

**2020 Substitute Form 3922 Transfer of Stock Acquired Through an Employee Stock Purchase Plan Under Section 423(c)  
Copy B For Employee**

This is important tax information and is being furnished to the Internal Revenue Service.

**CORPORATION'S name, street address, city or town, state or province, country, and ZIP or foreign postal code**

PRUDENTIAL FINANCIAL, INC.  
751 BROAD STREET 18TH FLOOR  
MAILSTOP 01-18-13  
NEWARK, NJ 07102

**EMPLOYEE'S name, street address (including apt. no.), city or town, state or province, country, and ZIP or foreign postal code**

VINITHA SARO THIRUKUDA SUNDARAM  
455 CRESCENT AVENUE  
APT NO 8  
SUNNYVALE, CA 94087

**CORPORATION'S federal identification number** 22-3703799

**EMPLOYEE'S identification number** XXX-XX-4207  
**Account number (see instructions)** 284598

CORRECTED (if checked)

Box 1, Date option granted	Box 2, Date option exercised	Box 3, Fair market value per share on grant date	Box 4, Fair market value per share on exercise date	Box 5, Exercise price paid per share	Box 6, No. of shares transferred	Box 7, Date legal title transferred	Box 8, Exercise price per share determined as if the option was exercised on the date shown in box 1.
10/01/2020	12/31/2020	\$ 63.51	\$ 78.07	\$ 53.98	33.3200	12/31/2020	\$ 53.98
07/01/2020	09/30/2020	58.93	63.52	50.09	35.9000	09/30/2020	50.09
04/01/2020	06/30/2020	47.00	60.90	39.95	38.5900	06/30/2020	39.95
01/01/2020	03/31/2020	94.84	52.14	44.32	39.3600	03/31/2020	80.61