 of 537 - Sale ²⁵
31.000		122.75	11/17/20	124.70	1.95 W	0.00	318 of 537 - Sale ²⁵
32.000		126.71	11/17/20	129.36	2.65 W	0.00	319 of 537 - Sale ²⁵
32.000		126.71	11/17/20	131.28	4.57 W	0.00	320 of 537 - Sale ²⁵
35.000		138.59	11/17/20	141.49	2.90 W	0.00	321 of 537 - Sale ²⁵
35.000		138.59	11/17/20	140.78	2.19 W	0.00	322 of 537 - Sale ²⁵
37.000		146.51	11/17/20	151.78	5.27 W	0.00	323 of 537 - Sale ²⁵
37.000		146.51	11/17/20	148.82	2.31 W	0.00	324 of 537 - Sale ²⁵
38.000		150.47	11/17/20	156.26	5.79 W	0.00	325 of 537 - Sale ²⁵
38.000		150.47	11/17/20	156.23	5.76 W	0.00	326 of 537 - Sale ²⁵
41.000		162.35	11/17/20	164.89	2.54 W	0.00	327 of 537 - Sale ²⁵
54.000		213.83	11/17/20	218.24	4.41 W	0.00	328 of 537 - Sale ²⁵
54.000		213.83	11/17/20	218.24	4.41 W	0.00	329 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	55.000	217.79	11/17/20	226.14	8.35 W	0.00	330 of 537 - Sale ²⁵
	59.000	233.62	11/17/20	237.27	3.65 W	0.00	331 of 537 - Sale ²⁵
	54.000	213.82	11/17/20	217.17	3.35 W	0.00	332 of 537 - Sale ²⁵
	65.000	257.39	11/17/20	262.68	5.29 W	0.00	333 of 537 - Sale ²⁵
	78.000	308.86	11/17/20	315.25	6.39 W	0.00	334 of 537 - Sale ²⁵
	90.000	356.38	11/17/20	367.34	10.96 W	0.00	335 of 537 - Sale ²⁵
	102.000	403.90	11/17/20	412.27	8.37 W	0.00	336 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.03	0.07 W	0.00	337 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	338 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	339 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.06	0.14 W	0.00	340 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.05	0.13 W	0.00	341 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.06	0.18 W	0.00	342 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.06	0.18 W	0.00	343 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.05	0.17 W	0.00	344 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.09	0.21 W	0.00	345 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.09	0.21 W	0.00	346 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.07	0.23 W	0.00	347 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.11	0.27 W	0.00	348 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.11	0.27 W	0.00	349 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.12	0.28 W	0.00	350 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.13	0.41 W	0.00	351 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.15	0.47 W	0.00	352 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.15	0.47 W	0.00	353 of 537 - Sale ²⁵
	10.000	39.60	11/17/20	40.19	0.59 W	0.00	354 of 537 - Sale ²⁵
	10.000	39.60	11/17/20	40.29	0.69 W	0.00	355 of 537 - Sale ²⁵
	10.000	39.60	11/17/20	40.29	0.69 W	0.00	356 of 537 - Sale ²⁵
	10.000	39.60	11/17/20	40.30	0.70 W	0.00	357 of 537 - Sale ²⁵
	11.000	43.56	11/17/20	44.32	0.76 W	0.00	358 of 537 - Sale ²⁵
	13.000	51.48	11/17/20	52.28	0.80 W	0.00	359 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	56.40	0.96 W	0.00	360 of 537 - Sale ²⁵
	15.000	59.40	11/17/20	60.29	0.89 W	0.00	361 of 537 - Sale ²⁵
	17.000	67.32	11/17/20	68.35	1.03 W	0.00	362 of 537 - Sale ²⁵
	18.000	71.28	11/17/20	72.35	1.07 W	0.00	363 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
18.000		71.28	11/17/20	72.35	1.07 W	0.00	364 of 537 - Sale ²⁵
19.000		75.24	11/17/20	76.56	1.32 W	0.00	365 of 537 - Sale ²⁵
19.000		75.24	11/17/20	76.60	1.36 W	0.00	366 of 537 - Sale ²⁵
22.000		87.12	11/17/20	88.66	1.54 W	0.00	367 of 537 - Sale ²⁵
22.000		87.11	11/17/20	88.67	1.56 W	0.00	368 of 537 - Sale ²⁵
23.000		91.07	11/17/20	92.50	1.43 W	0.00	369 of 537 - Sale ²⁵
25.000		98.99	11/17/20	100.81	1.82 W	0.00	370 of 537 - Sale ²⁵
39.000		154.43	11/17/20	156.86	2.43 W	0.00	371 of 537 - Sale ²⁵
40.000		158.39	11/17/20	161.28	2.89 W	0.00	372 of 537 - Sale ²⁵
6.000		23.76	11/17/20	24.13	0.37 W	0.00	373 of 537 - Sale ²⁵
60.000		237.59	11/17/20	241.30	3.71 W	0.00	374 of 537 - Sale ²⁵
100.000		395.98	11/17/20	407.10	11.12 W	0.00	375 of 537 - Sale ²⁵
120.000		475.17	11/17/20	488.53	13.36 W	0.00	376 of 537 - Sale ²⁵
131.000		518.73	11/17/20	533.32	14.59 W	0.00	377 of 537 - Sale ²⁵
300.000		1,187.93	11/17/20	1,221.34	33.41 W	0.00	378 of 537 - Sale ²⁵
380.000		1,504.71	11/17/20	1,546.99	42.28 W	0.00	379 of 537 - Sale ²⁵
285.000		1,128.53	11/17/20	1,160.26	31.73 W	0.00	380 of 537 - Sale ²⁵
1.000		3.96	11/17/20	4.07	0.11 W	0.00	381 of 537 - Sale ²⁵
21.000		83.16	11/17/20	85.47	2.31 W	0.00	382 of 537 - Sale ²⁵
38.000		150.47	11/17/20	154.71	4.24 W	0.00	383 of 537 - Sale ²⁵
100.000		395.98	11/17/20	407.10	11.12 W	0.00	384 of 537 - Sale ²⁵
123.000		487.05	11/17/20	500.74	13.69 W	0.00	385 of 537 - Sale ²⁵
137.000		542.49	11/17/20	557.73	15.24 W	0.00	386 of 537 - Sale ²⁵
218.000		863.23	11/17/20	887.49	24.26 W	0.00	387 of 537 - Sale ²⁵
115.000		455.37	11/17/20	468.18	12.81 W	0.00	388 of 537 - Sale ²⁵
61.000		241.55	11/17/20	248.34	6.79 W	0.00	389 of 537 - Sale ²⁵
3.000		11.88	11/17/20	12.21	0.33 W	0.00	390 of 537 - Sale ²⁵
10.000		39.60	11/17/20	40.70	1.10 W	0.00	391 of 537 - Sale ²⁵
339.000		1,342.37	11/17/20	1,380.09	37.72 W	0.00	392 of 537 - Sale ²⁵
349.000		1,381.96	11/17/20	1,420.80	38.84 W	0.00	393 of 537 - Sale ²⁵
50.000		198.00	11/17/20	203.55	5.55 W	0.00	394 of 537 - Sale ²⁵
69.000		273.22	11/17/20	280.90	7.68 W	0.00	395 of 537 - Sale ²⁵
58.000		229.66	11/17/20	236.12	6.46 W	0.00	396 of 537 - Sale ²⁵
136.000		538.53	11/17/20	555.02	16.49 W	0.00	397 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	162.000	641.49	11/17/20	659.51	18.02 W	0.00	398 of 537 - Sale ²⁵
	103.000	407.86	11/17/20	419.32	11.46 W	0.00	399 of 537 - Sale ²⁵
	293.000	1,160.22	11/17/20	1,195.74	35.52 W	0.00	400 of 537 - Sale ²⁵
	462.000	1,829.42	11/17/20	1,880.81	51.39 W	0.00	401 of 537 - Sale ²⁵
	644.000	2,550.11	11/17/20	2,621.73	71.62 W	0.00	402 of 537 - Sale ²⁵
	40.000	158.39	11/17/20	160.48	2.09 W	0.00	403 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	404 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	405 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	406 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	407 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	408 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	409 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.10	0.14 W	0.00	410 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.02	0.06 W	0.00	411 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.02	0.06 W	0.00	412 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.02	0.06 W	0.00	413 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.02	0.06 W	0.00	414 of 537 - Sale ²⁵
	1.000	3.96	11/17/20	4.03	0.07 W	0.00	415 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.22	0.30 W	0.00	416 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.22	0.30 W	0.00	417 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.22	0.30 W	0.00	418 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.19	0.27 W	0.00	419 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.20	0.28 W	0.00	420 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.20	0.28 W	0.00	421 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	422 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	423 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	424 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	425 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.04	0.12 W	0.00	426 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.06	0.14 W	0.00	427 of 537 - Sale ²⁵
	2.000	7.92	11/17/20	8.05	0.13 W	0.00	428 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.29	0.41 W	0.00	429 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.30	0.42 W	0.00	430 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.30	0.42 W	0.00	431 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	3.000	11.88	11/17/20	12.06	0.18 W	0.00	432 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.06	0.18 W	0.00	433 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.05	0.17 W	0.00	434 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.09	0.21 W	0.00	435 of 537 - Sale ²⁵
	3.000	11.88	11/17/20	12.09	0.21 W	0.00	436 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.40	0.56 W	0.00	437 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.07	0.23 W	0.00	438 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.07	0.23 W	0.00	439 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.11	0.27 W	0.00	440 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.11	0.27 W	0.00	441 of 537 - Sale ²⁵
	4.000	15.84	11/17/20	16.12	0.28 W	0.00	442 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.41	0.61 W	0.00	443 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.55	0.75 W	0.00	444 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.54	0.74 W	0.00	445 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.54	0.74 W	0.00	446 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.50	0.70 W	0.00	447 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.49	0.69 W	0.00	448 of 537 - Sale ²⁵
	5.000	19.80	11/17/20	20.09	0.29 W	0.00	449 of 537 - Sale ²⁵
	6.000	23.76	11/17/20	24.24	0.48 W	0.00	450 of 537 - Sale ²⁵
	6.000	23.76	11/17/20	24.13	0.37 W	0.00	451 of 537 - Sale ²⁵
	6.000	23.76	11/17/20	24.13	0.37 W	0.00	452 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.27	0.55 W	0.00	453 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.76	1.04 W	0.00	454 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.69	0.97 W	0.00	455 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.69	0.97 W	0.00	456 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.69	0.97 W	0.00	457 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.15	0.43 W	0.00	458 of 537 - Sale ²⁵
	7.000	27.72	11/17/20	28.13	0.41 W	0.00	459 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.88	1.20 W	0.00	460 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.88	1.20 W	0.00	461 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.79	1.11 W	0.00	462 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.17	0.49 W	0.00	463 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.15	0.47 W	0.00	464 of 537 - Sale ²⁵
	8.000	31.68	11/17/20	32.15	0.47 W	0.00	465 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	9.000	35.64	11/17/20	36.19	0.55 W	0.00	466 of 537 - Sale ²⁵
	10.000	39.60	11/17/20	40.19	0.59 W	0.00	467 of 537 - Sale ²⁵
	10.000	39.60	11/17/20	40.29	0.69 W	0.00	468 of 537 - Sale ²⁵
	10.000	39.60	11/17/20	40.29	0.69 W	0.00	469 of 537 - Sale ²⁵
	10.000	39.60	11/17/20	40.30	0.70 W	0.00	470 of 537 - Sale ²⁵
	11.000	43.56	11/17/20	44.32	0.76 W	0.00	471 of 537 - Sale ²⁵
	12.000	47.52	11/17/20	48.26	0.74 W	0.00	472 of 537 - Sale ²⁵
	13.000	51.48	11/17/20	52.51	1.03 W	0.00	473 of 537 - Sale ²⁵
	13.000	51.48	11/17/20	52.28	0.80 W	0.00	474 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	57.39	1.95 W	0.00	475 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	56.30	0.86 W	0.00	476 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	56.31	0.87 W	0.00	477 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	56.29	0.85 W	0.00	478 of 537 - Sale ²⁵
	14.000	55.44	11/17/20	56.40	0.96 W	0.00	479 of 537 - Sale ²⁵
	15.000	59.40	11/17/20	60.58	1.18 W	0.00	480 of 537 - Sale ²⁵
	15.000	59.40	11/17/20	61.64	2.24 W	0.00	481 of 537 - Sale ²⁵
	15.000	59.40	11/17/20	60.29	0.89 W	0.00	482 of 537 - Sale ²⁵
	16.000	63.36	11/17/20	64.64	1.28 W	0.00	483 of 537 - Sale ²⁵
	16.000	63.36	11/17/20	65.59	2.23 W	0.00	484 of 537 - Sale ²⁵
	16.000	63.36	11/17/20	64.31	0.95 W	0.00	485 of 537 - Sale ²⁵
	17.000	67.32	11/17/20	69.37	2.05 W	0.00	486 of 537 - Sale ²⁵
	17.000	67.32	11/17/20	68.35	1.03 W	0.00	487 of 537 - Sale ²⁵
	18.000	71.28	11/17/20	73.98	2.70 W	0.00	488 of 537 - Sale ²⁵
	18.000	71.28	11/17/20	72.35	1.07 W	0.00	489 of 537 - Sale ²⁵
	18.000	71.28	11/17/20	72.35	1.07 W	0.00	490 of 537 - Sale ²⁵
	19.000	75.24	11/17/20	77.87	2.63 W	0.00	491 of 537 - Sale ²⁵
	19.000	75.24	11/17/20	77.89	2.65 W	0.00	492 of 537 - Sale ²⁵
	19.000	75.24	11/17/20	76.56	1.32 W	0.00	493 of 537 - Sale ²⁵
	19.000	75.24	11/17/20	76.60	1.36 W	0.00	494 of 537 - Sale ²⁵
	20.000	79.20	11/17/20	80.78	1.58 W	0.00	495 of 537 - Sale ²⁵
	20.000	79.20	11/17/20	81.96	2.76 W	0.00	496 of 537 - Sale ²⁵
	21.000	83.16	11/17/20	84.47	1.31 W	0.00	497 of 537 - Sale ²⁵
	22.000	87.12	11/17/20	88.42	1.30 W	0.00	498 of 537 - Sale ²⁵
	22.000	87.12	11/17/20	88.66	1.54 W	0.00	499 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	22.000	87.12	11/17/20	88.68	1.56 W	0.00	500 of 537 - Sale ²⁵
	23.000	91.08	11/17/20	92.95	1.87 W	0.00	501 of 537 - Sale ²⁵
	23.000	91.07	11/17/20	92.51	1.44 W	0.00	502 of 537 - Sale ²⁵
	24.000	95.03	11/17/20	96.51	1.48 W	0.00	503 of 537 - Sale ²⁵
	25.000	98.99	11/17/20	100.55	1.56 W	0.00	504 of 537 - Sale ²⁵
	25.000	98.99	11/17/20	100.82	1.83 W	0.00	505 of 537 - Sale ²⁵
	26.000	102.95	11/17/20	106.89	3.94 W	0.00	506 of 537 - Sale ²⁵
	26.000	102.95	11/17/20	106.61	3.66 W	0.00	507 of 537 - Sale ²⁵
	28.000	110.87	11/17/20	113.22	2.35 W	0.00	508 of 537 - Sale ²⁵
	28.000	110.87	11/17/20	112.65	1.78 W	0.00	509 of 537 - Sale ²⁵
	29.000	114.83	11/17/20	117.23	2.40 W	0.00	510 of 537 - Sale ²⁵
	31.000	122.75	11/17/20	125.29	2.54 W	0.00	511 of 537 - Sale ²⁵
	31.000	122.75	11/17/20	125.31	2.56 W	0.00	512 of 537 - Sale ²⁵
	31.000	122.75	11/17/20	127.20	4.45 W	0.00	513 of 537 - Sale ²⁵
	31.000	122.75	11/17/20	124.71	1.96 W	0.00	514 of 537 - Sale ²⁵
	32.000	126.71	11/17/20	129.37	2.66 W	0.00	515 of 537 - Sale ²⁵
	32.000	126.71	11/17/20	131.29	4.58 W	0.00	516 of 537 - Sale ²⁵
	35.000	138.59	11/17/20	141.50	2.91 W	0.00	517 of 537 - Sale ²⁵
	35.000	138.59	11/17/20	140.79	2.20 W	0.00	518 of 537 - Sale ²⁵
	37.000	146.51	11/17/20	151.79	5.28 W	0.00	519 of 537 - Sale ²⁵
	37.000	146.51	11/17/20	148.83	2.32 W	0.00	520 of 537 - Sale ²⁵
	38.000	150.47	11/17/20	156.27	5.80 W	0.00	521 of 537 - Sale ²⁵
	38.000	150.47	11/17/20	156.24	5.77 W	0.00	522 of 537 - Sale ²⁵
	39.000	154.43	11/17/20	156.87	2.44 W	0.00	523 of 537 - Sale ²⁵
	40.000	158.39	11/17/20	161.29	2.90 W	0.00	524 of 537 - Sale ²⁵
	41.000	162.35	11/17/20	164.90	2.55 W	0.00	525 of 537 - Sale ²⁵
	54.000	213.83	11/17/20	218.25	4.42 W	0.00	526 of 537 - Sale ²⁵
	54.000	213.83	11/17/20	218.25	4.42 W	0.00	527 of 537 - Sale ²⁵
	54.000	213.83	11/17/20	217.19	3.36 W	0.00	528 of 537 - Sale ²⁵
	55.000	217.79	11/17/20	226.15	8.36 W	0.00	529 of 537 - Sale ²⁵
	59.000	233.63	11/17/20	237.29	3.66 W	0.00	530 of 537 - Sale ²⁵
	60.000	237.59	11/17/20	241.31	3.72 W	0.00	531 of 537 - Sale ²⁵
	65.000	257.39	11/17/20	262.69	5.30 W	0.00	532 of 537 - Sale ²⁵
	78.000	308.86	11/17/20	315.27	6.41 W	0.00	533 of 537 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	90.000	356.38	11/17/20	367.36	10.98 W	0.00	534 of 537 - Sale ²⁵
	102.000	403.90	11/17/20	412.29	8.39 W	0.00	535 of 537 - Sale ²⁵
	603.000	2,387.74	11/17/20	2,430.71	42.97 W	0.00	536 of 537 - Sale ²⁵
	2,061.000	8,161.09	11/17/20	8,307.94	146.85 W	0.00	537 of 537 - Sale ²⁵
11/17/20	25,380.000	103,240.23	Various	105,030.94	1,790.57 W	-0.14	Total of 537 transactions
	4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	100.000	594.97	11/19/20	590.13	...	4.84	1 of 4 - Sale ²⁵
	300.000	1,784.92	11/19/20	1,770.38	...	14.54	2 of 4 - Sale ²⁵
	300.000	1,784.92	11/19/20	1,770.37	...	14.55	3 of 4 - Sale ²⁵
	300.000	1,784.92	11/19/20	1,770.37	...	14.55	4 of 4 - Sale ²⁵
11/19/20	1,000.000	5,949.73	Various	5,901.25	...	48.48	Total of 4 transactions
	2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	37.000	347.23	11/30/20	372.27	25.04 W	0.00	1 of 2 - Sale ²⁵
	963.000	9,037.44	11/30/20	9,688.99	651.55 W	0.00	2 of 2 - Sale ²⁵
11/30/20	1,000.000	9,384.67	Various	10,061.26	676.59 W	0.00	Total of 2 transactions
	13 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	3.000	40.80	12/23/20	40.73	...	0.07	1 of 13 - Sale ²⁵
	10.000	136.00	12/23/20	135.77	...	0.23	2 of 13 - Sale ²⁵
	37.000	503.18	12/23/20	502.34	...	0.84	3 of 13 - Sale ²⁵
	74.000	1,006.37	12/23/20	1,004.67	...	1.70	4 of 13 - Sale ²⁵
	100.000	1,359.96	12/23/20	1,357.66	...	2.30	5 of 13 - Sale ²⁵
	136.000	1,849.54	12/23/20	1,846.41	...	3.13	6 of 13 - Sale ²⁵
	267.000	3,631.08	12/23/20	3,624.95	...	6.13	7 of 13 - Sale ²⁵
	373.000	5,072.63	12/23/20	5,064.06	...	8.57	8 of 13 - Sale ²⁵
	40.000	543.98	12/23/20	516.00	...	27.98	9 of 13 - Sale ²⁵
	97.000	1,319.15	12/23/20	1,251.30	...	67.85	10 of 13 - Sale ²⁵
	100.000	1,359.96	12/23/20	1,290.00	...	69.96	11 of 13 - Sale ²⁵
	103.000	1,400.75	12/23/20	1,328.70	...	72.05	12 of 13 - Sale ²⁵
	160.000	2,175.94	12/23/20	2,064.00	...	111.94	13 of 13 - Sale ²⁵
12/24/20	1,500.000	20,399.34	Various	20,026.59	...	372.75	Total of 13 transactions
	4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	479.000	5,029.32	12/24/20	5,987.50	...	-958.18	1 of 4 - Sale ²⁵
	284.000	2,981.90	12/24/20	3,550.00	...	-568.10	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	100.000	1,049.96	12/24/20	1,250.00	...	-200.04	3 of 4 - Sale ²⁵
	37.000	388.50	12/24/20	462.50	...	-74.00	4 of 4 - Sale ²⁵
12/29/20	900.000	9,449.68	Various	11,250.00	...	-1,800.32	Total of 4 transactions
	Security total:	618,471.07		646,409.36	28,062.96 W	124.67	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
12/21/20	85.000	3,892.90	12/21/20	4,080.00	...	-187.10	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
6 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	2.000	3.46	12/09/20	3.44	...	0.02	1 of 6 - Sale ²⁵
	100.000	172.99	12/09/20	172.00	...	0.99	2 of 6 - Sale ²⁵
	500.000	864.92	12/09/20	860.00	...	4.92	3 of 6 - Sale ²⁵
	1,043.000	1,804.23	12/09/20	1,793.96	...	10.27	4 of 6 - Sale ²⁵
	3,555.000	6,149.59	12/09/20	6,114.60	...	34.99	5 of 6 - Sale ²⁵
	4,800.000	8,303.24	12/09/20	8,256.00	...	47.24	6 of 6 - Sale ²⁵
12/09/20	10,000.000	17,298.43	Various	17,200.00	...	98.43	Total of 6 transactions
40 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	22.000	35.64	12/09/20	35.42	...	0.22	1 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	2 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	3 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	4 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	5 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	6 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	7 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	8 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	9 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	10 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	11 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	12 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	13 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	14 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	15 of 40 - Sale ²⁵
	100.000	161.98	12/09/20	161.00	...	0.98	16 of 40 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	100.000	161.98	12/09/20	161.00	...	0.98	17 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	18 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	19 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	20 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	21 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	22 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	23 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	24 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	25 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	26 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	27 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	28 of 40 - Sale ²⁵
	100.000	161.99	12/09/20	161.00	...	0.99	29 of 40 - Sale ²⁵
	214.000	346.65	12/09/20	344.54	...	2.11	30 of 40 - Sale ²⁵
	286.000	463.27	12/09/20	460.46	...	2.81	31 of 40 - Sale ²⁵
	300.000	485.95	12/09/20	483.00	...	2.95	32 of 40 - Sale ²⁵
	314.000	508.63	12/09/20	505.54	...	3.09	33 of 40 - Sale ²⁵
	400.000	647.94	12/09/20	644.00	...	3.94	34 of 40 - Sale ²⁵
	700.000	1,133.89	12/09/20	1,127.00	...	6.89	35 of 40 - Sale ²⁵
	800.000	1,295.87	12/09/20	1,288.00	...	7.87	36 of 40 - Sale ²⁵
	800.000	1,295.88	12/09/20	1,288.00	...	7.88	37 of 40 - Sale ²⁵
	886.000	1,435.18	12/09/20	1,426.46	...	8.72	38 of 40 - Sale ²⁵
	978.000	1,584.21	12/09/20	1,574.58	...	9.63	39 of 40 - Sale ²⁵
	1,500.000	2,429.78	12/09/20	2,415.00	...	14.78	40 of 40 - Sale ²⁵
12/10/20	10,000.000	16,198.45	Various	16,100.00	...	98.45	Total of 40 transactions
	9 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	4.000	7.40	12/14/20	6.48	...	0.92	1 of 9 - Sale ²⁵
	25.000	46.25	12/14/20	40.50	...	5.75	2 of 9 - Sale ²⁵
	61.000	112.84	12/14/20	98.82	...	14.02	3 of 9 - Sale ²⁵
	94.000	173.88	12/14/20	152.28	...	21.60	4 of 9 - Sale ²⁵
	100.000	184.98	12/14/20	162.00	...	22.98	5 of 9 - Sale ²⁵
	120.000	221.98	12/14/20	194.40	...	27.58	6 of 9 - Sale ²⁵
	143.000	264.53	12/14/20	231.66	...	32.87	7 of 9 - Sale ²⁵
	150.000	277.48	12/14/20	243.00	...	34.48	8 of 9 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
12/14/20	500.000	924.92	12/14/20	810.00	...	114.92	9 of 9 - Sale ²⁵
	1,197.000	2,214.26	Various	1,939.14	...	275.12	Total of 9 transactions
	41 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	150.000	242.98	12/17/20	274.65	...	-31.67	1 of 41 - Sale ²⁵
	1,000.000	1,619.84	12/17/20	1,650.00	...	-30.16	2 of 41 - Sale ²⁵
	132.000	213.82	12/17/20	241.69	...	-27.87	3 of 41 - Sale ²⁵
	781.000	1,265.10	12/17/20	1,288.65	...	-23.55	4 of 41 - Sale ²⁵
	100.000	161.98	12/17/20	183.10	...	-21.12	5 of 41 - Sale ²⁵
	82.000	132.83	12/17/20	150.14	...	-17.31	6 of 41 - Sale ²⁵
	72.000	116.63	12/17/20	131.83	...	-15.20	7 of 41 - Sale ²⁵
	450.000	728.90	12/17/20	742.50	...	-13.60	8 of 41 - Sale ²⁵
	50.000	80.99	12/17/20	93.04	...	-12.05	9 of 41 - Sale ²⁵
	281.000	455.19	12/17/20	463.65	...	-8.46	10 of 41 - Sale ²⁵
	32.000	51.83	12/17/20	59.56	...	-7.73	11 of 41 - Sale ²⁵
	32.000	51.83	12/17/20	59.56	...	-7.73	12 of 41 - Sale ²⁵
	32.000	51.83	12/17/20	59.54	...	-7.71	13 of 41 - Sale ²⁵
	127.000	205.72	12/17/20	213.39	...	-7.67	14 of 41 - Sale ²⁵
	77.000	124.72	12/17/20	131.70	...	-6.98	15 of 41 - Sale ²⁵
	71.000	115.01	12/17/20	121.43	...	-6.42	16 of 41 - Sale ²⁵
	30.000	48.59	12/17/20	54.92	...	-6.33	17 of 41 - Sale ²⁵
	26.000	42.12	12/17/20	48.39	...	-6.27	18 of 41 - Sale ²⁵
	25.000	40.50	12/17/20	46.52	...	-6.02	19 of 41 - Sale ²⁵
	28.000	45.36	12/17/20	51.27	...	-5.91	20 of 41 - Sale ²⁵
	24.000	38.88	12/17/20	43.94	...	-5.06	21 of 41 - Sale ²⁵
	165.000	267.28	12/17/20	272.25	...	-4.97	22 of 41 - Sale ²⁵
	22.000	35.64	12/17/20	40.27	...	-4.63	23 of 41 - Sale ²⁵
	18.000	29.16	12/17/20	33.51	...	-4.35	24 of 41 - Sale ²⁵
	18.000	29.16	12/17/20	33.50	...	-4.34	25 of 41 - Sale ²⁵
	18.000	29.16	12/17/20	33.50	...	-4.34	26 of 41 - Sale ²⁵
	18.000	29.16	12/17/20	33.50	...	-4.34	27 of 41 - Sale ²⁵
	18.000	29.16	12/17/20	32.96	...	-3.80	28 of 41 - Sale ²⁵
	18.000	29.16	12/17/20	32.95	...	-3.79	29 of 41 - Sale ²⁵
	18.000	29.16	12/17/20	32.95	...	-3.79	30 of 41 - Sale ²⁵
	10.000	16.20	12/17/20	18.61	...	-2.41	31 of 41 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	23.000	37.26	12/17/20	39.33	...	-2.07	32 of 41 - Sale ²⁵
	8.000	12.96	12/17/20	14.89	...	-1.93	33 of 41 - Sale ²⁵
	28.000	45.36	12/17/20	47.04	...	-1.68	34 of 41 - Sale ²⁵
	8.000	12.96	12/17/20	14.64	...	-1.68	35 of 41 - Sale ²⁵
	6.000	9.72	12/17/20	11.16	...	-1.44	36 of 41 - Sale ²⁵
	16.000	25.92	12/17/20	27.36	...	-1.44	37 of 41 - Sale ²⁵
	5.000	8.10	12/17/20	9.30	...	-1.20	38 of 41 - Sale ²⁵
	5.000	8.10	12/17/20	8.55	...	-0.45	39 of 41 - Sale ²⁵
	2.000	3.24	12/17/20	3.42	...	-0.18	40 of 41 - Sale ²⁵
	1.000	1.62	12/17/20	1.71	...	-0.09	41 of 41 - Sale ²⁵
12/17/20	4,027.000	6,523.13	Various	6,850.87	...	-327.74	Total of 41 transactions
75 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	292.000	473.00	12/17/20	481.80	2.77 W	-6.03	1 of 75 - Sale ²⁵
	100.000	161.98	12/17/20	165.00	3.02 W	0.00	2 of 75 - Sale ²⁵
	100.000	161.98	12/17/20	165.00	3.02 W	0.00	3 of 75 - Sale ²⁵
	560.000	907.11	12/17/20	924.00	16.89 W	0.00	4 of 75 - Sale ²⁵
	100.000	161.98	12/17/20	168.02	6.04 W	0.00	5 of 75 - Sale ²⁵
	100.000	161.99	12/17/20	168.02	6.03 W	0.00	6 of 75 - Sale ²⁵
	92.000	149.02	12/17/20	154.57	5.55 W	0.00	7 of 75 - Sale ²⁵
	148.000	239.74	12/17/20	244.20	4.46 W	0.00	8 of 75 - Sale ²⁵
	460.000	745.13	12/17/20	772.87	27.74 W	0.00	9 of 75 - Sale ²⁵
	92.000	149.02	12/17/20	157.35	8.33 W	0.00	10 of 75 - Sale ²⁵
	100.000	161.98	12/17/20	168.02	6.04 W	0.00	11 of 75 - Sale ²⁵
	100.000	161.98	12/17/20	171.04	9.06 W	0.00	12 of 75 - Sale ²⁵
	100.000	161.99	12/17/20	171.03	9.04 W	0.00	13 of 75 - Sale ²⁵
	148.000	239.74	12/17/20	248.66	8.92 W	0.00	14 of 75 - Sale ²⁵
	360.000	583.14	12/17/20	615.71	32.57 W	0.00	15 of 75 - Sale ²⁵
	100.000	161.98	12/17/20	171.03	9.05 W	0.00	16 of 75 - Sale ²⁵
	100.000	161.99	12/17/20	171.04	9.05 W	0.00	17 of 75 - Sale ²⁵
	92.000	149.02	12/17/20	160.13	11.11 W	0.00	18 of 75 - Sale ²⁵
	100.000	161.98	12/17/20	174.06	12.08 W	0.00	19 of 75 - Sale ²⁵
	100.000	161.99	12/17/20	174.04	12.05 W	0.00	20 of 75 - Sale ²⁵
	148.000	239.74	12/17/20	253.12	13.38 W	0.00	21 of 75 - Sale ²⁵
	100.000	161.98	12/17/20	174.05	12.07 W	0.00	22 of 75 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
360.000		583.14	12/17/20	626.57	43.43 W	0.00	23 of 75 - Sale ²⁵
100.000		161.99	12/17/20	174.05	12.06 W	0.00	24 of 75 - Sale ²⁵
100.000		161.98	12/17/20	177.08	15.10 W	0.00	25 of 75 - Sale ²⁵
8.000		12.96	12/17/20	13.92	0.96 W	0.00	26 of 75 - Sale ²⁵
92.000		149.02	12/17/20	162.91	13.89 W	0.00	27 of 75 - Sale ²⁵
100.000		161.99	12/17/20	177.05	15.06 W	0.00	28 of 75 - Sale ²⁵
18.000		29.16	12/17/20	31.33	2.17 W	0.00	29 of 75 - Sale ²⁵
122.000		197.62	12/17/20	212.33	14.71 W	0.00	30 of 75 - Sale ²⁵
6.000		9.72	12/17/20	10.62	0.90 W	0.00	31 of 75 - Sale ²⁵
18.000		29.16	12/17/20	31.87	2.71 W	0.00	32 of 75 - Sale ²⁵
354.000		573.42	12/17/20	626.81	53.39 W	0.00	33 of 75 - Sale ²⁵
8.000		12.96	12/17/20	14.16	1.20 W	0.00	34 of 75 - Sale ²⁵
18.000		29.16	12/17/20	31.87	2.71 W	0.00	35 of 75 - Sale ²⁵
82.000		132.83	12/17/20	145.20	12.37 W	0.00	36 of 75 - Sale ²⁵
92.000		149.03	12/17/20	165.69	16.66 W	0.00	37 of 75 - Sale ²⁵
100.000		161.98	12/17/20	177.06	15.08 W	0.00	38 of 75 - Sale ²⁵
100.000		161.98	12/17/20	180.10	18.12 W	0.00	39 of 75 - Sale ²⁵
100.000		161.99	12/17/20	180.06	18.07 W	0.00	40 of 75 - Sale ²⁵
100.000		161.98	12/17/20	177.06	15.08 W	0.00	41 of 75 - Sale ²⁵
6.000		9.72	12/17/20	10.80	1.08 W	0.00	42 of 75 - Sale ²⁵
22.000		35.64	12/17/20	38.95	3.31 W	0.00	43 of 75 - Sale ²⁵
72.000		116.63	12/17/20	118.80	2.17 W	0.00	44 of 75 - Sale ²⁵
100.000		161.98	12/17/20	165.00	3.02 W	0.00	45 of 75 - Sale ²⁵
178.000		288.33	12/17/20	293.70	5.37 W	0.00	46 of 75 - Sale ²⁵
222.000		359.60	12/17/20	399.78	40.18 W	0.00	47 of 75 - Sale ²⁵
18.000		29.16	12/17/20	32.41	3.25 W	0.00	48 of 75 - Sale ²⁵
82.000		132.83	12/17/20	147.67	14.84 W	0.00	49 of 75 - Sale ²⁵
46.000		74.51	12/17/20	82.84	8.33 W	0.00	50 of 75 - Sale ²⁵
132.000		213.82	12/17/20	237.71	23.89 W	0.00	51 of 75 - Sale ²⁵
30.000		48.60	12/17/20	54.02	5.42 W	0.00	52 of 75 - Sale ²⁵
26.000		42.12	12/17/20	47.61	5.49 W	0.00	53 of 75 - Sale ²⁵
24.000		38.88	12/17/20	43.22	4.34 W	0.00	54 of 75 - Sale ²⁵
10.000		16.20	12/17/20	18.31	2.11 W	0.00	55 of 75 - Sale ²⁵
32.000		51.84	12/17/20	58.60	6.76 W	0.00	56 of 75 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	32.000	51.84	12/17/20	58.60	6.76 W	0.00	57 of 75 - Sale ²⁵
	68.000	110.15	12/17/20	124.49	14.34 W	0.00	58 of 75 - Sale ²⁵
	32.000	51.84	12/17/20	58.58	6.74 W	0.00	59 of 75 - Sale ²⁵
	36.000	58.31	12/17/20	65.92	7.61 W	0.00	60 of 75 - Sale ²⁵
	26.000	42.12	12/17/20	47.61	5.49 W	0.00	61 of 75 - Sale ²⁵
	5.000	8.10	12/17/20	9.15	1.05 W	0.00	62 of 75 - Sale ²⁵
	6.000	9.72	12/17/20	10.98	1.26 W	0.00	63 of 75 - Sale ²⁵
	8.000	12.96	12/17/20	14.40	1.44 W	0.00	64 of 75 - Sale ²⁵
	18.000	29.16	12/17/20	32.41	3.25 W	0.00	65 of 75 - Sale ²⁵
	22.000	35.64	12/17/20	39.61	3.97 W	0.00	66 of 75 - Sale ²⁵
	71.000	115.01	12/17/20	119.29	4.28 W	0.00	67 of 75 - Sale ²⁵
	25.000	40.50	12/17/20	45.77	5.27 W	0.00	68 of 75 - Sale ²⁵
	100.000	161.98	12/17/20	180.08	18.10 W	0.00	69 of 75 - Sale ²⁵
	1.000	1.62	12/17/20	1.68	0.06 W	0.00	70 of 75 - Sale ²⁵
	16.000	25.92	12/17/20	26.88	0.96 W	0.00	71 of 75 - Sale ²⁵
	5.000	8.10	12/17/20	8.40	0.30 W	0.00	72 of 75 - Sale ²⁵
	2.000	3.24	12/17/20	3.36	0.12 W	0.00	73 of 75 - Sale ²⁵
	77.000	124.73	12/17/20	129.38	4.65 W	0.00	74 of 75 - Sale ²⁵
	23.000	37.26	12/17/20	38.64	1.38 W	0.00	75 of 75 - Sale ²⁵
12/17/20	7,043.000	11,408.59	Various	12,133.15	718.53 W	-6.03	Total of 75 transactions
	Security total:	53,642.86		54,223.16	718.53 W	138.23	

GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol:

52 transactions for 12/28/20. Total proceeds and cost reported to the IRS.

2,616.000	5,467.00	12/28/20	5,755.65	...	-288.65	1 of 52 - Sale ²⁵
867.000	1,811.88	12/28/20	1,907.55	...	-95.67	2 of 52 - Sale ²⁵
562.000	1,174.49	12/28/20	1,236.50	...	-62.01	3 of 52 - Sale ²⁵
427.000	892.36	12/28/20	939.47	...	-47.11	4 of 52 - Sale ²⁵
200.000	417.98	12/28/20	440.04	...	-22.06	5 of 52 - Sale ²⁵
200.000	417.98	12/28/20	440.03	...	-22.05	6 of 52 - Sale ²⁵
200.000	417.98	12/28/20	440.03	...	-22.05	7 of 52 - Sale ²⁵
200.000	417.98	12/28/20	440.03	...	-22.05	8 of 52 - Sale ²⁵
190.000	397.08	12/28/20	418.03	...	-20.95	9 of 52 - Sale ²⁵
100.000	208.99	12/28/20	220.02	...	-11.03	10 of 52 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol: (cont'd)							
	100.000	208.99	12/28/20	220.02	...	-11.03	11 of 52 - Sale ²⁵
	48.000	100.32	12/28/20	105.61	...	-5.29	12 of 52 - Sale ²⁵
	10.000	20.90	12/28/20	23.10	...	-2.20	13 of 52 - Sale ²⁵
	10.000	22.80	12/28/20	22.80	...	0.00	14 of 52 - Sale ²⁵
	1.000	2.40	12/28/20	2.32	...	0.08	15 of 52 - Sale ²⁵
	10.000	24.00	12/28/20	23.20	...	0.80	16 of 52 - Sale ²⁵
	80.000	177.59	12/28/20	176.01	...	1.58	17 of 52 - Sale ²⁵
	20.000	48.00	12/28/20	46.40	...	1.60	18 of 52 - Sale ²⁵
	185.000	410.67	12/28/20	407.03	...	3.64	19 of 52 - Sale ²⁵
	200.000	443.96	12/28/20	440.03	...	3.93	20 of 52 - Sale ²⁵
	50.000	120.00	12/28/20	116.00	...	4.00	21 of 52 - Sale ²⁵
	225.000	499.46	12/28/20	495.04	...	4.42	22 of 52 - Sale ²⁵
	98.000	235.19	12/28/20	227.36	...	7.83	23 of 52 - Sale ²⁵
	100.000	239.99	12/28/20	232.00	...	7.99	24 of 52 - Sale ²⁵
	100.000	239.99	12/28/20	232.00	...	7.99	25 of 52 - Sale ²⁵
	100.000	239.99	12/28/20	232.00	...	7.99	26 of 52 - Sale ²⁵
	100.000	239.99	12/28/20	232.00	...	7.99	27 of 52 - Sale ²⁵
	100.000	239.99	12/28/20	232.00	...	7.99	28 of 52 - Sale ²⁵
	100.000	239.99	12/28/20	232.00	...	7.99	29 of 52 - Sale ²⁵
	100.000	239.99	12/28/20	232.00	...	7.99	30 of 52 - Sale ²⁵
	100.000	239.99	12/28/20	232.00	...	7.99	31 of 52 - Sale ²⁵
	126.000	302.39	12/28/20	292.32	...	10.07	32 of 52 - Sale ²⁵
	200.000	479.98	12/28/20	464.00	...	15.98	33 of 52 - Sale ²⁵
	200.000	479.98	12/28/20	464.00	...	15.98	34 of 52 - Sale ²⁵
	200.000	479.98	12/28/20	464.00	...	15.98	35 of 52 - Sale ²⁵
	200.000	479.98	12/28/20	464.00	...	15.98	36 of 52 - Sale ²⁵
	200.000	479.98	12/28/20	464.00	...	15.98	37 of 52 - Sale ²⁵
	208.000	499.18	12/28/20	482.56	...	16.62	38 of 52 - Sale ²⁵
	200.000	481.98	12/28/20	464.00	...	17.98	39 of 52 - Sale ²⁵
	251.000	602.36	12/28/20	582.32	...	20.04	40 of 52 - Sale ²⁵
	300.000	719.94	12/28/20	696.00	...	23.94	41 of 52 - Sale ²⁵
	300.000	719.94	12/28/20	696.00	...	23.94	42 of 52 - Sale ²⁵
	345.000	827.94	12/28/20	800.40	...	27.54	43 of 52 - Sale ²⁵
	359.000	861.54	12/28/20	832.88	...	28.66	44 of 52 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol: (cont'd)							
	452.000	1,084.72	12/28/20	1,048.64	...	36.08	45 of 52 - Sale ²⁵
	489.000	1,173.51	12/28/20	1,134.48	...	39.03	46 of 52 - Sale ²⁵
	494.000	1,185.51	12/28/20	1,146.08	...	39.43	47 of 52 - Sale ²⁵
	497.000	1,192.71	12/28/20	1,153.04	...	39.67	48 of 52 - Sale ²⁵
	500.000	1,199.91	12/28/20	1,160.00	...	39.91	49 of 52 - Sale ²⁵
	500.000	1,199.91	12/28/20	1,160.00	...	39.91	50 of 52 - Sale ²⁵
	500.000	1,199.91	12/28/20	1,160.00	...	39.91	51 of 52 - Sale ²⁵
	1,500.000	3,599.74	12/28/20	3,480.00	...	119.74	52 of 52 - Sale ²⁵
12/28/20	15,420.000	35,109.01	Various	35,006.99	...	102.02	Total of 52 transactions
	12 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	1,920.000	4,012.48	12/28/20	4,224.33	147.85 W	-64.00	1 of 12 - Sale ²⁵
	80.000	182.39	12/28/20	182.40	0.01 W	0.00	2 of 12 - Sale ²⁵
	200.000	455.97	12/28/20	456.00	0.03 W	0.00	3 of 12 - Sale ²⁵
	3,000.000	6,839.49	12/28/20	6,840.00	0.51 W	0.00	4 of 12 - Sale ²⁵
	1,937.000	4,416.03	12/28/20	4,416.36	0.33 W	0.00	5 of 12 - Sale ²⁵
	3,773.000	8,601.79	12/28/20	8,602.44	0.65 W	0.00	6 of 12 - Sale ²⁵
	200.000	417.98	12/28/20	440.04	22.06 W	0.00	7 of 12 - Sale ²⁵
	20.000	41.80	12/28/20	44.00	2.20 W	0.00	8 of 12 - Sale ²⁵
	50.000	104.50	12/28/20	110.01	5.51 W	0.00	9 of 12 - Sale ²⁵
	130.000	271.69	12/28/20	286.02	14.33 W	0.00	10 of 12 - Sale ²⁵
	70.000	146.29	12/28/20	154.01	7.72 W	0.00	11 of 12 - Sale ²⁵
	200.000	417.98	12/28/20	440.03	22.05 W	0.00	12 of 12 - Sale ²⁵
12/28/20	11,580.000	25,908.39	Various	26,195.64	223.25 W	-64.00	Total of 12 transactions
	Security total:	61,017.40		61,202.63	223.25 W	38.02	
HEXO CORP. / CUSIP: 428304109 / Symbol:							
	122 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	14.000	14.42	11/30/20	14.42	...	0.00	1 of 122 - Sale ²⁵
	50.000	51.50	11/30/20	51.50	...	0.00	2 of 122 - Sale ²⁵
	5.000	5.15	11/30/20	5.15	...	0.00	3 of 122 - Sale ²⁵
	5.000	5.15	11/30/20	5.15	...	0.00	4 of 122 - Sale ²⁵
	14.000	15.40	11/30/20	15.40	...	0.00	5 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	6 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	7 of 122 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	1.000	1.10	11/30/20	1.10	...	0.00	8 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	9 of 122 - Sale ²⁵
	8.000	8.80	11/30/20	8.80	...	0.00	10 of 122 - Sale ²⁵
	6.000	6.60	11/30/20	6.60	...	0.00	11 of 122 - Sale ²⁵
	7.000	7.70	11/30/20	7.70	...	0.00	12 of 122 - Sale ²⁵
	8.000	8.80	11/30/20	8.80	...	0.00	13 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	14 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	15 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	16 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	17 of 122 - Sale ²⁵
	6.000	6.60	11/30/20	6.60	...	0.00	18 of 122 - Sale ²⁵
	10.000	11.00	11/30/20	11.00	...	0.00	19 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	20 of 122 - Sale ²⁵
	10.000	11.00	11/30/20	11.00	...	0.00	21 of 122 - Sale ²⁵
	9.000	9.90	11/30/20	9.90	...	0.00	22 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	23 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	24 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	25 of 122 - Sale ²⁵
	9.000	9.90	11/30/20	9.90	...	0.00	26 of 122 - Sale ²⁵
	6.000	6.60	11/30/20	6.60	...	0.00	27 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	28 of 122 - Sale ²⁵
	15.000	16.50	11/30/20	16.50	...	0.00	29 of 122 - Sale ²⁵
	17.000	18.70	11/30/20	18.70	...	0.00	30 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	31 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	32 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	33 of 122 - Sale ²⁵
	13.000	14.30	11/30/20	14.30	...	0.00	34 of 122 - Sale ²⁵
	17.000	18.70	11/30/20	18.70	...	0.00	35 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	36 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	37 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	38 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	39 of 122 - Sale ²⁵
	16.000	17.60	11/30/20	17.60	...	0.00	40 of 122 - Sale ²⁵
	12.000	13.20	11/30/20	13.20	...	0.00	41 of 122 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	9.000	9.90	11/30/20	9.90	...	0.00	42 of 122 - Sale ²⁵
	11.000	12.10	11/30/20	12.10	...	0.00	43 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	44 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	45 of 122 - Sale ²⁵
	10.000	11.00	11/30/20	11.00	...	0.00	46 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	47 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	48 of 122 - Sale ²⁵
	14.000	15.40	11/30/20	15.40	...	0.00	49 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	50 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	51 of 122 - Sale ²⁵
	8.000	8.80	11/30/20	8.80	...	0.00	52 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	53 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	54 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	55 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	56 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	57 of 122 - Sale ²⁵
	17.000	18.70	11/30/20	18.70	...	0.00	58 of 122 - Sale ²⁵
	15.000	16.50	11/30/20	16.50	...	0.00	59 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	60 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	61 of 122 - Sale ²⁵
	15.000	16.50	11/30/20	16.50	...	0.00	62 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	63 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	64 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	65 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	66 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	67 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	68 of 122 - Sale ²⁵
	9.000	9.90	11/30/20	9.90	...	0.00	69 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	70 of 122 - Sale ²⁵
	14.000	15.40	11/30/20	15.40	...	0.00	71 of 122 - Sale ²⁵
	10.000	11.00	11/30/20	11.00	...	0.00	72 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	73 of 122 - Sale ²⁵
	9.000	9.90	11/30/20	9.90	...	0.00	74 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	75 of 122 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	1.000	1.10	11/30/20	1.10	...	0.00	76 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	77 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	78 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	79 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	80 of 122 - Sale ²⁵
	7.000	7.70	11/30/20	7.70	...	0.00	81 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	82 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	83 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	84 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	85 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	86 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	87 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	88 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	89 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	90 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	91 of 122 - Sale ²⁵
	10.000	11.00	11/30/20	11.00	...	0.00	92 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	93 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	94 of 122 - Sale ²⁵
	1.000	1.10	11/30/20	1.10	...	0.00	95 of 122 - Sale ²⁵
	5.000	5.50	11/30/20	5.50	...	0.00	96 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	97 of 122 - Sale ²⁵
	10.000	11.00	11/30/20	11.00	...	0.00	98 of 122 - Sale ²⁵
	10.000	11.00	11/30/20	11.00	...	0.00	99 of 122 - Sale ²⁵
	4.000	4.40	11/30/20	4.40	...	0.00	100 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	101 of 122 - Sale ²⁵
	3.000	3.30	11/30/20	3.30	...	0.00	102 of 122 - Sale ²⁵
	10.000	11.00	11/30/20	11.00	...	0.00	103 of 122 - Sale ²⁵
	2.000	2.20	11/30/20	2.20	...	0.00	104 of 122 - Sale ²⁵
	40.000	41.99	11/30/20	41.60	...	0.39	105 of 122 - Sale ²⁵
	61.000	64.04	11/30/20	63.45	...	0.59	106 of 122 - Sale ²⁵
	105.000	110.24	11/30/20	109.21	...	1.03	107 of 122 - Sale ²⁵
	350.000	367.45	11/30/20	364.05	...	3.40	108 of 122 - Sale ²⁵
	450.000	472.44	11/30/20	468.07	...	4.37	109 of 122 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	500.000	524.92	11/30/20	520.07	...	4.85	110 of 122 - Sale ²⁵
	655.000	687.66	11/30/20	681.29	...	6.37	111 of 122 - Sale ²⁵
	689.000	723.35	11/30/20	716.66	...	6.69	112 of 122 - Sale ²⁵
	145.000	152.23	11/30/20	145.02	...	7.21	113 of 122 - Sale ²⁵
	750.000	787.39	11/30/20	780.11	...	7.28	114 of 122 - Sale ²⁵
	855.000	897.62	11/30/20	889.32	...	8.30	115 of 122 - Sale ²⁵
	205.000	215.22	11/30/20	205.03	...	10.19	116 of 122 - Sale ²⁵
	1,784.000	1,872.94	11/30/20	1,855.61	...	17.33	117 of 122 - Sale ²⁵
	400.000	419.94	11/30/20	400.06	...	19.88	118 of 122 - Sale ²⁵
	2,561.000	2,688.68	11/30/20	2,663.81	...	24.87	119 of 122 - Sale ²⁵
	3,000.000	3,149.57	11/30/20	3,120.42	...	29.15	120 of 122 - Sale ²⁵
	650.000	682.40	11/30/20	650.09	...	32.31	121 of 122 - Sale ²⁵
	3,811.000	4,001.01	11/30/20	3,963.98	...	37.03	122 of 122 - Sale ²⁵
11/30/20	17,638.000	18,543.61	Various	18,322.37	...	221.24	Total of 122 transactions
	177 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	6,355.000	6,544.75	11/30/20	6,545.65	0.90 W	0.00	1 of 177 - Sale ²⁵
	2,000.000	2,059.72	11/30/20	2,060.00	0.28 W	0.00	2 of 177 - Sale ²⁵
	1,784.000	1,837.27	11/30/20	1,837.52	0.25 W	0.00	3 of 177 - Sale ²⁵
	12,593.000	12,968.99	11/30/20	12,970.79	1.80 W	0.00	4 of 177 - Sale ²⁵
	2,300.000	2,368.67	11/30/20	2,369.00	0.33 W	0.00	5 of 177 - Sale ²⁵
	1,000.000	1,029.86	11/30/20	1,030.00	0.14 W	0.00	6 of 177 - Sale ²⁵
	500.000	514.93	11/30/20	515.00	0.07 W	0.00	7 of 177 - Sale ²⁵
	300.000	308.96	11/30/20	309.00	0.04 W	0.00	8 of 177 - Sale ²⁵
	923.000	950.56	11/30/20	950.69	0.13 W	0.00	9 of 177 - Sale ²⁵
	2,237.000	2,303.79	11/30/20	2,304.11	0.32 W	0.00	10 of 177 - Sale ²⁵
	100.000	103.99	11/30/20	104.01	0.02 W	0.00	11 of 177 - Sale ²⁵
	101.000	105.03	11/30/20	105.05	0.02 W	0.00	12 of 177 - Sale ²⁵
	584.000	607.27	11/30/20	607.45	0.18 W	0.00	13 of 177 - Sale ²⁵
	100.000	103.99	11/30/20	104.01	0.02 W	0.00	14 of 177 - Sale ²⁵
	2,941.000	3,058.22	11/30/20	3,059.07	0.85 W	0.00	15 of 177 - Sale ²⁵
	163.000	169.50	11/30/20	169.54	0.04 W	0.00	16 of 177 - Sale ²⁵
	400.000	415.95	11/30/20	416.06	0.11 W	0.00	17 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.01	0.02 W	0.00	18 of 177 - Sale ²⁵
	50.000	51.49	11/30/20	51.51	0.02 W	0.00	19 of 177 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	50.000	51.49	11/30/20	51.51	0.02 W	0.00	20 of 177 - Sale ²⁵
	50.000	51.49	11/30/20	51.51	0.02 W	0.00	21 of 177 - Sale ²⁵
	200.000	205.98	11/30/20	206.03	0.05 W	0.00	22 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.01	0.02 W	0.00	23 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.02	0.03 W	0.00	24 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.01	0.02 W	0.00	25 of 177 - Sale ²⁵
	300.000	308.96	11/30/20	309.05	0.09 W	0.00	26 of 177 - Sale ²⁵
	232.000	238.92	11/30/20	238.99	0.07 W	0.00	27 of 177 - Sale ²⁵
	693.000	713.69	11/30/20	713.89	0.20 W	0.00	28 of 177 - Sale ²⁵
	370.000	381.06	11/30/20	381.15	0.09 W	0.00	29 of 177 - Sale ²⁵
	95.000	97.84	11/30/20	97.86	0.02 W	0.00	30 of 177 - Sale ²⁵
	863.000	888.76	11/30/20	889.01	0.25 W	0.00	31 of 177 - Sale ²⁵
	1,132.000	1,165.80	11/30/20	1,166.13	0.33 W	0.00	32 of 177 - Sale ²⁵
	300.000	308.96	11/30/20	309.04	0.08 W	0.00	33 of 177 - Sale ²⁵
	500.000	514.93	11/30/20	515.07	0.14 W	0.00	34 of 177 - Sale ²⁵
	923.000	950.55	11/30/20	950.82	0.27 W	0.00	35 of 177 - Sale ²⁵
	137.000	141.09	11/30/20	141.13	0.04 W	0.00	36 of 177 - Sale ²⁵
	340.000	350.15	11/30/20	350.25	0.10 W	0.00	37 of 177 - Sale ²⁵
	50.000	51.49	11/30/20	51.52	0.03 W	0.00	38 of 177 - Sale ²⁵
	50.000	51.49	11/30/20	51.52	0.03 W	0.00	39 of 177 - Sale ²⁵
	50.000	51.49	11/30/20	51.52	0.03 W	0.00	40 of 177 - Sale ²⁵
	95.000	97.84	11/30/20	97.87	0.03 W	0.00	41 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.02	0.03 W	0.00	42 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.02	0.03 W	0.00	43 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.02	0.03 W	0.00	44 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.02	0.03 W	0.00	45 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.03	0.04 W	0.00	46 of 177 - Sale ²⁵
	100.000	102.99	11/30/20	103.02	0.03 W	0.00	47 of 177 - Sale ²⁵
	101.000	104.02	11/30/20	104.05	0.03 W	0.00	48 of 177 - Sale ²⁵
	163.000	167.87	11/30/20	167.93	0.06 W	0.00	49 of 177 - Sale ²⁵
	200.000	205.97	11/30/20	206.05	0.08 W	0.00	50 of 177 - Sale ²⁵
	232.000	238.93	11/30/20	239.03	0.10 W	0.00	51 of 177 - Sale ²⁵
	300.000	308.96	11/30/20	309.09	0.13 W	0.00	52 of 177 - Sale ²⁵
	370.000	381.05	11/30/20	381.19	0.14 W	0.00	53 of 177 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	400.000	411.94	11/30/20	412.11	0.17 W	0.00	54 of 177 - Sale ²⁵
	584.000	601.44	11/30/20	601.70	0.26 W	0.00	55 of 177 - Sale ²⁵
	693.000	713.69	11/30/20	713.99	0.30 W	0.00	56 of 177 - Sale ²⁵
	1,897.000	1,953.64	11/30/20	1,954.18	0.54 W	0.00	57 of 177 - Sale ²⁵
	2,941.000	3,028.81	11/30/20	3,030.08	1.27 W	0.00	58 of 177 - Sale ²⁵
	9,599.000	9,885.58	11/30/20	9,886.97	1.39 W	0.00	59 of 177 - Sale ²⁵
	86.000	94.59	11/30/20	94.63	0.04 W	0.00	60 of 177 - Sale ²⁵
	24.000	26.40	11/30/20	26.41	0.01 W	0.00	61 of 177 - Sale ²⁵
	50.000	54.99	11/30/20	55.01	0.02 W	0.00	62 of 177 - Sale ²⁵
	60.000	65.99	11/30/20	66.02	0.03 W	0.00	63 of 177 - Sale ²⁵
	30.000	33.00	11/30/20	33.01	0.01 W	0.00	64 of 177 - Sale ²⁵
	86.000	94.59	11/30/20	94.62	0.03 W	0.00	65 of 177 - Sale ²⁵
	40.000	44.00	11/30/20	44.01	0.01 W	0.00	66 of 177 - Sale ²⁵
	100.000	109.99	11/30/20	110.03	0.04 W	0.00	67 of 177 - Sale ²⁵
	25.000	27.50	11/30/20	27.51	0.01 W	0.00	68 of 177 - Sale ²⁵
	86.000	94.59	11/30/20	94.63	0.04 W	0.00	69 of 177 - Sale ²⁵
	75.000	82.49	11/30/20	82.52	0.03 W	0.00	70 of 177 - Sale ²⁵
	20.000	22.00	11/30/20	22.01	0.01 W	0.00	71 of 177 - Sale ²⁵
	18.000	19.80	11/30/20	19.81	0.01 W	0.00	72 of 177 - Sale ²⁵
	396.000	435.55	11/30/20	435.72	0.17 W	0.00	73 of 177 - Sale ²⁵
	20.000	22.00	11/30/20	22.01	0.01 W	0.00	74 of 177 - Sale ²⁵
	43.000	47.30	11/30/20	47.31	0.01 W	0.00	75 of 177 - Sale ²⁵
	25.000	27.50	11/30/20	27.51	0.01 W	0.00	76 of 177 - Sale ²⁵
	300.000	329.96	11/30/20	330.09	0.13 W	0.00	77 of 177 - Sale ²⁵
	71.000	78.09	11/30/20	78.12	0.03 W	0.00	78 of 177 - Sale ²⁵
	33.000	36.30	11/30/20	36.31	0.01 W	0.00	79 of 177 - Sale ²⁵
	17.000	18.70	11/30/20	18.71	0.01 W	0.00	80 of 177 - Sale ²⁵
	137.000	150.68	11/30/20	150.74	0.06 W	0.00	81 of 177 - Sale ²⁵
	279.000	306.87	11/30/20	306.98	0.11 W	0.00	82 of 177 - Sale ²⁵
	25.000	27.50	11/30/20	27.51	0.01 W	0.00	83 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.01	0.01 W	0.00	84 of 177 - Sale ²⁵
	100.000	109.99	11/30/20	110.03	0.04 W	0.00	85 of 177 - Sale ²⁵
	40.000	44.00	11/30/20	44.01	0.01 W	0.00	86 of 177 - Sale ²⁵
	86.000	94.59	11/30/20	94.63	0.04 W	0.00	87 of 177 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	48.000	52.80	11/30/20	52.81	0.01 W	0.00	88 of 177 - Sale ²⁵
	15.000	16.50	11/30/20	16.51	0.01 W	0.00	89 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.01	0.01 W	0.00	90 of 177 - Sale ²⁵
	21.000	23.10	11/30/20	23.11	0.01 W	0.00	91 of 177 - Sale ²⁵
	300.000	329.95	11/30/20	330.08	0.13 W	0.00	92 of 177 - Sale ²⁵
	196.000	215.57	11/30/20	215.66	0.09 W	0.00	93 of 177 - Sale ²⁵
	30.000	33.00	11/30/20	33.01	0.01 W	0.00	94 of 177 - Sale ²⁵
	26.000	28.60	11/30/20	28.61	0.01 W	0.00	95 of 177 - Sale ²⁵
	70.000	76.99	11/30/20	77.02	0.03 W	0.00	96 of 177 - Sale ²⁵
	85.000	93.49	11/30/20	93.53	0.04 W	0.00	97 of 177 - Sale ²⁵
	21.000	23.10	11/30/20	23.11	0.01 W	0.00	98 of 177 - Sale ²⁵
	55.000	60.49	11/30/20	60.52	0.03 W	0.00	99 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.01	0.01 W	0.00	100 of 177 - Sale ²⁵
	19.000	20.90	11/30/20	20.91	0.01 W	0.00	101 of 177 - Sale ²⁵
	83.000	91.29	11/30/20	91.32	0.03 W	0.00	102 of 177 - Sale ²⁵
	43.000	47.30	11/30/20	47.31	0.01 W	0.00	103 of 177 - Sale ²⁵
	20.000	22.00	11/30/20	22.01	0.01 W	0.00	104 of 177 - Sale ²⁵
	30.000	33.00	11/30/20	33.01	0.01 W	0.00	105 of 177 - Sale ²⁵
	52.000	57.19	11/30/20	57.22	0.03 W	0.00	106 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.02	0.02 W	0.00	107 of 177 - Sale ²⁵
	39.000	42.90	11/30/20	42.91	0.01 W	0.00	108 of 177 - Sale ²⁵
	89.000	97.89	11/30/20	97.93	0.04 W	0.00	109 of 177 - Sale ²⁵
	88.000	96.79	11/30/20	96.82	0.03 W	0.00	110 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.02	0.02 W	0.00	111 of 177 - Sale ²⁵
	23.000	25.30	11/30/20	25.31	0.01 W	0.00	112 of 177 - Sale ²⁵
	10.000	11.00	11/30/20	11.01	0.01 W	0.00	113 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.01	0.01 W	0.00	114 of 177 - Sale ²⁵
	605.000	665.41	11/30/20	665.67	0.26 W	0.00	115 of 177 - Sale ²⁵
	77.000	84.69	11/30/20	84.72	0.03 W	0.00	116 of 177 - Sale ²⁵
	23.000	25.30	11/30/20	25.31	0.01 W	0.00	117 of 177 - Sale ²⁵
	43.000	47.30	11/30/20	47.31	0.01 W	0.00	118 of 177 - Sale ²⁵
	40.000	44.00	11/30/20	44.01	0.01 W	0.00	119 of 177 - Sale ²⁵
	22.000	24.20	11/30/20	24.21	0.01 W	0.00	120 of 177 - Sale ²⁵
	20.000	22.00	11/30/20	22.01	0.01 W	0.00	121 of 177 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	40.000	44.00	11/30/20	44.01	0.01 W	0.00	122 of 177 - Sale ²⁵
	20.000	22.00	11/30/20	22.01	0.01 W	0.00	123 of 177 - Sale ²⁵
	17.000	18.70	11/30/20	18.71	0.01 W	0.00	124 of 177 - Sale ²⁵
	25.000	27.50	11/30/20	27.51	0.01 W	0.00	125 of 177 - Sale ²⁵
	95.000	104.49	11/30/20	104.53	0.04 W	0.00	126 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.02	0.02 W	0.00	127 of 177 - Sale ²⁵
	30.000	33.00	11/30/20	33.01	0.01 W	0.00	128 of 177 - Sale ²⁵
	65.000	71.49	11/30/20	71.52	0.03 W	0.00	129 of 177 - Sale ²⁵
	80.000	87.99	11/30/20	88.02	0.03 W	0.00	130 of 177 - Sale ²⁵
	26.000	28.60	11/30/20	28.61	0.01 W	0.00	131 of 177 - Sale ²⁵
	20.000	22.00	11/30/20	22.01	0.01 W	0.00	132 of 177 - Sale ²⁵
	26.000	28.60	11/30/20	28.61	0.01 W	0.00	133 of 177 - Sale ²⁵
	95.000	104.49	11/30/20	104.53	0.04 W	0.00	134 of 177 - Sale ²⁵
	87.000	95.69	11/30/20	95.72	0.03 W	0.00	135 of 177 - Sale ²⁵
	100.000	109.98	11/30/20	110.03	0.05 W	0.00	136 of 177 - Sale ²⁵
	101.000	111.08	11/30/20	111.13	0.05 W	0.00	137 of 177 - Sale ²⁵
	572.000	629.12	11/30/20	629.45	0.33 W	0.00	138 of 177 - Sale ²⁵
	227.000	249.67	11/30/20	249.77	0.10 W	0.00	139 of 177 - Sale ²⁵
	12.000	13.20	11/30/20	13.21	0.01 W	0.00	140 of 177 - Sale ²⁵
	60.000	65.99	11/30/20	66.02	0.03 W	0.00	141 of 177 - Sale ²⁵
	18.000	19.80	11/30/20	19.81	0.01 W	0.00	142 of 177 - Sale ²⁵
	14.000	15.40	11/30/20	15.41	0.01 W	0.00	143 of 177 - Sale ²⁵
	17.000	18.70	11/30/20	18.71	0.01 W	0.00	144 of 177 - Sale ²⁵
	25.000	27.50	11/30/20	27.51	0.01 W	0.00	145 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.02	0.02 W	0.00	146 of 177 - Sale ²⁵
	21.000	23.10	11/30/20	23.11	0.01 W	0.00	147 of 177 - Sale ²⁵
	10.000	11.00	11/30/20	11.01	0.01 W	0.00	148 of 177 - Sale ²⁵
	43.000	47.30	11/30/20	47.32	0.02 W	0.00	149 of 177 - Sale ²⁵
	9.000	9.90	11/30/20	9.91	0.01 W	0.00	150 of 177 - Sale ²⁵
	20.000	22.00	11/30/20	22.01	0.01 W	0.00	151 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.02	0.02 W	0.00	152 of 177 - Sale ²⁵
	90.000	98.99	11/30/20	99.04	0.05 W	0.00	153 of 177 - Sale ²⁵
	36.000	39.60	11/30/20	39.62	0.02 W	0.00	154 of 177 - Sale ²⁵
	25.000	27.50	11/30/20	27.51	0.01 W	0.00	155 of 177 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	45.000	49.50	11/30/20	49.52	0.02 W	0.00	156 of 177 - Sale ²⁵
	50.000	55.00	11/30/20	55.02	0.02 W	0.00	157 of 177 - Sale ²⁵
	17.000	18.70	11/30/20	18.71	0.01 W	0.00	158 of 177 - Sale ²⁵
	24.000	26.40	11/30/20	26.41	0.01 W	0.00	159 of 177 - Sale ²⁵
	125.000	137.49	11/30/20	137.55	0.06 W	0.00	160 of 177 - Sale ²⁵
	50.000	54.99	11/30/20	55.03	0.04 W	0.00	161 of 177 - Sale ²⁵
	50.000	54.99	11/30/20	55.03	0.04 W	0.00	162 of 177 - Sale ²⁵
	50.000	54.99	11/30/20	55.03	0.04 W	0.00	163 of 177 - Sale ²⁵
	95.000	104.49	11/30/20	104.53	0.04 W	0.00	164 of 177 - Sale ²⁵
	100.000	109.99	11/30/20	110.03	0.04 W	0.00	165 of 177 - Sale ²⁵
	100.000	109.99	11/30/20	110.03	0.04 W	0.00	166 of 177 - Sale ²⁵
	100.000	109.99	11/30/20	110.04	0.05 W	0.00	167 of 177 - Sale ²⁵
	100.000	109.99	11/30/20	110.03	0.04 W	0.00	168 of 177 - Sale ²⁵
	163.000	179.28	11/30/20	179.36	0.08 W	0.00	169 of 177 - Sale ²⁵
	200.000	219.97	11/30/20	220.08	0.11 W	0.00	170 of 177 - Sale ²⁵
	232.000	255.17	11/30/20	255.30	0.13 W	0.00	171 of 177 - Sale ²⁵
	300.000	329.96	11/30/20	330.13	0.17 W	0.00	172 of 177 - Sale ²⁵
	370.000	406.94	11/30/20	407.14	0.20 W	0.00	173 of 177 - Sale ²⁵
	400.000	439.94	11/30/20	440.17	0.23 W	0.00	174 of 177 - Sale ²⁵
	693.000	762.20	11/30/20	762.60	0.40 W	0.00	175 of 177 - Sale ²⁵
	2,079.000	2,286.59	11/30/20	2,287.20	0.61 W	0.00	176 of 177 - Sale ²⁵
	2,163.000	2,378.99	11/30/20	2,380.26	1.27 W	0.00	177 of 177 - Sale ²⁵
11/30/20	73,788.000	77,046.66	Various	77,065.47	18.81 W	0.00	Total of 177 transactions
	71 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	2,163.000	2,227.57	12/09/20	2,229.16	...	-1.59	1 of 71 - Sale ²⁵
	2,079.000	2,141.05	12/09/20	2,141.98	...	-0.93	2 of 71 - Sale ²⁵
	693.000	713.69	12/09/20	714.19	...	-0.50	3 of 71 - Sale ²⁵
	572.000	589.08	12/09/20	589.49	...	-0.41	4 of 71 - Sale ²⁵
	605.000	623.06	12/09/20	623.41	...	-0.35	5 of 71 - Sale ²⁵
	2,203.000	2,268.76	12/09/20	2,269.09	...	-0.33	6 of 71 - Sale ²⁵
	400.000	411.94	12/09/20	412.23	...	-0.29	7 of 71 - Sale ²⁵
	370.000	381.04	12/09/20	381.30	...	-0.26	8 of 71 - Sale ²⁵
	300.000	308.96	12/09/20	309.17	...	-0.21	9 of 71 - Sale ²⁵
	232.000	238.93	12/09/20	239.09	...	-0.16	10 of 71 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	200.000	205.97	12/09/20	206.11	...	-0.14	11 of 71 - Sale ²⁵
	227.000	233.78	12/09/20	233.91	...	-0.13	12 of 71 - Sale ²⁵
	163.000	167.87	12/09/20	167.97	...	-0.10	13 of 71 - Sale ²⁵
	125.000	128.73	12/09/20	128.81	...	-0.08	14 of 71 - Sale ²⁵
	90.000	92.69	12/09/20	92.75	...	-0.06	15 of 71 - Sale ²⁵
	100.000	102.99	12/09/20	103.05	...	-0.06	16 of 71 - Sale ²⁵
	100.000	102.98	12/09/20	103.04	...	-0.06	17 of 71 - Sale ²⁵
	100.000	102.99	12/09/20	103.05	...	-0.06	18 of 71 - Sale ²⁵
	100.000	102.98	12/09/20	103.04	...	-0.06	19 of 71 - Sale ²⁵
	101.000	104.02	12/09/20	104.08	...	-0.06	20 of 71 - Sale ²⁵
	109.000	112.25	12/09/20	112.31	...	-0.06	21 of 71 - Sale ²⁵
	160.000	164.78	12/09/20	164.84	...	-0.06	22 of 71 - Sale ²⁵
	50.000	51.49	12/09/20	51.54	...	-0.05	23 of 71 - Sale ²⁵
	50.000	51.49	12/09/20	51.54	...	-0.05	24 of 71 - Sale ²⁵
	50.000	51.49	12/09/20	51.54	...	-0.05	25 of 71 - Sale ²⁵
	95.000	97.84	12/09/20	97.89	...	-0.05	26 of 71 - Sale ²⁵
	95.000	97.84	12/09/20	97.89	...	-0.05	27 of 71 - Sale ²⁵
	95.000	97.84	12/09/20	97.89	...	-0.05	28 of 71 - Sale ²⁵
	100.000	102.99	12/09/20	103.04	...	-0.05	29 of 71 - Sale ²⁵
	60.000	61.79	12/09/20	61.83	...	-0.04	30 of 71 - Sale ²⁵
	65.000	66.94	12/09/20	66.98	...	-0.04	31 of 71 - Sale ²⁵
	77.000	79.30	12/09/20	79.34	...	-0.04	32 of 71 - Sale ²⁵
	80.000	82.39	12/09/20	82.43	...	-0.04	33 of 71 - Sale ²⁵
	87.000	89.60	12/09/20	89.64	...	-0.04	34 of 71 - Sale ²⁵
	100.000	102.98	12/09/20	103.02	...	-0.04	35 of 71 - Sale ²⁵
	375.000	386.21	12/09/20	386.25	...	-0.04	36 of 71 - Sale ²⁵
	36.000	37.07	12/09/20	37.10	...	-0.03	37 of 71 - Sale ²⁵
	43.000	44.28	12/09/20	44.31	...	-0.03	38 of 71 - Sale ²⁵
	45.000	46.34	12/09/20	46.37	...	-0.03	39 of 71 - Sale ²⁵
	50.000	51.49	12/09/20	51.52	...	-0.03	40 of 71 - Sale ²⁵
	50.000	51.49	12/09/20	51.52	...	-0.03	41 of 71 - Sale ²⁵
	50.000	51.49	12/09/20	51.52	...	-0.03	42 of 71 - Sale ²⁵
	50.000	51.49	12/09/20	51.52	...	-0.03	43 of 71 - Sale ²⁵
	86.000	88.57	12/09/20	88.60	...	-0.03	44 of 71 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	40.000	41.19	12/09/20	41.21	...	-0.02	45 of 71 - Sale ²⁵
	40.000	41.19	12/09/20	41.21	...	-0.02	46 of 71 - Sale ²⁵
	43.000	44.28	12/09/20	44.30	...	-0.02	47 of 71 - Sale ²⁵
	50.000	51.49	12/09/20	51.51	...	-0.02	48 of 71 - Sale ²⁵
	9.000	9.27	12/09/20	9.28	...	-0.01	49 of 71 - Sale ²⁵
	10.000	10.30	12/09/20	10.31	...	-0.01	50 of 71 - Sale ²⁵
	10.000	10.30	12/09/20	10.31	...	-0.01	51 of 71 - Sale ²⁵
	12.000	12.36	12/09/20	12.37	...	-0.01	52 of 71 - Sale ²⁵
	14.000	14.42	12/09/20	14.43	...	-0.01	53 of 71 - Sale ²⁵
	17.000	17.51	12/09/20	17.52	...	-0.01	54 of 71 - Sale ²⁵
	17.000	17.51	12/09/20	17.52	...	-0.01	55 of 71 - Sale ²⁵
	17.000	17.51	12/09/20	17.52	...	-0.01	56 of 71 - Sale ²⁵
	18.000	18.54	12/09/20	18.55	...	-0.01	57 of 71 - Sale ²⁵
	20.000	20.60	12/09/20	20.61	...	-0.01	58 of 71 - Sale ²⁵
	20.000	20.60	12/09/20	20.61	...	-0.01	59 of 71 - Sale ²⁵
	20.000	20.60	12/09/20	20.61	...	-0.01	60 of 71 - Sale ²⁵
	20.000	20.60	12/09/20	20.61	...	-0.01	61 of 71 - Sale ²⁵
	21.000	21.63	12/09/20	21.64	...	-0.01	62 of 71 - Sale ²⁵
	22.000	22.66	12/09/20	22.67	...	-0.01	63 of 71 - Sale ²⁵
	23.000	23.69	12/09/20	23.70	...	-0.01	64 of 71 - Sale ²⁵
	24.000	24.72	12/09/20	24.73	...	-0.01	65 of 71 - Sale ²⁵
	25.000	25.75	12/09/20	25.76	...	-0.01	66 of 71 - Sale ²⁵
	25.000	25.75	12/09/20	25.76	...	-0.01	67 of 71 - Sale ²⁵
	25.000	25.75	12/09/20	25.76	...	-0.01	68 of 71 - Sale ²⁵
	26.000	26.78	12/09/20	26.79	...	-0.01	69 of 71 - Sale ²⁵
	26.000	26.78	12/09/20	26.79	...	-0.01	70 of 71 - Sale ²⁵
	30.000	30.90	12/09/20	30.91	...	-0.01	71 of 71 - Sale ²⁵
12/09/20	13,605.000	14,011.20	Various	14,018.34	...	-7.14	Total of 71 transactions
	68 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	300.000	308.96	11/30/20	324.04	15.08 W	0.00	1 of 68 - Sale ²⁵
	109.000	112.25	12/09/20	112.29	0.04 W	0.00	2 of 68 - Sale ²⁵
	100.000	102.99	12/09/20	103.01	0.02 W	0.00	3 of 68 - Sale ²⁵
	160.000	164.78	12/09/20	164.82	0.04 W	0.00	4 of 68 - Sale ²⁵
	3,097.000	3,189.46	12/09/20	3,190.36	0.90 W	0.00	5 of 68 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	300.000	308.95	12/09/20	324.08	15.13 W	0.00	6 of 68 - Sale ²⁵
	600.000	617.91	12/09/20	618.09	0.18 W	0.00	7 of 68 - Sale ²⁵
	100.000	102.99	12/09/20	103.01	0.02 W	0.00	8 of 68 - Sale ²⁵
	81.000	83.42	12/09/20	83.44	0.02 W	0.00	9 of 68 - Sale ²⁵
	200.000	205.98	12/09/20	206.03	0.05 W	0.00	10 of 68 - Sale ²⁵
	200.000	205.98	12/09/20	206.03	0.05 W	0.00	11 of 68 - Sale ²⁵
	200.000	205.98	12/09/20	206.03	0.05 W	0.00	12 of 68 - Sale ²⁵
	200.000	205.98	12/09/20	206.03	0.05 W	0.00	13 of 68 - Sale ²⁵
	93.000	95.78	12/09/20	95.80	0.02 W	0.00	14 of 68 - Sale ²⁵
	15.000	15.45	12/09/20	15.46	0.01 W	0.00	15 of 68 - Sale ²⁵
	17.000	17.51	12/09/20	17.52	0.01 W	0.00	16 of 68 - Sale ²⁵
	18.000	18.54	12/09/20	18.55	0.01 W	0.00	17 of 68 - Sale ²⁵
	19.000	19.57	12/09/20	19.58	0.01 W	0.00	18 of 68 - Sale ²⁵
	20.000	20.60	12/09/20	20.61	0.01 W	0.00	19 of 68 - Sale ²⁵
	20.000	20.60	12/09/20	20.61	0.01 W	0.00	20 of 68 - Sale ²⁵
	20.000	20.60	12/09/20	20.61	0.01 W	0.00	21 of 68 - Sale ²⁵
	21.000	21.63	12/09/20	21.64	0.01 W	0.00	22 of 68 - Sale ²⁵
	21.000	21.63	12/09/20	21.64	0.01 W	0.00	23 of 68 - Sale ²⁵
	23.000	23.69	12/09/20	23.70	0.01 W	0.00	24 of 68 - Sale ²⁵
	24.000	24.72	12/09/20	24.73	0.01 W	0.00	25 of 68 - Sale ²⁵
	25.000	25.75	12/09/20	25.76	0.01 W	0.00	26 of 68 - Sale ²⁵
	25.000	25.75	12/09/20	25.76	0.01 W	0.00	27 of 68 - Sale ²⁵
	25.000	25.75	12/09/20	25.76	0.01 W	0.00	28 of 68 - Sale ²⁵
	26.000	26.78	12/09/20	26.79	0.01 W	0.00	29 of 68 - Sale ²⁵
	30.000	30.90	12/09/20	30.91	0.01 W	0.00	30 of 68 - Sale ²⁵
	30.000	30.90	12/09/20	30.91	0.01 W	0.00	31 of 68 - Sale ²⁵
	30.000	30.90	12/09/20	30.91	0.01 W	0.00	32 of 68 - Sale ²⁵
	33.000	33.99	12/09/20	34.00	0.01 W	0.00	33 of 68 - Sale ²⁵
	39.000	40.16	12/09/20	40.18	0.02 W	0.00	34 of 68 - Sale ²⁵
	40.000	41.19	12/09/20	41.21	0.02 W	0.00	35 of 68 - Sale ²⁵
	40.000	41.19	12/09/20	41.21	0.02 W	0.00	36 of 68 - Sale ²⁵
	43.000	44.28	12/09/20	44.30	0.02 W	0.00	37 of 68 - Sale ²⁵
	43.000	44.28	12/09/20	44.30	0.02 W	0.00	38 of 68 - Sale ²⁵
	48.000	49.43	12/09/20	49.45	0.02 W	0.00	39 of 68 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	50.000	51.49	12/09/20	51.52	0.03 W	0.00	40 of 68 - Sale ²⁵
	50.000	51.49	12/09/20	51.51	0.02 W	0.00	41 of 68 - Sale ²⁵
	50.000	51.49	12/09/20	51.51	0.02 W	0.00	42 of 68 - Sale ²⁵
	50.000	51.49	12/09/20	51.51	0.02 W	0.00	43 of 68 - Sale ²⁵
	50.000	51.49	12/09/20	51.52	0.03 W	0.00	44 of 68 - Sale ²⁵
	50.000	51.49	12/09/20	51.52	0.03 W	0.00	45 of 68 - Sale ²⁵
	52.000	53.55	12/09/20	53.59	0.04 W	0.00	46 of 68 - Sale ²⁵
	55.000	56.64	12/09/20	56.68	0.04 W	0.00	47 of 68 - Sale ²⁵
	60.000	61.79	12/09/20	61.83	0.04 W	0.00	48 of 68 - Sale ²⁵
	70.000	72.09	12/09/20	72.13	0.04 W	0.00	49 of 68 - Sale ²⁵
	71.000	73.12	12/09/20	73.16	0.04 W	0.00	50 of 68 - Sale ²⁵
	75.000	77.24	12/09/20	77.28	0.04 W	0.00	51 of 68 - Sale ²⁵
	83.000	85.48	12/09/20	85.52	0.04 W	0.00	52 of 68 - Sale ²⁵
	85.000	87.54	12/09/20	87.59	0.05 W	0.00	53 of 68 - Sale ²⁵
	86.000	88.57	12/09/20	88.62	0.05 W	0.00	54 of 68 - Sale ²⁵
	86.000	88.57	12/09/20	88.61	0.04 W	0.00	55 of 68 - Sale ²⁵
	86.000	88.57	12/09/20	88.62	0.05 W	0.00	56 of 68 - Sale ²⁵
	86.000	88.57	12/09/20	88.62	0.05 W	0.00	57 of 68 - Sale ²⁵
	88.000	90.63	12/09/20	90.67	0.04 W	0.00	58 of 68 - Sale ²⁵
	89.000	91.66	12/09/20	91.71	0.05 W	0.00	59 of 68 - Sale ²⁵
	100.000	102.99	12/09/20	103.04	0.05 W	0.00	60 of 68 - Sale ²⁵
	100.000	102.99	12/09/20	103.04	0.05 W	0.00	61 of 68 - Sale ²⁵
	137.000	141.09	12/09/20	141.17	0.08 W	0.00	62 of 68 - Sale ²⁵
	196.000	201.85	12/09/20	201.97	0.12 W	0.00	63 of 68 - Sale ²⁵
	279.000	287.33	12/09/20	287.48	0.15 W	0.00	64 of 68 - Sale ²⁵
	300.000	308.96	12/09/20	309.13	0.17 W	0.00	65 of 68 - Sale ²⁵
	300.000	308.96	12/09/20	309.13	0.17 W	0.00	66 of 68 - Sale ²⁵
	396.000	407.82	12/09/20	408.05	0.23 W	0.00	67 of 68 - Sale ²⁵
	2,080.000	2,142.10	12/09/20	2,142.71	0.61 W	0.00	68 of 68 - Sale ²⁵
12/09/20	11,695.000	12,044.25	Various	12,078.60	34.35 W	0.00	Total of 68 transactions
	5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	90.000	90.89	12/11/20	90.03	...	0.86	1 of 5 - Sale ²⁵
	112.000	113.10	12/11/20	112.00	...	1.10	2 of 5 - Sale ²⁵
	199.000	200.96	12/11/20	199.06	...	1.90	3 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	431.000	435.25	12/11/20	431.12	...	4.13	4 of 5 - Sale ²⁵
	500.000	504.93	12/11/20	500.15	...	4.78	5 of 5 - Sale ²⁵
12/11/20	1,332.000	1,345.13	Various	1,332.36	...	12.77	Total of 5 transactions
	86 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	1.000	1.13	12/15/20	1.10	...	0.03	1 of 86 - Sale ²⁵
	4.000	4.52	12/15/20	4.36	...	0.16	2 of 86 - Sale ²⁵
	9.000	10.17	12/15/20	9.82	...	0.35	3 of 86 - Sale ²⁵
	10.000	11.30	12/15/20	10.91	...	0.39	4 of 86 - Sale ²⁵
	10.000	11.30	12/15/20	10.90	...	0.40	5 of 86 - Sale ²⁵
	15.000	16.95	12/15/20	16.36	...	0.59	6 of 86 - Sale ²⁵
	17.000	19.21	12/15/20	18.54	...	0.67	7 of 86 - Sale ²⁵
	18.000	20.34	12/15/20	19.63	...	0.71	8 of 86 - Sale ²⁵
	19.000	21.47	12/15/20	20.72	...	0.75	9 of 86 - Sale ²⁵
	20.000	22.60	12/15/20	21.81	...	0.79	10 of 86 - Sale ²⁵
	20.000	22.60	12/15/20	21.81	...	0.79	11 of 86 - Sale ²⁵
	20.000	22.60	12/15/20	21.81	...	0.79	12 of 86 - Sale ²⁵
	21.000	23.73	12/15/20	22.90	...	0.83	13 of 86 - Sale ²⁵
	21.000	23.73	12/15/20	22.90	...	0.83	14 of 86 - Sale ²⁵
	24.000	27.12	12/15/20	26.17	...	0.95	15 of 86 - Sale ²⁵
	25.000	28.24	12/15/20	27.26	...	0.98	16 of 86 - Sale ²⁵
	25.000	28.25	12/15/20	27.26	...	0.99	17 of 86 - Sale ²⁵
	25.000	28.25	12/15/20	27.26	...	0.99	18 of 86 - Sale ²⁵
	26.000	29.38	12/15/20	28.35	...	1.03	19 of 86 - Sale ²⁵
	39.000	44.07	12/15/20	42.92	...	1.15	20 of 86 - Sale ²⁵
	30.000	33.89	12/15/20	32.71	...	1.18	21 of 86 - Sale ²⁵
	30.000	33.90	12/15/20	32.71	...	1.19	22 of 86 - Sale ²⁵
	30.000	33.90	12/15/20	32.71	...	1.19	23 of 86 - Sale ²⁵
	30.000	33.90	12/15/20	32.71	...	1.19	24 of 86 - Sale ²⁵
	33.000	37.28	12/15/20	35.98	...	1.30	25 of 86 - Sale ²⁵
	39.000	44.06	12/15/20	42.53	...	1.53	26 of 86 - Sale ²⁵
	40.000	45.19	12/15/20	43.62	...	1.57	27 of 86 - Sale ²⁵
	40.000	45.19	12/15/20	43.62	...	1.57	28 of 86 - Sale ²⁵
	40.000	45.19	12/15/20	43.62	...	1.57	29 of 86 - Sale ²⁵
	41.000	46.32	12/15/20	44.71	...	1.61	30 of 86 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	43.000	48.58	12/15/20	46.89	...	1.69	31 of 86 - Sale ²⁵
	43.000	48.58	12/15/20	46.89	...	1.69	32 of 86 - Sale ²⁵
	48.000	54.23	12/15/20	52.34	...	1.89	33 of 86 - Sale ²⁵
	50.000	56.49	12/15/20	54.53	...	1.96	34 of 86 - Sale ²⁵
	50.000	56.49	12/15/20	54.53	...	1.96	35 of 86 - Sale ²⁵
	50.000	56.49	12/15/20	54.53	...	1.96	36 of 86 - Sale ²⁵
	50.000	56.49	12/15/20	54.52	...	1.97	37 of 86 - Sale ²⁵
	50.000	56.49	12/15/20	54.52	...	1.97	38 of 86 - Sale ²⁵
	68.000	76.83	12/15/20	74.84	...	1.99	39 of 86 - Sale ²⁵
	52.000	58.75	12/15/20	56.72	...	2.03	40 of 86 - Sale ²⁵
	55.000	62.14	12/15/20	59.99	...	2.15	41 of 86 - Sale ²⁵
	60.000	67.79	12/15/20	65.44	...	2.35	42 of 86 - Sale ²⁵
	60.000	67.79	12/15/20	65.42	...	2.37	43 of 86 - Sale ²⁵
	83.000	93.78	12/15/20	91.34	...	2.44	44 of 86 - Sale ²⁵
	70.000	79.09	12/15/20	76.34	...	2.75	45 of 86 - Sale ²⁵
	71.000	80.22	12/15/20	77.43	...	2.79	46 of 86 - Sale ²⁵
	72.000	81.35	12/15/20	78.52	...	2.83	47 of 86 - Sale ²⁵
	75.000	84.74	12/15/20	81.79	...	2.95	48 of 86 - Sale ²⁵
	108.000	122.03	12/15/20	118.86	...	3.17	49 of 86 - Sale ²⁵
	81.000	91.52	12/15/20	88.31	...	3.21	50 of 86 - Sale ²⁵
	83.000	93.77	12/15/20	90.51	...	3.26	51 of 86 - Sale ²⁵
	85.000	96.04	12/15/20	92.70	...	3.34	52 of 86 - Sale ²⁵
	86.000	97.17	12/15/20	93.79	...	3.38	53 of 86 - Sale ²⁵
	86.000	97.17	12/15/20	93.79	...	3.38	54 of 86 - Sale ²⁵
	86.000	97.17	12/15/20	93.79	...	3.38	55 of 86 - Sale ²⁵
	86.000	97.17	12/15/20	93.78	...	3.39	56 of 86 - Sale ²⁵
	88.000	99.43	12/15/20	95.96	...	3.47	57 of 86 - Sale ²⁵
	89.000	100.56	12/15/20	97.06	...	3.50	58 of 86 - Sale ²⁵
	93.000	105.08	12/15/20	101.39	...	3.69	59 of 86 - Sale ²⁵
	96.000	108.47	12/15/20	104.70	...	3.77	60 of 86 - Sale ²⁵
	100.000	112.98	12/15/20	109.05	...	3.93	61 of 86 - Sale ²⁵
	100.000	112.99	12/15/20	109.06	...	3.93	62 of 86 - Sale ²⁵
	100.000	112.99	12/15/20	109.05	...	3.94	63 of 86 - Sale ²⁵
	100.000	112.99	12/15/20	109.03	...	3.96	64 of 86 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	100.000	112.99	12/15/20	109.02	...	3.97	65 of 86 - Sale ²⁵
	122.000	137.84	12/15/20	133.05	...	4.79	66 of 86 - Sale ²⁵
	137.000	154.79	12/15/20	149.41	...	5.38	67 of 86 - Sale ²⁵
	148.000	167.22	12/15/20	161.36	...	5.86	68 of 86 - Sale ²⁵
	149.000	168.35	12/15/20	162.45	...	5.90	69 of 86 - Sale ²⁵
	200.000	225.97	12/15/20	218.05	...	7.92	70 of 86 - Sale ²⁵
	200.000	225.97	12/15/20	218.05	...	7.92	71 of 86 - Sale ²⁵
	200.000	225.97	12/15/20	218.05	...	7.92	72 of 86 - Sale ²⁵
	200.000	225.97	12/15/20	218.05	...	7.92	73 of 86 - Sale ²⁵
	222.000	250.82	12/15/20	242.11	...	8.71	74 of 86 - Sale ²⁵
	228.000	257.61	12/15/20	248.65	...	8.96	75 of 86 - Sale ²⁵
	249.000	281.34	12/15/20	271.48	...	9.86	76 of 86 - Sale ²⁵
	252.000	284.72	12/15/20	274.76	...	9.96	77 of 86 - Sale ²⁵
	279.000	315.23	12/15/20	304.26	...	10.97	78 of 86 - Sale ²⁵
	286.000	323.13	12/15/20	311.83	...	11.30	79 of 86 - Sale ²⁵
	300.000	338.95	12/15/20	327.17	...	11.78	80 of 86 - Sale ²⁵
	300.000	338.96	12/15/20	327.09	...	11.87	81 of 86 - Sale ²⁵
	349.000	394.32	12/15/20	380.51	...	13.81	82 of 86 - Sale ²⁵
	540.000	610.12	12/15/20	588.76	...	21.36	83 of 86 - Sale ²⁵
	596.000	673.39	12/15/20	649.81	...	23.58	84 of 86 - Sale ²⁵
	600.000	677.91	12/15/20	654.17	...	23.74	85 of 86 - Sale ²⁵
	812.000	917.44	12/15/20	885.32	...	32.12	86 of 86 - Sale ²⁵
12/16/20	9,708.000	10,968.64	Various	10,588.53	...	380.11	Total of 86 transactions
	3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	40.000	45.20	12/15/20	45.62	0.41 W	-0.01	1 of 3 - Sale ²⁵
	109.000	123.16	12/15/20	124.31	1.15 W	0.00	2 of 3 - Sale ²⁵
	151.000	170.61	12/15/20	172.20	1.59 W	0.00	3 of 3 - Sale ²⁵
12/16/20	300.000	338.97	Various	342.13	3.15 W	-0.01	Total of 3 transactions
	Security total:	134,298.46		133,747.80	56.31 W	606.97	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
	39 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	0.257	0.22	10/15/20	0.24	0.02 W	0.00	1 of 39 - Sale ²⁵
	100.000	85.81	10/15/20	94.41	8.60 W	0.00	2 of 39 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	100.000	85.81	10/15/20	94.41	8.60 W	0.00	3 of 39 - Sale ²⁵
	100.000	85.81	10/15/20	94.41	8.60 W	0.00	4 of 39 - Sale ²⁵
	1,000.000	858.06	10/15/20	944.10	86.04 W	0.00	5 of 39 - Sale ²⁵
	300.000	257.42	10/15/20	283.23	25.81 W	0.00	6 of 39 - Sale ²⁵
	200.000	171.62	10/15/20	188.82	17.20 W	0.00	7 of 39 - Sale ²⁵
	2,631.743	2,258.20	10/15/20	2,484.63	226.43 W	0.00	8 of 39 - Sale ²⁵
	0.257	0.22	10/15/20	0.26	0.04 W	0.00	9 of 39 - Sale ²⁵
	99.743	85.59	10/15/20	102.75	17.16 W	0.00	10 of 39 - Sale ²⁵
	0.257	0.22	10/15/20	0.26	0.04 W	0.00	11 of 39 - Sale ²⁵
	100.000	85.81	10/15/20	103.02	17.21 W	0.00	12 of 39 - Sale ²⁵
	100.000	85.81	10/15/20	103.02	17.21 W	0.00	13 of 39 - Sale ²⁵
	499.743	428.80	10/15/20	514.86	86.06 W	0.00	14 of 39 - Sale ²⁵
	179.743	154.23	10/15/20	185.23	31.00 W	0.00	15 of 39 - Sale ²⁵
	300.000	257.42	10/15/20	309.16	51.74 W	0.00	16 of 39 - Sale ²⁵
	500.257	429.25	10/15/20	515.53	86.28 W	0.00	17 of 39 - Sale ²⁵
	20.257	17.38	10/15/20	20.92	3.54 W	0.00	18 of 39 - Sale ²⁵
	168.000	144.15	10/15/20	159.08	14.93 W	0.00	19 of 39 - Sale ²⁵
	0.257	0.22	10/15/20	0.28	0.06 W	0.00	20 of 39 - Sale ²⁵
	60.000	51.53	10/15/20	67.14	15.61 W	0.00	21 of 39 - Sale ²⁵
	2,631.743	2,260.30	10/15/20	2,718.42	458.12 W	0.00	22 of 39 - Sale ²⁵
	0.257	0.22	10/20/20	0.27	0.05 W	0.00	23 of 39 - Sale ²⁵
	39.743	34.13	10/20/20	42.13	8.00 W	0.00	24 of 39 - Sale ²⁵
	60.000	51.53	10/20/20	63.63	12.10 W	0.00	25 of 39 - Sale ²⁵
	100.000	85.89	10/20/20	106.01	20.12 W	0.00	26 of 39 - Sale ²⁵
	100.000	85.89	10/20/20	106.01	20.12 W	0.00	27 of 39 - Sale ²⁵
	499.743	429.21	10/20/20	529.83	100.62 W	0.00	28 of 39 - Sale ²⁵
	500.257	429.65	10/20/20	530.51	100.86 W	0.00	29 of 39 - Sale ²⁵
	100.000	85.89	10/20/20	106.05	20.16 W	0.00	30 of 39 - Sale ²⁵
	20.257	17.40	10/20/20	21.53	4.13 W	0.00	31 of 39 - Sale ²⁵
	140.000	120.24	10/20/20	148.46	28.22 W	0.00	32 of 39 - Sale ²⁵
	179.743	154.37	10/20/20	190.61	36.24 W	0.00	33 of 39 - Sale ²⁵
	168.000	144.29	10/20/20	164.11	19.82 W	0.00	34 of 39 - Sale ²⁵
	1,100.000	944.75	10/20/20	976.80	32.05 W	0.00	35 of 39 - Sale ²⁵
	0.257	0.22	10/20/20	0.29	0.07 W	0.00	36 of 39 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	60.000	51.53	10/20/20	68.89	17.36 W	0.00	37 of 39 - Sale ²⁵
	1,861.743	1,598.98	10/20/20	1,977.31	378.33 W	0.00	38 of 39 - Sale ²⁵
	700.000	601.20	10/20/20	743.45	142.25 W	0.00	39 of 39 - Sale ²⁵
10/21/20	14,722.257	12,639.27	Various	14,760.07	2,120.80 W	0.00	Total of 39 transactions
	28 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	0.257	0.30	11/13/20	0.29	...	0.01	1 of 28 - Sale ²⁵
	0.257	0.30	11/13/20	0.27	...	0.03	2 of 28 - Sale ²⁵
	1.000	1.16	11/13/20	1.01	...	0.15	3 of 28 - Sale ²⁵
	60.000	69.59	11/13/20	68.97	...	0.62	4 of 28 - Sale ²⁵
	6.000	6.96	11/13/20	6.23	...	0.73	5 of 28 - Sale ²⁵
	25.000	29.00	11/13/20	28.20	...	0.80	6 of 28 - Sale ²⁵
	20.257	23.50	11/13/20	21.55	...	1.95	7 of 28 - Sale ²⁵
	68.000	78.87	11/13/20	76.70	...	2.17	8 of 28 - Sale ²⁵
	75.000	86.99	11/13/20	84.60	...	2.39	9 of 28 - Sale ²⁵
	25.000	29.00	11/13/20	25.25	...	3.75	10 of 28 - Sale ²⁵
	39.743	46.10	11/13/20	42.18	...	3.92	11 of 28 - Sale ²⁵
	60.000	69.59	11/13/20	63.71	...	5.88	12 of 28 - Sale ²⁵
	70.000	81.19	11/13/20	72.40	...	8.79	13 of 28 - Sale ²⁵
	100.000	115.99	11/13/20	106.18	...	9.81	14 of 28 - Sale ²⁵
	100.000	115.99	11/13/20	106.13	...	9.86	15 of 28 - Sale ²⁵
	100.000	115.99	11/13/20	106.13	...	9.86	16 of 28 - Sale ²⁵
	140.000	162.38	11/13/20	148.64	...	13.74	17 of 28 - Sale ²⁵
	162.000	187.90	11/13/20	172.26	...	15.64	18 of 28 - Sale ²⁵
	179.743	208.47	11/13/20	190.85	...	17.62	19 of 28 - Sale ²⁵
	500.257	580.23	11/13/20	531.16	...	49.07	20 of 28 - Sale ²⁵
	499.743	579.63	11/13/20	530.47	...	49.16	21 of 28 - Sale ²⁵
	400.000	463.95	11/13/20	404.00	...	59.95	22 of 28 - Sale ²⁵
	700.000	811.90	11/13/20	744.36	...	67.54	23 of 28 - Sale ²⁵
	1,094.000	1,268.88	11/13/20	1,136.82	...	132.06	24 of 28 - Sale ²⁵
	1,699.743	1,971.45	11/13/20	1,807.44	...	164.01	25 of 28 - Sale ²⁵
	3,468.000	4,022.38	11/13/20	3,502.68	...	519.70	26 of 28 - Sale ²⁵
	3,906.000	4,530.39	11/13/20	3,945.06	...	585.33	27 of 28 - Sale ²⁵
	9,068.000	10,517.54	11/13/20	9,158.68	...	1,358.86	28 of 28 - Sale ²⁵
11/16/20	22,568.000	26,175.62	Various	23,082.22	...	3,093.40	Total of 28 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
<i>17 transactions for 11/16/20. Total proceeds and cost reported to the IRS.</i>							
	0.257	0.30	11/13/20	0.33	0.03 W	0.00	1 of 17 - Sale ²⁵
	60.000	69.59	11/13/20	77.96	8.37 W	0.00	2 of 17 - Sale ²⁵
	0.257	0.30	11/13/20	0.31	0.01 W	0.00	3 of 17 - Sale ²⁵
	20.257	23.50	11/13/20	24.59	1.09 W	0.00	4 of 17 - Sale ²⁵
	39.743	46.10	11/13/20	48.14	2.04 W	0.00	5 of 17 - Sale ²⁵
	60.000	69.59	11/13/20	72.70	3.11 W	0.00	6 of 17 - Sale ²⁵
	100.000	115.99	11/13/20	121.12	5.13 W	0.00	7 of 17 - Sale ²⁵
	100.000	115.99	11/13/20	121.12	5.13 W	0.00	8 of 17 - Sale ²⁵
	100.000	115.98	11/13/20	121.16	5.18 W	0.00	9 of 17 - Sale ²⁵
	140.000	162.38	11/13/20	169.62	7.24 W	0.00	10 of 17 - Sale ²⁵
	179.743	208.47	11/13/20	217.78	9.31 W	0.00	11 of 17 - Sale ²⁵
	499.743	579.63	11/13/20	605.36	25.73 W	0.00	12 of 17 - Sale ²⁵
	500.257	580.22	11/13/20	606.12	25.90 W	0.00	13 of 17 - Sale ²⁵
	1,699.743	1,971.45	11/13/20	2,062.15	90.70 W	0.00	14 of 17 - Sale ²⁵
	700.000	811.89	11/13/20	849.25	37.36 W	0.00	15 of 17 - Sale ²⁵
	162.000	187.90	11/13/20	196.54	8.64 W	0.00	16 of 17 - Sale ²⁵
	70.000	81.19	11/13/20	82.89	1.70 W	0.00	17 of 17 - Sale ²⁵
11/16/20	4,432.000	5,140.47	Various	5,377.14	236.67 W	0.00	Total of 17 transactions
<i>14 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.16	11/18/20	1.15	...	0.01	1 of 14 - Sale ²⁵
	1.000	1.16	11/18/20	1.15	...	0.01	2 of 14 - Sale ²⁵
	5.000	5.80	11/18/20	5.75	...	0.05	3 of 14 - Sale ²⁵
	5.000	5.80	11/18/20	5.75	...	0.05	4 of 14 - Sale ²⁵
	20.000	23.20	11/18/20	23.00	...	0.20	5 of 14 - Sale ²⁵
	20.000	23.20	11/18/20	23.00	...	0.20	6 of 14 - Sale ²⁵
	20.000	23.20	11/18/20	23.00	...	0.20	7 of 14 - Sale ²⁵
	25.000	29.00	11/18/20	28.75	...	0.25	8 of 14 - Sale ²⁵
	50.000	58.00	11/18/20	57.50	...	0.50	9 of 14 - Sale ²⁵
	52.000	60.31	11/18/20	59.80	...	0.51	10 of 14 - Sale ²⁵
	270.000	313.17	11/18/20	310.50	...	2.67	11 of 14 - Sale ²⁵
	3,000.000	3,479.56	11/18/20	3,450.00	...	29.56	12 of 14 - Sale ²⁵
	4,124.000	4,783.24	11/18/20	4,742.60	...	40.64	13 of 14 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
11/18/20	7,896.000	9,158.22	11/18/20	9,080.40	...	77.82	14 of 14 - Sale ²⁵
	15,489.000	17,965.02	Various	17,812.35	...	152.67	Total of 14 transactions
	5 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	75.000	113.99	11/20/20	97.50	...	16.49	1 of 5 - Sale ²⁵
	130.000	197.58	11/20/20	169.00	...	28.58	2 of 5 - Sale ²⁵
	500.000	759.92	11/20/20	650.00	...	109.92	3 of 5 - Sale ²⁵
	3,386.000	5,146.20	11/20/20	4,401.80	...	744.40	4 of 5 - Sale ²⁵
	5,909.000	8,980.78	11/20/20	7,681.70	...	1,299.08	5 of 5 - Sale ²⁵
11/20/20	10,000.000	15,198.47	Various	13,000.00	...	2,198.47	Total of 5 transactions
	86 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	1.000	2.63	11/23/20	2.49	...	0.14	1 of 86 - Sale ²⁵
	1.000	2.63	11/23/20	2.49	...	0.14	2 of 86 - Sale ²⁵
	1.000	2.63	11/23/20	2.49	...	0.14	3 of 86 - Sale ²⁵
	9.000	22.68	11/23/20	22.41	...	0.27	4 of 86 - Sale ²⁵
	2.000	5.26	11/23/20	4.98	...	0.28	5 of 86 - Sale ²⁵
	4.000	10.52	11/23/20	9.96	...	0.56	6 of 86 - Sale ²⁵
	8.000	21.04	11/23/20	19.92	...	1.12	7 of 86 - Sale ²⁵
	11.000	28.93	11/23/20	27.39	...	1.54	8 of 86 - Sale ²⁵
	15.000	39.45	11/23/20	37.35	...	2.10	9 of 86 - Sale ²⁵
	78.000	196.55	11/23/20	194.22	...	2.33	10 of 86 - Sale ²⁵
	100.000	251.98	11/23/20	249.50	...	2.48	11 of 86 - Sale ²⁵
	19.000	49.97	11/23/20	47.31	...	2.66	12 of 86 - Sale ²⁵
	113.000	284.74	11/23/20	281.37	...	3.37	13 of 86 - Sale ²⁵
	113.000	284.74	11/23/20	281.37	...	3.37	14 of 86 - Sale ²⁵
	122.000	307.42	11/23/20	303.78	...	3.64	15 of 86 - Sale ²⁵
	30.000	78.90	11/23/20	74.70	...	4.20	16 of 86 - Sale ²⁵
	200.000	503.96	11/23/20	499.00	...	4.96	17 of 86 - Sale ²⁵
	200.000	503.96	11/23/20	499.00	...	4.96	18 of 86 - Sale ²⁵
	200.000	503.96	11/23/20	499.00	...	4.96	19 of 86 - Sale ²⁵
	200.000	503.97	11/23/20	499.00	...	4.97	20 of 86 - Sale ²⁵
	200.000	503.97	11/23/20	499.00	...	4.97	21 of 86 - Sale ²⁵
	200.000	503.97	11/23/20	499.00	...	4.97	22 of 86 - Sale ²⁵
	40.000	105.20	11/23/20	99.60	...	5.60	23 of 86 - Sale ²⁵
	200.000	503.96	11/23/20	498.00	...	5.96	24 of 86 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
200.000		503.96	11/23/20	498.00	...	5.96	25 of 86 - Sale ²⁵
200.000		503.96	11/23/20	498.00	...	5.96	26 of 86 - Sale ²⁵
200.000		503.96	11/23/20	498.00	...	5.96	27 of 86 - Sale ²⁵
200.000		503.96	11/23/20	498.00	...	5.96	28 of 86 - Sale ²⁵
200.000		503.96	11/23/20	498.00	...	5.96	29 of 86 - Sale ²⁵
200.000		503.96	11/23/20	498.00	...	5.96	30 of 86 - Sale ²⁵
200.000		503.96	11/23/20	498.00	...	5.96	31 of 86 - Sale ²⁵
200.000		503.96	11/23/20	498.00	...	5.96	32 of 86 - Sale ²⁵
200.000		503.96	11/23/20	498.00	...	5.96	33 of 86 - Sale ²⁵
200.000		503.96	11/23/20	498.00	...	5.96	34 of 86 - Sale ²⁵
200.000		503.97	11/23/20	498.00	...	5.97	35 of 86 - Sale ²⁵
200.000		503.97	11/23/20	498.00	...	5.97	36 of 86 - Sale ²⁵
200.000		503.97	11/23/20	498.00	...	5.97	37 of 86 - Sale ²⁵
200.000		503.97	11/23/20	498.00	...	5.97	38 of 86 - Sale ²⁵
200.000		503.97	11/23/20	498.00	...	5.97	39 of 86 - Sale ²⁵
200.000		503.97	11/23/20	498.00	...	5.97	40 of 86 - Sale ²⁵
200.000		503.97	11/23/20	498.00	...	5.97	41 of 86 - Sale ²⁵
200.000		503.97	11/23/20	498.00	...	5.97	42 of 86 - Sale ²⁵
46.000		120.97	11/23/20	114.54	...	6.43	43 of 86 - Sale ²⁵
50.000		131.49	11/23/20	124.50	...	6.99	44 of 86 - Sale ²⁵
50.000		131.50	11/23/20	124.50	...	7.00	45 of 86 - Sale ²⁵
300.000		755.95	11/23/20	748.50	...	7.45	46 of 86 - Sale ²⁵
60.000		157.79	11/23/20	149.40	...	8.39	47 of 86 - Sale ²⁵
300.000		755.95	11/23/20	747.00	...	8.95	48 of 86 - Sale ²⁵
300.000		755.95	11/23/20	747.00	...	8.95	49 of 86 - Sale ²⁵
300.000		755.95	11/23/20	745.50	...	10.45	50 of 86 - Sale ²⁵
81.000		213.02	11/23/20	201.69	...	11.33	51 of 86 - Sale ²⁵
387.000		975.17	11/23/20	963.63	...	11.54	52 of 86 - Sale ²⁵
400.000		1,007.93	11/23/20	996.00	...	11.93	53 of 86 - Sale ²⁵
400.000		1,007.93	11/23/20	996.00	...	11.93	54 of 86 - Sale ²⁵
400.000		1,007.93	11/23/20	996.00	...	11.93	55 of 86 - Sale ²⁵
400.000		1,007.93	11/23/20	996.00	...	11.93	56 of 86 - Sale ²⁵
400.000		1,007.93	11/23/20	996.00	...	11.93	57 of 86 - Sale ²⁵
100.000		262.98	11/23/20	249.00	...	13.98	58 of 86 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	100.000	262.98	11/23/20	249.00	...	13.98	59 of 86 - Sale ²⁵
	100.000	262.98	11/23/20	249.00	...	13.98	60 of 86 - Sale ²⁵
	100.000	262.99	11/23/20	249.00	...	13.99	61 of 86 - Sale ²⁵
	600.000	1,511.89	11/23/20	1,497.00	...	14.89	62 of 86 - Sale ²⁵
	600.000	1,511.90	11/23/20	1,497.00	...	14.90	63 of 86 - Sale ²⁵
	500.000	1,259.91	11/23/20	1,245.00	...	14.91	64 of 86 - Sale ²⁵
	500.000	1,259.91	11/23/20	1,245.00	...	14.91	65 of 86 - Sale ²⁵
	500.000	1,259.91	11/23/20	1,245.00	...	14.91	66 of 86 - Sale ²⁵
	500.000	1,259.91	11/23/20	1,245.00	...	14.91	67 of 86 - Sale ²⁵
	858.000	2,162.01	11/23/20	2,144.66	...	17.35	68 of 86 - Sale ²⁵
	168.000	441.82	11/23/20	418.32	...	23.50	69 of 86 - Sale ²⁵
	878.000	2,212.41	11/23/20	2,186.22	...	26.19	70 of 86 - Sale ²⁵
	189.000	497.04	11/23/20	470.61	...	26.43	71 of 86 - Sale ²⁵
	200.000	525.96	11/23/20	498.00	...	27.96	72 of 86 - Sale ²⁵
	200.000	525.96	11/23/20	498.00	...	27.96	73 of 86 - Sale ²⁵
	200.000	525.96	11/23/20	498.00	...	27.96	74 of 86 - Sale ²⁵
	250.000	657.45	11/23/20	622.50	...	34.95	75 of 86 - Sale ²⁵
	329.000	865.21	11/23/20	819.21	...	46.00	76 of 86 - Sale ²⁵
	340.000	894.13	11/23/20	846.60	...	47.53	77 of 86 - Sale ²⁵
	400.000	1,051.93	11/23/20	996.00	...	55.93	78 of 86 - Sale ²⁵
	450.000	1,183.42	11/23/20	1,120.50	...	62.92	79 of 86 - Sale ²⁵
	500.000	1,314.91	11/23/20	1,245.00	...	69.91	80 of 86 - Sale ²⁵
	500.000	1,314.91	11/23/20	1,245.00	...	69.91	81 of 86 - Sale ²⁵
	500.000	1,314.92	11/23/20	1,245.00	...	69.92	82 of 86 - Sale ²⁵
	700.000	1,840.87	11/23/20	1,743.00	...	97.87	83 of 86 - Sale ²⁵
	1,163.000	3,058.48	11/23/20	2,895.87	...	162.61	84 of 86 - Sale ²⁵
	1,400.000	3,681.75	11/23/20	3,486.00	...	195.75	85 of 86 - Sale ²⁵
	1,700.000	4,470.70	11/23/20	4,233.00	...	237.70	86 of 86 - Sale ²⁵
11/23/20	24,066.000	61,742.97	Various	59,945.08	...	1,797.89	Total of 86 transactions
	13 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	200.000	759.96	11/23/20	584.00	...	175.96	1 of 13 - Sale ²⁵
	200.000	759.96	11/23/20	584.00	...	175.96	2 of 13 - Sale ²⁵
	200.000	760.96	11/23/20	584.00	...	176.96	3 of 13 - Sale ²⁵
	950.000	3,609.81	11/23/20	2,774.00	...	835.81	4 of 13 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	2,119.000	8,051.77	11/23/20	6,187.48	...	1,864.29	5 of 13 - Sale ²⁵
	2,631.000	9,997.26	11/23/20	7,682.52	...	2,314.74	6 of 13 - Sale ²⁵
	7.000	25.34	11/24/20	25.28	...	0.06	7 of 13 - Sale ²⁵
	45.000	162.89	11/24/20	162.50	...	0.39	8 of 13 - Sale ²⁵
	114.000	412.66	11/24/20	411.68	...	0.98	9 of 13 - Sale ²⁵
	639.000	2,159.70	11/24/20	2,134.26	...	25.44	10 of 13 - Sale ²⁵
	1,365.000	4,886.42	11/24/20	4,777.50	...	108.92	11 of 13 - Sale ²⁵
	3,759.000	13,606.83	11/24/20	13,494.81	...	112.02	12 of 13 - Sale ²⁵
	5,520.000	18,656.52	11/24/20	18,436.80	...	219.72	13 of 13 - Sale ²⁵
11/24/20	17,749.000	63,850.08	Various	57,838.83	...	6,011.25	Total of 13 transactions
	451 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	5,841.000	19,858.26	11/24/20	21,494.88	1,636.62 W	0.00	1 of 451 - Sale ²⁵
	5,841.000	19,741.44	11/24/20	21,145.56	1,404.12 W	0.00	2 of 451 - Sale ²⁵
	45.000	161.10	11/24/20	168.32	7.22 W	0.00	3 of 451 - Sale ²⁵
	155.000	554.86	11/24/20	579.76	24.90 W	0.00	4 of 451 - Sale ²⁵
	55.000	196.89	11/24/20	205.72	8.83 W	0.00	5 of 451 - Sale ²⁵
	80.000	286.39	11/24/20	299.23	12.84 W	0.00	6 of 451 - Sale ²⁵
	20.000	71.60	11/24/20	74.81	3.21 W	0.00	7 of 451 - Sale ²⁵
	92.000	329.35	11/24/20	344.12	14.77 W	0.00	8 of 451 - Sale ²⁵
	53.000	189.73	11/24/20	198.24	8.51 W	0.00	9 of 451 - Sale ²⁵
	487.000	1,743.36	11/24/20	1,821.57	78.21 W	0.00	10 of 451 - Sale ²⁵
	1,313.000	4,700.28	11/24/20	4,911.13	210.85 W	0.00	11 of 451 - Sale ²⁵
	100.000	357.99	11/24/20	374.04	16.05 W	0.00	12 of 451 - Sale ²⁵
	400.000	1,431.91	11/24/20	1,496.16	64.25 W	0.00	13 of 451 - Sale ²⁵
	100.000	357.99	11/24/20	374.04	16.05 W	0.00	14 of 451 - Sale ²⁵
	100.000	357.99	11/24/20	374.04	16.05 W	0.00	15 of 451 - Sale ²⁵
	100.000	357.99	11/24/20	374.04	16.05 W	0.00	16 of 451 - Sale ²⁵
	400.000	1,431.91	11/24/20	1,496.15	64.24 W	0.00	17 of 451 - Sale ²⁵
	200.000	715.96	11/24/20	748.08	32.12 W	0.00	18 of 451 - Sale ²⁵
	100.000	357.99	11/24/20	374.04	16.05 W	0.00	19 of 451 - Sale ²⁵
	100.000	357.99	11/24/20	374.04	16.05 W	0.00	20 of 451 - Sale ²⁵
	87.000	311.44	11/24/20	325.41	13.97 W	0.00	21 of 451 - Sale ²⁵
	213.000	762.49	11/24/20	796.70	34.21 W	0.00	22 of 451 - Sale ²⁵
	600.000	2,147.88	11/24/20	2,244.23	96.35 W	0.00	23 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
20.000		71.60	11/24/20	73.21	1.61 W	0.00	24 of 451 - Sale ²⁵
23.000		82.34	11/24/20	84.19	1.85 W	0.00	25 of 451 - Sale ²⁵
45.000		161.09	11/24/20	164.72	3.63 W	0.00	26 of 451 - Sale ²⁵
53.000		189.73	11/24/20	194.01	4.28 W	0.00	27 of 451 - Sale ²⁵
55.000		196.89	11/24/20	201.33	4.44 W	0.00	28 of 451 - Sale ²⁵
80.000		286.38	11/24/20	292.84	6.46 W	0.00	29 of 451 - Sale ²⁵
92.000		329.34	11/24/20	336.77	7.43 W	0.00	30 of 451 - Sale ²⁵
155.000		554.87	11/24/20	567.40	12.53 W	0.00	31 of 451 - Sale ²⁵
1,041.000		3,726.57	11/24/20	3,893.75	167.18 W	0.00	32 of 451 - Sale ²⁵
87.000		311.44	11/24/20	318.47	7.03 W	0.00	33 of 451 - Sale ²⁵
100.000		357.98	11/24/20	366.05	8.07 W	0.00	34 of 451 - Sale ²⁵
100.000		357.98	11/24/20	366.05	8.07 W	0.00	35 of 451 - Sale ²⁵
100.000		357.98	11/24/20	366.05	8.07 W	0.00	36 of 451 - Sale ²⁵
100.000		357.98	11/24/20	366.05	8.07 W	0.00	37 of 451 - Sale ²⁵
100.000		357.98	11/24/20	366.05	8.07 W	0.00	38 of 451 - Sale ²⁵
100.000		357.98	11/24/20	366.05	8.07 W	0.00	39 of 451 - Sale ²⁵
200.000		715.96	11/24/20	732.12	16.16 W	0.00	40 of 451 - Sale ²⁵
213.000		762.50	11/24/20	779.71	17.21 W	0.00	41 of 451 - Sale ²⁵
400.000		1,431.92	11/24/20	1,464.25	32.33 W	0.00	42 of 451 - Sale ²⁵
400.000		1,431.92	11/24/20	1,464.24	32.32 W	0.00	43 of 451 - Sale ²⁵
464.000		1,661.03	11/24/20	1,698.52	37.49 W	0.00	44 of 451 - Sale ²⁵
600.000		2,147.88	11/24/20	2,196.35	48.47 W	0.00	45 of 451 - Sale ²⁵
1,313.000		4,700.28	11/24/20	4,806.35	106.07 W	0.00	46 of 451 - Sale ²⁵
45.000		163.34	11/24/20	165.63	2.29 W	0.00	47 of 451 - Sale ²⁵
114.000		413.80	11/24/20	419.62	5.82 W	0.00	48 of 451 - Sale ²⁵
1,041.000		3,778.62	11/24/20	3,914.78	136.16 W	0.00	49 of 451 - Sale ²⁵
20.000		72.60	11/24/20	73.61	1.01 W	0.00	50 of 451 - Sale ²⁵
23.000		83.49	11/24/20	84.65	1.16 W	0.00	51 of 451 - Sale ²⁵
53.000		192.38	11/24/20	195.08	2.70 W	0.00	52 of 451 - Sale ²⁵
55.000		199.64	11/24/20	202.44	2.80 W	0.00	53 of 451 - Sale ²⁵
80.000		290.38	11/24/20	294.46	4.08 W	0.00	54 of 451 - Sale ²⁵
92.000		333.94	11/24/20	338.63	4.69 W	0.00	55 of 451 - Sale ²⁵
41.000		148.82	11/24/20	150.91	2.09 W	0.00	56 of 451 - Sale ²⁵
464.000		1,684.22	11/24/20	1,707.89	23.67 W	0.00	57 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
72.000		261.34	11/24/20	265.02	3.68 W	0.00	58 of 451 - Sale ²⁵
300.000		1,088.93	11/24/20	1,104.23	15.30 W	0.00	59 of 451 - Sale ²⁵
100.000		362.98	11/24/20	368.07	5.09 W	0.00	60 of 451 - Sale ²⁵
159.000		577.14	11/24/20	585.25	8.11 W	0.00	61 of 451 - Sale ²⁵
941.000		3,415.64	11/24/20	3,463.62	47.98 W	0.00	62 of 451 - Sale ²⁵
100.000		362.98	11/24/20	368.07	5.09 W	0.00	63 of 451 - Sale ²⁵
100.000		362.98	11/24/20	368.07	5.09 W	0.00	64 of 451 - Sale ²⁵
100.000		362.98	11/24/20	368.07	5.09 W	0.00	65 of 451 - Sale ²⁵
107.000		388.39	11/24/20	393.85	5.46 W	0.00	66 of 451 - Sale ²⁵
241.000		874.78	11/24/20	887.08	12.30 W	0.00	67 of 451 - Sale ²⁵
400.000		1,451.92	11/24/20	1,472.32	20.40 W	0.00	68 of 451 - Sale ²⁵
93.000		336.65	11/24/20	341.38	4.73 W	0.00	69 of 451 - Sale ²⁵
7.000		25.34	11/24/20	25.69	0.35 W	0.00	70 of 451 - Sale ²⁵
87.000		314.92	11/24/20	319.36	4.44 W	0.00	71 of 451 - Sale ²⁵
93.000		336.64	11/24/20	341.38	4.74 W	0.00	72 of 451 - Sale ²⁵
100.000		361.98	11/24/20	367.07	5.09 W	0.00	73 of 451 - Sale ²⁵
213.000		771.01	11/24/20	781.88	10.87 W	0.00	74 of 451 - Sale ²⁵
307.000		1,111.28	11/24/20	1,126.93	15.65 W	0.00	75 of 451 - Sale ²⁵
100.000		361.99	11/24/20	367.08	5.09 W	0.00	76 of 451 - Sale ²⁵
7.000		25.34	11/24/20	25.49	0.15 W	0.00	77 of 451 - Sale ²⁵
45.000		162.89	11/24/20	163.84	0.95 W	0.00	78 of 451 - Sale ²⁵
114.000		412.66	11/24/20	415.08	2.42 W	0.00	79 of 451 - Sale ²⁵
193.000		698.62	11/24/20	708.46	9.84 W	0.00	80 of 451 - Sale ²⁵
1,041.000		3,768.20	11/24/20	3,873.35	105.15 W	0.00	81 of 451 - Sale ²⁵
20.000		72.40	11/24/20	72.81	0.41 W	0.00	82 of 451 - Sale ²⁵
23.000		83.26	11/24/20	83.73	0.47 W	0.00	83 of 451 - Sale ²⁵
34.000		123.07	11/24/20	123.79	0.72 W	0.00	84 of 451 - Sale ²⁵
53.000		191.85	11/24/20	192.97	1.12 W	0.00	85 of 451 - Sale ²⁵
55.000		199.09	11/24/20	200.25	1.16 W	0.00	86 of 451 - Sale ²⁵
72.000		260.63	11/24/20	262.16	1.53 W	0.00	87 of 451 - Sale ²⁵
80.000		289.58	11/24/20	291.28	1.70 W	0.00	88 of 451 - Sale ²⁵
92.000		333.02	11/24/20	334.97	1.95 W	0.00	89 of 451 - Sale ²⁵
300.000		1,085.94	11/24/20	1,092.30	6.36 W	0.00	90 of 451 - Sale ²⁵
464.000		1,679.59	11/24/20	1,689.43	9.84 W	0.00	91 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
671.000		2,428.89	11/24/20	2,443.10	14.21 W	0.00	92 of 451 - Sale ²⁵
7.000		25.34	11/24/20	25.49	0.15 W	0.00	93 of 451 - Sale ²⁵
100.000		361.98	11/24/20	364.09	2.11 W	0.00	94 of 451 - Sale ²⁵
159.000		575.55	11/24/20	578.92	3.37 W	0.00	95 of 451 - Sale ²⁵
241.000		872.37	11/24/20	877.49	5.12 W	0.00	96 of 451 - Sale ²⁵
270.000		977.35	11/24/20	983.07	5.72 W	0.00	97 of 451 - Sale ²⁵
25.000		90.49	11/24/20	91.02	0.53 W	0.00	98 of 451 - Sale ²⁵
13.000		47.06	11/24/20	47.33	0.27 W	0.00	99 of 451 - Sale ²⁵
45.000		162.89	11/24/20	163.84	0.95 W	0.00	100 of 451 - Sale ²⁵
55.000		199.09	11/24/20	200.25	1.16 W	0.00	101 of 451 - Sale ²⁵
100.000		361.98	11/24/20	364.09	2.11 W	0.00	102 of 451 - Sale ²⁵
24.000		86.88	11/24/20	87.38	0.50 W	0.00	103 of 451 - Sale ²⁵
55.000		199.09	11/24/20	200.25	1.16 W	0.00	104 of 451 - Sale ²⁵
7.000		25.34	11/24/20	25.48	0.14 W	0.00	105 of 451 - Sale ²⁵
87.000		314.92	11/24/20	316.77	1.85 W	0.00	106 of 451 - Sale ²⁵
93.000		336.64	11/24/20	338.60	1.96 W	0.00	107 of 451 - Sale ²⁵
93.000		336.64	11/24/20	338.61	1.97 W	0.00	108 of 451 - Sale ²⁵
100.000		361.98	11/24/20	364.09	2.11 W	0.00	109 of 451 - Sale ²⁵
107.000		387.32	11/24/20	389.59	2.27 W	0.00	110 of 451 - Sale ²⁵
137.000		495.91	11/24/20	498.82	2.91 W	0.00	111 of 451 - Sale ²⁵
376.000		1,361.04	11/24/20	1,369.02	7.98 W	0.00	112 of 451 - Sale ²⁵
4.000		14.48	11/24/20	14.56	0.08 W	0.00	113 of 451 - Sale ²⁵
76.000		275.10	11/24/20	276.72	1.62 W	0.00	114 of 451 - Sale ²⁵
100.000		361.98	11/24/20	364.09	2.11 W	0.00	115 of 451 - Sale ²⁵
193.000		698.62	11/24/20	702.71	4.09 W	0.00	116 of 451 - Sale ²⁵
303.000		1,096.80	11/24/20	1,103.22	6.42 W	0.00	117 of 451 - Sale ²⁵
404.000		1,462.40	11/24/20	1,491.17	28.77 W	0.00	118 of 451 - Sale ²⁵
637.000		2,305.81	11/24/20	2,351.17	45.36 W	0.00	119 of 451 - Sale ²⁵
34.000		114.58	11/24/20	121.42	6.84 W	0.00	120 of 451 - Sale ²⁵
55.000		185.34	11/24/20	196.41	11.07 W	0.00	121 of 451 - Sale ²⁵
11.000		37.07	11/24/20	39.28	2.21 W	0.00	122 of 451 - Sale ²⁵
20.000		67.40	11/24/20	71.41	4.01 W	0.00	123 of 451 - Sale ²⁵
23.000		77.51	11/24/20	82.12	4.61 W	0.00	124 of 451 - Sale ²⁵
53.000		178.60	11/24/20	189.27	10.67 W	0.00	125 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
72.000		242.62	11/24/20	257.13	14.51 W	0.00	126 of 451 - Sale ²⁵
69.000		232.52	11/24/20	246.42	13.90 W	0.00	127 of 451 - Sale ²⁵
92.000		310.02	11/24/20	328.55	18.53 W	0.00	128 of 451 - Sale ²⁵
7.000		23.59	11/24/20	25.00	1.41 W	0.00	129 of 451 - Sale ²⁵
464.000		1,563.58	11/24/20	1,657.04	93.46 W	0.00	130 of 451 - Sale ²⁵
293.000		987.35	11/24/20	1,046.36	59.01 W	0.00	131 of 451 - Sale ²⁵
407.000		1,371.51	11/24/20	1,453.47	81.96 W	0.00	132 of 451 - Sale ²⁵
100.000		336.98	11/24/20	357.11	20.13 W	0.00	133 of 451 - Sale ²⁵
159.000		535.80	11/24/20	567.82	32.02 W	0.00	134 of 451 - Sale ²⁵
7.000		23.59	11/24/20	25.00	1.41 W	0.00	135 of 451 - Sale ²⁵
270.000		909.84	11/24/20	964.22	54.38 W	0.00	136 of 451 - Sale ²⁵
264.000		889.63	11/24/20	942.79	53.16 W	0.00	137 of 451 - Sale ²⁵
7.000		23.59	11/24/20	25.00	1.41 W	0.00	138 of 451 - Sale ²⁵
7.000		23.59	11/24/20	24.99	1.40 W	0.00	139 of 451 - Sale ²⁵
13.000		43.81	11/24/20	46.42	2.61 W	0.00	140 of 451 - Sale ²⁵
24.000		80.88	11/24/20	85.70	4.82 W	0.00	141 of 451 - Sale ²⁵
25.000		84.25	11/24/20	89.28	5.03 W	0.00	142 of 451 - Sale ²⁵
45.000		151.64	11/24/20	160.70	9.06 W	0.00	143 of 451 - Sale ²⁵
55.000		185.34	11/24/20	196.41	11.07 W	0.00	144 of 451 - Sale ²⁵
55.000		185.34	11/24/20	196.41	11.07 W	0.00	145 of 451 - Sale ²⁵
78.000		262.84	11/24/20	278.55	15.71 W	0.00	146 of 451 - Sale ²⁵
93.000		313.39	11/24/20	332.11	18.72 W	0.00	147 of 451 - Sale ²⁵
100.000		336.98	11/24/20	357.11	20.13 W	0.00	148 of 451 - Sale ²⁵
107.000		360.57	11/24/20	382.12	21.55 W	0.00	149 of 451 - Sale ²⁵
234.000		788.53	11/24/20	835.67	47.14 W	0.00	150 of 451 - Sale ²⁵
376.000		1,267.05	11/24/20	1,342.78	75.73 W	0.00	151 of 451 - Sale ²⁵
4.000		13.48	11/24/20	14.28	0.80 W	0.00	152 of 451 - Sale ²⁵
15.000		50.55	11/24/20	53.57	3.02 W	0.00	153 of 451 - Sale ²⁵
76.000		256.11	11/24/20	271.42	15.31 W	0.00	154 of 451 - Sale ²⁵
87.000		293.17	11/24/20	310.70	17.53 W	0.00	155 of 451 - Sale ²⁵
100.000		336.98	11/24/20	357.11	20.13 W	0.00	156 of 451 - Sale ²⁵
100.000		336.98	11/24/20	357.11	20.13 W	0.00	157 of 451 - Sale ²⁵
137.000		461.66	11/24/20	489.26	27.60 W	0.00	158 of 451 - Sale ²⁵
178.000		599.83	11/24/20	635.67	35.84 W	0.00	159 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
303.000		1,021.05	11/24/20	1,082.07	61.02 W	0.00	160 of 451 - Sale ²⁵
15.000		50.55	11/24/20	53.57	3.02 W	0.00	161 of 451 - Sale ²⁵
81.000		272.95	11/24/20	293.32	20.37 W	0.00	162 of 451 - Sale ²⁵
404.000		1,361.40	11/24/20	1,462.97	101.57 W	0.00	163 of 451 - Sale ²⁵
11.000		37.07	11/24/20	41.26	4.19 W	0.00	164 of 451 - Sale ²⁵
20.000		67.40	11/24/20	75.01	7.61 W	0.00	165 of 451 - Sale ²⁵
23.000		77.51	11/24/20	86.26	8.75 W	0.00	166 of 451 - Sale ²⁵
34.000		114.57	11/24/20	127.54	12.97 W	0.00	167 of 451 - Sale ²⁵
53.000		178.60	11/24/20	198.82	20.22 W	0.00	168 of 451 - Sale ²⁵
55.000		185.34	11/24/20	206.32	20.98 W	0.00	169 of 451 - Sale ²⁵
69.000		232.52	11/24/20	258.85	26.33 W	0.00	170 of 451 - Sale ²⁵
87.000		293.17	11/24/20	326.37	33.20 W	0.00	171 of 451 - Sale ²⁵
92.000		310.02	11/24/20	345.13	35.11 W	0.00	172 of 451 - Sale ²⁵
556.000		1,873.61	11/24/20	2,013.39	139.78 W	0.00	173 of 451 - Sale ²⁵
7.000		23.59	11/24/20	26.26	2.67 W	0.00	174 of 451 - Sale ²⁵
25.000		84.25	11/24/20	93.78	9.53 W	0.00	175 of 451 - Sale ²⁵
72.000		242.63	11/24/20	270.11	27.48 W	0.00	176 of 451 - Sale ²⁵
377.000		1,270.42	11/24/20	1,414.29	143.87 W	0.00	177 of 451 - Sale ²⁵
7.000		23.59	11/24/20	26.26	2.67 W	0.00	178 of 451 - Sale ²⁵
100.000		336.98	11/24/20	375.13	38.15 W	0.00	179 of 451 - Sale ²⁵
159.000		535.80	11/24/20	596.47	60.67 W	0.00	180 of 451 - Sale ²⁵
264.000		889.63	11/24/20	990.36	100.73 W	0.00	181 of 451 - Sale ²⁵
268.000		903.11	11/24/20	1,005.38	102.27 W	0.00	182 of 451 - Sale ²⁵
270.000		909.85	11/24/20	1,012.88	103.03 W	0.00	183 of 451 - Sale ²⁵
407.000		1,371.51	11/24/20	1,526.81	155.30 W	0.00	184 of 451 - Sale ²⁵
3,925.000		13,226.46	11/24/20	13,933.75	707.29 W	0.00	185 of 451 - Sale ²⁵
5.000		14.55	11/24/20	16.16	1.61 W	0.00	186 of 451 - Sale ²⁵
81.000		235.70	11/24/20	261.75	26.05 W	0.00	187 of 451 - Sale ²⁵
4.000		11.64	11/24/20	12.93	1.29 W	0.00	188 of 451 - Sale ²⁵
15.000		43.65	11/24/20	48.47	4.82 W	0.00	189 of 451 - Sale ²⁵
99.000		288.08	11/24/20	319.91	31.83 W	0.00	190 of 451 - Sale ²⁵
4.000		11.64	11/24/20	12.92	1.28 W	0.00	191 of 451 - Sale ²⁵
7.000		20.37	11/24/20	22.62	2.25 W	0.00	192 of 451 - Sale ²⁵
7.000		20.37	11/24/20	22.61	2.24 W	0.00	193 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
13.000		37.83	11/24/20	42.00	4.17 W	0.00	194 of 451 - Sale ²⁵
15.000		43.65	11/24/20	48.47	4.82 W	0.00	195 of 451 - Sale ²⁵
24.000		69.84	11/24/20	77.54	7.70 W	0.00	196 of 451 - Sale ²⁵
25.000		72.75	11/24/20	80.78	8.03 W	0.00	197 of 451 - Sale ²⁵
45.000		130.94	11/24/20	145.41	14.47 W	0.00	198 of 451 - Sale ²⁵
55.000		160.04	11/24/20	177.72	17.68 W	0.00	199 of 451 - Sale ²⁵
55.000		160.04	11/24/20	177.72	17.68 W	0.00	200 of 451 - Sale ²⁵
59.000		171.68	11/24/20	190.65	18.97 W	0.00	201 of 451 - Sale ²⁵
76.000		221.15	11/24/20	245.59	24.44 W	0.00	202 of 451 - Sale ²⁵
78.000		226.97	11/24/20	252.05	25.08 W	0.00	203 of 451 - Sale ²⁵
87.000		253.15	11/24/20	281.14	27.99 W	0.00	204 of 451 - Sale ²⁵
93.000		270.61	11/24/20	300.51	29.90 W	0.00	205 of 451 - Sale ²⁵
100.000		290.98	11/24/20	323.13	32.15 W	0.00	206 of 451 - Sale ²⁵
100.000		290.98	11/24/20	323.13	32.15 W	0.00	207 of 451 - Sale ²⁵
107.000		311.35	11/24/20	345.76	34.41 W	0.00	208 of 451 - Sale ²⁵
137.000		398.64	11/24/20	442.71	44.07 W	0.00	209 of 451 - Sale ²⁵
30.000		87.29	11/24/20	96.94	9.65 W	0.00	210 of 451 - Sale ²⁵
303.000		881.67	11/24/20	979.11	97.44 W	0.00	211 of 451 - Sale ²⁵
376.000		1,094.09	11/24/20	1,215.01	120.92 W	0.00	212 of 451 - Sale ²⁵
15.000		43.65	11/24/20	48.47	4.82 W	0.00	213 of 451 - Sale ²⁵
41.000		119.30	11/24/20	132.48	13.18 W	0.00	214 of 451 - Sale ²⁵
178.000		517.95	11/24/20	575.18	57.23 W	0.00	215 of 451 - Sale ²⁵
266.000		774.01	11/24/20	872.86	98.85 W	0.00	216 of 451 - Sale ²⁵
11.000		32.01	11/24/20	37.52	5.51 W	0.00	217 of 451 - Sale ²⁵
20.000		58.20	11/24/20	68.21	10.01 W	0.00	218 of 451 - Sale ²⁵
23.000		66.93	11/24/20	78.44	11.51 W	0.00	219 of 451 - Sale ²⁵
34.000		98.93	11/24/20	115.99	17.06 W	0.00	220 of 451 - Sale ²⁵
53.000		154.22	11/24/20	180.81	26.59 W	0.00	221 of 451 - Sale ²⁵
55.000		160.04	11/24/20	187.63	27.59 W	0.00	222 of 451 - Sale ²⁵
69.000		200.78	11/24/20	235.40	34.62 W	0.00	223 of 451 - Sale ²⁵
81.000		235.70	11/24/20	265.80	30.10 W	0.00	224 of 451 - Sale ²⁵
87.000		253.15	11/24/20	296.81	43.66 W	0.00	225 of 451 - Sale ²⁵
92.000		267.70	11/24/20	313.87	46.17 W	0.00	226 of 451 - Sale ²⁵
138.000		401.55	11/24/20	452.83	51.28 W	0.00	227 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
223.000		648.88	11/24/20	760.79	111.91 W	0.00	228 of 451 - Sale ²⁵
556.000		1,617.86	11/24/20	1,824.46	206.60 W	0.00	229 of 451 - Sale ²⁵
7.000		20.37	11/24/20	23.88	3.51 W	0.00	230 of 451 - Sale ²⁵
20.000		58.20	11/24/20	68.22	10.02 W	0.00	231 of 451 - Sale ²⁵
72.000		209.51	11/24/20	245.64	36.13 W	0.00	232 of 451 - Sale ²⁵
154.000		448.11	11/24/20	525.39	77.28 W	0.00	233 of 451 - Sale ²⁵
5.000		14.55	11/24/20	17.06	2.51 W	0.00	234 of 451 - Sale ²⁵
27.000		78.56	11/24/20	92.11	13.55 W	0.00	235 of 451 - Sale ²⁵
268.000		779.83	11/24/20	914.31	134.48 W	0.00	236 of 451 - Sale ²⁵
20.000		58.20	11/24/20	68.23	10.03 W	0.00	237 of 451 - Sale ²⁵
264.000		768.19	11/24/20	900.65	132.46 W	0.00	238 of 451 - Sale ²⁵
161.000		468.48	11/24/20	549.27	80.79 W	0.00	239 of 451 - Sale ²⁵
360.000		1,047.53	11/24/20	1,228.17	180.64 W	0.00	240 of 451 - Sale ²⁵
7.000		20.37	11/24/20	23.88	3.51 W	0.00	241 of 451 - Sale ²⁵
100.000		290.98	11/24/20	341.15	50.17 W	0.00	242 of 451 - Sale ²⁵
159.000		462.66	11/24/20	542.44	79.78 W	0.00	243 of 451 - Sale ²⁵
109.000		317.17	11/24/20	371.86	54.69 W	0.00	244 of 451 - Sale ²⁵
1,840.000		5,354.06	11/24/20	5,906.77	552.71 W	0.00	245 of 451 - Sale ²⁵
1,000.000		2,909.81	11/24/20	3,210.20	300.39 W	0.00	246 of 451 - Sale ²⁵
4.000		11.64	11/24/20	13.41	1.77 W	0.00	247 of 451 - Sale ²⁵
5.000		14.55	11/24/20	16.76	2.21 W	0.00	248 of 451 - Sale ²⁵
7.000		20.37	11/24/20	23.46	3.09 W	0.00	249 of 451 - Sale ²⁵
13.000		37.83	11/24/20	43.56	5.73 W	0.00	250 of 451 - Sale ²⁵
15.000		43.65	11/24/20	50.27	6.62 W	0.00	251 of 451 - Sale ²⁵
25.000		72.75	11/24/20	83.78	11.03 W	0.00	252 of 451 - Sale ²⁵
30.000		87.29	11/24/20	100.55	13.26 W	0.00	253 of 451 - Sale ²⁵
55.000		160.04	11/24/20	184.33	24.29 W	0.00	254 of 451 - Sale ²⁵
66.000		192.04	11/24/20	221.20	29.16 W	0.00	255 of 451 - Sale ²⁵
81.000		235.70	11/24/20	271.48	35.78 W	0.00	256 of 451 - Sale ²⁵
99.000		288.07	11/24/20	331.80	43.73 W	0.00	257 of 451 - Sale ²⁵
1,085.000		3,157.15	11/24/20	3,483.07	325.92 W	0.00	258 of 451 - Sale ²⁵
4.000		11.20	11/24/20	12.80	1.60 W	0.00	259 of 451 - Sale ²⁵
7.000		19.60	11/24/20	22.40	2.80 W	0.00	260 of 451 - Sale ²⁵
15.000		42.00	11/24/20	48.02	6.02 W	0.00	261 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
21.000		58.80	11/24/20	67.23	8.43 W	0.00	262 of 451 - Sale ²⁵
24.000		67.20	11/24/20	76.82	9.62 W	0.00	263 of 451 - Sale ²⁵
34.000		95.19	11/24/20	108.85	13.66 W	0.00	264 of 451 - Sale ²⁵
45.000		125.99	11/24/20	144.07	18.08 W	0.00	265 of 451 - Sale ²⁵
55.000		153.99	11/24/20	176.08	22.09 W	0.00	266 of 451 - Sale ²⁵
76.000		212.79	11/24/20	243.32	30.53 W	0.00	267 of 451 - Sale ²⁵
78.000		218.39	11/24/20	249.72	31.33 W	0.00	268 of 451 - Sale ²⁵
87.000		243.58	11/24/20	278.55	34.97 W	0.00	269 of 451 - Sale ²⁵
93.000		260.38	11/24/20	297.74	37.36 W	0.00	270 of 451 - Sale ²⁵
100.000		279.98	11/24/20	320.15	40.17 W	0.00	271 of 451 - Sale ²⁵
107.000		299.58	11/24/20	342.57	42.99 W	0.00	272 of 451 - Sale ²⁵
137.000		383.58	11/24/20	438.63	55.05 W	0.00	273 of 451 - Sale ²⁵
376.000		1,052.73	11/24/20	1,203.80	151.07 W	0.00	274 of 451 - Sale ²⁵
100.000		279.98	11/24/20	320.16	40.18 W	0.00	275 of 451 - Sale ²⁵
100.000		279.98	11/24/20	320.16	40.18 W	0.00	276 of 451 - Sale ²⁵
4.000		11.20	11/24/20	13.29	2.09 W	0.00	277 of 451 - Sale ²⁵
5.000		14.00	11/24/20	16.91	2.91 W	0.00	278 of 451 - Sale ²⁵
5.000		14.00	11/24/20	16.61	2.61 W	0.00	279 of 451 - Sale ²⁵
7.000		19.60	11/24/20	23.67	4.07 W	0.00	280 of 451 - Sale ²⁵
7.000		19.60	11/24/20	23.67	4.07 W	0.00	281 of 451 - Sale ²⁵
7.000		19.60	11/24/20	23.25	3.65 W	0.00	282 of 451 - Sale ²⁵
11.000		30.80	11/24/20	37.19	6.39 W	0.00	283 of 451 - Sale ²⁵
13.000		36.40	11/24/20	43.17	6.77 W	0.00	284 of 451 - Sale ²⁵
15.000		42.00	11/24/20	48.02	6.02 W	0.00	285 of 451 - Sale ²⁵
15.000		42.00	11/24/20	49.82	7.82 W	0.00	286 of 451 - Sale ²⁵
20.000		56.00	11/24/20	67.61	11.61 W	0.00	287 of 451 - Sale ²⁵
20.000		56.00	11/24/20	67.62	11.62 W	0.00	288 of 451 - Sale ²⁵
20.000		56.00	11/24/20	67.63	11.63 W	0.00	289 of 451 - Sale ²⁵
23.000		64.40	11/24/20	77.75	13.35 W	0.00	290 of 451 - Sale ²⁵
25.000		70.00	11/24/20	83.03	13.03 W	0.00	291 of 451 - Sale ²⁵
27.000		75.60	11/24/20	91.31	15.71 W	0.00	292 of 451 - Sale ²⁵
30.000		83.99	11/24/20	99.66	15.67 W	0.00	293 of 451 - Sale ²⁵
34.000		95.19	11/24/20	114.98	19.79 W	0.00	294 of 451 - Sale ²⁵
41.000		114.79	11/24/20	131.26	16.47 W	0.00	295 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	53.000	148.39	11/24/20	179.23	30.84 W	0.00	296 of 451 - Sale ²⁵
	55.000	153.99	11/24/20	185.99	32.00 W	0.00	297 of 451 - Sale ²⁵
	55.000	153.99	11/24/20	182.69	28.70 W	0.00	298 of 451 - Sale ²⁵
	59.000	165.19	11/24/20	188.89	23.70 W	0.00	299 of 451 - Sale ²⁵
	66.000	184.78	11/24/20	219.24	34.46 W	0.00	300 of 451 - Sale ²⁵
	69.000	193.19	11/24/20	233.34	40.15 W	0.00	301 of 451 - Sale ²⁵
	72.000	201.59	11/24/20	243.49	41.90 W	0.00	302 of 451 - Sale ²⁵
	81.000	226.79	11/24/20	263.38	36.59 W	0.00	303 of 451 - Sale ²⁵
	81.000	226.78	11/24/20	269.06	42.28 W	0.00	304 of 451 - Sale ²⁵
	82.000	229.59	11/24/20	262.53	32.94 W	0.00	305 of 451 - Sale ²⁵
	87.000	243.58	11/24/20	294.22	50.64 W	0.00	306 of 451 - Sale ²⁵
	92.000	257.58	11/24/20	311.13	53.55 W	0.00	307 of 451 - Sale ²⁵
	99.000	277.18	11/24/20	328.85	51.67 W	0.00	308 of 451 - Sale ²⁵
	100.000	279.98	11/24/20	338.17	58.19 W	0.00	309 of 451 - Sale ²⁵
	109.000	305.18	11/24/20	368.61	63.43 W	0.00	310 of 451 - Sale ²⁵
	138.000	386.37	11/24/20	448.72	62.35 W	0.00	311 of 451 - Sale ²⁵
	154.000	431.17	11/24/20	520.80	89.63 W	0.00	312 of 451 - Sale ²⁵
	159.000	445.17	11/24/20	537.70	92.53 W	0.00	313 of 451 - Sale ²⁵
	161.000	450.77	11/24/20	544.47	93.70 W	0.00	314 of 451 - Sale ²⁵
	178.000	498.37	11/24/20	569.87	71.50 W	0.00	315 of 451 - Sale ²⁵
	223.000	624.36	11/24/20	754.15	129.79 W	0.00	316 of 451 - Sale ²⁵
	264.000	739.15	11/24/20	892.78	153.63 W	0.00	317 of 451 - Sale ²⁵
	266.000	744.75	11/24/20	864.93	120.18 W	0.00	318 of 451 - Sale ²⁵
	268.000	750.35	11/24/20	906.32	155.97 W	0.00	319 of 451 - Sale ²⁵
	360.000	1,007.93	11/24/20	1,217.44	209.51 W	0.00	320 of 451 - Sale ²⁵
	556.000	1,556.70	11/24/20	1,807.88	251.18 W	0.00	321 of 451 - Sale ²⁵
	1,000.000	2,799.82	11/24/20	3,180.39	380.57 W	0.00	322 of 451 - Sale ²⁵
	1,085.000	3,037.80	11/24/20	3,450.72	412.92 W	0.00	323 of 451 - Sale ²⁵
	1,840.000	5,151.66	11/24/20	5,851.91	700.25 W	0.00	324 of 451 - Sale ²⁵
	6.000	16.80	11/24/20	17.28	0.48 W	0.00	325 of 451 - Sale ²⁵
	34.000	95.37	11/24/20	111.58	16.21 W	0.00	326 of 451 - Sale ²⁵
	45.000	126.22	11/24/20	147.68	21.46 W	0.00	327 of 451 - Sale ²⁵
	21.000	58.90	11/24/20	68.91	10.01 W	0.00	328 of 451 - Sale ²⁵
	24.000	67.32	11/24/20	78.74	11.42 W	0.00	329 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
34.000		95.37	11/24/20	111.58	16.21 W	0.00	330 of 451 - Sale ²⁵
42.000		117.80	11/24/20	137.83	20.03 W	0.00	331 of 451 - Sale ²⁵
100.000		280.49	11/24/20	328.18	47.69 W	0.00	332 of 451 - Sale ²⁵
7.000		19.60	11/24/20	22.96	3.36 W	0.00	333 of 451 - Sale ²⁵
15.000		42.00	11/24/20	49.22	7.22 W	0.00	334 of 451 - Sale ²⁵
78.000		218.42	11/24/20	255.97	37.55 W	0.00	335 of 451 - Sale ²⁵
87.000		243.62	11/24/20	285.53	41.91 W	0.00	336 of 451 - Sale ²⁵
93.000		260.42	11/24/20	305.20	44.78 W	0.00	337 of 451 - Sale ²⁵
100.000		280.02	11/24/20	328.17	48.15 W	0.00	338 of 451 - Sale ²⁵
107.000		299.62	11/24/20	351.15	51.53 W	0.00	339 of 451 - Sale ²⁵
27.000		75.61	11/24/20	88.61	13.00 W	0.00	340 of 451 - Sale ²⁵
234.000		655.25	11/24/20	767.94	112.69 W	0.00	341 of 451 - Sale ²⁵
100.000		280.00	11/24/20	328.18	48.18 W	0.00	342 of 451 - Sale ²⁵
4.000		11.20	11/24/20	13.12	1.92 W	0.00	343 of 451 - Sale ²⁵
10.000		28.00	11/24/20	32.81	4.81 W	0.00	344 of 451 - Sale ²⁵
76.000		212.79	11/24/20	249.41	36.62 W	0.00	345 of 451 - Sale ²⁵
10.000		28.00	11/24/20	32.82	4.82 W	0.00	346 of 451 - Sale ²⁵
11.000		30.80	11/24/20	36.10	5.30 W	0.00	347 of 451 - Sale ²⁵
100.000		279.98	11/24/20	328.18	48.20 W	0.00	348 of 451 - Sale ²⁵
89.000		249.18	11/24/20	292.08	42.90 W	0.00	349 of 451 - Sale ²⁵
27.000		75.59	11/24/20	88.61	13.02 W	0.00	350 of 451 - Sale ²⁵
59.000		165.19	11/24/20	193.62	28.43 W	0.00	351 of 451 - Sale ²⁵
82.000		229.58	11/24/20	269.10	39.52 W	0.00	352 of 451 - Sale ²⁵
11.000		30.80	11/24/20	36.10	5.30 W	0.00	353 of 451 - Sale ²⁵
15.000		42.00	11/24/20	49.22	7.22 W	0.00	354 of 451 - Sale ²⁵
25.000		70.00	11/24/20	83.30	13.30 W	0.00	355 of 451 - Sale ²⁵
14.000		39.20	11/24/20	45.94	6.74 W	0.00	356 of 451 - Sale ²⁵
178.000		498.36	11/24/20	584.14	85.78 W	0.00	357 of 451 - Sale ²⁵
185.000		517.96	11/24/20	616.38	98.42 W	0.00	358 of 451 - Sale ²⁵
100.000		279.99	11/24/20	333.18	53.19 W	0.00	359 of 451 - Sale ²⁵
56.000		156.79	11/24/20	186.58	29.79 W	0.00	360 of 451 - Sale ²⁵
81.000		226.79	11/24/20	269.87	43.08 W	0.00	361 of 451 - Sale ²⁵
81.000		226.78	11/24/20	269.87	43.09 W	0.00	362 of 451 - Sale ²⁵
38.000		106.39	11/24/20	126.61	20.22 W	0.00	363 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
45.000		126.44	11/24/20	169.73	43.29 W	0.00	364 of 451 - Sale ²⁵
1.000		2.81	11/24/20	3.90	1.09 W	0.00	365 of 451 - Sale ²⁵
11.000		30.91	11/24/20	42.91	12.00 W	0.00	366 of 451 - Sale ²⁵
34.000		95.53	11/24/20	132.67	37.14 W	0.00	367 of 451 - Sale ²⁵
55.000		154.54	11/24/20	214.60	60.06 W	0.00	368 of 451 - Sale ²⁵
69.000		193.88	11/24/20	269.23	75.35 W	0.00	369 of 451 - Sale ²⁵
430.000		1,208.22	11/24/20	1,621.86	413.64 W	0.00	370 of 451 - Sale ²⁵
19.000		53.39	11/24/20	74.11	20.72 W	0.00	371 of 451 - Sale ²⁵
23.000		64.63	11/24/20	89.71	25.08 W	0.00	372 of 451 - Sale ²⁵
49.000		137.68	11/24/20	191.20	53.52 W	0.00	373 of 451 - Sale ²⁵
53.000		148.92	11/24/20	206.80	57.88 W	0.00	374 of 451 - Sale ²⁵
87.000		244.45	11/24/20	339.48	95.03 W	0.00	375 of 451 - Sale ²⁵
92.000		258.50	11/24/20	358.99	100.49 W	0.00	376 of 451 - Sale ²⁵
154.000		432.71	11/24/20	600.91	168.20 W	0.00	377 of 451 - Sale ²⁵
223.000		626.59	11/24/20	870.15	243.56 W	0.00	378 of 451 - Sale ²⁵
5.000		14.05	11/24/20	19.51	5.46 W	0.00	379 of 451 - Sale ²⁵
7.000		19.67	11/24/20	27.31	7.64 W	0.00	380 of 451 - Sale ²⁵
20.000		56.20	11/24/20	78.02	21.82 W	0.00	381 of 451 - Sale ²⁵
23.000		64.63	11/24/20	89.74	25.11 W	0.00	382 of 451 - Sale ²⁵
20.000		56.20	11/24/20	78.03	21.83 W	0.00	383 of 451 - Sale ²⁵
27.000		75.87	11/24/20	105.35	29.48 W	0.00	384 of 451 - Sale ²⁵
268.000		753.03	11/24/20	1,045.73	292.70 W	0.00	385 of 451 - Sale ²⁵
285.000		800.80	11/24/20	1,112.06	311.26 W	0.00	386 of 451 - Sale ²⁵
75.000		210.74	11/24/20	292.65	81.91 W	0.00	387 of 451 - Sale ²⁵
161.000		452.38	11/24/20	628.22	175.84 W	0.00	388 of 451 - Sale ²⁵
264.000		741.79	11/24/20	1,030.11	288.32 W	0.00	389 of 451 - Sale ²⁵
41.000		115.20	11/24/20	159.98	44.78 W	0.00	390 of 451 - Sale ²⁵
109.000		306.27	11/24/20	425.31	119.04 W	0.00	391 of 451 - Sale ²⁵
7.000		19.67	11/24/20	27.31	7.64 W	0.00	392 of 451 - Sale ²⁵
59.000		165.78	11/24/20	230.21	64.43 W	0.00	393 of 451 - Sale ²⁵
159.000		446.76	11/24/20	620.41	173.65 W	0.00	394 of 451 - Sale ²⁵
325.000		913.19	11/24/20	1,202.69	289.50 W	0.00	395 of 451 - Sale ²⁵
700.000		1,966.87	11/24/20	2,590.40	623.53 W	0.00	396 of 451 - Sale ²⁵
100.000		280.98	11/24/20	370.06	89.08 W	0.00	397 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	4.000	11.24	11/24/20	15.37	4.13 W	0.00	398 of 451 - Sale ²⁵
	4.000	11.24	11/24/20	15.20	3.96 W	0.00	399 of 451 - Sale ²⁵
	5.000	14.05	11/24/20	19.21	5.16 W	0.00	400 of 451 - Sale ²⁵
	6.000	16.86	11/24/20	20.40	3.54 W	0.00	401 of 451 - Sale ²⁵
	7.000	19.67	11/24/20	26.89	7.22 W	0.00	402 of 451 - Sale ²⁵
	7.000	19.67	11/24/20	26.60	6.93 W	0.00	403 of 451 - Sale ²⁵
	10.000	28.10	11/24/20	38.02	9.92 W	0.00	404 of 451 - Sale ²⁵
	10.000	28.10	11/24/20	38.01	9.91 W	0.00	405 of 451 - Sale ²⁵
	11.000	30.91	11/24/20	41.82	10.91 W	0.00	406 of 451 - Sale ²⁵
	11.000	30.91	11/24/20	41.82	10.91 W	0.00	407 of 451 - Sale ²⁵
	13.000	36.53	11/24/20	49.93	13.40 W	0.00	408 of 451 - Sale ²⁵
	14.000	39.34	11/24/20	53.22	13.88 W	0.00	409 of 451 - Sale ²⁵
	15.000	42.15	11/24/20	57.62	15.47 W	0.00	410 of 451 - Sale ²⁵
	15.000	42.15	11/24/20	57.02	14.87 W	0.00	411 of 451 - Sale ²⁵
	15.000	42.15	11/24/20	57.02	14.87 W	0.00	412 of 451 - Sale ²⁵
	21.000	59.01	11/24/20	79.73	20.72 W	0.00	413 of 451 - Sale ²⁵
	24.000	67.44	11/24/20	91.10	23.66 W	0.00	414 of 451 - Sale ²⁵
	25.000	70.25	11/24/20	96.03	25.78 W	0.00	415 of 451 - Sale ²⁵
	25.000	70.25	11/24/20	96.30	26.05 W	0.00	416 of 451 - Sale ²⁵
	27.000	75.86	11/24/20	102.64	26.78 W	0.00	417 of 451 - Sale ²⁵
	27.000	75.86	11/24/20	102.66	26.80 W	0.00	418 of 451 - Sale ²⁵
	30.000	84.29	11/24/20	115.27	30.98 W	0.00	419 of 451 - Sale ²⁵
	34.000	95.53	11/24/20	129.09	33.56 W	0.00	420 of 451 - Sale ²⁵
	34.000	95.53	11/24/20	129.09	33.56 W	0.00	421 of 451 - Sale ²⁵
	38.000	106.77	11/24/20	146.38	39.61 W	0.00	422 of 451 - Sale ²⁵
	42.000	118.01	11/24/20	159.47	41.46 W	0.00	423 of 451 - Sale ²⁵
	45.000	126.44	11/24/20	170.86	44.42 W	0.00	424 of 451 - Sale ²⁵
	55.000	154.54	11/24/20	211.30	56.76 W	0.00	425 of 451 - Sale ²⁵
	56.000	157.35	11/24/20	215.71	58.36 W	0.00	426 of 451 - Sale ²⁵
	59.000	165.78	11/24/20	224.31	58.53 W	0.00	427 of 451 - Sale ²⁵
	66.000	185.45	11/24/20	253.58	68.13 W	0.00	428 of 451 - Sale ²⁵
	76.000	213.55	11/24/20	288.94	75.39 W	0.00	429 of 451 - Sale ²⁵
	78.000	219.17	11/24/20	296.51	77.34 W	0.00	430 of 451 - Sale ²⁵
	81.000	227.60	11/24/20	311.20	83.60 W	0.00	431 of 451 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	81.000	227.59	11/24/20	312.00	84.41 W	0.00	432 of 451 - Sale ²⁵
	81.000	227.59	11/24/20	312.01	84.42 W	0.00	433 of 451 - Sale ²⁵
	82.000	230.40	11/24/20	311.76	81.36 W	0.00	434 of 451 - Sale ²⁵
	87.000	244.45	11/24/20	330.75	86.30 W	0.00	435 of 451 - Sale ²⁵
	89.000	250.07	11/24/20	338.38	88.31 W	0.00	436 of 451 - Sale ²⁵
	93.000	261.31	11/24/20	353.54	92.23 W	0.00	437 of 451 - Sale ²⁵
	99.000	278.17	11/24/20	380.35	102.18 W	0.00	438 of 451 - Sale ²⁵
	100.000	280.98	11/24/20	379.69	98.71 W	0.00	439 of 451 - Sale ²⁵
	100.000	280.98	11/24/20	380.15	99.17 W	0.00	440 of 451 - Sale ²⁵
	100.000	280.98	11/24/20	380.18	99.20 W	0.00	441 of 451 - Sale ²⁵
	100.000	280.98	11/24/20	380.20	99.22 W	0.00	442 of 451 - Sale ²⁵
	100.000	280.98	11/24/20	385.19	104.21 W	0.00	443 of 451 - Sale ²⁵
	107.000	300.65	11/24/20	406.77	106.12 W	0.00	444 of 451 - Sale ²⁵
	178.000	500.15	11/24/20	676.74	176.59 W	0.00	445 of 451 - Sale ²⁵
	185.000	519.81	11/24/20	712.62	192.81 W	0.00	446 of 451 - Sale ²⁵
	234.000	657.50	11/24/20	889.57	232.07 W	0.00	447 of 451 - Sale ²⁵
	715.000	2,009.02	11/24/20	2,645.90	636.88 W	0.00	448 of 451 - Sale ²⁵
	1,000.000	2,809.82	11/24/20	3,700.57	890.75 W	0.00	449 of 451 - Sale ²⁵
	1,085.000	3,048.65	11/24/20	4,015.12	966.47 W	0.00	450 of 451 - Sale ²⁵
	402.000	1,129.55	11/24/20	1,334.64	205.09 W	0.00	451 of 451 - Sale ²⁵
11/24/20	79,060.000	255,066.55	Various	281,670.52	26,603.97 W	0.00	Total of 451 transactions
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	402.000	1,101.41	11/25/20	1,302.69	...	-201.28	1 of 2 - Sale ²⁵
	81.000	221.92	11/25/20	305.59	...	-83.67	2 of 2 - Sale ²⁵
11/25/20	483.000	1,323.33	Various	1,608.28	...	-284.95	Total of 2 transactions
	410 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	2.80	11/24/20	3.89	1.09 W	0.00	1 of 410 - Sale ²⁵
	5.000	14.00	11/24/20	19.46	5.46 W	0.00	2 of 410 - Sale ²⁵
	7.000	19.60	11/24/20	27.24	7.64 W	0.00	3 of 410 - Sale ²⁵
	7.000	19.60	11/24/20	27.24	7.64 W	0.00	4 of 410 - Sale ²⁵
	11.000	30.80	11/24/20	42.80	12.00 W	0.00	5 of 410 - Sale ²⁵
	19.000	53.20	11/24/20	73.92	20.72 W	0.00	6 of 410 - Sale ²⁵
	20.000	56.00	11/24/20	77.82	21.82 W	0.00	7 of 410 - Sale ²⁵
	20.000	56.00	11/24/20	77.83	21.83 W	0.00	8 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
23.000		64.40	11/24/20	89.48	25.08 W	0.00	9 of 410 - Sale ²⁵
23.000		64.40	11/24/20	89.51	25.11 W	0.00	10 of 410 - Sale ²⁵
27.000		75.60	11/24/20	105.08	29.48 W	0.00	11 of 410 - Sale ²⁵
34.000		95.19	11/24/20	132.34	37.15 W	0.00	12 of 410 - Sale ²⁵
41.000		114.79	11/24/20	159.58	44.79 W	0.00	13 of 410 - Sale ²⁵
45.000		125.99	11/24/20	169.29	43.30 W	0.00	14 of 410 - Sale ²⁵
49.000		137.19	11/24/20	190.72	53.53 W	0.00	15 of 410 - Sale ²⁵
53.000		148.39	11/24/20	206.28	57.89 W	0.00	16 of 410 - Sale ²⁵
55.000		153.99	11/24/20	214.06	60.07 W	0.00	17 of 410 - Sale ²⁵
59.000		165.19	11/24/20	229.63	64.44 W	0.00	18 of 410 - Sale ²⁵
69.000		193.19	11/24/20	268.55	75.36 W	0.00	19 of 410 - Sale ²⁵
75.000		209.99	11/24/20	291.91	81.92 W	0.00	20 of 410 - Sale ²⁵
87.000		243.58	11/24/20	338.63	95.05 W	0.00	21 of 410 - Sale ²⁵
92.000		257.58	11/24/20	358.09	100.51 W	0.00	22 of 410 - Sale ²⁵
109.000		305.18	11/24/20	424.24	119.06 W	0.00	23 of 410 - Sale ²⁵
154.000		431.17	11/24/20	599.40	168.23 W	0.00	24 of 410 - Sale ²⁵
159.000		445.17	11/24/20	618.85	173.68 W	0.00	25 of 410 - Sale ²⁵
161.000		450.77	11/24/20	626.64	175.87 W	0.00	26 of 410 - Sale ²⁵
223.000		624.36	11/24/20	867.96	243.60 W	0.00	27 of 410 - Sale ²⁵
264.000		739.15	11/24/20	1,027.52	288.37 W	0.00	28 of 410 - Sale ²⁵
268.000		750.35	11/24/20	1,043.10	292.75 W	0.00	29 of 410 - Sale ²⁵
285.000		797.95	11/24/20	1,109.26	311.31 W	0.00	30 of 410 - Sale ²⁵
325.000		909.94	11/24/20	1,199.50	289.56 W	0.00	31 of 410 - Sale ²⁵
430.000		1,203.92	11/24/20	1,617.64	413.72 W	0.00	32 of 410 - Sale ²⁵
683.000		1,912.26	11/24/20	2,520.79	608.53 W	0.00	33 of 410 - Sale ²⁵
38.000		106.39	11/24/20	140.25	33.86 W	0.00	34 of 410 - Sale ²⁵
17.000		47.60	11/24/20	62.74	15.14 W	0.00	35 of 410 - Sale ²⁵
40.000		112.00	11/24/20	147.63	35.63 W	0.00	36 of 410 - Sale ²⁵
22.000		61.60	11/24/20	81.20	19.60 W	0.00	37 of 410 - Sale ²⁵
28.000		78.40	11/24/20	103.34	24.94 W	0.00	38 of 410 - Sale ²⁵
2.000		5.60	11/24/20	7.38	1.78 W	0.00	39 of 410 - Sale ²⁵
315.000		881.94	11/24/20	1,162.59	280.65 W	0.00	40 of 410 - Sale ²⁵
685.000		1,917.87	11/24/20	2,528.16	610.29 W	0.00	41 of 410 - Sale ²⁵
1,000.000		2,799.82	11/24/20	3,690.75	890.93 W	0.00	42 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
50.000		140.00	11/24/20	184.54	44.54 W	0.00	43 of 410 - Sale ²⁵
8.000		22.40	11/24/20	29.53	7.13 W	0.00	44 of 410 - Sale ²⁵
311.000		870.74	11/24/20	1,147.82	277.08 W	0.00	45 of 410 - Sale ²⁵
81.000		221.93	11/25/20	305.57	57.83 W	-25.81	46 of 410 - Sale ²⁵
1.000		2.90	11/25/20	3.96	1.06 W	0.00	47 of 410 - Sale ²⁵
5.000		14.50	11/25/20	19.81	5.31 W	0.00	48 of 410 - Sale ²⁵
7.000		20.30	11/25/20	27.73	7.43 W	0.00	49 of 410 - Sale ²⁵
11.000		31.90	11/25/20	43.57	11.67 W	0.00	50 of 410 - Sale ²⁵
19.000		55.10	11/25/20	75.25	20.15 W	0.00	51 of 410 - Sale ²⁵
20.000		58.00	11/25/20	79.22	21.22 W	0.00	52 of 410 - Sale ²⁵
20.000		58.00	11/25/20	79.23	21.23 W	0.00	53 of 410 - Sale ²⁵
23.000		66.70	11/25/20	91.09	24.39 W	0.00	54 of 410 - Sale ²⁵
23.000		66.70	11/25/20	91.12	24.42 W	0.00	55 of 410 - Sale ²⁵
27.000		78.29	11/25/20	106.97	28.68 W	0.00	56 of 410 - Sale ²⁵
34.000		98.59	11/25/20	134.73	36.14 W	0.00	57 of 410 - Sale ²⁵
45.000		130.49	11/25/20	172.45	41.96 W	0.00	58 of 410 - Sale ²⁵
49.000		142.09	11/25/20	194.16	52.07 W	0.00	59 of 410 - Sale ²⁵
53.000		153.69	11/25/20	210.00	56.31 W	0.00	60 of 410 - Sale ²⁵
55.000		159.49	11/25/20	217.92	58.43 W	0.00	61 of 410 - Sale ²⁵
69.000		200.09	11/25/20	273.39	73.30 W	0.00	62 of 410 - Sale ²⁵
87.000		252.28	11/25/20	344.74	92.46 W	0.00	63 of 410 - Sale ²⁵
92.000		266.78	11/25/20	364.55	97.77 W	0.00	64 of 410 - Sale ²⁵
154.000		446.57	11/25/20	610.21	163.64 W	0.00	65 of 410 - Sale ²⁵
223.000		646.66	11/25/20	883.61	236.95 W	0.00	66 of 410 - Sale ²⁵
268.000		777.15	11/25/20	1,061.91	284.76 W	0.00	67 of 410 - Sale ²⁵
276.000		800.35	11/25/20	1,093.60	293.25 W	0.00	68 of 410 - Sale ²⁵
430.000		1,246.92	11/25/20	1,647.82	400.90 W	0.00	69 of 410 - Sale ²⁵
4.000		11.60	11/25/20	15.61	4.01 W	0.00	70 of 410 - Sale ²⁵
4.000		11.60	11/25/20	15.44	3.84 W	0.00	71 of 410 - Sale ²⁵
5.000		14.50	11/25/20	19.51	5.01 W	0.00	72 of 410 - Sale ²⁵
6.000		17.40	11/25/20	20.76	3.36 W	0.00	73 of 410 - Sale ²⁵
7.000		20.30	11/25/20	27.31	7.01 W	0.00	74 of 410 - Sale ²⁵
7.000		20.30	11/25/20	27.02	6.72 W	0.00	75 of 410 - Sale ²⁵
10.000		29.00	11/25/20	38.62	9.62 W	0.00	76 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
10.000		29.00	11/25/20	38.61	9.61 W	0.00	77 of 410 - Sale ²⁵
11.000		31.90	11/25/20	42.48	10.58 W	0.00	78 of 410 - Sale ²⁵
11.000		31.90	11/25/20	42.48	10.58 W	0.00	79 of 410 - Sale ²⁵
13.000		37.70	11/25/20	50.71	13.01 W	0.00	80 of 410 - Sale ²⁵
14.000		40.60	11/25/20	54.06	13.46 W	0.00	81 of 410 - Sale ²⁵
15.000		43.50	11/25/20	58.52	15.02 W	0.00	82 of 410 - Sale ²⁵
15.000		43.50	11/25/20	57.92	14.42 W	0.00	83 of 410 - Sale ²⁵
15.000		43.50	11/25/20	57.92	14.42 W	0.00	84 of 410 - Sale ²⁵
21.000		60.90	11/25/20	80.99	20.09 W	0.00	85 of 410 - Sale ²⁵
24.000		69.60	11/25/20	92.54	22.94 W	0.00	86 of 410 - Sale ²⁵
25.000		72.50	11/25/20	97.53	25.03 W	0.00	87 of 410 - Sale ²⁵
25.000		72.50	11/25/20	97.80	25.30 W	0.00	88 of 410 - Sale ²⁵
27.000		78.29	11/25/20	104.27	25.98 W	0.00	89 of 410 - Sale ²⁵
27.000		78.29	11/25/20	104.29	26.00 W	0.00	90 of 410 - Sale ²⁵
30.000		86.99	11/25/20	117.08	30.09 W	0.00	91 of 410 - Sale ²⁵
34.000		98.59	11/25/20	131.14	32.55 W	0.00	92 of 410 - Sale ²⁵
34.000		98.59	11/25/20	131.14	32.55 W	0.00	93 of 410 - Sale ²⁵
38.000		110.19	11/25/20	148.67	38.48 W	0.00	94 of 410 - Sale ²⁵
42.000		121.79	11/25/20	162.00	40.21 W	0.00	95 of 410 - Sale ²⁵
45.000		130.49	11/25/20	173.57	43.08 W	0.00	96 of 410 - Sale ²⁵
55.000		159.49	11/25/20	214.61	55.12 W	0.00	97 of 410 - Sale ²⁵
56.000		162.39	11/25/20	219.08	56.69 W	0.00	98 of 410 - Sale ²⁵
59.000		171.09	11/25/20	227.86	56.77 W	0.00	99 of 410 - Sale ²⁵
66.000		191.39	11/25/20	257.55	66.16 W	0.00	100 of 410 - Sale ²⁵
76.000		220.39	11/25/20	293.51	73.12 W	0.00	101 of 410 - Sale ²⁵
78.000		226.19	11/25/20	301.20	75.01 W	0.00	102 of 410 - Sale ²⁵
81.000		234.88	11/25/20	316.07	81.19 W	0.00	103 of 410 - Sale ²⁵
81.000		234.88	11/25/20	316.88	82.00 W	0.00	104 of 410 - Sale ²⁵
81.000		234.88	11/25/20	316.89	82.01 W	0.00	105 of 410 - Sale ²⁵
82.000		237.78	11/25/20	316.70	78.92 W	0.00	106 of 410 - Sale ²⁵
87.000		252.28	11/25/20	335.99	83.71 W	0.00	107 of 410 - Sale ²⁵
89.000		258.08	11/25/20	343.74	85.66 W	0.00	108 of 410 - Sale ²⁵
93.000		269.68	11/25/20	359.14	89.46 W	0.00	109 of 410 - Sale ²⁵
99.000		287.08	11/25/20	386.31	99.23 W	0.00	110 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
100.000		289.98	11/25/20	385.71	95.73 W	0.00	111 of 410 - Sale ²⁵
100.000		289.98	11/25/20	386.17	96.19 W	0.00	112 of 410 - Sale ²⁵
100.000		289.98	11/25/20	386.20	96.22 W	0.00	113 of 410 - Sale ²⁵
100.000		289.98	11/25/20	386.22	96.24 W	0.00	114 of 410 - Sale ²⁵
100.000		289.98	11/25/20	391.21	101.23 W	0.00	115 of 410 - Sale ²⁵
107.000		310.28	11/25/20	413.21	102.93 W	0.00	116 of 410 - Sale ²⁵
178.000		516.17	11/25/20	687.45	171.28 W	0.00	117 of 410 - Sale ²⁵
185.000		536.46	11/25/20	723.76	187.30 W	0.00	118 of 410 - Sale ²⁵
234.000		678.56	11/25/20	903.65	225.09 W	0.00	119 of 410 - Sale ²⁵
401.000		1,162.83	11/25/20	1,508.06	345.23 W	0.00	120 of 410 - Sale ²⁵
402.000		1,165.73	11/25/20	1,358.83	193.10 W	0.00	121 of 410 - Sale ²⁵
4.000		11.24	11/25/20	15.49	4.25 W	0.00	122 of 410 - Sale ²⁵
5.000		14.05	11/25/20	19.36	5.31 W	0.00	123 of 410 - Sale ²⁵
5.000		14.05	11/25/20	19.36	5.31 W	0.00	124 of 410 - Sale ²⁵
67.000		188.26	11/25/20	259.44	71.18 W	0.00	125 of 410 - Sale ²⁵
3.000		8.43	11/25/20	11.62	3.19 W	0.00	126 of 410 - Sale ²⁵
30.000		84.30	11/25/20	116.17	31.87 W	0.00	127 of 410 - Sale ²⁵
4.000		11.24	11/25/20	15.49	4.25 W	0.00	128 of 410 - Sale ²⁵
133.000		373.71	11/25/20	515.02	141.31 W	0.00	129 of 410 - Sale ²⁵
230.000		646.26	11/25/20	890.63	244.37 W	0.00	130 of 410 - Sale ²⁵
7.000		19.67	11/25/20	27.10	7.43 W	0.00	131 of 410 - Sale ²⁵
28.000		78.67	11/25/20	108.43	29.76 W	0.00	132 of 410 - Sale ²⁵
41.000		115.20	11/25/20	158.77	43.57 W	0.00	133 of 410 - Sale ²⁵
53.000		148.92	11/25/20	194.56	45.64 W	0.00	134 of 410 - Sale ²⁵
59.000		165.78	11/25/20	228.46	62.68 W	0.00	135 of 410 - Sale ²⁵
109.000		306.27	11/25/20	422.08	115.81 W	0.00	136 of 410 - Sale ²⁵
159.000		446.76	11/25/20	615.70	168.94 W	0.00	137 of 410 - Sale ²⁵
100.000		280.98	11/25/20	367.10	86.12 W	0.00	138 of 410 - Sale ²⁵
100.000		280.98	11/25/20	367.09	86.11 W	0.00	139 of 410 - Sale ²⁵
72.000		202.31	11/25/20	264.31	62.00 W	0.00	140 of 410 - Sale ²⁵
228.000		640.64	11/25/20	836.98	196.34 W	0.00	141 of 410 - Sale ²⁵
10.000		28.10	11/25/20	36.71	8.61 W	0.00	142 of 410 - Sale ²⁵
18.000		50.58	11/25/20	66.08	15.50 W	0.00	143 of 410 - Sale ²⁵
2.000		5.62	11/25/20	7.34	1.72 W	0.00	144 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	8.000	22.48	11/25/20	29.37	6.89 W	0.00	145 of 410 - Sale ²⁵
	17.000	47.77	11/25/20	62.40	14.63 W	0.00	146 of 410 - Sale ²⁵
	22.000	61.82	11/25/20	80.76	18.94 W	0.00	147 of 410 - Sale ²⁵
	28.000	78.67	11/25/20	102.78	24.11 W	0.00	148 of 410 - Sale ²⁵
	38.000	106.77	11/25/20	139.50	32.73 W	0.00	149 of 410 - Sale ²⁵
	40.000	112.39	11/25/20	146.83	34.44 W	0.00	150 of 410 - Sale ²⁵
	45.000	126.44	11/25/20	167.06	40.62 W	0.00	151 of 410 - Sale ²⁵
	50.000	140.49	11/25/20	183.54	43.05 W	0.00	152 of 410 - Sale ²⁵
	163.000	458.00	11/25/20	605.11	147.11 W	0.00	153 of 410 - Sale ²⁵
	311.000	873.85	11/25/20	1,141.66	267.81 W	0.00	154 of 410 - Sale ²⁵
	315.000	885.09	11/25/20	1,156.35	271.26 W	0.00	155 of 410 - Sale ²⁵
	427.000	1,199.79	11/25/20	1,567.50	367.71 W	0.00	156 of 410 - Sale ²⁵
	685.000	1,924.72	11/25/20	2,514.59	589.87 W	0.00	157 of 410 - Sale ²⁵
	1,000.000	2,809.82	11/25/20	3,670.93	861.11 W	0.00	158 of 410 - Sale ²⁵
	1.000	2.81	11/25/20	3.71	0.90 W	0.00	159 of 410 - Sale ²⁵
	34.000	95.53	11/25/20	130.66	35.13 W	0.00	160 of 410 - Sale ²⁵
	50.000	140.49	11/25/20	192.12	51.63 W	0.00	161 of 410 - Sale ²⁵
	266.000	747.41	11/25/20	987.48	240.07 W	0.00	162 of 410 - Sale ²⁵
	2.000	5.62	11/25/20	7.68	2.06 W	0.00	163 of 410 - Sale ²⁵
	1.000	2.81	11/25/20	3.84	1.03 W	0.00	164 of 410 - Sale ²⁵
	3.000	8.43	11/25/20	11.53	3.10 W	0.00	165 of 410 - Sale ²⁵
	5.000	14.05	11/25/20	19.21	5.16 W	0.00	166 of 410 - Sale ²⁵
	7.000	19.67	11/25/20	26.89	7.22 W	0.00	167 of 410 - Sale ²⁵
	11.000	30.91	11/25/20	42.25	11.34 W	0.00	168 of 410 - Sale ²⁵
	19.000	53.39	11/25/20	72.97	19.58 W	0.00	169 of 410 - Sale ²⁵
	20.000	56.20	11/25/20	76.82	20.62 W	0.00	170 of 410 - Sale ²⁵
	20.000	56.20	11/25/20	76.83	20.63 W	0.00	171 of 410 - Sale ²⁵
	23.000	64.63	11/25/20	88.33	23.70 W	0.00	172 of 410 - Sale ²⁵
	23.000	64.63	11/25/20	88.36	23.73 W	0.00	173 of 410 - Sale ²⁵
	27.000	75.87	11/25/20	103.74	27.87 W	0.00	174 of 410 - Sale ²⁵
	49.000	137.68	11/25/20	188.29	50.61 W	0.00	175 of 410 - Sale ²⁵
	53.000	148.92	11/25/20	203.65	54.73 W	0.00	176 of 410 - Sale ²⁵
	69.000	193.88	11/25/20	265.12	71.24 W	0.00	177 of 410 - Sale ²⁵
	87.000	244.45	11/25/20	334.32	89.87 W	0.00	178 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	92.000	258.50	11/25/20	353.53	95.03 W	0.00	179 of 410 - Sale ²⁵
	154.000	432.71	11/25/20	591.76	159.05 W	0.00	180 of 410 - Sale ²⁵
	177.000	497.33	11/25/20	680.12	182.79 W	0.00	181 of 410 - Sale ²⁵
	223.000	626.59	11/25/20	856.89	230.30 W	0.00	182 of 410 - Sale ²⁵
	268.000	753.03	11/25/20	1,029.80	276.77 W	0.00	183 of 410 - Sale ²⁵
	99.000	276.19	11/25/20	380.41	104.22 W	0.00	184 of 410 - Sale ²⁵
	1.000	2.79	11/25/20	3.64	0.85 W	0.00	185 of 410 - Sale ²⁵
	2.000	5.58	11/25/20	7.28	1.70 W	0.00	186 of 410 - Sale ²⁵
	200.000	557.96	11/25/20	728.19	170.23 W	0.00	187 of 410 - Sale ²⁵
	5.000	13.95	11/25/20	18.20	4.25 W	0.00	188 of 410 - Sale ²⁵
	2.000	5.58	11/25/20	7.28	1.70 W	0.00	189 of 410 - Sale ²⁵
	3.000	8.37	11/25/20	11.53	3.16 W	0.00	190 of 410 - Sale ²⁵
	4.000	11.16	11/25/20	15.37	4.21 W	0.00	191 of 410 - Sale ²⁵
	4.000	11.16	11/25/20	15.37	4.21 W	0.00	192 of 410 - Sale ²⁵
	5.000	13.95	11/25/20	19.21	5.26 W	0.00	193 of 410 - Sale ²⁵
	5.000	13.95	11/25/20	19.21	5.26 W	0.00	194 of 410 - Sale ²⁵
	7.000	19.53	11/25/20	26.89	7.36 W	0.00	195 of 410 - Sale ²⁵
	10.000	27.90	11/25/20	36.41	8.51 W	0.00	196 of 410 - Sale ²⁵
	17.000	47.43	11/25/20	61.89	14.46 W	0.00	197 of 410 - Sale ²⁵
	18.000	50.22	11/25/20	65.54	15.32 W	0.00	198 of 410 - Sale ²⁵
	22.000	61.38	11/25/20	80.10	18.72 W	0.00	199 of 410 - Sale ²⁵
	28.000	78.11	11/25/20	107.60	29.49 W	0.00	200 of 410 - Sale ²⁵
	28.000	78.11	11/25/20	101.95	23.84 W	0.00	201 of 410 - Sale ²⁵
	30.000	83.69	11/25/20	115.27	31.58 W	0.00	202 of 410 - Sale ²⁵
	38.000	106.01	11/25/20	138.37	32.36 W	0.00	203 of 410 - Sale ²⁵
	40.000	111.59	11/25/20	145.64	34.05 W	0.00	204 of 410 - Sale ²⁵
	41.000	114.38	11/25/20	157.55	43.17 W	0.00	205 of 410 - Sale ²⁵
	53.000	147.86	11/25/20	192.98	45.12 W	0.00	206 of 410 - Sale ²⁵
	59.000	164.60	11/25/20	226.70	62.10 W	0.00	207 of 410 - Sale ²⁵
	67.000	186.92	11/25/20	257.44	70.52 W	0.00	208 of 410 - Sale ²⁵
	72.000	200.87	11/25/20	262.16	61.29 W	0.00	209 of 410 - Sale ²⁵
	100.000	278.98	11/25/20	364.12	85.14 W	0.00	210 of 410 - Sale ²⁵
	100.000	278.98	11/25/20	364.11	85.13 W	0.00	211 of 410 - Sale ²⁵
	109.000	304.09	11/25/20	418.83	114.74 W	0.00	212 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	133.000	371.05	11/25/20	511.05	140.00 W	0.00	213 of 410 - Sale ²⁵
	159.000	443.58	11/25/20	610.96	167.38 W	0.00	214 of 410 - Sale ²⁵
	228.000	636.08	11/25/20	830.18	194.10 W	0.00	215 of 410 - Sale ²⁵
	230.000	641.66	11/25/20	883.77	242.11 W	0.00	216 of 410 - Sale ²⁵
	427.000	1,191.25	11/25/20	1,554.77	363.52 W	0.00	217 of 410 - Sale ²⁵
	553.000	1,542.77	11/25/20	2,013.54	470.77 W	0.00	218 of 410 - Sale ²⁵
	4.000	11.16	11/25/20	15.13	3.97 W	0.00	219 of 410 - Sale ²⁵
	4.000	11.16	11/25/20	14.96	3.80 W	0.00	220 of 410 - Sale ²⁵
	5.000	13.95	11/25/20	18.91	4.96 W	0.00	221 of 410 - Sale ²⁵
	6.000	16.74	11/25/20	20.04	3.30 W	0.00	222 of 410 - Sale ²⁵
	7.000	19.53	11/25/20	26.47	6.94 W	0.00	223 of 410 - Sale ²⁵
	7.000	19.53	11/25/20	26.18	6.65 W	0.00	224 of 410 - Sale ²⁵
	10.000	27.90	11/25/20	37.42	9.52 W	0.00	225 of 410 - Sale ²⁵
	10.000	27.90	11/25/20	37.41	9.51 W	0.00	226 of 410 - Sale ²⁵
	11.000	30.69	11/25/20	41.16	10.47 W	0.00	227 of 410 - Sale ²⁵
	11.000	30.69	11/25/20	41.16	10.47 W	0.00	228 of 410 - Sale ²⁵
	13.000	36.27	11/25/20	49.15	12.88 W	0.00	229 of 410 - Sale ²⁵
	14.000	39.06	11/25/20	52.38	13.32 W	0.00	230 of 410 - Sale ²⁵
	15.000	41.85	11/25/20	56.72	14.87 W	0.00	231 of 410 - Sale ²⁵
	15.000	41.85	11/25/20	56.12	14.27 W	0.00	232 of 410 - Sale ²⁵
	15.000	41.85	11/25/20	56.12	14.27 W	0.00	233 of 410 - Sale ²⁵
	21.000	58.59	11/25/20	78.47	19.88 W	0.00	234 of 410 - Sale ²⁵
	24.000	66.96	11/25/20	89.66	22.70 W	0.00	235 of 410 - Sale ²⁵
	25.000	69.75	11/25/20	94.53	24.78 W	0.00	236 of 410 - Sale ²⁵
	25.000	69.75	11/25/20	94.80	25.05 W	0.00	237 of 410 - Sale ²⁵
	27.000	75.33	11/25/20	101.04	25.71 W	0.00	238 of 410 - Sale ²⁵
	27.000	75.32	11/25/20	101.06	25.74 W	0.00	239 of 410 - Sale ²⁵
	30.000	83.69	11/25/20	113.49	29.80 W	0.00	240 of 410 - Sale ²⁵
	34.000	94.85	11/25/20	127.07	32.22 W	0.00	241 of 410 - Sale ²⁵
	34.000	94.85	11/25/20	127.07	32.22 W	0.00	242 of 410 - Sale ²⁵
	38.000	106.01	11/25/20	144.12	38.11 W	0.00	243 of 410 - Sale ²⁵
	42.000	117.17	11/25/20	156.97	39.80 W	0.00	244 of 410 - Sale ²⁵
	45.000	125.54	11/25/20	168.18	42.64 W	0.00	245 of 410 - Sale ²⁵
	55.000	153.44	11/25/20	208.02	54.58 W	0.00	246 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	56.000	156.23	11/25/20	212.37	56.14 W	0.00	247 of 410 - Sale ²⁵
	59.000	164.60	11/25/20	220.79	56.19 W	0.00	248 of 410 - Sale ²⁵
	66.000	184.13	11/25/20	249.64	65.51 W	0.00	249 of 410 - Sale ²⁵
	76.000	212.03	11/25/20	284.40	72.37 W	0.00	250 of 410 - Sale ²⁵
	78.000	217.61	11/25/20	291.85	74.24 W	0.00	251 of 410 - Sale ²⁵
	81.000	225.98	11/25/20	306.37	80.39 W	0.00	252 of 410 - Sale ²⁵
	81.000	225.98	11/25/20	307.18	81.20 W	0.00	253 of 410 - Sale ²⁵
	81.000	225.97	11/25/20	307.19	81.22 W	0.00	254 of 410 - Sale ²⁵
	82.000	228.76	11/25/20	306.88	78.12 W	0.00	255 of 410 - Sale ²⁵
	87.000	242.71	11/25/20	325.57	82.86 W	0.00	256 of 410 - Sale ²⁵
	89.000	248.29	11/25/20	333.08	84.79 W	0.00	257 of 410 - Sale ²⁵
	93.000	259.45	11/25/20	348.00	88.55 W	0.00	258 of 410 - Sale ²⁵
	99.000	276.19	11/25/20	374.45	98.26 W	0.00	259 of 410 - Sale ²⁵
	100.000	278.98	11/25/20	373.73	94.75 W	0.00	260 of 410 - Sale ²⁵
	100.000	278.98	11/25/20	374.19	95.21 W	0.00	261 of 410 - Sale ²⁵
	100.000	278.98	11/25/20	374.22	95.24 W	0.00	262 of 410 - Sale ²⁵
	100.000	278.98	11/25/20	374.24	95.26 W	0.00	263 of 410 - Sale ²⁵
	100.000	278.98	11/25/20	379.23	100.25 W	0.00	264 of 410 - Sale ²⁵
	107.000	298.51	11/25/20	400.39	101.88 W	0.00	265 of 410 - Sale ²⁵
	178.000	496.59	11/25/20	666.12	169.53 W	0.00	266 of 410 - Sale ²⁵
	185.000	516.11	11/25/20	701.60	185.49 W	0.00	267 of 410 - Sale ²⁵
	193.000	538.43	11/25/20	702.70	164.27 W	0.00	268 of 410 - Sale ²⁵
	234.000	652.82	11/25/20	875.61	222.79 W	0.00	269 of 410 - Sale ²⁵
	402.000	1,121.51	11/25/20	1,310.66	189.15 W	0.00	270 of 410 - Sale ²⁵
	132.000	368.26	11/25/20	472.71	104.45 W	0.00	271 of 410 - Sale ²⁵
	768.000	2,142.57	11/25/20	2,750.29	607.72 W	0.00	272 of 410 - Sale ²⁵
	232.000	649.55	11/25/20	842.42	192.87 W	0.00	273 of 410 - Sale ²⁵
	168.000	470.37	11/25/20	610.03	139.66 W	0.00	274 of 410 - Sale ²⁵
	1.000	2.80	11/25/20	3.67	0.87 W	0.00	275 of 410 - Sale ²⁵
	8.000	22.40	11/25/20	29.05	6.65 W	0.00	276 of 410 - Sale ²⁵
	45.000	125.99	11/25/20	165.27	39.28 W	0.00	277 of 410 - Sale ²⁵
	50.000	139.99	11/25/20	181.55	41.56 W	0.00	278 of 410 - Sale ²⁵
	163.000	456.37	11/25/20	598.62	142.25 W	0.00	279 of 410 - Sale ²⁵
	175.000	489.97	11/25/20	642.69	152.72 W	0.00	280 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
311.000		870.74	11/25/20	1,129.28	258.54 W	0.00	281 of 410 - Sale ²⁵
147.000		411.57	11/25/20	533.78	122.21 W	0.00	282 of 410 - Sale ²⁵
1.000		2.80	11/25/20	3.80	1.00 W	0.00	283 of 410 - Sale ²⁵
2.000		5.60	11/25/20	7.60	2.00 W	0.00	284 of 410 - Sale ²⁵
3.000		8.40	11/25/20	11.41	3.01 W	0.00	285 of 410 - Sale ²⁵
5.000		14.00	11/25/20	19.01	5.01 W	0.00	286 of 410 - Sale ²⁵
7.000		19.60	11/25/20	26.61	7.01 W	0.00	287 of 410 - Sale ²⁵
11.000		30.80	11/25/20	41.81	11.01 W	0.00	288 of 410 - Sale ²⁵
19.000		53.20	11/25/20	72.21	19.01 W	0.00	289 of 410 - Sale ²⁵
20.000		56.00	11/25/20	76.02	20.02 W	0.00	290 of 410 - Sale ²⁵
23.000		64.40	11/25/20	87.41	23.01 W	0.00	291 of 410 - Sale ²⁵
23.000		64.40	11/25/20	87.44	23.04 W	0.00	292 of 410 - Sale ²⁵
34.000		95.19	11/25/20	129.31	34.12 W	0.00	293 of 410 - Sale ²⁵
49.000		137.19	11/25/20	186.34	49.15 W	0.00	294 of 410 - Sale ²⁵
50.000		139.99	11/25/20	190.13	50.14 W	0.00	295 of 410 - Sale ²⁵
53.000		148.39	11/25/20	201.54	53.15 W	0.00	296 of 410 - Sale ²⁵
69.000		193.19	11/25/20	262.37	69.18 W	0.00	297 of 410 - Sale ²⁵
87.000		243.58	11/25/20	330.86	87.28 W	0.00	298 of 410 - Sale ²⁵
91.000		254.78	11/25/20	334.20	79.42 W	0.00	299 of 410 - Sale ²⁵
92.000		257.58	11/25/20	349.87	92.29 W	0.00	300 of 410 - Sale ²⁵
154.000		431.17	11/25/20	585.63	154.46 W	0.00	301 of 410 - Sale ²⁵
223.000		624.36	11/25/20	848.01	223.65 W	0.00	302 of 410 - Sale ²⁵
84.000		235.18	11/25/20	319.43	84.25 W	0.00	303 of 410 - Sale ²⁵
16.000		44.80	11/25/20	60.84	16.04 W	0.00	304 of 410 - Sale ²⁵
184.000		515.17	11/25/20	699.70	184.53 W	0.00	305 of 410 - Sale ²⁵
3.000		8.40	11/25/20	11.47	3.07 W	0.00	306 of 410 - Sale ²⁵
4.000		11.20	11/25/20	15.29	4.09 W	0.00	307 of 410 - Sale ²⁵
4.000		11.20	11/25/20	15.29	4.09 W	0.00	308 of 410 - Sale ²⁵
5.000		14.00	11/25/20	19.11	5.11 W	0.00	309 of 410 - Sale ²⁵
5.000		14.00	11/25/20	19.11	5.11 W	0.00	310 of 410 - Sale ²⁵
11.000		30.80	11/25/20	41.82	11.02 W	0.00	311 of 410 - Sale ²⁵
20.000		56.00	11/25/20	76.03	20.03 W	0.00	312 of 410 - Sale ²⁵
28.000		78.39	11/25/20	107.05	28.66 W	0.00	313 of 410 - Sale ²⁵
30.000		83.99	11/25/20	114.68	30.69 W	0.00	314 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	41.000	114.79	11/25/20	156.74	41.95 W	0.00	315 of 410 - Sale ²⁵
	59.000	165.19	11/25/20	225.53	60.34 W	0.00	316 of 410 - Sale ²⁵
	67.000	187.59	11/25/20	256.11	68.52 W	0.00	317 of 410 - Sale ²⁵
	67.000	187.59	11/25/20	256.12	68.53 W	0.00	318 of 410 - Sale ²⁵
	99.000	277.18	11/25/20	378.45	101.27 W	0.00	319 of 410 - Sale ²⁵
	109.000	305.18	11/25/20	416.67	111.49 W	0.00	320 of 410 - Sale ²⁵
	133.000	372.37	11/25/20	508.41	136.04 W	0.00	321 of 410 - Sale ²⁵
	177.000	495.57	11/25/20	673.08	177.51 W	0.00	322 of 410 - Sale ²⁵
	230.000	643.96	11/25/20	879.21	235.25 W	0.00	323 of 410 - Sale ²⁵
	1.000	2.80	11/25/20	3.62	0.82 W	0.00	324 of 410 - Sale ²⁵
	2.000	5.60	11/25/20	7.24	1.64 W	0.00	325 of 410 - Sale ²⁵
	5.000	14.00	11/25/20	18.10	4.10 W	0.00	326 of 410 - Sale ²⁵
	200.000	559.96	11/25/20	724.23	164.27 W	0.00	327 of 410 - Sale ²⁵
	7.000	19.60	11/25/20	26.75	7.15 W	0.00	328 of 410 - Sale ²⁵
	10.000	28.00	11/25/20	36.21	8.21 W	0.00	329 of 410 - Sale ²⁵
	15.000	42.00	11/25/20	54.31	12.31 W	0.00	330 of 410 - Sale ²⁵
	18.000	50.40	11/25/20	65.18	14.78 W	0.00	331 of 410 - Sale ²⁵
	53.000	148.39	11/25/20	191.93	43.54 W	0.00	332 of 410 - Sale ²⁵
	72.000	201.59	11/25/20	260.73	59.14 W	0.00	333 of 410 - Sale ²⁵
	92.000	257.58	11/25/20	351.69	94.11 W	0.00	334 of 410 - Sale ²⁵
	100.000	279.98	11/25/20	362.14	82.16 W	0.00	335 of 410 - Sale ²⁵
	100.000	279.98	11/25/20	362.13	82.15 W	0.00	336 of 410 - Sale ²⁵
	228.000	638.36	11/25/20	825.66	187.30 W	0.00	337 of 410 - Sale ²⁵
	427.000	1,195.51	11/25/20	1,546.31	350.80 W	0.00	338 of 410 - Sale ²⁵
	22.000	60.28	11/25/20	79.66	19.38 W	0.00	339 of 410 - Sale ²⁵
	11.000	30.14	11/25/20	39.84	9.70 W	0.00	340 of 410 - Sale ²⁵
	27.000	73.98	11/25/20	97.78	23.80 W	0.00	341 of 410 - Sale ²⁵
	40.000	109.59	11/25/20	144.85	35.26 W	0.00	342 of 410 - Sale ²⁵
	2.000	5.48	11/25/20	7.24	1.76 W	0.00	343 of 410 - Sale ²⁵
	17.000	46.58	11/25/20	61.56	14.98 W	0.00	344 of 410 - Sale ²⁵
	131.000	358.92	11/25/20	474.39	115.47 W	0.00	345 of 410 - Sale ²⁵
	15.000	41.10	11/25/20	54.32	13.22 W	0.00	346 of 410 - Sale ²⁵
	35.000	95.90	11/25/20	126.75	30.85 W	0.00	347 of 410 - Sale ²⁵
	15.000	41.10	11/25/20	54.32	13.22 W	0.00	348 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	50.000	137.00	11/25/20	181.06	44.06 W	0.00	349 of 410 - Sale ²⁵
	4.000	10.96	11/25/20	14.88	3.92 W	0.00	350 of 410 - Sale ²⁵
	6.000	16.44	11/25/20	19.92	3.48 W	0.00	351 of 410 - Sale ²⁵
	7.000	19.18	11/25/20	26.04	6.86 W	0.00	352 of 410 - Sale ²⁵
	10.000	27.40	11/25/20	37.22	9.82 W	0.00	353 of 410 - Sale ²⁵
	10.000	27.40	11/25/20	37.21	9.81 W	0.00	354 of 410 - Sale ²⁵
	11.000	30.14	11/25/20	40.94	10.80 W	0.00	355 of 410 - Sale ²⁵
	11.000	30.14	11/25/20	40.94	10.80 W	0.00	356 of 410 - Sale ²⁵
	14.000	38.36	11/25/20	52.10	13.74 W	0.00	357 of 410 - Sale ²⁵
	15.000	41.10	11/25/20	55.82	14.72 W	0.00	358 of 410 - Sale ²⁵
	21.000	57.54	11/25/20	78.05	20.51 W	0.00	359 of 410 - Sale ²⁵
	24.000	65.76	11/25/20	89.18	23.42 W	0.00	360 of 410 - Sale ²⁵
	27.000	73.98	11/25/20	100.50	26.52 W	0.00	361 of 410 - Sale ²⁵
	27.000	73.97	11/25/20	100.53	26.56 W	0.00	362 of 410 - Sale ²⁵
	34.000	93.15	11/25/20	126.40	33.25 W	0.00	363 of 410 - Sale ²⁵
	34.000	93.15	11/25/20	126.40	33.25 W	0.00	364 of 410 - Sale ²⁵
	40.000	109.59	11/25/20	148.90	39.31 W	0.00	365 of 410 - Sale ²⁵
	42.000	115.07	11/25/20	156.14	41.07 W	0.00	366 of 410 - Sale ²⁵
	45.000	123.29	11/25/20	167.29	44.00 W	0.00	367 of 410 - Sale ²⁵
	59.000	161.65	11/25/20	219.62	57.97 W	0.00	368 of 410 - Sale ²⁵
	30.000	82.19	11/25/20	112.88	30.69 W	0.00	369 of 410 - Sale ²⁵
	76.000	208.23	11/25/20	282.89	74.66 W	0.00	370 of 410 - Sale ²⁵
	78.000	213.71	11/25/20	290.30	76.59 W	0.00	371 of 410 - Sale ²⁵
	82.000	224.66	11/25/20	305.26	80.60 W	0.00	372 of 410 - Sale ²⁵
	87.000	238.36	11/25/20	323.85	85.49 W	0.00	373 of 410 - Sale ²⁵
	89.000	243.84	11/25/20	331.32	87.48 W	0.00	374 of 410 - Sale ²⁵
	93.000	254.80	11/25/20	346.16	91.36 W	0.00	375 of 410 - Sale ²⁵
	100.000	273.98	11/25/20	371.75	97.77 W	0.00	376 of 410 - Sale ²⁵
	100.000	273.98	11/25/20	372.21	98.23 W	0.00	377 of 410 - Sale ²⁵
	100.000	273.98	11/25/20	372.24	98.26 W	0.00	378 of 410 - Sale ²⁵
	100.000	273.98	11/25/20	372.26	98.28 W	0.00	379 of 410 - Sale ²⁵
	107.000	293.16	11/25/20	398.27	105.11 W	0.00	380 of 410 - Sale ²⁵
	234.000	641.12	11/25/20	870.97	229.85 W	0.00	381 of 410 - Sale ²⁵
	120.000	328.78	11/25/20	446.69	117.91 W	0.00	382 of 410 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	15.000	41.10	11/25/20	55.82	14.72 W	0.00	383 of 410 - Sale ²⁵
	22.000	60.28	11/25/20	83.00	22.72 W	0.00	384 of 410 - Sale ²⁵
	25.000	68.49	11/25/20	94.30	25.81 W	0.00	385 of 410 - Sale ²⁵
	18.000	49.32	11/25/20	67.00	17.68 W	0.00	386 of 410 - Sale ²⁵
	132.000	361.65	11/25/20	470.09	108.44 W	0.00	387 of 410 - Sale ²⁵
	288.000	789.06	11/25/20	1,025.66	236.60 W	0.00	388 of 410 - Sale ²⁵
	2.000	5.48	11/25/20	7.24	1.76 W	0.00	389 of 410 - Sale ²⁵
	11.000	30.14	11/25/20	39.84	9.70 W	0.00	390 of 410 - Sale ²⁵
	20.000	54.80	11/25/20	72.42	17.62 W	0.00	391 of 410 - Sale ²⁵
	37.000	101.37	11/25/20	133.99	32.62 W	0.00	392 of 410 - Sale ²⁵
	4.000	10.96	11/25/20	15.05	4.09 W	0.00	393 of 410 - Sale ²⁵
	5.000	13.70	11/25/20	18.81	5.11 W	0.00	394 of 410 - Sale ²⁵
	7.000	19.18	11/25/20	26.33	7.15 W	0.00	395 of 410 - Sale ²⁵
	13.000	35.62	11/25/20	48.89	13.27 W	0.00	396 of 410 - Sale ²⁵
	15.000	41.10	11/25/20	56.42	15.32 W	0.00	397 of 410 - Sale ²⁵
	25.000	68.50	11/25/20	94.03	25.53 W	0.00	398 of 410 - Sale ²⁵
	30.000	82.19	11/25/20	112.90	30.71 W	0.00	399 of 410 - Sale ²⁵
	55.000	150.69	11/25/20	206.93	56.24 W	0.00	400 of 410 - Sale ²⁵
	36.000	98.63	11/25/20	135.45	36.82 W	0.00	401 of 410 - Sale ²⁵
	81.000	221.92	11/25/20	304.76	82.84 W	0.00	402 of 410 - Sale ²⁵
	99.000	271.24	11/25/20	372.49	101.25 W	0.00	403 of 410 - Sale ²⁵
	193.000	528.79	11/25/20	698.88	170.09 W	0.00	404 of 410 - Sale ²⁵
	250.000	684.95	11/25/20	905.33	220.38 W	0.00	405 of 410 - Sale ²⁵
	93.000	254.80	11/25/20	331.20	76.40 W	0.00	406 of 410 - Sale ²⁵
	38.000	104.11	11/25/20	143.37	39.26 W	0.00	407 of 410 - Sale ²⁵
	56.000	153.43	11/25/20	211.26	57.83 W	0.00	408 of 410 - Sale ²⁵
	100.000	273.98	11/25/20	377.25	103.27 W	0.00	409 of 410 - Sale ²⁵
	163.000	446.59	11/25/20	614.94	168.35 W	0.00	410 of 410 - Sale ²⁵
11/25/20	34,637.000	97,281.35	Various	129,663.99	32,356.83 W	-25.81	Total of 410 transactions
	63 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	387.000	1,083.53	11/27/20	1,420.79	...	-337.26	1 of 63 - Sale ²⁵
	232.000	649.56	11/27/20	861.03	...	-211.47	2 of 63 - Sale ²⁵
	188.000	526.36	11/27/20	733.73	...	-207.37	3 of 63 - Sale ²⁵
	184.000	515.17	11/27/20	714.45	...	-199.28	4 of 63 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
178.000		498.37	11/27/20	691.16	...	-192.79	5 of 63 - Sale ²⁵
177.000		495.56	11/27/20	687.27	...	-191.71	6 of 63 - Sale ²⁵
208.000		582.36	11/27/20	771.95	...	-189.59	7 of 63 - Sale ²⁵
200.000		559.96	11/27/20	740.27	...	-180.31	8 of 63 - Sale ²⁵
154.000		431.17	11/27/20	597.98	...	-166.81	9 of 63 - Sale ²⁵
175.000		489.97	11/27/20	656.72	...	-166.75	10 of 63 - Sale ²⁵
163.000		456.37	11/27/20	611.69	...	-155.32	11 of 63 - Sale ²⁵
168.000		470.37	11/27/20	623.50	...	-153.13	12 of 63 - Sale ²⁵
133.000		372.38	11/27/20	519.08	...	-146.70	13 of 63 - Sale ²⁵
147.000		411.57	11/27/20	545.57	...	-134.00	14 of 63 - Sale ²⁵
109.000		305.18	11/27/20	425.41	...	-120.23	15 of 63 - Sale ²⁵
99.000		277.18	11/27/20	386.39	...	-109.21	16 of 63 - Sale ²⁵
92.000		257.58	11/27/20	359.07	...	-101.49	17 of 63 - Sale ²⁵
92.000		257.58	11/27/20	357.25	...	-99.67	18 of 63 - Sale ²⁵
87.000		243.58	11/27/20	337.84	...	-94.26	19 of 63 - Sale ²⁵
103.000		288.38	11/27/20	382.27	...	-93.89	20 of 63 - Sale ²⁵
84.000		235.18	11/27/20	326.17	...	-90.99	21 of 63 - Sale ²⁵
91.000		254.78	11/27/20	341.50	...	-86.72	22 of 63 - Sale ²⁵
69.000		193.19	11/27/20	267.90	...	-74.71	23 of 63 - Sale ²⁵
67.000		187.59	11/27/20	261.49	...	-73.90	24 of 63 - Sale ²⁵
67.000		187.59	11/27/20	261.48	...	-73.89	25 of 63 - Sale ²⁵
59.000		165.19	11/27/20	230.26	...	-65.07	26 of 63 - Sale ²⁵
53.000		148.39	11/27/20	205.79	...	-57.40	27 of 63 - Sale ²⁵
61.000		170.79	11/27/20	225.80	...	-55.01	28 of 63 - Sale ²⁵
50.000		139.99	11/27/20	194.14	...	-54.15	29 of 63 - Sale ²⁵
49.000		137.19	11/27/20	190.27	...	-53.08	30 of 63 - Sale ²⁵
45.000		125.99	11/27/20	174.73	...	-48.74	31 of 63 - Sale ²⁵
53.000		148.39	11/27/20	196.18	...	-47.79	32 of 63 - Sale ²⁵
42.000		117.59	11/27/20	163.92	...	-46.33	33 of 63 - Sale ²⁵
50.000		139.99	11/27/20	185.56	...	-45.57	34 of 63 - Sale ²⁵
41.000		114.79	11/27/20	160.03	...	-45.24	35 of 63 - Sale ²⁵
45.000		125.99	11/27/20	168.88	...	-42.89	36 of 63 - Sale ²⁵
34.000		95.19	11/27/20	132.04	...	-36.85	37 of 63 - Sale ²⁵
30.000		83.99	11/27/20	117.09	...	-33.10	38 of 63 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	28.000	78.39	11/27/20	109.30	...	-30.91	39 of 63 - Sale ²⁵
	23.000	64.40	11/27/20	89.28	...	-24.88	40 of 63 - Sale ²⁵
	23.000	64.40	11/27/20	89.25	...	-24.85	41 of 63 - Sale ²⁵
	20.000	56.00	11/27/20	77.63	...	-21.63	42 of 63 - Sale ²⁵
	20.000	56.00	11/27/20	77.62	...	-21.62	43 of 63 - Sale ²⁵
	19.000	53.20	11/27/20	73.73	...	-20.53	44 of 63 - Sale ²⁵
	16.000	44.80	11/27/20	62.12	...	-17.32	45 of 63 - Sale ²⁵
	11.000	30.80	11/27/20	42.70	...	-11.90	46 of 63 - Sale ²⁵
	11.000	30.80	11/27/20	42.69	...	-11.89	47 of 63 - Sale ²⁵
	7.000	19.60	11/27/20	27.31	...	-7.71	48 of 63 - Sale ²⁵
	7.000	19.60	11/27/20	27.17	...	-7.57	49 of 63 - Sale ²⁵
	8.000	22.40	11/27/20	29.69	...	-7.29	50 of 63 - Sale ²⁵
	5.000	14.00	11/27/20	19.51	...	-5.51	51 of 63 - Sale ²⁵
	5.000	14.00	11/27/20	19.51	...	-5.51	52 of 63 - Sale ²⁵
	5.000	14.00	11/27/20	19.41	...	-5.41	53 of 63 - Sale ²⁵
	5.000	14.00	11/27/20	18.50	...	-4.50	54 of 63 - Sale ²⁵
	4.000	11.20	11/27/20	15.61	...	-4.41	55 of 63 - Sale ²⁵
	4.000	11.20	11/27/20	15.61	...	-4.41	56 of 63 - Sale ²⁵
	3.000	8.40	11/27/20	11.71	...	-3.31	57 of 63 - Sale ²⁵
	3.000	8.40	11/27/20	11.65	...	-3.25	58 of 63 - Sale ²⁵
	2.000	5.60	11/27/20	7.76	...	-2.16	59 of 63 - Sale ²⁵
	2.000	5.60	11/27/20	7.40	...	-1.80	60 of 63 - Sale ²⁵
	1.000	2.80	11/27/20	3.88	...	-1.08	61 of 63 - Sale ²⁵
	1.000	2.80	11/27/20	3.75	...	-0.95	62 of 63 - Sale ²⁵
	1.000	2.80	11/27/20	3.70	...	-0.90	63 of 63 - Sale ²⁵
11/27/20	4,500.000	12,599.17	Various	17,133.14	...	-4,533.97	Total of 63 transactions
	87 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	2.000	3.94	12/21/20	5.72	1.78 W	0.00	1 of 87 - Sale ²⁵
	10.000	19.70	12/21/20	28.01	8.31 W	0.00	2 of 87 - Sale ²⁵
	11.000	21.67	12/21/20	31.48	9.81 W	0.00	3 of 87 - Sale ²⁵
	11.000	21.67	12/21/20	31.47	9.80 W	0.00	4 of 87 - Sale ²⁵
	15.000	29.55	12/21/20	42.01	12.46 W	0.00	5 of 87 - Sale ²⁵
	18.000	35.46	12/21/20	50.42	14.96 W	0.00	6 of 87 - Sale ²⁵
	27.000	53.19	12/21/20	77.26	24.07 W	0.00	7 of 87 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
39.000		76.82	12/21/20	109.26	32.44 W	0.00	8 of 87 - Sale ²⁵
40.000		78.79	12/21/20	114.46	35.67 W	0.00	9 of 87 - Sale ²⁵
72.000		141.83	12/21/20	201.70	59.87 W	0.00	10 of 87 - Sale ²⁵
100.000		196.98	12/21/20	280.15	83.17 W	0.00	11 of 87 - Sale ²⁵
228.000		449.12	12/21/20	638.74	189.62 W	0.00	12 of 87 - Sale ²⁵
427.000		841.12	12/21/20	1,196.26	355.14 W	0.00	13 of 87 - Sale ²⁵
2.000		3.94	12/21/20	5.72	1.78 W	0.00	14 of 87 - Sale ²⁵
11.000		21.67	12/21/20	31.47	9.80 W	0.00	15 of 87 - Sale ²⁵
11.000		21.67	12/21/20	31.48	9.81 W	0.00	16 of 87 - Sale ²⁵
15.000		29.55	12/21/20	42.92	13.37 W	0.00	17 of 87 - Sale ²⁵
15.000		29.55	12/21/20	42.92	13.37 W	0.00	18 of 87 - Sale ²⁵
17.000		33.49	12/21/20	48.64	15.15 W	0.00	19 of 87 - Sale ²⁵
20.000		39.40	12/21/20	57.22	17.82 W	0.00	20 of 87 - Sale ²⁵
35.000		68.94	12/21/20	100.15	31.21 W	0.00	21 of 87 - Sale ²⁵
37.000		72.88	12/21/20	105.88	33.00 W	0.00	22 of 87 - Sale ²⁵
50.000		98.49	12/21/20	143.06	44.57 W	0.00	23 of 87 - Sale ²⁵
131.000		258.05	12/21/20	374.85	116.80 W	0.00	24 of 87 - Sale ²⁵
250.000		492.46	12/21/20	715.38	222.92 W	0.00	25 of 87 - Sale ²⁵
4.000		7.88	12/21/20	12.01	4.13 W	0.00	26 of 87 - Sale ²⁵
5.000		9.85	12/21/20	15.01	5.16 W	0.00	27 of 87 - Sale ²⁵
9.000		17.73	12/21/20	27.03	9.30 W	0.00	28 of 87 - Sale ²⁵
15.000		29.55	12/21/20	45.02	15.47 W	0.00	29 of 87 - Sale ²⁵
81.000		159.56	12/21/20	243.22	83.66 W	0.00	30 of 87 - Sale ²⁵
99.000		195.01	12/21/20	297.27	102.26 W	0.00	31 of 87 - Sale ²⁵
193.000		380.18	12/21/20	552.23	172.05 W	0.00	32 of 87 - Sale ²⁵
8.000		15.76	12/21/20	24.03	8.27 W	0.00	33 of 87 - Sale ²⁵
6.000		11.82	12/21/20	15.36	3.54 W	0.00	34 of 87 - Sale ²⁵
7.000		13.79	12/21/20	21.01	7.22 W	0.00	35 of 87 - Sale ²⁵
13.000		25.61	12/21/20	39.05	13.44 W	0.00	36 of 87 - Sale ²⁵
13.000		25.61	12/21/20	39.01	13.40 W	0.00	37 of 87 - Sale ²⁵
21.000		41.37	12/21/20	62.09	20.72 W	0.00	38 of 87 - Sale ²⁵
24.000		47.28	12/21/20	70.94	23.66 W	0.00	39 of 87 - Sale ²⁵
25.000		49.25	12/21/20	75.03	25.78 W	0.00	40 of 87 - Sale ²⁵
30.000		59.09	12/21/20	90.09	31.00 W	0.00	41 of 87 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
34.000		66.97	12/21/20	100.57	33.60 W	0.00	42 of 87 - Sale ²⁵
34.000		66.97	12/21/20	100.57	33.60 W	0.00	43 of 87 - Sale ²⁵
36.000		70.91	12/21/20	108.10	37.19 W	0.00	44 of 87 - Sale ²⁵
42.000		82.73	12/21/20	124.23	41.50 W	0.00	45 of 87 - Sale ²⁵
45.000		88.64	12/21/20	133.10	44.46 W	0.00	46 of 87 - Sale ²⁵
55.000		108.34	12/21/20	165.14	56.80 W	0.00	47 of 87 - Sale ²⁵
100.000		196.98	12/21/20	295.77	98.79 W	0.00	48 of 87 - Sale ²⁵
218.000		429.42	12/21/20	645.77	216.35 W	0.00	49 of 87 - Sale ²⁵
7.000		13.79	12/21/20	20.72	6.93 W	0.00	50 of 87 - Sale ²⁵
16.000		31.52	12/21/20	47.40	15.88 W	0.00	51 of 87 - Sale ²⁵
66.000		130.01	12/21/20	195.49	65.48 W	0.00	52 of 87 - Sale ²⁵
93.000		183.19	12/21/20	275.50	92.31 W	0.00	53 of 87 - Sale ²⁵
107.000		210.77	12/21/20	316.97	106.20 W	0.00	54 of 87 - Sale ²⁵
4.000		7.88	12/21/20	11.84	3.96 W	0.00	55 of 87 - Sale ²⁵
6.000		11.82	12/21/20	17.77	5.95 W	0.00	56 of 87 - Sale ²⁵
10.000		19.70	12/21/20	29.62	9.92 W	0.00	57 of 87 - Sale ²⁵
10.000		19.70	12/21/20	29.61	9.91 W	0.00	58 of 87 - Sale ²⁵
11.000		21.67	12/21/20	32.58	10.91 W	0.00	59 of 87 - Sale ²⁵
11.000		21.67	12/21/20	32.58	10.91 W	0.00	60 of 87 - Sale ²⁵
12.000		23.64	12/21/20	35.54	11.90 W	0.00	61 of 87 - Sale ²⁵
14.000		27.58	12/21/20	41.46	13.88 W	0.00	62 of 87 - Sale ²⁵
15.000		29.55	12/21/20	44.42	14.87 W	0.00	63 of 87 - Sale ²⁵
27.000		53.19	12/21/20	79.98	26.79 W	0.00	64 of 87 - Sale ²⁵
27.000		53.19	12/21/20	80.02	26.83 W	0.00	65 of 87 - Sale ²⁵
40.000		78.79	12/21/20	118.51	39.72 W	0.00	66 of 87 - Sale ²⁵
59.000		116.22	12/21/20	174.79	58.57 W	0.00	67 of 87 - Sale ²⁵
76.000		149.71	12/21/20	225.14	75.43 W	0.00	68 of 87 - Sale ²⁵
82.000		161.53	12/21/20	242.96	81.43 W	0.00	69 of 87 - Sale ²⁵
87.000		171.38	12/21/20	257.75	86.37 W	0.00	70 of 87 - Sale ²⁵
89.000		175.31	12/21/20	263.70	88.39 W	0.00	71 of 87 - Sale ²⁵
100.000		196.98	12/21/20	296.23	99.25 W	0.00	72 of 87 - Sale ²⁵
100.000		196.98	12/21/20	296.26	99.28 W	0.00	73 of 87 - Sale ²⁵
100.000		196.98	12/21/20	296.28	99.30 W	0.00	74 of 87 - Sale ²⁵
120.000		236.38	12/21/20	355.51	119.13 W	0.00	75 of 87 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	93.000	183.19	12/21/20	260.54	77.35 W	0.00	76 of 87 - Sale ²⁵
	132.000	260.02	12/21/20	369.80	109.78 W	0.00	77 of 87 - Sale ²⁵
	288.000	567.31	12/21/20	806.84	239.53 W	0.00	78 of 87 - Sale ²⁵
	12.000	23.64	12/21/20	35.55	11.91 W	0.00	79 of 87 - Sale ²⁵
	15.000	29.55	12/21/20	44.42	14.87 W	0.00	80 of 87 - Sale ²⁵
	22.000	43.34	12/21/20	66.28	22.94 W	0.00	81 of 87 - Sale ²⁵
	25.000	49.25	12/21/20	75.31	26.06 W	0.00	82 of 87 - Sale ²⁵
	38.000	74.85	12/21/20	114.50	39.65 W	0.00	83 of 87 - Sale ²⁵
	56.000	110.31	12/21/20	168.71	58.40 W	0.00	84 of 87 - Sale ²⁵
	56.000	110.31	12/21/20	168.71	58.40 W	0.00	85 of 87 - Sale ²⁵
	100.000	196.98	12/21/20	301.27	104.29 W	0.00	86 of 87 - Sale ²⁵
	163.000	321.07	12/21/20	491.09	170.02 W	0.00	87 of 87 - Sale ²⁵
12/21/20	5,000.000	9,849.18	Various	14,529.93	4,680.75 W	0.00	Total of 87 transactions
	Security total:	578,831.48		636,421.55	65,999.02 W	8,408.95	
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
	8 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	53.000	132.49	11/30/20	124.55	...	7.94	1 of 8 - Sale ²⁵
	515.000	1,287.41	11/30/20	1,210.25	...	77.16	2 of 8 - Sale ²⁵
	952.000	2,379.83	11/30/20	2,237.20	...	142.63	3 of 8 - Sale ²⁵
	985.000	2,462.33	11/30/20	2,314.75	...	147.58	4 of 8 - Sale ²⁵
	1,000.000	2,499.82	11/30/20	2,350.00	...	149.82	5 of 8 - Sale ²⁵
	1,874.000	4,684.67	11/30/20	4,403.90	...	280.77	6 of 8 - Sale ²⁵
	1,965.000	4,912.16	11/30/20	4,617.75	...	294.41	7 of 8 - Sale ²⁵
	6,255.000	15,636.41	11/30/20	14,699.25	...	937.16	8 of 8 - Sale ²⁵
11/30/20	13,599.000	33,995.12	Various	31,957.65	...	2,037.47	Total of 8 transactions
KOSS CORPORATION COMMON STOCK / CUSIP: 500692108 / Symbol:							
	4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	2,651.000	9,675.61	12/29/20	12,724.80	...	-3,049.19	1 of 4 - Sale ²⁵
	850.000	3,102.33	12/29/20	4,080.00	...	-977.67	2 of 4 - Sale ²⁵
	500.000	1,824.90	12/29/20	2,400.00	...	-575.10	3 of 4 - Sale ²⁵
	4.000	14.60	12/29/20	19.20	...	-4.60	4 of 4 - Sale ²⁵
12/29/20	4,005.000	14,617.44	Various	19,224.00	...	-4,606.56	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
6 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	19.000	533.89	11/05/20	532.19	...	1.70	1 of 6 - Sale ²⁵
	100.000	2,809.92	11/05/20	2,801.00	...	8.92	2 of 6 - Sale ²⁵
	100.000	2,809.93	11/05/20	2,801.00	...	8.93	3 of 6 - Sale ²⁵
	181.000	5,085.96	11/05/20	5,069.81	...	16.15	4 of 6 - Sale ²⁵
	300.000	8,429.77	11/05/20	8,403.00	...	26.77	5 of 6 - Sale ²⁵
	300.000	8,429.78	11/05/20	8,403.00	...	26.78	6 of 6 - Sale ²⁵
11/05/20	1,000.000	28,099.25	Various	28,010.00	...	89.25	Total of 6 transactions
MAGNITE, INC. COMMON STOCK / CUSIP: 55955D100 / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	34.000	1,121.97	12/24/20	1,054.00	...	67.97	1 of 2 - Sale ²⁵
	109.000	3,596.91	12/24/20	3,379.00	...	217.91	2 of 2 - Sale ²⁵
12/28/20	143.000	4,718.88	Various	4,433.00	...	285.88	Total of 2 transactions
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
12 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	32.000	312.95	12/17/20	312.32	...	0.63	1 of 12 - Sale ²⁵
	51.000	498.76	12/17/20	497.76	...	1.00	2 of 12 - Sale ²⁵
	54.000	528.10	12/17/20	527.04	...	1.06	3 of 12 - Sale ²⁵
	83.000	811.71	12/17/20	810.08	...	1.63	4 of 12 - Sale ²⁵
	83.000	811.71	12/17/20	810.08	...	1.63	5 of 12 - Sale ²⁵
	83.000	811.71	12/17/20	810.08	...	1.63	6 of 12 - Sale ²⁵
	83.000	811.71	12/17/20	810.08	...	1.63	7 of 12 - Sale ²⁵
	225.000	2,200.42	12/17/20	2,196.00	...	4.42	8 of 12 - Sale ²⁵
	263.000	2,572.05	12/17/20	2,566.88	...	5.17	9 of 12 - Sale ²⁵
	317.000	3,100.15	12/17/20	3,093.92	...	6.23	10 of 12 - Sale ²⁵
	317.000	3,100.15	12/17/20	3,093.92	...	6.23	11 of 12 - Sale ²⁵
	317.000	3,100.15	12/17/20	3,093.92	...	6.23	12 of 12 - Sale ²⁵
12/17/20	1,908.000	18,659.57	Various	18,622.08	...	37.49	Total of 12 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARINE PETROLEUM TRUST UNITS O F BENEFICIAL INTEREST / CUSIP: 568423107 / Symbol: / Note: MP							
29 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	4.90	12/28/20	4.72	...	0.18	1 of 29 - Sale ²⁵
	5.000	24.50	12/28/20	22.50	...	2.00	2 of 29 - Sale ²⁵
	41.000	200.89	12/28/20	193.52	...	7.37	3 of 29 - Sale ²⁵
	41.000	200.90	12/28/20	193.52	...	7.38	4 of 29 - Sale ²⁵
	41.000	200.90	12/28/20	193.52	...	7.38	5 of 29 - Sale ²⁵
	59.000	289.09	12/28/20	278.48	...	10.61	6 of 29 - Sale ²⁵
	59.000	289.09	12/28/20	278.48	...	10.61	7 of 29 - Sale ²⁵
	59.000	289.09	12/28/20	278.48	...	10.61	8 of 29 - Sale ²⁵
	41.000	200.89	12/28/20	190.24	...	10.65	9 of 29 - Sale ²⁵
	30.000	146.99	12/28/20	135.30	...	11.69	10 of 29 - Sale ²⁵
	94.000	460.58	12/28/20	445.56	...	15.02	11 of 29 - Sale ²⁵
	59.000	289.09	12/28/20	273.76	...	15.33	12 of 29 - Sale ²⁵
	99.000	485.08	12/28/20	467.28	...	17.80	13 of 29 - Sale ²⁵
	100.000	489.97	12/28/20	472.00	...	17.97	14 of 29 - Sale ²⁵
	100.000	489.98	12/28/20	472.00	...	17.98	15 of 29 - Sale ²⁵
	100.000	489.98	12/28/20	472.00	...	17.98	16 of 29 - Sale ²⁵
	100.000	489.98	12/28/20	472.00	...	17.98	17 of 29 - Sale ²⁵
	100.000	489.98	12/28/20	472.00	...	17.98	18 of 29 - Sale ²⁵
	100.000	489.97	12/28/20	468.50	...	21.47	19 of 29 - Sale ²⁵
	100.000	489.98	12/28/20	465.00	...	24.98	20 of 29 - Sale ²⁵
	100.000	489.97	12/28/20	464.00	...	25.97	21 of 29 - Sale ²⁵
	100.000	489.97	12/28/20	463.00	...	26.97	22 of 29 - Sale ²⁵
	100.000	489.98	12/28/20	463.00	...	26.98	23 of 29 - Sale ²⁵
	100.000	489.98	12/28/20	463.00	...	26.98	24 of 29 - Sale ²⁵
	200.000	979.95	12/28/20	950.00	...	29.95	25 of 29 - Sale ²⁵
	199.000	975.05	12/28/20	942.40	...	32.65	26 of 29 - Sale ²⁵
	180.000	881.96	12/28/20	848.20	...	33.76	27 of 29 - Sale ²⁵
	297.000	1,455.22	12/28/20	1,407.78	...	47.44	28 of 29 - Sale ²⁵
	400.000	1,959.91	12/28/20	1,900.00	...	59.91	29 of 29 - Sale ²⁵
12/28/20	3,005.000	14,723.82	Various	14,150.24	...	573.58	Total of 29 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol:							
25 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	49.000	38.65	09/23/20	38.47	...	0.18	1 of 25 - Sale ²⁵
	70.000	55.22	09/23/20	54.95	...	0.27	2 of 25 - Sale ²⁵
	380.000	299.77	09/23/20	298.30	...	1.47	3 of 25 - Sale ²⁵
	1.000	0.79	09/24/20	0.79	...	0.00	4 of 25 - Sale ²⁵
	5.000	3.94	09/24/20	3.93	...	0.01	5 of 25 - Sale ²⁵
	10.000	7.89	09/24/20	7.85	...	0.04	6 of 25 - Sale ²⁵
	15.000	11.83	09/24/20	11.78	...	0.05	7 of 25 - Sale ²⁵
	28.000	22.09	09/24/20	21.98	...	0.11	8 of 25 - Sale ²⁵
	50.000	39.44	09/24/20	39.25	...	0.19	9 of 25 - Sale ²⁵
	79.000	62.32	09/24/20	62.02	...	0.30	10 of 25 - Sale ²⁵
	100.000	78.89	09/24/20	78.50	...	0.39	11 of 25 - Sale ²⁵
	100.000	78.89	09/24/20	78.50	...	0.39	12 of 25 - Sale ²⁵
	100.000	78.89	09/24/20	78.50	...	0.39	13 of 25 - Sale ²⁵
	100.000	78.89	09/24/20	78.50	...	0.39	14 of 25 - Sale ²⁵
	100.000	78.89	09/24/20	78.50	...	0.39	15 of 25 - Sale ²⁵
	100.000	78.89	09/24/20	78.50	...	0.39	16 of 25 - Sale ²⁵
	200.000	157.77	09/24/20	157.00	...	0.77	17 of 25 - Sale ²⁵
	200.000	157.77	09/24/20	157.00	...	0.77	18 of 25 - Sale ²⁵
	200.000	157.77	09/24/20	157.00	...	0.77	19 of 25 - Sale ²⁵
	300.000	236.66	09/24/20	235.50	...	1.16	20 of 25 - Sale ²⁵
	600.000	473.32	09/24/20	471.00	...	2.32	21 of 25 - Sale ²⁵
	600.000	473.32	09/24/20	471.00	...	2.32	22 of 25 - Sale ²⁵
	2,000.000	1,577.72	09/24/20	1,570.00	...	7.72	23 of 25 - Sale ²⁵
	3,000.000	2,366.59	09/24/20	2,355.00	...	11.59	24 of 25 - Sale ²⁵
	4,645.000	3,664.27	09/24/20	3,646.33	...	17.94	25 of 25 - Sale ²⁵
09/25/20	13,032.000	10,280.47	Various	10,230.15	...	50.32	Total of 25 transactions
3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	10.000	7.82	09/30/20	7.62	...	0.20	1 of 3 - Sale ²⁵
	63.000	49.24	09/30/20	48.01	...	1.23	2 of 3 - Sale ²⁵
	630.000	492.38	09/30/20	480.06	...	12.32	3 of 3 - Sale ²⁵
10/05/20	703.000	549.44	Various	535.69	...	13.75	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
<i>8 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1.75	10/08/20	1.72	...	0.03	1 of 8 - Sale ²⁵
	20.000	17.50	10/08/20	17.22	...	0.28	2 of 8 - Sale ²⁵
	100.000	87.51	10/08/20	86.10	...	1.41	3 of 8 - Sale ²⁵
	100.000	87.52	10/08/20	86.10	...	1.42	4 of 8 - Sale ²⁵
	100.000	87.52	10/08/20	86.10	...	1.42	5 of 8 - Sale ²⁵
	100.000	87.52	10/08/20	86.10	...	1.42	6 of 8 - Sale ²⁵
	178.000	155.73	10/08/20	153.26	...	2.47	7 of 8 - Sale ²⁵
	9,400.000	8,223.69	10/08/20	8,093.40	...	130.29	8 of 8 - Sale ²⁵
10/09/20	10,000.000	8,748.74	Various	8,610.00	...	138.74	Total of 8 transactions
<i>21 transactions for 10/28/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	87.19	10/27/20	90.10	2.91 W	0.00	1 of 21 - Sale ²⁵
	100.000	87.19	10/27/20	90.10	2.91 W	0.00	2 of 21 - Sale ²⁵
	100.000	87.19	10/27/20	90.10	2.91 W	0.00	3 of 21 - Sale ²⁵
	200.000	174.38	10/27/20	180.20	5.82 W	0.00	4 of 21 - Sale ²⁵
	330.000	287.72	10/27/20	297.33	9.61 W	0.00	5 of 21 - Sale ²⁵
	470.000	409.77	10/27/20	423.47	13.70 W	0.00	6 of 21 - Sale ²⁵
	50.000	43.59	10/27/20	46.51	2.92 W	0.00	7 of 21 - Sale ²⁵
	50.000	43.59	10/28/20	45.05	1.46 W	0.00	8 of 21 - Sale ²⁵
	100.000	87.19	10/28/20	90.11	2.92 W	0.00	9 of 21 - Sale ²⁵
	100.000	87.18	10/28/20	90.11	2.93 W	0.00	10 of 21 - Sale ²⁵
	150.000	130.78	10/28/20	135.17	4.39 W	0.00	11 of 21 - Sale ²⁵
	50.000	43.59	10/28/20	45.05	1.46 W	0.00	12 of 21 - Sale ²⁵
	100.000	87.19	10/28/20	90.11	2.92 W	0.00	13 of 21 - Sale ²⁵
	50.000	43.49	10/28/20	46.52	3.03 W	0.00	14 of 21 - Sale ²⁵
	50.000	43.49	10/28/20	45.06	1.57 W	0.00	15 of 21 - Sale ²⁵
	100.000	86.99	10/28/20	90.12	3.13 W	0.00	16 of 21 - Sale ²⁵
	100.000	86.99	10/28/20	90.13	3.14 W	0.00	17 of 21 - Sale ²⁵
	150.000	130.48	10/28/20	135.19	4.71 W	0.00	18 of 21 - Sale ²⁵
	230.000	200.07	10/28/20	207.26	7.19 W	0.00	19 of 21 - Sale ²⁵
	470.000	408.83	10/28/20	423.54	14.71 W	0.00	20 of 21 - Sale ²⁵
	2,530.000	2,200.74	10/28/20	2,206.16	5.42 W	0.00	21 of 21 - Sale ²⁵
10/28/20	5,580.000	4,857.63	Various	4,957.39	99.76 W	0.00	Total of 21 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
13 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	50.000	51.06	11/27/20	50.52	...	0.54	1 of 13 - Sale ²⁵
	50.000	51.06	11/27/20	49.06	...	2.00	2 of 13 - Sale ²⁵
	50.000	51.06	11/27/20	48.95	...	2.11	3 of 13 - Sale ²⁵
	100.000	102.12	11/27/20	98.12	...	4.00	4 of 13 - Sale ²⁵
	100.000	102.12	11/27/20	98.11	...	4.01	5 of 13 - Sale ²⁵
	100.000	102.12	11/27/20	97.90	...	4.22	6 of 13 - Sale ²⁵
	150.000	153.17	11/27/20	147.18	...	5.99	7 of 13 - Sale ²⁵
	230.000	234.87	11/27/20	225.64	...	9.23	8 of 13 - Sale ²⁵
	143.000	146.03	11/27/20	136.13	...	9.90	9 of 13 - Sale ²⁵
	470.000	479.94	11/27/20	461.12	...	18.82	10 of 13 - Sale ²⁵
	900.000	919.04	11/27/20	856.75	...	62.29	11 of 13 - Sale ²⁵
	1,487.000	1,518.45	11/27/20	1,415.53	...	102.92	12 of 13 - Sale ²⁵
	1,714.000	1,750.26	11/27/20	1,627.96	...	122.30	13 of 13 - Sale ²⁵
11/27/20	5,544.000	5,661.30	Various	5,312.97	...	348.33	Total of 13 transactions
12/08/20	25.000	28.25	12/08/20	28.25	...	0.00	Sale ²⁵
10 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	242.000	273.43	12/08/20	273.46	0.03 W	0.00	1 of 10 - Sale ²⁵
	200.000	225.98	12/08/20	226.00	0.02 W	0.00	2 of 10 - Sale ²⁵
	4,300.000	4,858.38	12/08/20	4,859.00	0.62 W	0.00	3 of 10 - Sale ²⁵
	443.000	500.52	12/08/20	500.59	0.07 W	0.00	4 of 10 - Sale ²⁵
	1,179.000	1,332.10	12/08/20	1,332.27	0.17 W	0.00	5 of 10 - Sale ²⁵
	200.000	225.97	12/08/20	226.02	0.05 W	0.00	6 of 10 - Sale ²⁵
	242.000	273.42	12/08/20	273.49	0.07 W	0.00	7 of 10 - Sale ²⁵
	458.000	517.47	12/08/20	517.61	0.14 W	0.00	8 of 10 - Sale ²⁵
	2,621.000	2,961.36	12/08/20	2,962.11	0.75 W	0.00	9 of 10 - Sale ²⁵
	89.000	100.56	12/08/20	100.58	0.02 W	0.00	10 of 10 - Sale ²⁵
12/08/20	9,974.000	11,269.19	Various	11,271.13	1.94 W	0.00	Total of 10 transactions
181 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	2,594.000	2,697.39	12/10/20	2,697.76	...	-0.37	1 of 181 - Sale ²⁵
	1,088.000	1,131.36	12/10/20	1,131.52	...	-0.16	2 of 181 - Sale ²⁵
	1,050.000	1,091.85	12/10/20	1,092.00	...	-0.15	3 of 181 - Sale ²⁵
	1,000.000	1,039.86	12/10/20	1,040.00	...	-0.14	4 of 181 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
500.000		519.92	12/10/20	520.00	...	-0.08	5 of 181 - Sale ²⁵
417.000		433.62	12/10/20	433.68	...	-0.06	6 of 181 - Sale ²⁵
395.000		410.74	12/10/20	410.80	...	-0.06	7 of 181 - Sale ²⁵
266.000		276.60	12/10/20	276.64	...	-0.04	8 of 181 - Sale ²⁵
249.000		258.92	12/10/20	258.96	...	-0.04	9 of 181 - Sale ²⁵
245.000		254.76	12/10/20	254.80	...	-0.04	10 of 181 - Sale ²⁵
120.000		124.78	12/10/20	124.80	...	-0.02	11 of 181 - Sale ²⁵
125.000		129.98	12/10/20	130.00	...	-0.02	12 of 181 - Sale ²⁵
135.000		140.38	12/10/20	140.40	...	-0.02	13 of 181 - Sale ²⁵
48.000		49.91	12/10/20	49.92	...	-0.01	14 of 181 - Sale ²⁵
73.000		75.91	12/10/20	75.92	...	-0.01	15 of 181 - Sale ²⁵
100.000		103.99	12/10/20	104.00	...	-0.01	16 of 181 - Sale ²⁵
100.000		103.99	12/10/20	104.00	...	-0.01	17 of 181 - Sale ²⁵
89.000		92.55	12/10/20	92.56	...	-0.01	18 of 181 - Sale ²⁵
64.000		66.55	12/10/20	66.56	...	-0.01	19 of 181 - Sale ²⁵
58.000		60.31	12/10/20	60.32	...	-0.01	20 of 181 - Sale ²⁵
100.000		103.99	12/10/20	104.00	...	-0.01	21 of 181 - Sale ²⁵
100.000		103.99	12/10/20	104.00	...	-0.01	22 of 181 - Sale ²⁵
50.000		51.99	12/10/20	52.00	...	-0.01	23 of 181 - Sale ²⁵
75.000		77.99	12/10/20	78.00	...	-0.01	24 of 181 - Sale ²⁵
50.000		51.99	12/10/20	52.00	...	-0.01	25 of 181 - Sale ²⁵
1.000		1.04	12/10/20	1.04	...	0.00	26 of 181 - Sale ²⁵
33.000		34.32	12/10/20	34.32	...	0.00	27 of 181 - Sale ²⁵
9.000		9.36	12/10/20	9.36	...	0.00	28 of 181 - Sale ²⁵
25.000		26.00	12/10/20	26.00	...	0.00	29 of 181 - Sale ²⁵
30.000		31.20	12/10/20	31.20	...	0.00	30 of 181 - Sale ²⁵
25.000		26.00	12/10/20	26.00	...	0.00	31 of 181 - Sale ²⁵
3.000		3.12	12/10/20	3.12	...	0.00	32 of 181 - Sale ²⁵
7.000		7.28	12/10/20	7.28	...	0.00	33 of 181 - Sale ²⁵
10.000		10.40	12/10/20	10.40	...	0.00	34 of 181 - Sale ²⁵
29.000		30.16	12/10/20	30.16	...	0.00	35 of 181 - Sale ²⁵
20.000		20.80	12/10/20	20.80	...	0.00	36 of 181 - Sale ²⁵
1.000		1.05	12/10/20	1.04	...	0.01	37 of 181 - Sale ²⁵
1.000		1.05	12/10/20	1.04	...	0.01	38 of 181 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
	1.000	1.05	12/10/20	1.04	...	0.01	39 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	40 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	41 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	42 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	43 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	44 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	45 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	46 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	47 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	48 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	49 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	50 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	51 of 181 - Sale ²⁵
	1.000	1.05	12/10/20	1.04	...	0.01	52 of 181 - Sale ²⁵
	2.000	2.10	12/10/20	2.08	...	0.02	53 of 181 - Sale ²⁵
	2.000	2.10	12/10/20	2.08	...	0.02	54 of 181 - Sale ²⁵
	2.000	2.10	12/10/20	2.08	...	0.02	55 of 181 - Sale ²⁵
	2.000	2.10	12/10/20	2.08	...	0.02	56 of 181 - Sale ²⁵
	2.000	2.10	12/10/20	2.08	...	0.02	57 of 181 - Sale ²⁵
	2.000	2.10	12/10/20	2.08	...	0.02	58 of 181 - Sale ²⁵
	2.000	2.10	12/10/20	2.08	...	0.02	59 of 181 - Sale ²⁵
	2.000	2.10	12/10/20	2.08	...	0.02	60 of 181 - Sale ²⁵
	3.000	3.15	12/10/20	3.12	...	0.03	61 of 181 - Sale ²⁵
	3.000	3.15	12/10/20	3.12	...	0.03	62 of 181 - Sale ²⁵
	3.000	3.15	12/10/20	3.12	...	0.03	63 of 181 - Sale ²⁵
	3.000	3.15	12/10/20	3.12	...	0.03	64 of 181 - Sale ²⁵
	4.000	4.20	12/10/20	4.16	...	0.04	65 of 181 - Sale ²⁵
	4.000	4.20	12/10/20	4.16	...	0.04	66 of 181 - Sale ²⁵
	4.000	4.20	12/10/20	4.16	...	0.04	67 of 181 - Sale ²⁵
	4.000	4.20	12/10/20	4.16	...	0.04	68 of 181 - Sale ²⁵
	5.000	5.25	12/10/20	5.20	...	0.05	69 of 181 - Sale ²⁵
	5.000	5.25	12/10/20	5.20	...	0.05	70 of 181 - Sale ²⁵
	5.000	5.25	12/10/20	5.20	...	0.05	71 of 181 - Sale ²⁵
	5.000	5.25	12/10/20	5.20	...	0.05	72 of 181 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
	6.000	6.30	12/10/20	6.24	...	0.06	73 of 181 - Sale ²⁵
	7.000	7.35	12/10/20	7.28	...	0.07	74 of 181 - Sale ²⁵
	8.000	8.40	12/10/20	8.32	...	0.08	75 of 181 - Sale ²⁵
	8.000	8.40	12/10/20	8.32	...	0.08	76 of 181 - Sale ²⁵
	8.000	8.40	12/10/20	8.32	...	0.08	77 of 181 - Sale ²⁵
	8.000	8.40	12/10/20	8.32	...	0.08	78 of 181 - Sale ²⁵
	9.000	9.45	12/10/20	9.36	...	0.09	79 of 181 - Sale ²⁵
	10.000	10.50	12/10/20	10.41	...	0.09	80 of 181 - Sale ²⁵
	9.000	9.45	12/10/20	9.36	...	0.09	81 of 181 - Sale ²⁵
	9.000	9.45	12/10/20	9.36	...	0.09	82 of 181 - Sale ²⁵
	10.000	10.50	12/10/20	10.40	...	0.10	83 of 181 - Sale ²⁵
	10.000	10.50	12/10/20	10.40	...	0.10	84 of 181 - Sale ²⁵
	10.000	10.50	12/10/20	10.40	...	0.10	85 of 181 - Sale ²⁵
	10.000	10.50	12/10/20	10.40	...	0.10	86 of 181 - Sale ²⁵
	10.000	10.50	12/10/20	10.40	...	0.10	87 of 181 - Sale ²⁵
	10.000	10.50	12/10/20	10.40	...	0.10	88 of 181 - Sale ²⁵
	11.000	11.55	12/10/20	11.44	...	0.11	89 of 181 - Sale ²⁵
	12.000	12.60	12/10/20	12.48	...	0.12	90 of 181 - Sale ²⁵
	15.000	15.75	12/10/20	15.61	...	0.14	91 of 181 - Sale ²⁵
	15.000	15.75	12/10/20	15.60	...	0.15	92 of 181 - Sale ²⁵
	16.000	16.80	12/10/20	16.64	...	0.16	93 of 181 - Sale ²⁵
	19.000	19.95	12/10/20	19.77	...	0.18	94 of 181 - Sale ²⁵
	18.000	18.90	12/10/20	18.72	...	0.18	95 of 181 - Sale ²⁵
	20.000	21.00	12/10/20	20.81	...	0.19	96 of 181 - Sale ²⁵
	20.000	21.00	12/10/20	20.81	...	0.19	97 of 181 - Sale ²⁵
	20.000	21.00	12/10/20	20.81	...	0.19	98 of 181 - Sale ²⁵
	20.000	21.00	12/10/20	20.81	...	0.19	99 of 181 - Sale ²⁵
	20.000	21.00	12/10/20	20.80	...	0.20	100 of 181 - Sale ²⁵
	21.000	22.05	12/10/20	21.85	...	0.20	101 of 181 - Sale ²⁵
	20.000	21.00	12/10/20	20.80	...	0.20	102 of 181 - Sale ²⁵
	24.000	25.20	12/10/20	24.96	...	0.24	103 of 181 - Sale ²⁵
	25.000	26.25	12/10/20	26.01	...	0.24	104 of 181 - Sale ²⁵
	24.000	25.20	12/10/20	24.96	...	0.24	105 of 181 - Sale ²⁵
	24.000	25.20	12/10/20	24.96	...	0.24	106 of 181 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
25.000		26.25	12/10/20	26.00	...	0.25	107 of 181 - Sale ²⁵
25.000		26.25	12/10/20	26.00	...	0.25	108 of 181 - Sale ²⁵
25.000		26.25	12/10/20	26.00	...	0.25	109 of 181 - Sale ²⁵
28.000		29.40	12/10/20	29.13	...	0.27	110 of 181 - Sale ²⁵
27.000		28.35	12/10/20	28.08	...	0.27	111 of 181 - Sale ²⁵
29.000		30.45	12/10/20	30.17	...	0.28	112 of 181 - Sale ²⁵
30.000		31.50	12/10/20	31.21	...	0.29	113 of 181 - Sale ²⁵
31.000		32.55	12/10/20	32.25	...	0.30	114 of 181 - Sale ²⁵
30.000		31.50	12/10/20	31.20	...	0.30	115 of 181 - Sale ²⁵
30.000		31.50	12/10/20	31.20	...	0.30	116 of 181 - Sale ²⁵
34.000		35.69	12/10/20	35.37	...	0.32	117 of 181 - Sale ²⁵
33.000		34.65	12/10/20	34.32	...	0.33	118 of 181 - Sale ²⁵
35.000		36.75	12/10/20	36.40	...	0.35	119 of 181 - Sale ²⁵
45.000		47.24	12/10/20	46.82	...	0.42	120 of 181 - Sale ²⁵
47.000		49.35	12/10/20	48.88	...	0.47	121 of 181 - Sale ²⁵
50.000		52.49	12/10/20	52.01	...	0.48	122 of 181 - Sale ²⁵
50.000		52.50	12/10/20	52.01	...	0.49	123 of 181 - Sale ²⁵
49.000		51.45	12/10/20	50.96	...	0.49	124 of 181 - Sale ²⁵
56.000		58.79	12/10/20	58.26	...	0.53	125 of 181 - Sale ²⁵
58.000		60.89	12/10/20	60.34	...	0.55	126 of 181 - Sale ²⁵
60.000		62.99	12/10/20	62.42	...	0.57	127 of 181 - Sale ²⁵
58.000		60.89	12/10/20	60.32	...	0.57	128 of 181 - Sale ²⁵
60.000		62.99	12/10/20	62.40	...	0.59	129 of 181 - Sale ²⁵
64.000		67.19	12/10/20	66.56	...	0.63	130 of 181 - Sale ²⁵
68.000		71.39	12/10/20	70.74	...	0.65	131 of 181 - Sale ²⁵
70.000		73.49	12/10/20	72.82	...	0.67	132 of 181 - Sale ²⁵
70.000		73.49	12/10/20	72.81	...	0.68	133 of 181 - Sale ²⁵
71.000		74.54	12/10/20	73.86	...	0.68	134 of 181 - Sale ²⁵
71.000		74.54	12/10/20	73.85	...	0.69	135 of 181 - Sale ²⁵
71.000		74.54	12/10/20	73.84	...	0.70	136 of 181 - Sale ²⁵
75.000		78.74	12/10/20	78.02	...	0.72	137 of 181 - Sale ²⁵
74.000		77.69	12/10/20	76.96	...	0.73	138 of 181 - Sale ²⁵
81.000		85.04	12/10/20	84.25	...	0.79	139 of 181 - Sale ²⁵
86.000		90.29	12/10/20	89.47	...	0.82	140 of 181 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
89.000		93.44	12/10/20	92.59	...	0.85	141 of 181 - Sale ²⁵
90.000		94.49	12/10/20	93.63	...	0.86	142 of 181 - Sale ²⁵
90.000		94.49	12/10/20	93.63	...	0.86	143 of 181 - Sale ²⁵
102.000		107.08	12/10/20	106.14	...	0.94	144 of 181 - Sale ²⁵
100.000		104.98	12/10/20	104.03	...	0.95	145 of 181 - Sale ²⁵
100.000		104.99	12/10/20	104.03	...	0.96	146 of 181 - Sale ²⁵
100.000		104.99	12/10/20	104.02	...	0.97	147 of 181 - Sale ²⁵
100.000		104.99	12/10/20	104.01	...	0.98	148 of 181 - Sale ²⁵
100.000		104.99	12/10/20	104.01	...	0.98	149 of 181 - Sale ²⁵
99.000		103.94	12/10/20	102.96	...	0.98	150 of 181 - Sale ²⁵
100.000		104.99	12/10/20	104.00	...	0.99	151 of 181 - Sale ²⁵
100.000		104.99	12/10/20	104.00	...	0.99	152 of 181 - Sale ²⁵
100.000		104.99	12/10/20	104.00	...	0.99	153 of 181 - Sale ²⁵
100.000		104.99	12/10/20	104.00	...	0.99	154 of 181 - Sale ²⁵
104.000		109.19	12/10/20	108.16	...	1.03	155 of 181 - Sale ²⁵
128.000		134.38	12/10/20	133.12	...	1.26	156 of 181 - Sale ²⁵
135.000		141.73	12/10/20	140.40	...	1.33	157 of 181 - Sale ²⁵
138.000		144.88	12/10/20	143.52	...	1.36	158 of 181 - Sale ²⁵
144.000		151.18	12/10/20	149.78	...	1.40	159 of 181 - Sale ²⁵
150.000		157.48	12/10/20	156.00	...	1.48	160 of 181 - Sale ²⁵
171.000		179.53	12/10/20	177.89	...	1.64	161 of 181 - Sale ²⁵
167.000		175.33	12/10/20	173.68	...	1.65	162 of 181 - Sale ²⁵
181.000		190.02	12/10/20	188.30	...	1.72	163 of 181 - Sale ²⁵
180.000		188.98	12/10/20	187.20	...	1.78	164 of 181 - Sale ²⁵
192.000		201.58	12/10/20	199.68	...	1.90	165 of 181 - Sale ²⁵
200.000		209.97	12/10/20	208.05	...	1.92	166 of 181 - Sale ²⁵
200.000		209.98	12/10/20	208.06	...	1.92	167 of 181 - Sale ²⁵
200.000		209.98	12/10/20	208.06	...	1.92	168 of 181 - Sale ²⁵
200.000		209.98	12/10/20	208.00	...	1.98	169 of 181 - Sale ²⁵
200.000		209.98	12/10/20	208.00	...	1.98	170 of 181 - Sale ²⁵
262.000		275.06	12/10/20	272.53	...	2.53	171 of 181 - Sale ²⁵
282.000		296.06	12/10/20	293.33	...	2.73	172 of 181 - Sale ²⁵
300.000		314.96	12/10/20	312.00	...	2.96	173 of 181 - Sale ²⁵
478.000		501.82	12/10/20	497.12	...	4.70	174 of 181 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
	478.000	501.82	12/10/20	497.12	...	4.70	175 of 181 - Sale ²⁵
	479.000	502.87	12/10/20	498.16	...	4.71	176 of 181 - Sale ²⁵
	491.000	515.47	12/10/20	510.64	...	4.83	177 of 181 - Sale ²⁵
	748.000	785.29	12/10/20	777.92	...	7.37	178 of 181 - Sale ²⁵
	848.000	890.28	12/10/20	882.16	...	8.12	179 of 181 - Sale ²⁵
	1,011.000	1,061.40	12/10/20	1,051.60	...	9.80	180 of 181 - Sale ²⁵
	4,000.000	4,199.42	12/10/20	4,160.00	...	39.42	181 of 181 - Sale ²⁵
12/10/20	25,002.000	26,155.87	Various	26,003.51	...	152.36	Total of 181 transactions
	Security total:	67,550.89		66,949.09	101.70 W	703.50	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	14.000	664.28	11/16/20	663.60	...	0.68	1 of 3 - Sale ²⁵
	86.000	4,080.60	11/16/20	4,074.68	...	5.92	2 of 3 - Sale ²⁵
	400.000	18,979.53	11/16/20	18,960.00	...	19.53	3 of 3 - Sale ²⁵
11/16/20	500.000	23,724.41	Various	23,698.28	...	26.13	Total of 3 transactions
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
14 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	673.000	720.01	12/16/20	787.51	...	-67.50	1 of 14 - Sale ²⁵
	427.000	456.83	12/16/20	521.06	...	-64.23	2 of 14 - Sale ²⁵
	400.000	427.94	12/16/20	488.12	...	-60.18	3 of 14 - Sale ²⁵
	273.000	292.07	12/16/20	333.14	...	-41.07	4 of 14 - Sale ²⁵
	273.000	292.07	12/16/20	333.14	...	-41.07	5 of 14 - Sale ²⁵
	400.000	427.95	12/16/20	468.06	...	-40.11	6 of 14 - Sale ²⁵
	227.000	242.85	12/16/20	277.01	...	-34.16	7 of 14 - Sale ²⁵
	200.000	213.97	12/16/20	244.05	...	-30.08	8 of 14 - Sale ²⁵
	273.000	292.07	12/16/20	319.45	...	-27.38	9 of 14 - Sale ²⁵
	158.000	169.03	12/16/20	184.89	...	-15.86	10 of 14 - Sale ²⁵
	69.000	73.82	12/16/20	80.74	...	-6.92	11 of 14 - Sale ²⁵
	69.000	73.82	12/16/20	80.74	...	-6.92	12 of 14 - Sale ²⁵
	31.000	33.17	12/16/20	36.27	...	-3.10	13 of 14 - Sale ²⁵
	27.000	28.89	12/16/20	31.59	...	-2.70	14 of 14 - Sale ²⁵
12/16/20	3,500.000	3,744.49	Various	4,185.77	...	-441.28	Total of 14 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol: (cont'd)							
<i>10 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	1,069.85	12/16/20	1,170.15	40.12 W	-60.18	1 of 10 - Sale ²⁵
	200.000	213.98	12/16/20	224.00	10.02 W	0.00	2 of 10 - Sale ²⁵
	700.000	748.90	12/16/20	784.00	35.10 W	0.00	3 of 10 - Sale ²⁵
	500.000	534.92	12/16/20	560.00	25.08 W	0.00	4 of 10 - Sale ²⁵
	1,000.000	1,069.85	12/16/20	1,120.00	50.15 W	0.00	5 of 10 - Sale ²⁵
	1,000.000	1,069.85	12/16/20	1,120.00	50.15 W	0.00	6 of 10 - Sale ²⁵
	700.000	748.90	12/16/20	784.00	35.10 W	0.00	7 of 10 - Sale ²⁵
	200.000	213.97	12/16/20	234.02	20.05 W	0.00	8 of 10 - Sale ²⁵
	500.000	534.93	12/16/20	585.08	50.15 W	0.00	9 of 10 - Sale ²⁵
	700.000	748.90	12/16/20	819.10	70.20 W	0.00	10 of 10 - Sale ²⁵
12/16/20	6,500.000	6,954.05	Various	7,400.35	386.12 W	-60.18	Total of 10 transactions
	Security total:	10,698.54		11,586.12	386.12 W	-501.46	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>8 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.65	09/10/20	0.64	...	0.01	1 of 8 - Sale ²⁵
	200.000	64.58	09/11/20	63.60	...	0.98	2 of 8 - Sale ²⁵
	300.000	96.83	09/11/20	95.40	...	1.43	3 of 8 - Sale ²⁵
	384.000	123.94	09/11/20	122.11	...	1.83	4 of 8 - Sale ²⁵
	398.000	128.50	09/11/20	126.56	...	1.94	5 of 8 - Sale ²⁵
	600.000	193.73	09/11/20	190.80	...	2.93	6 of 8 - Sale ²⁵
	600.000	193.73	09/11/20	190.80	...	2.93	7 of 8 - Sale ²⁵
	12,516.000	4,037.33	09/11/20	3,980.09	...	57.24	8 of 8 - Sale ²⁵
09/11/20	15,000.000	4,839.29	Various	4,770.00	...	69.29	Total of 8 transactions
<i>19 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	11.72	09/15/20	11.55	...	0.17	1 of 19 - Sale ²⁵
	75.000	25.12	09/15/20	24.75	...	0.37	2 of 19 - Sale ²⁵
	100.000	33.49	09/15/20	33.00	...	0.49	3 of 19 - Sale ²⁵
	100.000	33.49	09/15/20	33.00	...	0.49	4 of 19 - Sale ²⁵
	100.000	33.49	09/15/20	33.00	...	0.49	5 of 19 - Sale ²⁵
	200.000	66.98	09/15/20	66.00	...	0.98	6 of 19 - Sale ²⁵
	500.000	167.49	09/15/20	165.00	...	2.49	7 of 19 - Sale ²⁵
	800.000	267.90	09/15/20	264.00	...	3.90	8 of 19 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	990.000	331.52	09/15/20	326.70	...	4.82	9 of 19 - Sale ²⁵
	1,300.000	435.46	09/15/20	429.00	...	6.46	10 of 19 - Sale ²⁵
	1,300.000	435.47	09/15/20	429.00	...	6.47	11 of 19 - Sale ²⁵
	1,500.000	502.46	09/15/20	495.00	...	7.46	12 of 19 - Sale ²⁵
	1,600.000	535.96	09/15/20	528.00	...	7.96	13 of 19 - Sale ²⁵
	1,600.000	535.96	09/15/20	528.00	...	7.96	14 of 19 - Sale ²⁵
	1,600.000	535.96	09/15/20	528.00	...	7.96	15 of 19 - Sale ²⁵
	1,600.000	535.96	09/15/20	528.00	...	7.96	16 of 19 - Sale ²⁵
	1,700.000	569.28	09/15/20	561.00	...	8.28	17 of 19 - Sale ²⁵
	1,900.000	636.25	09/15/20	627.00	...	9.25	18 of 19 - Sale ²⁵
	13,000.000	4,354.64	09/15/20	4,290.00	...	64.64	19 of 19 - Sale ²⁵
09/16/20	30,000.000	10,048.60	Various	9,900.00	...	148.60	Total of 19 transactions
	22 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	100.000	34.10	10/12/20	33.98	...	0.12	1 of 22 - Sale ²⁵
	200.000	68.18	10/12/20	67.96	...	0.22	2 of 22 - Sale ²⁵
	200.000	68.22	10/12/20	67.96	...	0.26	3 of 22 - Sale ²⁵
	200.000	68.22	10/12/20	67.96	...	0.26	4 of 22 - Sale ²⁵
	200.000	68.22	10/12/20	67.96	...	0.26	5 of 22 - Sale ²⁵
	400.000	136.35	10/12/20	135.92	...	0.43	6 of 22 - Sale ²⁵
	400.000	136.43	10/12/20	135.92	...	0.51	7 of 22 - Sale ²⁵
	400.000	136.43	10/12/20	135.92	...	0.51	8 of 22 - Sale ²⁵
	400.000	136.43	10/12/20	135.92	...	0.51	9 of 22 - Sale ²⁵
	400.000	136.43	10/12/20	135.92	...	0.51	10 of 22 - Sale ²⁵
	400.000	136.43	10/12/20	135.92	...	0.51	11 of 22 - Sale ²⁵
	400.000	136.43	10/12/20	135.92	...	0.51	12 of 22 - Sale ²⁵
	600.000	204.53	10/12/20	203.88	...	0.65	13 of 22 - Sale ²⁵
	600.000	204.59	10/12/20	203.88	...	0.71	14 of 22 - Sale ²⁵
	600.000	204.59	10/12/20	203.88	...	0.71	15 of 22 - Sale ²⁵
	700.000	238.69	10/12/20	237.86	...	0.83	16 of 22 - Sale ²⁵
	900.000	306.79	10/12/20	305.82	...	0.97	17 of 22 - Sale ²⁵
	1,000.000	340.98	10/12/20	339.80	...	1.18	18 of 22 - Sale ²⁵
	1,700.000	579.65	10/12/20	577.66	...	1.99	19 of 22 - Sale ²⁵
	1,700.000	579.65	10/12/20	577.66	...	1.99	20 of 22 - Sale ²⁵
	1,700.000	579.65	10/12/20	577.66	...	1.99	21 of 22 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	1,700.000	579.65	10/12/20	577.66	...	1.99	22 of 22 - Sale ²⁵
10/14/20	14,900.000	5,080.64	Various	5,063.02	...	17.62	Total of 22 transactions
	4 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	24,811.000	7,861.96	10/12/20	8,430.78	...	-568.82	1 of 4 - Sale ²⁵
	2,000.000	634.74	10/12/20	679.60	...	-44.86	2 of 4 - Sale ²⁵
	189.000	59.89	10/12/20	64.22	...	-4.33	3 of 4 - Sale ²⁵
	100.000	31.74	10/12/20	33.98	...	-2.24	4 of 4 - Sale ²⁵
10/15/20	27,100.000	8,588.33	Various	9,208.58	...	-620.25	Total of 4 transactions
	37 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	47.000	33.87	12/22/20	33.84	...	0.03	1 of 37 - Sale ²⁵
	50.000	36.05	12/22/20	36.00	...	0.05	2 of 37 - Sale ²⁵
	100.000	72.09	12/22/20	72.00	...	0.09	3 of 37 - Sale ²⁵
	98.000	70.65	12/22/20	70.56	...	0.09	4 of 37 - Sale ²⁵
	328.000	236.44	12/22/20	236.16	...	0.28	5 of 37 - Sale ²⁵
	400.000	288.34	12/22/20	288.00	...	0.34	6 of 37 - Sale ²⁵
	403.000	290.51	12/22/20	290.16	...	0.35	7 of 37 - Sale ²⁵
	572.000	412.33	12/22/20	411.84	...	0.49	8 of 37 - Sale ²⁵
	200.000	144.87	12/22/20	144.00	...	0.87	9 of 37 - Sale ²⁵
	200.000	144.88	12/22/20	144.00	...	0.88	10 of 37 - Sale ²⁵
	1,100.000	792.95	12/22/20	792.00	...	0.95	11 of 37 - Sale ²⁵
	300.000	217.31	12/22/20	216.00	...	1.31	12 of 37 - Sale ²⁵
	400.000	289.75	12/22/20	288.00	...	1.75	13 of 37 - Sale ²⁵
	400.000	289.75	12/22/20	288.00	...	1.75	14 of 37 - Sale ²⁵
	400.000	289.75	12/22/20	288.00	...	1.75	15 of 37 - Sale ²⁵
	400.000	289.75	12/22/20	288.00	...	1.75	16 of 37 - Sale ²⁵
	2,177.000	1,569.32	12/22/20	1,567.44	...	1.88	17 of 37 - Sale ²⁵
	600.000	434.61	12/22/20	432.00	...	2.61	18 of 37 - Sale ²⁵
	600.000	434.63	12/22/20	432.00	...	2.63	19 of 37 - Sale ²⁵
	600.000	434.63	12/22/20	432.00	...	2.63	20 of 37 - Sale ²⁵
	600.000	434.63	12/22/20	432.00	...	2.63	21 of 37 - Sale ²⁵
	600.000	434.63	12/22/20	432.00	...	2.63	22 of 37 - Sale ²⁵
	600.000	434.63	12/22/20	432.00	...	2.63	23 of 37 - Sale ²⁵
	700.000	507.05	12/22/20	504.00	...	3.05	24 of 37 - Sale ²⁵
	150.000	108.13	12/22/20	104.85	...	3.28	25 of 37 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	5,525.000	3,982.78	12/22/20	3,978.00	...	4.78	26 of 37 - Sale ²⁵
	9,200.000	6,631.96	12/22/20	6,624.00	...	7.96	27 of 37 - Sale ²⁵
	400.000	288.35	12/22/20	279.60	...	8.75	28 of 37 - Sale ²⁵
	6,003.000	5,720.02	12/22/20	5,702.85	...	17.17	29 of 37 - Sale ²⁵
	4,407.000	3,192.27	12/22/20	3,173.04	...	19.23	30 of 37 - Sale ²⁵
	4,503.000	3,273.06	12/22/20	3,252.07	...	20.99	31 of 37 - Sale ²⁵
	5,505.000	4,001.39	12/22/20	3,975.71	...	25.68	32 of 37 - Sale ²⁵
	7,200.000	5,183.02	12/22/20	5,153.76	...	29.26	33 of 37 - Sale ²⁵
	7,800.000	5,614.94	12/22/20	5,583.24	...	31.70	34 of 37 - Sale ²⁵
	1,800.000	1,297.56	12/22/20	1,258.20	...	39.36	35 of 37 - Sale ²⁵
	5,000.000	3,604.32	12/22/20	3,495.00	...	109.32	36 of 37 - Sale ²⁵
	7,650.000	5,514.61	12/22/20	5,347.35	...	167.26	37 of 37 - Sale ²⁵
12/22/20	77,018.000	56,995.83	Various	56,477.67	...	518.16	Total of 37 transactions
	37 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	5.000	8.90	12/22/20	5.80	...	3.10	1 of 37 - Sale ²⁵
	10.000	17.80	12/22/20	11.60	...	6.20	2 of 37 - Sale ²⁵
	50.000	89.00	12/22/20	58.00	...	31.00	3 of 37 - Sale ²⁵
	100.000	177.99	12/22/20	116.00	...	61.99	4 of 37 - Sale ²⁵
	200.000	355.98	12/22/20	232.00	...	123.98	5 of 37 - Sale ²⁵
	200.000	355.98	12/22/20	232.00	...	123.98	6 of 37 - Sale ²⁵
	200.000	355.98	12/22/20	232.00	...	123.98	7 of 37 - Sale ²⁵
	200.000	355.98	12/22/20	232.00	...	123.98	8 of 37 - Sale ²⁵
	320.000	569.55	12/22/20	371.20	...	198.35	9 of 37 - Sale ²⁵
	327.000	582.00	12/22/20	379.32	...	202.68	10 of 37 - Sale ²⁵
	400.000	711.93	12/22/20	464.00	...	247.93	11 of 37 - Sale ²⁵
	400.000	711.93	12/22/20	464.00	...	247.93	12 of 37 - Sale ²⁵
	400.000	711.93	12/22/20	464.00	...	247.93	13 of 37 - Sale ²⁵
	500.000	889.92	12/22/20	580.00	...	309.92	14 of 37 - Sale ²⁵
	500.000	889.92	12/22/20	580.00	...	309.92	15 of 37 - Sale ²⁵
	500.000	889.92	12/22/20	580.00	...	309.92	16 of 37 - Sale ²⁵
	600.000	1,067.90	12/22/20	696.00	...	371.90	17 of 37 - Sale ²⁵
	680.000	1,210.29	12/22/20	788.80	...	421.49	18 of 37 - Sale ²⁵
	908.000	1,616.09	12/22/20	1,053.28	...	562.81	19 of 37 - Sale ²⁵
	1,000.000	1,779.84	12/22/20	1,160.00	...	619.84	20 of 37 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	1,000.000	1,779.84	12/22/20	1,160.00	...	619.84	21 of 37 - Sale ²⁵
	1,000.000	1,779.84	12/22/20	1,160.00	...	619.84	22 of 37 - Sale ²⁵
	2,000.000	3,559.68	12/22/20	2,320.00	...	1,239.68	23 of 37 - Sale ²⁵
	2,500.000	4,449.60	12/22/20	2,900.00	...	1,549.60	24 of 37 - Sale ²⁵
	50.000	117.50	12/23/20	99.00	...	18.50	25 of 37 - Sale ²⁵
	100.000	234.99	12/23/20	198.00	...	36.99	26 of 37 - Sale ²⁵
	102.000	239.69	12/23/20	201.96	...	37.73	27 of 37 - Sale ²⁵
	150.000	352.48	12/23/20	297.00	...	55.48	28 of 37 - Sale ²⁵
	300.000	704.94	12/23/20	594.00	...	110.94	29 of 37 - Sale ²⁵
	500.000	1,174.91	12/23/20	990.00	...	184.91	30 of 37 - Sale ²⁵
	700.000	1,644.88	12/23/20	1,386.00	...	258.88	31 of 37 - Sale ²⁵
	885.000	2,079.60	12/23/20	1,752.30	...	327.30	32 of 37 - Sale ²⁵
	960.000	2,255.84	12/23/20	1,900.80	...	355.04	33 of 37 - Sale ²⁵
	1,013.000	2,380.38	12/23/20	2,005.74	...	374.64	34 of 37 - Sale ²⁵
	1,102.000	2,589.51	12/23/20	2,181.96	...	407.55	35 of 37 - Sale ²⁵
	2,000.000	4,699.65	12/23/20	3,960.00	...	739.65	36 of 37 - Sale ²⁵
	2,138.000	5,023.93	12/23/20	4,233.24	...	790.69	37 of 37 - Sale ²⁵
12/23/20	24,000.000	48,416.09	Various	36,040.00	...	12,376.09	Total of 37 transactions
	3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	45.000	81.89	12/24/20	78.75	...	3.14	1 of 3 - Sale ²⁵
	100.000	181.98	12/24/20	175.00	...	6.98	2 of 3 - Sale ²⁵
	1,855.000	3,375.80	12/24/20	3,246.25	...	129.55	3 of 3 - Sale ²⁵
12/24/20	2,000.000	3,639.67	Various	3,500.00	...	139.67	Total of 3 transactions
	Security total:	137,608.45		124,959.27	...	12,649.18	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
12/28/20	2,500.000	1,274.67	12/23/20	1,180.25	...	94.42	Sale ²⁵
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol:							
	3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	814.000	797.60	11/20/20	773.30	...	24.30	1 of 3 - Sale ²⁵
	2,000.000	1,959.71	11/20/20	1,900.00	...	59.71	2 of 3 - Sale ²⁵
	3,000.000	2,939.57	11/20/20	2,850.00	...	89.57	3 of 3 - Sale ²⁵
11/20/20	5,814.000	5,696.88	Various	5,523.30	...	173.58	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	68.000	116.95	11/23/20	95.20	...	21.75	1 of 2 - Sale ²⁵
	7,932.000	13,483.16	11/23/20	11,104.80	...	2,378.36	2 of 2 - Sale ²⁵
11/23/20	8,000.000	13,600.11	Various	11,200.00	...	2,400.11	Total of 2 transactions
66 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	2.000	3.08	11/24/20	3.04	...	0.04	1 of 66 - Sale ²⁵
	2.000	3.08	11/24/20	3.04	...	0.04	2 of 66 - Sale ²⁵
	2.000	2.90	11/24/20	2.86	...	0.04	3 of 66 - Sale ²⁵
	3.000	4.35	11/24/20	4.29	...	0.06	4 of 66 - Sale ²⁵
	4.000	5.80	11/24/20	5.72	...	0.08	5 of 66 - Sale ²⁵
	16.000	24.64	11/24/20	24.32	...	0.32	6 of 66 - Sale ²⁵
	23.000	35.42	11/24/20	34.96	...	0.46	7 of 66 - Sale ²⁵
	25.000	38.50	11/24/20	38.00	...	0.50	8 of 66 - Sale ²⁵
	28.000	43.12	11/24/20	42.56	...	0.56	9 of 66 - Sale ²⁵
	40.000	61.59	11/24/20	60.80	...	0.79	10 of 66 - Sale ²⁵
	56.000	81.19	11/24/20	80.08	...	1.11	11 of 66 - Sale ²⁵
	77.000	118.57	11/24/20	117.04	...	1.53	12 of 66 - Sale ²⁵
	90.000	138.59	11/24/20	136.80	...	1.79	13 of 66 - Sale ²⁵
	61.000	98.20	11/24/20	96.38	...	1.82	14 of 66 - Sale ²⁵
	93.000	134.84	11/24/20	132.99	...	1.85	15 of 66 - Sale ²⁵
	100.000	153.98	11/24/20	152.00	...	1.98	16 of 66 - Sale ²⁵
	100.000	153.98	11/24/20	152.00	...	1.98	17 of 66 - Sale ²⁵
	100.000	153.99	11/24/20	152.00	...	1.99	18 of 66 - Sale ²⁵
	100.000	144.99	11/24/20	143.00	...	1.99	19 of 66 - Sale ²⁵
	104.000	150.78	11/24/20	148.72	...	2.06	20 of 66 - Sale ²⁵
	110.000	169.39	11/24/20	167.20	...	2.19	21 of 66 - Sale ²⁵
	127.000	195.56	11/24/20	193.04	...	2.52	22 of 66 - Sale ²⁵
	140.000	202.98	11/24/20	200.20	...	2.78	23 of 66 - Sale ²⁵
	160.000	246.37	11/24/20	243.20	...	3.17	24 of 66 - Sale ²⁵
	193.000	279.82	11/24/20	275.99	...	3.83	25 of 66 - Sale ²⁵
	195.000	300.27	11/24/20	296.40	...	3.87	26 of 66 - Sale ²⁵
	195.000	282.72	11/24/20	278.85	...	3.87	27 of 66 - Sale ²⁵
	196.000	284.18	11/24/20	280.28	...	3.90	28 of 66 - Sale ²⁵
	200.000	307.97	11/24/20	304.00	...	3.97	29 of 66 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
207.000		300.11	11/24/20	296.01	...	4.10	30 of 66 - Sale ²⁵
300.000		434.96	11/24/20	429.00	...	5.96	31 of 66 - Sale ²⁵
340.000		523.54	11/24/20	516.80	...	6.74	32 of 66 - Sale ²⁵
344.000		498.74	11/24/20	491.92	...	6.82	33 of 66 - Sale ²⁵
360.000		521.94	11/24/20	514.80	...	7.14	34 of 66 - Sale ²⁵
400.000		579.93	11/24/20	572.00	...	7.93	35 of 66 - Sale ²⁵
400.000		579.93	11/24/20	572.00	...	7.93	36 of 66 - Sale ²⁵
405.000		587.19	11/24/20	579.15	...	8.04	37 of 66 - Sale ²⁵
485.000		746.83	11/24/20	737.20	...	9.63	38 of 66 - Sale ²⁵
500.000		724.92	11/24/20	715.00	...	9.92	39 of 66 - Sale ²⁵
547.000		842.30	11/24/20	831.44	...	10.86	40 of 66 - Sale ²⁵
561.000		863.85	11/24/20	852.72	...	11.13	41 of 66 - Sale ²⁵
600.000		923.91	11/24/20	912.00	...	11.91	42 of 66 - Sale ²⁵
600.000		869.91	11/24/20	858.00	...	11.91	43 of 66 - Sale ²⁵
600.000		869.91	11/24/20	858.00	...	11.91	44 of 66 - Sale ²⁵
600.000		869.91	11/24/20	858.00	...	11.91	45 of 66 - Sale ²⁵
698.000		1,011.99	11/24/20	998.14	...	13.85	46 of 66 - Sale ²⁵
700.000		1,014.89	11/24/20	1,001.00	...	13.89	47 of 66 - Sale ²⁵
700.000		1,014.89	11/24/20	1,001.00	...	13.89	48 of 66 - Sale ²⁵
700.000		1,014.89	11/24/20	1,001.00	...	13.89	49 of 66 - Sale ²⁵
721.000		1,110.23	11/24/20	1,095.92	...	14.31	50 of 66 - Sale ²⁵
800.000		1,231.88	11/24/20	1,216.00	...	15.88	51 of 66 - Sale ²⁵
900.000		1,304.86	11/24/20	1,287.00	...	17.86	52 of 66 - Sale ²⁵
1,000.000		1,539.85	11/24/20	1,520.00	...	19.85	53 of 66 - Sale ²⁵
1,000.000		1,539.85	11/24/20	1,520.00	...	19.85	54 of 66 - Sale ²⁵
683.000		1,099.52	11/24/20	1,079.14	...	20.38	55 of 66 - Sale ²⁵
1,100.000		1,693.83	11/24/20	1,672.00	...	21.83	56 of 66 - Sale ²⁵
1,300.000		2,001.80	11/24/20	1,976.00	...	25.80	57 of 66 - Sale ²⁵
1,333.000		2,052.62	11/24/20	2,026.16	...	26.46	58 of 66 - Sale ²⁵
1,400.000		2,155.78	11/24/20	2,128.00	...	27.78	59 of 66 - Sale ²⁵
1,500.000		2,309.76	11/24/20	2,280.00	...	29.76	60 of 66 - Sale ²⁵
1,000.000		1,609.84	11/24/20	1,580.00	...	29.84	61 of 66 - Sale ²⁵
2,000.000		3,079.69	11/24/20	3,040.00	...	39.69	62 of 66 - Sale ²⁵
2,000.000		3,079.69	11/24/20	3,040.00	...	39.69	63 of 66 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	4,500.000	6,929.30	11/24/20	6,840.00	...	89.30	64 of 66 - Sale ²⁵
	9,827.000	15,132.07	11/24/20	14,937.04	...	195.03	65 of 66 - Sale ²⁵
	21,600.000	33,260.69	11/24/20	32,832.00	...	428.69	66 of 66 - Sale ²⁵
11/24/20	65,253.000	99,746.65	Various	98,434.20	...	1,312.45	Total of 66 transactions
	113 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	130.000	158.58	11/24/20	185.90	27.32 W	0.00	1 of 113 - Sale ²⁵
	177.000	215.91	11/24/20	253.11	37.20 W	0.00	2 of 113 - Sale ²⁵
	130.000	158.58	11/24/20	213.22	54.64 W	0.00	3 of 113 - Sale ²⁵
	170.000	207.38	11/24/20	243.10	35.72 W	0.00	4 of 113 - Sale ²⁵
	500.000	609.92	11/24/20	715.00	105.08 W	0.00	5 of 113 - Sale ²⁵
	23.000	28.06	11/24/20	32.89	4.83 W	0.00	6 of 113 - Sale ²⁵
	100.000	125.98	11/24/20	185.03	59.05 W	0.00	7 of 113 - Sale ²⁵
	177.000	223.00	11/24/20	290.31	67.31 W	0.00	8 of 113 - Sale ²⁵
	23.000	28.98	11/24/20	42.56	13.58 W	0.00	9 of 113 - Sale ²⁵
	7.000	8.82	11/24/20	12.95	4.13 W	0.00	10 of 113 - Sale ²⁵
	170.000	214.18	11/24/20	278.82	64.64 W	0.00	11 of 113 - Sale ²⁵
	23.000	28.98	11/24/20	37.72	8.74 W	0.00	12 of 113 - Sale ²⁵
	277.000	340.67	11/24/20	454.33	113.66 W	0.00	13 of 113 - Sale ²⁵
	200.000	245.96	11/24/20	328.03	82.07 W	0.00	14 of 113 - Sale ²⁵
	23.000	28.29	11/24/20	37.72	9.43 W	0.00	15 of 113 - Sale ²⁵
	14.000	17.22	11/24/20	25.34	8.12 W	0.00	16 of 113 - Sale ²⁵
	63.000	76.85	11/24/20	114.05	37.20 W	0.00	17 of 113 - Sale ²⁵
	7.000	8.54	11/24/20	14.14	5.60 W	0.00	18 of 113 - Sale ²⁵
	23.000	28.06	11/24/20	46.47	18.41 W	0.00	19 of 113 - Sale ²⁵
	69.000	84.17	11/24/20	124.91	40.74 W	0.00	20 of 113 - Sale ²⁵
	100.000	121.98	11/24/20	181.03	59.05 W	0.00	21 of 113 - Sale ²⁵
	100.000	121.99	11/24/20	202.05	80.06 W	0.00	22 of 113 - Sale ²⁵
	23.000	28.05	11/24/20	41.17	13.12 W	0.00	23 of 113 - Sale ²⁵
	101.000	123.20	11/24/20	180.81	57.61 W	0.00	24 of 113 - Sale ²⁵
	14.000	17.08	11/24/20	25.48	8.40 W	0.00	25 of 113 - Sale ²⁵
	14.000	17.08	11/24/20	27.86	10.78 W	0.00	26 of 113 - Sale ²⁵
	23.000	28.05	11/24/20	41.86	13.81 W	0.00	27 of 113 - Sale ²⁵
	200.000	243.97	11/24/20	364.07	120.10 W	0.00	28 of 113 - Sale ²⁵
	263.000	320.82	11/24/20	478.75	157.93 W	0.00	29 of 113 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	7.000	8.54	11/24/20	15.47	6.93 W	0.00	30 of 113 - Sale ²⁵
	23.000	28.06	11/24/20	50.84	22.78 W	0.00	31 of 113 - Sale ²⁵
	63.000	76.85	11/24/20	126.03	49.18 W	0.00	32 of 113 - Sale ²⁵
	69.000	84.17	11/24/20	138.03	53.86 W	0.00	33 of 113 - Sale ²⁵
	100.000	121.98	11/24/20	200.05	78.07 W	0.00	34 of 113 - Sale ²⁵
	100.000	121.99	11/24/20	221.06	99.07 W	0.00	35 of 113 - Sale ²⁵
	138.000	168.33	11/24/20	194.58	26.25 W	0.00	36 of 113 - Sale ²⁵
	500.000	609.92	11/24/20	705.00	95.08 W	0.00	37 of 113 - Sale ²⁵
	500.000	609.92	11/24/20	705.00	95.08 W	0.00	38 of 113 - Sale ²⁵
	500.000	609.92	11/24/20	705.00	95.08 W	0.00	39 of 113 - Sale ²⁵
	1,000.000	1,219.85	11/24/20	1,410.00	190.15 W	0.00	40 of 113 - Sale ²⁵
	1,462.000	1,783.42	11/24/20	2,061.42	278.00 W	0.00	41 of 113 - Sale ²⁵
	14.000	17.08	11/24/20	28.14	11.06 W	0.00	42 of 113 - Sale ²⁵
	23.000	28.06	11/24/20	45.55	17.49 W	0.00	43 of 113 - Sale ²⁵
	101.000	123.20	11/24/20	200.02	76.82 W	0.00	44 of 113 - Sale ²⁵
	137.000	167.12	11/24/20	275.44	108.32 W	0.00	45 of 113 - Sale ²⁵
	263.000	320.82	11/24/20	528.76	207.94 W	0.00	46 of 113 - Sale ²⁵
	7.000	8.54	11/24/20	16.80	8.26 W	0.00	47 of 113 - Sale ²⁵
	14.000	17.08	11/24/20	30.52	13.44 W	0.00	48 of 113 - Sale ²⁵
	23.000	28.06	11/24/20	46.24	18.18 W	0.00	49 of 113 - Sale ²⁵
	23.000	28.06	11/24/20	55.21	27.15 W	0.00	50 of 113 - Sale ²⁵
	63.000	76.85	11/24/20	138.01	61.16 W	0.00	51 of 113 - Sale ²⁵
	69.000	84.17	11/24/20	151.15	66.98 W	0.00	52 of 113 - Sale ²⁵
	100.000	121.98	11/24/20	219.07	97.09 W	0.00	53 of 113 - Sale ²⁵
	100.000	121.99	11/24/20	240.07	118.08 W	0.00	54 of 113 - Sale ²⁵
	138.000	168.34	11/24/20	220.83	52.49 W	0.00	55 of 113 - Sale ²⁵
	63.000	76.85	11/24/20	126.66	49.81 W	0.00	56 of 113 - Sale ²⁵
	500.000	609.92	11/24/20	800.08	190.16 W	0.00	57 of 113 - Sale ²⁵
	500.000	609.92	11/24/20	800.08	190.16 W	0.00	58 of 113 - Sale ²⁵
	500.000	609.93	11/24/20	800.08	190.15 W	0.00	59 of 113 - Sale ²⁵
	900.000	1,097.86	11/24/20	1,440.14	342.28 W	0.00	60 of 113 - Sale ²⁵
	100.000	121.98	11/24/20	160.01	38.03 W	0.00	61 of 113 - Sale ²⁵
	1,462.000	1,783.42	11/24/20	2,339.42	556.00 W	0.00	62 of 113 - Sale ²⁵
	438.000	534.30	11/24/20	617.58	83.28 W	0.00	63 of 113 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
200.000		243.98	11/24/20	282.00	38.02 W	0.00	64 of 113 - Sale ²⁵
500.000		609.92	11/24/20	705.00	95.08 W	0.00	65 of 113 - Sale ²⁵
7.000		8.54	11/24/20	9.87	1.33 W	0.00	66 of 113 - Sale ²⁵
55.000		67.09	11/24/20	77.55	10.46 W	0.00	67 of 113 - Sale ²⁵
100.000		120.99	11/24/20	141.00	20.01 W	0.00	68 of 113 - Sale ²⁵
100.000		120.99	11/24/20	141.00	20.01 W	0.00	69 of 113 - Sale ²⁵
100.000		120.99	11/24/20	141.00	20.01 W	0.00	70 of 113 - Sale ²⁵
100.000		120.99	11/24/20	141.00	20.01 W	0.00	71 of 113 - Sale ²⁵
100.000		120.99	11/24/20	141.00	20.01 W	0.00	72 of 113 - Sale ²⁵
200.000		241.98	11/24/20	282.00	40.02 W	0.00	73 of 113 - Sale ²⁵
100.000		120.99	11/24/20	141.00	20.01 W	0.00	74 of 113 - Sale ²⁵
1,000.000		1,209.85	11/24/20	1,410.00	200.15 W	0.00	75 of 113 - Sale ²⁵
15.000		18.15	11/24/20	21.15	3.00 W	0.00	76 of 113 - Sale ²⁵
200.000		241.98	11/24/20	282.00	40.02 W	0.00	77 of 113 - Sale ²⁵
220.000		266.17	11/24/20	310.20	44.03 W	0.00	78 of 113 - Sale ²⁵
1,000.000		1,209.85	11/24/20	1,410.00	200.15 W	0.00	79 of 113 - Sale ²⁵
600.000		725.91	11/24/20	846.00	120.09 W	0.00	80 of 113 - Sale ²⁵
400.000		483.95	11/24/20	564.00	80.05 W	0.00	81 of 113 - Sale ²⁵
1,200.000		1,451.82	11/24/20	1,692.00	240.18 W	0.00	82 of 113 - Sale ²⁵
265.000		320.62	11/24/20	373.65	53.03 W	0.00	83 of 113 - Sale ²⁵
39.000		53.82	11/24/20	85.82	32.00 W	0.00	84 of 113 - Sale ²⁵
7.000		9.66	11/24/20	18.34	8.68 W	0.00	85 of 113 - Sale ²⁵
14.000		19.32	11/24/20	31.22	11.90 W	0.00	86 of 113 - Sale ²⁵
14.000		19.32	11/24/20	33.60	14.28 W	0.00	87 of 113 - Sale ²⁵
23.000		31.74	11/24/20	50.61	18.87 W	0.00	88 of 113 - Sale ²⁵
23.000		31.74	11/24/20	51.30	19.56 W	0.00	89 of 113 - Sale ²⁵
23.000		31.74	11/24/20	60.27	28.53 W	0.00	90 of 113 - Sale ²⁵
63.000		86.93	11/24/20	140.53	53.60 W	0.00	91 of 113 - Sale ²⁵
63.000		86.93	11/24/20	151.88	64.95 W	0.00	92 of 113 - Sale ²⁵
69.000		95.21	11/24/20	166.34	71.13 W	0.00	93 of 113 - Sale ²⁵
100.000		137.98	11/24/20	241.09	103.11 W	0.00	94 of 113 - Sale ²⁵
100.000		137.98	11/24/20	262.08	124.10 W	0.00	95 of 113 - Sale ²⁵
62.000		85.55	11/24/20	136.44	50.89 W	0.00	96 of 113 - Sale ²⁵
137.000		189.04	11/24/20	305.60	116.56 W	0.00	97 of 113 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	263.000	362.90	11/24/20	586.66	223.76 W	0.00	98 of 113 - Sale ²⁵
	100.000	137.99	11/24/20	182.03	44.04 W	0.00	99 of 113 - Sale ²⁵
	138.000	190.42	11/24/20	251.21	60.79 W	0.00	100 of 113 - Sale ²⁵
	162.000	223.54	11/24/20	294.89	71.35 W	0.00	101 of 113 - Sale ²⁵
	500.000	689.92	11/24/20	910.16	220.24 W	0.00	102 of 113 - Sale ²⁵
	500.000	689.92	11/24/20	910.16	220.24 W	0.00	103 of 113 - Sale ²⁵
	500.000	689.93	11/24/20	910.15	220.22 W	0.00	104 of 113 - Sale ²⁵
	900.000	1,241.86	11/24/20	1,638.28	396.42 W	0.00	105 of 113 - Sale ²⁵
	700.000	965.89	11/24/20	1,274.21	308.32 W	0.00	106 of 113 - Sale ²⁵
	400.000	551.94	11/24/20	652.05	100.11 W	0.00	107 of 113 - Sale ²⁵
	600.000	827.91	11/24/20	1,092.18	264.27 W	0.00	108 of 113 - Sale ²⁵
	17.000	23.46	11/24/20	27.71	4.25 W	0.00	109 of 113 - Sale ²⁵
	38.000	52.43	11/24/20	61.95	9.52 W	0.00	110 of 113 - Sale ²⁵
	183.000	252.51	11/24/20	298.31	45.80 W	0.00	111 of 113 - Sale ²⁵
	373.000	514.68	11/24/20	608.05	93.37 W	0.00	112 of 113 - Sale ²⁵
	28.000	38.64	11/24/20	45.64	7.00 W	0.00	113 of 113 - Sale ²⁵
11/24/20	25,745.000	32,355.48	Various	41,581.20	9,225.72 W	0.00	Total of 113 transactions
	138 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	1.40	11/25/20	1.59	0.19 W	0.00	1 of 138 - Sale ²⁵
	99.000	138.59	11/25/20	157.43	18.84 W	0.00	2 of 138 - Sale ²⁵
	6.000	8.40	11/25/20	9.54	1.14 W	0.00	3 of 138 - Sale ²⁵
	55.000	76.99	11/25/20	87.46	10.47 W	0.00	4 of 138 - Sale ²⁵
	39.000	54.60	11/25/20	62.40	7.80 W	0.00	5 of 138 - Sale ²⁵
	21.000	29.40	11/25/20	33.60	4.20 W	0.00	6 of 138 - Sale ²⁵
	61.000	85.39	11/25/20	97.61	12.22 W	0.00	7 of 138 - Sale ²⁵
	18.000	25.20	11/25/20	28.80	3.60 W	0.00	8 of 138 - Sale ²⁵
	61.000	85.39	11/25/20	97.61	12.22 W	0.00	9 of 138 - Sale ²⁵
	39.000	54.60	11/25/20	62.40	7.80 W	0.00	10 of 138 - Sale ²⁵
	61.000	85.39	11/25/20	97.61	12.22 W	0.00	11 of 138 - Sale ²⁵
	39.000	54.60	11/25/20	62.40	7.80 W	0.00	12 of 138 - Sale ²⁵
	61.000	85.39	11/25/20	97.61	12.22 W	0.00	13 of 138 - Sale ²⁵
	39.000	54.60	11/25/20	62.40	7.80 W	0.00	14 of 138 - Sale ²⁵
	61.000	85.39	11/25/20	97.61	12.22 W	0.00	15 of 138 - Sale ²⁵
	39.000	54.60	11/25/20	62.40	7.80 W	0.00	16 of 138 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	82.000	114.79	11/25/20	131.21	16.42 W	0.00	17 of 138 - Sale ²⁵
	21.000	29.40	11/25/20	33.60	4.20 W	0.00	18 of 138 - Sale ²⁵
	79.000	110.59	11/25/20	126.41	15.82 W	0.00	19 of 138 - Sale ²⁵
	100.000	139.98	11/25/20	160.01	20.03 W	0.00	20 of 138 - Sale ²⁵
	50.000	69.99	11/25/20	80.01	10.02 W	0.00	21 of 138 - Sale ²⁵
	20.000	27.99	11/25/20	32.00	4.01 W	0.00	22 of 138 - Sale ²⁵
	10.000	14.00	11/25/20	16.00	2.00 W	0.00	23 of 138 - Sale ²⁵
	38.000	53.19	11/25/20	60.81	7.62 W	0.00	24 of 138 - Sale ²⁵
	762.000	1,066.69	11/25/20	1,219.31	152.62 W	0.00	25 of 138 - Sale ²⁵
	15.000	21.00	11/25/20	24.00	3.00 W	0.00	26 of 138 - Sale ²⁵
	31.000	43.40	11/25/20	49.60	6.20 W	0.00	27 of 138 - Sale ²⁵
	99.000	138.58	11/25/20	158.42	19.84 W	0.00	28 of 138 - Sale ²⁵
	169.000	236.57	11/25/20	270.42	33.85 W	0.00	29 of 138 - Sale ²⁵
	220.000	307.97	11/25/20	352.03	44.06 W	0.00	30 of 138 - Sale ²⁵
	304.000	425.55	11/25/20	486.44	60.89 W	0.00	31 of 138 - Sale ²⁵
	257.000	359.76	11/25/20	411.24	51.48 W	0.00	32 of 138 - Sale ²⁵
	200.000	279.97	11/25/20	320.03	40.06 W	0.00	33 of 138 - Sale ²⁵
	64.000	89.59	11/25/20	102.41	12.82 W	0.00	34 of 138 - Sale ²⁵
	155.000	216.98	11/25/20	248.02	31.04 W	0.00	35 of 138 - Sale ²⁵
	59.000	82.59	11/25/20	94.41	11.82 W	0.00	36 of 138 - Sale ²⁵
	239.000	334.56	11/25/20	382.44	47.88 W	0.00	37 of 138 - Sale ²⁵
	12.000	16.80	11/25/20	19.20	2.40 W	0.00	38 of 138 - Sale ²⁵
	30.000	42.00	11/25/20	48.00	6.00 W	0.00	39 of 138 - Sale ²⁵
	25.000	35.00	11/25/20	40.00	5.00 W	0.00	40 of 138 - Sale ²⁵
	50.000	69.99	11/25/20	80.01	10.02 W	0.00	41 of 138 - Sale ²⁵
	200.000	279.97	11/25/20	320.04	40.07 W	0.00	42 of 138 - Sale ²⁵
	6.000	8.40	11/25/20	9.60	1.20 W	0.00	43 of 138 - Sale ²⁵
	5.000	7.00	11/25/20	8.00	1.00 W	0.00	44 of 138 - Sale ²⁵
	15.000	21.00	11/25/20	24.00	3.00 W	0.00	45 of 138 - Sale ²⁵
	400.000	559.93	11/25/20	640.05	80.12 W	0.00	46 of 138 - Sale ²⁵
	100.000	139.98	11/25/20	160.02	20.04 W	0.00	47 of 138 - Sale ²⁵
	100.000	139.98	11/25/20	160.01	20.03 W	0.00	48 of 138 - Sale ²⁵
	68.000	95.19	11/25/20	108.81	13.62 W	0.00	49 of 138 - Sale ²⁵
	100.000	139.99	11/25/20	160.02	20.03 W	0.00	50 of 138 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	100.000	139.99	11/25/20	160.01	20.02 W	0.00	51 of 138 - Sale ²⁵
	200.000	279.98	11/25/20	320.03	40.05 W	0.00	52 of 138 - Sale ²⁵
	200.000	279.98	11/25/20	320.03	40.05 W	0.00	53 of 138 - Sale ²⁵
	14.000	19.60	11/25/20	31.50	11.90 W	0.00	54 of 138 - Sale ²⁵
	14.000	19.60	11/25/20	33.88	14.28 W	0.00	55 of 138 - Sale ²⁵
	23.000	32.20	11/25/20	51.07	18.87 W	0.00	56 of 138 - Sale ²⁵
	23.000	32.20	11/25/20	51.76	19.56 W	0.00	57 of 138 - Sale ²⁵
	39.000	54.59	11/25/20	86.60	32.01 W	0.00	58 of 138 - Sale ²⁵
	23.000	32.19	11/25/20	55.92	23.73 W	0.00	59 of 138 - Sale ²⁵
	62.000	86.79	11/25/20	137.69	50.90 W	0.00	60 of 138 - Sale ²⁵
	63.000	88.19	11/25/20	141.80	53.61 W	0.00	61 of 138 - Sale ²⁵
	63.000	88.19	11/25/20	153.15	64.96 W	0.00	62 of 138 - Sale ²⁵
	137.000	191.78	11/25/20	308.36	116.58 W	0.00	63 of 138 - Sale ²⁵
	263.000	368.16	11/25/20	591.96	223.80 W	0.00	64 of 138 - Sale ²⁵
	265.000	370.96	11/25/20	424.03	53.07 W	0.00	65 of 138 - Sale ²⁵
	311.000	435.35	11/25/20	497.65	62.30 W	0.00	66 of 138 - Sale ²⁵
	32.000	44.80	11/25/20	77.79	32.99 W	0.00	67 of 138 - Sale ²⁵
	7.000	9.80	11/25/20	18.48	8.68 W	0.00	68 of 138 - Sale ²⁵
	23.000	32.20	11/25/20	60.73	28.53 W	0.00	69 of 138 - Sale ²⁵
	45.000	62.99	11/25/20	109.40	46.41 W	0.00	70 of 138 - Sale ²⁵
	69.000	96.59	11/25/20	167.73	71.14 W	0.00	71 of 138 - Sale ²⁵
	100.000	139.98	11/25/20	264.10	124.12 W	0.00	72 of 138 - Sale ²⁵
	138.000	193.18	11/25/20	253.99	60.81 W	0.00	73 of 138 - Sale ²⁵
	500.000	699.92	11/25/20	920.24	220.32 W	0.00	74 of 138 - Sale ²⁵
	500.000	699.92	11/25/20	920.24	220.32 W	0.00	75 of 138 - Sale ²⁵
	500.000	699.93	11/25/20	920.22	220.29 W	0.00	76 of 138 - Sale ²⁵
	618.000	865.11	11/25/20	1,137.41	272.30 W	0.00	77 of 138 - Sale ²⁵
	141.000	197.38	11/25/20	259.51	62.13 W	0.00	78 of 138 - Sale ²⁵
	100.000	139.98	11/25/20	184.04	44.06 W	0.00	79 of 138 - Sale ²⁵
	141.000	197.38	11/25/20	259.50	62.12 W	0.00	80 of 138 - Sale ²⁵
	148.000	207.18	11/25/20	272.39	65.21 W	0.00	81 of 138 - Sale ²⁵
	162.000	226.77	11/25/20	298.15	71.38 W	0.00	82 of 138 - Sale ²⁵
	191.000	267.37	11/25/20	351.53	84.16 W	0.00	83 of 138 - Sale ²⁵
	132.000	191.38	11/25/20	248.22	56.84 W	0.00	84 of 138 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
229.000		332.02	11/25/20	430.62	98.60 W	0.00	85 of 138 - Sale ²⁵
521.000		755.37	11/25/20	979.71	224.34 W	0.00	86 of 138 - Sale ²⁵
40.000		57.99	11/25/20	75.22	17.23 W	0.00	87 of 138 - Sale ²⁵
20.000		29.00	11/25/20	37.61	8.61 W	0.00	88 of 138 - Sale ²⁵
19.000		27.55	11/25/20	35.73	8.18 W	0.00	89 of 138 - Sale ²⁵
282.000		408.86	11/25/20	476.66	67.80 W	0.00	90 of 138 - Sale ²⁵
17.000		24.65	11/25/20	28.73	4.08 W	0.00	91 of 138 - Sale ²⁵
38.000		55.09	11/25/20	64.24	9.15 W	0.00	92 of 138 - Sale ²⁵
118.000		171.08	11/25/20	199.45	28.37 W	0.00	93 of 138 - Sale ²⁵
183.000		265.32	11/25/20	309.32	44.00 W	0.00	94 of 138 - Sale ²⁵
344.000		498.75	11/25/20	581.47	82.72 W	0.00	95 of 138 - Sale ²⁵
28.000		40.60	11/25/20	47.32	6.72 W	0.00	96 of 138 - Sale ²⁵
29.000		42.04	11/25/20	49.02	6.98 W	0.00	97 of 138 - Sale ²⁵
50.000		72.49	11/25/20	81.52	9.03 W	0.00	98 of 138 - Sale ²⁵
10.000		14.50	11/25/20	16.30	1.80 W	0.00	99 of 138 - Sale ²⁵
30.000		43.50	11/25/20	48.91	5.41 W	0.00	100 of 138 - Sale ²⁵
1.000		1.45	11/25/20	1.63	0.18 W	0.00	101 of 138 - Sale ²⁵
6.000		8.70	11/25/20	9.78	1.08 W	0.00	102 of 138 - Sale ²⁵
32.000		46.39	11/25/20	52.48	6.09 W	0.00	103 of 138 - Sale ²⁵
55.000		79.74	11/25/20	89.67	9.93 W	0.00	104 of 138 - Sale ²⁵
9.000		13.05	11/25/20	14.67	1.62 W	0.00	105 of 138 - Sale ²⁵
7.000		10.15	11/25/20	11.48	1.33 W	0.00	106 of 138 - Sale ²⁵
18.000		26.10	11/25/20	29.52	3.42 W	0.00	107 of 138 - Sale ²⁵
21.000		30.45	11/25/20	34.44	3.99 W	0.00	108 of 138 - Sale ²⁵
39.000		56.54	11/25/20	63.96	7.42 W	0.00	109 of 138 - Sale ²⁵
39.000		56.54	11/25/20	63.96	7.42 W	0.00	110 of 138 - Sale ²⁵
29.000		42.05	11/25/20	47.56	5.51 W	0.00	111 of 138 - Sale ²⁵
61.000		88.44	11/25/20	100.06	11.62 W	0.00	112 of 138 - Sale ²⁵
61.000		88.44	11/25/20	100.06	11.62 W	0.00	113 of 138 - Sale ²⁵
61.000		88.44	11/25/20	100.06	11.62 W	0.00	114 of 138 - Sale ²⁵
61.000		88.44	11/25/20	100.06	11.62 W	0.00	115 of 138 - Sale ²⁵
10.000		14.50	11/25/20	16.40	1.90 W	0.00	116 of 138 - Sale ²⁵
20.000		29.00	11/25/20	32.81	3.81 W	0.00	117 of 138 - Sale ²⁵
21.000		30.45	11/25/20	34.44	3.99 W	0.00	118 of 138 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	26.000	37.70	11/25/20	42.65	4.95 W	0.00	119 of 138 - Sale ²⁵
	10.000	14.50	11/25/20	16.40	1.90 W	0.00	120 of 138 - Sale ²⁵
	39.000	56.54	11/25/20	63.96	7.42 W	0.00	121 of 138 - Sale ²⁵
	50.000	72.49	11/25/20	82.02	9.53 W	0.00	122 of 138 - Sale ²⁵
	61.000	88.44	11/25/20	100.06	11.62 W	0.00	123 of 138 - Sale ²⁵
	79.000	114.54	11/25/20	129.58	15.04 W	0.00	124 of 138 - Sale ²⁵
	82.000	118.89	11/25/20	134.50	15.61 W	0.00	125 of 138 - Sale ²⁵
	100.000	144.98	11/25/20	164.03	19.05 W	0.00	126 of 138 - Sale ²⁵
	4.000	5.80	11/25/20	6.56	0.76 W	0.00	127 of 138 - Sale ²⁵
	12.000	17.40	11/25/20	19.69	2.29 W	0.00	128 of 138 - Sale ²⁵
	15.000	21.75	11/25/20	24.60	2.85 W	0.00	129 of 138 - Sale ²⁵
	31.000	44.95	11/25/20	50.84	5.89 W	0.00	130 of 138 - Sale ²⁵
	99.000	143.53	11/25/20	162.40	18.87 W	0.00	131 of 138 - Sale ²⁵
	169.000	245.02	11/25/20	277.21	32.19 W	0.00	132 of 138 - Sale ²⁵
	200.000	289.97	11/25/20	328.06	38.09 W	0.00	133 of 138 - Sale ²⁵
	220.000	318.97	11/25/20	360.86	41.89 W	0.00	134 of 138 - Sale ²⁵
	239.000	346.51	11/25/20	392.04	45.53 W	0.00	135 of 138 - Sale ²⁵
	257.000	372.61	11/25/20	421.56	48.95 W	0.00	136 of 138 - Sale ²⁵
	304.000	440.75	11/25/20	498.65	57.90 W	0.00	137 of 138 - Sale ²⁵
	762.000	1,104.78	11/25/20	1,249.90	145.12 W	0.00	138 of 138 - Sale ²⁵
11/25/20	15,400.000	21,827.71	Various	26,634.60	4,806.89 W	0.00	Total of 138 transactions
	166 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	521.000	734.53	11/27/20	953.90	...	-219.37	1 of 166 - Sale ²⁵
	490.000	690.83	11/27/20	902.07	...	-211.24	2 of 166 - Sale ²⁵
	390.000	549.84	11/27/20	717.96	...	-168.12	3 of 166 - Sale ²⁵
	172.000	242.49	11/27/20	404.45	...	-161.96	4 of 166 - Sale ²⁵
	100.000	140.98	11/27/20	274.18	...	-133.20	5 of 166 - Sale ²⁵
	290.000	408.86	11/27/20	533.85	...	-124.99	6 of 166 - Sale ²⁵
	257.000	362.33	11/27/20	457.66	...	-95.33	7 of 166 - Sale ²⁵
	172.000	242.49	11/27/20	333.87	...	-91.38	8 of 166 - Sale ²⁵
	210.000	296.07	11/27/20	386.58	...	-90.51	9 of 166 - Sale ²⁵
	89.000	125.48	11/27/20	209.26	...	-83.78	10 of 166 - Sale ²⁵
	344.000	484.99	11/27/20	564.42	...	-79.43	11 of 166 - Sale ²⁵
	147.000	207.25	11/27/20	285.35	...	-78.10	12 of 166 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
69.000		97.28	11/27/20	174.67	...	-77.39	13 of 166 - Sale ²⁵
81.000		114.20	11/27/20	190.46	...	-76.26	14 of 166 - Sale ²⁵
207.000		291.84	11/27/20	366.53	...	-74.69	15 of 166 - Sale ²⁵
200.000		281.96	11/27/20	356.15	...	-74.19	16 of 166 - Sale ²⁵
224.000		315.80	11/27/20	389.94	...	-74.14	17 of 166 - Sale ²⁵
171.000		241.08	11/27/20	314.80	...	-73.72	18 of 166 - Sale ²⁵
250.000		352.46	11/27/20	425.20	...	-72.74	19 of 166 - Sale ²⁵
168.000		236.85	11/27/20	309.28	...	-72.43	20 of 166 - Sale ²⁵
63.000		88.82	11/27/20	159.49	...	-70.67	21 of 166 - Sale ²⁵
162.000		228.40	11/27/20	298.24	...	-69.84	22 of 166 - Sale ²⁵
761.000		1,072.88	11/27/20	1,141.50	...	-68.62	23 of 166 - Sale ²⁵
156.000		219.94	11/27/20	287.19	...	-67.25	24 of 166 - Sale ²⁵
157.000		221.35	11/27/20	287.46	...	-66.11	25 of 166 - Sale ²⁵
282.000		397.58	11/27/20	462.69	...	-65.11	26 of 166 - Sale ²⁵
148.000		208.66	11/27/20	272.45	...	-63.79	27 of 166 - Sale ²⁵
175.000		246.72	11/27/20	309.89	...	-63.17	28 of 166 - Sale ²⁵
169.000		238.26	11/27/20	299.27	...	-61.01	29 of 166 - Sale ²⁵
141.000		198.79	11/27/20	259.57	...	-60.78	30 of 166 - Sale ²⁵
141.000		198.79	11/27/20	259.56	...	-60.77	31 of 166 - Sale ²⁵
110.000		155.08	11/27/20	213.53	...	-58.45	32 of 166 - Sale ²⁵
196.000		276.33	11/27/20	333.35	...	-57.02	33 of 166 - Sale ²⁵
62.000		87.41	11/27/20	143.93	...	-56.52	34 of 166 - Sale ²⁵
132.000		186.10	11/27/20	241.68	...	-55.58	35 of 166 - Sale ²⁵
185.000		260.82	11/27/20	314.64	...	-53.82	36 of 166 - Sale ²⁵
52.000		73.31	11/27/20	122.28	...	-48.97	37 of 166 - Sale ²⁵
132.000		186.10	11/27/20	235.07	...	-48.97	38 of 166 - Sale ²⁵
48.000		67.67	11/27/20	112.88	...	-45.21	39 of 166 - Sale ²⁵
198.000		279.15	11/27/20	322.91	...	-43.76	40 of 166 - Sale ²⁵
191.000		269.28	11/27/20	311.47	...	-42.19	41 of 166 - Sale ²⁵
111.000		156.49	11/27/20	197.67	...	-41.18	42 of 166 - Sale ²⁵
139.000		195.97	11/27/20	236.40	...	-40.43	43 of 166 - Sale ²⁵
90.000		126.89	11/27/20	165.68	...	-38.79	44 of 166 - Sale ²⁵
99.000		139.57	11/27/20	178.30	...	-38.73	45 of 166 - Sale ²⁵
100.000		140.98	11/27/20	178.08	...	-37.10	46 of 166 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
39.000		54.98	11/27/20	90.54	...	-35.56	47 of 166 - Sale ²⁵
115.000		162.13	11/27/20	195.60	...	-33.47	48 of 166 - Sale ²⁵
111.000		156.49	11/27/20	188.78	...	-32.29	49 of 166 - Sale ²⁵
81.000		114.20	11/27/20	145.86	...	-31.66	50 of 166 - Sale ²⁵
28.000		39.48	11/27/20	70.89	...	-31.41	51 of 166 - Sale ²⁵
107.000		150.85	11/27/20	181.99	...	-31.14	52 of 166 - Sale ²⁵
23.000		32.43	11/27/20	63.03	...	-30.60	53 of 166 - Sale ²⁵
72.000		101.51	11/27/20	131.82	...	-30.31	54 of 166 - Sale ²⁵
100.000		140.98	11/27/20	170.09	...	-29.11	55 of 166 - Sale ²⁵
100.000		140.98	11/27/20	170.09	...	-29.11	56 of 166 - Sale ²⁵
100.000		140.98	11/27/20	170.08	...	-29.10	57 of 166 - Sale ²⁵
118.000		166.36	11/27/20	193.61	...	-27.25	58 of 166 - Sale ²⁵
93.000		131.12	11/27/20	158.16	...	-27.04	59 of 166 - Sale ²⁵
68.000		95.87	11/27/20	122.45	...	-26.58	60 of 166 - Sale ²⁵
60.000		84.59	11/27/20	110.46	...	-25.87	61 of 166 - Sale ²⁵
23.000		32.43	11/27/20	58.24	...	-25.81	62 of 166 - Sale ²⁵
23.000		32.43	11/27/20	58.23	...	-25.80	63 of 166 - Sale ²⁵
110.000		155.08	11/27/20	180.49	...	-25.41	64 of 166 - Sale ²⁵
22.000		31.02	11/27/20	55.69	...	-24.67	65 of 166 - Sale ²⁵
78.000		109.97	11/27/20	132.67	...	-22.70	66 of 166 - Sale ²⁵
100.000		140.98	11/27/20	163.08	...	-22.10	67 of 166 - Sale ²⁵
98.000		138.16	11/27/20	159.80	...	-21.64	68 of 166 - Sale ²⁵
23.000		32.43	11/27/20	54.06	...	-21.63	69 of 166 - Sale ²⁵
23.000		32.43	11/27/20	53.37	...	-20.94	70 of 166 - Sale ²⁵
68.000		95.87	11/27/20	115.65	...	-19.78	71 of 166 - Sale ²⁵
82.000		115.61	11/27/20	133.71	...	-18.10	72 of 166 - Sale ²⁵
61.000		86.00	11/27/20	103.75	...	-17.75	73 of 166 - Sale ²⁵
60.000		84.59	11/27/20	102.05	...	-17.46	74 of 166 - Sale ²⁵
73.000		102.92	11/27/20	119.77	...	-16.85	75 of 166 - Sale ²⁵
57.000		80.36	11/27/20	96.94	...	-16.58	76 of 166 - Sale ²⁵
14.000		19.74	11/27/20	35.28	...	-15.54	77 of 166 - Sale ²⁵
28.000		39.48	11/27/20	54.35	...	-14.87	78 of 166 - Sale ²⁵
33.000		46.52	11/27/20	60.43	...	-13.91	79 of 166 - Sale ²⁵
47.000		66.26	11/27/20	79.94	...	-13.68	80 of 166 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
61.000		86.00	11/27/20	99.48	...	-13.48	81 of 166 - Sale ²⁵
61.000		86.00	11/27/20	99.48	...	-13.48	82 of 166 - Sale ²⁵
61.000		86.00	11/27/20	99.48	...	-13.48	83 of 166 - Sale ²⁵
61.000		86.00	11/27/20	99.48	...	-13.48	84 of 166 - Sale ²⁵
61.000		86.00	11/27/20	99.48	...	-13.48	85 of 166 - Sale ²⁵
57.000		80.36	11/27/20	92.95	...	-12.59	86 of 166 - Sale ²⁵
55.000		77.54	11/27/20	89.70	...	-12.16	87 of 166 - Sale ²⁵
40.000		56.39	11/27/20	68.02	...	-11.63	88 of 166 - Sale ²⁵
55.000		77.54	11/27/20	89.15	...	-11.61	89 of 166 - Sale ²⁵
50.000		70.49	11/27/20	81.55	...	-11.06	90 of 166 - Sale ²⁵
37.000		52.16	11/27/20	62.92	...	-10.76	91 of 166 - Sale ²⁵
50.000		70.49	11/27/20	81.05	...	-10.56	92 of 166 - Sale ²⁵
11.000		15.51	11/27/20	25.86	...	-10.35	93 of 166 - Sale ²⁵
24.000		33.84	11/27/20	44.18	...	-10.34	94 of 166 - Sale ²⁵
46.000		64.85	11/27/20	75.02	...	-10.17	95 of 166 - Sale ²⁵
44.000		62.03	11/27/20	71.76	...	-9.73	96 of 166 - Sale ²⁵
10.000		14.10	11/27/20	23.51	...	-9.41	97 of 166 - Sale ²⁵
10.000		14.10	11/27/20	23.50	...	-9.40	98 of 166 - Sale ²⁵
7.000		9.87	11/27/20	19.18	...	-9.31	99 of 166 - Sale ²⁵
38.000		53.57	11/27/20	62.37	...	-8.80	100 of 166 - Sale ²⁵
20.000		28.20	11/27/20	36.61	...	-8.41	101 of 166 - Sale ²⁵
38.000		53.57	11/27/20	61.96	...	-8.39	102 of 166 - Sale ²⁵
37.000		52.16	11/27/20	60.34	...	-8.18	103 of 166 - Sale ²⁵
37.000		52.16	11/27/20	60.34	...	-8.18	104 of 166 - Sale ²⁵
37.000		52.16	11/27/20	60.33	...	-8.17	105 of 166 - Sale ²⁵
19.000		26.79	11/27/20	34.78	...	-7.99	106 of 166 - Sale ²⁵
34.000		47.93	11/27/20	55.45	...	-7.52	107 of 166 - Sale ²⁵
32.000		45.12	11/27/20	52.18	...	-7.06	108 of 166 - Sale ²⁵
29.000		40.89	11/27/20	47.59	...	-6.70	109 of 166 - Sale ²⁵
18.000		25.38	11/27/20	32.05	...	-6.67	110 of 166 - Sale ²⁵
30.000		42.30	11/27/20	48.90	...	-6.60	111 of 166 - Sale ²⁵
18.000		25.38	11/27/20	31.86	...	-6.48	112 of 166 - Sale ²⁵
22.000		31.02	11/27/20	37.41	...	-6.39	113 of 166 - Sale ²⁵
29.000		40.89	11/27/20	47.27	...	-6.38	114 of 166 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	30.000	42.30	11/27/20	48.61	...	-6.31	115 of 166 - Sale ²⁵
	27.000	38.07	11/27/20	44.02	...	-5.95	116 of 166 - Sale ²⁵
	15.000	21.15	11/27/20	27.00	...	-5.85	117 of 166 - Sale ²⁵
	26.000	36.66	11/27/20	42.39	...	-5.73	118 of 166 - Sale ²⁵
	25.000	35.25	11/27/20	40.75	...	-5.50	119 of 166 - Sale ²⁵
	13.000	18.33	11/27/20	23.40	...	-5.07	120 of 166 - Sale ²⁵
	11.000	15.51	11/27/20	20.25	...	-4.74	121 of 166 - Sale ²⁵
	13.000	18.33	11/27/20	23.02	...	-4.69	122 of 166 - Sale ²⁵
	21.000	29.61	11/27/20	34.24	...	-4.63	123 of 166 - Sale ²⁵
	21.000	29.61	11/27/20	34.23	...	-4.62	124 of 166 - Sale ²⁵
	4.000	5.64	11/27/20	10.13	...	-4.49	125 of 166 - Sale ²⁵
	20.000	28.20	11/27/20	32.61	...	-4.41	126 of 166 - Sale ²⁵
	15.000	21.15	11/27/20	25.50	...	-4.35	127 of 166 - Sale ²⁵
	10.000	14.10	11/27/20	18.41	...	-4.31	128 of 166 - Sale ²⁵
	10.000	14.10	11/27/20	18.41	...	-4.31	129 of 166 - Sale ²⁵
	10.000	14.10	11/27/20	18.41	...	-4.31	130 of 166 - Sale ²⁵
	18.000	25.38	11/27/20	29.35	...	-3.97	131 of 166 - Sale ²⁵
	17.000	23.97	11/27/20	27.88	...	-3.91	132 of 166 - Sale ²⁵
	17.000	23.97	11/27/20	27.88	...	-3.91	133 of 166 - Sale ²⁵
	4.000	5.64	11/27/20	9.40	...	-3.76	134 of 166 - Sale ²⁵
	16.000	22.56	11/27/20	26.08	...	-3.52	135 of 166 - Sale ²⁵
	15.000	21.15	11/27/20	24.46	...	-3.31	136 of 166 - Sale ²⁵
	11.000	15.51	11/27/20	18.70	...	-3.19	137 of 166 - Sale ²⁵
	7.000	9.87	11/27/20	12.82	...	-2.95	138 of 166 - Sale ²⁵
	10.000	14.10	11/27/20	17.01	...	-2.91	139 of 166 - Sale ²⁵
	12.000	16.92	11/27/20	19.57	...	-2.65	140 of 166 - Sale ²⁵
	10.000	14.10	11/27/20	16.30	...	-2.20	141 of 166 - Sale ²⁵
	10.000	14.10	11/27/20	16.30	...	-2.20	142 of 166 - Sale ²⁵
	10.000	14.10	11/27/20	16.20	...	-2.10	143 of 166 - Sale ²⁵
	9.000	12.69	11/27/20	14.76	...	-2.07	144 of 166 - Sale ²⁵
	9.000	12.69	11/27/20	14.68	...	-1.99	145 of 166 - Sale ²⁵
	7.000	9.87	11/27/20	11.76	...	-1.89	146 of 166 - Sale ²⁵
	8.000	11.28	11/27/20	13.04	...	-1.76	147 of 166 - Sale ²⁵
	6.000	8.46	11/27/20	10.20	...	-1.74	148 of 166 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	8.000	11.28	11/27/20	12.96	...	-1.68	149 of 166 - Sale ²⁵
	7.000	9.87	11/27/20	11.41	...	-1.54	150 of 166 - Sale ²⁵
	7.000	9.87	11/27/20	11.41	...	-1.54	151 of 166 - Sale ²⁵
	5.000	7.05	11/27/20	8.50	...	-1.45	152 of 166 - Sale ²⁵
	6.000	8.46	11/27/20	9.72	...	-1.26	153 of 166 - Sale ²⁵
	4.000	5.64	11/27/20	6.80	...	-1.16	154 of 166 - Sale ²⁵
	4.000	5.64	11/27/20	6.80	...	-1.16	155 of 166 - Sale ²⁵
	4.000	5.64	11/27/20	6.72	...	-1.08	156 of 166 - Sale ²⁵
	4.000	5.64	11/27/20	6.52	...	-0.88	157 of 166 - Sale ²⁵
	3.000	4.23	11/27/20	5.10	...	-0.87	158 of 166 - Sale ²⁵
	2.000	2.82	11/27/20	3.40	...	-0.58	159 of 166 - Sale ²⁵
	2.000	2.82	11/27/20	3.36	...	-0.54	160 of 166 - Sale ²⁵
	2.000	2.82	11/27/20	3.26	...	-0.44	161 of 166 - Sale ²⁵
	2.000	2.82	11/27/20	3.26	...	-0.44	162 of 166 - Sale ²⁵
	2.000	2.82	11/27/20	3.26	...	-0.44	163 of 166 - Sale ²⁵
	1.000	1.41	11/27/20	1.63	...	-0.22	164 of 166 - Sale ²⁵
	1.000	1.41	11/27/20	1.62	...	-0.21	165 of 166 - Sale ²⁵
	1.000	1.41	11/27/20	1.62	...	-0.21	166 of 166 - Sale ²⁵
11/27/20	12,761.000	17,991.09	Various	22,847.02	...	-4,855.93	Total of 166 transactions
	344 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	12.000	19.32	11/25/20	20.64	1.32 W	0.00	1 of 344 - Sale ²⁵
	30.000	48.30	11/25/20	51.60	3.30 W	0.00	2 of 344 - Sale ²⁵
	46.000	74.05	11/25/20	79.13	5.08 W	0.00	3 of 344 - Sale ²⁵
	55.000	88.54	11/25/20	94.62	6.08 W	0.00	4 of 344 - Sale ²⁵
	5.000	8.05	11/25/20	8.60	0.55 W	0.00	5 of 344 - Sale ²⁵
	18.000	28.98	11/25/20	30.97	1.99 W	0.00	6 of 344 - Sale ²⁵
	25.000	40.25	11/25/20	43.00	2.75 W	0.00	7 of 344 - Sale ²⁵
	47.000	75.66	11/25/20	80.85	5.19 W	0.00	8 of 344 - Sale ²⁵
	50.000	80.49	11/25/20	86.02	5.53 W	0.00	9 of 344 - Sale ²⁵
	155.000	249.53	11/25/20	266.64	17.11 W	0.00	10 of 344 - Sale ²⁵
	200.000	321.97	11/25/20	344.07	22.10 W	0.00	11 of 344 - Sale ²⁵
	63.000	101.42	11/25/20	108.38	6.96 W	0.00	12 of 344 - Sale ²⁵
	4.000	6.44	11/25/20	6.88	0.44 W	0.00	13 of 344 - Sale ²⁵
	290.000	466.85	11/25/20	498.89	32.04 W	0.00	14 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	6.000	9.66	11/25/20	10.32	0.66 W	0.00	15 of 344 - Sale ²⁵
	11.000	17.71	11/25/20	18.92	1.21 W	0.00	16 of 344 - Sale ²⁵
	15.000	24.15	11/25/20	25.80	1.65 W	0.00	17 of 344 - Sale ²⁵
	68.000	109.47	11/25/20	116.98	7.51 W	0.00	18 of 344 - Sale ²⁵
	100.000	160.98	11/25/20	172.04	11.06 W	0.00	19 of 344 - Sale ²⁵
	100.000	160.98	11/25/20	172.03	11.05 W	0.00	20 of 344 - Sale ²⁵
	100.000	160.98	11/25/20	172.03	11.05 W	0.00	21 of 344 - Sale ²⁵
	100.000	160.98	11/25/20	172.02	11.04 W	0.00	22 of 344 - Sale ²⁵
	115.000	185.13	11/25/20	197.84	12.71 W	0.00	23 of 344 - Sale ²⁵
	185.000	297.82	11/25/20	318.25	20.43 W	0.00	24 of 344 - Sale ²⁵
	200.000	321.97	11/25/20	344.05	22.08 W	0.00	25 of 344 - Sale ²⁵
	196.000	315.53	11/25/20	337.18	21.65 W	0.00	26 of 344 - Sale ²⁵
	204.000	328.41	11/25/20	350.93	22.52 W	0.00	27 of 344 - Sale ²⁵
	14.000	22.54	11/25/20	33.18	10.64 W	0.00	28 of 344 - Sale ²⁵
	23.000	37.03	11/25/20	53.83	16.80 W	0.00	29 of 344 - Sale ²⁵
	39.000	62.78	11/25/20	91.29	28.51 W	0.00	30 of 344 - Sale ²⁵
	61.000	98.20	11/25/20	104.94	6.74 W	0.00	31 of 344 - Sale ²⁵
	62.000	99.81	11/25/20	145.14	45.33 W	0.00	32 of 344 - Sale ²⁵
	81.000	130.40	11/25/20	192.05	61.65 W	0.00	33 of 344 - Sale ²⁵
	10.000	16.10	11/25/20	23.71	7.61 W	0.00	34 of 344 - Sale ²⁵
	48.000	77.27	11/25/20	113.81	36.54 W	0.00	35 of 344 - Sale ²⁵
	172.000	276.89	11/25/20	407.80	130.91 W	0.00	36 of 344 - Sale ²⁵
	11.000	17.71	11/25/20	26.08	8.37 W	0.00	37 of 344 - Sale ²⁵
	89.000	143.28	11/25/20	211.01	67.73 W	0.00	38 of 344 - Sale ²⁵
	7.000	11.27	11/25/20	19.32	8.05 W	0.00	39 of 344 - Sale ²⁵
	14.000	22.54	11/25/20	35.56	13.02 W	0.00	40 of 344 - Sale ²⁵
	23.000	37.03	11/25/20	54.52	17.49 W	0.00	41 of 344 - Sale ²⁵
	23.000	37.03	11/25/20	58.69	21.66 W	0.00	42 of 344 - Sale ²⁵
	23.000	37.03	11/25/20	63.49	26.46 W	0.00	43 of 344 - Sale ²⁵
	32.000	51.51	11/25/20	81.63	30.12 W	0.00	44 of 344 - Sale ²⁵
	45.000	72.44	11/25/20	114.81	42.37 W	0.00	45 of 344 - Sale ²⁵
	52.000	83.71	11/25/20	123.29	39.58 W	0.00	46 of 344 - Sale ²⁵
	63.000	101.42	11/25/20	160.72	59.30 W	0.00	47 of 344 - Sale ²⁵
	69.000	111.08	11/25/20	176.02	64.94 W	0.00	48 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	100.000	160.98	11/25/20	276.12	115.14 W	0.00	49 of 344 - Sale ²⁵
	138.000	222.16	11/25/20	270.57	48.41 W	0.00	50 of 344 - Sale ²⁵
	500.000	804.92	11/25/20	980.32	175.40 W	0.00	51 of 344 - Sale ²⁵
	500.000	804.92	11/25/20	980.32	175.40 W	0.00	52 of 344 - Sale ²⁵
	500.000	804.93	11/25/20	980.29	175.36 W	0.00	53 of 344 - Sale ²⁵
	450.000	724.43	11/25/20	882.28	157.85 W	0.00	54 of 344 - Sale ²⁵
	1.000	1.61	11/25/20	1.71	0.10 W	0.00	55 of 344 - Sale ²⁵
	7.000	11.27	11/25/20	11.97	0.70 W	0.00	56 of 344 - Sale ²⁵
	10.000	16.10	11/25/20	17.10	1.00 W	0.00	57 of 344 - Sale ²⁵
	21.000	33.81	11/25/20	35.91	2.10 W	0.00	58 of 344 - Sale ²⁵
	29.000	46.69	11/25/20	49.59	2.90 W	0.00	59 of 344 - Sale ²⁵
	39.000	62.78	11/25/20	66.70	3.92 W	0.00	60 of 344 - Sale ²⁵
	61.000	98.20	11/25/20	104.34	6.14 W	0.00	61 of 344 - Sale ²⁵
	61.000	98.20	11/25/20	104.34	6.14 W	0.00	62 of 344 - Sale ²⁵
	79.000	127.18	11/25/20	135.12	7.94 W	0.00	63 of 344 - Sale ²⁵
	82.000	132.01	11/25/20	140.25	8.24 W	0.00	64 of 344 - Sale ²⁵
	100.000	160.98	11/25/20	171.05	10.07 W	0.00	65 of 344 - Sale ²⁵
	10.000	16.10	11/25/20	17.10	1.00 W	0.00	66 of 344 - Sale ²⁵
	12.000	19.32	11/25/20	20.53	1.21 W	0.00	67 of 344 - Sale ²⁵
	20.000	32.20	11/25/20	34.21	2.01 W	0.00	68 of 344 - Sale ²⁵
	26.000	41.86	11/25/20	44.47	2.61 W	0.00	69 of 344 - Sale ²⁵
	43.000	69.22	11/25/20	73.56	4.34 W	0.00	70 of 344 - Sale ²⁵
	389.000	626.21	11/25/20	665.36	39.15 W	0.00	71 of 344 - Sale ²⁵
	1.000	1.61	11/25/20	1.70	0.09 W	0.00	72 of 344 - Sale ²⁵
	6.000	9.66	11/25/20	10.20	0.54 W	0.00	73 of 344 - Sale ²⁵
	7.000	11.27	11/25/20	11.97	0.70 W	0.00	74 of 344 - Sale ²⁵
	9.000	14.49	11/25/20	15.30	0.81 W	0.00	75 of 344 - Sale ²⁵
	10.000	16.10	11/25/20	17.00	0.90 W	0.00	76 of 344 - Sale ²⁵
	17.000	27.37	11/25/20	29.92	2.55 W	0.00	77 of 344 - Sale ²⁵
	18.000	28.98	11/25/20	30.78	1.80 W	0.00	78 of 344 - Sale ²⁵
	19.000	30.59	11/25/20	37.06	6.47 W	0.00	79 of 344 - Sale ²⁵
	20.000	32.20	11/25/20	39.01	6.81 W	0.00	80 of 344 - Sale ²⁵
	21.000	33.81	11/25/20	35.91	2.10 W	0.00	81 of 344 - Sale ²⁵
	28.000	45.08	11/25/20	49.28	4.20 W	0.00	82 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
29.000		46.69	11/25/20	51.06	4.37 W	0.00	83 of 344 - Sale ²⁵
30.000		48.30	11/25/20	51.01	2.71 W	0.00	84 of 344 - Sale ²⁵
32.000		51.51	11/25/20	54.73	3.22 W	0.00	85 of 344 - Sale ²⁵
38.000		61.17	11/25/20	66.91	5.74 W	0.00	86 of 344 - Sale ²⁵
38.000		61.17	11/25/20	64.99	3.82 W	0.00	87 of 344 - Sale ²⁵
39.000		62.78	11/25/20	66.70	3.92 W	0.00	88 of 344 - Sale ²⁵
40.000		64.39	11/25/20	78.03	13.64 W	0.00	89 of 344 - Sale ²⁵
50.000		80.49	11/25/20	85.03	4.54 W	0.00	90 of 344 - Sale ²⁵
55.000		88.54	11/25/20	93.53	4.99 W	0.00	91 of 344 - Sale ²⁵
61.000		98.20	11/25/20	104.34	6.14 W	0.00	92 of 344 - Sale ²⁵
61.000		98.20	11/25/20	104.34	6.14 W	0.00	93 of 344 - Sale ²⁵
61.000		98.20	11/25/20	104.34	6.14 W	0.00	94 of 344 - Sale ²⁵
100.000		160.98	11/25/20	196.06	35.08 W	0.00	95 of 344 - Sale ²⁵
118.000		189.96	11/25/20	207.73	17.77 W	0.00	96 of 344 - Sale ²⁵
132.000		212.50	11/25/20	257.48	44.98 W	0.00	97 of 344 - Sale ²⁵
141.000		226.99	11/25/20	276.45	49.46 W	0.00	98 of 344 - Sale ²⁵
141.000		226.99	11/25/20	276.44	49.45 W	0.00	99 of 344 - Sale ²⁵
148.000		238.26	11/25/20	290.17	51.91 W	0.00	100 of 344 - Sale ²⁵
162.000		260.79	11/25/20	317.62	56.83 W	0.00	101 of 344 - Sale ²⁵
183.000		294.60	11/25/20	322.16	27.56 W	0.00	102 of 344 - Sale ²⁵
191.000		307.48	11/25/20	374.48	67.00 W	0.00	103 of 344 - Sale ²⁵
229.000		368.65	11/25/20	446.68	78.03 W	0.00	104 of 344 - Sale ²⁵
282.000		453.98	11/25/20	496.44	42.46 W	0.00	105 of 344 - Sale ²⁵
344.000		553.79	11/25/20	605.60	51.81 W	0.00	106 of 344 - Sale ²⁵
521.000		838.73	11/25/20	1,016.26	177.53 W	0.00	107 of 344 - Sale ²⁵
168.000		270.45	11/25/20	329.38	58.93 W	0.00	108 of 344 - Sale ²⁵
13.000		19.89	11/27/20	22.62	2.73 W	0.00	109 of 344 - Sale ²⁵
15.000		22.95	11/27/20	26.10	3.15 W	0.00	110 of 344 - Sale ²⁵
99.000		151.45	11/27/20	172.32	20.87 W	0.00	111 of 344 - Sale ²⁵
373.000		570.63	11/27/20	649.19	78.56 W	0.00	112 of 344 - Sale ²⁵
13.000		19.89	11/27/20	22.24	2.35 W	0.00	113 of 344 - Sale ²⁵
18.000		27.54	11/27/20	30.78	3.24 W	0.00	114 of 344 - Sale ²⁵
169.000		258.54	11/27/20	289.07	30.53 W	0.00	115 of 344 - Sale ²⁵
193.000		295.26	11/27/20	330.12	34.86 W	0.00	116 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
207.000		316.68	11/27/20	354.05	37.37 W	0.00	117 of 344 - Sale ²⁵
111.000		169.81	11/27/20	189.86	20.05 W	0.00	118 of 344 - Sale ²⁵
132.000		201.94	11/27/20	225.79	23.85 W	0.00	119 of 344 - Sale ²⁵
200.000		305.97	11/27/20	342.09	36.12 W	0.00	120 of 344 - Sale ²⁵
257.000		393.17	11/27/20	439.59	46.42 W	0.00	121 of 344 - Sale ²⁵
100.000		152.98	11/27/20	171.05	18.07 W	0.00	122 of 344 - Sale ²⁵
12.000		18.36	11/27/20	19.56	1.20 W	0.00	123 of 344 - Sale ²⁵
18.000		27.54	11/27/20	29.35	1.81 W	0.00	124 of 344 - Sale ²⁵
25.000		38.25	11/27/20	40.75	2.50 W	0.00	125 of 344 - Sale ²⁵
30.000		45.90	11/27/20	48.90	3.00 W	0.00	126 of 344 - Sale ²⁵
46.000		70.37	11/27/20	75.00	4.63 W	0.00	127 of 344 - Sale ²⁵
50.000		76.49	11/27/20	81.53	5.04 W	0.00	128 of 344 - Sale ²⁵
55.000		84.14	11/27/20	89.68	5.54 W	0.00	129 of 344 - Sale ²⁵
155.000		237.13	11/27/20	252.71	15.58 W	0.00	130 of 344 - Sale ²⁵
198.000		302.91	11/27/20	322.84	19.93 W	0.00	131 of 344 - Sale ²⁵
4.000		6.12	11/27/20	6.84	0.72 W	0.00	132 of 344 - Sale ²⁵
7.000		10.71	11/27/20	11.97	1.26 W	0.00	133 of 344 - Sale ²⁵
2.000		3.06	11/27/20	3.26	0.20 W	0.00	134 of 344 - Sale ²⁵
4.000		6.12	11/27/20	6.52	0.40 W	0.00	135 of 344 - Sale ²⁵
5.000		7.65	11/27/20	8.15	0.50 W	0.00	136 of 344 - Sale ²⁵
11.000		16.83	11/27/20	17.93	1.10 W	0.00	137 of 344 - Sale ²⁵
47.000		71.90	11/27/20	76.63	4.73 W	0.00	138 of 344 - Sale ²⁵
63.000		96.38	11/27/20	102.72	6.34 W	0.00	139 of 344 - Sale ²⁵
78.000		119.33	11/27/20	127.19	7.86 W	0.00	140 of 344 - Sale ²⁵
290.000		443.66	11/27/20	472.84	29.18 W	0.00	141 of 344 - Sale ²⁵
4.000		6.12	11/27/20	6.52	0.40 W	0.00	142 of 344 - Sale ²⁵
6.000		9.18	11/27/20	9.78	0.60 W	0.00	143 of 344 - Sale ²⁵
15.000		22.95	11/27/20	24.45	1.50 W	0.00	144 of 344 - Sale ²⁵
22.000		33.66	11/27/20	35.87	2.21 W	0.00	145 of 344 - Sale ²⁵
68.000		104.03	11/27/20	110.87	6.84 W	0.00	146 of 344 - Sale ²⁵
100.000		152.98	11/27/20	163.05	10.07 W	0.00	147 of 344 - Sale ²⁵
100.000		152.98	11/27/20	163.05	10.07 W	0.00	148 of 344 - Sale ²⁵
100.000		152.98	11/27/20	163.04	10.06 W	0.00	149 of 344 - Sale ²⁵
185.000		283.02	11/27/20	301.63	18.61 W	0.00	150 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
93.000		142.28	11/27/20	151.63	9.35 W	0.00	151 of 344 - Sale ²⁵
115.000		175.93	11/27/20	187.51	11.58 W	0.00	152 of 344 - Sale ²⁵
196.000		299.85	11/27/20	319.56	19.71 W	0.00	153 of 344 - Sale ²⁵
196.000		299.85	11/27/20	319.57	19.72 W	0.00	154 of 344 - Sale ²⁵
4.000		6.12	11/27/20	9.12	3.00 W	0.00	155 of 344 - Sale ²⁵
23.000		35.19	11/27/20	51.76	16.57 W	0.00	156 of 344 - Sale ²⁵
39.000		59.66	11/27/20	87.79	28.13 W	0.00	157 of 344 - Sale ²⁵
61.000		93.32	11/27/20	99.46	6.14 W	0.00	158 of 344 - Sale ²⁵
62.000		94.85	11/27/20	139.57	44.72 W	0.00	159 of 344 - Sale ²⁵
111.000		169.81	11/27/20	180.97	11.16 W	0.00	160 of 344 - Sale ²⁵
4.000		6.12	11/27/20	9.85	3.73 W	0.00	161 of 344 - Sale ²⁵
10.000		15.30	11/27/20	22.80	7.50 W	0.00	162 of 344 - Sale ²⁵
10.000		15.30	11/27/20	22.81	7.51 W	0.00	163 of 344 - Sale ²⁵
11.000		16.83	11/27/20	25.09	8.26 W	0.00	164 of 344 - Sale ²⁵
14.000		21.42	11/27/20	34.30	12.88 W	0.00	165 of 344 - Sale ²⁵
23.000		35.19	11/27/20	52.45	17.26 W	0.00	166 of 344 - Sale ²⁵
23.000		35.18	11/27/20	56.62	21.44 W	0.00	167 of 344 - Sale ²⁵
48.000		73.43	11/27/20	109.50	36.07 W	0.00	168 of 344 - Sale ²⁵
52.000		79.55	11/27/20	118.62	39.07 W	0.00	169 of 344 - Sale ²⁵
63.000		96.38	11/27/20	155.06	58.68 W	0.00	170 of 344 - Sale ²⁵
81.000		123.92	11/27/20	184.77	60.85 W	0.00	171 of 344 - Sale ²⁵
89.000		136.16	11/27/20	203.01	66.85 W	0.00	172 of 344 - Sale ²⁵
172.000		263.13	11/27/20	392.35	129.22 W	0.00	173 of 344 - Sale ²⁵
28.000		42.83	11/27/20	68.91	26.08 W	0.00	174 of 344 - Sale ²⁵
22.000		33.66	11/27/20	54.15	20.49 W	0.00	175 of 344 - Sale ²⁵
7.000		10.71	11/27/20	18.69	7.98 W	0.00	176 of 344 - Sale ²⁵
23.000		35.19	11/27/20	61.42	26.23 W	0.00	177 of 344 - Sale ²⁵
28.000		42.84	11/27/20	52.38	9.54 W	0.00	178 of 344 - Sale ²⁵
23.000		35.19	11/27/20	56.62	21.43 W	0.00	179 of 344 - Sale ²⁵
69.000		105.56	11/27/20	169.82	64.26 W	0.00	180 of 344 - Sale ²⁵
100.000		152.98	11/27/20	267.14	114.16 W	0.00	181 of 344 - Sale ²⁵
110.000		168.28	11/27/20	205.79	37.51 W	0.00	182 of 344 - Sale ²⁵
490.000		749.62	11/27/20	916.69	167.07 W	0.00	183 of 344 - Sale ²⁵
10.000		15.30	11/27/20	18.71	3.41 W	0.00	184 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
490.000		749.62	11/27/20	916.69	167.07 W	0.00	185 of 344 - Sale ²⁵
10.000		15.30	11/27/20	18.71	3.41 W	0.00	186 of 344 - Sale ²⁵
290.000		443.66	11/27/20	542.51	98.85 W	0.00	187 of 344 - Sale ²⁵
210.000		321.27	11/27/20	392.85	71.58 W	0.00	188 of 344 - Sale ²⁵
390.000		596.64	11/27/20	729.60	132.96 W	0.00	189 of 344 - Sale ²⁵
60.000		91.79	11/27/20	112.25	20.46 W	0.00	190 of 344 - Sale ²⁵
90.000		137.69	11/27/20	168.37	30.68 W	0.00	191 of 344 - Sale ²⁵
141.000		215.71	11/27/20	263.78	48.07 W	0.00	192 of 344 - Sale ²⁵
141.000		215.71	11/27/20	263.77	48.06 W	0.00	193 of 344 - Sale ²⁵
168.000		257.01	11/27/20	314.29	57.28 W	0.00	194 of 344 - Sale ²⁵
10.000		15.30	11/27/20	18.71	3.41 W	0.00	195 of 344 - Sale ²⁵
148.000		226.42	11/27/20	276.87	50.45 W	0.00	196 of 344 - Sale ²⁵
162.000		247.83	11/27/20	303.07	55.24 W	0.00	197 of 344 - Sale ²⁵
180.000		275.37	11/27/20	336.74	61.37 W	0.00	198 of 344 - Sale ²⁵
11.000		16.83	11/27/20	20.58	3.75 W	0.00	199 of 344 - Sale ²⁵
132.000		201.94	11/27/20	245.62	43.68 W	0.00	200 of 344 - Sale ²⁵
157.000		240.18	11/27/20	292.14	51.96 W	0.00	201 of 344 - Sale ²⁵
7.000		10.71	11/27/20	13.03	2.32 W	0.00	202 of 344 - Sale ²⁵
72.000		110.15	11/27/20	133.97	23.82 W	0.00	203 of 344 - Sale ²⁵
521.000		797.05	11/27/20	969.45	172.40 W	0.00	204 of 344 - Sale ²⁵
17.000		26.01	11/27/20	28.39	2.38 W	0.00	205 of 344 - Sale ²⁵
19.000		29.07	11/27/20	35.35	6.28 W	0.00	206 of 344 - Sale ²⁵
20.000		30.60	11/27/20	37.21	6.61 W	0.00	207 of 344 - Sale ²⁵
33.000		50.48	11/27/20	61.41	10.93 W	0.00	208 of 344 - Sale ²⁵
38.000		58.13	11/27/20	63.50	5.37 W	0.00	209 of 344 - Sale ²⁵
73.000		111.68	11/27/20	121.95	10.27 W	0.00	210 of 344 - Sale ²⁵
118.000		180.52	11/27/20	197.13	16.61 W	0.00	211 of 344 - Sale ²⁵
282.000		431.41	11/27/20	471.10	39.69 W	0.00	212 of 344 - Sale ²⁵
17.000		26.01	11/27/20	28.39	2.38 W	0.00	213 of 344 - Sale ²⁵
29.000		44.36	11/27/20	48.45	4.09 W	0.00	214 of 344 - Sale ²⁵
110.000		168.28	11/27/20	183.77	15.49 W	0.00	215 of 344 - Sale ²⁵
344.000		526.27	11/27/20	574.69	48.42 W	0.00	216 of 344 - Sale ²⁵
9.000		13.77	11/27/20	15.03	1.26 W	0.00	217 of 344 - Sale ²⁵
2.000		2.94	11/27/20	3.30	0.36 W	0.00	218 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	8.000	11.76	11/27/20	12.72	0.96 W	0.00	219 of 344 - Sale ²⁵
	10.000	14.70	11/27/20	15.90	1.20 W	0.00	220 of 344 - Sale ²⁵
	30.000	44.10	11/27/20	47.71	3.61 W	0.00	221 of 344 - Sale ²⁵
	50.000	73.49	11/27/20	79.54	6.05 W	0.00	222 of 344 - Sale ²⁵
	1.000	1.47	11/27/20	1.59	0.12 W	0.00	223 of 344 - Sale ²⁵
	6.000	8.82	11/27/20	9.54	0.72 W	0.00	224 of 344 - Sale ²⁵
	7.000	10.29	11/27/20	11.20	0.91 W	0.00	225 of 344 - Sale ²⁵
	1.000	1.47	11/27/20	1.59	0.12 W	0.00	226 of 344 - Sale ²⁵
	16.000	23.52	11/27/20	25.60	2.08 W	0.00	227 of 344 - Sale ²⁵
	21.000	30.87	11/27/20	33.60	2.73 W	0.00	228 of 344 - Sale ²⁵
	32.000	47.04	11/27/20	51.22	4.18 W	0.00	229 of 344 - Sale ²⁵
	55.000	80.84	11/27/20	87.49	6.65 W	0.00	230 of 344 - Sale ²⁵
	61.000	89.66	11/27/20	97.64	7.98 W	0.00	231 of 344 - Sale ²⁵
	2.000	2.94	11/27/20	3.20	0.26 W	0.00	232 of 344 - Sale ²⁵
	37.000	54.39	11/27/20	59.22	4.83 W	0.00	233 of 344 - Sale ²⁵
	61.000	89.66	11/27/20	97.64	7.98 W	0.00	234 of 344 - Sale ²⁵
	1.000	1.47	11/27/20	1.60	0.13 W	0.00	235 of 344 - Sale ²⁵
	10.000	14.70	11/27/20	16.00	1.30 W	0.00	236 of 344 - Sale ²⁵
	29.000	42.63	11/27/20	46.40	3.77 W	0.00	237 of 344 - Sale ²⁵
	38.000	55.86	11/27/20	60.82	4.96 W	0.00	238 of 344 - Sale ²⁵
	2.000	2.94	11/27/20	3.20	0.26 W	0.00	239 of 344 - Sale ²⁵
	37.000	54.38	11/27/20	59.22	4.84 W	0.00	240 of 344 - Sale ²⁵
	61.000	89.66	11/27/20	97.64	7.98 W	0.00	241 of 344 - Sale ²⁵
	61.000	89.66	11/27/20	97.64	7.98 W	0.00	242 of 344 - Sale ²⁵
	61.000	89.66	11/27/20	97.64	7.98 W	0.00	243 of 344 - Sale ²⁵
	15.000	22.05	11/27/20	24.01	1.96 W	0.00	244 of 344 - Sale ²⁵
	2.000	2.94	11/27/20	3.20	0.26 W	0.00	245 of 344 - Sale ²⁵
	82.000	120.53	11/27/20	131.24	10.71 W	0.00	246 of 344 - Sale ²⁵
	27.000	39.69	11/27/20	43.21	3.52 W	0.00	247 of 344 - Sale ²⁵
	7.000	10.29	11/27/20	11.20	0.91 W	0.00	248 of 344 - Sale ²⁵
	21.000	30.86	11/27/20	33.60	2.74 W	0.00	249 of 344 - Sale ²⁵
	37.000	54.38	11/27/20	59.22	4.84 W	0.00	250 of 344 - Sale ²⁵
	9.000	13.23	11/27/20	14.41	1.18 W	0.00	251 of 344 - Sale ²⁵
	100.000	146.99	11/27/20	160.07	13.08 W	0.00	252 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
10.000		14.70	11/27/20	16.00	1.30 W	0.00	253 of 344 - Sale ²⁵
12.000		17.64	11/27/20	19.21	1.57 W	0.00	254 of 344 - Sale ²⁵
20.000		29.40	11/27/20	32.01	2.61 W	0.00	255 of 344 - Sale ²⁵
26.000		38.22	11/27/20	41.61	3.39 W	0.00	256 of 344 - Sale ²⁵
34.000		49.98	11/27/20	54.43	4.45 W	0.00	257 of 344 - Sale ²⁵
198.000		291.02	11/27/20	316.93	25.91 W	0.00	258 of 344 - Sale ²⁵
4.000		5.88	11/27/20	6.40	0.52 W	0.00	259 of 344 - Sale ²⁵
55.000		80.84	11/27/20	88.04	7.20 W	0.00	260 of 344 - Sale ²⁵
191.000		280.75	11/27/20	305.72	24.97 W	0.00	261 of 344 - Sale ²⁵
8.000		11.76	11/27/20	12.80	1.04 W	0.00	262 of 344 - Sale ²⁵
18.000		26.46	11/27/20	28.81	2.35 W	0.00	263 of 344 - Sale ²⁵
30.000		44.10	11/27/20	48.00	3.90 W	0.00	264 of 344 - Sale ²⁵
46.000		67.61	11/27/20	73.63	6.02 W	0.00	265 of 344 - Sale ²⁵
98.000		144.05	11/27/20	156.85	12.80 W	0.00	266 of 344 - Sale ²⁵
25.000		36.75	11/27/20	40.00	3.25 W	0.00	267 of 344 - Sale ²⁵
44.000		64.67	11/27/20	70.43	5.76 W	0.00	268 of 344 - Sale ²⁵
50.000		73.49	11/27/20	80.04	6.55 W	0.00	269 of 344 - Sale ²⁵
57.000		83.78	11/27/20	91.23	7.45 W	0.00	270 of 344 - Sale ²⁵
224.000		329.24	11/27/20	383.18	53.94 W	0.00	271 of 344 - Sale ²⁵
81.000		114.20	11/27/20	138.56	24.36 W	0.00	272 of 344 - Sale ²⁵
13.000		18.33	11/27/20	22.23	3.90 W	0.00	273 of 344 - Sale ²⁵
13.000		18.33	11/27/20	21.85	3.52 W	0.00	274 of 344 - Sale ²⁵
15.000		21.15	11/27/20	25.65	4.50 W	0.00	275 of 344 - Sale ²⁵
18.000		25.38	11/27/20	30.24	4.86 W	0.00	276 of 344 - Sale ²⁵
99.000		139.57	11/27/20	169.37	29.80 W	0.00	277 of 344 - Sale ²⁵
169.000		238.26	11/27/20	284.03	45.77 W	0.00	278 of 344 - Sale ²⁵
175.000		246.72	11/27/20	294.11	47.39 W	0.00	279 of 344 - Sale ²⁵
207.000		291.84	11/27/20	347.87	56.03 W	0.00	280 of 344 - Sale ²⁵
68.000		95.87	11/27/20	116.32	20.45 W	0.00	281 of 344 - Sale ²⁵
100.000		139.99	11/27/20	168.07	28.08 W	0.00	282 of 344 - Sale ²⁵
111.000		155.38	11/27/20	186.55	31.17 W	0.00	283 of 344 - Sale ²⁵
132.000		184.78	11/27/20	221.85	37.07 W	0.00	284 of 344 - Sale ²⁵
18.000		25.20	11/27/20	30.25	5.05 W	0.00	285 of 344 - Sale ²⁵
200.000		279.97	11/27/20	336.12	56.15 W	0.00	286 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	257.000	359.76	11/27/20	431.92	72.16 W	0.00	287 of 344 - Sale ²⁵
	107.000	149.78	11/27/20	171.27	21.49 W	0.00	288 of 344 - Sale ²⁵
	10.000	14.00	11/27/20	16.01	2.01 W	0.00	289 of 344 - Sale ²⁵
	2.000	2.80	11/27/20	3.20	0.40 W	0.00	290 of 344 - Sale ²⁵
	5.000	7.00	11/27/20	8.00	1.00 W	0.00	291 of 344 - Sale ²⁵
	47.000	65.79	11/27/20	75.23	9.44 W	0.00	292 of 344 - Sale ²⁵
	3.000	4.20	11/27/20	4.80	0.60 W	0.00	293 of 344 - Sale ²⁵
	37.000	51.80	11/27/20	59.22	7.42 W	0.00	294 of 344 - Sale ²⁵
	60.000	83.99	11/27/20	96.04	12.05 W	0.00	295 of 344 - Sale ²⁵
	40.000	56.00	11/27/20	64.02	8.02 W	0.00	296 of 344 - Sale ²⁵
	4.000	5.60	11/27/20	6.40	0.80 W	0.00	297 of 344 - Sale ²⁵
	4.000	5.60	11/27/20	6.40	0.80 W	0.00	298 of 344 - Sale ²⁵
	6.000	8.40	11/27/20	9.60	1.20 W	0.00	299 of 344 - Sale ²⁵
	11.000	15.40	11/27/20	17.60	2.20 W	0.00	300 of 344 - Sale ²⁵
	15.000	21.00	11/27/20	24.00	3.00 W	0.00	301 of 344 - Sale ²⁵
	22.000	30.80	11/27/20	35.21	4.41 W	0.00	302 of 344 - Sale ²⁵
	68.000	95.19	11/27/20	108.84	13.65 W	0.00	303 of 344 - Sale ²⁵
	78.000	109.19	11/27/20	124.86	15.67 W	0.00	304 of 344 - Sale ²⁵
	100.000	139.98	11/27/20	160.07	20.09 W	0.00	305 of 344 - Sale ²⁵
	100.000	139.98	11/27/20	160.07	20.09 W	0.00	306 of 344 - Sale ²⁵
	100.000	139.98	11/27/20	160.06	20.08 W	0.00	307 of 344 - Sale ²⁵
	185.000	258.97	11/27/20	296.11	37.14 W	0.00	308 of 344 - Sale ²⁵
	57.000	79.79	11/27/20	91.23	11.44 W	0.00	309 of 344 - Sale ²⁵
	250.000	349.96	11/27/20	400.16	50.20 W	0.00	310 of 344 - Sale ²⁵
	4.000	5.60	11/27/20	9.00	3.40 W	0.00	311 of 344 - Sale ²⁵
	4.000	5.60	11/27/20	9.73	4.13 W	0.00	312 of 344 - Sale ²⁵
	7.000	9.80	11/27/20	18.48	8.68 W	0.00	313 of 344 - Sale ²⁵
	10.000	14.00	11/27/20	22.50	8.50 W	0.00	314 of 344 - Sale ²⁵
	10.000	14.00	11/27/20	22.51	8.51 W	0.00	315 of 344 - Sale ²⁵
	11.000	15.40	11/27/20	24.76	9.36 W	0.00	316 of 344 - Sale ²⁵
	14.000	19.60	11/27/20	33.88	14.28 W	0.00	317 of 344 - Sale ²⁵
	22.000	30.80	11/27/20	53.49	22.69 W	0.00	318 of 344 - Sale ²⁵
	23.000	32.20	11/27/20	51.07	18.87 W	0.00	319 of 344 - Sale ²⁵
	23.000	32.20	11/27/20	51.76	19.56 W	0.00	320 of 344 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	23.000	32.20	11/27/20	55.94	23.74 W	0.00	321 of 344 - Sale ²⁵
	23.000	32.20	11/27/20	55.93	23.73 W	0.00	322 of 344 - Sale ²⁵
	23.000	32.20	11/27/20	60.73	28.53 W	0.00	323 of 344 - Sale ²⁵
	28.000	39.19	11/27/20	68.08	28.89 W	0.00	324 of 344 - Sale ²⁵
	28.000	39.19	11/27/20	51.54	12.35 W	0.00	325 of 344 - Sale ²⁵
	39.000	54.59	11/27/20	86.63	32.04 W	0.00	326 of 344 - Sale ²⁵
	48.000	67.19	11/27/20	108.07	40.88 W	0.00	327 of 344 - Sale ²⁵
	52.000	72.79	11/27/20	117.07	44.28 W	0.00	328 of 344 - Sale ²⁵
	61.000	85.39	11/27/20	97.64	12.25 W	0.00	329 of 344 - Sale ²⁵
	62.000	86.79	11/27/20	137.72	50.93 W	0.00	330 of 344 - Sale ²⁵
	63.000	88.19	11/27/20	153.18	64.99 W	0.00	331 of 344 - Sale ²⁵
	69.000	96.59	11/27/20	167.76	71.17 W	0.00	332 of 344 - Sale ²⁵
	81.000	113.39	11/27/20	182.35	68.96 W	0.00	333 of 344 - Sale ²⁵
	89.000	124.59	11/27/20	200.35	75.76 W	0.00	334 of 344 - Sale ²⁵
	93.000	130.19	11/27/20	148.85	18.66 W	0.00	335 of 344 - Sale ²⁵
	100.000	139.98	11/27/20	264.16	124.18 W	0.00	336 of 344 - Sale ²⁵
	110.000	153.98	11/27/20	202.51	48.53 W	0.00	337 of 344 - Sale ²⁵
	111.000	155.38	11/27/20	177.66	22.28 W	0.00	338 of 344 - Sale ²⁵
	115.000	160.98	11/27/20	184.08	23.10 W	0.00	339 of 344 - Sale ²⁵
	172.000	240.77	11/27/20	387.22	146.45 W	0.00	340 of 344 - Sale ²⁵
	139.000	194.58	11/27/20	222.48	27.90 W	0.00	341 of 344 - Sale ²⁵
	196.000	274.37	11/27/20	313.72	39.35 W	0.00	342 of 344 - Sale ²⁵
	147.000	205.77	11/27/20	270.62	64.85 W	0.00	343 of 344 - Sale ²⁵
	172.000	240.78	11/27/20	316.65	75.87 W	0.00	344 of 344 - Sale ²⁵
11/27/20	27,907.000	42,709.49	Various	50,876.08	8,166.59 W	0.00	Total of 344 transactions
	11 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	50.000	98.99	12/28/20	98.00	...	0.99	1 of 11 - Sale ²⁵
	150.000	296.98	12/28/20	295.50	...	1.48	2 of 11 - Sale ²⁵
	350.000	692.94	12/28/20	689.50	...	3.44	3 of 11 - Sale ²⁵
	390.000	772.14	12/28/20	768.30	...	3.84	4 of 11 - Sale ²⁵
	400.000	791.93	12/28/20	788.00	...	3.93	5 of 11 - Sale ²⁵
	500.000	989.91	12/28/20	985.00	...	4.91	6 of 11 - Sale ²⁵
	500.000	989.91	12/28/20	985.00	...	4.91	7 of 11 - Sale ²⁵
	600.000	1,187.90	12/28/20	1,182.00	...	5.90	8 of 11 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol: (cont'd)							
	1,309.000	2,591.60	12/28/20	2,578.73	...	12.87	9 of 11 - Sale ²⁵
	1,959.000	3,878.50	12/28/20	3,859.23	...	19.27	10 of 11 - Sale ²⁵
	3,800.000	7,523.38	12/28/20	7,486.00	...	37.38	11 of 11 - Sale ²⁵
12/28/20	10,008.000	19,814.18	Various	19,715.26	...	98.92	Total of 11 transactions
	Security total:	253,741.59		276,811.66	22,199.20 W	-870.87	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
	3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	4.000	334.00	12/21/20	331.08	...	2.92	1 of 3 - Sale ²⁵
	6.000	500.99	12/21/20	496.62	...	4.37	2 of 3 - Sale ²⁵
	40.000	3,339.92	12/21/20	3,310.80	...	29.12	3 of 3 - Sale ²⁵
12/21/20	50.000	4,174.91	Various	4,138.50	...	36.41	Total of 3 transactions
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X203 / Symbol:							
	8 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	194.000	1,416.14	12/29/20	1,629.66	...	-213.52	1 of 8 - Sale ²⁵
	294.000	2,146.12	12/29/20	2,310.84	...	-164.72	2 of 8 - Sale ²⁵
	94.000	686.17	12/29/20	839.48	...	-153.31	3 of 8 - Sale ²⁵
	5.000	36.50	12/29/20	44.65	...	-8.15	4 of 8 - Sale ²⁵
	4.000	29.20	12/29/20	33.64	...	-4.44	5 of 8 - Sale ²⁵
	1.000	7.30	12/29/20	8.93	...	-1.63	6 of 8 - Sale ²⁵
	1.000	7.30	12/29/20	8.41	...	-1.11	7 of 8 - Sale ²⁵
	1.000	7.30	12/29/20	7.86	...	-0.56	8 of 8 - Sale ²⁵
12/29/20	594.000	4,336.03	Various	4,883.47	...	-547.44	Total of 8 transactions
	7 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	365.000	2,664.40	12/29/20	2,868.90	2.24 W	-202.26	1 of 7 - Sale ²⁵
	206.000	1,503.74	12/29/20	1,617.10	106.76 W	-6.60	2 of 7 - Sale ²⁵
	100.000	730.97	12/29/20	784.00	53.03 W	0.00	3 of 7 - Sale ²⁵
	5.000	36.50	12/29/20	41.90	5.40 W	0.00	4 of 7 - Sale ²⁵
	1.000	7.30	12/29/20	8.38	1.08 W	0.00	5 of 7 - Sale ²⁵
	94.000	686.17	12/29/20	787.75	101.58 W	0.00	6 of 7 - Sale ²⁵
	1.000	7.30	12/29/20	7.86	0.56 W	0.00	7 of 7 - Sale ²⁵
12/29/20	772.000	5,636.38	Various	6,115.89	270.65 W	-208.86	Total of 7 transactions
	Security total:	9,972.41		10,999.36	270.65 W	-756.30	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REVLON, INC. / CUSIP: 761525609 / Symbol:							
<i>17 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	256.000	2,278.32	11/10/20	2,872.49	...	-594.17	1 of 17 - Sale ²⁵
	199.000	1,771.04	11/10/20	2,232.93	...	-461.89	2 of 17 - Sale ²⁵
	533.000	4,743.52	11/10/20	5,159.44	...	-415.92	3 of 17 - Sale ²⁵
	17.000	151.46	11/10/20	229.53	...	-78.07	4 of 17 - Sale ²⁵
	23.000	204.92	11/10/20	275.34	...	-70.42	5 of 17 - Sale ²⁵
	45.000	400.49	11/10/20	470.27	...	-69.78	6 of 17 - Sale ²⁵
	13.000	115.83	11/10/20	175.50	...	-59.67	7 of 17 - Sale ²⁵
	10.000	89.10	11/10/20	127.30	...	-38.20	8 of 17 - Sale ²⁵
	7.000	62.30	11/10/20	89.19	...	-26.89	9 of 17 - Sale ²⁵
	5.000	44.55	11/10/20	67.37	...	-22.82	10 of 17 - Sale ²⁵
	5.000	44.55	11/10/20	67.30	...	-22.75	11 of 17 - Sale ²⁵
	5.000	44.54	11/10/20	63.65	...	-19.11	12 of 17 - Sale ²⁵
	5.000	44.55	11/10/20	63.60	...	-19.05	13 of 17 - Sale ²⁵
	4.000	35.64	11/10/20	50.92	...	-15.28	14 of 17 - Sale ²⁵
	3.000	26.73	11/10/20	35.91	...	-9.18	15 of 17 - Sale ²⁵
	2.000	17.82	11/10/20	23.94	...	-6.12	16 of 17 - Sale ²⁵
	1.000	8.90	11/10/20	12.73	...	-3.83	17 of 17 - Sale ²⁵
11/10/20	1,133.000	10,084.26	Various	12,017.41	...	-1,933.15	Total of 17 transactions
<i>44 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	267.30	11/10/20	290.40	23.10 W	0.00	1 of 44 - Sale ²⁵
	5.000	44.75	11/10/20	48.40	3.65 W	0.00	2 of 44 - Sale ²⁵
	5.000	44.68	11/10/20	48.40	3.72 W	0.00	3 of 44 - Sale ²⁵
	10.000	89.30	11/10/20	96.80	7.50 W	0.00	4 of 44 - Sale ²⁵
	5.000	44.65	11/10/20	52.05	7.40 W	0.00	5 of 44 - Sale ²⁵
	5.000	44.65	11/10/20	52.12	7.47 W	0.00	6 of 44 - Sale ²⁵
	30.000	267.89	11/10/20	313.50	45.61 W	0.00	7 of 44 - Sale ²⁵
	10.000	89.30	11/10/20	96.80	7.50 W	0.00	8 of 44 - Sale ²⁵
	40.000	357.18	11/10/20	387.20	30.02 W	0.00	9 of 44 - Sale ²⁵
	10.000	89.20	11/10/20	104.30	15.10 W	0.00	10 of 44 - Sale ²⁵
	5.000	44.60	11/10/20	55.80	11.20 W	0.00	11 of 44 - Sale ²⁵
	5.000	44.60	11/10/20	55.87	11.27 W	0.00	12 of 44 - Sale ²⁵
	30.000	267.60	11/10/20	336.01	68.41 W	0.00	13 of 44 - Sale ²⁵
	5.000	44.65	11/10/20	52.15	7.50 W	0.00	14 of 44 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REVLON, INC. / CUSIP: 761525609 / Symbol: (cont'd)							
	5.000	44.60	11/10/20	52.15	7.55 W	0.00	15 of 44 - Sale ²⁵
	5.000	44.60	11/10/20	52.15	7.55 W	0.00	16 of 44 - Sale ²⁵
	9.000	80.19	11/10/20	93.88	13.69 W	0.00	17 of 44 - Sale ²⁵
	3.000	26.73	11/10/20	31.29	4.56 W	0.00	18 of 44 - Sale ²⁵
	10.000	89.10	11/10/20	111.90	22.80 W	0.00	19 of 44 - Sale ²⁵
	17.000	151.46	11/10/20	203.33	51.87 W	0.00	20 of 44 - Sale ²⁵
	23.000	204.92	11/10/20	239.90	34.98 W	0.00	21 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	59.60	15.05 W	0.00	22 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	59.67	15.12 W	0.00	23 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	55.90	11.35 W	0.00	24 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	55.95	11.40 W	0.00	25 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	55.95	11.40 W	0.00	26 of 44 - Sale ²⁵
	13.000	115.83	11/10/20	155.48	39.65 W	0.00	27 of 44 - Sale ²⁵
	455.000	4,053.89	11/10/20	4,404.40	350.51 W	0.00	28 of 44 - Sale ²⁵
	7.000	62.37	11/10/20	78.41	16.04 W	0.00	29 of 44 - Sale ²⁵
	2.000	17.82	11/10/20	22.40	4.58 W	0.00	30 of 44 - Sale ²⁵
	3.000	26.73	11/10/20	33.60	6.87 W	0.00	31 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	63.45	18.90 W	0.00	32 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	63.52	18.97 W	0.00	33 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	59.75	15.20 W	0.00	34 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	59.80	15.25 W	0.00	35 of 44 - Sale ²⁵
	5.000	44.55	11/10/20	59.80	15.25 W	0.00	36 of 44 - Sale ²⁵
	10.000	89.10	11/10/20	119.60	30.50 W	0.00	37 of 44 - Sale ²⁵
	13.000	115.83	11/10/20	165.49	49.66 W	0.00	38 of 44 - Sale ²⁵
	17.000	151.46	11/10/20	216.43	64.97 W	0.00	39 of 44 - Sale ²⁵
	23.000	204.92	11/10/20	257.62	52.70 W	0.00	40 of 44 - Sale ²⁵
	199.000	1,773.01	11/10/20	2,079.62	306.61 W	0.00	41 of 44 - Sale ²⁵
	7.000	62.37	11/10/20	83.80	21.43 W	0.00	42 of 44 - Sale ²⁵
	256.000	2,280.88	11/10/20	2,675.29	394.41 W	0.00	43 of 44 - Sale ²⁵
	45.000	400.93	11/10/20	435.60	34.67 W	0.00	44 of 44 - Sale ²⁵
11/10/20	1,367.000	12,182.59	Various	14,095.53	1,912.94 W	0.00	Total of 44 transactions
	Security total:	22,266.85		26,112.94	1,912.94 W	-1,933.15	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	14.000	4.69	11/24/20	4.64	...	0.05	1 of 3 - Sale ²⁵
	23,522.000	7,876.89	11/24/20	7,795.19	...	81.70	2 of 3 - Sale ²⁵
	25,469.000	8,528.90	11/24/20	8,440.43	...	88.47	3 of 3 - Sale ²⁵
11/24/20	49,005.000	16,410.48	Various	16,240.26	...	170.22	Total of 3 transactions
5 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.40	11/27/20	0.40	...	0.00	1 of 5 - Sale ²⁵
	410.000	162.72	11/27/20	161.95	...	0.77	2 of 5 - Sale ²⁵
	505.000	187.80	11/27/20	186.85	...	0.95	3 of 5 - Sale ²⁵
	4,679.000	1,739.98	11/27/20	1,731.23	...	8.75	4 of 5 - Sale ²⁵
	5,509.000	2,048.64	11/27/20	2,038.33	...	10.31	5 of 5 - Sale ²⁵
11/27/20	11,104.000	4,139.54	Various	4,118.76	...	20.78	Total of 5 transactions
32 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	6,093.000	2,206.71	11/27/20	2,287.31	80.60 W	0.00	1 of 32 - Sale ²⁵
	800.000	297.50	11/27/20	306.58	9.08 W	0.00	2 of 32 - Sale ²⁵
	1,925.000	715.85	11/27/20	737.72	21.87 W	0.00	3 of 32 - Sale ²⁵
	800.000	297.50	11/27/20	305.08	7.58 W	0.00	4 of 32 - Sale ²⁵
	3,368.000	1,252.47	11/27/20	1,290.71	38.24 W	0.00	5 of 32 - Sale ²⁵
	800.000	297.50	11/27/20	303.58	6.08 W	0.00	6 of 32 - Sale ²⁵
	1,925.000	715.85	11/27/20	734.12	18.27 W	0.00	7 of 32 - Sale ²⁵
	3,368.000	1,252.47	11/27/20	1,284.40	31.93 W	0.00	8 of 32 - Sale ²⁵
	174.000	69.06	11/27/20	70.38	1.32 W	0.00	9 of 32 - Sale ²⁵
	800.000	317.50	11/27/20	322.08	4.58 W	0.00	10 of 32 - Sale ²⁵
	1,591.000	631.42	11/27/20	643.53	12.11 W	0.00	11 of 32 - Sale ²⁵
	603.000	239.31	11/27/20	243.90	4.59 W	0.00	12 of 32 - Sale ²⁵
	1,925.000	763.98	11/27/20	778.65	14.67 W	0.00	13 of 32 - Sale ²⁵
	1,000.000	396.87	11/27/20	404.48	7.61 W	0.00	14 of 32 - Sale ²⁵
	1,500.000	595.30	11/27/20	603.93	8.63 W	0.00	15 of 32 - Sale ²⁵
	199.000	78.98	11/27/20	80.12	1.14 W	0.00	16 of 32 - Sale ²⁵
	226.000	89.69	11/27/20	90.99	1.30 W	0.00	17 of 32 - Sale ²⁵
	575.000	228.21	11/27/20	231.51	3.30 W	0.00	18 of 32 - Sale ²⁵
	15.000	5.96	11/27/20	6.04	0.08 W	0.00	19 of 32 - Sale ²⁵
	127.000	50.40	11/27/20	51.13	0.73 W	0.00	20 of 32 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	13.000	5.16	11/27/20	5.23	0.07 W	0.00	21 of 32 - Sale ²⁵
	44.000	17.46	11/27/20	17.71	0.25 W	0.00	22 of 32 - Sale ²⁵
	174.000	69.06	11/27/20	70.05	0.99 W	0.00	23 of 32 - Sale ²⁵
	829.000	329.00	11/27/20	333.77	4.77 W	0.00	24 of 32 - Sale ²⁵
	453.000	179.78	11/27/20	182.38	2.60 W	0.00	25 of 32 - Sale ²⁵
	100.000	39.69	11/27/20	40.26	0.57 W	0.00	26 of 32 - Sale ²⁵
	575.000	228.21	11/27/20	231.51	3.30 W	0.00	27 of 32 - Sale ²⁵
	425.000	168.68	11/27/20	171.11	2.43 W	0.00	28 of 32 - Sale ²⁵
	156.000	61.91	11/27/20	62.51	0.60 W	0.00	29 of 32 - Sale ²⁵
	37.000	14.69	11/27/20	14.90	0.21 W	0.00	30 of 32 - Sale ²⁵
	409.000	162.32	11/27/20	163.90	1.58 W	0.00	31 of 32 - Sale ²⁵
	644.000	255.59	11/27/20	258.07	2.48 W	0.00	32 of 32 - Sale ²⁵
11/27/20	31,673.000	12,034.08	Various	12,327.64	293.56 W	0.00	Total of 32 transactions
	36 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	900.000	442.69	11/30/20	536.18	93.49 W	0.00	1 of 36 - Sale ²⁵
	10.000	4.92	11/30/20	5.96	1.04 W	0.00	2 of 36 - Sale ²⁵
	199.000	97.88	11/30/20	118.55	20.67 W	0.00	3 of 36 - Sale ²⁵
	191.000	93.95	11/30/20	113.79	19.84 W	0.00	4 of 36 - Sale ²⁵
	100.000	49.19	11/30/20	59.57	10.38 W	0.00	5 of 36 - Sale ²⁵
	100.000	49.19	11/30/20	59.58	10.39 W	0.00	6 of 36 - Sale ²⁵
	16.000	7.87	11/30/20	9.53	1.66 W	0.00	7 of 36 - Sale ²⁵
	384.000	188.88	11/30/20	228.76	39.88 W	0.00	8 of 36 - Sale ²⁵
	13.000	6.39	11/30/20	7.74	1.35 W	0.00	9 of 36 - Sale ²⁵
	15.000	7.38	11/30/20	8.93	1.55 W	0.00	10 of 36 - Sale ²⁵
	81.000	39.84	11/30/20	48.26	8.42 W	0.00	11 of 36 - Sale ²⁵
	191.000	93.95	11/30/20	113.79	19.84 W	0.00	12 of 36 - Sale ²⁵
	10.000	4.92	11/30/20	5.96	1.04 W	0.00	13 of 36 - Sale ²⁵
	44.000	21.64	11/30/20	26.21	4.57 W	0.00	14 of 36 - Sale ²⁵
	46.000	22.63	11/30/20	27.40	4.77 W	0.00	15 of 36 - Sale ²⁵
	37.000	18.20	11/30/20	22.04	3.84 W	0.00	16 of 36 - Sale ²⁵
	100.000	49.19	11/30/20	59.57	10.38 W	0.00	17 of 36 - Sale ²⁵
	156.000	76.73	11/30/20	92.64	15.91 W	0.00	18 of 36 - Sale ²⁵
	161.000	79.19	11/30/20	95.61	16.42 W	0.00	19 of 36 - Sale ²⁵
	174.000	85.59	11/30/20	103.65	18.06 W	0.00	20 of 36 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	425.000	209.04	11/30/20	253.18	44.14 W	0.00	21 of 36 - Sale ²⁵
	453.000	222.82	11/30/20	269.87	47.05 W	0.00	22 of 36 - Sale ²⁵
	575.000	282.82	11/30/20	342.55	59.73 W	0.00	23 of 36 - Sale ²⁵
	819.000	402.84	11/30/20	487.92	85.08 W	0.00	24 of 36 - Sale ²⁵
	10.000	4.92	11/30/20	6.94	2.02 W	0.00	25 of 36 - Sale ²⁵
	16.000	7.87	11/30/20	11.10	3.23 W	0.00	26 of 36 - Sale ²⁵
	100.000	49.19	11/30/20	69.38	20.19 W	0.00	27 of 36 - Sale ²⁵
	100.000	49.19	11/30/20	69.39	20.20 W	0.00	28 of 36 - Sale ²⁵
	191.000	93.95	11/30/20	132.53	38.58 W	0.00	29 of 36 - Sale ²⁵
	199.000	97.88	11/30/20	138.08	40.20 W	0.00	30 of 36 - Sale ²⁵
	384.000	188.88	11/30/20	266.44	77.56 W	0.00	31 of 36 - Sale ²⁵
	409.000	201.18	11/30/20	242.89	41.71 W	0.00	32 of 36 - Sale ²⁵
	483.000	237.57	11/30/20	286.83	49.26 W	0.00	33 of 36 - Sale ²⁵
	900.000	442.68	11/30/20	624.49	181.81 W	0.00	34 of 36 - Sale ²⁵
	108.000	53.12	11/30/20	63.72	10.60 W	0.00	35 of 36 - Sale ²⁵
	2,900.000	1,426.42	11/30/20	1,711.00	284.58 W	0.00	36 of 36 - Sale ²⁵
11/30/20	11,000.000	5,410.59	Various	6,720.03	1,309.44 W	0.00	Total of 36 transactions
	2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	675.000	593.90	12/01/20	560.25	...	33.65	1 of 2 - Sale ²⁵
	20,231.000	17,800.46	12/01/20	16,791.73	...	1,008.73	2 of 2 - Sale ²⁵
12/01/20	20,906.000	18,394.36	Various	17,351.98	...	1,042.38	Total of 2 transactions
	28 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	10.000	8.80	12/01/20	9.34	0.54 W	0.00	1 of 28 - Sale ²⁵
	10.000	8.80	12/01/20	10.32	1.52 W	0.00	2 of 28 - Sale ²⁵
	13.000	11.44	12/01/20	12.14	0.70 W	0.00	3 of 28 - Sale ²⁵
	15.000	13.20	12/01/20	14.00	0.80 W	0.00	4 of 28 - Sale ²⁵
	16.000	14.08	12/01/20	16.51	2.43 W	0.00	5 of 28 - Sale ²⁵
	37.000	32.55	12/01/20	34.55	2.00 W	0.00	6 of 28 - Sale ²⁵
	44.000	38.71	12/01/20	41.09	2.38 W	0.00	7 of 28 - Sale ²⁵
	46.000	40.47	12/01/20	42.95	2.48 W	0.00	8 of 28 - Sale ²⁵
	81.000	71.27	12/01/20	75.65	4.38 W	0.00	9 of 28 - Sale ²⁵
	100.000	87.99	12/01/20	93.38	5.39 W	0.00	10 of 28 - Sale ²⁵
	100.000	87.99	12/01/20	103.19	15.20 W	0.00	11 of 28 - Sale ²⁵
	100.000	87.99	12/01/20	103.20	15.21 W	0.00	12 of 28 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	108.000	95.02	12/01/20	100.24	5.22 W	0.00	13 of 28 - Sale ²⁵
	156.000	137.26	12/01/20	145.39	8.13 W	0.00	14 of 28 - Sale ²⁵
	161.000	141.66	12/01/20	150.05	8.39 W	0.00	15 of 28 - Sale ²⁵
	174.000	153.10	12/01/20	162.48	9.38 W	0.00	16 of 28 - Sale ²⁵
	191.000	168.05	12/01/20	178.37	10.32 W	0.00	17 of 28 - Sale ²⁵
	191.000	168.05	12/01/20	197.11	29.06 W	0.00	18 of 28 - Sale ²⁵
	199.000	175.09	12/01/20	205.37	30.28 W	0.00	19 of 28 - Sale ²⁵
	384.000	337.87	12/01/20	396.28	58.41 W	0.00	20 of 28 - Sale ²⁵
	409.000	359.86	12/01/20	381.18	21.32 W	0.00	21 of 28 - Sale ²⁵
	425.000	373.94	12/01/20	396.89	22.95 W	0.00	22 of 28 - Sale ²⁵
	453.000	398.58	12/01/20	423.04	24.46 W	0.00	23 of 28 - Sale ²⁵
	483.000	424.97	12/01/20	450.15	25.18 W	0.00	24 of 28 - Sale ²⁵
	575.000	505.92	12/01/20	536.98	31.06 W	0.00	25 of 28 - Sale ²⁵
	819.000	720.61	12/01/20	764.85	44.24 W	0.00	26 of 28 - Sale ²⁵
	900.000	791.88	12/01/20	928.81	136.93 W	0.00	27 of 28 - Sale ²⁵
	2,900.000	2,551.60	12/01/20	2,691.58	139.98 W	0.00	28 of 28 - Sale ²⁵
12/01/20	9,100.000	8,006.75	Various	8,665.09	658.34 W	0.00	Total of 28 transactions
	31 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	10.000	5.23	12/01/20	9.29	4.06 W	0.00	1 of 31 - Sale ²⁵
	13.000	6.80	12/01/20	12.07	5.27 W	0.00	2 of 31 - Sale ²⁵
	15.000	7.84	12/01/20	13.93	6.09 W	0.00	3 of 31 - Sale ²⁵
	44.000	23.01	12/01/20	40.88	17.87 W	0.00	4 of 31 - Sale ²⁵
	46.000	24.06	12/01/20	42.73	18.67 W	0.00	5 of 31 - Sale ²⁵
	81.000	42.36	12/01/20	75.26	32.90 W	0.00	6 of 31 - Sale ²⁵
	191.000	99.89	12/01/20	177.45	77.56 W	0.00	7 of 31 - Sale ²⁵
	603.000	315.35	12/01/20	560.19	244.84 W	0.00	8 of 31 - Sale ²⁵
	10.000	5.23	12/01/20	10.27	5.04 W	0.00	9 of 31 - Sale ²⁵
	16.000	8.37	12/01/20	16.43	8.06 W	0.00	10 of 31 - Sale ²⁵
	37.000	19.35	12/01/20	34.38	15.03 W	0.00	11 of 31 - Sale ²⁵
	100.000	52.30	12/01/20	92.89	40.59 W	0.00	12 of 31 - Sale ²⁵
	100.000	52.30	12/01/20	102.70	50.40 W	0.00	13 of 31 - Sale ²⁵
	100.000	52.30	12/01/20	102.71	50.41 W	0.00	14 of 31 - Sale ²⁵
	108.000	56.48	12/01/20	99.72	43.24 W	0.00	15 of 31 - Sale ²⁵
	156.000	81.58	12/01/20	144.63	63.05 W	0.00	16 of 31 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	161.000	84.20	12/01/20	149.26	65.06 W	0.00	17 of 31 - Sale ²⁵
	174.000	91.00	12/01/20	161.63	70.63 W	0.00	18 of 31 - Sale ²⁵
	191.000	99.89	12/01/20	196.19	96.30 W	0.00	19 of 31 - Sale ²⁵
	199.000	104.07	12/01/20	204.40	100.33 W	0.00	20 of 31 - Sale ²⁵
	216.000	112.96	12/01/20	200.67	87.71 W	0.00	21 of 31 - Sale ²⁵
	384.000	200.82	12/01/20	394.41	193.59 W	0.00	22 of 31 - Sale ²⁵
	409.000	213.89	12/01/20	379.19	165.30 W	0.00	23 of 31 - Sale ²⁵
	425.000	222.26	12/01/20	394.82	172.56 W	0.00	24 of 31 - Sale ²⁵
	453.000	236.90	12/01/20	420.84	183.94 W	0.00	25 of 31 - Sale ²⁵
	483.000	252.59	12/01/20	447.81	195.22 W	0.00	26 of 31 - Sale ²⁵
	575.000	300.71	12/01/20	534.19	233.48 W	0.00	27 of 31 - Sale ²⁵
	900.000	470.67	12/01/20	924.43	453.76 W	0.00	28 of 31 - Sale ²⁵
	2,900.000	1,516.61	12/01/20	2,677.48	1,160.87 W	0.00	29 of 31 - Sale ²⁵
	2,240.000	1,171.45	12/01/20	1,960.00	788.55 W	0.00	30 of 31 - Sale ²⁵
	9,660.000	5,051.88	12/01/20	8,439.94	3,388.06 W	0.00	31 of 31 - Sale ²⁵
12/07/20	21,000.000	10,982.35	Various	19,020.79	8,038.44 W	0.00	Total of 31 transactions
	5 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	975.000	479.08	12/15/20	475.80	...	3.28	1 of 5 - Sale ²⁵
	4,503.000	2,208.14	12/15/20	2,197.46	...	10.68	2 of 5 - Sale ²⁵
	4,863.000	2,389.52	12/15/20	2,373.15	...	16.37	3 of 5 - Sale ²⁵
	14,293.000	7,008.86	12/15/20	6,975.00	...	33.86	4 of 5 - Sale ²⁵
	13,695.000	6,729.31	12/15/20	6,683.16	...	46.15	5 of 5 - Sale ²⁵
12/15/20	38,329.000	18,814.91	Various	18,704.57	...	110.34	Total of 5 transactions
	129 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	10.000	4.90	12/15/20	8.94	4.04 W	0.00	1 of 129 - Sale ²⁵
	10.000	4.90	12/15/20	9.92	5.02 W	0.00	2 of 129 - Sale ²⁵
	13.000	6.37	12/15/20	11.61	5.24 W	0.00	3 of 129 - Sale ²⁵
	15.000	7.36	12/15/20	13.41	6.05 W	0.00	4 of 129 - Sale ²⁵
	16.000	7.85	12/15/20	15.87	8.02 W	0.00	5 of 129 - Sale ²⁵
	37.000	18.14	12/15/20	33.09	14.95 W	0.00	6 of 129 - Sale ²⁵
	44.000	21.58	12/15/20	39.34	17.76 W	0.00	7 of 129 - Sale ²⁵
	46.000	22.56	12/15/20	41.12	18.56 W	0.00	8 of 129 - Sale ²⁵
	81.000	39.72	12/15/20	72.43	32.71 W	0.00	9 of 129 - Sale ²⁵
	100.000	49.04	12/15/20	89.39	40.35 W	0.00	10 of 129 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
100.000		49.04	12/15/20	99.20	50.16 W	0.00	11 of 129 - Sale ²⁵
100.000		49.04	12/15/20	99.21	50.17 W	0.00	12 of 129 - Sale ²⁵
108.000		52.96	12/15/20	95.94	42.98 W	0.00	13 of 129 - Sale ²⁵
156.000		76.50	12/15/20	139.18	62.68 W	0.00	14 of 129 - Sale ²⁵
161.000		78.95	12/15/20	143.63	64.68 W	0.00	15 of 129 - Sale ²⁵
174.000		85.32	12/15/20	155.54	70.22 W	0.00	16 of 129 - Sale ²⁵
191.000		93.66	12/15/20	170.77	77.11 W	0.00	17 of 129 - Sale ²⁵
191.000		93.66	12/15/20	189.51	95.85 W	0.00	18 of 129 - Sale ²⁵
199.000		97.58	12/15/20	197.44	99.86 W	0.00	19 of 129 - Sale ²⁵
216.000		105.92	12/15/20	193.12	87.20 W	0.00	20 of 129 - Sale ²⁵
384.000		188.30	12/15/20	380.98	192.68 W	0.00	21 of 129 - Sale ²⁵
409.000		200.56	12/15/20	364.89	164.33 W	0.00	22 of 129 - Sale ²⁵
425.000		208.41	12/15/20	379.96	171.55 W	0.00	23 of 129 - Sale ²⁵
453.000		222.14	12/15/20	405.00	182.86 W	0.00	24 of 129 - Sale ²⁵
483.000		236.85	12/15/20	430.92	194.07 W	0.00	25 of 129 - Sale ²⁵
575.000		281.96	12/15/20	514.08	232.12 W	0.00	26 of 129 - Sale ²⁵
603.000		295.69	12/15/20	539.10	243.41 W	0.00	27 of 129 - Sale ²⁵
900.000		441.33	12/15/20	892.96	451.63 W	0.00	28 of 129 - Sale ²⁵
1,108.000		543.33	12/15/20	930.76	387.43 W	0.00	29 of 129 - Sale ²⁵
2,900.000		1,422.07	12/15/20	2,576.07	1,154.00 W	0.00	30 of 129 - Sale ²⁵
1,132.000		555.10	12/15/20	950.92	395.82 W	0.00	31 of 129 - Sale ²⁵
9,660.000		4,736.98	12/15/20	8,102.14	3,365.16 W	0.00	32 of 129 - Sale ²⁵
10.000		4.90	12/15/20	8.92	4.02 W	0.00	33 of 129 - Sale ²⁵
10.000		4.90	12/15/20	9.90	5.00 W	0.00	34 of 129 - Sale ²⁵
13.000		6.37	12/15/20	11.58	5.21 W	0.00	35 of 129 - Sale ²⁵
15.000		7.36	12/15/20	13.37	6.01 W	0.00	36 of 129 - Sale ²⁵
16.000		7.85	12/15/20	15.83	7.98 W	0.00	37 of 129 - Sale ²⁵
37.000		18.14	12/15/20	33.01	14.87 W	0.00	38 of 129 - Sale ²⁵
44.000		21.58	12/15/20	39.23	17.65 W	0.00	39 of 129 - Sale ²⁵
46.000		22.56	12/15/20	41.01	18.45 W	0.00	40 of 129 - Sale ²⁵
81.000		39.72	12/15/20	72.24	32.52 W	0.00	41 of 129 - Sale ²⁵
100.000		49.04	12/15/20	89.15	40.11 W	0.00	42 of 129 - Sale ²⁵
100.000		49.04	12/15/20	98.96	49.92 W	0.00	43 of 129 - Sale ²⁵
100.000		49.04	12/15/20	98.97	49.93 W	0.00	44 of 129 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
108.000		52.96	12/15/20	95.68	42.72 W	0.00	45 of 129 - Sale ²⁵
156.000		76.50	12/15/20	138.81	62.31 W	0.00	46 of 129 - Sale ²⁵
161.000		78.95	12/15/20	143.25	64.30 W	0.00	47 of 129 - Sale ²⁵
174.000		85.32	12/15/20	155.13	69.81 W	0.00	48 of 129 - Sale ²⁵
191.000		93.66	12/15/20	170.32	76.66 W	0.00	49 of 129 - Sale ²⁵
191.000		93.66	12/15/20	189.06	95.40 W	0.00	50 of 129 - Sale ²⁵
199.000		97.58	12/15/20	196.97	99.39 W	0.00	51 of 129 - Sale ²⁵
216.000		105.92	12/15/20	192.61	86.69 W	0.00	52 of 129 - Sale ²⁵
384.000		188.30	12/15/20	380.07	191.77 W	0.00	53 of 129 - Sale ²⁵
409.000		200.56	12/15/20	363.92	163.36 W	0.00	54 of 129 - Sale ²⁵
425.000		208.41	12/15/20	378.95	170.54 W	0.00	55 of 129 - Sale ²⁵
453.000		222.14	12/15/20	403.92	181.78 W	0.00	56 of 129 - Sale ²⁵
483.000		236.85	12/15/20	429.77	192.92 W	0.00	57 of 129 - Sale ²⁵
575.000		281.96	12/15/20	512.72	230.76 W	0.00	58 of 129 - Sale ²⁵
603.000		295.69	12/15/20	537.67	241.98 W	0.00	59 of 129 - Sale ²⁵
900.000		441.33	12/15/20	890.83	449.50 W	0.00	60 of 129 - Sale ²⁵
1,108.000		543.33	12/15/20	928.13	384.80 W	0.00	61 of 129 - Sale ²⁵
2,900.000		1,422.07	12/15/20	2,569.20	1,147.13 W	0.00	62 of 129 - Sale ²⁵
335.000		164.61	12/15/20	280.62	116.01 W	0.00	63 of 129 - Sale ²⁵
150.000		73.71	12/15/20	125.65	51.94 W	0.00	64 of 129 - Sale ²⁵
15.000		7.37	12/15/20	12.56	5.19 W	0.00	65 of 129 - Sale ²⁵
200.000		98.28	12/15/20	167.53	69.25 W	0.00	66 of 129 - Sale ²⁵
100.000		49.14	12/15/20	83.77	34.63 W	0.00	67 of 129 - Sale ²⁵
150.000		73.71	12/15/20	125.65	51.94 W	0.00	68 of 129 - Sale ²⁵
182.000		89.43	12/15/20	152.46	63.03 W	0.00	69 of 129 - Sale ²⁵
719.000		353.30	12/15/20	601.34	248.04 W	0.00	70 of 129 - Sale ²⁵
995.000		488.92	12/15/20	832.18	343.26 W	0.00	71 of 129 - Sale ²⁵
100.000		49.14	12/15/20	83.64	34.50 W	0.00	72 of 129 - Sale ²⁵
50.000		24.58	12/15/20	41.82	17.24 W	0.00	73 of 129 - Sale ²⁵
100.000		49.14	12/15/20	83.64	34.50 W	0.00	74 of 129 - Sale ²⁵
1,000.000		491.38	12/15/20	836.36	344.98 W	0.00	75 of 129 - Sale ²⁵
193.000		94.84	12/15/20	161.42	66.58 W	0.00	76 of 129 - Sale ²⁵
1,000.000		491.38	12/15/20	836.36	344.98 W	0.00	77 of 129 - Sale ²⁵
200.000		98.28	12/15/20	167.27	68.99 W	0.00	78 of 129 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	6.000	2.95	12/15/20	5.02	2.07 W	0.00	79 of 129 - Sale ²⁵
	10.000	4.91	12/15/20	8.90	3.99 W	0.00	80 of 129 - Sale ²⁵
	13.000	6.39	12/15/20	11.55	5.16 W	0.00	81 of 129 - Sale ²⁵
	15.000	7.37	12/15/20	13.33	5.96 W	0.00	82 of 129 - Sale ²⁵
	44.000	21.62	12/15/20	39.12	17.50 W	0.00	83 of 129 - Sale ²⁵
	46.000	22.60	12/15/20	40.90	18.30 W	0.00	84 of 129 - Sale ²⁵
	81.000	39.80	12/15/20	72.05	32.25 W	0.00	85 of 129 - Sale ²⁵
	191.000	93.85	12/15/20	169.87	76.02 W	0.00	86 of 129 - Sale ²⁵
	418.000	205.40	12/15/20	371.72	166.32 W	0.00	87 of 129 - Sale ²⁵
	5,297.000	2,602.78	12/15/20	4,430.19	1,827.41 W	0.00	88 of 129 - Sale ²⁵
	10.000	4.91	12/15/20	9.88	4.97 W	0.00	89 of 129 - Sale ²⁵
	15.000	7.37	12/15/20	12.51	5.14 W	0.00	90 of 129 - Sale ²⁵
	16.000	7.86	12/15/20	15.79	7.93 W	0.00	91 of 129 - Sale ²⁵
	37.000	18.18	12/15/20	32.93	14.75 W	0.00	92 of 129 - Sale ²⁵
	100.000	49.14	12/15/20	88.91	39.77 W	0.00	93 of 129 - Sale ²⁵
	100.000	49.14	12/15/20	98.72	49.58 W	0.00	94 of 129 - Sale ²⁵
	100.000	49.14	12/15/20	98.73	49.59 W	0.00	95 of 129 - Sale ²⁵
	108.000	53.07	12/15/20	95.42	42.35 W	0.00	96 of 129 - Sale ²⁵
	150.000	73.71	12/15/20	125.14	51.43 W	0.00	97 of 129 - Sale ²⁵
	156.000	76.65	12/15/20	138.44	61.79 W	0.00	98 of 129 - Sale ²⁵
	161.000	79.11	12/15/20	142.87	63.76 W	0.00	99 of 129 - Sale ²⁵
	174.000	85.50	12/15/20	154.72	69.22 W	0.00	100 of 129 - Sale ²⁵
	191.000	93.85	12/15/20	188.61	94.76 W	0.00	101 of 129 - Sale ²⁵
	199.000	97.78	12/15/20	196.50	98.72 W	0.00	102 of 129 - Sale ²⁵
	216.000	106.14	12/15/20	192.10	85.96 W	0.00	103 of 129 - Sale ²⁵
	384.000	188.69	12/15/20	379.16	190.47 W	0.00	104 of 129 - Sale ²⁵
	409.000	200.97	12/15/20	362.95	161.98 W	0.00	105 of 129 - Sale ²⁵
	425.000	208.83	12/15/20	377.94	169.11 W	0.00	106 of 129 - Sale ²⁵
	453.000	222.59	12/15/20	402.84	180.25 W	0.00	107 of 129 - Sale ²⁵
	483.000	237.33	12/15/20	428.62	191.29 W	0.00	108 of 129 - Sale ²⁵
	575.000	282.54	12/15/20	511.36	228.82 W	0.00	109 of 129 - Sale ²⁵
	185.000	90.90	12/15/20	164.52	73.62 W	0.00	110 of 129 - Sale ²⁵
	900.000	442.23	12/15/20	888.70	446.47 W	0.00	111 of 129 - Sale ²⁵
	1,108.000	544.44	12/15/20	925.50	381.06 W	0.00	112 of 129 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	2,900.000	1,424.97	12/15/20	2,562.33	1,137.36 W	0.00	113 of 129 - Sale ²⁵
	6.000	2.95	12/15/20	5.00	2.05 W	0.00	114 of 129 - Sale ²⁵
	50.000	24.57	12/15/20	41.64	17.07 W	0.00	115 of 129 - Sale ²⁵
	100.000	49.14	12/15/20	83.43	34.29 W	0.00	116 of 129 - Sale ²⁵
	100.000	49.14	12/15/20	83.30	34.16 W	0.00	117 of 129 - Sale ²⁵
	100.000	49.14	12/15/20	83.30	34.16 W	0.00	118 of 129 - Sale ²⁵
	150.000	73.71	12/15/20	125.14	51.43 W	0.00	119 of 129 - Sale ²⁵
	182.000	89.43	12/15/20	151.85	62.42 W	0.00	120 of 129 - Sale ²⁵
	193.000	94.83	12/15/20	160.76	65.93 W	0.00	121 of 129 - Sale ²⁵
	200.000	98.27	12/15/20	166.85	68.58 W	0.00	122 of 129 - Sale ²⁵
	200.000	98.27	12/15/20	166.59	68.32 W	0.00	123 of 129 - Sale ²⁵
	335.000	164.61	12/15/20	279.49	114.88 W	0.00	124 of 129 - Sale ²⁵
	719.000	353.29	12/15/20	598.91	245.62 W	0.00	125 of 129 - Sale ²⁵
	995.000	488.91	12/15/20	828.82	339.91 W	0.00	126 of 129 - Sale ²⁵
	1,000.000	491.37	12/15/20	832.98	341.61 W	0.00	127 of 129 - Sale ²⁵
	1,000.000	491.37	12/15/20	832.98	341.61 W	0.00	128 of 129 - Sale ²⁵
	3,976.000	1,953.69	12/15/20	3,311.97	1,358.28 W	0.00	129 of 129 - Sale ²⁵
12/15/20	61,679.000	30,276.07	Various	53,598.74	23,322.67 W	0.00	Total of 129 transactions
	115 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	10.000	4.56	12/16/20	8.88	4.32 W	0.00	1 of 115 - Sale ²⁵
	10.000	4.56	12/16/20	9.86	5.30 W	0.00	2 of 115 - Sale ²⁵
	13.000	5.93	12/16/20	11.52	5.59 W	0.00	3 of 115 - Sale ²⁵
	15.000	6.84	12/16/20	13.29	6.45 W	0.00	4 of 115 - Sale ²⁵
	15.000	6.84	12/16/20	12.48	5.64 W	0.00	5 of 115 - Sale ²⁵
	16.000	7.29	12/16/20	15.75	8.46 W	0.00	6 of 115 - Sale ²⁵
	37.000	16.87	12/16/20	32.84	15.97 W	0.00	7 of 115 - Sale ²⁵
	44.000	20.06	12/16/20	39.02	18.96 W	0.00	8 of 115 - Sale ²⁵
	46.000	20.97	12/16/20	40.79	19.82 W	0.00	9 of 115 - Sale ²⁵
	81.000	36.93	12/16/20	71.86	34.93 W	0.00	10 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	88.67	43.08 W	0.00	11 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	98.48	52.89 W	0.00	12 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	98.49	52.90 W	0.00	13 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	83.19	37.60 W	0.00	14 of 115 - Sale ²⁵
	108.000	49.23	12/16/20	95.16	45.93 W	0.00	15 of 115 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
150.000		68.38	12/16/20	124.78	56.40 W	0.00	16 of 115 - Sale ²⁵
150.000		68.38	12/16/20	124.78	56.40 W	0.00	17 of 115 - Sale ²⁵
156.000		71.12	12/16/20	138.07	66.95 W	0.00	18 of 115 - Sale ²⁵
161.000		73.39	12/16/20	142.49	69.10 W	0.00	19 of 115 - Sale ²⁵
174.000		79.32	12/16/20	154.31	74.99 W	0.00	20 of 115 - Sale ²⁵
182.000		82.97	12/16/20	151.42	68.45 W	0.00	21 of 115 - Sale ²⁵
185.000		84.34	12/16/20	164.09	79.75 W	0.00	22 of 115 - Sale ²⁵
191.000		87.07	12/16/20	169.42	82.35 W	0.00	23 of 115 - Sale ²⁵
191.000		87.07	12/16/20	188.16	101.09 W	0.00	24 of 115 - Sale ²⁵
199.000		90.72	12/16/20	196.03	105.31 W	0.00	25 of 115 - Sale ²⁵
200.000		91.17	12/16/20	166.38	75.21 W	0.00	26 of 115 - Sale ²⁵
216.000		98.47	12/16/20	191.58	93.11 W	0.00	27 of 115 - Sale ²⁵
335.000		152.72	12/16/20	278.70	125.98 W	0.00	28 of 115 - Sale ²⁵
384.000		175.05	12/16/20	378.25	203.20 W	0.00	29 of 115 - Sale ²⁵
409.000		186.45	12/16/20	361.98	175.53 W	0.00	30 of 115 - Sale ²⁵
418.000		190.55	12/16/20	370.72	180.17 W	0.00	31 of 115 - Sale ²⁵
425.000		193.74	12/16/20	376.93	183.19 W	0.00	32 of 115 - Sale ²⁵
453.000		206.51	12/16/20	401.77	195.26 W	0.00	33 of 115 - Sale ²⁵
483.000		220.18	12/16/20	427.48	207.30 W	0.00	34 of 115 - Sale ²⁵
575.000		262.12	12/16/20	509.99	247.87 W	0.00	35 of 115 - Sale ²⁵
566.000		258.02	12/16/20	470.13	212.11 W	0.00	36 of 115 - Sale ²⁵
900.000		410.28	12/16/20	886.57	476.29 W	0.00	37 of 115 - Sale ²⁵
1,108.000		505.10	12/16/20	922.87	417.77 W	0.00	38 of 115 - Sale ²⁵
1,321.000		602.21	12/16/20	1,101.70	499.49 W	0.00	39 of 115 - Sale ²⁵
2,900.000		1,322.03	12/16/20	2,555.46	1,233.43 W	0.00	40 of 115 - Sale ²⁵
50.000		22.79	12/16/20	41.52	18.73 W	0.00	41 of 115 - Sale ²⁵
100.000		45.59	12/16/20	83.06	37.47 W	0.00	42 of 115 - Sale ²⁵
100.000		45.59	12/16/20	83.06	37.47 W	0.00	43 of 115 - Sale ²⁵
153.000		69.75	12/16/20	127.08	57.33 W	0.00	44 of 115 - Sale ²⁵
975.000		444.47	12/16/20	809.84	365.37 W	0.00	45 of 115 - Sale ²⁵
995.000		453.59	12/16/20	826.47	372.88 W	0.00	46 of 115 - Sale ²⁵
10.000		4.56	12/16/20	9.21	4.65 W	0.00	47 of 115 - Sale ²⁵
13.000		5.93	12/16/20	11.95	6.02 W	0.00	48 of 115 - Sale ²⁵
15.000		6.84	12/16/20	13.79	6.95 W	0.00	49 of 115 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	37.000	16.87	12/16/20	34.06	17.19 W	0.00	50 of 115 - Sale ²⁵
	44.000	20.06	12/16/20	40.48	20.42 W	0.00	51 of 115 - Sale ²⁵
	46.000	20.97	12/16/20	42.31	21.34 W	0.00	52 of 115 - Sale ²⁵
	81.000	36.93	12/16/20	74.54	37.61 W	0.00	53 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	91.98	46.39 W	0.00	54 of 115 - Sale ²⁵
	156.000	71.12	12/16/20	143.23	72.11 W	0.00	55 of 115 - Sale ²⁵
	161.000	73.39	12/16/20	147.83	74.44 W	0.00	56 of 115 - Sale ²⁵
	174.000	79.32	12/16/20	160.08	80.76 W	0.00	57 of 115 - Sale ²⁵
	185.000	84.34	12/16/20	170.21	85.87 W	0.00	58 of 115 - Sale ²⁵
	191.000	87.07	12/16/20	175.75	88.68 W	0.00	59 of 115 - Sale ²⁵
	216.000	98.47	12/16/20	198.73	100.26 W	0.00	60 of 115 - Sale ²⁵
	409.000	186.45	12/16/20	375.53	189.08 W	0.00	61 of 115 - Sale ²⁵
	418.000	190.55	12/16/20	384.57	194.02 W	0.00	62 of 115 - Sale ²⁵
	425.000	193.74	12/16/20	391.02	197.28 W	0.00	63 of 115 - Sale ²⁵
	453.000	206.51	12/16/20	416.78	210.27 W	0.00	64 of 115 - Sale ²⁵
	483.000	220.18	12/16/20	443.49	223.31 W	0.00	65 of 115 - Sale ²⁵
	575.000	262.12	12/16/20	529.04	266.92 W	0.00	66 of 115 - Sale ²⁵
	890.000	405.73	12/16/20	906.21	500.48 W	0.00	67 of 115 - Sale ²⁵
	1,321.000	602.21	12/16/20	1,145.46	543.25 W	0.00	68 of 115 - Sale ²⁵
	10.000	4.56	12/16/20	10.19	5.63 W	0.00	69 of 115 - Sale ²⁵
	15.000	6.84	12/16/20	12.98	6.14 W	0.00	70 of 115 - Sale ²⁵
	16.000	7.29	12/16/20	16.28	8.99 W	0.00	71 of 115 - Sale ²⁵
	50.000	22.79	12/16/20	43.18	20.39 W	0.00	72 of 115 - Sale ²⁵
	78.000	35.56	12/16/20	67.37	31.81 W	0.00	73 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	101.79	56.20 W	0.00	74 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	101.80	56.21 W	0.00	75 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	86.50	40.91 W	0.00	76 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	86.37	40.78 W	0.00	77 of 115 - Sale ²⁵
	108.000	49.23	12/16/20	98.74	49.51 W	0.00	78 of 115 - Sale ²⁵
	150.000	68.38	12/16/20	129.75	61.37 W	0.00	79 of 115 - Sale ²⁵
	150.000	68.38	12/16/20	129.75	61.37 W	0.00	80 of 115 - Sale ²⁵
	153.000	69.75	12/16/20	132.15	62.40 W	0.00	81 of 115 - Sale ²⁵
	182.000	82.97	12/16/20	157.45	74.48 W	0.00	82 of 115 - Sale ²⁵
	191.000	87.07	12/16/20	194.49	107.42 W	0.00	83 of 115 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	199.000	90.72	12/16/20	202.62	111.90 W	0.00	84 of 115 - Sale ²⁵
	200.000	91.17	12/16/20	173.01	81.84 W	0.00	85 of 115 - Sale ²⁵
	335.000	152.72	12/16/20	289.79	137.07 W	0.00	86 of 115 - Sale ²⁵
	384.000	175.05	12/16/20	390.98	215.93 W	0.00	87 of 115 - Sale ²⁵
	566.000	258.02	12/16/20	488.88	230.86 W	0.00	88 of 115 - Sale ²⁵
	10.000	4.56	12/16/20	10.18	5.62 W	0.00	89 of 115 - Sale ²⁵
	995.000	453.59	12/16/20	859.44	405.85 W	0.00	90 of 115 - Sale ²⁵
	1,108.000	505.10	12/16/20	959.58	454.48 W	0.00	91 of 115 - Sale ²⁵
	2,900.000	1,322.03	12/16/20	2,651.53	1,329.50 W	0.00	92 of 115 - Sale ²⁵
	6.000	2.74	12/16/20	4.98	2.24 W	0.00	93 of 115 - Sale ²⁵
	25.000	11.40	12/16/20	20.77	9.37 W	0.00	94 of 115 - Sale ²⁵
	193.000	87.98	12/16/20	160.31	72.33 W	0.00	95 of 115 - Sale ²⁵
	200.000	91.17	12/16/20	166.12	74.95 W	0.00	96 of 115 - Sale ²⁵
	1,000.000	455.87	12/16/20	830.61	374.74 W	0.00	97 of 115 - Sale ²⁵
	3,976.000	1,812.54	12/16/20	3,302.54	1,490.00 W	0.00	98 of 115 - Sale ²⁵
	10.000	4.56	12/16/20	9.54	4.98 W	0.00	99 of 115 - Sale ²⁵
	13.000	5.93	12/16/20	12.38	6.45 W	0.00	100 of 115 - Sale ²⁵
	15.000	6.84	12/16/20	14.29	7.45 W	0.00	101 of 115 - Sale ²⁵
	44.000	20.06	12/16/20	41.94	21.88 W	0.00	102 of 115 - Sale ²⁵
	46.000	20.97	12/16/20	43.83	22.86 W	0.00	103 of 115 - Sale ²⁵
	81.000	36.93	12/16/20	77.22	40.29 W	0.00	104 of 115 - Sale ²⁵
	100.000	45.59	12/16/20	95.29	49.70 W	0.00	105 of 115 - Sale ²⁵
	138.000	62.91	12/16/20	131.54	68.63 W	0.00	106 of 115 - Sale ²⁵
	174.000	79.32	12/16/20	165.85	86.53 W	0.00	107 of 115 - Sale ²⁵
	185.000	84.34	12/16/20	176.33	91.99 W	0.00	108 of 115 - Sale ²⁵
	191.000	87.07	12/16/20	182.08	95.01 W	0.00	109 of 115 - Sale ²⁵
	216.000	98.47	12/16/20	205.88	107.41 W	0.00	110 of 115 - Sale ²⁵
	418.000	190.55	12/16/20	398.42	207.87 W	0.00	111 of 115 - Sale ²⁵
	453.000	206.51	12/16/20	431.79	225.28 W	0.00	112 of 115 - Sale ²⁵
	1,321.000	602.20	12/16/20	1,189.22	587.02 W	0.00	113 of 115 - Sale ²⁵
	22.000	10.03	12/16/20	19.00	8.97 W	0.00	114 of 115 - Sale ²⁵
	975.000	444.46	12/16/20	842.14	397.68 W	0.00	115 of 115 - Sale ²⁵
12/17/20	40,005.000	18,237.11	Various	35,468.49	17,231.38 W	0.00	Total of 115 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
<i>5 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	2,150.000	1,209.08	12/21/20	1,206.15	...	2.93	1 of 5 - Sale ²⁵
	2,354.000	1,323.81	12/21/20	1,320.60	...	3.21	2 of 5 - Sale ²⁵
	799.000	435.11	12/21/20	430.66	...	4.45	3 of 5 - Sale ²⁵
	3,600.000	2,024.52	12/21/20	2,019.60	...	4.92	4 of 5 - Sale ²⁵
	4,408.000	2,400.45	12/21/20	2,375.91	...	24.54	5 of 5 - Sale ²⁵
12/21/20	13,311.000	7,392.97	Various	7,352.92	...	40.05	Total of 5 transactions
<i>435 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	37.000	18.79	12/21/20	36.15	17.36 W	0.00	1 of 435 - Sale ²⁵
	156.000	79.23	12/21/20	152.04	72.81 W	0.00	2 of 435 - Sale ²⁵
	161.000	81.77	12/21/20	156.94	75.17 W	0.00	3 of 435 - Sale ²⁵
	425.000	215.84	12/21/20	415.05	199.21 W	0.00	4 of 435 - Sale ²⁵
	434.000	220.41	12/21/20	423.04	202.63 W	0.00	5 of 435 - Sale ²⁵
	437.000	221.94	12/21/20	426.78	204.84 W	0.00	6 of 435 - Sale ²⁵
	49.000	24.89	12/21/20	47.76	22.87 W	0.00	7 of 435 - Sale ²⁵
	301.000	152.86	12/21/20	293.41	140.55 W	0.00	8 of 435 - Sale ²⁵
	10.000	5.08	12/21/20	10.75	5.67 W	0.00	9 of 435 - Sale ²⁵
	10.000	5.08	12/21/20	10.75	5.67 W	0.00	10 of 435 - Sale ²⁵
	16.000	8.13	12/21/20	17.19	9.06 W	0.00	11 of 435 - Sale ²⁵
	100.000	50.79	12/21/20	107.45	56.66 W	0.00	12 of 435 - Sale ²⁵
	100.000	50.79	12/21/20	107.46	56.67 W	0.00	13 of 435 - Sale ²⁵
	108.000	54.85	12/21/20	104.86	50.01 W	0.00	14 of 435 - Sale ²⁵
	191.000	97.00	12/21/20	205.31	108.31 W	0.00	15 of 435 - Sale ²⁵
	199.000	101.07	12/21/20	213.89	112.82 W	0.00	16 of 435 - Sale ²⁵
	384.000	195.02	12/21/20	412.73	217.71 W	0.00	17 of 435 - Sale ²⁵
	108.000	54.85	12/21/20	105.28	50.43 W	0.00	18 of 435 - Sale ²⁵
	508.000	258.00	12/21/20	493.24	235.24 W	0.00	19 of 435 - Sale ²⁵
	890.000	452.00	12/21/20	956.61	504.61 W	0.00	20 of 435 - Sale ²⁵
	20.000	10.16	12/21/20	19.42	9.26 W	0.00	21 of 435 - Sale ²⁵
	28.000	14.22	12/21/20	25.84	11.62 W	0.00	22 of 435 - Sale ²⁵
	2,372.000	1,204.66	12/21/20	2,303.09	1,098.43 W	0.00	23 of 435 - Sale ²⁵
	956.000	485.52	12/21/20	882.37	396.85 W	0.00	24 of 435 - Sale ²⁵
	15.000	8.17	12/21/20	14.22	6.05 W	0.00	25 of 435 - Sale ²⁵
	76.000	41.39	12/21/20	72.06	30.67 W	0.00	26 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
124.000		67.53	12/21/20	117.70	50.17 W	0.00	27 of 435 - Sale ²⁵
150.000		81.68	12/21/20	142.22	60.54 W	0.00	28 of 435 - Sale ²⁵
335.000		182.43	12/21/20	317.64	135.21 W	0.00	29 of 435 - Sale ²⁵
100.000		54.46	12/21/20	94.81	40.35 W	0.00	30 of 435 - Sale ²⁵
124.000		67.53	12/21/20	117.58	50.05 W	0.00	31 of 435 - Sale ²⁵
144.000		78.42	12/21/20	136.34	57.92 W	0.00	32 of 435 - Sale ²⁵
150.000		81.68	12/21/20	142.22	60.54 W	0.00	33 of 435 - Sale ²⁵
182.000		99.11	12/21/20	172.58	73.47 W	0.00	34 of 435 - Sale ²⁵
180.000		98.02	12/21/20	170.44	72.42 W	0.00	35 of 435 - Sale ²⁵
125.000		68.07	12/21/20	118.36	50.29 W	0.00	36 of 435 - Sale ²⁵
153.000		83.32	12/21/20	144.87	61.55 W	0.00	37 of 435 - Sale ²⁵
242.000		131.79	12/21/20	229.15	97.36 W	0.00	38 of 435 - Sale ²⁵
697.000		379.56	12/21/20	659.98	280.42 W	0.00	39 of 435 - Sale ²⁵
583.000		317.48	12/21/20	573.31	255.83 W	0.00	40 of 435 - Sale ²⁵
10.000		5.45	12/21/20	10.37	4.92 W	0.00	41 of 435 - Sale ²⁵
13.000		7.08	12/21/20	13.46	6.38 W	0.00	42 of 435 - Sale ²⁵
15.000		8.17	12/21/20	15.54	7.37 W	0.00	43 of 435 - Sale ²⁵
44.000		23.96	12/21/20	45.60	21.64 W	0.00	44 of 435 - Sale ²⁵
46.000		25.05	12/21/20	47.65	22.60 W	0.00	45 of 435 - Sale ²⁵
81.000		44.11	12/21/20	83.95	39.84 W	0.00	46 of 435 - Sale ²⁵
100.000		54.46	12/21/20	103.60	49.14 W	0.00	47 of 435 - Sale ²⁵
138.000		75.15	12/21/20	143.01	67.86 W	0.00	48 of 435 - Sale ²⁵
174.000		94.75	12/21/20	180.32	85.57 W	0.00	49 of 435 - Sale ²⁵
185.000		100.75	12/21/20	191.70	90.95 W	0.00	50 of 435 - Sale ²⁵
191.000		104.01	12/21/20	197.96	93.95 W	0.00	51 of 435 - Sale ²⁵
216.000		117.63	12/21/20	223.83	106.20 W	0.00	52 of 435 - Sale ²⁵
418.000		227.63	12/21/20	433.17	205.54 W	0.00	53 of 435 - Sale ²⁵
453.000		246.69	12/21/20	469.45	222.76 W	0.00	54 of 435 - Sale ²⁵
738.000		401.89	12/21/20	725.73	323.84 W	0.00	55 of 435 - Sale ²⁵
22.000		11.98	12/21/20	20.83	8.85 W	0.00	56 of 435 - Sale ²⁵
37.000		20.15	12/21/20	37.30	17.15 W	0.00	57 of 435 - Sale ²⁵
50.000		27.23	12/21/20	47.34	20.11 W	0.00	58 of 435 - Sale ²⁵
78.000		42.48	12/21/20	73.85	31.37 W	0.00	59 of 435 - Sale ²⁵
100.000		54.46	12/21/20	94.68	40.22 W	0.00	60 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
156.000		84.95	12/21/20	156.89	71.94 W	0.00	61 of 435 - Sale ²⁵
125.000		68.07	12/21/20	125.74	57.67 W	0.00	62 of 435 - Sale ²⁵
173.000		94.21	12/21/20	163.81	69.60 W	0.00	63 of 435 - Sale ²⁵
425.000		231.44	12/21/20	428.28	196.84 W	0.00	64 of 435 - Sale ²⁵
437.000		237.97	12/21/20	440.38	202.41 W	0.00	65 of 435 - Sale ²⁵
975.000		530.95	12/21/20	923.21	392.26 W	0.00	66 of 435 - Sale ²⁵
49.000		26.68	12/21/20	49.28	22.60 W	0.00	67 of 435 - Sale ²⁵
36.000		19.61	12/21/20	36.21	16.60 W	0.00	68 of 435 - Sale ²⁵
81.000		44.11	12/21/20	81.48	37.37 W	0.00	69 of 435 - Sale ²⁵
434.000		236.35	12/21/20	436.56	200.21 W	0.00	70 of 435 - Sale ²⁵
10.000		5.45	12/21/20	11.06	5.61 W	0.00	71 of 435 - Sale ²⁵
10.000		5.45	12/21/20	11.06	5.61 W	0.00	72 of 435 - Sale ²⁵
16.000		8.71	12/21/20	17.68	8.97 W	0.00	73 of 435 - Sale ²⁵
20.000		10.89	12/21/20	20.04	9.15 W	0.00	74 of 435 - Sale ²⁵
100.000		54.46	12/21/20	110.56	56.10 W	0.00	75 of 435 - Sale ²⁵
100.000		54.46	12/21/20	110.57	56.11 W	0.00	76 of 435 - Sale ²⁵
108.000		58.81	12/21/20	108.64	49.83 W	0.00	77 of 435 - Sale ²⁵
108.000		58.81	12/21/20	108.22	49.41 W	0.00	78 of 435 - Sale ²⁵
191.000		104.01	12/21/20	211.26	107.25 W	0.00	79 of 435 - Sale ²⁵
199.000		108.37	12/21/20	220.08	111.71 W	0.00	80 of 435 - Sale ²⁵
220.000		119.80	12/21/20	221.31	101.51 W	0.00	81 of 435 - Sale ²⁵
384.000		209.11	12/21/20	424.69	215.58 W	0.00	82 of 435 - Sale ²⁵
508.000		276.64	12/21/20	509.05	232.41 W	0.00	83 of 435 - Sale ²⁵
890.000		484.67	12/21/20	984.32	499.65 W	0.00	84 of 435 - Sale ²⁵
651.000		354.51	12/21/20	652.36	297.85 W	0.00	85 of 435 - Sale ²⁵
28.000		15.25	12/21/20	26.71	11.46 W	0.00	86 of 435 - Sale ²⁵
51.000		27.77	12/21/20	48.66	20.89 W	0.00	87 of 435 - Sale ²⁵
1,721.000		937.20	12/21/20	1,724.58	787.38 W	0.00	88 of 435 - Sale ²⁵
15.000		8.17	12/21/20	14.14	5.97 W	0.00	89 of 435 - Sale ²⁵
76.000		41.39	12/21/20	71.63	30.24 W	0.00	90 of 435 - Sale ²⁵
100.000		54.46	12/21/20	94.25	39.79 W	0.00	91 of 435 - Sale ²⁵
124.000		67.53	12/21/20	117.01	49.48 W	0.00	92 of 435 - Sale ²⁵
124.000		67.53	12/21/20	116.89	49.36 W	0.00	93 of 435 - Sale ²⁵
125.000		68.07	12/21/20	117.66	49.59 W	0.00	94 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
144.000		78.42	12/21/20	135.54	57.12 W	0.00	95 of 435 - Sale ²⁵
150.000		81.69	12/21/20	141.39	59.70 W	0.00	96 of 435 - Sale ²⁵
150.000		81.68	12/21/20	141.39	59.71 W	0.00	97 of 435 - Sale ²⁵
153.000		83.32	12/21/20	144.02	60.70 W	0.00	98 of 435 - Sale ²⁵
180.000		98.02	12/21/20	169.44	71.42 W	0.00	99 of 435 - Sale ²⁵
182.000		99.11	12/21/20	171.57	72.46 W	0.00	100 of 435 - Sale ²⁵
242.000		131.78	12/21/20	227.80	96.02 W	0.00	101 of 435 - Sale ²⁵
335.000		182.43	12/21/20	315.77	133.34 W	0.00	102 of 435 - Sale ²⁵
697.000		379.56	12/21/20	656.10	276.54 W	0.00	103 of 435 - Sale ²⁵
905.000		492.83	12/21/20	863.48	370.65 W	0.00	104 of 435 - Sale ²⁵
6.000		3.27	12/21/20	5.47	2.20 W	0.00	105 of 435 - Sale ²⁵
25.000		13.61	12/21/20	22.84	9.23 W	0.00	106 of 435 - Sale ²⁵
193.000		105.10	12/21/20	176.36	71.26 W	0.00	107 of 435 - Sale ²⁵
200.000		108.91	12/21/20	182.75	73.84 W	0.00	108 of 435 - Sale ²⁵
1,000.000		544.57	12/21/20	913.74	369.17 W	0.00	109 of 435 - Sale ²⁵
1,074.000		584.87	12/21/20	981.36	396.49 W	0.00	110 of 435 - Sale ²⁵
2,902.000		1,580.33	12/21/20	2,651.70	1,071.37 W	0.00	111 of 435 - Sale ²⁵
10.000		5.45	12/21/20	10.31	4.86 W	0.00	112 of 435 - Sale ²⁵
13.000		7.08	12/21/20	13.39	6.31 W	0.00	113 of 435 - Sale ²⁵
15.000		8.17	12/21/20	15.45	7.28 W	0.00	114 of 435 - Sale ²⁵
44.000		23.96	12/21/20	45.36	21.40 W	0.00	115 of 435 - Sale ²⁵
46.000		25.05	12/21/20	47.39	22.34 W	0.00	116 of 435 - Sale ²⁵
81.000		44.11	12/21/20	83.50	39.39 W	0.00	117 of 435 - Sale ²⁵
174.000		94.75	12/21/20	179.36	84.61 W	0.00	118 of 435 - Sale ²⁵
185.000		100.75	12/21/20	190.66	89.91 W	0.00	119 of 435 - Sale ²⁵
191.000		104.01	12/21/20	196.90	92.89 W	0.00	120 of 435 - Sale ²⁵
216.000		117.63	12/21/20	222.62	104.99 W	0.00	121 of 435 - Sale ²⁵
418.000		227.63	12/21/20	430.84	203.21 W	0.00	122 of 435 - Sale ²⁵
370.000		201.49	12/21/20	381.38	179.89 W	0.00	123 of 435 - Sale ²⁵
583.000		317.48	12/21/20	570.07	252.59 W	0.00	124 of 435 - Sale ²⁵
738.000		401.89	12/21/20	721.62	319.73 W	0.00	125 of 435 - Sale ²⁵
100.000		54.46	12/21/20	103.04	48.58 W	0.00	126 of 435 - Sale ²⁵
138.000		75.15	12/21/20	142.24	67.09 W	0.00	127 of 435 - Sale ²⁵
83.000		45.20	12/21/20	85.55	40.35 W	0.00	128 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
10.000		5.45	12/21/20	11.00	5.55 W	0.00	129 of 435 - Sale ²⁵
10.000		5.45	12/21/20	11.00	5.55 W	0.00	130 of 435 - Sale ²⁵
15.000		8.17	12/21/20	14.06	5.89 W	0.00	131 of 435 - Sale ²⁵
16.000		8.71	12/21/20	17.59	8.88 W	0.00	132 of 435 - Sale ²⁵
20.000		10.89	12/21/20	19.93	9.04 W	0.00	133 of 435 - Sale ²⁵
22.000		11.98	12/21/20	20.71	8.73 W	0.00	134 of 435 - Sale ²⁵
28.000		15.25	12/21/20	26.55	11.30 W	0.00	135 of 435 - Sale ²⁵
36.000		19.60	12/21/20	36.00	16.40 W	0.00	136 of 435 - Sale ²⁵
37.000		20.15	12/21/20	37.09	16.94 W	0.00	137 of 435 - Sale ²⁵
49.000		26.68	12/21/20	49.01	22.33 W	0.00	138 of 435 - Sale ²⁵
50.000		27.23	12/21/20	47.06	19.83 W	0.00	139 of 435 - Sale ²⁵
51.000		27.77	12/21/20	48.38	20.61 W	0.00	140 of 435 - Sale ²⁵
76.000		41.39	12/21/20	71.20	29.81 W	0.00	141 of 435 - Sale ²⁵
78.000		42.48	12/21/20	73.41	30.93 W	0.00	142 of 435 - Sale ²⁵
81.000		44.11	12/21/20	81.03	36.92 W	0.00	143 of 435 - Sale ²⁵
100.000		54.46	12/21/20	94.12	39.66 W	0.00	144 of 435 - Sale ²⁵
100.000		54.46	12/21/20	110.00	55.54 W	0.00	145 of 435 - Sale ²⁵
100.000		54.46	12/21/20	110.01	55.55 W	0.00	146 of 435 - Sale ²⁵
100.000		54.46	12/21/20	93.69	39.23 W	0.00	147 of 435 - Sale ²⁵
108.000		58.81	12/21/20	108.04	49.23 W	0.00	148 of 435 - Sale ²⁵
108.000		58.81	12/21/20	107.62	48.81 W	0.00	149 of 435 - Sale ²⁵
124.000		67.53	12/21/20	116.32	48.79 W	0.00	150 of 435 - Sale ²⁵
124.000		67.53	12/21/20	116.20	48.67 W	0.00	151 of 435 - Sale ²⁵
125.000		68.07	12/21/20	125.05	56.98 W	0.00	152 of 435 - Sale ²⁵
125.000		68.07	12/21/20	116.96	48.89 W	0.00	153 of 435 - Sale ²⁵
144.000		78.42	12/21/20	134.74	56.32 W	0.00	154 of 435 - Sale ²⁵
150.000		81.69	12/21/20	140.55	58.86 W	0.00	155 of 435 - Sale ²⁵
150.000		81.69	12/21/20	140.56	58.87 W	0.00	156 of 435 - Sale ²⁵
153.000		83.32	12/21/20	143.17	59.85 W	0.00	157 of 435 - Sale ²⁵
156.000		84.95	12/21/20	156.02	71.07 W	0.00	158 of 435 - Sale ²⁵
173.000		94.21	12/21/20	162.85	68.64 W	0.00	159 of 435 - Sale ²⁵
180.000		98.02	12/21/20	168.44	70.42 W	0.00	160 of 435 - Sale ²⁵
182.000		99.11	12/21/20	170.56	71.45 W	0.00	161 of 435 - Sale ²⁵
191.000		104.01	12/21/20	210.20	106.19 W	0.00	162 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
199.000		108.37	12/21/20	218.97	110.60 W	0.00	163 of 435 - Sale ²⁵
220.000		119.81	12/21/20	220.09	100.28 W	0.00	164 of 435 - Sale ²⁵
242.000		131.79	12/21/20	226.46	94.67 W	0.00	165 of 435 - Sale ²⁵
335.000		182.43	12/21/20	313.90	131.47 W	0.00	166 of 435 - Sale ²⁵
384.000		209.11	12/21/20	422.56	213.45 W	0.00	167 of 435 - Sale ²⁵
425.000		231.44	12/21/20	425.92	194.48 W	0.00	168 of 435 - Sale ²⁵
434.000		236.34	12/21/20	434.14	197.80 W	0.00	169 of 435 - Sale ²⁵
437.000		237.98	12/21/20	437.95	199.97 W	0.00	170 of 435 - Sale ²⁵
508.000		276.64	12/21/20	506.22	229.58 W	0.00	171 of 435 - Sale ²⁵
651.000		354.51	12/21/20	648.74	294.23 W	0.00	172 of 435 - Sale ²⁵
697.000		379.56	12/21/20	652.22	272.66 W	0.00	173 of 435 - Sale ²⁵
890.000		484.67	12/21/20	979.36	494.69 W	0.00	174 of 435 - Sale ²⁵
905.000		492.84	12/21/20	858.45	365.61 W	0.00	175 of 435 - Sale ²⁵
975.000		530.95	12/21/20	917.78	386.83 W	0.00	176 of 435 - Sale ²⁵
1,721.000		937.20	12/21/20	1,715.00	777.80 W	0.00	177 of 435 - Sale ²⁵
6.000		3.27	12/21/20	5.43	2.16 W	0.00	178 of 435 - Sale ²⁵
25.000		13.61	12/21/20	22.70	9.09 W	0.00	179 of 435 - Sale ²⁵
193.000		105.10	12/21/20	175.29	70.19 W	0.00	180 of 435 - Sale ²⁵
200.000		108.91	12/21/20	181.64	72.73 W	0.00	181 of 435 - Sale ²⁵
1,000.000		544.57	12/21/20	908.17	363.60 W	0.00	182 of 435 - Sale ²⁵
1,074.000		584.87	12/21/20	975.38	390.51 W	0.00	183 of 435 - Sale ²⁵
2,902.000		1,580.33	12/21/20	2,635.55	1,055.22 W	0.00	184 of 435 - Sale ²⁵
100.000		56.24	12/21/20	99.13	42.89 W	0.00	185 of 435 - Sale ²⁵
10.000		5.62	12/21/20	10.47	4.85 W	0.00	186 of 435 - Sale ²⁵
13.000		7.31	12/21/20	13.60	6.29 W	0.00	187 of 435 - Sale ²⁵
15.000		8.44	12/21/20	15.70	7.26 W	0.00	188 of 435 - Sale ²⁵
44.000		24.74	12/21/20	46.08	21.34 W	0.00	189 of 435 - Sale ²⁵
46.000		25.87	12/21/20	48.15	22.28 W	0.00	190 of 435 - Sale ²⁵
81.000		45.55	12/21/20	84.83	39.28 W	0.00	191 of 435 - Sale ²⁵
83.000		46.68	12/21/20	86.91	40.23 W	0.00	192 of 435 - Sale ²⁵
100.000		56.24	12/21/20	104.68	48.44 W	0.00	193 of 435 - Sale ²⁵
138.000		77.61	12/21/20	144.51	66.90 W	0.00	194 of 435 - Sale ²⁵
174.000		97.85	12/21/20	182.22	84.37 W	0.00	195 of 435 - Sale ²⁵
185.000		104.04	12/21/20	193.69	89.65 W	0.00	196 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
191.000		107.41	12/21/20	200.04	92.63 W	0.00	197 of 435 - Sale ²⁵
216.000		121.47	12/21/20	226.17	104.70 W	0.00	198 of 435 - Sale ²⁵
370.000		208.08	12/21/20	387.46	179.38 W	0.00	199 of 435 - Sale ²⁵
418.000		235.07	12/21/20	437.71	202.64 W	0.00	200 of 435 - Sale ²⁵
483.000		271.62	12/21/20	480.22	208.60 W	0.00	201 of 435 - Sale ²⁵
738.000		415.03	12/21/20	733.75	318.72 W	0.00	202 of 435 - Sale ²⁵
22.000		12.37	12/21/20	21.07	8.70 W	0.00	203 of 435 - Sale ²⁵
50.000		28.12	12/21/20	47.88	19.76 W	0.00	204 of 435 - Sale ²⁵
78.000		43.86	12/21/20	74.69	30.83 W	0.00	205 of 435 - Sale ²⁵
100.000		56.24	12/21/20	95.76	39.52 W	0.00	206 of 435 - Sale ²⁵
173.000		97.29	12/21/20	165.69	68.40 W	0.00	207 of 435 - Sale ²⁵
297.000		167.02	12/21/20	302.53	135.51 W	0.00	208 of 435 - Sale ²⁵
975.000		548.31	12/21/20	933.81	385.50 W	0.00	209 of 435 - Sale ²⁵
2.000		1.12	12/21/20	2.02	0.90 W	0.00	210 of 435 - Sale ²⁵
10.000		5.62	12/21/20	11.16	5.54 W	0.00	211 of 435 - Sale ²⁵
10.000		5.62	12/21/20	11.16	5.54 W	0.00	212 of 435 - Sale ²⁵
16.000		9.00	12/21/20	17.86	8.86 W	0.00	213 of 435 - Sale ²⁵
20.000		11.25	12/21/20	20.26	9.01 W	0.00	214 of 435 - Sale ²⁵
36.000		20.25	12/21/20	36.60	16.35 W	0.00	215 of 435 - Sale ²⁵
37.000		20.81	12/21/20	37.70	16.89 W	0.00	216 of 435 - Sale ²⁵
49.000		27.56	12/21/20	49.82	22.26 W	0.00	217 of 435 - Sale ²⁵
81.000		45.55	12/21/20	82.36	36.81 W	0.00	218 of 435 - Sale ²⁵
100.000		56.24	12/21/20	111.64	55.40 W	0.00	219 of 435 - Sale ²⁵
100.000		56.24	12/21/20	111.65	55.41 W	0.00	220 of 435 - Sale ²⁵
108.000		60.74	12/21/20	109.82	49.08 W	0.00	221 of 435 - Sale ²⁵
108.000		60.74	12/21/20	109.40	48.66 W	0.00	222 of 435 - Sale ²⁵
125.000		70.30	12/21/20	127.10	56.80 W	0.00	223 of 435 - Sale ²⁵
140.000		78.73	12/21/20	142.60	63.87 W	0.00	224 of 435 - Sale ²⁵
156.000		87.73	12/21/20	158.59	70.86 W	0.00	225 of 435 - Sale ²⁵
191.000		107.41	12/21/20	213.34	105.93 W	0.00	226 of 435 - Sale ²⁵
199.000		111.91	12/21/20	222.24	110.33 W	0.00	227 of 435 - Sale ²⁵
220.000		123.72	12/21/20	223.70	99.98 W	0.00	228 of 435 - Sale ²⁵
384.000		215.95	12/21/20	428.87	212.92 W	0.00	229 of 435 - Sale ²⁵
425.000		239.01	12/21/20	432.91	193.90 W	0.00	230 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	434.000	244.07	12/21/20	441.27	197.20 W	0.00	231 of 435 - Sale ²⁵
	508.000	285.68	12/21/20	514.56	228.88 W	0.00	232 of 435 - Sale ²⁵
	651.000	366.10	12/21/20	659.44	293.34 W	0.00	233 of 435 - Sale ²⁵
	890.000	500.51	12/21/20	993.98	493.47 W	0.00	234 of 435 - Sale ²⁵
	200.000	112.47	12/21/20	202.59	90.12 W	0.00	235 of 435 - Sale ²⁵
	800.000	449.89	12/21/20	810.36	360.47 W	0.00	236 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	101.29	45.05 W	0.00	237 of 435 - Sale ²⁵
	28.000	15.75	12/21/20	27.01	11.26 W	0.00	238 of 435 - Sale ²⁵
	51.000	28.68	12/21/20	49.22	20.54 W	0.00	239 of 435 - Sale ²⁵
	302.000	169.83	12/21/20	291.42	121.59 W	0.00	240 of 435 - Sale ²⁵
	619.000	348.11	12/21/20	627.02	278.91 W	0.00	241 of 435 - Sale ²⁵
	15.000	8.44	12/21/20	14.31	5.87 W	0.00	242 of 435 - Sale ²⁵
	76.000	42.74	12/21/20	72.45	29.71 W	0.00	243 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	95.33	39.09 W	0.00	244 of 435 - Sale ²⁵
	124.000	69.73	12/21/20	118.35	48.62 W	0.00	245 of 435 - Sale ²⁵
	124.000	69.73	12/21/20	118.23	48.50 W	0.00	246 of 435 - Sale ²⁵
	125.000	70.30	12/21/20	119.02	48.72 W	0.00	247 of 435 - Sale ²⁵
	144.000	80.98	12/21/20	137.10	56.12 W	0.00	248 of 435 - Sale ²⁵
	150.000	84.36	12/21/20	143.01	58.65 W	0.00	249 of 435 - Sale ²⁵
	150.000	84.36	12/21/20	143.02	58.66 W	0.00	250 of 435 - Sale ²⁵
	153.000	86.04	12/21/20	145.68	59.64 W	0.00	251 of 435 - Sale ²⁵
	180.000	101.23	12/21/20	171.40	70.17 W	0.00	252 of 435 - Sale ²⁵
	182.000	102.35	12/21/20	173.55	71.20 W	0.00	253 of 435 - Sale ²⁵
	242.000	136.09	12/21/20	230.43	94.34 W	0.00	254 of 435 - Sale ²⁵
	297.000	167.02	12/21/20	282.80	115.78 W	0.00	255 of 435 - Sale ²⁵
	335.000	188.39	12/21/20	319.41	131.02 W	0.00	256 of 435 - Sale ²⁵
	603.000	339.11	12/21/20	581.89	242.78 W	0.00	257 of 435 - Sale ²⁵
	400.000	224.95	12/21/20	380.88	155.93 W	0.00	258 of 435 - Sale ²⁵
	6.000	3.37	12/21/20	5.53	2.16 W	0.00	259 of 435 - Sale ²⁵
	25.000	14.06	12/21/20	23.11	9.05 W	0.00	260 of 435 - Sale ²⁵
	193.000	108.54	12/21/20	178.46	69.92 W	0.00	261 of 435 - Sale ²⁵
	200.000	112.47	12/21/20	184.93	72.46 W	0.00	262 of 435 - Sale ²⁵
	202.000	113.60	12/21/20	186.77	73.17 W	0.00	263 of 435 - Sale ²⁵
	1,000.000	562.37	12/21/20	924.60	362.23 W	0.00	264 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	1,074.000	603.98	12/21/20	993.03	389.05 W	0.00	265 of 435 - Sale ²⁵
	300.000	168.71	12/21/20	277.39	108.68 W	0.00	266 of 435 - Sale ²⁵
	600.000	337.42	12/21/20	554.77	217.35 W	0.00	267 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	92.46	36.22 W	0.00	268 of 435 - Sale ²⁵
	1,700.000	956.02	12/21/20	1,571.85	615.83 W	0.00	269 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	98.99	42.75 W	0.00	270 of 435 - Sale ²⁵
	400.000	224.95	12/21/20	397.15	172.20 W	0.00	271 of 435 - Sale ²⁵
	83.000	46.68	12/21/20	82.41	35.73 W	0.00	272 of 435 - Sale ²⁵
	617.000	346.99	12/21/20	612.60	265.61 W	0.00	273 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	99.29	43.05 W	0.00	274 of 435 - Sale ²⁵
	13.000	7.31	12/21/20	13.58	6.27 W	0.00	275 of 435 - Sale ²⁵
	15.000	8.44	12/21/20	15.67	7.23 W	0.00	276 of 435 - Sale ²⁵
	13.000	7.31	12/21/20	13.60	6.29 W	0.00	277 of 435 - Sale ²⁵
	191.000	107.41	12/21/20	199.78	92.37 W	0.00	278 of 435 - Sale ²⁵
	21.000	11.81	12/21/20	20.85	9.04 W	0.00	279 of 435 - Sale ²⁵
	10.000	5.62	12/21/20	10.46	4.84 W	0.00	280 of 435 - Sale ²⁵
	44.000	24.74	12/21/20	46.02	21.28 W	0.00	281 of 435 - Sale ²⁵
	46.000	25.87	12/21/20	48.09	22.22 W	0.00	282 of 435 - Sale ²⁵
	68.000	38.24	12/21/20	71.12	32.88 W	0.00	283 of 435 - Sale ²⁵
	83.000	46.68	12/21/20	86.79	40.11 W	0.00	284 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	104.54	48.30 W	0.00	285 of 435 - Sale ²⁵
	138.000	77.61	12/21/20	144.32	66.71 W	0.00	286 of 435 - Sale ²⁵
	174.000	97.85	12/21/20	181.98	84.13 W	0.00	287 of 435 - Sale ²⁵
	185.000	104.04	12/21/20	193.44	89.40 W	0.00	288 of 435 - Sale ²⁵
	216.000	121.47	12/21/20	225.88	104.41 W	0.00	289 of 435 - Sale ²⁵
	370.000	208.08	12/21/20	386.95	178.87 W	0.00	290 of 435 - Sale ²⁵
	418.000	235.07	12/21/20	437.14	202.07 W	0.00	291 of 435 - Sale ²⁵
	2.000	1.12	12/21/20	2.02	0.90 W	0.00	292 of 435 - Sale ²⁵
	10.000	5.62	12/21/20	11.15	5.53 W	0.00	293 of 435 - Sale ²⁵
	10.000	5.62	12/21/20	11.15	5.53 W	0.00	294 of 435 - Sale ²⁵
	16.000	9.00	12/21/20	17.84	8.84 W	0.00	295 of 435 - Sale ²⁵
	20.000	11.25	12/21/20	20.23	8.98 W	0.00	296 of 435 - Sale ²⁵
	22.000	12.37	12/21/20	21.04	8.67 W	0.00	297 of 435 - Sale ²⁵
	36.000	20.25	12/21/20	36.55	16.30 W	0.00	298 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	37.000	20.81	12/21/20	37.65	16.84 W	0.00	299 of 435 - Sale ²⁵
	49.000	27.56	12/21/20	49.75	22.19 W	0.00	300 of 435 - Sale ²⁵
	50.000	28.12	12/21/20	47.81	19.69 W	0.00	301 of 435 - Sale ²⁵
	78.000	43.86	12/21/20	74.59	30.73 W	0.00	302 of 435 - Sale ²⁵
	81.000	45.55	12/21/20	82.25	36.70 W	0.00	303 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	95.62	39.38 W	0.00	304 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	111.50	55.26 W	0.00	305 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	111.51	55.27 W	0.00	306 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	101.15	44.91 W	0.00	307 of 435 - Sale ²⁵
	108.000	60.74	12/21/20	109.67	48.93 W	0.00	308 of 435 - Sale ²⁵
	108.000	60.74	12/21/20	109.25	48.51 W	0.00	309 of 435 - Sale ²⁵
	125.000	70.30	12/21/20	126.92	56.62 W	0.00	310 of 435 - Sale ²⁵
	140.000	78.73	12/21/20	142.41	63.68 W	0.00	311 of 435 - Sale ²⁵
	156.000	87.73	12/21/20	158.38	70.65 W	0.00	312 of 435 - Sale ²⁵
	173.000	97.29	12/21/20	165.45	68.16 W	0.00	313 of 435 - Sale ²⁵
	191.000	107.41	12/21/20	213.08	105.67 W	0.00	314 of 435 - Sale ²⁵
	199.000	111.91	12/21/20	221.97	110.06 W	0.00	315 of 435 - Sale ²⁵
	200.000	112.47	12/21/20	202.32	89.85 W	0.00	316 of 435 - Sale ²⁵
	220.000	123.72	12/21/20	223.40	99.68 W	0.00	317 of 435 - Sale ²⁵
	297.000	167.02	12/21/20	302.13	135.11 W	0.00	318 of 435 - Sale ²⁵
	384.000	215.95	12/21/20	428.34	212.39 W	0.00	319 of 435 - Sale ²⁵
	425.000	239.01	12/21/20	432.32	193.31 W	0.00	320 of 435 - Sale ²⁵
	434.000	244.07	12/21/20	440.67	196.60 W	0.00	321 of 435 - Sale ²⁵
	508.000	285.68	12/21/20	513.87	228.19 W	0.00	322 of 435 - Sale ²⁵
	353.000	198.51	12/21/20	357.09	158.58 W	0.00	323 of 435 - Sale ²⁵
	651.000	366.10	12/21/20	658.55	292.45 W	0.00	324 of 435 - Sale ²⁵
	800.000	449.89	12/21/20	809.27	359.38 W	0.00	325 of 435 - Sale ²⁵
	890.000	500.50	12/21/20	992.76	492.26 W	0.00	326 of 435 - Sale ²⁵
	975.000	548.31	12/21/20	932.48	384.17 W	0.00	327 of 435 - Sale ²⁵
	15.000	8.44	12/21/20	14.29	5.85 W	0.00	328 of 435 - Sale ²⁵
	28.000	15.75	12/21/20	26.97	11.22 W	0.00	329 of 435 - Sale ²⁵
	51.000	28.68	12/21/20	49.15	20.47 W	0.00	330 of 435 - Sale ²⁵
	76.000	42.74	12/21/20	72.35	29.61 W	0.00	331 of 435 - Sale ²⁵
	100.000	56.24	12/21/20	95.19	38.95 W	0.00	332 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
124.000		69.73	12/21/20	118.18	48.45 W	0.00	333 of 435 - Sale ²⁵
124.000		69.73	12/21/20	118.06	48.33 W	0.00	334 of 435 - Sale ²⁵
125.000		70.30	12/21/20	118.85	48.55 W	0.00	335 of 435 - Sale ²⁵
144.000		80.98	12/21/20	136.90	55.92 W	0.00	336 of 435 - Sale ²⁵
150.000		84.36	12/21/20	142.80	58.44 W	0.00	337 of 435 - Sale ²⁵
150.000		84.36	12/21/20	142.81	58.45 W	0.00	338 of 435 - Sale ²⁵
153.000		86.04	12/21/20	145.47	59.43 W	0.00	339 of 435 - Sale ²⁵
180.000		101.23	12/21/20	171.15	69.92 W	0.00	340 of 435 - Sale ²⁵
182.000		102.35	12/21/20	173.30	70.95 W	0.00	341 of 435 - Sale ²⁵
242.000		136.09	12/21/20	230.10	94.01 W	0.00	342 of 435 - Sale ²⁵
297.000		167.02	12/21/20	282.40	115.38 W	0.00	343 of 435 - Sale ²⁵
302.000		169.84	12/21/20	291.01	121.17 W	0.00	344 of 435 - Sale ²⁵
335.000		188.39	12/21/20	318.95	130.56 W	0.00	345 of 435 - Sale ²⁵
400.000		224.95	12/21/20	380.33	155.38 W	0.00	346 of 435 - Sale ²⁵
603.000		339.11	12/21/20	581.06	241.95 W	0.00	347 of 435 - Sale ²⁵
266.000		149.59	12/21/20	269.08	119.49 W	0.00	348 of 435 - Sale ²⁵
6.000		3.37	12/21/20	5.53	2.16 W	0.00	349 of 435 - Sale ²⁵
25.000		14.06	12/21/20	23.08	9.02 W	0.00	350 of 435 - Sale ²⁵
100.000		56.24	12/21/20	92.32	36.08 W	0.00	351 of 435 - Sale ²⁵
193.000		108.54	12/21/20	178.19	69.65 W	0.00	352 of 435 - Sale ²⁵
200.000		112.47	12/21/20	184.66	72.19 W	0.00	353 of 435 - Sale ²⁵
202.000		113.60	12/21/20	186.49	72.89 W	0.00	354 of 435 - Sale ²⁵
300.000		168.71	12/21/20	276.98	108.27 W	0.00	355 of 435 - Sale ²⁵
600.000		337.42	12/21/20	553.95	216.53 W	0.00	356 of 435 - Sale ²⁵
1,000.000		562.37	12/21/20	923.23	360.86 W	0.00	357 of 435 - Sale ²⁵
1,074.000		603.98	12/21/20	991.56	387.58 W	0.00	358 of 435 - Sale ²⁵
1,700.000		956.02	12/21/20	1,569.53	613.51 W	0.00	359 of 435 - Sale ²⁵
10.000		5.32	12/21/20	10.14	4.82 W	0.00	360 of 435 - Sale ²⁵
13.000		6.91	12/21/20	13.16	6.25 W	0.00	361 of 435 - Sale ²⁵
13.000		6.91	12/21/20	13.18	6.27 W	0.00	362 of 435 - Sale ²⁵
15.000		7.98	12/21/20	15.18	7.20 W	0.00	363 of 435 - Sale ²⁵
21.000		11.17	12/21/20	20.17	9.00 W	0.00	364 of 435 - Sale ²⁵
44.000		23.40	12/21/20	44.60	21.20 W	0.00	365 of 435 - Sale ²⁵
46.000		24.47	12/21/20	46.60	22.13 W	0.00	366 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	68.000	36.17	12/21/20	68.92	32.75 W	0.00	367 of 435 - Sale ²⁵
	83.000	44.15	12/21/20	79.72	35.57 W	0.00	368 of 435 - Sale ²⁵
	83.000	44.14	12/21/20	84.10	39.96 W	0.00	369 of 435 - Sale ²⁵
	100.000	53.19	12/21/20	95.75	42.56 W	0.00	370 of 435 - Sale ²⁵
	100.000	53.19	12/21/20	96.05	42.86 W	0.00	371 of 435 - Sale ²⁵
	100.000	53.19	12/21/20	101.30	48.11 W	0.00	372 of 435 - Sale ²⁵
	138.000	73.40	12/21/20	139.85	66.45 W	0.00	373 of 435 - Sale ²⁵
	174.000	92.54	12/21/20	176.35	83.81 W	0.00	374 of 435 - Sale ²⁵
	185.000	98.40	12/21/20	187.45	89.05 W	0.00	375 of 435 - Sale ²⁵
	191.000	101.59	12/21/20	193.60	92.01 W	0.00	376 of 435 - Sale ²⁵
	216.000	114.88	12/21/20	218.89	104.01 W	0.00	377 of 435 - Sale ²⁵
	370.000	196.79	12/21/20	374.97	178.18 W	0.00	378 of 435 - Sale ²⁵
	400.000	212.75	12/21/20	384.20	171.45 W	0.00	379 of 435 - Sale ²⁵
	418.000	222.32	12/21/20	423.61	201.29 W	0.00	380 of 435 - Sale ²⁵
	617.000	328.16	12/21/20	592.62	264.46 W	0.00	381 of 435 - Sale ²⁵
	10.000	5.32	12/21/20	10.83	5.51 W	0.00	382 of 435 - Sale ²⁵
	10.000	5.32	12/21/20	10.83	5.51 W	0.00	383 of 435 - Sale ²⁵
	16.000	8.51	12/21/20	17.32	8.81 W	0.00	384 of 435 - Sale ²⁵
	22.000	11.70	12/21/20	20.33	8.63 W	0.00	385 of 435 - Sale ²⁵
	36.000	19.15	12/21/20	35.38	16.23 W	0.00	386 of 435 - Sale ²⁵
	37.000	19.68	12/21/20	36.45	16.77 W	0.00	387 of 435 - Sale ²⁵
	49.000	26.06	12/21/20	48.16	22.10 W	0.00	388 of 435 - Sale ²⁵
	50.000	26.59	12/21/20	46.19	19.60 W	0.00	389 of 435 - Sale ²⁵
	78.000	41.49	12/21/20	72.07	30.58 W	0.00	390 of 435 - Sale ²⁵
	81.000	43.08	12/21/20	79.63	36.55 W	0.00	391 of 435 - Sale ²⁵
	100.000	53.19	12/21/20	92.38	39.19 W	0.00	392 of 435 - Sale ²⁵
	100.000	53.19	12/21/20	108.26	55.07 W	0.00	393 of 435 - Sale ²⁵
	100.000	53.19	12/21/20	108.27	55.08 W	0.00	394 of 435 - Sale ²⁵
	108.000	57.44	12/21/20	106.17	48.73 W	0.00	395 of 435 - Sale ²⁵
	125.000	66.48	12/21/20	122.87	56.39 W	0.00	396 of 435 - Sale ²⁵
	140.000	74.46	12/21/20	137.88	63.42 W	0.00	397 of 435 - Sale ²⁵
	156.000	82.97	12/21/20	153.33	70.36 W	0.00	398 of 435 - Sale ²⁵
	173.000	92.01	12/21/20	159.85	67.84 W	0.00	399 of 435 - Sale ²⁵
	191.000	101.59	12/21/20	206.90	105.31 W	0.00	400 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
199.000		105.84	12/21/20	215.53	109.69 W	0.00	401 of 435 - Sale ²⁵
220.000		117.01	12/21/20	216.28	99.27 W	0.00	402 of 435 - Sale ²⁵
297.000		157.96	12/21/20	292.52	134.56 W	0.00	403 of 435 - Sale ²⁵
373.000		198.38	12/21/20	404.00	205.62 W	0.00	404 of 435 - Sale ²⁵
425.000		226.04	12/21/20	418.56	192.52 W	0.00	405 of 435 - Sale ²⁵
434.000		230.83	12/21/20	426.62	195.79 W	0.00	406 of 435 - Sale ²⁵
890.000		473.37	12/21/20	963.96	490.59 W	0.00	407 of 435 - Sale ²⁵
975.000		518.57	12/21/20	900.92	382.35 W	0.00	408 of 435 - Sale ²⁵
2.000		1.06	12/21/20	1.96	0.90 W	0.00	409 of 435 - Sale ²⁵
15.000		7.98	12/21/20	13.80	5.82 W	0.00	410 of 435 - Sale ²⁵
20.000		10.64	12/21/20	19.58	8.94 W	0.00	411 of 435 - Sale ²⁵
28.000		14.89	12/21/20	26.06	11.17 W	0.00	412 of 435 - Sale ²⁵
51.000		27.13	12/21/20	47.50	20.37 W	0.00	413 of 435 - Sale ²⁵
76.000		40.42	12/21/20	69.89	29.47 W	0.00	414 of 435 - Sale ²⁵
100.000		53.19	12/21/20	97.91	44.72 W	0.00	415 of 435 - Sale ²⁵
100.000		53.19	12/21/20	91.95	38.76 W	0.00	416 of 435 - Sale ²⁵
108.000		57.44	12/21/20	105.75	48.31 W	0.00	417 of 435 - Sale ²⁵
124.000		65.95	12/21/20	114.17	48.22 W	0.00	418 of 435 - Sale ²⁵
124.000		65.95	12/21/20	114.05	48.10 W	0.00	419 of 435 - Sale ²⁵
150.000		79.78	12/21/20	137.94	58.16 W	0.00	420 of 435 - Sale ²⁵
73.000		38.83	12/21/20	67.14	28.31 W	0.00	421 of 435 - Sale ²⁵
200.000		106.37	12/21/20	195.85	89.48 W	0.00	422 of 435 - Sale ²⁵
266.000		141.48	12/21/20	260.47	118.99 W	0.00	423 of 435 - Sale ²⁵
302.000		160.62	12/21/20	281.23	120.61 W	0.00	424 of 435 - Sale ²⁵
335.000		178.17	12/21/20	308.11	129.94 W	0.00	425 of 435 - Sale ²⁵
353.000		187.75	12/21/20	345.67	157.92 W	0.00	426 of 435 - Sale ²⁵
11.000		5.85	12/21/20	11.91	6.06 W	0.00	427 of 435 - Sale ²⁵
508.000		270.19	12/21/20	497.43	227.24 W	0.00	428 of 435 - Sale ²⁵
603.000		320.72	12/21/20	561.54	240.82 W	0.00	429 of 435 - Sale ²⁵
651.000		346.25	12/21/20	637.48	291.23 W	0.00	430 of 435 - Sale ²⁵
800.000		425.49	12/21/20	783.38	357.89 W	0.00	431 of 435 - Sale ²⁵
144.000		76.59	12/21/20	132.24	55.65 W	0.00	432 of 435 - Sale ²⁵
77.000		40.95	12/21/20	70.81	29.86 W	0.00	433 of 435 - Sale ²⁵
147.000		78.19	12/21/20	135.01	56.82 W	0.00	434 of 435 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
12/21/20	182.000	96.80	12/21/20	167.41	70.61 W	0.00	435 of 435 - Sale ²⁵
12/21/20	109,147.000	59,709.80	Various	107,086.26	47,376.46 W	0.00	Total of 435 transactions
	21 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	20.000	9.64	12/21/20	18.37	8.73 W	0.00	1 of 21 - Sale ²⁵
	125.000	60.23	12/21/20	114.80	54.57 W	0.00	2 of 21 - Sale ²⁵
	153.000	73.73	12/21/20	140.52	66.79 W	0.00	3 of 21 - Sale ²⁵
	13.000	6.26	12/21/20	11.94	5.68 W	0.00	4 of 21 - Sale ²⁵
	242.000	116.62	12/21/20	222.27	105.65 W	0.00	5 of 21 - Sale ²⁵
	297.000	143.12	12/21/20	272.79	129.67 W	0.00	6 of 21 - Sale ²⁵
	170.000	81.92	12/21/20	156.14	74.22 W	0.00	7 of 21 - Sale ²⁵
	230.000	110.83	12/21/20	211.24	100.41 W	0.00	8 of 21 - Sale ²⁵
	6.000	2.89	12/21/20	5.34	2.45 W	0.00	9 of 21 - Sale ²⁵
	25.000	12.05	12/21/20	22.27	10.22 W	0.00	10 of 21 - Sale ²⁵
	193.000	93.00	12/21/20	171.94	78.94 W	0.00	11 of 21 - Sale ²⁵
	200.000	96.37	12/21/20	178.19	81.82 W	0.00	12 of 21 - Sale ²⁵
	202.000	97.34	12/21/20	179.95	82.61 W	0.00	13 of 21 - Sale ²⁵
	70.000	33.73	12/21/20	62.36	28.63 W	0.00	14 of 21 - Sale ²⁵
	1,000.000	481.87	12/21/20	890.86	408.99 W	0.00	15 of 21 - Sale ²⁵
	1,074.000	517.52	12/21/20	956.80	439.28 W	0.00	16 of 21 - Sale ²⁵
	100.000	48.19	12/21/20	89.08	40.89 W	0.00	17 of 21 - Sale ²⁵
	230.000	110.83	12/21/20	204.91	94.08 W	0.00	18 of 21 - Sale ²⁵
	600.000	289.12	12/21/20	534.53	245.41 W	0.00	19 of 21 - Sale ²⁵
	1,700.000	819.18	12/21/20	1,514.51	695.33 W	0.00	20 of 21 - Sale ²⁵
	8,350.000	4,023.61	12/21/20	4,425.50	401.89 W	0.00	21 of 21 - Sale ²⁵
12/22/20	15,000.000	7,228.05	Various	10,384.31	3,156.26 W	0.00	Total of 21 transactions
	46 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	1,100.000	507.28	12/21/20	583.00	75.72 W	0.00	1 of 46 - Sale ²⁵
	30.000	13.84	12/21/20	15.90	2.06 W	0.00	2 of 46 - Sale ²⁵
	1,200.000	553.04	12/21/20	636.00	82.96 W	0.00	3 of 46 - Sale ²⁵
	400.000	184.35	12/21/20	212.00	27.65 W	0.00	4 of 46 - Sale ²⁵
	200.000	92.18	12/21/20	106.00	13.82 W	0.00	5 of 46 - Sale ²⁵
	200.000	92.18	12/21/20	106.00	13.82 W	0.00	6 of 46 - Sale ²⁵
	200.000	92.18	12/21/20	106.00	13.82 W	0.00	7 of 46 - Sale ²⁵
	200.000	92.18	12/21/20	106.00	13.82 W	0.00	8 of 46 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	400.000	184.35	12/21/20	212.00	27.65 W	0.00	9 of 46 - Sale ²⁵
	95.000	43.79	12/21/20	50.35	6.56 W	0.00	10 of 46 - Sale ²⁵
	800.000	368.70	12/21/20	424.00	55.30 W	0.00	11 of 46 - Sale ²⁵
	800.000	368.70	12/21/20	424.00	55.30 W	0.00	12 of 46 - Sale ²⁵
	10.000	4.62	12/21/20	5.30	0.68 W	0.00	13 of 46 - Sale ²⁵
	95.000	43.79	12/21/20	50.35	6.56 W	0.00	14 of 46 - Sale ²⁵
	150.000	69.13	12/21/20	79.50	10.37 W	0.00	15 of 46 - Sale ²⁵
	25.000	11.53	12/21/20	13.25	1.72 W	0.00	16 of 46 - Sale ²⁵
	100.000	46.09	12/21/20	53.00	6.91 W	0.00	17 of 46 - Sale ²⁵
	1,951.000	899.16	12/21/20	1,034.03	134.87 W	0.00	18 of 46 - Sale ²⁵
	50.000	23.05	12/21/20	26.50	3.45 W	0.00	19 of 46 - Sale ²⁵
	100.000	46.09	12/21/20	53.00	6.91 W	0.00	20 of 46 - Sale ²⁵
	6.000	2.77	12/21/20	3.18	0.41 W	0.00	21 of 46 - Sale ²⁵
	50.000	23.05	12/21/20	26.50	3.45 W	0.00	22 of 46 - Sale ²⁵
	14.000	6.45	12/21/20	7.42	0.97 W	0.00	23 of 46 - Sale ²⁵
	150.000	69.13	12/21/20	79.50	10.37 W	0.00	24 of 46 - Sale ²⁵
	100.000	46.09	12/21/20	53.00	6.91 W	0.00	25 of 46 - Sale ²⁵
	125.000	57.62	12/21/20	66.25	8.63 W	0.00	26 of 46 - Sale ²⁵
	300.000	138.26	12/21/20	159.00	20.74 W	0.00	27 of 46 - Sale ²⁵
	8.000	3.69	12/21/20	4.24	0.55 W	0.00	28 of 46 - Sale ²⁵
	500.000	230.44	12/21/20	265.00	34.56 W	0.00	29 of 46 - Sale ²⁵
	18.000	8.30	12/21/20	9.54	1.24 W	0.00	30 of 46 - Sale ²⁵
	15.000	6.92	12/21/20	7.95	1.03 W	0.00	31 of 46 - Sale ²⁵
	200.000	92.18	12/21/20	106.00	13.82 W	0.00	32 of 46 - Sale ²⁵
	310.000	142.87	12/21/20	164.30	21.43 W	0.00	33 of 46 - Sale ²⁵
	50.000	23.05	12/21/20	26.50	3.45 W	0.00	34 of 46 - Sale ²⁵
	1,700.000	783.48	12/21/20	901.00	117.52 W	0.00	35 of 46 - Sale ²⁵
	800.000	368.70	12/21/20	424.00	55.30 W	0.00	36 of 46 - Sale ²⁵
	200.000	92.18	12/21/20	106.00	13.82 W	0.00	37 of 46 - Sale ²⁵
	2.000	0.92	12/21/20	1.06	0.14 W	0.00	38 of 46 - Sale ²⁵
	899.000	414.33	12/21/20	476.47	62.14 W	0.00	39 of 46 - Sale ²⁵
	1.000	0.46	12/21/20	0.53	0.07 W	0.00	40 of 46 - Sale ²⁵
	200.000	92.18	12/21/20	106.00	13.82 W	0.00	41 of 46 - Sale ²⁵
	40.000	18.44	12/21/20	21.20	2.76 W	0.00	42 of 46 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	1,700.000	783.48	12/21/20	901.00	117.52 W	0.00	43 of 46 - Sale ²⁵
	100.000	46.09	12/21/20	53.00	6.91 W	0.00	44 of 46 - Sale ²⁵
	463.000	213.38	12/21/20	245.39	32.01 W	0.00	45 of 46 - Sale ²⁵
	4,597.000	2,118.62	12/21/20	2,436.41	317.79 W	0.00	46 of 46 - Sale ²⁵
12/23/20	20,654.000	9,519.31	Various	10,946.62	1,427.31 W	0.00	Total of 46 transactions
	Security total:	226,556.37		327,986.46	102,813.86 W	1,383.77	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
11/27/20	1,000.000	5,639.75	11/27/20	5,650.00	...	-10.25	Sale ²⁵
SUPPORT.COM, INC. COMMON STOCK / CUSIP: 86858W200 / Symbol:							
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	52.000	101.28	11/17/20	100.36	...	0.92	1 of 3 - Sale ²⁵
	100.000	194.76	11/17/20	193.00	...	1.76	2 of 3 - Sale ²⁵
	160.000	311.61	11/17/20	308.80	...	2.81	3 of 3 - Sale ²⁵
11/17/20	312.000	607.65	Various	602.16	...	5.49	Total of 3 transactions
TENAX THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 88032L209 / Symbol:							
154 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	4.000	9.24	12/29/20	9.20	...	0.04	1 of 154 - Sale ²⁵
	11.000	26.51	12/29/20	26.40	...	0.11	2 of 154 - Sale ²⁵
	13.000	30.03	12/29/20	29.90	...	0.13	3 of 154 - Sale ²⁵
	7.000	16.31	12/29/20	15.96	...	0.35	4 of 154 - Sale ²⁵
	8.000	18.64	12/29/20	18.24	...	0.40	5 of 154 - Sale ²⁵
	50.000	115.50	12/29/20	115.00	...	0.50	6 of 154 - Sale ²⁵
	10.000	23.30	12/29/20	22.80	...	0.50	7 of 154 - Sale ²⁵
	17.000	45.90	12/29/20	45.22	...	0.68	8 of 154 - Sale ²⁵
	89.000	214.48	12/29/20	213.60	...	0.88	9 of 154 - Sale ²⁵
	99.000	228.67	12/29/20	227.70	...	0.97	10 of 154 - Sale ²⁵
	100.000	230.99	12/29/20	230.00	...	0.99	11 of 154 - Sale ²⁵
	100.000	230.99	12/29/20	230.00	...	0.99	12 of 154 - Sale ²⁵
	100.000	240.99	12/29/20	240.00	...	0.99	13 of 154 - Sale ²⁵
	100.000	240.99	12/29/20	240.00	...	0.99	14 of 154 - Sale ²⁵
	100.000	240.99	12/29/20	240.00	...	0.99	15 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TENAX THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 88032L209 / Symbol: (cont'd)							
	100.000	240.99	12/29/20	240.00	...	0.99	16 of 154 - Sale ²⁵
	100.000	240.99	12/29/20	240.00	...	0.99	17 of 154 - Sale ²⁵
	100.000	240.99	12/29/20	240.00	...	0.99	18 of 154 - Sale ²⁵
	102.000	245.80	12/29/20	244.80	...	1.00	19 of 154 - Sale ²⁵
	151.000	348.78	12/29/20	347.30	...	1.48	20 of 154 - Sale ²⁵
	200.000	461.96	12/29/20	460.00	...	1.96	21 of 154 - Sale ²⁵
	200.000	461.98	12/29/20	460.00	...	1.98	22 of 154 - Sale ²⁵
	200.000	461.98	12/29/20	460.00	...	1.98	23 of 154 - Sale ²⁵
	200.000	461.98	12/29/20	460.00	...	1.98	24 of 154 - Sale ²⁵
	200.000	461.98	12/29/20	460.00	...	1.98	25 of 154 - Sale ²⁵
	200.000	481.98	12/29/20	480.00	...	1.98	26 of 154 - Sale ²⁵
	216.000	498.92	12/29/20	496.80	...	2.12	27 of 154 - Sale ²⁵
	225.000	542.20	12/29/20	540.00	...	2.20	28 of 154 - Sale ²⁵
	250.000	602.45	12/29/20	600.00	...	2.45	29 of 154 - Sale ²⁵
	50.000	116.49	12/29/20	114.00	...	2.49	30 of 154 - Sale ²⁵
	50.000	116.49	12/29/20	114.00	...	2.49	31 of 154 - Sale ²⁵
	50.000	122.50	12/29/20	120.00	...	2.50	32 of 154 - Sale ²⁵
	50.000	122.50	12/29/20	120.00	...	2.50	33 of 154 - Sale ²⁵
	300.000	692.94	12/29/20	690.00	...	2.94	34 of 154 - Sale ²⁵
	300.000	692.94	12/29/20	690.00	...	2.94	35 of 154 - Sale ²⁵
	100.000	230.99	12/29/20	228.00	...	2.99	36 of 154 - Sale ²⁵
	90.000	242.98	12/29/20	239.40	...	3.58	37 of 154 - Sale ²⁵
	75.000	174.74	12/29/20	171.00	...	3.74	38 of 154 - Sale ²⁵
	400.000	923.92	12/29/20	920.00	...	3.92	39 of 154 - Sale ²⁵
	92.000	214.34	12/29/20	209.76	...	4.58	40 of 154 - Sale ²⁵
	93.000	216.68	12/29/20	212.04	...	4.64	41 of 154 - Sale ²⁵
	100.000	232.98	12/29/20	228.00	...	4.98	42 of 154 - Sale ²⁵
	100.000	232.98	12/29/20	228.00	...	4.98	43 of 154 - Sale ²⁵
	100.000	232.98	12/29/20	228.00	...	4.98	44 of 154 - Sale ²⁵
	100.000	232.99	12/29/20	228.00	...	4.99	45 of 154 - Sale ²⁵
	100.000	232.99	12/29/20	228.00	...	4.99	46 of 154 - Sale ²⁵
	100.000	232.99	12/29/20	228.00	...	4.99	47 of 154 - Sale ²⁵
	100.000	244.99	12/29/20	240.00	...	4.99	48 of 154 - Sale ²⁵
	100.000	244.99	12/29/20	240.00	...	4.99	49 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 132967860
2020 1099-B* OMB No. 1545-0715	(continued)	03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TENAX THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 88032L209 / Symbol: (cont'd)							
100.000		244.99	12/29/20	240.00	...	4.99	50 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	51 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	52 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	53 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	54 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	55 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	56 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	57 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	58 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	59 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	60 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	61 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	62 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	63 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	64 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	65 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	66 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	67 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	68 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	69 of 154 - Sale ²⁵
100.000		244.99	12/29/20	240.00	...	4.99	70 of 154 - Sale ²⁵
107.000		249.30	12/29/20	243.96	...	5.34	71 of 154 - Sale ²⁵
587.000		1,355.87	12/29/20	1,350.10	...	5.77	72 of 154 - Sale ²⁵
600.000		1,385.89	12/29/20	1,380.00	...	5.89	73 of 154 - Sale ²⁵
600.000		1,385.89	12/29/20	1,380.00	...	5.89	74 of 154 - Sale ²⁵
600.000		1,445.89	12/29/20	1,440.00	...	5.89	75 of 154 - Sale ²⁵
649.000		1,499.07	12/29/20	1,492.70	...	6.37	76 of 154 - Sale ²⁵
698.000		1,682.05	12/29/20	1,675.20	...	6.85	77 of 154 - Sale ²⁵
701.000		1,619.18	12/29/20	1,612.30	...	6.88	78 of 154 - Sale ²⁵
750.000		1,732.37	12/29/20	1,725.00	...	7.37	79 of 154 - Sale ²⁵
800.000		1,847.85	12/29/20	1,840.00	...	7.85	80 of 154 - Sale ²⁵
100.000		244.99	12/29/20	237.00	...	7.99	81 of 154 - Sale ²⁵
100.000		244.99	12/29/20	237.00	...	7.99	82 of 154 - Sale ²⁵
900.000		2,078.84	12/29/20	2,070.00	...	8.84	83 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TENAX THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 88032L209 / Symbol: (cont'd)							
	185.000	453.23	12/29/20	444.00	...	9.23	84 of 154 - Sale ²⁵
	986.000	2,376.08	12/29/20	2,366.40	...	9.68	85 of 154 - Sale ²⁵
	1,000.000	2,309.82	12/29/20	2,300.00	...	9.82	86 of 154 - Sale ²⁵
	200.000	465.97	12/29/20	456.00	...	9.97	87 of 154 - Sale ²⁵
	200.000	465.97	12/29/20	456.00	...	9.97	88 of 154 - Sale ²⁵
	200.000	465.97	12/29/20	456.00	...	9.97	89 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	90 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	91 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	92 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	93 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	94 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	95 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	96 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	97 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	98 of 154 - Sale ²⁵
	200.000	489.98	12/29/20	480.00	...	9.98	99 of 154 - Sale ²⁵
	1,039.000	2,503.81	12/29/20	2,493.60	...	10.21	100 of 154 - Sale ²⁵
	225.000	524.21	12/29/20	513.00	...	11.21	101 of 154 - Sale ²⁵
	1,200.000	2,891.79	12/29/20	2,880.00	...	11.79	102 of 154 - Sale ²⁵
	306.000	826.14	12/29/20	813.96	...	12.18	103 of 154 - Sale ²⁵
	411.000	949.33	12/29/20	937.08	...	12.25	104 of 154 - Sale ²⁵
	282.000	657.01	12/29/20	642.96	...	14.05	105 of 154 - Sale ²⁵
	1,480.000	3,418.54	12/29/20	3,404.00	...	14.54	106 of 154 - Sale ²⁵
	489.000	1,129.50	12/29/20	1,114.92	...	14.58	107 of 154 - Sale ²⁵
	300.000	734.94	12/29/20	720.00	...	14.94	108 of 154 - Sale ²⁵
	300.000	734.94	12/29/20	720.00	...	14.94	109 of 154 - Sale ²⁵
	300.000	734.94	12/29/20	720.00	...	14.94	110 of 154 - Sale ²⁵
	300.000	698.95	12/29/20	684.00	...	14.95	111 of 154 - Sale ²⁵
	300.000	698.95	12/29/20	684.00	...	14.95	112 of 154 - Sale ²⁵
	300.000	698.95	12/29/20	684.00	...	14.95	113 of 154 - Sale ²⁵
	420.000	1,133.92	12/29/20	1,117.20	...	16.72	114 of 154 - Sale ²⁵
	350.000	857.44	12/29/20	840.00	...	17.44	115 of 154 - Sale ²⁵
	600.000	1,367.89	12/29/20	1,350.00	...	17.89	116 of 154 - Sale ²⁵
	600.000	1,385.89	12/29/20	1,368.00	...	17.89	117 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TENAX THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 88032L209 / Symbol: (cont'd)							
	400.000	979.92	12/29/20	960.00	...	19.92	118 of 154 - Sale ²⁵
	400.000	979.93	12/29/20	960.00	...	19.93	119 of 154 - Sale ²⁵
	2,102.000	5,065.46	12/29/20	5,044.80	...	20.66	120 of 154 - Sale ²⁵
	700.000	1,595.88	12/29/20	1,575.00	...	20.88	121 of 154 - Sale ²⁵
	542.000	1,463.30	12/29/20	1,441.72	...	21.58	122 of 154 - Sale ²⁵
	250.000	589.95	12/29/20	567.50	...	22.45	123 of 154 - Sale ²⁵
	500.000	1,164.91	12/29/20	1,140.00	...	24.91	124 of 154 - Sale ²⁵
	500.000	1,164.91	12/29/20	1,140.00	...	24.91	125 of 154 - Sale ²⁵
	500.000	1,164.91	12/29/20	1,140.00	...	24.91	126 of 154 - Sale ²⁵
	500.000	1,224.91	12/29/20	1,200.00	...	24.91	127 of 154 - Sale ²⁵
	500.000	1,224.91	12/29/20	1,200.00	...	24.91	128 of 154 - Sale ²⁵
	684.000	1,846.68	12/29/20	1,819.44	...	27.24	129 of 154 - Sale ²⁵
	700.000	1,889.87	12/29/20	1,862.00	...	27.87	130 of 154 - Sale ²⁵
	703.000	1,897.97	12/29/20	1,869.98	...	27.99	131 of 154 - Sale ²⁵
	600.000	1,469.89	12/29/20	1,440.00	...	29.89	132 of 154 - Sale ²⁵
	600.000	1,469.89	12/29/20	1,440.00	...	29.89	133 of 154 - Sale ²⁵
	600.000	1,397.90	12/29/20	1,368.00	...	29.90	134 of 154 - Sale ²⁵
	600.000	1,397.90	12/29/20	1,368.00	...	29.90	135 of 154 - Sale ²⁵
	600.000	1,397.90	12/29/20	1,368.00	...	29.90	136 of 154 - Sale ²⁵
	805.000	2,173.35	12/29/20	2,141.30	...	32.05	137 of 154 - Sale ²⁵
	700.000	1,630.88	12/29/20	1,596.00	...	34.88	138 of 154 - Sale ²⁵
	793.000	1,847.55	12/29/20	1,808.04	...	39.51	139 of 154 - Sale ²⁵
	460.000	1,085.52	12/29/20	1,044.20	...	41.32	140 of 154 - Sale ²⁵
	1,400.000	3,233.75	12/29/20	3,192.00	...	41.75	141 of 154 - Sale ²⁵
	965.000	2,364.08	12/29/20	2,316.00	...	48.08	142 of 154 - Sale ²⁵
	1,108.000	2,581.45	12/29/20	2,526.24	...	55.21	143 of 154 - Sale ²⁵
	6,901.000	16,630.22	12/29/20	16,562.40	...	67.82	144 of 154 - Sale ²⁵
	1,400.000	3,429.75	12/29/20	3,360.00	...	69.75	145 of 154 - Sale ²⁵
	790.000	1,864.26	12/29/20	1,793.30	...	70.96	146 of 154 - Sale ²⁵
	2,705.000	6,166.94	12/29/20	6,086.25	...	80.69	147 of 154 - Sale ²⁵
	8,250.000	19,056.10	12/29/20	18,975.00	...	81.10	148 of 154 - Sale ²⁵
	3,032.000	8,185.86	12/29/20	8,065.12	...	120.74	149 of 154 - Sale ²⁵
	2,505.000	5,911.37	12/29/20	5,686.35	...	225.02	150 of 154 - Sale ²⁵
	3,041.000	7,449.92	12/29/20	7,207.17	...	242.75	151 of 154 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TENAX THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 88032L209 / Symbol: (cont'd)							
	6,111.000	14,237.59	12/29/20	13,933.08	...	304.51	152 of 154 - Sale ²⁵
	12,000.000	27,717.95	12/29/20	27,360.00	...	357.95	153 of 154 - Sale ²⁵
	4,958.000	12,146.24	12/29/20	11,750.46	...	395.78	154 of 154 - Sale ²⁵
12/29/20	98,872.000	235,822.63	Various	232,304.85	...	3,517.78	Total of 154 transactions
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol:							
18 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	21.000	4.34	09/17/20	4.33	...	0.01	1 of 18 - Sale ²⁵
	379.000	78.41	09/17/20	78.07	...	0.34	2 of 18 - Sale ²⁵
	610.000	126.19	09/17/20	125.66	...	0.53	3 of 18 - Sale ²⁵
	1,232.000	254.87	09/17/20	253.79	...	1.08	4 of 18 - Sale ²⁵
	1,268.000	262.31	09/17/20	261.21	...	1.10	5 of 18 - Sale ²⁵
	1,700.000	351.70	09/17/20	350.20	...	1.50	6 of 18 - Sale ²⁵
	1,890.000	390.99	09/17/20	389.34	...	1.65	7 of 18 - Sale ²⁵
	2,200.000	455.14	09/17/20	453.20	...	1.94	8 of 18 - Sale ²⁵
	2,400.000	496.51	09/17/20	494.40	...	2.11	9 of 18 - Sale ²⁵
	2,400.000	496.51	09/17/20	494.40	...	2.11	10 of 18 - Sale ²⁵
	2,500.000	517.18	09/17/20	515.00	...	2.18	11 of 18 - Sale ²⁵
	2,500.000	517.18	09/17/20	515.00	...	2.18	12 of 18 - Sale ²⁵
	2,500.000	517.18	09/17/20	515.00	...	2.18	13 of 18 - Sale ²⁵
	2,500.000	517.18	09/17/20	515.00	...	2.18	14 of 18 - Sale ²⁵
	2,732.000	565.19	09/17/20	562.79	...	2.40	15 of 18 - Sale ²⁵
	2,800.000	579.25	09/17/20	576.80	...	2.45	16 of 18 - Sale ²⁵
	2,800.000	579.25	09/17/20	576.80	...	2.45	17 of 18 - Sale ²⁵
	17,473.000	3,614.75	09/17/20	3,599.44	...	15.31	18 of 18 - Sale ²⁵
09/18/20	49,905.000	10,324.13	Various	10,280.43	...	43.70	Total of 18 transactions
09/22/20	15,526.000	3,165.38	09/21/20	3,128.49	...	36.89	Sale ²⁵
5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	300.000	61.16	09/21/20	60.45	...	0.71	1 of 5 - Sale ²⁵
	5,000.000	1,019.37	09/21/20	1,007.50	...	11.87	2 of 5 - Sale ²⁵
	5,000.000	1,019.38	09/21/20	1,007.50	...	11.88	3 of 5 - Sale ²⁵
	11,377.000	2,319.50	09/21/20	2,292.46	...	27.04	4 of 5 - Sale ²⁵
	12,797.000	2,609.01	09/21/20	2,578.60	...	30.41	5 of 5 - Sale ²⁵
09/23/20	34,474.000	7,028.42	Various	6,946.51	...	81.91	Total of 5 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
10/08/20	1,700.000	265.00	10/07/20	264.35	...	0.65	Sale ²⁵
	34 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	100.000	15.54	10/07/20	15.55	0.01 W	0.00	1 of 34 - Sale ²⁵
	180.000	27.97	10/07/20	27.99	0.02 W	0.00	2 of 34 - Sale ²⁵
	220.000	34.18	10/07/20	34.21	0.03 W	0.00	3 of 34 - Sale ²⁵
	1,500.000	233.07	10/07/20	233.25	0.18 W	0.00	4 of 34 - Sale ²⁵
	1,380.000	214.29	10/07/20	214.59	0.30 W	0.00	5 of 34 - Sale ²⁵
	120.000	18.64	10/07/20	18.66	0.02 W	0.00	6 of 34 - Sale ²⁵
	200.000	31.08	10/07/20	31.10	0.02 W	0.00	7 of 34 - Sale ²⁵
	1,000.000	155.38	10/07/20	155.50	0.12 W	0.00	8 of 34 - Sale ²⁵
	600.000	93.17	10/07/20	93.30	0.13 W	0.00	9 of 34 - Sale ²⁵
	300.000	46.58	10/07/20	46.65	0.07 W	0.00	10 of 34 - Sale ²⁵
	200.000	31.08	10/07/20	31.10	0.02 W	0.00	11 of 34 - Sale ²⁵
	300.000	46.61	10/07/20	46.65	0.04 W	0.00	12 of 34 - Sale ²⁵
	180.000	27.95	10/07/20	27.99	0.04 W	0.00	13 of 34 - Sale ²⁵
	120.000	18.64	10/07/20	18.66	0.02 W	0.00	14 of 34 - Sale ²⁵
	100.000	15.53	10/07/20	15.55	0.02 W	0.00	15 of 34 - Sale ²⁵
	200.000	31.00	10/07/20	31.10	0.10 W	0.00	16 of 34 - Sale ²⁵
	39,600.000	6,133.30	10/07/20	6,157.80	24.50 W	0.00	17 of 34 - Sale ²⁵
	100.000	15.49	10/07/20	15.56	0.07 W	0.00	18 of 34 - Sale ²⁵
	100.000	15.49	10/07/20	15.57	0.08 W	0.00	19 of 34 - Sale ²⁵
	120.000	18.59	10/07/20	18.68	0.09 W	0.00	20 of 34 - Sale ²⁵
	120.000	18.59	10/07/20	18.68	0.09 W	0.00	21 of 34 - Sale ²⁵
	180.000	27.88	10/07/20	28.01	0.13 W	0.00	22 of 34 - Sale ²⁵
	180.000	27.88	10/07/20	28.03	0.15 W	0.00	23 of 34 - Sale ²⁵
	200.000	30.98	10/07/20	31.12	0.14 W	0.00	24 of 34 - Sale ²⁵
	200.000	30.98	10/07/20	31.12	0.14 W	0.00	25 of 34 - Sale ²⁵
	200.000	30.98	10/07/20	31.20	0.22 W	0.00	26 of 34 - Sale ²⁵
	220.000	34.07	10/07/20	34.24	0.17 W	0.00	27 of 34 - Sale ²⁵
	300.000	46.46	10/07/20	46.72	0.26 W	0.00	28 of 34 - Sale ²⁵
	300.000	46.46	10/07/20	46.69	0.23 W	0.00	29 of 34 - Sale ²⁵
	600.000	92.93	10/07/20	93.43	0.50 W	0.00	30 of 34 - Sale ²⁵
	1,000.000	154.88	10/07/20	155.62	0.74 W	0.00	31 of 34 - Sale ²⁵
	1,380.000	213.74	10/07/20	214.89	1.15 W	0.00	32 of 34 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	1,500.000	232.32	10/07/20	233.43		0.00	33 of 34 - Sale ²⁵
	5,300.000	820.85	10/07/20	824.15	1.11 W	0.00	34 of 34 - Sale ²⁵
10/08/20	58,300.000	9,032.58	Various	9,066.79	34.21 W	0.00	Total of 34 transactions
	88 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	1.000	0.18	10/26/20	0.15	...	0.03	1 of 88 - Sale ²⁵
	4.000	0.71	10/26/20	0.59	...	0.12	2 of 88 - Sale ²⁵
	7.000	1.23	10/26/20	1.04	...	0.19	3 of 88 - Sale ²⁵
	89.000	15.59	10/26/20	13.23	...	2.36	4 of 88 - Sale ²⁵
	89.000	15.60	10/26/20	13.23	...	2.37	5 of 88 - Sale ²⁵
	100.000	17.51	10/26/20	14.86	...	2.65	6 of 88 - Sale ²⁵
	100.000	17.52	10/26/20	14.86	...	2.66	7 of 88 - Sale ²⁵
	100.000	17.52	10/26/20	14.86	...	2.66	8 of 88 - Sale ²⁵
	100.000	17.52	10/26/20	14.86	...	2.66	9 of 88 - Sale ²⁵
	100.000	17.52	10/26/20	14.86	...	2.66	10 of 88 - Sale ²⁵
	100.000	17.53	10/26/20	14.86	...	2.67	11 of 88 - Sale ²⁵
	100.000	17.62	10/26/20	14.86	...	2.76	12 of 88 - Sale ²⁵
	100.000	17.62	10/26/20	14.86	...	2.76	13 of 88 - Sale ²⁵
	111.000	19.44	10/26/20	16.50	...	2.94	14 of 88 - Sale ²⁵
	112.000	19.71	10/26/20	16.65	...	3.06	15 of 88 - Sale ²⁵
	200.000	35.02	10/26/20	29.72	...	5.30	16 of 88 - Sale ²⁵
	200.000	35.24	10/26/20	29.73	...	5.51	17 of 88 - Sale ²⁵
	200.000	35.24	10/26/20	29.72	...	5.52	18 of 88 - Sale ²⁵
	200.000	35.24	10/26/20	29.72	...	5.52	19 of 88 - Sale ²⁵
	211.000	36.96	10/26/20	31.36	...	5.60	20 of 88 - Sale ²⁵
	289.000	50.63	10/26/20	42.95	...	7.68	21 of 88 - Sale ²⁵
	289.000	50.71	10/26/20	42.95	...	7.76	22 of 88 - Sale ²⁵
	300.000	52.55	10/26/20	44.59	...	7.96	23 of 88 - Sale ²⁵
	400.000	70.03	10/26/20	59.45	...	10.58	24 of 88 - Sale ²⁵
	400.000	70.11	10/26/20	59.45	...	10.66	25 of 88 - Sale ²⁵
	500.000	87.59	10/26/20	74.31	...	13.28	26 of 88 - Sale ²⁵
	500.000	87.59	10/26/20	74.31	...	13.28	27 of 88 - Sale ²⁵
	600.000	105.11	10/26/20	89.17	...	15.94	28 of 88 - Sale ²⁵
	711.000	124.77	10/26/20	105.67	...	19.10	29 of 88 - Sale ²⁵
	811.000	141.99	10/26/20	120.53	...	21.46	30 of 88 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	811.000	142.07	10/26/20	120.53	...	21.54	31 of 88 - Sale ²⁵
	788.000	138.67	10/26/20	117.11	...	21.56	32 of 88 - Sale ²⁵
	811.000	142.15	10/26/20	120.53	...	21.62	33 of 88 - Sale ²⁵
	1,000.000	175.47	10/26/20	148.62	...	26.85	34 of 88 - Sale ²⁵
	1,100.000	192.80	10/26/20	163.48	...	29.32	35 of 88 - Sale ²⁵
	1,200.000	210.21	10/26/20	178.34	...	31.87	36 of 88 - Sale ²⁵
	1,300.000	227.73	10/26/20	193.20	...	34.53	37 of 88 - Sale ²⁵
	1,311.000	230.05	10/26/20	194.84	...	35.21	38 of 88 - Sale ²⁵
	1,389.000	243.46	10/26/20	206.43	...	37.03	39 of 88 - Sale ²⁵
	1,689.000	296.38	10/26/20	251.02	...	45.36	40 of 88 - Sale ²⁵
	1,789.000	313.39	10/26/20	265.88	...	47.51	41 of 88 - Sale ²⁵
	2,111.000	370.01	10/26/20	313.73	...	56.28	42 of 88 - Sale ²⁵
	2.000	0.44	10/28/20	0.42	...	0.02	43 of 88 - Sale ²⁵
	61.000	13.29	10/28/20	12.81	...	0.48	44 of 88 - Sale ²⁵
	100.000	21.79	10/28/20	21.08	...	0.71	45 of 88 - Sale ²⁵
	100.000	21.79	10/28/20	21.07	...	0.72	46 of 88 - Sale ²⁵
	100.000	21.79	10/28/20	21.00	...	0.79	47 of 88 - Sale ²⁵
	120.000	26.14	10/28/20	25.29	...	0.85	48 of 88 - Sale ²⁵
	120.000	26.15	10/28/20	25.29	...	0.86	49 of 88 - Sale ²⁵
	153.000	33.33	10/28/20	32.13	...	1.20	50 of 88 - Sale ²⁵
	180.000	39.22	10/28/20	37.95	...	1.27	51 of 88 - Sale ²⁵
	180.000	39.22	10/28/20	37.93	...	1.29	52 of 88 - Sale ²⁵
	200.000	43.58	10/28/20	42.22	...	1.36	53 of 88 - Sale ²⁵
	200.000	43.57	10/28/20	42.14	...	1.43	54 of 88 - Sale ²⁵
	200.000	43.58	10/28/20	42.14	...	1.44	55 of 88 - Sale ²⁵
	220.000	47.93	10/28/20	46.37	...	1.56	56 of 88 - Sale ²⁵
	200.000	43.58	10/28/20	42.00	...	1.58	57 of 88 - Sale ²⁵
	200.000	43.58	10/28/20	42.00	...	1.58	58 of 88 - Sale ²⁵
	300.000	65.36	10/28/20	63.26	...	2.10	59 of 88 - Sale ²⁵
	300.000	65.36	10/28/20	63.23	...	2.13	60 of 88 - Sale ²⁵
	300.000	65.36	10/28/20	63.00	...	2.36	61 of 88 - Sale ²⁵
	300.000	65.36	10/28/20	63.00	...	2.36	62 of 88 - Sale ²⁵
	300.000	65.36	10/28/20	63.00	...	2.36	63 of 88 - Sale ²⁵
	400.000	87.15	10/28/20	84.00	...	3.15	64 of 88 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	500.000	108.94	10/28/20	105.37	...	3.57	65 of 88 - Sale ²⁵
	500.000	108.94	10/28/20	105.00	...	3.94	66 of 88 - Sale ²⁵
	600.000	130.72	10/28/20	126.50	...	4.22	67 of 88 - Sale ²⁵
	1,000.000	217.88	10/28/20	210.74	...	7.14	68 of 88 - Sale ²⁵
	1,000.000	217.88	10/28/20	210.74	...	7.14	69 of 88 - Sale ²⁵
	1,000.000	217.88	10/28/20	210.00	...	7.88	70 of 88 - Sale ²⁵
	1,059.000	230.73	10/28/20	222.39	...	8.34	71 of 88 - Sale ²⁵
	1,380.000	300.67	10/28/20	290.95	...	9.72	72 of 88 - Sale ²⁵
	1,500.000	326.82	10/28/20	315.93	...	10.89	73 of 88 - Sale ²⁵
	1,500.000	326.82	10/28/20	315.93	...	10.89	74 of 88 - Sale ²⁵
	1,500.000	326.82	10/28/20	315.00	...	11.82	75 of 88 - Sale ²⁵
	2,200.000	479.34	10/28/20	462.00	...	17.34	76 of 88 - Sale ²⁵
	2,200.000	479.34	10/28/20	462.00	...	17.34	77 of 88 - Sale ²⁵
	2,700.000	588.26	10/28/20	567.00	...	21.26	78 of 88 - Sale ²⁵
	3,000.000	653.62	10/28/20	631.86	...	21.76	79 of 88 - Sale ²⁵
	3,000.000	653.62	10/28/20	630.00	...	23.62	80 of 88 - Sale ²⁵
	3,900.000	849.72	10/28/20	819.00	...	30.72	81 of 88 - Sale ²⁵
	5,166.000	1,125.54	10/28/20	1,088.06	...	37.48	82 of 88 - Sale ²⁵
	5,300.000	1,154.74	10/28/20	1,116.30	...	38.44	83 of 88 - Sale ²⁵
	6,111.000	1,331.44	10/28/20	1,287.08	...	44.36	84 of 88 - Sale ²⁵
	6,059.000	1,320.11	10/28/20	1,272.39	...	47.72	85 of 88 - Sale ²⁵
	7,900.000	1,721.22	10/28/20	1,659.00	...	62.22	86 of 88 - Sale ²⁵
	8,689.000	1,893.13	10/28/20	1,824.69	...	68.44	87 of 88 - Sale ²⁵
	18,000.000	3,921.77	10/28/20	3,780.00	...	141.77	88 of 88 - Sale ²⁵
10/28/20	112,323.000	23,522.87	Various	22,236.87	...	1,286.00	Total of 88 transactions
	11 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	325.000	47.41	10/29/20	46.80	...	0.61	1 of 11 - Sale ²⁵
	471.000	68.71	10/29/20	67.82	...	0.89	2 of 11 - Sale ²⁵
	1,637.000	238.82	10/29/20	235.73	...	3.09	3 of 11 - Sale ²⁵
	1,999.000	291.63	10/29/20	287.86	...	3.77	4 of 11 - Sale ²⁵
	3,267.000	476.59	10/29/20	470.45	...	6.14	5 of 11 - Sale ²⁵
	6,309.000	920.39	10/29/20	908.50	...	11.89	6 of 11 - Sale ²⁵
	6,952.000	1,014.13	10/29/20	1,001.09	...	13.04	7 of 11 - Sale ²⁵
	9,200.000	1,342.08	10/29/20	1,324.80	...	17.28	8 of 11 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	10,984.000	1,602.41	10/29/20	1,581.70	...	20.71	9 of 11 - Sale ²⁵
	12,000.000	1,750.53	10/29/20	1,728.00	...	22.53	10 of 11 - Sale ²⁵
	32,456.000	4,734.89	10/29/20	4,673.66	...	61.23	11 of 11 - Sale ²⁵
10/29/20	85,600.000	12,487.59	Various	12,326.41	...	161.18	Total of 11 transactions
	14 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	60.000	8.75	11/19/20	8.70	...	0.05	1 of 14 - Sale ²⁵
	100.000	14.59	11/19/20	14.50	...	0.09	2 of 14 - Sale ²⁵
	100.000	14.59	11/19/20	14.50	...	0.09	3 of 14 - Sale ²⁵
	100.000	14.59	11/19/20	14.50	...	0.09	4 of 14 - Sale ²⁵
	484.000	70.60	11/19/20	70.18	...	0.42	5 of 14 - Sale ²⁵
	1,000.000	145.88	11/19/20	145.00	...	0.88	6 of 14 - Sale ²⁵
	1,400.000	204.23	11/19/20	203.00	...	1.23	7 of 14 - Sale ²⁵
	2,484.000	362.36	11/19/20	360.18	...	2.18	8 of 14 - Sale ²⁵
	2,864.000	417.79	11/19/20	415.28	...	2.51	9 of 14 - Sale ²⁵
	3,008.000	438.79	11/19/20	436.16	...	2.63	10 of 14 - Sale ²⁵
	3,900.000	568.92	11/19/20	565.50	...	3.42	11 of 14 - Sale ²⁵
	5,460.000	796.49	11/19/20	791.70	...	4.79	12 of 14 - Sale ²⁵
	7,684.000	1,120.92	11/19/20	1,114.18	...	6.74	13 of 14 - Sale ²⁵
	13,356.000	1,948.34	11/19/20	1,936.62	...	11.72	14 of 14 - Sale ²⁵
11/19/20	42,000.000	6,126.84	Various	6,090.00	...	36.84	Total of 14 transactions
	18 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	10.000	1.99	11/20/20	2.10	0.11 W	0.00	1 of 18 - Sale ²⁵
	400.000	79.47	11/20/20	84.00	4.53 W	0.00	2 of 18 - Sale ²⁵
	125.000	24.84	11/20/20	26.25	1.41 W	0.00	3 of 18 - Sale ²⁵
	525.000	104.15	11/20/20	110.25	6.10 W	0.00	4 of 18 - Sale ²⁵
	100.000	19.80	11/20/20	21.00	1.20 W	0.00	5 of 18 - Sale ²⁵
	700.000	138.52	11/20/20	147.00	8.48 W	0.00	6 of 18 - Sale ²⁵
	1,140.000	225.58	11/20/20	239.40	13.82 W	0.00	7 of 18 - Sale ²⁵
	12,000.000	2,374.52	11/20/20	2,520.00	145.48 W	0.00	8 of 18 - Sale ²⁵
	10.000	1.98	11/20/20	2.21	0.23 W	0.00	9 of 18 - Sale ²⁵
	100.000	19.79	11/20/20	22.20	2.41 W	0.00	10 of 18 - Sale ²⁵
	125.000	24.73	11/20/20	27.66	2.93 W	0.00	11 of 18 - Sale ²⁵
	400.000	79.15	11/20/20	88.53	9.38 W	0.00	12 of 18 - Sale ²⁵
	525.000	103.89	11/20/20	116.35	12.46 W	0.00	13 of 18 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	700.000	138.51	11/20/20	155.48	16.97 W	0.00	14 of 18 - Sale ²⁵
	1,140.000	225.58	11/20/20	253.22	27.64 W	0.00	15 of 18 - Sale ²⁵
	5,000.000	989.38	11/20/20	1,050.00	60.62 W	0.00	16 of 18 - Sale ²⁵
	1,000.000	197.88	11/20/20	222.13	24.25 W	0.00	17 of 18 - Sale ²⁵
	1,000.000	197.88	11/20/20	222.12	24.24 W	0.00	18 of 18 - Sale ²⁵
11/20/20	25,000.000	4,947.64	Various	5,309.90	362.26 W	0.00	Total of 18 transactions
	31 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	3,114.000	576.33	11/25/20	614.23	...	-37.90	1 of 31 - Sale ²⁵
	1,097.000	203.03	11/25/20	229.67	...	-26.64	2 of 31 - Sale ²⁵
	1,000.000	185.08	11/25/20	209.37	...	-24.29	3 of 31 - Sale ²⁵
	1,000.000	185.07	11/25/20	209.36	...	-24.29	4 of 31 - Sale ²⁵
	525.000	97.16	11/25/20	109.66	...	-12.50	5 of 31 - Sale ²⁵
	460.000	85.13	11/25/20	96.31	...	-11.18	6 of 31 - Sale ²⁵
	905.000	167.50	11/25/20	178.51	...	-11.01	7 of 31 - Sale ²⁵
	900.000	166.66	11/25/20	177.52	...	-10.86	8 of 31 - Sale ²⁵
	900.000	166.75	11/25/20	177.52	...	-10.77	9 of 31 - Sale ²⁵
	800.000	148.06	11/25/20	157.80	...	-9.74	10 of 31 - Sale ²⁵
	800.000	148.14	11/25/20	157.80	...	-9.66	11 of 31 - Sale ²⁵
	744.000	137.69	11/25/20	146.76	...	-9.07	12 of 31 - Sale ²⁵
	707.000	130.85	11/25/20	139.45	...	-8.60	13 of 31 - Sale ²⁵
	695.000	128.63	11/25/20	137.08	...	-8.45	14 of 31 - Sale ²⁵
	700.000	129.63	11/25/20	138.07	...	-8.44	15 of 31 - Sale ²⁵
	600.000	111.11	11/25/20	118.35	...	-7.24	16 of 31 - Sale ²⁵
	290.000	53.67	11/25/20	60.49	...	-6.82	17 of 31 - Sale ²⁵
	519.000	96.11	11/25/20	102.37	...	-6.26	18 of 31 - Sale ²⁵
	240.000	44.42	11/25/20	50.25	...	-5.83	19 of 31 - Sale ²⁵
	287.000	53.12	11/25/20	56.61	...	-3.49	20 of 31 - Sale ²⁵
	281.000	52.03	11/25/20	55.42	...	-3.39	21 of 31 - Sale ²⁵
	125.000	23.14	11/25/20	26.07	...	-2.93	22 of 31 - Sale ²⁵
	110.000	20.36	11/25/20	22.94	...	-2.58	23 of 31 - Sale ²⁵
	200.000	37.04	11/25/20	39.45	...	-2.41	24 of 31 - Sale ²⁵
	100.000	18.51	11/25/20	20.92	...	-2.41	25 of 31 - Sale ²⁵
	148.000	27.39	11/25/20	29.18	...	-1.79	26 of 31 - Sale ²⁵
	43.000	7.96	11/25/20	9.01	...	-1.05	27 of 31 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	81.000	15.00	11/25/20	15.80	...	-0.80	28 of 31 - Sale ²⁵
	24.000	4.44	11/25/20	4.69	...	-0.25	29 of 31 - Sale ²⁵
	10.000	1.85	11/25/20	2.08	...	-0.23	30 of 31 - Sale ²⁵
	9,895.000	1,908.49	11/25/20	1,870.16	...	38.33	31 of 31 - Sale ²⁵
11/25/20	27,300.000	5,130.35	Various	5,362.90	...	-232.55	Total of 31 transactions
	17 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	2,676.000	511.58	11/25/20	527.83	0.49 W	-15.76	1 of 17 - Sale ²⁵
	24.000	4.63	11/25/20	4.83	0.20 W	0.00	2 of 17 - Sale ²⁵
	9,000.000	1,735.89	11/25/20	1,810.11	74.22 W	0.00	3 of 17 - Sale ²⁵
	10.000	1.93	11/25/20	2.12	0.19 W	0.00	4 of 17 - Sale ²⁵
	100.000	19.29	11/25/20	21.31	2.02 W	0.00	5 of 17 - Sale ²⁵
	125.000	24.11	11/25/20	26.56	2.45 W	0.00	6 of 17 - Sale ²⁵
	400.000	77.15	11/25/20	84.98	7.83 W	0.00	7 of 17 - Sale ²⁵
	525.000	101.26	11/25/20	111.69	10.43 W	0.00	8 of 17 - Sale ²⁵
	700.000	135.01	11/25/20	149.27	14.26 W	0.00	9 of 17 - Sale ²⁵
	976.000	188.25	11/25/20	196.29	8.04 W	0.00	10 of 17 - Sale ²⁵
	1,140.000	219.88	11/25/20	243.10	23.22 W	0.00	11 of 17 - Sale ²⁵
	4,108.000	792.34	11/25/20	826.22	33.88 W	0.00	12 of 17 - Sale ²⁵
	744.000	143.50	11/25/20	149.64	6.14 W	0.00	13 of 17 - Sale ²⁵
	148.000	28.55	11/25/20	29.76	1.21 W	0.00	14 of 17 - Sale ²⁵
	1,000.000	192.88	11/25/20	213.25	20.37 W	0.00	15 of 17 - Sale ²⁵
	1,000.000	192.88	11/25/20	213.24	20.36 W	0.00	16 of 17 - Sale ²⁵
	24.000	4.59	11/25/20	4.74	0.15 W	0.00	17 of 17 - Sale ²⁵
11/25/20	22,700.000	4,373.72	Various	4,614.94	225.46 W	-15.76	Total of 17 transactions
	Security total:	86,404.52		85,627.59	621.93 W	1,398.86	
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
	6 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	500.000	519.93	11/16/20	515.00	...	4.93	1 of 6 - Sale ²⁵
	1,475.000	1,533.79	11/16/20	1,519.25	...	14.54	2 of 6 - Sale ²⁵
	2,500.000	2,599.64	11/16/20	2,575.00	...	24.64	3 of 6 - Sale ²⁵
	5,000.000	5,199.29	11/16/20	5,150.00	...	49.29	4 of 6 - Sale ²⁵
	5,525.000	5,745.21	11/16/20	5,690.75	...	54.46	5 of 6 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol: (cont'd)							
11/16/20	10,000.000	10,398.58	11/16/20	10,300.00	...	98.58	6 of 6 - Sale ²⁵
	25,000.000	25,996.44	Various	25,750.00	...	246.44	Total of 6 transactions
VISTAGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 92840H202 / Symbol:							
6 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	400.000	367.94	12/16/20	316.40	...	51.54	1 of 6 - Sale ²⁵
	9,608.000	8,838.02	12/16/20	7,609.54	...	1,228.48	2 of 6 - Sale ²⁵
	25,000.000	23,521.50	12/17/20	23,500.00	...	21.50	3 of 6 - Sale ²⁵
	165.000	184.78	12/17/20	153.45	...	31.33	4 of 6 - Sale ²⁵
	10,008.000	11,207.52	12/17/20	11,108.88	...	98.64	5 of 6 - Sale ²⁵
	1,500.000	1,679.78	12/17/20	1,395.00	...	284.78	6 of 6 - Sale ²⁵
12/17/20	46,681.000	45,799.54	Various	44,083.27	...	1,716.27	Total of 6 transactions
62 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	1.000	1.21	12/18/20	1.20	...	0.01	1 of 62 - Sale ²⁵
	3.000	3.63	12/18/20	3.60	...	0.03	2 of 62 - Sale ²⁵
	1.000	1.36	12/18/20	1.31	...	0.05	3 of 62 - Sale ²⁵
	7.000	7.98	12/18/20	7.91	...	0.07	4 of 62 - Sale ²⁵
	12.000	14.52	12/18/20	14.41	...	0.11	5 of 62 - Sale ²⁵
	8.000	9.72	12/18/20	9.60	...	0.12	6 of 62 - Sale ²⁵
	21.000	25.41	12/18/20	25.20	...	0.21	7 of 62 - Sale ²⁵
	20.000	24.40	12/18/20	24.01	...	0.39	8 of 62 - Sale ²⁵
	20.000	24.40	12/18/20	24.01	...	0.39	9 of 62 - Sale ²⁵
	48.000	58.56	12/18/20	58.09	...	0.47	10 of 62 - Sale ²⁵
	75.000	90.74	12/18/20	90.00	...	0.74	11 of 62 - Sale ²⁵
	79.000	95.58	12/18/20	94.82	...	0.76	12 of 62 - Sale ²⁵
	80.000	96.79	12/18/20	96.00	...	0.79	13 of 62 - Sale ²⁵
	88.000	106.47	12/18/20	105.63	...	0.84	14 of 62 - Sale ²⁵
	100.000	120.99	12/18/20	120.03	...	0.96	15 of 62 - Sale ²⁵
	100.000	120.99	12/18/20	120.03	...	0.96	16 of 62 - Sale ²⁵
	100.000	120.99	12/18/20	120.03	...	0.96	17 of 62 - Sale ²⁵
	100.000	120.99	12/18/20	120.03	...	0.96	18 of 62 - Sale ²⁵
	100.000	120.99	12/18/20	120.03	...	0.96	19 of 62 - Sale ²⁵
	100.000	121.99	12/18/20	121.01	...	0.98	20 of 62 - Sale ²⁵
	100.000	120.99	12/18/20	120.00	...	0.99	21 of 62 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISTAGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 92840H202 / Symbol: (cont'd)							
	100.000	120.99	12/18/20	120.00	...	0.99	22 of 62 - Sale ²⁵
	100.000	120.99	12/18/20	120.00	...	0.99	23 of 62 - Sale ²⁵
	100.000	120.99	12/18/20	120.00	...	0.99	24 of 62 - Sale ²⁵
	100.000	120.99	12/18/20	120.00	...	0.99	25 of 62 - Sale ²⁵
	112.000	135.50	12/18/20	134.43	...	1.07	26 of 62 - Sale ²⁵
	124.000	150.03	12/18/20	148.80	...	1.23	27 of 62 - Sale ²⁵
	80.000	97.59	12/18/20	96.02	...	1.57	28 of 62 - Sale ²⁵
	80.000	97.59	12/18/20	96.02	...	1.57	29 of 62 - Sale ²⁵
	200.000	241.98	12/18/20	240.06	...	1.92	30 of 62 - Sale ²⁵
	200.000	241.98	12/18/20	240.06	...	1.92	31 of 62 - Sale ²⁵
	100.000	121.99	12/18/20	120.03	...	1.96	32 of 62 - Sale ²⁵
	200.000	241.98	12/18/20	240.00	...	1.98	33 of 62 - Sale ²⁵
	200.000	241.98	12/18/20	240.00	...	1.98	34 of 62 - Sale ²⁵
	200.000	241.98	12/18/20	240.00	...	1.98	35 of 62 - Sale ²⁵
	300.000	362.96	12/18/20	360.09	...	2.87	36 of 62 - Sale ²⁵
	300.000	362.96	12/18/20	360.08	...	2.88	37 of 62 - Sale ²⁵
	300.000	362.96	12/18/20	360.00	...	2.96	38 of 62 - Sale ²⁵
	152.000	185.42	12/18/20	182.44	...	2.98	39 of 62 - Sale ²⁵
	303.000	366.58	12/18/20	363.60	...	2.98	40 of 62 - Sale ²⁵
	354.000	428.29	12/18/20	424.80	...	3.49	41 of 62 - Sale ²⁵
	388.000	469.42	12/18/20	465.71	...	3.71	42 of 62 - Sale ²⁵
	200.000	243.98	12/18/20	240.06	...	3.92	43 of 62 - Sale ²⁵
	500.000	604.92	12/18/20	600.14	...	4.78	44 of 62 - Sale ²⁵
	100.000	136.16	12/18/20	131.00	...	5.16	45 of 62 - Sale ²⁵
	900.000	1,097.86	12/18/20	1,089.13	...	8.73	46 of 62 - Sale ²⁵
	1,135.000	1,373.17	12/18/20	1,362.00	...	11.17	47 of 62 - Sale ²⁵
	1,221.000	1,477.23	12/18/20	1,465.20	...	12.03	48 of 62 - Sale ²⁵
	1,477.000	1,713.10	12/18/20	1,698.55	...	14.55	49 of 62 - Sale ²⁵
	399.000	543.26	12/18/20	522.69	...	20.57	50 of 62 - Sale ²⁵
	2,246.000	2,605.03	12/18/20	2,582.90	...	22.13	51 of 62 - Sale ²⁵
	461.000	628.18	12/18/20	603.91	...	24.27	52 of 62 - Sale ²⁵
	539.000	733.88	12/18/20	706.09	...	27.79	53 of 62 - Sale ²⁵
	3,100.000	3,595.55	12/18/20	3,565.00	...	30.55	54 of 62 - Sale ²⁵
	3,600.000	4,175.47	12/18/20	4,140.00	...	35.47	55 of 62 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISTAGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 92840H202 / Symbol: (cont'd)							
	4,193.000	4,863.27	12/18/20	4,821.95	...	41.32	56 of 62 - Sale ²⁵
	4,900.000	5,928.28	12/18/20	5,880.00	...	48.28	57 of 62 - Sale ²⁵
	5,000.000	5,799.27	12/18/20	5,750.00	...	49.27	58 of 62 - Sale ²⁵
	1,000.000	1,361.55	12/18/20	1,310.00	...	51.55	59 of 62 - Sale ²⁵
	1,200.000	1,633.86	12/18/20	1,572.00	...	61.86	60 of 62 - Sale ²⁵
	1,300.000	1,770.01	12/18/20	1,703.00	...	67.01	61 of 62 - Sale ²⁵
	16,661.000	18,991.12	12/18/20	18,826.93	...	164.19	62 of 62 - Sale ²⁵
12/18/20	55,288.000	65,519.00	Various	64,759.64	...	759.36	Total of 62 transactions
	3 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	3,339.000	3,805.97	12/18/20	3,839.85	33.88 W	0.00	1 of 3 - Sale ²⁵
	1,048.000	1,194.57	12/18/20	1,205.20	10.63 W	0.00	2 of 3 - Sale ²⁵
	3,339.000	3,805.98	12/18/20	3,806.95	0.97 W	0.00	3 of 3 - Sale ²⁵
12/18/20	7,726.000	8,806.52	Various	8,852.00	45.48 W	0.00	Total of 3 transactions
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	3,152.000	4,097.12	12/18/20	4,034.56	...	62.56	1 of 2 - Sale ²⁵
	3,850.000	5,004.42	12/18/20	4,928.00	...	76.42	2 of 2 - Sale ²⁵
12/21/20	7,002.000	9,101.54	Various	8,962.56	...	138.98	Total of 2 transactions
	19 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	100.000	141.99	12/21/20	136.00	...	5.99	1 of 19 - Sale ²⁵
	7,172.000	10,183.16	12/21/20	9,753.92	...	429.24	2 of 19 - Sale ²⁵
	8.000	11.92	12/22/20	11.20	...	0.72	3 of 19 - Sale ²⁵
	40.000	59.59	12/22/20	56.00	...	3.59	4 of 19 - Sale ²⁵
	50.000	74.49	12/22/20	70.00	...	4.49	5 of 19 - Sale ²⁵
	100.000	148.99	12/22/20	140.00	...	8.99	6 of 19 - Sale ²⁵
	200.000	297.98	12/22/20	280.00	...	17.98	7 of 19 - Sale ²⁵
	450.000	670.43	12/22/20	630.00	...	40.43	8 of 19 - Sale ²⁵
	500.000	744.92	12/22/20	700.00	...	44.92	9 of 19 - Sale ²⁵
	500.000	744.92	12/22/20	700.00	...	44.92	10 of 19 - Sale ²⁵
	500.000	744.92	12/22/20	700.00	...	44.92	11 of 19 - Sale ²⁵
	550.000	819.41	12/22/20	770.00	...	49.41	12 of 19 - Sale ²⁵
	611.000	910.30	12/22/20	855.40	...	54.90	13 of 19 - Sale ²⁵
	700.000	1,042.89	12/22/20	980.00	...	62.89	14 of 19 - Sale ²⁵
	710.000	1,057.79	12/22/20	994.00	...	63.79	15 of 19 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISTAGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 92840H202 / Symbol: (cont'd)							
	750.000	1,117.39	12/22/20	1,050.00	...	67.39	16 of 19 - Sale ²⁵
	750.000	1,117.39	12/22/20	1,050.00	...	67.39	17 of 19 - Sale ²⁵
	781.000	1,163.57	12/22/20	1,093.40	...	70.17	18 of 19 - Sale ²⁵
	1,500.000	2,234.77	12/22/20	2,100.00	...	134.77	19 of 19 - Sale ²⁵
12/22/20	15,972.000	23,286.82	Various	22,069.92	...	1,216.90	Total of 19 transactions
12/23/20	10.000	14.80	12/22/20	14.80	...	0.00	Sale ²⁵
<i>3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	90.000	136.79	12/22/20	133.20	...	3.59	1 of 3 - Sale ²⁵
	110.000	167.18	12/22/20	162.80	...	4.38	2 of 3 - Sale ²⁵
	690.000	1,048.69	12/22/20	1,021.20	...	27.49	3 of 3 - Sale ²⁵
12/28/20	890.000	1,352.66	Various	1,317.20	...	35.46	Total of 3 transactions
Security total:		153,880.88		150,059.39	45.48 W	3,866.97	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
<i>11 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	92.55	12/24/20	91.60	...	0.95	1 of 11 - Sale ²⁵
	402.000	93.01	12/24/20	92.06	...	0.95	2 of 11 - Sale ²⁵
	846.000	195.75	12/24/20	193.73	...	2.02	3 of 11 - Sale ²⁵
	865.000	200.14	12/24/20	198.08	...	2.06	4 of 11 - Sale ²⁵
	1,000.000	231.38	12/24/20	229.00	...	2.38	5 of 11 - Sale ²⁵
	1,000.000	231.38	12/24/20	229.00	...	2.38	6 of 11 - Sale ²⁵
	1,135.000	262.62	12/24/20	259.91	...	2.71	7 of 11 - Sale ²⁵
	1,500.000	347.07	12/24/20	343.50	...	3.57	8 of 11 - Sale ²⁵
	1,713.000	396.36	12/24/20	392.28	...	4.08	9 of 11 - Sale ²⁵
	2,759.000	638.36	12/24/20	631.81	...	6.55	10 of 11 - Sale ²⁵
	4,373.000	1,011.80	12/24/20	1,001.42	...	10.38	11 of 11 - Sale ²⁵
12/24/20	15,993.000	3,700.42	Various	3,662.39	...	38.03	Total of 11 transactions
REWALK ROBOTICS LTD. ORDINARY SHARES / CUSIP: M8216Q200 / Symbol:							
<i>13 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	4,152.000	5,313.94	12/10/20	5,314.56	...	-0.62	1 of 13 - Sale ²⁵
	3,233.000	4,137.76	12/10/20	4,138.24	...	-0.48	2 of 13 - Sale ²⁵
	700.000	895.90	12/10/20	896.10	...	-0.20	3 of 13 - Sale ²⁵
	600.000	767.91	12/10/20	768.09	...	-0.18	4 of 13 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REWALK ROBOTICS LTD. ORDINARY SHARES / CUSIP: M8216Q200 / Symbol: (cont'd)							
	600.000	767.91	12/10/20	768.09	...	-0.18	5 of 13 - Sale ²⁵
	300.000	383.96	12/10/20	384.04	...	-0.08	6 of 13 - Sale ²⁵
	100.000	127.99	12/10/20	128.01	...	-0.02	7 of 13 - Sale ²⁵
	100.000	127.99	12/10/20	128.01	...	-0.02	8 of 13 - Sale ²⁵
	5.000	6.45	12/10/20	6.40	...	0.05	9 of 13 - Sale ²⁵
	10.000	12.90	12/10/20	12.80	...	0.10	10 of 13 - Sale ²⁵
	100.000	128.99	12/10/20	128.00	...	0.99	11 of 13 - Sale ²⁵
	100.000	128.99	12/10/20	128.00	...	0.99	12 of 13 - Sale ²⁵
	200.000	257.98	12/10/20	256.00	...	1.98	13 of 13 - Sale ²⁵
12/10/20	10,200.000	13,058.67	Various	13,056.34	...	2.33	Total of 13 transactions
	6 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	600.000	767.91	12/10/20	768.00	0.09 W	0.00	1 of 6 - Sale ²⁵
	700.000	895.90	12/10/20	896.00	0.10 W	0.00	2 of 6 - Sale ²⁵
	600.000	767.91	12/10/20	768.00	0.09 W	0.00	3 of 6 - Sale ²⁵
	100.000	127.99	12/10/20	128.00	0.01 W	0.00	4 of 6 - Sale ²⁵
	100.000	127.99	12/10/20	128.00	0.01 W	0.00	5 of 6 - Sale ²⁵
	300.000	383.96	12/10/20	384.00	0.04 W	0.00	6 of 6 - Sale ²⁵
12/10/20	2,400.000	3,071.66	Various	3,072.00	0.34 W	0.00	Total of 6 transactions
	Security total:	16,130.33		16,128.34	0.34 W	2.33	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	934.000	188.18	12/24/20	182.13	...	6.05	1 of 2 - Sale ²⁵
	2,773.000	558.69	12/24/20	540.74	...	17.95	2 of 2 - Sale ²⁵
12/29/20	3,707.000	746.87	Various	722.87	...	24.00	Total of 2 transactions
Totals :		3,227,843.42		3,430,889.00	227,293.79 W	24,248.21	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
10/28/20	145.000	3,368.63	05/23/18	4,379.00	...	-1,010.37	Sale ²⁵
Totals :		3,368.63		4,379.00		-1,010.37	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 132967860

Detail for Dividends and Distributions

2020

03/22/2021 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BANK OF AMERICA CORPORATION	060505104		03/27/20	26.10	Qualified dividend	
			06/26/20	26.10	Qualified dividend	
			09/25/20	26.10	Qualified dividend	
				78.30	Total Dividends & distributions	
				78.30	Total Dividends & distributions	

Robinhood Securities LLC

Account 132967860

Fees and Expenses

2020

03/22/2021 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/14/20	-4.67	Margin interest paid	
		06/15/20	-5.00	Margin interest paid	
		07/13/20	-5.00	Margin interest paid	
		08/12/20	-5.00	Margin interest paid	
		09/11/20	-5.00	Margin interest paid	
		10/12/20	-0.61	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
		11/10/20	-0.67	Margin interest paid	
		11/10/20	-5.00	Margin interest paid	
		12/10/20	-5.00	Margin interest paid	
			-40.95	Total Margin interest paid	
			-40.95	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

MP Marine Petroleum Trust is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s). Dividends reported to you as qualified must also meet the holding period requirements of section 1(h)(11)(B)(iii) of the Internal Revenue Code to taxed as qualified dividends. You may be entitled to a depletion allowance which can be determined by referencing the additional information published by the trustee at www.marps-marine.com. If you can not access the information electronically and do not receive it from the trustee, you may request a hardcopy.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.