

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 983576737	Statement Date: 02/14/2021 Document ID: 76P3 MY9 DV9J	2020
	Yash Jain 809 Central Ave 2 Union City, NJ 07087 RECIPIENT'S TIN: XXX-XX-4222		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 7.75</p> <p>1b- Qualified dividends 2.91</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	132,532.02	146,548.59	0.00	9,201.88	-4,814.69
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	2.33	3.80	0.00	0.00	-1.47
	Total Short-term	132,534.35	146,552.39	0.00	9,201.88	-4,816.16
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	132,534.35	146,552.39	0.00	9,201.88	-4,816.16

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 983576737

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	45.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/08/2021 CALL \$133.00 / CUSIP: / Symbol: 12/28/20	1.000	454.97	12/22/20	390.00	...	64.97	Option sale to close-call ²⁵
AAPL 09/25/2020 CALL \$107.50 / CUSIP: / Symbol: 09/22/20	1.000	539.97	09/21/20	255.00	...	284.97	Option sale to close-call ²⁵
AAPL 09/25/2020 CALL \$111.25 / CUSIP: / Symbol: 09/23/20	1.000	203.98	09/22/20	247.00	...	-43.02	Option sale to close-call ²⁵
AAPL 09/25/2020 PUT \$110.00 / CUSIP: / Symbol: 09/23/20	1.000	359.98	09/22/20	150.00	...	209.98	Option sale to close-put ²⁵
AAPL 10/02/2020 CALL \$116.25 / CUSIP: / Symbol: 09/30/20	2.000	369.98	09/30/20	282.00	...	87.98	Option sale to close-call ²⁵

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Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/09/2020 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	225.99	10/02/20	180.00	...	45.99	1 of 2 - Option sale to close-call ²⁵
	2.000	451.98	10/02/20	374.00	...	77.98	2 of 2 - Option sale to close-call ²⁵
10/05/20	3.000	677.97	Various	554.00	...	123.97	Total of 2 transactions
AAPL 10/09/2020 PUT \$115.00 / CUSIP: / Symbol:							
3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	334.98	10/01/20	216.00	...	118.98	1 of 3 - Option sale to close-put ²⁵
	1.000	334.98	10/01/20	216.00	...	118.98	2 of 3 - Option sale to close-put ²⁵
	1.000	334.98	10/01/20	198.00	...	136.98	3 of 3 - Option sale to close-put ²⁵
10/02/20	3.000	1,004.94	Various	630.00	...	374.94	Total of 3 transactions
AAPL 10/16/2020 CALL \$122.50 / CUSIP: / Symbol:							
10/12/20	1.000	282.98	10/12/20	197.00	...	85.98	Option sale to close-call ²⁵
AAPL 10/16/2020 PUT \$118.00 / CUSIP: / Symbol:							
10/14/20	1.000	69.98	10/12/20	149.00	79.02 W	0.00	Option sale to close-put ²⁵
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	80.99	10/12/20	201.02	...	-120.03	1 of 2 - Option sale to close-put ²⁵
	1.000	80.99	10/12/20	115.00	...	-34.01	2 of 2 - Option sale to close-put ²⁵
10/15/20	2.000	161.98	Various	316.02	...	-154.04	Total of 2 transactions
	Security total:	231.96		465.02	79.02 W	-154.04	
AAPL 10/16/2020 PUT \$120.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	167.99	10/12/20	240.01	...	-72.02	1 of 2 - Option sale to close-put ²⁵
	1.000	167.99	10/12/20	200.01	...	-32.02	2 of 2 - Option sale to close-put ²⁵
10/14/20	2.000	335.98	Various	440.02	...	-104.04	Total of 2 transactions
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	109.99	10/12/20	200.00	90.01 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	109.99	10/12/20	200.00	90.01 W	0.00	2 of 2 - Option sale to close-put ²⁵
10/14/20	2.000	219.98	Various	400.00	180.02 W	0.00	Total of 2 transactions
	Security total:	555.96		840.02	180.02 W	-104.04	

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Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 09/11/2020 CALL \$95.00 / CUSIP: / Symbol:							
09/02/20	1.000	258.98	09/01/20	255.00	...	3.98	Option sale to close-call ²⁵
AMZN 10/23/2020 CALL \$3,490.00 / CUSIP: / Symbol:							
10/21/20	1.000	186.98	10/16/20	2,525.00	...	-2,338.02	Option sale to close-call ²⁵
AMZN 11/06/2020 PUT \$2,945.00 / CUSIP: / Symbol:							
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	2.000	181.99	11/04/20	1,000.00	...	-818.01	1 of 2 - Option sale to close-put ²⁵
	1.000	90.99	11/04/20	300.00	...	-209.01	2 of 2 - Option sale to close-put ²⁵
11/05/20	3.000	272.98	Various	1,300.00	...	-1,027.02	Total of 2 transactions
AXP 10/30/2020 PUT \$98.50 / CUSIP: / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	191.99	10/22/20	89.00	...	102.99	1 of 2 - Option sale to close-put ²⁵
	2.000	383.98	10/22/20	196.00	...	187.98	2 of 2 - Option sale to close-put ²⁵
10/26/20	3.000	575.97	Various	285.00	...	290.97	Total of 2 transactions
BA 09/25/2020 CALL \$157.50 / CUSIP: / Symbol:							
09/22/20	1.000	434.98	09/21/20	420.00	...	14.98	Option sale to close-call ²⁵
BA 10/02/2020 CALL \$167.50 / CUSIP: / Symbol:							
09/28/20	1.000	359.98	09/28/20	340.00	...	19.98	Option sale to close-call ²⁵
BA 11/13/2020 PUT \$170.00 / CUSIP: / Symbol:							
11/12/20	2.000	59.98	11/10/20	172.00	...	-112.02	Option sale to close-put ²⁵
BA 12/11/2020 CALL \$252.50 / CUSIP: / Symbol:							
12/11/20	1.000	0.00	12/07/20	200.00	...	-200.00	Option expiration- long call
BABA 01/08/2021 CALL \$250.00 / CUSIP: / Symbol:							
12/30/20	1.000	262.98	12/29/20	170.00	...	92.98	Option sale to close-call ²⁵
BJ 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
08/19/20	2.000	445.98	08/17/20	360.00	...	85.98	Option sale to close-call ²⁵
CGC 12/24/2020 CALL \$27.50 / CUSIP: / Symbol:							
12/23/20	1.000	21.98	12/18/20	44.00	...	-22.02	Option sale to close-call ²⁵

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Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHGG 11/20/2020 PUT \$70.00 / CUSIP: / Symbol:							
10/28/20	1.000	189.98	10/26/20	160.00	...	29.98	Option sale to close-put ²⁵
CHWY 09/11/2020 CALL \$61.00 / CUSIP: / Symbol:							
09/01/20	1.000	699.97	08/31/20	410.00	...	289.97	Option sale to close-call ²⁵
DELL 09/18/2020 CALL \$62.50 / CUSIP: / Symbol:							
08/24/20	1.000	249.98	08/20/20	190.00	...	59.98	Option sale to close-call ²⁵
DIS 12/24/2020 CALL \$180.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/17/20	104.00	...	-104.00	Option expiration- long call
DISCA 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/30/20	1.000	84.98	12/29/20	85.00	...	-0.02	Option sale to close-call ²⁵
DLTR 08/21/2020 CALL \$103.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	0.00	08/17/20	316.00	...	-316.00	1 of 2 - Option expiration- long call
	2.000	0.00	08/19/20	34.00	...	-34.00	2 of 2 - Option expiration- long call
08/21/20	6.000	0.00	Various	350.00	...	-350.00	Total of 2 transactions
DOCU 12/11/2020 CALL \$275.00 / CUSIP: / Symbol:							
12/07/20	1.000	19.98	12/04/20	174.00	...	-154.02	Option sale to close-call ²⁵
DPZ 10/09/2020 CALL \$445.00 / CUSIP: / Symbol:							
10/06/20	1.000	819.97	10/05/20	700.00	...	119.97	Option sale to close-call ²⁵
EA 09/04/2020 CALL \$144.00 / CUSIP: / Symbol:							
08/18/20	1.000	204.98	08/17/20	200.00	...	4.98	Option sale to close-call ²⁵
EA 12/24/2020 CALL \$143.00 / CUSIP: / Symbol:							
12/23/20	1.000	17.98	12/18/20	152.00	...	-134.02	Option sale to close-call ²⁵
FB 12/24/2020 CALL \$287.50 / CUSIP: / Symbol:							
<i>8 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	12/17/20	146.00	...	-146.00	1 of 8 - Option expiration- long call
	1.000	0.00	12/21/20	31.00	...	-31.00	2 of 8 - Option expiration- long call
	1.000	0.00	12/21/20	30.00	...	-30.00	3 of 8 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 12/24/2020 CALL \$287.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	12/21/20	28.00	...	-28.00	4 of 8 - Option expiration- long call
	1.000	0.00	12/21/20	28.00	...	-28.00	5 of 8 - Option expiration- long call
	1.000	0.00	12/21/20	23.00	...	-23.00	6 of 8 - Option expiration- long call
	1.000	0.00	12/22/20	11.00	...	-11.00	7 of 8 - Option expiration- long call
	1.000	0.00	12/22/20	7.00	...	-7.00	8 of 8 - Option expiration- long call
12/24/20	8.000	0.00	Various	304.00	...	-304.00	Total of 8 transactions
FDX 09/18/2020 CALL \$227.50 / CUSIP: / Symbol:							
09/16/20	1.000	2,109.94	09/04/20	1,305.00	...	804.94	Option sale to close-call ²⁵
FDX 09/18/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/04/20	1.000	1,264.96	09/03/20	1,145.00	...	119.96	Option sale to close-call ²⁵
FDX 09/18/2020 CALL \$240.00 / CUSIP: / Symbol:							
08/14/20	1.000	187.98	08/10/20	171.00	...	16.98	Option sale to close-call ²⁵
FUBO 01/15/2021 PUT \$40.00 / CUSIP: / Symbol:							
12/28/20	1.000	774.97	12/21/20	525.00	...	249.97	Option sale to close-put ²⁵
GS 12/24/2020 PUT \$250.00 / CUSIP: / Symbol:							
12/22/20	1.000	187.98	12/21/20	67.00	...	120.98	Option sale to close-put ²⁵
GS 12/31/2020 PUT \$240.00 / CUSIP: / Symbol:							
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	2.99	12/22/20	98.00	95.01 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	2.99	12/22/20	98.00	95.01 W	0.00	2 of 2 - Option sale to close-put ²⁵
12/30/20	2.000	5.98	Various	196.00	190.02 W	0.00	Total of 2 transactions
3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/23/20	134.01	...	-134.01	1 of 3 - Option expiration- long put
	1.000	0.00	12/23/20	114.01	...	-114.01	2 of 3 - Option expiration- long put
	1.000	0.00	12/28/20	8.00	...	-8.00	3 of 3 - Option expiration- long put
12/31/20	3.000	0.00	Various	256.02	...	-256.02	Total of 3 transactions
	Security total:	5.98		452.02	190.02 W	-256.02	

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HD 11/20/2020 CALL \$287.50 / CUSIP: / Symbol:							
6 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	5.000	59.99	11/12/20	750.00	...	-690.01	1 of 6 - Option sale to close-call ²⁵
	1.000	12.00	11/13/20	423.01	...	-411.01	2 of 6 - Option sale to close-call ²⁵
	1.000	12.00	11/13/20	423.01	...	-411.01	3 of 6 - Option sale to close-call ²⁵
	1.000	11.99	11/16/20	265.00	...	-253.01	4 of 6 - Option sale to close-call ²⁵
	1.000	12.00	11/17/20	155.01	...	-143.01	5 of 6 - Option sale to close-call ²⁵
	1.000	11.99	11/17/20	151.01	...	-139.02	6 of 6 - Option sale to close-call ²⁵
11/18/20	10.000	119.97	Various	2,167.04	...	-2,047.07	Total of 6 transactions
6 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	5.000	104.97	11/12/20	750.00	258.02 W	-387.01	1 of 6 - Option sale to close-call ²⁵
	1.000	20.99	11/12/20	160.00	139.01 W	0.00	2 of 6 - Option sale to close-call ²⁵
	1.000	20.99	11/12/20	160.00	139.01 W	0.00	3 of 6 - Option sale to close-call ²⁵
	1.000	21.00	11/12/20	299.01	278.01 W	0.00	4 of 6 - Option sale to close-call ²⁵
	1.000	21.00	11/12/20	299.01	278.01 W	0.00	5 of 6 - Option sale to close-call ²⁵
	1.000	21.00	11/12/20	160.00	139.00 W	0.00	6 of 6 - Option sale to close-call ²⁵
11/18/20	10.000	209.95	Various	1,828.02	1,231.06 W	-387.01	Total of 6 transactions
	Security total:	329.92		3,995.06	1,231.06 W	-2,434.08	
HPE 08/28/2020 CALL \$10.00 / CUSIP: / Symbol:							
4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	17.00	08/20/20	15.00	...	2.00	1 of 4 - Option sale to close-call ²⁵
	1.000	24.98	08/20/20	15.00	...	9.98	2 of 4 - Option sale to close-call ²⁵
	5.000	84.98	08/20/20	75.00	...	9.98	3 of 4 - Option sale to close-call ²⁵
	13.000	220.97	08/20/20	195.00	...	25.97	4 of 4 - Option sale to close-call ²⁵
08/24/20	20.000	347.93	Various	300.00	...	47.93	Total of 4 transactions
HTZ 10/23/2020 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	8.000	47.98	10/16/20	336.00	288.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	11.99	10/16/20	84.00	72.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
10/21/20	10.000	59.97	Various	420.00	360.03 W	0.00	Total of 2 transactions
5 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	8.000	0.00	10/16/20	528.02	...	-528.02	1 of 5 - Option expiration- long call

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HTZ 10/23/2020 CALL \$2.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	10/16/20	132.01	...	-132.01	2 of 5 - Option expiration- long call
	5.000	0.00	10/22/20	55.00	...	-55.00	3 of 5 - Option expiration- long call
	4.000	0.00	10/22/20	44.00	...	-44.00	4 of 5 - Option expiration- long call
	1.000	0.00	10/22/20	11.00	...	-11.00	5 of 5 - Option expiration- long call
10/23/20	20.000	0.00	Various	770.03	...	-770.03	Total of 5 transactions
	Security total:	59.97		1,190.03	360.03 W	-770.03	
INTC 12/24/2020 CALL \$51.50 / CUSIP: / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/17/20	59.00	...	-59.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/18/20	7.00	...	-7.00	2 of 2 - Option expiration- long call
12/24/20	2.000	0.00	Various	66.00	...	-66.00	Total of 2 transactions
ITW 12/18/2020 CALL \$205.00 / CUSIP: / Symbol:							
12/15/20	2.000	149.98	12/14/20	190.00	...	-40.02	Option sale to close-call ²⁵
JPM 08/21/2020 CALL \$105.00 / CUSIP: / Symbol:							
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	104.98	08/13/20	91.00	...	13.98	1 of 4 - Option sale to close-call ²⁵
	1.000	104.98	08/13/20	91.00	...	13.98	2 of 4 - Option sale to close-call ²⁵
	3.000	314.99	08/13/20	273.00	...	41.99	3 of 4 - Option sale to close-call ²⁵
	5.000	524.97	08/13/20	455.00	...	69.97	4 of 4 - Option sale to close-call ²⁵
08/14/20	10.000	1,049.92	Various	910.00	...	139.92	Total of 4 transactions
JPM 08/21/2020 CALL \$106.00 / CUSIP: / Symbol:							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/14/20	225.00	...	-225.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/17/20	20.00	...	-20.00	2 of 3 - Option expiration- long call
	2.000	0.00	08/19/20	20.00	...	-20.00	3 of 3 - Option expiration- long call
08/21/20	6.000	0.00	Various	265.00	...	-265.00	Total of 3 transactions
JPM 09/25/2020 CALL \$98.50 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/18/20	159.00	...	-159.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LYFT 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
08/28/20	1.000	499.97	08/24/20	410.00	...	89.97	Option sale to close-call ²⁵
LYFT 08/28/2020 CALL \$31.50 / CUSIP: / Symbol:							
	<i>10 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	0.00	08/21/20	60.00	...	-60.00	1 of 10 - Option expiration- long call
	1.000	0.00	08/21/20	20.00	...	-20.00	2 of 10 - Option expiration- long call
	1.000	0.00	08/21/20	20.00	...	-20.00	3 of 10 - Option expiration- long call
	1.000	0.00	08/21/20	20.00	...	-20.00	4 of 10 - Option expiration- long call
	1.000	0.00	08/21/20	20.00	...	-20.00	5 of 10 - Option expiration- long call
	1.000	0.00	08/21/20	20.00	...	-20.00	6 of 10 - Option expiration- long call
	1.000	0.00	08/21/20	20.00	...	-20.00	7 of 10 - Option expiration- long call
	1.000	0.00	08/21/20	20.00	...	-20.00	8 of 10 - Option expiration- long call
	5.000	0.00	08/26/20	55.00	...	-55.00	9 of 10 - Option expiration- long call
	2.000	0.00	08/26/20	18.00	...	-18.00	10 of 10 - Option expiration- long call
08/28/20	17.000	0.00	Various	273.00	...	-273.00	Total of 10 transactions
MAT 10/30/2020 CALL \$14.50 / CUSIP: / Symbol:							
10/30/20	5.000	0.00	10/23/20	160.00	...	-160.00	Option expiration- long call
MSFT 09/04/2020 CALL \$215.00 / CUSIP: / Symbol:							
08/18/20	1.000	319.98	08/17/20	300.00	...	19.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 09/18/2020 CALL \$235.00 / CUSIP: / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	369.98	07/20/20	330.00	...	39.98	1 of 2 - Option sale to close-call ²⁵
	1.000	369.98	07/21/20	283.00	...	86.98	2 of 2 - Option sale to close-call ²⁵
08/03/20	2.000	739.96	Various	613.00	...	126.96	Total of 2 transactions
MSFT 09/18/2020 CALL \$305.00 / CUSIP: / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	7.000	167.98	07/20/20	168.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	3.000	71.99	07/20/20	72.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
07/21/20	10.000	239.97	Various	240.00	...	-0.03	Total of 2 transactions
MSFT 09/25/2020 PUT \$205.00 / CUSIP: / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	474.98	09/22/20	200.00	...	274.98	1 of 2 - Option sale to close-put ²⁵
	1.000	474.98	09/22/20	150.00	...	324.98	2 of 2 - Option sale to close-put ²⁵
09/23/20	2.000	949.96	Various	350.00	...	599.96	Total of 2 transactions
MSFT 10/02/2020 PUT \$202.50 / CUSIP: / Symbol:							
09/30/20	2.000	105.98	09/25/20	276.00	...	-170.02	Option sale to close-put ²⁵
MSFT 12/31/2020 CALL \$230.00 / CUSIP: / Symbol:							
12/21/20	2.000	271.98	12/18/20	128.00	...	143.98	Option sale to close-call ²⁵
NDAQ 08/21/2020 CALL \$140.00 / CUSIP: / Symbol:							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/07/20	60.00	...	-60.00	1 of 3 - Option expiration- long call
	2.000	0.00	08/07/20	40.00	...	-40.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/12/20	15.00	...	-15.00	3 of 3 - Option expiration- long call
08/21/20	6.000	0.00	Various	115.00	...	-115.00	Total of 3 transactions
NET 01/08/2021 CALL \$77.50 / CUSIP: / Symbol:							
12/30/20	1.000	319.98	12/29/20	310.00	...	9.98	Option sale to close-call ²⁵
NFLX 10/09/2020 CALL \$510.00 / CUSIP: / Symbol:							
10/05/20	1.000	954.96	10/05/20	900.00	...	54.96	Option sale to close-call ²⁵

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NFLX 10/09/2020 CALL \$530.00 / CUSIP: / Symbol:							
3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	584.97	10/05/20	505.00	...	79.97	1 of 3 - Option sale to close-call ²⁵
	1.000	584.97	10/06/20	365.00	...	219.97	2 of 3 - Option sale to close-call ²⁵
	1.000	584.97	10/06/20	199.00	...	385.97	3 of 3 - Option sale to close-call ²⁵
10/07/20	3.000	1,754.91	Various	1,069.00	...	685.91	Total of 3 transactions
NFLX 10/09/2020 PUT \$515.00 / CUSIP: / Symbol:							
10/02/20	1.000	1,614.95	10/02/20	900.00	...	714.95	Option sale to close-put ²⁵
NFLX 10/16/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/21/20	4.000	1,979.94	09/18/20	1,620.00	...	359.94	Option sale to close-call ²⁵
NFLX 10/16/2020 CALL \$630.00 / CUSIP: / Symbol:							
10 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	10/14/20	590.00	...	-590.00	1 of 10 - Option expiration- long call
	3.000	0.00	10/14/20	93.00	...	-93.00	2 of 10 - Option expiration- long call
	5.000	0.00	10/14/20	85.00	...	-85.00	3 of 10 - Option expiration- long call
	5.000	0.00	10/14/20	65.00	...	-65.00	4 of 10 - Option expiration- long call
	2.000	0.00	10/14/20	58.00	...	-58.00	5 of 10 - Option expiration- long call
	1.000	0.00	10/14/20	20.00	...	-20.00	6 of 10 - Option expiration- long call
	1.000	0.00	10/14/20	20.00	...	-20.00	7 of 10 - Option expiration- long call
	1.000	0.00	10/14/20	20.00	...	-20.00	8 of 10 - Option expiration- long call
	1.000	0.00	10/14/20	20.00	...	-20.00	9 of 10 - Option expiration- long call
	1.000	0.00	10/14/20	20.00	...	-20.00	10 of 10 - Option expiration- long call
10/16/20	30.000	0.00	Various	991.00	...	-991.00	Total of 10 transactions

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NFLX 10/16/2020 PUT \$525.00 / CUSIP: / Symbol:							
10/14/20	1.000	156.98	10/14/20	648.06	...	-491.08	Option sale to close-put ²⁵
	3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	1.000	54.98	10/12/20	390.00	335.02 W	0.00	1 of 3 - Option sale to close-put ²⁵
	1.000	54.98	10/12/20	655.02	600.04 W	0.00	2 of 3 - Option sale to close-put ²⁵
	1.000	156.98	10/13/20	750.04	593.06 W	0.00	3 of 3 - Option sale to close-put ²⁵
10/14/20	3.000	266.94	Various	1,795.06	1,528.12 W	0.00	Total of 3 transactions
	Security total:	423.92		2,443.12	1,528.12 W	-491.08	
NFLX 10/23/2020 CALL \$575.00 / CUSIP: / Symbol:							
10/16/20	2.000	3,149.92	10/15/20	2,840.00	...	309.92	Option sale to close-call ²⁵
NFLX 10/23/2020 CALL \$650.00 / CUSIP: / Symbol:							
10/23/20	1.000	0.00	10/19/20	135.00	...	-135.00	Option expiration- long call
NFLX 10/23/2020 PUT \$525.00 / CUSIP: / Symbol:							
10/16/20	2.000	3,129.92	10/16/20	3,280.00	...	-150.08	Option sale to close-put ²⁵
NFLX 10/30/2020 CALL \$492.50 / CUSIP: / Symbol:							
10/28/20	2.000	1,519.95	10/27/20	800.00	...	719.95	Option sale to close-call ²⁵
	5 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	1.000	404.99	10/28/20	350.00	...	54.99	1 of 5 - Option sale to close-call ²⁵
	2.000	809.97	10/28/20	740.00	...	69.97	2 of 5 - Option sale to close-call ²⁵
	1.000	1,179.97	10/29/20	143.00	...	1,036.97	3 of 5 - Option sale to close-call ²⁵
	2.000	2,359.94	10/29/20	384.00	...	1,975.94	4 of 5 - Option sale to close-call ²⁵
	3.000	3,539.92	10/29/20	750.00	...	2,789.92	5 of 5 - Option sale to close-call ²⁵
10/29/20	9.000	8,294.79	Various	2,367.00	...	5,927.79	Total of 5 transactions
	Security total:	9,814.74		3,167.00	...	6,647.74	
NFLX 10/30/2020 CALL \$510.00 / CUSIP: / Symbol:							
10/26/20	1.000	354.98	10/23/20	251.00	...	103.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 10/30/2020 PUT \$487.50 / CUSIP: / Symbol:							
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	4.000	259.98	10/29/20	584.00	...	-324.02	1 of 2 - Option sale to close-put ²⁵
	1.000	65.00	10/29/20	85.00	...	-20.00	2 of 2 - Option sale to close-put ²⁵
10/29/20	5.000	324.98	Various	669.00	...	-344.02	Total of 2 transactions
NFLX 10/30/2020 PUT \$492.50 / CUSIP: / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	10/29/20	136.00	...	163.98	1 of 2 - Option sale to close-put ²⁵
	1.000	299.99	10/29/20	136.00	...	163.99	2 of 2 - Option sale to close-put ²⁵
10/30/20	2.000	599.97	Various	272.00	...	327.97	Total of 2 transactions
NFLX 11/06/2020 CALL \$497.50 / CUSIP: / Symbol:							
5 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	1.000	869.97	10/30/20	820.02	...	49.95	1 of 5 - Option sale to close-call ²⁵
	1.000	869.97	10/30/20	780.02	...	89.95	2 of 5 - Option sale to close-call ²⁵
	1.000	794.97	10/30/20	690.06	...	104.91	3 of 5 - Option sale to close-call ²⁵
	1.000	794.98	11/02/20	550.00	...	244.98	4 of 5 - Option sale to close-call ²⁵
	1.000	794.97	11/02/20	500.00	...	294.97	5 of 5 - Option sale to close-call ²⁵
11/03/20	5.000	4,124.86	Various	3,340.10	...	784.76	Total of 5 transactions
3 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	2.000	1,739.95	10/30/20	1,900.00	160.05 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	869.98	10/30/20	950.00	80.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	869.97	10/30/20	970.03	100.06 W	0.00	3 of 3 - Option sale to close-call ²⁵
11/03/20	4.000	3,479.90	Various	3,820.03	340.13 W	0.00	Total of 3 transactions
	Security total:	7,604.76		7,160.13	340.13 W	784.76	
NFLX 11/06/2020 CALL \$507.50 / CUSIP: / Symbol:							
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	1.000	654.98	11/03/20	250.00	...	404.98	1 of 2 - Option sale to close-call ²⁵
	2.000	1,309.96	11/03/20	524.00	...	785.96	2 of 2 - Option sale to close-call ²⁵
11/04/20	3.000	1,964.94	Various	774.00	...	1,190.94	Total of 2 transactions
6 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	599.97	11/04/20	309.00	...	290.97	1 of 6 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 11/06/2020 CALL \$507.50 / CUSIP: / Symbol: (cont'd)							
	1.000	599.98	11/04/20	300.00	...	299.98	2 of 6 - Option sale to close-call ²⁵
	1.000	599.98	11/04/20	280.00	...	319.98	3 of 6 - Option sale to close-call ²⁵
	1.000	599.99	11/04/20	280.00	...	319.99	4 of 6 - Option sale to close-call ²⁵
	1.000	599.99	11/04/20	275.00	...	324.99	5 of 6 - Option sale to close-call ²⁵
	1.000	599.98	11/04/20	250.00	...	349.98	6 of 6 - Option sale to close-call ²⁵
11/05/20	6.000	3,599.89	Various	1,694.00	...	1,905.89	Total of 6 transactions
	Security total:	5,564.83		2,468.00	...	3,096.83	
NFLX 11/06/2020 PUT \$480.00 / CUSIP: / Symbol:							
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	1.000	799.97	11/03/20	542.00	...	257.97	1 of 2 - Option sale to close-put ²⁵
	1.000	799.98	11/03/20	542.00	...	257.98	2 of 2 - Option sale to close-put ²⁵
11/03/20	2.000	1,599.95	Various	1,084.00	...	515.95	Total of 2 transactions
NFLX 11/06/2020 PUT \$492.50 / CUSIP: / Symbol:							
4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	2.000	105.99	11/05/20	360.00	...	-254.01	1 of 4 - Option sale to close-put ²⁵
	1.000	53.00	11/05/20	139.00	...	-86.00	2 of 4 - Option sale to close-put ²⁵
	1.000	53.00	11/05/20	126.00	...	-73.00	3 of 4 - Option sale to close-put ²⁵
	1.000	52.99	11/05/20	100.00	...	-47.01	4 of 4 - Option sale to close-put ²⁵
11/05/20	5.000	264.98	Various	725.00	...	-460.02	Total of 4 transactions
NFLX 11/13/2020 CALL \$482.50 / CUSIP: / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	2.000	999.97	11/10/20	980.00	...	19.97	1 of 2 - Option sale to close-call ²⁵
	1.000	499.98	11/10/20	384.00	...	115.98	2 of 2 - Option sale to close-call ²⁵
11/10/20	3.000	1,499.95	Various	1,364.00	...	135.95	Total of 2 transactions
NFLX 11/13/2020 CALL \$492.50 / CUSIP: / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	1.000	459.97	11/11/20	300.00	...	159.97	1 of 2 - Option sale to close-call ²⁵
	4.000	1,839.94	11/11/20	1,200.00	...	639.94	2 of 2 - Option sale to close-call ²⁵
11/11/20	5.000	2,299.91	Various	1,500.00	...	799.91	Total of 2 transactions

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 11/13/2020 CALL \$547.50 / CUSIP: / Symbol:							
<i>19 transactions for 11/12/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	29.99	11/05/20	1,050.00	...	-1,020.01	1 of 19 - Option sale to close-call 25
	1.000	10.00	11/05/20	365.00	...	-355.00	2 of 19 - Option sale to close-call 25
	1.000	10.00	11/05/20	350.00	...	-340.00	3 of 19 - Option sale to close-call 25
	1.000	10.00	11/05/20	350.00	...	-340.00	4 of 19 - Option sale to close-call 25
	1.000	10.00	11/05/20	340.00	...	-330.00	5 of 19 - Option sale to close-call 25
	1.000	10.00	11/05/20	325.00	...	-315.00	6 of 19 - Option sale to close-call 25
	1.000	10.00	11/05/20	285.00	...	-275.00	7 of 19 - Option sale to close-call 25
	1.000	10.00	11/05/20	250.00	...	-240.00	8 of 19 - Option sale to close-call 25
	2.000	19.99	11/06/20	436.00	...	-416.01	9 of 19 - Option sale to close-call 25
	1.000	10.00	11/06/20	211.00	...	-201.00	10 of 19 - Option sale to close-call 25
	1.000	10.00	11/06/20	190.00	...	-180.00	11 of 19 - Option sale to close-call 25
	1.000	10.00	11/06/20	178.00	...	-168.00	12 of 19 - Option sale to close-call 25
	1.000	10.00	11/06/20	130.00	...	-120.00	13 of 19 - Option sale to close-call 25
	2.000	19.99	11/09/20	106.00	...	-86.01	14 of 19 - Option sale to close-call 25
	2.000	19.99	11/09/20	56.00	...	-36.01	15 of 19 - Option sale to close-call 25
	7.000	69.98	11/11/20	105.00	...	-35.02	16 of 19 - Option sale to close-call 25
	10.000	99.98	11/11/20	130.00	...	-30.02	17 of 19 - Option sale to close-call 25

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 11/13/2020 CALL \$547.50 / CUSIP: / Symbol: (cont'd)							
	2.000	19.99	11/11/20	28.00	...	-8.01	18 of 19 - Option sale to close-call ²⁵
	1.000	10.00	11/11/20	12.00	...	-2.00	19 of 19 - Option sale to close-call ²⁵
11/12/20	40.000	399.91	Various	4,897.00	...	-4,497.09	Total of 19 transactions
NFLX 11/13/2020 PUT \$480.00 / CUSIP: / Symbol:							
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	199.98	11/12/20	146.00	...	53.98	1 of 3 - Option sale to close-put ²⁵
	1.000	199.98	11/12/20	146.00	...	53.98	2 of 3 - Option sale to close-put ²⁵
	3.000	599.97	11/12/20	438.00	...	161.97	3 of 3 - Option sale to close-put ²⁵
11/12/20	5.000	999.93	Various	730.00	...	269.93	Total of 3 transactions
NFLX 11/20/2020 CALL \$500.00 / CUSIP: / Symbol:							
7 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	3.000	236.99	11/12/20	1,350.00	1,113.01 W	0.00	1 of 7 - Option sale to close-call ²⁵
	1.000	79.00	11/13/20	424.00	345.00 W	0.00	2 of 7 - Option sale to close-call ²⁵
	1.000	78.99	11/13/20	424.00	345.01 W	0.00	3 of 7 - Option sale to close-call ²⁵
	2.000	131.99	11/13/20	1,522.01	1,390.02 W	0.00	4 of 7 - Option sale to close-call ²⁵
	1.000	66.00	11/13/20	676.00	610.00 W	0.00	5 of 7 - Option sale to close-call ²⁵
	1.000	66.00	11/16/20	566.00	500.00 W	0.00	6 of 7 - Option sale to close-call ²⁵
	1.000	65.99	11/16/20	491.01	425.02 W	0.00	7 of 7 - Option sale to close-call ²⁵
11/18/20	10.000	724.96	Various	5,453.02	4,728.06 W	0.00	Total of 7 transactions
5 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	2.000	199.99	11/18/20	1,630.02	...	-1,430.03	1 of 5 - Option sale to close-call ²⁵
	1.000	100.00	11/18/20	701.00	...	-601.00	2 of 5 - Option sale to close-call ²⁵
	1.000	99.99	11/18/20	575.00	...	-475.01	3 of 5 - Option sale to close-call ²⁵
	1.000	100.00	11/18/20	503.02	...	-403.02	4 of 5 - Option sale to close-call ²⁵
	1.000	99.99	11/18/20	70.00	...	29.99	5 of 5 - Option sale to close-call ²⁵
11/19/20	6.000	599.97	Various	3,479.04	...	-2,879.07	Total of 5 transactions
	Security total:	1,324.93		8,932.06	4,728.06 W	-2,879.07	

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NFLX 11/27/2020 CALL \$492.50 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	854.98	11/19/20	650.00	...	204.98	1 of 2 - Option sale to close-call ²⁵
	1.000	854.97	11/20/20	590.00	...	264.97	2 of 2 - Option sale to close-call ²⁵
11/20/20	2.000	1,709.95	Various	1,240.00	...	469.95	Total of 2 transactions
5 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	2.000	265.99	11/23/20	700.00	...	-434.01	1 of 5 - Option sale to close-call ²⁵
	1.000	133.00	11/23/20	340.00	...	-207.00	2 of 5 - Option sale to close-call ²⁵
	1.000	132.99	11/23/20	313.00	...	-180.01	3 of 5 - Option sale to close-call ²⁵
	1.000	133.00	11/23/20	280.00	...	-147.00	4 of 5 - Option sale to close-call ²⁵
	1.000	132.99	11/24/20	180.00	...	-47.01	5 of 5 - Option sale to close-call ²⁵
11/27/20	6.000	797.97	Various	1,813.00	...	-1,015.03	Total of 5 transactions
	Security total:	2,507.92		3,053.00	...	-545.08	
NFLX 11/27/2020 PUT \$472.50 / CUSIP: / Symbol:							
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	2.000	599.98	11/20/20	540.00	...	59.98	1 of 2 - Option sale to close-put ²⁵
	1.000	299.99	11/20/20	240.00	...	59.99	2 of 2 - Option sale to close-put ²⁵
11/23/20	3.000	899.97	Various	780.00	...	119.97	Total of 2 transactions
NFLX 12/04/2020 CALL \$495.00 / CUSIP: / Symbol:							
12/01/20	1.000	699.97	11/30/20	500.00	...	199.97	Option sale to close-call ²⁵
NFLX 12/04/2020 PUT \$487.50 / CUSIP: / Symbol:							
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/01/20	400.00	...	-400.00	1 of 4 - Option expiration- long put
	1.000	0.00	12/01/20	350.00	...	-350.00	2 of 4 - Option expiration- long put
	1.000	0.00	12/01/20	262.00	...	-262.00	3 of 4 - Option expiration- long put
	1.000	0.00	12/02/20	118.00	...	-118.00	4 of 4 - Option expiration- long put
12/04/20	4.000	0.00	Various	1,130.00	...	-1,130.00	Total of 4 transactions
NFLX 12/11/2020 CALL \$520.00 / CUSIP: / Symbol:							
4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/08/20	400.00	...	-400.00	1 of 4 - Option expiration- long call
	1.000	0.00	12/08/20	350.00	...	-350.00	2 of 4 - Option expiration- long call

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NFLX 12/11/2020 CALL \$520.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	12/09/20	135.00	...	-135.00	3 of 4 - Option expiration- long call
	1.000	0.00	12/09/20	60.00	...	-60.00	4 of 4 - Option expiration- long call
12/11/20	4.000	0.00	Various	945.00	...	-945.00	Total of 4 transactions
NFLX 12/11/2020 PUT \$510.00 / CUSIP: / Symbol:							
12/08/20	1.000	899.97	12/07/20	750.00	...	149.97	Option sale to close-put ²⁵
NIO 10/02/2020 CALL \$18.00 / CUSIP: / Symbol:							
8 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	117.99	09/25/20	100.00	...	17.99	1 of 8 - Option sale to close-call ²⁵
	1.000	117.99	09/25/20	100.00	...	17.99	2 of 8 - Option sale to close-call ²⁵
	1.000	117.99	09/25/20	100.00	...	17.99	3 of 8 - Option sale to close-call ²⁵
	1.000	117.99	09/25/20	100.00	...	17.99	4 of 8 - Option sale to close-call ²⁵
	1.000	118.00	09/25/20	100.00	...	18.00	5 of 8 - Option sale to close-call ²⁵
	1.000	118.00	09/25/20	100.00	...	18.00	6 of 8 - Option sale to close-call ²⁵
	1.000	118.00	09/25/20	100.00	...	18.00	7 of 8 - Option sale to close-call ²⁵
	3.000	353.98	09/25/20	300.00	...	53.98	8 of 8 - Option sale to close-call ²⁵
09/28/20	10.000	1,179.94	Various	1,000.00	...	179.94	Total of 8 transactions
NIO 10/16/2020 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	205.98	09/29/20	200.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	1.000	205.98	09/29/20	200.00	...	5.98	2 of 2 - Option sale to close-call ²⁵
10/01/20	2.000	411.96	Various	400.00	...	11.96	Total of 2 transactions
NVDA 09/25/2020 CALL \$502.50 / CUSIP: / Symbol:							
09/18/20	1.000	1,249.96	09/18/20	1,455.00	...	-205.04	Option sale to close-call ²⁵
NVDA 11/20/2020 PUT \$500.00 / CUSIP: / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	45.99	11/18/20	365.00	...	-319.01	1 of 2 - Option sale to close-put ²⁵
	1.000	45.99	11/18/20	292.00	...	-246.01	2 of 2 - Option sale to close-put ²⁵
11/19/20	2.000	91.98	Various	657.00	...	-565.02	Total of 2 transactions

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Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PBI 01/15/2021 CALL \$6.00 / CUSIP: / Symbol:							
12/29/20	2.000	89.98	12/29/20	40.00	...	49.98	Option sale to close-call ²⁵
PENN 12/24/2020 CALL \$95.00 / CUSIP: / Symbol:							
12/23/20	1.000	319.98	12/18/20	310.00	...	9.98	Option sale to close-call ²⁵
PLTR 11/27/2020 PUT \$16.00 / CUSIP: / Symbol:							
11/27/20	1.000	0.00	11/20/20	15.00	...	-15.00	Option expiration- long put
PLUG 01/08/2021 PUT \$34.00 / CUSIP: / Symbol:							
12/29/20	1.000	369.98	12/28/20	199.00	...	170.98	Option sale to close-put ²⁵
PLUG 12/31/2020 CALL \$32.00 / CUSIP: / Symbol:							
12/22/20	1.000	439.98	12/18/20	199.00	...	240.98	Option sale to close-call ²⁵
QQQ 08/21/2020 CALL \$277.00 / CUSIP: / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	235.99	08/06/20	347.00	...	-111.01	1 of 2 - Option sale to close-call ²⁵
	1.000	235.98	08/12/20	120.00	...	115.98	2 of 2 - Option sale to close-call ²⁵
08/13/20	2.000	471.97	Various	467.00	...	4.97	Total of 2 transactions
QQQ 09/25/2020 CALL \$270.00 / CUSIP: / Symbol:							
09/23/20	1.000	415.98	09/22/20	354.00	...	61.98	Option sale to close-call ²⁵
ROKU 07/17/2020 CALL \$175.00 / CUSIP: / Symbol:							
5 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/10/20	815.00	...	-815.00	1 of 5 - Option expiration- long call
	1.000	0.00	07/13/20	160.00	...	-160.00	2 of 5 - Option expiration- long call
	10.000	0.00	07/15/20	1,020.00	...	-1,020.00	3 of 5 - Option expiration- long call
	2.000	0.00	07/16/20	74.00	...	-74.00	4 of 5 - Option expiration- long call
	1.000	0.00	07/16/20	37.00	...	-37.00	5 of 5 - Option expiration- long call
07/17/20	19.000	0.00	Various	2,106.00	...	-2,106.00	Total of 5 transactions
RTX 09/25/2020 CALL \$60.00 / CUSIP: / Symbol:							
09/22/20	1.000	98.98	09/21/20	97.00	...	1.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SBUX 08/21/2020 CALL \$79.50 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	8.000	0.00	08/18/20	376.00	...	-376.00	1 of 2 - Option expiration- long call
	2.000	0.00	08/18/20	86.00	...	-86.00	2 of 2 - Option expiration- long call
08/21/20	10.000	0.00	Various	462.00	...	-462.00	Total of 2 transactions
SBUX 12/24/2020 CALL \$105.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/18/20	49.00	...	-49.00	Option expiration- long call
SNAP 10/30/2020 CALL \$42.50 / CUSIP: / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	215.99	10/23/20	142.00	...	73.99	1 of 2 - Option sale to close-call ²⁵
	2.000	431.98	10/23/20	340.00	...	91.98	2 of 2 - Option sale to close-call ²⁵
10/26/20	3.000	647.97	Various	482.00	...	165.97	Total of 2 transactions
SNAP 10/30/2020 PUT \$40.00 / CUSIP: / Symbol:							
10/23/20	1.000	74.98	10/23/20	80.00	...	-5.02	Option sale to close-put ²⁵
SPY 08/24/2020 CALL \$334.00 / CUSIP: / Symbol:							
08/10/20	1.000	491.97	08/06/20	365.00	...	126.97	Option sale to close-call ²⁵
SPY 08/28/2020 CALL \$338.00 / CUSIP: / Symbol:							
08/21/20	2.000	635.97	08/20/20	552.00	...	83.97	Option sale to close-call ²⁵
SPY 09/11/2020 PUT \$314.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/04/20	162.00	...	-162.00	Option expiration- long put
SPY 09/25/2020 PUT \$327.00 / CUSIP: / Symbol:							
09/23/20	1.000	529.97	09/22/20	209.00	...	320.97	Option sale to close-put ²⁵
SPY 09/25/2020 PUT \$328.00 / CUSIP: / Symbol:							
09/23/20	2.000	1,161.96	09/22/20	420.00	...	741.96	Option sale to close-put ²⁵
SPY 09/28/2020 CALL \$327.00 / CUSIP: / Symbol:							
09/22/20	1.000	436.98	09/21/20	401.00	...	35.98	Option sale to close-call ²⁵
SPY 09/28/2020 PUT \$321.00 / CUSIP: / Symbol:							
09/25/20	2.000	367.98	09/24/20	360.00	...	7.98	Option sale to close-put ²⁵

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SPY 09/30/2020 CALL \$326.00 / CUSIP: / Symbol:							
09/25/20	1.000	384.98	09/25/20	282.00	...	102.98	Option sale to close-call ²⁵
SPY 09/30/2020 PUT \$327.00 / CUSIP: / Symbol:							
4 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	2.000	59.99	09/25/20	644.00	...	-584.01	1 of 4 - Option sale to close-put ²⁵
	2.000	59.99	09/25/20	564.00	...	-504.01	2 of 4 - Option sale to close-put ²⁵
	1.000	30.00	09/28/20	88.00	...	-58.00	3 of 4 - Option sale to close-put ²⁵
	1.000	30.00	09/28/20	58.00	...	-28.00	4 of 4 - Option sale to close-put ²⁵
09/29/20	6.000	179.98	Various	1,354.00	...	-1,174.02	Total of 4 transactions
SPY 10/02/2020 PUT \$325.00 / CUSIP: / Symbol:							
09/29/20	6.000	617.97	09/28/20	618.00	...	-0.03	Option sale to close-put ²⁵
SPY 10/09/2020 CALL \$337.00 / CUSIP: / Symbol:							
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	388.98	10/06/20	282.00	...	106.98	1 of 2 - Option sale to close-call ²⁵
	1.000	388.98	10/06/20	282.00	...	106.98	2 of 2 - Option sale to close-call ²⁵
10/07/20	2.000	777.96	Various	564.00	...	213.96	Total of 2 transactions
SPY 10/12/2020 PUT \$339.00 / CUSIP: / Symbol:							
3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	2.000	47.99	10/07/20	394.00	...	-346.01	1 of 3 - Option sale to close-put ²⁵
	1.000	24.00	10/07/20	199.00	...	-175.00	2 of 3 - Option sale to close-put ²⁵
	1.000	23.99	10/08/20	125.00	...	-101.01	3 of 3 - Option sale to close-put ²⁵
10/09/20	4.000	95.98	Various	718.00	...	-622.02	Total of 3 transactions
SPY 10/16/2020 CALL \$368.00 / CUSIP: / Symbol:							
08/28/20	6.000	1,241.96	08/25/20	570.00	...	671.96	Option sale to close-call ²⁵
SPY 10/26/2020 CALL \$365.00 / CUSIP: / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	9.000	0.00	10/21/20	27.00	...	-27.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/21/20	3.00	...	-3.00	2 of 2 - Option expiration- long call
10/26/20	10.000	0.00	Various	30.00	...	-30.00	Total of 2 transactions

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(continued)

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SPY 12/11/2020 PUT \$349.00 / CUSIP: / Symbol:							
12/09/20	1.000	9.98	12/04/20	29.00	...	-19.02	Option sale to close-put ²⁵
SPY 12/16/2020 PUT \$368.00 / CUSIP: / Symbol:							
3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	5.000	194.99	12/15/20	750.00	...	-555.01	1 of 3 - Option sale to close-put ²⁵
	1.000	39.00	12/15/20	134.00	...	-95.00	2 of 3 - Option sale to close-put ²⁵
	1.000	38.99	12/15/20	110.00	...	-71.01	3 of 3 - Option sale to close-put ²⁵
12/16/20	7.000	272.98	Various	994.00	...	-721.02	Total of 3 transactions
SPY 12/21/2020 CALL \$374.00 / CUSIP: / Symbol:							
12/21/20	2.000	0.00	12/18/20	54.00	...	-54.00	Option expiration- long call
SQ 08/14/2020 CALL \$190.00 / CUSIP: / Symbol:							
08/05/20	1.000	142.98	07/15/20	76.00	...	66.98	Option sale to close-call ²⁵
SQ 08/21/2020 CALL \$155.00 / CUSIP: / Symbol:							
08/17/20	1.000	95.98	08/14/20	82.00	...	13.98	Option sale to close-call ²⁵
SQ 08/28/2020 CALL \$150.00 / CUSIP: / Symbol:							
08/18/20	1.000	529.97	08/18/20	535.00	...	-5.03	Option sale to close-call ²⁵
SQ 09/11/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/10/20	1.000	0.98	09/02/20	495.00	494.02 W	0.00	Option sale to close-call ²⁵
6 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/02/20	964.02	...	-964.02	1 of 6 - Option expiration- long call
	1.000	0.00	09/03/20	206.00	...	-206.00	2 of 6 - Option expiration- long call
	1.000	0.00	09/04/20	71.00	...	-71.00	3 of 6 - Option expiration- long call
	1.000	0.00	09/04/20	61.00	...	-61.00	4 of 6 - Option expiration- long call
	1.000	0.00	09/04/20	50.00	...	-50.00	5 of 6 - Option expiration- long call
	1.000	0.00	09/09/20	9.00	...	-9.00	6 of 6 - Option expiration- long call
09/11/20	6.000	0.00	Various	1,361.02	...	-1,361.02	Total of 6 transactions
	Security total:	0.98		1,856.02	494.02 W	-1,361.02	
SQ 09/11/2020 PUT \$119.00 / CUSIP: / Symbol:							
09/04/20	1.000	205.98	09/04/20	104.00	...	101.98	Option sale to close-put ²⁵

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SQ 09/25/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/21/20	1.000	414.98	09/21/20	445.00	...	-30.02	Option sale to close-call ²⁵
SQ 09/25/2020 PUT \$148.00 / CUSIP: / Symbol:							
09/21/20	1.000	359.98	09/21/20	400.00	...	-40.02	Option sale to close-put ²⁵
SQ 10/23/2020 CALL \$182.50 / CUSIP: / Symbol:							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	193.98	10/21/20	172.00	...	21.98	1 of 2 - Option sale to close-call ²⁵
	2.000	387.98	10/21/20	300.00	...	87.98	2 of 2 - Option sale to close-call ²⁵
10/22/20	3.000	581.96	Various	472.00	...	109.96	Total of 2 transactions
TGT 08/21/2020 CALL \$139.00 / CUSIP: / Symbol:							
08/17/20	3.000	1,034.96	08/14/20	822.00	...	212.96	Option sale to close-call ²⁵
TGT 08/21/2020 PUT \$150.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/20/20	66.00	...	-66.00	1 of 2 - Option expiration- long put
	1.000	0.00	08/20/20	22.00	...	-22.00	2 of 2 - Option expiration- long put
08/21/20	4.000	0.00	Various	88.00	...	-88.00	Total of 2 transactions
UAL 08/28/2020 CALL \$35.00 / CUSIP: / Symbol:							
08/19/20	1.000	231.98	08/17/20	160.00	...	71.98	Option sale to close-call ²⁵
UBER 07/24/2020 CALL \$37.50 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/08/20	80.00	...	-80.00	1 of 2 - Option expiration- long call
	10.000	0.00	07/09/20	300.00	...	-300.00	2 of 2 - Option expiration- long call
07/24/20	12.000	0.00	Various	380.00	...	-380.00	Total of 2 transactions
UBER 07/24/2020 CALL \$42.00 / CUSIP: / Symbol:							
07/24/20	2.000	0.00	07/10/20	10.00	...	-10.00	Option expiration- long call
UPS 09/18/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/15/20	1.000	122.98	09/14/20	114.00	...	8.98	Option sale to close-call ²⁵

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UPS 09/18/2020 CALL \$167.50 / CUSIP: / Symbol:							
09/16/20	1.000	48.98	09/15/20	88.00	...	-39.02	Option sale to close-call ²⁵
VZ 09/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
08/18/20	5.000	329.98	08/17/20	280.00	...	49.98	Option sale to close-call ²⁵
WBA 09/11/2020 CALL \$43.00 / CUSIP: / Symbol:							
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	08/10/20	254.00	...	-254.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/13/20	60.00	...	-60.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/31/20	7.00	...	-7.00	3 of 3 - Option expiration- long call
09/11/20	4.000	0.00	Various	321.00	...	-321.00	Total of 3 transactions
WBA 09/11/2020 PUT \$37.50 / CUSIP: / Symbol:							
08/31/20	2.000	93.98	08/31/20	98.00	...	-4.02	Option sale to close-put ²⁵
XLE 08/21/2020 CALL \$38.50 / CUSIP: / Symbol:							
08/21/20	5.000	0.00	08/17/20	145.00	...	-145.00	Option expiration- long call
XLE 08/28/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/11/20	7.00	...	-7.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/12/20	6.00	...	-6.00	2 of 2 - Option expiration- long call
08/28/20	2.000	0.00	Various	13.00	...	-13.00	Total of 2 transactions
XLE 08/28/2020 PUT \$37.00 / CUSIP: / Symbol:							
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	50.99	08/10/20	84.00	...	-33.01	1 of 3 - Option sale to close-put ²⁵
	1.000	50.99	08/12/20	64.00	...	-13.01	2 of 3 - Option sale to close-put ²⁵
	1.000	51.00	08/18/20	47.00	...	4.00	3 of 3 - Option sale to close-put ²⁵
08/18/20	3.000	152.98	Various	195.00	...	-42.02	Total of 3 transactions
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
04/09/20	150.000	530.96	04/07/20	371.99	...	158.97	Sale ²⁵
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
03/31/20	4.000	321.36	03/30/20	320.08	...	1.28	Sale ²⁵

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ADURO BIOTECH, INC. COMMON STO CK / CUSIP: 00739L101 / Symbol:							
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	200.000	565.96	06/02/20	568.00	...	-2.04	1 of 3 - Sale ²⁵
	175.000	495.25	06/02/20	497.00	...	-1.75	2 of 3 - Sale ²⁵
	25.000	70.75	06/02/20	71.00	...	-0.25	3 of 3 - Sale ²⁵
07/15/20	400.000	1,131.96	Various	1,136.00	...	-4.04	Total of 3 transactions
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	70.000	398.98	05/21/20	420.00	...	-21.02	1 of 2 - Sale ²⁵
	30.000	170.99	05/27/20	141.90	...	29.09	2 of 2 - Sale ²⁵
06/23/20	100.000	569.97	Various	561.90	...	8.07	Total of 2 transactions
AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol:							
06/01/20	40.000	917.57	03/13/20	673.20	...	244.37	Sale ²⁵
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	150.000	835.46	07/06/20	1,080.00	...	-244.54	1 of 2 - Sale ²⁵
	40.000	222.79	07/06/20	230.00	...	-7.21	2 of 2 - Sale ²⁵
07/10/20	190.000	1,058.25	Various	1,310.00	...	-251.75	Total of 2 transactions
BARCLAYS PLC / CUSIP: 06738E204 / Symbol:							
06/16/20	20.000	123.00	03/13/20	106.00	...	17.00	Sale ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
04/23/20	15.000	1,366.46	04/21/20	1,365.00	...	1.46	Sale ²⁵
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1,000.000	674.86	06/10/20	577.95	...	96.91	1 of 2 - Sale ²⁵
	70.000	47.24	06/11/20	37.10	...	10.14	2 of 2 - Sale ²⁵
07/17/20	1,070.000	722.10	Various	615.05	...	107.05	Total of 2 transactions
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	339.000	180.74	10/27/20	179.67	...	1.07	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	661.000	352.42	10/27/20	350.33	...	2.09	2 of 2 - Sale ²⁵
11/17/20	1,000.000	533.16	Various	530.00	...	3.16	Total of 2 transactions
	Security total:	1,255.26		1,145.05	...	110.21	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	2.000	104.44	03/17/20	138.36	...	-33.92	1 of 3 - Sale ²⁵
	3.000	156.66	04/15/20	118.98	...	37.68	2 of 3 - Sale ²⁵
	2.000	104.44	04/21/20	84.00	...	20.44	3 of 3 - Sale ²⁵
04/22/20	7.000	365.54	Various	341.34	...	24.20	Total of 3 transactions
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	69.000	385.01	06/30/20	428.51	...	-43.50	1 of 4 - Sale ²⁵
	101.000	564.56	06/30/20	585.80	...	-21.24	2 of 4 - Sale ²⁵
	11.000	61.49	06/30/20	68.31	...	-6.82	3 of 4 - Sale ²⁵
	19.000	106.02	06/30/20	110.20	...	-4.18	4 of 4 - Sale ²⁵
06/30/20	200.000	1,117.08	Various	1,192.82	...	-75.74	Total of 4 transactions
06/30/20	80.000	447.18	06/30/20	480.00	32.82 W	0.00	Sale ²⁵
	Security total:	1,564.26		1,672.82	32.82 W	-75.74	
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
5 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	50.000	38.63	04/29/20	34.36	...	4.27	1 of 5 - Sale ²⁵
	100.000	77.25	04/29/20	68.71	...	8.54	2 of 5 - Sale ²⁵
	100.000	77.25	04/29/20	68.71	...	8.54	3 of 5 - Sale ²⁵
	600.000	463.49	04/29/20	412.26	...	51.23	4 of 5 - Sale ²⁵
	1,150.000	888.33	04/29/20	790.16	...	98.17	5 of 5 - Sale ²⁵
05/18/20	2,000.000	1,544.95	Various	1,374.20	...	170.75	Total of 5 transactions
CAMBRIA CANNABIS ETF / CUSIP: 132061821 / Symbol:							
04/07/20	10.000	102.54	03/25/20	100.70	...	1.84	Sale ²⁵

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(continued)

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
04/27/20	5.000	65.00	03/17/20	63.80	...	1.20	Sale ²⁵
CHIMERA INVESTMENT CORP. / CUSIP: 16934Q208 / Symbol:							
<i>6 transactions for 04/14/20. Total proceeds and cost reported to the IRS.</i>							
	16.000	120.00	04/13/20	116.64	...	3.36	1 of 6 - Sale ²⁵
	84.000	629.97	04/13/20	612.36	...	17.61	2 of 6 - Sale ²⁵
	100.000	749.97	04/13/20	729.00	...	20.97	3 of 6 - Sale ²⁵
	100.000	749.97	04/13/20	729.00	...	20.97	4 of 6 - Sale ²⁵
	100.000	749.97	04/13/20	729.00	...	20.97	5 of 6 - Sale ²⁵
	100.000	749.97	04/13/20	729.00	...	20.97	6 of 6 - Sale ²⁵
04/14/20	500.000	3,749.85	Various	3,645.00	...	104.85	Total of 6 transactions
CHINA FINANCE ONLINE CO. LIMIT ED AMERICAN DEPOSITARY SHARES / CUSIP: 169379203 / Symbol:							
<i>3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>							
	28.000	179.48	06/23/20	198.24	...	-18.76	1 of 3 - Sale ²⁵
	30.000	192.29	06/24/20	210.00	...	-17.71	2 of 3 - Sale ²⁵
	20.000	128.20	06/24/20	140.00	...	-11.80	3 of 3 - Sale ²⁵
07/01/20	78.000	499.97	Various	548.24	...	-48.27	Total of 3 transactions
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
04/06/20	1.000	255.26	04/02/20	342.07	...	-86.81	Sale ²⁵
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	243.00	03/26/20	226.50	...	16.50	1 of 2 - Sale ²⁵
	25.000	405.00	03/26/20	377.50	...	27.50	2 of 2 - Sale ²⁵
06/12/20	40.000	648.00	Various	604.00	...	44.00	Total of 2 transactions
DROPCAR, INC. COMMON STOCK / CUSIP: 26210U203 / Symbol:							
<i>9 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.24	05/13/20	0.75	...	0.49	1 of 9 - Sale ²⁵
	1.000	1.24	05/13/20	0.75	...	0.49	2 of 9 - Sale ²⁵
	4.000	4.96	05/13/20	3.00	...	1.96	3 of 9 - Sale ²⁵
	28.000	34.72	05/13/20	21.00	...	13.72	4 of 9 - Sale ²⁵

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Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DROPCAR, INC. COMMON STOCK / CUSIP: 26210U203 / Symbol: (cont'd)							
	67.000	83.07	05/13/20	50.25	...	32.82	5 of 9 - Sale ²⁵
	100.000	123.99	05/13/20	75.00	...	48.99	6 of 9 - Sale ²⁵
	132.000	163.67	05/13/20	99.00	...	64.67	7 of 9 - Sale ²⁵
	168.000	208.30	05/13/20	126.00	...	82.30	8 of 9 - Sale ²⁵
	200.000	247.98	05/13/20	150.00	...	97.98	9 of 9 - Sale ²⁵
05/26/20	701.000	869.17	Various	525.75	...	343.42	Total of 9 transactions
EVOFEM BIOSCIENCES, INC. COMMON STOCK / CUSIP: 30048L104 / Symbol:							
06/29/20	100.000	282.99	06/26/20	287.00	...	-4.01	Sale ²⁵
EXANTAS CAPITAL CORP. COMMON STOCK / CUSIP: 30068N105 / Symbol:							
04/08/20	54.000	129.59	04/07/20	119.88	...	9.71	Sale ²⁵
FORTUNA SILVER MINES INC. / CUSIP: 349915108 / Symbol:							
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	33.000	108.90	04/14/20	94.05	...	14.85	1 of 3 - Sale ²⁵
	67.000	221.08	04/14/20	190.95	...	30.13	2 of 3 - Sale ²⁵
	235.000	775.45	04/14/20	669.75	...	105.70	3 of 3 - Sale ²⁵
05/13/20	335.000	1,105.43	Various	954.75	...	150.68	Total of 3 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
04/06/20	1.000	3.04	03/13/20	3.70	...	-0.66	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	2.000	20.73	03/13/20	15.62	...	5.11	1 of 2 - Sale ²⁵
	10.000	103.60	03/13/20	78.10	...	25.50	2 of 2 - Sale ²⁵
12/02/20	12.000	124.33	Various	93.72	...	30.61	Total of 2 transactions
5 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.085	0.92	03/13/20	0.67	...	0.25	1 of 5 - Sale ²⁵
	2.915	31.25	03/13/20	22.76	...	8.49	2 of 5 - Sale ²⁵
	15.000	160.85	03/13/20	116.36	...	44.49	3 of 5 - Sale ²⁵
	0.045	0.48	07/28/20	0.30	...	0.18	4 of 5 - Sale ²⁵
	0.041	0.43	10/27/20	0.30	...	0.13	5 of 5 - Sale ²⁵
12/04/20	18.085	193.93	Various	140.39	...	53.54	Total of 5 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol: (cont'd)							
Security total:		318.26		234.11	...	84.15	
GENESIS HEALTHCARE, INC. / CUSIP: 37185X106 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	100.000	107.00	05/27/20	103.00	...	4.00	1 of 2 - Sale ²⁵
	20.000	21.40	05/29/20	17.80	...	3.60	2 of 2 - Sale ²⁵
08/07/20	120.000	128.40	Various	120.80	...	7.60	Total of 2 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
06/29/20	100.000	219.99	06/26/20	135.00	...	84.99	Sale ²⁵
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
05/28/20	120.000	75.59	05/21/20	73.07	...	2.52	Sale ²⁵
JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol:							
07/30/20	1.000	3.59	06/10/20	12.00	8.41 W	0.00	Sale ²⁵
08/31/20	49.000	188.65	08/28/20	175.39	...	13.26	Sale ²⁵
08/31/20	1.000	3.85	08/28/20	11.99	8.14 W	0.00	Sale ²⁵
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	4.09	08/31/20	12.23	...	-8.14	1 of 2 - Sale ²⁵
	49.000	200.41	08/31/20	200.41	...	0.00	2 of 2 - Sale ²⁵
09/01/20	50.000	204.50	Various	212.64	...	-8.14	Total of 2 transactions
Security total:		400.59		412.02	16.55 W	5.12	
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	13.000	208.00	06/29/20	213.07	...	-5.07	1 of 2 - Sale ²⁵
	18.000	288.00	06/29/20	289.08	...	-1.08	2 of 2 - Sale ²⁵
06/30/20	31.000	496.00	Various	502.15	...	-6.15	Total of 2 transactions
LIGHTINTHEBOX HOLDING CO., LTD . / CUSIP: 53225G102 / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	3.000	2.93	06/16/20	2.70	...	0.23	1 of 3 - Sale ²⁵
	60.000	58.58	06/16/20	54.00	...	4.58	2 of 3 - Sale ²⁵

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LIGHTINTHEBOX HOLDING CO., LTD . / CUSIP: 53225G102 / Symbol: (cont'd)							
	140.000	136.67	06/16/20	123.20	...	13.47	3 of 3 - Sale ²⁵
06/19/20	203.000	198.18	Various	179.90	...	18.28	Total of 3 transactions
06/19/20	152.000	148.40	06/16/20	150.48	2.08 W	0.00	Sale ²⁵
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	166.000	143.37	06/19/20	170.15	...	-26.78	1 of 2 - Sale ²⁵
	152.000	131.28	06/19/20	157.88	...	-26.60	2 of 2 - Sale ²⁵
07/01/20	318.000	274.65	Various	328.03	...	-53.38	Total of 2 transactions
	Security total:	621.23		658.41	2.08 W	-35.10	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	3.000	12.24	05/20/20	9.33	...	2.91	1 of 5 - Sale ²⁵
	5.000	20.40	05/20/20	15.00	...	5.40	2 of 5 - Sale ²⁵
	40.000	163.19	05/20/20	135.20	...	27.99	3 of 5 - Sale ²⁵
	3.000	12.24	05/21/20	6.60	...	5.64	4 of 5 - Sale ²⁵
	100.000	407.97	05/27/20	240.00	...	167.97	5 of 5 - Sale ²⁵
06/10/20	151.000	616.04	Various	406.13	...	209.91	Total of 5 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
4 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	7.000	11.99	04/15/20	11.76	...	0.23	1 of 4 - Sale ²⁵
	40.000	68.53	04/15/20	67.20	...	1.33	2 of 4 - Sale ²⁵
	353.000	604.77	04/15/20	593.04	...	11.73	3 of 4 - Sale ²⁵
	400.000	685.29	04/15/20	672.00	...	13.29	4 of 4 - Sale ²⁵
04/17/20	800.000	1,370.58	Various	1,344.00	...	26.58	Total of 4 transactions
04/28/20	300.000	533.94	04/24/20	456.00	...	77.94	Sale ²⁵
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	325.000	602.30	04/29/20	598.00	...	4.30	1 of 2 - Sale ²⁵
	60.000	111.19	05/08/20	97.50	...	13.69	2 of 2 - Sale ²⁵
05/27/20	385.000	713.49	Various	695.50	...	17.99	Total of 2 transactions
	Security total:	2,618.01		2,495.50	...	122.51	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
04/22/20	10.000	45.44	03/13/20	42.30	...	3.14	Sale ²⁵

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MARATHON PETROLEUM CORPORATION / CUSIP: 56585A102 / Symbol:							
05/08/20	3.000	97.08	03/13/20	77.13	...	19.95	Sale ²⁵
	3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	0.048	1.79	03/13/20	1.24	...	0.55	1 of 3 - Sale ²⁵
	2.952	108.91	03/13/20	75.89	...	33.02	2 of 3 - Sale ²⁵
	0.048	1.78	06/11/20	1.74	...	0.04	3 of 3 - Sale ²⁵
06/12/20	3.048	112.48	Various	78.87	...	33.61	Total of 3 transactions
	Security total:	209.56		156.00	...	53.56	
MCEWEN MINING, INC. / CUSIP: 58039P107 / Symbol:							
	4 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	1.04	04/14/20	1.01	...	0.03	1 of 4 - Sale ²⁵
	10.000	10.40	04/14/20	10.10	...	0.30	2 of 4 - Sale ²⁵
	89.000	92.55	04/14/20	89.89	...	2.66	3 of 4 - Sale ²⁵
	100.000	103.99	04/14/20	101.00	...	2.99	4 of 4 - Sale ²⁵
04/16/20	200.000	207.98	Various	202.00	...	5.98	Total of 4 transactions
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
	4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	100.000	233.99	05/29/20	250.00	...	-16.01	1 of 4 - Sale ²⁵
	100.000	234.99	05/29/20	250.00	...	-15.01	2 of 4 - Sale ²⁵
	100.000	234.99	05/29/20	250.00	...	-15.01	3 of 4 - Sale ²⁵
	40.000	93.59	05/29/20	98.40	...	-4.81	4 of 4 - Sale ²⁵
05/29/20	340.000	797.56	Various	848.40	...	-50.84	Total of 4 transactions
05/29/20	100.000	234.99	05/29/20	250.00	6.00 W	-9.01	Sale ²⁵
	Security total:	1,032.55		1,098.40	6.00 W	-59.85	
MYOS RENS TECHNOLOGY INC. COMM ON STOCK / CUSIP: 62857P108 / Symbol:							
	3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	5.000	18.00	07/01/20	14.50	...	3.50	1 of 3 - Sale ²⁵
	50.000	179.99	07/01/20	155.00	...	24.99	2 of 3 - Sale ²⁵
	100.000	359.97	07/01/20	330.99	...	28.98	3 of 3 - Sale ²⁵
07/01/20	155.000	557.96	Various	500.49	...	57.47	Total of 3 transactions

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Robinhood Securities LLC

Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NASDAQ, INC. COMMON STOCK / CUSIP: 631103108 / Symbol:							
03/17/20	2.000	186.76	03/13/20	184.21	...	2.55	Sale ²⁵
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	2.31	04/08/20	2.01	...	0.30	1 of 3 - Sale ²⁵
	20.000	46.24	04/08/20	40.20	...	6.04	2 of 3 - Sale ²⁵
	428.000	989.55	04/08/20	856.00	...	133.55	3 of 3 - Sale ²⁵
04/09/20	449.000	1,038.10	Various	898.21	...	139.89	Total of 3 transactions
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
03/25/20	2.000	0.86	03/24/20	0.86	...	0.00	Sale ²⁵
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	300.000	1,010.93	06/30/20	1,380.00	...	-369.07	1 of 2 - Sale ²⁵
	50.000	166.85	06/30/20	230.00	...	-63.15	2 of 2 - Sale ²⁵
07/01/20	350.000	1,177.78	Various	1,610.00	...	-432.22	Total of 2 transactions
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	200.000	79.98	06/30/20	80.00	0.02 W	0.00	1 of 4 - Sale ²⁵
	200.000	79.97	06/30/20	80.00	0.03 W	0.00	2 of 4 - Sale ²⁵
	200.000	79.98	06/30/20	80.00	0.02 W	0.00	3 of 4 - Sale ²⁵
	400.000	159.95	06/30/20	160.00	0.05 W	0.00	4 of 4 - Sale ²⁵
06/30/20	1,000.000	399.88	Various	400.00	0.12 W	0.00	Total of 4 transactions
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	400.000	183.95	07/01/20	188.05	...	-4.10	1 of 4 - Sale ²⁵
	200.000	91.98	07/01/20	94.03	...	-2.05	2 of 4 - Sale ²⁵
	200.000	91.97	07/01/20	94.02	...	-2.05	3 of 4 - Sale ²⁵
	200.000	91.98	07/01/20	94.02	...	-2.04	4 of 4 - Sale ²⁵
07/06/20	1,000.000	459.88	Various	470.12	...	-10.24	Total of 4 transactions
	Security total:	859.76		870.12	0.12 W	-10.24	

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Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PROSHARES ULTRAPRO 3X CRUDE OI L ETF / CUSIP: 74347W221 / Symbol:							
03/27/20	1,000.000	216.88	03/27/20	220.00	...	-3.12	Sale ²⁵
RAVE RESTAURANT GROUP, INC. CO MMON STOCK / CUSIP: 754198109 / Symbol:							
07/01/20	100.000	72.14	06/25/20	95.00	...	-22.86	Sale ²⁵
SIX FLAGS ENTERTAINMENT CORP. / CUSIP: 83001A102 / Symbol:							
05/21/20	20.000	466.00	03/13/20	290.60	...	175.40	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
10 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	30.000	209.69	05/18/20	253.50	...	-43.81	1 of 10 - Sale ²⁵
	8.000	55.92	05/18/20	67.04	...	-11.12	2 of 10 - Sale ²⁵
	1.000	6.99	05/18/20	7.87	...	-0.88	3 of 10 - Sale ²⁵
	70.000	489.28	05/20/20	343.00	...	146.28	4 of 10 - Sale ²⁵
	30.000	209.69	05/21/20	156.00	...	53.69	5 of 10 - Sale ²⁵
	11.000	76.89	06/03/20	44.00	...	32.89	6 of 10 - Sale ²⁵
	100.000	698.97	06/04/20	387.00	...	311.97	7 of 10 - Sale ²⁵
	80.000	559.17	06/05/20	320.00	...	239.17	8 of 10 - Sale ²⁵
	0.766	4.92	06/11/20	5.09	...	-0.17	9 of 10 - Sale ²⁵
	0.039	0.25	06/11/20	0.26	...	-0.01	10 of 10 - Sale ²⁵
06/25/20	330.805	2,311.77	Various	1,583.76	...	728.01	Total of 10 transactions
06/25/20	150.000	1,048.46	05/18/20	1,305.00	1.38 W	-255.16	Sale ²⁵
	Security total:	3,360.23		2,888.76	1.38 W	472.85	
SOTHERLY HOTELS INC. COMMON ST OCK / CUSIP: 83600C103 / Symbol:							
04/08/20	2.000	3.64	03/19/20	3.53	...	0.11	Sale ²⁵
TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol:							
18 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	16.000	3.89	04/16/20	3.76	...	0.13	1 of 18 - Sale ²⁵
	16.000	3.90	04/16/20	3.76	...	0.14	2 of 18 - Sale ²⁵
	84.000	20.47	04/16/20	19.74	...	0.73	3 of 18 - Sale ²⁵
	100.000	24.29	04/16/20	23.50	...	0.79	4 of 18 - Sale ²⁵
	100.000	24.30	04/16/20	23.50	...	0.80	5 of 18 - Sale ²⁵
	100.000	24.30	04/16/20	23.50	...	0.80	6 of 18 - Sale ²⁵

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Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol: (cont'd)							
	100.000	24.30	04/16/20	23.50	...	0.80	7 of 18 - Sale ²⁵
	100.000	24.30	04/16/20	23.50	...	0.80	8 of 18 - Sale ²⁵
	100.000	24.30	04/16/20	23.50	...	0.80	9 of 18 - Sale ²⁵
	200.000	48.59	04/16/20	47.00	...	1.59	10 of 18 - Sale ²⁵
	200.000	48.60	04/16/20	47.00	...	1.60	11 of 18 - Sale ²⁵
	300.000	72.89	04/16/20	70.50	...	2.39	12 of 18 - Sale ²⁵
	484.000	117.60	04/16/20	113.74	...	3.86	13 of 18 - Sale ²⁵
	500.000	121.49	04/16/20	117.50	...	3.99	14 of 18 - Sale ²⁵
	1,000.000	242.98	04/16/20	235.00	...	7.98	15 of 18 - Sale ²⁵
	1,200.000	291.45	04/16/20	282.00	...	9.45	16 of 18 - Sale ²⁵
	1,400.000	340.02	04/16/20	329.00	...	11.02	17 of 18 - Sale ²⁵
	4,000.000	971.50	04/16/20	940.00	...	31.50	18 of 18 - Sale ²⁵
04/23/20	10,000.000	2,429.17	Various	2,350.00	...	79.17	Total of 18 transactions
TETRA TECHNOLOGIES, INC. / CUSIP: 88162F105 / Symbol:							
06/16/20	100.000	47.72	06/09/20	66.00	...	-18.28	Sale ²⁵
TILRAY, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
05/14/20	40.000	296.00	03/25/20	209.60	...	86.40	Sale ²⁵
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	25.000	104.32	04/06/20	78.50	...	25.82	1 of 2 - Sale ²⁵
	65.000	271.24	04/06/20	204.04	...	67.20	2 of 2 - Sale ²⁵
04/07/20	90.000	375.56	Various	282.54	...	93.02	Total of 2 transactions
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	34.20	10/11/19	30.07	...	4.13	1 of 2 - Sale ²⁵
	19.000	649.78	03/16/20	380.00	...	269.78	2 of 2 - Sale ²⁵
09/01/20	20.000	683.98	Various	410.07	...	273.91	Total of 2 transactions
UNDER ARMOUR, INC. CLASS C COMMON STOCK, \$0.0003 1/3 PAR VALUE / CUSIP: 904311206 / Symbol:							
04/20/20	10.000	90.15	03/13/20	89.20	...	0.95	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	16.000	588.94	03/13/20	661.28	...	-72.34	1 of 3 - Sale ²⁵
	4.000	147.24	03/13/20	165.32	...	-18.08	2 of 3 - Sale ²⁵
	10.000	368.09	05/13/20	206.50	...	161.59	3 of 3 - Sale ²⁵
08/10/20	30.000	1,104.27	Various	1,033.10	...	71.17	Total of 3 transactions
US FOODS HOLDING CORP. / CUSIP: 912008109 / Symbol:							
05/20/20	8.000	150.01	03/16/20	116.15	...	33.86	Sale ²⁵
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	70.000	381.58	07/06/20	381.42	...	0.16	1 of 2 - Sale ²⁵
	1.000	5.45	07/06/20	5.28	...	0.17	2 of 2 - Sale ²⁵
07/07/20	71.000	387.03	Various	386.70	...	0.33	Total of 2 transactions
W&T OFFSHORE, INC. / CUSIP: 92922P106 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	500.000	1,390.00	04/23/20	1,395.00	...	-5.00	1 of 2 - Sale ²⁵
	50.000	139.00	04/27/20	118.00	...	21.00	2 of 2 - Sale ²⁵
04/29/20	550.000	1,529.00	Various	1,513.00	...	16.00	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	7.20	07/11/19	6.57	...	0.63	1 of 2 - Sale ²⁵
	2.000	7.20	08/02/19	6.36	...	0.84	2 of 2 - Sale ²⁵
06/09/20	4.000	14.40	Various	12.93	...	1.47	Total of 2 transactions
YRC WORLDWIDE, INC. COMMON STO CK / CUSIP: 984249607 / Symbol:							
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	28.000	85.40	07/01/20	104.37	...	-18.97	1 of 4 - Sale ²⁵
	42.000	128.10	07/01/20	141.87	...	-13.77	2 of 4 - Sale ²⁵
	10.000	30.50	07/01/20	36.78	...	-6.28	3 of 4 - Sale ²⁵
	20.000	60.99	07/01/20	67.00	...	-6.01	4 of 4 - Sale ²⁵
07/06/20	100.000	304.99	Various	350.02	...	-45.03	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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YRC WORLDWIDE, INC. COMMON STO CK / CUSIP: 984249607 / Symbol: (cont'd)							
07/06/20	38.000	115.90	07/01/20	128.35	12.45 W	0.00	Sale ²⁵
	Security total:	420.89		478.37	12.45 W	-45.03	
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	100.000	23.99	05/15/20	23.00	...	0.99	1 of 3 - Sale ²⁵
	400.000	95.95	05/15/20	92.00	...	3.95	2 of 3 - Sale ²⁵
	500.000	119.94	05/15/20	115.00	...	4.94	3 of 3 - Sale ²⁵
05/20/20	1,000.000	239.88	Various	230.00	...	9.88	Total of 3 transactions
8 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	100.000	24.42	06/26/20	23.00	...	1.42	1 of 8 - Sale ²⁵
	100.000	24.42	06/26/20	23.00	...	1.42	2 of 8 - Sale ²⁵
	100.000	24.42	06/26/20	23.00	...	1.42	3 of 8 - Sale ²⁵
	500.000	122.09	06/26/20	110.00	...	12.09	4 of 8 - Sale ²⁵
	900.000	219.75	06/26/20	207.00	...	12.75	5 of 8 - Sale ²⁵
	1,000.000	244.18	06/26/20	230.00	...	14.18	6 of 8 - Sale ²⁵
	1,100.000	268.59	06/26/20	253.00	...	15.59	7 of 8 - Sale ²⁵
	1,200.000	293.01	06/26/20	276.00	...	17.01	8 of 8 - Sale ²⁵
06/30/20	5,000.000	1,220.88	Various	1,145.00	...	75.88	Total of 8 transactions
	Security total:	1,460.76		1,375.00	...	85.76	
CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	39.000	20.14	06/22/20	20.67	...	-0.53	1 of 2 - Sale ²⁵
	1.000	0.52	06/22/20	0.53	...	-0.01	2 of 2 - Sale ²⁵
07/01/20	40.000	20.66	Various	21.20	...	-0.54	Total of 2 transactions
NABRIVA THERAPEUTICS PLC ORDIN ARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
06/04/20	1,000.000	770.96	06/02/20	770.00	...	0.96	Sale ²⁵
TORM PLC CLASS A COMMON STOCK / CUSIP: G89479102 / Symbol:							
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	35.000	236.56	04/22/20	360.50	...	-123.94	1 of 4 - Sale ²⁵
	1.000	6.76	04/22/20	9.90	...	-3.14	2 of 4 - Sale ²⁵

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Account 983576737

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TORM PLC CLASS A COMMON STOCK / CUSIP: G89479102 / Symbol: (cont'd)							
	14.000	94.62	05/27/20	108.71	...	-14.09	3 of 4 - Sale ²⁵
	150.000	1,013.81	06/25/20	1,020.00	...	-6.19	4 of 4 - Sale ²⁵
07/06/20	200.000	1,351.75	Various	1,499.11	...	-147.36	Total of 4 transactions
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
4 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	50.000	7.99	04/17/20	7.50	...	0.49	1 of 4 - Sale ²⁵
	300.000	47.96	04/17/20	46.50	...	1.46	2 of 4 - Sale ²⁵
	300.000	47.97	04/17/20	46.50	...	1.47	3 of 4 - Sale ²⁵
	300.000	47.97	04/17/20	46.50	...	1.47	4 of 4 - Sale ²⁵
04/23/20	950.000	151.89	Various	147.00	...	4.89	Total of 4 transactions
Totals :		132,532.02		146,548.59	9,201.88 W	-4,814.69	

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Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol: 09/14/20	0.052	0.24	04/23/20	0.00	...	0.24	Gain or loss
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol: 09/14/20	0.026	0.12	04/23/20	0.00	...	0.12	Gain or loss
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol: 09/14/20	0.013	0.37	04/23/20	1.64	...	-1.27	Gain or loss
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760194 / Symbol: 07/07/20	0.750	1.60	06/26/20	2.16	...	-0.56	Gain or loss
Totals:		2.33		3.80	...	-1.47	

Robinhood Securities LLC

Account 983576737

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CAMBRIA CANNABIS ETF	132061821		04/06/20	1.24	Nonqualified dividend	03
			04/06/20	0.57	Qualified dividend	03
				1.81	Total Dividends & distributions	
GENERAL ELECTRIC COMPANY	369604103		07/27/20	0.30	Qualified dividend	
			10/26/20	0.30	Qualified dividend	
				0.60	Total Dividends & distributions	
MARATHON PETROLEUM CORPORATION	56585A102		06/10/20	1.74	Qualified dividend	
TORM PLC CLASS A COMMON STOCK	G89479102		05/15/20	3.60	Nonqualified dividend	
				7.75	Total Dividends & distributions	

Robinhood Securities LLC

Account 983576737

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/13/20	-5.00	Margin interest paid	
		05/12/20	-5.00	Margin interest paid	
		06/11/20	-5.00	Margin interest paid	
		07/13/20	-5.00	Margin interest paid	
		08/10/20	-5.00	Margin interest paid	
		09/09/20	-5.00	Margin interest paid	
		10/09/20	-5.00	Margin interest paid	
		11/09/20	-5.00	Margin interest paid	
		12/08/20	-5.00	Margin interest paid	
			-45.00	Total Margin interest paid	
			-45.00	Total Margin interest paid	

Robinhood Securities LLC

Account 983576737

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
UK	TORM PLC CLASS A COMMON STOCK	G89479102	3.60	0.00		0.00	3.60	0.00
Total UNITED KINGDOM			3.60	0.00		0.00	3.60	0.00
Grand Total	Total Foreign Source Income*			3.60				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 983576737

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
WHITING PETROLEUM CORPORATION	966387409	09/02/20	-1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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