

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 722598554</b>	Statement Date: 02/14/2021 Document ID: Y163 Z6U 6D79	2020
	M veera venkta raghavendra Guttula 15338 NE 9th PI i206 Bellevue, WA 98007  RECIPIENT'S TIN: XXX-XX-4880		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	133.96		2- Royalties	0.00	
1b- Qualified dividends	90.33		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	2.86		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.07		8- Substitute payments in lieu of dividends or interest	0.87	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	5.56		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	39.26		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail	0.53				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	577,993.80	623,685.86	0.00	39,870.16	-5,821.90
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>577,993.80</b>	<b>623,685.86</b>	<b>0.00</b>	<b>39,870.16</b>	<b>-5,821.90</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>577,993.80</b>	<b>623,685.86</b>	<b>0.00</b>	<b>39,870.16</b>	<b>-5,821.90</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	1.25
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/15/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	2.000	9.99	12/07/20	10.00	...	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	9.99	12/07/20	10.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/20	4.000	19.98	Various	20.00	...	-0.02	Total of 2 transactions
AAPL 10/30/2020 CALL \$116.00 / CUSIP: / Symbol:							
4 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	979.97	10/05/20	555.00	...	424.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	979.97	10/09/20	516.00	...	463.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	829.97	10/12/20	1,025.00	...	-195.03	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	829.98	10/12/20	940.00	...	-110.02	4 of 4 - Option sale to close-call <sup>25</sup>
10/13/20	4.000	3,619.89	Various	3,036.00	...	583.89	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACMR 10/16/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	439.99	09/21/20	590.00	150.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	439.98	09/22/20	450.00	10.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/02/20	2.000	879.97	Various	1,040.00	160.03 W	0.00	Total of 2 transactions
4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	489.97	09/28/20	400.01	...	89.96	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	479.98	09/29/20	330.02	...	149.96	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	479.99	10/02/20	430.00	...	49.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	479.98	10/02/20	400.00	...	79.98	4 of 4 - Option sale to close-call <sup>25</sup>
10/05/20	4.000	1,929.92	Various	1,560.03	...	369.89	Total of 4 transactions
	<b>Security total:</b>	<b>2,809.89</b>		<b>2,600.03</b>	<b>160.03 W</b>	<b>369.89</b>	
APPN 01/15/2021 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	2.000	119.98	12/04/20	380.00	260.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	61.98	12/07/20	285.01	223.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/20	3.000	181.96	Various	665.01	483.05 W	0.00	Total of 2 transactions
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	59.99	12/08/20	368.03	...	-308.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	59.99	12/08/20	290.01	...	-230.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/10/20	2.000	119.98	Various	658.04	...	-538.06	Total of 2 transactions
	<b>Security total:</b>	<b>301.94</b>		<b>1,323.05</b>	<b>483.05 W</b>	<b>-538.06</b>	
ARWR 11/20/2020 CALL \$48.00 / CUSIP: / Symbol:							
10/12/20	1.000	339.98	10/08/20	520.00	180.02 W	0.00	Option sale to close-call <sup>25</sup>
10/19/20	1.000	449.98	10/15/20	740.02	...	-290.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>789.96</b>		<b>1,260.02</b>	<b>180.02 W</b>	<b>-290.04</b>	
BA 01/15/2021 CALL \$315.00 / CUSIP: / Symbol:							
12/03/20	1.000	329.98	12/02/20	105.00	...	224.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 01/15/2021 CALL \$560.00 / CUSIP: / Symbol:							
<i>25 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	9.99	12/03/20	22.00	12.01 W	0.00	1 of 25 - Option sale to close-call 25
	3.000	14.99	12/03/20	39.00	24.01 W	0.00	2 of 25 - Option sale to close-call 25
	1.000	4.99	12/03/20	19.00	14.01 W	0.00	3 of 25 - Option sale to close-call 25
	1.000	4.99	12/03/20	19.01	14.02 W	0.00	4 of 25 - Option sale to close-call 25
	1.000	5.00	12/03/20	21.00	16.00 W	0.00	5 of 25 - Option sale to close-call 25
	1.000	5.00	12/03/20	27.02	22.02 W	0.00	6 of 25 - Option sale to close-call 25
	1.000	5.00	12/03/20	27.01	22.01 W	0.00	7 of 25 - Option sale to close-call 25
	2.000	9.99	12/03/20	42.01	32.02 W	0.00	8 of 25 - Option sale to close-call 25
	1.000	4.99	12/03/20	10.00	5.01 W	0.00	9 of 25 - Option sale to close-call 25
	1.000	5.00	12/04/20	32.02	27.02 W	0.00	10 of 25 - Option sale to close-call 25
	1.000	5.00	12/04/20	32.01	27.01 W	0.00	11 of 25 - Option sale to close-call 25
	1.000	5.00	12/04/20	26.00	21.00 W	0.00	12 of 25 - Option sale to close-call 25
	2.000	9.99	12/04/20	52.02	42.03 W	0.00	13 of 25 - Option sale to close-call 25
	1.000	4.99	12/04/20	15.01	10.02 W	0.00	14 of 25 - Option sale to close-call 25
	1.000	4.98	12/04/20	10.00	5.02 W	0.00	15 of 25 - Option sale to close-call 25
	1.000	5.00	12/04/20	37.02	32.02 W	0.00	16 of 25 - Option sale to close-call 25
	1.000	4.99	12/04/20	37.01	32.02 W	0.00	17 of 25 - Option sale to close-call 25

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 01/15/2021 CALL \$560.00 / CUSIP: / Symbol: (cont'd)							
	1.000	5.00	12/04/20	31.00	26.00 W	0.00	18 of 25 - Option sale to close-call <sup>25</sup>
	1.000	4.99	12/04/20	31.02	26.03 W	0.00	19 of 25 - Option sale to close-call <sup>25</sup>
	1.000	4.98	12/04/20	31.01	26.03 W	0.00	20 of 25 - Option sale to close-call <sup>25</sup>
	1.000	5.00	12/04/20	40.02	35.02 W	0.00	21 of 25 - Option sale to close-call <sup>25</sup>
	1.000	4.99	12/04/20	40.02	35.03 W	0.00	22 of 25 - Option sale to close-call <sup>25</sup>
	1.000	5.00	12/04/20	34.00	29.00 W	0.00	23 of 25 - Option sale to close-call <sup>25</sup>
	1.000	4.99	12/04/20	34.03	29.04 W	0.00	24 of 25 - Option sale to close-call <sup>25</sup>
	1.000	4.98	12/04/20	34.03	29.05 W	0.00	25 of 25 - Option sale to close-call <sup>25</sup>
12/09/20	30.000	149.82	Various	742.27	592.45 W	0.00	Total of 25 transactions
	8 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	3.00	12/04/20	43.03	...	-40.03	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	3.00	12/04/20	43.02	...	-40.02	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	2.99	12/04/20	37.05	...	-34.06	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	3.00	12/04/20	37.04	...	-34.04	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	2.99	12/04/20	37.00	...	-34.01	5 of 8 - Option sale to close-call <sup>25</sup>
	3.000	8.98	12/04/20	39.02	...	-30.04	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	3.00	12/04/20	23.02	...	-20.02	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	3.00	12/04/20	18.02	...	-15.02	8 of 8 - Option sale to close-call <sup>25</sup>
12/10/20	10.000	29.96	Various	277.20	...	-247.24	Total of 8 transactions
	3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	3.00	12/04/20	18.02	15.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3.00	12/04/20	13.02	10.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	8.98	12/04/20	24.00	15.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/10/20	5.000	14.98	Various	55.04	40.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>194.76</b>		<b>1,074.51</b>	<b>632.51 W</b>	<b>-247.24</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 01/15/2021 CALL \$465.00 / CUSIP: / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	3.000	11.98	12/07/20	18.00	...	-6.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3.98	12/07/20	6.00	...	-2.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/10/20	4.000	15.96	Various	24.00	...	-8.04	Total of 2 transactions
BABA 10/16/2020 CALL \$277.50 / CUSIP: / Symbol:							
09/29/20	1.000	829.97	09/28/20	760.00	...	69.97	Option sale to close-call <sup>25</sup>
BABA 11/20/2020 CALL \$295.00 / CUSIP: / Symbol:							
10/12/20	1.000	2,459.93	10/08/20	2,075.00	...	384.93	Option sale to close-call <sup>25</sup>
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	2,614.94	10/08/20	2,210.00	...	404.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,614.93	10/15/20	1,885.00	...	729.93	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/20	2.000	5,229.87	Various	4,095.00	...	1,134.87	Total of 2 transactions
	<b>Security total:</b>	<b>7,689.80</b>		<b>6,170.00</b>	...	<b>1,519.80</b>	
BYND 10/23/2020 CALL \$192.50 / CUSIP: / Symbol:							
10/13/20	1.000	384.98	10/12/20	835.00	...	-450.02	Option sale to close-call <sup>25</sup>
BYND 10/23/2020 PUT \$170.00 / CUSIP: / Symbol:							
10/22/20	1.000	299.98	10/22/20	260.00	...	39.98	Option sale to close-put <sup>25</sup>
BYND 10/30/2020 PUT \$182.50 / CUSIP: / Symbol:							
10/23/20	1.000	1,519.95	10/22/20	1,510.00	...	9.95	Option sale to close-put <sup>25</sup>
BYND 11/20/2020 CALL \$182.50 / CUSIP: / Symbol:							
10/22/20	1.000	1,014.96	10/19/20	1,850.00	...	-835.04	Option sale to close-call <sup>25</sup>
DUK 11/20/2020 CALL \$90.00 / CUSIP: / Symbol:							
10/05/20	1.000	439.98	10/02/20	370.00	...	69.98	Option sale to close-call <sup>25</sup>
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	449.99	10/02/20	440.00	...	9.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.98	10/05/20	450.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/08/20	2.000	899.97	Various	890.00	...	9.97	Total of 2 transactions
	<b>Security total:</b>	<b>1,339.95</b>		<b>1,260.00</b>	...	<b>79.95</b>	

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 01/15/2021 CALL \$560.00 / CUSIP: / Symbol:							
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	2.000	3.99	12/03/20	4.00	...	-0.01	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	2.98	12/04/20	6.00	...	-3.02	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	5.99	12/04/20	6.00	...	-0.01	3 of 3 - Option sale to close-call <sup>25</sup>
12/10/20	8.000	12.96	Various	16.00	...	-3.04	Total of 3 transactions
FB 10/30/2020 CALL \$275.00 / CUSIP: / Symbol:							
10/19/20	1.000	749.97	10/15/20	1,178.03	...	-428.06	Option sale to close-call <sup>25</sup>
10/19/20	1.000	749.97	10/13/20	1,210.00	460.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,499.94</b>		<b>2,388.03</b>	<b>460.03 W</b>	<b>-428.06</b>	
FDX 11/20/2020 CALL \$260.00 / CUSIP: / Symbol:							
10/14/20	1.000	2,334.93	10/08/20	2,010.00	...	324.93	Option sale to close-call <sup>25</sup>
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	2,399.94	10/08/20	1,970.00	...	429.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,399.94	10/13/20	2,165.00	...	234.94	2 of 2 - Option sale to close-call <sup>25</sup>
10/15/20	2.000	4,799.88	Various	4,135.00	...	664.88	Total of 2 transactions
	<b>Security total:</b>	<b>7,134.81</b>		<b>6,145.00</b>	...	<b>989.81</b>	
FDX 11/20/2020 CALL \$285.00 / CUSIP: / Symbol:							
10/20/20	1.000	1,544.95	10/19/20	1,340.00	...	204.95	Option sale to close-call <sup>25</sup>
FDX 12/04/2020 CALL \$280.00 / CUSIP: / Symbol:							
10/28/20	1.000	709.97	10/23/20	1,500.00	790.03 W	0.00	Option sale to close-call <sup>25</sup>
11/13/20	1.000	524.97	11/06/20	1,930.03	1,405.06 W	0.00	Option sale to close-call <sup>25</sup>
11/16/20	1.000	759.97	11/09/20	1,715.06	955.09 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	999.97	11/09/20	1,235.09	...	-235.12	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	999.97	11/18/20	1,160.00	...	-160.03	2 of 2 - Option sale to close-call <sup>25</sup>
11/18/20	2.000	1,999.94	Various	2,395.09	...	-395.15	Total of 2 transactions
	<b>Security total:</b>	<b>3,994.85</b>		<b>7,540.18</b>	<b>3,150.18 W</b>	<b>-395.15</b>	

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FROG 10/16/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	829.97	09/22/20	690.00	...	139.97	1 of 2 - Option sale to close-call <sup>25</sup>
09/28/20	1.000	829.98	09/22/20	590.00	...	239.98	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,659.95	Various	1,280.00	...	379.95	Total of 2 transactions
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	979.97	09/23/20	580.00	...	399.97	1 of 2 - Option sale to close-call <sup>25</sup>
09/29/20	1.000	979.98	09/23/20	450.00	...	529.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/29/20	2.000	1,959.95	Various	1,030.00	...	929.95	Total of 2 transactions
	1.000	979.97	09/29/20	1,330.00	350.03 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	269.99	09/29/20	1,160.03	890.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
10/05/20	1.000	269.98	10/01/20	418.00	148.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	539.97	Various	1,578.03	1,038.06 W	0.00	Total of 2 transactions
5 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	70.00	10/01/20	1,340.04	1,270.04 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	69.99	10/02/20	443.02	373.03 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	70.00	10/02/20	280.00	210.00 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	69.99	10/05/20	319.00	249.01 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
10/08/20	1.000	64.98	10/05/20	1,580.04	1,515.06 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
	5.000	344.96	Various	3,962.10	3,617.14 W	0.00	Total of 5 transactions
4 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	103.99	10/08/20	1,615.06	...	-1,511.07	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	104.00	10/08/20	488.03	...	-384.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	104.00	10/08/20	311.01	...	-207.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	103.99	10/08/20	272.00	...	-168.01	4 of 4 - Option sale to close-call <sup>25</sup>
10/12/20	4.000	415.98	Various	2,686.10	...	-2,270.12	Total of 4 transactions
	<b>Security total:</b>	<b>5,900.78</b>		<b>11,866.23</b>	<b>5,005.23 W</b>	<b>-960.22</b>	
FROG 11/20/2020 CALL \$75.00 / CUSIP: / Symbol:							
10/20/20	1.000	1,159.96	10/19/20	930.00	...	229.96	Option sale to close-call <sup>25</sup>

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FROG 11/20/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	479.98	09/29/20	1,350.00	870.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	479.98	10/01/20	1,000.00	520.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/08/20	2.000	959.96	Various	2,350.00	1,390.04 W	0.00	Total of 2 transactions
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	530.99	10/08/20	1,010.02	...	-479.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	530.98	10/12/20	700.00	...	-169.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/13/20	2.000	1,061.97	Various	1,710.02	...	-648.05	Total of 2 transactions
10/13/20	1.000	530.98	10/01/20	1,860.02	1,329.04 W	0.00	Option sale to close-call <sup>25</sup>
10/28/20	1.000	709.97	10/23/20	2,369.04	...	-1,659.07	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,262.88</b>		<b>8,289.08</b>	<b>2,719.08 W</b>	<b>-2,307.12</b>	
FSR 11/20/2020 CALL \$15.00 / CUSIP: / Symbol:							
11/10/20	1.000	95.98	11/09/20	120.00	...	-24.02	Option sale to close-call <sup>25</sup>
GD 10/02/2020 CALL \$147.00 / CUSIP: / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	50.98	09/15/20	310.00	259.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	49.98	09/15/20	544.02	494.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/21/20	2.000	100.96	Various	854.02	753.06 W	0.00	Total of 2 transactions
4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	2.000	29.99	09/22/20	80.00	...	-50.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	09/23/20	929.05	...	-909.06	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	09/23/20	245.01	...	-225.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	14.99	09/23/20	25.00	...	-10.01	4 of 4 - Option sale to close-call <sup>25</sup>
09/28/20	5.000	84.96	Various	1,279.06	...	-1,194.10	Total of 4 transactions
4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	09/16/20	724.04	709.05 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	14.99	09/17/20	215.00	200.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	15.00	09/17/20	919.05	904.05 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	15.00	09/21/20	235.01	220.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
09/28/20	4.000	59.98	Various	2,093.10	2,033.12 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>245.90</b>		<b>4,226.18</b>	<b>2,786.18 W</b>	<b>-1,194.10</b>	

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Account 722598554

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GD 10/09/2020 CALL \$139.00 / CUSIP: / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	439.99	09/23/20	300.00	...	139.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	439.98	09/23/20	280.00	...	159.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/28/20	2.000	879.97	Various	580.00	...	299.97	Total of 2 transactions
09/30/20	1.000	264.98	09/23/20	230.00	...	34.98	Option sale to close-call <sup>25</sup>
3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	359.99	09/30/20	245.00	...	114.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	419.98	09/30/20	225.00	...	194.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	359.98	10/02/20	220.00	...	139.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/05/20	3.000	1,139.95	Various	690.00	...	449.95	Total of 3 transactions
	<b>Security total:</b>	<b>2,284.90</b>		<b>1,500.00</b>	...	<b>784.90</b>	
IBM 12/11/2020 CALL \$118.00 / CUSIP: / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	680.97	11/16/20	310.00	...	370.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	684.97	11/18/20	252.00	...	432.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/24/20	2.000	1,365.94	Various	562.00	...	803.94	Total of 2 transactions
INO 05/08/2020 PUT \$9.00 / CUSIP: / Symbol:							
05/04/20	1.000	19.98	05/01/20	65.00	...	-45.02	Option sale to close-put <sup>25</sup>
JPM 10/30/2020 CALL \$102.00 / CUSIP: / Symbol:							
10/13/20	1.000	183.98	10/12/20	340.00	...	-156.02	Option sale to close-call <sup>25</sup>
MRNA 01/15/2021 CALL \$260.00 / CUSIP: / Symbol:							
12/03/20	1.000	579.97	12/02/20	545.00	...	34.97	Option sale to close-call <sup>25</sup>
12/07/20	1.000	644.97	12/03/20	530.00	...	114.97	Option sale to close-call <sup>25</sup>
12/08/20	1.000	749.97	12/07/20	680.00	...	69.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,974.91</b>		<b>1,755.00</b>	...	<b>219.91</b>	
MRNA 10/23/2020 CALL \$72.00 / CUSIP: / Symbol:							
10/08/20	1.000	409.98	10/05/20	425.00	...	-15.02	Option sale to close-call <sup>25</sup>

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Account 722598554

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NEE 09/18/2020 CALL \$290.00 / CUSIP: / Symbol:							
9 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	35.00	09/15/20	770.00	...	-735.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	35.00	09/16/20	110.00	...	-75.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	35.00	09/16/20	90.00	...	-55.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	35.00	09/16/20	85.00	...	-50.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.99	09/16/20	65.00	...	-30.01	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	35.00	09/16/20	50.00	...	-15.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.99	09/16/20	48.00	...	-13.01	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	34.99	09/16/20	40.00	...	-5.01	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	35.00	09/16/20	35.00	...	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
09/16/20	9.000	314.97	Various	1,293.00	...	-978.03	Total of 9 transactions
NEE 09/18/2020 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/15/20	75.00	...	-75.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/15/20	60.00	...	-60.00	2 of 2 - Option expiration- long call
09/18/20	2.000	0.00	Various	135.00	...	-135.00	Total of 2 transactions
NEE 12/18/2020 CALL \$77.50 / CUSIP: / Symbol:							
11/18/20	1.000	149.98	11/11/20	250.00	100.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	96.98	11/16/20	295.02	198.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	104.98	11/24/20	278.04	173.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/25/20	2.000	201.96	Various	573.06	371.10 W	0.00	Total of 2 transactions
11/30/20	1.000	64.98	11/25/20	302.08	...	-237.10	Option sale to close-call <sup>25</sup>
11/30/20	1.000	64.98	11/24/20	252.06	187.08 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>481.90</b>		<b>1,377.20</b>	<b>658.20 W</b>	<b>-237.10</b>	
NFLX 10/30/2020 PUT \$487.50 / CUSIP: / Symbol:							
10/23/20	1.000	1,149.96	10/22/20	1,350.00	...	-200.04	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 11/06/2020 CALL \$542.50 / CUSIP: / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	2,689.94	10/16/20	3,430.00	...	-740.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,689.93	10/19/20	2,940.00	...	-250.07	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/20	2.000	5,379.87	Various	6,370.00	...	-990.13	Total of 2 transactions
NFLX 11/20/2020 CALL \$535.00 / CUSIP: / Symbol:							
10/21/20	1.000	984.96	10/20/20	6,230.04	...	-5,245.08	Option sale to close-call <sup>25</sup>
10/21/20	1.000	984.96	10/19/20	3,935.00	2,950.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,969.92</b>		<b>10,165.04</b>	<b>2,950.04 W</b>	<b>-5,245.08</b>	
NKE 09/11/2020 CALL \$118.00 / CUSIP: / Symbol:							
09/10/20	1.000	29.98	09/10/20	125.02	...	-95.04	Option sale to close-call <sup>25</sup>
09/10/20	1.000	54.98	09/10/20	95.00	40.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>84.96</b>		<b>220.02</b>	<b>40.02 W</b>	<b>-95.04</b>	
NKE 09/11/2020 PUT \$116.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	09/10/20	55.00	...	-45.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	9.99	09/10/20	55.00	...	-45.01	2 of 2 - Option sale to close-put <sup>25</sup>
09/11/20	2.000	19.98	Various	110.00	...	-90.02	Total of 2 transactions
NKE 10/02/2020 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	1,284.96	09/22/20	510.00	...	774.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,269.96	09/22/20	350.00	...	919.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/23/20	2.000	2,554.92	Various	860.00	...	1,694.92	Total of 2 transactions
NKLA 12/04/2020 CALL \$28.00 / CUSIP: / Symbol:							
11/23/20	1.000	379.98	11/19/20	340.00	...	39.98	Option sale to close-call <sup>25</sup>
NVAX 09/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
6 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	489.97	09/15/20	470.00	...	19.97	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	569.98	09/15/20	410.00	...	159.98	2 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NVAX 09/18/2020 CALL \$110.00 / CUSIP: / Symbol: (cont'd)							
	1.000	569.98	09/15/20	340.00	...	229.98	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	664.97	09/15/20	325.00	...	339.97	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	664.97	09/15/20	310.00	...	354.97	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	674.97	09/15/20	290.00	...	384.97	6 of 6 - Option sale to close-call <sup>25</sup>
09/16/20	6.000	3,634.84	Various	2,145.00	...	1,489.84	Total of 6 transactions
NVAX 09/25/2020 CALL \$122.00 / CUSIP: / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	164.99	09/16/20	750.00	...	-585.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	164.99	09/17/20	610.00	...	-445.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/21/20	2.000	329.98	Various	1,360.00	...	-1,030.02	Total of 2 transactions
NVAX 10/02/2020 CALL \$107.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	999.96	09/21/20	980.00	...	19.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,009.96	09/23/20	580.00	...	429.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/25/20	2.000	2,009.92	Various	1,560.00	...	449.92	Total of 2 transactions
NVAX 10/23/2020 CALL \$109.00 / CUSIP: / Symbol:							
10/08/20	1.000	999.96	10/05/20	980.00	...	19.96	Option sale to close-call <sup>25</sup>
OKTA 10/30/2020 PUT \$220.00 / CUSIP: / Symbol:							
10/23/20	1.000	679.97	10/22/20	620.00	...	59.97	Option sale to close-put <sup>25</sup>
OKTA 10/30/2020 PUT \$222.50 / CUSIP: / Symbol:							
10/22/20	1.000	744.97	10/22/20	785.00	...	-40.03	Option sale to close-put <sup>25</sup>
ORCL 09/18/2020 CALL \$57.00 / CUSIP: / Symbol:							
09/11/20	1.000	124.98	09/10/20	203.00	...	-78.02	Option sale to close-call <sup>25</sup>
ORCL 09/18/2020 CALL \$58.00 / CUSIP: / Symbol:							
09/11/20	1.000	109.98	09/10/20	170.00	...	-60.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ORCL 09/25/2020 CALL \$60.00 / CUSIP: / Symbol:							
09/21/20	1.000	121.98	09/16/20	213.02	...	-91.04	Option sale to close-call <sup>25</sup>
09/21/20	1.000	126.98	09/14/20	175.00	48.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>248.96</b>		<b>388.02</b>	<b>48.02 W</b>	<b>-91.04</b>	
ORCL 10/02/2020 CALL \$61.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	19.99	09/14/20	155.00	...	-135.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	19.99	09/22/20	95.00	...	-75.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/28/20	2.000	39.98	Various	250.00	...	-210.02	Total of 2 transactions
PFE 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	21.98	12/03/20	22.00	0.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	21.98	12/03/20	22.00	0.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	2.000	43.96	Various	44.00	0.04 W	0.00	Total of 2 transactions
	<i>4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	25.99	12/04/20	18.02	...	7.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	26.00	12/04/20	18.02	...	7.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	25.99	12/07/20	19.00	...	6.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	26.00	12/07/20	19.00	...	7.00	4 of 4 - Option sale to close-call <sup>25</sup>
12/08/20	4.000	103.98	Various	74.04	...	29.94	Total of 4 transactions
	<b>Security total:</b>	<b>147.94</b>		<b>118.04</b>	<b>0.04 W</b>	<b>29.94</b>	
PFE 07/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	59.00	12/02/20	55.00	...	4.00	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	176.98	12/02/20	168.00	...	8.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	4.000	235.98	Various	223.00	...	12.98	Total of 2 transactions
PINS 09/25/2020 CALL \$40.50 / CUSIP: / Symbol:							
09/23/20	1.000	114.98	09/22/20	100.00	...	14.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PINS 10/09/2020 CALL \$40.50 / CUSIP: / Symbol:							
09/30/20	1.000	224.98	09/29/20	195.02	...	29.96	Option sale to close-call <sup>25</sup>
09/30/20	1.000	209.98	09/22/20	220.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>434.96</b>		<b>415.02</b>	<b>10.02 W</b>	<b>29.96</b>	
PLUG 11/27/2020 CALL \$20.50 / CUSIP: / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	11/09/20	170.00	...	-30.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	139.99	11/09/20	155.00	...	-15.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/09/20	2.000	279.98	Various	325.00	...	-45.02	Total of 2 transactions
PRTY 05/15/2020 CALL \$0.50 / CUSIP: / Symbol:							
05/04/20	1.000	14.98	05/01/20	40.02	...	-25.04	Option sale to close-call <sup>25</sup>
05/04/20	1.000	14.98	04/30/20	35.00	20.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>29.96</b>		<b>75.02</b>	<b>20.02 W</b>	<b>-25.04</b>	
PTON 10/30/2020 CALL \$128.00 / CUSIP: / Symbol:							
10/15/20	1.000	1,149.96	10/13/20	840.00	...	309.96	Option sale to close-call <sup>25</sup>
PTON 11/20/2020 CALL \$133.00 / CUSIP: / Symbol:							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	734.97	10/19/20	1,410.00	...	-675.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	734.98	10/19/20	1,400.00	...	-665.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/22/20	2.000	1,469.95	Various	2,810.00	...	-1,340.05	Total of 2 transactions
RIOT 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/04/20	1.000	144.98	12/03/20	140.00	...	4.98	Option sale to close-call <sup>25</sup>
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	199.98	12/04/20	150.00	...	49.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	200.98	12/04/20	150.00	...	50.98	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	2.000	400.96	Various	300.00	...	100.96	Total of 2 transactions
	<b>Security total:</b>	<b>545.94</b>		<b>440.00</b>	...	<b>105.94</b>	

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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RIOT 01/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	58.99	12/08/20	110.00	...	-51.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	58.99	12/08/20	110.00	...	-51.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/10/20	2.000	117.98	Various	220.00	...	-102.02	Total of 2 transactions
ROKU 01/15/2021 CALL \$370.00 / CUSIP: / Symbol:							
12/03/20	1.000	384.98	12/02/20	315.00	...	69.98	Option sale to close-call <sup>25</sup>
ROKU 01/15/2021 CALL \$425.00 / CUSIP: / Symbol:							
12/04/20	1.000	147.98	12/03/20	135.00	...	12.98	Option sale to close-call <sup>25</sup>
ROKU 09/25/2020 CALL \$167.50 / CUSIP: / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	1,269.96	09/16/20	735.00	...	534.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,269.97	09/17/20	525.00	...	744.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/21/20	2.000	2,539.93	Various	1,260.00	...	1,279.93	Total of 2 transactions
ROKU 10/16/2020 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	1,319.96	09/23/20	1,200.00	...	119.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,344.96	09/23/20	1,100.00	...	244.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,449.95	09/28/20	800.00	...	649.95	3 of 3 - Option sale to close-call <sup>25</sup>
10/01/20	3.000	4,114.87	Various	3,100.00	...	1,014.87	Total of 3 transactions
3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	1,589.96	09/29/20	940.00	...	649.96	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,624.95	09/29/20	935.00	...	689.95	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,589.95	10/02/20	1,600.00	...	-10.05	3 of 3 - Option sale to close-call <sup>25</sup>
10/02/20	3.000	4,804.86	Various	3,475.00	...	1,329.86	Total of 3 transactions
	<b>Security total:</b>	<b>8,919.73</b>		<b>6,575.00</b>	...	<b>2,344.73</b>	

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROKU 10/30/2020 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	764.98	10/13/20	1,200.00	...	-435.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	764.97	10/15/20	940.00	...	-175.03	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/20	2.000	1,529.95	Various	2,140.00	...	-610.05	Total of 2 transactions
ROKU 11/06/2020 CALL \$200.00 / CUSIP: / Symbol:							
10/08/20	1.000	3,299.91	10/05/20	2,015.00	...	1,284.91	Option sale to close-call <sup>25</sup>
ROKU 11/20/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	2,419.94	10/08/20	2,650.00	...	-230.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,419.94	10/08/20	2,545.00	...	-125.06	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/20	2.000	4,839.88	Various	5,195.00	...	-355.12	Total of 2 transactions
ROKU 11/20/2020 CALL \$217.50 / CUSIP: / Symbol:							
10/21/20	1.000	2,184.94	10/20/20	1,960.00	...	224.94	Option sale to close-call <sup>25</sup>
ROKU 12/11/2020 CALL \$272.50 / CUSIP: / Symbol:							
12/02/20	1.000	1,164.96	11/24/20	1,350.00	...	-185.04	Option sale to close-call <sup>25</sup>
SPLK 01/15/2021 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	12/03/20	27.00	...	-12.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	14.99	12/07/20	21.00	...	-6.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.00	12/07/20	21.00	...	-6.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/10/20	3.000	44.98	Various	69.00	...	-24.02	Total of 3 transactions
SPLK 01/15/2021 CALL \$310.00 / CUSIP: / Symbol:							
5 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	2.00	12/03/20	17.00	...	-15.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	1.99	12/03/20	16.00	...	-14.01	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	3.99	12/07/20	32.01	...	-28.02	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	3.99	12/07/20	32.01	...	-28.02	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	3.99	12/07/20	32.01	...	-28.02	5 of 5 - Option sale to close-call <sup>25</sup>
12/10/20	8.000	15.96	Various	129.03	...	-113.07	Total of 5 transactions

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPLK 01/15/2021 CALL \$310.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	4.000	7.99	12/03/20	40.00	16.01 W	-16.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2.00	12/03/20	9.00	7.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	9.98	12/03/20	50.00	40.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/10/20	10.000	19.97	Various	99.00	63.03 W	-16.00	Total of 3 transactions
	<b>Security total:</b>	<b>35.93</b>		<b>228.03</b>	<b>63.03 W</b>	<b>-129.07</b>	
SPLK 10/16/2020 CALL \$182.50 / CUSIP: / Symbol:							
09/28/20	1.000	859.97	09/23/20	630.00	...	229.97	Option sale to close-call <sup>25</sup>
09/29/20	1.000	929.96	09/23/20	600.00	...	329.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,789.93</b>		<b>1,230.00</b>	<b>...</b>	<b>559.93</b>	
SPLK 10/30/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	419.98	10/22/20	725.00	...	-305.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	419.99	10/22/20	570.00	...	-150.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/23/20	2.000	839.97	Various	1,295.00	...	-455.03	Total of 2 transactions
SPLK 11/06/2020 CALL \$192.50 / CUSIP: / Symbol:							
10/08/20	1.000	2,119.94	10/05/20	1,205.00	...	914.94	Option sale to close-call <sup>25</sup>
SPLK 11/20/2020 CALL \$200.00 / CUSIP: / Symbol:							
10/16/20	1.000	1,669.95	10/08/20	1,870.00	200.05 W	0.00	Option sale to close-call <sup>25</sup>
10/19/20	1.000	1,739.95	10/15/20	1,540.05	...	199.90	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,409.90</b>		<b>3,410.05</b>	<b>200.05 W</b>	<b>199.90</b>	
SPLK 11/20/2020 CALL \$215.00 / CUSIP: / Symbol:							
10/28/20	1.000	584.97	10/23/20	1,060.00	...	-475.03	Option sale to close-call <sup>25</sup>
SQ 10/23/2020 CALL \$167.50 / CUSIP: / Symbol:							
10/02/20	1.000	999.96	09/29/20	850.00	...	149.96	Option sale to close-call <sup>25</sup>
3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	1,614.95	09/30/20	800.00	...	814.95	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,599.95	10/02/20	1,080.00	...	519.95	2 of 3 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 10/23/2020 CALL \$167.50 / CUSIP: / Symbol: (cont'd)							
	1.000	1,614.95	10/02/20	1,085.00	...	529.95	3 of 3 - Option sale to close-call <sup>25</sup>
10/05/20	3.000	4,829.85	Various	2,965.00	...	1,864.85	Total of 3 transactions
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1,819.95	10/02/20	1,060.00	...	759.95	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,819.95	10/05/20	1,780.00	...	39.95	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,834.94	10/05/20	1,780.00	...	54.94	3 of 3 - Option sale to close-call <sup>25</sup>
10/08/20	3.000	5,474.84	Various	4,620.00	...	854.84	Total of 3 transactions
	<b>Security total:</b>	<b>11,304.65</b>		<b>8,435.00</b>	...	<b>2,869.65</b>	
SQ 10/30/2020 CALL \$190.00 / CUSIP: / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	649.98	10/13/20	915.00	...	-265.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	649.98	10/15/20	740.00	...	-90.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/20	2.000	1,299.96	Various	1,655.00	...	-355.04	Total of 2 transactions
SQ 11/20/2020 CALL \$195.00 / CUSIP: / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	1.000	194.98	11/06/20	1,010.00	815.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	201.98	11/09/20	1,555.02	1,353.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/11/20	2.000	396.96	Various	2,565.02	2,168.06 W	0.00	Total of 2 transactions
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	167.99	11/10/20	1,537.04	...	-1,369.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	167.99	11/11/20	200.00	...	-32.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/12/20	2.000	335.98	Various	1,737.04	...	-1,401.06	Total of 2 transactions
	<b>Security total:</b>	<b>732.94</b>		<b>4,302.06</b>	<b>2,168.06 W</b>	<b>-1,401.06</b>	
TECL 01/15/2021 CALL \$600.00 / CUSIP: / Symbol:							
12/09/20	1.000	49.98	12/07/20	100.00	...	-50.02	Option sale to close-call <sup>25</sup>
TSLA 11/20/2020 CALL \$440.00 / CUSIP: / Symbol:							
10/22/20	1.000	2,789.92	10/21/20	4,585.08	...	-1,795.16	Option sale to close-call <sup>25</sup>
10/22/20	1.000	2,779.92	10/19/20	4,000.00	1,220.08 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,569.84</b>		<b>8,585.08</b>	<b>1,220.08 W</b>	<b>-1,795.16</b>	

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 09/18/2020 CALL \$37.00 / CUSIP: / Symbol:							
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	137.99	09/14/20	129.00	...	8.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	142.98	09/14/20	105.00	...	37.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	137.99	09/15/20	113.00	...	24.99	3 of 3 - Option sale to close-call <sup>25</sup>
09/16/20	3.000	418.96	Various	347.00	...	71.96	Total of 3 transactions
UBER 10/09/2020 CALL \$35.50 / CUSIP: / Symbol:							
09/30/20	1.000	112.98	09/29/20	101.00	...	11.98	Option sale to close-call <sup>25</sup>
10/01/20	1.000	191.98	09/29/20	80.00	...	111.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>304.96</b>		<b>181.00</b>	...	<b>123.96</b>	
UBER 11/06/2020 CALL \$37.00 / CUSIP: / Symbol:							
10/12/20	1.000	213.98	10/09/20	280.00	66.02 W	0.00	Option sale to close-call <sup>25</sup>
10/19/20	1.000	109.98	10/13/20	326.04	...	-216.06	Option sale to close-call <sup>25</sup>
10/19/20	1.000	109.98	10/12/20	266.02	156.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>433.94</b>		<b>872.06</b>	<b>222.06 W</b>	<b>-216.06</b>	
UBER 11/27/2020 CALL \$45.00 / CUSIP: / Symbol:							
11/09/20	1.000	419.98	11/06/20	202.00	...	217.98	Option sale to close-call <sup>25</sup>
WKHS 11/06/2020 CALL \$20.50 / CUSIP: / Symbol:							
10/23/20	1.000	179.98	10/22/20	230.00	...	-50.02	Option sale to close-call <sup>25</sup>
WMT 12/04/2020 CALL \$152.50 / CUSIP: / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	153.98	11/16/20	450.00	296.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	153.98	11/18/20	464.02	310.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
11/24/20	2.000	307.96	Various	914.02	606.06 W	0.00	Total of 2 transactions
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	159.98	11/24/20	443.06	...	-283.08	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	159.99	11/24/20	220.01	...	-60.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/25/20	2.000	319.97	Various	663.07	...	-343.10	Total of 2 transactions

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WMT 12/04/2020 CALL \$152.50 / CUSIP: / Symbol: (cont'd)							
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	160.99	11/18/20	466.04	305.05 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	160.99	11/19/20	216.00	55.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	159.99	11/24/20	460.05	300.06 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
11/25/20	3.000	481.97	Various	1,142.09	660.12 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,109.90</b>		<b>2,719.18</b>	<b>1,266.18 W</b>	<b>-343.10</b>	
WORK 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	2.000	29.99	12/02/20	34.00	...	-4.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	29.99	12/02/20	26.00	...	3.99	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	89.99	12/02/20	84.00	...	5.99	3 of 3 - Option sale to close-call <sup>25</sup>
12/03/20	10.000	149.97	Various	144.00	...	5.97	Total of 3 transactions
ZM 01/15/2021 CALL \$840.00 / CUSIP: / Symbol:							
12/09/20	1.000	21.98	12/03/20	93.01	...	-71.03	Option sale to close-call <sup>25</sup>
12/09/20	2.000	43.98	12/03/20	120.00	38.01 W	-38.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>65.96</b>		<b>213.01</b>	<b>38.01 W</b>	<b>-109.04</b>	
ZM 11/20/2020 CALL \$575.00 / CUSIP: / Symbol:							
10/20/20	1.000	3,019.92	10/19/20	4,860.00	...	-1,840.08	Option sale to close-call <sup>25</sup>
ADMA BIOLOGICS INC COMMON STOCK / CUSIP: 000899104 / Symbol:							
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	4.000	10.44	08/24/20	12.88	...	-2.44	1 of 4 - Sale <sup>25</sup>
	1.000	2.61	08/24/20	2.95	...	-0.34	2 of 4 - Sale <sup>25</sup>
	1.000	2.61	08/24/20	2.95	...	-0.34	3 of 4 - Sale <sup>25</sup>
	4.000	10.44	08/26/20	11.08	...	-0.64	4 of 4 - Sale <sup>25</sup>
08/31/20	10.000	26.10	Various	29.86	...	-3.76	Total of 4 transactions
ACM RESEARCH, INC. CLASS A COMMON STOCK / CUSIP: 00108J109 / Symbol:							
06/23/20	2.000	123.46	06/19/20	121.12	...	2.34	Sale <sup>25</sup>
7 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	0.026	2.16	06/30/20	1.61	...	0.55	1 of 7 - Sale <sup>25</sup>

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ACM RESEARCH, INC. CLASS A COM MON STOCK / CUSIP: 00108J109 / Symbol: (cont'd)							
	0.100	8.30	06/30/20	6.18	...	2.12	2 of 7 - Sale <sup>25</sup>
	0.152	12.59	06/30/20	9.37	...	3.22	3 of 7 - Sale <sup>25</sup>
	0.228	18.75	06/30/20	14.05	...	4.70	4 of 7 - Sale <sup>25</sup>
	1.495	122.73	06/30/20	92.33	...	30.40	5 of 7 - Sale <sup>25</sup>
	0.163	13.38	07/08/20	13.34	...	0.04	6 of 7 - Sale <sup>25</sup>
	1.000	82.10	07/08/20	81.87	...	0.23	7 of 7 - Sale <sup>25</sup>
07/13/20	3.163	260.01	Various	218.75	...	41.26	Total of 7 transactions
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	0.837	68.74	07/06/20	70.00	1.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.505	287.80	07/09/20	300.00	12.20 W	0.00	2 of 2 - Sale <sup>25</sup>
07/13/20	4.342	356.54	Various	370.00	13.46 W	0.00	Total of 2 transactions
07/14/20	0.837	74.67	07/13/20	73.03	...	1.64	Sale <sup>25</sup>
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.163	103.71	07/13/20	103.74	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	178.38	07/13/20	181.30	2.92 W	0.00	2 of 2 - Sale <sup>25</sup>
07/14/20	3.163	282.09	Various	285.04	2.95 W	0.00	Total of 2 transactions
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	1.000	84.75	07/15/20	81.39	...	3.36	1 of 2 - Sale <sup>25</sup>
	1.000	84.75	07/15/20	81.11	...	3.64	2 of 2 - Sale <sup>25</sup>
07/20/20	2.000	169.50	Various	162.50	...	7.00	Total of 2 transactions
	6 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	0.658	55.73	07/14/20	58.80	3.07 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.342	29.02	07/14/20	31.79	2.77 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.495	41.93	07/14/20	45.12	3.19 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.505	42.81	07/14/20	45.35	2.54 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.505	127.56	07/14/20	137.96	10.40 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.495	41.93	07/14/20	44.63	2.70 W	0.00	6 of 6 - Sale <sup>25</sup>
07/20/20	4.000	338.98	Various	363.65	24.67 W	0.00	Total of 6 transactions
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	0.495	45.44	07/24/20	45.07	...	0.37	1 of 3 - Sale <sup>25</sup>
	2.000	183.69	07/28/20	175.08	...	8.61	2 of 3 - Sale <sup>25</sup>
	4.000	367.39	07/28/20	351.06	...	16.33	3 of 3 - Sale <sup>25</sup>
07/30/20	6.495	596.52	Various	571.21	...	25.31	Total of 3 transactions

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACM RESEARCH, INC. CLASS A COM MON STOCK / CUSIP: 00108J109 / Symbol: (cont'd)							
5 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	0.495	45.45	07/23/20	48.57	3.12 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.505	46.40	07/23/20	48.88	2.48 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.658	60.40	07/23/20	63.39	2.99 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.342	31.45	07/23/20	34.17	2.72 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.505	138.25	07/24/20	139.31	1.06 W	0.00	5 of 5 - Sale <sup>25</sup>
07/30/20	3.505	321.95	Various	334.32	12.37 W	0.00	Total of 5 transactions
6 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	0.342	36.65	07/30/20	33.30	...	3.35	1 of 6 - Sale <sup>25</sup>
	0.658	70.40	07/30/20	63.71	...	6.69	2 of 6 - Sale <sup>25</sup>
	0.163	17.43	07/31/20	16.15	...	1.28	3 of 6 - Sale <sup>25</sup>
	0.342	36.66	07/31/20	35.00	...	1.66	4 of 6 - Sale <sup>25</sup>
	0.495	52.97	07/31/20	49.78	...	3.19	5 of 6 - Sale <sup>25</sup>
	1.000	107.06	07/31/20	95.00	...	12.06	6 of 6 - Sale <sup>25</sup>
08/03/20	3.000	321.17	Various	292.94	...	28.23	Total of 6 transactions
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	0.495	53.68	08/03/20	53.41	...	0.27	1 of 2 - Sale <sup>25</sup>
	1.000	108.50	08/03/20	107.95	...	0.55	2 of 2 - Sale <sup>25</sup>
08/04/20	1.495	162.18	Various	161.36	...	0.82	Total of 2 transactions
08/04/20	0.505	54.82	08/03/20	54.90	0.08 W	0.00	Sale <sup>25</sup>
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	0.505	52.78	08/11/20	50.58	...	2.20	1 of 2 - Sale <sup>25</sup>
	4.495	469.60	08/11/20	449.31	...	20.29	2 of 2 - Sale <sup>25</sup>
08/12/20	5.000	522.38	Various	499.89	...	22.49	Total of 2 transactions
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	0.002	0.11	09/11/20	0.10	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.496	35.17	09/11/20	33.07	...	2.10	2 of 3 - Sale <sup>25</sup>
	4.999	354.09	09/11/20	333.42	...	20.67	3 of 3 - Sale <sup>25</sup>
09/14/20	5.496	389.37	Various	366.59	...	22.78	Total of 3 transactions
09/15/20	2.000	143.06	09/11/20	133.41	...	9.65	Sale <sup>25</sup>
09/16/20	2.000	144.40	09/14/20	141.79	...	2.61	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACM RESEARCH, INC. CLASS A COM MON STOCK / CUSIP: 00108J109 / Symbol: (cont'd)							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	71.91	09/15/20	71.80	...	0.11	1 of 2 - Sale <sup>25</sup>
	1.000	71.91	09/16/20	72.58	...	-0.67	2 of 2 - Sale <sup>25</sup>
09/30/20	2.000	143.82	Various	144.38	...	-0.56	Total of 2 transactions
	<b>Security total:</b>	<b>4,404.92</b>		<b>4,294.88</b>	<b>53.53 W</b>	<b>163.57</b>	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	13.340	55.36	11/23/20	50.00	...	5.36	1 of 4 - Sale <sup>25</sup>
	4.530	18.80	11/25/20	20.00	...	-1.20	2 of 4 - Sale <sup>25</sup>
	4.000	16.60	11/25/20	17.70	...	-1.10	3 of 4 - Sale <sup>25</sup>
	0.520	2.16	11/25/20	2.30	...	-0.14	4 of 4 - Sale <sup>25</sup>
11/30/20	22.390	92.92	Various	90.00	...	2.92	Total of 4 transactions
AT&T INC. / CUSIP: 00206R102 / Symbol:							
4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	2.000	62.30	04/06/20	58.06	...	4.24	1 of 4 - Sale <sup>25</sup>
	18.000	560.68	04/07/20	545.40	...	15.28	2 of 4 - Sale <sup>25</sup>
	10.000	311.49	04/08/20	297.00	...	14.49	3 of 4 - Sale <sup>25</sup>
	30.000	934.47	04/14/20	928.50	...	5.97	4 of 4 - Sale <sup>25</sup>
04/14/20	60.000	1,868.94	Various	1,828.96	...	39.98	Total of 4 transactions
ABBVIE INC. / CUSIP: 00287Y109 / Symbol:							
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	91.58	05/20/20	91.24	...	0.34	1 of 3 - Sale <sup>25</sup>
	3.000	274.75	05/20/20	273.75	...	1.00	2 of 3 - Sale <sup>25</sup>
	4.000	366.33	05/20/20	362.96	...	3.37	3 of 3 - Sale <sup>25</sup>
05/21/20	8.000	732.66	Various	727.95	...	4.71	Total of 3 transactions
ABERDEEN ASIA-PACIFIC INCOME F UND, INC. / CUSIP: 003009107 / Symbol:							
08/20/20	10.000	41.02	07/24/20	39.08	...	1.94	Sale <sup>25</sup>
08/20/20	23.937	98.20	08/13/20	100.00	0.75 W	-1.05	Sale <sup>25</sup>
10/28/20	10.000	38.53	09/02/20	42.65	...	-4.12	Sale <sup>25</sup>
	<b>Security total:</b>	<b>177.75</b>		<b>181.73</b>	<b>0.75 W</b>	<b>-3.23</b>	

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABERDEEN GLOBAL PREMIER PROPER TIES FUND / CUSIP: 00302L108 / Symbol:							
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	0.459	2.23	07/24/20	2.15	...	0.08	1 of 4 - Sale <sup>25</sup>
	1.000	4.85	07/24/20	4.67	...	0.18	2 of 4 - Sale <sup>25</sup>
	4.000	19.40	07/24/20	18.70	...	0.70	3 of 4 - Sale <sup>25</sup>
	4.541	22.02	07/24/20	21.23	...	0.79	4 of 4 - Sale <sup>25</sup>
08/19/20	10.000	48.50	Various	46.75	...	1.75	Total of 4 transactions
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	19.988	96.95	08/13/20	97.70	0.36 W	-0.39	1 of 2 - Sale <sup>25</sup>
	0.471	2.28	08/13/20	2.30	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
08/19/20	20.459	99.23	Various	100.00	0.38 W	-0.39	Total of 2 transactions
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	9.529	46.50	09/02/20	46.84	...	-0.34	1 of 2 - Sale <sup>25</sup>
	0.471	2.30	09/02/20	2.32	...	-0.02	2 of 2 - Sale <sup>25</sup>
09/15/20	10.000	48.80	Various	49.16	...	-0.36	Total of 2 transactions
	<b>Security total:</b>	<b>196.53</b>		<b>195.91</b>	<b>0.38 W</b>	<b>1.00</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	8.000	1,528.34	04/29/20	1,648.68	120.34 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	191.05	04/29/20	206.98	15.93 W	0.00	2 of 2 - Sale <sup>25</sup>
05/04/20	9.000	1,719.39	Various	1,855.66	136.27 W	0.00	Total of 2 transactions
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	198.53	04/29/20	206.98	...	-8.45	1 of 3 - Sale <sup>25</sup>
	1.000	198.52	04/30/20	218.47	...	-19.95	2 of 3 - Sale <sup>25</sup>
	2.000	397.05	05/04/20	427.91	...	-30.86	3 of 3 - Sale <sup>25</sup>
05/13/20	4.000	794.10	Various	853.36	...	-59.26	Total of 3 transactions
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	4.000	794.10	04/29/20	888.08	23.50 W	-70.48	1 of 2 - Sale <sup>25</sup>
	4.000	794.10	04/29/20	888.08	93.98 W	0.00	2 of 2 - Sale <sup>25</sup>
05/13/20	8.000	1,588.20	Various	1,776.16	117.48 W	-70.48	Total of 2 transactions

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	2.000	430.35	05/20/20	476.31	...	-45.96	1 of 2 - Sale <sup>25</sup>
	1.000	215.17	05/21/20	235.66	...	-20.49	2 of 2 - Sale <sup>25</sup>
06/03/20	3.000	645.52	Various	711.97	...	-66.45	Total of 2 transactions
08/28/20	0.500	143.00	08/27/20	142.43	...	0.57	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,890.21</b>		<b>5,339.58</b>	<b>253.75 W</b>	<b>-195.62</b>	
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
09/04/20	0.293	477.12	09/02/20	500.00	...	-22.88	Sale <sup>25</sup>
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
09/04/20	0.294	476.66	09/02/20	500.00	...	-23.34	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	0.001	3.60	07/07/20	3.53	...	0.07	1 of 3 - Sale <sup>25</sup>
	0.066	204.15	07/07/20	200.00	...	4.15	2 of 3 - Sale <sup>25</sup>
	0.064	200.67	07/07/20	196.47	...	4.20	3 of 3 - Sale <sup>25</sup>
07/09/20	0.131	408.42	Various	400.00	...	8.42	Total of 3 transactions
09/04/20	0.142	476.65	09/02/20	500.00	...	-23.35	Sale <sup>25</sup>
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.015	50.00	12/09/20	47.98	...	2.02	1 of 2 - Sale <sup>25</sup>
	0.031	100.00	12/09/20	95.96	...	4.04	2 of 2 - Sale <sup>25</sup>
12/28/20	0.046	150.00	Various	143.94	...	6.06	Total of 2 transactions
	<b>Security total:</b>	<b>1,035.07</b>		<b>1,043.94</b>	...	<b>-8.87</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	4.000	49.29	07/01/20	51.20	...	-1.91	1 of 3 - Sale <sup>25</sup>
	4.000	49.29	07/08/20	47.08	...	2.21	2 of 3 - Sale <sup>25</sup>
	5.000	61.61	07/10/20	59.59	...	2.02	3 of 3 - Sale <sup>25</sup>
07/23/20	13.000	160.19	Various	157.87	...	2.32	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	10.000	123.22	06/16/20	177.80	43.66 W	-10.92	1 of 2 - Sale <sup>25</sup>
	2.000	24.64	06/16/20	35.56	10.92 W	0.00	2 of 2 - Sale <sup>25</sup>
07/23/20	12.000	147.86	Various	213.36	54.58 W	-10.92	Total of 2 transactions
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	8.000	91.22	07/23/20	142.78	...	-51.56	1 of 2 - Sale <sup>25</sup>
	2.000	22.81	07/23/20	35.70	...	-12.89	2 of 2 - Sale <sup>25</sup>
07/29/20	10.000	114.03	Various	178.48	...	-64.45	Total of 2 transactions
	<b>Security total:</b>	<b>422.08</b>		<b>549.71</b>	<b>54.58 W</b>	<b>-73.05</b>	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	4.000	18.36	08/25/20	18.32	...	0.04	1 of 2 - Sale <sup>25</sup>
	10.000	45.90	08/25/20	45.45	...	0.45	2 of 2 - Sale <sup>25</sup>
08/26/20	14.000	64.26	Various	63.77	...	0.49	Total of 2 transactions
APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol:							
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	95.50	11/06/20	90.96	...	4.54	1 of 3 - Sale <sup>25</sup>
	2.000	190.80	11/06/20	181.91	...	8.89	2 of 3 - Sale <sup>25</sup>
	2.000	191.00	11/06/20	179.21	...	11.79	3 of 3 - Sale <sup>25</sup>
11/09/20	5.000	477.30	Various	452.08	...	25.22	Total of 3 transactions
8 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.001	0.20	11/25/20	0.20	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.018	3.04	11/25/20	2.92	...	0.12	2 of 8 - Sale <sup>25</sup>
	0.239	40.18	11/25/20	39.98	...	0.20	3 of 8 - Sale <sup>25</sup>
	1.760	295.81	11/25/20	294.25	...	1.56	4 of 8 - Sale <sup>25</sup>
	0.602	101.15	11/25/20	97.08	...	4.07	5 of 8 - Sale <sup>25</sup>
	0.478	73.80	11/27/20	88.83	...	-15.03	6 of 8 - Sale <sup>25</sup>
	0.086	14.37	11/27/20	15.18	...	-0.81	7 of 8 - Sale <sup>25</sup>
	0.000	0.01	11/27/20	0.01	...	0.00	8 of 8 - Sale <sup>25</sup>
11/30/20	3.183	528.56	Various	538.45	...	-9.89	Total of 8 transactions
11/30/20	0.567	95.24	11/27/20	99.99	4.01 W	-0.74	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,101.10</b>		<b>1,090.52</b>		<b>4.01 W</b>	<b>14.59</b>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
05/19/20	2.000	630.36	05/18/20	629.60	...	0.76	Sale <sup>25</sup>
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	2.000	643.01	05/20/20	636.62	...	6.39	1 of 2 - Sale <sup>25</sup>
	2.000	643.00	05/21/20	633.86	...	9.14	2 of 2 - Sale <sup>25</sup>
05/26/20	4.000	1,286.01	Various	1,270.48	...	15.53	Total of 2 transactions
06/25/20	1.000	364.70	06/24/20	363.27	...	1.43	Sale <sup>25</sup>
07/29/20	2.000	755.99	07/28/20	751.84	...	4.15	Sale <sup>25</sup>
	4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	1.000	406.52	07/30/20	405.73	...	0.79	1 of 4 - Sale <sup>25</sup>
	0.417	169.29	07/30/20	160.47	...	8.82	2 of 4 - Sale <sup>25</sup>
	0.583	237.19	07/30/20	224.78	...	12.41	3 of 4 - Sale <sup>25</sup>
	2.000	813.04	07/30/20	772.40	...	40.64	4 of 4 - Sale <sup>25</sup>
07/31/20	4.000	1,626.04	Various	1,563.38	...	62.66	Total of 4 transactions
	2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	0.123	49.81	07/30/20	50.00	0.19 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.294	119.52	07/30/20	120.00	0.48 W	0.00	2 of 2 - Sale <sup>25</sup>
07/31/20	0.417	169.33	Various	170.00	0.67 W	0.00	Total of 2 transactions
	6 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	0.123	53.67	07/31/20	50.18	...	3.49	1 of 6 - Sale <sup>25</sup>
	0.384	168.15	07/31/20	161.09	...	7.06	2 of 6 - Sale <sup>25</sup>
	0.294	128.78	07/31/20	120.41	...	8.37	3 of 6 - Sale <sup>25</sup>
	0.616	269.93	07/31/20	258.32	...	11.61	4 of 6 - Sale <sup>25</sup>
	0.583	255.58	07/31/20	238.02	...	17.56	5 of 6 - Sale <sup>25</sup>
	2.000	876.01	07/31/20	839.29	...	36.72	6 of 6 - Sale <sup>25</sup>
08/05/20	4.000	1,752.12	Various	1,667.31	...	84.81	Total of 6 transactions
	7 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	0.000	0.12	07/31/20	0.11	...	0.01	1 of 7 - Sale <sup>25</sup>
	0.059	26.85	07/31/20	24.82	...	2.03	2 of 7 - Sale <sup>25</sup>
	0.526	239.94	07/31/20	220.47	...	19.47	3 of 7 - Sale <sup>25</sup>
	1.000	456.15	07/31/20	421.82	...	34.33	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.415	189.37	08/03/20	180.99	...	8.38	5 of 7 - Sale <sup>25</sup>
	1.000	455.35	08/03/20	436.03	...	19.32	6 of 7 - Sale <sup>25</sup>
	4.000	1,824.85	08/03/20	1,757.30	...	67.55	7 of 7 - Sale <sup>25</sup>
08/06/20	7.000	3,192.63	Various	3,041.54	...	151.09	Total of 7 transactions
	4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	0.415	186.74	08/03/20	181.44	...	5.30	1 of 4 - Sale <sup>25</sup>
	0.585	263.14	08/03/20	255.04	...	8.10	2 of 4 - Sale <sup>25</sup>
	2.000	899.76	08/04/20	880.83	...	18.93	3 of 4 - Sale <sup>25</sup>
	2.000	899.76	08/05/20	875.84	...	23.92	4 of 4 - Sale <sup>25</sup>
08/07/20	5.000	2,249.40	Various	2,193.15	...	56.25	Total of 4 transactions
	3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	2.000	899.76	08/06/20	911.49	11.73 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	449.88	08/06/20	455.46	5.58 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	899.76	08/06/20	910.37	10.61 W	0.00	3 of 3 - Sale <sup>25</sup>
08/07/20	5.000	2,249.40	Various	2,277.32	27.92 W	0.00	Total of 3 transactions
	5 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	2.000	878.74	08/07/20	911.44	32.70 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	439.37	08/07/20	454.84	15.47 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	439.37	08/07/20	454.57	15.20 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	439.37	08/07/20	455.21	15.84 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	439.37	08/07/20	442.37	3.00 W	0.00	5 of 5 - Sale <sup>25</sup>
08/11/20	6.000	2,636.22	Various	2,718.43	82.21 W	0.00	Total of 5 transactions
	2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	451.97	08/11/20	443.15	...	8.82	1 of 2 - Sale <sup>25</sup>
	2.000	903.93	08/11/20	880.30	...	23.63	2 of 2 - Sale <sup>25</sup>
08/12/20	3.000	1,355.90	Various	1,323.45	...	32.45	Total of 2 transactions
	4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	2.000	903.92	08/07/20	917.44	13.52 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	451.96	08/10/20	464.55	12.59 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	451.96	08/10/20	464.28	12.32 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	451.96	08/11/20	455.99	4.03 W	0.00	4 of 4 - Sale <sup>25</sup>
08/12/20	5.000	2,259.80	Various	2,302.26	42.46 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
7 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	461.90	08/12/20	458.93	...	2.97	1 of 7 - Sale <sup>25</sup>
	1.000	461.93	08/12/20	458.93	...	3.00	2 of 7 - Sale <sup>25</sup>
	0.003	1.57	08/13/20	1.56	...	0.01	3 of 7 - Sale <sup>25</sup>
	1.000	461.90	08/13/20	461.21	...	0.69	4 of 7 - Sale <sup>25</sup>
	0.221	102.06	08/14/20	101.53	...	0.53	5 of 7 - Sale <sup>25</sup>
	0.599	276.62	08/14/20	275.63	...	0.99	6 of 7 - Sale <sup>25</sup>
	0.625	288.77	08/14/20	284.63	...	4.14	7 of 7 - Sale <sup>25</sup>
08/17/20	4.448	2,054.75	Various	2,042.42	...	12.33	Total of 7 transactions
3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	461.91	08/12/20	464.76	2.85 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	461.92	08/12/20	464.49	2.57 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.151	531.44	08/13/20	534.46	3.02 W	0.00	3 of 3 - Sale <sup>25</sup>
08/17/20	3.151	1,455.27	Various	1,463.71	8.44 W	0.00	Total of 3 transactions
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	2.000	921.90	08/17/20	915.82	...	6.08	1 of 2 - Sale <sup>25</sup>
	5.000	2,304.76	08/17/20	2,289.55	...	15.21	2 of 2 - Sale <sup>25</sup>
08/18/20	7.000	3,226.66	Various	3,205.37	...	21.29	Total of 2 transactions
6 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	460.96	08/17/20	464.92	3.96 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	460.95	08/17/20	464.64	3.69 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.151	530.33	08/17/20	534.64	4.31 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.388	178.64	08/17/20	179.48	0.84 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.462	212.93	08/17/20	213.44	0.51 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	460.95	08/17/20	461.87	0.92 W	0.00	6 of 6 - Sale <sup>25</sup>
08/18/20	5.000	2,304.76	Various	2,318.99	14.23 W	0.00	Total of 6 transactions
6 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	0.388	180.96	08/18/20	179.69	...	1.27	1 of 6 - Sale <sup>25</sup>
	1.151	537.22	08/18/20	535.26	...	1.96	2 of 6 - Sale <sup>25</sup>
	0.462	215.69	08/18/20	213.68	...	2.01	3 of 6 - Sale <sup>25</sup>
	1.000	466.93	08/18/20	464.50	...	2.43	4 of 6 - Sale <sup>25</sup>
	1.000	466.93	08/18/20	462.41	...	4.52	5 of 6 - Sale <sup>25</sup>

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
08/19/20	6.000	2,801.60	08/18/20	2,768.92	...	32.68	6 of 6 - Sale <sup>25</sup>
	10.000	4,669.33	Various	4,624.46	...	44.87	Total of 6 transactions
	7 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	468.86	08/18/20	461.49	...	7.37	1 of 7 - Sale <sup>25</sup>
	0.206	96.78	08/19/20	96.43	...	0.35	2 of 7 - Sale <sup>25</sup>
	0.641	300.84	08/19/20	300.00	...	0.84	3 of 7 - Sale <sup>25</sup>
	0.641	300.66	08/19/20	299.75	...	0.91	4 of 7 - Sale <sup>25</sup>
	3.153	1,479.08	08/19/20	1,473.74	...	5.34	5 of 7 - Sale <sup>25</sup>
	5.000	2,344.29	08/19/20	2,337.40	...	6.89	6 of 7 - Sale <sup>25</sup>
	2.206	1,035.12	08/20/20	1,034.89	...	0.23	7 of 7 - Sale <sup>25</sup>
08/20/20	12.847	6,025.63	Various	6,003.70	...	21.93	Total of 7 transactions
	5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	0.077	36.87	08/20/20	36.00	...	0.87	1 of 5 - Sale <sup>25</sup>
	0.130	62.40	08/20/20	60.94	...	1.46	2 of 5 - Sale <sup>25</sup>
	0.960	461.57	08/20/20	454.36	...	7.21	3 of 5 - Sale <sup>25</sup>
	2.000	961.54	08/20/20	939.06	...	22.48	4 of 5 - Sale <sup>25</sup>
	2.794	1,343.28	08/20/20	1,310.46	...	32.82	5 of 5 - Sale <sup>25</sup>
08/21/20	5.960	2,865.66	Various	2,800.82	...	64.84	Total of 5 transactions
	10 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	0.000	0.02	08/21/20	0.02	...	0.00	1 of 10 - Sale <sup>25</sup>
	0.011	5.75	08/21/20	5.66	...	0.09	2 of 10 - Sale <sup>25</sup>
	0.016	7.87	08/21/20	7.77	...	0.10	3 of 10 - Sale <sup>25</sup>
	0.026	13.06	08/21/20	12.46	...	0.60	4 of 10 - Sale <sup>25</sup>
	1.000	505.16	08/21/20	493.34	...	11.82	5 of 10 - Sale <sup>25</sup>
	2.000	1,010.32	08/21/20	994.32	...	16.00	6 of 10 - Sale <sup>25</sup>
	0.974	492.09	08/21/20	469.51	...	22.58	7 of 10 - Sale <sup>25</sup>
	5.999	3,030.37	08/21/20	2,992.23	...	38.14	8 of 10 - Sale <sup>25</sup>
	4.000	2,020.64	08/21/20	1,965.72	...	54.92	9 of 10 - Sale <sup>25</sup>
	4.000	2,020.63	08/21/20	1,960.40	...	60.23	10 of 10 - Sale <sup>25</sup>
08/24/20	18.026	9,105.91	Various	8,901.43	...	204.48	Total of 10 transactions
	4 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	493.42	08/24/20	502.89	9.47 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	493.42	08/24/20	502.70	9.28 W	0.00	2 of 4 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	4.000	1,973.68	08/24/20	2,019.76	46.08 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	1,973.67	08/24/20	2,022.18	48.51 W	0.00	4 of 4 - Sale <sup>25</sup>
08/25/20	10.000	4,934.19	Various	5,047.53	113.34 W	0.00	Total of 4 transactions
	10 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	1.000	502.26	08/24/20	515.26	13.00 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	502.26	08/24/20	515.07	12.81 W	0.00	2 of 10 - Sale <sup>25</sup>
	4.000	2,009.03	08/24/20	2,069.24	60.21 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	502.26	08/24/20	518.29	16.03 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.500	251.13	08/24/20	259.22	8.09 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.482	242.01	08/24/20	250.13	8.12 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.018	9.12	08/24/20	9.43	0.31 W	0.00	7 of 10 - Sale <sup>25</sup>
	2.000	1,004.51	08/25/20	1,016.92	12.41 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	503.23	08/25/20	509.33	6.10 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	503.22	08/25/20	509.14	5.92 W	0.00	10 of 10 - Sale <sup>25</sup>
08/26/20	12.000	6,029.03	Various	6,172.03	143.00 W	0.00	Total of 10 transactions
	6 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	504.14	08/25/20	511.38	7.24 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	504.14	08/25/20	514.94	10.80 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	504.14	08/26/20	516.80	12.66 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.983	495.55	08/26/20	511.47	15.92 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	504.14	08/26/20	519.34	15.20 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.017	8.58	08/26/20	8.85	0.27 W	0.00	6 of 6 - Sale <sup>25</sup>
08/27/20	5.000	2,520.69	Various	2,582.78	62.09 W	0.00	Total of 6 transactions
08/31/20	2.000	258.67	08/26/20	255.27	...	3.40	Sale <sup>25</sup>
	3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	0.073	9.40	08/26/20	9.47	0.07 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.927	249.28	08/26/20	251.12	1.84 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	258.68	08/26/20	260.25	1.57 W	0.00	3 of 3 - Sale <sup>25</sup>
08/31/20	4.000	517.36	Various	520.84	3.48 W	0.00	Total of 3 transactions
	9 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	1.578	206.60	08/26/20	202.45	...	4.15	1 of 9 - Sale <sup>25</sup>
	1.863	243.91	08/26/20	237.82	...	6.09	2 of 9 - Sale <sup>25</sup>
	2.000	261.79	08/28/20	257.36	...	4.43	3 of 9 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.442	188.71	08/28/20	183.30	...	5.41	4 of 9 - Sale <sup>25</sup>
	2.558	334.89	08/28/20	325.49	...	9.40	5 of 9 - Sale <sup>25</sup>
	2.558	334.89	08/28/20	323.68	...	11.21	6 of 9 - Sale <sup>25</sup>
	4.000	523.60	08/28/20	511.28	...	12.32	7 of 9 - Sale <sup>25</sup>
	4.000	523.60	08/28/20	508.79	...	14.81	8 of 9 - Sale <sup>25</sup>
	4.000	523.59	08/28/20	507.72	...	15.87	9 of 9 - Sale <sup>25</sup>
09/01/20	24.000	3,141.58	Various	3,057.89	...	83.69	Total of 9 transactions
	12 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	0.068	9.10	08/28/20	8.81	...	0.29	1 of 12 - Sale <sup>25</sup>
	0.073	9.72	08/28/20	9.24	...	0.48	2 of 12 - Sale <sup>25</sup>
	2.000	267.45	08/28/20	257.36	...	10.09	3 of 12 - Sale <sup>25</sup>
	1.927	257.74	08/28/20	245.07	...	12.67	4 of 12 - Sale <sup>25</sup>
	2.000	267.45	08/28/20	253.97	...	13.48	5 of 12 - Sale <sup>25</sup>
	3.932	525.81	08/28/20	509.49	...	16.32	6 of 12 - Sale <sup>25</sup>
	4.000	534.91	08/28/20	517.31	...	17.60	7 of 12 - Sale <sup>25</sup>
	4.000	534.90	08/28/20	504.80	...	30.10	8 of 12 - Sale <sup>25</sup>
	8.000	1,069.81	08/28/20	998.62	...	71.19	9 of 12 - Sale <sup>25</sup>
	4.000	534.90	08/31/20	517.84	...	17.06	10 of 12 - Sale <sup>25</sup>
	1.434	191.77	09/01/20	191.58	...	0.19	11 of 12 - Sale <sup>25</sup>
	8.566	1,145.50	09/01/20	1,124.91	...	20.59	12 of 12 - Sale <sup>25</sup>
09/02/20	40.000	5,349.06	Various	5,139.00	...	210.06	Total of 12 transactions
	6 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	4.000	481.99	09/02/20	529.46	47.47 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	120.50	09/02/20	129.70	9.20 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	240.99	09/02/20	256.64	15.65 W	0.00	3 of 6 - Sale <sup>25</sup>
	4.000	481.99	09/02/20	524.77	42.78 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	481.99	09/02/20	525.03	43.04 W	0.00	5 of 6 - Sale <sup>25</sup>
	3.000	361.49	09/02/20	393.46	31.97 W	0.00	6 of 6 - Sale <sup>25</sup>
09/04/20	18.000	2,168.95	Various	2,359.06	190.11 W	0.00	Total of 6 transactions
	4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	2.000	234.33	09/04/20	266.55	...	-32.22	1 of 4 - Sale <sup>25</sup>
	1.000	117.17	09/04/20	130.94	...	-13.77	2 of 4 - Sale <sup>25</sup>
	1.000	117.17	09/04/20	130.87	...	-13.70	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	117.17	09/04/20	129.58	...	-12.41	4 of 4 - Sale <sup>25</sup>
09/08/20	5.000	585.84	Various	657.94	...	-72.10	Total of 4 transactions
	3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	2.000	234.34	09/04/20	253.41	14.26 W	-4.81	1 of 3 - Sale <sup>25</sup>
	4.000	468.67	09/04/20	538.35	69.68 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	117.17	09/04/20	126.75	9.58 W	0.00	3 of 3 - Sale <sup>25</sup>
09/08/20	7.000	820.18	Various	918.51	93.52 W	-4.81	Total of 3 transactions
	4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	2.000	235.90	09/10/20	259.40	...	-23.50	1 of 4 - Sale <sup>25</sup>
	1.000	117.95	09/10/20	129.64	...	-11.69	2 of 4 - Sale <sup>25</sup>
	1.000	117.96	09/14/20	125.46	...	-7.50	3 of 4 - Sale <sup>25</sup>
	1.000	117.95	09/14/20	124.96	...	-7.01	4 of 4 - Sale <sup>25</sup>
09/15/20	5.000	589.76	Various	639.46	...	-49.70	Total of 4 transactions
	5 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	114.49	09/15/20	133.37	...	-18.88	1 of 5 - Sale <sup>25</sup>
	0.818	93.68	09/15/20	103.63	...	-9.95	2 of 5 - Sale <sup>25</sup>
	0.182	20.81	09/15/20	23.02	...	-2.21	3 of 5 - Sale <sup>25</sup>
	1.000	114.49	09/16/20	131.18	...	-16.69	4 of 5 - Sale <sup>25</sup>
	0.182	20.81	09/21/20	23.17	...	-2.36	5 of 5 - Sale <sup>25</sup>
09/29/20	3.182	364.28	Various	414.37	...	-50.09	Total of 5 transactions
	5 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.503	173.20	10/05/20	200.46	...	-27.26	1 of 5 - Sale <sup>25</sup>
	1.495	172.26	10/05/20	187.59	...	-15.33	2 of 5 - Sale <sup>25</sup>
	1.000	115.20	10/05/20	125.50	...	-10.30	3 of 5 - Sale <sup>25</sup>
	0.314	36.14	10/05/20	41.79	...	-5.65	4 of 5 - Sale <sup>25</sup>
	0.001	0.14	10/05/20	0.17	...	-0.03	5 of 5 - Sale <sup>25</sup>
10/08/20	4.314	496.94	Various	555.51	...	-58.57	Total of 5 transactions
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.626	199.86	12/01/20	200.00	...	-0.14	1 of 2 - Sale <sup>25</sup>
	0.850	104.48	12/01/20	102.87	...	1.61	2 of 2 - Sale <sup>25</sup>
12/03/20	2.477	304.34	Various	302.87	...	1.47	Total of 2 transactions
	<b>Security total:</b>	<b>80,316.74</b>		<b>80,255.99</b>	<b>781.47 W</b>	<b>842.22</b>	

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE HOSPITALITY REIT, INC. / CUSIP: 03784Y200 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	20.000	204.84	04/14/20	174.20	...	30.64	1 of 2 - Sale <sup>25</sup>
	15.000	153.62	04/20/20	124.02	...	29.60	2 of 2 - Sale <sup>25</sup>
04/29/20	35.000	358.46	Various	298.22	...	60.24	Total of 2 transactions
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	3.000	76.89	09/30/20	87.48	...	-10.59	1 of 4 - Sale <sup>25</sup>
	1.000	25.63	09/30/20	29.00	...	-3.37	2 of 4 - Sale <sup>25</sup>
	1.000	25.63	09/30/20	28.38	...	-2.75	3 of 4 - Sale <sup>25</sup>
	4.000	102.52	10/02/20	103.60	...	-1.08	4 of 4 - Sale <sup>25</sup>
10/05/20	9.000	230.67	Various	248.46	...	-17.79	Total of 4 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
6 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.778	10.25	02/21/20	33.36	...	-23.11	1 of 6 - Sale <sup>25</sup>
	1.067	6.15	03/26/20	11.78	...	-5.63	2 of 6 - Sale <sup>25</sup>
	0.889	5.12	03/30/20	9.28	...	-4.16	3 of 6 - Sale <sup>25</sup>
	0.889	5.12	03/30/20	9.28	...	-4.16	4 of 6 - Sale <sup>25</sup>
	0.711	4.10	03/30/20	7.43	...	-3.33	5 of 6 - Sale <sup>25</sup>
	2.667	15.38	04/20/20	21.92	...	-6.54	6 of 6 - Sale <sup>25</sup>
05/13/20	8.000	46.12	Various	93.05	...	-46.93	Total of 6 transactions
5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	4.000	42.78	12/04/20	44.03	...	-1.25	1 of 5 - Sale <sup>25</sup>
	0.001	0.01	12/07/20	0.01	...	0.00	2 of 5 - Sale <sup>25</sup>
	0.826	8.83	12/07/20	8.63	...	0.20	3 of 5 - Sale <sup>25</sup>
	1.913	20.46	12/07/20	19.99	...	0.47	4 of 5 - Sale <sup>25</sup>
	2.999	32.08	12/07/20	31.37	...	0.71	5 of 5 - Sale <sup>25</sup>
12/08/20	9.739	104.16	Various	104.03	...	0.13	Total of 5 transactions
	<b>Security total:</b>	<b>150.28</b>		<b>197.80</b>	...	<b>-46.80</b>	

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
07/30/20	5.000	61.25	06/09/20	84.15	...	-22.90	Sale <sup>25</sup>
07/30/20	5.000	61.25	06/09/20	83.09	21.84 W	0.00	Sale <sup>25</sup>
5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	5.000	57.35	06/11/20	62.25	...	-4.90	1 of 5 - Sale <sup>25</sup>
	3.000	34.41	06/11/20	35.94	...	-1.53	2 of 5 - Sale <sup>25</sup>
	2.000	22.94	06/11/20	23.94	...	-1.00	3 of 5 - Sale <sup>25</sup>
	5.000	57.35	06/18/20	61.40	...	-4.05	4 of 5 - Sale <sup>25</sup>
	5.000	57.35	07/08/20	81.84	...	-24.49	5 of 5 - Sale <sup>25</sup>
08/03/20	20.000	229.40	Various	265.37	...	-35.97	Total of 5 transactions
	<b>Security total:</b>	<b>351.90</b>		<b>432.61</b>	<b>21.84 W</b>	<b>-58.87</b>	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	24.65	10/15/19	29.88	...	-5.23	1 of 3 - Sale <sup>25</sup>
	1.000	24.65	03/23/20	18.98	...	5.67	2 of 3 - Sale <sup>25</sup>
	2.000	49.30	03/26/20	44.86	...	4.44	3 of 3 - Sale <sup>25</sup>
04/28/20	4.000	98.60	Various	93.72	...	4.88	Total of 3 transactions
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	4.000	119.08	08/14/20	115.52	...	3.56	1 of 2 - Sale <sup>25</sup>
	6.000	178.63	08/14/20	174.36	...	4.27	2 of 2 - Sale <sup>25</sup>
08/17/20	10.000	297.71	Various	289.88	...	7.83	Total of 2 transactions
5 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	3.842	87.83	11/13/20	100.00	12.17 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.158	3.61	11/17/20	4.02	0.41 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.806	41.27	11/17/20	51.70	10.43 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.036	46.53	11/19/20	55.94	9.41 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.158	3.61	11/19/20	4.25	0.64 W	0.00	5 of 5 - Sale <sup>25</sup>
11/25/20	8.000	182.85	Various	215.91	33.06 W	0.00	Total of 5 transactions

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol: (cont'd)							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.623	14.23	11/23/20	17.34	...	-3.11	1 of 2 - Sale <sup>25</sup>
	0.198	4.51	11/24/20	5.45	...	-0.94	2 of 2 - Sale <sup>25</sup>
11/30/20	0.821	18.74	Various	22.79	...	-4.05	Total of 2 transactions
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.273	6.23	11/19/20	8.20	1.97 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.002	0.04	11/19/20	0.05	0.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.377	31.46	11/23/20	39.91	3.76 W	-4.69	3 of 4 - Sale <sup>25</sup>
	0.155	3.53	11/23/20	4.48	0.95 W	0.00	4 of 4 - Sale <sup>25</sup>
11/30/20	1.806	41.26	Various	52.64	6.69 W	-4.69	Total of 4 transactions
5 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	0.273	6.45	11/24/20	8.23	...	-1.78	1 of 5 - Sale <sup>25</sup>
	0.415	9.82	11/24/20	11.45	...	-1.63	2 of 5 - Sale <sup>25</sup>
	0.156	3.69	11/24/20	4.54	...	-0.85	3 of 5 - Sale <sup>25</sup>
	0.155	3.66	11/24/20	4.50	...	-0.84	4 of 5 - Sale <sup>25</sup>
	0.002	0.04	11/24/20	0.05	...	-0.01	5 of 5 - Sale <sup>25</sup>
12/01/20	1.000	23.66	Various	28.77	...	-5.11	Total of 5 transactions
4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.800	18.87	11/24/20	22.08	...	-3.21	1 of 4 - Sale <sup>25</sup>
	0.415	9.77	11/24/20	12.07	...	-2.30	2 of 4 - Sale <sup>25</sup>
	0.158	3.72	11/24/20	4.27	...	-0.55	3 of 4 - Sale <sup>25</sup>
	0.042	0.98	11/24/20	1.22	...	-0.24	4 of 4 - Sale <sup>25</sup>
12/03/20	1.415	33.34	Various	39.64	...	-6.30	Total of 4 transactions
	<b>Security total:</b>	<b>597.56</b>		<b>649.63</b>	<b>39.75 W</b>	<b>-12.32</b>	
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	219.45	09/04/20	220.27	...	-0.82	1 of 2 - Sale <sup>25</sup>
	1.000	219.45	09/04/20	218.35	...	1.10	2 of 2 - Sale <sup>25</sup>
09/09/20	2.000	438.90	Various	438.62	...	0.28	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol:							
4 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	24.80	01/03/20	22.01	...	2.79	1 of 4 - Sale <sup>25</sup>
	1.000	24.80	01/06/20	22.48	...	2.32	2 of 4 - Sale <sup>25</sup>
	2.000	49.60	03/19/20	41.58	...	8.02	3 of 4 - Sale <sup>25</sup>
	4.000	99.20	03/26/20	95.04	...	4.16	4 of 4 - Sale <sup>25</sup>
04/01/20	8.000	198.40	Various	181.11	...	17.29	Total of 4 transactions
04/08/20	2.000	52.30	03/26/20	47.52	...	4.78	Sale <sup>25</sup>
07/29/20	2.000	83.50	07/27/20	82.58	...	0.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>334.20</b>		<b>311.21</b>	...	<b>22.99</b>	
BIONTECH SE AMERICAN DEPOSITAR Y SHARE / CUSIP: 09075V102 / Symbol:							
4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	0.000	0.04	11/18/20	0.04	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.000	0.04	11/18/20	0.04	...	0.00	2 of 4 - Sale <sup>25</sup>
	0.179	16.56	11/18/20	16.45	...	0.11	3 of 4 - Sale <sup>25</sup>
	2.000	184.25	11/18/20	183.47	...	0.78	4 of 4 - Sale <sup>25</sup>
11/19/20	2.180	200.89	Various	200.00	...	0.89	Total of 4 transactions
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
8 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	10.848	78.43	12/01/20	91.56	...	-13.13	1 of 8 - Sale <sup>25</sup>
	11.935	86.30	12/01/20	97.63	...	-11.33	2 of 8 - Sale <sup>25</sup>
	1.000	7.23	12/01/20	8.44	...	-1.21	3 of 8 - Sale <sup>25</sup>
	2.983	21.57	12/01/20	21.74	...	-0.17	4 of 8 - Sale <sup>25</sup>
	0.065	0.47	12/01/20	0.59	...	-0.12	5 of 8 - Sale <sup>25</sup>
	0.116	0.84	12/01/20	0.95	...	-0.11	6 of 8 - Sale <sup>25</sup>
	0.022	0.16	12/01/20	0.20	...	-0.04	7 of 8 - Sale <sup>25</sup>
	0.031	0.22	12/01/20	0.22	...	0.00	8 of 8 - Sale <sup>25</sup>
12/02/20	27.000	195.22	Various	221.33	...	-26.11	Total of 8 transactions
12/02/20	0.087	0.63	12/01/20	0.71	0.08 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>195.85</b>		<b>222.04</b>	<b>0.08 W</b>	<b>-26.11</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BLACKROCK MULTI-SECTOR INCOME TRUST / CUSIP: 09258A107 / Symbol:							
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	10.000	162.40	07/24/20	150.36	...	12.04	1 of 3 - Sale <sup>25</sup>
	0.194	3.15	08/13/20	3.13	...	0.02	2 of 3 - Sale <sup>25</sup>
	5.999	97.42	08/13/20	96.87	...	0.55	3 of 3 - Sale <sup>25</sup>
08/20/20	16.193	262.97	Various	250.36	...	12.61	Total of 3 transactions
10/28/20	6.000	93.96	09/02/20	98.22	...	-4.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>356.93</b>		<b>348.58</b>	...	<b>8.35</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	152.65	04/15/20	146.16	...	6.49	1 of 3 - Sale <sup>25</sup>
	1.000	152.64	04/16/20	134.00	...	18.64	2 of 3 - Sale <sup>25</sup>
	4.000	610.58	04/16/20	560.00	...	50.58	3 of 3 - Sale <sup>25</sup>
04/17/20	6.000	915.87	Various	840.16	...	75.71	Total of 3 transactions
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	10.000	1,412.68	05/18/20	1,349.70	...	62.98	1 of 3 - Sale <sup>25</sup>
	5.000	706.34	05/19/20	674.84	...	31.50	2 of 3 - Sale <sup>25</sup>
	5.000	706.34	05/19/20	653.25	...	53.09	3 of 3 - Sale <sup>25</sup>
05/21/20	20.000	2,825.36	Various	2,677.79	...	147.57	Total of 3 transactions
06/03/20	5.000	831.01	06/02/20	760.00	...	71.01	Sale <sup>25</sup>
4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	181.80	06/02/20	152.00	...	29.80	1 of 4 - Sale <sup>25</sup>
	2.000	363.59	06/03/20	357.98	...	5.61	2 of 4 - Sale <sup>25</sup>
	2.000	363.60	06/03/20	357.00	...	6.60	3 of 4 - Sale <sup>25</sup>
	2.000	363.59	06/03/20	356.78	...	6.81	4 of 4 - Sale <sup>25</sup>
06/04/20	7.000	1,272.58	Various	1,223.76	...	48.82	Total of 4 transactions
7 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	349.78	06/05/20	407.34	57.56 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	174.89	06/08/20	229.68	54.79 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	174.90	06/08/20	229.97	55.07 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	181.48	06/09/20	219.53	38.05 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	181.48	06/11/20	185.37	3.89 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	362.97	06/11/20	375.37	12.40 W	0.00	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
07/13/20	1.000	181.48	06/18/20	220.68	39.20 W	0.00	7 of 7 - Sale <sup>25</sup>
	9.000	1,606.98	Various	1,867.94	260.96 W	0.00	Total of 7 transactions
	4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.000	181.60	06/19/20	215.81	34.21 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	181.59	06/30/20	237.60	56.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	181.59	06/30/20	237.87	56.28 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	181.60	07/08/20	216.80	35.20 W	0.00	4 of 4 - Sale <sup>25</sup>
07/14/20	4.000	726.38	Various	908.08	181.70 W	0.00	Total of 4 transactions
	2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	182.80	07/08/20	182.64	...	0.16	1 of 2 - Sale <sup>25</sup>
	2.000	365.61	07/13/20	362.35	...	3.26	2 of 2 - Sale <sup>25</sup>
07/15/20	3.000	548.41	Various	544.99	...	3.42	Total of 2 transactions
	6 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	182.80	07/13/20	220.30	37.50 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	182.80	07/13/20	215.31	32.51 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	182.80	07/13/20	237.10	54.30 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	365.61	07/13/20	374.60	8.99 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	182.80	07/13/20	237.46	54.66 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	182.80	07/13/20	216.37	33.57 W	0.00	6 of 6 - Sale <sup>25</sup>
07/15/20	7.000	1,279.61	Various	1,501.14	221.53 W	0.00	Total of 6 transactions
	4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	182.11	07/15/20	221.34	39.23 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	182.11	07/15/20	216.36	34.25 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	182.10	07/15/20	238.14	56.04 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	364.21	07/15/20	376.68	12.47 W	0.00	4 of 4 - Sale <sup>25</sup>
07/23/20	5.000	910.53	Various	1,052.52	141.99 W	0.00	Total of 4 transactions
	5 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	1.000	172.76	07/23/20	238.07	...	-65.31	1 of 5 - Sale <sup>25</sup>
	1.000	172.77	07/23/20	236.69	...	-63.92	2 of 5 - Sale <sup>25</sup>
	1.000	172.01	07/23/20	215.59	...	-43.58	3 of 5 - Sale <sup>25</sup>
	2.000	344.03	07/23/20	376.52	...	-32.49	4 of 5 - Sale <sup>25</sup>
	3.000	516.04	07/23/20	546.07	...	-30.03	5 of 5 - Sale <sup>25</sup>
07/28/20	8.000	1,377.61	Various	1,612.94	...	-235.33	Total of 5 transactions

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	172.76	07/23/20	221.26	48.50 W	0.00	1 of 2 - Sale <sup>25</sup>
07/28/20	1.000	172.76	07/23/20	216.27	43.51 W	0.00	2 of 2 - Sale <sup>25</sup>
	2.000	345.52	Various	437.53	92.01 W	0.00	Total of 2 transactions
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	175.80	08/11/20	231.19	...	-55.39	1 of 2 - Sale <sup>25</sup>
08/13/20	1.000	175.81	08/11/20	226.19	...	-50.38	2 of 2 - Sale <sup>25</sup>
	2.000	351.61	Various	457.38	...	-105.77	Total of 2 transactions
	<b>Security total:</b>	<b>12,991.47</b>		<b>13,884.23</b>	<b>898.19 W</b>	<b>5.43</b>	
BOSTON BEER COMPANY / CUSIP: 100557107 / Symbol:							
5 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	0.206	170.36	07/28/20	173.41	...	-3.05	1 of 5 - Sale <sup>25</sup>
	0.032	26.12	07/28/20	26.30	...	-0.18	2 of 5 - Sale <sup>25</sup>
	0.122	101.11	07/28/20	100.00	...	1.11	3 of 5 - Sale <sup>25</sup>
	0.152	125.55	07/28/20	124.17	...	1.38	4 of 5 - Sale <sup>25</sup>
08/04/20	0.489	404.67	07/30/20	400.00	...	4.67	5 of 5 - Sale <sup>25</sup>
	1.000	827.81	Various	823.88	...	3.93	Total of 5 transactions
08/04/20	0.032	26.12	07/28/20	26.59	0.47 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>853.93</b>		<b>850.47</b>	<b>0.47 W</b>	<b>3.93</b>	
BROADCOM INC. COMMON STOCK / CUSIP: 11135F101 / Symbol:							
09/14/20	0.789	286.65	09/11/20	283.06	...	3.59	Sale <sup>25</sup>
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	0.887	322.42	09/04/20	322.77	0.35 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.113	404.72	09/04/20	405.23	0.51 W	0.00	2 of 3 - Sale <sup>25</sup>
09/14/20	0.098	35.71	09/04/20	35.74	0.03 W	0.00	3 of 3 - Sale <sup>25</sup>
	2.098	762.85	Various	763.74	0.89 W	0.00	Total of 3 transactions
5 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	0.000	0.10	09/11/20	0.09	...	0.01	1 of 5 - Sale <sup>25</sup>
	0.098	36.33	09/11/20	35.25	...	1.08	2 of 5 - Sale <sup>25</sup>
	0.289	106.70	09/11/20	103.47	...	3.23	3 of 5 - Sale <sup>25</sup>
	0.402	148.53	09/11/20	144.18	...	4.35	4 of 5 - Sale <sup>25</sup>

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BROADCOM INC. COMMON STOCK / CUSIP: 11135F101 / Symbol: (cont'd)							
	0.711	263.13	09/11/20	255.48	...	7.65	5 of 5 - Sale <sup>25</sup>
09/15/20	1.500	554.79	Various	538.47	...	16.32	Total of 5 transactions
09/16/20	0.500	184.07	09/11/20	179.32	...	4.75	Sale <sup>25</sup>
09/16/20	1.500	552.22	09/15/20	554.04	1.82 W	0.00	Sale <sup>25</sup>
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	0.500	185.02	09/28/20	182.31	...	2.71	1 of 2 - Sale <sup>25</sup>
	1.500	555.05	09/28/20	548.75	...	6.30	2 of 2 - Sale <sup>25</sup>
09/29/20	2.000	740.07	Various	731.06	...	9.01	Total of 2 transactions
	<b>Security total:</b>	<b>3,080.65</b>		<b>3,049.69</b>	<b>2.71 W</b>	<b>33.67</b>	
CANADIAN IMPERIAL BANK OF COMM ERCE / CUSIP: 136069101 / Symbol:							
06/19/20	2.000	138.18	06/15/20	137.96	...	0.22	Sale <sup>25</sup>
07/13/20	2.000	136.14	06/24/20	136.04	...	0.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>274.32</b>		<b>274.00</b>	...	<b>0.32</b>	
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
04/08/20	2.000	29.67	04/08/20	29.36	...	0.31	Sale <sup>25</sup>
12/08/20	2.000	57.44	12/04/20	57.47	...	-0.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>87.11</b>		<b>86.83</b>	...	<b>0.28</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	10.000	120.62	04/29/20	163.99	...	-43.37	1 of 2 - Sale <sup>25</sup>
	2.000	24.12	05/04/20	28.97	...	-4.85	2 of 2 - Sale <sup>25</sup>
05/13/20	12.000	144.74	Various	192.96	...	-48.22	Total of 2 transactions
11/30/20	4.853	100.32	11/25/20	100.00	...	0.32	Sale <sup>25</sup>
11/30/20	0.963	19.91	11/25/20	19.98	0.07 W	0.00	Sale <sup>25</sup>
12/28/20	0.001	0.03	12/10/20	0.03	...	0.00	Sale <sup>25</sup>
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.962	20.87	12/10/20	21.49	0.62 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.880	19.10	12/10/20	19.60	0.50 W	0.00	2 of 2 - Sale <sup>25</sup>
12/28/20	1.842	39.97	Various	41.09	1.12 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>304.97</b>		<b>354.06</b>	<b>1.19 W</b>	<b>-47.90</b>	

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CHEVRON CORPORATION / CUSIP: 166764100 / Symbol:							
04/17/20	4.000	347.40	04/16/20	318.02	...	29.38	Sale <sup>25</sup>
	<i>2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>						
	4.000	347.19	04/20/20	328.00	...	19.19	1 of 2 - Sale <sup>25</sup>
	46.000	3,992.71	04/20/20	3,772.00	...	220.71	2 of 2 - Sale <sup>25</sup>
04/23/20	50.000	4,339.90	Various	4,100.00	...	239.90	Total of 2 transactions
05/06/20	5.000	449.50	05/04/20	447.90	...	1.60	Sale <sup>25</sup>
	<i>2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	92.60	05/11/20	94.08	1.48 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	462.98	05/11/20	467.45	4.47 W	0.00	2 of 2 - Sale <sup>25</sup>
05/18/20	6.000	555.58	Various	561.53	5.95 W	0.00	Total of 2 transactions
	<i>4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	271.72	05/13/20	271.05	...	0.67	1 of 4 - Sale <sup>25</sup>
	2.000	181.15	05/13/20	179.41	...	1.74	2 of 4 - Sale <sup>25</sup>
	5.000	452.88	05/13/20	443.68	...	9.20	3 of 4 - Sale <sup>25</sup>
	8.000	724.60	05/13/20	710.47	...	14.13	4 of 4 - Sale <sup>25</sup>
05/19/20	18.000	1,630.35	Various	1,604.61	...	25.74	Total of 4 transactions
	<i>2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	90.58	05/11/20	94.97	4.39 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	90.58	05/11/20	93.49	2.91 W	0.00	2 of 2 - Sale <sup>25</sup>
05/19/20	2.000	181.16	Various	188.46	7.30 W	0.00	Total of 2 transactions
	<i>2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	93.50	05/20/20	96.44	...	-2.94	1 of 2 - Sale <sup>25</sup>
	1.000	93.49	05/20/20	94.96	...	-1.47	2 of 2 - Sale <sup>25</sup>
05/26/20	2.000	186.99	Various	191.40	...	-4.41	Total of 2 transactions
	<b>Security total:</b>	<b>7,690.88</b>		<b>7,411.92</b>	<b>13.25 W</b>	<b>292.21</b>	
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
	<i>12 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>						
	0.001	0.02	11/23/20	0.02	...	0.00	1 of 12 - Sale <sup>25</sup>
	1.508	23.27	11/25/20	25.39	...	-2.12	2 of 12 - Sale <sup>25</sup>
	0.479	7.40	11/25/20	8.67	...	-1.27	3 of 12 - Sale <sup>25</sup>
	0.499	7.70	11/25/20	8.44	...	-0.74	4 of 12 - Sale <sup>25</sup>
	0.521	8.03	11/25/20	8.63	...	-0.60	5 of 12 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
	0.200	3.09	11/25/20	3.62	...	-0.53	6 of 12 - Sale <sup>25</sup>
	0.289	4.46	11/25/20	4.86	...	-0.40	7 of 12 - Sale <sup>25</sup>
	0.301	4.65	11/25/20	5.00	...	-0.35	8 of 12 - Sale <sup>25</sup>
	0.018	0.28	11/25/20	0.33	...	-0.05	9 of 12 - Sale <sup>25</sup>
	0.003	0.05	11/25/20	0.05	...	0.00	10 of 12 - Sale <sup>25</sup>
	0.003	0.04	11/25/20	0.04	...	0.00	11 of 12 - Sale <sup>25</sup>
	0.001	0.01	11/25/20	0.01	...	0.00	12 of 12 - Sale <sup>25</sup>
11/30/20	3.823	59.00	Various	65.06	...	-6.06	Total of 12 transactions
	5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.701	10.78	11/23/20	10.96	0.13 W	-0.05	1 of 5 - Sale <sup>25</sup>
	0.200	3.08	11/23/20	3.13	0.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.296	35.30	11/23/20	35.89	0.59 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.200	3.07	11/25/20	3.37	0.30 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.498	7.66	11/25/20	8.41	0.75 W	0.00	5 of 5 - Sale <sup>25</sup>
11/30/20	3.896	59.89	Various	61.76	1.82 W	-0.05	Total of 5 transactions
	<b>Security total:</b>	<b>118.89</b>		<b>126.82</b>	<b>1.82 W</b>	<b>-6.11</b>	
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
	3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	4.000	54.16	06/18/20	55.00	...	-0.84	1 of 3 - Sale <sup>25</sup>
	6.000	81.24	06/19/20	78.77	...	2.47	2 of 3 - Sale <sup>25</sup>
	5.000	67.70	06/22/20	63.85	...	3.85	3 of 3 - Sale <sup>25</sup>
06/26/20	15.000	203.10	Various	197.62	...	5.48	Total of 3 transactions
	2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	4.000	46.20	09/02/20	51.76	...	-5.56	1 of 2 - Sale <sup>25</sup>
	4.000	46.20	09/02/20	51.40	...	-5.20	2 of 2 - Sale <sup>25</sup>
09/04/20	8.000	92.40	Various	103.16	...	-10.76	Total of 2 transactions
12/04/20	10.000	129.20	12/03/20	126.30	...	2.90	Sale <sup>25</sup>
	<b>Security total:</b>	<b>424.70</b>		<b>427.08</b>	...	<b>-2.38</b>	
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
05/13/20	5.000	219.11	04/15/20	239.20	...	-20.09	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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COHEN & STEERS INFRASTRUCTURE FUND, INC. / CUSIP: 19248A109 / Symbol:							
08/05/20	4.000	94.05	07/24/20	92.83	...	1.22	Sale <sup>25</sup>
10/28/20	4.000	90.17	09/02/20	94.55	...	-4.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>184.22</b>		<b>187.38</b>	...	<b>-3.16</b>	
CONTROLADORA VUELA COMPANIA DE AVIACION, S.A.B. DE C.V. / CUSIP: 21240E105 / Symbol:							
<i>6 transactions for 07/24/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	27.05	06/09/20	31.03	...	-3.98	1 of 6 - Sale <sup>25</sup>
	10.000	54.10	06/18/20	55.20	...	-1.10	2 of 6 - Sale <sup>25</sup>
	10.000	54.10	06/19/20	58.85	...	-4.75	3 of 6 - Sale <sup>25</sup>
	10.000	54.10	06/24/20	67.26	...	-13.16	4 of 6 - Sale <sup>25</sup>
	5.000	27.05	07/08/20	31.26	...	-4.21	5 of 6 - Sale <sup>25</sup>
	5.000	27.05	07/08/20	26.12	...	0.93	6 of 6 - Sale <sup>25</sup>
07/24/20	45.000	243.45	Various	269.72	...	-26.27	Total of 6 transactions
<i>2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	54.10	06/05/20	67.96	13.86 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	27.05	06/09/20	32.18	5.13 W	0.00	2 of 2 - Sale <sup>25</sup>
07/24/20	15.000	81.15	Various	100.14	18.99 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>324.60</b>		<b>369.86</b>	<b>18.99 W</b>	<b>-26.27</b>	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
09/04/20	2.000	253.68	09/02/20	271.80	...	-18.12	Sale <sup>25</sup>
11/11/20	0.370	48.07	11/06/20	53.19	...	-5.12	Sale <sup>25</sup>
	<b>Security total:</b>	<b>301.75</b>		<b>324.99</b>	...	<b>-23.24</b>	
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
<i>4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	0.005	0.04	12/10/20	0.04	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.137	1.16	12/10/20	1.09	...	0.07	2 of 4 - Sale <sup>25</sup>
	0.151	1.28	12/10/20	1.20	...	0.08	3 of 4 - Sale <sup>25</sup>
	3.849	32.52	12/10/20	30.59	...	1.93	4 of 4 - Sale <sup>25</sup>
12/28/20	4.142	35.00	Various	32.92	...	2.08	Total of 4 transactions

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	2.000	30.71	07/08/20	30.20	...	0.51	1 of 2 - Sale <sup>25</sup>
	2.000	30.71	07/08/20	30.16	...	0.55	2 of 2 - Sale <sup>25</sup>
07/10/20	4.000	61.42	Various	60.36	...	1.06	Total of 2 transactions
07/10/20	2.000	30.71	07/07/20	31.30	0.59 W	0.00	Sale <sup>25</sup>
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	35.65	07/23/20	36.07	...	-0.42	1 of 2 - Sale <sup>25</sup>
	2.000	35.65	07/24/20	34.32	...	1.33	2 of 2 - Sale <sup>25</sup>
07/31/20	4.000	71.30	Various	70.39	...	0.91	Total of 2 transactions
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	0.453	11.14	12/28/20	11.08	...	0.06	1 of 2 - Sale <sup>25</sup>
	2.000	49.19	12/28/20	48.92	...	0.27	2 of 2 - Sale <sup>25</sup>
12/31/20	2.453	60.33	Various	60.00	...	0.33	Total of 2 transactions
	<b>Security total:</b>	<b>223.76</b>		<b>222.05</b>	<b>0.59 W</b>	<b>2.30</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
04/29/20	4.000	106.29	04/09/20	99.68	...	6.61	Sale <sup>25</sup>
07/28/20	2.000	51.89	07/23/20	54.90	...	-3.01	Sale <sup>25</sup>
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	2.000	81.04	12/09/20	86.03	...	-4.99	1 of 4 - Sale <sup>25</sup>
	0.975	39.52	12/09/20	41.96	...	-2.44	2 of 4 - Sale <sup>25</sup>
	0.648	26.28	12/09/20	27.90	...	-1.62	3 of 4 - Sale <sup>25</sup>
	0.325	13.16	12/09/20	13.97	...	-0.81	4 of 4 - Sale <sup>25</sup>
12/28/20	3.948	160.00	Various	169.86	...	-9.86	Total of 4 transactions
	<b>Security total:</b>	<b>318.18</b>		<b>324.44</b>	...	<b>-6.26</b>	
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	4.000	20.07	06/11/20	18.60	...	1.47	1 of 4 - Sale <sup>25</sup>
	2.000	10.04	06/12/20	8.60	...	1.44	2 of 4 - Sale <sup>25</sup>
	2.000	10.04	06/12/20	8.57	...	1.47	3 of 4 - Sale <sup>25</sup>
	2.000	10.03	06/12/20	8.55	...	1.48	4 of 4 - Sale <sup>25</sup>
06/15/20	10.000	50.18	Various	44.32	...	5.86	Total of 4 transactions

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
<i>4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	38.90	06/30/20	33.97	...	4.93	1 of 4 - Sale <sup>25</sup>
	10.000	38.90	07/02/20	30.80	...	8.10	2 of 4 - Sale <sup>25</sup>
	10.000	37.70	07/13/20	28.35	...	9.35	3 of 4 - Sale <sup>25</sup>
	10.000	36.40	07/14/20	26.55	...	9.85	4 of 4 - Sale <sup>25</sup>
07/16/20	40.000	151.90	Various	119.67	...	32.23	Total of 4 transactions
<i>4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	6.62	07/16/20	7.24	...	-0.62	1 of 4 - Sale <sup>25</sup>
	4.000	13.24	07/21/20	14.48	...	-1.24	2 of 4 - Sale <sup>25</sup>
	4.000	13.24	07/28/20	13.12	...	0.12	3 of 4 - Sale <sup>25</sup>
	10.000	33.10	08/03/20	31.10	...	2.00	4 of 4 - Sale <sup>25</sup>
08/05/20	20.000	66.20	Various	65.94	...	0.26	Total of 4 transactions
	<b>Security total:</b>	<b>268.28</b>		<b>229.93</b>	...	<b>38.35</b>	
DIREXION DAILY TECHNOLOGY BULL 3X SHARES / CUSIP: 25459W102 / Symbol:							
05/13/20	1.000	158.36	05/12/20	182.77	...	-24.41	Sale <sup>25</sup>
<i>4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	601.26	09/04/20	665.98	...	-64.72	1 of 4 - Sale <sup>25</sup>
	1.000	300.63	09/04/20	314.11	...	-13.48	2 of 4 - Sale <sup>25</sup>
	0.500	150.32	09/04/20	161.53	...	-11.21	3 of 4 - Sale <sup>25</sup>
	0.500	150.32	09/04/20	155.14	...	-4.82	4 of 4 - Sale <sup>25</sup>
09/08/20	4.000	1,202.53	Various	1,296.76	...	-94.23	Total of 4 transactions
<i>2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.187	66.25	11/06/20	62.84	...	3.41	1 of 2 - Sale <sup>25</sup>
	1.000	354.36	11/06/20	336.16	...	18.20	2 of 2 - Sale <sup>25</sup>
11/09/20	1.187	420.61	Various	399.00	...	21.61	Total of 2 transactions
<i>3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.15	12/07/20	0.15	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.076	28.31	12/07/20	28.10	...	0.21	2 of 3 - Sale <sup>25</sup>
	1.000	374.23	12/07/20	371.75	...	2.48	3 of 3 - Sale <sup>25</sup>
12/09/20	1.076	402.69	Various	400.00	...	2.69	Total of 3 transactions
	<b>Security total:</b>	<b>2,184.19</b>		<b>2,278.53</b>	...	<b>-94.34</b>	

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:							
3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	2.000	12.58	04/06/20	9.90	...	2.68	1 of 3 - Sale <sup>25</sup>
	2.000	12.58	04/06/20	9.86	...	2.72	2 of 3 - Sale <sup>25</sup>
	16.000	100.64	04/07/20	83.84	...	16.80	3 of 3 - Sale <sup>25</sup>
04/17/20	20.000	125.80	Various	103.60	...	22.20	Total of 3 transactions
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
04/29/20	2.000	221.18	04/09/20	215.94	...	5.24	Sale <sup>25</sup>
DISCOVERY, INC. SERIES B COMMO N STOCK / CUSIP: 25470F203 / Symbol:							
5 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	41.50	05/01/20	66.95	25.45 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	41.10	05/01/20	66.95	25.85 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	41.05	05/01/20	83.70	42.65 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	41.02	05/04/20	77.65	36.63 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	41.02	05/04/20	91.51	50.49 W	0.00	5 of 5 - Sale <sup>25</sup>
05/11/20	5.000	205.69	Various	386.76	181.07 W	0.00	Total of 5 transactions
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	39.02	05/04/20	85.49	...	-46.47	1 of 4 - Sale <sup>25</sup>
	1.000	39.02	05/05/20	97.74	...	-58.72	2 of 4 - Sale <sup>25</sup>
	1.000	39.02	05/05/20	47.25	...	-8.23	3 of 4 - Sale <sup>25</sup>
	1.000	39.03	05/11/20	46.00	...	-6.97	4 of 4 - Sale <sup>25</sup>
05/13/20	4.000	156.09	Various	276.48	...	-120.39	Total of 4 transactions
	<b>Security total:</b>	<b>361.78</b>		<b>663.24</b>	<b>181.07 W</b>	<b>-120.39</b>	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
12/01/20	0.000	0.01	11/30/20	0.01	...	0.00	Sale <sup>25</sup>
3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	0.540	116.31	11/30/20	122.68	6.37 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.998	215.12	11/30/20	227.32	12.20 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.462	99.56	11/30/20	103.38	3.82 W	0.00	3 of 3 - Sale <sup>25</sup>
12/01/20	2.000	430.99	Various	453.38	22.39 W	0.00	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
9 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.026	5.94	12/01/20	5.70	...	0.24	1 of 9 - Sale <sup>25</sup>
	0.032	7.43	12/01/20	7.17	...	0.26	2 of 9 - Sale <sup>25</sup>
	0.071	16.50	12/01/20	16.14	...	0.36	3 of 9 - Sale <sup>25</sup>
	0.139	32.24	12/01/20	31.06	...	1.18	4 of 9 - Sale <sup>25</sup>
	0.227	52.45	12/01/20	49.52	...	2.93	5 of 9 - Sale <sup>25</sup>
	0.462	106.93	12/01/20	102.78	...	4.15	6 of 9 - Sale <sup>25</sup>
	0.375	86.74	12/01/20	81.66	...	5.08	7 of 9 - Sale <sup>25</sup>
	0.466	107.92	12/01/20	100.00	...	7.92	8 of 9 - Sale <sup>25</sup>
	0.534	123.52	12/01/20	114.57	...	8.95	9 of 9 - Sale <sup>25</sup>
12/03/20	2.332	539.67	Various	508.60	...	31.07	Total of 9 transactions
5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.375	86.90	11/30/20	88.28	1.38 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.032	7.44	11/30/20	7.72	0.28 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.165	38.25	11/30/20	39.62	1.37 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.227	52.54	11/30/20	53.42	0.88 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.668	154.66	11/30/20	157.52	2.86 W	0.00	5 of 5 - Sale <sup>25</sup>
12/03/20	1.467	339.79	Various	346.56	6.77 W	0.00	Total of 5 transactions
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.668	162.05	12/03/20	167.54	...	-5.49	1 of 2 - Sale <sup>25</sup>
	0.332	80.45	12/03/20	81.76	...	-1.31	2 of 2 - Sale <sup>25</sup>
12/04/20	1.000	242.50	Various	249.30	...	-6.80	Total of 2 transactions
	<b>Security total:</b>	<b>1,552.96</b>		<b>1,557.85</b>	<b>29.16 W</b>	<b>24.27</b>	

EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:

24 transactions for 07/29/20. Total proceeds and cost reported to the IRS.

	5.000	135.00	07/29/20	161.75	26.75 W	0.00	1 of 24 - Sale <sup>25</sup>
	5.000	135.00	07/29/20	189.35	54.35 W	0.00	2 of 24 - Sale <sup>25</sup>
	10.000	269.99	07/29/20	378.70	108.71 W	0.00	3 of 24 - Sale <sup>25</sup>
	10.000	269.99	07/29/20	456.20	186.21 W	0.00	4 of 24 - Sale <sup>25</sup>
	3.000	81.00	07/29/20	136.86	55.86 W	0.00	5 of 24 - Sale <sup>25</sup>
	2.000	54.00	07/29/20	105.00	51.00 W	0.00	6 of 24 - Sale <sup>25</sup>
	2.000	54.00	07/29/20	105.00	51.00 W	0.00	7 of 24 - Sale <sup>25</sup>

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	54.00	07/29/20	105.00	51.00 W	0.00	8 of 24 - Sale <sup>25</sup>
	1.000	27.00	07/29/20	52.50	25.50 W	0.00	9 of 24 - Sale <sup>25</sup>
	10.000	269.99	07/29/20	486.60	216.61 W	0.00	10 of 24 - Sale <sup>25</sup>
	5.000	135.00	07/29/20	270.05	135.05 W	0.00	11 of 24 - Sale <sup>25</sup>
	5.000	197.92	07/29/20	199.36	1.44 W	0.00	12 of 24 - Sale <sup>25</sup>
	5.000	197.91	07/29/20	210.30	12.39 W	0.00	13 of 24 - Sale <sup>25</sup>
	5.000	197.92	07/29/20	210.30	12.38 W	0.00	14 of 24 - Sale <sup>25</sup>
	5.000	197.91	07/29/20	249.06	51.15 W	0.00	15 of 24 - Sale <sup>25</sup>
	1.000	39.58	07/29/20	58.78	19.20 W	0.00	16 of 24 - Sale <sup>25</sup>
	2.000	79.17	07/29/20	117.56	38.39 W	0.00	17 of 24 - Sale <sup>25</sup>
	2.000	79.17	07/29/20	117.56	38.39 W	0.00	18 of 24 - Sale <sup>25</sup>
	2.000	79.17	07/29/20	117.56	38.39 W	0.00	19 of 24 - Sale <sup>25</sup>
	3.000	118.75	07/29/20	155.70	36.95 W	0.00	20 of 24 - Sale <sup>25</sup>
	5.000	197.91	07/29/20	259.50	61.59 W	0.00	21 of 24 - Sale <sup>25</sup>
	5.000	197.91	07/29/20	274.71	76.80 W	0.00	22 of 24 - Sale <sup>25</sup>
	5.000	197.91	07/29/20	288.60	90.69 W	0.00	23 of 24 - Sale <sup>25</sup>
	5.000	197.92	07/29/20	312.95	115.03 W	0.00	24 of 24 - Sale <sup>25</sup>
07/29/20	105.000	3,464.12	Various	5,018.95	1,554.83 W	0.00	Total of 24 transactions
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	5.000	184.99	07/29/20	180.39	...	4.60	1 of 3 - Sale <sup>25</sup>
	5.000	185.00	07/29/20	174.83	...	10.17	2 of 3 - Sale <sup>25</sup>
	5.000	185.00	07/29/20	169.44	...	15.56	3 of 3 - Sale <sup>25</sup>
07/30/20	15.000	554.99	Various	524.66	...	30.33	Total of 3 transactions
	19 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	37.00	07/29/20	42.10	5.10 W	0.00	1 of 19 - Sale <sup>25</sup>
	4.000	147.99	07/29/20	168.52	20.53 W	0.00	2 of 19 - Sale <sup>25</sup>
	2.000	73.75	07/29/20	102.17	28.42 W	0.00	3 of 19 - Sale <sup>25</sup>
	3.000	110.62	07/29/20	132.62	22.00 W	0.00	4 of 19 - Sale <sup>25</sup>
	5.000	184.37	07/29/20	221.04	36.67 W	0.00	5 of 19 - Sale <sup>25</sup>
	1.000	36.87	07/29/20	51.10	14.23 W	0.00	6 of 19 - Sale <sup>25</sup>
	2.000	73.75	07/29/20	102.19	28.44 W	0.00	7 of 19 - Sale <sup>25</sup>
	2.000	73.75	07/29/20	102.19	28.44 W	0.00	8 of 19 - Sale <sup>25</sup>
	5.000	184.37	07/29/20	236.30	51.93 W	0.00	9 of 19 - Sale <sup>25</sup>
	2.000	74.14	07/29/20	99.88	25.74 W	0.00	10 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	37.07	07/29/20	49.94	12.87 W	0.00	11 of 19 - Sale <sup>25</sup>
	2.000	74.14	07/29/20	99.87	25.73 W	0.00	12 of 19 - Sale <sup>25</sup>
	2.000	73.82	07/29/20	109.61	35.79 W	0.00	13 of 19 - Sale <sup>25</sup>
	1.000	37.09	07/29/20	54.81	17.72 W	0.00	14 of 19 - Sale <sup>25</sup>
	2.000	70.54	07/29/20	109.61	39.07 W	0.00	15 of 19 - Sale <sup>25</sup>
	1.000	35.27	07/29/20	37.12	1.85 W	0.00	16 of 19 - Sale <sup>25</sup>
	4.000	141.09	07/29/20	148.61	7.52 W	0.00	17 of 19 - Sale <sup>25</sup>
	3.000	105.81	07/29/20	118.06	12.25 W	0.00	18 of 19 - Sale <sup>25</sup>
	1.000	35.29	07/29/20	39.35	4.06 W	0.00	19 of 19 - Sale <sup>25</sup>
07/30/20	44.000	1,606.73	Various	2,025.09	418.36 W	0.00	Total of 19 transactions
24 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	5.000	83.75	07/30/20	207.43	...	-123.68	1 of 24 - Sale <sup>25</sup>
	2.000	33.50	07/30/20	97.77	...	-64.27	2 of 24 - Sale <sup>25</sup>
	2.000	33.50	07/30/20	87.94	...	-54.44	3 of 24 - Sale <sup>25</sup>
	2.000	33.50	07/30/20	86.53	...	-53.03	4 of 24 - Sale <sup>25</sup>
	2.000	33.50	07/30/20	85.38	...	-51.88	5 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/30/20	48.71	...	-31.96	6 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/30/20	46.69	...	-29.94	7 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/30/20	46.68	...	-29.93	8 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/30/20	45.32	...	-28.57	9 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/30/20	43.97	...	-27.22	10 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/30/20	43.97	...	-27.22	11 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/30/20	43.85	...	-27.10	12 of 24 - Sale <sup>25</sup>
	10.000	167.49	07/31/20	255.84	...	-88.35	13 of 24 - Sale <sup>25</sup>
	10.000	167.49	07/31/20	254.30	...	-86.81	14 of 24 - Sale <sup>25</sup>
	10.000	167.49	07/31/20	250.00	...	-82.51	15 of 24 - Sale <sup>25</sup>
	2.000	33.50	07/31/20	88.81	...	-55.31	16 of 24 - Sale <sup>25</sup>
	5.000	83.75	07/31/20	123.90	...	-40.15	17 of 24 - Sale <sup>25</sup>
	3.000	50.25	07/31/20	86.59	...	-36.34	18 of 24 - Sale <sup>25</sup>
	5.000	83.74	07/31/20	116.88	...	-33.14	19 of 24 - Sale <sup>25</sup>
	2.000	33.50	07/31/20	52.80	...	-19.30	20 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/31/20	28.84	...	-12.09	21 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/31/20	26.75	...	-10.00	22 of 24 - Sale <sup>25</sup>
	1.000	16.75	07/31/20	26.72	...	-9.97	23 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
08/03/20	1.000	16.75	07/31/20	26.66	...	-9.91	24 of 24 - Sale <sup>25</sup>
08/03/20	71.000	1,189.21	Various	2,222.33	...	-1,033.12	Total of 24 transactions
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	16.75	07/29/20	39.35	22.60 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	16.75	07/30/20	44.28	27.53 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	33.50	07/30/20	77.67	44.17 W	0.00	3 of 3 - Sale <sup>25</sup>
08/03/20	4.000	67.00	Various	161.30	94.30 W	0.00	Total of 3 transactions
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	9.10	08/06/20	39.09	...	-29.99	1 of 3 - Sale <sup>25</sup>
	2.000	18.20	08/10/20	65.91	...	-47.71	2 of 3 - Sale <sup>25</sup>
	1.000	9.10	08/10/20	38.40	...	-29.30	3 of 3 - Sale <sup>25</sup>
08/13/20	4.000	36.40	Various	143.40	...	-107.00	Total of 3 transactions
<b>Security total:</b>		<b>6,918.45</b>		<b>10,095.73</b>	<b>2,067.49 W</b>	<b>-1,109.79</b>	
EATON VANCE ENHANCED EQUITY IN COME FUND / CUSIP: 278274105 / Symbol:							
5 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	0.351	5.49	07/16/20	5.19	...	0.30	1 of 5 - Sale <sup>25</sup>
	0.999	15.64	07/16/20	14.81	...	0.83	2 of 5 - Sale <sup>25</sup>
	0.650	10.17	07/17/20	9.68	...	0.49	3 of 5 - Sale <sup>25</sup>
	4.000	62.60	07/20/20	60.44	...	2.16	4 of 5 - Sale <sup>25</sup>
	4.000	62.60	07/21/20	61.68	...	0.92	5 of 5 - Sale <sup>25</sup>
08/20/20	10.000	156.50	Various	151.80	...	4.70	Total of 5 transactions
08/20/20	6.373	99.75	08/13/20	100.00	0.24 W	-0.01	Sale <sup>25</sup>
10/28/20	6.000	83.77	09/02/20	94.19	...	-10.42	Sale <sup>25</sup>
<b>Security total:</b>		<b>340.02</b>		<b>345.99</b>	<b>0.24 W</b>	<b>-5.73</b>	
ELLINGTON FINANCIAL INC. COMMO N STOCK / CUSIP: 28852N109 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	20.000	219.00	04/09/20	221.60	...	-2.60	1 of 2 - Sale <sup>25</sup>
	5.000	54.75	04/14/20	48.29	...	6.46	2 of 2 - Sale <sup>25</sup>
04/29/20	25.000	273.75	Various	269.89	...	3.86	Total of 2 transactions

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	10.000	1,719.96	04/07/20	1,665.80	...	54.16	1 of 3 - Sale <sup>25</sup>
	1.000	173.87	04/08/20	171.51	...	2.36	2 of 3 - Sale <sup>25</sup>
	9.000	1,564.85	04/08/20	1,543.77	...	21.08	3 of 3 - Sale <sup>25</sup>
04/08/20	20.000	3,458.68	Various	3,381.08	...	77.60	Total of 3 transactions
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	8.000	1,431.96	04/09/20	1,401.28	...	30.68	1 of 2 - Sale <sup>25</sup>
	8.000	1,443.96	04/09/20	1,401.28	...	42.68	2 of 2 - Sale <sup>25</sup>
04/14/20	16.000	2,875.92	Various	2,802.56	...	73.36	Total of 2 transactions
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	4.000	779.50	04/28/20	732.08	...	47.42	1 of 2 - Sale <sup>25</sup>
	10.000	1,948.75	04/28/20	1,859.40	...	89.35	2 of 2 - Sale <sup>25</sup>
04/29/20	14.000	2,728.25	Various	2,591.48	...	136.77	Total of 2 transactions
05/06/20	2.000	421.14	05/04/20	406.80	...	14.34	Sale <sup>25</sup>
05/11/20	4.000	853.22	05/08/20	850.60	...	2.62	Sale <sup>25</sup>
05/18/20	10.000	2,130.05	05/13/20	2,038.94	...	91.11	Sale <sup>25</sup>
05/21/20	2.000	467.82	05/20/20	459.82	...	8.00	Sale <sup>25</sup>
06/18/20	1.000	236.09	06/15/20	229.14	...	6.95	Sale <sup>25</sup>
06/18/20	2.000	472.19	06/09/20	473.52	1.33 W	0.00	Sale <sup>25</sup>
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	247.67	06/24/20	236.54	...	11.13	1 of 2 - Sale <sup>25</sup>
	1.000	247.67	06/29/20	218.16	...	29.51	2 of 2 - Sale <sup>25</sup>
07/13/20	2.000	495.34	Various	454.70	...	40.64	Total of 2 transactions
4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	246.99	07/14/20	237.13	...	9.86	1 of 4 - Sale <sup>25</sup>
	1.000	246.99	07/15/20	239.05	...	7.94	2 of 4 - Sale <sup>25</sup>
	1.000	247.00	07/23/20	237.23	...	9.77	3 of 4 - Sale <sup>25</sup>
	1.000	246.99	07/27/20	232.06	...	14.93	4 of 4 - Sale <sup>25</sup>
07/30/20	4.000	987.97	Various	945.47	...	42.50	Total of 4 transactions
07/30/20	2.000	493.99	07/13/20	495.36	1.37 W	0.00	Sale <sup>25</sup>
07/31/20	1.000	252.37	07/30/20	248.69	...	3.68	Sale <sup>25</sup>
08/07/20	1.000	271.45	08/06/20	265.98	...	5.47	Sale <sup>25</sup>

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(continued)

02/14/2021

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FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	261.96	08/07/20	270.11	4.08 W	-4.07	1 of 2 - Sale <sup>25</sup>
08/13/20	1.000	261.96	08/07/20	271.73	9.77 W	0.00	2 of 2 - Sale <sup>25</sup>
	2.000	523.92	Various	541.84	13.85 W	-4.07	Total of 2 transactions
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.500	146.56	08/27/20	153.51	...	-6.95	1 of 3 - Sale <sup>25</sup>
	0.500	146.56	08/28/20	151.57	...	-5.01	2 of 3 - Sale <sup>25</sup>
08/31/20	0.500	146.56	08/28/20	150.13	...	-3.57	3 of 3 - Sale <sup>25</sup>
	1.500	439.68	Various	455.21	...	-15.53	Total of 3 transactions
	<b>Security total:</b>	<b>17,108.08</b>		<b>16,641.19</b>	<b>16.55 W</b>	<b>483.44</b>	
FIRST TRUST CLOUD COMPUTING ET F / CUSIP: 33734X192 / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.613	49.76	08/26/20	50.00	0.24 W	0.00	1 of 2 - Sale <sup>25</sup>
08/27/20	1.387	112.64	08/26/20	113.64	1.00 W	0.00	2 of 2 - Sale <sup>25</sup>
	2.000	162.40	Various	163.64	1.24 W	0.00	Total of 2 transactions
6 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.559	120.35	09/04/20	134.57	...	-14.22	1 of 6 - Sale <sup>25</sup>
	1.000	77.22	09/04/20	84.31	...	-7.09	2 of 6 - Sale <sup>25</sup>
	0.387	29.90	09/04/20	33.71	...	-3.81	3 of 6 - Sale <sup>25</sup>
	0.559	43.13	09/04/20	46.22	...	-3.09	4 of 6 - Sale <sup>25</sup>
	0.441	34.09	09/04/20	36.36	...	-2.27	5 of 6 - Sale <sup>25</sup>
	0.054	4.19	09/04/20	4.94	...	-0.75	6 of 6 - Sale <sup>25</sup>
09/08/20	4.000	308.88	Various	340.11	...	-31.23	Total of 6 transactions
9 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	0.054	4.20	08/26/20	4.46	0.26 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.559	43.27	08/26/20	45.98	2.71 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.441	34.19	08/26/20	36.16	1.97 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	77.46	08/28/20	83.87	6.41 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.054	4.20	09/02/20	4.89	0.69 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.387	29.99	09/02/20	33.34	3.35 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.559	120.73	09/02/20	133.07	12.34 W	0.00	7 of 9 - Sale <sup>25</sup>
	2.000	154.93	09/02/20	171.06	16.13 W	0.00	8 of 9 - Sale <sup>25</sup>

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(continued)

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FIRST TRUST CLOUD COMPUTING ET F / CUSIP: 33734X192 / Symbol: (cont'd)							
09/08/20	10.000	774.64	09/04/20	805.55	12.36 W	-18.55	9 of 9 - Sale <sup>25</sup>
	16.054	1,243.61	Various	1,318.38	56.22 W	-18.55	Total of 9 transactions
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	0.000	0.02	09/04/20	0.02	...	0.00	1 of 2 - Sale <sup>25</sup>
	1.640	135.14	09/04/20	133.65	...	1.49	2 of 2 - Sale <sup>25</sup>
10/08/20	1.640	135.16	Various	133.67	...	1.49	Total of 2 transactions
10/08/20	2.000	164.84	09/04/20	172.97	8.13 W	0.00	Sale <sup>25</sup>
	5 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	0.682	58.45	09/04/20	55.63	...	2.82	1 of 5 - Sale <sup>25</sup>
	1.678	143.65	09/04/20	136.74	...	6.91	2 of 5 - Sale <sup>25</sup>
	1.677	143.58	10/09/20	147.42	...	-3.84	3 of 5 - Sale <sup>25</sup>
	0.323	27.68	10/09/20	28.41	...	-0.73	4 of 5 - Sale <sup>25</sup>
	5.322	455.74	10/09/20	446.28	...	9.46	5 of 5 - Sale <sup>25</sup>
10/13/20	9.682	829.10	Various	814.48	...	14.62	Total of 5 transactions
	<b>Security total:</b>	<b>2,843.99</b>		<b>2,943.25</b>	<b>65.59 W</b>	<b>-33.67</b>	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
	2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	0.413	5.77	11/09/20	5.57	...	0.20	1 of 2 - Sale <sup>25</sup>
	6.974	97.46	11/09/20	94.43	...	3.03	2 of 2 - Sale <sup>25</sup>
11/10/20	7.387	103.23	Various	100.00	...	3.23	Total of 2 transactions
	5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	2.528	49.26	11/25/20	50.00	...	-0.74	1 of 5 - Sale <sup>25</sup>
	0.998	19.45	11/25/20	20.00	...	-0.55	2 of 5 - Sale <sup>25</sup>
	0.502	9.77	11/25/20	10.04	...	-0.27	3 of 5 - Sale <sup>25</sup>
	0.999	19.48	11/30/20	19.71	...	-0.23	4 of 5 - Sale <sup>25</sup>
	0.522	10.18	11/30/20	10.29	...	-0.11	5 of 5 - Sale <sup>25</sup>
11/30/20	5.549	108.14	Various	110.04	...	-1.90	Total of 5 transactions
	<b>Security total:</b>	<b>211.37</b>		<b>210.04</b>	<b>...</b>	<b>1.33</b>	

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENERAL DYNAMICS CORPORATION / CUSIP: 369550108 / Symbol:							
05/20/20	1.000	137.12	05/19/20	136.20	...	0.92	Sale <sup>25</sup>
05/20/20	8.000	1,097.00	05/19/20	1,097.36	0.23 W	-0.13	Sale <sup>25</sup>
05/21/20	1.000	138.19	05/19/20	136.25	...	1.94	Sale <sup>25</sup>
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	156.06	06/03/20	156.24	...	-0.18	1 of 2 - Sale <sup>25</sup>
	1.000	156.07	06/03/20	154.25	...	1.82	2 of 2 - Sale <sup>25</sup>
06/04/20	2.000	312.13	Various	310.49	...	1.64	Total of 2 transactions
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	158.52	06/15/20	153.03	...	5.49	1 of 2 - Sale <sup>25</sup>
	1.000	159.06	06/15/20	153.28	...	5.78	2 of 2 - Sale <sup>25</sup>
06/18/20	2.000	317.58	Various	306.31	...	11.27	Total of 2 transactions
09/08/20	2.000	296.50	09/02/20	306.52	10.02 W	0.00	Sale <sup>25</sup>
11 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.551	221.56	09/11/20	244.08	...	-22.52	1 of 11 - Sale <sup>25</sup>
	0.003	0.40	09/11/20	0.45	...	-0.05	2 of 11 - Sale <sup>25</sup>
	1.942	277.40	09/15/20	313.94	...	-36.54	3 of 11 - Sale <sup>25</sup>
	0.391	55.81	09/15/20	63.17	...	-7.36	4 of 11 - Sale <sup>25</sup>
	1.554	221.99	09/15/20	226.99	...	-5.00	5 of 11 - Sale <sup>25</sup>
	0.055	7.80	09/15/20	8.98	...	-1.18	6 of 11 - Sale <sup>25</sup>
	0.058	8.27	09/15/20	9.36	...	-1.09	7 of 11 - Sale <sup>25</sup>
	0.000	0.05	09/15/20	0.08	...	-0.03	8 of 11 - Sale <sup>25</sup>
	0.000	0.03	09/15/20	0.04	...	-0.01	9 of 11 - Sale <sup>25</sup>
	0.446	63.72	09/23/20	61.21	...	2.51	10 of 11 - Sale <sup>25</sup>
	1.000	142.84	09/23/20	137.23	...	5.61	11 of 11 - Sale <sup>25</sup>
10/05/20	7.000	999.87	Various	1,065.53	...	-65.66	Total of 11 transactions
7 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	0.449	63.61	09/02/20	68.79	5.18 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.551	219.78	09/02/20	237.73	17.95 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	283.38	09/04/20	314.78	31.40 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.058	8.23	09/11/20	9.14	0.91 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.391	55.37	09/11/20	61.47	6.10 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.001	0.06	09/11/20	0.09	0.03 W	0.00	6 of 7 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 722598554
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENERAL DYNAMICS CORPORATION / CUSIP: 369550108 / Symbol: (cont'd)							
	0.055	7.80	09/11/20	8.81	1.01 W	0.00	7 of 7 - Sale <sup>25</sup>
10/05/20	4.504	638.23	Various	700.81	62.58 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>3,936.62</b>		<b>4,059.47</b>	<b>72.83 W</b>	<b>-50.02</b>	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
01/03/20	2.000	23.60	11/04/19	21.42	...	2.18	Sale <sup>25</sup>
12/08/20	5.000	55.01	12/04/20	54.35	...	0.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>78.61</b>		<b>75.77</b>	<b>...</b>	<b>2.84</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
<i>11 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	44.65	06/09/20	40.00	...	4.65	1 of 11 - Sale <sup>25</sup>
	20.000	89.30	06/09/20	81.60	...	7.70	2 of 11 - Sale <sup>25</sup>
	20.000	89.30	06/09/20	74.70	...	14.60	3 of 11 - Sale <sup>25</sup>
	10.000	44.90	06/11/20	44.45	...	0.45	4 of 11 - Sale <sup>25</sup>
	3.000	13.47	06/11/20	12.92	...	0.55	5 of 11 - Sale <sup>25</sup>
	10.000	44.90	06/11/20	37.15	...	7.75	6 of 11 - Sale <sup>25</sup>
	10.000	44.90	06/11/20	36.95	...	7.95	7 of 11 - Sale <sup>25</sup>
	10.000	44.90	06/11/20	34.40	...	10.50	8 of 11 - Sale <sup>25</sup>
	10.000	44.90	06/12/20	41.50	...	3.40	9 of 11 - Sale <sup>25</sup>
	10.000	44.90	06/12/20	41.00	...	3.90	10 of 11 - Sale <sup>25</sup>
	10.000	44.90	06/12/20	40.20	...	4.70	11 of 11 - Sale <sup>25</sup>
06/15/20	123.000	551.02	Various	484.87	...	66.15	Total of 11 transactions
<i>11 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	22.32	06/04/20	33.21	10.89 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	8.93	06/04/20	13.54	4.61 W	0.00	2 of 11 - Sale <sup>25</sup>
	8.000	35.72	06/04/20	54.32	18.60 W	0.00	3 of 11 - Sale <sup>25</sup>
	10.000	44.65	06/05/20	54.30	9.65 W	0.00	4 of 11 - Sale <sup>25</sup>
	2.000	8.93	06/05/20	12.33	3.40 W	0.00	5 of 11 - Sale <sup>25</sup>
	3.000	13.39	06/08/20	15.87	2.48 W	0.00	6 of 11 - Sale <sup>25</sup>
	20.000	89.30	06/09/20	89.80	0.50 W	0.00	7 of 11 - Sale <sup>25</sup>
	2.000	8.98	06/11/20	11.57	2.59 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	22.45	06/11/20	28.29	5.84 W	0.00	9 of 11 - Sale <sup>25</sup>
	8.000	35.92	06/11/20	46.44	10.52 W	0.00	10 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
06/15/20	2.000	8.98	06/11/20	10.36	1.38 W	0.00	11 of 11 - Sale <sup>25</sup>
	67.000	299.57	Various	370.03	70.46 W	0.00	Total of 11 transactions
	13 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	2.534	7.53	06/18/20	10.55	...	-3.02	1 of 13 - Sale <sup>25</sup>
	2.000	5.94	06/18/20	7.08	...	-1.14	2 of 13 - Sale <sup>25</sup>
	5.000	14.85	06/18/20	14.29	...	0.56	3 of 13 - Sale <sup>25</sup>
	5.466	16.23	06/18/20	15.58	...	0.65	4 of 13 - Sale <sup>25</sup>
	20.000	59.40	06/18/20	56.00	...	3.40	5 of 13 - Sale <sup>25</sup>
	20.000	59.40	06/18/20	56.00	...	3.40	6 of 13 - Sale <sup>25</sup>
	20.000	59.40	06/18/20	55.90	...	3.50	7 of 13 - Sale <sup>25</sup>
	2.534	7.53	06/19/20	7.11	...	0.42	8 of 13 - Sale <sup>25</sup>
	5.000	14.85	06/19/20	14.03	...	0.82	9 of 13 - Sale <sup>25</sup>
	5.000	14.85	06/19/20	14.03	...	0.82	10 of 13 - Sale <sup>25</sup>
	20.000	59.40	06/22/20	53.40	...	6.00	11 of 13 - Sale <sup>25</sup>
	20.000	59.39	06/22/20	53.00	...	6.39	12 of 13 - Sale <sup>25</sup>
	30.000	89.10	06/22/20	80.25	...	8.85	13 of 13 - Sale <sup>25</sup>
06/23/20	157.534	467.87	Various	437.22	...	30.65	Total of 13 transactions
	6 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	5.466	16.23	06/16/20	29.14	11.81 W	-1.10	1 of 6 - Sale <sup>25</sup>
	0.347	1.03	06/16/20	1.82	0.79 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	5.94	06/16/20	10.44	4.50 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.118	0.35	06/16/20	0.62	0.27 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	5.94	06/16/20	10.62	4.68 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.534	7.53	06/16/20	13.13	5.60 W	0.00	6 of 6 - Sale <sup>25</sup>
06/23/20	12.466	37.02	Various	65.77	27.65 W	-1.10	Total of 6 transactions
	5 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.653	3.85	07/02/20	9.78	...	-5.93	1 of 5 - Sale <sup>25</sup>
	0.347	0.81	07/02/20	2.07	...	-1.26	2 of 5 - Sale <sup>25</sup>
	1.534	3.57	07/06/20	8.22	...	-4.65	3 of 5 - Sale <sup>25</sup>
	0.347	0.81	07/06/20	1.87	...	-1.06	4 of 5 - Sale <sup>25</sup>
	0.118	0.28	07/06/20	0.64	...	-0.36	5 of 5 - Sale <sup>25</sup>
07/14/20	4.000	9.32	Various	22.58	...	-13.26	Total of 5 transactions

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
8 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	2.000	3.77	07/08/20	9.42	...	-5.65	1 of 8 - Sale <sup>25</sup>
	1.309	2.47	07/08/20	6.16	...	-3.69	2 of 8 - Sale <sup>25</sup>
	0.691	1.30	07/08/20	3.26	...	-1.96	3 of 8 - Sale <sup>25</sup>
	0.691	1.30	07/08/20	3.26	...	-1.96	4 of 8 - Sale <sup>25</sup>
	0.691	1.30	07/08/20	3.16	...	-1.86	5 of 8 - Sale <sup>25</sup>
	0.309	0.58	07/08/20	1.46	...	-0.88	6 of 8 - Sale <sup>25</sup>
	0.309	0.58	07/08/20	1.41	...	-0.83	7 of 8 - Sale <sup>25</sup>
	2.000	3.77	07/09/20	9.45	...	-5.68	8 of 8 - Sale <sup>25</sup>
08/03/20	8.000	15.07	Various	37.58	...	-22.51	Total of 8 transactions
	<b>Security total:</b>	<b>1,379.87</b>		<b>1,418.05</b>	<b>98.11 W</b>	<b>59.93</b>	
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
10/27/20	0.001	0.05	10/22/20	0.05	...	0.00	Sale <sup>25</sup>
6 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	0.186	11.17	10/22/20	11.66	0.49 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.186	11.17	10/22/20	12.20	1.03 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.205	12.29	10/22/20	12.90	0.61 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	59.90	10/22/20	62.80	2.90 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.814	48.74	10/22/20	50.88	2.14 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.794	47.56	10/22/20	50.00	2.44 W	0.00	6 of 6 - Sale <sup>25</sup>
10/27/20	3.186	190.83	Various	200.44	9.61 W	0.00	Total of 6 transactions
9 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	7.001	403.10	10/28/20	414.37	...	-11.27	1 of 9 - Sale <sup>25</sup>
	0.794	45.72	10/28/20	49.44	...	-3.72	2 of 9 - Sale <sup>25</sup>
	0.814	46.85	10/28/20	49.73	...	-2.88	3 of 9 - Sale <sup>25</sup>
	0.460	26.50	10/28/20	28.54	...	-2.04	4 of 9 - Sale <sup>25</sup>
	0.505	29.05	10/28/20	30.97	...	-1.92	5 of 9 - Sale <sup>25</sup>
	0.158	9.08	10/28/20	10.36	...	-1.28	6 of 9 - Sale <sup>25</sup>
	0.205	11.81	10/28/20	12.61	...	-0.80	7 of 9 - Sale <sup>25</sup>
	0.029	1.65	10/28/20	1.83	...	-0.18	8 of 9 - Sale <sup>25</sup>
	0.035	2.03	10/28/20	2.19	...	-0.16	9 of 9 - Sale <sup>25</sup>
10/29/20	10.000	575.79	Various	600.04	...	-24.25	Total of 9 transactions
10/29/20	0.158	9.08	10/28/20	10.10	1.02 W	0.00	Sale <sup>25</sup>

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol: (cont'd)							
<b>Security total:</b>		<b>775.75</b>		<b>810.63</b>		<b>10.63 W</b>	<b>-24.25</b>
GLOBAL X FUNDS GLOBAL X CLOUD COMPUTING ETF / CUSIP: 37954Y442 / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	3.864	92.16	08/26/20	93.11	0.25 W	-0.70	1 of 3 - Sale <sup>25</sup>
	0.835	19.92	08/26/20	20.00	0.08 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.300	7.17	08/26/20	7.24	0.07 W	0.00	3 of 3 - Sale <sup>25</sup>
08/27/20	5.000	119.25	Various	120.35	0.40 W	-0.70	Total of 3 transactions
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.300	7.31	08/26/20	7.31	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.835	20.30	08/26/20	20.20	...	0.10	2 of 4 - Sale <sup>25</sup>
	3.000	72.95	08/26/20	72.29	...	0.66	3 of 4 - Sale <sup>25</sup>
	1.000	24.31	08/28/20	24.60	...	-0.29	4 of 4 - Sale <sup>25</sup>
08/31/20	5.136	124.87	Various	124.40	...	0.47	Total of 4 transactions
<b>Security total:</b>		<b>244.12</b>		<b>244.75</b>	<b>0.40 W</b>	<b>-0.23</b>	
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
3 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	1.000	6.20	09/27/19	5.06	...	1.14	1 of 3 - Sale <sup>25</sup>
	1.000	6.20	09/27/19	5.06	...	1.14	2 of 3 - Sale <sup>25</sup>
	2.000	12.39	09/27/19	10.12	...	2.27	3 of 3 - Sale <sup>25</sup>
01/03/20	4.000	24.79	Various	20.24	...	4.55	Total of 3 transactions
5 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	2.000	12.50	09/27/19	9.62	...	2.88	1 of 5 - Sale <sup>25</sup>
	1.000	6.25	10/07/19	5.47	...	0.78	2 of 5 - Sale <sup>25</sup>
	1.000	6.25	10/07/19	5.35	...	0.90	3 of 5 - Sale <sup>25</sup>
	2.000	12.50	10/23/19	12.15	...	0.35	4 of 5 - Sale <sup>25</sup>
	4.000	24.10	12/19/19	24.08	...	0.02	5 of 5 - Sale <sup>25</sup>
04/01/20	10.000	61.60	Various	56.67	...	4.93	Total of 5 transactions
04/01/20	2.000	12.05	10/23/19	12.15	0.10 W	0.00	Sale <sup>25</sup>
04/14/20	2.000	14.00	03/16/20	9.48	...	4.52	Sale <sup>25</sup>
08/04/20	4.000	40.30	07/27/20	37.26	...	3.04	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol: (cont'd)							
6 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	5.000	41.25	08/05/20	48.68	...	-7.43	1 of 6 - Sale <sup>25</sup>
	5.000	41.25	08/06/20	46.88	...	-5.63	2 of 6 - Sale <sup>25</sup>
	5.000	41.25	08/06/20	40.50	...	0.75	3 of 6 - Sale <sup>25</sup>
	5.000	41.25	08/06/20	40.45	...	0.80	4 of 6 - Sale <sup>25</sup>
	10.000	82.50	08/06/20	81.70	...	0.80	5 of 6 - Sale <sup>25</sup>
	10.000	82.50	08/10/20	75.00	...	7.50	6 of 6 - Sale <sup>25</sup>
08/18/20	40.000	330.00	Various	333.21	...	-3.21	Total of 6 transactions
08/18/20	10.000	79.71	08/04/20	92.50	12.79 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>562.45</b>		<b>561.51</b>	<b>12.89 W</b>	<b>13.83</b>	
GRAF INDUSTRIAL CORP. COMMON S TOCK / CUSIP: 384278107 / Symbol:							
9 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	2.000	38.90	07/02/20	43.50	...	-4.60	1 of 9 - Sale <sup>25</sup>
	2.944	57.26	07/02/20	58.38	...	-1.12	2 of 9 - Sale <sup>25</sup>
	0.056	1.12	07/02/20	1.11	...	0.01	3 of 9 - Sale <sup>25</sup>
	1.000	19.92	07/02/20	19.83	...	0.09	4 of 9 - Sale <sup>25</sup>
	2.000	38.90	07/06/20	39.89	...	-0.99	5 of 9 - Sale <sup>25</sup>
	2.000	38.90	07/07/20	36.00	...	2.90	6 of 9 - Sale <sup>25</sup>
	2.000	38.90	07/08/20	34.58	...	4.32	7 of 9 - Sale <sup>25</sup>
	2.000	38.90	07/08/20	33.98	...	4.92	8 of 9 - Sale <sup>25</sup>
	4.000	77.80	07/08/20	69.52	...	8.28	9 of 9 - Sale <sup>25</sup>
07/09/20	18.000	350.60	Various	336.79	...	13.81	Total of 9 transactions
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	4.000	96.30	09/02/20	102.22	...	-5.92	1 of 4 - Sale <sup>25</sup>
	4.000	96.30	09/02/20	101.12	...	-4.82	2 of 4 - Sale <sup>25</sup>
	1.000	24.08	09/02/20	25.07	...	-0.99	3 of 4 - Sale <sup>25</sup>
	1.000	24.07	09/02/20	24.87	...	-0.80	4 of 4 - Sale <sup>25</sup>
09/04/20	10.000	240.75	Various	253.28	...	-12.53	Total of 4 transactions
	<b>Security total:</b>	<b>591.35</b>		<b>590.07</b>	...	<b>1.28</b>	

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	30.000	41.54	07/09/20	42.90	...	-1.36	1 of 2 - Sale <sup>25</sup>
	10.000	13.85	07/09/20	14.10	...	-0.25	2 of 2 - Sale <sup>25</sup>
07/17/20	40.000	55.39	Various	57.00	...	-1.61	Total of 2 transactions
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	50.000	69.25	07/08/20	85.25	16.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	13.85	07/08/20	15.30	1.45 W	0.00	2 of 2 - Sale <sup>25</sup>
07/17/20	60.000	83.10	Various	100.55	17.45 W	0.00	Total of 2 transactions
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	40.000	56.60	07/13/20	66.20	...	-9.60	1 of 2 - Sale <sup>25</sup>
	10.000	14.15	07/14/20	15.25	...	-1.10	2 of 2 - Sale <sup>25</sup>
07/20/20	50.000	70.75	Various	81.45	...	-10.70	Total of 2 transactions
07/31/20	10.000	14.75	07/20/20	15.90	...	-1.15	Sale <sup>25</sup>
	<b>Security total:</b>	<b>223.99</b>		<b>254.90</b>	<b>17.45 W</b>	<b>-13.46</b>	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
5 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	5.000	41.85	03/13/20	35.00	...	6.85	1 of 5 - Sale <sup>25</sup>
	2.000	16.74	03/16/20	12.00	...	4.74	2 of 5 - Sale <sup>25</sup>
	5.000	41.85	03/16/20	30.00	...	11.85	3 of 5 - Sale <sup>25</sup>
	1.000	8.37	03/25/20	6.73	...	1.64	4 of 5 - Sale <sup>25</sup>
	2.000	16.74	03/30/20	16.00	...	0.74	5 of 5 - Sale <sup>25</sup>
04/06/20	15.000	125.55	Various	99.73	...	25.82	Total of 5 transactions
04/06/20	5.000	41.85	03/09/20	71.00	29.15 W	0.00	Sale <sup>25</sup>
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	5.000	50.05	04/01/20	67.70	...	-17.65	1 of 3 - Sale <sup>25</sup>
	5.000	50.05	04/01/20	38.55	...	11.50	2 of 3 - Sale <sup>25</sup>
	10.000	100.10	04/07/20	83.79	...	16.31	3 of 3 - Sale <sup>25</sup>
05/01/20	20.000	200.20	Various	190.04	...	10.16	Total of 3 transactions
5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	2.000	49.44	07/01/20	54.45	...	-5.01	1 of 5 - Sale <sup>25</sup>
	2.000	49.26	07/01/20	48.52	...	0.74	2 of 5 - Sale <sup>25</sup>
	4.000	98.88	07/01/20	84.47	...	14.41	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	2.000	49.44	07/02/20	45.76	...	3.68	4 of 5 - Sale <sup>25</sup>
	2.000	49.44	07/02/20	40.54	...	8.90	5 of 5 - Sale <sup>25</sup>
07/07/20	12.000	296.46	Various	273.74	...	22.72	Total of 5 transactions
	4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	2.000	49.18	06/25/20	59.24	10.06 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	98.37	06/25/20	130.40	32.03 W	0.00	2 of 4 - Sale <sup>25</sup>
	4.000	98.37	06/30/20	105.96	7.59 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	49.26	07/01/20	54.48	5.22 W	0.00	4 of 4 - Sale <sup>25</sup>
07/07/20	12.000	295.18	Various	350.08	54.90 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>959.24</b>		<b>984.59</b>	<b>84.05 W</b>	<b>58.70</b>	
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
	4 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	7.000	336.14	10/22/20	343.00	...	-6.86	1 of 4 - Sale <sup>25</sup>
	0.948	45.52	10/22/20	46.45	...	-0.93	2 of 4 - Sale <sup>25</sup>
	0.050	2.42	10/22/20	2.52	...	-0.10	3 of 4 - Sale <sup>25</sup>
	0.002	0.08	10/22/20	0.08	...	0.00	4 of 4 - Sale <sup>25</sup>
10/23/20	8.000	384.16	Various	392.05	...	-7.89	Total of 4 transactions
10/23/20	0.050	2.42	10/22/20	2.47	0.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>386.58</b>		<b>394.52</b>	<b>0.05 W</b>	<b>-7.89</b>	
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
09/04/20	4.000	496.95	09/02/20	511.76	...	-14.81	Sale <sup>25</sup>
ISHARES GLOBAL MATERIALS ETF / CUSIP: 464288695 / Symbol:							
	3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	2.000	145.02	09/04/20	141.76	...	3.26	1 of 3 - Sale <sup>25</sup>
	2.000	145.01	09/04/20	140.90	...	4.11	2 of 3 - Sale <sup>25</sup>
	3.000	217.52	09/11/20	215.31	...	2.21	3 of 3 - Sale <sup>25</sup>
09/14/20	7.000	507.55	Various	497.97	...	9.58	Total of 3 transactions
	2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	1.000	67.04	09/11/20	71.77	...	-4.73	1 of 2 - Sale <sup>25</sup>
	1.000	67.03	09/15/20	73.18	...	-6.15	2 of 2 - Sale <sup>25</sup>
10/28/20	2.000	134.07	Various	144.95	...	-10.88	Total of 2 transactions

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ISHARES GLOBAL MATERIALS ETF / CUSIP: 464288695 / Symbol: (cont'd)							
<b>Security total:</b>		<b>641.62</b>		<b>642.92</b>	...	<b>-1.30</b>	
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	95.12	04/15/20	90.97	...	4.15	1 of 2 - Sale <sup>25</sup>
	14.000	1,331.65	04/15/20	1,273.57	...	58.08	2 of 2 - Sale <sup>25</sup>
04/17/20	15.000	1,426.77	Various	1,364.54	...	62.23	Total of 2 transactions
04/29/20	10.000	984.29	04/23/20	893.05	...	91.24	Sale <sup>25</sup>
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	4.000	336.68	05/04/20	365.92	...	-29.24	1 of 2 - Sale <sup>25</sup>
	4.000	336.67	05/11/20	359.96	...	-23.29	2 of 2 - Sale <sup>25</sup>
05/13/20	8.000	673.35	Various	725.88	...	-52.53	Total of 2 transactions
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	2.000	191.32	09/04/20	207.72	...	-16.40	1 of 3 - Sale <sup>25</sup>
	1.000	95.66	09/17/20	98.14	...	-2.48	2 of 3 - Sale <sup>25</sup>
	0.752	71.90	09/17/20	73.75	...	-1.85	3 of 3 - Sale <sup>25</sup>
09/29/20	3.752	358.88	Various	379.61	...	-20.73	Total of 3 transactions
<b>Security total:</b>		<b>3,443.29</b>		<b>3,363.08</b>	...	<b>80.21</b>	
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
06/29/20	10.000	13.90	06/25/20	12.10	...	1.80	Sale <sup>25</sup>
07/09/20	20.000	17.80	07/08/20	17.34	...	0.46	Sale <sup>25</sup>
07/29/20	24.000	19.76	07/16/20	19.03	...	0.73	Sale <sup>25</sup>
<b>Security total:</b>		<b>51.46</b>		<b>48.47</b>	...	<b>2.99</b>	
THE KRAFT HEINZ COMPANY COMMON STOCK / CUSIP: 500754106 / Symbol:							
04/17/20	5.000	146.45	04/15/20	140.00	...	6.45	Sale <sup>25</sup>
LAIRD SUPERFOOD, INC. / CUSIP: 50736T102 / Symbol:							
3 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	2.000	91.15	09/23/20	85.20	...	5.95	1 of 3 - Sale <sup>25</sup>
	2.000	91.15	09/23/20	82.00	...	9.15	2 of 3 - Sale <sup>25</sup>
	6.000	273.45	09/23/20	255.36	...	18.09	3 of 3 - Sale <sup>25</sup>
09/28/20	10.000	455.75	Various	422.56	...	33.19	Total of 3 transactions

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LAIRD SUPERFOOD, INC. / CUSIP: 50736T102 / Symbol: (cont'd)							
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	0.012	0.54	09/30/20	0.49	...	0.05	1 of 3 - Sale <sup>25</sup>
	0.341	15.79	09/30/20	14.55	...	1.24	2 of 3 - Sale <sup>25</sup>
	1.988	92.06	09/30/20	85.01	...	7.05	3 of 3 - Sale <sup>25</sup>
10/01/20	2.341	108.39	Various	100.05	...	8.34	Total of 3 transactions
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	0.341	15.81	09/28/20	15.86	0.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.659	76.81	09/28/20	77.14	0.33 W	0.00	2 of 2 - Sale <sup>25</sup>
10/01/20	2.000	92.62	Various	93.00	0.38 W	0.00	Total of 2 transactions
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	2.263	110.90	10/23/20	115.41	...	-4.51	1 of 2 - Sale <sup>25</sup>
	1.659	81.29	10/23/20	84.92	...	-3.63	2 of 2 - Sale <sup>25</sup>
10/28/20	3.922	192.19	Various	200.33	...	-8.14	Total of 2 transactions
	<b>Security total:</b>	<b>848.95</b>		<b>815.94</b>	<b>0.38 W</b>	<b>33.39</b>	
LATTICE SEMICONDUCTOR CORPORAT ION COMMON STOCK / CUSIP: 518415104 / Symbol:							
4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	2.000	57.36	09/16/20	58.84	...	-1.48	1 of 4 - Sale <sup>25</sup>
	2.000	57.36	09/16/20	58.65	...	-1.29	2 of 4 - Sale <sup>25</sup>
	0.835	23.95	09/22/20	23.22	...	0.73	3 of 4 - Sale <sup>25</sup>
	2.000	57.36	09/22/20	55.61	...	1.75	4 of 4 - Sale <sup>25</sup>
09/28/20	6.835	196.03	Various	196.32	...	-0.29	Total of 4 transactions
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
7 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	40.25	07/16/20	55.75	...	-15.50	1 of 7 - Sale <sup>25</sup>
	2.000	80.50	07/17/20	91.00	...	-10.50	2 of 7 - Sale <sup>25</sup>
	1.000	40.25	07/17/20	46.00	...	-5.75	3 of 7 - Sale <sup>25</sup>
	1.000	40.25	07/21/20	45.25	...	-5.00	4 of 7 - Sale <sup>25</sup>
	1.000	40.25	07/21/20	43.10	...	-2.85	5 of 7 - Sale <sup>25</sup>
	2.000	80.50	07/28/20	85.86	...	-5.36	6 of 7 - Sale <sup>25</sup>
	2.000	80.50	07/28/20	82.79	...	-2.29	7 of 7 - Sale <sup>25</sup>
08/05/20	10.000	402.50	Various	449.75	...	-47.25	Total of 7 transactions

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.909	53.39	11/17/20	47.31	...	6.08	1 of 2 - Sale <sup>25</sup>
	3.961	110.75	11/17/20	100.00	...	10.75	2 of 2 - Sale <sup>25</sup>
11/18/20	5.870	164.14	Various	147.31	...	16.83	Total of 2 transactions
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
05/21/20	4.000	9.36	04/06/20	18.00	...	-8.64	Sale <sup>25</sup>
LULULEMON ATHLETICA INC. COMMO N STOCK / CUSIP: 550021109 / Symbol:							
06/03/20	1.000	315.31	06/01/20	309.46	...	5.85	Sale <sup>25</sup>
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	2.000	610.20	06/11/20	580.00	...	30.20	1 of 4 - Sale <sup>25</sup>
	1.000	305.10	06/18/20	302.98	...	2.12	2 of 4 - Sale <sup>25</sup>
	1.000	305.10	06/18/20	302.98	...	2.12	3 of 4 - Sale <sup>25</sup>
	1.000	305.10	06/19/20	297.75	...	7.35	4 of 4 - Sale <sup>25</sup>
06/23/20	5.000	1,525.50	Various	1,483.71	...	41.79	Total of 4 transactions
08/14/20	5.000	1,740.92	08/12/20	1,718.00	...	22.92	Sale <sup>25</sup>
08/19/20	2.000	720.76	08/18/20	715.36	...	5.40	Sale <sup>25</sup>
08/20/20	2.000	725.78	08/19/20	724.27	...	1.51	Sale <sup>25</sup>
6 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	0.355	133.02	08/21/20	130.91	...	2.11	1 of 6 - Sale <sup>25</sup>
	0.500	187.51	08/21/20	183.74	...	3.77	2 of 6 - Sale <sup>25</sup>
	0.500	187.62	08/21/20	183.74	...	3.88	3 of 6 - Sale <sup>25</sup>
	0.998	374.44	08/21/20	369.09	...	5.35	4 of 6 - Sale <sup>25</sup>
	0.500	187.51	08/24/20	187.33	...	0.18	5 of 6 - Sale <sup>25</sup>
	0.647	242.58	08/24/20	242.37	...	0.21	6 of 6 - Sale <sup>25</sup>
08/25/20	3.500	1,312.68	Various	1,297.18	...	15.50	Total of 6 transactions
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.200	75.79	08/25/20	75.61	...	0.18	1 of 2 - Sale <sup>25</sup>
	0.800	303.18	08/25/20	302.45	...	0.73	2 of 2 - Sale <sup>25</sup>
08/27/20	1.000	378.97	Various	378.06	...	0.91	Total of 2 transactions
08/27/20	0.100	37.90	08/26/20	38.13	0.23 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LULULEMON ATHLETICA INC. COMMO N STOCK / CUSIP: 550021109 / Symbol: (cont'd)							
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.424	159.46	08/26/20	161.87	2.41 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.100	37.57	08/26/20	38.46	0.89 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.162	60.71	08/26/20	61.77	1.06 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.500	187.83	08/27/20	191.89	4.06 W	0.00	4 of 4 - Sale <sup>25</sup>
08/31/20	1.186	445.57	Various	453.99	8.42 W	0.00	Total of 4 transactions
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.100	37.16	09/02/20	40.66	3.50 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.162	60.04	09/02/20	65.33	5.29 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.424	157.71	09/02/20	171.22	13.51 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.500	185.78	09/02/20	202.91	17.13 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.814	302.42	09/02/20	323.70	21.28 W	0.00	5 of 5 - Sale <sup>25</sup>
09/04/20	2.000	743.11	Various	803.82	60.71 W	0.00	Total of 5 transactions
33 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	0.100	32.94	09/08/20	39.65	6.71 W	0.00	1 of 33 - Sale <sup>25</sup>
	0.400	131.74	09/08/20	157.32	25.58 W	0.00	2 of 33 - Sale <sup>25</sup>
	0.024	8.06	09/08/20	9.66	1.60 W	0.00	3 of 33 - Sale <sup>25</sup>
	0.162	53.23	09/08/20	63.95	10.72 W	0.00	4 of 33 - Sale <sup>25</sup>
	0.314	103.40	09/08/20	124.72	21.32 W	0.00	5 of 33 - Sale <sup>25</sup>
	0.186	61.28	09/08/20	72.15	10.87 W	0.00	6 of 33 - Sale <sup>25</sup>
	0.314	103.40	09/08/20	119.19	15.79 W	0.00	7 of 33 - Sale <sup>25</sup>
	0.500	164.68	09/08/20	188.57	23.89 W	0.00	8 of 33 - Sale <sup>25</sup>
	0.500	164.68	09/08/20	175.50	10.82 W	0.00	9 of 33 - Sale <sup>25</sup>
	2.000	658.72	09/08/20	700.99	42.27 W	0.00	10 of 33 - Sale <sup>25</sup>
	0.500	164.68	09/08/20	175.15	10.47 W	0.00	11 of 33 - Sale <sup>25</sup>
	0.500	164.68	09/08/20	176.75	12.07 W	0.00	12 of 33 - Sale <sup>25</sup>
	0.500	164.68	09/08/20	177.50	12.82 W	0.00	13 of 33 - Sale <sup>25</sup>
	2.000	658.72	09/08/20	706.00	47.28 W	0.00	14 of 33 - Sale <sup>25</sup>
	2.000	658.73	09/08/20	693.56	34.83 W	0.00	15 of 33 - Sale <sup>25</sup>
	0.024	8.04	09/08/20	10.13	2.09 W	0.00	16 of 33 - Sale <sup>25</sup>
	0.162	53.08	09/08/20	67.06	13.98 W	0.00	17 of 33 - Sale <sup>25</sup>
	0.314	103.11	09/08/20	130.76	27.65 W	0.00	18 of 33 - Sale <sup>25</sup>
	0.100	32.85	09/08/20	41.68	8.83 W	0.00	19 of 33 - Sale <sup>25</sup>

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LULULEMON ATHLETICA INC. COMMO N STOCK / CUSIP: 550021109 / Symbol: (cont'd)							
	0.400	131.38	09/08/20	165.44	34.06 W	0.00	20 of 33 - Sale <sup>25</sup>
	0.186	61.12	09/08/20	74.51	13.39 W	0.00	21 of 33 - Sale <sup>25</sup>
	0.314	103.11	09/08/20	123.15	20.04 W	0.00	22 of 33 - Sale <sup>25</sup>
	0.500	164.22	09/08/20	194.89	30.67 W	0.00	23 of 33 - Sale <sup>25</sup>
	0.500	164.22	09/08/20	181.82	17.60 W	0.00	24 of 33 - Sale <sup>25</sup>
	0.500	164.23	09/08/20	181.57	17.34 W	0.00	25 of 33 - Sale <sup>25</sup>
	0.838	275.23	09/08/20	308.48	33.25 W	0.00	26 of 33 - Sale <sup>25</sup>
	0.162	53.20	09/08/20	59.65	6.45 W	0.00	27 of 33 - Sale <sup>25</sup>
	0.500	164.17	09/08/20	183.57	19.40 W	0.00	28 of 33 - Sale <sup>25</sup>
	0.500	164.17	09/08/20	183.47	19.30 W	0.00	29 of 33 - Sale <sup>25</sup>
	0.500	164.17	09/08/20	184.52	20.35 W	0.00	30 of 33 - Sale <sup>25</sup>
	0.500	164.17	09/08/20	185.27	21.10 W	0.00	31 of 33 - Sale <sup>25</sup>
	2.000	656.67	09/08/20	712.62	55.95 W	0.00	32 of 33 - Sale <sup>25</sup>
	0.838	275.13	09/08/20	291.10	15.97 W	0.00	33 of 33 - Sale <sup>25</sup>
09/10/20	18.838	6,195.89	Various	6,860.35	664.46 W	0.00	Total of 33 transactions
	13 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	0.400	124.62	09/10/20	172.17	...	-47.55	1 of 13 - Sale <sup>25</sup>
	0.959	298.77	09/10/20	344.82	...	-46.05	2 of 13 - Sale <sup>25</sup>
	0.832	259.74	09/10/20	299.17	...	-39.43	3 of 13 - Sale <sup>25</sup>
	0.314	97.81	09/10/20	136.05	...	-38.24	4 of 13 - Sale <sup>25</sup>
	0.838	261.07	09/10/20	293.83	...	-32.76	5 of 13 - Sale <sup>25</sup>
	0.186	57.97	09/10/20	77.64	...	-19.67	6 of 13 - Sale <sup>25</sup>
	0.162	50.35	09/10/20	69.78	...	-19.43	7 of 13 - Sale <sup>25</sup>
	0.100	31.15	09/10/20	43.36	...	-12.21	8 of 13 - Sale <sup>25</sup>
	0.209	65.02	09/10/20	75.01	...	-9.99	9 of 13 - Sale <sup>25</sup>
	0.024	7.62	09/10/20	10.54	...	-2.92	10 of 13 - Sale <sup>25</sup>
	0.017	5.29	09/10/20	6.95	...	-1.66	11 of 13 - Sale <sup>25</sup>
	0.001	0.27	09/10/20	0.39	...	-0.12	12 of 13 - Sale <sup>25</sup>
	0.000	0.08	09/10/20	0.13	...	-0.05	13 of 13 - Sale <sup>25</sup>
09/11/20	4.042	1,259.76	Various	1,529.84	...	-270.08	Total of 13 transactions
	21 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.162	363.79	09/08/20	403.69	39.90 W	0.00	1 of 21 - Sale <sup>25</sup>
	0.100	31.31	09/08/20	41.52	10.21 W	0.00	2 of 21 - Sale <sup>25</sup>
	0.024	7.66	09/08/20	10.09	2.43 W	0.00	3 of 21 - Sale <sup>25</sup>

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(continued)

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LULULEMON ATHLETICA INC. COMMO N STOCK / CUSIP: 550021109 / Symbol: (cont'd)							
	0.162	50.59	09/08/20	66.80	16.21 W	0.00	4 of 21 - Sale <sup>25</sup>
	0.400	125.23	09/08/20	164.80	39.57 W	0.00	5 of 21 - Sale <sup>25</sup>
	0.186	58.25	09/08/20	74.21	15.96 W	0.00	6 of 21 - Sale <sup>25</sup>
	0.314	98.28	09/08/20	130.26	31.98 W	0.00	7 of 21 - Sale <sup>25</sup>
	0.314	98.28	09/08/20	122.65	24.37 W	0.00	8 of 21 - Sale <sup>25</sup>
	0.500	156.53	09/08/20	194.10	37.57 W	0.00	9 of 21 - Sale <sup>25</sup>
	0.500	156.53	09/08/20	181.50	24.97 W	0.00	10 of 21 - Sale <sup>25</sup>
	0.500	156.54	09/08/20	181.24	24.70 W	0.00	11 of 21 - Sale <sup>25</sup>
	0.372	116.32	09/09/20	135.08	18.76 W	0.00	12 of 21 - Sale <sup>25</sup>
	0.257	80.40	09/09/20	93.65	13.25 W	0.00	13 of 21 - Sale <sup>25</sup>
	0.001	0.21	09/09/20	0.29	0.08 W	0.00	14 of 21 - Sale <sup>25</sup>
	0.203	63.34	09/09/20	73.97	10.63 W	0.00	15 of 21 - Sale <sup>25</sup>
	0.006	1.85	09/09/20	2.16	0.31 W	0.00	16 of 21 - Sale <sup>25</sup>
	0.500	156.08	09/10/20	186.89	20.82 W	-9.99	17 of 21 - Sale <sup>25</sup>
	0.162	50.59	09/10/20	60.18	9.59 W	0.00	18 of 21 - Sale <sup>25</sup>
	0.500	156.09	09/10/20	185.19	29.10 W	0.00	19 of 21 - Sale <sup>25</sup>
	0.500	156.08	09/10/20	185.09	29.01 W	0.00	20 of 21 - Sale <sup>25</sup>
	0.500	156.09	09/10/20	186.14	30.05 W	0.00	21 of 21 - Sale <sup>25</sup>
09/11/20	7.162	2,240.04	Various	2,679.50	429.47 W	-9.99	Total of 21 transactions
	4 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.500	156.52	09/10/20	203.32	...	-46.80	1 of 4 - Sale <sup>25</sup>
	0.500	156.53	09/10/20	190.72	...	-34.19	2 of 4 - Sale <sup>25</sup>
	0.500	156.52	09/10/20	190.45	...	-33.93	3 of 4 - Sale <sup>25</sup>
	0.296	92.66	09/10/20	121.10	...	-28.44	4 of 4 - Sale <sup>25</sup>
09/14/20	1.796	562.23	Various	705.59	...	-143.36	Total of 4 transactions
	11 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	1.162	368.31	09/10/20	425.11	...	-56.80	1 of 11 - Sale <sup>25</sup>
	0.372	117.76	09/10/20	141.92	...	-24.16	2 of 11 - Sale <sup>25</sup>
	0.257	81.40	09/10/20	98.39	...	-16.99	3 of 11 - Sale <sup>25</sup>
	0.203	64.30	09/10/20	77.88	...	-13.58	4 of 11 - Sale <sup>25</sup>
	0.006	1.87	09/10/20	2.27	...	-0.40	5 of 11 - Sale <sup>25</sup>
	0.001	0.25	09/10/20	0.34	...	-0.09	6 of 11 - Sale <sup>25</sup>
	0.500	158.47	09/14/20	187.24	...	-28.77	7 of 11 - Sale <sup>25</sup>
	0.500	158.48	09/14/20	186.29	...	-27.81	8 of 11 - Sale <sup>25</sup>

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LULULEMON ATHLETICA INC. COMMO N STOCK / CUSIP: 550021109 / Symbol: (cont'd)							
	0.500	158.48	09/14/20	186.20	...	-27.72	9 of 11 - Sale <sup>25</sup>
	0.338	107.12	09/14/20	127.06	...	-19.94	10 of 11 - Sale <sup>25</sup>
	0.162	51.36	09/14/20	60.53	...	-9.17	11 of 11 - Sale <sup>25</sup>
09/15/20	4.000	1,267.80	Various	1,493.23	...	-225.43	Total of 11 transactions
	<b>Security total:</b>	<b>19,472.22</b>		<b>21,190.49</b>	<b>1,163.29 W</b>	<b>-554.98</b>	
LUMINAR TECHNOLOGIES, INC. CLA SS A COMMON STOCK / CUSIP: 550424105 / Symbol:							
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	1.655	61.14	12/04/20	52.13	...	9.01	1 of 2 - Sale <sup>25</sup>
	5.000	184.73	12/04/20	168.38	...	16.35	2 of 2 - Sale <sup>25</sup>
12/07/20	6.655	245.87	Various	220.51	...	25.36	Total of 2 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
	11 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	6.000	10.34	03/26/20	12.00	...	-1.66	1 of 11 - Sale <sup>25</sup>
	12.000	20.68	03/26/20	21.84	...	-1.16	2 of 11 - Sale <sup>25</sup>
	5.000	8.77	03/30/20	10.45	...	-1.68	3 of 11 - Sale <sup>25</sup>
	5.000	8.61	03/30/20	7.74	...	0.87	4 of 11 - Sale <sup>25</sup>
	10.000	17.23	03/30/20	15.50	...	1.73	5 of 11 - Sale <sup>25</sup>
	20.000	34.47	03/30/20	31.00	...	3.47	6 of 11 - Sale <sup>25</sup>
	20.000	34.46	03/30/20	30.93	...	3.53	7 of 11 - Sale <sup>25</sup>
	20.000	34.46	03/30/20	30.93	...	3.53	8 of 11 - Sale <sup>25</sup>
	22.000	37.91	03/30/20	34.10	...	3.81	9 of 11 - Sale <sup>25</sup>
	10.000	17.53	04/06/20	17.14	...	0.39	10 of 11 - Sale <sup>25</sup>
	10.000	17.54	04/06/20	17.13	...	0.41	11 of 11 - Sale <sup>25</sup>
04/08/20	140.000	242.00	Various	228.76	...	13.24	Total of 11 transactions
04/08/20	40.000	68.93	03/26/20	90.67	13.59 W	-8.15	Sale <sup>25</sup>
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	5.000	14.02	06/11/20	12.30	...	1.72	1 of 2 - Sale <sup>25</sup>
	10.000	28.03	06/12/20	26.30	...	1.73	2 of 2 - Sale <sup>25</sup>
06/15/20	15.000	42.05	Various	38.60	...	3.45	Total of 2 transactions
06/15/20	5.000	14.02	06/09/20	16.13	2.11 W	0.00	Sale <sup>25</sup>

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
14 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.937	4.92	06/16/20	5.79	...	-0.87	1 of 14 - Sale <sup>25</sup>
	0.425	1.08	06/16/20	1.64	...	-0.56	2 of 14 - Sale <sup>25</sup>
	4.575	11.62	06/18/20	16.00	...	-4.38	3 of 14 - Sale <sup>25</sup>
	9.425	23.94	06/18/20	24.66	...	-0.72	4 of 14 - Sale <sup>25</sup>
	10.000	25.40	06/18/20	26.10	...	-0.70	5 of 14 - Sale <sup>25</sup>
	0.575	1.46	06/18/20	1.76	...	-0.30	6 of 14 - Sale <sup>25</sup>
	0.425	1.08	06/18/20	1.31	...	-0.23	7 of 14 - Sale <sup>25</sup>
	10.000	25.40	06/19/20	25.47	...	-0.07	8 of 14 - Sale <sup>25</sup>
	6.639	16.86	06/19/20	16.91	...	-0.05	9 of 14 - Sale <sup>25</sup>
	20.000	50.80	06/23/20	50.33	...	0.47	10 of 14 - Sale <sup>25</sup>
	30.000	76.19	06/24/20	71.30	...	4.89	11 of 14 - Sale <sup>25</sup>
	30.000	76.19	07/01/20	74.70	...	1.49	12 of 14 - Sale <sup>25</sup>
	10.000	25.40	07/08/20	24.00	...	1.40	13 of 14 - Sale <sup>25</sup>
	10.000	25.40	07/13/20	24.46	...	0.94	14 of 14 - Sale <sup>25</sup>
07/15/20	144.000	365.74	Various	364.43	...	1.31	Total of 14 transactions
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	5.000	12.70	06/16/20	17.06	4.36 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	2.54	06/16/20	2.99	0.45 W	0.00	2 of 2 - Sale <sup>25</sup>
07/15/20	6.000	15.24	Various	20.05	4.81 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>747.98</b>		<b>758.64</b>	<b>20.51 W</b>	<b>9.85</b>	
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol:							
05/21/20	4.000	738.42	05/20/20	732.93	...	5.49	Sale <sup>25</sup>
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	9.000	1,684.07	05/26/20	1,698.53	6.43 W	-8.03	1 of 2 - Sale <sup>25</sup>
	5.000	935.59	05/26/20	940.03	4.44 W	0.00	2 of 2 - Sale <sup>25</sup>
06/01/20	14.000	2,619.66	Various	2,638.56	10.87 W	-8.03	Total of 2 transactions
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	5.000	946.17	05/26/20	948.07	...	-1.90	1 of 3 - Sale <sup>25</sup>
	1.000	189.23	05/26/20	188.72	...	0.51	2 of 3 - Sale <sup>25</sup>
	4.000	756.94	05/26/20	750.46	...	6.48	3 of 3 - Sale <sup>25</sup>
06/03/20	10.000	1,892.34	Various	1,887.25	...	5.09	Total of 3 transactions

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MCDONALD'S CORPORATION / CUSIP: 580135101 / Symbol: (cont'd)							
<b>Security total:</b>		<b>5,250.42</b>		<b>5,258.74</b>		<b>10.87 W</b>	<b>2.55</b>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
17 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	1.000	161.96	09/27/19	140.34	...	21.62	1 of 17 - Sale <sup>25</sup>
	1.000	165.31	02/27/20	163.37	...	1.94	2 of 17 - Sale <sup>25</sup>
	1.000	165.31	03/09/20	175.87	...	-10.56	3 of 17 - Sale <sup>25</sup>
	1.000	165.31	03/09/20	175.14	...	-9.83	4 of 17 - Sale <sup>25</sup>
	1.000	165.31	03/12/20	170.14	...	-4.83	5 of 17 - Sale <sup>25</sup>
	1.000	165.30	03/16/20	160.80	...	4.50	6 of 17 - Sale <sup>25</sup>
	1.000	165.31	03/16/20	157.28	...	8.03	7 of 17 - Sale <sup>25</sup>
	1.000	165.31	03/16/20	136.48	...	28.83	8 of 17 - Sale <sup>25</sup>
	1.000	165.31	03/18/20	140.00	...	25.31	9 of 17 - Sale <sup>25</sup>
	1.000	165.30	03/18/20	138.20	...	27.10	10 of 17 - Sale <sup>25</sup>
	1.000	165.30	03/19/20	145.00	...	20.30	11 of 17 - Sale <sup>25</sup>
	1.000	165.30	03/23/20	135.86	...	29.44	12 of 17 - Sale <sup>25</sup>
	1.000	165.31	03/23/20	135.00	...	30.31	13 of 17 - Sale <sup>25</sup>
	1.000	165.31	03/26/20	153.18	...	12.13	14 of 17 - Sale <sup>25</sup>
	1.000	165.30	03/26/20	152.98	...	12.32	15 of 17 - Sale <sup>25</sup>
	1.000	165.31	03/26/20	152.94	...	12.37	16 of 17 - Sale <sup>25</sup>
	1.000	165.30	04/02/20	153.06	...	12.24	17 of 17 - Sale <sup>25</sup>
04/06/20	17.000	2,806.86	Various	2,585.64	...	221.22	Total of 17 transactions
4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	3.000	485.86	02/10/20	559.86	74.00 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	323.91	02/20/20	365.51	41.60 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	330.61	02/21/20	366.74	36.13 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	330.61	02/26/20	340.00	9.39 W	0.00	4 of 4 - Sale <sup>25</sup>
04/06/20	9.000	1,470.99	Various	1,632.11	161.12 W	0.00	Total of 4 transactions
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	2.000	343.99	04/08/20	366.65	...	-22.66	1 of 2 - Sale <sup>25</sup>
	2.000	343.99	04/08/20	337.93	...	6.06	2 of 2 - Sale <sup>25</sup>
04/14/20	4.000	687.98	Various	704.58	...	-16.60	Total of 2 transactions
07/09/20	1.000	213.86	06/24/20	198.83	...	15.03	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	214.60	07/01/20	204.55	...	10.05	1 of 2 - Sale <sup>25</sup>
	1.000	214.60	07/06/20	208.98	...	5.62	2 of 2 - Sale <sup>25</sup>
07/13/20	2.000	429.20	Various	413.53	...	15.67	Total of 2 transactions
07/31/20	2.000	407.60	07/13/20	429.74	22.14 W	0.00	Sale <sup>25</sup>
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	213.27	07/23/20	205.16	...	8.11	1 of 2 - Sale <sup>25</sup>
	1.000	213.26	07/27/20	202.15	...	11.11	2 of 2 - Sale <sup>25</sup>
08/03/20	2.000	426.53	Various	407.31	...	19.22	Total of 2 transactions
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	213.27	07/14/20	217.00	3.73 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	213.26	07/17/20	213.98	0.72 W	0.00	2 of 2 - Sale <sup>25</sup>
08/03/20	2.000	426.53	Various	430.98	4.45 W	0.00	Total of 2 transactions
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	210.51	08/06/20	219.88	9.37 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	210.51	08/06/20	216.87	6.36 W	0.00	2 of 2 - Sale <sup>25</sup>
08/13/20	2.000	421.02	Various	436.75	15.73 W	0.00	Total of 2 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	0.632	134.91	08/21/20	141.84	6.93 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.368	78.54	08/21/20	82.59	4.05 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	1.000	213.45	Various	224.43	10.98 W	0.00	Total of 2 transactions
08/25/20	0.368	80.11	08/24/20	80.81	...	-0.70	Sale <sup>25</sup>
08/25/20	0.632	137.52	08/21/20	139.94	1.91 W	-0.51	Sale <sup>25</sup>
08/28/20	0.500	114.81	08/27/20	120.18	...	-5.37	Sale <sup>25</sup>
3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	0.368	78.32	09/08/20	79.48	...	-1.16	1 of 3 - Sale <sup>25</sup>
	0.132	28.11	09/08/20	28.52	...	-0.41	2 of 3 - Sale <sup>25</sup>
	0.500	106.42	09/08/20	104.40	...	2.02	3 of 3 - Sale <sup>25</sup>
09/10/20	1.000	212.85	Various	212.40	...	0.45	Total of 3 transactions
3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	0.000	0.04	10/16/20	0.04	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.104	23.06	10/16/20	22.89	...	0.17	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	221.86	10/16/20	220.22	...	1.64	3 of 3 - Sale <sup>25</sup>
10/19/20	1.104	244.96	Various	243.15	...	1.81	Total of 3 transactions
10/19/20	0.496	110.08	10/13/20	110.90	0.82 W	0.00	Sale <sup>25</sup>
3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	3.994	817.29	10/27/20	850.73	...	-33.44	1 of 3 - Sale <sup>25</sup>
	0.490	100.32	10/27/20	105.22	...	-4.90	2 of 3 - Sale <sup>25</sup>
	0.006	1.22	10/27/20	1.28	...	-0.06	3 of 3 - Sale <sup>25</sup>
10/28/20	4.490	918.83	Various	957.23	...	-38.40	Total of 3 transactions
	<b>Security total:</b>	<b>9,323.18</b>		<b>9,328.51</b>	<b>217.15 W</b>	<b>211.82</b>	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	6.000	289.74	09/29/20	310.20	2.69 W	-17.77	1 of 2 - Sale <sup>25</sup>
	0.212	10.26	09/29/20	10.98	0.72 W	0.00	2 of 2 - Sale <sup>25</sup>
09/30/20	6.212	300.00	Various	321.18	3.41 W	-17.77	Total of 2 transactions
4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	3.000	141.35	09/29/20	155.10	...	-13.75	1 of 4 - Sale <sup>25</sup>
	0.459	21.62	09/29/20	23.72	...	-2.10	2 of 4 - Sale <sup>25</sup>
	0.788	37.10	09/30/20	40.26	...	-3.16	3 of 4 - Sale <sup>25</sup>
	0.212	10.01	09/30/20	10.85	...	-0.84	4 of 4 - Sale <sup>25</sup>
10/05/20	4.459	210.08	Various	229.93	...	-19.85	Total of 4 transactions
	<b>Security total:</b>	<b>510.08</b>		<b>551.11</b>	<b>3.41 W</b>	<b>-37.62</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	2.000	124.30	04/20/20	108.62	...	15.68	1 of 3 - Sale <sup>25</sup>
	2.000	124.30	04/20/20	105.71	...	18.59	2 of 3 - Sale <sup>25</sup>
	1.000	62.15	04/27/20	48.59	...	13.56	3 of 3 - Sale <sup>25</sup>
05/13/20	5.000	310.75	Various	262.92	...	47.83	Total of 3 transactions
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	4.000	287.39	05/19/20	299.78	...	-12.39	1 of 2 - Sale <sup>25</sup>
	4.000	287.39	05/19/20	269.72	...	17.67	2 of 2 - Sale <sup>25</sup>
05/20/20	8.000	574.78	Various	569.50	...	5.28	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
<i>7 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	92.72	07/14/20	87.40	...	5.32	1 of 7 - Sale <sup>25</sup>
	1.000	92.72	07/14/20	86.60	...	6.12	2 of 7 - Sale <sup>25</sup>
	3.000	278.15	07/14/20	265.95	...	12.20	3 of 7 - Sale <sup>25</sup>
	4.000	370.87	07/14/20	355.00	...	15.87	4 of 7 - Sale <sup>25</sup>
	2.000	185.44	07/14/20	150.55	...	34.89	5 of 7 - Sale <sup>25</sup>
	2.000	185.44	07/14/20	148.87	...	36.57	6 of 7 - Sale <sup>25</sup>
	1.000	92.71	07/15/20	79.64	...	13.07	7 of 7 - Sale <sup>25</sup>
07/17/20	14.000	1,298.05	Various	1,174.01	...	124.04	Total of 7 transactions
<i>2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	164.12	07/17/20	185.24	21.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	328.24	07/17/20	379.60	51.36 W	0.00	2 of 2 - Sale <sup>25</sup>
07/20/20	6.000	492.36	Various	564.84	72.48 W	0.00	Total of 2 transactions
<i>2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	77.22	07/17/20	105.46	28.24 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	77.22	07/17/20	105.36	28.14 W	0.00	2 of 2 - Sale <sup>25</sup>
07/23/20	2.000	154.44	Various	210.82	56.38 W	0.00	Total of 2 transactions
<i>2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	67.53	08/06/20	102.01	...	-34.48	1 of 2 - Sale <sup>25</sup>
	1.000	67.54	08/06/20	101.91	...	-34.37	2 of 2 - Sale <sup>25</sup>
08/13/20	2.000	135.07	Various	203.92	...	-68.85	Total of 2 transactions
<i>2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	67.53	08/04/20	92.64	25.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	202.60	08/04/20	278.37	75.77 W	0.00	2 of 2 - Sale <sup>25</sup>
08/13/20	4.000	270.13	Various	371.01	100.88 W	0.00	Total of 2 transactions
<i>2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	206.85	08/07/20	298.46	...	-91.61	1 of 2 - Sale <sup>25</sup>
	1.000	68.95	08/07/20	99.34	...	-30.39	2 of 2 - Sale <sup>25</sup>
08/14/20	4.000	275.80	Various	397.80	...	-122.00	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.936	174.51	11/16/20	187.64	...	-13.13	1 of 2 - Sale <sup>25</sup>
	0.064	5.75	11/16/20	6.60	...	-0.85	2 of 2 - Sale <sup>25</sup>
11/18/20	2.000	180.26	Various	194.24	...	-13.98	Total of 2 transactions
11/18/20	0.064	5.76	11/16/20	6.18	0.42 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,697.40</b>		<b>3,955.24</b>	<b>230.16 W</b>	<b>-27.68</b>	
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	0.890	11.86	07/06/20	10.00	...	1.86	1 of 2 - Sale <sup>25</sup>
	10.000	133.20	07/06/20	114.40	...	18.80	2 of 2 - Sale <sup>25</sup>
07/07/20	10.890	145.06	Various	124.40	...	20.66	Total of 2 transactions
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.165	8.04	11/09/20	7.26	...	0.78	1 of 2 - Sale <sup>25</sup>
	1.835	89.62	11/09/20	80.99	...	8.63	2 of 2 - Sale <sup>25</sup>
11/12/20	2.000	97.66	Various	88.25	...	9.41	Total of 2 transactions
3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	0.346	16.68	11/09/20	15.27	...	1.41	1 of 3 - Sale <sup>25</sup>
	1.160	55.87	11/09/20	51.19	...	4.68	2 of 3 - Sale <sup>25</sup>
	2.000	96.34	11/12/20	97.75	...	-1.41	3 of 3 - Sale <sup>25</sup>
11/13/20	3.506	168.89	Various	164.21	...	4.68	Total of 3 transactions
	<b>Security total:</b>	<b>411.61</b>		<b>376.86</b>	...	<b>34.75</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
05/18/20	2.000	903.76	05/13/20	869.23	...	34.53	Sale <sup>25</sup>
05/26/20	1.000	415.97	05/20/20	447.92	31.95 W	0.00	Sale <sup>25</sup>
9 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	429.99	05/20/20	447.92	...	-17.93	1 of 9 - Sale <sup>25</sup>
	1.000	429.99	05/21/20	465.57	...	-35.58	2 of 9 - Sale <sup>25</sup>
	2.000	859.98	05/21/20	872.48	...	-12.50	3 of 9 - Sale <sup>25</sup>
	1.000	429.99	05/21/20	434.83	...	-4.84	4 of 9 - Sale <sup>25</sup>
	1.000	429.99	05/21/20	433.61	...	-3.62	5 of 9 - Sale <sup>25</sup>
	1.000	429.99	06/03/20	419.80	...	10.19	6 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
	1.000	429.99	06/04/20	418.27	...	11.72	7 of 9 - Sale <sup>25</sup>
	1.000	429.99	06/05/20	412.11	...	17.88	8 of 9 - Sale <sup>25</sup>
	1.000	429.99	06/08/20	414.86	...	15.13	9 of 9 - Sale <sup>25</sup>
06/09/20	10.000	4,299.90	Various	4,319.45	...	-19.55	Total of 9 transactions
	2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	0.500	268.44	08/27/20	268.16	...	0.28	1 of 2 - Sale <sup>25</sup>
	0.500	268.43	08/27/20	264.72	...	3.71	2 of 2 - Sale <sup>25</sup>
08/28/20	1.000	536.87	Various	532.88	...	3.99	Total of 2 transactions
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	0.000	0.01	09/08/20	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.01	09/10/20	0.01	...	0.00	2 of 2 - Sale <sup>25</sup>
09/11/20	0.000	0.02	Various	0.02	...	0.00	Total of 2 transactions
	6 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	0.414	199.99	09/08/20	213.75	13.76 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.086	41.46	09/08/20	44.45	2.99 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.414	199.38	09/08/20	225.02	25.64 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.086	41.46	09/08/20	43.94	2.48 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.414	199.39	09/10/20	234.35	34.96 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.086	41.47	09/10/20	46.39	4.92 W	0.00	6 of 6 - Sale <sup>25</sup>
09/11/20	1.500	723.15	Various	807.90	84.75 W	0.00	Total of 6 transactions
09/15/20	0.000	0.01	09/14/20	0.01	...	0.00	Sale <sup>25</sup>
	4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	0.086	42.47	09/10/20	45.88	3.41 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.414	204.26	09/10/20	208.73	4.47 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.414	204.26	09/14/20	232.34	28.08 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.086	42.47	09/14/20	45.96	3.49 W	0.00	4 of 4 - Sale <sup>25</sup>
09/15/20	1.000	493.46	Various	532.91	39.45 W	0.00	Total of 4 transactions
	5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	0.086	42.41	09/14/20	44.44	...	-2.03	1 of 5 - Sale <sup>25</sup>
	0.414	203.97	09/14/20	201.80	...	2.17	2 of 5 - Sale <sup>25</sup>
	0.414	203.97	09/15/20	232.18	...	-28.21	3 of 5 - Sale <sup>25</sup>
	0.086	42.41	09/15/20	45.93	...	-3.52	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
	0.000	0.01	09/15/20	0.01	...	0.00	5 of 5 - Sale <sup>25</sup>
09/16/20	1.000	492.77	Various	524.36	...	-31.59	Total of 5 transactions
	2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	1.000	483.11	10/20/20	529.32	...	-46.21	1 of 2 - Sale <sup>25</sup>
	0.000	0.06	10/20/20	0.08	...	-0.02	2 of 2 - Sale <sup>25</sup>
10/22/20	1.000	483.17	Various	529.40	...	-46.23	Total of 2 transactions
10/22/20	0.148	71.74	10/20/20	78.59	0.01 W	-6.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,420.82</b>		<b>8,642.67</b>	<b>156.16 W</b>	<b>-65.69</b>	
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
	14 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	4.000	26.41	03/18/20	22.00	...	4.41	1 of 14 - Sale <sup>25</sup>
	2.000	13.20	03/19/20	12.60	...	0.60	2 of 14 - Sale <sup>25</sup>
	4.000	26.41	03/23/20	23.00	...	3.41	3 of 14 - Sale <sup>25</sup>
	2.000	13.20	03/24/20	9.42	...	3.78	4 of 14 - Sale <sup>25</sup>
	4.000	26.41	03/30/20	24.11	...	2.30	5 of 14 - Sale <sup>25</sup>
	2.000	13.20	04/06/20	6.96	...	6.24	6 of 14 - Sale <sup>25</sup>
	2.000	13.20	04/08/20	10.07	...	3.13	7 of 14 - Sale <sup>25</sup>
	6.000	39.61	04/08/20	30.24	...	9.37	8 of 14 - Sale <sup>25</sup>
	10.000	66.03	04/09/20	54.80	...	11.23	9 of 14 - Sale <sup>25</sup>
	20.000	132.05	04/15/20	97.60	...	34.45	10 of 14 - Sale <sup>25</sup>
	4.000	26.41	05/04/20	23.80	...	2.61	11 of 14 - Sale <sup>25</sup>
	4.000	26.41	05/13/20	23.36	...	3.05	12 of 14 - Sale <sup>25</sup>
	10.000	66.02	05/13/20	58.47	...	7.55	13 of 14 - Sale <sup>25</sup>
	11.000	72.63	05/13/20	64.32	...	8.31	14 of 14 - Sale <sup>25</sup>
05/20/20	85.000	561.19	Various	460.75	...	100.44	Total of 14 transactions
	5 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	2.000	13.20	09/27/19	31.14	17.94 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	13.20	09/27/19	31.25	18.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	13.20	10/28/19	31.25	18.05 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	13.20	11/14/19	30.47	17.27 W	0.00	4 of 5 - Sale <sup>25</sup>
	6.000	39.61	03/26/20	43.68	4.07 W	0.00	5 of 5 - Sale <sup>25</sup>
05/20/20	14.000	92.41	Various	167.79	75.38 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 722598554

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
<i>7 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	7.29	05/13/20	14.82	...	-7.53	1 of 7 - Sale <sup>25</sup>
	2.000	14.58	05/21/20	31.64	...	-17.06	2 of 7 - Sale <sup>25</sup>
	2.000	14.59	05/21/20	31.64	...	-17.05	3 of 7 - Sale <sup>25</sup>
	2.000	14.59	05/21/20	30.86	...	-16.27	4 of 7 - Sale <sup>25</sup>
	1.000	7.29	05/21/20	15.77	...	-8.48	5 of 7 - Sale <sup>25</sup>
	6.000	43.75	05/21/20	44.84	...	-1.09	6 of 7 - Sale <sup>25</sup>
	7.000	51.05	05/21/20	47.56	...	3.49	7 of 7 - Sale <sup>25</sup>
05/26/20	21.000	153.14	Various	217.13	...	-63.99	Total of 7 transactions
	<b>Security total:</b>	<b>806.74</b>		<b>845.67</b>	<b>75.38 W</b>	<b>36.45</b>	
NIKE, INC. / CUSIP: 654106103 / Symbol:							
05/19/20	10.000	923.47	05/18/20	910.32	...	13.15	Sale <sup>25</sup>
08/13/20	0.933	98.82	08/11/20	98.37	...	0.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,022.29</b>		<b>1,008.69</b>	...	<b>13.60</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
<i>4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	170.79	06/08/20	128.86	...	41.93	1 of 4 - Sale <sup>25</sup>
	5.000	426.99	06/08/20	329.05	...	97.94	2 of 4 - Sale <sup>25</sup>
	5.000	426.99	06/08/20	321.70	...	105.29	3 of 4 - Sale <sup>25</sup>
	5.000	426.99	06/08/20	304.45	...	122.54	4 of 4 - Sale <sup>25</sup>
06/08/20	17.000	1,451.76	Various	1,084.06	...	367.70	Total of 4 transactions
<i>8 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	165.96	06/08/20	181.36	15.40 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.000	331.92	06/08/20	370.00	38.08 W	0.00	2 of 8 - Sale <sup>25</sup>
	4.000	331.91	06/08/20	360.00	28.09 W	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	142.20	06/09/20	173.40	31.20 W	0.00	4 of 8 - Sale <sup>25</sup>
	3.000	213.29	06/09/20	265.56	52.27 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	71.10	06/09/20	89.77	18.67 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	284.39	06/09/20	349.09	64.70 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.000	117.72	06/09/20	177.70	59.98 W	0.00	8 of 8 - Sale <sup>25</sup>
06/09/20	22.000	1,658.49	Various	1,966.88	308.39 W	0.00	Total of 8 transactions

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
<i>6 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	133.10	06/09/20	199.13	66.03 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	66.55	06/09/20	101.86	35.31 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	66.55	06/09/20	103.11	36.56 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	66.55	06/09/20	101.04	34.49 W	0.00	4 of 6 - Sale <sup>25</sup>
	3.000	199.65	06/09/20	303.11	103.46 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	133.10	06/09/20	230.89	97.79 W	0.00	6 of 6 - Sale <sup>25</sup>
06/10/20	10.000	665.50	Various	1,039.14	373.64 W	0.00	Total of 6 transactions
<i>3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	67.19	06/11/20	88.02	20.83 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	67.19	06/11/20	88.01	20.82 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	67.19	06/17/20	100.31	33.12 W	0.00	3 of 3 - Sale <sup>25</sup>
06/18/20	3.000	201.57	Various	276.34	74.77 W	0.00	Total of 3 transactions
<i>5 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	38.78	06/24/20	107.64	68.86 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	38.77	06/24/20	105.56	66.79 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	77.55	07/02/20	198.39	120.84 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	38.77	07/07/20	77.56	38.79 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.000	77.53	07/08/20	203.85	126.32 W	0.00	5 of 5 - Sale <sup>25</sup>
07/20/20	7.000	271.40	Various	693.00	421.60 W	0.00	Total of 5 transactions
<i>9 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	35.28	07/08/20	73.80	38.52 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	35.28	07/13/20	76.41	41.13 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	35.28	07/13/20	88.70	53.42 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	35.28	07/17/20	109.21	73.93 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	35.28	07/17/20	107.14	71.86 W	0.00	5 of 9 - Sale <sup>25</sup>
	2.000	70.56	07/21/20	200.31	129.75 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	35.28	07/21/20	78.53	43.25 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	35.28	07/21/20	102.89	67.61 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	35.28	07/21/20	102.85	67.57 W	0.00	9 of 9 - Sale <sup>25</sup>
07/23/20	10.000	352.80	Various	939.84	587.04 W	0.00	Total of 9 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	33.23	07/23/20	73.80	40.57 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	33.23	07/24/20	71.31	38.08 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	33.23	07/24/20	83.59	50.36 W	0.00	3 of 3 - Sale <sup>25</sup>
07/27/20	3.000	99.69	Various	228.70	129.01 W	0.00	Total of 3 transactions
4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	36.26	08/03/20	107.30	71.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	36.26	08/03/20	105.23	68.97 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	72.52	08/03/20	196.49	123.97 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	36.26	08/03/20	76.62	40.36 W	0.00	4 of 4 - Sale <sup>25</sup>
08/04/20	5.000	181.30	Various	485.64	304.34 W	0.00	Total of 4 transactions
9 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	37.02	08/04/20	103.94	...	-66.92	1 of 9 - Sale <sup>25</sup>
	1.000	37.02	08/04/20	77.84	...	-40.82	2 of 9 - Sale <sup>25</sup>
	1.000	37.02	08/04/20	75.37	...	-38.35	3 of 9 - Sale <sup>25</sup>
	2.000	74.04	08/05/20	197.47	...	-123.43	4 of 9 - Sale <sup>25</sup>
	1.000	37.02	08/05/20	107.80	...	-70.78	5 of 9 - Sale <sup>25</sup>
	1.000	37.02	08/05/20	105.72	...	-68.70	6 of 9 - Sale <sup>25</sup>
	1.000	37.02	08/05/20	103.81	...	-66.79	7 of 9 - Sale <sup>25</sup>
	1.000	37.02	08/05/20	86.57	...	-49.55	8 of 9 - Sale <sup>25</sup>
	1.000	37.02	08/05/20	77.11	...	-40.09	9 of 9 - Sale <sup>25</sup>
08/06/20	10.000	370.20	Various	935.63	...	-565.43	Total of 9 transactions
9 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	37.06	08/04/20	78.95	41.89 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	37.05	08/04/20	76.47	39.42 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	37.06	08/04/20	106.00	68.94 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	37.05	08/04/20	105.96	68.91 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	37.06	08/04/20	88.74	51.68 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	37.05	08/04/20	109.49	72.44 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	37.05	08/04/20	107.41	70.36 W	0.00	7 of 9 - Sale <sup>25</sup>
	2.000	74.11	08/04/20	200.86	126.75 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	37.06	08/04/20	78.81	41.75 W	0.00	9 of 9 - Sale <sup>25</sup>
08/06/20	10.000	370.55	Various	952.69	582.14 W	0.00	Total of 9 transactions

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
14 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	0.061	1.93	09/08/20	3.00	1.07 W	0.00	1 of 14 - Sale <sup>25</sup>
	4.000	126.80	09/08/20	196.98	70.18 W	0.00	2 of 14 - Sale <sup>25</sup>
	0.000	0.01	09/08/20	0.02	0.01 W	0.00	3 of 14 - Sale <sup>25</sup>
	2.000	63.40	09/08/20	100.95	37.55 W	0.00	4 of 14 - Sale <sup>25</sup>
	0.246	7.80	09/10/20	9.43	1.63 W	0.00	5 of 14 - Sale <sup>25</sup>
	0.002	0.06	09/10/20	0.11	0.05 W	0.00	6 of 14 - Sale <sup>25</sup>
	0.059	1.87	09/10/20	3.30	1.43 W	0.00	7 of 14 - Sale <sup>25</sup>
	0.101	3.19	09/10/20	5.65	2.46 W	0.00	8 of 14 - Sale <sup>25</sup>
	3.000	94.94	09/10/20	167.63	72.69 W	0.00	9 of 14 - Sale <sup>25</sup>
	0.593	18.47	09/10/20	33.12	14.65 W	0.00	10 of 14 - Sale <sup>25</sup>
	0.002	0.06	09/10/20	0.12	0.06 W	0.00	11 of 14 - Sale <sup>25</sup>
	0.059	1.84	09/10/20	3.71	1.87 W	0.00	12 of 14 - Sale <sup>25</sup>
	0.101	3.15	09/10/20	6.37	3.22 W	0.00	13 of 14 - Sale <sup>25</sup>
	2.245	69.97	09/10/20	141.24	71.27 W	0.00	14 of 14 - Sale <sup>25</sup>
09/11/20	12.469	393.49	Various	671.63	278.14 W	0.00	Total of 14 transactions
6 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	0.040	1.41	09/10/20	2.50	1.09 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.000	0.01	09/10/20	0.03	0.02 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.307	10.91	09/10/20	17.24	6.33 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.653	23.23	09/10/20	37.52	14.29 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.347	47.84	09/10/20	77.37	29.53 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.246	8.73	09/10/20	11.14	2.41 W	0.00	6 of 6 - Sale <sup>25</sup>
09/14/20	2.593	92.13	Various	145.80	53.67 W	0.00	Total of 6 transactions
15 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	3.693	123.45	09/14/20	131.17	3.54 W	-4.18	1 of 15 - Sale <sup>25</sup>
	0.002	0.06	09/14/20	0.12	0.06 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.059	1.97	09/14/20	3.88	1.91 W	0.00	3 of 15 - Sale <sup>25</sup>
	0.101	3.38	09/14/20	6.67	3.29 W	0.00	4 of 15 - Sale <sup>25</sup>
	0.531	17.75	09/14/20	34.97	17.22 W	0.00	5 of 15 - Sale <sup>25</sup>
	0.593	19.81	09/14/20	34.87	15.06 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.714	23.89	09/14/20	41.69	17.80 W	0.00	7 of 15 - Sale <sup>25</sup>
	0.040	1.33	09/14/20	2.50	1.17 W	0.00	8 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	0.000	0.01	09/14/20	0.03	0.02 W	0.00	9 of 15 - Sale <sup>25</sup>
	0.307	10.26	09/14/20	17.23	6.97 W	0.00	10 of 15 - Sale <sup>25</sup>
	0.653	21.84	09/14/20	37.51	15.67 W	0.00	11 of 15 - Sale <sup>25</sup>
	1.286	42.98	09/14/20	73.88	30.90 W	0.00	12 of 15 - Sale <sup>25</sup>
	1.714	57.32	09/14/20	115.35	58.03 W	0.00	13 of 15 - Sale <sup>25</sup>
	0.061	2.05	09/14/20	3.52	1.47 W	0.00	14 of 15 - Sale <sup>25</sup>
	0.246	8.22	09/14/20	11.14	2.92 W	0.00	15 of 15 - Sale <sup>25</sup>
09/16/20	10.000	334.32	Various	514.53	176.03 W	-4.18	Total of 15 transactions
	16 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	0.714	19.58	09/14/20	43.28	...	-23.70	1 of 16 - Sale <sup>25</sup>
	0.531	14.55	09/14/20	36.15	...	-21.60	2 of 16 - Sale <sup>25</sup>
	0.593	16.24	09/14/20	36.20	...	-19.96	3 of 16 - Sale <sup>25</sup>
	0.101	2.77	09/14/20	6.89	...	-4.12	4 of 16 - Sale <sup>25</sup>
	0.059	1.62	09/14/20	4.01	...	-2.39	5 of 16 - Sale <sup>25</sup>
	0.002	0.05	09/14/20	0.13	...	-0.08	6 of 16 - Sale <sup>25</sup>
	1.000	27.40	09/15/20	58.08	...	-30.68	7 of 16 - Sale <sup>25</sup>
	0.653	17.90	09/15/20	37.90	...	-20.00	8 of 16 - Sale <sup>25</sup>
	0.307	8.41	09/15/20	17.41	...	-9.00	9 of 16 - Sale <sup>25</sup>
	0.040	1.09	09/15/20	2.52	...	-1.43	10 of 16 - Sale <sup>25</sup>
	0.000	0.01	09/15/20	0.03	...	-0.02	11 of 16 - Sale <sup>25</sup>
	1.714	46.98	09/16/20	115.64	...	-68.66	12 of 16 - Sale <sup>25</sup>
	1.693	46.37	09/16/20	60.42	...	-14.05	13 of 16 - Sale <sup>25</sup>
	0.286	7.82	09/16/20	16.45	...	-8.63	14 of 16 - Sale <sup>25</sup>
	0.246	6.74	09/16/20	11.18	...	-4.44	15 of 16 - Sale <sup>25</sup>
	0.061	1.68	09/16/20	3.53	...	-1.85	16 of 16 - Sale <sup>25</sup>
09/21/20	8.000	219.21	Various	449.82	...	-230.61	Total of 16 transactions
	4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	0.000	0.01	11/19/20	0.01	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.000	0.01	11/19/20	0.01	...	0.00	2 of 4 - Sale <sup>25</sup>
	0.060	1.69	11/19/20	1.67	...	0.02	3 of 4 - Sale <sup>25</sup>
	18.000	502.81	11/19/20	498.31	...	4.50	4 of 4 - Sale <sup>25</sup>
11/23/20	18.061	504.52	Various	500.00	...	4.52	Total of 4 transactions
	<b>Security total:</b>	<b>7,166.93</b>		<b>10,883.70</b>	<b>3,288.77 W</b>	<b>-428.00</b>	

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
8 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	0.355	50.61	07/17/20	50.00	...	0.61	1 of 8 - Sale <sup>25</sup>
	0.645	92.12	07/17/20	90.07	...	2.05	2 of 8 - Sale <sup>25</sup>
	1.000	142.73	07/17/20	139.97	...	2.76	3 of 8 - Sale <sup>25</sup>
	1.000	142.73	07/17/20	139.57	...	3.16	4 of 8 - Sale <sup>25</sup>
	1.000	142.73	07/17/20	139.00	...	3.73	5 of 8 - Sale <sup>25</sup>
	1.000	142.73	07/20/20	137.49	...	5.24	6 of 8 - Sale <sup>25</sup>
	1.000	142.73	07/20/20	136.60	...	6.13	7 of 8 - Sale <sup>25</sup>
	1.000	142.74	07/21/20	141.76	...	0.98	8 of 8 - Sale <sup>25</sup>
07/22/20	7.000	999.12	Various	974.46	...	24.66	Total of 8 transactions
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	147.09	07/21/20	141.76	...	5.33	1 of 2 - Sale <sup>25</sup>
	8.000	1,176.72	07/22/20	1,160.72	...	16.00	2 of 2 - Sale <sup>25</sup>
07/23/20	9.000	1,323.81	Various	1,302.48	...	21.33	Total of 2 transactions
3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	2.000	286.34	07/23/20	283.47	...	2.87	1 of 3 - Sale <sup>25</sup>
	4.000	572.68	07/23/20	567.25	...	5.43	2 of 3 - Sale <sup>25</sup>
	2.000	286.35	07/24/20	264.66	...	21.69	3 of 3 - Sale <sup>25</sup>
07/28/20	8.000	1,145.37	Various	1,115.38	...	29.99	Total of 3 transactions
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	143.17	07/23/20	148.87	5.70 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	143.17	07/23/20	147.00	3.83 W	0.00	2 of 2 - Sale <sup>25</sup>
07/28/20	2.000	286.34	Various	295.87	9.53 W	0.00	Total of 2 transactions
3 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	147.70	07/28/20	147.00	...	0.70	1 of 3 - Sale <sup>25</sup>
	2.000	295.39	07/28/20	288.86	...	6.53	2 of 3 - Sale <sup>25</sup>
	4.000	590.78	07/28/20	583.12	...	7.66	3 of 3 - Sale <sup>25</sup>
07/29/20	7.000	1,033.87	Various	1,018.98	...	14.89	Total of 3 transactions
07/29/20	1.000	147.70	07/28/20	148.87	1.17 W	0.00	Sale <sup>25</sup>
6 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	0.415	63.37	07/29/20	62.07	...	1.30	1 of 6 - Sale <sup>25</sup>
	0.585	89.44	07/29/20	87.50	...	1.94	2 of 6 - Sale <sup>25</sup>

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	4.000	610.78	07/29/20	593.58	...	17.20	3 of 6 - Sale <sup>25</sup>
	0.585	89.33	07/30/20	85.85	...	3.48	4 of 6 - Sale <sup>25</sup>
	2.000	305.39	07/30/20	293.32	...	12.07	5 of 6 - Sale <sup>25</sup>
	1.000	152.70	07/31/20	145.36	...	7.34	6 of 6 - Sale <sup>25</sup>
08/03/20	8.585	1,311.01	Various	1,267.68	...	43.33	Total of 6 transactions
	2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1.000	162.07	08/04/20	118.90	...	43.17	1 of 2 - Sale <sup>25</sup>
	5.000	810.33	08/04/20	594.95	...	215.38	2 of 2 - Sale <sup>25</sup>
08/06/20	6.000	972.40	Various	713.85	...	258.55	Total of 2 transactions
	6 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	4.000	648.26	08/05/20	705.37	57.11 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	324.13	08/05/20	354.85	30.72 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.480	77.73	08/05/20	80.00	2.27 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.016	2.56	08/05/20	2.65	0.09 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.505	243.84	08/05/20	255.74	11.90 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	162.07	08/06/20	170.93	8.86 W	0.00	6 of 6 - Sale <sup>25</sup>
08/06/20	9.000	1,458.59	Various	1,569.54	110.95 W	0.00	Total of 6 transactions
	6 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	0.016	2.75	08/06/20	2.66	...	0.09	1 of 6 - Sale <sup>25</sup>
	1.000	174.08	08/06/20	171.63	...	2.45	2 of 6 - Sale <sup>25</sup>
	0.480	83.49	08/06/20	80.34	...	3.15	3 of 6 - Sale <sup>25</sup>
	1.505	261.91	08/06/20	256.81	...	5.10	4 of 6 - Sale <sup>25</sup>
	1.000	174.08	08/06/20	162.61	...	11.47	5 of 6 - Sale <sup>25</sup>
	5.000	870.37	08/06/20	845.35	...	25.02	6 of 6 - Sale <sup>25</sup>
08/07/20	9.000	1,566.68	Various	1,519.40	...	47.28	Total of 6 transactions
	9 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	4.000	696.30	08/06/20	740.81	44.51 W	0.00	1 of 9 - Sale <sup>25</sup>
	2.000	348.15	08/06/20	356.27	8.12 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	169.53	08/07/20	185.44	15.91 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	169.53	08/07/20	179.00	9.47 W	0.00	4 of 9 - Sale <sup>25</sup>
	3.000	508.58	08/07/20	558.19	49.61 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	169.53	08/07/20	180.91	11.38 W	0.00	6 of 9 - Sale <sup>25</sup>
	3.000	508.57	08/07/20	530.53	21.96 W	0.00	7 of 9 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	3.000	508.58	08/07/20	533.91	25.33 W	0.00	8 of 9 - Sale <sup>25</sup>
	8.000	1,356.20	08/07/20	1,420.67	64.47 W	0.00	9 of 9 - Sale <sup>25</sup>
08/07/20	26.000	4,434.97	Various	4,685.73	250.76 W	0.00	Total of 9 transactions
	2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	1.000	149.42	08/07/20	176.59	...	-27.17	1 of 2 - Sale <sup>25</sup>
	0.173	25.90	08/10/20	32.43	...	-6.53	2 of 2 - Sale <sup>25</sup>
08/11/20	1.173	175.32	Various	209.02	...	-33.70	Total of 2 transactions
	8 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	2.000	298.85	08/07/20	351.03	30.61 W	-21.57	1 of 8 - Sale <sup>25</sup>
	0.173	25.89	08/07/20	33.18	7.29 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.827	123.52	08/07/20	158.28	34.76 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	149.42	08/07/20	192.09	42.67 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	298.84	08/07/20	385.98	87.14 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	149.42	08/07/20	185.29	35.87 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	149.42	08/07/20	179.68	30.26 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	149.42	08/07/20	175.61	26.19 W	0.00	8 of 8 - Sale <sup>25</sup>
08/11/20	9.000	1,344.78	Various	1,661.14	294.79 W	-21.57	Total of 8 transactions
	6 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	3.173	424.86	08/11/20	498.60	...	-73.74	1 of 6 - Sale <sup>25</sup>
	1.827	244.57	08/11/20	287.73	...	-43.16	2 of 6 - Sale <sup>25</sup>
	3.000	399.98	08/12/20	408.18	...	-8.20	3 of 6 - Sale <sup>25</sup>
	0.173	23.11	08/12/20	29.40	...	-6.29	4 of 6 - Sale <sup>25</sup>
	1.000	133.89	08/12/20	136.06	...	-2.17	5 of 6 - Sale <sup>25</sup>
	0.827	110.21	08/12/20	112.11	...	-1.90	6 of 6 - Sale <sup>25</sup>
08/13/20	10.000	1,336.62	Various	1,472.08	...	-135.46	Total of 6 transactions
	8 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	2.000	302.99	08/14/20	377.74	...	-74.75	1 of 8 - Sale <sup>25</sup>
	1.000	151.50	08/14/20	187.97	...	-36.47	2 of 8 - Sale <sup>25</sup>
	0.827	125.24	08/14/20	154.88	...	-29.64	3 of 8 - Sale <sup>25</sup>
	0.173	26.26	08/14/20	31.40	...	-5.14	4 of 8 - Sale <sup>25</sup>
	1.173	177.75	08/17/20	207.59	...	-29.84	5 of 8 - Sale <sup>25</sup>
	1.000	151.49	08/17/20	181.09	...	-29.60	6 of 8 - Sale <sup>25</sup>
	0.827	125.24	08/17/20	154.34	...	-29.10	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	151.50	08/17/20	177.02	...	-25.52	8 of 8 - Sale <sup>25</sup>
08/17/20	8.000	1,211.97	Various	1,472.03	...	-260.06	Total of 8 transactions
	6 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	0.921	86.15	11/09/20	90.23	...	-4.08	1 of 6 - Sale <sup>25</sup>
	0.045	4.18	11/16/20	4.15	...	0.03	2 of 6 - Sale <sup>25</sup>
	0.033	3.05	11/16/20	3.01	...	0.04	3 of 6 - Sale <sup>25</sup>
	0.149	13.95	11/16/20	13.17	...	0.78	4 of 6 - Sale <sup>25</sup>
	0.400	37.38	11/16/20	35.37	...	2.01	5 of 6 - Sale <sup>25</sup>
	0.452	42.31	11/16/20	40.00	...	2.31	6 of 6 - Sale <sup>25</sup>
11/17/20	2.000	187.02	Various	185.93	...	1.09	Total of 6 transactions
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	0.077	7.23	11/09/20	7.58	0.20 W	-0.15	1 of 2 - Sale <sup>25</sup>
	0.033	3.06	11/09/20	3.19	0.13 W	0.00	2 of 2 - Sale <sup>25</sup>
11/17/20	0.110	10.29	Various	10.77	0.33 W	-0.15	Total of 2 transactions
	<b>Security total:</b>	<b>18,945.86</b>		<b>19,623.21</b>	<b>667.53 W</b>	<b>-9.82</b>	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
	3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	0.000	0.14	08/19/20	0.14	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.034	16.61	08/19/20	16.46	...	0.15	2 of 3 - Sale <sup>25</sup>
	1.000	487.77	08/19/20	483.40	...	4.37	3 of 3 - Sale <sup>25</sup>
08/20/20	1.034	504.52	Various	500.00	...	4.52	Total of 3 transactions
	3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	0.986	501.47	08/21/20	500.00	...	1.47	1 of 3 - Sale <sup>25</sup>
	0.500	254.23	08/21/20	248.95	...	5.28	2 of 3 - Sale <sup>25</sup>
	0.514	261.23	08/24/20	259.56	...	1.67	3 of 3 - Sale <sup>25</sup>
08/26/20	2.000	1,016.93	Various	1,008.51	...	8.42	Total of 3 transactions
	2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	0.500	254.23	08/21/20	254.45	0.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.500	254.23	08/21/20	254.49	0.26 W	0.00	2 of 2 - Sale <sup>25</sup>
08/26/20	1.000	508.46	Various	508.94	0.48 W	0.00	Total of 2 transactions
08/27/20	0.500	254.08	08/24/20	253.27	...	0.81	Sale <sup>25</sup>
08/27/20	0.300	152.45	08/25/20	153.15	0.70 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
<i>9 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	0.200	104.98	08/25/20	102.10	...	2.88	1 of 9 - Sale <sup>25</sup>
	0.091	48.01	08/26/20	46.73	...	1.28	2 of 9 - Sale <sup>25</sup>
	0.098	51.35	08/26/20	50.00	...	1.35	3 of 9 - Sale <sup>25</sup>
	0.300	157.47	08/26/20	153.97	...	3.50	4 of 9 - Sale <sup>25</sup>
	0.500	262.45	08/28/20	262.38	...	0.07	5 of 9 - Sale <sup>25</sup>
	0.389	204.43	08/28/20	204.29	...	0.14	6 of 9 - Sale <sup>25</sup>
	1.000	524.89	08/28/20	524.20	...	0.69	7 of 9 - Sale <sup>25</sup>
	0.500	262.45	08/28/20	257.13	...	5.32	8 of 9 - Sale <sup>25</sup>
	0.421	221.10	08/28/20	215.76	...	5.34	9 of 9 - Sale <sup>25</sup>
08/31/20	3.500	1,837.13	Various	1,816.56	...	20.57	Total of 9 transactions
<i>4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.22	09/01/20	0.21	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.269	155.88	09/01/20	148.00	...	7.88	2 of 4 - Sale <sup>25</sup>
	0.364	211.17	09/01/20	200.54	...	10.63	3 of 4 - Sale <sup>25</sup>
	3.000	1,738.96	09/01/20	1,651.25	...	87.71	4 of 4 - Sale <sup>25</sup>
09/02/20	3.634	2,106.23	Various	2,000.00	...	106.23	Total of 4 transactions
09/03/20	2.000	1,048.97	09/02/20	1,158.67	109.70 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,020.94	09/02/20	1,158.67	137.73 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	1,020.93	09/02/20	1,245.22	224.29 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	1,020.93	09/04/20	1,052.92	15.10 W	-16.89	3 of 4 - Sale <sup>25</sup>
	2.000	1,020.94	09/04/20	1,052.41	31.47 W	0.00	4 of 4 - Sale <sup>25</sup>
09/04/20	8.000	4,083.74	Various	4,509.22	408.59 W	-16.89	Total of 4 transactions
<i>3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	495.41	09/04/20	579.12	...	-83.71	1 of 3 - Sale <sup>25</sup>
	0.500	247.70	09/04/20	286.02	...	-38.32	2 of 3 - Sale <sup>25</sup>
	0.500	247.70	09/04/20	283.95	...	-36.25	3 of 3 - Sale <sup>25</sup>
09/08/20	2.000	990.81	Various	1,149.09	...	-158.28	Total of 3 transactions
<i>11 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	502.76	09/15/20	638.67	...	-135.91	1 of 11 - Sale <sup>25</sup>
	0.500	251.38	09/16/20	311.88	...	-60.50	2 of 11 - Sale <sup>25</sup>
	0.484	243.59	09/21/20	292.93	...	-49.34	3 of 11 - Sale <sup>25</sup>

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
	0.399	200.53	09/21/20	206.38	...	-5.85	4 of 11 - Sale <sup>25</sup>
	1.000	502.74	09/21/20	508.23	...	-5.49	5 of 11 - Sale <sup>25</sup>
	0.545	274.22	09/21/20	277.43	...	-3.21	6 of 11 - Sale <sup>25</sup>
	0.515	259.08	09/21/20	261.88	...	-2.80	7 of 11 - Sale <sup>25</sup>
	0.454	228.45	09/21/20	231.01	...	-2.56	8 of 11 - Sale <sup>25</sup>
	0.016	7.80	09/21/20	9.37	...	-1.57	9 of 11 - Sale <sup>25</sup>
	0.015	7.66	09/21/20	7.74	...	-0.08	10 of 11 - Sale <sup>25</sup>
	0.015	7.59	09/21/20	7.67	...	-0.08	11 of 11 - Sale <sup>25</sup>
09/22/20	4.944	2,485.80	Various	2,753.19	...	-267.39	Total of 11 transactions
	<b>Security total:</b>	<b>14,989.12</b>		<b>15,810.60</b>	<b>519.47 W</b>	<b>-302.01</b>	
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol:							
	10 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	10.000	7.11	06/08/20	19.60	...	-12.49	1 of 10 - Sale <sup>25</sup>
	10.000	7.11	06/11/20	11.80	...	-4.69	2 of 10 - Sale <sup>25</sup>
	15.000	10.67	06/18/20	16.95	...	-6.28	3 of 10 - Sale <sup>25</sup>
	50.000	35.57	06/24/20	40.25	...	-4.68	4 of 10 - Sale <sup>25</sup>
	50.000	35.57	06/24/20	40.00	...	-4.43	5 of 10 - Sale <sup>25</sup>
	15.000	10.67	06/24/20	12.00	...	-1.33	6 of 10 - Sale <sup>25</sup>
	50.000	35.57	07/01/20	39.34	...	-3.77	7 of 10 - Sale <sup>25</sup>
	100.000	71.14	07/08/20	70.80	...	0.34	8 of 10 - Sale <sup>25</sup>
	100.000	71.14	07/08/20	70.80	...	0.34	9 of 10 - Sale <sup>25</sup>
	200.000	142.28	07/14/20	135.30	...	6.98	10 of 10 - Sale <sup>25</sup>
07/24/20	600.000	426.83	Various	456.84	...	-30.01	Total of 10 transactions
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
06/18/20	10.000	196.90	06/15/20	188.88	...	8.02	Sale <sup>25</sup>
	3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	2.000	36.40	06/24/20	36.00	...	0.40	1 of 3 - Sale <sup>25</sup>
	3.000	54.60	06/24/20	54.00	...	0.60	2 of 3 - Sale <sup>25</sup>
	1.000	18.20	06/26/20	17.65	...	0.55	3 of 3 - Sale <sup>25</sup>
06/30/20	6.000	109.20	Various	107.65	...	1.55	Total of 3 transactions
	<b>Security total:</b>	<b>306.10</b>		<b>296.53</b>	...	<b>9.57</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	2.11	12/23/20	1.85	...	0.26	1 of 3 - Sale <sup>25</sup>
	30.000	63.30	12/23/20	62.10	...	1.20	2 of 3 - Sale <sup>25</sup>
	9.000	18.99	12/23/20	16.65	...	2.34	3 of 3 - Sale <sup>25</sup>
12/24/20	40.000	84.40	Various	80.60	...	3.80	Total of 3 transactions
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.200	44.17	08/26/20	44.75	0.58 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.470	103.78	08/26/20	105.25	1.47 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.200	44.12	08/26/20	45.49	1.37 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.330	72.69	08/26/20	73.99	1.30 W	0.00	4 of 4 - Sale <sup>25</sup>
08/27/20	1.200	264.76	Various	269.48	4.72 W	0.00	Total of 4 transactions
08/31/20	0.500	106.44	08/28/20	104.66	...	1.78	Sale <sup>25</sup>
8 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.084	17.89	08/26/20	19.13	1.24 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.277	59.00	08/26/20	63.10	4.10 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.114	24.18	08/26/20	26.26	2.08 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.109	23.27	08/26/20	24.86	1.59 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.084	17.89	08/28/20	19.15	1.26 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.086	18.40	08/28/20	19.02	0.62 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.330	70.15	08/28/20	71.55	1.40 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.500	106.44	08/28/20	106.58	0.14 W	0.00	8 of 8 - Sale <sup>25</sup>
08/31/20	1.584	337.22	Various	349.65	12.43 W	0.00	Total of 8 transactions
8 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.500	104.00	09/02/20	114.94	...	-10.94	1 of 8 - Sale <sup>25</sup>
	0.500	104.01	09/02/20	114.81	...	-10.80	2 of 8 - Sale <sup>25</sup>
	0.277	57.65	09/02/20	67.74	...	-10.09	3 of 8 - Sale <sup>25</sup>
	0.330	68.55	09/02/20	77.07	...	-8.52	4 of 8 - Sale <sup>25</sup>
	0.114	23.62	09/02/20	28.16	...	-4.54	5 of 8 - Sale <sup>25</sup>
	0.109	22.73	09/02/20	26.69	...	-3.96	6 of 8 - Sale <sup>25</sup>
	0.084	17.48	09/02/20	20.56	...	-3.08	7 of 8 - Sale <sup>25</sup>

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(continued)

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OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol: (cont'd)							
	0.086	17.98	09/02/20	20.47	...	-2.49	8 of 8 - Sale <sup>25</sup>
09/04/20	2.000	416.02	Various	470.44	...	-54.42	Total of 8 transactions
	<b>Security total:</b>	<b>1,124.44</b>		<b>1,194.23</b>	<b>17.15 W</b>	<b>-52.64</b>	
ORACLE CORP / CUSIP: 68389X105 / Symbol:							
09/11/20	1.000	59.11	09/10/20	57.83	...	1.28	Sale <sup>25</sup>
PAGERDUTY, INC. / CUSIP: 69553P100 / Symbol:							
09/04/20	2.000	51.29	09/02/20	55.20	...	-3.91	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	4.000	36.14	09/30/20	44.92	...	-8.78	1 of 3 - Sale <sup>25</sup>
	2.000	18.07	09/30/20	22.46	...	-4.39	2 of 3 - Sale <sup>25</sup>
	2.000	18.07	09/30/20	22.42	...	-4.35	3 of 3 - Sale <sup>25</sup>
10/05/20	8.000	72.28	Various	89.80	...	-17.52	Total of 3 transactions
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
17 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	6.000	4.10	02/20/20	17.08	...	-12.98	1 of 17 - Sale <sup>25</sup>
	10.000	6.83	03/30/20	4.98	...	1.85	2 of 17 - Sale <sup>25</sup>
	10.000	6.83	03/30/20	4.98	...	1.85	3 of 17 - Sale <sup>25</sup>
	10.000	6.83	03/30/20	4.98	...	1.85	4 of 17 - Sale <sup>25</sup>
	10.000	6.83	03/30/20	4.98	...	1.85	5 of 17 - Sale <sup>25</sup>
	10.000	6.83	03/30/20	4.98	...	1.85	6 of 17 - Sale <sup>25</sup>
	10.000	6.83	03/30/20	4.98	...	1.85	7 of 17 - Sale <sup>25</sup>
	14.000	9.57	03/30/20	6.98	...	2.59	8 of 17 - Sale <sup>25</sup>
	20.000	13.67	03/30/20	9.97	...	3.70	9 of 17 - Sale <sup>25</sup>
	20.000	13.67	03/30/20	9.97	...	3.70	10 of 17 - Sale <sup>25</sup>
	20.000	13.67	03/30/20	9.97	...	3.70	11 of 17 - Sale <sup>25</sup>
	20.000	13.67	03/30/20	9.97	...	3.70	12 of 17 - Sale <sup>25</sup>
	20.000	13.67	03/30/20	9.97	...	3.70	13 of 17 - Sale <sup>25</sup>
	20.000	13.67	04/07/20	9.58	...	4.09	14 of 17 - Sale <sup>25</sup>
	20.000	13.67	04/09/20	10.49	...	3.18	15 of 17 - Sale <sup>25</sup>
	20.000	13.67	04/22/20	7.64	...	6.03	16 of 17 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	10.000	6.84	04/27/20	4.57	...	2.27	17 of 17 - Sale <sup>25</sup>
04/30/20	250.000	170.85	Various	136.07	...	34.78	Total of 17 transactions
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
09/11/20	2.000	170.26	09/10/20	184.00	...	-13.74	Sale <sup>25</sup>
PEMBINA PIPELINE CORPORATION / CUSIP: 706327103 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	20.35	04/14/20	20.17	...	0.18	1 of 2 - Sale <sup>25</sup>
	1.000	20.38	04/14/20	20.18	...	0.20	2 of 2 - Sale <sup>25</sup>
04/17/20	2.000	40.73	Various	40.35	...	0.38	Total of 2 transactions
PENNYMAC MORTGAGE INVESTMENT T RUST / CUSIP: 70931T103 / Symbol:							
5 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	10.000	80.20	03/26/20	142.79	...	-62.59	1 of 5 - Sale <sup>25</sup>
	10.000	80.20	03/26/20	127.40	...	-47.20	2 of 5 - Sale <sup>25</sup>
	6.000	48.12	03/30/20	60.12	...	-12.00	3 of 5 - Sale <sup>25</sup>
	4.000	32.08	03/30/20	40.16	...	-8.08	4 of 5 - Sale <sup>25</sup>
	2.000	16.04	04/06/20	15.60	...	0.44	5 of 5 - Sale <sup>25</sup>
05/13/20	32.000	256.64	Various	386.07	...	-129.43	Total of 5 transactions
PEPSICO, INC. COMMON STOCK / CUSIP: 713448108 / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	2.000	265.98	04/06/20	258.96	...	7.02	1 of 2 - Sale <sup>25</sup>
	4.000	531.96	04/06/20	517.78	...	14.18	2 of 2 - Sale <sup>25</sup>
04/08/20	6.000	797.94	Various	776.74	...	21.20	Total of 2 transactions
04/17/20	5.000	684.73	04/15/20	677.30	...	7.43	Sale <sup>25</sup>
04/30/20	1.000	132.46	04/28/20	136.97	4.51 W	0.00	Sale <sup>25</sup>
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	3.000	407.46	04/29/20	405.87	...	1.59	1 of 2 - Sale <sup>25</sup>
	1.000	135.82	05/05/20	135.13	...	0.69	2 of 2 - Sale <sup>25</sup>
05/12/20	4.000	543.28	Various	541.00	...	2.28	Total of 2 transactions

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PEPSICO, INC. COMMON STOCK / CUSIP: 713448108 / Symbol: (cont'd)							
<i>2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	407.60	04/28/20	410.91	3.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	135.86	04/29/20	138.70	2.84 W	0.00	2 of 2 - Sale <sup>25</sup>
05/12/20	4.000	543.46	Various	549.61	6.15 W	0.00	Total of 2 transactions
<i>2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	272.23	09/02/20	285.52	13.29 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	272.23	09/04/20	279.60	7.37 W	0.00	2 of 2 - Sale <sup>25</sup>
09/08/20	4.000	544.46	Various	565.12	20.66 W	0.00	Total of 2 transactions
<i>2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	0.910	125.02	09/04/20	129.72	...	-4.70	1 of 2 - Sale <sup>25</sup>
	0.000	0.05	09/04/20	0.05	...	0.00	2 of 2 - Sale <sup>25</sup>
10/08/20	0.910	125.07	Various	129.77	...	-4.70	Total of 2 transactions
10/08/20	2.000	274.93	09/04/20	291.29	11.90 W	-4.46	Sale <sup>25</sup>
<i>3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	0.545	76.34	09/04/20	77.68	...	-1.34	1 of 3 - Sale <sup>25</sup>
	0.546	76.61	09/04/20	77.81	...	-1.20	2 of 3 - Sale <sup>25</sup>
	1.454	204.27	10/05/20	211.90	...	-7.63	3 of 3 - Sale <sup>25</sup>
10/12/20	2.545	357.22	Various	367.39	...	-10.17	Total of 3 transactions
	<b>Security total:</b>	<b>4,003.55</b>		<b>4,035.19</b>	<b>43.22 W</b>	<b>11.58</b>	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
<i>6 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	36.04	07/31/20	33.16	...	2.88	1 of 6 - Sale <sup>25</sup>
	2.000	72.08	08/03/20	73.25	...	-1.17	2 of 6 - Sale <sup>25</sup>
	2.000	72.08	08/03/20	72.95	...	-0.87	3 of 6 - Sale <sup>25</sup>
	1.000	36.04	08/03/20	36.74	...	-0.70	4 of 6 - Sale <sup>25</sup>
	0.471	16.96	08/03/20	17.28	...	-0.32	5 of 6 - Sale <sup>25</sup>
	0.529	19.08	08/04/20	18.52	...	0.56	6 of 6 - Sale <sup>25</sup>
08/05/20	7.000	252.28	Various	251.90	...	0.38	Total of 6 transactions
<i>2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	80.42	09/16/20	74.04	...	6.38	1 of 2 - Sale <sup>25</sup>
	2.000	80.42	09/16/20	73.70	...	6.72	2 of 2 - Sale <sup>25</sup>
09/22/20	4.000	160.84	Various	147.74	...	13.10	Total of 2 transactions

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol: (cont'd)							
11/16/20	1.539	95.37	11/06/20	100.00	...	-4.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>508.49</b>		<b>499.64</b>	...	<b>8.85</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
7 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	10.000	43.20	02/21/20	55.28	...	-12.08	1 of 7 - Sale <sup>25</sup>
	2.000	8.64	03/16/20	5.96	...	2.68	2 of 7 - Sale <sup>25</sup>
	4.000	17.28	03/24/20	14.92	...	2.36	3 of 7 - Sale <sup>25</sup>
	4.000	17.28	03/26/20	15.84	...	1.44	4 of 7 - Sale <sup>25</sup>
	10.000	43.20	03/30/20	35.40	...	7.80	5 of 7 - Sale <sup>25</sup>
	10.000	43.20	04/01/20	33.00	...	10.20	6 of 7 - Sale <sup>25</sup>
	4.000	17.28	04/09/20	15.68	...	1.60	7 of 7 - Sale <sup>25</sup>
04/14/20	44.000	190.08	Various	176.08	...	14.00	Total of 7 transactions
3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	4.000	35.96	07/27/20	33.31	...	2.65	1 of 3 - Sale <sup>25</sup>
	4.000	35.96	07/27/20	33.23	...	2.73	2 of 3 - Sale <sup>25</sup>
	2.000	17.98	07/28/20	16.48	...	1.50	3 of 3 - Sale <sup>25</sup>
08/04/20	10.000	89.90	Various	83.02	...	6.88	Total of 3 transactions
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	10.000	89.90	08/03/20	90.47	0.57 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	44.95	08/03/20	45.74	0.79 W	0.00	2 of 2 - Sale <sup>25</sup>
08/04/20	15.000	134.85	Various	136.21	1.36 W	0.00	Total of 2 transactions
08/07/20	5.000	56.70	08/06/20	53.58	...	3.12	Sale <sup>25</sup>
5 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	12.19	08/07/20	11.51	...	0.68	1 of 5 - Sale <sup>25</sup>
	1.000	12.18	08/07/20	11.40	...	0.78	2 of 5 - Sale <sup>25</sup>
	4.000	48.74	08/07/20	45.63	...	3.11	3 of 5 - Sale <sup>25</sup>
	6.000	73.11	08/10/20	72.48	...	0.63	4 of 5 - Sale <sup>25</sup>
	4.000	48.74	08/11/20	46.00	...	2.74	5 of 5 - Sale <sup>25</sup>
08/17/20	16.000	194.96	Various	187.02	...	7.94	Total of 5 transactions
08/17/20	4.000	48.74	08/10/20	48.91	0.17 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	2.000	25.20	08/24/20	24.38	...	0.82	1 of 3 - Sale <sup>25</sup>
	2.000	25.20	08/24/20	24.06	...	1.14	2 of 3 - Sale <sup>25</sup>
	2.000	25.20	08/24/20	23.98	...	1.22	3 of 3 - Sale <sup>25</sup>
08/25/20	6.000	75.60	Various	72.42	...	3.18	Total of 3 transactions
3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	5.000	61.65	09/02/20	65.55	...	-3.90	1 of 3 - Sale <sup>25</sup>
	5.000	61.66	09/04/20	59.45	...	2.21	2 of 3 - Sale <sup>25</sup>
	4.000	49.32	09/08/20	48.12	...	1.20	3 of 3 - Sale <sup>25</sup>
09/10/20	14.000	172.63	Various	173.12	...	-0.49	Total of 3 transactions
4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.015	0.29	11/06/20	0.28	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.015	0.30	11/06/20	0.28	...	0.02	2 of 4 - Sale <sup>25</sup>
	0.204	4.12	11/06/20	3.88	...	0.24	3 of 4 - Sale <sup>25</sup>
	3.985	79.43	11/06/20	75.56	...	3.87	4 of 4 - Sale <sup>25</sup>
11/09/20	4.219	84.14	Various	80.00	...	4.14	Total of 4 transactions
	<b>Security total:</b>	<b>1,047.60</b>		<b>1,010.36</b>	<b>1.53 W</b>	<b>38.77</b>	
PUBLIC STORAGE / CUSIP: 74460D109 / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	203.68	06/04/20	200.63	...	3.05	1 of 2 - Sale <sup>25</sup>
	1.000	203.69	06/09/20	203.69	...	0.00	2 of 2 - Sale <sup>25</sup>
06/16/20	2.000	407.37	Various	404.32	...	3.05	Total of 2 transactions
06/16/20	1.000	203.68	06/03/20	209.00	5.32 W	0.00	Sale <sup>25</sup>
5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	201.75	06/09/20	209.00	7.25 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	201.74	06/09/20	203.64	1.90 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	403.49	06/09/20	416.04	12.55 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	403.49	06/09/20	415.96	12.47 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.000	403.49	06/09/20	415.99	12.50 W	0.00	5 of 5 - Sale <sup>25</sup>
07/06/20	8.000	1,613.96	Various	1,660.63	46.67 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PUBLIC STORAGE / CUSIP: 74460D109 / Symbol: (cont'd)							
4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	193.21	06/09/20	215.25	22.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	193.21	06/11/20	199.75	6.54 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	194.62	06/11/20	204.13	9.51 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	194.62	06/11/20	201.83	7.21 W	0.00	4 of 4 - Sale <sup>25</sup>
07/13/20	4.000	775.66	Various	820.96	45.30 W	0.00	Total of 4 transactions
07/17/20	2.000	382.26	06/12/20	402.93	20.67 W	0.00	Sale <sup>25</sup>
3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	189.90	06/19/20	213.90	...	-24.00	1 of 3 - Sale <sup>25</sup>
	1.000	189.89	06/19/20	198.39	...	-8.50	2 of 3 - Sale <sup>25</sup>
	1.000	193.15	07/13/20	204.90	...	-11.75	3 of 3 - Sale <sup>25</sup>
07/28/20	3.000	572.94	Various	617.19	...	-44.25	Total of 3 transactions
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	189.90	06/12/20	201.47	11.57 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	189.89	06/18/20	202.52	12.63 W	0.00	2 of 2 - Sale <sup>25</sup>
07/28/20	2.000	379.79	Various	403.99	24.20 W	0.00	Total of 2 transactions
5 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	192.68	07/13/20	207.20	...	-14.52	1 of 5 - Sale <sup>25</sup>
	1.000	192.68	07/13/20	206.14	...	-13.46	2 of 5 - Sale <sup>25</sup>
	1.000	192.99	07/13/20	204.90	...	-11.91	3 of 5 - Sale <sup>25</sup>
	1.000	192.68	07/13/20	204.08	...	-11.40	4 of 5 - Sale <sup>25</sup>
	1.000	192.68	07/13/20	201.78	...	-9.10	5 of 5 - Sale <sup>25</sup>
07/29/20	5.000	963.71	Various	1,024.10	...	-60.39	Total of 5 transactions
	<b>Security total:</b>	<b>5,299.37</b>		<b>5,543.12</b>	<b>142.16 W</b>	<b>-101.59</b>	
RH / CUSIP: 74967X103 / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	0.176	70.36	09/09/20	65.79	...	4.57	1 of 2 - Sale <sup>25</sup>
	1.000	399.00	09/09/20	373.11	...	25.89	2 of 2 - Sale <sup>25</sup>
09/10/20	1.176	469.36	Various	438.90	...	30.46	Total of 2 transactions
09/11/20	1.000	376.78	09/10/20	396.75	...	-19.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>846.14</b>		<b>835.65</b>	...	<b>10.49</b>	

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Account 722598554

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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RAPT THERAPEUTICS, INC. COMMON STOCK / CUSIP: 75382E109 / Symbol:							
6 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.151	3.69	12/07/20	3.64	...	0.05	1 of 6 - Sale <sup>25</sup>
	0.854	20.88	12/07/20	20.00	...	0.88	2 of 6 - Sale <sup>25</sup>
	0.857	20.96	12/07/20	20.00	...	0.96	3 of 6 - Sale <sup>25</sup>
	3.991	97.59	12/07/20	96.36	...	1.23	4 of 6 - Sale <sup>25</sup>
	0.187	4.56	12/08/20	4.56	...	0.00	5 of 6 - Sale <sup>25</sup>
	8.000	195.62	12/08/20	195.44	...	0.18	6 of 6 - Sale <sup>25</sup>
12/09/20	14.040	343.30	Various	340.00	...	3.30	Total of 6 transactions
12/09/20	1.393	34.06	12/08/20	35.14	1.08 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>377.36</b>		<b>375.14</b>	<b>1.08 W</b>	<b>3.30</b>	
REALTY INCOME CORPORATION / CUSIP: 756109104 / Symbol:							
06/18/20	2.000	126.74	06/15/20	124.98	...	1.76	Sale <sup>25</sup>
REPLIMUNE GROUP, INC. COMMON S TOCK / CUSIP: 76029N106 / Symbol:							
07/13/20	2.000	43.91	07/02/20	43.52	...	0.39	Sale <sup>25</sup>
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	4.000	87.82	06/25/20	103.80	15.98 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	43.91	07/06/20	44.91	1.00 W	0.00	2 of 2 - Sale <sup>25</sup>
07/13/20	6.000	131.73	Various	148.71	16.98 W	0.00	Total of 2 transactions
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	2.000	44.89	07/08/20	53.88	...	-8.99	1 of 4 - Sale <sup>25</sup>
	2.000	44.89	07/13/20	51.92	...	-7.03	2 of 4 - Sale <sup>25</sup>
	2.000	44.89	07/13/20	44.93	...	-0.04	3 of 4 - Sale <sup>25</sup>
	4.000	89.78	07/13/20	87.86	...	1.92	4 of 4 - Sale <sup>25</sup>
07/14/20	10.000	224.45	Various	238.59	...	-14.14	Total of 4 transactions
	<b>Security total:</b>	<b>400.09</b>		<b>430.82</b>	<b>16.98 W</b>	<b>-13.75</b>	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	0.005	0.05	11/30/20	0.04	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.197	1.65	11/30/20	1.62	...	0.03	2 of 3 - Sale <sup>25</sup>
	4.995	41.62	11/30/20	41.03	...	0.59	3 of 3 - Sale <sup>25</sup>
12/01/20	5.197	43.32	Various	42.69	...	0.63	Total of 3 transactions

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
12/04/20	32.000	302.08	12/03/20	292.36	...	9.72	Sale <sup>25</sup>
	3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.836	8.76	12/03/20	7.64	...	1.12	1 of 3 - Sale <sup>25</sup>
	10.000	104.84	12/04/20	93.68	...	11.16	2 of 3 - Sale <sup>25</sup>
	40.000	419.38	12/04/20	382.10	...	37.28	3 of 3 - Sale <sup>25</sup>
12/07/20	50.836	532.98	Various	483.42	...	49.56	Total of 3 transactions
	9 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	0.004	0.06	12/22/20	0.05	...	0.01	1 of 9 - Sale <sup>25</sup>
	0.034	0.56	12/22/20	0.49	...	0.07	2 of 9 - Sale <sup>25</sup>
	0.066	1.07	12/22/20	0.94	...	0.13	3 of 9 - Sale <sup>25</sup>
	0.071	1.16	12/22/20	1.02	...	0.14	4 of 9 - Sale <sup>25</sup>
	0.797	13.00	12/22/20	11.44	...	1.56	5 of 9 - Sale <sup>25</sup>
	3.000	48.78	12/22/20	43.05	...	5.73	6 of 9 - Sale <sup>25</sup>
	9.966	163.89	12/22/20	143.01	...	20.88	7 of 9 - Sale <sup>25</sup>
	0.824	13.45	12/24/20	10.77	...	2.68	8 of 9 - Sale <sup>25</sup>
	1.378	22.48	12/24/20	18.03	...	4.45	9 of 9 - Sale <sup>25</sup>
12/28/20	16.140	264.45	Various	228.80	...	35.65	Total of 9 transactions
	<b>Security total:</b>	<b>1,142.83</b>		<b>1,047.27</b>	...	<b>95.56</b>	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
	3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	1.000	129.99	06/25/20	123.75	...	6.24	1 of 3 - Sale <sup>25</sup>
	1.000	129.99	06/25/20	122.92	...	7.07	2 of 3 - Sale <sup>25</sup>
	2.000	259.80	06/30/20	233.53	...	26.27	3 of 3 - Sale <sup>25</sup>
07/02/20	4.000	519.78	Various	480.20	...	39.58	Total of 3 transactions
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	1.000	153.94	07/09/20	149.60	...	4.34	1 of 2 - Sale <sup>25</sup>
	1.000	153.94	07/09/20	149.60	...	4.34	2 of 2 - Sale <sup>25</sup>
07/10/20	2.000	307.88	Various	299.20	...	8.68	Total of 2 transactions
	3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	157.53	07/13/20	160.52	...	-2.99	1 of 3 - Sale <sup>25</sup>
	1.000	157.53	07/14/20	153.27	...	4.26	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	1.000	157.53	07/15/20	151.25	...	6.28	3 of 3 - Sale <sup>25</sup>
07/21/20	3.000	472.59	Various	465.04	...	7.55	Total of 3 transactions
07/21/20	3.000	473.97	07/13/20	481.58	7.61 W	0.00	Sale <sup>25</sup>
07/27/20	1.000	154.96	07/24/20	154.05	...	0.91	Sale <sup>25</sup>
09/15/20	1.000	167.40	09/14/20	161.75	...	5.65	Sale <sup>25</sup>
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	169.30	09/14/20	161.75	...	7.55	1 of 3 - Sale <sup>25</sup>
	1.000	169.31	09/15/20	167.56	...	1.75	2 of 3 - Sale <sup>25</sup>
	2.000	338.61	09/15/20	335.23	...	3.38	3 of 3 - Sale <sup>25</sup>
09/16/20	4.000	677.22	Various	664.54	...	12.68	Total of 3 transactions
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	188.78	09/15/20	165.00	...	23.78	1 of 2 - Sale <sup>25</sup>
	2.000	377.57	09/16/20	338.33	...	39.24	2 of 2 - Sale <sup>25</sup>
09/21/20	3.000	566.35	Various	503.33	...	63.02	Total of 2 transactions
09/22/20	1.000	185.04	09/16/20	169.17	...	15.87	Sale <sup>25</sup>
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	10/05/20	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.182	40.85	10/05/20	37.17	...	3.68	2 of 3 - Sale <sup>25</sup>
	1.000	224.14	10/05/20	204.07	...	20.07	3 of 3 - Sale <sup>25</sup>
10/08/20	1.182	265.00	Various	241.25	...	23.75	Total of 3 transactions
12/24/20	0.847	304.79	12/21/20	300.00	...	4.79	Sale <sup>25</sup>
<b>Security total:</b>		<b>4,094.98</b>		<b>3,920.11</b>	<b>7.61 W</b>	<b>182.48</b>	
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	191.96	06/18/20	188.00	...	3.96	1 of 2 - Sale <sup>25</sup>
	1.000	191.96	06/22/20	191.08	...	0.88	2 of 2 - Sale <sup>25</sup>
06/23/20	2.000	383.92	Various	379.08	...	4.84	Total of 2 transactions
08/19/20	2.000	409.26	08/18/20	406.68	...	2.58	Sale <sup>25</sup>
8 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	0.500	138.01	08/24/20	108.29	...	29.72	1 of 8 - Sale <sup>25</sup>
	0.779	215.03	08/24/20	168.99	...	46.04	2 of 8 - Sale <sup>25</sup>
	1.000	276.02	08/24/20	216.88	...	59.14	3 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol: (cont'd)							
	0.137	37.88	08/25/20	33.21	...	4.67	4 of 8 - Sale <sup>25</sup>
	0.500	138.01	08/25/20	120.61	...	17.40	5 of 8 - Sale <sup>25</sup>
	1.000	276.03	08/25/20	241.94	...	34.09	6 of 8 - Sale <sup>25</sup>
	1.000	276.02	08/25/20	241.38	...	34.64	7 of 8 - Sale <sup>25</sup>
	2.000	552.05	08/25/20	482.70	...	69.35	8 of 8 - Sale <sup>25</sup>
08/26/20	6.916	1,909.05	Various	1,614.00	...	295.05	Total of 8 transactions
	4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	0.137	36.01	08/25/20	33.16	...	2.85	1 of 4 - Sale <sup>25</sup>
	0.363	95.18	08/25/20	87.77	...	7.41	2 of 4 - Sale <sup>25</sup>
	0.500	131.21	08/25/20	120.97	...	10.24	3 of 4 - Sale <sup>25</sup>
	0.500	131.20	08/26/20	136.88	...	-5.68	4 of 4 - Sale <sup>25</sup>
08/27/20	1.500	393.60	Various	378.78	...	14.82	Total of 4 transactions
	4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	262.39	08/26/20	276.37	0.85 W	-13.13	1 of 4 - Sale <sup>25</sup>
	1.000	262.40	08/26/20	275.87	13.47 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	262.39	08/26/20	276.17	13.78 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	262.40	08/26/20	276.41	14.01 W	0.00	4 of 4 - Sale <sup>25</sup>
08/27/20	4.000	1,049.58	Various	1,104.82	42.11 W	-13.13	Total of 4 transactions
	8 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	0.733	199.08	08/26/20	209.87	...	-10.79	1 of 8 - Sale <sup>25</sup>
	0.170	46.14	08/26/20	48.80	...	-2.66	2 of 8 - Sale <sup>25</sup>
	0.061	16.48	08/26/20	17.43	...	-0.95	3 of 8 - Sale <sup>25</sup>
	1.000	271.55	08/28/20	288.76	...	-17.21	4 of 8 - Sale <sup>25</sup>
	0.964	261.69	08/28/20	278.50	...	-16.81	5 of 8 - Sale <sup>25</sup>
	0.036	9.85	08/28/20	10.47	...	-0.62	6 of 8 - Sale <sup>25</sup>
	0.061	16.48	08/31/20	17.18	...	-0.70	7 of 8 - Sale <sup>25</sup>
	0.036	9.85	08/31/20	10.28	...	-0.43	8 of 8 - Sale <sup>25</sup>
09/01/20	3.061	831.12	Various	881.29	...	-50.17	Total of 8 transactions
	<b>Security total:</b>	<b>4,976.53</b>		<b>4,764.65</b>	<b>42.11 W</b>	<b>253.99</b>	

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	2.000	1,505.88	05/13/20	1,476.10	...	29.78	1 of 2 - Sale <sup>25</sup>
	1.000	752.94	05/18/20	738.00	...	14.94	2 of 2 - Sale <sup>25</sup>
05/19/20	3.000	2,258.82	Various	2,214.10	...	44.72	Total of 2 transactions
05/22/20	1.000	818.53	05/21/20	801.37	...	17.16	Sale <sup>25</sup>
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	758.98	06/01/20	750.48	...	8.50	1 of 3 - Sale <sup>25</sup>
	1.000	759.98	06/08/20	727.47	...	32.51	2 of 3 - Sale <sup>25</sup>
	1.000	760.79	06/09/20	745.91	...	14.88	3 of 3 - Sale <sup>25</sup>
06/11/20	3.000	2,279.75	Various	2,223.86	...	55.89	Total of 3 transactions
06/11/20	1.000	759.98	06/03/20	765.79	5.81 W	0.00	Sale <sup>25</sup>
07/09/20	0.099	102.23	07/08/20	100.00	...	2.23	Sale <sup>25</sup>
07/09/20	0.097	99.74	07/07/20	100.00	0.26 W	0.00	Sale <sup>25</sup>
7 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	0.097	99.39	07/29/20	104.73	5.34 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.001	0.61	07/29/20	0.64	0.03 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.367	375.91	07/29/20	394.89	18.98 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.097	99.45	07/29/20	111.81	12.36 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.001	0.61	07/29/20	0.68	0.07 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.413	423.65	07/29/20	453.56	29.91 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.000	0.34	07/29/20	0.40	0.06 W	0.00	7 of 7 - Sale <sup>25</sup>
07/31/20	0.976	999.96	Various	1,066.71	66.75 W	0.00	Total of 7 transactions
8 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	0.097	105.29	07/29/20	114.24	...	-8.95	1 of 8 - Sale <sup>25</sup>
	0.331	359.14	07/29/20	364.62	...	-5.48	2 of 8 - Sale <sup>25</sup>
	0.035	38.51	07/29/20	40.77	...	-2.26	3 of 8 - Sale <sup>25</sup>
	0.048	51.68	07/29/20	53.45	...	-1.77	4 of 8 - Sale <sup>25</sup>
	0.001	0.64	07/29/20	0.69	...	-0.05	5 of 8 - Sale <sup>25</sup>
	0.366	396.90	07/30/20	407.43	...	-10.53	6 of 8 - Sale <sup>25</sup>
	0.000	0.38	07/30/20	0.43	...	-0.05	7 of 8 - Sale <sup>25</sup>
	0.018	19.45	07/30/20	18.66	...	0.79	8 of 8 - Sale <sup>25</sup>
08/03/20	0.896	971.99	Various	1,000.29	...	-28.30	Total of 8 transactions
	<b>Security total:</b>	<b>8,291.00</b>		<b>8,272.12</b>	<b>72.82 W</b>	<b>91.70</b>	

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	2.000	50.52	09/08/20	49.10	...	1.42	1 of 2 - Sale <sup>25</sup>
	4.000	101.04	09/08/20	99.00	...	2.04	2 of 2 - Sale <sup>25</sup>
09/09/20	6.000	151.56	Various	148.10	...	3.46	Total of 2 transactions
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.131	5.55	11/25/20	5.00	...	0.55	1 of 4 - Sale <sup>25</sup>
	5.105	216.51	11/25/20	195.00	...	21.51	2 of 4 - Sale <sup>25</sup>
	0.005	0.23	11/27/20	0.21	...	0.02	3 of 4 - Sale <sup>25</sup>
	3.890	164.96	11/27/20	149.79	...	15.17	4 of 4 - Sale <sup>25</sup>
11/30/20	9.131	387.25	Various	350.00	...	37.25	Total of 4 transactions
6 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	11/30/20	0.01	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.341	14.64	11/30/20	14.57	...	0.07	2 of 6 - Sale <sup>25</sup>
	1.595	68.47	11/30/20	68.14	...	0.33	3 of 6 - Sale <sup>25</sup>
	2.000	85.85	11/30/20	85.42	...	0.43	4 of 6 - Sale <sup>25</sup>
	2.000	85.85	12/01/20	87.31	...	-1.46	5 of 6 - Sale <sup>25</sup>
	0.291	12.48	12/01/20	12.69	...	-0.21	6 of 6 - Sale <sup>25</sup>
12/03/20	6.227	267.30	Various	268.14	...	-0.84	Total of 6 transactions
	<b>Security total:</b>	<b>806.11</b>		<b>766.24</b>	...	<b>39.87</b>	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
01/06/20	1.000	16.65	01/03/20	16.80	...	-0.15	Sale <sup>25</sup>
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
09/29/20	2.000	503.13	09/28/20	498.40	...	4.73	Sale <sup>25</sup>
12 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	0.426	101.21	09/30/20	123.57	...	-22.36	1 of 12 - Sale <sup>25</sup>
	0.271	64.32	09/30/20	78.54	...	-14.22	2 of 12 - Sale <sup>25</sup>
	0.736	174.91	10/02/20	190.67	...	-15.76	3 of 12 - Sale <sup>25</sup>
	0.206	49.00	10/02/20	57.00	...	-8.00	4 of 12 - Sale <sup>25</sup>
	0.086	20.35	10/02/20	28.22	...	-7.87	5 of 12 - Sale <sup>25</sup>
	0.240	57.07	10/02/20	62.03	...	-4.96	6 of 12 - Sale <sup>25</sup>
	0.140	33.33	10/02/20	34.05	...	-0.72	7 of 12 - Sale <sup>25</sup>

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SNOWFLAKE INC. / CUSIP: 833445109 / Symbol: (cont'd)							
	0.011	2.72	10/02/20	3.39	...	-0.67	8 of 12 - Sale <sup>25</sup>
	0.008	1.94	10/02/20	2.40	...	-0.46	9 of 12 - Sale <sup>25</sup>
	0.015	3.62	10/02/20	3.93	...	-0.31	10 of 12 - Sale <sup>25</sup>
	0.000	0.07	10/02/20	0.08	...	-0.01	11 of 12 - Sale <sup>25</sup>
	0.860	204.29	10/02/20	200.00	...	4.29	12 of 12 - Sale <sup>25</sup>
10/05/20	3.000	712.83	Various	783.88	...	-71.05	Total of 12 transactions
	10 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	0.086	20.53	09/30/20	23.48	2.95 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.008	1.96	09/30/20	2.24	0.28 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.086	20.53	09/30/20	26.43	5.90 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.914	219.20	09/30/20	250.72	31.52 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.000	0.07	09/30/20	0.08	0.01 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.976	234.06	09/30/20	250.00	15.94 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.015	3.65	09/30/20	3.89	0.24 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.086	20.35	09/30/20	27.79	7.44 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.008	1.94	09/30/20	2.36	0.42 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.011	2.72	09/30/20	3.33	0.61 W	0.00	10 of 10 - Sale <sup>25</sup>
10/05/20	2.191	525.01	Various	590.32	65.31 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>1,740.97</b>		<b>1,872.60</b>	<b>65.31 W</b>	<b>-66.32</b>	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
	2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	4.000	32.74	07/21/20	34.00	...	-1.26	1 of 2 - Sale <sup>25</sup>
	4.000	32.74	07/28/20	30.52	...	2.22	2 of 2 - Sale <sup>25</sup>
07/30/20	8.000	65.48	Various	64.52	...	0.96	Total of 2 transactions
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
08/03/20	5.000	158.05	07/23/20	170.65	...	-12.60	Sale <sup>25</sup>
12/04/20	1.000	48.31	12/03/20	47.93	...	0.38	Sale <sup>25</sup>
	3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	0.001	0.05	12/09/20	0.05	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.174	8.06	12/09/20	7.98	...	0.08	2 of 3 - Sale <sup>25</sup>
	1.999	92.79	12/09/20	91.97	...	0.82	3 of 3 - Sale <sup>25</sup>
12/28/20	2.174	100.90	Various	100.00	...	0.90	Total of 3 transactions

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol: (cont'd)							
<b>Security total:</b>		<b>307.26</b>		<b>318.58</b>	...		<b>-11.32</b>
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	2.000	409.04	09/02/20	443.73	...		1 of 2 - Sale <sup>25</sup>
	2.000	409.03	09/02/20	442.51	...		2 of 2 - Sale <sup>25</sup>
09/04/20	4.000	818.07	Various	886.24	...		Total of 2 transactions
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	0.223	34.67	08/21/20	34.55	...	0.12	1 of 3 - Sale <sup>25</sup>
	2.777	432.33	08/21/20	431.01	...	1.32	2 of 3 - Sale <sup>25</sup>
	2.000	311.33	08/21/20	309.11	...	2.22	3 of 3 - Sale <sup>25</sup>
08/26/20	5.000	778.33	Various	774.67	...	3.66	Total of 3 transactions
5 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.100	15.95	08/21/20	15.52	...	0.43	1 of 5 - Sale <sup>25</sup>
	0.122	19.43	08/21/20	18.92	...	0.51	2 of 5 - Sale <sup>25</sup>
	0.778	124.03	08/24/20	118.26	...	5.77	3 of 5 - Sale <sup>25</sup>
	0.284	45.25	08/26/20	44.22	...	1.03	4 of 5 - Sale <sup>25</sup>
	0.816	130.09	08/26/20	127.16	...	2.93	5 of 5 - Sale <sup>25</sup>
08/27/20	2.100	334.75	Various	324.08	...	10.67	Total of 5 transactions
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.008	1.24	08/26/20	1.22	...	0.02	1 of 4 - Sale <sup>25</sup>
	0.176	27.99	08/26/20	27.40	...	0.59	2 of 4 - Sale <sup>25</sup>
	0.324	51.58	08/26/20	50.00	...	1.58	3 of 4 - Sale <sup>25</sup>
	1.000	159.15	08/28/20	156.18	...	2.97	4 of 4 - Sale <sup>25</sup>
08/31/20	1.508	239.96	Various	234.80	...	5.16	Total of 4 transactions
08/31/20	0.500	79.58	08/27/20	79.88	0.30 W	0.00	Sale <sup>25</sup>
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.500	220.80	09/02/20	244.06	...	-23.26	1 of 2 - Sale <sup>25</sup>
	0.500	73.60	09/02/20	81.66	...	-8.06	2 of 2 - Sale <sup>25</sup>
09/04/20	2.000	294.40	Various	325.72	...	-31.32	Total of 2 transactions
10/08/20	3.311	606.51	10/05/20	600.00	...	6.51	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,333.53</b>		<b>2,339.15</b>	<b>0.30 W</b>	<b>-5.32</b>	
STAG INDUSTRIAL, INC. / CUSIP: 85254J102 / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	59.86	06/15/20	57.81	...	2.05	1 of 2 - Sale <sup>25</sup>
	2.000	59.86	07/08/20	57.94	...	1.92	2 of 2 - Sale <sup>25</sup>
07/13/20	4.000	119.72	Various	115.75	...	3.97	Total of 2 transactions
07/13/20	8.000	239.46	06/16/20	242.32	0.72 W	-2.14	Sale <sup>25</sup>
07/23/20	2.000	62.00	07/13/20	60.60	...	1.40	Sale <sup>25</sup>
<b>Security total:</b>		<b>421.18</b>		<b>418.67</b>	<b>0.72 W</b>	<b>3.23</b>	
STEMLINE THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 85858C107 / Symbol:							
05/05/20	10.000	121.00	05/04/20	120.56	...	0.44	Sale <sup>25</sup>
T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	84.54	12/19/19	77.30	...	7.24	1 of 2 - Sale <sup>25</sup>
	1.000	84.54	03/18/20	65.00	...	19.54	2 of 2 - Sale <sup>25</sup>
04/01/20	2.000	169.08	Various	142.30	...	26.78	Total of 2 transactions
04/01/20	1.000	84.54	02/11/20	93.59	9.05 W	0.00	Sale <sup>25</sup>
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	87.30	03/26/20	90.98	...	-3.68	1 of 2 - Sale <sup>25</sup>
	3.000	261.90	04/01/20	256.50	...	5.40	2 of 2 - Sale <sup>25</sup>
04/07/20	4.000	349.20	Various	347.48	...	1.72	Total of 2 transactions
<b>Security total:</b>		<b>602.82</b>		<b>583.37</b>	<b>9.05 W</b>	<b>28.50</b>	
TAKEDA PHARMACEUTICAL COMPANY LIMITED AMERICAN DEPOSITARY SH ARES (EACH REPRESENTING 1/2 OF / CUSIP: 874060205 / Symbol:							
08/11/20	4.000	74.16	08/07/20	72.84	...	1.32	Sale <sup>25</sup>
TECK RESOURCES LIMITED / CUSIP: 878742204 / Symbol:							
09/14/20	8.000	105.40	09/11/20	103.18	...	2.22	Sale <sup>25</sup>
3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	2.000	28.62	09/11/20	25.80	...	2.82	1 of 3 - Sale <sup>25</sup>
	4.000	57.24	09/16/20	58.24	...	-1.00	2 of 3 - Sale <sup>25</sup>

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TECK RESOURCES LIMITED / CUSIP: 878742204 / Symbol: (cont'd)							
	4.000	57.24	09/16/20	58.20	...	-0.96	3 of 3 - Sale <sup>25</sup>
09/22/20	10.000	143.10	Various	142.24	...	0.86	Total of 3 transactions
	<b>Security total:</b>	<b>248.50</b>		<b>245.42</b>	...	<b>3.08</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
04/28/20	4.000	3,076.34	04/23/20	2,825.20	...	251.14	Sale <sup>25</sup>
05/08/20	1.000	813.46	05/07/20	779.83	...	33.63	Sale <sup>25</sup>
	2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	1.000	782.66	05/12/20	831.30	48.64 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	782.66	05/13/20	823.98	41.32 W	0.00	2 of 2 - Sale <sup>25</sup>
05/13/20	2.000	1,565.32	Various	1,655.28	89.96 W	0.00	Total of 2 transactions
05/26/20	1.000	825.73	05/21/20	869.33	43.60 W	0.00	Sale <sup>25</sup>
06/08/20	1.000	927.53	06/03/20	889.86	...	37.67	Sale <sup>25</sup>
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	927.52	06/02/20	933.60	6.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	927.52	06/02/20	931.32	3.80 W	0.00	2 of 2 - Sale <sup>25</sup>
06/08/20	2.000	1,855.04	Various	1,864.92	9.88 W	0.00	Total of 2 transactions
06/30/20	1.000	1,069.88	06/24/20	975.25	...	94.63	Sale <sup>25</sup>
	3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	0.166	225.65	07/02/20	200.63	...	25.02	1 of 3 - Sale <sup>25</sup>
	0.166	225.56	07/06/20	212.42	...	13.14	2 of 3 - Sale <sup>25</sup>
	0.834	1,133.35	07/06/20	1,070.51	...	62.84	3 of 3 - Sale <sup>25</sup>
07/08/20	1.166	1,584.56	Various	1,483.56	...	101.00	Total of 3 transactions
07/10/20	0.041	63.17	07/09/20	57.49	...	5.68	Sale <sup>25</sup>
	6 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	0.013	21.06	07/09/20	17.45	...	3.61	1 of 6 - Sale <sup>25</sup>
	0.018	30.25	07/09/20	25.06	...	5.19	2 of 6 - Sale <sup>25</sup>
	0.072	120.82	07/09/20	100.06	...	20.76	3 of 6 - Sale <sup>25</sup>
	0.143	241.36	07/09/20	199.99	...	41.37	4 of 6 - Sale <sup>25</sup>
	0.143	241.36	07/09/20	199.94	...	41.42	5 of 6 - Sale <sup>25</sup>
	0.202	339.74	07/10/20	300.00	...	39.74	6 of 6 - Sale <sup>25</sup>
07/13/20	0.590	994.59	Various	842.50	...	152.09	Total of 6 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
7 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	0.001	2.36	07/13/20	2.42	0.06 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.057	95.48	07/13/20	98.11	2.63 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.288	486.14	07/13/20	499.47	13.33 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.138	233.15	07/13/20	240.23	7.08 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.092	155.06	07/13/20	159.77	4.71 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.561	944.87	07/13/20	1,000.00	55.13 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.285	480.59	07/13/20	500.00	19.41 W	0.00	7 of 7 - Sale <sup>25</sup>
07/13/20	1.422	2,397.65	Various	2,500.00	102.35 W	0.00	Total of 7 transactions
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	0.001	2.21	07/15/20	2.17	...	0.04	1 of 3 - Sale <sup>25</sup>
	0.008	13.02	07/15/20	12.79	...	0.23	2 of 3 - Sale <sup>25</sup>
	0.057	89.65	07/15/20	88.11	...	1.54	3 of 3 - Sale <sup>25</sup>
07/20/20	0.066	104.88	Various	103.07	...	1.81	Total of 3 transactions
07/20/20	0.123	195.29	07/20/20	205.66	10.37 W	0.00	Sale <sup>25</sup>
12 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	0.021	34.12	08/03/20	32.11	...	2.01	1 of 12 - Sale <sup>25</sup>
	0.051	84.55	08/03/20	80.44	...	4.11	2 of 12 - Sale <sup>25</sup>
	0.071	116.93	08/03/20	110.04	...	6.89	3 of 12 - Sale <sup>25</sup>
	0.138	227.16	08/03/20	213.73	...	13.43	4 of 12 - Sale <sup>25</sup>
	0.158	258.88	08/03/20	242.80	...	16.08	5 of 12 - Sale <sup>25</sup>
	0.561	920.42	08/03/20	892.59	...	27.83	6 of 12 - Sale <sup>25</sup>
	0.123	201.22	08/12/20	190.51	...	10.71	7 of 12 - Sale <sup>25</sup>
	0.130	213.84	08/12/20	191.44	...	22.40	8 of 12 - Sale <sup>25</sup>
	0.234	383.60	08/12/20	359.32	...	24.28	9 of 12 - Sale <sup>25</sup>
	0.719	1,179.87	08/12/20	1,099.40	...	80.47	10 of 12 - Sale <sup>25</sup>
	0.006	10.13	08/13/20	9.96	...	0.17	11 of 12 - Sale <sup>25</sup>
	0.304	498.59	08/13/20	490.04	...	8.55	12 of 12 - Sale <sup>25</sup>
08/14/20	2.515	4,129.31	Various	3,912.38	...	216.93	Total of 12 transactions
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	0.183	299.70	08/13/20	300.00	0.30 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.131	214.48	08/13/20	215.07	0.59 W	0.00	2 of 3 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
08/14/20	0.489	802.59	08/13/20	803.32	0.73 W	0.00	3 of 3 - Sale <sup>25</sup>
	0.802	1,316.77	Various	1,318.39	1.62 W	0.00	Total of 3 transactions
	6 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	0.045	78.08	08/14/20	74.71	...	3.37	1 of 6 - Sale <sup>25</sup>
	0.131	224.81	08/14/20	215.39	...	9.42	2 of 6 - Sale <sup>25</sup>
	0.183	314.14	08/14/20	300.44	...	13.70	3 of 6 - Sale <sup>25</sup>
	0.198	340.86	08/14/20	325.68	...	15.18	4 of 6 - Sale <sup>25</sup>
	0.489	841.23	08/14/20	804.48	...	36.75	5 of 6 - Sale <sup>25</sup>
	1.955	3,363.98	08/14/20	3,220.51	...	143.47	6 of 6 - Sale <sup>25</sup>
08/17/20	3.000	5,163.10	Various	4,941.21	...	221.89	Total of 6 transactions
	3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	0.545	1,025.93	08/17/20	1,000.00	...	25.93	1 of 3 - Sale <sup>25</sup>
	1.000	1,883.83	08/17/20	1,778.24	...	105.59	2 of 3 - Sale <sup>25</sup>
	1.415	2,666.52	08/17/20	2,533.37	...	133.15	3 of 3 - Sale <sup>25</sup>
08/18/20	2.960	5,576.28	Various	5,311.61	...	264.67	Total of 3 transactions
	8 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	0.001	2.88	08/18/20	2.80	...	0.08	1 of 8 - Sale <sup>25</sup>
	0.007	14.65	08/18/20	13.97	...	0.68	2 of 8 - Sale <sup>25</sup>
	0.026	51.73	08/18/20	48.84	...	2.89	3 of 8 - Sale <sup>25</sup>
	0.149	296.34	08/18/20	279.82	...	16.52	4 of 8 - Sale <sup>25</sup>
	0.325	643.17	08/18/20	614.29	...	28.88	5 of 8 - Sale <sup>25</sup>
	0.325	643.17	08/18/20	607.79	...	35.38	6 of 8 - Sale <sup>25</sup>
	0.675	1,338.78	08/18/20	1,278.66	...	60.12	7 of 8 - Sale <sup>25</sup>
	0.675	1,338.78	08/18/20	1,278.37	...	60.41	8 of 8 - Sale <sup>25</sup>
08/20/20	2.184	4,329.50	Various	4,124.54	...	204.96	Total of 8 transactions
	12 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	0.315	653.59	08/18/20	597.77	...	55.82	1 of 12 - Sale <sup>25</sup>
	0.316	655.11	08/18/20	597.38	...	57.73	2 of 12 - Sale <sup>25</sup>
	0.184	382.59	08/19/20	349.83	...	32.76	3 of 12 - Sale <sup>25</sup>
	0.185	384.12	08/19/20	349.52	...	34.60	4 of 12 - Sale <sup>25</sup>
	0.025	52.16	08/20/20	50.00	...	2.16	5 of 12 - Sale <sup>25</sup>
	0.223	463.50	08/20/20	449.06	...	14.44	6 of 12 - Sale <sup>25</sup>
	0.248	515.29	08/20/20	500.00	...	15.29	7 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.272	564.00	08/20/20	545.64	...	18.36	8 of 12 - Sale <sup>25</sup>
	0.251	521.92	08/20/20	500.00	...	21.92	9 of 12 - Sale <sup>25</sup>
	0.252	522.54	08/20/20	500.00	...	22.54	10 of 12 - Sale <sup>25</sup>
	0.728	1,511.41	08/20/20	1,462.76	...	48.65	11 of 12 - Sale <sup>25</sup>
	2.000	4,150.81	08/20/20	3,977.10	...	173.71	12 of 12 - Sale <sup>25</sup>
08/21/20	5.000	10,377.04	Various	9,879.06	...	497.98	Total of 12 transactions
	8 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	0.864	1,766.02	08/21/20	1,788.56	22.54 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.136	276.85	08/21/20	280.44	3.59 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.500	1,021.24	08/21/20	1,042.48	21.24 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.244	497.68	08/21/20	506.35	8.67 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.121	246.71	08/21/20	255.17	8.46 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.136	276.85	08/21/20	282.80	5.95 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.500	1,021.24	08/21/20	1,046.37	25.13 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.364	744.39	08/21/20	746.43	2.04 W	0.00	8 of 8 - Sale <sup>25</sup>
08/21/20	2.864	5,850.98	Various	5,948.60	97.62 W	0.00	Total of 8 transactions
	10 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	0.041	89.65	08/26/20	88.50	...	1.15	1 of 10 - Sale <sup>25</sup>
	0.121	266.96	08/26/20	263.33	...	3.63	2 of 10 - Sale <sup>25</sup>
	0.095	209.92	08/26/20	204.77	...	5.15	3 of 10 - Sale <sup>25</sup>
	0.136	299.57	08/26/20	291.95	...	7.62	4 of 10 - Sale <sup>25</sup>
	0.136	299.57	08/26/20	291.76	...	7.81	5 of 10 - Sale <sup>25</sup>
	0.136	299.57	08/26/20	290.03	...	9.54	6 of 10 - Sale <sup>25</sup>
	0.164	363.45	08/26/20	351.45	...	12.00	7 of 10 - Sale <sup>25</sup>
	0.244	538.52	08/26/20	522.80	...	15.72	8 of 10 - Sale <sup>25</sup>
	0.364	805.47	08/26/20	787.32	...	18.15	9 of 10 - Sale <sup>25</sup>
	0.364	805.48	08/26/20	785.67	...	19.81	10 of 10 - Sale <sup>25</sup>
08/27/20	1.800	3,978.16	Various	3,877.58	...	100.58	Total of 10 transactions
	11 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	0.030	67.62	08/26/20	63.18	...	4.44	1 of 11 - Sale <sup>25</sup>
	0.134	306.75	08/26/20	288.92	...	17.83	2 of 11 - Sale <sup>25</sup>
	0.170	389.78	08/26/20	364.20	...	25.58	3 of 11 - Sale <sup>25</sup>
	0.258	589.75	08/26/20	549.62	...	40.13	4 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.195	446.68	08/27/20	443.02	...	3.66	5 of 11 - Sale <sup>25</sup>
	0.202	460.94	08/27/20	457.16	...	3.78	6 of 11 - Sale <sup>25</sup>
	0.439	1,003.93	08/27/20	1,000.00	...	3.93	7 of 11 - Sale <sup>25</sup>
	1.000	2,286.96	08/27/20	2,267.20	...	19.76	8 of 11 - Sale <sup>25</sup>
	0.500	1,143.48	08/27/20	1,109.94	...	33.54	9 of 11 - Sale <sup>25</sup>
	0.800	1,829.57	08/27/20	1,775.50	...	54.07	10 of 11 - Sale <sup>25</sup>
	1.000	2,286.96	08/27/20	2,224.21	...	62.75	11 of 11 - Sale <sup>25</sup>
08/28/20	4.728	10,812.42	Various	10,542.95	...	269.47	Total of 11 transactions
	3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	2.000	4,552.83	08/28/20	4,627.90	75.07 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	2,276.41	08/28/20	2,293.90	17.49 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	2,276.41	08/28/20	2,293.89	17.48 W	0.00	3 of 3 - Sale <sup>25</sup>
08/28/20	4.000	9,105.65	Various	9,215.69	110.04 W	0.00	Total of 3 transactions
	5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	0.000	0.01	08/31/20	0.01	...	0.00	1 of 5 - Sale <sup>25</sup>
	0.596	288.37	08/31/20	285.62	...	2.75	2 of 5 - Sale <sup>25</sup>
	0.404	199.99	08/31/20	193.90	...	6.09	3 of 5 - Sale <sup>25</sup>
	2.404	1,164.09	08/31/20	1,146.65	...	17.44	4 of 5 - Sale <sup>25</sup>
	2.000	968.31	08/31/20	945.38	...	22.93	5 of 5 - Sale <sup>25</sup>
09/01/20	5.404	2,620.77	Various	2,571.56	...	49.21	Total of 5 transactions
	5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	0.500	242.08	08/31/20	255.31	13.23 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.341	165.17	08/31/20	174.09	8.92 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.159	76.90	08/31/20	81.12	4.22 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	484.15	08/31/20	492.40	8.25 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	484.16	08/31/20	492.39	8.23 W	0.00	5 of 5 - Sale <sup>25</sup>
09/01/20	3.000	1,452.46	Various	1,495.31	42.85 W	0.00	Total of 5 transactions
	2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	0.000	0.01	08/31/20	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.01	09/01/20	0.01	...	0.00	2 of 2 - Sale <sup>25</sup>
09/02/20	0.000	0.02	Various	0.02	...	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>23 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.159	74.76	08/31/20	79.97	5.21 W	0.00	1 of 23 - Sale <sup>25</sup>
	0.341	160.56	08/31/20	171.62	11.06 W	0.00	2 of 23 - Sale <sup>25</sup>
	0.500	235.31	08/31/20	251.68	16.37 W	0.00	3 of 23 - Sale <sup>25</sup>
	0.596	280.31	08/31/20	288.96	8.65 W	0.00	4 of 23 - Sale <sup>25</sup>
	0.404	190.31	08/31/20	196.51	6.20 W	0.00	5 of 23 - Sale <sup>25</sup>
	1.000	470.63	08/31/20	485.93	15.30 W	0.00	6 of 23 - Sale <sup>25</sup>
	0.596	280.32	08/31/20	284.52	4.20 W	0.00	7 of 23 - Sale <sup>25</sup>
	0.051	23.77	08/31/20	24.18	0.41 W	0.00	8 of 23 - Sale <sup>25</sup>
	1.000	470.63	08/31/20	478.87	8.24 W	0.00	9 of 23 - Sale <sup>25</sup>
	1.186	558.06	08/31/20	568.53	10.47 W	0.00	10 of 23 - Sale <sup>25</sup>
	0.033	15.69	08/31/20	16.40	0.71 W	0.00	11 of 23 - Sale <sup>25</sup>
	2.000	941.08	08/31/20	983.60	42.52 W	0.00	12 of 23 - Sale <sup>25</sup>
	0.174	81.92	08/31/20	89.67	7.75 W	0.00	13 of 23 - Sale <sup>25</sup>
	0.020	9.61	09/01/20	10.08	0.47 W	0.00	14 of 23 - Sale <sup>25</sup>
	0.411	193.26	09/01/20	202.70	9.44 W	0.00	15 of 23 - Sale <sup>25</sup>
	2.000	941.26	09/01/20	987.21	45.95 W	0.00	16 of 23 - Sale <sup>25</sup>
	0.253	118.90	09/01/20	121.01	2.11 W	0.00	17 of 23 - Sale <sup>25</sup>
	1.000	470.43	09/01/20	478.99	8.56 W	0.00	18 of 23 - Sale <sup>25</sup>
	0.289	136.07	09/01/20	138.11	2.04 W	0.00	19 of 23 - Sale <sup>25</sup>
	0.130	60.95	09/01/20	61.89	0.94 W	0.00	20 of 23 - Sale <sup>25</sup>
	0.837	393.88	09/01/20	400.00	6.12 W	0.00	21 of 23 - Sale <sup>25</sup>
	0.005	2.30	09/01/20	2.34	0.04 W	0.00	22 of 23 - Sale <sup>25</sup>
	1.000	470.45	09/01/20	479.31	8.86 W	0.00	23 of 23 - Sale <sup>25</sup>
09/02/20	13.982	6,580.46	Various	6,802.08	221.62 W	0.00	Total of 23 transactions
<i>8 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	0.500	205.78	09/02/20	239.27	33.49 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.159	65.37	09/02/20	76.02	10.65 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.341	140.41	09/02/20	163.15	22.74 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.404	166.43	09/02/20	186.47	20.04 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.596	245.13	09/02/20	274.18	29.05 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	411.56	09/02/20	461.80	50.24 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.215	88.57	09/02/20	97.86	9.29 W	0.00	7 of 8 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
09/03/20	0.596	245.13	09/02/20	270.14	25.01 W	0.00	8 of 8 - Sale <sup>25</sup>
	3.811	1,568.38	Various	1,768.89	200.51 W	0.00	Total of 8 transactions
	5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	0.033	13.54	09/04/20	14.84	1.30 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.051	20.50	09/04/20	21.81	1.31 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.785	318.56	09/04/20	338.97	20.41 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.186	481.31	09/04/20	512.84	31.53 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.946	789.72	09/04/20	865.65	75.93 W	0.00	5 of 5 - Sale <sup>25</sup>
09/04/20	4.000	1,623.63	Various	1,754.11	130.48 W	0.00	Total of 5 transactions
	17 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	0.054	19.28	09/04/20	21.95	2.67 W	0.00	1 of 17 - Sale <sup>25</sup>
	0.174	62.10	09/04/20	74.76	12.66 W	0.00	2 of 17 - Sale <sup>25</sup>
	0.020	7.28	09/04/20	8.33	1.05 W	0.00	3 of 17 - Sale <sup>25</sup>
	0.751	268.09	09/04/20	306.60	38.51 W	0.00	4 of 17 - Sale <sup>25</sup>
	0.088	31.46	09/04/20	36.88	5.42 W	0.00	5 of 17 - Sale <sup>25</sup>
	0.253	90.13	09/04/20	105.58	15.45 W	0.00	6 of 17 - Sale <sup>25</sup>
	0.411	146.49	09/04/20	177.62	31.13 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.249	445.40	09/04/20	540.02	94.62 W	0.00	8 of 17 - Sale <sup>25</sup>
	0.130	46.20	09/04/20	55.98	9.78 W	0.00	9 of 17 - Sale <sup>25</sup>
	0.289	103.14	09/04/20	124.92	21.78 W	0.00	10 of 17 - Sale <sup>25</sup>
	0.670	239.01	09/04/20	289.65	50.64 W	0.00	11 of 17 - Sale <sup>25</sup>
	0.911	325.13	09/04/20	395.15	70.02 W	0.00	12 of 17 - Sale <sup>25</sup>
	0.329	117.17	09/04/20	153.97	36.80 W	0.00	13 of 17 - Sale <sup>25</sup>
	0.500	178.30	09/04/20	234.47	56.17 W	0.00	14 of 17 - Sale <sup>25</sup>
	0.005	1.74	09/04/20	2.01	0.27 W	0.00	15 of 17 - Sale <sup>25</sup>
	0.167	59.53	09/04/20	68.33	8.80 W	0.00	16 of 17 - Sale <sup>25</sup>
	1.000	356.46	09/04/20	410.67	54.21 W	0.00	17 of 17 - Sale <sup>25</sup>
09/08/20	7.000	2,496.91	Various	3,006.89	509.98 W	0.00	Total of 17 transactions
	6 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.000	0.13	09/11/20	0.13	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.051	20.63	09/11/20	20.09	...	0.54	2 of 6 - Sale <sup>25</sup>
	0.345	140.86	09/11/20	137.17	...	3.69	3 of 6 - Sale <sup>25</sup>
	0.422	172.50	09/11/20	167.98	...	4.52	4 of 6 - Sale <sup>25</sup>

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.527	215.37	09/11/20	210.04	...	5.33	5 of 6 - Sale <sup>25</sup>
	0.659	269.05	09/11/20	262.19	...	6.86	6 of 6 - Sale <sup>25</sup>
09/14/20	2.004	818.54	Various	797.60	...	20.94	Total of 6 transactions
	13 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.013	5.15	09/10/20	5.70	0.55 W	0.00	1 of 13 - Sale <sup>25</sup>
	0.065	26.45	09/10/20	29.32	2.87 W	0.00	2 of 13 - Sale <sup>25</sup>
	0.094	38.44	09/10/20	42.60	4.16 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.233	95.16	09/10/20	101.40	6.24 W	0.00	4 of 13 - Sale <sup>25</sup>
	0.596	243.33	09/10/20	258.81	15.48 W	0.00	5 of 13 - Sale <sup>25</sup>
	0.171	70.04	09/10/20	74.33	4.29 W	0.00	6 of 13 - Sale <sup>25</sup>
	1.000	408.53	09/10/20	434.24	25.71 W	0.00	7 of 13 - Sale <sup>25</sup>
	0.215	87.91	09/10/20	91.93	4.02 W	0.00	8 of 13 - Sale <sup>25</sup>
	0.596	243.32	09/10/20	253.73	10.41 W	0.00	9 of 13 - Sale <sup>25</sup>
	0.018	7.25	09/10/20	7.27	0.02 W	0.00	10 of 13 - Sale <sup>25</sup>
	0.033	13.49	09/11/20	13.56	0.07 W	0.00	11 of 13 - Sale <sup>25</sup>
	0.054	22.03	09/11/20	22.69	0.66 W	0.00	12 of 13 - Sale <sup>25</sup>
	0.987	403.12	09/11/20	405.15	2.03 W	0.00	13 of 13 - Sale <sup>25</sup>
09/14/20	4.074	1,664.22	Various	1,740.73	76.51 W	0.00	Total of 13 transactions
	7 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	0.000	0.05	09/11/20	0.05	...	0.00	1 of 7 - Sale <sup>25</sup>
	0.020	8.87	09/11/20	8.60	...	0.27	2 of 7 - Sale <sup>25</sup>
	0.105	45.66	09/11/20	43.08	...	2.58	3 of 7 - Sale <sup>25</sup>
	0.347	150.59	09/11/20	145.84	...	4.75	4 of 7 - Sale <sup>25</sup>
	0.405	175.93	09/11/20	169.93	...	6.00	5 of 7 - Sale <sup>25</sup>
	0.854	371.02	09/11/20	350.60	...	20.42	6 of 7 - Sale <sup>25</sup>
	0.029	12.42	09/14/20	12.28	...	0.14	7 of 7 - Sale <sup>25</sup>
09/15/20	1.759	764.54	Various	730.38	...	34.16	Total of 7 transactions
	27 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	0.174	75.63	09/11/20	76.99	1.36 W	0.00	1 of 27 - Sale <sup>25</sup>
	0.095	41.32	09/11/20	42.25	0.93 W	0.00	2 of 27 - Sale <sup>25</sup>
	0.095	41.32	09/14/20	49.38	8.06 W	0.00	3 of 27 - Sale <sup>25</sup>
	0.088	38.32	09/14/20	41.31	2.99 W	0.00	4 of 27 - Sale <sup>25</sup>
	0.130	56.27	09/14/20	62.48	6.21 W	0.00	5 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.253	109.78	09/14/20	118.26	8.48 W	0.00	6 of 27 - Sale <sup>25</sup>
	0.289	125.63	09/14/20	139.44	13.81 W	0.00	7 of 27 - Sale <sup>25</sup>
	0.411	178.43	09/14/20	198.24	19.81 W	0.00	8 of 27 - Sale <sup>25</sup>
	0.670	291.11	09/14/20	323.28	32.17 W	0.00	9 of 27 - Sale <sup>25</sup>
	0.911	396.00	09/14/20	440.89	44.89 W	0.00	10 of 27 - Sale <sup>25</sup>
	1.153	501.17	09/14/20	556.78	55.61 W	0.00	11 of 27 - Sale <sup>25</sup>
	0.329	142.76	09/14/20	169.55	26.79 W	0.00	12 of 27 - Sale <sup>25</sup>
	0.405	175.93	09/14/20	209.07	33.14 W	0.00	13 of 27 - Sale <sup>25</sup>
	0.005	2.13	09/14/20	2.25	0.12 W	0.00	14 of 27 - Sale <sup>25</sup>
	0.095	41.15	09/14/20	43.40	2.25 W	0.00	15 of 27 - Sale <sup>25</sup>
	0.167	72.54	09/14/20	76.24	3.70 W	0.00	16 of 27 - Sale <sup>25</sup>
	0.013	5.47	09/14/20	5.64	0.17 W	0.00	17 of 27 - Sale <sup>25</sup>
	0.018	7.71	09/14/20	7.96	0.25 W	0.00	18 of 27 - Sale <sup>25</sup>
	0.065	28.14	09/14/20	29.05	0.91 W	0.00	19 of 27 - Sale <sup>25</sup>
	0.905	393.18	09/14/20	414.97	21.79 W	0.00	20 of 27 - Sale <sup>25</sup>
	0.076	33.17	09/14/20	34.80	1.63 W	0.00	21 of 27 - Sale <sup>25</sup>
	0.095	41.32	09/14/20	41.52	0.20 W	0.00	22 of 27 - Sale <sup>25</sup>
	0.233	101.22	09/14/20	102.10	0.88 W	0.00	23 of 27 - Sale <sup>25</sup>
	0.596	258.80	09/14/20	260.60	1.80 W	0.00	24 of 27 - Sale <sup>25</sup>
	0.076	33.17	09/14/20	33.31	0.14 W	0.00	25 of 27 - Sale <sup>25</sup>
	0.924	401.33	09/14/20	403.74	2.41 W	0.00	26 of 27 - Sale <sup>25</sup>
	0.076	33.16	09/14/20	33.43	0.27 W	0.00	27 of 27 - Sale <sup>25</sup>
09/15/20	8.346	3,626.16	Various	3,916.93	290.77 W	0.00	Total of 27 transactions
	5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	0.018	7.93	09/15/20	7.77	...	0.16	1 of 5 - Sale <sup>25</sup>
	0.126	56.43	09/15/20	56.19	...	0.24	2 of 5 - Sale <sup>25</sup>
	0.033	14.75	09/15/20	14.49	...	0.26	3 of 5 - Sale <sup>25</sup>
	0.113	50.52	09/15/20	49.61	...	0.91	4 of 5 - Sale <sup>25</sup>
	0.874	390.35	09/15/20	383.60	...	6.75	5 of 5 - Sale <sup>25</sup>
09/16/20	1.164	519.98	Various	511.66	...	8.32	Total of 5 transactions
	7 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	0.054	24.09	09/15/20	24.20	0.11 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.215	96.15	09/15/20	98.00	1.85 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.567	253.34	09/15/20	257.53	4.19 W	0.00	3 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.048	21.33	09/15/20	21.58	0.25 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.095	42.49	09/15/20	43.16	0.67 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.095	42.49	09/15/20	50.29	7.80 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.762	340.46	09/15/20	375.16	34.70 W	0.00	7 of 7 - Sale <sup>25</sup>
09/16/20	1.836	820.35	Various	869.92	49.57 W	0.00	Total of 7 transactions
	26 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.345	132.80	09/21/20	157.83	...	-25.03	1 of 26 - Sale <sup>25</sup>
	0.294	113.26	09/21/20	136.02	...	-22.76	2 of 26 - Sale <sup>25</sup>
	0.076	29.37	09/21/20	34.97	...	-5.60	3 of 26 - Sale <sup>25</sup>
	0.054	20.74	09/21/20	24.62	...	-3.88	4 of 26 - Sale <sup>25</sup>
	0.000	0.02	09/21/20	0.02	...	0.00	5 of 26 - Sale <sup>25</sup>
	0.481	185.20	09/22/20	264.02	...	-78.82	6 of 26 - Sale <sup>25</sup>
	0.411	158.19	09/22/20	223.21	...	-65.02	7 of 26 - Sale <sup>25</sup>
	0.293	113.05	09/22/20	159.51	...	-46.46	8 of 26 - Sale <sup>25</sup>
	0.379	145.82	09/22/20	182.26	...	-36.44	9 of 26 - Sale <sup>25</sup>
	0.198	76.26	09/22/20	104.71	...	-28.45	10 of 26 - Sale <sup>25</sup>
	0.172	66.12	09/22/20	82.30	...	-16.18	11 of 26 - Sale <sup>25</sup>
	0.156	60.00	09/22/20	75.08	...	-15.08	12 of 26 - Sale <sup>25</sup>
	0.095	36.58	09/22/20	49.01	...	-12.43	13 of 26 - Sale <sup>25</sup>
	0.215	82.79	09/22/20	93.51	...	-10.72	14 of 26 - Sale <sup>25</sup>
	0.063	24.31	09/22/20	34.34	...	-10.03	15 of 26 - Sale <sup>25</sup>
	0.191	73.38	09/22/20	82.66	...	-9.28	16 of 26 - Sale <sup>25</sup>
	0.055	21.06	09/22/20	29.12	...	-8.06	17 of 26 - Sale <sup>25</sup>
	0.045	17.30	09/22/20	23.97	...	-6.67	18 of 26 - Sale <sup>25</sup>
	0.043	16.65	09/22/20	23.03	...	-6.38	19 of 26 - Sale <sup>25</sup>
	0.055	21.19	09/22/20	26.52	...	-5.33	20 of 26 - Sale <sup>25</sup>
	0.031	11.92	09/22/20	16.85	...	-4.93	21 of 26 - Sale <sup>25</sup>
	0.079	30.47	09/22/20	34.88	...	-4.41	22 of 26 - Sale <sup>25</sup>
	0.082	31.45	09/22/20	35.64	...	-4.19	23 of 26 - Sale <sup>25</sup>
	0.048	18.37	09/22/20	20.59	...	-2.22	24 of 26 - Sale <sup>25</sup>
	0.016	6.10	09/22/20	6.86	...	-0.76	25 of 26 - Sale <sup>25</sup>
	0.004	1.33	09/22/20	1.99	...	-0.66	26 of 26 - Sale <sup>25</sup>
09/23/20	3.882	1,493.73	Various	1,923.52	...	-429.79	Total of 26 transactions

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
31 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.031	11.94	09/15/20	15.28	3.34 W	0.00	1 of 31 - Sale <sup>25</sup>
	0.198	76.16	09/15/20	94.57	18.41 W	0.00	2 of 31 - Sale <sup>25</sup>
	0.411	157.97	09/15/20	202.18	44.21 W	0.00	3 of 31 - Sale <sup>25</sup>
	0.360	138.62	09/15/20	177.39	38.77 W	0.00	4 of 31 - Sale <sup>25</sup>
	0.055	21.03	09/16/20	26.32	5.29 W	0.00	5 of 31 - Sale <sup>25</sup>
	0.088	33.93	09/16/20	42.49	8.56 W	0.00	6 of 31 - Sale <sup>25</sup>
	0.130	49.82	09/16/20	64.22	14.40 W	0.00	7 of 31 - Sale <sup>25</sup>
	0.289	111.23	09/16/20	143.32	32.09 W	0.00	8 of 31 - Sale <sup>25</sup>
	0.527	202.78	09/16/20	261.42	58.64 W	0.00	9 of 31 - Sale <sup>25</sup>
	0.911	350.60	09/16/20	453.12	102.52 W	0.00	10 of 31 - Sale <sup>25</sup>
	0.329	126.40	09/16/20	174.15	47.75 W	0.00	11 of 31 - Sale <sup>25</sup>
	0.405	155.76	09/16/20	214.74	58.98 W	0.00	12 of 31 - Sale <sup>25</sup>
	0.124	47.58	09/16/20	58.21	10.63 W	0.00	13 of 31 - Sale <sup>25</sup>
	0.143	54.96	09/16/20	70.93	15.97 W	0.00	14 of 31 - Sale <sup>25</sup>
	0.013	4.84	09/16/20	5.85	1.01 W	0.00	15 of 31 - Sale <sup>25</sup>
	0.018	6.83	09/16/20	8.25	1.42 W	0.00	16 of 31 - Sale <sup>25</sup>
	0.065	24.91	09/16/20	30.11	5.20 W	0.00	17 of 31 - Sale <sup>25</sup>
	0.076	29.37	09/16/20	36.05	6.68 W	0.00	18 of 31 - Sale <sup>25</sup>
	0.185	71.24	09/16/20	84.22	12.98 W	0.00	19 of 31 - Sale <sup>25</sup>
	0.596	229.13	09/16/20	270.40	41.27 W	0.00	20 of 31 - Sale <sup>25</sup>
	0.005	1.88	09/16/20	2.33	0.45 W	0.00	21 of 31 - Sale <sup>25</sup>
	0.043	16.64	09/16/20	20.47	3.83 W	0.00	22 of 31 - Sale <sup>25</sup>
	0.095	36.43	09/16/20	44.96	8.53 W	0.00	23 of 31 - Sale <sup>25</sup>
	0.905	348.11	09/16/20	429.86	81.75 W	0.00	24 of 31 - Sale <sup>25</sup>
	0.044	16.93	09/21/20	20.12	2.18 W	-1.01	25 of 31 - Sale <sup>25</sup>
	0.002	0.87	09/21/20	1.01	0.14 W	0.00	26 of 31 - Sale <sup>25</sup>
	0.046	17.50	09/21/20	20.39	2.89 W	0.00	27 of 31 - Sale <sup>25</sup>
	0.076	29.37	09/21/20	34.06	4.69 W	0.00	28 of 31 - Sale <sup>25</sup>
	0.095	36.58	09/21/20	42.46	5.88 W	0.00	29 of 31 - Sale <sup>25</sup>
	0.204	78.42	09/21/20	91.13	12.71 W	0.00	30 of 31 - Sale <sup>25</sup>
	0.331	127.18	09/21/20	150.86	23.68 W	0.00	31 of 31 - Sale <sup>25</sup>
09/23/20	6.798	2,615.01	Various	3,290.87	674.85 W	-1.01	Total of 31 transactions

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Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
6 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	0.405	168.81	09/28/20	228.83	...	-60.02	1 of 6 - Sale <sup>25</sup>
	0.527	219.77	09/28/20	279.76	...	-59.99	2 of 6 - Sale <sup>25</sup>
	0.430	179.25	09/28/20	228.72	...	-49.47	3 of 6 - Sale <sup>25</sup>
	0.289	120.54	09/28/20	153.38	...	-32.84	4 of 6 - Sale <sup>25</sup>
	0.219	91.47	09/28/20	123.91	...	-32.44	5 of 6 - Sale <sup>25</sup>
	0.130	54.00	09/28/20	68.73	...	-14.73	6 of 6 - Sale <sup>25</sup>
09/29/20	2.000	833.84	Various	1,083.33	...	-249.49	Total of 6 transactions
9 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	0.299	127.53	09/29/20	146.35	...	-18.82	1 of 9 - Sale <sup>25</sup>
	0.109	46.51	09/29/20	61.64	...	-15.13	2 of 9 - Sale <sup>25</sup>
	0.124	52.70	09/29/20	62.53	...	-9.83	3 of 9 - Sale <sup>25</sup>
	0.073	31.02	09/29/20	38.69	...	-7.67	4 of 9 - Sale <sup>25</sup>
	0.070	29.84	09/29/20	37.20	...	-7.36	5 of 9 - Sale <sup>25</sup>
	0.076	32.52	09/29/20	38.71	...	-6.19	6 of 9 - Sale <sup>25</sup>
	0.065	27.59	09/29/20	32.38	...	-4.79	7 of 9 - Sale <sup>25</sup>
	0.018	7.56	09/29/20	8.87	...	-1.31	8 of 9 - Sale <sup>25</sup>
	0.013	5.37	09/29/20	6.29	...	-0.92	9 of 9 - Sale <sup>25</sup>
09/30/20	0.846	360.64	Various	432.66	...	-72.02	Total of 9 transactions
16 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	0.381	166.58	10/05/20	195.79	...	-29.21	1 of 16 - Sale <sup>25</sup>
	0.341	149.12	10/05/20	175.24	...	-26.12	2 of 16 - Sale <sup>25</sup>
	0.182	79.48	10/05/20	93.42	...	-13.94	3 of 16 - Sale <sup>25</sup>
	0.196	85.38	10/05/20	96.71	...	-11.33	4 of 16 - Sale <sup>25</sup>
	0.185	80.88	10/05/20	91.29	...	-10.41	5 of 16 - Sale <sup>25</sup>
	0.204	89.03	10/05/20	98.93	...	-9.90	6 of 16 - Sale <sup>25</sup>
	0.148	64.79	10/05/20	73.01	...	-8.22	7 of 16 - Sale <sup>25</sup>
	0.148	64.62	10/05/20	72.80	...	-8.18	8 of 16 - Sale <sup>25</sup>
	0.095	41.36	10/05/20	48.58	...	-7.22	9 of 16 - Sale <sup>25</sup>
	0.095	41.53	10/05/20	46.10	...	-4.57	10 of 16 - Sale <sup>25</sup>
	0.076	33.34	10/05/20	36.98	...	-3.64	11 of 16 - Sale <sup>25</sup>
	0.043	18.89	10/05/20	22.12	...	-3.23	12 of 16 - Sale <sup>25</sup>
	0.046	19.87	10/05/20	22.14	...	-2.27	13 of 16 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.005	2.14	10/05/20	2.52	...	-0.38	14 of 16 - Sale <sup>25</sup>
	0.002	0.98	10/05/20	1.09	...	-0.11	15 of 16 - Sale <sup>25</sup>
	0.000	0.06	10/05/20	0.07	...	-0.01	16 of 16 - Sale <sup>25</sup>
10/08/20	2.148	938.05	Various	1,076.79	...	-138.74	Total of 16 transactions
10/12/20	0.062	27.57	10/08/20	31.45	3.88 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.030	13.83	10/12/20	15.49	1.66 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.073	33.42	10/12/20	37.38	3.96 W	0.00	2 of 2 - Sale <sup>25</sup>
10/14/20	0.103	47.25	Various	52.87	5.62 W	0.00	Total of 2 transactions
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	0.049	21.51	11/16/20	20.00	...	1.51	1 of 2 - Sale <sup>25</sup>
	0.055	24.08	11/16/20	22.38	...	1.70	2 of 2 - Sale <sup>25</sup>
11/17/20	0.104	45.59	Various	42.38	...	3.21	Total of 2 transactions
	4 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	0.062	27.30	11/09/20	31.73	4.43 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.030	13.24	11/09/20	15.17	1.93 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.073	31.99	11/09/20	36.61	4.62 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.175	76.72	11/09/20	78.29	1.57 W	0.00	4 of 4 - Sale <sup>25</sup>
11/17/20	0.340	149.25	Various	161.80	12.55 W	0.00	Total of 4 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	0.175	79.95	11/17/20	79.57	...	0.38	1 of 2 - Sale <sup>25</sup>
	0.096	44.17	11/17/20	43.10	...	1.07	2 of 2 - Sale <sup>25</sup>
11/18/20	0.271	124.12	Various	122.67	...	1.45	Total of 2 transactions
	3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	0.062	28.45	11/17/20	32.18	3.73 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.030	13.80	11/17/20	15.39	1.59 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.073	33.34	11/17/20	37.15	3.81 W	0.00	3 of 3 - Sale <sup>25</sup>
11/18/20	0.165	75.59	Various	84.72	9.13 W	0.00	Total of 3 transactions
	3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	0.009	4.18	11/18/20	4.14	...	0.04	1 of 3 - Sale <sup>25</sup>
	0.140	68.81	11/18/20	67.71	...	1.10	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.051	24.82	11/18/20	23.43	...	1.39	3 of 3 - Sale <sup>25</sup>
11/19/20	0.199	97.81	Various	95.28	...	2.53	Total of 3 transactions
	10 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	0.062	30.52	11/18/20	32.55	2.03 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.030	14.80	11/18/20	15.57	0.77 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.073	35.77	11/18/20	37.58	1.81 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.003	1.55	11/19/20	1.57	0.02 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.000	0.22	11/19/20	0.23	0.01 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.062	30.27	11/19/20	32.76	2.49 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.030	14.79	11/19/20	15.79	1.00 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.073	35.74	11/19/20	38.10	2.36 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.003	1.55	11/19/20	1.59	0.04 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.832	408.28	11/19/20	414.59	6.31 W	0.00	10 of 10 - Sale <sup>25</sup>
11/19/20	1.168	573.49	Various	590.33	16.84 W	0.00	Total of 10 transactions
11/25/20	0.199	110.17	11/24/20	109.98	...	0.19	Sale <sup>25</sup>
	6 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	0.000	0.24	11/24/20	0.25	0.01 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.062	34.09	11/24/20	36.05	1.96 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.030	16.65	11/24/20	17.39	0.74 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.073	40.24	11/24/20	41.98	1.74 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.003	1.75	11/24/20	1.76	0.01 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.044	24.34	11/24/20	24.86	0.52 W	0.00	6 of 6 - Sale <sup>25</sup>
11/25/20	0.212	117.31	Various	122.29	4.98 W	0.00	Total of 6 transactions
12/01/20	0.000	0.01	11/30/20	0.01	...	0.00	Sale <sup>25</sup>
	10 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	0.338	195.81	11/30/20	202.57	6.76 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.000	0.26	11/30/20	0.27	0.01 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.062	35.76	11/30/20	38.55	2.79 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.030	17.47	11/30/20	18.61	1.14 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.073	42.22	11/30/20	44.93	2.71 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.003	1.83	11/30/20	1.88	0.05 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.044	25.54	11/30/20	26.66	1.12 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.054	31.11	11/30/20	32.24	1.13 W	0.00	8 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.043	24.76	11/30/20	26.20	1.44 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.044	25.23	11/30/20	26.15	0.92 W	0.00	10 of 10 - Sale <sup>25</sup>
12/01/20	0.690	399.99	Various	418.06	18.07 W	0.00	Total of 10 transactions
12/03/20	0.051	30.43	12/02/20	29.84	...	0.59	Sale <sup>25</sup>
12/03/20	0.154	90.80	11/30/20	92.24	1.44 W	0.00	Sale <sup>25</sup>
12/08/20	0.044	27.56	12/08/20	27.30	...	0.26	Sale <sup>25</sup>
12 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	0.000	0.28	12/07/20	0.29	0.01 W	0.00	1 of 12 - Sale <sup>25</sup>
	0.062	39.01	12/07/20	42.08	3.07 W	0.00	2 of 12 - Sale <sup>25</sup>
	0.008	5.27	12/07/20	5.62	0.35 W	0.00	3 of 12 - Sale <sup>25</sup>
	0.243	154.02	12/07/20	159.99	5.97 W	0.00	4 of 12 - Sale <sup>25</sup>
	0.030	19.06	12/08/20	20.02	0.96 W	0.00	5 of 12 - Sale <sup>25</sup>
	0.064	40.78	12/08/20	42.79	2.01 W	0.00	6 of 12 - Sale <sup>25</sup>
	0.154	97.11	12/08/20	97.64	0.53 W	0.00	7 of 12 - Sale <sup>25</sup>
	0.003	2.00	12/08/20	2.03	0.03 W	0.00	8 of 12 - Sale <sup>25</sup>
	0.043	27.02	12/08/20	28.20	1.18 W	0.00	9 of 12 - Sale <sup>25</sup>
	0.044	27.86	12/08/20	28.72	0.86 W	0.00	10 of 12 - Sale <sup>25</sup>
	0.044	27.53	12/08/20	28.19	0.66 W	0.00	11 of 12 - Sale <sup>25</sup>
	0.054	33.94	12/08/20	34.75	0.81 W	0.00	12 of 12 - Sale <sup>25</sup>
12/08/20	0.749	473.88	Various	490.32	16.44 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>109,301.16</b>		<b>110,368.75</b>	<b>2,751.53 W</b>	<b>1,683.94</b>	
3M COMPANY / CUSIP: 88579Y101 / Symbol:							
05/04/20	2.000	296.28	04/29/20	312.66	...	-16.38	Sale <sup>25</sup>
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
09/16/20	2.000	76.33	09/14/20	74.96	...	1.37	Sale <sup>25</sup>
VANGUARD MATERIALS ETF / CUSIP: 92204A801 / Symbol:							
09/14/20	2.000	278.60	09/04/20	274.45	...	4.15	Sale <sup>25</sup>
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	2.000	280.30	09/04/20	276.36	...	3.94	1 of 2 - Sale <sup>25</sup>
	1.000	140.15	09/14/20	139.35	...	0.80	2 of 2 - Sale <sup>25</sup>
09/15/20	3.000	420.45	Various	415.71	...	4.74	Total of 2 transactions

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VANGUARD MATERIALS ETF / CUSIP: 92204A801 / Symbol: (cont'd)							
4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	140.55	09/14/20	139.35	...	1.20	1 of 4 - Sale <sup>25</sup>
	1.000	140.54	09/15/20	140.33	...	0.21	2 of 4 - Sale <sup>25</sup>
	2.000	281.09	09/15/20	280.68	...	0.41	3 of 4 - Sale <sup>25</sup>
	0.269	37.76	09/23/20	35.63	...	2.13	4 of 4 - Sale <sup>25</sup>
10/08/20	4.269	599.94	Various	595.99	...	3.95	Total of 4 transactions
10/08/20	0.000	0.02	09/23/20	0.04	0.02 W	0.00	Sale <sup>25</sup>
5 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	0.731	103.73	09/23/20	96.97	...	6.76	1 of 5 - Sale <sup>25</sup>
	0.000	0.04	09/30/20	0.06	...	-0.02	2 of 5 - Sale <sup>25</sup>
	0.069	9.80	09/30/20	9.28	...	0.52	3 of 5 - Sale <sup>25</sup>
	1.000	141.88	09/30/20	134.50	...	7.38	4 of 5 - Sale <sup>25</sup>
	0.108	15.37	10/02/20	14.64	...	0.73	5 of 5 - Sale <sup>25</sup>
10/12/20	1.909	270.82	Various	255.45	...	15.37	Total of 5 transactions
	<b>Security total:</b>	<b>1,569.83</b>		<b>1,541.64</b>	<b>0.02 W</b>	<b>28.21</b>	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
07/17/20	1.000	23.68	07/16/20	21.67	...	2.01	Sale <sup>25</sup>
07/23/20	4.000	107.04	07/21/20	102.28	...	4.76	Sale <sup>25</sup>
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	25.44	07/23/20	27.32	...	-1.88	1 of 2 - Sale <sup>25</sup>
	4.000	101.74	07/24/20	97.56	...	4.18	2 of 2 - Sale <sup>25</sup>
07/28/20	5.000	127.18	Various	124.88	...	2.30	Total of 2 transactions
	<b>Security total:</b>	<b>257.90</b>		<b>248.83</b>	...	<b>9.07</b>	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
18 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	25.000	17.03	06/09/20	22.88	5.85 W	0.00	1 of 18 - Sale <sup>25</sup>
	5.000	3.41	06/12/20	4.29	0.88 W	0.00	2 of 18 - Sale <sup>25</sup>
	10.000	6.81	06/12/20	8.54	1.73 W	0.00	3 of 18 - Sale <sup>25</sup>
	10.000	6.81	06/12/20	8.52	1.71 W	0.00	4 of 18 - Sale <sup>25</sup>
	10.000	6.81	06/12/20	8.35	1.54 W	0.00	5 of 18 - Sale <sup>25</sup>
	20.000	13.63	06/12/20	16.70	3.07 W	0.00	6 of 18 - Sale <sup>25</sup>

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(continued)

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol: (cont'd)							
	20.000	13.63	06/12/20	16.70	3.07 W	0.00	7 of 18 - Sale <sup>25</sup>
	20.000	13.63	06/15/20	16.22	2.59 W	0.00	8 of 18 - Sale <sup>25</sup>
	20.000	13.62	06/18/20	15.89	2.27 W	0.00	9 of 18 - Sale <sup>25</sup>
	10.000	6.81	06/18/20	7.90	1.09 W	0.00	10 of 18 - Sale <sup>25</sup>
	10.000	6.81	06/18/20	7.80	0.99 W	0.00	11 of 18 - Sale <sup>25</sup>
	20.000	13.63	06/22/20	15.12	1.49 W	0.00	12 of 18 - Sale <sup>25</sup>
	20.000	13.63	06/22/20	15.04	1.41 W	0.00	13 of 18 - Sale <sup>25</sup>
	20.000	13.62	06/22/20	19.15	5.53 W	0.00	14 of 18 - Sale <sup>25</sup>
	5.000	3.41	06/22/20	4.82	1.41 W	0.00	15 of 18 - Sale <sup>25</sup>
	5.000	3.40	06/22/20	4.53	1.13 W	0.00	16 of 18 - Sale <sup>25</sup>
	10.000	6.81	06/22/20	9.03	2.22 W	0.00	17 of 18 - Sale <sup>25</sup>
	10.000	6.81	06/22/20	9.01	2.20 W	0.00	18 of 18 - Sale <sup>25</sup>
07/16/20	250.000	170.31	Various	210.49	40.18 W	0.00	Total of 18 transactions
	13 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	20.000	13.92	07/02/20	14.37	...	-0.45	1 of 13 - Sale <sup>25</sup>
	20.000	13.92	07/13/20	16.52	...	-2.60	2 of 13 - Sale <sup>25</sup>
	10.000	6.96	07/13/20	8.22	...	-1.26	3 of 13 - Sale <sup>25</sup>
	20.000	13.93	07/16/20	19.33	...	-5.40	4 of 13 - Sale <sup>25</sup>
	10.000	6.96	07/16/20	9.12	...	-2.16	5 of 13 - Sale <sup>25</sup>
	10.000	6.96	07/16/20	9.10	...	-2.14	6 of 13 - Sale <sup>25</sup>
	5.000	3.48	07/16/20	4.86	...	-1.38	7 of 13 - Sale <sup>25</sup>
	20.000	13.93	07/16/20	15.29	...	-1.36	8 of 13 - Sale <sup>25</sup>
	20.000	13.93	07/16/20	15.21	...	-1.28	9 of 13 - Sale <sup>25</sup>
	5.000	3.48	07/16/20	4.58	...	-1.10	10 of 13 - Sale <sup>25</sup>
	10.000	6.96	07/16/20	7.89	...	-0.93	11 of 13 - Sale <sup>25</sup>
	50.000	34.81	07/16/20	34.50	...	0.31	12 of 13 - Sale <sup>25</sup>
	50.000	34.81	07/17/20	33.10	...	1.71	13 of 13 - Sale <sup>25</sup>
07/23/20	250.000	174.05	Various	192.09	...	-18.04	Total of 13 transactions
	4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	20.000	13.92	07/01/20	14.87	0.48 W	-0.47	1 of 4 - Sale <sup>25</sup>
	5.000	3.48	07/01/20	3.71	0.23 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	6.96	07/01/20	7.42	0.46 W	0.00	3 of 4 - Sale <sup>25</sup>
	15.000	10.44	07/01/20	11.15	0.71 W	0.00	4 of 4 - Sale <sup>25</sup>
07/23/20	50.000	34.80	Various	37.15	1.88 W	-0.47	Total of 4 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol: (cont'd)							
<b>Security total:</b>		<b>379.16</b>		<b>439.73</b>	<b>42.06 W</b>	<b>-18.51</b>	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
16 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.750	3.66	07/23/20	7.44	3.78 W	0.00	1 of 16 - Sale <sup>25</sup>
	0.700	1.46	07/27/20	2.89	1.43 W	0.00	2 of 16 - Sale <sup>25</sup>
	0.175	0.37	07/31/20	0.58	0.21 W	0.00	3 of 16 - Sale <sup>25</sup>
	0.875	1.83	07/31/20	2.87	1.04 W	0.00	4 of 16 - Sale <sup>25</sup>
	1.750	3.66	07/31/20	5.73	2.07 W	0.00	5 of 16 - Sale <sup>25</sup>
	1.750	3.66	07/31/20	5.74	2.08 W	0.00	6 of 16 - Sale <sup>25</sup>
	1.667	3.48	08/03/20	4.76	1.28 W	0.00	7 of 16 - Sale <sup>25</sup>
	3.333	6.97	08/03/20	9.03	2.06 W	0.00	8 of 16 - Sale <sup>25</sup>
	1.667	3.48	08/03/20	5.55	2.07 W	0.00	9 of 16 - Sale <sup>25</sup>
	3.333	6.96	08/03/20	11.19	4.23 W	0.00	10 of 16 - Sale <sup>25</sup>
	0.667	1.39	08/03/20	2.82	1.43 W	0.00	11 of 16 - Sale <sup>25</sup>
	3.333	6.97	08/03/20	13.98	7.01 W	0.00	12 of 16 - Sale <sup>25</sup>
	0.167	0.35	08/03/20	0.71	0.36 W	0.00	13 of 16 - Sale <sup>25</sup>
	0.833	1.74	08/03/20	3.25	1.51 W	0.00	14 of 16 - Sale <sup>25</sup>
	1.667	3.48	08/03/20	6.45	2.97 W	0.00	15 of 16 - Sale <sup>25</sup>
	1.333	2.79	08/03/20	5.14	2.35 W	0.00	16 of 16 - Sale <sup>25</sup>
08/19/20	25.000	52.25	Various	88.13	35.88 W	0.00	Total of 16 transactions
18 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	0.030	0.06	08/13/20	0.09	...	-0.03	1 of 18 - Sale <sup>25</sup>
	3.333	6.37	08/19/20	13.99	...	-7.62	2 of 18 - Sale <sup>25</sup>
	3.333	6.37	08/19/20	11.23	...	-4.86	3 of 18 - Sale <sup>25</sup>
	1.750	3.34	08/19/20	7.92	...	-4.58	4 of 18 - Sale <sup>25</sup>
	1.667	3.18	08/19/20	6.46	...	-3.28	5 of 18 - Sale <sup>25</sup>
	3.303	6.31	08/19/20	8.98	...	-2.67	6 of 18 - Sale <sup>25</sup>
	1.333	2.55	08/19/20	5.14	...	-2.59	7 of 18 - Sale <sup>25</sup>
	1.500	2.87	08/19/20	5.31	...	-2.44	8 of 18 - Sale <sup>25</sup>
	0.700	1.34	08/19/20	3.08	...	-1.74	9 of 18 - Sale <sup>25</sup>
	0.833	1.59	08/19/20	3.26	...	-1.67	10 of 18 - Sale <sup>25</sup>
	0.667	1.28	08/19/20	2.83	...	-1.55	11 of 18 - Sale <sup>25</sup>
	1.000	1.91	08/19/20	3.34	...	-1.43	12 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	0.875	1.67	08/19/20	3.10	...	-1.43	13 of 18 - Sale <sup>25</sup>
	1.000	1.91	08/19/20	3.31	...	-1.40	14 of 18 - Sale <sup>25</sup>
	0.667	1.27	08/19/20	2.23	...	-0.96	15 of 18 - Sale <sup>25</sup>
	0.333	0.64	08/19/20	1.35	...	-0.71	16 of 18 - Sale <sup>25</sup>
	0.167	0.32	08/19/20	0.71	...	-0.39	17 of 18 - Sale <sup>25</sup>
	0.175	0.33	08/19/20	0.63	...	-0.30	18 of 18 - Sale <sup>25</sup>
08/20/20	22.667	43.31	Various	82.96	...	-39.65	Total of 18 transactions
	14 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	0.333	0.64	08/03/20	1.29	0.65 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.667	3.18	08/03/20	5.22	2.04 W	0.00	2 of 14 - Sale <sup>25</sup>
	0.667	1.27	08/03/20	1.98	0.71 W	0.00	3 of 14 - Sale <sup>25</sup>
	3.333	6.37	08/03/20	9.94	3.57 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.667	3.18	08/13/20	4.94	0.70 W	-1.06	5 of 14 - Sale <sup>25</sup>
	1.750	3.34	08/13/20	7.59	4.25 W	0.00	6 of 14 - Sale <sup>25</sup>
	0.700	1.34	08/13/20	2.95	1.61 W	0.00	7 of 14 - Sale <sup>25</sup>
	0.175	0.33	08/13/20	0.59	0.26 W	0.00	8 of 14 - Sale <sup>25</sup>
	0.875	1.67	08/13/20	2.94	1.27 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.083	2.07	08/13/20	3.65	1.58 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.750	3.34	08/13/20	5.88	2.54 W	0.00	11 of 14 - Sale <sup>25</sup>
	2.667	5.09	08/13/20	6.82	1.73 W	0.00	12 of 14 - Sale <sup>25</sup>
	0.364	0.69	08/13/20	1.23	0.54 W	0.00	13 of 14 - Sale <sup>25</sup>
	0.303	0.58	08/13/20	1.03	0.45 W	0.00	14 of 14 - Sale <sup>25</sup>
08/20/20	17.333	33.09	Various	56.05	21.90 W	-1.06	Total of 14 transactions
	10 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	3.333	6.41	08/19/20	10.55	...	-4.14	1 of 10 - Sale <sup>25</sup>
	2.667	5.14	08/19/20	7.30	...	-2.16	2 of 10 - Sale <sup>25</sup>
	1.000	1.92	08/19/20	3.55	...	-1.63	3 of 10 - Sale <sup>25</sup>
	0.667	1.28	08/19/20	2.22	...	-0.94	4 of 10 - Sale <sup>25</sup>
	0.667	1.28	08/19/20	2.11	...	-0.83	5 of 10 - Sale <sup>25</sup>
	0.667	1.28	08/19/20	2.10	...	-0.82	6 of 10 - Sale <sup>25</sup>
	0.364	0.70	08/19/20	1.30	...	-0.60	7 of 10 - Sale <sup>25</sup>
	0.303	0.58	08/19/20	1.08	...	-0.50	8 of 10 - Sale <sup>25</sup>
	0.250	0.48	08/19/20	0.89	...	-0.41	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
08/21/20	0.083	0.16	08/19/20	0.29	...	-0.13	10 of 10 - Sale <sup>25</sup>
	10.000	19.23	Various	31.39	...	-12.16	Total of 10 transactions
	<b>Security total:</b>	<b>147.88</b>		<b>258.53</b>	<b>57.78 W</b>	<b>-52.87</b>	
VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
06/18/20	10.000	457.00	06/09/20	459.10	2.10 W	0.00	Sale <sup>25</sup>
	8 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	2.000	96.35	06/11/20	85.88	...	10.47	1 of 8 - Sale <sup>25</sup>
	1.000	48.17	06/12/20	44.54	...	3.63	2 of 8 - Sale <sup>25</sup>
	1.000	48.17	06/12/20	43.21	...	4.96	3 of 8 - Sale <sup>25</sup>
	2.000	96.35	06/12/20	88.42	...	7.93	4 of 8 - Sale <sup>25</sup>
	2.000	96.35	06/12/20	87.42	...	8.93	5 of 8 - Sale <sup>25</sup>
	2.000	96.35	06/12/20	86.92	...	9.43	6 of 8 - Sale <sup>25</sup>
	2.000	96.34	06/12/20	85.34	...	11.00	7 of 8 - Sale <sup>25</sup>
	2.000	96.35	06/12/20	84.00	...	12.35	8 of 8 - Sale <sup>25</sup>
06/22/20	14.000	674.43	Various	605.73	...	68.70	Total of 8 transactions
	<b>Security total:</b>	<b>1,131.43</b>		<b>1,064.83</b>	<b>2.10 W</b>	<b>68.70</b>	
WALMART INC. / CUSIP: 931142103 / Symbol:							
	4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	0.500	68.05	08/27/20	68.17	...	-0.12	1 of 4 - Sale <sup>25</sup>
	1.000	136.10	08/28/20	140.32	...	-4.22	2 of 4 - Sale <sup>25</sup>
	1.000	136.10	08/28/20	138.97	...	-2.87	3 of 4 - Sale <sup>25</sup>
	0.500	68.06	08/28/20	70.20	...	-2.14	4 of 4 - Sale <sup>25</sup>
08/31/20	3.000	408.31	Various	417.66	...	-9.35	Total of 4 transactions
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
	6 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	5.000	132.01	06/09/20	159.50	...	-27.49	1 of 6 - Sale <sup>25</sup>
	2.000	52.80	06/11/20	55.67	...	-2.87	2 of 6 - Sale <sup>25</sup>
	1.000	26.40	06/11/20	27.96	...	-1.56	3 of 6 - Sale <sup>25</sup>
	2.000	52.80	06/15/20	56.01	...	-3.21	4 of 6 - Sale <sup>25</sup>
	5.000	132.01	06/18/20	137.85	...	-5.84	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol: (cont'd)							
	5.000	132.02	07/01/20	125.35	...	6.67	6 of 6 - Sale <sup>25</sup>
07/24/20	20.000	528.04	Various	562.34	...	-34.30	Total of 6 transactions
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.100	21.43	08/26/20	21.06	...	0.37	1 of 3 - Sale <sup>25</sup>
	0.375	80.30	08/26/20	78.94	...	1.36	2 of 3 - Sale <sup>25</sup>
	0.625	133.94	08/26/20	132.15	...	1.79	3 of 3 - Sale <sup>25</sup>
08/27/20	1.100	235.67	Various	232.15	...	3.52	Total of 3 transactions
5 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	0.054	13.16	08/26/20	11.58	...	1.58	1 of 5 - Sale <sup>25</sup>
	0.179	43.66	08/26/20	38.42	...	5.24	2 of 5 - Sale <sup>25</sup>
	0.321	78.29	08/26/20	67.85	...	10.44	3 of 5 - Sale <sup>25</sup>
	0.446	108.78	08/27/20	97.57	...	11.21	4 of 5 - Sale <sup>25</sup>
	0.500	121.94	08/27/20	107.91	...	14.03	5 of 5 - Sale <sup>25</sup>
08/28/20	1.500	365.83	Various	323.33	...	42.50	Total of 5 transactions
5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.554	136.07	08/27/20	121.18	...	14.89	1 of 5 - Sale <sup>25</sup>
	2.000	491.25	08/28/20	495.67	...	-4.42	2 of 5 - Sale <sup>25</sup>
	2.000	491.25	08/28/20	494.27	...	-3.02	3 of 5 - Sale <sup>25</sup>
	0.946	232.38	08/28/20	233.87	...	-1.49	4 of 5 - Sale <sup>25</sup>
	0.500	122.81	08/28/20	120.65	...	2.16	5 of 5 - Sale <sup>25</sup>
08/31/20	6.000	1,473.76	Various	1,465.64	...	8.12	Total of 5 transactions
08/31/20	2.000	491.25	08/28/20	491.87	0.62 W	0.00	Sale <sup>25</sup>
09/04/20	2.000	438.78	09/02/20	468.26	...	-29.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,005.29</b>		<b>2,981.25</b>	<b>0.62 W</b>	<b>24.66</b>	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/14/20	6.000	97.50	06/30/20	108.29	10.79 W	0.00	Sale <sup>25</sup>
8 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	4.000	63.80	07/01/20	80.00	...	-16.20	1 of 8 - Sale <sup>25</sup>
	1.000	15.95	07/01/20	21.80	...	-5.85	2 of 8 - Sale <sup>25</sup>
	2.000	31.90	07/07/20	30.70	...	1.20	3 of 8 - Sale <sup>25</sup>
	2.000	31.90	07/07/20	30.60	...	1.30	4 of 8 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	31.90	07/07/20	30.54	...	1.36	5 of 8 - Sale <sup>25</sup>
	4.000	63.80	07/10/20	59.16	...	4.64	6 of 8 - Sale <sup>25</sup>
	5.000	79.75	07/13/20	82.40	...	-2.65	7 of 8 - Sale <sup>25</sup>
	1.000	15.95	07/13/20	16.47	...	-0.52	8 of 8 - Sale <sup>25</sup>
07/23/20	21.000	334.95	Various	351.67	...	-16.72	Total of 8 transactions
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	5.000	79.75	06/30/20	92.89	2.63 W	-10.51	1 of 2 - Sale <sup>25</sup>
	4.000	63.80	06/30/20	72.20	8.40 W	0.00	2 of 2 - Sale <sup>25</sup>
07/23/20	9.000	143.55	Various	165.09	11.03 W	-10.51	Total of 2 transactions
	4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	16.39	07/23/20	18.06	...	-1.67	1 of 4 - Sale <sup>25</sup>
	2.000	32.78	07/28/20	36.98	...	-4.20	2 of 4 - Sale <sup>25</sup>
	1.000	16.39	07/28/20	19.05	...	-2.66	3 of 4 - Sale <sup>25</sup>
	1.000	16.39	07/28/20	18.52	...	-2.13	4 of 4 - Sale <sup>25</sup>
07/30/20	5.000	81.95	Various	92.61	...	-10.66	Total of 4 transactions
	<b>Security total:</b>	<b>657.95</b>		<b>717.66</b>	<b>21.82 W</b>	<b>-37.89</b>	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
	15 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	6.667	24.70	06/05/20	54.60	29.90 W	0.00	1 of 15 - Sale <sup>25</sup>
	5.000	18.52	06/05/20	41.25	22.73 W	0.00	2 of 15 - Sale <sup>25</sup>
	3.333	12.35	06/05/20	22.00	9.65 W	0.00	3 of 15 - Sale <sup>25</sup>
	6.667	24.70	06/08/20	41.40	16.70 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.667	6.17	06/08/20	10.20	4.03 W	0.00	5 of 15 - Sale <sup>25</sup>
	3.333	12.35	06/09/20	20.90	8.55 W	0.00	6 of 15 - Sale <sup>25</sup>
	3.333	12.35	06/09/20	20.05	7.70 W	0.00	7 of 15 - Sale <sup>25</sup>
	10.000	37.05	06/11/20	46.70	9.65 W	0.00	8 of 15 - Sale <sup>25</sup>
	10.000	37.05	06/11/20	46.55	9.50 W	0.00	9 of 15 - Sale <sup>25</sup>
	10.000	37.05	06/11/20	47.05	10.00 W	0.00	10 of 15 - Sale <sup>25</sup>
	3.333	12.37	06/15/20	33.32	20.95 W	0.00	11 of 15 - Sale <sup>25</sup>
	6.667	24.73	06/15/20	66.23	41.50 W	0.00	12 of 15 - Sale <sup>25</sup>
	1.667	6.19	06/16/20	16.54	10.35 W	0.00	13 of 15 - Sale <sup>25</sup>
	3.333	12.37	06/16/20	27.58	15.21 W	0.00	14 of 15 - Sale <sup>25</sup>

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(continued)

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XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U703 / Symbol: (cont'd)							
07/13/20	5.000	18.56	06/16/20	39.41	20.85 W	0.00	15 of 15 - Sale <sup>25</sup>
	80.000	296.51	Various	533.78	237.27 W	0.00	Total of 15 transactions
	13 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.667	4.87	06/24/20	12.40	7.53 W	0.00	1 of 13 - Sale <sup>25</sup>
	1.667	4.87	06/24/20	12.25	7.38 W	0.00	2 of 13 - Sale <sup>25</sup>
	3.333	9.73	06/24/20	24.98	15.25 W	0.00	3 of 13 - Sale <sup>25</sup>
	3.333	9.73	06/24/20	24.13	14.40 W	0.00	4 of 13 - Sale <sup>25</sup>
	10.000	29.20	06/24/20	58.95	29.75 W	0.00	5 of 13 - Sale <sup>25</sup>
	10.000	29.20	07/07/20	47.80	18.60 W	0.00	6 of 13 - Sale <sup>25</sup>
	3.333	9.73	07/13/20	33.33	23.60 W	0.00	7 of 13 - Sale <sup>25</sup>
	6.667	19.47	07/13/20	66.27	46.80 W	0.00	8 of 13 - Sale <sup>25</sup>
	1.667	4.87	07/13/20	16.54	11.67 W	0.00	9 of 13 - Sale <sup>25</sup>
	3.333	9.73	07/13/20	27.59	17.86 W	0.00	10 of 13 - Sale <sup>25</sup>
	5.000	14.60	07/13/20	39.43	24.83 W	0.00	11 of 13 - Sale <sup>25</sup>
	10.000	29.20	07/13/20	47.15	17.95 W	0.00	12 of 13 - Sale <sup>25</sup>
	40.000	116.79	07/13/20	148.60	31.81 W	0.00	13 of 13 - Sale <sup>25</sup>
07/14/20	100.000	291.99	Various	559.42	267.43 W	0.00	Total of 13 transactions
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	20.000	59.70	07/17/20	71.41	...	-11.71	1 of 2 - Sale <sup>25</sup>
	30.000	89.55	07/17/20	83.25	...	6.30	2 of 2 - Sale <sup>25</sup>
07/23/20	50.000	149.25	Various	154.66	...	-5.41	Total of 2 transactions
	14 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	20.000	59.70	07/13/20	90.10	1.52 W	-28.88	1 of 14 - Sale <sup>25</sup>
	1.667	4.97	07/13/20	13.72	8.75 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.667	4.97	07/13/20	13.57	8.60 W	0.00	3 of 14 - Sale <sup>25</sup>
	3.333	9.95	07/13/20	27.63	17.68 W	0.00	4 of 14 - Sale <sup>25</sup>
	3.333	9.95	07/13/20	26.78	16.83 W	0.00	5 of 14 - Sale <sup>25</sup>
	10.000	29.85	07/13/20	66.90	37.05 W	0.00	6 of 14 - Sale <sup>25</sup>
	10.000	29.85	07/13/20	55.75	25.90 W	0.00	7 of 14 - Sale <sup>25</sup>
	20.000	59.70	07/13/20	74.31	14.61 W	0.00	8 of 14 - Sale <sup>25</sup>
	3.333	9.95	07/13/20	35.97	26.02 W	0.00	9 of 14 - Sale <sup>25</sup>
	6.667	19.90	07/13/20	71.53	51.63 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.667	4.97	07/13/20	17.85	12.88 W	0.00	11 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol: (cont'd)							
	3.333	9.95	07/13/20	30.23	20.28 W	0.00	12 of 14 - Sale <sup>25</sup>
	5.000	14.92	07/13/20	43.38	28.46 W	0.00	13 of 14 - Sale <sup>25</sup>
	10.000	29.85	07/13/20	55.05	25.20 W	0.00	14 of 14 - Sale <sup>25</sup>
07/23/20	100.000	298.48	Various	622.77	295.41 W	-28.88	Total of 14 transactions
	17 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	5.667	20.14	07/23/20	63.72	...	-43.58	1 of 17 - Sale <sup>25</sup>
	10.000	35.55	07/23/20	73.45	...	-37.90	2 of 17 - Sale <sup>25</sup>
	10.000	35.55	07/23/20	62.30	...	-26.75	3 of 17 - Sale <sup>25</sup>
	3.333	11.85	07/23/20	37.69	...	-25.84	4 of 17 - Sale <sup>25</sup>
	3.333	11.85	07/23/20	29.81	...	-17.96	5 of 17 - Sale <sup>25</sup>
	3.333	11.85	07/23/20	28.96	...	-17.11	6 of 17 - Sale <sup>25</sup>
	20.000	71.10	07/23/20	87.41	...	-16.31	7 of 17 - Sale <sup>25</sup>
	1.667	5.93	07/23/20	14.67	...	-8.74	8 of 17 - Sale <sup>25</sup>
	1.000	3.55	07/23/20	11.38	...	-7.83	9 of 17 - Sale <sup>25</sup>
	1.000	3.55	07/23/20	11.23	...	-7.68	10 of 17 - Sale <sup>25</sup>
	1.000	3.55	07/23/20	8.24	...	-4.69	11 of 17 - Sale <sup>25</sup>
	0.667	2.37	07/23/20	5.93	...	-3.56	12 of 17 - Sale <sup>25</sup>
	5.000	17.78	07/28/20	44.76	...	-26.98	13 of 17 - Sale <sup>25</sup>
	10.000	35.55	07/28/20	57.80	...	-22.25	14 of 17 - Sale <sup>25</sup>
	3.333	11.85	07/28/20	31.15	...	-19.30	15 of 17 - Sale <sup>25</sup>
	0.667	2.37	07/28/20	7.32	...	-4.95	16 of 17 - Sale <sup>25</sup>
	1.000	3.55	07/28/20	4.78	...	-1.23	17 of 17 - Sale <sup>25</sup>
07/29/20	81.000	287.94	Various	580.60	...	-292.66	Total of 17 transactions
	<b>Security total:</b>	<b>1,324.17</b>		<b>2,451.23</b>	<b>800.11 W</b>	<b>-326.95</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
	2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	0.113	50.00	08/31/20	40.30	...	9.70	1 of 2 - Sale <sup>25</sup>
	0.233	100.00	08/31/20	82.75	...	17.25	2 of 2 - Sale <sup>25</sup>
09/01/20	0.346	150.00	Various	123.05	...	26.95	Total of 2 transactions
	5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	0.654	286.08	08/31/20	232.85	...	53.23	1 of 5 - Sale <sup>25</sup>
	4.000	1,750.42	09/01/20	1,777.39	...	-26.97	2 of 5 - Sale <sup>25</sup>
	0.346	151.17	09/01/20	153.63	...	-2.46	3 of 5 - Sale <sup>25</sup>

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Account 722598554

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	0.132	57.61	09/01/20	58.67	...	-1.06	4 of 5 - Sale <sup>25</sup>
	0.023	10.12	09/01/20	10.31	...	-0.19	5 of 5 - Sale <sup>25</sup>
09/02/20	5.155	2,255.40	Various	2,232.85	...	22.55	Total of 5 transactions
	4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	0.000	0.04	10/15/20	0.04	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.000	0.27	10/15/20	0.26	...	0.01	2 of 4 - Sale <sup>25</sup>
	0.277	155.42	10/15/20	148.98	...	6.44	3 of 4 - Sale <sup>25</sup>
	3.723	2,087.42	10/15/20	1,999.74	...	87.68	4 of 4 - Sale <sup>25</sup>
10/16/20	4.000	2,243.15	Various	2,149.02	...	94.13	Total of 4 transactions
	2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	0.000	0.07	10/16/20	0.07	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.425	243.68	10/16/20	239.88	...	3.80	2 of 2 - Sale <sup>25</sup>
10/19/20	0.425	243.75	Various	239.95	...	3.80	Total of 2 transactions
	5 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	0.417	173.32	11/30/20	200.00	26.68 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.001	0.29	11/30/20	0.34	0.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.270	112.41	11/30/20	129.62	17.21 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.422	175.53	11/30/20	200.00	24.47 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.390	162.43	11/30/20	184.91	22.48 W	0.00	5 of 5 - Sale <sup>25</sup>
12/01/20	1.500	623.98	Various	714.87	90.89 W	0.00	Total of 5 transactions
	5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	0.242	99.53	12/01/20	114.02	...	-14.49	1 of 5 - Sale <sup>25</sup>
	0.165	68.02	12/01/20	76.03	...	-8.01	2 of 5 - Sale <sup>25</sup>
	0.083	34.35	12/01/20	38.35	...	-4.00	3 of 5 - Sale <sup>25</sup>
	0.022	8.86	12/01/20	9.89	...	-1.03	4 of 5 - Sale <sup>25</sup>
	0.015	6.15	12/01/20	7.02	...	-0.87	5 of 5 - Sale <sup>25</sup>
12/03/20	0.527	216.91	Various	245.31	...	-28.40	Total of 5 transactions
	8 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	0.060	24.56	11/30/20	32.06	7.50 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.063	26.08	11/30/20	34.05	7.97 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.091	37.47	11/30/20	49.43	11.96 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.001	0.24	11/30/20	0.32	0.08 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.002	0.96	11/30/20	1.26	0.30 W	0.00	5 of 8 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	0.270	111.24	12/01/20	128.49	1.37 W	-15.88	6 of 8 - Sale <sup>25</sup>
	0.001	0.29	12/01/20	0.34	0.05 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.200	82.25	12/01/20	95.08	12.83 W	0.00	8 of 8 - Sale <sup>25</sup>
12/03/20	0.688	283.09	Various	341.03	42.06 W	-15.88	Total of 8 transactions
	11 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	0.200	83.10	12/01/20	93.23	...	-10.13	1 of 11 - Sale <sup>25</sup>
	0.227	94.48	12/01/20	104.43	...	-9.95	2 of 11 - Sale <sup>25</sup>
	0.067	28.01	12/01/20	35.94	...	-7.93	3 of 11 - Sale <sup>25</sup>
	0.063	26.33	12/01/20	33.44	...	-7.11	4 of 11 - Sale <sup>25</sup>
	0.060	24.79	12/01/20	31.49	...	-6.70	5 of 11 - Sale <sup>25</sup>
	0.024	9.82	12/01/20	12.62	...	-2.80	6 of 11 - Sale <sup>25</sup>
	0.058	24.24	12/01/20	26.81	...	-2.57	7 of 11 - Sale <sup>25</sup>
	0.022	8.95	12/01/20	10.02	...	-1.07	8 of 11 - Sale <sup>25</sup>
	0.002	0.96	12/01/20	1.23	...	-0.27	9 of 11 - Sale <sup>25</sup>
	0.001	0.24	12/01/20	0.31	...	-0.07	10 of 11 - Sale <sup>25</sup>
	0.001	0.29	12/01/20	0.33	...	-0.04	11 of 11 - Sale <sup>25</sup>
12/04/20	0.724	301.21	Various	349.85	...	-48.64	Total of 11 transactions
	<b>Security total:</b>	<b>6,317.49</b>		<b>6,395.93</b>	<b>132.95 W</b>	<b>54.51</b>	
ACCENTURE PLC / CUSIP: G1151C101 / Symbol:							
05/20/20	5.000	971.82	05/19/20	957.46	...	14.36	Sale <sup>25</sup>
05/26/20	4.000	791.14	05/20/20	778.31	...	12.83	Sale <sup>25</sup>
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	4.000	824.33	06/04/20	818.00	...	6.33	1 of 2 - Sale <sup>25</sup>
	1.000	206.08	06/18/20	202.93	...	3.15	2 of 2 - Sale <sup>25</sup>
06/19/20	5.000	1,030.41	Various	1,020.93	...	9.48	Total of 2 transactions
07/31/20	2.000	446.64	07/28/20	445.72	...	0.92	Sale <sup>25</sup>
	2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	4.000	948.77	09/02/20	975.04	...	-26.27	1 of 2 - Sale <sup>25</sup>
	2.000	474.39	09/02/20	491.60	...	-17.21	2 of 2 - Sale <sup>25</sup>
09/04/20	6.000	1,423.16	Various	1,466.64	...	-43.48	Total of 2 transactions
	<b>Security total:</b>	<b>4,663.17</b>		<b>4,669.06</b>	<b>...</b>	<b>-5.89</b>	

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(continued)

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LINDE PLC ORDINARY SHARE / CUSIP: G5494J103 / Symbol:							
05/29/20	5.000	1,003.04	05/20/20	979.62	...	23.42	Sale <sup>25</sup>
07/29/20	2.000	489.24	07/28/20	489.57	...	-0.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,492.28</b>		<b>1,469.19</b>	...	<b>23.09</b>	
JFROG LTD. ORDINARY SHARES / CUSIP: M6191J100 / Symbol:							
	<i>3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	382.90	09/16/20	349.85	...	33.05	1 of 3 - Sale <sup>25</sup>
	0.515	39.42	09/21/20	33.98	...	5.44	2 of 3 - Sale <sup>25</sup>
	1.000	76.58	09/21/20	66.02	...	10.56	3 of 3 - Sale <sup>25</sup>
09/22/20	6.515	498.90	Various	449.85	...	49.05	Total of 3 transactions
	<i>2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>						
	4.000	348.99	09/28/20	324.48	...	24.51	1 of 2 - Sale <sup>25</sup>
	7.722	673.70	09/29/20	696.38	...	-22.68	2 of 2 - Sale <sup>25</sup>
09/30/20	11.722	1,022.69	Various	1,020.86	...	1.83	Total of 2 transactions
	<b>Security total:</b>	<b>1,521.59</b>		<b>1,470.71</b>	...	<b>50.88</b>	
WAVE LIFE SCIENCES LTD. ORDINARY SHARES / CUSIP: Y95308105 / Symbol:							
09/14/20	10.973	156.84	09/11/20	146.57	...	10.27	Sale <sup>25</sup>
	<i>2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>						
	4.000	58.41	09/11/20	53.43	...	4.98	1 of 2 - Sale <sup>25</sup>
	2.000	29.20	09/14/20	28.70	...	0.50	2 of 2 - Sale <sup>25</sup>
09/15/20	6.000	87.61	Various	82.13	...	5.48	Total of 2 transactions
	<i>2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>						
	4.000	65.47	09/15/20	63.72	...	1.75	1 of 2 - Sale <sup>25</sup>
	2.000	32.74	09/15/20	30.53	...	2.21	2 of 2 - Sale <sup>25</sup>
09/16/20	6.000	98.21	Various	94.25	...	3.96	Total of 2 transactions
	<i>2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.</i>						
	4.000	67.08	09/15/20	63.70	...	3.38	1 of 2 - Sale <sup>25</sup>
	4.000	67.09	09/16/20	65.44	...	1.65	2 of 2 - Sale <sup>25</sup>
09/21/20	8.000	134.17	Various	129.14	...	5.03	Total of 2 transactions
09/21/20	2.000	33.54	09/16/20	36.42	2.88 W	0.00	Sale <sup>25</sup>

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WAVE LIFE SCIENCES LTD. ORDINARY SHARES / CUSIP: Y95308105 / Symbol: (cont'd)							
<i>3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.389	21.98	09/21/20	23.60	...	-1.62	1 of 3 - Sale <sup>25</sup>
	0.541	8.55	09/21/20	9.57	...	-1.02	2 of 3 - Sale <sup>25</sup>
	0.070	1.11	09/21/20	1.26	...	-0.15	3 of 3 - Sale <sup>25</sup>
09/22/20	2.000	31.64	Various	34.43	...	-2.79	Total of 3 transactions
09/22/20	0.541	8.55	09/21/20	9.72	1.17 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>550.56</b>		<b>532.66</b>	<b>4.05 W</b>	<b>21.95</b>	
<b>Totals :</b>		<b>577,993.80</b>		<b>623,685.86</b>	<b>39,870.16 W</b>	<b>-5,821.90</b>	

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## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102		05/01/20	10.40	Qualified dividend	
ABERDEEN ASIA-PACIFIC INCOME F UND, INC.	003009107		08/31/20	0.83	Nondividend distribution	03
			08/31/20	0.12	Nonqualified dividend	03
			08/31/20	0.03	Long-term capital gain	03
			08/31/20	-0.05	Foreign tax withheld-Various	03
			09/30/20	0.25	Nondividend distribution	03
			09/30/20	0.03	Nonqualified dividend	03
			09/30/20	0.01	Long-term capital gain	03
			09/30/20	-0.01	Foreign tax withheld-Various	03
			10/30/20	0.25	Nondividend distribution	03
			10/30/20	0.03	Nonqualified dividend	03
			10/30/20	0.01	Long-term capital gain	03
			10/30/20	-0.01	Foreign tax withheld-Various	03
			<b>1.56</b>	<b>Total Dividends &amp; distributions</b>		
			<b>-0.07</b>	<b>Total Foreign tax withheld</b>		
APPLE INC. COMMON STOCK	037833100		08/13/20	8.20	Qualified dividend	
BANK OF AMERICA CORPORATION	060505104		03/27/20	0.18	Qualified dividend	
BARRICK GOLD CORP.	067901108		12/15/20	0.45	Nonqualified dividend	
			12/16/20	-0.07	Foreign tax withheld-CA	
			<b>0.45</b>	<b>Total Dividends &amp; distributions</b>		
			<b>-0.07</b>	<b>Total Foreign tax withheld</b>		
BLACKROCK MULTI-SECTOR INCOME TRUST	09258A107		08/31/20	0.55	Nondividend distribution	03
			08/31/20	0.54	Nonqualified dividend	03
			08/31/20	0.15	Qualified dividend	03
			09/30/20	0.33	Nondividend distribution	03
			09/30/20	0.32	Nonqualified dividend	03
			09/30/20	0.09	Qualified dividend	03
			10/30/20	0.33	Nondividend distribution	03

Robinhood Securities LLC

Account 722598554

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BLACKROCK MULTI-SECTOR INCOME TRUST (cont'd)			10/30/20	0.32	Nonqualified dividend	03
			10/30/20	0.09	Qualified dividend	03
				<b>2.72</b>	<b>Total Dividends &amp; distributions</b>	
CANADIAN IMPERIAL BANK OF COMM ERCE	136069101		07/28/20	2.18	Nonqualified dividend	
			07/28/20	-0.33	Foreign tax withheld-CA	
				<b>2.18</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.33</b>	<b>Total Foreign tax withheld</b>	
CHEVRON CORPORATION	166764100		06/10/20	33.54	Qualified dividend	
COHEN & STEERS INFRASTRUCTURE FUND, INC.	19248A109		09/30/20	0.46	Long-term capital gain	03
EATON VANCE ENHANCED EQUITY IN COME FUND	278274105		07/31/20	0.79	Nondividend distribution	03
			07/31/20	0.11	Qualified dividend	03
			09/30/20	0.40	Nondividend distribution	03
			09/30/20	0.05	Qualified dividend	03
			10/30/20	0.48	Nondividend distribution	03
			10/30/20	0.06	Qualified dividend	03
				<b>1.89</b>	<b>Total Dividends &amp; distributions</b>	
ELLINGTON FINANCIAL INC. COMMON STOCK	28852N109		05/26/20	0.80	Nondividend distribution	03
			05/26/20	0.78	Section 199A dividend	03
			05/26/20	0.34	Qualified dividend	03
			05/26/20	0.08	Long-term capital gain	03
				<b>2.00</b>	<b>Total Dividends &amp; distributions</b>	
FIRST TRUST CLOUD COMPUTING ET F	33734X192		09/30/20	0.25	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		01/27/20	0.02	Qualified dividend	
MCDONALD'S CORPORATION	580135101		06/15/20	30.00	Qualified dividend	
MICROSOFT CORPORATION COMMON STOCK	594918104		03/12/20	2.04	Qualified dividend	
NEW RESIDENTIAL INVESTMENT CORP	64828T201		05/15/20	1.55	Section 199A dividend	03
			05/15/20	0.55	Nondividend distribution	03
			05/15/20	0.40	Qualified dividend	03
				<b>2.50</b>	<b>Total Dividends &amp; distributions</b>	



Robinhood Securities LLC

Account 722598554

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
PENNYMAC MORTGAGE INVESTMENT TRUST	70931T103		04/30/20	5.99	Section 199A dividend	03
			04/30/20	2.01	Long-term capital gain	03
				<b>8.00</b>	<b>Total Dividends &amp; distributions</b>	
PEPSICO, INC. COMMON STOCK	713448108		09/30/20	2.05	Qualified dividend	
PUBLIC STORAGE	74460D109		06/30/20	30.00	Section 199A dividend	03
STAG INDUSTRIAL, INC.	85254J102		07/15/20	0.94	Section 199A dividend	03
			07/15/20	0.19	Long-term capital gain	03
			07/15/20	0.07	Unrecaptured section 1250 gain	03
				<b>1.20</b>	<b>Total Dividends &amp; distributions</b>	
TECK RESOURCES LIMITED	878742204		09/30/20	0.38	Nonqualified dividend	
			09/30/20	-0.06	Foreign tax withheld-CA	
				<b>0.38</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.06</b>	<b>Total Foreign tax withheld</b>	
VANGUARD MATERIALS ETF	92204A801		09/16/20	2.36	Qualified dividend	
				<b>142.38</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.53</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 722598554

## Detail for Interest Income

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/31/20	0.05	Interest	
		04/22/20	0.11	Interest	
		04/30/20	0.12	Interest	
		05/28/20	0.07	Interest	
		05/29/20	0.02	Interest	
		06/26/20	0.09	Interest	
		06/30/20	0.03	Interest	
		07/24/20	0.04	Interest	
		07/31/20	0.01	Interest	
		08/20/20	0.04	Interest	
		08/31/20	0.04	Interest	
		09/28/20	0.06	Interest	
		09/30/20	0.03	Interest	
		10/28/20	0.03	Interest	
		10/30/20	0.15	Interest	
		11/24/20	0.22	Interest	
		11/30/20	0.08	Interest	
		12/31/20	0.06	Interest	
			1.25	Total Interest	
			<b>1.25</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 722598554

## Detail for Miscellaneous Income

2020

02/14/2021

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
COHEN & STEERS INFRASTRUCTURE FUND, INC.	19248A109	09/30/20	0.16	Substitute payment	
		10/30/20	0.62	Substitute payment	
			0.78	Total Miscellaneous income	
EATON VANCE ENHANCED EQUITY IN COME FUND	278274105	09/30/20	0.09	Substitute payment	
			<b>0.87</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

Account 722598554

**Mutual Fund and UIT Supplemental Information**

2020

02/14/2021

*The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

**ABERDEEN ASIA-PACIFIC INCOME F UND, INC. / 003009107****FOREIGN SOURCE INCOME PERCENTAGES**

Fgn Source Inc Tot	63.25%	Fgn Source Inc Qual	0.00%	Fgn Source Inc Adj	0.00%
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Robinhood Securities LLC

Account 722598554

## Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Dividends		Interest	Total Income	Tax
			Nonqualified	Qualified			
	ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	003009107	0.18	0.00	0.00	0.18	-0.07
<b>Total</b>			<b>0.18</b>	<b>0.00</b>	<b>0.00</b>	<b>0.18</b>	<b>-0.07</b>
CA	BARRICK GOLD CORP.	067901108	0.45	0.00	0.00	0.45	-0.07
CA	CANADIAN IMPERIAL BANK OF COMM ERCE	136069101	2.18	0.00	0.00	2.18	-0.33
CA	TECK RESOURCES LIMITED	878742204	0.38	0.00	0.00	0.38	-0.06
<b>Total CANADA</b>			<b>3.01</b>	<b>0.00</b>	<b>0.00</b>	<b>3.01</b>	<b>-0.46</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>3.19</b>			
	<b>Qualified Foreign Source Income**</b>			<b>0.00</b>			
	<b>Foreign Tax</b>			<b>-0.53</b>			

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Instructions for Recipient**

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 16-18.** Show state or local income tax withheld from the payments.