

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 814533899	Statement Date: 02/14/2021 Document ID: 9V5F W97 5988	2020
	Bosebabu Vanarasa 820 Newark Ave Apt-3L Jersey City, NJ 07306 RECIPIENT'S TIN: XXX-XX-5319		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	105.87		2- Royalties	0.00	
1b- Qualified dividends	69.31		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.99		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.10		8- Substitute payments in lieu of dividends or interest	5.57	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.16		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	34.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail	0.39				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	384,552.26	390,578.46	0.00	7,993.19	1,966.99
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	384,552.26	390,578.46	0.00	7,993.19	1,966.99
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	384,552.26	390,578.46	0.00	7,993.19	1,966.99

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	227.62
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OXY 01/21/2022 CALL \$15.00 / CUSIP: / Symbol: 09/15/20	1.000	180.98	09/14/20	156.00	...	24.98	Option sale to close-call ²⁵
RTX 01/21/2022 CALL \$55.00 / CUSIP: / Symbol: 10/16/20	1.000	1,184.96	10/09/20	1,155.00	...	29.96	Option sale to close-call ²⁵
RTX 06/18/2021 CALL \$75.00 / CUSIP: / Symbol: 08/10/20	5.000	2,199.94	07/24/20	2,075.00	...	124.94	Option sale to close-call ²⁵
SPG 01/21/2022 CALL \$50.00 / CUSIP: / Symbol: 11/09/20	1.000	3,224.91	10/09/20	2,415.00	...	809.91	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XOM 01/21/2022 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	569.97	09/15/20	555.00	...	14.97	1 of 2 - Option sale to close-call ²⁵
	1.000	569.97	09/15/20	535.00	...	34.97	2 of 2 - Option sale to close-call ²⁵
09/16/20	2.000	1,139.94	Various	1,090.00	...	49.94	Total of 2 transactions
XOM 06/18/2021 CALL \$37.50 / CUSIP: / Symbol:							
08/28/20	3.000	1,754.95	08/27/20	1,650.00	...	104.95	Option sale to close-call ²⁵
AMERICAN EXPRESS COMPANY / CUSIP: 025816109 / Symbol:							
08/05/20	40.000	3,794.15	08/04/20	3,731.60	...	62.55	Sale ²⁵
ANTERO MIDSTREAM CORPORATION C OMMON STOCK / CUSIP: 03676B102 / Symbol:							
06/29/20	1.000	5.04	06/11/20	4.87	...	0.17	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
07/29/20	0.407	154.08	07/24/20	150.00	...	4.08	Sale ²⁵
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	3.000	350.52	09/08/20	342.10	...	8.42	1 of 2 - Sale ²⁵
	20.000	2,336.79	09/08/20	2,290.93	...	45.86	2 of 2 - Sale ²⁵
09/09/20	23.000	2,687.31	Various	2,633.03	...	54.28	Total of 2 transactions
5 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	0.571	61.18	09/18/20	62.06	...	-0.88	1 of 5 - Sale ²⁵
	1.429	153.25	09/18/20	153.79	...	-0.54	2 of 5 - Sale ²⁵
	0.001	0.13	09/21/20	0.13	...	0.00	3 of 5 - Sale ²⁵
	0.569	61.05	09/21/20	59.79	...	1.26	4 of 5 - Sale ²⁵
	8.000	857.75	09/21/20	840.08	...	17.67	5 of 5 - Sale ²⁵
09/21/20	10.571	1,133.36	Various	1,115.85	...	17.51	Total of 5 transactions
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	5.429	582.13	09/18/20	588.33	5.70 W	-0.50	1 of 2 - Sale ²⁵
	0.571	61.17	09/18/20	61.83	0.66 W	0.00	2 of 2 - Sale ²⁵
09/21/20	6.000	643.30	Various	650.16	6.36 W	-0.50	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	0.141	17.29	10/12/20	17.16	...	0.13	1 of 2 - Sale ²⁵
	4.000	491.18	10/12/20	487.57	...	3.61	2 of 2 - Sale ²⁵
10/13/20	4.141	508.47	Various	504.73	...	3.74	Total of 2 transactions
10/21/20	0.850	100.72	10/20/20	100.97	0.25 W	0.00	Sale ²⁵
6 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	7.813	899.43	10/22/20	901.40	...	-1.97	1 of 6 - Sale ²⁵
	0.782	90.00	10/22/20	90.43	...	-0.43	2 of 6 - Sale ²⁵
	0.004	0.48	10/22/20	0.48	...	0.00	3 of 6 - Sale ²⁵
	42.974	4,947.24	10/23/20	4,950.81	...	-3.57	4 of 6 - Sale ²⁵
	0.064	7.42	10/23/20	7.46	...	-0.04	5 of 6 - Sale ²⁵
	0.363	41.77	10/23/20	41.77	...	0.00	6 of 6 - Sale ²⁵
10/26/20	52.000	5,986.34	Various	5,992.35	...	-6.01	Total of 6 transactions
10/26/20	0.069	7.90	10/22/20	7.94	0.04 W	0.00	Sale ²⁵
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.005	0.69	12/22/20	0.67	...	0.02	1 of 4 - Sale ²⁵
	0.005	0.72	12/22/20	0.70	...	0.02	2 of 4 - Sale ²⁵
	0.263	35.62	12/22/20	34.73	...	0.89	3 of 4 - Sale ²⁵
	1.995	270.69	12/22/20	263.90	...	6.79	4 of 4 - Sale ²⁵
12/28/20	2.268	307.72	Various	300.00	...	7.72	Total of 4 transactions
	Security total:	11,529.20		11,455.03	6.65 W	80.82	
ARAMARK / CUSIP: 03852U106 / Symbol:							
7 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	49.02	06/11/20	49.40	...	-0.38	1 of 7 - Sale ²⁵
	3.000	73.53	06/11/20	73.47	...	0.06	2 of 7 - Sale ²⁵
	100.000	2,450.94	06/17/20	2,481.87	...	-30.93	3 of 7 - Sale ²⁵
	2.000	49.02	06/22/20	46.47	...	2.55	4 of 7 - Sale ²⁵
	10.000	245.09	06/24/20	224.47	...	20.62	5 of 7 - Sale ²⁵
	10.000	245.09	06/24/20	217.60	...	27.49	6 of 7 - Sale ²⁵
	30.000	735.29	08/04/20	629.32	...	105.97	7 of 7 - Sale ²⁵
08/10/20	157.000	3,847.98	Various	3,722.60	...	125.38	Total of 7 transactions

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Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BERKSHIRE HATHAWAY INC. / CUSIP: 084670108 / Symbol:							
09/14/20	0.002	500.38	09/02/20	499.92	...	0.46	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
07/15/20	9.000	1,693.10	07/13/20	1,597.23	...	95.87	Sale ²⁵
	<i>2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>						
	9.000	1,563.99	07/30/20	1,459.11	...	104.88	1 of 2 - Sale ²⁵
	10.000	1,737.77	07/30/20	1,619.81	...	117.96	2 of 2 - Sale ²⁵
08/05/20	19.000	3,301.76	Various	3,078.92	...	222.84	Total of 2 transactions
	<i>2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	173.78	07/13/20	177.47	3.69 W	0.00	1 of 2 - Sale ²⁵
	25.000	4,344.43	07/16/20	4,519.50	175.07 W	0.00	2 of 2 - Sale ²⁵
08/05/20	26.000	4,518.21	Various	4,696.97	178.76 W	0.00	Total of 2 transactions
	<i>2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	188.37	07/30/20	165.81	...	22.56	1 of 2 - Sale ²⁵
	5.000	941.83	08/11/20	923.30	...	18.53	2 of 2 - Sale ²⁵
08/11/20	6.000	1,130.20	Various	1,089.11	...	41.09	Total of 2 transactions
08/11/20	25.000	4,709.17	08/11/20	4,791.57	19.78 W	-62.62	Sale ²⁵
	<i>2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	855.22	08/18/20	865.63	...	-10.41	1 of 2 - Sale ²⁵
	1.000	171.05	08/18/20	174.27	...	-3.22	2 of 2 - Sale ²⁵
08/19/20	6.000	1,026.27	Various	1,039.90	...	-13.63	Total of 2 transactions
09/23/20	0.640	101.78	09/22/20	100.00	...	1.78	Sale ²⁵
10/01/20	0.603	101.12	09/28/20	100.00	...	1.12	Sale ²⁵
	Security total:	16,581.61		16,493.70	198.54 W	286.45	
BRINKER INTERNATIONAL, INC. / CUSIP: 109641100 / Symbol:							
	<i>2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	245.93	06/24/20	238.15	...	7.78	1 of 2 - Sale ²⁵
	6.000	147.55	06/25/20	142.14	...	5.41	2 of 2 - Sale ²⁵
07/01/20	16.000	393.48	Various	380.29	...	13.19	Total of 2 transactions
07/24/20	1.000	26.16	06/25/20	23.69	...	2.47	Sale ²⁵
08/11/20	140.000	4,395.04	08/11/20	4,298.00	...	97.04	Sale ²⁵
	Security total:	4,814.68		4,701.98	...	112.70	

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CIGNA CORPORATION / CUSIP: 125523100 / Symbol:							
08/04/20	24.000	4,211.70	07/31/20	4,128.72	...	82.98	Sale ²⁵
08/11/20	1.000	185.54	07/31/20	172.03	...	13.51	Sale ²⁵
08/14/20	5.000	910.67	08/13/20	901.45	...	9.22	Sale ²⁵
10/05/20	5.000	864.08	09/15/20	854.21	...	9.87	Sale ²⁵
<i>4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.496	98.59	12/17/20	100.00	...	-1.41	1 of 4 - Sale ²⁵
	0.248	49.29	12/17/20	50.00	...	-0.71	2 of 4 - Sale ²⁵
	0.547	108.65	12/18/20	107.33	...	1.32	3 of 4 - Sale ²⁵
	2.000	397.39	12/18/20	392.67	...	4.72	4 of 4 - Sale ²⁵
12/23/20	3.290	653.92	Various	650.00	...	3.92	Total of 4 transactions
	Security total:	6,825.91		6,706.41	...	119.50	
CAPITAL ONE FINANCIAL / CUSIP: 14040H105 / Symbol:							
07/22/20	39.000	2,504.93	07/01/20	2,462.10	...	42.83	Sale ²⁵
07/24/20	1.000	65.33	07/01/20	63.13	...	2.20	Sale ²⁵
	Security total:	2,570.26		2,525.23	...	45.03	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
<i>2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	49.50	06/25/20	47.97	...	1.53	1 of 2 - Sale ²⁵
	196.000	3,233.92	06/25/20	3,134.04	...	99.88	2 of 2 - Sale ²⁵
06/30/20	199.000	3,283.42	Various	3,182.01	...	101.41	Total of 2 transactions
<i>4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.09	06/25/20	15.99	...	-0.90	1 of 4 - Sale ²⁵
	55.000	829.92	07/23/20	828.30	...	1.62	2 of 4 - Sale ²⁵
	10.000	150.89	07/30/20	142.18	...	8.71	3 of 4 - Sale ²⁵
	150.000	2,263.43	08/05/20	2,086.25	...	177.18	4 of 4 - Sale ²⁵
08/10/20	216.000	3,259.33	Various	3,072.72	...	186.61	Total of 4 transactions
	Security total:	6,542.75		6,254.73	...	288.02	
CARRIER GLOBAL CORPORATION / CUSIP: 14448C104 / Symbol:							
06/11/20	3.000	64.95	05/04/20	49.01	...	15.94	Sale ²⁵
07/10/20	1.000	24.91	05/04/20	16.33	...	8.58	Sale ²⁵
08/07/20	175.000	5,040.73	08/06/20	4,917.50	...	123.23	Sale ²⁵

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Robinhood Securities LLC

Account 814533899

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CARRIER GLOBAL CORPORATION / CUSIP: 14448C104 / Symbol: (cont'd)							
08/14/20	199.000	5,989.74	08/13/20	5,873.64	...	116.10	Sale ²⁵
	<i>2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	29.94	08/13/20	29.52	...	0.42	1 of 2 - Sale ²⁵
	100.000	2,994.43	08/14/20	2,947.50	...	46.93	2 of 2 - Sale ²⁵
08/17/20	101.000	3,024.37	Various	2,977.02	...	47.35	Total of 2 transactions
	<i>3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>						
	25.000	748.86	08/18/20	742.13	...	6.73	1 of 3 - Sale ²⁵
	25.000	748.73	08/18/20	739.75	...	8.98	2 of 3 - Sale ²⁵
	75.000	2,246.56	08/18/20	2,219.25	...	27.31	3 of 3 - Sale ²⁵
08/18/20	125.000	3,744.15	Various	3,701.13	...	43.02	Total of 3 transactions
	<i>3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	1,470.46	08/19/20	1,461.54	...	8.92	1 of 3 - Sale ²⁵
	50.000	1,470.46	08/19/20	1,458.00	...	12.46	2 of 3 - Sale ²⁵
	9.000	264.68	08/20/20	261.18	...	3.50	3 of 3 - Sale ²⁵
08/21/20	109.000	3,205.60	Various	3,180.72	...	24.88	Total of 3 transactions
08/21/20	50.000	1,470.46	08/19/20	1,475.75	5.29 W	0.00	Sale ²⁵
	<i>2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	30.32	08/20/20	29.02	...	1.30	1 of 2 - Sale ²⁵
	300.000	9,095.79	08/28/20	9,031.53	...	64.26	2 of 2 - Sale ²⁵
08/28/20	301.000	9,126.11	Various	9,060.55	...	65.56	Total of 2 transactions
08/28/20	50.000	1,515.97	08/27/20	1,524.50	8.53 W	0.00	Sale ²⁵
	<i>4 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	150.70	08/31/20	150.28	...	0.42	1 of 4 - Sale ²⁵
	5.000	150.70	08/31/20	150.28	...	0.42	2 of 4 - Sale ²⁵
	70.000	2,109.74	08/31/20	2,103.99	...	5.75	3 of 4 - Sale ²⁵
	70.000	2,109.74	08/31/20	2,103.98	...	5.76	4 of 4 - Sale ²⁵
09/01/20	150.000	4,520.88	Various	4,508.53	...	12.35	Total of 4 transactions
	<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>						
	30.000	904.18	08/31/20	906.83	0.09 W	-2.56	1 of 2 - Sale ²⁵
	20.000	602.88	08/31/20	604.55	1.67 W	0.00	2 of 2 - Sale ²⁵
09/01/20	50.000	1,507.06	Various	1,511.38	1.76 W	-2.56	Total of 2 transactions
09/09/20	7.000	209.24	09/04/20	209.04	...	0.20	Sale ²⁵

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Account 814533899

Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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CARRIER GLOBAL CORPORATION / CUSIP: 14448C104 / Symbol: (cont'd)							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	29.47	09/10/20	29.39	...	0.08	1 of 2 - Sale ²⁵
	9.000	265.28	09/10/20	264.45	...	0.83	2 of 2 - Sale ²⁵
09/11/20	10.000	294.75	Various	293.84	...	0.91	Total of 2 transactions
09/11/20	4.000	117.34	09/10/20	117.53	0.19 W	0.00	Sale ²⁵
7 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	0.013	0.41	10/08/20	0.41	...	0.00	1 of 7 - Sale ²⁵
	0.253	8.21	10/08/20	8.09	...	0.12	2 of 7 - Sale ²⁵
	0.437	14.19	10/08/20	13.89	...	0.30	3 of 7 - Sale ²⁵
	3.987	129.47	10/08/20	128.46	...	1.01	4 of 7 - Sale ²⁵
	5.325	172.92	10/08/20	171.32	...	1.60	5 of 7 - Sale ²⁵
	5.999	194.80	10/08/20	191.91	...	2.89	6 of 7 - Sale ²⁵
	8.998	292.17	10/08/20	286.11	...	6.06	7 of 7 - Sale ²⁵
10/09/20	25.013	812.17	Various	800.19	...	11.98	Total of 7 transactions
5 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	0.108	3.61	10/13/20	3.47	...	0.14	1 of 5 - Sale ²⁵
	3.000	100.26	10/13/20	96.53	...	3.73	2 of 5 - Sale ²⁵
	4.637	154.97	10/13/20	150.00	...	4.97	3 of 5 - Sale ²⁵
	0.148	4.95	10/14/20	4.83	...	0.12	4 of 5 - Sale ²⁵
	2.000	66.83	10/14/20	65.17	...	1.66	5 of 5 - Sale ²⁵
10/20/20	9.893	330.62	Various	320.00	...	10.62	Total of 5 transactions
10/30/20	14.754	503.67	10/26/20	500.00	...	3.67	Sale ²⁵
12/23/20	39.604	1,508.08	12/23/20	1,500.00	...	8.08	Sale ²⁵
	Security total:	43,010.80		42,536.66	15.77 W	489.91	
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
09/16/20	5.000	231.51	09/15/20	228.05	...	3.46	Sale ²⁵
DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	10.000	157.42	06/11/20	152.29	...	5.13	1 of 3 - Sale ²⁵
	15.000	236.12	06/11/20	228.57	...	7.55	2 of 3 - Sale ²⁵
	19.000	299.09	06/11/20	282.91	...	16.18	3 of 3 - Sale ²⁵
06/23/20	44.000	692.63	Various	663.77	...	28.86	Total of 3 transactions

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Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol: (cont'd)							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	16.69	06/11/20	14.89	...	1.80	1 of 2 - Sale ²⁵
	14.000	233.66	06/24/20	216.86	...	16.80	2 of 2 - Sale ²⁵
07/17/20	15.000	250.35	Various	231.75	...	18.60	Total of 2 transactions
07/31/20	1.000	17.44	06/24/20	15.49	...	1.95	Sale ²⁵
3 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	0.718	13.77	10/26/20	13.40	...	0.37	1 of 3 - Sale ²⁵
	10.655	204.26	10/26/20	200.00	...	4.26	2 of 3 - Sale ²⁵
	9.995	191.60	10/26/20	186.60	...	5.00	3 of 3 - Sale ²⁵
11/02/20	21.368	409.63	Various	400.00	...	9.63	Total of 3 transactions
	Security total:	1,370.05		1,311.01	...	59.04	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	3.000	81.00	07/10/20	80.58	...	0.42	1 of 3 - Sale ²⁵
	5.000	135.00	07/29/20	127.18	...	7.82	2 of 3 - Sale ²⁵
	7.000	188.99	07/29/20	178.33	...	10.66	3 of 3 - Sale ²⁵
08/06/20	15.000	404.99	Various	386.09	...	18.90	Total of 3 transactions
08/06/20	9.000	243.00	07/17/20	243.63	0.63 W	0.00	Sale ²⁵
08/12/20	1.000	30.15	08/11/20	30.21	0.06 W	0.00	Sale ²⁵
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	41.000	1,173.46	08/14/20	1,184.08	...	-10.62	1 of 4 - Sale ²⁵
	50.000	1,431.04	08/17/20	1,401.31	...	29.73	2 of 4 - Sale ²⁵
	50.000	1,431.05	08/17/20	1,397.00	...	34.05	3 of 4 - Sale ²⁵
	50.000	1,431.05	08/18/20	1,371.92	...	59.13	4 of 4 - Sale ²⁵
08/19/20	191.000	5,466.60	Various	5,354.31	...	112.29	Total of 4 transactions
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	8.000	228.97	08/14/20	231.60	1.32 W	-1.31	1 of 2 - Sale ²⁵
	1.000	28.62	08/14/20	28.94	0.32 W	0.00	2 of 2 - Sale ²⁵
08/19/20	9.000	257.59	Various	260.54	1.64 W	-1.31	Total of 2 transactions

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	29.64	08/19/20	28.09	...	1.55	1 of 2 - Sale ²⁵
	2.000	59.29	08/19/20	56.20	...	3.09	2 of 2 - Sale ²⁵
08/24/20	3.000	88.93	Various	84.29	...	4.64	Total of 2 transactions
09/03/20	1.000	31.20	08/31/20	31.30	0.10 W	0.00	Sale ²⁵
6 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	09/21/20	0.01	...	0.00	1 of 6 - Sale ²⁵
	0.302	9.07	09/24/20	8.86	...	0.21	2 of 6 - Sale ²⁵
	0.698	20.98	09/24/20	20.49	...	0.49	3 of 6 - Sale ²⁵
	1.302	39.12	09/24/20	38.07	...	1.05	4 of 6 - Sale ²⁵
	1.698	51.03	09/24/20	49.06	...	1.97	5 of 6 - Sale ²⁵
	1.698	51.02	09/24/20	49.05	...	1.97	6 of 6 - Sale ²⁵
09/25/20	5.698	171.23	Various	165.54	...	5.69	Total of 6 transactions
5 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	30.05	09/16/20	35.19	5.14 W	0.00	1 of 5 - Sale ²⁵
	0.302	9.07	09/21/20	9.21	0.14 W	0.00	2 of 5 - Sale ²⁵
	0.698	20.98	09/21/20	21.30	0.32 W	0.00	3 of 5 - Sale ²⁵
	1.302	39.12	09/21/20	39.58	0.46 W	0.00	4 of 5 - Sale ²⁵
	1.000	30.05	09/24/20	34.03	3.98 W	0.00	5 of 5 - Sale ²⁵
09/25/20	4.302	129.27	Various	139.31	10.04 W	0.00	Total of 5 transactions
09/28/20	0.225	6.96	09/24/20	6.50	...	0.46	Sale ²⁵
4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	0.078	2.57	10/06/20	2.54	...	0.03	1 of 4 - Sale ²⁵
	0.549	17.97	10/06/20	17.71	...	0.26	2 of 4 - Sale ²⁵
	2.999	98.25	10/06/20	97.46	...	0.79	3 of 4 - Sale ²⁵
	6.229	204.05	10/07/20	200.00	...	4.05	4 of 4 - Sale ²⁵
10/08/20	9.855	322.84	Various	317.71	...	5.13	Total of 4 transactions
10/08/20	1.000	32.76	10/06/20	36.27	3.51 W	0.00	Sale ²⁵
10/15/20	160.000	5,007.88	10/15/20	4,962.32	...	45.56	Sale ²⁵
5 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	0.055	1.72	10/15/20	1.89	0.17 W	0.00	1 of 5 - Sale ²⁵
	0.160	5.02	10/15/20	5.53	0.51 W	0.00	2 of 5 - Sale ²⁵
	0.160	5.02	10/15/20	5.48	0.46 W	0.00	3 of 5 - Sale ²⁵

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	0.785	24.56	10/15/20	27.10		0.00	4 of 5 - Sale ²⁵
	0.055	1.72	10/15/20	1.87	2.54 W	0.00	5 of 5 - Sale ²⁵
10/15/20	1.215	38.04	Various	41.87	3.83 W	0.00	Total of 5 transactions
	6 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	8.275	264.12	10/26/20	267.65	...	-3.53	1 of 6 - Sale ²⁵
	0.785	25.05	10/26/20	27.93	...	-2.88	2 of 6 - Sale ²⁵
	0.160	5.12	10/26/20	5.65	...	-0.53	3 of 6 - Sale ²⁵
	0.055	1.75	10/26/20	1.92	...	-0.17	4 of 6 - Sale ²⁵
	15.756	502.91	10/26/20	500.00	...	2.91	5 of 6 - Sale ²⁵
	15.906	507.70	10/27/20	500.00	...	7.70	6 of 6 - Sale ²⁵
11/05/20	40.936	1,306.65	Various	1,303.15	...	3.50	Total of 6 transactions
	Security total:	13,538.09		13,363.04	19.81 W	194.86	
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	11.000	2,066.42	06/12/20	1,768.16	...	298.26	1 of 2 - Sale ²⁵
	1.000	187.85	06/15/20	162.57	...	25.28	2 of 2 - Sale ²⁵
06/16/20	12.000	2,254.27	Various	1,930.73	...	323.54	Total of 2 transactions
07/14/20	5.000	1,009.57	07/14/20	943.00	...	66.57	Sale ²⁵
08/17/20	5.000	1,289.22	08/14/20	1,237.50	...	51.72	Sale ²⁵
	3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	257.21	08/18/20	253.15	...	4.06	1 of 3 - Sale ²⁵
	1.000	257.21	08/19/20	249.00	...	8.21	2 of 3 - Sale ²⁵
	10.000	2,572.09	08/19/20	2,516.00	...	56.09	3 of 3 - Sale ²⁵
08/25/20	12.000	3,086.51	Various	3,018.15	...	68.36	Total of 3 transactions
09/04/20	8.000	1,948.67	09/04/20	1,883.52	...	65.15	Sale ²⁵
	2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	0.169	38.05	09/08/20	36.07	...	1.98	1 of 2 - Sale ²⁵
	0.999	225.49	09/08/20	213.93	...	11.56	2 of 2 - Sale ²⁵
09/09/20	1.168	263.54	Various	250.00	...	13.54	Total of 2 transactions
09/15/20	10.000	2,438.84	09/10/20	2,379.00	...	59.84	Sale ²⁵
09/22/20	1.000	224.68	09/21/20	213.99	...	10.69	Sale ²⁵
09/22/20	2.000	449.37	09/18/20	455.81	6.44 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
09/25/20	0.238	50.95	09/24/20	50.76	...	0.19	Sale ²⁵
10/08/20	8.238	2,381.41	10/08/20	2,366.07	...	15.34	Sale ²⁵
10/08/20	1.762	509.52	10/08/20	511.91	2.39 W	0.00	Sale ²⁵
	3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	0.169	53.03	10/15/20	50.23	...	2.80	1 of 3 - Sale ²⁵
	1.594	501.34	10/15/20	497.84	...	3.50	2 of 3 - Sale ²⁵
	8.238	2,591.06	10/15/20	2,561.79	...	29.27	3 of 3 - Sale ²⁵
10/16/20	10.000	3,145.43	Various	3,109.86	...	35.57	Total of 3 transactions
	2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	0.169	49.16	10/23/20	52.16	...	-3.00	1 of 2 - Sale ²⁵
	4.831	1,408.70	10/23/20	1,401.11	...	7.59	2 of 2 - Sale ²⁵
11/04/20	5.000	1,457.86	Various	1,453.27	...	4.59	Total of 2 transactions
11/04/20	0.169	49.16	10/15/20	52.43	3.27 W	0.00	Sale ²⁵
	Security total:	20,559.00		19,856.00	12.10 W	715.10	
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
	6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	53.000	460.06	12/22/20	502.92	...	-42.86	1 of 6 - Sale ²⁵
	49.570	430.29	12/22/20	470.37	...	-40.08	2 of 6 - Sale ²⁵
	1.000	8.68	12/22/20	9.49	...	-0.81	3 of 6 - Sale ²⁵
	1.000	8.68	12/22/20	9.49	...	-0.81	4 of 6 - Sale ²⁵
	0.386	3.35	12/22/20	3.97	...	-0.62	5 of 6 - Sale ²⁵
	0.044	0.38	12/22/20	0.41	...	-0.03	6 of 6 - Sale ²⁵
12/24/20	105.000	911.44	Various	996.65	...	-85.21	Total of 6 transactions
12/24/20	0.386	3.35	12/22/20	3.66	0.31 W	0.00	Sale ²⁵
	Security total:	914.79		1,000.31	0.31 W	-85.21	
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
	5 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	50.000	1,758.07	10/08/20	2,146.43	...	-388.36	1 of 5 - Sale ²⁵
	43.409	1,526.31	10/08/20	1,855.75	...	-329.44	2 of 5 - Sale ²⁵
	50.000	1,758.07	10/08/20	2,081.55	...	-323.48	3 of 5 - Sale ²⁵
	6.591	231.76	10/08/20	281.79	...	-50.03	4 of 5 - Sale ²⁵

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Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol: (cont'd)							
10/08/20	6.591	231.76	10/08/20	262.48	...	-30.72	5 of 5 - Sale ²⁵
	156.591	5,505.97	Various	6,628.00	...	-1,122.03	Total of 5 transactions
	29 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	40.000	1,373.16	08/11/20	1,820.40	447.24 W	0.00	1 of 29 - Sale ²⁵
	48.000	1,647.80	08/14/20	2,061.60	413.80 W	0.00	2 of 29 - Sale ²⁵
	1.000	34.33	08/14/20	42.95	8.62 W	0.00	3 of 29 - Sale ²⁵
	19.000	652.25	08/14/20	816.40	164.15 W	0.00	4 of 29 - Sale ²⁵
	50.000	1,716.46	08/17/20	2,126.39	409.93 W	0.00	5 of 29 - Sale ²⁵
	50.000	1,716.46	08/19/20	2,117.50	401.04 W	0.00	6 of 29 - Sale ²⁵
	50.000	1,716.45	08/21/20	2,061.50	345.05 W	0.00	7 of 29 - Sale ²⁵
	100.000	3,432.91	08/27/20	3,941.99	509.08 W	0.00	8 of 29 - Sale ²⁵
	0.579	19.87	09/02/20	22.62	2.75 W	0.00	9 of 29 - Sale ²⁵
	24.997	858.12	09/02/20	977.38	119.26 W	0.00	10 of 29 - Sale ²⁵
	6.916	237.41	09/22/20	327.32	89.91 W	0.00	11 of 29 - Sale ²⁵
	2.906	99.75	09/23/20	132.49	32.74 W	0.00	12 of 29 - Sale ²⁵
	11.479	394.08	09/29/20	528.35	134.27 W	0.00	13 of 29 - Sale ²⁵
	0.812	27.87	09/29/20	36.72	8.85 W	0.00	14 of 29 - Sale ²⁵
	8.000	274.63	09/29/20	361.81	87.18 W	0.00	15 of 29 - Sale ²⁵
	4.404	151.19	09/29/20	199.24	48.05 W	0.00	16 of 29 - Sale ²⁵
	0.023	0.80	10/01/20	1.03	0.23 W	0.00	17 of 29 - Sale ²⁵
	5.460	187.44	10/01/20	242.36	54.92 W	0.00	18 of 29 - Sale ²⁵
	0.540	18.53	10/01/20	22.57	4.04 W	0.00	19 of 29 - Sale ²⁵
	0.108	3.70	10/02/20	4.46	0.76 W	0.00	20 of 29 - Sale ²⁵
	6.000	205.98	10/02/20	248.16	42.18 W	0.00	21 of 29 - Sale ²⁵
	0.001	0.04	10/02/20	0.05	0.01 W	0.00	22 of 29 - Sale ²⁵
	8.970	307.93	10/06/20	377.33	69.40 W	0.00	23 of 29 - Sale ²⁵
	0.805	27.65	10/07/20	33.88	6.23 W	0.00	24 of 29 - Sale ²⁵
	0.167	5.88	10/07/20	7.03	1.15 W	0.00	25 of 29 - Sale ²⁵
	8.000	281.29	10/07/20	336.44	55.15 W	0.00	26 of 29 - Sale ²⁵
	19.000	668.07	10/08/20	824.02	50.75 W	-105.20	27 of 29 - Sale ²⁵
	1.000	35.16	10/08/20	43.35	8.19 W	0.00	28 of 29 - Sale ²⁵
	23.409	823.08	10/08/20	1,014.78	191.70 W	0.00	29 of 29 - Sale ²⁵
10/08/20	491.576	16,918.29	Various	20,730.12	3,706.63 W	-105.20	Total of 29 transactions

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Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol: (cont'd)							
<i>41 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
30.826		1,159.95	10/08/20	1,242.00	...	-82.05	1 of 41 - Sale ²⁵
28.325		1,065.83	10/08/20	1,144.19	...	-78.36	2 of 41 - Sale ²⁵
28.408		1,068.96	10/08/20	1,138.75	...	-69.79	3 of 41 - Sale ²⁵
18.595		699.73	10/08/20	743.28	...	-43.55	4 of 41 - Sale ²⁵
5.683		213.83	10/08/20	228.93	...	-15.10	5 of 41 - Sale ²⁵
0.579		21.78	10/08/20	23.12	...	-1.34	6 of 41 - Sale ²⁵
0.168		6.32	10/08/20	6.72	...	-0.40	7 of 41 - Sale ²⁵
2.079		78.23	10/09/20	100.58	...	-22.35	8 of 41 - Sale ²⁵
6.402		240.88	10/09/20	256.99	...	-16.11	9 of 41 - Sale ²⁵
2.876		108.24	10/14/20	137.38	...	-29.14	10 of 41 - Sale ²⁵
0.001		0.02	10/14/20	0.03	...	-0.01	11 of 41 - Sale ²⁵
10.136		381.42	10/21/20	456.40	...	-74.98	12 of 41 - Sale ²⁵
2.906		109.34	10/21/20	129.59	...	-20.25	13 of 41 - Sale ²⁵
1.956		73.60	10/21/20	90.61	...	-17.01	14 of 41 - Sale ²⁵
0.004		0.14	10/21/20	0.17	...	-0.03	15 of 41 - Sale ²⁵
6.635		249.67	10/23/20	298.77	...	-49.10	16 of 41 - Sale ²⁵
0.791		29.77	10/23/20	36.25	...	-6.48	17 of 41 - Sale ²⁵
0.812		30.55	10/23/20	36.56	...	-6.01	18 of 41 - Sale ²⁵
0.552		20.77	10/23/20	25.29	...	-4.52	19 of 41 - Sale ²⁵
8.997		338.53	10/26/20	371.35	...	-32.82	20 of 41 - Sale ²⁵
5.460		205.46	10/26/20	237.01	...	-31.55	21 of 41 - Sale ²⁵
8.970		337.53	10/26/20	366.84	...	-29.31	22 of 41 - Sale ²⁵
4.404		165.72	10/26/20	194.92	...	-29.20	23 of 41 - Sale ²⁵
6.270		235.93	10/26/20	259.27	...	-23.34	24 of 41 - Sale ²⁵
8.000		301.03	10/26/20	320.43	...	-19.40	25 of 41 - Sale ²⁵
1.365		51.36	10/26/20	60.39	...	-9.03	26 of 41 - Sale ²⁵
3.081		115.95	10/26/20	124.42	...	-8.47	27 of 41 - Sale ²⁵
2.786		104.84	10/26/20	111.98	...	-7.14	28 of 41 - Sale ²⁵
0.805		30.31	10/26/20	32.94	...	-2.63	29 of 41 - Sale ²⁵
0.540		20.31	10/26/20	22.04	...	-1.73	30 of 41 - Sale ²⁵
0.167		6.29	10/26/20	6.69	...	-0.40	31 of 41 - Sale ²⁵
0.121		4.54	10/26/20	4.85	...	-0.31	32 of 41 - Sale ²⁵
0.108		4.06	10/26/20	4.36	...	-0.30	33 of 41 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol: (cont'd)							
	0.070	2.65	10/26/20	2.90	...	-0.25	34 of 41 - Sale ²⁵
	0.023	0.87	10/26/20	1.00	...	-0.13	35 of 41 - Sale ²⁵
	0.021	0.77	10/26/20	0.85	...	-0.08	36 of 41 - Sale ²⁵
	0.012	0.44	10/26/20	0.47	...	-0.03	37 of 41 - Sale ²⁵
	0.001	0.05	10/26/20	0.05	...	0.00	38 of 41 - Sale ²⁵
	8.051	302.96	10/27/20	330.17	...	-27.21	39 of 41 - Sale ²⁵
	6.183	232.67	10/27/20	253.69	...	-21.02	40 of 41 - Sale ²⁵
	1.000	37.63	10/27/20	41.01	...	-3.38	41 of 41 - Sale ²⁵
11/09/20	214.168	8,058.93	Various	8,843.24	...	-784.31	Total of 41 transactions
	Security total:	30,483.19		36,201.36	3,706.63 W	-2,011.54	
HONEYWELL INTERNATIONAL, INC. / CUSIP: 438516106 / Symbol:							
08/26/20	1.000	164.53	08/21/20	157.60	...	6.93	Sale ²⁵
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
09/02/20	35.000	1,833.60	07/24/20	1,811.95	...	21.65	Sale ²⁵
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
12/09/20	0.766	97.40	10/08/20	100.00	...	-2.60	Sale ²⁵
KFORCE, INC. COMMON STOCK / CUSIP: 493732101 / Symbol:							
11/17/20	1.000	41.00	08/12/20	38.83	...	2.17	Sale ²⁵
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
08/12/20	6.000	18.21	06/15/20	16.50	...	1.71	Sale ²⁵
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
09/02/20	25.000	580.98	08/31/20	569.38	...	11.60	Sale ²⁵
METLIFE, INC. / CUSIP: 59156R108 / Symbol:							
08/14/20	30.000	1,184.37	08/13/20	1,175.25	...	9.12	Sale ²⁵
	2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	3.000	116.71	09/08/20	114.66	...	2.05	1 of 2 - Sale ²⁵
	50.000	1,945.20	09/09/20	1,901.37	...	43.83	2 of 2 - Sale ²⁵
09/10/20	53.000	2,061.91	Various	2,016.03	...	45.88	Total of 2 transactions
09/10/20	1.000	38.90	09/04/20	39.35	0.45 W	0.00	Sale ²⁵

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METLIFE, INC. / CUSIP: 59156R108 / Symbol: (cont'd)							
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	38.32	09/15/20	38.34	...	-0.02	1 of 3 - Sale ²⁵
	9.000	344.88	09/15/20	341.01	...	3.87	2 of 3 - Sale ²⁵
	10.000	383.20	09/15/20	378.90	...	4.30	3 of 3 - Sale ²⁵
09/17/20	20.000	766.40	Various	758.25	...	8.15	Total of 3 transactions
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	0.824	38.25	12/16/20	38.05	...	0.20	1 of 3 - Sale ²⁵
	9.999	464.34	12/16/20	461.95	...	2.39	2 of 3 - Sale ²⁵
	10.929	507.52	12/18/20	500.00	...	7.52	3 of 3 - Sale ²⁵
12/23/20	21.752	1,010.11	Various	1,000.00	...	10.11	Total of 3 transactions
	Security total:	5,061.69		4,988.88	0.45 W	73.26	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	10.000	4,809.33	08/10/20	4,820.90	...	-11.57	1 of 4 - Sale ²⁵
	1.000	480.93	08/11/20	471.99	...	8.94	2 of 4 - Sale ²⁵
	5.000	2,404.66	08/11/20	2,392.60	...	12.06	3 of 4 - Sale ²⁵
	29.000	13,947.04	08/11/20	13,687.71	...	259.33	4 of 4 - Sale ²⁵
08/13/20	45.000	21,641.96	Various	21,373.20	...	268.76	Total of 4 transactions
08/13/20	10.000	4,809.33	08/07/20	5,011.20	20.19 W	-181.68	Sale ²⁵
08/20/20	1.000	491.10	08/18/20	510.17	...	-19.07	Sale ²⁵
4 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	0.000	0.15	10/22/20	0.15	...	0.00	1 of 4 - Sale ²⁵
	0.000	0.15	10/22/20	0.15	...	0.00	2 of 4 - Sale ²⁵
	0.032	15.37	10/22/20	15.30	...	0.07	3 of 4 - Sale ²⁵
	1.000	486.56	10/22/20	484.40	...	2.16	4 of 4 - Sale ²⁵
10/23/20	1.032	502.23	Various	500.00	...	2.23	Total of 4 transactions
	Security total:	27,444.62		27,394.57	20.19 W	70.24	
NIKE, INC. / CUSIP: 654106103 / Symbol:							
08/19/20	1.000	109.15	08/14/20	106.54	...	2.61	Sale ²⁵

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NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	99.000	445.49	07/14/20	427.68	...	17.81	1 of 3 - Sale ²⁵
	100.000	449.99	07/14/20	432.00	...	17.99	2 of 3 - Sale ²⁵
	100.000	449.99	07/14/20	432.00	...	17.99	3 of 3 - Sale ²⁵
07/21/20	299.000	1,345.47	Various	1,291.68	...	53.79	Total of 3 transactions
4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	4.89	07/14/20	4.32	...	0.57	1 of 4 - Sale ²⁵
	100.000	488.97	07/22/20	448.70	...	40.27	2 of 4 - Sale ²⁵
	1.000	4.89	07/23/20	4.41	...	0.48	3 of 4 - Sale ²⁵
	8.000	39.12	07/23/20	35.28	...	3.84	4 of 4 - Sale ²⁵
07/31/20	110.000	537.87	Various	492.71	...	45.16	Total of 4 transactions
08/11/20	300.000	1,497.71	08/10/20	1,472.13	...	25.58	Sale ²⁵
08/11/20	1,000.000	4,992.37	08/06/20	4,997.40	5.03 W	0.00	Sale ²⁵
4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	5.07	08/14/20	4.98	...	0.09	1 of 4 - Sale ²⁵
	199.000	1,007.41	08/14/20	990.03	...	17.38	2 of 4 - Sale ²⁵
	200.000	1,012.47	08/14/20	995.00	...	17.47	3 of 4 - Sale ²⁵
	600.000	3,037.42	08/14/20	2,985.02	...	52.40	4 of 4 - Sale ²⁵
08/17/20	1,000.000	5,062.37	Various	4,975.03	...	87.34	Total of 4 transactions
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	30.000	151.50	08/25/20	150.60	...	0.90	1 of 2 - Sale ²⁵
	470.000	2,373.38	08/25/20	2,359.40	...	13.98	2 of 2 - Sale ²⁵
08/26/20	500.000	2,524.88	Various	2,510.00	...	14.88	Total of 2 transactions
18 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	600.000	2,411.87	08/31/20	2,945.94	534.07 W	0.00	1 of 18 - Sale ²⁵
	166.000	667.28	08/31/20	815.04	147.76 W	0.00	2 of 18 - Sale ²⁵
	234.000	940.63	08/31/20	1,148.92	208.29 W	0.00	3 of 18 - Sale ²⁵
	366.000	1,471.24	09/01/20	1,742.12	270.88 W	0.00	4 of 18 - Sale ²⁵
	324.000	1,302.41	09/01/20	1,542.21	239.80 W	0.00	5 of 18 - Sale ²⁵
	110.000	442.19	09/01/20	523.59	81.40 W	0.00	6 of 18 - Sale ²⁵
	200.000	803.96	09/01/20	951.98	148.02 W	0.00	7 of 18 - Sale ²⁵
	200.000	803.95	09/04/20	890.00	86.05 W	0.00	8 of 18 - Sale ²⁵
	50.000	200.99	09/08/20	208.35	7.36 W	0.00	9 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
	150.000	602.97	09/09/20	623.63	20.66 W	0.00	10 of 18 - Sale ²⁵
	150.000	602.97	09/09/20	623.62	20.65 W	0.00	11 of 18 - Sale ²⁵
	10.000	40.20	09/14/20	41.07	0.87 W	0.00	12 of 18 - Sale ²⁵
	100.000	401.98	09/18/20	497.81	95.83 W	0.00	13 of 18 - Sale ²⁵
	0.645	2.59	09/24/20	3.04	0.45 W	0.00	14 of 18 - Sale ²⁵
	14.990	60.25	09/24/20	70.87	10.62 W	0.00	15 of 18 - Sale ²⁵
	18.677	75.08	09/25/20	86.62	11.54 W	0.00	16 of 18 - Sale ²⁵
	51.840	208.39	10/01/20	246.15	37.76 W	0.00	17 of 18 - Sale ²⁵
	23.848	95.86	10/07/20	113.64	17.78 W	0.00	18 of 18 - Sale ²⁵
10/15/20	2,770.000	11,134.81	Various	13,074.60	1,939.79 W	0.00	Total of 18 transactions
	7 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	1.958	8.24	10/07/20	9.33	1.09 W	0.00	1 of 7 - Sale ²⁵
	166.000	698.86	10/16/20	815.08	116.22 W	0.00	2 of 7 - Sale ²⁵
	234.000	985.14	10/16/20	1,148.97	163.83 W	0.00	3 of 7 - Sale ²⁵
	388.042	1,633.65	10/16/20	1,905.34	271.69 W	0.00	4 of 7 - Sale ²⁵
	210.958	888.13	10/16/20	1,004.18	116.05 W	0.00	5 of 7 - Sale ²⁵
	44.000	185.24	10/19/20	215.60	30.36 W	0.00	6 of 7 - Sale ²⁵
	155.042	652.72	10/19/20	759.72	107.00 W	0.00	7 of 7 - Sale ²⁵
10/20/20	1,200.000	5,051.98	Various	5,858.22	806.24 W	0.00	Total of 7 transactions
	17 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	200.000	858.24	10/21/20	1,015.78	...	-157.54	1 of 17 - Sale ²⁵
	110.000	472.03	10/21/20	558.67	...	-86.64	2 of 17 - Sale ²⁵
	160.634	689.31	10/21/20	766.07	...	-76.76	3 of 17 - Sale ²⁵
	36.419	156.28	10/21/20	184.97	...	-28.69	4 of 17 - Sale ²⁵
	39.348	168.85	10/22/20	184.48	...	-15.63	5 of 17 - Sale ²⁵
	145.482	624.28	10/22/20	639.52	...	-15.24	6 of 17 - Sale ²⁵
	50.000	214.56	10/22/20	220.26	...	-5.70	7 of 17 - Sale ²⁵
	0.018	0.08	10/22/20	0.08	...	0.00	8 of 17 - Sale ²⁵
	100.000	429.12	10/23/20	519.65	...	-90.53	9 of 17 - Sale ²⁵
	150.000	643.68	10/23/20	656.38	...	-12.70	10 of 17 - Sale ²⁵
	18.677	80.14	10/23/20	90.69	...	-10.55	11 of 17 - Sale ²⁵
	14.990	64.32	10/23/20	74.15	...	-9.83	12 of 17 - Sale ²⁵
	14.518	62.30	10/23/20	71.99	...	-9.69	13 of 17 - Sale ²⁵
	1.170	5.02	10/23/20	5.81	...	-0.79	14 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
	0.645	2.77	10/23/20	3.19	...	-0.42	15 of 17 - Sale ²⁵
	4.518	19.39	10/23/20	19.77	...	-0.38	16 of 17 - Sale ²⁵
	10.000	42.91	10/23/20	43.25	...	-0.34	17 of 17 - Sale ²⁵
10/26/20	1,056.419	4,533.28	Various	5,054.71	...	-521.43	Total of 17 transactions
	4 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	0.958	4.11	10/19/20	4.70	0.59 W	0.00	1 of 4 - Sale ²⁵
	232.623	998.23	10/21/20	1,172.17	143.03 W	-30.91	2 of 4 - Sale ²⁵
	5.000	21.46	10/21/20	25.19	3.73 W	0.00	3 of 4 - Sale ²⁵
	5.000	21.46	10/21/20	25.20	3.74 W	0.00	4 of 4 - Sale ²⁵
10/26/20	243.581	1,045.26	Various	1,227.26	151.09 W	-30.91	Total of 4 transactions
	25 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	25.670	93.72	10/23/20	127.28	...	-33.56	1 of 25 - Sale ²⁵
	23.848	87.07	10/23/20	118.66	...	-31.59	2 of 25 - Sale ²⁵
	23.523	85.89	10/23/20	115.98	...	-30.09	3 of 25 - Sale ²⁵
	10.242	37.40	10/23/20	50.78	...	-13.38	4 of 25 - Sale ²⁵
	1.958	7.15	10/23/20	9.37	...	-2.22	5 of 25 - Sale ²⁵
	0.239	0.87	10/23/20	1.19	...	-0.32	6 of 25 - Sale ²⁵
	264.518	965.78	10/26/20	1,312.05	...	-346.27	7 of 25 - Sale ²⁵
	164.518	600.67	10/26/20	810.80	...	-210.13	8 of 25 - Sale ²⁵
	135.482	494.66	10/26/20	672.00	...	-177.34	9 of 25 - Sale ²⁵
	138.969	507.39	10/26/20	661.51	...	-154.12	10 of 25 - Sale ²⁵
	100.000	365.11	10/26/20	496.02	...	-130.91	11 of 25 - Sale ²⁵
	93.577	341.66	10/26/20	458.36	...	-116.70	12 of 25 - Sale ²⁵
	86.293	315.06	10/26/20	427.65	...	-112.59	13 of 25 - Sale ²⁵
	69.482	253.68	10/26/20	343.95	...	-90.27	14 of 25 - Sale ²⁵
	71.989	262.84	10/26/20	343.98	...	-81.14	15 of 25 - Sale ²⁵
	61.031	222.83	10/26/20	299.06	...	-76.23	16 of 25 - Sale ²⁵
	44.000	160.65	10/26/20	215.52	...	-54.87	17 of 25 - Sale ²⁵
	30.518	111.43	10/26/20	151.07	...	-39.64	18 of 25 - Sale ²⁵
	5.000	18.26	10/26/20	24.78	...	-6.52	19 of 25 - Sale ²⁵
	5.000	18.26	10/26/20	24.77	...	-6.51	20 of 25 - Sale ²⁵
	0.958	3.50	10/26/20	4.62	...	-1.12	21 of 25 - Sale ²⁵
	0.434	1.58	10/26/20	2.12	...	-0.54	22 of 25 - Sale ²⁵
	45.815	167.27	10/28/20	219.26	...	-51.99	23 of 25 - Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
	58.914	215.10	10/30/20	243.19	...	-28.09	24 of 25 - Sale ²⁵
	0.259	0.95	10/30/20	1.06	...	-0.11	25 of 25 - Sale ²⁵
11/09/20	1,462.239	5,338.78	Various	7,135.03	...	-1,796.25	Total of 25 transactions
	Security total:	43,064.78		48,088.77	2,902.15 W	-2,121.84	
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	99.000	1,397.34	08/17/20	1,373.12	...	24.22	1 of 2 - Sale ²⁵
	100.000	1,411.45	08/17/20	1,386.99	...	24.46	2 of 2 - Sale ²⁵
08/18/20	199.000	2,808.79	Various	2,760.11	...	48.68	Total of 2 transactions
5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	249.684	3,101.43	08/31/20	3,212.87	...	-111.44	1 of 5 - Sale ²⁵
	0.316	3.92	10/20/20	3.31	...	0.61	2 of 5 - Sale ²⁵
	19.585	243.27	10/20/20	196.83	...	46.44	3 of 5 - Sale ²⁵
	0.573	7.12	10/26/20	5.53	...	1.59	4 of 5 - Sale ²⁵
	102.842	1,277.44	10/26/20	994.47	...	282.97	5 of 5 - Sale ²⁵
11/09/20	373.000	4,633.18	Various	4,413.01	...	220.17	Total of 5 transactions
11/09/20	0.316	3.92	08/31/20	4.06	0.14 W	0.00	Sale ²⁵
	Security total:	7,445.89		7,177.18	0.14 W	268.85	
OTIS WORLDWIDE CORPORATION / CUSIP: 68902V107 / Symbol:							
09/23/20	1.954	120.31	09/18/20	120.00	...	0.31	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	0.041	0.45	10/27/20	0.42	...	0.03	1 of 3 - Sale ²⁵
	0.071	0.77	10/27/20	0.73	...	0.04	2 of 3 - Sale ²⁵
	28.959	316.96	10/27/20	298.85	...	18.11	3 of 3 - Sale ²⁵
10/27/20	29.071	318.18	Various	300.00	...	18.18	Total of 3 transactions
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
05/27/20	15.000	1,004.97	05/04/20	866.25	...	138.72	Sale ²⁵
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	69.22	05/04/20	57.75	...	11.47	1 of 2 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol: (cont'd)							
	5.000	346.11	06/11/20	322.19	...	23.92	2 of 2 - Sale ²⁵
06/16/20	6.000	415.33	Various	379.94	...	35.39	Total of 2 transactions
07/15/20	64.000	4,029.98	06/30/20	3,922.56	...	107.42	Sale ²⁵
	2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	15.000	874.18	07/31/20	857.83	...	16.35	1 of 2 - Sale ²⁵
	25.000	1,456.96	08/04/20	1,435.75	...	21.21	2 of 2 - Sale ²⁵
08/05/20	40.000	2,331.14	Various	2,293.58	...	37.56	Total of 2 transactions
	2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1.000	58.28	06/30/20	61.29	3.01 W	0.00	1 of 2 - Sale ²⁵
	2.000	116.56	07/24/20	124.27	7.71 W	0.00	2 of 2 - Sale ²⁵
08/05/20	3.000	174.84	Various	185.56	10.72 W	0.00	Total of 2 transactions
	16 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	61.94	08/20/20	60.90	...	1.04	1 of 16 - Sale ²⁵
	5.000	309.69	08/20/20	302.78	...	6.91	2 of 16 - Sale ²⁵
	6.000	371.63	08/20/20	363.96	...	7.67	3 of 16 - Sale ²⁵
	12.000	743.26	08/21/20	742.58	...	0.68	4 of 16 - Sale ²⁵
	2.000	123.88	08/21/20	121.22	...	2.66	5 of 16 - Sale ²⁵
	15.000	929.08	08/21/20	925.82	...	3.26	6 of 16 - Sale ²⁵
	8.000	495.51	08/25/20	494.99	...	0.52	7 of 16 - Sale ²⁵
	1.000	61.94	08/25/20	61.04	...	0.90	8 of 16 - Sale ²⁵
	25.000	1,548.46	08/25/20	1,545.77	...	2.69	9 of 16 - Sale ²⁵
	14.000	867.14	08/25/20	852.04	...	15.10	10 of 16 - Sale ²⁵
	23.000	1,424.58	08/25/20	1,404.69	...	19.89	11 of 16 - Sale ²⁵
	25.000	1,548.46	08/25/20	1,522.00	...	26.46	12 of 16 - Sale ²⁵
	26.000	1,610.40	08/25/20	1,582.36	...	28.04	13 of 16 - Sale ²⁵
	40.000	2,477.54	08/25/20	2,435.20	...	42.34	14 of 16 - Sale ²⁵
	99.000	6,131.91	08/25/20	5,991.48	...	140.43	15 of 16 - Sale ²⁵
	49.000	3,034.98	08/26/20	2,950.24	...	84.74	16 of 16 - Sale ²⁵
08/27/20	351.000	21,740.40	Various	21,357.07	...	383.33	Total of 16 transactions
	13 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	61.94	08/10/20	64.51	2.57 W	0.00	1 of 13 - Sale ²⁵
	2.000	123.88	08/11/20	138.39	14.51 W	0.00	2 of 13 - Sale ²⁵
	8.000	495.51	08/11/20	522.72	27.21 W	0.00	3 of 13 - Sale ²⁵

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RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol: (cont'd)							
	50.000	3,096.92	08/13/20	3,160.50	63.58 W	0.00	4 of 13 - Sale ²⁵
	25.000	1,548.46	08/17/20	1,572.73	24.27 W	0.00	5 of 13 - Sale ²⁵
	1.000	61.94	08/21/20	63.18	1.24 W	0.00	6 of 13 - Sale ²⁵
	2.000	123.88	08/21/20	135.73	11.85 W	0.00	7 of 13 - Sale ²⁵
	8.000	495.51	08/21/20	512.09	16.58 W	0.00	8 of 13 - Sale ²⁵
	23.000	1,424.58	08/25/20	1,429.03	4.45 W	0.00	9 of 13 - Sale ²⁵
	1.000	61.94	08/25/20	62.10	0.16 W	0.00	10 of 13 - Sale ²⁵
	2.000	123.88	08/25/20	133.57	9.69 W	0.00	11 of 13 - Sale ²⁵
	8.000	495.51	08/25/20	503.46	7.95 W	0.00	12 of 13 - Sale ²⁵
	2.000	123.88	08/25/20	131.45	7.57 W	0.00	13 of 13 - Sale ²⁵
08/27/20	133.000	8,237.83	Various	8,429.46	191.63 W	0.00	Total of 13 transactions
08/28/20	49.000	3,035.96	08/27/20	2,985.08	...	50.88	Sale ²⁵
	2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	1.000	61.96	08/26/20	64.00	2.04 W	0.00	1 of 2 - Sale ²⁵
	1.000	61.96	08/27/20	64.70	2.74 W	0.00	2 of 2 - Sale ²⁵
08/28/20	2.000	123.92	Various	128.70	4.78 W	0.00	Total of 2 transactions
09/04/20	47.000	2,919.10	08/31/20	2,906.01	...	13.09	Sale ²⁵
	2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	62.11	08/31/20	63.87	1.76 W	0.00	1 of 2 - Sale ²⁵
	1.000	62.11	08/31/20	64.57	2.46 W	0.00	2 of 2 - Sale ²⁵
09/04/20	2.000	124.22	Various	128.44	4.22 W	0.00	Total of 2 transactions
	2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	1.000	61.38	09/08/20	61.26	...	0.12	1 of 2 - Sale ²⁵
	28.000	1,718.59	09/08/20	1,666.00	...	52.59	2 of 2 - Sale ²⁵
09/10/20	29.000	1,779.97	Various	1,727.26	...	52.71	Total of 2 transactions
	2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	1.000	61.38	08/31/20	61.83	0.45 W	0.00	1 of 2 - Sale ²⁵
	1.000	61.38	09/08/20	61.96	0.58 W	0.00	2 of 2 - Sale ²⁵
09/10/20	2.000	122.76	Various	123.79	1.03 W	0.00	Total of 2 transactions
09/18/20	4.000	252.64	09/17/20	250.41	...	2.23	Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol: (cont'd)							
<i>2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	63.16	09/16/20	64.09	0.93 W	0.00	1 of 2 - Sale ²⁵
	1.000	63.16	09/17/20	63.18	0.02 W	0.00	2 of 2 - Sale ²⁵
09/18/20	2.000	126.32	Various	127.27	0.95 W	0.00	Total of 2 transactions
<i>5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.002	0.11	09/22/20	0.11	...	0.00	1 of 5 - Sale ²⁵
	0.227	13.73	09/22/20	13.73	...	0.00	2 of 5 - Sale ²⁵
	0.771	46.57	09/22/20	46.55	...	0.02	3 of 5 - Sale ²⁵
	1.000	60.39	09/22/20	59.49	...	0.90	4 of 5 - Sale ²⁵
	9.771	590.04	09/22/20	581.07	...	8.97	5 of 5 - Sale ²⁵
09/23/20	11.771	710.84	Various	700.95	...	9.89	Total of 5 transactions
<i>3 transactions for 10/20/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.01	10/14/20	0.01	...	0.00	1 of 3 - Sale ²⁵
	0.660	40.83	10/14/20	39.74	...	1.09	2 of 3 - Sale ²⁵
	1.000	61.88	10/14/20	60.25	...	1.63	3 of 3 - Sale ²⁵
10/20/20	1.660	102.72	Various	100.00	...	2.72	Total of 3 transactions
<i>6 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	8.308	535.71	10/26/20	500.00	...	35.71	1 of 6 - Sale ²⁵
	0.002	0.12	10/27/20	0.11	...	0.01	2 of 6 - Sale ²⁵
	1.731	111.62	10/27/20	100.00	...	11.62	3 of 6 - Sale ²⁵
	1.733	111.73	10/27/20	100.00	...	11.73	4 of 6 - Sale ²⁵
	1.756	113.25	10/27/20	99.89	...	13.36	5 of 6 - Sale ²⁵
	2.000	128.95	10/27/20	113.20	...	15.75	6 of 6 - Sale ²⁵
11/09/20	15.531	1,001.38	Various	913.20	...	88.18	Total of 6 transactions
<i>2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.205	14.51	12/22/20	14.20	...	0.31	1 of 2 - Sale ²⁵
	7.000	496.34	12/22/20	485.80	...	10.54	2 of 2 - Sale ²⁵
12/23/20	7.205	510.85	Various	500.00	...	10.85	Total of 2 transactions
	Security total:	48,745.17		48,025.53	213.33 W	932.97	

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Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol:							
5 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	10.000	659.78	06/24/20	638.61	...	21.17	1 of 5 - Sale ²⁵
	50.000	3,298.92	07/16/20	3,244.17	...	54.75	2 of 5 - Sale ²⁵
	1.000	65.98	07/17/20	62.79	...	3.19	3 of 5 - Sale ²⁵
	10.000	659.78	07/22/20	616.98	...	42.80	4 of 5 - Sale ²⁵
	15.000	989.68	08/07/20	912.08	...	77.60	5 of 5 - Sale ²⁵
08/10/20	86.000	5,674.14	Various	5,474.63	...	199.51	Total of 5 transactions
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	13.000	857.72	06/11/20	956.72	99.00 W	0.00	1 of 3 - Sale ²⁵
	2.000	131.96	06/17/20	144.59	12.63 W	0.00	2 of 3 - Sale ²⁵
	3.000	197.94	06/30/20	207.33	9.39 W	0.00	3 of 3 - Sale ²⁵
08/10/20	18.000	1,187.62	Various	1,308.64	121.02 W	0.00	Total of 3 transactions
9 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	25.000	1,695.08	08/11/20	1,709.02	...	-13.94	1 of 9 - Sale ²⁵
	3.000	203.41	08/11/20	201.48	...	1.93	2 of 9 - Sale ²⁵
	30.000	2,034.10	08/13/20	1,998.08	...	36.02	3 of 9 - Sale ²⁵
	5.000	339.02	08/18/20	327.35	...	11.67	4 of 9 - Sale ²⁵
	29.000	1,966.29	08/18/20	1,932.58	...	33.71	5 of 9 - Sale ²⁵
	15.000	1,017.05	08/18/20	982.05	...	35.00	6 of 9 - Sale ²⁵
	15.000	1,017.05	08/18/20	969.60	...	47.45	7 of 9 - Sale ²⁵
	20.000	1,356.06	08/19/20	1,287.20	...	68.86	8 of 9 - Sale ²⁵
	39.000	2,644.33	08/19/20	2,492.10	...	152.23	9 of 9 - Sale ²⁵
08/24/20	181.000	12,272.39	Various	11,899.46	...	372.93	Total of 9 transactions
5 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	67.80	08/10/20	75.46	7.66 W	0.00	1 of 5 - Sale ²⁵
	33.000	2,237.51	08/11/20	2,320.89	66.84 W	-16.54	2 of 5 - Sale ²⁵
	12.000	813.64	08/11/20	935.34	121.70 W	0.00	3 of 5 - Sale ²⁵
	2.000	135.61	08/11/20	153.29	17.68 W	0.00	4 of 5 - Sale ²⁵
	3.000	203.41	08/11/20	220.38	16.97 W	0.00	5 of 5 - Sale ²⁵
08/24/20	51.000	3,457.97	Various	3,705.36	230.85 W	-16.54	Total of 5 transactions
4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	68.11	08/25/20	74.89	...	-6.78	1 of 4 - Sale ²⁵
	4.000	272.43	08/26/20	306.13	...	-33.70	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol: (cont'd)							
	8.000	544.87	08/28/20	620.89	...	-76.02	3 of 4 - Sale ²⁵
	2.000	136.21	08/28/20	152.62	...	-16.41	4 of 4 - Sale ²⁵
08/28/20	15.000	1,021.62	Various	1,154.53	...	-132.91	Total of 4 transactions
	3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	1.000	70.45	08/28/20	75.01	4.56 W	0.00	1 of 3 - Sale ²⁵
	3.000	211.35	09/04/20	218.57	4.65 W	-2.57	2 of 3 - Sale ²⁵
	2.000	140.89	09/04/20	151.97	11.08 W	0.00	3 of 3 - Sale ²⁵
09/08/20	6.000	422.69	Various	445.55	20.29 W	-2.57	Total of 3 transactions
09/10/20	3.000	208.26	09/09/20	213.50	5.24 W	0.00	Sale ²⁵
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.825	126.91	09/21/20	124.61	...	2.30	1 of 3 - Sale ²⁵
	0.454	32.51	09/23/20	30.92	...	1.59	2 of 3 - Sale ²⁵
	7.175	499.02	09/23/20	488.36	...	10.66	3 of 3 - Sale ²⁵
10/06/20	9.454	658.44	Various	643.89	...	14.55	Total of 3 transactions
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	69.55	09/16/20	77.54	7.99 W	0.00	1 of 3 - Sale ²⁵
	5.000	347.74	09/17/20	377.36	29.62 W	0.00	2 of 3 - Sale ²⁵
	5.000	347.74	09/17/20	370.89	23.15 W	0.00	3 of 3 - Sale ²⁵
10/06/20	11.000	765.03	Various	825.79	60.76 W	0.00	Total of 3 transactions
	7 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	0.001	0.07	10/09/20	0.07	...	0.00	1 of 7 - Sale ²⁵
	0.505	33.38	10/15/20	33.02	...	0.36	2 of 7 - Sale ²⁵
	73.018	4,823.47	10/15/20	4,772.46	...	51.01	3 of 7 - Sale ²⁵
	0.010	0.68	10/16/20	0.66	...	0.02	4 of 7 - Sale ²⁵
	7.199	475.53	10/16/20	464.30	...	11.23	5 of 7 - Sale ²⁵
	7.758	512.48	10/16/20	499.34	...	13.14	6 of 7 - Sale ²⁵
	2.558	168.95	10/19/20	163.97	...	4.98	7 of 7 - Sale ²⁵
10/22/20	91.049	6,014.56	Various	5,933.82	...	80.74	Total of 7 transactions
	36 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	0.690	45.59	10/06/20	53.15	7.56 W	0.00	1 of 36 - Sale ²⁵
	0.310	20.47	10/08/20	23.32	2.85 W	0.00	2 of 36 - Sale ²⁵
	2.000	132.12	10/08/20	152.48	20.36 W	0.00	3 of 36 - Sale ²⁵
	1.933	127.71	10/08/20	141.34	13.63 W	0.00	4 of 36 - Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol: (cont'd)							
	0.276	18.20	10/09/20	19.78	1.58 W	0.00	5 of 36 - Sale ²⁵
	1.000	66.06	10/09/20	78.03	11.97 W	0.00	6 of 36 - Sale ²⁵
	5.000	330.29	10/09/20	379.85	49.56 W	0.00	7 of 36 - Sale ²⁵
	5.000	330.29	10/09/20	373.37	43.08 W	0.00	8 of 36 - Sale ²⁵
	2.724	179.97	10/09/20	195.60	15.63 W	0.00	9 of 36 - Sale ²⁵
	0.276	18.20	10/09/20	19.30	1.10 W	0.00	10 of 36 - Sale ²⁵
	4.361	288.11	10/09/20	299.52	11.41 W	0.00	11 of 36 - Sale ²⁵
	0.007	0.46	10/09/20	0.48	0.02 W	0.00	12 of 36 - Sale ²⁵
	2.898	191.45	10/09/20	200.00	8.55 W	0.00	13 of 36 - Sale ²⁵
	0.690	45.59	10/15/20	52.67	7.08 W	0.00	14 of 36 - Sale ²⁵
	0.310	20.47	10/15/20	23.10	2.63 W	0.00	15 of 36 - Sale ²⁵
	1.976	130.54	10/15/20	149.28	18.74 W	0.00	16 of 36 - Sale ²⁵
	0.024	1.58	10/15/20	1.80	0.22 W	0.00	17 of 36 - Sale ²⁵
	1.000	66.06	10/15/20	77.34	11.28 W	0.00	18 of 36 - Sale ²⁵
	5.000	330.29	10/15/20	376.42	46.13 W	0.00	19 of 36 - Sale ²⁵
	5.000	330.29	10/15/20	369.94	39.65 W	0.00	20 of 36 - Sale ²⁵
	1.933	127.71	10/15/20	140.01	12.30 W	0.00	21 of 36 - Sale ²⁵
	0.276	18.20	10/15/20	19.59	1.39 W	0.00	22 of 36 - Sale ²⁵
	2.724	179.98	10/15/20	193.73	13.75 W	0.00	23 of 36 - Sale ²⁵
	0.007	0.46	10/15/20	0.48	0.02 W	0.00	24 of 36 - Sale ²⁵
	0.276	18.20	10/15/20	19.11	0.91 W	0.00	25 of 36 - Sale ²⁵
	2.345	154.89	10/15/20	160.19	5.30 W	0.00	26 of 36 - Sale ²⁵
	4.361	288.11	10/15/20	296.53	8.42 W	0.00	27 of 36 - Sale ²⁵
	0.553	36.56	10/16/20	37.33	0.77 W	0.00	28 of 36 - Sale ²⁵
	0.690	45.59	10/19/20	51.33	5.74 W	0.00	29 of 36 - Sale ²⁵
	0.310	20.47	10/19/20	22.49	2.02 W	0.00	30 of 36 - Sale ²⁵
	0.024	1.58	10/19/20	1.75	0.17 W	0.00	31 of 36 - Sale ²⁵
	1.976	130.54	10/19/20	145.43	14.89 W	0.00	32 of 36 - Sale ²⁵
	1.000	66.06	10/19/20	75.39	9.33 W	0.00	33 of 36 - Sale ²⁵
	1.233	81.45	10/19/20	90.41	8.96 W	0.00	34 of 36 - Sale ²⁵
	0.009	0.57	10/19/20	0.63	0.06 W	0.00	35 of 36 - Sale ²⁵
	0.759	50.10	10/19/20	56.03	5.93 W	0.00	36 of 36 - Sale ²⁵
10/22/20	58.951	3,894.21	Various	4,297.20	402.99 W	0.00	Total of 36 transactions

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(continued)

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SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol: (cont'd)							
<i>18 transactions for 10/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.276	18.56	10/19/20	18.72	...	-0.16	1 of 18 - Sale ²⁵
	0.009	0.61	10/19/20	0.61	...	0.00	2 of 18 - Sale ²⁵
	1.493	100.63	10/19/20	99.41	...	1.22	3 of 18 - Sale ²⁵
	1.000	67.38	10/21/20	72.77	...	-5.39	4 of 18 - Sale ²⁵
	1.101	74.21	10/21/20	78.31	...	-4.10	5 of 18 - Sale ²⁵
	1.233	83.08	10/21/20	87.18	...	-4.10	6 of 18 - Sale ²⁵
	0.875	58.95	10/21/20	62.09	...	-3.14	7 of 18 - Sale ²⁵
	0.690	46.50	10/21/20	49.62	...	-3.12	8 of 18 - Sale ²⁵
	0.759	51.11	10/21/20	54.05	...	-2.94	9 of 18 - Sale ²⁵
	0.340	22.88	10/21/20	23.75	...	-0.87	10 of 18 - Sale ²⁵
	0.310	20.88	10/21/20	21.72	...	-0.84	11 of 18 - Sale ²⁵
	0.024	1.61	10/21/20	1.68	...	-0.07	12 of 18 - Sale ²⁵
	0.009	0.58	10/21/20	0.60	...	-0.02	13 of 18 - Sale ²⁵
	0.007	0.47	10/21/20	0.46	...	0.01	14 of 18 - Sale ²⁵
	0.553	37.29	10/21/20	35.95	...	1.34	15 of 18 - Sale ²⁵
	2.345	157.99	10/21/20	154.35	...	3.64	16 of 18 - Sale ²⁵
	2.859	192.64	10/21/20	187.26	...	5.38	17 of 18 - Sale ²⁵
	11.525	776.55	10/21/20	731.13	...	45.42	18 of 18 - Sale ²⁵
10/23/20	25.406	1,711.92	Various	1,679.66	...	32.26	Total of 18 transactions
<i>6 transactions for 10/23/20. Total proceeds and cost reported to the IRS.</i>							
	2.724	183.58	10/19/20	189.87	1.78 W	-4.51	1 of 6 - Sale ²⁵
	0.340	22.88	10/19/20	25.09	2.21 W	0.00	2 of 6 - Sale ²⁵
	2.660	179.26	10/19/20	196.52	17.26 W	0.00	3 of 6 - Sale ²⁵
	5.000	336.91	10/19/20	362.86	25.95 W	0.00	4 of 6 - Sale ²⁵
	1.933	130.27	10/19/20	137.27	7.00 W	0.00	5 of 6 - Sale ²⁵
	0.276	18.56	10/19/20	19.20	0.64 W	0.00	6 of 6 - Sale ²⁵
10/23/20	12.933	871.46	Various	930.81	54.84 W	-4.51	Total of 6 transactions
<i>9 transactions for 11/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	321.39	10/26/20	349.05	...	-27.66	1 of 9 - Sale ²⁵
	1.923	123.60	10/26/20	136.74	...	-13.14	2 of 9 - Sale ²⁵
	0.738	47.41	10/26/20	52.44	...	-5.03	3 of 9 - Sale ²⁵
	0.077	4.95	10/26/20	5.26	...	-0.31	4 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol: (cont'd)							
	1.612	103.62	10/27/20	105.84	...	-2.22	5 of 9 - Sale ²⁵
	0.244	15.70	10/27/20	16.03	...	-0.33	6 of 9 - Sale ²⁵
	0.286	18.39	10/27/20	18.42	...	-0.03	7 of 9 - Sale ²⁵
	0.484	31.09	10/27/20	31.12	...	-0.03	8 of 9 - Sale ²⁵
	0.276	17.71	10/27/20	17.73	...	-0.02	9 of 9 - Sale ²⁵
11/02/20	10.639	683.86	Various	732.63	...	-48.77	Total of 9 transactions
	8 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	11.959	997.12	12/21/20	1,000.00	...	-2.88	1 of 8 - Sale ²⁵
	0.001	0.08	12/21/20	0.08	...	0.00	2 of 8 - Sale ²⁵
	0.359	29.94	12/21/20	29.84	...	0.10	3 of 8 - Sale ²⁵
	3.250	270.99	12/21/20	270.08	...	0.91	4 of 8 - Sale ²⁵
	0.004	0.30	12/22/20	0.30	...	0.00	5 of 8 - Sale ²⁵
	0.143	11.95	12/22/20	11.80	...	0.15	6 of 8 - Sale ²⁵
	3.644	303.82	12/22/20	300.00	...	3.82	7 of 8 - Sale ²⁵
	12.000	1,000.54	12/22/20	987.90	...	12.64	8 of 8 - Sale ²⁵
12/22/20	31.360	2,614.74	Various	2,600.00	...	14.74	Total of 8 transactions
	Security total:	41,458.91		41,845.47	895.99 W	509.43	
STORE CAPITAL CORPORATION / CUSIP: 862121100 / Symbol:							
08/07/20	2.000	50.52	06/15/20	50.12	...	0.40	Sale ²⁵
SUNCOR ENERGY, INC. / CUSIP: 867224107 / Symbol:							
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	5.000	92.56	08/14/20	85.80	...	6.76	1 of 2 - Sale ²⁵
	3.000	55.53	08/21/20	47.70	...	7.83	2 of 2 - Sale ²⁵
12/09/20	8.000	148.09	Various	133.50	...	14.59	Total of 2 transactions
SYNCHRONY FINANCIAL / CUSIP: 87165B103 / Symbol:							
	3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	9.000	208.70	07/01/20	194.58	...	14.12	1 of 3 - Sale ²⁵
	100.000	2,318.94	07/01/20	2,250.90	...	68.04	2 of 3 - Sale ²⁵
	100.000	2,318.94	07/01/20	2,250.00	...	68.94	3 of 3 - Sale ²⁵
07/13/20	209.000	4,846.58	Various	4,695.48	...	151.10	Total of 3 transactions
07/24/20	10.000	236.60	07/01/20	216.20	...	20.40	Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SYNCHRONY FINANCIAL / CUSIP: 87165B103 / Symbol: (cont'd)							
07/31/20	1.000	22.81	07/01/20	21.62	...	1.19	Sale ²⁵
08/07/20	11.000	260.39	07/31/20	241.84	...	18.55	Sale ²⁵
	2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	50.000	1,307.08	09/21/20	1,307.00	...	0.08	1 of 2 - Sale ²⁵
	0.775	20.27	09/21/20	20.00	...	0.27	2 of 2 - Sale ²⁵
09/28/20	50.775	1,327.35	Various	1,327.00	...	0.35	Total of 2 transactions
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.525	15.24	10/13/20	14.90	...	0.34	1 of 2 - Sale ²⁵
	3.000	87.00	10/13/20	85.10	...	1.90	2 of 2 - Sale ²⁵
10/14/20	3.525	102.24	Various	100.00	...	2.24	Total of 2 transactions
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.422	12.23	10/09/20	12.32	0.09 W	0.00	1 of 2 - Sale ²⁵
	3.000	87.00	10/09/20	87.68	0.68 W	0.00	2 of 2 - Sale ²⁵
10/14/20	3.422	99.23	Various	100.00	0.77 W	0.00	Total of 2 transactions
	4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	0.422	13.00	10/19/20	12.46	...	0.54	1 of 4 - Sale ²⁵
	3.000	92.46	10/19/20	88.67	...	3.79	2 of 4 - Sale ²⁵
	26.578	819.11	10/19/20	779.53	...	39.58	3 of 4 - Sale ²⁵
	3.719	114.62	10/26/20	99.00	...	15.62	4 of 4 - Sale ²⁵
11/09/20	33.719	1,039.19	Various	979.66	...	59.53	Total of 4 transactions
	Security total:	7,934.39		7,681.80	0.77 W	253.36	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
08/12/20	1.000	1,580.04	07/22/20	1,568.83	...	11.21	Sale ²⁵
09/24/20	0.515	200.75	09/23/20	200.00	...	0.75	Sale ²⁵
10/07/20	0.725	306.39	10/06/20	300.00	...	6.39	Sale ²⁵
	6 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	0.578	245.70	10/21/20	247.47	...	-1.77	1 of 6 - Sale ²⁵
	0.001	0.32	10/21/20	0.32	...	0.00	2 of 6 - Sale ²⁵
	0.001	0.38	10/26/20	0.37	...	0.01	3 of 6 - Sale ²⁵
	0.121	51.52	10/26/20	50.42	...	1.10	4 of 6 - Sale ²⁵
	0.299	126.92	10/26/20	123.33	...	3.59	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	2.000	849.68	10/26/20	825.24	...	24.44	6 of 6 - Sale ²⁵
10/27/20	3.000	1,274.52	Various	1,247.15	...	27.37	Total of 6 transactions
10/27/20	0.122	51.85	10/21/20	52.21	0.36 W	0.00	Sale ²⁵
	2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	0.632	394.55	12/16/20	387.15	...	7.40	1 of 2 - Sale ²⁵
	1.000	624.48	12/16/20	612.85	...	11.63	2 of 2 - Sale ²⁵
12/16/20	1.632	1,019.03	Various	1,000.00	...	19.03	Total of 2 transactions
	3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	0.229	152.04	12/21/20	150.10	...	1.94	1 of 3 - Sale ²⁵
	0.764	506.40	12/21/20	499.90	...	6.50	2 of 3 - Sale ²⁵
	0.771	510.92	12/21/20	500.00	...	10.92	3 of 3 - Sale ²⁵
12/24/20	1.764	1,169.36	Various	1,150.00	...	19.36	Total of 3 transactions
	5 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	0.000	0.10	12/30/20	0.10	...	0.00	1 of 5 - Sale ²⁵
	1.607	1,150.64	12/30/20	1,099.90	...	50.74	2 of 5 - Sale ²⁵
	0.000	0.20	12/31/20	0.20	...	0.00	3 of 5 - Sale ²⁵
	0.352	252.13	12/31/20	250.00	...	2.13	4 of 5 - Sale ²⁵
	2.521	1,805.75	12/31/20	1,798.80	...	6.95	5 of 5 - Sale ²⁵
12/31/20	4.480	3,208.82	Various	3,149.00	...	59.82	Total of 5 transactions
	Security total:	8,810.76		8,667.19	0.36 W	143.93	
TRADEWEB MARKETS INC. CLASS A COMMON STOCK / CUSIP: 892672106 / Symbol:							
11/06/20	0.829	50.75	10/13/20	50.00	...	0.75	Sale ²⁵
VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
12/09/20	1.707	105.14	10/19/20	99.00	...	6.14	Sale ²⁵
VMWARE, INC / CUSIP: 928563402 / Symbol:							
08/28/20	50.000	7,274.83	08/27/20	7,239.50	...	35.33	Sale ²⁵
WALMART INC. / CUSIP: 931142103 / Symbol:							
08/27/20	25.000	3,452.42	08/17/20	3,397.75	...	54.67	Sale ²⁵

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Robinhood Securities LLC

Account 814533899

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVEREST RE GROUP, LTD. / CUSIP: G3223R108 / Symbol:							
08/14/20	15.000	3,415.87	08/13/20	3,384.90	...	30.97	Sale ²⁵
Totals :		384,552.26		390,578.46	7,993.19 W	1,966.99	

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Robinhood Securities LLC

Account 814533899

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CARRIER GLOBAL CORPORATION	14448C104		07/20/20	0.08	Qualified dividend	
			11/23/20	1.10	Qualified dividend	
				1.18	Total Dividends & distributions	
EXXON MOBIL CORPORATION	30231G102		09/10/20	33.93	Qualified dividend	
INTEL CORPORATION COMMON STOCK	458140100		09/01/20	11.55	Qualified dividend	
INTERNATIONAL BUSINESS MACHINE S CORPORATION	459200101		12/10/20	1.25	Qualified dividend	
KFORCE, INC. COMMON STOCK	493732101		09/25/20	0.20	Qualified dividend	
OCCIDENTAL PETROLEUM CORPORATI ON RAYTHEON TECHNOLOGIES CORPORAT ION	674599105		10/15/20	0.15	Qualified dividend	
			06/18/20	7.60	Qualified dividend	
			09/10/20	4.28	Qualified dividend	
				11.88	Total Dividends & distributions	
SIMON PROPERTY GROUP, INC.	828806109		07/24/20	32.66	Section 199A dividend	03
			07/24/20	2.78	Qualified dividend	03
			07/24/20	0.86	Long-term capital gain	03
			07/24/20	0.10	Unrecaptured section 1250 gain	03
			10/23/20	0.81	Section 199A dividend	03
			10/23/20	0.07	Qualified dividend	03
			10/23/20	0.02	Long-term capital gain	03
			37.30	Total Dividends & distributions		
STORE CAPITAL CORPORATION	862121100		07/15/20	0.53	Section 199A dividend	03
			07/15/20	0.16	Nondividend distribution	03
			07/15/20	0.01	Long-term capital gain	03
				0.70	Total Dividends & distributions	

Robinhood Securities LLC

Account 814533899

Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SUNCOR ENERGY, INC.	867224107		09/25/20	1.25	Nonqualified dividend	
			09/25/20	-0.19	Foreign tax withheld-CA	
			12/24/20	1.31	Nonqualified dividend	
			12/24/20	-0.20	Foreign tax withheld-CA	
				2.56	Total Dividends & distributions	
	-0.39	Total Foreign tax withheld				
SYNCHRONY FINANCIAL	87165B103		08/13/20	0.22	Qualified dividend	
			11/12/20	6.10	Qualified dividend	
				6.32	Total Dividends & distributions	
	107.02	Total Dividends & distributions				
	-0.39	Total Foreign tax withheld				

Robinhood Securities LLC

Account 814533899

Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
CARRIER GLOBAL CORPORATION	14448C104	11/23/20	0.08	Substitute payment	
EXXON MOBIL CORPORATION	30231G102	09/10/20	0.87	Substitute payment	
OCCIDENTAL PETROLEUM CORPORATI ON	674599105	10/15/20	2.35	Substitute payment	
RAYTHEON TECHNOLOGIES CORPORAT ION	75513E101	09/10/20	0.95	Substitute payment	
SYNCHRONY FINANCIAL	87165B103	11/12/20	1.32	Substitute payment	
			5.57	Total Miscellaneous income	

Robinhood Securities LLC

Account 814533899

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		07/13/20	-5.00	Margin interest paid	
		07/13/20	-6.36	Margin interest paid	
		08/11/20	-5.00	Margin interest paid	
		08/11/20	-22.46	Margin interest paid	
		09/10/20	-5.00	Margin interest paid	
		09/10/20	-60.52	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
		10/12/20	-60.72	Margin interest paid	
		11/09/20	-5.00	Margin interest paid	
		11/09/20	-43.36	Margin interest paid	
		12/09/20	-4.20	Margin interest paid	
		12/09/20	-5.00	Margin interest paid	
			-227.62	Total Margin interest paid	
			-227.62	Total Margin interest paid	

Robinhood Securities LLC

Account 814533899

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	SUNCOR ENERGY, INC.	867224107	2.56	0.00	0.00	2.56	-0.39	
Total CANADA			2.56	0.00	0.00	2.56	-0.39	
Grand Total	Total Foreign Source Income*			2.56				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax							-0.39

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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