

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 780335873</b>	Statement Date: 02/14/2021 Document ID: 5UJ5 021 4EX2	2020
	Venkata ravi kumar Duddula 13050 Dahlia Cir Apt 219 Eden Prairie, MN 55344  RECIPIENT'S TIN: XXX-XX-1715		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	82.08		2- Royalties	0.00	
1b- Qualified dividends	81.33		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail	0.11				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	281,640.72	283,850.91	0.00	13,546.78	11,336.59
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.23	1.41	0.00	0.00	-0.18
	<b>Total Short-term</b>	<b>281,641.95</b>	<b>283,852.32</b>	<b>0.00</b>	<b>13,546.78</b>	<b>11,336.41</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>281,641.95</b>	<b>283,852.32</b>	<b>0.00</b>	<b>13,546.78</b>	<b>11,336.41</b>

  

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
19 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	5.000	28.55	08/17/20	28.25	...	0.30	1 of 19 - Sale <sup>25</sup>
	5.000	28.55	08/17/20	28.15	...	0.40	2 of 19 - Sale <sup>25</sup>
	5.000	28.55	08/17/20	28.15	...	0.40	3 of 19 - Sale <sup>25</sup>
	5.000	28.55	08/17/20	28.09	...	0.46	4 of 19 - Sale <sup>25</sup>
	10.000	56.82	08/17/20	56.35	...	0.47	5 of 19 - Sale <sup>25</sup>
	10.000	57.10	08/17/20	56.50	...	0.60	6 of 19 - Sale <sup>25</sup>
	25.000	142.74	08/17/20	142.13	...	0.61	7 of 19 - Sale <sup>25</sup>
	10.000	56.82	08/17/20	56.20	...	0.62	8 of 19 - Sale <sup>25</sup>
	10.000	56.82	08/17/20	56.20	...	0.62	9 of 19 - Sale <sup>25</sup>
	9.000	51.39	08/17/20	50.67	...	0.72	10 of 19 - Sale <sup>25</sup>
	10.000	56.82	08/17/20	56.10	...	0.72	11 of 19 - Sale <sup>25</sup>
	11.000	62.81	08/17/20	62.04	...	0.77	12 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	4.000	22.73	08/17/20	21.92	...	0.81	13 of 19 - Sale <sup>25</sup>
	5.000	28.41	08/17/20	27.40	...	1.01	14 of 19 - Sale <sup>25</sup>
	11.000	62.50	08/17/20	61.38	...	1.12	15 of 19 - Sale <sup>25</sup>
	10.000	56.82	08/17/20	55.48	...	1.34	16 of 19 - Sale <sup>25</sup>
	25.000	142.73	08/17/20	141.25	...	1.48	17 of 19 - Sale <sup>25</sup>
	11.000	62.49	08/17/20	60.61	...	1.88	18 of 19 - Sale <sup>25</sup>
	19.000	107.95	08/17/20	105.83	...	2.12	19 of 19 - Sale <sup>25</sup>
08/20/20	200.000	1,139.15	Various	1,122.70	...	16.45	Total of 19 transactions
	12 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	5.42	08/18/20	5.38	...	0.04	1 of 12 - Sale <sup>25</sup>
	4.000	21.68	08/18/20	21.61	...	0.07	2 of 12 - Sale <sup>25</sup>
	6.000	32.52	08/18/20	32.43	...	0.09	3 of 12 - Sale <sup>25</sup>
	5.000	27.10	08/18/20	26.92	...	0.18	4 of 12 - Sale <sup>25</sup>
	5.000	27.10	08/18/20	26.92	...	0.18	5 of 12 - Sale <sup>25</sup>
	5.000	27.10	08/18/20	26.88	...	0.22	6 of 12 - Sale <sup>25</sup>
	5.000	27.09	08/18/20	26.86	...	0.23	7 of 12 - Sale <sup>25</sup>
	5.000	27.10	08/18/20	26.83	...	0.27	8 of 12 - Sale <sup>25</sup>
	10.000	54.20	08/18/20	53.90	...	0.30	9 of 12 - Sale <sup>25</sup>
	9.000	48.78	08/18/20	48.47	...	0.31	10 of 12 - Sale <sup>25</sup>
	20.000	108.39	08/18/20	107.91	...	0.48	11 of 12 - Sale <sup>25</sup>
	9.000	48.77	08/18/20	48.28	...	0.49	12 of 12 - Sale <sup>25</sup>
08/24/20	84.000	455.25	Various	452.39	...	2.86	Total of 12 transactions
	29 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	20.000	107.99	08/17/20	110.20	2.21 W	0.00	1 of 29 - Sale <sup>25</sup>
	10.000	54.00	08/17/20	55.28	1.28 W	0.00	2 of 29 - Sale <sup>25</sup>
	10.000	54.00	08/17/20	55.40	1.40 W	0.00	3 of 29 - Sale <sup>25</sup>
	10.000	54.00	08/17/20	55.30	1.30 W	0.00	4 of 29 - Sale <sup>25</sup>
	20.000	107.99	08/17/20	110.00	2.01 W	0.00	5 of 29 - Sale <sup>25</sup>
	5.000	27.00	08/17/20	27.44	0.44 W	0.00	6 of 29 - Sale <sup>25</sup>
	9.000	48.60	08/17/20	49.41	0.81 W	0.00	7 of 29 - Sale <sup>25</sup>
	1.000	5.40	08/17/20	5.49	0.09 W	0.00	8 of 29 - Sale <sup>25</sup>
	5.000	27.00	08/17/20	27.45	0.45 W	0.00	9 of 29 - Sale <sup>25</sup>
	5.000	27.00	08/17/20	27.40	0.40 W	0.00	10 of 29 - Sale <sup>25</sup>
	5.000	27.00	08/17/20	27.39	0.39 W	0.00	11 of 29 - Sale <sup>25</sup>

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	5.000	27.00	08/17/20	27.35	0.35 W	0.00	12 of 29 - Sale <sup>25</sup>
	10.000	54.00	08/17/20	54.70	0.70 W	0.00	13 of 29 - Sale <sup>25</sup>
	4.000	21.60	08/17/20	21.88	0.28 W	0.00	14 of 29 - Sale <sup>25</sup>
	1.000	5.40	08/17/20	5.47	0.07 W	0.00	15 of 29 - Sale <sup>25</sup>
	10.000	54.00	08/18/20	55.40	1.40 W	0.00	16 of 29 - Sale <sup>25</sup>
	1.000	5.40	08/18/20	5.54	0.14 W	0.00	17 of 29 - Sale <sup>25</sup>
	55.000	296.97	08/18/20	304.70	7.73 W	0.00	18 of 29 - Sale <sup>25</sup>
	14.000	75.60	08/18/20	79.11	3.51 W	0.00	19 of 29 - Sale <sup>25</sup>
	10.000	54.20	08/18/20	54.23	0.03 W	0.00	20 of 29 - Sale <sup>25</sup>
	10.000	54.20	08/18/20	54.35	0.15 W	0.00	21 of 29 - Sale <sup>25</sup>
	10.000	54.20	08/18/20	54.25	0.05 W	0.00	22 of 29 - Sale <sup>25</sup>
	14.000	75.88	08/18/20	78.93	3.05 W	0.00	23 of 29 - Sale <sup>25</sup>
	1.000	5.42	08/18/20	5.46	0.04 W	0.00	24 of 29 - Sale <sup>25</sup>
	1.000	5.42	08/18/20	5.46	0.04 W	0.00	25 of 29 - Sale <sup>25</sup>
	4.000	21.68	08/18/20	21.83	0.15 W	0.00	26 of 29 - Sale <sup>25</sup>
	1.000	5.42	08/18/20	5.53	0.11 W	0.00	27 of 29 - Sale <sup>25</sup>
	10.000	54.20	08/18/20	55.27	1.07 W	0.00	28 of 29 - Sale <sup>25</sup>
	55.000	298.07	08/18/20	304.03	5.96 W	0.00	29 of 29 - Sale <sup>25</sup>
08/24/20	316.000	1,708.64	Various	1,744.25	35.61 W	0.00	Total of 29 transactions
18 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	2.000	10.84	08/18/20	10.84	...	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	5.42	08/18/20	5.38	...	0.04	2 of 18 - Sale <sup>25</sup>
	1.000	5.42	08/18/20	5.37	...	0.05	3 of 18 - Sale <sup>25</sup>
	1.000	5.42	08/18/20	5.34	...	0.08	4 of 18 - Sale <sup>25</sup>
	4.000	21.68	08/18/20	21.49	...	0.19	5 of 18 - Sale <sup>25</sup>
	6.000	32.52	08/18/20	32.10	...	0.42	6 of 18 - Sale <sup>25</sup>
	10.000	54.20	08/18/20	53.40	...	0.80	7 of 18 - Sale <sup>25</sup>
	10.000	54.20	08/18/20	53.32	...	0.88	8 of 18 - Sale <sup>25</sup>
	14.000	76.30	08/18/20	74.80	...	1.50	9 of 18 - Sale <sup>25</sup>
	20.000	108.39	08/18/20	106.20	...	2.19	10 of 18 - Sale <sup>25</sup>
	53.000	287.24	08/18/20	282.69	...	4.55	11 of 18 - Sale <sup>25</sup>
	36.000	196.19	08/18/20	191.52	...	4.67	12 of 18 - Sale <sup>25</sup>
	50.000	272.48	08/18/20	265.00	...	7.48	13 of 18 - Sale <sup>25</sup>
	50.000	273.98	08/18/20	265.00	...	8.98	14 of 18 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	50.000	273.99	08/18/20	262.50	...	11.49	15 of 18 - Sale <sup>25</sup>
	50.000	274.99	08/19/20	264.90	...	10.09	16 of 18 - Sale <sup>25</sup>
	50.000	274.98	08/19/20	262.50	...	12.48	17 of 18 - Sale <sup>25</sup>
	100.000	549.97	08/19/20	522.79	...	27.18	18 of 18 - Sale <sup>25</sup>
08/25/20	508.000	2,778.21	Various	2,685.14	...	93.07	Total of 18 transactions
	5 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	14.000	75.88	08/18/20	77.74	1.86 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	5.42	08/18/20	5.45	0.03 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	54.20	08/18/20	54.42	0.22 W	0.00	3 of 5 - Sale <sup>25</sup>
	53.000	287.23	08/18/20	288.49	1.26 W	0.00	4 of 5 - Sale <sup>25</sup>
	14.000	75.88	08/18/20	76.20	0.32 W	0.00	5 of 5 - Sale <sup>25</sup>
08/25/20	92.000	498.61	Various	502.30	3.69 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>6,579.86</b>		<b>6,506.78</b>	<b>39.30 W</b>	<b>112.38</b>	
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
	3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	108.00	08/27/20	112.14	...	-4.14	1 of 3 - Sale <sup>25</sup>
	1.000	108.00	08/27/20	112.14	...	-4.14	2 of 3 - Sale <sup>25</sup>
	1.000	108.02	08/27/20	112.14	...	-4.12	3 of 3 - Sale <sup>25</sup>
10/07/20	3.000	324.02	Various	336.42	...	-12.40	Total of 3 transactions
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	108.62	08/27/20	112.14	...	-3.52	1 of 2 - Sale <sup>25</sup>
	1.000	108.84	08/27/20	112.14	...	-3.30	2 of 2 - Sale <sup>25</sup>
10/08/20	2.000	217.46	Various	224.28	...	-6.82	Total of 2 transactions
	<b>Security total:</b>	<b>541.48</b>		<b>560.70</b>	...	<b>-19.22</b>	
ACHIEVE LIFE SCIENCES, INC. CO MMON SHARES / CUSIP: 004468500 / Symbol:							
11/17/20	20.000	178.80	11/16/20	210.00	...	-31.20	Sale <sup>25</sup>
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
06/12/20	2.000	815.83	06/11/20	812.46	...	3.37	Sale <sup>25</sup>

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	25.000	61.50	08/27/20	61.25	...	0.25	1 of 3 - Sale <sup>25</sup>
	25.000	62.75	08/27/20	61.25	...	1.50	2 of 3 - Sale <sup>25</sup>
	25.000	61.25	08/27/20	56.88	...	4.37	3 of 3 - Sale <sup>25</sup>
08/27/20	75.000	185.50	Various	179.38	...	6.12	Total of 3 transactions
08/27/20	50.000	120.00	08/27/20	122.50	1.25 W	-1.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>305.50</b>		<b>301.88</b>	<b>1.25 W</b>	<b>4.87</b>	
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
03/19/20	1.000	1,119.97	03/18/20	1,048.53	...	71.44	Sale <sup>25</sup>
04/23/20	1.000	1,279.97	04/21/20	1,248.92	...	31.05	Sale <sup>25</sup>
04/29/20	1.000	1,354.97	04/28/20	1,234.77	...	120.20	Sale <sup>25</sup>
05/05/20	1.000	1,359.96	05/04/20	1,306.74	...	53.22	Sale <sup>25</sup>
05/15/20	1.000	1,360.84	05/13/20	1,340.31	...	20.53	Sale <sup>25</sup>
06/05/20	1.000	1,436.92	06/03/20	1,435.29	...	1.63	Sale <sup>25</sup>
06/23/20	1.000	1,472.11	06/23/20	1,465.57	...	6.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,384.74</b>		<b>9,080.13</b>	...	<b>304.61</b>	
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol:							
07/09/20	1.000	22.65	07/09/20	22.52	...	0.13	Sale <sup>25</sup>
07/13/20	10.000	267.26	07/13/20	265.55	...	1.71	Sale <sup>25</sup>
	<b>Security total:</b>	<b>289.91</b>		<b>288.07</b>	...	<b>1.84</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
04/29/20	1.000	2,374.94	04/27/20	2,368.10	...	6.84	Sale <sup>25</sup>
05/15/20	1.000	2,404.58	05/12/20	2,367.87	...	36.71	Sale <sup>25</sup>
06/03/20	1.000	2,485.00	05/26/20	2,452.84	...	32.16	Sale <sup>25</sup>
07/17/20	1.000	2,967.29	07/17/20	2,959.08	...	8.21	Sale <sup>25</sup>
07/20/20	1.000	3,024.93	07/17/20	2,961.60	...	63.33	Sale <sup>25</sup>
08/06/20	1.000	3,234.92	07/31/20	3,223.50	...	11.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>16,491.66</b>		<b>16,332.99</b>	...	<b>158.67</b>	

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Account 780335873

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN WELL CORPORATION / CUSIP: 03044L105 / Symbol:							
5 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	32.00	09/17/20	24.00	...	8.00	1 of 5 - Sale <sup>25</sup>
	1.000	32.00	09/17/20	23.00	...	9.00	2 of 5 - Sale <sup>25</sup>
	1.000	33.00	09/17/20	23.00	...	10.00	3 of 5 - Sale <sup>25</sup>
	2.000	66.00	09/17/20	46.02	...	19.98	4 of 5 - Sale <sup>25</sup>
	2.000	66.00	09/29/20	56.00	...	10.00	5 of 5 - Sale <sup>25</sup>
10/05/20	7.000	229.00	Various	172.02	...	56.98	Total of 5 transactions
4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	2.000	80.94	09/29/20	56.10	...	24.84	1 of 4 - Sale <sup>25</sup>
	2.000	81.41	09/29/20	56.18	...	25.23	2 of 4 - Sale <sup>25</sup>
	2.000	82.00	09/29/20	56.10	...	25.90	3 of 4 - Sale <sup>25</sup>
	3.000	122.12	09/29/20	84.00	...	38.12	4 of 4 - Sale <sup>25</sup>
10/07/20	9.000	366.47	Various	252.38	...	114.09	Total of 4 transactions
10/14/20	1.000	33.23	09/29/20	28.05	...	5.18	Sale <sup>25</sup>
10/14/20	4.000	132.90	10/09/20	140.08	7.18 W	0.00	Sale <sup>25</sup>
13 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	4.000	125.00	10/09/20	143.18	...	-18.18	1 of 13 - Sale <sup>25</sup>
	5.000	157.00	10/09/20	170.00	...	-13.00	2 of 13 - Sale <sup>25</sup>
	5.000	159.40	10/09/20	165.25	...	-5.85	3 of 13 - Sale <sup>25</sup>
	5.000	160.00	10/09/20	165.00	...	-5.00	4 of 13 - Sale <sup>25</sup>
	2.000	63.00	10/09/20	67.50	...	-4.50	5 of 13 - Sale <sup>25</sup>
	2.000	63.00	10/09/20	67.20	...	-4.20	6 of 13 - Sale <sup>25</sup>
	1.000	31.50	10/09/20	34.00	...	-2.50	7 of 13 - Sale <sup>25</sup>
	1.000	32.15	10/21/20	31.87	...	0.28	8 of 13 - Sale <sup>25</sup>
	1.000	32.15	10/23/20	30.89	...	1.26	9 of 13 - Sale <sup>25</sup>
	1.000	32.15	11/05/20	29.57	...	2.58	10 of 13 - Sale <sup>25</sup>
	1.000	32.15	11/05/20	29.40	...	2.75	11 of 13 - Sale <sup>25</sup>
	1.000	32.15	11/05/20	29.00	...	3.15	12 of 13 - Sale <sup>25</sup>
	5.000	161.25	11/16/20	130.15	...	31.10	13 of 13 - Sale <sup>25</sup>
12/11/20	34.000	1,080.90	Various	1,093.01	...	-12.11	Total of 13 transactions
12/11/20	6.000	187.50	10/09/20	210.12	18.85 W	-3.77	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,030.00</b>		<b>1,895.66</b>	<b>26.03 W</b>	<b>160.37</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

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AMESITE INC. COMMON STOCK / CUSIP: 031094105 / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	10.000	51.80	09/25/20	52.00	...	-0.20	1 of 2 - Sale <sup>25</sup>
	10.000	51.80	09/25/20	51.50	...	0.30	2 of 2 - Sale <sup>25</sup>
09/25/20	20.000	103.60	Various	103.50	...	0.10	Total of 2 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	329.93	02/25/20	289.17	...	40.76	1 of 4 - Sale <sup>25</sup>
	1.000	330.40	02/25/20	288.43	...	41.97	2 of 4 - Sale <sup>25</sup>
	1.000	330.74	02/27/20	284.00	...	46.74	3 of 4 - Sale <sup>25</sup>
	1.000	331.21	02/27/20	277.21	...	54.00	4 of 4 - Sale <sup>25</sup>
06/05/20	4.000	1,322.28	Various	1,138.81	...	183.47	Total of 4 transactions
06/09/20	1.000	344.92	02/27/20	279.31	...	65.61	Sale <sup>25</sup>
06/22/20	1.000	358.00	03/06/20	282.00	...	76.00	Sale <sup>25</sup>
07/06/20	1.000	374.00	03/11/20	275.13	...	98.87	Sale <sup>25</sup>
10/01/20	3.000	351.48	03/11/20	205.31	...	146.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,750.68</b>		<b>2,180.56</b>	...	<b>570.12</b>	
AXOGEN, INC. COMMON STOCK / CUSIP: 05463X106 / Symbol:							
8 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	3.000	37.80	08/05/20	39.00	...	-1.20	1 of 8 - Sale <sup>25</sup>
	1.000	12.60	08/06/20	12.13	...	0.47	2 of 8 - Sale <sup>25</sup>
	1.000	12.60	08/06/20	12.04	...	0.56	3 of 8 - Sale <sup>25</sup>
	1.000	13.00	08/10/20	12.10	...	0.90	4 of 8 - Sale <sup>25</sup>
	1.000	13.00	08/10/20	11.69	...	1.31	5 of 8 - Sale <sup>25</sup>
	1.000	13.00	08/10/20	11.66	...	1.34	6 of 8 - Sale <sup>25</sup>
	1.000	13.00	08/11/20	11.26	...	1.74	7 of 8 - Sale <sup>25</sup>
	1.000	13.00	08/25/20	11.37	...	1.63	8 of 8 - Sale <sup>25</sup>
09/15/20	10.000	128.00	Various	121.25	...	6.75	Total of 8 transactions
09/15/20	2.000	24.80	08/05/20	26.00	0.60 W	-0.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>152.80</b>		<b>147.25</b>	<b>0.60 W</b>	<b>6.15</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

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AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
<i>5 transactions for 11/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	8.75	11/20/20	8.05	...	0.70	1 of 5 - Sale <sup>25</sup>
	5.000	45.90	11/20/20	38.35	...	7.55	2 of 5 - Sale <sup>25</sup>
	5.000	47.50	11/20/20	38.35	...	9.15	3 of 5 - Sale <sup>25</sup>
	5.000	47.50	11/20/20	38.35	...	9.15	4 of 5 - Sale <sup>25</sup>
	9.000	78.75	11/20/20	69.03	...	9.72	5 of 5 - Sale <sup>25</sup>
11/20/20	25.000	228.40	Various	192.13	...	36.27	Total of 5 transactions
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
08/17/20	2.000	59.79	08/14/20	57.96	...	1.83	Sale <sup>25</sup>
BAUDAX BIO, INC. COMMON STOCK / CUSIP: 07160F107 / Symbol:							
<i>3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.26	11/30/20	1.19	...	0.07	1 of 3 - Sale <sup>25</sup>
	49.000	61.74	11/30/20	61.25	...	0.49	2 of 3 - Sale <sup>25</sup>
	50.000	63.50	11/30/20	62.50	...	1.00	3 of 3 - Sale <sup>25</sup>
12/03/20	100.000	126.50	Various	124.94	...	1.56	Total of 3 transactions
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
05/26/20	1.000	179.54	05/05/20	177.23	...	2.31	Sale <sup>25</sup>
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
08/27/20	2.000	304.00	08/27/20	282.42	...	21.58	Sale <sup>25</sup>
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
<i>2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	227.29	12/01/20	205.00	...	22.29	1 of 2 - Sale <sup>25</sup>
	25.000	230.00	12/01/20	205.00	...	25.00	2 of 2 - Sale <sup>25</sup>
12/01/20	50.000	457.29	Various	410.00	...	47.29	Total of 2 transactions
BLACKLINE, INC. COMMON STOCK / CUSIP: 09239B109 / Symbol:							
08/27/20	1.000	86.00	08/07/20	80.40	...	5.60	Sale <sup>25</sup>
<i>3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	83.50	08/06/20	86.39	2.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	84.00	08/06/20	89.28	5.28 W	0.00	2 of 3 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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BLACKLINE, INC. COMMON STOCK / CUSIP: 09239B109 / Symbol: (cont'd)							
	1.000	85.00	08/07/20	86.36	1.36 W	0.00	3 of 3 - Sale <sup>25</sup>
08/27/20	3.000	252.50	Various	262.03	9.53 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>338.50</b>		<b>342.43</b>	<b>9.53 W</b>	<b>5.60</b>	
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
24 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	7.00	08/31/20	7.00	...	0.00	1 of 24 - Sale <sup>25</sup>
	3.000	21.00	08/31/20	20.90	...	0.10	2 of 24 - Sale <sup>25</sup>
	5.000	35.00	09/03/20	33.82	...	1.18	3 of 24 - Sale <sup>25</sup>
	1.000	7.00	09/08/20	6.74	...	0.26	4 of 24 - Sale <sup>25</sup>
	2.000	14.00	09/08/20	13.12	...	0.88	5 of 24 - Sale <sup>25</sup>
	2.000	14.00	09/08/20	13.12	...	0.88	6 of 24 - Sale <sup>25</sup>
	5.000	35.00	09/08/20	34.03	...	0.97	7 of 24 - Sale <sup>25</sup>
	5.000	35.00	09/08/20	33.49	...	1.51	8 of 24 - Sale <sup>25</sup>
	5.000	35.00	09/08/20	32.69	...	2.31	9 of 24 - Sale <sup>25</sup>
	10.000	69.99	09/09/20	64.86	...	5.13	10 of 24 - Sale <sup>25</sup>
	1.000	7.00	09/10/20	6.52	...	0.48	11 of 24 - Sale <sup>25</sup>
	5.000	35.00	09/10/20	33.00	...	2.00	12 of 24 - Sale <sup>25</sup>
	5.000	35.00	09/10/20	32.50	...	2.50	13 of 24 - Sale <sup>25</sup>
	9.000	62.99	09/10/20	58.68	...	4.31	14 of 24 - Sale <sup>25</sup>
	10.000	69.99	09/10/20	65.57	...	4.42	15 of 24 - Sale <sup>25</sup>
	10.000	70.00	09/10/20	65.56	...	4.44	16 of 24 - Sale <sup>25</sup>
	1.000	7.50	09/11/20	6.95	...	0.55	17 of 24 - Sale <sup>25</sup>
	2.000	15.00	09/11/20	13.88	...	1.12	18 of 24 - Sale <sup>25</sup>
	2.000	15.00	09/11/20	13.86	...	1.14	19 of 24 - Sale <sup>25</sup>
	1.000	8.00	09/11/20	6.54	...	1.46	20 of 24 - Sale <sup>25</sup>
	2.000	15.18	09/11/20	13.20	...	1.98	21 of 24 - Sale <sup>25</sup>
	2.000	16.00	09/11/20	12.90	...	3.10	22 of 24 - Sale <sup>25</sup>
	2.000	16.00	09/11/20	12.87	...	3.13	23 of 24 - Sale <sup>25</sup>
	3.000	22.77	09/11/20	19.59	...	3.18	24 of 24 - Sale <sup>25</sup>
09/17/20	94.000	668.42	Various	621.39	...	47.03	Total of 24 transactions
8 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	7.00	08/26/20	7.80	0.80 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	14.00	08/26/20	15.56	1.56 W	0.00	2 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	2.000	14.00	08/26/20	15.58	1.58 W	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	14.00	08/26/20	14.90	0.90 W	0.00	4 of 8 - Sale <sup>25</sup>
	3.000	21.00	08/26/20	22.14	1.14 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	35.00	08/26/20	37.20	2.20 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	35.00	08/27/20	35.70	0.70 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	7.00	08/31/20	7.17	0.17 W	0.00	8 of 8 - Sale <sup>25</sup>
09/17/20	21.000	147.00	Various	156.05	9.05 W	0.00	Total of 8 transactions
	5 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	5.000	47.50	09/29/20	50.88	3.38 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	9.50	09/29/20	10.20	0.70 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	38.00	09/29/20	40.14	2.14 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	95.00	09/30/20	110.50	15.50 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	97.50	09/30/20	110.49	12.99 W	0.00	5 of 5 - Sale <sup>25</sup>
11/05/20	30.000	287.50	Various	322.21	34.71 W	0.00	Total of 5 transactions
	12 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	5.000	50.20	10/19/20	48.53	...	1.67	1 of 12 - Sale <sup>25</sup>
	2.000	20.20	10/21/20	18.31	...	1.89	2 of 12 - Sale <sup>25</sup>
	3.000	30.30	10/21/20	27.43	...	2.87	3 of 12 - Sale <sup>25</sup>
	5.000	50.50	10/21/20	44.91	...	5.59	4 of 12 - Sale <sup>25</sup>
	1.000	10.10	10/22/20	8.62	...	1.48	5 of 12 - Sale <sup>25</sup>
	5.000	51.00	10/22/20	46.29	...	4.71	6 of 12 - Sale <sup>25</sup>
	5.000	51.00	10/22/20	45.25	...	5.75	7 of 12 - Sale <sup>25</sup>
	4.000	40.40	10/22/20	33.81	...	6.59	8 of 12 - Sale <sup>25</sup>
	5.000	50.50	10/22/20	42.47	...	8.03	9 of 12 - Sale <sup>25</sup>
	5.000	51.05	10/22/20	41.84	...	9.21	10 of 12 - Sale <sup>25</sup>
	5.000	51.05	10/23/20	41.75	...	9.30	11 of 12 - Sale <sup>25</sup>
	10.000	102.10	10/28/20	75.50	...	26.60	12 of 12 - Sale <sup>25</sup>
11/11/20	55.000	558.40	Various	474.71	...	83.69	Total of 12 transactions
	14 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	5.000	50.00	09/30/20	52.58	2.58 W	0.00	1 of 14 - Sale <sup>25</sup>
	5.000	50.00	10/06/20	55.88	5.88 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	10.00	10/07/20	11.30	1.30 W	0.00	3 of 14 - Sale <sup>25</sup>
	4.000	40.00	10/07/20	44.54	4.54 W	0.00	4 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	5.000	50.00	10/07/20	60.75	10.75 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	50.20	10/07/20	59.90	9.70 W	0.00	6 of 14 - Sale <sup>25</sup>
	2.000	20.08	10/12/20	21.32	1.24 W	0.00	7 of 14 - Sale <sup>25</sup>
	3.000	30.12	10/19/20	31.95	1.83 W	0.00	8 of 14 - Sale <sup>25</sup>
	5.000	50.20	10/19/20	52.44	2.24 W	0.00	9 of 14 - Sale <sup>25</sup>
	5.000	50.25	10/20/20	50.88	0.63 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	10.05	10/20/20	10.30	0.25 W	0.00	11 of 14 - Sale <sup>25</sup>
	4.000	40.20	10/20/20	40.54	0.34 W	0.00	12 of 14 - Sale <sup>25</sup>
	5.000	50.25	10/21/20	54.70	4.45 W	0.00	13 of 14 - Sale <sup>25</sup>
	5.000	50.25	10/21/20	53.65	3.40 W	0.00	14 of 14 - Sale <sup>25</sup>
11/11/20	55.000	551.60	Various	600.73	49.13 W	0.00	Total of 14 transactions
	2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	5.000	46.50	10/28/20	37.00	...	9.50	1 of 2 - Sale <sup>25</sup>
	5.000	55.00	10/28/20	37.00	...	18.00	2 of 2 - Sale <sup>25</sup>
11/12/20	10.000	101.50	Various	74.00	...	27.50	Total of 2 transactions
11/24/20	1.000	27.50	11/17/20	14.33	...	13.17	Sale <sup>25</sup>
11/24/20	10.000	275.00	11/23/20	286.66	3.50 W	-8.16	Sale <sup>25</sup>
12/24/20	1.000	52.50	12/03/20	24.11	...	28.39	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,669.42</b>		<b>2,574.19</b>	<b>96.39 W</b>	<b>191.62</b>	
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
	4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	19.00	07/17/20	17.11	...	1.89	1 of 4 - Sale <sup>25</sup>
	1.000	19.00	07/17/20	16.69	...	2.31	2 of 4 - Sale <sup>25</sup>
	2.000	38.00	07/17/20	34.85	...	3.15	3 of 4 - Sale <sup>25</sup>
	1.000	18.75	07/20/20	16.60	...	2.15	4 of 4 - Sale <sup>25</sup>
07/22/20	5.000	94.75	Various	85.25	...	9.50	Total of 4 transactions
07/22/20	10.000	190.00	07/16/20	192.76	0.28 W	-2.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>284.75</b>		<b>278.01</b>	<b>0.28 W</b>	<b>7.02</b>	
BLUECITY HOLDINGS LIMITED AMER ICAN DEPOSITARY SHARES / CUSIP: 09610L106 / Symbol:							
	10 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	2.000	32.58	07/09/20	74.10	...	-41.52	1 of 10 - Sale <sup>25</sup>
	1.000	16.29	07/10/20	36.40	...	-20.11	2 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLUECITY HOLDINGS LIMITED AMER ICAN DEPOSITARY SHARES / CUSIP: 09610L106 / Symbol: (cont'd)							
	1.000	16.29	07/10/20	35.80	...	-19.51	3 of 10 - Sale <sup>25</sup>
	1.000	16.29	07/13/20	32.25	...	-15.96	4 of 10 - Sale <sup>25</sup>
	1.000	16.29	07/14/20	29.75	...	-13.46	5 of 10 - Sale <sup>25</sup>
	1.000	16.29	07/14/20	29.12	...	-12.83	6 of 10 - Sale <sup>25</sup>
	1.000	16.29	07/17/20	29.77	...	-13.48	7 of 10 - Sale <sup>25</sup>
	1.000	16.29	07/21/20	28.95	...	-12.66	8 of 10 - Sale <sup>25</sup>
	1.000	16.29	07/22/20	28.05	...	-11.76	9 of 10 - Sale <sup>25</sup>
	8.000	130.32	07/22/20	131.20	...	-0.88	10 of 10 - Sale <sup>25</sup>
07/22/20	18.000	293.22	Various	455.39	...	-162.17	Total of 10 transactions
07/22/20	10.000	163.50	07/09/20	280.00	116.50 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>456.72</b>		<b>735.39</b>	<b>116.50 W</b>	<b>-162.17</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
04/29/20	1.000	140.87	04/16/20	135.00	...	5.87	Sale <sup>25</sup>
04/29/20	1.000	140.87	04/14/20	150.17	9.30 W	0.00	Sale <sup>25</sup>
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	1.000	132.36	05/05/20	125.63	...	6.73	1 of 2 - Sale <sup>25</sup>
	1.000	132.37	05/06/20	122.18	...	10.19	2 of 2 - Sale <sup>25</sup>
05/12/20	2.000	264.73	Various	247.81	...	16.92	Total of 2 transactions
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	1.000	132.36	04/24/20	138.38	6.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	132.36	05/01/20	133.25	0.89 W	0.00	2 of 2 - Sale <sup>25</sup>
05/12/20	2.000	264.72	Various	271.63	6.91 W	0.00	Total of 2 transactions
	3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	128.50	05/12/20	131.41	...	-2.91	1 of 3 - Sale <sup>25</sup>
	1.000	128.50	05/12/20	126.28	...	2.22	2 of 3 - Sale <sup>25</sup>
	1.000	134.69	05/12/20	125.39	...	9.30	3 of 3 - Sale <sup>25</sup>
05/18/20	3.000	391.69	Various	383.08	...	8.61	Total of 3 transactions
05/21/20	1.000	140.96	05/12/20	125.39	...	15.57	Sale <sup>25</sup>
07/14/20	1.000	179.09	05/12/20	125.39	...	53.70	Sale <sup>25</sup>
07/14/20	1.000	179.09	06/19/20	187.27	8.18 W	0.00	Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	4.000	722.94	06/19/20	749.10	26.16 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	180.74	06/23/20	194.98	14.24 W	0.00	2 of 2 - Sale <sup>25</sup>
07/21/20	5.000	903.68	Various	944.08	40.40 W	0.00	Total of 2 transactions
07/22/20	1.000	179.64	06/23/20	193.33	13.69 W	0.00	Sale <sup>25</sup>
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	174.63	07/09/20	182.21	...	-7.58	1 of 2 - Sale <sup>25</sup>
	1.000	175.00	07/10/20	185.74	...	-10.74	2 of 2 - Sale <sup>25</sup>
08/06/20	2.000	349.63	Various	367.95	...	-18.32	Total of 2 transactions
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	174.63	06/24/20	185.88	11.25 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	174.64	06/24/20	183.17	8.53 W	0.00	2 of 2 - Sale <sup>25</sup>
08/06/20	2.000	349.27	Various	369.05	19.78 W	0.00	Total of 2 transactions
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	178.00	07/29/20	178.20	...	-0.20	1 of 3 - Sale <sup>25</sup>
	1.000	178.50	07/30/20	173.40	...	5.10	2 of 3 - Sale <sup>25</sup>
	1.000	179.50	08/10/20	187.73	...	-8.23	3 of 3 - Sale <sup>25</sup>
08/10/20	3.000	536.00	Various	539.33	...	-3.33	Total of 3 transactions
4 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	168.45	09/25/20	154.87	...	13.58	1 of 4 - Sale <sup>25</sup>
	1.000	169.38	09/25/20	154.87	...	14.51	2 of 4 - Sale <sup>25</sup>
	1.000	170.00	09/25/20	154.59	...	15.41	3 of 4 - Sale <sup>25</sup>
	1.000	170.50	09/25/20	154.59	...	15.91	4 of 4 - Sale <sup>25</sup>
09/30/20	4.000	678.33	Various	618.92	...	59.41	Total of 4 transactions
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	170.50	09/25/20	154.86	...	15.64	1 of 2 - Sale <sup>25</sup>
	1.000	170.50	10/01/20	170.34	...	0.16	2 of 2 - Sale <sup>25</sup>
10/05/20	2.000	341.00	Various	325.20	...	15.80	Total of 2 transactions
	<b>Security total:</b>	<b>5,039.57</b>		<b>4,983.60</b>	<b>98.26 W</b>	<b>154.23</b>	

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## Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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BOSTON SCIENTIFIC CORP. / CUSIP: 101137107 / Symbol:							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	37.73	05/20/20	35.63	...	2.10	1 of 3 - Sale <sup>25</sup>
	2.000	75.48	05/20/20	71.26	...	4.22	2 of 3 - Sale <sup>25</sup>
	2.000	75.58	05/20/20	71.26	...	4.32	3 of 3 - Sale <sup>25</sup>
06/03/20	5.000	188.79	Various	178.15	...	10.64	Total of 3 transactions
06/05/20	1.000	38.79	05/20/20	35.63	...	3.16	Sale <sup>25</sup>
06/08/20	2.000	78.32	05/20/20	71.26	...	7.06	Sale <sup>25</sup>
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	2.000	73.60	05/20/20	71.26	...	2.34	1 of 2 - Sale <sup>25</sup>
	1.000	36.81	07/09/20	33.88	...	2.93	2 of 2 - Sale <sup>25</sup>
07/17/20	3.000	110.41	Various	105.14	...	5.27	Total of 2 transactions
	<b>Security total:</b>	<b>416.31</b>		<b>390.18</b>	...	<b>26.13</b>	
CIGNA CORPORATION / CUSIP: 125523100 / Symbol:							
05/28/20	1.000	204.34	04/29/20	195.63	...	8.71	Sale <sup>25</sup>
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	198.63	04/29/20	195.63	...	3.00	1 of 3 - Sale <sup>25</sup>
	1.000	200.48	04/29/20	195.63	...	4.85	2 of 3 - Sale <sup>25</sup>
	1.000	201.00	04/29/20	195.63	...	5.37	3 of 3 - Sale <sup>25</sup>
06/02/20	3.000	600.11	Various	586.89	...	13.22	Total of 3 transactions
06/05/20	1.000	210.10	04/29/20	195.62	...	14.48	Sale <sup>25</sup>
06/08/20	1.000	211.89	05/01/20	186.45	...	25.44	Sale <sup>25</sup>
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	182.00	05/14/20	177.17	...	4.83	1 of 3 - Sale <sup>25</sup>
	1.000	182.25	07/09/20	172.77	...	9.48	2 of 3 - Sale <sup>25</sup>
	1.000	182.50	07/31/20	178.28	...	4.22	3 of 3 - Sale <sup>25</sup>
08/10/20	3.000	546.75	Various	528.22	...	18.53	Total of 3 transactions
08/10/20	1.000	182.00	05/06/20	187.61	5.61 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,955.19</b>		<b>1,880.42</b>	<b>5.61 W</b>	<b>80.38</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CALLON PETROLEUM COMPANY / CUSIP: 13123X508 / Symbol:							
5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	5.000	36.50	06/08/20	99.50	...	-63.00	1 of 5 - Sale <sup>25</sup>
	2.000	14.60	06/09/20	37.40	...	-22.80	2 of 5 - Sale <sup>25</sup>
	1.000	7.30	06/10/20	16.90	...	-9.60	3 of 5 - Sale <sup>25</sup>
	1.000	7.30	06/10/20	15.10	...	-7.80	4 of 5 - Sale <sup>25</sup>
	1.000	7.30	06/11/20	14.50	...	-7.20	5 of 5 - Sale <sup>25</sup>
11/09/20	10.000	73.00	Various	183.40	...	-110.40	Total of 5 transactions
9 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	10.000	80.00	06/11/20	157.99	...	-77.99	1 of 9 - Sale <sup>25</sup>
	10.000	80.00	06/12/20	167.99	...	-87.99	2 of 9 - Sale <sup>25</sup>
	2.500	20.00	06/12/20	39.50	...	-19.50	3 of 9 - Sale <sup>25</sup>
	2.500	20.00	06/12/20	38.00	...	-18.00	4 of 9 - Sale <sup>25</sup>
	2.500	20.00	06/12/20	36.75	...	-16.75	5 of 9 - Sale <sup>25</sup>
	2.500	20.00	06/15/20	33.75	...	-13.75	6 of 9 - Sale <sup>25</sup>
	5.000	40.00	06/17/20	78.50	...	-38.50	7 of 9 - Sale <sup>25</sup>
	5.000	40.00	06/17/20	77.00	...	-37.00	8 of 9 - Sale <sup>25</sup>
	10.000	80.00	06/25/20	112.76	...	-32.76	9 of 9 - Sale <sup>25</sup>
11/17/20	50.000	400.00	Various	742.24	...	-342.24	Total of 9 transactions
8 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	10.000	87.41	06/26/20	116.76	...	-29.35	1 of 8 - Sale <sup>25</sup>
	10.000	87.41	07/09/20	104.76	...	-17.35	2 of 8 - Sale <sup>25</sup>
	2.500	21.85	07/17/20	28.19	...	-6.34	3 of 8 - Sale <sup>25</sup>
	2.500	21.85	07/30/20	29.43	...	-7.58	4 of 8 - Sale <sup>25</sup>
	5.000	43.70	07/31/20	55.88	...	-12.18	5 of 8 - Sale <sup>25</sup>
	5.000	43.71	08/06/20	56.00	...	-12.29	6 of 8 - Sale <sup>25</sup>
	5.000	43.70	08/06/20	55.50	...	-11.80	7 of 8 - Sale <sup>25</sup>
	10.000	87.41	08/07/20	106.76	...	-19.35	8 of 8 - Sale <sup>25</sup>
11/18/20	50.000	437.04	Various	553.28	...	-116.24	Total of 8 transactions
	<b>Security total:</b>	<b>910.04</b>		<b>1,478.92</b>	...	<b>-568.88</b>	

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
<i>5 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	125.70	06/05/20	129.53	...	-3.83	1 of 5 - Sale <sup>25</sup>
	4.000	83.80	06/05/20	86.35	...	-2.55	2 of 5 - Sale <sup>25</sup>
	2.000	41.90	07/09/20	29.46	...	12.44	3 of 5 - Sale <sup>25</sup>
	1.000	20.95	07/17/20	15.48	...	5.47	4 of 5 - Sale <sup>25</sup>
	2.000	41.90	07/20/20	30.02	...	11.88	5 of 5 - Sale <sup>25</sup>
12/02/20	15.000	314.25	Various	290.84	...	23.41	Total of 5 transactions
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
<i>5 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	15.45	09/23/20	6.50	...	8.95	1 of 5 - Sale <sup>25</sup>
	5.000	15.80	09/23/20	6.50	...	9.30	2 of 5 - Sale <sup>25</sup>
	5.000	17.70	09/23/20	6.50	...	11.20	3 of 5 - Sale <sup>25</sup>
	5.000	19.00	09/23/20	6.50	...	12.50	4 of 5 - Sale <sup>25</sup>
	5.000	19.00	09/23/20	6.50	...	12.50	5 of 5 - Sale <sup>25</sup>
09/25/20	25.000	86.95	Various	32.50	...	54.45	Total of 5 transactions
<i>3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	18.75	09/28/20	16.40	...	2.35	1 of 3 - Sale <sup>25</sup>
	20.000	70.00	09/28/20	65.60	...	4.40	2 of 3 - Sale <sup>25</sup>
	5.000	20.00	09/30/20	9.55	...	10.45	3 of 3 - Sale <sup>25</sup>
10/05/20	30.000	108.75	Various	91.55	...	17.20	Total of 3 transactions
	<b>Security total:</b>	<b>195.70</b>		<b>124.05</b>	...	<b>71.65</b>	
CHINA RECYCLING ENERGY CORPORA TION COMMON STOCK / CUSIP: 168913309 / Symbol:							
12/28/20	1.000	7.82	11/23/20	6.08	...	1.74	Sale <sup>25</sup>
CLEAN ENERGY FUELS CORP. COMMO N STOCK / CUSIP: 184499101 / Symbol:							
07/09/20	10.000	31.91	07/08/20	30.69	...	1.22	Sale <sup>25</sup>
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol:							
03/11/20	10.000	116.90	03/11/20	106.90	...	10.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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COMCAST CORPORATION CLASS A COMMON STOCK / CUSIP: 20030N101 / Symbol:							
05/19/20	4.000	154.30	03/05/20	162.03	...	-7.73	Sale <sup>25</sup>
	3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1.000	38.71	03/05/20	40.51	...	-1.80	1 of 3 - Sale <sup>25</sup>
	1.000	38.71	03/12/20	35.15	...	3.56	2 of 3 - Sale <sup>25</sup>
	2.000	77.42	03/18/20	69.51	...	7.91	3 of 3 - Sale <sup>25</sup>
05/20/20	4.000	154.84	Various	145.17	...	9.67	Total of 3 transactions
	<b>Security total:</b>	<b>309.14</b>		<b>307.20</b>	...	<b>1.94</b>	
COSTCO WHOLESALE CORPORATION COMMON STOCK / CUSIP: 22160K105 / Symbol:							
03/17/20	1.000	306.00	03/12/20	290.64	...	15.36	Sale <sup>25</sup>
	2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	1.000	302.00	03/05/20	315.80	13.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	302.00	03/11/20	313.29	11.29 W	0.00	2 of 2 - Sale <sup>25</sup>
03/17/20	2.000	604.00	Various	629.09	25.09 W	0.00	Total of 2 transactions
03/30/20	1.000	291.42	03/25/20	280.72	...	10.70	Sale <sup>25</sup>
04/07/20	1.000	310.00	03/25/20	280.72	...	29.28	Sale <sup>25</sup>
05/05/20	1.000	309.41	04/29/20	302.53	...	6.88	Sale <sup>25</sup>
05/08/20	1.000	304.29	05/01/20	301.65	...	2.64	Sale <sup>25</sup>
06/03/20	1.000	308.00	05/15/20	298.31	...	9.69	Sale <sup>25</sup>
09/23/20	1.000	346.79	09/21/20	339.11	...	7.68	Sale <sup>25</sup>
09/29/20	1.000	351.75	09/24/20	342.29	...	9.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,131.66</b>		<b>3,065.06</b>	<b>25.09 W</b>	<b>91.69</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
	2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	5.000	175.00	06/04/20	149.45	...	25.55	1 of 2 - Sale <sup>25</sup>
	5.000	175.00	06/05/20	190.00	...	-15.00	2 of 2 - Sale <sup>25</sup>
09/17/20	10.000	350.00	Various	339.45	...	10.55	Total of 2 transactions
10/09/20	2.000	66.30	06/05/20	69.60	...	-3.30	Sale <sup>25</sup>
	7 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	3.000	98.88	06/05/20	104.40	...	-5.52	1 of 7 - Sale <sup>25</sup>
	5.000	164.81	06/05/20	170.00	...	-5.19	2 of 7 - Sale <sup>25</sup>
	3.000	99.06	06/09/20	100.44	...	-1.38	3 of 7 - Sale <sup>25</sup>
	2.000	65.92	06/09/20	66.96	...	-1.04	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	2.000	66.04	06/10/20	63.00	...	3.04	5 of 7 - Sale <sup>25</sup>
	3.000	99.45	06/10/20	94.49	...	4.96	6 of 7 - Sale <sup>25</sup>
	2.000	66.30	07/09/20	51.36	...	14.94	7 of 7 - Sale <sup>25</sup>
10/22/20	20.000	660.46	Various	650.65	...	9.81	Total of 7 transactions
	<b>Security total:</b>	<b>1,076.76</b>		<b>1,059.70</b>	...	<b>17.06</b>	
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
06/05/20	5.000	48.26	06/05/20	44.95	...	3.31	Sale <sup>25</sup>
06/22/20	4.000	42.84	06/05/20	35.96	...	6.88	Sale <sup>25</sup>
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	11.75	06/05/20	8.99	...	2.76	1 of 2 - Sale <sup>25</sup>
	8.000	94.02	06/25/20	92.80	...	1.22	2 of 2 - Sale <sup>25</sup>
06/26/20	9.000	105.77	Various	101.79	...	3.98	Total of 2 transactions
	3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	12.51	06/25/20	11.60	...	0.91	1 of 3 - Sale <sup>25</sup>
	3.000	37.53	06/30/20	37.47	...	0.06	2 of 3 - Sale <sup>25</sup>
	1.000	12.59	06/30/20	12.49	...	0.10	3 of 3 - Sale <sup>25</sup>
06/30/20	5.000	62.63	Various	61.56	...	1.07	Total of 3 transactions
	2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	1.000	12.14	07/10/20	13.17	1.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	48.56	07/13/20	53.08	4.52 W	0.00	2 of 2 - Sale <sup>25</sup>
07/24/20	5.000	60.70	Various	66.25	5.55 W	0.00	Total of 2 transactions
	4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	5.000	65.00	07/13/20	64.80	...	0.20	1 of 4 - Sale <sup>25</sup>
	5.000	65.00	07/14/20	60.55	...	4.45	2 of 4 - Sale <sup>25</sup>
	2.000	26.00	07/17/20	25.14	...	0.86	3 of 4 - Sale <sup>25</sup>
	2.000	26.00	07/23/20	24.60	...	1.40	4 of 4 - Sale <sup>25</sup>
07/30/20	14.000	182.00	Various	175.09	...	6.91	Total of 4 transactions
	4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	13.00	07/13/20	14.23	1.23 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	52.00	07/13/20	57.32	5.32 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	65.00	07/13/20	66.00	1.00 W	0.00	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol: (cont'd)							
	1.000	13.22	07/23/20	13.53	0.31 W	0.00	4 of 4 - Sale <sup>25</sup>
07/30/20	11.000	143.22	Various	151.08	7.86 W	0.00	Total of 4 transactions
	7 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	28.40	08/05/20	20.68	...	7.72	1 of 7 - Sale <sup>25</sup>
	1.000	28.40	08/05/20	20.63	...	7.77	2 of 7 - Sale <sup>25</sup>
	1.000	28.40	08/05/20	19.81	...	8.59	3 of 7 - Sale <sup>25</sup>
	1.000	28.40	08/05/20	19.70	...	8.70	4 of 7 - Sale <sup>25</sup>
	2.000	56.80	08/05/20	40.66	...	16.14	5 of 7 - Sale <sup>25</sup>
	4.000	113.60	08/05/20	78.00	...	35.60	6 of 7 - Sale <sup>25</sup>
	10.000	283.98	08/07/20	222.50	...	61.48	7 of 7 - Sale <sup>25</sup>
08/20/20	20.000	567.98	Various	421.98	...	146.00	Total of 7 transactions
	3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	1.000	29.00	08/07/20	22.00	...	7.00	1 of 3 - Sale <sup>25</sup>
	1.000	29.00	08/07/20	22.00	...	7.00	2 of 3 - Sale <sup>25</sup>
	2.000	58.50	08/07/20	44.00	...	14.50	3 of 3 - Sale <sup>25</sup>
08/21/20	4.000	116.50	Various	88.00	...	28.50	Total of 3 transactions
09/02/20	1.000	24.67	08/07/20	22.00	...	2.67	Sale <sup>25</sup>
	12 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	2.000	58.00	08/13/20	50.20	...	7.80	1 of 12 - Sale <sup>25</sup>
	1.000	29.00	08/21/20	27.95	...	1.05	2 of 12 - Sale <sup>25</sup>
	1.000	29.25	08/26/20	24.39	...	4.86	3 of 12 - Sale <sup>25</sup>
	1.000	29.40	08/26/20	23.84	...	5.56	4 of 12 - Sale <sup>25</sup>
	1.000	29.50	08/26/20	23.50	...	6.00	5 of 12 - Sale <sup>25</sup>
	2.000	58.00	08/26/20	50.08	...	7.92	6 of 12 - Sale <sup>25</sup>
	4.000	117.00	08/26/20	100.16	...	16.84	7 of 12 - Sale <sup>25</sup>
	4.000	117.60	08/26/20	97.56	...	20.04	8 of 12 - Sale <sup>25</sup>
	5.000	146.25	08/26/20	121.55	...	24.70	9 of 12 - Sale <sup>25</sup>
	1.000	29.50	08/27/20	22.83	...	6.67	10 of 12 - Sale <sup>25</sup>
	2.000	59.00	08/27/20	45.85	...	13.15	11 of 12 - Sale <sup>25</sup>
	1.000	29.50	08/28/20	22.77	...	6.73	12 of 12 - Sale <sup>25</sup>
09/15/20	25.000	732.00	Various	610.68	...	121.32	Total of 12 transactions

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol: (cont'd)							
<i>4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	405.00	10/15/20	384.00	...	21.00	1 of 4 - Sale <sup>25</sup>
	10.000	410.00	10/16/20	384.00	...	26.00	2 of 4 - Sale <sup>25</sup>
	5.000	210.00	10/19/20	191.75	...	18.25	3 of 4 - Sale <sup>25</sup>
	5.000	212.50	10/19/20	191.75	...	20.75	4 of 4 - Sale <sup>25</sup>
11/05/20	30.000	1,237.50	Various	1,151.50	...	86.00	Total of 4 transactions
<i>2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	76.00	10/19/20	74.72	...	1.28	1 of 2 - Sale <sup>25</sup>
	2.000	76.50	10/19/20	74.72	...	1.78	2 of 2 - Sale <sup>25</sup>
11/13/20	4.000	152.50	Various	149.44	...	3.06	Total of 2 transactions
<i>7 transactions for 11/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	40.65	10/19/20	37.36	...	3.29	1 of 7 - Sale <sup>25</sup>
	5.000	203.25	10/19/20	185.70	...	17.55	2 of 7 - Sale <sup>25</sup>
	5.000	205.00	10/19/20	183.18	...	21.82	3 of 7 - Sale <sup>25</sup>
	1.000	41.00	10/21/20	35.29	...	5.71	4 of 7 - Sale <sup>25</sup>
	1.000	41.00	10/21/20	34.48	...	6.52	5 of 7 - Sale <sup>25</sup>
	2.000	82.00	10/22/20	65.00	...	17.00	6 of 7 - Sale <sup>25</sup>
	1.000	41.00	10/28/20	30.20	...	10.80	7 of 7 - Sale <sup>25</sup>
11/20/20	16.000	653.90	Various	571.21	...	82.69	Total of 7 transactions
<i>2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	44.00	11/09/20	37.00	...	7.00	1 of 2 - Sale <sup>25</sup>
	5.000	217.50	11/09/20	185.00	...	32.50	2 of 2 - Sale <sup>25</sup>
12/11/20	6.000	261.50	Various	222.00	...	39.50	Total of 2 transactions
	<b>Security total:</b>	<b>4,391.97</b>		<b>3,873.49</b>	<b>13.41 W</b>	<b>531.89</b>	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol:							
<i>33 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	5.05	07/21/20	4.80	...	0.25	1 of 33 - Sale <sup>25</sup>
	1.000	5.11	07/21/20	4.78	...	0.33	2 of 33 - Sale <sup>25</sup>
	6.000	30.66	07/21/20	29.94	...	0.72	3 of 33 - Sale <sup>25</sup>
	25.000	127.74	07/21/20	126.45	...	1.29	4 of 33 - Sale <sup>25</sup>
	100.000	510.98	07/21/20	508.99	...	1.99	5 of 33 - Sale <sup>25</sup>
	25.000	127.74	07/21/20	125.50	...	2.24	6 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
	25.000	127.74	07/21/20	125.50	...	2.24	7 of 33 - Sale <sup>25</sup>
	25.000	127.74	07/21/20	124.75	...	2.99	8 of 33 - Sale <sup>25</sup>
	25.000	127.74	07/21/20	123.63	...	4.11	9 of 33 - Sale <sup>25</sup>
	14.000	71.54	07/21/20	67.20	...	4.34	10 of 33 - Sale <sup>25</sup>
	25.000	127.75	07/21/20	123.22	...	4.53	11 of 33 - Sale <sup>25</sup>
	44.000	224.83	07/21/20	219.56	...	5.27	12 of 33 - Sale <sup>25</sup>
	25.000	127.74	07/21/20	121.70	...	6.04	13 of 33 - Sale <sup>25</sup>
	25.000	127.74	07/21/20	120.72	...	7.02	14 of 33 - Sale <sup>25</sup>
	25.000	127.74	07/21/20	120.70	...	7.04	15 of 33 - Sale <sup>25</sup>
	50.000	255.49	07/21/20	248.00	...	7.49	16 of 33 - Sale <sup>25</sup>
	25.000	127.75	07/21/20	120.25	...	7.50	17 of 33 - Sale <sup>25</sup>
	25.000	127.74	07/21/20	119.49	...	8.25	18 of 33 - Sale <sup>25</sup>
	25.000	127.74	07/21/20	119.45	...	8.29	19 of 33 - Sale <sup>25</sup>
	25.000	127.75	07/21/20	119.38	...	8.37	20 of 33 - Sale <sup>25</sup>
	25.000	127.75	07/21/20	119.38	...	8.37	21 of 33 - Sale <sup>25</sup>
	50.000	255.49	07/21/20	244.41	...	11.08	22 of 33 - Sale <sup>25</sup>
	36.000	183.95	07/21/20	172.80	...	11.15	23 of 33 - Sale <sup>25</sup>
	50.000	255.49	07/21/20	241.50	...	13.99	24 of 33 - Sale <sup>25</sup>
	50.000	255.49	07/21/20	241.41	...	14.08	25 of 33 - Sale <sup>25</sup>
	50.000	255.49	07/21/20	240.91	...	14.58	26 of 33 - Sale <sup>25</sup>
	50.000	255.48	07/21/20	240.25	...	15.23	27 of 33 - Sale <sup>25</sup>
	49.000	250.38	07/21/20	235.11	...	15.27	28 of 33 - Sale <sup>25</sup>
	100.000	504.98	07/23/20	499.00	...	5.98	29 of 33 - Sale <sup>25</sup>
	100.000	504.97	07/23/20	497.00	...	7.97	30 of 33 - Sale <sup>25</sup>
	100.000	504.98	07/23/20	494.00	...	10.98	31 of 33 - Sale <sup>25</sup>
	100.000	504.97	07/23/20	492.00	...	12.97	32 of 33 - Sale <sup>25</sup>
	99.000	499.93	07/23/20	482.13	...	17.80	33 of 33 - Sale <sup>25</sup>
07/23/20	1,400.000	7,123.66	Various	6,873.91	...	249.75	Total of 33 transactions
	2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	1.000	5.01	07/23/20	4.87	...	0.14	1 of 2 - Sale <sup>25</sup>
	99.000	495.96	07/24/20	495.00	...	0.96	2 of 2 - Sale <sup>25</sup>
07/24/20	100.000	500.97	Various	499.87	...	1.10	Total of 2 transactions

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	4.84	07/24/20	5.00	0.16 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	483.97	08/04/20	490.00	6.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	99.000	479.14	08/04/20	484.11	4.97 W	0.00	3 of 3 - Sale <sup>25</sup>
08/05/20	200.000	967.95	Various	979.11	11.16 W	0.00	Total of 3 transactions
7 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	4.91	08/05/20	4.88	...	0.03	1 of 7 - Sale <sup>25</sup>
	1.000	4.91	08/05/20	4.85	...	0.06	2 of 7 - Sale <sup>25</sup>
	99.000	483.11	08/05/20	482.80	...	0.31	3 of 7 - Sale <sup>25</sup>
	25.000	122.75	08/05/20	119.73	...	3.02	4 of 7 - Sale <sup>25</sup>
	25.000	122.75	08/05/20	118.97	...	3.78	5 of 7 - Sale <sup>25</sup>
	23.000	112.93	08/05/20	108.78	...	4.15	6 of 7 - Sale <sup>25</sup>
	25.000	122.74	08/05/20	118.51	...	4.23	7 of 7 - Sale <sup>25</sup>
08/06/20	199.000	974.10	Various	958.52	...	15.58	Total of 7 transactions
08/06/20	1.000	4.88	08/04/20	5.05	0.17 W	0.00	Sale <sup>25</sup>
24 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	4.47	08/05/20	4.93	0.46 W	0.00	1 of 24 - Sale <sup>25</sup>
	3.000	13.41	08/05/20	14.61	1.20 W	0.00	2 of 24 - Sale <sup>25</sup>
	22.000	98.34	08/05/20	110.00	11.66 W	0.00	3 of 24 - Sale <sup>25</sup>
	28.000	125.16	08/05/20	139.69	14.53 W	0.00	4 of 24 - Sale <sup>25</sup>
	46.000	205.61	08/05/20	230.01	24.40 W	0.00	5 of 24 - Sale <sup>25</sup>
	1.000	4.47	08/05/20	4.73	0.26 W	0.00	6 of 24 - Sale <sup>25</sup>
	25.000	111.75	08/05/20	116.75	5.00 W	0.00	7 of 24 - Sale <sup>25</sup>
	28.000	125.16	08/05/20	130.45	5.29 W	0.00	8 of 24 - Sale <sup>25</sup>
	46.000	205.61	08/05/20	214.82	9.21 W	0.00	9 of 24 - Sale <sup>25</sup>
	1.000	4.50	08/05/20	5.26	0.76 W	0.00	10 of 24 - Sale <sup>25</sup>
	3.000	13.50	08/05/20	15.60	2.10 W	0.00	11 of 24 - Sale <sup>25</sup>
	22.000	99.00	08/05/20	117.26	18.26 W	0.00	12 of 24 - Sale <sup>25</sup>
	28.000	126.00	08/05/20	148.93	22.93 W	0.00	13 of 24 - Sale <sup>25</sup>
	46.000	206.99	08/05/20	245.20	38.21 W	0.00	14 of 24 - Sale <sup>25</sup>
	1.000	4.55	08/05/20	5.56	1.01 W	0.00	15 of 24 - Sale <sup>25</sup>
	3.000	13.65	08/05/20	16.50	2.85 W	0.00	16 of 24 - Sale <sup>25</sup>
	22.000	100.10	08/05/20	123.42	23.32 W	0.00	17 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
	28.000	127.40	08/05/20	156.77	29.37 W	0.00	18 of 24 - Sale <sup>25</sup>
	46.000	209.29	08/05/20	256.71	47.42 W	0.00	19 of 24 - Sale <sup>25</sup>
	1.000	4.57	08/05/20	5.76	1.19 W	0.00	20 of 24 - Sale <sup>25</sup>
	3.000	13.71	08/05/20	17.10	3.39 W	0.00	21 of 24 - Sale <sup>25</sup>
	22.000	100.54	08/05/20	127.82	27.28 W	0.00	22 of 24 - Sale <sup>25</sup>
	28.000	127.96	08/05/20	162.37	34.41 W	0.00	23 of 24 - Sale <sup>25</sup>
	46.000	210.21	08/06/20	265.00	54.79 W	0.00	24 of 24 - Sale <sup>25</sup>
08/13/20	500.000	2,255.95	Various	2,635.25	379.30 W	0.00	Total of 24 transactions
	6 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	4.64	08/06/20	5.92	1.28 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	13.92	08/06/20	17.58	3.66 W	0.00	2 of 6 - Sale <sup>25</sup>
	9.000	41.76	08/07/20	53.82	12.06 W	0.00	3 of 6 - Sale <sup>25</sup>
	13.000	60.32	08/07/20	77.74	17.42 W	0.00	4 of 6 - Sale <sup>25</sup>
	28.000	129.92	08/07/20	167.13	37.21 W	0.00	5 of 6 - Sale <sup>25</sup>
	46.000	213.43	08/07/20	272.83	59.40 W	0.00	6 of 6 - Sale <sup>25</sup>
08/14/20	100.000	463.99	Various	595.02	131.03 W	0.00	Total of 6 transactions
	14 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	4.55	08/10/20	4.53	...	0.02	1 of 14 - Sale <sup>25</sup>
	2.000	9.10	08/10/20	9.08	...	0.02	2 of 14 - Sale <sup>25</sup>
	2.000	9.10	08/10/20	9.06	...	0.04	3 of 14 - Sale <sup>25</sup>
	3.000	13.65	08/10/20	13.59	...	0.06	4 of 14 - Sale <sup>25</sup>
	1.000	4.55	08/10/20	4.49	...	0.06	5 of 14 - Sale <sup>25</sup>
	9.000	40.95	08/10/20	40.76	...	0.19	6 of 14 - Sale <sup>25</sup>
	9.000	40.95	08/10/20	40.76	...	0.19	7 of 14 - Sale <sup>25</sup>
	4.000	18.20	08/10/20	17.92	...	0.28	8 of 14 - Sale <sup>25</sup>
	10.000	45.49	08/10/20	44.99	...	0.50	9 of 14 - Sale <sup>25</sup>
	10.000	45.50	08/10/20	44.99	...	0.51	10 of 14 - Sale <sup>25</sup>
	10.000	45.50	08/10/20	44.95	...	0.55	11 of 14 - Sale <sup>25</sup>
	10.000	45.50	08/10/20	44.94	...	0.56	12 of 14 - Sale <sup>25</sup>
	25.000	113.75	08/10/20	112.64	...	1.11	13 of 14 - Sale <sup>25</sup>
	4.000	18.32	08/14/20	18.19	...	0.13	14 of 14 - Sale <sup>25</sup>
08/18/20	100.000	455.11	Various	450.89	...	4.22	Total of 14 transactions

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
<i>110 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
1.000		4.48	08/07/20	6.02	1.54 W	0.00	1 of 110 - Sale <sup>25</sup>
3.000		13.44	08/07/20	17.88	4.44 W	0.00	2 of 110 - Sale <sup>25</sup>
9.000		40.32	08/07/20	54.42	14.10 W	0.00	3 of 110 - Sale <sup>25</sup>
13.000		58.24	08/07/20	78.60	20.36 W	0.00	4 of 110 - Sale <sup>25</sup>
28.000		125.44	08/07/20	168.99	43.55 W	0.00	5 of 110 - Sale <sup>25</sup>
46.000		206.07	08/07/20	275.90	69.83 W	0.00	6 of 110 - Sale <sup>25</sup>
1.000		4.49	08/07/20	6.25	1.76 W	0.00	7 of 110 - Sale <sup>25</sup>
3.000		13.47	08/07/20	18.56	5.09 W	0.00	8 of 110 - Sale <sup>25</sup>
9.000		40.41	08/07/20	56.40	15.99 W	0.00	9 of 110 - Sale <sup>25</sup>
13.000		58.37	08/07/20	81.46	23.09 W	0.00	10 of 110 - Sale <sup>25</sup>
28.000		125.72	08/07/20	175.15	49.43 W	0.00	11 of 110 - Sale <sup>25</sup>
46.000		206.53	08/07/20	286.03	79.50 W	0.00	12 of 110 - Sale <sup>25</sup>
1.000		4.50	08/07/20	6.46	1.96 W	0.00	13 of 110 - Sale <sup>25</sup>
3.000		13.50	08/07/20	19.19	5.69 W	0.00	14 of 110 - Sale <sup>25</sup>
9.000		40.51	08/07/20	58.52	18.01 W	0.00	15 of 110 - Sale <sup>25</sup>
13.000		58.51	08/07/20	84.51	26.00 W	0.00	16 of 110 - Sale <sup>25</sup>
28.000		126.03	08/07/20	181.73	55.70 W	0.00	17 of 110 - Sale <sup>25</sup>
46.000		207.06	08/07/20	296.85	89.79 W	0.00	18 of 110 - Sale <sup>25</sup>
1.000		4.51	08/07/20	6.68	2.17 W	0.00	19 of 110 - Sale <sup>25</sup>
3.000		13.53	08/07/20	19.87	6.34 W	0.00	20 of 110 - Sale <sup>25</sup>
9.000		40.59	08/07/20	60.49	19.90 W	0.00	21 of 110 - Sale <sup>25</sup>
13.000		58.63	08/07/20	87.36	28.73 W	0.00	22 of 110 - Sale <sup>25</sup>
28.000		126.28	08/07/20	187.86	61.58 W	0.00	23 of 110 - Sale <sup>25</sup>
46.000		207.45	08/07/20	306.91	99.46 W	0.00	24 of 110 - Sale <sup>25</sup>
4.000		18.04	08/07/20	18.88	0.84 W	0.00	25 of 110 - Sale <sup>25</sup>
96.000		432.95	08/07/20	453.12	20.17 W	0.00	26 of 110 - Sale <sup>25</sup>
1.000		4.51	08/07/20	6.89	2.38 W	0.00	27 of 110 - Sale <sup>25</sup>
3.000		13.53	08/07/20	20.50	6.97 W	0.00	28 of 110 - Sale <sup>25</sup>
9.000		40.60	08/10/20	61.82	21.22 W	0.00	29 of 110 - Sale <sup>25</sup>
13.000		58.64	08/10/20	89.28	30.64 W	0.00	30 of 110 - Sale <sup>25</sup>
28.000		126.31	08/10/20	191.99	65.68 W	0.00	31 of 110 - Sale <sup>25</sup>
46.000		207.51	08/10/20	313.71	106.20 W	0.00	32 of 110 - Sale <sup>25</sup>
4.000		18.04	08/10/20	19.47	1.43 W	0.00	33 of 110 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
	96.000	433.06	08/10/20	465.61	32.55 W	0.00	34 of 110 - Sale <sup>25</sup>
	1.000	4.51	08/10/20	7.02	2.51 W	0.00	35 of 110 - Sale <sup>25</sup>
	3.000	13.53	08/10/20	20.89	7.36 W	0.00	36 of 110 - Sale <sup>25</sup>
	9.000	40.59	08/10/20	62.08	21.49 W	0.00	37 of 110 - Sale <sup>25</sup>
	13.000	58.63	08/10/20	89.66	31.03 W	0.00	38 of 110 - Sale <sup>25</sup>
	28.000	126.28	08/10/20	192.80	66.52 W	0.00	39 of 110 - Sale <sup>25</sup>
	46.000	207.45	08/10/20	315.04	107.59 W	0.00	40 of 110 - Sale <sup>25</sup>
	4.000	18.08	08/10/20	19.59	1.51 W	0.00	41 of 110 - Sale <sup>25</sup>
	1.000	4.52	08/10/20	7.01	2.49 W	0.00	42 of 110 - Sale <sup>25</sup>
	3.000	13.56	08/10/20	20.86	7.30 W	0.00	43 of 110 - Sale <sup>25</sup>
	92.000	415.83	08/10/20	445.19	29.36 W	0.00	44 of 110 - Sale <sup>25</sup>
	4.000	18.08	08/10/20	19.36	1.28 W	0.00	45 of 110 - Sale <sup>25</sup>
	9.000	40.68	08/10/20	61.63	20.95 W	0.00	46 of 110 - Sale <sup>25</sup>
	13.000	58.76	08/10/20	89.01	30.25 W	0.00	47 of 110 - Sale <sup>25</sup>
	28.000	126.56	08/10/20	191.40	64.84 W	0.00	48 of 110 - Sale <sup>25</sup>
	46.000	207.91	08/10/20	312.75	104.84 W	0.00	49 of 110 - Sale <sup>25</sup>
	4.000	18.12	08/10/20	19.35	1.23 W	0.00	50 of 110 - Sale <sup>25</sup>
	1.000	4.53	08/10/20	6.94	2.41 W	0.00	51 of 110 - Sale <sup>25</sup>
	1.000	4.53	08/10/20	6.88	2.35 W	0.00	52 of 110 - Sale <sup>25</sup>
	2.000	9.06	08/10/20	13.77	4.71 W	0.00	53 of 110 - Sale <sup>25</sup>
	3.000	13.59	08/10/20	14.31	0.72 W	0.00	54 of 110 - Sale <sup>25</sup>
	9.000	40.77	08/10/20	42.92	2.15 W	0.00	55 of 110 - Sale <sup>25</sup>
	80.000	362.39	08/10/20	381.53	19.14 W	0.00	56 of 110 - Sale <sup>25</sup>
	4.000	18.12	08/10/20	19.08	0.96 W	0.00	57 of 110 - Sale <sup>25</sup>
	9.000	40.77	08/10/20	60.82	20.05 W	0.00	58 of 110 - Sale <sup>25</sup>
	13.000	58.89	08/10/20	87.84	28.95 W	0.00	59 of 110 - Sale <sup>25</sup>
	27.000	122.31	08/10/20	182.13	59.82 W	0.00	60 of 110 - Sale <sup>25</sup>
	1.000	4.53	08/10/20	6.75	2.22 W	0.00	61 of 110 - Sale <sup>25</sup>
	1.000	4.53	08/10/20	6.71	2.18 W	0.00	62 of 110 - Sale <sup>25</sup>
	45.000	203.84	08/10/20	301.91	98.07 W	0.00	63 of 110 - Sale <sup>25</sup>
	4.000	18.12	08/10/20	18.95	0.83 W	0.00	64 of 110 - Sale <sup>25</sup>
	1.000	4.53	08/10/20	6.83	2.30 W	0.00	65 of 110 - Sale <sup>25</sup>
	1.000	4.53	08/10/20	6.77	2.24 W	0.00	66 of 110 - Sale <sup>25</sup>
	2.000	9.06	08/10/20	13.55	4.49 W	0.00	67 of 110 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
	3.000	13.59	08/10/20	13.98	0.39 W	0.00	68 of 110 - Sale <sup>25</sup>
	9.000	40.77	08/10/20	41.93	1.16 W	0.00	69 of 110 - Sale <sup>25</sup>
	80.000	362.39	08/10/20	372.73	10.34 W	0.00	70 of 110 - Sale <sup>25</sup>
	4.000	18.16	08/10/20	18.64	0.48 W	0.00	71 of 110 - Sale <sup>25</sup>
	9.000	40.86	08/10/20	59.65	18.79 W	0.00	72 of 110 - Sale <sup>25</sup>
	13.000	59.02	08/10/20	86.15	27.13 W	0.00	73 of 110 - Sale <sup>25</sup>
	8.000	36.32	08/10/20	52.92	16.60 W	0.00	74 of 110 - Sale <sup>25</sup>
	14.000	63.56	08/10/20	92.62	29.06 W	0.00	75 of 110 - Sale <sup>25</sup>
	1.000	4.54	08/10/20	6.62	2.08 W	0.00	76 of 110 - Sale <sup>25</sup>
	5.000	22.70	08/10/20	33.08	10.38 W	0.00	77 of 110 - Sale <sup>25</sup>
	1.000	4.54	08/10/20	6.58	2.04 W	0.00	78 of 110 - Sale <sup>25</sup>
	45.000	204.29	08/10/20	296.07	91.78 W	0.00	79 of 110 - Sale <sup>25</sup>
	4.000	18.20	08/10/20	18.43	0.23 W	0.00	80 of 110 - Sale <sup>25</sup>
	1.000	4.55	08/10/20	6.70	2.15 W	0.00	81 of 110 - Sale <sup>25</sup>
	1.000	4.55	08/10/20	6.64	2.09 W	0.00	82 of 110 - Sale <sup>25</sup>
	2.000	9.10	08/10/20	13.29	4.19 W	0.00	83 of 110 - Sale <sup>25</sup>
	1.000	4.55	08/10/20	6.45	1.90 W	0.00	84 of 110 - Sale <sup>25</sup>
	3.000	13.65	08/10/20	19.31	5.66 W	0.00	85 of 110 - Sale <sup>25</sup>
	8.000	36.40	08/10/20	51.58	15.18 W	0.00	86 of 110 - Sale <sup>25</sup>
	13.000	59.15	08/10/20	83.81	24.66 W	0.00	87 of 110 - Sale <sup>25</sup>
	5.000	22.75	08/10/20	32.17	9.42 W	0.00	88 of 110 - Sale <sup>25</sup>
	6.000	27.30	08/10/20	38.61	11.31 W	0.00	89 of 110 - Sale <sup>25</sup>
	2.000	9.10	08/10/20	12.86	3.76 W	0.00	90 of 110 - Sale <sup>25</sup>
	8.000	36.40	08/10/20	51.45	15.05 W	0.00	91 of 110 - Sale <sup>25</sup>
	1.000	4.55	08/10/20	6.43	1.88 W	0.00	92 of 110 - Sale <sup>25</sup>
	3.000	13.65	08/10/20	19.28	5.63 W	0.00	93 of 110 - Sale <sup>25</sup>
	1.000	4.55	08/10/20	6.39	1.84 W	0.00	94 of 110 - Sale <sup>25</sup>
	45.000	204.74	08/10/20	287.53	82.79 W	0.00	95 of 110 - Sale <sup>25</sup>
	1.000	4.58	08/14/20	6.64	2.06 W	0.00	96 of 110 - Sale <sup>25</sup>
	1.000	4.58	08/14/20	6.58	2.00 W	0.00	97 of 110 - Sale <sup>25</sup>
	2.000	9.16	08/14/20	13.17	4.01 W	0.00	98 of 110 - Sale <sup>25</sup>
	1.000	4.58	08/14/20	6.39	1.81 W	0.00	99 of 110 - Sale <sup>25</sup>
	1.000	4.58	08/14/20	6.37	1.79 W	0.00	100 of 110 - Sale <sup>25</sup>
	2.000	9.16	08/14/20	12.74	3.58 W	0.00	101 of 110 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
	3.000	13.74	08/14/20	19.13	5.39 W	0.00	102 of 110 - Sale <sup>25</sup>
	3.000	13.74	08/14/20	19.10	5.36 W	0.00	103 of 110 - Sale <sup>25</sup>
	5.000	22.90	08/14/20	31.87	8.97 W	0.00	104 of 110 - Sale <sup>25</sup>
	6.000	27.48	08/14/20	38.25	10.77 W	0.00	105 of 110 - Sale <sup>25</sup>
	8.000	36.64	08/14/20	51.10	14.46 W	0.00	106 of 110 - Sale <sup>25</sup>
	8.000	36.64	08/14/20	50.97	14.33 W	0.00	107 of 110 - Sale <sup>25</sup>
	13.000	59.54	08/14/20	83.03	23.49 W	0.00	108 of 110 - Sale <sup>25</sup>
	1.000	4.58	08/14/20	6.33	1.75 W	0.00	109 of 110 - Sale <sup>25</sup>
	41.000	187.77	08/14/20	259.52	71.75 W	0.00	110 of 110 - Sale <sup>25</sup>
08/18/20	1,600.000	7,232.06	Various	9,587.78	2,355.72 W	0.00	Total of 110 transactions
	24 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	10.000	46.30	08/14/20	57.10	...	-10.80	1 of 24 - Sale <sup>25</sup>
	11.000	50.93	08/14/20	60.58	...	-9.65	2 of 24 - Sale <sup>25</sup>
	9.000	41.85	08/14/20	48.79	...	-6.94	3 of 24 - Sale <sup>25</sup>
	8.000	37.04	08/14/20	43.93	...	-6.89	4 of 24 - Sale <sup>25</sup>
	9.000	41.85	08/14/20	48.70	...	-6.85	5 of 24 - Sale <sup>25</sup>
	8.000	37.20	08/14/20	43.52	...	-6.32	6 of 24 - Sale <sup>25</sup>
	6.000	27.78	08/14/20	32.97	...	-5.19	7 of 24 - Sale <sup>25</sup>
	8.000	37.20	08/14/20	41.98	...	-4.78	8 of 24 - Sale <sup>25</sup>
	4.000	18.52	08/14/20	23.20	...	-4.68	9 of 24 - Sale <sup>25</sup>
	5.000	23.15	08/14/20	27.47	...	-4.32	10 of 24 - Sale <sup>25</sup>
	3.000	13.89	08/14/20	16.49	...	-2.60	11 of 24 - Sale <sup>25</sup>
	2.000	9.26	08/14/20	10.98	...	-1.72	12 of 24 - Sale <sup>25</sup>
	2.000	9.28	08/14/20	10.95	...	-1.67	13 of 24 - Sale <sup>25</sup>
	2.000	9.28	08/14/20	10.88	...	-1.60	14 of 24 - Sale <sup>25</sup>
	2.000	9.30	08/14/20	10.89	...	-1.59	15 of 24 - Sale <sup>25</sup>
	2.000	9.30	08/14/20	10.84	...	-1.54	16 of 24 - Sale <sup>25</sup>
	2.000	9.30	08/14/20	10.49	...	-1.19	17 of 24 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	5.48	...	-0.85	18 of 24 - Sale <sup>25</sup>
	1.000	4.64	08/14/20	5.48	...	-0.84	19 of 24 - Sale <sup>25</sup>
	1.000	4.65	08/14/20	5.47	...	-0.82	20 of 24 - Sale <sup>25</sup>
	1.000	4.64	08/14/20	5.44	...	-0.80	21 of 24 - Sale <sup>25</sup>
	1.000	4.65	08/14/20	5.40	...	-0.75	22 of 24 - Sale <sup>25</sup>
	1.000	4.65	08/14/20	5.25	...	-0.60	23 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
08/19/20	1.000	4.61	08/17/20	5.50	...	-0.89	24 of 24 - Sale <sup>25</sup>
	100.000	463.90	Various	547.78	...	-83.88	Total of 24 transactions
	55 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	1.000	4.63	08/14/20	6.49	1.86 W	0.00	1 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	6.43	1.80 W	0.00	2 of 55 - Sale <sup>25</sup>
	2.000	9.26	08/14/20	12.87	3.61 W	0.00	3 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	6.24	1.61 W	0.00	4 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	6.22	1.59 W	0.00	5 of 55 - Sale <sup>25</sup>
	2.000	9.26	08/14/20	12.44	3.18 W	0.00	6 of 55 - Sale <sup>25</sup>
	3.000	13.89	08/14/20	18.68	4.79 W	0.00	7 of 55 - Sale <sup>25</sup>
	3.000	13.89	08/14/20	18.65	4.76 W	0.00	8 of 55 - Sale <sup>25</sup>
	5.000	23.15	08/14/20	31.12	7.97 W	0.00	9 of 55 - Sale <sup>25</sup>
	6.000	27.78	08/14/20	37.35	9.57 W	0.00	10 of 55 - Sale <sup>25</sup>
	8.000	37.04	08/14/20	49.90	12.86 W	0.00	11 of 55 - Sale <sup>25</sup>
	8.000	37.04	08/14/20	49.77	12.73 W	0.00	12 of 55 - Sale <sup>25</sup>
	13.000	60.19	08/14/20	81.08	20.89 W	0.00	13 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	6.18	1.55 W	0.00	14 of 55 - Sale <sup>25</sup>
	41.000	189.82	08/14/20	253.37	63.55 W	0.00	15 of 55 - Sale <sup>25</sup>
	4.000	18.52	08/14/20	25.08	6.56 W	0.00	16 of 55 - Sale <sup>25</sup>
	1.000	4.65	08/14/20	6.26	1.61 W	0.00	17 of 55 - Sale <sup>25</sup>
	1.000	4.65	08/14/20	6.20	1.55 W	0.00	18 of 55 - Sale <sup>25</sup>
	2.000	9.22	08/14/20	12.41	3.19 W	0.00	19 of 55 - Sale <sup>25</sup>
	1.000	4.61	08/14/20	6.01	1.40 W	0.00	20 of 55 - Sale <sup>25</sup>
	1.000	4.61	08/14/20	5.99	1.38 W	0.00	21 of 55 - Sale <sup>25</sup>
	2.000	9.22	08/14/20	11.98	2.76 W	0.00	22 of 55 - Sale <sup>25</sup>
	3.000	13.83	08/14/20	17.99	4.16 W	0.00	23 of 55 - Sale <sup>25</sup>
	3.000	13.83	08/14/20	17.96	4.13 W	0.00	24 of 55 - Sale <sup>25</sup>
	5.000	23.05	08/14/20	29.97	6.92 W	0.00	25 of 55 - Sale <sup>25</sup>
	6.000	27.66	08/14/20	35.97	8.31 W	0.00	26 of 55 - Sale <sup>25</sup>
	8.000	36.88	08/14/20	48.06	11.18 W	0.00	27 of 55 - Sale <sup>25</sup>
	8.000	36.88	08/14/20	47.93	11.05 W	0.00	28 of 55 - Sale <sup>25</sup>
	13.000	59.93	08/14/20	78.09	18.16 W	0.00	29 of 55 - Sale <sup>25</sup>
	1.000	4.61	08/14/20	5.95	1.34 W	0.00	30 of 55 - Sale <sup>25</sup>
	41.000	189.00	08/14/20	243.95	54.95 W	0.00	31 of 55 - Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
	4.000	18.44	08/14/20	24.16	5.72 W	0.00	32 of 55 - Sale <sup>25</sup>
	1.000	4.61	08/14/20	6.01	1.40 W	0.00	33 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	5.95	1.32 W	0.00	34 of 55 - Sale <sup>25</sup>
	2.000	9.26	08/14/20	11.99	2.73 W	0.00	35 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	5.80	1.17 W	0.00	36 of 55 - Sale <sup>25</sup>
	2.000	9.26	08/14/20	11.56	2.30 W	0.00	37 of 55 - Sale <sup>25</sup>
	3.000	13.89	08/14/20	17.36	3.47 W	0.00	38 of 55 - Sale <sup>25</sup>
	5.000	23.15	08/14/20	28.92	5.77 W	0.00	39 of 55 - Sale <sup>25</sup>
	6.000	27.78	08/14/20	34.71	6.93 W	0.00	40 of 55 - Sale <sup>25</sup>
	8.000	37.04	08/14/20	46.38	9.34 W	0.00	41 of 55 - Sale <sup>25</sup>
	8.000	37.04	08/14/20	46.25	9.21 W	0.00	42 of 55 - Sale <sup>25</sup>
	13.000	60.19	08/14/20	75.36	15.17 W	0.00	43 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	5.77	1.14 W	0.00	44 of 55 - Sale <sup>25</sup>
	3.000	13.89	08/14/20	17.30	3.41 W	0.00	45 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	5.73	1.10 W	0.00	46 of 55 - Sale <sup>25</sup>
	10.000	46.30	08/14/20	57.30	11.00 W	0.00	47 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	5.77	1.14 W	0.00	48 of 55 - Sale <sup>25</sup>
	18.000	83.33	08/14/20	102.79	19.46 W	0.00	49 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	5.69	1.06 W	0.00	50 of 55 - Sale <sup>25</sup>
	2.000	9.26	08/14/20	11.47	2.21 W	0.00	51 of 55 - Sale <sup>25</sup>
	1.000	4.63	08/14/20	5.54	0.91 W	0.00	52 of 55 - Sale <sup>25</sup>
	2.000	9.26	08/14/20	11.07	1.81 W	0.00	53 of 55 - Sale <sup>25</sup>
	8.000	37.04	08/14/20	44.30	7.26 W	0.00	54 of 55 - Sale <sup>25</sup>
	3.000	13.89	08/14/20	17.13	3.24 W	0.00	55 of 55 - Sale <sup>25</sup>
08/19/20	301.000	1,391.66	Various	1,800.90	409.24 W	0.00	Total of 55 transactions
	<b>Security total:</b>	<b>21,834.23</b>		<b>24,934.08</b>	<b>3,286.62 W</b>	<b>186.77</b>	

DIREXION DAILY S&amp;P OIL &amp; GAS E XP. &amp; PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:

7 transactions for 08/05/20. Total proceeds and cost reported to the IRS.

1.000	36.39	06/18/20	40.77	4.38 W	0.00	1 of 7 - Sale <sup>25</sup>
5.000	181.94	06/18/20	209.10	27.16 W	0.00	2 of 7 - Sale <sup>25</sup>
2.000	72.78	06/18/20	83.34	10.56 W	0.00	3 of 7 - Sale <sup>25</sup>
5.000	181.95	06/18/20	209.30	27.35 W	0.00	4 of 7 - Sale <sup>25</sup>
7.000	254.72	06/18/20	293.49	38.77 W	0.00	5 of 7 - Sale <sup>25</sup>

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(continued)

02/14/2021

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	3.000	109.50	06/18/20	125.78	16.28 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	73.00	06/18/20	83.64	10.64 W	0.00	7 of 7 - Sale <sup>25</sup>
08/05/20	25.000	910.28	Various	1,045.42	135.14 W	0.00	Total of 7 transactions
	11 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	2.000	75.00	06/30/20	63.58	...	11.42	1 of 11 - Sale <sup>25</sup>
	1.000	37.50	07/09/20	33.46	...	4.04	2 of 11 - Sale <sup>25</sup>
	2.000	75.00	07/09/20	69.02	...	5.98	3 of 11 - Sale <sup>25</sup>
	2.000	75.00	07/10/20	67.04	...	7.96	4 of 11 - Sale <sup>25</sup>
	3.000	112.50	07/10/20	101.01	...	11.49	5 of 11 - Sale <sup>25</sup>
	5.000	187.50	07/20/20	184.84	...	2.66	6 of 11 - Sale <sup>25</sup>
	3.000	113.25	07/27/20	111.77	...	1.48	7 of 11 - Sale <sup>25</sup>
	1.000	38.00	07/30/20	34.79	...	3.21	8 of 11 - Sale <sup>25</sup>
	2.000	76.00	07/30/20	71.77	...	4.23	9 of 11 - Sale <sup>25</sup>
	3.000	114.00	07/30/20	104.42	...	9.58	10 of 11 - Sale <sup>25</sup>
	3.000	114.00	07/30/20	100.52	...	13.48	11 of 11 - Sale <sup>25</sup>
08/10/20	27.000	1,017.75	Various	942.22	...	75.53	Total of 11 transactions
	6 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	5.000	185.00	06/18/20	207.65	22.65 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	185.00	06/19/20	203.09	18.09 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	187.50	07/24/20	199.19	11.69 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.000	113.25	07/24/20	119.18	5.93 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	75.50	07/24/20	79.68	4.18 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	75.50	07/27/20	76.10	0.60 W	0.00	6 of 6 - Sale <sup>25</sup>
08/10/20	22.000	821.75	Various	884.89	63.14 W	0.00	Total of 6 transactions
08/14/20	1.000	38.48	07/30/20	34.79	...	3.69	Sale <sup>25</sup>
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	35.10	08/20/20	34.41	...	0.69	1 of 3 - Sale <sup>25</sup>
	1.000	35.10	08/20/20	33.75	...	1.35	2 of 3 - Sale <sup>25</sup>
	5.000	175.48	08/20/20	168.95	...	6.53	3 of 3 - Sale <sup>25</sup>
08/24/20	7.000	245.68	Various	237.11	...	8.57	Total of 3 transactions
	6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	2.000	70.20	08/18/20	78.18	7.98 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	35.10	08/18/20	38.59	3.49 W	0.00	2 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	1.000	35.10	08/19/20	38.29	3.19 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	70.20	08/19/20	76.04	5.84 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	35.10	08/19/20	37.95	2.85 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	35.10	08/19/20	36.27	1.17 W	0.00	6 of 6 - Sale <sup>25</sup>
08/24/20	8.000	280.80	Various	305.32	24.52 W	0.00	Total of 6 transactions
	20 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	5.000	149.27	08/21/20	161.75	...	-12.48	1 of 20 - Sale <sup>25</sup>
	2.000	59.40	08/21/20	66.55	...	-7.15	2 of 20 - Sale <sup>25</sup>
	1.000	29.70	08/21/20	36.20	...	-6.50	3 of 20 - Sale <sup>25</sup>
	1.000	29.70	08/21/20	36.13	...	-6.43	4 of 20 - Sale <sup>25</sup>
	1.000	29.70	08/21/20	34.45	...	-4.75	5 of 20 - Sale <sup>25</sup>
	1.000	29.86	08/21/20	32.30	...	-2.44	6 of 20 - Sale <sup>25</sup>
	3.000	90.00	08/26/20	99.09	...	-9.09	7 of 20 - Sale <sup>25</sup>
	2.000	59.71	08/26/20	67.64	...	-7.93	8 of 20 - Sale <sup>25</sup>
	2.000	59.71	08/26/20	67.52	...	-7.81	9 of 20 - Sale <sup>25</sup>
	2.000	60.00	08/26/20	66.00	...	-6.00	10 of 20 - Sale <sup>25</sup>
	1.000	30.00	08/26/20	33.05	...	-3.05	11 of 20 - Sale <sup>25</sup>
	1.000	30.00	08/26/20	32.86	...	-2.86	12 of 20 - Sale <sup>25</sup>
	1.000	30.00	08/26/20	32.82	...	-2.82	13 of 20 - Sale <sup>25</sup>
	1.000	30.00	08/26/20	32.82	...	-2.82	14 of 20 - Sale <sup>25</sup>
	1.000	30.00	08/27/20	32.80	...	-2.80	15 of 20 - Sale <sup>25</sup>
	1.000	30.50	08/31/20	32.41	...	-1.91	16 of 20 - Sale <sup>25</sup>
	1.000	30.50	08/31/20	32.30	...	-1.80	17 of 20 - Sale <sup>25</sup>
	1.000	30.50	09/01/20	32.05	...	-1.55	18 of 20 - Sale <sup>25</sup>
	1.000	30.50	09/08/20	27.18	...	3.32	19 of 20 - Sale <sup>25</sup>
	1.000	30.50	09/08/20	26.58	...	3.92	20 of 20 - Sale <sup>25</sup>
11/16/20	30.000	899.55	Various	982.50	...	-82.95	Total of 20 transactions
	4 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	1.000	29.70	08/20/20	37.28	7.58 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	59.40	08/20/20	75.56	16.16 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	29.70	08/20/20	36.98	7.28 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	29.70	08/20/20	36.71	7.01 W	0.00	4 of 4 - Sale <sup>25</sup>
11/16/20	5.000	148.50	Various	186.53	38.03 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
6 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	31.52	09/10/20	25.75	...	5.77	1 of 6 - Sale <sup>25</sup>
	1.000	31.52	09/10/20	25.60	...	5.92	2 of 6 - Sale <sup>25</sup>
	1.000	31.52	09/10/20	25.58	...	5.94	3 of 6 - Sale <sup>25</sup>
	2.000	63.04	09/10/20	51.28	...	11.76	4 of 6 - Sale <sup>25</sup>
	5.000	157.20	09/23/20	123.19	...	34.01	5 of 6 - Sale <sup>25</sup>
	5.000	157.20	09/23/20	120.00	...	37.20	6 of 6 - Sale <sup>25</sup>
11/17/20	15.000	472.00	Various	371.40	...	100.60	Total of 6 transactions
6 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	5.000	179.99	09/29/20	110.40	...	69.59	1 of 6 - Sale <sup>25</sup>
	5.000	180.00	09/29/20	110.35	...	69.65	2 of 6 - Sale <sup>25</sup>
	5.000	179.99	09/30/20	107.00	...	72.99	3 of 6 - Sale <sup>25</sup>
	1.000	36.00	10/28/20	27.08	...	8.92	4 of 6 - Sale <sup>25</sup>
	1.000	36.00	10/28/20	26.78	...	9.22	5 of 6 - Sale <sup>25</sup>
	2.000	72.00	10/28/20	55.16	...	16.84	6 of 6 - Sale <sup>25</sup>
12/03/20	19.000	683.98	Various	436.77	...	247.21	Total of 6 transactions
	<b>Security total:</b>	<b>5,518.77</b>		<b>5,426.95</b>	<b>260.83 W</b>	<b>352.65</b>	
DIREXION DAILY ENERGY BULL 2X SHARES / CUSIP: 25460G609 / Symbol:							
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	10.000	173.60	06/05/20	214.50	...	-40.90	1 of 3 - Sale <sup>25</sup>
	10.000	173.60	06/05/20	214.40	...	-40.80	2 of 3 - Sale <sup>25</sup>
	5.000	86.80	06/11/20	88.00	...	-1.20	3 of 3 - Sale <sup>25</sup>
12/10/20	25.000	434.00	Various	516.90	...	-82.90	Total of 3 transactions
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
05/08/20	1.000	107.16	05/01/20	105.23	...	1.93	Sale <sup>25</sup>
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
10/13/20	1.000	242.00	09/02/20	263.48	...	-21.48	Sale <sup>25</sup>
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	239.00	09/02/20	288.99	49.99 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	240.50	09/02/20	288.99	48.49 W	0.00	2 of 2 - Sale <sup>25</sup>
10/13/20	2.000	479.50	Various	577.98	98.48 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
6 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	235.04	09/03/20	229.92	...	5.12	1 of 6 - Sale <sup>25</sup>
	1.000	236.00	09/04/20	215.00	...	21.00	2 of 6 - Sale <sup>25</sup>
	1.000	236.00	09/08/20	206.13	...	29.87	3 of 6 - Sale <sup>25</sup>
	1.000	245.00	09/09/20	206.80	...	38.20	4 of 6 - Sale <sup>25</sup>
	1.000	247.00	10/21/20	268.94	...	-21.94	5 of 6 - Sale <sup>25</sup>
	1.000	245.60	10/21/20	266.49	...	-20.89	6 of 6 - Sale <sup>25</sup>
12/03/20	6.000	1,444.64	Various	1,393.28	...	51.36	Total of 6 transactions
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	235.00	09/02/20	262.20	27.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	235.05	09/02/20	260.00	24.95 W	0.00	2 of 2 - Sale <sup>25</sup>
12/03/20	2.000	470.05	Various	522.20	52.15 W	0.00	Total of 2 transactions
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	252.00	12/01/20	243.76	...	8.24	1 of 2 - Sale <sup>25</sup>
	1.000	255.00	12/01/20	237.95	...	17.05	2 of 2 - Sale <sup>25</sup>
12/04/20	2.000	507.00	Various	481.71	...	25.29	Total of 2 transactions
	<b>Security total:</b>	<b>3,143.19</b>		<b>3,238.65</b>	<b>150.63 W</b>	<b>55.17</b>	
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
10 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	45.70	10/16/20	45.56	...	0.14	1 of 10 - Sale <sup>25</sup>
	4.000	182.80	10/16/20	182.00	...	0.80	2 of 10 - Sale <sup>25</sup>
	2.000	91.40	10/19/20	84.22	...	7.18	3 of 10 - Sale <sup>25</sup>
	3.000	137.10	10/20/20	130.50	...	6.60	4 of 10 - Sale <sup>25</sup>
	5.000	228.00	10/20/20	212.50	...	15.50	5 of 10 - Sale <sup>25</sup>
	1.000	45.70	10/21/20	42.05	...	3.65	6 of 10 - Sale <sup>25</sup>
	1.000	45.70	10/21/20	41.71	...	3.99	7 of 10 - Sale <sup>25</sup>
	1.000	45.70	10/21/20	41.50	...	4.20	8 of 10 - Sale <sup>25</sup>
	1.000	43.98	10/22/20	41.03	...	2.95	9 of 10 - Sale <sup>25</sup>
	1.000	45.70	10/22/20	41.03	...	4.67	10 of 10 - Sale <sup>25</sup>
11/13/20	20.000	911.78	Various	862.10	...	49.68	Total of 10 transactions

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	25.000	215.00	07/28/20	211.75	...	3.25	1 of 2 - Sale <sup>25</sup>
07/28/20	25.000	206.25	07/28/20	200.00	...	6.25	2 of 2 - Sale <sup>25</sup>
	50.000	421.25	Various	411.75	...	9.50	Total of 2 transactions
12 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	10.000	220.00	07/28/20	112.00	...	108.00	1 of 12 - Sale <sup>25</sup>
	9.000	243.00	07/29/20	243.00	...	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	41.00	07/29/20	38.14	...	2.86	3 of 12 - Sale <sup>25</sup>
	1.000	40.99	07/29/20	37.61	...	3.38	4 of 12 - Sale <sup>25</sup>
	1.000	44.00	07/29/20	38.97	...	5.03	5 of 12 - Sale <sup>25</sup>
	2.000	82.00	07/29/20	76.94	...	5.06	6 of 12 - Sale <sup>25</sup>
	9.000	359.10	07/29/20	350.72	...	8.38	7 of 12 - Sale <sup>25</sup>
	5.000	220.00	07/29/20	210.70	...	9.30	8 of 12 - Sale <sup>25</sup>
	1.000	27.00	07/29/20	17.47	...	9.53	9 of 12 - Sale <sup>25</sup>
	1.000	39.90	07/29/20	27.00	...	12.90	10 of 12 - Sale <sup>25</sup>
	10.000	409.99	07/29/20	392.00	...	17.99	11 of 12 - Sale <sup>25</sup>
07/29/20	9.000	198.00	07/29/20	157.23	...	40.77	12 of 12 - Sale <sup>25</sup>
	59.000	1,924.98	Various	1,701.78	...	223.20	Total of 12 transactions
8 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	36.50	07/29/20	33.17	...	3.33	1 of 8 - Sale <sup>25</sup>
	1.000	40.25	07/29/20	36.50	...	3.75	2 of 8 - Sale <sup>25</sup>
	2.000	80.50	07/29/20	74.22	...	6.28	3 of 8 - Sale <sup>25</sup>
	1.000	40.24	07/29/20	33.00	...	7.24	4 of 8 - Sale <sup>25</sup>
	10.000	402.49	07/29/20	390.00	...	12.49	5 of 8 - Sale <sup>25</sup>
	1.000	36.50	07/30/20	34.71	...	1.79	6 of 8 - Sale <sup>25</sup>
	10.000	290.00	07/30/20	285.00	...	5.00	7 of 8 - Sale <sup>25</sup>
	10.000	300.00	07/30/20	294.00	...	6.00	8 of 8 - Sale <sup>25</sup>
07/30/20	36.000	1,226.48	Various	1,180.60	...	45.88	Total of 8 transactions
11 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	10.00	09/16/20	9.32	...	0.68	1 of 11 - Sale <sup>25</sup>
	1.000	10.35	09/16/20	9.44	...	0.91	2 of 11 - Sale <sup>25</sup>
	2.000	19.98	09/16/20	18.64	...	1.34	3 of 11 - Sale <sup>25</sup>
	5.000	55.00	09/16/20	47.20	...	7.80	4 of 11 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	9.000	93.15	09/16/20	84.96	...	8.19	5 of 11 - Sale <sup>25</sup>
	5.000	55.00	09/16/20	46.50	...	8.50	6 of 11 - Sale <sup>25</sup>
	5.000	56.50	09/16/20	46.50	...	10.00	7 of 11 - Sale <sup>25</sup>
	10.000	105.00	09/16/20	94.40	...	10.60	8 of 11 - Sale <sup>25</sup>
	5.000	57.50	09/16/20	46.50	...	11.00	9 of 11 - Sale <sup>25</sup>
	5.000	58.75	09/16/20	46.50	...	12.25	10 of 11 - Sale <sup>25</sup>
	22.000	219.78	09/16/20	205.04	...	14.74	11 of 11 - Sale <sup>25</sup>
09/17/20	70.000	741.01	Various	655.00	...	86.01	Total of 11 transactions
12/07/20	5.000	58.60	09/16/20	46.50	...	12.10	Sale <sup>25</sup>
	8 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	10.20	12/10/20	10.18	...	0.02	1 of 8 - Sale <sup>25</sup>
	19.000	193.80	12/10/20	193.42	...	0.38	2 of 8 - Sale <sup>25</sup>
	10.000	102.50	12/10/20	101.80	...	0.70	3 of 8 - Sale <sup>25</sup>
	5.000	51.21	12/10/20	50.50	...	0.71	4 of 8 - Sale <sup>25</sup>
	5.000	51.50	12/10/20	50.50	...	1.00	5 of 8 - Sale <sup>25</sup>
	10.000	103.00	12/10/20	101.80	...	1.20	6 of 8 - Sale <sup>25</sup>
	10.000	103.00	12/10/20	101.80	...	1.20	7 of 8 - Sale <sup>25</sup>
	10.000	101.70	12/10/20	100.40	...	1.30	8 of 8 - Sale <sup>25</sup>
12/10/20	70.000	716.91	Various	710.40	...	6.51	Total of 8 transactions
	<b>Security total:</b>	<b>5,089.23</b>		<b>4,706.03</b>	...	<b>383.20</b>	
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
	2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	25.000	65.75	09/23/20	66.16	...	-0.41	1 of 2 - Sale <sup>25</sup>
	5.000	13.15	09/28/20	12.35	...	0.80	2 of 2 - Sale <sup>25</sup>
10/09/20	30.000	78.90	Various	78.51	...	0.39	Total of 2 transactions
11/18/20	1.000	7.05	11/17/20	6.98	...	0.07	Sale <sup>25</sup>
11/23/20	1.000	10.94	11/20/20	10.87	...	0.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>96.89</b>		<b>96.36</b>	...	<b>0.53</b>	

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXELIXIS, INC. COMMON STOCK / CUSIP: 30161Q104 / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	26.00	05/05/20	25.99	...	0.01	1 of 2 - Sale <sup>25</sup>
	3.000	78.00	05/05/20	77.97	...	0.03	2 of 2 - Sale <sup>25</sup>
05/08/20	4.000	104.00	Various	103.96	...	0.04	Total of 2 transactions
05/11/20	1.000	27.24	05/05/20	25.99	...	1.25	Sale <sup>25</sup>
06/22/20	5.000	121.61	06/01/20	121.20	...	0.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>252.85</b>		<b>251.15</b>	...	<b>1.70</b>	
FTS INTERNATIONAL, INC. COMMON STOCK / CUSIP: 30283W302 / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	12.84	11/20/20	13.02	...	-0.18	1 of 2 - Sale <sup>25</sup>
	9.000	116.55	11/20/20	114.12	...	2.43	2 of 2 - Sale <sup>25</sup>
11/20/20	10.000	129.39	Various	127.14	...	2.25	Total of 2 transactions
11/20/20	1.000	12.95	11/20/20	13.29	0.34 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>142.34</b>		<b>140.43</b>	<b>0.34 W</b>	<b>2.25</b>	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
05/20/20	1.000	226.54	03/03/20	186.30	...	40.24	Sale <sup>25</sup>
06/05/20	1.000	230.81	03/05/20	184.78	...	46.03	Sale <sup>25</sup>
07/01/20	1.000	238.30	03/06/20	179.65	...	58.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>695.65</b>		<b>550.73</b>	...	<b>144.92</b>	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
10/20/20	2.000	170.00	10/16/20	169.70	...	0.30	Sale <sup>25</sup>
11/17/20	3.000	234.42	10/16/20	254.55	...	-20.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>404.42</b>		<b>424.25</b>	...	<b>-19.83</b>	
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	125.22	03/03/20	133.00	7.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	125.22	03/03/20	133.08	7.86 W	0.00	2 of 2 - Sale <sup>25</sup>
03/30/20	2.000	250.44	Various	266.08	15.64 W	0.00	Total of 2 transactions
04/08/20	1.000	124.51	03/11/20	122.89	...	1.62	Sale <sup>25</sup>
05/27/20	1.000	130.57	03/12/20	108.52	...	22.05	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FEDEX CORPORATION / CUSIP: 31428X106 / Symbol: (cont'd)							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	131.17	03/31/20	120.37	...	10.80	1 of 2 - Sale <sup>25</sup>
	1.000	133.00	05/12/20	115.59	...	17.41	2 of 2 - Sale <sup>25</sup>
05/28/20	2.000	264.17	Various	235.96	...	28.21	Total of 2 transactions
06/02/20	1.000	134.00	05/13/20	108.69	...	25.31	Sale <sup>25</sup>
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	160.47	05/14/20	105.26	...	55.21	1 of 2 - Sale <sup>25</sup>
	1.000	160.47	06/30/20	153.20	...	7.27	2 of 2 - Sale <sup>25</sup>
07/15/20	2.000	320.94	Various	258.46	...	62.48	Total of 2 transactions
	<b>Security total:</b>	<b>1,224.63</b>		<b>1,100.60</b>	<b>15.64 W</b>	<b>139.67</b>	
FIRST SOLAR, INC. COMMON STOCK / CUSIP: 336433107 / Symbol:							
11/24/20	1.000	89.25	11/23/20	87.11	...	2.14	Sale <sup>25</sup>
FUEL TECH, INC. COMMON STOCK / CUSIP: 359523107 / Symbol:							
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	30.000	189.00	11/24/20	188.85	...	0.15	1 of 3 - Sale <sup>25</sup>
	20.000	128.80	11/24/20	125.90	...	2.90	2 of 3 - Sale <sup>25</sup>
	10.000	64.40	11/24/20	59.00	...	5.40	3 of 3 - Sale <sup>25</sup>
11/24/20	60.000	382.20	Various	373.75	...	8.45	Total of 3 transactions
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
11/18/20	1.000	20.68	11/17/20	20.28	...	0.40	Sale <sup>25</sup>
THE GAP, INC. / CUSIP: 364760108 / Symbol:							
07/01/20	1.000	13.23	06/26/20	13.15	...	0.08	Sale <sup>25</sup>
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	5.000	45.00	11/06/20	40.50	...	4.50	1 of 2 - Sale <sup>25</sup>
	5.000	45.15	11/06/20	40.50	...	4.65	2 of 2 - Sale <sup>25</sup>
11/10/20	10.000	90.15	Various	81.00	...	9.15	Total of 2 transactions

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/12/20	10.000	40.70	06/12/20	40.65	...	0.05	Sale <sup>25</sup>
07/02/20	10.000	32.10	06/23/20	30.26	...	1.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>72.80</b>		<b>70.91</b>	...	<b>1.89</b>	
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
4 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	2.000	157.50	04/17/20	167.88	...	-10.38	1 of 4 - Sale <sup>25</sup>
	1.000	78.75	07/09/20	74.46	...	4.29	2 of 4 - Sale <sup>25</sup>
	1.000	78.75	07/09/20	74.27	...	4.48	3 of 4 - Sale <sup>25</sup>
	1.000	78.75	07/13/20	76.69	...	2.06	4 of 4 - Sale <sup>25</sup>
07/20/20	5.000	393.75	Various	393.30	...	0.45	Total of 4 transactions
GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	79.00	12/09/20	65.00	...	14.00	1 of 3 - Sale <sup>25</sup>
	4.000	316.00	12/09/20	300.00	...	16.00	2 of 3 - Sale <sup>25</sup>
	10.000	909.77	12/09/20	710.10	...	199.67	3 of 3 - Sale <sup>25</sup>
12/09/20	15.000	1,304.77	Various	1,075.10	...	229.67	Total of 3 transactions
HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol:							
5 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	13.15	05/20/20	11.82	...	1.33	1 of 5 - Sale <sup>25</sup>
	9.000	118.35	05/21/20	106.90	...	11.45	2 of 5 - Sale <sup>25</sup>
	5.000	65.75	05/29/20	57.90	...	7.85	3 of 5 - Sale <sup>25</sup>
	2.000	26.30	06/17/20	26.10	...	0.20	4 of 5 - Sale <sup>25</sup>
	3.000	39.42	06/17/20	39.14	...	0.28	5 of 5 - Sale <sup>25</sup>
07/17/20	20.000	262.97	Various	241.86	...	21.11	Total of 5 transactions
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	5.000	71.68	07/17/20	65.90	...	5.78	1 of 3 - Sale <sup>25</sup>
	5.000	74.26	07/17/20	65.90	...	8.36	2 of 3 - Sale <sup>25</sup>
	5.000	71.67	07/21/20	71.79	...	-0.12	3 of 3 - Sale <sup>25</sup>
07/21/20	15.000	217.61	Various	203.59	...	14.02	Total of 3 transactions
	<b>Security total:</b>	<b>480.58</b>		<b>445.45</b>	...	<b>35.13</b>	

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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HANCOCK JAFFE LABORATORIES, IN C. COMMON STOCK / CUSIP: 41015N304 / Symbol:							
5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	17.00	12/11/20	15.86	...	1.14	1 of 5 - Sale <sup>25</sup>
	1.000	17.50	12/11/20	15.86	...	1.64	2 of 5 - Sale <sup>25</sup>
	4.000	70.00	12/11/20	63.44	...	6.56	3 of 5 - Sale <sup>25</sup>
	9.000	153.00	12/11/20	142.74	...	10.26	4 of 5 - Sale <sup>25</sup>
	10.000	175.00	12/11/20	158.60	...	16.40	5 of 5 - Sale <sup>25</sup>
12/11/20	25.000	432.50	Various	396.50	...	36.00	Total of 5 transactions
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	11.21	11/23/20	11.15	...	0.06	1 of 2 - Sale <sup>25</sup>
	9.000	100.89	11/23/20	99.45	...	1.44	2 of 2 - Sale <sup>25</sup>
11/24/20	10.000	112.10	Various	110.60	...	1.50	Total of 2 transactions
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
16 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	1.78	10/21/20	1.63	...	0.15	1 of 16 - Sale <sup>25</sup>
	1.000	1.78	10/21/20	1.62	...	0.16	2 of 16 - Sale <sup>25</sup>
	2.000	3.56	10/21/20	3.24	...	0.32	3 of 16 - Sale <sup>25</sup>
	3.000	5.34	10/21/20	4.85	...	0.49	4 of 16 - Sale <sup>25</sup>
	5.000	8.91	10/21/20	8.15	...	0.76	5 of 16 - Sale <sup>25</sup>
	100.000	178.15	10/21/20	167.50	...	10.65	6 of 16 - Sale <sup>25</sup>
	8.000	14.25	10/26/20	13.02	...	1.23	7 of 16 - Sale <sup>25</sup>
	10.000	17.82	10/26/20	16.28	...	1.54	8 of 16 - Sale <sup>25</sup>
	20.000	35.63	10/26/20	32.77	...	2.86	9 of 16 - Sale <sup>25</sup>
	5.000	8.91	10/27/20	8.05	...	0.86	10 of 16 - Sale <sup>25</sup>
	20.000	35.63	10/27/20	32.35	...	3.28	11 of 16 - Sale <sup>25</sup>
	1.000	1.78	10/28/20	1.78	...	0.00	12 of 16 - Sale <sup>25</sup>
	49.000	87.29	10/28/20	87.22	...	0.07	13 of 16 - Sale <sup>25</sup>
	25.000	44.54	10/28/20	39.46	...	5.08	14 of 16 - Sale <sup>25</sup>
	50.000	89.08	10/28/20	78.89	...	10.19	15 of 16 - Sale <sup>25</sup>
	100.000	178.16	10/28/20	157.69	...	20.47	16 of 16 - Sale <sup>25</sup>
10/29/20	400.000	712.61	Various	654.50	...	58.11	Total of 16 transactions

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	25.000	17.75	10/28/20	44.50	...	-26.75	1 of 2 - Sale <sup>25</sup>
	25.000	17.75	10/28/20	44.50	...	-26.75	2 of 2 - Sale <sup>25</sup>
10/30/20	50.000	35.50	Various	89.00	...	-53.50	Total of 2 transactions
	<b>Security total:</b>	<b>748.11</b>		<b>743.50</b>	...	<b>4.61</b>	
HILTON WORLDWIDE HOLDINGS INC. / CUSIP: 43300A203 / Symbol:							
05/18/20	1.000	73.74	05/01/20	71.97	...	1.77	Sale <sup>25</sup>
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	83.10	05/01/20	71.97	...	11.13	1 of 3 - Sale <sup>25</sup>
	2.000	166.20	06/10/20	167.61	...	-1.41	2 of 3 - Sale <sup>25</sup>
	2.000	167.00	06/10/20	167.61	...	-0.61	3 of 3 - Sale <sup>25</sup>
08/06/20	5.000	416.30	Various	407.19	...	9.11	Total of 3 transactions
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	4.000	334.00	06/10/20	335.21	...	-1.21	1 of 3 - Sale <sup>25</sup>
	2.000	167.50	06/10/20	167.60	...	-0.10	2 of 3 - Sale <sup>25</sup>
	1.000	83.75	06/12/20	80.92	...	2.83	3 of 3 - Sale <sup>25</sup>
08/07/20	7.000	585.25	Various	583.73	...	1.52	Total of 3 transactions
08/10/20	1.000	85.81	06/12/20	80.92	...	4.89	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,161.10</b>		<b>1,143.81</b>	...	<b>17.29</b>	
HONEYWELL INTERNATIONAL, INC. / CUSIP: 438516106 / Symbol:							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	152.00	04/16/20	130.00	...	22.00	1 of 3 - Sale <sup>25</sup>
	1.000	152.00	04/16/20	130.00	...	22.00	2 of 3 - Sale <sup>25</sup>
	1.000	152.85	04/30/20	141.15	...	11.70	3 of 3 - Sale <sup>25</sup>
06/03/20	3.000	456.85	Various	401.15	...	55.70	Total of 3 transactions
06/04/20	1.000	153.76	04/30/20	141.15	...	12.61	Sale <sup>25</sup>
06/05/20	1.000	162.33	04/30/20	141.84	...	20.49	Sale <sup>25</sup>
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	156.46	04/30/20	141.83	...	14.63	1 of 3 - Sale <sup>25</sup>
	1.000	156.58	05/12/20	129.71	...	26.87	2 of 3 - Sale <sup>25</sup>

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(continued)

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HONEYWELL INTERNATIONAL, INC. / CUSIP: 438516106 / Symbol: (cont'd)							
	1.000	156.92	05/12/20	127.50	...	29.42	3 of 3 - Sale <sup>25</sup>
07/21/20	3.000	469.96	Various	399.04	...	70.92	Total of 3 transactions
	2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	1.000	152.01	05/13/20	123.89	...	28.12	1 of 2 - Sale <sup>25</sup>
	1.000	152.01	05/13/20	122.91	...	29.10	2 of 2 - Sale <sup>25</sup>
07/28/20	2.000	304.02	Various	246.80	...	57.22	Total of 2 transactions
	<b>Security total:</b>	<b>1,546.92</b>		<b>1,329.98</b>	...	<b>216.94</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
11/24/20	25.000	83.25	11/23/20	47.75	...	35.50	Sale <sup>25</sup>
12/21/20	25.000	52.00	11/23/20	47.75	...	4.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>135.25</b>		<b>95.50</b>	...	<b>39.75</b>	
IMMUNOGEN, INC. COMMON STOCK / CUSIP: 45253H101 / Symbol:							
	2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	1.000	4.11	02/11/20	4.80	...	-0.69	1 of 2 - Sale <sup>25</sup>
	1.000	4.11	02/13/20	5.30	...	-1.19	2 of 2 - Sale <sup>25</sup>
05/06/20	2.000	8.22	Various	10.10	...	-1.88	Total of 2 transactions
IMMUNIC, INC. COMMON STOCK / CUSIP: 4525EP101 / Symbol:							
	8 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	2.000	34.90	08/26/20	36.21	...	-1.31	1 of 8 - Sale <sup>25</sup>
	1.000	17.45	08/26/20	18.10	...	-0.65	2 of 8 - Sale <sup>25</sup>
	1.000	17.45	08/26/20	18.03	...	-0.58	3 of 8 - Sale <sup>25</sup>
	1.000	17.45	08/26/20	18.02	...	-0.57	4 of 8 - Sale <sup>25</sup>
	2.000	34.92	08/27/20	34.00	...	0.92	5 of 8 - Sale <sup>25</sup>
	1.000	17.46	08/27/20	16.24	...	1.22	6 of 8 - Sale <sup>25</sup>
	1.000	17.46	08/31/20	16.41	...	1.05	7 of 8 - Sale <sup>25</sup>
	1.000	17.46	08/31/20	16.22	...	1.24	8 of 8 - Sale <sup>25</sup>
09/10/20	10.000	174.55	Various	173.23	...	1.32	Total of 8 transactions
	2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	1.000	17.44	08/26/20	18.18	0.74 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	156.96	08/26/20	164.29	7.33 W	0.00	2 of 2 - Sale <sup>25</sup>
09/10/20	10.000	174.40	Various	182.47	8.07 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IMMUNIC, INC. COMMON STOCK / CUSIP: 4525EP101 / Symbol: (cont'd)							
<b>Security total:</b>		<b>348.95</b>		<b>355.70</b>		<b>8.07 W</b>	<b>1.32</b>
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	5.000	125.00	06/24/20	122.35	...	2.65	1 of 2 - Sale <sup>25</sup>
	5.000	125.00	06/24/20	113.25	...	11.75	2 of 2 - Sale <sup>25</sup>
06/24/20	10.000	250.00	Various	235.60	...	14.40	Total of 2 transactions
18 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	5.000	137.50	06/24/20	127.40	...	10.10	1 of 18 - Sale <sup>25</sup>
	10.000	275.00	06/24/20	250.00	...	25.00	2 of 18 - Sale <sup>25</sup>
	2.000	60.00	06/25/20	59.36	...	0.64	3 of 18 - Sale <sup>25</sup>
	2.000	60.00	06/25/20	59.28	...	0.72	4 of 18 - Sale <sup>25</sup>
	2.000	60.00	06/25/20	58.15	...	1.85	5 of 18 - Sale <sup>25</sup>
	1.000	29.50	06/25/20	27.63	...	1.87	6 of 18 - Sale <sup>25</sup>
	2.000	60.00	06/25/20	58.01	...	1.99	7 of 18 - Sale <sup>25</sup>
	2.000	59.42	06/25/20	57.09	...	2.33	8 of 18 - Sale <sup>25</sup>
	1.000	29.71	06/25/20	27.24	...	2.47	9 of 18 - Sale <sup>25</sup>
	2.000	59.42	06/25/20	56.78	...	2.64	10 of 18 - Sale <sup>25</sup>
	1.000	30.00	06/25/20	27.28	...	2.72	11 of 18 - Sale <sup>25</sup>
	1.000	34.20	06/25/20	31.43	...	2.77	12 of 18 - Sale <sup>25</sup>
	3.000	97.23	06/25/20	94.28	...	2.95	13 of 18 - Sale <sup>25</sup>
	1.000	32.41	06/25/20	29.10	...	3.31	14 of 18 - Sale <sup>25</sup>
	4.000	118.00	06/25/20	113.95	...	4.05	15 of 18 - Sale <sup>25</sup>
	2.000	59.42	06/25/20	55.00	...	4.42	16 of 18 - Sale <sup>25</sup>
	8.000	273.60	06/25/20	267.20	...	6.40	17 of 18 - Sale <sup>25</sup>
	9.000	269.98	06/25/20	261.90	...	8.08	18 of 18 - Sale <sup>25</sup>
06/25/20	58.000	1,745.39	Various	1,661.08	...	84.31	Total of 18 transactions
06/25/20	1.000	29.71	06/25/20	29.75	0.04 W	0.00	Sale <sup>25</sup>
9 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	5.000	150.86	06/26/20	150.79	...	0.07	1 of 9 - Sale <sup>25</sup>
	1.000	30.17	06/26/20	30.02	...	0.15	2 of 9 - Sale <sup>25</sup>
	1.000	30.00	06/26/20	29.22	...	0.78	3 of 9 - Sale <sup>25</sup>
	1.000	32.00	06/26/20	30.81	...	1.19	4 of 9 - Sale <sup>25</sup>
	3.000	90.00	06/26/20	87.90	...	2.10	5 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	3.000	96.00	06/26/20	93.62	...	2.38	6 of 9 - Sale <sup>25</sup>
	3.000	90.51	06/26/20	88.07	...	2.44	7 of 9 - Sale <sup>25</sup>
	2.000	59.52	06/26/20	54.53	...	4.99	8 of 9 - Sale <sup>25</sup>
	5.000	149.99	06/26/20	144.78	...	5.21	9 of 9 - Sale <sup>25</sup>
06/26/20	24.000	729.05	Various	709.74	...	19.31	Total of 9 transactions
	10 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	29.76	06/25/20	33.40	3.64 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	33.00	06/26/20	34.84	1.84 W	0.00	2 of 10 - Sale <sup>25</sup>
	9.000	297.00	06/26/20	298.22	1.22 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	33.08	06/26/20	34.97	1.89 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	32.00	06/26/20	32.96	0.96 W	0.00	5 of 10 - Sale <sup>25</sup>
	5.000	150.00	06/26/20	156.02	6.02 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	30.00	06/26/20	31.07	1.07 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	30.00	06/26/20	31.36	1.36 W	0.00	8 of 10 - Sale <sup>25</sup>
	4.000	119.99	06/26/20	121.61	1.62 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	30.17	06/26/20	30.31	0.14 W	0.00	10 of 10 - Sale <sup>25</sup>
06/26/20	25.000	785.00	Various	804.76	19.76 W	0.00	Total of 10 transactions
	3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	31.15	06/26/20	30.53	...	0.62	1 of 3 - Sale <sup>25</sup>
	1.000	31.15	06/26/20	30.27	...	0.88	2 of 3 - Sale <sup>25</sup>
	3.000	93.45	06/26/20	90.38	...	3.07	3 of 3 - Sale <sup>25</sup>
06/29/20	5.000	155.75	Various	151.18	...	4.57	Total of 3 transactions
06/30/20	1.000	27.37	06/29/20	30.82	...	-3.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,722.27</b>		<b>3,622.93</b>	<b>19.80 W</b>	<b>119.14</b>	
IRONWOOD PHARMACEUTICALS, INC. CLASS A COMMON STOCK / CUSIP: 46333X108 / Symbol:							
12/08/20	1.000	11.94	12/08/20	11.82	...	0.12	Sale <sup>25</sup>
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol:							
	3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	50.000	69.99	06/11/20	154.50	...	-84.51	1 of 3 - Sale <sup>25</sup>
	25.000	35.00	06/11/20	75.38	...	-40.38	2 of 3 - Sale <sup>25</sup>
	25.000	35.00	06/11/20	72.13	...	-37.13	3 of 3 - Sale <sup>25</sup>
12/21/20	100.000	139.99	Various	302.01	...	-162.02	Total of 3 transactions

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol: (cont'd)							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	25.000	49.00	06/11/20	68.88	...	-19.88	1 of 2 - Sale <sup>25</sup>
	25.000	49.00	06/15/20	39.38	...	9.62	2 of 2 - Sale <sup>25</sup>
12/24/20	50.000	98.00	Various	108.26	...	-10.26	Total of 2 transactions
	<b>Security total:</b>	<b>237.99</b>		<b>410.27</b>	...	<b>-172.28</b>	
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
6 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	9.70	08/27/20	9.01	...	0.69	1 of 6 - Sale <sup>25</sup>
	1.000	9.70	08/27/20	8.97	...	0.73	2 of 6 - Sale <sup>25</sup>
	1.000	9.75	08/27/20	8.97	...	0.78	3 of 6 - Sale <sup>25</sup>
	1.000	9.74	08/27/20	8.96	...	0.78	4 of 6 - Sale <sup>25</sup>
	1.000	9.78	08/27/20	8.97	...	0.81	5 of 6 - Sale <sup>25</sup>
	4.000	38.70	08/27/20	37.60	...	1.10	6 of 6 - Sale <sup>25</sup>
08/28/20	9.000	87.37	Various	82.48	...	4.89	Total of 6 transactions
08/28/20	1.000	9.68	08/26/20	9.72	0.04 W	0.00	Sale <sup>25</sup>
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	32.00	11/20/20	24.86	...	7.14	1 of 2 - Sale <sup>25</sup>
	4.000	128.00	11/24/20	115.44	...	12.56	2 of 2 - Sale <sup>25</sup>
12/02/20	5.000	160.00	Various	140.30	...	19.70	Total of 2 transactions
12/23/20	1.000	48.04	12/18/20	41.39	...	6.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>305.09</b>		<b>273.89</b>	<b>0.04 W</b>	<b>31.24</b>	
JUST ENERGY GROUP INC. / CUSIP: 48213W101 / Symbol:							
09/21/20	1.000	0.30	09/17/20	0.30	...	0.00	Sale <sup>25</sup>
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol:							
10/09/20	1.000	6.40	10/08/20	6.30	...	0.10	Sale <sup>25</sup>
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
3 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	10.000	99.90	07/29/20	85.99	...	13.91	1 of 3 - Sale <sup>25</sup>
	19.000	256.50	07/29/20	239.02	...	17.48	2 of 3 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol: (cont'd)							
	5.000	67.50	07/29/20	48.00	...	19.50	3 of 3 - Sale <sup>25</sup>
07/29/20	34.000	423.90	Various	373.01	...	50.89	Total of 3 transactions
6 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	10.000	78.00	07/29/20	140.90	...	-62.90	1 of 6 - Sale <sup>25</sup>
	10.000	78.00	07/29/20	130.00	...	-52.00	2 of 6 - Sale <sup>25</sup>
	1.000	7.80	07/29/20	12.58	...	-4.78	3 of 6 - Sale <sup>25</sup>
	1.000	7.80	07/30/20	11.07	...	-3.27	4 of 6 - Sale <sup>25</sup>
	1.000	7.80	07/30/20	9.75	...	-1.95	5 of 6 - Sale <sup>25</sup>
	1.000	7.80	07/30/20	8.92	...	-1.12	6 of 6 - Sale <sup>25</sup>
07/31/20	24.000	187.20	Various	313.22	...	-126.02	Total of 6 transactions
<b>Security total:</b>		<b>611.10</b>		<b>686.23</b>	...	<b>-75.13</b>	
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	39.08	11/17/20	36.64	...	2.44	1 of 2 - Sale <sup>25</sup>
	1.000	39.08	11/17/20	34.99	...	4.09	2 of 2 - Sale <sup>25</sup>
11/20/20	2.000	78.16	Various	71.63	...	6.53	Total of 2 transactions
11/23/20	2.000	85.50	11/17/20	69.98	...	15.52	Sale <sup>25</sup>
<b>Security total:</b>		<b>163.66</b>		<b>141.61</b>	...	<b>22.05</b>	
LIMINAL BIOSCIENCES INC. COMMO N SHARES / CUSIP: 53272L103 / Symbol:							
07/30/20	10.000	240.00	07/30/20	230.00	...	10.00	Sale <sup>25</sup>
LOCKHEED MARTIN CORP. / CUSIP: 539830109 / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	386.18	05/06/20	379.81	...	6.37	1 of 2 - Sale <sup>25</sup>
	1.000	386.19	05/06/20	379.81	...	6.38	2 of 2 - Sale <sup>25</sup>
05/27/20	2.000	772.37	Various	759.62	...	12.75	Total of 2 transactions
LONESTAR RESOURCES US INC. CLA SS A COMMON STOCK / CUSIP: 54240F103 / Symbol:							
10/02/20	1.000	0.45	09/29/20	0.31	...	0.14	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
4 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	3.000	75.30	08/28/20	56.19	...	19.11	1 of 4 - Sale <sup>25</sup>
	3.000	75.30	08/28/20	56.19	...	19.11	2 of 4 - Sale <sup>25</sup>
	4.000	100.40	08/28/20	74.92	...	25.48	3 of 4 - Sale <sup>25</sup>
	1.000	25.10	08/31/20	16.49	...	8.61	4 of 4 - Sale <sup>25</sup>
11/17/20	11.000	276.10	Various	203.79	...	72.31	Total of 4 transactions
11/17/20	1.000	25.10	09/22/20	27.00	1.90 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>301.20</b>		<b>230.79</b>	<b>1.90 W</b>	<b>72.31</b>	
LYFT, INC. CLASS A COMMON STOCK / CUSIP: 55087P104 / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	35.14	03/05/20	36.97	...	-1.83	1 of 2 - Sale <sup>25</sup>
	1.000	35.44	03/05/20	36.97	...	-1.53	2 of 2 - Sale <sup>25</sup>
06/03/20	2.000	70.58	Various	73.94	...	-3.36	Total of 2 transactions
06/03/20	2.000	69.48	03/05/20	73.94	4.46 W	0.00	Sale <sup>25</sup>
06/04/20	1.000	35.91	03/05/20	36.97	...	-1.06	Sale <sup>25</sup>
06/05/20	1.000	38.93	03/11/20	30.01	...	8.92	Sale <sup>25</sup>
4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	30.50	03/18/20	16.82	...	13.68	1 of 4 - Sale <sup>25</sup>
	1.000	30.50	04/16/20	27.48	...	3.02	2 of 4 - Sale <sup>25</sup>
	1.000	30.50	05/05/20	29.24	...	1.26	3 of 4 - Sale <sup>25</sup>
	1.000	30.50	07/09/20	29.91	...	0.59	4 of 4 - Sale <sup>25</sup>
08/04/20	4.000	122.00	Various	103.45	...	18.55	Total of 4 transactions
08/04/20	1.000	30.50	05/12/20	31.83	1.33 W	0.00	Sale <sup>25</sup>
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	31.97	07/10/20	31.48	...	0.49	1 of 3 - Sale <sup>25</sup>
	1.000	32.50	07/10/20	30.15	...	2.35	2 of 3 - Sale <sup>25</sup>
	1.000	32.60	07/10/20	30.15	...	2.45	3 of 3 - Sale <sup>25</sup>
08/06/20	3.000	97.07	Various	91.78	...	5.29	Total of 3 transactions
5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	3.000	96.00	07/10/20	90.45	...	5.55	1 of 5 - Sale <sup>25</sup>
	1.000	32.00	07/31/20	28.90	...	3.10	2 of 5 - Sale <sup>25</sup>
	1.000	32.00	07/31/20	28.88	...	3.12	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol: (cont'd)							
	10.000	301.50	08/07/20	297.90	...	3.60	4 of 5 - Sale <sup>25</sup>
	5.000	160.00	08/07/20	148.95	...	11.05	5 of 5 - Sale <sup>25</sup>
08/12/20	20.000	621.50	Various	595.08	...	26.42	Total of 5 transactions
	<b>Security total:</b>	<b>1,085.97</b>		<b>1,037.00</b>	<b>5.79 W</b>	<b>54.76</b>	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	42.98	05/28/20	34.48	...	8.50	1 of 3 - Sale <sup>25</sup>
	3.000	64.47	06/04/20	64.26	...	0.21	2 of 3 - Sale <sup>25</sup>
	5.000	107.45	06/10/20	104.05	...	3.40	3 of 3 - Sale <sup>25</sup>
08/10/20	10.000	214.90	Various	202.79	...	12.11	Total of 3 transactions
13 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	2.000	42.76	06/10/20	41.62	...	1.14	1 of 13 - Sale <sup>25</sup>
	3.000	64.35	06/10/20	62.43	...	1.92	2 of 13 - Sale <sup>25</sup>
	5.000	107.05	06/10/20	104.05	...	3.00	3 of 13 - Sale <sup>25</sup>
	5.000	107.25	06/10/20	104.05	...	3.20	4 of 13 - Sale <sup>25</sup>
	10.000	213.60	06/10/20	208.10	...	5.50	5 of 13 - Sale <sup>25</sup>
	20.000	426.80	06/10/20	416.20	...	10.60	6 of 13 - Sale <sup>25</sup>
	5.000	107.25	06/12/20	97.59	...	9.66	7 of 13 - Sale <sup>25</sup>
	1.000	21.50	07/17/20	16.71	...	4.79	8 of 13 - Sale <sup>25</sup>
	1.000	21.55	07/17/20	16.70	...	4.85	9 of 13 - Sale <sup>25</sup>
	2.000	42.90	07/17/20	33.42	...	9.48	10 of 13 - Sale <sup>25</sup>
	2.000	43.00	07/17/20	33.41	...	9.59	11 of 13 - Sale <sup>25</sup>
	4.000	86.00	07/17/20	66.78	...	19.22	12 of 13 - Sale <sup>25</sup>
	1.000	21.55	07/27/20	15.00	...	6.55	13 of 13 - Sale <sup>25</sup>
08/17/20	61.000	1,305.56	Various	1,216.06	...	89.50	Total of 13 transactions
08/24/20	1.000	21.95	07/27/20	14.99	...	6.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,542.41</b>		<b>1,433.84</b>	...	<b>108.57</b>	
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
12/01/20	1.000	6.12	11/30/20	6.07	...	0.05	Sale <sup>25</sup>
MERCK & CO., INC. / CUSIP: 58933Y105 / Symbol:							
05/20/20	2.000	156.00	05/06/20	154.94	...	1.06	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	185.49	02/11/20	187.06	...	-1.57	1 of 4 - Sale <sup>25</sup>
	1.000	185.49	02/11/20	184.28	...	1.21	2 of 4 - Sale <sup>25</sup>
	1.000	186.31	02/21/20	180.29	...	6.02	3 of 4 - Sale <sup>25</sup>
	1.000	187.21	02/21/20	178.22	...	8.99	4 of 4 - Sale <sup>25</sup>
06/05/20	4.000	744.50	Various	729.85	...	14.65	Total of 4 transactions
6 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	187.82	02/25/20	170.68	...	17.14	1 of 6 - Sale <sup>25</sup>
	1.000	188.15	02/25/20	168.78	...	19.37	2 of 6 - Sale <sup>25</sup>
	1.000	188.16	02/25/20	168.15	...	20.01	3 of 6 - Sale <sup>25</sup>
	1.000	188.15	02/26/20	169.00	...	19.15	4 of 6 - Sale <sup>25</sup>
	1.000	188.15	02/26/20	166.98	...	21.17	5 of 6 - Sale <sup>25</sup>
	1.000	188.15	02/27/20	165.41	...	22.74	6 of 6 - Sale <sup>25</sup>
06/08/20	6.000	1,128.58	Various	1,009.00	...	119.58	Total of 6 transactions
06/09/20	1.000	190.45	02/27/20	165.00	...	25.45	Sale <sup>25</sup>
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	199.53	02/27/20	163.00	...	36.53	1 of 3 - Sale <sup>25</sup>
	1.000	200.00	02/27/20	162.00	...	38.00	2 of 3 - Sale <sup>25</sup>
	1.000	200.00	02/27/20	161.00	...	39.00	3 of 3 - Sale <sup>25</sup>
06/22/20	3.000	599.53	Various	486.00	...	113.53	Total of 3 transactions
5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	201.20	02/27/20	160.00	...	41.20	1 of 5 - Sale <sup>25</sup>
	1.000	202.00	02/28/20	153.06	...	48.94	2 of 5 - Sale <sup>25</sup>
	1.000	201.91	03/11/20	153.15	...	48.76	3 of 5 - Sale <sup>25</sup>
	1.000	202.14	03/12/20	143.75	...	58.39	4 of 5 - Sale <sup>25</sup>
	1.000	202.07	03/12/20	138.95	...	63.12	5 of 5 - Sale <sup>25</sup>
06/30/20	5.000	1,009.32	Various	748.91	...	260.41	Total of 5 transactions
07/06/20	1.000	210.00	03/16/20	137.66	...	72.34	Sale <sup>25</sup>
08/06/20	1.000	215.44	08/03/20	211.09	...	4.35	Sale <sup>25</sup>
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	206.34	09/18/20	197.14	...	9.20	1 of 2 - Sale <sup>25</sup>
	1.000	206.42	09/18/20	197.14	...	9.28	2 of 2 - Sale <sup>25</sup>
09/25/20	2.000	412.76	Various	394.28	...	18.48	Total of 2 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>4,510.58</b>		<b>3,881.79</b>	...	<b>628.79</b>	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
07/17/20	10.000	30.95	07/17/20	32.70	...	-1.75	Sale <sup>25</sup>
MICROCHIP TECHNOLOGY INCORPORATED COMMON STOCK / CUSIP: 595017104 / Symbol:							
05/07/20	1.000	86.57	05/01/20	81.64	...	4.93	Sale <sup>25</sup>
05/28/20	1.000	98.44	05/01/20	81.64	...	16.80	Sale <sup>25</sup>
06/03/20	1.000	108.36	05/21/20	87.79	...	20.57	Sale <sup>25</sup>
08/10/20	1.000	100.20	05/21/20	87.78	...	12.42	Sale <sup>25</sup>
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	101.59	08/04/20	99.75	...	1.84	1 of 2 - Sale <sup>25</sup>
	1.000	101.55	08/06/20	99.15	...	2.40	2 of 2 - Sale <sup>25</sup>
08/11/20	2.000	203.14	Various	198.90	...	4.24	Total of 2 transactions
<b>Security total:</b>		<b>596.71</b>		<b>537.75</b>	...	<b>58.96</b>	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
06/03/20	5.000	245.00	03/16/20	172.64	...	72.36	Sale <sup>25</sup>
06/04/20	1.000	51.44	03/18/20	34.16	...	17.28	Sale <sup>25</sup>
06/05/20	1.000	54.03	03/18/20	34.16	...	19.87	Sale <sup>25</sup>
4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	53.50	03/18/20	33.16	...	20.34	1 of 4 - Sale <sup>25</sup>
	2.000	107.00	04/17/20	89.92	...	17.08	2 of 4 - Sale <sup>25</sup>
	1.000	53.50	04/20/20	43.44	...	10.06	3 of 4 - Sale <sup>25</sup>
	1.000	54.00	04/20/20	43.43	...	10.57	4 of 4 - Sale <sup>25</sup>
07/23/20	5.000	268.00	Various	209.95	...	58.05	Total of 4 transactions
11 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	47.67	08/11/20	48.92	...	-1.25	1 of 11 - Sale <sup>25</sup>
	1.000	47.67	08/11/20	48.71	...	-1.04	2 of 11 - Sale <sup>25</sup>
	1.000	47.67	08/11/20	48.68	...	-1.01	3 of 11 - Sale <sup>25</sup>
	1.000	47.68	08/11/20	48.68	...	-1.00	4 of 11 - Sale <sup>25</sup>
	1.000	47.67	08/13/20	47.13	...	0.54	5 of 11 - Sale <sup>25</sup>
	1.000	47.76	08/13/20	46.70	...	1.06	6 of 11 - Sale <sup>25</sup>
	1.000	47.76	08/18/20	45.08	...	2.68	7 of 11 - Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol: (cont'd)							
	2.000	95.52	08/18/20	90.26	...	5.26	8 of 11 - Sale <sup>25</sup>
	2.000	95.53	08/18/20	89.92	...	5.61	9 of 11 - Sale <sup>25</sup>
	3.000	143.29	08/18/20	134.93	...	8.36	10 of 11 - Sale <sup>25</sup>
	1.000	47.76	08/21/20	43.85	...	3.91	11 of 11 - Sale <sup>25</sup>
09/02/20	15.000	715.98	Various	692.86	...	23.12	Total of 11 transactions
	5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	5.000	238.79	08/06/20	242.74	3.95 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	238.80	08/07/20	242.30	3.50 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	95.52	08/07/20	96.68	1.16 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	143.27	08/10/20	145.07	1.80 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	47.69	08/11/20	48.92	1.23 W	0.00	5 of 5 - Sale <sup>25</sup>
09/02/20	16.000	764.07	Various	775.71	11.64 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>2,098.52</b>		<b>1,919.48</b>	<b>11.64 W</b>	<b>190.68</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
	3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1.000	72.65	05/20/20	70.74	...	1.91	1 of 3 - Sale <sup>25</sup>
	2.000	143.62	05/20/20	141.48	...	2.14	2 of 3 - Sale <sup>25</sup>
	2.000	145.00	05/20/20	141.48	...	3.52	3 of 3 - Sale <sup>25</sup>
05/20/20	5.000	361.27	Various	353.70	...	7.57	Total of 3 transactions
06/15/20	2.000	130.66	06/11/20	126.64	...	4.02	Sale <sup>25</sup>
07/15/20	2.000	163.06	07/15/20	170.06	7.00 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	2.000	139.10	08/04/20	162.63	23.53 W	0.00	1 of 2 - Sale <sup>25</sup>
	6.000	417.28	08/04/20	466.91	49.63 W	0.00	2 of 2 - Sale <sup>25</sup>
09/25/20	8.000	556.38	Various	629.54	73.16 W	0.00	Total of 2 transactions
	8 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	2.000	141.00	08/04/20	155.63	...	-14.63	1 of 8 - Sale <sup>25</sup>
	2.000	141.00	08/04/20	154.24	...	-13.24	2 of 8 - Sale <sup>25</sup>
	1.000	70.50	08/04/20	77.37	...	-6.87	3 of 8 - Sale <sup>25</sup>
	1.000	70.50	08/05/20	74.32	...	-3.82	4 of 8 - Sale <sup>25</sup>
	1.000	70.50	08/05/20	74.32	...	-3.82	5 of 8 - Sale <sup>25</sup>
	1.000	70.50	08/06/20	74.85	...	-4.35	6 of 8 - Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	1.000	70.50	08/06/20	74.19	...	-3.69	7 of 8 - Sale <sup>25</sup>
	1.000	70.50	08/06/20	73.32	...	-2.82	8 of 8 - Sale <sup>25</sup>
09/28/20	10.000	705.00	Various	758.24	...	-53.24	Total of 8 transactions
	8 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	2.000	142.00	08/06/20	146.75	...	-4.75	1 of 8 - Sale <sup>25</sup>
	2.000	142.00	08/07/20	147.00	...	-5.00	2 of 8 - Sale <sup>25</sup>
	1.000	71.00	08/07/20	74.00	...	-3.00	3 of 8 - Sale <sup>25</sup>
	1.000	70.52	08/10/20	73.19	...	-2.67	4 of 8 - Sale <sup>25</sup>
	1.000	70.52	08/10/20	72.00	...	-1.48	5 of 8 - Sale <sup>25</sup>
	1.000	70.52	08/11/20	68.60	...	1.92	6 of 8 - Sale <sup>25</sup>
	1.000	70.52	08/13/20	67.54	...	2.98	7 of 8 - Sale <sup>25</sup>
	1.000	70.52	08/18/20	66.06	...	4.46	8 of 8 - Sale <sup>25</sup>
09/29/20	10.000	707.60	Various	715.14	...	-7.54	Total of 8 transactions
	5 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	74.27	08/21/20	65.98	...	8.29	1 of 5 - Sale <sup>25</sup>
	1.000	74.27	08/24/20	64.92	...	9.35	2 of 5 - Sale <sup>25</sup>
	1.000	73.83	08/31/20	74.77	...	-0.94	3 of 5 - Sale <sup>25</sup>
	1.000	73.82	09/02/20	73.74	...	0.08	4 of 5 - Sale <sup>25</sup>
	1.000	72.23	09/02/20	70.27	...	1.96	5 of 5 - Sale <sup>25</sup>
09/30/20	5.000	368.42	Various	349.68	...	18.74	Total of 5 transactions
	5 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	71.50	09/02/20	70.27	...	1.23	1 of 5 - Sale <sup>25</sup>
	1.000	71.75	09/02/20	70.22	...	1.53	2 of 5 - Sale <sup>25</sup>
	1.000	72.00	09/02/20	70.19	...	1.81	3 of 5 - Sale <sup>25</sup>
	1.000	72.00	09/04/20	69.84	...	2.16	4 of 5 - Sale <sup>25</sup>
	1.000	71.76	09/08/20	63.32	...	8.44	5 of 5 - Sale <sup>25</sup>
10/05/20	5.000	359.01	Various	343.84	...	15.17	Total of 5 transactions
	<b>Security total:</b>	<b>3,351.40</b>		<b>3,446.84</b>	<b>80.16 W</b>	<b>-15.28</b>	

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Account 780335873

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MURPHY OIL CORP. / CUSIP: 626717102 / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	14.15	05/20/20	12.52	...	1.63	1 of 2 - Sale <sup>25</sup>
	9.000	127.35	05/21/20	112.05	...	15.30	2 of 2 - Sale <sup>25</sup>
07/16/20	10.000	141.50	Various	124.57	...	16.93	Total of 2 transactions
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	5.000	71.46	05/29/20	59.08	...	12.38	1 of 2 - Sale <sup>25</sup>
	5.000	71.65	06/17/20	73.30	...	-1.65	2 of 2 - Sale <sup>25</sup>
07/21/20	10.000	143.11	Various	132.38	...	10.73	Total of 2 transactions
	<b>Security total:</b>	<b>284.61</b>		<b>256.95</b>	...	<b>27.66</b>	
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	12.42	07/02/20	9.20	...	3.22	1 of 2 - Sale <sup>25</sup>
	49.000	608.57	07/02/20	456.55	...	152.02	2 of 2 - Sale <sup>25</sup>
07/08/20	50.000	620.99	Various	465.75	...	155.24	Total of 2 transactions
6 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	13.42	07/02/20	9.32	...	4.10	1 of 6 - Sale <sup>25</sup>
	1.000	13.90	07/08/20	12.48	...	1.42	2 of 6 - Sale <sup>25</sup>
	49.000	657.56	07/08/20	611.29	...	46.27	3 of 6 - Sale <sup>25</sup>
	1.000	14.18	07/09/20	13.41	...	0.77	4 of 6 - Sale <sup>25</sup>
	4.000	60.24	07/09/20	58.27	...	1.97	5 of 6 - Sale <sup>25</sup>
	24.000	333.67	07/09/20	321.84	...	11.83	6 of 6 - Sale <sup>25</sup>
07/09/20	80.000	1,092.97	Various	1,026.61	...	66.36	Total of 6 transactions
5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	16.07	07/09/20	14.57	...	1.50	1 of 5 - Sale <sup>25</sup>
	5.000	80.35	07/10/20	74.65	...	5.70	2 of 5 - Sale <sup>25</sup>
	4.000	64.28	07/10/20	58.28	...	6.00	3 of 5 - Sale <sup>25</sup>
	5.000	80.35	07/10/20	73.34	...	7.01	4 of 5 - Sale <sup>25</sup>
	10.000	160.70	07/10/20	150.98	...	9.72	5 of 5 - Sale <sup>25</sup>
07/13/20	25.000	401.75	Various	371.82	...	29.93	Total of 5 transactions
08/04/20	5.000	72.50	07/13/20	69.04	...	3.46	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
<i>4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	72.05	07/13/20	79.64	7.59 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	72.50	07/13/20	86.43	13.93 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	72.50	07/13/20	78.25	5.75 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	72.50	07/13/20	76.09	3.59 W	0.00	4 of 4 - Sale <sup>25</sup>
08/04/20	20.000	289.55	Various	320.41	30.86 W	0.00	Total of 4 transactions
<i>8 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	69.45	07/16/20	69.05	...	0.40	1 of 8 - Sale <sup>25</sup>
	1.000	13.89	07/17/20	12.98	...	0.91	2 of 8 - Sale <sup>25</sup>
	1.000	14.00	07/17/20	10.64	...	3.36	3 of 8 - Sale <sup>25</sup>
	4.000	56.00	07/17/20	50.65	...	5.35	4 of 8 - Sale <sup>25</sup>
	5.000	69.45	07/17/20	62.34	...	7.11	5 of 8 - Sale <sup>25</sup>
	4.000	55.56	07/17/20	43.78	...	11.78	6 of 8 - Sale <sup>25</sup>
	10.000	140.00	07/27/20	117.07	...	22.93	7 of 8 - Sale <sup>25</sup>
	10.000	140.00	07/31/20	118.77	...	21.23	8 of 8 - Sale <sup>25</sup>
08/05/20	40.000	558.35	Various	485.28	...	73.07	Total of 8 transactions
<i>2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	13.89	07/14/20	15.92	2.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	55.56	07/14/20	63.66	8.10 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	5.000	69.45	Various	79.58	10.13 W	0.00	Total of 2 transactions
08/10/20	1.000	14.09	07/31/20	11.81	...	2.28	Sale <sup>25</sup>
<i>3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	13.69	07/31/20	11.81	...	1.88	1 of 3 - Sale <sup>25</sup>
	3.000	40.75	07/31/20	35.43	...	5.32	2 of 3 - Sale <sup>25</sup>
	5.000	67.55	07/31/20	59.05	...	8.50	3 of 3 - Sale <sup>25</sup>
08/17/20	9.000	121.99	Various	106.29	...	15.70	Total of 3 transactions
<i>2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	30.00	08/20/20	27.42	...	2.58	1 of 2 - Sale <sup>25</sup>
	3.000	45.00	08/20/20	41.13	...	3.87	2 of 2 - Sale <sup>25</sup>
08/24/20	5.000	75.00	Various	68.55	...	6.45	Total of 2 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	16.57	08/20/20	13.71	...	2.86	1 of 2 - Sale <sup>25</sup>
	3.000	49.17	08/20/20	41.13	...	8.04	2 of 2 - Sale <sup>25</sup>
08/25/20	4.000	65.74	Various	54.84	...	10.90	Total of 2 transactions
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	19.65	08/20/20	13.71	...	5.94	1 of 2 - Sale <sup>25</sup>
	1.000	19.35	08/26/20	19.09	...	0.26	2 of 2 - Sale <sup>25</sup>
08/26/20	2.000	39.00	Various	32.80	...	6.20	Total of 2 transactions
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	5.000	178.60	10/15/20	140.25	...	38.35	1 of 2 - Sale <sup>25</sup>
	10.000	357.10	10/15/20	280.00	...	77.10	2 of 2 - Sale <sup>25</sup>
11/03/20	15.000	535.70	Various	420.25	...	115.45	Total of 2 transactions
4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	39.50	10/15/20	28.05	...	11.45	1 of 4 - Sale <sup>25</sup>
	4.000	158.00	10/15/20	112.20	...	45.80	2 of 4 - Sale <sup>25</sup>
	5.000	200.00	10/19/20	138.55	...	61.45	3 of 4 - Sale <sup>25</sup>
	5.000	205.00	10/19/20	138.10	...	66.90	4 of 4 - Sale <sup>25</sup>
11/05/20	15.000	602.50	Various	416.90	...	185.60	Total of 4 transactions
4 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	48.00	11/04/20	36.25	...	11.75	1 of 4 - Sale <sup>25</sup>
	1.000	48.00	11/04/20	36.00	...	12.00	2 of 4 - Sale <sup>25</sup>
	2.000	94.00	11/04/20	72.50	...	21.50	3 of 4 - Sale <sup>25</sup>
	2.000	95.00	11/04/20	72.50	...	22.50	4 of 4 - Sale <sup>25</sup>
11/12/20	6.000	285.00	Various	217.25	...	67.75	Total of 4 transactions
11/13/20	1.000	54.00	11/04/20	36.00	...	18.00	Sale <sup>25</sup>
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	45.02	11/04/20	36.00	...	9.02	1 of 3 - Sale <sup>25</sup>
	1.000	48.00	11/04/20	36.00	...	12.00	2 of 3 - Sale <sup>25</sup>
	1.000	48.50	11/04/20	36.00	...	12.50	3 of 3 - Sale <sup>25</sup>
11/17/20	3.000	141.52	Various	108.00	...	33.52	Total of 3 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	4.000	180.08	11/17/20	185.04	4.96 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	180.08	11/17/20	182.00	1.92 W	0.00	2 of 2 - Sale <sup>25</sup>
11/17/20	8.000	360.16	Various	367.04	6.88 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,400.26</b>		<b>4,658.22</b>	<b>47.87 W</b>	<b>789.91</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
05/06/20	1.000	437.72	04/22/20	432.72	...	5.00	Sale <sup>25</sup>
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	532.12	07/15/20	528.23	...	3.89	1 of 3 - Sale <sup>25</sup>
	1.000	532.12	07/15/20	525.87	...	6.25	2 of 3 - Sale <sup>25</sup>
	1.000	531.98	07/16/20	527.00	...	4.98	3 of 3 - Sale <sup>25</sup>
07/16/20	3.000	1,596.22	Various	1,581.10	...	15.12	Total of 3 transactions
07/16/20	2.000	1,051.36	07/16/20	1,052.00	0.32 W	-0.32	Sale <sup>25</sup>
07/27/20	1.000	497.00	07/16/20	477.12	...	19.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,582.30</b>		<b>3,542.94</b>	<b>0.32 W</b>	<b>39.68</b>	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
06/08/20	5.000	335.00	06/08/20	324.30	...	10.70	Sale <sup>25</sup>
6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	80.50	06/08/20	70.89	...	9.61	1 of 6 - Sale <sup>25</sup>
	5.000	401.85	06/08/20	354.45	...	47.40	2 of 6 - Sale <sup>25</sup>
	5.000	416.24	06/08/20	354.45	...	61.79	3 of 6 - Sale <sup>25</sup>
	9.000	724.48	06/08/20	638.01	...	86.47	4 of 6 - Sale <sup>25</sup>
	20.000	1,499.96	06/08/20	1,409.40	...	90.56	5 of 6 - Sale <sup>25</sup>
	5.000	416.24	06/09/20	334.25	...	81.99	6 of 6 - Sale <sup>25</sup>
06/09/20	45.000	3,539.27	Various	3,161.45	...	377.82	Total of 6 transactions
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	76.00	06/09/20	62.00	...	14.00	1 of 4 - Sale <sup>25</sup>
	10.000	759.98	06/09/20	734.99	...	24.99	2 of 4 - Sale <sup>25</sup>
	5.000	379.99	06/09/20	334.25	...	45.74	3 of 4 - Sale <sup>25</sup>
	4.000	303.99	06/09/20	248.00	...	55.99	4 of 4 - Sale <sup>25</sup>
06/10/20	20.000	1,519.96	Various	1,379.24	...	140.72	Total of 4 transactions

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
06/10/20	19.000	1,443.97	06/09/20	1,596.00	152.03 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	72.00	06/09/20	84.00	12.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	288.00	06/10/20	312.01	24.01 W	0.00	2 of 2 - Sale <sup>25</sup>
06/16/20	5.000	360.00	Various	396.01	36.01 W	0.00	Total of 2 transactions
06/19/20	4.000	277.76	06/10/20	312.01	34.25 W	0.00	Sale <sup>25</sup>
06/22/20	1.000	67.50	06/11/20	67.08	...	0.42	Sale <sup>25</sup>
	6 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	2.000	135.00	06/10/20	156.00	21.00 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	67.50	06/16/20	70.30	2.80 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	67.50	06/17/20	71.79	4.29 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	135.45	06/19/20	148.48	13.03 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	67.58	06/19/20	74.24	6.66 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	136.00	06/19/20	148.47	12.47 W	0.00	6 of 6 - Sale <sup>25</sup>
06/22/20	9.000	609.03	Various	669.28	60.25 W	0.00	Total of 6 transactions
	5 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	1.000	73.00	06/23/20	84.16	11.16 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	219.00	06/23/20	234.49	15.49 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	73.00	06/23/20	80.16	7.16 W	0.00	3 of 5 - Sale <sup>25</sup>
	4.000	300.00	06/23/20	331.33	31.33 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	75.00	06/23/20	80.27	5.27 W	0.00	5 of 5 - Sale <sup>25</sup>
06/23/20	10.000	740.00	Various	810.41	70.41 W	0.00	Total of 5 transactions
	6 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.000	35.43	07/23/20	34.24	...	1.19	1 of 6 - Sale <sup>25</sup>
	1.000	35.42	07/23/20	34.07	...	1.35	2 of 6 - Sale <sup>25</sup>
	2.000	70.85	07/23/20	67.98	...	2.87	3 of 6 - Sale <sup>25</sup>
	1.000	35.44	07/24/20	30.40	...	5.04	4 of 6 - Sale <sup>25</sup>
	1.000	35.44	07/24/20	29.65	...	5.79	5 of 6 - Sale <sup>25</sup>
	1.000	35.44	07/30/20	29.37	...	6.07	6 of 6 - Sale <sup>25</sup>
09/11/20	7.000	248.02	Various	225.71	...	22.31	Total of 6 transactions
	33 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	2.000	70.86	06/23/20	170.52	99.66 W	0.00	1 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/23/20	77.56	42.13 W	0.00	2 of 33 - Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	35.43	06/23/20	79.05	43.62 W	0.00	3 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/23/20	81.28	45.85 W	0.00	4 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/24/20	76.42	40.99 W	0.00	5 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/25/20	78.96	43.53 W	0.00	6 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/25/20	76.86	41.43 W	0.00	7 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/25/20	77.71	42.28 W	0.00	8 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/26/20	78.19	42.76 W	0.00	9 of 33 - Sale <sup>25</sup>
	2.000	70.86	06/29/20	150.85	79.99 W	0.00	10 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/29/20	73.29	37.86 W	0.00	11 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/29/20	75.28	39.85 W	0.00	12 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/29/20	75.86	40.43 W	0.00	13 of 33 - Sale <sup>25</sup>
	1.000	35.43	06/30/20	72.75	37.32 W	0.00	14 of 33 - Sale <sup>25</sup>
	2.000	70.86	07/01/20	146.99	76.13 W	0.00	15 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/02/20	68.25	32.82 W	0.00	16 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/02/20	62.98	27.55 W	0.00	17 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/07/20	43.18	7.75 W	0.00	18 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/07/20	42.91	7.48 W	0.00	19 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/08/20	53.00	17.57 W	0.00	20 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/14/20	51.89	16.46 W	0.00	21 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/17/20	51.64	16.21 W	0.00	22 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/17/20	49.62	14.19 W	0.00	23 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/17/20	48.99	13.56 W	0.00	24 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/17/20	44.00	8.57 W	0.00	25 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/17/20	39.00	3.57 W	0.00	26 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/20/20	39.43	4.00 W	0.00	27 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/20/20	38.71	3.28 W	0.00	28 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/22/20	38.67	3.24 W	0.00	29 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/22/20	38.09	2.66 W	0.00	30 of 33 - Sale <sup>25</sup>
	1.000	35.42	07/22/20	37.31	1.89 W	0.00	31 of 33 - Sale <sup>25</sup>
	1.000	35.43	07/22/20	36.89	1.46 W	0.00	32 of 33 - Sale <sup>25</sup>
	1.000	35.42	07/22/20	36.38	0.96 W	0.00	33 of 33 - Sale <sup>25</sup>
09/11/20	36.000	1,275.46	Various	2,212.51	937.05 W	0.00	Total of 33 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
34 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	2.000	65.14	09/11/20	162.46	...	-97.32	1 of 34 - Sale <sup>25</sup>
	2.000	65.14	09/11/20	143.32	...	-78.18	2 of 34 - Sale <sup>25</sup>
	2.000	65.14	09/11/20	139.13	...	-73.99	3 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	77.25	...	-44.68	4 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	75.12	...	-42.55	5 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	75.02	...	-42.45	6 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	74.43	...	-41.86	7 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	73.95	...	-41.38	8 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	73.53	...	-40.96	9 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	73.10	...	-40.53	10 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	72.59	...	-40.02	11 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	71.93	...	-39.36	12 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	71.35	...	-38.78	13 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	69.36	...	-36.79	14 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	68.82	...	-36.25	15 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	64.32	...	-31.75	16 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	59.05	...	-26.48	17 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/11/20	39.25	...	-6.68	18 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	50.07	...	-17.50	19 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	48.96	...	-16.39	20 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	48.71	...	-16.14	21 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	46.69	...	-14.12	22 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	46.06	...	-13.49	23 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	41.07	...	-8.50	24 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	39.98	...	-7.41	25 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	36.50	...	-3.93	26 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	36.07	...	-3.50	27 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	35.78	...	-3.21	28 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	35.74	...	-3.17	29 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	35.16	...	-2.59	30 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	34.39	...	-1.82	31 of 34 - Sale <sup>25</sup>
	1.000	32.57	09/15/20	33.96	...	-1.39	32 of 34 - Sale <sup>25</sup>
	1.000	32.56	09/15/20	33.46	...	-0.90	33 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	6.000	195.39	09/15/20	195.00	...	0.39	34 of 34 - Sale <sup>25</sup>
09/15/20	42.000	1,367.90	Various	2,281.58	...	-913.68	Total of 34 transactions
11/19/20	10.000	269.90	11/19/20	270.46	0.56 W	0.00	Sale <sup>25</sup>
11/23/20	5.000	139.95	11/19/20	133.23	...	6.72	Sale <sup>25</sup>
11/24/20	5.000	170.00	11/19/20	133.22	...	36.78	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12,363.72</b>		<b>13,972.49</b>	<b>1,290.56 W</b>	<b>-318.21</b>	
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	32.00	11/06/20	31.00	...	1.00	1 of 2 - Sale <sup>25</sup>
	1.000	32.00	11/09/20	29.54	...	2.46	2 of 2 - Sale <sup>25</sup>
11/12/20	2.000	64.00	Various	60.54	...	3.46	Total of 2 transactions
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
07/07/20	2.000	221.00	07/07/20	218.92	...	2.08	Sale <sup>25</sup>
08/04/20	2.000	340.00	08/04/20	301.74	...	38.26	Sale <sup>25</sup>
3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	154.00	08/05/20	169.58	15.58 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	154.25	08/07/20	184.32	30.07 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	155.00	08/07/20	168.74	13.74 W	0.00	3 of 3 - Sale <sup>25</sup>
08/17/20	3.000	463.25	Various	522.64	59.39 W	0.00	Total of 3 transactions
10/19/20	1.000	101.02	08/11/20	181.07	80.05 W	0.00	Sale <sup>25</sup>
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	103.00	08/11/20	154.40	...	-51.40	1 of 3 - Sale <sup>25</sup>
	1.000	103.60	08/11/20	147.68	...	-44.08	2 of 3 - Sale <sup>25</sup>
	1.000	103.60	08/11/20	147.00	...	-43.40	3 of 3 - Sale <sup>25</sup>
11/25/20	3.000	310.20	Various	449.08	...	-138.88	Total of 3 transactions
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	101.00	08/11/20	174.45	73.45 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	101.00	08/11/20	160.66	59.66 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	101.00	08/11/20	157.54	56.54 W	0.00	3 of 3 - Sale <sup>25</sup>
11/25/20	3.000	303.00	Various	492.65	189.65 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
22 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	115.00	08/11/20	150.34	...	-35.34	1 of 22 - Sale <sup>25</sup>
	1.000	115.00	08/12/20	132.00	...	-17.00	2 of 22 - Sale <sup>25</sup>
	1.000	118.00	08/12/20	132.00	...	-14.00	3 of 22 - Sale <sup>25</sup>
	1.000	121.00	08/12/20	123.58	...	-2.58	4 of 22 - Sale <sup>25</sup>
	1.000	123.00	08/12/20	121.00	...	2.00	5 of 22 - Sale <sup>25</sup>
	1.000	120.00	08/12/20	116.40	...	3.60	6 of 22 - Sale <sup>25</sup>
	1.000	123.00	08/13/20	134.23	...	-11.23	7 of 22 - Sale <sup>25</sup>
	1.000	125.00	08/13/20	132.88	...	-7.88	8 of 22 - Sale <sup>25</sup>
	1.000	125.00	08/13/20	131.86	...	-6.86	9 of 22 - Sale <sup>25</sup>
	1.000	125.00	08/18/20	143.00	...	-18.00	10 of 22 - Sale <sup>25</sup>
	1.000	125.00	08/18/20	140.62	...	-15.62	11 of 22 - Sale <sup>25</sup>
	1.000	125.00	08/20/20	143.00	...	-18.00	12 of 22 - Sale <sup>25</sup>
	1.000	124.99	08/21/20	141.72	...	-16.73	13 of 22 - Sale <sup>25</sup>
	1.000	124.99	08/21/20	138.18	...	-13.19	14 of 22 - Sale <sup>25</sup>
	1.000	125.00	08/21/20	135.76	...	-10.76	15 of 22 - Sale <sup>25</sup>
	1.000	124.99	08/24/20	118.72	...	6.27	16 of 22 - Sale <sup>25</sup>
	1.000	126.00	08/24/20	119.30	...	6.70	17 of 22 - Sale <sup>25</sup>
	1.000	125.00	08/24/20	117.50	...	7.50	18 of 22 - Sale <sup>25</sup>
	1.000	126.00	08/25/20	111.43	...	14.57	19 of 22 - Sale <sup>25</sup>
	1.000	126.00	08/25/20	107.70	...	18.30	20 of 22 - Sale <sup>25</sup>
	1.000	125.99	08/25/20	107.51	...	18.48	21 of 22 - Sale <sup>25</sup>
	1.000	125.99	08/25/20	107.14	...	18.85	22 of 22 - Sale <sup>25</sup>
11/27/20	22.000	2,714.95	Various	2,805.87	...	-90.92	Total of 22 transactions
7 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	135.00	08/25/20	110.97	...	24.03	1 of 7 - Sale <sup>25</sup>
	1.000	135.00	08/27/20	107.66	...	27.34	2 of 7 - Sale <sup>25</sup>
	1.000	136.00	08/27/20	107.74	...	28.26	3 of 7 - Sale <sup>25</sup>
	1.000	138.00	08/27/20	108.87	...	29.13	4 of 7 - Sale <sup>25</sup>
	1.000	139.00	08/28/20	107.27	...	31.73	5 of 7 - Sale <sup>25</sup>
	1.000	140.00	09/02/20	97.74	...	42.26	6 of 7 - Sale <sup>25</sup>
	1.000	140.00	09/04/20	94.52	...	45.48	7 of 7 - Sale <sup>25</sup>
11/30/20	7.000	963.00	Various	734.77	...	228.23	Total of 7 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
8 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	130.23	09/04/20	89.46	...	40.77	1 of 8 - Sale <sup>25</sup>
	1.000	130.22	09/08/20	88.77	...	41.45	2 of 8 - Sale <sup>25</sup>
	1.000	130.22	10/20/20	176.57	...	-46.35	3 of 8 - Sale <sup>25</sup>
	1.000	130.14	10/21/20	92.20	...	37.94	4 of 8 - Sale <sup>25</sup>
	1.000	130.23	10/21/20	91.72	...	38.51	5 of 8 - Sale <sup>25</sup>
	1.000	130.13	10/28/20	159.95	...	-29.82	6 of 8 - Sale <sup>25</sup>
	1.000	130.14	11/10/20	140.66	...	-10.52	7 of 8 - Sale <sup>25</sup>
	1.000	130.13	11/10/20	137.54	...	-7.41	8 of 8 - Sale <sup>25</sup>
12/03/20	8.000	1,041.44	Various	976.87	...	64.57	Total of 8 transactions
	<b>Security total:</b>	<b>6,457.86</b>		<b>6,683.61</b>	<b>329.09 W</b>	<b>103.34</b>	
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	265.19	02/25/20	260.94	...	4.25	1 of 2 - Sale <sup>25</sup>
	1.000	265.32	02/27/20	250.00	...	15.32	2 of 2 - Sale <sup>25</sup>
03/30/20	2.000	530.51	Various	510.94	...	19.57	Total of 2 transactions
05/06/20	1.000	301.09	03/11/20	249.92	...	51.17	Sale <sup>25</sup>
05/08/20	1.000	312.58	03/11/20	247.59	...	64.99	Sale <sup>25</sup>
05/15/20	1.000	329.90	03/12/20	220.00	...	109.90	Sale <sup>25</sup>
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	375.00	03/16/20	200.01	...	174.99	1 of 2 - Sale <sup>25</sup>
	1.000	377.34	05/21/20	350.49	...	26.85	2 of 2 - Sale <sup>25</sup>
06/10/20	2.000	752.34	Various	550.50	...	201.84	Total of 2 transactions
06/22/20	1.000	380.00	05/21/20	350.49	...	29.51	Sale <sup>25</sup>
07/06/20	1.000	393.50	05/28/20	339.22	...	54.28	Sale <sup>25</sup>
4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	516.98	08/19/20	481.97	...	35.01	1 of 4 - Sale <sup>25</sup>
	1.000	519.98	08/19/20	481.97	...	38.01	2 of 4 - Sale <sup>25</sup>
	1.000	521.98	08/19/20	479.81	...	42.17	3 of 4 - Sale <sup>25</sup>
	1.000	522.98	08/19/20	476.38	...	46.60	4 of 4 - Sale <sup>25</sup>
08/28/20	4.000	2,081.92	Various	1,920.13	...	161.79	Total of 4 transactions
11/19/20	1.000	537.48	11/18/20	536.50	...	0.98	Sale <sup>25</sup>

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>5,619.32</b>		<b>4,925.29</b>	...	<b>694.03</b>	
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol:							
8 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	50.000	39.19	03/09/20	17.92	...	21.27	1 of 8 - Sale <sup>25</sup>
	50.000	39.19	03/09/20	17.51	...	21.68	2 of 8 - Sale <sup>25</sup>
	25.000	19.60	07/09/20	16.25	...	3.35	3 of 8 - Sale <sup>25</sup>
	25.000	19.60	08/06/20	17.52	...	2.08	4 of 8 - Sale <sup>25</sup>
	50.000	39.19	08/06/20	35.08	...	4.11	5 of 8 - Sale <sup>25</sup>
	100.000	78.39	08/06/20	70.29	...	8.10	6 of 8 - Sale <sup>25</sup>
	100.000	78.39	08/07/20	69.00	...	9.39	7 of 8 - Sale <sup>25</sup>
	100.000	78.39	08/07/20	68.00	...	10.39	8 of 8 - Sale <sup>25</sup>
08/11/20	500.000	391.94	Various	311.57	...	80.37	Total of 8 transactions
08/11/20	100.000	78.39	06/10/20	130.00	51.61 W	0.00	Sale <sup>25</sup>
5 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	100.000	13.99	08/07/20	120.60	...	-106.61	1 of 5 - Sale <sup>25</sup>
	100.000	14.99	08/20/20	65.51	...	-50.52	2 of 5 - Sale <sup>25</sup>
	50.000	7.50	08/21/20	31.00	...	-23.50	3 of 5 - Sale <sup>25</sup>
	50.000	7.49	09/02/20	26.33	...	-18.84	4 of 5 - Sale <sup>25</sup>
	100.000	17.00	09/09/20	48.10	...	-31.10	5 of 5 - Sale <sup>25</sup>
11/11/20	400.000	60.97	Various	291.54	...	-230.57	Total of 5 transactions
<b>Security total:</b>		<b>531.30</b>		<b>733.11</b>	<b>51.61 W</b>	<b>-150.20</b>	
OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK / CUSIP: 674599162 / Symbol:							
10/07/20	6.000	17.70	07/02/20	33.90	...	-16.20	Sale <sup>25</sup>
OCEAN POWER TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 674870506 / Symbol:							
11/20/20	9.000	20.09	11/17/20	17.25	...	2.84	Sale <sup>25</sup>
11/23/20	1.000	2.08	11/17/20	1.92	...	0.16	Sale <sup>25</sup>
<b>Security total:</b>		<b>22.17</b>		<b>19.17</b>	...	<b>3.00</b>	

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	10.000	25.80	12/22/20	11.70	...	14.10	1 of 2 - Sale <sup>25</sup>
	10.000	25.80	12/22/20	9.60	...	16.20	2 of 2 - Sale <sup>25</sup>
12/23/20	20.000	51.60	Various	21.30	...	30.30	Total of 2 transactions
ORACLE CORP / CUSIP: 68389X105 / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	53.12	06/16/20	52.86	...	0.26	1 of 2 - Sale <sup>25</sup>
	9.000	478.01	06/16/20	475.74	...	2.27	2 of 2 - Sale <sup>25</sup>
06/18/20	10.000	531.13	Various	528.60	...	2.53	Total of 2 transactions
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	94.50	08/12/20	89.21	...	5.29	1 of 2 - Sale <sup>25</sup>
	4.000	372.00	08/12/20	356.83	...	15.17	2 of 2 - Sale <sup>25</sup>
08/13/20	5.000	466.50	Various	446.04	...	20.46	Total of 2 transactions
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	94.50	08/13/20	94.20	...	0.30	1 of 3 - Sale <sup>25</sup>
	2.000	189.00	08/13/20	188.00	...	1.00	2 of 3 - Sale <sup>25</sup>
	2.000	189.00	08/14/20	188.00	...	1.00	3 of 3 - Sale <sup>25</sup>
08/14/20	5.000	472.50	Various	470.20	...	2.30	Total of 3 transactions
08/17/20	1.000	108.00	08/14/20	94.00	...	14.00	Sale <sup>25</sup>
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	128.00	08/14/20	93.67	...	34.33	1 of 2 - Sale <sup>25</sup>
	4.000	511.98	08/14/20	368.00	...	143.98	2 of 2 - Sale <sup>25</sup>
08/19/20	5.000	639.98	Various	461.67	...	178.31	Total of 2 transactions
08/21/20	1.000	125.00	08/17/20	113.77	...	11.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,811.98</b>		<b>1,585.68</b>	...	<b>226.30</b>	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	5.000	47.00	09/30/20	56.00	9.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	47.00	09/30/20	56.00	9.00 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	5.000	46.93	09/30/20	63.50	16.57 W	0.00	3 of 3 - Sale <sup>25</sup>
10/01/20	15.000	140.93	Various	175.50	34.57 W	0.00	Total of 3 transactions
	2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	5.000	48.60	10/22/20	65.02	16.42 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	48.73	10/22/20	57.45	8.72 W	0.00	2 of 2 - Sale <sup>25</sup>
10/26/20	10.000	97.33	Various	122.47	25.14 W	0.00	Total of 2 transactions
11/10/20	1.000	14.05	11/06/20	17.28	3.23 W	0.00	Sale <sup>25</sup>
	4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	23.50	11/17/20	21.70	...	1.80	1 of 4 - Sale <sup>25</sup>
	1.000	23.50	11/18/20	22.30	...	1.20	2 of 4 - Sale <sup>25</sup>
	3.000	70.50	11/18/20	67.06	...	3.44	3 of 4 - Sale <sup>25</sup>
	5.000	119.10	11/18/20	104.06	...	15.04	4 of 4 - Sale <sup>25</sup>
11/24/20	10.000	236.60	Various	215.12	...	21.48	Total of 4 transactions
	<b>Security total:</b>	<b>488.91</b>		<b>530.37</b>	<b>62.94 W</b>	<b>21.48</b>	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
06/05/20	1.000	36.02	06/01/20	35.56	...	0.46	Sale <sup>25</sup>
PHILLIPS 66 / CUSIP: 718546104 / Symbol:							
	5 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	1.000	75.00	05/06/20	71.72	...	3.28	1 of 5 - Sale <sup>25</sup>
	1.000	75.61	05/06/20	71.91	...	3.70	2 of 5 - Sale <sup>25</sup>
	2.000	151.21	05/06/20	143.50	...	7.71	3 of 5 - Sale <sup>25</sup>
	2.000	152.22	05/06/20	143.82	...	8.40	4 of 5 - Sale <sup>25</sup>
	3.000	225.00	05/06/20	215.25	...	9.75	5 of 5 - Sale <sup>25</sup>
05/08/20	9.000	679.04	Various	646.20	...	32.84	Total of 5 transactions
05/18/20	1.000	76.83	05/06/20	71.91	...	4.92	Sale <sup>25</sup>
	2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	1.000	59.50	05/06/20	71.91	12.41 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	59.51	07/09/20	60.71	1.20 W	0.00	2 of 2 - Sale <sup>25</sup>
07/09/20	2.000	119.01	Various	132.62	13.61 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PHILLIPS 66 / CUSIP: 718546104 / Symbol: (cont'd)							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	61.01	07/10/20	60.85	...	0.16	1 of 2 - Sale <sup>25</sup>
	7.000	427.06	07/10/20	417.55	...	9.51	2 of 2 - Sale <sup>25</sup>
07/13/20	8.000	488.07	Various	478.40	...	9.67	Total of 2 transactions
07/13/20	1.000	61.01	07/10/20	72.06	11.05 W	0.00	Sale <sup>25</sup>
07/21/20	1.000	66.38	07/10/20	59.65	...	6.73	Sale <sup>25</sup>
08/07/20	9.000	560.23	07/31/20	548.13	...	12.10	Sale <sup>25</sup>
08/07/20	1.000	62.25	07/31/20	71.95	9.70 W	0.00	Sale <sup>25</sup>
08/10/20	1.000	63.86	08/03/20	69.84	5.98 W	0.00	Sale <sup>25</sup>
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	63.50	08/13/20	62.84	...	0.66	1 of 2 - Sale <sup>25</sup>
	2.000	127.00	08/13/20	125.51	...	1.49	2 of 2 - Sale <sup>25</sup>
08/14/20	3.000	190.50	Various	188.35	...	2.15	Total of 2 transactions
08/14/20	1.000	63.50	08/13/20	68.82	5.32 W	0.00	Sale <sup>25</sup>
08/17/20	1.000	62.87	08/14/20	62.77	...	0.10	Sale <sup>25</sup>
08/17/20	1.000	62.87	08/14/20	68.09	5.22 W	0.00	Sale <sup>25</sup>
6 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	59.05	08/31/20	64.07	...	-5.02	1 of 6 - Sale <sup>25</sup>
	4.000	236.19	08/31/20	236.04	...	0.15	2 of 6 - Sale <sup>25</sup>
	1.000	59.05	08/31/20	58.89	...	0.16	3 of 6 - Sale <sup>25</sup>
	3.000	177.15	08/31/20	176.67	...	0.48	4 of 6 - Sale <sup>25</sup>
	1.000	59.05	08/31/20	58.47	...	0.58	5 of 6 - Sale <sup>25</sup>
	2.000	118.09	08/31/20	117.00	...	1.09	6 of 6 - Sale <sup>25</sup>
09/02/20	12.000	708.58	Various	711.14	...	-2.56	Total of 6 transactions
09/02/20	1.000	59.05	08/31/20	64.11	5.06 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>3,324.05</b>		<b>3,314.04</b>	<b>55.94 W</b>	<b>65.95</b>	

PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol:

2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.

	3.000	111.75	09/28/20	108.47	...	3.28	1 of 2 - Sale <sup>25</sup>
	2.000	80.00	09/28/20	72.31	...	7.69	2 of 2 - Sale <sup>25</sup>
09/28/20	5.000	191.75	Various	180.78	...	10.97	Total of 2 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol: (cont'd)							
6 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	5.000	230.00	10/06/20	229.39	...	0.61	1 of 6 - Sale <sup>25</sup>
	1.000	45.03	10/06/20	44.10	...	0.93	2 of 6 - Sale <sup>25</sup>
	1.000	45.33	10/06/20	44.10	...	1.23	3 of 6 - Sale <sup>25</sup>
	1.000	45.33	10/06/20	42.75	...	2.58	4 of 6 - Sale <sup>25</sup>
	2.000	90.66	10/06/20	88.00	...	2.66	5 of 6 - Sale <sup>25</sup>
	1.000	45.33	10/06/20	42.15	...	3.18	6 of 6 - Sale <sup>25</sup>
10/06/20	11.000	501.68	Various	490.49	...	11.19	Total of 6 transactions
10/06/20	5.000	226.40	10/06/20	229.40	3.00 W	0.00	Sale <sup>25</sup>
12/24/20	1.000	30.19	10/06/20	41.61	11.42 W	0.00	Sale <sup>25</sup>
10 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	30.00	10/08/20	39.58	...	-9.58	1 of 10 - Sale <sup>25</sup>
	1.000	30.00	10/08/20	39.35	...	-9.35	2 of 10 - Sale <sup>25</sup>
	1.000	30.00	10/08/20	39.31	...	-9.31	3 of 10 - Sale <sup>25</sup>
	1.000	30.00	10/08/20	38.73	...	-8.73	4 of 10 - Sale <sup>25</sup>
	1.000	30.00	10/08/20	38.50	...	-8.50	5 of 10 - Sale <sup>25</sup>
	1.000	30.00	10/08/20	38.00	...	-8.00	6 of 10 - Sale <sup>25</sup>
	1.000	30.00	10/08/20	37.37	...	-7.37	7 of 10 - Sale <sup>25</sup>
	1.000	30.00	10/09/20	38.43	...	-8.43	8 of 10 - Sale <sup>25</sup>
	1.000	30.00	10/13/20	32.51	...	-2.51	9 of 10 - Sale <sup>25</sup>
	4.000	119.99	10/16/20	126.40	...	-6.41	10 of 10 - Sale <sup>25</sup>
12/28/20	13.000	389.99	Various	468.18	...	-78.19	Total of 10 transactions
12/28/20	5.000	149.99	10/07/20	214.87	38.93 W	-25.95	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,490.00</b>		<b>1,625.33</b>	<b>53.35 W</b>	<b>-81.98</b>	

PIONEER POWER SOLUTIONS, INC. COMMON STOCK / CUSIP: 723836300 / Symbol:

16 transactions for 12/04/20. Total proceeds and cost reported to the IRS.

	25.000	135.00	10/06/20	212.25	...	-77.25	1 of 16 - Sale <sup>25</sup>
	25.000	135.00	10/06/20	212.24	...	-77.24	2 of 16 - Sale <sup>25</sup>
	10.000	55.00	10/06/20	65.25	...	-10.25	3 of 16 - Sale <sup>25</sup>
	10.000	55.00	10/06/20	57.50	...	-2.50	4 of 16 - Sale <sup>25</sup>
	5.000	27.50	10/06/20	27.68	...	-0.18	5 of 16 - Sale <sup>25</sup>
	5.000	28.71	10/06/20	24.83	...	3.88	6 of 16 - Sale <sup>25</sup>
	10.000	57.41	10/08/20	58.05	...	-0.64	7 of 16 - Sale <sup>25</sup>

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PIONEER POWER SOLUTIONS, INC. COMMON STOCK / CUSIP: 723836300 / Symbol: (cont'd)							
	10.000	57.42	10/08/20	55.45	...	1.97	8 of 16 - Sale <sup>25</sup>
	10.000	55.00	10/08/20	53.00	...	2.00	9 of 16 - Sale <sup>25</sup>
	10.000	55.00	10/09/20	50.05	...	4.95	10 of 16 - Sale <sup>25</sup>
	5.000	27.50	10/09/20	22.10	...	5.40	11 of 16 - Sale <sup>25</sup>
	5.000	29.00	10/09/20	22.10	...	6.90	12 of 16 - Sale <sup>25</sup>
	10.000	58.00	10/13/20	38.40	...	19.60	13 of 16 - Sale <sup>25</sup>
	10.000	58.00	10/13/20	37.45	...	20.55	14 of 16 - Sale <sup>25</sup>
	20.000	113.66	10/16/20	62.50	...	51.16	15 of 16 - Sale <sup>25</sup>
	5.000	28.41	10/19/20	14.55	...	13.86	16 of 16 - Sale <sup>25</sup>
12/04/20	175.000	975.61	Various	1,013.40	...	-37.79	Total of 16 transactions
PLANET GREEN HOLDINGS CORP. / CUSIP: 72703U102 / Symbol:							
5 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	3.000	6.30	11/16/20	6.15	...	0.15	1 of 5 - Sale <sup>25</sup>
	10.000	21.00	11/16/20	20.50	...	0.50	2 of 5 - Sale <sup>25</sup>
	10.000	21.00	11/16/20	20.50	...	0.50	3 of 5 - Sale <sup>25</sup>
	25.000	52.50	11/16/20	51.75	...	0.75	4 of 5 - Sale <sup>25</sup>
	17.000	35.70	11/16/20	34.85	...	0.85	5 of 5 - Sale <sup>25</sup>
11/16/20	65.000	136.50	Various	133.75	...	2.75	Total of 5 transactions
11/17/20	10.000	19.70	11/16/20	20.50	...	-0.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>156.20</b>		<b>154.25</b>	...	<b>1.95</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
06/29/20	5.000	38.06	06/24/20	35.84	...	2.22	Sale <sup>25</sup>
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
12/23/20	24.000	138.24	11/24/20	136.25	...	1.99	Sale <sup>25</sup>
12/23/20	1.000	5.76	11/24/20	6.00	0.24 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>144.00</b>		<b>142.25</b>	<b>0.24 W</b>	<b>1.99</b>	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
05/13/20	2.000	82.00	05/07/20	81.54	...	0.46	Sale <sup>25</sup>
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	5.000	88.75	10/08/20	95.20	...	-6.45	1 of 2 - Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol: (cont'd)							
	5.000	90.00	10/08/20	95.20	...	-5.20	2 of 2 - Sale <sup>25</sup>
10/19/20	10.000	178.75	Various	190.40	...	-11.65	Total of 2 transactions
	<b>Security total:</b>	<b>260.75</b>		<b>271.94</b>	...	<b>-11.19</b>	
PROSHARES ULTRA BLOOMBERG NATU RAL GAS / CUSIP: 74347Y870 / Symbol:							
4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	2.000	79.00	08/10/20	78.73	...	0.27	1 of 4 - Sale <sup>25</sup>
	5.000	197.50	08/10/20	197.10	...	0.40	2 of 4 - Sale <sup>25</sup>
	1.000	39.50	08/10/20	38.80	...	0.70	3 of 4 - Sale <sup>25</sup>
	2.000	79.00	08/10/20	78.00	...	1.00	4 of 4 - Sale <sup>25</sup>
08/12/20	10.000	395.00	Various	392.63	...	2.37	Total of 4 transactions
4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	44.75	08/17/20	42.68	...	2.07	1 of 4 - Sale <sup>25</sup>
	1.000	45.45	08/21/20	42.85	...	2.60	2 of 4 - Sale <sup>25</sup>
	1.000	44.75	08/21/20	42.10	...	2.65	3 of 4 - Sale <sup>25</sup>
	4.000	179.00	08/21/20	171.40	...	7.60	4 of 4 - Sale <sup>25</sup>
08/21/20	7.000	313.95	Various	299.03	...	14.92	Total of 4 transactions
09/29/20	5.000	176.30	09/16/20	193.58	17.28 W	0.00	Sale <sup>25</sup>
5 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	5.000	194.99	09/16/20	193.57	...	1.42	1 of 5 - Sale <sup>25</sup>
	5.000	195.00	09/17/20	194.98	...	0.02	2 of 5 - Sale <sup>25</sup>
	5.000	194.99	09/17/20	177.70	...	17.29	3 of 5 - Sale <sup>25</sup>
	5.000	195.00	09/29/20	180.00	...	15.00	4 of 5 - Sale <sup>25</sup>
	5.000	194.99	09/29/20	178.25	...	16.74	5 of 5 - Sale <sup>25</sup>
10/05/20	25.000	974.97	Various	924.50	...	50.47	Total of 5 transactions
4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	5.000	201.25	09/29/20	175.00	...	26.25	1 of 4 - Sale <sup>25</sup>
	5.000	201.25	09/29/20	173.75	...	27.50	2 of 4 - Sale <sup>25</sup>
	5.000	202.50	09/29/20	174.15	...	28.35	3 of 4 - Sale <sup>25</sup>
	5.000	202.50	09/29/20	173.75	...	28.75	4 of 4 - Sale <sup>25</sup>
10/09/20	20.000	807.50	Various	696.65	...	110.85	Total of 4 transactions

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PROSHARES ULTRA BLOOMBERG NATU RAL GAS / CUSIP: 74347Y870 / Symbol: (cont'd)							
12 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	5.000	191.04	09/29/20	175.00	...	16.04	1 of 12 - Sale <sup>25</sup>
	5.000	191.00	09/29/20	173.75	...	17.25	2 of 12 - Sale <sup>25</sup>
	5.000	191.04	09/29/20	173.75	...	17.29	3 of 12 - Sale <sup>25</sup>
	5.000	191.05	09/29/20	173.75	...	17.30	4 of 12 - Sale <sup>25</sup>
	5.000	191.05	09/29/20	173.50	...	17.55	5 of 12 - Sale <sup>25</sup>
	5.000	191.25	09/29/20	169.35	...	21.90	6 of 12 - Sale <sup>25</sup>
	5.000	191.00	09/29/20	168.40	...	22.60	7 of 12 - Sale <sup>25</sup>
	5.000	191.25	09/30/20	172.50	...	18.75	8 of 12 - Sale <sup>25</sup>
	5.000	191.50	09/30/20	170.00	...	21.50	9 of 12 - Sale <sup>25</sup>
	5.000	191.50	10/01/20	162.50	...	29.00	10 of 12 - Sale <sup>25</sup>
	5.000	200.00	10/02/20	160.95	...	39.05	11 of 12 - Sale <sup>25</sup>
	4.000	160.00	10/06/20	136.00	...	24.00	12 of 12 - Sale <sup>25</sup>
10/14/20	59.000	2,271.68	Various	2,009.45	...	262.23	Total of 12 transactions
10/19/20	1.000	41.71	10/06/20	34.00	...	7.71	Sale <sup>25</sup>
6 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	5.000	170.00	11/05/20	175.50	5.50 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	170.00	11/05/20	175.00	5.00 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	174.40	11/05/20	180.75	6.35 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	174.40	11/05/20	180.00	5.60 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	175.00	11/05/20	180.70	5.70 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	175.00	11/05/20	179.35	4.35 W	0.00	6 of 6 - Sale <sup>25</sup>
11/11/20	30.000	1,038.80	Various	1,071.30	32.50 W	0.00	Total of 6 transactions
11/12/20	5.000	175.00	11/06/20	166.95	...	8.05	Sale <sup>25</sup>
3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	2.000	71.00	11/09/20	62.50	...	8.50	1 of 3 - Sale <sup>25</sup>
	5.000	176.25	11/09/20	163.25	...	13.00	2 of 3 - Sale <sup>25</sup>
	5.000	176.50	11/09/20	158.90	...	17.60	3 of 3 - Sale <sup>25</sup>
11/13/20	12.000	423.75	Various	384.65	...	39.10	Total of 3 transactions
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	3.000	84.57	11/09/20	93.75	9.18 W	0.00	1 of 2 - Sale <sup>25</sup>
	22.000	620.16	11/16/20	627.00	6.84 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	25.000	704.73	Various	720.75	16.02 W	0.00	Total of 2 transactions

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PROSHARES ULTRA BLOOMBERG NATU RAL GAS / CUSIP: 74347Y870 / Symbol: (cont'd)							
9 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	5.000	142.50	11/16/20	142.17	...	0.33	1 of 9 - Sale <sup>25</sup>
	2.000	57.05	11/16/20	56.52	...	0.53	2 of 9 - Sale <sup>25</sup>
	15.000	427.86	11/16/20	426.50	...	1.36	3 of 9 - Sale <sup>25</sup>
	25.000	712.18	11/16/20	708.73	...	3.45	4 of 9 - Sale <sup>25</sup>
	22.000	625.88	11/16/20	621.94	...	3.94	5 of 9 - Sale <sup>25</sup>
	25.000	711.23	11/16/20	706.25	...	4.98	6 of 9 - Sale <sup>25</sup>
	20.000	570.49	11/16/20	565.00	...	5.49	7 of 9 - Sale <sup>25</sup>
	22.000	628.08	11/16/20	621.50	...	6.58	8 of 9 - Sale <sup>25</sup>
	25.000	713.73	11/16/20	705.75	...	7.98	9 of 9 - Sale <sup>25</sup>
11/17/20	161.000	4,589.00	Various	4,554.36	...	34.64	Total of 9 transactions
8 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	3.000	85.46	11/16/20	94.68	9.22 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	56.97	11/16/20	57.49	0.52 W	0.00	2 of 8 - Sale <sup>25</sup>
	20.000	569.75	11/16/20	573.42	3.67 W	0.00	3 of 8 - Sale <sup>25</sup>
	3.000	85.50	11/16/20	93.97	8.47 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	57.00	11/16/20	57.02	0.02 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	85.57	11/16/20	93.22	7.65 W	0.00	6 of 8 - Sale <sup>25</sup>
	3.000	85.35	11/16/20	92.46	7.11 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	85.65	11/16/20	91.86	6.21 W	0.00	8 of 8 - Sale <sup>25</sup>
11/17/20	39.000	1,111.25	Various	1,154.12	42.87 W	0.00	Total of 8 transactions
31 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	20.21	11/19/20	27.49	7.28 W	0.00	1 of 31 - Sale <sup>25</sup>
	1.000	20.22	11/27/20	35.78	15.56 W	0.00	2 of 31 - Sale <sup>25</sup>
	2.000	40.44	11/27/20	61.14	20.70 W	0.00	3 of 31 - Sale <sup>25</sup>
	2.000	40.44	11/27/20	57.00	16.56 W	0.00	4 of 31 - Sale <sup>25</sup>
	45.000	909.42	11/27/20	1,282.50	373.08 W	0.00	5 of 31 - Sale <sup>25</sup>
	1.000	20.21	11/27/20	43.96	23.75 W	0.00	6 of 31 - Sale <sup>25</sup>
	2.000	40.42	11/27/20	77.50	37.08 W	0.00	7 of 31 - Sale <sup>25</sup>
	2.000	40.42	11/27/20	73.36	32.94 W	0.00	8 of 31 - Sale <sup>25</sup>
	44.000	889.21	11/27/20	1,249.60	360.39 W	0.00	9 of 31 - Sale <sup>25</sup>
	1.000	20.24	11/27/20	36.69	16.45 W	0.00	10 of 31 - Sale <sup>25</sup>
	1.000	20.24	11/27/20	52.15	31.91 W	0.00	11 of 31 - Sale <sup>25</sup>

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(continued)

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PROSHARES ULTRA BLOOMBERG NATU RAL GAS / CUSIP: 74347Y870 / Symbol: (cont'd)							
	2.000	40.48	11/27/20	93.88	53.40 W	0.00	12 of 31 - Sale <sup>25</sup>
	2.000	40.48	11/27/20	89.74	49.26 W	0.00	13 of 31 - Sale <sup>25</sup>
	1.000	20.24	11/27/20	36.59	16.35 W	0.00	14 of 31 - Sale <sup>25</sup>
	44.000	890.53	11/27/20	1,614.39	723.86 W	0.00	15 of 31 - Sale <sup>25</sup>
	43.000	870.29	11/27/20	1,573.40	703.11 W	0.00	16 of 31 - Sale <sup>25</sup>
	6.000	121.44	11/27/20	170.40	48.96 W	0.00	17 of 31 - Sale <sup>25</sup>
	1.000	20.29	11/27/20	44.85	24.56 W	0.00	18 of 31 - Sale <sup>25</sup>
	1.000	20.29	11/27/20	60.16	39.87 W	0.00	19 of 31 - Sale <sup>25</sup>
	2.000	40.58	11/27/20	109.90	69.32 W	0.00	20 of 31 - Sale <sup>25</sup>
	2.000	40.58	11/27/20	105.76	65.18 W	0.00	21 of 31 - Sale <sup>25</sup>
	19.000	385.49	11/27/20	849.33	463.84 W	0.00	22 of 31 - Sale <sup>25</sup>
	1.000	20.29	11/27/20	44.60	24.31 W	0.00	23 of 31 - Sale <sup>25</sup>
	25.000	507.24	11/27/20	1,117.53	610.29 W	0.00	24 of 31 - Sale <sup>25</sup>
	1.000	20.29	11/27/20	52.79	32.50 W	0.00	25 of 31 - Sale <sup>25</sup>
	43.000	872.44	11/27/20	1,916.95	1,044.51 W	0.00	26 of 31 - Sale <sup>25</sup>
	6.000	121.74	11/27/20	218.33	96.59 W	0.00	27 of 31 - Sale <sup>25</sup>
	1.000	20.29	11/27/20	67.87	47.58 W	0.00	28 of 31 - Sale <sup>25</sup>
	2.000	40.58	11/27/20	125.32	84.74 W	0.00	29 of 31 - Sale <sup>25</sup>
	2.000	40.58	11/27/20	121.18	80.60 W	0.00	30 of 31 - Sale <sup>25</sup>
	19.000	385.49	11/27/20	995.84	610.35 W	0.00	31 of 31 - Sale <sup>25</sup>
12/08/20	325.000	6,581.10	Various	12,405.98	5,824.88 W	0.00	Total of 31 transactions
	<b>Security total:</b>	<b>19,604.74</b>		<b>25,007.95</b>	<b>5,933.55 W</b>	<b>530.34</b>	
PROSPECT CAPITAL CORPORATION C OMMON STOCK / CUSIP: 74348T102 / Symbol:							
05/06/20	1.000	4.03	05/04/20	4.10	0.07 W	0.00	Sale <sup>25</sup>
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	1.000	4.29	05/11/20	4.28	...	0.01	1 of 2 - Sale <sup>25</sup>
	4.000	17.17	05/11/20	16.84	...	0.33	2 of 2 - Sale <sup>25</sup>
05/12/20	5.000	21.46	Various	21.12	...	0.34	Total of 2 transactions
	<b>Security total:</b>	<b>25.49</b>		<b>25.22</b>	<b>0.07 W</b>	<b>0.34</b>	

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(continued)

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RACKSPACE TECHNOLOGY, INC. COM MON STOCK / CUSIP: 750102105 / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	4.000	76.00	08/17/20	75.88	...	0.12	1 of 2 - Sale <sup>25</sup>
	1.000	19.00	08/17/20	18.35	...	0.65	2 of 2 - Sale <sup>25</sup>
08/26/20	5.000	95.00	Various	94.23	...	0.77	Total of 2 transactions
08/26/20	2.000	38.00	08/17/20	38.82	0.82 W	0.00	Sale <sup>25</sup>
7 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	18.83	08/17/20	18.92	...	-0.09	1 of 7 - Sale <sup>25</sup>
	1.000	18.83	08/17/20	18.64	...	0.19	2 of 7 - Sale <sup>25</sup>
	5.000	94.15	08/18/20	96.55	...	-2.40	3 of 7 - Sale <sup>25</sup>
	5.000	94.15	08/18/20	96.10	...	-1.95	4 of 7 - Sale <sup>25</sup>
	10.000	188.29	08/18/20	190.00	...	-1.71	5 of 7 - Sale <sup>25</sup>
	5.000	94.14	08/19/20	91.25	...	2.89	6 of 7 - Sale <sup>25</sup>
	2.000	37.66	08/20/20	35.96	...	1.70	7 of 7 - Sale <sup>25</sup>
08/27/20	29.000	546.05	Various	547.42	...	-1.37	Total of 7 transactions
08/27/20	1.000	18.83	08/17/20	19.02	0.19 W	0.00	Sale <sup>25</sup>
08/28/20	1.000	19.25	08/28/20	19.30	...	-0.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>717.13</b>		<b>718.79</b>	<b>1.01 W</b>	<b>-0.65</b>	
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
05/21/20	4.000	239.01	05/19/20	235.71	...	3.30	Sale <sup>25</sup>
5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	63.24	05/19/20	58.93	...	4.31	1 of 5 - Sale <sup>25</sup>
	1.000	63.24	06/01/20	64.45	...	-1.21	2 of 5 - Sale <sup>25</sup>
	1.000	63.24	06/01/20	64.22	...	-0.98	3 of 5 - Sale <sup>25</sup>
	1.000	63.24	07/09/20	58.68	...	4.56	4 of 5 - Sale <sup>25</sup>
	1.000	63.24	07/10/20	58.98	...	4.26	5 of 5 - Sale <sup>25</sup>
07/21/20	5.000	316.20	Various	305.26	...	10.94	Total of 5 transactions
	<b>Security total:</b>	<b>555.21</b>		<b>540.97</b>	...	<b>14.24</b>	

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(continued)

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RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	16.18	06/25/20	16.08	...	0.10	1 of 2 - Sale <sup>25</sup>
	1.000	16.18	06/25/20	15.38	...	0.80	2 of 2 - Sale <sup>25</sup>
06/25/20	2.000	32.36	Various	31.46	...	0.90	Total of 2 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	128.03	02/14/20	130.04	2.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	128.37	02/14/20	130.04	1.67 W	0.00	2 of 2 - Sale <sup>25</sup>
05/06/20	2.000	256.40	Various	260.08	3.68 W	0.00	Total of 2 transactions
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	134.28	02/20/20	124.15	...	10.13	1 of 2 - Sale <sup>25</sup>
	1.000	138.36	02/25/20	114.29	...	24.07	2 of 2 - Sale <sup>25</sup>
05/07/20	2.000	272.64	Various	238.44	...	34.20	Total of 2 transactions
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	113.64	02/26/20	114.08	...	-0.44	1 of 5 - Sale <sup>25</sup>
	1.000	113.64	03/04/20	107.13	...	6.51	2 of 5 - Sale <sup>25</sup>
	1.000	113.63	03/05/20	106.43	...	7.20	3 of 5 - Sale <sup>25</sup>
	1.000	113.73	03/06/20	101.68	...	12.05	4 of 5 - Sale <sup>25</sup>
	1.000	114.09	06/03/20	107.80	...	6.29	5 of 5 - Sale <sup>25</sup>
06/09/20	5.000	568.73	Various	537.12	...	31.61	Total of 5 transactions
07/09/20	1.000	143.00	06/04/20	104.23	...	38.77	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,240.77</b>		<b>1,139.87</b>	<b>3.68 W</b>	<b>104.58</b>	
ROYAL DUTCH SHELL PLC ADS CLAS S B / CUSIP: 780259107 / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	5.000	161.80	05/12/20	153.05	...	8.75	1 of 3 - Sale <sup>25</sup>
	5.000	161.80	05/21/20	152.75	...	9.05	2 of 3 - Sale <sup>25</sup>
	10.000	323.60	06/12/20	334.05	...	-10.45	3 of 3 - Sale <sup>25</sup>
06/18/20	20.000	647.20	Various	639.85	...	7.35	Total of 3 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SG BLOCKS, INC. COMMON STOCK / CUSIP: 78418A505 / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	50.000	147.59	11/20/20	149.00	...	-1.41	1 of 2 - Sale <sup>25</sup>
	1.000	2.95	11/20/20	2.81	...	0.14	2 of 2 - Sale <sup>25</sup>
11/20/20	51.000	150.54	Various	151.81	...	-1.27	Total of 2 transactions
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
05/06/20	1.000	164.73	02/21/20	189.72	24.99 W	0.00	Sale <sup>25</sup>
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	167.27	02/24/20	182.58	15.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	167.49	02/25/20	180.53	13.04 W	0.00	2 of 2 - Sale <sup>25</sup>
05/07/20	2.000	334.76	Various	363.11	28.35 W	0.00	Total of 2 transactions
4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	184.00	02/25/20	177.00	...	7.00	1 of 4 - Sale <sup>25</sup>
	1.000	184.00	03/06/20	162.00	...	22.00	2 of 4 - Sale <sup>25</sup>
	1.000	184.12	03/11/20	151.82	...	32.30	3 of 4 - Sale <sup>25</sup>
	1.000	184.12	03/11/20	150.51	...	33.61	4 of 4 - Sale <sup>25</sup>
05/28/20	4.000	736.24	Various	641.33	...	94.91	Total of 4 transactions
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	176.00	03/12/20	143.00	...	33.00	1 of 2 - Sale <sup>25</sup>
	1.000	176.01	03/16/20	123.95	...	52.06	2 of 2 - Sale <sup>25</sup>
06/08/20	2.000	352.01	Various	266.95	...	85.06	Total of 2 transactions
06/08/20	1.000	176.00	05/26/20	202.37	26.37 W	0.00	Sale <sup>25</sup>
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	175.76	05/26/20	192.69	...	-16.93	1 of 4 - Sale <sup>25</sup>
	1.000	175.76	05/26/20	190.42	...	-14.66	2 of 4 - Sale <sup>25</sup>
	5.000	878.82	05/26/20	886.87	...	-8.05	3 of 4 - Sale <sup>25</sup>
	2.000	351.28	05/26/20	354.75	...	-3.47	4 of 4 - Sale <sup>25</sup>
06/09/20	9.000	1,581.62	Various	1,624.73	...	-43.11	Total of 4 transactions
07/01/20	1.000	192.21	05/29/20	198.04	...	-5.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,537.57</b>		<b>3,486.25</b>	<b>79.71 W</b>	<b>131.03</b>	

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
07/02/20	10.000	84.50	06/18/20	82.88	...	1.62	Sale <sup>25</sup>
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	12.09	11/23/20	11.99	...	0.10	1 of 2 - Sale <sup>25</sup>
	19.000	229.71	11/23/20	229.33	...	0.38	2 of 2 - Sale <sup>25</sup>
11/24/20	20.000	241.80	Various	241.32	...	0.48	Total of 2 transactions
	<b>Security total:</b>	<b>326.30</b>		<b>324.20</b>	...	<b>2.10</b>	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
11/19/20	2.000	533.98	11/13/20	469.08	...	64.90	Sale <sup>25</sup>
11/24/20	1.000	280.00	11/13/20	234.54	...	45.46	Sale <sup>25</sup>
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	290.00	11/13/20	234.54	...	55.46	1 of 2 - Sale <sup>25</sup>
	1.000	300.00	11/13/20	234.54	...	65.46	2 of 2 - Sale <sup>25</sup>
11/25/20	2.000	590.00	Various	469.08	...	120.92	Total of 2 transactions
	<b>Security total:</b>	<b>1,403.98</b>		<b>1,172.70</b>	...	<b>231.28</b>	
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol:							
	2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	2.51	06/23/20	2.71	...	-0.20	1 of 2 - Sale <sup>25</sup>
	1.000	2.52	06/23/20	2.71	...	-0.19	2 of 2 - Sale <sup>25</sup>
07/01/20	2.000	5.03	Various	5.42	...	-0.39	Total of 2 transactions
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
	2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	1.000	13.52	07/09/20	13.31	...	0.21	1 of 2 - Sale <sup>25</sup>
	9.000	121.64	07/09/20	119.79	...	1.85	2 of 2 - Sale <sup>25</sup>
07/09/20	10.000	135.16	Various	133.10	...	2.06	Total of 2 transactions
07/13/20	5.000	85.08	07/10/20	82.65	...	2.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>220.24</b>		<b>215.75</b>	...	<b>4.49</b>	

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
6 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	5.000	115.40	06/05/20	124.59	...	-9.19	1 of 6 - Sale <sup>25</sup>
	5.000	115.40	06/05/20	124.58	...	-9.18	2 of 6 - Sale <sup>25</sup>
	5.000	115.40	06/05/20	114.25	...	1.15	3 of 6 - Sale <sup>25</sup>
	5.000	115.40	06/10/20	99.46	...	15.94	4 of 6 - Sale <sup>25</sup>
	2.000	46.16	07/09/20	31.52	...	14.64	5 of 6 - Sale <sup>25</sup>
	1.000	23.08	07/17/20	17.74	...	5.34	6 of 6 - Sale <sup>25</sup>
12/02/20	23.000	530.84	Various	512.14	...	18.70	Total of 6 transactions
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	2.000	114.70	03/27/20	108.24	...	6.46	1 of 2 - Sale <sup>25</sup>
	2.000	114.92	03/27/20	108.24	...	6.68	2 of 2 - Sale <sup>25</sup>
04/08/20	4.000	229.62	Various	216.48	...	13.14	Total of 2 transactions
05/07/20	1.000	72.57	03/27/20	54.12	...	18.45	Sale <sup>25</sup>
06/01/20	1.000	82.75	03/31/20	51.51	...	31.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>384.94</b>		<b>322.11</b>	...	<b>62.83</b>	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	25.000	34.01	09/23/20	31.63	...	2.38	1 of 3 - Sale <sup>25</sup>
	25.000	35.63	09/23/20	31.63	...	4.00	2 of 3 - Sale <sup>25</sup>
	25.000	43.75	09/23/20	31.62	...	12.13	3 of 3 - Sale <sup>25</sup>
09/23/20	75.000	113.39	Various	94.88	...	18.51	Total of 3 transactions
SWITCHBACK ENERGY ACQUISITION CORPORATION / CUSIP: 87105M102 / Symbol:							
09/18/20	1.000	13.89	09/17/20	13.75	...	0.14	Sale <sup>25</sup>
SYPRIS SOLUTIONS, INC. COMMON STOCK / CUSIP: 871655106 / Symbol:							
09/25/20	25.000	31.00	09/25/20	34.75	...	-3.75	Sale <sup>25</sup>
TJX COMPANIES, INC. (THE) / CUSIP: 872540109 / Symbol:							
09/02/20	1.000	55.42	08/17/20	59.37	...	-3.95	Sale <sup>25</sup>

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol:							
05/18/20	2.000	201.36	05/14/20	188.90	...	12.46	Sale <sup>25</sup>
06/01/20	1.000	100.20	05/14/20	94.45	...	5.75	Sale <sup>25</sup>
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	1.000	101.25	05/14/20	94.45	...	6.80	1 of 2 - Sale <sup>25</sup>
	1.000	101.70	05/14/20	94.44	...	7.26	2 of 2 - Sale <sup>25</sup>
06/03/20	2.000	202.95	Various	188.89	...	14.06	Total of 2 transactions
07/01/20	1.000	105.49	05/22/20	94.83	...	10.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>610.00</b>		<b>567.07</b>	...	<b>42.93</b>	
T-MOBILE US, INC. SUBSCRIPTION RIGHTS EXPIRING 7/27/2020 / CUSIP: 872590112 / Symbol:							
07/01/20	1.000	0.17	05/22/20	0.00	...	0.17	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
04/09/20	1.000	574.98	03/12/20	550.00	...	24.98	Sale <sup>25</sup>
06/10/20	1.000	1,007.71	03/12/20	548.79	...	458.92	Sale <sup>25</sup>
06/30/20	1.000	1,059.97	03/18/20	382.05	...	677.92	Sale <sup>25</sup>
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	1,699.96	07/13/20	1,698.93	...	1.03	1 of 2 - Sale <sup>25</sup>
	1.000	1,754.96	07/13/20	1,702.55	...	52.41	2 of 2 - Sale <sup>25</sup>
07/13/20	2.000	3,454.92	Various	3,401.48	...	53.44	Total of 2 transactions
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.000	1,515.21	07/14/20	1,509.46	...	5.75	1 of 2 - Sale <sup>25</sup>
	1.000	1,524.96	07/14/20	1,499.65	...	25.31	2 of 2 - Sale <sup>25</sup>
07/14/20	2.000	3,040.17	Various	3,009.11	...	31.06	Total of 2 transactions
07/15/20	1.000	1,549.96	07/15/20	1,539.99	...	9.97	Sale <sup>25</sup>
07/17/20	1.000	1,513.98	07/17/20	1,513.36	...	0.62	Sale <sup>25</sup>
07/22/20	1.000	1,699.96	07/22/20	1,614.00	...	85.96	Sale <sup>25</sup>
	2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1.000	1,479.96	07/24/20	1,444.02	...	35.94	1 of 2 - Sale <sup>25</sup>
	1.000	1,534.96	07/24/20	1,415.00	...	119.96	2 of 2 - Sale <sup>25</sup>
07/27/20	2.000	3,014.92	Various	2,859.02	...	155.90	Total of 2 transactions
08/06/20	1.000	1,509.96	08/03/20	1,472.65	...	37.31	Sale <sup>25</sup>
08/11/20	1.000	1,434.96	08/07/20	1,430.28	...	4.68	Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	427.00	08/28/20	450.00	23.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	428.00	08/28/20	450.00	22.00 W	0.00	2 of 2 - Sale <sup>25</sup>
09/29/20	2.000	855.00	Various	900.00	45.00 W	0.00	Total of 2 transactions
10/13/20	1.000	448.00	08/28/20	450.00	2.00 W	0.00	Sale <sup>25</sup>
10/14/20	2.000	919.97	08/28/20	900.00	...	19.97	Sale <sup>25</sup>
10/14/20	1.000	465.00	09/01/20	502.60	37.60 W	0.00	Sale <sup>25</sup>
10/20/20	1.000	421.99	09/03/20	410.00	...	11.99	Sale <sup>25</sup>
10/20/20	1.000	421.99	09/02/20	459.00	37.01 W	0.00	Sale <sup>25</sup>
4 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	1.000	428.00	09/04/20	392.58	...	35.42	1 of 4 - Sale <sup>25</sup>
	1.000	427.44	09/08/20	341.90	...	85.54	2 of 4 - Sale <sup>25</sup>
	1.000	428.12	09/11/20	369.00	...	59.12	3 of 4 - Sale <sup>25</sup>
	1.000	436.56	09/11/20	366.00	...	70.56	4 of 4 - Sale <sup>25</sup>
10/21/20	4.000	1,720.12	Various	1,469.48	...	250.64	Total of 4 transactions
3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	470.00	09/17/20	460.50	...	9.50	1 of 3 - Sale <sup>25</sup>
	1.000	465.00	09/17/20	425.45	...	39.55	2 of 3 - Sale <sup>25</sup>
	1.000	485.00	09/21/20	457.01	...	27.99	3 of 3 - Sale <sup>25</sup>
11/18/20	3.000	1,420.00	Various	1,342.96	...	77.04	Total of 3 transactions
11/23/20	1.000	518.98	11/16/20	460.20	...	58.78	Sale <sup>25</sup>
<b>Security total:</b>		<b>27,052.54</b>		<b>25,214.97</b>	<b>121.61 W</b>	<b>1,959.18</b>	

THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:

3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.

	1.000	463.02	07/13/20	459.16	...	3.86	1 of 3 - Sale <sup>25</sup>
	1.000	462.95	07/13/20	442.84	...	20.11	2 of 3 - Sale <sup>25</sup>
	1.000	475.00	07/14/20	428.55	...	46.45	3 of 3 - Sale <sup>25</sup>
08/03/20	3.000	1,400.97	Various	1,330.55	...	70.42	Total of 3 transactions
08/03/20	1.000	457.50	07/13/20	468.91	11.41 W	0.00	Sale <sup>25</sup>
08/04/20	1.000	480.00	07/22/20	440.59	...	39.41	Sale <sup>25</sup>
08/05/20	1.000	489.00	07/23/20	430.57	...	58.43	Sale <sup>25</sup>
08/11/20	1.000	461.00	08/10/20	460.00	...	1.00	Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol: (cont'd)							
<b>Security total:</b>		<b>3,288.47</b>		<b>3,130.62</b>		<b>11.41 W</b>	<b>169.26</b>
TIZIANA LIFE SCIENCES PLC AMER ICAN DEPOSITORY SHARE / CUSIP: 88875G101 / Symbol:							
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	10.000	56.45	07/31/20	98.30	...	-41.85	1 of 3 - Sale <sup>25</sup>
	1.000	5.64	07/31/20	7.95	...	-2.31	2 of 3 - Sale <sup>25</sup>
	1.000	5.65	07/31/20	7.63	...	-1.98	3 of 3 - Sale <sup>25</sup>
08/03/20	12.000	67.74	Various	113.88	...	-46.14	Total of 3 transactions
TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol:							
07/29/20	19.000	313.50	07/29/20	282.72	...	30.78	Sale <sup>25</sup>
5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	7.000	90.65	07/29/20	113.75	...	-23.10	1 of 5 - Sale <sup>25</sup>
	2.000	25.90	07/29/20	32.50	...	-6.60	2 of 5 - Sale <sup>25</sup>
	1.000	12.95	07/29/20	16.25	...	-3.30	3 of 5 - Sale <sup>25</sup>
	1.000	12.95	07/29/20	14.88	...	-1.93	4 of 5 - Sale <sup>25</sup>
	1.000	12.95	07/30/20	15.48	...	-2.53	5 of 5 - Sale <sup>25</sup>
08/03/20	12.000	155.40	Various	192.86	...	-37.46	Total of 5 transactions
<b>Security total:</b>		<b>468.90</b>		<b>475.58</b>	...	<b>-6.68</b>	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
05/21/20	1.000	195.05	05/12/20	193.91	...	1.14	Sale <sup>25</sup>
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
05/19/20	5.000	150.26	03/16/20	125.50	...	24.76	Sale <sup>25</sup>
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	31.43	03/18/20	21.79	...	9.64	1 of 3 - Sale <sup>25</sup>
	2.000	62.86	03/31/20	50.52	...	12.34	2 of 3 - Sale <sup>25</sup>
	2.000	62.86	03/31/20	50.38	...	12.48	3 of 3 - Sale <sup>25</sup>
05/20/20	5.000	157.15	Various	122.69	...	34.46	Total of 3 transactions
05/26/20	2.000	68.00	04/29/20	62.28	...	5.72	Sale <sup>25</sup>
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	33.31	04/29/20	31.14	...	2.17	1 of 5 - Sale <sup>25</sup>
	1.000	33.39	04/29/20	31.14	...	2.25	2 of 5 - Sale <sup>25</sup>

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Account 780335873

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
	1.000	34.00	04/29/20	31.14	...	2.86	3 of 5 - Sale <sup>25</sup>
	1.000	34.74	05/29/20	30.52	...	4.22	4 of 5 - Sale <sup>25</sup>
	2.000	68.00	05/29/20	61.03	...	6.97	5 of 5 - Sale <sup>25</sup>
06/03/20	6.000	203.44	Various	184.97	...	18.47	Total of 5 transactions
06/05/20	2.000	70.98	05/29/20	61.03	...	9.95	Sale <sup>25</sup>
06/08/20	1.000	36.34	05/29/20	30.51	...	5.83	Sale <sup>25</sup>
	5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	4.000	140.40	05/29/20	122.06	...	18.34	1 of 5 - Sale <sup>25</sup>
	14.000	505.39	06/10/20	498.68	...	6.71	2 of 5 - Sale <sup>25</sup>
	6.000	216.59	06/23/20	201.13	...	15.46	3 of 5 - Sale <sup>25</sup>
	2.000	72.20	06/24/20	64.44	...	7.76	4 of 5 - Sale <sup>25</sup>
	3.000	108.30	06/25/20	94.86	...	13.44	5 of 5 - Sale <sup>25</sup>
07/08/20	29.000	1,042.88	Various	981.17	...	61.71	Total of 5 transactions
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	50.000	1,774.96	06/10/20	1,781.00	0.60 W	-5.44	1 of 2 - Sale <sup>25</sup>
	36.000	1,263.56	06/10/20	1,282.32	18.76 W	0.00	2 of 2 - Sale <sup>25</sup>
07/08/20	86.000	3,038.52	Various	3,063.32	19.36 W	-5.44	Total of 2 transactions
	9 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	5.000	175.60	06/25/20	158.10	...	17.50	1 of 9 - Sale <sup>25</sup>
	1.000	35.69	06/30/20	29.66	...	6.03	2 of 9 - Sale <sup>25</sup>
	2.000	70.24	06/30/20	59.52	...	10.72	3 of 9 - Sale <sup>25</sup>
	3.000	105.36	06/30/20	89.36	...	16.00	4 of 9 - Sale <sup>25</sup>
	4.000	140.48	06/30/20	118.63	...	21.85	5 of 9 - Sale <sup>25</sup>
	5.000	175.59	06/30/20	148.45	...	27.14	6 of 9 - Sale <sup>25</sup>
	5.000	175.59	06/30/20	148.29	...	27.30	7 of 9 - Sale <sup>25</sup>
	1.000	35.64	07/09/20	35.61	...	0.03	8 of 9 - Sale <sup>25</sup>
	4.000	142.76	07/09/20	142.42	...	0.34	9 of 9 - Sale <sup>25</sup>
07/09/20	30.000	1,056.95	Various	930.04	...	126.91	Total of 9 transactions
	<b>Security total:</b>	<b>5,824.52</b>		<b>5,561.51</b>	<b>19.36 W</b>	<b>282.37</b>	

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TYSON FOODS, INC. / CUSIP: 902494103 / Symbol:							
05/20/20	2.000	120.42	05/14/20	116.42	...	4.00	Sale <sup>25</sup>
05/26/20	1.000	62.38	05/15/20	58.22	...	4.16	Sale <sup>25</sup>
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	1.000	63.44	05/15/20	58.22	...	5.22	1 of 2 - Sale <sup>25</sup>
	1.000	63.66	06/02/20	61.86	...	1.80	2 of 2 - Sale <sup>25</sup>
06/03/20	2.000	127.10	Various	120.08	...	7.02	Total of 2 transactions
07/21/20	5.000	306.50	06/02/20	309.28	0.56 W	-2.22	Sale <sup>25</sup>
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	4.000	244.00	06/02/20	247.43	...	-3.43	1 of 2 - Sale <sup>25</sup>
	1.000	61.00	06/03/20	60.00	...	1.00	2 of 2 - Sale <sup>25</sup>
07/23/20	5.000	305.00	Various	307.43	...	-2.43	Total of 2 transactions
	2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	4.000	246.00	06/03/20	240.00	...	6.00	1 of 2 - Sale <sup>25</sup>
	1.000	61.50	07/09/20	55.86	...	5.64	2 of 2 - Sale <sup>25</sup>
07/29/20	5.000	307.50	Various	295.86	...	11.64	Total of 2 transactions
	<b>Security total:</b>	<b>1,228.90</b>		<b>1,207.29</b>	<b>0.56 W</b>	<b>22.17</b>	
U.S. BANCORP / CUSIP: 902973304 / Symbol:							
07/21/20	1.000	36.74	05/20/20	33.15	...	3.59	Sale <sup>25</sup>
U.S. XPRESS ENTERPRISES, INC. CLASS A COMMON STOCK / CUSIP: 90338N202 / Symbol:							
07/31/20	1.000	9.13	07/30/20	10.63	...	-1.50	Sale <sup>25</sup>
07/31/20	1.000	9.17	07/30/20	10.27	1.10 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>18.30</b>		<b>20.90</b>	<b>1.10 W</b>	<b>-1.50</b>	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
	2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	36.75	05/20/20	24.65	...	12.10	1 of 2 - Sale <sup>25</sup>
	9.000	330.75	05/21/20	232.26	...	98.49	2 of 2 - Sale <sup>25</sup>
07/15/20	10.000	367.50	Various	256.91	...	110.59	Total of 2 transactions
07/15/20	10.000	370.00	06/05/20	440.40	70.40 W	0.00	Sale <sup>25</sup>
08/10/20	5.000	182.50	07/20/20	177.86	...	4.64	Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
5 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	5.000	180.00	06/05/20	215.90	35.90 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	180.00	06/25/20	195.83	15.83 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	181.25	07/16/20	211.63	30.38 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	182.50	07/16/20	210.94	28.44 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	37.50	07/27/20	38.49	0.99 W	0.00	5 of 5 - Sale <sup>25</sup>
08/10/20	21.000	761.25	Various	872.79	111.54 W	0.00	Total of 5 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	36.00	08/18/20	34.99	...	1.01	1 of 2 - Sale <sup>25</sup>
	5.000	179.99	08/19/20	172.05	...	7.94	2 of 2 - Sale <sup>25</sup>
08/24/20	6.000	215.99	Various	207.04	...	8.95	Total of 2 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	4.000	143.99	08/18/20	160.30	16.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	180.00	08/18/20	198.44	18.44 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	9.000	323.99	Various	358.74	34.75 W	0.00	Total of 2 transactions
08/27/20	3.000	112.50	08/20/20	114.44	1.94 W	0.00	Sale <sup>25</sup>
5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	36.25	08/21/20	34.39	...	1.86	1 of 5 - Sale <sup>25</sup>
	1.000	36.25	08/21/20	33.27	...	2.98	2 of 5 - Sale <sup>25</sup>
	2.000	72.50	08/21/20	68.27	...	4.23	3 of 5 - Sale <sup>25</sup>
	2.000	72.49	08/21/20	66.58	...	5.91	4 of 5 - Sale <sup>25</sup>
	3.000	108.75	08/21/20	100.47	...	8.28	5 of 5 - Sale <sup>25</sup>
09/02/20	9.000	326.24	Various	302.98	...	23.26	Total of 5 transactions
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	36.25	08/20/20	38.15	1.90 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	36.25	08/20/20	37.76	1.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	144.99	08/21/20	149.71	3.54 W	-1.18	3 of 3 - Sale <sup>25</sup>
09/02/20	6.000	217.49	Various	225.62	6.95 W	-1.18	Total of 3 transactions
3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	36.77	08/21/20	34.90	...	1.87	1 of 3 - Sale <sup>25</sup>
	1.000	36.77	08/21/20	34.51	...	2.26	2 of 3 - Sale <sup>25</sup>

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	3.000	110.31	08/21/20	102.54	...	7.77	3 of 3 - Sale <sup>25</sup>
09/10/20	5.000	183.85	Various	171.95	...	11.90	Total of 3 transactions
	<b>Security total:</b>	<b>3,061.31</b>		<b>3,128.73</b>	<b>225.58 W</b>	<b>158.16</b>	
UNITED STATES GASOLINE FUND, L P / CUSIP: 91201T102 / Symbol:							
05/07/20	4.000	61.44	04/30/20	47.58	...	13.86	Sale <sup>25</sup>
	3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	6.000	116.58	04/30/20	71.37	...	45.21	1 of 3 - Sale <sup>25</sup>
	7.000	136.01	05/21/20	117.53	...	18.48	2 of 3 - Sale <sup>25</sup>
	7.000	136.01	06/05/20	132.86	...	3.15	3 of 3 - Sale <sup>25</sup>
06/18/20	20.000	388.60	Various	321.76	...	66.84	Total of 3 transactions
	<b>Security total:</b>	<b>450.04</b>		<b>369.34</b>	...	<b>80.70</b>	
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
	4 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	1.000	27.67	05/20/20	25.17	...	2.50	1 of 4 - Sale <sup>25</sup>
	9.000	249.02	05/21/20	234.71	...	14.31	2 of 4 - Sale <sup>25</sup>
	5.000	138.35	06/03/20	134.28	...	4.07	3 of 4 - Sale <sup>25</sup>
	5.000	138.34	06/05/20	142.40	...	-4.06	4 of 4 - Sale <sup>25</sup>
06/18/20	20.000	553.38	Various	536.56	...	16.82	Total of 4 transactions
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE) / CUSIP: 91324P102 / Symbol:							
05/05/20	1.000	292.03	04/15/20	279.41	...	12.62	Sale <sup>25</sup>
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
	3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	1.000	5.51	06/25/20	4.28	...	1.23	1 of 3 - Sale <sup>25</sup>
	4.000	19.90	06/25/20	17.12	...	2.78	2 of 3 - Sale <sup>25</sup>
	10.000	49.75	06/25/20	44.45	...	5.30	3 of 3 - Sale <sup>25</sup>
06/25/20	15.000	75.16	Various	65.85	...	9.31	Total of 3 transactions
	5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	3.000	34.85	06/25/20	22.71	...	12.14	1 of 5 - Sale <sup>25</sup>
	7.000	81.31	06/25/20	52.99	...	28.32	2 of 5 - Sale <sup>25</sup>
	10.000	116.15	06/26/20	115.10	...	1.05	3 of 5 - Sale <sup>25</sup>

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	5.000	56.45	06/26/20	51.65	...	4.80	4 of 5 - Sale <sup>25</sup>
	5.000	56.45	06/26/20	48.50	...	7.95	5 of 5 - Sale <sup>25</sup>
06/26/20	30.000	345.21	Various	290.95	...	54.26	Total of 5 transactions
	3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	10.000	116.15	06/26/20	128.70	12.55 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	112.90	06/26/20	128.70	15.80 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	112.90	06/26/20	127.55	14.65 W	0.00	3 of 3 - Sale <sup>25</sup>
06/26/20	30.000	341.95	Various	384.95	43.00 W	0.00	Total of 3 transactions
	5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	9.75	06/26/20	12.90	3.15 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	9.75	06/26/20	12.87	3.12 W	0.00	2 of 5 - Sale <sup>25</sup>
	9.000	87.70	06/26/20	116.82	29.12 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	48.72	06/26/20	61.95	13.23 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	38.98	06/26/20	49.04	10.06 W	0.00	5 of 5 - Sale <sup>25</sup>
06/29/20	20.000	194.90	Various	253.58	58.68 W	0.00	Total of 5 transactions
	17 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	10.000	63.50	06/26/20	89.80	...	-26.30	1 of 17 - Sale <sup>25</sup>
	10.000	63.50	06/26/20	83.85	...	-20.35	2 of 17 - Sale <sup>25</sup>
	10.000	63.50	06/26/20	81.45	...	-17.95	3 of 17 - Sale <sup>25</sup>
	10.000	63.50	06/26/20	79.85	...	-16.35	4 of 17 - Sale <sup>25</sup>
	5.000	31.75	06/29/20	44.50	...	-12.75	5 of 17 - Sale <sup>25</sup>
	5.000	31.74	06/29/20	40.70	...	-8.96	6 of 17 - Sale <sup>25</sup>
	4.000	29.48	06/30/20	58.57	...	-29.09	7 of 17 - Sale <sup>25</sup>
	4.000	32.26	06/30/20	61.12	...	-28.86	8 of 17 - Sale <sup>25</sup>
	4.000	32.26	06/30/20	58.42	...	-26.16	9 of 17 - Sale <sup>25</sup>
	4.000	38.72	06/30/20	62.02	...	-23.30	10 of 17 - Sale <sup>25</sup>
	3.000	29.04	06/30/20	38.49	...	-9.45	11 of 17 - Sale <sup>25</sup>
	1.000	8.07	06/30/20	15.50	...	-7.43	12 of 17 - Sale <sup>25</sup>
	1.000	7.37	06/30/20	14.78	...	-7.41	13 of 17 - Sale <sup>25</sup>
	1.000	8.06	06/30/20	15.17	...	-7.11	14 of 17 - Sale <sup>25</sup>
	1.000	9.68	06/30/20	15.75	...	-6.07	15 of 17 - Sale <sup>25</sup>
	1.000	9.68	06/30/20	12.99	...	-3.31	16 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	9.68	06/30/20	12.83	...	-3.15	17 of 17 - Sale <sup>25</sup>
06/30/20	75.000	531.79	Various	785.79	...	-254.00	Total of 17 transactions
	14 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	6.35	06/26/20	13.95	7.60 W	0.00	1 of 14 - Sale <sup>25</sup>
	5.000	31.75	06/26/20	69.06	37.31 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	6.35	06/26/20	13.48	7.13 W	0.00	3 of 14 - Sale <sup>25</sup>
	4.000	25.40	06/26/20	54.36	28.96 W	0.00	4 of 14 - Sale <sup>25</sup>
	5.000	31.75	06/26/20	64.58	32.83 W	0.00	5 of 14 - Sale <sup>25</sup>
	4.000	25.40	06/26/20	50.70	25.30 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	6.35	06/26/20	10.16	3.81 W	0.00	7 of 14 - Sale <sup>25</sup>
	3.000	19.05	06/26/20	30.00	10.95 W	0.00	8 of 14 - Sale <sup>25</sup>
	2.000	12.70	06/26/20	20.00	7.30 W	0.00	9 of 14 - Sale <sup>25</sup>
	4.000	25.40	06/26/20	39.46	14.06 W	0.00	10 of 14 - Sale <sup>25</sup>
	5.000	31.75	06/26/20	50.20	18.45 W	0.00	11 of 14 - Sale <sup>25</sup>
	5.000	31.75	06/26/20	50.10	18.35 W	0.00	12 of 14 - Sale <sup>25</sup>
	10.000	63.50	06/26/20	95.00	31.50 W	0.00	13 of 14 - Sale <sup>25</sup>
	10.000	63.50	06/26/20	89.30	25.80 W	0.00	14 of 14 - Sale <sup>25</sup>
06/30/20	60.000	381.00	Various	650.35	269.35 W	0.00	Total of 14 transactions
	5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	10.000	127.50	07/13/20	160.20	...	-32.70	1 of 5 - Sale <sup>25</sup>
	5.000	63.75	07/13/20	82.80	...	-19.05	2 of 5 - Sale <sup>25</sup>
	5.000	63.75	07/13/20	82.70	...	-18.95	3 of 5 - Sale <sup>25</sup>
	4.000	51.00	07/13/20	65.54	...	-14.54	4 of 5 - Sale <sup>25</sup>
	1.000	12.75	07/13/20	16.52	...	-3.77	5 of 5 - Sale <sup>25</sup>
07/13/20	25.000	318.75	Various	407.76	...	-89.01	Total of 5 transactions
07/16/20	10.000	160.50	07/16/20	176.30	...	-15.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,349.26</b>		<b>3,015.53</b>	<b>371.03 W</b>	<b>-295.24</b>	
VECTOIQ ACQUISITION CORP. COMM ON STOCK / CUSIP: 92243N103 / Symbol:							
	3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	30.00	05/12/20	27.30	...	2.70	1 of 3 - Sale <sup>25</sup>
	1.000	30.00	05/13/20	31.64	...	-1.64	2 of 3 - Sale <sup>25</sup>
	1.000	30.00	05/13/20	28.97	...	1.03	3 of 3 - Sale <sup>25</sup>
05/28/20	3.000	90.00	Various	87.91	...	2.09	Total of 3 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VECTIQ ACQUISITION CORP. COMM ON STOCK / CUSIP: 92243N103 / Symbol: (cont'd)							
06/01/20	1.000	31.47	05/13/20	31.64	...	-0.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>121.47</b>		<b>119.55</b>	...	<b>1.92</b>	
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
12/17/20	1.000	9.50	12/16/20	10.03	0.53 W	0.00	Sale <sup>25</sup>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
07/09/20	5.000	90.40	06/23/20	88.15	...	2.25	Sale <sup>25</sup>
VISA INC. / CUSIP: 92826C839 / Symbol:							
05/19/20	1.000	194.00	04/30/20	178.55	...	15.45	Sale <sup>25</sup>
06/30/20	1.000	192.62	04/30/20	178.54	...	14.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>386.62</b>		<b>357.09</b>	...	<b>29.53</b>	
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
04/29/20	1.000	6.63	03/20/20	5.14	...	1.49	Sale <sup>25</sup>
08/04/20	1.000	23.50	07/31/20	20.42	...	3.08	Sale <sup>25</sup>
	2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	26.68	07/31/20	20.48	...	6.20	1 of 2 - Sale <sup>25</sup>
	1.000	26.68	08/11/20	23.41	...	3.27	2 of 2 - Sale <sup>25</sup>
08/17/20	2.000	53.36	Various	43.89	...	9.47	Total of 2 transactions
	3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	1.000	26.39	08/11/20	23.41	...	2.98	1 of 3 - Sale <sup>25</sup>
	1.000	26.44	08/18/20	25.12	...	1.32	2 of 3 - Sale <sup>25</sup>
	3.000	79.17	08/18/20	75.36	...	3.81	3 of 3 - Sale <sup>25</sup>
08/21/20	5.000	132.00	Various	123.89	...	8.11	Total of 3 transactions
	<b>Security total:</b>	<b>215.49</b>		<b>193.34</b>	...	<b>22.15</b>	
WALMART INC. / CUSIP: 931142103 / Symbol:							
06/30/20	10.000	1,197.84	05/21/20	1,245.38	23.77 W	-23.77	Sale <sup>25</sup>
	4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	5.000	600.69	05/27/20	610.15	...	-9.46	1 of 4 - Sale <sup>25</sup>
	2.000	240.28	06/23/20	253.49	...	-13.21	2 of 4 - Sale <sup>25</sup>
	2.000	240.27	06/23/20	252.82	...	-12.55	3 of 4 - Sale <sup>25</sup>

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WALMART INC. / CUSIP: 931142103 / Symbol: (cont'd)							
	1.000	120.14	06/24/20	124.94	...	-4.80	4 of 4 - Sale <sup>25</sup>
07/02/20	10.000	1,201.38	Various	1,241.40	...	-40.02	Total of 4 transactions
	<b>Security total:</b>	<b>2,399.22</b>		<b>2,486.78</b>	<b>23.77 W</b>	<b>-63.79</b>	
WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	11.50	10/06/20	10.33	...	1.17	1 of 2 - Sale <sup>25</sup>
	1.000	11.50	10/06/20	9.66	...	1.84	2 of 2 - Sale <sup>25</sup>
10/06/20	2.000	23.00	Various	19.99	...	3.01	Total of 2 transactions
WHITING PETROLEUM CORPORATION / CUSIP: 966387409 / Symbol:							
3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	5.000	5.01	03/27/20	4.00	...	1.01	1 of 3 - Sale <sup>25</sup>
	5.000	5.10	03/27/20	4.00	...	1.10	2 of 3 - Sale <sup>25</sup>
	5.000	5.11	03/27/20	4.00	...	1.11	3 of 3 - Sale <sup>25</sup>
04/27/20	15.000	15.22	Various	12.00	...	3.22	Total of 3 transactions
04/29/20	5.000	6.51	03/27/20	4.00	...	2.51	Sale <sup>25</sup>
07/10/20	5.000	5.26	03/27/20	4.00	...	1.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>26.99</b>		<b>20.00</b>	...	<b>6.99</b>	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	10.00	06/24/20	8.89	...	1.11	1 of 3 - Sale <sup>25</sup>
	9.000	90.00	06/24/20	80.63	...	9.37	2 of 3 - Sale <sup>25</sup>
	10.000	100.00	06/24/20	90.49	...	9.51	3 of 3 - Sale <sup>25</sup>
06/24/20	20.000	200.00	Various	180.01	...	19.99	Total of 3 transactions
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	5.000	50.00	06/24/20	49.24	...	0.76	1 of 2 - Sale <sup>25</sup>
	5.000	50.00	06/24/20	48.80	...	1.20	2 of 2 - Sale <sup>25</sup>
06/25/20	10.000	100.00	Various	98.04	...	1.96	Total of 2 transactions

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	8.64	06/25/20	9.66	1.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	8.64	06/25/20	9.18	0.54 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	2.000	17.28	Various	18.84	1.56 W	0.00	Total of 2 transactions
6 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	2.000	18.34	06/25/20	17.60	...	0.74	1 of 6 - Sale <sup>25</sup>
	2.000	18.34	06/25/20	16.20	...	2.14	2 of 6 - Sale <sup>25</sup>
	4.000	36.69	06/25/20	34.47	...	2.22	3 of 6 - Sale <sup>25</sup>
	5.000	45.86	06/25/20	40.35	...	5.51	4 of 6 - Sale <sup>25</sup>
	1.000	10.17	06/26/20	9.64	...	0.53	5 of 6 - Sale <sup>25</sup>
	1.000	9.54	06/26/20	8.97	...	0.57	6 of 6 - Sale <sup>25</sup>
06/26/20	15.000	138.94	Various	127.23	...	11.71	Total of 6 transactions
10 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	9.17	06/25/20	10.21	1.04 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	9.17	06/25/20	9.73	0.56 W	0.00	2 of 10 - Sale <sup>25</sup>
	20.000	183.43	06/25/20	184.20	0.77 W	0.00	3 of 10 - Sale <sup>25</sup>
	15.000	137.57	06/25/20	138.45	0.88 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	8.70	06/25/20	10.27	1.57 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	8.70	06/25/20	9.79	1.09 W	0.00	6 of 10 - Sale <sup>25</sup>
	3.000	26.10	06/25/20	27.81	1.71 W	0.00	7 of 10 - Sale <sup>25</sup>
	5.000	43.50	06/26/20	45.93	2.43 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	43.50	06/26/20	44.98	1.48 W	0.00	9 of 10 - Sale <sup>25</sup>
	4.000	38.16	06/26/20	38.55	0.39 W	0.00	10 of 10 - Sale <sup>25</sup>
06/26/20	56.000	508.00	Various	519.92	11.92 W	0.00	Total of 10 transactions
22 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	12.91	06/26/20	9.99	...	2.92	1 of 22 - Sale <sup>25</sup>
	1.000	14.10	06/29/20	13.84	...	0.26	2 of 22 - Sale <sup>25</sup>
	1.000	14.99	06/29/20	14.62	...	0.37	3 of 22 - Sale <sup>25</sup>
	1.000	14.09	06/29/20	13.67	...	0.42	4 of 22 - Sale <sup>25</sup>
	3.000	44.97	06/29/20	44.55	...	0.42	5 of 22 - Sale <sup>25</sup>
	1.000	14.10	06/29/20	13.65	...	0.45	6 of 22 - Sale <sup>25</sup>
	3.000	44.97	06/29/20	44.30	...	0.67	7 of 22 - Sale <sup>25</sup>
	1.000	15.50	06/29/20	14.40	...	1.10	8 of 22 - Sale <sup>25</sup>

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	14.99	06/29/20	13.84	...	1.15	9 of 22 - Sale <sup>25</sup>
	2.000	29.98	06/29/20	28.67	...	1.31	10 of 22 - Sale <sup>25</sup>
	1.000	15.50	06/29/20	14.14	...	1.36	11 of 22 - Sale <sup>25</sup>
	1.000	15.50	06/29/20	14.07	...	1.43	12 of 22 - Sale <sup>25</sup>
	1.000	15.50	06/29/20	13.89	...	1.61	13 of 22 - Sale <sup>25</sup>
	2.000	29.98	06/29/20	28.35	...	1.63	14 of 22 - Sale <sup>25</sup>
	2.000	29.98	06/29/20	28.07	...	1.91	15 of 22 - Sale <sup>25</sup>
	1.000	14.09	06/29/20	11.94	...	2.15	16 of 22 - Sale <sup>25</sup>
	3.000	44.97	06/29/20	41.95	...	3.02	17 of 22 - Sale <sup>25</sup>
	4.000	56.40	06/29/20	53.07	...	3.33	18 of 22 - Sale <sup>25</sup>
	5.000	70.50	06/29/20	64.95	...	5.55	19 of 22 - Sale <sup>25</sup>
	9.000	116.19	06/29/20	107.44	...	8.75	20 of 22 - Sale <sup>25</sup>
	5.000	77.50	06/29/20	68.70	...	8.80	21 of 22 - Sale <sup>25</sup>
	6.000	93.00	06/29/20	82.91	...	10.09	22 of 22 - Sale <sup>25</sup>
06/29/20	55.000	799.71	Various	741.01	...	58.70	Total of 22 transactions
	6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	14.09	06/29/20	14.62	0.53 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	14.99	06/29/20	15.85	0.86 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	14.99	06/29/20	15.37	0.38 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	28.20	06/29/20	28.35	0.15 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	14.10	06/29/20	14.68	0.58 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	14.10	06/29/20	14.35	0.25 W	0.00	6 of 6 - Sale <sup>25</sup>
06/29/20	7.000	100.47	Various	103.22	2.75 W	0.00	Total of 6 transactions
	12 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	17.20	06/29/20	14.81	...	2.39	1 of 12 - Sale <sup>25</sup>
	1.000	18.69	06/30/20	18.06	...	0.63	2 of 12 - Sale <sup>25</sup>
	1.000	18.69	06/30/20	17.84	...	0.85	3 of 12 - Sale <sup>25</sup>
	1.000	18.69	06/30/20	17.73	...	0.96	4 of 12 - Sale <sup>25</sup>
	1.000	18.69	06/30/20	17.57	...	1.12	5 of 12 - Sale <sup>25</sup>
	1.000	18.69	06/30/20	17.26	...	1.43	6 of 12 - Sale <sup>25</sup>
	1.000	19.74	06/30/20	17.75	...	1.99	7 of 12 - Sale <sup>25</sup>
	4.000	68.80	06/30/20	66.20	...	2.60	8 of 12 - Sale <sup>25</sup>
	4.000	75.28	06/30/20	70.99	...	4.29	9 of 12 - Sale <sup>25</sup>
	5.000	94.10	06/30/20	89.29	...	4.81	10 of 12 - Sale <sup>25</sup>

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Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	89.30	06/30/20	80.50	...	8.80	11 of 12 - Sale <sup>25</sup>
	76.000	1,500.19	06/30/20	1,474.20	...	25.99	12 of 12 - Sale <sup>25</sup>
06/30/20	101.000	1,958.06	Various	1,902.20	...	55.86	Total of 12 transactions
	22 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	19.50	06/30/20	18.33	...	1.17	1 of 22 - Sale <sup>25</sup>
	1.000	19.50	06/30/20	18.22	...	1.28	2 of 22 - Sale <sup>25</sup>
	5.000	97.50	06/30/20	95.56	...	1.94	3 of 22 - Sale <sup>25</sup>
	1.000	19.50	06/30/20	17.51	...	1.99	4 of 22 - Sale <sup>25</sup>
	5.000	97.50	06/30/20	94.95	...	2.55	5 of 22 - Sale <sup>25</sup>
	4.000	78.00	06/30/20	75.36	...	2.64	6 of 22 - Sale <sup>25</sup>
	5.000	97.50	06/30/20	94.80	...	2.70	7 of 22 - Sale <sup>25</sup>
	5.000	97.50	06/30/20	94.16	...	3.34	8 of 22 - Sale <sup>25</sup>
	4.000	78.00	06/30/20	73.63	...	4.37	9 of 22 - Sale <sup>25</sup>
	2.000	39.00	06/30/20	34.56	...	4.44	10 of 22 - Sale <sup>25</sup>
	2.000	40.00	06/30/20	35.55	...	4.45	11 of 22 - Sale <sup>25</sup>
	5.000	97.49	06/30/20	92.99	...	4.50	12 of 22 - Sale <sup>25</sup>
	4.000	78.00	06/30/20	73.20	...	4.80	13 of 22 - Sale <sup>25</sup>
	2.000	41.00	06/30/20	35.70	...	5.30	14 of 22 - Sale <sup>25</sup>
	2.000	40.00	06/30/20	34.29	...	5.71	15 of 22 - Sale <sup>25</sup>
	2.000	41.00	06/30/20	35.08	...	5.92	16 of 22 - Sale <sup>25</sup>
	3.000	58.49	06/30/20	51.44	...	7.05	17 of 22 - Sale <sup>25</sup>
	3.000	60.00	06/30/20	52.73	...	7.27	18 of 22 - Sale <sup>25</sup>
	3.000	60.00	06/30/20	52.63	...	7.37	19 of 22 - Sale <sup>25</sup>
	5.000	97.49	06/30/20	88.79	...	8.70	20 of 22 - Sale <sup>25</sup>
	3.000	61.50	06/30/20	52.77	...	8.73	21 of 22 - Sale <sup>25</sup>
	3.000	61.50	06/30/20	52.47	...	9.03	22 of 22 - Sale <sup>25</sup>
07/01/20	70.000	1,379.97	Various	1,274.72	...	105.25	Total of 22 transactions
	16 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	18.49	06/30/20	19.40	0.91 W	0.00	1 of 16 - Sale <sup>25</sup>
	17.000	314.32	06/30/20	336.09	21.77 W	0.00	2 of 16 - Sale <sup>25</sup>
	27.000	499.21	06/30/20	525.42	26.21 W	0.00	3 of 16 - Sale <sup>25</sup>
	9.000	166.41	06/30/20	172.98	6.57 W	0.00	4 of 16 - Sale <sup>25</sup>
	5.000	92.45	06/30/20	95.70	3.25 W	0.00	5 of 16 - Sale <sup>25</sup>
	16.000	295.83	06/30/20	304.92	9.09 W	0.00	6 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	10.000	184.89	06/30/20	188.60	3.71 W	0.00	7 of 16 - Sale <sup>25</sup>
	10.000	184.89	06/30/20	186.00	1.11 W	0.00	8 of 16 - Sale <sup>25</sup>
	5.000	92.45	06/30/20	92.95	0.50 W	0.00	9 of 16 - Sale <sup>25</sup>
	1.000	18.37	06/30/20	19.50	1.13 W	0.00	10 of 16 - Sale <sup>25</sup>
	4.000	75.00	06/30/20	79.48	4.48 W	0.00	11 of 16 - Sale <sup>25</sup>
	5.000	93.75	06/30/20	98.65	4.90 W	0.00	12 of 16 - Sale <sup>25</sup>
	5.000	93.75	06/30/20	97.65	3.90 W	0.00	13 of 16 - Sale <sup>25</sup>
	2.000	37.50	06/30/20	37.68	0.18 W	0.00	14 of 16 - Sale <sup>25</sup>
	3.000	56.25	06/30/20	57.45	1.20 W	0.00	15 of 16 - Sale <sup>25</sup>
	1.000	18.75	06/30/20	18.84	0.09 W	0.00	16 of 16 - Sale <sup>25</sup>
07/01/20	121.000	2,242.31	Various	2,331.31	89.00 W	0.00	Total of 16 transactions
	13 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	1.000	22.50	06/30/20	18.46	...	4.04	1 of 13 - Sale <sup>25</sup>
	2.000	45.00	06/30/20	35.02	...	9.98	2 of 13 - Sale <sup>25</sup>
	2.000	45.00	06/30/20	34.98	...	10.02	3 of 13 - Sale <sup>25</sup>
	2.000	45.00	06/30/20	34.98	...	10.02	4 of 13 - Sale <sup>25</sup>
	3.000	67.50	06/30/20	52.50	...	15.00	5 of 13 - Sale <sup>25</sup>
	4.000	90.00	06/30/20	73.80	...	16.20	6 of 13 - Sale <sup>25</sup>
	5.000	112.50	06/30/20	91.60	...	20.90	7 of 13 - Sale <sup>25</sup>
	1.000	22.50	07/01/20	16.86	...	5.64	8 of 13 - Sale <sup>25</sup>
	2.000	44.08	07/01/20	32.34	...	11.74	9 of 13 - Sale <sup>25</sup>
	3.000	66.13	07/01/20	49.44	...	16.69	10 of 13 - Sale <sup>25</sup>
	4.000	88.17	07/01/20	67.44	...	20.73	11 of 13 - Sale <sup>25</sup>
	1.000	22.05	07/02/20	21.16	...	0.89	12 of 13 - Sale <sup>25</sup>
	10.000	221.80	07/02/20	210.65	...	11.15	13 of 13 - Sale <sup>25</sup>
07/02/20	40.000	892.23	Various	739.23	...	153.00	Total of 13 transactions
	4 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	5.000	95.00	07/02/20	105.32	10.32 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	95.00	07/02/20	105.33	10.33 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	189.99	07/02/20	210.80	20.81 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	189.99	07/02/20	205.00	15.01 W	0.00	4 of 4 - Sale <sup>25</sup>
08/03/20	30.000	569.98	Various	626.45	56.47 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	25.000	487.50	07/02/20	520.00	32.50 W	0.00	1 of 2 - Sale <sup>25</sup>
08/04/20	25.000	487.50	07/02/20	518.75	31.25 W	0.00	2 of 2 - Sale <sup>25</sup>
	50.000	975.00	Various	1,038.75	63.75 W	0.00	Total of 2 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	5.000	93.75	07/02/20	102.80	9.05 W	0.00	1 of 2 - Sale <sup>25</sup>
08/31/20	5.000	93.75	07/02/20	100.85	7.10 W	0.00	2 of 2 - Sale <sup>25</sup>
	10.000	187.50	Various	203.65	16.15 W	0.00	Total of 2 transactions
29 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	5.000	93.75	07/02/20	101.74	...	-7.99	1 of 29 - Sale <sup>25</sup>
	5.000	93.75	07/02/20	101.10	...	-7.35	2 of 29 - Sale <sup>25</sup>
	5.000	93.75	07/02/20	100.80	...	-7.05	3 of 29 - Sale <sup>25</sup>
	5.000	93.75	07/06/20	107.33	...	-13.58	4 of 29 - Sale <sup>25</sup>
	5.000	93.75	07/06/20	106.22	...	-12.47	5 of 29 - Sale <sup>25</sup>
	5.000	93.75	07/06/20	106.21	...	-12.46	6 of 29 - Sale <sup>25</sup>
	6.000	112.50	07/06/20	124.21	...	-11.71	7 of 29 - Sale <sup>25</sup>
	10.000	188.50	07/06/20	198.90	...	-10.40	8 of 29 - Sale <sup>25</sup>
	4.000	75.00	07/06/20	84.96	...	-9.96	9 of 29 - Sale <sup>25</sup>
	5.000	93.75	07/06/20	102.55	...	-8.80	10 of 29 - Sale <sup>25</sup>
	4.000	75.00	07/06/20	82.80	...	-7.80	11 of 29 - Sale <sup>25</sup>
	5.000	94.25	07/06/20	100.84	...	-6.59	12 of 29 - Sale <sup>25</sup>
	5.000	94.25	07/06/20	100.69	...	-6.44	13 of 29 - Sale <sup>25</sup>
	5.000	94.95	07/06/20	99.15	...	-4.20	14 of 29 - Sale <sup>25</sup>
	5.000	94.95	07/06/20	98.79	...	-3.84	15 of 29 - Sale <sup>25</sup>
	1.000	18.60	07/06/20	21.24	...	-2.64	16 of 29 - Sale <sup>25</sup>
	10.000	189.90	07/06/20	188.30	...	1.60	17 of 29 - Sale <sup>25</sup>
	5.000	95.00	07/07/20	83.90	...	11.10	18 of 29 - Sale <sup>25</sup>
	2.000	38.00	07/09/20	32.86	...	5.14	19 of 29 - Sale <sup>25</sup>
	3.000	57.00	07/09/20	49.29	...	7.71	20 of 29 - Sale <sup>25</sup>
	5.000	96.25	07/10/20	77.70	...	18.55	21 of 29 - Sale <sup>25</sup>
	5.000	97.00	07/13/20	79.55	...	17.45	22 of 29 - Sale <sup>25</sup>
	5.000	97.50	07/17/20	78.18	...	19.32	23 of 29 - Sale <sup>25</sup>
	5.000	97.50	07/17/20	74.49	...	23.01	24 of 29 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	98.25	07/20/20	72.70	...	25.55	25 of 29 - Sale <sup>25</sup>
	5.000	98.75	07/20/20	71.94	...	26.81	26 of 29 - Sale <sup>25</sup>
	5.000	99.25	07/24/20	76.49	...	22.76	27 of 29 - Sale <sup>25</sup>
	5.000	100.00	07/24/20	75.00	...	25.00	28 of 29 - Sale <sup>25</sup>
	2.000	41.00	08/10/20	34.10	...	6.90	29 of 29 - Sale <sup>25</sup>
09/01/20	142.000	2,709.65	Various	2,632.03	...	77.62	Total of 29 transactions
	4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	3.000	64.50	08/10/20	50.93	...	13.57	1 of 4 - Sale <sup>25</sup>
	1.000	21.50	08/12/20	16.46	...	5.04	2 of 4 - Sale <sup>25</sup>
	2.000	43.70	08/12/20	32.92	...	10.78	3 of 4 - Sale <sup>25</sup>
	2.000	44.00	08/12/20	32.92	...	11.08	4 of 4 - Sale <sup>25</sup>
09/08/20	8.000	173.70	Various	133.23	...	40.47	Total of 4 transactions
	<b>Security total:</b>	<b>12,952.80</b>		<b>12,669.84</b>	<b>241.60 W</b>	<b>524.56</b>	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
11/10/20	4.000	408.00	06/04/20	383.20	...	24.80	Sale <sup>25</sup>
	8 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	1.000	91.50	06/04/20	94.62	...	-3.12	1 of 8 - Sale <sup>25</sup>
	2.000	183.00	06/10/20	198.57	...	-15.57	2 of 8 - Sale <sup>25</sup>
	2.000	184.68	06/10/20	198.57	...	-13.89	3 of 8 - Sale <sup>25</sup>
	1.000	91.50	06/10/20	99.28	...	-7.78	4 of 8 - Sale <sup>25</sup>
	1.000	91.75	06/10/20	99.28	...	-7.53	5 of 8 - Sale <sup>25</sup>
	1.000	91.98	06/10/20	99.28	...	-7.30	6 of 8 - Sale <sup>25</sup>
	1.000	92.23	06/10/20	99.28	...	-7.05	7 of 8 - Sale <sup>25</sup>
	1.000	92.50	06/10/20	99.29	...	-6.79	8 of 8 - Sale <sup>25</sup>
11/13/20	10.000	919.14	Various	988.17	...	-69.03	Total of 8 transactions
	2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	4.000	365.00	06/04/20	378.49	3.37 W	-10.12	1 of 2 - Sale <sup>25</sup>
	1.000	91.25	06/04/20	95.80	4.55 W	0.00	2 of 2 - Sale <sup>25</sup>
11/13/20	5.000	456.25	Various	474.29	7.92 W	-10.12	Total of 2 transactions
	5 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	1.000	103.99	06/10/20	99.28	...	4.71	1 of 5 - Sale <sup>25</sup>
	1.000	103.99	06/11/20	93.80	...	10.19	2 of 5 - Sale <sup>25</sup>

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Account 780335873

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol: (cont'd)							
	1.000	103.99	07/09/20	70.38	...	33.61	3 of 5 - Sale <sup>25</sup>
	1.000	103.99	07/22/20	77.70	...	26.29	4 of 5 - Sale <sup>25</sup>
	1.000	103.99	07/22/20	77.67	...	26.32	5 of 5 - Sale <sup>25</sup>
12/01/20	5.000	519.95	Various	418.83	...	101.12	Total of 5 transactions
	5 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	1.000	105.70	07/27/20	71.23	...	34.47	1 of 5 - Sale <sup>25</sup>
	1.000	105.70	09/09/20	83.14	...	22.56	2 of 5 - Sale <sup>25</sup>
	1.000	105.69	09/11/20	81.79	...	23.90	3 of 5 - Sale <sup>25</sup>
	1.000	105.70	10/28/20	74.55	...	31.15	4 of 5 - Sale <sup>25</sup>
	1.000	105.69	10/28/20	72.37	...	33.32	5 of 5 - Sale <sup>25</sup>
12/02/20	5.000	528.48	Various	383.08	...	145.40	Total of 5 transactions
	<b>Security total:</b>	<b>2,831.82</b>		<b>2,647.57</b>	<b>7.92 W</b>	<b>192.17</b>	
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
	2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	152.00	09/02/20	166.00	...	-14.00	1 of 2 - Sale <sup>25</sup>
	1.000	152.50	09/02/20	166.00	...	-13.50	2 of 2 - Sale <sup>25</sup>
10/09/20	2.000	304.50	Various	332.00	...	-27.50	Total of 2 transactions
10/09/20	2.000	302.00	09/02/20	332.00	30.00 W	0.00	Sale <sup>25</sup>
11/27/20	1.000	149.74	09/02/20	166.00	16.26 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	1.000	154.99	09/02/20	154.72	...	0.27	1 of 2 - Sale <sup>25</sup>
	1.000	154.99	09/02/20	154.68	...	0.31	2 of 2 - Sale <sup>25</sup>
11/30/20	2.000	309.98	Various	309.40	...	0.58	Total of 2 transactions
	3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	1.000	151.00	09/03/20	147.25	...	3.75	1 of 3 - Sale <sup>25</sup>
	1.000	155.15	10/21/20	160.50	...	-5.35	2 of 3 - Sale <sup>25</sup>
	1.000	158.00	11/10/20	141.26	...	16.74	3 of 3 - Sale <sup>25</sup>
12/02/20	3.000	464.15	Various	449.01	...	15.14	Total of 3 transactions
12/02/20	1.000	151.00	10/21/20	161.81	10.81 W	0.00	Sale <sup>25</sup>
12/03/20	1.000	172.00	11/13/20	146.81	...	25.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,853.37</b>		<b>1,897.03</b>	<b>57.07 W</b>	<b>13.41</b>	

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(continued)

02/14/2021

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DRAGON VICTORY INTERNATIONAL LIMITED ORDINARY SHARES / CUSIP: G28365107 / Symbol:							
10/20/20	1.000	3.73	10/19/20	2.48	...	1.25	Sale <sup>25</sup>
KAIXIN AUTO HOLDINGS ORDINARY SHARE / CUSIP: G5223X100 / Symbol:							
4 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	6.18	11/20/20	5.05	...	1.13	1 of 4 - Sale <sup>25</sup>
	4.000	22.84	11/20/20	20.20	...	2.64	2 of 4 - Sale <sup>25</sup>
	20.000	124.00	11/20/20	100.98	...	23.02	3 of 4 - Sale <sup>25</sup>
	25.000	158.25	11/20/20	126.22	...	32.03	4 of 4 - Sale <sup>25</sup>
11/20/20	50.000	311.27	Various	252.45	...	58.82	Total of 4 transactions
11/25/20	1.000	8.15	11/24/20	7.45	...	0.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>319.42</b>		<b>259.90</b>	...	<b>59.52</b>	
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.70	11/24/20	0.65	...	0.05	1 of 2 - Sale <sup>25</sup>
	99.000	69.29	11/24/20	65.34	...	3.95	2 of 2 - Sale <sup>25</sup>
11/24/20	100.000	69.99	Various	65.99	...	4.00	Total of 2 transactions
11/25/20	25.000	19.35	11/24/20	19.25	...	0.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>89.34</b>		<b>85.24</b>	...	<b>4.10</b>	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	10.000	203.10	06/08/20	246.00	...	-42.90	1 of 2 - Sale <sup>25</sup>
	10.000	210.00	06/08/20	246.99	...	-36.99	2 of 2 - Sale <sup>25</sup>
11/10/20	20.000	413.10	Various	492.99	...	-79.89	Total of 2 transactions
7 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	10.000	201.70	06/09/20	233.90	...	-32.20	1 of 7 - Sale <sup>25</sup>
	10.000	202.50	06/10/20	211.96	...	-9.46	2 of 7 - Sale <sup>25</sup>
	10.000	201.00	06/10/20	209.67	...	-8.67	3 of 7 - Sale <sup>25</sup>
	10.000	201.00	06/10/20	209.09	...	-8.09	4 of 7 - Sale <sup>25</sup>
	5.000	101.00	06/16/20	108.70	...	-7.70	5 of 7 - Sale <sup>25</sup>
	5.000	101.00	07/02/20	79.90	...	21.10	6 of 7 - Sale <sup>25</sup>
	10.000	202.00	07/02/20	159.20	...	42.80	7 of 7 - Sale <sup>25</sup>
11/13/20	60.000	1,210.20	Various	1,212.42	...	-2.22	Total of 7 transactions

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Robinhood Securities LLC

Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	5.000	102.85	07/09/20	75.60	...	27.25	1 of 3 - Sale <sup>25</sup>
	5.000	102.85	07/20/20	74.69	...	28.16	2 of 3 - Sale <sup>25</sup>
	5.000	103.50	07/21/20	73.70	...	29.80	3 of 3 - Sale <sup>25</sup>
11/17/20	15.000	309.20	Various	223.99	...	85.21	Total of 3 transactions
12/03/20	4.000	99.68	07/21/20	58.96	...	40.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,032.18</b>		<b>1,988.36</b>	...	<b>43.82</b>	
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
09/23/20	5.000	173.60	09/23/20	132.00	...	41.60	Sale <sup>25</sup>
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	2.000	41.60	09/23/20	52.80	11.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	43.00	09/23/20	52.80	9.80 W	0.00	2 of 2 - Sale <sup>25</sup>
09/23/20	4.000	84.60	Various	105.60	21.00 W	0.00	Total of 2 transactions
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	7.20	09/23/20	26.40	19.20 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	6.40	09/25/20	15.98	9.58 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	6.40	09/29/20	14.36	7.96 W	0.00	3 of 3 - Sale <sup>25</sup>
09/30/20	3.000	20.00	Various	56.74	36.74 W	0.00	Total of 3 transactions
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	5.000	45.00	10/07/20	40.50	...	4.50	1 of 2 - Sale <sup>25</sup>
	20.000	180.00	10/07/20	163.01	...	16.99	2 of 2 - Sale <sup>25</sup>
10/28/20	25.000	225.00	Various	203.51	...	21.49	Total of 2 transactions
4 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	9.00	10/07/20	27.35	18.35 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	9.00	10/07/20	17.73	8.73 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	9.00	10/07/20	16.11	7.11 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	18.00	10/07/20	26.10	8.10 W	0.00	4 of 4 - Sale <sup>25</sup>
10/28/20	5.000	45.00	Various	87.29	42.29 W	0.00	Total of 4 transactions
8 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	1.000	8.75	10/08/20	7.90	...	0.85	1 of 8 - Sale <sup>25</sup>
	5.000	43.75	10/08/20	40.00	...	3.75	2 of 8 - Sale <sup>25</sup>
	4.000	35.00	10/09/20	30.60	...	4.40	3 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 780335873

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol: (cont'd)							
	5.000	43.20	10/09/20	38.25	...	4.95	4 of 8 - Sale <sup>25</sup>
	5.000	43.20	10/09/20	36.70	...	6.50	5 of 8 - Sale <sup>25</sup>
	5.000	43.40	10/09/20	36.70	...	6.70	6 of 8 - Sale <sup>25</sup>
	5.000	43.40	10/09/20	36.50	...	6.90	7 of 8 - Sale <sup>25</sup>
	5.000	43.46	10/09/20	36.50	...	6.96	8 of 8 - Sale <sup>25</sup>
11/16/20	35.000	304.16	Various	263.15	...	41.01	Total of 8 transactions
	9 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	1.000	8.60	10/07/20	26.45	17.85 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	8.60	10/07/20	16.83	8.23 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	8.60	10/07/20	15.21	6.61 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	17.20	10/07/20	24.30	7.10 W	0.00	4 of 9 - Sale <sup>25</sup>
	3.700	32.16	10/15/20	42.18	10.02 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.300	2.60	10/15/20	3.42	0.82 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	8.67	10/15/20	11.40	2.73 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	43.50	10/15/20	57.00	13.50 W	0.00	8 of 9 - Sale <sup>25</sup>
	5.000	43.50	10/15/20	55.00	11.50 W	0.00	9 of 9 - Sale <sup>25</sup>
11/16/20	20.000	173.43	Various	251.79	78.36 W	0.00	Total of 9 transactions
	14 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	5.000	43.50	10/15/20	55.00	11.50 W	0.00	1 of 14 - Sale <sup>25</sup>
	5.000	43.50	10/15/20	48.75	5.25 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	43.35	10/15/20	48.75	5.40 W	0.00	3 of 14 - Sale <sup>25</sup>
	5.000	43.35	10/15/20	45.00	1.65 W	0.00	4 of 14 - Sale <sup>25</sup>
	10.000	86.00	10/15/20	90.00	4.00 W	0.00	5 of 14 - Sale <sup>25</sup>
	10.000	86.00	10/15/20	90.00	4.00 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	8.60	10/21/20	25.76	17.16 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	8.60	10/21/20	16.14	7.54 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	8.60	10/21/20	14.52	5.92 W	0.00	9 of 14 - Sale <sup>25</sup>
	2.000	17.20	10/21/20	22.92	5.72 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	8.60	10/21/20	10.74	2.14 W	0.00	11 of 14 - Sale <sup>25</sup>
	0.300	2.58	11/10/20	3.11	0.53 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.000	8.60	11/10/20	10.38	1.78 W	0.00	13 of 14 - Sale <sup>25</sup>
	2.700	23.22	11/10/20	27.97	4.75 W	0.00	14 of 14 - Sale <sup>25</sup>
11/19/20	50.000	431.70	Various	509.04	77.34 W	0.00	Total of 14 transactions

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Robinhood Securities LLC

Account 780335873

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol: (cont'd)							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	5.000	43.45	11/10/20	51.75	...	-8.30	1 of 2 - Sale <sup>25</sup>
	5.000	43.45	11/10/20	49.00	...	-5.55	2 of 2 - Sale <sup>25</sup>
11/20/20	10.000	86.90	Various	100.75	...	-13.85	Total of 2 transactions
14 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	8.29	12/10/20	25.43	...	-17.14	1 of 14 - Sale <sup>25</sup>
	5.000	41.45	12/10/20	52.85	...	-11.40	2 of 14 - Sale <sup>25</sup>
	1.000	8.29	12/10/20	15.81	...	-7.52	3 of 14 - Sale <sup>25</sup>
	1.000	8.29	12/10/20	14.19	...	-5.90	4 of 14 - Sale <sup>25</sup>
	2.000	16.58	12/10/20	22.26	...	-5.68	5 of 14 - Sale <sup>25</sup>
	5.000	41.45	12/10/20	46.75	...	-5.30	6 of 14 - Sale <sup>25</sup>
	5.000	41.45	12/10/20	46.60	...	-5.15	7 of 14 - Sale <sup>25</sup>
	2.700	22.38	12/10/20	27.08	...	-4.70	8 of 14 - Sale <sup>25</sup>
	10.000	83.20	12/10/20	86.70	...	-3.50	9 of 14 - Sale <sup>25</sup>
	10.000	83.20	12/10/20	86.70	...	-3.50	10 of 14 - Sale <sup>25</sup>
	1.000	8.29	12/10/20	10.41	...	-2.12	11 of 14 - Sale <sup>25</sup>
	1.000	8.29	12/10/20	10.05	...	-1.76	12 of 14 - Sale <sup>25</sup>
	5.000	41.60	12/10/20	43.00	...	-1.40	13 of 14 - Sale <sup>25</sup>
	0.300	2.49	12/10/20	3.01	...	-0.52	14 of 14 - Sale <sup>25</sup>
12/23/20	50.000	415.25	Various	490.84	...	-75.59	Total of 14 transactions
	<b>Security total:</b>	<b>1,959.64</b>		<b>2,200.71</b>	<b>255.73 W</b>	<b>14.66</b>	
TAOPING INC. ORDINARY SHARES / CUSIP: G8675V119 / Symbol:							
07/30/20	10.000	118.20	07/30/20	111.45	...	6.75	Sale <sup>25</sup>
TANTECH HOLDINGS LTD. COMMON S TOCK / CUSIP: G8675X107 / Symbol:							
11/23/20	50.000	108.00	11/23/20	71.50	...	36.50	Sale <sup>25</sup>
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	25.000	52.00	11/23/20	35.75	...	16.25	1 of 2 - Sale <sup>25</sup>
	25.000	55.05	11/23/20	35.75	...	19.30	2 of 2 - Sale <sup>25</sup>
11/24/20	50.000	107.05	Various	71.50	...	35.55	Total of 2 transactions
	<b>Security total:</b>	<b>215.05</b>		<b>143.00</b>		<b>72.05</b>	

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VIVOPOWER INTERNATIONAL PLC OR DINARY SHARES / CUSIP: G9376R100 / Symbol:							
10/09/20	2.000	36.00	10/09/20	33.00	...	3.00	Sale <sup>25</sup>
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol:							
10/05/20	1.000	42.70	10/05/20	42.58	...	0.12	Sale <sup>25</sup>
NXP SEMICONDUCTORS N.V. COMMON STOCK / CUSIP: N6596X109 / Symbol:							
05/18/20	1.000	98.45	04/27/20	96.50	...	1.95	Sale <sup>25</sup>
06/02/20	1.000	102.00	04/27/20	95.73	...	6.27	Sale <sup>25</sup>
07/20/20	1.000	122.87	05/13/20	92.71	...	30.16	Sale <sup>25</sup>
07/21/20	1.000	123.63	05/15/20	89.70	...	33.93	Sale <sup>25</sup>
	<b>Security total:</b>	<b>446.95</b>		<b>374.64</b>	...	<b>72.31</b>	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
	9 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	20.000	1,129.97	05/21/20	861.40	...	268.57	1 of 9 - Sale <sup>25</sup>
	5.000	287.50	06/30/20	256.57	...	30.93	2 of 9 - Sale <sup>25</sup>
	5.000	290.00	06/30/20	242.32	...	47.68	3 of 9 - Sale <sup>25</sup>
	5.000	291.25	07/02/20	267.08	...	24.17	4 of 9 - Sale <sup>25</sup>
	5.000	292.50	07/02/20	267.08	...	25.42	5 of 9 - Sale <sup>25</sup>
	5.000	292.50	07/02/20	267.07	...	25.43	6 of 9 - Sale <sup>25</sup>
	5.000	293.00	07/02/20	267.08	...	25.92	7 of 9 - Sale <sup>25</sup>
	5.000	295.00	07/02/20	267.07	...	27.93	8 of 9 - Sale <sup>25</sup>
	5.000	297.50	07/02/20	260.10	...	37.40	9 of 9 - Sale <sup>25</sup>
07/15/20	60.000	3,469.22	Various	2,955.77	...	513.45	Total of 9 transactions
	2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	5.000	282.49	06/17/20	291.51	9.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	286.00	06/17/20	300.24	14.24 W	0.00	2 of 2 - Sale <sup>25</sup>
07/15/20	10.000	568.49	Various	591.75	23.26 W	0.00	Total of 2 transactions
	3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	10.000	514.99	07/23/20	498.30	...	16.69	1 of 3 - Sale <sup>25</sup>
	5.000	257.49	07/27/20	242.50	...	14.99	2 of 3 - Sale <sup>25</sup>
	10.000	514.99	07/27/20	490.39	...	24.60	3 of 3 - Sale <sup>25</sup>
07/28/20	25.000	1,287.47	Various	1,231.19	...	56.28	Total of 3 transactions
07/28/20	5.000	257.49	07/02/20	260.09	2.60 W	0.00	Sale <sup>25</sup>

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Account 780335873

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol: (cont'd)							
<i>7 transactions for 08/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	52.00	07/30/20	49.36	...	2.64	1 of 7 - Sale <sup>25</sup>
	5.000	259.99	07/30/20	250.35	...	9.64	2 of 7 - Sale <sup>25</sup>
	4.000	208.00	07/30/20	197.43	...	10.57	3 of 7 - Sale <sup>25</sup>
	5.000	259.99	07/30/20	247.75	...	12.24	4 of 7 - Sale <sup>25</sup>
	10.000	519.99	07/31/20	480.00	...	39.99	5 of 7 - Sale <sup>25</sup>
	2.000	104.00	08/03/20	92.32	...	11.68	6 of 7 - Sale <sup>25</sup>
	2.000	103.99	08/03/20	92.14	...	11.85	7 of 7 - Sale <sup>25</sup>
08/07/20	29.000	1,507.96	Various	1,409.35	...	98.61	Total of 7 transactions
08/10/20	1.000	57.14	08/03/20	46.07	...	11.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,147.77</b>		<b>6,494.22</b>	<b>25.86 W</b>	<b>679.41</b>	
<b>Totals :</b>		<b>281,640.72</b>		<b>283,850.91</b>	<b>13,546.78 W</b>	<b>11,336.59</b>	

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Robinhood Securities LLC

Account 780335873

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK / CUSIP: 674599162 / Symbol:							
08/10/20	0.250	1.23	07/02/20	1.41	...	-0.18	Gain or loss
<b>Totals:</b>		<b>1.23</b>		<b>1.41</b>	...	<b>-0.18</b>	

Robinhood Securities LLC

Account 780335873

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		05/14/20	8.20	Qualified dividend	
			08/13/20	5.74	Qualified dividend	
			11/12/20	8.20	Qualified dividend	
				<b>22.14</b>	<b>Total Dividends &amp; distributions</b>	
BP P.L.C.	055622104		09/25/20	4.73	Qualified dividend	
			12/18/20	6.30	Qualified dividend	
				<b>11.03</b>	<b>Total Dividends &amp; distributions</b>	
COMCAST CORPORATION CLASS A COMMON STOCK	20030N101		04/22/20	1.84	Qualified dividend	
COSTCO WHOLESALE CORPORATION COMMON STOCK	22160K105		05/15/20	0.70	Qualified dividend	
DIREXION DAILY S&P OIL & GAS EXP. & PROD. BULL 2X SHARES	25460G500		06/30/20	0.32	Qualified dividend	
			09/29/20	0.02	Qualified dividend	
			12/30/20	0.07	Qualified dividend	
			<b>0.41</b>	<b>Total Dividends &amp; distributions</b>		
DIREXION DAILY ENERGY BULL 2X SHARES	25460G609		06/30/20	4.84	Qualified dividend	
			09/29/20	5.08	Qualified dividend	
			12/30/20	1.86	Qualified dividend	
			<b>11.78</b>	<b>Total Dividends &amp; distributions</b>		
FEDEX CORPORATION	31428X106		04/01/20	1.30	Qualified dividend	
			07/13/20	0.65	Qualified dividend	
			<b>1.95</b>	<b>Total Dividends &amp; distributions</b>		
GILEAD SCIENCES, INC. COMMON STOCK	375558103		06/29/20	1.36	Qualified dividend	
HALLIBURTON COMPANY	406216101		06/24/20	0.68	Qualified dividend	



Robinhood Securities LLC

Account 780335873

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
HONEYWELL INTERNATIONAL, INC.	438516106		06/05/20	9.00	Qualified dividend	
MGM RESORTS INTERNATIONAL	552953101		06/15/20	0.01	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20 06/11/20	1.02 10.20	Qualified dividend Qualified dividend	
				<b>11.22</b>	<b>Total Dividends &amp; distributions</b>	
MICROCHIP TECHNOLOGY INCORPORA TED COMMON STOCK	595017104		06/04/20	0.37	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		03/20/20 06/26/20	0.16 0.64	Qualified dividend Qualified dividend	
				<b>0.80</b>	<b>Total Dividends &amp; distributions</b>	
OCCIDENTAL PETROLEUM CORPORATI ON	674599105		07/15/20 10/15/20	0.50 0.90	Qualified dividend Qualified dividend	
				<b>1.40</b>	<b>Total Dividends &amp; distributions</b>	
PHILLIPS 66	718546104		06/01/20 09/01/20	1.80 1.80	Qualified dividend Qualified dividend	
				<b>3.60</b>	<b>Total Dividends &amp; distributions</b>	
ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107		06/22/20	1.60	Qualified dividend	
TYSON FOODS, INC.	902494103		06/15/20	0.42	Qualified dividend	
U.S. BANCORP	902973304		07/15/20	0.42	Qualified dividend	
VISA INC.	92826C839		06/02/20	0.60	Qualified dividend	
NXP SEMICONDUCTORS N.V. COMMON STOCK	N6596X109		07/06/20 07/06/20	0.75 -0.11	Nonqualified dividend Foreign tax withheld-NL	
				<b>0.75</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.11</b>	<b>Total Foreign tax withheld</b>	
				<b>82.08</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.11</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 780335873

## Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
NL	ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107	0.00	1.60		0.00	1.60	0.00
NL	NXP SEMICONDUCTORS N.V. COMMON STOCK	N6596X109	0.75	0.00		0.00	0.75	-0.11
<b>Total NETHERLANDS</b>			<b>0.75</b>	<b>1.60</b>		<b>0.00</b>	<b>2.35</b>	<b>-0.11</b>
UK	BP P.L.C.	055622104	0.00	11.03		0.00	11.03	0.00
<b>Total UNITED KINGDOM</b>			<b>0.00</b>	<b>11.03</b>		<b>0.00</b>	<b>11.03</b>	<b>0.00</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>13.38</b>				
	<b>Qualified Foreign Source Income**</b>			<b>12.63</b>				
	<b>Foreign Tax</b>			<b>-0.11</b>				

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 780335873

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
DIAMONDPEAK HOLDINGS CORP. CLASS A COMMON STOCK	25280H100	10/26/20	-62.00	0.00	Exchange	
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK	54405Q100	10/23/20	62.00	0.00	Exchange	
OASIS PETROLEUM INC. COMMON STOCK	674215108	11/20/20	-400.00	0.00	Merger	
OASIS PETROLEUM INC. WARRANTS	674215116	11/20/20	2.00	0.00	Merger	
OCCIDENTAL PETROLEUM CORPORATION WARRANTS TO PURCHASE COMMON STOCK	674599162	08/03/20	6.00	0.00	Stock spinoff	
T-MOBILE US, INC. SUBSCRIPTION RIGHTS EXPIRING 7/27/2020	872590112	06/26/20	2.00	0.00	Stock spinoff	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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