

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 795687490</b>	Statement Date: 02/14/2021 Document ID: 6340 12Y 38GP	2020
	Saketh Gummadavelli 3401 Baymeadows Way 325 Henrico, VA 23233  RECIPIENT'S TIN: XXX-XX-8561		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	132,181.92	118,909.57	0.00	588.12	13,860.47
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	3.33	4.00	0.00	0.00	-0.67
	<b>Total Short-term</b>	<b>132,185.25</b>	<b>118,913.57</b>	<b>0.00</b>	<b>588.12</b>	<b>13,859.80</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>132,185.25</b>	<b>118,913.57</b>	<b>0.00</b>	<b>588.12</b>	<b>13,859.80</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 795687490

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 795687490

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALJ REGIONAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 001627108 / Symbol:							
11 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	40.000	20.40	04/20/20	20.00	...	0.40	1 of 11 - Sale <sup>25</sup>
	60.000	30.61	04/20/20	29.99	...	0.62	2 of 11 - Sale <sup>25</sup>
	100.000	51.01	04/20/20	50.00	...	1.01	3 of 11 - Sale <sup>25</sup>
	100.000	51.01	04/20/20	50.00	...	1.01	4 of 11 - Sale <sup>25</sup>
	100.000	51.01	04/20/20	50.00	...	1.01	5 of 11 - Sale <sup>25</sup>
	100.000	51.19	04/20/20	50.00	...	1.19	6 of 11 - Sale <sup>25</sup>
	100.000	51.19	04/20/20	50.00	...	1.19	7 of 11 - Sale <sup>25</sup>
	200.000	102.37	04/20/20	100.00	...	2.37	8 of 11 - Sale <sup>25</sup>
	460.000	234.54	04/20/20	229.95	...	4.59	9 of 11 - Sale <sup>25</sup>
	640.000	326.31	04/20/20	320.00	...	6.31	10 of 11 - Sale <sup>25</sup>
	600.000	307.13	04/20/20	300.00	...	7.13	11 of 11 - Sale <sup>25</sup>
04/28/20	2,500.000	1,276.77	Various	1,249.94	...	26.83	Total of 11 transactions

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Robinhood Securities LLC

Account 795687490

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALJ REGIONAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 001627108 / Symbol: (cont'd)							
<i>2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	1,365.330	1,338.24	11/30/20	1,351.54	...	-13.30	1 of 2 - Sale <sup>25</sup>
	0.467	0.46	11/30/20	0.46	...	0.00	2 of 2 - Sale <sup>25</sup>
12/03/20	1,365.796	1,338.70	Various	1,352.00	...	-13.30	Total of 2 transactions
	<b>Security total:</b>	<b>2,615.47</b>		<b>2,601.94</b>	...	<b>13.53</b>	
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W206 / Symbol:							
<i>3 transactions for 10/15/20. Total proceeds and cost reported to the IRS.</i>							
	62.733	735.21	08/10/20	749.04	...	-13.83	1 of 3 - Sale <sup>25</sup>
	16.600	194.54	08/10/20	198.20	...	-3.66	2 of 3 - Sale <sup>25</sup>
	2.667	31.25	08/10/20	32.00	...	-0.75	3 of 3 - Sale <sup>25</sup>
10/15/20	82.000	961.00	Various	979.24	...	-18.24	Total of 3 transactions
APEX GLOBAL BRANDS INC. COMMON STOCK / CUSIP: 03755M201 / Symbol:							
<i>9 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	1,140.000	526.54	04/23/20	547.43	...	-20.89	1 of 9 - Sale <sup>25</sup>
	800.000	369.50	04/23/20	384.16	...	-14.66	2 of 9 - Sale <sup>25</sup>
	200.000	92.38	04/23/20	96.04	...	-3.66	3 of 9 - Sale <sup>25</sup>
	200.000	92.38	04/23/20	96.04	...	-3.66	4 of 9 - Sale <sup>25</sup>
	100.000	46.19	04/23/20	48.02	...	-1.83	5 of 9 - Sale <sup>25</sup>
	100.000	46.19	04/23/20	48.02	...	-1.83	6 of 9 - Sale <sup>25</sup>
	100.000	46.19	04/23/20	48.02	...	-1.83	7 of 9 - Sale <sup>25</sup>
	55.000	25.40	04/23/20	26.41	...	-1.01	8 of 9 - Sale <sup>25</sup>
	11.000	5.08	04/23/20	5.28	...	-0.20	9 of 9 - Sale <sup>25</sup>
04/24/20	2,706.000	1,249.85	Various	1,299.42	...	-49.57	Total of 9 transactions
ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol:							
<i>3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.179	0.29	11/18/20	0.29	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.390	0.64	11/18/20	0.63	...	0.01	2 of 3 - Sale <sup>25</sup>
	1,234.000	2,011.22	11/18/20	1,999.08	...	12.14	3 of 3 - Sale <sup>25</sup>
11/23/20	1,234.569	2,012.15	Various	2,000.00	...	12.15	Total of 3 transactions

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Robinhood Securities LLC

Account 795687490

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
8 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	4.000	2.80	04/16/20	2.65	...	0.15	1 of 8 - Sale <sup>25</sup>
	200.000	139.86	04/16/20	132.32	...	7.54	2 of 8 - Sale <sup>25</sup>
	200.000	139.86	04/16/20	132.32	...	7.54	3 of 8 - Sale <sup>25</sup>
	300.000	209.78	04/16/20	198.48	...	11.30	4 of 8 - Sale <sup>25</sup>
	396.000	276.91	04/16/20	261.99	...	14.92	5 of 8 - Sale <sup>25</sup>
	400.000	279.71	04/16/20	264.64	...	15.07	6 of 8 - Sale <sup>25</sup>
	600.000	419.57	04/16/20	396.96	...	22.61	7 of 8 - Sale <sup>25</sup>
	1,900.000	1,328.60	04/16/20	1,257.04	...	71.56	8 of 8 - Sale <sup>25</sup>
04/17/20	4,000.000	2,797.09	Various	2,646.40	...	150.69	Total of 8 transactions
AVIANCA HOLDINGS SA AMERICAN D EPOSITARY RECEIPTS - SPONSORED (PANAMA) / CUSIP: 05367G100 / Symbol:							
4 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	2.000	1.86	04/27/20	1.86	...	0.00	1 of 4 - Sale <sup>25</sup>
	6.000	5.58	04/27/20	5.57	...	0.01	2 of 4 - Sale <sup>25</sup>
	100.000	92.99	04/27/20	92.77	...	0.22	3 of 4 - Sale <sup>25</sup>
	117.000	108.80	04/27/20	108.54	...	0.26	4 of 4 - Sale <sup>25</sup>
04/27/20	225.000	209.23	Various	208.74	...	0.49	Total of 4 transactions
04/29/20	775.000	733.20	04/27/20	718.96	...	14.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>942.43</b>		<b>927.70</b>	...	<b>14.73</b>	
BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol:							
03/23/20	150.000	1,905.40	03/20/20	1,449.00	...	456.40	Sale <sup>25</sup>
03/26/20	250.000	2,612.44	03/25/20	2,392.48	...	219.96	Sale <sup>25</sup>
3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	250.000	1,811.08	04/29/20	2,232.48	...	-421.40	1 of 3 - Sale <sup>25</sup>
	110.000	796.87	04/30/20	923.49	...	-126.62	2 of 3 - Sale <sup>25</sup>
	15.000	108.66	04/30/20	132.00	...	-23.34	3 of 3 - Sale <sup>25</sup>
05/11/20	375.000	2,716.61	Various	3,287.97	...	-571.36	Total of 3 transactions
	<b>Security total:</b>	<b>7,234.45</b>		<b>7,129.45</b>	...	<b>105.00</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
B2GOLD CORP. / CUSIP: 11777Q209 / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	7.000	37.74	05/13/20	37.10	...	0.64	1 of 2 - Sale <sup>25</sup>
	128.000	690.13	05/13/20	670.08	...	20.05	2 of 2 - Sale <sup>25</sup>
05/15/20	135.000	727.87	Various	707.18	...	20.69	Total of 2 transactions
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
7 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	100.000	90.85	05/21/20	156.64	...	-65.79	1 of 7 - Sale <sup>25</sup>
	100.000	90.85	05/21/20	156.64	...	-65.79	2 of 7 - Sale <sup>25</sup>
	68.000	61.78	05/21/20	106.52	...	-44.74	3 of 7 - Sale <sup>25</sup>
	100.000	90.86	05/21/20	127.00	...	-36.14	4 of 7 - Sale <sup>25</sup>
	32.000	29.07	05/21/20	50.12	...	-21.05	5 of 7 - Sale <sup>25</sup>
	20.000	18.15	05/21/20	31.33	...	-13.18	6 of 7 - Sale <sup>25</sup>
	12.000	10.90	05/21/20	18.79	...	-7.89	7 of 7 - Sale <sup>25</sup>
05/26/20	432.000	392.46	Various	647.04	...	-254.58	Total of 7 transactions
4 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	100.000	90.86	05/21/20	127.00	11.56 W	-24.58	1 of 4 - Sale <sup>25</sup>
	100.000	90.86	05/21/20	127.00	36.14 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	90.86	05/21/20	127.00	36.14 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	90.86	05/21/20	127.00	36.14 W	0.00	4 of 4 - Sale <sup>25</sup>
05/26/20	400.000	363.44	Various	508.00	119.98 W	-24.58	Total of 4 transactions
	<b>Security total:</b>	<b>755.90</b>		<b>1,155.04</b>	<b>119.98 W</b>	<b>-279.16</b>	
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
05/18/20	7.000	1,070.56	05/18/20	1,054.13	...	16.43	Sale <sup>25</sup>
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
06/08/20	50.000	1,794.97	05/13/20	1,012.00	...	782.97	Sale <sup>25</sup>
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
04/16/20	50.000	1,067.60	04/15/20	1,043.42	...	24.18	Sale <sup>25</sup>
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	15.000	905.07	04/15/20	313.02	...	592.05	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 795687490

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	100.000	6,033.81	04/16/20	2,130.80	...	3,903.01	2 of 2 - Sale <sup>25</sup>
06/08/20	115.000	6,938.88	Various	2,443.82	...	4,495.06	Total of 2 transactions
	<b>Security total:</b>	<b>8,006.48</b>		<b>3,487.24</b>	...	<b>4,519.24</b>	
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T200 / Symbol:							
<i>21 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	37.48	03/10/20	16.72	...	20.76	1 of 21 - Sale <sup>25</sup>
	100.000	37.49	03/10/20	16.72	...	20.77	2 of 21 - Sale <sup>25</sup>
	100.000	37.49	03/10/20	16.72	...	20.77	3 of 21 - Sale <sup>25</sup>
	100.000	37.49	03/10/20	16.72	...	20.77	4 of 21 - Sale <sup>25</sup>
	100.000	37.49	03/10/20	16.72	...	20.77	5 of 21 - Sale <sup>25</sup>
	100.000	37.49	03/10/20	16.72	...	20.77	6 of 21 - Sale <sup>25</sup>
	100.000	37.49	03/10/20	16.72	...	20.77	7 of 21 - Sale <sup>25</sup>
	100.000	37.49	03/10/20	16.72	...	20.77	8 of 21 - Sale <sup>25</sup>
	100.000	37.49	03/10/20	16.72	...	20.77	9 of 21 - Sale <sup>25</sup>
	100.000	37.49	03/10/20	16.72	...	20.77	10 of 21 - Sale <sup>25</sup>
	100.000	37.97	03/10/20	16.72	...	21.25	11 of 21 - Sale <sup>25</sup>
	100.000	37.97	03/10/20	16.72	...	21.25	12 of 21 - Sale <sup>25</sup>
	100.000	37.98	03/10/20	16.72	...	21.26	13 of 21 - Sale <sup>25</sup>
	100.000	37.98	03/10/20	16.72	...	21.26	14 of 21 - Sale <sup>25</sup>
	100.000	37.98	03/10/20	16.72	...	21.26	15 of 21 - Sale <sup>25</sup>
	100.000	37.98	03/10/20	16.72	...	21.26	16 of 21 - Sale <sup>25</sup>
	100.000	37.98	03/10/20	16.72	...	21.26	17 of 21 - Sale <sup>25</sup>
	100.000	37.98	03/10/20	16.72	...	21.26	18 of 21 - Sale <sup>25</sup>
	100.000	37.98	03/10/20	16.72	...	21.26	19 of 21 - Sale <sup>25</sup>
	100.000	37.98	03/10/20	16.72	...	21.26	20 of 21 - Sale <sup>25</sup>
	1,500.000	562.28	03/10/20	250.65	...	311.63	21 of 21 - Sale <sup>25</sup>
03/12/20	3,500.000	1,316.95	Various	585.05	...	731.90	Total of 21 transactions
03/16/20	1,090.000	201.63	03/16/20	196.20	...	5.43	Sale <sup>25</sup>
03/16/20	3,999.000	739.74	03/13/20	755.01	15.27 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>							
	1,001.000	199.07	03/18/20	168.97	...	30.10	1 of 2 - Sale <sup>25</sup>
	3,999.000	795.30	03/18/20	690.30	...	105.00	2 of 2 - Sale <sup>25</sup>
03/20/20	5,000.000	994.37	Various	859.27	...	135.10	Total of 2 transactions

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Robinhood Securities LLC

Account 795687490

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T200 / Symbol: (cont'd)							
<b>Security total:</b>		<b>3,252.69</b>		<b>2,395.53</b>	<b>15.27 W</b>	<b>872.43</b>	
DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T309 / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	199.808	988.00	09/22/20	1,060.98	39.68 W	-33.30	1 of 2 - Sale <sup>25</sup>
	142.000	702.16	09/22/20	754.02	51.86 W	0.00	2 of 2 - Sale <sup>25</sup>
11/11/20	341.808	1,690.16	Various	1,815.00	91.54 W	-33.30	Total of 2 transactions
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	141.828	705.13	11/20/20	748.98	...	-43.85	1 of 3 - Sale <sup>25</sup>
	108.627	540.07	11/20/20	573.66	...	-33.59	2 of 3 - Sale <sup>25</sup>
	0.172	0.86	11/20/20	0.90	...	-0.04	3 of 3 - Sale <sup>25</sup>
11/23/20	250.627	1,246.06	Various	1,323.54	...	-77.48	Total of 3 transactions
<b>Security total:</b>		<b>2,936.22</b>		<b>3,138.54</b>	<b>91.54 W</b>	<b>-110.78</b>	
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	200.000	454.40	03/16/20	593.52	139.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	200.000	454.40	03/17/20	527.40	73.00 W	0.00	2 of 2 - Sale <sup>25</sup>
03/18/20	400.000	908.80	Various	1,120.92	212.12 W	0.00	Total of 2 transactions
3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	200.000	400.01	03/18/20	594.84	...	-194.83	1 of 3 - Sale <sup>25</sup>
	200.000	400.01	03/18/20	528.72	...	-128.71	2 of 3 - Sale <sup>25</sup>
	200.000	400.00	03/18/20	395.86	...	4.14	3 of 3 - Sale <sup>25</sup>
03/19/20	600.000	1,200.02	Various	1,519.42	...	-319.40	Total of 3 transactions
<b>Security total:</b>		<b>2,108.82</b>		<b>2,640.34</b>	<b>212.12 W</b>	<b>-319.40</b>	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
03/16/20	200.000	262.00	03/12/20	212.00	...	50.00	Sale <sup>25</sup>
3 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	100.000	125.99	03/20/20	120.50	...	5.49	1 of 3 - Sale <sup>25</sup>
	300.000	377.99	03/20/20	361.50	...	16.49	2 of 3 - Sale <sup>25</sup>
	1,100.000	1,385.94	03/20/20	1,325.50	...	60.44	3 of 3 - Sale <sup>25</sup>
03/23/20	1,500.000	1,889.92	Various	1,807.50	...	82.42	Total of 3 transactions

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Robinhood Securities LLC

Account 795687490

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,151.92</b>		<b>2,019.50</b>	...	<b>132.42</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
03/13/20	1.000	4.47	03/06/20	3.74	...	0.73	Sale <sup>25</sup>
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	100.00	06/03/20	81.75	...	18.25	1 of 2 - Sale <sup>25</sup>
	2,400.000	2,399.89	06/03/20	1,967.76	...	432.13	2 of 2 - Sale <sup>25</sup>
06/04/20	2,500.000	2,499.89	Various	2,049.51	...	450.38	Total of 2 transactions
HEXO CORP. / CUSIP: 428304109 / Symbol:							
<i>9 transactions for 03/31/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	88.01	03/30/20	78.20	...	9.81	1 of 9 - Sale <sup>25</sup>
	100.000	88.02	03/30/20	78.20	...	9.82	2 of 9 - Sale <sup>25</sup>
	100.000	88.02	03/30/20	78.20	...	9.82	3 of 9 - Sale <sup>25</sup>
	100.000	88.02	03/30/20	78.20	...	9.82	4 of 9 - Sale <sup>25</sup>
	100.000	88.02	03/30/20	78.17	...	9.85	5 of 9 - Sale <sup>25</sup>
	200.000	176.03	03/30/20	156.40	...	19.63	6 of 9 - Sale <sup>25</sup>
	200.000	176.03	03/30/20	156.34	...	19.69	7 of 9 - Sale <sup>25</sup>
	400.000	352.06	03/30/20	312.72	...	39.34	8 of 9 - Sale <sup>25</sup>
	700.000	616.11	03/30/20	547.40	...	68.71	9 of 9 - Sale <sup>25</sup>
03/31/20	2,000.000	1,760.32	Various	1,563.83	...	196.49	Total of 9 transactions
<i>2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.</i>							
	2,800.000	1,416.71	04/07/20	1,916.88	...	-500.17	1 of 2 - Sale <sup>25</sup>
	80.000	40.48	04/07/20	54.77	...	-14.29	2 of 2 - Sale <sup>25</sup>
04/15/20	2,880.000	1,457.19	Various	1,971.65	...	-514.46	Total of 2 transactions
<i>7 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	151.10	11/13/20	147.72	...	3.38	1 of 7 - Sale <sup>25</sup>
	200.000	151.10	11/13/20	147.72	...	3.38	2 of 7 - Sale <sup>25</sup>
	200.000	151.10	11/13/20	147.72	...	3.38	3 of 7 - Sale <sup>25</sup>
	200.000	151.12	11/13/20	147.72	...	3.40	4 of 7 - Sale <sup>25</sup>
	400.000	302.23	11/13/20	295.44	...	6.79	5 of 7 - Sale <sup>25</sup>
	757.000	571.95	11/13/20	559.12	...	12.83	6 of 7 - Sale <sup>25</sup>

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Account 795687490

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	1,000.000	755.46	11/13/20	738.60	...	16.86	7 of 7 - Sale <sup>25</sup>
11/18/20	2,957.000	2,234.06	Various	2,184.04	...	50.02	Total of 7 transactions
	<b>Security total:</b>	<b>5,451.57</b>		<b>5,719.52</b>	...	<b>-267.95</b>	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	0.058	1.13	12/07/20	1.09	...	0.04	1 of 3 - Sale <sup>25</sup>
	0.089	1.72	12/07/20	1.67	...	0.05	2 of 3 - Sale <sup>25</sup>
	213.911	4,123.00	12/07/20	3,993.24	...	129.76	3 of 3 - Sale <sup>25</sup>
12/07/20	214.058	4,125.85	Various	3,996.00	...	129.85	Total of 3 transactions
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol:							
05/26/20	1,940.000	1,532.33	05/18/20	1,158.18	...	374.15	Sale <sup>25</sup>
KITOV PHARMA LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 49803V107 / Symbol:							
05/15/20	2,000.000	962.93	05/11/20	1,047.80	53.85 W	-31.02	Sale <sup>25</sup>
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1,269.000	736.10	05/11/20	718.69	...	17.41	1 of 2 - Sale <sup>25</sup>
	731.000	424.03	05/11/20	382.97	...	41.06	2 of 2 - Sale <sup>25</sup>
05/18/20	2,000.000	1,160.13	Various	1,101.66	...	58.47	Total of 2 transactions
	<b>Security total:</b>	<b>2,123.06</b>		<b>2,149.46</b>	<b>53.85 W</b>	<b>27.45</b>	
LATAM AIRLINES GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	400.000	587.98	05/26/20	644.00	...	-56.02	1 of 3 - Sale <sup>25</sup>
	400.000	587.97	05/26/20	643.96	...	-55.99	2 of 3 - Sale <sup>25</sup>
	200.000	293.99	05/26/20	322.00	...	-28.01	3 of 3 - Sale <sup>25</sup>
06/02/20	1,000.000	1,469.94	Various	1,609.96	...	-140.02	Total of 3 transactions
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES A COMMON STOCK / CUSIP: 531465102 / Symbol:							
5 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	270.000	610.26	04/17/20	700.65	...	-90.39	1 of 5 - Sale <sup>25</sup>
	200.000	452.05	04/17/20	464.00	...	-11.95	2 of 5 - Sale <sup>25</sup>
	100.000	226.02	04/17/20	232.00	...	-5.98	3 of 5 - Sale <sup>25</sup>

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Account 795687490

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES A COMMON STOCK / CUSIP: 531465102 / Symbol: (cont'd)							
	100.000	226.02	04/17/20	232.00	...	-5.98	4 of 5 - Sale <sup>25</sup>
	100.000	226.03	04/17/20	231.99	...	-5.96	5 of 5 - Sale <sup>25</sup>
04/23/20	770.000	1,740.38	Various	1,860.64	...	-120.26	Total of 5 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
03/18/20	488.000	1,953.50	03/18/20	927.20	...	1,026.30	Sale <sup>25</sup>
03/26/20	1,000.000	2,309.82	03/25/20	1,009.90	...	1,299.92	Sale <sup>25</sup>
	16 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	900.000	1,152.68	03/30/20	1,341.00	...	-188.32	1 of 16 - Sale <sup>25</sup>
	300.000	384.23	03/30/20	445.50	...	-61.27	2 of 16 - Sale <sup>25</sup>
	198.000	253.59	03/30/20	295.02	...	-41.43	3 of 16 - Sale <sup>25</sup>
	200.000	256.15	03/30/20	297.00	...	-40.85	4 of 16 - Sale <sup>25</sup>
	200.000	256.15	03/30/20	297.00	...	-40.85	5 of 16 - Sale <sup>25</sup>
	146.000	186.99	03/30/20	217.54	...	-30.55	6 of 16 - Sale <sup>25</sup>
	102.000	130.64	03/30/20	151.98	...	-21.34	7 of 16 - Sale <sup>25</sup>
	100.000	128.07	03/30/20	149.00	...	-20.93	8 of 16 - Sale <sup>25</sup>
	100.000	128.08	03/30/20	149.00	...	-20.92	9 of 16 - Sale <sup>25</sup>
	100.000	128.08	03/30/20	149.00	...	-20.92	10 of 16 - Sale <sup>25</sup>
	100.000	128.08	03/30/20	149.00	...	-20.92	11 of 16 - Sale <sup>25</sup>
	100.000	128.08	03/30/20	149.00	...	-20.92	12 of 16 - Sale <sup>25</sup>
	54.000	69.16	03/30/20	80.46	...	-11.30	13 of 16 - Sale <sup>25</sup>
	50.000	64.04	03/30/20	74.50	...	-10.46	14 of 16 - Sale <sup>25</sup>
	18.000	23.05	03/30/20	26.73	...	-3.68	15 of 16 - Sale <sup>25</sup>
	3,000.000	3,842.24	04/02/20	3,380.10	...	462.14	16 of 16 - Sale <sup>25</sup>
04/06/20	5,668.000	7,259.31	Various	7,351.83	...	-92.52	Total of 16 transactions
	2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	282.000	361.17	03/30/20	418.77	8.58 W	-49.02	1 of 2 - Sale <sup>25</sup>
	50.000	64.04	03/30/20	74.25	10.21 W	0.00	2 of 2 - Sale <sup>25</sup>
04/06/20	332.000	425.21	Various	493.02	18.79 W	-49.02	Total of 2 transactions
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	42.000	70.14	04/15/20	78.16	8.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	83.49	04/15/20	93.05	9.56 W	0.00	2 of 2 - Sale <sup>25</sup>
04/16/20	92.000	153.63	Various	171.21	17.58 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 795687490

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
<i>5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	42.000	129.45	05/15/20	72.56	...	56.89	1 of 5 - Sale <sup>25</sup>
	50.000	154.11	05/15/20	86.39	...	67.72	2 of 5 - Sale <sup>25</sup>
	484.000	1,491.74	05/15/20	743.71	...	748.03	3 of 5 - Sale <sup>25</sup>
	520.000	1,602.70	05/15/20	799.03	...	803.67	4 of 5 - Sale <sup>25</sup>
	621.000	1,913.99	05/15/20	954.23	...	959.76	5 of 5 - Sale <sup>25</sup>
06/05/20	1,717.000	5,291.99	Various	2,655.92	...	2,636.07	Total of 5 transactions
	<b>Security total:</b>	<b>17,393.46</b>		<b>12,609.08</b>	<b>36.37 W</b>	<b>4,820.75</b>	
MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol:							
05/11/20	3,963.000	1,624.32	04/24/20	2,100.39	...	-476.07	Sale <sup>25</sup>
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
<i>4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	572.98	03/23/20	553.00	...	19.98	1 of 4 - Sale <sup>25</sup>
	100.000	572.98	03/23/20	553.00	...	19.98	2 of 4 - Sale <sup>25</sup>
	100.000	572.98	03/23/20	553.00	...	19.98	3 of 4 - Sale <sup>25</sup>
	100.000	572.99	03/23/20	553.00	...	19.99	4 of 4 - Sale <sup>25</sup>
03/25/20	400.000	2,291.93	Various	2,212.00	...	79.93	Total of 4 transactions
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	16.000	564.15	05/11/20	296.00	...	268.15	1 of 2 - Sale <sup>25</sup>
	22.000	775.70	05/11/20	406.78	...	368.92	2 of 2 - Sale <sup>25</sup>
06/05/20	38.000	1,339.85	Various	702.78	...	637.07	Total of 2 transactions
<i>2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	27.000	511.95	10/16/20	534.60	...	-22.65	1 of 2 - Sale <sup>25</sup>
	0.374	7.11	10/16/20	7.40	...	-0.29	2 of 2 - Sale <sup>25</sup>
11/09/20	27.374	519.06	Various	542.00	...	-22.94	Total of 2 transactions
	<b>Security total:</b>	<b>1,858.91</b>		<b>1,244.78</b>	<b>...</b>	<b>614.13</b>	

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Robinhood Securities LLC

Account 795687490

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	22.65	06/01/20	21.54	...	1.11	1 of 2 - Sale <sup>25</sup>
	2,900.000	656.48	06/01/20	624.57	...	31.91	2 of 2 - Sale <sup>25</sup>
06/02/20	3,000.000	679.13	Various	646.11	...	33.02	Total of 2 transactions
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
<i>3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>							
	4,600.000	1,861.94	04/16/20	1,862.54	...	-0.60	1 of 3 - Sale <sup>25</sup>
	200.000	80.96	04/16/20	80.98	...	-0.02	2 of 3 - Sale <sup>25</sup>
	100.000	40.48	04/16/20	40.49	...	-0.01	3 of 3 - Sale <sup>25</sup>
04/27/20	4,900.000	1,983.38	Various	1,984.01	...	-0.63	Total of 3 transactions
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
05/15/20	700.000	952.80	05/13/20	867.09	...	85.71	Sale <sup>25</sup>
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
<i>5 transactions for 05/13/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	25.79	05/11/20	15.49	...	10.30	1 of 5 - Sale <sup>25</sup>
	100.000	25.79	05/11/20	15.49	...	10.30	2 of 5 - Sale <sup>25</sup>
	600.000	154.73	05/11/20	92.94	...	61.79	3 of 5 - Sale <sup>25</sup>
	900.000	232.09	05/11/20	139.41	...	92.68	4 of 5 - Sale <sup>25</sup>
	8,300.000	2,140.36	05/11/20	1,285.67	...	854.69	5 of 5 - Sale <sup>25</sup>
05/13/20	10,000.000	2,578.76	Various	1,549.00	...	1,029.76	Total of 5 transactions
PIVOTAL INVESTMENT CORPORATION II / CUSIP: 72582K100 / Symbol:							
<i>3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.</i>							
	0.602	8.48	11/23/20	7.55	...	0.93	1 of 3 - Sale <sup>25</sup>
	99.794	1,407.16	11/23/20	1,253.41	...	153.75	2 of 3 - Sale <sup>25</sup>
	159.000	2,242.00	11/23/20	1,997.04	...	244.96	3 of 3 - Sale <sup>25</sup>
11/27/20	259.395	3,657.64	Various	3,258.00	...	399.64	Total of 3 transactions

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Account 795687490

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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QUALIGEN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74754R103 / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	100.000	513.97	06/05/20	500.00	...	13.97	1 of 3 - Sale <sup>25</sup>
	100.000	513.98	06/05/20	500.00	...	13.98	2 of 3 - Sale <sup>25</sup>
	300.000	1,541.93	06/05/20	1,500.00	...	41.93	3 of 3 - Sale <sup>25</sup>
06/05/20	500.000	2,569.88	Various	2,500.00	...	69.88	Total of 3 transactions
SUNESIS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 867328700 / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	172.000	49.93	08/12/20	52.74	...	-2.81	1 of 2 - Sale <sup>25</sup>
	5,013.000	1,455.13	08/18/20	1,416.17	...	38.96	2 of 2 - Sale <sup>25</sup>
08/24/20	5,185.000	1,505.06	Various	1,468.91	...	36.15	Total of 2 transactions
TARONIS TECHNOLOGIES INC COMMON STOCK / CUSIP: 876214206 / Symbol:							
41 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	100.000	15.46	03/27/20	15.00	...	0.46	1 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	2 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	3 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	4 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	5 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	6 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	7 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	8 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	9 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	10 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	11 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	12 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	13 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	14 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	15 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	16 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	17 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	18 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	19 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	20 of 41 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol: (cont'd)							
	100.000	15.46	03/27/20	15.00	...	0.46	21 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	22 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	23 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	24 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	25 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	26 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	27 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	28 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	29 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	30 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	31 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	32 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	33 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	34 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	35 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	36 of 41 - Sale <sup>25</sup>
	100.000	15.46	03/27/20	15.00	...	0.46	37 of 41 - Sale <sup>25</sup>
	2,600.000	401.90	03/27/20	390.00	...	11.90	38 of 41 - Sale <sup>25</sup>
	3,700.000	571.86	03/27/20	555.00	...	16.86	39 of 41 - Sale <sup>25</sup>
	5,000.000	772.88	03/27/20	750.00	...	22.88	40 of 41 - Sale <sup>25</sup>
	10,000.000	1,545.77	03/27/20	1,500.00	...	45.77	41 of 41 - Sale <sup>25</sup>
03/27/20	25,000.000	3,864.43	Various	3,750.00	...	114.43	Total of 41 transactions
	12 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	100.000	14.00	04/06/20	13.83	...	0.17	1 of 12 - Sale <sup>25</sup>
	100.000	14.00	04/06/20	13.83	...	0.17	2 of 12 - Sale <sup>25</sup>
	200.000	28.00	04/06/20	27.66	...	0.34	3 of 12 - Sale <sup>25</sup>
	200.000	28.00	04/06/20	27.66	...	0.34	4 of 12 - Sale <sup>25</sup>
	200.000	28.00	04/06/20	27.66	...	0.34	5 of 12 - Sale <sup>25</sup>
	446.000	62.43	04/06/20	61.68	...	0.75	6 of 12 - Sale <sup>25</sup>
	454.000	63.55	04/06/20	62.79	...	0.76	7 of 12 - Sale <sup>25</sup>
	2,000.000	279.96	04/06/20	276.60	...	3.36	8 of 12 - Sale <sup>25</sup>
	5,200.000	727.88	04/06/20	719.16	...	8.72	9 of 12 - Sale <sup>25</sup>
	6,100.000	853.86	04/06/20	843.63	...	10.23	10 of 12 - Sale <sup>25</sup>
	10,000.000	1,399.77	04/06/20	1,383.00	...	16.77	11 of 12 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol: (cont'd)							
	20,000.000	2,799.55	04/07/20	2,474.00	...	325.55	12 of 12 - Sale <sup>25</sup>
04/16/20	45,000.000	6,299.00	Various	5,931.50	...	367.50	Total of 12 transactions
	3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	1,250.000	238.60	04/30/20	239.00	...	-0.40	1 of 3 - Sale <sup>25</sup>
	750.000	143.99	04/30/20	143.40	...	0.59	2 of 3 - Sale <sup>25</sup>
	3,000.000	578.62	04/30/20	573.60	...	5.02	3 of 3 - Sale <sup>25</sup>
04/30/20	5,000.000	961.21	Various	956.00	...	5.21	Total of 3 transactions
	<b>Security total:</b>	<b>11,124.64</b>		<b>10,637.50</b>	...	<b>487.14</b>	
TELIGENT, INC. COMMON STOCK / CUSIP: 87960W203 / Symbol:							
	2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	417.000	458.64	12/14/20	412.75	...	45.89	1 of 2 - Sale <sup>25</sup>
	1,524.000	1,676.18	12/14/20	1,508.76	...	167.42	2 of 2 - Sale <sup>25</sup>
12/14/20	1,941.000	2,134.82	Various	1,921.51	...	213.31	Total of 2 transactions
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol:							
04/24/20	9,014.000	2,038.75	04/24/20	2,052.49	...	-13.74	Sale <sup>25</sup>
	5 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	1,923.000	390.52	09/02/20	389.79	...	0.73	1 of 5 - Sale <sup>25</sup>
	293.000	60.15	09/02/20	59.16	...	0.99	2 of 5 - Sale <sup>25</sup>
	507.000	104.07	09/02/20	102.77	...	1.30	3 of 5 - Sale <sup>25</sup>
	900.000	184.75	09/02/20	181.71	...	3.04	4 of 5 - Sale <sup>25</sup>
	4,299.000	882.48	09/02/20	867.96	...	14.52	5 of 5 - Sale <sup>25</sup>
09/09/20	7,922.000	1,621.97	Various	1,601.39	...	20.58	Total of 5 transactions
	4 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	3,416.000	454.94	10/15/20	488.49	...	-33.55	1 of 4 - Sale <sup>25</sup>
	2,650.000	352.92	10/15/20	378.16	...	-25.24	2 of 4 - Sale <sup>25</sup>
	400.000	53.27	10/15/20	57.08	...	-3.81	3 of 4 - Sale <sup>25</sup>
	200.000	26.64	10/15/20	28.54	...	-1.90	4 of 4 - Sale <sup>25</sup>
11/18/20	6,666.000	887.77	Various	952.27	...	-64.50	Total of 4 transactions
	<b>Security total:</b>	<b>4,548.49</b>		<b>4,606.15</b>	...	<b>-57.66</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	100.000	82.42	09/10/20	77.25	...	5.17	1 of 2 - Sale <sup>25</sup>
	1,990.000	1,640.08	09/10/20	1,536.48	...	103.60	2 of 2 - Sale <sup>25</sup>
09/15/20	2,090.000	1,722.50	Various	1,613.73	...	108.77	Total of 2 transactions
TOUGHBUILT INDUSTRIES, INC. COMMON STOCK / CUSIP: 89157G207 / Symbol:							
03/17/20	4,000.000	639.15	03/17/20	621.20	...	17.95	Sale <sup>25</sup>
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	2,000.000	325.76	03/16/20	340.00	14.24 W	0.00	1 of 2 - Sale <sup>25</sup>
	2,000.000	319.57	03/17/20	324.84	5.27 W	0.00	2 of 2 - Sale <sup>25</sup>
03/17/20	4,000.000	645.33	Various	664.84	19.51 W	0.00	Total of 2 transactions
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	2,000.000	279.75	03/19/20	270.27	...	9.48	1 of 2 - Sale <sup>25</sup>
	3,000.000	419.63	03/19/20	397.50	...	22.13	2 of 2 - Sale <sup>25</sup>
03/20/20	5,000.000	699.38	Various	667.77	...	31.61	Total of 2 transactions
	<b>Security total:</b>	<b>1,983.86</b>		<b>1,953.81</b>	<b>19.51 W</b>	<b>49.56</b>	
T2 BIOSYSTEMS, INC. COMMON STOCK / CUSIP: 89853L104 / Symbol:							
09/01/20	1,008.509	1,685.56	08/24/20	1,600.00	...	85.56	Sale <sup>25</sup>
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
03/25/20	400.000	1,820.30	03/23/20	1,859.40	...	-39.10	Sale <sup>25</sup>
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
04/29/20	60.000	1,075.76	04/27/20	1,031.86	...	43.90	Sale <sup>25</sup>
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
04/23/20	350.000	395.50	04/21/20	406.00	...	-10.50	Sale <sup>25</sup>
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	400.000	756.33	04/28/20	759.96	...	-3.63	1 of 2 - Sale <sup>25</sup>
	350.000	663.19	04/28/20	664.97	...	-1.78	2 of 2 - Sale <sup>25</sup>
04/29/20	750.000	1,419.52	Various	1,424.93	...	-5.41	Total of 2 transactions

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Robinhood Securities LLC

Account 795687490

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAIR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	33.138	119.96	11/27/20	113.00	...	6.96	1 of 4 - Sale <sup>25</sup>
	100.000	362.49	11/27/20	341.00	...	21.49	2 of 4 - Sale <sup>25</sup>
	300.000	1,087.43	11/27/20	1,023.00	...	64.43	3 of 4 - Sale <sup>25</sup>
	300.000	1,087.43	11/27/20	1,023.00	...	64.43	4 of 4 - Sale <sup>25</sup>
12/07/20	733.138	2,657.31	Various	2,500.00	...	157.31	Total of 4 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
6 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1,800.000	165.38	08/06/20	275.58	...	-110.20	1 of 6 - Sale <sup>25</sup>
	1,800.000	165.38	08/06/20	275.58	...	-110.20	2 of 6 - Sale <sup>25</sup>
	1,600.000	147.01	08/06/20	244.96	...	-97.95	3 of 6 - Sale <sup>25</sup>
	404.000	37.11	08/06/20	61.85	...	-24.74	4 of 6 - Sale <sup>25</sup>
	100.000	9.19	08/06/20	15.31	...	-6.12	5 of 6 - Sale <sup>25</sup>
	100.000	9.19	08/06/20	15.31	...	-6.12	6 of 6 - Sale <sup>25</sup>
10/07/20	5,804.000	533.26	Various	888.59	...	-355.33	Total of 6 transactions
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
03/18/20	600.000	876.21	03/12/20	738.00	...	138.21	Sale <sup>25</sup>
NABRIVA THERAPEUTICS PLC ORDIN ARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
11/13/20	1,219.000	579.10	11/09/20	494.06	...	85.04	Sale <sup>25</sup>
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
12 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	100.000	12.30	05/27/20	14.54	...	-2.24	1 of 12 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	14.26	...	-1.96	2 of 12 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	14.26	...	-1.96	3 of 12 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	14.26	...	-1.96	4 of 12 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.98	...	-1.68	5 of 12 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.70	...	-1.40	6 of 12 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.42	...	-1.12	7 of 12 - Sale <sup>25</sup>
	304.000	37.38	05/27/20	38.24	...	-0.86	8 of 12 - Sale <sup>25</sup>
	300.000	36.89	05/27/20	37.74	...	-0.85	9 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 795687490

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
	100.000	12.29	05/27/20	12.58	...	-0.29	10 of 12 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.58	...	-0.28	11 of 12 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.58	...	-0.28	12 of 12 - Sale <sup>25</sup>
06/01/20	1,604.000	197.26	Various	212.14	...	-14.88	Total of 12 transactions
	37 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	100.000	12.30	05/27/20	12.58	0.28 W	0.00	1 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.58	0.28 W	0.00	2 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.58	0.28 W	0.00	3 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.58	0.28 W	0.00	4 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.58	0.28 W	0.00	5 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.58	0.28 W	0.00	6 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.58	0.28 W	0.00	7 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.86	0.56 W	0.00	8 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.86	0.56 W	0.00	9 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.86	0.56 W	0.00	10 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.86	0.56 W	0.00	11 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.86	0.56 W	0.00	12 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.14	0.84 W	0.00	13 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.14	0.84 W	0.00	14 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.14	0.84 W	0.00	15 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.14	0.84 W	0.00	16 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.14	0.84 W	0.00	17 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.86	0.56 W	0.00	18 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	12.86	0.56 W	0.00	19 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.42	1.12 W	0.00	20 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.42	1.12 W	0.00	21 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.42	1.12 W	0.00	22 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.42	1.12 W	0.00	23 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.70	1.40 W	0.00	24 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.70	1.40 W	0.00	25 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.70	1.40 W	0.00	26 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.70	1.40 W	0.00	27 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.42	1.12 W	0.00	28 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.14	0.84 W	0.00	29 of 37 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 795687490

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
	100.000	12.30	05/27/20	13.14	0.84 W	0.00	30 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.98	1.68 W	0.00	31 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	14.26	1.96 W	0.00	32 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.98	1.68 W	0.00	33 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.98	1.68 W	0.00	34 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.98	1.68 W	0.00	35 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.70	1.40 W	0.00	36 of 37 - Sale <sup>25</sup>
	100.000	12.30	05/27/20	13.42	1.12 W	0.00	37 of 37 - Sale <sup>25</sup>
06/01/20	3,700.000	455.10	Various	489.26	34.16 W	0.00	Total of 37 transactions
	<b>Security total:</b>	<b>652.36</b>		<b>701.40</b>	<b>34.16 W</b>	<b>-14.88</b>	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
	2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	4,500.000	989.43	04/29/20	999.00	5.00 W	-4.57	1 of 2 - Sale <sup>25</sup>
	150.000	32.98	04/29/20	33.30	0.32 W	0.00	2 of 2 - Sale <sup>25</sup>
04/30/20	4,650.000	1,022.41	Various	1,032.30	5.32 W	-4.57	Total of 2 transactions
	2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	2,350.000	336.47	05/21/20	343.31	...	-6.84	1 of 2 - Sale <sup>25</sup>
	150.000	21.48	05/21/20	21.91	...	-0.43	2 of 2 - Sale <sup>25</sup>
05/21/20	2,500.000	357.95	Various	365.22	...	-7.27	Total of 2 transactions
08/06/20	9,300.000	931.74	07/14/20	955.08	...	-23.34	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,312.10</b>		<b>2,352.60</b>	<b>5.32 W</b>	<b>-35.18</b>	
<b>Totals :</b>		<b>132,181.92</b>		<b>118,909.57</b>	<b>588.12 W</b>	<b>13,860.47</b>	

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Robinhood Securities LLC

Account 795687490

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W206 / Symbol:							
08/20/20	0.333	3.33	08/10/20	4.00	...	-0.67	Gain or loss
<b>Totals:</b>		<b>3.33</b>		<b>4.00</b>	...	<b>-0.67</b>	

Robinhood Securities LLC

Account 795687490

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
NIKOLA CORPORATION COMMON STOC K	654110105	06/04/20	38.00	0.00	Merger	
VECTOIQ ACQUISITION CORP. COMM ON STOCK	92243N103	06/04/20	-38.00	0.00	Merger	
ZOMEDICA PHARMACEUTICALS CORP.	98979F107	10/05/20	-5,804.00	0.00	Exchange	
ZOMEDICA CORP.	98980M109	10/05/20	5,804.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

**Instructions for Recipient**

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).