

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 765354501</b>	Statement Date: 02/14/2021 Document ID: 3B5F 4UR F8PS	2020
	Harsh Shah 1285 Dusty Pine Drive Apopka, FL 32703  RECIPIENT'S TIN: XXX-XX-5570		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
--	---

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	219,001.83	217,972.54	0.00	1,834.38	2,863.67
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	8.54	8.10	0.00	0.00	0.44
	<b>Total Short-term</b>	<b>219,010.37</b>	<b>217,980.64</b>	<b>0.00</b>	<b>1,834.38</b>	<b>2,864.11</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>219,010.37</b>	<b>217,980.64</b>	<b>0.00</b>	<b>1,834.38</b>	<b>2,864.11</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 765354501

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACHIEVE LIFE SCIENCES, INC. CO MMON SHARES / CUSIP: 004468500 / Symbol:							
08/10/20	2.000	21.56	07/29/20	32.40	...	-10.84	Sale <sup>25</sup>
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
08/10/20	300.000	121.19	07/27/20	191.76	...	-70.57	Sale <sup>25</sup>
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
11/04/20	15.000	1,199.22	10/13/20	1,275.00	...	-75.78	Sale <sup>25</sup>
AMERI HOLDINGS, INC. COMMON ST OCK / CUSIP: 02362F302 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	40.000	78.40	08/04/20	82.00	...	-3.60	1 of 2 - Sale <sup>25</sup>
	50.000	97.99	08/04/20	99.50	...	-1.51	2 of 2 - Sale <sup>25</sup>
08/12/20	90.000	176.39	Various	181.50	...	-5.11	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	115.64	10/23/20	115.00	...	0.64	1 of 2 - Sale <sup>25</sup>
	9.000	1,040.73	10/23/20	1,034.96	...	5.77	2 of 2 - Sale <sup>25</sup>
10/26/20	10.000	1,156.37	Various	1,149.96	...	6.41	Total of 2 transactions
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	1.000	109.78	11/02/20	109.25	...	0.53	1 of 2 - Sale <sup>25</sup>
	9.000	988.02	11/02/20	983.16	...	4.86	2 of 2 - Sale <sup>25</sup>
11/03/20	10.000	1,097.80	Various	1,092.41	...	5.39	Total of 2 transactions
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	119.30	11/23/20	114.10	...	5.20	1 of 4 - Sale <sup>25</sup>
	9.000	1,073.67	11/23/20	1,026.90	...	46.77	2 of 4 - Sale <sup>25</sup>
	50.000	5,964.86	11/23/20	5,856.50	...	108.36	3 of 4 - Sale <sup>25</sup>
	20.000	2,385.94	11/24/20	2,287.00	...	98.94	4 of 4 - Sale <sup>25</sup>
11/30/20	80.000	9,543.77	Various	9,284.50	...	259.27	Total of 4 transactions
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	127.00	12/18/20	126.50	...	0.50	1 of 2 - Sale <sup>25</sup>
	24.000	3,047.93	12/18/20	3,036.00	...	11.93	2 of 2 - Sale <sup>25</sup>
12/21/20	25.000	3,174.93	Various	3,162.50	...	12.43	Total of 2 transactions
	<b>Security total:</b>	<b>14,972.87</b>		<b>14,689.37</b>	...	<b>283.50</b>	
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
12/08/20	25.000	2,579.44	12/07/20	3,092.38	...	-512.94	Sale <sup>25</sup>
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
07/01/20	2.000	240.18	06/16/20	258.00	...	-17.82	Sale <sup>25</sup>
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	0.687	91.83	07/01/20	99.98	...	-8.15	1 of 2 - Sale <sup>25</sup>
	0.000	0.02	07/01/20	0.02	...	0.00	2 of 2 - Sale <sup>25</sup>
07/10/20	0.687	91.85	Various	100.00	...	-8.15	Total of 2 transactions
BIO-KEY INTERNATIONAL, INC. COMMON STOCK / CUSIP: 09060C309 / Symbol:							
08/10/20	400.000	306.79	07/28/20	296.00	...	10.79	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
07/23/20	200.000	158.02	07/22/20	169.40	...	-11.38	Sale <sup>25</sup>
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
<i>2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	45.000	343.34	08/21/20	311.94	...	31.40	1 of 2 - Sale <sup>25</sup>
	55.000	419.63	08/24/20	382.53	...	37.10	2 of 2 - Sale <sup>25</sup>
08/24/20	100.000	762.97	Various	694.47	...	68.50	Total of 2 transactions
BRAINSTORM CELL THERAPEUTICS I NC. COMMON STOCK / CUSIP: 10501E201 / Symbol:							
<i>2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>							
	123.000	504.27	11/18/20	485.85	...	18.42	1 of 2 - Sale <sup>25</sup>
	377.000	1,545.62	11/18/20	1,489.15	...	56.47	2 of 2 - Sale <sup>25</sup>
11/19/20	500.000	2,049.89	Various	1,975.00	...	74.89	Total of 2 transactions
<i>6 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	11.000	47.30	11/23/20	45.65	...	1.65	1 of 6 - Sale <sup>25</sup>
	15.000	64.49	11/23/20	62.25	...	2.24	2 of 6 - Sale <sup>25</sup>
	15.000	64.50	11/23/20	62.25	...	2.25	3 of 6 - Sale <sup>25</sup>
	61.000	262.29	11/23/20	253.17	...	9.12	4 of 6 - Sale <sup>25</sup>
	85.000	365.49	11/23/20	352.77	...	12.72	5 of 6 - Sale <sup>25</sup>
	89.000	382.68	11/23/20	369.36	...	13.32	6 of 6 - Sale <sup>25</sup>
11/23/20	276.000	1,186.75	Various	1,145.45	...	41.30	Total of 6 transactions
<i>2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	89.000	382.69	11/20/20	382.70	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	185.000	795.46	11/20/20	795.50	0.04 W	0.00	2 of 2 - Sale <sup>25</sup>
11/23/20	274.000	1,178.15	Various	1,178.20	0.05 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,414.79</b>		<b>4,298.65</b>	<b>0.05 W</b>	<b>116.19</b>	
BROADWAY FINANCIAL CORPORATION COMMON STOCK / CUSIP: 111444105 / Symbol:							
06/23/20	25.000	62.00	06/19/20	112.50	...	-50.50	Sale <sup>25</sup>
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
<i>5 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	34.000	27.20	07/27/20	32.55	5.35 W	0.00	1 of 5 - Sale <sup>25</sup>
	66.000	52.80	07/27/20	63.19	10.39 W	0.00	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol: (cont'd)							
	34.000	27.20	07/27/20	37.90	10.70 W	0.00	3 of 5 - Sale <sup>25</sup>
	66.000	52.80	07/27/20	63.19	10.39 W	0.00	4 of 5 - Sale <sup>25</sup>
	150.000	119.99	07/27/20	143.61	23.62 W	0.00	5 of 5 - Sale <sup>25</sup>
07/28/20	350.000	279.99	Various	340.44	60.45 W	0.00	Total of 5 transactions
5 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	150.000	126.82	07/28/20	142.12	...	-15.30	1 of 5 - Sale <sup>25</sup>
	34.000	28.75	07/28/20	37.56	...	-8.81	2 of 5 - Sale <sup>25</sup>
	66.000	55.80	07/28/20	62.53	...	-6.73	3 of 5 - Sale <sup>25</sup>
	66.000	55.80	07/28/20	62.53	...	-6.73	4 of 5 - Sale <sup>25</sup>
	34.000	28.75	07/28/20	26.86	...	1.89	5 of 5 - Sale <sup>25</sup>
07/30/20	350.000	295.92	Various	331.60	...	-35.68	Total of 5 transactions
	<b>Security total:</b>	<b>575.91</b>		<b>672.04</b>	<b>60.45 W</b>	<b>-35.68</b>	
CABALETTA BIO, INC. COMMON STO CK / CUSIP: 12674W109 / Symbol:							
08/04/20	9.000	109.26	08/04/20	113.27	...	-4.01	Sale <sup>25</sup>
CF FINANCE ACQUISITION CORP. I I CLASS A COMMON STOCK / CUSIP: 15725Q104 / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	48.000	556.78	12/24/20	556.82	...	-0.04	1 of 2 - Sale <sup>25</sup>
	52.000	603.18	12/24/20	603.20	...	-0.02	2 of 2 - Sale <sup>25</sup>
12/24/20	100.000	1,159.96	Various	1,160.02	...	-0.06	Total of 2 transactions
12/24/20	150.000	1,739.94	12/24/20	1,740.00	0.02 W	-0.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,899.90</b>		<b>2,900.02</b>	<b>0.02 W</b>	<b>-0.10</b>	
THE CONTAINER STORE GROUP, INC. / CUSIP: 210751103 / Symbol:							
10/07/20	65.000	617.47	10/06/20	593.10	...	24.37	Sale <sup>25</sup>
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	9.20	10/07/20	8.50	...	0.70	1 of 2 - Sale <sup>25</sup>
	49.000	450.80	10/07/20	416.50	...	34.30	2 of 2 - Sale <sup>25</sup>
10/08/20	50.000	460.00	Various	425.00	...	35.00	Total of 2 transactions
10/12/20	50.000	433.50	10/09/20	425.00	...	8.50	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE CONTAINER STORE GROUP, INC. / CUSIP: 210751103 / Symbol: (cont'd)							
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	10.000	86.18	10/14/20	86.00	...	0.18	1 of 2 - Sale <sup>25</sup>
	40.000	344.71	10/14/20	344.00	...	0.71	2 of 2 - Sale <sup>25</sup>
10/15/20	50.000	430.89	Various	430.00	...	0.89	Total of 2 transactions
10/20/20	50.000	480.50	10/20/20	455.50	...	25.00	Sale <sup>25</sup>
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	2.000	20.06	10/21/20	19.70	...	0.36	1 of 2 - Sale <sup>25</sup>
	48.000	481.42	10/21/20	472.80	...	8.62	2 of 2 - Sale <sup>25</sup>
10/23/20	50.000	501.48	Various	492.50	...	8.98	Total of 2 transactions
2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	9.35	10/26/20	9.10	...	0.25	1 of 2 - Sale <sup>25</sup>
	49.000	458.15	10/26/20	445.90	...	12.25	2 of 2 - Sale <sup>25</sup>
10/27/20	50.000	467.50	Various	455.00	...	12.50	Total of 2 transactions
10/29/20	50.000	462.51	10/28/20	425.00	...	37.51	Sale <sup>25</sup>
11/09/20	250.000	2,477.16	11/06/20	2,437.50	...	39.66	Sale <sup>25</sup>
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	50.000	483.98	11/09/20	472.50	...	11.48	1 of 3 - Sale <sup>25</sup>
	100.000	967.96	11/09/20	945.00	...	22.96	2 of 3 - Sale <sup>25</sup>
	100.000	970.96	11/09/20	945.00	...	25.96	3 of 3 - Sale <sup>25</sup>
11/10/20	250.000	2,422.90	Various	2,362.50	...	60.40	Total of 3 transactions
4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	50.000	504.98	11/18/20	480.00	...	24.98	1 of 4 - Sale <sup>25</sup>
	50.000	504.98	11/18/20	480.00	...	24.98	2 of 4 - Sale <sup>25</sup>
	50.000	504.98	11/18/20	480.00	...	24.98	3 of 4 - Sale <sup>25</sup>
	100.000	1,009.97	11/18/20	960.00	...	49.97	4 of 4 - Sale <sup>25</sup>
11/19/20	250.000	2,524.91	Various	2,400.00	...	124.91	Total of 4 transactions
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	150.000	1,450.45	11/23/20	1,402.50	...	47.95	1 of 2 - Sale <sup>25</sup>
	250.000	2,417.41	11/24/20	2,237.50	...	179.91	2 of 2 - Sale <sup>25</sup>
12/03/20	400.000	3,867.86	Various	3,640.00	...	227.86	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE CONTAINER STORE GROUP, INC. / CUSIP: 210751103 / Symbol: (cont'd)							
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	100.000	968.96	11/23/20	1,045.00	76.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	150.000	1,450.45	11/23/20	1,567.50	117.05 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	966.97	11/23/20	1,011.04	44.07 W	0.00	3 of 3 - Sale <sup>25</sup>
12/03/20	350.000	3,386.38	Various	3,623.54	237.16 W	0.00	Total of 3 transactions
12/04/20	50.000	507.48	12/04/20	497.50	...	9.98	Sale <sup>25</sup>
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	100.000	1,014.96	12/04/20	1,039.07	24.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	150.000	1,522.45	12/04/20	1,609.55	87.10 W	0.00	2 of 2 - Sale <sup>25</sup>
12/04/20	250.000	2,537.41	Various	2,648.62	111.21 W	0.00	Total of 2 transactions
12/09/20	50.000	509.98	12/04/20	498.00	...	11.98	Sale <sup>25</sup>
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	100.000	1,019.96	12/04/20	1,020.11	0.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	150.000	1,529.95	12/04/20	1,581.10	51.15 W	0.00	2 of 2 - Sale <sup>25</sup>
12/09/20	250.000	2,549.91	Various	2,601.21	51.30 W	0.00	Total of 2 transactions
4 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	50.000	507.48	12/29/20	504.55	...	2.93	1 of 4 - Sale <sup>25</sup>
	100.000	1,014.96	12/29/20	1,009.10	...	5.86	2 of 4 - Sale <sup>25</sup>
	50.000	507.49	12/29/20	487.50	...	19.99	3 of 4 - Sale <sup>25</sup>
	100.000	1,014.96	12/29/20	975.15	...	39.81	4 of 4 - Sale <sup>25</sup>
12/30/20	300.000	3,044.89	Various	2,976.30	...	68.59	Total of 4 transactions
	<b>Security total:</b>	<b>27,682.73</b>		<b>27,386.27</b>	<b>399.67 W</b>	<b>696.13</b>	
DMY TECHNOLOGY GROUP, INC. / CUSIP: 233253103 / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	12.000	135.60	08/25/20	133.20	...	2.40	1 of 2 - Sale <sup>25</sup>
	15.000	169.50	08/25/20	166.43	...	3.07	2 of 2 - Sale <sup>25</sup>
08/26/20	27.000	305.10	Various	299.63	...	5.47	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DANAHER CORPORATION / CUSIP: 235851102 / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	243.49	11/05/20	241.90	...	1.59	1 of 2 - Sale <sup>25</sup>
	4.000	973.98	11/05/20	967.60	...	6.38	2 of 2 - Sale <sup>25</sup>
11/06/20	5.000	1,217.47	Various	1,209.50	...	7.97	Total of 2 transactions
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	10.000	202.00	08/17/20	206.50	...	-4.50	1 of 2 - Sale <sup>25</sup>
	5.000	101.00	08/17/20	103.25	...	-2.25	2 of 2 - Sale <sup>25</sup>
08/24/20	15.000	303.00	Various	309.75	...	-6.75	Total of 2 transactions
ENTERCOM COMMUNICATIONS / CUSIP: 293639100 / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	100.000	142.99	07/28/20	143.00	...	-0.01	1 of 3 - Sale <sup>25</sup>
	99.000	141.56	07/28/20	141.57	...	-0.01	2 of 3 - Sale <sup>25</sup>
	1.000	1.43	07/28/20	1.43	...	0.00	3 of 3 - Sale <sup>25</sup>
07/30/20	200.000	285.98	Various	286.00	...	-0.02	Total of 3 transactions
EVOFEM BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 30048L104 / Symbol:							
08/31/20	200.000	679.96	08/31/20	637.00	...	42.96	Sale <sup>25</sup>
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
06/02/20	1.000	228.42	06/02/20	227.47	...	0.95	Sale <sup>25</sup>
07/08/20	0.425	103.65	07/02/20	100.00	...	3.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>332.07</b>		<b>327.47</b>	...	<b>4.60</b>	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	24.000	144.29	06/16/20	167.28	...	-22.99	1 of 2 - Sale <sup>25</sup>
	3.000	18.03	06/16/20	20.91	...	-2.88	2 of 2 - Sale <sup>25</sup>
07/01/20	27.000	162.32	Various	188.19	...	-25.87	Total of 2 transactions
FORTRESS VALUE ACQUISITION COR P. / CUSIP: 34962V106 / Symbol:							
08/20/20	29.000	352.06	08/18/20	345.10	...	6.96	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FORUM MERGER II CORPORATION CL ASS A COMMON STOCK / CUSIP: 34986F103 / Symbol:							
08/14/20	20.000	313.20	08/13/20	305.50	...	7.70	Sale <sup>25</sup>
GRITSTONE ONCOLOGY, INC. COMMO N STOCK / CUSIP: 39868T105 / Symbol:							
08/11/20	30.000	113.70	08/10/20	111.90	...	1.80	Sale <sup>25</sup>
HP INC. / CUSIP: 40434L105 / Symbol:							
08/24/20	20.000	370.30	08/21/20	363.50	...	6.80	Sale <sup>25</sup>
HOUGHTON MIFFLIN HARCOURT COMP ANY COMMON STOCK / CUSIP: 44157R109 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	10.000	29.65	08/07/20	28.70	...	0.95	1 of 2 - Sale <sup>25</sup>
	90.000	266.84	08/07/20	258.30	...	8.54	2 of 2 - Sale <sup>25</sup>
08/10/20	100.000	296.49	Various	287.00	...	9.49	Total of 2 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
08/25/20	235.000	294.90	08/24/20	292.58	...	2.32	Sale <sup>25</sup>
08/31/20	300.000	385.46	08/28/20	379.50	...	5.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>680.36</b>		<b>672.08</b>	...	<b>8.28</b>	
INUVO, INC. / CUSIP: 46122W204 / Symbol:							
07/22/20	300.000	185.96	07/22/20	210.00	16.03 W	-8.01	Sale <sup>25</sup>
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	100.000	57.99	07/22/20	71.01	...	-13.02	1 of 2 - Sale <sup>25</sup>
	100.000	57.99	07/22/20	71.01	...	-13.02	2 of 2 - Sale <sup>25</sup>
07/23/20	200.000	115.98	Various	142.02	...	-26.04	Total of 2 transactions
	<b>Security total:</b>	<b>301.94</b>		<b>352.02</b>	<b>16.03 W</b>	<b>-34.05</b>	
KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol:							
08/13/20	20.000	142.00	08/12/20	138.00	...	4.00	Sale <sup>25</sup>
08/21/20	25.000	310.35	08/19/20	298.75	...	11.60	Sale <sup>25</sup>
10/07/20	30.000	321.12	10/06/20	300.00	...	21.12	Sale <sup>25</sup>
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	5.000	58.55	10/20/20	57.50	...	1.05	1 of 2 - Sale <sup>25</sup>
	45.000	528.96	10/20/20	517.50	...	11.46	2 of 2 - Sale <sup>25</sup>
10/23/20	50.000	587.51	Various	575.00	...	12.51	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol: (cont'd)							
10/27/20	50.000	559.87	10/26/20	530.00	...	29.87	Sale <sup>25</sup>
11/03/20	50.000	502.48	10/28/20	502.50	0.02 W	0.00	Sale <sup>25</sup>
5 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	45.000	432.00	11/09/20	423.00	...	9.00	1 of 5 - Sale <sup>25</sup>
	50.000	479.98	11/09/20	470.02	...	9.96	2 of 5 - Sale <sup>25</sup>
	50.000	479.98	11/09/20	470.00	...	9.98	3 of 5 - Sale <sup>25</sup>
	50.000	480.00	11/09/20	470.00	...	10.00	4 of 5 - Sale <sup>25</sup>
	55.000	527.97	11/09/20	517.00	...	10.97	5 of 5 - Sale <sup>25</sup>
11/10/20	250.000	2,399.93	Various	2,350.02	...	49.91	Total of 5 transactions
	<b>Security total:</b>	<b>4,823.26</b>		<b>4,694.27</b>	<b>0.02 W</b>	<b>129.01</b>	
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	0.367	4.73	07/06/20	6.21	1.48 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.370	4.81	07/06/20	5.53	0.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.630	112.19	07/06/20	129.28	17.09 W	0.00	3 of 3 - Sale <sup>25</sup>
07/07/20	9.367	121.73	Various	141.02	19.29 W	0.00	Total of 3 transactions
08/05/20	15.000	180.00	07/30/20	179.40	...	0.60	Sale <sup>25</sup>
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	0.367	4.40	07/30/20	5.87	1.47 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.003	0.03	07/30/20	0.04	0.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.630	103.57	07/30/20	120.31	16.74 W	0.00	3 of 3 - Sale <sup>25</sup>
08/05/20	9.000	108.00	Various	126.22	18.22 W	0.00	Total of 3 transactions
08/07/20	21.000	265.44	08/06/20	244.65	...	20.79	Sale <sup>25</sup>
4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	0.367	4.64	08/06/20	5.74	1.10 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.003	0.03	08/06/20	0.04	0.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	7.630	96.53	08/06/20	103.70	7.17 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	12.64	08/06/20	13.59	0.95 W	0.00	4 of 4 - Sale <sup>25</sup>
08/07/20	9.000	113.84	Various	123.07	9.23 W	0.00	Total of 4 transactions
5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	7.630	95.76	08/11/20	102.36	...	-6.60	1 of 5 - Sale <sup>25</sup>
	0.367	4.61	08/11/20	5.68	...	-1.07	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol: (cont'd)							
	1.000	12.55	08/11/20	13.43	...	-0.88	3 of 5 - Sale <sup>25</sup>
	0.003	0.03	08/11/20	0.04	...	-0.01	4 of 5 - Sale <sup>25</sup>
	21.000	263.55	08/11/20	261.97	...	1.58	5 of 5 - Sale <sup>25</sup>
08/13/20	30.000	376.50	Various	383.48	...	-6.98	Total of 5 transactions
	<b>Security total:</b>	<b>1,165.51</b>		<b>1,197.84</b>	<b>46.74 W</b>	<b>14.41</b>	
LIGHTPATH TECHNOLOGIES, INC. C LASS A COMMON STOCK / CUSIP: 532257805 / Symbol:							
08/11/20	55.000	178.74	08/11/20	165.55	...	13.19	Sale <sup>25</sup>
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	0.002	0.17	07/21/20	0.17	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.435	48.92	07/21/20	48.00	...	0.92	2 of 3 - Sale <sup>25</sup>
	4.998	560.41	07/21/20	551.83	...	8.58	3 of 3 - Sale <sup>25</sup>
07/22/20	5.435	609.50	Various	600.00	...	9.50	Total of 3 transactions
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
04/17/20	1.000	4.13	04/15/20	4.15	...	-0.02	Sale <sup>25</sup>
MONEYGRAM INTERNATIONAL, INC. COMMON STOCK / CUSIP: 60935Y208 / Symbol:							
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	1.000	4.95	10/20/20	4.85	...	0.10	1 of 2 - Sale <sup>25</sup>
	49.000	242.30	10/20/20	237.65	...	4.65	2 of 2 - Sale <sup>25</sup>
10/21/20	50.000	247.25	Various	242.50	...	4.75	Total of 2 transactions
MUSCLE MAKER, INC COMMON STOCK / CUSIP: 627333107 / Symbol:							
08/17/20	100.000	349.00	08/17/20	326.99	...	22.01	Sale <sup>25</sup>
08/19/20	100.000	341.99	08/17/20	325.99	...	16.00	Sale <sup>25</sup>
08/26/20	250.000	732.45	08/24/20	722.50	...	9.95	Sale <sup>25</sup>
08/26/20	85.000	249.03	08/21/20	255.43	6.40 W	0.00	Sale <sup>25</sup>
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	355.000	872.67	08/26/20	998.41	118.66 W	-7.08	1 of 2 - Sale <sup>25</sup>
	85.000	208.95	08/26/20	245.45	36.50 W	0.00	2 of 2 - Sale <sup>25</sup>
08/27/20	440.000	1,081.62	Various	1,243.86	155.16 W	-7.08	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MUSCLE MAKER, INC COMMON STOCK / CUSIP: 627333107 / Symbol: (cont'd)							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	335.000	874.32	08/27/20	960.85	...	-86.53	1 of 2 - Sale <sup>25</sup>
	85.000	221.84	08/27/20	250.19	...	-28.35	2 of 2 - Sale <sup>25</sup>
08/28/20	420.000	1,096.16	Various	1,211.04	...	-114.88	Total of 2 transactions
	<b>Security total:</b>	<b>3,850.25</b>		<b>4,085.81</b>	<b>161.56 W</b>	<b>-74.00</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	0.094	1.21	07/01/20	0.74	...	0.47	1 of 5 - Sale <sup>25</sup>
	0.906	11.56	07/01/20	7.06	...	4.50	2 of 5 - Sale <sup>25</sup>
	12.000	153.24	07/01/20	93.60	...	59.64	3 of 5 - Sale <sup>25</sup>
	0.101	1.29	07/06/20	1.18	...	0.11	4 of 5 - Sale <sup>25</sup>
	16.993	217.01	07/06/20	198.82	...	18.19	5 of 5 - Sale <sup>25</sup>
07/07/20	30.094	384.31	Various	301.40	...	82.91	Total of 5 transactions
07/08/20	28.000	408.60	07/08/20	398.43	...	10.17	Sale <sup>25</sup>
5 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	0.052	0.79	07/08/20	0.79	...	0.00	1 of 5 - Sale <sup>25</sup>
	0.015	0.22	07/08/20	0.22	...	0.00	2 of 5 - Sale <sup>25</sup>
	0.015	0.22	07/08/20	0.22	...	0.00	3 of 5 - Sale <sup>25</sup>
	28.985	438.84	07/08/20	438.77	...	0.07	4 of 5 - Sale <sup>25</sup>
	0.110	1.67	07/08/20	1.57	...	0.10	5 of 5 - Sale <sup>25</sup>
07/10/20	29.177	441.74	Various	441.57	...	0.17	Total of 5 transactions
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	0.823	11.14	07/16/20	10.68	...	0.46	1 of 2 - Sale <sup>25</sup>
	30.000	405.90	07/16/20	389.32	...	16.58	2 of 2 - Sale <sup>25</sup>
07/21/20	30.823	417.04	Various	400.00	...	17.04	Total of 2 transactions
11/09/20	100.000	4,269.89	11/06/20	4,225.00	...	44.89	Sale <sup>25</sup>
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	1.000	41.65	11/10/20	41.14	...	0.51	1 of 2 - Sale <sup>25</sup>
	49.000	2,040.80	11/10/20	2,015.78	...	25.02	2 of 2 - Sale <sup>25</sup>
11/11/20	50.000	2,082.45	Various	2,056.92	...	25.53	Total of 2 transactions
	<b>Security total:</b>	<b>8,004.03</b>		<b>7,823.32</b>	...	<b>180.71</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
07/22/20	0.112	55.24	07/15/20	57.39	...	-2.15	Sale <sup>25</sup>
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
08/04/20	10.000	390.00	07/27/20	347.30	...	42.70	Sale <sup>25</sup>
	3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	4.000	82.08	09/16/20	135.20	53.12 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	20.52	09/22/20	28.25	7.73 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	102.61	09/22/20	141.20	38.59 W	0.00	3 of 3 - Sale <sup>25</sup>
09/25/20	10.000	205.21	Various	304.65	99.44 W	0.00	Total of 3 transactions
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	4.000	93.25	10/05/20	144.52	51.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	23.31	10/05/20	30.58	7.27 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	116.55	10/05/20	152.84	36.29 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	10.000	233.11	Various	327.94	94.83 W	0.00	Total of 3 transactions
	6 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	4.000	85.40	10/16/20	136.27	...	-50.87	1 of 6 - Sale <sup>25</sup>
	5.000	106.75	10/16/20	142.54	...	-35.79	2 of 6 - Sale <sup>25</sup>
	1.000	21.35	10/16/20	28.52	...	-7.17	3 of 6 - Sale <sup>25</sup>
	1.000	21.35	10/16/20	21.25	...	0.10	4 of 6 - Sale <sup>25</sup>
	5.000	106.75	10/16/20	106.25	...	0.50	5 of 6 - Sale <sup>25</sup>
	9.000	192.16	10/16/20	191.25	...	0.91	6 of 6 - Sale <sup>25</sup>
10/19/20	25.000	533.76	Various	626.08	...	-92.32	Total of 6 transactions
	<b>Security total:</b>	<b>1,362.08</b>		<b>1,605.97</b>	<b>194.27 W</b>	<b>-49.62</b>	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
06/02/20	5.000	180.65	06/02/20	178.59	...	2.06	Sale <sup>25</sup>
12/09/20	150.000	6,476.83	12/07/20	6,090.00	...	386.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,657.48</b>		<b>6,268.59</b>	...	<b>388.89</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
07/06/20	10.000	100.00	07/02/20	92.70	...	7.30	Sale <sup>25</sup>
11/19/20	150.000	3,697.39	11/18/20	3,532.50	...	164.89	Sale <sup>25</sup>
	2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	50.000	1,257.46	11/20/20	1,215.00	...	42.46	1 of 2 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	100.000	2,514.93	11/20/20	2,430.00	...	84.93	2 of 2 - Sale <sup>25</sup>
11/23/20	150.000	3,772.39	Various	3,645.00	...	127.39	Total of 2 transactions
	<b>Security total:</b>	<b>7,569.78</b>		<b>7,270.20</b>	...	<b>299.58</b>	
QUALIGEN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74754R103 / Symbol:							
07/22/20	105.000	633.65	07/21/20	589.05	...	44.60	Sale <sup>25</sup>
RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
10/01/20	50.000	467.01	09/29/20	503.37	...	-36.36	Sale <sup>25</sup>
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	4.000	81.96	08/14/20	78.50	...	3.46	1 of 2 - Sale <sup>25</sup>
	12.000	246.00	08/14/20	235.50	...	10.50	2 of 2 - Sale <sup>25</sup>
08/18/20	16.000	327.96	Various	314.00	...	13.96	Total of 2 transactions
08/26/20	14.000	389.20	08/25/20	383.74	...	5.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>717.16</b>		<b>697.74</b>	...	<b>19.42</b>	
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol:							
04/22/20	1.000	5.50	04/17/20	6.22	...	-0.72	Sale <sup>25</sup>
SINTX TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 829392307 / Symbol:							
07/22/20	150.000	318.07	07/21/20	328.50	...	-10.43	Sale <sup>25</sup>
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
06/09/20	35.000	321.79	06/03/20	296.66	...	25.13	Sale <sup>25</sup>
SPARTAN ENERGY ACQUISITION CORP. / CUSIP: 846784106 / Symbol:							
07/14/20	3.918	54.22	07/13/20	80.00	...	-25.78	Sale <sup>25</sup>
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	25.000	337.50	08/18/20	318.00	...	19.50	1 of 2 - Sale <sup>25</sup>
	1.000	13.50	08/19/20	12.57	...	0.93	2 of 2 - Sale <sup>25</sup>
08/25/20	26.000	351.00	Various	330.57	...	20.43	Total of 2 transactions
09/01/20	32.000	437.28	08/26/20	429.92	...	7.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>842.50</b>		<b>840.49</b>	...	<b>2.01</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SURO CAPITAL CORP. COMMON STOC K / CUSIP: 86887Q109 / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	50.000	468.32	09/29/20	644.00	...	-175.68	1 of 2 - Sale <sup>25</sup>
	50.000	468.32	09/30/20	566.00	...	-97.68	2 of 2 - Sale <sup>25</sup>
10/01/20	100.000	936.64	Various	1,210.00	...	-273.36	Total of 2 transactions
TASEKO MINES LIMITED / CUSIP: 876511106 / Symbol:							
7 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	29.000	23.91	07/24/20	18.56	...	5.35	1 of 7 - Sale <sup>25</sup>
	50.000	40.99	07/24/20	32.62	...	8.37	2 of 7 - Sale <sup>25</sup>
	79.000	64.77	07/24/20	51.74	...	13.03	3 of 7 - Sale <sup>25</sup>
	171.000	140.99	07/24/20	112.01	...	28.98	4 of 7 - Sale <sup>25</sup>
	349.000	282.65	07/27/20	303.21	...	-20.56	5 of 7 - Sale <sup>25</sup>
	371.000	304.18	07/27/20	322.33	...	-18.15	6 of 7 - Sale <sup>25</sup>
	100.000	81.99	07/27/20	86.88	...	-4.89	7 of 7 - Sale <sup>25</sup>
07/27/20	1,149.000	939.48	Various	927.35	...	12.13	Total of 7 transactions
TENCENT HOLDINGS LIMITED UNSPO NSORED ADR REPRESENTING B SHAR ES (CAYMAN ISLANDS) / CUSIP: 88032Q109 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	111.22	06/03/20	112.44	1.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	166.80	06/03/20	168.66	1.86 W	0.00	2 of 2 - Sale <sup>25</sup>
06/09/20	5.000	278.02	Various	281.10	3.08 W	0.00	Total of 2 transactions
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	2.000	118.20	06/16/20	117.82	...	0.38	1 of 3 - Sale <sup>25</sup>
	3.000	177.29	06/16/20	176.76	...	0.53	2 of 3 - Sale <sup>25</sup>
	5.000	295.49	06/16/20	291.50	...	3.99	3 of 3 - Sale <sup>25</sup>
06/18/20	10.000	590.98	Various	586.08	...	4.90	Total of 3 transactions
	<b>Security total:</b>	<b>869.00</b>		<b>867.18</b>	<b>3.08 W</b>	<b>4.90</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
06/29/20	0.101	100.62	06/26/20	100.00	...	0.62	Sale <sup>25</sup>
07/24/20	0.150	217.03	07/23/20	249.30	...	-32.27	Sale <sup>25</sup>
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	0.500	710.70	07/23/20	831.00	...	-120.30	1 of 2 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.119	173.54	07/23/20	197.70	...	-24.16	2 of 2 - Sale <sup>25</sup>
07/27/20	0.619	884.24	Various	1,028.70	...	-144.46	Total of 2 transactions
09/16/20	1.000	448.41	09/01/20	493.00	44.59 W	0.00	Sale <sup>25</sup>
09/29/20	1.000	417.40	09/14/20	404.65	...	12.75	Sale <sup>25</sup>
7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	417.40	09/01/20	492.99	75.59 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	417.39	09/04/20	446.44	29.05 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	1,252.18	09/22/20	1,266.45	14.27 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	424.07	09/29/20	453.20	29.13 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	424.08	09/29/20	499.74	75.66 W	0.00	5 of 7 - Sale <sup>25</sup>
	3.000	1,272.22	09/29/20	1,286.73	14.51 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	424.08	09/29/20	424.16	0.08 W	0.00	7 of 7 - Sale <sup>25</sup>
09/29/20	11.000	4,631.42	Various	4,869.71	238.29 W	0.00	Total of 7 transactions
3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	421.87	10/02/20	447.13	25.26 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	421.87	10/02/20	493.66	71.79 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	1,265.59	10/02/20	1,268.51	2.92 W	0.00	3 of 3 - Sale <sup>25</sup>
10/05/20	5.000	2,109.33	Various	2,209.30	99.97 W	0.00	Total of 3 transactions
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	420.22	10/06/20	415.58	...	4.64	1 of 2 - Sale <sup>25</sup>
	2.000	840.45	10/06/20	832.95	...	7.50	2 of 2 - Sale <sup>25</sup>
10/07/20	3.000	1,260.67	Various	1,248.53	...	12.14	Total of 2 transactions
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	420.22	10/06/20	440.76	20.54 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	420.22	10/06/20	487.29	67.07 W	0.00	2 of 2 - Sale <sup>25</sup>
10/07/20	2.000	840.44	Various	928.05	87.61 W	0.00	Total of 2 transactions
10/08/20	1.000	429.92	10/07/20	426.97	...	2.95	Sale <sup>25</sup>
10/26/20	1.000	422.45	10/23/20	411.74	...	10.71	Sale <sup>25</sup>
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	422.45	10/23/20	432.54	10.09 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	422.45	10/23/20	478.81	56.36 W	0.00	2 of 2 - Sale <sup>25</sup>
10/26/20	2.000	844.90	Various	911.35	66.45 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	1.000	428.28	10/26/20	422.09	...	6.19	1 of 2 - Sale <sup>25</sup>
	1.000	428.28	10/26/20	412.00	...	16.28	2 of 2 - Sale <sup>25</sup>
10/27/20	2.000	856.56	Various	834.09	...	22.47	Total of 2 transactions
10/27/20	1.000	428.29	10/26/20	468.36	40.07 W	0.00	Sale <sup>25</sup>
11/03/20	3.000	1,239.16	10/28/20	1,234.50	...	4.66	Sale <sup>25</sup>
11/03/20	1.000	413.06	10/28/20	451.57	38.51 W	0.00	Sale <sup>25</sup>
11/11/20	8.000	3,323.92	11/10/20	3,256.00	...	67.92	Sale <sup>25</sup>
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	1.000	415.50	11/10/20	445.51	30.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	415.49	11/10/20	437.01	21.52 W	0.00	2 of 2 - Sale <sup>25</sup>
11/11/20	2.000	830.99	Various	882.52	51.53 W	0.00	Total of 2 transactions
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	1.000	446.04	11/12/20	431.52	...	14.52	1 of 2 - Sale <sup>25</sup>
	9.000	4,014.36	11/12/20	3,690.00	...	324.36	2 of 2 - Sale <sup>25</sup>
11/16/20	10.000	4,460.40	Various	4,121.52	...	338.88	Total of 2 transactions
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	453.08	11/17/20	448.00	...	5.08	1 of 2 - Sale <sup>25</sup>
	2.000	906.15	11/17/20	896.00	...	10.15	2 of 2 - Sale <sup>25</sup>
11/18/20	3.000	1,359.23	Various	1,344.00	...	15.23	Total of 2 transactions
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	517.98	11/20/20	490.00	...	27.98	1 of 2 - Sale <sup>25</sup>
	4.000	2,071.95	11/20/20	1,960.00	...	111.95	2 of 2 - Sale <sup>25</sup>
11/23/20	5.000	2,589.93	Various	2,450.00	...	139.93	Total of 2 transactions
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	593.88	11/30/20	582.00	...	11.88	1 of 2 - Sale <sup>25</sup>
	8.000	4,751.01	11/30/20	4,656.00	...	95.01	2 of 2 - Sale <sup>25</sup>
11/30/20	9.000	5,344.89	Various	5,238.00	...	106.89	Total of 2 transactions
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	594.98	12/01/20	580.00	...	14.98	1 of 3 - Sale <sup>25</sup>
	4.000	2,379.94	12/01/20	2,320.00	...	59.94	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	10.000	5,893.02	12/03/20	5,850.00	...	43.02	3 of 3 - Sale <sup>25</sup>
12/03/20	15.000	8,867.94	Various	8,750.00	...	117.94	Total of 3 transactions
12/15/20	7.000	4,503.07	12/09/20	4,410.00	...	93.07	Sale <sup>25</sup>
	2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	1.000	640.48	12/15/20	630.56	...	9.92	1 of 2 - Sale <sup>25</sup>
	4.000	2,561.94	12/15/20	2,522.22	...	39.72	2 of 2 - Sale <sup>25</sup>
12/17/20	5.000	3,202.42	Various	3,152.78	...	49.64	Total of 2 transactions
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	634.98	12/22/20	623.30	...	11.68	1 of 2 - Sale <sup>25</sup>
	4.000	2,539.94	12/22/20	2,493.20	...	46.74	2 of 2 - Sale <sup>25</sup>
12/22/20	5.000	3,174.92	Various	3,116.50	...	58.42	Total of 2 transactions
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	1.000	646.98	12/23/20	624.00	...	22.98	1 of 2 - Sale <sup>25</sup>
	4.000	2,587.94	12/23/20	2,496.00	...	91.94	2 of 2 - Sale <sup>25</sup>
12/23/20	5.000	3,234.92	Various	3,120.00	...	114.92	Total of 2 transactions
	<b>Security total:</b>	<b>56,436.53</b>		<b>56,111.14</b>	<b>667.02 W</b>	<b>992.41</b>	
THERMO FISHER SCIENTIFIC, INC. / CUSIP: 883556102 / Symbol:							
11/06/20	10.000	5,209.88	11/05/20	5,140.00	...	69.88	Sale <sup>25</sup>
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	10.000	4,654.89	11/09/20	4,958.71	...	-303.82	1 of 2 - Sale <sup>25</sup>
	5.000	2,327.45	11/16/20	2,415.00	...	-87.55	2 of 2 - Sale <sup>25</sup>
12/29/20	15.000	6,982.34	Various	7,373.71	...	-391.37	Total of 2 transactions
	<b>Security total:</b>	<b>12,192.22</b>		<b>12,513.71</b>	...	<b>-321.49</b>	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
06/30/20	6.780	202.75	06/29/20	200.00	...	2.75	Sale <sup>25</sup>
07/28/20	19.000	374.02	07/24/20	358.72	...	15.30	Sale <sup>25</sup>
08/11/20	8.000	164.88	08/07/20	157.44	...	7.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>741.65</b>		<b>716.16</b>	...	<b>25.49</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol:							
10/07/20	25.000	573.74	10/06/20	537.50	...	36.24	Sale <sup>25</sup>
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	22.25	10/08/20	23.00	0.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	49.000	1,090.22	10/08/20	1,127.00	36.78 W	0.00	2 of 2 - Sale <sup>25</sup>
10/14/20	50.000	1,112.47	Various	1,150.00	37.53 W	0.00	Total of 2 transactions
	3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1.000	23.84	10/09/20	23.05	...	0.79	1 of 3 - Sale <sup>25</sup>
	49.000	1,168.02	10/09/20	1,129.48	...	38.54	2 of 3 - Sale <sup>25</sup>
	35.000	834.29	10/14/20	763.00	...	71.29	3 of 3 - Sale <sup>25</sup>
10/19/20	85.000	2,026.15	Various	1,915.53	...	110.62	Total of 3 transactions
10/20/20	50.000	1,085.47	10/20/20	1,050.00	...	35.47	Sale <sup>25</sup>
	3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	1.000	21.36	10/20/20	21.00	...	0.36	1 of 3 - Sale <sup>25</sup>
	3.000	64.08	10/20/20	63.00	...	1.08	2 of 3 - Sale <sup>25</sup>
	46.000	982.58	10/20/20	966.00	...	16.58	3 of 3 - Sale <sup>25</sup>
10/22/20	50.000	1,068.02	Various	1,050.00	...	18.02	Total of 3 transactions
10/28/20	25.000	726.11	10/27/20	535.00	...	191.11	Sale <sup>25</sup>
	2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	1.000	30.60	11/09/20	30.40	...	0.20	1 of 2 - Sale <sup>25</sup>
	99.000	3,029.32	11/09/20	3,009.60	...	19.72	2 of 2 - Sale <sup>25</sup>
11/11/20	100.000	3,059.92	Various	3,040.00	...	19.92	Total of 2 transactions
	2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	26.000	812.48	11/11/20	793.00	...	19.48	1 of 2 - Sale <sup>25</sup>
	74.000	2,312.44	11/11/20	2,257.00	...	55.44	2 of 2 - Sale <sup>25</sup>
11/16/20	100.000	3,124.92	Various	3,050.00	...	74.92	Total of 2 transactions
11/23/20	50.000	1,774.96	11/19/20	1,747.50	...	27.46	Sale <sup>25</sup>
11/24/20	6.000	220.95	11/23/20	211.80	...	9.15	Sale <sup>25</sup>
	2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	25.000	864.98	11/30/20	847.50	...	17.48	1 of 2 - Sale <sup>25</sup>
	100.000	3,459.91	11/30/20	3,390.00	...	69.91	2 of 2 - Sale <sup>25</sup>
12/01/20	125.000	4,324.89	Various	4,237.50	...	87.39	Total of 2 transactions
12/03/20	35.000	1,219.02	12/01/20	1,199.16	...	19.86	Sale <sup>25</sup>
12/09/20	75.000	2,624.93	12/08/20	2,529.75	...	95.18	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol: (cont'd)							
12/09/20	50.000	1,749.96	12/07/20	1,758.67	8.71 W	0.00	Sale <sup>25</sup>
12/22/20	100.000	3,274.90	12/15/20	3,050.00	...	224.90	Sale <sup>25</sup>
	5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	36.000	1,178.97	12/09/20	1,227.60	35.12 W	-13.51	1 of 5 - Sale <sup>25</sup>
	14.000	458.49	12/09/20	479.84	21.35 W	0.00	2 of 5 - Sale <sup>25</sup>
	36.000	1,178.97	12/09/20	1,233.87	54.90 W	0.00	3 of 5 - Sale <sup>25</sup>
	14.000	458.49	12/09/20	477.40	18.91 W	0.00	4 of 5 - Sale <sup>25</sup>
	25.000	818.73	12/09/20	852.50	33.77 W	0.00	5 of 5 - Sale <sup>25</sup>
12/22/20	125.000	4,093.65	Various	4,271.21	164.05 W	-13.51	Total of 5 transactions
	7 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	36.000	1,160.97	12/29/20	1,196.10	...	-35.13	1 of 7 - Sale <sup>25</sup>
	25.000	806.22	12/29/20	826.27	...	-20.05	2 of 7 - Sale <sup>25</sup>
	14.000	451.49	12/29/20	465.15	...	-13.66	3 of 7 - Sale <sup>25</sup>
	15.000	483.75	12/29/20	495.76	...	-12.01	4 of 7 - Sale <sup>25</sup>
	11.000	354.74	12/29/20	363.56	...	-8.82	5 of 7 - Sale <sup>25</sup>
	9.000	290.24	12/29/20	297.46	...	-7.22	6 of 7 - Sale <sup>25</sup>
	5.000	161.25	12/29/20	165.25	...	-4.00	7 of 7 - Sale <sup>25</sup>
12/30/20	115.000	3,708.66	Various	3,809.55	...	-100.89	Total of 7 transactions
	<b>Security total:</b>	<b>35,768.72</b>		<b>35,143.17</b>	<b>210.29 W</b>	<b>835.84</b>	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	3.000	134.73	06/08/20	135.30	...	-0.57	1 of 2 - Sale <sup>25</sup>
	2.000	89.82	06/08/20	90.20	...	-0.38	2 of 2 - Sale <sup>25</sup>
06/09/20	5.000	224.55	Various	225.50	...	-0.95	Total of 2 transactions
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
04/23/20	3.000	8.46	04/22/20	7.71	...	0.75	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	20.000	58.60	06/19/20	111.11	...	-52.51	1 of 3 - Sale <sup>25</sup>
	10.000	29.30	06/19/20	52.50	...	-23.20	2 of 3 - Sale <sup>25</sup>
	10.000	29.30	06/23/20	31.10	...	-1.80	3 of 3 - Sale <sup>25</sup>
06/23/20	40.000	117.20	Various	194.71	...	-77.51	Total of 3 transactions
VOXX INTERNATIONAL CORPORATION CLASS A COMMON STOCK / CUSIP: 91829F104 / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	36.000	473.39	12/30/20	464.40	...	8.99	1 of 2 - Sale <sup>25</sup>
	64.000	841.57	12/30/20	825.60	...	15.97	2 of 2 - Sale <sup>25</sup>
12/31/20	100.000	1,314.96	Various	1,290.00	...	24.96	Total of 2 transactions
WALMART INC. / CUSIP: 931142103 / Symbol:							
07/09/20	3.942	502.92	07/08/20	505.62	...	-2.70	Sale <sup>25</sup>
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
07/23/20	4.000	103.78	07/22/20	103.63	...	0.15	Sale <sup>25</sup>
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
4 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	0.006	0.08	06/25/20	0.05	...	0.03	1 of 4 - Sale <sup>25</sup>
	0.199	2.91	06/25/20	1.77	...	1.14	2 of 4 - Sale <sup>25</sup>
	10.994	160.96	06/25/20	98.18	...	62.78	3 of 4 - Sale <sup>25</sup>
	10.000	146.40	06/29/20	145.29	...	1.11	4 of 4 - Sale <sup>25</sup>
07/01/20	21.199	310.35	Various	245.29	...	65.06	Total of 4 transactions
07/06/20	10.000	198.00	07/02/20	200.90	2.90 W	0.00	Sale <sup>25</sup>
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	10.000	165.41	07/07/20	158.50	...	6.91	1 of 2 - Sale <sup>25</sup>
	70.000	1,157.85	07/10/20	1,066.62	...	91.23	2 of 2 - Sale <sup>25</sup>
07/13/20	80.000	1,323.26	Various	1,225.12	...	98.14	Total of 2 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	10.000	165.41	07/06/20	188.90	23.49 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.750	12.40	07/06/20	13.95	1.55 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.003	0.04	07/06/20	0.05	0.01 W	0.00	3 of 3 - Sale <sup>25</sup>
07/13/20	10.752	177.85	Various	202.90	25.05 W	0.00	Total of 3 transactions
07/16/20	15.668	249.75	07/13/20	249.43	...	0.32	Sale <sup>25</sup>
	3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	10.000	159.41	07/13/20	182.69	23.28 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.003	0.04	07/13/20	0.05	0.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.750	11.95	07/13/20	13.49	1.54 W	0.00	3 of 3 - Sale <sup>25</sup>
07/16/20	10.752	171.40	Various	196.23	24.83 W	0.00	Total of 3 transactions
07/20/20	67.801	1,139.78	07/13/20	1,079.39	...	60.39	Sale <sup>25</sup>
	2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	0.003	0.05	07/20/20	0.05	...	0.00	1 of 2 - Sale <sup>25</sup>
	58.248	990.16	07/20/20	969.24	...	20.92	2 of 2 - Sale <sup>25</sup>
07/21/20	58.250	990.21	Various	969.29	...	20.92	Total of 2 transactions
	4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	0.767	12.94	07/20/20	14.56	1.62 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.767	13.05	07/20/20	14.39	1.34 W	0.00	2 of 4 - Sale <sup>25</sup>
	9.233	156.95	07/20/20	175.12	18.17 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.750	12.75	07/20/20	14.02	1.27 W	0.00	4 of 4 - Sale <sup>25</sup>
07/21/20	11.517	195.69	Various	218.09	22.40 W	0.00	Total of 4 transactions
	5 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	9.233	143.75	08/10/20	162.66	...	-18.91	1 of 5 - Sale <sup>25</sup>
	29.250	455.41	08/10/20	457.77	...	-2.36	2 of 5 - Sale <sup>25</sup>
	0.767	11.95	08/10/20	13.35	...	-1.40	3 of 5 - Sale <sup>25</sup>
	0.750	11.67	08/10/20	13.00	...	-1.33	4 of 5 - Sale <sup>25</sup>
	8.000	124.56	08/11/20	121.44	...	3.12	5 of 5 - Sale <sup>25</sup>
08/17/20	48.000	747.34	Various	768.22	...	-20.88	Total of 5 transactions
10/05/20	50.000	1,207.65	10/02/20	1,200.00	...	7.65	Sale <sup>25</sup>
10/14/20	7.000	166.37	10/13/20	161.35	...	5.02	Sale <sup>25</sup>
10/16/20	20.000	469.20	10/15/20	441.00	...	28.20	Sale <sup>25</sup>
10/27/20	25.000	470.06	10/26/20	453.75	...	16.31	Sale <sup>25</sup>
10/29/20	12.000	206.72	10/27/20	206.40	...	0.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,023.63</b>		<b>7,817.36</b>	<b>75.18 W</b>	<b>281.45</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 765354501

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
06/02/20	1.000	203.03	06/02/20	200.50	...	2.53	Sale <sup>25</sup>
ZUMIEZ INC. COMMON STOCK / CUSIP: 989817101 / Symbol:							
08/21/20	10.000	234.20	08/18/20	239.51	...	-5.31	Sale <sup>25</sup>
BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	<i>100.000</i>	<i>133.00</i>	<i>06/10/20</i>	<i>150.00</i>	<i>...</i>	<i>-17.00</i>	<i>1 of 2 - Sale <sup>25</sup></i>
	<i>100.000</i>	<i>133.00</i>	<i>06/11/20</i>	<i>110.00</i>	<i>...</i>	<i>23.00</i>	<i>2 of 2 - Sale <sup>25</sup></i>
06/12/20	200.000	266.00	Various	260.00	...	6.00	Total of 2 transactions
CLPS INCORPORATION COMMON STOC K / CUSIP: G31642104 / Symbol:							
08/17/20	30.000	132.90	08/17/20	123.00	...	9.90	Sale <sup>25</sup>
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
06/26/20	100.000	64.01	06/19/20	81.00	...	-16.99	Sale <sup>25</sup>
<b>Totals :</b>		<b>219,001.83</b>		<b>217,972.54</b>		<b>1,834.38 W</b>	<b>2,863.67</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 765354501

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ACHIEVE LIFE SCIENCES, INC. CO MMON SHARES / CUSIP: 004468500 / Symbol: 08/06/20	0.500	8.54	07/29/20	8.10	...	0.44	Gain or loss
<b>Totals:</b>		<b>8.54</b>		<b>8.10</b>	...	<b>0.44</b>	

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

**Instructions for Recipient**

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

This page intentionally left blank.