

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 510369317</b>	Statement Date: 02/14/2021 Document ID: 1B91 TE5 5HRQ	2020
	Satish Singamsetti 1702 Harfield Dr 243 Indianapolis, IN 46260  RECIPIENT'S TIN: XXX-XX-5929		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	2.86		2- Royalties	0.00	
1b- Qualified dividends	0.06		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail	0.42				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	174,494.19	188,474.72	0.00	10,516.81	-3,463.72
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>174,494.19</b>	<b>188,474.72</b>	<b>0.00</b>	<b>10,516.81</b>	<b>-3,463.72</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>174,494.19</b>	<b>188,474.72</b>	<b>0.00</b>	<b>10,516.81</b>	<b>-3,463.72</b>

  

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 510369317

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	163.99	11/09/20	152.00	...	11.99	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	655.98	11/09/20	608.00	...	47.98	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	819.97	11/09/20	760.00	...	59.97	3 of 3 - Option sale to close-call <sup>25</sup>
11/10/20	10.000	1,639.94	Various	1,520.00	...	119.94	Total of 3 transactions
AAL 11/13/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	3.000	284.98	11/09/20	225.00	...	59.98	1 of 2 - Option sale to close-call <sup>25</sup>
	11.000	1,033.95	11/09/20	825.00	...	208.95	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/20	14.000	1,318.93	Various	1,050.00	...	268.93	Total of 2 transactions

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Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/31/2020 CALL \$410.00 / CUSIP: / Symbol:							
07/20/20	1.000	474.97	07/20/20	485.00	...	-10.03	Option sale to close-call <sup>25</sup>
AAPL 07/31/2020 CALL \$435.00 / CUSIP: / Symbol:							
7 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	2.000	95.98	07/21/20	220.00	...	-124.02	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	47.98	07/21/20	110.00	...	-62.02	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	47.98	07/21/20	110.00	...	-62.02	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	47.98	07/21/20	110.00	...	-62.02	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	47.98	07/21/20	110.00	...	-62.02	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	47.98	07/21/20	110.00	...	-62.02	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	47.98	07/21/20	110.00	...	-62.02	7 of 7 - Option sale to close-call <sup>25</sup>
07/23/20	8.000	383.86	Various	880.00	...	-496.14	Total of 7 transactions
AAPL 08/07/2020 PUT \$415.00 / CUSIP: / Symbol:							
08/03/20	1.000	100.98	07/31/20	470.00	...	-369.02	Option sale to close-put <sup>25</sup>
AAPL 08/28/2020 CALL \$510.00 / CUSIP: / Symbol:							
08/25/20	1.000	599.97	08/25/20	565.00	...	34.97	Option sale to close-call <sup>25</sup>
AAPL 09/04/2020 CALL \$135.00 / CUSIP: / Symbol:							
09/01/20	1.000	199.98	09/01/20	145.00	...	54.98	Option sale to close-call <sup>25</sup>
AAPL 10/09/2020 CALL \$115.00 / CUSIP: / Symbol:							
10/07/20	1.000	133.98	10/06/20	112.00	...	21.98	Option sale to close-call <sup>25</sup>
AAPL 10/09/2020 PUT \$115.00 / CUSIP: / Symbol:							
3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	309.99	10/02/20	261.00	...	48.99	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	309.99	10/02/20	258.00	...	51.99	2 of 3 - Option sale to close-put <sup>25</sup>
	3.000	929.97	10/02/20	777.00	...	152.97	3 of 3 - Option sale to close-put <sup>25</sup>
10/02/20	5.000	1,549.95	Various	1,296.00	...	253.95	Total of 3 transactions

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Account 510369317

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/30/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/21/20	1.000	499.98	09/18/20	400.00	...	99.98	Option sale to close-call <sup>25</sup>
09/21/20	1.000	499.98	09/17/20	500.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	499.98	09/23/20	495.02	...	4.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.98	09/23/20	475.00	...	24.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/25/20	2.000	999.96	Various	970.02	...	29.94	Total of 2 transactions
<b>Security total:</b>		<b>1,999.92</b>		<b>1,870.02</b>	<b>0.02 W</b>	<b>129.92</b>	
AAPL 10/30/2020 CALL \$121.00 / CUSIP: / Symbol:							
4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	2.000	519.97	10/16/20	800.00	...	-280.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	259.98	10/16/20	400.00	...	-140.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	259.98	10/16/20	400.00	...	-140.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	259.98	10/16/20	400.00	...	-140.02	4 of 4 - Option sale to close-call <sup>25</sup>
10/19/20	5.000	1,299.91	Various	2,000.00	...	-700.09	Total of 4 transactions
AAPL 10/30/2020 CALL \$125.00 / CUSIP: / Symbol:							
10 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	2.000	67.99	10/13/20	640.00	...	-572.01	1 of 10 - Option sale to close-call <sup>25</sup>
	4.000	135.99	10/16/20	1,072.00	...	-936.01	2 of 10 - Option sale to close-call <sup>25</sup>
	5.000	169.98	10/21/20	735.00	...	-565.02	3 of 10 - Option sale to close-call <sup>25</sup>
	3.000	101.99	10/21/20	441.00	...	-339.01	4 of 10 - Option sale to close-call <sup>25</sup>
	2.000	67.99	10/22/20	220.00	...	-152.01	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	34.00	10/22/20	110.00	...	-76.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	34.00	10/22/20	110.00	...	-76.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	33.98	10/29/20	352.00	...	-318.02	8 of 10 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/30/2020 CALL \$125.00 / CUSIP: / Symbol: (cont'd)							
	1.000	33.98	10/29/20	352.00	...	-318.02	9 of 10 - Option sale to close-call <sup>25</sup>
	10.000	339.97	10/29/20	240.00	...	99.97	10 of 10 - Option sale to close-call <sup>25</sup>
10/29/20	30.000	1,019.87	Various	4,272.00	...	-3,252.13	Total of 10 transactions
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	34.00	10/13/20	362.00	328.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	34.00	10/13/20	362.00	328.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/29/20	2.000	68.00	Various	724.00	656.00 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,087.87</b>		<b>4,996.00</b>	<b>656.00 W</b>	<b>-3,252.13</b>	
AAPL 10/30/2020 CALL \$155.00 / CUSIP: / Symbol:							
09/21/20	1.000	39.98	09/18/20	35.00	...	4.98	Option sale to close-call <sup>25</sup>
AAPL 10/30/2020 PUT \$113.00 / CUSIP: / Symbol:							
3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	271.98	10/29/20	138.00	...	133.98	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	815.97	10/29/20	414.00	...	401.97	2 of 3 - Option sale to close-put <sup>25</sup>
	4.000	1,087.97	10/29/20	532.00	...	555.97	3 of 3 - Option sale to close-put <sup>25</sup>
10/30/20	8.000	2,175.92	Various	1,084.00	...	1,091.92	Total of 3 transactions
AAPL 11/06/2020 PUT \$110.00 / CUSIP: / Symbol:							
11 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	5.000	24.99	11/03/20	1,000.00	...	-975.01	1 of 11 - Option sale to close-put <sup>25</sup>
	2.000	10.00	11/03/20	398.00	...	-388.00	2 of 11 - Option sale to close-put <sup>25</sup>
	1.000	5.00	11/03/20	199.00	...	-194.00	3 of 11 - Option sale to close-put <sup>25</sup>
	1.000	5.00	11/03/20	199.00	...	-194.00	4 of 11 - Option sale to close-put <sup>25</sup>
	1.000	5.00	11/03/20	199.00	...	-194.00	5 of 11 - Option sale to close-put <sup>25</sup>
	8.000	39.98	11/04/20	504.00	...	-464.02	6 of 11 - Option sale to close-put <sup>25</sup>
	7.000	34.98	11/04/20	441.00	...	-406.02	7 of 11 - Option sale to close-put <sup>25</sup>
	12.000	59.97	11/04/20	432.00	...	-372.03	8 of 11 - Option sale to close-put <sup>25</sup>
	7.000	34.98	11/04/20	252.00	...	-217.02	9 of 11 - Option sale to close-put <sup>25</sup>
	4.000	19.99	11/04/20	144.00	...	-124.01	10 of 11 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 510369317

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/06/2020 PUT \$110.00 / CUSIP: / Symbol: (cont'd)							
	2.000	10.00	11/04/20	72.00	...	-62.00	11 of 11 - Option sale to close-put <sup>25</sup>
11/05/20	50.000	249.89	Various	3,840.00	...	-3,590.11	Total of 11 transactions
AAPL 11/06/2020 PUT \$113.00 / CUSIP: / Symbol:							
3 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	10.000	99.97	11/04/20	930.00	...	-830.03	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	29.98	11/04/20	279.00	...	-249.02	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	19.98	11/04/20	186.00	...	-166.02	3 of 3 - Option sale to close-put <sup>25</sup>
11/05/20	15.000	149.93	Various	1,395.00	...	-1,245.07	Total of 3 transactions
AAPL 11/13/2020 PUT \$116.00 / CUSIP: / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	72.99	11/06/20	236.00	...	-163.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	72.99	11/06/20	122.00	...	-49.01	2 of 2 - Option sale to close-put <sup>25</sup>
11/09/20	2.000	145.98	Various	358.00	...	-212.02	Total of 2 transactions
AAPL 11/13/2020 PUT \$117.00 / CUSIP: / Symbol:							
11/05/20	5.000	1,099.96	11/05/20	1,235.00	...	-135.04	Option sale to close-put <sup>25</sup>
ACB 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	154.99	11/09/20	250.00	...	-95.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	154.99	11/09/20	250.00	...	-95.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	155.00	11/10/20	87.00	...	68.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	154.99	11/16/20	24.00	...	130.99	4 of 4 - Option sale to close-call <sup>25</sup>
11/30/20	4.000	619.97	Various	611.00	...	8.97	Total of 4 transactions
ACB 09/18/2020 CALL \$8.50 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/08/20	32.00	...	-32.00	Option expiration- long call

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Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ACB 11/13/2020 CALL \$5.50 / CUSIP: / Symbol:							
3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	434.99	11/05/20	68.00	...	366.99	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	2,174.94	11/05/20	400.00	...	1,774.94	2 of 3 - Option sale to close-call <sup>25</sup>
	9.000	3,914.89	11/05/20	720.00	...	3,194.89	3 of 3 - Option sale to close-call <sup>25</sup>
11/06/20	15.000	6,524.82	Various	1,188.00	...	5,336.82	Total of 3 transactions
ACB 11/20/2020 PUT \$4.00 / CUSIP: / Symbol:							
6 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	10.000	19.97	11/06/20	90.00	...	-70.03	1 of 6 - Option sale to close-put <sup>25</sup>
	5.000	9.98	11/06/20	45.00	...	-35.02	2 of 6 - Option sale to close-put <sup>25</sup>
	2.000	3.98	11/06/20	18.00	...	-14.02	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1.98	11/06/20	9.00	...	-7.02	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1.98	11/06/20	9.00	...	-7.02	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1.98	11/06/20	9.00	...	-7.02	6 of 6 - Option sale to close-put <sup>25</sup>
11/09/20	20.000	39.87	Various	180.00	...	-140.13	Total of 6 transactions
AMD 09/04/2020 CALL \$91.50 / CUSIP: / Symbol:							
08/31/20	1.000	185.98	08/31/20	93.00	...	92.98	Option sale to close-call <sup>25</sup>
AMD 10/23/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/29/20	1.000	594.97	09/28/20	380.00	...	214.97	Option sale to close-call <sup>25</sup>
AMD 10/30/2020 CALL \$83.00 / CUSIP: / Symbol:							
6 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	300.99	10/22/20	265.00	...	35.99	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	601.98	10/22/20	530.00	...	71.98	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	601.98	10/22/20	530.00	...	71.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	300.98	10/22/20	213.00	...	87.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	300.99	10/22/20	213.00	...	87.99	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	300.99	10/22/20	213.00	...	87.99	6 of 6 - Option sale to close-call <sup>25</sup>
10/23/20	8.000	2,407.91	Various	1,964.00	...	443.91	Total of 6 transactions

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 10/30/2020 CALL \$83.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	440.98	10/22/20	213.00	...	227.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	440.98	10/22/20	213.00	...	227.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/26/20	2.000	881.96	Various	426.00	...	455.96	Total of 2 transactions
	<b>Security total:</b>	<b>3,289.87</b>		<b>2,390.00</b>	...	<b>899.87</b>	
AMD 11/13/2020 CALL \$85.50 / CUSIP: / Symbol:							
13 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	2.000	37.99	11/09/20	674.02	...	-636.03	1 of 13 - Option sale to close-call <sup>25</sup>
	2.000	38.00	11/09/20	674.02	...	-636.02	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	19.00	11/09/20	336.02	...	-317.02	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	19.00	11/11/20	180.02	...	-161.02	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	19.00	11/11/20	180.02	...	-161.02	5 of 13 - Option sale to close-call <sup>25</sup>
	1.000	19.00	11/11/20	180.02	...	-161.02	6 of 13 - Option sale to close-call <sup>25</sup>
	1.000	19.00	11/11/20	180.01	...	-161.01	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	19.00	11/11/20	180.01	...	-161.01	8 of 13 - Option sale to close-call <sup>25</sup>
	1.000	18.99	11/11/20	10.00	...	8.99	9 of 13 - Option sale to close-call <sup>25</sup>
	1.000	19.00	11/11/20	10.00	...	9.00	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	19.00	11/11/20	10.00	...	9.00	11 of 13 - Option sale to close-call <sup>25</sup>
	2.000	37.99	11/11/20	20.00	...	17.99	12 of 13 - Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 11/13/2020 CALL \$85.50 / CUSIP: / Symbol: (cont'd)							
	5.000	94.98	11/11/20	50.00	...	44.98	13 of 13 - Option sale to close-call <sup>25</sup>
11/12/20	20.000	379.95	Various	2,684.14	...	-2,304.19	Total of 13 transactions
	7 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	1.000	19.98	11/09/20	189.00	169.02 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	37.98	11/09/20	378.00	340.02 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	37.98	11/09/20	378.00	340.02 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	18.98	11/09/20	189.00	170.02 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	37.98	11/09/20	378.00	340.02 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	18.98	11/09/20	189.00	170.02 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	18.98	11/09/20	189.00	170.02 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
11/12/20	10.000	190.86	Various	1,890.00	1,699.14 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>570.81</b>		<b>4,574.14</b>	<b>1,699.14 W</b>	<b>-2,304.19</b>	
BA 10/30/2020 CALL \$170.00 / CUSIP: / Symbol:							
10/07/20	1.000	734.97	10/06/20	635.00	...	99.97	Option sale to close-call <sup>25</sup>
BA 10/30/2020 CALL \$200.00 / CUSIP: / Symbol:							
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	1.000	324.99	09/30/20	320.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	324.98	09/30/20	260.00	...	64.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/01/20	2.000	649.97	Various	580.00	...	69.97	Total of 2 transactions
BABA 12/04/2020 CALL \$260.00 / CUSIP: / Symbol:							
11/20/20	1.000	1,031.96	11/19/20	540.00	...	491.96	Option sale to close-call <sup>25</sup>
BABA 12/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
	3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	3.000	158.99	11/13/20	510.00	...	-351.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	52.99	11/13/20	289.01	...	-236.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	53.00	11/13/20	289.00	...	-236.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/19/20	5.000	264.98	Various	1,088.01	...	-823.03	Total of 3 transactions
11/19/20	5.000	264.98	11/13/20	850.00	234.01 W	-351.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>529.96</b>		<b>1,938.01</b>	<b>234.01 W</b>	<b>-1,174.04</b>	

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Account 510369317

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BABA 12/04/2020 CALL \$310.00 / CUSIP: / Symbol:							
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	6.000	221.98	11/13/20	648.00	...	-426.02	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	110.99	11/13/20	324.00	...	-213.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	37.00	11/13/20	108.00	...	-71.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/19/20	10.000	369.97	Various	1,080.00	...	-710.03	Total of 3 transactions
BEPC 12/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
11/09/20	1.000	109.98	11/06/20	40.00	...	69.98	Option sale to close-call <sup>25</sup>
C 06/12/2020 CALL \$50.00 / CUSIP: / Symbol:							
06/02/20	1.000	241.98	06/01/20	167.00	...	74.98	Option sale to close-call <sup>25</sup>
C 06/26/2020 CALL \$47.50 / CUSIP: / Symbol:							
05/29/20	1.000	364.98	05/29/20	325.00	...	39.98	Option sale to close-call <sup>25</sup>
CHWY 12/11/2020 PUT \$77.50 / CUSIP: / Symbol:							
12/11/20	2.000	3.98	12/08/20	592.00	...	-588.02	Option sale to close-put <sup>25</sup>
CI 07/31/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	199.98	07/27/20	182.00	...	17.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.98	07/27/20	182.00	...	17.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/28/20	2.000	399.96	Various	364.00	...	35.96	Total of 2 transactions
CI 11/13/2020 PUT \$220.00 / CUSIP: / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	3.000	671.98	11/11/20	546.00	...	125.98	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	447.98	11/12/20	250.00	...	197.98	2 of 2 - Option sale to close-put <sup>25</sup>
11/12/20	5.000	1,119.96	Various	796.00	...	323.96	Total of 2 transactions
11/13/20	2.000	219.98	11/12/20	250.00	...	-30.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,339.94</b>		<b>1,046.00</b>	...	<b>293.94</b>	
CI 11/20/2020 PUT \$210.00 / CUSIP: / Symbol:							
11/19/20	2.000	769.97	11/18/20	248.00	...	521.97	Option sale to close-put <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CI 11/27/2020 CALL \$200.00 / CUSIP: / Symbol:							
11/03/20	10.000	1,449.94	10/30/20	800.00	...	649.94	Option sale to close-call <sup>25</sup>
CI 11/27/2020 CALL \$220.00 / CUSIP: / Symbol:							
	<i>3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	234.99	11/06/20	150.00	...	84.99	1 of 3 - Option sale to close-call <sup>25</sup>
	9.000	2,114.93	11/06/20	1,260.00	...	854.93	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	2,349.92	11/06/20	1,100.00	...	1,249.92	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/20	20.000	4,699.84	Various	2,510.00	...	2,189.84	Total of 3 transactions
COF 07/02/2020 CALL \$95.00 / CUSIP: / Symbol:							
07/02/20	1.000	0.00	06/08/20	101.00	...	-101.00	Option expiration- long call
COUP 12/11/2020 PUT \$307.50 / CUSIP: / Symbol:							
12/08/20	1.000	739.97	12/07/20	870.00	...	-130.03	Option sale to close-put <sup>25</sup>
CSX 10/23/2020 CALL \$79.50 / CUSIP: / Symbol:							
10/22/20	10.000	2,799.91	10/21/20	1,440.00	...	1,359.91	Option sale to close-call <sup>25</sup>
CVS 11/27/2020 CALL \$61.50 / CUSIP: / Symbol:							
11/06/20	10.000	2,599.92	11/05/20	1,890.00	...	709.92	Option sale to close-call <sup>25</sup>
DG 09/04/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/27/20	1.000	99.98	08/26/20	290.00	...	-190.02	Option sale to close-call <sup>25</sup>
DLTR 08/28/2020 CALL \$104.00 / CUSIP: / Symbol:							
08/26/20	1.000	229.98	08/25/20	278.00	...	-48.02	Option sale to close-call <sup>25</sup>
DLTR 11/20/2020 CALL \$94.50 / CUSIP: / Symbol:							
11/20/20	10.000	699.96	11/19/20	500.00	...	199.96	Option sale to close-call <sup>25</sup>
ESTC 06/19/2020 CALL \$95.00 / CUSIP: / Symbol:							
06/03/20	1.000	439.98	06/03/20	440.00	...	-0.02	Option sale to close-call <sup>25</sup>

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Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 10/30/2020 CALL \$275.00 / CUSIP: / Symbol:							
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	919.96	10/28/20	890.00	...	29.96	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	5,099.87	10/28/20	3,320.00	...	1,779.87	2 of 2 - Option sale to close-call <sup>25</sup>
10/29/20	5.000	6,019.83	Various	4,210.00	...	1,809.83	Total of 2 transactions
FB 10/30/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	10/16/20	187.00	...	112.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	1,499.95	10/16/20	748.00	...	751.95	2 of 2 - Option sale to close-call <sup>25</sup>
10/21/20	5.000	1,799.93	Various	935.00	...	864.93	Total of 2 transactions
10/29/20	6.000	1,703.95	10/29/20	954.00	...	749.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,503.88</b>		<b>1,889.00</b>	...	<b>1,614.88</b>	
FROG 11/20/2020 CALL \$75.00 / CUSIP: / Symbol:							
11/04/20	2.000	1,359.95	11/03/20	1,160.00	...	199.95	Option sale to close-call <sup>25</sup>
FSLR 11/06/2020 CALL \$80.00 / CUSIP: / Symbol:							
11/05/20	10.000	4,249.88	11/04/20	1,630.00	...	2,619.88	Option sale to close-call <sup>25</sup>
FSLR 11/06/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	49.99	11/05/20	105.00	...	-55.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	49.99	11/05/20	90.00	...	-40.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/06/20	2.000	99.98	Various	195.00	...	-95.02	Total of 2 transactions
GD 06/19/2020 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/08/20	400.00	...	-400.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/15/20	5.00	...	-5.00	2 of 2 - Option expiration- long call
06/19/20	3.000	0.00	Various	405.00	...	-405.00	Total of 2 transactions
HTZ 06/19/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/09/20	6.000	599.97	06/08/20	540.00	...	59.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JD 09/04/2020 CALL \$84.00 / CUSIP: / Symbol:							
09/04/20	2.000	0.00	09/02/20	224.00	...	-224.00	Option expiration- long call
JD 11/20/2020 CALL \$95.00 / CUSIP: / Symbol:							
3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	359.98	11/12/20	162.00	...	197.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	719.97	11/12/20	324.00	...	395.97	2 of 3 - Option sale to close-call <sup>25</sup>
	7.000	1,763.95	11/12/20	1,134.00	...	629.95	3 of 3 - Option sale to close-call <sup>25</sup>
11/13/20	10.000	2,843.90	Various	1,620.00	...	1,223.90	Total of 3 transactions
JD 11/20/2020 PUT \$80.00 / CUSIP: / Symbol:							
7 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	2.000	19.99	11/17/20	316.03	...	-296.04	1 of 7 - Option sale to close-put <sup>25</sup>
	3.000	29.99	11/17/20	216.02	...	-186.03	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/17/20	180.01	...	-170.01	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/17/20	158.02	...	-148.02	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/17/20	158.01	...	-148.01	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/17/20	152.02	...	-142.02	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	9.99	11/17/20	106.00	...	-96.01	7 of 7 - Option sale to close-put <sup>25</sup>
11/18/20	10.000	99.97	Various	1,286.11	...	-1,186.14	Total of 7 transactions
17 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	9.000	89.97	11/16/20	423.00	333.03 W	0.00	1 of 17 - Option sale to close-put <sup>25</sup>
	1.000	9.98	11/16/20	47.00	37.02 W	0.00	2 of 17 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/16/20	84.02	74.02 W	0.00	3 of 17 - Option sale to close-put <sup>25</sup>
	9.000	89.97	11/16/20	756.03	666.06 W	0.00	4 of 17 - Option sale to close-put <sup>25</sup>
	4.000	39.99	11/16/20	128.00	88.01 W	0.00	5 of 17 - Option sale to close-put <sup>25</sup>
	6.000	59.98	11/16/20	636.04	576.06 W	0.00	6 of 17 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/16/20	118.02	108.02 W	0.00	7 of 17 - Option sale to close-put <sup>25</sup>
	3.000	29.99	11/16/20	354.02	324.03 W	0.00	8 of 17 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/16/20	66.00	56.00 W	0.00	9 of 17 - Option sale to close-put <sup>25</sup>
	6.000	59.98	11/16/20	840.06	780.08 W	0.00	10 of 17 - Option sale to close-put <sup>25</sup>
	3.000	29.99	11/16/20	198.01	168.02 W	0.00	11 of 17 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 510369317

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JD 11/20/2020 PUT \$80.00 / CUSIP: / Symbol: (cont'd)							
	1.000	10.00	11/16/20	152.01	142.01 W	0.00	12 of 17 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/16/20	152.02	142.02 W	0.00	13 of 17 - Option sale to close-put <sup>25</sup>
	2.000	19.99	11/16/20	304.02	284.03 W	0.00	14 of 17 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/16/20	100.00	90.00 W	0.00	15 of 17 - Option sale to close-put <sup>25</sup>
	1.000	10.00	11/16/20	174.01	164.01 W	0.00	16 of 17 - Option sale to close-put <sup>25</sup>
	5.000	49.98	11/17/20	730.07	136.02 W	-544.07	17 of 17 - Option sale to close-put <sup>25</sup>
11/18/20	55.000	549.82	Various	5,262.33	4,168.44 W	-544.07	Total of 17 transactions
	<b>Security total:</b>	<b>649.79</b>		<b>6,548.44</b>	<b>4,168.44 W</b>	<b>-1,730.21</b>	
JD 11/27/2020 CALL \$100.00 / CUSIP: / Symbol:							
11/09/20	10.000	999.95	11/06/20	1,930.00	...	-930.05	Option sale to close-call <sup>25</sup>
JPM 06/12/2020 CALL \$98.50 / CUSIP: / Symbol:							
06/02/20	1.000	364.98	06/01/20	310.00	...	54.98	Option sale to close-call <sup>25</sup>
JPM 10/02/2020 CALL \$96.50 / CUSIP: / Symbol:							
	2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	85.98	09/25/20	75.04	...	10.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	85.98	09/25/20	47.00	...	38.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/28/20	2.000	171.96	Various	122.04	...	49.92	Total of 2 transactions
	2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	85.98	09/23/20	100.00	14.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	85.98	09/23/20	114.02	28.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/28/20	2.000	171.96	Various	214.02	42.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>343.92</b>		<b>336.06</b>	<b>42.06 W</b>	<b>49.92</b>	
JPM 10/30/2020 CALL \$100.00 / CUSIP: / Symbol:							
10/01/20	2.000	423.98	09/30/20	414.00	...	9.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JPM 11/06/2020 PUT \$103.00 / CUSIP: / Symbol:							
11/04/20	5.000	1,624.95	11/03/20	945.00	...	679.95	Option sale to close-put <sup>25</sup>
JPM 11/13/2020 PUT \$104.00 / CUSIP: / Symbol:							
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	3.000	23.99	11/05/20	480.00	...	-456.01	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	15.99	11/05/20	380.00	...	-364.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	8.00	11/05/20	190.00	...	-182.00	3 of 3 - Option sale to close-put <sup>25</sup>
11/09/20	6.000	47.98	Various	1,050.00	...	-1,002.02	Total of 3 transactions
JPM 11/13/2020 PUT \$116.00 / CUSIP: / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	3.000	650.97	11/10/20	525.00	...	125.97	1 of 2 - Option sale to close-put <sup>25</sup>
	14.000	2,967.90	11/10/20	2,450.00	...	517.90	2 of 2 - Option sale to close-put <sup>25</sup>
11/11/20	17.000	3,618.87	Various	2,975.00	...	643.87	Total of 2 transactions
KR 07/17/2020 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	5.000	49.98	06/16/20	140.00	...	-90.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	9.99	06/16/20	48.00	...	-38.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	9.99	06/16/20	28.00	...	-18.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/18/20	7.000	69.96	Various	216.00	...	-146.04	Total of 3 transactions
06/18/20	1.000	10.00	06/12/20	30.00	20.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>79.96</b>		<b>246.00</b>	<b>20.00 W</b>	<b>-146.04</b>	
LI 11/20/2020 PUT \$25.00 / CUSIP: / Symbol:							
11/19/20	2.000	1.99	11/13/20	142.00	...	-140.01	Option sale to close-put <sup>25</sup>
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	2.000	1.99	11/13/20	142.00	70.01 W	-70.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1.00	11/13/20	71.00	70.00 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
11/19/20	3.000	2.99	Various	213.00	140.01 W	-70.00	Total of 2 transactions
4 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	11/13/20	355.00	...	-355.00	1 of 4 - Option expiration- long put
	8.000	0.00	11/16/20	168.00	...	-168.00	2 of 4 - Option expiration- long put
	1.000	0.00	11/16/20	91.01	...	-91.01	3 of 4 - Option expiration- long put

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Account 510369317

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LI 11/20/2020 PUT \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	11/16/20	91.00	...	-91.00	4 of 4 - Option expiration- long put
11/20/20	15.000	0.00	Various	705.01	...	-705.01	Total of 4 transactions
	<b>Security total:</b>	<b>4.98</b>		<b>1,060.01</b>	<b>140.01 W</b>	<b>-915.02</b>	
LVGO 08/07/2020 CALL \$155.00 / CUSIP: / Symbol:							
08/07/20	1.000	0.00	08/05/20	80.00	...	-80.00	Option expiration- long call
LYFT 11/06/2020 CALL \$23.00 / CUSIP: / Symbol:							
3 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	277.99	10/30/20	174.00	...	103.99	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	1,111.96	10/30/20	704.00	...	407.96	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	1,389.96	10/30/20	880.00	...	509.96	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/20	10.000	2,779.91	Various	1,758.00	...	1,021.91	Total of 3 transactions
MA 07/24/2020 CALL \$340.00 / CUSIP: / Symbol:							
06/10/20	2.000	579.97	06/09/20	560.00	...	19.97	Option sale to close-call <sup>25</sup>
MFA 06/19/2020 CALL \$3.00 / CUSIP: / Symbol:							
06/16/20	5.000	104.98	06/15/20	175.00	...	-70.02	Option sale to close-call <sup>25</sup>
MFA 06/19/2020 PUT \$2.00 / CUSIP: / Symbol:							
06/16/20	4.000	39.98	06/15/20	36.00	...	3.98	Option sale to close-put <sup>25</sup>
06/19/20	6.000	0.00	06/15/20	54.00	...	-54.00	Option expiration- long put
	<b>Security total:</b>	<b>39.98</b>		<b>90.00</b>	<b>...</b>	<b>-50.02</b>	
MGM 06/26/2020 CALL \$16.50 / CUSIP: / Symbol:							
06/01/20	1.000	232.98	05/29/20	187.00	...	45.98	Option sale to close-call <sup>25</sup>
MRNA 08/07/2020 CALL \$81.00 / CUSIP: / Symbol:							
08/05/20	1.000	89.98	08/04/20	206.00	...	-116.02	Option sale to close-call <sup>25</sup>
MRNA 08/07/2020 CALL \$93.00 / CUSIP: / Symbol:							
08/03/20	1.000	30.98	07/30/20	135.00	...	-104.02	Option sale to close-call <sup>25</sup>

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Account 510369317

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MRNA 08/28/2020 CALL \$70.00 / CUSIP: / Symbol:							
5 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	77.98	08/24/20	64.00	...	13.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	81.99	08/24/20	64.00	...	17.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	81.99	08/24/20	64.00	...	17.99	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	233.98	08/24/20	192.00	...	41.98	4 of 5 - Option sale to close-call <sup>25</sup>
	9.000	737.96	08/24/20	576.00	...	161.96	5 of 5 - Option sale to close-call <sup>25</sup>
08/25/20	15.000	1,213.90	Various	960.00	...	253.90	Total of 5 transactions
MRNA 09/04/2020 CALL \$76.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	223.98	08/26/20	99.00	...	124.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	447.98	08/26/20	198.00	...	249.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	3.000	671.96	Various	297.00	...	374.96	Total of 2 transactions
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	89.99	08/26/20	300.02	...	-210.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	90.00	08/26/20	185.00	...	-95.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	89.99	08/26/20	160.00	...	-70.01	3 of 3 - Option sale to close-call <sup>25</sup>
08/27/20	3.000	269.98	Various	645.02	...	-375.04	Total of 3 transactions
08/27/20	1.000	89.98	08/26/20	195.00	105.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,031.92</b>		<b>1,137.02</b>	<b>105.02 W</b>	<b>-0.08</b>	
MSFT 01/15/2021 CALL \$200.00 / CUSIP: / Symbol:							
11/02/20	1.000	1,499.95	10/30/20	1,395.00	...	104.95	Option sale to close-call <sup>25</sup>
MSFT 08/28/2020 CALL \$227.50 / CUSIP: / Symbol:							
4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	3.000	164.99	08/26/20	225.00	...	-60.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	55.00	08/26/20	35.00	...	20.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	54.99	08/26/20	30.00	...	24.99	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	164.99	08/26/20	135.00	...	29.99	4 of 4 - Option sale to close-call <sup>25</sup>
08/27/20	8.000	439.97	Various	425.00	...	14.97	Total of 4 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 10/09/2020 CALL \$212.50 / CUSIP: / Symbol:							
4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	40.00	10/05/20	110.00	...	-70.00	1 of 4 - Option sale to close-call <sup>25</sup>
	4.000	159.98	10/06/20	360.00	...	-200.02	2 of 4 - Option sale to close-call <sup>25</sup>
	7.000	279.98	10/06/20	280.00	...	-0.02	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	119.99	10/06/20	120.00	...	-0.01	4 of 4 - Option sale to close-call <sup>25</sup>
10/06/20	15.000	599.95	Various	870.00	...	-270.05	Total of 4 transactions
MSFT 10/23/2020 CALL \$215.00 / CUSIP: / Symbol:							
10/21/20	5.000	1,224.96	10/20/20	1,115.00	...	109.96	Option sale to close-call <sup>25</sup>
MSFT 10/23/2020 CALL \$220.00 / CUSIP: / Symbol:							
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	6.000	3,989.90	10/02/20	1,440.00	...	2,549.90	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	664.98	10/05/20	200.00	...	464.98	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	3,324.92	10/05/20	1,000.00	...	2,324.92	3 of 3 - Option sale to close-call <sup>25</sup>
10/13/20	12.000	7,979.80	Various	2,640.00	...	5,339.80	Total of 3 transactions
10/14/20	10.000	4,649.87	10/14/20	4,350.00	...	299.87	Option sale to close-call <sup>25</sup>
5 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	349.99	10/15/20	285.02	...	64.97	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	349.98	10/15/20	285.01	...	64.97	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	699.98	10/15/20	570.03	...	129.95	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	699.98	10/15/20	570.03	...	129.95	4 of 5 - Option sale to close-call <sup>25</sup>
	4.000	1,399.96	10/15/20	1,140.04	...	259.92	5 of 5 - Option sale to close-call <sup>25</sup>
10/15/20	10.000	3,499.89	Various	2,850.13	...	649.76	Total of 5 transactions
4 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	2.000	699.97	10/15/20	730.00	30.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	349.98	10/15/20	365.00	15.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,749.95	10/15/20	1,825.00	75.05 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	699.97	10/15/20	730.00	30.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/15/20	10.000	3,499.87	Various	3,650.00	150.13 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>19,629.43</b>		<b>13,490.13</b>	<b>150.13 W</b>	<b>6,289.43</b>	

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MSFT 10/30/2020 CALL \$217.50 / CUSIP: / Symbol:							
10/21/20	6.000	3,059.92	10/20/20	2,880.00	...	179.92	Option sale to close-call <sup>25</sup>
	3 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	3.000	989.97	10/23/20	1,209.00	...	-219.03	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	1,319.96	10/26/20	1,176.00	...	143.96	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	659.98	10/26/20	416.00	...	243.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/27/20	9.000	2,969.91	Various	2,801.00	...	168.91	Total of 3 transactions
10/27/20	5.000	1,649.95	10/22/20	2,025.00	225.03 W	-150.02	Option sale to close-call <sup>25</sup>
10/28/20	3.000	77.98	10/26/20	849.03	...	-771.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,757.76</b>		<b>8,555.03</b>	<b>225.03 W</b>	<b>-572.24</b>	
MSFT 10/30/2020 CALL \$225.00 / CUSIP: / Symbol:							
	2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	7.000	559.97	10/23/20	1,008.00	...	-448.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	159.99	10/23/20	416.01	...	-256.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/26/20	9.000	719.96	Various	1,424.01	...	-704.05	Total of 2 transactions
10/26/20	3.000	239.98	10/23/20	432.00	128.01 W	-64.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>959.94</b>		<b>1,856.01</b>	<b>128.01 W</b>	<b>-768.06</b>	
MSFT 10/30/2020 CALL \$232.50 / CUSIP: / Symbol:							
	4 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	7.000	636.96	10/16/20	1,400.00	...	-763.04	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	90.99	10/16/20	200.00	...	-109.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	91.00	10/16/20	200.00	...	-109.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	91.00	10/16/20	200.00	...	-109.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/21/20	10.000	909.95	Various	2,000.00	...	-1,090.05	Total of 4 transactions
MSFT 12/04/2020 CALL \$225.00 / CUSIP: / Symbol:							
11/25/20	11.000	340.97	11/23/20	418.00	...	-77.03	Option sale to close-call <sup>25</sup>
MSFT 12/18/2020 CALL \$225.00 / CUSIP: / Symbol:							
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	7.000	545.97	11/27/20	1,344.00	...	-798.03	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	233.98	11/27/20	576.00	...	-342.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	10.000	779.95	Various	1,920.00	...	-1,140.05	Total of 2 transactions

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NCLH 11/27/2020 CALL \$22.00 / CUSIP: / Symbol:							
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	3.000	497.97	11/09/20	567.00	...	-69.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	165.98	11/09/20	189.00	...	-23.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	165.98	11/09/20	189.00	...	-23.02	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/20	5.000	829.93	Various	945.00	...	-115.07	Total of 3 transactions
NCLH 11/27/2020 CALL \$23.00 / CUSIP: / Symbol:							
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	10.000	849.96	11/09/20	1,500.00	...	-650.04	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	339.98	11/09/20	404.00	...	-64.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	85.00	11/09/20	101.00	...	-16.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/10/20	15.000	1,274.94	Various	2,005.00	...	-730.06	Total of 3 transactions
NIO 11/06/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	143.99	11/05/20	72.00	...	71.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	143.99	11/05/20	72.00	...	71.99	2 of 2 - Option sale to close-call <sup>25</sup>
11/06/20	2.000	287.98	Various	144.00	...	143.98	Total of 2 transactions
NKLA 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	3.000	44.99	12/01/20	288.00	...	-243.01	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	44.99	12/07/20	225.00	...	-180.01	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	44.99	12/09/20	180.00	...	-135.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/21/20	9.000	134.97	Various	693.00	...	-558.03	Total of 3 transactions
NVDA 11/13/2020 CALL \$520.00 / CUSIP: / Symbol:							
11/11/20	1.000	899.97	11/10/20	1,100.00	...	-200.03	Option sale to close-call <sup>25</sup>
NXPI 06/12/2020 PUT \$107.00 / CUSIP: / Symbol:							
06/04/20	3.000	944.96	06/03/20	876.00	...	68.96	Option sale to close-put <sup>25</sup>
PLAY 06/12/2020 CALL \$19.00 / CUSIP: / Symbol:							
06/12/20	6.000	0.00	06/10/20	600.00	...	-600.00	Option expiration- long call

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Account 510369317

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLTR 11/13/2020 CALL \$13.50 / CUSIP: / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	4.000	651.98	11/12/20	760.00	...	-108.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	162.99	11/12/20	190.00	...	-27.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/13/20	5.000	814.97	Various	950.00	...	-135.03	Total of 2 transactions
QCOM 01/15/2021 CALL \$175.00 / CUSIP: / Symbol:							
5 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	3.000	215.99	11/20/20	477.02	...	-261.03	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	143.99	11/20/20	318.02	...	-174.03	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	143.99	11/20/20	318.02	...	-174.03	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	143.98	11/20/20	318.01	...	-174.03	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	72.00	11/20/20	159.02	...	-87.02	5 of 5 - Option sale to close-call <sup>25</sup>
11/27/20	10.000	719.95	Various	1,590.09	...	-870.14	Total of 5 transactions
5 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	2.000	143.98	11/20/20	226.00	82.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	215.98	11/20/20	339.00	123.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	143.98	11/20/20	226.00	82.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	71.98	11/20/20	113.00	41.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	143.99	11/20/20	226.00	82.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/27/20	10.000	719.91	Various	1,130.00	410.09 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>1,439.86</b>		<b>2,720.09</b>	<b>410.09 W</b>	<b>-870.14</b>	
QCOM 11/20/2020 CALL \$155.00 / CUSIP: / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	6.000	59.98	11/18/20	528.00	...	-468.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.98	11/18/20	88.00	...	-78.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/19/20	7.000	69.96	Various	616.00	...	-546.04	Total of 2 transactions
ROKU 11/20/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	9.000	215.97	11/06/20	1,350.00	...	-1,134.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	23.98	11/06/20	150.00	...	-126.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/20	10.000	239.95	Various	1,500.00	...	-1,260.05	Total of 2 transactions

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Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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RTX 07/02/2020 CALL \$80.00 / CUSIP: / Symbol:							
07/02/20	5.000	0.00	06/08/20	550.00	...	-550.00	Option expiration- long call
SEAS 06/26/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/01/20	1.000	204.98	05/29/20	180.00	...	24.98	Option sale to close-call <sup>25</sup>
SHOO 11/20/2020 CALL \$25.00 / CUSIP: / Symbol:							
10/16/20	10.000	869.96	10/15/20	750.00	...	119.96	Option sale to close-call <sup>25</sup>
SLQT 09/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	399.98	08/25/20	450.00	...	-50.02	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	424.98	08/25/20	450.00	...	-25.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	10.000	824.96	Various	900.00	...	-75.04	Total of 2 transactions
SLQT 11/20/2020 CALL \$17.50 / CUSIP: / Symbol:							
11/04/20	10.000	1,549.94	11/03/20	1,130.00	...	419.94	Option sale to close-call <sup>25</sup>
SLQT 11/20/2020 CALL \$20.00 / CUSIP: / Symbol:							
10/23/20	1.000	98.98	10/22/20	95.00	...	3.98	Option sale to close-call <sup>25</sup>
11/09/20	10.000	759.96	11/05/20	1,150.00	...	-390.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>858.94</b>		<b>1,245.00</b>	...	<b>-386.06</b>	
SPCE 07/17/2020 CALL \$18.00 / CUSIP: / Symbol:							
06/08/20	3.000	497.97	06/05/20	441.00	...	56.97	Option sale to close-call <sup>25</sup>
SPG 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
<i>4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	192.99	06/02/20	118.00	...	74.99	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	578.98	06/02/20	354.00	...	224.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	524.97	06/02/20	118.00	...	406.97	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	1,049.96	06/02/20	236.00	...	813.96	4 of 4 - Option sale to close-call <sup>25</sup>
06/03/20	7.000	2,346.90	Various	826.00	...	1,520.90	Total of 4 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SPG 07/17/2020 CALL \$115.00 / CUSIP: / Symbol:							
06/05/20	5.000	949.96	06/05/20	920.00	...	29.96	Option sale to close-call <sup>25</sup>
06/29/20	2.000	15.98	06/08/20	556.00	...	-540.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>965.94</b>		<b>1,476.00</b>	...	<b>-510.06</b>	
SPG 07/17/2020 CALL \$85.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	1,609.95	06/04/20	550.00	...	1,059.95	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,689.95	06/04/20	580.00	...	1,109.95	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	4.000	3,299.90	Various	1,130.00	...	2,169.90	Total of 2 transactions
SPY 06/08/2020 PUT \$318.00 / CUSIP: / Symbol:							
06/08/20	5.000	119.98	06/05/20	565.00	...	-445.02	Option sale to close-put <sup>25</sup>
SPY 06/19/2020 PUT \$295.00 / CUSIP: / Symbol:							
06/19/20	39.000	77.91	06/17/20	975.00	...	-897.09	Option sale to close-put <sup>25</sup>
SPY 08/05/2020 CALL \$338.00 / CUSIP: / Symbol:							
08/05/20	1.000	0.00	08/04/20	2.00	...	-2.00	Option expiration- long call
SPY 08/07/2020 PUT \$328.00 / CUSIP: / Symbol:							
08/04/20	1.000	199.98	08/03/20	215.00	...	-15.02	Option sale to close-put <sup>25</sup>
SSL 07/17/2020 PUT \$5.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	109.98	06/03/20	150.00	...	-40.02	1 of 2 - Option sale to close-put <sup>25</sup>
	5.000	109.98	06/03/20	150.00	...	-40.02	2 of 2 - Option sale to close-put <sup>25</sup>
06/04/20	10.000	219.96	Various	300.00	...	-80.04	Total of 2 transactions
TCOM 12/18/2020 CALL \$38.00 / CUSIP: / Symbol:							
	<i>10 transactions for 11/27/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	35.99	11/25/20	27.00	...	8.99	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	35.99	11/25/20	27.00	...	8.99	2 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TCOM 12/18/2020 CALL \$38.00 / CUSIP: / Symbol: (cont'd)							
	1.000	35.99	11/25/20	27.00	...	8.99	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/25/20	27.00	...	9.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/25/20	27.00	...	9.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/25/20	27.00	...	9.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/25/20	27.00	...	9.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/25/20	27.00	...	9.00	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	36.00	11/25/20	27.00	...	9.00	9 of 10 - Option sale to close-call <sup>25</sup>
	5.000	179.98	11/25/20	135.00	...	44.98	10 of 10 - Option sale to close-call <sup>25</sup>
11/27/20	14.000	503.95	Various	378.00	...	125.95	Total of 10 transactions
TMUS 09/04/2020 CALL \$116.00 / CUSIP: / Symbol:							
09/01/20	1.000	149.98	08/31/20	116.00	...	33.98	Option sale to close-call <sup>25</sup>
TSLA 07/17/2020 CALL \$2,300.00 / CUSIP: / Symbol:							
07/14/20	1.000	489.97	07/14/20	990.00	...	-500.03	Option sale to close-call <sup>25</sup>
TSM 09/04/2020 CALL \$83.00 / CUSIP: / Symbol:							
09/02/20	1.000	49.98	09/01/20	66.00	...	-16.02	Option sale to close-call <sup>25</sup>
UAL 08/28/2020 CALL \$40.00 / CUSIP: / Symbol:							
17 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/27/20	173.04	...	-173.04	1 of 17 - Option expiration- long call
	10.000	0.00	08/27/20	130.00	...	-130.00	2 of 17 - Option expiration- long call
	10.000	0.00	08/27/20	130.00	...	-130.00	3 of 17 - Option expiration- long call

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UAL 08/28/2020 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	08/27/20	87.01	...	-87.01	4 of 17 - Option expiration- long call
	8.000	0.00	08/27/20	72.00	...	-72.00	5 of 17 - Option expiration- long call
	1.000	0.00	08/27/20	61.02	...	-61.02	6 of 17 - Option expiration- long call
	1.000	0.00	08/27/20	61.01	...	-61.01	7 of 17 - Option expiration- long call
	1.000	0.00	08/27/20	61.00	...	-61.00	8 of 17 - Option expiration- long call
	1.000	0.00	08/27/20	44.00	...	-44.00	9 of 17 - Option expiration- long call
	5.000	0.00	08/27/20	40.00	...	-40.00	10 of 17 - Option expiration- long call
	2.000	0.00	08/27/20	36.00	...	-36.00	11 of 17 - Option expiration- long call
	4.000	0.00	08/27/20	36.00	...	-36.00	12 of 17 - Option expiration- long call
	3.000	0.00	08/27/20	27.00	...	-27.00	13 of 17 - Option expiration- long call
	1.000	0.00	08/27/20	9.00	...	-9.00	14 of 17 - Option expiration- long call
	1.000	0.00	08/27/20	9.00	...	-9.00	15 of 17 - Option expiration- long call
	1.000	0.00	08/27/20	9.00	...	-9.00	16 of 17 - Option expiration- long call
	1.000	0.00	08/27/20	6.00	...	-6.00	17 of 17 - Option expiration- long call
08/28/20	52.000	0.00	Various	991.08	...	-991.08	Total of 17 transactions
	5 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	1.000	0.98	08/27/20	44.00	43.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	0.98	08/27/20	87.02	86.04 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1.00	08/27/20	130.04	129.04 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	2.98	08/27/20	132.00	129.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 510369317

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UAL 08/28/2020 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.98	08/27/20	44.00	43.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/28/20	7.000	6.92	Various	437.06	430.14 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>6.92</b>		<b>1,428.14</b>	<b>430.14 W</b>	<b>-991.08</b>	
UPS 07/31/2020 PUT \$140.00 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	07/30/20	95.00	...	-95.00	Option expiration- long put
UPS 08/07/2020 PUT \$140.00 / CUSIP: / Symbol:							
08/03/20	1.000	67.98	07/30/20	190.00	...	-122.02	Option sale to close-put <sup>25</sup>
UPS 09/04/2020 CALL \$162.50 / CUSIP: / Symbol:							
09/02/20	1.000	209.98	09/01/20	185.00	...	24.98	Option sale to close-call <sup>25</sup>
UPS 10/23/2020 CALL \$177.50 / CUSIP: / Symbol:							
4 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	4.000	459.98	10/19/20	344.02	...	115.96	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	344.99	10/19/20	198.00	...	146.99	2 of 4 - Option sale to close-call <sup>25</sup>
	4.000	479.98	10/19/20	264.00	...	215.98	3 of 4 - Option sale to close-call <sup>25</sup>
	9.000	1,079.95	10/19/20	774.04	...	305.91	4 of 4 - Option sale to close-call <sup>25</sup>
10/20/20	20.000	2,364.90	Various	1,580.06	...	784.84	Total of 4 transactions
10/20/20	13.000	1,559.94	10/16/20	1,820.00	260.06 W	0.00	Option sale to close-call <sup>25</sup>
10/23/20	2.000	0.00	10/21/20	186.00	...	-186.00	Option expiration- long call
	<b>Security total:</b>	<b>3,924.84</b>		<b>3,586.06</b>	<b>260.06 W</b>	<b>598.84</b>	
UPS 10/23/2020 CALL \$180.00 / CUSIP: / Symbol:							
10/21/20	9.000	674.96	10/20/20	495.00	...	179.96	Option sale to close-call <sup>25</sup>
UPS 10/30/2020 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	20.99	10/27/20	595.00	...	-574.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	20.99	10/27/20	595.00	...	-574.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/20	2.000	41.98	Various	1,190.00	...	-1,148.02	Total of 2 transactions

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
V 07/24/2020 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	7.000	244.98	06/05/20	1,645.00	...	-1,400.02	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	104.98	06/05/20	705.00	...	-600.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	10.000	349.96	Various	2,350.00	...	-2,000.04	Total of 2 transactions
V 07/31/2020 PUT \$195.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	191.99	07/28/20	170.00	...	21.99	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	191.99	07/28/20	170.00	...	21.99	2 of 2 - Option sale to close-put <sup>25</sup>
07/28/20	2.000	383.98	Various	340.00	...	43.98	Total of 2 transactions
VBIV 01/15/2021 CALL \$3.00 / CUSIP: / Symbol:							
11/23/20	8.000	367.97	11/20/20	360.00	...	7.97	Option sale to close-call <sup>25</sup>
VZ 10/16/2020 CALL \$60.00 / CUSIP: / Symbol:							
5 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	5.000	24.99	10/13/20	305.01	...	-280.02	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	9.99	10/13/20	188.02	...	-178.03	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	9.99	10/13/20	188.01	...	-178.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	5.00	10/13/20	94.00	...	-89.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	9.99	10/13/20	78.00	...	-68.01	5 of 5 - Option sale to close-call <sup>25</sup>
10/14/20	12.000	59.96	Various	853.04	...	-793.08	Total of 5 transactions
7 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	5.000	24.98	10/13/20	190.00	66.01 W	-99.01	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	10/13/20	38.00	33.00 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	9.99	10/13/20	76.00	66.01 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	5.00	10/13/20	71.00	66.00 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	9.99	10/13/20	142.01	132.02 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	10.00	10/13/20	142.01	132.01 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	5.000	24.99	10/13/20	190.00	165.01 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
10/14/20	18.000	89.95	Various	849.02	660.06 W	-99.01	Total of 7 transactions
	<b>Security total:</b>	<b>149.91</b>		<b>1,702.06</b>	<b>660.06 W</b>	<b>-892.09</b>	

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WGO 06/19/2020 PUT \$55.00 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	10.000	249.97	06/03/20	1,550.00	...	-1,300.03	1 of 2 - Option sale to close-put <sup>25</sup>
	10.000	249.97	06/03/20	1,450.00	...	-1,200.03	2 of 2 - Option sale to close-put <sup>25</sup>
06/08/20	20.000	499.94	Various	3,000.00	...	-2,500.06	Total of 2 transactions
WKHS 11/13/2020 CALL \$17.50 / CUSIP: / Symbol:							
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	2.000	309.98	11/06/20	280.00	...	29.98	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	464.98	11/06/20	420.00	...	44.98	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	774.97	11/06/20	700.00	...	74.97	3 of 3 - Option sale to close-call <sup>25</sup>
11/09/20	10.000	1,549.93	Various	1,400.00	...	149.93	Total of 3 transactions
WLL 08/21/2020 CALL \$1.00 / CUSIP: / Symbol:							
08/12/20	1.000	6.98	08/07/20	10.00	...	-3.02	Option sale to close-call <sup>25</sup>
WORK 06/12/2020 CALL \$40.00 / CUSIP: / Symbol:							
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	264.98	06/01/20	102.00	...	162.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	264.98	06/01/20	102.00	...	162.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	264.98	06/01/20	102.00	...	162.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	264.98	06/01/20	102.00	...	162.98	4 of 5 - Option sale to close-call <sup>25</sup>
	3.000	764.97	06/01/20	306.00	...	458.97	5 of 5 - Option sale to close-call <sup>25</sup>
06/03/20	7.000	1,824.89	Various	714.00	...	1,110.89	Total of 5 transactions
WORK 11/27/2020 CALL \$27.50 / CUSIP: / Symbol:							
11/09/20	10.000	399.97	11/06/20	1,060.00	...	-660.03	Option sale to close-call <sup>25</sup>
ZI 11/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	5.000	584.98	11/09/20	1,290.00	...	-705.02	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	584.97	11/09/20	850.00	...	-265.03	2 of 2 - Option sale to close-call <sup>25</sup>
11/10/20	10.000	1,169.95	Various	2,140.00	...	-970.05	Total of 2 transactions

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Account 510369317

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 10/30/2020 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	2.000	1,099.97	10/14/20	920.00	...	179.97	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,099.97	10/14/20	720.00	...	379.97	2 of 2 - Option sale to close-call <sup>25</sup>
10/15/20	4.000	2,199.94	Various	1,640.00	...	559.94	Total of 2 transactions
10/26/20	1.000	299.99	10/21/20	275.00	...	24.99	Option sale to close-call <sup>25</sup>
4 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	10/20/20	570.00	270.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	299.98	10/21/20	430.00	130.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	299.99	10/21/20	608.01	308.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	299.99	10/21/20	420.02	120.03 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/26/20	4.000	1,199.95	Various	2,028.03	828.08 W	0.00	Total of 4 transactions
3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	189.99	10/23/20	503.02	...	-313.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	189.99	10/23/20	315.03	...	-125.04	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	569.98	10/23/20	585.00	...	-15.02	3 of 3 - Option sale to close-call <sup>25</sup>
10/28/20	5.000	949.96	Various	1,403.05	...	-453.09	Total of 3 transactions
	<b>Security total:</b>	<b>4,649.84</b>		<b>5,346.08</b>	<b>828.08 W</b>	<b>131.84</b>	
ZM 11/06/2020 CALL \$532.50 / CUSIP: / Symbol:							
11/02/20	5.000	1,599.95	10/30/20	1,050.00	...	549.95	Option sale to close-call <sup>25</sup>
ZM 11/06/2020 CALL \$570.00 / CUSIP: / Symbol:							
11/05/20	10.000	99.97	11/04/20	150.00	...	-50.03	Option sale to close-call <sup>25</sup>
ZM 11/06/2020 CALL \$600.00 / CUSIP: / Symbol:							
3 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	2.000	49.98	10/30/20	124.01	...	-74.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	24.98	10/30/20	62.01	...	-37.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	25.00	10/30/20	22.00	...	3.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/02/20	4.000	99.96	Various	208.02	...	-108.06	Total of 3 transactions
11/02/20	4.000	99.98	10/30/20	260.00	120.02 W	-40.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>199.94</b>		<b>468.02</b>	<b>120.02 W</b>	<b>-148.06</b>	

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Account 510369317

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 11/13/2020 CALL \$465.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/12/20	100.00	...	-100.00	Option expiration- long call
ZM 11/13/2020 CALL \$565.00 / CUSIP: / Symbol:							
11/05/20	1.000	199.98	11/04/20	100.00	...	99.98	Option sale to close-call <sup>25</sup>
ZM 11/13/2020 CALL \$600.00 / CUSIP: / Symbol:							
4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	17.000	84.96	11/05/20	884.00	...	-799.04	1 of 4 - Option sale to close-call <sup>25</sup>
	13.000	64.97	11/06/20	546.00	...	-481.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	11/06/20	89.01	...	-84.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	11/06/20	87.01	...	-82.01	4 of 4 - Option sale to close-call <sup>25</sup>
11/09/20	32.000	159.93	Various	1,606.02	...	-1,446.09	Total of 4 transactions
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	2.000	9.99	11/05/20	104.00	47.01 W	-47.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	11/05/20	50.00	45.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/20	3.000	14.98	Various	154.00	92.02 W	-47.00	Total of 2 transactions
	<b>Security total:</b>	<b>174.91</b>		<b>1,760.02</b>	<b>92.02 W</b>	<b>-1,493.09</b>	
ZM 11/20/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/12/20	2.000	999.96	11/09/20	940.00	...	59.96	Option sale to close-call <sup>25</sup>
ZM 11/20/2020 CALL \$510.00 / CUSIP: / Symbol:							
11/16/20	1.000	64.98	11/13/20	98.00	...	-33.02	Option sale to close-call <sup>25</sup>
ZM 11/27/2020 CALL \$500.00 / CUSIP: / Symbol:							
6 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	199.98	11/19/20	185.00	...	14.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	199.98	11/19/20	185.00	...	14.98	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	399.98	11/19/20	370.00	...	29.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	199.98	11/19/20	120.00	...	79.98	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	199.98	11/19/20	120.00	...	79.98	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	199.98	11/19/20	120.00	...	79.98	6 of 6 - Option sale to close-call <sup>25</sup>
11/19/20	7.000	1,399.88	Various	1,100.00	...	299.88	Total of 6 transactions

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Robinhood Securities LLC

Account 510369317

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 12/04/2020 CALL \$502.50 / CUSIP: / Symbol:							
11/12/20	1.000	1,699.95	11/11/20	760.00	...	939.95	Option sale to close-call <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	3.000	338.36	09/08/20	342.00	3.64 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	451.15	09/10/20	454.40	3.25 W	0.00	2 of 2 - Sale <sup>25</sup>
10/02/20	7.000	789.51	Various	796.40	6.89 W	0.00	Total of 2 transactions
2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	3.000	352.73	10/05/20	345.58	...	7.15	1 of 2 - Sale <sup>25</sup>
	4.000	470.31	10/05/20	459.17	...	11.14	2 of 2 - Sale <sup>25</sup>
10/20/20	7.000	823.04	Various	804.75	...	18.29	Total of 2 transactions
10/20/20	3.000	352.73	10/13/20	360.00	7.27 W	0.00	Sale <sup>25</sup>
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	3.000	348.32	10/26/20	347.56	...	0.76	1 of 2 - Sale <sup>25</sup>
	7.000	812.75	10/26/20	794.01	...	18.74	2 of 2 - Sale <sup>25</sup>
10/29/20	10.000	1,161.07	Various	1,141.57	...	19.50	Total of 2 transactions
11/02/20	10.000	1,083.18	10/30/20	1,090.00	...	-6.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,209.53</b>		<b>4,192.72</b>	<b>14.16 W</b>	<b>30.97</b>	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	10.000	226.00	05/14/20	209.87	...	16.13	1 of 2 - Sale <sup>25</sup>
	10.000	226.00	05/18/20	223.07	...	2.93	2 of 2 - Sale <sup>25</sup>
05/19/20	20.000	452.00	Various	432.94	...	19.06	Total of 2 transactions
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
05/29/20	40.000	970.44	05/26/20	1,001.59	...	-31.15	Sale <sup>25</sup>
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
09/28/20	1.000	165.30	09/14/20	160.00	...	5.30	Sale <sup>25</sup>
B2GOLD CORP. / CUSIP: 11777Q209 / Symbol:							
05/29/20	12.000	65.66	05/26/20	62.27	...	3.39	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 510369317

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
05/26/20	10.000	466.60	05/22/20	437.70	...	28.90	Sale <sup>25</sup>
CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol:							
05/21/20	1.000	4.74	03/16/20	4.00	...	0.74	Sale <sup>25</sup>
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	36.05	07/29/20	55.35	...	-19.30	1 of 3 - Sale <sup>25</sup>
	1.000	36.05	07/29/20	53.66	...	-17.61	2 of 3 - Sale <sup>25</sup>
	1.000	36.05	07/29/20	43.61	...	-7.56	3 of 3 - Sale <sup>25</sup>
07/30/20	3.000	108.15	Various	152.62	...	-44.47	Total of 3 transactions
5 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	3.000	115.02	07/29/20	125.85	3.61 W	-7.22	1 of 5 - Sale <sup>25</sup>
	1.000	38.11	07/29/20	44.93	6.82 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	38.11	07/29/20	45.19	7.08 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	38.34	07/29/20	51.74	13.40 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	38.34	07/29/20	52.00	13.66 W	0.00	5 of 5 - Sale <sup>25</sup>
07/30/20	7.000	267.92	Various	319.71	44.57 W	-7.22	Total of 5 transactions
	<b>Security total:</b>	<b>376.07</b>		<b>472.33</b>	<b>44.57 W</b>	<b>-51.69</b>	
EDITAS MEDICINE, INC. COMMON S TOCK / CUSIP: 28106W103 / Symbol:							
05/26/20	22.000	671.20	05/22/20	645.26	...	25.94	Sale <sup>25</sup>
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
05/14/20	10.000	26.92	05/14/20	23.97	...	2.95	Sale <sup>25</sup>
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	24.000	73.01	05/14/20	63.48	...	9.53	1 of 3 - Sale <sup>25</sup>
	76.000	231.21	05/18/20	236.94	...	-5.73	2 of 3 - Sale <sup>25</sup>
	50.000	152.10	05/20/20	145.00	...	7.10	3 of 3 - Sale <sup>25</sup>
05/21/20	150.000	456.32	Various	445.42	...	10.90	Total of 3 transactions
	<b>Security total:</b>	<b>483.24</b>		<b>469.39</b>	...	<b>13.85</b>	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
05/21/20	5.000	8.22	05/05/20	8.58	...	-0.36	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 510369317

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SEAWORLD ENTERTAINMENT INC / CUSIP: 81282V100 / Symbol:							
05/21/20	20.000	339.00	05/20/20	327.25	...	11.75	Sale <sup>25</sup>
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
05/21/20	14.000	140.72	05/18/20	138.57	...	2.15	Sale <sup>25</sup>
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
05/19/20	2.000	152.42	05/14/20	143.84	...	8.58	Sale <sup>25</sup>
TELLURIAN INC. COMMON STOCK / CUSIP: 87968A104 / Symbol:							
05/18/20	100.000	101.17	05/14/20	99.95	...	1.22	Sale <sup>25</sup>
05/21/20	100.000	107.18	05/20/20	106.24	...	0.94	Sale <sup>25</sup>
	<b>Security total:</b>	<b>208.35</b>		<b>206.19</b>	...	<b>2.16</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
08/24/20	0.450	905.80	08/24/20	909.95	4.15 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>						
	0.450	213.76	08/31/20	209.33	...	4.43	1 of 2 - Sale <sup>25</sup>
	1.550	736.29	08/31/20	706.72	...	29.57	2 of 2 - Sale <sup>25</sup>
09/01/20	2.000	950.05	Various	916.05	...	34.00	Total of 2 transactions
09/22/20	2.000	889.94	09/08/20	680.00	...	209.94	Sale <sup>25</sup>
09/22/20	2.000	889.94	09/01/20	956.00	66.06 W	0.00	Sale <sup>25</sup>
	<i>3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	418.49	09/23/20	436.03	...	-17.54	1 of 3 - Sale <sup>25</sup>
	1.000	418.49	09/23/20	421.53	...	-3.04	2 of 3 - Sale <sup>25</sup>
	1.000	418.49	09/23/20	400.00	...	18.49	3 of 3 - Sale <sup>25</sup>
09/29/20	3.000	1,255.47	Various	1,257.56	...	-2.09	Total of 3 transactions
09/29/20	1.000	418.50	09/23/20	438.03	19.53 W	0.00	Sale <sup>25</sup>
11/02/20	3.000	1,198.17	10/30/20	1,169.01	...	29.16	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,507.87</b>		<b>6,326.60</b>	<b>89.74 W</b>	<b>271.01</b>	
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
05/14/20	10.000	239.83	05/14/20	235.40	...	4.43	Sale <sup>25</sup>
05/18/20	20.000	495.20	05/14/20	480.54	...	14.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>735.03</b>		<b>715.94</b>	...	<b>19.09</b>	

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Robinhood Securities LLC

Account 510369317

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
YAMANA GOLD, INC. / CUSIP: 98462Y100 / Symbol:							
05/29/20	20.000	107.25	05/26/20	102.15	...	5.10	Sale <sup>25</sup>
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
08/04/20	75.000	206.48	08/04/20	209.76	...	-3.28	Sale <sup>25</sup>
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
05/18/20	29.000	366.57	05/18/20	363.89	...	2.68	Sale <sup>25</sup>
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
05/22/20	23.000	1,031.06	05/21/20	1,004.11	...	26.95	Sale <sup>25</sup>
09/01/20	1.000	68.76	08/31/20	70.30	...	-1.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,099.82</b>		<b>1,074.41</b>	...	<b>25.41</b>	
<b>Totals :</b>		<b>174,494.19</b>		<b>188,474.72</b>	<b>10,516.81 W</b>	<b>-3,463.72</b>	

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Robinhood Securities LLC

Account 510369317

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BARRICK GOLD CORP.	067901108		06/15/20	2.80	Nonqualified dividend	
			06/15/20	-0.42	Foreign tax withheld-CA	
				<b>2.80</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.42</b>	<b>Total Foreign tax withheld</b>	
CLEVELAND-CLIFFS INC.	185899101		04/15/20	0.06	Qualified dividend	
				<b>2.86</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.42</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 510369317

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		10/05/20	-5.00	Margin interest paid	
			<b>-5.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 510369317

**Foreign Income and Taxes Summary**

2020

02/14/2021

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	BARRICK GOLD CORP.	067901108	2.80	0.00	0.00	2.80	-0.42	
<b>Total CANADA</b>			<b>2.80</b>	<b>0.00</b>	<b>0.00</b>	<b>2.80</b>	<b>-0.42</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>2.80</b>				
	<b>Qualified Foreign Source Income**</b>			<b>0.00</b>				
	<b>Foreign Tax</b>				<b>-0.42</b>			

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).