

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 153176342	Statement Date: 02/14/2021 Document ID: GN1G EDR 2W4D	2020
	Sandeep kumar Bachala 1052 Riviera Dr Virginia Beach, VA 23464 RECIPIENT'S TIN: XXX-XX-1139		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 4.78</p> <p>1b- Qualified dividends 4.78</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	93,739.84	91,096.65	0.00	879.06	3,522.25
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	93,739.84	91,096.65	0.00	879.06	3,522.25
Long	D (basis reported to the IRS)	9.02	3.48	0.00	0.00	5.54
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	9.02	3.48	0.00	0.00	5.54
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	93,748.86	91,100.13	0.00	879.06	3,527.79
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

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02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/15/2021 CALL \$125.00 / CUSIP: / Symbol:							
12/09/20	1.000	444.98	12/03/20	490.00	...	-45.02	Option sale to close-call ²⁵
AAPL 01/15/2021 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	744.97	12/16/20	372.00	...	372.97	1 of 2 - Option sale to close-call ²⁵
	1.000	843.97	12/22/20	570.00	...	273.97	2 of 2 - Option sale to close-call ²⁵
12/28/20	2.000	1,588.94	Various	942.00	...	646.94	Total of 2 transactions
AAPL 01/15/2021 CALL \$135.00 / CUSIP: / Symbol:							
12/29/20	1.000	-338.04	12/28/20	0.00	...	-338.04	Short sale closed- call ²⁵
12/29/20	1.000	-217.02	12/28/20	0.00	217.02 W	0.00	Option written: 12/22/20 Short sale closed- call ²⁵ Option written: 12/16/20
Security total:		-555.06		0.00	217.02 W	-338.04	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/22/2021 CALL \$127.00 / CUSIP: / Symbol: 12/22/20	1.000	744.97	12/18/20	525.00	...	219.97	Option sale to close-call ²⁵
AAPL 02/19/2021 CALL \$120.00 / CUSIP: / Symbol: 11/30/20	1.000	804.97	11/25/20	610.00	...	194.97	Option sale to close-call ²⁵
AAPL 04/16/2021 CALL \$115.00 / CUSIP: / Symbol: 11/04/20	1.000	1,131.96	11/04/20	1,085.00	...	46.96	Option sale to close-call ²⁵
AAPL 06/18/2021 CALL \$120.00 / CUSIP: / Symbol: 10/30/20	1.000	939.96	10/14/20	1,693.00	...	-753.04	Option sale to close-call ²⁵
AAPL 06/19/2020 CALL \$342.50 / CUSIP: / Symbol: 06/09/20	1.000	399.98	06/08/20	156.00	...	243.98	Option sale to close-call ²⁵
AAPL 07/02/2020 CALL \$385.00 / CUSIP: / Symbol: 06/29/20	3.000	56.98	06/24/20	339.00	...	-282.02	Option sale to close-call ²⁵
AAPL 07/24/2020 CALL \$385.00 / CUSIP: / Symbol: 07/24/20	1.000	4.98	07/23/20	204.00	...	-199.02	Option sale to close-call ²⁵
AAPL 07/24/2020 CALL \$387.50 / CUSIP: / Symbol: 07/27/20	1.000	118.98	07/24/20	0.00	...	118.98	Short sale closed- call ²⁵ Option written: 07/23/20
AAPL 07/24/2020 CALL \$400.00 / CUSIP: / Symbol: 07/13/20	1.000	754.97	07/10/20	360.00	...	394.97	Option sale to close-call ²⁵
AAPL 08/07/2020 CALL \$380.00 / CUSIP: / Symbol: 07/29/20	1.000	854.97	07/27/20	1,042.00	...	-187.03	Option sale to close-call ²⁵
AAPL 08/07/2020 CALL \$382.50 / CUSIP: / Symbol: 07/30/20	1.000	186.96	07/29/20	0.00	...	186.96	Short sale closed- call ²⁵ Option written: 07/27/20
AAPL 09/25/2020 CALL \$445.00 / CUSIP: / Symbol: 08/10/20	1.000	2,503.93	08/07/20	2,386.00	...	117.93	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/25/2020 CALL \$450.00 / CUSIP: / Symbol: 08/11/20	1.000	-120.06	08/10/20	0.00	...	-120.06	Short sale closed- call ²⁵ Option written: 08/07/20
AAPL 10/16/2020 CALL \$123.75 / CUSIP: / Symbol: 10/19/20	1.000	71.98	10/16/20	0.00	...	71.98	Short sale closed- call ²⁵ Option written: 10/14/20
AAPL 10/23/2020 CALL \$123.00 / CUSIP: / Symbol: 10/23/20	1.000	13.98	10/23/20	0.00	...	13.98	Short sale closed- call expired Option written: 10/21/20
AAPL 10/23/2020 CALL \$124.00 / CUSIP: / Symbol: 10/22/20	1.000	12.98	10/21/20	0.00	...	12.98	Short sale closed- call ²⁵ Option written: 10/20/20
AAPL 10/30/2020 CALL \$125.00 / CUSIP: / Symbol: 10/30/20	1.000	16.98	10/29/20	0.00	...	16.98	Short sale closed- call ²⁵ Option written: 10/26/20
AAPL 11/06/2020 CALL \$121.00 / CUSIP: / Symbol: 11/05/20	1.000	-1.02	11/04/20	0.00	...	-1.02	Short sale closed- call ²⁵ Option written: 11/04/20
AAPL 12/11/2020 CALL \$129.00 / CUSIP: / Symbol: 12/10/20	1.000	13.98	12/09/20	0.00	...	13.98	Short sale closed- call ²⁵ Option written: 12/08/20
ABBV 07/17/2020 CALL \$95.00 / CUSIP: / Symbol: 06/09/20	1.000	319.98	06/08/20	295.00	...	24.98	Option sale to close-call ²⁵
ABBV 08/21/2020 CALL \$100.00 / CUSIP: / Symbol: 2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.	1.000	291.98	06/17/20	290.00	...	1.98	1 of 2 - Option sale to close-call ²⁵
	1.000	291.98	06/17/20	290.00	...	1.98	2 of 2 - Option sale to close-call ²⁵
06/18/20	2.000	583.96	Various	580.00	...	3.96	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 01/08/2021 CALL \$98.00 / CUSIP: / Symbol: 12/24/20	1.000	88.98	12/18/20	410.00	...	-321.02	Option sale to close-call ²⁵
AMD 03/19/2021 CALL \$90.00 / CUSIP: / Symbol: 11/25/20	1.000	849.97	11/23/20	831.00	...	18.97	Option sale to close-call ²⁵
AMD 06/12/2020 CALL \$54.00 / CUSIP: / Symbol: 06/09/20	1.000	155.98	06/05/20	113.00	...	42.98	Option sale to close-call ²⁵
AMD 06/19/2020 CALL \$62.00 / CUSIP: / Symbol: 2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.	1.000	77.98	06/09/20	60.00	...	17.98	1 of 2 - Option sale to close-call ²⁵
	1.000	80.98	06/09/20	60.00	...	20.98	2 of 2 - Option sale to close-call ²⁵
06/10/20	2.000	158.96	Various	120.00	...	38.96	Total of 2 transactions
AMD 07/17/2020 CALL \$57.50 / CUSIP: / Symbol: 06/15/20	2.000	465.97	06/12/20	378.00	...	87.97	Option sale to close-call ²⁵
AMD 07/24/2020 CALL \$55.00 / CUSIP: / Symbol: 07/20/20	1.000	230.98	07/14/20	200.00	...	30.98	Option sale to close-call ²⁵
AMD 11/20/2020 CALL \$85.50 / CUSIP: / Symbol: 11/20/20	1.000	10.98	11/19/20	0.00	...	10.98	Short sale closed- call ²⁵ Option written: 11/16/20
AMD 11/27/2020 CALL \$90.00 / CUSIP: / Symbol: 11/27/20	1.000	0.00	11/25/20	19.02	...	-19.02	Option expiration- long call
	1.000	-9.02	11/25/20	0.00	9.02 W	0.00	Short sale closed- call ²⁵ Option written: 11/24/20
Security total:		-9.02		19.02	9.02 W	-19.02	
AMD 11/27/2020 CALL \$92.00 / CUSIP: / Symbol: 11/25/20	1.000	17.98	11/24/20	0.00	...	17.98	Short sale closed- call ²⁵ Option written: 11/23/20
AMD 12/04/2020 CALL \$83.50 / CUSIP: / Symbol: 11/19/20	1.000	354.98	11/16/20	293.00	...	61.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 12/18/2020 CALL \$87.00 / CUSIP: / Symbol:							
11/30/20	1.000	609.97	11/25/20	360.00	...	249.97	Option sale to close-call ²⁵
AMD 12/24/2020 CALL \$95.00 / CUSIP: / Symbol:							
12/09/20	1.000	218.98	12/08/20	300.00	...	-81.02	Option sale to close-call ²⁵
AMD 12/31/2020 CALL \$95.00 / CUSIP: / Symbol:							
12/15/20	1.000	499.97	12/14/20	300.00	...	199.97	Option sale to close-call ²⁵
AMD 12/31/2020 CALL \$96.00 / CUSIP: / Symbol:							
12/16/20	1.000	-130.02	12/15/20	0.00	...	-130.02	Short sale closed- call ²⁵ Option written: 12/14/20
AZN 08/21/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/17/20	20.000	699.94	06/15/20	500.00	...	199.94	Option sale to close-call ²⁵
BA 06/12/2020 CALL \$180.00 / CUSIP: / Symbol:							
	3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	724.98	06/03/20	495.00	...	229.98	1 of 3 - Option sale to close-call ²⁵
	1.000	926.96	06/03/20	325.00	...	601.96	2 of 3 - Option sale to close-call ²⁵
	1.000	724.97	06/04/20	875.00	...	-150.03	3 of 3 - Option sale to close-call ²⁵
06/04/20	3.000	2,376.91	Various	1,695.00	...	681.91	Total of 3 transactions
BA 07/31/2020 CALL \$175.00 / CUSIP: / Symbol:							
07/28/20	1.000	426.98	07/27/20	545.00	...	-118.02	Option sale to close-call ²⁵
BA 07/31/2020 CALL \$177.50 / CUSIP: / Symbol:							
07/20/20	1.000	779.97	07/17/20	1,070.00	...	-290.03	Option sale to close-call ²⁵
BA 07/31/2020 CALL \$180.00 / CUSIP: / Symbol:							
07/29/20	1.000	94.98	07/28/20	0.00	...	94.98	Short sale closed- call ²⁵ Option written: 07/27/20
BA 10/16/2020 CALL \$400.00 / CUSIP: / Symbol:							
07/20/20	1.000	92.98	06/10/20	320.00	...	-227.02	Option sale to close-call ²⁵
BABA 01/29/2021 CALL \$280.00 / CUSIP: / Symbol:							
12/28/20	1.000	77.98	12/17/20	578.00	...	-500.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 153176342

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BABA 01/29/2021 CALL \$290.00 / CUSIP: / Symbol:							
12/29/20	1.000	308.98	12/28/20	0.00	...	308.98	Short sale closed- call ²⁵ Option written: 12/17/20
BABA 07/17/2020 CALL \$270.00 / CUSIP: / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	34.00	06/08/20	23.00	...	11.00	1 of 3 - Option sale to close-call ²⁵
	4.000	135.98	06/08/20	92.00	...	43.98	2 of 3 - Option sale to close-call ²⁵
	4.000	139.98	06/08/20	92.00	...	47.98	3 of 3 - Option sale to close-call ²⁵
06/17/20	9.000	309.96	Various	207.00	...	102.96	Total of 3 transactions
BABA 08/21/2020 CALL \$300.00 / CUSIP: / Symbol:							
06/16/20	8.000	247.97	06/09/20	224.00	...	23.97	Option sale to close-call ²⁵
BABA 12/11/2020 CALL \$270.00 / CUSIP: / Symbol:							
12/04/20	1.000	411.98	12/03/20	409.00	...	2.98	Option sale to close-call ²⁵
BABA 12/11/2020 CALL \$272.50 / CUSIP: / Symbol:							
12/07/20	1.000	1.98	12/04/20	0.00	...	1.98	Short sale closed- call ²⁵ Option written: 12/03/20
BAC 07/17/2020 CALL \$28.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	84.98	06/03/20	74.00	...	10.98	1 of 2 - Option sale to close-call ²⁵
	1.000	86.98	06/03/20	74.00	...	12.98	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	171.96	Various	148.00	...	23.96	Total of 2 transactions
BAC 12/31/2020 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	116.99	12/10/20	86.00	...	30.99	1 of 2 - Option sale to close-call ²⁵
	1.000	116.99	12/10/20	84.00	...	32.99	2 of 2 - Option sale to close-call ²⁵
12/21/20	2.000	233.98	Various	170.00	...	63.98	Total of 2 transactions
BYND 06/05/2020 CALL \$140.00 / CUSIP: / Symbol:							
06/01/20	1.000	464.97	06/01/20	495.00	...	-30.03	Option sale to close-call ²⁵

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C 07/10/2020 PUT \$40.00 / CUSIP: / Symbol:							
06/19/20	1.000	23.98	06/12/20	100.00	...	-76.02	Option sale to close-put ²⁵
CAT 07/24/2020 CALL \$137.00 / CUSIP: / Symbol:							
07/15/20	1.000	359.98	07/14/20	274.00	...	85.98	Option sale to close-call ²⁵
CGC 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/24/20	1.000	136.98	11/23/20	113.00	...	23.98	Option sale to close-call ²⁵
CGC 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/06/20	1.000	649.97	11/03/20	453.00	...	196.97	Option sale to close-call ²⁵
CGC 11/06/2020 CALL \$20.00 / CUSIP: / Symbol:							
11/05/20	1.000	-12.02	11/04/20	0.00	...	-12.02	Short sale closed- call ²⁵ Option written: 11/04/20
CGC 11/06/2020 CALL \$23.50 / CUSIP: / Symbol:							
11/05/20	1.000	54.98	11/04/20	0.00	...	54.98	Short sale closed- call ²⁵ Option written: 11/03/20
CLDR 06/12/2020 CALL \$10.50 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	2.000	309.98	06/09/20	60.00	...	249.98	1 of 2 - Option sale to close-call ²⁵
	3.000	494.97	06/09/20	90.00	...	404.97	2 of 2 - Option sale to close-call ²⁵
06/10/20	5.000	804.95	Various	150.00	...	654.95	Total of 2 transactions
COST 12/11/2020 CALL \$385.00 / CUSIP: / Symbol:							
12/09/20	1.000	160.98	12/07/20	182.00	...	-21.02	Option sale to close-call ²⁵
COST 12/11/2020 CALL \$390.00 / CUSIP: / Symbol:							
12/10/20	1.000	11.98	12/09/20	0.00	...	11.98	Short sale closed- call ²⁵ Option written: 12/07/20
CRM 01/29/2021 CALL \$235.00 / CUSIP: / Symbol:							
12/31/20	1.000	292.98	12/22/20	854.00	...	-561.02	Option sale to close-call ²⁵
CVS 12/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
12/03/20	1.000	299.98	12/02/20	149.00	...	150.98	Option sale to close-call ²⁵

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CVX 07/10/2020 PUT \$65.00 / CUSIP: / Symbol:							
06/19/20	7.000	20.98	06/12/20	133.00	...	-112.02	Option sale to close-put ²⁵
DIS 07/17/2020 CALL \$116.00 / CUSIP: / Symbol:							
07/09/20	1.000	334.98	07/08/20	281.00	...	53.98	Option sale to close-call ²⁵
DIS 07/31/2020 CALL \$117.00 / CUSIP: / Symbol:							
07/10/20	1.000	444.98	07/09/20	414.00	...	30.98	Option sale to close-call ²⁵
DKNG 12/11/2020 CALL \$52.00 / CUSIP: / Symbol:							
12/04/20	1.000	96.98	12/02/20	194.00	...	-97.02	Option sale to close-call ²⁵
DKNG 12/11/2020 CALL \$52.50 / CUSIP: / Symbol:							
12/10/20	1.000	-15.02	12/09/20	0.00	...	-15.02	Short sale closed- call ²⁵ Option written: 12/08/20
DKNG 12/31/2020 CALL \$49.00 / CUSIP: / Symbol:							
12/09/20	1.000	359.98	12/08/20	275.00	...	84.98	Option sale to close-call ²⁵
FB 06/19/2020 CALL \$245.00 / CUSIP: / Symbol:							
06/09/20	1.000	205.98	06/08/20	93.00	...	112.98	Option sale to close-call ²⁵
06/12/20	1.000	70.98	06/11/20	97.02	...	-26.04	Option sale to close-call ²⁵
06/12/20	1.000	76.98	06/08/20	93.00	16.02 W	0.00	Option sale to close-call ²⁵
Security total:		353.94		283.02	16.02 W	86.94	
FB 12/24/2020 CALL \$280.00 / CUSIP: / Symbol:							
12/15/20	1.000	208.98	12/14/20	420.00	...	-211.02	Option sale to close-call ²⁵
FB 12/24/2020 CALL \$282.50 / CUSIP: / Symbol:							
12/16/20	1.000	169.98	12/15/20	0.00	...	169.98	Short sale closed- call ²⁵ Option written: 12/14/20
FIT 01/15/2021 CALL \$8.00 / CUSIP: / Symbol:							
11/18/20	2.000	13.98	11/11/20	14.00	...	-0.02	Option sale to close-call ²⁵
FSLY 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
12/08/20	1.000	192.97	12/07/20	0.00	...	192.97	Short sale closed- call ²⁵ Option written: 12/04/20

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FSLY 01/15/2021 CALL \$95.00 / CUSIP: / Symbol:							
12/07/20	1.000	614.97	12/04/20	670.00	...	-55.03	Option sale to close-call ²⁵
GILD 01/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
12/09/20	1.000	57.98	12/01/20	97.00	...	-39.02	Option sale to close-call ²⁵
GLD 08/21/2020 CALL \$171.00 / CUSIP: / Symbol:							
07/09/20	1.000	352.98	07/08/20	331.00	...	21.98	Option sale to close-call ²⁵
GLD 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
	2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1.000	50.98	08/05/20	69.00	...	-18.02	1 of 2 - Option sale to close-call ²⁵
	1.000	50.98	08/05/20	69.00	...	-18.02	2 of 2 - Option sale to close-call ²⁵
08/06/20	2.000	101.96	Various	138.00	...	-36.04	Total of 2 transactions
GME 11/20/2020 CALL \$14.50 / CUSIP: / Symbol:							
11/20/20	1.000	6.98	11/20/20	0.00	...	6.98	Short sale closed- call expired Option written: 11/19/20
GME 12/04/2020 CALL \$12.50 / CUSIP: / Symbol:							
11/23/20	1.000	155.98	11/19/20	142.00	...	13.98	Option sale to close-call ²⁵
GOOGL 06/12/2020 CALL \$1,540.0 / CUSIP: / Symbol:							
06/12/20	3.000	0.00	06/09/20	165.00	...	-165.00	Option expiration- long call
GOOGL 07/24/2020 CALL \$1,700.0 / CUSIP: / Symbol:							
07/13/20	1.000	789.97	07/10/20	320.00	...	469.97	Option sale to close-call ²⁵
GS 08/28/2020 CALL \$217.50 / CUSIP: / Symbol:							
08/25/20	1.000	58.98	08/24/20	19.00	...	39.98	Option sale to close-call ²⁵
HD 01/15/2021 CALL \$295.00 / CUSIP: / Symbol:							
	2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	1.000	100.99	12/02/20	181.00	...	-80.01	1 of 2 - Option sale to close-call ²⁵
	1.000	100.99	12/03/20	147.00	...	-46.01	2 of 2 - Option sale to close-call ²⁵
12/04/20	2.000	201.98	Various	328.00	...	-126.02	Total of 2 transactions

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INTC 01/21/2022 CALL \$50.00 / CUSIP: / Symbol: 10/30/20	1.000	419.98	10/01/20	870.00	...	-450.02	Option sale to close-call ²⁵
INTC 02/19/2021 CALL \$47.50 / CUSIP: / Symbol: 11/16/20	1.000	232.98	11/10/20	230.00	...	2.98	Option sale to close-call ²⁵
INTC 10/02/2020 CALL \$53.00 / CUSIP: / Symbol: 10/02/20	1.000	9.98	10/02/20	0.00	...	9.98	Short sale closed- call expired Option written: 10/01/20
INTC 10/16/2020 CALL \$53.00 / CUSIP: / Symbol: 10/12/20	1.000	-17.02	10/09/20	0.00	...	-17.02	Short sale closed- call ²⁵ Option written: 10/05/20
INTC 10/16/2020 CALL \$54.50 / CUSIP: / Symbol: 10/19/20	1.000	-6.02	10/16/20	0.00	...	-6.02	Short sale closed- call ²⁵ Option written: 10/15/20
INTC 10/23/2020 CALL \$60.00 / CUSIP: / Symbol: 10/23/20	1.000	20.98	10/23/20	0.00	...	20.98	Short sale closed- call expired Option written: 10/19/20
INTC 11/13/2020 CALL \$48.50 / CUSIP: / Symbol: 11/13/20	1.000	4.98	11/13/20	0.00	...	4.98	Short sale closed- call expired Option written: 11/10/20
JMIA 01/15/2021 CALL \$35.00 / CUSIP: / Symbol: 11/25/20	1.000	531.97	11/23/20	410.00	...	121.97	Option sale to close-call ²⁵
JMIA 01/15/2021 CALL \$42.00 / CUSIP: / Symbol: 12/11/20	3.000	1,349.96	Various	1,547.00	...	-197.04	Total of 3 transactions
3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	449.99	11/27/20	700.00	...	-250.01	1 of 3 - Option sale to close-call ²⁵
	1.000	449.99	12/08/20	400.00	...	49.99	2 of 3 - Option sale to close-call ²⁵
	1.000	449.98	12/09/20	447.00	...	2.98	3 of 3 - Option sale to close-call ²⁵

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JMIA 01/15/2021 CALL \$43.00 / CUSIP: / Symbol:							
12/10/20	1.000	-20.02	12/09/20	0.00	20.02 W	0.00	Short sale closed- call ²⁵ Option written: 12/08/20
	3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1.000	-65.03	12/10/20	0.00	65.03 W	0.00	1 of 3 - Short sale closed- call ²⁵ Option written: 12/09/20
	1.000	-45.02	12/10/20	0.00	45.02 W	0.00	2 of 3 - Short sale closed- call ²⁵ Option written: 12/09/20
	1.000	-85.02	12/10/20	0.00	85.02 W	0.00	3 of 3 - Short sale closed- call ²⁵ Option written: 12/09/20
12/11/20	3.000	-195.07	Various	0.00	195.07 W	0.00	Total of 3 transactions
	3 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	1.000	-285.04	12/11/20	0.00	...	-285.04	1 of 3 - Short sale closed- call ²⁵ Option written: 12/10/20
	1.000	-265.03	12/11/20	0.00	...	-265.03	2 of 3 - Short sale closed- call ²⁵ Option written: 12/10/20
	1.000	-225.04	12/11/20	0.00	...	-225.04	3 of 3 - Short sale closed- call ²⁵ Option written: 12/10/20
12/14/20	3.000	-775.11	Various	0.00	...	-775.11	Total of 3 transactions
	Security total:	-990.20		0.00	215.09 W	-775.11	
JMIA 12/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
11/25/20	1.000	301.98	11/24/20	265.00	...	36.98	Option sale to close-call ²⁵
JMIA 12/18/2020 CALL \$37.00 / CUSIP: / Symbol:							
11/27/20	1.000	-77.02	11/25/20	0.00	...	-77.02	Short sale closed- call ²⁵ Option written: 11/24/20
JMIA 12/18/2020 CALL \$44.00 / CUSIP: / Symbol:							
12/09/20	1.000	38.98	12/08/20	0.00	...	38.98	Short sale closed- call ²⁵ Option written: 12/07/20
12/10/20	1.000	14.98	12/09/20	0.00	...	14.98	Short sale closed- call ²⁵ Option written: 12/08/20
	Security total:	53.96		0.00	...	53.96	

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JNJ 02/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
12/16/20	1.000	449.98	12/01/20	350.00	...	99.98	Option sale to close-call ²⁵
JNJ 07/17/2020 CALL \$155.00 / CUSIP: / Symbol:							
07/16/20	3.000	17.98	07/15/20	138.00	80.01 W	-40.01	Option sale to close-call ²⁵
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	07/15/20	87.01	...	-87.01	1 of 3 - Option expiration- long call
	1.000	0.00	07/15/20	87.00	...	-87.00	2 of 3 - Option expiration- long call
	1.000	0.00	07/15/20	46.00	...	-46.00	3 of 3 - Option expiration- long call
07/17/20	3.000	0.00	Various	220.01	...	-220.01	Total of 3 transactions
	Security total:	17.98		358.01	80.01 W	-260.02	
JNPR 01/15/2021 CALL \$23.00 / CUSIP: / Symbol:							
12/29/20	2.000	67.98	12/21/20	114.00	...	-46.02	Option sale to close-call ²⁵
LMT 01/15/2021 CALL \$410.00 / CUSIP: / Symbol:							
11/25/20	1.000	274.98	11/18/20	370.00	...	-95.02	Option sale to close-call ²⁵
LRCX 07/17/2020 CALL \$370.00 / CUSIP: / Symbol:							
07/13/20	1.000	124.98	07/10/20	162.00	...	-37.02	Option sale to close-call ²⁵
MO 12/18/2020 CALL \$42.50 / CUSIP: / Symbol:							
11/16/20	1.000	55.98	11/10/20	33.00	...	22.98	Option sale to close-call ²⁵
MRNA 07/24/2020 CALL \$95.00 / CUSIP: / Symbol:							
07/16/20	1.000	207.98	07/15/20	430.00	...	-222.02	Option sale to close-call ²⁵
MSFT 12/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
12/10/20	1.000	75.98	12/08/20	212.00	...	-136.02	Option sale to close-call ²⁵
MSFT 12/18/2020 CALL \$222.50 / CUSIP: / Symbol:							
12/11/20	1.000	94.98	12/10/20	0.00	...	94.98	Short sale closed- call ²⁵ Option written: 12/08/20
MU 08/21/2020 CALL \$50.00 / CUSIP: / Symbol:							
07/02/20	1.000	334.98	07/01/20	340.00	...	-5.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 153176342

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 07/17/2020 CALL \$650.00 / CUSIP: / Symbol:							
07/13/20	1.000	529.97	07/10/20	415.00	...	114.97	Option sale to close-call ²⁵
NFLX 08/14/2020 CALL \$490.00 / CUSIP: / Symbol:							
08/10/20	1.000	671.97	08/07/20	1,222.00	...	-550.03	Option sale to close-call ²⁵
NFLX 08/14/2020 CALL \$495.00 / CUSIP: / Symbol:							
08/11/20	1.000	463.96	08/10/20	0.00	...	463.96	Short sale closed- call ²⁵ Option written: 08/07/20
NIO 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
<i>3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	579.97	12/28/20	435.00	...	144.97	1 of 3 - Option sale to close-call ²⁵
	1.000	579.98	12/28/20	430.00	...	149.98	2 of 3 - Option sale to close-call ²⁵
	1.000	579.98	12/29/20	404.00	...	175.98	3 of 3 - Option sale to close-call ²⁵
12/31/20	3.000	1,739.93	Various	1,269.00	...	470.93	Total of 3 transactions
NIO 04/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
11/23/20	1.000	764.97	11/19/20	675.00	...	89.97	Option sale to close-call ²⁵
NIO 08/14/2020 CALL \$15.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	86.99	08/03/20	83.00	...	3.99	1 of 2 - Option sale to close-call ²⁵
	1.000	86.99	08/03/20	83.00	...	3.99	2 of 2 - Option sale to close-call ²⁵
08/04/20	2.000	173.98	Various	166.00	...	7.98	Total of 2 transactions
NIO 11/27/2020 CALL \$46.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	533.97	11/13/20	548.00	...	-14.03	1 of 2 - Option sale to close-call ²⁵
	1.000	589.97	11/13/20	548.00	...	41.97	2 of 2 - Option sale to close-call ²⁵
11/17/20	2.000	1,123.94	Various	1,096.00	...	27.94	Total of 2 transactions

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NIO 11/27/2020 CALL \$47.00 / CUSIP: / Symbol:							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	-32.02	11/17/20	0.00	...	-32.02	1 of 2 - Short sale closed- call ²⁵ Option written: 11/13/20
	1.000	17.98	11/17/20	0.00	...	17.98	2 of 2 - Short sale closed- call ²⁵ Option written: 11/13/20
11/18/20	2.000	-14.04	Various	0.00	...	-14.04	Total of 2 transactions
NIO 12/24/2020 CALL \$80.00 / CUSIP: / Symbol:							
11/24/20	1.000	2.98	11/23/20	0.00	...	2.98	Short sale closed- call ²⁵ Option written: 11/19/20
NKLA 06/19/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	06/10/20	95.00	...	-35.02	1 of 2 - Option sale to close-call ²⁵
	1.000	80.98	06/10/20	95.00	...	-14.02	2 of 2 - Option sale to close-call ²⁵
06/11/20	2.000	140.96	Various	190.00	...	-49.04	Total of 2 transactions
NKLA 06/19/2020 PUT \$17.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	4.000	27.98	06/10/20	60.00	...	-32.02	1 of 2 - Option sale to close-put ²⁵
	1.000	7.00	06/10/20	15.00	...	-8.00	2 of 2 - Option sale to close-put ²⁵
06/11/20	5.000	34.98	Various	75.00	...	-40.02	Total of 2 transactions
NKLA 06/19/2020 PUT \$20.00 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	19.98	06/09/20	30.00	...	-10.02	1 of 2 - Option sale to close-put ²⁵
	1.000	24.98	06/09/20	30.00	...	-5.02	2 of 2 - Option sale to close-put ²⁵
06/10/20	2.000	44.96	Various	60.00	...	-15.04	Total of 2 transactions
NKLA 07/02/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/09/20	1.000	2,899.92	06/08/20	275.00	...	2,624.92	Option sale to close-call ²⁵
NVAX 09/04/2020 CALL \$124.00 / CUSIP: / Symbol:							
08/13/20	1.000	2,186.94	08/12/20	2,069.00	...	117.94	Option sale to close-call ²⁵

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NVAX 09/04/2020 CALL \$127.00 / CUSIP: / Symbol:							
08/14/20	1.000	-115.06	08/13/20	0.00	...	-115.06	Short sale closed- call ²⁵ Option written: 08/12/20
PFE 11/13/2020 CALL \$41.00 / CUSIP: / Symbol:							
11/16/20	1.000	2.98	11/13/20	0.00	...	2.98	Short sale closed- call ²⁵ Option written: 11/11/20
PFE1 03/19/2021 CALL \$41.00 / CUSIP: / Symbol:							
12/01/20	1.000	334.98	11/09/20	267.00	...	67.98	Option sale to close-call ²⁵
PLTR 01/15/2021 CALL \$26.00 / CUSIP: / Symbol:							
12/28/20	1.000	274.98	12/18/20	281.00	...	-6.02	Option sale to close-call ²⁵
PLTR 01/15/2021 CALL \$27.00 / CUSIP: / Symbol:							
12/22/20	1.000	-1.02	12/21/20	0.00	...	-1.02	Short sale closed- call ²⁵ Option written: 12/18/20
PLTR 12/11/2020 CALL \$34.00 / CUSIP: / Symbol:							
12/08/20	1.000	88.98	12/07/20	55.00	...	33.98	Option sale to close-call ²⁵
PLTR 12/24/2020 CALL \$29.00 / CUSIP: / Symbol:							
12/09/20	1.000	270.98	12/08/20	305.00	...	-34.02	Option sale to close-call ²⁵
PLTR 12/24/2020 CALL \$30.00 / CUSIP: / Symbol:							
12/10/20	1.000	13.98	12/09/20	0.00	...	13.98	Short sale closed- call ²⁵ Option written: 12/08/20
PLTR 12/31/2020 CALL \$27.00 / CUSIP: / Symbol:							
12/08/20	1.000	479.97	12/07/20	340.00	...	139.97	Option sale to close-call ²⁵
PLUG 11/27/2020 CALL \$27.00 / CUSIP: / Symbol:							
11/20/20	1.000	26.98	11/16/20	81.00	...	-54.02	Option sale to close-call ²⁵
RIOT 11/27/2020 CALL \$9.00 / CUSIP: / Symbol:							
11/27/20	1.000	3.98	11/25/20	0.00	...	3.98	Short sale closed- call ²⁵ Option written: 11/24/20

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RIOT 12/31/2020 CALL \$5.50 / CUSIP: / Symbol:							
11/23/20	1.000	214.98	11/19/20	150.00	...	64.98	Option sale to close-call ²⁵
12/02/20	1.000	309.98	11/23/20	245.00	...	64.98	Option sale to close-call ²⁵
	Security total:	524.96		395.00	...	129.96	
RKT 01/15/2021 CALL \$22.00 / CUSIP: / Symbol:							
12/09/20	1.000	173.98	12/03/20	143.00	...	30.98	Option sale to close-call ²⁵
RRR 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/04/20	1.000	119.98	06/03/20	125.00	...	-5.02	Option sale to close-call ²⁵
SBUX 08/21/2020 CALL \$87.50 / CUSIP: / Symbol:							
	2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	2.000	205.99	06/10/20	336.00	...	-130.01	1 of 2 - Option sale to close-call ²⁵
	1.000	102.99	06/17/20	135.00	...	-32.01	2 of 2 - Option sale to close-call ²⁵
06/18/20	3.000	308.98	Various	471.00	...	-162.02	Total of 2 transactions
SH 08/21/2020 CALL \$24.00 / CUSIP: / Symbol:							
06/12/20	1.000	80.98	06/11/20	115.00	...	-34.02	Option sale to close-call ²⁵
SIX 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/17/20	2.000	365.98	06/16/20	460.00	...	-94.02	Option sale to close-call ²⁵
SNAP 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/08/20	2.000	291.98	06/05/20	260.00	...	31.98	Option sale to close-call ²⁵
SNDL 04/16/2021 CALL \$1.00 / CUSIP: / Symbol:							
12/08/20	1.000	19.98	12/02/20	45.00	...	-25.02	Option sale to close-call ²⁵
SOLO 08/21/2020 CALL \$3.50 / CUSIP: / Symbol:							
07/29/20	1.000	4.98	07/28/20	0.00	...	4.98	Short sale closed- call ²⁵ Option written: 07/27/20
SOLO 08/21/2020 CALL \$4.00 / CUSIP: / Symbol:							
07/24/20	1.000	-0.02	07/23/20	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 07/20/20

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SPCE 04/16/2021 CALL \$22.00 / CUSIP: / Symbol: 11/23/20	1.000	654.97	11/12/20	520.00	...	134.97	Option sale to close-call ²⁵
SPCE 11/13/2020 CALL \$23.50 / CUSIP: / Symbol: 11/16/20	1.000	8.98	11/13/20	0.00	...	8.98	Short sale closed- call ²⁵ Option written: 11/12/20
SPCE 11/20/2020 CALL \$26.00 / CUSIP: / Symbol: 11/20/20	1.000	4.98	11/20/20	0.00	...	4.98	Short sale closed- call expired Option written: 11/18/20
SPOT 07/24/2020 CALL \$300.00 / CUSIP: / Symbol: 07/15/20	2.000	529.97	07/14/20	560.00	...	-30.03	Option sale to close-call ²⁵
SPOT 12/18/2020 CALL \$360.00 / CUSIP: / Symbol: 12/08/20	1.000	502.97	12/07/20	460.00	...	42.97	Option sale to close-call ²⁵
SPOT 12/18/2020 CALL \$370.00 / CUSIP: / Symbol: 12/09/20	1.000	221.97	12/08/20	0.00	...	221.97	Short sale closed- call ²⁵ Option written: 12/07/20
TDOC 01/15/2021 CALL \$190.00 / CUSIP: / Symbol: 12/22/20	1.000	2,083.94	12/16/20	1,008.00	...	1,075.94	Option sale to close-call ²⁵
TDOC 01/15/2021 CALL \$195.00 / CUSIP: / Symbol: 12/23/20	1.000	-946.03	12/22/20	0.00	...	-946.03	Short sale closed- call ²⁵ Option written: 12/16/20
TLT 07/17/2020 CALL \$160.00 / CUSIP: / Symbol: 06/05/20	1.000	136.98	06/04/20	237.00	...	-100.02	Option sale to close-call ²⁵
TSLA 07/24/2020 CALL \$1,565.00 / CUSIP: / Symbol: 07/21/20	1.000	11,861.72	07/20/20	12,985.00	...	-1,123.28	Option sale to close-call ²⁵
TSLA 07/24/2020 CALL \$1,570.00 / CUSIP: / Symbol: 07/22/20	1.000	1,048.70	07/21/20	0.00	...	1,048.70	Short sale closed- call ²⁵ Option written: 07/20/20

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TSLA 09/25/2020 CALL \$421.00 / CUSIP: / Symbol:							
09/15/20	1.000	6,379.84	09/14/20	2,980.00	...	3,399.84	Option sale to close-call ²⁵
09/22/20	1.000	2,736.92	09/14/20	2,980.00	...	-243.08	Option sale to close-call ²⁵
	Security total:	9,116.76		5,960.00	...	3,156.76	
TSLA 09/25/2020 CALL \$422.00 / CUSIP: / Symbol:							
09/16/20	1.000	-3,383.07	09/15/20	0.00	...	-3,383.07	Short sale closed- call ²⁵ Option written: 09/14/20
09/23/20	1.000	247.92	09/22/20	0.00	...	247.92	Short sale closed- call ²⁵ Option written: 09/14/20
	Security total:	-3,135.15		0.00	...	-3,135.15	
TWTR 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
10/29/20	1.000	549.97	10/15/20	180.00	...	369.97	Option sale to close-call ²⁵
TWTR 10/30/2020 CALL \$55.00 / CUSIP: / Symbol:							
10/30/20	1.000	-238.02	10/29/20	0.00	...	-238.02	Short sale closed- call ²⁵ Option written: 10/19/20
TWTR 10/30/2020 CALL \$60.00 / CUSIP: / Symbol:							
10/20/20	1.000	2.98	10/19/20	0.00	...	2.98	Short sale closed- call ²⁵ Option written: 10/15/20
VXX 07/17/2020 CALL \$33.00 / CUSIP: / Symbol:							
07/08/20	1.000	182.98	07/07/20	192.00	...	-9.02	Option sale to close-call ²⁵
WMT 07/17/2020 CALL \$135.00 / CUSIP: / Symbol:							
07/08/20	2.000	159.98	07/07/20	170.00	...	-10.02	Option sale to close-call ²⁵
WORK 06/05/2020 CALL \$38.00 / CUSIP: / Symbol:							
06/01/20	1.000	114.98	05/28/20	54.00	...	60.98	Option sale to close-call ²⁵
WORK 07/31/2020 CALL \$38.00 / CUSIP: / Symbol:							
07/14/20	1.000	55.98	07/13/20	249.02	...	-193.04	Option sale to close-call ²⁵
07/14/20	1.000	48.98	07/13/20	149.00	100.02 W	0.00	Option sale to close-call ²⁵
	Security total:	104.96		398.02	100.02 W	-193.04	

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X 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
11/16/20	1.000	44.98	11/11/20	30.00	...	14.98	Option sale to close-call ²⁵
XLF 01/08/2021 CALL \$28.50 / CUSIP: / Symbol:							
12/28/20	2.000	163.98	12/16/20	142.00	...	21.98	Option sale to close-call ²⁵
XOM 06/05/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	113.98	06/01/20	100.00	...	13.98	1 of 2 - Option sale to close-call ²⁵
	1.000	113.98	06/01/20	100.00	...	13.98	2 of 2 - Option sale to close-call ²⁵
06/01/20	2.000	227.96	Various	200.00	...	27.96	Total of 2 transactions
ZNGA 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/10/20	5.000	314.98	06/05/20	215.00	...	99.98	Option sale to close-call ²⁵
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	58.98	06/05/20	43.00	...	15.98	1 of 2 - Option sale to close-call ²⁵
	1.000	58.98	06/05/20	43.00	...	15.98	2 of 2 - Option sale to close-call ²⁵
06/15/20	2.000	117.96	Various	86.00	...	31.96	Total of 2 transactions
	Security total:	432.94		301.00	...	131.94	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
05/29/20	20.000	210.60	04/27/20	210.40	...	0.20	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
07/29/20	0.271	102.35	07/24/20	100.00	...	2.35	Sale ²⁵
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	0.000	0.08	07/30/20	0.08	...	0.00	1 of 3 - Sale ²⁵
	0.236	96.26	07/30/20	95.56	...	0.70	2 of 3 - Sale ²⁵
	1.000	407.13	07/30/20	404.36	...	2.77	3 of 3 - Sale ²⁵
07/31/20	1.236	503.47	Various	500.00	...	3.47	Total of 3 transactions
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	0.090	40.27	08/03/20	39.62	...	0.65	1 of 3 - Sale ²⁵
	0.910	406.21	08/03/20	399.57	...	6.64	2 of 3 - Sale ²⁵
	0.228	101.71	08/05/20	100.00	...	1.71	3 of 3 - Sale ²⁵
08/07/20	1.228	548.19	Various	539.19	...	9.00	Total of 3 transactions

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Account 153176342

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	0.025	11.06	08/06/20	11.17		0.00	1 of 2 - Sale ²⁵
	0.862	385.04	08/06/20	388.83	0.11 W	0.00	2 of 2 - Sale ²⁵
08/07/20	0.887	396.10	Various	400.00	3.79 W	0.00	Total of 2 transactions
					3.90 W		
5 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	0.024	12.15	08/12/20	11.05	...	1.10	1 of 5 - Sale ²⁵
	0.862	432.26	08/12/20	392.85	...	39.41	2 of 5 - Sale ²⁵
	0.002	1.04	08/13/20	0.96	...	0.08	3 of 5 - Sale ²⁵
	0.431	216.24	08/13/20	199.04	...	17.20	4 of 5 - Sale ²⁵
	0.110	55.05	08/14/20	50.00	...	5.05	5 of 5 - Sale ²⁵
08/24/20	1.430	716.74	Various	653.90	...	62.84	Total of 5 transactions
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.426	53.68	08/24/20	53.47	...	0.21	1 of 4 - Sale ²⁵
	0.539	67.90	08/24/20	67.63	...	0.27	2 of 4 - Sale ²⁵
	0.610	76.88	08/24/20	76.60	...	0.28	3 of 4 - Sale ²⁵
	4.000	504.30	08/24/20	502.30	...	2.00	4 of 4 - Sale ²⁵
09/03/20	5.574	702.76	Various	700.00	...	2.76	Total of 4 transactions
09/03/20	0.036	4.55	08/31/20	4.70	0.15 W	0.00	Sale ²⁵
09/04/20	0.000	0.03	09/03/20	0.03	...	0.00	Sale ²⁵
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.036	4.15	09/03/20	4.93	0.78 W	0.00	1 of 4 - Sale ²⁵
	0.378	43.66	09/03/20	50.20	6.54 W	0.00	2 of 4 - Sale ²⁵
	0.036	4.17	09/03/20	4.64	0.47 W	0.00	3 of 4 - Sale ²⁵
	1.964	227.05	09/03/20	244.10	17.05 W	0.00	4 of 4 - Sale ²⁵
09/04/20	2.413	279.03	Various	303.87	24.84 W	0.00	Total of 4 transactions
12 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	0.001	0.14	09/04/20	0.14	...	0.00	1 of 12 - Sale ²⁵
	2.123	260.97	09/04/20	257.40	...	3.57	2 of 12 - Sale ²⁵
	0.001	0.07	09/08/20	0.07	...	0.00	3 of 12 - Sale ²⁵
	2.139	262.90	09/08/20	249.93	...	12.97	4 of 12 - Sale ²⁵
	0.085	10.44	09/09/20	10.00	...	0.44	5 of 12 - Sale ²⁵
	1.712	210.39	09/09/20	200.00	...	10.39	6 of 12 - Sale ²⁵
	1.000	122.92	09/11/20	112.67	...	10.25	7 of 12 - Sale ²⁵

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.465	57.10	09/23/20	50.00	...	7.10	8 of 12 - Sale ²⁵
	0.525	64.49	09/28/20	60.00	...	4.49	9 of 12 - Sale ²⁵
	0.435	53.49	10/08/20	50.00	...	3.49	10 of 12 - Sale ²⁵
	0.436	53.62	10/08/20	50.00	...	3.62	11 of 12 - Sale ²⁵
	1.000	122.92	10/08/20	115.25	...	7.67	12 of 12 - Sale ²⁵
10/13/20	9.921	1,219.45	Various	1,155.46	...	63.99	Total of 12 transactions
	4 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	0.123	15.10	09/04/20	15.97	0.87 W	0.00	1 of 4 - Sale ²⁵
	0.036	4.41	09/04/20	5.13	0.72 W	0.00	2 of 4 - Sale ²⁵
	0.378	46.41	09/04/20	52.32	5.91 W	0.00	3 of 4 - Sale ²⁵
	1.463	179.89	09/04/20	190.13	10.24 W	0.00	4 of 4 - Sale ²⁵
10/13/20	2.000	245.81	Various	263.55	17.74 W	0.00	Total of 4 transactions
	8 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	0.406	44.64	10/13/20	49.99	...	-5.35	1 of 8 - Sale ²⁵
	0.411	45.23	10/13/20	50.00	...	-4.77	2 of 8 - Sale ²⁵
	0.413	45.43	10/13/20	50.00	...	-4.57	3 of 8 - Sale ²⁵
	0.095	10.41	10/13/20	11.71	...	-1.30	4 of 8 - Sale ²⁵
	0.000	0.01	10/13/20	0.01	...	0.00	5 of 8 - Sale ²⁵
	0.969	106.53	10/27/20	113.48	...	-6.95	6 of 8 - Sale ²⁵
	0.707	77.77	10/27/20	82.83	...	-5.06	7 of 8 - Sale ²⁵
	0.031	3.46	10/27/20	4.34	...	-0.88	8 of 8 - Sale ²⁵
10/29/20	3.032	333.48	Various	362.36	...	-28.88	Total of 8 transactions
	12 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	0.788	86.63	10/13/20	103.00	12.81 W	-3.56	1 of 12 - Sale ²⁵
	0.036	3.95	10/13/20	5.16	1.21 W	0.00	2 of 12 - Sale ²⁵
	0.054	5.90	10/13/20	7.46	1.56 W	0.00	3 of 12 - Sale ²⁵
	0.123	13.54	10/13/20	16.07	2.53 W	0.00	4 of 12 - Sale ²⁵
	0.324	35.71	10/13/20	45.17	9.46 W	0.00	5 of 12 - Sale ²⁵
	0.197	21.72	10/13/20	25.77	4.05 W	0.00	6 of 12 - Sale ²⁵
	0.479	52.67	10/13/20	62.60	9.93 W	0.00	7 of 12 - Sale ²⁵
	0.036	3.94	10/13/20	5.65	1.71 W	0.00	8 of 12 - Sale ²⁵
	0.054	5.89	10/13/20	8.18	2.29 W	0.00	9 of 12 - Sale ²⁵
	0.324	35.64	10/13/20	49.58	13.94 W	0.00	10 of 12 - Sale ²⁵

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.123	13.51	10/13/20	17.74	4.23 W	0.00	11 of 12 - Sale ²⁵
	0.197	21.68	10/13/20	28.46	6.78 W	0.00	12 of 12 - Sale ²⁵
10/29/20	2.733	300.78	Various	374.84	70.50 W	-3.56	Total of 12 transactions
	Security total:	5,352.74		5,357.90	117.13 W	111.97	
BIG 5 SPORTING GOODS CORPORATION COMMON STOCK / CUSIP: 08915P101 / Symbol:							
10/12/20	15.000	113.55	09/18/20	100.94	...	12.61	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	317.47	07/23/20	357.47	...	-40.00	1 of 4 - Sale ²⁵
	1.000	158.73	07/27/20	169.84	...	-11.11	2 of 4 - Sale ²⁵
	2.000	317.47	07/29/20	328.32	...	-10.85	3 of 4 - Sale ²⁵
	1.000	158.74	07/29/20	165.18	...	-6.44	4 of 4 - Sale ²⁵
07/31/20	6.000	952.41	Various	1,020.81	...	-68.40	Total of 4 transactions
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
07/27/20	10.000	357.90	07/21/20	380.20	...	-22.30	Sale ²⁵
09/14/20	2.000	95.98	09/10/20	86.54	...	9.44	Sale ²⁵
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.778	40.94	09/10/20	33.65	...	7.29	1 of 2 - Sale ²⁵
	3.000	157.89	09/10/20	129.81	...	28.08	2 of 2 - Sale ²⁵
09/23/20	3.778	198.83	Various	163.46	...	35.37	Total of 2 transactions
	Security total:	652.71		630.20	...	22.51	
ELDORADO RESORTS, INC. COMMON STOCK / CUSIP: 28470R102 / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	9.000	304.19	05/20/20	274.05	...	30.14	1 of 2 - Sale ²⁵
	11.000	371.79	05/21/20	349.14	...	22.65	2 of 2 - Sale ²⁵
05/27/20	20.000	675.98	Various	623.19	...	52.79	Total of 2 transactions
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	4.000	145.92	05/21/20	126.96	...	18.96	1 of 3 - Sale ²⁵
	15.000	547.21	05/27/20	528.45	...	18.76	2 of 3 - Sale ²⁵

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ELDORADO RESORTS, INC. COMMON STOCK / CUSIP: 28470R102 / Symbol: (cont'd)							
	5.000	182.41	06/04/20	215.73	...	-33.32	3 of 3 - Sale ²⁵
06/12/20	24.000	875.54	Various	871.14	...	4.40	Total of 3 transactions
	Security total:	1,551.52		1,494.33	...	57.19	
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
07/29/20	100.000	296.00	07/14/20	371.00	...	-75.00	Sale ²⁵
EVOFEM BIOSCIENCES, INC. COMMON STOCK / CUSIP: 30048L104 / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	50.000	146.74	06/11/20	145.25	...	1.49	1 of 2 - Sale ²⁵
	100.000	293.49	06/11/20	290.50	...	2.99	2 of 2 - Sale ²⁵
07/02/20	150.000	440.23	Various	435.75	...	4.48	Total of 2 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	0.760	198.31	08/06/20	200.00	...	-1.69	1 of 2 - Sale ²⁵
	0.765	199.37	08/06/20	200.00	...	-0.63	2 of 2 - Sale ²⁵
08/12/20	1.525	397.68	Various	400.00	...	-2.32	Total of 2 transactions
INVESCO QQQ TRUST, SERIES 1 / CUSIP: 46090E103 / Symbol:							
06/01/20	1.000	233.26	04/30/20	219.28	...	13.98	Sale ²⁵
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	5.000	78.35	07/29/20	42.88	...	35.47	1 of 2 - Sale ²⁵
	15.000	235.05	07/29/20	128.62	...	106.43	2 of 2 - Sale ²⁵
07/30/20	20.000	313.40	Various	171.50	...	141.90	Total of 2 transactions
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
08/31/20	1.000	5.26	06/04/20	5.95	...	-0.69	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
07/20/20	5.000	401.51	07/15/20	409.19	...	-7.68	Sale ²⁵

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	0.004	0.08	09/30/20	0.08	...	0.00	1 of 2 - Sale ²⁵
	4.738	100.98	09/30/20	99.92	...	1.06	2 of 2 - Sale ²⁵
10/13/20	4.742	101.06	Various	100.00	...	1.06	Total of 2 transactions
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	0.310	16.25	11/13/20	13.42	...	2.83	1 of 2 - Sale ²⁵
	1.992	104.42	11/13/20	86.58	...	17.84	2 of 2 - Sale ²⁵
11/25/20	2.302	120.67	Various	100.00	...	20.67	Total of 2 transactions
	Security total:	221.73		200.00	...	21.73	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	0.001	0.44	07/16/20	0.42	...	0.02	1 of 3 - Sale ²⁵
	0.067	32.80	07/16/20	31.33	...	1.47	2 of 3 - Sale ²⁵
	0.999	489.74	07/16/20	468.25	...	21.49	3 of 3 - Sale ²⁵
07/17/20	1.067	522.98	Various	500.00	...	22.98	Total of 3 transactions
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
12/31/20	10.000	137.00	12/21/20	129.43	...	7.57	Sale ²⁵
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	5.000	269.83	06/09/20	373.80	...	-103.97	1 of 4 - Sale ²⁵
	5.000	269.83	07/01/20	339.24	...	-69.41	2 of 4 - Sale ²⁵
	2.000	107.93	07/01/20	131.40	...	-23.47	3 of 4 - Sale ²⁵
	10.000	539.66	07/02/20	611.00	...	-71.34	4 of 4 - Sale ²⁵
07/06/20	22.000	1,187.25	Various	1,455.44	...	-268.19	Total of 4 transactions
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
06/11/20	50.000	210.50	06/01/20	209.88	...	0.62	Sale ²⁵

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OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol:							
07/23/20	100.000	164.09	07/20/20	159.82	...	4.27	Sale ²⁵
07/27/20	100.000	136.17	07/20/20	159.82	...	-23.65	Sale ²⁵
	Security total:	300.26		319.64	...	-19.38	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
<i>4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.</i>							
	0.822	7.62	11/23/20	5.94	...	1.68	1 of 4 - Sale ²⁵
	0.822	7.62	11/23/20	5.94	...	1.68	2 of 4 - Sale ²⁵
	12.903	119.67	11/23/20	94.06	...	25.61	3 of 4 - Sale ²⁵
	12.992	120.50	11/23/20	94.06	...	26.44	4 of 4 - Sale ²⁵
12/04/20	27.538	255.41	Various	200.00	...	55.41	Total of 4 transactions
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
07/27/20	1.000	321.85	07/23/20	326.23	4.38 W	0.00	Sale ²⁵
<i>6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	0.493	168.51	08/05/20	165.71	...	2.80	1 of 6 - Sale ²⁵
	0.507	173.33	08/05/20	170.44	...	2.89	2 of 6 - Sale ²⁵
	0.507	173.33	08/05/20	168.23	...	5.10	3 of 6 - Sale ²⁵
	0.302	103.12	08/06/20	100.00	...	3.12	4 of 6 - Sale ²⁵
	1.485	507.59	08/11/20	500.00	...	7.59	5 of 6 - Sale ²⁵
	0.891	304.73	08/13/20	300.00	...	4.73	6 of 6 - Sale ²⁵
08/24/20	4.185	1,430.61	Various	1,404.38	...	26.23	Total of 6 transactions
09/04/20	2.920	998.32	08/24/20	1,000.00	1.15 W	-0.53	Sale ²⁵
10/13/20	2.000	701.48	09/04/20	689.23	...	12.25	Sale ²⁵
	Security total:	3,452.26		3,419.84	5.53 W	37.95	
SONY CORPORATION ADS / CUSIP: 835699307 / Symbol:							
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	143.06	06/01/20	131.09	...	11.97	1 of 2 - Sale ²⁵
	3.000	214.60	06/01/20	196.41	...	18.19	2 of 2 - Sale ²⁵
06/18/20	5.000	357.66	Various	327.50	...	30.16	Total of 2 transactions
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
05/04/20	2.000	23.78	04/27/20	24.90	...	-1.12	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 153176342

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
12/08/20	100.000	52.24	12/02/20	81.48	...	-29.24	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	882.16	06/01/20	882.42	...	-0.26	1 of 2 - Sale ²⁵
	1.000	882.15	06/01/20	871.00	...	11.15	2 of 2 - Sale ²⁵
06/01/20	2.000	1,764.31	Various	1,753.42	...	10.89	Total of 2 transactions
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	0.138	199.94	07/31/20	200.00	...	-0.06	1 of 2 - Sale ²⁵
	0.139	201.60	07/31/20	200.00	...	1.60	2 of 2 - Sale ²⁵
08/03/20	0.277	401.54	Various	400.00	...	1.54	Total of 2 transactions
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	0.204	295.80	07/31/20	300.00	1.99 W	-2.21	1 of 2 - Sale ²⁵
	0.136	196.71	07/31/20	200.00	3.29 W	0.00	2 of 2 - Sale ²⁵
08/03/20	0.340	492.51	Various	500.00	5.28 W	-2.21	Total of 2 transactions
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.163	63.58	08/24/20	66.22	2.64 W	0.00	1 of 5 - Sale ²⁵
	0.336	130.75	08/24/20	136.20	5.45 W	0.00	2 of 5 - Sale ²⁵
	0.457	177.79	08/27/20	210.25	32.46 W	0.00	3 of 5 - Sale ²⁵
	0.179	69.66	08/27/20	82.50	12.84 W	0.00	4 of 5 - Sale ²⁵
	0.028	10.69	08/31/20	14.11	3.42 W	0.00	5 of 5 - Sale ²⁵
09/04/20	1.163	452.47	Various	509.28	56.81 W	0.00	Total of 5 transactions
09/08/20	0.316	108.15	09/04/20	137.00	...	-28.85	Sale ²⁵
09/08/20	0.163	55.87	09/04/20	70.77	8.71 W	-6.19	Sale ²⁵
4 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	0.355	129.50	09/09/20	153.24	...	-23.74	1 of 4 - Sale ²⁵
	0.179	65.40	09/09/20	77.52	...	-12.12	2 of 4 - Sale ²⁵
	0.020	7.24	09/09/20	7.48	...	-0.24	3 of 4 - Sale ²⁵
	0.000	0.10	09/09/20	0.12	...	-0.02	4 of 4 - Sale ²⁵
09/11/20	0.554	202.24	Various	238.36	...	-36.12	Total of 4 transactions
6 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	0.028	12.36	09/22/20	15.63	3.27 W	0.00	1 of 6 - Sale ²⁵
	0.095	42.84	09/22/20	51.00	8.16 W	0.00	2 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 153176342

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.102	45.96	09/22/20	52.66	6.70 W	0.00	3 of 6 - Sale ²⁵
	0.000	0.08	09/22/20	0.10	0.02 W	0.00	4 of 6 - Sale ²⁵
	0.001	0.59	10/14/20	0.61	0.02 W	0.00	5 of 6 - Sale ²⁵
	0.218	98.17	10/14/20	100.00	1.83 W	0.00	6 of 6 - Sale ²⁵
10/15/20	0.445	200.00	Various	220.00	20.00 W	0.00	Total of 6 transactions
	10 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	0.016	10.49	10/14/20	9.44	...	1.05	1 of 10 - Sale ²⁵
	0.095	61.81	10/14/20	52.48	...	9.33	2 of 10 - Sale ²⁵
	0.102	66.31	10/14/20	54.25	...	12.06	3 of 10 - Sale ²⁵
	0.000	0.12	10/21/20	0.10	...	0.02	4 of 10 - Sale ²⁵
	0.011	7.34	10/21/20	6.17	...	1.17	5 of 10 - Sale ²⁵
	0.153	99.21	10/21/20	66.38	...	32.83	6 of 10 - Sale ²⁵
	0.001	0.85	11/03/20	0.57	...	0.28	7 of 10 - Sale ²⁵
	0.052	33.59	11/03/20	21.85	...	11.74	8 of 10 - Sale ²⁵
	0.065	42.44	11/03/20	28.15	...	14.29	9 of 10 - Sale ²⁵
	0.167	108.07	12/04/20	100.00	...	8.07	10 of 10 - Sale ²⁵
12/21/20	0.664	430.23	Various	339.39	...	90.84	Total of 10 transactions
	Security total:	4,107.32		4,168.22	90.80 W	29.90	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
	2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	1.999	95.73	10/29/20	105.03	...	-9.30	1 of 2 - Sale ²⁵
	0.001	0.05	10/29/20	0.07	...	-0.02	2 of 2 - Sale ²⁵
10/29/20	2.000	95.78	Various	105.10	...	-9.32	Total of 2 transactions
10/29/20	0.855	40.82	10/29/20	44.91	0.01 W	-4.08	Sale ²⁵
	Security total:	136.60		150.01	0.01 W	-13.40	
VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	10.000	509.78	07/06/20	576.25	...	-66.47	1 of 2 - Sale ²⁵
	9.000	458.10	07/06/20	518.63	...	-60.53	2 of 2 - Sale ²⁵
07/08/20	19.000	967.88	Various	1,094.88	...	-127.00	Total of 2 transactions

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Robinhood Securities LLC

Account 153176342

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
9 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	10/16/20	0.01	...	0.00	1 of 9 - Sale ²⁵
	0.999	380.61	11/12/20	430.03	...	-49.42	2 of 9 - Sale ²⁵
	0.155	59.03	11/12/20	66.64	...	-7.61	3 of 9 - Sale ²⁵
	0.082	31.32	11/18/20	48.71	...	-17.39	4 of 9 - Sale ²⁵
	0.101	38.55	11/18/20	53.35	...	-14.80	5 of 9 - Sale ²⁵
	0.407	155.00	11/18/20	168.58	...	-13.58	6 of 9 - Sale ²⁵
	0.126	48.01	11/18/20	54.17	...	-6.16	7 of 9 - Sale ²⁵
	0.008	2.95	11/18/20	3.60	...	-0.65	8 of 9 - Sale ²⁵
	0.122	46.36	12/01/20	50.00	...	-3.64	9 of 9 - Sale ²⁵
12/14/20	2.000	761.84	Various	875.09	...	-113.25	Total of 9 transactions
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	0.101	38.58	10/12/20	50.00	11.42 W	0.00	1 of 4 - Sale ²⁵
	0.082	31.34	10/16/20	45.99	14.65 W	0.00	2 of 4 - Sale ²⁵
	0.126	48.05	11/11/20	50.00	1.95 W	0.00	3 of 4 - Sale ²⁵
	0.008	2.94	11/12/20	3.33	0.39 W	0.00	4 of 4 - Sale ²⁵
12/14/20	0.317	120.91	Various	149.32	28.41 W	0.00	Total of 4 transactions
	Security total:	882.75		1,024.41	28.41 W	-113.25	
VISION MARINE TECHNOLOGIES INC . COMMON SHARES / CUSIP: C96657116 / Symbol:							
12/23/20	10.000	153.00	12/03/20	129.70	...	23.30	Sale ²⁵
Totals :		93,739.84		91,096.65	879.06 W	3,522.25	

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Robinhood Securities LLC

Account 153176342

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
08/31/20	1.000	9.02	04/27/18	3.48	...	5.54	Sale ²⁵
Totals :		9.02		3.48		5.54	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 153176342

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		08/13/20	1.73	Qualified dividend	
			11/12/20	0.37	Qualified dividend	
				2.10	Total Dividends & distributions	
SPDR S&P 500 ETF TRUST	78462F103		10/30/20	2.68	Qualified dividend	
				4.78	Total Dividends & distributions	

Robinhood Securities LLC

Account 153176342

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
PFE 03/19/2021 CALL \$41.00	PFE 03/19/21 C 41.000	11/17/20	-1.00	0.00	Merger	
PFE1 03/19/2021 CALL \$41.00	PFE1 03/19/21 C 41.000	11/17/20	1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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