

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 570218032</b>	Statement Date: 02/14/2021 Document ID: 4K11 G7T U75Q	2020
	Srujan reddy Chilumula 71 N Arcadian Cir 301 Memphis, TN 38103  RECIPIENT'S TIN: XXX-XX-1619		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      287.28</p> <p>1b- Qualified dividends      287.28</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      11.97</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	779,009.93	869,850.57	0.00	59,511.71	-31,328.93
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>779,009.93</b>	<b>869,850.57</b>	<b>0.00</b>	<b>59,511.71</b>	<b>-31,328.93</b>
Long	D (basis reported to the IRS)	18,099.57	18,134.77	0.00	0.00	-35.20
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>18,099.57</b>	<b>18,134.77</b>	<b>0.00</b>	<b>0.00</b>	<b>-35.20</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>797,109.50</b>	<b>887,985.34</b>	<b>0.00</b>	<b>59,511.71</b>	<b>-31,364.13</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 570218032

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	618.47
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 05/15/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/15/20	1.000	7.98	05/12/20	475.00	...	-467.02	Option sale to close-call <sup>25</sup>
BYND 06/26/2020 PUT \$141.00 / CUSIP: / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	218.98	06/25/20	456.01	...	-237.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	218.99	06/25/20	345.00	...	-126.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/25/20	2.000	437.97	Various	801.01	...	-363.04	Total of 2 transactions
06/25/20	1.000	218.99	06/25/20	370.00	151.01 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>656.96</b>		<b>1,171.01</b>	<b>151.01 W</b>	<b>-363.04</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 07/02/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/25/20	184.00	...	-184.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/30/20	25.00	...	-25.00	2 of 2 - Option expiration- long call
07/02/20	2.000	0.00	Various	209.00	...	-209.00	Total of 2 transactions
BYND 07/17/2020 CALL \$140.00 / CUSIP: / Symbol:							
07/02/20	1.000	899.97	07/01/20	843.00	...	56.97	Option sale to close-call <sup>25</sup>
BYND 07/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
07/16/20	1.000	4.98	06/25/20	588.00	583.02 W	0.00	Option sale to close-call <sup>25</sup>
10 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/25/20	1,158.02	...	-1,158.02	1 of 10 - Option expiration- long call
	1.000	0.00	06/25/20	575.00	...	-575.00	2 of 10 - Option expiration- long call
	1.000	0.00	06/25/20	505.00	...	-505.00	3 of 10 - Option expiration- long call
	1.000	0.00	06/26/20	395.00	...	-395.00	4 of 10 - Option expiration- long call
	3.000	0.00	07/10/20	183.00	...	-183.00	5 of 10 - Option expiration- long call
	2.000	0.00	07/10/20	122.00	...	-122.00	6 of 10 - Option expiration- long call
	5.000	0.00	07/14/20	150.00	...	-150.00	7 of 10 - Option expiration- long call
	5.000	0.00	07/14/20	150.00	...	-150.00	8 of 10 - Option expiration- long call
	10.000	0.00	07/16/20	140.00	...	-140.00	9 of 10 - Option expiration- long call
	9.000	0.00	07/16/20	126.00	...	-126.00	10 of 10 - Option expiration- long call
07/17/20	38.000	0.00	Various	3,504.02	...	-3,504.02	Total of 10 transactions
	<b>Security total:</b>	<b>4.98</b>		<b>4,092.02</b>	<b>583.02 W</b>	<b>-3,504.02</b>	

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 08/07/2020 CALL \$180.00 / CUSIP: / Symbol:							
08/07/20	1.000	72.98	08/07/20	0.00	...	72.98	Short sale closed- call expired Option written: 08/03/20
BYND 09/04/2020 CALL \$140.00 / CUSIP: / Symbol:							
9 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	1.99	09/03/20	1,143.04	...	-1,141.05	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.99	09/03/20	790.01	...	-788.02	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.98	09/03/20	436.01	...	-434.03	3 of 9 - Option sale to close-call <sup>25</sup>
	3.000	5.99	09/03/20	387.02	...	-381.03	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.99	09/03/20	295.01	...	-293.02	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	2.00	09/03/20	295.01	...	-293.01	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.99	09/03/20	295.00	...	-293.01	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.99	09/03/20	143.01	...	-141.02	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	1.99	09/03/20	120.00	...	-118.01	9 of 9 - Option sale to close-call <sup>25</sup>
09/04/20	11.000	21.91	Various	3,904.11	...	-3,882.20	Total of 9 transactions
25 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	1.98	09/03/20	356.00	354.02 W	0.00	1 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1.99	09/03/20	356.00	354.01 W	0.00	2 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1.99	09/03/20	709.02	707.03 W	0.00	3 of 25 - Option sale to close-call <sup>25</sup>
	1.000	2.00	09/03/20	850.03	848.03 W	0.00	4 of 25 - Option sale to close-call <sup>25</sup>
	1.000	2.00	09/03/20	497.01	495.01 W	0.00	5 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1.99	09/03/20	102.00	100.01 W	0.00	6 of 25 - Option sale to close-call <sup>25</sup>
	2.000	3.99	09/03/20	204.00	200.01 W	0.00	7 of 25 - Option sale to close-call <sup>25</sup>
	1.000	2.00	09/03/20	143.00	141.00 W	0.00	8 of 25 - Option sale to close-call <sup>25</sup>
	1.000	1.99	09/03/20	950.03	948.04 W	0.00	9 of 25 - Option sale to close-call <sup>25</sup>

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 09/04/2020 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	1.000	2.00	09/03/20	597.01	595.01 W	0.00	10 of 25 - Option sale to close-call <sub>25</sub>
	1.000	1.99	09/03/20	243.00	241.01 W	0.00	11 of 25 - Option sale to close-call <sub>25</sub>
	3.000	5.98	09/03/20	231.00	225.02 W	0.00	12 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	168.01	166.01 W	0.00	13 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	168.01	166.01 W	0.00	14 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	1,016.04	1,014.04 W	0.00	15 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	663.01	661.01 W	0.00	16 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	309.01	307.01 W	0.00	17 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	168.00	166.00 W	0.00	18 of 25 - Option sale to close-call <sub>25</sub>
	2.000	3.99	09/03/20	136.00	132.01 W	0.00	19 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	1,091.04	1,089.04 W	0.00	20 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	738.01	736.01 W	0.00	21 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	384.01	382.01 W	0.00	22 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	243.01	241.01 W	0.00	23 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	243.01	241.01 W	0.00	24 of 25 - Option sale to close-call <sub>25</sub>
	1.000	2.00	09/03/20	243.00	241.00 W	0.00	25 of 25 - Option sale to close-call <sub>25</sub>
09/04/20	29.000	57.89	Various	10,808.26	10,750.37 W	0.00	Total of 25 transactions
	<b>Security total:</b>	<b>79.80</b>		<b>14,712.37</b>	<b>10,750.37 W</b>	<b>-3,882.20</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 09/18/2020 CALL \$138.00 / CUSIP: / Symbol:							
09/04/20	1.000	12.97	09/03/20	0.00	...	12.97	Short sale closed- call <sup>25</sup> Option written: 09/03/20
COTY 06/19/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/09/20	20.000	939.93	06/04/20	280.00	...	659.93	Option sale to close-call <sup>25</sup>
COTY 06/19/2020 CALL \$6.50 / CUSIP: / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	06/04/20	11.00	...	28.98	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	159.99	06/04/20	44.00	...	115.99	2 of 3 - Option sale to close-call <sup>25</sup>
	15.000	599.95	06/04/20	165.00	...	434.95	3 of 3 - Option sale to close-call <sup>25</sup>
06/09/20	20.000	799.92	Various	220.00	...	579.92	Total of 3 transactions
CPE 06/19/2020 CALL \$2.00 / CUSIP: / Symbol:							
8 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	44.98	06/05/20	13.00	...	31.98	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	44.98	06/05/20	13.00	...	31.98	2 of 8 - Option sale to close-call <sup>25</sup>
	3.000	134.98	06/05/20	39.00	...	95.98	3 of 8 - Option sale to close-call <sup>25</sup>
	3.000	134.98	06/05/20	39.00	...	95.98	4 of 8 - Option sale to close-call <sup>25</sup>
	8.000	359.97	06/05/20	104.00	...	255.97	5 of 8 - Option sale to close-call <sup>25</sup>
	10.000	449.97	06/05/20	150.00	...	299.97	6 of 8 - Option sale to close-call <sup>25</sup>
	10.000	449.97	06/05/20	150.00	...	299.97	7 of 8 - Option sale to close-call <sup>25</sup>
	14.000	629.95	06/05/20	182.00	...	447.95	8 of 8 - Option sale to close-call <sup>25</sup>
06/08/20	50.000	2,249.78	Various	690.00	...	1,559.78	Total of 8 transactions
DNR 06/19/2020 CALL \$0.50 / CUSIP: / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	19.000	284.95	06/08/20	285.00	...	-0.05	1 of 3 - Option sale to close-call <sup>25</sup>
	15.000	224.96	06/08/20	225.00	...	-0.04	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	89.98	06/08/20	90.00	...	-0.02	3 of 3 - Option sale to close-call <sup>25</sup>
06/10/20	40.000	599.89	Various	600.00	...	-0.11	Total of 3 transactions

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DNR 09/18/2020 CALL \$0.50 / CUSIP: / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	06/08/20	25.00	...	4.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	59.98	06/08/20	50.00	...	9.98	2 of 3 - Option sale to close-call <sup>25</sup>
	37.000	1,109.90	06/08/20	925.00	...	184.90	3 of 3 - Option sale to close-call <sup>25</sup>
06/09/20	40.000	1,199.86	Various	1,000.00	...	199.86	Total of 3 transactions
DXC 09/18/2020 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	5.000	724.97	09/02/20	725.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	649.97	09/02/20	540.00	...	109.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	10.000	1,374.94	Various	1,265.00	...	109.94	Total of 2 transactions
DXC 09/18/2020 CALL \$22.00 / CUSIP: / Symbol:							
09/02/20	5.000	499.97	09/02/20	500.00	...	-0.03	Option sale to close-call <sup>25</sup>
FCEL 06/19/2020 CALL \$3.50 / CUSIP: / Symbol:							
9 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	80.000	0.00	06/12/20	800.00	...	-800.00	1 of 9 - Option expiration- long call
	25.000	0.00	06/12/20	375.00	...	-375.00	2 of 9 - Option expiration- long call
	12.000	0.00	06/12/20	336.00	...	-336.00	3 of 9 - Option expiration- long call
	10.000	0.00	06/12/20	330.00	...	-330.00	4 of 9 - Option expiration- long call
	10.000	0.00	06/12/20	330.00	...	-330.00	5 of 9 - Option expiration- long call
	7.000	0.00	06/12/20	196.00	...	-196.00	6 of 9 - Option expiration- long call
	10.000	0.00	06/12/20	140.00	...	-140.00	7 of 9 - Option expiration- long call
	5.000	0.00	06/12/20	75.00	...	-75.00	8 of 9 - Option expiration- long call
	1.000	0.00	06/12/20	30.00	...	-30.00	9 of 9 - Option expiration- long call
06/19/20	160.000	0.00	Various	2,612.00	...	-2,612.00	Total of 9 transactions
GNUS 07/10/2020 CALL \$3.00 / CUSIP: / Symbol:							
07/06/20	10.000	499.96	07/02/20	500.00	...	-0.04	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 07/17/2020 CALL \$3.50 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	07/02/20	700.00	...	-700.00	1 of 2 - Option expiration- long call
	10.000	0.00	07/06/20	300.00	...	-300.00	2 of 2 - Option expiration- long call
07/17/20	20.000	0.00	Various	1,000.00	...	-1,000.00	Total of 2 transactions
GNUS 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
7 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	06/15/20	1,500.00	...	-1,500.00	1 of 7 - Option expiration- long call
	10.000	0.00	06/15/20	1,390.00	...	-1,390.00	2 of 7 - Option expiration- long call
	20.000	0.00	06/19/20	500.00	...	-500.00	3 of 7 - Option expiration- long call
	18.000	0.00	06/23/20	360.00	...	-360.00	4 of 7 - Option expiration- long call
	2.000	0.00	06/23/20	40.00	...	-40.00	5 of 7 - Option expiration- long call
	30.000	0.00	07/02/20	450.00	...	-450.00	6 of 7 - Option expiration- long call
	10.000	0.00	07/02/20	150.00	...	-150.00	7 of 7 - Option expiration- long call
07/17/20	100.000	0.00	Various	4,390.00	...	-4,390.00	Total of 7 transactions
HTZ 06/19/2020 CALL \$1.50 / CUSIP: / Symbol:							
8 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	134.98	06/04/20	10.00	...	124.98	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	134.98	06/04/20	10.00	...	124.98	2 of 8 - Option sale to close-call <sup>25</sup>
	2.000	269.98	06/04/20	20.00	...	249.98	3 of 8 - Option sale to close-call <sup>25</sup>
	2.000	269.98	06/04/20	20.00	...	249.98	4 of 8 - Option sale to close-call <sup>25</sup>
	2.000	269.98	06/04/20	20.00	...	249.98	5 of 8 - Option sale to close-call <sup>25</sup>
	3.000	404.98	06/04/20	30.00	...	374.98	6 of 8 - Option sale to close-call <sup>25</sup>
	4.000	539.97	06/04/20	40.00	...	499.97	7 of 8 - Option sale to close-call <sup>25</sup>
	5.000	674.97	06/04/20	50.00	...	624.97	8 of 8 - Option sale to close-call <sup>25</sup>
06/05/20	20.000	2,699.82	Various	200.00	...	2,499.82	Total of 8 transactions
HTZ 06/19/2020 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	15.000	1,664.93	06/04/20	150.00	...	1,514.93	1 of 2 - Option sale to close-call <sup>25</sup>
	15.000	1,664.93	06/04/20	135.00	...	1,529.93	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	30.000	3,329.86	Various	285.00	...	3,044.86	Total of 2 transactions

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HTZ 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	10.000	799.96	06/04/20	200.00	...	599.96	1 of 2 - Option sale to close-call <sup>25</sup>
	20.000	1,599.92	06/04/20	400.00	...	1,199.92	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	30.000	2,399.88	Various	600.00	...	1,799.88	Total of 2 transactions
HTZ 06/19/2020 CALL \$3.50 / CUSIP: / Symbol:							
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	06/12/20	650.00	...	-650.00	1 of 4 - Option expiration- long call
	11.000	0.00	06/12/20	550.00	...	-550.00	2 of 4 - Option expiration- long call
	9.000	0.00	06/12/20	450.00	...	-450.00	3 of 4 - Option expiration- long call
	10.000	0.00	06/12/20	400.00	...	-400.00	4 of 4 - Option expiration- long call
06/19/20	40.000	0.00	Various	2,050.00	...	-2,050.00	Total of 4 transactions
HTZ 06/19/2020 PUT \$1.50 / CUSIP: / Symbol:							
06/17/20	40.000	479.90	06/15/20	1,040.00	...	-560.10	Option sale to close-put <sup>25</sup>
HTZ 06/19/2020 PUT \$2.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	30.000	1,049.91	06/15/20	1,290.00	...	-240.09	1 of 2 - Option sale to close-put <sup>25</sup>
	10.000	349.97	06/15/20	450.00	...	-100.03	2 of 2 - Option sale to close-put <sup>25</sup>
06/17/20	40.000	1,399.88	Various	1,740.00	...	-340.12	Total of 2 transactions
HTZ 06/26/2020 CALL \$2.50 / CUSIP: / Symbol:							
4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	20.000	0.00	06/24/20	400.00	...	-400.00	1 of 4 - Option expiration- long call
	10.000	0.00	06/24/20	250.00	...	-250.00	2 of 4 - Option expiration- long call
	5.000	0.00	06/24/20	125.00	...	-125.00	3 of 4 - Option expiration- long call
	5.000	0.00	06/24/20	125.00	...	-125.00	4 of 4 - Option expiration- long call
06/26/20	40.000	0.00	Various	900.00	...	-900.00	Total of 4 transactions
HUYA 06/12/2020 CALL \$17.50 / CUSIP: / Symbol:							
6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	2.000	59.99	06/11/20	38.00	...	21.99	1 of 6 - Option sale to close-call <sup>25</sup>
	3.000	89.98	06/11/20	57.00	...	32.98	2 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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HUYA 06/12/2020 CALL \$17.50 / CUSIP: / Symbol: (cont'd)							
	3.000	104.98	06/11/20	45.00	...	59.98	3 of 6 - Option sale to close-call <sup>25</sup>
	17.000	509.95	06/11/20	255.00	...	254.95	4 of 6 - Option sale to close-call <sup>25</sup>
	20.000	599.95	06/11/20	300.00	...	299.95	5 of 6 - Option sale to close-call <sup>25</sup>
	35.000	1,049.90	06/11/20	665.00	...	384.90	6 of 6 - Option sale to close-call <sup>25</sup>
06/11/20	80.000	2,414.75	Various	1,360.00	...	1,054.75	Total of 6 transactions
HUYA 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
6 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	10.000	549.97	06/12/20	750.00	...	-200.03	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	55.00	06/12/20	45.00	...	10.00	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	164.99	06/12/20	135.00	...	29.99	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	164.99	06/12/20	135.00	...	29.99	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	164.99	06/12/20	135.00	...	29.99	5 of 6 - Option sale to close-call <sup>25</sup>
	20.000	1,099.93	06/15/20	800.00	...	299.93	6 of 6 - Option sale to close-call <sup>25</sup>
06/15/20	40.000	2,199.87	Various	2,000.00	...	199.87	Total of 6 transactions
HUYA 06/19/2020 CALL \$19.50 / CUSIP: / Symbol:							
6 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	06/17/20	33.00	...	1.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	35.00	06/17/20	30.00	...	5.00	2 of 6 - Option sale to close-call <sup>25</sup>
	9.000	314.97	06/17/20	297.00	...	17.97	3 of 6 - Option sale to close-call <sup>25</sup>
	10.000	349.97	06/17/20	330.00	...	19.97	4 of 6 - Option sale to close-call <sup>25</sup>
	6.000	209.98	06/17/20	180.00	...	29.98	5 of 6 - Option sale to close-call <sup>25</sup>
	13.000	454.96	06/17/20	390.00	...	64.96	6 of 6 - Option sale to close-call <sup>25</sup>
06/18/20	40.000	1,399.86	Various	1,260.00	...	139.86	Total of 6 transactions
HUYA 07/17/2020 CALL \$24.50 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	6.99	07/09/20	213.01	...	-206.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.99	07/09/20	110.00	...	-103.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/17/20	2.000	13.98	Various	323.01	...	-309.03	Total of 2 transactions
07/17/20	3.000	20.98	07/09/20	330.00	103.01 W	-206.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>34.96</b>		<b>653.01</b>	<b>103.01 W</b>	<b>-515.04</b>	

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HUYA 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
10 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	27.000	0.00	06/19/20	1,080.00	...	-1,080.00	1 of 10 - Option expiration- long call
	7.000	0.00	06/19/20	280.00	...	-280.00	2 of 10 - Option expiration- long call
	6.000	0.00	06/19/20	240.00	...	-240.00	3 of 10 - Option expiration- long call
	17.000	0.00	07/06/20	340.00	...	-340.00	4 of 10 - Option expiration- long call
	9.000	0.00	07/06/20	180.00	...	-180.00	5 of 10 - Option expiration- long call
	4.000	0.00	07/06/20	80.00	...	-80.00	6 of 10 - Option expiration- long call
	3.000	0.00	07/06/20	60.00	...	-60.00	7 of 10 - Option expiration- long call
	3.000	0.00	07/06/20	60.00	...	-60.00	8 of 10 - Option expiration- long call
	2.000	0.00	07/06/20	40.00	...	-40.00	9 of 10 - Option expiration- long call
	2.000	0.00	07/06/20	40.00	...	-40.00	10 of 10 - Option expiration- long call
07/17/20	80.000	0.00	Various	2,400.00	...	-2,400.00	Total of 10 transactions
HUYA 07/17/2020 CALL \$26.00 / CUSIP: / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	6.000	0.00	07/09/20	348.00	...	-348.00	1 of 3 - Option expiration- long call
	3.000	0.00	07/09/20	174.00	...	-174.00	2 of 3 - Option expiration- long call
	1.000	0.00	07/09/20	58.00	...	-58.00	3 of 3 - Option expiration- long call
07/17/20	10.000	0.00	Various	580.00	...	-580.00	Total of 3 transactions
HUYA 07/17/2020 CALL \$27.00 / CUSIP: / Symbol:							
7 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	35.00	06/18/20	35.00	...	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	35.00	06/18/20	35.00	...	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	35.00	06/18/20	35.00	...	0.00	3 of 7 - Option sale to close-call <sup>25</sup>

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUYA 07/17/2020 CALL \$27.00 / CUSIP: / Symbol: (cont'd)							
	1.000	35.00	06/18/20	35.00	...	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	3.000	104.99	06/18/20	60.01	...	44.98	5 of 7 - Option sale to close-call <sup>25</sup>
	5.000	174.98	06/18/20	100.00	...	74.98	6 of 7 - Option sale to close-call <sup>25</sup>
	7.000	244.97	06/18/20	140.03	...	104.94	7 of 7 - Option sale to close-call <sup>25</sup>
07/09/20	19.000	664.94	Various	440.04	...	224.90	Total of 7 transactions
	3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	3.000	104.99	06/18/20	105.00	0.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	12.000	419.96	06/18/20	420.00	0.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	35.00	06/18/20	35.01	0.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/09/20	16.000	559.95	Various	560.01	0.06 W	0.00	Total of 3 transactions
	9 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	10.000	0.00	06/18/20	250.00	...	-250.00	1 of 9 - Option expiration- long call
	10.000	0.00	06/18/20	250.00	...	-250.00	2 of 9 - Option expiration- long call
	6.000	0.00	06/18/20	102.00	...	-102.00	3 of 9 - Option expiration- long call
	6.000	0.00	06/18/20	102.00	...	-102.00	4 of 9 - Option expiration- long call
	6.000	0.00	06/18/20	102.00	...	-102.00	5 of 9 - Option expiration- long call
	4.000	0.00	06/18/20	80.00	...	-80.00	6 of 9 - Option expiration- long call
	1.000	0.00	06/18/20	20.01	...	-20.01	7 of 9 - Option expiration- long call
	1.000	0.00	06/18/20	17.00	...	-17.00	8 of 9 - Option expiration- long call
	1.000	0.00	06/18/20	17.00	...	-17.00	9 of 9 - Option expiration- long call
07/17/20	45.000	0.00	Various	940.01	...	-940.01	Total of 9 transactions
	<b>Security total:</b>	<b>1,224.89</b>		<b>1,940.06</b>	<b>0.06 W</b>	<b>-715.11</b>	
IDEX 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	5.000	0.00	06/17/20	400.00	...	-400.00	1 of 3 - Option expiration- long call
	5.000	0.00	06/17/20	400.00	...	-400.00	2 of 3 - Option expiration- long call
	5.000	0.00	06/29/20	325.00	...	-325.00	3 of 3 - Option expiration- long call
07/17/20	15.000	0.00	Various	1,125.00	...	-1,125.00	Total of 3 transactions

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEX 07/17/2020 CALL \$4.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	06/22/20	650.00	...	-650.00	1 of 2 - Option expiration- long call
	10.000	0.00	06/22/20	600.00	...	-600.00	2 of 2 - Option expiration- long call
07/17/20	20.000	0.00	Various	1,250.00	...	-1,250.00	Total of 2 transactions
IDEX 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	8.000	0.00	06/22/20	520.00	...	-520.00	1 of 2 - Option expiration- long call
	2.000	0.00	06/22/20	130.00	...	-130.00	2 of 2 - Option expiration- long call
07/17/20	10.000	0.00	Various	650.00	...	-650.00	Total of 2 transactions
LTM 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	114.99	06/02/20	30.00	...	84.99	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	1,034.96	06/02/20	270.00	...	764.96	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/20	10.000	1,149.95	Various	300.00	...	849.95	Total of 2 transactions
LTM 06/19/2020 CALL \$3.00 / CUSIP: / Symbol:							
9 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	89.98	06/03/20	25.00	...	64.98	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	89.98	06/03/20	25.00	...	64.98	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	89.98	06/03/20	25.00	...	64.98	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	89.98	06/03/20	25.00	...	64.98	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	89.98	06/03/20	25.00	...	64.98	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	89.98	06/03/20	25.00	...	64.98	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	89.98	06/03/20	25.00	...	64.98	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	89.98	06/03/20	25.00	...	64.98	8 of 9 - Option sale to close-call <sup>25</sup>
	2.000	179.98	06/03/20	50.00	...	129.98	9 of 9 - Option sale to close-call <sup>25</sup>
06/09/20	10.000	899.82	Various	250.00	...	649.82	Total of 9 transactions

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
M 07/24/2020 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	8.000	0.00	07/21/20	152.00	...	-152.00	1 of 3 - Option expiration- long call
	6.000	0.00	07/21/20	114.00	...	-114.00	2 of 3 - Option expiration- long call
	6.000	0.00	07/21/20	114.00	...	-114.00	3 of 3 - Option expiration- long call
07/24/20	20.000	0.00	Various	380.00	...	-380.00	Total of 3 transactions
M 07/24/2020 CALL \$7.50 / CUSIP: / Symbol:							
7 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	9.000	0.00	07/07/20	315.00	...	-315.00	1 of 7 - Option expiration- long call
	6.000	0.00	07/07/20	252.00	...	-252.00	2 of 7 - Option expiration- long call
	4.000	0.00	07/07/20	168.00	...	-168.00	3 of 7 - Option expiration- long call
	1.000	0.00	07/07/20	35.00	...	-35.00	4 of 7 - Option expiration- long call
	35.000	0.00	07/21/20	140.00	...	-140.00	5 of 7 - Option expiration- long call
	20.000	0.00	07/21/20	80.00	...	-80.00	6 of 7 - Option expiration- long call
	5.000	0.00	07/21/20	20.00	...	-20.00	7 of 7 - Option expiration- long call
07/24/20	80.000	0.00	Various	1,010.00	...	-1,010.00	Total of 7 transactions
MARK 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	89.99	06/16/20	90.00	...	-0.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	89.99	06/16/20	90.00	...	-0.01	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	90.00	06/16/20	90.00	...	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	90.00	06/16/20	90.00	...	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	90.00	06/16/20	90.00	...	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/16/20	5.000	449.98	Various	450.00	...	-0.02	Total of 5 transactions
NAK 07/17/2020 CALL \$2.00 / CUSIP: / Symbol:							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	3.000	59.98	07/07/20	60.00	...	-0.02	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	79.98	07/07/20	80.00	...	-0.02	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	59.98	07/07/20	60.00	...	-0.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/07/20	10.000	199.94	Various	200.00	...	-0.06	Total of 3 transactions

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAK 09/18/2020 CALL \$1.00 / CUSIP: / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	10.000	249.97	08/26/20	250.00	...	-0.03	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	124.99	08/26/20	125.00	...	-0.01	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	249.97	08/26/20	240.00	...	9.97	3 of 3 - Option sale to close-call <sup>25</sup>
09/02/20	25.000	624.93	Various	615.00	...	9.93	Total of 3 transactions
NAK 09/18/2020 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	23.000	0.00	09/01/20	230.00	...	-230.00	1 of 2 - Option expiration- long call
	2.000	0.00	09/01/20	20.00	...	-20.00	2 of 2 - Option expiration- long call
09/18/20	25.000	0.00	Various	250.00	...	-250.00	Total of 2 transactions
NBR 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/17/20	1.000	80.98	06/12/20	830.00	...	-749.02	Option sale to close-call <sup>25</sup>
NBR 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/17/20	1.000	4.98	06/12/20	650.00	...	-645.02	Option sale to close-call <sup>25</sup>
NOG 07/17/2020 CALL \$2.00 / CUSIP: / Symbol:							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	34.000	339.92	06/08/20	340.00	...	-0.08	1 of 5 - Option sale to close-call <sup>25</sup>
	21.000	209.95	06/08/20	210.00	...	-0.05	2 of 5 - Option sale to close-call <sup>25</sup>
	20.000	199.96	06/08/20	200.00	...	-0.04	3 of 5 - Option sale to close-call <sup>25</sup>
	4.000	39.99	06/08/20	40.00	...	-0.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	10.00	06/08/20	10.00	...	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/10/20	80.000	799.82	Various	800.00	...	-0.18	Total of 5 transactions
OAS 06/19/2020 CALL \$1.00 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	89.98	06/05/20	10.00	...	79.98	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	199.98	06/05/20	20.00	...	179.98	2 of 3 - Option sale to close-call <sup>25</sup>
	17.000	1,529.93	06/05/20	170.00	...	1,359.93	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/20	20.000	1,819.89	Various	200.00	...	1,619.89	Total of 3 transactions

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OAS 06/19/2020 CALL \$1.50 / CUSIP: / Symbol:							
<i>10 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	74.98	06/05/20	12.00	...	62.98	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	74.98	06/05/20	12.00	...	62.98	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	74.98	06/05/20	12.00	...	62.98	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	74.98	06/05/20	12.00	...	62.98	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	74.98	06/05/20	12.00	...	62.98	5 of 10 - Option sale to close-call <sup>25</sup>
	2.000	149.98	06/05/20	24.00	...	125.98	6 of 10 - Option sale to close-call <sup>25</sup>
	2.000	149.98	06/05/20	24.00	...	125.98	7 of 10 - Option sale to close-call <sup>25</sup>
	2.000	149.98	06/05/20	24.00	...	125.98	8 of 10 - Option sale to close-call <sup>25</sup>
	13.000	974.94	06/05/20	156.00	...	818.94	9 of 10 - Option sale to close-call <sup>25</sup>
	16.000	1,199.94	06/05/20	192.00	...	1,007.94	10 of 10 - Option sale to close-call <sup>25</sup>
06/08/20	40.000	2,999.72	Various	480.00	...	2,519.72	Total of 10 transactions
OAS 07/17/2020 CALL \$1.00 / CUSIP: / Symbol:							
06/08/20	20.000	2,199.91	06/05/20	300.00	...	1,899.91	Option sale to close-call <sup>25</sup>
PCG 09/18/2020 CALL \$10.00 / CUSIP: / Symbol:							
<i>22 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	16.000	0.00	09/15/20	160.00	...	-160.00	1 of 22 - Option expiration- long call
	10.000	0.00	09/15/20	90.00	...	-90.00	2 of 22 - Option expiration- long call
	10.000	0.00	09/15/20	90.00	...	-90.00	3 of 22 - Option expiration- long call

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PCG 09/18/2020 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	5.000	0.00	09/15/20	50.00	...	-50.00	4 of 22 - Option expiration- long call
	5.000	0.00	09/15/20	45.00	...	-45.00	5 of 22 - Option expiration- long call
	3.000	0.00	09/15/20	30.00	...	-30.00	6 of 22 - Option expiration- long call
	1.000	0.00	09/15/20	10.00	...	-10.00	7 of 22 - Option expiration- long call
	20.000	0.00	09/16/20	180.00	...	-180.00	8 of 22 - Option expiration- long call
	20.000	0.00	09/16/20	100.00	...	-100.00	9 of 22 - Option expiration- long call
	9.000	0.00	09/16/20	63.00	...	-63.00	10 of 22 - Option expiration- long call
	5.000	0.00	09/16/20	35.00	...	-35.00	11 of 22 - Option expiration- long call
	4.000	0.00	09/16/20	28.00	...	-28.00	12 of 22 - Option expiration- long call
	5.000	0.00	09/16/20	25.00	...	-25.00	13 of 22 - Option expiration- long call
	3.000	0.00	09/16/20	21.00	...	-21.00	14 of 22 - Option expiration- long call
	3.000	0.00	09/16/20	21.00	...	-21.00	15 of 22 - Option expiration- long call
	1.000	0.00	09/16/20	9.00	...	-9.00	16 of 22 - Option expiration- long call
	1.000	0.00	09/16/20	7.00	...	-7.00	17 of 22 - Option expiration- long call
	1.000	0.00	09/16/20	7.00	...	-7.00	18 of 22 - Option expiration- long call
	1.000	0.00	09/16/20	7.00	...	-7.00	19 of 22 - Option expiration- long call
	1.000	0.00	09/16/20	7.00	...	-7.00	20 of 22 - Option expiration- long call
	1.000	0.00	09/16/20	7.00	...	-7.00	21 of 22 - Option expiration- long call

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PCG 09/18/2020 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	125.000	0.00	09/17/20	250.00	...	-250.00	22 of 22 - Option expiration- long call
09/18/20	250.000	0.00	Various	1,242.00	...	-1,242.00	Total of 22 transactions
PDS 06/19/2020 CALL \$1.00 / CUSIP: / Symbol:							
16 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	06/08/20	200.00	...	-200.00	1 of 16 - Option expiration- long call
	10.000	0.00	06/08/20	200.00	...	-200.00	2 of 16 - Option expiration- long call
	10.000	0.00	06/08/20	200.00	...	-200.00	3 of 16 - Option expiration- long call
	10.000	0.00	06/08/20	200.00	...	-200.00	4 of 16 - Option expiration- long call
	7.000	0.00	06/08/20	140.00	...	-140.00	5 of 16 - Option expiration- long call
	7.000	0.00	06/08/20	140.00	...	-140.00	6 of 16 - Option expiration- long call
	5.000	0.00	06/08/20	100.00	...	-100.00	7 of 16 - Option expiration- long call
	5.000	0.00	06/08/20	100.00	...	-100.00	8 of 16 - Option expiration- long call
	5.000	0.00	06/08/20	100.00	...	-100.00	9 of 16 - Option expiration- long call
	5.000	0.00	06/08/20	100.00	...	-100.00	10 of 16 - Option expiration- long call
	2.000	0.00	06/08/20	40.00	...	-40.00	11 of 16 - Option expiration- long call
	1.000	0.00	06/08/20	20.00	...	-20.00	12 of 16 - Option expiration- long call
	1.000	0.00	06/08/20	20.00	...	-20.00	13 of 16 - Option expiration- long call
	1.000	0.00	06/08/20	20.00	...	-20.00	14 of 16 - Option expiration- long call
	1.000	0.00	06/08/20	20.00	...	-20.00	15 of 16 - Option expiration- long call

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PDS 06/19/2020 CALL \$1.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	06/10/20	20.00	...	-20.00	16 of 16 - Option expiration- long call
06/19/20	82.000	0.00	Various	1,620.00	...	-1,620.00	Total of 16 transactions
PFE 06/19/2020 CALL \$38.00 / CUSIP: / Symbol:							
06/08/20	5.000	114.98	06/03/20	115.00	...	-0.02	Option sale to close-call <sup>25</sup>
PFE 06/19/2020 CALL \$38.50 / CUSIP: / Symbol:							
06/19/20	5.000	0.00	06/03/20	80.00	...	-80.00	Option expiration- long call
PRTY 06/19/2020 CALL \$2.00 / CUSIP: / Symbol:							
4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	10.000	79.98	06/15/20	1,140.02	...	-1,060.04	1 of 4 - Option sale to close-call <sup>25</sup>
	10.000	79.98	06/15/20	840.02	...	-760.04	2 of 4 - Option sale to close-call <sup>25</sup>
	14.000	111.96	06/15/20	476.04	...	-364.08	3 of 4 - Option sale to close-call <sup>25</sup>
	6.000	47.99	06/15/20	204.01	...	-156.02	4 of 4 - Option sale to close-call <sup>25</sup>
06/17/20	40.000	319.91	Various	2,660.09	...	-2,340.18	Total of 4 transactions
4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	10.000	79.98	06/08/20	1,000.00	920.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	10.000	79.98	06/08/20	700.00	620.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	6.000	47.99	06/11/20	120.00	72.01 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	14.000	111.96	06/11/20	280.00	168.04 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/17/20	40.000	319.91	Various	2,100.00	1,780.09 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>639.82</b>		<b>4,760.09</b>	<b>1,780.09 W</b>	<b>-2,340.18</b>	
ROKU 07/17/2020 CALL \$240.00 / CUSIP: / Symbol:							
14 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	79.98	06/16/20	65.00	...	14.98	1 of 14 - Option sale to close-call <sup>25</sup>
	1.000	79.98	06/16/20	65.00	...	14.98	2 of 14 - Option sale to close-call <sup>25</sup>
	1.000	79.98	06/16/20	65.00	...	14.98	3 of 14 - Option sale to close-call <sup>25</sup>
	1.000	79.98	06/16/20	64.00	...	15.98	4 of 14 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 07/17/2020 CALL \$240.00 / CUSIP: / Symbol: (cont'd)							
	1.000	79.98	06/16/20	64.00	...	15.98	5 of 14 - Option sale to close-call <sub>25</sub>
	1.000	79.99	06/16/20	64.00	...	15.99	6 of 14 - Option sale to close-call <sub>25</sub>
	2.000	159.98	06/16/20	130.00	...	29.98	7 of 14 - Option sale to close-call <sub>25</sub>
	2.000	159.98	06/16/20	128.00	...	31.98	8 of 14 - Option sale to close-call <sub>25</sub>
	2.000	159.98	06/16/20	128.00	...	31.98	9 of 14 - Option sale to close-call <sub>25</sub>
	2.000	159.99	06/16/20	128.00	...	31.99	10 of 14 - Option sale to close-call <sub>25</sub>
	3.000	239.99	06/16/20	192.00	...	47.99	11 of 14 - Option sale to close-call <sub>25</sub>
	3.000	239.99	06/16/20	192.00	...	47.99	12 of 14 - Option sale to close-call <sub>25</sub>
	5.000	399.98	06/16/20	325.00	...	74.98	13 of 14 - Option sale to close-call <sub>25</sub>
	15.000	1,199.94	06/16/20	975.00	...	224.94	14 of 14 - Option sale to close-call <sub>25</sub>
06/16/20	40.000	3,199.72	Various	2,585.00	...	614.72	Total of 14 transactions
	15 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	13.000	831.95	06/16/20	1,066.05	...	-234.10	1 of 15 - Option sale to close-call <sub>25</sub>
	1.000	64.00	06/16/20	82.02	...	-18.02	2 of 15 - Option sale to close-call <sub>25</sub>
	5.000	319.98	06/17/20	430.04	...	-110.06	3 of 15 - Option sale to close-call <sub>25</sub>
	10.000	639.96	06/17/20	680.00	...	-40.04	4 of 15 - Option sale to close-call <sub>25</sub>
	1.000	64.00	06/17/20	86.00	...	-22.00	5 of 15 - Option sale to close-call <sub>25</sub>
	2.000	127.99	06/17/20	136.00	...	-8.01	6 of 15 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 07/17/2020 CALL \$240.00 / CUSIP: / Symbol: (cont'd)							
	1.000	64.00	06/17/20	68.00	...	-4.00	7 of 15 - Option sale to close-call <sup>25</sup>
	1.000	64.00	06/17/20	68.00	...	-4.00	8 of 15 - Option sale to close-call <sup>25</sup>
	6.000	383.98	06/17/20	360.00	...	23.98	9 of 15 - Option sale to close-call <sup>25</sup>
	1.000	64.00	06/17/20	38.00	...	26.00	10 of 15 - Option sale to close-call <sup>25</sup>
	1.000	64.00	06/17/20	38.00	...	26.00	11 of 15 - Option sale to close-call <sup>25</sup>
	2.000	127.99	06/17/20	76.00	...	51.99	12 of 15 - Option sale to close-call <sup>25</sup>
	14.000	895.95	06/17/20	840.00	...	55.95	13 of 15 - Option sale to close-call <sup>25</sup>
	10.000	639.97	06/17/20	540.00	...	99.97	14 of 15 - Option sale to close-call <sup>25</sup>
	6.000	383.97	06/17/20	228.00	...	155.97	15 of 15 - Option sale to close-call <sup>25</sup>
06/19/20	74.000	4,735.74	Various	4,736.11	...	-0.37	Total of 15 transactions
	5 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	13.000	831.95	06/16/20	949.00	117.05 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	64.00	06/16/20	82.00	18.00 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	63.98	06/16/20	73.00	9.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	6.000	383.98	06/16/20	438.00	54.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	5.000	319.98	06/16/20	410.02	90.04 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
06/19/20	26.000	1,663.89	Various	1,952.02	288.13 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>9,599.35</b>		<b>9,273.13</b>	<b>288.13 W</b>	<b>614.35</b>	
SOLO 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
	17 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	15.000	74.96	06/15/20	600.03	...	-525.07	1 of 17 - Option sale to close-call <sup>25</sup>
	14.000	69.97	06/15/20	532.03	...	-462.06	2 of 17 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOLO 06/19/2020 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
	11.000	54.98	06/15/20	440.02	...	-385.04	3 of 17 - Option sale to close-call 25
	10.000	49.97	06/15/20	380.03	...	-330.06	4 of 17 - Option sale to close-call 25
	19.000	94.95	06/15/20	380.00	...	-285.05	5 of 17 - Option sale to close-call 25
	5.000	24.99	06/15/20	290.02	...	-265.03	6 of 17 - Option sale to close-call 25
	3.000	14.99	06/15/20	174.02	...	-159.03	7 of 17 - Option sale to close-call 25
	3.000	14.99	06/15/20	174.02	...	-159.03	8 of 17 - Option sale to close-call 25
	4.000	19.99	06/15/20	160.02	...	-140.03	9 of 17 - Option sale to close-call 25
	2.000	9.99	06/15/20	116.00	...	-106.01	10 of 17 - Option sale to close-call 25
	2.000	10.00	06/15/20	116.00	...	-106.00	11 of 17 - Option sale to close-call 25
	2.000	10.00	06/15/20	116.00	...	-106.00	12 of 17 - Option sale to close-call 25
	2.000	10.00	06/15/20	116.00	...	-106.00	13 of 17 - Option sale to close-call 25
	3.000	14.99	06/15/20	120.01	...	-105.02	14 of 17 - Option sale to close-call 25
	3.000	14.99	06/15/20	114.01	...	-99.02	15 of 17 - Option sale to close-call 25
	1.000	5.00	06/15/20	58.00	...	-53.00	16 of 17 - Option sale to close-call 25
	1.000	5.00	06/15/20	20.00	...	-15.00	17 of 17 - Option sale to close-call 25
06/17/20	100.000	499.76	Various	3,906.21	...	-3,406.45	Total of 17 transactions

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOLO 06/19/2020 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
<i>10 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	47.000	328.90	06/15/20	1,175.00	846.10 W	0.00	1 of 10 - Option sale to close-call 25
	4.000	19.98	06/15/20	100.00	80.02 W	0.00	2 of 10 - Option sale to close-call 25
	29.000	144.94	06/15/20	725.00	580.06 W	0.00	3 of 10 - Option sale to close-call 25
	2.000	10.00	06/15/20	86.00	76.00 W	0.00	4 of 10 - Option sale to close-call 25
	8.000	39.98	06/15/20	344.02	304.04 W	0.00	5 of 10 - Option sale to close-call 25
	2.000	10.00	06/15/20	86.00	76.00 W	0.00	6 of 10 - Option sale to close-call 25
	2.000	10.00	06/15/20	86.00	76.00 W	0.00	7 of 10 - Option sale to close-call 25
	1.000	5.00	06/15/20	43.00	38.00 W	0.00	8 of 10 - Option sale to close-call 25
	3.000	14.99	06/15/20	129.01	114.02 W	0.00	9 of 10 - Option sale to close-call 25
	2.000	10.00	06/15/20	86.00	76.00 W	0.00	10 of 10 - Option sale to close-call 25
06/17/20	100.000	593.79	Various	2,860.03	2,266.24 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>1,093.55</b>		<b>6,766.24</b>	<b>2,266.24 W</b>	<b>-3,406.45</b>	
SOLO 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
<i>33 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	0.00	06/09/20	700.00	...	-700.00	1 of 33 - Option expiration- long call
	16.000	0.00	06/09/20	480.00	...	-480.00	2 of 33 - Option expiration- long call
	10.000	0.00	06/09/20	450.00	...	-450.00	3 of 33 - Option expiration- long call
	10.000	0.00	06/09/20	450.00	...	-450.00	4 of 33 - Option expiration- long call

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOLO 06/19/2020 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	7.000	0.00	06/09/20	420.00	...	-420.00	5 of 33 - Option expiration- long call
	4.000	0.00	06/09/20	320.00	...	-320.00	6 of 33 - Option expiration- long call
	4.000	0.00	06/09/20	320.00	...	-320.00	7 of 33 - Option expiration- long call
	7.000	0.00	06/09/20	315.00	...	-315.00	8 of 33 - Option expiration- long call
	5.000	0.00	06/09/20	200.00	...	-200.00	9 of 33 - Option expiration- long call
	3.000	0.00	06/09/20	180.00	...	-180.00	10 of 33 - Option expiration- long call
	2.000	0.00	06/09/20	160.00	...	-160.00	11 of 33 - Option expiration- long call
	3.000	0.00	06/09/20	135.00	...	-135.00	12 of 33 - Option expiration- long call
	4.000	0.00	06/09/20	120.00	...	-120.00	13 of 33 - Option expiration- long call
	3.000	0.00	06/09/20	120.00	...	-120.00	14 of 33 - Option expiration- long call
	2.000	0.00	06/09/20	80.00	...	-80.00	15 of 33 - Option expiration- long call
	37.000	0.00	06/10/20	370.00	...	-370.00	16 of 33 - Option expiration- long call
	24.000	0.00	06/10/20	240.00	...	-240.00	17 of 33 - Option expiration- long call
	18.000	0.00	06/10/20	180.00	...	-180.00	18 of 33 - Option expiration- long call
	10.000	0.00	06/10/20	100.00	...	-100.00	19 of 33 - Option expiration- long call
	7.000	0.00	06/10/20	70.00	...	-70.00	20 of 33 - Option expiration- long call
	7.000	0.00	06/10/20	70.00	...	-70.00	21 of 33 - Option expiration- long call
	4.000	0.00	06/10/20	40.00	...	-40.00	22 of 33 - Option expiration- long call

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOLO 06/19/2020 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	06/10/20	40.00	...	-40.00	23 of 33 - Option expiration- long call
	3.000	0.00	06/10/20	30.00	...	-30.00	24 of 33 - Option expiration- long call
	3.000	0.00	06/10/20	30.00	...	-30.00	25 of 33 - Option expiration- long call
	1.000	0.00	06/10/20	10.00	...	-10.00	26 of 33 - Option expiration- long call
	1.000	0.00	06/10/20	10.00	...	-10.00	27 of 33 - Option expiration- long call
	1.000	0.00	06/10/20	10.00	...	-10.00	28 of 33 - Option expiration- long call
	1.000	0.00	06/10/20	10.00	...	-10.00	29 of 33 - Option expiration- long call
	1.000	0.00	06/10/20	10.00	...	-10.00	30 of 33 - Option expiration- long call
	1.000	0.00	06/10/20	10.00	...	-10.00	31 of 33 - Option expiration- long call
	1.000	0.00	06/10/20	10.00	...	-10.00	32 of 33 - Option expiration- long call
	1.000	0.00	06/10/20	10.00	...	-10.00	33 of 33 - Option expiration- long call
06/19/20	225.000	0.00	Various	5,700.00	...	-5,700.00	Total of 33 transactions
SOLO 06/19/2020 CALL \$7.50 / CUSIP: / Symbol:							
37 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	18.000	0.00	06/09/20	630.00	...	-630.00	1 of 37 - Option expiration- long call
	15.000	0.00	06/09/20	600.00	...	-600.00	2 of 37 - Option expiration- long call
	12.000	0.00	06/09/20	420.00	...	-420.00	3 of 37 - Option expiration- long call
	7.000	0.00	06/09/20	420.00	...	-420.00	4 of 37 - Option expiration- long call
	20.000	0.00	06/09/20	400.00	...	-400.00	5 of 37 - Option expiration- long call

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOLO 06/19/2020 CALL \$7.50 / CUSIP: / Symbol: (cont'd)							
	10.000	0.00	06/09/20	250.00	...	-250.00	6 of 37 - Option expiration- long call
	5.000	0.00	06/09/20	175.00	...	-175.00	7 of 37 - Option expiration- long call
	5.000	0.00	06/09/20	150.00	...	-150.00	8 of 37 - Option expiration- long call
	6.000	0.00	06/09/20	150.00	...	-150.00	9 of 37 - Option expiration- long call
	2.000	0.00	06/09/20	120.00	...	-120.00	10 of 37 - Option expiration- long call
	4.000	0.00	06/09/20	120.00	...	-120.00	11 of 37 - Option expiration- long call
	2.000	0.00	06/09/20	80.00	...	-80.00	12 of 37 - Option expiration- long call
	2.000	0.00	06/09/20	80.00	...	-80.00	13 of 37 - Option expiration- long call
	2.000	0.00	06/09/20	70.00	...	-70.00	14 of 37 - Option expiration- long call
	2.000	0.00	06/09/20	70.00	...	-70.00	15 of 37 - Option expiration- long call
	1.000	0.00	06/09/20	60.00	...	-60.00	16 of 37 - Option expiration- long call
	2.000	0.00	06/09/20	50.00	...	-50.00	17 of 37 - Option expiration- long call
	2.000	0.00	06/09/20	50.00	...	-50.00	18 of 37 - Option expiration- long call
	1.000	0.00	06/09/20	40.00	...	-40.00	19 of 37 - Option expiration- long call
	1.000	0.00	06/09/20	35.00	...	-35.00	20 of 37 - Option expiration- long call
	1.000	0.00	06/09/20	30.00	...	-30.00	21 of 37 - Option expiration- long call
	25.000	0.00	06/10/20	250.00	...	-250.00	22 of 37 - Option expiration- long call
	23.000	0.00	06/10/20	230.00	...	-230.00	23 of 37 - Option expiration- long call

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SOLO 06/19/2020 CALL \$7.50 / CUSIP: / Symbol: (cont'd)							
	12.000	0.00	06/10/20	120.00	...	-120.00	24 of 37 - Option expiration- long call
	10.000	0.00	06/10/20	100.00	...	-100.00	25 of 37 - Option expiration- long call
	10.000	0.00	06/10/20	90.00	...	-90.00	26 of 37 - Option expiration- long call
	7.000	0.00	06/10/20	70.00	...	-70.00	27 of 37 - Option expiration- long call
	5.000	0.00	06/10/20	50.00	...	-50.00	28 of 37 - Option expiration- long call
	5.000	0.00	06/10/20	50.00	...	-50.00	29 of 37 - Option expiration- long call
	5.000	0.00	06/10/20	40.00	...	-40.00	30 of 37 - Option expiration- long call
	3.000	0.00	06/10/20	30.00	...	-30.00	31 of 37 - Option expiration- long call
	50.000	0.00	06/11/20	250.00	...	-250.00	32 of 37 - Option expiration- long call
	22.000	0.00	06/11/20	110.00	...	-110.00	33 of 37 - Option expiration- long call
	18.000	0.00	06/11/20	90.00	...	-90.00	34 of 37 - Option expiration- long call
	5.000	0.00	06/11/20	25.00	...	-25.00	35 of 37 - Option expiration- long call
	3.000	0.00	06/11/20	15.00	...	-15.00	36 of 37 - Option expiration- long call
	2.000	0.00	06/11/20	10.00	...	-10.00	37 of 37 - Option expiration- long call
06/19/20	325.000	0.00	Various	5,530.00	...	-5,530.00	Total of 37 transactions
SRNE 06/19/2020 CALL \$4.50 / CUSIP: / Symbol:							
12 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	35.000	1,574.89	06/11/20	2,100.00	...	-525.11	1 of 12 - Option sale to close-call 25
	15.000	674.95	06/11/20	1,035.00	...	-360.05	2 of 12 - Option sale to close-call 25

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SRNE 06/19/2020 CALL \$4.50 / CUSIP: / Symbol: (cont'd)							
	3.000	134.99	06/11/20	357.03	...	-222.04	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/11/20	149.02	...	-104.02	4 of 12 - Option sale to close-call <sup>25</sup>
	2.000	89.99	06/11/20	190.01	...	-100.02	5 of 12 - Option sale to close-call <sup>25</sup>
	2.000	89.99	06/11/20	190.01	...	-100.02	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/11/20	95.00	...	-50.00	7 of 12 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/11/20	89.00	...	-44.00	8 of 12 - Option sale to close-call <sup>25</sup>
	2.000	89.99	06/11/20	120.00	...	-30.01	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/11/20	60.00	...	-15.00	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/11/20	60.00	...	-15.00	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/11/20	60.00	...	-15.00	12 of 12 - Option sale to close-call <sup>25</sup>
06/16/20	65.000	2,924.80	Various	4,505.07	...	-1,580.27	Total of 12 transactions
	8 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	44.98	06/11/20	75.00	30.02 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	4.000	179.99	06/11/20	300.00	120.01 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/11/20	105.02	60.02 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	2.000	89.99	06/11/20	150.00	60.01 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/11/20	65.00	20.00 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	89.99	06/11/20	150.00	60.01 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/11/20	125.02	80.02 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	3.000	134.98	06/11/20	285.01	150.03 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
06/16/20	15.000	674.93	Various	1,255.05	580.12 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>3,599.73</b>		<b>5,760.12</b>	<b>580.12 W</b>	<b>-1,580.27</b>	

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Robinhood Securities LLC

Account 570218032

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TME 07/17/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	10.000	599.97	06/19/20	700.00	...	-100.03	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	599.96	06/23/20	500.00	...	99.96	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	20.000	1,199.93	Various	1,200.00	...	-0.07	Total of 2 transactions
VBIV 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	2.000	31.98	06/15/20	128.02	...	-96.04	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	31.98	06/15/20	80.00	...	-48.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/16/20	4.000	63.96	Various	208.02	...	-144.06	Total of 2 transactions
06/16/20	2.000	31.98	06/15/20	80.00	48.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>95.94</b>		<b>288.02</b>	<b>48.02 W</b>	<b>-144.06</b>	
WKHS 07/17/2020 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	395.99	07/01/20	360.00	...	35.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	395.98	07/01/20	350.00	...	45.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	791.97	Various	710.00	...	81.97	Total of 2 transactions
ZYXI 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	3.000	329.98	06/11/20	660.01	...	-330.03	1 of 6 - Option sale to close-call <sup>25</sup>
	4.000	439.98	06/11/20	700.02	...	-260.04	2 of 6 - Option sale to close-call <sup>25</sup>
	5.000	549.97	06/11/20	675.00	...	-125.03	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	110.00	06/11/20	219.01	...	-109.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	110.00	06/11/20	219.00	...	-109.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	110.00	06/11/20	175.01	...	-65.01	6 of 6 - Option sale to close-call <sup>25</sup>
06/16/20	15.000	1,649.93	Various	2,648.05	...	-998.12	Total of 6 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	110.00	06/11/20	179.00	69.00 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	109.99	06/11/20	179.00	69.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	549.97	06/11/20	750.00	200.03 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ZYXI 06/19/2020 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	3.000	329.99	06/11/20	540.00	210.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/16/20	10.000	1,099.95	Various	1,648.00	548.05 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>2,749.88</b>		<b>4,296.05</b>	<b>548.05 W</b>	<b>-998.12</b>	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
8 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	50.000	266.49	05/11/20	297.01	...	-30.52	1 of 8 - Sale <sup>25</sup>
	400.000	2,131.90	05/11/20	2,132.00	...	-0.10	2 of 8 - Sale <sup>25</sup>
	300.000	1,598.93	05/11/20	1,599.00	...	-0.07	3 of 8 - Sale <sup>25</sup>
	250.000	1,332.44	05/11/20	1,332.50	...	-0.06	4 of 8 - Sale <sup>25</sup>
	15.000	88.20	05/11/20	88.05	...	0.15	5 of 8 - Sale <sup>25</sup>
	85.000	499.77	05/11/20	498.98	...	0.79	6 of 8 - Sale <sup>25</sup>
	100.000	587.97	05/11/20	587.03	...	0.94	7 of 8 - Sale <sup>25</sup>
	50.000	293.99	05/11/20	263.00	...	30.99	8 of 8 - Sale <sup>25</sup>
05/11/20	1,250.000	6,799.69	Various	6,797.57	...	2.12	Total of 8 transactions
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	15.000	88.20	05/11/20	97.35	9.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	235.000	1,381.73	05/11/20	1,525.15	143.42 W	0.00	2 of 2 - Sale <sup>25</sup>
05/11/20	250.000	1,469.93	Various	1,622.50	152.57 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>8,269.62</b>		<b>8,420.07</b>	<b>152.57 W</b>	<b>2.12</b>	
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	100.000	421.84	04/30/20	395.00	...	26.84	1 of 3 - Sale <sup>25</sup>
	200.000	843.66	04/30/20	790.00	...	53.66	2 of 3 - Sale <sup>25</sup>
	200.000	844.96	04/30/20	790.00	...	54.96	3 of 3 - Sale <sup>25</sup>
04/30/20	500.000	2,110.46	Various	1,975.00	...	135.46	Total of 3 transactions
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
4 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	20.000	172.00	03/09/20	156.20	...	15.80	1 of 4 - Sale <sup>25</sup>
	50.000	429.98	03/09/20	390.50	...	39.48	2 of 4 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol: (cont'd)							
	240.000	2,063.92	03/09/20	1,874.40	...	189.52	3 of 4 - Sale <sup>25</sup>
	690.000	5,933.78	03/09/20	5,388.90	...	544.88	4 of 4 - Sale <sup>25</sup>
03/09/20	1,000.000	8,599.68	Various	7,810.00	...	789.68	Total of 4 transactions
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
04/28/20	1.000	1,252.97	04/28/20	1,253.00	...	-0.03	Sale <sup>25</sup>
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
4 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	1,334.97	04/28/20	1,247.00	...	87.97	1 of 4 - Sale <sup>25</sup>
	1.000	1,334.97	04/28/20	1,241.00	...	93.97	2 of 4 - Sale <sup>25</sup>
	2.000	2,669.94	04/28/20	2,498.00	...	171.94	3 of 4 - Sale <sup>25</sup>
	5.000	6,674.85	04/28/20	6,224.40	...	450.45	4 of 4 - Sale <sup>25</sup>
04/29/20	9.000	12,014.73	Various	11,210.40	...	804.33	Total of 4 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
05/01/20	2.000	4,565.11	04/30/20	5,133.56	...	-568.45	Sale <sup>25</sup>
05/01/20	3.000	6,861.66	04/30/20	7,281.00	279.56 W	-139.78	Sale <sup>25</sup>
	<b>Security total:</b>	<b>11,426.77</b>		<b>12,414.56</b>	<b>279.56 W</b>	<b>-708.23</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
6 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	10.000	120.32	04/30/20	117.19	...	3.13	1 of 6 - Sale <sup>25</sup>
	25.000	300.79	04/30/20	292.97	...	7.82	2 of 6 - Sale <sup>25</sup>
	90.000	1,082.83	04/30/20	1,054.69	...	28.14	3 of 6 - Sale <sup>25</sup>
	100.000	1,203.15	04/30/20	1,171.88	...	31.27	4 of 6 - Sale <sup>25</sup>
	100.000	1,203.15	04/30/20	1,171.88	...	31.27	5 of 6 - Sale <sup>25</sup>
	175.000	2,105.51	04/30/20	2,050.78	...	54.73	6 of 6 - Sale <sup>25</sup>
04/30/20	500.000	6,015.75	Various	5,859.39	...	156.36	Total of 6 transactions
5 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	100.000	1,148.12	04/30/20	1,160.00	11.88 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	114.81	04/30/20	116.00	1.19 W	0.00	2 of 5 - Sale <sup>25</sup>
	115.000	1,320.34	04/30/20	1,334.00	13.66 W	0.00	3 of 5 - Sale <sup>25</sup>
	175.000	2,009.22	04/30/20	2,030.00	20.78 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	100.000	1,148.12	04/30/20	1,160.00	11.88 W	0.00	5 of 5 - Sale <sup>25</sup>
04/30/20	500.000	5,740.61	Various	5,800.00	59.39 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>11,756.36</b>		<b>11,659.39</b>	<b>59.39 W</b>	<b>156.36</b>	
AMERICAN AXLE & MANUFACTURING HOLDINGS, INC. / CUSIP: 024061103 / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	193.000	1,055.66	05/08/20	1,055.71	...	-0.05	1 of 2 - Sale <sup>25</sup>
	57.000	311.78	05/08/20	311.79	...	-0.01	2 of 2 - Sale <sup>25</sup>
05/08/20	250.000	1,367.44	Various	1,367.50	...	-0.06	Total of 2 transactions
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
5 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	486.000	612.28	03/10/20	607.50	...	4.78	1 of 5 - Sale <sup>25</sup>
	514.000	647.56	03/10/20	642.50	...	5.06	2 of 5 - Sale <sup>25</sup>
	60.000	134.99	03/10/20	102.00	...	32.99	3 of 5 - Sale <sup>25</sup>
	400.000	899.93	03/10/20	680.00	...	219.93	4 of 5 - Sale <sup>25</sup>
	540.000	1,214.91	03/10/20	918.00	...	296.91	5 of 5 - Sale <sup>25</sup>
03/10/20	2,000.000	3,509.67	Various	2,950.00	...	559.67	Total of 5 transactions
BBX CAPITAL CORPORATION CLASS A COMMON STOCK / CUSIP: 05491N302 / Symbol:							
07/28/20	25.000	356.75	07/23/20	405.00	...	-48.25	Sale <sup>25</sup>
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	35.000	2,633.83	07/29/19	6,938.75	4,304.92 W	0.00	1 of 2 - Sale <sup>25</sup>
	65.000	4,891.39	08/05/19	11,221.24	6,329.85 W	0.00	2 of 2 - Sale <sup>25</sup>
03/25/20	100.000	7,525.22	Various	18,159.99	10,634.77 W	0.00	Total of 2 transactions
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	11.000	1,385.97	08/05/19	1,898.98	...	-513.01	1 of 2 - Sale <sup>25</sup>
	39.000	4,913.89	01/14/20	4,775.43	...	138.46	2 of 2 - Sale <sup>25</sup>
05/27/20	50.000	6,299.86	Various	6,674.41	...	-374.55	Total of 2 transactions
10/06/20	11.000	1,990.95	01/14/20	1,346.92	...	644.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>15,816.03</b>		<b>26,181.32</b>	<b>10,634.77 W</b>	<b>269.48</b>	

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Robinhood Securities LLC

Account 570218032

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	30.000	4,018.11	05/01/20	4,020.00	...	-1.89	1 of 2 - Sale <sup>25</sup>
	20.000	2,678.74	05/01/20	2,677.00	...	-1.74	2 of 2 - Sale <sup>25</sup>
05/19/20	50.000	6,696.85	Various	6,697.00	...	-0.15	Total of 2 transactions
CPI AEROSTRUCTURES, INC. / CUSIP: 125919308 / Symbol:							
13 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	800.000	2,599.85	05/28/20	2,640.00	...	-40.15	1 of 13 - Sale <sup>25</sup>
	200.000	649.96	05/28/20	660.00	...	-10.04	2 of 13 - Sale <sup>25</sup>
	100.000	324.98	05/28/20	325.02	...	-0.04	3 of 13 - Sale <sup>25</sup>
	100.000	324.98	05/28/20	325.02	...	-0.04	4 of 13 - Sale <sup>25</sup>
	100.000	324.98	05/28/20	325.02	...	-0.04	5 of 13 - Sale <sup>25</sup>
	90.000	292.48	05/28/20	292.52	...	-0.04	6 of 13 - Sale <sup>25</sup>
	100.000	324.98	05/28/20	325.02	...	-0.04	7 of 13 - Sale <sup>25</sup>
	10.000	32.50	05/28/20	32.50	...	0.00	8 of 13 - Sale <sup>25</sup>
	100.000	324.97	05/28/20	312.02	...	12.95	9 of 13 - Sale <sup>25</sup>
	100.000	324.98	05/28/20	312.02	...	12.96	10 of 13 - Sale <sup>25</sup>
	100.000	324.98	05/28/20	312.02	...	12.96	11 of 13 - Sale <sup>25</sup>
	100.000	324.98	05/28/20	312.02	...	12.96	12 of 13 - Sale <sup>25</sup>
	100.000	324.98	05/28/20	312.02	...	12.96	13 of 13 - Sale <sup>25</sup>
06/09/20	2,000.000	6,499.60	Various	6,485.20	...	14.40	Total of 13 transactions
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	200.000	649.96	05/28/20	700.00	50.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	800.000	2,599.84	05/28/20	2,800.00	200.16 W	0.00	2 of 2 - Sale <sup>25</sup>
06/09/20	1,000.000	3,249.80	Various	3,500.00	250.20 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>9,749.40</b>		<b>9,985.20</b>	<b>250.20 W</b>	<b>14.40</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	44.000	543.86	04/07/20	542.96	...	0.90	1 of 2 - Sale <sup>25</sup>
	456.000	5,636.34	04/07/20	5,627.04	...	9.30	2 of 2 - Sale <sup>25</sup>
04/09/20	500.000	6,180.20	Various	6,170.00	...	10.20	Total of 2 transactions

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	200.000	2,445.92	04/09/20	2,417.80	...	28.12	1 of 2 - Sale <sup>25</sup>
	300.000	3,668.87	04/09/20	3,626.70	...	42.17	2 of 2 - Sale <sup>25</sup>
04/14/20	500.000	6,114.79	Various	6,044.50	...	70.29	Total of 2 transactions
	<b>Security total:</b>	<b>12,294.99</b>		<b>12,214.50</b>	...	<b>80.49</b>	
CARS.COM INC. COMMON STOCK / CUSIP: 14575E105 / Symbol:							
6 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	6.000	39.36	05/08/20	39.00	...	0.36	1 of 6 - Sale <sup>25</sup>
	30.000	196.79	05/08/20	195.00	...	1.79	2 of 6 - Sale <sup>25</sup>
	39.000	255.83	05/08/20	253.50	...	2.33	3 of 6 - Sale <sup>25</sup>
	72.000	472.30	05/08/20	468.00	...	4.30	4 of 6 - Sale <sup>25</sup>
	84.000	551.02	05/08/20	546.00	...	5.02	5 of 6 - Sale <sup>25</sup>
	269.000	1,764.56	05/08/20	1,748.50	...	16.06	6 of 6 - Sale <sup>25</sup>
05/26/20	500.000	3,279.86	Various	3,250.00	...	29.86	Total of 6 transactions
CARVER BANCORP, INC. COMMON STOCK / CUSIP: 146875604 / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	8.000	152.00	06/17/20	126.72	...	25.28	1 of 3 - Sale <sup>25</sup>
	19.000	353.02	06/17/20	300.77	...	52.25	2 of 3 - Sale <sup>25</sup>
	73.000	1,386.95	06/17/20	1,155.59	...	231.36	3 of 3 - Sale <sup>25</sup>
06/17/20	100.000	1,891.97	Various	1,583.08	...	308.89	Total of 3 transactions
CHEMBIO DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 163572209 / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	80.000	550.37	04/01/20	550.40	...	-0.03	1 of 2 - Sale <sup>25</sup>
	20.000	137.60	04/01/20	137.60	...	0.00	2 of 2 - Sale <sup>25</sup>
04/01/20	100.000	687.97	Various	688.00	...	-0.03	Total of 2 transactions
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	25.000	725.23	04/23/20	657.00	...	68.23	1 of 2 - Sale <sup>25</sup>
	75.000	2,174.96	04/23/20	1,971.00	...	203.96	2 of 2 - Sale <sup>25</sup>
04/23/20	100.000	2,900.19	Various	2,628.00	...	272.19	Total of 2 transactions

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol: (cont'd)							
04/24/20	50.000	1,887.45	04/24/20	1,825.93	...	61.52	Sale <sup>25</sup>
	10 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	10.000	396.69	04/24/20	398.11	0.57 W	-0.85	1 of 10 - Sale <sup>25</sup>
	1.000	39.67	04/24/20	39.74	0.07 W	0.00	2 of 10 - Sale <sup>25</sup>
	5.000	198.35	04/24/20	198.70	0.35 W	0.00	3 of 10 - Sale <sup>25</sup>
	15.000	595.03	04/24/20	596.10	1.07 W	0.00	4 of 10 - Sale <sup>25</sup>
	3.000	119.01	04/24/20	119.22	0.21 W	0.00	5 of 10 - Sale <sup>25</sup>
	5.000	198.35	04/24/20	198.70	0.35 W	0.00	6 of 10 - Sale <sup>25</sup>
	10.000	396.70	04/24/20	397.40	0.70 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	39.67	04/24/20	39.74	0.07 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	39.67	04/24/20	39.81	0.14 W	0.00	9 of 10 - Sale <sup>25</sup>
	5.000	198.34	04/24/20	199.05	0.71 W	0.00	10 of 10 - Sale <sup>25</sup>
04/24/20	56.000	2,221.48	Various	2,226.57	4.24 W	-0.85	Total of 10 transactions
	10 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	5.000	153.15	04/24/20	199.06	...	-45.91	1 of 10 - Sale <sup>25</sup>
	5.000	153.15	04/24/20	199.05	...	-45.90	2 of 10 - Sale <sup>25</sup>
	5.000	153.15	04/24/20	199.05	...	-45.90	3 of 10 - Sale <sup>25</sup>
	5.000	153.14	04/24/20	197.21	...	-44.07	4 of 10 - Sale <sup>25</sup>
	4.000	122.52	04/24/20	157.77	...	-35.25	5 of 10 - Sale <sup>25</sup>
	4.000	122.51	04/24/20	157.48	...	-34.97	6 of 10 - Sale <sup>25</sup>
	3.000	91.89	04/24/20	119.43	...	-27.54	7 of 10 - Sale <sup>25</sup>
	1.000	30.63	04/24/20	39.81	...	-9.18	8 of 10 - Sale <sup>25</sup>
	1.000	30.63	04/24/20	39.44	...	-8.81	9 of 10 - Sale <sup>25</sup>
	1.000	30.63	04/24/20	39.37	...	-8.74	10 of 10 - Sale <sup>25</sup>
04/27/20	34.000	1,041.40	Various	1,347.67	...	-306.27	Total of 10 transactions
	<b>Security total:</b>	<b>8,050.52</b>		<b>8,028.17</b>	<b>4.24 W</b>	<b>26.59</b>	
CHINA RECYCLING ENERGY CORPORA TION COMMON STOCK / CUSIP: 168913200 / Symbol:							
	6 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	1,000.000	259.88	03/31/20	693.72	...	-433.84	1 of 6 - Sale <sup>25</sup>
	700.000	181.91	03/31/20	485.60	...	-303.69	2 of 6 - Sale <sup>25</sup>
	400.000	104.15	03/31/20	277.49	...	-173.34	3 of 6 - Sale <sup>25</sup>
	317.000	82.38	03/31/20	219.90	...	-137.52	4 of 6 - Sale <sup>25</sup>
	300.000	77.96	03/31/20	208.12	...	-130.16	5 of 6 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CHINA RECYCLING ENERGY CORPORATION COMMON STOCK / CUSIP: 168913200 / Symbol: (cont'd)							
	283.000	73.54	03/31/20	196.33	...	-122.79	6 of 6 - Sale <sup>25</sup>
04/06/20	3,000.000	779.82	Various	2,081.16	...	-1,301.34	Total of 6 transactions
	4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	1,100.000	286.42	03/31/20	544.50	258.08 W	0.00	1 of 4 - Sale <sup>25</sup>
	300.000	78.11	03/31/20	148.50	70.39 W	0.00	2 of 4 - Sale <sup>25</sup>
	1,000.000	260.38	03/31/20	495.00	234.62 W	0.00	3 of 4 - Sale <sup>25</sup>
	600.000	156.23	03/31/20	297.00	140.77 W	0.00	4 of 4 - Sale <sup>25</sup>
04/06/20	3,000.000	781.14	Various	1,485.00	703.86 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>1,560.96</b>		<b>3,566.16</b>	<b>703.86 W</b>	<b>-1,301.34</b>	
CIELO SA SPONSORED ADR (BRAZIL) / CUSIP: 171778202 / Symbol:							
	3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	500.000	529.92	06/15/20	530.00	...	-0.08	1 of 3 - Sale <sup>25</sup>
	450.000	476.95	06/15/20	477.00	...	-0.05	2 of 3 - Sale <sup>25</sup>
	50.000	53.25	06/15/20	53.00	...	0.25	3 of 3 - Sale <sup>25</sup>
06/15/20	1,000.000	1,060.12	Various	1,060.00	...	0.12	Total of 3 transactions
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
	11 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	402.000	988.85	05/21/20	1,165.80	...	-176.95	1 of 11 - Sale <sup>25</sup>
	98.000	241.06	05/21/20	284.20	...	-43.14	2 of 11 - Sale <sup>25</sup>
	200.000	491.97	05/21/20	492.00	...	-0.03	3 of 11 - Sale <sup>25</sup>
	100.000	245.98	05/21/20	246.00	...	-0.02	4 of 11 - Sale <sup>25</sup>
	100.000	245.98	05/21/20	234.00	...	11.98	5 of 11 - Sale <sup>25</sup>
	100.000	245.98	05/21/20	234.00	...	11.98	6 of 11 - Sale <sup>25</sup>
	100.000	245.98	05/21/20	234.00	...	11.98	7 of 11 - Sale <sup>25</sup>
	100.000	245.98	05/21/20	234.00	...	11.98	8 of 11 - Sale <sup>25</sup>
	100.000	245.98	05/21/20	234.00	...	11.98	9 of 11 - Sale <sup>25</sup>
	300.000	737.95	05/21/20	702.00	...	35.95	10 of 11 - Sale <sup>25</sup>
	400.000	983.94	06/04/20	864.00	...	119.94	11 of 11 - Sale <sup>25</sup>
06/10/20	2,000.000	4,919.65	Various	4,924.00	...	-4.35	Total of 11 transactions
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
03/24/20	1.000	7.21	07/08/19	5.24	...	1.97	Sale <sup>25</sup>

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Account 570218032

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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COMPANHIA ENERGETICA DE MINAS GERAIS-CEMIG / CUSIP: 204409882 / Symbol:							
06/24/20	250.000	549.95	06/22/20	637.50	...	-87.55	Sale <sup>25</sup>
DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol:							
3 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	100.000	1,187.96	08/08/19	5,153.99	3,966.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	75.000	888.72	08/08/19	3,865.49	2,976.77 W	0.00	2 of 3 - Sale <sup>25</sup>
	300.000	3,554.89	08/12/19	10,487.22	6,932.33 W	0.00	3 of 3 - Sale <sup>25</sup>
03/16/20	475.000	5,631.57	Various	19,506.70	13,875.13 W	0.00	Total of 3 transactions
DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol:							
8 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	40.60	04/09/20	40.60	...	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	40.60	04/09/20	40.60	...	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	81.20	04/09/20	81.20	...	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	81.20	04/09/20	81.20	...	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	81.20	04/09/20	81.20	...	0.00	5 of 8 - Sale <sup>25</sup>
	2.000	81.20	04/09/20	81.20	...	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	40.60	04/09/20	40.60	...	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	40.60	04/09/20	40.60	...	0.00	8 of 8 - Sale <sup>25</sup>
04/09/20	12.000	487.20	Various	487.20	...	0.00	Total of 8 transactions
04/09/20	38.000	1,542.76	04/09/20	1,542.80	0.04 W	0.00	Sale <sup>25</sup>
6 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	22.000	679.48	04/09/20	1,106.19	...	-426.71	1 of 6 - Sale <sup>25</sup>
	28.000	864.79	04/09/20	1,135.43	...	-270.64	2 of 6 - Sale <sup>25</sup>
	10.000	308.86	04/09/20	464.62	...	-155.76	3 of 6 - Sale <sup>25</sup>
	10.000	308.86	04/09/20	463.13	...	-154.27	4 of 6 - Sale <sup>25</sup>
	22.000	679.48	04/09/20	808.06	...	-128.58	5 of 6 - Sale <sup>25</sup>
	8.000	247.08	04/09/20	371.69	...	-124.61	6 of 6 - Sale <sup>25</sup>
04/20/20	100.000	3,088.55	Various	4,349.12	...	-1,260.57	Total of 6 transactions
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	40.000	1,234.74	04/09/20	1,624.00	389.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	308.68	04/09/20	404.51	95.83 W	0.00	2 of 2 - Sale <sup>25</sup>
04/20/20	50.000	1,543.42	Various	2,028.51	485.09 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol: (cont'd)							
<b>Security total:</b>		<b>6,661.93</b>		<b>8,407.63</b>	<b>485.13 W</b>	<b>-1,260.57</b>	
DIFFUSION PHARMACEUTICALS INC. COMMON STOCK / CUSIP: 253748305 / Symbol:							
15 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	2,600.000	1,052.89	04/01/20	1,445.75	...	-392.86	1 of 15 - Sale <sup>25</sup>
	52.000	21.06	04/01/20	59.18	...	-38.12	2 of 15 - Sale <sup>25</sup>
	100.000	40.50	04/01/20	77.11	...	-36.61	3 of 15 - Sale <sup>25</sup>
	100.000	40.50	04/01/20	77.11	...	-36.61	4 of 15 - Sale <sup>25</sup>
	100.000	40.50	04/01/20	77.11	...	-36.61	5 of 15 - Sale <sup>25</sup>
	100.000	40.50	04/01/20	77.11	...	-36.61	6 of 15 - Sale <sup>25</sup>
	48.000	19.44	04/01/20	54.62	...	-35.18	7 of 15 - Sale <sup>25</sup>
	52.000	21.06	04/01/20	48.06	...	-27.00	8 of 15 - Sale <sup>25</sup>
	52.000	21.06	04/01/20	47.94	...	-26.88	9 of 15 - Sale <sup>25</sup>
	52.000	21.06	04/01/20	47.94	...	-26.88	10 of 15 - Sale <sup>25</sup>
	52.000	21.06	04/01/20	47.94	...	-26.88	11 of 15 - Sale <sup>25</sup>
	48.000	19.44	04/01/20	44.24	...	-24.80	12 of 15 - Sale <sup>25</sup>
	48.000	19.44	04/01/20	44.24	...	-24.80	13 of 15 - Sale <sup>25</sup>
	48.000	19.44	04/01/20	44.24	...	-24.80	14 of 15 - Sale <sup>25</sup>
	48.000	19.44	04/01/20	44.24	...	-24.80	15 of 15 - Sale <sup>25</sup>
04/02/20	3,500.000	1,417.39	Various	2,236.83	...	-819.44	Total of 15 transactions
21 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	100.000	40.76	04/01/20	65.38	24.62 W	0.00	1 of 21 - Sale <sup>25</sup>
	100.000	40.53	04/01/20	90.12	49.59 W	0.00	2 of 21 - Sale <sup>25</sup>
	100.000	40.76	04/01/20	65.50	24.74 W	0.00	3 of 21 - Sale <sup>25</sup>
	48.000	19.57	04/01/20	31.44	11.87 W	0.00	4 of 21 - Sale <sup>25</sup>
	52.000	21.07	04/01/20	34.06	12.99 W	0.00	5 of 21 - Sale <sup>25</sup>
	100.000	40.76	04/01/20	65.50	24.74 W	0.00	6 of 21 - Sale <sup>25</sup>
	100.000	40.76	04/01/20	65.50	24.74 W	0.00	7 of 21 - Sale <sup>25</sup>
	100.000	40.76	04/01/20	65.50	24.74 W	0.00	8 of 21 - Sale <sup>25</sup>
	100.000	40.76	04/01/20	65.50	24.74 W	0.00	9 of 21 - Sale <sup>25</sup>
	100.000	40.76	04/01/20	65.50	24.74 W	0.00	10 of 21 - Sale <sup>25</sup>
	100.000	40.76	04/01/20	65.50	24.74 W	0.00	11 of 21 - Sale <sup>25</sup>
	48.000	19.46	04/01/20	48.94	29.48 W	0.00	12 of 21 - Sale <sup>25</sup>
	52.000	21.07	04/01/20	53.02	31.95 W	0.00	13 of 21 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIFFUSION PHARMACEUTICALS INC. COMMON STOCK / CUSIP: 253748305 / Symbol: (cont'd)							
	48.000	19.46	04/01/20	37.01	17.55 W	0.00	14 of 21 - Sale <sup>25</sup>
	52.000	21.07	04/01/20	40.10	19.03 W	0.00	15 of 21 - Sale <sup>25</sup>
	48.000	19.46	04/01/20	37.01	17.55 W	0.00	16 of 21 - Sale <sup>25</sup>
	52.000	21.07	04/01/20	40.22	19.15 W	0.00	17 of 21 - Sale <sup>25</sup>
	48.000	19.46	04/01/20	37.01	17.55 W	0.00	18 of 21 - Sale <sup>25</sup>
	52.000	21.07	04/01/20	40.10	19.03 W	0.00	19 of 21 - Sale <sup>25</sup>
	48.000	19.46	04/01/20	37.01	17.55 W	0.00	20 of 21 - Sale <sup>25</sup>
	52.000	21.07	04/01/20	40.10	19.03 W	0.00	21 of 21 - Sale <sup>25</sup>
04/02/20	1,500.000	609.90	Various	1,090.02	480.12 W	0.00	Total of 21 transactions
	<b>Security total:</b>	<b>2,027.29</b>		<b>3,326.85</b>	<b>480.12 W</b>	<b>-819.44</b>	
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	4.000	860.78	03/03/20	852.58	...	8.20	1 of 2 - Sale <sup>25</sup>
	16.000	3,443.12	03/03/20	3,410.32	...	32.80	2 of 2 - Sale <sup>25</sup>
03/04/20	20.000	4,303.90	Various	4,262.90	...	41.00	Total of 2 transactions
3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	21.000	4,519.10	03/03/20	4,532.64	13.54 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.000	3,227.93	03/03/20	3,237.60	9.67 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	860.78	03/03/20	863.36	2.58 W	0.00	3 of 3 - Sale <sup>25</sup>
03/04/20	40.000	8,607.81	Various	8,633.60	25.79 W	0.00	Total of 3 transactions
5 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	5.000	757.33	03/09/20	741.47	...	15.86	1 of 5 - Sale <sup>25</sup>
	15.000	2,272.00	03/09/20	2,224.42	...	47.58	2 of 5 - Sale <sup>25</sup>
	15.000	2,272.00	03/09/20	2,214.75	...	57.25	3 of 5 - Sale <sup>25</sup>
	15.000	2,277.54	03/09/20	2,214.75	...	62.79	4 of 5 - Sale <sup>25</sup>
	50.000	8,649.80	03/10/20	7,553.69	...	1,096.11	5 of 5 - Sale <sup>25</sup>
03/10/20	100.000	16,228.67	Various	14,949.08	...	1,279.59	Total of 5 transactions
04/20/20	100.000	12,753.70	04/16/20	12,617.00	...	136.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>41,894.08</b>		<b>40,462.58</b>	<b>25.79 W</b>	<b>1,457.29</b>	
EDESA BIOTECH, INC. COMMON SHA RES / CUSIP: 27966L108 / Symbol:							
04/20/20	500.000	1,425.45	04/20/20	1,500.00	...	-74.55	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

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EDISON NATION, INC. COMMON STO CK / CUSIP: 28103E106 / Symbol:							
<i>15 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	322.48	04/16/20	690.00	367.52 W	0.00	1 of 15 - Sale <sup>25</sup>
	60.000	128.99	04/16/20	276.00	147.01 W	0.00	2 of 15 - Sale <sup>25</sup>
	200.000	429.98	04/16/20	920.00	490.02 W	0.00	3 of 15 - Sale <sup>25</sup>
	90.000	193.49	04/16/20	414.00	220.51 W	0.00	4 of 15 - Sale <sup>25</sup>
	10.000	21.50	04/16/20	57.80	36.30 W	0.00	5 of 15 - Sale <sup>25</sup>
	50.000	107.50	04/16/20	289.01	181.51 W	0.00	6 of 15 - Sale <sup>25</sup>
	6.000	12.90	04/16/20	34.68	21.78 W	0.00	7 of 15 - Sale <sup>25</sup>
	56.000	120.39	04/16/20	323.69	203.30 W	0.00	8 of 15 - Sale <sup>25</sup>
	28.000	60.20	04/16/20	161.84	101.64 W	0.00	9 of 15 - Sale <sup>25</sup>
	28.000	60.20	04/16/20	161.84	101.64 W	0.00	10 of 15 - Sale <sup>25</sup>
	2.000	4.30	04/16/20	11.56	7.26 W	0.00	11 of 15 - Sale <sup>25</sup>
	30.000	64.50	04/16/20	173.41	108.91 W	0.00	12 of 15 - Sale <sup>25</sup>
	90.000	193.49	04/16/20	520.21	326.72 W	0.00	13 of 15 - Sale <sup>25</sup>
	90.000	193.49	04/16/20	520.21	326.72 W	0.00	14 of 15 - Sale <sup>25</sup>
	110.000	236.49	04/16/20	635.81	399.32 W	0.00	15 of 15 - Sale <sup>25</sup>
04/27/20	1,000.000	2,149.90	Various	5,190.06	3,040.16 W	0.00	Total of 15 transactions
<i>17 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	209.99	04/27/20	581.02	...	-371.03	1 of 17 - Sale <sup>25</sup>
	90.000	188.99	04/27/20	522.92	...	-333.93	2 of 17 - Sale <sup>25</sup>
	90.000	188.99	04/27/20	522.92	...	-333.93	3 of 17 - Sale <sup>25</sup>
	50.000	105.00	04/27/20	290.51	...	-185.51	4 of 17 - Sale <sup>25</sup>
	34.000	71.40	04/27/20	197.55	...	-126.15	5 of 17 - Sale <sup>25</sup>
	30.000	63.00	04/27/20	174.31	...	-111.31	6 of 17 - Sale <sup>25</sup>
	28.000	58.80	04/27/20	162.68	...	-103.88	7 of 17 - Sale <sup>25</sup>
	28.000	58.80	04/27/20	162.68	...	-103.88	8 of 17 - Sale <sup>25</sup>
	22.000	46.20	04/27/20	127.83	...	-81.63	9 of 17 - Sale <sup>25</sup>
	10.000	21.00	04/27/20	58.10	...	-37.10	10 of 17 - Sale <sup>25</sup>
	10.000	21.00	04/27/20	58.10	...	-37.10	11 of 17 - Sale <sup>25</sup>
	390.000	818.97	04/27/20	850.20	...	-31.23	12 of 17 - Sale <sup>25</sup>
	6.000	12.60	04/27/20	34.86	...	-22.26	13 of 17 - Sale <sup>25</sup>
	100.000	209.99	04/27/20	218.00	...	-8.01	14 of 17 - Sale <sup>25</sup>
	2.000	4.20	04/27/20	11.62	...	-7.42	15 of 17 - Sale <sup>25</sup>
	5.000	10.50	04/27/20	10.90	...	-0.40	16 of 17 - Sale <sup>25</sup>

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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EDISON NATION, INC. COMMON STO CK / CUSIP: 28103E106 / Symbol: (cont'd)							
	5.000	10.50	04/27/20	10.90	...	-0.40	17 of 17 - Sale <sup>25</sup>
05/01/20	1,000.000	2,099.93	Various	3,995.10	...	-1,895.17	Total of 17 transactions
	<b>Security total:</b>	<b>4,249.83</b>		<b>9,185.16</b>	<b>3,040.16 W</b>	<b>-1,895.17</b>	
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
<i>19 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	19.32	06/12/20	18.96	...	0.36	1 of 19 - Sale <sup>25</sup>
	24.000	38.64	06/12/20	37.92	...	0.72	2 of 19 - Sale <sup>25</sup>
	76.000	122.36	06/12/20	120.08	...	2.28	3 of 19 - Sale <sup>25</sup>
	88.000	141.68	06/12/20	139.04	...	2.64	4 of 19 - Sale <sup>25</sup>
	100.000	160.99	06/12/20	158.00	...	2.99	5 of 19 - Sale <sup>25</sup>
	100.000	160.99	06/12/20	158.00	...	2.99	6 of 19 - Sale <sup>25</sup>
	100.000	160.99	06/12/20	158.00	...	2.99	7 of 19 - Sale <sup>25</sup>
	100.000	160.99	06/12/20	158.00	...	2.99	8 of 19 - Sale <sup>25</sup>
	100.000	160.99	06/12/20	158.00	...	2.99	9 of 19 - Sale <sup>25</sup>
	100.000	160.99	06/12/20	158.00	...	2.99	10 of 19 - Sale <sup>25</sup>
	100.000	160.99	06/12/20	158.00	...	2.99	11 of 19 - Sale <sup>25</sup>
	100.000	161.00	06/12/20	158.00	...	3.00	12 of 19 - Sale <sup>25</sup>
	100.000	161.00	06/12/20	158.00	...	3.00	13 of 19 - Sale <sup>25</sup>
	200.000	321.99	06/12/20	316.00	...	5.99	14 of 19 - Sale <sup>25</sup>
	200.000	321.99	06/12/20	316.00	...	5.99	15 of 19 - Sale <sup>25</sup>
	200.000	321.99	06/12/20	316.00	...	5.99	16 of 19 - Sale <sup>25</sup>
	388.000	624.66	06/12/20	613.04	...	11.62	17 of 19 - Sale <sup>25</sup>
	600.000	965.97	06/12/20	948.00	...	17.97	18 of 19 - Sale <sup>25</sup>
	2,312.000	3,722.20	06/12/20	3,652.96	...	69.24	19 of 19 - Sale <sup>25</sup>
06/12/20	5,000.000	8,049.73	Various	7,900.00	...	149.73	Total of 19 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
04/29/20	20.000	4,139.90	04/29/20	3,900.00	...	239.90	Sale <sup>25</sup>

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(continued)

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
<i>2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	2,389.83	10/08/20	2,498.90	...	-109.07	1 of 2 - Sale <sup>25</sup>
	500.000	1,194.91	10/08/20	1,172.50	...	22.41	2 of 2 - Sale <sup>25</sup>
10/08/20	1,500.000	3,584.74	Various	3,671.40	...	-86.66	Total of 2 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
<i>10 transactions for 05/07/20. Total proceeds and cost reported to the IRS.</i>							
	97.000	135.79	05/06/20	117.37	...	18.42	1 of 10 - Sale <sup>25</sup>
	129.000	180.58	05/06/20	156.09	...	24.49	2 of 10 - Sale <sup>25</sup>
	1,774.000	2,483.33	05/06/20	2,146.54	...	336.79	3 of 10 - Sale <sup>25</sup>
	11.000	11.00	05/07/20	10.45	...	0.55	4 of 10 - Sale <sup>25</sup>
	14.000	14.00	05/07/20	13.44	...	0.56	5 of 10 - Sale <sup>25</sup>
	263.000	262.96	05/07/20	252.48	...	10.48	6 of 10 - Sale <sup>25</sup>
	448.000	447.94	05/07/20	430.08	...	17.86	7 of 10 - Sale <sup>25</sup>
	500.000	499.93	05/07/20	480.00	...	19.93	8 of 10 - Sale <sup>25</sup>
	764.000	763.89	05/07/20	733.44	...	30.45	9 of 10 - Sale <sup>25</sup>
	1,000.000	999.85	05/07/20	700.00	...	299.85	10 of 10 - Sale <sup>25</sup>
05/07/20	5,000.000	5,799.27	Various	5,039.89	...	759.38	Total of 10 transactions
<i>7 transactions for 05/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.28	05/13/20	1.05	...	0.23	1 of 7 - Sale <sup>25</sup>
	9.000	11.52	05/13/20	9.45	...	2.07	2 of 7 - Sale <sup>25</sup>
	17.000	21.76	05/13/20	17.85	...	3.91	3 of 7 - Sale <sup>25</sup>
	91.000	116.47	05/13/20	95.55	...	20.92	4 of 7 - Sale <sup>25</sup>
	93.000	119.03	05/13/20	97.65	...	21.38	5 of 7 - Sale <sup>25</sup>
	195.000	249.57	05/13/20	204.75	...	44.82	6 of 7 - Sale <sup>25</sup>
	594.000	760.23	05/13/20	623.70	...	136.53	7 of 7 - Sale <sup>25</sup>
05/13/20	1,000.000	1,279.86	Various	1,050.00	...	229.86	Total of 7 transactions
<i>9 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	49.000	220.49	06/12/20	204.82	...	15.67	1 of 9 - Sale <sup>25</sup>
	59.000	265.48	06/12/20	246.62	...	18.86	2 of 9 - Sale <sup>25</sup>
	64.000	287.99	06/12/20	267.52	...	20.47	3 of 9 - Sale <sup>25</sup>
	79.000	355.48	06/12/20	330.22	...	25.26	4 of 9 - Sale <sup>25</sup>
	112.000	503.97	06/12/20	468.16	...	35.81	5 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	266.000	1,196.94	06/12/20	1,111.88	...	85.06	6 of 9 - Sale <sup>25</sup>
	266.000	1,196.94	06/12/20	1,111.88	...	85.06	7 of 9 - Sale <sup>25</sup>
	700.000	3,149.85	06/12/20	2,926.00	...	223.85	8 of 9 - Sale <sup>25</sup>
	905.000	4,072.29	06/12/20	3,782.90	...	289.39	9 of 9 - Sale <sup>25</sup>
06/15/20	2,500.000	11,249.43	Various	10,450.00	...	799.43	Total of 9 transactions
	<b>Security total:</b>	<b>18,328.56</b>		<b>16,539.89</b>	...	<b>1,788.67</b>	
GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol:							
3 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	19.000	231.60	05/07/20	218.31	...	13.29	1 of 3 - Sale <sup>25</sup>
	30.000	365.69	05/07/20	344.70	...	20.99	2 of 3 - Sale <sup>25</sup>
	51.000	621.67	05/07/20	585.99	...	35.68	3 of 3 - Sale <sup>25</sup>
05/07/20	100.000	1,218.96	Various	1,149.00	...	69.96	Total of 3 transactions
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
05/05/20	90.000	7,109.83	04/29/20	7,560.00	450.17 W	0.00	Sale <sup>25</sup>
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	10.000	742.18	04/29/20	840.00	...	-97.82	1 of 2 - Sale <sup>25</sup>
	90.000	6,679.64	05/01/20	7,641.17	...	-961.53	2 of 2 - Sale <sup>25</sup>
05/19/20	100.000	7,421.82	Various	8,481.17	...	-1,059.35	Total of 2 transactions
	<b>Security total:</b>	<b>14,531.65</b>		<b>16,041.17</b>	<b>450.17 W</b>	<b>-1,059.35</b>	
GRANITE POINT MORTGAGE TRUST I NC. COMMON STOCK / CUSIP: 38741L107 / Symbol:							
03/31/20	1,000.000	4,911.37	03/26/20	4,858.80	...	52.57	Sale <sup>25</sup>
3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	217.000	1,108.81	05/11/20	1,108.87	...	-0.06	1 of 3 - Sale <sup>25</sup>
	83.000	424.11	05/11/20	424.15	...	-0.04	2 of 3 - Sale <sup>25</sup>
	117.000	597.84	05/11/20	597.87	...	-0.03	3 of 3 - Sale <sup>25</sup>
05/12/20	417.000	2,130.76	Various	2,130.89	...	-0.13	Total of 3 transactions
05/12/20	83.000	424.11	05/11/20	424.13	0.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,466.24</b>		<b>7,413.82</b>	<b>0.02 W</b>	<b>52.44</b>	

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol:							
<i>9 transactions for 03/05/20. Total proceeds and cost reported to the IRS.</i>							
	1,500.000	1,501.58	03/05/20	1,650.00	...	-148.42	1 of 9 - Sale <sup>25</sup>
	32.000	32.96	03/05/20	32.00	...	0.96	2 of 9 - Sale <sup>25</sup>
	64.000	65.91	03/05/20	64.00	...	1.91	3 of 9 - Sale <sup>25</sup>
	280.000	288.37	03/05/20	280.00	...	8.37	4 of 9 - Sale <sup>25</sup>
	1,500.000	1,529.78	03/05/20	1,500.00	...	29.78	5 of 9 - Sale <sup>25</sup>
	1,500.000	1,694.78	03/05/20	1,663.20	...	31.58	6 of 9 - Sale <sup>25</sup>
	1,124.000	1,157.56	03/05/20	1,124.00	...	33.56	7 of 9 - Sale <sup>25</sup>
	1,500.000	1,544.78	03/05/20	1,500.00	...	44.78	8 of 9 - Sale <sup>25</sup>
	1,500.000	1,559.78	03/05/20	1,500.00	...	59.78	9 of 9 - Sale <sup>25</sup>
03/05/20	9,000.000	9,375.50	Various	9,313.20	...	62.30	Total of 9 transactions
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
<i>7 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	117.99	05/27/20	117.00	...	0.99	1 of 7 - Sale <sup>25</sup>
	100.000	117.99	05/27/20	117.00	...	0.99	2 of 7 - Sale <sup>25</sup>
	100.000	117.99	05/27/20	117.00	...	0.99	3 of 7 - Sale <sup>25</sup>
	100.000	117.99	05/27/20	117.00	...	0.99	4 of 7 - Sale <sup>25</sup>
	200.000	235.98	05/27/20	234.00	...	1.98	5 of 7 - Sale <sup>25</sup>
	200.000	235.98	05/27/20	234.00	...	1.98	6 of 7 - Sale <sup>25</sup>
	200.000	235.98	05/27/20	234.00	...	1.98	7 of 7 - Sale <sup>25</sup>
05/27/20	1,000.000	1,179.90	Various	1,170.00	...	9.90	Total of 7 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
03/04/20	5,000.000	11,749.14	03/04/20	11,500.00	...	249.14	Sale <sup>25</sup>
<i>8 transactions for 03/09/20. Total proceeds and cost reported to the IRS.</i>							
	2,822.000	6,631.22	03/04/20	6,631.70	...	-0.48	1 of 8 - Sale <sup>25</sup>
	100.000	234.98	03/04/20	235.00	...	-0.02	2 of 8 - Sale <sup>25</sup>
	100.000	234.98	03/04/20	235.00	...	-0.02	3 of 8 - Sale <sup>25</sup>
	118.000	277.28	03/04/20	276.71	...	0.57	4 of 8 - Sale <sup>25</sup>
	200.000	469.96	03/04/20	469.00	...	0.96	5 of 8 - Sale <sup>25</sup>
	300.000	704.95	03/04/20	703.50	...	1.45	6 of 8 - Sale <sup>25</sup>
	560.000	1,315.90	03/04/20	1,313.20	...	2.70	7 of 8 - Sale <sup>25</sup>

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Account 570218032

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	800.000	1,879.86	03/04/20	1,876.00	...	3.86	8 of 8 - Sale <sup>25</sup>
03/09/20	5,000.000	11,749.13	Various	11,740.11	...	9.02	Total of 8 transactions
	4 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	127.000	140.95	04/27/20	137.16	...	3.79	1 of 4 - Sale <sup>25</sup>
	161.000	178.69	04/27/20	173.88	...	4.81	2 of 4 - Sale <sup>25</sup>
	312.000	346.28	04/27/20	336.96	...	9.32	3 of 4 - Sale <sup>25</sup>
	400.000	443.94	04/27/20	432.00	...	11.94	4 of 4 - Sale <sup>25</sup>
04/27/20	1,000.000	1,109.86	Various	1,080.00	...	29.86	Total of 4 transactions
	9 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	31.000	41.23	04/27/20	36.58	...	4.65	1 of 9 - Sale <sup>25</sup>
	65.000	86.44	04/27/20	76.70	...	9.74	2 of 9 - Sale <sup>25</sup>
	61.000	81.12	04/27/20	71.37	...	9.75	3 of 9 - Sale <sup>25</sup>
	74.000	98.41	04/27/20	86.58	...	11.83	4 of 9 - Sale <sup>25</sup>
	130.000	172.88	04/27/20	153.40	...	19.48	5 of 9 - Sale <sup>25</sup>
	200.000	265.97	04/27/20	234.00	...	31.97	6 of 9 - Sale <sup>25</sup>
	339.000	450.82	04/27/20	396.63	...	54.19	7 of 9 - Sale <sup>25</sup>
	500.000	664.92	04/27/20	590.00	...	74.92	8 of 9 - Sale <sup>25</sup>
	600.000	797.91	04/27/20	702.00	...	95.91	9 of 9 - Sale <sup>25</sup>
04/28/20	2,000.000	2,659.70	Various	2,347.26	...	312.44	Total of 9 transactions
	<b>Security total:</b>	<b>27,267.83</b>		<b>26,667.37</b>	...	<b>600.46</b>	
INDEPENDENCE CONTRACT DRILLING , INC. / CUSIP: 453415606 / Symbol:							
04/21/20	225.000	1,466.21	04/14/20	2,812.50	...	-1,346.29	Sale <sup>25</sup>
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	100.000	1,396.15	05/20/20	1,616.00	...	-219.85	1 of 2 - Sale <sup>25</sup>
	50.000	698.07	05/20/20	808.00	...	-109.93	2 of 2 - Sale <sup>25</sup>
06/16/20	150.000	2,094.22	Various	2,424.00	...	-329.78	Total of 2 transactions
ION GEOPHYSICAL CORPORATION / CUSIP: 462044207 / Symbol:							
	8 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	100.000	259.98	05/07/20	335.00	...	-75.02	1 of 8 - Sale <sup>25</sup>
	99.000	257.38	05/07/20	331.65	...	-74.27	2 of 8 - Sale <sup>25</sup>

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ION GEOPHYSICAL CORPORATION / CUSIP: 462044207 / Symbol: (cont'd)							
	49.000	127.39	05/07/20	164.15	...	-36.76	3 of 8 - Sale <sup>25</sup>
	100.000	259.99	05/07/20	264.00	...	-4.01	4 of 8 - Sale <sup>25</sup>
	50.000	129.99	05/07/20	132.00	...	-2.01	5 of 8 - Sale <sup>25</sup>
	50.000	129.99	05/07/20	132.00	...	-2.01	6 of 8 - Sale <sup>25</sup>
	50.000	129.99	05/07/20	132.00	...	-2.01	7 of 8 - Sale <sup>25</sup>
	1.000	2.60	05/07/20	4.10	...	-1.50	8 of 8 - Sale <sup>25</sup>
05/07/20	499.000	1,297.31	Various	1,494.90	...	-197.59	Total of 8 transactions
05/07/20	1.000	2.60	05/07/20	3.35	0.75 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,299.91</b>		<b>1,498.25</b>	<b>0.75 W</b>	<b>-197.59</b>	
JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol:							
06/10/20	100.000	674.97	06/10/20	575.00	...	99.97	Sale <sup>25</sup>
06/12/20	100.000	603.97	06/11/20	568.00	...	35.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,278.94</b>		<b>1,143.00</b>	...	<b>135.94</b>	
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
04/15/20	20.000	1,076.17	04/15/20	630.00	...	446.17	Sale <sup>25</sup>
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	3.000	139.44	04/17/20	137.22	...	2.22	1 of 2 - Sale <sup>25</sup>
	17.000	786.23	04/17/20	777.58	...	8.65	2 of 2 - Sale <sup>25</sup>
04/17/20	20.000	925.67	Various	914.80	...	10.87	Total of 2 transactions
	<b>Security total:</b>	<b>2,001.84</b>		<b>1,544.80</b>	...	<b>457.04</b>	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
	8 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	200.000	511.96	04/02/20	1,253.00	741.04 W	0.00	1 of 8 - Sale <sup>25</sup>
	100.000	255.98	04/02/20	626.50	370.52 W	0.00	2 of 8 - Sale <sup>25</sup>
	100.000	255.98	04/02/20	627.00	371.02 W	0.00	3 of 8 - Sale <sup>25</sup>
	200.000	511.97	04/02/20	1,254.00	742.03 W	0.00	4 of 8 - Sale <sup>25</sup>
	100.000	255.98	04/02/20	627.00	371.02 W	0.00	5 of 8 - Sale <sup>25</sup>
	100.000	255.98	04/02/20	627.00	371.02 W	0.00	6 of 8 - Sale <sup>25</sup>
	100.000	255.99	04/02/20	626.50	370.51 W	0.00	7 of 8 - Sale <sup>25</sup>
	100.000	255.98	04/02/20	627.00	371.02 W	0.00	8 of 8 - Sale <sup>25</sup>
05/27/20	1,000.000	2,559.82	Various	6,268.00	3,708.18 W	0.00	Total of 8 transactions

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
<i>9 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	373.98	05/27/20	1,251.04	...	-877.06	1 of 9 - Sale <sup>25</sup>
	145.000	271.13	05/27/20	907.72	...	-636.59	2 of 9 - Sale <sup>25</sup>
	100.000	186.98	05/27/20	626.02	...	-439.04	3 of 9 - Sale <sup>25</sup>
	100.000	186.98	05/27/20	626.02	...	-439.04	4 of 9 - Sale <sup>25</sup>
	100.000	186.98	05/27/20	626.02	...	-439.04	5 of 9 - Sale <sup>25</sup>
	100.000	186.99	05/27/20	626.02	...	-439.03	6 of 9 - Sale <sup>25</sup>
	100.000	186.98	05/27/20	625.52	...	-438.54	7 of 9 - Sale <sup>25</sup>
	100.000	186.98	05/27/20	625.51	...	-438.53	8 of 9 - Sale <sup>25</sup>
	55.000	102.84	05/27/20	344.31	...	-241.47	9 of 9 - Sale <sup>25</sup>
06/26/20	1,000.000	1,869.84	Various	6,258.18	...	-4,388.34	Total of 9 transactions
	<b>Security total:</b>	<b>4,429.66</b>		<b>12,526.18</b>	<b>3,708.18 W</b>	<b>-4,388.34</b>	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
<i>3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	110.99	03/25/20	77.00	...	33.99	1 of 3 - Sale <sup>25</sup>
	366.000	406.22	03/25/20	281.82	...	124.40	2 of 3 - Sale <sup>25</sup>
	534.000	592.66	03/25/20	411.18	...	181.48	3 of 3 - Sale <sup>25</sup>
04/02/20	1,000.000	1,109.87	Various	770.00	...	339.87	Total of 3 transactions
MACROGENICS, INC. COMMON STOCK / CUSIP: 556099109 / Symbol:							
05/06/20	50.000	1,278.47	05/06/20	1,106.54	...	171.93	Sale <sup>25</sup>
05/06/20	100.000	2,556.93	05/06/20	2,600.00	43.07 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	199.84	05/12/20	184.80	...	15.04	1 of 4 - Sale <sup>25</sup>
	12.000	342.59	05/12/20	316.80	...	25.79	2 of 4 - Sale <sup>25</sup>
	31.000	885.03	05/12/20	818.40	...	66.63	3 of 4 - Sale <sup>25</sup>
	50.000	1,427.46	05/12/20	1,341.53	...	85.93	4 of 4 - Sale <sup>25</sup>
05/12/20	100.000	2,854.92	Various	2,661.53	...	193.39	Total of 4 transactions
	<b>Security total:</b>	<b>6,690.32</b>		<b>6,368.07</b>	<b>43.07 W</b>	<b>365.32</b>	

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol:							
8 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	484.000	314.05	06/15/20	333.96	...	-19.91	1 of 8 - Sale <sup>25</sup>
	357.000	231.65	06/15/20	246.33	...	-14.68	2 of 8 - Sale <sup>25</sup>
	30.000	19.47	06/15/20	21.60	...	-2.13	3 of 8 - Sale <sup>25</sup>
	25.000	16.22	06/15/20	18.00	...	-1.78	4 of 8 - Sale <sup>25</sup>
	41.000	26.60	06/15/20	28.29	...	-1.69	5 of 8 - Sale <sup>25</sup>
	4.000	2.60	06/15/20	2.88	...	-0.28	6 of 8 - Sale <sup>25</sup>
	200.000	129.77	06/15/20	125.00	...	4.77	7 of 8 - Sale <sup>25</sup>
	800.000	519.09	06/15/20	500.00	...	19.09	8 of 8 - Sale <sup>25</sup>
06/15/20	1,941.000	1,259.45	Various	1,276.06	...	-16.61	Total of 8 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	25.000	16.50	06/15/20	17.25	0.75 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	2.64	06/15/20	2.76	0.12 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	19.80	06/15/20	20.70	0.90 W	0.00	3 of 3 - Sale <sup>25</sup>
06/15/20	59.000	38.94	Various	40.71	1.77 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,298.39</b>		<b>1,316.77</b>	<b>1.77 W</b>	<b>-16.61</b>	
MERSANA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 59045L106 / Symbol:							
6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	7.000	98.00	05/27/20	96.60	...	1.40	1 of 6 - Sale <sup>25</sup>
	50.000	849.97	05/27/20	837.50	...	12.47	2 of 6 - Sale <sup>25</sup>
	50.000	849.98	05/27/20	837.50	...	12.48	3 of 6 - Sale <sup>25</sup>
	93.000	1,301.95	05/27/20	1,283.40	...	18.55	4 of 6 - Sale <sup>25</sup>
	100.000	1,399.95	05/27/20	1,380.00	...	19.95	5 of 6 - Sale <sup>25</sup>
	250.000	4,749.86	05/27/20	4,620.00	...	129.86	6 of 6 - Sale <sup>25</sup>
05/27/20	550.000	9,249.71	Various	9,055.00	...	194.71	Total of 6 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	12.000	2,192.35	04/29/20	2,092.68	...	99.67	1 of 3 - Sale <sup>25</sup>
	13.000	2,375.04	04/29/20	2,267.07	...	107.97	2 of 3 - Sale <sup>25</sup>
	15.000	2,747.33	04/29/20	2,615.85	...	131.48	3 of 3 - Sale <sup>25</sup>
04/29/20	40.000	7,314.72	Various	6,975.60	...	339.12	Total of 3 transactions

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
05/04/20	30.000	29.40	05/04/20	29.40	...	0.00	Sale <sup>25</sup>
	<i>2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.</i>						
	133.000	130.32	05/04/20	130.34	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	337.000	330.22	05/04/20	330.26	0.04 W	0.00	2 of 2 - Sale <sup>25</sup>
05/04/20	470.000	460.54	Various	460.60	0.06 W	0.00	Total of 2 transactions
	<i>8 transactions for 05/05/20. Total proceeds and cost reported to the IRS.</i>						
	29.000	42.92	05/05/20	42.63	...	0.29	1 of 8 - Sale <sup>25</sup>
	30.000	44.40	05/05/20	44.10	...	0.30	2 of 8 - Sale <sup>25</sup>
	110.000	162.79	05/05/20	161.70	...	1.09	3 of 8 - Sale <sup>25</sup>
	133.000	196.82	05/05/20	195.53	...	1.29	4 of 8 - Sale <sup>25</sup>
	337.000	498.71	05/05/20	495.43	...	3.28	5 of 8 - Sale <sup>25</sup>
	368.000	544.58	05/05/20	540.96	...	3.62	6 of 8 - Sale <sup>25</sup>
	493.000	729.56	05/05/20	724.71	...	4.85	7 of 8 - Sale <sup>25</sup>
	500.000	739.92	05/05/20	735.00	...	4.92	8 of 8 - Sale <sup>25</sup>
05/05/20	2,000.000	2,959.70	Various	2,940.06	...	19.64	Total of 8 transactions
	<i>3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>						
	500.000	449.93	05/12/20	450.00	...	-0.07	1 of 3 - Sale <sup>25</sup>
	400.000	359.94	05/12/20	360.00	...	-0.06	2 of 3 - Sale <sup>25</sup>
	100.000	89.99	05/12/20	90.00	...	-0.01	3 of 3 - Sale <sup>25</sup>
05/18/20	1,000.000	899.86	Various	900.00	...	-0.14	Total of 3 transactions
	<b>Security total:</b>	<b>4,349.50</b>		<b>4,330.06</b>	<b>0.06 W</b>	<b>19.50</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
02/25/20	500.000	2,234.94	02/25/20	2,319.95	...	-85.01	Sale <sup>25</sup>
NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
	<i>2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	385.99	05/19/20	376.00	...	9.99	1 of 2 - Sale <sup>25</sup>
	400.000	1,543.91	05/19/20	1,504.00	...	39.91	2 of 2 - Sale <sup>25</sup>
05/19/20	500.000	1,929.90	Various	1,880.00	...	49.90	Total of 2 transactions
	<i>6 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	20.75	05/20/20	18.90	...	1.85	1 of 6 - Sale <sup>25</sup>
	32.000	132.79	05/20/20	120.96	...	11.83	2 of 6 - Sale <sup>25</sup>

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	95.000	394.23	05/20/20	359.10	...	35.13	3 of 6 - Sale <sup>25</sup>
	100.000	414.98	05/20/20	378.00	...	36.98	4 of 6 - Sale <sup>25</sup>
	100.000	414.98	05/20/20	378.00	...	36.98	5 of 6 - Sale <sup>25</sup>
	168.000	697.16	05/20/20	635.04	...	62.12	6 of 6 - Sale <sup>25</sup>
05/20/20	500.000	2,074.89	Various	1,890.00	...	184.89	Total of 6 transactions
	6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	63.000	139.85	05/20/20	308.08	...	-168.23	1 of 6 - Sale <sup>25</sup>
	19.000	42.18	05/20/20	92.92	...	-50.74	2 of 6 - Sale <sup>25</sup>
	10.000	22.50	05/20/20	48.90	...	-26.40	3 of 6 - Sale <sup>25</sup>
	8.000	18.00	05/20/20	39.12	...	-21.12	4 of 6 - Sale <sup>25</sup>
	8.000	18.00	05/20/20	39.12	...	-21.12	5 of 6 - Sale <sup>25</sup>
	7.000	15.75	05/20/20	34.23	...	-18.48	6 of 6 - Sale <sup>25</sup>
06/16/20	115.000	256.28	Various	562.37	...	-306.09	Total of 6 transactions
	3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	333.000	749.19	05/20/20	1,188.81	83.17 W	-356.45	1 of 3 - Sale <sup>25</sup>
	23.000	51.75	05/20/20	82.11	30.36 W	0.00	2 of 3 - Sale <sup>25</sup>
	29.000	65.24	05/20/20	103.53	38.29 W	0.00	3 of 3 - Sale <sup>25</sup>
06/16/20	385.000	866.18	Various	1,374.45	151.82 W	-356.45	Total of 3 transactions
	<b>Security total:</b>	<b>5,127.25</b>		<b>5,706.82</b>	<b>151.82 W</b>	<b>-427.75</b>	
NANTKWEST, INC. COMMON STOCK / CUSIP: 63016Q102 / Symbol:							
	12 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	39.000	285.07	05/27/20	324.12	...	-39.05	1 of 12 - Sale <sup>25</sup>
	23.000	168.12	05/27/20	183.77	...	-15.65	2 of 12 - Sale <sup>25</sup>
	12.000	87.72	05/27/20	95.64	...	-7.92	3 of 12 - Sale <sup>25</sup>
	10.000	73.10	05/27/20	79.71	...	-6.61	4 of 12 - Sale <sup>25</sup>
	4.000	29.24	05/27/20	33.24	...	-4.00	5 of 12 - Sale <sup>25</sup>
	5.000	36.55	05/27/20	39.85	...	-3.30	6 of 12 - Sale <sup>25</sup>
	3.000	21.93	05/27/20	24.93	...	-3.00	7 of 12 - Sale <sup>25</sup>
	2.000	14.62	05/27/20	16.62	...	-2.00	8 of 12 - Sale <sup>25</sup>
	2.000	14.62	05/27/20	16.62	...	-2.00	9 of 12 - Sale <sup>25</sup>
	86.000	564.34	05/27/20	554.70	...	9.64	10 of 12 - Sale <sup>25</sup>
	414.000	2,716.47	05/27/20	2,670.30	...	46.17	11 of 12 - Sale <sup>25</sup>

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NANTKWEST, INC. COMMON STOCK / CUSIP: 63016Q102 / Symbol: (cont'd)							
	500.000	3,399.86	05/27/20	3,325.00	...	74.86	12 of 12 - Sale <sup>25</sup>
05/27/20	1,100.000	7,411.64	Various	7,364.50	...	47.14	Total of 12 transactions
6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	2.000	14.66	05/27/20	15.30	0.64 W	0.00	1 of 6 - Sale <sup>25</sup>
	75.000	549.72	05/27/20	573.75	24.03 W	0.00	2 of 6 - Sale <sup>25</sup>
	23.000	168.13	05/27/20	175.95	7.82 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	14.62	05/27/20	15.94	1.32 W	0.00	4 of 6 - Sale <sup>25</sup>
	43.000	314.32	05/27/20	342.73	28.41 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	36.55	05/27/20	39.85	3.30 W	0.00	6 of 6 - Sale <sup>25</sup>
05/27/20	150.000	1,098.00	Various	1,163.52	65.52 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>8,509.64</b>		<b>8,528.02</b>	<b>65.52 W</b>	<b>47.14</b>	
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	400.000	452.02	03/24/20	424.00	...	28.02	1 of 2 - Sale <sup>25</sup>
04/02/20	1,600.000	1,808.09	03/24/20	1,696.00	...	112.09	2 of 2 - Sale <sup>25</sup>
04/08/20	1,000.000	1,962.83	04/08/20	2,077.00	...	-114.17	Total of 2 transactions
	<b>Security total:</b>	<b>4,222.94</b>		<b>4,197.00</b>	...	<b>25.94</b>	Sale <sup>25</sup>
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
8 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.45	05/20/20	0.43	...	0.02	1 of 8 - Sale <sup>25</sup>
	235.000	105.60	05/20/20	99.87	...	5.73	2 of 8 - Sale <sup>25</sup>
	314.000	141.10	05/20/20	133.45	...	7.65	3 of 8 - Sale <sup>25</sup>
	535.000	240.41	05/20/20	227.37	...	13.04	4 of 8 - Sale <sup>25</sup>
	750.000	337.04	05/20/20	318.75	...	18.29	5 of 8 - Sale <sup>25</sup>
	765.000	343.78	05/20/20	325.13	...	18.65	6 of 8 - Sale <sup>25</sup>
	1,100.000	494.31	05/20/20	467.50	...	26.81	7 of 8 - Sale <sup>25</sup>
	1,300.000	584.18	05/20/20	552.50	...	31.68	8 of 8 - Sale <sup>25</sup>
05/20/20	5,000.000	2,246.87	Various	2,125.00	...	121.87	Total of 8 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol: (cont'd)							
<i>4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	2,500.000	1,424.67	06/15/20	2,050.00	625.33 W	0.00	1 of 4 - Sale <sup>25</sup>
	300.000	170.96	06/15/20	233.94	62.98 W	0.00	2 of 4 - Sale <sup>25</sup>
	700.000	398.90	06/15/20	545.86	146.96 W	0.00	3 of 4 - Sale <sup>25</sup>
	1,500.000	854.80	06/15/20	1,169.85	315.05 W	0.00	4 of 4 - Sale <sup>25</sup>
06/16/20	5,000.000	2,849.33	Various	3,999.65	1,150.32 W	0.00	Total of 4 transactions
<i>7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	1,670.000	923.96	06/15/20	1,720.32	796.36 W	0.00	1 of 7 - Sale <sup>25</sup>
	830.000	459.21	06/15/20	855.01	395.80 W	0.00	2 of 7 - Sale <sup>25</sup>
	300.000	165.98	06/15/20	283.48	117.50 W	0.00	3 of 7 - Sale <sup>25</sup>
	200.000	110.65	06/15/20	188.99	78.34 W	0.00	4 of 7 - Sale <sup>25</sup>
	500.000	255.83	06/15/20	472.47	216.64 W	0.00	5 of 7 - Sale <sup>25</sup>
	1,400.000	716.33	06/15/20	1,323.05	606.72 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.000	51.17	06/15/20	94.50	43.33 W	0.00	7 of 7 - Sale <sup>25</sup>
06/25/20	5,000.000	2,683.13	Various	4,937.82	2,254.69 W	0.00	Total of 7 transactions
<i>30 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>							
	1,200.000	935.95	06/16/20	1,312.04	...	-376.09	1 of 30 - Sale <sup>25</sup>
	830.000	647.36	06/16/20	993.40	...	-346.04	2 of 30 - Sale <sup>25</sup>
	650.000	506.98	06/16/20	738.96	...	-231.98	3 of 30 - Sale <sup>25</sup>
	459.000	358.00	06/16/20	521.82	...	-163.82	4 of 30 - Sale <sup>25</sup>
	500.000	389.98	06/16/20	546.64	...	-156.66	5 of 30 - Sale <sup>25</sup>
	391.000	304.96	06/16/20	444.51	...	-139.55	6 of 30 - Sale <sup>25</sup>
	300.000	233.99	06/16/20	315.50	...	-81.51	7 of 30 - Sale <sup>25</sup>
	150.000	116.99	06/16/20	164.01	...	-47.02	8 of 30 - Sale <sup>25</sup>
	141.000	109.97	06/16/20	148.29	...	-38.32	9 of 30 - Sale <sup>25</sup>
	87.000	67.86	06/16/20	104.13	...	-36.27	10 of 30 - Sale <sup>25</sup>
	83.000	64.74	06/16/20	99.34	...	-34.60	11 of 30 - Sale <sup>25</sup>
	100.000	78.00	06/16/20	109.33	...	-31.33	12 of 30 - Sale <sup>25</sup>
	50.000	39.00	06/16/20	54.67	...	-15.67	13 of 30 - Sale <sup>25</sup>
	50.000	39.00	06/16/20	52.59	...	-13.59	14 of 30 - Sale <sup>25</sup>
	9.000	7.02	06/16/20	9.46	...	-2.44	15 of 30 - Sale <sup>25</sup>
	96.000	74.88	06/16/20	59.52	...	15.36	16 of 30 - Sale <sup>25</sup>
	204.000	159.11	06/16/20	126.48	...	32.63	17 of 30 - Sale <sup>25</sup>

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Account 570218032

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2020 1099-B\* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol: (cont'd)							
	500.000	389.98	06/16/20	345.00	...	44.98	18 of 30 - Sale <sup>25</sup>
	500.000	389.98	06/16/20	345.00	...	44.98	19 of 30 - Sale <sup>25</sup>
	500.000	389.98	06/16/20	345.00	...	44.98	20 of 30 - Sale <sup>25</sup>
	500.000	389.99	06/16/20	345.00	...	44.99	21 of 30 - Sale <sup>25</sup>
	491.000	382.96	06/16/20	314.24	...	68.72	22 of 30 - Sale <sup>25</sup>
	809.000	630.99	06/16/20	517.76	...	113.23	23 of 30 - Sale <sup>25</sup>
	1,100.000	857.96	06/16/20	704.00	...	153.96	24 of 30 - Sale <sup>25</sup>
	1,300.000	1,013.95	06/16/20	832.00	...	181.95	25 of 30 - Sale <sup>25</sup>
	1,300.000	1,013.95	06/16/20	832.00	...	181.95	26 of 30 - Sale <sup>25</sup>
	1,000.000	779.96	06/16/20	569.80	...	210.16	27 of 30 - Sale <sup>25</sup>
	1,700.000	1,325.94	06/16/20	967.13	...	358.81	28 of 30 - Sale <sup>25</sup>
	2,700.000	2,105.90	06/16/20	1,674.00	...	431.90	29 of 30 - Sale <sup>25</sup>
	2,300.000	1,793.91	06/16/20	1,308.47	...	485.44	30 of 30 - Sale <sup>25</sup>
07/23/20	20,000.000	15,599.24	Various	14,900.09	...	699.15	Total of 30 transactions
	<b>Security total:</b>	<b>23,378.57</b>		<b>25,962.56</b>	<b>3,405.01 W</b>	<b>821.02</b>	
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol:							
	8 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	2,000.000	917.14	03/10/20	940.06	...	-22.92	1 of 8 - Sale <sup>25</sup>
	1,200.000	550.28	03/10/20	564.39	...	-14.11	2 of 8 - Sale <sup>25</sup>
	600.000	275.14	03/10/20	282.20	...	-7.06	3 of 8 - Sale <sup>25</sup>
	500.000	229.29	03/10/20	235.17	...	-5.88	4 of 8 - Sale <sup>25</sup>
	600.000	275.14	03/10/20	278.58	...	-3.44	5 of 8 - Sale <sup>25</sup>
	200.000	91.71	03/10/20	94.13	...	-2.42	6 of 8 - Sale <sup>25</sup>
	100.000	45.86	03/10/20	47.06	...	-1.20	7 of 8 - Sale <sup>25</sup>
	100.000	45.86	03/10/20	47.03	...	-1.17	8 of 8 - Sale <sup>25</sup>
03/10/20	5,300.000	2,430.42	Various	2,488.62	...	-58.20	Total of 8 transactions
	6 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	100.000	45.86	03/10/20	46.46	0.60 W	0.00	1 of 6 - Sale <sup>25</sup>
	1,400.000	642.00	03/10/20	650.44	8.44 W	0.00	2 of 6 - Sale <sup>25</sup>
	2,000.000	917.14	03/10/20	928.60	11.46 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	45.86	03/10/20	46.46	0.60 W	0.00	4 of 6 - Sale <sup>25</sup>
	500.000	229.28	03/10/20	232.30	3.02 W	0.00	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol: (cont'd)							
	600.000	275.14	03/10/20	278.76	3.62 W	0.00	6 of 6 - Sale <sup>25</sup>
03/10/20	4,700.000	2,155.28	Various	2,183.02	27.74 W	0.00	Total of 6 transactions
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	2,493.000	1,524.13	04/23/20	1,844.57	...	-320.44	1 of 2 - Sale <sup>25</sup>
	507.000	309.96	04/23/20	374.22	...	-64.26	2 of 2 - Sale <sup>25</sup>
04/24/20	3,000.000	1,834.09	Various	2,218.79	...	-384.70	Total of 2 transactions
	<b>Security total:</b>	<b>6,419.79</b>		<b>6,890.43</b>	<b>27.74 W</b>	<b>-442.90</b>	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	2,820.000	1,409.63	02/12/20	1,392.52	...	17.11	1 of 2 - Sale <sup>25</sup>
	7,180.000	3,589.07	02/12/20	3,545.48	...	43.59	2 of 2 - Sale <sup>25</sup>
02/14/20	10,000.000	4,998.70	Various	4,938.00	...	60.70	Total of 2 transactions
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1,000.000	330.37	03/24/20	440.00	...	-109.63	1 of 2 - Sale <sup>25</sup>
	1,000.000	330.37	03/25/20	376.00	...	-45.63	2 of 2 - Sale <sup>25</sup>
03/26/20	2,000.000	660.74	Various	816.00	...	-155.26	Total of 2 transactions
04/28/20	5,000.000	1,584.36	04/28/20	1,630.00	...	-45.64	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,243.80</b>		<b>7,384.00</b>	...	<b>-140.20</b>	
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	8.000	15.68	05/28/20	15.35	...	0.33	1 of 5 - Sale <sup>25</sup>
	92.000	180.31	05/28/20	176.52	...	3.79	2 of 5 - Sale <sup>25</sup>
	100.000	195.99	05/28/20	191.87	...	4.12	3 of 5 - Sale <sup>25</sup>
	100.000	195.99	05/28/20	191.87	...	4.12	4 of 5 - Sale <sup>25</sup>
	200.000	391.98	05/28/20	383.74	...	8.24	5 of 5 - Sale <sup>25</sup>
05/28/20	500.000	979.95	Various	959.35	...	20.60	Total of 5 transactions
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
23 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	300.000	536.95	06/12/20	546.05	...	-9.10	1 of 23 - Sale <sup>25</sup>
	300.000	536.95	06/12/20	542.99	...	-6.04	2 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	99.000	177.19	06/12/20	180.73	...	-3.54	3 of 23 - Sale <sup>25</sup>
	154.000	275.64	06/12/20	278.73	...	-3.09	4 of 23 - Sale <sup>25</sup>
	100.000	178.98	06/12/20	182.04	...	-3.06	5 of 23 - Sale <sup>25</sup>
	100.000	178.98	06/12/20	182.01	...	-3.03	6 of 23 - Sale <sup>25</sup>
	83.000	148.55	06/12/20	151.11	...	-2.56	7 of 23 - Sale <sup>25</sup>
	49.000	87.70	06/12/20	89.20	...	-1.50	8 of 23 - Sale <sup>25</sup>
	34.000	60.86	06/12/20	61.89	...	-1.03	9 of 23 - Sale <sup>25</sup>
	32.000	57.27	06/12/20	58.23	...	-0.96	10 of 23 - Sale <sup>25</sup>
	54.000	96.65	06/12/20	97.21	...	-0.56	11 of 23 - Sale <sup>25</sup>
	17.000	30.43	06/12/20	30.95	...	-0.52	12 of 23 - Sale <sup>25</sup>
	16.000	28.64	06/12/20	29.13	...	-0.49	13 of 23 - Sale <sup>25</sup>
	13.000	23.27	06/12/20	23.66	...	-0.39	14 of 23 - Sale <sup>25</sup>
	546.000	977.25	06/12/20	977.31	...	-0.06	15 of 23 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.83	...	-0.04	16 of 23 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.82	...	-0.03	17 of 23 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.82	...	-0.03	18 of 23 - Sale <sup>25</sup>
	381.000	681.93	06/12/20	678.18	...	3.75	19 of 23 - Sale <sup>25</sup>
	536.000	959.36	06/12/20	954.08	...	5.28	20 of 23 - Sale <sup>25</sup>
	600.000	1,073.91	06/12/20	1,068.00	...	5.91	21 of 23 - Sale <sup>25</sup>
	1,183.000	2,117.39	06/12/20	2,105.74	...	11.65	22 of 23 - Sale <sup>25</sup>
	1,300.000	2,326.79	06/12/20	2,314.00	...	12.79	23 of 23 - Sale <sup>25</sup>
06/12/20	5,900.000	10,560.06	Various	10,556.71	...	3.35	Total of 23 transactions
	41 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	149.000	266.69	06/12/20	272.70	1.98 W	-4.03	1 of 41 - Sale <sup>25</sup>
	100.000	178.99	06/12/20	179.50	0.51 W	0.00	2 of 41 - Sale <sup>25</sup>
	100.000	178.99	06/12/20	180.00	1.01 W	0.00	3 of 41 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.81	0.02 W	0.00	4 of 41 - Sale <sup>25</sup>
	99.000	177.20	06/12/20	178.70	1.50 W	0.00	5 of 41 - Sale <sup>25</sup>
	101.000	180.78	06/12/20	181.80	1.02 W	0.00	6 of 41 - Sale <sup>25</sup>
	199.000	356.18	06/12/20	358.20	2.02 W	0.00	7 of 41 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.82	0.03 W	0.00	8 of 41 - Sale <sup>25</sup>
	99.000	177.19	06/12/20	179.70	2.51 W	0.00	9 of 41 - Sale <sup>25</sup>
	100.000	178.98	06/12/20	181.01	2.03 W	0.00	10 of 41 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.81	0.02 W	0.00	11 of 41 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	100.000	178.99	06/12/20	181.01	2.02 W	0.00	12 of 41 - Sale <sup>25</sup>
	50.000	89.50	06/12/20	90.51	1.01 W	0.00	13 of 41 - Sale <sup>25</sup>
	149.000	266.69	06/12/20	269.71	3.02 W	0.00	14 of 41 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.80	0.01 W	0.00	15 of 41 - Sale <sup>25</sup>
	32.000	57.28	06/12/20	57.60	0.32 W	0.00	16 of 41 - Sale <sup>25</sup>
	100.000	178.99	06/12/20	180.00	1.01 W	0.00	17 of 41 - Sale <sup>25</sup>
	300.000	536.94	06/12/20	540.00	3.06 W	0.00	18 of 41 - Sale <sup>25</sup>
	13.000	23.27	06/12/20	23.40	0.13 W	0.00	19 of 41 - Sale <sup>25</sup>
	54.000	96.65	06/12/20	97.20	0.55 W	0.00	20 of 41 - Sale <sup>25</sup>
	100.000	178.98	06/12/20	182.03	3.05 W	0.00	21 of 41 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.83	0.04 W	0.00	22 of 41 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.82	0.03 W	0.00	23 of 41 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.81	0.02 W	0.00	24 of 41 - Sale <sup>25</sup>
	13.000	23.27	06/12/20	23.53	0.26 W	0.00	25 of 41 - Sale <sup>25</sup>
	32.000	57.28	06/12/20	57.91	0.63 W	0.00	26 of 41 - Sale <sup>25</sup>
	50.000	89.49	06/12/20	91.00	1.51 W	0.00	27 of 41 - Sale <sup>25</sup>
	99.000	177.19	06/12/20	180.69	3.50 W	0.00	28 of 41 - Sale <sup>25</sup>
	100.000	178.98	06/12/20	182.00	3.02 W	0.00	29 of 41 - Sale <sup>25</sup>
	100.000	178.98	06/12/20	180.99	2.01 W	0.00	30 of 41 - Sale <sup>25</sup>
	149.000	266.69	06/12/20	271.19	4.50 W	0.00	31 of 41 - Sale <sup>25</sup>
	300.000	536.95	06/12/20	543.00	6.05 W	0.00	32 of 41 - Sale <sup>25</sup>
	1,000.000	1,789.85	06/12/20	1,799.80	9.95 W	0.00	33 of 41 - Sale <sup>25</sup>
	54.000	96.65	06/12/20	97.74	1.09 W	0.00	34 of 41 - Sale <sup>25</sup>
	17.000	30.43	06/12/20	31.12	0.69 W	0.00	35 of 41 - Sale <sup>25</sup>
	83.000	148.56	06/12/20	151.93	3.37 W	0.00	36 of 41 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.84	0.05 W	0.00	37 of 41 - Sale <sup>25</sup>
	1.000	1.79	06/12/20	1.83	0.04 W	0.00	38 of 41 - Sale <sup>25</sup>
	50.000	89.49	06/12/20	91.51	2.02 W	0.00	39 of 41 - Sale <sup>25</sup>
	99.000	177.19	06/12/20	181.70	4.51 W	0.00	40 of 41 - Sale <sup>25</sup>
	100.000	178.98	06/12/20	183.02	4.04 W	0.00	41 of 41 - Sale <sup>25</sup>
06/12/20	4,100.000	7,338.38	Various	7,416.57	74.16 W	-4.03	Total of 41 transactions
	<b>Security total:</b>	<b>17,898.44</b>		<b>17,973.28</b>	<b>74.16 W</b>	<b>-0.68</b>	

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
33 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
2.000		1.26	07/23/20	1.12	...	0.14	1 of 33 - Sale <sup>25</sup>
6.000		3.78	07/23/20	3.36	...	0.42	2 of 33 - Sale <sup>25</sup>
27.000		17.01	07/23/20	15.12	...	1.89	3 of 33 - Sale <sup>25</sup>
40.000		25.20	07/23/20	22.40	...	2.80	4 of 33 - Sale <sup>25</sup>
50.000		31.50	07/23/20	28.00	...	3.50	5 of 33 - Sale <sup>25</sup>
50.000		31.50	07/23/20	28.00	...	3.50	6 of 33 - Sale <sup>25</sup>
47.000		32.90	07/23/20	27.73	...	5.17	7 of 33 - Sale <sup>25</sup>
100.000		62.99	07/23/20	56.00	...	6.99	8 of 33 - Sale <sup>25</sup>
100.000		69.99	07/23/20	59.00	...	10.99	9 of 33 - Sale <sup>25</sup>
100.000		69.99	07/23/20	59.00	...	10.99	10 of 33 - Sale <sup>25</sup>
100.000		69.99	07/23/20	59.00	...	10.99	11 of 33 - Sale <sup>25</sup>
100.000		69.99	07/23/20	59.00	...	10.99	12 of 33 - Sale <sup>25</sup>
200.000		125.98	07/23/20	112.00	...	13.98	13 of 33 - Sale <sup>25</sup>
200.000		139.98	07/23/20	118.00	...	21.98	14 of 33 - Sale <sup>25</sup>
200.000		139.98	07/23/20	118.00	...	21.98	15 of 33 - Sale <sup>25</sup>
351.000		245.66	07/23/20	207.09	...	38.57	16 of 33 - Sale <sup>25</sup>
400.000		279.95	07/23/20	236.00	...	43.95	17 of 33 - Sale <sup>25</sup>
400.000		279.95	07/23/20	236.00	...	43.95	18 of 33 - Sale <sup>25</sup>
600.000		419.93	07/23/20	354.00	...	65.93	19 of 33 - Sale <sup>25</sup>
1,000.000		629.86	07/23/20	560.00	...	69.86	20 of 33 - Sale <sup>25</sup>
686.000		480.12	07/23/20	404.74	...	75.38	21 of 33 - Sale <sup>25</sup>
800.000		559.88	07/23/20	472.00	...	87.88	22 of 33 - Sale <sup>25</sup>
800.000		559.88	07/23/20	472.00	...	87.88	23 of 33 - Sale <sup>25</sup>
800.000		559.88	07/23/20	472.00	...	87.88	24 of 33 - Sale <sup>25</sup>
839.000		587.18	07/23/20	495.01	...	92.17	25 of 33 - Sale <sup>25</sup>
961.000		672.57	07/23/20	566.99	...	105.58	26 of 33 - Sale <sup>25</sup>
1,214.000		849.64	07/23/20	716.26	...	133.38	27 of 33 - Sale <sup>25</sup>
1,749.000		1,224.06	07/23/20	1,031.91	...	192.15	28 of 33 - Sale <sup>25</sup>
2,000.000		1,399.72	07/23/20	1,180.00	...	219.72	29 of 33 - Sale <sup>25</sup>
2,100.000		1,469.71	07/23/20	1,239.00	...	230.71	30 of 33 - Sale <sup>25</sup>
6,892.000		4,341.04	07/23/20	3,859.52	...	481.52	31 of 33 - Sale <sup>25</sup>
5,453.000		3,816.36	07/23/20	3,217.27	...	599.09	32 of 33 - Sale <sup>25</sup>

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol: (cont'd)							
	11,633.000	7,327.24	07/23/20	6,514.48	...	812.76	33 of 33 - Sale <sup>25</sup>
07/23/20	40,000.000	26,594.67	Various	23,000.00	...	3,594.67	Total of 33 transactions
PRECISION DRILLING CORPORATION / CUSIP: 74022D308 / Symbol:							
04/03/20	3,000.000	1,076.91	04/02/20	1,110.00	...	-33.09	Sale <sup>25</sup>
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
<i>13 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	72.000	2,100.90	04/20/20	2,376.00	...	-275.10	1 of 13 - Sale <sup>25</sup>
	72.000	2,100.90	04/20/20	2,376.00	...	-275.10	2 of 13 - Sale <sup>25</sup>
	57.840	1,687.73	04/20/20	1,908.72	...	-220.99	3 of 13 - Sale <sup>25</sup>
	56.000	1,634.04	04/20/20	1,848.00	...	-213.96	4 of 13 - Sale <sup>25</sup>
	48.000	1,400.60	04/20/20	1,584.00	...	-183.40	5 of 13 - Sale <sup>25</sup>
	21.720	633.77	04/20/20	716.76	...	-82.99	6 of 13 - Sale <sup>25</sup>
	16.000	466.86	04/20/20	528.00	...	-61.14	7 of 13 - Sale <sup>25</sup>
	14.160	413.18	04/20/20	467.28	...	-54.10	8 of 13 - Sale <sup>25</sup>
	1.880	54.86	04/20/20	62.04	...	-7.18	9 of 13 - Sale <sup>25</sup>
	0.400	11.67	04/20/20	13.20	...	-1.53	10 of 13 - Sale <sup>25</sup>
	8.000	233.43	04/21/20	100.00	...	133.43	11 of 13 - Sale <sup>25</sup>
	50.000	1,458.96	04/21/20	920.50	...	538.46	12 of 13 - Sale <sup>25</sup>
	42.000	1,225.53	04/21/20	525.00	...	700.53	13 of 13 - Sale <sup>25</sup>
06/10/20	460.000	13,422.43	Various	13,425.50	...	-3.07	Total of 13 transactions
RAVE RESTAURANT GROUP, INC. CO MMON STOCK / CUSIP: 754198109 / Symbol:							
10/07/20	1,000.000	1,499.84	10/07/20	1,499.90	...	-0.06	Sale <sup>25</sup>
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
<i>7 transactions for 05/13/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	40.00	05/12/20	34.00	...	6.00	1 of 7 - Sale <sup>25</sup>
	60.000	119.99	05/12/20	102.00	...	17.99	2 of 7 - Sale <sup>25</sup>
	60.000	119.99	05/12/20	102.00	...	17.99	3 of 7 - Sale <sup>25</sup>
	100.000	199.99	05/12/20	170.00	...	29.99	4 of 7 - Sale <sup>25</sup>
	220.000	439.96	05/12/20	374.00	...	65.96	5 of 7 - Sale <sup>25</sup>
	240.000	479.95	05/12/20	408.00	...	71.95	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	300.000	599.95	05/12/20	510.00	...	89.95	7 of 7 - Sale <sup>25</sup>
05/13/20	1,000.000	1,999.83	Various	1,700.00	...	299.83	Total of 7 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
02/13/20	14.000	1,987.95	02/13/20	1,956.32	...	31.63	Sale <sup>25</sup>
02/18/20	40.000	5,073.48	02/18/20	5,175.60	102.12 W	0.00	Sale <sup>25</sup>
02/20/20	10.000	1,270.27	02/19/20	1,267.80	...	2.47	Sale <sup>25</sup>
02/20/20	40.000	5,081.07	02/19/20	5,173.32	57.66 W	-34.59	Sale <sup>25</sup>
03/12/20	25.000	1,832.45	02/21/20	3,032.70	...	-1,200.25	Sale <sup>25</sup>
05/07/20	40.000	5,159.88	05/07/20	5,120.00	...	39.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>20,405.10</b>		<b>21,725.74</b>	<b>159.78 W</b>	<b>-1,160.86</b>	
SG BLOCKS, INC. COMMON STOCK / CUSIP: 78418A505 / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	90.000	799.17	03/31/20	799.20	...	-0.03	1 of 2 - Sale <sup>25</sup>
	10.000	88.80	03/31/20	88.80	...	0.00	2 of 2 - Sale <sup>25</sup>
04/01/20	100.000	887.97	Various	888.00	...	-0.03	Total of 2 transactions
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
03/06/20	10.000	1,652.20	02/25/20	1,835.59	...	-183.39	Sale <sup>25</sup>
SENECA BIOPHARMA, INC. COMMON STOCK / CUSIP: 81689B103 / Symbol:							
7 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	3.000	5.94	05/21/20	5.25	...	0.69	1 of 7 - Sale <sup>25</sup>
	197.000	390.04	05/21/20	344.57	...	45.47	2 of 7 - Sale <sup>25</sup>
	100.000	197.98	05/21/20	147.00	...	50.98	3 of 7 - Sale <sup>25</sup>
	100.000	197.99	05/21/20	147.00	...	50.99	4 of 7 - Sale <sup>25</sup>
	100.000	197.99	05/21/20	147.00	...	50.99	5 of 7 - Sale <sup>25</sup>
	300.000	593.95	05/21/20	524.73	...	69.22	6 of 7 - Sale <sup>25</sup>
	200.000	395.98	05/21/20	294.00	...	101.98	7 of 7 - Sale <sup>25</sup>
05/21/20	1,000.000	1,979.87	Various	1,609.55	...	370.32	Total of 7 transactions

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SEQUENTIAL BRANDS GROUP, INC. COMMON STOCK / CUSIP: 81734P107 / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	990.000	288.16	06/16/20	356.40	...	-68.24	1 of 3 - Sale <sup>25</sup>
	400.000	116.43	06/16/20	171.57	...	-55.14	2 of 3 - Sale <sup>25</sup>
	100.000	29.11	06/16/20	42.89	...	-13.78	3 of 3 - Sale <sup>25</sup>
06/16/20	1,490.000	433.70	Various	570.86	...	-137.16	Total of 3 transactions
06/16/20	3,510.000	1,021.67	06/16/20	1,263.60	34.46 W	-207.47	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,455.37</b>		<b>1,834.46</b>	<b>34.46 W</b>	<b>-344.63</b>	
SPHERIX INCORPORATED COMMON STOCK / CUSIP: 84842R502 / Symbol:							
15 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	100.000	172.99	03/06/20	168.00	...	4.99	1 of 15 - Sale <sup>25</sup>
	100.000	184.99	03/06/20	180.00	...	4.99	2 of 15 - Sale <sup>25</sup>
	120.000	207.58	03/06/20	201.60	...	5.98	3 of 15 - Sale <sup>25</sup>
	100.000	154.98	03/06/20	144.00	...	10.98	4 of 15 - Sale <sup>25</sup>
	300.000	554.94	03/06/20	540.00	...	14.94	5 of 15 - Sale <sup>25</sup>
	300.000	554.94	03/06/20	540.00	...	14.94	6 of 15 - Sale <sup>25</sup>
	300.000	554.94	03/06/20	540.00	...	14.94	7 of 15 - Sale <sup>25</sup>
	200.000	309.98	03/06/20	288.00	...	21.98	8 of 15 - Sale <sup>25</sup>
	300.000	464.95	03/06/20	432.00	...	32.95	9 of 15 - Sale <sup>25</sup>
	780.000	1,349.27	03/06/20	1,310.40	...	38.87	10 of 15 - Sale <sup>25</sup>
	400.000	619.93	03/06/20	576.00	...	43.93	11 of 15 - Sale <sup>25</sup>
	1,000.000	1,849.83	03/06/20	1,800.00	...	49.83	12 of 15 - Sale <sup>25</sup>
	1,000.000	1,629.84	03/06/20	1,560.00	...	69.84	13 of 15 - Sale <sup>25</sup>
	1,000.000	1,599.84	03/06/20	1,530.00	...	69.84	14 of 15 - Sale <sup>25</sup>
	1,000.000	1,349.85	03/06/20	1,120.00	...	229.85	15 of 15 - Sale <sup>25</sup>
03/06/20	7,000.000	11,558.85	Various	10,930.00	...	628.85	Total of 15 transactions
8 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	2.000	6.00	03/06/20	5.60	...	2.40	1 of 8 - Sale <sup>25</sup>
	28.000	84.00	03/06/20	50.40	...	33.60	2 of 8 - Sale <sup>25</sup>
	50.000	150.00	03/06/20	90.00	...	60.00	3 of 8 - Sale <sup>25</sup>
	50.000	150.00	03/06/20	90.00	...	60.00	4 of 8 - Sale <sup>25</sup>
	60.000	179.99	03/06/20	108.00	...	71.99	5 of 8 - Sale <sup>25</sup>
	75.000	224.99	03/06/20	135.00	...	89.99	6 of 8 - Sale <sup>25</sup>
	235.000	704.95	03/06/20	423.00	...	281.95	7 of 8 - Sale <sup>25</sup>

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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SPHERIX INCORPORATED COMMON STOCK / CUSIP: 84842R502 / Symbol: (cont'd)							
	500.000	1,499.90	03/06/20	900.00	...	599.90	8 of 8 - Sale <sup>25</sup>
03/09/20	1,000.000	2,999.83	Various	1,800.00	...	1,199.83	Total of 8 transactions
	<b>Security total:</b>	<b>14,558.68</b>		<b>12,730.00</b>	...	<b>1,828.68</b>	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
05/27/20	1,000.000	12,999.59	05/27/20	13,000.00	...	-0.41	Sale <sup>25</sup>
SUNDIAL GROWERS INC. COMMON SHARES / CUSIP: 86730L109 / Symbol:							
12/23/20	1,500.000	780.55	12/22/20	780.75	...	-0.20	Sale <sup>25</sup>
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	252.000	1,083.54	10/07/20	1,083.60	...	-0.06	1 of 2 - Sale <sup>25</sup>
	248.000	1,066.35	10/07/20	1,066.40	...	-0.05	2 of 2 - Sale <sup>25</sup>
10/07/20	500.000	2,149.89	Various	2,150.00	...	-0.11	Total of 2 transactions
TARONIS TECHNOLOGIES INC COMMON STOCK / CUSIP: 876214206 / Symbol:							
7 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	250.000	31.67	03/30/20	52.50	20.83 W	0.00	1 of 7 - Sale <sup>25</sup>
	750.000	95.01	03/30/20	157.50	62.49 W	0.00	2 of 7 - Sale <sup>25</sup>
	250.000	31.67	04/08/20	52.96	21.29 W	0.00	3 of 7 - Sale <sup>25</sup>
	750.000	95.01	04/08/20	96.37	1.36 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	12.67	04/08/20	12.84	0.17 W	0.00	5 of 7 - Sale <sup>25</sup>
	2,400.000	304.01	04/08/20	308.16	4.15 W	0.00	6 of 7 - Sale <sup>25</sup>
	500.000	63.34	04/08/20	64.20	0.86 W	0.00	7 of 7 - Sale <sup>25</sup>
04/08/20	5,000.000	633.38	Various	744.53	111.15 W	0.00	Total of 7 transactions
4 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	100.000	34.59	04/17/20	34.55	...	0.04	1 of 4 - Sale <sup>25</sup>
	150.000	51.88	04/17/20	51.83	...	0.05	2 of 4 - Sale <sup>25</sup>
	263.000	90.96	04/17/20	90.90	...	0.06	3 of 4 - Sale <sup>25</sup>
	487.000	168.44	04/17/20	168.31	...	0.13	4 of 4 - Sale <sup>25</sup>
04/17/20	1,000.000	345.87	Various	345.59	...	0.28	Total of 4 transactions

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol: (cont'd)							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	250.000	86.47	04/17/20	107.24	20.77 W	0.00	1 of 2 - Sale <sup>25</sup>
	750.000	259.40	04/17/20	320.34	60.94 W	0.00	2 of 2 - Sale <sup>25</sup>
04/17/20	1,000.000	345.87	Various	427.58	81.71 W	0.00	Total of 2 transactions
21 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	650.000	233.92	04/20/20	274.21	...	-40.29	1 of 21 - Sale <sup>25</sup>
	250.000	89.97	04/20/20	125.80	...	-35.83	2 of 21 - Sale <sup>25</sup>
	200.000	71.97	04/20/20	100.28	...	-28.31	3 of 21 - Sale <sup>25</sup>
	200.000	71.98	04/20/20	100.28	...	-28.30	4 of 21 - Sale <sup>25</sup>
	450.000	161.94	04/20/20	189.83	...	-27.89	5 of 21 - Sale <sup>25</sup>
	800.000	287.90	04/20/20	312.00	...	-24.10	6 of 21 - Sale <sup>25</sup>
	800.000	287.90	04/20/20	312.00	...	-24.10	7 of 21 - Sale <sup>25</sup>
	350.000	125.96	04/20/20	147.65	...	-21.69	8 of 21 - Sale <sup>25</sup>
	300.000	107.96	04/20/20	126.56	...	-18.60	9 of 21 - Sale <sup>25</sup>
	600.000	215.93	04/20/20	234.00	...	-18.07	10 of 21 - Sale <sup>25</sup>
	600.000	215.93	04/20/20	234.00	...	-18.07	11 of 21 - Sale <sup>25</sup>
	100.000	35.99	04/20/20	50.14	...	-14.15	12 of 21 - Sale <sup>25</sup>
	100.000	35.99	04/20/20	50.14	...	-14.15	13 of 21 - Sale <sup>25</sup>
	100.000	35.99	04/20/20	50.13	...	-14.14	14 of 21 - Sale <sup>25</sup>
	450.000	161.94	04/20/20	175.50	...	-13.56	15 of 21 - Sale <sup>25</sup>
	200.000	71.97	04/20/20	84.37	...	-12.40	16 of 21 - Sale <sup>25</sup>
	350.000	125.96	04/20/20	137.10	...	-11.14	17 of 21 - Sale <sup>25</sup>
	250.000	89.97	04/20/20	97.93	...	-7.96	18 of 21 - Sale <sup>25</sup>
	50.000	17.99	04/20/20	25.07	...	-7.08	19 of 21 - Sale <sup>25</sup>
	150.000	53.98	04/20/20	58.76	...	-4.78	20 of 21 - Sale <sup>25</sup>
	50.000	17.99	04/20/20	21.09	...	-3.10	21 of 21 - Sale <sup>25</sup>
04/20/20	7,000.000	2,519.13	Various	2,906.84	...	-387.71	Total of 21 transactions
8 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1,000.000	359.87	04/20/20	391.73	31.86 W	0.00	1 of 8 - Sale <sup>25</sup>
	650.000	233.92	04/20/20	254.62	20.70 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	17.99	04/20/20	23.56	5.57 W	0.00	3 of 8 - Sale <sup>25</sup>
	300.000	107.96	04/20/20	141.38	33.42 W	0.00	4 of 8 - Sale <sup>25</sup>
	200.000	71.98	04/20/20	94.25	22.27 W	0.00	5 of 8 - Sale <sup>25</sup>

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Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol: (cont'd)							
	250.000	89.97	04/20/20	118.27	28.30 W	0.00	6 of 8 - Sale <sup>25</sup>
	200.000	71.97	04/20/20	94.25	22.28 W	0.00	7 of 8 - Sale <sup>25</sup>
	350.000	125.96	04/20/20	137.11	11.15 W	0.00	8 of 8 - Sale <sup>25</sup>
04/20/20	3,000.000	1,079.62	Various	1,255.17	175.55 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>4,923.87</b>		<b>5,679.71</b>	<b>368.41 W</b>	<b>-387.43</b>	
TENGASCO, INC. / CUSIP: 88033R502 / Symbol:							
10/21/20	100.000	431.99	10/21/20	332.00	...	99.99	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	3.000	2,350.75	02/10/20	2,320.74	...	30.01	1 of 3 - Sale <sup>25</sup>
	7.000	5,485.08	02/10/20	5,415.07	...	70.01	2 of 3 - Sale <sup>25</sup>
	10.000	7,714.84	02/10/20	7,612.93	...	101.91	3 of 3 - Sale <sup>25</sup>
02/10/20	20.000	15,550.67	Various	15,348.74	...	201.93	Total of 3 transactions
02/11/20	10.000	7,746.98	02/10/20	7,832.24	85.26 W	0.00	Sale <sup>25</sup>
02/18/20	10.000	8,541.45	02/18/20	8,495.26	...	46.19	Sale <sup>25</sup>
3 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	9.000	6,525.03	02/27/20	6,492.09	...	32.94	1 of 3 - Sale <sup>25</sup>
	9.000	6,525.04	04/02/20	4,500.00	...	2,025.04	2 of 3 - Sale <sup>25</sup>
	9.000	6,525.03	04/14/20	6,412.16	...	112.87	3 of 3 - Sale <sup>25</sup>
04/14/20	27.000	19,575.10	Various	17,404.25	...	2,170.85	Total of 3 transactions
04/14/20	9.000	6,525.04	02/19/20	8,316.00	1,790.96 W	0.00	Sale <sup>25</sup>
3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	8.000	5,816.11	04/14/20	7,401.98	...	-1,585.87	1 of 3 - Sale <sup>25</sup>
	1.000	726.98	04/14/20	925.25	...	-198.27	2 of 3 - Sale <sup>25</sup>
	27.000	19,629.37	04/14/20	19,608.79	...	20.58	3 of 3 - Sale <sup>25</sup>
04/15/20	36.000	26,172.46	Various	27,936.02	...	-1,763.56	Total of 3 transactions
	<b>Security total:</b>	<b>84,111.70</b>		<b>85,332.51</b>	<b>1,876.22 W</b>	<b>655.41</b>	
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
03/26/20	500.000	2,564.93	03/26/20	2,474.60	...	90.33	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
UNITED NATURAL FOODS INC / CUSIP: 911163103 / Symbol:							
05/13/20	100.000	2,245.94	05/13/20	2,088.00	...	157.94	Sale <sup>25</sup>
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
<i>5 transactions for 04/21/20. Total proceeds and cost reported to the IRS.</i>							
	70.000	211.39	04/21/20	197.40	...	13.99	1 of 5 - Sale <sup>25</sup>
	150.000	452.99	04/21/20	423.00	...	29.99	2 of 5 - Sale <sup>25</sup>
	1,000.000	3,189.80	04/21/20	3,149.90	...	39.90	3 of 5 - Sale <sup>25</sup>
	280.000	845.57	04/21/20	789.60	...	55.97	4 of 5 - Sale <sup>25</sup>
	500.000	1,509.96	04/21/20	1,410.00	...	99.96	5 of 5 - Sale <sup>25</sup>
04/21/20	2,000.000	6,209.71	Various	5,969.90	...	239.81	Total of 5 transactions
<i>9 transactions for 04/21/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	570.96	04/21/20	614.02	43.06 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	285.49	04/21/20	307.01	21.52 W	0.00	2 of 9 - Sale <sup>25</sup>
	100.000	285.49	04/21/20	307.01	21.52 W	0.00	3 of 9 - Sale <sup>25</sup>
	100.000	285.49	04/21/20	307.01	21.52 W	0.00	4 of 9 - Sale <sup>25</sup>
	100.000	280.99	04/21/20	307.01	26.02 W	0.00	5 of 9 - Sale <sup>25</sup>
	100.000	280.99	04/21/20	307.01	26.02 W	0.00	6 of 9 - Sale <sup>25</sup>
	100.000	280.99	04/21/20	307.01	26.02 W	0.00	7 of 9 - Sale <sup>25</sup>
	200.000	561.96	04/21/20	614.01	52.05 W	0.00	8 of 9 - Sale <sup>25</sup>
	1,000.000	3,059.91	04/21/20	3,260.00	200.09 W	0.00	9 of 9 - Sale <sup>25</sup>
04/21/20	2,000.000	5,892.27	Various	6,330.09	437.82 W	0.00	Total of 9 transactions
<i>15 transactions for 04/22/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	501.96	04/22/20	588.05	...	-86.09	1 of 15 - Sale <sup>25</sup>
	100.000	250.98	04/22/20	294.02	...	-43.04	2 of 15 - Sale <sup>25</sup>
	182.000	496.82	04/22/20	528.76	...	-31.94	3 of 15 - Sale <sup>25</sup>
	58.000	145.57	04/22/20	170.53	...	-24.96	4 of 15 - Sale <sup>25</sup>
	58.000	145.57	04/22/20	170.53	...	-24.96	5 of 15 - Sale <sup>25</sup>
	42.000	105.41	04/22/20	123.49	...	-18.08	6 of 15 - Sale <sup>25</sup>
	100.000	272.98	04/22/20	290.52	...	-17.54	7 of 15 - Sale <sup>25</sup>
	75.000	204.74	04/22/20	217.89	...	-13.15	8 of 15 - Sale <sup>25</sup>
	72.000	196.55	04/22/20	209.17	...	-12.62	9 of 15 - Sale <sup>25</sup>
	27.000	67.76	04/22/20	79.39	...	-11.63	10 of 15 - Sale <sup>25</sup>
	28.000	76.43	04/22/20	81.35	...	-4.92	11 of 15 - Sale <sup>25</sup>
	25.000	68.24	04/22/20	72.63	...	-4.39	12 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol: (cont'd)							
	10.000	25.10	04/22/20	29.40	...	-4.30	13 of 15 - Sale <sup>25</sup>
	18.000	49.14	04/22/20	52.30	...	-3.16	14 of 15 - Sale <sup>25</sup>
	5.000	12.55	04/22/20	14.70	...	-2.15	15 of 15 - Sale <sup>25</sup>
04/22/20	1,000.000	2,619.80	Various	2,922.73	...	-302.93	Total of 15 transactions
	<b>Security total:</b>	<b>14,721.78</b>		<b>15,222.72</b>	<b>437.82 W</b>	<b>-63.12</b>	
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	53.000	264.99	06/17/20	371.00	...	-106.01	1 of 2 - Sale <sup>25</sup>
	47.000	235.00	06/17/20	329.00	...	-94.00	2 of 2 - Sale <sup>25</sup>
06/17/20	100.000	499.99	Various	700.00	...	-200.01	Total of 2 transactions
VECTOIQ ACQUISITION CORP. COMM ON STOCK / CUSIP: 92243N103 / Symbol:							
05/13/20	100.000	3,089.92	05/13/20	2,990.00	...	99.92	Sale <sup>25</sup>
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	100.000	2,929.92	05/14/20	2,600.00	...	329.92	1 of 3 - Sale <sup>25</sup>
	10.000	292.99	05/15/20	222.41	...	70.58	2 of 3 - Sale <sup>25</sup>
	40.000	1,171.97	05/15/20	889.63	...	282.34	3 of 3 - Sale <sup>25</sup>
05/26/20	150.000	4,394.88	Various	3,712.04	...	682.84	Total of 3 transactions
05/26/20	100.000	2,929.93	05/13/20	2,990.00	30.04 W	-30.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,414.73</b>		<b>9,692.04</b>	<b>30.04 W</b>	<b>752.73</b>	
VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol:							
5 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	15.000	682.48	02/28/20	660.15	...	22.33	1 of 5 - Sale <sup>25</sup>
	10.000	461.99	02/28/20	422.05	...	39.94	2 of 5 - Sale <sup>25</sup>
	10.000	461.99	02/28/20	422.05	...	39.94	3 of 5 - Sale <sup>25</sup>
	10.000	461.99	02/28/20	422.05	...	39.94	4 of 5 - Sale <sup>25</sup>
	60.000	2,771.93	02/28/20	2,571.00	...	200.93	5 of 5 - Sale <sup>25</sup>
02/28/20	105.000	4,840.38	Various	4,497.30	...	343.08	Total of 5 transactions
15 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	15.000	659.98	02/28/20	660.00	0.02 W	0.00	1 of 15 - Sale <sup>25</sup>
	15.000	627.90	02/28/20	758.99	131.09 W	0.00	2 of 15 - Sale <sup>25</sup>
	15.000	627.90	02/28/20	739.12	111.22 W	0.00	3 of 15 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol: (cont'd)							
	30.000	1,255.80	02/28/20	1,291.80	36.00 W	0.00	4 of 15 - Sale <sup>25</sup>
	15.000	626.99	02/28/20	767.14	140.15 W	0.00	5 of 15 - Sale <sup>25</sup>
	15.000	626.98	02/28/20	747.27	120.29 W	0.00	6 of 15 - Sale <sup>25</sup>
	20.000	835.98	02/28/20	872.07	36.09 W	0.00	7 of 15 - Sale <sup>25</sup>
	10.000	418.00	02/28/20	436.04	18.04 W	0.00	8 of 15 - Sale <sup>25</sup>
	15.000	648.14	02/28/20	777.65	129.51 W	0.00	9 of 15 - Sale <sup>25</sup>
	15.000	648.13	02/28/20	757.79	109.66 W	0.00	10 of 15 - Sale <sup>25</sup>
	10.000	432.09	02/28/20	443.04	10.95 W	0.00	11 of 15 - Sale <sup>25</sup>
	10.000	432.09	02/28/20	443.04	10.95 W	0.00	12 of 15 - Sale <sup>25</sup>
	10.000	432.09	02/28/20	443.04	10.95 W	0.00	13 of 15 - Sale <sup>25</sup>
	15.000	692.98	02/28/20	746.16	53.18 W	0.00	14 of 15 - Sale <sup>25</sup>
	15.000	692.98	02/28/20	726.31	33.33 W	0.00	15 of 15 - Sale <sup>25</sup>
02/28/20	225.000	9,658.03	Various	10,609.46	951.43 W	0.00	Total of 15 transactions
	2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	13.000	597.99	03/04/20	583.46	...	14.53	1 of 2 - Sale <sup>25</sup>
	17.000	781.98	03/04/20	762.99	...	18.99	2 of 2 - Sale <sup>25</sup>
03/04/20	30.000	1,379.97	Various	1,346.45	...	33.52	Total of 2 transactions
	2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	15.000	689.98	03/04/20	726.41	36.43 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	689.98	03/04/20	706.55	16.57 W	0.00	2 of 2 - Sale <sup>25</sup>
03/04/20	30.000	1,379.96	Various	1,432.96	53.00 W	0.00	Total of 2 transactions
03/05/20	30.000	1,379.96	03/04/20	1,368.00	...	11.96	Sale <sup>25</sup>
	2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	15.000	689.98	03/04/20	720.43	30.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	689.98	03/04/20	700.57	10.59 W	0.00	2 of 2 - Sale <sup>25</sup>
03/05/20	30.000	1,379.96	Various	1,421.00	41.04 W	0.00	Total of 2 transactions
	3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	15.000	562.49	03/11/20	633.36	...	-70.87	1 of 3 - Sale <sup>25</sup>
	20.000	749.98	03/11/20	803.89	...	-53.91	2 of 3 - Sale <sup>25</sup>
	15.000	562.48	03/11/20	613.51	...	-51.03	3 of 3 - Sale <sup>25</sup>
03/12/20	50.000	1,874.95	Various	2,050.76	...	-175.81	Total of 3 transactions

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Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol: (cont'd)							
3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	70.000	2,624.93	03/11/20	2,848.54	63.89 W	-159.72	1 of 3 - Sale <sup>25</sup>
	15.000	562.49	03/11/20	640.85	78.36 W	0.00	2 of 3 - Sale <sup>25</sup>
	15.000	562.48	03/11/20	620.99	58.51 W	0.00	3 of 3 - Sale <sup>25</sup>
03/12/20	100.000	3,749.90	Various	4,110.38	200.76 W	-159.72	Total of 3 transactions
	<b>Security total:</b>	<b>25,643.11</b>		<b>26,836.31</b>	<b>1,246.23 W</b>	<b>53.03</b>	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
3 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	250.000	9,328.11	02/18/20	9,283.13	...	44.98	1 of 3 - Sale <sup>25</sup>
	50.000	1,924.95	02/19/20	1,900.00	...	24.95	2 of 3 - Sale <sup>25</sup>
	50.000	1,974.95	02/19/20	1,950.00	...	24.95	3 of 3 - Sale <sup>25</sup>
02/19/20	350.000	13,228.01	Various	13,133.13	...	94.88	Total of 3 transactions
02/20/20	50.000	1,913.95	02/20/20	1,864.39	...	49.56	Sale <sup>25</sup>
02/20/20	50.000	1,786.96	02/20/20	1,787.12	0.16 W	0.00	Sale <sup>25</sup>
02/21/20	50.000	1,749.96	02/20/20	1,881.75	131.79 W	0.00	Sale <sup>25</sup>
02/24/20	50.000	1,644.96	02/21/20	1,792.67	147.71 W	0.00	Sale <sup>25</sup>
2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	50.000	1,792.43	02/21/20	1,847.76	...	-55.33	1 of 2 - Sale <sup>25</sup>
	50.000	1,792.42	02/21/20	1,644.17	...	148.25	2 of 2 - Sale <sup>25</sup>
02/25/20	100.000	3,584.85	Various	3,491.93	...	92.92	Total of 2 transactions
	<b>Security total:</b>	<b>23,908.69</b>		<b>23,950.99</b>	<b>279.66 W</b>	<b>237.36</b>	
WESTERN ASSET MORTGAGE CAPITAL CORPORATION / CUSIP: 95790D105 / Symbol:							
03/26/20	400.000	1,583.95	03/26/20	1,588.00	...	-4.05	Sale <sup>25</sup>
WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol:							
17 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	9.000	108.00	10/06/20	106.20	...	1.80	1 of 17 - Sale <sup>25</sup>
	500.000	5,954.80	10/06/20	5,950.00	...	4.80	2 of 17 - Sale <sup>25</sup>
	100.000	1,049.96	10/06/20	1,030.00	...	19.96	3 of 17 - Sale <sup>25</sup>
	100.000	1,049.96	10/06/20	1,030.00	...	19.96	4 of 17 - Sale <sup>25</sup>
	100.000	1,049.96	10/06/20	1,030.00	...	19.96	5 of 17 - Sale <sup>25</sup>
	100.000	1,199.96	10/06/20	1,180.00	...	19.96	6 of 17 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol: (cont'd)							
	100.000	1,199.96	10/06/20	1,180.00	...	19.96	7 of 17 - Sale <sup>25</sup>
	199.000	2,089.43	10/06/20	2,049.70	...	39.73	8 of 17 - Sale <sup>25</sup>
	200.000	2,099.93	10/06/20	2,060.00	...	39.93	9 of 17 - Sale <sup>25</sup>
	200.000	2,099.93	10/06/20	2,060.00	...	39.93	10 of 17 - Sale <sup>25</sup>
	100.000	1,049.96	10/06/20	1,010.00	...	39.96	11 of 17 - Sale <sup>25</sup>
	100.000	1,049.97	10/06/20	1,010.00	...	39.97	12 of 17 - Sale <sup>25</sup>
	291.000	3,491.88	10/06/20	3,433.80	...	58.08	13 of 17 - Sale <sup>25</sup>
	300.000	3,149.89	10/06/20	3,090.00	...	59.89	14 of 17 - Sale <sup>25</sup>
	301.000	3,160.39	10/06/20	3,100.30	...	60.09	15 of 17 - Sale <sup>25</sup>
	300.000	3,149.89	10/06/20	3,030.00	...	119.89	16 of 17 - Sale <sup>25</sup>
	300.000	3,572.88	10/06/20	3,420.00	...	152.88	17 of 17 - Sale <sup>25</sup>
10/06/20	3,300.000	36,526.75	Various	35,770.00	...	756.75	Total of 17 transactions
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
12/30/20	50.000	1,253.97	12/29/20	1,247.50	...	6.47	Sale <sup>25</sup>
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	900.000	224.89	03/30/20	225.00	...	-0.11	1 of 2 - Sale <sup>25</sup>
	100.000	24.99	03/30/20	25.00	...	-0.01	2 of 2 - Sale <sup>25</sup>
04/03/20	1,000.000	249.88	Various	250.00	...	-0.12	Total of 2 transactions
CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	900.000	336.49	03/31/20	747.00	...	-410.51	1 of 2 - Sale <sup>25</sup>
	100.000	37.39	03/31/20	83.00	...	-45.61	2 of 2 - Sale <sup>25</sup>
04/08/20	1,000.000	373.88	Various	830.00	...	-456.12	Total of 2 transactions
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
6 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	200.000	607.98	04/20/20	624.00	...	-16.02	1 of 6 - Sale <sup>25</sup>
	200.000	607.98	04/20/20	624.00	...	-16.02	2 of 6 - Sale <sup>25</sup>
	100.000	303.99	04/20/20	312.00	...	-8.01	3 of 6 - Sale <sup>25</sup>
	100.000	321.28	04/20/20	315.00	...	6.28	4 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 570218032

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	200.000	642.56	04/20/20	630.00	...	12.56	5 of 6 - Sale <sup>25</sup>
	200.000	642.56	04/20/20	630.00	...	12.56	6 of 6 - Sale <sup>25</sup>
04/20/20	1,000.000	3,126.35	Various	3,135.00	...	-8.65	Total of 6 transactions
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
04/13/20	1,000.000	829.86	04/09/20	817.00	...	12.86	Sale <sup>25</sup>
	11 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	100.000	62.99	04/13/20	101.01	...	-38.02	1 of 11 - Sale <sup>25</sup>
	77.000	48.42	04/13/20	77.78	...	-29.36	2 of 11 - Sale <sup>25</sup>
	77.000	48.50	04/13/20	77.78	...	-29.28	3 of 11 - Sale <sup>25</sup>
	100.000	62.99	04/13/20	82.00	...	-19.01	4 of 11 - Sale <sup>25</sup>
	77.000	48.50	04/13/20	63.14	...	-14.64	5 of 11 - Sale <sup>25</sup>
	23.000	14.49	04/13/20	23.23	...	-8.74	6 of 11 - Sale <sup>25</sup>
	23.000	14.49	04/13/20	23.23	...	-8.74	7 of 11 - Sale <sup>25</sup>
	17.000	10.69	04/13/20	17.17	...	-6.48	8 of 11 - Sale <sup>25</sup>
	34.000	21.42	04/13/20	27.88	...	-6.46	9 of 11 - Sale <sup>25</sup>
	25.000	15.75	04/13/20	20.50	...	-4.75	10 of 11 - Sale <sup>25</sup>
	8.000	5.03	04/13/20	8.08	...	-3.05	11 of 11 - Sale <sup>25</sup>
04/14/20	561.000	353.27	Various	521.80	...	-168.53	Total of 11 transactions
	3 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	139.000	87.55	04/13/20	113.98	4.75 W	-21.68	1 of 3 - Sale <sup>25</sup>
	100.000	62.99	04/13/20	82.00	19.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	200.000	125.98	04/13/20	164.00	38.02 W	0.00	3 of 3 - Sale <sup>25</sup>
04/14/20	439.000	276.52	Various	359.98	61.78 W	-21.68	Total of 3 transactions
	<b>Security total:</b>	<b>1,459.65</b>		<b>1,698.78</b>	<b>61.78 W</b>	<b>-177.35</b>	
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
	16 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	3,500.000	500.73	03/31/20	532.35	...	-31.62	1 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	2 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	3 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	4 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	5 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	6 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 570218032

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
	100.000	14.31	03/31/20	15.21	...	-0.90	7 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	8 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	9 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	10 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	11 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	12 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	13 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	14 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	15 of 16 - Sale <sup>25</sup>
	100.000	14.31	03/31/20	15.21	...	-0.90	16 of 16 - Sale <sup>25</sup>
04/02/20	5,000.000	715.38	Various	760.50	...	-45.12	Total of 16 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
04/02/20	3,000.000	671.62	03/27/20	540.00	...	131.62	Sale <sup>25</sup>
04/07/20	2,000.000	658.54	04/07/20	658.80	...	-0.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,330.16</b>		<b>1,198.80</b>	...	<b>131.36</b>	
<b>Totals :</b>		<b>779,009.93</b>		<b>869,850.57</b>	<b>59,511.71 W</b>	<b>-31,328.93</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	35.000	6,334.85	03/25/20	6,929.92	...	-595.07	1 of 3 - Sale <sup>25</sup>
	30.000	5,429.87	03/25/20	5,171.47	...	258.40	2 of 3 - Sale <sup>25</sup>
	35.000	6,334.85	03/25/20	6,033.38	...	301.47	3 of 3 - Sale <sup>25</sup>
10/06/20	100.000	18,099.57	Various	18,134.77	...	-35.20	Total of 3 transactions
<b>Totals :</b>		<b>18,099.57</b>		<b>18,134.77</b>		<b>-35.20</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 570218032

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
DXC TECHNOLOGY COMPANY	23355L106		01/14/20	87.99	Qualified dividend	
			04/14/20	199.29	Qualified dividend	
				<b>287.28</b>	<b>Total Dividends &amp; distributions</b>	
				<b>287.28</b>	<b>Total Dividends &amp; distributions</b>	



Robinhood Securities LLC

Account 570218032

## Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
DXC TECHNOLOGY COMPANY	23355L106	01/14/20	11.76	Substitute payment	
		04/14/20	0.21	Substitute payment	
			11.97	Total Miscellaneous income	
			<b>11.97</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

Account 570218032

**Fees and Expenses**

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/13/20	-5.00	Margin interest paid	
		02/13/20	-52.41	Margin interest paid	
		03/16/20	-60.27	Margin interest paid	
		04/13/20	-46.62	Margin interest paid	
		05/13/20	-63.16	Margin interest paid	
		05/28/20	1.41	Adj- Margin interest paid	
		06/12/20	-5.00	Margin interest paid	
		06/12/20	-62.00	Margin interest paid	
		07/13/20	-5.00	Margin interest paid	
		07/13/20	-50.73	Margin interest paid	
		07/14/20	0.28	Adj- Margin interest paid	
		08/11/20	-5.00	Margin interest paid	
		08/11/20	-54.37	Margin interest paid	
		09/10/20	-5.00	Margin interest paid	
		09/10/20	-59.40	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
		10/12/20	-63.63	Margin interest paid	
		11/09/20	-5.00	Margin interest paid	
		11/09/20	-30.89	Margin interest paid	
		12/09/20	-5.00	Margin interest paid	
		12/09/20	-36.68	Margin interest paid	
			-618.47	Total Margin interest paid	
			<b>-618.47</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 16-18.** Show state or local income tax withheld from the payments.