

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 148778293	Statement Date: 02/14/2021 Document ID: NEU3 64A 5897	2020
	Ellan Konda 71 N Arcadian Cir Apt 301 Memphis, TN 38103 RECIPIENT'S TIN: XXX-XX-3432		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	663.57		2- Royalties	0.00	
1b- Qualified dividends	7.87		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.09	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	650.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	273,939.88	302,319.38	0.00	23,036.33	-5,343.17
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	10.56	12.41	0.00	0.00	-1.85
	Total Short-term	273,950.44	302,331.79	0.00	23,036.33	-5,345.02
Long	D (basis reported to the IRS)	3.84	9.17	0.00	5.33	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	2.11	9.13	0.00	0.00	-7.02
	Total Long-term	5.95	18.30	0.00	5.33	-7.02
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	273,956.39	302,350.09	0.00	23,041.66	-5,352.04

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

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02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	160.08
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 08/21/2020 PUT \$12.00 / CUSIP: / Symbol:								
08/21/20	1.000	11.98	08/21/20	0.00	...		11.98	Short sale closed- put expired Option written: 08/20/20
AAL 10/16/2020 CALL \$14.00 / CUSIP: / Symbol:								
10/12/20	1.000	4.98	10/09/20	0.00	...		4.98	Short sale closed- call ²⁵ Option written: 10/08/20
BNTX 08/21/2020 PUT \$60.00 / CUSIP: / Symbol:								
07/29/20	1.000	49.98	07/28/20	0.00	...		49.98	Short sale closed- put ²⁵ Option written: 07/23/20
BYND 07/24/2020 CALL \$135.00 / CUSIP: / Symbol:								
07/24/20	1.000	0.00	07/16/20	300.00	...		-300.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 09/25/2020 PUT \$145.00 / CUSIP: / Symbol:							
09/18/20	1.000	344.98	09/16/20	240.00	...	104.98	Option sale to close-put ²⁵
CPE 06/19/2020 CALL \$2.00 / CUSIP: / Symbol:							
<i>3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	224.98	06/05/20	75.00	...	149.98	1 of 3 - Option sale to close-call ²⁵
	5.000	224.99	06/05/20	70.00	...	154.99	2 of 3 - Option sale to close-call ²⁵
	10.000	449.97	06/08/20	450.00	...	-0.03	3 of 3 - Option sale to close-call ²⁵
06/08/20	20.000	899.94	Various	595.00	...	304.94	Total of 3 transactions
CRBP 08/21/2020 PUT \$5.00 / CUSIP: / Symbol:							
08/21/20	10.000	49.97	08/21/20	0.00	...	49.97	Short sale closed- put expired Option written: 08/20/20
CRBP 08/21/2020 PUT \$6.00 / CUSIP: / Symbol:							
08/21/20	5.000	174.98	08/21/20	0.00	...	174.98	Short sale closed- put expired Option written: 08/13/20
DDOG 10/02/2020 CALL \$110.00 / CUSIP: / Symbol:							
09/30/20	1.000	124.98	09/30/20	100.00	...	24.98	Option sale to close-call ²⁵
FB 10/23/2020 PUT \$280.00 / CUSIP: / Symbol:							
10/21/20	1.000	299.98	10/21/20	250.00	...	49.98	Option sale to close-put ²⁵
FB 11/20/2020 PUT \$270.00 / CUSIP: / Symbol:							
11/10/20	1.000	629.97	11/04/20	480.00	...	149.97	Option sale to close-put ²⁵
FSLY 12/18/2020 CALL \$95.00 / CUSIP: / Symbol:							
12/08/20	1.000	449.98	12/04/20	450.00	...	-0.02	Option sale to close-call ²⁵
FSR 12/11/2020 CALL \$18.00 / CUSIP: / Symbol:							
12/11/20	1.000	59.98	12/11/20	0.00	...	59.98	Short sale closed- call expired Option written: 12/08/20
GNUS 07/17/2020 CALL \$4.00 / CUSIP: / Symbol:							
07/17/20	1.000	14.98	07/17/20	0.00	...	14.98	Short sale closed- call expired Option written: 07/07/20

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 08/14/2020 CALL \$3.00 / CUSIP: / Symbol:							
08/14/20	2.000	11.98	08/14/20	0.00	...	11.98	Short sale closed- call expired Option written: 07/29/20
GPMT 09/18/2020 CALL \$10.00 / CUSIP: / Symbol:							
08/21/20	10.000	99.97	08/20/20	0.00	...	99.97	Short sale closed- call ²⁵ Option written: 07/28/20
HTZ 06/26/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/26/20	10.000	0.00	06/24/20	200.00	...	-200.00	Option expiration- long call
HYLN 12/24/2020 CALL \$19.00 / CUSIP: / Symbol:							
12/24/20	1.000	4.98	12/23/20	0.00	...	4.98	Short sale closed- call ²⁵ Option written: 12/23/20
INTC 01/08/2021 PUT \$50.00 / CUSIP: / Symbol:							
12/31/20	1.000	159.98	12/29/20	150.00	...	9.98	Option sale to close-put ²⁵
INTC 10/16/2020 CALL \$60.00 / CUSIP: / Symbol:							
10/16/20	3.000	0.00	09/01/20	90.00	...	-90.00	Option expiration- long call
JPM 06/19/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/19/20	5.000	0.00	06/05/20	310.00	...	-310.00	Option expiration- long call
JWN 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
08/07/20	1.000	374.98	08/03/20	273.00	...	101.98	Option sale to close-call ²⁵
KODK 08/21/2020 PUT \$7.50 / CUSIP: / Symbol:							
08/18/20	1.000	99.98	07/29/20	100.00	...	-0.02	Option sale to close-put ²⁵
M 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
07/17/20	1.000	6.98	07/17/20	0.00	...	6.98	Short sale closed- call expired Option written: 07/15/20
M 07/31/2020 CALL \$7.00 / CUSIP: / Symbol:							
07/31/20	1.000	4.98	07/31/20	0.00	...	4.98	Short sale closed- call expired Option written: 07/28/20

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
M 07/31/2020 CALL \$7.50 / CUSIP: / Symbol:							
07/28/20	1.000	9.98	07/27/20	0.00	...	9.98	Short sale closed- call ²⁵ Option written: 07/21/20
M 08/21/2020 CALL \$7.50 / CUSIP: / Symbol:							
08/18/20	1.000	-0.02	08/17/20	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 08/07/20
M 09/04/2020 CALL \$8.00 / CUSIP: / Symbol:							
09/08/20	1.000	7.98	09/04/20	0.00	...	7.98	Short sale closed- call ²⁵ Option written: 09/03/20
M 10/23/2020 CALL \$7.00 / CUSIP: / Symbol:							
10/26/20	1.000	4.98	10/23/20	0.00	...	4.98	Short sale closed- call ²⁵ Option written: 10/22/20
MA 07/17/2020 CALL \$305.00 / CUSIP: / Symbol:							
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	179.99	07/13/20	160.00	...	19.99	1 of 2 - Option sale to close-call ²⁵
	3.000	539.98	07/13/20	465.00	...	74.98	2 of 2 - Option sale to close-call ²⁵
07/13/20	4.000	719.97	Various	625.00	...	94.97	Total of 2 transactions
MNTA 09/18/2020 PUT \$50.00 / CUSIP: / Symbol:							
09/04/20	2.000	9.98	08/19/20	100.00	...	-90.02	Option sale to close-put ²⁵
NKLA 09/11/2020 PUT \$32.00 / CUSIP: / Symbol:							
09/14/20	2.000	59.98	09/11/20	0.00	...	59.98	Short sale closed- put ²⁵ Option written: 09/10/20
NOG 07/17/2020 CALL \$2.00 / CUSIP: / Symbol:							
07/17/20	20.000	0.00	06/08/20	200.00	...	-200.00	Option expiration- long call
NVAX 12/04/2020 CALL \$130.00 / CUSIP: / Symbol:							
12/03/20	1.000	349.98	12/01/20	600.00	...	-250.02	Option sale to close-call ²⁵
NVDA 09/11/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/03/20	800.00	...	-800.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 09/11/2020 CALL \$600.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/02/20	1,100.00	...	-1,100.00	Option expiration- long call
PFE 06/19/2020 CALL \$37.50 / CUSIP: / Symbol:							
06/19/20	5.000	0.00	06/03/20	155.00	...	-155.00	Option expiration- long call
PLAY 08/14/2020 PUT \$14.50 / CUSIP: / Symbol:							
08/14/20	1.000	24.98	08/14/20	0.00	...	24.98	Short sale closed- put expired Option written: 08/12/20
PLCE 09/18/2020 PUT \$15.00 / CUSIP: / Symbol:							
08/28/20	1.000	49.98	08/27/20	0.00	...	49.98	Short sale closed- put ²⁵ Option written: 08/25/20
09/04/20	3.000	44.98	09/03/20	0.00	...	44.98	Short sale closed- put ²⁵ Option written: 08/27/20
	Security total:	94.96		0.00	...	94.96	
ROKU 06/19/2020 PUT \$110.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/16/20	130.00	...	-130.00	Option expiration- long put
ROKU 10/09/2020 PUT \$210.00 / CUSIP: / Symbol:							
10/08/20	1.000	49.98	10/07/20	200.00	...	-150.02	Option sale to close-put ²⁵
SAVE 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/21/20	1.000	34.98	07/20/20	0.00	...	34.98	Short sale closed- call ²⁵ Option written: 07/13/20
SAVE 08/21/2020 PUT \$12.50 / CUSIP: / Symbol:							
	<i>4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	20.99	08/12/20	0.00	...	20.99	1 of 4 - Short sale closed- put ²⁵ Option written: 07/31/20
	1.000	20.99	08/12/20	0.00	...	20.99	2 of 4 - Short sale closed- put ²⁵ Option written: 07/31/20

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(continued)

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SAVE 08/21/2020 PUT \$12.50 / CUSIP: / Symbol: (cont'd)							
	1.000	20.99	08/12/20	0.00	...	20.99	3 of 4 - Short sale closed- put ²⁵ Option written: 07/31/20
	2.000	41.99	08/12/20	0.00	...	41.99	4 of 4 - Short sale closed- put ²⁵ Option written: 07/31/20
08/13/20	5.000	104.96	Various	0.00	...	104.96	Total of 4 transactions
SNAP 10/30/2020 PUT \$40.00 / CUSIP: / Symbol:							
10/30/20	1.000	24.98	10/23/20	70.00	...	-45.02	Option sale to close-put ²⁵
SOLO 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	6.000	0.00	06/09/20	270.00	...	-270.00	1 of 3 - Option expiration- long call
	4.000	0.00	06/09/20	180.00	...	-180.00	2 of 3 - Option expiration- long call
	10.000	0.00	06/10/20	100.00	...	-100.00	3 of 3 - Option expiration- long call
06/19/20	20.000	0.00	Various	550.00	...	-550.00	Total of 3 transactions
SOLO 06/19/2020 CALL \$7.50 / CUSIP: / Symbol:							
5 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	06/09/20	350.00	...	-350.00	1 of 5 - Option expiration- long call
	10.000	0.00	06/10/20	50.00	...	-50.00	2 of 5 - Option expiration- long call
	7.000	0.00	06/10/20	35.00	...	-35.00	3 of 5 - Option expiration- long call
	2.000	0.00	06/10/20	10.00	...	-10.00	4 of 5 - Option expiration- long call
	1.000	0.00	06/10/20	5.00	...	-5.00	5 of 5 - Option expiration- long call
06/19/20	30.000	0.00	Various	450.00	...	-450.00	Total of 5 transactions
SOXL 08/21/2020 CALL \$250.00 / CUSIP: / Symbol:							
08/05/20	1.000	1,709.95	08/05/20	1,500.00	...	209.95	Option sale to close-call ²⁵
08/06/20	1.000	1,649.95	08/06/20	1,400.00	...	249.95	Option sale to close-call ²⁵
	Security total:	3,359.90		2,900.00	...	459.90	
SOXL 09/18/2020 CALL \$260.00 / CUSIP: / Symbol:							
08/27/20	1.000	1,799.95	08/27/20	1,600.00	...	199.95	Option sale to close-call ²⁵
TSLA 09/25/2020 PUT \$350.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/24/20	80.00	...	-80.00	Option expiration- long put

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Robinhood Securities LLC

Account 148778293

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WKHS 08/14/2020 PUT \$13.00 / CUSIP: / Symbol:							
08/11/20	1.000	29.98	08/10/20	0.00	...	29.98	Short sale closed- put ²⁵ Option written: 08/04/20
WMT 09/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/28/20	1.000	464.97	08/28/20	350.00	...	114.97	Option sale to close-call ²⁵
XPEV 12/11/2020 PUT \$45.00 / CUSIP: / Symbol:							
12/14/20	1.000	54.98	12/11/20	0.00	...	54.98	Short sale closed- put ²⁵ Option written: 12/09/20
XPEV 12/18/2020 PUT \$44.00 / CUSIP: / Symbol:							
12/15/20	1.000	74.98	12/14/20	0.00	...	74.98	Short sale closed- put ²⁵ Option written: 12/14/20
ZM 11/20/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/20/20	1.000	4.98	11/12/20	450.00	...	-445.02	Option sale to close-call ²⁵
ZM 11/20/2020 PUT \$350.00 / CUSIP: / Symbol:							
11/20/20	1.000	4.98	11/16/20	300.00	...	-295.02	Option sale to close-put ²⁵
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
06/09/20	500.000	824.92	04/30/20	1,825.00	700.06 W	-300.02	Sale ²⁵
<i>14 transactions for 12/18/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	1,899.89	04/30/20	1,825.00	...	74.89	1 of 14 - Sale ²⁵
	250.000	949.95	06/12/20	840.04	...	109.91	2 of 14 - Sale ²⁵
	1.000	3.65	07/07/20	3.50	...	0.15	3 of 14 - Sale ²⁵
	99.000	376.18	07/07/20	346.52	...	29.66	4 of 14 - Sale ²⁵
	1.000	3.65	12/18/20	3.50	...	0.15	5 of 14 - Sale ²⁵
	1.000	3.65	12/18/20	3.50	...	0.15	6 of 14 - Sale ²⁵
	1.000	3.65	12/18/20	3.50	...	0.15	7 of 14 - Sale ²⁵
	1.000	3.93	12/18/20	3.50	...	0.43	8 of 14 - Sale ²⁵
	49.000	178.85	12/18/20	171.50	...	7.35	9 of 14 - Sale ²⁵
	70.000	255.49	12/18/20	245.00	...	10.49	10 of 14 - Sale ²⁵
	130.000	474.48	12/18/20	455.00	...	19.48	11 of 14 - Sale ²⁵
	198.000	722.66	12/18/20	693.00	...	29.66	12 of 14 - Sale ²⁵
	199.000	726.31	12/18/20	696.50	...	29.81	13 of 14 - Sale ²⁵

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Account 148778293

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	199.000	726.31	12/18/20	696.50	...	29.81	14 of 14 - Sale ²⁵
12/18/20	1,699.000	6,328.65	Various	5,986.56	...	342.09	Total of 14 transactions
12/22/20	1,000.000	6,529.73	12/22/20	6,500.00	...	29.73	Sale ²⁵
	Security total:	13,683.30		14,311.56	700.06 W	71.80	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
10/19/20	100.000	1,279.96	09/25/20	1,248.00	...	31.96	Sale ²⁵
AMPIO PHARMACEUTICALS, INC / CUSIP: 03209T109 / Symbol:							
07/02/20	500.000	354.94	06/19/20	355.00	...	-0.06	Sale ²⁵
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
11/09/20	100.000	1,349.96	11/06/20	950.00	...	399.96	Sale ²⁵
11/27/20	50.000	500.00	11/24/20	445.00	...	55.00	Sale ²⁵
	Security total:	1,849.96		1,395.00	...	454.96	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
01/09/20	25.000	2,199.95	01/07/20	2,050.00	...	149.95	Sale ²⁵
	2 transactions for 01/21/20. Total proceeds and cost reported to the IRS.						
	11.000	1,363.97	01/14/20	1,430.00	...	-66.03	1 of 2 - Sale ²⁵
	10.000	1,239.97	01/14/20	1,165.00	...	74.97	2 of 2 - Sale ²⁵
01/21/20	21.000	2,603.94	Various	2,595.00	...	8.94	Total of 2 transactions
	Security total:	4,803.89		4,645.00	...	158.89	
CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol:							
11/24/20	50.000	1,499.96	11/24/20	1,400.00	...	99.96	Sale ²⁵
12/08/20	50.000	1,624.96	12/08/20	1,575.00	...	49.96	Sale ²⁵
	Security total:	3,124.92		2,975.00	...	149.92	
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
01/14/20	20.000	1,639.96	01/13/20	1,613.00	...	26.96	Sale ²⁵
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
03/11/20	1.000	8.12	07/08/19	5.24	...	2.88	Sale ²⁵

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CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	315.000	393.71	09/18/20	1,464.77	...	-1,071.06	1 of 2 - Sale ²⁵
	185.000	231.23	09/18/20	860.26	...	-629.03	2 of 2 - Sale ²⁵
12/29/20	500.000	624.94	Various	2,325.03	...	-1,700.09	Total of 2 transactions
DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol:							
2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	75.000	833.23	02/07/20	2,475.00	1,641.77 W	0.00	1 of 2 - Sale ²⁵
	75.000	833.22	03/12/20	1,095.00	87.26 W	-174.52	2 of 2 - Sale ²⁵
03/16/20	150.000	1,666.45	Various	3,570.00	1,729.03 W	-174.52	Total of 2 transactions
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	49.000	783.98	03/25/20	1,807.62	...	-1,023.64	1 of 2 - Sale ²⁵
	1.000	16.00	03/25/20	36.89	...	-20.89	2 of 2 - Sale ²⁵
03/26/20	50.000	799.98	Various	1,844.51	...	-1,044.53	Total of 2 transactions
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	24.000	481.19	04/15/20	855.37	...	-374.18	1 of 3 - Sale ²⁵
	1.000	20.05	04/15/20	35.64	...	-15.59	2 of 3 - Sale ²⁵
	24.000	481.18	04/15/20	413.77	...	67.41	3 of 3 - Sale ²⁵
08/07/20	49.000	982.42	Various	1,304.78	...	-322.36	Total of 3 transactions
08/28/20	1.000	20.05	04/15/20	17.24	...	2.81	Sale ²⁵
	Security total:	3,468.90		6,736.53	1,729.03 W	-1,538.60	
DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol:							
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	34.50	04/17/20	31.00	...	3.50	1 of 2 - Sale ²⁵
	24.000	827.98	04/17/20	744.00	...	83.98	2 of 2 - Sale ²⁵
04/22/20	25.000	862.48	Various	775.00	...	87.48	Total of 2 transactions
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	6.000	1,169.97	02/26/20	1,348.50	178.53 W	0.00	1 of 2 - Sale ²⁵
	6.000	1,169.97	02/26/20	1,348.50	178.53 W	0.00	2 of 2 - Sale ²⁵
02/28/20	12.000	2,339.94	Various	2,697.00	357.06 W	0.00	Total of 2 transactions

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DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
<i>4 transactions for 03/03/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	2,349.95	02/26/20	2,247.50	...	102.45	1 of 4 - Sale ²⁵
	6.000	1,409.97	02/28/20	1,318.53	...	91.44	2 of 4 - Sale ²⁵
	6.000	1,409.96	02/28/20	1,312.53	...	97.43	3 of 4 - Sale ²⁵
	22.000	4,949.89	03/03/20	4,730.00	...	219.89	4 of 4 - Sale ²⁵
03/03/20	44.000	10,119.77	Various	9,608.56	...	511.21	Total of 4 transactions
03/04/20	22.000	4,839.89	03/03/20	4,730.00	...	109.89	Sale ²⁵
03/12/20	25.000	2,874.93	03/12/20	2,750.00	...	124.93	Sale ²⁵
03/12/20	25.000	2,874.94	03/06/20	4,750.00	1,875.06 W	0.00	Sale ²⁵
03/19/20	25.000	1,774.96	03/19/20	1,750.00	...	24.96	Sale ²⁵
<i>2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	1,774.96	03/12/20	4,625.06	2,850.10 W	0.00	1 of 2 - Sale ²⁵
	50.000	3,549.91	03/12/20	5,750.00	2,200.09 W	0.00	2 of 2 - Sale ²⁵
03/19/20	75.000	5,324.87	Various	10,375.06	5,050.19 W	0.00	Total of 2 transactions
04/08/20	25.000	2,899.93	03/19/20	2,850.04	...	49.89	Sale ²⁵
04/08/20	25.000	2,899.94	03/19/20	4,600.10	1,700.16 W	0.00	Sale ²⁵
04/16/20	10.000	1,259.97	03/19/20	1,140.02	...	119.95	Sale ²⁵
<i>2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	604.99	03/19/20	570.01	...	34.98	1 of 2 - Sale ²⁵
	10.000	1,209.97	03/19/20	1,140.02	...	69.95	2 of 2 - Sale ²⁵
04/22/20	15.000	1,814.96	Various	1,710.03	...	104.93	Total of 2 transactions
04/22/20	10.000	1,209.97	04/15/20	1,830.06	620.09 W	0.00	Sale ²⁵
<i>2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	594.99	04/20/20	912.55	317.56 W	0.00	1 of 2 - Sale ²⁵
	15.000	1,784.95	04/20/20	2,827.60	1,042.65 W	0.00	2 of 2 - Sale ²⁵
04/24/20	20.000	2,379.94	Various	3,740.15	1,360.21 W	0.00	Total of 2 transactions
<i>3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	764.98	04/20/20	912.54	147.56 W	0.00	1 of 3 - Sale ²⁵
	15.000	2,294.95	04/23/20	2,737.65	295.13 W	-147.57	2 of 3 - Sale ²⁵
	5.000	764.98	04/23/20	882.56	117.58 W	0.00	3 of 3 - Sale ²⁵
05/20/20	25.000	3,824.91	Various	4,532.75	560.27 W	-147.57	Total of 3 transactions

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DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol: (cont'd)							
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	5.000	714.98	05/21/20	817.58	...	-102.60	1 of 3 - Sale ²⁵
	3.000	428.99	05/21/20	508.54	...	-79.55	2 of 3 - Sale ²⁵
	2.000	286.00	05/21/20	339.02	...	-53.02	3 of 3 - Sale ²⁵
05/22/20	10.000	1,429.97	Various	1,665.14	...	-235.17	Total of 3 transactions
07/06/20	10.000	1,999.95	06/03/20	2,055.13	...	-55.18	Sale ²⁵
09/21/20	10.000	2,249.95	09/21/20	2,100.00	...	149.95	Sale ²⁵
	Security total:	52,118.79		62,884.04	11,523.04 W	757.79	
DIREXION DAILY SEMICONDUCTOR B EAR 3X SHARES / CUSIP: 25460E182 / Symbol:							
08/20/20	100.000	389.99	08/19/20	375.00	...	14.99	Sale ²⁵
EDISON NATION, INC. COMMON STO CK / CUSIP: 28103E106 / Symbol:							
05/28/20	500.000	1,369.90	04/16/20	2,000.00	630.10 W	0.00	Sale ²⁵
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	100.000	269.99	04/16/20	285.00	15.01 W	0.00	1 of 4 - Sale ²⁵
	150.000	404.97	04/16/20	427.50	22.53 W	0.00	2 of 4 - Sale ²⁵
	150.000	404.97	05/28/20	564.03	159.06 W	0.00	3 of 4 - Sale ²⁵
	100.000	269.99	05/28/20	376.02	106.03 W	0.00	4 of 4 - Sale ²⁵
06/19/20	500.000	1,349.92	Various	1,652.55	302.63 W	0.00	Total of 4 transactions
14 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	50.000	174.99	05/28/20	188.01	...	-13.02	1 of 14 - Sale ²⁵
	50.000	174.99	05/28/20	188.01	...	-13.02	2 of 14 - Sale ²⁵
	50.000	175.00	05/28/20	188.01	...	-13.01	3 of 14 - Sale ²⁵
	50.000	175.00	05/28/20	188.01	...	-13.01	4 of 14 - Sale ²⁵
	50.000	175.00	05/28/20	188.01	...	-13.01	5 of 14 - Sale ²⁵
	150.000	524.97	06/23/20	564.06	...	-39.09	6 of 14 - Sale ²⁵
	52.000	181.99	06/23/20	195.54	...	-13.55	7 of 14 - Sale ²⁵
	33.000	115.49	06/23/20	124.09	...	-8.60	8 of 14 - Sale ²⁵
	8.000	28.00	06/23/20	30.08	...	-2.08	9 of 14 - Sale ²⁵
	7.000	24.50	06/23/20	26.32	...	-1.82	10 of 14 - Sale ²⁵
	17.000	59.50	06/23/20	48.45	...	11.05	11 of 14 - Sale ²⁵
	50.000	174.99	06/23/20	142.51	...	32.48	12 of 14 - Sale ²⁵
	83.000	290.49	06/23/20	236.57	...	53.92	13 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 148778293

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EDISON NATION, INC. COMMON STO CK / CUSIP: 28103E106 / Symbol: (cont'd)							
	100.000	349.98	06/23/20	285.01	...	64.97	14 of 14 - Sale ²⁵
07/08/20	750.000	2,624.89	Various	2,592.68	...	32.21	Total of 14 transactions
	Security total:	5,344.71		6,245.23	932.73 W	32.21	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 02/18/20. Total proceeds and cost reported to the IRS.							
	25.000	5,380.38	01/10/20	5,462.50	...	-82.12	1 of 2 - Sale ²⁵
	10.000	2,152.15	01/29/20	2,050.00	...	102.15	2 of 2 - Sale ²⁵
02/18/20	35.000	7,532.53	Various	7,512.50	...	20.03	Total of 2 transactions
10/29/20	20.000	5,599.87	10/29/20	5,500.00	...	99.87	Sale ²⁵
	Security total:	13,132.40		13,012.50	...	119.90	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
12/08/20	25.000	2,249.95	12/07/20	2,125.00	...	124.95	Sale ²⁵
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
01/08/20	25.000	3,924.91	12/17/19	3,875.00	...	49.91	Sale ²⁵
GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol:							
4 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	93.000	497.52	03/11/20	525.48	...	-27.96	1 of 4 - Sale ²⁵
	7.000	37.45	03/11/20	38.50	...	-1.05	2 of 4 - Sale ²⁵
	7.000	37.45	03/11/20	37.10	...	0.35	3 of 4 - Sale ²⁵
	193.000	1,032.50	03/11/20	993.95	...	38.55	4 of 4 - Sale ²⁵
03/11/20	300.000	1,604.92	Various	1,595.03	...	9.89	Total of 4 transactions
03/11/20	100.000	534.97	03/11/20	550.00	15.03 W	0.00	Sale ²⁵
	Security total:	2,139.89		2,145.03	15.03 W	9.89	
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
3 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	48.000	92.15	02/19/20	91.20	...	0.95	1 of 3 - Sale ²⁵
	800.000	1,535.87	02/19/20	1,520.00	...	15.87	2 of 3 - Sale ²⁵
	1,100.000	2,111.82	02/19/20	2,090.00	...	21.82	3 of 3 - Sale ²⁵
02/19/20	1,948.000	3,739.84	Various	3,701.20	...	38.64	Total of 3 transactions

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Robinhood Securities LLC

Account 148778293

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	19.30	12/04/20	18.00	...	1.30	1 of 2 - Sale ²⁵
	99.000	1,910.64	12/04/20	1,782.00	...	128.64	2 of 2 - Sale ²⁵
12/07/20	100.000	1,929.94	Various	1,800.00	...	129.94	Total of 2 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
8 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	4.000	9.40	03/02/20	10.00	...	-0.60	1 of 8 - Sale ²⁵
	6.000	14.10	03/06/20	13.20	...	0.90	2 of 8 - Sale ²⁵
	16.000	37.60	03/06/20	35.20	...	2.40	3 of 8 - Sale ²⁵
	8.000	18.80	03/06/20	16.40	...	2.40	4 of 8 - Sale ²⁵
	18.000	42.30	03/06/20	39.60	...	2.70	5 of 8 - Sale ²⁵
	12.000	28.20	03/06/20	24.60	...	3.60	6 of 8 - Sale ²⁵
	48.000	112.79	03/06/20	105.62	...	7.17	7 of 8 - Sale ²⁵
	172.000	404.16	03/06/20	352.62	...	51.54	8 of 8 - Sale ²⁵
03/09/20	284.000	667.35	Various	597.24	...	70.11	Total of 8 transactions
5 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	200.000	469.98	03/02/20	500.00	25.82 W	-4.20	1 of 5 - Sale ²⁵
	88.000	206.79	03/02/20	220.00	13.21 W	0.00	2 of 5 - Sale ²⁵
	12.000	28.20	03/02/20	30.00	1.80 W	0.00	3 of 5 - Sale ²⁵
	88.000	206.79	03/02/20	233.21	26.42 W	0.00	4 of 5 - Sale ²⁵
	8.000	18.80	03/02/20	20.00	1.20 W	0.00	5 of 5 - Sale ²⁵
03/09/20	396.000	930.56	Various	1,003.21	68.45 W	-4.20	Total of 5 transactions
	Security total:	1,597.91		1,600.45	68.45 W	65.91	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/11/20	50.000	412.50	03/09/20	550.00	137.50 W	0.00	Sale ²⁵
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	50.000	549.98	03/09/20	550.00	...	-0.02	1 of 2 - Sale ²⁵
	50.000	549.98	03/10/20	487.50	...	62.48	2 of 2 - Sale ²⁵
03/12/20	100.000	1,099.96	Various	1,037.50	...	62.46	Total of 2 transactions
	Security total:	1,512.46		1,587.50	137.50 W	62.46	

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Account 148778293

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
12/23/20	10,000.000	9,098.60	12/23/20	9,050.00	...	48.60	Sale ²⁵
	<i>4 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>						
	107.000	82.38	12/28/20	81.85	...	0.53	1 of 4 - Sale ²⁵
	1,050.000	808.36	12/28/20	803.25	...	5.11	2 of 4 - Sale ²⁵
	3,300.000	2,540.55	12/28/20	2,524.50	...	16.05	3 of 4 - Sale ²⁵
	5,543.000	4,267.35	12/28/20	4,240.40	...	26.95	4 of 4 - Sale ²⁵
12/31/20	10,000.000	7,698.64	Various	7,650.00	...	48.64	Total of 4 transactions
	Security total:	16,797.24		16,700.00	...	97.24	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
06/26/20	100.000	189.99	04/03/20	600.00	...	-410.01	Sale ²⁵
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
03/25/20	2,000.000	2,699.70	03/25/20	1,500.00	...	1,199.70	Sale ²⁵
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
10/23/20	100.000	709.97	07/15/20	700.00	...	9.97	Sale ²⁵
12/28/20	50.000	599.98	12/21/20	525.00	...	74.98	Sale ²⁵
	Security total:	1,309.95		1,225.00	...	84.95	
MCAFEE CORP. CLASS A COMMON ST OCK / CUSIP: 579063108 / Symbol:							
10/30/20	50.000	824.98	10/22/20	925.00	100.02 W	0.00	Sale ²⁵
MERSANA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 59045L106 / Symbol:							
05/27/20	100.000	1,499.95	05/27/20	1,400.00	...	99.95	Sale ²⁵
MESOBLAST LIMITED AMERICAN DEP OSITARY SHARES / CUSIP: 590717104 / Symbol:							
04/24/20	100.000	1,549.95	04/24/20	1,500.00	...	49.95	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
	<i>2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>						
	25.000	3,924.91	12/03/20	3,897.50	...	27.41	1 of 2 - Sale ²⁵
	25.000	3,924.91	12/03/20	3,893.75	...	31.16	2 of 2 - Sale ²⁵
12/03/20	50.000	7,849.82	Various	7,791.25	...	58.57	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NATURAL GROCERS BY VITAMIN COT TAGE, INC / CUSIP: 63888U108 / Symbol:							
4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	4.000	58.24	11/30/20	58.20	...	0.04	1 of 4 - Sale ²⁵
	21.000	305.76	11/30/20	305.55	...	0.21	2 of 4 - Sale ²⁵
	100.000	1,455.95	11/30/20	1,455.00	...	0.95	3 of 4 - Sale ²⁵
	225.000	3,275.90	11/30/20	3,273.75	...	2.15	4 of 4 - Sale ²⁵
12/01/20	350.000	5,095.85	Various	5,092.50	...	3.35	Total of 4 transactions
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
07/21/20	500.000	399.94	06/16/20	340.00	...	59.94	Sale ²⁵
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	158.000	86.88	02/11/20	85.32	...	1.56	1 of 2 - Sale ²⁵
	4,842.000	2,662.46	02/11/20	2,614.68	...	47.78	2 of 2 - Sale ²⁵
02/11/20	5,000.000	2,749.34	Various	2,700.00	...	49.34	Total of 2 transactions
5 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	87.000	42.62	02/12/20	40.89	...	1.73	1 of 5 - Sale ²⁵
	300.000	146.96	02/12/20	141.00	...	5.96	2 of 5 - Sale ²⁵
	340.000	166.56	02/12/20	159.80	...	6.76	3 of 5 - Sale ²⁵
	738.000	361.52	02/12/20	346.86	...	14.66	4 of 5 - Sale ²⁵
	3,535.000	1,731.69	02/12/20	1,661.45	...	70.24	5 of 5 - Sale ²⁵
02/12/20	5,000.000	2,449.35	Various	2,350.00	...	99.35	Total of 5 transactions
05/21/20	1,000.000	439.88	03/24/20	440.00	...	-0.12	Sale ²⁵
12/22/20	15,000.000	7,348.04	12/22/20	7,050.00	...	298.04	Sale ²⁵
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	3,000.000	1,829.60	12/23/20	1,800.00	...	29.60	1 of 2 - Sale ²⁵
	12,000.000	7,318.40	12/23/20	7,200.00	...	118.40	2 of 2 - Sale ²⁵
12/23/20	15,000.000	9,148.00	Various	9,000.00	...	148.00	Total of 2 transactions
	Security total:	22,134.61		21,540.00	...	594.61	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
10/27/20	500.000	5,499.81	09/30/20	5,475.00	...	24.81	Sale ²⁵
11/03/20	100.000	1,069.96	10/30/20	1,000.00	...	69.96	Sale ²⁵
	Security total:	6,569.77		6,475.00	...	94.77	

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(continued)

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PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
11/25/20	20.000	659.98	04/20/20	660.00	...	-0.02	Sale ²⁵
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
<i>5 transactions for 02/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	141.00	12/12/19	140.00	...	1.00	1 of 5 - Sale ²⁵
	9.000	1,268.97	12/12/19	1,260.00	...	8.97	2 of 5 - Sale ²⁵
	10.000	1,409.97	01/09/20	1,330.00	...	79.97	3 of 5 - Sale ²⁵
	10.000	1,409.97	01/10/20	1,285.00	...	124.97	4 of 5 - Sale ²⁵
	10.000	1,409.97	01/22/20	1,340.00	...	69.97	5 of 5 - Sale ²⁵
02/11/20	40.000	5,639.88	Various	5,355.00	...	284.88	Total of 5 transactions
<i>2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	3,524.92	12/05/19	3,725.00	200.08 W	0.00	1 of 2 - Sale ²⁵
	10.000	1,409.97	12/11/19	1,450.00	40.03 W	0.00	2 of 2 - Sale ²⁵
02/11/20	35.000	4,934.89	Various	5,175.00	240.11 W	0.00	Total of 2 transactions
<i>2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	739.98	02/14/20	1,550.03	810.05 W	0.00	1 of 2 - Sale ²⁵
	15.000	1,109.97	02/14/20	2,325.05	1,215.08 W	0.00	2 of 2 - Sale ²⁵
03/12/20	25.000	1,849.95	Various	3,875.08	2,025.13 W	0.00	Total of 2 transactions
<i>5 transactions for 03/17/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	314.99	02/14/20	1,105.03	790.04 W	0.00	1 of 5 - Sale ²⁵
	10.000	629.99	02/14/20	1,440.03	810.04 W	0.00	2 of 5 - Sale ²⁵
	5.000	314.99	02/14/20	1,055.02	740.03 W	0.00	3 of 5 - Sale ²⁵
	15.000	944.98	02/14/20	3,165.08	2,220.10 W	0.00	4 of 5 - Sale ²⁵
	15.000	944.98	02/14/20	1,950.00	1,005.02 W	0.00	5 of 5 - Sale ²⁵
03/17/20	50.000	3,149.93	Various	8,715.16	5,565.23 W	0.00	Total of 5 transactions
<i>7 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	699.98	02/14/20	650.00	...	49.98	1 of 7 - Sale ²⁵
	5.000	699.98	02/19/20	1,423.74	...	-723.76	2 of 7 - Sale ²⁵
	10.000	1,399.97	02/19/20	2,077.44	...	-677.47	3 of 7 - Sale ²⁵
	5.000	699.98	02/19/20	1,373.73	...	-673.75	4 of 7 - Sale ²⁵
	15.000	2,099.95	02/20/20	4,050.10	...	-1,950.15	5 of 7 - Sale ²⁵
	15.000	2,099.95	02/20/20	2,835.02	...	-735.07	6 of 7 - Sale ²⁵

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	10.000	1,399.97	02/20/20	1,220.00	...	179.97	7 of 7 - Sale ²⁵
07/09/20	65.000	9,099.78	Various	13,630.03	...	-4,530.25	Total of 7 transactions
	Security total:	24,674.43		36,750.27	7,830.47 W	-4,245.37	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	3,500.000	1,767.04	12/21/20	1,750.00	...	17.04	1 of 3 - Sale ²⁵
	4,850.000	2,448.61	12/21/20	2,425.00	...	23.61	2 of 3 - Sale ²⁵
	6,650.000	3,357.38	12/21/20	3,325.00	...	32.38	3 of 3 - Sale ²⁵
12/21/20	15,000.000	7,573.03	Various	7,500.00	...	73.03	Total of 3 transactions
9 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	100.000	47.99	12/22/20	47.70	...	0.29	1 of 9 - Sale ²⁵
	100.000	48.19	12/22/20	47.75	...	0.44	2 of 9 - Sale ²⁵
	632.000	303.28	12/22/20	301.46	...	1.82	3 of 9 - Sale ²⁵
	899.000	433.21	12/22/20	429.27	...	3.94	4 of 9 - Sale ²⁵
	2,900.000	1,397.42	12/22/20	1,384.75	...	12.67	5 of 9 - Sale ²⁵
	6,018.000	2,887.85	12/22/20	2,870.59	...	17.26	6 of 9 - Sale ²⁵
	5,250.000	2,529.82	12/22/20	2,506.88	...	22.94	7 of 9 - Sale ²⁵
	8,250.000	3,958.93	12/22/20	3,935.25	...	23.68	8 of 9 - Sale ²⁵
	6,750.000	3,252.62	12/22/20	3,223.12	...	29.50	9 of 9 - Sale ²⁵
12/22/20	30,899.000	14,859.31	Various	14,746.77	...	112.54	Total of 9 transactions
	Security total:	22,432.34		22,246.77	...	185.57	
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol:							
09/29/20	1.000	12.63	03/18/20	3.78	...	8.85	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	1.000	919.98	02/19/20	910.00	...	9.98	1 of 2 - Sale ²⁵
	7.000	6,439.85	02/19/20	6,370.00	...	69.85	2 of 2 - Sale ²⁵
02/19/20	8.000	7,359.83	Various	7,280.00	...	79.83	Total of 2 transactions
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
12/01/20	50.000	450.00	11/27/20	434.00	...	16.00	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 148778293

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol:							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	25.000	1,024.97	03/12/20	975.00	...	49.97	1 of 2 - Sale ²⁵
	75.000	3,074.92	03/12/20	2,925.00	...	149.92	2 of 2 - Sale ²⁵
03/12/20	100.000	4,099.89	Various	3,900.00	...	199.89	Total of 2 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
04/16/20	100.000	1,929.94	04/16/20	1,830.00	...	99.94	Sale ²⁵
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
12/23/20	200.000	6,499.83	12/23/20	6,400.00	...	99.83	Sale ²⁵
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	43.000	1,375.96	12/24/20	1,333.00	...	42.96	1 of 2 - Sale ²⁵
	157.000	5,023.86	12/24/20	4,867.00	...	156.86	2 of 2 - Sale ²⁵
12/28/20	200.000	6,399.82	Various	6,200.00	...	199.82	Total of 2 transactions
	Security total:	12,899.65		12,600.00	...	299.65	
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
09/30/20	25.000	500.00	09/22/20	487.50	...	12.50	Sale ²⁵
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
12/09/20	1.000	404.50	11/30/20	475.00	...	-70.50	Sale ²⁵
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
7 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	3.000	1.92	04/14/20	1.89	...	0.03	1 of 7 - Sale ²⁵
	34.000	21.76	04/14/20	21.42	...	0.34	2 of 7 - Sale ²⁵
	41.000	26.24	04/14/20	25.83	...	0.41	3 of 7 - Sale ²⁵
	72.000	46.07	04/14/20	45.36	...	0.71	4 of 7 - Sale ²⁵
	150.000	95.98	04/14/20	94.50	...	1.48	5 of 7 - Sale ²⁵
	300.000	191.96	04/14/20	189.00	...	2.96	6 of 7 - Sale ²⁵
	400.000	255.95	04/14/20	252.00	...	3.95	7 of 7 - Sale ²⁵
04/30/20	1,000.000	639.88	Various	630.00	...	9.88	Total of 7 transactions
Totals :		273,939.88		302,319.38	23,036.33 W	-5,343.17	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 148778293

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
<i>2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.92	03/07/18	4.59	2.67 W	0.00	1 of 2 - Sale ²⁵
	1.000	1.92	03/09/18	4.58	2.66 W	0.00	2 of 2 - Sale ²⁵
02/19/20	2.000	3.84	Various	9.17	5.33 W	0.00	Total of 2 transactions
Totals :		3.84		9.17	5.33 W	0.00	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 148778293

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	0.500	8.18	04/21/20	11.60	...	-3.42	Gain or loss
MAXEON SOLAR TECHNOLOGIES, LTD . ORDINARY SHARES / CUSIP: Y58473102 / Symbol:							
09/08/20	0.125	2.38	03/18/20	0.81	...	1.57	Gain or loss
Totals:		10.56		12.41	...	-1.85	

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GROUPOUN, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
	2 transactions for 06/18/20						
	0.050	1.06	02/19/20	4.57	...	-3.51	Gain or loss

Robinhood Securities LLC

Account 148778293

Proceeds Not Reported to the IRS

2020

(continued)

02/14/2021

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol: (cont'd)							
	0.050	1.05	02/19/20	4.56	...	-3.51	Gain or loss
06/18/20	0.100	2.11	Various	9.13	...	-7.02	Total of 2 transactions
	Totals:	2.11		9.13	...	-7.02	

Robinhood Securities LLC

Account 148778293

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES	25459W458		03/31/20	4.98	Nonqualified dividend	03
			03/31/20	0.94	Qualified dividend	03
			06/30/20	0.72	Nonqualified dividend	03
			06/30/20	0.13	Qualified dividend	03
				6.77	Total Dividends & distributions	
GILEAD SCIENCES, INC. COMMON S TOCK	375558103		12/30/20	6.80	Qualified dividend	
GRANITE POINT MORTGAGE TRUST I NC. COMMON STOCK	38741L107		10/19/20	200.00	Section 199A dividend	03
			01/22/21	450.00	Section 199A dividend	03
				650.00	Total Dividends & distributions	
				663.57	Total Dividends & distributions	

Robinhood Securities LLC

Account 148778293

Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES	25459W458	06/30/20	0.09	Substitute payment	
			0.09	Total Miscellaneous income	

Robinhood Securities LLC

Account 148778293

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/14/20	-5.00	Margin interest paid	
		02/14/20	-29.37	Margin interest paid	
		03/16/20	-24.83	Margin interest paid	
		04/14/20	-6.89	Margin interest paid	
		05/14/20	-17.60	Margin interest paid	
		05/28/20	0.20	Adj- Margin interest paid	
		06/15/20	-5.00	Margin interest paid	
		06/15/20	-16.76	Margin interest paid	
		07/13/20	-5.00	Margin interest paid	
		07/13/20	-20.69	Margin interest paid	
		07/14/20	0.01	Adj- Margin interest paid	
		08/12/20	-5.00	Margin interest paid	
		09/11/20	-5.00	Margin interest paid	
		10/12/20	-1.38	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
		11/10/20	-1.99	Margin interest paid	
		11/10/20	-5.00	Margin interest paid	
		12/10/20	-0.78	Margin interest paid	
		12/10/20	-5.00	Margin interest paid	
			-160.08	Total Margin interest paid	
			-160.08	Total Margin interest paid	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Instructions for Recipient

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction

directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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