

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 936554153	Statement Date: 02/14/2021 Document ID: JM98 E7V H6S1	2020
	Ram kumar Yerra 980 SW 163rd Ave 813 Beaverton, OR 97006 RECIPIENT'S TIN: XXX-XX-4409		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	349.78		2- Royalties	0.00	
1b- Qualified dividends	329.90		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	29.12	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	30.10		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	102,801.57	107,636.56	0.00	2,942.34	-1,892.65
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	102,801.57	107,636.56	0.00	2,942.34	-1,892.65
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	102,801.57	107,636.56	0.00	2,942.34	-1,892.65

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	627.20
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DHT 05/15/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/28/20	55.00	...	-55.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/28/20	55.00	...	-55.00	2 of 2 - Option expiration- long call
05/15/20	2.000	0.00	Various	110.00	...	-110.00	Total of 2 transactions
DHT 07/17/2020 CALL \$6.00 / CUSIP: / Symbol:							
9 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/17/20	125.00	...	-125.00	1 of 9 - Option expiration- long call
	3.000	0.00	06/18/20	60.00	...	-60.00	2 of 9 - Option expiration- long call
	2.000	0.00	06/18/20	40.00	...	-40.00	3 of 9 - Option expiration- long call
	5.000	0.00	06/22/20	50.00	...	-50.00	4 of 9 - Option expiration- long call
	10.000	0.00	06/24/20	90.00	...	-90.00	5 of 9 - Option expiration- long call
	4.000	0.00	06/29/20	40.00	...	-40.00	6 of 9 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DHT 07/17/2020 CALL \$6.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	06/29/20	10.00	...	-10.00	7 of 9 - Option expiration- long call
	10.000	0.00	06/30/20	50.00	...	-50.00	8 of 9 - Option expiration- long call
	10.000	0.00	07/01/20	50.00	...	-50.00	9 of 9 - Option expiration- long call
07/17/20	50.000	0.00	Various	515.00	...	-515.00	Total of 9 transactions
DHT 07/17/2020 CALL \$8.00 / CUSIP: / Symbol:							
12 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	05/01/20	475.00	...	-475.00	1 of 12 - Option expiration- long call
	2.000	0.00	05/05/20	170.00	...	-170.00	2 of 12 - Option expiration- long call
	3.000	0.00	05/20/20	30.00	...	-30.00	3 of 12 - Option expiration- long call
	1.000	0.00	05/22/20	8.00	...	-8.00	4 of 12 - Option expiration- long call
	1.000	0.00	05/22/20	8.00	...	-8.00	5 of 12 - Option expiration- long call
	2.000	0.00	06/02/20	20.00	...	-20.00	6 of 12 - Option expiration- long call
	2.000	0.00	06/02/20	20.00	...	-20.00	7 of 12 - Option expiration- long call
	1.000	0.00	06/02/20	10.00	...	-10.00	8 of 12 - Option expiration- long call
	5.000	0.00	06/04/20	25.00	...	-25.00	9 of 12 - Option expiration- long call
	3.000	0.00	06/04/20	15.00	...	-15.00	10 of 12 - Option expiration- long call
	3.000	0.00	06/15/20	15.00	...	-15.00	11 of 12 - Option expiration- long call
	2.000	0.00	06/15/20	10.00	...	-10.00	12 of 12 - Option expiration- long call
07/17/20	30.000	0.00	Various	806.00	...	-806.00	Total of 12 transactions
LYFT 12/24/2020 CALL \$51.00 / CUSIP: / Symbol:							
12/24/20	4.000	0.00	12/23/20	112.00	...	-112.00	Option expiration- long call

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAT 05/15/2020 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	04/28/20	520.00	...	-520.00	1 of 2 - Option expiration- long call
	2.000	0.00	04/28/20	190.00	...	-190.00	2 of 2 - Option expiration- long call
05/15/20	6.000	0.00	Various	710.00	...	-710.00	Total of 2 transactions
NAT 06/19/2020 CALL \$7.00 / CUSIP: / Symbol:							
05/05/20	3.000	209.98	05/01/20	315.00	105.02 W	0.00	Option sale to close-call ²⁵
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	05/01/20	210.00	...	-210.00	1 of 4 - Option expiration- long call
	3.000	0.00	05/19/20	120.02	...	-120.02	2 of 4 - Option expiration- long call
	2.000	0.00	05/19/20	10.00	...	-10.00	3 of 4 - Option expiration- long call
	3.000	0.00	05/20/20	15.00	...	-15.00	4 of 4 - Option expiration- long call
06/19/20	10.000	0.00	Various	355.02	...	-355.02	Total of 4 transactions
	Security total:	209.98		670.02	105.02 W	-355.02	
PINS 12/24/2020 CALL \$72.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	61.99	12/22/20	66.00	...	-4.01	1 of 2 - Option sale to close-call ²⁵
	1.000	61.99	12/22/20	66.00	...	-4.01	2 of 2 - Option sale to close-call ²⁵
12/22/20	2.000	123.98	Various	132.00	...	-8.02	Total of 2 transactions
PLTR 12/24/2020 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	68.99	12/21/20	69.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	2.000	137.99	12/21/20	138.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
12/21/20	3.000	206.98	Various	207.00	...	-0.02	Total of 2 transactions
PLTR 12/31/2020 CALL \$28.00 / CUSIP: / Symbol:							
12/31/20	4.000	0.00	12/28/20	156.00	...	-156.00	Option expiration- long call
RIDE 12/31/2020 CALL \$24.00 / CUSIP: / Symbol:							
12/31/20	4.000	0.00	12/28/20	164.00	...	-164.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SABR 06/19/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/03/20	2.000	59.98	06/03/20	30.00	...	29.98	Option sale to close-call ²⁵
06/04/20	1.000	29.98	06/03/20	15.00	...	14.98	Option sale to close-call ²⁵
	Security total:	89.96		45.00	...	44.96	
SABR 06/19/2020 CALL \$12.50 / CUSIP: / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	44.98	06/03/20	7.00	...	37.98	1 of 3 - Option sale to close-call ²⁵
	1.000	44.98	06/03/20	7.00	...	37.98	2 of 3 - Option sale to close-call ²⁵
	1.000	49.98	06/03/20	7.00	...	42.98	3 of 3 - Option sale to close-call ²⁵
06/05/20	3.000	139.94	Various	21.00	...	118.94	Total of 3 transactions
SEDG 06/19/2020 CALL \$190.00 / CUSIP: / Symbol:							
06/02/20	2.000	69.98	05/19/20	70.00	...	-0.02	Option sale to close-call ²⁵
V 05/29/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	114.98	05/08/20	55.00	...	59.98	1 of 2 - Option sale to close-call ²⁵
	1.000	134.98	05/08/20	55.00	...	79.98	2 of 2 - Option sale to close-call ²⁵
05/19/20	2.000	249.96	Various	110.00	...	139.96	Total of 2 transactions
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol:							
05/08/20	3.000	45.30	04/01/20	43.29	...	2.01	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
05/14/20	3.000	584.80	03/25/20	557.46	...	27.34	Sale ²⁵
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	2.000	413.45	05/20/20	463.59	...	-50.14	1 of 4 - Sale ²⁵
	1.000	206.73	05/20/20	232.20	...	-25.47	2 of 4 - Sale ²⁵
	1.000	206.72	05/20/20	228.91	...	-22.19	3 of 4 - Sale ²⁵
	1.000	206.72	05/20/20	219.50	...	-12.78	4 of 4 - Sale ²⁵
05/29/20	5.000	1,033.62	Various	1,144.20	...	-110.58	Total of 4 transactions
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	204.46	05/18/20	213.87	9.41 W	0.00	1 of 4 - Sale ²⁵
	2.000	408.91	05/19/20	433.50	24.59 W	0.00	2 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	1.000	204.45	05/19/20	217.15	12.70 W	0.00	3 of 4 - Sale ²⁵
	6.000	1,226.73	05/20/20	1,317.00	75.22 W	-15.05	4 of 4 - Sale ²⁵
05/29/20	10.000	2,044.55	Various	2,181.52	121.92 W	-15.05	Total of 4 transactions
	3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	6.000	1,278.22	05/20/20	1,317.00	...	-38.78	1 of 3 - Sale ²⁵
	3.000	641.99	05/20/20	658.50	...	-16.51	2 of 3 - Sale ²⁵
	5.000	1,069.97	05/22/20	1,085.62	...	-15.65	3 of 3 - Sale ²⁵
06/02/20	14.000	2,990.18	Various	3,061.12	...	-70.94	Total of 3 transactions
	Security total:	6,653.15		6,944.30	121.92 W	-169.23	
ALTERYX, INC. / CUSIP: 02156B103 / Symbol:							
	3 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	10.000	1,280.49	07/09/20	1,780.97	...	-500.48	1 of 3 - Sale ²⁵
	5.000	636.41	07/09/20	895.66	...	-259.25	2 of 3 - Sale ²⁵
	5.000	636.41	08/06/20	750.00	...	-113.59	3 of 3 - Sale ²⁵
12/17/20	20.000	2,553.31	Various	3,426.63	...	-873.32	Total of 3 transactions
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	10.000	1,285.21	08/06/20	1,430.00	...	-144.79	1 of 2 - Sale ²⁵
	10.000	1,292.27	08/06/20	1,410.00	...	-117.73	2 of 2 - Sale ²⁵
12/21/20	20.000	2,577.48	Various	2,840.00	...	-262.52	Total of 2 transactions
	Security total:	5,130.79		6,266.63	...	-1,135.84	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	2.000	282.23	06/25/20	275.91	...	6.32	1 of 2 - Sale ²⁵
	10.000	1,411.17	06/25/20	1,378.65	...	32.52	2 of 2 - Sale ²⁵
06/26/20	12.000	1,693.40	Various	1,654.56	...	38.84	Total of 2 transactions
07/14/20	8.000	1,011.99	07/13/20	1,023.00	...	-11.01	Sale ²⁵
	Security total:	2,705.39		2,677.56	...	27.83	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
05/12/20	3.000	375.45	04/01/20	421.80	...	-46.35	Sale ²⁵

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Account 936554153

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BROADCOM INC. COMMON STOCK / CUSIP: 11135F101 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	316.42	06/03/20	310.45	...	5.97	1 of 3 - Sale ²⁵
	4.000	1,265.66	06/03/20	1,242.60	...	23.06	2 of 3 - Sale ²⁵
	5.000	1,582.08	06/03/20	1,553.25	...	28.83	3 of 3 - Sale ²⁵
06/08/20	10.000	3,164.16	Various	3,106.30	...	57.86	Total of 3 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	50.000	726.07	03/25/20	750.00	...	-23.93	1 of 3 - Sale ²⁵
	5.000	72.60	04/01/20	43.75	...	28.85	2 of 3 - Sale ²⁵
	25.000	363.03	04/01/20	273.75	...	89.28	3 of 3 - Sale ²⁵
05/18/20	80.000	1,161.70	Various	1,067.50	...	94.20	Total of 3 transactions
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
05/12/20	1.000	4.63	02/21/20	5.22	...	-0.59	Sale ²⁵
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
12/10/20	20.000	1,577.96	12/08/20	1,590.00	...	-12.04	Sale ²⁵
CIRRUS LOGIC, INC. COMMON STOCK / CUSIP: 172755100 / Symbol:							
07/09/20	20.000	1,265.03	06/30/20	1,220.07	...	44.96	Sale ²⁵
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	19.000	149.33	07/29/20	128.25	...	21.08	1 of 3 - Sale ²⁵
	81.000	636.64	07/29/20	549.18	...	87.46	2 of 3 - Sale ²⁵
	10.000	78.60	08/03/20	64.60	...	14.00	3 of 3 - Sale ²⁵
08/06/20	110.000	864.57	Various	742.03	...	122.54	Total of 3 transactions
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	2.000	82.84	04/22/20	70.12	...	12.72	1 of 2 - Sale ²⁵
	18.000	745.18	04/22/20	631.06	...	114.12	2 of 2 - Sale ²⁵
05/12/20	20.000	828.02	Various	701.18	...	126.84	Total of 2 transactions

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
05/12/20	3.000	552.88	05/05/20	588.92	36.04 W	0.00	Sale ²⁵
05/13/20	2.000	430.18	05/05/20	392.61	...	37.57	Sale ²⁵
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	2.000	213.99	05/14/20	688.08	...	-474.09	1 of 4 - Sale ²⁵
	1.000	107.00	05/14/20	332.82	...	-225.82	2 of 4 - Sale ²⁵
	1.000	107.00	05/14/20	320.81	...	-213.81	3 of 4 - Sale ²⁵
	2.000	213.99	05/15/20	648.75	...	-434.76	4 of 4 - Sale ²⁵
06/05/20	6.000	641.98	Various	1,990.46	...	-1,348.48	Total of 4 transactions
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	2.000	216.19	05/14/20	469.55	253.36 W	0.00	1 of 4 - Sale ²⁵
	1.000	108.10	05/14/20	236.02	127.92 W	0.00	2 of 4 - Sale ²⁵
	1.000	108.10	05/14/20	224.01	115.91 W	0.00	3 of 4 - Sale ²⁵
	2.000	216.19	05/14/20	460.94	244.75 W	0.00	4 of 4 - Sale ²⁵
06/05/20	6.000	648.58	Various	1,390.52	741.94 W	0.00	Total of 4 transactions
	Security total:	2,273.62		4,362.51	777.98 W	-1,310.91	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
05/12/20	3.000	311.70	03/20/20	260.64	...	51.06	Sale ²⁵
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
	3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	2.000	403.57	03/20/20	302.71	...	100.86	1 of 3 - Sale ²⁵
	2.000	403.57	04/27/20	380.32	...	23.25	2 of 3 - Sale ²⁵
	1.000	201.78	04/28/20	184.00	...	17.78	3 of 3 - Sale ²⁵
05/14/20	5.000	1,008.92	Various	867.03	...	141.89	Total of 3 transactions
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	9.000	2,043.40	06/18/20	2,122.20	...	-78.80	1 of 4 - Sale ²⁵
	1.000	227.04	06/18/20	235.80	...	-8.76	2 of 4 - Sale ²⁵
	5.000	1,135.22	06/26/20	1,132.70	...	2.52	3 of 4 - Sale ²⁵
	9.000	2,043.41	06/26/20	1,954.88	...	88.53	4 of 4 - Sale ²⁵
06/30/20	24.000	5,449.07	Various	5,445.58	...	3.49	Total of 4 transactions
	Security total:	6,457.99		6,312.61	...	145.38	

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(continued)

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FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
08/06/20	20.000	1,780.96	06/19/20	1,300.00	...	480.96	Sale ²⁵
FISKER INC. / CUSIP: 33813J106 / Symbol:							
11/09/20	50.000	710.48	07/14/20	700.00	...	10.48	Sale ²⁵
FLUX POWER HOLDINGS, INC. COMM ON STOCK / CUSIP: 344057302 / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	4.767	78.71	12/08/20	45.50	...	33.21	1 of 2 - Sale ²⁵
	100.000	1,672.95	12/08/20	954.50	...	718.45	2 of 2 - Sale ²⁵
12/28/20	104.767	1,751.66	Various	1,000.00	...	751.66	Total of 2 transactions
FORUM MERGER II CORPORATION CL ASS A COMMON STOCK / CUSIP: 34986F103 / Symbol:							
5 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	48.000	815.98	06/23/20	864.48	...	-48.50	1 of 5 - Sale ²⁵
	2.000	34.00	06/23/20	36.02	...	-2.02	2 of 5 - Sale ²⁵
	25.000	424.99	06/26/20	427.00	...	-2.01	3 of 5 - Sale ²⁵
	2.000	34.00	07/13/20	32.00	...	2.00	4 of 5 - Sale ²⁵
	23.000	390.98	07/30/20	342.93	...	48.05	5 of 5 - Sale ²⁵
08/27/20	100.000	1,699.95	Various	1,702.43	...	-2.48	Total of 5 transactions
FRONT YARD RESIDENTIAL CORPORATION COMMON STOCK / CUSIP: 35904G107 / Symbol:							
05/14/20	15.000	96.45	05/11/20	108.60	...	-12.15	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	20.000	121.66	03/25/20	148.40	...	-26.74	1 of 2 - Sale ²⁵
	30.000	182.49	04/29/20	199.42	...	-16.93	2 of 2 - Sale ²⁵
05/12/20	50.000	304.15	Various	347.82	...	-43.67	Total of 2 transactions
GRAF INDUSTRIAL CORP. COMMON STOCK / CUSIP: 384278107 / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	50.000	823.47	06/29/20	797.50	...	25.97	1 of 2 - Sale ²⁵
	25.000	411.74	06/30/20	334.75	...	76.99	2 of 2 - Sale ²⁵
07/14/20	75.000	1,235.21	Various	1,132.25	...	102.96	Total of 2 transactions

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HASBRO, INC. COMMON STOCK / CUSIP: 418056107 / Symbol:							
06/11/20	15.000	1,093.32	06/01/20	1,096.20	...	-2.88	Sale ²⁵
HEALTH EQUITY, INC. COMMON STOCK / CUSIP: 42226A107 / Symbol:							
12/08/20	22.866	1,518.48	12/07/20	1,500.00	...	18.48	Sale ²⁵
HELIUS MEDICAL TECHNOLOGIES, INC. CLASS A COMMON STOCK (DE) / CUSIP: 42328V504 / Symbol:							
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	300.000	152.97	06/09/20	201.00	...	-48.03	1 of 4 - Sale ²⁵
	200.000	101.98	06/11/20	127.03	...	-25.05	2 of 4 - Sale ²⁵
	100.000	50.99	06/11/20	63.51	...	-12.52	3 of 4 - Sale ²⁵
	100.000	50.98	06/11/20	47.50	...	3.48	4 of 4 - Sale ²⁵
07/06/20	700.000	356.92	Various	439.04	...	-82.12	Total of 4 transactions
07/06/20	300.000	152.96	06/09/20	201.00	48.04 W	0.00	Sale ²⁵
	Security total:	509.88		640.04	48.04 W	-82.12	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
12/03/20	25.000	452.50	06/23/20	415.75	...	36.75	Sale ²⁵
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
06/11/20	5.000	296.12	06/05/20	294.47	...	1.65	Sale ²⁵
6 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	25.000	106.00	06/11/20	0.00	...	106.00	1 of 6 - Merger
	5.000	21.20	06/12/20	0.00	...	21.20	2 of 6 - Merger
	5.000	21.20	08/10/20	0.00	...	21.20	3 of 6 - Merger
	5.000	21.20	08/28/20	21.20	...	0.00	4 of 6 - Merger
	5.000	21.20	09/03/20	9.02	...	12.18	5 of 6 - Merger
	2.000	8.48	09/11/20	0.00	...	8.48	6 of 6 - Merger
10/30/20	47.000	199.28	Various	30.22	...	169.06	Total of 6 transactions
	Security total:	495.40		324.69	...	170.71	
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	100.000	239.98	05/26/20	240.00	...	-0.02	1 of 4 - Sale ²⁵
	100.000	239.98	05/26/20	240.00	...	-0.02	2 of 4 - Sale ²⁵

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MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol: (cont'd)							
	100.000	239.98	05/26/20	240.00	...	-0.02	3 of 4 - Sale ²⁵
	150.000	359.98	05/29/20	355.49	...	4.49	4 of 4 - Sale ²⁵
05/29/20	450.000	1,079.92	Various	1,075.49	...	4.43	Total of 4 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	3.000	529.61	03/20/20	418.51	...	111.10	1 of 2 - Sale ²⁵
	1.000	176.54	04/28/20	170.74	...	5.80	2 of 2 - Sale ²⁵
05/14/20	4.000	706.15	Various	589.25	...	116.90	Total of 2 transactions
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
04/21/20	100.000	209.00	03/25/20	211.00	...	-2.00	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
12/01/20	7.000	1,084.97	06/03/20	425.88	...	659.09	Sale ²⁵
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	25.000	907.48	05/13/20	770.25	...	137.23	1 of 4 - Sale ²⁵
	5.000	181.50	05/14/20	133.85	...	47.65	2 of 4 - Sale ²⁵
	5.000	181.49	05/14/20	133.65	...	47.84	3 of 4 - Sale ²⁵
	15.000	544.48	05/15/20	337.50	...	206.98	4 of 4 - Sale ²⁵
08/06/20	50.000	1,814.95	Various	1,375.25	...	439.70	Total of 4 transactions
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
6 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	8.000	13.45	04/15/20	12.48	...	0.97	1 of 6 - Sale ²⁵
	52.000	87.42	04/15/20	81.12	...	6.30	2 of 6 - Sale ²⁵
	315.000	529.56	04/15/20	504.00	...	25.56	3 of 6 - Sale ²⁵
	33.000	55.48	05/12/20	44.55	...	10.93	4 of 6 - Sale ²⁵
	92.000	154.66	05/12/20	124.20	...	30.46	5 of 6 - Sale ²⁵
	100.000	168.11	05/14/20	115.00	...	53.11	6 of 6 - Sale ²⁵
06/26/20	600.000	1,008.68	Various	881.35	...	127.33	Total of 6 transactions

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PAGERDUTY, INC. / CUSIP: 69553P100 / Symbol:							
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	50.000	1,473.80	07/01/20	1,497.19	...	-23.39	1 of 3 - Sale ²⁵
	50.000	1,473.80	07/02/20	1,508.42	...	-34.62	2 of 3 - Sale ²⁵
	20.000	589.51	07/13/20	534.18	...	55.33	3 of 3 - Sale ²⁵
08/07/20	120.000	3,537.11	Various	3,539.79	...	-2.68	Total of 3 transactions
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
04/21/20	15.000	459.75	04/15/20	539.93	...	-80.18	Sale ²⁵
PFIZER INC. / CUSIP: 717081103 / Symbol:							
5 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	34.000	1,268.85	12/11/20	1,441.60	...	-172.75	1 of 5 - Sale ²⁵
	12.000	447.72	12/11/20	508.80	...	-61.08	2 of 5 - Sale ²⁵
	0.802	29.94	12/11/20	34.02	...	-4.08	3 of 5 - Sale ²⁵
	0.170	6.34	12/11/20	8.06	...	-1.72	4 of 5 - Sale ²⁵
	0.028	1.04	12/11/20	1.18	...	-0.14	5 of 5 - Sale ²⁵
12/21/20	47.000	1,753.89	Various	1,993.66	...	-239.77	Total of 5 transactions
12/21/20	0.170	6.34	12/11/20	7.20	0.86 W	0.00	Sale ²⁵
	Security total:	1,760.23		2,000.86	0.86 W	-239.77	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
05/08/20	1.000	39.44	05/05/20	42.58	...	-3.14	Sale ²⁵
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	57.48	06/04/20	54.63	...	2.85	1 of 2 - Sale ²⁵
	900.000	517.33	06/04/20	491.94	...	25.39	2 of 2 - Sale ²⁵
06/11/20	1,000.000	574.81	Various	546.57	...	28.24	Total of 2 transactions
REDFIN CORPORATION COMMON STOC K / CUSIP: 75737F108 / Symbol:							
07/14/20	30.000	1,072.79	07/13/20	1,080.00	...	-7.21	Sale ²⁵
RYMAN HOSPITALITY PROPERTIES, INC / CUSIP: 78377T107 / Symbol:							
08/05/20	20.000	644.78	07/02/20	674.60	...	-29.82	Sale ²⁵

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Robinhood Securities LLC

Account 936554153

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	4.000	879.97	12/01/20	935.88	...	-55.91	1 of 3 - Sale ²⁵
	0.186	41.01	12/01/20	43.64	...	-2.63	2 of 3 - Sale ²⁵
	0.002	0.45	12/01/20	0.48	...	-0.03	3 of 3 - Sale ²⁵
12/02/20	4.188	921.43	Various	980.00	...	-58.57	Total of 3 transactions
SCHRODINGER, INC. COMMON STOCK / CUSIP: 80810D103 / Symbol:							
05/13/20	3.000	160.29	05/07/20	151.65	...	8.64	Sale ²⁵
SEA LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
05/13/20	5.000	308.53	05/07/20	298.34	...	10.19	Sale ²⁵
07/13/20	9.000	1,046.85	07/07/20	1,042.13	...	4.72	Sale ²⁵
	Security total:	1,355.38		1,340.47	...	14.91	
SHIFT4 PAYMENTS, INC. / CUSIP: 82452J109 / Symbol:							
08/06/20	3.000	130.92	07/08/20	135.39	...	-4.47	Sale ²⁵
08/07/20	27.000	1,213.89	07/08/20	1,218.51	...	-4.62	Sale ²⁵
	Security total:	1,344.81		1,353.90	...	-9.09	
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
08/06/20	1.000	1,084.98	07/14/20	914.83	...	170.15	Sale ²⁵
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
05/07/20	2.000	59.66	03/20/20	42.63	...	17.03	Sale ²⁵
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
05/21/20	35.000	5,774.87	05/20/20	5,659.49	...	115.38	Sale ²⁵
STITCH FIX, INC. CLASS A COMMON STOCK / CUSIP: 860897107 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	49.000	1,156.86	06/01/20	1,168.16	...	-11.30	1 of 2 - Sale ²⁵
	1.000	23.63	06/01/20	23.84	...	-0.21	2 of 2 - Sale ²⁵
06/09/20	50.000	1,180.49	Various	1,192.00	...	-11.51	Total of 2 transactions
TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK / CUSIP: 874054109 / Symbol:							
06/26/20	15.000	2,083.16	06/15/20	2,051.85	...	31.31	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	18.000	2,229.60	05/19/20	2,302.74	...	-73.14	1 of 2 - Sale ²⁵
	1.000	123.87	05/19/20	127.38	...	-3.51	2 of 2 - Sale ²⁵
05/20/20	19.000	2,353.47	Various	2,430.12	...	-76.65	Total of 2 transactions
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
11/11/20	0.824	159.85	06/11/20	74.22	...	85.63	Cash in lieu
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
07/29/20	1.000	1,499.15	07/14/20	1,493.92	...	5.23	Sale ²⁵
TORTOISE ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
08/06/20	50.000	985.47	06/23/20	945.00	...	40.47	Sale ²⁵
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	100.000	470.99	03/25/20	423.00	...	47.99	1 of 2 - Sale ²⁵
	2.000	9.42	04/01/20	7.20	...	2.22	2 of 2 - Sale ²⁵
05/07/20	102.000	480.41	Various	430.20	...	50.21	Total of 2 transactions
2U, INC. COMMON STOCK / CUSIP: 90214J101 / Symbol:							
06/11/20	20.000	675.58	06/05/20	661.20	...	14.38	Sale ²⁵
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
04/23/20	200.000	523.96	04/23/20	556.00	...	-32.04	Sale ²⁵
VECTOIQ ACQUISITION CORP. COMMON STOCK / CUSIP: 92243N103 / Symbol:							
05/13/20	75.000	2,324.93	05/13/20	2,310.75	...	14.18	Sale ²⁵
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	5.000	86.70	03/25/20	82.50	...	4.20	1 of 2 - Sale ²⁵
	6.000	104.04	03/25/20	99.00	...	5.04	2 of 2 - Sale ²⁵
05/12/20	11.000	190.74	Various	181.50	...	9.24	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
05/12/20	1.000	6.20	03/20/20	4.12	...	2.08	Sale ²⁵
WALMART INC. / CUSIP: 931142103 / Symbol:							
<i>5 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	132.20	05/18/20	128.02	...	4.18	1 of 5 - Sale ²⁵
	2.000	264.55	05/18/20	254.52	...	10.03	2 of 5 - Sale ²⁵
	2.000	264.55	05/18/20	253.30	...	11.25	3 of 5 - Sale ²⁵
	4.000	529.11	05/18/20	512.07	...	17.04	4 of 5 - Sale ²⁵
	10.000	1,322.77	05/18/20	1,270.00	...	52.77	5 of 5 - Sale ²⁵
05/19/20	19.000	2,513.18	Various	2,417.91	...	95.27	Total of 5 transactions
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
05/28/20	15.000	1,339.92	05/28/20	1,148.36	...	191.56	Sale ²⁵
ZYNGA INC. CLASS A COMMON STOCK / CUSIP: 98986T108 / Symbol:							
05/12/20	50.000	386.03	04/14/20	360.00	...	26.03	Sale ²⁵
ATLISSIAN CORPORATION PLC CLASS A ORDINARY SHARES / CUSIP: G06242104 / Symbol:							
08/05/20	6.000	1,071.27	07/30/20	1,038.00	...	33.27	Sale ²⁵
NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol:							
<i>6 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	248.25	05/14/20	240.25	...	8.00	1 of 6 - Sale ²⁵
	100.000	496.99	05/14/20	480.50	...	16.49	2 of 6 - Sale ²⁵
	3.000	14.90	05/22/20	14.76	...	0.14	3 of 6 - Sale ²⁵
	7.000	34.75	05/22/20	34.55	...	0.20	4 of 6 - Sale ²⁵
	50.000	247.99	05/22/20	246.00	...	1.99	5 of 6 - Sale ²⁵
	50.000	248.00	05/22/20	245.99	...	2.01	6 of 6 - Sale ²⁵
06/15/20	260.000	1,290.88	Various	1,262.05	...	28.83	Total of 6 transactions
<i>7 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	99.40	04/28/20	159.00	59.60 W	0.00	1 of 7 - Sale ²⁵
	20.000	99.40	04/29/20	124.77	25.37 W	0.00	2 of 7 - Sale ²⁵
	7.000	34.79	05/05/20	37.77	2.98 W	0.00	3 of 7 - Sale ²⁵
	53.000	263.40	05/05/20	285.13	21.73 W	0.00	4 of 7 - Sale ²⁵
	100.000	496.99	05/05/20	537.99	41.00 W	0.00	5 of 7 - Sale ²⁵

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Account 936554153

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol: (cont'd)							
	20.000	99.30	05/22/20	149.80	50.50 W	0.00	6 of 7 - Sale ²⁵
	20.000	99.29	05/22/20	115.57	16.28 W	0.00	7 of 7 - Sale ²⁵
06/15/20	240.000	1,192.57	Various	1,410.03	217.46 W	0.00	Total of 7 transactions
	4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	20.000	86.00	06/24/20	134.84	...	-48.84	1 of 4 - Sale ²⁵
	50.000	214.99	06/24/20	231.38	...	-16.39	2 of 4 - Sale ²⁵
	20.000	86.00	06/24/20	100.63	...	-14.63	3 of 4 - Sale ²⁵
	10.000	43.00	06/24/20	42.18	...	0.82	4 of 4 - Sale ²⁵
07/13/20	100.000	429.99	Various	509.03	...	-79.04	Total of 4 transactions
	4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	20.000	86.00	06/02/20	136.67	50.67 W	0.00	1 of 4 - Sale ²⁵
	20.000	86.00	06/02/20	102.45	16.45 W	0.00	2 of 4 - Sale ²⁵
	50.000	214.99	06/02/20	235.94	20.95 W	0.00	3 of 4 - Sale ²⁵
	10.000	43.00	06/02/20	43.09	0.09 W	0.00	4 of 4 - Sale ²⁵
07/13/20	100.000	429.99	Various	518.15	88.16 W	0.00	Total of 4 transactions
	Security total:	3,343.43		3,699.26	305.62 W	-50.21	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	25.000	276.99	04/01/20	255.00	...	21.99	1 of 2 - Sale ²⁵
	25.000	276.99	04/01/20	237.50	...	39.49	2 of 2 - Sale ²⁵
05/12/20	50.000	553.98	Various	492.50	...	61.48	Total of 2 transactions
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol:							
10/07/20	20.000	213.30	10/07/20	213.60	...	-0.30	Sale ²⁵
STONECO LTD. CLASS A COMMON SHARES / CUSIP: G85158106 / Symbol:							
05/12/20	11.000	261.25	04/01/20	226.05	...	35.20	Sale ²⁵
07/01/20	50.000	1,923.46	06/26/20	1,926.06	...	-2.60	Sale ²⁵
	Security total:	2,184.71		2,152.11	...	32.60	

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(continued)

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TRITERRAS, INC. CLASS A ORDINA RY SHARES / CUSIP: G9103H102 / Symbol:							
4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	10.000	94.98	12/18/20	84.20	...	10.78	1 of 4 - Sale ²⁵
	10.000	94.98	12/18/20	84.15	...	10.83	2 of 4 - Sale ²⁵
	10.000	94.98	12/18/20	84.15	...	10.83	3 of 4 - Sale ²⁵
	170.000	1,614.73	12/18/20	1,431.40	...	183.33	4 of 4 - Sale ²⁵
12/21/20	200.000	1,899.67	Various	1,683.90	...	215.77	Total of 4 transactions
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
04/15/20	450.000	634.43	04/03/20	495.00	...	139.43	Sale ²⁵
DHT HOLDINGS, INC. / CUSIP: Y2065G121 / Symbol:							
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	150.000	784.76	04/23/20	1,267.50	482.74 W	0.00	1 of 5 - Sale ²⁵
	3.000	15.70	04/29/20	21.99	6.29 W	0.00	2 of 5 - Sale ²⁵
	47.000	245.89	04/29/20	344.51	98.62 W	0.00	3 of 5 - Sale ²⁵
	63.000	329.60	05/15/20	393.75	64.15 W	0.00	4 of 5 - Sale ²⁵
	37.000	193.57	05/15/20	231.25	37.68 W	0.00	5 of 5 - Sale ²⁵
06/25/20	300.000	1,569.52	Various	2,259.00	689.48 W	0.00	Total of 5 transactions
07/06/20	1.000	5.35	05/15/20	6.25	0.90 W	0.00	Sale ²⁵
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	99.000	527.65	06/17/20	646.20	...	-118.55	1 of 2 - Sale ²⁵
	1.000	5.33	06/17/20	6.48	...	-1.15	2 of 2 - Sale ²⁵
07/08/20	100.000	532.98	Various	652.68	...	-119.70	Total of 2 transactions
7 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	99.000	524.87	05/15/20	618.75	93.88 W	0.00	1 of 7 - Sale ²⁵
	100.000	530.17	06/02/20	893.83	363.66 W	0.00	2 of 7 - Sale ²⁵
	50.000	265.09	06/16/20	448.28	183.19 W	0.00	3 of 7 - Sale ²⁵
	3.000	15.91	06/16/20	23.53	7.62 W	0.00	4 of 7 - Sale ²⁵
	47.000	249.18	06/16/20	368.75	119.57 W	0.00	5 of 7 - Sale ²⁵
	37.000	197.20	06/17/20	243.30	46.10 W	0.00	6 of 7 - Sale ²⁵
	63.000	335.77	06/17/20	414.27	78.50 W	0.00	7 of 7 - Sale ²⁵
07/08/20	399.000	2,118.19	Various	3,010.71	892.52 W	0.00	Total of 7 transactions

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(continued)

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DHT HOLDINGS, INC. / CUSIP: Y2065G121 / Symbol: (cont'd)							
<i>6 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	573.17	06/17/20	923.16	...	-349.99	1 of 6 - Sale ²⁵
	50.000	286.59	06/17/20	462.94	...	-176.35	2 of 6 - Sale ²⁵
	47.000	269.39	06/17/20	382.53	...	-113.14	3 of 6 - Sale ²⁵
	3.000	17.20	06/17/20	24.41	...	-7.21	4 of 6 - Sale ²⁵
	63.000	361.10	06/24/20	403.45	...	-42.35	5 of 6 - Sale ²⁵
	37.000	212.07	06/24/20	236.95	...	-24.88	6 of 6 - Sale ²⁵
09/11/20	300.000	1,719.52	Various	2,433.44	...	-713.92	Total of 6 transactions
	Security total:	5,945.56		8,362.08	1,582.90 W	-833.62	
Totals :		102,801.57		107,636.56	2,942.34 W	-1,892.65	

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Account 936554153

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CONOCOPHILLIPS	20825C104		06/01/20	8.40	Qualified dividend	
MFA FINANCIAL, INC	55272X102		10/30/20	25.00	Nondividend distribution	03
			01/29/21	37.50	Qualified dividend	
				62.50	Total Dividends & distributions	
TWO HARBORS INVESTMENT CORP.	90187B408		04/29/20	5.10	Nondividend distribution	03
NORDIC AMERICAN TANKER	G65773106		06/05/20	19.88	Nonqualified dividend	
DHT HOLDINGS, INC.	Y2065G121		05/26/20	140.00	Qualified dividend	03
			09/02/20	144.00	Qualified dividend	03
				284.00	Total Dividends & distributions	
				379.88	Total Dividends & distributions	

Robinhood Securities LLC

Account 936554153

Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
NORDIC AMERICAN TANKER	G65773106	06/05/20	29.12	Substitute payment	
			29.12	Total Miscellaneous income	

Robinhood Securities LLC

Account 936554153

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/23/20	-5.00	Margin interest paid	
		05/26/20	-0.91	Margin interest paid	
		05/26/20	-5.00	Margin interest paid	
		06/22/20	-5.00	Margin interest paid	
		06/22/20	-17.22	Margin interest paid	
		07/22/20	-5.00	Margin interest paid	
		07/22/20	-50.96	Margin interest paid	
		08/21/20	-5.00	Margin interest paid	
		08/21/20	-75.37	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		09/21/20	-96.80	Margin interest paid	
		10/20/20	-5.00	Margin interest paid	
		10/20/20	-111.82	Margin interest paid	
		11/19/20	-5.00	Margin interest paid	
		11/19/20	-108.15	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
		12/21/20	-120.97	Margin interest paid	
			-627.20	Total Margin interest paid	
			-627.20	Total Margin interest paid	

Robinhood Securities LLC

Account 936554153

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	NORDIC AMERICAN TANKER	G65773106	19.88	0.00	0.00	19.88	0.00	
BD	DHT HOLDINGS, INC.	Y2065G121	0.00	284.00	0.00	284.00	0.00	
Total BERMUDA			19.88	284.00	0.00	303.88	0.00	
Grand Total	Total Foreign Source Income*			303.88				
	Qualified Foreign Source Income**			284.00				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 936554153

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
FISKER INC.	33813J106	10/30/20	50.00	0.00	Exchange	
HYLIION HOLDINGS CORP.	449109107	10/02/20	25.00	0.00	Merger	
LIVONGO HEALTH, INC. COMMON STOCK	539183103	11/02/20	-47.00	0.00	Merger	
NIKOLA CORPORATION COMMON STOCK	654110105	06/04/20	50.00	0.00	Merger	
OPENDOOR TECHNOLOGIES INC COMMON STOCK	683712103	12/21/20	65.00	0.00	Exchange	
PIVOTAL INVESTMENT CORPORATION II	72582K100	12/22/20	-100.00	0.00	Exchange	
SPARTAN ENERGY ACQUISITION CORP.	846784106	10/30/20	-50.00	0.00	Exchange	
TELADOC HEALTH, INC.	87918A105	11/02/20	27.00	0.00	Merger	
TORTOISE ACQUISITION CORP. CLASS A COMMON STOCK	89154L100	10/02/20	-25.00	0.00	Merger	
VECTOIQ ACQUISITION CORP. COMMON STOCK	92243N103	06/04/20	-50.00	0.00	Merger	
XL FLEET CORP.	9837FR100	12/22/20	100.00	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLDINGS CORP. II	G8250T109	12/21/20	-65.00	0.00	Exchange	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.