

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 438746976</b>	Statement Date: 02/14/2021 Document ID: G133 E7M V2T0	2020
	Venkata krishna Galla 1606 Crystal Spring Lane 1606 Nashville, TN 37076  RECIPIENT'S TIN: XXX-XX-9328		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	602,487.86	656,990.88	0.00	38,597.72	-15,905.30
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>602,487.86</b>	<b>656,990.88</b>	<b>0.00</b>	<b>38,597.72</b>	<b>-15,905.30</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>602,487.86</b>	<b>656,990.88</b>	<b>0.00</b>	<b>38,597.72</b>	<b>-15,905.30</b>

  

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 438746976

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	5.57
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	50.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/28/2020 CALL \$332.50 / CUSIP: / Symbol:							
01/28/20	5.000	2,774.93	01/27/20	2,075.00	...	699.93	Option sale to close-call <sup>25</sup>
AAPL 09/04/2020 CALL \$130.00 / CUSIP: / Symbol:							
7 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	309.99	08/31/20	269.00	...	40.99	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	619.98	08/31/20	538.00	...	81.98	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	619.98	08/31/20	538.00	...	81.98	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	619.98	08/31/20	538.00	...	81.98	4 of 7 - Option sale to close-call <sup>25</sup>
	10.000	3,099.91	08/31/20	2,690.00	...	409.91	5 of 7 - Option sale to close-call <sup>25</sup>
	5.000	1,549.96	08/31/20	1,085.00	...	464.96	6 of 7 - Option sale to close-call <sup>25</sup>
	13.000	4,029.89	08/31/20	3,497.00	...	532.89	7 of 7 - Option sale to close-call <sup>25</sup>
09/01/20	35.000	10,849.69	Various	9,155.00	...	1,694.69	Total of 7 transactions

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
8 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	177.98	09/11/20	172.00	...	5.98	1 of 8 - Option sale to close-call <sup>25</sup>
	3.000	266.98	09/11/20	258.00	...	8.98	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	88.98	09/11/20	78.00	...	10.98	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	88.98	09/11/20	78.00	...	10.98	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	89.00	09/11/20	78.00	...	11.00	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	177.99	09/11/20	156.00	...	21.99	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	89.00	09/11/20	63.00	...	26.00	7 of 8 - Option sale to close-call <sup>25</sup>
	9.000	800.96	09/11/20	585.00	...	215.96	8 of 8 - Option sale to close-call <sup>25</sup>
09/14/20	20.000	1,779.87	Various	1,468.00	...	311.87	Total of 8 transactions
09/16/20	40.000	1,279.89	09/15/20	3,040.00	...	-1,760.11	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,059.76</b>		<b>4,508.00</b>	...	<b>-1,448.24</b>	
AAPL 09/18/2020 PUT \$108.75 / CUSIP: / Symbol:							
4 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	2.000	195.98	09/17/20	194.00	...	1.98	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	195.98	09/17/20	180.00	...	15.98	2 of 4 - Option sale to close-put <sup>25</sup>
	8.000	783.96	09/17/20	720.00	...	63.96	3 of 4 - Option sale to close-put <sup>25</sup>
	18.000	1,763.92	09/17/20	1,620.00	...	143.92	4 of 4 - Option sale to close-put <sup>25</sup>
09/17/20	30.000	2,939.84	Various	2,714.00	...	225.84	Total of 4 transactions
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	8.000	359.98	09/17/20	600.00	...	-240.02	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	89.99	09/17/20	150.00	...	-60.01	2 of 2 - Option sale to close-put <sup>25</sup>
09/18/20	10.000	449.97	Various	750.00	...	-300.03	Total of 2 transactions
	<b>Security total:</b>	<b>3,389.81</b>		<b>3,464.00</b>	...	<b>-74.19</b>	
AAPL 09/18/2020 PUT \$110.00 / CUSIP: / Symbol:							
7 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	319.99	09/11/20	310.00	...	9.99	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	319.99	09/11/20	310.00	...	9.99	2 of 7 - Option sale to close-put <sup>25</sup>
	2.000	639.97	09/11/20	620.00	...	19.97	3 of 7 - Option sale to close-put <sup>25</sup>
	3.000	959.97	09/11/20	930.00	...	29.97	4 of 7 - Option sale to close-put <sup>25</sup>
	3.000	959.97	09/11/20	930.00	...	29.97	5 of 7 - Option sale to close-put <sup>25</sup>

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/18/2020 PUT \$110.00 / CUSIP: / Symbol: (cont'd)							
	4.000	1,279.96	09/11/20	1,240.00	...	39.96	6 of 7 - Option sale to close-put <sup>25</sup>
	6.000	1,919.94	09/11/20	1,860.00	...	59.94	7 of 7 - Option sale to close-put <sup>25</sup>
09/11/20	20.000	6,399.79	Various	6,200.00	...	199.79	Total of 7 transactions
AAPL 09/18/2020 PUT \$113.75 / CUSIP: / Symbol:							
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	485.97	09/16/20	190.00	...	295.97	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	485.97	09/16/20	190.00	...	295.97	2 of 3 - Option sale to close-put <sup>25</sup>
	8.000	3,839.89	09/16/20	1,520.00	...	2,319.89	3 of 3 - Option sale to close-put <sup>25</sup>
09/17/20	10.000	4,811.83	Various	1,900.00	...	2,911.83	Total of 3 transactions
AAPL 09/25/2020 CALL \$110.00 / CUSIP: / Symbol:							
13 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	227.98	09/21/20	197.00	...	30.98	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	227.98	09/21/20	197.00	...	30.98	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	227.98	09/21/20	197.00	...	30.98	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	227.99	09/21/20	197.00	...	30.99	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	227.99	09/21/20	197.00	...	30.99	5 of 13 - Option sale to close-call <sup>25</sup>
	1.000	228.00	09/21/20	197.00	...	31.00	6 of 13 - Option sale to close-call <sup>25</sup>
	1.000	227.99	09/21/20	194.00	...	33.99	7 of 13 - Option sale to close-call <sup>25</sup>
	2.000	455.97	09/21/20	394.00	...	61.97	8 of 13 - Option sale to close-call <sup>25</sup>
	3.000	683.97	09/21/20	591.00	...	92.97	9 of 13 - Option sale to close-call <sup>25</sup>
	3.000	683.98	09/21/20	591.00	...	92.98	10 of 13 - Option sale to close-call <sup>25</sup>
	5.000	1,139.96	09/21/20	985.00	...	154.96	11 of 13 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/25/2020 CALL \$110.00 / CUSIP: / Symbol: (cont'd)							
	10.000	2,279.93	09/21/20	1,970.00	...	309.93	12 of 13 - Option sale to close-call <sup>25</sup>
	10.000	2,279.93	09/21/20	1,970.00	...	309.93	13 of 13 - Option sale to close-call <sup>25</sup>
09/21/20	40.000	9,119.65	Various	7,877.00	...	1,242.65	Total of 13 transactions
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	288.99	09/22/20	215.00	...	73.99	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	1,444.96	09/22/20	1,075.00	...	369.96	2 of 3 - Option sale to close-call <sup>25</sup>
	20.000	5,779.83	09/22/20	4,780.00	...	999.83	3 of 3 - Option sale to close-call <sup>25</sup>
09/23/20	26.000	7,513.78	Various	6,070.00	...	1,443.78	Total of 3 transactions
	<b>Security total:</b>	<b>16,633.43</b>		<b>13,947.00</b>	...	<b>2,686.43</b>	
AAPL 09/25/2020 PUT \$106.25 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	19.000	5,053.84	09/18/20	5,301.00	...	-247.16	1 of 3 - Option sale to close-put <sup>25</sup>
	6.000	1,595.95	09/18/20	1,674.00	...	-78.05	2 of 3 - Option sale to close-put <sup>25</sup>
	5.000	1,339.96	09/18/20	1,395.00	...	-55.04	3 of 3 - Option sale to close-put <sup>25</sup>
09/18/20	30.000	7,989.75	Various	8,370.00	...	-380.25	Total of 3 transactions
AAPL 10/02/2020 CALL \$116.25 / CUSIP: / Symbol:							
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	131.98	10/01/20	113.00	...	18.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	132.00	10/01/20	113.00	...	19.00	2 of 3 - Option sale to close-call <sup>25</sup>
	33.000	4,355.83	10/01/20	3,729.00	...	626.83	3 of 3 - Option sale to close-call <sup>25</sup>
10/01/20	35.000	4,619.81	Various	3,955.00	...	664.81	Total of 3 transactions
AAPL 10/23/2020 CALL \$121.00 / CUSIP: / Symbol:							
8 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	6.000	455.97	10/16/20	1,626.00	...	-1,170.03	1 of 8 - Option sale to close-call <sup>25</sup>
	3.000	227.99	10/16/20	813.00	...	-585.01	2 of 8 - Option sale to close-call <sup>25</sup>
	3.000	227.99	10/16/20	813.00	...	-585.01	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	76.00	10/16/20	466.02	...	-390.02	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	76.00	10/16/20	466.02	...	-390.02	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	76.00	10/16/20	271.00	...	-195.00	6 of 8 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/23/2020 CALL \$121.00 / CUSIP: / Symbol: (cont'd)							
	12.000	911.94	10/19/20	1,404.00	...	-492.06	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	76.00	10/19/20	118.00	...	-42.00	8 of 8 - Option sale to close-call <sup>25</sup>
10/19/20	28.000	2,127.89	Various	5,977.04	...	-3,849.15	Total of 8 transactions
	2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1.000	75.98	10/16/20	271.00	195.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	75.98	10/16/20	271.00	195.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/19/20	2.000	151.96	Various	542.00	390.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,279.85</b>		<b>6,519.04</b>	<b>390.04 W</b>	<b>-3,849.15</b>	
AAPL 10/23/2020 PUT \$116.00 / CUSIP: / Symbol:							
	2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	6.000	1,259.96	10/19/20	1,260.00	...	-0.04	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	209.99	10/19/20	181.01	...	28.98	2 of 2 - Option sale to close-put <sup>25</sup>
10/19/20	7.000	1,469.95	Various	1,441.01	...	28.94	Total of 2 transactions
10/19/20	4.000	839.97	10/19/20	840.00	0.01 W	-0.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,309.92</b>		<b>2,281.01</b>	<b>0.01 W</b>	<b>28.92</b>	
AMD 08/14/2020 CALL \$83.00 / CUSIP: / Symbol:							
	15 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	135.00	08/13/20	101.03	...	33.97	1 of 15 - Option sale to close-call <sup>25</sup>
	1.000	134.99	08/13/20	93.04	...	41.95	2 of 15 - Option sale to close-call <sup>25</sup>
	1.000	134.99	08/13/20	93.03	...	41.96	3 of 15 - Option sale to close-call <sup>25</sup>
	1.000	134.99	08/13/20	93.03	...	41.96	4 of 15 - Option sale to close-call <sup>25</sup>
	1.000	135.00	08/13/20	93.03	...	41.97	5 of 15 - Option sale to close-call <sup>25</sup>
	1.000	135.00	08/13/20	93.03	...	41.97	6 of 15 - Option sale to close-call <sup>25</sup>
	1.000	134.98	08/13/20	93.01	...	41.97	7 of 15 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 08/14/2020 CALL \$83.00 / CUSIP: / Symbol: (cont'd)							
	1.000	134.99	08/13/20	93.02	...	41.97	8 of 15 - Option sale to close-call <sub>25</sub>
	1.000	134.99	08/13/20	93.01	...	41.98	9 of 15 - Option sale to close-call <sub>25</sub>
	2.000	269.99	08/13/20	186.03	...	83.96	10 of 15 - Option sale to close-call <sub>25</sub>
	2.000	269.99	08/13/20	186.02	...	83.97	11 of 15 - Option sale to close-call <sub>25</sub>
	3.000	404.98	08/13/20	303.05	...	101.93	12 of 15 - Option sale to close-call <sub>25</sub>
	3.000	404.98	08/13/20	279.03	...	125.95	13 of 15 - Option sale to close-call <sub>25</sub>
	4.000	539.97	08/13/20	372.04	...	167.93	14 of 15 - Option sale to close-call <sub>25</sub>
	14.000	1,889.93	08/13/20	1,078.00	...	811.93	15 of 15 - Option sale to close-call <sub>25</sub>
08/13/20	37.000	4,994.77	Various	3,249.40	...	1,745.37	Total of 15 transactions
	21 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	134.98	08/13/20	143.00	8.02 W	0.00	1 of 21 - Option sale to close-call <sub>25</sub>
	3.000	404.98	08/13/20	429.00	24.02 W	0.00	2 of 21 - Option sale to close-call <sub>25</sub>
	1.000	134.98	08/13/20	143.00	8.02 W	0.00	3 of 21 - Option sale to close-call <sub>25</sub>
	2.000	269.98	08/13/20	286.00	16.02 W	0.00	4 of 21 - Option sale to close-call <sub>25</sub>
	1.000	134.98	08/13/20	143.00	8.02 W	0.00	5 of 21 - Option sale to close-call <sub>25</sub>
	13.000	1,754.93	08/13/20	1,859.00	104.07 W	0.00	6 of 21 - Option sale to close-call <sub>25</sub>
	2.000	269.99	08/13/20	286.00	16.01 W	0.00	7 of 21 - Option sale to close-call <sub>25</sub>
	1.000	134.99	08/13/20	151.02	16.03 W	0.00	8 of 21 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 08/14/2020 CALL \$83.00 / CUSIP: / Symbol: (cont'd)							
	3.000	404.99	08/13/20	453.02	48.03 W	0.00	9 of 21 - Option sale to close-call <sup>25</sup>
	1.000	134.99	08/13/20	151.02	16.03 W	0.00	10 of 21 - Option sale to close-call <sup>25</sup>
	2.000	269.99	08/13/20	302.02	32.03 W	0.00	11 of 21 - Option sale to close-call <sup>25</sup>
	1.000	134.98	08/13/20	151.02	16.04 W	0.00	12 of 21 - Option sale to close-call <sup>25</sup>
	1.000	134.98	08/13/20	151.01	16.03 W	0.00	13 of 21 - Option sale to close-call <sup>25</sup>
	6.000	809.97	08/13/20	906.03	96.06 W	0.00	14 of 21 - Option sale to close-call <sup>25</sup>
	1.000	134.98	08/13/20	151.01	16.03 W	0.00	15 of 21 - Option sale to close-call <sup>25</sup>
	1.000	134.98	08/13/20	151.00	16.02 W	0.00	16 of 21 - Option sale to close-call <sup>25</sup>
	1.000	134.98	08/13/20	151.01	16.03 W	0.00	17 of 21 - Option sale to close-call <sup>25</sup>
	3.000	404.98	08/13/20	453.01	48.03 W	0.00	18 of 21 - Option sale to close-call <sup>25</sup>
	1.000	135.00	08/13/20	159.03	24.03 W	0.00	19 of 21 - Option sale to close-call <sup>25</sup>
	2.000	269.99	08/13/20	302.01	32.02 W	0.00	20 of 21 - Option sale to close-call <sup>25</sup>
	3.000	404.98	08/13/20	477.03	72.05 W	0.00	21 of 21 - Option sale to close-call <sup>25</sup>
08/13/20	50.000	6,749.60	Various	7,398.24	648.64 W	0.00	Total of 21 transactions
	<b>Security total:</b>	<b>11,744.37</b>		<b>10,647.64</b>	<b>648.64 W</b>	<b>1,745.37</b>	

AMD 08/21/2020 PUT \$81.00 / CUSIP: / Symbol:

21 transactions for 08/21/20. Total proceeds and cost reported to the IRS.

30.000	89.94	08/20/20	2,580.00	...	-2,490.06	1 of 21 - Option sale to close-put <sup>25</sup>
22.000	65.95	08/20/20	1,892.00	...	-1,826.05	2 of 21 - Option sale to close-put <sup>25</sup>
40.000	119.91	08/20/20	1,760.00	...	-1,640.09	3 of 21 - Option sale to close-put <sup>25</sup>
20.000	59.96	08/20/20	1,400.00	...	-1,340.04	4 of 21 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 08/21/2020 PUT \$81.00 / CUSIP: / Symbol: (cont'd)							
	40.000	119.91	08/20/20	1,400.00	...	-1,280.09	5 of 21 - Option sale to close-put <sup>25</sup>
	7.000	20.99	08/20/20	1,183.02	...	-1,162.03	6 of 21 - Option sale to close-put <sup>25</sup>
	9.000	26.98	08/20/20	774.00	...	-747.02	7 of 21 - Option sale to close-put <sup>25</sup>
	4.000	11.99	08/20/20	676.02	...	-664.03	8 of 21 - Option sale to close-put <sup>25</sup>
	29.000	86.92	08/20/20	522.00	...	-435.08	9 of 21 - Option sale to close-put <sup>25</sup>
	4.000	11.99	08/20/20	344.00	...	-332.01	10 of 21 - Option sale to close-put <sup>25</sup>
	2.000	6.00	08/20/20	338.00	...	-332.00	11 of 21 - Option sale to close-put <sup>25</sup>
	1.000	3.00	08/20/20	252.02	...	-249.02	12 of 21 - Option sale to close-put <sup>25</sup>
	1.000	3.00	08/20/20	169.00	...	-166.00	13 of 21 - Option sale to close-put <sup>25</sup>
	2.000	6.00	08/20/20	172.00	...	-166.00	14 of 21 - Option sale to close-put <sup>25</sup>
	1.000	3.00	08/20/20	86.00	...	-83.00	15 of 21 - Option sale to close-put <sup>25</sup>
	1.000	3.00	08/20/20	86.00	...	-83.00	16 of 21 - Option sale to close-put <sup>25</sup>
	1.000	3.00	08/20/20	42.00	...	-39.00	17 of 21 - Option sale to close-put <sup>25</sup>
	2.000	6.00	08/20/20	36.00	...	-30.00	18 of 21 - Option sale to close-put <sup>25</sup>
	1.000	3.00	08/20/20	18.00	...	-15.00	19 of 21 - Option sale to close-put <sup>25</sup>
	1.000	3.00	08/20/20	18.00	...	-15.00	20 of 21 - Option sale to close-put <sup>25</sup>
	1.000	3.00	08/20/20	18.00	...	-15.00	21 of 21 - Option sale to close-put <sup>25</sup>
08/21/20	219.000	656.54	Various	13,766.06	...	-13,109.52	Total of 21 transactions
	5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	1.000	2.98	08/20/20	86.00	83.02 W	0.00	1 of 5 - Option sale to close-put <sup>25</sup>
	9.000	26.98	08/20/20	774.00	747.02 W	0.00	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	3.00	08/20/20	169.02	166.02 W	0.00	3 of 5 - Option sale to close-put <sup>25</sup>
	4.000	11.98	08/20/20	344.00	332.02 W	0.00	4 of 5 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 08/21/2020 PUT \$81.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	08/20/20	86.00	83.00 W	0.00	5 of 5 - Option sale to close-put <sup>25</sup>
08/21/20	16.000	47.94	Various	1,459.02	1,411.08 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>704.48</b>		<b>15,225.08</b>	<b>1,411.08 W</b>	<b>-13,109.52</b>	
AMD 08/28/2020 CALL \$87.00 / CUSIP: / Symbol:							
4 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	55.000	4,234.79	08/26/20	6,050.00	...	-1,815.21	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	153.99	08/26/20	220.00	...	-66.01	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	153.99	08/26/20	220.00	...	-66.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	77.00	08/26/20	110.00	...	-33.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/26/20	60.000	4,619.77	Various	6,600.00	...	-1,980.23	Total of 4 transactions
AMZN 04/17/2020 PUT \$2,200.00 / CUSIP: / Symbol:							
04/15/20	1.000	1,269.96	04/14/20	1,980.00	...	-710.04	Option sale to close-put <sup>25</sup>
AMZN 10/23/2020 CALL \$3,250.00 / CUSIP: / Symbol:							
10/20/20	1.000	4,399.89	10/19/20	3,920.00	...	479.89	Option sale to close-call <sup>25</sup>
10/21/20	1.000	3,439.91	10/20/20	3,500.00	...	-60.09	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,839.80</b>		<b>7,420.00</b>	...	<b>419.80</b>	
AMZN 12/24/2020 CALL \$3,200.00 / CUSIP: / Symbol:							
12/22/20	1.000	3,904.90	12/22/20	2,600.00	...	1,304.90	Option sale to close-call <sup>25</sup>
AZN 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	174.98	11/27/20	148.00	...	26.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	174.98	11/27/20	148.00	...	26.98	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	524.98	11/27/20	444.00	...	80.98	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	874.96	11/27/20	740.00	...	134.96	4 of 4 - Option sale to close-call <sup>25</sup>
11/30/20	10.000	1,749.90	Various	1,480.00	...	269.90	Total of 4 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AZN 12/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	6.000	71.98	12/03/20	138.02	...	-66.04	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	47.99	12/03/20	92.01	...	-44.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/04/20	10.000	119.97	Various	230.03	...	-110.06	Total of 2 transactions
12/04/20	10.000	119.97	12/01/20	200.00	80.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>239.94</b>		<b>430.03</b>	<b>80.03 W</b>	<b>-110.06</b>	
AZN 12/18/2020 PUT \$50.50 / CUSIP: / Symbol:							
12/15/20	40.000	2,799.85	12/15/20	2,600.00	...	199.85	Option sale to close-put <sup>25</sup>
BAC 01/15/2021 CALL \$32.00 / CUSIP: / Symbol:							
06/25/20	14.000	1,021.94	06/24/20	1,022.00	...	-0.06	Option sale to close-call <sup>25</sup>
BAC 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	20.000	1,639.92	06/10/20	1,600.00	...	39.92	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	82.00	06/11/20	45.00	...	37.00	2 of 3 - Option sale to close-call <sup>25</sup>
	8.000	655.96	06/11/20	392.00	...	263.96	3 of 3 - Option sale to close-call <sup>25</sup>
06/16/20	29.000	2,377.88	Various	2,037.00	...	340.88	Total of 3 transactions
BAC 04/24/2020 CALL \$23.50 / CUSIP: / Symbol:							
5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	2.000	131.99	04/17/20	114.00	...	17.99	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	131.99	04/17/20	114.00	...	17.99	2 of 5 - Option sale to close-call <sup>25</sup>
	3.000	197.99	04/17/20	171.00	...	26.99	3 of 5 - Option sale to close-call <sup>25</sup>
	4.000	263.99	04/17/20	228.00	...	35.99	4 of 5 - Option sale to close-call <sup>25</sup>
	13.000	857.95	04/17/20	741.00	...	116.95	5 of 5 - Option sale to close-call <sup>25</sup>
04/17/20	24.000	1,583.91	Various	1,368.00	...	215.91	Total of 5 transactions
6 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	13.000	233.97	04/20/20	520.00	...	-286.03	1 of 6 - Option sale to close-call <sup>25</sup>
	6.000	107.98	04/20/20	324.02	...	-216.04	2 of 6 - Option sale to close-call <sup>25</sup>
	10.000	179.97	04/20/20	320.00	...	-140.03	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	53.99	04/20/20	96.00	...	-42.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	18.00	04/20/20	53.02	...	-35.02	5 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 04/24/2020 CALL \$23.50 / CUSIP: / Symbol: (cont'd)							
	2.000	36.00	04/20/20	60.00	...	-24.00	6 of 6 - Option sale to close-call <sup>25</sup>
04/21/20	35.000	629.91	Various	1,373.04	...	-743.13	Total of 6 transactions
	2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	1.000	18.98	04/20/20	40.00	21.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	107.98	04/20/20	240.00	132.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/21/20	7.000	126.96	Various	280.00	153.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,340.78</b>		<b>3,021.04</b>	<b>153.04 W</b>	<b>-527.22</b>	
BAC 05/01/2020 CALL \$22.50 / CUSIP: / Symbol:							
	3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	2.000	117.98	04/22/20	118.00	...	-0.02	1 of 3 - Option sale to close-call <sup>25</sup>
	6.000	353.98	04/22/20	354.00	...	-0.02	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	117.98	04/22/20	118.00	...	-0.02	3 of 3 - Option sale to close-call <sup>25</sup>
04/23/20	10.000	589.94	Various	590.00	...	-0.06	Total of 3 transactions
BAC 06/05/2020 CALL \$24.50 / CUSIP: / Symbol:							
	6 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	25.000	1,199.92	05/29/20	1,250.00	...	-50.08	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	48.00	05/29/20	47.02	...	0.98	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	48.00	05/29/20	47.02	...	0.98	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	143.99	05/29/20	141.02	...	2.97	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	48.00	05/29/20	45.00	...	3.00	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	239.98	05/29/20	225.00	...	14.98	6 of 6 - Option sale to close-call <sup>25</sup>
06/01/20	36.000	1,727.89	Various	1,755.06	...	-27.17	Total of 6 transactions
	3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	1.000	47.98	05/29/20	50.00	2.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	47.98	05/29/20	50.00	2.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	143.98	05/29/20	150.00	6.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/01/20	5.000	239.94	Various	250.00	10.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,967.83</b>		<b>2,005.06</b>	<b>10.06 W</b>	<b>-27.17</b>	

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 06/12/2020 CALL \$28.50 / CUSIP: / Symbol:							
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	5.000	304.98	06/08/20	305.02	...	-0.04	1 of 4 - Option sale to close-call <sup>25</sup>
	10.000	609.97	06/08/20	610.00	...	-0.03	2 of 4 - Option sale to close-call <sup>25</sup>
	10.000	609.97	06/08/20	610.00	...	-0.03	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	121.99	06/08/20	118.00	...	3.99	4 of 4 - Option sale to close-call <sup>25</sup>
06/09/20	27.000	1,646.91	Various	1,643.02	...	3.89	Total of 4 transactions
06/09/20	5.000	304.98	06/08/20	305.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,951.89</b>		<b>1,948.02</b>	<b>0.02 W</b>	<b>3.89</b>	
BAC 06/26/2020 CALL \$25.00 / CUSIP: / Symbol:							
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	15.000	944.94	06/22/20	930.00	...	14.94	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	63.00	06/22/20	47.00	...	16.00	2 of 4 - Option sale to close-call <sup>25</sup>
	7.000	440.97	06/22/20	329.00	...	111.97	3 of 4 - Option sale to close-call <sup>25</sup>
	15.000	944.95	06/22/20	765.00	...	179.95	4 of 4 - Option sale to close-call <sup>25</sup>
06/23/20	38.000	2,393.86	Various	2,071.00	...	322.86	Total of 4 transactions
BAC 06/26/2020 PUT \$23.50 / CUSIP: / Symbol:							
6 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	11.000	120.97	06/25/20	572.03	...	-451.06	1 of 6 - Option sale to close-put <sup>25</sup>
	8.000	87.97	06/25/20	416.02	...	-328.05	2 of 6 - Option sale to close-put <sup>25</sup>
	4.000	43.98	06/25/20	200.01	...	-156.03	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	11.00	06/25/20	52.00	...	-41.00	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	10.98	06/25/20	50.00	...	-39.02	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	10.98	06/25/20	50.00	...	-39.02	6 of 6 - Option sale to close-put <sup>25</sup>
06/25/20	26.000	285.88	Various	1,340.06	...	-1,054.18	Total of 6 transactions
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	6.000	65.99	06/25/20	198.00	44.00 W	-88.01	1 of 2 - Option sale to close-put <sup>25</sup>
	24.000	263.94	06/25/20	792.00	528.06 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
06/25/20	30.000	329.93	Various	990.00	572.06 W	-88.01	Total of 2 transactions
	<b>Security total:</b>	<b>615.81</b>		<b>2,330.06</b>	<b>572.06 W</b>	<b>-1,142.19</b>	

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 07/10/2020 PUT \$23.00 / CUSIP: / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	2.000	45.98	07/02/20	46.00	...	-0.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	22.98	07/02/20	23.00	...	-0.02	2 of 3 - Option sale to close-put <sup>25</sup>
	3.000	68.98	07/02/20	69.00	...	-0.02	3 of 3 - Option sale to close-put <sup>25</sup>
07/06/20	6.000	137.94	Various	138.00	...	-0.06	Total of 3 transactions
BAC 07/17/2020 PUT \$23.00 / CUSIP: / Symbol:							
07/10/20	12.000	599.96	07/10/20	864.00	...	-264.04	Option sale to close-put <sup>25</sup>
BAC 08/14/2020 CALL \$27.00 / CUSIP: / Symbol:							
8 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	55.98	08/10/20	24.00	...	31.98	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	55.98	08/10/20	24.00	...	31.98	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	55.98	08/10/20	24.00	...	31.98	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	55.98	08/10/20	24.00	...	31.98	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	56.00	08/10/20	24.00	...	32.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	56.00	08/10/20	22.00	...	34.00	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	111.99	08/10/20	48.00	...	63.99	7 of 8 - Option sale to close-call <sup>25</sup>
	65.000	3,639.78	08/10/20	1,560.00	...	2,079.78	8 of 8 - Option sale to close-call <sup>25</sup>
08/11/20	73.000	4,087.69	Various	1,750.00	...	2,337.69	Total of 8 transactions
BAC 08/14/2020 PUT \$25.50 / CUSIP: / Symbol:							
7 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	101.000	1,009.77	08/07/20	3,535.00	...	-2,525.23	1 of 7 - Option sale to close-put <sup>25</sup>
	10.000	99.98	08/07/20	540.03	...	-440.05	2 of 7 - Option sale to close-put <sup>25</sup>
	10.000	99.98	08/07/20	540.02	...	-440.04	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	10.00	08/07/20	60.00	...	-50.00	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	10.00	08/07/20	54.00	...	-44.00	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	10.00	08/07/20	54.00	...	-44.00	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	9.99	08/07/20	52.00	...	-42.01	7 of 7 - Option sale to close-put <sup>25</sup>
08/10/20	125.000	1,249.72	Various	4,835.05	...	-3,585.33	Total of 7 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 08/14/2020 PUT \$25.50 / CUSIP: / Symbol: (cont'd)							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	47.000	469.88	08/07/20	1,645.00	575.05 W	-600.07	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	10.00	08/07/20	35.00	25.00 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
08/10/20	48.000	479.88	Various	1,680.00	600.05 W	-600.07	Total of 2 transactions
	<b>Security total:</b>	<b>1,729.60</b>		<b>6,515.05</b>	<b>600.05 W</b>	<b>-4,185.40</b>	
CHKP 02/21/2020 CALL \$120.00 / CUSIP: / Symbol:							
3 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	15.000	449.96	01/31/20	1,575.00	...	-1,125.04	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	119.99	02/03/20	136.00	...	-16.01	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	89.99	02/03/20	102.00	...	-12.01	3 of 3 - Option sale to close-call <sup>25</sup>
02/04/20	22.000	659.94	Various	1,813.00	...	-1,153.06	Total of 3 transactions
CLVS 01/10/2020 CALL \$10.00 / CUSIP: / Symbol:							
01/08/20	95.000	5,224.70	01/08/20	1,900.00	...	3,324.70	Option sale to close-call <sup>25</sup>
CLVS 01/10/2020 CALL \$10.50 / CUSIP: / Symbol:							
2 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	45.000	2,924.84	01/08/20	2,475.00	...	449.84	1 of 2 - Option sale to close-call <sup>25</sup>
	50.000	3,249.83	01/08/20	2,750.00	...	499.83	2 of 2 - Option sale to close-call <sup>25</sup>
01/08/20	95.000	6,174.67	Various	5,225.00	...	949.67	Total of 2 transactions
CLVS 01/10/2020 CALL \$11.50 / CUSIP: / Symbol:							
4 transactions for 01/06/20. Total proceeds and cost reported to the IRS.							
	4.000	19.99	01/02/20	180.02	...	-160.03	1 of 4 - Option sale to close-call <sup>25</sup>
	4.000	19.99	01/02/20	176.02	...	-156.03	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	9.99	01/02/20	90.01	...	-80.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	01/02/20	45.02	...	-40.02	4 of 4 - Option sale to close-call <sup>25</sup>
01/06/20	11.000	54.97	Various	491.07	...	-436.10	Total of 4 transactions
6 transactions for 01/06/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	12/31/19	30.00	25.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	4.000	23.98	12/31/19	120.00	96.02 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	4.000	19.98	12/31/19	120.00	100.02 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	4.000	19.98	12/31/19	120.00	100.02 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>

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Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLVS 01/10/2020 CALL \$11.50 / CUSIP: / Symbol: (cont'd)							
	8.000	39.97	12/31/19	240.00	200.03 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	9.000	44.98	12/31/19	270.00	225.02 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
01/06/20	30.000	153.87	Various	900.00	746.13 W	0.00	Total of 6 transactions
01/07/20	2.000	9.98	01/02/20	90.01	...	-80.03	Option sale to close-call <sup>25</sup>
2 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	9.000	89.98	01/02/20	405.02	...	-315.04	1 of 2 - Option sale to close-call <sup>25</sup>
	8.000	79.98	01/02/20	360.03	...	-280.05	2 of 2 - Option sale to close-call <sup>25</sup>
01/08/20	17.000	169.96	Various	765.05	...	-595.09	Total of 2 transactions
<b>Security total:</b>		<b>388.78</b>		<b>2,246.13</b>	<b>746.13 W</b>	<b>-1,111.22</b>	
CLVS 01/10/2020 CALL \$12.00 / CUSIP: / Symbol:							
01/09/20	10.000	59.97	01/08/20	200.00	...	-140.03	Option sale to close-call <sup>25</sup>
CLVS 02/28/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	02/21/20	250.00	...	-250.00	1 of 2 - Option expiration- long call
	10.000	0.00	02/24/20	150.00	...	-150.00	2 of 2 - Option expiration- long call
02/28/20	20.000	0.00	Various	400.00	...	-400.00	Total of 2 transactions
CLVS 05/01/2020 CALL \$9.00 / CUSIP: / Symbol:							
04/27/20	14.000	349.96	04/24/20	840.00	...	-490.04	Option sale to close-call <sup>25</sup>
CLVS 05/01/2020 PUT \$7.50 / CUSIP: / Symbol:							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	19.99	04/27/20	30.00	10.01 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	39.99	04/27/20	60.00	20.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
04/27/20	3.000	59.98	Various	90.00	30.02 W	0.00	Total of 2 transactions
5 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	12.00	04/27/20	63.01	...	-51.01	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	11.99	04/27/20	53.00	...	-41.01	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	11.99	04/27/20	45.00	...	-33.01	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	12.00	04/27/20	40.01	...	-28.01	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	12.00	04/27/20	37.01	...	-25.01	5 of 5 - Option sale to close-put <sup>25</sup>
04/28/20	5.000	59.98	Various	238.03	...	-178.05	Total of 5 transactions

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLVS 05/01/2020 PUT \$7.50 / CUSIP: / Symbol: (cont'd)							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	5.000	59.98	04/27/20	150.00	36.01 W	-54.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	12.00	04/27/20	40.01	28.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
04/28/20	6.000	71.98	Various	190.01	64.02 W	-54.01	Total of 2 transactions
	<b>Security total:</b>	<b>191.94</b>		<b>518.04</b>	<b>94.04 W</b>	<b>-232.06</b>	
CSCO 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	6.000	17.98	11/13/20	30.00	...	-12.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	5.99	11/13/20	14.01	...	-8.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3.00	11/13/20	8.01	...	-5.01	3 of 3 - Option sale to close-call <sup>25</sup>
11/20/20	9.000	26.97	Various	52.02	...	-25.05	Total of 3 transactions
11/20/20	4.000	11.98	11/13/20	20.00	6.02 W	-2.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>38.95</b>		<b>72.02</b>	<b>6.02 W</b>	<b>-27.05</b>	
CYBR 01/10/2020 PUT \$130.00 / CUSIP: / Symbol:							
3 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	16.000	799.95	01/08/20	1,920.00	...	-1,120.05	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	50.00	01/08/20	45.00	...	5.00	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	99.99	01/08/20	90.00	...	9.99	3 of 3 - Option sale to close-put <sup>25</sup>
01/08/20	19.000	949.94	Various	2,055.00	...	-1,105.06	Total of 3 transactions
CYBR 02/21/2020 CALL \$121.00 / CUSIP: / Symbol:							
2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	5.000	574.98	02/19/20	450.00	...	124.98	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	1,149.95	02/19/20	900.00	...	249.95	2 of 2 - Option sale to close-call <sup>25</sup>
02/20/20	15.000	1,724.93	Various	1,350.00	...	374.93	Total of 2 transactions
CYBR 02/21/2020 PUT \$122.00 / CUSIP: / Symbol:							
02/20/20	15.000	3,749.88	02/20/20	1,125.00	...	2,624.88	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYBR 02/28/2020 CALL \$114.00 / CUSIP: / Symbol:							
2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	12.000	959.94	02/25/20	1,500.00	...	-540.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	80.00	02/25/20	115.00	...	-35.00	2 of 2 - Option sale to close-call <sup>25</sup>
02/25/20	13.000	1,039.94	Various	1,615.00	...	-575.06	Total of 2 transactions
CYBR 02/28/2020 PUT \$112.00 / CUSIP: / Symbol:							
3 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	1.000	154.98	02/25/20	150.00	...	4.98	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	154.98	02/25/20	150.00	...	4.98	2 of 3 - Option sale to close-put <sup>25</sup>
	8.000	1,239.95	02/25/20	1,200.00	...	39.95	3 of 3 - Option sale to close-put <sup>25</sup>
02/25/20	10.000	1,549.91	Various	1,500.00	...	49.91	Total of 3 transactions
CYBR 03/06/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	18.000	719.94	02/25/20	990.00	...	-270.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	39.98	02/25/20	55.00	...	-15.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/26/20	19.000	759.92	Various	1,045.00	...	-285.08	Total of 2 transactions
CYBR 04/03/2020 CALL \$90.00 / CUSIP: / Symbol:							
6 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	03/30/20	325.02	...	-310.03	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	15.00	03/30/20	325.02	...	-310.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	15.00	03/30/20	325.02	...	-310.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	15.00	03/30/20	325.02	...	-310.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	15.00	03/30/20	325.02	...	-310.02	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	29.99	03/31/20	200.00	...	-170.01	6 of 6 - Option sale to close-call <sup>25</sup>
04/02/20	7.000	104.98	Various	1,825.10	...	-1,720.12	Total of 6 transactions
5 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	1.000	14.98	03/30/20	130.00	115.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	14.98	03/30/20	130.00	115.02 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	14.98	03/30/20	130.00	115.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	14.98	03/30/20	130.00	115.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	14.98	03/30/20	130.00	115.02 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
04/02/20	5.000	74.90	Various	650.00	575.10 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYBR 04/03/2020 CALL \$90.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>179.88</b>		<b>2,475.10</b>		<b>575.10 W</b>	<b>-1,720.12</b>
DIS 05/15/2020 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	3.000	239.98	05/11/20	297.00	...	-57.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	159.98	05/11/20	198.00	...	-38.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/12/20	5.000	399.96	Various	495.00	...	-95.04	Total of 2 transactions
DIS 11/13/2020 PUT \$125.00 / CUSIP: / Symbol:							
11/12/20	1.000	23.98	11/11/20	35.00	...	-11.02	Option sale to close-put <sup>25</sup>
DIS 11/20/2020 PUT \$125.00 / CUSIP: / Symbol:							
11/12/20	2.000	93.98	11/11/20	84.00	...	9.98	Option sale to close-put <sup>25</sup>
EXPE 03/13/2020 PUT \$70.00 / CUSIP: / Symbol:							
03/12/20	5.000	2,799.92	03/11/20	1,000.00	...	1,799.92	Option sale to close-put <sup>25</sup>
EXPE 03/13/2020 PUT \$77.00 / CUSIP: / Symbol:							
03/11/20	4.000	1,599.95	03/11/20	960.00	...	639.95	Option sale to close-put <sup>25</sup>
FB 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	656.98	08/26/20	455.00	...	201.98	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	2,627.93	08/26/20	1,800.00	...	827.93	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	3,284.92	08/26/20	2,250.00	...	1,034.92	3 of 3 - Option sale to close-call <sup>25</sup>
08/26/20	10.000	6,569.83	Various	4,505.00	...	2,064.83	Total of 3 transactions
4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	359.98	08/27/20	340.00	...	19.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	359.99	08/27/20	340.00	...	19.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	359.99	08/27/20	325.00	...	34.99	3 of 4 - Option sale to close-call <sup>25</sup>
	17.000	6,119.82	08/27/20	5,780.00	...	339.82	4 of 4 - Option sale to close-call <sup>25</sup>
08/27/20	20.000	7,199.78	Various	6,785.00	...	414.78	Total of 4 transactions
<b>Security total:</b>		<b>13,769.61</b>		<b>11,290.00</b>		<b>2,479.61</b>	

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 01/10/2020 CALL \$11.00 / CUSIP: / Symbol:							
2 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	1.000	36.00	12/31/19	18.00	...	18.00	1 of 2 - Option sale to close-call <sup>25</sup>
	16.000	575.95	12/31/19	288.00	...	287.95	2 of 2 - Option sale to close-call <sup>25</sup>
01/02/20	17.000	611.95	Various	306.00	...	305.95	Total of 2 transactions
GE 01/10/2020 CALL \$12.00 / CUSIP: / Symbol:							
3 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	18.000	71.95	01/08/20	180.00	...	-108.05	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3.98	01/08/20	10.00	...	-6.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3.98	01/08/20	10.00	...	-6.02	3 of 3 - Option sale to close-call <sup>25</sup>
01/09/20	20.000	79.91	Various	200.00	...	-120.09	Total of 3 transactions
GE 01/15/2021 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	35.99	07/31/20	34.00	...	1.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	71.99	08/03/20	70.00	...	1.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	3.000	107.98	Various	104.00	...	3.98	Total of 2 transactions
GE 02/14/2020 PUT \$13.00 / CUSIP: / Symbol:							
02/11/20	1.000	20.00	02/11/20	20.00	...	0.00	Option sale to close-put <sup>25</sup>
6 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	7.000	139.98	02/11/20	140.00	0.02 W	0.00	1 of 6 - Option sale to close-put <sup>25</sup>
	37.000	739.91	02/11/20	740.00	0.09 W	0.00	2 of 6 - Option sale to close-put <sup>25</sup>
	6.000	119.98	02/11/20	120.02	0.04 W	0.00	3 of 6 - Option sale to close-put <sup>25</sup>
	37.000	739.91	02/11/20	740.09	0.18 W	0.00	4 of 6 - Option sale to close-put <sup>25</sup>
	22.000	439.94	02/11/20	440.00	0.06 W	0.00	5 of 6 - Option sale to close-put <sup>25</sup>
	4.000	79.99	02/11/20	80.03	0.04 W	0.00	6 of 6 - Option sale to close-put <sup>25</sup>
02/11/20	113.000	2,259.71	Various	2,260.14	0.43 W	0.00	Total of 6 transactions
11 transactions for 02/13/20. Total proceeds and cost reported to the IRS.							
	26.000	181.94	02/12/20	442.13	...	-260.19	1 of 11 - Option sale to close-put <sup>25</sup>
	11.000	76.97	02/12/20	187.03	...	-110.06	2 of 11 - Option sale to close-put <sup>25</sup>
	6.000	41.99	02/12/20	102.03	...	-60.04	3 of 11 - Option sale to close-put <sup>25</sup>
	5.000	34.99	02/12/20	85.02	...	-50.03	4 of 11 - Option sale to close-put <sup>25</sup>
	5.000	34.99	02/12/20	85.01	...	-50.02	5 of 11 - Option sale to close-put <sup>25</sup>

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 02/14/2020 PUT \$13.00 / CUSIP: / Symbol: (cont'd)							
	4.000	27.99	02/12/20	68.04	...	-40.05	6 of 11 - Option sale to close-put <sup>25</sup>
	4.000	27.99	02/12/20	68.01	...	-40.02	7 of 11 - Option sale to close-put <sup>25</sup>
	2.000	14.00	02/12/20	34.01	...	-20.01	8 of 11 - Option sale to close-put <sup>25</sup>
	2.000	14.00	02/12/20	34.01	...	-20.01	9 of 11 - Option sale to close-put <sup>25</sup>
	2.000	13.99	02/12/20	34.00	...	-20.01	10 of 11 - Option sale to close-put <sup>25</sup>
	1.000	7.00	02/12/20	9.00	...	-2.00	11 of 11 - Option sale to close-put <sup>25</sup>
02/13/20	68.000	475.85	Various	1,148.29	...	-672.44	Total of 11 transactions
	<b>Security total:</b>	<b>2,755.56</b>		<b>3,428.43</b>	<b>0.43 W</b>	<b>-672.44</b>	
GE 02/28/2020 PUT \$10.50 / CUSIP: / Symbol:							
5 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	100.000	1,199.77	02/27/20	1,800.00	...	-600.23	1 of 5 - Option sale to close-put <sup>25</sup>
	13.000	168.96	02/27/20	260.04	...	-91.08	2 of 5 - Option sale to close-put <sup>25</sup>
	5.000	64.98	02/27/20	100.01	...	-35.03	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	12.98	02/27/20	20.00	...	-7.02	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	12.98	02/27/20	20.00	...	-7.02	5 of 5 - Option sale to close-put <sup>25</sup>
02/27/20	120.000	1,459.67	Various	2,200.05	...	-740.38	Total of 5 transactions
02/27/20	100.000	1,199.77	02/27/20	1,800.00	120.05 W	-480.18	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,659.44</b>		<b>4,000.05</b>	<b>120.05 W</b>	<b>-1,220.56</b>	
GE 02/28/2020 PUT \$11.00 / CUSIP: / Symbol:							
02/27/20	71.000	3,904.77	02/26/20	781.00	...	3,123.77	Option sale to close-put <sup>25</sup>
GE 02/28/2020 PUT \$12.50 / CUSIP: / Symbol:							
3 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	4.000	159.99	01/30/20	140.00	...	19.99	1 of 3 - Option sale to close-put <sup>25</sup>
	13.000	519.96	01/30/20	468.00	...	51.96	2 of 3 - Option sale to close-put <sup>25</sup>
	27.000	1,079.92	01/30/20	972.00	...	107.92	3 of 3 - Option sale to close-put <sup>25</sup>
01/31/20	44.000	1,759.87	Various	1,580.00	...	179.87	Total of 3 transactions
GE 03/06/2020 CALL \$11.50 / CUSIP: / Symbol:							
03/04/20	100.000	699.78	03/03/20	500.00	...	199.78	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/06/2020 PUT \$10.50 / CUSIP: / Symbol:							
5 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	7.000	146.98	03/03/20	126.00	...	20.98	1 of 5 - Option sale to close-put <sup>25</sup>
	8.000	167.98	03/03/20	144.00	...	23.98	2 of 5 - Option sale to close-put <sup>25</sup>
	12.000	251.97	03/03/20	216.00	...	35.97	3 of 5 - Option sale to close-put <sup>25</sup>
	14.000	293.97	03/03/20	252.00	...	41.97	4 of 5 - Option sale to close-put <sup>25</sup>
	55.000	1,154.86	03/03/20	990.00	...	164.86	5 of 5 - Option sale to close-put <sup>25</sup>
03/03/20	96.000	2,015.76	Various	1,728.00	...	287.76	Total of 5 transactions
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	50.000	499.88	03/04/20	450.00	...	49.88	1 of 2 - Option sale to close-put <sup>25</sup>
	63.000	629.85	03/04/20	567.00	...	62.85	2 of 2 - Option sale to close-put <sup>25</sup>
03/04/20	113.000	1,129.73	Various	1,017.00	...	112.73	Total of 2 transactions
3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	1.000	17.00	03/05/20	12.00	...	5.00	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	17.00	03/05/20	12.00	...	5.00	2 of 3 - Option sale to close-put <sup>25</sup>
	85.000	1,444.79	03/05/20	1,105.00	...	339.79	3 of 3 - Option sale to close-put <sup>25</sup>
03/05/20	87.000	1,478.79	Various	1,129.00	...	349.79	Total of 3 transactions
	<b>Security total:</b>	<b>4,624.28</b>		<b>3,874.00</b>	...	<b>750.28</b>	
GE 03/06/2020 PUT \$9.50 / CUSIP: / Symbol:							
9 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	1.000	27.00	03/05/20	8.00	...	19.00	1 of 9 - Option sale to close-put <sup>25</sup>
	1.000	27.00	03/05/20	8.00	...	19.00	2 of 9 - Option sale to close-put <sup>25</sup>
	4.000	107.99	03/05/20	32.00	...	75.99	3 of 9 - Option sale to close-put <sup>25</sup>
	11.000	296.97	03/05/20	88.00	...	208.97	4 of 9 - Option sale to close-put <sup>25</sup>
	14.000	377.96	03/05/20	112.00	...	265.96	5 of 9 - Option sale to close-put <sup>25</sup>
	14.000	377.96	03/05/20	112.00	...	265.96	6 of 9 - Option sale to close-put <sup>25</sup>
	15.000	404.96	03/05/20	120.00	...	284.96	7 of 9 - Option sale to close-put <sup>25</sup>
	40.000	1,079.90	03/05/20	320.00	...	759.90	8 of 9 - Option sale to close-put <sup>25</sup>
	97.000	2,618.75	03/05/20	679.00	...	1,939.75	9 of 9 - Option sale to close-put <sup>25</sup>
03/06/20	197.000	5,318.49	Various	1,479.00	...	3,839.49	Total of 9 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/13/2020 CALL \$7.00 / CUSIP: / Symbol:							
4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	10.000	419.97	03/12/20	390.00	...	29.97	1 of 4 - Option sale to close-call <sup>25</sup>
	23.000	965.93	03/12/20	897.00	...	68.93	2 of 4 - Option sale to close-call <sup>25</sup>
	27.000	1,133.92	03/12/20	1,053.00	...	80.92	3 of 4 - Option sale to close-call <sup>25</sup>
	40.000	1,679.88	03/12/20	1,560.00	...	119.88	4 of 4 - Option sale to close-call <sup>25</sup>
03/12/20	100.000	4,199.70	Various	3,900.00	...	299.70	Total of 4 transactions
GE 03/13/2020 CALL \$8.00 / CUSIP: / Symbol:							
10 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	1.000	16.00	03/12/20	15.00	...	1.00	1 of 10 - Option sale to close-call <sup>25</sup>
	2.000	32.00	03/12/20	30.00	...	2.00	2 of 10 - Option sale to close-call <sup>25</sup>
	2.000	32.00	03/12/20	30.00	...	2.00	3 of 10 - Option sale to close-call <sup>25</sup>
	4.000	63.99	03/12/20	60.00	...	3.99	4 of 10 - Option sale to close-call <sup>25</sup>
	7.000	111.98	03/12/20	105.00	...	6.98	5 of 10 - Option sale to close-call <sup>25</sup>
	7.000	111.98	03/12/20	105.00	...	6.98	6 of 10 - Option sale to close-call <sup>25</sup>
	27.000	431.93	03/12/20	405.00	...	26.93	7 of 10 - Option sale to close-call <sup>25</sup>
	50.000	799.88	03/12/20	750.00	...	49.88	8 of 10 - Option sale to close-call <sup>25</sup>
	20.000	319.95	03/12/20	220.00	...	99.95	9 of 10 - Option sale to close-call <sup>25</sup>
	25.000	399.94	03/12/20	275.00	...	124.94	10 of 10 - Option sale to close-call <sup>25</sup>
03/13/20	145.000	2,319.65	Various	1,995.00	...	324.65	Total of 10 transactions
GE 03/13/2020 CALL \$9.00 / CUSIP: / Symbol:							
03/11/20	100.000	999.77	03/10/20	900.00	...	99.77	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/13/2020 PUT \$7.50 / CUSIP: / Symbol:							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	25.000	999.92	03/11/20	325.00	...	674.92	1 of 2 - Option sale to close-put <sup>25</sup>
	25.000	999.93	03/11/20	325.00	...	674.93	2 of 2 - Option sale to close-put <sup>25</sup>
03/12/20	50.000	1,999.85	Various	650.00	...	1,349.85	Total of 2 transactions
GE 03/13/2020 PUT \$8.00 / CUSIP: / Symbol:							
03/09/20	30.000	809.92	03/06/20	330.00	...	479.92	Option sale to close-put <sup>25</sup>
GE 03/20/2020 CALL \$8.00 / CUSIP: / Symbol:							
25 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	10.000	79.97	03/16/20	160.00	80.03 W	0.00	1 of 25 - Option sale to close-call <sup>25</sup>
	6.000	47.99	03/16/20	96.00	48.01 W	0.00	2 of 25 - Option sale to close-call <sup>25</sup>
	10.000	49.98	03/16/20	240.03	190.05 W	0.00	3 of 25 - Option sale to close-call <sup>25</sup>
	21.000	104.95	03/16/20	336.00	231.05 W	0.00	4 of 25 - Option sale to close-call <sup>25</sup>
	1.000	4.98	03/16/20	16.00	11.02 W	0.00	5 of 25 - Option sale to close-call <sup>25</sup>
	32.000	159.93	03/16/20	512.00	352.07 W	0.00	6 of 25 - Option sale to close-call <sup>25</sup>
	10.000	49.98	03/16/20	340.05	290.07 W	0.00	7 of 25 - Option sale to close-call <sup>25</sup>
	1.000	5.00	03/16/20	26.02	21.02 W	0.00	8 of 25 - Option sale to close-call <sup>25</sup>
	6.000	29.99	03/16/20	138.01	108.02 W	0.00	9 of 25 - Option sale to close-call <sup>25</sup>
	21.000	104.95	03/16/20	546.05	441.10 W	0.00	10 of 25 - Option sale to close-call <sup>25</sup>
	29.000	144.93	03/16/20	435.00	290.07 W	0.00	11 of 25 - Option sale to close-call <sup>25</sup>
	2.000	13.99	03/16/20	52.00	38.01 W	0.00	12 of 25 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/20/2020 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	6.99	03/16/20	22.00	15.01 W	0.00	13 of 25 - Option sale to close-call <sub>25</sub>
	8.000	55.97	03/16/20	312.06	256.09 W	0.00	14 of 25 - Option sale to close-call <sub>25</sub>
	2.000	14.00	03/16/20	78.01	64.01 W	0.00	15 of 25 - Option sale to close-call <sub>25</sub>
	1.000	7.00	03/16/20	28.00	21.00 W	0.00	16 of 25 - Option sale to close-call <sub>25</sub>
	29.000	202.93	03/16/20	609.07	406.14 W	0.00	17 of 25 - Option sale to close-call <sub>25</sub>
	1.000	7.00	03/16/20	31.02	24.02 W	0.00	18 of 25 - Option sale to close-call <sub>25</sub>
	5.000	34.99	03/16/20	140.02	105.03 W	0.00	19 of 25 - Option sale to close-call <sub>25</sub>
	21.000	146.95	03/16/20	651.10	504.15 W	0.00	20 of 25 - Option sale to close-call <sub>25</sub>
	3.000	20.99	03/16/20	60.01	39.02 W	0.00	21 of 25 - Option sale to close-call <sub>25</sub>
	1.000	7.00	03/16/20	42.01	35.01 W	0.00	22 of 25 - Option sale to close-call <sub>25</sub>
	1.000	7.00	03/16/20	25.01	18.01 W	0.00	23 of 25 - Option sale to close-call <sub>25</sub>
	2.000	14.00	03/16/20	58.01	44.01 W	0.00	24 of 25 - Option sale to close-call <sub>25</sub>
	26.000	181.94	03/16/20	520.06	338.12 W	0.00	25 of 25 - Option sale to close-call <sub>25</sub>
03/17/20	250.000	1,503.40	Various	5,473.54	3,970.14 W	0.00	Total of 25 transactions
36 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	11.000	21.97	03/18/20	396.14	...	-374.17	1 of 36 - Option sale to close-call <sub>25</sub>
	14.000	27.97	03/18/20	322.09	...	-294.12	2 of 36 - Option sale to close-call <sub>25</sub>
	8.000	15.98	03/18/20	288.10	...	-272.12	3 of 36 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/20/2020 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	7.000	13.98	03/18/20	182.07	...	-168.09	4 of 36 - Option sale to close-call <sub>25</sub>
	7.000	13.98	03/18/20	182.07	...	-168.09	5 of 36 - Option sale to close-call <sub>25</sub>
	5.000	9.99	03/18/20	165.05	...	-155.06	6 of 36 - Option sale to close-call <sub>25</sub>
	5.000	9.98	03/18/20	130.05	...	-120.07	7 of 36 - Option sale to close-call <sub>25</sub>
	12.000	23.97	03/18/20	120.03	...	-96.06	8 of 36 - Option sale to close-call <sub>25</sub>
	2.000	3.99	03/18/20	100.05	...	-96.06	9 of 36 - Option sale to close-call <sub>25</sub>
	2.000	3.99	03/18/20	100.03	...	-96.04	10 of 36 - Option sale to close-call <sub>25</sub>
	2.000	4.00	03/18/20	100.03	...	-96.03	11 of 36 - Option sale to close-call <sub>25</sub>
	4.000	7.99	03/18/20	100.04	...	-92.05	12 of 36 - Option sale to close-call <sub>25</sub>
	9.000	17.97	03/18/20	90.02	...	-72.05	13 of 36 - Option sale to close-call <sub>25</sub>
	3.000	5.99	03/18/20	78.03	...	-72.04	14 of 36 - Option sale to close-call <sub>25</sub>
	3.000	5.99	03/18/20	75.03	...	-69.04	15 of 36 - Option sale to close-call <sub>25</sub>
	2.000	3.99	03/18/20	68.03	...	-64.04	16 of 36 - Option sale to close-call <sub>25</sub>
	3.000	5.99	03/18/20	69.04	...	-63.05	17 of 36 - Option sale to close-call <sub>25</sub>
	2.000	3.99	03/18/20	52.03	...	-48.04	18 of 36 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	50.02	...	-48.02	19 of 36 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	50.01	...	-48.01	20 of 36 - Option sale to close-call <sub>25</sub>

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Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/20/2020 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	2.00	03/18/20	50.01	...	-48.01	21 of 36 - Option sale to close-call 25
	2.000	4.00	03/18/20	50.02	...	-46.02	22 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	48.01	...	-46.01	23 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	39.00	...	-37.00	24 of 36 - Option sale to close-call 25
	1.000	1.98	03/18/20	36.01	...	-34.03	25 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	36.02	...	-34.02	26 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	36.01	...	-34.01	27 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	32.01	...	-30.01	28 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	32.00	...	-30.00	29 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	30.01	...	-28.01	30 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	26.03	...	-24.03	31 of 36 - Option sale to close-call 25
	1.000	1.98	03/18/20	26.01	...	-24.03	32 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	26.01	...	-24.01	33 of 36 - Option sale to close-call 25
	1.000	1.99	03/18/20	25.01	...	-23.02	34 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	25.01	...	-23.01	35 of 36 - Option sale to close-call 25
	1.000	2.00	03/18/20	25.01	...	-23.01	36 of 36 - Option sale to close-call 25
03/18/20	120.000	239.66	Various	3,260.14	...	-3,020.48	Total of 36 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/20/2020 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
<i>57 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	5.99	03/17/20	120.03	114.04 W	0.00	1 of 57 - Option sale to close-call 25
	2.000	3.99	03/17/20	80.03	76.04 W	0.00	2 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	40.01	38.01 W	0.00	3 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	40.01	38.01 W	0.00	4 of 57 - Option sale to close-call 25
	2.000	3.99	03/17/20	80.01	76.02 W	0.00	5 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	29.00	27.00 W	0.00	6 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	22.00	20.00 W	0.00	7 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	22.01	20.01 W	0.00	8 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	43.01	41.01 W	0.00	9 of 57 - Option sale to close-call 25
	2.000	3.99	03/17/20	92.04	88.05 W	0.00	10 of 57 - Option sale to close-call 25
	3.000	5.99	03/17/20	138.04	132.05 W	0.00	11 of 57 - Option sale to close-call 25
	5.000	9.99	03/17/20	145.03	135.04 W	0.00	12 of 57 - Option sale to close-call 25
	8.000	15.98	03/17/20	256.06	240.08 W	0.00	13 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	46.01	44.01 W	0.00	14 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	46.01	44.01 W	0.00	15 of 57 - Option sale to close-call 25
	2.000	4.00	03/17/20	92.02	88.02 W	0.00	16 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	35.00	33.00 W	0.00	17 of 57 - Option sale to close-call 25

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/20/2020 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	2.00	03/17/20	32.02	30.02 W	0.00	18 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	26.01	24.01 W	0.00	19 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	28.00	26.00 W	0.00	20 of 57 - Option sale to close-call 25
	1.000	2.00	03/17/20	28.01	26.01 W	0.00	21 of 57 - Option sale to close-call 25
	2.000	3.99	03/17/20	60.01	56.02 W	0.00	22 of 57 - Option sale to close-call 25
	13.000	25.97	03/17/20	416.09	390.12 W	0.00	23 of 57 - Option sale to close-call 25
	16.000	31.95	03/17/20	352.08	320.13 W	0.00	24 of 57 - Option sale to close-call 25
	3.000	5.99	03/17/20	63.02	57.03 W	0.00	25 of 57 - Option sale to close-call 25
	4.000	7.99	03/17/20	84.02	76.03 W	0.00	26 of 57 - Option sale to close-call 25
	11.000	21.97	03/17/20	242.05	220.08 W	0.00	27 of 57 - Option sale to close-call 25
	8.000	15.97	03/17/20	168.04	152.07 W	0.00	28 of 57 - Option sale to close-call 25
	14.000	27.97	03/17/20	294.06	266.09 W	0.00	29 of 57 - Option sale to close-call 25
	12.000	23.97	03/17/20	96.00	72.03 W	0.00	30 of 57 - Option sale to close-call 25
	9.000	17.98	03/17/20	72.00	54.02 W	0.00	31 of 57 - Option sale to close-call 25
	1.000	1.98	03/18/20	24.01	22.03 W	0.00	32 of 57 - Option sale to close-call 25
	2.000	4.00	03/18/20	46.02	42.02 W	0.00	33 of 57 - Option sale to close-call 25
	11.000	21.97	03/18/20	264.08	242.11 W	0.00	34 of 57 - Option sale to close-call 25

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/20/2020 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	13.000	25.97	03/18/20	312.10	286.13 W	0.00	35 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	23.01	21.01 W	0.00	36 of 57 - Option sale to close-call <sub>25</sub>
	4.000	7.99	03/18/20	92.03	84.04 W	0.00	37 of 57 - Option sale to close-call <sub>25</sub>
	5.000	9.99	03/18/20	115.04	105.05 W	0.00	38 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	46.01	44.01 W	0.00	39 of 57 - Option sale to close-call <sub>25</sub>
	3.000	5.99	03/18/20	69.03	63.04 W	0.00	40 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	44.01	42.01 W	0.00	41 of 57 - Option sale to close-call <sub>25</sub>
	2.000	4.00	03/18/20	96.03	92.03 W	0.00	42 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	48.02	46.02 W	0.00	43 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	48.01	46.01 W	0.00	44 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	48.01	46.01 W	0.00	45 of 57 - Option sale to close-call <sub>25</sub>
	2.000	4.00	03/18/20	96.05	92.05 W	0.00	46 of 57 - Option sale to close-call <sub>25</sub>
	2.000	3.99	03/18/20	96.02	92.03 W	0.00	47 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	37.00	35.00 W	0.00	48 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	34.02	32.02 W	0.00	49 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	28.01	26.01 W	0.00	50 of 57 - Option sale to close-call <sub>25</sub>
	1.000	2.00	03/18/20	30.00	28.00 W	0.00	51 of 57 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/20/2020 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	2.00	03/18/20	30.01	28.01 W	0.00	52 of 57 - Option sale to close-call 25
	2.000	3.99	03/18/20	64.02	60.03 W	0.00	53 of 57 - Option sale to close-call 25
	5.000	9.99	03/18/20	155.04	145.05 W	0.00	54 of 57 - Option sale to close-call 25
	8.000	15.98	03/18/20	272.08	256.10 W	0.00	55 of 57 - Option sale to close-call 25
	13.000	25.96	03/18/20	442.12	416.16 W	0.00	56 of 57 - Option sale to close-call 25
	2.000	3.99	03/18/20	48.02	44.03 W	0.00	57 of 57 - Option sale to close-call 25
03/18/20	218.000	435.46	Various	5,895.53	5,460.07 W	0.00	Total of 57 transactions
	<b>Security total:</b>	<b>2,178.52</b>		<b>14,629.21</b>	<b>9,430.21 W</b>	<b>-3,020.48</b>	
GE 03/27/2020 CALL \$6.50 / CUSIP: / Symbol:							
11 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	44.00	03/23/20	30.00	...	14.00	1 of 11 - Option sale to close-call 25
	1.000	44.00	03/23/20	30.00	...	14.00	2 of 11 - Option sale to close-call 25
	1.000	44.00	03/23/20	30.00	...	14.00	3 of 11 - Option sale to close-call 25
	1.000	44.00	03/23/20	30.00	...	14.00	4 of 11 - Option sale to close-call 25
	1.000	44.00	03/23/20	30.00	...	14.00	5 of 11 - Option sale to close-call 25
	1.000	44.00	03/23/20	30.00	...	14.00	6 of 11 - Option sale to close-call 25
	2.000	87.99	03/23/20	60.00	...	27.99	7 of 11 - Option sale to close-call 25
	8.000	351.97	03/23/20	240.00	...	111.97	8 of 11 - Option sale to close-call 25
	10.000	439.97	03/23/20	300.00	...	139.97	9 of 11 - Option sale to close-call 25

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/27/2020 CALL \$6.50 / CUSIP: / Symbol: (cont'd)							
	12.000	527.96	03/23/20	360.00	...	167.96	10 of 11 - Option sale to close-call <sup>25</sup>
	12.000	527.96	03/23/20	360.00	...	167.96	11 of 11 - Option sale to close-call <sup>25</sup>
03/24/20	50.000	2,199.85	Various	1,500.00	...	699.85	Total of 11 transactions
GE 03/27/2020 CALL \$7.00 / CUSIP: / Symbol:							
4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	20.000	279.95	03/20/20	680.00	...	-400.05	1 of 4 - Option sale to close-call <sup>25</sup>
	17.000	237.96	03/20/20	578.00	...	-340.04	2 of 4 - Option sale to close-call <sup>25</sup>
	13.000	181.97	03/20/20	442.00	...	-260.03	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	41.99	03/20/20	93.00	...	-51.01	4 of 4 - Option sale to close-call <sup>25</sup>
03/23/20	53.000	741.87	Various	1,793.00	...	-1,051.13	Total of 4 transactions
GE 03/27/2020 PUT \$6.00 / CUSIP: / Symbol:							
6 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	49.000	146.89	03/24/20	490.00	...	-343.11	1 of 6 - Option sale to close-put <sup>25</sup>
	44.000	131.90	03/24/20	440.00	...	-308.10	2 of 6 - Option sale to close-put <sup>25</sup>
	2.000	6.00	03/24/20	30.01	...	-24.01	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	3.00	03/24/20	15.01	...	-12.01	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	3.00	03/24/20	15.00	...	-12.00	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	3.00	03/24/20	14.00	...	-11.00	6 of 6 - Option sale to close-put <sup>25</sup>
03/25/20	98.000	293.79	Various	1,004.02	...	-710.23	Total of 6 transactions
4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	4.00	03/24/20	9.00	5.00 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	7.99	03/24/20	18.00	10.01 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	4.00	03/24/20	9.00	5.00 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	3.99	03/24/20	9.00	5.01 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
03/25/20	5.000	19.98	Various	45.00	25.02 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>313.77</b>		<b>1,049.02</b>	<b>25.02 W</b>	<b>-710.23</b>	

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 03/27/2020 PUT \$6.50 / CUSIP: / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	55.000	989.86	03/24/20	2,145.00	...	-1,155.14	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	36.00	03/24/20	66.00	...	-30.00	2 of 2 - Option sale to close-put <sup>25</sup>
03/24/20	57.000	1,025.86	Various	2,211.00	...	-1,185.14	Total of 2 transactions
GE 03/27/2020 PUT \$7.50 / CUSIP: / Symbol:							
4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	5.000	164.99	03/25/20	150.00	...	14.99	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	65.99	03/25/20	32.00	...	33.99	2 of 4 - Option sale to close-put <sup>25</sup>
	45.000	1,484.88	03/25/20	1,350.00	...	134.88	3 of 4 - Option sale to close-put <sup>25</sup>
	50.000	1,649.86	03/25/20	1,400.00	...	249.86	4 of 4 - Option sale to close-put <sup>25</sup>
03/25/20	102.000	3,365.72	Various	2,932.00	...	433.72	Total of 4 transactions
GE 03/27/2020 PUT \$8.00 / CUSIP: / Symbol:							
6 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	03/26/20	19.00	...	10.98	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	30.00	03/26/20	19.00	...	11.00	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	30.00	03/26/20	19.00	...	11.00	3 of 6 - Option sale to close-put <sup>25</sup>
	4.000	119.98	03/26/20	76.00	...	43.98	4 of 6 - Option sale to close-put <sup>25</sup>
	5.000	149.99	03/26/20	95.00	...	54.99	5 of 6 - Option sale to close-put <sup>25</sup>
	8.000	239.97	03/26/20	152.00	...	87.97	6 of 6 - Option sale to close-put <sup>25</sup>
03/27/20	20.000	599.92	Various	380.00	...	219.92	Total of 6 transactions
GE 04/09/2020 CALL \$7.00 / CUSIP: / Symbol:							
13 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	77.00	04/03/20	25.00	...	52.00	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	77.00	04/03/20	22.00	...	55.00	2 of 13 - Option sale to close-call <sup>25</sup>
	2.000	153.99	04/03/20	50.00	...	103.99	3 of 13 - Option sale to close-call <sup>25</sup>
	2.000	153.99	04/03/20	34.00	...	119.99	4 of 13 - Option sale to close-call <sup>25</sup>

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 04/09/2020 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	4.000	307.99	04/03/20	100.00	...	207.99	5 of 13 - Option sale to close-call <sup>25</sup>
	7.000	538.97	04/03/20	154.00	...	384.97	6 of 13 - Option sale to close-call <sup>25</sup>
	12.000	923.96	04/03/20	300.00	...	623.96	7 of 13 - Option sale to close-call <sup>25</sup>
	12.000	923.95	04/03/20	264.00	...	659.95	8 of 13 - Option sale to close-call <sup>25</sup>
	13.000	1,000.95	04/03/20	325.00	...	675.95	9 of 13 - Option sale to close-call <sup>25</sup>
	13.000	1,000.95	04/03/20	325.00	...	675.95	10 of 13 - Option sale to close-call <sup>25</sup>
	15.000	1,154.94	04/03/20	375.00	...	779.94	11 of 13 - Option sale to close-call <sup>25</sup>
	40.000	3,079.85	04/03/20	1,000.00	...	2,079.85	12 of 13 - Option sale to close-call <sup>25</sup>
	39.000	3,002.86	04/03/20	663.00	...	2,339.86	13 of 13 - Option sale to close-call <sup>25</sup>
04/07/20	161.000	12,396.40	Various	3,637.00	...	8,759.40	Total of 13 transactions
GE 04/09/2020 CALL \$7.50 / CUSIP: / Symbol:							
9 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	16.000	239.96	04/02/20	384.08	...	-144.12	1 of 9 - Option sale to close-call <sup>25</sup>
	30.000	449.92	04/02/20	570.07	...	-120.15	2 of 9 - Option sale to close-call <sup>25</sup>
	12.000	179.97	04/02/20	300.03	...	-120.06	3 of 9 - Option sale to close-call <sup>25</sup>
	7.000	104.98	04/02/20	168.04	...	-63.06	4 of 9 - Option sale to close-call <sup>25</sup>
	8.000	119.98	04/02/20	176.02	...	-56.04	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	15.00	04/02/20	22.00	...	-7.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	15.00	04/02/20	19.00	...	-4.00	7 of 9 - Option sale to close-call <sup>25</sup>
	4.000	59.99	04/02/20	56.00	...	3.99	8 of 9 - Option sale to close-call <sup>25</sup>
	12.000	179.97	04/02/20	168.00	...	11.97	9 of 9 - Option sale to close-call <sup>25</sup>
04/03/20	91.000	1,364.77	Various	1,863.24	...	-498.47	Total of 9 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 04/09/2020 CALL \$7.50 / CUSIP: / Symbol: (cont'd)							
7 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	10.000	149.98	04/02/20	250.03	70.04 W	-30.01	1 of 7 - Option sale to close-call <sup>25</sup>
	16.000	239.96	04/02/20	320.00	80.04 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	15.00	04/02/20	18.00	3.00 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	60.000	899.85	04/02/20	1,200.00	300.15 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	15.00	04/02/20	20.00	5.00 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	15.00	04/02/20	23.00	8.00 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	16.000	239.96	04/02/20	400.04	160.08 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
04/03/20	105.000	1,574.75	Various	2,231.07	626.31 W	-30.01	Total of 7 transactions
	<b>Security total:</b>	<b>2,939.52</b>		<b>4,094.31</b>	<b>626.31 W</b>	<b>-528.48</b>	
GE 04/17/2020 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	9.000	170.97	04/01/20	180.00	...	-9.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	18.98	04/01/20	20.00	...	-1.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/02/20	10.000	189.95	Various	200.00	...	-10.05	Total of 2 transactions
GE 04/17/2020 PUT \$6.00 / CUSIP: / Symbol:							
04/16/20	200.000	599.58	04/15/20	1,200.00	...	-600.42	Option sale to close-put <sup>25</sup>
GE 04/17/2020 PUT \$7.50 / CUSIP: / Symbol:							
04/09/20	80.000	2,879.77	04/09/20	2,560.00	...	319.77	Option sale to close-put <sup>25</sup>
GE 04/24/2020 PUT \$6.00 / CUSIP: / Symbol:							
04/22/20	5.000	24.98	04/21/20	30.00	...	-5.02	Option sale to close-put <sup>25</sup>
GE 05/01/2020 CALL \$7.00 / CUSIP: / Symbol:							
04/30/20	7.000	13.98	04/29/20	49.00	...	-35.02	Option sale to close-call <sup>25</sup>
GE 05/15/2020 CALL \$6.50 / CUSIP: / Symbol:							
9 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	3.000	20.99	05/05/20	33.00	12.01 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	6.99	05/05/20	11.00	4.01 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	7.00	05/05/20	11.00	4.00 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	6.99	05/05/20	15.01	8.02 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 05/15/2020 CALL \$6.50 / CUSIP: / Symbol: (cont'd)							
	3.000	20.99	05/05/20	45.01	24.02 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	7.00	05/05/20	11.00	4.00 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	6.99	05/05/20	11.00	4.01 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	6.99	05/05/20	15.00	8.01 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	6.98	05/05/20	19.01	12.03 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
05/06/20	13.000	90.92	Various	171.03	80.11 W	0.00	Total of 9 transactions
10 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	22.000	87.95	05/11/20	110.00	...	-22.05	1 of 10 - Option sale to close-call <sup>25</sup>
	2.000	8.00	05/11/20	30.01	...	-22.01	2 of 10 - Option sale to close-call <sup>25</sup>
	6.000	23.98	05/11/20	42.00	...	-18.02	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	4.00	05/11/20	19.03	...	-15.03	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	4.00	05/11/20	15.02	...	-11.02	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	4.00	05/11/20	15.01	...	-11.01	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	4.00	05/11/20	11.01	...	-7.01	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	4.00	05/11/20	11.00	...	-7.00	8 of 10 - Option sale to close-call <sup>25</sup>
	4.000	15.99	05/11/20	20.00	...	-4.01	9 of 10 - Option sale to close-call <sup>25</sup>
	3.000	11.99	05/11/20	15.00	...	-3.01	10 of 10 - Option sale to close-call <sup>25</sup>
05/12/20	42.000	167.91	Various	288.08	...	-120.17	Total of 10 transactions
	<b>Security total:</b>	<b>258.83</b>		<b>459.11</b>	<b>80.11 W</b>	<b>-120.17</b>	

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 05/22/2020 CALL \$6.50 / CUSIP: / Symbol:							
12 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	10.00	05/19/20	7.00	...	3.00	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	10.00	05/19/20	7.00	...	3.00	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	10.00	05/19/20	7.00	...	3.00	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	10.00	05/19/20	7.00	...	3.00	4 of 12 - Option sale to close-call <sup>25</sup>
	2.000	20.00	05/19/20	16.00	...	4.00	5 of 12 - Option sale to close-call <sup>25</sup>
	2.000	20.00	05/19/20	14.00	...	6.00	6 of 12 - Option sale to close-call <sup>25</sup>
	4.000	39.99	05/19/20	32.01	...	7.98	7 of 12 - Option sale to close-call <sup>25</sup>
	36.000	359.92	05/19/20	288.00	...	71.92	8 of 12 - Option sale to close-call <sup>25</sup>
	38.000	379.91	05/19/20	304.09	...	75.82	9 of 12 - Option sale to close-call <sup>25</sup>
	40.000	399.91	05/19/20	320.09	...	79.82	10 of 12 - Option sale to close-call <sup>25</sup>
	30.000	299.93	05/19/20	210.07	...	89.86	11 of 12 - Option sale to close-call <sup>25</sup>
	80.000	799.80	05/19/20	640.19	...	159.61	12 of 12 - Option sale to close-call <sup>25</sup>
05/19/20	236.000	2,359.46	Various	1,852.45	...	507.01	Total of 12 transactions
05/19/20	200.000	1,999.55	05/18/20	2,000.00	0.45 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,359.01</b>		<b>3,852.45</b>	<b>0.45 W</b>	<b>507.01</b>	

GE 05/29/2020 CALL \$7.00 / CUSIP: / Symbol:

6 transactions for 05/26/20. Total proceeds and cost reported to the IRS.

	2.000	20.00	05/22/20	10.00	...	10.00	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	20.00	05/22/20	10.00	...	10.00	2 of 6 - Option sale to close-call <sup>25</sup>
	19.000	189.96	05/22/20	95.00	...	94.96	3 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 05/29/2020 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	29.000	289.93	05/22/20	145.00	...	144.93	4 of 6 - Option sale to close-call <sup>25</sup>
	40.000	399.90	05/22/20	200.00	...	199.90	5 of 6 - Option sale to close-call <sup>25</sup>
	58.000	579.87	05/22/20	290.00	...	289.87	6 of 6 - Option sale to close-call <sup>25</sup>
05/26/20	150.000	1,499.66	Various	750.00	...	749.66	Total of 6 transactions
GE 05/29/2020 PUT \$6.50 / CUSIP: / Symbol:							
05/27/20	10.000	29.97	05/26/20	70.00	...	-40.03	Option sale to close-put <sup>25</sup>
GE 05/29/2020 PUT \$7.00 / CUSIP: / Symbol:							
05/28/20	100.000	2,299.74	05/28/20	2,000.00	...	299.74	Option sale to close-put <sup>25</sup>
	2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	3.000	134.99	05/28/20	72.00	...	62.99	1 of 2 - Option sale to close-put <sup>25</sup>
	10.000	449.96	05/28/20	250.00	...	199.96	2 of 2 - Option sale to close-put <sup>25</sup>
05/29/20	13.000	584.95	Various	322.00	...	262.95	Total of 2 transactions
	<b>Security total:</b>	<b>2,884.69</b>		<b>2,322.00</b>	...	<b>562.69</b>	
GE 06/05/2020 CALL \$7.00 / CUSIP: / Symbol:							
	9 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	11.98	06/01/20	9.00	...	2.98	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	11.98	06/01/20	9.00	...	2.98	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	12.00	06/01/20	9.00	...	3.00	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	23.98	06/01/20	18.00	...	5.98	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	23.99	06/01/20	18.00	...	5.99	5 of 9 - Option sale to close-call <sup>25</sup>
	6.000	71.98	06/01/20	54.00	...	17.98	6 of 9 - Option sale to close-call <sup>25</sup>
	13.000	155.96	06/01/20	117.00	...	38.96	7 of 9 - Option sale to close-call <sup>25</sup>
	34.000	407.92	06/01/20	306.00	...	101.92	8 of 9 - Option sale to close-call <sup>25</sup>
	40.000	479.91	06/01/20	360.00	...	119.91	9 of 9 - Option sale to close-call <sup>25</sup>
06/02/20	100.000	1,199.70	Various	900.00	...	299.70	Total of 9 transactions
GE 06/12/2020 CALL \$7.00 / CUSIP: / Symbol:							
	4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	45.00	06/04/20	38.00	...	7.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	89.99	06/04/20	76.00	...	13.99	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 06/12/2020 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	2.000	89.99	06/04/20	70.00	...	19.99	3 of 4 - Option sale to close-call <sup>25</sup>
	70.000	3,149.79	06/04/20	2,660.00	...	489.79	4 of 4 - Option sale to close-call <sup>25</sup>
06/04/20	75.000	3,374.77	Various	2,844.00	...	530.77	Total of 4 transactions
GE 06/12/2020 PUT \$6.50 / CUSIP: / Symbol:							
06/12/20	100.000	0.00	06/11/20	300.00	...	-300.00	Option expiration- long put
GE 06/12/2020 PUT \$7.50 / CUSIP: / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	3.000	23.99	06/09/20	18.00	...	5.99	1 of 3 - Option sale to close-put <sup>25</sup>
	8.000	63.98	06/09/20	48.00	...	15.98	2 of 3 - Option sale to close-put <sup>25</sup>
	9.000	71.97	06/09/20	54.00	...	17.97	3 of 3 - Option sale to close-put <sup>25</sup>
06/10/20	20.000	159.94	Various	120.00	...	39.94	Total of 3 transactions
GE 06/19/2020 CALL \$7.50 / CUSIP: / Symbol:							
6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	36.00	06/15/20	15.00	...	21.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	36.00	06/15/20	15.00	...	21.00	2 of 6 - Option sale to close-call <sup>25</sup>
	5.000	179.98	06/15/20	75.00	...	104.98	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	179.99	06/15/20	75.00	...	104.99	4 of 6 - Option sale to close-call <sup>25</sup>
	8.000	287.97	06/15/20	120.00	...	167.97	5 of 6 - Option sale to close-call <sup>25</sup>
	30.000	1,079.91	06/15/20	450.00	...	629.91	6 of 6 - Option sale to close-call <sup>25</sup>
06/16/20	50.000	1,799.85	Various	750.00	...	1,049.85	Total of 6 transactions
GE 06/19/2020 PUT \$7.00 / CUSIP: / Symbol:							
6 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	9.000	53.98	06/16/20	54.02	...	-0.04	1 of 6 - Option sale to close-put <sup>25</sup>
	3.000	17.99	06/16/20	18.02	...	-0.03	2 of 6 - Option sale to close-put <sup>25</sup>
	5.000	29.99	06/16/20	30.02	...	-0.03	3 of 6 - Option sale to close-put <sup>25</sup>
	5.000	29.99	06/16/20	30.01	...	-0.02	4 of 6 - Option sale to close-put <sup>25</sup>
	4.000	23.99	06/16/20	24.00	...	-0.01	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	6.00	06/16/20	6.00	...	0.00	6 of 6 - Option sale to close-put <sup>25</sup>
06/17/20	27.000	161.94	Various	162.07	...	-0.13	Total of 6 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 06/19/2020 PUT \$7.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	3.000	17.98	06/16/20	18.00	0.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	20.000	119.95	06/16/20	120.00	0.05 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
06/17/20	23.000	137.93	Various	138.00	0.07 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>299.87</b>		<b>300.07</b>	<b>0.07 W</b>	<b>-0.13</b>	

GE 07/02/2020 CALL \$7.00 / CUSIP: / Symbol:

15 transactions for 06/25/20. Total proceeds and cost reported to the IRS.

	18.000	179.96	06/24/20	252.00	...	-72.04	1 of 15 - Option sale to close-call <sup>25</sup>
	10.000	99.98	06/24/20	140.00	...	-40.02	2 of 15 - Option sale to close-call <sup>25</sup>
	9.000	89.98	06/24/20	126.00	...	-36.02	3 of 15 - Option sale to close-call <sup>25</sup>
	8.000	79.98	06/24/20	112.00	...	-32.02	4 of 15 - Option sale to close-call <sup>25</sup>
	7.000	69.98	06/24/20	98.00	...	-28.02	5 of 15 - Option sale to close-call <sup>25</sup>
	5.000	49.99	06/24/20	70.00	...	-20.01	6 of 15 - Option sale to close-call <sup>25</sup>
	3.000	29.99	06/24/20	42.00	...	-12.01	7 of 15 - Option sale to close-call <sup>25</sup>
	3.000	29.99	06/24/20	42.00	...	-12.01	8 of 15 - Option sale to close-call <sup>25</sup>
	3.000	29.99	06/24/20	39.00	...	-9.01	9 of 15 - Option sale to close-call <sup>25</sup>
	2.000	20.00	06/24/20	28.00	...	-8.00	10 of 15 - Option sale to close-call <sup>25</sup>
	1.000	9.99	06/24/20	14.00	...	-4.01	11 of 15 - Option sale to close-call <sup>25</sup>
	1.000	10.00	06/24/20	14.00	...	-4.00	12 of 15 - Option sale to close-call <sup>25</sup>
	1.000	10.00	06/24/20	14.00	...	-4.00	13 of 15 - Option sale to close-call <sup>25</sup>

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 07/02/2020 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	10.00	06/24/20	14.00	...	-4.00	14 of 15 - Option sale to close-call <sub>25</sub>
	1.000	10.00	06/24/20	14.00	...	-4.00	15 of 15 - Option sale to close-call <sub>25</sub>
06/25/20	73.000	729.83	Various	1,019.00	...	-289.17	Total of 15 transactions
GE 07/17/2020 CALL \$7.00 / CUSIP: / Symbol:							
14 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	25.000	149.94	07/06/20	625.00	...	-475.06	1 of 14 - Option sale to close-call <sub>25</sub>
	15.000	89.97	07/06/20	375.00	...	-285.03	2 of 14 - Option sale to close-call <sub>25</sub>
	10.000	59.98	07/06/20	250.00	...	-190.02	3 of 14 - Option sale to close-call <sub>25</sub>
	10.000	59.98	07/06/20	250.00	...	-190.02	4 of 14 - Option sale to close-call <sub>25</sub>
	5.000	29.99	07/06/20	125.00	...	-95.01	5 of 14 - Option sale to close-call <sub>25</sub>
	1.000	6.00	07/06/20	25.00	...	-19.00	6 of 14 - Option sale to close-call <sub>25</sub>
	1.000	6.00	07/06/20	25.00	...	-19.00	7 of 14 - Option sale to close-call <sub>25</sub>
	10.000	59.98	07/09/20	80.00	...	-20.02	8 of 14 - Option sale to close-call <sub>25</sub>
	6.000	35.99	07/09/20	54.00	...	-18.01	9 of 14 - Option sale to close-call <sub>25</sub>
	4.000	23.99	07/09/20	36.00	...	-12.01	10 of 14 - Option sale to close-call <sub>25</sub>
	4.000	23.99	07/09/20	36.00	...	-12.01	11 of 14 - Option sale to close-call <sub>25</sub>
	1.000	6.00	07/09/20	9.00	...	-3.00	12 of 14 - Option sale to close-call <sub>25</sub>
	64.000	383.85	07/10/20	576.00	...	-192.15	13 of 14 - Option sale to close-call <sub>25</sub>

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Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 07/17/2020 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	8.000	47.97	07/10/20	224.02	...	-176.05	14 of 14 - Option sale to close-call <sup>25</sup>
07/13/20	164.000	983.63	Various	2,690.02	...	-1,706.39	Total of 14 transactions
07/13/20	13.000	77.97	07/06/20	325.00	152.02 W	-95.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,061.60</b>		<b>3,015.02</b>	<b>152.02 W</b>	<b>-1,801.40</b>	
GE 07/24/2020 PUT \$7.50 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	46.98	07/23/20	46.00	...	0.98	1 of 2 - Option sale to close-put <sup>25</sup>
	44.000	2,067.86	07/23/20	2,024.00	...	43.86	2 of 2 - Option sale to close-put <sup>25</sup>
07/23/20	45.000	2,114.84	Various	2,070.00	...	44.84	Total of 2 transactions
GE 09/11/2020 PUT \$6.50 / CUSIP: / Symbol:							
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	25.000	524.94	09/02/20	625.00	...	-100.06	1 of 3 - Option sale to close-put <sup>25</sup>
	14.000	293.96	09/02/20	350.00	...	-56.04	2 of 3 - Option sale to close-put <sup>25</sup>
	11.000	230.97	09/02/20	275.00	...	-44.03	3 of 3 - Option sale to close-put <sup>25</sup>
09/03/20	50.000	1,049.87	Various	1,250.00	...	-200.13	Total of 3 transactions
GE 10/09/2020 PUT \$6.50 / CUSIP: / Symbol:							
28 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	24.000	23.95	10/08/20	144.00	...	-120.05	1 of 28 - Option sale to close-put <sup>25</sup>
	7.000	6.99	10/08/20	112.04	...	-105.05	2 of 28 - Option sale to close-put <sup>25</sup>
	16.000	15.97	10/08/20	96.00	...	-80.03	3 of 28 - Option sale to close-put <sup>25</sup>
	16.000	15.97	10/08/20	96.00	...	-80.03	4 of 28 - Option sale to close-put <sup>25</sup>
	16.000	15.97	10/08/20	96.00	...	-80.03	5 of 28 - Option sale to close-put <sup>25</sup>
	15.000	14.97	10/08/20	90.00	...	-75.03	6 of 28 - Option sale to close-put <sup>25</sup>
	14.000	13.97	10/08/20	84.00	...	-70.03	7 of 28 - Option sale to close-put <sup>25</sup>
	13.000	12.97	10/08/20	78.00	...	-65.03	8 of 28 - Option sale to close-put <sup>25</sup>
	11.000	10.97	10/08/20	66.00	...	-55.03	9 of 28 - Option sale to close-put <sup>25</sup>
	11.000	10.98	10/08/20	66.00	...	-55.02	10 of 28 - Option sale to close-put <sup>25</sup>
	10.000	9.98	10/08/20	60.00	...	-50.02	11 of 28 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 10/09/2020 PUT \$6.50 / CUSIP: / Symbol: (cont'd)							
	4.000	3.99	10/08/20	44.01	...	-40.02	12 of 28 - Option sale to close-put <sub>25</sub>
	7.000	6.98	10/08/20	42.00	...	-35.02	13 of 28 - Option sale to close-put <sub>25</sub>
	6.000	5.98	10/08/20	36.00	...	-30.02	14 of 28 - Option sale to close-put <sub>25</sub>
	3.000	2.99	10/08/20	33.01	...	-30.02	15 of 28 - Option sale to close-put <sub>25</sub>
	6.000	5.99	10/08/20	36.00	...	-30.01	16 of 28 - Option sale to close-put <sub>25</sub>
	1.000	1.00	10/08/20	21.02	...	-20.02	17 of 28 - Option sale to close-put <sub>25</sub>
	4.000	3.99	10/08/20	24.00	...	-20.01	18 of 28 - Option sale to close-put <sub>25</sub>
	2.000	1.99	10/08/20	12.00	...	-10.01	19 of 28 - Option sale to close-put <sub>25</sub>
	2.000	2.00	10/08/20	12.00	...	-10.00	20 of 28 - Option sale to close-put <sub>25</sub>
	1.000	1.00	10/08/20	6.00	...	-5.00	21 of 28 - Option sale to close-put <sub>25</sub>
	1.000	1.00	10/08/20	6.00	...	-5.00	22 of 28 - Option sale to close-put <sub>25</sub>
	1.000	1.00	10/08/20	6.00	...	-5.00	23 of 28 - Option sale to close-put <sub>25</sub>
	1.000	1.00	10/08/20	6.00	...	-5.00	24 of 28 - Option sale to close-put <sub>25</sub>
	1.000	1.00	10/08/20	6.00	...	-5.00	25 of 28 - Option sale to close-put <sub>25</sub>
	1.000	1.00	10/08/20	6.00	...	-5.00	26 of 28 - Option sale to close-put <sub>25</sub>
	1.000	1.00	10/08/20	6.00	...	-5.00	27 of 28 - Option sale to close-put <sub>25</sub>
	1.000	1.00	10/08/20	6.00	...	-5.00	28 of 28 - Option sale to close-put <sub>25</sub>
10/09/20	196.000	195.60	Various	1,296.08	...	-1,100.48	Total of 28 transactions

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 10/09/2020 PUT \$6.50 / CUSIP: / Symbol: (cont'd)							
6 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.98	10/08/20	6.00	5.02 W	0.00	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1.00	10/08/20	11.02	10.02 W	0.00	2 of 6 - Option sale to close-put <sup>25</sup>
	10.000	9.97	10/08/20	60.00	50.03 W	0.00	3 of 6 - Option sale to close-put <sup>25</sup>
	4.000	3.99	10/08/20	24.00	20.01 W	0.00	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	1.00	10/08/20	16.02	15.02 W	0.00	5 of 6 - Option sale to close-put <sup>25</sup>
	7.000	6.98	10/08/20	77.02	70.04 W	0.00	6 of 6 - Option sale to close-put <sup>25</sup>
10/09/20	24.000	23.92	Various	194.06	170.14 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>219.52</b>		<b>1,490.14</b>	<b>170.14 W</b>	<b>-1,100.48</b>	
GE 10/16/2020 CALL \$7.00 / CUSIP: / Symbol:							
10/14/20	12.000	47.97	10/13/20	48.00	...	-0.03	Option sale to close-call <sup>25</sup>
GE 10/23/2020 PUT \$7.50 / CUSIP: / Symbol:							
3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	50.000	99.90	10/22/20	500.00	...	-400.10	1 of 3 - Option sale to close-put <sup>25</sup>
	25.000	49.94	10/22/20	125.00	...	-75.06	2 of 3 - Option sale to close-put <sup>25</sup>
	25.000	49.95	10/22/20	125.00	...	-75.05	3 of 3 - Option sale to close-put <sup>25</sup>
10/22/20	100.000	199.79	Various	750.00	...	-550.21	Total of 3 transactions
GE 11/27/2020 PUT \$10.00 / CUSIP: / Symbol:							
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	50.000	499.89	11/23/20	700.00	...	-200.11	1 of 3 - Option sale to close-put <sup>25</sup>
	25.000	249.94	11/23/20	350.00	...	-100.06	2 of 3 - Option sale to close-put <sup>25</sup>
	25.000	249.94	11/23/20	350.00	...	-100.06	3 of 3 - Option sale to close-put <sup>25</sup>
11/23/20	100.000	999.77	Various	1,400.00	...	-400.23	Total of 3 transactions
GE 12/24/2020 CALL \$11.00 / CUSIP: / Symbol:							
12/22/20	50.000	149.89	12/18/20	650.00	...	-500.11	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GILD 05/22/2020 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	10.000	469.97	05/04/20	560.00	90.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	234.98	05/04/20	235.00	0.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/04/20	15.000	704.95	Various	795.00	90.05 W	0.00	Total of 2 transactions
6 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	3.000	62.98	05/04/20	168.01	...	-105.03	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	41.99	05/04/20	112.01	...	-70.02	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	62.99	05/05/20	141.01	...	-78.02	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	89.98	05/05/20	130.02	...	-40.04	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	20.98	05/05/20	47.00	...	-26.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	20.98	05/05/20	47.00	...	-26.02	6 of 6 - Option sale to close-call <sup>25</sup>
05/06/20	15.000	299.90	Various	645.05	...	-345.15	Total of 6 transactions
	<b>Security total:</b>	<b>1,004.85</b>		<b>1,440.05</b>	<b>90.05 W</b>	<b>-345.15</b>	
GOOGL 08/21/2020 CALL \$1,600.0 / CUSIP: / Symbol:							
08/19/20	1.000	419.98	08/18/20	360.00	...	59.98	Option sale to close-call <sup>25</sup>
JBLU 03/20/2020 PUT \$6.00 / CUSIP: / Symbol:							
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	2.000	29.99	03/18/20	124.01	...	-94.02	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	29.99	03/18/20	90.00	...	-60.01	2 of 2 - Option sale to close-put <sup>25</sup>
03/19/20	4.000	59.98	Various	214.01	...	-154.03	Total of 2 transactions
03/19/20	3.000	44.98	03/18/20	135.00	60.01 W	-30.01	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>104.96</b>		<b>349.01</b>	<b>60.01 W</b>	<b>-184.04</b>	
JBLU 03/20/2020 PUT \$7.00 / CUSIP: / Symbol:							
10 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	3.000	179.99	03/18/20	195.01	...	-15.02	1 of 10 - Option sale to close-put <sup>25</sup>
	3.000	179.99	03/18/20	195.01	...	-15.02	2 of 10 - Option sale to close-put <sup>25</sup>
	2.000	119.99	03/18/20	130.01	...	-10.02	3 of 10 - Option sale to close-put <sup>25</sup>
	1.000	60.00	03/18/20	65.01	...	-5.01	4 of 10 - Option sale to close-put <sup>25</sup>
	1.000	60.00	03/18/20	60.02	...	-0.02	5 of 10 - Option sale to close-put <sup>25</sup>
	1.000	60.00	03/18/20	55.00	...	5.00	6 of 10 - Option sale to close-put <sup>25</sup>
	3.000	179.99	03/18/20	165.00	...	14.99	7 of 10 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
JBLU 03/20/2020 PUT \$7.00 / CUSIP: / Symbol: (cont'd)							
	2.000	119.99	03/18/20	98.00	...	21.99	8 of 10 - Option sale to close-put <sup>25</sup>
	3.000	179.99	03/18/20	147.00	...	32.99	9 of 10 - Option sale to close-put <sup>25</sup>
	18.000	1,079.94	03/18/20	990.00	...	89.94	10 of 10 - Option sale to close-put <sup>25</sup>
03/19/20	37.000	2,219.88	Various	2,100.06	...	119.82	Total of 10 transactions
	3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	1.000	59.98	03/18/20	70.00	10.02 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	9.000	539.96	03/18/20	630.00	90.04 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	60.00	03/18/20	65.02	5.02 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
03/19/20	11.000	659.94	Various	765.02	105.08 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,879.82</b>		<b>2,865.08</b>	<b>105.08 W</b>	<b>119.82</b>	
MSFT 01/31/2020 CALL \$172.50 / CUSIP: / Symbol:							
01/30/20	20.000	1,579.92	01/29/20	2,800.00	...	-1,220.08	Option sale to close-call <sup>25</sup>
MSFT 02/21/2020 CALL \$190.00 / CUSIP: / Symbol:							
	4 transactions for 02/14/20. Total proceeds and cost reported to the IRS.						
	1.000	59.98	02/14/20	56.00	...	3.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	59.98	02/14/20	56.00	...	3.98	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	119.99	02/14/20	112.00	...	7.99	3 of 4 - Option sale to close-call <sup>25</sup>
	31.000	1,859.89	02/14/20	1,736.00	...	123.89	4 of 4 - Option sale to close-call <sup>25</sup>
02/14/20	35.000	2,099.84	Various	1,960.00	...	139.84	Total of 4 transactions
MSFT 02/21/2020 CALL \$205.00 / CUSIP: / Symbol:							
	8 transactions for 02/12/20. Total proceeds and cost reported to the IRS.						
	11.000	274.97	02/11/20	550.00	...	-275.03	1 of 8 - Option sale to close-call <sup>25</sup>
	10.000	249.97	02/11/20	500.00	...	-250.03	2 of 8 - Option sale to close-call <sup>25</sup>
	9.000	224.98	02/11/20	450.00	...	-225.02	3 of 8 - Option sale to close-call <sup>25</sup>
	8.000	199.98	02/11/20	400.00	...	-200.02	4 of 8 - Option sale to close-call <sup>25</sup>
	4.000	99.99	02/11/20	200.00	...	-100.01	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	49.99	02/11/20	100.00	...	-50.01	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	25.00	02/11/20	50.00	...	-25.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	25.00	02/11/20	44.00	...	-19.00	8 of 8 - Option sale to close-call <sup>25</sup>
02/12/20	46.000	1,149.88	Various	2,294.00	...	-1,144.12	Total of 8 transactions

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 03/06/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	6.000	719.97	02/04/20	162.00	...	557.97	1 of 2 - Option sale to close-call <sup>25</sup>
	20.000	2,399.91	02/04/20	620.00	...	1,779.91	2 of 2 - Option sale to close-call <sup>25</sup>
02/10/20	26.000	3,119.88	Various	782.00	...	2,337.88	Total of 2 transactions
MSFT 03/27/2020 CALL \$147.00 / CUSIP: / Symbol:							
4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	480.97	03/25/20	395.00	...	85.97	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	480.97	03/25/20	395.00	...	85.97	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	480.97	03/25/20	395.00	...	85.97	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	961.96	03/25/20	790.00	...	171.96	4 of 4 - Option sale to close-call <sup>25</sup>
03/25/20	5.000	2,404.87	Various	1,975.00	...	429.87	Total of 4 transactions
MSFT 04/03/2020 CALL \$157.50 / CUSIP: / Symbol:							
04/02/20	1.000	131.98	04/01/20	148.00	...	-16.02	Option sale to close-call <sup>25</sup>
MSFT 04/17/2020 CALL \$162.50 / CUSIP: / Symbol:							
3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	3.000	734.98	04/01/20	915.00	...	-180.02	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	734.97	04/01/20	900.00	...	-165.03	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	489.98	04/01/20	590.00	...	-100.02	3 of 3 - Option sale to close-call <sup>25</sup>
04/02/20	8.000	1,959.93	Various	2,405.00	...	-445.07	Total of 3 transactions
MSFT 04/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	1.000	179.98	04/09/20	150.00	...	29.98	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	899.97	04/09/20	750.00	...	149.97	2 of 4 - Option sale to close-call <sup>25</sup>
	8.000	1,439.95	04/09/20	1,200.00	...	239.95	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	179.99	04/13/20	104.00	...	75.99	4 of 4 - Option sale to close-call <sup>25</sup>
04/14/20	15.000	2,699.89	Various	2,204.00	...	495.89	Total of 4 transactions

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Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 04/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
<i>20 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	23.97	03/27/20	112.08	...	-88.11	1 of 20 - Option sale to close-call 25
	10.000	29.97	03/27/20	90.02	...	-60.05	2 of 20 - Option sale to close-call 25
	19.000	56.95	03/27/20	114.00	...	-57.05	3 of 20 - Option sale to close-call 25
	8.000	23.98	03/27/20	72.02	...	-48.04	4 of 20 - Option sale to close-call 25
	5.000	14.98	03/27/20	55.02	...	-40.04	5 of 20 - Option sale to close-call 25
	11.000	32.97	03/27/20	66.00	...	-33.03	6 of 20 - Option sale to close-call 25
	3.000	8.99	03/27/20	33.02	...	-24.03	7 of 20 - Option sale to close-call 25
	2.000	5.99	03/27/20	26.02	...	-20.03	8 of 20 - Option sale to close-call 25
	2.000	5.99	03/27/20	26.02	...	-20.03	9 of 20 - Option sale to close-call 25
	2.000	5.99	03/27/20	26.02	...	-20.03	10 of 20 - Option sale to close-call 25
	1.000	3.00	03/27/20	19.04	...	-16.04	11 of 20 - Option sale to close-call 25
	1.000	3.00	03/27/20	18.03	...	-15.03	12 of 20 - Option sale to close-call 25
	1.000	3.00	03/27/20	18.03	...	-15.03	13 of 20 - Option sale to close-call 25
	1.000	3.00	03/27/20	18.03	...	-15.03	14 of 20 - Option sale to close-call 25
	1.000	3.00	03/27/20	18.02	...	-15.02	15 of 20 - Option sale to close-call 25
	1.000	3.00	03/27/20	18.02	...	-15.02	16 of 20 - Option sale to close-call 25
	1.000	3.00	03/27/20	16.03	...	-13.03	17 of 20 - Option sale to close-call 25

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 04/17/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	03/27/20	16.02	...	-13.02	18 of 20 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/27/20	13.01	...	-10.01	19 of 20 - Option sale to close-call <sub>25</sub>
	1.000	3.00	03/27/20	13.01	...	-10.01	20 of 20 - Option sale to close-call <sub>25</sub>
03/30/20	80.000	239.78	Various	787.46	...	-547.68	Total of 20 transactions
	53 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	118.000	353.76	03/27/20	708.00	54.04 W	-300.20	1 of 53 - Option sale to close-call <sub>25</sub>
	1.000	2.98	03/27/20	6.00	3.02 W	0.00	2 of 53 - Option sale to close-call <sub>25</sub>
	1.000	2.98	03/27/20	6.00	3.02 W	0.00	3 of 53 - Option sale to close-call <sub>25</sub>
	1.000	2.98	03/27/20	6.00	3.02 W	0.00	4 of 53 - Option sale to close-call <sub>25</sub>
	1.000	2.98	03/27/20	6.00	3.02 W	0.00	5 of 53 - Option sale to close-call <sub>25</sub>
	1.000	2.98	03/27/20	6.00	3.02 W	0.00	6 of 53 - Option sale to close-call <sub>25</sub>
	1.000	2.98	03/27/20	6.00	3.02 W	0.00	7 of 53 - Option sale to close-call <sub>25</sub>
	1.000	2.98	03/27/20	6.00	3.02 W	0.00	8 of 53 - Option sale to close-call <sub>25</sub>
	1.000	2.98	03/27/20	6.00	3.02 W	0.00	9 of 53 - Option sale to close-call <sub>25</sub>
	2.000	8.00	03/27/20	12.00	4.00 W	0.00	10 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	9.02	5.02 W	0.00	11 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	9.02	5.02 W	0.00	12 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	9.02	5.02 W	0.00	13 of 53 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 04/17/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.00	03/27/20	9.02	5.02 W	0.00	14 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	9.02	5.02 W	0.00	15 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	9.02	5.02 W	0.00	16 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	9.02	5.02 W	0.00	17 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	9.02	5.02 W	0.00	18 of 53 - Option sale to close-call <sub>25</sub>
	5.000	19.98	03/27/20	30.00	10.02 W	0.00	19 of 53 - Option sale to close-call <sub>25</sub>
	9.000	35.96	03/27/20	54.00	18.04 W	0.00	20 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	11.02	7.02 W	0.00	21 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	11.02	7.02 W	0.00	22 of 53 - Option sale to close-call <sub>25</sub>
	1.000	3.99	03/27/20	11.02	7.03 W	0.00	23 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	11.02	7.02 W	0.00	24 of 53 - Option sale to close-call <sub>25</sub>
	1.000	3.99	03/27/20	11.02	7.03 W	0.00	25 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	13.02	9.02 W	0.00	26 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	13.02	9.02 W	0.00	27 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	13.03	9.03 W	0.00	28 of 53 - Option sale to close-call <sub>25</sub>
	1.000	3.99	03/27/20	13.02	9.03 W	0.00	29 of 53 - Option sale to close-call <sub>25</sub>
	1.000	4.00	03/27/20	13.03	9.03 W	0.00	30 of 53 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 04/17/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	1.000	3.99	03/27/20	8.00	4.01 W	0.00	31 of 53 - Option sale to close-call 25
	1.000	4.00	03/27/20	11.02	7.02 W	0.00	32 of 53 - Option sale to close-call 25
	1.000	4.00	03/27/20	11.02	7.02 W	0.00	33 of 53 - Option sale to close-call 25
	1.000	4.00	03/27/20	11.02	7.02 W	0.00	34 of 53 - Option sale to close-call 25
	2.000	7.99	03/27/20	16.00	8.01 W	0.00	35 of 53 - Option sale to close-call 25
	5.000	19.99	03/27/20	40.02	20.03 W	0.00	36 of 53 - Option sale to close-call 25
	8.000	31.98	03/27/20	48.00	16.02 W	0.00	37 of 53 - Option sale to close-call 25
	1.000	3.00	03/27/20	13.02	10.02 W	0.00	38 of 53 - Option sale to close-call 25
	8.000	23.98	03/27/20	64.04	40.06 W	0.00	39 of 53 - Option sale to close-call 25
	1.000	3.00	03/27/20	15.02	12.02 W	0.00	40 of 53 - Option sale to close-call 25
	1.000	3.00	03/27/20	15.02	12.02 W	0.00	41 of 53 - Option sale to close-call 25
	1.000	3.00	03/27/20	15.03	12.03 W	0.00	42 of 53 - Option sale to close-call 25
	1.000	3.00	03/27/20	15.03	12.03 W	0.00	43 of 53 - Option sale to close-call 25
	1.000	3.00	03/27/20	15.03	12.03 W	0.00	44 of 53 - Option sale to close-call 25
	1.000	2.99	03/27/20	13.02	10.03 W	0.00	45 of 53 - Option sale to close-call 25
	1.000	3.00	03/27/20	13.02	10.02 W	0.00	46 of 53 - Option sale to close-call 25
	2.000	5.99	03/27/20	20.01	14.02 W	0.00	47 of 53 - Option sale to close-call 25

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 04/17/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	2.000	5.99	03/27/20	20.01	14.02 W	0.00	48 of 53 - Option sale to close-call <sup>25</sup>
	1.000	2.98	03/27/20	16.02	13.04 W	0.00	49 of 53 - Option sale to close-call <sup>25</sup>
	1.000	3.00	03/27/20	10.01	7.01 W	0.00	50 of 53 - Option sale to close-call <sup>25</sup>
	3.000	8.99	03/27/20	30.02	21.03 W	0.00	51 of 53 - Option sale to close-call <sup>25</sup>
	8.000	23.98	03/27/20	88.06	64.08 W	0.00	52 of 53 - Option sale to close-call <sup>25</sup>
	8.000	23.98	03/27/20	64.02	40.04 W	0.00	53 of 53 - Option sale to close-call <sup>25</sup>
03/30/20	220.000	712.34	Various	1,615.84	603.30 W	-300.20	Total of 53 transactions
	<b>Security total:</b>	<b>952.12</b>		<b>2,403.30</b>	<b>603.30 W</b>	<b>-847.88</b>	
MSFT 06/12/2020 CALL \$195.00 / CUSIP: / Symbol:							
06/11/20	10.000	329.97	06/11/20	1,030.00	...	-700.03	Option sale to close-call <sup>25</sup>
MSFT 06/19/2020 CALL \$190.00 / CUSIP: / Symbol:							
05/29/20	5.000	804.97	05/28/20	740.00	...	64.97	Option sale to close-call <sup>25</sup>
	<i>9 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	140.99	06/04/20	123.00	...	17.99	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	140.99	06/04/20	123.00	...	17.99	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	141.00	06/04/20	123.00	...	18.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	140.99	06/04/20	112.00	...	28.99	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	140.99	06/04/20	112.00	...	28.99	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	141.00	06/04/20	112.00	...	29.00	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	281.99	06/04/20	224.00	...	57.99	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	281.99	06/04/20	216.00	...	65.99	8 of 9 - Option sale to close-call <sup>25</sup>
	7.000	986.97	06/04/20	861.00	...	125.97	9 of 9 - Option sale to close-call <sup>25</sup>
06/05/20	17.000	2,396.91	Various	2,006.00	...	390.91	Total of 9 transactions
	<b>Security total:</b>	<b>3,201.88</b>		<b>2,746.00</b>	...	<b>455.88</b>	

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 06/19/2020 CALL \$195.00 / CUSIP: / Symbol:							
5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	8.000	703.97	05/22/20	968.00	...	-264.03	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	175.99	05/22/20	242.00	...	-66.01	2 of 5 - Option sale to close-call <sup>25</sup>
	5.000	439.98	05/26/20	450.00	...	-10.02	3 of 5 - Option sale to close-call <sup>25</sup>
	5.000	439.98	05/27/20	225.00	...	214.98	4 of 5 - Option sale to close-call <sup>25</sup>
	13.000	1,143.94	05/27/20	806.00	...	337.94	5 of 5 - Option sale to close-call <sup>25</sup>
05/28/20	33.000	2,903.86	Various	2,691.00	...	212.86	Total of 5 transactions
MSFT 07/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	20.98	07/22/20	154.00	...	-133.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	20.98	07/22/20	154.00	...	-133.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	2.000	41.96	Various	308.00	...	-266.04	Total of 2 transactions
MSFT 07/31/2020 CALL \$202.50 / CUSIP: / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	130.00	07/30/20	105.00	...	25.00	1 of 3 - Option sale to close-call <sup>25</sup>
	7.000	909.96	07/30/20	735.00	...	174.96	2 of 3 - Option sale to close-call <sup>25</sup>
	11.000	1,429.94	07/30/20	1,155.00	...	274.94	3 of 3 - Option sale to close-call <sup>25</sup>
07/30/20	19.000	2,469.90	Various	1,995.00	...	474.90	Total of 3 transactions
MSFT 07/31/2020 CALL \$207.50 / CUSIP: / Symbol:							
6 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	2.000	215.99	07/27/20	198.00	...	17.99	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	215.99	07/27/20	196.00	...	19.99	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	323.99	07/27/20	294.00	...	29.99	3 of 6 - Option sale to close-call <sup>25</sup>
	4.000	431.98	07/27/20	392.00	...	39.98	4 of 6 - Option sale to close-call <sup>25</sup>
	4.000	431.98	07/27/20	392.00	...	39.98	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	539.98	07/27/20	490.00	...	49.98	6 of 6 - Option sale to close-call <sup>25</sup>
07/28/20	20.000	2,159.91	Various	1,962.00	...	197.91	Total of 6 transactions

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/31/2020 CALL \$210.00 / CUSIP: / Symbol:							
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	10.000	979.96	07/24/20	1,050.00	...	-70.04	1 of 3 - Option sale to close-call <sup>25</sup>
	9.000	881.96	07/24/20	882.00	...	-0.04	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	97.99	07/24/20	98.00	...	-0.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/27/20	20.000	1,959.91	Various	2,030.00	...	-70.09	Total of 3 transactions
MSFT 07/31/2020 PUT \$202.50 / CUSIP: / Symbol:							
07/31/20	1.000	68.98	07/30/20	96.00	...	-27.02	Option sale to close-put <sup>25</sup>
MSFT 08/07/2020 CALL \$202.50 / CUSIP: / Symbol:							
5 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	07/31/20	231.00	...	168.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	399.98	07/31/20	231.00	...	168.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	399.99	07/31/20	231.00	...	168.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	399.99	07/31/20	231.00	...	168.99	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	399.99	07/31/20	231.00	...	168.99	5 of 5 - Option sale to close-call <sup>25</sup>
07/31/20	5.000	1,999.93	Various	1,155.00	...	844.93	Total of 5 transactions
MSFT 08/07/2020 CALL \$215.00 / CUSIP: / Symbol:							
8 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	57.00	08/04/20	177.00	...	-120.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	57.00	08/04/20	177.00	...	-120.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	57.00	08/04/20	177.00	...	-120.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	57.00	08/04/20	177.00	...	-120.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	57.00	08/04/20	177.00	...	-120.00	5 of 8 - Option sale to close-call <sup>25</sup>
	4.000	227.98	08/05/20	464.00	...	-236.02	6 of 8 - Option sale to close-call <sup>25</sup>
	3.000	170.98	08/05/20	366.00	...	-195.02	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	113.99	08/05/20	244.00	...	-130.01	8 of 8 - Option sale to close-call <sup>25</sup>
08/06/20	14.000	797.95	Various	1,959.00	...	-1,161.05	Total of 8 transactions
MSFT 08/21/2020 CALL \$215.00 / CUSIP: / Symbol:							
5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	4.000	179.98	08/18/20	196.00	...	-16.02	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	89.99	08/18/20	98.00	...	-8.01	2 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 08/21/2020 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	2.000	90.00	08/18/20	98.00	...	-8.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	45.00	08/18/20	49.00	...	-4.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	45.00	08/18/20	49.00	...	-4.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/19/20	10.000	449.97	Various	490.00	...	-40.03	Total of 5 transactions
MSFT 09/11/2020 CALL \$212.50 / CUSIP: / Symbol:							
16 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	28.000	2,575.89	09/10/20	5,880.00	...	-3,304.11	1 of 16 - Option sale to close-call <sup>25</sup>
	4.000	367.98	09/10/20	1,044.02	...	-676.04	2 of 16 - Option sale to close-call <sup>25</sup>
	2.000	183.99	09/10/20	522.01	...	-338.02	3 of 16 - Option sale to close-call <sup>25</sup>
	1.000	91.99	09/10/20	261.02	...	-169.03	4 of 16 - Option sale to close-call <sup>25</sup>
	1.000	91.99	09/10/20	261.02	...	-169.03	5 of 16 - Option sale to close-call <sup>25</sup>
	1.000	91.99	09/10/20	261.02	...	-169.03	6 of 16 - Option sale to close-call <sup>25</sup>
	1.000	91.99	09/10/20	261.02	...	-169.03	7 of 16 - Option sale to close-call <sup>25</sup>
	1.000	92.00	09/10/20	261.02	...	-169.02	8 of 16 - Option sale to close-call <sup>25</sup>
	1.000	92.00	09/10/20	261.02	...	-169.02	9 of 16 - Option sale to close-call <sup>25</sup>
	1.000	92.00	09/10/20	261.02	...	-169.02	10 of 16 - Option sale to close-call <sup>25</sup>
	1.000	92.00	09/10/20	261.02	...	-169.02	11 of 16 - Option sale to close-call <sup>25</sup>
	1.000	92.00	09/10/20	261.02	...	-169.02	12 of 16 - Option sale to close-call <sup>25</sup>
	1.000	92.00	09/10/20	261.01	...	-169.01	13 of 16 - Option sale to close-call <sup>25</sup>
	3.000	275.99	09/10/20	429.00	...	-153.01	14 of 16 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 09/11/2020 CALL \$212.50 / CUSIP: / Symbol: (cont'd)							
	1.000	92.00	09/10/20	202.02	...	-110.02	15 of 16 - Option sale to close-call <sub>25</sub>
	1.000	91.99	09/10/20	153.00	...	-61.01	16 of 16 - Option sale to close-call <sub>25</sub>
09/10/20	49.000	4,507.80	Various	10,840.24	...	-6,332.44	Total of 16 transactions
	12 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	1.000	150.98	09/10/20	210.00	59.02 W	0.00	1 of 12 - Option sale to close-call <sub>25</sub>
	1.000	91.98	09/10/20	210.00	118.02 W	0.00	2 of 12 - Option sale to close-call <sub>25</sub>
	1.000	91.98	09/10/20	210.00	118.02 W	0.00	3 of 12 - Option sale to close-call <sub>25</sub>
	4.000	367.98	09/10/20	840.00	472.02 W	0.00	4 of 12 - Option sale to close-call <sub>25</sub>
	1.000	91.98	09/10/20	210.00	118.02 W	0.00	5 of 12 - Option sale to close-call <sub>25</sub>
	1.000	91.98	09/10/20	210.00	118.02 W	0.00	6 of 12 - Option sale to close-call <sub>25</sub>
	1.000	91.98	09/10/20	210.00	118.02 W	0.00	7 of 12 - Option sale to close-call <sub>25</sub>
	1.000	91.98	09/10/20	210.00	118.02 W	0.00	8 of 12 - Option sale to close-call <sub>25</sub>
	3.000	275.98	09/10/20	630.00	354.02 W	0.00	9 of 12 - Option sale to close-call <sub>25</sub>
	1.000	91.98	09/10/20	210.00	118.02 W	0.00	10 of 12 - Option sale to close-call <sub>25</sub>
	1.000	91.98	09/10/20	210.00	118.02 W	0.00	11 of 12 - Option sale to close-call <sub>25</sub>
	1.000	91.98	09/10/20	210.00	118.02 W	0.00	12 of 12 - Option sale to close-call <sub>25</sub>
09/10/20	17.000	1,622.76	Various	3,570.00	1,947.24 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>6,130.56</b>		<b>14,410.24</b>	<b>1,947.24 W</b>	<b>-6,332.44</b>	

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 09/11/2020 PUT \$207.50 / CUSIP: / Symbol:							
7 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	09/10/20	122.00	...	177.99	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	299.99	09/10/20	122.00	...	177.99	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	299.99	09/10/20	122.00	...	177.99	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	299.99	09/10/20	122.00	...	177.99	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	299.99	09/10/20	122.00	...	177.99	5 of 7 - Option sale to close-put <sup>25</sup>
	5.000	1,499.96	09/10/20	610.00	...	889.96	6 of 7 - Option sale to close-put <sup>25</sup>
	10.000	2,999.91	09/10/20	1,220.00	...	1,779.91	7 of 7 - Option sale to close-put <sup>25</sup>
09/11/20	20.000	5,999.82	Various	2,440.00	...	3,559.82	Total of 7 transactions
MVIS 05/15/2020 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	5.000	49.98	05/07/20	100.00	...	-50.02	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	39.99	05/08/20	40.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
05/11/20	9.000	89.97	Various	140.00	...	-50.03	Total of 2 transactions
NIO 02/21/2020 CALL \$4.50 / CUSIP: / Symbol:							
14 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	27.000	161.94	02/13/20	189.00	...	-27.06	1 of 14 - Option sale to close-call <sup>25</sup>
	18.000	107.96	02/13/20	126.00	...	-18.04	2 of 14 - Option sale to close-call <sup>25</sup>
	18.000	107.96	02/13/20	126.00	...	-18.04	3 of 14 - Option sale to close-call <sup>25</sup>
	5.000	29.99	02/13/20	35.00	...	-5.01	4 of 14 - Option sale to close-call <sup>25</sup>
	1.000	6.00	02/14/20	5.00	...	1.00	5 of 14 - Option sale to close-call <sup>25</sup>
	1.000	6.00	02/14/20	5.00	...	1.00	6 of 14 - Option sale to close-call <sup>25</sup>
	1.000	6.00	02/14/20	5.00	...	1.00	7 of 14 - Option sale to close-call <sup>25</sup>
	1.000	6.00	02/14/20	5.00	...	1.00	8 of 14 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 02/21/2020 CALL \$4.50 / CUSIP: / Symbol: (cont'd)							
	1.000	6.00	02/14/20	5.00	...	1.00	9 of 14 - Option sale to close-call <sup>25</sup>
	1.000	6.00	02/14/20	5.00	...	1.00	10 of 14 - Option sale to close-call <sup>25</sup>
	2.000	12.00	02/14/20	10.00	...	2.00	11 of 14 - Option sale to close-call <sup>25</sup>
	1.000	6.00	02/18/20	3.00	...	3.00	12 of 14 - Option sale to close-call <sup>25</sup>
	4.000	23.98	02/18/20	12.00	...	11.98	13 of 14 - Option sale to close-call <sup>25</sup>
	5.000	29.98	02/18/20	15.00	...	14.98	14 of 14 - Option sale to close-call <sup>25</sup>
02/20/20	86.000	515.81	Various	546.00	...	-30.19	Total of 14 transactions
NIO 06/05/2020 CALL \$6.00 / CUSIP: / Symbol:							
7 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	24.98	06/03/20	13.00	...	11.98	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	49.98	06/03/20	26.00	...	23.98	2 of 7 - Option sale to close-call <sup>25</sup>
	5.000	124.98	06/03/20	65.00	...	59.98	3 of 7 - Option sale to close-call <sup>25</sup>
	5.000	124.98	06/03/20	65.00	...	59.98	4 of 7 - Option sale to close-call <sup>25</sup>
	7.000	202.98	06/03/20	91.00	...	111.98	5 of 7 - Option sale to close-call <sup>25</sup>
	10.000	289.97	06/03/20	110.00	...	179.97	6 of 7 - Option sale to close-call <sup>25</sup>
	70.000	2,029.81	06/03/20	910.00	...	1,119.81	7 of 7 - Option sale to close-call <sup>25</sup>
06/04/20	100.000	2,847.68	Various	1,280.00	...	1,567.68	Total of 7 transactions
NIO 07/24/2020 PUT \$10.50 / CUSIP: / Symbol:							
14 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	3.000	32.99	07/20/20	534.01	...	-501.02	1 of 14 - Option sale to close-put <sup>25</sup>
	3.000	32.99	07/20/20	402.01	...	-369.02	2 of 14 - Option sale to close-put <sup>25</sup>
	2.000	21.99	07/20/20	354.01	...	-332.02	3 of 14 - Option sale to close-put <sup>25</sup>
	2.000	21.99	07/20/20	262.01	...	-240.02	4 of 14 - Option sale to close-put <sup>25</sup>
	2.000	21.99	07/20/20	210.00	...	-188.01	5 of 14 - Option sale to close-put <sup>25</sup>
	1.000	10.98	07/20/20	179.00	...	-168.02	6 of 14 - Option sale to close-put <sup>25</sup>
	1.000	11.00	07/20/20	179.01	...	-168.01	7 of 14 - Option sale to close-put <sup>25</sup>

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Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 07/24/2020 PUT \$10.50 / CUSIP: / Symbol: (cont'd)							
	1.000	11.00	07/20/20	136.01	...	-125.01	8 of 14 - Option sale to close-put <sup>25</sup>
	1.000	11.00	07/20/20	136.00	...	-125.00	9 of 14 - Option sale to close-put <sup>25</sup>
	1.000	11.00	07/20/20	136.00	...	-125.00	10 of 14 - Option sale to close-put <sup>25</sup>
	1.000	11.00	07/20/20	136.00	...	-125.00	11 of 14 - Option sale to close-put <sup>25</sup>
	1.000	11.00	07/20/20	136.00	...	-125.00	12 of 14 - Option sale to close-put <sup>25</sup>
	1.000	11.00	07/20/20	136.00	...	-125.00	13 of 14 - Option sale to close-put <sup>25</sup>
	3.000	32.99	07/20/20	135.00	...	-102.01	14 of 14 - Option sale to close-put <sup>25</sup>
07/22/20	23.000	252.92	Various	3,071.06	...	-2,818.14	Total of 14 transactions
	5 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	3.000	32.99	07/17/20	480.01	298.01 W	-149.01	1 of 5 - Option sale to close-put <sup>25</sup>
	5.000	54.99	07/17/20	490.00	435.01 W	0.00	2 of 5 - Option sale to close-put <sup>25</sup>
	14.000	153.96	07/17/20	1,400.00	1,246.04 W	0.00	3 of 5 - Option sale to close-put <sup>25</sup>
	2.000	22.00	07/17/20	142.00	120.00 W	0.00	4 of 5 - Option sale to close-put <sup>25</sup>
	5.000	54.99	07/17/20	790.01	735.02 W	0.00	5 of 5 - Option sale to close-put <sup>25</sup>
07/22/20	29.000	318.93	Various	3,302.02	2,834.08 W	-149.01	Total of 5 transactions
	<b>Security total:</b>	<b>571.85</b>		<b>6,373.08</b>	<b>2,834.08 W</b>	<b>-2,967.15</b>	
NIO 08/28/2020 CALL \$20.00 / CUSIP: / Symbol:							
	10 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	1.000	109.98	08/25/20	50.00	...	59.98	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	109.98	08/25/20	50.00	...	59.98	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	109.99	08/25/20	50.00	...	59.99	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	109.98	08/25/20	27.00	...	82.98	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	109.98	08/25/20	27.00	...	82.98	5 of 10 - Option sale to close-call <sup>25</sup>

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Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NIO 08/28/2020 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	1.000	109.99	08/25/20	27.00	...	82.99	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	109.99	08/25/20	27.00	...	82.99	7 of 10 - Option sale to close-call <sup>25</sup>
	2.000	219.98	08/25/20	54.00	...	165.98	8 of 10 - Option sale to close-call <sup>25</sup>
	4.000	439.98	08/25/20	108.00	...	331.98	9 of 10 - Option sale to close-call <sup>25</sup>
	7.000	769.97	08/25/20	350.00	...	419.97	10 of 10 - Option sale to close-call <sup>25</sup>
08/26/20	20.000	2,199.82	Various	770.00	...	1,429.82	Total of 10 transactions
NIO 09/25/2020 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	3.000	92.99	09/21/20	126.00	...	-33.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	30.99	09/21/20	42.00	...	-11.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	31.00	09/21/20	42.00	...	-11.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/22/20	5.000	154.98	Various	210.00	...	-55.02	Total of 3 transactions
NIO 10/16/2020 CALL \$27.00 / CUSIP: / Symbol:							
10 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	84.98	10/14/20	79.00	...	5.98	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	84.99	10/14/20	79.00	...	5.99	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	84.99	10/14/20	79.00	...	5.99	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	84.98	10/14/20	78.00	...	6.98	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	84.98	10/14/20	78.00	...	6.98	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	85.00	10/14/20	78.00	...	7.00	6 of 10 - Option sale to close-call <sup>25</sup>
	3.000	254.98	10/14/20	237.00	...	17.98	7 of 10 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO 10/16/2020 CALL \$27.00 / CUSIP: / Symbol: (cont'd)							
	3.000	254.99	10/14/20	237.00	...	17.99	8 of 10 - Option sale to close-call <sup>25</sup>
	3.000	254.98	10/14/20	234.00	...	20.98	9 of 10 - Option sale to close-call <sup>25</sup>
	5.000	424.97	10/14/20	390.00	...	34.97	10 of 10 - Option sale to close-call <sup>25</sup>
10/15/20	20.000	1,699.84	Various	1,569.00	...	130.84	Total of 10 transactions
NKLA 12/18/2020 PUT \$12.50 / CUSIP: / Symbol:							
4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	19.99	12/04/20	145.06	...	-125.07	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	19.98	12/04/20	145.03	...	-125.05	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	19.99	12/04/20	110.03	...	-90.04	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	20.00	12/04/20	110.02	...	-90.02	4 of 4 - Option sale to close-put <sup>25</sup>
12/07/20	4.000	79.96	Various	510.14	...	-430.18	Total of 4 transactions
12 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	3.000	59.98	12/02/20	165.00	105.02 W	0.00	1 of 12 - Option sale to close-put <sup>25</sup>
	1.000	19.98	12/02/20	55.00	35.02 W	0.00	2 of 12 - Option sale to close-put <sup>25</sup>
	1.000	19.98	12/02/20	90.01	70.03 W	0.00	3 of 12 - Option sale to close-put <sup>25</sup>
	1.000	19.99	12/02/20	90.00	70.01 W	0.00	4 of 12 - Option sale to close-put <sup>25</sup>
	1.000	19.99	12/02/20	90.01	70.02 W	0.00	5 of 12 - Option sale to close-put <sup>25</sup>
	1.000	20.00	12/02/20	90.02	70.02 W	0.00	6 of 12 - Option sale to close-put <sup>25</sup>
	1.000	19.99	12/02/20	125.03	105.04 W	0.00	7 of 12 - Option sale to close-put <sup>25</sup>
	1.000	19.99	12/02/20	125.01	105.02 W	0.00	8 of 12 - Option sale to close-put <sup>25</sup>
	1.000	20.00	12/04/20	100.02	80.02 W	0.00	9 of 12 - Option sale to close-put <sup>25</sup>
	1.000	19.98	12/04/20	135.04	115.06 W	0.00	10 of 12 - Option sale to close-put <sup>25</sup>
	1.000	19.99	12/04/20	100.02	80.03 W	0.00	11 of 12 - Option sale to close-put <sup>25</sup>
	1.000	19.99	12/04/20	135.02	115.03 W	0.00	12 of 12 - Option sale to close-put <sup>25</sup>
12/07/20	14.000	279.86	Various	1,300.18	1,020.32 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>359.82</b>		<b>1,810.32</b>	<b>1,020.32 W</b>	<b>-430.18</b>	

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 01/03/2020 PUT \$108.00 / CUSIP: / Symbol:							
2 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	58.000	0.00	12/30/19	1,740.00	...	-1,740.00	1 of 2 - Option expiration- long put
	11.000	0.00	12/30/19	495.00	...	-495.00	2 of 2 - Option expiration- long put
01/03/20	69.000	0.00	Various	2,235.00	...	-2,235.00	Total of 2 transactions
OKTA 01/08/2021 PUT \$250.00 / CUSIP: / Symbol:							
12/31/20	6.000	2,159.94	12/31/20	1,920.00	...	239.94	Option sale to close-put <sup>25</sup>
OKTA 01/15/2021 CALL \$220.00 / CUSIP: / Symbol:							
11/02/20	3.000	3,809.90	10/30/20	5,550.00	...	-1,740.10	Option sale to close-call <sup>25</sup>
OKTA 01/17/2020 CALL \$130.00 / CUSIP: / Symbol:							
5 transactions for 01/17/20. Total proceeds and cost reported to the IRS.							
	20.000	0.00	01/16/20	1,900.00	...	-1,900.00	1 of 5 - Option expiration- long call
	9.000	0.00	01/16/20	675.00	...	-675.00	2 of 5 - Option expiration- long call
	6.000	0.00	01/16/20	450.00	...	-450.00	3 of 5 - Option expiration- long call
	2.000	0.00	01/16/20	100.00	...	-100.00	4 of 5 - Option expiration- long call
	1.000	0.00	01/16/20	45.00	...	-45.00	5 of 5 - Option expiration- long call
01/17/20	38.000	0.00	Various	3,170.00	...	-3,170.00	Total of 5 transactions
OKTA 01/24/2020 CALL \$129.00 / CUSIP: / Symbol:							
2 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	1.000	120.00	01/21/20	85.00	...	35.00	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	1,199.95	01/21/20	900.00	...	299.95	2 of 2 - Option sale to close-call <sup>25</sup>
01/22/20	11.000	1,319.95	Various	985.00	...	334.95	Total of 2 transactions
OKTA 01/24/2020 PUT \$125.00 / CUSIP: / Symbol:							
3 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	15.000	74.96	01/23/20	1,275.00	...	-1,200.04	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	14.99	01/23/20	165.00	...	-150.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	5.00	01/23/20	70.00	...	-65.00	3 of 3 - Option sale to close-put <sup>25</sup>
01/24/20	19.000	94.95	Various	1,510.00	...	-1,415.05	Total of 3 transactions

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 03/20/2020 PUT \$102.00 / CUSIP: / Symbol:							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	309.99	03/17/20	190.00	...	119.99	1 of 2 - Option sale to close-put <sup>25</sup>
	5.000	1,549.95	03/17/20	1,300.00	...	249.95	2 of 2 - Option sale to close-put <sup>25</sup>
03/17/20	6.000	1,859.94	Various	1,490.00	...	369.94	Total of 2 transactions
OKTA 03/20/2020 PUT \$113.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	5.000	24.98	03/19/20	1,850.00	...	-1,825.02	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	14.99	03/19/20	990.00	...	-975.01	2 of 2 - Option sale to close-put <sup>25</sup>
03/20/20	8.000	39.97	Various	2,840.00	...	-2,800.03	Total of 2 transactions
OKTA 04/09/2020 PUT \$127.00 / CUSIP: / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	15.000	1,724.93	04/08/20	2,550.00	...	-825.07	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	344.98	04/08/20	435.00	...	-90.02	2 of 2 - Option sale to close-put <sup>25</sup>
04/08/20	18.000	2,069.91	Various	2,985.00	...	-915.09	Total of 2 transactions
OKTA 04/09/2020 PUT \$128.00 / CUSIP: / Symbol:							
04/08/20	20.000	3,599.88	04/08/20	2,600.00	...	999.88	Option sale to close-put <sup>25</sup>
OKTA 04/17/2020 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	3.000	314.98	04/16/20	210.00	...	104.98	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	1,049.95	04/16/20	750.00	...	299.95	2 of 2 - Option sale to close-call <sup>25</sup>
04/16/20	13.000	1,364.93	Various	960.00	...	404.93	Total of 2 transactions
OKTA 04/17/2020 PUT \$145.00 / CUSIP: / Symbol:							
04/16/20	6.000	989.96	04/16/20	720.00	...	269.96	Option sale to close-put <sup>25</sup>
OKTA 04/24/2020 CALL \$152.50 / CUSIP: / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	2.000	419.98	04/23/20	290.00	...	129.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	419.99	04/23/20	290.00	...	129.99	2 of 2 - Option sale to close-call <sup>25</sup>
04/23/20	4.000	839.97	Various	580.00	...	259.97	Total of 2 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 04/24/2020 CALL \$155.00 / CUSIP: / Symbol:							
04/22/20	3.000	569.97	04/21/20	720.00	...	-150.03	Option sale to close-call <sup>25</sup>
OKTA 05/01/2020 PUT \$140.00 / CUSIP: / Symbol:							
04/29/20	3.000	44.98	04/28/20	135.00	...	-90.02	Option sale to close-put <sup>25</sup>
OKTA 05/08/2020 PUT \$157.50 / CUSIP: / Symbol:							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	2.000	559.97	05/05/20	430.00	...	129.97	1 of 2 - Option sale to close-put <sup>25</sup>
	6.000	1,679.95	05/05/20	1,290.00	...	389.95	2 of 2 - Option sale to close-put <sup>25</sup>
05/05/20	8.000	2,239.92	Various	1,720.00	...	519.92	Total of 2 transactions
OKTA 05/08/2020 PUT \$162.50 / CUSIP: / Symbol:							
3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	8.000	1,559.95	05/06/20	1,800.00	...	-240.05	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	389.99	05/06/20	410.00	...	-20.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	194.99	05/06/20	180.00	...	14.99	3 of 3 - Option sale to close-put <sup>25</sup>
05/06/20	11.000	2,144.93	Various	2,390.00	...	-245.07	Total of 3 transactions
OKTA 05/15/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/11/20	11.000	2,144.93	05/08/20	1,155.00	...	989.93	Option sale to close-call <sup>25</sup>
4 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	2.000	419.99	05/12/20	220.00	...	199.99	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,049.97	05/12/20	800.00	...	249.97	2 of 4 - Option sale to close-call <sup>25</sup>
	4.000	839.97	05/12/20	440.00	...	399.97	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,049.96	05/12/20	600.00	...	449.96	4 of 4 - Option sale to close-call <sup>25</sup>
05/12/20	16.000	3,359.89	Various	2,060.00	...	1,299.89	Total of 4 transactions
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	2.000	469.98	05/12/20	260.00	...	209.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	469.98	05/12/20	250.00	...	219.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/13/20	4.000	939.96	Various	510.00	...	429.96	Total of 2 transactions
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	3.000	434.98	05/14/20	360.00	...	74.98	1 of 2 - Option sale to close-call <sup>25</sup>
	13.000	1,884.93	05/14/20	1,560.00	...	324.93	2 of 2 - Option sale to close-call <sup>25</sup>
05/14/20	16.000	2,319.91	Various	1,920.00	...	399.91	Total of 2 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 05/15/2020 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
05/15/20	5.000	649.97	05/14/20	475.00	...	174.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>9,414.66</b>		<b>6,120.00</b>	...	<b>3,294.66</b>	
OKTA 05/22/2020 PUT \$185.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	5.000	1,449.95	05/20/20	1,250.00	...	199.95	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	869.97	05/20/20	615.00	...	254.97	2 of 2 - Option sale to close-put <sup>25</sup>
05/20/20	8.000	2,319.92	Various	1,865.00	...	454.92	Total of 2 transactions
OKTA 06/05/2020 CALL \$205.00 / CUSIP: / Symbol:							
6 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	4.000	319.99	06/02/20	1,360.00	...	-1,040.01	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	159.99	06/02/20	680.00	...	-520.01	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	159.99	06/02/20	620.00	...	-460.01	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	239.99	06/02/20	450.00	...	-210.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	80.00	06/02/20	140.00	...	-60.00	5 of 6 - Option sale to close-call <sup>25</sup>
	4.000	319.98	06/03/20	500.00	...	-180.02	6 of 6 - Option sale to close-call <sup>25</sup>
06/03/20	16.000	1,279.94	Various	3,750.00	...	-2,470.06	Total of 6 transactions
OKTA 06/05/2020 PUT \$202.50 / CUSIP: / Symbol:							
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	2.000	799.98	06/01/20	820.00	...	-20.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	399.99	06/01/20	400.02	...	-0.03	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	399.98	06/01/20	370.02	...	29.96	3 of 3 - Option sale to close-put <sup>25</sup>
06/01/20	4.000	1,599.95	Various	1,590.04	...	9.91	Total of 3 transactions
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	06/01/20	410.00	10.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	399.98	06/01/20	410.00	10.02 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
06/01/20	2.000	799.96	Various	820.00	20.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,399.91</b>		<b>2,410.04</b>	<b>20.04 W</b>	<b>9.91</b>	

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 06/19/2020 CALL \$190.00 / CUSIP: / Symbol:							
6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	8.000	2,239.93	06/16/20	2,720.00	...	-480.07	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	279.99	06/16/20	340.02	...	-60.03	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	279.99	06/16/20	340.02	...	-60.03	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	279.99	06/16/20	280.00	...	-0.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	279.99	06/16/20	200.00	...	79.99	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	839.98	06/16/20	735.00	...	104.98	6 of 6 - Option sale to close-call <sup>25</sup>
06/16/20	15.000	4,199.87	Various	4,615.04	...	-415.17	Total of 6 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	279.98	06/16/20	340.00	60.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	279.98	06/16/20	340.00	60.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/16/20	2.000	559.96	Various	680.00	120.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,759.83</b>		<b>5,295.04</b>	<b>120.04 W</b>	<b>-415.17</b>	
OKTA 06/19/2020 CALL \$197.50 / CUSIP: / Symbol:							
5 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	8.000	1,079.96	06/18/20	1,360.00	...	-280.04	1 of 5 - Option sale to close-call <sup>25</sup>
	8.000	1,279.96	06/18/20	1,360.00	...	-80.04	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	159.99	06/18/20	205.01	...	-45.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	159.99	06/18/20	205.00	...	-45.01	4 of 5 - Option sale to close-call <sup>25</sup>
	10.000	3,999.89	06/18/20	3,300.00	...	699.89	5 of 5 - Option sale to close-call <sup>25</sup>
06/18/20	28.000	6,679.79	Various	6,430.01	...	249.78	Total of 5 transactions
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	135.00	06/18/20	170.00	35.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	134.99	06/18/20	170.00	35.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/18/20	2.000	269.99	Various	340.00	70.01 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>6,949.78</b>		<b>6,770.01</b>	<b>70.01 W</b>	<b>249.78</b>	
OKTA 06/26/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/24/20	8.000	2,799.91	06/23/20	1,680.00	...	1,119.91	Option sale to close-call <sup>25</sup>

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 06/26/2020 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	5.000	699.97	06/23/20	1,350.00	...	-650.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	139.99	06/23/20	360.02	...	-220.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	140.00	06/23/20	360.02	...	-220.02	3 of 3 - Option sale to close-call <sup>25</sup>
06/23/20	7.000	979.96	Various	2,070.04	...	-1,090.08	Total of 3 transactions
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	139.98	06/23/20	270.00	130.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	139.98	06/23/20	270.00	130.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/23/20	2.000	279.96	Various	540.00	260.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,259.92</b>		<b>2,610.04</b>	<b>260.04 W</b>	<b>-1,090.08</b>	
OKTA 06/26/2020 PUT \$200.00 / CUSIP: / Symbol:							
4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	234.99	06/25/20	120.00	...	114.99	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	234.99	06/25/20	120.00	...	114.99	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	234.99	06/25/20	120.00	...	114.99	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	469.99	06/25/20	240.00	...	229.99	4 of 4 - Option sale to close-put <sup>25</sup>
06/26/20	5.000	1,174.96	Various	600.00	...	574.96	Total of 4 transactions
OKTA 07/02/2020 CALL \$205.00 / CUSIP: / Symbol:							
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	4.000	519.98	06/29/20	1,160.00	...	-640.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	259.99	06/29/20	440.00	...	-180.01	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	389.98	06/30/20	240.00	...	149.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/30/20	9.000	1,169.95	Various	1,840.00	...	-670.05	Total of 3 transactions
OKTA 07/02/2020 PUT \$197.50 / CUSIP: / Symbol:							
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	4.000	79.99	06/30/20	960.00	...	-880.01	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	20.00	06/30/20	240.00	...	-220.00	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	19.99	07/01/20	35.00	...	-15.01	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	20.00	07/01/20	25.00	...	-5.00	4 of 4 - Option sale to close-put <sup>25</sup>
07/02/20	7.000	139.98	Various	1,260.00	...	-1,120.02	Total of 4 transactions

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 07/17/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/15/20	5.000	1,774.95	07/15/20	1,375.00	...	399.95	Option sale to close-call <sup>25</sup>
OKTA 07/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	2.000	221.99	07/13/20	702.01	...	-480.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	110.99	07/13/20	342.01	...	-231.02	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	221.99	07/13/20	224.00	...	-2.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/14/20	5.000	554.97	Various	1,268.02	...	-713.05	Total of 3 transactions
07/14/20	3.000	332.98	07/13/20	1,050.00	717.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>887.95</b>		<b>2,318.02</b>	<b>717.02 W</b>	<b>-713.05</b>	
OKTA 07/17/2020 PUT \$202.50 / CUSIP: / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	729.97	07/14/20	460.00	...	269.97	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	729.98	07/14/20	460.00	...	269.98	2 of 2 - Option sale to close-put <sup>25</sup>
07/14/20	2.000	1,459.95	Various	920.00	...	539.95	Total of 2 transactions
OKTA 07/24/2020 CALL \$212.50 / CUSIP: / Symbol:							
4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	339.98	07/22/20	260.00	...	79.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	339.98	07/22/20	260.00	...	79.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	339.98	07/22/20	260.00	...	79.98	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	679.97	07/22/20	520.00	...	159.97	4 of 4 - Option sale to close-call <sup>25</sup>
07/23/20	5.000	1,699.91	Various	1,300.00	...	399.91	Total of 4 transactions
OKTA 07/24/2020 PUT \$207.50 / CUSIP: / Symbol:							
5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	359.98	07/23/20	256.00	...	103.98	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	359.98	07/23/20	256.00	...	103.98	2 of 5 - Option sale to close-put <sup>25</sup>
	2.000	719.97	07/23/20	512.00	...	207.97	3 of 5 - Option sale to close-put <sup>25</sup>
	2.000	719.97	07/23/20	512.00	...	207.97	4 of 5 - Option sale to close-put <sup>25</sup>
	2.000	719.97	07/23/20	512.00	...	207.97	5 of 5 - Option sale to close-put <sup>25</sup>
07/23/20	8.000	2,879.87	Various	2,048.00	...	831.87	Total of 5 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 07/24/2020 PUT \$212.50 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	246.99	07/23/20	152.00	...	94.99	1 of 2 - Option sale to close-put <sup>25</sup>
	10.000	2,469.92	07/23/20	1,970.00	...	499.92	2 of 2 - Option sale to close-put <sup>25</sup>
07/23/20	11.000	2,716.91	Various	2,122.00	...	594.91	Total of 2 transactions
OKTA 07/31/2020 PUT \$217.50 / CUSIP: / Symbol:							
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	225.99	07/30/20	206.00	...	19.99	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	225.99	07/30/20	206.00	...	19.99	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	225.99	07/30/20	203.00	...	22.99	3 of 3 - Option sale to close-put <sup>25</sup>
07/31/20	3.000	677.97	Various	615.00	...	62.97	Total of 3 transactions
OKTA 07/31/2020 PUT \$220.00 / CUSIP: / Symbol:							
4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	6.000	1,559.95	07/30/20	1,560.00	...	-0.05	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	259.99	07/30/20	260.00	...	-0.01	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	259.99	07/30/20	260.00	...	-0.01	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	259.99	07/30/20	260.00	...	-0.01	4 of 4 - Option sale to close-put <sup>25</sup>
07/30/20	9.000	2,339.92	Various	2,340.00	...	-0.08	Total of 4 transactions
OKTA 08/07/2020 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	7.000	909.96	08/06/20	1,722.00	...	-812.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	129.99	08/06/20	203.00	...	-73.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	8.000	1,039.95	Various	1,925.00	...	-885.05	Total of 2 transactions
OKTA 08/07/2020 PUT \$212.50 / CUSIP: / Symbol:							
5 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	153.99	08/06/20	122.00	...	31.99	1 of 5 - Option sale to close-put <sup>25</sup>
	2.000	307.99	08/06/20	244.00	...	63.99	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	154.00	08/06/20	66.00	...	88.00	3 of 5 - Option sale to close-put <sup>25</sup>
	5.000	769.97	08/06/20	610.00	...	159.97	4 of 5 - Option sale to close-put <sup>25</sup>
	5.000	769.97	08/06/20	320.00	...	449.97	5 of 5 - Option sale to close-put <sup>25</sup>
08/07/20	14.000	2,155.92	Various	1,362.00	...	793.92	Total of 5 transactions

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 08/14/2020 PUT \$202.50 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	265.98	08/13/20	199.00	...	66.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	265.98	08/13/20	199.00	...	66.98	2 of 2 - Option sale to close-put <sup>25</sup>
08/14/20	2.000	531.96	Various	398.00	...	133.96	Total of 2 transactions
OKTA 08/21/2020 CALL \$220.00 / CUSIP: / Symbol:							
7 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	2.000	23.99	08/14/20	54.00	...	-30.01	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	11.99	08/14/20	27.00	...	-15.01	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	11.99	08/14/20	27.00	...	-15.01	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	12.00	08/14/20	27.00	...	-15.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	12.00	08/14/20	27.00	...	-15.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	12.00	08/14/20	27.00	...	-15.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	12.00	08/14/20	27.00	...	-15.00	7 of 7 - Option sale to close-call <sup>25</sup>
08/17/20	8.000	95.97	Various	216.00	...	-120.03	Total of 7 transactions
OKTA 08/28/2020 PUT \$207.50 / CUSIP: / Symbol:							
08/24/20	1.000	704.97	08/21/20	637.00	...	67.97	Option sale to close-put <sup>25</sup>
OKTA 09/04/2020 PUT \$200.00 / CUSIP: / Symbol:							
09/04/20	10.000	1,289.95	09/03/20	770.00	...	519.95	Option sale to close-put <sup>25</sup>
OKTA 09/11/2020 PUT \$195.00 / CUSIP: / Symbol:							
4 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	6.000	251.98	09/10/20	966.00	...	-714.02	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	83.98	09/10/20	322.00	...	-238.02	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	41.98	09/10/20	161.00	...	-119.02	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	41.98	09/10/20	161.00	...	-119.02	4 of 4 - Option sale to close-put <sup>25</sup>
09/11/20	10.000	419.92	Various	1,610.00	...	-1,190.08	Total of 4 transactions
OKTA 09/18/2020 CALL \$195.00 / CUSIP: / Symbol:							
09/15/20	5.000	2,799.92	09/14/20	2,050.00	...	749.92	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 09/18/2020 PUT \$190.00 / CUSIP: / Symbol:							
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	279.98	09/16/20	183.00	...	96.98	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	559.97	09/16/20	366.00	...	193.97	2 of 3 - Option sale to close-put <sup>25</sup>
	7.000	1,959.94	09/16/20	1,281.00	...	678.94	3 of 3 - Option sale to close-put <sup>25</sup>
09/17/20	10.000	2,799.89	Various	1,830.00	...	969.89	Total of 3 transactions
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	21.99	09/17/20	236.00	...	-214.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	10.99	09/17/20	118.00	...	-107.01	2 of 2 - Option sale to close-put <sup>25</sup>
09/18/20	3.000	32.98	Various	354.00	...	-321.02	Total of 2 transactions
	<b>Security total:</b>	<b>2,832.87</b>		<b>2,184.00</b>	...	<b>648.87</b>	
OKTA 09/25/2020 CALL \$200.00 / CUSIP: / Symbol:							
09/21/20	1.000	604.97	09/18/20	370.00	...	234.97	Option sale to close-call <sup>25</sup>
OKTA 09/25/2020 PUT \$200.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	09/24/20	292.00	...	-292.00	1 of 2 - Option expiration- long put
	1.000	1.98	09/24/20	73.00	...	-71.02	2 of 2 - Option sale to close-put <sup>25</sup>
09/25/20	5.000	1.98	Various	365.00	...	-363.02	Total of 2 transactions
OKTA 10/02/2020 CALL \$220.00 / CUSIP: / Symbol:							
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	9.000	1,475.95	09/25/20	3,600.00	...	-2,124.05	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	163.99	09/25/20	400.00	...	-236.01	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	1,639.94	09/28/20	1,890.00	...	-250.06	3 of 3 - Option sale to close-call <sup>25</sup>
09/29/20	20.000	3,279.88	Various	5,890.00	...	-2,610.12	Total of 3 transactions
OKTA 10/02/2020 PUT \$212.50 / CUSIP: / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	3.000	899.97	09/30/20	852.00	...	47.97	1 of 2 - Option sale to close-put <sup>25</sup>
	12.000	3,599.90	09/30/20	3,528.12	...	71.78	2 of 2 - Option sale to close-put <sup>25</sup>
09/30/20	15.000	4,499.87	Various	4,380.12	...	119.75	Total of 2 transactions
09/30/20	12.000	4,259.88	09/29/20	4,380.00	120.12 W	0.00	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 10/02/2020 PUT \$212.50 / CUSIP: / Symbol: (cont'd)							
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	10.000	699.96	09/30/20	2,400.00	...	-1,700.04	1 of 3 - Option sale to close-put <sup>25</sup>
	15.000	1,049.94	10/01/20	1,845.00	...	-795.06	2 of 3 - Option sale to close-put <sup>25</sup>
	4.000	279.99	10/01/20	348.00	...	-68.01	3 of 3 - Option sale to close-put <sup>25</sup>
10/01/20	29.000	2,029.89	Various	4,593.00	...	-2,563.11	Total of 3 transactions
	<b>Security total:</b>	<b>10,789.64</b>		<b>13,353.12</b>	<b>120.12 W</b>	<b>-2,443.36</b>	
OKTA 10/09/2020 CALL \$240.00 / CUSIP: / Symbol:							
3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	219.98	10/07/20	125.00	...	94.98	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	1,099.96	10/07/20	625.00	...	474.96	2 of 3 - Option sale to close-call <sup>25</sup>
	9.000	1,979.94	10/07/20	1,125.00	...	854.94	3 of 3 - Option sale to close-call <sup>25</sup>
10/07/20	15.000	3,299.88	Various	1,875.00	...	1,424.88	Total of 3 transactions
5 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	20.000	979.94	10/08/20	2,720.00	...	-1,740.06	1 of 5 - Option sale to close-call <sup>25</sup>
	4.000	195.98	10/08/20	404.00	...	-208.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	49.00	10/08/20	101.00	...	-52.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	49.00	10/08/20	101.00	...	-52.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	49.00	10/08/20	101.00	...	-52.00	5 of 5 - Option sale to close-call <sup>25</sup>
10/08/20	27.000	1,322.92	Various	3,427.00	...	-2,104.08	Total of 5 transactions
	<b>Security total:</b>	<b>4,622.80</b>		<b>5,302.00</b>	...	<b>-679.20</b>	
OKTA 10/09/2020 PUT \$220.00 / CUSIP: / Symbol:							
6 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	10.000	1,049.95	10/05/20	3,300.00	...	-2,250.05	1 of 6 - Option sale to close-put <sup>25</sup>
	5.000	524.98	10/05/20	1,225.00	...	-700.02	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	105.00	10/05/20	177.00	...	-72.00	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	104.99	10/06/20	96.00	...	8.99	4 of 6 - Option sale to close-put <sup>25</sup>
	1.000	104.99	10/06/20	96.00	...	8.99	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	105.00	10/06/20	96.00	...	9.00	6 of 6 - Option sale to close-put <sup>25</sup>
10/07/20	19.000	1,994.91	Various	4,990.00	...	-2,995.09	Total of 6 transactions
OKTA 10/16/2020 PUT \$227.50 / CUSIP: / Symbol:							
10/13/20	1.000	27.98	10/09/20	210.00	...	-182.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 10/16/2020 PUT \$240.00 / CUSIP: / Symbol:							
3 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	269.99	10/15/20	182.00	...	87.99	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	269.99	10/15/20	182.00	...	87.99	2 of 3 - Option sale to close-put <sup>25</sup>
	8.000	2,159.94	10/15/20	1,456.00	...	703.94	3 of 3 - Option sale to close-put <sup>25</sup>
10/15/20	10.000	2,699.92	Various	1,820.00	...	879.92	Total of 3 transactions
10/15/20	10.000	2,699.92	10/15/20	2,710.00	6.05 W	-4.03	Option sale to close-put <sup>25</sup>
3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	4.000	211.98	10/15/20	500.03	...	-288.05	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	53.00	10/15/20	113.01	...	-60.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	53.00	10/15/20	79.01	...	-26.01	3 of 3 - Option sale to close-put <sup>25</sup>
10/16/20	6.000	317.98	Various	692.05	...	-374.07	Total of 3 transactions
	<b>Security total:</b>	<b>5,717.82</b>		<b>5,222.05</b>	<b>6.05 W</b>	<b>501.82</b>	
OKTA 10/23/2020 PUT \$215.00 / CUSIP: / Symbol:							
10/23/20	5.000	274.98	10/22/20	615.00	...	-340.02	Option sale to close-put <sup>25</sup>
OKTA 10/23/2020 PUT \$225.00 / CUSIP: / Symbol:							
10/22/20	5.000	4,224.89	10/21/20	880.00	...	3,344.89	Option sale to close-put <sup>25</sup>
OKTA 10/30/2020 CALL \$220.00 / CUSIP: / Symbol:							
11 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	324.98	10/28/20	262.00	...	62.98	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	324.98	10/28/20	262.00	...	62.98	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	324.98	10/28/20	262.00	...	62.98	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	324.98	10/28/20	262.00	...	62.98	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	324.98	10/28/20	262.00	...	62.98	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	324.98	10/28/20	262.00	...	62.98	6 of 11 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OKTA 10/30/2020 CALL \$220.00 / CUSIP: / Symbol: (cont'd)							
	1.000	324.98	10/28/20	262.00	...	62.98	7 of 11 - Option sale to close-call <sup>25</sup>
	2.000	649.97	10/28/20	524.00	...	125.97	8 of 11 - Option sale to close-call <sup>25</sup>
	2.000	649.97	10/28/20	524.00	...	125.97	9 of 11 - Option sale to close-call <sup>25</sup>
	4.000	1,299.96	10/28/20	1,048.00	...	251.96	10 of 11 - Option sale to close-call <sup>25</sup>
	5.000	1,624.95	10/28/20	1,310.00	...	314.95	11 of 11 - Option sale to close-call <sup>25</sup>
10/28/20	20.000	6,499.71	Various	5,240.00	...	1,259.71	Total of 11 transactions
	5 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	12.000	2,291.93	10/29/20	4,200.00	...	-1,908.07	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	190.99	10/29/20	565.03	...	-374.04	2 of 5 - Option sale to close-call <sup>25</sup>
	5.000	954.97	10/29/20	1,235.00	...	-280.03	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	190.99	10/29/20	406.01	...	-215.02	4 of 5 - Option sale to close-call <sup>25</sup>
	7.000	1,336.96	10/29/20	1,505.00	...	-168.04	5 of 5 - Option sale to close-call <sup>25</sup>
10/29/20	26.000	4,965.84	Various	7,911.04	...	-2,945.20	Total of 5 transactions
	3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	1.000	190.98	10/29/20	350.00	159.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	190.99	10/29/20	509.02	318.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	190.99	10/29/20	350.00	159.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/29/20	3.000	572.96	Various	1,209.02	636.06 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>12,038.51</b>		<b>14,360.06</b>	<b>636.06 W</b>	<b>-1,685.49</b>	
OKTA 10/30/2020 PUT \$217.50 / CUSIP: / Symbol:							
10/28/20	20.000	6,399.81	10/28/20	5,480.00	...	919.81	Option sale to close-put <sup>25</sup>
OKTA 11/06/2020 PUT \$195.00 / CUSIP: / Symbol:							
	3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	10.000	0.00	11/02/20	3,350.00	...	-3,350.00	1 of 3 - Option expiration- long put
	2.000	0.00	11/03/20	450.00	...	-450.00	2 of 3 - Option expiration- long put
	2.000	0.00	11/03/20	212.00	...	-212.00	3 of 3 - Option expiration- long put
11/06/20	14.000	0.00	Various	4,012.00	...	-4,012.00	Total of 3 transactions

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 11/13/2020 CALL \$222.50 / CUSIP: / Symbol:							
4 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	224.98	11/12/20	216.00	...	8.98	1 of 4 - Option sale to close-call <sup>25</sup>
	12.000	2,699.92	11/12/20	2,592.00	...	107.92	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	539.97	11/12/20	432.00	...	107.97	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,124.96	11/12/20	900.00	...	224.96	4 of 4 - Option sale to close-call <sup>25</sup>
11/12/20	20.000	4,589.83	Various	4,140.00	...	449.83	Total of 4 transactions
OKTA 11/13/2020 PUT \$200.00 / CUSIP: / Symbol:							
11/11/20	5.000	59.98	11/10/20	495.00	...	-435.02	Option sale to close-put <sup>25</sup>
OKTA 11/13/2020 PUT \$212.50 / CUSIP: / Symbol:							
11/10/20	10.000	4,699.87	11/10/20	4,050.00	...	649.87	Option sale to close-put <sup>25</sup>
OKTA 11/20/2020 CALL \$227.50 / CUSIP: / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	10.000	1,499.95	11/17/20	3,500.00	...	-2,000.05	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	1,499.94	11/18/20	1,480.00	...	19.94	2 of 2 - Option sale to close-call <sup>25</sup>
11/19/20	20.000	2,999.89	Various	4,980.00	...	-1,980.11	Total of 2 transactions
OKTA 11/20/2020 PUT \$225.00 / CUSIP: / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	12.000	599.95	11/19/20	2,832.00	...	-2,232.05	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	50.00	11/19/20	174.00	...	-124.00	2 of 2 - Option sale to close-put <sup>25</sup>
11/19/20	13.000	649.95	Various	3,006.00	...	-2,356.05	Total of 2 transactions
OKTA 11/27/2020 CALL \$235.00 / CUSIP: / Symbol:							
11/24/20	10.000	1,449.94	11/23/20	1,020.00	...	429.94	Option sale to close-call <sup>25</sup>
11/25/20	20.000	3,479.88	11/24/20	1,380.00	...	2,099.88	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,929.82</b>		<b>2,400.00</b>	...	<b>2,529.82</b>	
OKTA 11/27/2020 PUT \$222.50 / CUSIP: / Symbol:							
11/20/20	10.000	1,419.94	11/19/20	2,000.00	...	-580.06	Option sale to close-put <sup>25</sup>
OKTA 12/11/2020 CALL \$242.50 / CUSIP: / Symbol:							
12/10/20	4.000	659.97	12/09/20	320.00	...	339.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 12/18/2020 CALL \$260.00 / CUSIP: / Symbol:							
7 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	424.98	12/15/20	235.00	...	189.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	454.97	12/15/20	235.00	...	219.97	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	454.97	12/15/20	235.00	...	219.97	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	499.97	12/15/20	235.00	...	264.97	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	499.97	12/15/20	235.00	...	264.97	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	969.96	12/15/20	470.00	...	499.96	6 of 7 - Option sale to close-call <sup>25</sup>
	3.000	1,274.96	12/15/20	705.00	...	569.96	7 of 7 - Option sale to close-call <sup>25</sup>
12/16/20	10.000	4,579.78	Various	2,350.00	...	2,229.78	Total of 7 transactions
OKTA 12/18/2020 PUT \$237.50 / CUSIP: / Symbol:							
12/11/20	2.000	599.97	12/11/20	660.00	...	-60.03	Option sale to close-put <sup>25</sup>
OKTA 12/24/2020 PUT \$270.00 / CUSIP: / Symbol:							
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	2.000	107.99	12/23/20	122.01	...	-14.02	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	107.99	12/23/20	122.01	...	-14.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	54.00	12/23/20	62.00	...	-8.00	3 of 3 - Option sale to close-put <sup>25</sup>
12/23/20	5.000	269.98	Various	306.02	...	-36.04	Total of 3 transactions
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	3.000	161.99	12/23/20	252.00	90.01 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	107.99	12/23/20	168.00	60.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
12/23/20	5.000	269.98	Various	420.00	150.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>539.96</b>		<b>726.02</b>	<b>150.02 W</b>	<b>-36.04</b>	
OKTA 12/31/2020 CALL \$280.00 / CUSIP: / Symbol:							
12/24/20	10.000	4,099.88	12/23/20	3,000.00	...	1,099.88	Option sale to close-call <sup>25</sup>
6 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	12/28/20	2,580.00	...	-2,580.00	1 of 6 - Option expiration- long call
	9.000	0.00	12/28/20	630.00	...	-630.00	2 of 6 - Option expiration- long call
	1.000	0.00	12/28/20	70.00	...	-70.00	3 of 6 - Option expiration- long call
	10.000	0.00	12/29/20	480.00	...	-480.00	4 of 6 - Option expiration- long call
	10.000	0.00	12/29/20	270.00	...	-270.00	5 of 6 - Option expiration- long call

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Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 12/31/2020 CALL \$280.00 / CUSIP: / Symbol: (cont'd)							
	10.000	0.00	12/29/20	110.00	...	-110.00	6 of 6 - Option expiration- long call
12/31/20	50.000	0.00	Various	4,140.00	...	-4,140.00	Total of 6 transactions
	<b>Security total:</b>	<b>4,099.88</b>		<b>7,140.00</b>	...	<b>-3,040.12</b>	
OMI 04/17/2020 PUT \$5.00 / CUSIP: / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	2.000	29.99	03/31/20	28.00	...	1.99	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	29.99	03/31/20	28.00	...	1.99	2 of 2 - Option sale to close-put <sup>25</sup>
04/01/20	4.000	59.98	Various	56.00	...	3.98	Total of 2 transactions
OMI 04/17/2020 PUT \$7.50 / CUSIP: / Symbol:							
2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	1.000	64.99	03/30/20	161.02	...	-96.03	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	129.99	03/30/20	220.00	...	-90.01	2 of 2 - Option sale to close-put <sup>25</sup>
03/31/20	3.000	194.98	Various	381.02	...	-186.04	Total of 2 transactions
03/31/20	1.000	64.98	03/30/20	113.00	48.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>259.96</b>		<b>494.02</b>	<b>48.02 W</b>	<b>-186.04</b>	
PFE 03/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	3.000	317.99	11/30/20	240.00	...	77.99	1 of 2 - Option sale to close-call <sup>25</sup>
	22.000	2,331.90	11/30/20	1,826.00	...	505.90	2 of 2 - Option sale to close-call <sup>25</sup>
12/15/20	25.000	2,649.89	Various	2,066.00	...	583.89	Total of 2 transactions
PFE 12/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	2.000	207.98	12/08/20	236.00	...	-28.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	103.98	12/08/20	118.00	...	-14.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/20	3.000	311.96	Various	354.00	...	-42.04	Total of 2 transactions

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 12/24/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	4.000	387.98	12/01/20	300.00	...	87.98	1 of 2 - Option sale to close-call <sup>25</sup>
	6.000	581.97	12/01/20	450.00	...	131.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/02/20	10.000	969.95	Various	750.00	...	219.95	Total of 2 transactions
SNAP 02/21/2020 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 02/03/20. Total proceeds and cost reported to the IRS.							
	1.000	117.98	01/31/20	98.00	...	19.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	117.98	01/31/20	98.00	...	19.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/03/20	2.000	235.96	Various	196.00	...	39.96	Total of 2 transactions
TSLA 01/15/2021 CALL \$500.00 / CUSIP: / Symbol:							
09/21/20	1.000	7,279.82	09/18/20	8,605.00	...	-1,325.18	Option sale to close-call <sup>25</sup>
TSLA 02/14/2020 CALL \$790.00 / CUSIP: / Symbol:							
02/11/20	1.000	2,199.94	02/10/20	3,020.00	...	-820.06	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,600.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	1,719.96	08/12/20	1,615.00	...	104.96	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	8,599.80	08/12/20	8,075.00	...	524.80	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	6.000	10,319.76	Various	9,690.00	...	629.76	Total of 2 transactions
TSLA 08/14/2020 PUT \$1,630.00 / CUSIP: / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	2,844.93	08/13/20	2,240.00	...	604.93	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	8,534.80	08/13/20	7,680.00	...	854.80	2 of 2 - Option sale to close-put <sup>25</sup>
08/13/20	4.000	11,379.73	Various	9,920.00	...	1,459.73	Total of 2 transactions
TSLA 08/21/2020 CALL \$1,900.00 / CUSIP: / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	6,099.86	08/17/20	4,050.00	...	2,049.86	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6,099.86	08/17/20	4,050.00	...	2,049.86	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/20	2.000	12,199.72	Various	8,100.00	...	4,099.72	Total of 2 transactions

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 08/21/2020 PUT \$1,900.00 / CUSIP: / Symbol:							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	4,324.89	08/19/20	4,070.00	...	254.89	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	4,324.90	08/19/20	4,070.00	...	254.90	2 of 2 - Option sale to close-put <sup>25</sup>
08/19/20	2.000	8,649.79	Various	8,140.00	...	509.79	Total of 2 transactions
TSLA 08/28/2020 CALL \$2,750.00 / CUSIP: / Symbol:							
08/25/20	1.000	220.98	08/24/20	710.00	...	-489.02	Option sale to close-call <sup>25</sup>
TSLA 09/11/2020 CALL \$380.00 / CUSIP: / Symbol:							
09/10/20	5.000	6,449.84	09/09/20	4,000.00	...	2,449.84	Option sale to close-call <sup>25</sup>
TSLA 09/18/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/14/20	1.000	984.96	09/11/20	890.00	...	94.96	Option sale to close-call <sup>25</sup>
TSLA 09/18/2020 CALL \$416.00 / CUSIP: / Symbol:							
4 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	1,809.94	09/14/20	1,615.00	...	194.94	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,809.94	09/14/20	1,615.00	...	194.94	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,809.95	09/14/20	1,615.00	...	194.95	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	3,619.91	09/14/20	3,230.00	...	389.91	4 of 4 - Option sale to close-call <sup>25</sup>
09/14/20	5.000	9,049.74	Various	8,075.00	...	974.74	Total of 4 transactions
TSLA 09/25/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/22/20	2.000	4,009.90	09/21/20	6,840.00	...	-2,830.10	Option sale to close-call <sup>25</sup>
WMT 09/04/2020 CALL \$150.00 / CUSIP: / Symbol:							
22 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	3.000	899.97	09/01/20	648.00	...	251.97	1 of 22 - Option sale to close-call <sup>25</sup>
	3.000	899.97	09/01/20	648.00	...	251.97	2 of 22 - Option sale to close-call <sup>25</sup>
	3.000	899.97	09/01/20	648.00	...	251.97	3 of 22 - Option sale to close-call <sup>25</sup>
	3.000	899.97	09/01/20	648.00	...	251.97	4 of 22 - Option sale to close-call <sup>25</sup>

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Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WMT 09/04/2020 CALL \$150.00 / CUSIP: / Symbol: (cont'd)							
	3.000	899.97	09/01/20	648.00	...	251.97	5 of 22 - Option sale to close-call <sub>25</sub>
	3.000	899.97	09/01/20	648.00	...	251.97	6 of 22 - Option sale to close-call <sub>25</sub>
	3.000	899.97	09/01/20	648.00	...	251.97	7 of 22 - Option sale to close-call <sub>25</sub>
	3.000	899.98	09/01/20	648.00	...	251.98	8 of 22 - Option sale to close-call <sub>25</sub>
	3.000	899.98	09/01/20	648.00	...	251.98	9 of 22 - Option sale to close-call <sub>25</sub>
	3.000	899.98	09/01/20	648.00	...	251.98	10 of 22 - Option sale to close-call <sub>25</sub>
	10.000	2,999.92	09/01/20	1,940.00	...	1,059.92	11 of 22 - Option sale to close-call <sub>25</sub>
	3.000	482.98	09/02/20	1,062.07	...	-579.09	12 of 22 - Option sale to close-call <sub>25</sub>
	2.000	321.99	09/02/20	850.05	...	-528.06	13 of 22 - Option sale to close-call <sub>25</sub>
	2.000	321.99	09/02/20	708.04	...	-386.05	14 of 22 - Option sale to close-call <sub>25</sub>
	1.000	160.99	09/02/20	354.03	...	-193.04	15 of 22 - Option sale to close-call <sub>25</sub>
	1.000	159.98	09/02/20	343.02	...	-183.04	16 of 22 - Option sale to close-call <sub>25</sub>
	1.000	160.99	09/02/20	343.01	...	-182.02	17 of 22 - Option sale to close-call <sub>25</sub>
	1.000	161.00	09/02/20	272.03	...	-111.03	18 of 22 - Option sale to close-call <sub>25</sub>
	1.000	160.99	09/02/20	251.01	...	-90.02	19 of 22 - Option sale to close-call <sub>25</sub>
	3.000	479.98	09/02/20	534.02	...	-54.04	20 of 22 - Option sale to close-call <sub>25</sub>
	1.000	159.98	09/02/20	178.00	...	-18.02	21 of 22 - Option sale to close-call <sub>25</sub>

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Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 09/04/2020 CALL \$150.00 / CUSIP: / Symbol: (cont'd)							
	14.000	2,253.93	09/02/20	1,624.00	...	629.93	22 of 22 - Option sale to close-call <sub>25</sub>
09/02/20	70.000	16,824.45	Various	14,939.28	...	1,885.17	Total of 22 transactions
	17 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	8.000	1,111.95	09/02/20	1,768.00	656.05 W	0.00	1 of 17 - Option sale to close-call <sub>25</sub>
	2.000	277.99	09/02/20	442.00	164.01 W	0.00	2 of 17 - Option sale to close-call <sub>25</sub>
	8.000	1,111.96	09/02/20	2,424.05	1,312.09 W	0.00	3 of 17 - Option sale to close-call <sub>25</sub>
	2.000	277.99	09/02/20	442.00	164.01 W	0.00	4 of 17 - Option sale to close-call <sub>25</sub>
	2.000	277.99	09/02/20	608.01	330.02 W	0.00	5 of 17 - Option sale to close-call <sub>25</sub>
	5.000	694.97	09/02/20	1,930.06	1,235.09 W	0.00	6 of 17 - Option sale to close-call <sub>25</sub>
	1.000	138.99	09/02/20	304.01	165.02 W	0.00	7 of 17 - Option sale to close-call <sub>25</sub>
	3.000	416.98	09/02/20	1,158.03	741.05 W	0.00	8 of 17 - Option sale to close-call <sub>25</sub>
	1.000	159.99	09/02/20	304.00	144.01 W	0.00	9 of 17 - Option sale to close-call <sub>25</sub>
	4.000	639.98	09/02/20	888.00	248.02 W	0.00	10 of 17 - Option sale to close-call <sub>25</sub>
	2.000	319.99	09/02/20	774.02	454.03 W	0.00	11 of 17 - Option sale to close-call <sub>25</sub>
	2.000	319.99	09/02/20	938.04	618.05 W	0.00	12 of 17 - Option sale to close-call <sub>25</sub>
	2.000	319.99	09/02/20	796.03	476.04 W	0.00	13 of 17 - Option sale to close-call <sub>25</sub>
	1.000	159.99	09/02/20	398.02	238.03 W	0.00	14 of 17 - Option sale to close-call <sub>25</sub>
	1.000	159.99	09/02/20	316.02	156.03 W	0.00	15 of 17 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 09/04/2020 CALL \$150.00 / CUSIP: / Symbol: (cont'd)							
	1.000	160.00	09/02/20	295.01	135.01 W	0.00	16 of 17 - Option sale to close-call <sup>25</sup>
	3.000	479.98	09/02/20	1,194.05	714.07 W	0.00	17 of 17 - Option sale to close-call <sup>25</sup>
09/02/20	48.000	7,028.72	Various	14,979.35	7,950.63 W	0.00	Total of 17 transactions
	<b>Security total:</b>	<b>23,853.17</b>		<b>29,918.63</b>	<b>7,950.63 W</b>	<b>1,885.17</b>	
WMT 09/04/2020 PUT \$142.00 / CUSIP: / Symbol:							
15 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	22.000	1,693.92	09/03/20	2,728.09	...	-1,034.17	1 of 15 - Option sale to close-put <sup>25</sup>
	11.000	846.96	09/03/20	1,738.08	...	-891.12	2 of 15 - Option sale to close-put <sup>25</sup>
	9.000	692.97	09/03/20	918.03	...	-225.06	3 of 15 - Option sale to close-put <sup>25</sup>
	10.000	769.96	09/03/20	950.08	...	-180.12	4 of 15 - Option sale to close-put <sup>25</sup>
	3.000	230.99	09/03/20	285.02	...	-54.03	5 of 15 - Option sale to close-put <sup>25</sup>
	1.000	77.00	09/03/20	115.02	...	-38.02	6 of 15 - Option sale to close-put <sup>25</sup>
	1.000	77.00	09/03/20	115.00	...	-38.00	7 of 15 - Option sale to close-put <sup>25</sup>
	2.000	153.99	09/03/20	190.02	...	-36.03	8 of 15 - Option sale to close-put <sup>25</sup>
	7.000	538.97	09/03/20	532.03	...	6.94	9 of 15 - Option sale to close-put <sup>25</sup>
	3.000	227.99	09/03/20	183.00	...	44.99	10 of 15 - Option sale to close-put <sup>25</sup>
	4.000	303.98	09/03/20	244.00	...	59.98	11 of 15 - Option sale to close-put <sup>25</sup>
	4.000	303.99	09/03/20	244.00	...	59.99	12 of 15 - Option sale to close-put <sup>25</sup>
	32.000	2,463.87	09/03/20	2,176.00	...	287.87	13 of 15 - Option sale to close-put <sup>25</sup>
	21.000	1,595.92	09/03/20	1,281.00	...	314.92	14 of 15 - Option sale to close-put <sup>25</sup>
	15.000	1,154.94	09/03/20	720.00	...	434.94	15 of 15 - Option sale to close-put <sup>25</sup>
09/03/20	145.000	11,132.45	Various	12,419.37	...	-1,286.92	Total of 15 transactions
9 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	11.000	835.96	09/03/20	1,452.00	616.04 W	0.00	1 of 9 - Option sale to close-put <sup>25</sup>
	39.000	2,963.85	09/03/20	5,148.00	2,184.15 W	0.00	2 of 9 - Option sale to close-put <sup>25</sup>

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Account 438746976

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 09/04/2020 PUT \$142.00 / CUSIP: / Symbol: (cont'd)							
	11.000	835.96	09/03/20	1,826.04	990.08 W	0.00	3 of 9 - Option sale to close-put <sup>25</sup>
	9.000	683.97	09/03/20	990.00	306.03 W	0.00	4 of 9 - Option sale to close-put <sup>25</sup>
	7.000	531.97	09/03/20	588.00	56.03 W	0.00	5 of 9 - Option sale to close-put <sup>25</sup>
	1.000	76.98	09/03/20	124.00	47.02 W	0.00	6 of 9 - Option sale to close-put <sup>25</sup>
	1.000	77.00	09/03/20	124.00	47.00 W	0.00	7 of 9 - Option sale to close-put <sup>25</sup>
	10.000	769.96	09/03/20	1,240.04	470.08 W	0.00	8 of 9 - Option sale to close-put <sup>25</sup>
	5.000	384.98	09/03/20	620.02	235.04 W	0.00	9 of 9 - Option sale to close-put <sup>25</sup>
09/03/20	94.000	7,160.63	Various	12,112.10	4,951.47 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>18,293.08</b>		<b>24,531.47</b>	<b>4,951.47 W</b>	<b>-1,286.92</b>	
WORK 02/21/2020 CALL \$28.50 / CUSIP: / Symbol:							
02/18/20	38.000	1,785.88	02/18/20	2,090.00	...	-304.12	Option sale to close-call <sup>25</sup>
WORK 02/21/2020 PUT \$27.50 / CUSIP: / Symbol:							
5 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	23.000	1,034.92	02/18/20	1,265.00	...	-230.08	1 of 5 - Option sale to close-put <sup>25</sup>
	4.000	179.99	02/18/20	220.00	...	-40.01	2 of 5 - Option sale to close-put <sup>25</sup>
	3.000	134.99	02/18/20	165.00	...	-30.01	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	45.00	02/18/20	55.00	...	-10.00	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	45.00	02/18/20	55.00	...	-10.00	5 of 5 - Option sale to close-put <sup>25</sup>
02/19/20	32.000	1,439.90	Various	1,760.00	...	-320.10	Total of 5 transactions
ZM 01/15/2021 CALL \$520.00 / CUSIP: / Symbol:							
10/30/20	1.000	5,349.87	10/29/20	5,360.00	...	-10.13	Option sale to close-call <sup>25</sup>
ZM 12/04/2020 PUT \$400.00 / CUSIP: / Symbol:							
12/01/20	1.000	699.97	12/01/20	630.00	...	69.97	Option sale to close-put <sup>25</sup>
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
6 transactions for 03/02/20. Total proceeds and cost reported to the IRS.							
	973.000	432.97	02/28/20	681.10	248.13 W	0.00	1 of 6 - Sale <sup>25</sup>
	400.000	178.00	02/28/20	280.00	102.00 W	0.00	2 of 6 - Sale <sup>25</sup>
	600.000	266.99	02/28/20	420.00	153.01 W	0.00	3 of 6 - Sale <sup>25</sup>
	1,000.000	444.99	02/28/20	700.00	255.01 W	0.00	4 of 6 - Sale <sup>25</sup>
	27.000	12.01	02/28/20	19.17	7.16 W	0.00	5 of 6 - Sale <sup>25</sup>

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Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
	800.000	355.99	02/28/20	479.04	123.05 W	0.00	6 of 6 - Sale <sup>25</sup>
03/02/20	3,800.000	1,690.95	Various	2,579.31	888.36 W	0.00	Total of 6 transactions
	15 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	1,000.000	372.67	03/10/20	701.31	...	-328.64	1 of 15 - Sale <sup>25</sup>
	600.000	223.60	03/10/20	420.79	...	-197.19	2 of 15 - Sale <sup>25</sup>
	508.000	189.32	03/10/20	304.86	...	-115.54	3 of 15 - Sale <sup>25</sup>
	259.000	96.52	03/10/20	181.64	...	-85.12	4 of 15 - Sale <sup>25</sup>
	200.000	74.54	03/10/20	151.89	...	-77.35	5 of 15 - Sale <sup>25</sup>
	206.000	76.82	03/10/20	144.47	...	-67.65	6 of 15 - Sale <sup>25</sup>
	292.000	108.82	03/10/20	175.23	...	-66.41	7 of 15 - Sale <sup>25</sup>
	200.000	74.56	03/10/20	140.25	...	-65.69	8 of 15 - Sale <sup>25</sup>
	100.000	37.27	03/10/20	70.13	...	-32.86	9 of 15 - Sale <sup>25</sup>
	59.000	22.00	03/10/20	41.38	...	-19.38	10 of 15 - Sale <sup>25</sup>
	50.000	18.63	03/10/20	36.21	...	-17.58	11 of 15 - Sale <sup>25</sup>
	41.000	15.28	03/10/20	28.75	...	-13.47	12 of 15 - Sale <sup>25</sup>
	27.000	10.06	03/10/20	19.21	...	-9.15	13 of 15 - Sale <sup>25</sup>
	7.000	2.61	03/10/20	5.32	...	-2.71	14 of 15 - Sale <sup>25</sup>
	1.000	0.37	03/10/20	0.71	...	-0.34	15 of 15 - Sale <sup>25</sup>
03/10/20	3,550.000	1,323.07	Various	2,422.15	...	-1,099.08	Total of 15 transactions
	2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	500.000	186.44	03/10/20	343.01	78.29 W	-78.28	1 of 2 - Sale <sup>25</sup>
	7.000	2.61	03/10/20	4.81	2.20 W	0.00	2 of 2 - Sale <sup>25</sup>
03/10/20	507.000	189.05	Various	347.82	80.49 W	-78.28	Total of 2 transactions
	<b>Security total:</b>	<b>3,203.07</b>		<b>5,349.28</b>	<b>968.85 W</b>	<b>-1,177.36</b>	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
02/28/20	258.000	2,614.04	02/27/20	2,685.78	...	-71.74	Sale <sup>25</sup>
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	64.00	04/15/20	40.00	...	24.00	1 of 2 - Sale <sup>25</sup>
	1.000	64.00	04/15/20	39.96	...	24.04	2 of 2 - Sale <sup>25</sup>
04/16/20	2.000	128.00	Various	79.96	...	48.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 438746976

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
<i>7 transactions for 05/08/20. Total proceeds and cost reported to the IRS.</i>							
	753.000	454.50	05/07/20	721.52	...	-267.02	1 of 7 - Sale <sup>25</sup>
	400.000	241.83	05/07/20	383.28	...	-141.45	2 of 7 - Sale <sup>25</sup>
	347.000	208.92	05/07/20	332.50	...	-123.58	3 of 7 - Sale <sup>25</sup>
	100.000	60.46	05/07/20	95.82	...	-35.36	4 of 7 - Sale <sup>25</sup>
	100.000	60.46	05/07/20	95.82	...	-35.36	5 of 7 - Sale <sup>25</sup>
	40.000	24.09	05/07/20	51.88	...	-27.79	6 of 7 - Sale <sup>25</sup>
	40.000	24.08	05/07/20	51.67	...	-27.59	7 of 7 - Sale <sup>25</sup>
05/08/20	1,780.000	1,074.34	Various	1,732.49	...	-658.15	Total of 7 transactions
05/08/20	200.000	121.29	05/07/20	191.64	28.14 W	-42.21	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,195.63</b>		<b>1,924.13</b>	<b>28.14 W</b>	<b>-700.36</b>	
<b>Totals :</b>		<b>602,487.86</b>		<b>656,990.88</b>	<b>38,597.72 W</b>	<b>-15,905.30</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 438746976

## Detail for Interest Income

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/26/20	0.09	Interest	
		03/31/20	0.10	Interest	
		04/21/20	0.13	Interest	
		04/30/20	0.06	Interest	
		05/06/20	0.02	Interest	
		05/13/20	0.16	Interest	
		05/29/20	0.18	Interest	
		06/24/20	0.07	Interest	
		06/30/20	0.15	Interest	
		07/24/20	0.07	Interest	
		07/31/20	0.09	Interest	
		08/31/20	1.44	Interest	
		09/21/20	0.32	Interest	
		09/28/20	0.89	Interest	
		09/30/20	0.11	Interest	
		10/30/20	0.84	Interest	
		11/30/20	0.41	Interest	
		12/31/20	0.44	Interest	
			5.57	Total Interest	
			<b>5.57</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 438746976

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/10/20	-5.00	Margin interest paid	
		05/21/20	-5.00	Margin interest paid	
		06/22/20	-5.00	Margin interest paid	
		06/24/20	-5.00	Margin interest paid	
		07/24/20	-5.00	Margin interest paid	
		08/24/20	-5.00	Margin interest paid	
		09/22/20	-5.00	Margin interest paid	
		10/22/20	-5.00	Margin interest paid	
		11/23/20	-5.00	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
			-50.00	Total Margin interest paid	
			<b>-50.00</b>	<b>Total Margin interest paid</b>	



25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Instructions for Recipient**

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S.

Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient.

Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Instructions for Recipient**

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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