

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>Tax Information</b> <b>Account 270182611</b>	Statement Date: 01/14/2021 Document ID: P17C 99W 3425	2020
	SANDEEP KUMAR BACHALA 9601 VALLEY RANCH PKWY E APT 2070 IRVING, TX 75063-7835	PAYER'S TIN: 47-0533629	

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      81.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      81.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	113,408.76	121,014.70	0.00	3,118.06	-4,487.88
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Short-term</b>		<b>113,408.76</b>	<b>121,014.70</b>	<b>0.00</b>	<b>3,118.06</b>	<b>-4,487.88</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Grand total</b>		<b>113,408.76</b>	<b>121,014.70</b>	<b>0.00</b>	<b>3,118.06</b>	<b>-4,487.88</b>

  

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 270182611

**Summary Information**

2020

(continued)

01/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.05
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

01/14/2021

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
08/12/20	2.000	903.46	08/12/20	902.50	...	0.96	Sale
10/12/20	3.000	361.06	10/09/20	348.21	...	12.85	Sale
	<b>Security total:</b>	<b>1,264.52</b>		<b>1,250.71</b>	...	<b>13.81</b>	
CHEGG INC COM / CUSIP: 163092109 / Symbol: CHGG							
09/23/20	2.000	140.43	09/21/20	133.68	...	6.75	Sale
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
08/19/20	1.000	1,899.96	08/19/20	1,861.25	...	38.71	Sale
WORKHORSE GROUP INC COM / CUSIP: 98138J206 / Symbol: WKHS							
09/25/20	4.000	100.48	09/25/20	99.10	...	1.38	Sale
AMERICAN AIRLINES GROUP INC AAL Aug 28 2020 13.0 Call / CUSIP: / Symbol: AAL 08/28/20 C 13.000							
08/19/20	2.000	182.67	08/19/20	154.34	...	28.33	Option sale
APPLE INC AAPL Aug 28 2020 475.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 475.000							
08/20/20	1.000	719.31	08/20/20	710.67	...	8.64	Option sale
APPLE INC AAPL Aug 28 2020 490.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 490.000							
08/21/20	1.000	614.32	08/21/20	583.67	...	30.65	Option sale

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Account 270182611

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
APPLE INC AAPL Aug 28 2020 495.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 495.000							
08/21/20	2.000	1,206.64	Various	1,071.34	...	135.30	Total of 2 transactions
APPLE INC AAPL Aug 28 2020 500.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 500.000							
08/21/20	1.000	724.31	08/21/20	515.67	...	208.64	Option sale
APPLE INC AAPL Aug 28 2020 580.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 580.000							
08/24/20	2.000	409.66	Various	400.34	...	9.32	Total of 2 transactions
APPLE INC AAPL Aug 28 2020 610.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 610.000							
08/24/20	1.000	129.33	08/24/20	136.67	...	-7.34	Option sale
APPLE INC AAPL Sep 04 2020 130.0 Call / CUSIP: / Symbol: AAPL 09/04/20 C 130.000							
08/31/20	1.000	295.32	08/31/20	312.01	...	-16.69	Option sale
08/31/20	2.000	520.66	08/31/20	524.34	18.34 W	14.66	Option sale
<b>Security total:</b>		<b>815.98</b>		<b>836.35</b>	<b>18.34 W</b>	<b>-2.03</b>	
APPLE INC AAPL Sep 11 2020 115.0 Call / CUSIP: / Symbol: AAPL 09/11/20 C 115.000							
09/10/20	1.000	106.33	09/10/20	119.67	...	-13.34	Option sale
APPLE INC AAPL Sep 18 2020 120.0 Call / CUSIP: / Symbol: AAPL 09/18/20 C 120.000							
09/11/20	1.000	120.33	09/10/20	173.67	...	-53.34	Option sale
APPLE INC AAPL Sep 18 2020 122.5 Call / CUSIP: / Symbol: AAPL 09/18/20 C 122.500							
09/16/20	1.000	15.33	09/15/20	82.67	...	-67.34	Option sale
APPLE INC AAPL Sep 18 2020 130.0 Call / CUSIP: / Symbol: AAPL 09/18/20 C 130.000							
09/10/20	1.000	44.33	09/09/20	90.67	...	-46.34	Option sale
APPLE INC AAPL Sep 25 2020 110.0 Call / CUSIP: / Symbol: AAPL 09/25/20 C 110.000							
09/24/20	2.000	284.68	Various	293.32	...	-8.64	Total of 2 transactions
APPLE INC AAPL Sep 25 2020 112.5 Call / CUSIP: / Symbol: AAPL 09/25/20 C 112.500							
09/22/20	1.000	183.33	09/22/20	204.01	...	-20.68	Option sale
09/22/20	1.000	201.33	09/22/20	208.67	7.34 W	0.00	Option sale
<b>Security total:</b>		<b>384.66</b>		<b>412.68</b>	<b>7.34 W</b>	<b>-20.68</b>	

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Account 270182611

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
APPLE INC AAPL Sep 25 2020 118.75 Call / CUSIP: / Symbol: AAPL 09/25/20 C 118.750							
09/14/20	1.000	231.32	09/14/20	249.67	...	-18.35	Option sale
APPLE INC AAPL Oct 02 2020 113.75 Call / CUSIP: / Symbol: AAPL 10/02/20 C 113.750							
09/24/20	1.000	164.34	09/24/20	163.66	...	0.68	Option sale
APPLE INC AAPL Oct 16 2020 123.75 Call / CUSIP: / Symbol: AAPL 10/16/20 C 123.750							
10/12/20	1.000	248.33	10/12/20	249.66	...	-1.33	Option sale
APPLE INC AAPL Oct 30 2020 118.0 Call / CUSIP: / Symbol: AAPL 10/30/20 C 118.000							
10/27/20	1.000	250.33	10/27/20	262.99	...	-12.66	Option sale
10/27/20	1.000	258.33	10/27/20	258.66	0.33 W	0.00	Option sale
	<b>Security total:</b>	<b>508.66</b>		<b>521.65</b>	<b>0.33 W</b>	<b>-12.66</b>	
APPLE INC AAPL Nov 06 2020 117.0 Call / CUSIP: / Symbol: AAPL 11/06/20 C 117.000							
10/29/20	1.000	292.33	10/29/20	310.66	...	-18.33	Option sale
APPLE INC AAPL Nov 13 2020 111.0 Call / CUSIP: / Symbol: AAPL 11/13/20 C 111.000							
11/03/20	1.000	286.33	11/03/20	286.66	...	-0.33	Option sale
APPLE INC AAPL Nov 13 2020 113.0 Call / CUSIP: / Symbol: AAPL 11/13/20 C 113.000							
11/03/20	1.000	250.33	11/03/20	251.66	...	-1.33	Option sale
APPLE INC AAPL Nov 13 2020 116.0 Call / CUSIP: / Symbol: AAPL 11/13/20 C 116.000							
11/04/20	3.000	702.00	Various	705.96	...	-3.96	Total of 3 transactions
11/04/20	3.000	653.00	Various	745.96	92.96 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,355.00</b>		<b>1,451.92</b>	<b>92.96 W</b>	<b>-3.96</b>	
APPLE INC AAPL Nov 13 2020 118.0 Call / CUSIP: / Symbol: AAPL 11/13/20 C 118.000							
11/10/20	1.000	105.34	11/10/20	192.98	...	-87.64	Option sale
11/10/20	1.000	136.34	11/10/20	158.66	22.32 W	0.00	Option sale
	<b>Security total:</b>	<b>241.68</b>		<b>351.64</b>	<b>22.32 W</b>	<b>-87.64</b>	
APPLE INC AAPL Nov 13 2020 120.0 Call / CUSIP: / Symbol: AAPL 11/13/20 C 120.000							
11/05/20	3.000	540.02	Various	870.94	330.92 W	0.00	Total of 3 transactions
11/12/20	1.000	113.34	11/12/20	243.62	...	-130.28	Option sale
	<b>Security total:</b>	<b>653.36</b>		<b>1,114.56</b>	<b>330.92 W</b>	<b>-130.28</b>	

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TD Ameritrade Clearing, Inc.

Account 270182611

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC AAPL Nov 20 2020 123.0 Call / CUSIP: / Symbol: AAPL 11/20/20 C 123.000							
11/09/20	1.000	200.34	11/09/20	198.66	...	1.68	Option sale
APPLE INC AAPL Dec 04 2020 122.0 Call / CUSIP: / Symbol: AAPL 12/04/20 C 122.000							
12/01/20	3.000	583.02	Various	549.96	...	33.06	Total of 3 transactions
12/01/20	4.000	637.34	Various	703.60	67.93 W	1.67	Total of 3 transactions
12/02/20	2.000	298.68	Various	272.32	...	26.36	Total of 2 transactions
<b>Security total:</b>		<b>1,519.04</b>		<b>1,525.88</b>	<b>67.93 W</b>	<b>61.09</b>	
APPLE INC AAPL Dec 11 2020 124.0 Call / CUSIP: / Symbol: AAPL 12/11/20 C 124.000							
12/07/20	1.000	162.34	12/07/20	193.66	...	-31.32	Option sale
APPLE INC AAPL Dec 24 2020 133.0 Call / CUSIP: / Symbol: AAPL 12/24/20 C 133.000							
12/22/20	1.000	229.33	12/22/20	181.66	...	47.67	Option sale
APPLE INC AAPL Dec 31 2020 135.0 Call / CUSIP: / Symbol: AAPL 12/31/20 C 135.000							
12/28/20	1.000	189.34	12/28/20	171.66	...	17.68	Option sale
APPLE INC AAPL Dec 31 2020 136.0 Call / CUSIP: / Symbol: AAPL 12/31/20 C 136.000							
12/28/20	1.000	145.34	12/28/20	158.66	...	-13.32	Option sale
APPLE INC AAPL Aug 28 2020 480.0 Put / CUSIP: / Symbol: AAPL 08/28/20 P 480.000							
08/25/20	1.000	479.32	08/25/20	450.67	...	28.65	Option sale
APPLE INC AAPL Sep 11 2020 112.5 Put / CUSIP: / Symbol: AAPL 09/11/20 P 112.500							
09/04/20	1.000	430.32	09/04/20	310.67	...	119.65	Option sale
APPLE INC AAPL Sep 18 2020 110.0 Put / CUSIP: / Symbol: AAPL 09/18/20 P 110.000							
09/11/20	1.000	274.32	09/11/20	291.67	...	-17.35	Option sale
APPLE INC AAPL Sep 25 2020 105.0 Put / CUSIP: / Symbol: AAPL 09/25/20 P 105.000							
09/17/20	2.000	346.66	Various	302.34	...	44.32	Total of 2 transactions
09/17/20	1.000	146.33	09/17/20	176.67	30.34 W	0.00	Option sale
09/18/20	1.000	169.33	09/18/20	200.01	...	-30.68	Option sale
<b>Security total:</b>		<b>662.32</b>		<b>679.02</b>	<b>30.34 W</b>	<b>13.64</b>	
APPLE INC AAPL Oct 16 2020 122.0 Put / CUSIP: / Symbol: AAPL 10/16/20 P 122.000							
10/13/20	1.000	299.33	10/13/20	285.66	...	13.67	Option sale

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TD Ameritrade Clearing, Inc.

Account 270182611

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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APPLE INC AAPL Oct 23 2020 117.0 Put / CUSIP: / Symbol: AAPL 10/23/20 P 117.000							
10/21/20	1.000	141.34	10/21/20	140.66	...	0.68	Option sale
APPLE INC AAPL Oct 30 2020 116.0 Put / CUSIP: / Symbol: AAPL 10/30/20 P 116.000							
10/27/20	1.000	271.33	10/27/20	271.66	...	-0.33	Option sale
APPLE INC AAPL Nov 06 2020 110.0 Put / CUSIP: / Symbol: AAPL 11/06/20 P 110.000							
11/03/20	1.000	211.34	11/03/20	212.66	...	-1.32	Option sale
APPLE INC AAPL Nov 06 2020 114.0 Put / CUSIP: / Symbol: AAPL 11/06/20 P 114.000							
11/04/20	1.000	118.34	11/04/20	126.66	...	-8.32	Option sale
APPLE INC AAPL Nov 13 2020 116.0 Put / CUSIP: / Symbol: AAPL 11/13/20 P 116.000							
11/10/20	1.000	174.34	11/10/20	168.66	...	5.68	Option sale
AES CORP AES Oct 16 2020 19.0 Call / CUSIP: / Symbol: AES 10/16/20 C 19.000							
09/15/20	1.000	39.33	09/11/20	35.67	...	3.66	Option sale
ADVANCED MICRO DEVICES INC AMD Sep 04 2020 88.0 Call / CUSIP: / Symbol: AMD 09/04/20 C 88.000							
08/26/20	1.000	226.32	08/26/20	253.67	...	-27.35	Option sale
ADVANCED MICRO DEVICES INC AMD Sep 04 2020 90.0 Call / CUSIP: / Symbol: AMD 09/04/20 C 90.000							
08/31/20	2.000	589.64	Various	587.34	...	2.30	Total of 2 transactions
ADVANCED MICRO DEVICES INC AMD Sep 25 2020 76.0 Call / CUSIP: / Symbol: AMD 09/25/20 C 76.000							
09/21/20	2.000	506.64	Various	476.34	...	30.30	Total of 2 transactions
ADVANCED MICRO DEVICES INC AMD Sep 25 2020 78.5 Call / CUSIP: / Symbol: AMD 09/25/20 C 78.500							
09/18/20	1.000	108.33	09/18/20	142.67	...	-34.34	Option sale
ADVANCED MICRO DEVICES INC AMD Oct 02 2020 80.0 Call / CUSIP: / Symbol: AMD 10/02/20 C 80.000							
09/28/20	1.000	126.34	09/28/20	143.66	17.32 W	0.00	Option sale
09/29/20	1.000	185.34	09/28/20	125.98	...	59.36	Option sale
<b>Security total:</b>		<b>311.68</b>		<b>269.64</b>	<b>17.32 W</b>	<b>59.36</b>	

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01/14/2021

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ADVANCED MICRO DEVICES INC AMD Oct 16 2020 89.0 Call / CUSIP: / Symbol: AMD 10/16/20 C 89.000							
10/07/20	1.000	224.34	10/07/20	223.66	...	0.68	Option sale
10/07/20	2.000	409.68	Various	461.64	51.96 W	0.00	Total of 2 transactions
10/08/20	1.000	208.34	10/08/20	265.30	...	-56.96	Option sale
	<b>Security total:</b>	<b>842.36</b>		<b>950.60</b>	<b>51.96 W</b>	<b>-56.28</b>	
ADVANCED MICRO DEVICES INC AMD Oct 23 2020 84.5 Call / CUSIP: / Symbol: AMD 10/23/20 C 84.500							
10/19/20	1.000	165.34	10/19/20	187.66	...	-22.32	Option sale
ADVANCED MICRO DEVICES INC AMD Oct 23 2020 90.0 Call / CUSIP: / Symbol: AMD 10/23/20 C 90.000							
10/13/20	1.000	134.34	10/13/20	123.66	...	10.68	Option sale
10/16/20	1.000	26.34	10/13/20	120.66	...	-94.32	Option sale
	<b>Security total:</b>	<b>160.68</b>		<b>244.32</b>	...	<b>-83.64</b>	
ADVANCED MICRO DEVICES INC AMD Oct 30 2020 83.0 Call / CUSIP: / Symbol: AMD 10/30/20 C 83.000							
10/23/20	1.000	281.33	10/23/20	292.66	...	-11.33	Option sale
ADVANCED MICRO DEVICES INC AMD Nov 06 2020 77.5 Call / CUSIP: / Symbol: AMD 11/06/20 C 77.500							
10/29/20	1.000	314.33	10/29/20	297.66	...	16.67	Option sale
ADVANCED MICRO DEVICES INC AMD Nov 13 2020 84.5 Call / CUSIP: / Symbol: AMD 11/13/20 C 84.500							
11/06/20	1.000	210.34	11/06/20	204.98	...	5.36	Option sale
11/06/20	1.000	210.34	11/06/20	212.66	2.32 W	0.00	Option sale
	<b>Security total:</b>	<b>420.68</b>		<b>417.64</b>	<b>2.32 W</b>	<b>5.36</b>	
ADVANCED MICRO DEVICES INC AMD Nov 20 2020 86.0 Call / CUSIP: / Symbol: AMD 11/20/20 C 86.000							
11/09/20	1.000	250.33	11/09/20	266.66	...	-16.33	Option sale
ADVANCED MICRO DEVICES INC AMD Dec 04 2020 89.0 Call / CUSIP: / Symbol: AMD 12/04/20 C 89.000							
11/30/20	2.000	447.67	Various	402.32	...	45.35	Total of 2 transactions
ADVANCED MICRO DEVICES INC AMD Dec 04 2020 95.0 Call / CUSIP: / Symbol: AMD 12/04/20 C 95.000							
12/02/20	2.000	442.68	Various	398.32	...	44.36	Total of 2 transactions
ADVANCED MICRO DEVICES INC AMD Dec 24 2020 94.0 Call / CUSIP: / Symbol: AMD 12/24/20 C 94.000							
12/11/20	1.000	194.34	12/10/20	270.66	...	-76.32	Option sale

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Account 270182611

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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ADVANCED MICRO DEVICES INC AMD Dec 31 2020 96.0 Call / CUSIP: / Symbol: AMD 12/31/20 C 96.000							
12/22/20	1.000	91.34	12/21/20	168.66	...	-77.32	Option sale
ADVANCED MICRO DEVICES INC AMD Sep 25 2020 76.0 Put / CUSIP: / Symbol: AMD 09/25/20 P 76.000							
09/22/20	1.000	150.33	09/22/20	150.67	...	-0.34	Option sale
ADVANCED MICRO DEVICES INC AMD Oct 16 2020 82.5 Put / CUSIP: / Symbol: AMD 10/16/20 P 82.500							
10/15/20	1.000	95.34	10/15/20	104.98	...	-9.64	Option sale
10/15/20	1.000	94.34	10/15/20	99.66	5.32 W	0.00	Option sale
	<b>Security total:</b>	<b>189.68</b>		<b>204.64</b>	<b>5.32 W</b>	<b>-9.64</b>	
ADVANCED MICRO DEVICES INC AMD Jan 08 2021 96.0 Call / CUSIP: / Symbol: AMD 01/08/21 C 96.000							
12/30/20	1.000	96.34	12/30/20	95.66	...	0.68	Option sale
APACHE CORP APA Aug 28 2020 15.0 Call / CUSIP: / Symbol: APA 08/28/20 C 15.000							
08/24/20	2.000	70.67	08/24/20	59.33	...	11.34	Option sale
ACTIVISION BLIZZARD INC ATVI Oct 02 2020 80.5 Call / CUSIP: / Symbol: ATVI 10/02/20 C 80.500							
09/24/20	1.000	172.34	09/24/20	181.66	...	-9.32	Option sale
ACTIVISION BLIZZARD INC ATVI Oct 23 2020 82.5 Call / CUSIP: / Symbol: ATVI 10/23/20 C 82.500							
10/21/20	1.000	68.34	10/21/20	64.66	...	3.68	Option sale
BOEING CO BA Aug 14 2020 180.0 Call / CUSIP: / Symbol: BA 08/14/20 C 180.000							
08/05/20	1.000	459.32	08/05/20	450.67	...	8.65	Option sale
BOEING CO BA Aug 28 2020 175.0 Call / CUSIP: / Symbol: BA 08/28/20 C 175.000							
08/24/20	1.000	414.32	08/24/20	403.67	...	10.65	Option sale
BOEING CO BA Sep 11 2020 200.0 Call / CUSIP: / Symbol: BA 09/11/20 C 200.000							
09/03/20	1.000	154.33	09/03/20	171.67	...	-17.34	Option sale
BOEING CO BA Sep 18 2020 165.0 Call / CUSIP: / Symbol: BA 09/18/20 C 165.000							
09/14/20	1.000	324.32	09/14/20	310.67	...	13.65	Option sale
BOEING CO BA Sep 25 2020 160.0 Call / CUSIP: / Symbol: BA 09/25/20 C 160.000							
09/23/20	1.000	191.34	09/23/20	283.66	...	-92.32	Option sale

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(continued)

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BOEING CO BA Oct 09 2020 190.0 Call / CUSIP: / Symbol: BA 10/09/20 C 190.000							
09/30/20	1.000	92.34	09/30/20	122.66	...	-30.32	Option sale
BOEING CO BA Nov 20 2020 215.0 Call / CUSIP: / Symbol: BA 11/20/20 C 215.000							
11/16/20	2.000	384.68	Various	420.32	...	-35.64	Total of 2 transactions
BOEING CO BA Dec 11 2020 252.5 Call / CUSIP: / Symbol: BA 12/11/20 C 252.500							
12/07/20	1.000	199.34	12/07/20	524.98	...	-325.64	Option sale
12/07/20	1.000	197.34	12/07/20	440.66	243.32 W	0.00	Option sale
	<b>Security total:</b>	<b>396.68</b>		<b>965.64</b>	<b>243.32 W</b>	<b>-325.64</b>	
BOEING CO BA Dec 18 2020 242.5 Call / CUSIP: / Symbol: BA 12/18/20 C 242.500							
12/14/20	1.000	173.34	12/14/20	208.66	...	-35.32	Option sale
BOEING CO BA Dec 04 2020 232.5 Put / CUSIP: / Symbol: BA 12/04/20 P 232.500							
12/04/20	1.000	102.34	12/04/20	166.66	...	-64.32	Option sale
ALIBABA GROUP HOLDING LTD BABA Sep 04 2020 290.0 Call / CUSIP: / Symbol: BABA 09/04/20 C 290.000							
08/25/20	1.000	494.32	08/25/20	540.67	...	-46.35	Option sale
ALIBABA GROUP HOLDING LTD BABA Sep 04 2020 295.0 Call / CUSIP: / Symbol: BABA 09/04/20 C 295.000							
08/25/20	1.000	399.32	08/25/20	395.67	...	3.65	Option sale
ALIBABA GROUP HOLDING LTD BABA Oct 23 2020 325.0 Call / CUSIP: / Symbol: BABA 10/23/20 C 325.000							
10/19/20	1.000	213.34	10/19/20	205.66	...	7.68	Option sale
ALIBABA GROUP HOLDING LTD BABA Dec 04 2020 267.5 Call / CUSIP: / Symbol: BABA 12/04/20 C 267.500							
12/03/20	1.000	206.34	12/03/20	393.31	...	-186.97	Option sale
12/03/20	2.000	444.67	Various	721.65	276.98 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>651.01</b>		<b>1,114.96</b>	<b>276.98 W</b>	<b>-186.97</b>	
BANK OF AMERICA CORPORATION BAC Dec 31 2020 29.0 Call / CUSIP: / Symbol: BAC 12/31/20 C 29.000							
12/21/20	2.000	189.68	Various	169.33	...	20.35	Total of 2 transactions
BIGCOMMERCE HLDGS INC BIGC Oct 16 2020 100.0 Call / CUSIP: / Symbol: BIGC 10/16/20 C 100.000							
09/15/20	1.000	519.32	09/15/20	510.67	...	8.65	Option sale
09/21/20	1.000	204.33	09/15/20	530.67	...	-326.34	Option sale
	<b>Security total:</b>	<b>723.65</b>		<b>1,041.34</b>	...	<b>-317.69</b>	

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BEYOND MEAT INC BYND Sep 11 2020 145.0 Call / CUSIP: / Symbol: BYND 09/11/20 C 145.000							
09/03/20	1.000	429.32	09/03/20	423.67	...	5.65	Option sale
BEYOND MEAT INC BYND Sep 11 2020 147.0 Call / CUSIP: / Symbol: BYND 09/11/20 C 147.000							
09/10/20	1.000	182.33	09/10/20	215.67	...	-33.34	Option sale
BEYOND MEAT INC BYND Nov 20 2020 140.0 Call / CUSIP: / Symbol: BYND 11/20/20 C 140.000							
11/19/20	1.000	130.34	11/19/20	128.66	...	1.68	Option sale
BEYOND MEAT INC BYND Sep 11 2020 134.0 Put / CUSIP: / Symbol: BYND 09/11/20 P 134.000							
09/11/20	1.000	79.33	09/11/20	120.67	...	-41.34	Option sale
CITIGROUP INC C Sep 11 2020 54.0 Call / CUSIP: / Symbol: C 09/11/20 C 54.000							
08/27/20	1.000	60.33	08/27/20	69.67	...	-9.34	Option sale
CARNIVAL CORP CCL Sep 25 2020 15.0 Call / CUSIP: / Symbol: CCL 09/25/20 C 15.000							
09/25/20	1.000	0.34	09/25/20	9.66	...	-9.32	Option sale
CANOPY GROWTH CORPORATION CGC Oct 23 2020 18.0 Call / CUSIP: / Symbol: CGC 10/23/20 C 18.000							
10/13/20	1.000	102.34	10/13/20	102.66	...	-0.32	Option sale
CANOPY GROWTH CORPORATION CGC Oct 30 2020 20.5 Call / CUSIP: / Symbol: CGC 10/30/20 C 20.500							
10/21/20	1.000	85.34	10/21/20	77.66	...	7.68	Option sale
CANOPY GROWTH CORPORATION CGC Nov 06 2020 18.5 Call / CUSIP: / Symbol: CGC 11/06/20 C 18.500							
10/28/20	2.000	279.68	Various	283.32	...	-3.64	Total of 2 transactions
CANOPY GROWTH CORPORATION CGC Nov 13 2020 23.0 Call / CUSIP: / Symbol: CGC 11/13/20 C 23.000							
11/06/20	2.000	410.68	Various	381.32	...	29.36	Total of 2 transactions
CANOPY GROWTH CORPORATION CGC Nov 13 2020 25.0 Call / CUSIP: / Symbol: CGC 11/13/20 C 25.000							
11/06/20	1.000	143.34	11/06/20	220.66	...	-77.32	Option sale
CANOPY GROWTH CORPORATION CGC Nov 20 2020 25.0 Call / CUSIP: / Symbol: CGC 11/20/20 C 25.000							
11/16/20	2.000	82.67	11/16/20	153.32	70.65 W	0.00	Option sale
11/17/20	2.000	145.68	Various	216.97	...	-71.29	Total of 2 transactions
<b>Security total:</b>		<b>228.35</b>		<b>370.29</b>	<b>70.65 W</b>	<b>-71.29</b>	

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CANOPY GROWTH CORPORATION CGC Dec 11 2020 28.0 Call / CUSIP: / Symbol: CGC 12/11/20 C 28.000							
12/02/20	2.000	359.68	Various	339.32	...	20.36	Total of 2 transactions
CHEWY INC CHWY Nov 06 2020 75.0 Call / CUSIP: / Symbol: CHWY 11/06/20 C 75.000							
10/28/20	1.000	229.33	10/28/20	252.66	...	-23.33	Option sale
CLOUDERA INC CLDR Sep 04 2020 14.0 Call / CUSIP: / Symbol: CLDR 09/04/20 C 14.000							
08/31/20	1.000	85.33	08/31/20	65.67	...	19.66	Option sale
08/31/20	1.000	84.33	08/31/20	84.67	0.34 W	0.00	Option sale
09/01/20	1.000	81.33	08/31/20	81.01	...	0.32	Option sale
	<b>Security total:</b>	<b>250.99</b>		<b>231.35</b>	<b>0.34 W</b>	<b>19.98</b>	
CLOROX CO CLX Nov 06 2020 207.5 Put / CUSIP: / Symbol: CLX 11/06/20 P 207.500							
11/02/20	1.000	179.34	11/02/20	175.66	...	3.68	Option sale
CAMPBELL SOUP CO CPB Sep 04 2020 52.5 Call / CUSIP: / Symbol: CPB 09/04/20 C 52.500							
08/31/20	1.000	149.33	08/31/20	142.67	...	6.66	Option sale
SALESFORCE.COM INC CRM Aug 28 2020 222.5 Call / CUSIP: / Symbol: CRM 08/28/20 C 222.500							
08/25/20	2.000	714.64	Various	686.34	...	28.30	Total of 2 transactions
SALESFORCE.COM INC CRM Oct 09 2020 270.0 Call / CUSIP: / Symbol: CRM 10/09/20 C 270.000							
09/30/20	1.000	205.34	09/30/20	195.66	...	9.68	Option sale
CROWDSTRIKE HOLDINGS INC CRWD Sep 04 2020 150.0 Call / CUSIP: / Symbol: CRWD 09/04/20 C 150.000							
09/02/20	1.000	789.31	09/02/20	1,320.67	...	-531.36	Option sale
CVS HEALTH CORPORATION CVS Oct 09 2020 58.5 Call / CUSIP: / Symbol: CVS 10/09/20 C 58.500							
09/30/20	1.000	104.34	09/30/20	104.66	...	-0.32	Option sale
CAESARS ENTERTAINMENT INC NEW CZR Oct 16 2020 60.0 Call / CUSIP: / Symbol: CZR 10/16/20 C 60.000							
10/02/20	1.000	230.33	10/01/20	230.66	0.33 W	0.00	Option sale
10/05/20	1.000	152.34	10/02/20	236.99	84.65 W	0.00	Option sale
10/06/20	1.000	97.34	10/05/20	247.31	...	-149.97	Option sale
	<b>Security total:</b>	<b>480.01</b>		<b>714.96</b>	<b>84.98 W</b>	<b>-149.97</b>	
DELTA AIR LINES INC DAL Aug 28 2020 30.0 Call / CUSIP: / Symbol: DAL 08/28/20 C 30.000							
08/24/20	2.000	124.67	08/24/20	137.33	...	-12.66	Option sale

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DELTA AIR LINES INC DAL Sep 25 2020 35.0 Call / CUSIP: / Symbol: DAL 09/25/20 C 35.000							
09/16/20	1.000	100.33	09/16/20	78.67	...	21.66	Option sale
DELTA AIR LINES INC DAL Oct 02 2020 32.0 Call / CUSIP: / Symbol: DAL 10/02/20 C 32.000							
09/24/20	1.000	31.34	09/24/20	35.66	...	-4.32	Option sale
DATADOG INC DDOG Oct 09 2020 113.0 Call / CUSIP: / Symbol: DDOG 10/09/20 C 113.000							
10/06/20	1.000	124.34	10/06/20	170.66	...	-46.32	Option sale
DELL TECHNOLOGIES INC DELL Sep 18 2020 72.5 Call / CUSIP: / Symbol: DELL 09/18/20 C 72.500							
08/31/20	1.000	55.33	08/31/20	71.67	...	-16.34	Option sale
WALT DISNEY COMPANY (THE) DIS Oct 23 2020 127.0 Call / CUSIP: / Symbol: DIS 10/23/20 C 127.000							
10/13/20	1.000	384.33	10/12/20	172.66	...	211.67	Option sale
WALT DISNEY COMPANY (THE) DIS Dec 18 2020 180.0 Call / CUSIP: / Symbol: DIS 12/18/20 C 180.000							
12/11/20	1.000	421.33	12/11/20	349.66	...	71.67	Option sale
WALT DISNEY COMPANY (THE) DIS Sep 11 2020 129.0 Put / CUSIP: / Symbol: DIS 09/11/20 P 129.000							
09/04/20	1.000	249.32	09/04/20	246.67	...	2.65	Option sale
DRAFTKINGS INC DKNG Sep 04 2020 37.0 Call / CUSIP: / Symbol: DKNG 09/04/20 C 37.000							
09/01/20	2.000	208.66	Various	198.34	...	10.32	Total of 2 transactions
DRAFTKINGS INC DKNG Sep 04 2020 40.0 Call / CUSIP: / Symbol: DKNG 09/04/20 C 40.000							
09/02/20	1.000	52.33	09/02/20	129.67	...	-77.34	Option sale
DRAFTKINGS INC DKNG Sep 11 2020 36.0 Call / CUSIP: / Symbol: DKNG 09/11/20 C 36.000							
09/04/20	1.000	194.33	09/04/20	170.67	...	23.66	Option sale
DRAFTKINGS INC DKNG Sep 11 2020 43.0 Call / CUSIP: / Symbol: DKNG 09/11/20 C 43.000							
09/10/20	1.000	44.33	09/10/20	154.01	...	-109.68	Option sale
09/10/20	1.000	59.33	09/10/20	110.67	51.34 W	0.00	Option sale
<b>Security total:</b>		<b>103.66</b>		<b>264.68</b>	<b>51.34 W</b>	<b>-109.68</b>	

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TD Ameritrade Clearing, Inc.

Account 270182611

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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DRAFTKINGS INC DKNNG Sep 18 2020 44.0 Call / CUSIP: / Symbol: DKNNG 09/18/20 C 44.000							
09/10/20	1.000	254.32	09/10/20	220.67	...	33.65	Option sale
09/10/20	1.000	204.33	09/10/20	270.67	66.34 W	0.00	Option sale
09/11/20	2.000	328.66	09/11/20	489.68	...	-161.02	Option sale
<b>Security total:</b>		<b>787.31</b>		<b>981.02</b>	<b>66.34 W</b>	<b>-127.37</b>	
DRAFTKINGS INC DKNNG Sep 25 2020 50.0 Call / CUSIP: / Symbol: DKNNG 09/25/20 C 50.000							
09/15/20	2.000	558.64	Various	566.69	...	-8.05	Total of 2 transactions
09/15/20	1.000	288.32	09/14/20	288.67	0.35 W	0.00	Option sale
<b>Security total:</b>		<b>846.96</b>		<b>855.36</b>	<b>0.35 W</b>	<b>-8.05</b>	
DRAFTKINGS INC DKNNG Oct 02 2020 57.5 Call / CUSIP: / Symbol: DKNNG 10/02/20 C 57.500							
09/28/20	1.000	149.34	09/28/20	143.66	...	5.68	Option sale
DRAFTKINGS INC DKNNG Oct 02 2020 61.0 Call / CUSIP: / Symbol: DKNNG 10/02/20 C 61.000							
09/29/20	2.000	269.68	Various	251.33	...	18.35	Total of 2 transactions
10/01/20	1.000	94.34	10/01/20	110.66	...	-16.32	Option sale
<b>Security total:</b>		<b>364.02</b>		<b>361.99</b>	<b>...</b>	<b>2.03</b>	
DRAFTKINGS INC DKNNG Oct 30 2020 44.5 Call / CUSIP: / Symbol: DKNNG 10/30/20 C 44.500							
10/19/20	1.000	303.33	10/19/20	285.66	...	17.67	Option sale
DRAFTKINGS INC DKNNG Nov 20 2020 44.5 Call / CUSIP: / Symbol: DKNNG 11/20/20 C 44.500							
11/17/20	1.000	150.34	11/17/20	130.66	...	19.68	Option sale
DRAFTKINGS INC DKNNG Nov 20 2020 48.5 Call / CUSIP: / Symbol: DKNNG 11/20/20 C 48.500							
11/18/20	1.000	139.34	11/18/20	135.66	...	3.68	Option sale
DRAFTKINGS INC DKNNG Dec 04 2020 52.0 Call / CUSIP: / Symbol: DKNNG 12/04/20 C 52.000							
11/27/20	2.000	493.66	Various	476.32	...	17.34	Total of 2 transactions
DRAFTKINGS INC DKNNG Sep 18 2020 41.0 Put / CUSIP: / Symbol: DKNNG 09/18/20 P 41.000							
09/11/20	1.000	190.33	09/11/20	205.67	...	-15.34	Option sale

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(continued)

01/14/2021

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DRAFTKINGS INC DKNG Oct 02 2020 60.0 Put / CUSIP: / Symbol: DKNG 10/02/20 P 60.000							
10/01/20	1.000	109.34	10/01/20	131.98	...	-22.64	Option sale
10/01/20	1.000	99.34	10/01/20	120.66	21.32 W	0.00	Option sale
	<b>Security total:</b>	<b>208.68</b>		<b>252.64</b>	<b>21.32 W</b>	<b>-22.64</b>	
DRAFTKINGS INC DKNG Oct 09 2020 60.0 Put / CUSIP: / Symbol: DKNG 10/09/20 P 60.000							
10/02/20	1.000	199.34	10/02/20	202.66	...	-3.32	Option sale
DRAFTKINGS INC DKNG Oct 23 2020 43.5 Put / CUSIP: / Symbol: DKNG 10/23/20 P 43.500							
10/16/20	1.000	189.34	10/16/20	200.66	...	-11.32	Option sale
DRAFTKINGS INC DKNG Nov 13 2020 39.0 Put / CUSIP: / Symbol: DKNG 11/13/20 P 39.000							
11/10/20	1.000	169.34	11/10/20	160.66	...	8.68	Option sale
DRAFTKINGS INC DKNG Nov 20 2020 47.5 Put / CUSIP: / Symbol: DKNG 11/20/20 P 47.500							
11/18/20	1.000	134.34	11/18/20	130.66	...	3.68	Option sale
DOLLAR TREE INC DLTR Sep 04 2020 97.0 Call / CUSIP: / Symbol: DLTR 09/04/20 C 97.000							
08/31/20	1.000	84.33	08/31/20	76.01	...	8.32	Option sale
08/31/20	1.000	54.33	08/31/20	65.67	11.34 W	0.00	Option sale
	<b>Security total:</b>	<b>138.66</b>		<b>141.68</b>	<b>11.34 W</b>	<b>8.32</b>	
EBAY INC EBAY Oct 16 2020 55.0 Call / CUSIP: / Symbol: EBAY 10/16/20 C 55.000							
10/09/20	2.000	64.67	Various	64.32	...	0.35	Option sale
EBAY INC EBAY Nov 06 2020 47.5 Put / CUSIP: / Symbol: EBAY 11/06/20 P 47.500							
10/30/20	4.000	511.36	Various	505.96	...	5.40	Total of 4 transactions
10/30/20	1.000	108.34	10/30/20	132.66	24.32 W	0.00	Option sale
	<b>Security total:</b>	<b>619.70</b>		<b>638.62</b>	<b>24.32 W</b>	<b>5.40</b>	
FACEBOOK INC FB Aug 14 2020 262.5 Call / CUSIP: / Symbol: FB 08/14/20 C 262.500							
08/06/20	1.000	238.32	08/06/20	231.67	...	6.65	Option sale
FACEBOOK INC FB Aug 14 2020 295.0 Call / CUSIP: / Symbol: FB 08/14/20 C 295.000							
08/07/20	1.000	248.32	08/07/20	310.67	...	-62.35	Option sale
FACEBOOK INC FB Sep 04 2020 335.0 Call / CUSIP: / Symbol: FB 09/04/20 C 335.000							
08/26/20	1.000	272.32	08/26/20	267.67	...	4.65	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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FACEBOOK INC FB Sep 18 2020	300.0	Call / CUSIP: / Symbol: FB 09/18/20 C 300.000					
09/02/20	1.000	1,069.31	09/02/20	1,015.67	...	53.64	Option sale
FACEBOOK INC FB Sep 25 2020	270.0	Call / CUSIP: / Symbol: FB 09/25/20 C 270.000					
09/18/20	1.000	199.33	09/17/20	171.67	...	27.66	Option sale
FACEBOOK INC FB Oct 09 2020	265.0	Call / CUSIP: / Symbol: FB 10/09/20 C 265.000					
10/08/20	1.000	155.34	10/08/20	138.66	...	16.68	Option sale
FACEBOOK INC FB Sep 18 2020	260.0	Put / CUSIP: / Symbol: FB 09/18/20 P 260.000					
09/11/20	1.000	449.32	09/11/20	440.67	...	8.65	Option sale
FEDEX CORP FDX Nov 20 2020	290.0	Call / CUSIP: / Symbol: FDX 11/20/20 C 290.000					
11/18/20	2.000	404.68	Various	399.33	...	5.35	Total of 2 transactions
FASTLY INC FSLY Oct 16 2020	92.0	Call / CUSIP: / Symbol: FSLY 10/16/20 C 92.000					
10/15/20	1.000	209.34	10/15/20	270.66	...	-61.32	Option sale
FASTLY INC FSLY Oct 16 2020	95.0	Call / CUSIP: / Symbol: FSLY 10/16/20 C 95.000					
10/15/20	1.000	311.33	10/15/20	300.66	...	10.67	Option sale
10/15/20	2.000	403.68	Various	537.64	133.96 W	0.00	Total of 2 transactions
10/16/20	1.000	44.34	10/16/20	113.30	...	-68.96	Option sale
<b>Security total:</b>		<b>759.35</b>		<b>951.60</b>	<b>133.96 W</b>	<b>-58.29</b>	
FASTLY INC FSLY Dec 11 2020	95.0	Call / CUSIP: / Symbol: FSLY 12/11/20 C 95.000					
12/04/20	1.000	599.33	12/04/20	255.66	...	343.67	Option sale
GILEAD SCIENCES INC GILD Dec 11 2020	60.5	Call / CUSIP: / Symbol: GILD 12/11/20 C 60.500					
12/10/20	3.000	89.02	Various	103.99	...	-14.97	Total of 3 transactions
BARRICK GOLD CORPORATION GOLD Sep 18 2020	30.0	Call / CUSIP: / Symbol: GOLD 09/18/20 C 30.000					
09/15/20	1.000	57.33	09/15/20	56.67	...	0.66	Option sale
GAP INC GPS Oct 16 2020	20.0	Call / CUSIP: / Symbol: GPS 10/16/20 C 20.000					
10/06/20	1.000	33.34	10/06/20	56.66	...	-23.32	Option sale
GOLDMAN SACHS GROUP INC GS Aug 28 2020	210.0	Call / CUSIP: / Symbol: GS 08/28/20 C 210.000					
08/24/20	1.000	85.33	08/24/20	93.67	...	-8.34	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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HOME DEPOT INC HD Sep 18 2020 280.0 Call / CUSIP: / Symbol: HD 09/18/20 C 280.000							
09/11/20	1.000	270.32	09/11/20	270.67	...	-0.35	Option sale
HONEYWELL INTERNATIONAL INC HON Aug 28 2020 170.0 Call / CUSIP: / Symbol: HON 08/28/20 C 170.000							
08/25/20	1.000	146.33	08/25/20	139.67	...	6.66	Option sale
HONEYWELL INTERNATIONAL INC HON Aug 28 2020 172.5 Call / CUSIP: / Symbol: HON 08/28/20 C 172.500							
08/25/20	1.000	93.33	08/25/20	92.67	...	0.66	Option sale
HONEYWELL INTERNATIONAL INC HON Sep 04 2020 170.0 Call / CUSIP: / Symbol: HON 09/04/20 C 170.000							
08/25/20	1.000	222.33	08/25/20	256.01	...	-33.68	Option sale
08/25/20	1.000	219.33	08/25/20	237.67	18.34 W	0.00	Option sale
	<b>Security total:</b>	<b>441.66</b>		<b>493.68</b>	<b>18.34 W</b>	<b>-33.68</b>	
INTEL CORP INTC Sep 04 2020 48.5 Call / CUSIP: / Symbol: INTC 09/04/20 C 48.500							
08/28/20	2.000	440.66	08/28/20	458.34	...	-17.68	Option sale
INTEL CORP INTC Oct 30 2020 55.5 Call / CUSIP: / Symbol: INTC 10/30/20 C 55.500							
10/19/20	2.000	388.66	10/19/20	385.32	...	3.34	Option sale
JD.COM INC JD Oct 09 2020 80.0 Call / CUSIP: / Symbol: JD 10/09/20 C 80.000							
10/09/20	1.000	19.34	10/09/20	19.66	...	-0.32	Option sale
JD.COM INC JD Oct 30 2020 82.0 Call / CUSIP: / Symbol: JD 10/30/20 C 82.000							
10/27/20	1.000	149.34	10/27/20	140.66	...	8.68	Option sale
JD.COM INC JD Nov 20 2020 86.0 Call / CUSIP: / Symbol: JD 11/20/20 C 86.000							
11/16/20	1.000	279.33	11/16/20	227.66	...	51.67	Option sale
JD.COM INC JD Oct 30 2020 81.0 Put / CUSIP: / Symbol: JD 10/30/20 P 81.000							
10/26/20	1.000	138.34	10/26/20	136.66	...	1.68	Option sale
JD.COM INC JD Oct 30 2020 83.0 Put / CUSIP: / Symbol: JD 10/30/20 P 83.000							
10/27/20	1.000	132.34	10/27/20	130.66	...	1.68	Option sale
JUMIA TECHNOLOGIES AG JMIA Dec 18 2020 42.0 Call / CUSIP: / Symbol: JMIA 12/18/20 C 42.000							
12/11/20	1.000	154.34	12/11/20	224.66	...	-70.32	Option sale

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JP MORGAN CHASE & CO JPM Aug 21 2020 107.0 Call / CUSIP: / Symbol: JPM 08/21/20 C 107.000							
08/11/20	2.000	370.66	08/11/20	375.33	...	-4.67	Option sale
JP MORGAN CHASE & CO JPM Oct 09 2020 100.0 Call / CUSIP: / Symbol: JPM 10/09/20 C 100.000							
09/30/20	1.000	66.34	09/30/20	67.66	...	-1.32	Option sale
GOLDEN NUGGET ONLINE GAMING LCA Sep 18 2020 17.5 Call / CUSIP: / Symbol: LCA 09/18/20 C 17.500							
09/03/20	1.000	174.33	09/03/20	160.67	...	13.66	Option sale
LAS VEGAS SANDS CORP LVS Aug 14 2020 46.0 Call / CUSIP: / Symbol: LVS 08/14/20 C 46.000							
08/06/20	1.000	111.33	08/06/20	88.67	...	22.66	Option sale
LAS VEGAS SANDS CORP LVS Sep 04 2020 51.0 Call / CUSIP: / Symbol: LVS 09/04/20 C 51.000							
09/02/20	2.000	122.66	Various	124.34	...	-1.68	Total of 2 transactions
LAS VEGAS SANDS CORP LVS Sep 04 2020 52.0 Call / CUSIP: / Symbol: LVS 09/04/20 C 52.000							
09/04/20	1.000	48.33	09/04/20	77.01	...	-28.68	Option sale
09/04/20	1.000	34.33	09/04/20	61.67	27.34 W	0.00	Option sale
	<b>Security total:</b>	<b>82.66</b>		<b>138.68</b>	<b>27.34 W</b>	<b>-28.68</b>	
LYFT INC LYFT Jan 15 2021 45.0 Call / CUSIP: / Symbol: LYFT 01/15/21 C 45.000							
12/02/20	1.000	179.34	12/02/20	179.66	...	-0.32	Option sale
MCDONALD'S CORPORATION MCD Oct 16 2020 232.5 Call / CUSIP: / Symbol: MCD 10/16/20 C 232.500							
10/16/20	1.000	36.34	10/16/20	33.66	...	2.68	Option sale
MCDONALD'S CORPORATION MCD Nov 06 2020 217.5 Call / CUSIP: / Symbol: MCD 11/06/20 C 217.500							
11/02/20	1.000	201.34	11/02/20	194.66	...	6.68	Option sale
MERCK & CO INC MRK Aug 28 2020 85.0 Call / CUSIP: / Symbol: MRK 08/28/20 C 85.000							
08/20/20	1.000	114.33	08/20/20	106.67	...	7.66	Option sale
MODERNA INC MRNA Aug 28 2020 68.0 Call / CUSIP: / Symbol: MRNA 08/28/20 C 68.000							
08/26/20	1.000	202.33	08/26/20	139.67	...	62.66	Option sale
MODERNA INC MRNA Aug 28 2020 73.0 Call / CUSIP: / Symbol: MRNA 08/28/20 C 73.000							
08/26/20	1.000	246.32	08/26/20	217.67	...	28.65	Option sale

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MODERNA INC MRNA Sep 04 2020 75.0 Call / CUSIP: / Symbol: MRNA 09/04/20 C 75.000							
08/26/20	1.000	236.32	08/26/20	286.67	...	-50.35	Option sale
MODERNA INC MRNA Aug 28 2020 68.0 Put / CUSIP: / Symbol: MRNA 08/28/20 P 68.000							
08/26/20	1.000	179.33	08/26/20	233.01	...	-53.68	Option sale
08/26/20	1.000	164.33	08/26/20	196.67	32.34 W	0.00	Option sale
	<b>Security total:</b>	<b>343.66</b>		<b>429.68</b>	<b>32.34 W</b>	<b>-53.68</b>	
MICROSOFT CORP MSFT Aug 28 2020 215.0 Call / CUSIP: / Symbol: MSFT 08/28/20 C 215.000							
08/24/20	1.000	281.32	08/24/20	288.67	...	-7.35	Option sale
MICROSOFT CORP MSFT Sep 04 2020 240.0 Call / CUSIP: / Symbol: MSFT 09/04/20 C 240.000							
08/27/20	1.000	384.32	08/27/20	395.67	...	-11.35	Option sale
MICROSOFT CORP MSFT Sep 04 2020 250.0 Call / CUSIP: / Symbol: MSFT 09/04/20 C 250.000							
08/27/20	1.000	252.32	08/27/20	308.02	...	-55.70	Option sale
08/27/20	1.000	255.32	08/27/20	299.67	44.35 W	0.00	Option sale
	<b>Security total:</b>	<b>507.64</b>		<b>607.69</b>	<b>44.35 W</b>	<b>-55.70</b>	
MICROSOFT CORP MSFT Sep 04 2020 217.5 Put / CUSIP: / Symbol: MSFT 09/04/20 P 217.500							
08/28/20	1.000	123.33	08/28/20	120.67	...	2.66	Option sale
MICROSOFT CORP MSFT Sep 04 2020 220.0 Put / CUSIP: / Symbol: MSFT 09/04/20 P 220.000							
08/28/20	1.000	162.33	08/28/20	167.67	...	-5.34	Option sale
MICRON TECHNOLOGY INC MU Sep 25 2020 49.5 Call / CUSIP: / Symbol: MU 09/25/20 C 49.500							
09/14/20	1.000	131.33	09/14/20	144.67	...	-13.34	Option sale
MICRON TECHNOLOGY INC MU Sep 25 2020 51.0 Call / CUSIP: / Symbol: MU 09/25/20 C 51.000							
09/23/20	1.000	41.34	09/23/20	60.66	...	-19.32	Option sale
MICRON TECHNOLOGY INC MU Nov 13 2020 56.0 Call / CUSIP: / Symbol: MU 11/13/20 C 56.000							
11/06/20	1.000	59.34	11/06/20	100.98	...	-41.64	Option sale
11/06/20	1.000	58.34	11/06/20	79.66	21.32 W	0.00	Option sale
	<b>Security total:</b>	<b>117.68</b>		<b>180.64</b>	<b>21.32 W</b>	<b>-41.64</b>	
NETFLIX INC NFLX Aug 28 2020 505.0 Call / CUSIP: / Symbol: NFLX 08/28/20 C 505.000							
08/25/20	1.000	244.32	08/25/20	350.67	...	-106.35	Option sale

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Account 270182611

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NETFLIX INC NFLX Sep 04 2020 585.0 Call / CUSIP: / Symbol: NFLX 09/04/20 C 585.000							
09/01/20	1.000	414.32	09/01/20	460.67	...	-46.35	Option sale
NETFLIX INC NFLX Oct 16 2020 570.0 Call / CUSIP: / Symbol: NFLX 10/16/20 C 570.000							
10/05/20	1.000	304.33	10/05/20	271.66	...	32.67	Option sale
NIO INC NIO Aug 28 2020 14.0 Call / CUSIP: / Symbol: NIO 08/28/20 C 14.000							
08/19/20	1.000	76.33	08/19/20	84.67	8.34 W	0.00	Option sale
08/20/20	2.000	92.66	Various	213.69	...	-121.03	Total of 2 transactions
08/20/20	3.000	143.99	Various	296.67	152.68 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>312.98</b>		<b>595.03</b>	<b>161.02 W</b>	<b>-121.03</b>	
NIO INC NIO Aug 28 2020 14.5 Call / CUSIP: / Symbol: NIO 08/28/20 C 14.500							
08/24/20	1.000	70.33	08/24/20	73.01	...	-2.68	Option sale
08/24/20	1.000	64.33	08/24/20	68.67	4.34 W	0.00	Option sale
	<b>Security total:</b>	<b>134.66</b>		<b>141.68</b>	<b>4.34 W</b>	<b>-2.68</b>	
NIO INC NIO Aug 28 2020 20.0 Call / CUSIP: / Symbol: NIO 08/28/20 C 20.000							
08/26/20	1.000	70.33	08/26/20	77.67	...	-7.34	Option sale
NIO INC NIO Sep 04 2020 16.0 Call / CUSIP: / Symbol: NIO 09/04/20 C 16.000							
08/24/20	1.000	42.33	08/24/20	40.67	...	1.66	Option sale
NIO INC NIO Sep 04 2020 18.5 Call / CUSIP: / Symbol: NIO 09/04/20 C 18.500							
08/25/20	1.000	92.33	08/25/20	98.67	...	-6.34	Option sale
NIO INC NIO Sep 04 2020 19.5 Call / CUSIP: / Symbol: NIO 09/04/20 C 19.500							
09/03/20	2.000	94.67	09/03/20	98.34	...	-3.67	Option sale
NIO INC NIO Sep 04 2020 20.0 Call / CUSIP: / Symbol: NIO 09/04/20 C 20.000							
08/31/20	1.000	60.33	08/31/20	52.67	...	7.66	Option sale
NIO INC NIO Sep 11 2020 18.0 Call / CUSIP: / Symbol: NIO 09/11/20 C 18.000							
09/08/20	2.000	108.67	09/08/20	141.68	...	-33.01	Option sale
09/08/20	1.000	53.33	09/08/20	74.67	21.34 W	0.00	Option sale
	<b>Security total:</b>	<b>162.00</b>		<b>216.35</b>	<b>21.34 W</b>	<b>-33.01</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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NIO INC NIO Sep 25 2020 18.5 Call / CUSIP: / Symbol: NIO 09/25/20 C 18.500							
09/09/20	1.000	157.33	09/09/20	192.67	...	-35.34	Option sale
NIO INC NIO Sep 25 2020 20.0 Call / CUSIP: / Symbol: NIO 09/25/20 C 20.000							
09/14/20	1.000	60.33	09/14/20	60.67	0.34 W	0.00	Option sale
09/18/20	1.000	86.33	09/17/20	82.01	...	4.32	Option sale
	<b>Security total:</b>	<b>146.66</b>		<b>142.68</b>	<b>0.34 W</b>	<b>4.32</b>	
NIO INC NIO Oct 02 2020 22.0 Call / CUSIP: / Symbol: NIO 10/02/20 C 22.000							
09/30/20	1.000	95.34	09/30/20	86.98	...	8.36	Option sale
09/30/20	1.000	96.34	09/30/20	96.66	0.32 W	0.00	Option sale
	<b>Security total:</b>	<b>191.68</b>		<b>183.64</b>	<b>0.32 W</b>	<b>8.36</b>	
NIO INC NIO Oct 02 2020 23.0 Call / CUSIP: / Symbol: NIO 10/02/20 C 23.000							
09/30/20	1.000	68.34	09/30/20	54.66	...	13.68	Option sale
NIO INC NIO Oct 02 2020 25.0 Call / CUSIP: / Symbol: NIO 10/02/20 C 25.000							
09/15/20	2.000	96.66	Various	90.34	...	6.32	Total of 2 transactions
NIO INC NIO Oct 02 2020 36.0 Call / CUSIP: / Symbol: NIO 10/02/20 C 36.000							
09/21/20	1.000	1.33	09/17/20	9.67	...	-8.34	Option sale
NIO INC NIO Oct 16 2020 24.0 Call / CUSIP: / Symbol: NIO 10/16/20 C 24.000							
10/13/20	1.000	6.34	10/08/20	49.66	...	-43.32	Option sale
NIO INC NIO Oct 16 2020 25.5 Call / CUSIP: / Symbol: NIO 10/16/20 C 25.500							
10/14/20	2.000	250.68	Various	208.96	...	41.72	Total of 2 transactions
10/14/20	2.000	154.68	Various	214.64	59.96 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>405.36</b>		<b>423.60</b>	<b>59.96 W</b>	<b>41.72</b>	
NIO INC NIO Nov 06 2020 30.0 Call / CUSIP: / Symbol: NIO 11/06/20 C 30.000							
10/29/20	4.000	755.36	Various	727.64	...	27.72	Total of 4 transactions

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2020 1099-B\* OMB No. 1545-0715

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01/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC NIO Nov 06 2020 35.0 Call / CUSIP: / Symbol: NIO 11/06/20 C 35.000							
11/02/20	1.000	172.34	11/02/20	197.66	25.32 W	0.00	Option sale
11/03/20	1.000	177.34	11/03/20	199.62	...	-22.28	Option sale
11/03/20	2.000	321.68	Various	368.28	46.60 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>671.36</b>		<b>765.56</b>	<b>71.92 W</b>	<b>-22.28</b>	
NIO INC NIO Nov 13 2020 39.0 Call / CUSIP: / Symbol: NIO 11/13/20 C 39.000							
11/05/20	1.000	264.33	11/05/20	255.66	...	8.67	Option sale
NIO INC NIO Nov 13 2020 43.0 Call / CUSIP: / Symbol: NIO 11/13/20 C 43.000							
11/09/20	1.000	258.33	11/09/20	245.66	...	12.67	Option sale
11/10/20	1.000	179.34	11/10/20	173.66	...	5.68	Option sale
<b>Security total:</b>		<b>437.67</b>		<b>419.32</b>	...	<b>18.35</b>	
NIO INC NIO Nov 13 2020 45.0 Call / CUSIP: / Symbol: NIO 11/13/20 C 45.000							
11/09/20	1.000	223.34	11/09/20	222.66	...	0.68	Option sale
11/12/20	1.000	108.34	11/12/20	164.98	...	-56.64	Option sale
11/12/20	1.000	155.34	11/12/20	156.66	1.32 W	0.00	Option sale
<b>Security total:</b>		<b>487.02</b>		<b>544.30</b>	<b>1.32 W</b>	<b>-55.96</b>	
NIO INC NIO Nov 13 2020 46.0 Call / CUSIP: / Symbol: NIO 11/13/20 C 46.000							
11/12/20	1.000	147.34	11/12/20	140.66	...	6.68	Option sale
NIO INC NIO Nov 13 2020 53.0 Call / CUSIP: / Symbol: NIO 11/13/20 C 53.000							
11/13/20	1.000	199.34	11/13/20	200.66	...	-1.32	Option sale
NIO INC NIO Nov 20 2020 56.0 Call / CUSIP: / Symbol: NIO 11/20/20 C 56.000							
11/13/20	1.000	524.33	11/13/20	385.66	...	138.67	Option sale
NIO INC NIO Nov 27 2020 49.0 Call / CUSIP: / Symbol: NIO 11/27/20 C 49.000							
11/20/20	2.000	691.66	Various	641.32	...	50.34	Total of 2 transactions
NIO INC NIO Nov 27 2020 52.0 Call / CUSIP: / Symbol: NIO 11/27/20 C 52.000							
11/23/20	1.000	195.34	11/23/20	192.98	...	2.36	Option sale
11/23/20	1.000	189.34	11/23/20	195.66	6.32 W	0.00	Option sale
<b>Security total:</b>		<b>384.68</b>		<b>388.64</b>	<b>6.32 W</b>	<b>2.36</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC NIO Dec 11 2020 48.0 Call / CUSIP: / Symbol: NIO 12/11/20 C 48.000							
12/08/20	2.000	356.68	Various	402.64	...	-45.96	Total of 2 transactions
12/08/20	1.000	167.34	12/08/20	199.66	32.32 W	0.00	Option sale
	<b>Security total:</b>	<b>524.02</b>		<b>602.30</b>	<b>32.32 W</b>	<b>-45.96</b>	
NIO INC NIO Dec 24 2020 47.0 Call / CUSIP: / Symbol: NIO 12/24/20 C 47.000							
12/17/20	1.000	167.34	12/17/20	180.66	...	-13.32	Option sale
NIO INC NIO Dec 24 2020 48.0 Call / CUSIP: / Symbol: NIO 12/24/20 C 48.000							
12/18/20	2.000	342.66	12/18/20	345.32	...	-2.66	Option sale
NIO INC NIO Dec 31 2020 45.0 Call / CUSIP: / Symbol: NIO 12/31/20 C 45.000							
12/29/20	1.000	135.34	12/29/20	132.66	...	2.68	Option sale
NIO INC NIO Nov 06 2020 32.0 Put / CUSIP: / Symbol: NIO 11/06/20 P 32.000							
11/02/20	1.000	116.34	11/02/20	162.98	...	-46.64	Option sale
11/02/20	1.000	117.34	11/02/20	136.66	19.32 W	0.00	Option sale
	<b>Security total:</b>	<b>233.68</b>		<b>299.64</b>	<b>19.32 W</b>	<b>-46.64</b>	
NIO INC NIO Nov 13 2020 38.0 Put / CUSIP: / Symbol: NIO 11/13/20 P 38.000							
11/05/20	1.000	202.34	11/05/20	223.66	...	-21.32	Option sale
NIO INC NIO Nov 13 2020 46.0 Put / CUSIP: / Symbol: NIO 11/13/20 P 46.000							
11/13/20	1.000	60.34	11/13/20	153.98	...	-93.64	Option sale
11/13/20	1.000	74.34	11/13/20	137.66	63.32 W	0.00	Option sale
	<b>Security total:</b>	<b>134.68</b>		<b>291.64</b>	<b>63.32 W</b>	<b>-93.64</b>	
NIKE INC NKE Nov 20 2020 128.0 Call / CUSIP: / Symbol: NKE 11/20/20 C 128.000							
11/13/20	1.000	176.34	11/13/20	141.66	...	34.68	Option sale
NIKE INC NKE Oct 02 2020 120.0 Put / CUSIP: / Symbol: NKE 10/02/20 P 120.000							
09/25/20	1.000	107.34	09/25/20	116.66	...	-9.32	Option sale
NIKE INC NKE Oct 02 2020 121.0 Put / CUSIP: / Symbol: NKE 10/02/20 P 121.000							
09/25/20	1.000	148.34	09/25/20	139.66	...	8.68	Option sale
NOVAVAX INC NVAX Aug 14 2020 197.5 Call / CUSIP: / Symbol: NVAX 08/14/20 C 197.500							
08/10/20	1.000	459.32	08/10/20	450.67	...	8.65	Option sale

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(continued)

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NVIDIA CORP NVDA Aug 28 2020 520.0 Call / CUSIP: / Symbol: NVDA 08/28/20 C 520.000							
08/24/20	1.000	722.31	08/24/20	700.67	...	21.64	Option sale
NVIDIA CORP NVDA Sep 04 2020 550.0 Call / CUSIP: / Symbol: NVDA 09/04/20 C 550.000							
08/27/20	1.000	349.32	08/27/20	340.67	...	8.65	Option sale
NVIDIA CORP NVDA Sep 04 2020 565.0 Call / CUSIP: / Symbol: NVDA 09/04/20 C 565.000							
08/28/20	1.000	297.32	08/28/20	297.67	...	-0.35	Option sale
NVIDIA CORP NVDA Sep 04 2020 570.0 Call / CUSIP: / Symbol: NVDA 09/04/20 C 570.000							
09/01/20	1.000	514.32	09/01/20	646.02	...	-131.70	Option sale
09/01/20	1.000	424.32	09/01/20	564.67	140.35 W	0.00	Option sale
<b>Security total:</b>		<b>938.64</b>		<b>1,210.69</b>	<b>140.35 W</b>	<b>-131.70</b>	
NVIDIA CORP NVDA Sep 04 2020 580.0 Call / CUSIP: / Symbol: NVDA 09/04/20 C 580.000							
08/31/20	1.000	299.32	08/31/20	274.67	...	24.65	Option sale
NVIDIA CORP NVDA Sep 04 2020 610.0 Call / CUSIP: / Symbol: NVDA 09/04/20 C 610.000							
09/01/20	3.000	591.98	Various	686.36	...	-94.38	Total of 3 transactions
09/01/20	1.000	250.32	09/01/20	251.67	1.35 W	0.00	Option sale
<b>Security total:</b>		<b>842.30</b>		<b>938.03</b>	<b>1.35 W</b>	<b>-94.38</b>	
NVIDIA CORP NVDA Sep 11 2020 525.0 Call / CUSIP: / Symbol: NVDA 09/11/20 C 525.000							
09/04/20	1.000	929.31	09/04/20	820.67	...	108.64	Option sale
NVIDIA CORP NVDA Sep 11 2020 630.0 Call / CUSIP: / Symbol: NVDA 09/11/20 C 630.000							
09/01/20	1.000	242.32	09/01/20	297.67	...	-55.35	Option sale
NVIDIA CORP NVDA Oct 02 2020 522.5 Put / CUSIP: / Symbol: NVDA 10/02/20 P 522.500							
10/01/20	1.000	82.34	10/01/20	81.66	...	0.68	Option sale
ORACLE CORP ORCL Sep 18 2020 61.5 Call / CUSIP: / Symbol: ORCL 09/18/20 C 61.500							
09/16/20	2.000	146.66	Various	160.34	...	-13.68	Total of 2 transactions
PINTEREST INC PINS Nov 13 2020 64.0 Call / CUSIP: / Symbol: PINS 11/13/20 C 64.000							
11/05/20	1.000	199.34	11/05/20	215.66	...	-16.32	Option sale

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PINTEREST INC PINS Dec 04 2020 69.0 Call / CUSIP: / Symbol: PINS 12/04/20 C 69.000							
11/27/20	2.000	482.66	Various	450.32	...	32.34	Total of 2 transactions
PINTEREST INC PINS Dec 11 2020 71.0 Call / CUSIP: / Symbol: PINS 12/11/20 C 71.000							
12/10/20	1.000	116.34	12/10/20	103.66	...	12.68	Option sale
PINTEREST INC PINS Dec 11 2020 73.0 Call / CUSIP: / Symbol: PINS 12/11/20 C 73.000							
12/09/20	1.000	96.34	12/09/20	116.66	...	-20.32	Option sale
PLANET FITNESS INC PLNT Jan 15 2021 80.0 Call / CUSIP: / Symbol: PLNT 01/15/21 C 80.000							
12/31/20	1.000	199.34	12/30/20	240.66	...	-41.32	Option sale
PALANTIR TECH INC PLTR Nov 06 2020 10.0 Call / CUSIP: / Symbol: PLTR 11/06/20 C 10.000							
10/28/20	1.000	99.34	10/28/20	110.66	...	-11.32	Option sale
PALANTIR TECH INC PLTR Nov 20 2020 17.0 Call / CUSIP: / Symbol: PLTR 11/20/20 C 17.000							
11/17/20	1.000	80.34	11/17/20	80.66	...	-0.32	Option sale
PALANTIR TECH INC PLTR Dec 04 2020 24.0 Call / CUSIP: / Symbol: PLTR 12/04/20 C 24.000							
12/03/20	1.000	129.34	12/03/20	103.66	...	25.68	Option sale
PALANTIR TECH INC PLTR Dec 04 2020 27.0 Call / CUSIP: / Symbol: PLTR 12/04/20 C 27.000							
11/25/20	2.000	516.66	Various	488.65	...	28.01	Total of 2 transactions
11/25/20	1.000	249.33	11/25/20	271.66	22.33 W	0.00	Option sale
	<b>Security total:</b>	<b>765.99</b>		<b>760.31</b>	<b>22.33 W</b>	<b>28.01</b>	
PALANTIR TECH INC PLTR Dec 24 2020 27.0 Call / CUSIP: / Symbol: PLTR 12/24/20 C 27.000							
12/17/20	1.000	154.34	12/17/20	152.66	...	1.68	Option sale
PALANTIR TECH INC PLTR Dec 31 2020 29.0 Call / CUSIP: / Symbol: PLTR 12/31/20 C 29.000							
12/23/20	1.000	147.34	12/23/20	149.98	...	-2.64	Option sale
12/23/20	1.000	147.34	12/23/20	153.66	6.32 W	0.00	Option sale
	<b>Security total:</b>	<b>294.68</b>		<b>303.64</b>	<b>6.32 W</b>	<b>-2.64</b>	
PALANTIR TECH INC PLTR Dec 11 2020 26.0 Put / CUSIP: / Symbol: PLTR 12/11/20 P 26.000							
12/08/20	1.000	88.34	12/08/20	87.66	...	0.68	Option sale

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TD Ameritrade Clearing, Inc.

Account 270182611

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLUG POWER INC PLUG Nov 13 2020 21.0 Call / CUSIP: / Symbol: PLUG 11/13/20 C 21.000							
11/10/20	1.000	45.34	11/10/20	58.66	13.32 W	0.00	Option sale
11/11/20	1.000	125.34	11/11/20	118.98	...	6.36	Option sale
	<b>Security total:</b>	<b>170.68</b>		<b>177.64</b>	<b>13.32 W</b>	<b>6.36</b>	
PLUG POWER INC PLUG Nov 13 2020 23.0 Call / CUSIP: / Symbol: PLUG 11/13/20 C 23.000							
11/12/20	1.000	76.34	11/12/20	70.66	...	5.68	Option sale
PLUG POWER INC PLUG Nov 20 2020 23.5 Call / CUSIP: / Symbol: PLUG 11/20/20 C 23.500							
11/12/20	1.000	130.34	11/12/20	124.66	...	5.68	Option sale
PLUG POWER INC PLUG Nov 20 2020 24.5 Call / CUSIP: / Symbol: PLUG 11/20/20 C 24.500							
11/13/20	1.000	107.34	11/13/20	138.66	...	-31.32	Option sale
PLUG POWER INC PLUG Nov 27 2020 25.0 Call / CUSIP: / Symbol: PLUG 11/27/20 C 25.000							
11/16/20	2.000	272.68	Various	256.32	...	16.36	Total of 2 transactions
PLUG POWER INC PLUG Nov 27 2020 28.0 Call / CUSIP: / Symbol: PLUG 11/27/20 C 28.000							
11/16/20	1.000	51.34	11/16/20	52.66	1.32 W	0.00	Option sale
11/19/20	1.000	16.34	11/19/20	75.30	...	-58.96	Option sale
11/19/20	1.000	16.34	11/16/20	60.98	44.64 W	0.00	Option sale
	<b>Security total:</b>	<b>84.02</b>		<b>188.94</b>	<b>45.96 W</b>	<b>-58.96</b>	
PELTON INTERACTIVE INC PTON Sep 04 2020 80.0 Call / CUSIP: / Symbol: PTON 09/04/20 C 80.000							
08/27/20	1.000	47.33	08/27/20	79.67	...	-32.34	Option sale
PELTON INTERACTIVE INC PTON Sep 25 2020 76.0 Put / CUSIP: / Symbol: PTON 09/25/20 P 76.000							
09/14/20	1.000	304.32	09/14/20	350.67	...	-46.35	Option sale
PELTON INTERACTIVE INC PTON Oct 02 2020 103.0 Call / CUSIP: / Symbol: PTON 10/02/20 C 103.000							
09/30/20	1.000	162.34	09/30/20	162.66	...	-0.32	Option sale
PAYPAL HOLDINGS INC PYPL Oct 30 2020 220.0 Call / CUSIP: / Symbol: PYPL 10/30/20 C 220.000							
10/21/20	1.000	282.33	10/21/20	250.30	...	32.03	Option sale
10/21/20	2.000	383.68	Various	484.64	100.96 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>666.01</b>		<b>734.94</b>	<b>100.96 W</b>	<b>32.03</b>	

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Account 270182611

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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INVESTCO QQQ TRUST QQQ Sep 18 2020 280.0 Call / CUSIP: / Symbol: QQQ 09/18/20 C 280.000							
09/16/20	1.000	239.32	09/16/20	293.67	...	-54.35	Option sale
INVESTCO QQQ TRUST QQQ Sep 18 2020 275.0 Put / CUSIP: / Symbol: QQQ 09/18/20 P 275.000							
09/16/20	2.000	363.66	Various	362.34	...	1.32	Total of 2 transactions
INVESTCO QQQ TRUST QQQ Oct 09 2020 279.0 Put / CUSIP: / Symbol: QQQ 10/09/20 P 279.000							
10/08/20	1.000	116.34	10/08/20	137.66	...	-21.32	Option sale
INVESTCO QQQ TRUST QQQ Oct 30 2020 268.0 Put / CUSIP: / Symbol: QQQ 10/30/20 P 268.000							
10/30/20	1.000	126.34	10/30/20	124.30	...	2.04	Option sale
10/30/20	2.000	256.68	Various	282.64	25.96 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>383.02</b>		<b>406.94</b>	<b>25.96 W</b>	<b>2.04</b>	
ROYAL CARIBBEAN GROUP RCL Oct 30 2020 64.5 Call / CUSIP: / Symbol: RCL 10/30/20 C 64.500							
10/23/20	1.000	269.33	10/23/20	290.66	...	-21.33	Option sale
ROCKET COMPANIES INC RKT Oct 02 2020 21.0 Call / CUSIP: / Symbol: RKT 10/02/20 C 21.000							
10/01/20	1.000	69.34	10/01/20	85.66	...	-16.32	Option sale
ROKU INC ROKU Aug 21 2020 155.0 Call / CUSIP: / Symbol: ROKU 08/21/20 C 155.000							
08/12/20	1.000	399.32	08/12/20	435.67	...	-36.35	Option sale
ROKU INC ROKU Aug 28 2020 150.0 Call / CUSIP: / Symbol: ROKU 08/28/20 C 150.000							
08/21/20	1.000	409.32	08/21/20	455.67	...	-46.35	Option sale
ROKU INC ROKU Sep 04 2020 157.5 Call / CUSIP: / Symbol: ROKU 09/04/20 C 157.500							
08/26/20	1.000	624.32	08/26/20	580.67	...	43.65	Option sale
ROKU INC ROKU Sep 04 2020 162.5 Call / CUSIP: / Symbol: ROKU 09/04/20 C 162.500							
08/26/20	1.000	459.32	08/26/20	437.02	...	22.30	Option sale
08/26/20	1.000	449.32	08/26/20	450.67	1.35 W	0.00	Option sale
	<b>Security total:</b>	<b>908.64</b>		<b>887.69</b>	<b>1.35 W</b>	<b>22.30</b>	
ROKU INC ROKU Sep 04 2020 180.0 Call / CUSIP: / Symbol: ROKU 09/04/20 C 180.000							
08/28/20	1.000	594.32	08/28/20	590.67	...	3.65	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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ROKU INC ROKU Sep 04 2020 182.5 Call / CUSIP: / Symbol: ROKU 09/04/20 C 182.500							
08/28/20	2.000	1,158.64	Various	1,171.33	...	-12.69	Total of 2 transactions
ROKU INC ROKU Sep 18 2020 185.0 Call / CUSIP: / Symbol: ROKU 09/18/20 C 185.000							
09/10/20	1.000	171.33	09/10/20	173.67	...	-2.34	Option sale
ROKU INC ROKU Sep 25 2020 187.5 Call / CUSIP: / Symbol: ROKU 09/25/20 C 187.500							
09/25/20	1.000	127.34	09/25/20	128.66	...	-1.32	Option sale
ROKU INC ROKU Sep 25 2020 197.5 Call / CUSIP: / Symbol: ROKU 09/25/20 C 197.500							
09/23/20	1.000	369.33	09/23/20	450.66	...	-81.33	Option sale
ROKU INC ROKU Sep 25 2020 202.5 Call / CUSIP: / Symbol: ROKU 09/25/20 C 202.500							
09/22/20	1.000	364.32	09/22/20	345.67	...	18.65	Option sale
ROKU INC ROKU Sep 25 2020 210.0 Call / CUSIP: / Symbol: ROKU 09/25/20 C 210.000							
09/22/20	1.000	186.33	09/22/20	246.67	...	-60.34	Option sale
ROKU INC ROKU Oct 09 2020 230.0 Call / CUSIP: / Symbol: ROKU 10/09/20 C 230.000							
10/09/20	2.000	130.68	Various	147.33	...	-16.65	Total of 2 transactions
ROKU INC ROKU Oct 16 2020 240.0 Call / CUSIP: / Symbol: ROKU 10/16/20 C 240.000							
10/09/20	1.000	227.33	10/09/20	250.66	...	-23.33	Option sale
ROKU INC ROKU Nov 13 2020 237.5 Call / CUSIP: / Symbol: ROKU 11/13/20 C 237.500							
11/12/20	1.000	351.33	11/12/20	340.66	...	10.67	Option sale
ROKU INC ROKU Dec 04 2020 305.0 Call / CUSIP: / Symbol: ROKU 12/04/20 C 305.000							
11/30/20	1.000	256.33	11/30/20	217.31	...	39.02	Option sale
11/30/20	2.000	450.67	Various	500.64	49.97 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>707.00</b>		<b>717.95</b>	<b>49.97 W</b>	<b>39.02</b>	
ROKU INC ROKU Dec 11 2020 310.0 Call / CUSIP: / Symbol: ROKU 12/11/20 C 310.000							
12/04/20	1.000	282.33	12/04/20	415.66	...	-133.33	Option sale
ROKU INC ROKU Sep 04 2020 162.5 Put / CUSIP: / Symbol: ROKU 09/04/20 P 162.500							
08/28/20	1.000	304.32	08/28/20	292.67	...	11.65	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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ROKU INC ROKU Oct 23 2020 212.5 Put / CUSIP: / Symbol: ROKU 10/23/20 P 212.500							
10/19/20	1.000	266.33	10/19/20	254.66	...	11.67	Option sale
RAYTHEON TECH CORP RTX Sep 04 2020 62.0 Call / CUSIP: / Symbol: RTX 09/04/20 C 62.000							
08/31/20	1.000	142.33	08/31/20	142.67	...	-0.34	Option sale
STARBUCKS CORP SBUX Dec 04 2020 102.0 Call / CUSIP: / Symbol: SBUX 12/04/20 C 102.000							
12/04/20	1.000	38.34	12/04/20	37.66	...	0.68	Option sale
SNAP INC SNAP Aug 21 2020 22.0 Call / CUSIP: / Symbol: SNAP 08/21/20 C 22.000							
08/07/20	1.000	106.33	08/07/20	114.67	...	-8.34	Option sale
SNAP INC SNAP Sep 25 2020 26.0 Call / CUSIP: / Symbol: SNAP 09/25/20 C 26.000							
09/18/20	1.000	19.33	09/16/20	43.67	...	-24.34	Option sale
VIRGIN GALACTIC HLDGS INC SPCE Aug 28 2020 17.5 Call / CUSIP: / Symbol: SPCE 08/28/20 C 17.500							
08/24/20	1.000	52.33	08/24/20	47.67	...	4.66	Option sale
VIRGIN GALACTIC HLDGS INC SPCE Oct 23 2020 23.0 Call / CUSIP: / Symbol: SPCE 10/23/20 C 23.000							
10/16/20	2.000	213.68	Various	224.32	...	-10.64	Total of 2 transactions
VIRGIN GALACTIC HLDGS INC SPCE Oct 30 2020 22.0 Call / CUSIP: / Symbol: SPCE 10/30/20 C 22.000							
10/13/20	1.000	135.34	10/13/20	146.66	11.32 W	0.00	Option sale
10/16/20	3.000	580.02	Various	565.62	...	14.40	Total of 3 transactions
10/16/20	1.000	201.34	10/16/20	202.66	1.32 W	0.00	Option sale
	<b>Security total:</b>	<b>916.70</b>		<b>914.94</b>	<b>12.64 W</b>	<b>14.40</b>	
VIRGIN GALACTIC HLDGS INC SPCE Nov 20 2020 22.0 Call / CUSIP: / Symbol: SPCE 11/20/20 C 22.000							
11/18/20	3.000	211.02	Various	185.98	...	25.04	Total of 3 transactions
SPDR S&P500 ETF TRUST SPY Aug 17 2020 337.0 Call / CUSIP: / Symbol: SPY 08/17/20 C 337.000							
08/14/20	3.000	396.99	Various	414.03	...	-17.04	Total of 3 transactions
08/14/20	10.000	1,233.28	08/14/20	1,236.67	1.02 W	-2.37	Option sale
	<b>Security total:</b>	<b>1,630.27</b>		<b>1,650.70</b>	<b>1.02 W</b>	<b>-19.41</b>	
SPDR S&P500 ETF TRUST SPY Aug 24 2020 336.0 Call / CUSIP: / Symbol: SPY 08/24/20 C 336.000							
08/20/20	1.000	256.32	08/20/20	249.67	...	6.65	Option sale

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SPDR S&P500 ETF TRUST SPY Aug 24 2020 337.0 Call / CUSIP: / Symbol: SPY 08/24/20 C 337.000							
08/20/20	1.000	176.33	08/20/20	155.67	...	20.66	Option sale
SPDR S&P500 ETF TRUST SPY Aug 24 2020 339.0 Call / CUSIP: / Symbol: SPY 08/24/20 C 339.000							
08/19/20	1.000	152.33	08/19/20	168.67	...	-16.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 26 2020 342.0 Call / CUSIP: / Symbol: SPY 08/26/20 C 342.000							
08/24/20	1.000	163.33	08/24/20	164.67	...	-1.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 28 2020 345.0 Call / CUSIP: / Symbol: SPY 08/28/20 C 345.000							
08/26/20	1.000	178.33	08/26/20	163.67	...	14.66	Option sale
SPDR S&P500 ETF TRUST SPY Sep 11 2020 337.0 Call / CUSIP: / Symbol: SPY 09/11/20 C 337.000							
09/08/20	1.000	306.32	09/08/20	321.67	...	-15.35	Option sale
SPDR S&P500 ETF TRUST SPY Sep 30 2020 335.0 Call / CUSIP: / Symbol: SPY 09/30/20 C 335.000							
09/29/20	1.000	119.34	09/29/20	138.66	...	-19.32	Option sale
SPDR S&P500 ETF TRUST SPY Oct 28 2020 341.0 Call / CUSIP: / Symbol: SPY 10/28/20 C 341.000							
10/27/20	1.000	131.34	10/27/20	131.66	...	-0.32	Option sale
SPDR S&P500 ETF TRUST SPY Oct 30 2020 331.0 Call / CUSIP: / Symbol: SPY 10/30/20 C 331.000							
10/28/20	1.000	257.33	10/28/20	254.66	...	2.67	Option sale
SPDR S&P500 ETF TRUST SPY Nov 11 2020 364.0 Call / CUSIP: / Symbol: SPY 11/11/20 C 364.000							
11/09/20	1.000	166.34	11/09/20	161.66	...	4.68	Option sale
SPDR S&P500 ETF TRUST SPY Nov 13 2020 357.0 Call / CUSIP: / Symbol: SPY 11/13/20 C 357.000							
11/10/20	1.000	225.34	11/10/20	216.66	...	8.68	Option sale
SPDR S&P500 ETF TRUST SPY Nov 18 2020 360.0 Call / CUSIP: / Symbol: SPY 11/18/20 C 360.000							
11/17/20	2.000	389.68	Various	377.32	...	12.36	Total of 2 transactions
SPDR S&P500 ETF TRUST SPY Dec 14 2020 370.0 Call / CUSIP: / Symbol: SPY 12/14/20 C 370.000							
12/14/20	1.000	42.34	12/14/20	44.98	...	-2.64	Option sale
12/14/20	1.000	42.34	12/14/20	44.66	2.32 W	0.00	Option sale
<b>Security total:</b>		<b>84.68</b>		<b>89.64</b>	<b>2.32 W</b>	<b>-2.64</b>	

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SPDR S&P500 ETF TRUST SPY Dec 16 2020 367.0 Call / CUSIP: / Symbol: SPY 12/16/20 C 367.000							
12/15/20	1.000	151.34	12/15/20	162.66	...	-11.32	Option sale
SPDR S&P500 ETF TRUST SPY Dec 31 2020 372.0 Call / CUSIP: / Symbol: SPY 12/31/20 C 372.000							
12/31/20	1.000	58.34	12/31/20	65.66	...	-7.32	Option sale
SPDR S&P500 ETF TRUST SPY Aug 17 2020 336.0 Put / CUSIP: / Symbol: SPY 08/17/20 P 336.000							
08/14/20	2.000	298.66	Various	314.68	...	-16.02	Total of 2 transactions
08/14/20	9.000	1,433.95	08/14/20	1,446.00	1.34 W	-10.71	Option sale
	<b>Security total:</b>	<b>1,732.61</b>		<b>1,760.68</b>	<b>1.34 W</b>	<b>-26.73</b>	
SPDR S&P500 ETF TRUST SPY Aug 26 2020 343.0 Put / CUSIP: / Symbol: SPY 08/26/20 P 343.000							
08/25/20	1.000	113.33	08/25/20	123.67	...	-10.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 28 2020 343.0 Put / CUSIP: / Symbol: SPY 08/28/20 P 343.000							
08/25/20	1.000	225.33	08/25/20	225.67	...	-0.34	Option sale
SPDR S&P500 ETF TRUST SPY Sep 08 2020 340.0 Put / CUSIP: / Symbol: SPY 09/08/20 P 340.000							
09/04/20	1.000	202.33	09/04/20	244.01	...	-41.68	Option sale
09/04/20	1.000	176.33	09/04/20	221.67	45.34 W	0.00	Option sale
	<b>Security total:</b>	<b>378.66</b>		<b>465.68</b>	<b>45.34 W</b>	<b>-41.68</b>	
SPDR S&P500 ETF TRUST SPY Sep 08 2020 352.0 Put / CUSIP: / Symbol: SPY 09/08/20 P 352.000							
09/03/20	1.000	293.32	09/03/20	264.67	...	28.65	Option sale
SPDR S&P500 ETF TRUST SPY Sep 11 2020 329.0 Put / CUSIP: / Symbol: SPY 09/11/20 P 329.000							
09/10/20	1.000	103.33	09/10/20	117.01	...	-13.68	Option sale
09/10/20	1.000	65.33	09/10/20	79.67	14.34 W	0.00	Option sale
	<b>Security total:</b>	<b>168.66</b>		<b>196.68</b>	<b>14.34 W</b>	<b>-13.68</b>	
SPDR S&P500 ETF TRUST SPY Sep 11 2020 331.0 Put / CUSIP: / Symbol: SPY 09/11/20 P 331.000							
09/08/20	1.000	319.32	09/08/20	320.67	...	-1.35	Option sale
SPDR S&P500 ETF TRUST SPY Sep 23 2020 318.0 Put / CUSIP: / Symbol: SPY 09/23/20 P 318.000							
09/21/20	1.000	190.33	09/21/20	218.35	...	-28.02	Option sale
09/21/20	2.000	372.66	Various	416.68	44.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>562.99</b>		<b>635.03</b>	<b>44.02 W</b>	<b>-28.02</b>	

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TD Ameritrade Clearing, Inc.

Account 270182611

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR S&P500 ETF TRUST SPY Sep 25 2020 327.0 Put / CUSIP: / Symbol: SPY 09/25/20 P 327.000							
09/23/20	1.000	175.34	09/23/20	191.66	...	-16.32	Option sale
SPDR S&P500 ETF TRUST SPY Sep 30 2020 331.0 Put / CUSIP: / Symbol: SPY 09/30/20 P 331.000							
09/29/20	2.000	289.68	Various	276.32	...	13.36	Total of 2 transactions
SPDR S&P500 ETF TRUST SPY Oct 02 2020 335.0 Put / CUSIP: / Symbol: SPY 10/02/20 P 335.000							
10/01/20	1.000	163.34	10/01/20	179.66	...	-16.32	Option sale
SPDR S&P500 ETF TRUST SPY Oct 05 2020 333.0 Put / CUSIP: / Symbol: SPY 10/05/20 P 333.000							
10/02/20	1.000	322.33	10/02/20	318.66	...	3.67	Option sale
SPDR S&P500 ETF TRUST SPY Oct 07 2020 332.0 Put / CUSIP: / Symbol: SPY 10/07/20 P 332.000							
10/06/20	1.000	91.34	10/06/20	93.66	...	-2.32	Option sale
SPDR S&P500 ETF TRUST SPY Oct 07 2020 338.0 Put / CUSIP: / Symbol: SPY 10/07/20 P 338.000							
10/06/20	1.000	118.34	10/06/20	136.66	...	-18.32	Option sale
SPDR S&P500 ETF TRUST SPY Oct 28 2020 337.0 Put / CUSIP: / Symbol: SPY 10/28/20 P 337.000							
10/26/20	1.000	261.33	10/26/20	262.66	...	-1.33	Option sale
SPDR S&P500 ETF TRUST SPY Oct 30 2020 324.0 Put / CUSIP: / Symbol: SPY 10/30/20 P 324.000							
10/28/20	1.000	254.33	10/28/20	258.66	...	-4.33	Option sale
SPDR S&P500 ETF TRUST SPY Oct 30 2020 325.0 Put / CUSIP: / Symbol: SPY 10/30/20 P 325.000							
10/30/20	1.000	192.34	10/30/20	181.66	...	10.68	Option sale
SPDR S&P500 ETF TRUST SPY Oct 30 2020 326.0 Put / CUSIP: / Symbol: SPY 10/30/20 P 326.000							
10/28/20	1.000	329.33	10/28/20	309.66	...	19.67	Option sale
SPDR S&P500 ETF TRUST SPY Nov 02 2020 325.0 Put / CUSIP: / Symbol: SPY 11/02/20 P 325.000							
10/30/20	1.000	351.33	10/30/20	352.66	...	-1.33	Option sale
SPDR S&P500 ETF TRUST SPY Nov 09 2020 360.0 Put / CUSIP: / Symbol: SPY 11/09/20 P 360.000							
11/09/20	1.000	45.34	11/09/20	77.66	...	-32.32	Option sale
SPDR S&P500 ETF TRUST SPY Dec 16 2020 366.0 Put / CUSIP: / Symbol: SPY 12/16/20 P 366.000							
12/15/20	1.000	149.34	12/15/20	157.66	...	-8.32	Option sale

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Account 270182611

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE INC SQ Sep 04 2020 162.5 Call / CUSIP: / Symbol: SQ 09/04/20 C 162.500							
08/27/20	1.000	364.32	08/27/20	657.02	...	-292.70	Option sale
08/27/20	1.000	374.32	08/27/20	530.67	156.35 W	0.00	Option sale
	<b>Security total:</b>	<b>738.64</b>		<b>1,187.69</b>	<b>156.35 W</b>	<b>-292.70</b>	
SQUARE INC SQ Sep 04 2020 165.0 Call / CUSIP: / Symbol: SQ 09/04/20 C 165.000							
09/02/20	1.000	179.33	09/02/20	278.67	...	-99.34	Option sale
SQUARE INC SQ Sep 04 2020 172.5 Call / CUSIP: / Symbol: SQ 09/04/20 C 172.500							
09/01/20	1.000	256.32	09/01/20	261.67	...	-5.35	Option sale
SQUARE INC SQ Oct 02 2020 175.0 Call / CUSIP: / Symbol: SQ 10/02/20 C 175.000							
09/28/20	1.000	174.34	09/28/20	176.98	...	-2.64	Option sale
09/28/20	1.000	138.34	09/28/20	164.66	26.32 W	0.00	Option sale
	<b>Security total:</b>	<b>312.68</b>		<b>341.64</b>	<b>26.32 W</b>	<b>-2.64</b>	
SQUARE INC SQ Oct 02 2020 177.5 Call / CUSIP: / Symbol: SQ 10/02/20 C 177.500							
09/29/20	2.000	226.66	09/29/20	228.32	...	-1.66	Option sale
SQUARE INC SQ Oct 16 2020 195.0 Call / CUSIP: / Symbol: SQ 10/16/20 C 195.000							
10/13/20	1.000	200.34	10/13/20	230.66	...	-30.32	Option sale
SQUARE INC SQ Nov 27 2020 207.5 Call / CUSIP: / Symbol: SQ 11/27/20 C 207.500							
11/20/20	2.000	369.68	Various	369.32	...	0.36	Total of 2 transactions
SQUARE INC SQ Nov 27 2020 210.0 Call / CUSIP: / Symbol: SQ 11/27/20 C 210.000							
11/25/20	1.000	243.33	11/25/20	208.66	...	34.67	Option sale
SQUARE INC SQ Dec 04 2020 215.0 Call / CUSIP: / Symbol: SQ 12/04/20 C 215.000							
11/23/20	1.000	319.33	11/23/20	374.66	...	-55.33	Option sale
SQUARE INC SQ Dec 11 2020 220.0 Call / CUSIP: / Symbol: SQ 12/11/20 C 220.000							
12/09/20	1.000	324.33	12/09/20	300.66	...	23.67	Option sale
SQUARE INC SQ Oct 09 2020 167.5 Put / CUSIP: / Symbol: SQ 10/09/20 P 167.500							
10/06/20	1.000	104.34	10/06/20	111.66	...	-7.32	Option sale

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Account 270182611

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SQUARE INC SQ Oct 09 2020 172.5 Put / CUSIP: / Symbol: SQ 10/09/20 P 172.500							
10/06/20	1.000	173.34	10/06/20	206.66	...	-33.32	Option sale
TELADOC HEALTH INC TDOC Aug 28 2020 232.5 Call / CUSIP: / Symbol: TDOC 08/28/20 C 232.500							
08/21/20	1.000	529.32	08/21/20	690.67	...	-161.35	Option sale
TARGET CORP TGT Sep 04 2020 175.0 Call / CUSIP: / Symbol: TGT 09/04/20 C 175.000							
08/19/20	1.000	61.33	08/19/20	61.67	...	-0.34	Option sale
TESLA INC TSLA Oct 16 2020 490.0 Call / CUSIP: / Symbol: TSLA 10/16/20 C 490.000							
10/14/20	1.000	254.33	10/14/20	200.66	...	53.67	Option sale
TESLA INC TSLA Oct 16 2020 460.0 Call / CUSIP: / Symbol: TSLA 10/16/20 C 460.000							
10/14/20	1.000	764.32	10/14/20	755.66	...	8.66	Option sale
TESLA INC TSLA Nov 20 2020 475.0 Call / CUSIP: / Symbol: TSLA 11/20/20 C 475.000							
11/17/20	1.000	226.33	11/17/20	325.66	...	-99.33	Option sale
TESLA INC TSLA Nov 20 2020 535.0 Call / CUSIP: / Symbol: TSLA 11/20/20 C 535.000							
11/19/20	1.000	544.33	11/19/20	531.66	...	12.67	Option sale
TESLA INC TSLA Nov 27 2020 570.0 Call / CUSIP: / Symbol: TSLA 11/27/20 C 570.000							
11/24/20	1.000	499.33	11/24/20	498.99	...	0.34	Option sale
11/24/20	1.000	499.33	11/24/20	570.66	71.33 W	0.00	Option sale
	<b>Security total:</b>	<b>998.66</b>		<b>1,069.65</b>	<b>71.33 W</b>	<b>0.34</b>	
TESLA INC TSLA Dec 04 2020 620.0 Call / CUSIP: / Symbol: TSLA 12/04/20 C 620.000							
12/03/20	1.000	170.34	12/03/20	420.66	...	-250.32	Option sale
TESLA INC TSLA Sep 11 2020 318.0 Put / CUSIP: / Symbol: TSLA 09/11/20 P 318.000							
09/03/20	2.000	696.65	09/03/20	741.33	...	-44.68	Option sale
TESLA INC TSLA Aug 21 2020 2200.0 Call / CUSIP: / Symbol: TSLA 08/21/20 C 2200.000							
08/18/20	1.000	554.32	08/18/20	737.03	...	-182.71	Option sale
08/18/20	1.000	749.31	08/18/20	755.67	6.36 W	0.00	Option sale
	<b>Security total:</b>	<b>1,303.63</b>		<b>1,492.70</b>	<b>6.36 W</b>	<b>-182.71</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA INC TSLA Sep 04 2020 3300.0 Call / CUSIP: / Symbol: TSLA 09/04/20 C 3300.000							
08/27/20	1.000	379.32	08/27/20	375.67	...	3.65	Option sale
TAIWAN SEMICONDUCTOR MANUFACTU TSM Oct 09 2020 85.0 Call / CUSIP: / Symbol: TSM 10/09/20 C 85.000							
10/05/20	1.000	73.34	10/05/20	94.66	...	-21.32	Option sale
TWITTER INC TWTR Aug 28 2020 39.0 Call / CUSIP: / Symbol: TWTR 08/28/20 C 39.000							
08/24/20	2.000	380.66	Various	377.34	...	3.32	Total of 2 transactions
TWITTER INC TWTR Sep 25 2020 42.0 Call / CUSIP: / Symbol: TWTR 09/25/20 C 42.000							
09/18/20	1.000	30.33	09/18/20	45.67	...	-15.34	Option sale
TWITTER INC TWTR Oct 30 2020 50.0 Call / CUSIP: / Symbol: TWTR 10/30/20 C 50.000							
10/21/20	2.000	575.66	Various	563.32	...	12.34	Total of 2 transactions
TWITTER INC TWTR Oct 30 2020 51.0 Call / CUSIP: / Symbol: TWTR 10/30/20 C 51.000							
10/27/20	1.000	298.33	10/27/20	293.66	...	4.67	Option sale
TWITTER INC TWTR Nov 27 2020 45.0 Call / CUSIP: / Symbol: TWTR 11/27/20 C 45.000							
11/23/20	1.000	82.34	11/23/20	105.66	...	-23.32	Option sale
TWITTER INC TWTR Dec 11 2020 45.0 Call / CUSIP: / Symbol: TWTR 12/11/20 C 45.000							
11/23/20	1.000	134.34	11/23/20	151.66	...	-17.32	Option sale
TWITTER INC TWTR Oct 02 2020 43.0 Put / CUSIP: / Symbol: TWTR 10/02/20 P 43.000							
09/24/20	1.000	116.34	09/24/20	132.66	...	-16.32	Option sale
UNITED AIRLINES HOLDINGS UAL Aug 28 2020 35.0 Call / CUSIP: / Symbol: UAL 08/28/20 C 35.000							
08/24/20	2.000	227.66	Various	234.34	...	-6.68	Total of 2 transactions
UNITED AIRLINES HOLDINGS UAL Aug 28 2020 36.0 Call / CUSIP: / Symbol: UAL 08/28/20 C 36.000							
08/19/20	1.000	122.33	08/19/20	121.67	...	0.66	Option sale
08/24/20	2.000	272.66	08/24/20	271.33	...	1.33	Option sale
	<b>Security total:</b>	<b>394.99</b>		<b>393.00</b>	...	<b>1.99</b>	
UNITED AIRLINES HOLDINGS UAL Oct 16 2020 40.0 Call / CUSIP: / Symbol: UAL 10/16/20 C 40.000							
10/02/20	1.000	113.34	09/30/20	105.66	...	7.68	Option sale

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UBER TECHNOLOGIES INC UBER Sep 25 2020 40.0 Call / CUSIP: / Symbol: UBER 09/25/20 C 40.000								
09/16/20	1.000	53.33	09/16/20	48.67	...		4.66	Option sale
UNITED PARCEL SERVICE INC UPS Sep 18 2020 165.0 Call / CUSIP: / Symbol: UPS 09/18/20 C 165.000								
09/16/20	1.000	165.33	09/16/20	162.67	...		2.66	Option sale
UNITED PARCEL SERVICE INC UPS Sep 18 2020 167.5 Call / CUSIP: / Symbol: UPS 09/18/20 C 167.500								
09/16/20	1.000	43.33	09/16/20	80.67	...		-37.34	Option sale
VELODYNE LIDAR INC VLDR Jan 15 2021 25.0 Call / CUSIP: / Symbol: VLDR 01/15/21 C 25.000								
12/31/20	1.000	194.34	12/31/20	210.66	...		-16.32	Option sale
WALGREENS BOOTS ALLIANCE INC WBA Nov 13 2020 38.0 Call / CUSIP: / Symbol: WBA 11/13/20 C 38.000								
11/06/20	1.000	62.34	11/06/20	60.66	...		1.68	Option sale
WELLS FARGO & COMPANY WFC Sep 04 2020 24.0 Call / CUSIP: / Symbol: WFC 09/04/20 C 24.000								
08/20/20	1.000	60.33	08/20/20	66.67	...		-6.34	Option sale
WELLS FARGO & COMPANY WFC Sep 04 2020 25.0 Call / CUSIP: / Symbol: WFC 09/04/20 C 25.000								
09/04/20	1.000	1.33	09/04/20	3.67	...		-2.34	Option sale
WORKHORSE GROUP INC WKHS Sep 04 2020 17.5 Call / CUSIP: / Symbol: WKHS 09/04/20 C 17.500								
08/31/20	3.000	521.99	Various	447.01	...		74.98	Total of 3 transactions
WORKHORSE GROUP INC WKHS Sep 18 2020 23.0 Call / CUSIP: / Symbol: WKHS 09/18/20 C 23.000								
09/09/20	1.000	214.33	09/09/20	285.67	71.34 W		0.00	Option sale
09/11/20	1.000	179.33	09/10/20	252.01	...		-72.68	Option sale
	<b>Security total:</b>	<b>393.66</b>		<b>537.68</b>	<b>71.34 W</b>		<b>-72.68</b>	
WORKHORSE GROUP INC WKHS Sep 25 2020 23.5 Call / CUSIP: / Symbol: WKHS 09/25/20 C 23.500								
09/25/20	1.000	119.34	09/25/20	120.66	...		-1.32	Option sale
WORKHORSE GROUP INC WKHS Sep 25 2020 25.0 Call / CUSIP: / Symbol: WKHS 09/25/20 C 25.000								
09/25/20	1.000	79.34	09/25/20	75.66	...		3.68	Option sale
WORKHORSE GROUP INC WKHS Oct 02 2020 25.0 Call / CUSIP: / Symbol: WKHS 10/02/20 C 25.000								
09/24/20	1.000	184.34	09/24/20	200.66	...		-16.32	Option sale

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP INC WKHS Oct 02 2020 28.0 Call / CUSIP: / Symbol: WKHS 10/02/20 C 28.000							
09/25/20	1.000	133.34	09/25/20	156.98	...	-23.64	Option sale
09/25/20	1.000	124.34	09/25/20	145.66	21.32 W	0.00	Option sale
	<b>Security total:</b>	<b>257.68</b>		<b>302.64</b>	<b>21.32 W</b>	<b>-23.64</b>	
WORKHORSE GROUP INC WKHS Oct 16 2020 30.0 Call / CUSIP: / Symbol: WKHS 10/16/20 C 30.000							
10/12/20	1.000	189.34	10/12/20	320.66	...	-131.32	Option sale
WORKHORSE GROUP INC WKHS Nov 20 2020 22.5 Call / CUSIP: / Symbol: WKHS 11/20/20 C 22.500							
11/18/20	1.000	74.34	11/18/20	80.98	...	-6.64	Option sale
11/18/20	1.000	59.34	11/18/20	69.66	10.32 W	0.00	Option sale
	<b>Security total:</b>	<b>133.68</b>		<b>150.64</b>	<b>10.32 W</b>	<b>-6.64</b>	
WALMART INC WMT Sep 04 2020 139.0 Call / CUSIP: / Symbol: WMT 09/04/20 C 139.000							
08/27/20	1.000	241.32	08/27/20	222.67	...	18.65	Option sale
WALMART INC WMT Sep 04 2020 141.0 Call / CUSIP: / Symbol: WMT 09/04/20 C 141.000							
08/27/20	2.000	272.66	Various	262.68	...	9.98	Total of 2 transactions
08/27/20	1.000	126.33	08/27/20	132.67	6.34 W	0.00	Option sale
	<b>Security total:</b>	<b>398.99</b>		<b>395.35</b>	<b>6.34 W</b>	<b>9.98</b>	
WALMART INC WMT Sep 11 2020 150.0 Call / CUSIP: / Symbol: WMT 09/11/20 C 150.000							
09/03/20	1.000	314.32	09/03/20	310.67	...	3.65	Option sale
WALMART INC WMT Sep 18 2020 140.0 Call / CUSIP: / Symbol: WMT 09/18/20 C 140.000							
09/10/20	2.000	518.64	Various	487.34	...	31.30	Total of 2 transactions
WALMART INC WMT Oct 09 2020 142.0 Call / CUSIP: / Symbol: WMT 10/09/20 C 142.000							
10/05/20	1.000	152.34	10/02/20	169.66	...	-17.32	Option sale
WALMART INC WMT Oct 16 2020 145.0 Call / CUSIP: / Symbol: WMT 10/16/20 C 145.000							
09/30/20	1.000	115.34	09/29/20	85.66	...	29.68	Option sale
WALMART INC WMT Oct 23 2020 146.0 Call / CUSIP: / Symbol: WMT 10/23/20 C 146.000							
10/16/20	1.000	165.34	10/16/20	169.66	...	-4.32	Option sale
SLACK TECHNOLOGIES INC WORK Aug 14 2020 30.5 Call / CUSIP: / Symbol: WORK 08/14/20 C 30.500							
08/06/20	1.000	130.33	08/06/20	130.67	...	-0.34	Option sale

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 270182611

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SLACK TECHNOLOGIES INC WORK Aug 28 2020 31.0 Call / CUSIP: / Symbol: WORK 08/28/20 C 31.000							
08/26/20	1.000	57.33	08/26/20	55.67	...	1.66	Option sale
SELECT SECTOR SPDR TRUST XLE Dec 24 2020 42.0 Call / CUSIP: / Symbol: XLE 12/24/20 C 42.000							
12/09/20	1.000	52.34	12/04/20	70.66	...	-18.32	Option sale
SELECT SECTOR SPDR TRUST XLI Oct 30 2020 82.5 Call / CUSIP: / Symbol: XLI 10/30/20 C 82.500							
10/16/20	1.000	96.34	10/16/20	117.66	...	-21.32	Option sale
XPENG INC XPEV Nov 20 2020 45.0 Call / CUSIP: / Symbol: XPEV 11/20/20 C 45.000							
11/19/20	2.000	239.68	Various	212.64	...	27.04	Total of 2 transactions
11/19/20	1.000	119.34	11/19/20	120.66	1.32 W	0.00	Option sale
	<b>Security total:</b>	<b>359.02</b>		<b>333.30</b>	<b>1.32 W</b>	<b>27.04</b>	
ZOOM VIDEO COMMUNICATIONS INC ZM Dec 18 2020 350.0 Put / CUSIP: / Symbol: ZM 12/18/20 P 350.000							
12/14/20	1.000	94.34	12/14/20	300.66	...	-206.32	Option sale
<b>Totals :</b>		<b>113,408.76</b>		<b>121,014.70</b>	<b>3,118.06 W</b>	<b>-4,487.88</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 270182611

**Detail for Interest Income****2020**

01/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	08/31/20	0.02	Interest- money mkt	
		09/30/20	0.01	Interest- money mkt	
		10/31/20	0.01	Interest- money mkt	
		11/30/20	0.01	Interest- money mkt	
			0.05	Total Interest	
			<b>0.05</b>	<b>Total Interest</b>	

TD Ameritrade Clearing, Inc.

Account 270182611

**Section 1256 Contracts - Regulated Futures and Nonequity Options**

2020

01/14/2021

*This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.*

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ENTERPRISE PRODS PARTNERS L P EPD Dec 24 EPD	12/24/20 C 21.500	12/14/20	1.00	18.68	Realized profit/loss	
		12/14/20	1.00	7.68	Realized profit/loss	
		12/14/20	1.00	7.67	Realized profit/loss	
				<b>34.03</b>	<b>Total Realized profit/loss</b>	
ISHARES SILVER TRUST SLV Sep 25 2020 24.5	SLV 09/25/20 C 24.500	09/22/20	1.00	-52.34	Realized profit/loss	
ISHARES SILVER TRUST SLV Oct 16 2020 30.0	SLV 10/16/20 C 30.000	09/02/20	2.00	0.33	Realized profit/loss	
BARCLAYS BANK PLC VXX Aug 28 2020 25.0 Call	VXX 08/28/20 C 25.000	08/21/20	1.00	42.66	Realized profit/loss	
BARCLAYS BANK PLC VXX Sep 04 2020 24.5 Call	VXX 09/04/20 C 24.500	08/27/20	1.00	8.66	Realized profit/loss	
BARCLAYS BANK PLC VXX Sep 11 2020 32.5 Call	VXX 09/11/20 C 32.500	09/04/20	1.00	47.66	Realized profit/loss	
				<b>81.00</b>	<b>Total Realized profit/loss</b>	
				<b>81.00</b>	<b>Total Aggregate profit or (loss) on contracts</b>	



**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Instructions for Recipient**

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S.

Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient.

Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Instructions for Recipient**

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)**

**Line 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

**Line 9.** Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2019.

**Line 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2020 in 2021.

**Line 11.** Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.