Page 1 of 22

Robinhood Securities LLC 500 Colonial Center Parkway	Tax Informa Account 9639		Statement Date: Document ID:	02/14/2021 B82D X0Y M952	2020
Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700	Vishal Komanpally 6523 Escena Boulevard 3103 Irving, TX 75039				·
PAYER'S TIN: 38-4019216	RECIPIENT'S TIN: XXX-XX-1475	5			
[] FATCA filing requirement (see instructions)	Summary Inform	ation			
DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV	* OMB No. 1545-0110	MISCELLANEOUS	INCOME	2020 1099-MISC*	OMB No. 1545-0115
 1a- Total ordinary dividends (includes lines 1b, 5) 1b- Qualified dividends 2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 2b- Unrecaptured Section 1250 gain 2c- Section 1202 gain 	0.00 0.00 0.00 0.00 0.00 0.00	2- Royalties 3- Other income 4- Federal income tax 8- Substitute payments		r interest	0.00 0.00 0.00 0.00
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	NTRACTS	2020 1099-B*	OMB No. 1545-0715
 3- Nondividend distributions 4- Federal income tax withheld 5- Section 199A dividends 6- Investment expenses 8- Foreign country or US possession: 7- Foreign tax paid: 9- Cash liquidation distributions 10- Noncash liquidation distributions 11- Exempt-interest dividends (includes line 12) 12- Specified private activity bond interest dividends (AMT) 	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0	 8- Profit or (loss) realiz. 9- Unrealized profit or (10- Unrealized profit or (11- Aggregate profit or (<i>If applicable, proceed</i> 	ed in 2020 on closed loss) on open contrac loss) on open contrac loss) on contracts	cts-12/31/2019 cts-12/31/2020 ons appear summarized belo	0.00 0.00 0.00 0.00 w and are

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	99,853.57	101,478.90	0.00	2,720.46	1,095.13
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	99,853.57	101,478.90	0.00	2,720.46	1,095.13
Long	D (basis reported to the IRS)	0.01	0.01	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.01	0.01	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	99,853.58	101,478.91	0.00	2,720.46	1,095.13
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Summary Information

(continued)

02/14/2021

INTEREST INCOME 2020 1099-INT

OMB No. 1545-0112 This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
 Foreign country or US possession: 6- Foreign tax paid: 	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CLISIP number	

Tax-exempt and tax credit bond CUSIP number

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nongualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions Other Receipts & Reconciliations- Foreign tax paid- partnership Other Receipts & Reconciliations- Return of principal	0.00 0.00 0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Account 963927496

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1 1e- Cost or other basis	If- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)		Additional information
ALIBABA GROUP HOLE	DING LIMITED AMERICA	N DEPOSITARY SHARE	S, EA CH REPR	RESENTS EIGHT O	RDINARY S / CUSIP: 01609	W102 / Symbol:	
12/28/20	10.000	2,210.03	12/28/20	2,221.20		-11.17	Sale ²⁵
AMAZON.COM, INC. CO	OMMON STOCK / CUSIP	: 023135106 / Symbol:					
09/22/20	0.165 3 transactions fo	501.78 r 09/24/20. Total proceed	09/22/20 Is and cost repor	500.00 ted to the IRS.		1.78	Sale ²⁵
	0.162	486.14	09/22/20	505.00		-18.86	1 of 3 - Sale ²⁵
	0.109	327.67	09/22/20	340.00		-12.33	2 of 3 - Sale ²⁵
	0.051	154.27	09/22/20	160.00		-5.73	3 of 3 - Sale ²⁵
09/24/20	0.322	968.08	Various	1,005.00		-36.92	Total of 3 transactions
11/17/20	0.553	1,749.43	11/16/20	1,723.00		26.43	Sale ²⁵
	Security total:	3,219.29		3,228.00		-8.71	

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 **1099-B*** OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES	S GROUP INC. C OMMON	STOCK / CUSIP: 02376R	R102 / Symbol:				
10/27/20 11/24/20	31.819 19.000	368.27 281.04	10/23/20 11/18/20	396.40 267.70	16.80 W	-11.33 13.34	Sale ²⁵ Sale ²⁵
	Security total:	649.31		664.10	16.80 W	2.01	
APARTMENT INVEST	MENT AND MANAG EMEN	NT COMPANY / CUSIP: (03748R747 / Syn	nbol:			
12/17/20	19.881	88.97	12/16/20	100.00		-11.03	Sale ²⁵
BANK OF AMERICA	CORPORATION / CUSIP: 0	60505104 / Symbol:					
	3 transactions fo	or 11/20/20. Total proceed	ls and cost repor	ted to the IRS.			
	39.815 0.181 0.004	1,066.25 4.86 0.10	11/17/20 11/17/20 11/17/20	1,076.10 4.94 0.10		-9.85 -0.08 0.00	1 of 3 - Sale ²⁵ 2 of 3 - Sale ²⁵ 3 of 3 - Sale ²⁵
11/20/20	40.000	1,071.21	Various	1,081.14		-9.93	Total of 3 transactions
11/20/20	0.181	4.86	11/17/20	4.90	0.04 W	0.00	Sale ²⁵
	Security total:	1,076.07		1,086.04	0.04 W	-9.93	
BIOMARIN PHARMAG	CEUTICAL INC. C OMMON	STOCK / CUSIP: 09061	G101 / Symbol:				
11/12/20	15.493	1,183.32	11/11/20	1,164.00		19.32	Sale ²⁵
URIS, INC. COMMO	N STOCK / CUSIP: 231269	200 / Symbol:					
	2 transactions fo	or 12/10/20. Total proceed	ls and cost repor	ted to the IRS.			
12/10/20	194.642 0.034 194.676	1,522.23 0.27 1,522.50	12/09/20 12/09/20 Various	1,589.25 0.28 1,589.53	4.16 W 0.01 W 4.17 W	-62.86 0.00 -62.86	1 of 2 - Sale 25 2 of 2 - Sale 25 Total of 2 transactions
12/10/20		or 12/11/20. Total proceed			4.17 VV	-02.00	
	12.000 0.094 0.034 0.007	95.94 0.76 0.27 0.05	12/10/20 12/10/20 12/10/20 12/10/20	103.01 0.81 0.29 0.06	··· ··· ···	-7.07 -0.05 -0.02 -0.01	1 of 4 - Sale ²⁵ 2 of 4 - Sale ²⁵ 3 of 4 - Sale ²⁵ 4 of 4 - Sale ²⁵
12/11/20	12.136 Security total:	97.02 1,619.52	Various	104.17 1,693.70	4.17 W	-7.15 -70.01	Total of 4 transactions

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENERAL ELECTRIC	COMPANY / CUSIP: 3696	04103 / Symbol:					
	2 transactions for	r 09/09/20. Total proceed	ls and cost repor	ted to the IRS.			
	19.308	118.75	09/09/20	119.52		-0.77	1 of 2 - Sale ²⁵
	0.078	0.48	09/09/20	0.48		0.00	2 of 2 - Sale ²⁵
09/09/20	19.386	119.23	Various	120.00		-0.77	Total of 2 transactions
SENIUS BRANDS IN	TERNATIONAL, I NC. COM	MON STOCK / CUSIP: 3	7229T301 / Syml	bol:			
	4 transactions for	r 09/28/20. Total proceed	ls and cost report	ted to the IRS.			
	2,016.609	2,389.38	09/25/20	2,551.21	141.66 W	-20.17	1 of 4 - Sale 25
	0.236	0.28	09/25/20	0.30	0.02 W	0.00	2 of 4 - Sale 25
	0.155	0.18	09/25/20	0.19	0.01 W	0.00	3 of 4 - Sale 25
	0.236	0.28	09/25/20	0.32	0.04 W	0.00	4 of 4 - Sale 25
09/28/20	2,017.236	2,390.12	Various	2,552.02	141.73 W	-20.17	Total of 4 transactions
		r 10/14/20. Total proceed					
	1,765.292	2,548.11	10/12/20	2,905.05	299.49 W	-57.45	1 of 3 - Sale ²⁵
	0.236	0.34	10/12/20	0.41	0.07 W	0.00	2 of 3 - Sale ²⁵
	0.155	0.22	10/12/20	0.25	0.03 W	0.00	3 of 3 - Sale ²⁵
10/14/20	1,765.683	2,548.67	Various	2,905.71	299.59 W	-57.45	Total of 3 transactions
	6 transactions for	r 11/16/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.236	0.28	10/30/20	0.31	0.03 W	0.00	1 of 6 - Sale 25
	0.155	0.18	10/30/20	0.19	0.01 W	0.00	2 of 6 - Sale ²⁵
	0.158	0.19	10/30/20	0.20	0.01 W	0.00	3 of 6 - Sale ²⁵
	319.451	371.54	10/30/20	395.22	23.68 W	0.00	4 of 6 - Sale ²⁵
	9.549	11.11	11/03/20	12.10	0.99 W	0.00	5 of 6 - Sale ²⁵
	1,152.000	1,339.83	11/03/20	1,459.82	119.99 W	0.00	6 of 6 - Sale ²⁵
11/16/20	1,481.549	1,723.13	Various	1,867.84	144.71 W	0.00	Total of 6 transactions
	8 transactions for	r 11/17/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.236	0.27	11/16/20	0.32	0.05 W	0.00	1 of 8 - Sale 25
	0.155	0.18	11/16/20	0.20	0.02 W	0.00	2 of 8 - Sale ²⁵
	0.158	0.18	11/16/20	0.21	0.03 W	0.00	3 of 8 - Sale ²⁵
	0.077	0.08	11/16/20	0.10	0.02 W	0.00	4 of 8 - Sale 25
	319.374	362.44	11/16/20	421.30	58.86 W	0.00	5 of 8 - Sale ²⁵
	9.549	10.84	11/16/20	12.88	2.04 W	0.00	6 of 8 - Sale 25
	611.953	694.48	11/16/20	825.62	131.14 W	0.00	7 of 8 - Sale ²⁵

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS IN	TERNATIONAL, I NC. COM	IMON STOCK / CUSIP: 3	7229T301 / Sym	bol: (cont'd)			
	15.125	17.16	11/16/20	20.41	3.25 W	0.00	8 of 8 - Sale ²⁵
11/17/20	956.626	1,085.63	Various	1,281.04	195.41 W	0.00	Total of 8 transactions
12/07/20	784.292	1,105.81	12/01/20	1,050.87		54.94	Sale ²⁵
	10 transactions	for 12/07/20. Total procee	eds and cost repo	orted to the IRS.			
	524.766	739.90	12/01/20	757.78	6.35 W	-11.53	1 of 10 - Sale ²⁵
	0.157	0.22	12/01/20	0.23	0.01 W	0.00	2 of 10 - Sale ²⁵
	0.236	0.33	12/01/20	0.37	0.04 W	0.00	3 of 10 - Sale ²⁵
	0.077	0.11	12/01/20	0.12	0.01 W	0.00	4 of 10 - Sale 25
	0.155	0.22	12/01/20	0.23	0.01 W	0.00	5 of 10 - Sale ²⁵
	0.158	0.22	12/01/20	0.24	0.02 W	0.00	6 of 10 - Sale ²⁵
	319.374	450.30	12/01/20	486.79	36.49 W	0.00	7 of 10 - Sale ²⁵
	9.549	13.46	12/01/20	14.84	1.38 W	0.00	8 of 10 - Sale ²⁵
	15.125	21.32	12/01/20	23.52	2.20 W	0.00	9 of 10 - Sale ²⁵
	611.953	862.82	12/01/20	951.09	88.27 W	0.00	10 of 10 - Sale ²⁵
12/07/20	1,481.549	2,088.90	Various	2,235.21	134.78 W	-11.53	Total of 10 transactions
	11 transactions	for 12/10/20. Total procee	eds and cost repo	orted to the IRS.			
	611.953	954.86	12/09/20	1,159.18		-204.32	1 of 11 - Sale ²⁵
	319.129	497.95	12/09/20	594.94		-96.99	2 of 11 - Sale ²⁵
	150.079	234.17	12/09/20	267.75		-33.58	3 of 11 - Sale ²⁵
	15.125	23.60	12/09/20	28.67		-5.07	4 of 11 - Sale ²⁵
	9.549	14.90	12/09/20	18.09		-3.19	5 of 11 - Sale ²⁵
	0.236	0.37	12/09/20	0.45		-0.08	6 of 11 - Sale ²⁵
	0.245	0.38	12/09/20	0.46		-0.08	7 of 11 - Sale ²⁵
	0.158	0.25	12/09/20	0.30		-0.05	8 of 11 - Sale ²⁵
	0.155	0.24	12/09/20	0.28		-0.04	9 of 11 - Sale ²⁵
	0.157	0.25	12/09/20	0.28		-0.03	10 of 11 - Sale ²⁵
	0.077	0.12	12/09/20	0.14		-0.02	11 of 11 - Sale ²⁵
12/10/20	1,106.863	1,727.09	Various	2,070.54		-343.45	Total of 11 transactions
	2 transactions for	or 12/21/20. Total proceed	ls and cost repor	ted to the IRS.			
	36.000	58.14	12/18/20	60.63		-2.49	1 of 2 - Sale ²⁵
	0.364	0.59	12/18/20	0.61		-0.02	2 of 2 - Sale 25
12/21/20	36.364	58.73	Various	61.24		-2.51	Total of 2 transactions
	Security total:	12,728.08		14,024.47	916.22 W	-380.17	

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GREENWICH LIFES	CIENCES, INC. C OMMON S	TOCK / CUSIP: 396879	108 / Symbol:				
	5 transactions for	12/10/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.800	70.08	12/09/20	100.00		-29.92	1 of 5 - Sale ²⁵
	0.873	76.45	12/09/20	79.40		-2.95	2 of 5 - Sale ²⁵
	0.000	0.03	12/09/20	0.03		0.00	3 of 5 - Sale ²⁵
	0.032	2.77	12/09/20	2.52		0.25	4 of 5 - Sale ²⁵
	0.227	19.92	12/09/20	18.05		1.87	5 of 5 - Sale ²⁵
12/10/20	1.932	169.25	Various	200.00		-30.75	Total of 5 transactions
MECHEL PAO AMER	RICAN DEPOSITARY SHARE	ES (EACH REPRESENT	ING ONE -HALF	OF A PREFERR	ED SHARE) / CUSIP: 583840	509 / Symbol:	
12/16/20	100.000	45.79	12/11/20	58.84		-13.05	Sale ²⁵
12/21/20	31.000	13.11	12/11/20	18.24		-5.13	Sale ²⁵
	Security total:	58.90		77.08		-18.18	
NETFLIX, INC. COM	MON STOCK / CUSIP: 64110)L106 / Symbol:					
10/30/20	1.000	475.82	10/22/20	484.25	8.43 W	0.00	Sale ²⁵
	4 transactions for	11/11/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.590	284.64	11/09/20	285.31		-0.67	1 of 4 - Sale ²⁵
	0.410	198.07	11/09/20	198.55		-0.48	2 of 4 - Sale ²⁵
	0.000	0.08	11/09/20	0.08		0.00	3 of 4 - Sale ²⁵
	1.410	680.77	11/09/20	670.49		10.28	4 of 4 - Sale ²⁵
11/11/20	2.410	1,163.56	Various	1,154.43		9.13	Total of 4 transactions
	Security total:	1,639.38		1,638.68	8.43 W	9.13	
OCUGEN, INC. COM	MON STOCK / CUSIP: 6757	7C105 / Symbol:					
12/24/20	3.000	6.06	12/23/20	8.16		-2.10	Sale ²⁵
ORAGENICS INC. / C	CUSIP: 684023302 / Symbol:						
12/04/20	100.000	47.30	12/03/20	48.00		-0.70	Sale ²⁵
SPLUNK INC. COMM	ION STOCK / CUSIP: 84863	7104 / Symbol:					
	3 transactions for	12/08/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.995	159.07	12/03/20	155.00		4.07	1 of 3 - Sale ²⁵
	0.154	24.68	12/07/20	23.71		0.97	2 of 3 - Sale ²⁵
	8.850	1,414.51	12/07/20	1,360.03		54.48	3 of 3 - Sale ²⁵
12/08/20	10.000	1,598.26	Various	1,538.74		59.52	Total of 3 transactions

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPLUNK INC. COM	ION STOCK / CUSIP: 84863	37104 / Symbol: (cont'd)					
	2 transactions for	or 12/09/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.138	22.08	12/07/20	21.22		0.86	1 of 2 - Sale ²⁵
	12.000	1,915.32	12/07/20	1,844.04		71.28	2 of 2 - Sale ²⁵
12/09/20	12.138	1,937.40	Various	1,865.26		72.14	Total of 2 transactions
	4 transactions for	or 12/14/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.000	0.06	12/10/20	0.06		0.00	1 of 4 - Sale 25
	0.007	1.13	12/10/20	1.09		0.04	2 of 4 - Sale ²⁵
	5.858	928.48	12/10/20	899.94		28.54	3 of 4 - Sale ²⁵
	9.935	1,574.66	12/10/20	1,520.91		53.75	4 of 4 - Sale ²⁵
12/14/20	15.800	2,504.33	Various	2,422.00		82.33	Total of 4 transactions
	3 transactions fo	or 12/18/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.012	1.93	12/17/20	1.93		0.00	1 of 3 - Sale ²⁵
	0.425	70.18	12/17/20	69.38		0.80	2 of 3 - Sale ²⁵
	9.000	1,484.70	12/17/20	1,483.61		1.09	3 of 3 - Sale ²⁵
12/18/20	9.437	1,556.81	Various	1,554.92		1.89	Total of 3 transactions
12/18/20	0.821	135.45	12/17/20	135.53	0.08 W	0.00	Sale ²⁵
12/23/20	0.055	10.00	12/22/20	9.56		0.44	Sale ²⁵
	4 transactions for	or 12/24/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.007	1.25	12/22/20	1.20		0.05	1 of 4 - Sale ²⁵
	0.023	4.27	12/22/20	4.10		0.17	2 of 4 - Sale ²⁵
	0.736	133.83	12/22/20	128.51		5.32	3 of 4 - Sale ²⁵
	9.257	1,683.37	12/22/20	1,615.61		67.76	4 of 4 - Sale ²⁵
12/24/20	10.024	1,822.72	Various	1,749.42		73.30	Total of 4 transactions
	3 transactions for	or 12/28/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.397	70.30	12/24/20	72.55	2.25 W	0.00	1 of 3 - Sale ²⁵
	0.999	176.87	12/24/20	182.54	5.67 W	0.00	2 of 3 - Sale ²⁵
	13.000	2,302.24	12/24/20	2,374.91	72.67 W	0.00	3 of 3 - Sale ²⁵
12/28/20	14.396	2,549.41	Various	2,630.00	80.59 W	0.00	Total of 3 transactions
	Security total:	12,114.38		11,905.43	80.67 W	289.62	

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 **1099-B*** OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ESLA, INC. COMM	ION STOCK / CUSIP: 88160R	R101 / Symbol:					
09/11/20	0.280	101.75	09/09/20	100.00		1.75	Sale ²⁵
10/30/20	4.000	1,538.68	10/23/20	1,636.37	97.69 W	0.00	Sale ²⁵
11/02/20	2.000	803.79	10/30/20	816.22	12.43 W	0.00	Sale ²⁵
	2 transactions for	r 11/03/20. Total proceed	ds and cost repor	ted to the IRS.			
	2.000	824.70	10/30/20	816.22		8.48	1 of 2 - Sale ²⁵
	1.000	412.35	10/30/20	383.69		28.66	2 of 2 - Sale ²⁵
11/03/20	3.000	1,237.05	Various	1,199.91		37.14	Total of 2 transactions
	2 transactions for	r 11/16/20. Total proceed	ds and cost repor	ted to the IRS.			
	2.000	818.22	11/13/20	818.60		-0.38	1 of 2 - Sale ²⁵
	0.910	372.32	11/13/20	366.83		5.49	2 of 2 - Sale ²⁵
1/16/20	2.910	1,190.54	Various	1,185.43		5.11	Total of 2 transactions
	2 transactions for	r 12/21/20. Total proceed	ds and cost repor	ted to the IRS.			
	2.542	1,683.44	12/18/20	1,692.22		-8.78	1 of 2 - Sale ²⁵
	0.000	0.04	12/18/20	0.04		0.00	2 of 2 - Sale ²⁵
12/21/20	2.542	1,683.48	Various	1,692.26		-8.78	Total of 2 transactions
	Security total:	6,555.29		6,630.19	110.12 W	35.22	
NITED AIRLINES	HOLDINGS, INC. COMMON S	STOCK / CUSIP: 910047	109 / Symbol:				
09/22/20	10.000	340.40	09/22/20	341.87		-1.47	Sale ²⁵
	2 transactions for	r 11/18/20. Total proceed	ds and cost repor	ted to the IRS.			
	0.049	2.10	11/17/20	1.97		0.13	1 of 2 - Sale 25
	43.774	1,862.98	11/17/20	1,747.03		115.95	2 of 2 - Sale ²⁵
11/18/20	43.824	1,865.08	Various	1,749.00		116.08	Total of 2 transactions
11/20/20	43.951	1,754.71	11/18/20	1,877.00	60.51 W	-61.78	Sale ²⁵
12/17/20	21.747	996.26	12/16/20	1,061.51	55.33 W	-9.92	Sale ²⁵
	2 transactions for	r 12/24/20. Total proceed	ds and cost repor	ted to the IRS.			
	17.892	777.93	12/23/20	830.02	24.34 W	-27.75	1 of 2 - Sale ²⁵
	0.549	23.85	12/23/20	25.31	1.46 W	0.00	2 of 2 - Sale ²⁵
12/24/20	18.441	801.78	Various	855.33	25.80 W	-27.75	Total of 2 transactions
	Security total:	5,758.23		5,884.71	141.64 W	15.16	

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
ROS STX GLOBAL CO	ORPORATION / CUSIP: O	G3788M114 / Symbol:					
	2 transactions fo	or 09/22/20. Total proceed	ls and cost repor	ted to the IRS.			
	72.668	182.57	09/22/20	181.67		0.90	1 of 2 - Sale ²⁵
	127.332	319.91	09/22/20	318.33		1.58	2 of 2 - Sale ²⁵
09/22/20	200.000	502.48	Various	500.00		2.48	Total of 2 transactions
09/22/20	1.000	2.51	01/23/20	3.80	1.29 W	0.00	Sale ²⁵
	9 transactions fo	or 09/25/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.292	0.59	09/24/20	0.54		0.05	1 of 9 - Sale ²⁵
	1.000	2.02	09/24/20	1.46		0.56	2 of 9 - Sale 25
	9.000	18.18	09/24/20	13.12		5.06	3 of 9 - Sale 25
	34.027	68.73	09/24/20	56.58		12.15	4 of 9 - Sale ²⁵
	48.708	98.39	09/24/20	71.01		27.38	5 of 9 - Sale ²⁵
	90.664	183.13	09/24/20	150.75		32.38	6 of 9 - Sale ²⁵
	191.973	387.76	09/24/20	279.87		107.89	7 of 9 - Sale ²⁵
	412.000	832.17	09/24/20	600.66		231.51	8 of 9 - Sale ²⁵
	1,144.292	2,551.68	09/25/20	2,413.42		138.26	9 of 9 - Sale ²⁵
)9/25/20	1,931.956	4,142.65	Various	3,587.41		555.24	Total of 9 transactions
	8 transactions fo	or 09/25/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.292	0.59	09/24/20	0.80	0.21 W	0.00	1 of 8 - Sale ²⁵
	0.708	1.43	09/24/20	1.94	0.51 W	0.00	2 of 8 - Sale ²⁵
	0.292	0.59	09/24/20	0.64	0.05 W	0.00	3 of 8 - Sale ²⁵
	0.708	1.43	09/24/20	1.69	0.26 W	0.00	4 of 8 - Sale 25
	0.041	0.09	09/25/20	0.10	0.01 W	0.00	5 of 8 - Sale ²⁵
	0.227	0.51	09/25/20	0.56	0.05 W	0.00	6 of 8 - Sale ²⁵
	0.041	0.09	09/25/20	0.10	0.01 W	0.00	7 of 8 - Sale ²⁵
	0.440	0.98	09/25/20	1.09	0.11 W	0.00	8 of 8 - Sale ²⁵
09/25/20	2.749	5.71	Various	6.92	1.21 W	0.00	Total of 8 transactions
	12 transactions f	for 09/29/20. Total procee	ds and cost repo	orted to the IRS.			
	0.048	0.11	09/28/20	0.11		0.00	1 of 12 - Sale 25
	6.000	12.90	09/28/20	12.66		0.24	2 of 12 - Sale ²⁵
	94.000	202.08	09/28/20	199.28		2.80	3 of 12 - Sale ²⁵
	100.000	214.98	09/28/20	212.00		2.98	4 of 12 - Sale ²⁵
	100.000	214.99	09/28/20	212.00		2.99	5 of 12 - Sale 25
	106.000	227.88	09/28/20	224.72		3.16	6 of 12 - Sale 25

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROS STX GLOBAL C	ORPORATION / CUSIP: G	3788M114 / Symbol: (co	ont'd)				
	124.821	268.35	09/28/20	264.62		3.73	7 of 12 - Sale ²⁵
	100.000	214.98	09/28/20	211.00		3.98	8 of 12 - Sale ²⁵
	100.000	214.98	09/28/20	211.00		3.98	9 of 12 - Sale 25
	100.000	214.98	09/28/20	211.00		3.98	10 of 12 - Sale ²⁵
	100.000	214.98	09/28/20	211.00		3.98	11 of 12 - Sale ²⁵
	199.530	428.96	09/28/20	421.01		7.95	12 of 12 - Sale ²⁵
09/29/20	1,130.399	2,430.17	Various	2,390.40		39.77	Total of 12 transactions
	3 transactions for	r 09/29/20. Total proceed	ls and cost report	ted to the IRS.			
	0.179	0.39	09/28/20	0.42	0.03 W	0.00	1 of 3 - Sale ²⁵
	0.041	0.09	09/28/20	0.10	0.01 W	0.00	2 of 3 - Sale 25
	0.429	0.92	09/28/20	1.01	0.09 W	0.00	3 of 3 - Sale 25
09/29/20	0.649	1.40	Various	1.53	0.13 W	0.00	Total of 3 transactions
10/01/20	1,075.843	2,431.76	09/30/20	2,430.12		1.64	Sale ²⁵
	4 transactions for	r 10/01/20. Total proceed	ls and cost report	ted to the IRS.			
	0.179	0.41	09/30/20	0.43	0.02 W	0.00	1 of 4 - Sale ²⁵
	0.313	0.71	09/30/20	0.77	0.06 W	0.00	2 of 4 - Sale ²⁵
	0.041	0.09	09/30/20	0.10	0.01 W	0.00	3 of 4 - Sale ²⁵
	0.116	0.26	09/30/20	0.29	0.03 W	0.00	4 of 4 - Sale ²⁵
10/01/20	0.649	1.47	Various	1.59	0.12 W	0.00	Total of 4 transactions
	2 transactions for	r 10/02/20. Total proceed	ls and cost report	ted to the IRS.			
	0.179	0.44	10/01/20	0.44		0.00	1 of 2 - Sale ²⁵
	1,034.900	2,556.13	10/01/20	2,431.71		124.42	2 of 2 - Sale ²⁵
10/02/20	1,035.080	2,556.57	Various	2,432.15		124.42	Total of 2 transactions
	3 transactions for	r 10/02/20. Total proceed	ls and cost report	ted to the IRS.			
	0.041	0.10	10/01/20	0.11	0.01 W	0.00	1 of 3 - Sale 25
	0.116	0.29	10/01/20	0.30	0.01 W	0.00	2 of 3 - Sale ²⁵
	0.313	0.77	10/01/20	0.79	0.02 W	0.00	3 of 3 - Sale ²⁵
10/02/20	0.470	1.16	Various	1.20	0.04 W	0.00	Total of 3 transactions
	4 transactions for	r 10/05/20. Total proceed	ls and cost report	ted to the IRS.			
	0.041	0.10	10/02/20	0.12	0.02 W	0.00	1 of 4 - Sale 25
	0.116	0.29	10/02/20	0.31	0.02 W	0.00	2 of 4 - Sale 25
	0.313	0.78	10/02/20	0.82	0.04 W	0.00	3 of 4 - Sale 25

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 **1099-B*** OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL (CORPORATION / CUSIP: (G3788M114 / Symbol: (c	ont'd)				
	998.397	2,485.93	10/02/20	2,555.79	69.86 W	0.00	4 of 4 - Sale 25
10/05/20	998.867	2.487.10	Various	2,557.04	69.94 W	0.00	Total of 4 transactions
		for 10/06/20. Total procee					
	0.116	0.30	10/05/20	0.30		0.00	1 of 12 - Sale ²⁵
	0.016	0.04	10/05/20	0.04		0.00	2 of 12 - Sale ²⁵
	0.009	0.02	10/05/20	0.02		0.00	3 of 12 - Sale ²⁵
	0.313	0.81	10/05/20	0.80		0.01	4 of 12 - Sale ²⁵
	16.625	42.72	10/05/20	40.73		1.99	5 of 12 - Sale 25
	83.375	214.27	10/05/20	210.09		4.18	6 of 12 - Sale 25
	100.000	256.99	10/05/20	251.99		5.00	7 of 12 - Sale 25
	100.000	256.99	10/05/20	251.99		5.00	8 of 12 - Sale ²⁵
	100.000	256.99	10/05/20	251.99		5.00	9 of 12 - Sale ²⁵
	99.968	257.41	10/05/20	251.91		5.50	10 of 12 - Sale 25
	99.959	257.39	10/05/20	251.88		5.51	11 of 12 - Sale ²⁵
	415.000	1,062.32	10/05/20	1,045.75		16.57	12 of 12 - Sale ²⁵
0/06/20	1,015.380	2,606.25	Various	2,557.49		48.76	Total of 12 transactions
	3 transactions for	or 10/06/20. Total proceed					
	0.041	0.10	10/05/20	0.12	0.02 W	0.00	1 of 3 - Sale 25
	0.032	0.08	10/05/20	0.10	0.02 W	0.00	2 of 3 - Sale ²⁵
	0.032	0.08	10/05/20	0.10	0.02 W	0.00	3 of 3 - Sale ²⁵
10/06/20	0.105	0.26	Various	0.32	0.06 W	0.00	Total of 3 transactions
	5 transactions for	or 10/07/20. Total proceed	ds and cost repor	ted to the IRS.			
	0.032	0.09	10/06/20	0.09		0.00	1 of 5 - Sale ²⁵
	0.053	0.14	10/06/20	0.13		0.01	2 of 5 - Sale ²⁵
	0.097	0.27	10/06/20	0.25		0.02	3 of 5 - Sale ²⁵
	236.590	646.01	10/06/20	605.46		40.55	4 of 5 - Sale 25
	781.281	2,133.29	10/06/20	2,000.00		133.29	5 of 5 - Sale ²⁵
10/07/20	1,018.053	2,779.80	Various	2,605.93		173.87	Total of 5 transactions
10/07/20	0.032	0.09	10/06/20	0.10	0.01 W	0.00	Sale ²⁵
	3 transactions for	or 10/08/20. Total proceed					
	0.040	0.11	10/07/20	0.10		0.01	1 of 3 - Sale ²⁵
	89.960	239.28	10/07/20	238.24		1.04	2 of 3 - Sale ²⁵

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
EROS STX GLOBAL (CORPORATION / CUSIP: G	3788M114 / Symbol: (co	ont'd)				
	959.732	2,552.81	10/07/20	2,541.66		11.15	3 of 3 - Sale ²⁵
10/08/20	1,049.732	2,792.20	Various	2,780.00		12.20	Total of 3 transactions
	5 transactions fo	r 10/09/20. Total proceed		ted to the IRS.			
	0.077	0.19	10/08/20	0.20	0.01 W	0.00	1 of 5 - Sale ²⁵
	0.706	1.79	10/08/20	1.84	0.05 W	0.00	2 of 5 - Sale ²⁵
	400.000	1.011.92	10/08/20	1,043.20	31.28 W	0.00	3 of 5 - Sale ²⁵
	270.000	683.05	10/08/20	704.16	21.11 W	0.00	4 of 5 - Sale 25
	400.000	1,011.92	10/08/20	1,043.20	31.28 W	0.00	5 of 5 - Sale ²⁵
10/09/20	1,070.782	2,708.87	Various	2,792.60	83.73 W	0.00	Total of 5 transactions
	2 transactions fo	r 10/12/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.202	0.51	10/09/20	0.51		0.00	1 of 2 - Sale ²⁵
	25.974	65.46	10/09/20	64.13		1.33	2 of 2 - Sale ²⁵
10/12/20	26.177	65.97	Various	64.64		1.33	Total of 2 transactions
	5 transactions fo	r 10/12/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.077	0.19	10/09/20	0.20	0.01 W	0.00	1 of 5 - Sale ²⁵
	0.503	1.27	10/09/20	1.28	0.01 W	0.00	2 of 5 - Sale ²⁵
	270.000	680.38	10/09/20	687.77	7.39 W	0.00	3 of 5 - Sale ²⁵
	400.000	1,007.97	10/09/20	1,018.92	10.95 W	0.00	4 of 5 - Sale 25
	400.000	1,007.96	10/09/20	1,018.92	10.96 W	0.00	5 of 5 - Sale ²⁵
10/12/20	1,070.580	2,697.77	Various	2,727.09	29.32 W	0.00	Total of 5 transactions
	2 transactions fo	r 10/16/20. Total proceed	ls and cost repor				
	0.112	0.27	10/14/20	0.27		0.00	1 of 2 - Sale ²⁵
	0.056	0.14	10/14/20	0.13		0.01	2 of 2 - Sale 25
10/16/20	0.168	0.41	Various	0.40		0.01	Total of 2 transactions
	11 transactions f	or 10/16/20. Total procee	eds and cost repo	orted to the IRS.			
	0.224	0.53	10/14/20	0.55	0.02 W	0.00	1 of 11 - Sale ²⁵
	0.077	0.18	10/14/20	0.19	0.01 W	0.00	2 of 11 - Sale ²⁵
	100.000	237.99	10/14/20	243.56	5.57 W	0.00	3 of 11 - Sale ²⁵
	100.000	237.99	10/14/20	243.56	5.57 W	0.00	4 of 11 - Sale ²⁵
	100.000	237.99	10/14/20	243.56	5.57 W	0.00	5 of 11 - Sale ²⁵
	100.112	238.26	10/14/20	243.83	5.57 W	0.00	6 of 11 - Sale ²⁵
	99.888	237.72	10/14/20	243.28	5.56 W	0.00	7 of 11 - Sale ²⁵
	100.000	237.99	10/14/20	243.56	5.57 W	0.00	8 of 11 - Sale ²⁵

Account 963927496

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL (CORPORATION / CUSIP: 0	G3788M114 / Symbol: (co	ont'd)				
	69.888	166.33	10/14/20	170.22	3.89 W	0.00	9 of 11 - Sale ²⁵
	30.112	71.66	10/14/20	73.34	1.68 W	0.00	10 of 11 - Sale 25
	358.000	852.62	10/14/20	871.94	19.32 W	0.00	11 of 11 - Sale 25
10/16/20	1,058.301	2,519.26	Various	2,577.59	58.33 W	0.00	Total of 11 transactions
		for 10/19/20. Total procee					
	0.001	0.00	10/16/20	0.00		0.00	1 of 14 - Zero proceeds / negative sale ²⁵
	0.224	0.54	10/16/20	0.53		0.01	2 of 14 - Sale ²⁵
	0.406	0.97	10/16/20	0.94		0.03	3 of 14 - Sale ²⁵
	11.481	27.56	10/16/20	26.61		0.95	4 of 14 - Sale ²⁵
	30.112	72.29	10/16/20	70.64		1.65	5 of 14 - Sale ²⁵
	29.812	71.57	10/16/20	68.27		3.30	6 of 14 - Sale ²⁵
	69.888	167.78	10/16/20	163.93		3.85	7 of 14 - Sale ²⁵
	100.000	240.06	10/16/20	234.57		5.49	8 of 14 - Sale ²⁵
	100.000	240.06	10/16/20	234.57		5.49	9 of 14 - Sale ²⁵
	100.000	240.06	10/16/20	234.57		5.49	10 of 14 - Sale ²⁵
	100.000	240.06	10/16/20	234.57		5.49	11 of 14 - Sale ²⁵
	99.888	239.80	10/16/20	234.30		5.50	12 of 14 - Sale ²⁵
	100.112	240.33	10/16/20	234.83		5.50	13 of 14 - Sale ²⁵
	358.000	859.43	10/16/20	839.14		20.29	14 of 14 - Sale ²⁵
10/19/20	1,099.924	2,640.51	Various	2,577.47		63.04	Total of 14 transactions
10/19/20	0.077	0.18	10/16/20	0.19	0.01 W	0.00	Sale ²⁵
	6 transactions for	or 10/22/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.077	0.17	10/20/20	0.19	0.02 W	0.00	1 of 6 - Sale ²⁵
	0.149	0.34	10/20/20	0.35	0.01 W	0.00	2 of 6 - Sale ²⁵
	0.077	0.17	10/20/20	0.20	0.03 W	0.00	3 of 6 - Sale ²⁵
	0.149	0.33	10/20/20	0.36	0.03 W	0.00	4 of 6 - Sale ²⁵
	1,025.774	2,291.92	10/20/20	2,405.44	113.52 W	0.00	5 of 6 - Sale ²⁵
	100.000	223.44	10/20/20	234.50	11.06 W	0.00	6 of 6 - Sale ²⁵
10/22/20	1,126.226	2,516.37	Various	2,641.04	124.67 W	0.00	Total of 6 transactions
10/30/20	0.020	0.04	10/27/20	0.04		0.00	Sale ²⁵
	4 transactions for	or 10/30/20. Total proceed	ls and cost repor	ted to the IRS.			
	0.077	0.15	10/27/20	0.19	0.04 W	0.00	1 of 4 - Sale ²⁵
	0.129	0.24	10/27/20	0.30	0.06 W	0.00	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Page 14 of 22

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 **1099-B*** OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL	CORPORATION / CUSIP: (G3788M114 / Symbol: (c	ont'd)				
	0.150	0.28	10/27/20	0.33	0.05 W	0.00	3 of 4 - Sale ²⁵
	175.000	331.19	10/27/20	386.85	55.66 W	0.00	4 of 4 - Sale 25
10/30/20	175.356	331.86	Various	387.67	55.81 W	0.00	Total of 4 transactions
	4 transactions for	or 11/03/20. Total proceed	ds and cost repor	ted to the IRS.			
	0.871	1.81	11/02/20	1.55		0.26	1 of 4 - Sale ²⁵
	99.964	208.42	11/02/20	177.78		30.64	2 of 4 - Sale ²⁵
	199.129	414.25	11/02/20	354.14		60.11	3 of 4 - Sale ²⁵
	240.000	500.35	11/02/20	426.84		73.51	4 of 4 - Sale 25
11/03/20	539.964	1,124.83	Various	960.31		164.52	Total of 4 transactions
	14 transactions	for 11/06/20. Total procee	eds and cost repo	orted to the IRS.			
	0.077	0.16	11/04/20	0.20	0.04 W	0.00	1 of 14 - Sale ²⁵
	0.129	0.27	11/04/20	0.32	0.05 W	0.00	2 of 14 - Sale ²⁵
	0.150	0.31	11/04/20	0.36	0.05 W	0.00	3 of 14 - Sale ²⁵
	48.644	101.18	11/04/20	114.70	13.52 W	0.00	4 of 14 - Sale ²⁵
	51.000	106.07	11/04/20	120.26	14.19 W	0.00	5 of 14 - Sale ²⁵
	31.027	64.54	11/04/20	73.16	8.62 W	0.00	6 of 14 - Sale ²⁵
	15.973	33.22	11/04/20	34.35	1.13 W	0.00	7 of 14 - Sale ²⁵
	196.000	407.66	11/04/20	421.54	13.88 W	0.00	8 of 14 - Sale ²⁵
	1.313	2.73	11/04/20	2.82	0.09 W	0.00	9 of 14 - Sale ²⁵
	98.687	205.26	11/04/20	212.24	6.98 W	0.00	10 of 14 - Sale ²⁵
	7.000	14.52	11/04/20	15.05	0.53 W	0.00	11 of 14 - Sale ²⁵
	91.687	190.70	11/04/20	197.19	6.49 W	0.00	12 of 14 - Sale ²⁵
	8.313	17.29	11/04/20	19.61	2.32 W	0.00	13 of 14 - Sale ²⁵
	0.981	2.04	11/04/20	2.31	0.27 W	0.00	14 of 14 - Sale 25
11/06/20	550.981	1,145.95	Various	1,214.11	68.16 W	0.00	Total of 14 transactions
	16 transactions	for 11/13/20. Total procee	eds and cost repo	orted to the IRS.			
	0.077	0.17	11/12/20	0.21	0.04 W	0.00	1 of 16 - Sale 25
	0.129	0.28	11/12/20	0.33	0.05 W	0.00	2 of 16 - Sale ²⁵
	0.150	0.32	11/12/20	0.38	0.06 W	0.00	3 of 16 - Sale ²⁵
	0.644	1.40	11/12/20	1.59	0.19 W	0.00	4 of 16 - Sale ²⁵
	1.000	2.17	11/12/20	2.47	0.30 W	0.00	5 of 16 - Sale ²⁵
	48.000	104.16	11/12/20	118.37	14.21 W	0.00	6 of 16 - Sale ²⁵
	51.000	110.66	11/12/20	125.78	15.12 W	0.00	7 of 16 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

22 15 of

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. **1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL (CORPORATION / CUSIP: 0	G3788M114 / Symbol: (co	ont'd)				
	30.027	65.16	11/12/20	74.04	8.88 W	0.00	8 of 16 - Sale ²⁵
	35.035	76.02	11/12/20	87.80	11.78 W	0.00	9 of 16 - Sale ²⁵
	15.973	34.66	11/12/20	36.08	1.42 W	0.00	10 of 16 - Sale ²⁵
	18.965	41.15	11/12/20	42.84	1.69 W	0.00	11 of 16 - Sale ²⁵
	1.313	2.85	11/12/20	2.96	0.11 W	0.00	12 of 16 - Sale ²⁵
	7.000	15.19	11/12/20	15.85	0.66 W	0.00	13 of 16 - Sale ²⁵
	55.919	121.33	11/12/20	126.32	4.99 W	0.00	14 of 16 - Sale ²⁵
	98.687	214.14	11/12/20	222.91	8.77 W	0.00	15 of 16 - Sale ²⁵
	177.035	384.13	11/12/20	399.91	15.78 W	0.00	16 of 16 - Sale ²⁵
11/13/20	540.953	1,173.79	Various	1,257.84	84.05 W	0.00	Total of 16 transactions
	11 transactions i	for 11/24/20. Total procee	ds and cost repo	orted to the IRS.			
	0.251	0.57	11/20/20	0.56		0.01	1 of 11 - Sale ²⁵
	1.313	2.98	11/20/20	2.95		0.03	2 of 11 - Sale ²⁵
	15.973	36.26	11/20/20	36.22		0.04	3 of 11 - Sale ²⁵
	18.965	43.05	11/20/20	43.01		0.04	4 of 11 - Sale ²⁵
	7.000	15.89	11/20/20	15.78		0.11	5 of 11 - Sale ²⁵
	72.024	163.48	11/20/20	163.33		0.15	6 of 11 - Sale ²⁵
	177.035	401.84	11/20/20	401.51		0.33	7 of 11 - Sale ²⁵
	26.412	59.95	11/20/20	59.39		0.56	8 of 11 - Sale ²⁵
	35.768	81.19	11/20/20	80.46		0.73	9 of 11 - Sale ²⁵
	55.919	126.93	11/20/20	125.76		1.17	10 of 11 - Sale ²⁵
	706.547	1,603.74	11/20/20	1,526.00		77.74	11 of 11 - Sale ²⁵
11/24/20	1,117.207	2,535.88	Various	2,454.97		80.91	Total of 11 transactions
	17 transactions i	for 11/24/20. Total procee	ds and cost repo	orted to the IRS.			
	0.077	0.17	11/20/20	0.21	0.04 W	0.00	1 of 17 - Sale 25
	0.129	0.29	11/20/20	0.33	0.04 W	0.00	2 of 17 - Sale ²⁵
	0.150	0.34	11/20/20	0.39	0.05 W	0.00	3 of 17 - Sale ²⁵
	0.644	1.46	11/20/20	1.59	0.13 W	0.00	4 of 17 - Sale 25
	14.000	31.80	11/20/20	34.65	2.85 W	0.00	5 of 17 - Sale ²⁵
	1.000	2.27	11/20/20	2.48	0.21 W	0.00	6 of 17 - Sale ²⁵
	30.027	68.16	11/20/20	74.30	6.14 W	0.00	7 of 17 - Sale ²⁵
	34.000	77.17	11/20/20	84.14	6.97 W	0.00	8 of 17 - Sale ²⁵
	51.000	115.76	11/20/20	126.24	10.48 W	0.00	9 of 17 - Sale ²⁵
	0.981	2.23	11/20/20	2.41	0.18 W	0.00	10 of 17 - Sale ²⁵

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 **1099-B*** OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL C	ORPORATION / CUSIP: (G3788M114 / Symbol: (co	ont'd)				
	8.313	18.87	11/20/20	20.43	1.56 W	0.00	11 of 17 - Sale ²⁵
	35.035	79.52	11/20/20	88.11	8.59 W	0.00	12 of 17 - Sale ²⁵
	0.077	0.17	11/20/20	0.21	0.04 W	0.00	13 of 17 - Sale ²⁵
	0.129	0.29	11/20/20	0.32	0.03 W	0.00	14 of 17 - Sale ²⁵
	0.150	0.34	11/20/20	0.37	0.03 W	0.00	15 of 17 - Sale ²⁵
	0.644	1.46	11/20/20	1.52	0.06 W	0.00	16 of 17 - Sale ²⁵
	14.000	31.78	11/20/20	33.09	1.31 W	0.00	17 of 17 - Sale ²⁵
11/24/20	190.356	432.08	Various	470.79	38.71 W	0.00	Total of 17 transactions
		for 12/01/20. Total procee					
	0.132	0.28	11/25/20	0.33	0.05 W	0.00	1 of 17 - Sale 25
	0.077	0.16	11/25/20	0.22	0.06 W	0.00	2 of 17 - Sale ²⁵
	0.129	0.27	11/25/20	0.32	0.05 W	0.00	3 of 17 - Sale ²⁵
	0.150	0.32	11/25/20	0.37	0.05 W	0.00	4 of 17 - Sale 25
	0.644	1.37	11/25/20	1.53	0.16 W	0.00	5 of 17 - Sale ²⁵
	8.868	18.90	11/25/20	21.04	2.14 W	0.00	6 of 17 - Sale ²⁵
	0.132	0.28	11/25/20	0.35	0.07 W	0.00	7 of 17 - Sale ²⁵
	0.868	1.85	11/25/20	2.06	0.21 W	0.00	8 of 17 - Sale ²⁵
	4.264	9.08	11/25/20	10.11	1.03 W	0.00	9 of 17 - Sale ²⁵
	1.000	2.13	11/25/20	2.49	0.36 W	0.00	10 of 17 - Sale ²⁵
	30.027	63.95	11/25/20	74.57	10.62 W	0.00	11 of 17 - Sale ²⁵
	33.868	72.13	11/25/20	84.12	11.99 W	0.00	12 of 17 - Sale ²⁵
	51.000	108.62	11/25/20	126.70	18.08 W	0.00	13 of 17 - Sale ²⁵
	0.981	2.09	11/25/20	2.42	0.33 W	0.00	14 of 17 - Sale ²⁵
	8.313	17.71	11/25/20	20.51	2.80 W	0.00	15 of 17 - Sale ²⁵
	35.035	74.62	11/25/20	88.43	13.81 W	0.00	16 of 17 - Sale ²⁵
	1,250.200	2,662.72	11/25/20	2,849.08	186.36 W	0.00	17 of 17 - Sale ²⁵
12/01/20	1,425.688	3,036.48	Various	3,284.65	248.17 W	0.00	Total of 17 transactions
	17 transactions	for 12/09/20. Total procee	eds and cost repo	orted to the IRS.			
	0.077	0.17	12/08/20	0.23	0.06 W	0.00	1 of 17 - Sale ²⁵
	0.090	0.19	12/08/20	0.23	0.04 W	0.00	2 of 17 - Sale ²⁵
	0.039	0.08	12/08/20	0.10	0.02 W	0.00	3 of 17 - Sale ²⁵
	0.132	0.28	12/08/20	0.35	0.07 W	0.00	4 of 17 - Sale ²⁵
	0.150	0.32	12/08/20	0.37	0.05 W	0.00	5 of 17 - Sale ²⁵
	0.644	1.38	12/08/20	1.55	0.17 W	0.00	6 of 17 - Sale 25

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

22 17 of

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 **1099-B*** OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (*Lines 2 & 5*) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (*Line 12*)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL	CORPORATION / CUSIP: 0	G3788M114 / Symbol: (co	ont'd)				
	0.868	1.86	12/08/20	2.09	0.23 W	0.00	7 of 17 - Sale ²⁵
	4.264	9.16	12/08/20	10.24	1.08 W	0.00	8 of 17 - Sale ²⁵
	8.868	19.04	12/08/20	21.30	2.26 W	0.00	9 of 17 - Sale ²⁵
	1.000	2.15	12/08/20	2.52	0.37 W	0.00	10 of 17 - Sale ²⁵
	30.027	64.48	12/08/20	75.48	11.00 W	0.00	11 of 17 - Sale ²⁵
	33.868	72.73	12/08/20	85.14	12.41 W	0.00	12 of 17 - Sale ²⁵
	51.000	109.53	12/08/20	128.24	18.71 W	0.00	13 of 17 - Sale ²⁵
	0.981	2.11	12/08/20	2.45	0.34 W	0.00	14 of 17 - Sale ²⁵
	8.313	17.85	12/08/20	20.76	2.91 W	0.00	15 of 17 - Sale ²⁵
	35.035	75.24	12/08/20	89.48	14.24 W	0.00	16 of 17 - Sale ²⁵
	564.811	1,212.96	12/08/20	1,304.18	91.22 W	0.00	17 of 17 - Sale ²⁵
12/09/20	740.167	1,589.53	Various	1,744.71	155.18 W	0.00	Total of 17 transactions
	23 transactions	for 12/17/20. Total procee	eds and cost repo	orted to the IRS.			
	0.383	0.76	12/09/20	0.83	0.07 W	0.00	1 of 23 - Sale ²⁵
	3.558	7.03	12/09/20	7.73	0.70 W	0.00	2 of 23 - Sale ²⁵
	45.442	91.33	12/09/20	98.80	7.47 W	0.00	3 of 23 - Sale ²⁵
	82.555	165.92	12/10/20	181.96	16.04 W	0.00	4 of 23 - Sale 25
	269.000	540.65	12/10/20	595.59	54.94 W	0.00	5 of 23 - Sale ²⁵
	0.077	0.15	12/10/20	0.22	0.07 W	0.00	6 of 23 - Sale ²⁵
	0.039	0.08	12/10/20	0.10	0.02 W	0.00	7 of 23 - Sale ²⁵
	0.090	0.18	12/10/20	0.23	0.05 W	0.00	8 of 23 - Sale ²⁵
	0.132	0.27	12/10/20	0.34	0.07 W	0.00	9 of 23 - Sale ²⁵
	0.150	0.30	12/10/20	0.36	0.06 W	0.00	10 of 23 - Sale ²⁵
	0.644	1.29	12/10/20	1.50	0.21 W	0.00	11 of 23 - Sale ²⁵
	0.868	1.74	12/10/20	2.02	0.28 W	0.00	12 of 23 - Sale 25
	4.264	8.57	12/10/20	9.88	1.31 W	0.00	13 of 23 - Sale 25
	8.868	17.82	12/10/20	20.57	2.75 W	0.00	14 of 23 - Sale 25
	1.000	2.01	12/10/20	2.43	0.42 W	0.00	15 of 23 - Sale ²⁵
	30.027	60.35	12/10/20	73.01	12.66 W	0.00	16 of 23 - Sale ²⁵
	33.868	68.07	12/10/20	82.35	14.28 W	0.00	17 of 23 - Sale ²⁵
	51.000	102.50	12/10/20	124.03	21.53 W	0.00	18 of 23 - Sale ²⁵
	0.981	1.97	12/10/20	2.37	0.40 W	0.00	19 of 23 - Sale ²⁵
	8.313	16.71	12/10/20	20.08	3.37 W	0.00	20 of 23 - Sale ²⁵
	35.035	70.42	12/10/20	86.58	16.16 W	0.00	21 of 23 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

22 18 of

Account 963927496

Page

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
EROS STX GLOBAL C	ORPORATION / CUSIP: 0	G3788M114 / Symbol: (co	ont'd)				
	107.798	216.66	12/10/20	240.01	23.35 W	0.00	22 of 23 - Sale ²⁵
	111.929	224.96	12/10/20	247.82	22.86 W	0.00	23 of 23 - Sale ²⁵
12/17/20	796.020	1,599.74	Various	1,798.81	199.07 W	0.00	Total of 23 transactions
	27 transactions	for 12/22/20. Total procee	eds and cost repo	orted to the IRS.			
	172.523	342.43	12/10/20	381.97	39.54 W	0.00	1 of 27 - Sale ²⁵
	0.140	0.28	12/11/20	0.30	0.02 W	0.00	2 of 27 - Sale ²⁵
	48.945	97.15	12/11/20	107.63	10.48 W	0.00	3 of 27 - Sale ²⁵
	9.945	19.74	12/11/20	21.55	1.81 W	0.00	4 of 27 - Sale 25
	0.627	1.24	12/14/20	1.34	0.10 W	0.00	5 of 27 - Sale ²⁵
	0.077	0.15	12/14/20	0.22	0.07 W	0.00	6 of 27 - Sale ²⁵
	0.039	0.08	12/14/20	0.10	0.02 W	0.00	7 of 27 - Sale ²⁵
	0.090	0.18	12/14/20	0.23	0.05 W	0.00	8 of 27 - Sale ²⁵
	0.132	0.26	12/14/20	0.33	0.07 W	0.00	9 of 27 - Sale ²⁵
	0.150	0.30	12/14/20	0.36	0.06 W	0.00	10 of 27 - Sale ²⁵
	0.644	1.28	12/14/20	1.49	0.21 W	0.00	11 of 27 - Sale ²⁵
	0.868	1.72	12/14/20	2.01	0.29 W	0.00	12 of 27 - Sale ²⁵
	4.264	8.46	12/14/20	9.79	1.33 W	0.00	13 of 27 - Sale ²⁵
	8.868	17.60	12/14/20	20.40	2.80 W	0.00	14 of 27 - Sale ²⁵
	1.000	1.98	12/14/20	2.41	0.43 W	0.00	15 of 27 - Sale ²⁵
	30.027	59.60	12/14/20	72.41	12.81 W	0.00	16 of 27 - Sale ²⁵
	33.868	67.22	12/14/20	81.67	14.45 W	0.00	17 of 27 - Sale ²⁵
	51.000	101.23	12/14/20	123.01	21.78 W	0.00	18 of 27 - Sale ²⁵
	0.981	1.95	12/14/20	2.35	0.40 W	0.00	19 of 27 - Sale ²⁵
	8.313	16.50	12/14/20	19.91	3.41 W	0.00	20 of 27 - Sale ²⁵
	35.035	69.54	12/14/20	85.88	16.34 W	0.00	21 of 27 - Sale ²⁵
	0.383	0.76	12/14/20	0.83	0.07 W	0.00	22 of 27 - Sale ²⁵
	3.558	7.06	12/14/20	7.78	0.72 W	0.00	23 of 27 - Sale ²⁵
	45.442	90.20	12/14/20	97.90	7.70 W	0.00	24 of 27 - Sale ²⁵
	107.798	213.96	12/14/20	237.86	23.90 W	0.00	25 of 27 - Sale ²⁵
	82.555	163.86	12/14/20	180.32	16.46 W	0.00	26 of 27 - Sale ²⁵
	234.341	465.13	12/14/20	514.17	49.04 W	0.00	27 of 27 - Sale ²⁵
12/22/20	881.612	1,749.86	Various	1,974.22	224.36 W	0.00	Total of 27 transactions
	Security total:	50,610.96		50,785.14	1,442.37 W	1,268.19	
Totals :	-	99,853.57		101,478.90	2,720.46 W	1,095.13	

Robinhood Securities LLC		Account 963927496						
Proceeds from Broker and Barter Exchange Transactions								
2020 1099-B* OMB No. 15-	45-0715 (continued)	02/14/2021						
LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)								

Page

20 of

22

I] (*I* y ge

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. *(Line 12)* "Gain or loss (-)" is NOT reported to the IRS.

Gain or loss (-) is NOT	reported to the IRS.						
1a- Description of prop	perty/CUSIP/Symbol						
1c- Date sold or		1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	J	· · ·	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
EROS STX GLOBAL C	ORPORATION / CUSIP: G	3788M114 / Symbol:					
09/29/20	0.005	0.01	09/28/20	0.01		0.00	Sale ²⁵
Totals :		0.01		0.01		0.00	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or 0 (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1266 option contracts. Report this amount on Form 849 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option the basis has hot been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.