

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 544380389	Statement Date: 02/14/2021 Document ID: 86TS U53 9UVK	2020
	Sarada Malli reddygari 3550 GRANDVIEW PARKWAY APT 1224 BIRMINGHAM, AL 35243 RECIPIENT'S TIN: XXX-XX-3773		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p style="text-align: center;">MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p style="text-align: center;">SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	30,358.42	41,345.51	0.00	1,018.13	-9,968.96
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	30,358.42	41,345.51	0.00	1,018.13	-9,968.96
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	30,358.42	41,345.51	0.00	1,018.13	-9,968.96
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 544380389

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	10.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 544380389

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	354.98	09/21/20	232.02	...	122.96	1 of 2 - Option sale to close-call ²⁵
	1.000	364.98	09/21/20	177.00	...	187.98	2 of 2 - Option sale to close-call ²⁵
10/12/20	2.000	719.96	Various	409.02	...	310.94	Total of 2 transactions
10/12/20	1.000	354.98	09/09/20	410.00	55.02 W	0.00	Option sale to close-call ²⁵
	Security total:	1,074.94		819.02	55.02 W	310.94	
AAPL 10/30/2020 CALL \$135.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/29/20	5.00	...	-5.00	Option expiration- long call
AMD 09/25/2020 CALL \$81.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/14/20	199.00	...	-199.00	Option expiration- long call

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Account 544380389

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 12/04/2020 CALL \$85.00 / CUSIP: / Symbol:							
11/18/20	2.000	467.97	11/16/20	432.00	...	35.97	Option sale to close-call ²⁵
AMZN 11/20/2020 CALL \$3,210.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/19/20	199.00	...	-199.00	Option expiration- long call
AMZN 12/04/2020 CALL \$3,300.00 / CUSIP: / Symbol:							
12/01/20	1.000	1,099.96	11/30/20	875.00	...	224.96	Option sale to close-call ²⁵
12/04/20	1.000	0.00	12/01/20	2,060.00	...	-2,060.00	Option expiration- long call
	Security total:	1,099.96		2,935.00	...	-1,835.04	
AMZN 12/04/2020 CALL \$3,400.00 / CUSIP: / Symbol:							
12/04/20	2.000	0.00	12/01/20	800.00	...	-800.00	Option expiration- long call
AMZN 12/11/2020 CALL \$3,305.00 / CUSIP: / Symbol:							
12/11/20	1.000	0.00	12/08/20	595.00	...	-595.00	Option expiration- long call
AMZN 12/24/2020 CALL \$3,380.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/21/20	420.00	...	-420.00	Option expiration- long call
APPS 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
	4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	1.000	84.98	08/28/20	45.00	...	39.98	1 of 4 - Option sale to close-call ²⁵
	1.000	119.98	08/28/20	45.00	...	74.98	2 of 4 - Option sale to close-call ²⁵
	1.000	119.99	08/28/20	45.00	...	74.99	3 of 4 - Option sale to close-call ²⁵
	1.000	119.99	08/28/20	45.00	...	74.99	4 of 4 - Option sale to close-call ²⁵
08/31/20	4.000	444.94	Various	180.00	...	264.94	Total of 4 transactions
09/10/20	2.000	109.98	09/04/20	70.00	...	39.98	Option sale to close-call ²⁵
	Security total:	554.92		250.00	...	304.92	
APPS 11/20/2020 CALL \$45.00 / CUSIP: / Symbol:							
11/06/20	1.000	189.98	10/29/20	108.00	...	81.98	Option sale to close-call ²⁵
BLDP 01/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/24/20	1.000	239.98	11/20/20	210.00	...	29.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CALX 09/18/2020 CALL \$27.00 / CUSIP: / Symbol:							
08/31/20	1.000	44.98	08/28/20	40.00	...	4.98	Option sale to close-call ²⁵
CRSR 12/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	509.97	11/27/20	484.00	...	25.97	1 of 3 - Option sale to close-call ²⁵
	1.000	509.97	11/27/20	484.00	...	25.97	2 of 3 - Option sale to close-call ²⁵
	2.000	1,001.96	11/27/20	968.00	...	33.96	3 of 3 - Option sale to close-call ²⁵
11/27/20	4.000	2,021.90	Various	1,936.00	...	85.90	Total of 3 transactions
DG 08/28/2020 CALL \$220.00 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/26/20	130.00	...	-130.00	Option expiration- long call
DOCU 11/27/2020 CALL \$225.00 / CUSIP: / Symbol:							
11/27/20	2.000	403.98	11/25/20	346.00	...	57.98	Option sale to close-call ²⁵
FB 08/28/2020 CALL \$305.00 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/26/20	430.00	...	-430.00	Option expiration- long call
FB 10/23/2020 CALL \$290.00 / CUSIP: / Symbol:							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	336.98	10/12/20	290.00	...	46.98	1 of 2 - Option sale to close-call ²⁵
	1.000	336.98	10/12/20	290.00	...	46.98	2 of 2 - Option sale to close-call ²⁵
10/12/20	2.000	673.96	Various	580.00	...	93.96	Total of 2 transactions
FB 12/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/02/20	87.00	...	-87.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/02/20	87.00	...	-87.00	2 of 2 - Option expiration- long call
12/04/20	2.000	0.00	Various	174.00	...	-174.00	Total of 2 transactions
FB 12/11/2020 CALL \$300.00 / CUSIP: / Symbol:							
12/01/20	1.000	237.98	11/24/20	103.00	...	134.98	Option sale to close-call ²⁵
12/02/20	1.000	330.98	11/24/20	103.00	...	227.98	Option sale to close-call ²⁵
	Security total:	568.96		206.00	...	362.96	

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Account 544380389

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GILD 12/31/2020 CALL \$66.00 / CUSIP: / Symbol:							
12/03/20	5.000	124.98	12/01/20	210.00	...	-85.02	Option sale to close-call ²⁵
GME 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	314.98	11/19/20	267.00	...	47.98	1 of 2 - Option sale to close-call ²⁵
	1.000	354.98	11/19/20	267.00	...	87.98	2 of 2 - Option sale to close-call ²⁵
11/24/20	2.000	669.96	Various	534.00	...	135.96	Total of 2 transactions
GOOGL 11/27/2020 CALL \$1,800.0 / CUSIP: / Symbol:							
11/27/20	1.000	0.00	11/24/20	190.00	...	-190.00	Option expiration- long call
GOOGL 12/04/2020 CALL \$1,870.0 / CUSIP: / Symbol:							
12/03/20	1.000	229.98	12/01/20	280.00	...	-50.02	Option sale to close-call ²⁵
HEXO 02/19/2021 CALL \$1.50 / CUSIP: / Symbol:							
4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	17.000	339.96	11/30/20	340.00	...	-0.04	1 of 4 - Option sale to close-call ²⁵
	3.000	59.99	11/30/20	60.00	...	-0.01	2 of 4 - Option sale to close-call ²⁵
	10.000	199.97	11/30/20	150.03	...	49.94	3 of 4 - Option sale to close-call ²⁵
	20.000	399.95	11/30/20	300.00	...	99.95	4 of 4 - Option sale to close-call ²⁵
12/01/20	50.000	999.87	Various	850.03	...	149.84	Total of 4 transactions
12/01/20	10.000	199.97	11/30/20	200.00	0.03 W	0.00	Option sale to close-call ²⁵
	Security total:	1,199.84		1,050.03	0.03 W	149.84	
HOG 11/13/2020 CALL \$34.00 / CUSIP: / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	124.98	11/09/20	75.00	...	49.98	1 of 2 - Option sale to close-call ²⁵
	1.000	154.98	11/09/20	75.00	...	79.98	2 of 2 - Option sale to close-call ²⁵
11/10/20	2.000	279.96	Various	150.00	...	129.96	Total of 2 transactions
IWM 06/19/2020 CALL \$143.00 / CUSIP: / Symbol:							
06/17/20	1.000	249.98	06/17/20	260.00	...	-10.02	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JMIA 08/21/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/03/20	840.00	...	-840.00	1 of 2 - Option expiration- long call
	2.000	0.00	08/04/20	588.00	...	-588.00	2 of 2 - Option expiration- long call
08/21/20	5.000	0.00	Various	1,428.00	...	-1,428.00	Total of 2 transactions
MARA 12/18/2020 CALL \$6.00 / CUSIP: / Symbol:							
12/15/20	1.000	69.98	12/10/20	55.00	...	14.98	Option sale to close-call ²⁵
MSFT 09/11/2020 CALL \$215.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/09/20	185.00	...	-185.00	Option expiration- long call
NFLX 09/04/2020 CALL \$575.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/01/20	520.00	...	-520.00	Option expiration- long call
NIO 11/27/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	268.98	11/19/20	212.00	...	56.98	1 of 3 - Option sale to close-call ²⁵
	1.000	268.98	11/19/20	212.00	...	56.98	2 of 3 - Option sale to close-call ²⁵
	1.000	268.98	11/19/20	212.00	...	56.98	3 of 3 - Option sale to close-call ²⁵
11/19/20	3.000	806.94	Various	636.00	...	170.94	Total of 3 transactions
11/20/20	1.000	290.98	11/19/20	212.00	...	78.98	Option sale to close-call ²⁵
	Security total:	1,097.92		848.00	...	249.92	
NIO 12/04/2020 CALL \$50.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	12/03/20	80.00	...	-80.00	Option expiration- long call
NIO 12/24/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	12/02/20	370.00	70.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	299.98	12/02/20	365.00	65.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/08/20	2.000	599.97	Various	735.00	135.03 W	0.00	Total of 2 transactions
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	70.99	12/15/20	468.03	...	-397.04	1 of 3 - Option sale to close-call ²⁵
	1.000	70.99	12/15/20	448.01	...	-377.02	2 of 3 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 544380389

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 12/24/2020 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	2.000	141.99	12/15/20	168.00	...	-26.01	3 of 3 - Option sale to close-call ²⁵
12/21/20	4.000	283.97	Various	1,084.04	...	-800.07	Total of 3 transactions
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.000	71.00	12/02/20	435.01	364.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	70.99	12/03/20	455.02	384.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/21/20	2.000	141.99	Various	890.03	748.04 W	0.00	Total of 2 transactions
	Security total:	1,025.93		2,709.07	883.07 W	-800.07	
NK 12/18/2020 CALL \$10.00 / CUSIP: / Symbol:							
11/30/20	10.000	799.96	11/27/20	1,050.00	...	-250.04	Option sale to close-call ²⁵
NVDA 11/13/2020 CALL \$550.00 / CUSIP: / Symbol:							
11/12/20	1.000	480.97	11/11/20	255.00	...	225.97	Option sale to close-call ²⁵
NVDA 11/13/2020 CALL \$600.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/09/20	445.00	...	-445.00	Option expiration- long call
NVDA 11/20/2020 CALL \$550.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/19/20	224.00	...	-224.00	Option expiration- long call
PFE 12/24/2020 CALL \$40.50 / CUSIP: / Symbol:							
12/02/20	5.000	1,084.96	12/01/20	870.00	...	214.96	Option sale to close-call ²⁵
PLAY 06/12/2020 PUT \$13.50 / CUSIP: / Symbol:							
06/12/20	5.000	0.00	06/11/20	275.00	...	-275.00	Option expiration- long put
PLTR 12/04/2020 PUT \$28.00 / CUSIP: / Symbol:							
11/25/20	1.000	306.98	11/25/20	327.00	...	-20.02	Option sale to close-put ²⁵
PLUG 11/13/2020 CALL \$20.00 / CUSIP: / Symbol:							
11/09/20	1.000	133.98	11/06/20	95.00	...	38.98	Option sale to close-call ²⁵
QQQ 06/19/2020 PUT \$242.50 / CUSIP: / Symbol:							
06/19/20	1.000	48.98	06/16/20	332.00	...	-283.02	Option sale to close-put ²⁵
SLV 08/28/2020 CALL \$25.50 / CUSIP: / Symbol:							
08/28/20	1.000	11.98	08/26/20	62.00	...	-50.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 544380389

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNOW 12/18/2020 CALL \$350.00 / CUSIP: / Symbol:							
12/15/20	1.000	349.98	12/15/20	250.00	...	99.98	Option sale to close-call ²⁵
SPOT 12/18/2020 CALL \$342.50 / CUSIP: / Symbol:							
12/15/20	1.000	219.98	12/15/20	330.00	...	-110.02	Option sale to close-call ²⁵
SPY 06/17/2020 PUT \$305.00 / CUSIP: / Symbol:							
06/15/20	1.000	349.98	06/15/20	239.00	...	110.98	Option sale to close-put ²⁵
SPY 06/19/2020 CALL \$314.00 / CUSIP: / Symbol:							
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	2.000	435.98	06/17/20	474.00	...	-38.02	1 of 2 - Option sale to close-call ²⁵
	1.000	217.99	06/17/20	237.00	...	-19.01	2 of 2 - Option sale to close-call ²⁵
06/17/20	3.000	653.97	Various	711.00	...	-57.03	Total of 2 transactions
SPY 06/19/2020 PUT \$313.00 / CUSIP: / Symbol:							
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	421.98	06/17/20	419.00	...	2.98	1 of 2 - Option sale to close-put ²⁵
	1.000	421.99	06/17/20	397.00	...	24.99	2 of 2 - Option sale to close-put ²⁵
06/17/20	2.000	843.97	Various	816.00	...	27.97	Total of 2 transactions
SPY 09/18/2020 CALL \$350.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/16/20	17.00	...	-17.00	Option expiration- long call
TLRY 11/13/2020 CALL \$12.50 / CUSIP: / Symbol:							
11/09/20	1.000	82.98	11/06/20	87.00	...	-4.02	Option sale to close-call ²⁵
TSLA 08/28/2020 CALL \$2,500.00 / CUSIP: / Symbol:							
08/28/20	1.000	223.98	08/26/20	215.00	...	8.98	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$465.00 / CUSIP: / Symbol:							
09/15/20	1.000	1,874.94	09/15/20	805.00	...	1,069.94	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$530.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/15/20	2,035.00	...	-2,035.00	Option expiration- long call
TSLA 10/23/2020 CALL \$515.00 / CUSIP: / Symbol:							
10/14/20	1.000	1,184.96	10/12/20	775.00	...	409.96	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 544380389

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/30/2020 CALL \$520.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/14/20	1,535.00	...	-1,535.00	Option expiration- long call
TSLA 11/20/2020 CALL \$490.00 / CUSIP: / Symbol:							
11/18/20	1.000	702.97	11/18/20	975.00	...	-272.03	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	474.97	11/18/20	232.00	...	242.97	1 of 2 - Option sale to close-call ²⁵
	1.000	476.97	11/18/20	232.00	...	244.97	2 of 2 - Option sale to close-call ²⁵
11/18/20	2.000	951.94	Various	464.00	...	487.94	Total of 2 transactions
TSLA 11/27/2020 CALL \$610.00 / CUSIP: / Symbol:							
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	252.98	11/25/20	173.01	...	79.97	1 of 3 - Option sale to close-call ²⁵
	1.000	204.99	11/25/20	93.00	...	111.99	2 of 3 - Option sale to close-call ²⁵
	1.000	354.98	11/25/20	93.00	...	261.98	3 of 3 - Option sale to close-call ²⁵
11/27/20	3.000	812.95	Various	359.01	...	453.94	Total of 3 transactions
11/27/20	1.000	204.99	11/25/20	285.00	80.01 W	0.00	Option sale to close-call ²⁵
	Security total:	1,017.94		644.01	80.01 W	453.94	
TSLA 11/27/2020 CALL \$620.00 / CUSIP: / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	3.000	275.98	11/25/20	165.00	...	110.98	1 of 2 - Option sale to close-call ²⁵
	3.000	344.98	11/25/20	165.00	...	179.98	2 of 2 - Option sale to close-call ²⁵
11/27/20	6.000	620.96	Various	330.00	...	290.96	Total of 2 transactions
TSLA 12/04/2020 CALL \$650.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/27/20	1,100.00	...	-1,100.00	Option expiration- long call
TSLA 12/18/2020 CALL \$800.00 / CUSIP: / Symbol:							
12/14/20	1.000	261.98	12/11/20	330.00	...	-68.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 544380389

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WWR 12/18/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	3.000	182.98	12/01/20	495.00	...	-312.02	1 of 2 - Option sale to close-call ²⁵
	1.000	59.98	12/01/20	165.00	...	-105.02	2 of 2 - Option sale to close-call ²⁵
12/03/20	4.000	242.96	Various	660.00	...	-417.04	Total of 2 transactions
YRCW 07/17/2020 CALL \$4.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	07/10/20	15.00	...	-15.00	Option expiration- long call
YUM 08/14/2020 CALL \$95.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/04/20	45.00	...	-45.00	Option expiration- long call
YUM 08/14/2020 CALL \$97.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/04/20	25.00	...	-25.00	Option expiration- long call
ZM 09/11/2020 CALL \$420.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/09/20	340.00	...	-340.00	Option expiration- long call
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	2.000	26.44	11/30/20	25.00	...	1.44	1 of 2 - Sale ²⁵
	58.000	766.15	11/30/20	725.00	...	41.15	2 of 2 - Sale ²⁵
11/30/20	60.000	792.59	Various	750.00	...	42.59	Total of 2 transactions
AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 030382105 / Symbol:							
06/10/20	25.000	94.75	06/10/20	100.00	...	-5.25	Sale ²⁵
APACHE CORPORATION COMMON STOCK / CUSIP: 037411105 / Symbol:							
06/15/20	1.000	14.12	05/21/20	12.25	...	1.87	Sale ²⁵
KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	0.442	4.28	08/31/20	3.42	...	0.86	1 of 2 - Sale ²⁵
	65.000	617.47	08/31/20	502.46	...	115.01	2 of 2 - Sale ²⁵
09/01/20	65.442	621.75	Various	505.88	...	115.87	Total of 2 transactions

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Robinhood Securities LLC

Account 544380389

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEUROMETRIX, INC. COMMON STOCK / CUSIP: 641255807 / Symbol:							
06/10/20	50.000	138.50	06/10/20	150.00	...	-11.50	Sale ²⁵
PACIFIC ETHANOL, INC. COMMON S TOCK / CUSIP: 69423U305 / Symbol:							
11/25/20	90.000	602.17	11/24/20	604.35	...	-2.18	Sale ²⁵
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
12/08/20	20.000	48.10	12/04/20	50.35	...	-2.25	Sale ²⁵
SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol:							
07/15/20	23.000	539.56	06/24/20	522.85	...	16.71	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
12/01/20	450.000	360.04	11/30/20	328.50	...	31.54	Sale ²⁵
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
07/15/20	30.000	516.31	06/24/20	488.61	...	27.70	Sale ²⁵
08/03/20	69.000	872.21	07/17/20	1,055.70	...	-183.49	Sale ²⁵
	Security total:	1,388.52		1,544.31	...	-155.79	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	6 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	400.000	72.00	11/09/20	30.80	...	41.20	1 of 6 - Sale ²⁵
	350.000	63.00	11/18/20	49.98	...	13.02	2 of 6 - Sale ²⁵
	700.000	125.99	11/18/20	100.73	...	25.26	3 of 6 - Sale ²⁵
	700.000	126.00	11/18/20	100.73	...	25.27	4 of 6 - Sale ²⁵
	1,500.000	269.99	11/18/20	215.85	...	54.14	5 of 6 - Sale ²⁵
	2,000.000	359.98	11/18/20	287.80	...	72.18	6 of 6 - Sale ²⁵
11/19/20	5,650.000	1,016.96	Various	785.89	...	231.07	Total of 6 transactions
Totals :		30,358.42		41,345.51	1,018.13 W	-9,968.96	

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Robinhood Securities LLC

Account 544380389

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		11/30/20	-5.00	Margin interest paid	
		12/30/20	-5.00	Margin interest paid	
			-10.00	Total Margin interest paid	
			-10.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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