

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 129593125	Statement Date: 02/14/2021 Document ID: K511 T50 20VY	2020
	Sai krishna Senapathi kashi visweswra rao 2420 Curie Court Herndon, VA 20171 RECIPIENT'S TIN: XXX-XX-6221		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 3.89</p> <p>1b- Qualified dividends 3.89</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	186,838.70	170,969.42	0.00	3,588.53	19,457.81
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	186,838.70	170,969.42	0.00	3,588.53	19,457.81
Long	D (basis reported to the IRS)	1,096.94	1,978.33	0.00	0.00	-881.39
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	4.10	16.67	0.00	0.00	-12.57
	Total Long-term	1,101.04	1,995.00	0.00	0.00	-893.96
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	187,939.74	172,964.42	0.00	3,588.53	18,563.85

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	71.03
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 01/10/2020 CALL \$49.50 / CUSIP: / Symbol:							
01/06/20	1.000	85.98	01/06/20	85.00	...	0.98	Option sale to close-call ²⁵
AMD 01/31/2020 CALL \$55.00 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/27/20	50.00	...	-50.00	Option expiration- long call
AMD 02/28/2020 CALL \$52.00 / CUSIP: / Symbol:							
02/11/20	2.000	599.97	02/05/20	262.00	...	337.97	Option sale to close-call ²⁵
AMD 02/28/2020 CALL \$57.00 / CUSIP: / Symbol:							
02/18/20	4.000	907.96	02/11/20	396.00	...	511.96	Option sale to close-call ²⁵
02/19/20	1.000	234.98	02/11/20	99.00	...	135.98	Option sale to close-call ²⁵
Security total:		1,142.94		495.00	...	647.94	
AMD 05/22/2020 CALL \$54.00 / CUSIP: / Symbol:							
05/11/20	2.000	469.97	05/05/20	384.00	...	85.97	Option sale to close-call ²⁵

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Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 01/10/2020 CALL \$91.00 / CUSIP: / Symbol:							
2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	2.000	183.98	01/08/20	302.00	...	-118.02	1 of 2 - Option sale to close-call ²⁵
	1.000	91.98	01/08/20	151.00	...	-59.02	2 of 2 - Option sale to close-call ²⁵
01/09/20	3.000	275.96	Various	453.00	...	-177.04	Total of 2 transactions
BYND 01/17/2020 CALL \$104.00 / CUSIP: / Symbol:							
2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	244.98	01/10/20	233.00	...	11.98	1 of 2 - Option sale to close-call ²⁵
	1.000	244.98	01/10/20	233.00	...	11.98	2 of 2 - Option sale to close-call ²⁵
01/10/20	2.000	489.96	Various	466.00	...	23.96	Total of 2 transactions
BYND 01/17/2020 CALL \$99.00 / CUSIP: / Symbol:							
01/10/20	2.000	629.97	01/10/20	498.00	...	131.97	Option sale to close-call ²⁵
FSR 12/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
12/16/20	1.000	57.98	12/15/20	35.00	...	22.98	Option sale to close-call ²⁵
FSR 12/18/2020 CALL \$15.50 / CUSIP: / Symbol:							
12/14/20	1.000	64.98	12/11/20	55.00	...	9.98	Option sale to close-call ²⁵
INTC 01/31/2020 CALL \$68.50 / CUSIP: / Symbol:							
01/24/20	2.000	213.98	01/24/20	174.00	...	39.98	Option sale to close-call ²⁵
MSFT 02/07/2020 CALL \$170.00 / CUSIP: / Symbol:							
01/29/20	1.000	229.98	01/27/20	129.00	...	100.98	Option sale to close-call ²⁵
MSFT 02/28/2020 CALL \$187.50 / CUSIP: / Symbol:							
02/06/20	1.000	187.98	02/04/20	58.00	...	129.98	Option sale to close-call ²⁵
MSFT 02/28/2020 CALL \$190.00 / CUSIP: / Symbol:							
02/06/20	2.000	289.98	02/04/20	124.00	...	165.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 02/28/2020 CALL \$195.00 / CUSIP: / Symbol:							
2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	1.000	163.99	02/06/20	97.00	...	66.99	1 of 2 - Option sale to close-call ²⁵
	2.000	327.98	02/06/20	194.00	...	133.98	2 of 2 - Option sale to close-call ²⁵
02/10/20	3.000	491.97	Various	291.00	...	200.97	Total of 2 transactions
MSFT 03/06/2020 CALL \$197.50 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	02/19/20	83.00	...	-83.00	Option expiration- long call
MSFT 05/15/2020 CALL \$195.00 / CUSIP: / Symbol:							
05/15/20	10.000	0.00	04/30/20	520.00	...	-520.00	Option expiration- long call
NIO 01/03/2020 CALL \$4.00 / CUSIP: / Symbol:							
01/03/20	1.000	0.00	12/31/19	53.00	...	-53.00	Option expiration- long call
NIO 11/20/2020 CALL \$46.50 / CUSIP: / Symbol:							
11/17/20	1.000	514.97	11/13/20	445.00	...	69.97	Option sale to close-call ²⁵
11/18/20	1.000	189.98	11/18/20	194.00	4.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	249.98	11/18/20	194.02	...	55.96	1 of 2 - Option sale to close-call ²⁵
	1.000	256.98	11/18/20	140.00	...	116.98	2 of 2 - Option sale to close-call ²⁵
11/19/20	2.000	506.96	Various	334.02	...	172.94	Total of 2 transactions
11/20/20	1.000	243.98	11/18/20	103.00	...	140.98	Option sale to close-call ²⁵
	Security total:	1,455.89		1,076.02	4.02 W	383.89	
NIO 11/27/2020 CALL \$54.00 / CUSIP: / Symbol:							
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	304.98	11/23/20	165.00	...	139.98	1 of 2 - Option sale to close-call ²⁵
	1.000	329.98	11/23/20	115.00	...	214.98	2 of 2 - Option sale to close-call ²⁵
11/23/20	2.000	634.96	Various	280.00	...	354.96	Total of 2 transactions
NIO 11/27/2020 CALL \$56.00 / CUSIP: / Symbol:							
9 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/24/20	115.00	...	-115.00	1 of 9 - Option expiration- long call
	1.000	0.00	11/24/20	110.00	...	-110.00	2 of 9 - Option expiration- long call
	1.000	0.00	11/24/20	105.00	...	-105.00	3 of 9 - Option expiration- long call

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 11/27/2020 CALL \$56.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	11/24/20	90.00	...	-90.00	4 of 9 - Option expiration- long call
	1.000	0.00	11/25/20	45.00	...	-45.00	5 of 9 - Option expiration- long call
	1.000	0.00	11/25/20	39.00	...	-39.00	6 of 9 - Option expiration- long call
	1.000	0.00	11/25/20	36.00	...	-36.00	7 of 9 - Option expiration- long call
	1.000	0.00	11/25/20	34.00	...	-34.00	8 of 9 - Option expiration- long call
	1.000	0.00	11/25/20	32.00	...	-32.00	9 of 9 - Option expiration- long call
11/27/20	9.000	0.00	Various	606.00	...	-606.00	Total of 9 transactions
NIO 12/04/2020 CALL \$52.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	12/01/20	105.00	...	-105.00	Option expiration- long call
NIO 12/04/2020 CALL \$55.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/30/20	190.00	...	-190.00	Option expiration- long call
NIO 12/11/2020 CALL \$48.00 / CUSIP: / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/08/20	168.00	...	-168.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/09/20	43.00	...	-43.00	2 of 2 - Option expiration- long call
12/11/20	2.000	0.00	Various	211.00	...	-211.00	Total of 2 transactions
NIO 12/24/2020 CALL \$47.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/21/20	140.00	...	-140.00	Option expiration- long call
NOK 03/13/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	02/07/20	40.00	...	-40.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/07/20	10.00	...	-10.00	2 of 2 - Option expiration- long call
03/13/20	5.000	0.00	Various	50.00	...	-50.00	Total of 2 transactions
OSTK 01/10/2020 CALL \$8.00 / CUSIP: / Symbol:							
01/10/20	2.000	29.98	01/06/20	90.00	...	-60.02	Option sale to close-call ²⁵
OSTK 01/24/2020 CALL \$9.00 / CUSIP: / Symbol:							
01/24/20	1.000	0.00	01/15/20	55.00	...	-55.00	Option expiration- long call

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2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OSTK 11/13/2020 CALL \$55.00 / CUSIP: / Symbol:							
11/13/20	1.000	69.98	11/11/20	70.00	...	-0.02	Option sale to close-call ²⁵
OSTK 11/20/2020 CALL \$54.50 / CUSIP: / Symbol:							
11/17/20	1.000	239.98	11/16/20	130.00	...	109.98	Option sale to close-call ²⁵
OSTK 12/31/2020 CALL \$55.50 / CUSIP: / Symbol:							
12/30/20	1.000	14.98	12/28/20	165.00	...	-150.02	Option sale to close-call ²⁵
PLTR 12/04/2020 CALL \$33.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/27/20	275.00	...	-275.00	Option expiration- long call
PLUG 02/28/2020 CALL \$6.50 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/20/20	57.00	...	-57.00	Option expiration- long call
SDC 01/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
01/08/20	2.000	39.98	01/06/20	30.00	...	9.98	Option sale to close-call ²⁵
SDC 01/24/2020 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	01/16/20	150.00	...	-150.00	1 of 3 - Option expiration- long call
	1.000	0.00	01/16/20	40.00	...	-40.00	2 of 3 - Option expiration- long call
	1.000	0.00	01/17/20	20.00	...	-20.00	3 of 3 - Option expiration- long call
01/24/20	4.000	0.00	Various	210.00	...	-210.00	Total of 3 transactions
SPCE 02/21/2020 CALL \$41.00 / CUSIP: / Symbol:							
3 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/20/20	360.00	...	-360.00	1 of 3 - Option expiration- long call
	1.000	0.00	02/20/20	165.00	...	-165.00	2 of 3 - Option expiration- long call
	1.000	0.00	02/20/20	70.00	...	-70.00	3 of 3 - Option expiration- long call
02/21/20	3.000	0.00	Various	595.00	...	-595.00	Total of 3 transactions
TMUS 03/20/2020 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/19/20	155.00	...	-155.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/20/20	100.00	...	-100.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	255.00	...	-255.00	Total of 2 transactions

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WKHS 12/24/2020 CALL \$22.50 / CUSIP: / Symbol:							
12/22/20	1.000	44.98	12/18/20	35.00	...	9.98	Option sale to close-call ²⁵
ZNGA 01/17/2020 CALL \$7.00 / CUSIP: / Symbol:							
01/17/20	2.000	0.00	07/17/19	76.00	...	-76.00	Option expiration- long call
ZNGA 07/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	57.99	05/29/20	26.00	...	31.99	1 of 2 - Option sale to close-call ²⁵
	3.000	173.99	05/29/20	78.00	...	95.99	2 of 2 - Option sale to close-call ²⁵
06/02/20	4.000	231.98	Various	104.00	...	127.98	Total of 2 transactions
ZNGA 07/17/2020 CALL \$11.00 / CUSIP: / Symbol:							
06/01/20	2.000	75.98	05/29/20	22.00	...	53.98	Option sale to close-call ²⁵
ZNGA 07/17/2020 CALL \$9.00 / CUSIP: / Symbol:							
07/17/20	1.000	42.98	05/29/20	56.00	...	-13.02	Option sale to close-call ²⁵
ASE TECHNOLOGY HOLDING CO., LT D. / CUSIP: 00215W100 / Symbol:							
4 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	50.000	248.50	12/19/19	278.00	...	-29.50	1 of 4 - Sale ²⁵
	10.000	49.70	01/29/20	49.20	...	0.50	2 of 4 - Sale ²⁵
	10.000	49.70	02/20/20	49.50	...	0.20	3 of 4 - Sale ²⁵
	30.000	149.09	05/27/20	119.96	...	29.13	4 of 4 - Sale ²⁵
07/27/20	100.000	496.99	Various	496.66	...	0.33	Total of 4 transactions
ADESTO TECHNOLOGIES CORPORATIO N COMMON STOCK / CUSIP: 00687D101 / Symbol:							
3 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	10.000	84.20	12/19/19	73.80	...	10.40	1 of 3 - Sale ²⁵
	40.000	336.79	12/19/19	295.20	...	41.59	2 of 3 - Sale ²⁵
	50.000	420.98	12/19/19	369.00	...	51.98	3 of 3 - Sale ²⁵
01/08/20	100.000	841.97	Various	738.00	...	103.97	Total of 3 transactions
8 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	3.000	36.90	01/29/20	24.42	...	12.48	1 of 8 - Sale ²⁵
	7.000	86.10	01/29/20	56.98	...	29.12	2 of 8 - Sale ²⁵
	10.000	123.00	01/29/20	81.20	...	41.80	3 of 8 - Sale ²⁵

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(continued)

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ADESTO TECHNOLOGIES CORPORATIO N COMMON STOCK / CUSIP: 00687D101 / Symbol: (cont'd)							
	10.000	122.99	01/29/20	80.50	...	42.49	4 of 8 - Sale ²⁵
	10.000	123.00	01/29/20	80.50	...	42.50	5 of 8 - Sale ²⁵
	10.000	122.99	01/30/20	78.90	...	44.09	6 of 8 - Sale ²⁵
	10.000	123.00	01/30/20	75.00	...	48.00	7 of 8 - Sale ²⁵
	10.000	122.99	01/31/20	71.00	...	51.99	8 of 8 - Sale ²⁵
02/27/20	70.000	860.97	Various	548.50	...	312.47	Total of 8 transactions
	Security total:	1,702.94		1,286.50	...	416.44	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
2 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	6.000	295.25	12/26/19	282.84	...	12.41	1 of 2 - Sale ²⁵
	14.000	688.92	12/26/19	659.96	...	28.96	2 of 2 - Sale ²⁵
01/02/20	20.000	984.17	Various	942.80	...	41.37	Total of 2 transactions
6 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	5.000	289.99	01/27/20	245.73	...	44.26	1 of 6 - Sale ²⁵
	1.000	58.00	01/29/20	46.40	...	11.60	2 of 6 - Sale ²⁵
	4.000	231.99	01/31/20	190.12	...	41.87	3 of 6 - Sale ²⁵
	1.000	58.00	02/06/20	47.96	...	10.04	4 of 6 - Sale ²⁵
	4.000	232.00	02/10/20	197.04	...	34.96	5 of 6 - Sale ²⁵
	5.000	289.99	02/11/20	267.59	...	22.40	6 of 6 - Sale ²⁵
02/20/20	20.000	1,159.97	Various	994.84	...	165.13	Total of 6 transactions
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	5.000	266.49	05/27/20	255.55	...	10.94	1 of 3 - Sale ²⁵
	5.000	266.49	05/27/20	254.00	...	12.49	2 of 3 - Sale ²⁵
	40.000	2,131.96	05/27/20	2,031.20	...	100.76	3 of 3 - Sale ²⁵
05/28/20	50.000	2,664.94	Various	2,540.75	...	124.19	Total of 3 transactions
06/30/20	10.000	523.98	06/29/20	488.40	...	35.58	Sale ²⁵
	Security total:	5,333.06		4,966.79	...	366.27	

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AIR TRANSPORT SERVICES GROUP, INC / CUSIP: 00922R105 / Symbol:							
2 transactions for 01/06/20. Total proceeds and cost reported to the IRS.							
	1.000	23.61	12/24/19	23.50	...	0.11	1 of 2 - Sale ²⁵
	1.000	23.61	12/24/19	23.50	...	0.11	2 of 2 - Sale ²⁵
01/06/20	2.000	47.22	Various	47.00	...	0.22	Total of 2 transactions
AKERNA CORP. COMMON STOCK / CUSIP: 00973W102 / Symbol:							
7 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	3.96	11/24/20	3.50	...	0.46	1 of 7 - Sale ²⁵
	4.000	15.72	11/24/20	13.76	...	1.96	2 of 7 - Sale ²⁵
	10.000	39.30	11/24/20	34.40	...	4.90	3 of 7 - Sale ²⁵
	24.000	95.04	11/24/20	83.94	...	11.10	4 of 7 - Sale ²⁵
	25.000	99.00	11/24/20	87.45	...	11.55	5 of 7 - Sale ²⁵
	36.000	141.48	11/24/20	123.84	...	17.64	6 of 7 - Sale ²⁵
	50.000	195.00	11/24/20	172.89	...	22.11	7 of 7 - Sale ²⁵
11/27/20	150.000	589.50	Various	519.78	...	69.72	Total of 7 transactions
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	50.000	187.50	11/24/20	192.00	4.50 W	0.00	1 of 2 - Sale ²⁵
	50.000	192.50	11/24/20	194.50	2.00 W	0.00	2 of 2 - Sale ²⁵
11/27/20	100.000	380.00	Various	386.50	6.50 W	0.00	Total of 2 transactions
	Security total:	969.50		906.28	6.50 W	69.72	
AMERI HOLDINGS, INC. COMMON STOCK / CUSIP: 02362F302 / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	90.000	162.89	12/24/20	149.40	...	13.49	1 of 2 - Sale ²⁵
	110.000	199.09	12/24/20	182.60	...	16.49	2 of 2 - Sale ²⁵
12/28/20	200.000	361.98	Various	332.00	...	29.98	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
10/19/20	1.000	119.25	09/02/20	133.00	0.21 W	-13.54	Sale ²⁵
10/21/20	1.000	118.23	09/02/20	131.00	...	-12.77	Sale ²⁵
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	119.56	09/03/20	127.50	...	-7.94	1 of 2 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	119.56	09/03/20	125.97	...	-6.41	2 of 2 - Sale ²⁵
11/05/20	2.000	239.12	Various	253.47	...	-14.35	Total of 2 transactions
	5 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	1.000	119.26	09/03/20	123.76	...	-4.50	1 of 5 - Sale ²⁵
	1.000	119.35	09/03/20	120.72	...	-1.37	2 of 5 - Sale ²⁵
	1.000	119.35	09/04/20	114.00	...	5.35	3 of 5 - Sale ²⁵
	1.000	119.40	09/08/20	113.85	...	5.55	4 of 5 - Sale ²⁵
	1.000	119.50	09/08/20	113.00	...	6.50	5 of 5 - Sale ²⁵
11/11/20	5.000	596.86	Various	585.33	...	11.53	Total of 5 transactions
	5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	1.000	118.50	09/10/20	113.70	...	4.80	1 of 5 - Sale ²⁵
	1.000	119.10	09/10/20	112.60	...	6.50	2 of 5 - Sale ²⁵
	1.000	119.06	09/16/20	112.25	...	6.81	3 of 5 - Sale ²⁵
	1.000	119.16	09/18/20	108.68	...	10.48	4 of 5 - Sale ²⁵
	0.015	1.85	11/13/20	2.06	...	-0.21	5 of 5 - Sale ²⁵
11/30/20	4.015	477.67	Various	449.29	...	28.38	Total of 5 transactions
	Security total:	1,551.13		1,552.09	0.21 W	-0.75	
APREA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 03836J102 / Symbol:							
12/28/20	50.000	276.00	12/28/20	283.50	...	-7.50	Sale ²⁵
ARBUTUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol:							
	2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	5.000	15.70	10/02/20	14.80	...	0.90	1 of 2 - Sale ²⁵
	95.000	298.29	10/02/20	281.20	...	17.09	2 of 2 - Sale ²⁵
10/05/20	100.000	313.99	Various	296.00	...	17.99	Total of 2 transactions
BANCO SANTANDER S.A. / CUSIP: 05964H105 / Symbol:							
01/07/20	10.000	41.60	12/24/19	41.25	...	0.35	Sale ²⁵

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BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
<i>2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	443.17	12/23/20	390.00	...	53.17	1 of 2 - Sale ²⁵
	1,000.000	443.17	12/23/20	380.00	...	63.17	2 of 2 - Sale ²⁵
12/28/20	2,000.000	886.34	Various	770.00	...	116.34	Total of 2 transactions
B2GOLD CORP. / CUSIP: 11777Q209 / Symbol:							
01/06/20	20.000	80.00	12/24/19	76.50	...	3.50	Sale ²⁵
CHANGE HEALTHCARE INC. COMMON STOCK / CUSIP: 15912K100 / Symbol:							
<i>2 transactions for 01/07/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	31.66	12/24/19	31.26	...	0.40	1 of 2 - Sale ²⁵
	3.000	47.49	12/24/19	46.92	...	0.57	2 of 2 - Sale ²⁵
01/07/20	5.000	79.15	Various	78.18	...	0.97	Total of 2 transactions
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
<i>3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	50.70	10/01/20	48.50	...	2.20	1 of 3 - Sale ²⁵
	10.000	50.70	10/01/20	48.40	...	2.30	2 of 3 - Sale ²⁵
	10.000	50.70	10/02/20	47.58	...	3.12	3 of 3 - Sale ²⁵
10/08/20	30.000	152.10	Various	144.48	...	7.62	Total of 3 transactions
CHINA AUTOMOTIVE SYSTEMS, INC. COMMON STOCK / CUSIP: 16936R105 / Symbol:							
<i>2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	87.00	11/30/20	72.00	...	15.00	1 of 2 - Sale ²⁵
	10.000	89.00	11/30/20	68.50	...	20.50	2 of 2 - Sale ²⁵
11/30/20	20.000	176.00	Various	140.50	...	35.50	Total of 2 transactions
CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol:							
<i>12 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	151.80	05/28/20	150.80	...	1.00	1 of 12 - Sale ²⁵
	10.000	151.80	05/28/20	150.09	...	1.71	2 of 12 - Sale ²⁵
	10.000	151.80	05/28/20	149.50	...	2.30	3 of 12 - Sale ²⁵
	10.000	151.80	05/28/20	148.70	...	3.10	4 of 12 - Sale ²⁵
	10.000	151.80	05/28/20	145.40	...	6.40	5 of 12 - Sale ²⁵

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CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol: (cont'd)							
	10.000	151.80	05/29/20	140.00	...	11.80	6 of 12 - Sale ²⁵
	20.000	303.59	05/29/20	277.00	...	26.59	7 of 12 - Sale ²⁵
	20.000	303.59	05/29/20	273.32	...	30.27	8 of 12 - Sale ²⁵
	50.000	758.98	05/29/20	662.00	...	96.98	9 of 12 - Sale ²⁵
	50.000	758.99	05/29/20	661.81	...	97.18	10 of 12 - Sale ²⁵
	10.000	151.80	06/01/20	129.30	...	22.50	11 of 12 - Sale ²⁵
	50.000	758.98	06/01/20	641.00	...	117.98	12 of 12 - Sale ²⁵
06/05/20	260.000	3,946.73	Various	3,528.92	...	417.81	Total of 12 transactions
	31 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	10.000	110.80	06/05/20	139.30	...	-28.50	1 of 31 - Sale ²⁵
	10.000	110.80	06/05/20	138.20	...	-27.40	2 of 31 - Sale ²⁵
	10.000	110.80	06/05/20	138.20	...	-27.40	3 of 31 - Sale ²⁵
	10.000	110.80	06/05/20	138.10	...	-27.30	4 of 31 - Sale ²⁵
	10.000	110.80	06/05/20	138.00	...	-27.20	5 of 31 - Sale ²⁵
	10.000	110.80	06/05/20	137.88	...	-27.08	6 of 31 - Sale ²⁵
	10.000	110.80	06/05/20	137.29	...	-26.49	7 of 31 - Sale ²⁵
	10.000	110.80	06/05/20	135.60	...	-24.80	8 of 31 - Sale ²⁵
	10.000	110.79	06/05/20	134.70	...	-23.91	9 of 31 - Sale ²⁵
	10.000	110.80	06/05/20	133.89	...	-23.09	10 of 31 - Sale ²⁵
	10.000	110.79	06/05/20	133.80	...	-23.01	11 of 31 - Sale ²⁵
	1.000	11.08	06/05/20	13.65	...	-2.57	12 of 31 - Sale ²⁵
	20.000	221.59	06/12/20	254.00	...	-32.41	13 of 31 - Sale ²⁵
	20.000	221.59	06/12/20	253.80	...	-32.21	14 of 31 - Sale ²⁵
	20.000	221.59	06/12/20	246.20	...	-24.61	15 of 31 - Sale ²⁵
	10.000	110.80	06/12/20	120.70	...	-9.90	16 of 31 - Sale ²⁵
	10.000	110.79	06/16/20	101.50	...	9.29	17 of 31 - Sale ²⁵
	10.000	110.80	06/16/20	101.50	...	9.30	18 of 31 - Sale ²⁵
	10.000	110.79	06/16/20	100.80	...	9.99	19 of 31 - Sale ²⁵
	20.000	221.59	06/16/20	197.20	...	24.39	20 of 31 - Sale ²⁵
	10.000	110.80	06/18/20	98.80	...	12.00	21 of 31 - Sale ²⁵
	10.000	110.79	06/18/20	98.40	...	12.39	22 of 31 - Sale ²⁵
	10.000	110.80	06/18/20	98.20	...	12.60	23 of 31 - Sale ²⁵
	9.000	99.72	06/23/20	88.11	...	11.61	24 of 31 - Sale ²⁵
	10.000	110.79	06/23/20	99.10	...	11.69	25 of 31 - Sale ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol: (cont'd)							
	10.000	110.80	06/23/20	99.00	...	11.80	26 of 31 - Sale ²⁵
	10.000	110.79	06/23/20	98.50	...	12.29	27 of 31 - Sale ²⁵
	10.000	110.79	06/23/20	97.60	...	13.19	28 of 31 - Sale ²⁵
	10.000	110.80	06/23/20	96.40	...	14.40	29 of 31 - Sale ²⁵
	10.000	110.79	06/23/20	95.38	...	15.41	30 of 31 - Sale ²⁵
	10.000	110.80	06/23/20	95.20	...	15.60	31 of 31 - Sale ²⁵
07/06/20	340.000	3,767.07	Various	3,959.00	...	-191.93	Total of 31 transactions
	Security total:	7,713.80		7,487.92	...	225.88	
DIREXION DAILY SMALL CAP BULL 3X SHARES / CUSIP: 25459W847 / Symbol:							
05/28/20	100.000	2,929.92	05/27/20	2,716.99	...	212.93	Sale ²⁵
DIREXION DAILY S&P 500 BEAR 3X SHARES / CUSIP: 25460E885 / Symbol:							
06/26/20	100.000	849.97	06/24/20	820.74	...	29.23	Sale ²⁵
	2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	50.000	292.99	07/30/20	325.50	32.51 W	0.00	1 of 2 - Sale ²⁵
	50.000	292.98	08/03/20	318.92	25.94 W	0.00	2 of 2 - Sale ²⁵
09/04/20	100.000	585.97	Various	644.42	58.45 W	0.00	Total of 2 transactions
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	50.000	302.99	08/07/20	335.51	...	-32.52	1 of 2 - Sale ²⁵
	50.000	302.98	08/07/20	328.94	...	-25.96	2 of 2 - Sale ²⁵
09/11/20	100.000	605.97	Various	664.45	...	-58.48	Total of 2 transactions
	Security total:	2,041.91		2,129.61	58.45 W	-29.25	
DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
	4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	10.000	63.00	11/23/20	33.88	...	29.12	1 of 4 - Sale ²⁵
	10.000	63.50	11/23/20	33.89	...	29.61	2 of 4 - Sale ²⁵
	10.000	78.00	11/23/20	33.89	...	44.11	3 of 4 - Sale ²⁵
	10.000	79.12	11/23/20	33.89	...	45.23	4 of 4 - Sale ²⁵
11/24/20	40.000	283.62	Various	135.55	...	148.07	Total of 4 transactions
	6 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	10.000	54.51	11/23/20	36.50	...	18.01	1 of 6 - Sale ²⁵
	10.000	54.70	11/23/20	36.50	...	18.20	2 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol: (cont'd)							
	10.000	55.20	11/23/20	36.50	...	18.70	3 of 6 - Sale ²⁵
	10.000	55.80	11/23/20	36.50	...	19.30	4 of 6 - Sale ²⁵
	10.000	55.90	11/23/20	36.50	...	19.40	5 of 6 - Sale ²⁵
	10.000	54.50	11/23/20	33.88	...	20.62	6 of 6 - Sale ²⁵
11/25/20	60.000	330.61	Various	216.38	...	114.23	Total of 6 transactions
	Security total:	614.23		351.93	...	262.30	
EYEGATE PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233M503 / Symbol:							
5 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	21.000	126.42	12/21/20	128.52	...	-2.10	1 of 5 - Sale ²⁵
	4.000	24.08	12/21/20	24.80	...	-0.72	2 of 5 - Sale ²⁵
	6.000	36.12	12/21/20	36.60	...	-0.48	3 of 5 - Sale ²⁵
	10.000	60.19	12/21/20	51.60	...	8.59	4 of 5 - Sale ²⁵
	10.000	60.20	12/21/20	51.40	...	8.80	5 of 5 - Sale ²⁵
12/23/20	51.000	307.01	Various	292.92	...	14.09	Total of 5 transactions
12/23/20	4.000	24.08	12/21/20	24.48	0.40 W	0.00	Sale ²⁵
	Security total:	331.09		317.40	0.40 W	14.09	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	50.000	769.48	07/13/20	842.50	...	-73.02	1 of 2 - Sale ²⁵
	25.000	379.99	07/13/20	421.25	...	-41.26	2 of 2 - Sale ²⁵
11/12/20	75.000	1,149.47	Various	1,263.75	...	-114.28	Total of 2 transactions
11/12/20	75.000	1,139.96	07/10/20	1,254.00	106.44 W	-7.60	Sale ²⁵
4 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	49.000	825.63	07/13/20	835.94	...	-10.31	1 of 4 - Sale ²⁵
	25.000	417.49	07/13/20	426.50	...	-9.01	2 of 4 - Sale ²⁵
	25.000	417.49	07/13/20	421.25	...	-3.76	3 of 4 - Sale ²⁵
	1.000	16.85	07/13/20	17.06	...	-0.21	4 of 4 - Sale ²⁵
11/13/20	100.000	1,677.46	Various	1,700.75	...	-23.29	Total of 4 transactions

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FISKER INC. / CUSIP: 33813J106 / Symbol: (cont'd)							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	25.000	436.24	07/13/20	426.50	...	9.74	1 of 2 - Sale ²⁵
	25.000	436.24	07/13/20	417.50	...	18.74	2 of 2 - Sale ²⁵
11/25/20	50.000	872.48	Various	844.00	...	28.48	Total of 2 transactions
12/17/20	35.000	565.23	07/13/20	584.50	...	-19.27	Sale ²⁵
12/28/20	21.000	342.41	07/13/20	350.70	...	-8.29	Sale ²⁵
	Security total:	5,747.01		5,997.70	106.44 W	-144.25	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	48.000	131.04	05/28/20	119.33	...	11.71	1 of 4 - Sale ²⁵
	100.000	272.99	05/28/20	248.61	...	24.38	2 of 4 - Sale ²⁵
	352.000	960.96	05/28/20	875.11	...	85.85	3 of 4 - Sale ²⁵
	500.000	1,364.90	05/28/20	1,243.05	...	121.85	4 of 4 - Sale ²⁵
05/28/20	1,000.000	2,729.89	Various	2,486.10	...	243.79	Total of 4 transactions
7 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	30.000	76.79	05/28/20	57.00	...	19.79	1 of 7 - Sale ²⁵
	70.000	178.49	05/28/20	133.00	...	45.49	2 of 7 - Sale ²⁵
	107.000	272.83	05/28/20	203.30	...	69.53	3 of 7 - Sale ²⁵
	130.000	331.48	05/28/20	247.00	...	84.48	4 of 7 - Sale ²⁵
	170.000	435.17	05/28/20	323.00	...	112.17	5 of 7 - Sale ²⁵
	200.000	509.96	05/28/20	380.00	...	129.96	6 of 7 - Sale ²⁵
	293.000	747.09	05/28/20	556.70	...	190.39	7 of 7 - Sale ²⁵
06/01/20	1,000.000	2,551.81	Various	1,900.00	...	651.81	Total of 7 transactions
4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	100.000	497.98	06/02/20	315.00	...	182.98	1 of 4 - Sale ²⁵
	300.000	1,493.92	06/02/20	945.00	...	548.92	2 of 4 - Sale ²⁵
	600.000	2,987.86	06/02/20	1,889.88	...	1,097.98	3 of 4 - Sale ²⁵
	1,500.000	11,579.56	06/03/20	11,474.85	...	104.71	4 of 4 - Sale ²⁵
06/03/20	2,500.000	16,559.32	Various	14,624.73	...	1,934.59	Total of 4 transactions
12 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	100.000	378.98	06/15/20	557.02	...	-178.04	1 of 12 - Sale ²⁵
	188.000	712.48	06/15/20	879.84	...	-167.36	2 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	10.000	37.90	06/15/20	55.70	...	-17.80	3 of 12 - Sale ²⁵
	92.000	348.66	06/16/20	445.30	...	-96.64	4 of 12 - Sale ²⁵
	8.000	30.32	06/16/20	31.60	...	-1.28	5 of 12 - Sale ²⁵
	100.000	378.98	06/17/20	310.00	...	68.98	6 of 12 - Sale ²⁵
	100.000	378.98	06/18/20	296.00	...	82.98	7 of 12 - Sale ²⁵
	100.000	378.98	06/18/20	280.00	...	98.98	8 of 12 - Sale ²⁵
	100.000	378.98	06/22/20	266.00	...	112.98	9 of 12 - Sale ²⁵
	100.000	378.98	06/22/20	257.00	...	121.98	10 of 12 - Sale ²⁵
	100.000	378.97	06/29/20	234.00	...	144.97	11 of 12 - Sale ²⁵
	100.000	378.98	07/01/20	226.00	...	152.98	12 of 12 - Sale ²⁵
07/06/20	1,098.000	4,161.19	Various	3,838.46	...	322.73	Total of 12 transactions
	3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	100.000	378.98	06/15/20	468.00	89.02 W	0.00	1 of 3 - Sale ²⁵
	10.000	37.90	06/15/20	46.80	8.90 W	0.00	2 of 3 - Sale ²⁵
	92.000	348.66	06/15/20	430.56	81.90 W	0.00	3 of 3 - Sale ²⁵
07/06/20	202.000	765.54	Various	945.36	179.82 W	0.00	Total of 3 transactions
	Security total:	26,767.75		23,794.65	179.82 W	3,152.92	
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol:							
11/25/20	100.000	91.98	08/26/20	113.00	...	-21.02	Sale ²⁵
	5 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	105.000	95.54	06/15/20	190.05	94.51 W	0.00	1 of 5 - Sale ²⁵
	395.000	359.40	06/15/20	714.95	355.55 W	0.00	2 of 5 - Sale ²⁵
	200.000	183.98	08/11/20	293.00	109.02 W	0.00	3 of 5 - Sale ²⁵
	100.000	91.99	08/26/20	113.00	21.01 W	0.00	4 of 5 - Sale ²⁵
	100.000	91.99	08/26/20	113.00	21.01 W	0.00	5 of 5 - Sale ²⁵
11/25/20	900.000	822.90	Various	1,424.00	601.10 W	0.00	Total of 5 transactions
	11 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	100.000	109.98	08/26/20	112.00	...	-2.02	1 of 11 - Sale ²⁵
	100.000	109.98	08/28/20	117.00	...	-7.02	2 of 11 - Sale ²⁵
	100.000	109.99	08/28/20	116.00	...	-6.01	3 of 11 - Sale ²⁵
	100.000	109.98	08/28/20	115.00	...	-5.02	4 of 11 - Sale ²⁵
	100.000	109.99	09/01/20	113.00	...	-3.01	5 of 11 - Sale ²⁵
	100.000	113.98	09/01/20	111.00	...	2.98	6 of 11 - Sale ²⁵

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Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol: (cont'd)							
	100.000	113.98	09/02/20	103.00	...	10.98	7 of 11 - Sale ²⁵
	100.000	113.99	09/04/20	98.00	...	15.99	8 of 11 - Sale ²⁵
	100.000	113.98	09/08/20	98.00	...	15.98	9 of 11 - Sale ²⁵
	100.000	113.99	09/11/20	94.00	...	19.99	10 of 11 - Sale ²⁵
	100.000	117.99	10/02/20	92.00	...	25.99	11 of 11 - Sale ²⁵
12/14/20	1,100.000	1,237.83	Various	1,169.00	...	68.83	Total of 11 transactions
	3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	100.000	100.99	11/10/20	167.01	...	-66.02	1 of 3 - Sale ²⁵
	95.000	100.69	11/13/20	154.86	...	-54.17	2 of 3 - Sale ²⁵
	5.000	5.30	11/13/20	8.15	...	-2.85	3 of 3 - Sale ²⁵
12/16/20	200.000	206.98	Various	330.02	...	-123.04	Total of 3 transactions
	3 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	100.000	107.99	11/13/20	163.01	...	-55.02	1 of 3 - Sale ²⁵
	100.000	111.33	11/13/20	163.01	...	-51.68	2 of 3 - Sale ²⁵
	100.000	113.99	11/13/20	163.01	...	-49.02	3 of 3 - Sale ²⁵
12/17/20	300.000	333.31	Various	489.03	...	-155.72	Total of 3 transactions
	4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	100.000	121.99	11/13/20	127.51	...	-5.52	1 of 4 - Sale ²⁵
	100.000	123.99	11/13/20	127.51	...	-3.52	2 of 4 - Sale ²⁵
	100.000	129.99	11/13/20	94.01	...	35.98	3 of 4 - Sale ²⁵
	100.000	130.99	11/13/20	94.01	...	36.98	4 of 4 - Sale ²⁵
12/21/20	400.000	506.96	Various	443.04	...	63.92	Total of 4 transactions
	Security total:	3,199.96		3,968.09	601.10 W	-167.03	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
	9 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	258.000	118.91	12/01/20	141.90	22.99 W	0.00	1 of 9 - Sale ²⁵
	300.000	138.26	12/01/20	165.00	26.74 W	0.00	2 of 9 - Sale ²⁵
	12.000	5.53	12/01/20	6.60	1.07 W	0.00	3 of 9 - Sale ²⁵
	258.000	118.91	12/01/20	164.89	45.98 W	0.00	4 of 9 - Sale ²⁵
	172.000	79.27	12/01/20	109.93	30.66 W	0.00	5 of 9 - Sale ²⁵
	90.000	44.09	12/01/20	57.52	13.43 W	0.00	6 of 9 - Sale ²⁵
	110.000	53.89	12/01/20	80.10	26.21 W	0.00	7 of 9 - Sale ²⁵

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Account 129593125

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(continued)

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JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
	52.000	25.99	12/01/20	37.87	11.88 W	0.00	8 of 9 - Sale ²⁵
	148.000	73.97	12/01/20	107.78	33.81 W	0.00	9 of 9 - Sale ²⁵
12/22/20	1,400.000	658.82	Various	871.59	212.77 W	0.00	Total of 9 transactions
	28 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	10.000	8.30	12/01/20	6.99	...	1.31	1 of 28 - Sale ²⁵
	12.000	9.96	12/01/20	7.67	...	2.29	2 of 28 - Sale ²⁵
	38.000	31.54	12/01/20	24.29	...	7.25	3 of 28 - Sale ²⁵
	80.000	66.39	12/01/20	55.94	...	10.45	4 of 28 - Sale ²⁵
	40.000	33.19	12/01/20	22.00	...	11.19	5 of 28 - Sale ²⁵
	52.000	46.80	12/01/20	35.28	...	11.52	6 of 28 - Sale ²⁵
	120.000	99.59	12/01/20	87.39	...	12.20	7 of 28 - Sale ²⁵
	58.000	52.19	12/01/20	39.35	...	12.84	8 of 28 - Sale ²⁵
	90.000	80.99	12/01/20	61.06	...	19.93	9 of 28 - Sale ²⁵
	110.000	98.99	12/01/20	75.71	...	23.28	10 of 28 - Sale ²⁵
	100.000	82.99	12/01/20	55.00	...	27.99	11 of 28 - Sale ²⁵
	100.000	82.99	12/01/20	55.00	...	27.99	12 of 28 - Sale ²⁵
	100.000	82.99	12/01/20	55.00	...	27.99	13 of 28 - Sale ²⁵
	90.000	80.99	12/01/20	40.50	...	40.49	14 of 28 - Sale ²⁵
	100.000	89.99	12/01/20	45.00	...	44.99	15 of 28 - Sale ²⁵
	100.000	89.99	12/01/20	45.00	...	44.99	16 of 28 - Sale ²⁵
	100.000	89.99	12/01/20	45.00	...	44.99	17 of 28 - Sale ²⁵
	100.000	89.99	12/01/20	45.00	...	44.99	18 of 28 - Sale ²⁵
	100.000	89.99	12/01/20	45.00	...	44.99	19 of 28 - Sale ²⁵
	100.000	89.99	12/01/20	45.00	...	44.99	20 of 28 - Sale ²⁵
	100.000	93.98	12/03/20	39.40	...	54.58	21 of 28 - Sale ²⁵
	100.000	93.99	12/03/20	39.40	...	54.59	22 of 28 - Sale ²⁵
	100.000	93.99	12/03/20	39.40	...	54.59	23 of 28 - Sale ²⁵
	139.000	138.98	12/03/20	52.96	...	86.02	24 of 28 - Sale ²⁵
	200.000	187.98	12/03/20	78.80	...	109.18	25 of 28 - Sale ²⁵
	361.000	360.96	12/03/20	137.54	...	223.42	26 of 28 - Sale ²⁵
	500.000	489.94	12/03/20	195.00	...	294.94	27 of 28 - Sale ²⁵
	500.000	519.92	12/17/20	172.50	...	347.42	28 of 28 - Sale ²⁵
12/23/20	3,600.000	3,377.58	Various	1,646.18	...	1,731.40	Total of 28 transactions
	Security total:	4,036.40		2,517.77	212.77 W	1,731.40	

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol:							
4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	4.97	10/01/20	4.85	...	0.12	1 of 4 - Sale ²⁵
	1.000	4.97	10/01/20	4.85	...	0.12	2 of 4 - Sale ²⁵
	1.000	4.97	10/01/20	4.85	...	0.12	3 of 4 - Sale ²⁵
	2.000	9.95	10/01/20	9.70	...	0.25	4 of 4 - Sale ²⁵
10/02/20	5.000	24.86	Various	24.25	...	0.61	Total of 4 transactions
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	10.000	79.50	10/01/20	48.50	...	31.00	1 of 2 - Sale ²⁵
	45.000	337.50	10/01/20	218.25	...	119.25	2 of 2 - Sale ²⁵
10/09/20	55.000	417.00	Various	266.75	...	150.25	Total of 2 transactions
4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	5.80	10/01/20	4.85	...	0.95	1 of 4 - Sale ²⁵
	10.000	59.30	10/01/20	48.50	...	10.80	2 of 4 - Sale ²⁵
	10.000	59.70	10/01/20	48.50	...	11.20	3 of 4 - Sale ²⁵
	19.000	110.20	10/01/20	92.15	...	18.05	4 of 4 - Sale ²⁵
10/19/20	40.000	235.00	Various	194.00	...	41.00	Total of 4 transactions
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	50.000	270.00	11/12/20	258.00	...	12.00	1 of 2 - Sale ²⁵
	50.000	271.00	11/12/20	258.00	...	13.00	2 of 2 - Sale ²⁵
11/24/20	100.000	541.00	Various	516.00	...	25.00	Total of 2 transactions
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	100.000	493.98	11/25/20	499.00	...	-5.02	1 of 2 - Sale ²⁵
	100.000	493.97	11/27/20	496.00	...	-2.03	2 of 2 - Sale ²⁵
12/01/20	200.000	987.95	Various	995.00	...	-7.05	Total of 2 transactions
	Security total:	2,205.81		1,996.00	...	209.81	
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
01/10/20	2.000	54.60	12/24/19	53.14	...	1.46	Sale ²⁵
MICROSOFT CORPORATION COMMON STOCK / CUSIP: 594918104 / Symbol:							
8 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	185.00	02/04/20	179.34	...	5.66	1 of 8 - Sale ²⁵
	1.000	185.00	02/05/20	181.30	...	3.70	2 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	184.17	02/05/20	179.30	...	4.87	3 of 8 - Sale ²⁵
	1.000	184.16	02/06/20	182.40	...	1.76	4 of 8 - Sale ²⁵
	1.000	184.17	02/25/20	168.00	...	16.17	5 of 8 - Sale ²⁵
	1.000	184.16	02/27/20	166.08	...	18.08	6 of 8 - Sale ²⁵
	1.000	184.17	02/27/20	158.36	...	25.81	7 of 8 - Sale ²⁵
	1.000	184.16	03/23/20	135.00	...	49.16	8 of 8 - Sale ²⁵
04/29/20	8.000	1,474.99	Various	1,349.78	...	125.21	Total of 8 transactions
MID-CON ENERGY PARTNERS, LP CO MMON UNITS / CUSIP: 59560V208 / Symbol:							
5 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	10.000	43.70	12/15/20	45.90	...	-2.20	1 of 5 - Sale ²⁵
	10.000	43.70	12/15/20	45.70	...	-2.00	2 of 5 - Sale ²⁵
	10.000	43.70	12/15/20	44.60	...	-0.90	3 of 5 - Sale ²⁵
	10.000	43.80	12/15/20	44.40	...	-0.60	4 of 5 - Sale ²⁵
	10.000	43.80	12/15/20	41.50	...	2.30	5 of 5 - Sale ²⁵
12/23/20	50.000	218.70	Various	222.10	...	-3.40	Total of 5 transactions
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	10.000	43.70	12/15/20	46.60	2.90 W	0.00	1 of 2 - Sale ²⁵
	10.000	43.70	12/15/20	46.20	2.50 W	0.00	2 of 2 - Sale ²⁵
12/23/20	20.000	87.40	Various	92.80	5.40 W	0.00	Total of 2 transactions
	Security total:	306.10		314.90	5.40 W	-3.40	
MOLECULIN BIOTECH, INC. COMMON STOCK / CUSIP: 60855D101 / Symbol:							
12/11/20	100.000	82.05	12/01/20	118.00	35.95 W	0.00	Sale ²⁵
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	200.000	178.96	12/01/20	236.00	...	-57.04	1 of 2 - Sale ²⁵
	100.000	89.49	12/01/20	136.95	...	-47.46	2 of 2 - Sale ²⁵
12/28/20	300.000	268.45	Various	372.95	...	-104.50	Total of 2 transactions
	Security total:	350.50		490.95	35.95 W	-104.50	
MOMO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 60879B107 / Symbol:							
01/06/20	2.000	72.18	12/24/19	70.82	...	1.36	Sale ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MUSTANG BIO, INC. COMMON STOCK / CUSIP: 62818Q104 / Symbol:							
12/24/20	50.000	209.00	12/23/20	187.41	...	21.59	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
8 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	5.43	09/20/19	3.05	...	2.38	1 of 8 - Sale ²⁵
	22.000	119.45	09/20/19	67.76	...	51.69	2 of 8 - Sale ²⁵
	50.000	271.49	09/20/19	153.50	...	117.99	3 of 8 - Sale ²⁵
	11.000	59.73	10/17/19	16.28	...	43.45	4 of 8 - Sale ²⁵
	100.000	542.97	10/21/19	173.50	...	369.47	5 of 8 - Sale ²⁵
	100.000	542.98	10/21/19	173.00	...	369.98	6 of 8 - Sale ²⁵
	100.000	542.97	10/21/19	161.00	...	381.97	7 of 8 - Sale ²⁵
	100.000	542.97	10/28/19	139.00	...	403.97	8 of 8 - Sale ²⁵
06/03/20	484.000	2,627.99	Various	887.09	...	1,740.90	Total of 8 transactions
08/18/20	50.000	726.48	07/10/20	750.00	9.41 W	-14.11	Sale ²⁵
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	10.000	141.70	07/31/20	123.20	...	18.50	1 of 2 - Sale ²⁵
	10.000	141.70	07/31/20	122.51	...	19.19	2 of 2 - Sale ²⁵
08/21/20	20.000	283.40	Various	245.71	...	37.69	Total of 2 transactions
5 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	45.20	11/17/20	42.78	...	2.42	1 of 5 - Sale ²⁵
	1.000	45.30	11/17/20	42.60	...	2.70	2 of 5 - Sale ²⁵
	2.000	90.50	12/01/20	91.60	...	-1.10	3 of 5 - Sale ²⁵
	1.000	45.25	12/04/20	42.90	...	2.35	4 of 5 - Sale ²⁵
	2.000	90.50	12/04/20	86.00	...	4.50	5 of 5 - Sale ²⁵
12/10/20	7.000	316.75	Various	305.88	...	10.87	Total of 5 transactions
	Security total:	3,954.62		2,188.68	9.41 W	1,775.35	
NTN BUZZTIME, INC / CUSIP: 629410606 / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	50.000	106.50	12/22/20	101.50	...	5.00	1 of 2 - Sale ²⁵
	50.000	106.49	12/23/20	102.25	...	4.24	2 of 2 - Sale ²⁵
12/24/20	100.000	212.99	Various	203.75	...	9.24	Total of 2 transactions

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NEOGENOMICS, INC. COMMON STOCK / CUSIP: 64049M209 / Symbol:							
01/13/20	2.000	61.72	12/24/19	60.80	...	0.92	Sale ²⁵
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>16 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	1,200.000	301.18	06/08/20	347.28	...	-46.10	1 of 16 - Sale ²⁵
	800.000	200.70	06/08/20	231.52	...	-30.82	2 of 16 - Sale ²⁵
	700.000	175.69	06/08/20	202.58	...	-26.89	3 of 16 - Sale ²⁵
	200.000	50.58	06/08/20	65.23	...	-14.65	4 of 16 - Sale ²⁵
	300.000	75.29	06/08/20	86.82	...	-11.53	5 of 16 - Sale ²⁵
	300.000	75.29	06/08/20	86.82	...	-11.53	6 of 16 - Sale ²⁵
	200.000	50.20	06/08/20	57.88	...	-7.68	7 of 16 - Sale ²⁵
	200.000	50.20	06/08/20	57.88	...	-7.68	8 of 16 - Sale ²⁵
	100.000	25.23	06/08/20	32.61	...	-7.38	9 of 16 - Sale ²⁵
	100.000	25.09	06/08/20	28.94	...	-3.85	10 of 16 - Sale ²⁵
	100.000	25.10	06/08/20	28.94	...	-3.84	11 of 16 - Sale ²⁵
	100.000	25.10	06/08/20	28.94	...	-3.84	12 of 16 - Sale ²⁵
	100.000	25.22	06/08/20	28.94	...	-3.72	13 of 16 - Sale ²⁵
	100.000	25.22	06/08/20	28.94	...	-3.72	14 of 16 - Sale ²⁵
	100.000	25.23	06/08/20	28.94	...	-3.71	15 of 16 - Sale ²⁵
	100.000	25.23	06/08/20	28.94	...	-3.71	16 of 16 - Sale ²⁵
06/24/20	4,700.000	1,180.55	Various	1,371.20	...	-190.65	Total of 16 transactions
06/24/20	300.000	75.68	06/08/20	86.70	11.02 W	0.00	Sale ²⁵
<i>3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	22.40	12/24/20	19.00	...	3.40	1 of 3 - Sale ²⁵
	30.000	67.20	12/24/20	59.10	...	8.10	2 of 3 - Sale ²⁵
	100.000	223.98	12/24/20	166.00	...	57.98	3 of 3 - Sale ²⁵
12/28/20	140.000	313.58	Various	244.10	...	69.48	Total of 3 transactions
Security total:		1,569.81		1,702.00	11.02 W	-121.17	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
<i>35 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	17.95	09/20/19	15.28	...	2.67	1 of 35 - Sale ²⁵
	1.000	17.94	09/20/19	14.47	...	3.47	2 of 35 - Sale ²⁵
	8.000	143.56	09/20/19	122.14	...	21.42	3 of 35 - Sale ²⁵

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(continued)

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	10.000	179.45	09/20/19	147.34	...	32.11	4 of 35 - Sale ²⁵
	10.000	179.45	09/20/19	140.27	...	39.18	5 of 35 - Sale ²⁵
	50.000	897.25	09/20/19	800.29	...	96.96	6 of 35 - Sale ²⁵
	1.000	17.94	09/23/19	10.49	...	7.45	7 of 35 - Sale ²⁵
	2.000	35.89	09/23/19	23.84	...	12.05	8 of 35 - Sale ²⁵
	10.000	179.45	10/28/19	103.07	...	76.38	9 of 35 - Sale ²⁵
	10.000	179.45	10/28/19	102.51	...	76.94	10 of 35 - Sale ²⁵
	25.000	448.63	10/28/19	262.98	...	185.65	11 of 35 - Sale ²⁵
	10.000	179.45	10/29/19	100.26	...	79.19	12 of 35 - Sale ²⁵
	10.000	179.45	10/29/19	99.32	...	80.13	13 of 35 - Sale ²⁵
	10.000	179.45	10/29/19	97.82	...	81.63	14 of 35 - Sale ²⁵
	10.000	179.44	11/04/19	95.11	...	84.33	15 of 35 - Sale ²⁵
	5.000	89.72	11/05/19	46.50	...	43.22	16 of 35 - Sale ²⁵
	10.000	179.44	11/05/19	93.51	...	85.93	17 of 35 - Sale ²⁵
	10.000	179.44	11/05/19	93.51	...	85.93	18 of 35 - Sale ²⁵
	10.000	179.45	11/07/19	92.11	...	87.34	19 of 35 - Sale ²⁵
	4.000	71.78	11/21/19	28.78	...	43.00	20 of 35 - Sale ²⁵
	50.000	897.22	12/19/19	334.98	...	562.24	21 of 35 - Sale ²⁵
	10.000	179.45	12/27/19	65.08	...	114.37	22 of 35 - Sale ²⁵
	25.000	448.61	12/27/19	155.54	...	293.07	23 of 35 - Sale ²⁵
	100.000	1,794.45	01/10/20	791.77	...	1,002.68	24 of 35 - Sale ²⁵
	5.000	89.72	02/27/20	31.25	...	58.47	25 of 35 - Sale ²⁵
	10.000	179.44	02/27/20	63.81	...	115.63	26 of 35 - Sale ²⁵
	5.000	89.72	02/28/20	29.42	...	60.30	27 of 35 - Sale ²⁵
	10.000	179.45	02/28/20	59.03	...	120.42	28 of 35 - Sale ²⁵
	20.000	358.89	02/28/20	123.26	...	235.63	29 of 35 - Sale ²⁵
	25.000	448.61	03/03/20	141.49	...	307.12	30 of 35 - Sale ²⁵
	25.000	448.61	03/03/20	141.49	...	307.12	31 of 35 - Sale ²⁵
	25.000	448.61	03/09/20	117.33	...	331.28	32 of 35 - Sale ²⁵
	25.000	448.61	03/11/20	111.27	...	337.34	33 of 35 - Sale ²⁵
	100.000	1,794.46	03/11/20	468.50	...	1,325.96	34 of 35 - Sale ²⁵
	50.000	897.23	03/12/20	171.04	...	726.19	35 of 35 - Sale ²⁵
05/20/20	692.000	12,417.66	Various	5,294.86	...	7,122.80	Total of 35 transactions

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	10.000	292.79	06/29/20	276.70	...	16.09	1 of 3 - Sale ²⁵
	16.000	468.47	06/30/20	460.64	...	7.83	2 of 3 - Sale ²⁵
	74.000	2,166.66	06/30/20	2,130.46	...	36.20	3 of 3 - Sale ²⁵
07/01/20	100.000	2,927.92	Various	2,867.80	...	60.12	Total of 3 transactions
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	238.35	07/08/20	205.90	...	32.45	1 of 4 - Sale ²⁵
	25.000	1,191.72	07/08/20	1,047.70	...	144.02	2 of 4 - Sale ²⁵
	25.000	1,191.72	07/08/20	1,045.13	...	146.59	3 of 4 - Sale ²⁵
	125.000	5,958.60	07/08/20	5,448.50	...	510.10	4 of 4 - Sale ²⁵
07/10/20	180.000	8,580.39	Various	7,747.23	...	833.16	Total of 4 transactions
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	71.00	11/09/20	52.56	...	18.44	1 of 2 - Sale ²⁵
	1.000	71.00	11/10/20	52.25	...	18.75	2 of 2 - Sale ²⁵
11/30/20	2.000	142.00	Various	104.81	...	37.19	Total of 2 transactions
	Security total:	24,067.97		16,014.70	...	8,053.27	
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
10 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	25.000	19.25	12/23/20	18.88	...	0.37	1 of 10 - Sale ²⁵
	100.000	76.99	12/23/20	75.00	...	1.99	2 of 10 - Sale ²⁵
	100.000	76.99	12/23/20	75.00	...	1.99	3 of 10 - Sale ²⁵
	258.000	198.62	12/23/20	194.79	...	3.83	4 of 10 - Sale ²⁵
	200.000	153.97	12/23/20	147.22	...	6.75	5 of 10 - Sale ²⁵
	717.000	551.98	12/23/20	541.33	...	10.65	6 of 10 - Sale ²⁵
	200.000	153.97	12/24/20	147.20	...	6.77	7 of 10 - Sale ²⁵
	100.000	76.99	12/24/20	65.90	...	11.09	8 of 10 - Sale ²⁵
	200.000	153.97	12/24/20	137.76	...	16.21	9 of 10 - Sale ²⁵
	200.000	153.97	12/24/20	132.00	...	21.97	10 of 10 - Sale ²⁵
12/28/20	2,100.000	1,616.70	Various	1,535.08	...	81.62	Total of 10 transactions

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PHASEBIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 717224109 / Symbol:							
2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	50.000	374.99	12/26/19	331.10	...	43.89	1 of 2 - Sale ²⁵
	10.000	75.00	01/03/20	53.80	...	21.20	2 of 2 - Sale ²⁵
01/10/20	60.000	449.99	Various	384.90	...	65.09	Total of 2 transactions
4 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	100.000	503.99	01/15/20	552.00	...	-48.01	1 of 4 - Sale ²⁵
	2.000	10.08	01/29/20	10.20	...	-0.12	2 of 4 - Sale ²⁵
	10.000	50.40	02/18/20	47.60	...	2.80	3 of 4 - Sale ²⁵
	25.000	126.00	03/11/20	80.00	...	46.00	4 of 4 - Sale ²⁵
05/11/20	137.000	690.47	Various	689.80	...	0.67	Total of 4 transactions
	Security total:	1,140.46		1,074.70	...	65.76	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	10.000	284.50	11/17/20	220.00	...	64.50	1 of 4 - Sale ²⁵
	10.000	285.00	11/19/20	239.00	...	46.00	2 of 4 - Sale ²⁵
	10.000	286.50	11/19/20	238.00	...	48.50	3 of 4 - Sale ²⁵
	10.000	289.50	11/19/20	236.50	...	53.00	4 of 4 - Sale ²⁵
12/08/20	40.000	1,145.50	Various	933.50	...	212.00	Total of 4 transactions
12/10/20	10.000	274.40	11/19/20	234.50	...	39.90	Sale ²⁵
	Security total:	1,419.90		1,168.00	...	251.90	
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
9 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	100.000	55.50	06/16/20	53.68	...	1.82	1 of 9 - Sale ²⁵
	100.000	55.50	06/16/20	53.68	...	1.82	2 of 9 - Sale ²⁵
	100.000	55.50	06/16/20	53.68	...	1.82	3 of 9 - Sale ²⁵
	100.000	55.50	06/16/20	53.68	...	1.82	4 of 9 - Sale ²⁵
	100.000	55.50	06/16/20	53.68	...	1.82	5 of 9 - Sale ²⁵
	100.000	55.50	06/16/20	53.68	...	1.82	6 of 9 - Sale ²⁵
	100.000	55.50	06/16/20	53.68	...	1.82	7 of 9 - Sale ²⁵
	100.000	55.50	06/16/20	53.68	...	1.82	8 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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POLYMET MINING CORP. / CUSIP: 731916102 / Symbol: (cont'd)							
	200.000	111.00	06/16/20	107.36	...	3.64	9 of 9 - Sale ²⁵
06/16/20	1,000.000	555.00	Various	536.80	...	18.20	Total of 9 transactions
POLYMET MINING CORP. / CUSIP: 731916409 / Symbol:							
11/16/20	100.000	331.99	11/12/20	305.00	...	26.99	Sale ²⁵
PROSHARES ULTRAPRO SHORT S&P 5 00 / CUSIP: 74347B268 / Symbol:							
06/26/20	100.000	1,274.96	06/24/20	1,243.00	...	31.96	Sale ²⁵
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G408 / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	50.000	485.00	05/28/20	471.00	...	14.00	1 of 2 - Sale ²⁵
	50.000	485.00	05/28/20	471.00	...	14.00	2 of 2 - Sale ²⁵
05/29/20	100.000	970.00	Various	942.00	...	28.00	Total of 2 transactions
9 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	100.000	818.97	06/17/20	792.00	...	26.97	1 of 9 - Sale ²⁵
	100.000	818.97	06/17/20	788.00	...	30.97	2 of 9 - Sale ²⁵
	100.000	818.97	06/17/20	788.00	...	30.97	3 of 9 - Sale ²⁵
	50.000	409.48	06/19/20	390.00	...	19.48	4 of 9 - Sale ²⁵
	50.000	409.48	06/19/20	390.00	...	19.48	5 of 9 - Sale ²⁵
	100.000	818.97	06/19/20	776.00	...	42.97	6 of 9 - Sale ²⁵
	100.000	818.97	06/22/20	777.00	...	41.97	7 of 9 - Sale ²⁵
	100.000	818.97	06/23/20	755.98	...	62.99	8 of 9 - Sale ²⁵
	300.000	2,456.91	06/24/20	2,403.00	...	53.91	9 of 9 - Sale ²⁵
06/26/20	1,000.000	8,189.69	Various	7,859.98	...	329.71	Total of 9 transactions
	Security total:	9,159.69		8,801.98	...	357.71	
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G861 / Symbol:							
17 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	20.000	445.99	07/01/20	721.00	...	-275.01	1 of 17 - Sale ²⁵
	20.000	445.99	07/06/20	682.00	...	-236.01	2 of 17 - Sale ²⁵
	20.000	445.98	07/06/20	657.50	...	-211.52	3 of 17 - Sale ²⁵
	12.000	263.99	07/10/20	376.20	...	-112.21	4 of 17 - Sale ²⁵
	8.000	176.00	07/10/20	250.80	...	-74.80	5 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G861 / Symbol: (cont'd)							
	20.000	439.99	07/30/20	935.81	...	-495.82	6 of 17 - Sale ²⁵
	14.200	312.39	07/30/20	663.12	...	-350.73	7 of 17 - Sale ²⁵
	10.000	219.99	07/30/20	479.99	...	-260.00	8 of 17 - Sale ²⁵
	10.000	219.99	07/30/20	479.49	...	-259.50	9 of 17 - Sale ²⁵
	10.000	219.99	07/30/20	474.99	...	-255.00	10 of 17 - Sale ²⁵
	10.000	220.00	07/30/20	474.91	...	-254.91	11 of 17 - Sale ²⁵
	10.000	219.99	07/30/20	462.49	...	-242.50	12 of 17 - Sale ²⁵
	10.000	220.00	07/30/20	456.99	...	-236.99	13 of 17 - Sale ²⁵
	10.000	219.99	07/30/20	441.01	...	-221.02	14 of 17 - Sale ²⁵
	5.800	127.60	07/30/20	270.85	...	-143.25	15 of 17 - Sale ²⁵
	10.000	220.00	07/31/20	439.50	...	-219.50	16 of 17 - Sale ²⁵
	10.000	219.99	07/31/20	434.51	...	-214.52	17 of 17 - Sale ²⁵
08/26/20	210.000	4,637.87	Various	8,701.16	...	-4,063.29	Total of 17 transactions
	8 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	20.000	450.02	06/30/20	782.00	331.98 W	0.00	1 of 8 - Sale ²⁵
	20.000	450.02	06/30/20	776.00	325.98 W	0.00	2 of 8 - Sale ²⁵
	20.000	450.02	06/30/20	774.83	324.81 W	0.00	3 of 8 - Sale ²⁵
	5.800	130.51	06/30/20	224.46	93.95 W	0.00	4 of 8 - Sale ²⁵
	14.200	319.52	06/30/20	549.54	230.02 W	0.00	5 of 8 - Sale ²⁵
	20.000	450.02	06/30/20	768.00	317.98 W	0.00	6 of 8 - Sale ²⁵
	20.000	445.99	07/01/20	722.00	138.01 W	-138.00	7 of 8 - Sale ²⁵
	20.000	445.99	07/01/20	732.00	286.01 W	0.00	8 of 8 - Sale ²⁵
08/26/20	140.000	3,142.09	Various	5,328.83	2,048.74 W	-138.00	Total of 8 transactions
	Security total:	7,779.96		14,029.99	2,048.74 W	-4,201.29	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
	12 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	30.000	929.37	05/29/20	991.20	...	-61.83	1 of 12 - Sale ²⁵
	10.000	309.79	06/03/20	315.60	...	-5.81	2 of 12 - Sale ²⁵
	10.000	309.79	06/03/20	305.30	...	4.49	3 of 12 - Sale ²⁵
	10.000	309.79	06/03/20	305.30	...	4.49	4 of 12 - Sale ²⁵
	10.000	309.79	06/03/20	305.00	...	4.79	5 of 12 - Sale ²⁵
	10.000	309.79	06/03/20	303.40	...	6.39	6 of 12 - Sale ²⁵
	10.000	309.79	06/03/20	302.10	...	7.69	7 of 12 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol: (cont'd)							
	10.000	309.79	06/04/20	288.40	...	21.39	8 of 12 - Sale ²⁵
	10.000	309.80	06/04/20	287.80	...	22.00	9 of 12 - Sale ²⁵
	10.000	309.79	06/04/20	286.99	...	22.80	10 of 12 - Sale ²⁵
	10.000	309.80	06/05/20	274.30	...	35.50	11 of 12 - Sale ²⁵
	10.000	309.79	06/05/20	271.00	...	38.79	12 of 12 - Sale ²⁵
06/09/20	140.000	4,337.08	Various	4,236.39	...	100.69	Total of 12 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
19 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	20.000	52.88	09/19/19	55.48	...	-2.60	1 of 19 - Sale ²⁵
	2.000	5.29	09/19/19	4.05	...	1.24	2 of 19 - Sale ²⁵
	10.000	26.44	09/19/19	24.86	...	1.58	3 of 19 - Sale ²⁵
	3.000	7.93	09/19/19	6.08	...	1.85	4 of 19 - Sale ²⁵
	5.000	13.22	09/19/19	10.14	...	3.08	5 of 19 - Sale ²⁵
	71.000	187.73	09/19/19	141.17	...	46.56	6 of 19 - Sale ²⁵
	12.000	31.73	10/29/19	19.40	...	12.33	7 of 19 - Sale ²⁵
	10.000	26.44	01/15/20	13.90	...	12.54	8 of 19 - Sale ²⁵
	20.000	52.88	01/15/20	27.80	...	25.08	9 of 19 - Sale ²⁵
	10.000	26.44	01/21/20	13.80	...	12.64	10 of 19 - Sale ²⁵
	20.000	52.88	01/22/20	27.20	...	25.68	11 of 19 - Sale ²⁵
	20.000	52.88	01/22/20	27.00	...	25.88	12 of 19 - Sale ²⁵
	20.000	52.88	01/24/20	25.20	...	27.68	13 of 19 - Sale ²⁵
	10.000	26.44	02/20/20	13.89	...	12.55	14 of 19 - Sale ²⁵
	10.000	26.44	02/20/20	13.80	...	12.64	15 of 19 - Sale ²⁵
	10.000	26.44	02/20/20	13.70	...	12.74	16 of 19 - Sale ²⁵
	5.000	13.22	03/11/20	5.15	...	8.07	17 of 19 - Sale ²⁵
	95.000	251.20	03/11/20	97.85	...	153.35	18 of 19 - Sale ²⁵
	250.000	661.05	03/19/20	177.50	...	483.55	19 of 19 - Sale ²⁵
05/20/20	603.000	1,594.41	Various	717.97	...	876.44	Total of 19 transactions
ROSEHILL RESOURCES INC. CLASS A COMMON STOCK / CUSIP: 777385105 / Symbol:							
22 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	1.24	06/05/20	0.69	...	0.55	1 of 22 - Sale ²⁵
	25.000	31.00	06/05/20	17.25	...	13.75	2 of 22 - Sale ²⁵

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(continued)

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ROSEHILL RESOURCES INC. CLASS A COMMON STOCK / CUSIP: 777385105 / Symbol: (cont'd)							
	28.000	34.72	06/05/20	19.32	...	15.40	3 of 22 - Sale ²⁵
	28.000	34.72	06/05/20	19.32	...	15.40	4 of 22 - Sale ²⁵
	29.000	35.96	06/05/20	20.01	...	15.95	5 of 22 - Sale ²⁵
	30.000	37.20	06/05/20	20.70	...	16.50	6 of 22 - Sale ²⁵
	40.000	49.60	06/05/20	27.60	...	22.00	7 of 22 - Sale ²⁵
	41.000	50.84	06/05/20	28.29	...	22.55	8 of 22 - Sale ²⁵
	45.000	55.79	06/05/20	31.05	...	24.74	9 of 22 - Sale ²⁵
	53.000	65.71	06/05/20	36.57	...	29.14	10 of 22 - Sale ²⁵
	98.000	121.50	06/05/20	67.62	...	53.88	11 of 22 - Sale ²⁵
	100.000	123.99	06/05/20	69.00	...	54.99	12 of 22 - Sale ²⁵
	100.000	123.99	06/05/20	69.00	...	54.99	13 of 22 - Sale ²⁵
	100.000	123.99	06/05/20	69.00	...	54.99	14 of 22 - Sale ²⁵
	179.000	221.93	06/05/20	123.51	...	98.42	15 of 22 - Sale ²⁵
	300.000	371.96	06/05/20	207.00	...	164.96	16 of 22 - Sale ²⁵
	382.000	473.62	06/05/20	263.58	...	210.04	17 of 22 - Sale ²⁵
	600.000	743.91	06/05/20	414.00	...	329.91	18 of 22 - Sale ²⁵
	600.000	743.91	06/05/20	414.00	...	329.91	19 of 22 - Sale ²⁵
	1,000.000	1,239.85	06/05/20	690.00	...	549.85	20 of 22 - Sale ²⁵
	1,121.000	1,389.88	06/05/20	773.49	...	616.39	21 of 22 - Sale ²⁵
	1,200.000	1,487.82	06/05/20	828.00	...	659.82	22 of 22 - Sale ²⁵
06/16/20	6,100.000	7,563.13	Various	4,209.00	...	3,354.13	Total of 22 transactions
SCYNEXIS, INC. COMMON STOCK / CUSIP: 811292101 / Symbol:							
01/07/20	100.000	89.99	12/23/19	78.74	...	11.25	Sale ²⁵
SELLAS LIFE SCIENCES GROUP, IN C. COMMON STOCK / CUSIP: 81642T209 / Symbol:							
7 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	5.87	12/10/20	15.75	...	-9.88	1 of 7 - Sale ²⁵
	1.000	5.87	12/10/20	14.57	...	-8.70	2 of 7 - Sale ²⁵
	10.000	58.70	12/15/20	68.60	...	-9.90	3 of 7 - Sale ²⁵
	10.000	58.70	12/23/20	68.80	...	-10.10	4 of 7 - Sale ²⁵
	10.000	58.70	12/23/20	65.69	...	-6.99	5 of 7 - Sale ²⁵
	10.000	58.70	12/23/20	65.65	...	-6.95	6 of 7 - Sale ²⁵
	8.000	46.96	12/23/20	52.40	...	-5.44	7 of 7 - Sale ²⁵
12/28/20	50.000	293.50	Various	351.46	...	-57.96	Total of 7 transactions

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENECA BIOPHARMA, INC. COMMON STOCK / CUSIP: 81689B103 / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	100.000	92.99	12/21/20	82.23	...	10.76	1 of 2 - Sale ²⁵
	100.000	92.99	12/23/20	74.31	...	18.68	2 of 2 - Sale ²⁵
12/24/20	200.000	185.98	Various	156.54	...	29.44	Total of 2 transactions
12/24/20	100.000	92.99	12/17/20	93.82	0.83 W	0.00	Sale ²⁵
	Security total:	278.97		250.36	0.83 W	29.44	
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
01/06/20	50.000	472.00	12/20/19	410.00	...	62.00	Sale ²⁵
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
08/26/20	25.000	353.63	07/10/20	418.00	64.37 W	0.00	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
6 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	200.000	70.78	11/24/20	67.00	...	3.78	1 of 6 - Sale ²⁵
	200.000	71.98	11/24/20	67.00	...	4.98	2 of 6 - Sale ²⁵
	100.000	38.49	11/24/20	33.50	...	4.99	3 of 6 - Sale ²⁵
	100.000	38.89	11/24/20	33.50	...	5.39	4 of 6 - Sale ²⁵
	200.000	73.54	11/24/20	67.00	...	6.54	5 of 6 - Sale ²⁵
	200.000	76.98	11/24/20	67.00	...	9.98	6 of 6 - Sale ²⁵
11/27/20	1,000.000	370.66	Various	335.00	...	35.66	Total of 6 transactions
12/02/20	500.000	411.94	12/01/20	465.00	53.06 W	0.00	Sale ²⁵
	Security total:	782.60		800.00	53.06 W	35.66	
SUNESIS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 867328874 / Symbol:							
12/08/20	100.000	197.99	11/30/20	210.00	12.01 W	0.00	Sale ²⁵
12/11/20	100.000	180.57	11/30/20	210.00	29.43 W	0.00	Sale ²⁵
5 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	100.000	183.99	11/30/20	222.01	...	-38.02	1 of 5 - Sale ²⁵
	95.000	175.14	11/30/20	208.46	...	-33.32	2 of 5 - Sale ²⁵
	100.000	183.99	11/30/20	216.01	...	-32.02	3 of 5 - Sale ²⁵
	100.000	183.99	11/30/20	210.00	...	-26.01	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SUNESIS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 867328874 / Symbol: (cont'd)							
	5.000	9.20	11/30/20	10.97	...	-1.77	5 of 5 - Sale ²⁵
12/28/20	400.000	736.31	Various	867.45	...	-131.14	Total of 5 transactions
12/28/20	100.000	183.99	11/30/20	210.00	26.01 W	0.00	Sale ²⁵
	Security total:	1,298.86		1,497.45	67.45 W	-131.14	
TELIGENT, INC. COMMON STOCK / CUSIP: 87960W203 / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	100.000	81.59	12/14/20	79.80	...	1.79	1 of 2 - Sale ²⁵
	100.000	82.99	12/14/20	78.20	...	4.79	2 of 2 - Sale ²⁵
12/15/20	200.000	164.58	Various	158.00	...	6.58	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
10/01/20	1.000	442.50	09/02/20	420.00	...	22.50	Sale ²⁵
10/29/20	1.000	411.34	09/03/20	410.00	...	1.34	Sale ²⁵
11/17/20	1.000	460.50	09/03/20	391.83	...	68.67	Sale ²⁵
4 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	467.50	09/04/20	375.00	...	92.50	1 of 4 - Sale ²⁵
	1.000	470.00	09/08/20	347.00	...	123.00	2 of 4 - Sale ²⁵
	1.000	487.10	09/08/20	336.00	...	151.10	3 of 4 - Sale ²⁵
	1.000	488.20	09/08/20	320.00	...	168.20	4 of 4 - Sale ²⁵
11/18/20	4.000	1,912.80	Various	1,378.00	...	534.80	Total of 4 transactions
	Security total:	3,227.14		2,599.83	...	627.31	
VELODYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol:							
7 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	10.000	174.40	11/17/20	159.40	...	15.00	1 of 7 - Sale ²⁵
	10.000	177.00	11/18/20	159.50	...	17.50	2 of 7 - Sale ²⁵
	10.000	178.00	11/18/20	159.00	...	19.00	3 of 7 - Sale ²⁵
	10.000	179.50	11/25/20	158.70	...	20.80	4 of 7 - Sale ²⁵
	10.000	183.00	11/25/20	154.50	...	28.50	5 of 7 - Sale ²⁵
	10.000	185.00	12/01/20	151.50	...	33.50	6 of 7 - Sale ²⁵
	10.000	180.50	12/03/20	148.80	...	31.70	7 of 7 - Sale ²⁵
12/07/20	70.000	1,257.40	Various	1,091.40	...	166.00	Total of 7 transactions

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	10.000	171.50	06/02/20	158.30	...	13.20	1 of 5 - Sale ²⁵
	10.000	171.49	06/02/20	158.00	...	13.49	2 of 5 - Sale ²⁵
	10.000	171.50	06/02/20	156.50	...	15.00	3 of 5 - Sale ²⁵
	2.000	34.30	06/03/20	30.74	...	3.56	4 of 5 - Sale ²⁵
	8.000	137.19	06/03/20	122.96	...	14.23	5 of 5 - Sale ²⁵
06/08/20	40.000	685.98	Various	626.50	...	59.48	Total of 5 transactions
9 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	10.000	159.99	06/11/20	147.60	...	12.39	1 of 9 - Sale ²⁵
	10.000	160.00	06/11/20	146.40	...	13.60	2 of 9 - Sale ²⁵
	10.000	159.99	06/11/20	146.19	...	13.80	3 of 9 - Sale ²⁵
	10.000	160.00	06/11/20	145.99	...	14.01	4 of 9 - Sale ²⁵
	10.000	159.99	06/11/20	145.80	...	14.19	5 of 9 - Sale ²⁵
	10.000	160.00	06/11/20	145.80	...	14.20	6 of 9 - Sale ²⁵
	10.000	159.99	06/11/20	145.20	...	14.79	7 of 9 - Sale ²⁵
	10.000	160.00	06/11/20	143.70	...	16.30	8 of 9 - Sale ²⁵
	10.000	159.99	06/11/20	143.20	...	16.79	9 of 9 - Sale ²⁵
06/16/20	90.000	1,439.95	Various	1,309.88	...	130.07	Total of 9 transactions
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	17.58	06/18/20	14.82	...	2.76	1 of 4 - Sale ²⁵
	1.000	17.58	06/18/20	14.82	...	2.76	2 of 4 - Sale ²⁵
	2.000	35.16	06/18/20	29.64	...	5.52	3 of 4 - Sale ²⁵
	6.000	105.48	06/18/20	88.92	...	16.56	4 of 4 - Sale ²⁵
06/22/20	10.000	175.80	Various	148.20	...	27.60	Total of 4 transactions
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	100.000	1,609.95	06/26/20	1,537.00	...	72.95	1 of 4 - Sale ²⁵
	5.000	80.50	06/29/20	74.50	...	6.00	2 of 4 - Sale ²⁵
	45.000	724.48	06/29/20	670.50	...	53.98	3 of 4 - Sale ²⁵
	50.000	804.97	06/29/20	745.00	...	59.97	4 of 4 - Sale ²⁵
06/30/20	200.000	3,219.90	Various	3,027.00	...	192.90	Total of 4 transactions
4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	10.000	184.50	08/26/20	173.90	...	10.60	1 of 4 - Sale ²⁵
	10.000	189.00	08/26/20	173.90	...	15.10	2 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	10.000	192.00	09/02/20	166.20	...	25.80	3 of 4 - Sale ²⁵
	10.000	193.50	09/11/20	164.30	...	29.20	4 of 4 - Sale ²⁵
09/28/20	40.000	759.00	Various	678.30	...	80.70	Total of 4 transactions
	Security total:	6,280.63		5,789.88	...	490.75	
WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol:							
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	10.000	55.00	12/01/20	68.80	13.80 W	0.00	1 of 3 - Sale ²⁵
	10.000	58.80	12/01/20	82.90	24.10 W	0.00	2 of 3 - Sale ²⁵
	10.000	58.80	12/01/20	68.00	9.20 W	0.00	3 of 3 - Sale ²⁵
12/22/20	30.000	172.60	Various	219.70	47.10 W	0.00	Total of 3 transactions
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	10.000	61.80	12/08/20	80.10	...	-18.30	1 of 4 - Sale ²⁵
	10.000	61.80	12/08/20	64.60	...	-2.80	2 of 4 - Sale ²⁵
	10.000	61.80	12/08/20	54.60	...	7.20	3 of 4 - Sale ²⁵
	10.000	61.80	12/08/20	53.50	...	8.30	4 of 4 - Sale ²⁵
12/23/20	40.000	247.20	Various	252.80	...	-5.60	Total of 4 transactions
	Security total:	419.80		472.50	47.10 W	-5.60	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	30.000	60.29	06/04/20	53.25	...	7.04	1 of 5 - Sale ²⁵
	280.000	562.75	06/04/20	520.82	...	41.93	2 of 5 - Sale ²⁵
	190.000	381.87	06/04/20	338.20	...	43.67	3 of 5 - Sale ²⁵
	220.000	442.16	06/04/20	356.37	...	85.79	4 of 5 - Sale ²⁵
	280.000	562.78	06/04/20	453.56	...	109.22	5 of 5 - Sale ²⁵
06/09/20	1,000.000	2,009.85	Various	1,722.20	...	287.65	Total of 5 transactions
06/09/20	2,500.000	5,024.59	06/04/20	5,224.75	62.45 W	-137.71	Sale ²⁵
	Security total:	7,034.44		6,946.95	62.45 W	149.94	

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(continued)

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ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	100.000	20.51	05/28/20	16.70	...	3.81	1 of 4 - Sale ²⁵
	400.000	82.03	05/28/20	66.80	...	15.23	2 of 4 - Sale ²⁵
	2,000.000	407.76	05/28/20	334.00	...	73.76	3 of 4 - Sale ²⁵
	7,500.000	1,529.07	05/28/20	1,252.50	...	276.57	4 of 4 - Sale ²⁵
06/24/20	10,000.000	2,039.37	Various	1,670.00	...	369.37	Total of 4 transactions
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
8 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	9.76	05/29/20	8.90	...	0.86	1 of 8 - Sale ²⁵
	1.000	9.76	05/29/20	8.90	...	0.86	2 of 8 - Sale ²⁵
	1.000	9.76	05/29/20	8.90	...	0.86	3 of 8 - Sale ²⁵
	4.000	39.04	05/29/20	35.59	...	3.45	4 of 8 - Sale ²⁵
	20.000	195.20	05/29/20	177.95	...	17.25	5 of 8 - Sale ²⁵
	100.000	975.96	05/29/20	889.75	...	86.21	6 of 8 - Sale ²⁵
	423.000	4,124.10	05/29/20	3,763.63	...	360.47	7 of 8 - Sale ²⁵
	450.000	4,396.35	05/29/20	4,003.88	...	392.47	8 of 8 - Sale ²⁵
06/02/20	1,000.000	9,759.93	Various	8,897.50	...	862.43	Total of 8 transactions
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	299.000	2,810.50	06/02/20	2,849.47	...	-38.97	1 of 3 - Sale ²⁵
	100.000	939.96	06/02/20	966.04	...	-26.08	2 of 3 - Sale ²⁵
	1.000	9.40	06/02/20	9.53	...	-0.13	3 of 3 - Sale ²⁵
06/22/20	400.000	3,759.86	Various	3,825.04	...	-65.18	Total of 3 transactions
06/22/20	100.000	939.96	06/02/20	953.00	13.04 W	0.00	Sale ²⁵
	Security total:	14,459.75		13,675.54	13.04 W	797.25	

VISION MARINE TECHNOLOGIES INC . COMMON SHARES / CUSIP: C96657116 / Symbol:

12 transactions for 12/21/20. Total proceeds and cost reported to the IRS.

	1.000	15.65	12/17/20	14.38	...	1.27	1 of 12 - Sale ²⁵
	1.000	15.65	12/17/20	14.32	...	1.33	2 of 12 - Sale ²⁵
	1.000	15.65	12/17/20	13.80	...	1.85	3 of 12 - Sale ²⁵
	1.000	15.65	12/17/20	13.80	...	1.85	4 of 12 - Sale ²⁵
	1.000	15.65	12/17/20	13.67	...	1.98	5 of 12 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VISION MARINE TECHNOLOGIES INC . COMMON SHARES / CUSIP: C96657116 / Symbol: (cont'd)							
	1.000	15.65	12/17/20	13.65	...	2.00	6 of 12 - Sale ²⁵
	1.000	15.65	12/17/20	13.60	...	2.05	7 of 12 - Sale ²⁵
	1.000	15.65	12/17/20	13.58	...	2.07	8 of 12 - Sale ²⁵
	1.000	15.65	12/17/20	13.47	...	2.18	9 of 12 - Sale ²⁵
	1.000	15.65	12/17/20	13.40	...	2.25	10 of 12 - Sale ²⁵
	1.000	15.65	12/17/20	13.07	...	2.58	11 of 12 - Sale ²⁵
	1.000	15.65	12/18/20	12.92	...	2.73	12 of 12 - Sale ²⁵
12/21/20	12.000	187.80	Various	163.66	...	24.14	Total of 12 transactions
AURIS MEDICAL HOLDING LTD. COM MON SHARES 0.01 SF (BERMUDA) / CUSIP: G07025201 / Symbol:							
10/13/20	100.000	132.99	10/12/20	102.00	...	30.99	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
29 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	100.000	22.79	11/19/20	19.50	...	3.29	1 of 29 - Sale ²⁵
	100.000	23.19	11/19/20	19.50	...	3.69	2 of 29 - Sale ²⁵
	100.000	23.49	11/19/20	19.50	...	3.99	3 of 29 - Sale ²⁵
	100.000	24.18	11/19/20	19.20	...	4.98	4 of 29 - Sale ²⁵
	100.000	24.18	11/19/20	19.20	...	4.98	5 of 29 - Sale ²⁵
	300.000	68.36	11/19/20	58.50	...	9.86	6 of 29 - Sale ²⁵
	300.000	68.36	11/19/20	58.50	...	9.86	7 of 29 - Sale ²⁵
	300.000	68.36	11/19/20	58.50	...	9.86	8 of 29 - Sale ²⁵
	100.000	29.99	11/19/20	19.20	...	10.79	9 of 29 - Sale ²⁵
	100.000	29.99	11/19/20	19.20	...	10.79	10 of 29 - Sale ²⁵
	300.000	69.56	11/19/20	58.50	...	11.06	11 of 29 - Sale ²⁵
	300.000	69.56	11/19/20	58.50	...	11.06	12 of 29 - Sale ²⁵
	300.000	69.56	11/19/20	58.50	...	11.06	13 of 29 - Sale ²⁵
	300.000	70.46	11/19/20	58.50	...	11.96	14 of 29 - Sale ²⁵
	300.000	70.46	11/19/20	58.50	...	11.96	15 of 29 - Sale ²⁵
	300.000	70.46	11/19/20	58.50	...	11.96	16 of 29 - Sale ²⁵
	300.000	70.46	11/19/20	58.50	...	11.96	17 of 29 - Sale ²⁵
	300.000	73.46	11/19/20	57.60	...	15.86	18 of 29 - Sale ²⁵
	400.000	96.71	11/19/20	76.80	...	19.91	19 of 29 - Sale ²⁵
	400.000	96.71	11/19/20	76.80	...	19.91	20 of 29 - Sale ²⁵
	200.000	59.97	11/19/20	38.40	...	21.57	21 of 29 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	700.000	164.42	11/19/20	136.50	...	27.92	22 of 29 - Sale ²⁵
	300.000	89.96	11/19/20	57.60	...	32.36	23 of 29 - Sale ²⁵
	300.000	89.96	11/19/20	57.60	...	32.36	24 of 29 - Sale ²⁵
	300.000	92.36	11/19/20	57.60	...	34.76	25 of 29 - Sale ²⁵
	1,000.000	229.88	11/19/20	195.00	...	34.88	26 of 29 - Sale ²⁵
	700.000	171.42	11/19/20	134.40	...	37.02	27 of 29 - Sale ²⁵
	500.000	163.44	11/19/20	96.00	...	67.44	28 of 29 - Sale ²⁵
	700.000	215.52	11/19/20	134.40	...	81.12	29 of 29 - Sale ²⁵
11/19/20	9,500.000	2,417.22	Various	1,839.00	...	578.22	Total of 29 transactions
	5 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	100.000	18.42	11/19/20	19.20	...	-0.78	1 of 5 - Sale ²⁵
	100.000	18.44	11/19/20	19.20	...	-0.76	2 of 5 - Sale ²⁵
	100.000	18.44	11/19/20	19.20	...	-0.76	3 of 5 - Sale ²⁵
	100.000	20.29	11/19/20	19.20	...	1.09	4 of 5 - Sale ²⁵
	100.000	20.54	11/19/20	19.20	...	1.34	5 of 5 - Sale ²⁵
11/25/20	500.000	96.13	Various	96.00	...	0.13	Total of 5 transactions
	Security total:	2,513.35		1,935.00	...	578.35	
NAVIOS MARITIME HOLDINGS INC. / CUSIP: Y62197119 / Symbol:							
12/01/20	100.000	274.99	11/30/20	270.00	...	4.99	Sale ²⁵
Totals :		186,838.70		170,969.42	3,588.53 W	19,457.81	

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:

7 transactions for 06/03/20. Total proceeds and cost reported to the IRS.

	4.000	21.72	09/19/19	26.12	...	-4.40	1 of 7 - Sale ²⁵
	1.000	5.43	09/19/19	5.90	...	-0.47	2 of 7 - Sale ²⁵
	2.000	10.86	09/19/19	10.56	...	0.30	3 of 7 - Sale ²⁵
	1.000	5.43	09/19/19	5.06	...	0.37	4 of 7 - Sale ²⁵
	4.000	21.72	09/20/19	25.83	...	-4.11	5 of 7 - Sale ²⁵
	2.000	10.86	09/20/19	14.60	...	-3.74	6 of 7 - Sale ²⁵
	2.000	10.86	09/20/19	12.51	...	-1.65	7 of 7 - Sale ²⁵
06/03/20	16.000	86.88	Various	100.58	...	-13.70	Total of 7 transactions

OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:

32 transactions for 05/20/20. Total proceeds and cost reported to the IRS.

	1.000	17.94	09/20/19	66.75	...	-48.81	1 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	61.85	...	-43.91	2 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	60.26	...	-42.32	3 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	59.06	...	-41.12	4 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	53.21	...	-35.27	5 of 32 - Sale ²⁵
	2.000	35.89	09/20/19	67.55	...	-31.66	6 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	38.69	...	-20.75	7 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	38.69	...	-20.75	8 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	37.75	...	-19.81	9 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	37.24	...	-19.30	10 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	36.91	...	-18.97	11 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	36.39	...	-18.45	12 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	35.88	...	-17.94	13 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	35.18	...	-17.24	14 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	32.13	...	-14.19	15 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	32.13	...	-14.19	16 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	24.54	...	-6.60	17 of 32 - Sale ²⁵
	1.000	17.95	09/20/19	24.54	...	-6.59	18 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	23.74	...	-5.80	19 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	19.95	...	-2.01	20 of 32 - Sale ²⁵
	2.000	35.89	09/20/19	37.75	...	-1.86	21 of 32 - Sale ²⁵
	2.000	35.89	09/20/19	37.75	...	-1.86	22 of 32 - Sale ²⁵

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Robinhood Securities LLC

Account 129593125

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	1.000	17.94	09/20/19	19.15	...	-1.21	23 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	18.87	...	-0.93	24 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	18.87	...	-0.93	25 of 32 - Sale ²⁵
	1.000	17.95	09/20/19	16.95	...	1.00	26 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	15.64	...	2.30	27 of 32 - Sale ²⁵
	1.000	17.94	09/20/19	15.64	...	2.30	28 of 32 - Sale ²⁵
	2.000	35.89	09/20/19	31.94	...	3.95	29 of 32 - Sale ²⁵
	2.000	35.89	09/20/19	31.85	...	4.04	30 of 32 - Sale ²⁵
	2.000	35.89	09/20/19	31.66	...	4.23	31 of 32 - Sale ²⁵
	5.000	89.73	09/20/19	80.07	...	9.66	32 of 32 - Sale ²⁵
05/20/20	42.000	753.59	Various	1,178.58	...	-424.99	Total of 32 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
16 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	6.000	15.86	07/31/19	22.49	...	-6.63	1 of 16 - Sale ²⁵
	2.000	5.29	07/31/19	7.55	...	-2.26	2 of 16 - Sale ²⁵
	2.000	5.29	09/19/19	150.73	...	-145.44	3 of 16 - Sale ²⁵
	9.000	23.80	09/19/19	73.71	...	-49.91	4 of 16 - Sale ²⁵
	9.000	23.80	09/19/19	70.78	...	-46.98	5 of 16 - Sale ²⁵
	8.000	21.15	09/19/19	64.03	...	-42.88	6 of 16 - Sale ²⁵
	6.000	15.86	09/19/19	47.18	...	-31.32	7 of 16 - Sale ²⁵
	19.000	50.24	09/19/19	77.43	...	-27.19	8 of 16 - Sale ²⁵
	19.000	50.24	09/19/19	72.16	...	-21.92	9 of 16 - Sale ²⁵
	7.000	18.51	09/19/19	39.58	...	-21.07	10 of 16 - Sale ²⁵
	3.000	7.93	09/19/19	24.01	...	-16.08	11 of 16 - Sale ²⁵
	2.000	5.29	09/19/19	16.03	...	-10.74	12 of 16 - Sale ²⁵
	2.000	5.29	09/19/19	14.45	...	-9.16	13 of 16 - Sale ²⁵
	1.000	2.64	09/19/19	8.01	...	-5.37	14 of 16 - Sale ²⁵
	1.000	2.64	09/19/19	7.23	...	-4.59	15 of 16 - Sale ²⁵
	1.000	2.64	09/19/19	3.80	...	-1.16	16 of 16 - Sale ²⁵
05/20/20	97.000	256.47	Various	699.17	...	-442.70	Total of 16 transactions
Totals :		1,096.94		1,978.33		-881.39	

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Robinhood Securities LLC

Account 129593125

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OSTK DIGITAL DIVIDEND / CUSIP: 690370507 / Symbol:							
4 transactions for 06/04/20							
	0.100	1.02	09/20/19	4.49	...	-3.47	Gain or loss
	0.100	1.03	09/20/19	4.16	...	-3.13	Gain or loss
	0.100	1.02	09/20/19	4.05	...	-3.03	Gain or loss
	0.100	1.03	09/20/19	3.97	...	-2.94	Gain or loss
06/04/20	0.400	4.10	Various	16.67	...	-12.57	Total of 4 transactions
Totals:		4.10		16.67	...	-12.57	

Robinhood Securities LLC

Account 129593125

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	1.85	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	2.04	Qualified dividend	
				3.89	Total Dividends & distributions	

Robinhood Securities LLC

Account 129593125

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/04/20	-0.06	Margin interest paid	
		02/04/20	-5.00	Margin interest paid	
		03/05/20	-0.21	Margin interest paid	
		04/06/20	-0.01	Margin interest paid	
		05/28/20	0.01	Adj- Margin interest paid	
		06/03/20	-5.00	Margin interest paid	
		07/06/20	-0.44	Margin interest paid	
		07/06/20	-5.00	Margin interest paid	
		07/14/20	0.01	Adj- Margin interest paid	
		08/03/20	-1.45	Margin interest paid	
		08/03/20	-5.00	Margin interest paid	
		09/01/20	-3.47	Margin interest paid	
		09/01/20	-5.00	Margin interest paid	
		10/01/20	-3.47	Margin interest paid	
		10/01/20	-5.00	Margin interest paid	
		11/02/20	-3.76	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		11/30/20	-0.88	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		12/30/20	-5.00	Margin interest paid	
		12/30/20	-12.30	Margin interest paid	
			-71.03	Total Margin interest paid	
			-71.03	Total Margin interest paid	

Robinhood Securities LLC

Account 129593125

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
FIKER INC.	33813J106	10/29/20	815.00	0.00	Exchange	
OSTK DIGITAL DIVIDEND	690370507	05/19/20	73.00	0.00	Stock spinoff	
SPARTAN ENERGY ACQUISITION COR P.	846784106	10/29/20	-815.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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