



January 1, 2021 - January 31, 2021

Account Number: 4538-0802
Account Type: INDIVIDUAL
Account Status: Pro Elite

Customer Update:

2020 1099 tax forms will be available by February 16, 2021.

E*TRADE Securities LLC
P.O. Box 484
Jersey City, NJ 07303-0484
1-800-ETRADE-1 (1-800-387-2331)
etrade.com Member FINRA/SIPC

E*TRADE Pro Elite
Investment Account

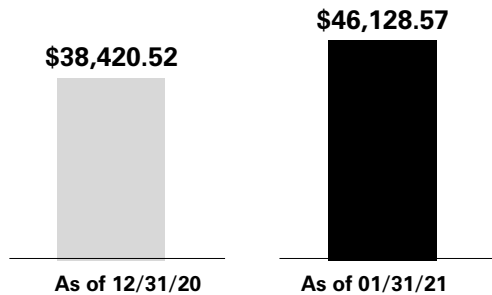
IMPORTANT INFORMATION

Resolve to grow your retirement savings in 2021.

Open an E*TRADE IRA at etrade.com.

SHASHIDHAR N POREDDY
627 LISBON ST
DALY CITY CA 94014-2707

Account At A Glance



Net Change: \$7,708.05

DETACH HERE

SHASHIDHAR N POREDDY
627 LISBON ST
DALY CITY CA 94014-2707

DETACH HERE

Use This Deposit Slip

Acct: 4538-0802

Please do not send cash

Make checks payable to E*TRADE Securities LLC

Dollars	Cents

TOTAL DEPOSIT

Mail deposits to:

E*TRADE SECURITIES LLC
P.O. Box 484
Jersey City, NJ 07303-0484

E*TRADE

013120210001 111453808029



Please refer to the E*TRADE Securities LLC ("ETS") Customer Agreement (the "Customer Agreement") at www.etrade.com/custagree for a complete discussion of the terms and conditions governing your account and the Relationship Summary at www.etrade.com/formers for information about ETS services. If you have questions regarding the Customer Agreement, your account, or positions and balances please contact us through etrade.com or call 800-ETRADE1. THE INFORMATION CONTAINED IN YOUR ACCOUNT STATEMENT SHALL BE BINDING UPON YOU IF YOU DO NOT OBJECT, EITHER IN WRITING OR VIA ELECTRONIC MAIL, WITHIN FIVE (5) DAYS AFTER THE ACCOUNT STATEMENT IS FIRST RECEIVED BY YOU.

Securities products and services are offered by ETS, Member FINRA/SIPC. Your account is carried by ETS, Member FINRA/SIPC, which maintains your funds and securities deposited with ETS directly by you or your advisor firm. Please review this statement carefully. If you disagree with any transaction, or if there are any errors or omissions, please notify us at 800-ETRADE1 within five (5) days of your receipt of this statement. Any oral statements that you have made to us should be confirmed in writing.

For E*TRADE Advisor Services clients, your advisor firm is separate from and not affiliated with ETS, and ETS is not responsible for the products, services, or recommendations provided by your advisor firm.

Applicable Rules and Regulations. All transactions in your account shall be subject to the constitution, rules, regulations, customs, and usages of the exchange or market, and its clearing house, where the transactions are executed by ETS or its agents, including ETS affiliates. Also, where applicable, the transactions shall be subject to the provisions of the Securities Act of 1933, as amended, the Securities Exchange Act of 1934, as amended, and the rules and regulations of the Securities and Exchange Commission ("SEC"), the Board of Governors of the Federal Reserve System, and any applicable self-regulatory organization. For information about FINRA's Broker Check Program, including an investor brochure, please contact FINRA at 800-289-9999 or www.finra.org.

Securities Pricing. The amounts printed in the total market value column of the Account Holdings section, or any amounts derived therefrom, are based on US month end prices and are provided by outside quotation services for the securities held by us in your account. Prices of municipal bonds, certain over-the-counter securities, and federal obligations are approximations and are only for guidance purposes. Prices used are based on the last reported transaction known to the quotation services or the yields or values that are calculated on the basis of these prices. Value of brokered CDs reflected on this statement is estimated by a third-party pricing service. Actual value may differ if you elect to sell your CD(s) in the secondary market. Bonds and/or fixed income securities trade differently than equity securities and do not trade on a liquid exchange. Rather, they trade in the OTC (over-the-counter) market and sufficient liquidity may not exist for you to sell your position prior to maturity. The sale of instruments prior to maturity may result in a loss of principal.

Interest/Dividends. We are required by law to report annually to you and to the Internal Revenue Service on Form 1099 any taxable interest, dividends, and capital gains credited to your account, as well as any taxes withheld. The year-to-date figures shown on your statement reflects these amounts classified to the best of our current knowledge based on activity. In certain circumstances, payments may be subject to reclassification, such reclassifications will be reflected to the Internal Revenue Service on your Form 1099. Your statement may not reflect all adjustments required for tax purposes, please refer to your tax documents.

SIPC and other Insurance Coverage. ETS is a member of the Securities Investor Protection Corporation ("SIPC"). SIPC currently protects the assets in each of your securities accounts at ETS up to \$500,000 (including \$250,000 for claims for cash). Visit www.sipc.org or call 202-371-8300 for more information including a brochure on SIPC protection. (Please note that money market mutual fund balances are considered securities rather than cash.) Additional protection for ETS has been secured through an independent insurer, more information about which can be found at <https://us.etrade.com/customer-service/faq>. The market risks associated with investing and any resulting losses are not covered by SIPC or the additional protection.

Payment for Order Flow. The SEC (and FINRA) requires that all broker-dealers inform their customers when a new account is opened, and on an annual basis thereafter, of payment for order flow practices (compensation received for placing orders through specialists on national securities exchanges, over-the-counter market makers, alternative trading systems, and ECN's (collectively, "market centers")). Consistent with the overriding principle of best execution, ETS routes orders to various market centers. ETS receives remuneration (generally in the form of per share cash payments or through profit sharing arrangements) for routing orders in securities to particular market centers for execution. Such remuneration is considered compensation to ETS, and the source and amount of any compensation received in connection with your transaction will be disclosed to you upon written request. ETS posts SEC Rule 606 quarterly reports that include order routing disclosures including the material aspects of the firms relationships with outside market centers at www.etrade.com. In addition, on request, ETS may provide the identity of the venue to which your orders were routed for execution in the six months prior to the request, whether the orders were directed orders or nondirected orders, and the time of the transactions, if any, that resulted from such orders. ETS regularly assesses the execution quality provided by the market centers to which we route order flow in seeking best execution for our clients. For non-directed client orders, it is our policy to route orders to market centers based on a number of factors that are more fully discussed in the Supplemental Materials of FINRA Rule 5310, including where applicable, but not necessarily limited to, speed of execution, price improvement opportunities, differences in price dis-improvement, likelihood of executions, the marketability of the order, size guarantees, service levels and support, the reliability of order handling systems, customer needs and expectations, transaction costs and whether the firm will receive remuneration for routing order flow to such market centers. Price improvement is available under certain market conditions and for certain order types and we regularly monitor executions to test for such improvement if available.

Margin Accounts. The amount of margin required will be the greater of the (1) amount required by applicable laws, regulations, rules of applicable self-regulatory organizations and clearinghouses, or (2) amount required by ETS in its sole discretion. You will be charged interest on a daily basis on all debit balances that you owe to ETS and on credit extended to you by ETS for the purpose of purchasing, carrying, or trading in securities or otherwise. Interest is calculated on a 360-day basis using settlement date balances. Except as otherwise agreed by you and ETS, the applicable interest rate for margin loans will be determined by adding the prevailing base rate and the applicable sliding scale percentage rate, which is in turn determined by your average daily debit balance. Your stated interest rate is subject to change without notice during each period in accordance with fluctuations in your average daily debit balance and changes to the base rate that are attributable to a change in the Federal Funds rate. ETS will provide you with at least 30 days' prior written notice before changing your stated interest rate for any other reason. Information about ETS's base rate is available upon written request to ETS. For more information on how ETS calculates interest, please see the Customer Agreement. If you have a margin account, this statement is a combined statement for both your margin account and special memorandum account. The permanent record of the separate account as required by Regulation T of the Federal Reserve Board is available for your inspection.

Free Credit Balances. Any cash balances in your securities account, which represent an obligation of ETS, are payable to you upon demand and referred to as free credit balances. Your free credit cash balances: 1) can be maintained in the securities account and will earn interest through the "Cash Balance Program" as more fully described at: <https://us.etrade.com/options-uninvested-cash>, and 2) as such are held unsegregated and may be used by ETS in the conduct of its business, subject to the limitations of Rule 15c3-3 under the Securities Exchange Act of 1934. Your free credit cash balances can alternatively be directed to other cash balance options. For E*TRADE Advisor Services clients your uninvested cash will be automatically swept into the Advisor Sweep Deposit Account Program ("ASDA Program"). For current rates and other information, speak to your investment advisor or call 866-789-0755. We will provide you with a copy of the ASDA Program Customer Agreement which can also be found at <http://www.etrade.com/easconnectsweepagree> or requested by calling 866-789-0755.

Other Cash Balance Option. In addition to the Cash Balance Program you may have the option to have free credit balances in your securities account automatically transferred to a bank sweep product, which is an account at a bank (or banks, collectively, "Program Banks") whose deposits are insured by the FDIC, but which are not obligations of ETS. Accounts opened prior to May 10, 2018 may also be eligible to have their free credit balances transferred to certain money market mutual funds. For information about the products available for free credit balances go to www.etrade.com/sweepoptions ("Sweep Program"). The products available under the Sweep Program may change at any time. Notification of changes will be provided to the extent required by applicable law. Additionally, you may at any time change your selection among the products available in the Sweep Program. You may elect, subject to any limitation set forth in any Sweep Program agreement or, with respect to an account at a bank, under federal banking laws (which includes, without limitation, program banks' potential requirement of seven days' notice before permitting a withdrawal or transfer of funds from such account) that the balance in the bank deposit account be returned, or shares of the money market mutual fund in which you have a beneficial interest be liquidated and the proceeds returned, as applicable, to the securities account or remitted to you. With respect to your decision to participate in a bank sweep product, please remember you are responsible for monitoring the cash balance of your bank sweep accounts deposited with the Program Banks to determine whether you have total deposit balances held in the same capacity at any Program Bank in excess of the \$250,000 FDIC deposit insurance limit.

Options Trading. If you are approved for options trading, you are responsible for advising ETS of any material changes in your investment objectives or financial situation. Additionally, further information regarding commissions and other charges related to the execution of option transactions has been included in the confirmations of such transactions previously provided to you. Such information will also be made available promptly upon request.

Random Allocation of Options Assignment Notices. Assignment notices for short option contracts are allocated among customer short option positions in accordance with a random allocation method. A detailed description of ETS's random allocation method is available at etrade.com and a hard copy of the allocation procedures is available upon request.

Financial Statement. A financial statement of ETS is available for your inspection at its offices or at etrade.com or will be mailed to you upon your written request.

Valuation of Certain Alternative Investments (including DPP and REIT securities). Account statements for Individual Retirement Accounts may include valuations for alternative investments. The values of such investments are estimated and reflect either the most recent valuation provided to ETS by the issuer of the investment, or a valuation provided by an independent third party, which ETS will obtain as part of its services, on an annual or more frequent basis. ETS does not provide a guarantee of the value or the appropriateness of the appraisal methodology applied by the independent third party in providing a value and ETS assumes no responsibility for verifying the accuracy of any valuation presented. Failure of the issuer to provide a timely valuation is your sole responsibility. The investment may reflect no value if a valuation was unavailable or is inaccurate. Investment in non-publicly traded securities, which includes alternative investments, often involves higher risk and less liquidity than other investments. Because there is generally no secondary market for alternative investments, the values reported to you should not be relied upon as any indication of market value. You may be able to sell your interests in the alternative investments held in your account, if at all, only for amounts that are substantially less than their purchase price or the estimated values on your account statements. If your statement reflects a distribution that included a return of capital on Direct Participation Programs and/or REITs, please note that said distributions are reported and a net investment per share estimated value is also reported. Pricing and distribution information has been provided by the sponsor, issuer or other external party responsible for reporting of the DPP or REIT and the classification of distributions as income or return of capital, in whole or in part, is subject to final accounting by such party(ies) and will be reported to you on a Form 1099 or K-1, as applicable.

In case of errors or questions about your Electronic Fund Transfers please contact us at 800-ETRADE-1 immediately or in writing at E*TRADE Securities LLC, PO Box 484, Jersey City, NJ 07303-0484 or by visiting etrade.com, if you think your statement or receipt is wrong or if you need more information about a transfer on the statement or receipt. The information contained in your account statement shall be binding upon you if you do not object within sixty (60) days for any transfer of funds subject to Regulation E, such as ATM and point-of-sale transfers, debit transactions, direct deposits, and withdrawals. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

- (1) Tell us your name and account number.
- (2) Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- (3) Tell us the dollar amount of the suspected error.

We will investigate your complaint and will correct any error promptly. If we take more than 10 business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes us to complete our investigation.

ETS is an indirect subsidiary of E*TRADE Financial Corporation. If you have a complaint, please call 800-ETRADE1, or write to: E*TRADE Securities LLC, P.O. Box 484, Jersey City, NJ 07303-0484.

Definitions:
Activity/Trade Date. Trade date or transaction date of other entries.
Total Portfolio Percent. Percentage of your holding by issue of security.
DIV/CPN% Yield. Annual dividend or bond % yield.
Open Orders. Buy or sell orders for securities that have not yet been executed or canceled.
Symbol/CUSIP. The symbol or identification number for each security.
*** Denotes a security where either the country of issue or country of incorporation of the issuer is outside the US.

Pending and Unsettled Transactions. Based on the timing of statement generation, the value of certain unsettled trades and/or pending transactions (e.g., transactions that take place or settle after the last business day of the month) may not be reflected on your statement. Please e-mail us through etrade.com or call 800-ETRADE1 with any questions.



Account Number: 4538-0802

Statement Period : January 1, 2021 - January 31, 2021

Account Type: INDIVIDUAL

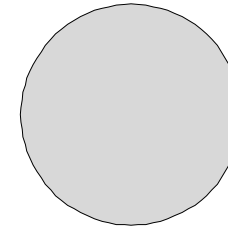
Customer Update:

 Visit the E*TRADE Tax Center to access tax forms (when available), plus tips and tools to help with your tax preparation. Bookmark etrade.com/tax today.

ACCOUNT OVERVIEW

Last Statement Date: December 31, 2020

 Beginning Account Value (On 12/31/20): \$ 38,420.52
 Ending Account Value (On 01/31/21): \$ 46,128.57
 Net Change: \$ 7,708.05

 For current rates, please visit etrade.com/rates
ASSET ALLOCATION (AS OF 01/31/21)


100.00% - Stocks, Options & ETF (Long)

ACCOUNT VALUE SUMMARY

	AS OF 01/31/21	AS OF 12/31/20	% CHANGE
Margin Balance	\$ 39,309.17	\$ 5,575.42	605.04%
Total Cash/Margin Debt	\$ 39,309.17	\$ 5,575.42	605.04%
Stocks, Options & ETF (Long)	\$ 6,819.40	\$ 99,089.85	-93.12%
Stocks, Options & ETF (Short)	\$ 0.00	\$ -66,244.75	100.00%
Total Value of Securities	\$ 6,819.40	\$ 32,845.10	-79.24%
Net Account Value	\$ 46,128.57	\$ 38,420.52	20.06%

Securities products and services are offered by E*TRADE Securities LLC, Member FINRA/SIPC. Sweep Deposit Account is a bank deposit account with E*TRADE Bank, a Federal savings bank, Member FDIC. Sweep deposit accounts at each bank are FDIC-insured up to a maximum of \$250,000. Securities products and cash balances other than Sweep Deposit Account funds are not FDIC-insured, are not guaranteed deposits or obligations of E*TRADE Bank, and are subject to investment risk, including possible loss of the principal invested.

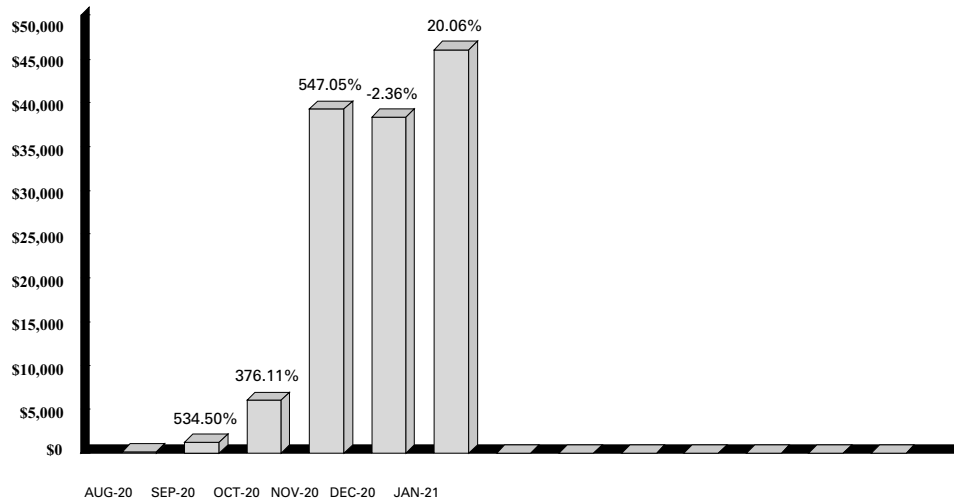


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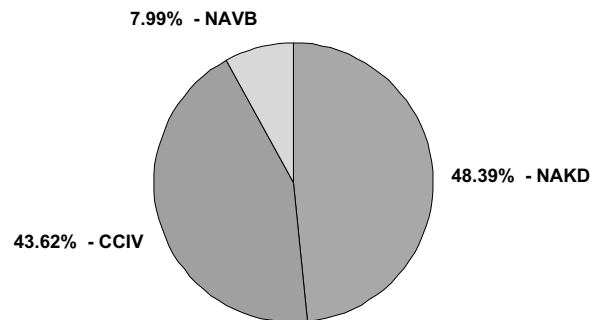
NET ACCOUNT VALUE BY MONTH END



ACCOUNT TRANSACTION SUMMARY

DESCRIPTION	THIS PERIOD	YEAR TO DATE
Securities Purchased	\$ -980,338.73	\$ -980,338.73
Securities Sold	\$ 1,012,747.33	\$ 1,012,747.33
<u>Interest Received</u>		
Taxable	\$ 0.10	\$ 0.10
Margin Interest	\$ -171.56	\$ -171.56

TOP 10 ACCOUNT HOLDINGS (AS OF 01/31/21)





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ACCOUNT HOLDINGS
CASH & CASH EQUIVALENTS (0.00% of Holdings)

DESCRIPTION	PORTFOLIO %	AMOUNT
TOTAL CASH & CASH EQUIVALENTS YTD INTEREST (CREDIT INTEREST ONLY)		\$0.10

STOCKS, OPTIONS & EXCHANGE-TRADED FUNDS (100.00% of Holdings)

DESCRIPTION	SYMBOL/ CUSIP	ACCT TYPE	QUANTITY	PRICE	TOTAL MKT VALUE	PORTFOLIO (%)	EST. ANNUAL INCOME	EST. ANNUAL YIELD (%)
***ALIBABA GROUP HOLDING LTD SPONSORED ADR	BABA	Margin		253.8300	0.00	0.00		
***ALIBABA GROUP HOLDING LTD SPONSORED ADR	BABA	Margin		253.8300	0.00	0.00		
CHURCHILL CAPITAL CORP IV CLASS A COMMON STOCK	CCIV	Margin	130	22.8800	2,974.40	43.62		
FEDEX CORP	FDX	Margin		235.3400	0.00	0.00		
FEDEX CORP	FDX	Margin		235.3400	0.00	0.00		
***NAKED BRAND GROUP LIMITED ORDINARY SHARES	NAKD	Margin	2,000	1.6500	3,300.00	48.39		
NAVIDEA BIOPHARMACEUTICALS INC COMMON STOCK	NAVB	Margin	250	2.1800	545.00	7.99		
NTN BUZZTIME INC COM	NTN	Margin		3.9000	0.00	0.00		
TOTAL STOCKS, OPTIONS & ETF					\$6,819.40	100.00%		
TOTAL PRICED PORTFOLIO HOLDINGS (ON 01/31/21)					\$46,128.57			



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TRANSACTION HISTORY
SECURITIES PURCHASED OR SOLD

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
12/30/20 11:53	01/04/21	FEDEX CORP	FDX	Sold	-88	259.1000		22,800.28
12/30/20 12:04	01/04/21	FEDEX CORP	FDX	Sold	-162	259.0088		41,958.48
12/30/20 11:46	01/04/21	FEDEX CORP COVER SHORT.	FDX	Bought	170	259.4650	44,109.05	
01/04/21 12:18	01/05/21	CALL MRNA 01/08/21 109 MODERNA INC OPEN CONTRACT		Bought	1	5.3900	539.51	
01/04/21 13:23	01/05/21	PUT MRNA 01/08/21 109 MODERNA INC OPEN CONTRACT		Bought	1	3.7600	376.51	
01/04/21 12:11	01/06/21	DIREXION SHARES ETF TRUST DIREXION DAILY AEROSPACE & DEFENSE BULL 3X SHARES PROSPECTUS ON INITIAL PURCHASE	DFEN	Bought	50	14.7450	737.25	
01/05/21 10:29	01/06/21	PUT MRNA 01/08/21 109 MODERNA INC CLOSING CONTRACT		Sold	-1	4.2500		424.48
01/04/21 10:49	01/06/21	***ALIBABA GROUP HOLDING LTD SPONSORED ADR COVER SHORT.	BABA	Bought	95	225.8100	21,451.95	
01/06/21 13:43	01/07/21	CALL MRNA 01/08/21 109 MODERNA INC CLOSING CONTRACT		Sold	-1	8.1100		810.47
01/06/21 15:29	01/07/21	CALL BABA 01/20/23 220 ALIBABA GROUP HOLDING LIMITED OPEN CONTRACT		Bought	1	62.1500	6,215.51	
01/05/21 10:34	01/07/21	***PINDUODUO INC AMERICAN DEPOSITARY SHARES COVER SHORT.	PDD	Bought	150	183.1500	27,472.50	
01/05/21 10:31	01/07/21	***PINDUODUO INC AMERICAN DEPOSITARY SHARES SHORT.	PDD	Sold	-150	183.7000		27,554.37



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/06/21 14:37	01/08/21	DIREXION SHARES ETF TRUST DIREXION DAILY AEROSPACE & DEFENSE BULL 3X SHARES	DFEN	Sold	-50	16.3300		816.47
01/06/21 09:40	01/08/21	***TAT TECHNOLOGIES LTD ORD	TATT	Bought	200	7.7000	1,540.00	
01/06/21 09:49	01/08/21	***TAT TECHNOLOGIES LTD ORD	TATT	Sold	-200	7.4800		1,495.94
01/07/21 14:05	01/08/21	CALL PFE 07/16/21 37 PFIZER INC OPEN CONTRACT		Bought	1	2.7500	275.51	
01/07/21 10:06	01/08/21	PUT BABA 01/22/21 222.50 ALIBABA GROUP HOLDING LIMITED OPEN CONTRACT		Bought	1	8.8400	884.51	
01/07/21 11:19	01/08/21	PUT BABA 01/22/21 222.50 ALIBABA GROUP HOLDING LIMITED CLOSING CONTRACT		Sold	-1	9.3500		934.46
01/07/21 09:59	01/08/21	CALL BABA 01/22/21 215 ALIBABA GROUP HOLDING LIMITED OPEN CONTRACT		Bought	1	13.8600	1,386.51	
01/07/21 12:50	01/08/21	CALL BABA 01/22/21 215 ALIBABA GROUP HOLDING LIMITED CLOSING CONTRACT		Sold	-1	15.9500		1,594.45
01/07/21 15:45	01/08/21	CALL MRNA 01/29/21 115 MODERNA INC OPEN CONTRACT		Bought	1	8.8500	885.51	
01/07/21 14:05	01/11/21	PFIZER INC	PFE	Bought	100	36.9100	3,691.00	
01/08/21 10:09	01/11/21	PUT PLUG 01/15/21 55 PLUG POWER INC OPEN CONTRACT		Bought	1	4.9100	491.51	
01/08/21 10:29	01/11/21	PUT PLUG 01/15/21 55 PLUG POWER INC CLOSING CONTRACT		Sold	-1	5.5000		549.47
01/08/21 14:44	01/11/21	CALL TSLA 01/08/21 850 TESLA INC OPEN CONTRACT		Bought	1	11.8500	1,185.51	



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/08/21 14:47	01/11/21	CALL TSLA 01/08/21 850 TESLA INC CLOSING CONTRACT		Sold	-1	15.1600		1,515.45
01/08/21 11:09	01/11/21	CALL BABA 01/20/23 220 ALIBABA GROUP HOLDING LIMITED CLOSING CONTRACT		Sold	-1	65.7000		6,569.34
01/08/21 14:18	01/11/21	CALL MRNA 01/29/21 115 MODERNA INC OPEN CONTRACT		Bought	1	7.5000	750.51	
01/08/21 11:14	01/12/21	***ALIBABA GROUP HOLDING LTD SPONSORED ADR	BABA	Bought	4	236.3781	945.51	
01/08/21 11:13	01/12/21	FACEBOOK INC CL A	FB	Bought	15	264.8150	3,972.23	
01/08/21 09:48	01/12/21	PFIZER INC	PFE	Sold	-100	37.3050		3,730.40
01/11/21 09:30	01/12/21	CALL MESO 01/15/21 12.50 MESOBLAST LIMITED SPNSRD ADR OPEN CONTRACT		Bought	3	1.3000	391.54	
01/11/21 09:33	01/12/21	CALL MESO 01/15/21 12.50 MESOBLAST LIMITED SPNSRD ADR CLOSING CONTRACT		Sold	-3	1.6500		493.43
01/11/21 14:19	01/12/21	CALL TSLA 01/15/21 820 TESLA INC OPEN CONTRACT		Bought	1	31.3500	3,135.51	
01/11/21 14:48	01/12/21	CALL TSLA 01/15/21 820 TESLA INC CLOSING CONTRACT		Sold	-1	35.1500		3,514.41
01/11/21 10:27	01/12/21	CALL TSLA 01/15/21 840 TESLA INC OPEN CONTRACT		Bought	1	37.7800	3,778.51	
01/11/21 10:13	01/12/21	CALL TSLA 01/15/21 840 TESLA INC OPEN CONTRACT		Bought	1	36.8100	3,681.51	
01/11/21 10:17	01/12/21	CALL TSLA 01/15/21 840 TESLA INC CLOSING CONTRACT		Sold	-1	39.9800		3,997.40
01/11/21 10:28	01/12/21	CALL TSLA 01/15/21 840 TESLA INC CLOSING CONTRACT		Sold	-1	40.2600		4,025.40



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/11/21 10:54	01/12/21	CALL RIOT 01/29/21 21.50 RIOT BLOCKCHAIN INC OPEN CONTRACT		Bought	2	4.6500	931.03	
01/11/21 12:36	01/12/21	CALL RIOT 01/29/21 21.50 RIOT BLOCKCHAIN INC CLOSING CONTRACT		Sold	-2	5.2100		1,040.94
01/11/21 09:52	01/12/21	CALL MRNA 01/29/21 115 MODERNA INC CLOSING CONTRACT		Sold	-2	9.8900		1,976.92
01/11/21 09:59	01/12/21	PUT NIO 01/15/21 63 NIO INC ADS OPEN CONTRACT		Bought	2	3.7000	741.03	
01/11/21 09:55	01/12/21	CALL NIO 01/15/21 64 NIO INC ADS OPEN CONTRACT		Bought	2	4.3900	879.03	
01/11/21 09:36	01/12/21	PUT NIO 01/15/21 66 NIO INC ADS OPEN CONTRACT		Bought	1	5.4000	540.51	
01/11/21 09:38	01/12/21	PUT NIO 01/15/21 66 NIO INC ADS CLOSING CONTRACT		Sold	-1	5.6400		563.47
01/11/21 15:49	01/12/21	PUT TSLA 01/15/21 815 TESLA INC OPEN CONTRACT		Bought	1	30.0300	3,003.51	
01/11/21 13:40	01/12/21	CALL TSLA 01/15/21 835 TESLA INC OPEN CONTRACT		Bought	1	27.2500	2,725.51	
01/11/21 12:38	01/12/21	CALL TSLA 01/15/21 835 TESLA INC OPEN CONTRACT		Bought	1	32.1700	3,217.51	
01/08/21 09:41	01/12/21	PLUG POWER INC COVER SHORT.	PLUG	Bought	100	49.6000	4,960.00	
01/08/21 09:20	01/12/21	PLUG POWER INC SHORT.	PLUG	Sold	-100	51.8300		5,182.87
01/11/21 15:45	01/13/21	***ALIBABA GROUP HOLDING LTD SPONSORED ADR	BABA	Bought	25	226.1544	5,653.86	
01/12/21 12:03	01/13/21	CALL PFE 03/19/21 36 PFIZER INC OPEN CONTRACT		Bought	4	2.2400	898.06	
01/12/21 12:03	01/13/21	CALL PFE 03/19/21 36 PFIZER INC OPEN CONTRACT		Bought	6	2.2500	1,353.08	



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TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/12/21 14:23	01/13/21	CALL TSLA 01/15/21 TESLA INC OPEN CONTRACT	850	Bought	1	24.2000	2,420.51	
01/12/21 12:25	01/13/21	CALL TSLA 01/15/21 TESLA INC OPEN CONTRACT	850	Bought	1	27.8000	2,780.51	
01/12/21 14:21	01/13/21	CALL TSLA 01/15/21 TESLA INC OPEN CONTRACT	850	Bought	1	26.3000	2,630.51	
01/12/21 10:00	01/13/21	CALL TSLA 01/15/21 TESLA INC OPEN CONTRACT	850	Bought	1	26.7500	2,675.51	
01/12/21 10:01	01/13/21	CALL TSLA 01/15/21 TESLA INC CLOSING CONTRACT	850	Sold	-1	25.7500		2,574.43
01/12/21 12:30	01/13/21	CALL TSLA 01/15/21 TESLA INC CLOSING CONTRACT	850	Sold	-1	29.3500		2,934.42
01/12/21 14:34	01/13/21	CALL TSLA 01/15/21 TESLA INC CLOSING CONTRACT	850	Sold	-2	26.5500		5,308.85
01/12/21 10:19	01/13/21	PUT TSLA 01/15/21 TESLA INC OPEN CONTRACT	870	Bought	1	34.1600	3,416.51	
01/12/21 10:22	01/13/21	PUT TSLA 01/15/21 TESLA INC CLOSING CONTRACT	870	Sold	-1	34.7200		3,471.41
01/12/21 13:29	01/13/21	CALL TSLA 01/15/21 TESLA INC OPEN CONTRACT	855	Bought	1	26.5900	2,659.51	
01/12/21 13:33	01/13/21	CALL TSLA 01/15/21 TESLA INC CLOSING CONTRACT	855	Sold	-1	26.3000		2,629.43
01/12/21 10:04	01/13/21	PUT TSLA 01/15/21 TESLA INC OPEN CONTRACT	855	Bought	1	30.4600	3,046.51	
01/12/21 11:22	01/13/21	PUT TSLA 01/15/21 TESLA INC CLOSING CONTRACT	855	Sold	-1	22.5300		2,252.44
01/12/21 10:30	01/13/21	PUT TSLA 01/15/21 TESLA INC OPEN CONTRACT	865	Bought	2	29.8000	5,961.03	



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TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/12/21 11:28	01/13/21	PUT TSLA 01/15/21 TESLA INC OPEN CONTRACT	865	Bought	1	27.3700	2,737.51	
01/12/21 11:29	01/13/21	PUT TSLA 01/15/21 TESLA INC CLOSING CONTRACT	865	Sold	-1	27.3900		2,738.42
01/12/21 10:36	01/13/21	PUT TSLA 01/15/21 TESLA INC CLOSING CONTRACT	865	Sold	-1	29.4800		2,947.42
01/12/21 10:36	01/13/21	PUT TSLA 01/15/21 TESLA INC CLOSING CONTRACT	865	Sold	-1	29.4800		2,947.42
01/12/21 09:52	01/13/21	PUT NIO 01/15/21 NIO INC ADS CLOSING CONTRACT	63	Sold	-2	3.9000		778.95
01/12/21 11:02	01/13/21	CALL NIO 01/15/21 NIO INC ADS CLOSING CONTRACT	64	Sold	-2	1.8100		360.96
01/12/21 11:34	01/13/21	PUT TSLA 01/15/21 TESLA INC CLOSING CONTRACT	815	Sold	-1	8.5000		849.47
01/12/21 09:53	01/13/21	CALL TSLA 01/15/21 TESLA INC CLOSING CONTRACT	835	Sold	-2	30.7000		6,138.83
01/13/21 11:22	01/14/21	CALL PFE 03/19/21 PFIZER INC OPEN CONTRACT	36	Bought	1	1.9100	191.51	
01/13/21 12:55	01/14/21	CALL PFE 07/16/21 PFIZER INC OPEN CONTRACT	37	Bought	2	2.5000	501.03	
01/13/21 13:55	01/14/21	CALL TSLA 01/29/21 TESLA INC OPEN CONTRACT	840	Bought	1	61.5500	6,155.51	
01/13/21 14:20	01/14/21	CALL TSLA 01/29/21 TESLA INC CLOSING CONTRACT	840	Sold	-1	67.6000		6,759.34
01/13/21 12:18	01/14/21	CALL GME 01/15/21 GAMESTOP CORP OPEN CONTRACT	31	Bought	3	3.4500	1,036.54	
01/13/21 12:34	01/14/21	CALL GME 01/15/21 GAMESTOP CORP CLOSING CONTRACT	31	Sold	-3	3.5500		1,063.42



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/13/21 11:55	01/14/21	CALL GME 01/15/21 32 GAMESTOP CORP OPEN CONTRACT		Bought	1	4.5700	457.51	
01/13/21 12:12	01/14/21	CALL GME 01/15/21 32 GAMESTOP CORP CLOSING CONTRACT		Sold	-1	4.7000		469.47
01/13/21 11:39	01/14/21	CALL GME 01/15/21 34 GAMESTOP CORP OPEN CONTRACT		Bought	4	7.2200	2,890.06	
01/13/21 11:43	01/14/21	CALL GME 01/15/21 34 GAMESTOP CORP CLOSING CONTRACT		Sold	-4	7.8000		3,117.86
01/13/21 14:31	01/14/21	CALL BABA 02/19/21 235 ALIBABA GROUP HOLDING LIMITED OPEN CONTRACT		Bought	1	15.3000	1,530.51	
01/13/21 14:22	01/14/21	CALL BABA 02/19/21 235 ALIBABA GROUP HOLDING LIMITED OPEN CONTRACT		Bought	1	15.5000	1,550.51	
01/13/21 14:19	01/15/21	***ALIBABA GROUP HOLDING LTD SPONSORED ADR	BABA	Sold	-174	238.5000		41,498.06
01/13/21 12:41	01/15/21	GAMESTOP CORP CLASS A	GME	Bought	150	32.3152	4,847.28	
01/13/21 11:25	01/15/21	GAMESTOP CORP CLASS A	GME	Bought	200	31.8000	6,360.00	
01/13/21 12:44	01/15/21	GAMESTOP CORP CLASS A	GME	Sold	-150	32.2500		4,837.37
01/13/21 11:26	01/15/21	GAMESTOP CORP CLASS A	GME	Sold	-200	31.8500		6,369.83
01/13/21 11:16	01/15/21	PLUG POWER INC	PLUG	Bought	100	70.6500	7,065.00	
01/13/21 11:21	01/15/21	PLUG POWER INC	PLUG	Sold	-100	70.1100		7,010.83
01/14/21 09:53	01/15/21	CALL CCIV 03/19/21 17.50 CHURCHILL CAPITAL CORP IV CL A OPEN CONTRACT		Bought	1	4.4500	445.51	
01/14/21 11:35	01/15/21	CALL CCIV 03/19/21 17.50 CHURCHILL CAPITAL CORP IV CL A CLOSING CONTRACT		Sold	-1	4.9000		489.47



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/14/21 09:55	01/15/21	CALL CCIV 05/21/21 15 CHURCHILL CAPITAL CORP IV CL A OPEN CONTRACT		Bought	1	5.7000	570.51	
01/14/21 12:20	01/15/21	CALL GME 01/15/21 42 GAMESTOP CORP OPEN CONTRACT		Bought	1	5.1400	514.51	
01/14/21 12:19	01/15/21	CALL GME 01/15/21 42 GAMESTOP CORP OPEN CONTRACT		Bought	1	6.3000	630.51	
01/14/21 12:20	01/15/21	CALL GME 01/15/21 42 GAMESTOP CORP OPEN CONTRACT		Bought	1	5.1400	514.51	
01/14/21 12:22	01/15/21	CALL GME 01/15/21 42 GAMESTOP CORP CLOSING CONTRACT		Sold	-3	5.6000		1,678.41
01/14/21 09:31	01/15/21	CALL BABA 02/19/21 235 ALIBABA GROUP HOLDING LIMITED CLOSING CONTRACT		Sold	-2	18.6300		3,724.88
01/13/21 11:54	01/15/21	GAMESTOP CORP CLASS A COVER SHORT.	GME	Bought	200	33.5000	6,700.00	
01/13/21 11:30	01/15/21	GAMESTOP CORP CLASS A SHORT.	GME	Sold	-200	33.4500		6,689.83
01/14/21 12:13	01/19/21	FACEBOOK INC CL A	FB	Bought	10	248.0825	2,480.83	
01/14/21 12:31	01/19/21	GILEAD SCIENCES INC	GILD	Bought	238	62.7857	14,943.00	
01/15/21 12:14	01/19/21	CALL TSLA 01/15/21 850 TESLA INC OPEN CONTRACT		Bought	3	2.2800	685.54	
01/15/21 12:16	01/19/21	CALL TSLA 01/15/21 850 TESLA INC CLOSING CONTRACT		Sold	-3	2.4000		718.43
01/15/21 11:58	01/19/21	CALL TSLA 01/15/21 840 TESLA INC OPEN CONTRACT		Bought	2	5.7500	1,151.03	
01/15/21 12:06	01/19/21	CALL TSLA 01/15/21 840 TESLA INC CLOSING CONTRACT		Sold	-2	6.3500		1,268.94



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TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/15/21 11:23	01/19/21	CALL RIOT 01/15/21 25 RIOT BLOCKCHAIN INC OPEN CONTRACT		Bought	4	0.7600	306.06	
01/15/21 11:23	01/19/21	CALL RIOT 01/15/21 25 RIOT BLOCKCHAIN INC OPEN CONTRACT		Bought	4	0.7600	306.06	
01/15/21 11:34	01/19/21	CALL RIOT 01/15/21 25 RIOT BLOCKCHAIN INC CLOSING CONTRACT		Sold	-8	0.8400		667.85
01/15/21 09:48	01/19/21	CALL MRNA 01/15/21 131 MODERNA INC OPEN CONTRACT		Bought	1	1.9800	198.51	
01/15/21 09:54	01/19/21	CALL MRNA 01/15/21 131 MODERNA INC CLOSING CONTRACT		Sold	-1	2.4000		239.48
01/15/21 13:32	01/19/21	CALL TSLA 01/15/21 865 TESLA INC OPEN CONTRACT		Bought	10	0.3300	335.14	
01/15/21 14:24	01/19/21	CALL TSLA 01/15/21 865 TESLA INC CLOSING CONTRACT		Sold	-10	0.1500		144.83
01/15/21 11:06	01/19/21	CALL TSLA 01/15/21 835 TESLA INC OPEN CONTRACT		Bought	1	9.5300	953.51	
01/15/21 11:15	01/19/21	CALL TSLA 01/15/21 835 TESLA INC CLOSING CONTRACT		Sold	-1	10.2000		1,019.46
01/14/21 12:32	01/19/21	GILEAD SCIENCES INC SHORT.	GILD	Sold	-105	62.7700		6,590.69
01/14/21 12:32	01/19/21	GILEAD SCIENCES INC SHORT.	GILD	Sold	-110	62.7750		6,905.08
01/15/21 08:13	01/20/21	***DBV TECHNOLOGIES S A AMERICAN DEPOSITARY SHARES	DBVT	Bought	500	5.8300	2,915.00	
01/15/21 09:04	01/20/21	***DBV TECHNOLOGIES S A AMERICAN DEPOSITARY SHARES	DBVT	Bought	500	5.4400	2,720.00	
01/15/21 09:33	01/20/21	***DBV TECHNOLOGIES S A AMERICAN DEPOSITARY SHARES	DBVT	Sold	-1,000	5.4600		5,459.75
01/15/21 12:26	01/20/21	GILEAD SCIENCES INC	GILD	Sold	-2	63.3850		126.76



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/19/21 14:45	01/20/21	CALL PFE 03/19/21 36 PFIZER INC OPEN CONTRACT		Bought	5	1.6900	847.57	
01/19/21 12:58	01/20/21	CALL ACRS 05/21/21 12.50 ACLARIS THERAPEUTICS INC OPEN CONTRACT		Bought	1	6.9300	693.51	
01/19/21 13:25	01/20/21	CALL ACRS 05/21/21 12.50 ACLARIS THERAPEUTICS INC CLOSING CONTRACT		Sold	-1	7.2000		719.47
01/19/21 10:59	01/20/21	CALL MRNA 01/22/21 122 MODERNA INC OPEN CONTRACT		Bought	1	4.3900	439.51	
01/19/21 11:18	01/20/21	CALL MRNA 01/22/21 122 MODERNA INC CLOSING CONTRACT		Sold	-1	4.8500		484.47
01/19/21 10:01	01/20/21	PUT ROKU 01/22/21 440 ROKU INC OPEN CONTRACT		Bought	1	15.2100	1,521.51	
01/19/21 10:22	01/20/21	PUT ROKU 01/22/21 440 ROKU INC CLOSING CONTRACT		Sold	-1	15.1500		1,514.45
01/19/21 09:46	01/21/21	ACLARIS THERAPEUTICS INC COM	ACRS	Bought	250	13.5000	3,375.00	
01/19/21 09:31	01/21/21	ACLARIS THERAPEUTICS INC COM	ACRS	Bought	250	13.8500	3,462.50	
01/19/21 09:48	01/21/21	ACLARIS THERAPEUTICS INC COM	ACRS	Sold	-60	13.6300		817.77
01/19/21 09:48	01/21/21	ACLARIS THERAPEUTICS INC COM	ACRS	Sold	-190	13.6200		2,587.72
01/19/21 09:33	01/21/21	ACLARIS THERAPEUTICS INC COM	ACRS	Sold	-250	13.7800		3,444.89
01/19/21 12:56	01/21/21	FACEBOOK INC CL A	FB	Sold	-25	258.4317		6,460.64
01/19/21 09:56	01/21/21	GILEAD SCIENCES INC	GILD	Sold	-5	66.2400		331.19
01/19/21 09:37	01/21/21	GILEAD SCIENCES INC	GILD	Sold	-7	65.1811		456.25
01/20/21 14:48	01/21/21	CALL PFE 03/19/21 36 PFIZER INC CLOSING CONTRACT		Sold	-2	1.5600		310.96
01/20/21 14:48	01/21/21	CALL PFE 03/19/21 36 PFIZER INC CLOSING CONTRACT		Sold	-14	1.5400		2,148.73



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TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/20/21 11:27	01/21/21	CALL PFE 07/16/21 37 PFIZER INC CLOSING CONTRACT		Sold	-3	2.0700		619.43
01/20/21 14:48	01/21/21	CALL CCIV 05/21/21 15 CHURCHILL CAPITAL CORP IV CL A CLOSING CONTRACT		Sold	-1	6.4000		639.47
01/20/21 10:00	01/21/21	CALL RIOT 01/22/21 22.50 RIOT BLOCKCHAIN INC OPEN CONTRACT		Bought	3	1.4300	430.54	
01/20/21 10:56	01/21/21	CALL RIOT 01/22/21 22.50 RIOT BLOCKCHAIN INC CLOSING CONTRACT		Sold	-3	0.9800		292.44
01/19/21 16:33	01/21/21	NETFLIX COM INC SHORT.	NFLX	Sold	-5	557.1800		2,785.83
01/19/21 12:55	01/21/21	ROKU INC CLASS A COMMON STOCK COVER SHORT.	ROKU	Bought	5	428.8479	2,144.24	
01/19/21 11:01	01/21/21	ROKU INC CLASS A COMMON STOCK COVER SHORT.	ROKU	Bought	10	435.9338	4,359.34	
01/19/21 10:46	01/21/21	ROKU INC CLASS A COMMON STOCK SHORT.	ROKU	Sold	-15	437.3500		6,560.10
01/20/21 08:55	01/22/21	GEVO INC COMMON STOCK	GEVO	Bought	500	8.3500	4,175.00	
01/20/21 09:13	01/22/21	GEVO INC COMMON STOCK	GEVO	Sold	-500	8.6000		4,299.84
01/20/21 15:30	01/22/21	GILEAD SCIENCES INC	GILD	Sold	-24	67.9910		1,631.74
01/20/21 15:30	01/22/21	GILEAD SCIENCES INC	GILD	Sold	-100	67.9970		6,799.53
01/20/21 15:30	01/22/21	GILEAD SCIENCES INC	GILD	Sold	-100	67.9920		6,799.03
01/20/21 13:57	01/22/21	NTN BUZZTIME INC COM	NTN	Bought	2,000	3.4300	6,860.00	
01/20/21 11:30	01/22/21	NTN BUZZTIME INC COM	NTN	Sold	-100	2.7350		273.48
01/20/21 14:15	01/22/21	NTN BUZZTIME INC COM	NTN	Sold	-1,761	3.1800		5,599.64
01/20/21 14:00	01/22/21	NTN BUZZTIME INC COM	NTN	Sold	-239	3.5400		846.01



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/20/21 11:30	01/22/21	NAVIDEA BIOPHARMACEUTICALS INC COMMON STOCK	NAVVB	Bought	150	2.1600	324.00	
01/20/21 13:07	01/22/21	OBALON THERAPEUTICS INC COMMON STOCK	OBLN	Bought	500	8.4000	4,200.00	
01/20/21 13:10	01/22/21	OBALON THERAPEUTICS INC COMMON STOCK	OBLN	Sold	-500	8.7500		4,374.84
01/21/21 10:36	01/22/21	CALL TSLA 01/22/21 840 TESLA INC OPEN CONTRACT		Bought	2	14.0500	2,811.03	
01/21/21 10:36	01/22/21	CALL TSLA 01/22/21 840 TESLA INC OPEN CONTRACT		Bought	1	14.0500	1,405.51	
01/21/21 10:48	01/22/21	CALL TSLA 01/22/21 840 TESLA INC CLOSING CONTRACT		Sold	-3	14.1500		4,243.35
01/21/21 09:43	01/22/21	CALL RIOT 01/22/21 18 RIOT BLOCKCHAIN INC OPEN CONTRACT		Bought	5	1.0200	512.57	
01/21/21 10:20	01/22/21	CALL RIOT 01/22/21 18 RIOT BLOCKCHAIN INC CLOSING CONTRACT		Sold	-5	1.4400		717.40
01/20/21 15:30	01/22/21	GILEAD SCIENCES INC COVER SHORT.	GILD	Bought	215	67.9882	14,617.46	
01/20/21 09:42	01/22/21	NETFLIX COM INC COVER SHORT.	NFLX	Bought	10	573.4800	5,734.80	
01/20/21 09:39	01/22/21	NETFLIX COM INC SHORT.	NFLX	Sold	-5	577.0000		2,884.93
01/21/21 15:06	01/25/21	INTERNATIONAL BUSINESS MACHINES CORP	IBM	Bought	167	131.7200	21,997.24	
01/21/21 11:45	01/25/21	PFIZER INC	PFE	Bought	100	36.3074	3,630.74	
01/21/21 11:42	01/25/21	PFIZER INC	PFE	Bought	551	36.2950	19,998.55	
01/21/21 14:03	01/25/21	PFIZER INC	PFE	Sold	-651	36.3336		23,652.56
01/22/21 11:42	01/25/21	PUT GME 01/22/21 50 GAMESTOP CORP OPEN CONTRACT		Bought	3	1.9400	583.54	
01/22/21 11:40	01/25/21	PUT GME 01/22/21 50 GAMESTOP CORP OPEN CONTRACT		Bought	1	2.5200	252.51	



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TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/22/21 11:45	01/25/21	PUT GME 01/22/21 GAMESTOP CORP OPEN CONTRACT	50	Bought	5	1.8000	902.57	
01/22/21 11:47	01/25/21	PUT GME 01/22/21 GAMESTOP CORP CLOSING CONTRACT	50	Sold	-9	1.9900		1,786.31
01/22/21 12:12	01/25/21	PUT GME 01/22/21 GAMESTOP CORP OPEN CONTRACT	55	Bought	5	3.1500	1,577.57	
01/22/21 12:15	01/25/21	PUT GME 01/22/21 GAMESTOP CORP CLOSING CONTRACT	55	Sold	-5	2.4000		1,197.39
01/22/21 13:15	01/25/21	CALL GME 01/29/21 GAMESTOP CORP OPEN CONTRACT	55	Bought	4	12.1600	4,866.06	
01/22/21 13:15	01/25/21	CALL GME 01/29/21 GAMESTOP CORP OPEN CONTRACT	55	Bought	11	12.0300	13,238.65	
01/22/21 13:21	01/25/21	CALL GME 01/29/21 GAMESTOP CORP CLOSING CONTRACT	55	Sold	-15	14.2000		21,291.78
01/22/21 11:56	01/25/21	PUT GME 01/22/21 GAMESTOP CORP OPEN CONTRACT	54	Bought	6	3.0500	1,833.08	
01/22/21 11:58	01/25/21	PUT GME 01/22/21 GAMESTOP CORP CLOSING CONTRACT	54	Sold	-6	3.5500		2,126.86
01/22/21 13:09	01/25/21	CALL GME 01/22/21 GAMESTOP CORP OPEN CONTRACT	60	Bought	6	5.8800	3,531.08	
01/22/21 12:37	01/25/21	CALL GME 01/22/21 GAMESTOP CORP OPEN CONTRACT	60	Bought	10	8.0000	8,005.14	
01/22/21 12:38	01/25/21	CALL GME 01/22/21 GAMESTOP CORP CLOSING CONTRACT	60	Sold	-10	9.2800		9,274.63
01/22/21 13:14	01/25/21	CALL GME 01/22/21 GAMESTOP CORP CLOSING CONTRACT	60	Sold	-6	3.3000		1,976.86
01/22/21 12:27	01/25/21	PUT GME 01/29/21 GAMESTOP CORP OPEN CONTRACT	59	Bought	1	11.1500	1,115.51	



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Account Type: INDIVIDUAL

SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/22/21 12:27	01/25/21	PUT GME 01/29/21 59 GAMESTOP CORP OPEN CONTRACT		Bought	1	11.1500	1,115.51	
01/22/21 12:33	01/25/21	PUT GME 01/29/21 59 GAMESTOP CORP CLOSING CONTRACT		Sold	-2	10.2000		2,038.92
01/22/21 09:44	01/25/21	PUT IBM 01/22/21 119 INTL BUSINESS MACHINE OPEN CONTRACT		Bought	1	2.0400	204.51	
01/22/21 09:51	01/25/21	PUT IBM 01/22/21 119 INTL BUSINESS MACHINE CLOSING CONTRACT		Sold	-1	2.1500		214.48
01/22/21 14:42	01/25/21	PUT SFIX 01/21/22 40 STITCH FIX INC OPEN CONTRACT		Bought	3	3.5200	1,057.54	
01/22/21 14:50	01/25/21	PUT TSLA 01/21/22 358 TESLA INC ADJ 5:1 STOCK SPLIT OPEN CONTRACT		Bought	1	25.5000	2,550.51	
01/22/21 10:06	01/25/21	CALL NIO 01/22/21 59.50 NIO INC ADS OPEN CONTRACT		Bought	10	0.7300	735.14	
01/22/21 10:15	01/25/21	CALL NIO 01/22/21 59.50 NIO INC ADS CLOSING CONTRACT		Sold	-10	0.9200		914.81
01/21/21 15:10	01/25/21	INTERNATIONAL BUSINESS MACHINES CORP SHORT.	IBM	Sold	-100	131.6822		13,167.91
01/21/21 14:03	01/25/21	PFIZER INC COVER SHORT.	PFE	Bought	100	36.3350	3,633.50	
01/21/21 14:03	01/25/21	PFIZER INC COVER SHORT.	PFE	Bought	362	36.3377	13,154.25	
01/21/21 11:48	01/25/21	PFIZER INC SHORT.	PFE	Sold	-462	36.2921		16,766.52
01/22/21 10:31	01/26/21	***ASIA PACIFIC WIRE & CABLE CORPORATION LTD	APWC	Bought	75	7.3700	552.75	
01/22/21 10:31	01/26/21	***ASIA PACIFIC WIRE & CABLE CORPORATION LTD	APWC	Bought	425	7.3900	3,140.75	
01/22/21 10:50	01/26/21	***ASIA PACIFIC WIRE & CABLE CORPORATION LTD	APWC	Sold	-500	7.1000		3,549.86



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/22/21 11:51	01/26/21	AZURRX BIOPHARMA INC COMMON STOCK	AZRX	Bought	500	2.5400	1,270.00	
01/22/21 11:33	01/26/21	AZURRX BIOPHARMA INC COMMON STOCK	AZRX	Bought	5,000	1.9500	9,750.00	
01/22/21 11:53	01/26/21	AZURRX BIOPHARMA INC COMMON STOCK	AZRX	Sold	-500	2.3800		1,189.91
01/22/21 11:36	01/26/21	AZURRX BIOPHARMA INC COMMON STOCK	AZRX	Sold	-5,000	2.0600		10,299.17
01/22/21 16:03	01/26/21	GAMESTOP CORP CLASS A	GME	Bought	250	63.5000	15,875.00	
01/22/21 16:07	01/26/21	GAMESTOP CORP CLASS A	GME	Bought	250	62.8000	15,700.00	
01/22/21 13:53	01/26/21	GAMESTOP CORP CLASS A	GME	Bought	250	60.0000	15,000.00	
01/22/21 12:18	01/26/21	GAMESTOP CORP CLASS A	GME	Bought	1,000	55.5000	55,500.00	
01/22/21 16:04	01/26/21	GAMESTOP CORP CLASS A	GME	Sold	-250	64.5100		16,127.11
01/22/21 16:09	01/26/21	GAMESTOP CORP CLASS A	GME	Sold	-250	63.1700		15,792.12
01/22/21 14:05	01/26/21	GAMESTOP CORP CLASS A	GME	Sold	-250	57.8500		14,462.15
01/22/21 12:22	01/26/21	GAMESTOP CORP CLASS A	GME	Sold	-1,000	56.4000		56,398.63
01/25/21 10:00	01/26/21	PUT TSLA 01/29/21 900 TESLA INC OPEN CONTRACT		Bought	1	50.1000	5,010.51	
01/25/21 10:11	01/26/21	PUT TSLA 01/29/21 900 TESLA INC CLOSING CONTRACT		Sold	-1	48.9500		4,894.38
01/22/21 09:37	01/26/21	INTERNATIONAL BUSINESS MACHINES CORP COVER SHORT.	IBM	Bought	100	118.6989	11,869.89	
01/22/21 09:42	01/26/21	INTERNATIONAL BUSINESS MACHINES CORP SHORT.	IBM	Sold	-120	118.0611		14,167.00
01/25/21 13:36	01/27/21	GAMESTOP CORP CLASS A	GME	Bought	50	70.5950	3,529.75	
01/25/21 07:24	01/27/21	GAMESTOP CORP CLASS A	GME	Bought	250	92.6500	23,162.50	
01/25/21 14:14	01/27/21	GAMESTOP CORP CLASS A	GME	Sold	-50	78.7701		3,938.41



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/25/21 07:26	01/27/21	GAMESTOP CORP CLASS A	GME	Sold	-250	93.2301		23,306.98
01/26/21 14:22	01/27/21	PUT GME 01/21/22 125 GAMESTOP CORP OPEN CONTRACT		Bought	1	72.7700	7,277.51	
01/26/21 13:05	01/27/21	CALL GME 01/29/21 100 GAMESTOP CORP OPEN CONTRACT		Bought	1	21.6000	2,160.51	
01/26/21 13:05	01/27/21	CALL GME 01/29/21 100 GAMESTOP CORP OPEN CONTRACT		Bought	1	22.0000	2,200.51	
01/26/21 13:08	01/27/21	CALL GME 01/29/21 100 GAMESTOP CORP CLOSING CONTRACT		Sold	-1	21.5200		2,151.44
01/26/21 13:08	01/27/21	CALL GME 01/29/21 100 GAMESTOP CORP CLOSING CONTRACT		Sold	-1	21.5000		2,149.44
01/26/21 09:35	01/28/21	BEYOND MEAT INC COMMON STOCK	BYND	Bought	200	207.0000	41,400.00	
01/26/21 09:06	01/28/21	BEYOND MEAT INC COMMON STOCK	BYND	Bought	200	216.5000	43,300.00	
01/26/21 09:11	01/28/21	BEYOND MEAT INC COMMON STOCK	BYND	Sold	-200	214.5000		42,899.03
01/26/21 09:39	01/28/21	BEYOND MEAT INC COMMON STOCK	BYND	Sold	-200	208.4000		41,679.05
01/26/21 10:07	01/28/21	CHURCHILL CAPITAL CORP IV CLASS A COMMON STOCK	CCIV	Bought	80	23.5475	1,883.80	
01/26/21 11:46	01/28/21	DIREXION FDS DAILY SEMICONDUCTOR BULL 3X PROSPECTUS ON INITIAL PURCHASE	SOXL	Bought	20	595.3279	11,906.56	
01/26/21 11:48	01/28/21	DIREXION FDS DAILY SEMICONDUCTOR BULL 3X	SOXL	Sold	-20	593.5188		11,870.11
01/26/21 09:57	01/28/21	EXPRESS INC	EXPR	Bought	2,500	3.1600	7,900.00	
01/26/21 10:01	01/28/21	EXPRESS INC	EXPR	Sold	-2,500	3.1000		7,749.52
01/26/21 17:31	01/28/21	EASTMAN KODAK COMPANY COM NEW	KODK	Bought	500	11.9900	5,995.00	



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/26/21 17:35	01/28/21	EASTMAN KODAK COMPANY COM NEW	KODK	Sold	-100	11.7900		1,178.96
01/26/21 17:35	01/28/21	EASTMAN KODAK COMPANY COM NEW	KODK	Sold	-130	11.8100		1,535.24
01/26/21 17:35	01/28/21	EASTMAN KODAK COMPANY COM NEW	KODK	Sold	-270	11.8000		3,185.89
01/26/21 16:23	01/28/21	GAMESTOP CORP CLASS A	GME	Bought	5	174.4900	872.45	
01/26/21 13:13	01/28/21	GAMESTOP CORP CLASS A	GME	Bought	50	102.1503	5,107.52	
01/26/21 14:51	01/28/21	GAMESTOP CORP CLASS A	GME	Bought	100	132.0000	13,200.00	
01/26/21 14:49	01/28/21	GAMESTOP CORP CLASS A	GME	Bought	100	140.0000	14,000.00	
01/26/21 13:01	01/28/21	GAMESTOP CORP CLASS A	GME	Bought	250	100.0000	25,000.00	
01/26/21 13:45	01/28/21	GAMESTOP CORP CLASS A	GME	Bought	300	107.7500	32,325.00	
01/26/21 15:03	01/28/21	GAMESTOP CORP CLASS A	GME	Sold	-2	135.0500		270.09
01/26/21 13:18	01/28/21	GAMESTOP CORP CLASS A	GME	Sold	-4	108.0001		431.99
01/26/21 16:27	01/28/21	GAMESTOP CORP CLASS A	GME	Sold	-5	179.0000		894.98
01/26/21 13:18	01/28/21	GAMESTOP CORP CLASS A	GME	Sold	-46	108.0000		4,967.88
01/26/21 13:03	01/28/21	GAMESTOP CORP CLASS A	GME	Sold	-66	100.3000		6,619.64
01/26/21 15:03	01/28/21	GAMESTOP CORP CLASS A	GME	Sold	-98	135.1100		13,240.47
01/26/21 15:03	01/28/21	GAMESTOP CORP CLASS A	GME	Sold	-100	135.1700		13,516.69
01/26/21 13:03	01/28/21	GAMESTOP CORP CLASS A	GME	Sold	-184	100.3300		18,460.29
01/26/21 13:48	01/28/21	GAMESTOP CORP CLASS A	GME	Sold	-300	113.2310		33,968.50
01/26/21 16:11	01/28/21	INTERNATIONAL BUSINESS MACHINES CORP	IBM	Sold	-118	121.4000		14,324.87



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/27/21 10:53	01/28/21	PUT GME 01/21/22 GAMESTOP CORP OPEN CONTRACT	42	Bought	1	22.5000	2,250.51	
01/27/21 10:51	01/28/21	PUT GME 01/21/22 GAMESTOP CORP OPEN CONTRACT	42	Bought	1	25.0000	2,500.51	
01/27/21 12:09	01/28/21	PUT GME 01/21/22 GAMESTOP CORP CLOSING CONTRACT	42	Sold	-1	20.0000		1,999.44
01/27/21 12:09	01/28/21	PUT GME 01/21/22 GAMESTOP CORP CLOSING CONTRACT	42	Sold	-1	21.0000		2,099.44
01/27/21 10:07	01/28/21	PUT GME 01/21/22 GAMESTOP CORP CLOSING CONTRACT	125	Sold	-1	71.1500		7,114.33
01/27/21 10:41	01/28/21	PUT AMC 02/05/21 AMC ENTERTAINMENT HOLDINGS INC OPEN CONTRACT	9	Bought	1	3.0000	300.51	
01/27/21 10:41	01/28/21	PUT AMC 02/05/21 AMC ENTERTAINMENT HOLDINGS INC OPEN CONTRACT	9	Bought	1	3.0000	300.51	
01/27/21 10:41	01/28/21	PUT AMC 02/05/21 AMC ENTERTAINMENT HOLDINGS INC OPEN CONTRACT	9	Bought	1	2.8700	287.51	
01/27/21 10:41	01/28/21	PUT AMC 02/05/21 AMC ENTERTAINMENT HOLDINGS INC OPEN CONTRACT	9	Bought	1	2.8600	286.51	
01/27/21 10:41	01/28/21	PUT AMC 02/05/21 AMC ENTERTAINMENT HOLDINGS INC OPEN CONTRACT	9	Bought	2	2.8700	575.03	
01/27/21 10:41	01/28/21	PUT AMC 02/05/21 AMC ENTERTAINMENT HOLDINGS INC OPEN CONTRACT	9	Bought	2	3.1000	621.03	
01/27/21 10:49	01/28/21	PUT AMC 02/05/21 AMC ENTERTAINMENT HOLDINGS INC CLOSING CONTRACT	9	Sold	-8	3.1400		2,507.81



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/27/21 11:32	01/28/21	PUT SFIX 01/21/22 40 STITCH FIX INC CLOSING CONTRACT		Sold	-3	3.3000		988.42
01/27/21 11:29	01/28/21	PUT TSLA 01/21/22 358 TESLA INC ADJ 5:1 STOCK SPLIT CLOSING CONTRACT		Sold	-1	25.6500		2,564.43
01/26/21 16:11	01/28/21	INTERNATIONAL BUSINESS MACHINES CORP COVER SHORT.	IBM	Bought	49	121.3500	5,946.15	
01/27/21 13:25	01/29/21	AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Bought	430	16.4200	7,060.60	
01/27/21 13:25	01/29/21	AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Bought	570	16.4000	9,348.00	
01/27/21 13:37	01/29/21	AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Bought	2,000	16.2900	32,580.00	
01/27/21 13:28	01/29/21	AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	-1,000	16.2500		16,249.52
01/27/21 13:38	01/29/21	AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	-2,000	16.3200		32,639.03
01/27/21 08:03	01/29/21	AT&T INC	T	Bought	450	28.7899	12,955.46	
01/27/21 08:46	01/29/21	AT&T INC	T	Sold	-450	29.0800		13,085.66
01/27/21 14:05	01/29/21	CHURCHILL CAPITAL CORP IV CLASS A COMMON STOCK	CCIV	Bought	50	21.8300	1,091.50	
01/27/21 09:55	01/29/21	DIREXION SHARES ETF TRUST DIREXION DAILY AEROSPACE & DEFENSE BULL 3X SHARES PROSPECTUS ON INITIAL PURCHASE	DFEN	Bought	200	14.3081	2,861.62	



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/27/21 10:31	01/29/21	DIREXION SHARES ETF TRUST DIREXION DAILY AEROSPACE & DEFENSE BULL 3X SHARES	DFEN	Sold	-200	15.0500		3,009.91
01/27/21 15:24	01/29/21	FACEBOOK INC CL A	FB	Bought	35	270.3700	9,462.95	
01/27/21 15:46	01/29/21	FACEBOOK INC CL A	FB	Sold	-35	270.0000		9,449.79
01/27/21 07:29	01/29/21	GAMESTOP CORP CLASS A	GME	Bought	3	248.2400	744.72	
01/27/21 08:06	01/29/21	GAMESTOP CORP CLASS A	GME	Bought	20	229.5000	4,590.00	
01/27/21 08:14	01/29/21	GAMESTOP CORP CLASS A	GME	Bought	20	210.0000	4,200.00	
01/27/21 10:34	01/29/21	GAMESTOP CORP CLASS A	GME	Bought	20	282.3800	5,647.60	
01/27/21 07:41	01/29/21	GAMESTOP CORP CLASS A	GME	Bought	42	251.5000	10,563.00	
01/27/21 13:33	01/29/21	GAMESTOP CORP CLASS A	GME	Bought	50	310.4400	15,522.00	
01/27/21 17:07	01/29/21	GAMESTOP CORP CLASS A	GME	Bought	100	317.0000	31,700.00	
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-1	312.7500		312.74
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-1	312.6600		312.65
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-1	312.6500		312.64
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-1	312.3500		312.34
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-1	312.6300		312.62
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-2	312.8900		625.76
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-3	312.3600		937.05
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-5	312.5500		1,562.71
01/27/21 10:36	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-6	284.6250		1,707.71
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-13	312.9000		4,067.61



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SECURITIES PURCHASED OR SOLD (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/27/21 10:36	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-14	284.0000		3,975.91
01/27/21 13:35	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-22	312.2400		6,869.12
01/27/21 08:43	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-40	233.0000		9,319.79
01/27/21 07:45	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-45	247.0000		11,114.74
01/27/21 17:18	01/29/21	GAMESTOP CORP CLASS A	GME	Sold	-100	314.6800		31,467.29
01/27/21 09:18	01/29/21	IBIO INC COMMON STOCK	IBIO	Bought	1,000	1.5800	1,580.00	
01/27/21 10:00	01/29/21	IBIO INC COMMON STOCK	IBIO	Sold	-1,000	1.6300		1,629.84
01/27/21 10:32	01/29/21	INTERNATIONAL BUSINESS MACHINES CORP	IBM	Sold	-49	122.8850		6,021.22
01/27/21 19:11	01/29/21	***NAKED BRAND GROUP LIMITED ORDINARY SHARES	NAKD	Bought	500	1.7100	855.00	
01/27/21 19:15	01/29/21	***NAKED BRAND GROUP LIMITED ORDINARY SHARES	NAKD	Bought	500	1.5100	755.00	
01/27/21 17:44	01/29/21	***NAKED BRAND GROUP LIMITED ORDINARY SHARES	NAKD	Bought	1,000	2.9000	2,900.00	
01/27/21 13:44	01/29/21	PFIZER INC	PFE	Bought	350	36.4000	12,740.00	
01/27/21 15:48	01/29/21	PFIZER INC	PFE	Sold	-70	36.2150		2,534.98
01/27/21 15:48	01/29/21	PFIZER INC	PFE	Sold	-280	36.2125		10,139.24
01/27/21 13:29	01/29/21	***TRIVAGO N V AMERICAN DEPOSITARY SHARES	TRVG	Bought	1,000	4.6700	4,670.00	
01/27/21 13:31	01/29/21	***TRIVAGO N V AMERICAN DEPOSITARY SHARES	TRVG	Sold	-1,000	4.9000		4,899.77
01/27/21 08:47	01/29/21	INTERNATIONAL BUSINESS MACHINES CORP COVER SHORT.	IBM	Bought	71	120.7200	8,571.12	
TOTAL SECURITIES ACTIVITY							\$980,338.73	\$1,012,747.33



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UNSETTLED TRADES

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/28/21		AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Bought	800	9.3200	7,456.00	
01/28/21		AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Bought	500	8.2400	4,120.00	
01/28/21		AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Bought	800	9.0000	7,200.00	
01/28/21		AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	11	9.0500		99.54
01/28/21		AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	400	9.7200		3,887.86
01/28/21		AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	400	9.7250		3,889.86
01/28/21		AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	500	8.3800		4,189.84
01/28/21		AMC ENTERTAINMENT HOLDINGS INC CL A COM	AMC	Sold	789	9.0501		7,140.28
01/28/21		AMERICAN AIRLINES GROUP INC	AAL	Bought	250	28.9000	7,225.00	
01/28/21		AMERICAN AIRLINES GROUP INC	AAL	Bought	750	20.7500	15,562.50	
01/28/21		AMERICAN AIRLINES GROUP INC	AAL	Sold	1,000	20.5550		20,554.42
01/28/21		AMERICAN AIRLINES GROUP INC COVER SHORT.	AAL	Bought	1,000	20.9000	20,900.00	
01/28/21		AMERICAN AIRLINES GROUP INC SHORT.	AAL	Sold	50	24.3400		1,216.96
01/28/21		AMERICAN AIRLINES GROUP INC SHORT.	AAL	Sold	200	21.4500		4,289.88
01/28/21		AMERICAN AIRLINES GROUP INC SHORT.	AAL	Sold	250	29.5000		7,374.80



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UNSETTLED TRADES (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/28/21		AMERICAN AIRLINES GROUP INC SHORT.	AAL	Sold	500	20.2000		10,099.71
01/28/21		BELLICUM PHARMACEUTICALS INC COMMON STOCK	BLCM	Bought	400	4.9800	1,992.00	
01/28/21		BELLICUM PHARMACEUTICALS INC COMMON STOCK	BLCM	Sold	400	5.0800		2,031.90
01/29/21		***BIONTECH SE AMERICAN DEPOSITARY SHARE	BNTX	Bought	150	115.0000	17,250.00	
01/29/21		***BIONTECH SE AMERICAN DEPOSITARY SHARE	BNTX	Bought	250	114.0000	28,500.00	
01/29/21		***BIONTECH SE AMERICAN DEPOSITARY SHARE	BNTX	Sold	150	121.0000		18,149.57
01/29/21		***BIONTECH SE AMERICAN DEPOSITARY SHARE	BNTX	Sold	250	114.8000		28,699.33
01/29/21		CALL MRNA 01/29/21 170 MODERNA INC OPEN CONTRACT		Bought	4	2.8100	1,126.06	
01/29/21		CALL MRNA 01/29/21 170 MODERNA INC CLOSING CONTRACT		Sold	4	3.7000		1,477.89
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Bought	2,000	6.1800	12,360.00	
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Bought	500	5.7000	2,850.00	
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Bought	1,000	7.0800	7,080.00	
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Bought	1,000	4.0389	4,038.90	
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Bought	1,500	6.4500	9,675.00	



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UNSETTLED TRADES (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Bought	500	5.6800	2,840.00	
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Sold	100	5.7250		572.47
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Sold	400	5.7200		2,287.89
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Sold	500	5.5500		2,774.87
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Sold	1,000	4.0154		4,015.19
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Sold	2,000	6.2300		12,459.48
01/29/21		CATABASIS PHARMACEUTICALS INC COMMON STOCK	CATB	Sold	2,500	6.1901		15,474.60
01/28/21		CHURCHILL CAPITAL CORP IV CLASS A COMMON STOCK	CCIV	Bought	50	20.4200	1,021.00	
01/28/21		CHURCHILL CAPITAL CORP IV CLASS A COMMON STOCK	CCIV	Sold	180	20.2301		3,641.31
01/29/21		CHURCHILL CAPITAL CORP IV CLASS A COMMON STOCK	CCIV	Bought	150	22.8800	3,432.00	
01/29/21		CHURCHILL CAPITAL CORP IV CLASS A COMMON STOCK	CCIV	Bought	200	22.9100	4,582.00	
01/29/21		CHURCHILL CAPITAL CORP IV CLASS A COMMON STOCK	CCIV	Sold	100	22.9000		2,289.93
01/29/21		DIREXION SHARES ETF TRUST DIREXION DAILY AEROSPACE & DEFENSE BULL 3X SHARES PROSPECTUS ON INITIAL PURCHASE	DFEN	Bought	150	13.8777	2,081.66	



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UNSETTLED TRADES (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/29/21		EHEALTH INC	EHTH	Bought	100	51.3300	5,133.00	
01/29/21		EHEALTH INC	EHTH	Bought	300	51.8200	15,546.00	
01/29/21		EHEALTH INC	EHTH	Sold	100	51.0000		5,099.87
01/29/21		EHEALTH INC	EHTH	Sold	300	52.2800		15,683.61
01/29/21		FACEBOOK INC CL A	FB	Bought	100	257.8300	25,783.00	
01/29/21		FACEBOOK INC CL A	FB	Sold	100	257.3000		25,729.42
01/28/21		GAMESTOP CORP CLASS A	GME	Bought	5	283.8400	1,419.20	
01/28/21		GAMESTOP CORP CLASS A	GME	Bought	10	210.5000	2,105.00	
01/28/21		GAMESTOP CORP CLASS A	GME	Bought	50	215.0000	10,750.00	
01/28/21		GAMESTOP CORP CLASS A	GME	Sold	5	290.9200		1,454.56
01/28/21		GAMESTOP CORP CLASS A	GME	Sold	10	225.0000		2,249.95
01/28/21		GAMESTOP CORP CLASS A	GME	Sold	50	218.1167		10,905.58
01/29/21		GAMESTOP CORP CLASS A	GME	Bought	5	341.0000	1,705.00	
01/29/21		GAMESTOP CORP CLASS A	GME	Bought	25	299.0000	7,475.00	
01/29/21		GAMESTOP CORP CLASS A	GME	Bought	25	319.0000	7,975.00	
01/29/21		GAMESTOP CORP CLASS A	GME	Bought	50	311.7772	15,588.86	
01/29/21		GAMESTOP CORP CLASS A	GME	Sold	5	335.0000		1,674.96
01/29/21		GAMESTOP CORP CLASS A	GME	Sold	25	303.0000		7,574.83
01/29/21		GAMESTOP CORP CLASS A	GME	Sold	25	314.0000		7,849.82
01/29/21		GAMESTOP CORP CLASS A	GME	Sold	50	317.0000		15,849.63
01/28/21		GRAN TIERRA ENERGY INC	GTE	Bought	5,000	0.8560	4,280.00	
01/28/21		GRAN TIERRA ENERGY INC	GTE	Sold	232	0.8450		196.00
01/28/21		GRAN TIERRA ENERGY INC	GTE	Sold	4,768	0.8314		3,963.69
01/29/21		MODERNA INC COMMON STOCK	MRNA	Bought	100	169.5000	16,950.00	
01/29/21		MODERNA INC COMMON STOCK	MRNA	Sold	100	169.6000		16,959.61



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UNSETTLED TRADES (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/28/21		***NAKED BRAND GROUP LIMITED ORDINARY SHARES	NAKD	Sold	2,000	3.3000		6,599.61
01/28/21		NEW CONCEPT ENERGY INC	GBR	Bought	250	8.7500	2,187.50	
01/28/21		NEW CONCEPT ENERGY INC	GBR	Sold	250	8.9000		2,224.92
01/29/21		NOVAVAX INC COMMON STOCK	NVAX	Bought	20	207.2500	4,145.00	
01/29/21		NOVAVAX INC COMMON STOCK	NVAX	Sold	20	193.0000		3,859.91
01/29/21		PFIZER INC	PFEWD	Bought	1,000	37.2999	37,299.90	
01/29/21		PFIZER INC	PFEWD	Sold	1,000	37.3000		37,299.05
01/29/21		PHUNWARE INC COMMON STOCK	PHUN	Bought	55	2.2900	125.95	
01/29/21		PHUNWARE INC COMMON STOCK	PHUN	Sold	55	2.9300		161.13
01/28/21		PROSHARES TRUST ULTRAPRO QQQ PROSPECTUS ON INITIAL PURCHASE	TQQQ	Bought	272	97.2500	26,452.00	
01/28/21		PROSHARES TRUST ULTRAPRO QQQ	TQQQ	Sold	30	99.1300		2,973.83
01/28/21		PROSHARES TRUST ULTRAPRO QQQ	TQQQ	Sold	53	100.6910		5,336.49
01/28/21		PROSHARES TRUST ULTRAPRO QQQ	TQQQ	Sold	189	100.6900		19,029.96
01/28/21		PROSHARES TRUST PROSHARES ULTRAPRO SHORT QQQ PROSPECTUS ON INITIAL PURCHASE	SQQQ	Bought	150	13.3180	1,997.70	
01/28/21		PROSHARES TRUST PROSHARES ULTRAPRO SHORT QQQ PROSPECTUS ON INITIAL PURCHASE	SQQQ	Bought	1,530	13.6700	20,915.10	
01/28/21		PROSHARES TRUST PROSHARES ULTRAPRO SHORT QQQ	SQQQ	Sold	1,680	13.2900		22,326.50
01/29/21		PROSHARES TRUST ULTRAPRO QQQ PROSPECTUS ON INITIAL PURCHASE	TQQQ	Bought	7	89.8500	628.95	



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UNSETTLED TRADES (Continued)

TRADE DATE	SETTLEMENT DATE	DESCRIPTION	SYMBOL/ CUSIP	TRANSACTION TYPE	QUANTITY	PRICE	AMOUNT PURCHASED	AMOUNT SOLD
01/29/21		PROSHARES TRUST ULTRAPRO QQQ PROSPECTUS ON INITIAL PURCHASE	TQQQ	Bought	45	92.3890	4,157.51	
01/29/21		PROSHARES TRUST ULTRAPRO QQQ PROSPECTUS ON INITIAL PURCHASE	TQQQ	Bought	200	93.6200	18,724.00	
01/29/21		PROSHARES TRUST PROSHARES ULTRAPRO SHORT QQQ PROSPECTUS ON INITIAL PURCHASE	SQQQ	Bought	1,262	14.2650	18,002.43	
01/29/21		TAITRON COMPONENTS INC CL A	TAIT	Bought	500	4.0999	2,049.95	
01/29/21		TAITRON COMPONENTS INC CL A	TAIT	Sold	400	4.0000		1,599.91
01/28/21		WESTERN DIGITAL CORP	WDC	Bought	35	52.6199	1,841.70	
01/28/21		WESTERN DIGITAL CORP	WDC	Sold	35	55.4000		1,938.95

DIVIDENDS & INTEREST ACTIVITY

DATE	TRANSACTION TYPE	DESCRIPTION	SYMBOL/ CUSIP	AMOUNT DEBITED	AMOUNT CREDITED
01/26/21	Interest	FROM 12/26 THRU 01/25 @ 8.450% BAL 19,041- AVBAL 45,680		171.56	
01/26/21	Interest	INTEREST ON CASH BALANCE AT 0.009% 12/26 THRU 01/25 APY 0.0099%	00099A109		0.10
TOTAL DIVIDENDS & INTEREST ACTIVITY				\$171.56	\$0.10
NET DIVIDENDS & INTEREST ACTIVITY				\$171.46	

WITHDRAWALS & DEPOSITS

DATE	TRANSACTION TYPE	DESCRIPTION	WITHDRAWALS	DEPOSITS
01/04/21	Mark to Mkt	MARK TO MARKET		571.00
01/04/21	Mark to Mkt	MARK TO MARKET SHORT POS	571.00	
01/11/21	Mark to Mkt	MARK TO MARKET		962.14
01/11/21	Mark to Mkt	MARK TO MARKET SHORT POS	962.14	



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WITHDRAWALS & DEPOSITS (Continued)

DATE	TRANSACTION TYPE	DESCRIPTION	WITHDRAWALS	DEPOSITS
01/19/21	Mark to Mkt	MARK TO MARKET	130.35	
01/19/21	Mark to Mkt	MARK TO MARKET SHORT POS		130.35
01/25/21	Mark to Mkt	MARK TO MARKET		201.56
01/25/21	Mark to Mkt	MARK TO MARKET SHORT POS	201.56	
01/26/21	Fee	HARD TO BORROW INTEREST FROM 12/20 TO 01/19	3.39	
01/29/21	Deposit	ACH DEPOSIT REFID:13616979906;		1,500.00
NET WITHDRAWALS & DEPOSITS				\$1,496.61



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