

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 111281242	Statement Date: 02/14/2021 Document ID: VHSW 16P 1GPZ	2020
	Mukesh Shanagonda 10318 Garrett Grigg Rd Charlotte, NC 28262 RECIPIENT'S TIN: XXX-XX-2895		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	11.00		2- Royalties	0.00	
1b- Qualified dividends	11.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	80.30	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00				
3- Nondividend distributions	20.96		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
4- Federal income tax withheld	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
5- Section 199A dividends	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
8- Foreign country or US possession:	0.00		11- Aggregate profit or (loss) on contracts	0.00	
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	748,888.05	708,284.52	0.00	14,339.53	54,943.06
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	3.22	4.01	0.00	0.00	-0.79
	Total Short-term	748,891.27	708,288.53	0.00	14,339.53	54,942.27
Long	D (basis reported to the IRS)	91.18	110.89	0.00	0.00	-19.71
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	91.18	110.89	0.00	0.00	-19.71
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	748,982.45	708,399.42	0.00	14,339.53	54,922.56
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 111281242

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	2.64
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	114.53
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 06/05/2020 CALL \$7.00 / CUSIP: / Symbol:							
5 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	22.98	05/29/20	9.00	...	13.98	1 of 5 - Option sale to close-call ²⁵
	1.000	22.98	05/29/20	9.00	...	13.98	2 of 5 - Option sale to close-call ²⁵
	1.000	22.98	05/29/20	9.00	...	13.98	3 of 5 - Option sale to close-call ²⁵
	1.000	22.99	05/29/20	9.00	...	13.99	4 of 5 - Option sale to close-call ²⁵
	1.000	22.99	05/29/20	9.00	...	13.99	5 of 5 - Option sale to close-call ²⁵
06/02/20	5.000	114.92	Various	45.00	...	69.92	Total of 5 transactions
GE 06/05/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	5.000	19.98	05/29/20	20.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	5.000	19.99	05/29/20	20.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
06/01/20	10.000	39.97	Various	40.00	...	-0.03	Total of 2 transactions

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Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IVR 01/21/2022 CALL \$3.00 / CUSIP: / Symbol:							
4 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	49.98	09/11/20	45.00	...	4.98	1 of 4 - Option sale to close-call ²⁵
	2.000	99.98	09/11/20	90.00	...	9.98	2 of 4 - Option sale to close-call ²⁵
	2.000	99.98	09/11/20	90.00	...	9.98	3 of 4 - Option sale to close-call ²⁵
	15.000	749.95	09/11/20	675.00	...	74.95	4 of 4 - Option sale to close-call ²⁵
09/14/20	20.000	999.89	Various	900.00	...	99.89	Total of 4 transactions
IVR 01/21/2022 CALL \$3.50 / CUSIP: / Symbol:							
08/10/20	35.000	2,799.86	08/07/20	2,275.00	...	524.86	Option sale to close-call ²⁵
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	44.98	08/17/20	70.00	25.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	89.98	08/17/20	140.00	50.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/09/20	3.000	134.96	Various	210.00	75.04 W	0.00	Total of 2 transactions
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	5.000	224.99	08/17/20	350.00	...	-125.01	1 of 3 - Option sale to close-call ²⁵
	3.000	134.99	08/17/20	210.00	...	-75.01	2 of 3 - Option sale to close-call ²⁵
	2.000	89.99	08/17/20	140.00	...	-50.01	3 of 3 - Option sale to close-call ²⁵
11/10/20	10.000	449.97	Various	700.00	...	-250.03	Total of 3 transactions
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	2.000	89.99	08/17/20	140.00	25.01 W	-25.00	1 of 3 - Option sale to close-call ²⁵
	2.000	89.99	08/17/20	140.00	50.01 W	0.00	2 of 3 - Option sale to close-call ²⁵
	4.000	179.99	08/17/20	280.00	100.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
11/10/20	8.000	359.97	Various	560.00	175.03 W	-25.00	Total of 3 transactions
9 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	5.000	234.98	08/17/20	350.00	...	-115.02	1 of 9 - Option sale to close-call ²⁵
	3.000	140.99	08/17/20	210.00	...	-69.01	2 of 9 - Option sale to close-call ²⁵
	1.000	47.00	08/17/20	70.00	...	-23.00	3 of 9 - Option sale to close-call ²⁵
	1.000	47.00	08/27/20	50.00	...	-3.00	4 of 9 - Option sale to close-call ²⁵
	20.000	939.94	09/01/20	900.00	...	39.94	5 of 9 - Option sale to close-call ²⁵
	20.000	939.93	09/02/20	800.00	...	139.93	6 of 9 - Option sale to close-call ²⁵
	1.000	47.00	09/11/20	30.00	...	17.00	7 of 9 - Option sale to close-call ²⁵
	24.000	1,127.92	09/11/20	720.00	...	407.92	8 of 9 - Option sale to close-call ²⁵

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Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
IVR 01/21/2022 CALL \$3.50 / CUSIP: / Symbol: (cont'd)							
	25.000	1,174.92	09/11/20	750.00	...	424.92	9 of 9 - Option sale to close-call ²⁵
11/16/20	100.000	4,699.68	Various	3,880.00	...	819.68	Total of 9 transactions
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	2.000	113.99	11/23/20	160.02	...	-46.03	1 of 3 - Option sale to close-call ²⁵
	1.000	56.99	11/23/20	80.02	...	-23.03	2 of 3 - Option sale to close-call ²⁵
	1.000	57.00	11/23/20	80.01	...	-23.01	3 of 3 - Option sale to close-call ²⁵
11/25/20	4.000	227.98	Various	320.05	...	-92.07	Total of 3 transactions
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	4.000	227.99	11/23/20	320.01	46.01 W	-46.01	1 of 2 - Option sale to close-call ²⁵
	2.000	113.99	11/23/20	160.01	46.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/25/20	6.000	341.98	Various	480.02	92.03 W	-46.01	Total of 2 transactions
Security total:		9,014.40		8,425.07	342.10 W	931.43	
IVR 01/21/2022 CALL \$5.50 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	-65.04	07/27/20	0.00	...	-65.04	1 of 2 - Short sale closed- call ²⁵
	1.000	-20.02	07/27/20	0.00	...	-20.02	Option written: 07/27/20 2 of 2 - Short sale closed- call ²⁵
							Option written: 07/27/20
07/28/20	2.000	-85.06	Various	0.00	...	-85.06	Total of 2 transactions
07/28/20	1.000	-55.02	07/27/20	0.00	55.02 W	0.00	Short sale closed- call ²⁵ Option written: 07/27/20
Security total:		-140.08		0.00	55.02 W	-85.06	
IVR 06/18/2021 CALL \$3.50 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	08/05/20	50.00	...	-5.01	1 of 2 - Option sale to close-call ²⁵
	1.000	44.99	08/07/20	40.00	...	4.99	2 of 2 - Option sale to close-call ²⁵
08/07/20	2.000	89.98	Various	90.00	...	-0.02	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IVR 07/17/2020 CALL \$4.00 / CUSIP: / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	5.000	509.97	06/02/20	50.00	...	459.97	1 of 3 - Option sale to close-call ²⁵
	5.000	509.98	06/02/20	50.00	...	459.98	2 of 3 - Option sale to close-call ²⁵
	1.000	101.99	06/05/20	85.00	...	16.99	3 of 3 - Option sale to close-call ²⁵
06/05/20	11.000	1,121.94	Various	185.00	...	936.94	Total of 3 transactions
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	229.99	06/05/20	85.00	...	144.99	1 of 3 - Option sale to close-call ²⁵
	2.000	459.98	06/05/20	170.00	...	289.98	2 of 3 - Option sale to close-call ²⁵
	6.000	1,379.96	06/05/20	510.00	...	869.96	3 of 3 - Option sale to close-call ²⁵
06/08/20	9.000	2,069.93	Various	765.00	...	1,304.93	Total of 3 transactions
	Security total:	3,191.87		950.00	...	2,241.87	
M 07/17/2020 PUT \$6.00 / CUSIP: / Symbol:							
9 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	20.000	359.95	06/24/20	800.00	...	-440.05	1 of 9 - Option sale to close-put ²⁵
	13.000	233.97	07/02/20	247.00	...	-13.03	2 of 9 - Option sale to close-put ²⁵
	7.000	125.98	07/02/20	133.00	...	-7.02	3 of 9 - Option sale to close-put ²⁵
	1.000	18.00	07/06/20	14.00	...	4.00	4 of 9 - Option sale to close-put ²⁵
	2.000	36.00	07/06/20	28.00	...	8.00	5 of 9 - Option sale to close-put ²⁵
	3.000	53.99	07/06/20	42.00	...	11.99	6 of 9 - Option sale to close-put ²⁵
	10.000	179.97	07/06/20	140.00	...	39.97	7 of 9 - Option sale to close-put ²⁵
	10.000	179.98	07/06/20	140.00	...	39.98	8 of 9 - Option sale to close-put ²⁵
	24.000	431.94	07/06/20	312.00	...	119.94	9 of 9 - Option sale to close-put ²⁵
07/06/20	90.000	1,619.78	Various	1,856.00	...	-236.22	Total of 9 transactions
MRNA 01/21/2022 CALL \$75.00 / CUSIP: / Symbol:							
08/13/20	1.000	2,649.93	08/12/20	2,870.00	...	-220.07	Option sale to close-call ²⁵
MRO 10/16/2020 CALL \$14.00 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	06/01/20	6.00	...	-6.00	Option expiration- long call
MSFT 07/17/2020 CALL \$207.50 / CUSIP: / Symbol:							
07/15/20	1.000	399.98	07/14/20	268.00	...	131.98	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NOK 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	40.000	279.91	06/25/20	280.00	...	-0.09	1 of 4 - Option sale to close-call ²⁵
	20.000	139.95	06/25/20	140.00	...	-0.05	2 of 4 - Option sale to close-call ²⁵
	20.000	139.95	06/25/20	140.00	...	-0.05	3 of 4 - Option sale to close-call ²⁵
	10.000	69.97	06/25/20	70.00	...	-0.03	4 of 4 - Option sale to close-call ²⁵
07/17/20	90.000	629.78	Various	630.00	...	-0.22	Total of 4 transactions
07/20/20	10.000	69.97	06/25/20	70.00	...	-0.03	Option sale to close-call ²⁵
	Security total:	699.75		700.00	...	-0.25	
NOK 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	4.000	327.98	07/27/20	312.00	...	15.98	1 of 4 - Option sale to close-call ²⁵
	16.000	1,311.94	07/27/20	1,248.00	...	63.94	2 of 4 - Option sale to close-call ²⁵
	4.000	327.98	07/30/20	264.00	...	63.98	3 of 4 - Option sale to close-call ²⁵
	16.000	1,311.94	07/30/20	1,056.00	...	255.94	4 of 4 - Option sale to close-call ²⁵
07/30/20	40.000	3,279.84	Various	2,880.00	...	399.84	Total of 4 transactions
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	14.000	1,273.94	07/31/20	1,400.00	...	-126.06	1 of 2 - Option sale to close-call ²⁵
	5.000	454.97	07/31/20	500.00	...	-45.03	2 of 2 - Option sale to close-call ²⁵
07/31/20	19.000	1,728.91	Various	1,900.00	...	-171.09	Total of 2 transactions
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	30.000	2,729.87	07/31/20	3,000.00	171.08 W	-99.05	1 of 2 - Option sale to close-call ²⁵
	1.000	98.98	07/31/20	100.00	1.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/31/20	31.000	2,828.85	Various	3,100.00	172.10 W	-99.05	Total of 2 transactions
08/12/20	1.000	105.99	08/11/20	103.02	...	2.97	Option sale to close-call ²⁵
08/12/20	2.000	211.99	08/11/20	222.01	10.02 W	0.00	Option sale to close-call ²⁵
8 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	5.000	219.98	08/11/20	555.02	335.04 W	0.00	1 of 8 - Option sale to close-call ²⁵
	12.000	503.96	08/11/20	1,332.05	828.09 W	0.00	2 of 8 - Option sale to close-call ²⁵
	2.000	83.99	09/08/20	136.02	52.03 W	0.00	3 of 8 - Option sale to close-call ²⁵
	1.000	42.00	09/08/20	63.00	21.00 W	0.00	4 of 8 - Option sale to close-call ²⁵
	8.000	335.98	09/08/20	504.00	168.02 W	0.00	5 of 8 - Option sale to close-call ²⁵
	9.000	377.97	09/08/20	567.00	189.03 W	0.00	6 of 8 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NOK 01/21/2022 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	9.000	377.97	09/10/20	522.00	144.03 W	0.00	7 of 8 - Option sale to close-call ²⁵
	11.000	461.96	09/10/20	638.00	176.04 W	0.00	8 of 8 - Option sale to close-call ²⁵
11/16/20	57.000	2,403.81	Various	4,317.09	1,913.28 W	0.00	Total of 8 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	5.000	219.98	10/29/20	475.04	255.06 W	0.00	1 of 2 - Option sale to close-call ²⁵
	9.000	395.97	10/29/20	873.07	477.10 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/18/20	14.000	615.95	Various	1,348.11	732.16 W	0.00	Total of 2 transactions
	7 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	3.000	131.99	10/29/20	291.02	159.03 W	0.00	1 of 7 - Option sale to close-call ²⁵
	2.000	87.99	10/29/20	108.03	20.04 W	0.00	2 of 7 - Option sale to close-call ²⁵
	1.000	44.00	10/29/20	49.00	5.00 W	0.00	3 of 7 - Option sale to close-call ²⁵
	8.000	351.98	10/29/20	392.02	40.04 W	0.00	4 of 7 - Option sale to close-call ²⁵
	9.000	395.97	10/29/20	441.03	45.06 W	0.00	5 of 7 - Option sale to close-call ²⁵
	4.000	175.99	10/29/20	176.01	0.02 W	0.00	6 of 7 - Option sale to close-call ²⁵
	9.000	395.97	10/29/20	396.03	0.06 W	0.00	7 of 7 - Option sale to close-call ²⁵
11/25/20	36.000	1,583.89	Various	1,853.14	269.25 W	0.00	Total of 7 transactions
	Security total:	12,759.23		15,723.37	3,096.81 W	132.67	
NOK 06/18/2021 CALL \$5.00 / CUSIP: / Symbol:							
	4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	68.00	06/10/20	65.00	...	3.00	1 of 4 - Option sale to close-call ²⁵
	4.000	271.98	06/10/20	260.00	...	11.98	2 of 4 - Option sale to close-call ²⁵
	5.000	339.98	06/10/20	325.00	...	14.98	3 of 4 - Option sale to close-call ²⁵
	10.000	679.96	06/10/20	650.00	...	29.96	4 of 4 - Option sale to close-call ²⁵
07/17/20	20.000	1,359.92	Various	1,300.00	...	59.92	Total of 4 transactions
OXY 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
11/11/20	4.000	571.97	09/11/20	380.00	...	191.97	Option sale to close-call ²⁵
	3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	16.000	2,319.92	09/11/20	1,520.00	...	799.92	1 of 3 - Option sale to close-call ²⁵
	10.000	1,449.94	09/29/20	1,090.00	...	359.94	2 of 3 - Option sale to close-call ²⁵
	10.000	1,449.95	09/29/20	1,090.00	...	359.95	3 of 3 - Option sale to close-call ²⁵
11/16/20	36.000	5,219.81	Various	3,700.00	...	1,519.81	Total of 3 transactions

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Account 111281242

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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OXY 01/21/2022 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
Security total:		5,791.78		4,080.00	...	1,711.78	
OXY 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
11/24/20	20.000	4,119.86	11/18/20	2,400.00	...	1,719.86	Option sale to close-call ²⁵
PFE 01/21/2022 CALL \$45.00 / CUSIP: / Symbol:							
4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	193.99	07/22/20	180.00	...	13.99	1 of 4 - Option sale to close-call ²⁵
	2.000	387.99	07/22/20	360.00	...	27.99	2 of 4 - Option sale to close-call ²⁵
	5.000	969.96	07/22/20	900.00	...	69.96	3 of 4 - Option sale to close-call ²⁵
	5.000	969.97	07/22/20	900.00	...	69.97	4 of 4 - Option sale to close-call ²⁵
07/22/20	13.000	2,521.91	Various	2,340.00	...	181.91	Total of 4 transactions
PFE 07/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
07/13/20	20.000	1,499.92	07/01/20	1,160.00	...	339.92	Option sale to close-call ²⁵
RDSB 01/21/2022 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	20.000	1,299.93	09/10/20	1,400.00	...	-100.07	1 of 3 - Option sale to close-call ²⁵
	10.000	749.96	09/18/20	600.00	...	149.96	2 of 3 - Option sale to close-call ²⁵
	10.000	749.96	09/18/20	600.00	...	149.96	3 of 3 - Option sale to close-call ²⁵
11/10/20	40.000	2,799.85	Various	2,600.00	...	199.85	Total of 3 transactions
VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol:							
06/09/20	9.000	449.97	05/29/20	45.00	...	404.97	Option sale to close-call ²⁵
06/15/20	20.000	999.93	06/12/20	660.00	...	339.93	Option sale to close-call ²⁵
26 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	4.000	43.98	06/17/20	136.00	92.02 W	0.00	1 of 26 - Option sale to close-call ²⁵
	7.000	76.98	06/17/20	238.00	161.02 W	0.00	2 of 26 - Option sale to close-call ²⁵
	9.000	98.98	06/17/20	306.00	207.02 W	0.00	3 of 26 - Option sale to close-call ²⁵
	8.000	87.98	07/02/20	112.00	24.02 W	0.00	4 of 26 - Option sale to close-call ²⁵

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VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	12.000	131.97	07/02/20	168.00	36.03 W	0.00	5 of 26 - Option sale to close-call ₂₅
	4.000	43.99	07/06/20	144.01	100.02 W	0.00	6 of 26 - Option sale to close-call ₂₅
	9.000	98.98	07/06/20	324.02	225.04 W	0.00	7 of 26 - Option sale to close-call ₂₅
	4.000	43.99	07/06/20	64.01	20.02 W	0.00	8 of 26 - Option sale to close-call ₂₅
	1.000	11.00	07/06/20	36.01	25.01 W	0.00	9 of 26 - Option sale to close-call ₂₅
	1.000	11.00	07/06/20	36.00	25.00 W	0.00	10 of 26 - Option sale to close-call ₂₅
	1.000	11.00	07/06/20	36.00	25.00 W	0.00	11 of 26 - Option sale to close-call ₂₅
	1.000	10.99	07/06/20	36.01	25.02 W	0.00	12 of 26 - Option sale to close-call ₂₅
	3.000	32.99	07/06/20	108.01	75.02 W	0.00	13 of 26 - Option sale to close-call ₂₅
	1.000	11.00	07/07/20	36.01	25.01 W	0.00	14 of 26 - Option sale to close-call ₂₅
	1.000	11.00	07/07/20	36.00	25.00 W	0.00	15 of 26 - Option sale to close-call ₂₅
	1.000	11.00	07/07/20	36.00	25.00 W	0.00	16 of 26 - Option sale to close-call ₂₅
	1.000	11.00	07/07/20	36.02	25.02 W	0.00	17 of 26 - Option sale to close-call ₂₅
	3.000	32.99	07/07/20	108.02	75.03 W	0.00	18 of 26 - Option sale to close-call ₂₅
	4.000	43.99	07/07/20	56.01	12.02 W	0.00	19 of 26 - Option sale to close-call ₂₅
	2.000	21.99	07/07/20	28.01	6.02 W	0.00	20 of 26 - Option sale to close-call ₂₅
	4.000	43.99	07/07/20	144.02	100.03 W	0.00	21 of 26 - Option sale to close-call ₂₅

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VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	9.000	98.98	07/07/20	324.04	225.06 W	0.00	22 of 26 - Option sale to close-call ₂₅
	2.000	21.99	07/07/20	32.01	10.02 W	0.00	23 of 26 - Option sale to close-call ₂₅
	2.000	21.99	07/07/20	32.01	10.02 W	0.00	24 of 26 - Option sale to close-call ₂₅
	4.000	43.99	07/07/20	56.01	12.02 W	0.00	25 of 26 - Option sale to close-call ₂₅
	2.000	21.98	07/07/20	28.00	6.02 W	0.00	26 of 26 - Option sale to close-call ₂₅
08/04/20	100.000	1,099.72	Various	2,696.23	1,596.51 W	0.00	Total of 26 transactions
	45 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	7.00	08/10/20	5.00	...	2.00	1 of 45 - Option sale to close-call ₂₅
	6.000	41.98	08/10/20	30.00	...	11.98	2 of 45 - Option sale to close-call ₂₅
	12.000	83.97	08/10/20	60.00	...	23.97	3 of 45 - Option sale to close-call ₂₅
	41.000	286.91	08/10/20	205.00	...	81.91	4 of 45 - Option sale to close-call ₂₅
	4.000	27.99	08/12/20	100.09	...	-72.10	5 of 45 - Option sale to close-call ₂₅
	2.000	14.00	08/12/20	50.07	...	-36.07	6 of 45 - Option sale to close-call ₂₅
	2.000	14.00	08/12/20	46.06	...	-32.06	7 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	25.07	...	-18.07	8 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	25.03	...	-18.03	9 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	25.02	...	-18.02	10 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	25.01	...	-18.01	11 of 45 - Option sale to close-call ₂₅

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VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.00	08/12/20	25.01	...	-18.01	12 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	23.05	...	-16.05	13 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	23.03	...	-16.03	14 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	23.02	...	-16.02	15 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	23.00	...	-16.00	16 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	22.06	...	-15.06	17 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	22.02	...	-15.02	18 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	22.01	...	-15.01	19 of 45 - Option sale to close-call ₂₅
	1.000	6.99	08/12/20	6.01	...	0.98	20 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	6.01	...	0.99	21 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	6.01	...	0.99	22 of 45 - Option sale to close-call ₂₅
	2.000	13.99	08/12/20	12.04	...	1.95	23 of 45 - Option sale to close-call ₂₅
	2.000	13.99	08/12/20	12.03	...	1.96	24 of 45 - Option sale to close-call ₂₅
	1.000	6.99	08/12/20	5.02	...	1.97	25 of 45 - Option sale to close-call ₂₅
	3.000	20.99	08/12/20	18.07	...	2.92	26 of 45 - Option sale to close-call ₂₅
	1.000	7.00	08/12/20	4.02	...	2.98	27 of 45 - Option sale to close-call ₂₅
	1.000	6.99	08/12/20	4.00	...	2.99	28 of 45 - Option sale to close-call ₂₅

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(continued)

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VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.00	08/12/20	4.00	...	3.00	29 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	4.00	...	3.00	30 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	4.00	...	3.00	31 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	4.00	...	3.00	32 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	4.00	...	3.00	33 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	4.00	...	3.00	34 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	4.00	...	3.00	35 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	3.05	...	3.95	36 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	3.04	...	3.96	37 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	3.04	...	3.96	38 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	3.02	...	3.98	39 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	3.01	...	3.99	40 of 45 - Option sale to close-call 25
	1.000	7.00	08/12/20	3.01	...	3.99	41 of 45 - Option sale to close-call 25
	2.000	13.99	08/12/20	8.00	...	5.99	42 of 45 - Option sale to close-call 25
	2.000	13.99	08/12/20	6.07	...	7.92	43 of 45 - Option sale to close-call 25
	8.000	55.97	08/12/20	32.00	...	23.97	44 of 45 - Option sale to close-call 25
	6.000	41.97	08/12/20	18.00	...	23.97	45 of 45 - Option sale to close-call 25
08/14/20	124.000	867.71	Various	963.00	...	-95.29	Total of 45 transactions

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
<i>128 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	27.98	07/07/20	56.01	28.03 W	0.00	1 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/20/20	22.01	8.02 W	0.00	2 of 128 - Option sale to close-call ²⁵
	1.000	6.99	07/20/20	11.01	4.02 W	0.00	3 of 128 - Option sale to close-call ²⁵
	4.000	27.99	07/20/20	132.03	104.04 W	0.00	4 of 128 - Option sale to close-call ²⁵
	4.000	27.99	07/20/20	132.03	104.04 W	0.00	5 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	11.01	4.01 W	0.00	6 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	34.01	27.01 W	0.00	7 of 128 - Option sale to close-call ²⁵
	3.000	20.98	07/20/20	99.02	78.04 W	0.00	8 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	11.01	4.01 W	0.00	9 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	11.00	4.00 W	0.00	10 of 128 - Option sale to close-call ²⁵
	1.000	6.98	07/20/20	33.01	26.03 W	0.00	11 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	33.00	26.00 W	0.00	12 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/20/20	26.02	12.03 W	0.00	13 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/20/20	26.02	12.03 W	0.00	14 of 128 - Option sale to close-call ²⁵
	4.000	27.99	07/20/20	60.03	32.04 W	0.00	15 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	11.01	4.01 W	0.00	16 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/20/20	22.02	8.03 W	0.00	17 of 128 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	3.000	20.99	07/20/20	33.01	12.02 W	0.00	18 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	34.02	27.02 W	0.00	19 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	34.02	27.02 W	0.00	20 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	34.04	27.04 W	0.00	21 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/20/20	68.03	54.04 W	0.00	22 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	33.01	26.01 W	0.00	23 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	34.02	27.02 W	0.00	24 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	12.02	5.02 W	0.00	25 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/20/20	24.02	10.03 W	0.00	26 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	34.01	27.01 W	0.00	27 of 128 - Option sale to close-call ²⁵
	4.000	27.98	07/20/20	136.04	108.06 W	0.00	28 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	12.00	5.00 W	0.00	29 of 128 - Option sale to close-call ²⁵
	1.000	6.98	07/20/20	33.00	26.02 W	0.00	30 of 128 - Option sale to close-call ²⁵
	1.000	6.98	07/20/20	12.01	5.03 W	0.00	31 of 128 - Option sale to close-call ²⁵
	1.000	6.98	07/20/20	33.00	26.02 W	0.00	32 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	35.01	28.01 W	0.00	33 of 128 - Option sale to close-call ²⁵
	3.000	20.99	07/20/20	102.03	81.04 W	0.00	34 of 128 - Option sale to close-call ²⁵
	3.000	20.99	07/20/20	102.04	81.05 W	0.00	35 of 128 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.00	07/20/20	12.01	5.01 W	0.00	36 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	34.03	27.03 W	0.00	37 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	34.00	27.00 W	0.00	38 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/20/20	28.03	14.04 W	0.00	39 of 128 - Option sale to close-call ²⁵
	1.000	6.98	07/20/20	33.02	26.04 W	0.00	40 of 128 - Option sale to close-call ²⁵
	3.000	20.98	07/20/20	99.03	78.05 W	0.00	41 of 128 - Option sale to close-call ²⁵
	1.000	6.98	07/20/20	14.02	7.04 W	0.00	42 of 128 - Option sale to close-call ²⁵
	1.000	6.99	07/20/20	14.01	7.02 W	0.00	43 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	16.01	9.01 W	0.00	44 of 128 - Option sale to close-call ²⁵
	1.000	6.99	07/20/20	35.02	28.03 W	0.00	45 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	35.02	28.02 W	0.00	46 of 128 - Option sale to close-call ²⁵
	1.000	6.99	07/20/20	35.04	28.05 W	0.00	47 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	35.02	28.02 W	0.00	48 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/20/20	70.04	56.05 W	0.00	49 of 128 - Option sale to close-call ²⁵
	1.000	6.98	07/20/20	13.02	6.04 W	0.00	50 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	13.02	6.02 W	0.00	51 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	13.00	6.00 W	0.00	52 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	13.01	6.01 W	0.00	53 of 128 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	3.000	20.99	07/20/20	48.03	27.04 W	0.00	54 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	12.01	5.01 W	0.00	55 of 128 - Option sale to close-call ²⁵
	2.000	14.00	07/20/20	24.03	10.03 W	0.00	56 of 128 - Option sale to close-call ²⁵
	3.000	20.99	07/20/20	36.02	15.03 W	0.00	57 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	36.01	29.01 W	0.00	58 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	35.01	28.01 W	0.00	59 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	35.01	28.01 W	0.00	60 of 128 - Option sale to close-call ²⁵
	4.000	27.99	07/20/20	140.06	112.07 W	0.00	61 of 128 - Option sale to close-call ²⁵
	1.000	6.99	07/20/20	13.03	6.04 W	0.00	62 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/20/20	35.02	28.02 W	0.00	63 of 128 - Option sale to close-call ²⁵
	1.000	6.99	07/27/20	33.01	26.02 W	0.00	64 of 128 - Option sale to close-call ²⁵
	1.000	6.98	07/27/20	33.02	26.04 W	0.00	65 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/27/20	66.03	52.04 W	0.00	66 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/27/20	11.01	4.01 W	0.00	67 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/27/20	33.03	26.03 W	0.00	68 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/27/20	33.00	26.00 W	0.00	69 of 128 - Option sale to close-call ²⁵
	1.000	7.00	07/27/20	13.04	6.04 W	0.00	70 of 128 - Option sale to close-call ²⁵
	2.000	13.99	07/27/20	26.04	12.05 W	0.00	71 of 128 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.00	08/10/20	33.02	26.02 W	0.00	72 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	33.01	26.01 W	0.00	73 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	33.01	26.01 W	0.00	74 of 128 - Option sale to close-call ²⁵
	1.000	6.99	08/10/20	33.02	26.03 W	0.00	75 of 128 - Option sale to close-call ²⁵
	4.000	27.99	08/10/20	132.07	104.08 W	0.00	76 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	31.02	24.02 W	0.00	77 of 128 - Option sale to close-call ²⁵
	1.000	6.99	08/10/20	31.04	24.05 W	0.00	78 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	31.03	24.03 W	0.00	79 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	31.00	24.00 W	0.00	80 of 128 - Option sale to close-call ²⁵
	2.000	13.99	08/10/20	62.04	48.05 W	0.00	81 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	11.04	4.04 W	0.00	82 of 128 - Option sale to close-call ²⁵
	2.000	13.99	08/10/20	22.05	8.06 W	0.00	83 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	12.02	5.02 W	0.00	84 of 128 - Option sale to close-call ²⁵
	1.000	6.99	08/10/20	11.04	4.05 W	0.00	85 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	11.01	4.01 W	0.00	86 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	11.02	4.02 W	0.00	87 of 128 - Option sale to close-call ²⁵
	1.000	6.99	08/10/20	11.00	4.01 W	0.00	88 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	11.04	4.04 W	0.00	89 of 128 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	1.000	6.99	08/10/20	9.01	2.02 W	0.00	90 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	14.01	7.01 W	0.00	91 of 128 - Option sale to close-call ²⁵
	3.000	20.99	08/10/20	42.04	21.05 W	0.00	92 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	10.01	3.01 W	0.00	93 of 128 - Option sale to close-call ²⁵
	2.000	13.99	08/10/20	20.03	6.04 W	0.00	94 of 128 - Option sale to close-call ²⁵
	3.000	20.99	08/10/20	30.03	9.04 W	0.00	95 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	34.01	27.01 W	0.00	96 of 128 - Option sale to close-call ²⁵
	1.000	6.99	08/10/20	33.03	26.04 W	0.00	97 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/10/20	33.02	26.02 W	0.00	98 of 128 - Option sale to close-call ²⁵
	1.000	6.98	08/10/20	33.05	26.07 W	0.00	99 of 128 - Option sale to close-call ²⁵
	2.000	13.98	08/10/20	66.05	52.07 W	0.00	100 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	30.01	23.01 W	0.00	101 of 128 - Option sale to close-call ²⁵
	1.000	6.99	08/12/20	29.04	22.05 W	0.00	102 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	29.02	22.02 W	0.00	103 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	29.02	22.02 W	0.00	104 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	29.01	22.01 W	0.00	105 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	29.01	22.01 W	0.00	106 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	29.03	22.03 W	0.00	107 of 128 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	2.000	14.00	08/12/20	58.07	44.07 W	0.00	108 of 128 - Option sale to close-call ²⁵
	4.000	27.99	08/12/20	116.08	88.09 W	0.00	109 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	27.02	20.02 W	0.00	110 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	29.07	22.07 W	0.00	111 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	27.05	20.05 W	0.00	112 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	27.03	20.03 W	0.00	113 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	27.00	20.00 W	0.00	114 of 128 - Option sale to close-call ²⁵
	2.000	13.99	08/12/20	54.05	40.06 W	0.00	115 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	7.04	0.04 W	0.00	116 of 128 - Option sale to close-call ²⁵
	2.000	13.99	08/12/20	14.06	0.07 W	0.00	117 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	8.02	1.02 W	0.00	118 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	7.05	0.05 W	0.00	119 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	7.01	0.01 W	0.00	120 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	7.02	0.02 W	0.00	121 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	7.01	0.01 W	0.00	122 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	7.04	0.04 W	0.00	123 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	10.01	3.01 W	0.00	124 of 128 - Option sale to close-call ²⁵
	3.000	20.98	08/12/20	30.05	9.07 W	0.00	125 of 128 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VIAC 09/18/2020 CALL \$39.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.00	08/12/20	26.01	19.01 W	0.00	126 of 128 - Option sale to close-call ²⁵
	1.000	6.99	08/12/20	25.05	18.06 W	0.00	127 of 128 - Option sale to close-call ²⁵
	1.000	7.00	08/12/20	25.02	18.02 W	0.00	128 of 128 - Option sale to close-call ²⁵
08/14/20	190.000	1,329.28	Various	4,369.01	3,039.73 W	0.00	Total of 128 transactions
	Security total:	4,746.61		8,733.24	4,636.24 W	649.61	
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
	2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	19.000	1,443.96	03/30/20	1,570.54	...	-126.58	1 of 2 - Sale ²⁵
	1.000	76.00	03/30/20	82.66	...	-6.66	2 of 2 - Sale ²⁵
04/02/20	20.000	1,519.96	Various	1,653.20	...	-133.24	Total of 2 transactions
ALBERTSONS COMPANIES, INC. / CUSIP: 013091103 / Symbol:							
	3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	90.000	1,420.16	06/26/20	1,458.04	...	-37.88	1 of 3 - Sale ²⁵
	10.000	157.79	06/26/20	162.01	...	-4.22	2 of 3 - Sale ²⁵
	100.000	1,577.95	06/26/20	1,565.00	...	12.95	3 of 3 - Sale ²⁵
06/30/20	200.000	3,155.90	Various	3,185.05	...	-29.15	Total of 3 transactions
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	90.000	1,420.16	06/26/20	1,439.10	18.94 W	0.00	1 of 2 - Sale ²⁵
	10.000	157.79	06/26/20	159.90	2.11 W	0.00	2 of 2 - Sale ²⁵
06/30/20	100.000	1,577.95	Various	1,599.00	21.05 W	0.00	Total of 2 transactions
08/24/20	200.000	2,949.91	08/11/20	2,998.00	...	-48.09	Sale ²⁵
	Security total:	7,683.76		7,782.05	21.05 W	-77.24	
ALLY FINANCIAL INC. / CUSIP: 02005N100 / Symbol:							
04/20/20	250.000	3,692.38	04/17/20	3,812.48	...	-120.10	Sale ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/09/20	300.000	3,587.94	04/02/20	3,015.00	...	572.94	Sale ²⁵
06/03/20	300.000	3,459.45	06/02/20	3,434.97	...	24.48	Sale ²⁵
06/05/20	300.000	6,422.81	06/04/20	4,187.43	...	2,235.38	Sale ²⁵

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Account 111281242

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
08/11/20	200.000	2,889.93	08/04/20	2,317.50	...	572.43	Sale ²⁵
09/11/20	200.000	2,596.78	08/17/20	2,575.62	...	21.16	Sale ²⁵
11/18/20	300.000	3,827.93	11/18/20	3,876.00	32.04 W	-16.03	Sale ²⁵
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	100.000	1,740.27	11/18/20	1,308.02	...	432.25	1 of 2 - Sale ²⁵
	100.000	1,740.27	11/18/20	1,307.02	...	433.25	2 of 2 - Sale ²⁵
12/14/20	200.000	3,480.54	Various	2,615.04	...	865.50	Total of 2 transactions
	Security total:	26,265.38		22,021.56	32.04 W	4,275.86	
APTINYX INC. COMMON STOCK / CUSIP: 03836N103 / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	100.000	379.29	11/25/20	351.50	...	27.79	1 of 2 - Sale ²⁵
	700.000	2,655.02	11/27/20	2,604.00	...	51.02	2 of 2 - Sale ²⁵
12/04/20	800.000	3,034.31	Various	2,955.50	...	78.81	Total of 2 transactions
12/08/20	200.000	740.40	11/27/20	744.00	...	-3.60	Sale ²⁵
	Security total:	3,774.71		3,699.50	...	75.21	
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	300.000	536.94	03/12/20	494.94	...	42.00	1 of 2 - Sale ²⁵
	400.000	715.93	03/12/20	659.92	...	56.01	2 of 2 - Sale ²⁵
03/12/20	700.000	1,252.87	Various	1,154.86	...	98.01	Total of 2 transactions
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THRE E PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
05/12/20	425.000	2,911.17	05/12/20	2,996.08	84.91 W	0.00	Sale ²⁵
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	100.000	1,081.96	06/02/20	944.98	...	136.98	1 of 3 - Sale ²⁵
	100.000	1,081.96	06/02/20	944.98	...	136.98	2 of 3 - Sale ²⁵
	100.000	1,081.96	06/02/20	944.98	...	136.98	3 of 3 - Sale ²⁵
06/03/20	300.000	3,245.88	Various	2,834.94	...	410.94	Total of 3 transactions

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Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AZUL S.A. AMERICAN DEPOSITORY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol: (cont'd)							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	125.000	1,365.16	06/04/20	1,398.60	33.44 W	0.00	1 of 2 - Sale ²⁵
	175.000	1,911.23	06/04/20	1,923.07	11.84 W	0.00	2 of 2 - Sale ²⁵
06/04/20	300.000	3,276.39	Various	3,321.67	45.28 W	0.00	Total of 2 transactions
7 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	7.000	88.90	06/04/20	78.87	...	10.03	1 of 7 - Sale ²⁵
	9.000	114.75	06/04/20	103.21	...	11.54	2 of 7 - Sale ²⁵
	33.000	419.09	06/04/20	371.83	...	47.26	3 of 7 - Sale ²⁵
	35.000	444.50	06/04/20	394.37	...	50.13	4 of 7 - Sale ²⁵
	50.000	634.98	06/04/20	573.38	...	61.60	5 of 7 - Sale ²⁵
	66.000	838.17	06/04/20	756.85	...	81.32	6 of 7 - Sale ²⁵
	100.000	1,269.96	06/04/20	1,126.77	...	143.19	7 of 7 - Sale ²⁵
06/05/20	300.000	3,810.35	Various	3,405.28	...	405.07	Total of 7 transactions
06/09/20	300.000	4,919.85	06/08/20	4,818.00	...	101.85	Sale ²⁵
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	1,134.96	06/10/20	1,461.61	326.65 W	0.00	1 of 3 - Sale ²⁵
	102.000	1,157.66	06/10/20	1,490.84	333.18 W	0.00	2 of 3 - Sale ²⁵
	98.000	1,112.26	06/10/20	1,432.38	320.12 W	0.00	3 of 3 - Sale ²⁵
06/11/20	300.000	3,404.88	Various	4,384.83	979.95 W	0.00	Total of 3 transactions
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	102.000	1,256.79	06/17/20	1,656.12	...	-399.33	1 of 3 - Sale ²⁵
	100.000	1,232.15	06/17/20	1,623.65	...	-391.50	2 of 3 - Sale ²⁵
	98.000	1,207.50	06/17/20	1,591.18	...	-383.68	3 of 3 - Sale ²⁵
07/15/20	300.000	3,696.44	Various	4,870.95	...	-1,174.51	Total of 3 transactions
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	100.000	1,321.96	08/17/20	1,188.76	...	133.20	1 of 2 - Sale ²⁵
	100.000	1,321.96	08/17/20	1,188.76	...	133.20	2 of 2 - Sale ²⁵
09/03/20	200.000	2,643.92	Various	2,377.52	...	266.40	Total of 2 transactions
	Security total:	27,908.88		29,009.27	1,110.14 W	9.75	

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	62.000	315.64	04/15/20	312.48	...	3.16	1 of 3 - Sale ²⁵
	62.000	315.64	04/15/20	312.48	...	3.16	2 of 3 - Sale ²⁵
	376.000	1,914.20	04/15/20	1,895.04	...	19.16	3 of 3 - Sale ²⁵
04/16/20	500.000	2,545.48	Various	2,520.00	...	25.48	Total of 3 transactions
BIOHITECH GLOBAL, INC. COMMON STOCK / CUSIP: 09074B107 / Symbol:							
7 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	797.000	1,235.23	03/10/20	1,298.71	...	-63.48	1 of 7 - Sale ²⁵
	76.000	119.31	03/10/20	123.84	...	-4.53	2 of 7 - Sale ²⁵
	76.000	120.07	03/10/20	123.84	...	-3.77	3 of 7 - Sale ²⁵
	24.000	37.92	03/10/20	39.11	...	-1.19	4 of 7 - Sale ²⁵
	20.000	32.00	03/10/20	32.59	...	-0.59	5 of 7 - Sale ²⁵
	4.000	6.40	03/10/20	6.52	...	-0.12	6 of 7 - Sale ²⁵
	3.000	4.89	03/10/20	4.89	...	0.00	7 of 7 - Sale ²⁵
03/11/20	1,000.000	1,555.82	Various	1,629.50	...	-73.68	Total of 7 transactions
CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	100.000	2,589.93	11/25/20	2,488.00	...	101.93	1 of 2 - Sale ²⁵
	100.000	2,589.93	11/25/20	2,488.00	...	101.93	2 of 2 - Sale ²⁵
11/27/20	200.000	5,179.86	Various	4,976.00	...	203.86	Total of 2 transactions
11/30/20	100.000	2,169.94	11/30/20	2,321.00	151.06 W	0.00	Sale ²⁵
6 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	10.000	323.04	11/30/20	216.27	...	106.77	1 of 6 - Sale ²⁵
	100.000	3,230.36	11/30/20	2,321.00	...	909.36	2 of 6 - Sale ²⁵
	100.000	3,230.35	11/30/20	2,319.56	...	910.79	3 of 6 - Sale ²⁵
	200.000	6,460.71	11/30/20	4,337.00	...	2,123.71	4 of 6 - Sale ²⁵
	1.000	32.30	12/02/20	20.21	...	12.09	5 of 6 - Sale ²⁵
	40.000	1,292.14	12/02/20	809.60	...	482.54	6 of 6 - Sale ²⁵
12/07/20	451.000	14,568.90	Various	10,023.64	...	4,545.26	Total of 6 transactions

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(continued)

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CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol: (cont'd)							
5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	33.20	12/07/20	31.29	...	1.91	1 of 5 - Sale ²⁵
	20.000	663.98	12/07/20	625.83	...	38.15	2 of 5 - Sale ²⁵
	40.000	1,327.97	12/07/20	1,251.65	...	76.32	3 of 5 - Sale ²⁵
	45.000	1,494.41	12/07/20	1,408.11	...	86.30	4 of 5 - Sale ²⁵
	94.000	3,126.36	12/07/20	2,941.38	...	184.98	5 of 5 - Sale ²⁵
12/08/20	200.000	6,645.92	Various	6,258.26	...	387.66	Total of 5 transactions
5 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	90.000	2,717.94	12/09/20	2,712.60	...	5.34	1 of 5 - Sale ²⁵
	6.000	181.20	12/11/20	173.23	...	7.97	2 of 5 - Sale ²⁵
	15.000	452.99	12/11/20	433.95	...	19.04	3 of 5 - Sale ²⁵
	85.000	2,566.93	12/11/20	2,458.45	...	108.48	4 of 5 - Sale ²⁵
	100.000	3,019.93	12/11/20	2,892.23	...	127.70	5 of 5 - Sale ²⁵
12/14/20	296.000	8,938.99	Various	8,670.46	...	268.53	Total of 5 transactions
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	200.000	6,039.86	12/08/20	6,358.00	318.14 W	0.00	1 of 2 - Sale ²⁵
	4.000	120.80	12/10/20	122.76	1.96 W	0.00	2 of 2 - Sale ²⁵
12/14/20	204.000	6,160.66	Various	6,480.76	320.10 W	0.00	Total of 2 transactions
12/18/20	100.000	2,965.17	12/14/20	3,094.07	128.90 W	0.00	Sale ²⁵
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	4.000	126.88	12/14/20	119.71	...	7.17	1 of 3 - Sale ²⁵
	100.000	3,171.92	12/14/20	3,094.07	...	77.85	2 of 3 - Sale ²⁵
	96.000	3,045.03	12/14/20	2,949.75	...	95.28	3 of 3 - Sale ²⁵
12/22/20	200.000	6,343.83	Various	6,163.53	...	180.30	Total of 3 transactions
	Security total:	52,973.27		47,987.72	600.06 W	5,585.61	

CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:

5 transactions for 04/23/20. Total proceeds and cost reported to the IRS.

	19.000	10.08	04/14/20	9.80	...	0.28	1 of 5 - Sale ²⁵
	60.000	31.84	04/14/20	31.04	...	0.80	2 of 5 - Sale ²⁵
	152.000	80.68	04/14/20	78.64	...	2.04	3 of 5 - Sale ²⁵
	270.000	143.31	04/14/20	139.70	...	3.61	4 of 5 - Sale ²⁵

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(continued)

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CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol: (cont'd)							
	499.000	264.85	04/14/20	258.18	...	6.67	5 of 5 - Sale ²⁵
04/23/20	1,000.000	530.76	Various	517.36	...	13.40	Total of 5 transactions
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	100.000	2,030.14	12/15/20	1,961.48	...	68.66	1 of 2 - Sale ²⁵
	100.000	2,030.13	12/17/20	1,898.07	...	132.06	2 of 2 - Sale ²⁵
12/22/20	200.000	4,060.27	Various	3,859.55	...	200.72	Total of 2 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	40.000	690.78	03/19/20	651.18	...	39.60	1 of 2 - Sale ²⁵
	100.000	1,726.95	03/19/20	1,017.00	...	709.95	2 of 2 - Sale ²⁵
03/26/20	140.000	2,417.73	Various	1,668.18	...	749.55	Total of 2 transactions
03/26/20	100.000	1,726.95	03/10/20	2,337.89	610.94 W	0.00	Sale ²⁵
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	240.000	2,200.74	04/02/20	1,900.80	...	299.94	1 of 2 - Sale ²⁵
	250.000	2,567.43	04/06/20	2,372.48	...	194.95	2 of 2 - Sale ²⁵
04/06/20	490.000	4,768.17	Various	4,273.28	...	494.89	Total of 2 transactions
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	60.000	550.18	04/02/20	841.76	291.58 W	0.00	1 of 2 - Sale ²⁵
	60.000	616.18	04/06/20	860.97	244.79 W	0.00	2 of 2 - Sale ²⁵
04/06/20	120.000	1,166.36	Various	1,702.73	536.37 W	0.00	Total of 2 transactions
4 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	60.000	715.18	04/07/20	987.59	...	-272.41	1 of 4 - Sale ²⁵
	200.000	2,383.92	04/07/20	2,476.00	...	-92.08	2 of 4 - Sale ²⁵
	40.000	476.78	04/07/20	495.20	...	-18.42	3 of 4 - Sale ²⁵
	100.000	1,191.96	04/07/20	1,132.50	...	59.46	4 of 4 - Sale ²⁵
04/15/20	400.000	4,767.84	Various	5,091.29	...	-323.45	Total of 4 transactions
08/11/20	200.000	3,132.27	08/04/20	2,620.00	...	512.27	Sale ²⁵
08/25/20	200.000	3,235.90	08/17/20	2,955.96	...	279.94	Sale ²⁵

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Account 111281242

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
<i>2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,522.95	09/28/20	1,491.91	...	31.04	1 of 2 - Sale ²⁵
	100.000	1,522.95	09/28/20	1,491.91	...	31.04	2 of 2 - Sale ²⁵
10/01/20	200.000	3,045.90	Various	2,983.82	...	62.08	Total of 2 transactions
10/08/20	200.000	3,178.50	10/02/20	2,917.46	...	261.04	Sale ²⁵
<i>2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.</i>							
	60.000	1,024.91	11/12/20	941.84	...	83.07	1 of 2 - Sale ²⁵
	140.000	2,391.47	11/12/20	2,190.52	...	200.95	2 of 2 - Sale ²⁵
11/16/20	200.000	3,416.38	Various	3,132.36	...	284.02	Total of 2 transactions
<i>2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,779.27	11/18/20	1,748.00	...	31.27	1 of 2 - Sale ²⁵
	200.000	3,558.53	11/18/20	3,496.00	...	62.53	2 of 2 - Sale ²⁵
11/18/20	300.000	5,337.80	Various	5,244.00	...	93.80	Total of 2 transactions
	Security total:	36,193.80		34,926.97	1,147.31 W	2,414.14	
CHILDREN'S PLACE, INC. (THE) C OMMON STOCK / CUSIP: 168905107 / Symbol:							
09/10/20	200.000	4,751.89	08/25/20	4,050.00	...	701.89	Sale ²⁵
09/17/20	200.000	5,953.84	09/15/20	5,344.00	...	609.84	Sale ²⁵
<i>4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	13.000	423.37	09/18/20	391.95	...	31.42	1 of 4 - Sale ²⁵
	50.000	1,628.34	09/18/20	1,509.00	...	119.34	2 of 4 - Sale ²⁵
	37.000	1,204.97	09/22/20	1,108.57	...	96.40	3 of 4 - Sale ²⁵
	100.000	3,256.69	09/24/20	2,688.18	...	568.51	4 of 4 - Sale ²⁵
11/10/20	200.000	6,513.37	Various	5,697.70	...	815.67	Total of 4 transactions
	Security total:	17,219.10		15,091.70	...	2,127.40	
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
12/30/20	200.000	2,012.93	12/22/20	1,997.00	...	15.93	Sale ²⁵
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
<i>7 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	120.000	972.20	02/20/20	1,323.60	...	-351.40	1 of 7 - Sale ²⁵
	20.000	162.03	03/03/20	181.32	...	-19.29	2 of 7 - Sale ²⁵

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(continued)

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CLOUDERA, INC. / CUSIP: 18914U100 / Symbol: (cont'd)							
	150.000	1,215.25	03/06/20	1,190.70	...	24.55	3 of 7 - Sale ²⁵
	4.000	32.41	03/09/20	28.76	...	3.65	4 of 7 - Sale ²⁵
	17.000	137.73	03/09/20	122.23	...	15.50	5 of 7 - Sale ²⁵
	72.000	583.32	03/09/20	517.68	...	65.64	6 of 7 - Sale ²⁵
	10.000	81.02	03/19/20	69.00	...	12.02	7 of 7 - Sale ²⁵
03/30/20	393.000	3,183.96	Various	3,433.29	...	-249.33	Total of 7 transactions
	3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	100.000	1,142.96	06/03/20	1,164.98	...	-22.02	1 of 3 - Sale ²⁵
	100.000	1,142.96	06/03/20	1,164.98	...	-22.02	2 of 3 - Sale ²⁵
	100.000	1,142.96	06/03/20	1,164.98	...	-22.02	3 of 3 - Sale ²⁵
06/03/20	300.000	3,428.88	Various	3,494.94	...	-66.06	Total of 3 transactions
	Security total:	6,612.84		6,928.23	...	-315.39	
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	100.000	1,312.17	11/19/20	1,109.74	...	202.43	1 of 2 - Sale ²⁵
	100.000	1,316.83	11/19/20	1,109.74	...	207.09	2 of 2 - Sale ²⁵
11/25/20	200.000	2,629.00	Various	2,219.48	...	409.52	Total of 2 transactions
	3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	50.000	581.98	11/23/20	524.83	...	57.15	1 of 3 - Sale ²⁵
	50.000	581.98	11/24/20	498.89	...	83.09	2 of 3 - Sale ²⁵
	200.000	2,327.92	11/25/20	2,432.94	...	-105.02	3 of 3 - Sale ²⁵
12/01/20	300.000	3,491.88	Various	3,456.66	...	35.22	Total of 3 transactions
	Security total:	6,120.88		5,676.14	...	444.74	
COTY INC / CUSIP: 222070203 / Symbol:							
06/01/20	500.000	2,169.94	05/26/20	2,114.15	...	55.79	Sale ²⁵
09/29/20	500.000	1,365.00	09/24/20	1,419.95	...	-54.95	Sale ²⁵
	Security total:	3,534.94		3,534.10	...	0.84	

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(continued)

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DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	300.000	177.01	06/08/20	242.61	...	-65.60	1 of 2 - Sale ²⁵
	200.000	118.01	06/08/20	161.74	...	-43.73	2 of 2 - Sale ²⁵
06/09/20	500.000	295.02	Various	404.35	...	-109.33	Total of 2 transactions
DIREXION DAILY GOLD MINERS IND EX BEAR 2X SHARES / CUSIP: 25490K133 / Symbol:							
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	50.000	283.00	03/19/20	238.50	...	44.50	1 of 2 - Sale ²⁵
	200.000	1,129.95	03/19/20	954.00	...	175.95	2 of 2 - Sale ²⁵
03/19/20	250.000	1,412.95	Various	1,192.50	...	220.45	Total of 2 transactions
FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	200.000	2,309.92	12/07/20	2,320.00	2.52 W	-7.56	1 of 2 - Sale ²⁵
	50.000	577.48	12/07/20	580.00	2.52 W	0.00	2 of 2 - Sale ²⁵
12/07/20	250.000	2,887.40	Various	2,900.00	5.04 W	-7.56	Total of 2 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	100.000	508.47	04/16/20	505.98	...	2.49	1 of 2 - Sale ²⁵
	200.000	1,016.95	04/16/20	1,011.96	...	4.99	2 of 2 - Sale ²⁵
04/17/20	300.000	1,525.42	Various	1,517.94	...	7.48	Total of 2 transactions
G1 THERAPEUTICS, INC. COMMON S TOCK / CUSIP: 3621LQ109 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	200.000	3,609.89	11/19/20	2,538.00	...	1,071.89	1 of 2 - Sale ²⁵
	100.000	1,804.95	11/23/20	1,254.38	...	550.57	2 of 2 - Sale ²⁵
11/30/20	300.000	5,414.84	Various	3,792.38	...	1,622.46	Total of 2 transactions
4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	4.000	73.20	11/30/20	72.56	...	0.64	1 of 4 - Sale ²⁵
	17.000	311.10	11/30/20	308.38	...	2.72	2 of 4 - Sale ²⁵
	22.000	402.60	11/30/20	399.08	...	3.52	3 of 4 - Sale ²⁵

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G1 THERAPEUTICS, INC. COMMON S TOCK / CUSIP: 3621LQ109 / Symbol: (cont'd)							
	257.000	4,702.96	11/30/20	4,661.98	...	40.98	4 of 4 - Sale ²⁵
12/02/20	300.000	5,489.86	Various	5,442.00	...	47.86	Total of 4 transactions
12/09/20	200.000	3,983.21	12/04/20	3,795.94	...	187.27	Sale ²⁵
	Security total:	14,887.91		13,030.32	...	1,857.59	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
04/17/20	500.000	3,325.81	04/16/20	3,240.00	...	85.81	Sale ²⁵
GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol:							
03/09/20	220.000	979.80	03/04/20	1,015.56	...	-35.76	Sale ²⁵
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
12/10/20	200.000	4,239.88	12/08/20	3,520.00	...	719.88	Sale ²⁵
12/16/20	200.000	3,937.31	12/10/20	4,232.28	294.97 W	0.00	Sale ²⁵
12/18/20	100.000	1,968.72	12/15/20	1,961.49	...	7.23	Sale ²⁵
	Security total:	10,145.91		9,713.77	294.97 W	727.11	
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
06/08/20	300.000	1,035.65	06/05/20	993.00	...	42.65	Sale ²⁵
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
	3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	150.000	2,871.14	12/01/20	3,093.00	...	-221.86	1 of 3 - Sale ²⁵
	50.000	957.05	12/01/20	1,031.00	...	-73.95	2 of 3 - Sale ²⁵
	100.000	1,914.09	12/04/20	1,854.35	...	59.74	3 of 3 - Sale ²⁵
12/08/20	300.000	5,742.28	Various	5,978.35	...	-236.07	Total of 3 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
03/02/20	500.000	1,529.90	02/28/20	1,049.95	...	479.95	Sale ²⁵
03/03/20	750.000	1,447.52	03/03/20	1,454.93	...	-7.41	Sale ²⁵
	Security total:	2,977.42		2,504.88	...	472.54	

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ICICI BANK LIMITED / CUSIP: 45104G104 / Symbol:							
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	100.000	866.97	03/25/20	796.00	...	70.97	1 of 2 - Sale ²⁵
	200.000	1,733.94	03/25/20	1,592.00	...	141.94	2 of 2 - Sale ²⁵
04/13/20	300.000	2,600.91	Various	2,388.00	...	212.91	Total of 2 transactions
04/30/20	500.000	5,010.02	04/20/20	4,619.35	...	390.67	Sale ²⁵
05/13/20	450.000	4,073.43	05/04/20	4,044.69	...	28.74	Sale ²⁵
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	50.000	471.10	05/04/20	449.41	...	21.69	1 of 4 - Sale ²⁵
	50.000	471.10	05/12/20	457.00	...	14.10	2 of 4 - Sale ²⁵
	50.000	471.10	05/12/20	457.00	...	14.10	3 of 4 - Sale ²⁵
	100.000	942.21	05/12/20	914.00	...	28.21	4 of 4 - Sale ²⁵
06/02/20	250.000	2,355.51	Various	2,277.41	...	78.10	Total of 4 transactions
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	50.000	481.10	05/12/20	457.00	...	24.10	1 of 3 - Sale ²⁵
	100.000	962.20	05/12/20	914.00	...	48.20	2 of 3 - Sale ²⁵
	100.000	962.21	05/12/20	914.00	...	48.21	3 of 3 - Sale ²⁵
06/03/20	250.000	2,405.51	Various	2,285.00	...	120.51	Total of 3 transactions
	Security total:	16,445.38		15,614.45	...	830.93	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/03/20	150.000	1,255.45	03/03/20	1,078.50	...	176.95	Sale ²⁵
2 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	14.000	170.10	03/04/20	115.08	...	55.02	1 of 2 - Sale ²⁵
	176.000	2,138.45	03/04/20	1,446.72	...	691.73	2 of 2 - Sale ²⁵
03/06/20	190.000	2,308.55	Various	1,561.80	...	746.75	Total of 2 transactions
03/09/20	100.000	1,099.96	03/09/20	1,000.00	...	99.96	Sale ²⁵
2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	100.000	1,099.96	03/09/20	1,120.00	20.04 W	0.00	1 of 2 - Sale ²⁵
	100.000	1,099.96	03/09/20	1,120.00	20.04 W	0.00	2 of 2 - Sale ²⁵
03/09/20	200.000	2,199.92	Various	2,240.00	40.08 W	0.00	Total of 2 transactions
4 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	60.000	437.99	03/10/20	540.92	102.93 W	0.00	1 of 4 - Sale ²⁵
	3.000	21.90	03/10/20	27.05	5.15 W	0.00	2 of 4 - Sale ²⁵

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	37.000	270.13	03/10/20	333.57	63.44 W	0.00	3 of 4 - Sale ²⁵
	100.000	730.08	03/10/20	901.54	171.46 W	0.00	4 of 4 - Sale ²⁵
03/10/20	200.000	1,460.10	Various	1,803.08	342.98 W	0.00	Total of 4 transactions
	3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	3.000	28.36	03/11/20	30.35	1.99 W	0.00	1 of 3 - Sale ²⁵
	37.000	349.78	03/11/20	374.24	24.46 W	0.00	2 of 3 - Sale ²⁵
	60.000	567.22	03/11/20	606.93	39.71 W	0.00	3 of 3 - Sale ²⁵
03/12/20	100.000	945.36	Various	1,011.52	66.16 W	0.00	Total of 3 transactions
	7 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	78.000	576.40	03/12/20	749.94	...	-173.54	1 of 7 - Sale ²⁵
	60.000	443.38	03/12/20	513.71	...	-70.33	2 of 7 - Sale ²⁵
	17.000	125.62	03/12/20	163.45	...	-37.83	3 of 7 - Sale ²⁵
	22.000	162.57	03/12/20	188.34	...	-25.77	4 of 7 - Sale ²⁵
	15.000	110.85	03/12/20	128.42	...	-17.57	5 of 7 - Sale ²⁵
	5.000	36.95	03/12/20	48.07	...	-11.12	6 of 7 - Sale ²⁵
	3.000	22.17	03/12/20	25.69	...	-3.52	7 of 7 - Sale ²⁵
03/17/20	200.000	1,477.94	Various	1,817.62	...	-339.68	Total of 7 transactions
	Security total:	10,747.28		10,512.52	449.22 W	683.98	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
	12 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	4.65	04/29/20	3.44	...	1.21	1 of 12 - Sale ²⁵
	1.000	4.65	04/29/20	3.44	...	1.21	2 of 12 - Sale ²⁵
	1.000	4.66	04/29/20	3.44	...	1.22	3 of 12 - Sale ²⁵
	5.000	23.20	04/29/20	17.20	...	6.00	4 of 12 - Sale ²⁵
	10.000	46.40	04/29/20	34.40	...	12.00	5 of 12 - Sale ²⁵
	10.000	46.50	04/29/20	34.40	...	12.10	6 of 12 - Sale ²⁵
	15.000	69.60	04/29/20	51.60	...	18.00	7 of 12 - Sale ²⁵
	50.000	232.00	04/29/20	172.00	...	60.00	8 of 12 - Sale ²⁵
	54.000	250.55	04/29/20	185.76	...	64.79	9 of 12 - Sale ²⁵
	57.000	264.47	04/29/20	196.08	...	68.39	10 of 12 - Sale ²⁵
	146.000	677.40	04/29/20	502.24	...	175.16	11 of 12 - Sale ²⁵
	150.000	695.96	05/05/20	453.00	...	242.96	12 of 12 - Sale ²⁵
06/05/20	500.000	2,320.04	Various	1,657.00	...	663.04	Total of 12 transactions

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	6.27	06/08/20	5.09	...	1.18	1 of 6 - Sale ²⁵
	3.000	18.81	06/08/20	15.27	...	3.54	2 of 6 - Sale ²⁵
	12.000	75.24	06/08/20	61.08	...	14.16	3 of 6 - Sale ²⁵
	97.000	608.16	06/08/20	493.73	...	114.43	4 of 6 - Sale ²⁵
	287.000	1,799.42	06/08/20	1,460.83	...	338.59	5 of 6 - Sale ²⁵
	600.000	3,761.84	06/08/20	3,054.00	...	707.84	6 of 6 - Sale ²⁵
06/09/20	1,000.000	6,269.74	Various	5,090.00	...	1,179.74	Total of 6 transactions
10 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	47.000	228.42	06/10/20	222.78	...	5.64	1 of 10 - Sale ²⁵
	53.000	257.57	06/10/20	251.22	...	6.35	2 of 10 - Sale ²⁵
	100.000	485.97	06/10/20	474.00	...	11.97	3 of 10 - Sale ²⁵
	100.000	485.98	06/10/20	474.00	...	11.98	4 of 10 - Sale ²⁵
	100.000	485.99	06/10/20	474.00	...	11.99	5 of 10 - Sale ²⁵
	100.000	485.99	06/10/20	474.00	...	11.99	6 of 10 - Sale ²⁵
	100.000	485.99	06/10/20	473.98	...	12.01	7 of 10 - Sale ²⁵
	100.000	486.48	06/10/20	474.00	...	12.48	8 of 10 - Sale ²⁵
	100.000	486.47	06/10/20	473.98	...	12.49	9 of 10 - Sale ²⁵
	200.000	973.95	06/10/20	948.00	...	25.95	10 of 10 - Sale ²⁵
06/10/20	1,000.000	4,862.81	Various	4,739.96	...	122.85	Total of 10 transactions
06/16/20	1,000.000	5,121.56	06/12/20	4,960.00	...	161.56	Sale ²⁵
	Security total:	18,574.15		16,446.96	...	2,127.19	
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
08/27/20	200.000	4,261.90	08/18/20	3,989.00	...	272.90	Sale ²⁵
LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
12/04/20	800.000	3,376.70	11/17/20	3,248.00	...	128.70	Sale ²⁵
12/21/20	200.000	826.18	11/17/20	812.00	...	14.18	Sale ²⁵
	Security total:	4,202.88		4,060.00	...	142.88	

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
4 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	100.000	709.97	04/02/20	430.00	...	279.97	1 of 4 - Sale ²⁵
	100.000	709.97	04/02/20	430.00	...	279.97	2 of 4 - Sale ²⁵
	150.000	1,064.95	04/02/20	645.00	...	419.95	3 of 4 - Sale ²⁵
	150.000	1,064.96	04/02/20	645.00	...	419.96	4 of 4 - Sale ²⁵
04/02/20	500.000	3,549.85	Various	2,150.00	...	1,399.85	Total of 4 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
7 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	420.000	852.53	04/09/20	852.60	...	-0.07	1 of 7 - Sale ²⁵
	196.000	397.86	04/09/20	397.88	...	-0.02	2 of 7 - Sale ²⁵
	104.000	211.11	04/09/20	211.12	...	-0.01	3 of 7 - Sale ²⁵
	100.000	202.99	04/09/20	203.00	...	-0.01	4 of 7 - Sale ²⁵
	100.000	202.99	04/09/20	203.00	...	-0.01	5 of 7 - Sale ²⁵
	54.000	109.61	04/09/20	109.62	...	-0.01	6 of 7 - Sale ²⁵
	30.000	60.90	04/09/20	60.90	...	0.00	7 of 7 - Sale ²⁵
04/13/20	1,004.000	2,037.99	Various	2,038.12	...	-0.13	Total of 7 transactions
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	100.000	202.99	04/09/20	203.00	0.01 W	0.00	1 of 3 - Sale ²⁵
	100.000	202.99	04/09/20	203.00	0.01 W	0.00	2 of 3 - Sale ²⁵
	796.000	1,615.75	04/09/20	1,615.88	0.13 W	0.00	3 of 3 - Sale ²⁵
04/13/20	996.000	2,021.73	Various	2,021.88	0.15 W	0.00	Total of 3 transactions
11 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	4.000	7.41	04/13/20	7.34	...	0.07	1 of 11 - Sale ²⁵
	96.000	177.90	04/13/20	176.17	...	1.73	2 of 11 - Sale ²⁵
	100.000	185.31	04/13/20	183.52	...	1.79	3 of 11 - Sale ²⁵
	100.000	185.31	04/13/20	183.52	...	1.79	4 of 11 - Sale ²⁵
	100.000	185.31	04/13/20	183.51	...	1.80	5 of 11 - Sale ²⁵
	100.000	185.31	04/13/20	183.51	...	1.80	6 of 11 - Sale ²⁵
	100.000	185.32	04/13/20	183.52	...	1.80	7 of 11 - Sale ²⁵
	100.000	185.31	04/13/20	183.51	...	1.80	8 of 11 - Sale ²⁵
	100.000	185.32	04/13/20	183.52	...	1.80	9 of 11 - Sale ²⁵
	100.000	185.31	04/13/20	183.51	...	1.80	10 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	100.000	185.32	04/13/20	183.52	...	1.80	11 of 11 - Sale ²⁵
05/27/20	1,000.000	1,853.13	Various	1,835.15	...	17.98	Total of 11 transactions
	Security total:	5,912.85		5,895.15	0.15 W	17.85	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
05/08/20	500.000	7,684.82	05/07/20	7,460.00	...	224.82	Sale ²⁵
05/26/20	200.000	3,364.34	05/11/20	3,047.96	...	316.38	Sale ²⁵
	6 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	50.000	875.97	05/28/20	905.87	...	-29.90	1 of 6 - Sale ²⁵
	22.000	385.44	05/28/20	399.01	...	-13.57	2 of 6 - Sale ²⁵
	22.000	385.43	05/28/20	398.78	...	-13.35	3 of 6 - Sale ²⁵
	3.000	52.56	05/28/20	54.36	...	-1.80	4 of 6 - Sale ²⁵
	2.000	35.04	05/28/20	36.26	...	-1.22	5 of 6 - Sale ²⁵
	1.000	17.52	05/28/20	17.77	...	-0.25	6 of 6 - Sale ²⁵
05/29/20	100.000	1,751.96	Various	1,812.05	...	-60.09	Total of 6 transactions
	5 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	123.000	2,154.90	05/27/20	2,200.27	8.11 W	-37.26	1 of 5 - Sale ²⁵
	50.000	876.98	05/27/20	894.42	17.44 W	0.00	2 of 5 - Sale ²⁵
	3.000	52.62	05/27/20	53.67	1.05 W	0.00	3 of 5 - Sale ²⁵
	22.000	385.66	05/27/20	393.54	7.88 W	0.00	4 of 5 - Sale ²⁵
	2.000	35.06	05/27/20	35.78	0.72 W	0.00	5 of 5 - Sale ²⁵
05/29/20	200.000	3,505.22	Various	3,577.68	35.20 W	-37.26	Total of 5 transactions
	2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	55.000	1,009.76	08/05/20	914.01	...	95.75	1 of 2 - Sale ²⁵
	65.000	1,192.71	08/05/20	1,080.20	...	112.51	2 of 2 - Sale ²⁵
08/06/20	120.000	2,202.47	Various	1,994.21	...	208.26	Total of 2 transactions
	Security total:	18,508.81		17,891.90	35.20 W	652.11	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
	6 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	100.000	618.15	04/28/20	591.00	...	27.15	1 of 6 - Sale ²⁵
	100.000	618.15	04/28/20	591.00	...	27.15	2 of 6 - Sale ²⁵
	100.000	618.15	04/28/20	591.00	...	27.15	3 of 6 - Sale ²⁵

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Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MACY'S INC. / CUSIP: 55616P104 / Symbol: (cont'd)							
	100.000	618.15	04/28/20	591.00	...	27.15	4 of 6 - Sale ²⁵
	100.000	618.16	04/28/20	591.00	...	27.16	5 of 6 - Sale ²⁵
	300.000	1,854.47	04/28/20	1,773.00	...	81.47	6 of 6 - Sale ²⁵
05/26/20	800.000	4,945.23	Various	4,728.00	...	217.23	Total of 6 transactions
	3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	100.000	757.96	05/27/20	710.00	...	47.96	1 of 3 - Sale ²⁵
	100.000	757.97	05/27/20	710.00	...	47.97	2 of 3 - Sale ²⁵
	100.000	757.97	05/27/20	710.00	...	47.97	3 of 3 - Sale ²⁵
05/27/20	300.000	2,273.90	Various	2,130.00	...	143.90	Total of 3 transactions
06/03/20	300.000	2,162.97	05/28/20	2,138.49	...	24.48	Sale ²⁵
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	100.000	927.96	06/04/20	794.50	...	133.46	1 of 3 - Sale ²⁵
	100.000	927.96	06/04/20	794.50	...	133.46	2 of 3 - Sale ²⁵
	100.000	927.97	06/04/20	794.50	...	133.47	3 of 3 - Sale ²⁵
06/05/20	300.000	2,783.89	Various	2,383.50	...	400.39	Total of 3 transactions
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	100.000	1,021.98	06/08/20	1,061.00	39.02 W	0.00	1 of 3 - Sale ²⁵
	100.000	1,021.98	06/08/20	1,061.00	39.02 W	0.00	2 of 3 - Sale ²⁵
	100.000	1,021.99	06/08/20	1,061.00	39.01 W	0.00	3 of 3 - Sale ²⁵
06/09/20	300.000	3,065.95	Various	3,183.00	117.05 W	0.00	Total of 3 transactions
	4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	100.000	698.97	06/23/20	739.80	...	-40.83	1 of 4 - Sale ²⁵
	100.000	698.97	06/23/20	739.80	...	-40.83	2 of 4 - Sale ²⁵
	56.000	391.43	06/23/20	414.28	...	-22.85	3 of 4 - Sale ²⁵
	44.000	307.56	06/23/20	325.51	...	-17.95	4 of 4 - Sale ²⁵
06/24/20	300.000	2,096.93	Various	2,219.39	...	-122.46	Total of 4 transactions
	Security total:	17,328.87		16,782.38	117.05 W	663.54	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
04/20/20	250.000	1,050.46	04/09/20	1,097.50	...	-47.04	Sale ²⁵
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	3.000	23.85	06/05/20	20.19	...	3.66	1 of 3 - Sale ²⁵
	12.000	95.40	06/05/20	80.76	...	14.64	2 of 3 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol: (cont'd)							
	485.000	3,855.60	06/05/20	3,263.95	...	591.65	3 of 3 - Sale ²⁵
06/09/20	500.000	3,974.85	Various	3,364.90	...	609.95	Total of 3 transactions
06/11/20	300.000	1,938.66	06/10/20	2,174.40	235.74 W	0.00	Sale ²⁵
11/30/20	300.000	1,883.91	06/12/20	2,263.68	...	-379.77	Sale ²⁵
	Security total:	8,847.88		8,900.48	235.74 W	183.14	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
05/27/20	100.000	5,233.95	05/27/20	5,206.87	...	27.08	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
07/09/20	200.000	2,771.93	07/08/20	2,814.00	...	-42.07	Sale ²⁵
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	26.000	1,175.69	11/17/20	1,148.42	...	27.27	1 of 2 - Sale ²⁵
	74.000	3,346.20	11/17/20	3,270.80	...	75.40	2 of 2 - Sale ²⁵
11/17/20	100.000	4,521.89	Various	4,419.22	...	102.67	Total of 2 transactions
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	200.000	9,528.76	12/11/20	8,394.00	...	1,134.76	1 of 2 - Sale ²⁵
	100.000	4,764.38	12/14/20	3,973.00	...	791.38	2 of 2 - Sale ²⁵
12/21/20	300.000	14,293.14	Various	12,367.00	...	1,926.14	Total of 2 transactions
	Security total:	21,586.96		19,600.22	...	1,986.74	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
	2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	100.000	3,299.91	09/14/20	3,231.00	...	68.91	1 of 2 - Sale ²⁵
	100.000	3,299.92	09/14/20	3,227.00	...	72.92	2 of 2 - Sale ²⁵
09/15/20	200.000	6,599.83	Various	6,458.00	...	141.83	Total of 2 transactions
09/21/20	100.000	2,782.14	09/21/20	2,768.00	...	14.14	Sale ²⁵
	Security total:	9,381.97		9,226.00	...	155.97	
NORDSTROM, INC. / CUSIP: 655664100 / Symbol:							
08/12/20	100.000	1,647.15	08/05/20	1,474.00	...	173.15	Sale ²⁵
	2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	100.000	1,840.69	08/18/20	1,533.80	...	306.89	1 of 2 - Sale ²⁵

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NORDSTROM, INC. / CUSIP: 655664100 / Symbol: (cont'd)							
	100.000	1,840.68	08/25/20	1,430.00	...	410.68	2 of 2 - Sale ²⁵
11/16/20	200.000	3,681.37	Various	2,963.80	...	717.57	Total of 2 transactions
	Security total:	5,328.52		4,437.80	...	890.72	
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
03/04/20	150.000	1,799.96	02/27/20	1,607.63	...	192.33	Sale ²⁵
03/18/20	200.000	2,178.17	03/06/20	2,479.68	...	-301.51	Sale ²⁵
	Security total:	3,978.13		4,087.31	...	-109.18	
REGIS CORPORATION / CUSIP: 758932107 / Symbol:							
11/23/20	200.000	1,527.30	09/03/20	1,500.00	...	27.30	Sale ²⁵
11/27/20	200.000	1,666.74	09/03/20	1,500.00	...	166.74	Sale ²⁵
	Security total:	3,194.04		3,000.00	...	194.04	
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
04/28/20	195.000	810.19	04/09/20	787.78	...	22.41	Sale ²⁵
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	100.000	722.99	06/02/20	654.00	...	68.99	1 of 2 - Sale ²⁵
	200.000	1,445.98	06/02/20	1,310.00	...	135.98	2 of 2 - Sale ²⁵
06/03/20	300.000	2,168.97	Various	1,964.00	...	204.97	Total of 2 transactions
	5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	100.000	894.47	06/04/20	766.00	...	128.47	1 of 5 - Sale ²⁵
	100.000	894.47	06/04/20	766.00	...	128.47	2 of 5 - Sale ²⁵
	100.000	894.47	06/04/20	766.00	...	128.47	3 of 5 - Sale ²⁵
	100.000	894.47	06/04/20	766.00	...	128.47	4 of 5 - Sale ²⁵
	100.000	894.47	06/04/20	766.00	...	128.47	5 of 5 - Sale ²⁵
06/05/20	500.000	4,472.35	Various	3,830.00	...	642.35	Total of 5 transactions
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	284.000	2,885.34	06/08/20	3,117.27	231.93 W	0.00	1 of 2 - Sale ²⁵
	16.000	162.56	06/08/20	175.62	13.06 W	0.00	2 of 2 - Sale ²⁵
06/09/20	300.000	3,047.90	Various	3,292.89	244.99 W	0.00	Total of 2 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	16.000	126.42	06/10/20	147.06	20.64 W	0.00	1 of 3 - Sale ²⁵
	284.000	2,243.88	06/10/20	2,610.52	366.64 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SASOL LIMITED / CUSIP: 803866300 / Symbol: (cont'd)							
06/11/20	200.000	1,580.20	06/10/20	1,675.06	94.86 W	0.00	3 of 3 - Sale ²⁵
	500.000	3,950.50	Various	4,432.64	482.14 W	0.00	Total of 3 transactions
	3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	100.000	896.47	06/12/20	950.10	53.63 W	0.00	1 of 3 - Sale ²⁵
	16.000	143.36	06/12/20	152.00	8.64 W	0.00	2 of 3 - Sale ²⁵
	184.000	1,648.58	06/12/20	1,748.18	99.60 W	0.00	3 of 3 - Sale ²⁵
06/16/20	300.000	2,688.41	Various	2,850.28	161.87 W	0.00	Total of 3 transactions
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	90.000	731.69	06/18/20	750.27	...	-18.58	1 of 2 - Sale ²⁵
	110.000	894.29	06/18/20	910.17	...	-15.88	2 of 2 - Sale ²⁵
06/24/20	200.000	1,625.98	Various	1,660.44	...	-34.46	Total of 2 transactions
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	10.000	81.35	06/18/20	82.74	1.39 W	0.00	1 of 3 - Sale ²⁵
	10.000	81.35	06/18/20	83.36	2.01 W	0.00	2 of 3 - Sale ²⁵
	80.000	650.77	06/18/20	661.95	11.18 W	0.00	3 of 3 - Sale ²⁵
06/24/20	100.000	813.47	Various	828.05	14.58 W	0.00	Total of 3 transactions
	5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	184.000	1,424.10	06/26/20	1,545.84	...	-121.74	1 of 5 - Sale ²⁵
	80.000	619.17	06/26/20	639.98	...	-20.81	2 of 5 - Sale ²⁵
	16.000	123.84	06/26/20	134.40	...	-10.56	3 of 5 - Sale ²⁵
	10.000	77.40	06/26/20	80.61	...	-3.21	4 of 5 - Sale ²⁵
	10.000	77.40	06/26/20	79.99	...	-2.59	5 of 5 - Sale ²⁵
06/26/20	300.000	2,321.91	Various	2,480.82	...	-158.91	Total of 5 transactions
11/27/20	200.000	1,700.20	11/24/20	1,695.78	...	4.42	Sale ²⁵
	Security total:	23,599.88		23,822.68	903.58 W	680.78	
SIX FLAGS ENTERTAINMENT CORP. / CUSIP: 83001A102 / Symbol:							
08/12/20	250.000	4,980.65	07/30/20	4,362.18	...	618.47	Sale ²⁵
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
	10 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	25.000	175.00	04/23/20	147.44	...	27.56	1 of 10 - Sale ²⁵
	50.000	350.00	04/23/20	294.89	...	55.11	2 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol: (cont'd)							
	55.000	384.99	04/23/20	324.38	...	60.61	3 of 10 - Sale ²⁵
	100.000	699.97	04/23/20	589.78	...	110.19	4 of 10 - Sale ²⁵
	100.000	699.97	04/23/20	589.78	...	110.19	5 of 10 - Sale ²⁵
	100.000	699.97	04/23/20	589.78	...	110.19	6 of 10 - Sale ²⁵
	100.000	699.97	04/23/20	589.78	...	110.19	7 of 10 - Sale ²⁵
	100.000	699.97	04/23/20	533.00	...	166.97	8 of 10 - Sale ²⁵
	100.000	699.97	04/23/20	533.00	...	166.97	9 of 10 - Sale ²⁵
	470.000	3,289.86	04/23/20	2,771.97	...	517.89	10 of 10 - Sale ²⁵
04/28/20	1,200.000	8,399.67	Various	6,963.80	...	1,435.87	Total of 10 transactions
04/29/20	1,200.000	8,351.67	04/28/20	7,680.00	...	671.67	Sale ²⁵
	3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	5.000	37.00	04/29/20	34.40	...	2.60	1 of 3 - Sale ²⁵
	42.000	310.80	04/29/20	288.96	...	21.84	2 of 3 - Sale ²⁵
	953.000	7,052.11	04/29/20	6,594.76	...	457.35	3 of 3 - Sale ²⁵
05/01/20	1,000.000	7,399.91	Various	6,918.12	...	481.79	Total of 3 transactions
	2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	900.000	6,137.75	05/01/20	6,223.59	85.84 W	0.00	1 of 2 - Sale ²⁵
	100.000	681.97	05/01/20	691.51	9.54 W	0.00	2 of 2 - Sale ²⁵
05/04/20	1,000.000	6,819.72	Various	6,915.10	95.38 W	0.00	Total of 2 transactions
	2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	100.000	739.97	05/05/20	689.54	...	50.43	1 of 2 - Sale ²⁵
	900.000	6,659.74	05/05/20	6,205.84	...	453.90	2 of 2 - Sale ²⁵
05/06/20	1,000.000	7,399.71	Various	6,895.38	...	504.33	Total of 2 transactions
	4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	56.000	435.11	05/13/20	431.20	...	3.91	1 of 4 - Sale ²⁵
	50.000	388.49	05/13/20	383.50	...	4.99	2 of 4 - Sale ²⁵
	100.000	776.97	05/13/20	767.00	...	9.97	3 of 4 - Sale ²⁵
	594.000	4,615.20	05/13/20	4,573.80	...	41.40	4 of 4 - Sale ²⁵
05/28/20	800.000	6,215.77	Various	6,155.50	...	60.27	Total of 4 transactions
05/29/20	300.000	2,327.90	05/28/20	2,407.83	79.93 W	0.00	Sale ²⁵
06/03/20	100.000	847.97	06/02/20	846.62	...	1.35	Sale ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol: (cont'd)							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	100.000	845.97	06/02/20	846.63		0.00	1 of 2 - Sale ²⁵
	100.000	844.97	06/02/20	846.62	0.66 W	0.00	2 of 2 - Sale ²⁵
06/03/20	200.000	1,690.94	Various	1,693.25	2.31 W	0.00	Total of 2 transactions
7 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	9.17	06/05/20	8.68	...	0.49	1 of 7 - Sale ²⁵
	1.000	9.17	06/05/20	8.68	...	0.49	2 of 7 - Sale ²⁵
	17.000	155.89	06/05/20	147.56	...	8.33	3 of 7 - Sale ²⁵
	31.000	284.26	06/05/20	269.08	...	15.18	4 of 7 - Sale ²⁵
	50.000	458.48	06/05/20	434.00	...	24.48	5 of 7 - Sale ²⁵
	100.000	916.96	06/05/20	869.65	...	47.31	6 of 7 - Sale ²⁵
	100.000	916.96	06/05/20	868.66	...	48.30	7 of 7 - Sale ²⁵
06/09/20	300.000	2,750.89	Various	2,606.31	...	144.58	Total of 7 transactions
4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	730.47	06/10/20	813.81	83.34 W	0.00	1 of 4 - Sale ²⁵
	200.000	1,460.94	06/10/20	1,627.62	166.68 W	0.00	2 of 4 - Sale ²⁵
	100.000	730.47	06/10/20	813.81	83.34 W	0.00	3 of 4 - Sale ²⁵
	100.000	730.47	06/10/20	813.81	83.34 W	0.00	4 of 4 - Sale ²⁵
06/11/20	500.000	3,652.35	Various	4,069.05	416.70 W	0.00	Total of 4 transactions
10 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	50.000	393.74	06/12/20	416.67	22.93 W	0.00	1 of 10 - Sale ²⁵
	10.000	78.75	06/12/20	83.43	4.68 W	0.00	2 of 10 - Sale ²⁵
	40.000	314.99	06/12/20	333.74	18.75 W	0.00	3 of 10 - Sale ²⁵
	60.000	472.48	06/12/20	500.60	28.12 W	0.00	4 of 10 - Sale ²⁵
	20.000	157.49	06/12/20	166.87	9.38 W	0.00	5 of 10 - Sale ²⁵
	25.000	196.87	06/12/20	208.59	11.72 W	0.00	6 of 10 - Sale ²⁵
	5.000	39.37	06/12/20	41.72	2.35 W	0.00	7 of 10 - Sale ²⁵
	95.000	748.09	06/12/20	792.62	44.53 W	0.00	8 of 10 - Sale ²⁵
	95.000	748.10	06/12/20	792.62	44.52 W	0.00	9 of 10 - Sale ²⁵
	100.000	787.47	06/12/20	834.34	46.87 W	0.00	10 of 10 - Sale ²⁵
06/16/20	500.000	3,937.35	Various	4,171.20	233.85 W	0.00	Total of 10 transactions

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol: (cont'd)							
11 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	100.000	822.97	06/16/20	829.73	...	-6.76	1 of 11 - Sale ²⁵
	95.000	781.83	06/16/20	788.25	...	-6.42	2 of 11 - Sale ²⁵
	95.000	781.83	06/16/20	788.24	...	-6.41	3 of 11 - Sale ²⁵
	60.000	493.78	06/16/20	497.84	...	-4.06	4 of 11 - Sale ²⁵
	50.000	411.49	06/16/20	414.36	...	-2.87	5 of 11 - Sale ²⁵
	40.000	329.18	06/16/20	331.89	...	-2.71	6 of 11 - Sale ²⁵
	20.000	164.60	06/16/20	165.95	...	-1.35	7 of 11 - Sale ²⁵
	20.000	164.59	06/16/20	165.94	...	-1.35	8 of 11 - Sale ²⁵
	10.000	82.30	06/16/20	82.97	...	-0.67	9 of 11 - Sale ²⁵
	5.000	41.15	06/16/20	41.49	...	-0.34	10 of 11 - Sale ²⁵
	5.000	41.15	06/16/20	41.49	...	-0.34	11 of 11 - Sale ²⁵
07/02/20	500.000	4,114.87	Various	4,148.15	...	-33.28	Total of 11 transactions
09/02/20	500.000	4,516.59	08/12/20	4,399.90	...	116.69	Sale ²⁵
	Security total:	68,425.31		65,870.21	828.17 W	3,383.27	
SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol:							
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	6.000	129.59	08/04/20	110.94	...	18.65	1 of 4 - Sale ²⁵
	20.000	431.96	08/04/20	370.40	...	61.56	2 of 4 - Sale ²⁵
	20.000	431.96	08/04/20	370.00	...	61.96	3 of 4 - Sale ²⁵
	154.000	3,326.05	08/04/20	2,852.08	...	473.97	4 of 4 - Sale ²⁵
08/06/20	200.000	4,319.56	Various	3,703.42	...	616.14	Total of 4 transactions
4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	100.000	2,880.93	08/06/20	2,160.00	...	720.93	1 of 4 - Sale ²⁵
	100.000	2,881.92	08/06/20	2,160.00	...	721.92	2 of 4 - Sale ²⁵
	30.000	864.28	09/24/20	539.92	...	324.36	3 of 4 - Sale ²⁵
	70.000	2,016.64	09/24/20	1,265.40	...	751.24	4 of 4 - Sale ²⁵
11/10/20	300.000	8,643.77	Various	6,125.32	...	2,518.45	Total of 4 transactions
11/16/20	300.000	9,533.74	11/10/20	8,976.48	...	557.26	Sale ²⁵
11/27/20	200.000	7,186.30	11/19/20	6,396.64	...	789.66	Sale ²⁵
12/04/20	200.000	8,167.79	12/03/20	8,151.00	...	16.79	Sale ²⁵
	Security total:	37,851.16		33,352.86	...	4,498.30	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	4.000	55.04	05/08/20	41.07	...	13.97	1 of 2 - Sale ²⁵
05/28/20	146.000	2,008.92	05/08/20	1,499.24	...	509.68	2 of 2 - Sale ²⁵
	150.000	2,063.96	Various	1,540.31	...	523.65	Total of 2 transactions
8 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	3.000	39.72	05/28/20	40.05	0.33 W	0.00	1 of 8 - Sale ²⁵
	1.000	13.24	05/28/20	13.35	0.11 W	0.00	2 of 8 - Sale ²⁵
	1.000	13.24	05/28/20	13.35	0.11 W	0.00	3 of 8 - Sale ²⁵
	95.000	1,255.86	05/28/20	1,268.25	12.39 W	0.00	4 of 8 - Sale ²⁵
	1.000	13.22	05/28/20	13.46	0.24 W	0.00	5 of 8 - Sale ²⁵
	1.000	13.22	05/28/20	13.46	0.24 W	0.00	6 of 8 - Sale ²⁵
	3.000	39.66	05/28/20	40.38	0.72 W	0.00	7 of 8 - Sale ²⁵
05/29/20	195.000	2,577.81	05/28/20	2,603.25	25.44 W	0.00	8 of 8 - Sale ²⁵
	300.000	3,965.97	Various	4,005.55	39.58 W	0.00	Total of 8 transactions
7 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	06/02/20	14.71	...	0.28	1 of 7 - Sale ²⁵
	1.000	14.99	06/02/20	14.70	...	0.29	2 of 7 - Sale ²⁵
	3.000	44.97	06/02/20	44.11	...	0.86	3 of 7 - Sale ²⁵
	5.000	74.95	06/02/20	72.32	...	2.63	4 of 7 - Sale ²⁵
	57.000	854.40	06/02/20	831.94	...	22.46	5 of 7 - Sale ²⁵
	95.000	1,424.00	06/02/20	1,386.57	...	37.43	6 of 7 - Sale ²⁵
06/03/20	138.000	2,068.55	06/02/20	2,014.18	...	54.37	7 of 7 - Sale ²⁵
	300.000	4,496.85	Various	4,378.53	...	118.32	Total of 7 transactions
08/12/20	200.000	3,547.90	08/05/20	3,328.00	...	219.90	Sale ²⁵
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	84.000	2,198.40	11/10/20	1,681.56	...	516.84	1 of 2 - Sale ²⁵
	116.000	3,035.90	11/10/20	2,322.16	...	713.74	2 of 2 - Sale ²⁵
12/14/20	200.000	5,234.30	Various	4,003.72	...	1,230.58	Total of 2 transactions
12/22/20	200.000	5,015.28	12/21/20	4,975.16	...	40.12	Sale ²⁵
	Security total:	24,324.26		22,231.27	39.58 W	2,132.57	
STABLE ROAD ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 85236Q109 / Symbol:							
12/21/20	300.000	5,124.38	11/30/20	4,068.00	...	1,056.38	Sale ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TUSCAN HOLDINGS CORP. COMMON S TOCK / CUSIP: 90069K104 / Symbol:							
<i>4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	171.10	12/22/20	154.90	...	16.20	1 of 4 - Sale ²⁵
	32.000	547.50	12/22/20	496.00	...	51.50	2 of 4 - Sale ²⁵
	40.000	684.38	12/22/20	619.60	...	64.78	3 of 4 - Sale ²⁵
	118.000	2,018.92	12/22/20	1,829.00	...	189.92	4 of 4 - Sale ²⁵
12/22/20	200.000	3,421.90	Various	3,099.50	...	322.40	Total of 4 transactions
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
07/07/20	500.000	2,439.88	07/02/20	2,449.95	...	-10.07	Sale ²⁵
ETRACS MONTHLY PAY 2XLEVERAGED MORTGAGE REIT ETN / CUSIP: 90269A302 / Symbol:							
<i>2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	283.34	03/19/20	191.96	...	91.38	1 of 2 - Sale ²⁵
	600.000	425.02	03/19/20	287.94	...	137.08	2 of 2 - Sale ²⁵
03/19/20	1,000.000	708.36	Various	479.90	...	228.46	Total of 2 transactions
ETRACS MONTHLY PAY 2XLEVERAGED MORTGAGE REIT ETN SERIES B DU E OCTOBER 16, 2042 / CUSIP: 90274D432 / Symbol:							
<i>3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	65.000	44.85	03/19/20	31.56	...	13.29	1 of 3 - Sale ²⁵
	926.000	638.90	03/19/20	449.57	...	189.33	2 of 3 - Sale ²⁵
	1,009.000	696.17	03/19/20	489.87	...	206.30	3 of 3 - Sale ²⁵
03/19/20	2,000.000	1,379.92	Various	971.00	...	408.92	Total of 3 transactions
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
04/22/20	97.000	244.43	04/22/20	281.30	...	-36.87	Sale ²⁵
VIASAT, INC. COMMON STOCK / CUSIP: 92552V100 / Symbol:							
12/21/20	100.000	3,055.84	12/17/20	3,193.00	...	-137.16	Sale ²⁵
12/22/20	100.000	3,084.37	12/17/20	3,193.00	...	-108.63	Sale ²⁵
	Security total:	6,140.21		6,386.00	...	-245.79	
VIACOMCBS INC. CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
05/08/20	150.000	2,699.94	04/14/20	2,499.00	...	200.94	Sale ²⁵
05/13/20	200.000	3,489.92	05/11/20	3,587.98	...	-98.06	Sale ²⁵
	Security total:	6,189.86		6,086.98	...	102.88	

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
02/27/20	102.000	1,177.04	02/20/20	1,238.28	61.24 W	0.00	Sale ²⁵
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	198.000	964.21	03/27/20	871.18	...	93.03	1 of 2 - Sale ²⁵
	700.000	3,401.84	03/27/20	3,079.93	...	321.91	2 of 2 - Sale ²⁵
04/07/20	898.000	4,366.05	Various	3,951.11	...	414.94	Total of 2 transactions
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	100.000	486.99	03/27/20	500.03	13.04 W	0.00	1 of 2 - Sale ²⁵
	2.000	9.74	03/27/20	10.00	0.26 W	0.00	2 of 2 - Sale ²⁵
04/07/20	102.000	496.73	Various	510.03	13.30 W	0.00	Total of 2 transactions
	3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	2.000	11.00	04/08/20	10.10	...	0.90	1 of 3 - Sale ²⁵
	100.000	549.99	04/08/20	505.03	...	44.96	2 of 3 - Sale ²⁵
	898.000	4,938.86	04/08/20	4,418.07	...	520.79	3 of 3 - Sale ²⁵
04/09/20	1,000.000	5,499.85	Various	4,933.20	...	566.65	Total of 3 transactions
	5 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	2.000	11.10	04/13/20	10.72	...	0.38	1 of 5 - Sale ²⁵
	2.000	11.10	04/13/20	10.72	...	0.38	2 of 5 - Sale ²⁵
	3.000	16.65	04/13/20	16.08	...	0.57	3 of 5 - Sale ²⁵
	25.000	138.75	04/13/20	134.00	...	4.75	4 of 5 - Sale ²⁵
	968.000	5,372.16	04/13/20	5,188.48	...	183.68	5 of 5 - Sale ²⁵
04/15/20	1,000.000	5,549.76	Various	5,360.00	...	189.76	Total of 5 transactions
	2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	50.000	259.50	04/16/20	260.48	0.98 W	0.00	1 of 2 - Sale ²⁵
	950.000	4,930.28	04/16/20	4,949.02	18.74 W	0.00	2 of 2 - Sale ²⁵
04/20/20	1,000.000	5,189.78	Various	5,209.50	19.72 W	0.00	Total of 2 transactions
	2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	50.000	289.00	04/21/20	271.47	...	17.53	1 of 2 - Sale ²⁵
	950.000	5,490.95	04/21/20	5,158.05	...	332.90	2 of 2 - Sale ²⁵
04/22/20	1,000.000	5,779.95	Various	5,429.52	...	350.43	Total of 2 transactions
	4 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	6.000	40.20	04/28/20	35.40	...	4.80	1 of 4 - Sale ²⁵
	7.000	46.90	04/28/20	41.30	...	5.60	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	100.000	669.98	04/28/20	590.00	...	79.98	3 of 4 - Sale ²⁵
	137.000	917.87	04/28/20	808.30	...	109.57	4 of 4 - Sale ²⁵
04/29/20	250.000	1,674.95	Various	1,475.00	...	199.95	Total of 4 transactions
05/19/20	500.000	3,489.91	04/29/20	3,395.00	...	94.91	Sale ²⁵
7 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	7.52	05/21/20	6.83	...	0.69	1 of 7 - Sale ²⁵
	1.000	7.52	05/21/20	6.83	...	0.69	2 of 7 - Sale ²⁵
	1.000	7.52	05/21/20	6.83	...	0.69	3 of 7 - Sale ²⁵
	5.000	37.60	05/21/20	34.15	...	3.45	4 of 7 - Sale ²⁵
	8.000	60.16	05/21/20	54.64	...	5.52	5 of 7 - Sale ²⁵
	100.000	751.98	05/21/20	683.00	...	68.98	6 of 7 - Sale ²⁵
	384.000	2,887.60	05/21/20	2,622.72	...	264.88	7 of 7 - Sale ²⁵
05/28/20	500.000	3,759.90	Various	3,415.00	...	344.90	Total of 7 transactions
06/03/20	300.000	2,663.93	06/02/20	2,504.61	...	159.32	Sale ²⁵
07/08/20	300.000	4,476.64	07/07/20	3,939.00	...	537.64	Sale ²⁵
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	100.000	1,822.94	07/08/20	1,541.74	...	281.20	1 of 2 - Sale ²⁵
	100.000	1,822.94	07/08/20	1,541.74	...	281.20	2 of 2 - Sale ²⁵
07/13/20	200.000	3,645.88	Various	3,083.48	...	562.40	Total of 2 transactions
07/14/20	500.000	9,404.78	07/13/20	8,499.40	...	905.38	Sale ²⁵
07/17/20	500.000	10,019.76	07/17/20	9,774.40	...	245.36	Sale ²⁵
Security total:		67,194.91		62,717.53	94.26 W	4,571.64	
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
03/19/20	250.000	727.45	03/18/20	417.50	...	309.95	Sale ²⁵
03/25/20	1,000.000	1,150.05	03/20/20	2,000.00	...	-849.95	Sale ²⁵
Security total:		1,877.50		2,417.50	...	-540.00	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/01/20	100.000	1,920.94	07/01/20	1,720.40	...	200.54	Sale ²⁵
08/03/20	200.000	3,854.15	07/06/20	3,951.00	96.85 W	0.00	Sale ²⁵
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	100.000	1,624.95	08/07/20	1,724.43	99.48 W	0.00	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	100.000	1,624.95	08/07/20	1,724.42	99.47 W	0.00	2 of 2 - Sale ²⁵
08/10/20	200.000	3,249.90	Various	3,448.85	198.95 W	0.00	Total of 2 transactions
	2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	100.000	1,664.95	08/11/20	1,642.48	...	22.47	1 of 2 - Sale ²⁵
	100.000	1,664.95	08/11/20	1,642.47	...	22.48	2 of 2 - Sale ²⁵
08/18/20	200.000	3,329.90	Various	3,284.95	...	44.95	Total of 2 transactions
	Security total:	12,354.89		12,405.20	295.80 W	245.49	
CAPRI HOLDINGS LIMITED / CUSIP: G1890L107 / Symbol:							
04/28/20	300.000	4,242.61	04/17/20	3,992.55	...	250.06	Sale ²⁵
	4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	1.000	17.45	08/05/20	15.42	...	2.03	1 of 4 - Sale ²⁵
	1.000	17.45	08/05/20	15.42	...	2.03	2 of 4 - Sale ²⁵
	98.000	1,710.05	08/05/20	1,511.55	...	198.50	3 of 4 - Sale ²⁵
	100.000	1,744.95	08/05/20	1,542.39	...	202.56	4 of 4 - Sale ²⁵
08/11/20	200.000	3,489.90	Various	3,084.78	...	405.12	Total of 4 transactions
	Security total:	7,732.51		7,077.33	...	655.18	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
	2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	50.000	500.48	04/03/20	423.00	...	77.48	1 of 2 - Sale ²⁵
	100.000	1,001.46	04/03/20	846.00	...	155.46	2 of 2 - Sale ²⁵
04/06/20	150.000	1,501.94	Various	1,269.00	...	232.94	Total of 2 transactions
05/06/20	500.000	5,509.91	05/06/20	5,714.45	...	-204.54	Sale ²⁵
	Security total:	7,011.85		6,983.45	...	28.40	
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
	5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	100.000	166.99	06/05/20	58.23	...	108.76	1 of 5 - Sale ²⁵
	200.000	333.98	06/05/20	116.46	...	217.52	2 of 5 - Sale ²⁵
	300.000	500.94	06/05/20	174.69	...	326.25	3 of 5 - Sale ²⁵
	400.000	667.93	06/05/20	232.92	...	435.01	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 111281242

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol: (cont'd)							
	2,000.000	4,179.86	06/08/20	4,059.80	...	120.06	5 of 5 - Sale ²⁵
06/08/20	3,000.000	5,849.70	Various	4,642.10	...	1,207.60	Total of 5 transactions
Totals :		748,888.05		708,284.52	14,339.53 W	54,943.06	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
03/30/20	10.000	81.02	05/17/19	107.21	...	-26.19	Sale ²⁵
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
08/04/20	1.000	10.16	07/19/17	3.68	...	6.48	Sale ²⁵
Totals :		91.18		110.89		-19.71	

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Robinhood Securities LLC

Account 111281242

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: 07/08/20	0.882	3.22	06/30/20	4.01	...	-0.79	Gain or loss
Totals:		3.22		4.01	...	-0.79	

Robinhood Securities LLC

Account 111281242

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/28/20	20.96	Nondividend distribution	03
MARATHON OIL CORPORATION	565849106		12/10/20	9.00	Qualified dividend	
SPIRIT AEROSYSTEMS HOLDINGS, I NC.	848574109		10/05/20	2.00	Qualified dividend	
				31.96	Total Dividends & distributions	

Robinhood Securities LLC

Account 111281242

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/30/20	0.60	Interest	
		09/30/20	0.55	Interest	
		11/30/20	1.35	Interest	
		12/31/20	0.14	Interest	
			2.64	Total Interest	
			2.64	Total Interest	

Robinhood Securities LLC

Account 111281242

Detail for Miscellaneous Income

2020

02/14/2021

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	27.90	Substitute payment	
		10/27/20	52.40	Substitute payment	
			80.30	Total Miscellaneous income	
			80.30	Total Miscellaneous income	

Robinhood Securities LLC

Account 111281242

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/29/20	-5.00	Margin interest paid	
		02/28/20	-5.00	Margin interest paid	
		03/30/20	-3.26	Margin interest paid	
		04/28/20	-4.90	Margin interest paid	
		05/28/20	-25.93	Margin interest paid	
		06/29/20	-3.29	Margin interest paid	
		06/29/20	-5.00	Margin interest paid	
		07/14/20	0.07	Adj- Margin interest paid	
		07/27/20	-5.00	Margin interest paid	
		08/26/20	-0.01	Margin interest paid	
		08/26/20	-5.00	Margin interest paid	
		09/25/20	-5.00	Margin interest paid	
		10/26/20	-5.00	Margin interest paid	
		10/26/20	-13.48	Margin interest paid	
		11/24/20	-5.00	Margin interest paid	
		11/24/20	-9.41	Margin interest paid	
		12/24/20	-5.00	Margin interest paid	
		12/24/20	-9.32	Margin interest paid	
			-114.53	Total Margin interest paid	
			-114.53	Total Margin interest paid	

Robinhood Securities LLC

Account 111281242

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CANOO INC. CLASS A COMMON STOC K	13803R102	12/22/20	200.00	0.00	Exchange	
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK	42589C104	12/22/20	-200.00	0.00	Exchange	
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	48.00	0.00	Stock dividend	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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