

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 908804669	Statement Date: 02/14/2021 Document ID: 647J 738 NHV5	2020
	Srinadh reddy Chitukula 1142 Bella Springs View 328 Colorado Springs, CO 80921 RECIPIENT'S TIN: XXX-XX-2749		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,837,748.56	1,867,174.14	0.00	32,331.18	2,905.60
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.62	1.82	0.00	0.00	-0.20
	Total Short-term	1,837,750.18	1,867,175.96	0.00	32,331.18	2,905.40
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1,837,750.18	1,867,175.96	0.00	32,331.18	2,905.40

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Account 908804669

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol:							
08/24/20	1,500.000	4,697.56	08/24/20	4,642.50	...	55.06	Sale ²⁵
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	36.000	448.92	12/01/20	446.40	...	2.52	1 of 2 - Sale ²⁵
	40.000	498.80	12/01/20	496.00	...	2.80	2 of 2 - Sale ²⁵
12/01/20	76.000	947.72	Various	942.40	...	5.32	Total of 2 transactions
24 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	56.000	683.17	12/01/20	694.40	6.42 W	-4.81	1 of 24 - Sale ²⁵
	24.000	292.79	12/01/20	297.60	4.81 W	0.00	2 of 24 - Sale ²⁵
	50.000	609.98	12/01/20	620.00	10.02 W	0.00	3 of 24 - Sale ²⁵
	20.000	244.00	12/01/20	248.00	4.00 W	0.00	4 of 24 - Sale ²⁵
	10.000	121.80	12/01/20	124.00	2.20 W	0.00	5 of 24 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol: (cont'd)							
	50.000	607.98	12/01/20	620.00	12.02 W	0.00	6 of 24 - Sale ²⁵
	80.000	972.76	12/01/20	992.00	19.24 W	0.00	7 of 24 - Sale ²⁵
	34.000	413.09	12/01/20	421.60	8.51 W	0.00	8 of 24 - Sale ²⁵
	16.000	194.39	12/01/20	202.09	7.70 W	0.00	9 of 24 - Sale ²⁵
	8.000	97.20	12/01/20	101.04	3.84 W	0.00	10 of 24 - Sale ²⁵
	28.000	340.19	12/01/20	353.65	13.46 W	0.00	11 of 24 - Sale ²⁵
	32.000	388.79	12/01/20	404.18	15.39 W	0.00	12 of 24 - Sale ²⁵
	10.000	121.50	12/01/20	124.40	2.90 W	0.00	13 of 24 - Sale ²⁵
	20.000	242.99	12/01/20	248.40	5.41 W	0.00	14 of 24 - Sale ²⁵
	22.000	267.29	12/01/20	273.25	5.96 W	0.00	15 of 24 - Sale ²⁵
	50.000	607.47	12/01/20	623.02	15.55 W	0.00	16 of 24 - Sale ²⁵
	20.000	242.99	12/01/20	249.21	6.22 W	0.00	17 of 24 - Sale ²⁵
	10.000	121.50	12/01/20	124.60	3.10 W	0.00	18 of 24 - Sale ²⁵
	7.000	84.98	12/01/20	88.90	3.92 W	0.00	19 of 24 - Sale ²⁵
	16.000	194.23	12/01/20	203.22	8.99 W	0.00	20 of 24 - Sale ²⁵
	34.000	412.75	12/01/20	423.99	11.24 W	0.00	21 of 24 - Sale ²⁵
	50.000	606.98	12/01/20	623.03	16.05 W	0.00	22 of 24 - Sale ²⁵
	4.000	48.56	12/01/20	50.80	2.24 W	0.00	23 of 24 - Sale ²⁵
	1.000	12.14	12/01/20	12.70	0.56 W	0.00	24 of 24 - Sale ²⁵
12/01/20	652.000	7,929.52	Various	8,124.08	189.75 W	-4.81	Total of 24 transactions
17 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	12.13	12/03/20	12.78	0.65 W	0.00	1 of 17 - Sale ²⁵
	4.000	48.52	12/03/20	51.12	2.60 W	0.00	2 of 17 - Sale ²⁵
	7.000	84.91	12/03/20	89.46	4.55 W	0.00	3 of 17 - Sale ²⁵
	10.000	121.30	12/03/20	125.10	3.80 W	0.00	4 of 17 - Sale ²⁵
	10.000	121.30	12/03/20	125.30	4.00 W	0.00	5 of 17 - Sale ²⁵
	16.000	194.07	12/03/20	204.51	10.44 W	0.00	6 of 17 - Sale ²⁵
	20.000	242.59	12/03/20	249.81	7.22 W	0.00	7 of 17 - Sale ²⁵
	20.000	242.59	12/03/20	250.62	8.03 W	0.00	8 of 17 - Sale ²⁵
	22.000	266.85	12/03/20	274.80	7.95 W	0.00	9 of 17 - Sale ²⁵
	28.000	339.63	12/03/20	355.63	16.00 W	0.00	10 of 17 - Sale ²⁵
	28.000	339.63	12/03/20	355.62	15.99 W	0.00	11 of 17 - Sale ²⁵
	34.000	412.40	12/03/20	426.72	14.32 W	0.00	12 of 17 - Sale ²⁵
	50.000	606.48	12/03/20	626.55	20.07 W	0.00	13 of 17 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol: (cont'd)							
	50.000	606.47	12/03/20	627.05	20.58 W	0.00	14 of 17 - Sale ²⁵
	111.000	1,346.39	12/03/20	1,356.42	10.03 W	0.00	15 of 17 - Sale ²⁵
	50.000	606.48	12/03/20	611.00	4.52 W	0.00	16 of 17 - Sale ²⁵
	30.000	363.90	12/03/20	366.60	2.70 W	0.00	17 of 17 - Sale ²⁵
12/03/20	491.000	5,955.64	Various	6,109.09	153.45 W	0.00	Total of 17 transactions
	8 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	1.000	13.07	12/04/20	12.97	...	0.10	1 of 8 - Sale ²⁵
	15.000	189.00	12/04/20	188.56	...	0.44	2 of 8 - Sale ²⁵
	19.000	239.39	12/04/20	238.83	...	0.56	3 of 8 - Sale ²⁵
	25.000	315.00	12/04/20	314.26	...	0.74	4 of 8 - Sale ²⁵
	31.000	390.59	12/04/20	389.68	...	0.91	5 of 8 - Sale ²⁵
	10.000	130.69	12/04/20	129.65	...	1.04	6 of 8 - Sale ²⁵
	40.000	503.98	12/04/20	502.81	...	1.17	7 of 8 - Sale ²⁵
	50.000	629.98	12/04/20	628.52	...	1.46	8 of 8 - Sale ²⁵
12/04/20	191.000	2,411.70	Various	2,405.28	...	6.42	Total of 8 transactions
	62 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	13.000	163.02	12/04/20	169.67	6.65 W	0.00	1 of 62 - Sale ²⁵
	2.000	25.08	12/04/20	25.72	0.64 W	0.00	2 of 62 - Sale ²⁵
	20.000	250.79	12/04/20	256.82	6.03 W	0.00	3 of 62 - Sale ²⁵
	22.000	275.87	12/04/20	282.51	6.64 W	0.00	4 of 62 - Sale ²⁵
	15.000	188.09	12/04/20	195.77	7.68 W	0.00	5 of 62 - Sale ²⁵
	28.000	351.11	12/04/20	365.43	14.32 W	0.00	6 of 62 - Sale ²⁵
	8.000	100.32	12/04/20	102.88	2.56 W	0.00	7 of 62 - Sale ²⁵
	10.000	125.40	12/04/20	128.80	3.40 W	0.00	8 of 62 - Sale ²⁵
	12.000	150.47	12/04/20	154.70	4.23 W	0.00	9 of 62 - Sale ²⁵
	20.000	250.79	12/04/20	257.63	6.84 W	0.00	10 of 62 - Sale ²⁵
	50.000	626.98	12/04/20	644.07	17.09 W	0.00	11 of 62 - Sale ²⁵
	2.000	25.08	12/04/20	25.80	0.72 W	0.00	12 of 62 - Sale ²⁵
	38.000	476.50	12/04/20	489.88	13.38 W	0.00	13 of 62 - Sale ²⁵
	1.000	12.60	12/04/20	13.13	0.53 W	0.00	14 of 62 - Sale ²⁵
	4.000	50.40	12/04/20	52.52	2.12 W	0.00	15 of 62 - Sale ²⁵
	7.000	88.20	12/04/20	91.91	3.71 W	0.00	16 of 62 - Sale ²⁵
	16.000	201.59	12/04/20	210.12	8.53 W	0.00	17 of 62 - Sale ²⁵
	32.000	403.19	12/04/20	412.84	9.65 W	0.00	18 of 62 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol: (cont'd)							
10.000		130.10	12/04/20	130.25	0.15 W	0.00	19 of 62 - Sale ²⁵
1.000		13.01	12/04/20	13.03	0.02 W	0.00	20 of 62 - Sale ²⁵
5.000		65.05	12/04/20	67.23	2.18 W	0.00	21 of 62 - Sale ²⁵
13.000		169.12	12/04/20	174.80	5.68 W	0.00	22 of 62 - Sale ²⁵
15.000		195.14	12/04/20	201.71	6.57 W	0.00	23 of 62 - Sale ²⁵
11.000		143.11	12/04/20	145.60	2.49 W	0.00	24 of 62 - Sale ²⁵
22.000		286.21	12/04/20	291.21	5.00 W	0.00	25 of 62 - Sale ²⁵
23.000		299.22	12/04/20	309.27	10.05 W	0.00	26 of 62 - Sale ²⁵
2.000		26.02	12/04/20	26.51	0.49 W	0.00	27 of 62 - Sale ²⁵
8.000		104.08	12/04/20	106.04	1.96 W	0.00	28 of 62 - Sale ²⁵
9.000		117.09	12/04/20	119.13	2.04 W	0.00	29 of 62 - Sale ²⁵
25.000		325.24	12/04/20	331.92	6.68 W	0.00	30 of 62 - Sale ²⁵
10.000		130.09	12/04/20	132.80	2.71 W	0.00	31 of 62 - Sale ²⁵
1.000		13.01	12/04/20	13.29	0.28 W	0.00	32 of 62 - Sale ²⁵
20.000		260.19	12/04/20	265.64	5.45 W	0.00	33 of 62 - Sale ²⁵
25.000		325.24	12/04/20	332.04	6.80 W	0.00	34 of 62 - Sale ²⁵
11.000		143.11	12/04/20	146.22	3.11 W	0.00	35 of 62 - Sale ²⁵
20.000		260.20	12/04/20	265.84	5.64 W	0.00	36 of 62 - Sale ²⁵
13.000		169.90	12/04/20	172.80	2.90 W	0.00	37 of 62 - Sale ²⁵
1.000		13.07	12/04/20	13.48	0.41 W	0.00	38 of 62 - Sale ²⁵
2.000		26.14	12/04/20	26.62	0.48 W	0.00	39 of 62 - Sale ²⁵
4.000		52.28	12/04/20	53.92	1.64 W	0.00	40 of 62 - Sale ²⁵
5.000		65.35	12/04/20	66.51	1.16 W	0.00	41 of 62 - Sale ²⁵
7.000		91.49	12/04/20	94.36	2.87 W	0.00	42 of 62 - Sale ²⁵
9.000		117.63	12/04/20	120.48	2.85 W	0.00	43 of 62 - Sale ²⁵
16.000		209.11	12/04/20	215.73	6.62 W	0.00	44 of 62 - Sale ²⁵
32.000		418.23	12/04/20	424.05	5.82 W	0.00	45 of 62 - Sale ²⁵
4.000		52.28	12/04/20	53.55	1.27 W	0.00	46 of 62 - Sale ²⁵
4.000		52.28	12/04/20	53.55	1.27 W	0.00	47 of 62 - Sale ²⁵
5.000		65.35	12/04/20	66.94	1.59 W	0.00	48 of 62 - Sale ²⁵
3.000		39.21	12/04/20	40.14	0.93 W	0.00	49 of 62 - Sale ²⁵
5.000		65.35	12/04/20	66.88	1.53 W	0.00	50 of 62 - Sale ²⁵
3.000		39.21	12/04/20	40.13	0.92 W	0.00	51 of 62 - Sale ²⁵
15.000		196.05	12/04/20	200.65	4.60 W	0.00	52 of 62 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol: (cont'd)							
	8.000	104.56	12/04/20	107.02	2.46 W	0.00	53 of 62 - Sale ²⁵
	2.000	26.14	12/04/20	26.37	0.23 W	0.00	54 of 62 - Sale ²⁵
	2.000	26.14	12/04/20	26.37	0.23 W	0.00	55 of 62 - Sale ²⁵
	9.000	117.63	12/04/20	118.50	0.87 W	0.00	56 of 62 - Sale ²⁵
	11.000	143.76	12/04/20	144.83	1.07 W	0.00	57 of 62 - Sale ²⁵
	22.000	287.53	12/04/20	289.68	2.15 W	0.00	58 of 62 - Sale ²⁵
	6.000	78.42	12/04/20	79.17	0.75 W	0.00	59 of 62 - Sale ²⁵
	7.000	91.49	12/04/20	92.56	1.07 W	0.00	60 of 62 - Sale ²⁵
	25.000	326.73	12/04/20	330.43	3.70 W	0.00	61 of 62 - Sale ²⁵
	1.000	13.12	12/04/20	13.22	0.10 W	0.00	62 of 62 - Sale ²⁵
12/04/20	752.000	9,659.16	Various	9,890.67	231.51 W	0.00	Total of 62 transactions
36 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	14.13	12/10/20	14.48	0.35 W	0.00	1 of 36 - Sale ²⁵
	2.000	28.26	12/10/20	28.88	0.62 W	0.00	2 of 36 - Sale ²⁵
	5.000	70.65	12/10/20	72.16	1.51 W	0.00	3 of 36 - Sale ²⁵
	10.000	141.30	12/10/20	144.71	3.41 W	0.00	4 of 36 - Sale ²⁵
	11.000	155.42	12/10/20	159.31	3.89 W	0.00	5 of 36 - Sale ²⁵
	13.000	183.68	12/10/20	187.50	3.82 W	0.00	6 of 36 - Sale ²⁵
	17.000	240.20	12/10/20	246.02	5.82 W	0.00	7 of 36 - Sale ²⁵
	20.000	282.59	12/10/20	289.45	6.86 W	0.00	8 of 36 - Sale ²⁵
	20.000	282.59	12/10/20	289.64	7.05 W	0.00	9 of 36 - Sale ²⁵
	1.000	14.13	12/10/20	14.38	0.25 W	0.00	10 of 36 - Sale ²⁵
	1.000	14.13	12/10/20	14.61	0.48 W	0.00	11 of 36 - Sale ²⁵
	3.000	42.39	12/10/20	43.53	1.14 W	0.00	12 of 36 - Sale ²⁵
	3.000	42.39	12/10/20	43.52	1.13 W	0.00	13 of 36 - Sale ²⁵
	4.000	56.52	12/10/20	58.44	1.92 W	0.00	14 of 36 - Sale ²⁵
	4.000	56.52	12/10/20	58.07	1.55 W	0.00	15 of 36 - Sale ²⁵
	4.000	56.52	12/10/20	58.07	1.55 W	0.00	16 of 36 - Sale ²⁵
	5.000	70.65	12/10/20	72.59	1.94 W	0.00	17 of 36 - Sale ²⁵
	5.000	70.64	12/10/20	72.53	1.89 W	0.00	18 of 36 - Sale ²⁵
	7.000	98.91	12/10/20	102.27	3.36 W	0.00	19 of 36 - Sale ²⁵
	9.000	127.17	12/10/20	130.65	3.48 W	0.00	20 of 36 - Sale ²⁵
	8.000	113.03	12/10/20	116.05	3.02 W	0.00	21 of 36 - Sale ²⁵
	16.000	226.07	12/10/20	233.82	7.75 W	0.00	22 of 36 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol: (cont'd)							
	31.000	438.01	12/10/20	445.84	7.83 W	0.00	23 of 36 - Sale ²⁵
	1.000	14.13	12/10/20	14.30	0.17 W	0.00	24 of 36 - Sale ²⁵
	2.000	28.26	12/10/20	28.63	0.37 W	0.00	25 of 36 - Sale ²⁵
	2.000	28.26	12/10/20	28.63	0.37 W	0.00	26 of 36 - Sale ²⁵
	6.000	84.78	12/10/20	85.95	1.17 W	0.00	27 of 36 - Sale ²⁵
	7.000	98.91	12/10/20	100.47	1.56 W	0.00	28 of 36 - Sale ²⁵
	8.000	113.04	12/10/20	116.06	3.02 W	0.00	29 of 36 - Sale ²⁵
	9.000	127.16	12/10/20	128.67	1.51 W	0.00	30 of 36 - Sale ²⁵
	11.000	155.42	12/10/20	157.27	1.85 W	0.00	31 of 36 - Sale ²⁵
	7.000	98.91	12/10/20	101.55	2.64 W	0.00	32 of 36 - Sale ²⁵
	22.000	310.85	12/10/20	314.55	3.70 W	0.00	33 of 36 - Sale ²⁵
	25.000	353.23	12/10/20	358.70	5.47 W	0.00	34 of 36 - Sale ²⁵
	100.000	1,412.95	12/10/20	1,420.00	7.05 W	0.00	35 of 36 - Sale ²⁵
	26.000	367.38	12/10/20	369.20	1.82 W	0.00	36 of 36 - Sale ²⁵
12/10/20	426.000	6,019.18	Various	6,120.50	101.32 W	0.00	Total of 36 transactions
37 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	16.000	211.03	12/21/20	218.87	...	-7.84	1 of 37 - Sale ²⁵
	100.000	1,318.96	12/21/20	1,326.55	...	-7.59	2 of 37 - Sale ²⁵
	20.000	263.79	12/21/20	270.95	...	-7.16	3 of 37 - Sale ²⁵
	24.000	316.55	12/21/20	322.74	...	-6.19	4 of 37 - Sale ²⁵
	17.000	224.22	12/21/20	230.24	...	-6.02	5 of 37 - Sale ²⁵
	25.000	329.73	12/21/20	335.34	...	-5.61	6 of 37 - Sale ²⁵
	11.000	145.09	12/21/20	149.04	...	-3.95	7 of 37 - Sale ²⁵
	13.000	171.46	12/21/20	175.36	...	-3.90	8 of 37 - Sale ²⁵
	11.000	145.08	12/21/20	148.97	...	-3.89	9 of 37 - Sale ²⁵
	22.000	290.17	12/21/20	293.99	...	-3.82	10 of 37 - Sale ²⁵
	9.000	118.71	12/21/20	122.24	...	-3.53	11 of 37 - Sale ²⁵
	10.000	131.90	12/21/20	135.36	...	-3.46	12 of 37 - Sale ²⁵
	7.000	92.33	12/21/20	95.73	...	-3.40	13 of 37 - Sale ²⁵
	8.000	105.51	12/21/20	108.58	...	-3.07	14 of 37 - Sale ²⁵
	8.000	105.52	12/21/20	108.58	...	-3.06	15 of 37 - Sale ²⁵
	7.000	92.33	12/21/20	95.01	...	-2.68	16 of 37 - Sale ²⁵
	5.000	65.95	12/21/20	67.92	...	-1.97	17 of 37 - Sale ²⁵
	26.000	342.93	12/21/20	344.89	...	-1.96	18 of 37 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol: (cont'd)							
	4.000	52.76	12/21/20	54.70	...	-1.94	19 of 37 - Sale ²⁵
	5.000	65.94	12/21/20	67.87	...	-1.93	20 of 37 - Sale ²⁵
	11.000	145.08	12/21/20	146.99	...	-1.91	21 of 37 - Sale ²⁵
	7.000	92.33	12/21/20	94.13	...	-1.80	22 of 37 - Sale ²⁵
	7.000	92.33	12/21/20	93.93	...	-1.60	23 of 37 - Sale ²⁵
	4.000	52.76	12/21/20	54.33	...	-1.57	24 of 37 - Sale ²⁵
	4.000	52.76	12/21/20	54.33	...	-1.57	25 of 37 - Sale ²⁵
	9.000	118.71	12/21/20	120.27	...	-1.56	26 of 37 - Sale ²⁵
	5.000	65.95	12/21/20	67.48	...	-1.53	27 of 37 - Sale ²⁵
	6.000	79.14	12/21/20	80.34	...	-1.20	28 of 37 - Sale ²⁵
	3.000	39.57	12/21/20	40.73	...	-1.16	29 of 37 - Sale ²⁵
	3.000	39.57	12/21/20	40.72	...	-1.15	30 of 37 - Sale ²⁵
	2.000	26.38	12/21/20	27.01	...	-0.63	31 of 37 - Sale ²⁵
	1.000	13.19	12/21/20	13.68	...	-0.49	32 of 37 - Sale ²⁵
	2.000	26.38	12/21/20	26.76	...	-0.38	33 of 37 - Sale ²⁵
	2.000	26.38	12/21/20	26.76	...	-0.38	34 of 37 - Sale ²⁵
	1.000	13.19	12/21/20	13.55	...	-0.36	35 of 37 - Sale ²⁵
	1.000	13.19	12/21/20	13.45	...	-0.26	36 of 37 - Sale ²⁵
	1.000	13.19	12/21/20	13.36	...	-0.17	37 of 37 - Sale ²⁵
12/21/20	417.000	5,500.06	Various	5,600.75	...	-100.69	Total of 37 transactions
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	20.000	263.79	12/21/20	270.76	3.83 W	-3.14	1 of 2 - Sale ²⁵
	17.000	224.22	12/21/20	230.14	5.92 W	0.00	2 of 2 - Sale ²⁵
12/21/20	37.000	488.01	Various	500.90	9.75 W	-3.14	Total of 2 transactions
	Security total:	38,910.99		39,693.67	685.78 W	-96.90	
ARC DOCUMENT SOLUTIONS, INC. / CUSIP: 00191G103 / Symbol:							
	5 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	30.000	46.50	11/04/20	45.00	...	1.50	1 of 5 - Sale ²⁵
	100.000	154.98	11/04/20	150.00	...	4.98	2 of 5 - Sale ²⁵
	553.000	857.06	11/04/20	835.03	...	22.03	3 of 5 - Sale ²⁵
	700.000	1,084.89	11/04/20	1,057.00	...	27.89	4 of 5 - Sale ²⁵
	729.000	1,129.84	11/04/20	1,100.79	...	29.05	5 of 5 - Sale ²⁵
11/04/20	2,112.000	3,273.27	Various	3,187.82	...	85.45	Total of 5 transactions

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(continued)

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ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol:							
14 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	100.000	20.36	10/09/20	20.17	...	0.19	1 of 14 - Sale ²⁵
	100.000	20.36	10/09/20	20.17	...	0.19	2 of 14 - Sale ²⁵
	500.000	101.79	10/09/20	100.85	...	0.94	3 of 14 - Sale ²⁵
	600.000	122.15	10/09/20	121.02	...	1.13	4 of 14 - Sale ²⁵
	800.000	162.86	10/09/20	161.36	...	1.50	5 of 14 - Sale ²⁵
	800.000	162.86	10/09/20	161.36	...	1.50	6 of 14 - Sale ²⁵
	800.000	162.86	10/09/20	161.36	...	1.50	7 of 14 - Sale ²⁵
	900.000	183.22	10/09/20	181.53	...	1.69	8 of 14 - Sale ²⁵
	900.000	183.22	10/09/20	181.53	...	1.69	9 of 14 - Sale ²⁵
	900.000	183.22	10/09/20	181.53	...	1.69	10 of 14 - Sale ²⁵
	900.000	183.22	10/09/20	181.53	...	1.69	11 of 14 - Sale ²⁵
	900.000	183.22	10/09/20	181.53	...	1.69	12 of 14 - Sale ²⁵
	2,300.000	468.24	10/09/20	463.91	...	4.33	13 of 14 - Sale ²⁵
	7,000.000	1,425.03	10/09/20	1,411.90	...	13.13	14 of 14 - Sale ²⁵
10/09/20	17,500.000	3,562.61	Various	3,529.75	...	32.86	Total of 14 transactions
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830304 / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1,990.000	4,984.60	12/04/20	5,014.80	...	-30.20	1 of 3 - Sale ²⁵
	200.000	500.96	12/04/20	504.00	...	-3.04	2 of 3 - Sale ²⁵
	200.000	505.96	12/04/20	504.00	...	1.96	3 of 3 - Sale ²⁵
12/04/20	2,390.000	5,991.52	Various	6,022.80	...	-31.28	Total of 3 transactions
AEMETIS, INC. COMMON STOCK / CUSIP: 00770K202 / Symbol:							
7 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	2.13	11/27/20	2.12	...	0.01	1 of 7 - Sale ²⁵
	221.000	470.70	11/27/20	468.52	...	2.18	2 of 7 - Sale ²⁵
	400.000	851.93	11/27/20	848.00	...	3.93	3 of 7 - Sale ²⁵
	400.000	851.93	11/27/20	848.00	...	3.93	4 of 7 - Sale ²⁵
	400.000	851.93	11/27/20	848.00	...	3.93	5 of 7 - Sale ²⁵
	599.000	1,281.76	11/27/20	1,269.88	...	11.88	6 of 7 - Sale ²⁵
	822.000	1,758.94	11/27/20	1,742.64	...	16.30	7 of 7 - Sale ²⁵
11/27/20	2,843.000	6,069.32	Various	6,027.16	...	42.16	Total of 7 transactions

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AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol:							
12 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	2.000	3.42	10/13/20	3.36	...	0.06	1 of 12 - Sale ²⁵
	2.000	3.42	10/13/20	3.36	...	0.06	2 of 12 - Sale ²⁵
	98.000	167.56	10/13/20	164.64	...	2.92	3 of 12 - Sale ²⁵
	100.000	170.98	10/13/20	168.00	...	2.98	4 of 12 - Sale ²⁵
	98.000	167.56	10/13/20	164.54	...	3.02	5 of 12 - Sale ²⁵
	100.000	170.98	10/13/20	167.90	...	3.08	6 of 12 - Sale ²⁵
	100.000	170.98	10/13/20	167.00	...	3.98	7 of 12 - Sale ²⁵
	100.000	170.99	10/13/20	167.00	...	3.99	8 of 12 - Sale ²⁵
	402.000	687.36	10/13/20	675.36	...	12.00	9 of 12 - Sale ²⁵
	500.000	854.92	10/13/20	840.00	...	14.92	10 of 12 - Sale ²⁵
	400.000	683.93	10/13/20	668.00	...	15.93	11 of 12 - Sale ²⁵
	500.000	854.92	10/13/20	835.00	...	19.92	12 of 12 - Sale ²⁵
10/13/20	2,402.000	4,107.02	Various	4,024.16	...	82.86	Total of 12 transactions
10/22/20	2,348.000	4,226.25	10/22/20	4,108.53	...	117.72	Sale ²⁵
10/26/20	2,534.000	4,011.44	10/26/20	4,020.95	...	-9.51	Sale ²⁵
	Security total:	12,344.71		12,153.64	...	191.07	

AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:

11 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	4.000	9.16	11/06/20	9.08	...	0.08	1 of 11 - Sale ²⁵
	6.000	14.46	11/06/20	14.16	...	0.30	2 of 11 - Sale ²⁵
	96.000	220.09	11/06/20	217.92	...	2.17	3 of 11 - Sale ²⁵
	57.000	137.36	11/06/20	134.52	...	2.84	4 of 11 - Sale ²⁵
	94.000	226.53	11/06/20	221.84	...	4.69	5 of 11 - Sale ²⁵
	206.000	496.44	11/06/20	486.16	...	10.28	6 of 11 - Sale ²⁵
	243.000	585.58	11/06/20	573.48	...	12.10	7 of 11 - Sale ²⁵
	294.000	708.49	11/06/20	693.84	...	14.65	8 of 11 - Sale ²⁵
	300.000	722.94	11/06/20	708.00	...	14.94	9 of 11 - Sale ²⁵
	502.000	1,209.73	11/06/20	1,184.72	...	25.01	10 of 11 - Sale ²⁵
	1,224.000	2,806.18	11/06/20	2,778.48	...	27.70	11 of 11 - Sale ²⁵
11/06/20	3,026.000	7,136.96	Various	7,022.20	...	114.76	Total of 11 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
03/17/20	1.000	0.67	03/06/20	1.49	...	-0.82	Sale ²⁵
	33 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	18.000	12.44	12/09/20	12.41	...	0.03	1 of 33 - Sale ²⁵
	36.000	24.88	12/09/20	24.82	...	0.06	2 of 33 - Sale ²⁵
	18.000	12.44	12/09/20	12.22	...	0.22	3 of 33 - Sale ²⁵
	136.000	93.98	12/09/20	93.76	...	0.22	4 of 33 - Sale ²⁵
	382.000	263.99	12/09/20	263.35	...	0.64	5 of 33 - Sale ²⁵
	564.000	389.77	12/09/20	388.82	...	0.95	6 of 33 - Sale ²⁵
	82.000	56.67	12/09/20	55.68	...	0.99	7 of 33 - Sale ²⁵
	700.000	483.76	12/09/20	482.58	...	1.18	8 of 33 - Sale ²⁵
	100.000	69.10	12/09/20	67.90	...	1.20	9 of 33 - Sale ²⁵
	100.000	69.10	12/09/20	67.90	...	1.20	10 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	11 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	12 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	13 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	14 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	15 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	16 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	17 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	18 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	19 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	20 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	21 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	22 of 33 - Sale ²⁵
	100.000	69.11	12/09/20	67.90	...	1.21	23 of 33 - Sale ²⁵
	436.000	302.84	12/09/20	300.58	...	2.26	24 of 33 - Sale ²⁵
	291.000	203.52	12/09/20	200.61	...	2.91	25 of 33 - Sale ²⁵
	1,500.000	1,041.84	12/09/20	1,034.10	...	7.74	26 of 33 - Sale ²⁵
	1,500.000	1,041.84	12/09/20	1,034.10	...	7.74	27 of 33 - Sale ²⁵
	200.000	147.98	12/09/20	137.88	...	10.10	28 of 33 - Sale ²⁵
	200.000	147.98	12/09/20	137.88	...	10.10	29 of 33 - Sale ²⁵
	200.000	147.98	12/09/20	137.88	...	10.10	30 of 33 - Sale ²⁵
	200.000	147.98	12/09/20	137.88	...	10.10	31 of 33 - Sale ²⁵
	347.000	256.74	12/09/20	239.22	...	17.52	32 of 33 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol: (cont'd)							
	2,000.000	1,398.72	12/09/20	1,378.80	...	19.92	33 of 33 - Sale ²⁵
12/09/20	10,310.000	7,211.98	Various	7,091.07	...	120.91	Total of 33 transactions
	Security total:	7,212.65		7,092.56	...	120.09	
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
10/13/20	704.000	2,473.36	10/13/20	2,498.07	...	-24.71	Sale ²⁵
AKERS BIOSCIENCES INC. COMMON STOCK / CUSIP: 00973E409 / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	414.000	1,005.94	09/09/20	1,005.65	...	0.29	1 of 2 - Sale ²⁵
	1,235.000	3,000.83	09/09/20	2,999.94	...	0.89	2 of 2 - Sale ²⁵
09/09/20	1,649.000	4,006.77	Various	4,005.59	...	1.18	Total of 2 transactions
6 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	100.000	235.98	11/12/20	234.00	...	1.98	1 of 6 - Sale ²⁵
	100.000	235.98	11/12/20	234.00	...	1.98	2 of 6 - Sale ²⁵
	100.000	235.98	11/12/20	234.00	...	1.98	3 of 6 - Sale ²⁵
	225.000	530.96	11/12/20	526.50	...	4.46	4 of 6 - Sale ²⁵
	300.000	707.95	11/12/20	702.00	...	5.95	5 of 6 - Sale ²⁵
	462.000	1,090.25	11/12/20	1,081.08	...	9.17	6 of 6 - Sale ²⁵
11/12/20	1,287.000	3,037.10	Various	3,011.58	...	25.52	Total of 6 transactions
	Security total:	7,043.87		7,017.17	...	26.70	
AKERNA CORP. COMMON STOCK / CUSIP: 00973W102 / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	575.000	2,248.13	11/06/20	2,259.69	11.56 W	0.00	1 of 2 - Sale ²⁵
	192.000	750.68	11/06/20	754.54	3.86 W	0.00	2 of 2 - Sale ²⁵
11/06/20	767.000	2,998.81	Various	3,014.23	15.42 W	0.00	Total of 2 transactions
4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	100.000	405.00	11/09/20	405.00	...	0.00	1 of 4 - Sale ²⁵
	203.000	822.15	11/09/20	822.15	...	0.00	2 of 4 - Sale ²⁵
	1.000	4.05	11/09/20	4.05	...	0.00	3 of 4 - Sale ²⁵
	100.000	405.00	11/09/20	405.00	...	0.00	4 of 4 - Sale ²⁵
11/09/20	404.000	1,636.20	Various	1,636.20	...	0.00	Total of 4 transactions

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AKERNA CORP. COMMON STOCK / CUSIP: 00973W102 / Symbol: (cont'd)							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	170.000	688.50	11/09/20	688.51	0.01 W	0.00	1 of 2 - Sale ²⁵
	171.000	692.54	11/09/20	692.55	0.01 W	0.00	2 of 2 - Sale ²⁵
11/09/20	341.000	1,381.04	Various	1,381.06	0.02 W	0.00	Total of 2 transactions
4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	340.000	1,428.65	11/10/20	1,444.73	...	-16.08	1 of 4 - Sale ²⁵
	171.000	718.52	11/10/20	726.62	...	-8.10	2 of 4 - Sale ²⁵
	170.000	714.32	11/10/20	722.38	...	-8.06	3 of 4 - Sale ²⁵
	22.000	92.44	11/10/20	93.92	...	-1.48	4 of 4 - Sale ²⁵
11/10/20	703.000	2,953.93	Various	2,987.65	...	-33.72	Total of 4 transactions
	Security total:	8,969.98		9,019.14	15.44 W	-33.72	
ALBIREO PHARMA, INC. COMMON STOCK / CUSIP: 01345P106 / Symbol:							
13 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	39.13	09/08/20	38.92	...	0.21	1 of 13 - Sale ²⁵
	17.000	671.48	09/08/20	660.62	...	10.86	2 of 13 - Sale ²⁵
	20.000	789.98	09/08/20	777.40	...	12.58	3 of 13 - Sale ²⁵
	20.000	789.98	09/08/20	777.20	...	12.78	4 of 13 - Sale ²⁵
	30.000	1,183.47	09/08/20	1,166.10	...	17.37	5 of 13 - Sale ²⁵
	30.000	1,184.97	09/08/20	1,165.80	...	19.17	6 of 13 - Sale ²⁵
	33.000	1,303.46	09/08/20	1,282.38	...	21.08	7 of 13 - Sale ²⁵
	50.000	1,974.95	09/08/20	1,946.00	...	28.95	8 of 13 - Sale ²⁵
	50.000	1,972.45	09/08/20	1,942.50	...	29.95	9 of 13 - Sale ²⁵
	50.000	1,974.95	09/08/20	1,943.50	...	31.45	10 of 13 - Sale ²⁵
	50.000	1,974.95	09/08/20	1,942.50	...	32.45	11 of 13 - Sale ²⁵
	50.000	1,974.95	09/08/20	1,939.00	...	35.95	12 of 13 - Sale ²⁵
	199.000	7,834.43	09/08/20	7,745.08	...	89.35	13 of 13 - Sale ²⁵
09/08/20	600.000	23,669.15	Various	23,327.00	...	342.15	Total of 13 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY SHARES / CUSIP: 01609W102 / Symbol:							
4 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	1.000	217.48	11/06/19	184.12	...	33.36	1 of 4 - Sale ²⁵
	2.000	434.97	12/03/19	388.16	...	46.81	2 of 4 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	1.000	217.41	12/24/19	213.97	...	3.44	3 of 4 - Sale ²⁵
	5.000	1,087.41	12/24/19	1,069.87	...	17.54	4 of 4 - Sale ²⁵
01/03/20	9.000	1,957.27	Various	1,856.12	...	101.15	Total of 4 transactions
	2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.						
	1.000	225.51	01/10/20	222.94	...	2.57	1 of 2 - Sale ²⁵
	2.000	449.47	01/10/20	445.87	...	3.60	2 of 2 - Sale ²⁵
01/10/20	3.000	674.98	Various	668.81	...	6.17	Total of 2 transactions
11/11/20	80.000	21,559.51	11/10/20	21,654.40	...	-94.89	Sale ²⁵
	Security total:	24,191.76		24,179.33	...	12.43	

AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:

7 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	41.000	218.52	12/08/20	205.82	...	12.70	1 of 7 - Sale ²⁵
	59.000	314.45	12/08/20	296.18	...	18.27	2 of 7 - Sale ²⁵
	100.000	532.97	12/08/20	502.00	...	30.97	3 of 7 - Sale ²⁵
	100.000	532.97	12/08/20	502.00	...	30.97	4 of 7 - Sale ²⁵
	100.000	532.97	12/08/20	502.00	...	30.97	5 of 7 - Sale ²⁵
	100.000	532.97	12/08/20	502.00	...	30.97	6 of 7 - Sale ²⁵
	100.000	532.97	12/08/20	502.00	...	30.97	7 of 7 - Sale ²⁵
12/15/20	600.000	3,197.82	Various	3,012.00	...	185.82	Total of 7 transactions
12/23/20	500.000	2,615.58	12/16/20	2,499.45	...	116.13	Sale ²⁵
12/24/20	500.000	2,505.43	12/24/20	2,510.00	4.57 W	0.00	Sale ²⁵
	3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	1.000	5.20	12/29/20	4.90	...	0.30	1 of 3 - Sale ²⁵
	99.000	517.75	12/29/20	485.02	...	32.73	2 of 3 - Sale ²⁵
	100.000	522.97	12/29/20	489.91	...	33.06	3 of 3 - Sale ²⁵
12/30/20	200.000	1,045.92	Various	979.83	...	66.09	Total of 3 transactions
	Security total:	9,364.75		9,001.28	4.57 W	368.04	

AMBOW EDUCATION HOLDING LTD. A MERICAN DEPOSITARY SHARES, EAC H REPRESENTING TWO CLASS A ORD / CUSIP: 02322P200 / Symbol:

16 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	2.30	11/06/20	2.29	...	0.01	1 of 16 - Sale ²⁵
	12.000	27.60	11/06/20	27.48	...	0.12	2 of 16 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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AMBOW EDUCATION HOLDING LTD. A MERICAN DEPOSITORY SHARES, EAC H REPRESENTING TWO CLASS A ORD / CUSIP: 02322P200 / Symbol: (cont'd)							
	24.000	55.20	11/06/20	54.96	...	0.24	3 of 16 - Sale ²⁵
	28.000	64.40	11/06/20	64.11	...	0.29	4 of 16 - Sale ²⁵
	21.000	48.30	11/06/20	47.99	...	0.31	5 of 16 - Sale ²⁵
	33.000	75.89	11/06/20	75.57	...	0.32	6 of 16 - Sale ²⁵
	22.000	50.60	11/06/20	50.27	...	0.33	7 of 16 - Sale ²⁵
	25.000	57.50	11/06/20	57.14	...	0.36	8 of 16 - Sale ²⁵
	48.000	110.39	11/06/20	109.92	...	0.47	9 of 16 - Sale ²⁵
	49.000	112.70	11/06/20	112.21	...	0.49	10 of 16 - Sale ²⁵
	56.000	128.79	11/06/20	128.23	...	0.56	11 of 16 - Sale ²⁵
	64.000	147.19	11/06/20	146.56	...	0.63	12 of 16 - Sale ²⁵
	100.000	229.99	11/06/20	228.54	...	1.45	13 of 16 - Sale ²⁵
	152.000	349.57	11/06/20	347.38	...	2.19	14 of 16 - Sale ²⁵
	248.000	570.35	11/06/20	566.78	...	3.57	15 of 16 - Sale ²⁵
	435.000	1,000.42	11/06/20	994.15	...	6.27	16 of 16 - Sale ²⁵
11/06/20	1,318.000	3,031.19	Various	3,013.58	...	17.61	Total of 16 transactions
AMERI HOLDINGS, INC. COMMON STOCK / CUSIP: 02362F302 / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	2,881.000	3,665.94	11/02/20	3,687.68	21.74 W	0.00	1 of 2 - Sale ²⁵
	244.000	310.47	11/02/20	319.64	9.17 W	0.00	2 of 2 - Sale ²⁵
11/02/20	3,125.000	3,976.41	Various	4,007.32	30.91 W	0.00	Total of 2 transactions
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	38.000	52.06	11/10/20	53.76	1.70 W	0.00	1 of 2 - Sale ²⁵
	2,881.000	3,947.11	11/10/20	3,989.46	42.35 W	0.00	2 of 2 - Sale ²⁵
11/10/20	2,919.000	3,999.17	Various	4,043.22	44.05 W	0.00	Total of 2 transactions
34 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	206.000	290.43	11/12/20	299.97	9.54 W	0.00	1 of 34 - Sale ²⁵
	1,310.000	1,846.90	11/12/20	1,877.63	30.73 W	0.00	2 of 34 - Sale ²⁵
	1,300.000	1,832.80	11/12/20	1,863.29	30.49 W	0.00	3 of 34 - Sale ²⁵
	100.000	147.99	11/12/20	153.47	5.48 W	0.00	4 of 34 - Sale ²⁵
	100.000	147.99	11/12/20	153.47	5.48 W	0.00	5 of 34 - Sale ²⁵
	29.000	42.63	11/12/20	45.38	2.75 W	0.00	6 of 34 - Sale ²⁵
	71.000	104.36	11/12/20	108.96	4.60 W	0.00	7 of 34 - Sale ²⁵
	9.000	13.23	11/12/20	14.08	0.85 W	0.00	8 of 34 - Sale ²⁵

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AMERI HOLDINGS, INC. COMMON ST OCK / CUSIP: 02362F302 / Symbol: (cont'd)							
	90.000	132.29	11/12/20	140.97	8.68 W	0.00	9 of 34 - Sale ²⁵
	1.000	1.47	11/12/20	1.57	0.10 W	0.00	10 of 34 - Sale ²⁵
	84.000	123.47	11/12/20	129.65	6.18 W	0.00	11 of 34 - Sale ²⁵
	115.000	169.03	11/12/20	180.12	11.09 W	0.00	12 of 34 - Sale ²⁵
	197.000	289.55	11/12/20	304.06	14.51 W	0.00	13 of 34 - Sale ²⁵
	200.000	293.98	11/12/20	308.69	14.71 W	0.00	14 of 34 - Sale ²⁵
	200.000	293.98	11/12/20	308.70	14.72 W	0.00	15 of 34 - Sale ²⁵
	3.000	4.41	11/12/20	4.63	0.22 W	0.00	16 of 34 - Sale ²⁵
	10.000	14.70	11/12/20	15.43	0.73 W	0.00	17 of 34 - Sale ²⁵
	187.000	274.87	11/12/20	290.50	15.63 W	0.00	18 of 34 - Sale ²⁵
	200.000	293.98	11/12/20	310.69	16.71 W	0.00	19 of 34 - Sale ²⁵
	171.000	251.34	11/12/20	265.64	14.30 W	0.00	20 of 34 - Sale ²⁵
	229.000	336.59	11/12/20	355.74	19.15 W	0.00	21 of 34 - Sale ²⁵
	1.000	1.40	11/12/20	1.52	0.12 W	0.00	22 of 34 - Sale ²⁵
	2.000	2.81	11/12/20	2.98	0.17 W	0.00	23 of 34 - Sale ²⁵
	9.000	12.62	11/12/20	13.60	0.98 W	0.00	24 of 34 - Sale ²⁵
	29.000	40.68	11/12/20	43.82	3.14 W	0.00	25 of 34 - Sale ²⁵
	71.000	99.59	11/12/20	105.16	5.57 W	0.00	26 of 34 - Sale ²⁵
	84.000	117.82	11/12/20	125.15	7.33 W	0.00	27 of 34 - Sale ²⁵
	90.000	126.24	11/12/20	136.15	9.91 W	0.00	28 of 34 - Sale ²⁵
	100.000	140.27	11/12/20	147.11	6.84 W	0.00	29 of 34 - Sale ²⁵
	100.000	140.26	11/12/20	147.11	6.85 W	0.00	30 of 34 - Sale ²⁵
	115.000	161.30	11/12/20	173.96	12.66 W	0.00	31 of 34 - Sale ²⁵
	197.000	276.33	11/12/20	293.52	17.19 W	0.00	32 of 34 - Sale ²⁵
	200.000	280.53	11/12/20	297.97	17.44 W	0.00	33 of 34 - Sale ²⁵
	1,129.000	1,583.59	11/12/20	1,625.48	41.89 W	0.00	34 of 34 - Sale ²⁵
11/12/20	6,939.000	9,889.43	Various	10,246.17	356.74 W	0.00	Total of 34 transactions
	35 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	193.000	339.65	12/02/20	359.70	...	-20.05	1 of 35 - Sale ²⁵
	200.000	345.97	12/02/20	361.44	...	-15.47	2 of 35 - Sale ²⁵
	197.000	340.78	12/02/20	356.03	...	-15.25	3 of 35 - Sale ²⁵
	115.000	198.93	12/02/20	210.46	...	-11.53	4 of 35 - Sale ²⁵
	98.000	172.46	12/02/20	182.65	...	-10.19	5 of 35 - Sale ²⁵
	352.000	608.90	12/02/20	618.50	...	-9.60	6 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMERI HOLDINGS, INC. COMMON ST OCK / CUSIP: 02362F302 / Symbol: (cont'd)							
	90.000	155.69	12/02/20	164.71	...	-9.02	7 of 35 - Sale ²⁵
	84.000	145.31	12/02/20	151.81	...	-6.50	8 of 35 - Sale ²⁵
	64.000	112.63	12/02/20	118.64	...	-6.01	9 of 35 - Sale ²⁵
	100.000	172.98	12/02/20	178.85	...	-5.87	10 of 35 - Sale ²⁵
	100.000	172.98	12/02/20	178.84	...	-5.86	11 of 35 - Sale ²⁵
	71.000	122.82	12/02/20	127.69	...	-4.87	12 of 35 - Sale ²⁵
	46.000	80.95	12/02/20	85.27	...	-4.32	13 of 35 - Sale ²⁵
	40.000	70.39	12/02/20	74.15	...	-3.76	14 of 35 - Sale ²⁵
	100.000	172.98	12/02/20	176.38	...	-3.40	15 of 35 - Sale ²⁵
	29.000	50.17	12/02/20	53.02	...	-2.85	16 of 35 - Sale ²⁵
	64.000	110.71	12/02/20	113.52	...	-2.81	17 of 35 - Sale ²⁵
	27.000	47.52	12/02/20	50.05	...	-2.53	18 of 35 - Sale ²⁵
	100.000	172.98	12/02/20	175.38	...	-2.40	19 of 35 - Sale ²⁵
	98.000	169.52	12/02/20	171.87	...	-2.35	20 of 35 - Sale ²⁵
	102.000	177.47	12/02/20	179.22	...	-1.75	21 of 35 - Sale ²⁵
	27.000	46.71	12/02/20	47.89	...	-1.18	22 of 35 - Sale ²⁵
	9.000	15.57	12/02/20	16.46	...	-0.89	23 of 35 - Sale ²⁵
	9.000	15.84	12/02/20	16.69	...	-0.85	24 of 35 - Sale ²⁵
	9.000	15.84	12/02/20	16.69	...	-0.85	25 of 35 - Sale ²⁵
	9.000	15.84	12/02/20	16.68	...	-0.84	26 of 35 - Sale ²⁵
	14.000	24.22	12/02/20	24.69	...	-0.47	27 of 35 - Sale ²⁵
	5.000	8.80	12/02/20	9.27	...	-0.47	28 of 35 - Sale ²⁵
	9.000	15.57	12/02/20	15.87	...	-0.30	29 of 35 - Sale ²⁵
	10.000	17.30	12/02/20	17.53	...	-0.23	30 of 35 - Sale ²⁵
	2.000	3.46	12/02/20	3.61	...	-0.15	31 of 35 - Sale ²⁵
	1.000	1.73	12/02/20	1.84	...	-0.11	32 of 35 - Sale ²⁵
	2.000	3.46	12/02/20	3.51	...	-0.05	33 of 35 - Sale ²⁵
	1.000	1.73	12/02/20	1.75	...	-0.02	34 of 35 - Sale ²⁵
	675.000	1,187.89	12/02/20	1,186.05	...	1.84	35 of 35 - Sale ²⁵
12/02/20	3,052.000	5,315.75	Various	5,466.71	...	-150.96	Total of 35 transactions
	15 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	171.000	297.51	12/02/20	308.42	6.25 W	-4.66	1 of 15 - Sale ²⁵
	100.000	173.98	12/02/20	177.36	3.38 W	0.00	2 of 15 - Sale ²⁵
	2.000	3.48	12/02/20	3.55	0.07 W	0.00	3 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMERI HOLDINGS, INC. COMMON ST OCK / CUSIP: 02362F302 / Symbol: (cont'd)							
	98.000	170.50	12/02/20	173.81	3.31 W	0.00	4 of 15 - Sale ²⁵
	1.000	1.74	12/02/20	1.77	0.03 W	0.00	5 of 15 - Sale ²⁵
	10.000	17.40	12/02/20	17.73	0.33 W	0.00	6 of 15 - Sale ²⁵
	14.000	24.36	12/02/20	24.97	0.61 W	0.00	7 of 15 - Sale ²⁵
	9.000	15.66	12/02/20	16.05	0.39 W	0.00	8 of 15 - Sale ²⁵
	100.000	173.98	12/02/20	178.36	4.38 W	0.00	9 of 15 - Sale ²⁵
	36.000	62.63	12/02/20	64.57	1.94 W	0.00	10 of 15 - Sale ²⁵
	64.000	111.35	12/02/20	114.79	3.44 W	0.00	11 of 15 - Sale ²⁵
	100.000	173.98	12/02/20	179.35	5.37 W	0.00	12 of 15 - Sale ²⁵
	36.000	62.63	12/02/20	64.57	1.94 W	0.00	13 of 15 - Sale ²⁵
	64.000	111.35	12/02/20	114.79	3.44 W	0.00	14 of 15 - Sale ²⁵
	193.000	335.80	12/02/20	348.10	12.30 W	0.00	15 of 15 - Sale ²⁵
12/02/20	998.000	1,736.35	Various	1,788.19	47.18 W	-4.66	Total of 15 transactions
	Security total:	24,917.11		25,551.61	478.88 W	-155.62	
AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 030382105 / Symbol:							
11/18/20	778.000	4,045.50	11/18/20	4,131.18	...	-85.68	Sale ²⁵
APEX GLOBAL BRANDS INC COMMON STOCK / CUSIP: 03755M300 / Symbol:							
5 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	40.000	313.59	10/12/20	329.21	...	-15.62	1 of 5 - Sale ²⁵
	35.000	274.39	10/12/20	288.06	...	-13.67	2 of 5 - Sale ²⁵
	40.000	313.59	10/12/20	319.60	...	-6.01	3 of 5 - Sale ²⁵
	13.000	101.79	10/12/20	106.99	...	-5.20	4 of 5 - Sale ²⁵
	25.000	195.99	10/12/20	199.75	...	-3.76	5 of 5 - Sale ²⁵
10/12/20	153.000	1,199.35	Various	1,243.61	...	-44.26	Total of 5 transactions
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	100.000	783.97	10/12/20	799.00	7.21 W	-7.82	1 of 2 - Sale ²⁵
	60.000	470.38	10/12/20	479.40	6.01 W	-3.01	2 of 2 - Sale ²⁵
10/12/20	160.000	1,254.35	Various	1,278.40	13.22 W	-10.83	Total of 2 transactions
	Security total:	2,453.70		2,522.01	13.22 W	-55.09	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
12/15/20	12.000	1,511.60	12/07/20	1,481.76	...	29.84	Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
4 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	1.000	1.68	12/02/19	2.86	...	-1.18	1 of 4 - Sale ²⁵
	4.000	6.72	01/06/20	9.34	...	-2.62	2 of 4 - Sale ²⁵
	5.000	8.40	01/10/20	10.73	...	-2.33	3 of 4 - Sale ²⁵
	2.000	3.36	01/10/20	4.27	...	-0.91	4 of 4 - Sale ²⁵
01/13/20	12.000	20.16	Various	27.20	...	-7.04	Total of 4 transactions
02/05/20	1.000	2.11	01/10/20	2.14	...	-0.03	Sale ²⁵
5 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	8.000	7.43	03/26/20	6.85	...	0.58	1 of 5 - Sale ²⁵
	29.000	26.93	03/26/20	25.19	...	1.74	2 of 5 - Sale ²⁵
	92.000	85.41	03/26/20	78.83	...	6.58	3 of 5 - Sale ²⁵
	92.000	85.41	03/26/20	78.68	...	6.73	4 of 5 - Sale ²⁵
	108.000	100.27	03/26/20	92.53	...	7.74	5 of 5 - Sale ²⁵
03/26/20	329.000	305.45	Various	282.08	...	23.37	Total of 5 transactions
	Security total:	327.72		311.42	...	16.30	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	25.000	226.00	11/06/20	224.98	...	1.02	1 of 2 - Sale ²⁵
	308.000	2,784.28	11/06/20	2,772.00	...	12.28	2 of 2 - Sale ²⁵
11/06/20	333.000	3,010.28	Various	2,996.98	...	13.30	Total of 2 transactions
AVEO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 053588109 / Symbol:							
3 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	3.000	1.89	08/26/19	2.04	...	-0.15	1 of 3 - Sale ²⁵
	1.000	0.63	08/27/19	0.70	...	-0.07	2 of 3 - Sale ²⁵
	1.000	0.63	09/06/19	0.68	...	-0.05	3 of 3 - Sale ²⁵
01/02/20	5.000	3.15	Various	3.42	...	-0.27	Total of 3 transactions
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	200.000	625.96	10/14/20	621.96	...	4.00	1 of 3 - Sale ²⁵
	300.000	938.93	10/14/20	932.94	...	5.99	2 of 3 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol: (cont'd)							
10/14/20	792.000	2,478.81	10/14/20	2,451.24	...	27.57	3 of 3 - Sale ²⁵
	1,292.000	4,043.70	Various	4,006.14	...	37.56	Total of 3 transactions
	2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	100.000	284.04	11/10/20	281.92	...	2.12	1 of 2 - Sale ²⁵
	1,318.000	3,743.53	11/10/20	3,715.71	...	27.82	2 of 2 - Sale ²⁵
11/10/20	1,418.000	4,027.57	Various	3,997.63	...	29.94	Total of 2 transactions
	2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	300.000	974.93	11/12/20	969.00	...	5.93	1 of 2 - Sale ²⁵
	938.000	3,048.32	11/12/20	3,029.74	...	18.58	2 of 2 - Sale ²⁵
11/12/20	1,238.000	4,023.25	Various	3,998.74	...	24.51	Total of 2 transactions
	8 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	1.000	3.55	11/13/20	3.53	...	0.02	1 of 8 - Sale ²⁵
	67.000	238.59	11/13/20	236.51	...	2.08	2 of 8 - Sale ²⁵
	100.000	356.11	11/13/20	353.00	...	3.11	3 of 8 - Sale ²⁵
	100.000	356.49	11/13/20	353.00	...	3.49	4 of 8 - Sale ²⁵
	174.000	620.27	11/13/20	614.22	...	6.05	5 of 8 - Sale ²⁵
	199.000	708.66	11/13/20	702.47	...	6.19	6 of 8 - Sale ²⁵
	200.000	712.22	11/13/20	706.00	...	6.22	7 of 8 - Sale ²⁵
	292.000	1,039.75	11/13/20	1,030.76	...	8.99	8 of 8 - Sale ²⁵
11/13/20	1,133.000	4,035.64	Various	3,999.49	...	36.15	Total of 8 transactions
	8 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	410.000	1,730.11	11/18/20	1,758.78	...	-28.67	1 of 8 - Sale ²⁵
	200.000	843.96	11/18/20	857.94	...	-13.98	2 of 8 - Sale ²⁵
	91.000	384.01	11/18/20	390.36	...	-6.35	3 of 8 - Sale ²⁵
	50.000	215.50	11/18/20	214.48	...	1.02	4 of 8 - Sale ²⁵
	483.000	2,009.94	11/18/20	2,008.65	...	1.29	5 of 8 - Sale ²⁵
	99.000	413.81	11/18/20	411.71	...	2.10	6 of 8 - Sale ²⁵
	384.000	1,605.03	11/18/20	1,596.94	...	8.09	7 of 8 - Sale ²⁵
	650.000	2,801.35	11/18/20	2,788.31	...	13.04	8 of 8 - Sale ²⁵
11/18/20	2,367.000	10,003.71	Various	10,027.17	...	-23.46	Total of 8 transactions
	Security total:	26,133.87		26,029.17	...	104.70	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
3 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	97.000	199.21	03/10/20	219.22	...	-20.01	1 of 3 - Sale ²⁵
	12.000	24.65	03/10/20	35.28	...	-10.63	2 of 3 - Sale ²⁵
	1.000	2.05	03/10/20	2.94	...	-0.89	3 of 3 - Sale ²⁵
03/11/20	110.000	225.91	Various	257.44	...	-31.53	Total of 3 transactions
03/11/20	650.000	1,334.92	03/10/20	1,911.00	0.89 W	-575.19	Sale ²⁵
03/17/20	1.000	1.51	03/10/20	3.15	...	-1.64	Sale ²⁵
	Security total:	1,562.34		2,171.59	0.89 W	-608.36	
BARNWELL INDUSTRIES, INC. / CUSIP: 068221100 / Symbol:							
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	805.000	1,038.41	10/21/20	1,006.25	...	32.16	1 of 2 - Sale ²⁵
	2,500.000	3,224.88	10/21/20	3,099.75	...	125.13	2 of 2 - Sale ²⁵
10/21/20	3,305.000	4,263.29	Various	4,106.00	...	157.29	Total of 2 transactions
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
2 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	5.000	74.72	01/10/20	72.13	...	2.59	1 of 2 - Sale ²⁵
	30.000	448.33	01/10/20	424.20	...	24.13	2 of 2 - Sale ²⁵
01/13/20	35.000	523.05	Various	496.33	...	26.72	Total of 2 transactions
3 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	4.000	59.40	01/10/20	57.68	...	1.72	1 of 3 - Sale ²⁵
	8.000	118.80	01/10/20	115.34	...	3.46	2 of 3 - Sale ²⁵
	11.000	163.35	01/10/20	158.67	...	4.68	3 of 3 - Sale ²⁵
01/14/20	23.000	341.55	Various	331.69	...	9.86	Total of 3 transactions
	Security total:	864.60		828.02	...	36.58	
BENITEC BIOPHARMA INC. COMMON STOCK / CUSIP: 08205P100 / Symbol:							
4 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	84.000	288.10	11/12/20	285.60	...	2.50	1 of 4 - Sale ²⁵
	100.000	342.99	11/12/20	340.00	...	2.99	2 of 4 - Sale ²⁵
	285.000	977.50	11/12/20	969.00	...	8.50	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BENITEC BIOPHARMA INC. COMMON STOCK / CUSIP: 08205P100 / Symbol: (cont'd)							
	415.000	1,423.37	11/12/20	1,411.00	...	12.37	4 of 4 - Sale ²⁵
11/12/20	884.000	3,031.96	Various	3,005.60	...	26.36	Total of 4 transactions
	6 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	36.000	120.96	12/09/20	118.77	...	2.19	1 of 6 - Sale ²⁵
	100.000	335.99	12/09/20	329.91	...	6.08	2 of 6 - Sale ²⁵
	100.000	335.99	12/09/20	329.91	...	6.08	3 of 6 - Sale ²⁵
	471.000	1,582.46	12/09/20	1,553.87	...	28.59	4 of 6 - Sale ²⁵
	707.000	2,366.40	12/09/20	2,332.46	...	33.94	5 of 6 - Sale ²⁵
	707.000	2,372.62	12/09/20	2,332.47	...	40.15	6 of 6 - Sale ²⁵
12/09/20	2,121.000	7,114.42	Various	6,997.39	...	117.03	Total of 6 transactions
	Security total:	10,146.38		10,002.99	...	143.39	
BEYOND AIR, INC. COMMON STOCK / CUSIP: 08862L103 / Symbol:							
	6 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	100.000	607.33	11/12/20	609.00	...	-1.67	1 of 6 - Sale ²⁵
	100.000	607.33	11/12/20	609.00	...	-1.67	2 of 6 - Sale ²⁵
	100.000	607.33	11/12/20	609.00	...	-1.67	3 of 6 - Sale ²⁵
	94.000	570.90	11/12/20	572.46	...	-1.56	4 of 6 - Sale ²⁵
	80.000	485.87	11/12/20	487.20	...	-1.33	5 of 6 - Sale ²⁵
	20.000	121.47	11/12/20	121.80	...	-0.33	6 of 6 - Sale ²⁵
11/12/20	494.000	3,000.23	Various	3,008.46	...	-8.23	Total of 6 transactions
BIOLINERX LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 09071M205 / Symbol:							
	2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1,200.000	3,480.86	10/30/20	3,528.00	...	-47.14	1 of 2 - Sale ²⁵
	160.000	464.11	10/30/20	470.24	...	-6.13	2 of 2 - Sale ²⁵
10/30/20	1,360.000	3,944.97	Various	3,998.24	...	-53.27	Total of 2 transactions
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
03/03/20	1,000.000	432.18	02/28/20	410.00	...	22.18	Sale ²⁵
	2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.						
	1,100.000	450.96	02/28/20	451.00	0.04 W	0.00	1 of 2 - Sale ²⁵
	140.000	57.39	03/03/20	58.31	0.92 W	0.00	2 of 2 - Sale ²⁵
03/03/20	1,240.000	508.35	Various	509.31	0.96 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
<i>3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.</i>							
	800.000	363.95	03/04/20	447.79	...	-83.84	1 of 3 - Sale ²⁵
	560.000	254.77	03/04/20	313.43	...	-58.66	2 of 3 - Sale ²⁵
	140.000	63.69	03/04/20	79.28	...	-15.59	3 of 3 - Sale ²⁵
03/05/20	1,500.000	682.41	Various	840.50	...	-158.09	Total of 3 transactions
03/05/20	300.000	136.46	03/04/20	167.92	7.87 W	-23.59	Sale ²⁵
<i>2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.</i>							
	74.000	16.42	03/04/20	49.46	...	-33.04	1 of 2 - Sale ²⁵
	1.000	0.23	03/04/20	0.67	...	-0.44	2 of 2 - Sale ²⁵
03/17/20	75.000	16.65	Various	50.13	...	-33.48	Total of 2 transactions
	Security total:	1,776.05		1,977.86	8.83 W	-192.98	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/25/20	2.000	340.12	03/25/20	324.50	...	15.62	Sale ²⁵
06/04/20	2.000	368.72	06/04/20	370.52	...	-1.80	Sale ²⁵
11/18/20	18.000	3,841.32	11/18/20	3,849.75	...	-8.43	Sale ²⁵
	Security total:	4,550.16		4,544.77	...	5.39	
BOQI INTERNATIONAL MEDICAL INC . COMMON STOCK / CUSIP: 099501108 / Symbol:							
08/21/20	1,832.000	3,483.25	08/21/20	3,572.40	...	-89.15	Sale ²⁵
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
09/01/20	3,030.000	4,946.01	09/01/20	5,059.80	...	-113.79	Sale ²⁵
BRIDGELINE DIGITAL, INC. COMMO N STOCK / CUSIP: 10807Q700 / Symbol:							
12/15/20	2,027.000	5,472.53	12/15/20	5,988.57	...	-516.04	Sale ²⁵
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q870 / Symbol:							
<i>2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>							
	418.000	2,977.09	10/27/20	2,996.93	...	-19.84	1 of 2 - Sale ²⁵
	2.000	14.30	10/27/20	14.34	...	-0.04	2 of 2 - Sale ²⁵
10/27/20	420.000	2,991.39	Various	3,011.27	...	-19.88	Total of 2 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CPS TECHNOLOGIES CORP. COMMON STOCK / CUSIP: 12619F104 / Symbol:							
<i>5 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	1,100.000	2,291.01	10/14/20	2,343.00	...	-51.99	1 of 5 - Sale ²⁵
	134.000	279.09	10/14/20	285.42	...	-6.33	2 of 5 - Sale ²⁵
	100.000	208.27	10/14/20	213.00	...	-4.73	3 of 5 - Sale ²⁵
	75.000	156.20	10/14/20	159.75	...	-3.55	4 of 5 - Sale ²⁵
	16.000	33.32	10/14/20	34.08	...	-0.76	5 of 5 - Sale ²⁵
10/14/20	1,425.000	2,967.89	Various	3,035.25	...	-67.36	Total of 5 transactions
CALYXT, INC. COMMON STOCK / CUSIP: 13173L107 / Symbol:							
<i>7 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	630.000	2,198.58	11/06/20	2,394.00	...	-195.42	1 of 7 - Sale ²⁵
	200.000	697.96	11/06/20	760.00	...	-62.04	2 of 7 - Sale ²⁵
	100.000	348.99	11/06/20	380.00	...	-31.01	3 of 7 - Sale ²⁵
	96.000	335.03	11/06/20	364.80	...	-29.77	4 of 7 - Sale ²⁵
	20.000	70.00	11/06/20	76.00	...	-6.00	5 of 7 - Sale ²⁵
	20.000	70.00	11/06/20	76.00	...	-6.00	6 of 7 - Sale ²⁵
	7.000	24.43	11/06/20	26.60	...	-2.17	7 of 7 - Sale ²⁵
11/06/20	1,073.000	3,744.99	Various	4,077.40	...	-332.41	Total of 7 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
<i>4 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	17.000	20.40	10/13/20	20.23	...	0.17	1 of 4 - Sale ²⁵
	500.000	599.93	10/13/20	599.48	...	0.45	2 of 4 - Sale ²⁵
	500.000	599.93	10/13/20	599.48	...	0.45	3 of 4 - Sale ²⁵
	1,084.000	1,300.63	10/13/20	1,299.55	...	1.08	4 of 4 - Sale ²⁵
10/13/20	2,101.000	2,520.89	Various	2,518.74	...	2.15	Total of 4 transactions
<i>7 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	594.92	10/13/20	599.40	4.48 W	0.00	1 of 7 - Sale ²⁵
	1,584.000	1,884.72	10/13/20	1,898.90	14.18 W	0.00	2 of 7 - Sale ²⁵
	2,000.000	2,460.31	10/13/20	2,500.00	39.69 W	0.00	3 of 7 - Sale ²⁵
	800.000	984.12	10/13/20	1,000.00	15.88 W	0.00	4 of 7 - Sale ²⁵
	248.000	305.08	10/13/20	310.00	4.92 W	0.00	5 of 7 - Sale ²⁵
	52.000	63.97	10/13/20	65.00	1.03 W	0.00	6 of 7 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
10/13/20	100.000	123.01	10/13/20	125.00	1.99 W	0.00	7 of 7 - Sale ²⁵
	5,284.000	6,416.13	Various	6,498.30	82.17 W	0.00	Total of 7 transactions
	13 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	994.000	777.47	11/12/20	843.19	...	-65.72	1 of 13 - Sale ²⁵
	324.000	253.43	11/12/20	274.85	...	-21.42	2 of 13 - Sale ²⁵
	500.000	394.93	11/12/20	414.93	...	-20.00	3 of 13 - Sale ²⁵
	200.000	156.44	11/12/20	169.66	...	-13.22	4 of 13 - Sale ²⁵
	248.000	195.47	11/12/20	205.38	...	-9.91	5 of 13 - Sale ²⁵
	150.000	117.33	11/12/20	127.24	...	-9.91	6 of 13 - Sale ²⁵
	100.000	78.07	11/12/20	82.82	...	-4.75	7 of 13 - Sale ²⁵
	100.000	78.82	11/12/20	82.98	...	-4.16	8 of 13 - Sale ²⁵
	100.000	78.99	11/12/20	82.99	...	-4.00	9 of 13 - Sale ²⁵
	100.000	78.82	11/12/20	82.81	...	-3.99	10 of 13 - Sale ²⁵
	50.000	39.02	11/12/20	42.33	...	-3.31	11 of 13 - Sale ²⁵
	50.000	39.11	11/12/20	42.33	...	-3.22	12 of 13 - Sale ²⁵
	52.000	40.98	11/12/20	43.06	...	-2.08	13 of 13 - Sale ²⁵
11/12/20	2,968.000	2,328.88	Various	2,494.57	...	-165.69	Total of 13 transactions
	2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	1,900.000	1,500.73	11/12/20	1,576.71	66.70 W	-9.28	1 of 2 - Sale ²⁵
	100.000	79.15	11/12/20	82.98	3.83 W	0.00	2 of 2 - Sale ²⁵
11/12/20	2,000.000	1,579.88	Various	1,659.69	70.53 W	-9.28	Total of 2 transactions
	Security total:	12,845.78		13,171.30	152.70 W	-172.82	
CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
	6 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	27.000	49.95	09/10/20	49.04	...	0.91	1 of 6 - Sale ²⁵
	373.000	689.99	09/10/20	677.48	...	12.51	2 of 6 - Sale ²⁵
	400.000	739.93	09/10/20	726.52	...	13.41	3 of 6 - Sale ²⁵
	400.000	739.93	09/10/20	726.52	...	13.41	4 of 6 - Sale ²⁵
	400.000	739.93	09/10/20	726.52	...	13.41	5 of 6 - Sale ²⁵
	610.000	1,128.40	09/10/20	1,107.94	...	20.46	6 of 6 - Sale ²⁵
09/10/20	2,210.000	4,088.13	Various	4,014.02	...	74.11	Total of 6 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CARVER BANCORP, INC. COMMON ST OCK / CUSIP: 146875604 / Symbol:							
<i>5 transactions for 10/20/20. Total proceeds and cost reported to the IRS.</i>							
	13.000	108.55	10/20/20	96.72	...	11.83	1 of 5 - Sale ²⁵
	23.000	192.04	10/20/20	170.20	...	21.84	2 of 5 - Sale ²⁵
	77.000	642.93	10/20/20	569.80	...	73.13	3 of 5 - Sale ²⁵
	87.000	726.42	10/20/20	647.28	...	79.14	4 of 5 - Sale ²⁵
	202.000	1,686.63	10/20/20	1,494.80	...	191.83	5 of 5 - Sale ²⁵
10/20/20	402.000	3,356.57	Various	2,978.80	...	377.77	Total of 5 transactions
10/21/20	404.000	3,021.80	10/21/20	2,992.10	...	299.70	Sale ²⁵
10/22/20	417.000	3,000.78	10/22/20	3,011.78	...	-11.00	Sale ²⁵
	Security total:	9,379.15		8,982.68	...	396.47	
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
12/21/20	494.000	3,112.07	12/21/20	2,993.64	...	118.43	Sale ²⁵
CEMTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol:							
<i>11 transactions for 10/16/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	115.98	10/16/20	115.00	...	0.98	1 of 11 - Sale ²⁵
	100.000	115.98	10/16/20	115.00	...	0.98	2 of 11 - Sale ²⁵
	100.000	115.99	10/16/20	115.00	...	0.99	3 of 11 - Sale ²⁵
	200.000	231.97	10/16/20	230.00	...	1.97	4 of 11 - Sale ²⁵
	200.000	231.97	10/16/20	230.00	...	1.97	5 of 11 - Sale ²⁵
	100.000	115.99	10/16/20	114.00	...	1.99	6 of 11 - Sale ²⁵
	100.000	115.99	10/16/20	114.00	...	1.99	7 of 11 - Sale ²⁵
	100.000	115.99	10/16/20	114.00	...	1.99	8 of 11 - Sale ²⁵
	500.000	579.93	10/16/20	575.00	...	4.93	9 of 11 - Sale ²⁵
	800.000	927.88	10/16/20	920.00	...	7.88	10 of 11 - Sale ²⁵
	1,208.000	1,401.10	10/16/20	1,389.20	...	11.90	11 of 11 - Sale ²⁵
10/16/20	3,508.000	4,068.77	Various	4,031.20	...	37.57	Total of 11 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol:							
3 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	750.000	494.97	02/03/20	687.75	...	-192.78	1 of 3 - Sale ²⁵
	40.000	26.40	02/03/20	36.80	...	-10.40	2 of 3 - Sale ²⁵
	5.000	3.30	02/03/20	4.58	...	-1.28	3 of 3 - Sale ²⁵
02/04/20	795.000	524.67	Various	729.13	...	-204.46	Total of 3 transactions
CHINA GREEN AGRICULTURE INC / CUSIP: 16943W204 / Symbol:							
12/30/20	1,045.000	3,072.11	12/30/20	3,043.88	...	28.23	Sale ²⁵
CHINA INDEX HOLDINGS LIMITED A MERICAN DEPOSITORY SHARES / CUSIP: 16954W101 / Symbol:							
5 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	106.000	187.60	10/20/20	183.38	...	4.22	1 of 5 - Sale ²⁵
	184.000	325.65	10/20/20	320.16	...	5.49	2 of 5 - Sale ²⁵
	200.000	353.97	10/20/20	348.00	...	5.97	3 of 5 - Sale ²⁵
	300.000	530.95	10/20/20	522.00	...	8.95	4 of 5 - Sale ²⁵
	1,549.000	2,741.48	10/20/20	2,695.26	...	46.22	5 of 5 - Sale ²⁵
10/20/20	2,339.000	4,139.65	Various	4,068.80	...	70.85	Total of 5 transactions
CIVEO CORPORATION / CUSIP: 17878Y108 / Symbol:							
3 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	400.000	571.98	11/25/19	372.00	...	199.98	1 of 3 - Sale ²⁵
	10.000	13.70	12/05/19	8.69	...	5.01	2 of 3 - Sale ²⁵
	560.000	800.78	12/05/19	486.81	...	313.97	3 of 3 - Sale ²⁵
01/03/20	970.000	1,386.46	Various	867.50	...	518.96	Total of 3 transactions
2 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	32.000	42.56	01/10/20	39.52	...	3.04	1 of 2 - Sale ²⁵
	74.000	98.41	01/14/20	96.93	...	1.48	2 of 2 - Sale ²⁵
01/15/20	106.000	140.97	Various	136.45	...	4.52	Total of 2 transactions
2 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	1.000	1.27	01/14/20	1.31	...	-0.04	1 of 2 - Sale ²⁵
	40.000	50.80	01/15/20	54.20	...	-3.40	2 of 2 - Sale ²⁵
01/22/20	41.000	52.07	Various	55.51	...	-3.44	Total of 2 transactions
03/26/20	640.000	289.26	03/25/20	310.40	...	-21.14	Sale ²⁵
05/18/20	10.000	4.91	03/25/20	4.85	...	0.06	Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CIVEO CORPORATION / CUSIP: 17878Y108 / Symbol: (cont'd)							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	22.000	11.50	05/21/20	9.70	...	1.80	1 of 2 - Sale ²⁵
06/03/20	570.000	297.87	05/21/20	251.20	...	46.67	2 of 2 - Sale ²⁵
	592.000	309.37	Various	260.90	...	48.47	Total of 2 transactions
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	0.62	05/21/20	0.44	...	0.18	1 of 2 - Sale ²⁵
06/22/20	19.000	11.79	06/18/20	11.97	...	-0.18	2 of 2 - Sale ²⁵
06/22/20	20.000	12.41	Various	12.41	...	0.00	Total of 2 transactions
	1,500.000	930.24	06/18/20	945.00	0.01 W	-14.75	Sale ²⁵
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	0.83	06/18/20	0.64	...	0.19	1 of 2 - Sale ²⁵
09/08/20	1.000	0.83	08/25/20	0.84	...	-0.01	2 of 2 - Sale ²⁵
	2.000	1.66	Various	1.48	...	0.18	Total of 2 transactions
	Security total:	3,127.35		2,594.50	0.01 W	532.86	
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
10/14/20	50.000	2,951.78	10/14/20	2,956.44	...	-4.66	Sale ²⁵
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
2 transactions for 02/03/20. Total proceeds and cost reported to the IRS.							
	100.000	302.36	01/31/20	343.00	40.64 W	0.00	1 of 2 - Sale ²⁵
02/03/20	9.000	27.21	01/31/20	30.77	3.56 W	0.00	2 of 2 - Sale ²⁵
	109.000	329.57	Various	373.77	44.20 W	0.00	Total of 2 transactions
5 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	1.000	18.11	01/31/20	3.83	...	14.28	1 of 5 - Sale ²⁵
	1.000	13.06	02/05/20	3.32	...	9.74	2 of 5 - Sale ²⁵
	8.000	104.48	02/05/20	26.48	...	78.00	3 of 5 - Sale ²⁵
	61.000	796.62	02/05/20	177.82	...	618.80	4 of 5 - Sale ²⁵
02/28/20	99.000	1,792.59	02/05/20	328.81	...	1,463.78	5 of 5 - Sale ²⁵
	170.000	2,724.86	Various	540.26	...	2,184.60	Total of 5 transactions
3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	13.000	168.28	02/05/20	37.80	...	130.48	1 of 3 - Sale ²⁵
	14.000	181.23	02/05/20	40.17	...	141.06	2 of 3 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
03/04/20	51.000	660.19	02/05/20	148.66	...	511.53	3 of 3 - Sale ²⁵
	78.000	1,009.70	Various	226.63	...	783.07	Total of 3 transactions
	2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	1.000	12.50	02/05/20	2.87	...	9.63	1 of 2 - Sale ²⁵
	139.000	1,737.44	03/11/20	1,483.68	...	253.76	2 of 2 - Sale ²⁵
03/12/20	140.000	1,749.94	Various	1,486.55	...	263.39	Total of 2 transactions
	2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	1.000	11.54	03/11/20	10.67	...	0.87	1 of 2 - Sale ²⁵
	34.000	392.36	03/17/20	392.35	...	0.01	2 of 2 - Sale ²⁵
03/17/20	35.000	403.90	Various	403.02	...	0.88	Total of 2 transactions
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	16.88	06/04/20	16.47	...	0.41	1 of 2 - Sale ²⁵
	9.000	151.92	06/04/20	148.32	...	3.60	2 of 2 - Sale ²⁵
06/04/20	10.000	168.80	Various	164.79	...	4.01	Total of 2 transactions
	2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	1.000	11.41	09/08/20	11.00	...	0.41	1 of 2 - Sale ²⁵
	1,999.000	22,128.20	09/08/20	21,999.00	...	129.20	2 of 2 - Sale ²⁵
09/08/20	2,000.000	22,139.61	Various	22,010.00	...	129.61	Total of 2 transactions
	9 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	600.000	7,433.76	09/11/20	8,118.00	...	-684.24	1 of 9 - Sale ²⁵
	532.000	6,596.59	09/11/20	7,197.96	...	-601.37	2 of 9 - Sale ²⁵
	100.000	1,239.96	09/11/20	1,353.00	...	-113.04	3 of 9 - Sale ²⁵
	100.000	1,240.96	09/11/20	1,353.00	...	-112.04	4 of 9 - Sale ²⁵
	50.000	619.98	09/11/20	676.50	...	-56.52	5 of 9 - Sale ²⁵
	50.000	620.48	09/11/20	676.50	...	-56.02	6 of 9 - Sale ²⁵
	50.000	620.48	09/11/20	676.50	...	-56.02	7 of 9 - Sale ²⁵
	10.000	124.00	09/11/20	135.30	...	-11.30	8 of 9 - Sale ²⁵
	8.000	99.20	09/11/20	108.24	...	-9.04	9 of 9 - Sale ²⁵
09/11/20	1,500.000	18,595.41	Various	20,295.00	...	-1,699.59	Total of 9 transactions
	Security total:	47,121.79		45,500.02	44.20 W	1,665.97	

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(continued)

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CODE CHAIN NEW CONTINENT LIMIT ED COMMON STOCK / CUSIP: 19200A105 / Symbol:							
11/16/20	3,261.000	5,836.67	11/16/20	6,130.68	27.05 W	-266.96	Sale ²⁵
	<i>2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>						
	200.000	411.98	12/15/20	416.03	...	-4.05	1 of 2 - Sale ²⁵
	100.000	206.99	12/15/20	208.02	...	-1.03	2 of 2 - Sale ²⁵
12/16/20	300.000	618.97	Various	624.05	...	-5.08	Total of 2 transactions
	Security total:	6,455.64		6,754.73	27.05 W	-272.04	
COMSTOCK HOLDING COMPANIES, IN C. CLASS A COMMON STOCK / CUSIP: 205684202 / Symbol:							
	<i>5 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>						
	76.000	217.35	10/14/20	213.92	...	3.43	1 of 5 - Sale ²⁵
	62.000	177.31	10/14/20	172.98	...	4.33	2 of 5 - Sale ²⁵
	100.000	285.98	10/14/20	280.00	...	5.98	3 of 5 - Sale ²⁵
	100.000	285.98	10/14/20	280.00	...	5.98	4 of 5 - Sale ²⁵
	1,100.000	3,145.79	10/14/20	3,080.00	...	65.79	5 of 5 - Sale ²⁵
10/14/20	1,438.000	4,112.41	Various	4,026.90	...	85.51	Total of 5 transactions
CONFORMIS, INC. COMMON STOCK / CUSIP: 20717E101 / Symbol:							
	<i>4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>						
	994.000	816.63	12/28/20	779.05	...	37.58	1 of 4 - Sale ²⁵
	2,976.000	2,380.39	12/28/20	2,332.44	...	47.95	2 of 4 - Sale ²⁵
	1,984.000	1,603.78	12/28/20	1,554.96	...	48.82	3 of 4 - Sale ²⁵
	2,976.000	2,385.15	12/28/20	2,332.44	...	52.71	4 of 4 - Sale ²⁵
12/28/20	8,930.000	7,185.95	Various	6,998.89	...	187.06	Total of 4 transactions
CONTRAFECT CORPORATION COMMON STOCK / CUSIP: 212326300 / Symbol:							
	<i>11 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>						
	521.000	2,897.41	11/24/20	2,995.75	...	-98.34	1 of 11 - Sale ²⁵
	1.000	5.41	11/24/20	5.39	...	0.02	2 of 11 - Sale ²⁵
	37.000	201.75	11/24/20	198.51	...	3.24	3 of 11 - Sale ²⁵
	59.000	321.32	11/24/20	316.54	...	4.78	4 of 11 - Sale ²⁵
	59.000	321.33	11/24/20	316.53	...	4.80	5 of 11 - Sale ²⁵
	63.000	343.53	11/24/20	337.99	...	5.54	6 of 11 - Sale ²⁵
	100.000	544.61	11/24/20	536.50	...	8.11	7 of 11 - Sale ²⁵
	122.000	665.25	11/24/20	654.53	...	10.72	8 of 11 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CONTRAFECT CORPORATION COMMON STOCK / CUSIP: 212326300 / Symbol: (cont'd)							
	141.000	767.91	11/24/20	756.47	...	11.44	9 of 11 - Sale ²⁵
	200.000	1,089.23	11/24/20	1,073.00	...	16.23	10 of 11 - Sale ²⁵
	337.000	1,837.61	11/24/20	1,816.94	...	20.67	11 of 11 - Sale ²⁵
11/24/20	1,640.000	8,995.36	Various	9,008.15	...	-12.79	Total of 11 transactions
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
16 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	25.000	34.75	12/11/20	34.00	...	0.75	1 of 16 - Sale ²⁵
	94.000	129.14	12/11/20	127.84	...	1.30	2 of 16 - Sale ²⁵
	45.000	62.54	12/11/20	61.20	...	1.34	3 of 16 - Sale ²⁵
	100.000	137.38	12/11/20	136.00	...	1.38	4 of 16 - Sale ²⁵
	100.000	137.38	12/11/20	136.00	...	1.38	5 of 16 - Sale ²⁵
	100.000	137.38	12/11/20	136.00	...	1.38	6 of 16 - Sale ²⁵
	55.000	76.44	12/11/20	74.80	...	1.64	7 of 16 - Sale ²⁵
	90.000	125.09	12/11/20	122.40	...	2.69	8 of 16 - Sale ²⁵
	200.000	274.77	12/11/20	272.00	...	2.77	9 of 16 - Sale ²⁵
	200.000	274.77	12/11/20	272.00	...	2.77	10 of 16 - Sale ²⁵
	100.000	138.99	12/11/20	136.00	...	2.99	11 of 16 - Sale ²⁵
	100.000	138.99	12/11/20	136.00	...	2.99	12 of 16 - Sale ²⁵
	161.000	223.77	12/11/20	218.96	...	4.81	13 of 16 - Sale ²⁵
	934.000	1,283.18	12/11/20	1,270.24	...	12.94	14 of 16 - Sale ²⁵
	1,152.000	1,594.07	12/11/20	1,566.72	...	27.35	15 of 16 - Sale ²⁵
	1,728.000	2,386.27	12/11/20	2,350.08	...	36.19	16 of 16 - Sale ²⁵
12/11/20	5,184.000	7,154.91	Various	7,050.24	...	104.67	Total of 16 transactions
CREATIVE REALITIES, INC. COMMO N STOCK / CUSIP: 22530J200 / Symbol:							
9 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	3.000	4.11	12/14/20	4.05	...	0.06	1 of 9 - Sale ²⁵
	19.000	26.03	12/14/20	25.65	...	0.38	2 of 9 - Sale ²⁵
	86.000	117.81	12/14/20	116.10	...	1.71	3 of 9 - Sale ²⁵
	111.000	152.06	12/14/20	149.85	...	2.21	4 of 9 - Sale ²⁵
	181.000	247.95	12/14/20	244.35	...	3.60	5 of 9 - Sale ²⁵
	181.000	247.95	12/14/20	244.35	...	3.60	6 of 9 - Sale ²⁵
	1,741.000	2,367.49	12/14/20	2,350.35	...	17.14	7 of 9 - Sale ²⁵

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CREATIVE REALITIES, INC. COMMO N STOCK / CUSIP: 22530J200 / Symbol: (cont'd)							
	1,160.000	1,603.87	12/14/20	1,566.00	...	37.87	8 of 9 - Sale ²⁵
	1,741.000	2,402.31	12/14/20	2,350.35	...	51.96	9 of 9 - Sale ²⁵
12/14/20	5,223.000	7,169.58	Various	7,051.05	...	118.53	Total of 9 transactions
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
01/02/20	1.000	7.35	08/26/19	11.39	...	-4.04	Sale ²⁵
CYNERGISTEK, INC. / CUSIP: 23258P105 / Symbol:							
18 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	2.000	3.32	12/10/20	3.26	...	0.06	1 of 18 - Sale ²⁵
	2.000	3.34	12/10/20	3.25	...	0.09	2 of 18 - Sale ²⁵
	5.000	8.30	12/10/20	8.14	...	0.16	3 of 18 - Sale ²⁵
	5.000	8.30	12/10/20	8.14	...	0.16	4 of 18 - Sale ²⁵
	26.000	42.64	12/10/20	42.32	...	0.32	5 of 18 - Sale ²⁵
	15.000	24.90	12/10/20	24.42	...	0.48	6 of 18 - Sale ²⁵
	300.000	491.96	12/10/20	488.31	...	3.65	7 of 18 - Sale ²⁵
	300.000	491.96	12/10/20	488.31	...	3.65	8 of 18 - Sale ²⁵
	300.000	491.96	12/10/20	488.31	...	3.65	9 of 18 - Sale ²⁵
	300.000	491.96	12/10/20	488.31	...	3.65	10 of 18 - Sale ²⁵
	326.000	534.58	12/10/20	530.63	...	3.95	11 of 18 - Sale ²⁵
	195.000	323.68	12/10/20	317.40	...	6.28	12 of 18 - Sale ²⁵
	195.000	323.68	12/10/20	317.40	...	6.28	13 of 18 - Sale ²⁵
	195.000	323.68	12/10/20	317.40	...	6.28	14 of 18 - Sale ²⁵
	198.000	328.66	12/10/20	322.29	...	6.37	15 of 18 - Sale ²⁵
	200.000	331.98	12/10/20	325.54	...	6.44	16 of 18 - Sale ²⁵
	216.000	358.53	12/10/20	351.58	...	6.95	17 of 18 - Sale ²⁵
	900.000	1,475.85	12/10/20	1,464.93	...	10.92	18 of 18 - Sale ²⁵
12/10/20	3,680.000	6,059.28	Various	5,989.94	...	69.34	Total of 18 transactions
9 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	62.000	100.43	12/14/20	99.20	...	1.23	1 of 9 - Sale ²⁵
	200.000	321.97	12/14/20	320.00	...	1.97	2 of 9 - Sale ²⁵
	100.000	160.98	12/14/20	159.00	...	1.98	3 of 9 - Sale ²⁵
	100.000	161.98	12/14/20	160.00	...	1.98	4 of 9 - Sale ²⁵
	361.000	581.15	12/14/20	577.60	...	3.55	5 of 9 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYNERGISTEK, INC. / CUSIP: 23258P105 / Symbol: (cont'd)							
	260.000	421.16	12/14/20	416.00	...	5.16	6 of 9 - Sale ²⁵
	800.000	1,287.88	12/14/20	1,280.00	...	7.88	7 of 9 - Sale ²⁵
	439.000	711.11	12/14/20	702.40	...	8.71	8 of 9 - Sale ²⁵
	600.000	971.91	12/14/20	960.00	...	11.91	9 of 9 - Sale ²⁵
12/14/20	2,922.000	4,718.57	Various	4,674.20	...	44.37	Total of 9 transactions
	20 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	40.000	62.05	12/14/20	64.00	1.95 W	0.00	1 of 20 - Sale ²⁵
	448.000	694.95	12/14/20	724.33	29.38 W	0.00	2 of 20 - Sale ²⁵
	974.000	1,495.22	12/14/20	1,574.76	79.54 W	0.00	3 of 20 - Sale ²⁵
	40.000	56.00	12/14/20	60.35	4.35 W	0.00	4 of 20 - Sale ²⁵
	60.000	83.99	12/14/20	91.54	7.55 W	0.00	5 of 20 - Sale ²⁵
	38.000	53.20	12/14/20	57.97	4.77 W	0.00	6 of 20 - Sale ²⁵
	12.000	16.80	12/14/20	18.31	1.51 W	0.00	7 of 20 - Sale ²⁵
	56.000	78.39	12/14/20	85.43	7.04 W	0.00	8 of 20 - Sale ²⁵
	44.000	61.60	12/14/20	68.88	7.28 W	0.00	9 of 20 - Sale ²⁵
	100.000	139.99	12/14/20	156.56	16.57 W	0.00	10 of 20 - Sale ²⁵
	100.000	139.99	12/14/20	156.56	16.57 W	0.00	11 of 20 - Sale ²⁵
	12.000	16.68	12/14/20	19.51	2.83 W	0.00	12 of 20 - Sale ²⁵
	38.000	52.81	12/14/20	61.77	8.96 W	0.00	13 of 20 - Sale ²⁵
	40.000	55.59	12/14/20	64.35	8.76 W	0.00	14 of 20 - Sale ²⁵
	56.000	77.83	12/14/20	91.04	13.21 W	0.00	15 of 20 - Sale ²⁵
	60.000	83.39	12/14/20	97.55	14.16 W	0.00	16 of 20 - Sale ²⁵
	38.000	52.81	12/14/20	59.49	6.68 W	0.00	17 of 20 - Sale ²⁵
	974.000	1,353.72	12/14/20	1,540.54	186.82 W	0.00	18 of 20 - Sale ²⁵
	231.000	321.06	12/14/20	346.50	25.44 W	0.00	19 of 20 - Sale ²⁵
	169.000	234.89	12/14/20	253.50	18.61 W	0.00	20 of 20 - Sale ²⁵
12/14/20	3,530.000	5,130.96	Various	5,592.94	461.98 W	0.00	Total of 20 transactions
	19 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	974.000	1,441.38	12/17/20	1,599.12	...	-157.74	1 of 19 - Sale ²⁵
	231.000	337.22	12/17/20	360.39	...	-23.17	2 of 19 - Sale ²⁵
	169.000	246.71	12/17/20	263.66	...	-16.95	3 of 19 - Sale ²⁵
	100.000	147.98	12/17/20	161.57	...	-13.59	4 of 19 - Sale ²⁵
	100.000	147.98	12/17/20	161.57	...	-13.59	5 of 19 - Sale ²⁵
	56.000	81.75	12/17/20	94.41	...	-12.66	6 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CYNERGISTEK, INC. / CUSIP: 23258P105 / Symbol: (cont'd)							
	60.000	88.79	12/17/20	101.16	...	-12.37	7 of 19 - Sale ²⁵
	38.000	56.24	12/17/20	64.06	...	-7.82	8 of 19 - Sale ²⁵
	40.000	59.19	12/17/20	66.76	...	-7.57	9 of 19 - Sale ²⁵
	44.000	65.11	12/17/20	71.08	...	-5.97	10 of 19 - Sale ²⁵
	38.000	56.23	12/17/20	61.78	...	-5.55	11 of 19 - Sale ²⁵
	10.000	14.80	12/17/20	16.86	...	-2.06	12 of 19 - Sale ²⁵
	2.000	2.92	12/17/20	3.37	...	-0.45	13 of 19 - Sale ²⁵
	10.000	14.90	12/17/20	14.50	...	0.40	14 of 19 - Sale ²⁵
	36.000	52.92	12/17/20	52.20	...	0.72	15 of 19 - Sale ²⁵
	300.000	440.96	12/17/20	435.00	...	5.96	16 of 19 - Sale ²⁵
	946.000	1,381.02	12/17/20	1,371.70	...	9.32	17 of 19 - Sale ²⁵
	600.000	881.91	12/17/20	870.00	...	11.91	18 of 19 - Sale ²⁵
	460.000	685.33	12/17/20	667.00	...	18.33	19 of 19 - Sale ²⁵
12/17/20	4,214.000	6,203.34	Various	6,436.19	...	-232.85	Total of 19 transactions
	Security total:	22,112.15		22,693.27	461.98 W	-119.14	

DESTINATION XL GROUP INC COMMO N STOCK / CUSIP: 25065K104 / Symbol:

27 transactions for 11/09/20. Total proceeds and cost reported to the IRS.

	15.000	5.05	11/09/20	4.95	...	0.10	1 of 27 - Sale ²⁵
	84.000	27.99	11/09/20	27.72	...	0.27	2 of 27 - Sale ²⁵
	100.000	33.32	11/09/20	33.00	...	0.32	3 of 27 - Sale ²⁵
	200.000	66.64	11/09/20	66.00	...	0.64	4 of 27 - Sale ²⁵
	185.000	62.25	11/09/20	61.05	...	1.20	5 of 27 - Sale ²⁵
	400.000	133.27	11/09/20	131.99	...	1.28	6 of 27 - Sale ²⁵
	200.000	67.30	11/09/20	66.00	...	1.30	7 of 27 - Sale ²⁵
	200.000	67.30	11/09/20	66.00	...	1.30	8 of 27 - Sale ²⁵
	700.000	233.22	11/09/20	230.98	...	2.24	9 of 27 - Sale ²⁵
	700.000	233.22	11/09/20	230.98	...	2.24	10 of 27 - Sale ²⁵
	400.000	134.59	11/09/20	132.00	...	2.59	11 of 27 - Sale ²⁵
	400.000	134.59	11/09/20	132.00	...	2.59	12 of 27 - Sale ²⁵
	415.000	139.64	11/09/20	136.95	...	2.69	13 of 27 - Sale ²⁵
	500.000	168.24	11/09/20	165.00	...	3.24	14 of 27 - Sale ²⁵
	500.000	168.24	11/09/20	165.00	...	3.24	15 of 27 - Sale ²⁵
	585.000	196.84	11/09/20	193.05	...	3.79	16 of 27 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DESTINATION XL GROUP INC COMMO N STOCK / CUSIP: 25065K104 / Symbol: (cont'd)							
	600.000	201.89	11/09/20	198.00	...	3.89	17 of 27 - Sale ²⁵
	600.000	201.89	11/09/20	198.00	...	3.89	18 of 27 - Sale ²⁵
	600.000	201.89	11/09/20	198.00	...	3.89	19 of 27 - Sale ²⁵
	600.000	201.89	11/09/20	198.00	...	3.89	20 of 27 - Sale ²⁵
	700.000	235.54	11/09/20	231.00	...	4.54	21 of 27 - Sale ²⁵
	700.000	235.54	11/09/20	231.00	...	4.54	22 of 27 - Sale ²⁵
	1,500.000	499.76	11/09/20	494.97	...	4.79	23 of 27 - Sale ²⁵
	1,500.000	499.77	11/09/20	494.97	...	4.80	24 of 27 - Sale ²⁵
	1,600.000	533.08	11/09/20	527.96	...	5.12	25 of 27 - Sale ²⁵
	2,200.000	732.98	11/09/20	725.95	...	7.03	26 of 27 - Sale ²⁵
	4,924.000	1,656.79	11/09/20	1,624.92	...	31.87	27 of 27 - Sale ²⁵
11/09/20	21,108.000	7,072.72	Various	6,965.44	...	107.28	Total of 27 transactions
DIGIRAD CORPORATION COMMON STO CK / CUSIP: 253827703 / Symbol:							
12 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	300.000	962.94	11/04/20	966.00	...	-3.06	1 of 12 - Sale ²⁵
	240.000	770.35	11/04/20	772.85	...	-2.50	2 of 12 - Sale ²⁵
	166.000	532.83	11/04/20	534.52	...	-1.69	3 of 12 - Sale ²⁵
	100.000	320.98	11/04/20	322.03	...	-1.05	4 of 12 - Sale ²⁵
	68.000	218.27	11/04/20	218.96	...	-0.69	5 of 12 - Sale ²⁵
	60.000	192.59	11/04/20	193.20	...	-0.61	6 of 12 - Sale ²⁵
	10.000	32.20	11/04/20	32.20	...	0.00	7 of 12 - Sale ²⁵
	50.000	161.00	11/04/20	161.00	...	0.00	8 of 12 - Sale ²⁵
	31.000	99.82	11/04/20	99.82	...	0.00	9 of 12 - Sale ²⁵
	100.000	322.48	11/04/20	322.00	...	0.48	10 of 12 - Sale ²⁵
	100.000	322.49	11/04/20	322.00	...	0.49	11 of 12 - Sale ²⁵
	200.000	644.95	11/04/20	644.00	...	0.95	12 of 12 - Sale ²⁵
11/04/20	1,425.000	4,580.90	Various	4,588.58	...	-7.68	Total of 12 transactions
3 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	100.000	321.99	11/04/20	322.00	0.01 W	0.00	1 of 3 - Sale ²⁵
	240.000	772.75	11/04/20	772.80	0.05 W	0.00	2 of 3 - Sale ²⁵
	100.000	321.98	11/04/20	322.01	0.03 W	0.00	3 of 3 - Sale ²⁵
11/04/20	440.000	1,416.72	Various	1,416.81	0.09 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DIGIRAD CORPORATION COMMON STO CK / CUSIP: 253827703 / Symbol: (cont'd)							
12 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	93.000	357.10	12/31/20	387.81	...	-30.71	1 of 12 - Sale ²⁵
	26.000	99.84	12/31/20	117.01	...	-17.17	2 of 12 - Sale ²⁵
	13.000	49.92	12/31/20	58.50	...	-8.58	3 of 12 - Sale ²⁵
	10.000	38.40	12/31/20	45.00	...	-6.60	4 of 12 - Sale ²⁵
	5.000	19.20	12/31/20	20.85	...	-1.65	5 of 12 - Sale ²⁵
	5.000	19.20	12/31/20	20.80	...	-1.60	6 of 12 - Sale ²⁵
	4.000	15.36	12/31/20	16.72	...	-1.36	7 of 12 - Sale ²⁵
	50.000	204.99	12/31/20	186.50	...	18.49	8 of 12 - Sale ²⁵
	100.000	409.98	12/31/20	373.00	...	36.98	9 of 12 - Sale ²⁵
	205.000	840.45	12/31/20	764.65	...	75.80	10 of 12 - Sale ²⁵
	221.000	906.04	12/31/20	824.33	...	81.71	11 of 12 - Sale ²⁵
	250.000	1,024.94	12/31/20	932.50	...	92.44	12 of 12 - Sale ²⁵
12/31/20	982.000	3,985.42	Various	3,747.67	...	237.75	Total of 12 transactions
12/31/20	94.000	360.94	12/31/20	391.04	15.69 W	-14.41	Sale ²⁵
	Security total:	10,343.98		10,144.10	15.78 W	215.66	

DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:

2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	900.000	2,060.84	10/27/20	2,078.91	18.07 W	0.00	1 of 2 - Sale ²⁵
	410.000	938.82	10/27/20	947.06	8.24 W	0.00	2 of 2 - Sale ²⁵
10/27/20	1,310.000	2,999.66	Various	3,025.97	26.31 W	0.00	Total of 2 transactions
8 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	30.000	66.60	10/28/20	67.80	1.20 W	0.00	1 of 8 - Sale ²⁵
	42.000	93.66	10/28/20	94.92	1.26 W	0.00	2 of 8 - Sale ²⁵
	600.000	1,331.90	10/28/20	1,356.05	24.15 W	0.00	3 of 8 - Sale ²⁵
	100.000	221.99	10/28/20	226.01	4.02 W	0.00	4 of 8 - Sale ²⁵
	372.000	825.77	10/28/20	840.76	14.99 W	0.00	5 of 8 - Sale ²⁵
	128.000	284.14	10/28/20	289.29	5.15 W	0.00	6 of 8 - Sale ²⁵
	38.000	84.35	10/28/20	85.88	1.53 W	0.00	7 of 8 - Sale ²⁵
	29.000	64.38	10/28/20	64.96	0.58 W	0.00	8 of 8 - Sale ²⁵
10/28/20	1,339.000	2,972.79	Various	3,025.67	52.88 W	0.00	Total of 8 transactions

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
6 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	329.000	773.65	10/29/20	822.60	27.38 W	-21.57	1 of 6 - Sale ²⁵
	30.000	70.55	10/29/20	75.00	4.45 W	0.00	2 of 6 - Sale ²⁵
	42.000	98.76	10/29/20	104.58	5.82 W	0.00	3 of 6 - Sale ²⁵
	100.000	235.15	10/29/20	250.02	14.87 W	0.00	4 of 6 - Sale ²⁵
	128.000	301.00	10/29/20	320.03	19.03 W	0.00	5 of 6 - Sale ²⁵
	600.000	1,410.91	10/29/20	1,500.15	89.24 W	0.00	6 of 6 - Sale ²⁵
10/29/20	1,229.000	2,890.02	Various	3,072.38	160.79 W	-21.57	Total of 6 transactions
13 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	429.000	1,085.72	11/02/20	1,149.13	...	-63.41	1 of 13 - Sale ²⁵
	184.000	465.67	11/02/20	492.88	...	-27.21	2 of 13 - Sale ²⁵
	128.000	323.95	11/02/20	342.85	...	-18.90	3 of 13 - Sale ²⁵
	100.000	253.08	11/02/20	267.86	...	-14.78	4 of 13 - Sale ²⁵
	100.000	255.99	11/02/20	267.86	...	-11.87	5 of 13 - Sale ²⁵
	57.000	145.91	11/02/20	152.68	...	-6.77	6 of 13 - Sale ²⁵
	30.000	76.79	11/02/20	80.35	...	-3.56	7 of 13 - Sale ²⁵
	31.000	79.36	11/02/20	82.73	...	-3.37	8 of 13 - Sale ²⁵
	14.000	35.84	11/02/20	37.50	...	-1.66	9 of 13 - Sale ²⁵
	11.000	28.16	11/02/20	29.35	...	-1.19	10 of 13 - Sale ²⁵
	43.000	110.07	11/02/20	110.52	...	-0.45	11 of 13 - Sale ²⁵
	38.000	97.28	11/02/20	97.67	...	-0.39	12 of 13 - Sale ²⁵
	29.000	74.24	11/02/20	73.95	...	0.29	13 of 13 - Sale ²⁵
11/02/20	1,194.000	3,032.06	Various	3,185.33	...	-153.27	Total of 13 transactions
10 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	17.000	45.39	12/09/20	44.88	...	0.51	1 of 10 - Sale ²⁵
	34.000	91.11	12/09/20	89.76	...	1.35	2 of 10 - Sale ²⁵
	66.000	176.21	12/09/20	174.24	...	1.97	3 of 10 - Sale ²⁵
	100.000	266.98	12/09/20	264.00	...	2.98	4 of 10 - Sale ²⁵
	100.000	266.98	12/09/20	264.00	...	2.98	5 of 10 - Sale ²⁵
	400.000	1,059.92	12/09/20	1,056.00	...	3.92	6 of 10 - Sale ²⁵
	483.000	1,279.86	12/09/20	1,275.12	...	4.74	7 of 10 - Sale ²⁵
	200.000	535.96	12/09/20	528.00	...	7.96	8 of 10 - Sale ²⁵
	600.000	1,601.88	12/09/20	1,584.00	...	17.88	9 of 10 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
	651.000	1,744.56	12/09/20	1,715.39	...	29.17	10 of 10 - Sale ²⁵
12/09/20	2,651.000	7,068.85	Various	6,995.39	...	73.46	Total of 10 transactions
	Security total:	18,963.38		19,304.74	239.98 W	-101.38	
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T200 / Symbol:							
3 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	10.000	3.49	12/05/19	2.90	...	0.59	1 of 3 - Sale ²⁵
	100.000	34.94	12/24/19	29.85	...	5.09	2 of 3 - Sale ²⁵
	360.000	125.78	01/02/20	113.83	...	11.95	3 of 3 - Sale ²⁵
01/03/20	470.000	164.21	Various	146.58	...	17.63	Total of 3 transactions
01/31/20	95.000	24.79	01/22/20	33.24	...	-8.45	Sale ²⁵
3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	279.000	53.26	03/12/20	92.60	39.34 W	0.00	1 of 3 - Sale ²⁵
	2,421.000	462.12	03/12/20	803.53	341.41 W	0.00	2 of 3 - Sale ²⁵
	300.000	57.26	03/12/20	99.57	42.31 W	0.00	3 of 3 - Sale ²⁵
03/13/20	3,000.000	572.64	Various	995.70	423.06 W	0.00	Total of 3 transactions
03/17/20	1,500.000	277.62	03/12/20	497.85	220.23 W	0.00	Sale ²⁵
10 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1,334.000	282.26	03/17/20	434.11	...	-151.85	1 of 10 - Sale ²⁵
	328.000	69.40	03/17/20	106.90	...	-37.50	2 of 10 - Sale ²⁵
	300.000	63.47	03/17/20	95.85	...	-32.38	3 of 10 - Sale ²⁵
	250.000	52.90	03/17/20	80.84	...	-27.94	4 of 10 - Sale ²⁵
	200.000	42.32	03/17/20	63.90	...	-21.58	5 of 10 - Sale ²⁵
	200.000	42.32	03/17/20	63.89	...	-21.57	6 of 10 - Sale ²⁵
	166.000	35.12	03/17/20	53.99	...	-18.87	7 of 10 - Sale ²⁵
	166.000	35.12	03/17/20	49.61	...	-14.49	8 of 10 - Sale ²⁵
	34.000	7.19	03/17/20	10.86	...	-3.67	9 of 10 - Sale ²⁵
	22.000	4.65	03/17/20	6.74	...	-2.09	10 of 10 - Sale ²⁵
03/25/20	3,000.000	634.75	Various	966.69	...	-331.94	Total of 10 transactions
6 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	312.000	66.04	03/12/20	103.55	37.51 W	0.00	1 of 6 - Sale ²⁵
	176.000	37.26	03/12/20	83.23	45.97 W	0.00	2 of 6 - Sale ²⁵
	103.000	21.80	03/12/20	49.54	27.74 W	0.00	3 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T200 / Symbol: (cont'd)							
	147.000	31.12	03/12/20	70.71	39.59 W	0.00	4 of 6 - Sale ²⁵
	872.000	184.58	03/17/20	284.20	54.95 W	-44.67	5 of 6 - Sale ²⁵
	390.000	82.56	03/17/20	126.88	44.32 W	0.00	6 of 6 - Sale ²⁵
03/25/20	2,000.000	423.36	Various	718.11	250.08 W	-44.67	Total of 6 transactions
	11 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	88.000	18.92	03/17/20	26.94	...	-8.02	1 of 11 - Sale ²⁵
	5.000	1.07	03/17/20	1.52	...	-0.45	2 of 11 - Sale ²⁵
	411.000	88.37	03/26/20	141.69	...	-53.32	3 of 11 - Sale ²⁵
	176.000	37.84	03/26/20	86.54	...	-48.70	4 of 11 - Sale ²⁵
	247.000	53.10	03/26/20	85.00	...	-31.90	5 of 11 - Sale ²⁵
	103.000	22.14	03/26/20	51.48	...	-29.34	6 of 11 - Sale ²⁵
	90.000	19.35	03/26/20	44.98	...	-25.63	7 of 11 - Sale ²⁵
	143.000	30.74	03/26/20	49.21	...	-18.47	8 of 11 - Sale ²⁵
	57.000	12.25	03/26/20	28.49	...	-16.24	9 of 11 - Sale ²⁵
	69.000	14.83	03/26/20	23.81	...	-8.98	10 of 11 - Sale ²⁵
	31.000	6.66	03/26/20	10.88	...	-4.22	11 of 11 - Sale ²⁵
03/27/20	1,420.000	305.27	Various	550.54	...	-245.27	Total of 11 transactions
	Security total:	2,402.64		3,908.71	893.37 W	-612.70	
DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T309 / Symbol:							
05/18/20	1.000	9.15	03/26/20	0.35	...	8.80	Sale ²⁵
DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H100 / Symbol:							
	2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1,100.000	907.26	10/19/20	906.73	...	0.53	1 of 2 - Sale ²⁵
	2,558.000	2,110.00	10/19/20	2,108.56	...	1.44	2 of 2 - Sale ²⁵
10/19/20	3,658.000	3,017.26	Various	3,015.29	...	1.97	Total of 2 transactions
DOVER MOTORSPORTS / CUSIP: 260174107 / Symbol:							
12/31/20	1,260.000	2,954.48	12/31/20	2,986.20	...	-31.72	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
<i>13 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	491.04	12/28/20	493.01	...	-1.97	1 of 13 - Sale ²⁵
	100.000	491.04	12/28/20	493.01	...	-1.97	2 of 13 - Sale ²⁵
	100.000	491.04	12/28/20	493.01	...	-1.97	3 of 13 - Sale ²⁵
	88.000	432.11	12/28/20	433.85	...	-1.74	4 of 13 - Sale ²⁵
	84.000	412.47	12/28/20	414.12	...	-1.65	5 of 13 - Sale ²⁵
	1.000	4.97	12/28/20	4.93	...	0.04	6 of 13 - Sale ²⁵
	19.000	94.62	12/28/20	93.67	...	0.95	7 of 13 - Sale ²⁵
	72.000	358.55	12/28/20	354.97	...	3.58	8 of 13 - Sale ²⁵
	81.000	403.37	12/28/20	399.34	...	4.03	9 of 13 - Sale ²⁵
	100.000	497.99	12/28/20	493.01	...	4.98	10 of 13 - Sale ²⁵
	100.000	497.99	12/28/20	493.01	...	4.98	11 of 13 - Sale ²⁵
	100.000	497.99	12/28/20	493.01	...	4.98	12 of 13 - Sale ²⁵
	472.000	2,336.28	12/28/20	2,327.01	...	9.27	13 of 13 - Sale ²⁵
12/28/20	1,417.000	7,009.46	Various	6,985.95	...	23.51	Total of 13 transactions
ELECTRO-SENSORS, INC. COMMON S TOCK / CUSIP: 285233102 / Symbol:							
<i>6 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	17.24	10/29/20	17.08	...	0.16	1 of 6 - Sale ²⁵
	25.000	107.75	10/29/20	106.50	...	1.25	2 of 6 - Sale ²⁵
	75.000	323.23	10/29/20	320.25	...	2.98	3 of 6 - Sale ²⁵
	75.000	323.24	10/29/20	320.25	...	2.99	4 of 6 - Sale ²⁵
	100.000	430.99	10/29/20	427.00	...	3.99	5 of 6 - Sale ²⁵
	425.000	1,831.66	10/29/20	1,814.75	...	16.91	6 of 6 - Sale ²⁵
10/29/20	704.000	3,034.11	Various	3,005.83	...	28.28	Total of 6 transactions
<i>3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	257.000	1,128.17	12/31/20	1,182.20	...	-54.03	1 of 3 - Sale ²⁵
	100.000	438.98	12/31/20	477.01	...	-38.03	2 of 3 - Sale ²⁵
	200.000	877.95	12/31/20	912.00	...	-34.05	3 of 3 - Sale ²⁵
12/31/20	557.000	2,445.10	Various	2,571.21	...	-126.11	Total of 3 transactions
12/31/20	100.000	438.99	12/31/20	456.00	17.01 W	0.00	Sale ²⁵
	Security total:	5,918.20		6,033.04	17.01 W	-97.83	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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EMERSON RADIO CORP. / CUSIP: 291087203 / Symbol:							
5 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1,270.000	1,422.47	10/19/20	1,431.61	...	-9.14	1 of 5 - Sale ²⁵
	10.000	12.60	10/19/20	12.47	...	0.13	2 of 5 - Sale ²⁵
	100.000	125.99	10/19/20	124.74	...	1.25	3 of 5 - Sale ²⁵
	1,000.000	1,259.85	10/19/20	1,247.40	...	12.45	4 of 5 - Sale ²⁵
	1,482.000	1,659.92	10/19/20	1,630.05	...	29.87	5 of 5 - Sale ²⁵
10/19/20	3,862.000	4,480.83	Various	4,446.27	...	34.56	Total of 5 transactions
10/19/20	1,270.000	1,549.46	10/19/20	1,584.20	34.74 W	0.00	Sale ²⁵
	Security total:	6,030.29		6,030.47	34.74 W	34.56	
ENERGOUS CORPORATION COMMON STOCK / CUSIP: 29272C103 / Symbol:							
11/17/20	50.000	106.00	11/17/20	105.25	...	0.75	Sale ²⁵
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	2,760.000	5,519.55	11/17/20	5,823.60	302.84 W	-1.21	1 of 2 - Sale ²⁵
	40.000	79.99	11/17/20	84.20	4.21 W	0.00	2 of 2 - Sale ²⁵
11/17/20	2,800.000	5,599.54	Various	5,907.80	307.05 W	-1.21	Total of 2 transactions
10 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1,106.000	2,410.89	11/24/20	2,510.79	...	-99.90	1 of 10 - Sale ²⁵
	454.000	985.10	11/24/20	1,030.65	...	-45.55	2 of 10 - Sale ²⁵
	300.000	650.94	11/24/20	681.05	...	-30.11	3 of 10 - Sale ²⁵
	300.000	650.94	11/24/20	681.05	...	-30.11	4 of 10 - Sale ²⁵
	288.000	627.79	11/24/20	652.37	...	-24.58	5 of 10 - Sale ²⁵
	107.000	232.18	11/24/20	242.91	...	-10.73	6 of 10 - Sale ²⁵
	100.000	216.99	11/24/20	227.02	...	-10.03	7 of 10 - Sale ²⁵
	93.000	201.80	11/24/20	211.13	...	-9.33	8 of 10 - Sale ²⁵
	40.000	86.79	11/24/20	90.61	...	-3.82	9 of 10 - Sale ²⁵
	1.000	2.31	11/24/20	2.27	...	0.04	10 of 10 - Sale ²⁵
11/24/20	2,789.000	6,065.73	Various	6,329.85	...	-264.12	Total of 10 transactions
	Security total:	11,771.27		12,342.90	307.05 W	-264.58	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ENSERVCO CORP. / CUSIP: 29358Y102 / Symbol:							
5 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	4,000.000	463.50	03/30/20	491.20	...	-27.70	1 of 5 - Sale ²⁵
	600.000	69.52	03/30/20	73.68	...	-4.16	2 of 5 - Sale ²⁵
	269.000	31.17	03/30/20	33.09	...	-1.92	3 of 5 - Sale ²⁵
	200.000	23.18	03/30/20	23.96	...	-0.78	4 of 5 - Sale ²⁵
	100.000	11.59	03/30/20	11.98	...	-0.39	5 of 5 - Sale ²⁵
04/02/20	5,169.000	598.96	Various	633.91	...	-34.95	Total of 5 transactions
05/18/20	1.000	0.16	03/30/20	0.12	...	0.04	Sale ²⁵
18 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	8,787.000	1,141.23	11/12/20	1,318.05	...	-176.82	1 of 18 - Sale ²⁵
	7,624.000	990.18	11/12/20	1,143.60	...	-153.42	2 of 18 - Sale ²⁵
	1,814.000	235.60	11/12/20	272.10	...	-36.50	3 of 18 - Sale ²⁵
	2,000.000	265.76	11/12/20	300.00	...	-34.24	4 of 18 - Sale ²⁵
	350.000	45.46	11/12/20	52.50	...	-7.04	5 of 18 - Sale ²⁵
	201.000	26.71	11/12/20	30.15	...	-3.44	6 of 18 - Sale ²⁵
	100.000	12.99	11/12/20	15.00	...	-2.01	7 of 18 - Sale ²⁵
	50.000	6.49	11/12/20	7.50	...	-1.01	8 of 18 - Sale ²⁵
	50.000	6.65	11/12/20	7.50	...	-0.85	9 of 18 - Sale ²⁵
	30.000	3.90	11/12/20	4.50	...	-0.60	10 of 18 - Sale ²⁵
	30.000	3.90	11/12/20	4.50	...	-0.60	11 of 18 - Sale ²⁵
	25.000	3.33	11/12/20	3.75	...	-0.42	12 of 18 - Sale ²⁵
	1.000	0.13	11/12/20	0.15	...	-0.02	13 of 18 - Sale ²⁵
	1.000	0.13	11/12/20	0.15	...	-0.02	14 of 18 - Sale ²⁵
	1.000	0.13	11/12/20	0.15	...	-0.02	15 of 18 - Sale ²⁵
	1.000	0.13	11/12/20	0.15	...	-0.02	16 of 18 - Sale ²⁵
	1.000	0.13	11/12/20	0.15	...	-0.02	17 of 18 - Sale ²⁵
	1.000	0.13	11/12/20	0.15	...	-0.02	18 of 18 - Sale ²⁵
11/12/20	21,067.000	2,742.98	Various	3,160.05	...	-417.07	Total of 18 transactions
	Security total:	3,342.10		3,794.08	...	-451.98	

ENSERVCO CORP. / CUSIP: 29358Y201 / Symbol:

7 transactions for 11/23/20. Total proceeds and cost reported to the IRS.

	1.000	2.15	11/23/20	2.09	...	0.06	1 of 7 - Sale ²⁵
	10.000	21.50	11/23/20	21.00	...	0.50	2 of 7 - Sale ²⁵

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(continued)

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ENSERVCO CORP. / CUSIP: 29358Y201 / Symbol: (cont'd)							
	20.000	43.00	11/23/20	42.00	...	1.00	3 of 7 - Sale ²⁵
	100.000	214.98	11/23/20	209.00	...	5.98	4 of 7 - Sale ²⁵
	100.000	214.98	11/23/20	209.00	...	5.98	5 of 7 - Sale ²⁵
	170.000	365.47	11/23/20	358.70	...	6.77	6 of 7 - Sale ²⁵
	1,073.000	2,306.76	11/23/20	2,210.38	...	96.38	7 of 7 - Sale ²⁵
11/23/20	1,474.000	3,168.84	Various	3,052.17	...	116.67	Total of 7 transactions
	4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	50.000	109.00	12/14/20	107.00	...	2.00	1 of 4 - Sale ²⁵
	82.000	178.75	12/14/20	175.48	...	3.27	2 of 4 - Sale ²⁵
	296.000	645.22	12/14/20	633.44	...	11.78	3 of 4 - Sale ²⁵
	1,000.000	2,179.83	12/14/20	2,140.00	...	39.83	4 of 4 - Sale ²⁵
12/14/20	1,428.000	3,112.80	Various	3,055.92	...	56.88	Total of 4 transactions
	Security total:	6,281.64		6,108.09	...	173.55	
EQUILLIUM, INC. COMMON STOCK / CUSIP: 29446K106 / Symbol:							
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	125.000	712.47	12/29/20	746.80	...	-34.33	1 of 2 - Sale ²⁵
	75.000	427.49	12/29/20	448.08	...	-20.59	2 of 2 - Sale ²⁵
12/29/20	200.000	1,139.96	Various	1,194.88	...	-54.92	Total of 2 transactions
EVER-GLORY INTERNATIONAL GROUP, INC. COMMON STOCK / CUSIP: 299766204 / Symbol:							
10/19/20	3,225.000	4,063.03	10/19/20	3,998.03	...	65.00	Sale ²⁵
EXPERIENCE INVESTMENT CORP. CLASS A COMMON STOCK / CUSIP: 30217C109 / Symbol:							
	12 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	2.000	23.62	12/30/20	23.50	...	0.12	1 of 12 - Sale ²⁵
	2.000	23.74	12/30/20	23.50	...	0.24	2 of 12 - Sale ²⁵
	2.000	24.02	12/30/20	23.50	...	0.52	3 of 12 - Sale ²⁵
	13.000	153.53	12/30/20	152.75	...	0.78	4 of 12 - Sale ²⁵
	4.000	47.80	12/30/20	47.00	...	0.80	5 of 12 - Sale ²⁵
	5.000	59.75	12/30/20	58.75	...	1.00	6 of 12 - Sale ²⁵
	25.000	298.75	12/30/20	293.72	...	5.03	7 of 12 - Sale ²⁵
	85.000	1,003.81	12/30/20	998.75	...	5.06	8 of 12 - Sale ²⁵
	98.000	1,157.34	12/30/20	1,151.40	...	5.94	9 of 12 - Sale ²⁵

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EXPERIENCE INVESTMENT CORP. CL ASS A COMMON STOCK / CUSIP: 30217C109 / Symbol: (cont'd)							
	98.000	1,163.22	12/30/20	1,151.40	...	11.82	10 of 12 - Sale ²⁵
	98.000	1,163.22	12/30/20	1,151.40	...	11.82	11 of 12 - Sale ²⁵
	164.000	1,959.73	12/30/20	1,926.84	...	32.89	12 of 12 - Sale ²⁵
12/30/20	596.000	7,078.53	Various	7,002.51	...	76.02	Total of 12 transactions
FG FINANCIAL GROUP, INC. COMMO N STOCK / CUSIP: 30259W104 / Symbol:							
12/30/20	100.000	590.97	12/30/20	568.00	...	22.97	Sale ²⁵
FTS INTERNATIONAL, INC. COMMON STOCK / CUSIP: 30283W302 / Symbol:							
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	51.000	815.97	11/23/20	837.44	...	-21.47	1 of 3 - Sale ²⁵
	20.000	319.99	11/23/20	327.21	...	-7.22	2 of 3 - Sale ²⁵
	15.000	239.99	11/23/20	244.36	...	-4.37	3 of 3 - Sale ²⁵
11/23/20	86.000	1,375.95	Various	1,409.01	...	-33.06	Total of 3 transactions
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	85.000	1,365.06	11/23/20	1,375.30	8.55 W	-1.69	1 of 2 - Sale ²⁵
	15.000	240.89	11/23/20	242.55	1.66 W	0.00	2 of 2 - Sale ²⁵
11/23/20	100.000	1,605.95	Various	1,617.85	10.21 W	-1.69	Total of 2 transactions
	Security total:	2,981.90		3,026.86	10.21 W	-34.75	
FATE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 31189P102 / Symbol:							
10/16/20	60.000	2,966.83	10/16/20	2,970.60	...	-3.77	Sale ²⁵
FISKER INC. / CUSIP: 33813J106 / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	100.000	1,015.96	10/30/20	1,018.00	1.92 W	-0.12	1 of 2 - Sale ²⁵
	195.000	1,981.13	10/30/20	1,985.10	3.97 W	0.00	2 of 2 - Sale ²⁵
10/30/20	295.000	2,997.09	Various	3,003.10	5.89 W	-0.12	Total of 2 transactions
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	94.000	1,301.60	11/09/20	1,301.47	...	0.13	1 of 2 - Sale ²⁵
	195.000	2,700.12	11/09/20	2,699.85	...	0.27	2 of 2 - Sale ²⁵
11/09/20	289.000	4,001.72	Various	4,001.32	...	0.40	Total of 2 transactions
	Security total:	6,998.81		7,004.42	5.89 W	0.28	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
3 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	1.000	9.36	08/26/19	9.45	...	-0.09	1 of 3 - Sale ²⁵
	1.000	9.36	08/26/19	8.88	...	0.48	2 of 3 - Sale ²⁵
	1.000	9.36	09/03/19	9.11	...	0.25	3 of 3 - Sale ²⁵
01/02/20	3.000	28.08	Various	27.44	...	0.64	Total of 3 transactions
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
10/15/20	4,000.000	3,971.43	10/15/20	4,032.00	...	-60.57	Sale ²⁵
FORWARD INDUSTRIES, INC. COMMO N STOCK / CUSIP: 349862300 / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1,054.000	2,035.52	10/16/20	1,957.28	...	78.24	1 of 2 - Sale ²⁵
	1,100.000	2,124.36	10/16/20	2,042.70	...	81.66	2 of 2 - Sale ²⁵
10/16/20	2,154.000	4,159.88	Various	3,999.98	...	159.90	Total of 2 transactions
FORWARD PHARMA A/S AMERICAN DEPOSITARY SHARES / CUSIP: 34986J204 / Symbol:							
8 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	136.000	934.28	10/13/20	942.13	...	-7.85	1 of 8 - Sale ²⁵
	108.000	741.94	10/13/20	748.16	...	-6.22	2 of 8 - Sale ²⁵
	36.000	261.04	10/13/20	266.04	...	-5.00	3 of 8 - Sale ²⁵
	63.000	432.79	10/13/20	436.43	...	-3.64	4 of 8 - Sale ²⁵
	37.000	254.18	10/13/20	256.31	...	-2.13	5 of 8 - Sale ²⁵
	24.000	164.87	10/13/20	166.26	...	-1.39	6 of 8 - Sale ²⁵
	5.000	34.35	10/13/20	34.63	...	-0.28	7 of 8 - Sale ²⁵
	347.000	2,529.53	10/13/20	2,505.06	...	24.47	8 of 8 - Sale ²⁵
10/13/20	756.000	5,352.98	Various	5,355.02	...	-2.04	Total of 8 transactions
5 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	251.000	1,820.08	10/13/20	1,852.38	13.90 W	-18.40	1 of 5 - Sale ²⁵
	136.000	986.18	10/13/20	1,003.68	17.50 W	0.00	2 of 5 - Sale ²⁵
	100.000	725.13	10/13/20	738.00	12.87 W	0.00	3 of 5 - Sale ²⁵
	5.000	36.26	10/13/20	36.90	0.64 W	0.00	4 of 5 - Sale ²⁵
	24.000	174.03	10/13/20	177.12	3.09 W	0.00	5 of 5 - Sale ²⁵
10/13/20	516.000	3,741.68	Various	3,808.08	48.00 W	-18.40	Total of 5 transactions
	Security total:	9,094.66		9,163.10	48.00 W	-20.44	

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(continued)

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FORUM MERGER III CORPORATION C LASS A COMMON STOCK / CUSIP: 349885103 / Symbol:							
6 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	12.37	12/21/20	12.24	...	0.13	1 of 6 - Sale ²⁵
	5.000	61.85	12/21/20	61.21	...	0.64	2 of 6 - Sale ²⁵
	63.000	774.87	12/21/20	771.28	...	3.59	3 of 6 - Sale ²⁵
	100.000	1,229.96	12/21/20	1,224.26	...	5.70	4 of 6 - Sale ²⁵
	63.000	779.28	12/21/20	771.29	...	7.99	5 of 6 - Sale ²⁵
12/21/20	94.000	1,162.74	12/21/20	1,150.81	...	11.93	6 of 6 - Sale ²⁵
	326.000	4,021.07	Various	3,991.09	...	29.98	Total of 6 transactions
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	100.000	1,217.96	12/21/20	1,224.26	6.30 W	0.00	1 of 2 - Sale ²⁵
	63.000	767.31	12/21/20	771.28	3.97 W	0.00	2 of 2 - Sale ²⁵
12/21/20	163.000	1,985.27	Various	1,995.54	10.27 W	0.00	Total of 2 transactions
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	271.000	3,684.12	12/23/20	3,755.25	...	-71.13	1 of 3 - Sale ²⁵
	100.000	1,359.46	12/23/20	1,392.00	...	-32.54	2 of 3 - Sale ²⁵
	63.000	856.46	12/23/20	876.96	...	-20.50	3 of 3 - Sale ²⁵
12/23/20	434.000	5,900.04	Various	6,024.21	...	-124.17	Total of 3 transactions
	Security total:	11,906.38		12,010.84	10.27 W	-94.19	
FRANDESCAS HLDGS CORP COMMON S TOCK / CUSIP: 351793203 / Symbol:							
5 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	567.000	2,784.68	09/11/20	2,891.70	...	-107.02	1 of 5 - Sale ²⁵
	100.000	491.13	09/11/20	510.00	...	-18.87	2 of 5 - Sale ²⁵
	100.000	491.13	09/11/20	509.00	...	-17.87	3 of 5 - Sale ²⁵
	14.000	68.76	09/11/20	71.26	...	-2.50	4 of 5 - Sale ²⁵
	12.000	58.94	09/11/20	60.48	...	-1.54	5 of 5 - Sale ²⁵
09/11/20	793.000	3,894.64	Various	4,042.44	...	-147.80	Total of 5 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
01/22/20	319.000	666.65	01/21/20	905.45	...	-238.80	Sale ²⁵
02/05/20	1.000	1.67	01/21/20	2.84	...	-1.17	Sale ²⁵
	Security total:	668.32		908.29	...	-239.97	

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2020 1099-B* OMB No. 1545-0715

(continued)

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FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	152.000	2,974.55	11/17/20	2,988.57	...	-14.02	1 of 3 - Sale ²⁵
	52.000	1,027.48	11/17/20	1,022.40	...	5.08	2 of 3 - Sale ²⁵
	100.000	1,975.94	11/17/20	1,966.16	...	9.78	3 of 3 - Sale ²⁵
11/17/20	304.000	5,977.97	Various	5,977.13	...	0.84	Total of 3 transactions
FSD PHARMA INC. CLASS B SUBORD INATE VOTING SHARES / CUSIP: 35954B206 / Symbol:							
12 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	338.000	510.32	11/13/20	510.38	...	-0.06	1 of 12 - Sale ²⁵
	1.000	1.51	11/13/20	1.50	...	0.01	2 of 12 - Sale ²⁵
	43.000	64.92	11/13/20	64.50	...	0.42	3 of 12 - Sale ²⁵
	86.000	129.85	11/13/20	129.00	...	0.85	4 of 12 - Sale ²⁵
	100.000	150.99	11/13/20	150.00	...	0.99	5 of 12 - Sale ²⁵
	100.000	150.99	11/13/20	150.00	...	0.99	6 of 12 - Sale ²⁵
	100.000	150.98	11/13/20	149.90	...	1.08	7 of 12 - Sale ²⁵
	227.000	342.73	11/13/20	339.82	...	2.91	8 of 12 - Sale ²⁵
	647.000	983.34	11/13/20	977.07	...	6.27	9 of 12 - Sale ²⁵
	691.000	1,050.21	11/13/20	1,043.41	...	6.80	10 of 12 - Sale ²⁵
	1,472.000	2,222.49	11/13/20	2,208.00	...	14.49	11 of 12 - Sale ²⁵
	1,928.000	2,910.99	11/13/20	2,892.00	...	18.99	12 of 12 - Sale ²⁵
11/13/20	5,733.000	8,669.32	Various	8,615.58	...	53.74	Total of 12 transactions
11/13/20	999.000	1,508.33	11/13/20	1,508.49	0.10 W	-0.06	Sale ²⁵
	Security total:	10,177.65		10,124.07	0.10 W	53.68	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
12/07/20	1.000	10.83	12/07/20	10.83	...	0.00	Sale ²⁵
12/15/20	100.000	1,111.96	12/07/20	1,090.00	...	21.96	Sale ²⁵
	Security total:	1,122.79		1,100.83	...	21.96	
GENETIC TECHNOLOGIES LTD SPON SORED ADR / CUSIP: 37185R307 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	600.000	2,491.67	08/31/20	2,492.58	...	-0.91	1 of 2 - Sale ²⁵
	600.000	2,497.67	08/31/20	2,495.94	...	1.73	2 of 2 - Sale ²⁵
08/31/20	1,200.000	4,989.34	Various	4,988.52	...	0.82	Total of 2 transactions

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GEOVAX LABS, INC. COMMON STOCK / CUSIP: 373678507 / Symbol:							
7 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	4.000	17.40	10/26/20	17.24	...	0.16	1 of 7 - Sale ²⁵
	17.000	74.04	10/26/20	73.27	...	0.77	2 of 7 - Sale ²⁵
	50.000	217.49	10/26/20	215.50	...	1.99	3 of 7 - Sale ²⁵
	83.000	361.04	10/26/20	357.73	...	3.31	4 of 7 - Sale ²⁵
	100.000	434.99	10/26/20	431.00	...	3.99	5 of 7 - Sale ²⁵
	145.000	630.72	10/26/20	624.95	...	5.77	6 of 7 - Sale ²⁵
	300.000	1,304.93	10/26/20	1,293.00	...	11.93	7 of 7 - Sale ²⁵
10/26/20	699.000	3,040.61	Various	3,012.69	...	27.92	Total of 7 transactions
13 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	24.000	83.52	12/09/20	82.80	...	0.72	1 of 13 - Sale ²⁵
	28.000	97.44	12/09/20	96.60	...	0.84	2 of 13 - Sale ²⁵
	50.000	175.50	12/09/20	172.50	...	3.00	3 of 13 - Sale ²⁵
	176.000	610.68	12/09/20	607.20	...	3.48	4 of 13 - Sale ²⁵
	50.000	177.00	12/09/20	172.50	...	4.50	5 of 13 - Sale ²⁵
	50.000	177.00	12/09/20	172.50	...	4.50	6 of 13 - Sale ²⁵
	100.000	350.99	12/09/20	345.00	...	5.99	7 of 13 - Sale ²⁵
	100.000	350.99	12/09/20	345.00	...	5.99	8 of 13 - Sale ²⁵
	100.000	350.99	12/09/20	345.00	...	5.99	9 of 13 - Sale ²⁵
	100.000	350.99	12/09/20	345.00	...	5.99	10 of 13 - Sale ²⁵
	126.000	444.35	12/09/20	434.70	...	9.65	11 of 13 - Sale ²⁵
	500.000	1,734.90	12/09/20	1,725.00	...	9.90	12 of 13 - Sale ²⁵
	624.000	2,171.39	12/09/20	2,152.80	...	18.59	13 of 13 - Sale ²⁵
12/09/20	2,028.000	7,075.74	Various	6,996.60	...	79.14	Total of 13 transactions
	Security total:	10,116.35		10,009.29	...	107.06	
GIGCAPITAL2, INC. COMMON STOCK / CUSIP: 375036100 / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	192.000	1,960.29	12/04/20	1,968.00	...	-7.71	1 of 2 - Sale ²⁵
	100.000	1,021.96	12/04/20	1,025.00	...	-3.04	2 of 2 - Sale ²⁵
12/04/20	292.000	2,982.25	Various	2,993.00	...	-10.75	Total of 2 transactions

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GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
<i>7 transactions for 12/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.86	12/07/20	0.76	...	0.10	1 of 7 - Sale ²⁵
	100.000	42.89	12/07/20	38.00	...	4.89	2 of 7 - Sale ²⁵
	187.000	80.07	12/07/20	71.06	...	9.01	3 of 7 - Sale ²⁵
	400.000	171.15	12/07/20	152.00	...	19.15	4 of 7 - Sale ²⁵
	700.000	299.73	12/07/20	266.00	...	33.73	5 of 7 - Sale ²⁵
	1,905.000	815.09	12/07/20	723.90	...	91.19	6 of 7 - Sale ²⁵
	4,600.000	1,968.20	12/07/20	1,748.00	...	220.20	7 of 7 - Sale ²⁵
12/08/20	7,894.000	3,377.99	Various	2,999.72	...	378.27	Total of 7 transactions
GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol:							
<i>3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	285.000	521.70	11/18/20	507.30	...	14.40	1 of 3 - Sale ²⁵
	1,400.000	2,561.77	11/18/20	2,492.00	...	69.77	2 of 3 - Sale ²⁵
	1,685.000	3,083.28	11/18/20	2,999.30	...	83.98	3 of 3 - Sale ²⁵
11/18/20	3,370.000	6,166.75	Various	5,998.60	...	168.15	Total of 3 transactions
<i>10 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.59	12/16/20	1.54	...	0.05	1 of 10 - Sale ²⁵
	100.000	155.99	12/16/20	154.00	...	1.99	2 of 10 - Sale ²⁵
	133.000	207.46	12/16/20	204.82	...	2.64	3 of 10 - Sale ²⁵
	200.000	311.98	12/16/20	308.00	...	3.98	4 of 10 - Sale ²⁵
	300.000	467.96	12/16/20	462.00	...	5.96	5 of 10 - Sale ²⁵
	300.000	467.96	12/16/20	462.00	...	5.96	6 of 10 - Sale ²⁵
	300.000	467.96	12/16/20	462.00	...	5.96	7 of 10 - Sale ²⁵
	300.000	470.96	12/16/20	462.00	...	8.96	8 of 10 - Sale ²⁵
	1,333.000	2,065.94	12/16/20	2,052.82	...	13.12	9 of 10 - Sale ²⁵
	1,033.000	1,621.65	12/16/20	1,590.82	...	30.83	10 of 10 - Sale ²⁵
12/16/20	4,000.000	6,239.45	Various	6,160.00	...	79.45	Total of 10 transactions
<i>5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	45.90	12/22/20	45.00	...	0.90	1 of 5 - Sale ²⁵
	100.000	152.98	12/22/20	149.90	...	3.08	2 of 5 - Sale ²⁵
	100.000	152.99	12/22/20	149.90	...	3.09	3 of 5 - Sale ²⁵
	317.000	484.96	12/22/20	475.50	...	9.46	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol: (cont'd)							
	3,562.000	5,449.31	12/22/20	5,343.00	...	106.31	5 of 5 - Sale ²⁵
12/22/20	4,109.000	6,286.14	Various	6,163.30	...	122.84	Total of 5 transactions
	3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	100.000	132.99	12/22/20	132.00	...	0.99	1 of 3 - Sale ²⁵
	149.000	198.15	12/22/20	196.68	...	1.47	2 of 3 - Sale ²⁵
	251.000	333.80	12/22/20	331.32	...	2.48	3 of 3 - Sale ²⁵
12/23/20	500.000	664.94	Various	660.00	...	4.94	Total of 3 transactions
	Security total:	19,357.28		18,981.90	...	375.38	
HTG MOLECULAR DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 40434H104 / Symbol:							
	4 transactions for 03/03/20. Total proceeds and cost reported to the IRS.						
	947.000	483.31	02/28/20	662.90	...	-179.59	1 of 4 - Sale ²⁵
	25.000	12.76	02/28/20	22.68	...	-9.92	2 of 4 - Sale ²⁵
	28.000	14.29	02/28/20	20.85	...	-6.56	3 of 4 - Sale ²⁵
	62.000	31.64	02/28/20	34.50	...	-2.86	4 of 4 - Sale ²⁵
03/03/20	1,062.000	542.00	Various	740.93	...	-198.93	Total of 4 transactions
03/03/20	53.000	27.13	02/28/20	37.10	9.97 W	0.00	Sale ²⁵
	Security total:	569.13		778.03	9.97 W	-198.93	
HF ENTERPRISES INC. COMMON STOCK / CUSIP: 40438A105 / Symbol:							
11/24/20	1.000	7.47	11/24/20	7.49	...	-0.02	Sale ²⁵
	6 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	99.000	739.50	11/24/20	740.52	0.01 W	-1.01	1 of 6 - Sale ²⁵
	93.000	694.68	11/24/20	696.57	1.89 W	0.00	2 of 6 - Sale ²⁵
	96.000	715.17	11/24/20	719.04	3.87 W	0.00	3 of 6 - Sale ²⁵
	1.000	7.45	11/24/20	7.51	0.06 W	0.00	4 of 6 - Sale ²⁵
	93.000	692.82	11/24/20	699.39	6.57 W	0.00	5 of 6 - Sale ²⁵
	433.000	3,225.73	11/24/20	3,247.50	21.77 W	0.00	6 of 6 - Sale ²⁵
11/24/20	815.000	6,075.35	Various	6,110.53	34.17 W	-1.01	Total of 6 transactions
	24 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	1.000	5.66	12/16/20	5.66	...	0.00	1 of 24 - Sale ²⁵
	1.000	5.66	12/16/20	5.62	...	0.04	2 of 24 - Sale ²⁵
	11.000	62.24	12/16/20	62.15	...	0.09	3 of 24 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HF ENTERPRISES INC. COMMON STO CK / CUSIP: 40438A105 / Symbol: (cont'd)							
	3.000	17.01	12/16/20	16.84	...	0.17	4 of 24 - Sale ²⁵
	4.000	22.68	12/16/20	22.45	...	0.23	5 of 24 - Sale ²⁵
	15.000	85.04	12/16/20	84.64	...	0.40	6 of 24 - Sale ²⁵
	22.000	124.73	12/16/20	124.31	...	0.42	7 of 24 - Sale ²⁵
	64.000	362.14	12/16/20	361.62	...	0.52	8 of 24 - Sale ²⁵
	29.000	164.42	12/16/20	163.86	...	0.56	9 of 24 - Sale ²⁵
	14.000	79.38	12/16/20	78.82	...	0.56	10 of 24 - Sale ²⁵
	29.000	164.43	12/16/20	163.86	...	0.57	11 of 24 - Sale ²⁵
	36.000	204.11	12/16/20	203.41	...	0.70	12 of 24 - Sale ²⁵
	100.000	565.84	12/16/20	565.03	...	0.81	13 of 24 - Sale ²⁵
	71.000	402.55	12/16/20	401.17	...	1.38	14 of 24 - Sale ²⁵
	71.000	402.55	12/16/20	401.16	...	1.39	15 of 24 - Sale ²⁵
	95.000	537.54	12/16/20	535.83	...	1.71	16 of 24 - Sale ²⁵
	29.000	165.58	12/16/20	163.27	...	2.31	17 of 24 - Sale ²⁵
	29.000	165.58	12/16/20	163.27	...	2.31	18 of 24 - Sale ²⁵
	43.000	245.96	12/16/20	242.09	...	3.87	19 of 24 - Sale ²⁵
	71.000	402.55	12/16/20	398.47	...	4.08	20 of 24 - Sale ²⁵
	71.000	405.39	12/16/20	399.73	...	5.66	21 of 24 - Sale ²⁵
	71.000	405.39	12/16/20	399.73	...	5.66	22 of 24 - Sale ²⁵
	28.000	169.18	12/16/20	157.64	...	11.54	23 of 24 - Sale ²⁵
	95.000	574.02	12/16/20	534.85	...	39.17	24 of 24 - Sale ²⁵
12/16/20	1,003.000	5,739.63	Various	5,655.48	...	84.15	Total of 24 transactions
	2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	4.000	22.63	12/16/20	22.68	0.05 W	0.00	1 of 2 - Sale ²⁵
	89.000	503.59	12/16/20	504.69	1.10 W	0.00	2 of 2 - Sale ²⁵
12/16/20	93.000	526.22	Various	527.37	1.15 W	0.00	Total of 2 transactions
	Security total:	12,348.67		12,300.87	35.32 W	83.12	
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
	5 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	4.000	16.96	11/05/20	16.80	...	0.16	1 of 5 - Sale ²⁵
	10.000	42.40	11/05/20	42.00	...	0.40	2 of 5 - Sale ²⁵
	100.000	423.98	11/05/20	420.00	...	3.98	3 of 5 - Sale ²⁵
	100.000	423.98	11/05/20	420.00	...	3.98	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol: (cont'd)							
11/05/20	500.000	2,119.89	11/05/20	2,100.00	...	19.89	5 of 5 - Sale ²⁵
	714.000	3,027.21	Various	2,998.80	...	28.41	Total of 5 transactions
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K409 / Symbol:							
8 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	210.000	1,307.52	12/21/20	1,336.65	...	-29.13	1 of 8 - Sale ²⁵
	106.000	665.65	12/21/20	674.69	...	-9.04	2 of 8 - Sale ²⁵
	15.000	96.45	12/21/20	95.47	...	0.98	3 of 8 - Sale ²⁵
	15.000	96.45	12/21/20	95.47	...	0.98	4 of 8 - Sale ²⁵
	85.000	546.52	12/21/20	541.03	...	5.49	5 of 8 - Sale ²⁵
	100.000	642.97	12/21/20	636.50	...	6.47	6 of 8 - Sale ²⁵
	100.000	642.97	12/21/20	636.50	...	6.47	7 of 8 - Sale ²⁵
	315.000	2,019.06	12/21/20	2,004.98	...	14.08	8 of 8 - Sale ²⁵
12/21/20	946.000	6,017.59	Various	6,021.29	...	-3.70	Total of 8 transactions
HEPION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 426897104 / Symbol:							
08/20/20	921.000	3,539.03	08/20/20	3,518.22	...	20.81	Sale ²⁵
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
10/16/20	1,149.000	3,011.66	10/16/20	3,008.31	...	3.35	Sale ²⁵
HEXINDAI INC. ADR / CUSIP: 428295208 / Symbol:							
2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	150.000	350.98	10/20/20	348.00	...	2.98	1 of 2 - Sale ²⁵
	1,100.000	2,573.81	10/20/20	2,552.00	...	21.81	2 of 2 - Sale ²⁵
10/20/20	1,250.000	2,924.79	Various	2,900.00	...	24.79	Total of 2 transactions
HEXO CORP. / CUSIP: 428304109 / Symbol:							
2 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	2.000	3.29	08/26/19	8.16	...	-4.87	1 of 2 - Sale ²⁵
	1.000	1.64	08/26/19	3.98	...	-2.34	2 of 2 - Sale ²⁵
01/02/20	3.000	4.93	Various	12.14	...	-7.21	Total of 2 transactions
7 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	1.03	11/30/20	1.02	...	0.01	1 of 7 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	548.000	564.35	11/30/20	557.53	...	6.82	2 of 7 - Sale ²⁵
	2,948.000	3,006.54	11/30/20	2,999.30	...	7.24	3 of 7 - Sale ²⁵
	600.000	617.91	11/30/20	610.44	...	7.47	4 of 7 - Sale ²⁵
	600.000	617.91	11/30/20	610.44	...	7.47	5 of 7 - Sale ²⁵
	600.000	617.91	11/30/20	610.44	...	7.47	6 of 7 - Sale ²⁵
	600.000	617.91	11/30/20	610.44	...	7.47	7 of 7 - Sale ²⁵
11/30/20	5,897.000	6,043.56	Various	5,999.61	...	43.95	Total of 7 transactions
	Security total:	6,048.49		6,011.75	...	36.74	
HISTOGEN INC. COMMON STOCK / CUSIP: 43358Y103 / Symbol:							
3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	3,010.000	4,213.54	12/15/20	4,304.50	...	-90.76	1 of 3 - Sale ²⁵
	800.000	1,119.87	12/15/20	1,144.00	...	-24.13	2 of 3 - Sale ²⁵
	460.000	643.93	12/15/20	657.80	...	-13.87	3 of 3 - Sale ²⁵
12/15/20	4,270.000	5,977.34	Various	6,106.10	...	-128.76	Total of 3 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	59.000	20.46	02/04/20	27.98	...	-7.52	1 of 2 - Sale ²⁵
	1.000	0.37	02/04/20	0.87	...	-0.50	2 of 2 - Sale ²⁵
02/05/20	60.000	20.83	Various	28.85	...	-8.02	Total of 2 transactions
13 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	360.000	124.88	02/04/20	170.55	39.45 W	-6.22	1 of 13 - Sale ²⁵
	100.000	34.70	02/04/20	47.82	13.12 W	0.00	2 of 13 - Sale ²⁵
	100.000	34.69	02/04/20	61.01	26.32 W	0.00	3 of 13 - Sale ²⁵
	200.000	69.37	02/04/20	95.78	26.41 W	0.00	4 of 13 - Sale ²⁵
	100.000	34.69	02/04/20	47.89	13.20 W	0.00	5 of 13 - Sale ²⁵
	100.000	34.69	02/04/20	47.89	13.20 W	0.00	6 of 13 - Sale ²⁵
	220.000	76.31	02/04/20	105.36	29.05 W	0.00	7 of 13 - Sale ²⁵
	30.000	10.41	02/04/20	22.27	11.86 W	0.00	8 of 13 - Sale ²⁵
	70.000	24.28	02/04/20	51.58	27.30 W	0.00	9 of 13 - Sale ²⁵
	100.000	34.69	02/04/20	60.57	25.88 W	0.00	10 of 13 - Sale ²⁵
	100.000	34.69	02/04/20	60.57	25.88 W	0.00	11 of 13 - Sale ²⁵
	200.000	69.37	02/04/20	121.16	51.79 W	0.00	12 of 13 - Sale ²⁵

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	220.000	76.31	02/04/20	133.28		0.00	13 of 13 - Sale ²⁵
02/05/20	1,900.000	659.08	Various	1,025.73	56.97 W 360.43 W	-6.22	Total of 13 transactions
3 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	101.000	175.73	02/28/20	342.24	...	-166.51	1 of 3 - Sale ²⁵
	70.000	121.79	02/28/20	246.37	...	-124.58	2 of 3 - Sale ²⁵
	29.000	50.46	02/28/20	102.22	...	-51.76	3 of 3 - Sale ²⁵
03/03/20	200.000	347.98	Various	690.83	...	-342.85	Total of 3 transactions
10 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	99.000	196.00	02/28/20	335.47	...	-139.47	1 of 10 - Sale ²⁵
	50.000	98.99	02/28/20	166.44	...	-67.45	2 of 10 - Sale ²⁵
	1.000	1.98	02/28/20	3.39	...	-1.41	3 of 10 - Sale ²⁵
	142.000	281.14	03/03/20	307.28	...	-26.14	4 of 10 - Sale ²⁵
	100.000	197.99	03/03/20	216.38	...	-18.39	5 of 10 - Sale ²⁵
	78.000	154.43	03/03/20	168.79	...	-14.36	6 of 10 - Sale ²⁵
	49.000	97.01	03/03/20	106.03	...	-9.02	7 of 10 - Sale ²⁵
	11.000	21.78	03/03/20	22.35	...	-0.57	8 of 10 - Sale ²⁵
	288.000	570.19	03/05/20	756.50	...	-186.31	9 of 10 - Sale ²⁵
	11.000	21.78	03/05/20	28.89	...	-7.11	10 of 10 - Sale ²⁵
03/06/20	829.000	1,641.29	Various	2,111.52	...	-470.23	Total of 10 transactions
03/17/20	1.000	1.09	03/05/20	2.63	...	-1.54	Sale ²⁵
09/09/20	1,972.000	3,992.39	09/09/20	3,993.30	...	-0.91	Sale ²⁵
	Security total:	6,662.66		7,852.86	360.43 W	-829.77	
ICONIX BRAND GROUP, INC. COMMO N STOCK / CUSIP: 451055305 / Symbol:							
10/12/20	2,703.000	2,542.87	10/12/20	2,510.01	...	32.86	Sale ²⁵
IDEAL POWER INC. COMMON STOCK / CUSIP: 451622203 / Symbol:							
5 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	153.000	1,138.27	11/18/20	1,138.32	...	-0.05	1 of 5 - Sale ²⁵
	100.000	743.97	11/18/20	741.90	...	2.07	2 of 5 - Sale ²⁵
	100.000	743.97	11/18/20	741.90	...	2.07	3 of 5 - Sale ²⁵
	100.000	743.97	11/18/20	741.90	...	2.07	4 of 5 - Sale ²⁵
	100.000	743.97	11/18/20	741.90	...	2.07	5 of 5 - Sale ²⁵
11/18/20	553.000	4,114.15	Various	4,105.92	...	8.23	Total of 5 transactions

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
<i>4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	18.72	06/05/20	4.86	...	13.86	1 of 4 - Sale ²⁵
	15.000	31.20	06/09/20	18.30	...	12.90	2 of 4 - Sale ²⁵
	37.000	76.96	06/09/20	45.88	...	31.08	3 of 4 - Sale ²⁵
	435.000	904.72	06/09/20	530.70	...	374.02	4 of 4 - Sale ²⁵
06/17/20	496.000	1,031.60	Various	599.74	...	431.86	Total of 4 transactions
<i>2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.98	06/09/20	1.24	...	1.74	1 of 2 - Sale ²⁵
	260.000	776.06	06/22/20	854.57	...	-78.51	2 of 2 - Sale ²⁵
06/23/20	261.000	779.04	Various	855.81	...	-76.77	Total of 2 transactions
	Security total:	1,810.64		1,455.55	...	355.09	
INDEPENDENCE CONTRACT DRILLING, INC. / CUSIP: 453415606 / Symbol:							
<i>7 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	31.60	10/09/20	31.30	...	0.30	1 of 7 - Sale ²⁵
	25.000	79.00	10/09/20	78.25	...	0.75	2 of 7 - Sale ²⁵
	65.000	205.39	10/09/20	203.45	...	1.94	3 of 7 - Sale ²⁵
	100.000	315.99	10/09/20	313.00	...	2.99	4 of 7 - Sale ²⁵
	100.000	315.99	10/09/20	313.00	...	2.99	5 of 7 - Sale ²⁵
	100.000	315.99	10/09/20	313.00	...	2.99	6 of 7 - Sale ²⁵
	241.000	761.51	10/09/20	754.33	...	7.18	7 of 7 - Sale ²⁵
10/09/20	641.000	2,025.47	Various	2,006.33	...	19.14	Total of 7 transactions
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
<i>6 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	30.41	11/06/20	30.60	0.19 W	0.00	1 of 6 - Sale ²⁵
	100.000	152.04	11/06/20	153.00	0.96 W	0.00	2 of 6 - Sale ²⁵
	262.000	398.36	11/06/20	400.86	2.50 W	0.00	3 of 6 - Sale ²⁵
	200.000	304.09	11/06/20	306.00	1.91 W	0.00	4 of 6 - Sale ²⁵
	65.000	98.83	11/06/20	99.45	0.62 W	0.00	5 of 6 - Sale ²⁵
	1,320.000	2,006.99	11/06/20	2,032.80	25.81 W	0.00	6 of 6 - Sale ²⁵
11/06/20	1,967.000	2,990.72	Various	3,022.71	31.99 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol: (cont'd)							
<i>7 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	1,320.000	1,900.60	11/09/20	1,938.36	...	-37.76	1 of 7 - Sale ²⁵
	262.000	377.24	11/09/20	382.11	...	-4.87	2 of 7 - Sale ²⁵
	200.000	287.97	11/09/20	291.69	...	-3.72	3 of 7 - Sale ²⁵
	100.000	143.98	11/09/20	145.85	...	-1.87	4 of 7 - Sale ²⁵
	65.000	93.59	11/09/20	94.80	...	-1.21	5 of 7 - Sale ²⁵
	101.000	145.42	11/09/20	146.34	...	-0.92	6 of 7 - Sale ²⁵
	20.000	28.80	11/09/20	29.17	...	-0.37	7 of 7 - Sale ²⁵
11/09/20	2,068.000	2,977.60	Various	3,028.32	...	-50.72	Total of 7 transactions
	Security total:	5,968.32		6,051.03	31.99 W	-50.72	
INHIBIKASE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 45719W106 / Symbol:							
<i>5 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	78.000	772.17	12/23/20	764.40	...	7.77	1 of 5 - Sale ²⁵
	204.000	2,009.33	12/23/20	1,999.20	...	10.13	2 of 5 - Sale ²⁵
	126.000	1,247.36	12/23/20	1,234.80	...	12.56	3 of 5 - Sale ²⁵
	100.000	996.97	12/23/20	980.00	...	16.97	4 of 5 - Sale ²⁵
	104.000	1,036.84	12/23/20	1,019.20	...	17.64	5 of 5 - Sale ²⁵
12/23/20	612.000	6,062.67	Various	5,997.60	...	65.07	Total of 5 transactions
12/23/20	50.000	507.98	12/23/20	512.00	4.02 W	0.00	Sale ²⁵
<i>2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	223.000	2,285.67	12/23/20	2,428.47	...	-142.80	1 of 2 - Sale ²⁵
	50.000	512.48	12/23/20	548.52	...	-36.04	2 of 2 - Sale ²⁵
12/24/20	273.000	2,798.15	Various	2,976.99	...	-178.84	Total of 2 transactions
	Security total:	9,368.80		9,486.59	4.02 W	-113.77	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/10/20	44.000	272.80	03/06/20	556.60	...	-283.80	Sale ²⁵
03/17/20	1.000	6.86	03/06/20	12.65	...	-5.79	Sale ²⁵
	Security total:	279.66		569.25	...	-289.59	

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INSEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
2 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	1.000	7.41	12/26/19	7.24	...	0.17	1 of 2 - Sale ²⁵
	2.000	14.81	12/30/19	15.11	...	-0.30	2 of 2 - Sale ²⁵
01/03/20	3.000	22.22	Various	22.35	...	-0.13	Total of 2 transactions
02/05/20	1.000	7.41	12/30/19	7.56	...	-0.15	Sale ²⁵
	Security total:	29.63		29.91	...	-0.28	
INPIXON COMMON STOCK / CUSIP: 45790J503 / Symbol:							
01/03/20	2,400.000	1,319.69	01/03/20	1,295.76	...	23.93	Sale ²⁵
8 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	734.000	403.60	01/03/20	416.36	12.76 W	0.00	1 of 8 - Sale ²⁵
	100.000	54.99	01/03/20	56.73	1.74 W	0.00	2 of 8 - Sale ²⁵
	42.000	23.10	01/03/20	23.82	0.72 W	0.00	3 of 8 - Sale ²⁵
	2,124.000	1,167.92	01/03/20	1,204.84	36.92 W	0.00	4 of 8 - Sale ²⁵
	76.000	41.79	01/03/20	50.28	8.49 W	0.00	5 of 8 - Sale ²⁵
	74.000	40.69	01/03/20	48.96	8.27 W	0.00	6 of 8 - Sale ²⁵
	226.000	124.27	01/03/20	143.76	19.49 W	0.00	7 of 8 - Sale ²⁵
	123.000	67.64	01/03/20	78.23	10.59 W	0.00	8 of 8 - Sale ²⁵
01/03/20	3,499.000	1,924.00	Various	2,022.98	98.98 W	0.00	Total of 8 transactions
	Security total:	3,243.69		3,318.74	98.98 W	23.93	
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
14 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	0.022	0.11	01/03/20	0.64	0.53 W	0.00	1 of 14 - Sale ²⁵
	0.934	4.49	01/03/20	24.03	19.54 W	0.00	2 of 14 - Sale ²⁵
	1.646	7.91	01/03/20	49.34	41.43 W	0.00	3 of 14 - Sale ²⁵
	1.691	8.12	01/03/20	50.67	42.55 W	0.00	4 of 14 - Sale ²⁵
	2.225	10.69	01/03/20	57.24	46.55 W	0.00	5 of 14 - Sale ²⁵
	2.736	13.14	01/03/20	78.86	65.72 W	0.00	6 of 14 - Sale ²⁵
	5.028	24.15	01/03/20	144.92	120.77 W	0.00	7 of 14 - Sale ²⁵
	5.206	25.01	01/03/20	133.94	108.93 W	0.00	8 of 14 - Sale ²⁵
	47.252	226.97	01/03/20	1,215.74	988.77 W	0.00	9 of 14 - Sale ²⁵
	56.751	272.61	01/03/20	1,415.80	1,143.19 W	0.00	10 of 14 - Sale ²⁵
	8.899	42.74	01/03/20	172.80	130.06 W	0.00	11 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol: (cont'd)							
	8.342	40.07	01/03/20	159.38	119.31 W	0.00	12 of 14 - Sale ²⁵
	38.931	187.02	01/03/20	736.58	549.56 W	0.00	13 of 14 - Sale ²⁵
	2.337	11.22	01/03/20	43.59	32.37 W	0.00	14 of 14 - Sale ²⁵
01/10/20	182.000	874.25	Various	4,283.53	3,409.28 W	0.00	Total of 14 transactions
	2 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	5.000	25.40	01/14/20	19.75	...	5.65	1 of 2 - Sale ²⁵
	44.000	223.51	01/14/20	173.80	...	49.71	2 of 2 - Sale ²⁵
01/14/20	49.000	248.91	Various	193.55	...	55.36	Total of 2 transactions
	16 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	0.022	0.11	01/03/20	0.94	0.83 W	0.00	1 of 16 - Sale ²⁵
	0.978	4.97	01/03/20	38.70	33.73 W	0.00	2 of 16 - Sale ²⁵
	2.337	11.87	01/14/20	41.60	29.73 W	0.00	3 of 16 - Sale ²⁵
	4.732	24.04	01/14/20	86.36	62.32 W	0.00	4 of 16 - Sale ²⁵
	38.931	197.76	01/14/20	703.33	505.57 W	0.00	5 of 16 - Sale ²⁵
	0.934	4.75	01/14/20	23.24	18.49 W	0.00	6 of 16 - Sale ²⁵
	1.646	8.36	01/14/20	47.95	39.59 W	0.00	7 of 16 - Sale ²⁵
	1.691	8.59	01/14/20	49.25	40.66 W	0.00	8 of 16 - Sale ²⁵
	2.225	11.30	01/14/20	55.36	44.06 W	0.00	9 of 16 - Sale ²⁵
	2.736	13.90	01/14/20	76.56	62.66 W	0.00	10 of 16 - Sale ²⁵
	3.611	18.34	01/14/20	65.94	47.60 W	0.00	11 of 16 - Sale ²⁵
	4.228	21.48	01/14/20	105.21	83.73 W	0.00	12 of 16 - Sale ²⁵
	5.028	25.54	01/14/20	140.68	115.14 W	0.00	13 of 16 - Sale ²⁵
	8.899	45.20	01/14/20	165.30	120.10 W	0.00	14 of 16 - Sale ²⁵
	47.252	240.02	01/14/20	1,175.88	935.86 W	0.00	15 of 16 - Sale ²⁵
	56.751	288.28	01/14/20	1,367.91	1,079.63 W	0.00	16 of 16 - Sale ²⁵
01/14/20	182.000	924.51	Various	4,144.21	3,219.70 W	0.00	Total of 16 transactions
	27 transactions for 01/21/20. Total proceeds and cost reported to the IRS.						
	0.022	0.09	01/14/20	0.92	0.83 W	0.00	1 of 27 - Sale ²⁵
	0.978	3.98	01/14/20	37.59	33.61 W	0.00	2 of 27 - Sale ²⁵
	0.934	3.81	01/15/20	23.07	19.26 W	0.00	3 of 27 - Sale ²⁵
	2.225	9.06	01/15/20	54.96	45.90 W	0.00	4 of 27 - Sale ²⁵
	4.228	17.23	01/15/20	104.45	87.22 W	0.00	5 of 27 - Sale ²⁵
	43.613	177.71	01/15/20	1,077.49	899.78 W	0.00	6 of 27 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol: (cont'd)							
	1.646	6.71	01/15/20	47.66	40.95 W	0.00	7 of 27 - Sale ²⁵
	1.691	6.89	01/15/20	48.95	42.06 W	0.00	8 of 27 - Sale ²⁵
	2.736	11.15	01/15/20	76.08	64.93 W	0.00	9 of 27 - Sale ²⁵
	1.611	6.56	01/15/20	29.14	22.58 W	0.00	10 of 27 - Sale ²⁵
	3.639	14.83	01/15/20	89.92	75.09 W	0.00	11 of 27 - Sale ²⁵
	5.028	20.49	01/15/20	139.80	119.31 W	0.00	12 of 27 - Sale ²⁵
	8.899	36.26	01/15/20	163.75	127.49 W	0.00	13 of 27 - Sale ²⁵
	56.751	231.24	01/15/20	1,358.00	1,126.76 W	0.00	14 of 27 - Sale ²⁵
	2.000	8.12	01/15/20	36.17	28.05 W	0.00	15 of 27 - Sale ²⁵
	4.732	19.21	01/15/20	85.53	66.32 W	0.00	16 of 27 - Sale ²⁵
	11.268	45.75	01/15/20	201.60	155.85 W	0.00	17 of 27 - Sale ²⁵
	0.022	0.09	01/15/20	0.94	0.85 W	0.00	18 of 27 - Sale ²⁵
	0.978	3.97	01/15/20	38.42	34.45 W	0.00	19 of 27 - Sale ²⁵
	0.934	3.79	01/15/20	23.86	20.07 W	0.00	20 of 27 - Sale ²⁵
	2.225	9.03	01/15/20	56.85	47.82 W	0.00	21 of 27 - Sale ²⁵
	4.228	17.16	01/15/20	108.02	90.86 W	0.00	22 of 27 - Sale ²⁵
	10.613	43.09	01/15/20	271.17	228.08 W	0.00	23 of 27 - Sale ²⁵
	2.337	9.49	01/15/20	41.23	31.74 W	0.00	24 of 27 - Sale ²⁵
	27.663	112.31	01/15/20	495.34	383.03 W	0.00	25 of 27 - Sale ²⁵
	32.000	129.92	01/15/20	817.86	687.94 W	0.00	26 of 27 - Sale ²⁵
	1.000	4.06	01/15/20	25.41	21.35 W	0.00	27 of 27 - Sale ²⁵
01/21/20	234.000	952.00	Various	5,454.18	4,502.18 W	0.00	Total of 27 transactions
	22 transactions for 01/31/20. Total proceeds and cost reported to the IRS.						
	56.751	165.70	01/22/20	1,348.08	...	-1,182.38	1 of 22 - Sale ²⁵
	32.000	93.43	01/22/20	812.74	...	-719.31	2 of 22 - Sale ²⁵
	27.663	80.78	01/22/20	490.92	...	-410.14	3 of 22 - Sale ²⁵
	10.613	30.99	01/22/20	269.47	...	-238.48	4 of 22 - Sale ²⁵
	11.268	32.90	01/22/20	199.80	...	-166.90	5 of 22 - Sale ²⁵
	8.899	25.98	01/22/20	162.19	...	-136.21	6 of 22 - Sale ²⁵
	5.028	14.68	01/22/20	138.92	...	-124.24	7 of 22 - Sale ²⁵
	4.228	12.34	01/22/20	107.35	...	-95.01	8 of 22 - Sale ²⁵
	4.732	13.82	01/22/20	84.77	...	-70.95	9 of 22 - Sale ²⁵
	2.736	7.99	01/22/20	75.60	...	-67.61	10 of 22 - Sale ²⁵
	2.639	7.70	01/22/20	64.74	...	-57.04	11 of 22 - Sale ²⁵

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol: (cont'd)							
	2.225	6.50	01/22/20	56.50	...	-50.00	12 of 22 - Sale ²⁵
	1.691	4.94	01/22/20	48.65	...	-43.71	13 of 22 - Sale ²⁵
	1.646	4.81	01/22/20	47.37	...	-42.56	14 of 22 - Sale ²⁵
	0.978	2.85	01/22/20	38.26	...	-35.41	15 of 22 - Sale ²⁵
	2.337	6.82	01/22/20	40.85	...	-34.03	16 of 22 - Sale ²⁵
	2.000	5.84	01/22/20	35.85	...	-30.01	17 of 22 - Sale ²⁵
	1.611	4.70	01/22/20	28.86	...	-24.16	18 of 22 - Sale ²⁵
	0.934	2.73	01/22/20	23.71	...	-20.98	19 of 22 - Sale ²⁵
	0.022	0.06	01/22/20	0.94	...	-0.88	20 of 22 - Sale ²⁵
	1.000	2.92	01/28/20	24.98	...	-22.06	21 of 22 - Sale ²⁵
	7.000	20.44	01/28/20	25.41	...	-4.97	22 of 22 - Sale ²⁵
01/31/20	188.000	548.92	Various	4,125.96	...	-3,577.04	Total of 22 transactions
01/31/20	1.000	2.92	01/15/20	25.41	22.49 W	0.00	Sale ²⁵
02/05/20	1.000	3.04	01/28/20	26.12	...	-23.08	Sale ²⁵
	Security total:	3,554.55		18,252.96	11,153.65 W	-3,544.76	
INNSUITES HOSPITALITY TRUST / CUSIP: 457919108 / Symbol:							
15 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	10.000	18.70	11/09/20	18.34	...	0.36	1 of 15 - Sale ²⁵
	31.000	63.67	11/09/20	62.00	...	1.67	2 of 15 - Sale ²⁵
	31.000	63.54	11/09/20	61.38	...	2.16	3 of 15 - Sale ²⁵
	100.000	186.99	11/09/20	183.41	...	3.58	4 of 15 - Sale ²⁵
	100.000	204.99	11/09/20	200.00	...	4.99	5 of 15 - Sale ²⁵
	100.000	204.99	11/09/20	200.00	...	4.99	6 of 15 - Sale ²⁵
	100.000	204.99	11/09/20	200.00	...	4.99	7 of 15 - Sale ²⁵
	200.000	373.98	11/09/20	366.82	...	7.16	8 of 15 - Sale ²⁵
	200.000	373.98	11/09/20	366.82	...	7.16	9 of 15 - Sale ²⁵
	200.000	373.98	11/09/20	366.82	...	7.16	10 of 15 - Sale ²⁵
	300.000	560.94	11/09/20	550.23	...	10.71	11 of 15 - Sale ²⁵
	300.000	560.94	11/09/20	550.23	...	10.71	12 of 15 - Sale ²⁵
	484.000	994.10	11/09/20	977.68	...	16.42	13 of 15 - Sale ²⁵
	887.000	1,658.54	11/09/20	1,626.85	...	31.69	14 of 15 - Sale ²⁵
	669.000	1,371.34	11/09/20	1,338.00	...	33.34	15 of 15 - Sale ²⁵
11/09/20	3,712.000	7,215.67	Various	7,068.58	...	147.09	Total of 15 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVESCO DB GOLD FUND / CUSIP: 46140H601 / Symbol:							
10/29/20	54.000	2,958.58	10/29/20	2,958.66	...	-0.08	Sale ²⁵
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol:							
43 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	10.000	9.20	11/25/20	9.19	...	0.01	1 of 43 - Sale ²⁵
	40.000	36.79	11/25/20	36.77	...	0.02	2 of 43 - Sale ²⁵
	4.000	3.68	11/25/20	3.64	...	0.04	3 of 43 - Sale ²⁵
	100.000	91.99	11/25/20	91.84	...	0.15	4 of 43 - Sale ²⁵
	100.000	91.99	11/25/20	91.84	...	0.15	5 of 43 - Sale ²⁵
	100.000	91.99	11/25/20	91.84	...	0.15	6 of 43 - Sale ²⁵
	200.000	183.97	11/25/20	183.79	...	0.18	7 of 43 - Sale ²⁵
	200.000	183.97	11/25/20	183.71	...	0.26	8 of 43 - Sale ²⁵
	200.000	183.97	11/25/20	183.71	...	0.26	9 of 43 - Sale ²⁵
	200.000	183.97	11/25/20	183.71	...	0.26	10 of 43 - Sale ²⁵
	100.000	92.32	11/25/20	91.94	...	0.38	11 of 43 - Sale ²⁵
	100.000	92.32	11/25/20	91.94	...	0.38	12 of 43 - Sale ²⁵
	100.000	92.32	11/25/20	91.94	...	0.38	13 of 43 - Sale ²⁵
	100.000	92.32	11/25/20	91.94	...	0.38	14 of 43 - Sale ²⁵
	100.000	92.32	11/25/20	91.94	...	0.38	15 of 43 - Sale ²⁵
	100.000	92.32	11/25/20	91.94	...	0.38	16 of 43 - Sale ²⁵
	100.000	92.32	11/25/20	91.94	...	0.38	17 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.94	...	0.39	18 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.94	...	0.39	19 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.94	...	0.39	20 of 43 - Sale ²⁵
	100.000	92.32	11/25/20	91.93	...	0.39	21 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.94	...	0.39	22 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.94	...	0.39	23 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.94	...	0.39	24 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.94	...	0.39	25 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.94	...	0.39	26 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.94	...	0.39	27 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.93	...	0.40	28 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.93	...	0.40	29 of 43 - Sale ²⁵
	700.000	643.89	11/25/20	643.33	...	0.56	30 of 43 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol: (cont'd)							
	200.000	184.65	11/25/20	183.88	...	0.77	31 of 43 - Sale ²⁵
	200.000	184.65	11/25/20	183.88	...	0.77	32 of 43 - Sale ²⁵
	200.000	184.65	11/25/20	183.88	...	0.77	33 of 43 - Sale ²⁵
	200.000	184.65	11/25/20	183.88	...	0.77	34 of 43 - Sale ²⁵
	200.000	184.65	11/25/20	183.88	...	0.77	35 of 43 - Sale ²⁵
	200.000	184.65	11/25/20	183.88	...	0.77	36 of 43 - Sale ²⁵
	40.000	36.79	11/25/20	35.96	...	0.83	37 of 43 - Sale ²⁵
	1,143.000	1,051.40	11/25/20	1,050.46	...	0.94	38 of 43 - Sale ²⁵
	100.000	91.99	11/25/20	90.96	...	1.03	39 of 43 - Sale ²⁵
	100.000	91.99	11/25/20	90.96	...	1.03	40 of 43 - Sale ²⁵
	100.000	91.99	11/25/20	90.94	...	1.05	41 of 43 - Sale ²⁵
	96.000	88.64	11/25/20	87.41	...	1.23	42 of 43 - Sale ²⁵
	100.000	92.33	11/25/20	91.04	...	1.29	43 of 43 - Sale ²⁵
11/25/20	6,633.000	6,112.63	Various	6,091.21	...	21.42	Total of 43 transactions
	15 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	4.000	3.60	11/25/20	3.64	0.04 W	0.00	1 of 15 - Sale ²⁵
	100.000	89.99	11/25/20	91.94	1.95 W	0.00	2 of 15 - Sale ²⁵
	100.000	89.99	11/25/20	91.94	1.95 W	0.00	3 of 15 - Sale ²⁵
	100.000	89.99	11/25/20	91.94	1.95 W	0.00	4 of 15 - Sale ²⁵
	100.000	89.99	11/25/20	91.06	1.07 W	0.00	5 of 15 - Sale ²⁵
	200.000	179.97	11/25/20	183.90	3.93 W	0.00	6 of 15 - Sale ²⁵
	200.000	179.97	11/25/20	183.90	3.93 W	0.00	7 of 15 - Sale ²⁵
	200.000	179.97	11/25/20	183.90	3.93 W	0.00	8 of 15 - Sale ²⁵
	100.000	89.99	11/25/20	91.04	1.05 W	0.00	9 of 15 - Sale ²⁵
	200.000	179.97	11/25/20	183.98	4.01 W	0.00	10 of 15 - Sale ²⁵
	10.000	9.00	11/25/20	9.20	0.20 W	0.00	11 of 15 - Sale ²⁵
	100.000	89.99	11/25/20	91.06	1.07 W	0.00	12 of 15 - Sale ²⁵
	700.000	629.90	11/25/20	644.00	14.10 W	0.00	13 of 15 - Sale ²⁵
	40.000	35.99	11/25/20	36.80	0.81 W	0.00	14 of 15 - Sale ²⁵
	1,143.000	1,028.53	11/25/20	1,051.56	23.03 W	0.00	15 of 15 - Sale ²⁵
11/25/20	3,297.000	2,966.84	Various	3,029.86	63.02 W	0.00	Total of 15 transactions
	20 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	1.000	1.00	12/07/20	0.99	...	0.01	1 of 20 - Sale ²⁵
	15.000	14.97	12/07/20	14.92	...	0.05	2 of 20 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol: (cont'd)							
	15.000	15.00	12/07/20	14.92	...	0.08	3 of 20 - Sale ²⁵
	30.000	30.00	12/07/20	29.85	...	0.15	4 of 20 - Sale ²⁵
	100.000	99.80	12/07/20	99.49	...	0.31	5 of 20 - Sale ²⁵
	115.000	114.78	12/07/20	114.41	...	0.37	6 of 20 - Sale ²⁵
	85.000	84.99	12/07/20	84.57	...	0.42	7 of 20 - Sale ²⁵
	100.000	99.98	12/07/20	99.49	...	0.49	8 of 20 - Sale ²⁵
	115.000	114.98	12/07/20	114.41	...	0.57	9 of 20 - Sale ²⁵
	161.000	160.98	12/07/20	160.18	...	0.80	10 of 20 - Sale ²⁵
	185.000	184.98	12/07/20	184.06	...	0.92	11 of 20 - Sale ²⁵
	315.000	314.96	12/07/20	313.39	...	1.57	12 of 20 - Sale ²⁵
	600.000	598.83	12/07/20	596.94	...	1.89	13 of 20 - Sale ²⁵
	685.000	683.67	12/07/20	681.51	...	2.16	14 of 20 - Sale ²⁵
	300.000	299.42	12/07/20	296.55	...	2.87	15 of 20 - Sale ²⁵
	639.000	638.90	12/07/20	635.74	...	3.16	16 of 20 - Sale ²⁵
	685.000	684.90	12/07/20	681.51	...	3.39	17 of 20 - Sale ²⁵
	685.000	684.90	12/07/20	681.51	...	3.39	18 of 20 - Sale ²⁵
	400.000	399.22	12/07/20	395.40	...	3.82	19 of 20 - Sale ²⁵
	800.000	798.44	12/07/20	790.80	...	7.64	20 of 20 - Sale ²⁵
12/07/20	6,031.000	6,024.70	Various	5,990.64	...	34.06	Total of 20 transactions
	Security total:	15,104.17		15,111.71	63.02 W	55.48	
J.JILL, INC. COMMON STOCK / CUSIP: 46620W102 / Symbol:							
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	100.000	97.96	10/13/20	97.00	...	0.96	1 of 3 - Sale ²⁵
	300.000	293.87	10/13/20	291.00	...	2.87	2 of 3 - Sale ²⁵
	2,177.000	2,132.49	10/13/20	2,111.69	...	20.80	3 of 3 - Sale ²⁵
10/13/20	2,577.000	2,524.32	Various	2,499.69	...	24.63	Total of 3 transactions
J.JILL, INC. COMMON STOCK / CUSIP: 46620W201 / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	122.000	516.03	11/10/20	511.14	...	4.89	1 of 2 - Sale ²⁵
	600.000	2,537.87	11/10/20	2,513.94	...	23.93	2 of 2 - Sale ²⁵
11/10/20	722.000	3,053.90	Various	3,025.08	...	28.82	Total of 2 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
33 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
90.000		38.27	12/22/20	38.25	...	0.02	1 of 33 - Sale ²⁵
100.000		42.53	12/22/20	42.50	...	0.03	2 of 33 - Sale ²⁵
109.000		46.35	12/22/20	46.32	...	0.03	3 of 33 - Sale ²⁵
150.000		63.79	12/22/20	63.75	...	0.04	4 of 33 - Sale ²⁵
13.000		5.57	12/22/20	5.53	...	0.04	5 of 33 - Sale ²⁵
274.000		116.53	12/22/20	116.45	...	0.08	6 of 33 - Sale ²⁵
535.000		227.52	12/22/20	227.38	...	0.14	7 of 33 - Sale ²⁵
741.000		315.13	12/22/20	314.93	...	0.20	8 of 33 - Sale ²⁵
100.000		42.83	12/22/20	42.50	...	0.33	9 of 33 - Sale ²⁵
313.000		134.05	12/22/20	133.03	...	1.02	10 of 33 - Sale ²⁵
863.000		329.48	12/22/20	327.94	...	1.54	11 of 33 - Sale ²⁵
487.000		208.57	12/22/20	206.97	...	1.60	12 of 33 - Sale ²⁵
1,100.000		419.96	12/22/20	418.00	...	1.96	13 of 33 - Sale ²⁵
1,100.000		419.96	12/22/20	418.00	...	1.96	14 of 33 - Sale ²⁵
1,100.000		419.96	12/22/20	418.00	...	1.96	15 of 33 - Sale ²⁵
1,100.000		419.96	12/22/20	418.00	...	1.96	16 of 33 - Sale ²⁵
666.000		282.77	12/22/20	280.52	...	2.25	17 of 33 - Sale ²⁵
887.000		379.88	12/22/20	376.97	...	2.91	18 of 33 - Sale ²⁵
900.000		385.45	12/22/20	382.50	...	2.95	19 of 33 - Sale ²⁵
900.000		385.45	12/22/20	382.50	...	2.95	20 of 33 - Sale ²⁵
462.000		199.39	12/22/20	196.35	...	3.04	21 of 33 - Sale ²⁵
939.000		402.16	12/22/20	399.08	...	3.08	22 of 33 - Sale ²⁵
863.000		331.12	12/22/20	327.94	...	3.18	23 of 33 - Sale ²⁵
1,100.000		422.05	12/22/20	418.00	...	4.05	24 of 33 - Sale ²⁵
1,100.000		422.05	12/22/20	418.00	...	4.05	25 of 33 - Sale ²⁵
1,100.000		422.05	12/22/20	418.00	...	4.05	26 of 33 - Sale ²⁵
1,100.000		422.05	12/22/20	418.00	...	4.05	27 of 33 - Sale ²⁵
700.000		270.47	12/22/20	266.00	...	4.47	28 of 33 - Sale ²⁵
1,334.000		567.31	12/22/20	561.88	...	5.43	29 of 33 - Sale ²⁵
1,000.000		431.57	12/22/20	425.00	...	6.57	30 of 33 - Sale ²⁵
4,285.000		1,849.29	12/22/20	1,821.13	...	28.16	31 of 33 - Sale ²⁵
4,563.000		1,763.02	12/22/20	1,733.94	...	29.08	32 of 33 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
	7,712.000	3,106.95	12/22/20	2,999.97	...	106.98	33 of 33 - Sale ²⁵
12/22/20	37,786.000	15,293.49	Various	15,063.33	...	230.16	Total of 33 transactions
JAKKS PACIFIC, INC. COMMON STO CK / CUSIP: 47012E403 / Symbol:							
10/12/20	928.000	4,138.67	10/12/20	4,099.90	...	38.77	Sale ²⁵
10/16/20	702.000	2,983.35	10/16/20	3,032.64	49.29 W	0.00	Sale ²⁵
	3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	702.000	3,251.65	10/29/20	3,334.65	83.00 W	0.00	1 of 3 - Sale ²⁵
	60.000	277.92	10/29/20	280.80	2.88 W	0.00	2 of 3 - Sale ²⁵
	100.000	463.20	10/29/20	468.00	4.80 W	0.00	3 of 3 - Sale ²⁵
10/29/20	862.000	3,992.77	Various	4,083.45	90.68 W	0.00	Total of 3 transactions
	8 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	10.000	66.00	11/02/20	63.38	...	2.62	1 of 8 - Sale ²⁵
	23.000	151.79	11/02/20	145.78	...	6.01	2 of 8 - Sale ²⁵
	38.000	250.79	11/02/20	240.85	...	9.94	3 of 8 - Sale ²⁵
	45.000	297.00	11/02/20	285.22	...	11.78	4 of 8 - Sale ²⁵
	49.000	323.39	11/02/20	310.57	...	12.82	5 of 8 - Sale ²⁵
	50.000	329.98	11/02/20	316.91	...	13.07	6 of 8 - Sale ²⁵
	68.000	448.79	11/02/20	431.00	...	17.79	7 of 8 - Sale ²⁵
	200.000	1,319.95	11/02/20	1,267.65	...	52.30	8 of 8 - Sale ²⁵
11/02/20	483.000	3,187.69	Various	3,061.36	...	126.33	Total of 8 transactions
	7 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	119.000	718.91	11/03/20	739.97	...	-21.06	1 of 7 - Sale ²⁵
	100.000	604.12	11/03/20	621.73	...	-17.61	2 of 7 - Sale ²⁵
	178.000	1,075.34	11/03/20	1,087.17	...	-11.83	3 of 7 - Sale ²⁵
	100.000	604.13	11/03/20	615.57	...	-11.44	4 of 7 - Sale ²⁵
	100.000	604.13	11/03/20	610.77	...	-6.64	5 of 7 - Sale ²⁵
	36.000	217.48	11/03/20	221.61	...	-4.13	6 of 7 - Sale ²⁵
	24.000	144.99	11/03/20	147.55	...	-2.56	7 of 7 - Sale ²⁵
11/03/20	657.000	3,969.10	Various	4,044.37	...	-75.27	Total of 7 transactions
	Security total:	18,271.58		18,321.72	139.97 W	89.83	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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JANONE INC. COMMON STOCK (NV) / CUSIP: 47089W104 / Symbol:							
5 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	29.000	193.43	12/29/20	187.92	...	5.51	1 of 5 - Sale ²⁵
	34.000	226.78	12/29/20	219.98	...	6.80	2 of 5 - Sale ²⁵
	37.000	246.78	12/29/20	239.39	...	7.39	3 of 5 - Sale ²⁵
	100.000	686.97	12/29/20	677.00	...	9.97	4 of 5 - Sale ²⁵
	100.000	711.97	12/29/20	696.00	...	15.97	5 of 5 - Sale ²⁵
12/29/20	300.000	2,065.93	Various	2,020.29	...	45.64	Total of 5 transactions
JUPAI HOLDINGS LIMITED AMERICA N DEPOSITARY SHARES, EACH REPR ESENTING SIX ORDINARY SHARES / CUSIP: 48205B107 / Symbol:							
6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	671.000	1,241.24	12/24/20	1,335.29	...	-94.05	1 of 6 - Sale ²⁵
	241.000	445.81	12/24/20	513.37	...	-67.56	2 of 6 - Sale ²⁵
	40.000	74.00	12/24/20	79.60	...	-5.60	3 of 6 - Sale ²⁵
	10.000	18.50	12/24/20	21.30	...	-2.80	4 of 6 - Sale ²⁵
	5.000	9.25	12/24/20	10.65	...	-1.40	5 of 6 - Sale ²⁵
	5.000	9.25	12/24/20	10.35	...	-1.10	6 of 6 - Sale ²⁵
12/24/20	972.000	1,798.05	Various	1,970.56	...	-172.51	Total of 6 transactions
4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	500.000	924.91	12/24/20	995.00	33.78 W	-36.31	1 of 4 - Sale ²⁵
	5.000	9.55	12/24/20	9.95	0.40 W	0.00	2 of 4 - Sale ²⁵
	10.000	18.50	12/24/20	19.90	1.40 W	0.00	3 of 4 - Sale ²⁵
	5.000	9.25	12/24/20	9.95	0.70 W	0.00	4 of 4 - Sale ²⁵
12/24/20	520.000	962.21	Various	1,034.80	36.28 W	-36.31	Total of 4 transactions
	Security total:	2,760.26		3,005.36	36.28 W	-208.82	
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol:							
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	58.000	452.39	10/09/20	447.68	...	4.71	1 of 2 - Sale ²⁵
	200.000	1,559.94	10/09/20	1,543.74	...	16.20	2 of 2 - Sale ²⁵
10/09/20	258.000	2,012.33	Various	1,991.42	...	20.91	Total of 2 transactions
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
11/03/20	423.000	3,035.03	11/03/20	2,992.73	...	42.30	Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KIROMIC BIOPHARMA, INC. COMMON STOCK / CUSIP: 497634105 / Symbol:							
12/02/20	728.000	5,997.03	12/02/20	6,080.55	71.70 W	-11.82	Sale ²⁵
	14 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	80.000	775.97	12/22/20	785.18	...	-9.21	1 of 14 - Sale ²⁵
	61.000	591.68	12/22/20	598.70	...	-7.02	2 of 14 - Sale ²⁵
	72.000	701.98	12/22/20	706.66	...	-4.68	3 of 14 - Sale ²⁵
	39.000	378.30	12/22/20	382.77	...	-4.47	4 of 14 - Sale ²⁵
	27.000	261.89	12/22/20	265.00	...	-3.11	5 of 14 - Sale ²⁵
	55.000	536.23	12/22/20	539.26	...	-3.03	6 of 14 - Sale ²⁵
	100.000	978.96	12/22/20	981.47	...	-2.51	7 of 14 - Sale ²⁵
	45.000	438.74	12/22/20	441.21	...	-2.47	8 of 14 - Sale ²⁵
	98.000	959.38	12/22/20	961.85	...	-2.47	9 of 14 - Sale ²⁵
	21.000	204.74	12/22/20	205.90	...	-1.16	10 of 14 - Sale ²⁵
	15.000	146.24	12/22/20	146.92	...	-0.68	11 of 14 - Sale ²⁵
	10.000	97.90	12/22/20	98.15	...	-0.25	12 of 14 - Sale ²⁵
	1.000	9.58	12/22/20	9.81	...	-0.23	13 of 14 - Sale ²⁵
	1.000	9.70	12/22/20	9.81	...	-0.11	14 of 14 - Sale ²⁵
12/22/20	625.000	6,091.29	Various	6,132.69	...	-41.40	Total of 14 transactions
	Security total:	12,088.32		12,213.24	71.70 W	-53.22	
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
03/17/20	5.000	3.35	03/11/20	5.85	2.50 W	0.00	Sale ²⁵
	6 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	18.000	16.46	03/25/20	16.19	...	0.27	1 of 6 - Sale ²⁵
	6.000	5.49	03/25/20	5.13	...	0.36	2 of 6 - Sale ²⁵
	30.000	27.43	03/25/20	25.65	...	1.78	3 of 6 - Sale ²⁵
	159.000	145.40	03/25/20	141.97	...	3.43	4 of 6 - Sale ²⁵
	45.000	41.15	03/25/20	37.57	...	3.58	5 of 6 - Sale ²⁵
	360.000	329.21	03/25/20	323.89	...	5.32	6 of 6 - Sale ²⁵
03/30/20	618.000	565.14	Various	550.40	...	14.74	Total of 6 transactions
03/30/20	5.000	4.57	03/25/20	6.68	0.42 W	-1.69	Sale ²⁵
05/18/20	1.000	1.65	03/25/20	1.31	...	0.34	Sale ²⁵
	Security total:	574.71		564.24	2.92 W	13.39	

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LM FUNDING AMERICA, INC. COMMO N STOCK / CUSIP: 502074305 / Symbol:							
<i>18 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	810.000	662.47	12/03/20	695.57	...	-33.10	1 of 18 - Sale ²⁵
	215.000	175.84	12/03/20	184.99	...	-9.15	2 of 18 - Sale ²⁵
	190.000	155.39	12/03/20	163.49	...	-8.10	3 of 18 - Sale ²⁵
	187.000	152.94	12/03/20	160.91	...	-7.97	4 of 18 - Sale ²⁵
	98.000	80.15	12/03/20	84.32	...	-4.17	5 of 18 - Sale ²⁵
	14.000	11.81	12/03/20	11.76	...	0.05	6 of 18 - Sale ²⁵
	72.000	60.72	12/03/20	60.48	...	0.24	7 of 18 - Sale ²⁵
	71.000	59.88	12/03/20	59.64	...	0.24	8 of 18 - Sale ²⁵
	100.000	84.34	12/03/20	84.00	...	0.34	9 of 18 - Sale ²⁵
	100.000	84.34	12/03/20	84.00	...	0.34	10 of 18 - Sale ²⁵
	129.000	108.79	12/03/20	108.36	...	0.43	11 of 18 - Sale ²⁵
	200.000	168.67	12/03/20	168.00	...	0.67	12 of 18 - Sale ²⁵
	228.000	192.29	12/03/20	191.52	...	0.77	13 of 18 - Sale ²⁵
	300.000	253.01	12/03/20	252.00	...	1.01	14 of 18 - Sale ²⁵
	300.000	253.01	12/03/20	252.00	...	1.01	15 of 18 - Sale ²⁵
	400.000	337.34	12/03/20	336.00	...	1.34	16 of 18 - Sale ²⁵
	700.000	590.35	12/03/20	588.00	...	2.35	17 of 18 - Sale ²⁵
	1,000.000	843.36	12/03/20	840.00	...	3.36	18 of 18 - Sale ²⁵
12/03/20	5,114.000	4,274.70	Various	4,325.04	...	-50.34	Total of 18 transactions
<i>4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	1,424.000	1,164.64	12/03/20	1,193.74	16.55 W	-12.55	1 of 4 - Sale ²⁵
	215.000	175.84	12/03/20	180.60	4.76 W	0.00	2 of 4 - Sale ²⁵
	187.000	152.94	12/03/20	157.08	4.14 W	0.00	3 of 4 - Sale ²⁵
	288.000	235.54	12/03/20	241.92	6.38 W	0.00	4 of 4 - Sale ²⁵
12/03/20	2,114.000	1,728.96	Various	1,773.34	31.83 W	-12.55	Total of 4 transactions
	Security total:	6,003.66		6,098.38	31.83 W	-62.89	
LIMINAL BIOSCIENCES INC. COMMO N SHARES / CUSIP: 53272L103 / Symbol:							
11/11/20	750.000	3,029.84	11/11/20	3,019.65	...	10.19	Sale ²⁵
11/12/20	689.000	2,990.18	11/12/20	2,991.16	...	-0.98	Sale ²⁵
<i>6 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	29.000	154.31	12/21/20	151.38	...	2.93	1 of 6 - Sale ²⁵
	100.000	530.97	12/21/20	522.00	...	8.97	2 of 6 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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LIMINAL BIOSCIENCES INC. COMMO N SHARES / CUSIP: 53272L103 / Symbol: (cont'd)							
	100.000	532.07	12/21/20	522.00	...	10.07	3 of 6 - Sale ²⁵
	156.000	828.32	12/21/20	814.32	...	14.00	4 of 6 - Sale ²⁵
	384.000	2,031.26	12/21/20	2,004.48	...	26.78	5 of 6 - Sale ²⁵
	384.000	2,038.94	12/21/20	2,004.48	...	34.46	6 of 6 - Sale ²⁵
12/21/20	1,153.000	6,115.87	Various	6,018.66	...	97.21	Total of 6 transactions
	Security total:	12,135.89		12,029.47	...	106.42	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	120.000	244.90	04/02/20	840.00	595.10 W	0.00	1 of 2 - Sale ²⁵
	8.000	16.33	05/20/20	23.89	0.95 W	-6.61	2 of 2 - Sale ²⁵
05/21/20	128.000	261.23	Various	863.89	596.05 W	-6.61	Total of 2 transactions
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	4.46	05/21/20	6.98	...	-2.52	1 of 3 - Sale ²⁵
	115.000	512.88	06/03/20	864.70	...	-351.82	2 of 3 - Sale ²⁵
	4.000	17.84	06/03/20	30.08	...	-12.24	3 of 3 - Sale ²⁵
06/04/20	120.000	535.18	Various	901.76	...	-366.58	Total of 3 transactions
07/31/20	1.000	2.26	06/03/20	3.51	...	-1.25	Sale ²⁵
	Security total:	798.67		1,769.16	596.05 W	-374.44	
LUMINAR TECHNOLOGIES, INC. CLA SS A COMMON STOCK / CUSIP: 550424105 / Symbol:							
4 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	32.000	931.17	12/15/20	938.27	...	-7.10	1 of 4 - Sale ²⁵
	18.000	523.79	12/15/20	527.78	...	-3.99	2 of 4 - Sale ²⁵
	16.000	465.60	12/15/20	469.13	...	-3.53	3 of 4 - Sale ²⁵
	2.000	58.20	12/15/20	58.64	...	-0.44	4 of 4 - Sale ²⁵
12/15/20	68.000	1,978.76	Various	1,993.82	...	-15.06	Total of 4 transactions
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	20.000	581.98	12/15/20	584.20	2.22 W	0.00	1 of 2 - Sale ²⁵
	48.000	1,396.76	12/15/20	1,402.08	5.32 W	0.00	2 of 2 - Sale ²⁵
12/15/20	68.000	1,978.74	Various	1,986.28	7.54 W	0.00	Total of 2 transactions
	Security total:	3,957.50		3,980.10	7.54 W	-15.06	

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2020 1099-B* OMB No. 1545-0715

(continued)

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
11/05/20	1,188.000	2,981.67	11/05/20	3,004.93	...	-23.26	Sale ²⁵
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol:							
4 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	10.000	37.20	10/26/20	37.20	...	0.00	1 of 4 - Sale ²⁵
	100.000	378.99	10/26/20	377.02	...	1.97	2 of 4 - Sale ²⁵
	200.000	761.96	10/26/20	754.04	...	7.92	3 of 4 - Sale ²⁵
	495.000	1,875.94	10/26/20	1,866.26	...	9.68	4 of 4 - Sale ²⁵
10/26/20	805.000	3,054.09	Various	3,034.52	...	19.57	Total of 4 transactions
10/26/20	800.000	2,975.83	10/26/20	2,976.00	0.17 W	0.00	Sale ²⁵
11 transactions for 10/27/20. Total proceeds and cost reported to the IRS.							
	5.000	17.36	10/27/20	17.35	...	0.01	1 of 11 - Sale ²⁵
	92.000	309.23	10/27/20	308.66	...	0.57	2 of 11 - Sale ²⁵
	100.000	336.12	10/27/20	335.50	...	0.62	3 of 11 - Sale ²⁵
	100.000	336.12	10/27/20	335.50	...	0.62	4 of 11 - Sale ²⁵
	100.000	336.12	10/27/20	335.50	...	0.62	5 of 11 - Sale ²⁵
	100.000	336.12	10/27/20	335.50	...	0.62	6 of 11 - Sale ²⁵
	100.000	336.12	10/27/20	335.50	...	0.62	7 of 11 - Sale ²⁵
	100.000	336.12	10/27/20	335.50	...	0.62	8 of 11 - Sale ²⁵
	100.000	336.12	10/27/20	335.50	...	0.62	9 of 11 - Sale ²⁵
	100.000	336.12	10/27/20	335.50	...	0.62	10 of 11 - Sale ²⁵
	869.000	3,017.52	10/27/20	3,015.78	...	1.74	11 of 11 - Sale ²⁵
10/27/20	1,766.000	6,033.07	Various	6,025.79	...	7.28	Total of 11 transactions
10/27/20	911.000	2,989.27	10/27/20	2,989.45	0.18 W	0.00	Sale ²⁵
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	901.000	1,984.93	11/17/20	2,009.41	...	-24.48	1 of 3 - Sale ²⁵
	890.000	1,960.70	11/17/20	1,984.70	...	-24.00	2 of 3 - Sale ²⁵
	10.000	22.03	11/17/20	22.30	...	-0.27	3 of 3 - Sale ²⁵
11/17/20	1,801.000	3,967.66	Various	4,016.41	...	-48.75	Total of 3 transactions
	Security total:	19,019.92		19,042.17	0.35 W	-21.90	

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(continued)

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MEDLEY MANAGEMENT INC / CUSIP: 58503T205 / Symbol:							
<i>5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	1,469.96	11/09/20	1,500.00	...	-30.04	1 of 5 - Sale ²⁵
	99.000	727.63	11/09/20	742.50	...	-14.87	2 of 5 - Sale ²⁵
	1.000	7.58	11/09/20	7.50	...	0.08	3 of 5 - Sale ²⁵
	1.000	7.58	11/09/20	7.50	...	0.08	4 of 5 - Sale ²⁵
	99.000	750.39	11/09/20	742.50	...	7.89	5 of 5 - Sale ²⁵
11/09/20	400.000	2,963.14	Various	3,000.00	...	-36.86	Total of 5 transactions
MERCURY FINTECH HOLDING INC. ADS / CUSIP: 58936H109 / Symbol:							
<i>4 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	719.96	10/21/20	713.06	...	6.90	1 of 4 - Sale ²⁵
	200.000	719.96	10/21/20	713.06	...	6.90	2 of 4 - Sale ²⁵
	200.000	719.96	10/21/20	713.06	...	6.90	3 of 4 - Sale ²⁵
	231.000	831.55	10/21/20	823.58	...	7.97	4 of 4 - Sale ²⁵
10/21/20	831.000	2,991.43	Various	2,962.76	...	28.67	Total of 4 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/03/20	1.000	159.57	09/13/19	137.27	...	22.30	Sale ²⁵
01/10/20	1.000	162.91	01/10/20	162.26	...	0.65	Sale ²⁵
<i>3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	10,341.77	09/09/20	10,350.00	...	-8.23	1 of 3 - Sale ²⁵
	42.000	8,686.24	09/09/20	8,694.00	...	-7.76	2 of 3 - Sale ²⁵
	3.000	620.38	09/09/20	621.00	...	-0.62	3 of 3 - Sale ²⁵
09/09/20	95.000	19,648.39	Various	19,665.00	...	-16.61	Total of 3 transactions
12/30/20	18.000	4,016.97	12/07/20	3,848.40	...	168.57	Sale ²⁵
	Security total:	23,987.84		23,812.93	...	174.91	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
12/29/20	490.000	3,116.27	12/29/20	2,993.90	...	122.37	Sale ²⁵
MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol:							
<i>4 transactions for 11/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.20	11/17/20	2.16	...	0.04	1 of 4 - Sale ²⁵
	426.000	934.27	11/17/20	920.16	...	14.11	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol: (cont'd)							
	499.000	1,094.37	11/17/20	1,077.84	...	16.53	3 of 4 - Sale ²⁵
	925.000	2,028.55	11/17/20	1,998.00	...	30.55	4 of 4 - Sale ²⁵
11/17/20	1,851.000	4,059.39	Various	3,998.16	...	61.23	Total of 4 transactions
MOXIAN, INC. COMMON STOCK / CUSIP: 624697306 / Symbol:							
4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	200.000	291.97	10/19/20	280.00	...	11.97	1 of 4 - Sale ²⁵
	264.000	385.40	10/19/20	369.39	...	16.01	2 of 4 - Sale ²⁵
	734.000	1,071.53	10/19/20	1,027.60	...	43.93	3 of 4 - Sale ²⁵
	968.000	1,413.13	10/19/20	1,355.01	...	58.12	4 of 4 - Sale ²⁵
10/19/20	2,166.000	3,162.03	Various	3,032.00	...	130.03	Total of 4 transactions
MUSCLE MAKER, INC COMMON STOCK / CUSIP: 627333107 / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	1.70	10/23/20	1.65	...	0.05	1 of 2 - Sale ²⁵
	1,828.000	3,107.31	10/23/20	3,016.20	...	91.11	2 of 2 - Sale ²⁵
10/23/20	1,829.000	3,109.01	Various	3,017.85	...	91.16	Total of 2 transactions
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	100.000	238.48	10/26/20	238.00	...	0.48	1 of 2 - Sale ²⁵
	100.000	238.48	10/26/20	238.00	...	0.48	2 of 2 - Sale ²⁵
10/26/20	200.000	476.96	Various	476.00	...	0.96	Total of 2 transactions
6 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1,486.000	3,543.86	10/26/20	3,551.54	7.68 W	0.00	1 of 6 - Sale ²⁵
	200.000	456.98	10/26/20	458.97	1.99 W	0.00	2 of 6 - Sale ²⁵
	200.000	456.98	10/26/20	458.98	2.00 W	0.00	3 of 6 - Sale ²⁵
	100.000	228.49	10/26/20	229.49	1.00 W	0.00	4 of 6 - Sale ²⁵
	100.000	228.49	10/26/20	229.49	1.00 W	0.00	5 of 6 - Sale ²⁵
	711.000	1,621.32	10/26/20	1,631.65	10.33 W	0.00	6 of 6 - Sale ²⁵
10/26/20	2,797.000	6,536.12	Various	6,560.12	24.00 W	0.00	Total of 6 transactions
8 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	711.000	1,450.33	11/16/20	1,467.88	...	-17.55	1 of 8 - Sale ²⁵
	474.000	966.88	11/16/20	971.70	...	-4.82	2 of 8 - Sale ²⁵
	200.000	407.97	11/16/20	412.00	...	-4.03	3 of 8 - Sale ²⁵

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(continued)

02/14/2021

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MUSCLE MAKER, INC COMMON STOCK / CUSIP: 627333107 / Symbol: (cont'd)							
	175.000	356.97	11/16/20	360.49	...	-3.52	4 of 8 - Sale ²⁵
	175.000	356.98	11/16/20	359.65	...	-2.67	5 of 8 - Sale ²⁵
	100.000	203.98	11/16/20	206.00	...	-2.02	6 of 8 - Sale ²⁵
	100.000	203.98	11/16/20	206.00	...	-2.02	7 of 8 - Sale ²⁵
	25.000	51.00	11/16/20	51.50	...	-0.50	8 of 8 - Sale ²⁵
11/16/20	1,960.000	3,998.09	Various	4,035.22	...	-37.13	Total of 8 transactions
	Security total:	14,120.18		14,089.19	24.00 W	54.99	
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/02/20	1.000	3.71	11/25/19	1.99	...	1.72	Sale ²⁵
	2 transactions for 01/22/20. Total proceeds and cost reported to the IRS.						
	9.000	49.67	01/22/20	49.77	...	-0.10	1 of 2 - Sale ²⁵
	124.000	684.46	01/22/20	682.00	...	2.46	2 of 2 - Sale ²⁵
01/22/20	133.000	734.13	Various	731.77	...	2.36	Total of 2 transactions
	Security total:	737.84		733.76	...	4.08	
NTN BUZZTIME, INC / CUSIP: 629410606 / Symbol:							
10/22/20	1,632.000	4,079.71	10/22/20	3,998.24	...	81.47	Sale ²⁵
NAUTILUS, INC. / CUSIP: 63910B102 / Symbol:							
11/12/20	184.000	4,011.64	11/12/20	4,008.37	...	3.27	Sale ²⁵
11/18/20	217.000	3,994.85	11/18/20	3,990.15	...	4.70	Sale ²⁵
	Security total:	8,006.49		7,998.52	...	7.97	
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
	4 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	200.000	1,761.94	10/14/20	1,768.06	...	-6.12	1 of 4 - Sale ²⁵
	142.000	1,250.97	10/14/20	1,255.32	...	-4.35	2 of 4 - Sale ²⁵
	27.000	217.61	10/14/20	215.46	...	2.15	3 of 4 - Sale ²⁵
	100.000	805.97	10/14/20	798.00	...	7.97	4 of 4 - Sale ²⁵
10/14/20	469.000	4,036.49	Various	4,036.84	...	-0.35	Total of 4 transactions
	3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	173.000	1,394.33	10/14/20	1,399.58	4.31 W	-0.94	1 of 3 - Sale ²⁵
	373.000	2,965.28	10/14/20	3,006.34	41.06 W	0.00	2 of 3 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol: (cont'd)							
	200.000	1,611.94	10/14/20	1,618.02	6.08 W	0.00	3 of 3 - Sale ²⁵
10/14/20	746.000	5,971.55	Various	6,023.94	51.45 W	-0.94	Total of 3 transactions
	Security total:	10,008.04		10,060.78	51.45 W	-1.29	
NEW CONCEPT ENERGY INC / CUSIP: 643611106 / Symbol:							
11/16/20	1,685.000	3,403.42	11/16/20	3,184.65	...	218.77	Sale ²⁵
9 METERS BIOPHARMA, INC. COMMO N STOCK / CUSIP: 654405109 / Symbol:							
9 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	2,400.000	1,993.82	11/13/20	2,013.60	...	-19.78	1 of 9 - Sale ²⁵
	1,467.000	1,218.73	11/13/20	1,230.37	...	-11.64	2 of 9 - Sale ²⁵
	200.000	166.15	11/13/20	167.80	...	-1.65	3 of 9 - Sale ²⁵
	200.000	166.15	11/13/20	167.80	...	-1.65	4 of 9 - Sale ²⁵
	150.000	124.61	11/13/20	125.60	...	-0.99	5 of 9 - Sale ²⁵
	100.000	83.08	11/13/20	83.90	...	-0.82	6 of 9 - Sale ²⁵
	100.000	83.08	11/13/20	83.73	...	-0.65	7 of 9 - Sale ²⁵
	100.000	83.08	11/13/20	83.73	...	-0.65	8 of 9 - Sale ²⁵
	50.000	41.54	11/13/20	41.87	...	-0.33	9 of 9 - Sale ²⁵
11/13/20	4,767.000	3,960.24	Various	3,998.40	...	-38.16	Total of 9 transactions
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
2 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	5.000	19.27	11/29/19	17.40	...	1.87	1 of 2 - Sale ²⁵
	100.000	385.50	12/02/19	353.50	...	32.00	2 of 2 - Sale ²⁵
01/03/20	105.000	404.77	Various	370.90	...	33.87	Total of 2 transactions
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
24 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	2,620.000	1,074.12	11/25/20	1,084.42	...	-10.30	1 of 24 - Sale ²⁵
	1,000.000	409.97	11/25/20	417.72	...	-7.75	2 of 24 - Sale ²⁵
	1,000.000	409.97	11/25/20	414.40	...	-4.43	3 of 24 - Sale ²⁵
	699.000	286.57	11/25/20	289.95	...	-3.38	4 of 24 - Sale ²⁵
	797.000	326.75	11/25/20	329.80	...	-3.05	5 of 24 - Sale ²⁵
	100.000	41.00	11/25/20	41.45	...	-0.45	6 of 24 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol: (cont'd)							
	16.000	6.56	11/25/20	6.68	...	-0.12	7 of 24 - Sale ²⁵
	1.000	0.41	11/25/20	0.40	...	0.01	8 of 24 - Sale ²⁵
	65.000	25.89	11/25/20	25.74	...	0.15	9 of 24 - Sale ²⁵
	100.000	39.83	11/25/20	39.60	...	0.23	10 of 24 - Sale ²⁵
	300.000	119.48	11/25/20	118.80	...	0.68	11 of 24 - Sale ²⁵
	310.000	123.47	11/25/20	122.76	...	0.71	12 of 24 - Sale ²⁵
	500.000	199.14	11/25/20	198.25	...	0.89	13 of 24 - Sale ²⁵
	300.000	120.08	11/25/20	118.95	...	1.13	14 of 24 - Sale ²⁵
	310.000	124.08	11/25/20	122.76	...	1.32	15 of 24 - Sale ²⁵
	800.000	318.62	11/25/20	317.20	...	1.42	16 of 24 - Sale ²⁵
	560.000	224.15	11/25/20	222.04	...	2.11	17 of 24 - Sale ²⁵
	557.000	222.95	11/25/20	220.57	...	2.38	18 of 24 - Sale ²⁵
	700.000	280.19	11/25/20	277.69	...	2.50	19 of 24 - Sale ²⁵
	1,390.000	553.59	11/25/20	550.44	...	3.15	20 of 24 - Sale ²⁵
	1,473.000	586.65	11/25/20	583.31	...	3.34	21 of 24 - Sale ²⁵
	1,600.000	640.44	11/25/20	634.72	...	5.72	22 of 24 - Sale ²⁵
	2,635.000	1,049.45	11/25/20	1,043.46	...	5.99	23 of 24 - Sale ²⁵
	3,546.000	1,419.37	11/25/20	1,407.76	...	11.61	24 of 24 - Sale ²⁵
11/25/20	21,379.000	8,602.73	Various	8,588.87	...	13.86	Total of 24 transactions
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	16.000	6.56	11/25/20	6.62	0.06 W	0.00	1 of 2 - Sale ²⁵
	1,000.000	409.98	11/25/20	413.80	3.82 W	0.00	2 of 2 - Sale ²⁵
11/25/20	1,016.000	416.54	Various	420.42	3.88 W	0.00	Total of 2 transactions
	Security total:	9,019.27		9,009.29	3.88 W	13.86	
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
	2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	8.000	2.46	03/13/20	3.24	...	-0.78	1 of 2 - Sale ²⁵
	1.000	0.30	03/13/20	0.51	...	-0.21	2 of 2 - Sale ²⁵
03/17/20	9.000	2.76	Various	3.75	...	-0.99	Total of 2 transactions
03/17/20	1,350.000	414.56	03/13/20	552.44	27.88 W	-110.00	Sale ²⁵
	2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	250.000	109.98	03/25/20	129.01	...	-19.03	1 of 2 - Sale ²⁵

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NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol: (cont'd)							
	21.000	9.23	03/25/20	11.28	...	-2.05	2 of 2 - Sale ²⁵
03/27/20	271.000	119.21	Various	140.29	...	-21.08	Total of 2 transactions
05/18/20	1.000	0.37	03/25/20	0.54	...	-0.17	Sale ²⁵
	2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	4,390.000	2,272.13	11/03/20	2,278.41	...	-6.28	1 of 2 - Sale ²⁵
	3,320.000	1,718.33	11/03/20	1,723.08	...	-4.75	2 of 2 - Sale ²⁵
11/03/20	7,710.000	3,990.46	Various	4,001.49	...	-11.03	Total of 2 transactions
	Security total:	4,527.36		4,698.51	27.88 W	-143.27	
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J206 / Symbol:							
	7 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	154.000	73.61	12/01/20	70.82	...	2.79	1 of 7 - Sale ²⁵
	154.000	73.61	12/01/20	70.69	...	2.92	2 of 7 - Sale ²⁵
	346.000	165.38	12/01/20	158.81	...	6.57	3 of 7 - Sale ²⁵
	654.000	312.60	12/01/20	300.77	...	11.83	4 of 7 - Sale ²⁵
	846.000	404.37	12/01/20	388.31	...	16.06	5 of 7 - Sale ²⁵
	1,846.000	882.32	12/01/20	848.98	...	33.34	6 of 7 - Sale ²⁵
	2,666.000	1,274.26	12/01/20	1,226.10	...	48.16	7 of 7 - Sale ²⁵
12/01/20	6,666.000	3,186.15	Various	3,064.48	...	121.67	Total of 7 transactions
OBALON THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 67424L209 / Symbol:							
	16 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	23.000	44.16	12/29/20	43.38	...	0.78	1 of 16 - Sale ²⁵
	34.000	64.94	12/29/20	64.13	...	0.81	2 of 16 - Sale ²⁵
	25.000	48.00	12/29/20	47.15	...	0.85	3 of 16 - Sale ²⁵
	100.000	190.99	12/29/20	188.62	...	2.37	4 of 16 - Sale ²⁵
	100.000	190.99	12/29/20	188.62	...	2.37	5 of 16 - Sale ²⁵
	200.000	381.98	12/29/20	377.24	...	4.74	6 of 16 - Sale ²⁵
	200.000	381.98	12/29/20	377.24	...	4.74	7 of 16 - Sale ²⁵
	200.000	381.98	12/29/20	377.24	...	4.74	8 of 16 - Sale ²⁵
	200.000	381.98	12/29/20	377.24	...	4.74	9 of 16 - Sale ²⁵
	200.000	381.98	12/29/20	377.24	...	4.74	10 of 16 - Sale ²⁵
	175.000	335.98	12/29/20	330.09	...	5.89	11 of 16 - Sale ²⁵
	200.000	383.98	12/29/20	377.24	...	6.74	12 of 16 - Sale ²⁵

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OBALON THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 67424L209 / Symbol: (cont'd)							
	200.000	383.98	12/29/20	377.24	...	6.74	13 of 16 - Sale ²⁵
	200.000	383.98	12/29/20	377.24	...	6.74	14 of 16 - Sale ²⁵
	1,234.000	2,344.39	12/29/20	2,327.57	...	16.82	15 of 16 - Sale ²⁵
	412.000	811.57	12/29/20	777.12	...	34.45	16 of 16 - Sale ²⁵
12/29/20	3,703.000	7,092.86	Various	6,984.60	...	108.26	Total of 16 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
7 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	100.000	33.22	12/10/20	31.90	...	1.32	1 of 7 - Sale ²⁵
	375.000	124.56	12/10/20	119.81	...	4.75	2 of 7 - Sale ²⁵
	950.000	315.58	12/10/20	303.52	...	12.06	3 of 7 - Sale ²⁵
	1,625.000	539.78	12/10/20	519.19	...	20.59	4 of 7 - Sale ²⁵
	1,700.000	564.69	12/10/20	543.15	...	21.54	5 of 7 - Sale ²⁵
	2,150.000	714.17	12/10/20	686.93	...	27.24	6 of 7 - Sale ²⁵
	2,475.000	822.13	12/10/20	790.76	...	31.37	7 of 7 - Sale ²⁵
12/10/20	9,375.000	3,114.13	Various	2,995.26	...	118.87	Total of 7 transactions
ONDAS HOLDINGS INC. COMMON STO CK / CUSIP: 68236H204 / Symbol:							
11 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	3.000	25.92	12/23/20	25.77	...	0.15	1 of 11 - Sale ²⁵
	3.000	25.92	12/23/20	25.77	...	0.15	2 of 11 - Sale ²⁵
	6.000	51.84	12/23/20	51.56	...	0.28	3 of 11 - Sale ²⁵
	44.000	380.16	12/23/20	378.14	...	2.02	4 of 11 - Sale ²⁵
	50.000	432.00	12/23/20	429.70	...	2.30	5 of 11 - Sale ²⁵
	18.000	160.20	12/23/20	154.69	...	5.51	6 of 11 - Sale ²⁵
	68.000	590.22	12/23/20	584.39	...	5.83	7 of 11 - Sale ²⁵
	70.000	607.58	12/23/20	601.58	...	6.00	8 of 11 - Sale ²⁵
	132.000	1,140.43	12/23/20	1,134.41	...	6.02	9 of 11 - Sale ²⁵
	100.000	867.96	12/23/20	859.40	...	8.56	10 of 11 - Sale ²⁵
	222.000	1,940.20	12/23/20	1,907.87	...	32.33	11 of 11 - Sale ²⁵
12/23/20	716.000	6,222.43	Various	6,153.28	...	69.15	Total of 11 transactions

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ONCTERNAL THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 68236P107 / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	2.74	12/02/20	2.74	...	0.00	1 of 3 - Sale ²⁵
	1,086.000	3,021.05	12/02/20	2,972.60	...	48.45	2 of 3 - Sale ²⁵
	1,086.000	3,024.20	12/02/20	2,972.60	...	51.60	3 of 3 - Sale ²⁵
12/02/20	2,173.000	6,047.99	Various	5,947.94	...	100.05	Total of 3 transactions
OPES ACQUISITION CORP. COMMON STOCK / CUSIP: 68373P100 / Symbol:							
11 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	14.45	12/15/20	14.19	...	0.26	1 of 11 - Sale ²⁵
	7.000	100.38	12/15/20	99.33	...	1.05	2 of 11 - Sale ²⁵
	19.000	271.13	12/15/20	269.80	...	1.33	3 of 11 - Sale ²⁵
	14.000	200.76	12/15/20	198.94	...	1.82	4 of 11 - Sale ²⁵
	41.000	585.05	12/15/20	582.61	...	2.44	5 of 11 - Sale ²⁵
	40.000	570.78	12/15/20	568.00	...	2.78	6 of 11 - Sale ²⁵
	41.000	585.05	12/15/20	582.20	...	2.85	7 of 11 - Sale ²⁵
	20.000	286.80	12/15/20	283.80	...	3.00	8 of 11 - Sale ²⁵
	27.000	387.18	12/15/20	383.13	...	4.05	9 of 11 - Sale ²⁵
	73.000	1,046.78	12/15/20	1,035.87	...	10.91	10 of 11 - Sale ²⁵
	141.000	2,035.97	12/15/20	2,000.79	...	35.18	11 of 11 - Sale ²⁵
12/15/20	424.000	6,084.33	Various	6,018.66	...	65.67	Total of 11 transactions
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	1.61	03/09/20	2.60	...	-0.99	1 of 2 - Sale ²⁵
	15.000	24.19	03/13/20	26.66	...	-2.47	2 of 2 - Sale ²⁵
03/17/20	16.000	25.80	Various	29.26	...	-3.46	Total of 2 transactions
ORAMED PHARMACEUTICALS INC. CO MMON STOCK / CUSIP: 68403P203 / Symbol:							
6 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	105.000	470.38	12/03/20	466.20	...	4.18	1 of 6 - Sale ²⁵
	110.000	492.78	12/03/20	488.40	...	4.38	2 of 6 - Sale ²⁵
	286.000	1,275.50	12/03/20	1,269.84	...	5.66	3 of 6 - Sale ²⁵
	176.000	788.44	12/03/20	781.44	...	7.00	4 of 6 - Sale ²⁵
	395.000	1,761.61	12/03/20	1,753.80	...	7.81	5 of 6 - Sale ²⁵

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(continued)

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ORAMED PHARMACEUTICALS INC. CO MMON STOCK / CUSIP: 68403P203 / Symbol: (cont'd)							
	290.000	1,299.13	12/03/20	1,287.60	...	11.53	6 of 6 - Sale ²⁵
12/03/20	1,362.000	6,087.84	Various	6,047.28	...	40.56	Total of 6 transactions
OZON HOLDINGS PLC AMERICAN DEP OSITARY SHARES, EACH ADS REPRE SENTING ONE ORDINARY SHARE / CUSIP: 69269L104 / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	15.000	645.13	12/02/20	642.00	...	3.13	1 of 3 - Sale ²⁵
	70.000	3,003.62	12/02/20	2,996.00	...	7.62	2 of 3 - Sale ²⁵
	55.000	2,365.48	12/02/20	2,354.00	...	11.48	3 of 3 - Sale ²⁵
12/02/20	140.000	6,014.23	Various	5,992.00	...	22.23	Total of 3 transactions
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	31.000	1,334.82	12/02/20	1,340.44	5.62 W	0.00	1 of 2 - Sale ²⁵
	38.000	1,636.24	12/02/20	1,643.12	6.88 W	0.00	2 of 2 - Sale ²⁵
12/02/20	69.000	2,971.06	Various	2,983.56	12.50 W	0.00	Total of 2 transactions
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	50.000	2,393.28	12/04/20	2,511.86	...	-118.58	1 of 4 - Sale ²⁵
	38.000	1,818.90	12/04/20	1,915.90	...	-97.00	2 of 4 - Sale ²⁵
	30.000	1,435.97	12/04/20	1,512.55	...	-76.58	3 of 4 - Sale ²⁵
	1.000	49.34	12/04/20	50.42	...	-1.08	4 of 4 - Sale ²⁵
12/04/20	119.000	5,697.49	Various	5,990.73	...	-293.24	Total of 4 transactions
	Security total:	14,682.78		14,966.29	12.50 W	-271.01	
THE PECK COMPANY HOLDINGS, INC . COMMON STOCK / CUSIP: 705163103 / Symbol:							
10/29/20	428.000	3,042.96	10/29/20	3,013.12	...	29.84	Sale ²⁵
11/09/20	391.000	3,045.77	11/09/20	3,015.16	...	30.61	Sale ²⁵
	Security total:	6,088.73		6,028.28	...	60.45	
PEDEVCO CORP. / CUSIP: 70532Y303 / Symbol:							
3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	111.000	231.97	12/30/20	223.11	...	8.86	1 of 3 - Sale ²⁵
	89.000	185.99	12/30/20	176.22	...	9.77	2 of 3 - Sale ²⁵
	300.000	626.95	12/30/20	595.50	...	31.45	3 of 3 - Sale ²⁵
12/30/20	500.000	1,044.91	Various	994.83	...	50.08	Total of 3 transactions

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(continued)

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PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol:							
<i>5 transactions for 11/04/20. Total proceeds and cost reported to the IRS.</i>							
	860.000	1,418.86	11/04/20	1,436.20	...	-17.34	1 of 5 - Sale ²⁵
	300.000	494.95	11/04/20	501.00	...	-6.05	2 of 5 - Sale ²⁵
	500.000	824.92	11/04/20	830.00	...	-5.08	3 of 5 - Sale ²⁵
	143.000	235.93	11/04/20	235.95	...	-0.02	4 of 5 - Sale ²⁵
	4.000	6.60	11/04/20	6.60	...	0.00	5 of 5 - Sale ²⁵
11/04/20	1,807.000	2,981.26	Various	3,009.75	...	-28.49	Total of 5 transactions
PIVOTAL INVESTMENT CORPORATION II / CUSIP: 72582K100 / Symbol:							
<i>4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	666.38	12/04/20	668.00	0.45 W	-1.17	1 of 4 - Sale ²⁵
	38.000	634.58	12/04/20	634.60	0.02 W	0.00	2 of 4 - Sale ²⁵
	3.000	50.04	12/04/20	50.10	0.06 W	0.00	3 of 4 - Sale ²⁵
	100.000	1,666.95	12/04/20	1,670.00	3.05 W	0.00	4 of 4 - Sale ²⁵
12/04/20	181.000	3,017.95	Various	3,022.70	3.58 W	-1.17	Total of 4 transactions
<i>4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,971.94	12/21/20	1,973.05	...	-1.11	1 of 4 - Sale ²⁵
	11.000	216.91	12/21/20	217.15	...	-0.24	2 of 4 - Sale ²⁵
	3.000	59.16	12/21/20	59.16	...	0.00	3 of 4 - Sale ²⁵
	38.000	749.34	12/21/20	748.62	...	0.72	4 of 4 - Sale ²⁵
12/21/20	152.000	2,997.35	Various	2,997.98	...	-0.63	Total of 4 transactions
	Security total:	6,015.30		6,020.68	3.58 W	-1.80	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
<i>2 transactions for 01/02/20. Total proceeds and cost reported to the IRS.</i>							
	16.000	51.84	12/02/19	58.80	...	-6.96	1 of 2 - Sale ²⁵
	6.000	19.44	12/03/19	19.95	...	-0.51	2 of 2 - Sale ²⁵
01/02/20	22.000	71.28	Various	78.75	...	-7.47	Total of 2 transactions
<i>2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	86.88	12/07/20	74.16	...	12.72	1 of 2 - Sale ²⁵
	37.000	1,071.49	12/07/20	914.64	...	156.85	2 of 2 - Sale ²⁵
12/15/20	40.000	1,158.37	Various	988.80	...	169.57	Total of 2 transactions
	Security total:	1,229.65		1,067.55	...	162.10	

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2020 1099-B* OMB No. 1545-0715

(continued)

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POLARITYTE, INC. COMMON STOCK / CUSIP: 731094108 / Symbol:							
<i>5 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	2,000.000	2,339.90	10/26/20	2,459.70	...	-119.80	1 of 5 - Sale ²⁵
	200.000	233.99	10/26/20	245.96	...	-11.97	2 of 5 - Sale ²⁵
	158.000	184.86	10/26/20	194.31	...	-9.45	3 of 5 - Sale ²⁵
	142.000	166.13	10/26/20	174.64	...	-8.51	4 of 5 - Sale ²⁵
	42.000	49.14	10/26/20	49.98	...	-0.84	5 of 5 - Sale ²⁵
10/26/20	2,542.000	2,974.02	Various	3,124.59	...	-150.57	Total of 5 transactions
<i>3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	232.00	10/26/20	239.98	7.98 W	0.00	1 of 3 - Sale ²⁵
	2,000.000	2,319.90	10/26/20	2,399.80	79.90 W	0.00	2 of 3 - Sale ²⁵
	300.000	347.99	10/26/20	359.97	11.98 W	0.00	3 of 3 - Sale ²⁵
10/26/20	2,500.000	2,899.89	Various	2,999.75	99.86 W	0.00	Total of 3 transactions
	Security total:	5,873.91		6,124.34	99.86 W	-150.57	
POST HOLDINGS, INC. / CUSIP: 737446104 / Symbol:							
11/13/20	38.000	3,903.27	11/13/20	3,905.29	...	-2.02	Sale ²⁵
PRESIDIO PROPERTY TRUST, INC. CLASS A COMMON STOCK / CUSIP: 74102L303 / Symbol:							
<i>6 transactions for 12/17/20. Total proceeds and cost reported to the IRS.</i>							
	49.000	270.48	12/17/20	343.00	...	-72.52	1 of 6 - Sale ²⁵
	19.000	105.64	12/17/20	133.00	...	-27.36	2 of 6 - Sale ²⁵
	12.000	66.72	12/17/20	84.00	...	-17.28	3 of 6 - Sale ²⁵
	10.000	55.60	12/17/20	70.00	...	-14.40	4 of 6 - Sale ²⁵
	8.000	44.48	12/17/20	56.00	...	-11.52	5 of 6 - Sale ²⁵
	2.000	11.12	12/17/20	14.00	...	-2.88	6 of 6 - Sale ²⁵
12/17/20	100.000	554.04	Various	700.00	...	-145.96	Total of 6 transactions
PROFESSIONAL DIVERSITY NETWORK, INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol:							
<i>4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.78	12/01/20	1.79	...	-0.01	1 of 4 - Sale ²⁵
	779.000	1,409.86	12/01/20	1,394.41	...	15.45	2 of 4 - Sale ²⁵
	1,679.000	3,021.93	12/01/20	3,005.41	...	16.52	3 of 4 - Sale ²⁵
	900.000	1,628.85	12/01/20	1,611.00	...	17.85	4 of 4 - Sale ²⁵
12/01/20	3,359.000	6,062.42	Various	6,012.61	...	49.81	Total of 4 transactions

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QUHUO LIMITED AMERICAN DEPOSIT ORY SHARES / CUSIP: 74841Q100 / Symbol:							
10/20/20	401.000	2,998.12	10/20/20	3,024.94	...	-26.82	Sale ²⁵
RAVE RESTAURANT GROUP, INC. COMMON STOCK / CUSIP: 754198109 / Symbol:							
<i>4 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	240.000	266.37	10/29/20	226.82	...	39.55	1 of 4 - Sale ²⁵
	5,202.000	4,080.25	10/29/20	4,000.85	...	79.40	2 of 4 - Sale ²⁵
	978.000	1,085.44	10/29/20	929.00	...	156.44	3 of 4 - Sale ²⁵
	1,997.000	2,216.38	10/29/20	1,896.95	...	319.43	4 of 4 - Sale ²⁵
10/29/20	8,417.000	7,648.44	Various	7,053.62	...	594.82	Total of 4 transactions
12/21/20	2,857.000	3,142.29	12/21/20	3,028.42	...	113.87	Sale ²⁵
	Security total:	10,790.73		10,082.04	...	708.69	
REALNETWORKS, INC. COMMON STOCK / CUSIP: 75605L708 / Symbol:							
<i>4 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>							
	1,451.000	2,685.57	10/27/20	2,722.59	...	-37.02	1 of 4 - Sale ²⁵
	574.000	1,062.38	10/27/20	1,077.03	...	-14.65	2 of 4 - Sale ²⁵
	26.000	48.36	10/27/20	48.79	...	-0.43	3 of 4 - Sale ²⁵
	158.000	292.43	10/27/20	288.56	...	3.87	4 of 4 - Sale ²⁵
10/27/20	2,209.000	4,088.74	Various	4,136.97	...	-48.23	Total of 4 transactions
<i>2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>							
	600.000	1,145.90	10/27/20	1,175.94	30.04 W	0.00	1 of 2 - Sale ²⁵
	1,451.000	2,771.18	10/27/20	2,843.81	72.63 W	0.00	2 of 2 - Sale ²⁵
10/27/20	2,051.000	3,917.08	Various	4,019.75	102.67 W	0.00	Total of 2 transactions
	Security total:	8,005.82		8,156.72	102.67 W	-48.23	
REMARK HOLDINGS, INC. COMMON STOCK / CUSIP: 75955K102 / Symbol:							
10/23/20	2,919.000	4,086.15	10/23/20	3,994.65	...	91.50	Sale ²⁵
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
<i>4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	388.99	11/05/20	387.50	...	1.49	1 of 4 - Sale ²⁵
	100.000	388.99	11/05/20	387.50	...	1.49	2 of 4 - Sale ²⁵
	574.000	2,227.00	11/05/20	2,224.25	...	2.75	3 of 4 - Sale ²⁵

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RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
	782.000	3,035.02	11/05/20	3,001.86	...	33.16	4 of 4 - Sale ²⁵
11/05/20	1,556.000	6,040.00	Various	6,001.11	...	38.89	Total of 4 transactions
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	482.000	2,007.42	11/17/20	2,004.64	...	2.78	1 of 2 - Sale ²⁵
	481.000	2,010.47	11/17/20	2,000.48	...	9.99	2 of 2 - Sale ²⁵
11/17/20	963.000	4,017.89	Various	4,005.12	...	12.77	Total of 2 transactions
12/16/20	298.000	3,397.14	12/15/20	2,997.88	...	399.26	Sale ²⁵
	Security total:	13,455.03		13,004.11	...	450.92	
RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
	7 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	200.000	2,613.92	11/27/20	2,622.00	...	-8.08	1 of 7 - Sale ²⁵
	200.000	2,613.92	11/27/20	2,622.00	...	-8.08	2 of 7 - Sale ²⁵
	198.000	2,587.78	11/27/20	2,595.78	...	-8.00	3 of 7 - Sale ²⁵
	193.000	2,522.43	11/27/20	2,530.23	...	-7.80	4 of 7 - Sale ²⁵
	113.000	1,476.86	11/27/20	1,481.43	...	-4.57	5 of 7 - Sale ²⁵
	7.000	91.49	11/27/20	91.77	...	-0.28	6 of 7 - Sale ²⁵
	2.000	26.14	11/27/20	26.22	...	-0.08	7 of 7 - Sale ²⁵
11/27/20	913.000	11,932.54	Various	11,969.43	...	-36.89	Total of 7 transactions
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
	45 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	19.000	30.97	12/15/20	30.97	...	0.00	1 of 45 - Sale ²⁵
	32.000	52.16	12/15/20	52.16	...	0.00	2 of 45 - Sale ²⁵
	24.000	39.12	12/15/20	39.12	...	0.00	3 of 45 - Sale ²⁵
	2.000	3.26	12/15/20	3.26	...	0.00	4 of 45 - Sale ²⁵
	2.000	3.26	12/15/20	3.26	...	0.00	5 of 45 - Sale ²⁵
	1.000	1.63	12/15/20	1.63	...	0.00	6 of 45 - Sale ²⁵
	2.000	3.26	12/15/20	3.26	...	0.00	7 of 45 - Sale ²⁵
	2.000	3.26	12/15/20	3.26	...	0.00	8 of 45 - Sale ²⁵
	50.000	81.50	12/15/20	81.50	...	0.00	9 of 45 - Sale ²⁵
	25.000	40.75	12/15/20	40.75	...	0.00	10 of 45 - Sale ²⁵
	13.000	21.19	12/15/20	21.19	...	0.00	11 of 45 - Sale ²⁵
	1.000	1.64	12/15/20	1.62	...	0.02	12 of 45 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.28	12/15/20	3.24	...	0.04	13 of 45 - Sale ²⁵
	5.000	8.20	12/15/20	8.15	...	0.05	14 of 45 - Sale ²⁵
	5.000	8.20	12/15/20	8.15	...	0.05	15 of 45 - Sale ²⁵
	5.000	8.20	12/15/20	8.15	...	0.05	16 of 45 - Sale ²⁵
	5.000	8.20	12/15/20	8.15	...	0.05	17 of 45 - Sale ²⁵
	7.000	11.48	12/15/20	11.41	...	0.07	18 of 45 - Sale ²⁵
	5.000	8.20	12/15/20	8.10	...	0.10	19 of 45 - Sale ²⁵
	5.000	8.20	12/15/20	8.10	...	0.10	20 of 45 - Sale ²⁵
	10.000	16.40	12/15/20	16.30	...	0.10	21 of 45 - Sale ²⁵
	15.000	24.60	12/15/20	24.45	...	0.15	22 of 45 - Sale ²⁵
	10.000	16.40	12/15/20	16.20	...	0.20	23 of 45 - Sale ²⁵
	25.000	41.00	12/15/20	40.75	...	0.25	24 of 45 - Sale ²⁵
	40.000	65.60	12/15/20	65.20	...	0.40	25 of 45 - Sale ²⁵
	43.000	70.52	12/15/20	70.09	...	0.43	26 of 45 - Sale ²⁵
	30.000	49.20	12/15/20	48.60	...	0.60	27 of 45 - Sale ²⁵
	100.000	163.99	12/15/20	163.00	...	0.99	28 of 45 - Sale ²⁵
	111.000	182.03	12/15/20	180.93	...	1.10	29 of 45 - Sale ²⁵
	61.000	100.03	12/15/20	98.82	...	1.21	30 of 45 - Sale ²⁵
	180.000	295.18	12/15/20	293.40	...	1.78	31 of 45 - Sale ²⁵
	200.000	327.98	12/15/20	326.00	...	1.98	32 of 45 - Sale ²⁵
	100.000	163.99	12/15/20	162.00	...	1.99	33 of 45 - Sale ²⁵
	235.000	385.36	12/15/20	383.05	...	2.31	34 of 45 - Sale ²⁵
	123.000	201.71	12/15/20	199.26	...	2.45	35 of 45 - Sale ²⁵
	146.000	239.42	12/15/20	236.52	...	2.90	36 of 45 - Sale ²⁵
	365.000	598.54	12/15/20	594.95	...	3.59	37 of 45 - Sale ²⁵
	386.000	632.97	12/15/20	629.18	...	3.79	38 of 45 - Sale ²⁵
	399.000	654.27	12/15/20	650.37	...	3.90	39 of 45 - Sale ²⁵
	400.000	655.93	12/15/20	652.00	...	3.93	40 of 45 - Sale ²⁵
	200.000	327.98	12/15/20	324.00	...	3.98	41 of 45 - Sale ²⁵
	477.000	782.20	12/15/20	777.51	...	4.69	42 of 45 - Sale ²⁵
	735.000	1,205.28	12/15/20	1,198.05	...	7.23	43 of 45 - Sale ²⁵
	799.000	1,310.23	12/15/20	1,302.37	...	7.86	44 of 45 - Sale ²⁵
	575.000	942.90	12/15/20	931.50	...	11.40	45 of 45 - Sale ²⁵
12/15/20	5,977.000	9,799.67	Various	9,729.93	...	69.74	Total of 45 transactions

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
<i>405 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>							
150.000		244.48	12/07/20	313.50	69.02 W	0.00	1 of 405 - Sale ²⁵
10.000		16.30	12/07/20	20.90	4.60 W	0.00	2 of 405 - Sale ²⁵
50.000		81.50	12/07/20	104.50	23.00 W	0.00	3 of 405 - Sale ²⁵
100.000		162.99	12/07/20	209.00	46.01 W	0.00	4 of 405 - Sale ²⁵
10.000		16.30	12/07/20	20.90	4.60 W	0.00	5 of 405 - Sale ²⁵
20.000		32.60	12/07/20	41.80	9.20 W	0.00	6 of 405 - Sale ²⁵
620.000		1,010.50	12/07/20	1,295.80	285.30 W	0.00	7 of 405 - Sale ²⁵
10.000		16.30	12/08/20	24.00	7.70 W	0.00	8 of 405 - Sale ²⁵
50.000		81.49	12/08/20	120.00	38.51 W	0.00	9 of 405 - Sale ²⁵
90.000		146.68	12/08/20	216.01	69.33 W	0.00	10 of 405 - Sale ²⁵
150.000		244.48	12/08/20	360.02	115.54 W	0.00	11 of 405 - Sale ²⁵
10.000		16.30	12/09/20	22.80	6.50 W	0.00	12 of 405 - Sale ²⁵
10.000		16.30	12/09/20	22.80	6.50 W	0.00	13 of 405 - Sale ²⁵
20.000		32.60	12/09/20	45.60	13.00 W	0.00	14 of 405 - Sale ²⁵
40.000		65.19	12/09/20	72.80	7.61 W	0.00	15 of 405 - Sale ²⁵
10.000		16.30	12/09/20	22.80	6.50 W	0.00	16 of 405 - Sale ²⁵
3.000		4.89	12/09/20	6.84	1.95 W	0.00	17 of 405 - Sale ²⁵
100.000		162.99	12/09/20	228.02	65.03 W	0.00	18 of 405 - Sale ²⁵
31.000		50.53	12/09/20	70.68	20.15 W	0.00	19 of 405 - Sale ²⁵
10.000		16.30	12/09/20	22.80	6.50 W	0.00	20 of 405 - Sale ²⁵
2.000		3.26	12/09/20	4.56	1.30 W	0.00	21 of 405 - Sale ²⁵
364.000		593.26	12/09/20	829.98	236.72 W	0.00	22 of 405 - Sale ²⁵
60.000		97.79	12/15/20	124.81	27.02 W	0.00	23 of 405 - Sale ²⁵
10.000		16.30	12/15/20	23.90	7.60 W	0.00	24 of 405 - Sale ²⁵
50.000		81.49	12/15/20	119.51	38.02 W	0.00	25 of 405 - Sale ²⁵
66.000		107.57	12/15/20	157.76	50.19 W	0.00	26 of 405 - Sale ²⁵
150.000		244.48	12/15/20	358.54	114.06 W	0.00	27 of 405 - Sale ²⁵
24.000		39.12	12/15/20	57.37	18.25 W	0.00	28 of 405 - Sale ²⁵
3.000		4.89	12/15/20	6.81	1.92 W	0.00	29 of 405 - Sale ²⁵
10.000		16.30	12/15/20	22.70	6.40 W	0.00	30 of 405 - Sale ²⁵
10.000		16.30	12/15/20	22.70	6.40 W	0.00	31 of 405 - Sale ²⁵
10.000		16.30	12/15/20	22.70	6.40 W	0.00	32 of 405 - Sale ²⁵
20.000		32.60	12/15/20	45.40	12.80 W	0.00	33 of 405 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
67.000		109.19	12/15/20	152.11	42.92 W	0.00	34 of 405 - Sale ²⁵
40.000		65.19	12/15/20	83.21	18.02 W	0.00	35 of 405 - Sale ²⁵
40.000		65.19	12/15/20	72.41	7.22 W	0.00	36 of 405 - Sale ²⁵
1.000		1.63	12/15/20	2.27	0.64 W	0.00	37 of 405 - Sale ²⁵
28.000		45.63	12/15/20	63.57	17.94 W	0.00	38 of 405 - Sale ²⁵
4.000		6.52	12/15/20	9.08	2.56 W	0.00	39 of 405 - Sale ²⁵
24.000		39.12	12/15/20	54.48	15.36 W	0.00	40 of 405 - Sale ²⁵
6.000		9.78	12/15/20	13.62	3.84 W	0.00	41 of 405 - Sale ²⁵
1.000		1.63	12/15/20	2.27	0.64 W	0.00	42 of 405 - Sale ²⁵
2.000		3.26	12/15/20	4.54	1.28 W	0.00	43 of 405 - Sale ²⁵
10.000		16.30	12/15/20	22.70	6.40 W	0.00	44 of 405 - Sale ²⁵
24.000		39.11	12/15/20	54.49	15.38 W	0.00	45 of 405 - Sale ²⁵
59.000		96.16	12/15/20	133.95	37.79 W	0.00	46 of 405 - Sale ²⁵
88.000		143.43	12/15/20	199.79	56.36 W	0.00	47 of 405 - Sale ²⁵
10.000		16.30	12/15/20	22.70	6.40 W	0.00	48 of 405 - Sale ²⁵
44.000		71.72	12/15/20	99.90	28.18 W	0.00	49 of 405 - Sale ²⁵
10.000		16.30	12/15/20	22.70	6.40 W	0.00	50 of 405 - Sale ²⁵
10.000		16.30	12/15/20	23.80	7.50 W	0.00	51 of 405 - Sale ²⁵
20.000		32.60	12/15/20	47.61	15.01 W	0.00	52 of 405 - Sale ²⁵
150.000		244.48	12/15/20	357.06	112.58 W	0.00	53 of 405 - Sale ²⁵
9.000		14.67	12/15/20	21.42	6.75 W	0.00	54 of 405 - Sale ²⁵
13.000		21.19	12/15/20	30.95	9.76 W	0.00	55 of 405 - Sale ²⁵
21.000		34.23	12/15/20	49.99	15.76 W	0.00	56 of 405 - Sale ²⁵
66.000		107.57	12/15/20	157.11	49.54 W	0.00	57 of 405 - Sale ²⁵
11.000		17.93	12/15/20	26.18	8.25 W	0.00	58 of 405 - Sale ²⁵
39.000		63.57	12/15/20	88.54	24.97 W	0.00	59 of 405 - Sale ²⁵
10.000		16.40	12/15/20	22.60	6.20 W	0.00	60 of 405 - Sale ²⁵
90.000		147.59	12/15/20	204.33	56.74 W	0.00	61 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.26	0.62 W	0.00	62 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.26	0.62 W	0.00	63 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.52	1.24 W	0.00	64 of 405 - Sale ²⁵
3.000		4.92	12/15/20	6.78	1.86 W	0.00	65 of 405 - Sale ²⁵
4.000		6.56	12/15/20	9.04	2.48 W	0.00	66 of 405 - Sale ²⁵
6.000		9.84	12/15/20	13.56	3.72 W	0.00	67 of 405 - Sale ²⁵

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
10.000		16.40	12/15/20	22.60	6.20 W	0.00	68 of 405 - Sale ²⁵
10.000		16.40	12/15/20	22.60	6.20 W	0.00	69 of 405 - Sale ²⁵
10.000		16.40	12/15/20	22.60	6.20 W	0.00	70 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.52	1.24 W	0.00	71 of 405 - Sale ²⁵
20.000		32.80	12/15/20	45.20	12.40 W	0.00	72 of 405 - Sale ²⁵
24.000		39.36	12/15/20	54.24	14.88 W	0.00	73 of 405 - Sale ²⁵
24.000		39.35	12/15/20	54.26	14.91 W	0.00	74 of 405 - Sale ²⁵
28.000		45.92	12/15/20	63.30	17.38 W	0.00	75 of 405 - Sale ²⁵
67.000		109.87	12/15/20	151.46	41.59 W	0.00	76 of 405 - Sale ²⁵
88.000		144.30	12/15/20	198.92	54.62 W	0.00	77 of 405 - Sale ²⁵
8.000		13.12	12/15/20	18.08	4.96 W	0.00	78 of 405 - Sale ²⁵
42.000		68.88	12/15/20	94.94	26.06 W	0.00	79 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.52	1.24 W	0.00	80 of 405 - Sale ²⁵
10.000		16.40	12/15/20	22.60	6.20 W	0.00	81 of 405 - Sale ²⁵
40.000		65.60	12/15/20	82.81	17.21 W	0.00	82 of 405 - Sale ²⁵
20.000		32.80	12/15/20	41.41	8.61 W	0.00	83 of 405 - Sale ²⁵
20.000		32.80	12/15/20	41.41	8.61 W	0.00	84 of 405 - Sale ²⁵
20.000		32.80	12/15/20	41.41	8.61 W	0.00	85 of 405 - Sale ²⁵
15.000		24.60	12/15/20	27.01	2.41 W	0.00	86 of 405 - Sale ²⁵
25.000		41.00	12/15/20	45.01	4.01 W	0.00	87 of 405 - Sale ²⁵
5.000		8.20	12/15/20	11.90	3.70 W	0.00	88 of 405 - Sale ²⁵
20.000		32.80	12/15/20	47.61	14.81 W	0.00	89 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.76	1.48 W	0.00	90 of 405 - Sale ²⁵
9.000		14.76	12/15/20	21.42	6.66 W	0.00	91 of 405 - Sale ²⁵
10.000		16.40	12/15/20	23.80	7.40 W	0.00	92 of 405 - Sale ²⁵
11.000		18.04	12/15/20	26.18	8.14 W	0.00	93 of 405 - Sale ²⁵
13.000		21.32	12/15/20	30.95	9.63 W	0.00	94 of 405 - Sale ²⁵
20.000		32.80	12/15/20	47.61	14.81 W	0.00	95 of 405 - Sale ²⁵
21.000		34.44	12/15/20	49.99	15.55 W	0.00	96 of 405 - Sale ²⁵
66.000		108.23	12/15/20	157.12	48.89 W	0.00	97 of 405 - Sale ²⁵
123.000		201.70	12/15/20	292.81	91.11 W	0.00	98 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.25	0.61 W	0.00	99 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.25	0.61 W	0.00	100 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.50	1.22 W	0.00	101 of 405 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	3.000	4.92	12/15/20	6.75	1.83 W	0.00	102 of 405 - Sale ²⁵
	4.000	6.56	12/15/20	9.00	2.44 W	0.00	103 of 405 - Sale ²⁵
	6.000	9.84	12/15/20	13.50	3.66 W	0.00	104 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.50	6.10 W	0.00	105 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.50	6.10 W	0.00	106 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.50	6.10 W	0.00	107 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.50	6.10 W	0.00	108 of 405 - Sale ²⁵
	20.000	32.80	12/15/20	45.00	12.20 W	0.00	109 of 405 - Sale ²⁵
	24.000	39.35	12/15/20	54.00	14.65 W	0.00	110 of 405 - Sale ²⁵
	24.000	39.35	12/15/20	54.03	14.68 W	0.00	111 of 405 - Sale ²⁵
	28.000	45.91	12/15/20	63.02	17.11 W	0.00	112 of 405 - Sale ²⁵
	39.000	63.95	12/15/20	88.54	24.59 W	0.00	113 of 405 - Sale ²⁵
	59.000	96.75	12/15/20	133.96	37.21 W	0.00	114 of 405 - Sale ²⁵
	67.000	109.86	12/15/20	150.80	40.94 W	0.00	115 of 405 - Sale ²⁵
	61.000	100.03	12/15/20	137.29	37.26 W	0.00	116 of 405 - Sale ²⁵
	90.000	147.58	12/15/20	203.44	55.86 W	0.00	117 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.74	1.46 W	0.00	118 of 405 - Sale ²⁵
	5.000	8.20	12/15/20	11.85	3.65 W	0.00	119 of 405 - Sale ²⁵
	20.000	32.80	12/15/20	47.41	14.61 W	0.00	120 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.50	1.22 W	0.00	121 of 405 - Sale ²⁵
	8.000	13.12	12/15/20	18.00	4.88 W	0.00	122 of 405 - Sale ²⁵
	36.000	59.03	12/15/20	81.02	21.99 W	0.00	123 of 405 - Sale ²⁵
	27.000	44.28	12/15/20	60.77	16.49 W	0.00	124 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.50	1.22 W	0.00	125 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.50	6.10 W	0.00	126 of 405 - Sale ²⁵
	6.000	9.84	12/15/20	13.50	3.66 W	0.00	127 of 405 - Sale ²⁵
	12.000	19.68	12/15/20	24.72	5.04 W	0.00	128 of 405 - Sale ²⁵
	5.000	8.20	12/15/20	10.30	2.10 W	0.00	129 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	20.61	4.21 W	0.00	130 of 405 - Sale ²⁵
	23.000	37.72	12/15/20	47.39	9.67 W	0.00	131 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	20.60	4.20 W	0.00	132 of 405 - Sale ²⁵
	15.000	24.60	12/15/20	30.91	6.31 W	0.00	133 of 405 - Sale ²⁵
	5.000	8.20	12/15/20	10.30	2.10 W	0.00	134 of 405 - Sale ²⁵
	20.000	32.80	12/15/20	41.21	8.41 W	0.00	135 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	15.000	24.60	12/15/20	26.86	2.26 W	0.00	136 of 405 - Sale ²⁵
	25.000	41.00	12/15/20	44.76	3.76 W	0.00	137 of 405 - Sale ²⁵
	100.000	163.99	12/15/20	237.07	73.08 W	0.00	138 of 405 - Sale ²⁵
	9.000	14.76	12/15/20	21.33	6.57 W	0.00	139 of 405 - Sale ²⁵
	23.000	37.71	12/15/20	54.53	16.82 W	0.00	140 of 405 - Sale ²⁵
	1.000	1.64	12/15/20	2.37	0.73 W	0.00	141 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.74	1.46 W	0.00	142 of 405 - Sale ²⁵
	7.000	11.48	12/15/20	16.59	5.11 W	0.00	143 of 405 - Sale ²⁵
	9.000	14.76	12/15/20	21.33	6.57 W	0.00	144 of 405 - Sale ²⁵
	11.000	18.04	12/15/20	26.08	8.04 W	0.00	145 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	23.70	7.30 W	0.00	146 of 405 - Sale ²⁵
	6.000	9.84	12/15/20	14.23	4.39 W	0.00	147 of 405 - Sale ²⁵
	4.000	6.56	12/15/20	9.48	2.92 W	0.00	148 of 405 - Sale ²⁵
	1.000	1.64	12/15/20	2.37	0.73 W	0.00	149 of 405 - Sale ²⁵
	1.000	1.64	12/15/20	2.37	0.73 W	0.00	150 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.74	1.46 W	0.00	151 of 405 - Sale ²⁵
	3.000	4.92	12/15/20	7.11	2.19 W	0.00	152 of 405 - Sale ²⁵
	7.000	11.48	12/15/20	16.59	5.11 W	0.00	153 of 405 - Sale ²⁵
	13.000	21.32	12/15/20	30.82	9.50 W	0.00	154 of 405 - Sale ²⁵
	60.000	98.39	12/15/20	142.25	43.86 W	0.00	155 of 405 - Sale ²⁵
	4.000	6.56	12/15/20	9.48	2.92 W	0.00	156 of 405 - Sale ²⁵
	11.000	18.04	12/15/20	24.87	6.83 W	0.00	157 of 405 - Sale ²⁵
	15.000	24.60	12/15/20	33.76	9.16 W	0.00	158 of 405 - Sale ²⁵
	28.000	45.92	12/15/20	63.29	17.37 W	0.00	159 of 405 - Sale ²⁵
	59.000	96.75	12/15/20	133.38	36.63 W	0.00	160 of 405 - Sale ²⁵
	1.000	1.64	12/15/20	2.36	0.72 W	0.00	161 of 405 - Sale ²⁵
	1.000	1.64	12/15/20	2.36	0.72 W	0.00	162 of 405 - Sale ²⁵
	1.000	1.64	12/15/20	2.36	0.72 W	0.00	163 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.72	1.44 W	0.00	164 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.72	1.44 W	0.00	165 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.72	1.44 W	0.00	166 of 405 - Sale ²⁵
	3.000	4.92	12/15/20	7.08	2.16 W	0.00	167 of 405 - Sale ²⁵
	4.000	6.56	12/15/20	9.44	2.88 W	0.00	168 of 405 - Sale ²⁵
	4.000	6.56	12/15/20	9.44	2.88 W	0.00	169 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	5.000	8.20	12/15/20	11.80	3.60 W	0.00	170 of 405 - Sale ²⁵
	6.000	9.84	12/15/20	14.17	4.33 W	0.00	171 of 405 - Sale ²⁵
	7.000	11.48	12/15/20	16.52	5.04 W	0.00	172 of 405 - Sale ²⁵
	7.000	11.48	12/15/20	16.52	5.04 W	0.00	173 of 405 - Sale ²⁵
	9.000	14.76	12/15/20	21.24	6.48 W	0.00	174 of 405 - Sale ²⁵
	9.000	14.76	12/15/20	21.24	6.48 W	0.00	175 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	23.60	7.20 W	0.00	176 of 405 - Sale ²⁵
	11.000	18.04	12/15/20	25.97	7.93 W	0.00	177 of 405 - Sale ²⁵
	13.000	21.32	12/15/20	30.69	9.37 W	0.00	178 of 405 - Sale ²⁵
	20.000	32.80	12/15/20	47.21	14.41 W	0.00	179 of 405 - Sale ²⁵
	23.000	37.72	12/15/20	54.31	16.59 W	0.00	180 of 405 - Sale ²⁵
	60.000	98.38	12/15/20	141.66	43.28 W	0.00	181 of 405 - Sale ²⁵
	100.000	163.98	12/15/20	236.08	72.10 W	0.00	182 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.40	6.00 W	0.00	183 of 405 - Sale ²⁵
	10.000	16.39	12/15/20	22.40	6.01 W	0.00	184 of 405 - Sale ²⁵
	3.000	4.92	12/15/20	6.72	1.80 W	0.00	185 of 405 - Sale ²⁵
	75.000	122.98	12/15/20	168.80	45.82 W	0.00	186 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.40	6.00 W	0.00	187 of 405 - Sale ²⁵
	1.000	1.64	12/15/20	2.24	0.60 W	0.00	188 of 405 - Sale ²⁵
	1.000	1.64	12/15/20	2.24	0.60 W	0.00	189 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.48	1.20 W	0.00	190 of 405 - Sale ²⁵
	3.000	4.92	12/15/20	6.72	1.80 W	0.00	191 of 405 - Sale ²⁵
	4.000	6.56	12/15/20	8.96	2.40 W	0.00	192 of 405 - Sale ²⁵
	6.000	9.84	12/15/20	13.44	3.60 W	0.00	193 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.40	6.00 W	0.00	194 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.40	6.00 W	0.00	195 of 405 - Sale ²⁵
	7.000	11.48	12/15/20	15.68	4.20 W	0.00	196 of 405 - Sale ²⁵
	24.000	39.36	12/15/20	53.77	14.41 W	0.00	197 of 405 - Sale ²⁵
	24.000	39.35	12/15/20	53.80	14.45 W	0.00	198 of 405 - Sale ²⁵
	28.000	45.92	12/15/20	62.75	16.83 W	0.00	199 of 405 - Sale ²⁵
	33.000	54.11	12/15/20	73.95	19.84 W	0.00	200 of 405 - Sale ²⁵
	67.000	109.87	12/15/20	150.15	40.28 W	0.00	201 of 405 - Sale ²⁵
	5.000	8.20	12/15/20	11.20	3.00 W	0.00	202 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.48	1.20 W	0.00	203 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITORY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	5.000	8.20	12/15/20	11.20	3.00 W	0.00	204 of 405 - Sale ²⁵
	27.000	44.27	12/15/20	60.50	16.23 W	0.00	205 of 405 - Sale ²⁵
	23.000	37.72	12/15/20	51.54	13.82 W	0.00	206 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.48	1.20 W	0.00	207 of 405 - Sale ²⁵
	6.000	9.84	12/15/20	13.44	3.60 W	0.00	208 of 405 - Sale ²⁵
	3.000	4.92	12/15/20	6.72	1.80 W	0.00	209 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	22.40	6.00 W	0.00	210 of 405 - Sale ²⁵
	11.000	18.04	12/15/20	24.76	6.72 W	0.00	211 of 405 - Sale ²⁵
	15.000	24.59	12/15/20	33.61	9.02 W	0.00	212 of 405 - Sale ²⁵
	28.000	45.92	12/15/20	63.01	17.09 W	0.00	213 of 405 - Sale ²⁵
	36.000	59.04	12/15/20	80.67	21.63 W	0.00	214 of 405 - Sale ²⁵
	59.000	96.75	12/15/20	132.80	36.05 W	0.00	215 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	20.50	4.10 W	0.00	216 of 405 - Sale ²⁵
	5.000	8.20	12/15/20	10.25	2.05 W	0.00	217 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.10	0.82 W	0.00	218 of 405 - Sale ²⁵
	13.000	21.32	12/15/20	26.66	5.34 W	0.00	219 of 405 - Sale ²⁵
	5.000	8.20	12/15/20	10.25	2.05 W	0.00	220 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.10	0.82 W	0.00	221 of 405 - Sale ²⁵
	4.000	6.56	12/15/20	8.20	1.64 W	0.00	222 of 405 - Sale ²⁵
	3.000	4.92	12/15/20	6.15	1.23 W	0.00	223 of 405 - Sale ²⁵
	3.000	4.92	12/15/20	6.16	1.24 W	0.00	224 of 405 - Sale ²⁵
	5.000	8.20	12/15/20	10.25	2.05 W	0.00	225 of 405 - Sale ²⁵
	3.000	4.92	12/15/20	6.15	1.23 W	0.00	226 of 405 - Sale ²⁵
	10.000	16.40	12/15/20	20.50	4.10 W	0.00	227 of 405 - Sale ²⁵
	15.000	24.60	12/15/20	30.76	6.16 W	0.00	228 of 405 - Sale ²⁵
	17.000	27.88	12/15/20	34.86	6.98 W	0.00	229 of 405 - Sale ²⁵
	3.000	4.92	12/15/20	6.15	1.23 W	0.00	230 of 405 - Sale ²⁵
	15.000	24.60	12/15/20	26.71	2.11 W	0.00	231 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	3.56	0.28 W	0.00	232 of 405 - Sale ²⁵
	23.000	37.72	12/15/20	40.95	3.23 W	0.00	233 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	4.70	1.42 W	0.00	234 of 405 - Sale ²⁵
	5.000	8.20	12/15/20	11.75	3.55 W	0.00	235 of 405 - Sale ²⁵
	20.000	32.80	12/15/20	47.01	14.21 W	0.00	236 of 405 - Sale ²⁵
	54.000	88.55	12/15/20	126.95	38.40 W	0.00	237 of 405 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
19.000		31.16	12/15/20	44.67	13.51 W	0.00	238 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.35	0.71 W	0.00	239 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.35	0.71 W	0.00	240 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.35	0.71 W	0.00	241 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.70	1.42 W	0.00	242 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.70	1.42 W	0.00	243 of 405 - Sale ²⁵
3.000		4.92	12/15/20	7.05	2.13 W	0.00	244 of 405 - Sale ²⁵
4.000		6.56	12/15/20	9.40	2.84 W	0.00	245 of 405 - Sale ²⁵
4.000		6.56	12/15/20	9.40	2.84 W	0.00	246 of 405 - Sale ²⁵
6.000		9.84	12/15/20	14.11	4.27 W	0.00	247 of 405 - Sale ²⁵
7.000		11.48	12/15/20	16.45	4.97 W	0.00	248 of 405 - Sale ²⁵
7.000		11.48	12/15/20	16.45	4.97 W	0.00	249 of 405 - Sale ²⁵
9.000		14.76	12/15/20	21.15	6.39 W	0.00	250 of 405 - Sale ²⁵
9.000		14.76	12/15/20	21.15	6.39 W	0.00	251 of 405 - Sale ²⁵
10.000		16.40	12/15/20	23.50	7.10 W	0.00	252 of 405 - Sale ²⁵
11.000		18.04	12/15/20	25.86	7.82 W	0.00	253 of 405 - Sale ²⁵
13.000		21.32	12/15/20	30.56	9.24 W	0.00	254 of 405 - Sale ²⁵
23.000		37.72	12/15/20	54.08	16.36 W	0.00	255 of 405 - Sale ²⁵
60.000		98.39	12/15/20	141.08	42.69 W	0.00	256 of 405 - Sale ²⁵
27.000		44.28	12/15/20	63.48	19.20 W	0.00	257 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.23	0.59 W	0.00	258 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.23	0.59 W	0.00	259 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.46	1.18 W	0.00	260 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.46	1.18 W	0.00	261 of 405 - Sale ²⁵
3.000		4.92	12/15/20	6.69	1.77 W	0.00	262 of 405 - Sale ²⁵
3.000		4.92	12/15/20	6.69	1.77 W	0.00	263 of 405 - Sale ²⁵
3.000		4.92	12/15/20	6.69	1.77 W	0.00	264 of 405 - Sale ²⁵
4.000		6.56	12/15/20	8.92	2.36 W	0.00	265 of 405 - Sale ²⁵
5.000		8.20	12/15/20	11.15	2.95 W	0.00	266 of 405 - Sale ²⁵
5.000		8.20	12/15/20	11.15	2.95 W	0.00	267 of 405 - Sale ²⁵
6.000		9.84	12/15/20	13.38	3.54 W	0.00	268 of 405 - Sale ²⁵
7.000		11.48	12/15/20	15.61	4.13 W	0.00	269 of 405 - Sale ²⁵
10.000		16.40	12/15/20	22.30	5.90 W	0.00	270 of 405 - Sale ²⁵
10.000		16.40	12/15/20	22.31	5.91 W	0.00	271 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
10.000		16.40	12/15/20	22.30	5.90 W	0.00	272 of 405 - Sale ²⁵
10.000		16.40	12/15/20	22.30	5.90 W	0.00	273 of 405 - Sale ²⁵
10.000		16.40	12/15/20	22.30	5.90 W	0.00	274 of 405 - Sale ²⁵
23.000		37.72	12/15/20	51.31	13.59 W	0.00	275 of 405 - Sale ²⁵
24.000		39.35	12/15/20	53.53	14.18 W	0.00	276 of 405 - Sale ²⁵
24.000		39.35	12/15/20	53.57	14.22 W	0.00	277 of 405 - Sale ²⁵
27.000		44.28	12/15/20	60.24	15.96 W	0.00	278 of 405 - Sale ²⁵
28.000		45.91	12/15/20	62.47	16.56 W	0.00	279 of 405 - Sale ²⁵
33.000		54.11	12/15/20	73.63	19.52 W	0.00	280 of 405 - Sale ²⁵
19.000		31.15	12/15/20	42.39	11.24 W	0.00	281 of 405 - Sale ²⁵
67.000		109.86	12/15/20	149.49	39.63 W	0.00	282 of 405 - Sale ²⁵
75.000		122.98	12/15/20	168.07	45.09 W	0.00	283 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.46	1.18 W	0.00	284 of 405 - Sale ²⁵
6.000		9.84	12/15/20	13.38	3.54 W	0.00	285 of 405 - Sale ²⁵
10.000		16.40	12/15/20	22.30	5.90 W	0.00	286 of 405 - Sale ²⁵
11.000		18.03	12/15/20	24.65	6.62 W	0.00	287 of 405 - Sale ²⁵
9.000		14.76	12/15/20	20.16	5.40 W	0.00	288 of 405 - Sale ²⁵
17.000		27.88	12/15/20	37.92	10.04 W	0.00	289 of 405 - Sale ²⁵
19.000		31.16	12/15/20	42.57	11.41 W	0.00	290 of 405 - Sale ²⁵
1.000		1.64	12/15/20	2.24	0.60 W	0.00	291 of 405 - Sale ²⁵
15.000		24.60	12/15/20	33.47	8.87 W	0.00	292 of 405 - Sale ²⁵
58.000		95.11	12/15/20	129.98	34.87 W	0.00	293 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.08	0.80 W	0.00	294 of 405 - Sale ²⁵
2.000		3.28	12/15/20	4.08	0.80 W	0.00	295 of 405 - Sale ²⁵
3.000		4.92	12/15/20	6.12	1.20 W	0.00	296 of 405 - Sale ²⁵
3.000		4.92	12/15/20	6.13	1.21 W	0.00	297 of 405 - Sale ²⁵
3.000		4.92	12/15/20	6.12	1.20 W	0.00	298 of 405 - Sale ²⁵
3.000		4.92	12/15/20	6.12	1.20 W	0.00	299 of 405 - Sale ²⁵
4.000		6.56	12/15/20	8.16	1.60 W	0.00	300 of 405 - Sale ²⁵
5.000		8.20	12/15/20	10.20	2.00 W	0.00	301 of 405 - Sale ²⁵
5.000		8.20	12/15/20	10.20	2.00 W	0.00	302 of 405 - Sale ²⁵
5.000		8.20	12/15/20	10.20	2.00 W	0.00	303 of 405 - Sale ²⁵
10.000		16.40	12/15/20	20.40	4.00 W	0.00	304 of 405 - Sale ²⁵
10.000		16.40	12/15/20	20.40	4.00 W	0.00	305 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	13.000	21.32	12/15/20	26.53	5.21 W	0.00	306 of 405 - Sale ²⁵
	15.000	24.60	12/15/20	30.61	6.01 W	0.00	307 of 405 - Sale ²⁵
	17.000	27.88	12/15/20	34.69	6.81 W	0.00	308 of 405 - Sale ²⁵
	2.000	3.28	12/15/20	3.54	0.26 W	0.00	309 of 405 - Sale ²⁵
	15.000	24.60	12/15/20	26.56	1.96 W	0.00	310 of 405 - Sale ²⁵
	23.000	37.72	12/15/20	40.72	3.00 W	0.00	311 of 405 - Sale ²⁵
	55.000	89.64	12/15/20	89.65	0.01 W	0.00	312 of 405 - Sale ²⁵
	50.000	81.49	12/15/20	81.50	0.01 W	0.00	313 of 405 - Sale ²⁵
	1.000	1.63	12/15/20	2.34	0.71 W	0.00	314 of 405 - Sale ²⁵
	1.000	1.63	12/15/20	2.34	0.71 W	0.00	315 of 405 - Sale ²⁵
	1.000	1.63	12/15/20	2.34	0.71 W	0.00	316 of 405 - Sale ²⁵
	2.000	3.26	12/15/20	4.68	1.42 W	0.00	317 of 405 - Sale ²⁵
	2.000	3.26	12/15/20	4.68	1.42 W	0.00	318 of 405 - Sale ²⁵
	2.000	3.26	12/15/20	4.68	1.42 W	0.00	319 of 405 - Sale ²⁵
	3.000	4.89	12/15/20	7.02	2.13 W	0.00	320 of 405 - Sale ²⁵
	4.000	6.52	12/15/20	9.36	2.84 W	0.00	321 of 405 - Sale ²⁵
	4.000	6.52	12/15/20	9.36	2.84 W	0.00	322 of 405 - Sale ²⁵
	5.000	8.15	12/15/20	11.70	3.55 W	0.00	323 of 405 - Sale ²⁵
	6.000	9.78	12/15/20	14.05	4.27 W	0.00	324 of 405 - Sale ²⁵
	7.000	11.41	12/15/20	16.38	4.97 W	0.00	325 of 405 - Sale ²⁵
	7.000	11.41	12/15/20	16.38	4.97 W	0.00	326 of 405 - Sale ²⁵
	9.000	14.67	12/15/20	21.06	6.39 W	0.00	327 of 405 - Sale ²⁵
	9.000	14.67	12/15/20	21.06	6.39 W	0.00	328 of 405 - Sale ²⁵
	10.000	16.30	12/15/20	23.40	7.10 W	0.00	329 of 405 - Sale ²⁵
	11.000	17.93	12/15/20	25.75	7.82 W	0.00	330 of 405 - Sale ²⁵
	13.000	21.19	12/15/20	30.43	9.24 W	0.00	331 of 405 - Sale ²⁵
	19.000	30.97	12/15/20	44.48	13.51 W	0.00	332 of 405 - Sale ²⁵
	20.000	32.60	12/15/20	46.81	14.21 W	0.00	333 of 405 - Sale ²⁵
	23.000	37.49	12/15/20	53.85	16.36 W	0.00	334 of 405 - Sale ²⁵
	27.000	44.01	12/15/20	63.21	19.20 W	0.00	335 of 405 - Sale ²⁵
	54.000	88.01	12/15/20	126.42	38.41 W	0.00	336 of 405 - Sale ²⁵
	60.000	97.78	12/15/20	140.49	42.71 W	0.00	337 of 405 - Sale ²⁵
	3.000	4.89	12/15/20	6.66	1.77 W	0.00	338 of 405 - Sale ²⁵
	3.000	4.89	12/15/20	6.66	1.77 W	0.00	339 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITORY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	7.000	11.41	12/15/20	15.54	4.13 W	0.00	340 of 405 - Sale ²⁵
	10.000	16.30	12/15/20	22.20	5.90 W	0.00	341 of 405 - Sale ²⁵
	10.000	16.30	12/15/20	22.21	5.91 W	0.00	342 of 405 - Sale ²⁵
	10.000	16.30	12/15/20	22.20	5.90 W	0.00	343 of 405 - Sale ²⁵
	10.000	16.29	12/15/20	22.20	5.91 W	0.00	344 of 405 - Sale ²⁵
	22.000	35.85	12/15/20	48.87	13.02 W	0.00	345 of 405 - Sale ²⁵
	75.000	122.23	12/15/20	167.34	45.11 W	0.00	346 of 405 - Sale ²⁵
	1.000	1.63	12/15/20	2.22	0.59 W	0.00	347 of 405 - Sale ²⁵
	15.000	24.45	12/15/20	33.32	8.87 W	0.00	348 of 405 - Sale ²⁵
	45.000	73.34	12/15/20	99.97	26.63 W	0.00	349 of 405 - Sale ²⁵
	10.000	16.30	12/15/20	22.22	5.92 W	0.00	350 of 405 - Sale ²⁵
	1.000	1.63	12/15/20	2.22	0.59 W	0.00	351 of 405 - Sale ²⁵
	1.000	1.63	12/15/20	2.23	0.60 W	0.00	352 of 405 - Sale ²⁵
	2.000	3.26	12/15/20	4.44	1.18 W	0.00	353 of 405 - Sale ²⁵
	2.000	3.26	12/15/20	4.44	1.18 W	0.00	354 of 405 - Sale ²⁵
	2.000	3.26	12/15/20	4.44	1.18 W	0.00	355 of 405 - Sale ²⁵
	3.000	4.89	12/15/20	6.66	1.77 W	0.00	356 of 405 - Sale ²⁵
	4.000	6.52	12/15/20	8.88	2.36 W	0.00	357 of 405 - Sale ²⁵
	5.000	8.15	12/15/20	11.10	2.95 W	0.00	358 of 405 - Sale ²⁵
	5.000	8.15	12/15/20	11.10	2.95 W	0.00	359 of 405 - Sale ²⁵
	6.000	9.78	12/15/20	13.32	3.54 W	0.00	360 of 405 - Sale ²⁵
	6.000	9.78	12/15/20	13.32	3.54 W	0.00	361 of 405 - Sale ²⁵
	9.000	14.67	12/15/20	20.07	5.40 W	0.00	362 of 405 - Sale ²⁵
	10.000	16.30	12/15/20	22.20	5.90 W	0.00	363 of 405 - Sale ²⁵
	10.000	16.30	12/15/20	22.20	5.90 W	0.00	364 of 405 - Sale ²⁵
	11.000	17.93	12/15/20	24.55	6.62 W	0.00	365 of 405 - Sale ²⁵
	17.000	27.71	12/15/20	37.75	10.04 W	0.00	366 of 405 - Sale ²⁵
	19.000	30.97	12/15/20	42.21	11.24 W	0.00	367 of 405 - Sale ²⁵
	19.000	30.96	12/15/20	42.38	11.42 W	0.00	368 of 405 - Sale ²⁵
	23.000	37.49	12/15/20	51.08	13.59 W	0.00	369 of 405 - Sale ²⁵
	24.000	39.12	12/15/20	53.30	14.18 W	0.00	370 of 405 - Sale ²⁵
	24.000	39.12	12/15/20	53.34	14.22 W	0.00	371 of 405 - Sale ²⁵
	27.000	44.01	12/15/20	59.97	15.96 W	0.00	372 of 405 - Sale ²⁵
	3.000	4.89	12/15/20	6.66	1.77 W	0.00	373 of 405 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITORY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	33.000	53.78	12/15/20	73.31	19.53 W	0.00	374 of 405 - Sale ²⁵
	34.000	55.40	12/15/20	75.86	20.46 W	0.00	375 of 405 - Sale ²⁵
	6.000	9.78	12/15/20	13.33	3.55 W	0.00	376 of 405 - Sale ²⁵
	24.000	39.12	12/15/20	53.55	14.43 W	0.00	377 of 405 - Sale ²⁵
	9.000	14.67	12/15/20	19.99	5.32 W	0.00	378 of 405 - Sale ²⁵
	2.000	3.26	12/15/20	4.06	0.80 W	0.00	379 of 405 - Sale ²⁵
	2.000	3.26	12/15/20	4.06	0.80 W	0.00	380 of 405 - Sale ²⁵
	3.000	4.89	12/15/20	6.09	1.20 W	0.00	381 of 405 - Sale ²⁵
	3.000	4.89	12/15/20	6.10	1.21 W	0.00	382 of 405 - Sale ²⁵
	3.000	4.89	12/15/20	6.09	1.20 W	0.00	383 of 405 - Sale ²⁵
	4.000	6.52	12/15/20	8.12	1.60 W	0.00	384 of 405 - Sale ²⁵
	5.000	8.15	12/15/20	10.15	2.00 W	0.00	385 of 405 - Sale ²⁵
	5.000	8.15	12/15/20	10.15	2.00 W	0.00	386 of 405 - Sale ²⁵
	10.000	16.30	12/15/20	20.30	4.00 W	0.00	387 of 405 - Sale ²⁵
	10.000	16.29	12/15/20	20.30	4.01 W	0.00	388 of 405 - Sale ²⁵
	13.000	21.19	12/15/20	26.40	5.21 W	0.00	389 of 405 - Sale ²⁵
	1.000	1.63	12/15/20	2.03	0.40 W	0.00	390 of 405 - Sale ²⁵
	3.000	4.89	12/15/20	6.09	1.20 W	0.00	391 of 405 - Sale ²⁵
	5.000	8.15	12/15/20	10.15	2.00 W	0.00	392 of 405 - Sale ²⁵
	14.000	22.82	12/15/20	28.43	5.61 W	0.00	393 of 405 - Sale ²⁵
	17.000	27.71	12/15/20	34.52	6.81 W	0.00	394 of 405 - Sale ²⁵
	1.000	1.63	12/15/20	1.76	0.13 W	0.00	395 of 405 - Sale ²⁵
	2.000	3.26	12/15/20	3.52	0.26 W	0.00	396 of 405 - Sale ²⁵
	14.000	22.82	12/15/20	24.65	1.83 W	0.00	397 of 405 - Sale ²⁵
	23.000	37.49	12/15/20	40.49	3.00 W	0.00	398 of 405 - Sale ²⁵
	50.000	81.50	12/15/20	81.51	0.01 W	0.00	399 of 405 - Sale ²⁵
	55.000	89.64	12/15/20	89.66	0.02 W	0.00	400 of 405 - Sale ²⁵
	56.000	91.27	12/15/20	91.28	0.01 W	0.00	401 of 405 - Sale ²⁵
	100.000	162.99	12/15/20	163.00	0.01 W	0.00	402 of 405 - Sale ²⁵
	100.000	162.99	12/15/20	163.00	0.01 W	0.00	403 of 405 - Sale ²⁵
	250.000	407.47	12/15/20	407.50	0.03 W	0.00	404 of 405 - Sale ²⁵
	112.000	182.55	12/15/20	182.56	0.01 W	0.00	405 of 405 - Sale ²⁵
12/15/20	8,913.000	14,567.20	Various	19,443.41	4,876.21 W	0.00	Total of 405 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
28 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	13.000	21.19	12/15/20	21.19	...	0.00	1 of 28 - Sale ²⁵
	15.000	24.45	12/15/20	24.45	...	0.00	2 of 28 - Sale ²⁵
	8.000	13.04	12/16/20	12.96	...	0.08	3 of 28 - Sale ²⁵
	10.000	16.30	12/16/20	16.20	...	0.10	4 of 28 - Sale ²⁵
	14.000	22.82	12/16/20	22.68	...	0.14	5 of 28 - Sale ²⁵
	17.000	27.71	12/16/20	27.55	...	0.16	6 of 28 - Sale ²⁵
	23.000	37.49	12/16/20	37.26	...	0.23	7 of 28 - Sale ²⁵
	25.000	40.74	12/16/20	40.51	...	0.23	8 of 28 - Sale ²⁵
	30.000	48.90	12/16/20	48.60	...	0.30	9 of 28 - Sale ²⁵
	31.000	50.53	12/16/20	50.23	...	0.30	10 of 28 - Sale ²⁵
	37.000	60.31	12/16/20	59.95	...	0.36	11 of 28 - Sale ²⁵
	39.000	63.56	12/16/20	63.18	...	0.38	12 of 28 - Sale ²⁵
	49.000	79.86	12/16/20	79.39	...	0.47	13 of 28 - Sale ²⁵
	49.000	79.86	12/16/20	79.39	...	0.47	14 of 28 - Sale ²⁵
	50.000	81.49	12/16/20	81.01	...	0.48	15 of 28 - Sale ²⁵
	55.000	89.64	12/16/20	89.12	...	0.52	16 of 28 - Sale ²⁵
	56.000	91.27	12/16/20	90.73	...	0.54	17 of 28 - Sale ²⁵
	65.000	105.94	12/16/20	105.31	...	0.63	18 of 28 - Sale ²⁵
	79.000	128.76	12/16/20	127.99	...	0.77	19 of 28 - Sale ²⁵
	88.000	143.42	12/16/20	142.57	...	0.85	20 of 28 - Sale ²⁵
	90.000	146.69	12/16/20	145.81	...	0.88	21 of 28 - Sale ²⁵
	93.000	151.57	12/16/20	150.67	...	0.90	22 of 28 - Sale ²⁵
	101.000	164.61	12/16/20	163.64	...	0.97	23 of 28 - Sale ²⁵
	102.000	166.25	12/16/20	165.25	...	1.00	24 of 28 - Sale ²⁵
	105.000	171.14	12/16/20	170.12	...	1.02	25 of 28 - Sale ²⁵
	220.000	358.56	12/16/20	356.43	...	2.13	26 of 28 - Sale ²⁵
	334.000	544.36	12/16/20	541.14	...	3.22	27 of 28 - Sale ²⁵
	951.000	1,549.89	12/16/20	1,540.78	...	9.11	28 of 28 - Sale ²⁵
12/16/20	2,749.000	4,480.35	Various	4,454.11	...	26.24	Total of 28 transactions
252 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	2,000.000	3,259.68	12/15/20	3,260.00	0.32 W	0.00	1 of 252 - Sale ²⁵
	60.000	97.79	12/15/20	97.80	0.01 W	0.00	2 of 252 - Sale ²⁵
	108.000	176.03	12/15/20	176.04	0.01 W	0.00	3 of 252 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	54.000	88.01	12/15/20	88.02	0.01 W	0.00	4 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.65	3.50 W	0.00	5 of 252 - Sale ²⁵
	15.000	24.45	12/16/20	34.96	10.51 W	0.00	6 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.33	0.70 W	0.00	7 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.65	3.50 W	0.00	8 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.33	0.70 W	0.00	9 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.33	0.70 W	0.00	10 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.66	1.40 W	0.00	11 of 252 - Sale ²⁵
	7.000	11.41	12/16/20	16.31	4.90 W	0.00	12 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	20.97	6.30 W	0.00	13 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.66	1.40 W	0.00	14 of 252 - Sale ²⁵
	19.000	30.97	12/16/20	44.29	13.32 W	0.00	15 of 252 - Sale ²⁵
	23.000	37.48	12/16/20	53.62	16.14 W	0.00	16 of 252 - Sale ²⁵
	27.000	44.01	12/16/20	62.94	18.93 W	0.00	17 of 252 - Sale ²⁵
	54.000	88.01	12/16/20	125.89	37.88 W	0.00	18 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.66	1.40 W	0.00	19 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.65	3.50 W	0.00	20 of 252 - Sale ²⁵
	7.000	11.41	12/16/20	16.32	4.91 W	0.00	21 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	9.32	2.80 W	0.00	22 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.33	0.70 W	0.00	23 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	20.97	6.30 W	0.00	24 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.66	1.40 W	0.00	25 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.67	1.41 W	0.00	26 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.33	0.70 W	0.00	27 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.66	1.40 W	0.00	28 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.66	1.40 W	0.00	29 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.33	0.70 W	0.00	30 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.33	0.70 W	0.00	31 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.66	1.40 W	0.00	32 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.99	2.10 W	0.00	33 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.33	0.70 W	0.00	34 of 252 - Sale ²⁵
	22.000	35.86	12/16/20	51.30	15.44 W	0.00	35 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	23.32	7.02 W	0.00	36 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.33	0.70 W	0.00	37 of 252 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	4.000	6.52	12/16/20	9.32	2.80 W	0.00	38 of 252 - Sale ²⁵
	7.000	11.41	12/16/20	16.31	4.90 W	0.00	39 of 252 - Sale ²⁵
	13.000	21.19	12/16/20	30.30	9.11 W	0.00	40 of 252 - Sale ²⁵
	27.000	44.00	12/16/20	62.96	18.96 W	0.00	41 of 252 - Sale ²⁵
	34.000	55.41	12/16/20	75.53	20.12 W	0.00	42 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.63	1.74 W	0.00	43 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.63	1.74 W	0.00	44 of 252 - Sale ²⁵
	6.000	9.78	12/16/20	13.27	3.49 W	0.00	45 of 252 - Sale ²⁵
	7.000	11.41	12/16/20	15.47	4.06 W	0.00	46 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.10	5.80 W	0.00	47 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.11	5.81 W	0.00	48 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.10	5.80 W	0.00	49 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.11	5.81 W	0.00	50 of 252 - Sale ²⁵
	41.000	66.82	12/16/20	91.08	24.26 W	0.00	51 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.85	2.33 W	0.00	52 of 252 - Sale ²⁵
	16.000	26.08	12/16/20	35.39	9.31 W	0.00	53 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.42	1.16 W	0.00	54 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.21	0.58 W	0.00	55 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.12	5.82 W	0.00	56 of 252 - Sale ²⁵
	15.000	24.45	12/16/20	33.17	8.72 W	0.00	57 of 252 - Sale ²⁵
	39.000	63.56	12/16/20	86.26	22.70 W	0.00	58 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.63	1.74 W	0.00	59 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.84	2.32 W	0.00	60 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.84	2.32 W	0.00	61 of 252 - Sale ²⁵
	24.000	39.10	12/16/20	53.06	13.96 W	0.00	62 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.21	0.58 W	0.00	63 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.42	1.16 W	0.00	64 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.42	1.16 W	0.00	65 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.10	5.80 W	0.00	66 of 252 - Sale ²⁵
	15.000	24.45	12/16/20	33.19	8.74 W	0.00	67 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.21	0.58 W	0.00	68 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	19.91	5.24 W	0.00	69 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.21	0.58 W	0.00	70 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.21	0.58 W	0.00	71 of 252 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITORY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.63	12/16/20	2.21	0.58 W	0.00	72 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.06	2.91 W	0.00	73 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.06	2.91 W	0.00	74 of 252 - Sale ²⁵
	15.000	24.45	12/16/20	33.18	8.73 W	0.00	75 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.85	2.33 W	0.00	76 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.64	1.38 W	0.00	77 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.96	2.07 W	0.00	78 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.96	2.07 W	0.00	79 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	9.29	2.77 W	0.00	80 of 252 - Sale ²⁵
	5.000	8.14	12/16/20	11.05	2.91 W	0.00	81 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.11	5.81 W	0.00	82 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.63	1.74 W	0.00	83 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.11	5.81 W	0.00	84 of 252 - Sale ²⁵
	15.000	24.45	12/16/20	33.17	8.72 W	0.00	85 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.42	1.16 W	0.00	86 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.63	1.74 W	0.00	87 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.05	2.90 W	0.00	88 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	19.90	5.23 W	0.00	89 of 252 - Sale ²⁵
	19.000	30.97	12/16/20	42.02	11.05 W	0.00	90 of 252 - Sale ²⁵
	12.000	19.56	12/16/20	26.53	6.97 W	0.00	91 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.42	1.16 W	0.00	92 of 252 - Sale ²⁵
	6.000	9.78	12/16/20	13.26	3.48 W	0.00	93 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	19.98	5.31 W	0.00	94 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.10	5.80 W	0.00	95 of 252 - Sale ²⁵
	11.000	17.93	12/16/20	24.44	6.51 W	0.00	96 of 252 - Sale ²⁵
	8.000	13.04	12/16/20	17.68	4.64 W	0.00	97 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.88	2.36 W	0.00	98 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.22	0.59 W	0.00	99 of 252 - Sale ²⁵
	6.000	9.78	12/16/20	13.27	3.49 W	0.00	100 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	19.90	5.23 W	0.00	101 of 252 - Sale ²⁵
	15.000	24.45	12/16/20	33.32	8.87 W	0.00	102 of 252 - Sale ²⁵
	24.000	39.12	12/16/20	53.31	14.19 W	0.00	103 of 252 - Sale ²⁵
	34.000	55.41	12/16/20	75.54	20.13 W	0.00	104 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.02	0.39 W	0.00	105 of 252 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.26	12/16/20	4.04	0.78 W	0.00	106 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.04	0.78 W	0.00	107 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.06	1.17 W	0.00	108 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.07	1.18 W	0.00	109 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.06	1.17 W	0.00	110 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.06	1.17 W	0.00	111 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.08	1.56 W	0.00	112 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	10.10	1.95 W	0.00	113 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	10.10	1.95 W	0.00	114 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	10.10	1.95 W	0.00	115 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	20.20	3.90 W	0.00	116 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	20.21	3.91 W	0.00	117 of 252 - Sale ²⁵
	13.000	21.19	12/16/20	26.27	5.08 W	0.00	118 of 252 - Sale ²⁵
	14.000	22.82	12/16/20	28.29	5.47 W	0.00	119 of 252 - Sale ²⁵
	17.000	27.71	12/16/20	34.35	6.64 W	0.00	120 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	1.75	0.12 W	0.00	121 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	3.50	0.24 W	0.00	122 of 252 - Sale ²⁵
	14.000	22.82	12/16/20	24.51	1.69 W	0.00	123 of 252 - Sale ²⁵
	23.000	37.48	12/16/20	40.26	2.78 W	0.00	124 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	125 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	126 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	9.28	2.76 W	0.00	127 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	128 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	9.28	2.76 W	0.00	129 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	130 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.60	3.45 W	0.00	131 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.62	1.36 W	0.00	132 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.93	2.04 W	0.00	133 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.93	2.04 W	0.00	134 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	9.25	2.73 W	0.00	135 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.31	0.68 W	0.00	136 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.31	0.68 W	0.00	137 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.31	0.68 W	0.00	138 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	9.24	2.72 W	0.00	139 of 252 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	4.000	6.52	12/16/20	9.24	2.72 W	0.00	140 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	141 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	142 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	143 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	144 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	145 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	146 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	147 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	148 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.32	0.69 W	0.00	149 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.20	0.57 W	0.00	150 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.20	0.57 W	0.00	151 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.64	1.38 W	0.00	152 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.64	1.38 W	0.00	153 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.65	1.39 W	0.00	154 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.64	1.38 W	0.00	155 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.64	1.38 W	0.00	156 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.64	1.38 W	0.00	157 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.96	2.07 W	0.00	158 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	9.28	2.76 W	0.00	159 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.60	3.45 W	0.00	160 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.60	3.45 W	0.00	161 of 252 - Sale ²⁵
	7.000	11.41	12/16/20	16.24	4.83 W	0.00	162 of 252 - Sale ²⁵
	7.000	11.41	12/16/20	16.24	4.83 W	0.00	163 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	20.88	6.21 W	0.00	164 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	23.22	6.92 W	0.00	165 of 252 - Sale ²⁵
	13.000	21.19	12/16/20	30.17	8.98 W	0.00	166 of 252 - Sale ²⁵
	15.000	24.45	12/16/20	34.81	10.36 W	0.00	167 of 252 - Sale ²⁵
	19.000	30.97	12/16/20	44.10	13.13 W	0.00	168 of 252 - Sale ²⁵
	22.000	35.86	12/16/20	51.08	15.22 W	0.00	169 of 252 - Sale ²⁵
	23.000	37.49	12/16/20	53.40	15.91 W	0.00	170 of 252 - Sale ²⁵
	27.000	44.01	12/16/20	62.67	18.66 W	0.00	171 of 252 - Sale ²⁵
	27.000	44.01	12/16/20	62.70	18.69 W	0.00	172 of 252 - Sale ²⁵
	54.000	88.01	12/16/20	125.36	37.35 W	0.00	173 of 252 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.63	12/16/20	2.20	0.57 W	0.00	174 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.20	0.57 W	0.00	175 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.20	0.57 W	0.00	176 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.20	0.57 W	0.00	177 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.21	0.58 W	0.00	178 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.40	1.14 W	0.00	179 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.40	1.14 W	0.00	180 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.40	1.14 W	0.00	181 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.40	1.14 W	0.00	182 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	4.40	1.14 W	0.00	183 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.60	1.71 W	0.00	184 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.60	1.71 W	0.00	185 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.60	1.71 W	0.00	186 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.60	1.71 W	0.00	187 of 252 - Sale ²⁵
	3.000	4.89	12/16/20	6.60	1.71 W	0.00	188 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.81	2.29 W	0.00	189 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.80	2.28 W	0.00	190 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.80	2.28 W	0.00	191 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.81	2.29 W	0.00	192 of 252 - Sale ²⁵
	4.000	6.52	12/16/20	8.84	2.32 W	0.00	193 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.01	2.86 W	0.00	194 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.01	2.86 W	0.00	195 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.01	2.86 W	0.00	196 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.00	2.85 W	0.00	197 of 252 - Sale ²⁵
	6.000	9.78	12/16/20	13.21	3.43 W	0.00	198 of 252 - Sale ²⁵
	6.000	9.78	12/16/20	13.20	3.42 W	0.00	199 of 252 - Sale ²⁵
	6.000	9.78	12/16/20	13.21	3.43 W	0.00	200 of 252 - Sale ²⁵
	7.000	11.41	12/16/20	15.40	3.99 W	0.00	201 of 252 - Sale ²⁵
	8.000	13.04	12/16/20	17.60	4.56 W	0.00	202 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	19.82	5.15 W	0.00	203 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	19.81	5.14 W	0.00	204 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	19.89	5.22 W	0.00	205 of 252 - Sale ²⁵
	9.000	14.67	12/16/20	19.81	5.14 W	0.00	206 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	22.00	5.70 W	0.00	207 of 252 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
10.000		16.30	12/16/20	22.01	5.71 W	0.00	208 of 252 - Sale ²⁵
10.000		16.30	12/16/20	22.00	5.70 W	0.00	209 of 252 - Sale ²⁵
10.000		16.30	12/16/20	22.01	5.71 W	0.00	210 of 252 - Sale ²⁵
10.000		16.30	12/16/20	22.02	5.72 W	0.00	211 of 252 - Sale ²⁵
10.000		16.30	12/16/20	22.00	5.70 W	0.00	212 of 252 - Sale ²⁵
10.000		16.30	12/16/20	22.01	5.71 W	0.00	213 of 252 - Sale ²⁵
10.000		16.30	12/16/20	22.01	5.71 W	0.00	214 of 252 - Sale ²⁵
10.000		16.30	12/16/20	22.00	5.70 W	0.00	215 of 252 - Sale ²⁵
11.000		17.93	12/16/20	24.33	6.40 W	0.00	216 of 252 - Sale ²⁵
12.000		19.56	12/16/20	26.41	6.85 W	0.00	217 of 252 - Sale ²⁵
15.000		24.45	12/16/20	33.02	8.57 W	0.00	218 of 252 - Sale ²⁵
15.000		24.45	12/16/20	33.04	8.59 W	0.00	219 of 252 - Sale ²⁵
15.000		24.45	12/16/20	33.03	8.58 W	0.00	220 of 252 - Sale ²⁵
15.000		24.45	12/16/20	33.02	8.57 W	0.00	221 of 252 - Sale ²⁵
15.000		24.45	12/16/20	33.17	8.72 W	0.00	222 of 252 - Sale ²⁵
16.000		26.08	12/16/20	35.23	9.15 W	0.00	223 of 252 - Sale ²⁵
19.000		30.97	12/16/20	41.83	10.86 W	0.00	224 of 252 - Sale ²⁵
24.000		39.12	12/16/20	52.84	13.72 W	0.00	225 of 252 - Sale ²⁵
24.000		39.11	12/16/20	53.07	13.96 W	0.00	226 of 252 - Sale ²⁵
34.000		55.41	12/16/20	75.20	19.79 W	0.00	227 of 252 - Sale ²⁵
34.000		55.41	12/16/20	75.21	19.80 W	0.00	228 of 252 - Sale ²⁵
39.000		63.56	12/16/20	85.88	22.32 W	0.00	229 of 252 - Sale ²⁵
41.000		66.82	12/16/20	90.68	23.86 W	0.00	230 of 252 - Sale ²⁵
1.000		1.63	12/16/20	2.01	0.38 W	0.00	231 of 252 - Sale ²⁵
2.000		3.26	12/16/20	4.02	0.76 W	0.00	232 of 252 - Sale ²⁵
2.000		3.26	12/16/20	4.02	0.76 W	0.00	233 of 252 - Sale ²⁵
3.000		4.89	12/16/20	6.03	1.14 W	0.00	234 of 252 - Sale ²⁵
3.000		4.89	12/16/20	6.04	1.15 W	0.00	235 of 252 - Sale ²⁵
3.000		4.89	12/16/20	6.03	1.14 W	0.00	236 of 252 - Sale ²⁵
3.000		4.89	12/16/20	6.03	1.14 W	0.00	237 of 252 - Sale ²⁵
4.000		6.52	12/16/20	8.04	1.52 W	0.00	238 of 252 - Sale ²⁵
5.000		8.15	12/16/20	10.05	1.90 W	0.00	239 of 252 - Sale ²⁵
5.000		8.15	12/16/20	10.05	1.90 W	0.00	240 of 252 - Sale ²⁵
5.000		8.15	12/16/20	10.05	1.90 W	0.00	241 of 252 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	10.000	16.30	12/16/20	20.10	3.80 W	0.00	242 of 252 - Sale ²⁵
	10.000	16.30	12/16/20	20.11	3.81 W	0.00	243 of 252 - Sale ²⁵
	13.000	21.19	12/16/20	26.14	4.95 W	0.00	244 of 252 - Sale ²⁵
	14.000	22.82	12/16/20	28.15	5.33 W	0.00	245 of 252 - Sale ²⁵
	17.000	27.71	12/16/20	34.18	6.47 W	0.00	246 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	1.74	0.11 W	0.00	247 of 252 - Sale ²⁵
	2.000	3.26	12/16/20	3.48	0.22 W	0.00	248 of 252 - Sale ²⁵
	14.000	22.82	12/16/20	24.37	1.55 W	0.00	249 of 252 - Sale ²⁵
	23.000	37.48	12/16/20	40.04	2.56 W	0.00	250 of 252 - Sale ²⁵
	1.000	1.63	12/16/20	2.31	0.68 W	0.00	251 of 252 - Sale ²⁵
	5.000	8.15	12/16/20	11.55	3.40 W	0.00	252 of 252 - Sale ²⁵
12/16/20	4,251.000	6,928.60	Various	8,101.71	1,173.11 W	0.00	Total of 252 transactions
	4 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	1.000	1.60	12/17/20	1.60	...	0.00	1 of 4 - Sale ²⁵
	21.000	33.59	12/17/20	33.39	...	0.20	2 of 4 - Sale ²⁵
	23.000	36.80	12/17/20	36.57	...	0.23	3 of 4 - Sale ²⁵
	237.000	379.17	12/17/20	376.83	...	2.34	4 of 4 - Sale ²⁵
12/17/20	282.000	451.16	Various	448.39	...	2.77	Total of 4 transactions
	524 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	250.000	399.96	12/16/20	405.00	5.04 W	0.00	1 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.60	1.40 W	0.00	2 of 524 - Sale ²⁵
	3.000	4.80	12/16/20	6.90	2.10 W	0.00	3 of 524 - Sale ²⁵
	3.000	4.80	12/16/20	6.90	2.10 W	0.00	4 of 524 - Sale ²⁵
	4.000	6.40	12/16/20	9.21	2.81 W	0.00	5 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.30	0.70 W	0.00	6 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.30	0.70 W	0.00	7 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.30	0.70 W	0.00	8 of 524 - Sale ²⁵
	4.000	6.40	12/16/20	9.20	2.80 W	0.00	9 of 524 - Sale ²⁵
	4.000	6.40	12/16/20	9.20	2.80 W	0.00	10 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.30	0.70 W	0.00	11 of 524 - Sale ²⁵
	5.000	8.00	12/16/20	11.50	3.50 W	0.00	12 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.31	0.71 W	0.00	13 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.31	0.71 W	0.00	14 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.31	0.71 W	0.00	15 of 524 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.60	12/16/20	2.31	0.71 W	0.00	16 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.31	0.71 W	0.00	17 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.31	0.71 W	0.00	18 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.31	0.71 W	0.00	19 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.31	0.71 W	0.00	20 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.31	0.71 W	0.00	21 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.19	0.59 W	0.00	22 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.19	0.59 W	0.00	23 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.62	1.42 W	0.00	24 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.62	1.42 W	0.00	25 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.63	1.43 W	0.00	26 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.62	1.42 W	0.00	27 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.62	1.42 W	0.00	28 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.62	1.42 W	0.00	29 of 524 - Sale ²⁵
	3.000	4.80	12/16/20	6.93	2.13 W	0.00	30 of 524 - Sale ²⁵
	4.000	6.40	12/16/20	9.24	2.84 W	0.00	31 of 524 - Sale ²⁵
	5.000	8.00	12/16/20	11.55	3.55 W	0.00	32 of 524 - Sale ²⁵
	5.000	8.00	12/16/20	11.55	3.55 W	0.00	33 of 524 - Sale ²⁵
	7.000	11.20	12/16/20	16.17	4.97 W	0.00	34 of 524 - Sale ²⁵
	7.000	11.20	12/16/20	16.17	4.97 W	0.00	35 of 524 - Sale ²⁵
	9.000	14.40	12/16/20	20.79	6.39 W	0.00	36 of 524 - Sale ²⁵
	10.000	16.00	12/16/20	23.12	7.12 W	0.00	37 of 524 - Sale ²⁵
	13.000	20.80	12/16/20	30.04	9.24 W	0.00	38 of 524 - Sale ²⁵
	15.000	24.00	12/16/20	34.66	10.66 W	0.00	39 of 524 - Sale ²⁵
	19.000	30.40	12/16/20	43.91	13.51 W	0.00	40 of 524 - Sale ²⁵
	22.000	35.20	12/16/20	50.86	15.66 W	0.00	41 of 524 - Sale ²⁵
	23.000	36.80	12/16/20	53.17	16.37 W	0.00	42 of 524 - Sale ²⁵
	27.000	43.20	12/16/20	62.40	19.20 W	0.00	43 of 524 - Sale ²⁵
	27.000	43.20	12/16/20	62.43	19.23 W	0.00	44 of 524 - Sale ²⁵
	54.000	86.39	12/16/20	124.83	38.44 W	0.00	45 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.19	0.59 W	0.00	46 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.19	0.59 W	0.00	47 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.19	0.59 W	0.00	48 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	2.19	0.59 W	0.00	49 of 524 - Sale ²⁵

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.60	12/16/20	2.20	0.60 W	0.00	50 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.38	1.18 W	0.00	51 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.38	1.18 W	0.00	52 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.38	1.18 W	0.00	53 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.38	1.18 W	0.00	54 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	4.38	1.18 W	0.00	55 of 524 - Sale ²⁵
	3.000	4.80	12/16/20	6.57	1.77 W	0.00	56 of 524 - Sale ²⁵
	3.000	4.80	12/16/20	6.57	1.77 W	0.00	57 of 524 - Sale ²⁵
	3.000	4.80	12/16/20	6.57	1.77 W	0.00	58 of 524 - Sale ²⁵
	3.000	4.80	12/16/20	6.57	1.77 W	0.00	59 of 524 - Sale ²⁵
	3.000	4.80	12/16/20	6.57	1.77 W	0.00	60 of 524 - Sale ²⁵
	4.000	6.40	12/16/20	8.77	2.37 W	0.00	61 of 524 - Sale ²⁵
	4.000	6.40	12/16/20	8.76	2.36 W	0.00	62 of 524 - Sale ²⁵
	4.000	6.40	12/16/20	8.76	2.36 W	0.00	63 of 524 - Sale ²⁵
	4.000	6.40	12/16/20	8.77	2.37 W	0.00	64 of 524 - Sale ²⁵
	4.000	6.40	12/16/20	8.80	2.40 W	0.00	65 of 524 - Sale ²⁵
	5.000	8.00	12/16/20	10.96	2.96 W	0.00	66 of 524 - Sale ²⁵
	5.000	8.00	12/16/20	10.96	2.96 W	0.00	67 of 524 - Sale ²⁵
	5.000	8.00	12/16/20	10.96	2.96 W	0.00	68 of 524 - Sale ²⁵
	5.000	8.00	12/16/20	10.95	2.95 W	0.00	69 of 524 - Sale ²⁵
	6.000	9.60	12/16/20	13.15	3.55 W	0.00	70 of 524 - Sale ²⁵
	6.000	9.60	12/16/20	13.14	3.54 W	0.00	71 of 524 - Sale ²⁵
	6.000	9.60	12/16/20	13.15	3.55 W	0.00	72 of 524 - Sale ²⁵
	7.000	11.20	12/16/20	15.33	4.13 W	0.00	73 of 524 - Sale ²⁵
	8.000	12.80	12/16/20	17.52	4.72 W	0.00	74 of 524 - Sale ²⁵
	9.000	14.40	12/16/20	19.73	5.33 W	0.00	75 of 524 - Sale ²⁵
	9.000	14.40	12/16/20	19.72	5.32 W	0.00	76 of 524 - Sale ²⁵
	9.000	14.40	12/16/20	19.80	5.40 W	0.00	77 of 524 - Sale ²⁵
	9.000	14.40	12/16/20	19.72	5.32 W	0.00	78 of 524 - Sale ²⁵
	10.000	16.00	12/16/20	21.90	5.90 W	0.00	79 of 524 - Sale ²⁵
	10.000	16.00	12/16/20	21.91	5.91 W	0.00	80 of 524 - Sale ²⁵
	10.000	16.00	12/16/20	21.90	5.90 W	0.00	81 of 524 - Sale ²⁵
	10.000	16.00	12/16/20	21.91	5.91 W	0.00	82 of 524 - Sale ²⁵
	10.000	16.00	12/16/20	21.92	5.92 W	0.00	83 of 524 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
10.000		16.00	12/16/20	21.90	5.90 W	0.00	84 of 524 - Sale ²⁵
10.000		16.00	12/16/20	21.91	5.91 W	0.00	85 of 524 - Sale ²⁵
10.000		16.00	12/16/20	21.91	5.91 W	0.00	86 of 524 - Sale ²⁵
10.000		16.00	12/16/20	21.90	5.90 W	0.00	87 of 524 - Sale ²⁵
11.000		17.60	12/16/20	24.22	6.62 W	0.00	88 of 524 - Sale ²⁵
12.000		19.20	12/16/20	26.29	7.09 W	0.00	89 of 524 - Sale ²⁵
15.000		24.00	12/16/20	32.87	8.87 W	0.00	90 of 524 - Sale ²⁵
15.000		24.00	12/16/20	32.89	8.89 W	0.00	91 of 524 - Sale ²⁵
15.000		24.00	12/16/20	32.88	8.88 W	0.00	92 of 524 - Sale ²⁵
15.000		24.00	12/16/20	32.87	8.87 W	0.00	93 of 524 - Sale ²⁵
15.000		24.00	12/16/20	33.02	9.02 W	0.00	94 of 524 - Sale ²⁵
16.000		25.60	12/16/20	35.07	9.47 W	0.00	95 of 524 - Sale ²⁵
19.000		30.40	12/16/20	41.64	11.24 W	0.00	96 of 524 - Sale ²⁵
24.000		38.40	12/16/20	52.60	14.20 W	0.00	97 of 524 - Sale ²⁵
24.000		38.39	12/16/20	52.84	14.45 W	0.00	98 of 524 - Sale ²⁵
34.000		54.39	12/16/20	74.87	20.48 W	0.00	99 of 524 - Sale ²⁵
34.000		54.39	12/16/20	74.88	20.49 W	0.00	100 of 524 - Sale ²⁵
39.000		62.39	12/16/20	85.50	23.11 W	0.00	101 of 524 - Sale ²⁵
41.000		65.59	12/16/20	90.28	24.69 W	0.00	102 of 524 - Sale ²⁵
1.000		1.60	12/16/20	2.00	0.40 W	0.00	103 of 524 - Sale ²⁵
2.000		3.20	12/16/20	4.00	0.80 W	0.00	104 of 524 - Sale ²⁵
2.000		3.20	12/16/20	4.00	0.80 W	0.00	105 of 524 - Sale ²⁵
3.000		4.80	12/16/20	6.00	1.20 W	0.00	106 of 524 - Sale ²⁵
3.000		4.80	12/16/20	6.01	1.21 W	0.00	107 of 524 - Sale ²⁵
3.000		4.80	12/16/20	6.00	1.20 W	0.00	108 of 524 - Sale ²⁵
3.000		4.80	12/16/20	6.00	1.20 W	0.00	109 of 524 - Sale ²⁵
4.000		6.40	12/16/20	8.00	1.60 W	0.00	110 of 524 - Sale ²⁵
5.000		8.00	12/16/20	10.00	2.00 W	0.00	111 of 524 - Sale ²⁵
5.000		8.00	12/16/20	10.00	2.00 W	0.00	112 of 524 - Sale ²⁵
5.000		8.00	12/16/20	10.00	2.00 W	0.00	113 of 524 - Sale ²⁵
10.000		16.00	12/16/20	20.00	4.00 W	0.00	114 of 524 - Sale ²⁵
10.000		16.00	12/16/20	20.01	4.01 W	0.00	115 of 524 - Sale ²⁵
13.000		20.80	12/16/20	26.01	5.21 W	0.00	116 of 524 - Sale ²⁵
14.000		22.40	12/16/20	28.01	5.61 W	0.00	117 of 524 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	17.000	27.20	12/16/20	34.01	6.81 W	0.00	118 of 524 - Sale ²⁵
	1.000	1.60	12/16/20	1.73	0.13 W	0.00	119 of 524 - Sale ²⁵
	2.000	3.20	12/16/20	3.46	0.26 W	0.00	120 of 524 - Sale ²⁵
	14.000	22.40	12/16/20	24.23	1.83 W	0.00	121 of 524 - Sale ²⁵
	23.000	36.79	12/16/20	39.82	3.03 W	0.00	122 of 524 - Sale ²⁵
	1,000.000	1,599.76	12/16/20	1,620.00	20.24 W	0.00	123 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.58	1.38 W	0.00	124 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.87	2.07 W	0.00	125 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.87	2.07 W	0.00	126 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.17	2.77 W	0.00	127 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	128 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	129 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	130 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.16	2.76 W	0.00	131 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.16	2.76 W	0.00	132 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	133 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.45	3.45 W	0.00	134 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.30	0.70 W	0.00	135 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.30	0.70 W	0.00	136 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.30	0.70 W	0.00	137 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.30	0.70 W	0.00	138 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.30	0.70 W	0.00	139 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.30	0.70 W	0.00	140 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.30	0.70 W	0.00	141 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.30	0.70 W	0.00	142 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.30	0.70 W	0.00	143 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.18	0.58 W	0.00	144 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.18	0.58 W	0.00	145 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.60	1.40 W	0.00	146 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.60	1.40 W	0.00	147 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.61	1.41 W	0.00	148 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.60	1.40 W	0.00	149 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.60	1.40 W	0.00	150 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.60	1.40 W	0.00	151 of 524 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	3.000	4.80	12/17/20	6.90	2.10 W	0.00	152 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.20	2.80 W	0.00	153 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.50	3.50 W	0.00	154 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.50	3.50 W	0.00	155 of 524 - Sale ²⁵
	7.000	11.20	12/17/20	16.10	4.90 W	0.00	156 of 524 - Sale ²⁵
	7.000	11.20	12/17/20	16.10	4.90 W	0.00	157 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	20.70	6.30 W	0.00	158 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	23.02	7.02 W	0.00	159 of 524 - Sale ²⁵
	13.000	20.80	12/17/20	29.91	9.11 W	0.00	160 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	34.51	10.51 W	0.00	161 of 524 - Sale ²⁵
	19.000	30.40	12/17/20	43.72	13.32 W	0.00	162 of 524 - Sale ²⁵
	22.000	35.20	12/17/20	50.64	15.44 W	0.00	163 of 524 - Sale ²⁵
	23.000	36.80	12/17/20	52.94	16.14 W	0.00	164 of 524 - Sale ²⁵
	27.000	43.20	12/17/20	62.13	18.93 W	0.00	165 of 524 - Sale ²⁵
	27.000	43.20	12/17/20	62.16	18.96 W	0.00	166 of 524 - Sale ²⁵
	54.000	86.39	12/17/20	124.30	37.91 W	0.00	167 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.18	0.58 W	0.00	168 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.18	0.58 W	0.00	169 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.18	0.58 W	0.00	170 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.18	0.58 W	0.00	171 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.19	0.59 W	0.00	172 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.36	1.16 W	0.00	173 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.36	1.16 W	0.00	174 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.36	1.16 W	0.00	175 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.36	1.16 W	0.00	176 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.36	1.16 W	0.00	177 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.54	1.74 W	0.00	178 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.54	1.74 W	0.00	179 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.54	1.74 W	0.00	180 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.54	1.74 W	0.00	181 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.54	1.74 W	0.00	182 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.73	2.33 W	0.00	183 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.72	2.32 W	0.00	184 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.72	2.32 W	0.00	185 of 524 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	4.000	6.40	12/17/20	8.73	2.33 W	0.00	186 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.76	2.36 W	0.00	187 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	10.91	2.91 W	0.00	188 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	10.91	2.91 W	0.00	189 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	10.91	2.91 W	0.00	190 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	10.90	2.90 W	0.00	191 of 524 - Sale ²⁵
	6.000	9.60	12/17/20	13.09	3.49 W	0.00	192 of 524 - Sale ²⁵
	6.000	9.60	12/17/20	13.08	3.48 W	0.00	193 of 524 - Sale ²⁵
	6.000	9.60	12/17/20	13.09	3.49 W	0.00	194 of 524 - Sale ²⁵
	7.000	11.20	12/17/20	15.26	4.06 W	0.00	195 of 524 - Sale ²⁵
	8.000	12.80	12/17/20	17.44	4.64 W	0.00	196 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	19.64	5.24 W	0.00	197 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	19.63	5.23 W	0.00	198 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	19.71	5.31 W	0.00	199 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	19.63	5.23 W	0.00	200 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.80	5.80 W	0.00	201 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.81	5.81 W	0.00	202 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.80	5.80 W	0.00	203 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.81	5.81 W	0.00	204 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.82	5.82 W	0.00	205 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.80	5.80 W	0.00	206 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.81	5.81 W	0.00	207 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.81	5.81 W	0.00	208 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.80	5.80 W	0.00	209 of 524 - Sale ²⁵
	11.000	17.60	12/17/20	24.11	6.51 W	0.00	210 of 524 - Sale ²⁵
	12.000	19.20	12/17/20	26.17	6.97 W	0.00	211 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.72	8.72 W	0.00	212 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.74	8.74 W	0.00	213 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.73	8.73 W	0.00	214 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.72	8.72 W	0.00	215 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.87	8.87 W	0.00	216 of 524 - Sale ²⁵
	16.000	25.60	12/17/20	34.91	9.31 W	0.00	217 of 524 - Sale ²⁵
	19.000	30.40	12/17/20	41.45	11.05 W	0.00	218 of 524 - Sale ²⁵
	24.000	38.40	12/17/20	52.36	13.96 W	0.00	219 of 524 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
24.000		38.39	12/17/20	52.61	14.22 W	0.00	220 of 524 - Sale ²⁵
34.000		54.39	12/17/20	74.54	20.15 W	0.00	221 of 524 - Sale ²⁵
34.000		54.39	12/17/20	74.55	20.16 W	0.00	222 of 524 - Sale ²⁵
39.000		62.39	12/17/20	85.12	22.73 W	0.00	223 of 524 - Sale ²⁵
41.000		65.59	12/17/20	89.88	24.29 W	0.00	224 of 524 - Sale ²⁵
1.000		1.60	12/17/20	1.99	0.39 W	0.00	225 of 524 - Sale ²⁵
2.000		3.20	12/17/20	3.98	0.78 W	0.00	226 of 524 - Sale ²⁵
2.000		3.20	12/17/20	3.98	0.78 W	0.00	227 of 524 - Sale ²⁵
3.000		4.80	12/17/20	5.97	1.17 W	0.00	228 of 524 - Sale ²⁵
3.000		4.80	12/17/20	5.98	1.18 W	0.00	229 of 524 - Sale ²⁵
3.000		4.80	12/17/20	5.97	1.17 W	0.00	230 of 524 - Sale ²⁵
3.000		4.80	12/17/20	5.97	1.17 W	0.00	231 of 524 - Sale ²⁵
4.000		6.40	12/17/20	7.96	1.56 W	0.00	232 of 524 - Sale ²⁵
5.000		8.00	12/17/20	9.95	1.95 W	0.00	233 of 524 - Sale ²⁵
5.000		8.00	12/17/20	9.95	1.95 W	0.00	234 of 524 - Sale ²⁵
5.000		8.00	12/17/20	9.95	1.95 W	0.00	235 of 524 - Sale ²⁵
10.000		16.00	12/17/20	19.90	3.90 W	0.00	236 of 524 - Sale ²⁵
10.000		16.00	12/17/20	19.91	3.91 W	0.00	237 of 524 - Sale ²⁵
13.000		20.80	12/17/20	25.88	5.08 W	0.00	238 of 524 - Sale ²⁵
14.000		22.40	12/17/20	27.87	5.47 W	0.00	239 of 524 - Sale ²⁵
17.000		27.20	12/17/20	33.84	6.64 W	0.00	240 of 524 - Sale ²⁵
1.000		1.60	12/17/20	1.72	0.12 W	0.00	241 of 524 - Sale ²⁵
2.000		3.20	12/17/20	3.44	0.24 W	0.00	242 of 524 - Sale ²⁵
14.000		22.40	12/17/20	24.09	1.69 W	0.00	243 of 524 - Sale ²⁵
23.000		36.79	12/17/20	39.60	2.81 W	0.00	244 of 524 - Sale ²⁵
219.000		350.34	12/17/20	352.64	2.30 W	0.00	245 of 524 - Sale ²⁵
250.000		399.93	12/17/20	402.54	2.61 W	0.00	246 of 524 - Sale ²⁵
260.000		415.92	12/17/20	418.66	2.74 W	0.00	247 of 524 - Sale ²⁵
2.000		3.20	12/17/20	4.56	1.36 W	0.00	248 of 524 - Sale ²⁵
3.000		4.80	12/17/20	6.84	2.04 W	0.00	249 of 524 - Sale ²⁵
3.000		4.80	12/17/20	6.84	2.04 W	0.00	250 of 524 - Sale ²⁵
4.000		6.40	12/17/20	9.13	2.73 W	0.00	251 of 524 - Sale ²⁵
1.000		1.60	12/17/20	2.28	0.68 W	0.00	252 of 524 - Sale ²⁵
1.000		1.60	12/17/20	2.28	0.68 W	0.00	253 of 524 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	254 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.12	2.72 W	0.00	255 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.12	2.72 W	0.00	256 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	257 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.40	3.40 W	0.00	258 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	259 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	260 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	261 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	262 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	263 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	264 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	265 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	266 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.29	0.69 W	0.00	267 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.58	1.38 W	0.00	268 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.58	1.38 W	0.00	269 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.59	1.39 W	0.00	270 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.58	1.38 W	0.00	271 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.58	1.38 W	0.00	272 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.58	1.38 W	0.00	273 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.87	2.07 W	0.00	274 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.45	3.45 W	0.00	275 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.45	3.45 W	0.00	276 of 524 - Sale ²⁵
	7.000	11.20	12/17/20	16.03	4.83 W	0.00	277 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	20.61	6.21 W	0.00	278 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	22.92	6.92 W	0.00	279 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	34.36	10.36 W	0.00	280 of 524 - Sale ²⁵
	19.000	30.40	12/17/20	43.53	13.13 W	0.00	281 of 524 - Sale ²⁵
	22.000	35.19	12/17/20	50.42	15.23 W	0.00	282 of 524 - Sale ²⁵
	23.000	36.80	12/17/20	52.71	15.91 W	0.00	283 of 524 - Sale ²⁵
	27.000	43.20	12/17/20	61.86	18.66 W	0.00	284 of 524 - Sale ²⁵
	27.000	43.19	12/17/20	61.89	18.70 W	0.00	285 of 524 - Sale ²⁵
	54.000	86.39	12/17/20	123.77	37.38 W	0.00	286 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	287 of 524 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITORY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	288 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.40	3.40 W	0.00	289 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	22.81	6.81 W	0.00	290 of 524 - Sale ²⁵
	17.000	27.20	12/17/20	38.78	11.58 W	0.00	291 of 524 - Sale ²⁵
	54.000	86.39	12/17/20	123.24	36.85 W	0.00	292 of 524 - Sale ²⁵
	260.000	415.95	12/17/20	416.14	0.19 W	0.00	293 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.52	1.32 W	0.00	294 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.78	1.98 W	0.00	295 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.78	1.98 W	0.00	296 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.05	2.65 W	0.00	297 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.26	0.66 W	0.00	298 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.26	0.66 W	0.00	299 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.26	0.66 W	0.00	300 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.04	2.64 W	0.00	301 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.04	2.64 W	0.00	302 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.26	0.66 W	0.00	303 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.26	0.66 W	0.00	304 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.04	2.64 W	0.00	305 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.56	1.36 W	0.00	306 of 524 - Sale ²⁵
	21.000	33.59	12/17/20	47.90	14.31 W	0.00	307 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.17	0.57 W	0.00	308 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.17	0.57 W	0.00	309 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.16	2.76 W	0.00	310 of 524 - Sale ²⁵
	7.000	11.20	12/17/20	16.03	4.83 W	0.00	311 of 524 - Sale ²⁵
	13.000	20.80	12/17/20	29.78	8.98 W	0.00	312 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.17	0.57 W	0.00	313 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.34	1.14 W	0.00	314 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.51	1.71 W	0.00	315 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.51	1.71 W	0.00	316 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.51	1.71 W	0.00	317 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.69	2.29 W	0.00	318 of 524 - Sale ²⁵
	6.000	9.60	12/17/20	13.03	3.43 W	0.00	319 of 524 - Sale ²⁵
	7.000	11.20	12/17/20	15.19	3.99 W	0.00	320 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.70	5.70 W	0.00	321 of 524 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
10.000		16.00	12/17/20	21.71	5.71 W	0.00	322 of 524 - Sale ²⁵
10.000		16.00	12/17/20	21.70	5.70 W	0.00	323 of 524 - Sale ²⁵
10.000		16.00	12/17/20	21.71	5.71 W	0.00	324 of 524 - Sale ²⁵
10.000		16.00	12/17/20	21.72	5.72 W	0.00	325 of 524 - Sale ²⁵
15.000		23.99	12/17/20	32.57	8.58 W	0.00	326 of 524 - Sale ²⁵
16.000		25.60	12/17/20	34.75	9.15 W	0.00	327 of 524 - Sale ²⁵
34.000		54.40	12/17/20	74.21	19.81 W	0.00	328 of 524 - Sale ²⁵
39.000		62.39	12/17/20	84.74	22.35 W	0.00	329 of 524 - Sale ²⁵
41.000		65.59	12/17/20	89.48	23.89 W	0.00	330 of 524 - Sale ²⁵
4.000		6.40	12/17/20	8.68	2.28 W	0.00	331 of 524 - Sale ²⁵
21.000		33.60	12/17/20	45.61	12.01 W	0.00	332 of 524 - Sale ²⁵
1.000		1.60	12/17/20	2.17	0.57 W	0.00	333 of 524 - Sale ²⁵
1.000		1.60	12/17/20	2.17	0.57 W	0.00	334 of 524 - Sale ²⁵
1.000		1.60	12/17/20	2.17	0.57 W	0.00	335 of 524 - Sale ²⁵
1.000		1.60	12/17/20	2.18	0.58 W	0.00	336 of 524 - Sale ²⁵
2.000		3.20	12/17/20	4.34	1.14 W	0.00	337 of 524 - Sale ²⁵
2.000		3.20	12/17/20	4.34	1.14 W	0.00	338 of 524 - Sale ²⁵
2.000		3.20	12/17/20	4.34	1.14 W	0.00	339 of 524 - Sale ²⁵
2.000		3.20	12/17/20	4.34	1.14 W	0.00	340 of 524 - Sale ²⁵
3.000		4.80	12/17/20	6.51	1.71 W	0.00	341 of 524 - Sale ²⁵
3.000		4.80	12/17/20	6.51	1.71 W	0.00	342 of 524 - Sale ²⁵
4.000		6.40	12/17/20	8.68	2.28 W	0.00	343 of 524 - Sale ²⁵
4.000		6.40	12/17/20	8.69	2.29 W	0.00	344 of 524 - Sale ²⁵
4.000		6.40	12/17/20	8.72	2.32 W	0.00	345 of 524 - Sale ²⁵
5.000		8.00	12/17/20	10.86	2.86 W	0.00	346 of 524 - Sale ²⁵
5.000		8.00	12/17/20	10.86	2.86 W	0.00	347 of 524 - Sale ²⁵
5.000		8.00	12/17/20	10.86	2.86 W	0.00	348 of 524 - Sale ²⁵
5.000		8.00	12/17/20	10.85	2.85 W	0.00	349 of 524 - Sale ²⁵
6.000		9.60	12/17/20	13.02	3.42 W	0.00	350 of 524 - Sale ²⁵
6.000		9.60	12/17/20	13.03	3.43 W	0.00	351 of 524 - Sale ²⁵
8.000		12.80	12/17/20	17.36	4.56 W	0.00	352 of 524 - Sale ²⁵
9.000		14.40	12/17/20	19.55	5.15 W	0.00	353 of 524 - Sale ²⁵
9.000		14.40	12/17/20	19.54	5.14 W	0.00	354 of 524 - Sale ²⁵
9.000		14.40	12/17/20	19.62	5.22 W	0.00	355 of 524 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	9.000	14.40	12/17/20	19.54	5.14 W	0.00	356 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.70	5.70 W	0.00	357 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.71	5.71 W	0.00	358 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.71	5.71 W	0.00	359 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.70	5.70 W	0.00	360 of 524 - Sale ²⁵
	11.000	17.60	12/17/20	24.00	6.40 W	0.00	361 of 524 - Sale ²⁵
	12.000	19.20	12/17/20	26.05	6.85 W	0.00	362 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.59	8.59 W	0.00	363 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.58	8.58 W	0.00	364 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.57	8.57 W	0.00	365 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.72	8.72 W	0.00	366 of 524 - Sale ²⁵
	19.000	30.40	12/17/20	41.26	10.86 W	0.00	367 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.51	1.71 W	0.00	368 of 524 - Sale ²⁵
	24.000	38.39	12/17/20	52.38	13.99 W	0.00	369 of 524 - Sale ²⁵
	34.000	54.39	12/17/20	74.22	19.83 W	0.00	370 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	1.98	0.38 W	0.00	371 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	3.96	0.76 W	0.00	372 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	3.96	0.76 W	0.00	373 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	5.94	1.14 W	0.00	374 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	5.95	1.15 W	0.00	375 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	5.94	1.14 W	0.00	376 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	5.94	1.14 W	0.00	377 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	7.92	1.52 W	0.00	378 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	9.90	1.90 W	0.00	379 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	9.90	1.90 W	0.00	380 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	9.90	1.90 W	0.00	381 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	19.80	3.80 W	0.00	382 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	19.81	3.81 W	0.00	383 of 524 - Sale ²⁵
	13.000	20.80	12/17/20	25.75	4.95 W	0.00	384 of 524 - Sale ²⁵
	14.000	22.40	12/17/20	27.73	5.33 W	0.00	385 of 524 - Sale ²⁵
	17.000	27.20	12/17/20	33.67	6.47 W	0.00	386 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	1.71	0.11 W	0.00	387 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	3.42	0.22 W	0.00	388 of 524 - Sale ²⁵
	14.000	22.40	12/17/20	23.95	1.55 W	0.00	389 of 524 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	23.000	36.79	12/17/20	39.38	2.59 W	0.00	390 of 524 - Sale ²⁵
	491.000	785.48	12/17/20	790.63	5.15 W	0.00	391 of 524 - Sale ²⁵
	156.000	249.57	12/17/20	249.68	0.11 W	0.00	392 of 524 - Sale ²⁵
	250.000	399.96	12/17/20	400.11	0.15 W	0.00	393 of 524 - Sale ²⁵
	30.000	48.00	12/17/20	48.31	0.31 W	0.00	394 of 524 - Sale ²⁵
	63.000	100.79	12/17/20	100.83	0.04 W	0.00	395 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.54	1.34 W	0.00	396 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.81	2.01 W	0.00	397 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.81	2.01 W	0.00	398 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.09	2.69 W	0.00	399 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.27	0.67 W	0.00	400 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.27	0.67 W	0.00	401 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.27	0.67 W	0.00	402 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.08	2.68 W	0.00	403 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.08	2.68 W	0.00	404 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.08	2.68 W	0.00	405 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.27	0.67 W	0.00	406 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.27	0.67 W	0.00	407 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.40	3.40 W	0.00	408 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.40	3.40 W	0.00	409 of 524 - Sale ²⁵
	6.000	9.60	12/17/20	13.69	4.09 W	0.00	410 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	411 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	412 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	413 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	414 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	415 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	416 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.28	0.68 W	0.00	417 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.16	0.56 W	0.00	418 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.16	0.56 W	0.00	419 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.27	0.67 W	0.00	420 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.27	0.67 W	0.00	421 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.56	1.36 W	0.00	422 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.56	1.36 W	0.00	423 of 524 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.20	12/17/20	4.57	1.37 W	0.00	424 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.56	1.36 W	0.00	425 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.56	1.36 W	0.00	426 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.56	1.36 W	0.00	427 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.84	2.04 W	0.00	428 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	9.12	2.72 W	0.00	429 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.35	3.35 W	0.00	430 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.35	3.35 W	0.00	431 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	11.35	3.35 W	0.00	432 of 524 - Sale ²⁵
	7.000	11.20	12/17/20	15.96	4.76 W	0.00	433 of 524 - Sale ²⁵
	7.000	11.20	12/17/20	15.96	4.76 W	0.00	434 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	20.52	6.12 W	0.00	435 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	22.82	6.82 W	0.00	436 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	22.71	6.71 W	0.00	437 of 524 - Sale ²⁵
	13.000	20.80	12/17/20	29.65	8.85 W	0.00	438 of 524 - Sale ²⁵
	17.000	27.20	12/17/20	38.61	11.41 W	0.00	439 of 524 - Sale ²⁵
	22.000	35.20	12/17/20	50.21	15.01 W	0.00	440 of 524 - Sale ²⁵
	23.000	36.80	12/17/20	52.48	15.68 W	0.00	441 of 524 - Sale ²⁵
	27.000	43.20	12/17/20	61.63	18.43 W	0.00	442 of 524 - Sale ²⁵
	54.000	86.39	12/17/20	122.71	36.32 W	0.00	443 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.16	0.56 W	0.00	444 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.16	0.56 W	0.00	445 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.16	0.56 W	0.00	446 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.16	0.56 W	0.00	447 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	2.17	0.57 W	0.00	448 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.32	1.12 W	0.00	449 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.32	1.12 W	0.00	450 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.32	1.12 W	0.00	451 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.32	1.12 W	0.00	452 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	4.32	1.12 W	0.00	453 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.48	1.68 W	0.00	454 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.48	1.68 W	0.00	455 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.48	1.68 W	0.00	456 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.48	1.68 W	0.00	457 of 524 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	3.000	4.80	12/17/20	6.48	1.68 W	0.00	458 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	6.48	1.68 W	0.00	459 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.65	2.25 W	0.00	460 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.64	2.24 W	0.00	461 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.64	2.24 W	0.00	462 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.65	2.25 W	0.00	463 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	8.68	2.28 W	0.00	464 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	10.81	2.81 W	0.00	465 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	10.81	2.81 W	0.00	466 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	10.81	2.81 W	0.00	467 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	10.80	2.80 W	0.00	468 of 524 - Sale ²⁵
	6.000	9.60	12/17/20	12.97	3.37 W	0.00	469 of 524 - Sale ²⁵
	6.000	9.60	12/17/20	12.96	3.36 W	0.00	470 of 524 - Sale ²⁵
	6.000	9.60	12/17/20	12.97	3.37 W	0.00	471 of 524 - Sale ²⁵
	7.000	11.20	12/17/20	15.12	3.92 W	0.00	472 of 524 - Sale ²⁵
	8.000	12.80	12/17/20	17.28	4.48 W	0.00	473 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	19.46	5.06 W	0.00	474 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	19.45	5.05 W	0.00	475 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	19.53	5.13 W	0.00	476 of 524 - Sale ²⁵
	9.000	14.40	12/17/20	19.45	5.05 W	0.00	477 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.60	5.60 W	0.00	478 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.61	5.61 W	0.00	479 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.60	5.60 W	0.00	480 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.61	5.61 W	0.00	481 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.62	5.62 W	0.00	482 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.60	5.60 W	0.00	483 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.61	5.61 W	0.00	484 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.61	5.61 W	0.00	485 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	21.60	5.60 W	0.00	486 of 524 - Sale ²⁵
	11.000	17.60	12/17/20	23.89	6.29 W	0.00	487 of 524 - Sale ²⁵
	12.000	19.20	12/17/20	25.93	6.73 W	0.00	488 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.43	8.43 W	0.00	489 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.44	8.44 W	0.00	490 of 524 - Sale ²⁵
	15.000	24.00	12/17/20	32.43	8.43 W	0.00	491 of 524 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	15.000	24.00	12/17/20	32.42	8.42 W	0.00	492 of 524 - Sale ²⁵
	15.000	23.99	12/17/20	32.57	8.58 W	0.00	493 of 524 - Sale ²⁵
	16.000	25.60	12/17/20	34.59	8.99 W	0.00	494 of 524 - Sale ²⁵
	19.000	30.39	12/17/20	41.07	10.68 W	0.00	495 of 524 - Sale ²⁵
	21.000	33.60	12/17/20	45.40	11.80 W	0.00	496 of 524 - Sale ²⁵
	24.000	38.39	12/17/20	52.15	13.76 W	0.00	497 of 524 - Sale ²⁵
	34.000	54.39	12/17/20	73.87	19.48 W	0.00	498 of 524 - Sale ²⁵
	34.000	54.39	12/17/20	73.89	19.50 W	0.00	499 of 524 - Sale ²⁵
	39.000	62.39	12/17/20	84.36	21.97 W	0.00	500 of 524 - Sale ²⁵
	41.000	65.59	12/17/20	89.08	23.49 W	0.00	501 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	1.97	0.37 W	0.00	502 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	3.94	0.74 W	0.00	503 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	3.94	0.74 W	0.00	504 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	5.91	1.11 W	0.00	505 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	5.92	1.12 W	0.00	506 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	5.91	1.11 W	0.00	507 of 524 - Sale ²⁵
	3.000	4.80	12/17/20	5.91	1.11 W	0.00	508 of 524 - Sale ²⁵
	4.000	6.40	12/17/20	7.88	1.48 W	0.00	509 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	9.85	1.85 W	0.00	510 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	9.85	1.85 W	0.00	511 of 524 - Sale ²⁵
	5.000	8.00	12/17/20	9.85	1.85 W	0.00	512 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	19.70	3.70 W	0.00	513 of 524 - Sale ²⁵
	10.000	16.00	12/17/20	19.71	3.71 W	0.00	514 of 524 - Sale ²⁵
	13.000	20.79	12/17/20	25.62	4.83 W	0.00	515 of 524 - Sale ²⁵
	14.000	22.39	12/17/20	27.59	5.20 W	0.00	516 of 524 - Sale ²⁵
	17.000	27.19	12/17/20	33.50	6.31 W	0.00	517 of 524 - Sale ²⁵
	1.000	1.60	12/17/20	1.70	0.10 W	0.00	518 of 524 - Sale ²⁵
	2.000	3.20	12/17/20	3.40	0.20 W	0.00	519 of 524 - Sale ²⁵
	14.000	22.39	12/17/20	23.81	1.42 W	0.00	520 of 524 - Sale ²⁵
	23.000	36.79	12/17/20	39.16	2.37 W	0.00	521 of 524 - Sale ²⁵
	58.000	92.77	12/17/20	92.83	0.06 W	0.00	522 of 524 - Sale ²⁵
	120.000	191.99	12/17/20	192.06	0.07 W	0.00	523 of 524 - Sale ²⁵
	184.000	294.38	12/17/20	294.49	0.11 W	0.00	524 of 524 - Sale ²⁵
12/17/20	7,718.000	12,347.62	Various	14,777.57	2,429.95 W	0.00	Total of 524 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
28 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	63.000	100.79	12/17/20	100.21	...	0.58	1 of 28 - Sale ²⁵
	156.000	249.58	12/17/20	248.15	...	1.43	2 of 28 - Sale ²⁵
	250.000	399.96	12/17/20	397.65	...	2.31	3 of 28 - Sale ²⁵
	260.000	415.96	12/17/20	413.59	...	2.37	4 of 28 - Sale ²⁵
	1,363.000	2,180.58	12/17/20	2,167.17	...	13.41	5 of 28 - Sale ²⁵
	2.000	3.20	12/18/20	3.20	...	0.00	6 of 28 - Sale ²⁵
	3.000	4.80	12/18/20	4.80	...	0.00	7 of 28 - Sale ²⁵
	10.000	16.00	12/18/20	16.00	...	0.00	8 of 28 - Sale ²⁵
	2.000	3.20	12/18/20	3.20	...	0.00	9 of 28 - Sale ²⁵
	19.000	30.40	12/18/20	30.40	...	0.00	10 of 28 - Sale ²⁵
	2.000	3.22	12/18/20	3.18	...	0.04	11 of 28 - Sale ²⁵
	5.000	8.05	12/18/20	7.95	...	0.10	12 of 28 - Sale ²⁵
	12.000	19.32	12/18/20	19.08	...	0.24	13 of 28 - Sale ²⁵
	22.000	35.42	12/18/20	34.98	...	0.44	14 of 28 - Sale ²⁵
	58.000	92.78	12/18/20	92.28	...	0.50	15 of 28 - Sale ²⁵
	30.000	48.30	12/18/20	47.71	...	0.59	16 of 28 - Sale ²⁵
	77.000	123.93	12/18/20	123.20	...	0.73	17 of 28 - Sale ²⁵
	120.000	191.97	12/18/20	190.87	...	1.10	18 of 28 - Sale ²⁵
	184.000	294.35	12/18/20	292.67	...	1.68	19 of 28 - Sale ²⁵
	90.000	144.89	12/18/20	143.10	...	1.79	20 of 28 - Sale ²⁵
	100.000	160.99	12/18/20	159.00	...	1.99	21 of 28 - Sale ²⁵
	128.000	206.06	12/18/20	203.60	...	2.46	22 of 28 - Sale ²⁵
	150.000	241.48	12/18/20	238.50	...	2.98	23 of 28 - Sale ²⁵
	388.000	620.69	12/18/20	616.92	...	3.77	24 of 28 - Sale ²⁵
	200.000	321.98	12/18/20	318.00	...	3.98	25 of 28 - Sale ²⁵
	273.000	439.50	12/18/20	434.07	...	5.43	26 of 28 - Sale ²⁵
	382.000	614.96	12/18/20	607.38	...	7.58	27 of 28 - Sale ²⁵
	856.000	1,378.03	12/18/20	1,361.04	...	16.99	28 of 28 - Sale ²⁵
12/18/20	5,205.000	8,350.39	Various	8,277.90	...	72.49	Total of 28 transactions
514 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	30.000	48.00	12/17/20	48.01	0.01 W	0.00	1 of 514 - Sale ²⁵
	128.000	204.78	12/17/20	204.86	0.08 W	0.00	2 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.50	1.30 W	0.00	3 of 514 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	3.000	4.80	12/18/20	6.75	1.95 W	0.00	4 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.75	1.95 W	0.00	5 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	9.01	2.61 W	0.00	6 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	7 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	8 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	9 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	9.00	2.60 W	0.00	10 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	9.00	2.60 W	0.00	11 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	12 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	13 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	9.00	2.60 W	0.00	14 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.27	0.67 W	0.00	15 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.27	0.67 W	0.00	16 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.27	0.67 W	0.00	17 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.27	0.67 W	0.00	18 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.27	0.67 W	0.00	19 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.27	0.67 W	0.00	20 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.27	0.67 W	0.00	21 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.15	0.55 W	0.00	22 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.15	0.55 W	0.00	23 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.26	0.66 W	0.00	24 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.26	0.66 W	0.00	25 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.54	1.34 W	0.00	26 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.54	1.34 W	0.00	27 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.54	1.34 W	0.00	28 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.55	1.35 W	0.00	29 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.54	1.34 W	0.00	30 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.54	1.34 W	0.00	31 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.54	1.34 W	0.00	32 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.81	2.01 W	0.00	33 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	9.08	2.68 W	0.00	34 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	11.30	3.30 W	0.00	35 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	11.30	3.30 W	0.00	36 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	11.30	3.30 W	0.00	37 of 514 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	6.000	9.60	12/18/20	13.63	4.03 W	0.00	38 of 514 - Sale ²⁵
	7.000	11.20	12/18/20	15.89	4.69 W	0.00	39 of 514 - Sale ²⁵
	7.000	11.20	12/18/20	15.89	4.69 W	0.00	40 of 514 - Sale ²⁵
	9.000	14.40	12/18/20	20.43	6.03 W	0.00	41 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	22.72	6.72 W	0.00	42 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	22.61	6.61 W	0.00	43 of 514 - Sale ²⁵
	13.000	20.80	12/18/20	29.52	8.72 W	0.00	44 of 514 - Sale ²⁵
	17.000	27.20	12/18/20	38.44	11.24 W	0.00	45 of 514 - Sale ²⁵
	21.000	33.60	12/18/20	47.70	14.10 W	0.00	46 of 514 - Sale ²⁵
	22.000	35.20	12/18/20	49.99	14.79 W	0.00	47 of 514 - Sale ²⁵
	23.000	36.80	12/18/20	52.25	15.45 W	0.00	48 of 514 - Sale ²⁵
	27.000	43.20	12/18/20	61.36	18.16 W	0.00	49 of 514 - Sale ²⁵
	54.000	86.39	12/18/20	122.18	35.79 W	0.00	50 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.15	0.55 W	0.00	51 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.15	0.55 W	0.00	52 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.15	0.55 W	0.00	53 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.15	0.55 W	0.00	54 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.16	0.56 W	0.00	55 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.30	1.10 W	0.00	56 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.30	1.10 W	0.00	57 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.30	1.10 W	0.00	58 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.30	1.10 W	0.00	59 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.30	1.10 W	0.00	60 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.45	1.65 W	0.00	61 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.45	1.65 W	0.00	62 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.45	1.65 W	0.00	63 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.45	1.65 W	0.00	64 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.45	1.65 W	0.00	65 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.45	1.65 W	0.00	66 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.61	2.21 W	0.00	67 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.60	2.20 W	0.00	68 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.60	2.20 W	0.00	69 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.61	2.21 W	0.00	70 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.64	2.24 W	0.00	71 of 514 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	5.000	8.00	12/18/20	10.76	2.76 W	0.00	72 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	10.76	2.76 W	0.00	73 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	10.76	2.76 W	0.00	74 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	10.75	2.75 W	0.00	75 of 514 - Sale ²⁵
	6.000	9.60	12/18/20	12.91	3.31 W	0.00	76 of 514 - Sale ²⁵
	6.000	9.60	12/18/20	12.90	3.30 W	0.00	77 of 514 - Sale ²⁵
	6.000	9.60	12/18/20	12.91	3.31 W	0.00	78 of 514 - Sale ²⁵
	7.000	11.20	12/18/20	15.05	3.85 W	0.00	79 of 514 - Sale ²⁵
	8.000	12.80	12/18/20	17.20	4.40 W	0.00	80 of 514 - Sale ²⁵
	9.000	14.40	12/18/20	19.37	4.97 W	0.00	81 of 514 - Sale ²⁵
	9.000	14.40	12/18/20	19.36	4.96 W	0.00	82 of 514 - Sale ²⁵
	9.000	14.40	12/18/20	19.44	5.04 W	0.00	83 of 514 - Sale ²⁵
	9.000	14.40	12/18/20	19.36	4.96 W	0.00	84 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.50	5.50 W	0.00	85 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.51	5.51 W	0.00	86 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.50	5.50 W	0.00	87 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.51	5.51 W	0.00	88 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.52	5.52 W	0.00	89 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.50	5.50 W	0.00	90 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.51	5.51 W	0.00	91 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.51	5.51 W	0.00	92 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.50	5.50 W	0.00	93 of 514 - Sale ²⁵
	11.000	17.60	12/18/20	23.78	6.18 W	0.00	94 of 514 - Sale ²⁵
	12.000	19.20	12/18/20	25.81	6.61 W	0.00	95 of 514 - Sale ²⁵
	15.000	24.00	12/18/20	32.28	8.28 W	0.00	96 of 514 - Sale ²⁵
	15.000	24.00	12/18/20	32.29	8.29 W	0.00	97 of 514 - Sale ²⁵
	15.000	24.00	12/18/20	32.28	8.28 W	0.00	98 of 514 - Sale ²⁵
	15.000	24.00	12/18/20	32.27	8.27 W	0.00	99 of 514 - Sale ²⁵
	15.000	24.00	12/18/20	32.43	8.43 W	0.00	100 of 514 - Sale ²⁵
	16.000	25.60	12/18/20	34.43	8.83 W	0.00	101 of 514 - Sale ²⁵
	19.000	30.40	12/18/20	40.89	10.49 W	0.00	102 of 514 - Sale ²⁵
	21.000	33.60	12/18/20	45.19	11.59 W	0.00	103 of 514 - Sale ²⁵
	24.000	38.39	12/18/20	51.92	13.53 W	0.00	104 of 514 - Sale ²⁵
	34.000	54.39	12/18/20	73.54	19.15 W	0.00	105 of 514 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
34.000		54.39	12/18/20	73.56	19.17 W	0.00	106 of 514 - Sale ²⁵
39.000		62.39	12/18/20	83.98	21.59 W	0.00	107 of 514 - Sale ²⁵
41.000		65.59	12/18/20	88.68	23.09 W	0.00	108 of 514 - Sale ²⁵
1.000		1.60	12/18/20	1.96	0.36 W	0.00	109 of 514 - Sale ²⁵
2.000		3.20	12/18/20	3.92	0.72 W	0.00	110 of 514 - Sale ²⁵
2.000		3.20	12/18/20	3.92	0.72 W	0.00	111 of 514 - Sale ²⁵
3.000		4.80	12/18/20	5.88	1.08 W	0.00	112 of 514 - Sale ²⁵
3.000		4.80	12/18/20	5.89	1.09 W	0.00	113 of 514 - Sale ²⁵
3.000		4.80	12/18/20	5.88	1.08 W	0.00	114 of 514 - Sale ²⁵
3.000		4.80	12/18/20	5.88	1.08 W	0.00	115 of 514 - Sale ²⁵
4.000		6.40	12/18/20	7.84	1.44 W	0.00	116 of 514 - Sale ²⁵
5.000		8.00	12/18/20	9.80	1.80 W	0.00	117 of 514 - Sale ²⁵
5.000		8.00	12/18/20	9.80	1.80 W	0.00	118 of 514 - Sale ²⁵
5.000		8.00	12/18/20	9.80	1.80 W	0.00	119 of 514 - Sale ²⁵
10.000		16.00	12/18/20	19.60	3.60 W	0.00	120 of 514 - Sale ²⁵
10.000		16.00	12/18/20	19.61	3.61 W	0.00	121 of 514 - Sale ²⁵
13.000		20.80	12/18/20	25.50	4.70 W	0.00	122 of 514 - Sale ²⁵
14.000		22.40	12/18/20	27.46	5.06 W	0.00	123 of 514 - Sale ²⁵
17.000		27.20	12/18/20	33.34	6.14 W	0.00	124 of 514 - Sale ²⁵
1.000		1.60	12/18/20	1.69	0.09 W	0.00	125 of 514 - Sale ²⁵
2.000		3.20	12/18/20	3.38	0.18 W	0.00	126 of 514 - Sale ²⁵
14.000		22.40	12/18/20	23.68	1.28 W	0.00	127 of 514 - Sale ²⁵
23.000		36.79	12/18/20	38.94	2.15 W	0.00	128 of 514 - Sale ²⁵
1.000		1.61	12/18/20	2.25	0.64 W	0.00	129 of 514 - Sale ²⁵
1.000		1.61	12/18/20	2.25	0.64 W	0.00	130 of 514 - Sale ²⁵
3.000		4.83	12/18/20	6.75	1.92 W	0.00	131 of 514 - Sale ²⁵
3.000		4.83	12/18/20	6.75	1.92 W	0.00	132 of 514 - Sale ²⁵
4.000		6.44	12/18/20	9.01	2.57 W	0.00	133 of 514 - Sale ²⁵
1.000		1.61	12/18/20	2.25	0.64 W	0.00	134 of 514 - Sale ²⁵
1.000		1.61	12/18/20	2.25	0.64 W	0.00	135 of 514 - Sale ²⁵
1.000		1.61	12/18/20	2.25	0.64 W	0.00	136 of 514 - Sale ²⁵
4.000		6.44	12/18/20	9.00	2.56 W	0.00	137 of 514 - Sale ²⁵
4.000		6.44	12/18/20	9.00	2.56 W	0.00	138 of 514 - Sale ²⁵
1.000		1.61	12/18/20	2.25	0.64 W	0.00	139 of 514 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.61	12/18/20	2.25	0.64 W	0.00	140 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	9.00	2.56 W	0.00	141 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.27	0.66 W	0.00	142 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.27	0.66 W	0.00	143 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.27	0.66 W	0.00	144 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.27	0.66 W	0.00	145 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.27	0.66 W	0.00	146 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.27	0.66 W	0.00	147 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.27	0.66 W	0.00	148 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.54	1.32 W	0.00	149 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.54	1.32 W	0.00	150 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.54	1.32 W	0.00	151 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.55	1.33 W	0.00	152 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.54	1.32 W	0.00	153 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.54	1.32 W	0.00	154 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.54	1.32 W	0.00	155 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.81	1.98 W	0.00	156 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.81	1.98 W	0.00	157 of 514 - Sale ²⁵
	6.000	9.66	12/18/20	13.63	3.97 W	0.00	158 of 514 - Sale ²⁵
	7.000	11.27	12/18/20	15.89	4.62 W	0.00	159 of 514 - Sale ²⁵
	7.000	11.27	12/18/20	15.89	4.62 W	0.00	160 of 514 - Sale ²⁵
	9.000	14.49	12/18/20	20.43	5.94 W	0.00	161 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	22.72	6.62 W	0.00	162 of 514 - Sale ²⁵
	13.000	20.93	12/18/20	29.52	8.59 W	0.00	163 of 514 - Sale ²⁵
	21.000	33.81	12/18/20	47.70	13.89 W	0.00	164 of 514 - Sale ²⁵
	22.000	35.42	12/18/20	49.99	14.57 W	0.00	165 of 514 - Sale ²⁵
	23.000	37.03	12/18/20	52.25	15.22 W	0.00	166 of 514 - Sale ²⁵
	27.000	43.46	12/18/20	61.36	17.90 W	0.00	167 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.27	0.66 W	0.00	168 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.15	0.54 W	0.00	169 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.15	0.54 W	0.00	170 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	171 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	172 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	11.30	3.25 W	0.00	173 of 514 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	5.000	8.05	12/18/20	11.30	3.25 W	0.00	174 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	11.30	3.25 W	0.00	175 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	22.61	6.51 W	0.00	176 of 514 - Sale ²⁵
	17.000	27.37	12/18/20	38.44	11.07 W	0.00	177 of 514 - Sale ²⁵
	54.000	86.93	12/18/20	122.19	35.26 W	0.00	178 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.15	0.54 W	0.00	179 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.30	1.08 W	0.00	180 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.45	1.62 W	0.00	181 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.45	1.62 W	0.00	182 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.45	1.62 W	0.00	183 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.61	2.17 W	0.00	184 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.60	2.16 W	0.00	185 of 514 - Sale ²⁵
	6.000	9.66	12/18/20	12.91	3.25 W	0.00	186 of 514 - Sale ²⁵
	7.000	11.27	12/18/20	15.05	3.78 W	0.00	187 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.50	5.40 W	0.00	188 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.51	5.41 W	0.00	189 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.50	5.40 W	0.00	190 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.51	5.41 W	0.00	191 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.52	5.42 W	0.00	192 of 514 - Sale ²⁵
	14.000	22.53	12/18/20	30.13	7.60 W	0.00	193 of 514 - Sale ²⁵
	15.000	24.14	12/18/20	32.28	8.14 W	0.00	194 of 514 - Sale ²⁵
	16.000	25.75	12/18/20	34.43	8.68 W	0.00	195 of 514 - Sale ²⁵
	34.000	54.73	12/18/20	73.55	18.82 W	0.00	196 of 514 - Sale ²⁵
	39.000	62.77	12/18/20	83.99	21.22 W	0.00	197 of 514 - Sale ²⁵
	41.000	66.00	12/18/20	88.69	22.69 W	0.00	198 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.45	1.62 W	0.00	199 of 514 - Sale ²⁵
	7.000	11.27	12/18/20	15.06	3.79 W	0.00	200 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.15	0.54 W	0.00	201 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.30	1.08 W	0.00	202 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.60	2.16 W	0.00	203 of 514 - Sale ²⁵
	8.000	12.88	12/18/20	17.20	4.32 W	0.00	204 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.15	0.54 W	0.00	205 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.15	0.54 W	0.00	206 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.30	1.08 W	0.00	207 of 514 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	3.000	4.83	12/18/20	6.46	1.63 W	0.00	208 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	10.76	2.71 W	0.00	209 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	10.76	2.71 W	0.00	210 of 514 - Sale ²⁵
	9.000	14.49	12/18/20	19.37	4.88 W	0.00	211 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.30	1.08 W	0.00	212 of 514 - Sale ²⁵
	15.000	24.15	12/18/20	32.29	8.14 W	0.00	213 of 514 - Sale ²⁵
	15.000	24.14	12/18/20	32.28	8.14 W	0.00	214 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.15	0.54 W	0.00	215 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	10.76	2.71 W	0.00	216 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.45	1.62 W	0.00	217 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.45	1.62 W	0.00	218 of 514 - Sale ²⁵
	7.000	11.27	12/18/20	15.06	3.79 W	0.00	219 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.51	5.41 W	0.00	220 of 514 - Sale ²⁵
	13.000	20.93	12/18/20	27.97	7.04 W	0.00	221 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.30	1.08 W	0.00	222 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.30	1.08 W	0.00	223 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.24	0.63 W	0.00	224 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.24	0.63 W	0.00	225 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.24	0.63 W	0.00	226 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.48	1.26 W	0.00	227 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.72	1.89 W	0.00	228 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.97	2.53 W	0.00	229 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.24	0.63 W	0.00	230 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.24	0.63 W	0.00	231 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.24	0.63 W	0.00	232 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.96	2.52 W	0.00	233 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.96	2.52 W	0.00	234 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.24	0.63 W	0.00	235 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.96	2.52 W	0.00	236 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.24	0.63 W	0.00	237 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.52	1.30 W	0.00	238 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.52	1.30 W	0.00	239 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.52	1.30 W	0.00	240 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	241 of 514 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	242 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	243 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	244 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	245 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	246 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	247 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.26	0.65 W	0.00	248 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.14	0.53 W	0.00	249 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.14	0.53 W	0.00	250 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.25	0.64 W	0.00	251 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.25	0.64 W	0.00	252 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.52	1.30 W	0.00	253 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.52	1.30 W	0.00	254 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.53	1.31 W	0.00	255 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.52	1.30 W	0.00	256 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.52	1.30 W	0.00	257 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.52	1.30 W	0.00	258 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.78	1.95 W	0.00	259 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.78	1.95 W	0.00	260 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	11.25	3.20 W	0.00	261 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	11.25	3.20 W	0.00	262 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	11.25	3.20 W	0.00	263 of 514 - Sale ²⁵
	6.000	9.66	12/18/20	13.57	3.91 W	0.00	264 of 514 - Sale ²⁵
	7.000	11.27	12/18/20	15.82	4.55 W	0.00	265 of 514 - Sale ²⁵
	7.000	11.27	12/18/20	15.82	4.55 W	0.00	266 of 514 - Sale ²⁵
	9.000	14.49	12/18/20	20.34	5.85 W	0.00	267 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	22.62	6.52 W	0.00	268 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	22.51	6.41 W	0.00	269 of 514 - Sale ²⁵
	13.000	20.93	12/18/20	29.39	8.46 W	0.00	270 of 514 - Sale ²⁵
	17.000	27.37	12/18/20	38.27	10.90 W	0.00	271 of 514 - Sale ²⁵
	17.000	27.37	12/18/20	38.45	11.08 W	0.00	272 of 514 - Sale ²⁵
	22.000	35.42	12/18/20	49.77	14.35 W	0.00	273 of 514 - Sale ²⁵
	23.000	37.03	12/18/20	52.02	14.99 W	0.00	274 of 514 - Sale ²⁵
	27.000	43.47	12/18/20	61.10	17.63 W	0.00	275 of 514 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITORY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	54.000	86.93	12/18/20	121.66	34.73 W	0.00	276 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.16	0.55 W	0.00	277 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.14	0.53 W	0.00	278 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.14	0.53 W	0.00	279 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.14	0.53 W	0.00	280 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.14	0.53 W	0.00	281 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	2.14	0.53 W	0.00	282 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.30	1.08 W	0.00	283 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.30	1.08 W	0.00	284 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.28	1.06 W	0.00	285 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.28	1.06 W	0.00	286 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.28	1.06 W	0.00	287 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.28	1.06 W	0.00	288 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.28	1.06 W	0.00	289 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	4.28	1.06 W	0.00	290 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.45	1.62 W	0.00	291 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.42	1.59 W	0.00	292 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.42	1.59 W	0.00	293 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.42	1.59 W	0.00	294 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.42	1.59 W	0.00	295 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.43	1.60 W	0.00	296 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.42	1.59 W	0.00	297 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	6.42	1.59 W	0.00	298 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.64	2.20 W	0.00	299 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.57	2.13 W	0.00	300 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.56	2.12 W	0.00	301 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	8.56	2.12 W	0.00	302 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	10.75	2.70 W	0.00	303 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	10.71	2.66 W	0.00	304 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	10.71	2.66 W	0.00	305 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	10.71	2.66 W	0.00	306 of 514 - Sale ²⁵
	6.000	9.66	12/18/20	12.90	3.24 W	0.00	307 of 514 - Sale ²⁵
	6.000	9.66	12/18/20	12.91	3.25 W	0.00	308 of 514 - Sale ²⁵
	6.000	9.66	12/18/20	12.85	3.19 W	0.00	309 of 514 - Sale ²⁵

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	7.000	11.27	12/18/20	14.98	3.71 W	0.00	310 of 514 - Sale ²⁵
	7.000	11.27	12/18/20	14.99	3.72 W	0.00	311 of 514 - Sale ²⁵
	7.000	11.27	12/18/20	14.99	3.72 W	0.00	312 of 514 - Sale ²⁵
	8.000	12.88	12/18/20	17.20	4.32 W	0.00	313 of 514 - Sale ²⁵
	8.000	12.88	12/18/20	17.12	4.24 W	0.00	314 of 514 - Sale ²⁵
	9.000	14.49	12/18/20	19.36	4.87 W	0.00	315 of 514 - Sale ²⁵
	9.000	14.49	12/18/20	19.44	4.95 W	0.00	316 of 514 - Sale ²⁵
	9.000	14.49	12/18/20	19.36	4.87 W	0.00	317 of 514 - Sale ²⁵
	9.000	14.49	12/18/20	19.28	4.79 W	0.00	318 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.51	5.41 W	0.00	319 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.50	5.40 W	0.00	320 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.40	5.30 W	0.00	321 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.41	5.31 W	0.00	322 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.40	5.30 W	0.00	323 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.41	5.31 W	0.00	324 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.42	5.32 W	0.00	325 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	21.41	5.31 W	0.00	326 of 514 - Sale ²⁵
	11.000	17.71	12/18/20	23.78	6.07 W	0.00	327 of 514 - Sale ²⁵
	13.000	20.93	12/18/20	27.84	6.91 W	0.00	328 of 514 - Sale ²⁵
	14.000	22.54	12/18/20	30.00	7.46 W	0.00	329 of 514 - Sale ²⁵
	15.000	24.15	12/18/20	32.43	8.28 W	0.00	330 of 514 - Sale ²⁵
	15.000	24.15	12/18/20	32.14	7.99 W	0.00	331 of 514 - Sale ²⁵
	15.000	24.15	12/18/20	32.14	7.99 W	0.00	332 of 514 - Sale ²⁵
	15.000	24.14	12/18/20	32.14	8.00 W	0.00	333 of 514 - Sale ²⁵
	16.000	25.76	12/18/20	34.28	8.52 W	0.00	334 of 514 - Sale ²⁵
	19.000	30.59	12/18/20	40.89	10.30 W	0.00	335 of 514 - Sale ²⁵
	24.000	38.63	12/18/20	51.93	13.30 W	0.00	336 of 514 - Sale ²⁵
	34.000	54.73	12/18/20	73.57	18.84 W	0.00	337 of 514 - Sale ²⁵
	34.000	54.73	12/18/20	73.22	18.49 W	0.00	338 of 514 - Sale ²⁵
	39.000	62.78	12/18/20	83.62	20.84 W	0.00	339 of 514 - Sale ²⁵
	41.000	66.00	12/18/20	88.29	22.29 W	0.00	340 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	1.96	0.35 W	0.00	341 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	3.92	0.70 W	0.00	342 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	3.92	0.70 W	0.00	343 of 514 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	3.000	4.83	12/18/20	5.88	1.05 W	0.00	344 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	5.89	1.06 W	0.00	345 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	5.88	1.05 W	0.00	346 of 514 - Sale ²⁵
	3.000	4.83	12/18/20	5.88	1.05 W	0.00	347 of 514 - Sale ²⁵
	4.000	6.44	12/18/20	7.84	1.40 W	0.00	348 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	9.80	1.75 W	0.00	349 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	9.80	1.75 W	0.00	350 of 514 - Sale ²⁵
	5.000	8.05	12/18/20	9.80	1.75 W	0.00	351 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	19.60	3.50 W	0.00	352 of 514 - Sale ²⁵
	10.000	16.10	12/18/20	19.61	3.51 W	0.00	353 of 514 - Sale ²⁵
	13.000	20.92	12/18/20	25.50	4.58 W	0.00	354 of 514 - Sale ²⁵
	14.000	22.53	12/18/20	27.46	4.93 W	0.00	355 of 514 - Sale ²⁵
	17.000	27.36	12/18/20	33.34	5.98 W	0.00	356 of 514 - Sale ²⁵
	1.000	1.61	12/18/20	1.69	0.08 W	0.00	357 of 514 - Sale ²⁵
	2.000	3.22	12/18/20	3.38	0.16 W	0.00	358 of 514 - Sale ²⁵
	14.000	22.53	12/18/20	23.68	1.15 W	0.00	359 of 514 - Sale ²⁵
	23.000	37.02	12/18/20	38.95	1.93 W	0.00	360 of 514 - Sale ²⁵
	60.000	95.99	12/18/20	96.00	0.01 W	0.00	361 of 514 - Sale ²⁵
	60.000	95.99	12/18/20	96.00	0.01 W	0.00	362 of 514 - Sale ²⁵
	100.000	159.99	12/18/20	160.00	0.01 W	0.00	363 of 514 - Sale ²⁵
	683.000	1,092.69	12/18/20	1,092.80	0.11 W	0.00	364 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.23	0.63 W	0.00	365 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.23	0.63 W	0.00	366 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.23	0.63 W	0.00	367 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.46	1.26 W	0.00	368 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.69	1.89 W	0.00	369 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.93	2.53 W	0.00	370 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.23	0.63 W	0.00	371 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.23	0.63 W	0.00	372 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.23	0.63 W	0.00	373 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.92	2.52 W	0.00	374 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.92	2.52 W	0.00	375 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.23	0.63 W	0.00	376 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.23	0.63 W	0.00	377 of 514 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	4.000	6.40	12/18/20	8.92	2.52 W	0.00	378 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	379 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	380 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	381 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	382 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	383 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	384 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	385 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.25	0.65 W	0.00	386 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.13	0.53 W	0.00	387 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.13	0.53 W	0.00	388 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.24	0.64 W	0.00	389 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	2.24	0.64 W	0.00	390 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.50	1.30 W	0.00	391 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.50	1.30 W	0.00	392 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.50	1.30 W	0.00	393 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.50	1.30 W	0.00	394 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.50	1.30 W	0.00	395 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.51	1.31 W	0.00	396 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.50	1.30 W	0.00	397 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.50	1.30 W	0.00	398 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	4.50	1.30 W	0.00	399 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.75	1.95 W	0.00	400 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.75	1.95 W	0.00	401 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	11.20	3.20 W	0.00	402 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	11.20	3.20 W	0.00	403 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	11.20	3.20 W	0.00	404 of 514 - Sale ²⁵
	6.000	9.60	12/18/20	13.51	3.91 W	0.00	405 of 514 - Sale ²⁵
	7.000	11.20	12/18/20	15.75	4.55 W	0.00	406 of 514 - Sale ²⁵
	7.000	11.20	12/18/20	15.75	4.55 W	0.00	407 of 514 - Sale ²⁵
	9.000	14.40	12/18/20	20.25	5.85 W	0.00	408 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	22.52	6.52 W	0.00	409 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	22.41	6.41 W	0.00	410 of 514 - Sale ²⁵
	13.000	20.80	12/18/20	29.26	8.46 W	0.00	411 of 514 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
15.000		24.00	12/18/20	33.62	9.62 W	0.00	412 of 514 - Sale ²⁵
17.000		27.20	12/18/20	38.28	11.08 W	0.00	413 of 514 - Sale ²⁵
22.000		35.20	12/18/20	49.55	14.35 W	0.00	414 of 514 - Sale ²⁵
23.000		36.80	12/18/20	51.79	14.99 W	0.00	415 of 514 - Sale ²⁵
27.000		43.20	12/18/20	60.83	17.63 W	0.00	416 of 514 - Sale ²⁵
54.000		86.39	12/18/20	121.13	34.74 W	0.00	417 of 514 - Sale ²⁵
2.000		3.20	12/18/20	4.48	1.28 W	0.00	418 of 514 - Sale ²⁵
1.000		1.60	12/18/20	2.15	0.55 W	0.00	419 of 514 - Sale ²⁵
2.000		3.20	12/18/20	4.28	1.08 W	0.00	420 of 514 - Sale ²⁵
2.000		3.20	12/18/20	4.28	1.08 W	0.00	421 of 514 - Sale ²⁵
3.000		4.80	12/18/20	6.42	1.62 W	0.00	422 of 514 - Sale ²⁵
4.000		6.40	12/18/20	8.60	2.20 W	0.00	423 of 514 - Sale ²⁵
5.000		8.00	12/18/20	10.70	2.70 W	0.00	424 of 514 - Sale ²⁵
6.000		9.60	12/18/20	12.84	3.24 W	0.00	425 of 514 - Sale ²⁵
8.000		12.80	12/18/20	17.12	4.32 W	0.00	426 of 514 - Sale ²⁵
9.000		14.40	12/18/20	19.27	4.87 W	0.00	427 of 514 - Sale ²⁵
9.000		14.40	12/18/20	19.35	4.95 W	0.00	428 of 514 - Sale ²⁵
10.000		16.00	12/18/20	21.41	5.41 W	0.00	429 of 514 - Sale ²⁵
10.000		16.00	12/18/20	21.40	5.40 W	0.00	430 of 514 - Sale ²⁵
11.000		17.60	12/18/20	23.67	6.07 W	0.00	431 of 514 - Sale ²⁵
15.000		24.00	12/18/20	32.28	8.28 W	0.00	432 of 514 - Sale ²⁵
19.000		30.40	12/18/20	40.70	10.30 W	0.00	433 of 514 - Sale ²⁵
34.000		54.39	12/18/20	73.24	18.85 W	0.00	434 of 514 - Sale ²⁵
18.000		28.80	12/18/20	38.78	9.98 W	0.00	435 of 514 - Sale ²⁵
1.000		1.60	12/18/20	2.13	0.53 W	0.00	436 of 514 - Sale ²⁵
1.000		1.60	12/18/20	2.13	0.53 W	0.00	437 of 514 - Sale ²⁵
1.000		1.60	12/18/20	2.13	0.53 W	0.00	438 of 514 - Sale ²⁵
1.000		1.60	12/18/20	2.13	0.53 W	0.00	439 of 514 - Sale ²⁵
1.000		1.60	12/18/20	2.13	0.53 W	0.00	440 of 514 - Sale ²⁵
2.000		3.20	12/18/20	4.26	1.06 W	0.00	441 of 514 - Sale ²⁵
2.000		3.20	12/18/20	4.26	1.06 W	0.00	442 of 514 - Sale ²⁵
2.000		3.20	12/18/20	4.26	1.06 W	0.00	443 of 514 - Sale ²⁵
2.000		3.20	12/18/20	4.26	1.06 W	0.00	444 of 514 - Sale ²⁵
2.000		3.20	12/18/20	4.26	1.06 W	0.00	445 of 514 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.20	12/18/20	4.26	1.06 W	0.00	446 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.39	1.59 W	0.00	447 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.39	1.59 W	0.00	448 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.39	1.59 W	0.00	449 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.39	1.59 W	0.00	450 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.40	1.60 W	0.00	451 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.39	1.59 W	0.00	452 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	6.39	1.59 W	0.00	453 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.53	2.13 W	0.00	454 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.52	2.12 W	0.00	455 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	8.52	2.12 W	0.00	456 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	10.66	2.66 W	0.00	457 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	10.66	2.66 W	0.00	458 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	10.66	2.66 W	0.00	459 of 514 - Sale ²⁵
	6.000	9.60	12/18/20	12.92	3.32 W	0.00	460 of 514 - Sale ²⁵
	6.000	9.60	12/18/20	12.85	3.25 W	0.00	461 of 514 - Sale ²⁵
	6.000	9.60	12/18/20	12.79	3.19 W	0.00	462 of 514 - Sale ²⁵
	7.000	11.20	12/18/20	14.91	3.71 W	0.00	463 of 514 - Sale ²⁵
	7.000	11.20	12/18/20	14.92	3.72 W	0.00	464 of 514 - Sale ²⁵
	7.000	11.20	12/18/20	14.92	3.72 W	0.00	465 of 514 - Sale ²⁵
	8.000	12.80	12/18/20	17.04	4.24 W	0.00	466 of 514 - Sale ²⁵
	9.000	14.40	12/18/20	19.27	4.87 W	0.00	467 of 514 - Sale ²⁵
	9.000	14.40	12/18/20	19.19	4.79 W	0.00	468 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.30	5.30 W	0.00	469 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.31	5.31 W	0.00	470 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.30	5.30 W	0.00	471 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.31	5.31 W	0.00	472 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.32	5.32 W	0.00	473 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	21.31	5.31 W	0.00	474 of 514 - Sale ²⁵
	13.000	20.80	12/18/20	27.71	6.91 W	0.00	475 of 514 - Sale ²⁵
	14.000	22.40	12/18/20	29.86	7.46 W	0.00	476 of 514 - Sale ²⁵
	15.000	24.00	12/18/20	31.99	7.99 W	0.00	477 of 514 - Sale ²⁵
	15.000	24.00	12/18/20	31.99	7.99 W	0.00	478 of 514 - Sale ²⁵
	15.000	24.00	12/18/20	32.00	8.00 W	0.00	479 of 514 - Sale ²⁵

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	16.000	25.60	12/18/20	34.12	8.52 W	0.00	480 of 514 - Sale ²⁵
	34.000	54.39	12/18/20	72.89	18.50 W	0.00	481 of 514 - Sale ²⁵
	39.000	62.39	12/18/20	83.24	20.85 W	0.00	482 of 514 - Sale ²⁵
	41.000	65.59	12/18/20	87.89	22.30 W	0.00	483 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	1.95	0.35 W	0.00	484 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	3.90	0.70 W	0.00	485 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	3.90	0.70 W	0.00	486 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	5.85	1.05 W	0.00	487 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	5.86	1.06 W	0.00	488 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	5.85	1.05 W	0.00	489 of 514 - Sale ²⁵
	3.000	4.80	12/18/20	5.85	1.05 W	0.00	490 of 514 - Sale ²⁵
	4.000	6.40	12/18/20	7.80	1.40 W	0.00	491 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	9.75	1.75 W	0.00	492 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	9.75	1.75 W	0.00	493 of 514 - Sale ²⁵
	5.000	8.00	12/18/20	9.75	1.75 W	0.00	494 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	19.50	3.50 W	0.00	495 of 514 - Sale ²⁵
	10.000	16.00	12/18/20	19.51	3.51 W	0.00	496 of 514 - Sale ²⁵
	13.000	20.80	12/18/20	25.38	4.58 W	0.00	497 of 514 - Sale ²⁵
	14.000	22.40	12/18/20	27.33	4.93 W	0.00	498 of 514 - Sale ²⁵
	17.000	27.20	12/18/20	33.18	5.98 W	0.00	499 of 514 - Sale ²⁵
	1.000	1.60	12/18/20	1.68	0.08 W	0.00	500 of 514 - Sale ²⁵
	2.000	3.20	12/18/20	3.36	0.16 W	0.00	501 of 514 - Sale ²⁵
	14.000	22.40	12/18/20	23.55	1.15 W	0.00	502 of 514 - Sale ²⁵
	23.000	36.80	12/18/20	38.73	1.93 W	0.00	503 of 514 - Sale ²⁵
	60.000	95.99	12/18/20	96.01	0.02 W	0.00	504 of 514 - Sale ²⁵
	60.000	95.99	12/18/20	96.01	0.02 W	0.00	505 of 514 - Sale ²⁵
	100.000	159.98	12/18/20	160.01	0.03 W	0.00	506 of 514 - Sale ²⁵
	122.000	195.17	12/18/20	195.20	0.03 W	0.00	507 of 514 - Sale ²⁵
	70.000	111.99	12/18/20	112.00	0.01 W	0.00	508 of 514 - Sale ²⁵
	50.000	79.99	12/18/20	80.00	0.01 W	0.00	509 of 514 - Sale ²⁵
	800.000	1,279.83	12/18/20	1,280.00	0.17 W	0.00	510 of 514 - Sale ²⁵
	100.000	159.98	12/18/20	160.00	0.02 W	0.00	511 of 514 - Sale ²⁵
	314.000	502.33	12/18/20	502.40	0.07 W	0.00	512 of 514 - Sale ²⁵
	344.000	550.33	12/18/20	550.40	0.07 W	0.00	513 of 514 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
12/18/20	41.000	65.59	12/18/20	65.60	0.01 W	0.00	514 of 514 - Sale ²⁵
	6,795.000	10,887.80	Various	12,906.69	2,018.89 W	0.00	Total of 514 transactions
	35 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	1.000	1.68	12/18/20	1.68	...	0.00	1 of 35 - Sale ²⁵
	2.000	3.36	12/18/20	3.36	...	0.00	2 of 35 - Sale ²⁵
	20.000	33.60	12/18/20	32.01	...	1.59	3 of 35 - Sale ²⁵
	50.000	83.99	12/18/20	80.01	...	3.98	4 of 35 - Sale ²⁵
	60.000	100.78	12/18/20	96.02	...	4.76	5 of 35 - Sale ²⁵
	60.000	100.78	12/18/20	96.02	...	4.76	6 of 35 - Sale ²⁵
	70.000	117.59	12/18/20	112.01	...	5.58	7 of 35 - Sale ²⁵
	97.000	162.93	12/18/20	155.22	...	7.71	8 of 35 - Sale ²⁵
	100.000	167.97	12/18/20	160.03	...	7.94	9 of 35 - Sale ²⁵
	100.000	167.98	12/18/20	160.02	...	7.96	10 of 35 - Sale ²⁵
	105.000	176.38	12/18/20	168.02	...	8.36	11 of 35 - Sale ²⁵
	270.000	453.56	12/18/20	432.04	...	21.52	12 of 35 - Sale ²⁵
	313.000	525.75	12/18/20	500.85	...	24.90	13 of 35 - Sale ²⁵
	1.000	1.68	12/28/20	1.67	...	0.01	14 of 35 - Sale ²⁵
	1.000	1.68	12/28/20	1.67	...	0.01	15 of 35 - Sale ²⁵
	2.000	3.36	12/28/20	3.34	...	0.02	16 of 35 - Sale ²⁵
	3.000	5.04	12/28/20	5.01	...	0.03	17 of 35 - Sale ²⁵
	14.000	23.52	12/28/20	23.41	...	0.11	18 of 35 - Sale ²⁵
	23.000	38.64	12/28/20	38.51	...	0.13	19 of 35 - Sale ²⁵
	16.000	26.88	12/28/20	26.72	...	0.16	20 of 35 - Sale ²⁵
	19.000	31.92	12/28/20	31.73	...	0.19	21 of 35 - Sale ²⁵
	32.000	53.75	12/28/20	53.45	...	0.30	22 of 35 - Sale ²⁵
	41.000	68.87	12/28/20	68.48	...	0.39	23 of 35 - Sale ²⁵
	67.000	112.55	12/28/20	111.90	...	0.65	24 of 35 - Sale ²⁵
	119.000	199.90	12/28/20	198.75	...	1.15	25 of 35 - Sale ²⁵
	133.000	223.43	12/28/20	222.14	...	1.29	26 of 35 - Sale ²⁵
	150.000	251.98	12/28/20	250.50	...	1.48	27 of 35 - Sale ²⁵
	181.000	304.04	12/28/20	302.31	...	1.73	28 of 35 - Sale ²⁵
	209.000	351.09	12/28/20	349.08	...	2.01	29 of 35 - Sale ²⁵
	250.000	419.96	12/28/20	417.50	...	2.46	30 of 35 - Sale ²⁵
	284.000	477.09	12/28/20	474.35	...	2.74	31 of 35 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	297.000	498.92	12/28/20	495.99	...	2.93	32 of 35 - Sale ²⁵
	303.000	508.98	12/28/20	506.01	...	2.97	33 of 35 - Sale ²⁵
	384.000	645.04	12/28/20	641.36	...	3.68	34 of 35 - Sale ²⁵
	790.000	1,326.96	12/28/20	1,319.30	...	7.66	35 of 35 - Sale ²⁵
12/28/20	4,567.000	7,671.63	Various	7,540.47	...	131.16	Total of 35 transactions
	519 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	1.000	1.68	12/18/20	2.23	0.55 W	0.00	1 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.23	0.55 W	0.00	2 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.23	0.55 W	0.00	3 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.46	1.10 W	0.00	4 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.69	1.65 W	0.00	5 of 519 - Sale ²⁵
	4.000	6.72	12/18/20	8.93	2.21 W	0.00	6 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.23	0.55 W	0.00	7 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.23	0.55 W	0.00	8 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.23	0.55 W	0.00	9 of 519 - Sale ²⁵
	4.000	6.72	12/18/20	8.92	2.20 W	0.00	10 of 519 - Sale ²⁵
	4.000	6.72	12/18/20	8.92	2.20 W	0.00	11 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.23	0.55 W	0.00	12 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.23	0.55 W	0.00	13 of 519 - Sale ²⁵
	4.000	6.72	12/18/20	8.92	2.20 W	0.00	14 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.25	0.57 W	0.00	15 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.25	0.57 W	0.00	16 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.25	0.57 W	0.00	17 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.25	0.57 W	0.00	18 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.25	0.57 W	0.00	19 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.25	0.57 W	0.00	20 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.25	0.57 W	0.00	21 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.25	0.57 W	0.00	22 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.13	0.45 W	0.00	23 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.13	0.45 W	0.00	24 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.24	0.56 W	0.00	25 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.24	0.56 W	0.00	26 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.50	1.14 W	0.00	27 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.50	1.14 W	0.00	28 of 519 - Sale ²⁵

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(continued)

02/14/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.36	12/18/20	4.50	1.14 W	0.00	29 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.50	1.14 W	0.00	30 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.50	1.14 W	0.00	31 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.51	1.15 W	0.00	32 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.50	1.14 W	0.00	33 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.50	1.14 W	0.00	34 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.50	1.14 W	0.00	35 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.48	1.12 W	0.00	36 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.75	1.71 W	0.00	37 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.75	1.71 W	0.00	38 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	11.20	2.80 W	0.00	39 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	11.20	2.80 W	0.00	40 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	11.20	2.80 W	0.00	41 of 519 - Sale ²⁵
	6.000	10.08	12/18/20	13.51	3.43 W	0.00	42 of 519 - Sale ²⁵
	7.000	11.76	12/18/20	15.75	3.99 W	0.00	43 of 519 - Sale ²⁵
	7.000	11.76	12/18/20	15.75	3.99 W	0.00	44 of 519 - Sale ²⁵
	9.000	15.12	12/18/20	20.25	5.13 W	0.00	45 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	22.52	5.72 W	0.00	46 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	22.41	5.61 W	0.00	47 of 519 - Sale ²⁵
	13.000	21.84	12/18/20	29.26	7.42 W	0.00	48 of 519 - Sale ²⁵
	15.000	25.20	12/18/20	33.62	8.42 W	0.00	49 of 519 - Sale ²⁵
	17.000	28.56	12/18/20	38.28	9.72 W	0.00	50 of 519 - Sale ²⁵
	22.000	36.96	12/18/20	49.55	12.59 W	0.00	51 of 519 - Sale ²⁵
	23.000	38.64	12/18/20	51.79	13.15 W	0.00	52 of 519 - Sale ²⁵
	27.000	45.36	12/18/20	60.83	15.47 W	0.00	53 of 519 - Sale ²⁵
	54.000	90.71	12/18/20	121.14	30.43 W	0.00	54 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.15	0.47 W	0.00	55 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.13	0.45 W	0.00	56 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.13	0.45 W	0.00	57 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.13	0.45 W	0.00	58 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.13	0.45 W	0.00	59 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	2.13	0.45 W	0.00	60 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.28	0.92 W	0.00	61 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.28	0.92 W	0.00	62 of 519 - Sale ²⁵

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.36	12/18/20	4.26	0.90 W	0.00	63 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.26	0.90 W	0.00	64 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.26	0.90 W	0.00	65 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.26	0.90 W	0.00	66 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.26	0.90 W	0.00	67 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	4.26	0.90 W	0.00	68 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.42	1.38 W	0.00	69 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.39	1.35 W	0.00	70 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.39	1.35 W	0.00	71 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.39	1.35 W	0.00	72 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.39	1.35 W	0.00	73 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.40	1.36 W	0.00	74 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.39	1.35 W	0.00	75 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	6.39	1.35 W	0.00	76 of 519 - Sale ²⁵
	4.000	6.72	12/18/20	8.60	1.88 W	0.00	77 of 519 - Sale ²⁵
	4.000	6.72	12/18/20	8.53	1.81 W	0.00	78 of 519 - Sale ²⁵
	4.000	6.72	12/18/20	8.52	1.80 W	0.00	79 of 519 - Sale ²⁵
	4.000	6.72	12/18/20	8.52	1.80 W	0.00	80 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	10.70	2.30 W	0.00	81 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	10.66	2.26 W	0.00	82 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	10.66	2.26 W	0.00	83 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	10.66	2.26 W	0.00	84 of 519 - Sale ²⁵
	6.000	10.08	12/18/20	12.84	2.76 W	0.00	85 of 519 - Sale ²⁵
	6.000	10.08	12/18/20	12.92	2.84 W	0.00	86 of 519 - Sale ²⁵
	6.000	10.08	12/18/20	12.85	2.77 W	0.00	87 of 519 - Sale ²⁵
	6.000	10.08	12/18/20	12.79	2.71 W	0.00	88 of 519 - Sale ²⁵
	7.000	11.76	12/18/20	14.91	3.15 W	0.00	89 of 519 - Sale ²⁵
	7.000	11.76	12/18/20	14.92	3.16 W	0.00	90 of 519 - Sale ²⁵
	7.000	11.76	12/18/20	14.92	3.16 W	0.00	91 of 519 - Sale ²⁵
	8.000	13.44	12/18/20	17.12	3.68 W	0.00	92 of 519 - Sale ²⁵
	8.000	13.44	12/18/20	17.04	3.60 W	0.00	93 of 519 - Sale ²⁵
	9.000	15.12	12/18/20	19.27	4.15 W	0.00	94 of 519 - Sale ²⁵
	9.000	15.12	12/18/20	19.35	4.23 W	0.00	95 of 519 - Sale ²⁵
	9.000	15.12	12/18/20	19.27	4.15 W	0.00	96 of 519 - Sale ²⁵

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	9.000	15.12	12/18/20	19.19	4.07 W	0.00	97 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	21.41	4.61 W	0.00	98 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	21.40	4.60 W	0.00	99 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	21.30	4.50 W	0.00	100 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	21.31	4.51 W	0.00	101 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	21.30	4.50 W	0.00	102 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	21.31	4.51 W	0.00	103 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	21.32	4.52 W	0.00	104 of 519 - Sale ²⁵
	10.000	16.80	12/18/20	21.31	4.51 W	0.00	105 of 519 - Sale ²⁵
	11.000	18.48	12/18/20	23.67	5.19 W	0.00	106 of 519 - Sale ²⁵
	13.000	21.84	12/18/20	27.71	5.87 W	0.00	107 of 519 - Sale ²⁵
	14.000	23.52	12/18/20	29.86	6.34 W	0.00	108 of 519 - Sale ²⁵
	15.000	25.20	12/18/20	32.28	7.08 W	0.00	109 of 519 - Sale ²⁵
	15.000	25.20	12/18/20	31.99	6.79 W	0.00	110 of 519 - Sale ²⁵
	15.000	25.20	12/18/20	31.99	6.79 W	0.00	111 of 519 - Sale ²⁵
	15.000	25.20	12/18/20	32.00	6.80 W	0.00	112 of 519 - Sale ²⁵
	16.000	26.88	12/18/20	34.12	7.24 W	0.00	113 of 519 - Sale ²⁵
	18.000	30.24	12/18/20	38.78	8.54 W	0.00	114 of 519 - Sale ²⁵
	19.000	31.92	12/18/20	40.70	8.78 W	0.00	115 of 519 - Sale ²⁵
	34.000	57.11	12/18/20	73.25	16.14 W	0.00	116 of 519 - Sale ²⁵
	34.000	57.11	12/18/20	72.90	15.79 W	0.00	117 of 519 - Sale ²⁵
	39.000	65.51	12/18/20	83.25	17.74 W	0.00	118 of 519 - Sale ²⁵
	41.000	68.87	12/18/20	87.90	19.03 W	0.00	119 of 519 - Sale ²⁵
	1.000	1.68	12/18/20	1.95	0.27 W	0.00	120 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	3.90	0.54 W	0.00	121 of 519 - Sale ²⁵
	2.000	3.36	12/18/20	3.90	0.54 W	0.00	122 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	5.85	0.81 W	0.00	123 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	5.86	0.82 W	0.00	124 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	5.85	0.81 W	0.00	125 of 519 - Sale ²⁵
	3.000	5.04	12/18/20	5.85	0.81 W	0.00	126 of 519 - Sale ²⁵
	4.000	6.72	12/18/20	7.80	1.08 W	0.00	127 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	9.75	1.35 W	0.00	128 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	9.75	1.35 W	0.00	129 of 519 - Sale ²⁵
	5.000	8.40	12/18/20	9.75	1.35 W	0.00	130 of 519 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
10.000		16.80	12/18/20	19.50	2.70 W	0.00	131 of 519 - Sale ²⁵
10.000		16.80	12/18/20	19.51	2.71 W	0.00	132 of 519 - Sale ²⁵
13.000		21.84	12/18/20	25.38	3.54 W	0.00	133 of 519 - Sale ²⁵
14.000		23.52	12/18/20	27.33	3.81 W	0.00	134 of 519 - Sale ²⁵
17.000		28.55	12/18/20	33.18	4.63 W	0.00	135 of 519 - Sale ²⁵
14.000		23.52	12/18/20	23.55	0.03 W	0.00	136 of 519 - Sale ²⁵
23.000		38.63	12/18/20	38.73	0.10 W	0.00	137 of 519 - Sale ²⁵
1.000		1.68	12/18/20	2.15	0.47 W	0.00	138 of 519 - Sale ²⁵
1.000		1.68	12/18/20	2.15	0.47 W	0.00	139 of 519 - Sale ²⁵
1.000		1.68	12/18/20	2.15	0.47 W	0.00	140 of 519 - Sale ²⁵
2.000		3.36	12/18/20	4.30	0.94 W	0.00	141 of 519 - Sale ²⁵
3.000		5.04	12/28/20	6.66	1.62 W	0.00	142 of 519 - Sale ²⁵
4.000		6.72	12/28/20	8.89	2.17 W	0.00	143 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.22	0.54 W	0.00	144 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.22	0.54 W	0.00	145 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.22	0.54 W	0.00	146 of 519 - Sale ²⁵
4.000		6.72	12/28/20	8.88	2.16 W	0.00	147 of 519 - Sale ²⁵
4.000		6.72	12/28/20	8.88	2.16 W	0.00	148 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.22	0.54 W	0.00	149 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.22	0.54 W	0.00	150 of 519 - Sale ²⁵
4.000		6.72	12/28/20	8.88	2.16 W	0.00	151 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.24	0.56 W	0.00	152 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.24	0.56 W	0.00	153 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.24	0.56 W	0.00	154 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.24	0.56 W	0.00	155 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.24	0.56 W	0.00	156 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.24	0.56 W	0.00	157 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.24	0.56 W	0.00	158 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.24	0.56 W	0.00	159 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.12	0.44 W	0.00	160 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.12	0.44 W	0.00	161 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.23	0.55 W	0.00	162 of 519 - Sale ²⁵
1.000		1.68	12/28/20	2.23	0.55 W	0.00	163 of 519 - Sale ²⁵
2.000		3.36	12/28/20	4.48	1.12 W	0.00	164 of 519 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.36	12/28/20	4.48	1.12 W	0.00	165 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.48	1.12 W	0.00	166 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.48	1.12 W	0.00	167 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.48	1.12 W	0.00	168 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.49	1.13 W	0.00	169 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.48	1.12 W	0.00	170 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.48	1.12 W	0.00	171 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.48	1.12 W	0.00	172 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.72	1.68 W	0.00	173 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.72	1.68 W	0.00	174 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.15	2.75 W	0.00	175 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.15	2.75 W	0.00	176 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.15	2.75 W	0.00	177 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	13.45	3.37 W	0.00	178 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	15.68	3.92 W	0.00	179 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	15.68	3.92 W	0.00	180 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	20.16	5.04 W	0.00	181 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	22.42	5.62 W	0.00	182 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	22.31	5.51 W	0.00	183 of 519 - Sale ²⁵
	13.000	21.84	12/28/20	29.13	7.29 W	0.00	184 of 519 - Sale ²⁵
	17.000	28.56	12/28/20	38.11	9.55 W	0.00	185 of 519 - Sale ²⁵
	22.000	36.95	12/28/20	49.33	12.38 W	0.00	186 of 519 - Sale ²⁵
	23.000	38.64	12/28/20	51.56	12.92 W	0.00	187 of 519 - Sale ²⁵
	27.000	45.35	12/28/20	60.56	15.21 W	0.00	188 of 519 - Sale ²⁵
	24.000	40.30	12/28/20	53.60	13.30 W	0.00	189 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.17	2.77 W	0.00	190 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.70	1.66 W	0.00	191 of 519 - Sale ²⁵
	17.000	28.56	12/28/20	37.97	9.41 W	0.00	192 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.46	1.10 W	0.00	193 of 519 - Sale ²⁵
	15.000	25.20	12/28/20	33.47	8.27 W	0.00	194 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.17	2.77 W	0.00	195 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.26	0.90 W	0.00	196 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.39	1.35 W	0.00	197 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	10.65	2.25 W	0.00	198 of 519 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	10.000	16.80	12/28/20	21.31	4.51 W	0.00	199 of 519 - Sale ²⁵
	8.000	13.44	12/28/20	17.06	3.62 W	0.00	200 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.14	0.46 W	0.00	201 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.26	0.90 W	0.00	202 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.56	1.84 W	0.00	203 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.78	2.70 W	0.00	204 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.86	2.78 W	0.00	205 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.79	2.71 W	0.00	206 of 519 - Sale ²⁵
	8.000	13.44	12/28/20	17.04	3.60 W	0.00	207 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.18	4.06 W	0.00	208 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.26	4.14 W	0.00	209 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.18	4.06 W	0.00	210 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.30	4.50 W	0.00	211 of 519 - Sale ²⁵
	11.000	18.48	12/28/20	23.56	5.08 W	0.00	212 of 519 - Sale ²⁵
	15.000	25.20	12/28/20	32.13	6.93 W	0.00	213 of 519 - Sale ²⁵
	18.000	30.24	12/28/20	38.60	8.36 W	0.00	214 of 519 - Sale ²⁵
	11.000	18.48	12/28/20	23.45	4.97 W	0.00	215 of 519 - Sale ²⁵
	27.000	45.35	12/28/20	57.63	12.28 W	0.00	216 of 519 - Sale ²⁵
	34.000	57.11	12/28/20	72.92	15.81 W	0.00	217 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.14	0.46 W	0.00	218 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.14	0.46 W	0.00	219 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.14	0.46 W	0.00	220 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.28	0.92 W	0.00	221 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.63	1.59 W	0.00	222 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.85	2.13 W	0.00	223 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.21	0.53 W	0.00	224 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.21	0.53 W	0.00	225 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.21	0.53 W	0.00	226 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.84	2.12 W	0.00	227 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.84	2.12 W	0.00	228 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.21	0.53 W	0.00	229 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.21	0.53 W	0.00	230 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.84	2.12 W	0.00	231 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.23	0.55 W	0.00	232 of 519 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.68	12/28/20	2.23	0.55 W	0.00	233 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.23	0.55 W	0.00	234 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.23	0.55 W	0.00	235 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.23	0.55 W	0.00	236 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.23	0.55 W	0.00	237 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.23	0.55 W	0.00	238 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.23	0.55 W	0.00	239 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.11	0.43 W	0.00	240 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.11	0.43 W	0.00	241 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	242 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	243 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.46	1.10 W	0.00	244 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.46	1.10 W	0.00	245 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.46	1.10 W	0.00	246 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.46	1.10 W	0.00	247 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.46	1.10 W	0.00	248 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.47	1.11 W	0.00	249 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.46	1.10 W	0.00	250 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.46	1.10 W	0.00	251 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.46	1.10 W	0.00	252 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.69	1.65 W	0.00	253 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.69	1.65 W	0.00	254 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.10	2.70 W	0.00	255 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.10	2.70 W	0.00	256 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.10	2.70 W	0.00	257 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	13.39	3.31 W	0.00	258 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	15.61	3.85 W	0.00	259 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	15.61	3.85 W	0.00	260 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	20.07	4.95 W	0.00	261 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	22.32	5.52 W	0.00	262 of 519 - Sale ²⁵
	10.000	16.79	12/28/20	22.21	5.42 W	0.00	263 of 519 - Sale ²⁵
	13.000	21.84	12/28/20	29.00	7.16 W	0.00	264 of 519 - Sale ²⁵
	17.000	28.56	12/28/20	37.94	9.38 W	0.00	265 of 519 - Sale ²⁵
	22.000	36.95	12/28/20	49.12	12.17 W	0.00	266 of 519 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	23.000	38.64	12/28/20	51.33	12.69 W	0.00	267 of 519 - Sale ²⁵
	19.000	31.91	12/28/20	42.26	10.35 W	0.00	268 of 519 - Sale ²⁵
	27.000	45.35	12/28/20	60.30	14.95 W	0.00	269 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.90	2.18 W	0.00	270 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.44	1.08 W	0.00	271 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.67	1.63 W	0.00	272 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.12	2.72 W	0.00	273 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.12	2.72 W	0.00	274 of 519 - Sale ²⁵
	15.000	25.20	12/28/20	33.32	8.12 W	0.00	275 of 519 - Sale ²⁵
	17.000	28.56	12/28/20	37.80	9.24 W	0.00	276 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	277 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.12	0.44 W	0.00	278 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.12	0.44 W	0.00	279 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.12	0.44 W	0.00	280 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.12	0.44 W	0.00	281 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.12	0.44 W	0.00	282 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.13	0.45 W	0.00	283 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.24	0.88 W	0.00	284 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.24	0.88 W	0.00	285 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.24	0.88 W	0.00	286 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.24	0.88 W	0.00	287 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.24	0.88 W	0.00	288 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.24	0.88 W	0.00	289 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.24	0.88 W	0.00	290 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.24	0.88 W	0.00	291 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.36	1.32 W	0.00	292 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.36	1.32 W	0.00	293 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.36	1.32 W	0.00	294 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.36	1.32 W	0.00	295 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.37	1.33 W	0.00	296 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.36	1.32 W	0.00	297 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.36	1.32 W	0.00	298 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.36	1.32 W	0.00	299 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.49	1.77 W	0.00	300 of 519 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	4.000	6.72	12/28/20	8.48	1.76 W	0.00	301 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.48	1.76 W	0.00	302 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.52	1.80 W	0.00	303 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	10.61	2.21 W	0.00	304 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	10.61	2.21 W	0.00	305 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	10.61	2.21 W	0.00	306 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	10.60	2.20 W	0.00	307 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.73	2.65 W	0.00	308 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.72	2.64 W	0.00	309 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.80	2.72 W	0.00	310 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.73	2.65 W	0.00	311 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	14.94	3.18 W	0.00	312 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	14.84	3.08 W	0.00	313 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	14.85	3.09 W	0.00	314 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	14.85	3.09 W	0.00	315 of 519 - Sale ²⁵
	8.000	13.44	12/28/20	16.96	3.52 W	0.00	316 of 519 - Sale ²⁵
	8.000	13.44	12/28/20	16.98	3.54 W	0.00	317 of 519 - Sale ²⁵
	8.000	13.44	12/28/20	16.96	3.52 W	0.00	318 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.10	3.98 W	0.00	319 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.09	3.97 W	0.00	320 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.17	4.05 W	0.00	321 of 519 - Sale ²⁵
	9.000	15.11	12/28/20	19.09	3.98 W	0.00	322 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.20	4.40 W	0.00	323 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.21	4.41 W	0.00	324 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.20	4.40 W	0.00	325 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.21	4.41 W	0.00	326 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.22	4.42 W	0.00	327 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.21	4.41 W	0.00	328 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.21	4.41 W	0.00	329 of 519 - Sale ²⁵
	10.000	16.79	12/28/20	21.20	4.41 W	0.00	330 of 519 - Sale ²⁵
	11.000	18.48	12/28/20	23.34	4.86 W	0.00	331 of 519 - Sale ²⁵
	11.000	18.47	12/28/20	23.45	4.98 W	0.00	332 of 519 - Sale ²⁵
	13.000	21.84	12/28/20	27.58	5.74 W	0.00	333 of 519 - Sale ²⁵
	14.000	23.52	12/28/20	29.72	6.20 W	0.00	334 of 519 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
15.000		25.20	12/28/20	31.84	6.64 W	0.00	335 of 519 - Sale ²⁵
15.000		25.20	12/28/20	31.84	6.64 W	0.00	336 of 519 - Sale ²⁵
15.000		25.20	12/28/20	31.85	6.65 W	0.00	337 of 519 - Sale ²⁵
15.000		25.19	12/28/20	31.98	6.79 W	0.00	338 of 519 - Sale ²⁵
16.000		26.88	12/28/20	33.96	7.08 W	0.00	339 of 519 - Sale ²⁵
18.000		30.23	12/28/20	38.42	8.19 W	0.00	340 of 519 - Sale ²⁵
21.000		35.27	12/28/20	44.62	9.35 W	0.00	341 of 519 - Sale ²⁵
34.000		57.10	12/28/20	72.59	15.49 W	0.00	342 of 519 - Sale ²⁵
39.000		65.51	12/28/20	82.87	17.36 W	0.00	343 of 519 - Sale ²⁵
41.000		68.87	12/28/20	87.50	18.63 W	0.00	344 of 519 - Sale ²⁵
6.000		10.08	12/28/20	12.75	2.67 W	0.00	345 of 519 - Sale ²⁵
2.000		3.36	12/28/20	3.88	0.52 W	0.00	346 of 519 - Sale ²⁵
5.000		8.40	12/28/20	9.70	1.30 W	0.00	347 of 519 - Sale ²⁵
10.000		16.80	12/28/20	19.40	2.60 W	0.00	348 of 519 - Sale ²⁵
5.000		8.40	12/28/20	9.71	1.31 W	0.00	349 of 519 - Sale ²⁵
2.000		3.36	12/28/20	3.89	0.53 W	0.00	350 of 519 - Sale ²⁵
1.000		1.68	12/28/20	1.94	0.26 W	0.00	351 of 519 - Sale ²⁵
1.000		1.68	12/28/20	1.94	0.26 W	0.00	352 of 519 - Sale ²⁵
1.000		1.68	12/28/20	1.94	0.26 W	0.00	353 of 519 - Sale ²⁵
2.000		3.36	12/28/20	3.88	0.52 W	0.00	354 of 519 - Sale ²⁵
3.000		5.04	12/28/20	5.82	0.78 W	0.00	355 of 519 - Sale ²⁵
3.000		5.04	12/28/20	5.83	0.79 W	0.00	356 of 519 - Sale ²⁵
3.000		5.04	12/28/20	5.82	0.78 W	0.00	357 of 519 - Sale ²⁵
4.000		6.72	12/28/20	7.76	1.04 W	0.00	358 of 519 - Sale ²⁵
5.000		8.40	12/28/20	9.70	1.30 W	0.00	359 of 519 - Sale ²⁵
5.000		8.40	12/28/20	9.70	1.30 W	0.00	360 of 519 - Sale ²⁵
10.000		16.80	12/28/20	19.41	2.61 W	0.00	361 of 519 - Sale ²⁵
4.000		6.72	12/28/20	7.77	1.05 W	0.00	362 of 519 - Sale ²⁵
14.000		23.51	12/28/20	27.19	3.68 W	0.00	363 of 519 - Sale ²⁵
6.000		10.08	12/28/20	11.65	1.57 W	0.00	364 of 519 - Sale ²⁵
1.000		1.68	12/28/20	1.94	0.26 W	0.00	365 of 519 - Sale ²⁵
3.000		5.04	12/28/20	5.83	0.79 W	0.00	366 of 519 - Sale ²⁵
3.000		5.04	12/28/20	5.82	0.78 W	0.00	367 of 519 - Sale ²⁵
7.000		11.76	12/28/20	13.60	1.84 W	0.00	368 of 519 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.68	12/28/20	2.13	0.45 W	0.00	369 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.13	0.45 W	0.00	370 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.13	0.45 W	0.00	371 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.26	0.90 W	0.00	372 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.60	1.56 W	0.00	373 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.81	2.09 W	0.00	374 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.20	0.52 W	0.00	375 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.20	0.52 W	0.00	376 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.20	0.52 W	0.00	377 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.80	2.08 W	0.00	378 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.80	2.08 W	0.00	379 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.20	0.52 W	0.00	380 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.20	0.52 W	0.00	381 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.80	2.08 W	0.00	382 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	383 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	384 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	385 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	386 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	387 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	388 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	389 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.22	0.54 W	0.00	390 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.10	0.42 W	0.00	391 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.10	0.42 W	0.00	392 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.21	0.53 W	0.00	393 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.21	0.53 W	0.00	394 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.21	0.53 W	0.00	395 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.44	1.08 W	0.00	396 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.44	1.08 W	0.00	397 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.44	1.08 W	0.00	398 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.44	1.08 W	0.00	399 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.44	1.08 W	0.00	400 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.45	1.09 W	0.00	401 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.44	1.08 W	0.00	402 of 519 - Sale ²⁵

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(continued)

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	2.000	3.36	12/28/20	4.44	1.08 W	0.00	403 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.44	1.08 W	0.00	404 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.42	1.06 W	0.00	405 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.66	1.62 W	0.00	406 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.66	1.62 W	0.00	407 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.64	1.60 W	0.00	408 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.86	2.14 W	0.00	409 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.05	2.65 W	0.00	410 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.05	2.65 W	0.00	411 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.05	2.65 W	0.00	412 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.07	2.67 W	0.00	413 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	11.07	2.67 W	0.00	414 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	13.33	3.25 W	0.00	415 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	15.54	3.78 W	0.00	416 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	15.54	3.78 W	0.00	417 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.98	4.86 W	0.00	418 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	22.22	5.42 W	0.00	419 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	22.12	5.32 W	0.00	420 of 519 - Sale ²⁵
	13.000	21.84	12/28/20	28.87	7.03 W	0.00	421 of 519 - Sale ²⁵
	15.000	25.20	12/28/20	33.17	7.97 W	0.00	422 of 519 - Sale ²⁵
	17.000	28.56	12/28/20	37.77	9.21 W	0.00	423 of 519 - Sale ²⁵
	17.000	28.56	12/28/20	37.63	9.07 W	0.00	424 of 519 - Sale ²⁵
	19.000	31.92	12/28/20	42.08	10.16 W	0.00	425 of 519 - Sale ²⁵
	22.000	36.96	12/28/20	48.91	11.95 W	0.00	426 of 519 - Sale ²⁵
	23.000	38.64	12/28/20	51.10	12.46 W	0.00	427 of 519 - Sale ²⁵
	27.000	45.36	12/28/20	60.04	14.68 W	0.00	428 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.11	0.43 W	0.00	429 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.11	0.43 W	0.00	430 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.11	0.43 W	0.00	431 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.11	0.43 W	0.00	432 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.11	0.43 W	0.00	433 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	2.12	0.44 W	0.00	434 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.22	0.86 W	0.00	435 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.22	0.86 W	0.00	436 of 519 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.36	12/28/20	4.22	0.86 W	0.00	437 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.22	0.86 W	0.00	438 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.22	0.86 W	0.00	439 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.22	0.86 W	0.00	440 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.22	0.86 W	0.00	441 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	4.22	0.86 W	0.00	442 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.33	1.29 W	0.00	443 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.33	1.29 W	0.00	444 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.33	1.29 W	0.00	445 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.33	1.29 W	0.00	446 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.34	1.30 W	0.00	447 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.33	1.29 W	0.00	448 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.33	1.29 W	0.00	449 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	6.33	1.29 W	0.00	450 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.45	1.73 W	0.00	451 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.44	1.72 W	0.00	452 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.44	1.72 W	0.00	453 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	8.48	1.76 W	0.00	454 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	10.56	2.16 W	0.00	455 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	10.56	2.16 W	0.00	456 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	10.56	2.16 W	0.00	457 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	10.55	2.15 W	0.00	458 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.67	2.59 W	0.00	459 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.66	2.58 W	0.00	460 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.74	2.66 W	0.00	461 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.67	2.59 W	0.00	462 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	12.69	2.61 W	0.00	463 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	14.87	3.11 W	0.00	464 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	14.77	3.01 W	0.00	465 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	14.78	3.02 W	0.00	466 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	14.78	3.02 W	0.00	467 of 519 - Sale ²⁵
	8.000	13.44	12/28/20	16.88	3.44 W	0.00	468 of 519 - Sale ²⁵
	8.000	13.44	12/28/20	16.90	3.46 W	0.00	469 of 519 - Sale ²⁵
	8.000	13.44	12/28/20	16.88	3.44 W	0.00	470 of 519 - Sale ²⁵

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Account 908804669

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	9.000	15.12	12/28/20	19.01	3.89 W	0.00	471 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.00	3.88 W	0.00	472 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.08	3.96 W	0.00	473 of 519 - Sale ²⁵
	9.000	15.12	12/28/20	19.01	3.89 W	0.00	474 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.10	4.30 W	0.00	475 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.11	4.31 W	0.00	476 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.10	4.30 W	0.00	477 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.11	4.31 W	0.00	478 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.12	4.32 W	0.00	479 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.11	4.31 W	0.00	480 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.11	4.31 W	0.00	481 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	21.11	4.31 W	0.00	482 of 519 - Sale ²⁵
	11.000	18.48	12/28/20	23.23	4.75 W	0.00	483 of 519 - Sale ²⁵
	11.000	18.48	12/28/20	23.35	4.87 W	0.00	484 of 519 - Sale ²⁵
	13.000	21.84	12/28/20	27.45	5.61 W	0.00	485 of 519 - Sale ²⁵
	14.000	23.52	12/28/20	29.58	6.06 W	0.00	486 of 519 - Sale ²⁵
	15.000	25.20	12/28/20	31.69	6.49 W	0.00	487 of 519 - Sale ²⁵
	15.000	25.20	12/28/20	31.69	6.49 W	0.00	488 of 519 - Sale ²⁵
	15.000	25.20	12/28/20	31.70	6.50 W	0.00	489 of 519 - Sale ²⁵
	15.000	25.20	12/28/20	31.84	6.64 W	0.00	490 of 519 - Sale ²⁵
	16.000	26.88	12/28/20	33.80	6.92 W	0.00	491 of 519 - Sale ²⁵
	18.000	30.24	12/28/20	38.25	8.01 W	0.00	492 of 519 - Sale ²⁵
	21.000	35.27	12/28/20	44.42	9.15 W	0.00	493 of 519 - Sale ²⁵
	34.000	57.11	12/28/20	72.27	15.16 W	0.00	494 of 519 - Sale ²⁵
	39.000	65.51	12/28/20	82.49	16.98 W	0.00	495 of 519 - Sale ²⁵
	41.000	68.87	12/28/20	87.10	18.23 W	0.00	496 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	1.93	0.25 W	0.00	497 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	1.93	0.25 W	0.00	498 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	1.93	0.25 W	0.00	499 of 519 - Sale ²⁵
	1.000	1.68	12/28/20	1.93	0.25 W	0.00	500 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	3.86	0.50 W	0.00	501 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	3.87	0.51 W	0.00	502 of 519 - Sale ²⁵
	2.000	3.36	12/28/20	3.86	0.50 W	0.00	503 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	5.79	0.75 W	0.00	504 of 519 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	3.000	5.04	12/28/20	5.80	0.76 W	0.00	505 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	5.79	0.75 W	0.00	506 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	5.80	0.76 W	0.00	507 of 519 - Sale ²⁵
	3.000	5.04	12/28/20	5.79	0.75 W	0.00	508 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	7.73	1.01 W	0.00	509 of 519 - Sale ²⁵
	4.000	6.72	12/28/20	7.72	1.00 W	0.00	510 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	9.65	1.25 W	0.00	511 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	9.66	1.26 W	0.00	512 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	9.65	1.25 W	0.00	513 of 519 - Sale ²⁵
	5.000	8.40	12/28/20	9.65	1.25 W	0.00	514 of 519 - Sale ²⁵
	6.000	10.08	12/28/20	11.59	1.51 W	0.00	515 of 519 - Sale ²⁵
	7.000	11.76	12/28/20	13.53	1.77 W	0.00	516 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	19.30	2.50 W	0.00	517 of 519 - Sale ²⁵
	10.000	16.80	12/28/20	19.31	2.51 W	0.00	518 of 519 - Sale ²⁵
	14.000	23.52	12/28/20	27.06	3.54 W	0.00	519 of 519 - Sale ²⁵
12/28/20	3,433.000	5,767.12	Various	7,354.95	1,587.83 W	0.00	Total of 519 transactions
	3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	419.000	670.28	12/30/20	670.40	...	-0.12	1 of 3 - Sale ²⁵
	331.000	529.54	12/30/20	526.29	...	3.25	2 of 3 - Sale ²⁵
	419.000	670.30	12/30/20	666.21	...	4.09	3 of 3 - Sale ²⁵
12/30/20	1,169.000	1,870.12	Various	1,862.90	...	7.22	Total of 3 transactions
	387 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	250.000	399.96	12/28/20	417.50	17.54 W	0.00	1 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.12	0.52 W	0.00	2 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.12	0.52 W	0.00	3 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.12	0.52 W	0.00	4 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.24	1.04 W	0.00	5 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.57	1.77 W	0.00	6 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	8.77	2.37 W	0.00	7 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.19	0.59 W	0.00	8 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.19	0.59 W	0.00	9 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.19	0.59 W	0.00	10 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	8.76	2.36 W	0.00	11 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	8.76	2.36 W	0.00	12 of 387 - Sale ²⁵

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITORY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.60	12/28/20	2.19	0.59 W	0.00	13 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.19	0.59 W	0.00	14 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	8.76	2.36 W	0.00	15 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.21	0.61 W	0.00	16 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.21	0.61 W	0.00	17 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.21	0.61 W	0.00	18 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.21	0.61 W	0.00	19 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.21	0.61 W	0.00	20 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.21	0.61 W	0.00	21 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.21	0.61 W	0.00	22 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.21	0.61 W	0.00	23 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.09	0.49 W	0.00	24 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.09	0.49 W	0.00	25 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.20	0.60 W	0.00	26 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.20	0.60 W	0.00	27 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.20	0.60 W	0.00	28 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.42	1.22 W	0.00	29 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.42	1.22 W	0.00	30 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.42	1.22 W	0.00	31 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.42	1.22 W	0.00	32 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.42	1.22 W	0.00	33 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.43	1.23 W	0.00	34 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.42	1.22 W	0.00	35 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.42	1.22 W	0.00	36 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.42	1.22 W	0.00	37 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.40	1.20 W	0.00	38 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.63	1.83 W	0.00	39 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.63	1.83 W	0.00	40 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.61	1.81 W	0.00	41 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	8.82	2.42 W	0.00	42 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	11.00	3.00 W	0.00	43 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	11.00	3.00 W	0.00	44 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	11.00	3.00 W	0.00	45 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	11.02	3.02 W	0.00	46 of 387 - Sale ²⁵

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	5.000	8.00	12/28/20	11.02	3.02 W	0.00	47 of 387 - Sale ²⁵
	6.000	9.60	12/28/20	13.27	3.67 W	0.00	48 of 387 - Sale ²⁵
	7.000	11.20	12/28/20	15.47	4.27 W	0.00	49 of 387 - Sale ²⁵
	7.000	11.20	12/28/20	15.47	4.27 W	0.00	50 of 387 - Sale ²⁵
	9.000	14.40	12/28/20	19.89	5.49 W	0.00	51 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	22.12	6.12 W	0.00	52 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	22.02	6.02 W	0.00	53 of 387 - Sale ²⁵
	13.000	20.80	12/28/20	28.74	7.94 W	0.00	54 of 387 - Sale ²⁵
	15.000	24.00	12/28/20	33.02	9.02 W	0.00	55 of 387 - Sale ²⁵
	17.000	27.20	12/28/20	37.60	10.40 W	0.00	56 of 387 - Sale ²⁵
	17.000	27.20	12/28/20	37.46	10.26 W	0.00	57 of 387 - Sale ²⁵
	19.000	30.40	12/28/20	41.89	11.49 W	0.00	58 of 387 - Sale ²⁵
	22.000	35.20	12/28/20	48.69	13.49 W	0.00	59 of 387 - Sale ²⁵
	23.000	36.80	12/28/20	50.87	14.07 W	0.00	60 of 387 - Sale ²⁵
	27.000	43.20	12/28/20	59.77	16.57 W	0.00	61 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.10	0.50 W	0.00	62 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.10	0.50 W	0.00	63 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.10	0.50 W	0.00	64 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.10	0.50 W	0.00	65 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.10	0.50 W	0.00	66 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	2.11	0.51 W	0.00	67 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.20	1.00 W	0.00	68 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.20	1.00 W	0.00	69 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.20	1.00 W	0.00	70 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.20	1.00 W	0.00	71 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.20	1.00 W	0.00	72 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.20	1.00 W	0.00	73 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.20	1.00 W	0.00	74 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	4.20	1.00 W	0.00	75 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.30	1.50 W	0.00	76 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.30	1.50 W	0.00	77 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.30	1.50 W	0.00	78 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.30	1.50 W	0.00	79 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.31	1.51 W	0.00	80 of 387 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	3.000	4.80	12/28/20	6.30	1.50 W	0.00	81 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.30	1.50 W	0.00	82 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	6.30	1.50 W	0.00	83 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	8.41	2.01 W	0.00	84 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	8.40	2.00 W	0.00	85 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	8.40	2.00 W	0.00	86 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	8.44	2.04 W	0.00	87 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	10.51	2.51 W	0.00	88 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	10.51	2.51 W	0.00	89 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	10.51	2.51 W	0.00	90 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	10.50	2.50 W	0.00	91 of 387 - Sale ²⁵
	6.000	9.60	12/28/20	12.61	3.01 W	0.00	92 of 387 - Sale ²⁵
	6.000	9.60	12/28/20	12.60	3.00 W	0.00	93 of 387 - Sale ²⁵
	6.000	9.60	12/28/20	12.68	3.08 W	0.00	94 of 387 - Sale ²⁵
	6.000	9.60	12/28/20	12.61	3.01 W	0.00	95 of 387 - Sale ²⁵
	6.000	9.60	12/28/20	12.63	3.03 W	0.00	96 of 387 - Sale ²⁵
	7.000	11.20	12/28/20	14.80	3.60 W	0.00	97 of 387 - Sale ²⁵
	7.000	11.20	12/28/20	14.70	3.50 W	0.00	98 of 387 - Sale ²⁵
	7.000	11.20	12/28/20	14.71	3.51 W	0.00	99 of 387 - Sale ²⁵
	7.000	11.20	12/28/20	14.71	3.51 W	0.00	100 of 387 - Sale ²⁵
	8.000	12.80	12/28/20	16.80	4.00 W	0.00	101 of 387 - Sale ²⁵
	8.000	12.80	12/28/20	16.82	4.02 W	0.00	102 of 387 - Sale ²⁵
	8.000	12.80	12/28/20	16.80	4.00 W	0.00	103 of 387 - Sale ²⁵
	9.000	14.40	12/28/20	18.92	4.52 W	0.00	104 of 387 - Sale ²⁵
	9.000	14.40	12/28/20	18.91	4.51 W	0.00	105 of 387 - Sale ²⁵
	9.000	14.40	12/28/20	18.99	4.59 W	0.00	106 of 387 - Sale ²⁵
	9.000	14.40	12/28/20	18.92	4.52 W	0.00	107 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	21.00	5.00 W	0.00	108 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	21.01	5.01 W	0.00	109 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	21.00	5.00 W	0.00	110 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	21.01	5.01 W	0.00	111 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	21.02	5.02 W	0.00	112 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	21.01	5.01 W	0.00	113 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	21.01	5.01 W	0.00	114 of 387 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	10.000	16.00	12/28/20	21.01	5.01 W	0.00	115 of 387 - Sale ²⁵
	11.000	17.60	12/28/20	23.12	5.52 W	0.00	116 of 387 - Sale ²⁵
	11.000	17.60	12/28/20	23.24	5.64 W	0.00	117 of 387 - Sale ²⁵
	13.000	20.80	12/28/20	27.32	6.52 W	0.00	118 of 387 - Sale ²⁵
	14.000	22.40	12/28/20	29.44	7.04 W	0.00	119 of 387 - Sale ²⁵
	15.000	24.00	12/28/20	31.54	7.54 W	0.00	120 of 387 - Sale ²⁵
	15.000	24.00	12/28/20	31.54	7.54 W	0.00	121 of 387 - Sale ²⁵
	15.000	24.00	12/28/20	31.55	7.55 W	0.00	122 of 387 - Sale ²⁵
	15.000	24.00	12/28/20	31.69	7.69 W	0.00	123 of 387 - Sale ²⁵
	16.000	25.60	12/28/20	33.64	8.04 W	0.00	124 of 387 - Sale ²⁵
	18.000	28.80	12/28/20	38.07	9.27 W	0.00	125 of 387 - Sale ²⁵
	21.000	33.60	12/28/20	44.22	10.62 W	0.00	126 of 387 - Sale ²⁵
	34.000	54.39	12/28/20	71.94	17.55 W	0.00	127 of 387 - Sale ²⁵
	39.000	62.39	12/28/20	82.11	19.72 W	0.00	128 of 387 - Sale ²⁵
	41.000	65.59	12/28/20	86.70	21.11 W	0.00	129 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	1.92	0.32 W	0.00	130 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	1.92	0.32 W	0.00	131 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	1.92	0.32 W	0.00	132 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	1.92	0.32 W	0.00	133 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	3.84	0.64 W	0.00	134 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	3.85	0.65 W	0.00	135 of 387 - Sale ²⁵
	2.000	3.20	12/28/20	3.84	0.64 W	0.00	136 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	5.76	0.96 W	0.00	137 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	5.77	0.97 W	0.00	138 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	5.76	0.96 W	0.00	139 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	5.77	0.97 W	0.00	140 of 387 - Sale ²⁵
	3.000	4.80	12/28/20	5.76	0.96 W	0.00	141 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	7.69	1.29 W	0.00	142 of 387 - Sale ²⁵
	4.000	6.40	12/28/20	7.68	1.28 W	0.00	143 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	9.60	1.60 W	0.00	144 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	9.61	1.61 W	0.00	145 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	9.60	1.60 W	0.00	146 of 387 - Sale ²⁵
	5.000	8.00	12/28/20	9.60	1.60 W	0.00	147 of 387 - Sale ²⁵
	6.000	9.60	12/28/20	11.53	1.93 W	0.00	148 of 387 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	7.000	11.20	12/28/20	13.46	2.26 W	0.00	149 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	19.20	3.20 W	0.00	150 of 387 - Sale ²⁵
	10.000	16.00	12/28/20	19.21	3.21 W	0.00	151 of 387 - Sale ²⁵
	14.000	22.40	12/28/20	26.92	4.52 W	0.00	152 of 387 - Sale ²⁵
	45.000	71.99	12/28/20	75.15	3.16 W	0.00	153 of 387 - Sale ²⁵
	35.000	55.99	12/28/20	58.45	2.46 W	0.00	154 of 387 - Sale ²⁵
	100.000	159.98	12/28/20	167.00	7.02 W	0.00	155 of 387 - Sale ²⁵
	200.000	319.95	12/28/20	334.00	14.05 W	0.00	156 of 387 - Sale ²⁵
	155.000	247.96	12/28/20	258.85	10.89 W	0.00	157 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	1.67	0.07 W	0.00	158 of 387 - Sale ²⁵
	56.000	89.59	12/28/20	93.52	3.93 W	0.00	159 of 387 - Sale ²⁵
	1.000	1.60	12/28/20	1.67	0.07 W	0.00	160 of 387 - Sale ²⁵
	447.000	715.09	12/28/20	746.49	31.40 W	0.00	161 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.11	0.51 W	0.00	162 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.11	0.51 W	0.00	163 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.11	0.51 W	0.00	164 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.22	1.02 W	0.00	165 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.36	1.16 W	0.00	166 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.73	2.33 W	0.00	167 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	168 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	169 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	170 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	171 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.72	2.32 W	0.00	172 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.72	2.32 W	0.00	173 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	174 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	175 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.72	2.32 W	0.00	176 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	177 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	178 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	179 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	180 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	181 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	182 of 387 - Sale ²⁵

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	183 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	184 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.08	0.48 W	0.00	185 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.08	0.48 W	0.00	186 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.19	0.59 W	0.00	187 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.19	0.59 W	0.00	188 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.19	0.59 W	0.00	189 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	190 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	191 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	192 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	193 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	194 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.41	1.21 W	0.00	195 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	196 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	197 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	198 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.38	1.18 W	0.00	199 of 387 - Sale ²⁵
	3.000	4.80	12/30/20	6.60	1.80 W	0.00	200 of 387 - Sale ²⁵
	3.000	4.80	12/30/20	6.60	1.80 W	0.00	201 of 387 - Sale ²⁵
	3.000	4.80	12/30/20	6.58	1.78 W	0.00	202 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.78	2.38 W	0.00	203 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.95	2.95 W	0.00	204 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.95	2.95 W	0.00	205 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.95	2.95 W	0.00	206 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.97	2.97 W	0.00	207 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.97	2.97 W	0.00	208 of 387 - Sale ²⁵
	6.000	9.60	12/30/20	13.21	3.61 W	0.00	209 of 387 - Sale ²⁵
	7.000	11.20	12/30/20	15.40	4.20 W	0.00	210 of 387 - Sale ²⁵
	7.000	11.20	12/30/20	15.40	4.20 W	0.00	211 of 387 - Sale ²⁵
	9.000	14.40	12/30/20	19.80	5.40 W	0.00	212 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	22.02	6.02 W	0.00	213 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	21.92	5.92 W	0.00	214 of 387 - Sale ²⁵
	13.000	20.80	12/30/20	28.61	7.81 W	0.00	215 of 387 - Sale ²⁵
	15.000	24.00	12/30/20	32.87	8.87 W	0.00	216 of 387 - Sale ²⁵

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
17.000		27.20	12/30/20	37.43	10.23 W	0.00	217 of 387 - Sale ²⁵
17.000		27.19	12/30/20	37.29	10.10 W	0.00	218 of 387 - Sale ²⁵
19.000		30.39	12/30/20	41.70	11.31 W	0.00	219 of 387 - Sale ²⁵
22.000		35.19	12/30/20	48.47	13.28 W	0.00	220 of 387 - Sale ²⁵
23.000		36.79	12/30/20	50.64	13.85 W	0.00	221 of 387 - Sale ²⁵
27.000		43.19	12/30/20	59.50	16.31 W	0.00	222 of 387 - Sale ²⁵
7.000		11.20	12/30/20	14.73	3.53 W	0.00	223 of 387 - Sale ²⁵
22.000		35.19	12/30/20	46.31	11.12 W	0.00	224 of 387 - Sale ²⁵
1.000		1.60	12/30/20	2.09	0.49 W	0.00	225 of 387 - Sale ²⁵
1.000		1.60	12/30/20	2.09	0.49 W	0.00	226 of 387 - Sale ²⁵
1.000		1.60	12/30/20	2.09	0.49 W	0.00	227 of 387 - Sale ²⁵
1.000		1.60	12/30/20	2.09	0.49 W	0.00	228 of 387 - Sale ²⁵
1.000		1.60	12/30/20	2.09	0.49 W	0.00	229 of 387 - Sale ²⁵
1.000		1.60	12/30/20	2.10	0.50 W	0.00	230 of 387 - Sale ²⁵
2.000		3.20	12/30/20	4.18	0.98 W	0.00	231 of 387 - Sale ²⁵
2.000		3.20	12/30/20	4.18	0.98 W	0.00	232 of 387 - Sale ²⁵
2.000		3.20	12/30/20	4.18	0.98 W	0.00	233 of 387 - Sale ²⁵
2.000		3.20	12/30/20	4.18	0.98 W	0.00	234 of 387 - Sale ²⁵
2.000		3.20	12/30/20	4.18	0.98 W	0.00	235 of 387 - Sale ²⁵
2.000		3.20	12/30/20	4.18	0.98 W	0.00	236 of 387 - Sale ²⁵
2.000		3.20	12/30/20	4.18	0.98 W	0.00	237 of 387 - Sale ²⁵
2.000		3.20	12/30/20	4.18	0.98 W	0.00	238 of 387 - Sale ²⁵
3.000		4.80	12/30/20	6.27	1.47 W	0.00	239 of 387 - Sale ²⁵
3.000		4.80	12/30/20	6.27	1.47 W	0.00	240 of 387 - Sale ²⁵
3.000		4.80	12/30/20	6.27	1.47 W	0.00	241 of 387 - Sale ²⁵
3.000		4.80	12/30/20	6.27	1.47 W	0.00	242 of 387 - Sale ²⁵
3.000		4.80	12/30/20	6.28	1.48 W	0.00	243 of 387 - Sale ²⁵
3.000		4.80	12/30/20	6.27	1.47 W	0.00	244 of 387 - Sale ²⁵
3.000		4.80	12/30/20	6.27	1.47 W	0.00	245 of 387 - Sale ²⁵
3.000		4.80	12/30/20	6.27	1.47 W	0.00	246 of 387 - Sale ²⁵
4.000		6.40	12/30/20	8.37	1.97 W	0.00	247 of 387 - Sale ²⁵
4.000		6.40	12/30/20	8.36	1.96 W	0.00	248 of 387 - Sale ²⁵
4.000		6.40	12/30/20	8.36	1.96 W	0.00	249 of 387 - Sale ²⁵
4.000		6.40	12/30/20	8.40	2.00 W	0.00	250 of 387 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	5.000	8.00	12/30/20	10.46	2.46 W	0.00	251 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.46	2.46 W	0.00	252 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.46	2.46 W	0.00	253 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.45	2.45 W	0.00	254 of 387 - Sale ²⁵
	6.000	9.60	12/30/20	12.55	2.95 W	0.00	255 of 387 - Sale ²⁵
	6.000	9.60	12/30/20	12.54	2.94 W	0.00	256 of 387 - Sale ²⁵
	6.000	9.60	12/30/20	12.62	3.02 W	0.00	257 of 387 - Sale ²⁵
	6.000	9.60	12/30/20	12.55	2.95 W	0.00	258 of 387 - Sale ²⁵
	6.000	9.60	12/30/20	12.57	2.97 W	0.00	259 of 387 - Sale ²⁵
	7.000	11.20	12/30/20	14.63	3.43 W	0.00	260 of 387 - Sale ²⁵
	7.000	11.20	12/30/20	14.64	3.44 W	0.00	261 of 387 - Sale ²⁵
	7.000	11.20	12/30/20	14.64	3.44 W	0.00	262 of 387 - Sale ²⁵
	8.000	12.80	12/30/20	16.72	3.92 W	0.00	263 of 387 - Sale ²⁵
	8.000	12.80	12/30/20	16.74	3.94 W	0.00	264 of 387 - Sale ²⁵
	8.000	12.80	12/30/20	16.72	3.92 W	0.00	265 of 387 - Sale ²⁵
	9.000	14.40	12/30/20	18.83	4.43 W	0.00	266 of 387 - Sale ²⁵
	9.000	14.40	12/30/20	18.82	4.42 W	0.00	267 of 387 - Sale ²⁵
	9.000	14.40	12/30/20	18.90	4.50 W	0.00	268 of 387 - Sale ²⁵
	9.000	14.40	12/30/20	18.83	4.43 W	0.00	269 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	20.90	4.90 W	0.00	270 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	20.91	4.91 W	0.00	271 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	20.90	4.90 W	0.00	272 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	20.91	4.91 W	0.00	273 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	20.92	4.92 W	0.00	274 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	20.91	4.91 W	0.00	275 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	20.91	4.91 W	0.00	276 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	20.91	4.91 W	0.00	277 of 387 - Sale ²⁵
	11.000	17.60	12/30/20	23.01	5.41 W	0.00	278 of 387 - Sale ²⁵
	11.000	17.60	12/30/20	23.13	5.53 W	0.00	279 of 387 - Sale ²⁵
	13.000	20.80	12/30/20	27.19	6.39 W	0.00	280 of 387 - Sale ²⁵
	14.000	22.40	12/30/20	29.30	6.90 W	0.00	281 of 387 - Sale ²⁵
	15.000	24.00	12/30/20	31.39	7.39 W	0.00	282 of 387 - Sale ²⁵
	15.000	24.00	12/30/20	31.39	7.39 W	0.00	283 of 387 - Sale ²⁵
	15.000	24.00	12/30/20	31.40	7.40 W	0.00	284 of 387 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
15.000		24.00	12/30/20	31.54	7.54 W	0.00	285 of 387 - Sale ²⁵
16.000		25.60	12/30/20	33.48	7.88 W	0.00	286 of 387 - Sale ²⁵
18.000		28.80	12/30/20	37.89	9.09 W	0.00	287 of 387 - Sale ²⁵
21.000		33.60	12/30/20	44.01	10.41 W	0.00	288 of 387 - Sale ²⁵
34.000		54.39	12/30/20	71.61	17.22 W	0.00	289 of 387 - Sale ²⁵
39.000		62.39	12/30/20	81.73	19.34 W	0.00	290 of 387 - Sale ²⁵
19.000		30.40	12/30/20	39.99	9.59 W	0.00	291 of 387 - Sale ²⁵
1.000		1.60	12/30/20	1.91	0.31 W	0.00	292 of 387 - Sale ²⁵
1.000		1.60	12/30/20	1.91	0.31 W	0.00	293 of 387 - Sale ²⁵
1.000		1.60	12/30/20	1.91	0.31 W	0.00	294 of 387 - Sale ²⁵
1.000		1.60	12/30/20	1.91	0.31 W	0.00	295 of 387 - Sale ²⁵
2.000		3.20	12/30/20	3.82	0.62 W	0.00	296 of 387 - Sale ²⁵
2.000		3.20	12/30/20	3.83	0.63 W	0.00	297 of 387 - Sale ²⁵
2.000		3.20	12/30/20	3.82	0.62 W	0.00	298 of 387 - Sale ²⁵
3.000		4.80	12/30/20	5.73	0.93 W	0.00	299 of 387 - Sale ²⁵
3.000		4.80	12/30/20	5.74	0.94 W	0.00	300 of 387 - Sale ²⁵
3.000		4.80	12/30/20	5.73	0.93 W	0.00	301 of 387 - Sale ²⁵
3.000		4.80	12/30/20	5.74	0.94 W	0.00	302 of 387 - Sale ²⁵
3.000		4.80	12/30/20	5.73	0.93 W	0.00	303 of 387 - Sale ²⁵
4.000		6.40	12/30/20	7.65	1.25 W	0.00	304 of 387 - Sale ²⁵
4.000		6.40	12/30/20	7.64	1.24 W	0.00	305 of 387 - Sale ²⁵
5.000		8.00	12/30/20	9.55	1.55 W	0.00	306 of 387 - Sale ²⁵
5.000		8.00	12/30/20	9.56	1.56 W	0.00	307 of 387 - Sale ²⁵
5.000		8.00	12/30/20	9.55	1.55 W	0.00	308 of 387 - Sale ²⁵
5.000		8.00	12/30/20	9.55	1.55 W	0.00	309 of 387 - Sale ²⁵
6.000		9.60	12/30/20	11.47	1.87 W	0.00	310 of 387 - Sale ²⁵
7.000		11.20	12/30/20	13.39	2.19 W	0.00	311 of 387 - Sale ²⁵
10.000		16.00	12/30/20	19.10	3.10 W	0.00	312 of 387 - Sale ²⁵
10.000		16.00	12/30/20	19.11	3.11 W	0.00	313 of 387 - Sale ²⁵
14.000		22.40	12/30/20	26.78	4.38 W	0.00	314 of 387 - Sale ²⁵
1.000		1.60	12/30/20	1.66	0.06 W	0.00	315 of 387 - Sale ²⁵
1.000		1.60	12/30/20	1.66	0.06 W	0.00	316 of 387 - Sale ²⁵
35.000		55.99	12/30/20	58.11	2.12 W	0.00	317 of 387 - Sale ²⁵
45.000		71.99	12/30/20	74.71	2.72 W	0.00	318 of 387 - Sale ²⁵

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	56.000	89.59	12/30/20	92.97	3.38 W	0.00	319 of 387 - Sale ²⁵
	100.000	159.98	12/30/20	166.02	6.04 W	0.00	320 of 387 - Sale ²⁵
	155.000	247.97	12/30/20	257.34	9.37 W	0.00	321 of 387 - Sale ²⁵
	200.000	319.96	12/30/20	332.05	12.09 W	0.00	322 of 387 - Sale ²⁵
	250.000	399.95	12/30/20	415.04	15.09 W	0.00	323 of 387 - Sale ²⁵
	447.000	715.12	12/30/20	742.13	27.01 W	0.00	324 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.11	0.51 W	0.00	325 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.11	0.51 W	0.00	326 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.11	0.51 W	0.00	327 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.22	1.02 W	0.00	328 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	329 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.36	1.16 W	0.00	330 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.73	2.33 W	0.00	331 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	332 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	333 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	334 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.72	2.32 W	0.00	335 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.72	2.32 W	0.00	336 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	337 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.18	0.58 W	0.00	338 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.72	2.32 W	0.00	339 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	340 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	341 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	342 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	343 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	344 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	345 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	346 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.20	0.60 W	0.00	347 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.08	0.48 W	0.00	348 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.08	0.48 W	0.00	349 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.19	0.59 W	0.00	350 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.19	0.59 W	0.00	351 of 387 - Sale ²⁵
	1.000	1.60	12/30/20	2.19	0.59 W	0.00	352 of 387 - Sale ²⁵

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	353 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	354 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	355 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	356 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	357 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.41	1.21 W	0.00	358 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	359 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	360 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.40	1.20 W	0.00	361 of 387 - Sale ²⁵
	2.000	3.20	12/30/20	4.38	1.18 W	0.00	362 of 387 - Sale ²⁵
	3.000	4.80	12/30/20	6.60	1.80 W	0.00	363 of 387 - Sale ²⁵
	3.000	4.80	12/30/20	6.60	1.80 W	0.00	364 of 387 - Sale ²⁵
	3.000	4.80	12/30/20	6.58	1.78 W	0.00	365 of 387 - Sale ²⁵
	4.000	6.40	12/30/20	8.78	2.38 W	0.00	366 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.95	2.95 W	0.00	367 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.95	2.95 W	0.00	368 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.95	2.95 W	0.00	369 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.97	2.97 W	0.00	370 of 387 - Sale ²⁵
	5.000	8.00	12/30/20	10.97	2.97 W	0.00	371 of 387 - Sale ²⁵
	6.000	9.60	12/30/20	13.21	3.61 W	0.00	372 of 387 - Sale ²⁵
	7.000	11.20	12/30/20	15.40	4.20 W	0.00	373 of 387 - Sale ²⁵
	7.000	11.20	12/30/20	15.40	4.20 W	0.00	374 of 387 - Sale ²⁵
	9.000	14.40	12/30/20	19.80	5.40 W	0.00	375 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	22.02	6.02 W	0.00	376 of 387 - Sale ²⁵
	10.000	16.00	12/30/20	21.92	5.92 W	0.00	377 of 387 - Sale ²⁵
	13.000	20.80	12/30/20	28.61	7.81 W	0.00	378 of 387 - Sale ²⁵
	15.000	24.00	12/30/20	32.87	8.87 W	0.00	379 of 387 - Sale ²⁵
	17.000	27.20	12/30/20	37.43	10.23 W	0.00	380 of 387 - Sale ²⁵
	17.000	27.20	12/30/20	37.30	10.10 W	0.00	381 of 387 - Sale ²⁵
	19.000	30.40	12/30/20	41.71	11.31 W	0.00	382 of 387 - Sale ²⁵
	22.000	35.20	12/30/20	48.48	13.28 W	0.00	383 of 387 - Sale ²⁵
	23.000	36.80	12/30/20	50.65	13.85 W	0.00	384 of 387 - Sale ²⁵
	27.000	43.19	12/30/20	59.51	16.32 W	0.00	385 of 387 - Sale ²⁵
	7.000	11.20	12/30/20	14.73	3.53 W	0.00	386 of 387 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	22.000	35.19	12/30/20	46.32	11.13 W	0.00	387 of 387 - Sale ²⁵
12/30/20	4,831.000	7,728.93	Various	9,075.65	1,346.72 W	0.00	Total of 387 transactions
	Security total:	90,850.59		103,973.68	13,432.71 W	309.62	
SG BLOCKS, INC. COMMON STOCK / CUSIP: 78418A505 / Symbol:							
10 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	2.91	11/20/20	2.89	...	0.02	1 of 10 - Sale ²⁵
	64.000	186.23	11/20/20	184.94	...	1.29	2 of 10 - Sale ²⁵
	139.000	403.08	11/20/20	401.67	...	1.41	3 of 10 - Sale ²⁵
	75.000	218.24	11/20/20	216.73	...	1.51	4 of 10 - Sale ²⁵
	300.000	869.94	11/20/20	866.91	...	3.03	5 of 10 - Sale ²⁵
	300.000	869.94	11/20/20	866.91	...	3.03	6 of 10 - Sale ²⁵
	300.000	869.94	11/20/20	866.91	...	3.03	7 of 10 - Sale ²⁵
	300.000	872.94	11/20/20	866.91	...	6.03	8 of 10 - Sale ²⁵
	300.000	872.94	11/20/20	866.91	...	6.03	9 of 10 - Sale ²⁵
	300.000	872.94	11/20/20	866.91	...	6.03	10 of 10 - Sale ²⁵
11/20/20	2,079.000	6,039.10	Various	6,007.69	...	31.41	Total of 10 transactions
SAFE-T GROUP LTD. AMERICAN DEP OSITARY SHARE / CUSIP: 78643B401 / Symbol:							
32 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	172.000	206.38	12/04/20	213.30	...	-6.92	1 of 32 - Sale ²⁵
	128.000	153.58	12/04/20	158.74	...	-5.16	2 of 32 - Sale ²⁵
	100.000	119.98	12/04/20	124.01	...	-4.03	3 of 32 - Sale ²⁵
	100.000	119.99	12/04/20	124.02	...	-4.03	4 of 32 - Sale ²⁵
	100.000	119.99	12/04/20	124.01	...	-4.02	5 of 32 - Sale ²⁵
	100.000	119.99	12/04/20	124.01	...	-4.02	6 of 32 - Sale ²⁵
	100.000	119.99	12/04/20	124.01	...	-4.02	7 of 32 - Sale ²⁵
	50.000	58.99	12/04/20	62.00	...	-3.01	8 of 32 - Sale ²⁵
	72.000	86.39	12/04/20	89.29	...	-2.90	9 of 32 - Sale ²⁵
	50.000	59.50	12/04/20	62.01	...	-2.51	10 of 32 - Sale ²⁵
	72.000	86.39	12/04/20	87.84	...	-1.45	11 of 32 - Sale ²⁵
	18.000	21.06	12/04/20	22.32	...	-1.26	12 of 32 - Sale ²⁵
	1.000	1.18	12/04/20	1.24	...	-0.06	13 of 32 - Sale ²⁵
	40.000	48.80	12/04/20	48.80	...	0.00	14 of 32 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SAFE-T GROUP LTD. AMERICAN DEP OSITARY SHARE / CUSIP: 78643B401 / Symbol: (cont'd)							
	4.000	4.76	12/04/20	4.74	...	0.02	15 of 32 - Sale ²⁵
	4.000	4.76	12/04/20	4.74	...	0.02	16 of 32 - Sale ²⁵
	4.000	4.76	12/04/20	4.74	...	0.02	17 of 32 - Sale ²⁵
	4.000	4.76	12/04/20	4.74	...	0.02	18 of 32 - Sale ²⁵
	96.000	114.23	12/04/20	113.77	...	0.46	19 of 32 - Sale ²⁵
	96.000	114.23	12/04/20	113.77	...	0.46	20 of 32 - Sale ²⁵
	100.000	118.99	12/04/20	118.52	...	0.47	21 of 32 - Sale ²⁵
	92.000	110.39	12/04/20	109.48	...	0.91	22 of 32 - Sale ²⁵
	100.000	119.99	12/04/20	119.01	...	0.98	23 of 32 - Sale ²⁵
	100.000	118.99	12/04/20	118.00	...	0.99	24 of 32 - Sale ²⁵
	100.000	119.99	12/04/20	119.00	...	0.99	25 of 32 - Sale ²⁵
	296.000	352.20	12/04/20	350.80	...	1.40	26 of 32 - Sale ²⁵
	296.000	352.20	12/04/20	350.80	...	1.40	27 of 32 - Sale ²⁵
	308.000	369.56	12/04/20	366.52	...	3.04	28 of 32 - Sale ²⁵
	600.000	719.91	12/04/20	714.00	...	5.91	29 of 32 - Sale ²⁵
	600.000	719.91	12/04/20	714.00	...	5.91	30 of 32 - Sale ²⁵
	743.000	891.49	12/04/20	884.17	...	7.32	31 of 32 - Sale ²⁵
	543.000	657.82	12/04/20	646.17	...	11.65	32 of 32 - Sale ²⁵
12/04/20	5,189.000	6,221.15	Various	6,222.57	...	-1.42	Total of 32 transactions
	10 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	428.000	513.53	12/04/20	522.16	1.45 W	-7.18	1 of 10 - Sale ²⁵
	28.000	33.60	12/04/20	34.16	0.38 W	-0.18	2 of 10 - Sale ²⁵
	104.000	123.75	12/04/20	123.76	0.01 W	0.00	3 of 10 - Sale ²⁵
	300.000	356.96	12/04/20	357.00	0.04 W	0.00	4 of 10 - Sale ²⁵
	296.000	352.20	12/04/20	352.24	0.04 W	0.00	5 of 10 - Sale ²⁵
	300.000	356.96	12/04/20	357.00	0.04 W	0.00	6 of 10 - Sale ²⁵
	400.000	479.95	12/04/20	488.00	8.05 W	0.00	7 of 10 - Sale ²⁵
	100.000	119.99	12/04/20	122.00	2.01 W	0.00	8 of 10 - Sale ²⁵
	100.000	119.99	12/04/20	122.00	2.01 W	0.00	9 of 10 - Sale ²⁵
	300.000	359.96	12/04/20	366.00	6.04 W	0.00	10 of 10 - Sale ²⁵
12/04/20	2,356.000	2,816.89	Various	2,844.32	20.07 W	-7.36	Total of 10 transactions
	Security total:	9,038.04		9,066.89	20.07 W	-8.78	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SAFEGUARD SCIENTIFICS, INC. / CUSIP: 786449207 / Symbol:							
8 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	267.000	1,746.16	12/04/20	1,772.64	...	-26.48	1 of 8 - Sale ²⁵
	88.000	576.38	12/04/20	592.11	...	-15.73	2 of 8 - Sale ²⁵
	71.000	465.03	12/04/20	477.73	...	-12.70	3 of 8 - Sale ²⁵
	67.000	438.83	12/04/20	450.81	...	-11.98	4 of 8 - Sale ²⁵
	33.000	216.14	12/04/20	222.04	...	-5.90	5 of 8 - Sale ²⁵
	33.000	216.15	12/04/20	222.04	...	-5.89	6 of 8 - Sale ²⁵
	29.000	189.94	12/04/20	192.53	...	-2.59	7 of 8 - Sale ²⁵
	12.000	78.60	12/04/20	80.74	...	-2.14	8 of 8 - Sale ²⁵
12/04/20	600.000	3,927.23	Various	4,010.64	...	-83.41	Total of 8 transactions
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	100.000	654.97	12/04/20	663.91	8.94 W	0.00	1 of 3 - Sale ²⁵
	100.000	654.97	12/04/20	663.91	8.94 W	0.00	2 of 3 - Sale ²⁵
	104.000	681.17	12/04/20	690.47	9.30 W	0.00	3 of 3 - Sale ²⁵
12/04/20	304.000	1,991.11	Various	2,018.29	27.18 W	0.00	Total of 3 transactions
	Security total:	5,918.34		6,028.93	27.18 W	-83.41	
SALEM MEDIA GROUP, INC. CLASS A COMMON STOCK / CUSIP: 794093104 / Symbol:							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1,571.000	1,568.10	10/12/20	1,552.78	...	15.32	1 of 2 - Sale ²⁵
	2,500.000	2,499.64	10/12/20	2,471.00	...	28.64	2 of 2 - Sale ²⁵
10/12/20	4,071.000	4,067.74	Various	4,023.78	...	43.96	Total of 2 transactions
SECOND SIGHT MEDICAL PRODUCTS, INC. COMMON STOCK / CUSIP: 81362J209 / Symbol:							
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	770.000	786.91	11/25/20	777.70	...	9.21	1 of 3 - Sale ²⁵
	2,200.000	2,248.31	11/25/20	2,222.00	...	26.31	2 of 3 - Sale ²⁵
	2,970.000	3,028.98	11/25/20	2,999.70	...	29.28	3 of 3 - Sale ²⁵
11/25/20	5,940.000	6,064.20	Various	5,999.40	...	64.80	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SENMIAO TECHNOLOGY LIMITED COM MON STOCK / CUSIP: 817225105 / Symbol:							
10/20/20	2,816.000	3,040.87	10/20/20	3,011.71	...	29.16	Sale ²⁵
	4 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	100.000	132.99	11/02/20	130.78	...	2.21	1 of 4 - Sale ²⁵
	100.000	132.99	11/02/20	130.78	...	2.21	2 of 4 - Sale ²⁵
	300.000	398.96	11/02/20	392.34	...	6.62	3 of 4 - Sale ²⁵
	500.000	664.92	11/02/20	653.90	...	11.02	4 of 4 - Sale ²⁵
11/02/20	1,000.000	1,329.86	Various	1,307.80	...	22.06	Total of 4 transactions
	Security total:	4,370.73		4,319.51	...	51.22	
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
	17 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	90.000	266.38	12/09/20	272.18	...	-5.80	1 of 17 - Sale ²⁵
	71.000	210.15	12/09/20	214.72	...	-4.57	2 of 17 - Sale ²⁵
	129.000	381.82	12/09/20	385.71	...	-3.89	3 of 17 - Sale ²⁵
	100.000	295.98	12/09/20	299.00	...	-3.02	4 of 17 - Sale ²⁵
	90.000	266.38	12/09/20	269.10	...	-2.72	5 of 17 - Sale ²⁵
	10.000	29.60	12/09/20	30.24	...	-0.64	6 of 17 - Sale ²⁵
	1.000	3.02	12/09/20	2.99	...	0.03	7 of 17 - Sale ²⁵
	10.000	30.20	12/09/20	29.90	...	0.30	8 of 17 - Sale ²⁵
	33.000	100.32	12/09/20	98.67	...	1.65	9 of 17 - Sale ²⁵
	69.000	208.37	12/09/20	206.31	...	2.06	10 of 17 - Sale ²⁵
	89.000	268.77	12/09/20	266.11	...	2.66	11 of 17 - Sale ²⁵
	100.000	301.99	12/09/20	299.00	...	2.99	12 of 17 - Sale ²⁵
	131.000	395.59	12/09/20	391.69	...	3.90	13 of 17 - Sale ²⁵
	180.000	543.56	12/09/20	538.20	...	5.36	14 of 17 - Sale ²⁵
	200.000	603.96	12/09/20	598.00	...	5.96	15 of 17 - Sale ²⁵
	347.000	1,054.81	12/09/20	1,037.53	...	17.28	16 of 17 - Sale ²⁵
	400.000	1,215.92	12/09/20	1,196.00	...	19.92	17 of 17 - Sale ²⁵
12/09/20	2,050.000	6,176.82	Various	6,135.35	...	41.47	Total of 17 transactions
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	181.000	535.72	12/09/20	541.19	2.45 W	-3.02	1 of 2 - Sale ²⁵
	110.000	325.58	12/09/20	328.90	2.72 W	-0.60	2 of 2 - Sale ²⁵
12/09/20	291.000	861.30	Various	870.09	5.17 W	-3.62	Total of 2 transactions

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SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol: (cont'd)							
Security total:		7,038.12		7,005.44		5.17 W	37.85
SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol:							
08/20/20	80.000	200.79	07/31/20	429.60	...	-228.81	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	379.000	2,690.79	12/16/20	2,706.06	...	-15.27	1 of 3 - Sale ²⁵
	36.000	256.68	12/16/20	257.04	...	-0.36	2 of 3 - Sale ²⁵
	3.000	21.39	12/16/20	21.42	...	-0.03	3 of 3 - Sale ²⁵
12/16/20	418.000	2,968.86	Various	2,984.52	...	-15.66	Total of 3 transactions
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
10/13/20	211.000	2,993.99	10/13/20	2,994.09	...	-0.10	Sale ²⁵
SPHERIX INCORPORATED COMMON ST OCK / CUSIP: 84842R502 / Symbol:							
3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	4.000	7.00	03/06/20	6.28	...	0.72	1 of 3 - Sale ²⁵
	59.000	103.28	03/06/20	87.90	...	15.38	2 of 3 - Sale ²⁵
	1,050.000	1,837.96	03/06/20	1,606.50	...	231.46	3 of 3 - Sale ²⁵
03/10/20	1,113.000	1,948.24	Various	1,700.68	...	247.56	Total of 3 transactions
STAFFING 360 SOLUTIONS, INC. C OMMON STOCK (DE) / CUSIP: 852387307 / Symbol:							
7 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	6.000	4.58	10/14/20	4.50	...	0.08	1 of 7 - Sale ²⁵
	12.000	9.16	10/14/20	9.00	...	0.16	2 of 7 - Sale ²⁵
	141.000	107.65	10/14/20	105.54	...	2.11	3 of 7 - Sale ²⁵
	553.000	422.19	10/14/20	414.69	...	7.50	4 of 7 - Sale ²⁵
	1,235.000	942.88	10/14/20	924.40	...	18.48	5 of 7 - Sale ²⁵
	1,553.000	1,185.66	10/14/20	1,162.42	...	23.24	6 of 7 - Sale ²⁵
	1,947.000	1,486.46	10/14/20	1,457.33	...	29.13	7 of 7 - Sale ²⁵
10/14/20	5,447.000	4,158.58	Various	4,077.88	...	80.70	Total of 7 transactions

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(continued)

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>9 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	47.63	11/06/20	46.24	...	1.39	1 of 9 - Sale ²⁵
	200.000	95.25	11/06/20	93.50	...	1.75	2 of 9 - Sale ²⁵
	300.000	142.88	11/06/20	140.25	...	2.63	3 of 9 - Sale ²⁵
	400.000	190.51	11/06/20	187.00	...	3.51	4 of 9 - Sale ²⁵
	433.000	206.22	11/06/20	202.43	...	3.79	5 of 9 - Sale ²⁵
	500.000	238.13	11/06/20	231.20	...	6.93	6 of 9 - Sale ²⁵
	1,000.000	476.28	11/06/20	467.50	...	8.78	7 of 9 - Sale ²⁵
	2,239.000	1,066.37	11/06/20	1,046.73	...	19.64	8 of 9 - Sale ²⁵
	3,474.000	1,654.56	11/06/20	1,624.10	...	30.46	9 of 9 - Sale ²⁵
11/06/20	8,646.000	4,117.83	Various	4,038.95	...	78.88	Total of 9 transactions
<i>6 transactions for 11/11/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	42.31	11/11/20	42.30	...	0.01	1 of 6 - Sale ²⁵
	300.000	126.92	11/11/20	126.90	...	0.02	2 of 6 - Sale ²⁵
	300.000	126.92	11/11/20	126.90	...	0.02	3 of 6 - Sale ²⁵
	1,700.000	719.22	11/11/20	719.09	...	0.13	4 of 6 - Sale ²⁵
	2,007.000	849.10	11/11/20	848.95	...	0.15	5 of 6 - Sale ²⁵
	2,700.000	1,142.29	11/11/20	1,142.09	...	0.20	6 of 6 - Sale ²⁵
11/11/20	7,107.000	3,006.76	Various	3,006.23	...	0.53	Total of 6 transactions
<i>12 transactions for 11/27/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	1.37	11/27/20	1.36	...	0.01	1 of 12 - Sale ²⁵
	10.000	3.42	11/27/20	3.40	...	0.02	2 of 12 - Sale ²⁵
	10.000	3.42	11/27/20	3.40	...	0.02	3 of 12 - Sale ²⁵
	100.000	34.16	11/27/20	34.00	...	0.16	4 of 12 - Sale ²⁵
	100.000	34.16	11/27/20	34.00	...	0.16	5 of 12 - Sale ²⁵
	100.000	34.16	11/27/20	34.00	...	0.16	6 of 12 - Sale ²⁵
	500.000	170.79	11/27/20	170.10	...	0.69	7 of 12 - Sale ²⁵
	2,000.000	683.14	11/27/20	680.60	...	2.54	8 of 12 - Sale ²⁵
	14,823.000	5,063.12	11/27/20	5,039.82	...	23.30	9 of 12 - Sale ²⁵
	8,294.000	2,847.10	11/27/20	2,819.96	...	27.14	10 of 12 - Sale ²⁵
	9,353.000	3,210.63	11/27/20	3,180.02	...	30.61	11 of 12 - Sale ²⁵
	5,000.000	1,849.35	11/27/20	1,815.00	...	34.35	12 of 12 - Sale ²⁵
11/27/20	40,294.000	13,934.82	Various	13,815.66	...	119.16	Total of 12 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
<i>4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	77.99	11/30/20	74.58	...	3.41	1 of 4 - Sale ²⁵
	200.000	155.97	11/30/20	149.16	...	6.81	2 of 4 - Sale ²⁵
	400.000	311.94	11/30/20	298.32	...	13.62	3 of 4 - Sale ²⁵
	1,300.000	1,013.82	11/30/20	969.54	...	44.28	4 of 4 - Sale ²⁵
11/30/20	2,000.000	1,559.72	Various	1,491.60	...	68.12	Total of 4 transactions
11/30/20	500.000	355.94	11/30/20	412.40	56.46 W	0.00	Sale ²⁵
<i>4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	389.63	12/02/20	444.21	...	-54.58	1 of 4 - Sale ²⁵
	500.000	389.63	12/02/20	387.75	...	1.88	2 of 4 - Sale ²⁵
	2,877.000	2,241.94	12/02/20	2,231.11	...	10.83	3 of 4 - Sale ²⁵
	3,877.000	3,036.32	12/02/20	3,006.61	...	29.71	4 of 4 - Sale ²⁵
12/02/20	7,754.000	6,057.52	Various	6,069.68	...	-12.16	Total of 4 transactions
	Security total:	29,032.59		28,834.52	56.46 W	254.53	
SUPERCONDUCTOR TECHNOLOGIES IN C. COMMON STOCK / CUSIP: 867931602 / Symbol:							
<i>7 transactions for 03/06/20. Total proceeds and cost reported to the IRS.</i>							
	493.000	114.81	03/03/20	309.02	...	-194.21	1 of 7 - Sale ²⁵
	373.000	86.86	03/03/20	233.80	...	-146.94	2 of 7 - Sale ²⁵
	120.000	27.95	03/03/20	91.34	...	-63.39	3 of 7 - Sale ²⁵
	427.000	99.44	03/03/20	153.72	...	-54.28	4 of 7 - Sale ²⁵
	7.000	1.63	03/03/20	6.43	...	-4.80	5 of 7 - Sale ²⁵
	7.000	1.63	03/03/20	3.54	...	-1.91	6 of 7 - Sale ²⁵
	250.000	58.22	03/05/20	65.00	...	-6.78	7 of 7 - Sale ²⁵
03/06/20	1,677.000	390.54	Various	862.85	...	-472.31	Total of 7 transactions
<i>4 transactions for 03/06/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	1.67	03/03/20	3.71	2.04 W	0.00	1 of 4 - Sale ²⁵
	493.000	117.77	03/03/20	249.31	131.54 W	0.00	2 of 4 - Sale ²⁵
	7.000	1.67	03/03/20	5.58	3.91 W	0.00	3 of 4 - Sale ²⁵
	493.000	117.77	03/03/20	249.31	131.54 W	0.00	4 of 4 - Sale ²⁵
03/06/20	1,000.000	238.88	Various	507.91	269.03 W	0.00	Total of 4 transactions
	Security total:	629.42		1,370.76	269.03 W	-472.31	

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(continued)

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SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
4 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	200.000	643.96	10/15/20	638.00	...	5.96	1 of 4 - Sale ²⁵
	200.000	643.96	10/15/20	638.00	...	5.96	2 of 4 - Sale ²⁵
	200.000	643.96	10/15/20	638.00	...	5.96	3 of 4 - Sale ²⁵
	340.000	1,094.73	10/15/20	1,084.60	...	10.13	4 of 4 - Sale ²⁵
10/15/20	940.000	3,026.61	Various	2,998.60	...	28.01	Total of 4 transactions
SUPERIOR INDUSTRIES INTERNATIO NAL, INC. / CUSIP: 868168105 / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	15.000	30.30	11/02/20	29.70	...	0.60	1 of 2 - Sale ²⁵
	1,500.000	3,029.75	11/02/20	2,969.85	...	59.90	2 of 2 - Sale ²⁵
11/02/20	1,515.000	3,060.05	Various	2,999.55	...	60.50	Total of 2 transactions
SURGALIGN HOLDINGS, INC. COMM O N STOCK / CUSIP: 86882C105 / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	627.000	1,203.74	11/02/20	1,184.97	...	18.77	1 of 2 - Sale ²⁵
	1,500.000	2,879.75	11/02/20	2,820.00	...	59.75	2 of 2 - Sale ²⁵
11/02/20	2,127.000	4,083.49	Various	4,004.97	...	78.52	Total of 2 transactions
TD HOLDINGS, INC. COMMON STOCK / CUSIP: 87250W103 / Symbol:							
8 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	900.000	1,925.84	12/03/20	1,962.00	...	-36.16	1 of 8 - Sale ²⁵
	476.000	1,018.55	12/03/20	1,037.68	...	-19.13	2 of 8 - Sale ²⁵
	1.000	2.13	12/03/20	2.18	...	-0.05	3 of 8 - Sale ²⁵
	100.000	218.98	12/03/20	218.00	...	0.98	4 of 8 - Sale ²⁵
	100.000	218.98	12/03/20	218.00	...	0.98	5 of 8 - Sale ²⁵
	100.000	218.98	12/03/20	217.90	...	1.08	6 of 8 - Sale ²⁵
	300.000	656.94	12/03/20	654.00	...	2.94	7 of 8 - Sale ²⁵
	776.000	1,699.31	12/03/20	1,691.68	...	7.63	8 of 8 - Sale ²⁵
12/03/20	2,753.000	5,959.71	Various	6,001.44	...	-41.73	Total of 8 transactions

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/14/20	1.000	546.90	01/13/20	517.43	...	29.47	Sale ²⁵
01/15/20	2.000	1,059.15	01/14/20	1,070.09	...	-10.94	Sale ²⁵
10/14/20	6.000	2,743.13	10/14/20	2,741.64	...	1.49	Sale ²⁵
11/04/20	7.000	2,936.39	11/04/20	2,939.65	...	-3.26	Sale ²⁵
	Security total:	7,285.57		7,268.81	...	16.76	
THE9 LIMITED AMERICAN DEPOSITO RY SHARES / CUSIP: 88337K302 / Symbol:							
	5 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	84.000	234.35	11/12/20	231.00	...	3.35	1 of 5 - Sale ²⁵
	100.000	278.98	11/12/20	275.00	...	3.98	2 of 5 - Sale ²⁵
	100.000	278.98	11/12/20	275.00	...	3.98	3 of 5 - Sale ²⁵
	200.000	557.96	11/12/20	550.00	...	7.96	4 of 5 - Sale ²⁵
	614.000	1,712.95	11/12/20	1,688.50	...	24.45	5 of 5 - Sale ²⁵
11/12/20	1,098.000	3,063.22	Various	3,019.50	...	43.72	Total of 5 transactions
TIMBER PHARMACEUTICALS, INC. / CUSIP: 887080109 / Symbol:							
	2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	300.000	333.04	10/09/20	326.79	...	6.25	1 of 2 - Sale ²⁵
	2,974.000	3,301.60	10/09/20	3,241.66	...	59.94	2 of 2 - Sale ²⁵
10/09/20	3,274.000	3,634.64	Various	3,568.45	...	66.19	Total of 2 transactions
	2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	5.000	5.40	10/13/20	5.29	...	0.11	1 of 2 - Sale ²⁵
	3,800.000	4,103.45	10/13/20	4,019.26	...	84.19	2 of 2 - Sale ²⁵
10/13/20	3,805.000	4,108.85	Various	4,024.55	...	84.30	Total of 2 transactions
	3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	47.000	56.87	10/21/20	56.40	...	0.47	1 of 3 - Sale ²⁵
	500.000	604.92	10/21/20	599.95	...	4.97	2 of 3 - Sale ²⁵
	2,800.000	3,387.59	10/21/20	3,359.72	...	27.87	3 of 3 - Sale ²⁵
10/21/20	3,347.000	4,049.38	Various	4,016.07	...	33.31	Total of 3 transactions
	22 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	2.000	2.62	12/14/20	2.54	...	0.08	1 of 22 - Sale ²⁵
	40.000	51.20	12/14/20	50.80	...	0.40	2 of 22 - Sale ²⁵
	53.000	67.83	12/14/20	67.31	...	0.52	3 of 22 - Sale ²⁵

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(continued)

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TIMBER PHARMACEUTICALS, INC. / CUSIP: 887080109 / Symbol: (cont'd)							
	171.000	218.86	12/14/20	217.17	...	1.69	4 of 22 - Sale ²⁵
	180.000	230.38	12/14/20	228.60	...	1.78	5 of 22 - Sale ²⁵
	247.000	316.13	12/14/20	313.69	...	2.44	6 of 22 - Sale ²⁵
	260.000	332.77	12/14/20	330.20	...	2.57	7 of 22 - Sale ²⁵
	300.000	383.96	12/14/20	381.00	...	2.96	8 of 22 - Sale ²⁵
	300.000	383.96	12/14/20	381.00	...	2.96	9 of 22 - Sale ²⁵
	300.000	383.96	12/14/20	381.00	...	2.96	10 of 22 - Sale ²⁵
	300.000	383.96	12/14/20	381.00	...	2.96	11 of 22 - Sale ²⁵
	300.000	383.96	12/14/20	381.00	...	2.96	12 of 22 - Sale ²⁵
	300.000	383.96	12/14/20	381.00	...	2.96	13 of 22 - Sale ²⁵
	300.000	383.96	12/14/20	381.00	...	2.96	14 of 22 - Sale ²⁵
	300.000	383.96	12/14/20	381.00	...	2.96	15 of 22 - Sale ²⁵
	351.000	449.24	12/14/20	445.77	...	3.47	16 of 22 - Sale ²⁵
	300.000	386.96	12/14/20	381.00	...	5.96	17 of 22 - Sale ²⁵
	300.000	386.96	12/14/20	381.00	...	5.96	18 of 22 - Sale ²⁵
	300.000	386.96	12/14/20	381.00	...	5.96	19 of 22 - Sale ²⁵
	300.000	386.96	12/14/20	381.00	...	5.96	20 of 22 - Sale ²⁵
	300.000	386.96	12/14/20	381.00	...	5.96	21 of 22 - Sale ²⁵
	351.000	452.75	12/14/20	445.77	...	6.98	22 of 22 - Sale ²⁵
12/14/20	5,555.000	7,128.26	Various	7,054.85	...	73.41	Total of 22 transactions
	Security total:	18,921.13		18,663.92	...	257.21	
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol:							
59 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	7,196.000	1,226.03	11/30/20	1,307.51	...	-81.48	1 of 59 - Sale ²⁵
	3,000.000	513.22	11/30/20	545.10	...	-31.88	2 of 59 - Sale ²⁵
	3,000.000	513.52	11/30/20	545.10	...	-31.58	3 of 59 - Sale ²⁵
	2,500.000	427.95	11/30/20	454.25	...	-26.30	4 of 59 - Sale ²⁵
	2,000.000	342.36	11/30/20	363.40	...	-21.04	5 of 59 - Sale ²⁵
	1,000.000	171.98	11/30/20	181.70	...	-9.72	6 of 59 - Sale ²⁵
	1,000.000	172.48	11/30/20	181.70	...	-9.22	7 of 59 - Sale ²⁵
	800.000	137.98	11/30/20	145.36	...	-7.38	8 of 59 - Sale ²⁵
	800.000	137.98	11/30/20	145.36	...	-7.38	9 of 59 - Sale ²⁵
	700.000	119.90	11/30/20	127.19	...	-7.29	10 of 59 - Sale ²⁵

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	600.000	102.41	11/30/20	109.02	...	-6.61	11 of 59 - Sale ²⁵
	700.000	120.74	11/30/20	127.19	...	-6.45	12 of 59 - Sale ²⁵
	600.000	103.49	11/30/20	109.02	...	-5.53	13 of 59 - Sale ²⁵
	600.000	103.49	11/30/20	109.02	...	-5.53	14 of 59 - Sale ²⁵
	500.000	85.34	11/30/20	90.85	...	-5.51	15 of 59 - Sale ²⁵
	500.000	85.34	11/30/20	90.85	...	-5.51	16 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	17 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	18 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	19 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	20 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	21 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	22 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	23 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	24 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	25 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	26 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	27 of 59 - Sale ²⁵
	500.000	86.19	11/30/20	90.85	...	-4.66	28 of 59 - Sale ²⁵
	400.000	68.27	11/30/20	72.68	...	-4.41	29 of 59 - Sale ²⁵
	400.000	68.27	11/30/20	72.68	...	-4.41	30 of 59 - Sale ²⁵
	300.000	51.20	11/30/20	54.51	...	-3.31	31 of 59 - Sale ²⁵
	200.000	34.14	11/30/20	36.34	...	-2.20	32 of 59 - Sale ²⁵
	200.000	34.30	11/30/20	36.34	...	-2.04	33 of 59 - Sale ²⁵
	100.000	17.07	11/30/20	18.17	...	-1.10	34 of 59 - Sale ²⁵
	100.000	17.13	11/30/20	18.17	...	-1.04	35 of 59 - Sale ²⁵
	100.000	17.36	11/30/20	18.17	...	-0.81	36 of 59 - Sale ²⁵
	1.000	0.20	11/30/20	0.19	...	0.01	37 of 59 - Sale ²⁵
	36.000	7.14	11/30/20	7.02	...	0.12	38 of 59 - Sale ²⁵
	87.000	17.29	11/30/20	16.96	...	0.33	39 of 59 - Sale ²⁵
	99.000	19.65	11/30/20	19.31	...	0.34	40 of 59 - Sale ²⁵
	100.000	19.85	11/30/20	19.50	...	0.35	41 of 59 - Sale ²⁵
	100.000	19.85	11/30/20	19.50	...	0.35	42 of 59 - Sale ²⁵
	127.000	25.21	11/30/20	24.77	...	0.44	43 of 59 - Sale ²⁵
	200.000	39.69	11/30/20	39.00	...	0.69	44 of 59 - Sale ²⁵

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	300.000	59.63	11/30/20	58.50	...	1.13	45 of 59 - Sale ²⁵
	364.000	72.24	11/30/20	70.98	...	1.26	46 of 59 - Sale ²⁵
	800.000	158.78	11/30/20	155.92	...	2.86	47 of 59 - Sale ²⁵
	913.000	181.21	11/30/20	177.94	...	3.27	48 of 59 - Sale ²⁵
	1,200.000	238.53	11/30/20	234.00	...	4.53	49 of 59 - Sale ²⁵
	1,287.000	255.45	11/30/20	250.84	...	4.61	50 of 59 - Sale ²⁵
	1,400.000	277.87	11/30/20	272.86	...	5.01	51 of 59 - Sale ²⁵
	1,513.000	300.30	11/30/20	294.88	...	5.42	52 of 59 - Sale ²⁵
	2,437.000	483.68	11/30/20	474.97	...	8.71	53 of 59 - Sale ²⁵
	2,700.000	536.69	11/30/20	526.50	...	10.19	54 of 59 - Sale ²⁵
	3,000.000	595.42	11/30/20	584.70	...	10.72	55 of 59 - Sale ²⁵
	3,000.000	596.32	11/30/20	585.00	...	11.32	56 of 59 - Sale ²⁵
	3,000.000	596.32	11/30/20	584.70	...	11.62	57 of 59 - Sale ²⁵
	3,263.000	648.60	11/30/20	635.96	...	12.64	58 of 59 - Sale ²⁵
	5,000.000	993.88	11/30/20	975.00	...	18.88	59 of 59 - Sale ²⁵
11/30/20	64,223.000	11,850.03	Various	12,078.88	...	-228.85	Total of 59 transactions
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314606 / Symbol:							
18 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	3.53	12/07/20	3.52	...	0.01	1 of 18 - Sale ²⁵
	10.000	35.40	12/07/20	35.20	...	0.20	2 of 18 - Sale ²⁵
	20.000	70.80	12/07/20	70.40	...	0.40	3 of 18 - Sale ²⁵
	26.000	92.03	12/07/20	91.52	...	0.51	4 of 18 - Sale ²⁵
	28.000	99.12	12/07/20	98.56	...	0.56	5 of 18 - Sale ²⁵
	30.000	106.20	12/07/20	105.60	...	0.60	6 of 18 - Sale ²⁵
	18.000	64.08	12/07/20	63.36	...	0.72	7 of 18 - Sale ²⁵
	40.000	141.60	12/07/20	140.80	...	0.80	8 of 18 - Sale ²⁵
	100.000	353.98	12/07/20	352.00	...	1.98	9 of 18 - Sale ²⁵
	100.000	353.98	12/07/20	352.00	...	1.98	10 of 18 - Sale ²⁵
	100.000	353.98	12/07/20	352.00	...	1.98	11 of 18 - Sale ²⁵
	72.000	256.31	12/07/20	253.44	...	2.87	12 of 18 - Sale ²⁵
	82.000	291.90	12/07/20	288.64	...	3.26	13 of 18 - Sale ²⁵
	100.000	355.98	12/07/20	352.00	...	3.98	14 of 18 - Sale ²⁵
	182.000	647.88	12/07/20	640.64	...	7.24	15 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314606 / Symbol: (cont'd)							
	400.000	1,415.92	12/07/20	1,408.00	...	7.92	16 of 18 - Sale ²⁵
	200.000	711.96	12/07/20	704.00	...	7.96	17 of 18 - Sale ²⁵
	200.000	711.96	12/07/20	704.00	...	7.96	18 of 18 - Sale ²⁵
12/07/20	1,709.000	6,066.61	Various	6,015.68	...	50.93	Total of 18 transactions
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
21 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	2,313.000	1,412.24	11/30/20	1,433.83	...	-21.59	1 of 21 - Sale ²⁵
	2,000.000	1,221.14	11/30/20	1,239.80	...	-18.66	2 of 21 - Sale ²⁵
	374.000	228.35	11/30/20	231.84	...	-3.49	3 of 21 - Sale ²⁵
	100.000	61.06	11/30/20	61.99	...	-0.93	4 of 21 - Sale ²⁵
	74.000	45.18	11/30/20	45.87	...	-0.69	5 of 21 - Sale ²⁵
	26.000	15.87	11/30/20	16.12	...	-0.25	6 of 21 - Sale ²⁵
	26.000	15.88	11/30/20	16.12	...	-0.24	7 of 21 - Sale ²⁵
	74.000	46.09	11/30/20	45.87	...	0.22	8 of 21 - Sale ²⁵
	100.000	62.28	11/30/20	61.99	...	0.29	9 of 21 - Sale ²⁵
	100.000	62.29	11/30/20	61.99	...	0.30	10 of 21 - Sale ²⁵
	100.000	62.29	11/30/20	61.99	...	0.30	11 of 21 - Sale ²⁵
	200.000	124.57	11/30/20	123.98	...	0.59	12 of 21 - Sale ²⁵
	200.000	124.57	11/30/20	123.98	...	0.59	13 of 21 - Sale ²⁵
	226.000	140.77	11/30/20	140.10	...	0.67	14 of 21 - Sale ²⁵
	287.000	178.76	11/30/20	177.91	...	0.85	15 of 21 - Sale ²⁵
	400.000	249.15	11/30/20	247.96	...	1.19	16 of 21 - Sale ²⁵
	426.000	265.34	11/30/20	264.08	...	1.26	17 of 21 - Sale ²⁵
	426.000	265.34	11/30/20	264.08	...	1.26	18 of 21 - Sale ²⁵
	574.000	357.53	11/30/20	355.82	...	1.71	19 of 21 - Sale ²⁵
	800.000	498.29	11/30/20	495.92	...	2.37	20 of 21 - Sale ²⁵
	1,000.000	622.87	11/30/20	619.80	...	3.07	21 of 21 - Sale ²⁵
11/30/20	9,826.000	6,059.86	Various	6,091.04	...	-31.18	Total of 21 transactions
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.25	03/09/20	0.60	0.35 W	0.00	1 of 3 - Sale ²⁵
	199.000	49.87	03/11/20	113.03	63.16 W	0.00	2 of 3 - Sale ²⁵

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Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	1.000	0.25	03/11/20	0.92		0.00	3 of 3 - Sale ²⁵
03/17/20	201.000	50.37	Various	114.55	64.18 W	0.00	Total of 3 transactions
	6 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	199.000	169.13	03/25/20	264.03	...	-94.90	1 of 6 - Sale ²⁵
	200.000	169.97	03/25/20	201.89	...	-31.92	2 of 6 - Sale ²⁵
	150.000	126.56	03/25/20	141.69	...	-15.13	3 of 6 - Sale ²⁵
	70.000	60.54	03/25/20	66.12	...	-5.58	4 of 6 - Sale ²⁵
	30.000	25.31	03/25/20	30.28	...	-4.97	5 of 6 - Sale ²⁵
	1.000	0.85	03/25/20	1.68	...	-0.83	6 of 6 - Sale ²⁵
03/25/20	650.000	552.36	Various	705.69	...	-153.33	Total of 6 transactions
	3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	1.000	0.86	03/25/20	1.60	0.74 W	0.00	1 of 3 - Sale ²⁵
	199.000	172.12	03/25/20	248.17	76.05 W	0.00	2 of 3 - Sale ²⁵
	230.000	198.92	03/25/20	213.83	14.91 W	0.00	3 of 3 - Sale ²⁵
03/25/20	430.000	371.90	Various	463.60	91.70 W	0.00	Total of 3 transactions
	Security total:	974.63		1,283.84	155.88 W	-153.33	
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
	3 transactions for 01/03/20. Total proceeds and cost reported to the IRS.						
	3.000	93.39	09/16/19	100.71	...	-7.32	1 of 3 - Sale ²⁵
	1.000	31.13	12/05/19	28.69	...	2.44	2 of 3 - Sale ²⁵
	2.000	62.26	12/24/19	61.59	...	0.67	3 of 3 - Sale ²⁵
01/03/20	6.000	186.78	Various	190.99	...	-4.21	Total of 3 transactions
UNIVERSAL SECURITY INSTRUMENTS , INC. / CUSIP: 913821302 / Symbol:							
	6 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	5.000	17.90	12/28/20	17.20	...	0.70	1 of 6 - Sale ²⁵
	36.000	128.88	12/28/20	123.84	...	5.04	2 of 6 - Sale ²⁵
	64.000	229.11	12/28/20	220.80	...	8.31	3 of 6 - Sale ²⁵
	104.000	372.31	12/28/20	358.80	...	13.51	4 of 6 - Sale ²⁵
	200.000	715.96	12/28/20	688.00	...	27.96	5 of 6 - Sale ²⁵
	500.000	1,789.90	12/28/20	1,720.00	...	69.90	6 of 6 - Sale ²⁵
12/28/20	909.000	3,254.06	Various	3,128.64	...	125.42	Total of 6 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UNIVERSAL SECURITY INSTRUMENTS , INC. / CUSIP: 913821302 / Symbol: (cont'd)							
12 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	90.000	420.29	12/30/20	470.70	...	-50.41	1 of 12 - Sale ²⁵
	10.000	46.70	12/30/20	52.30	...	-5.60	2 of 12 - Sale ²⁵
	12.000	61.68	12/30/20	61.06	...	0.62	3 of 12 - Sale ²⁵
	14.000	71.96	12/30/20	71.24	...	0.72	4 of 12 - Sale ²⁵
	32.000	164.48	12/30/20	162.84	...	1.64	5 of 12 - Sale ²⁵
	40.000	205.60	12/30/20	203.54	...	2.06	6 of 12 - Sale ²⁵
	40.000	205.60	12/30/20	203.54	...	2.06	7 of 12 - Sale ²⁵
	68.000	349.51	12/30/20	346.03	...	3.48	8 of 12 - Sale ²⁵
	88.000	452.31	12/30/20	447.80	...	4.51	9 of 12 - Sale ²⁵
	100.000	513.97	12/30/20	508.86	...	5.11	10 of 12 - Sale ²⁵
	100.000	513.97	12/30/20	508.86	...	5.11	11 of 12 - Sale ²⁵
	100.000	513.97	12/30/20	508.86	...	5.11	12 of 12 - Sale ²⁵
12/30/20	694.000	3,520.04	Various	3,545.63	...	-25.59	Total of 12 transactions
	Security total:	6,774.10		6,674.27	...	99.83	
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
9 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	1.14	11/17/20	1.12	...	0.02	1 of 9 - Sale ²⁵
	178.000	201.12	11/17/20	199.36	...	1.76	2 of 9 - Sale ²⁵
	286.000	323.14	11/17/20	320.32	...	2.82	3 of 9 - Sale ²⁵
	328.000	370.59	11/17/20	367.36	...	3.23	4 of 9 - Sale ²⁵
	422.000	476.81	11/17/20	472.64	...	4.17	5 of 9 - Sale ²⁵
	500.000	564.92	11/17/20	560.00	...	4.92	6 of 9 - Sale ²⁵
	500.000	564.92	11/17/20	560.00	...	4.92	7 of 9 - Sale ²⁵
	500.000	564.92	11/17/20	560.00	...	4.92	8 of 9 - Sale ²⁵
	2,714.000	3,066.43	11/17/20	3,039.68	...	26.75	9 of 9 - Sale ²⁵
11/17/20	5,429.000	6,133.99	Various	6,080.48	...	53.51	Total of 9 transactions
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	100.000	128.09	01/31/20	112.00	...	16.09	1 of 2 - Sale ²⁵
	200.000	255.98	01/31/20	224.00	...	31.98	2 of 2 - Sale ²⁵
01/31/20	300.000	384.07	Various	336.00	...	48.07	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
5 transactions for 02/03/20. Total proceeds and cost reported to the IRS.							
	7.000	11.97	01/31/20	9.10	...	2.87	1 of 5 - Sale ²⁵
	50.000	85.49	01/31/20	56.00	...	29.49	2 of 5 - Sale ²⁵
	63.000	107.72	01/31/20	69.92	...	37.80	3 of 5 - Sale ²⁵
	100.000	170.99	01/31/20	112.00	...	58.99	4 of 5 - Sale ²⁵
	189.000	323.15	02/03/20	298.60	...	24.55	5 of 5 - Sale ²⁵
02/03/20	409.000	699.32	Various	545.62	...	153.70	Total of 5 transactions
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	1.000	1.12	02/03/20	1.58	...	-0.46	1 of 2 - Sale ²⁵
	20.000	22.40	02/04/20	26.79	...	-4.39	2 of 2 - Sale ²⁵
02/05/20	21.000	23.52	Various	28.37	...	-4.85	Total of 2 transactions
3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	129.000	228.40	03/17/20	252.84	...	-24.44	1 of 3 - Sale ²⁵
	1.000	1.64	03/17/20	1.96	...	-0.32	2 of 3 - Sale ²⁵
	14.000	24.79	03/17/20	23.80	...	0.99	3 of 3 - Sale ²⁵
03/17/20	144.000	254.83	Various	278.60	...	-23.77	Total of 3 transactions
16 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	3,819.000	20,090.85	09/08/20	20,467.17	...	-376.32	1 of 16 - Sale ²⁵
	1.000	4.99	09/08/20	5.57	...	-0.58	2 of 16 - Sale ²⁵
	46.000	253.00	09/08/20	251.62	...	1.38	3 of 16 - Sale ²⁵
	52.000	285.99	09/08/20	284.43	...	1.56	4 of 16 - Sale ²⁵
	52.000	285.99	09/08/20	284.43	...	1.56	5 of 16 - Sale ²⁵
	75.000	412.49	09/08/20	410.24	...	2.25	6 of 16 - Sale ²⁵
	99.000	544.47	09/08/20	541.52	...	2.95	7 of 16 - Sale ²⁵
	226.000	1,242.94	09/08/20	1,236.20	...	6.74	8 of 16 - Sale ²⁵
	320.000	1,759.92	09/08/20	1,750.37	...	9.55	9 of 16 - Sale ²⁵
	373.000	2,051.41	09/08/20	2,040.27	...	11.14	10 of 16 - Sale ²⁵
	180.000	1,007.97	09/08/20	995.40	...	12.57	11 of 16 - Sale ²⁵
	179.000	992.51	09/08/20	979.11	...	13.40	12 of 16 - Sale ²⁵
	774.000	4,256.81	09/08/20	4,233.71	...	23.10	13 of 16 - Sale ²⁵
	803.000	4,416.30	09/08/20	4,392.33	...	23.97	14 of 16 - Sale ²⁵
	820.000	4,509.79	09/08/20	4,485.32	...	24.47	15 of 16 - Sale ²⁵

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	3,820.000	21,391.45	09/08/20	21,277.40	...	114.05	16 of 16 - Sale ²⁵
09/08/20	11,639.000	63,506.88	Various	63,635.09	...	-128.21	Total of 16 transactions
	4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	180.000	946.94	09/08/20	971.94	20.83 W	-4.17	1 of 4 - Sale ²⁵
	180.000	989.96	09/08/20	997.23	7.27 W	0.00	2 of 4 - Sale ²⁵
	180.000	989.95	09/08/20	1,002.60	12.65 W	0.00	3 of 4 - Sale ²⁵
	1.000	5.26	09/08/20	5.47	0.21 W	0.00	4 of 4 - Sale ²⁵
09/08/20	541.000	2,932.11	Various	2,977.24	40.96 W	-4.17	Total of 4 transactions
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	100.000	777.47	09/14/20	794.89	...	-17.42	1 of 2 - Sale ²⁵
	50.000	388.75	09/14/20	397.44	...	-8.69	2 of 2 - Sale ²⁵
09/14/20	150.000	1,166.22	Various	1,192.33	...	-26.11	Total of 2 transactions
11/10/20	789.000	3,005.93	11/10/20	3,005.22	...	0.71	Sale ²⁵
11/27/20	100.000	707.32	11/27/20	712.89	...	-5.57	Sale ²⁵
	Security total:	72,680.20		72,711.36	40.96 W	9.80	
VERTEX ENERGY, INC COMMON STOCK / CUSIP: 92534K107 / Symbol:							
	2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1,700.000	950.58	10/13/20	917.15	...	33.43	1 of 2 - Sale ²⁵
	5,783.000	3,233.67	10/13/20	3,118.77	...	114.90	2 of 2 - Sale ²⁵
10/13/20	7,483.000	4,184.25	Various	4,035.92	...	148.33	Total of 2 transactions
VISLINK TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 92836Y300 / Symbol:							
	7 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	300.000	455.95	11/02/20	462.60	...	-6.65	1 of 7 - Sale ²⁵
	100.000	151.99	11/02/20	154.20	...	-2.21	2 of 7 - Sale ²⁵
	64.000	97.27	11/02/20	98.68	...	-1.41	3 of 7 - Sale ²⁵
	30.000	45.60	11/02/20	46.26	...	-0.66	4 of 7 - Sale ²⁵
	47.000	71.43	11/02/20	72.00	...	-0.57	5 of 7 - Sale ²⁵
	6.000	9.12	11/02/20	9.25	...	-0.13	6 of 7 - Sale ²⁵
	6.000	9.12	11/02/20	9.19	...	-0.07	7 of 7 - Sale ²⁵
11/02/20	553.000	840.48	Various	852.18	...	-11.70	Total of 7 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
31 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	100.000	151.98	11/02/20	154.20	0.47 W	-1.75	1 of 31 - Sale ²⁵
	400.000	543.93	11/02/20	554.92	10.99 W	0.00	2 of 31 - Sale ²⁵
	100.000	135.99	11/02/20	138.73	2.74 W	0.00	3 of 31 - Sale ²⁵
	100.000	135.99	11/02/20	138.73	2.74 W	0.00	4 of 31 - Sale ²⁵
	200.000	271.98	11/02/20	277.46	5.48 W	0.00	5 of 31 - Sale ²⁵
	666.000	905.65	11/02/20	923.94	18.29 W	0.00	6 of 31 - Sale ²⁵
	300.000	407.96	11/02/20	416.19	8.23 W	0.00	7 of 31 - Sale ²⁵
	100.000	135.99	11/02/20	138.73	2.74 W	0.00	8 of 31 - Sale ²⁵
	100.000	135.99	11/02/20	138.73	2.74 W	0.00	9 of 31 - Sale ²⁵
	100.000	135.99	11/02/20	138.73	2.74 W	0.00	10 of 31 - Sale ²⁵
	100.000	135.99	11/02/20	138.73	2.74 W	0.00	11 of 31 - Sale ²⁵
	394.000	555.47	11/02/20	558.18	2.71 W	0.00	12 of 31 - Sale ²⁵
	100.000	139.98	11/02/20	144.41	4.43 W	0.00	13 of 31 - Sale ²⁵
	100.000	139.98	11/02/20	144.41	4.43 W	0.00	14 of 31 - Sale ²⁵
	200.000	279.97	11/02/20	288.82	8.85 W	0.00	15 of 31 - Sale ²⁵
	400.000	559.94	11/02/20	577.67	17.73 W	0.00	16 of 31 - Sale ²⁵
	560.000	783.92	11/02/20	808.73	24.81 W	0.00	17 of 31 - Sale ²⁵
	100.000	139.99	11/02/20	144.42	4.43 W	0.00	18 of 31 - Sale ²⁵
	100.000	139.98	11/02/20	144.41	4.43 W	0.00	19 of 31 - Sale ²⁵
	94.000	131.59	11/02/20	135.75	4.16 W	0.00	20 of 31 - Sale ²⁵
	300.000	419.95	11/02/20	433.24	13.29 W	0.00	21 of 31 - Sale ²⁵
	6.000	8.40	11/02/20	8.66	0.26 W	0.00	22 of 31 - Sale ²⁵
	6.000	8.46	11/02/20	8.66	0.20 W	0.00	23 of 31 - Sale ²⁵
	100.000	140.98	11/02/20	144.41	3.43 W	0.00	24 of 31 - Sale ²⁵
	100.000	140.98	11/02/20	144.41	3.43 W	0.00	25 of 31 - Sale ²⁵
	294.000	414.50	11/02/20	416.51	2.01 W	0.00	26 of 31 - Sale ²⁵
	100.000	151.98	11/02/20	154.20	2.22 W	0.00	27 of 31 - Sale ²⁵
	100.000	151.98	11/02/20	154.20	2.22 W	0.00	28 of 31 - Sale ²⁵
	200.000	303.97	11/02/20	308.39	4.42 W	0.00	29 of 31 - Sale ²⁵
	400.000	607.94	11/02/20	616.81	8.87 W	0.00	30 of 31 - Sale ²⁵
	560.000	851.12	11/02/20	863.52	12.40 W	0.00	31 of 31 - Sale ²⁵
11/02/20	6,480.000	9,168.52	Various	9,358.90	188.63 W	-1.75	Total of 31 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
<i>10 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	560.000	758.72	11/06/20	771.20	...	-12.48	1 of 10 - Sale ²⁵
	400.000	541.94	11/06/20	550.87	...	-8.93	2 of 10 - Sale ²⁵
	200.000	270.97	11/06/20	275.42	...	-4.45	3 of 10 - Sale ²⁵
	100.000	135.49	11/06/20	138.93	...	-3.44	4 of 10 - Sale ²⁵
	394.000	533.81	11/06/20	536.58	...	-2.77	5 of 10 - Sale ²⁵
	100.000	135.48	11/06/20	137.72	...	-2.24	6 of 10 - Sale ²⁵
	100.000	135.48	11/06/20	137.72	...	-2.24	7 of 10 - Sale ²⁵
	294.000	398.33	11/06/20	400.38	...	-2.05	8 of 10 - Sale ²⁵
	53.000	71.81	11/06/20	73.64	...	-1.83	9 of 10 - Sale ²⁵
	21.000	28.45	11/06/20	28.92	...	-0.47	10 of 10 - Sale ²⁵
11/06/20	2,222.000	3,010.48	Various	3,051.38	...	-40.90	Total of 10 transactions
	Security total:	13,019.48		13,262.46	188.63 W	-54.35	
VISTAGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 92840H202 / Symbol:							
<i>9 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	146.99	12/28/20	144.50	...	2.49	1 of 9 - Sale ²⁵
	100.000	146.99	12/28/20	144.50	...	2.49	2 of 9 - Sale ²⁵
	200.000	293.98	12/28/20	289.00	...	4.98	3 of 9 - Sale ²⁵
	200.000	293.98	12/28/20	289.00	...	4.98	4 of 9 - Sale ²⁵
	200.000	293.98	12/28/20	289.00	...	4.98	5 of 9 - Sale ²⁵
	272.000	399.81	12/28/20	393.04	...	6.77	6 of 9 - Sale ²⁵
	537.000	792.10	12/28/20	775.96	...	16.14	7 of 9 - Sale ²⁵
	1,609.000	2,364.98	12/28/20	2,325.01	...	39.97	8 of 9 - Sale ²⁵
	1,609.000	2,418.08	12/28/20	2,325.01	...	93.07	9 of 9 - Sale ²⁵
12/28/20	4,827.000	7,150.89	Various	6,975.02	...	175.87	Total of 9 transactions
VIVEVE MEDICAL, INC. COMMON ST OCK / CUSIP: 92852W303 / Symbol:							
<i>8 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.62	11/23/20	0.60	...	0.02	1 of 8 - Sale ²⁵
	10.000	6.20	11/23/20	5.98	...	0.22	2 of 8 - Sale ²⁵
	30.000	18.59	11/23/20	17.94	...	0.65	3 of 8 - Sale ²⁵
	42.000	26.42	11/23/20	25.05	...	1.37	4 of 8 - Sale ²⁵
	544.000	337.15	11/23/20	326.18	...	10.97	5 of 8 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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VIVEVE MEDICAL, INC. COMMON STOCK / CUSIP: 92852W303 / Symbol: (cont'd)							
	500.000	314.78	11/23/20	298.15	...	16.63	6 of 8 - Sale ²⁵
	4,458.000	2,762.93	11/23/20	2,658.75	...	104.18	7 of 8 - Sale ²⁵
	4,500.000	2,833.05	11/23/20	2,683.80	...	149.25	8 of 8 - Sale ²⁵
11/23/20	10,085.000	6,299.74	Various	6,016.45	...	283.29	Total of 8 transactions
	3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	734.000	447.65	11/24/20	445.98	...	1.67	1 of 3 - Sale ²⁵
	3,200.000	1,951.57	11/24/20	1,944.32	...	7.25	2 of 3 - Sale ²⁵
	1,150.000	705.48	11/24/20	678.50	...	26.98	3 of 3 - Sale ²⁵
11/24/20	5,084.000	3,104.70	Various	3,068.80	...	35.90	Total of 3 transactions
	Security total:	9,404.44		9,085.25	...	319.19	
VOLT INFORMATION SCIENCES, INC. / CUSIP: 928703107 / Symbol:							
	8 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	30.000	57.59	12/30/20	57.00	...	0.59	1 of 8 - Sale ²⁵
	86.000	165.11	12/30/20	163.40	...	1.71	2 of 8 - Sale ²⁵
	100.000	191.98	12/30/20	190.00	...	1.98	3 of 8 - Sale ²⁵
	200.000	383.96	12/30/20	380.00	...	3.96	4 of 8 - Sale ²⁵
	270.000	518.35	12/30/20	513.00	...	5.35	5 of 8 - Sale ²⁵
	300.000	575.94	12/30/20	570.00	...	5.94	6 of 8 - Sale ²⁵
	300.000	575.94	12/30/20	570.00	...	5.94	7 of 8 - Sale ²⁵
	300.000	575.94	12/30/20	570.00	...	5.94	8 of 8 - Sale ²⁵
12/30/20	1,586.000	3,044.81	Various	3,013.40	...	31.41	Total of 8 transactions
WALMART INC. / CUSIP: 931142103 / Symbol:							
	4 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	169.000	25,063.83	09/01/20	24,934.65	...	129.18	1 of 4 - Sale ²⁵
	43.000	6,320.86	09/01/20	6,152.87	...	167.99	2 of 4 - Sale ²⁵
	57.000	8,378.80	09/01/20	8,156.13	...	222.67	3 of 4 - Sale ²⁵
	73.000	10,730.75	09/01/20	10,445.57	...	285.18	4 of 4 - Sale ²⁵
09/01/20	342.000	50,494.24	Various	49,689.22	...	805.02	Total of 4 transactions
09/08/20	1.000	139.22	09/02/20	152.87	...	-13.65	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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WALMART INC. / CUSIP: 931142103 / Symbol: (cont'd)							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	141.41	09/01/20	147.54	6.13 W	0.00	1 of 2 - Sale ²⁵
09/08/20	172.000	24,321.95	09/02/20	25,240.02	902.06 W	-16.01	2 of 2 - Sale ²⁵
	173.000	24,463.36	Various	25,387.56	908.19 W	-16.01	Total of 2 transactions
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	100.000	14,179.67	09/11/20	14,282.75	...	-103.08	1 of 2 - Sale ²⁵
10/08/20	69.000	9,783.97	09/11/20	9,855.10	...	-71.13	2 of 2 - Sale ²⁵
	169.000	23,963.64	Various	24,137.85	...	-174.21	Total of 2 transactions
	Security total:	99,060.46		99,367.50	908.19 W	601.15	
WEIDAI LTD. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE (1) CLASS A ORDINARY SHARE / CUSIP: 94861A108 / Symbol:							
5 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	121.000	828.81	10/20/20	828.85	...	-0.04	1 of 5 - Sale ²⁵
	4.000	27.40	10/20/20	27.08	...	0.32	2 of 5 - Sale ²⁵
	17.000	116.44	10/20/20	115.27	...	1.17	3 of 5 - Sale ²⁵
	46.000	315.09	10/20/20	311.42	...	3.67	4 of 5 - Sale ²⁵
10/20/20	125.000	856.21	10/20/20	847.50	...	8.71	5 of 5 - Sale ²⁵
10/20/20	313.000	2,143.95	Various	2,130.12	...	13.83	Total of 5 transactions
	129.000	883.61	10/20/20	883.65	0.01 W	-0.03	Sale ²⁵
	Security total:	3,027.56		3,013.77	0.01 W	13.80	
WESTWATER RESOURCES, INC. COMMON STOCK / CUSIP: 961684206 / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	15.000	70.05	10/26/20	70.04	...	0.01	1 of 2 - Sale ²⁵
10/26/20	627.000	2,927.95	10/26/20	2,927.65	...	0.30	2 of 2 - Sale ²⁵
	642.000	2,998.00	Various	2,997.69	...	0.31	Total of 2 transactions
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U604 / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	2.06	06/04/20	1.87	...	0.19	1 of 3 - Sale ²⁵
06/09/20	19.000	39.23	06/04/20	34.58	...	4.65	2 of 3 - Sale ²⁵
	270.000	557.51	06/04/20	496.80	...	60.71	3 of 3 - Sale ²⁵
	290.000	598.80	Various	533.25	...	65.55	Total of 3 transactions

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	161.000	289.77	11/11/20	284.28	...	5.49	1 of 2 - Sale ²⁵
	2,100.000	3,779.67	11/11/20	3,709.02	...	70.65	2 of 2 - Sale ²⁵
11/11/20	2,261.000	4,069.44	Various	3,993.30	...	76.14	Total of 2 transactions
YIELD10 BIOSCIENCE, INC. COMMO N STOCK / CUSIP: 98585K862 / Symbol:							
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	63.000	412.97	11/12/20	403.20	...	9.77	1 of 3 - Sale ²⁵
	199.000	1,304.45	11/12/20	1,289.52	...	14.93	2 of 3 - Sale ²⁵
	200.000	1,311.01	11/12/20	1,296.00	...	15.01	3 of 3 - Sale ²⁵
11/12/20	462.000	3,028.43	Various	2,988.72	...	39.71	Total of 3 transactions
YUNHONG CTI LTD. COMMON STOCK / CUSIP: 98873Q100 / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	200.000	359.98	10/13/20	349.00	...	10.98	1 of 2 - Sale ²⁵
	2,098.000	3,755.08	10/13/20	3,661.01	...	94.07	2 of 2 - Sale ²⁵
10/13/20	2,298.000	4,115.06	Various	4,010.01	...	105.05	Total of 2 transactions
ZW DATA ACTION TECHNOLOGIES IN C. COMMON STOCK / CUSIP: 98880R109 / Symbol:							
8 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	230.000	365.81	11/17/20	369.60	...	-3.79	1 of 8 - Sale ²⁵
	416.000	661.38	11/17/20	664.27	...	-2.89	2 of 8 - Sale ²⁵
	100.000	158.98	11/17/20	160.69	...	-1.71	3 of 8 - Sale ²⁵
	100.000	159.05	11/17/20	160.69	...	-1.64	4 of 8 - Sale ²⁵
	100.000	158.98	11/17/20	160.59	...	-1.61	5 of 8 - Sale ²⁵
	200.000	321.98	11/17/20	320.00	...	1.98	6 of 8 - Sale ²⁵
	300.000	482.96	11/17/20	480.00	...	2.96	7 of 8 - Sale ²⁵
	500.000	804.92	11/17/20	800.00	...	4.92	8 of 8 - Sale ²⁵
11/17/20	1,946.000	3,114.06	Various	3,115.84	...	-1.78	Total of 8 transactions
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	369.000	586.65	11/17/20	590.40	3.35 W	-0.40	1 of 3 - Sale ²⁵
	100.000	159.09	11/17/20	160.00	0.91 W	0.00	2 of 3 - Sale ²⁵
	100.000	158.99	11/17/20	160.00	1.01 W	0.00	3 of 3 - Sale ²⁵
11/17/20	569.000	904.73	Various	910.40	5.27 W	-0.40	Total of 3 transactions

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ZW DATA ACTION TECHNOLOGIES IN C. COMMON STOCK / CUSIP: 98880R109 / Symbol: (cont'd)							
Security total:		4,018.79		4,026.24	5.27 W	-2.18	
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
11/18/20	9.000	3,764.61	11/18/20	3,763.67	...	0.94	Sale ²⁵
ANTELOPE ENTERPRISE HOLDINGS L LIMITED COMMON STOCK (0.024 PAR) / CUSIP: G041JN106 / Symbol:							
10/16/20	1,587.000	4,062.44	10/16/20	3,983.21	...	79.23	Sale ²⁵
	4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	15.000	40.50	10/19/20	40.05	...	0.45	1 of 4 - Sale ²⁵
	185.000	499.46	10/19/20	495.80	...	3.66	2 of 4 - Sale ²⁵
	300.000	809.95	10/19/20	801.00	...	8.95	3 of 4 - Sale ²⁵
	998.000	2,694.42	10/19/20	2,674.64	...	19.78	4 of 4 - Sale ²⁵
10/19/20	1,498.000	4,044.33	Various	4,011.49	...	32.84	Total of 4 transactions
11/13/20	50.000	104.00	11/13/20	104.00	...	0.00	Sale ²⁵
	26 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	40.000	83.20	11/13/20	83.20	...	0.00	1 of 26 - Sale ²⁵
	1.000	2.08	11/13/20	2.08	...	0.00	2 of 26 - Sale ²⁵
	1.000	2.08	11/13/20	2.08	...	0.00	3 of 26 - Sale ²⁵
	1.000	2.08	11/13/20	2.08	...	0.00	4 of 26 - Sale ²⁵
	1.000	2.08	11/13/20	2.08	...	0.00	5 of 26 - Sale ²⁵
	7.000	14.56	11/13/20	14.56	...	0.00	6 of 26 - Sale ²⁵
	3.000	6.24	11/13/20	6.24	...	0.00	7 of 26 - Sale ²⁵
	1.000	2.08	11/13/20	2.08	...	0.00	8 of 26 - Sale ²⁵
	1.000	2.08	11/13/20	2.08	...	0.00	9 of 26 - Sale ²⁵
	50.000	104.00	11/13/20	104.00	...	0.00	10 of 26 - Sale ²⁵
	2.000	4.16	11/13/20	4.16	...	0.00	11 of 26 - Sale ²⁵
	1.000	2.08	11/13/20	2.08	...	0.00	12 of 26 - Sale ²⁵
	11.000	22.88	11/13/20	22.88	...	0.00	13 of 26 - Sale ²⁵
	1.000	2.08	11/13/20	2.08	...	0.00	14 of 26 - Sale ²⁵
	1.000	2.08	11/13/20	2.08	...	0.00	15 of 26 - Sale ²⁵
	10.000	22.40	11/16/20	22.30	...	0.10	16 of 26 - Sale ²⁵
	12.000	26.88	11/16/20	26.76	...	0.12	17 of 26 - Sale ²⁵
	75.000	167.99	11/16/20	167.26	...	0.73	18 of 26 - Sale ²⁵
	74.000	165.75	11/16/20	165.02	...	0.73	19 of 26 - Sale ²⁵
	90.000	201.58	11/16/20	200.71	...	0.87	20 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANTELOPE ENTERPRISE HOLDINGS L LIMITED COMMON STOCK (0.024 PAR) / CUSIP: G041JN106 / Symbol: (cont'd)							
	100.000	223.98	11/16/20	223.01	...	0.97	20 of 26 - Sale ²⁵
	100.000	223.98	11/16/20	223.01	...	0.97	21 of 26 - Sale ²⁵
	100.000	223.98	11/16/20	223.01	...	0.97	22 of 26 - Sale ²⁵
	188.000	421.09	11/16/20	419.26	...	1.83	23 of 26 - Sale ²⁵
	200.000	447.96	11/16/20	446.02	...	1.94	24 of 26 - Sale ²⁵
	396.000	886.96	11/16/20	883.15	...	3.81	25 of 26 - Sale ²⁵
	300.000	674.94	11/16/20	669.00	...	5.94	26 of 26 - Sale ²⁵
11/16/20	1,766.000	3,939.17	Various	3,920.19	...	18.98	Total of 26 transactions
	9 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	100.000	207.99	11/13/20	208.00	0.01 W	0.00	1 of 9 - Sale ²⁵
	100.000	207.99	11/13/20	208.00	0.01 W	0.00	2 of 9 - Sale ²⁵
	200.000	415.98	11/13/20	416.00	0.02 W	0.00	3 of 9 - Sale ²⁵
	200.000	415.98	11/13/20	416.00	0.02 W	0.00	4 of 9 - Sale ²⁵
	100.000	207.99	11/13/20	208.00	0.01 W	0.00	5 of 9 - Sale ²⁵
	100.000	207.99	11/13/20	208.00	0.01 W	0.00	6 of 9 - Sale ²⁵
	75.000	155.99	11/13/20	156.00	0.01 W	0.00	7 of 9 - Sale ²⁵
	396.000	823.61	11/13/20	823.68	0.07 W	0.00	8 of 9 - Sale ²⁵
	1,045.000	2,278.97	11/16/20	2,330.35	51.38 W	0.00	9 of 9 - Sale ²⁵
11/16/20	2,316.000	4,922.49	Various	4,974.03	51.54 W	0.00	Total of 9 transactions
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	1,045.000	2,330.17	11/17/20	2,381.73	51.56 W	0.00	1 of 2 - Sale ²⁵
	300.000	668.95	11/17/20	669.00	0.05 W	0.00	2 of 2 - Sale ²⁵
11/17/20	1,345.000	2,999.12	Various	3,050.73	51.61 W	0.00	Total of 2 transactions
	9 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	623.000	1,463.94	11/25/20	1,526.16	...	-62.22	1 of 9 - Sale ²⁵
	277.000	650.91	11/25/20	673.11	...	-22.20	2 of 9 - Sale ²⁵
	222.000	521.66	11/25/20	543.85	...	-22.19	3 of 9 - Sale ²⁵
	100.000	234.98	11/25/20	244.97	...	-9.99	4 of 9 - Sale ²⁵
	60.000	140.99	11/25/20	146.98	...	-5.99	5 of 9 - Sale ²⁵
	40.000	99.99	11/25/20	99.99	...	0.00	6 of 9 - Sale ²⁵
	18.000	45.00	11/25/20	44.64	...	0.36	7 of 9 - Sale ²⁵
	179.000	445.68	11/25/20	443.95	...	1.73	8 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ANTELOPE ENTERPRISE HOLDINGS L IMITED COMMON STOCK (0.024 PAR) / CUSIP: G041JN106 / Symbol: (cont'd)							
	121.000	302.48	11/25/20	300.10	...	2.38	9 of 9 - Sale ²⁵
11/25/20	1,640.000	3,905.63	Various	4,023.75	...	-118.12	Total of 9 transactions
	5 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1,045.000	2,601.86	11/25/20	2,643.16	41.30 W	0.00	1 of 5 - Sale ²⁵
	262.000	654.94	11/25/20	660.12	5.18 W	0.00	2 of 5 - Sale ²⁵
	623.000	1,557.39	11/25/20	1,569.66	12.27 W	0.00	3 of 5 - Sale ²⁵
	100.000	249.98	11/25/20	251.95	1.97 W	0.00	4 of 5 - Sale ²⁵
	60.000	149.99	11/25/20	151.17	1.18 W	0.00	5 of 5 - Sale ²⁵
11/25/20	2,090.000	5,214.16	Various	5,276.06	61.90 W	0.00	Total of 5 transactions
	Security total:	29,191.34		29,343.46	165.05 W	12.93	
BORQS TECHNOLOGIES, INC. ORDIN ARY SHARES / CUSIP: G1466B103 / Symbol:							
	7 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	898.000	942.86	11/18/20	943.03	...	-0.17	1 of 7 - Sale ²⁵
	1,140.000	1,196.95	11/18/20	1,197.05	...	-0.10	2 of 7 - Sale ²⁵
	440.000	461.98	11/18/20	462.02	...	-0.04	3 of 7 - Sale ²⁵
	811.000	851.52	11/18/20	851.55	...	-0.03	4 of 7 - Sale ²⁵
	1.000	1.06	11/18/20	1.00	...	0.06	5 of 7 - Sale ²⁵
	520.000	551.12	11/18/20	546.02	...	5.10	6 of 7 - Sale ²⁵
	3,000.000	3,033.47	11/18/20	3,000.00	...	33.47	7 of 7 - Sale ²⁵
11/18/20	6,810.000	7,038.96	Various	7,000.67	...	38.29	Total of 7 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	1,661.000	1,660.76	11/18/20	1,661.00	0.24 W	0.00	1 of 2 - Sale ²⁵
	1,339.000	1,338.81	11/18/20	1,339.00	0.19 W	0.00	2 of 2 - Sale ²⁵
11/18/20	3,000.000	2,999.57	Various	3,000.00	0.43 W	0.00	Total of 2 transactions
	Security total:	10,038.53		10,000.67	0.43 W	38.29	
BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
05/18/20	5.000	2.80	03/26/20	4.70	...	-1.90	Sale ²⁵
CHINA XIANGTAI FOOD CO., LTD. ORDINARY SHARES / CUSIP: G21621100 / Symbol:							
	16 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1.000	0.97	12/11/20	0.98	...	-0.01	1 of 16 - Sale ²⁵
	9.000	8.91	12/11/20	8.82	...	0.09	2 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

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2020 1099-B* OMB No. 1545-0715

(continued)

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CHINA XIANGTAI FOOD CO., LTD. ORDINARY SHARES / CUSIP: G21621100 / Symbol: (cont'd)							
	36.000	35.62	12/11/20	35.27	...	0.35	3 of 16 - Sale ²⁵
	44.000	43.54	12/11/20	43.11	...	0.43	4 of 16 - Sale ²⁵
	100.000	98.94	12/11/20	97.97	...	0.97	5 of 16 - Sale ²⁵
	102.000	100.92	12/11/20	99.93	...	0.99	6 of 16 - Sale ²⁵
	400.000	393.79	12/11/20	391.88	...	1.91	7 of 16 - Sale ²⁵
	400.000	393.79	12/11/20	391.88	...	1.91	8 of 16 - Sale ²⁵
	400.000	393.79	12/11/20	391.88	...	1.91	9 of 16 - Sale ²⁵
	400.000	393.79	12/11/20	391.88	...	1.91	10 of 16 - Sale ²⁵
	200.000	197.88	12/11/20	195.94	...	1.94	11 of 16 - Sale ²⁵
	451.000	444.00	12/11/20	441.84	...	2.16	12 of 16 - Sale ²⁵
	449.000	444.24	12/11/20	439.88	...	4.36	13 of 16 - Sale ²⁵
	480.000	474.90	12/11/20	470.26	...	4.64	14 of 16 - Sale ²⁵
	631.000	624.27	12/11/20	618.19	...	6.08	15 of 16 - Sale ²⁵
	2,051.000	2,043.33	12/11/20	2,009.36	...	33.97	16 of 16 - Sale ²⁵
12/11/20	6,154.000	6,092.68	Various	6,029.07	...	63.61	Total of 16 transactions
DOGNESS (INTERNATIONAL) CORPORATION CLASS A COMMON STOCK / CUSIP: G2788T103 / Symbol:							
12 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	3.000	5.04	10/19/20	4.92	...	0.12	1 of 12 - Sale ²⁵
	60.000	100.79	10/19/20	98.99	...	1.80	2 of 12 - Sale ²⁵
	100.000	167.98	10/19/20	165.00	...	2.98	3 of 12 - Sale ²⁵
	100.000	167.99	10/19/20	165.00	...	2.99	4 of 12 - Sale ²⁵
	156.000	262.06	10/19/20	257.37	...	4.69	5 of 12 - Sale ²⁵
	204.000	342.69	10/19/20	336.60	...	6.09	6 of 12 - Sale ²⁵
	208.000	349.40	10/19/20	343.16	...	6.24	7 of 12 - Sale ²⁵
	176.000	295.65	10/19/20	288.64	...	7.01	8 of 12 - Sale ²⁵
	249.000	418.28	10/19/20	410.85	...	7.43	9 of 12 - Sale ²⁵
	300.000	503.95	10/19/20	495.00	...	8.95	10 of 12 - Sale ²⁵
	432.000	725.69	10/19/20	712.80	...	12.89	11 of 12 - Sale ²⁵
	436.000	732.41	10/19/20	719.40	...	13.01	12 of 12 - Sale ²⁵
10/19/20	2,424.000	4,071.93	Various	3,997.73	...	74.20	Total of 12 transactions
8 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	400.000	947.93	11/19/20	948.08	...	-0.15	1 of 8 - Sale ²⁵
	202.000	478.70	11/19/20	478.78	...	-0.08	2 of 8 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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DOGNESS (INTERNATIONAL) CORPOR ATION CLASS A COMMON STOCK / CUSIP: G2788T103 / Symbol: (cont'd)							
	170.000	402.87	11/19/20	402.94	...	-0.07	3 of 8 - Sale ²⁵
	202.000	478.71	11/19/20	478.74	...	-0.03	4 of 8 - Sale ²⁵
	60.000	142.19	11/19/20	142.21	...	-0.02	5 of 8 - Sale ²⁵
	60.000	142.19	11/19/20	142.21	...	-0.02	6 of 8 - Sale ²⁵
	70.000	165.89	11/19/20	165.91	...	-0.02	7 of 8 - Sale ²⁵
	100.000	236.98	11/19/20	236.20	...	0.78	8 of 8 - Sale ²⁵
11/19/20	1,264.000	2,995.46	Various	2,995.07	...	0.39	Total of 8 transactions
	4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	298.000	706.21	11/19/20	706.26	0.01 W	-0.04	1 of 4 - Sale ²⁵
	398.000	943.19	11/19/20	943.26	0.04 W	-0.03	2 of 4 - Sale ²⁵
	230.000	545.05	11/19/20	545.10	0.05 W	0.00	3 of 4 - Sale ²⁵
	470.000	1,113.81	11/19/20	1,113.90	0.09 W	0.00	4 of 4 - Sale ²⁵
11/19/20	1,396.000	3,308.26	Various	3,308.52	0.19 W	-0.07	Total of 4 transactions
	Security total:	10,375.65		10,301.32	0.19 W	74.52	
DRAGON VICTORY INTERNATIONAL L IMITED ORDINARY SHARES / CUSIP: G28365107 / Symbol:							
	5 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	500.000	1,054.92	10/15/20	1,055.00	0.08 W	0.00	1 of 5 - Sale ²⁵
	100.000	210.98	10/15/20	211.00	0.02 W	0.00	2 of 5 - Sale ²⁵
	400.000	843.93	10/15/20	844.00	0.07 W	0.00	3 of 5 - Sale ²⁵
	300.000	632.95	10/15/20	633.00	0.05 W	0.00	4 of 5 - Sale ²⁵
	121.000	255.29	10/15/20	255.31	0.02 W	0.00	5 of 5 - Sale ²⁵
10/15/20	1,421.000	2,998.07	Various	2,998.31	0.24 W	0.00	Total of 5 transactions
	8 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	79.000	178.53	10/19/20	175.37	...	3.16	1 of 8 - Sale ²⁵
	100.000	225.98	10/19/20	222.01	...	3.97	2 of 8 - Sale ²⁵
	121.000	273.44	10/19/20	268.63	...	4.81	3 of 8 - Sale ²⁵
	200.000	451.98	10/19/20	444.01	...	7.97	4 of 8 - Sale ²⁵
	300.000	677.94	10/19/20	666.02	...	11.92	5 of 8 - Sale ²⁵
	300.000	677.95	10/19/20	666.02	...	11.93	6 of 8 - Sale ²⁵
	318.000	718.62	10/19/20	705.93	...	12.69	7 of 8 - Sale ²⁵
	400.000	903.93	10/19/20	888.03	...	15.90	8 of 8 - Sale ²⁵
10/19/20	1,818.000	4,108.37	Various	4,036.02	...	72.35	Total of 8 transactions

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(continued)

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DRAGON VICTORY INTERNATIONAL L IMITED ORDINARY SHARES / CUSIP: G28365107 / Symbol: (cont'd)							
<i>9 transactions for 10/20/20. Total proceeds and cost reported to the IRS.</i>							
	43.000	181.02	10/20/20	177.16	...	3.86	1 of 9 - Sale ²⁵
	50.000	210.49	10/20/20	206.00	...	4.49	2 of 9 - Sale ²⁵
	83.000	349.41	10/20/20	342.79	...	6.62	3 of 9 - Sale ²⁵
	100.000	420.99	10/20/20	413.00	...	7.99	4 of 9 - Sale ²⁵
	100.000	420.99	10/20/20	413.00	...	7.99	5 of 9 - Sale ²⁵
	107.000	450.45	10/20/20	441.91	...	8.54	6 of 9 - Sale ²⁵
	98.000	412.56	10/20/20	403.76	...	8.80	7 of 9 - Sale ²⁵
	135.000	568.31	10/20/20	556.20	...	12.11	8 of 9 - Sale ²⁵
	257.000	1,081.91	10/20/20	1,058.84	...	23.07	9 of 9 - Sale ²⁵
10/20/20	973.000	4,096.13	Various	4,012.66	...	83.47	Total of 9 transactions
<i>2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	16.76	10/21/20	17.84	...	-1.08	1 of 2 - Sale ²⁵
	667.000	3,166.37	10/21/20	2,974.75	...	191.62	2 of 2 - Sale ²⁵
10/21/20	671.000	3,183.13	Various	2,992.59	...	190.54	Total of 2 transactions
	Security total:	14,385.70		14,039.58	0.24 W	346.36	
CLPS INCORPORATION COMMON STOC K / CUSIP: G31642104 / Symbol:							
<i>13 transactions for 11/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.36	11/16/20	3.34	...	0.02	1 of 13 - Sale ²⁵
	1.000	3.36	11/16/20	3.34	...	0.02	2 of 13 - Sale ²⁵
	3.000	10.08	11/16/20	10.03	...	0.05	3 of 13 - Sale ²⁵
	94.000	315.83	11/16/20	314.30	...	1.53	4 of 13 - Sale ²⁵
	96.000	322.55	11/16/20	320.99	...	1.56	5 of 13 - Sale ²⁵
	104.000	349.43	11/16/20	347.73	...	1.70	6 of 13 - Sale ²⁵
	197.000	661.88	11/16/20	658.69	...	3.19	7 of 13 - Sale ²⁵
	200.000	671.96	11/16/20	668.72	...	3.24	8 of 13 - Sale ²⁵
	200.000	671.96	11/16/20	668.72	...	3.24	9 of 13 - Sale ²⁵
	200.000	675.96	11/16/20	668.73	...	7.23	10 of 13 - Sale ²⁵
	200.000	675.96	11/16/20	668.72	...	7.24	11 of 13 - Sale ²⁵
	200.000	675.96	11/16/20	668.72	...	7.24	12 of 13 - Sale ²⁵
	295.000	997.03	11/16/20	986.36	...	10.67	13 of 13 - Sale ²⁵
11/16/20	1,791.000	6,035.32	Various	5,988.39	...	46.93	Total of 13 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KAIXIN AUTO HOLDINGS ORDINARY SHARE / CUSIP: G5223X100 / Symbol:							
7 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	30.000	70.49	10/16/20	69.27	...	1.22	1 of 7 - Sale ²⁵
	70.000	163.79	10/16/20	161.63	...	2.16	2 of 7 - Sale ²⁵
	100.000	234.98	10/16/20	230.90	...	4.08	3 of 7 - Sale ²⁵
	200.000	467.96	10/16/20	462.00	...	5.96	4 of 7 - Sale ²⁵
	230.000	538.16	10/16/20	531.30	...	6.86	5 of 7 - Sale ²⁵
	170.000	399.47	10/16/20	391.00	...	8.47	6 of 7 - Sale ²⁵
	504.000	1,182.90	10/16/20	1,164.24	...	18.66	7 of 7 - Sale ²⁵
10/16/20	1,304.000	3,057.75	Various	3,010.34	...	47.41	Total of 7 transactions
5 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	48.000	437.74	10/19/20	429.12	...	8.62	1 of 5 - Sale ²⁵
	100.000	911.96	10/19/20	894.00	...	17.96	2 of 5 - Sale ²⁵
	100.000	911.97	10/19/20	894.00	...	17.97	3 of 5 - Sale ²⁵
	100.000	911.97	10/19/20	894.00	...	17.97	4 of 5 - Sale ²⁵
	100.000	911.97	10/19/20	894.00	...	17.97	5 of 5 - Sale ²⁵
10/19/20	448.000	4,085.61	Various	4,005.12	...	80.49	Total of 5 transactions
	Security total:	7,143.36		7,015.46	...	127.90	

LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:

14 transactions for 12/24/20. Total proceeds and cost reported to the IRS.

	2,020.000	1,979.31	12/24/20	1,995.76	...	-16.45	1 of 14 - Sale ²⁵
	83.000	82.82	12/24/20	82.00	...	0.82	2 of 14 - Sale ²⁵
	100.000	99.78	12/24/20	98.80	...	0.98	3 of 14 - Sale ²⁵
	100.000	99.78	12/24/20	98.80	...	0.98	4 of 14 - Sale ²⁵
	100.000	99.78	12/24/20	98.80	...	0.98	5 of 14 - Sale ²⁵
	100.000	99.78	12/24/20	98.80	...	0.98	6 of 14 - Sale ²⁵
	220.000	218.41	12/24/20	217.36	...	1.05	7 of 14 - Sale ²⁵
	187.000	186.59	12/24/20	184.76	...	1.83	8 of 14 - Sale ²⁵
	250.000	249.45	12/24/20	247.00	...	2.45	9 of 14 - Sale ²⁵
	300.000	299.33	12/24/20	296.40	...	2.93	10 of 14 - Sale ²⁵
	700.000	694.93	12/24/20	691.60	...	3.33	11 of 14 - Sale ²⁵
	400.000	399.11	12/24/20	395.20	...	3.91	12 of 14 - Sale ²⁵
	400.000	399.11	12/24/20	395.20	...	3.91	13 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol: (cont'd)							
	1,100.000	1,092.03	12/24/20	1,086.80	...	5.23	14 of 14 - Sale ²⁵
12/24/20	6,060.000	6,000.21	Various	5,987.28	...	12.93	Total of 14 transactions
METEN EDTECHX EDUCATION GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol:							
4 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	2,268.000	5,057.26	12/17/20	5,171.04	...	-113.78	1 of 4 - Sale ²⁵
	100.000	222.98	12/17/20	234.01	...	-11.03	2 of 4 - Sale ²⁵
	90.000	200.68	12/17/20	209.71	...	-9.03	3 of 4 - Sale ²⁵
	10.000	22.30	12/17/20	23.85	...	-1.55	4 of 4 - Sale ²⁵
12/17/20	2,468.000	5,503.22	Various	5,638.61	...	-135.39	Total of 4 transactions
4 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	10.000	22.25	12/17/20	22.70	0.45 W	0.00	1 of 4 - Sale ²⁵
	90.000	199.79	12/17/20	204.30	4.51 W	0.00	2 of 4 - Sale ²⁵
	10.000	22.20	12/17/20	23.25	1.05 W	0.00	3 of 4 - Sale ²⁵
	100.000	221.99	12/17/20	228.00	6.01 W	0.00	4 of 4 - Sale ²⁵
12/17/20	210.000	466.23	Various	478.25	12.02 W	0.00	Total of 4 transactions
	Security total:	5,969.45		6,116.86	12.02 W	-135.39	
MINGZHU LOGISTICS HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G6180C105 / Symbol:							
7 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	90.000	460.78	12/17/20	422.10	...	38.68	1 of 7 - Sale ²⁵
	100.000	511.97	12/17/20	469.75	...	42.22	2 of 7 - Sale ²⁵
	132.000	675.81	12/17/20	620.07	...	55.74	3 of 7 - Sale ²⁵
	208.000	1,064.91	12/17/20	977.08	...	87.83	4 of 7 - Sale ²⁵
	144.000	791.96	12/17/20	676.44	...	115.52	5 of 7 - Sale ²⁵
	286.000	1,478.55	12/17/20	1,343.48	...	135.07	6 of 7 - Sale ²⁵
	330.000	1,689.52	12/17/20	1,550.18	...	139.34	7 of 7 - Sale ²⁵
12/17/20	1,290.000	6,673.50	Various	6,059.10	...	614.40	Total of 7 transactions
OXBRIDGE RE HOLDINGS LIMITED ORDINARY SHARES / CUSIP: G6856M106 / Symbol:							
14 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	2.69	12/29/20	2.11	...	0.58	1 of 14 - Sale ²⁵
	100.000	220.98	12/29/20	215.00	...	5.98	2 of 14 - Sale ²⁵
	171.000	377.88	12/29/20	367.65	...	10.23	3 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OXBRIDGE RE HOLDINGS LIMITED O RDINARY SHARES / CUSIP: G6856M106 / Symbol: (cont'd)							
	259.000	572.34	12/29/20	556.85	...	15.49	4 of 14 - Sale ²⁵
	100.000	251.99	12/29/20	234.00	...	17.99	5 of 14 - Sale ²⁵
	314.000	693.89	12/29/20	675.10	...	18.79	6 of 14 - Sale ²⁵
	363.000	800.36	12/29/20	780.45	...	19.91	7 of 14 - Sale ²⁵
	513.000	1,133.64	12/29/20	1,102.95	...	30.69	8 of 14 - Sale ²⁵
	200.000	503.96	12/29/20	468.00	...	35.96	9 of 14 - Sale ²⁵
	200.000	503.96	12/29/20	468.00	...	35.96	10 of 14 - Sale ²⁵
	724.000	1,604.33	12/29/20	1,556.60	...	47.73	11 of 14 - Sale ²⁵
	815.000	1,801.01	12/29/20	1,752.25	...	48.76	12 of 14 - Sale ²⁵
	100.000	268.99	12/29/20	211.00	...	57.99	13 of 14 - Sale ²⁵
	199.000	535.27	12/29/20	419.89	...	115.38	14 of 14 - Sale ²⁵
12/29/20	4,059.000	9,271.29	Various	8,809.85	...	461.44	Total of 14 transactions
PANGAEA LOGISTICS SOLUTIONS LT D. COMMON SHARES / CUSIP: G6891L105 / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	20.000	87.00	11/11/20	82.00	...	5.00	1 of 2 - Sale ²⁵
	20.000	92.80	11/11/20	87.00	...	5.80	2 of 2 - Sale ²⁵
11/11/20	40.000	179.80	Various	169.00	...	10.80	Total of 2 transactions
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	900.000	2,501.84	11/12/20	2,535.21	...	-33.37	1 of 2 - Sale ²⁵
	538.000	1,495.54	11/12/20	1,515.49	...	-19.95	2 of 2 - Sale ²⁵
11/12/20	1,438.000	3,997.38	Various	4,050.70	...	-53.32	Total of 2 transactions
	Security total:	4,177.18		4,219.70	...	-42.52	
RETO ECO-SOLUTIONS, INC. COMMO N SHARES / CUSIP: G75271109 / Symbol:							
12 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	14.000	10.48	12/02/20	10.22	...	0.26	1 of 12 - Sale ²⁵
	76.000	56.88	12/02/20	55.48	...	1.40	2 of 12 - Sale ²⁵
	90.000	67.36	12/02/20	65.70	...	1.66	3 of 12 - Sale ²⁵
	100.000	74.85	12/02/20	72.99	...	1.86	4 of 12 - Sale ²⁵
	134.000	100.30	12/02/20	97.82	...	2.48	5 of 12 - Sale ²⁵
	300.000	224.54	12/02/20	219.00	...	5.54	6 of 12 - Sale ²⁵
	500.000	374.23	12/02/20	364.95	...	9.28	7 of 12 - Sale ²⁵
	710.000	531.41	12/02/20	518.30	...	13.11	8 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RETO ECO-SOLUTIONS, INC. COMMO N SHARES / CUSIP: G75271109 / Symbol: (cont'd)							
	1,000.000	748.46	12/02/20	729.90	...	18.56	9 of 12 - Sale ²⁵
	1,200.000	898.16	12/02/20	876.00	...	22.16	10 of 12 - Sale ²⁵
	1,210.000	905.64	12/02/20	883.30	...	22.34	11 of 12 - Sale ²⁵
	2,886.000	2,160.06	12/02/20	2,125.54	...	34.52	12 of 12 - Sale ²⁵
12/02/20	8,220.000	6,152.37	Various	6,019.20	...	133.17	Total of 12 transactions
12/02/20	1.000	0.72	12/02/20	0.74	0.02 W	0.00	Sale ²⁵
	6 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1,396.000	900.65	12/03/20	960.59	...	-59.94	1 of 6 - Sale ²⁵
	2,680.000	1,822.03	12/03/20	1,844.11	...	-22.08	2 of 6 - Sale ²⁵
	300.000	197.96	12/03/20	206.43	...	-8.47	3 of 6 - Sale ²⁵
	100.000	64.99	12/03/20	68.81	...	-3.82	4 of 6 - Sale ²⁵
	1.000	0.68	12/03/20	0.68	...	0.00	5 of 6 - Sale ²⁵
	319.000	216.88	12/03/20	210.54	...	6.34	6 of 6 - Sale ²⁵
12/03/20	4,796.000	3,203.19	Various	3,291.16	...	-87.97	Total of 6 transactions
	Security total:	9,356.28		9,311.10	0.02 W	45.20	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol:							
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	2.000	22.20	12/09/20	22.25	0.05 W	0.00	1 of 2 - Sale ²⁵
	627.000	6,953.20	12/09/20	6,975.38	22.18 W	0.00	2 of 2 - Sale ²⁵
12/09/20	629.000	6,975.40	Various	6,997.63	22.23 W	0.00	Total of 2 transactions
	3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	2.000	22.92	12/10/20	23.03	0.11 W	0.00	1 of 3 - Sale ²⁵
	48.000	550.06	12/10/20	553.22	3.16 W	0.00	2 of 3 - Sale ²⁵
	211.000	2,417.97	12/10/20	2,431.85	13.88 W	0.00	3 of 3 - Sale ²⁵
12/10/20	261.000	2,990.95	Various	3,008.10	17.15 W	0.00	Total of 3 transactions
	7 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	2.000	21.92	12/16/20	21.83	...	0.09	1 of 7 - Sale ²⁵
	18.000	197.27	12/16/20	196.66	...	0.61	2 of 7 - Sale ²⁵
	30.000	328.79	12/16/20	327.78	...	1.01	3 of 7 - Sale ²⁵
	84.000	916.40	12/16/20	915.21	...	1.19	4 of 7 - Sale ²⁵
	100.000	1,090.96	12/16/20	1,089.54	...	1.42	5 of 7 - Sale ²⁵
	134.000	1,468.58	12/16/20	1,464.05	...	4.53	6 of 7 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol: (cont'd)							
12/16/20	184.000	2,018.41	12/16/20	2,004.75	...	13.66	7 of 7 - Sale ²⁵
	552.000	6,042.33	Various	6,019.82	...	22.51	Total of 7 transactions
	5 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	23.000	252.76	12/17/20	252.30	...	0.46	1 of 5 - Sale ²⁵
	47.000	516.51	12/17/20	515.57	...	0.94	2 of 5 - Sale ²⁵
	100.000	1,098.96	12/17/20	1,096.95	...	2.01	3 of 5 - Sale ²⁵
	100.000	1,098.96	12/17/20	1,096.95	...	2.01	4 of 5 - Sale ²⁵
	200.000	2,197.93	12/17/20	2,193.90	...	4.03	5 of 5 - Sale ²⁵
12/17/20	470.000	5,165.12	Various	5,155.67	...	9.45	Total of 5 transactions
12/17/20	77.000	846.20	12/17/20	849.72	3.52 W	0.00	Sale ²⁵
	7 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.000	13.01	12/21/20	12.94	...	0.07	1 of 7 - Sale ²⁵
	77.000	1,000.97	12/21/20	999.86	...	1.11	2 of 7 - Sale ²⁵
	23.000	298.99	12/21/20	297.61	...	1.38	3 of 7 - Sale ²⁵
	54.000	701.97	12/21/20	698.73	...	3.24	4 of 7 - Sale ²⁵
	35.000	460.60	12/21/20	452.88	...	7.72	5 of 7 - Sale ²⁵
	154.000	2,012.71	12/21/20	1,992.69	...	20.02	6 of 7 - Sale ²⁵
	119.000	1,565.99	12/21/20	1,539.80	...	26.19	7 of 7 - Sale ²⁵
12/21/20	463.000	6,054.24	Various	5,994.51	...	59.73	Total of 7 transactions
	10 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	13.03	12/22/20	12.94	...	0.09	1 of 10 - Sale ²⁵
	1.000	13.57	12/22/20	13.38	...	0.19	2 of 10 - Sale ²⁵
	54.000	701.97	12/22/20	698.76	...	3.21	3 of 10 - Sale ²⁵
	49.000	659.03	12/22/20	655.62	...	3.41	4 of 10 - Sale ²⁵
	100.000	1,299.96	12/22/20	1,294.00	...	5.96	5 of 10 - Sale ²⁵
	100.000	1,344.96	12/22/20	1,338.00	...	6.96	6 of 10 - Sale ²⁵
	154.000	2,005.01	12/22/20	1,992.76	...	12.25	7 of 10 - Sale ²⁵
	149.000	2,012.92	12/22/20	1,993.62	...	19.30	8 of 10 - Sale ²⁵
	154.000	2,012.71	12/22/20	1,992.76	...	19.95	9 of 10 - Sale ²⁵
	149.000	2,019.63	12/22/20	1,993.62	...	26.01	10 of 10 - Sale ²⁵
12/22/20	911.000	12,082.79	Various	11,985.46	...	97.33	Total of 10 transactions

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SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol: (cont'd)							
5 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	42.000	692.98	12/28/20	689.64	...	3.34	1 of 5 - Sale ²⁵
	31.000	515.67	12/28/20	508.71	...	6.96	2 of 5 - Sale ²⁵
	100.000	1,649.95	12/28/20	1,642.00	...	7.95	3 of 5 - Sale ²⁵
	142.000	2,354.28	12/28/20	2,331.64	...	22.64	4 of 5 - Sale ²⁵
	111.000	1,846.42	12/28/20	1,822.62	...	23.80	5 of 5 - Sale ²⁵
12/28/20	426.000	7,059.30	Various	6,994.61	...	64.69	Total of 5 transactions
3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	68.000	1,090.68	12/30/20	1,101.52	10.84 W	0.00	1 of 3 - Sale ²⁵
	200.000	3,207.90	12/30/20	3,239.78	31.88 W	0.00	2 of 3 - Sale ²⁵
	164.000	2,630.48	12/30/20	2,656.62	26.14 W	0.00	3 of 3 - Sale ²⁵
12/30/20	432.000	6,929.06	Various	6,997.92	68.86 W	0.00	Total of 3 transactions
19 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	50.000	829.97	12/31/20	833.92	3.95 W	0.00	1 of 19 - Sale ²⁵
	17.000	282.19	12/31/20	283.54	1.35 W	0.00	2 of 19 - Sale ²⁵
	18.000	298.79	12/31/20	300.21	1.42 W	0.00	3 of 19 - Sale ²⁵
	56.000	929.57	12/31/20	933.99	4.42 W	0.00	4 of 19 - Sale ²⁵
	94.000	1,562.70	12/31/20	1,567.77	5.07 W	0.00	5 of 19 - Sale ²⁵
	20.000	332.49	12/31/20	333.57	1.08 W	0.00	6 of 19 - Sale ²⁵
	13.000	216.12	12/31/20	216.82	0.70 W	0.00	7 of 19 - Sale ²⁵
	30.000	498.74	12/31/20	500.35	1.61 W	0.00	8 of 19 - Sale ²⁵
	100.000	1,662.45	12/31/20	1,667.84	5.39 W	0.00	9 of 19 - Sale ²⁵
	25.000	415.61	12/31/20	416.97	1.36 W	0.00	10 of 19 - Sale ²⁵
	8.000	133.84	12/31/20	135.19	1.35 W	0.00	11 of 19 - Sale ²⁵
	1.000	16.73	12/31/20	16.90	0.17 W	0.00	12 of 19 - Sale ²⁵
	17.000	284.40	12/31/20	285.93	1.53 W	0.00	13 of 19 - Sale ²⁵
	18.000	301.13	12/31/20	302.74	1.61 W	0.00	14 of 19 - Sale ²⁵
	29.000	485.16	12/31/20	487.75	2.59 W	0.00	15 of 19 - Sale ²⁵
	50.000	836.47	12/31/20	840.95	4.48 W	0.00	16 of 19 - Sale ²⁵
	11.000	184.02	12/31/20	184.73	0.71 W	0.00	17 of 19 - Sale ²⁵
	27.000	451.70	12/31/20	454.11	2.41 W	0.00	18 of 19 - Sale ²⁵
	18.000	301.13	12/31/20	302.47	1.34 W	0.00	19 of 19 - Sale ²⁵
12/31/20	602.000	10,023.21	Various	10,065.75	42.54 W	0.00	Total of 19 transactions

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol: (cont'd)							
Security total:		64,168.60		64,069.19	154.30 W	253.71	
THUNDER BRIDGE ACQUISITION II, LTD. CLASS A ORDINARY SHARES / CUSIP: G8857S116 / Symbol:							
<i>9 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	73.65	12/28/20	72.90	...	0.75	1 of 9 - Sale ²⁵
	7.000	103.11	12/28/20	102.06	...	1.05	2 of 9 - Sale ²⁵
	13.000	191.49	12/28/20	189.54	...	1.95	3 of 9 - Sale ²⁵
	20.000	294.60	12/28/20	291.60	...	3.00	4 of 9 - Sale ²⁵
	45.000	662.83	12/28/20	656.10	...	6.73	5 of 9 - Sale ²⁵
	70.000	1,031.06	12/28/20	1,020.60	...	10.46	6 of 9 - Sale ²⁵
	160.000	2,343.92	12/28/20	2,332.80	...	11.12	7 of 9 - Sale ²⁵
	60.000	889.77	12/28/20	874.80	...	14.97	8 of 9 - Sale ²⁵
	100.000	1,482.95	12/28/20	1,458.00	...	24.95	9 of 9 - Sale ²⁵
12/28/20	480.000	7,073.38	Various	6,998.40	...	74.98	Total of 9 transactions
UTSTARCOM HOLDINGS CORP. / CUSIP: G9310A114 / Symbol:							
<i>5 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	115.00	11/06/20	111.77	...	3.23	1 of 5 - Sale ²⁵
	408.000	469.18	11/06/20	456.96	...	12.22	2 of 5 - Sale ²⁵
	700.000	804.97	11/06/20	784.00	...	20.97	3 of 5 - Sale ²⁵
	1,000.000	1,149.95	11/06/20	1,120.00	...	29.95	4 of 5 - Sale ²⁵
	1,300.000	1,494.94	11/06/20	1,456.00	...	38.94	5 of 5 - Sale ²⁵
11/06/20	3,508.000	4,034.04	Various	3,928.73	...	105.31	Total of 5 transactions
<i>10 transactions for 11/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.16	11/27/20	1.15	...	0.01	1 of 10 - Sale ²⁵
	100.000	115.98	11/27/20	115.00	...	0.98	2 of 10 - Sale ²⁵
	108.000	125.27	11/27/20	124.20	...	1.07	3 of 10 - Sale ²⁵
	70.000	81.19	11/27/20	79.10	...	2.09	4 of 10 - Sale ²⁵
	300.000	347.96	11/27/20	345.00	...	2.96	5 of 10 - Sale ²⁵
	100.000	115.99	11/27/20	113.00	...	2.99	6 of 10 - Sale ²⁵
	600.000	695.91	11/27/20	690.00	...	5.91	7 of 10 - Sale ²⁵
	692.000	802.62	11/27/20	795.80	...	6.82	8 of 10 - Sale ²⁵
	1,330.000	1,542.60	11/27/20	1,529.50	...	13.10	9 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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UTSTARCOM HOLDINGS CORP. / CUSIP: G9310A114 / Symbol: (cont'd)							
	1,916.000	2,222.28	11/27/20	2,203.40	...	18.88	10 of 10 - Sale ²⁵
11/27/20	5,217.000	6,050.96	Various	5,996.15	...	54.81	Total of 10 transactions
	Security total:	10,085.00		9,924.88	...	160.12	
VIVOPOWER INTERNATIONAL PLC OR DINARY SHARES / CUSIP: G9376R100 / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	471.000	3,263.89	11/16/20	3,297.00	26.99 W	-6.12	1 of 2 - Sale ²⁵
	100.000	695.22	11/16/20	700.00	4.78 W	0.00	2 of 2 - Sale ²⁵
11/16/20	571.000	3,959.11	Various	3,997.00	31.77 W	-6.12	Total of 2 transactions
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	384.000	3,189.50	11/18/20	3,233.39	...	-43.89	1 of 2 - Sale ²⁵
	100.000	830.60	11/18/20	839.78	...	-9.18	2 of 2 - Sale ²⁵
11/18/20	484.000	4,020.10	Various	4,073.17	...	-53.07	Total of 2 transactions
	Security total:	7,979.21		8,070.17	31.77 W	-59.19	
URBAN TEA, INC. ORDINARY SHARE S / CUSIP: G9396G118 / Symbol:							
9 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	50.000	110.50	12/29/20	110.50	...	0.00	1 of 9 - Sale ²⁵
	22.000	48.62	12/29/20	48.62	...	0.00	2 of 9 - Sale ²⁵
	49.000	108.28	12/29/20	107.32	...	0.96	3 of 9 - Sale ²⁵
	78.000	172.37	12/29/20	170.85	...	1.52	4 of 9 - Sale ²⁵
	81.000	178.99	12/29/20	177.41	...	1.58	5 of 9 - Sale ²⁵
	92.000	203.30	12/29/20	201.48	...	1.82	6 of 9 - Sale ²⁵
	100.000	234.99	12/29/20	227.00	...	7.99	7 of 9 - Sale ²⁵
	200.000	469.98	12/29/20	454.00	...	15.98	8 of 9 - Sale ²⁵
	200.000	469.98	12/29/20	454.00	...	15.98	9 of 9 - Sale ²⁵
12/29/20	872.000	1,997.01	Various	1,951.18	...	45.83	Total of 9 transactions
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	78.000	172.37	12/29/20	172.38	0.01 W	0.00	1 of 3 - Sale ²⁵
	78.000	172.36	12/29/20	172.39	0.03 W	0.00	2 of 3 - Sale ²⁵
	130.000	287.27	12/29/20	287.30	0.03 W	0.00	3 of 3 - Sale ²⁵
12/29/20	286.000	632.00	Various	632.07	0.07 W	0.00	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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URBAN TEA, INC. ORDINARY SHARE S / CUSIP: G9396G118 / Symbol: (cont'd)							
<i>5 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	186.98	12/30/20	235.88	...	-48.90	1 of 5 - Sale ²⁵
	100.000	186.98	12/30/20	235.88	...	-48.90	2 of 5 - Sale ²⁵
	100.000	186.98	12/30/20	235.88	...	-48.90	3 of 5 - Sale ²⁵
	100.000	186.98	12/30/20	235.87	...	-48.89	4 of 5 - Sale ²⁵
	100.000	186.99	12/30/20	235.88	...	-48.89	5 of 5 - Sale ²⁵
12/30/20	500.000	934.91	Various	1,179.39	...	-244.48	Total of 5 transactions
<i>5 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	191.12	12/30/20	225.00	33.88 W	0.00	1 of 5 - Sale ²⁵
	100.000	191.12	12/30/20	225.00	33.88 W	0.00	2 of 5 - Sale ²⁵
	100.000	191.12	12/30/20	225.00	33.88 W	0.00	3 of 5 - Sale ²⁵
	100.000	191.13	12/30/20	225.00	33.87 W	0.00	4 of 5 - Sale ²⁵
	100.000	191.12	12/30/20	225.00	33.88 W	0.00	5 of 5 - Sale ²⁵
12/30/20	500.000	955.61	Various	1,125.00	169.39 W	0.00	Total of 5 transactions
	Security total:	4,519.53		4,887.64	169.46 W	-198.65	
WAH FU EDUCATION GROUP LIMITED ORDINARY SHARES / CUSIP: G94184101 / Symbol:							
<i>4 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	670.000	2,472.16	09/10/20	2,472.23	...	-0.07	1 of 4 - Sale ²⁵
	400.000	1,475.92	09/10/20	1,475.96	...	-0.04	2 of 4 - Sale ²⁵
	11.000	41.14	09/10/20	40.37	...	0.77	3 of 4 - Sale ²⁵
	23.000	86.48	09/10/20	84.87	...	1.61	4 of 4 - Sale ²⁵
09/10/20	1,104.000	4,075.70	Various	4,073.43	...	2.27	Total of 4 transactions
INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644148 / Symbol:							
<i>2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	503.000	1,393.21	10/30/20	1,364.44	...	28.77	1 of 2 - Sale ²⁵
	1,000.000	2,769.81	10/30/20	2,712.60	...	57.21	2 of 2 - Sale ²⁵
10/30/20	1,503.000	4,163.02	Various	4,077.04	...	85.98	Total of 2 transactions

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(continued)

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PAINREFORM LTD. ORDINARY SHARE S / CUSIP: M77798102 / Symbol:							
8 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	289.000	1,401.58	11/03/20	1,502.80	...	-101.22	1 of 8 - Sale ²⁵
	306.000	1,484.03	11/03/20	1,554.48	...	-70.45	2 of 8 - Sale ²⁵
	100.000	484.98	11/03/20	541.01	...	-56.03	3 of 8 - Sale ²⁵
	72.000	349.18	11/03/20	390.25	...	-41.07	4 of 8 - Sale ²⁵
	50.000	242.49	11/03/20	270.50	...	-28.01	5 of 8 - Sale ²⁵
	50.000	242.49	11/03/20	270.50	...	-28.01	6 of 8 - Sale ²⁵
	15.000	72.75	11/03/20	81.45	...	-8.70	7 of 8 - Sale ²⁵
	4.000	21.20	11/03/20	20.32	...	0.88	8 of 8 - Sale ²⁵
11/03/20	886.000	4,298.70	Various	4,631.31	...	-332.61	Total of 8 transactions
4 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	50.000	243.00	11/03/20	254.00	11.00 W	0.00	1 of 4 - Sale ²⁵
	100.000	485.99	11/03/20	508.00	22.01 W	0.00	2 of 4 - Sale ²⁵
	50.000	243.00	11/03/20	254.00	11.00 W	0.00	3 of 4 - Sale ²⁵
	87.000	421.94	11/03/20	441.96	20.02 W	0.00	4 of 4 - Sale ²⁵
11/03/20	287.000	1,393.93	Various	1,457.96	64.03 W	0.00	Total of 4 transactions
	Security total:	5,692.63		6,089.27	64.03 W	-332.61	
SUPERCOM, LTD. ORDINARY SHARES (ISRAEL) / CUSIP: M87095119 / Symbol:							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	200.000	186.83	10/28/20	183.98	...	2.85	1 of 2 - Sale ²⁵
	3,061.000	2,859.46	10/28/20	2,815.81	...	43.65	2 of 2 - Sale ²⁵
10/28/20	3,261.000	3,046.29	Various	2,999.79	...	46.50	Total of 2 transactions
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760194 / Symbol:							
07/31/20	50.000	78.75	06/23/20	275.04	...	-196.29	Sale ²⁵
6 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	100.000	58.49	10/28/20	53.00	...	5.49	1 of 6 - Sale ²⁵
	100.000	58.49	10/28/20	53.00	...	5.49	2 of 6 - Sale ²⁵
	123.000	71.94	10/28/20	65.19	...	6.75	3 of 6 - Sale ²⁵
	200.000	116.98	10/28/20	106.00	...	10.98	4 of 6 - Sale ²⁵
	959.000	560.89	10/28/20	508.27	...	52.62	5 of 6 - Sale ²⁵

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SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760194 / Symbol: (cont'd)							
	4,189.000	2,450.01	10/28/20	2,220.17	...	229.84	6 of 6 - Sale ²⁵
10/28/20	5,671.000	3,316.80	Various	3,005.63	...	311.17	Total of 6 transactions
	10 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	12.000	5.28	11/06/20	5.27	...	0.01	1 of 10 - Sale ²⁵
	32.000	14.08	11/06/20	14.06	...	0.02	2 of 10 - Sale ²⁵
	56.000	24.63	11/06/20	24.60	...	0.03	3 of 10 - Sale ²⁵
	100.000	43.99	11/06/20	43.93	...	0.06	4 of 10 - Sale ²⁵
	100.000	43.99	11/06/20	43.93	...	0.06	5 of 10 - Sale ²⁵
	164.000	72.14	11/06/20	72.04	...	0.10	6 of 10 - Sale ²⁵
	1,574.000	692.35	11/06/20	691.46	...	0.89	7 of 10 - Sale ²⁵
	1,600.000	703.79	11/06/20	702.88	...	0.91	8 of 10 - Sale ²⁵
	1,600.000	703.79	11/06/20	702.88	...	0.91	9 of 10 - Sale ²⁵
	1,600.000	703.79	11/06/20	702.88	...	0.91	10 of 10 - Sale ²⁵
11/06/20	6,838.000	3,007.83	Various	3,003.93	...	3.90	Total of 10 transactions
	7 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	1.000	0.62	12/04/20	0.59	...	0.03	1 of 7 - Sale ²⁵
	600.000	360.41	12/04/20	356.94	...	3.47	2 of 7 - Sale ²⁵
	700.000	420.48	12/04/20	416.43	...	4.05	3 of 7 - Sale ²⁵
	1,154.000	693.16	12/04/20	686.52	...	6.64	4 of 7 - Sale ²⁵
	1,300.000	780.87	12/04/20	773.37	...	7.50	5 of 7 - Sale ²⁵
	1,300.000	780.87	12/04/20	773.37	...	7.50	6 of 7 - Sale ²⁵
	5,054.000	3,021.12	12/04/20	3,006.62	...	14.50	7 of 7 - Sale ²⁵
12/04/20	10,109.000	6,057.53	Various	6,013.84	...	43.69	Total of 7 transactions
	Security total:	12,460.91		12,298.44	...	162.47	
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
	9 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	199.000	28.84	03/30/20	130.37	...	-101.53	1 of 9 - Sale ²⁵
	200.000	28.98	03/30/20	80.00	...	-51.02	2 of 9 - Sale ²⁵
	100.000	14.49	03/30/20	65.50	...	-51.01	3 of 9 - Sale ²⁵
	100.000	14.49	03/30/20	65.50	...	-51.01	4 of 9 - Sale ²⁵
	100.000	14.49	03/30/20	65.26	...	-50.77	5 of 9 - Sale ²⁵
	99.000	14.35	03/30/20	64.85	...	-50.50	6 of 9 - Sale ²⁵
	95.000	13.76	03/30/20	62.24	...	-48.48	7 of 9 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 908804669

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
	5.000	0.72	03/30/20	3.28	...	-2.56	8 of 9 - Sale ²⁵
	1.000	0.14	03/30/20	0.66	...	-0.52	9 of 9 - Sale ²⁵
04/02/20	899.000	130.26	Various	537.66	...	-407.40	Total of 9 transactions
6 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	400.000	57.95	03/30/20	160.00	76.54 W	-25.51	1 of 6 - Sale ²⁵
	100.000	14.49	03/30/20	39.99	25.50 W	0.00	2 of 6 - Sale ²⁵
	100.000	14.49	03/30/20	39.99	25.50 W	0.00	3 of 6 - Sale ²⁵
	5.000	0.72	03/30/20	2.00	1.28 W	0.00	4 of 6 - Sale ²⁵
	95.000	13.76	03/30/20	38.00	24.24 W	0.00	5 of 6 - Sale ²⁵
	100.000	14.49	03/30/20	39.75	25.26 W	0.00	6 of 6 - Sale ²⁵
04/02/20	800.000	115.90	Various	319.73	178.32 W	-25.51	Total of 6 transactions
05/18/20	1.000	0.14	03/30/20	0.65	...	-0.51	Sale ²⁵
	Security total:	246.30		858.04	178.32 W	-433.42	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	0.51	03/27/20	0.21	...	0.30	1 of 4 - Sale ²⁵
	50.000	28.51	03/27/20	14.25	...	14.26	2 of 4 - Sale ²⁵
	99.000	50.59	03/27/20	28.21	...	22.38	3 of 4 - Sale ²⁵
	1,300.000	664.25	03/27/20	380.51	...	283.74	4 of 4 - Sale ²⁵
03/30/20	1,450.000	743.86	Various	423.18	...	320.68	Total of 4 transactions
05/18/20	1.000	0.15	03/27/20	0.28	...	-0.13	Sale ²⁵
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	500.000	49.99	06/18/20	74.75	...	-24.76	1 of 3 - Sale ²⁵
	3,000.000	299.94	06/23/20	551.40	...	-251.46	2 of 3 - Sale ²⁵
	200.000	20.00	06/23/20	36.48	...	-16.48	3 of 3 - Sale ²⁵
07/31/20	3,700.000	369.93	Various	662.63	...	-292.70	Total of 3 transactions
	Security total:	1,113.94		1,086.09	...	27.85	
Totals :		1,837,748.56		1,867,174.14	32,331.18 W	2,905.60	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 908804669

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U703 / Symbol:							
06/22/20	0.333	1.62	06/04/20	1.82	...	-0.20	Gain or loss
Totals:		1.62		1.82	...	-0.20	

Robinhood Securities LLC

Account 908804669

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		09/18/20	-5.00	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		11/17/20	-5.00	Margin interest paid	
		12/17/20	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			-20.00	Total Margin interest paid	

Robinhood Securities LLC

Account 908804669

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AIKIDO PHARMA INC. COMMON STOC K	008875106	03/13/20	1.00	0.00	Exchange	
SPHERIX INCORPORATED COMMON ST OCK	84842R502	03/13/20	-1.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.