

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 158724484</b>	Statement Date: 02/14/2021 Document ID: 1YVX NQ8 J05P	2020
	Dinesh Kumar Pentapalli 707 W 1st St Coffeyville, KS 67337-3840  RECIPIENT'S TIN: XXX-XX-1682		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	7,953.01		2- Royalties	0.00	
1b- Qualified dividends	7,871.68		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	24.62		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.02		8- Substitute payments in lieu of dividends or interest	262.48	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	6.68		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail					
7- Foreign tax paid:	1.05				
9- Cash liquidation distributions	247.05				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	459,997.84	538,289.42	0.00	56,281.04	-22,010.54
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	4.11	0.00	0.00	0.00	4.11
	<b>Total Short-term</b>	<b>460,001.95</b>	<b>538,289.42</b>	<b>0.00</b>	<b>56,281.04</b>	<b>-22,006.43</b>
Long	D (basis reported to the IRS)	1,633.96	1,451.18	0.00	0.00	182.78
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>1,633.96</b>	<b>1,451.18</b>	<b>0.00</b>	<b>0.00</b>	<b>182.78</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>461,635.91</b>	<b>539,740.60</b>	<b>0.00</b>	<b>56,281.04</b>	<b>-21,823.65</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	1,011.73
Other Receipts & Reconciliations- Foreign tax paid- partnership	5.30
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	272.90
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANHEUSER-BUSCH INBEV SA/NV / CUSIP: 03524A108 / Symbol:							
07/14/20	10.000	539.78	06/26/20	479.89	...	59.89	Sale <sup>25</sup>
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	22.36	05/13/20	21.00	...	1.36	1 of 2 - Sale <sup>25</sup>
	24.000	536.62	05/13/20	504.00	...	32.62	2 of 2 - Sale <sup>25</sup>
05/18/20	25.000	558.98	Various	525.00	...	33.98	Total of 2 transactions
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	25.000	696.23	04/29/20	650.00	...	46.23	1 of 2 - Sale <sup>25</sup>
	25.000	696.23	04/30/20	635.00	...	61.23	2 of 2 - Sale <sup>25</sup>
05/05/20	50.000	1,392.46	Various	1,285.00	...	107.46	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol: (cont'd)							
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	25.000	609.23	05/21/20	652.75	43.52 W	0.00	1 of 5 - Sale <sup>25</sup>
	15.000	365.54	05/21/20	388.05	22.51 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	243.69	05/21/20	257.50	13.81 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.000	609.23	05/26/20	625.00	15.77 W	0.00	4 of 5 - Sale <sup>25</sup>
	25.000	609.24	05/26/20	616.25	7.01 W	0.00	5 of 5 - Sale <sup>25</sup>
06/09/20	100.000	2,436.93	Various	2,539.55	102.62 W	0.00	Total of 5 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	25.000	617.48	06/03/20	624.77	...	-7.29	1 of 3 - Sale <sup>25</sup>
	15.000	370.49	06/03/20	371.26	...	-0.77	2 of 3 - Sale <sup>25</sup>
	10.000	246.99	06/03/20	246.31	...	0.68	3 of 3 - Sale <sup>25</sup>
06/15/20	50.000	1,234.96	Various	1,242.34	...	-7.38	Total of 3 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	25.000	618.74	06/03/20	597.02	...	21.72	1 of 2 - Sale <sup>25</sup>
	25.000	618.73	06/03/20	588.26	...	30.47	2 of 2 - Sale <sup>25</sup>
06/17/20	50.000	1,237.47	Various	1,185.28	...	52.19	Total of 2 transactions
	<b>Security total:</b>	<b>6,301.82</b>		<b>6,252.17</b>	<b>102.62 W</b>	<b>152.27</b>	
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
03/25/20	5.000	909.97	03/06/20	1,029.92	119.95 W	0.00	Sale <sup>25</sup>
04/09/20	5.000	977.72	03/23/20	800.00	...	177.72	Sale <sup>25</sup>
5 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	4.000	782.18	03/06/20	823.94	41.76 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	195.55	03/06/20	231.74	36.19 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	391.09	03/06/20	459.70	68.61 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	391.09	03/06/20	459.78	68.69 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	195.55	03/06/20	205.90	10.35 W	0.00	5 of 5 - Sale <sup>25</sup>
04/09/20	10.000	1,955.46	Various	2,181.06	225.60 W	0.00	Total of 5 transactions
9 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	2.000	383.39	04/13/20	444.29	...	-60.90	1 of 9 - Sale <sup>25</sup>
	2.000	383.39	04/13/20	444.21	...	-60.82	2 of 9 - Sale <sup>25</sup>
	1.000	191.70	04/13/20	223.99	...	-32.29	3 of 9 - Sale <sup>25</sup>
	3.000	575.09	04/13/20	594.72	...	-19.63	4 of 9 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol: (cont'd)							
	1.000	191.70	04/13/20	198.24	...	-6.54	5 of 9 - Sale <sup>25</sup>
	1.000	191.70	04/13/20	198.15	...	-6.45	6 of 9 - Sale <sup>25</sup>
	10.000	1,916.95	04/13/20	1,862.50	...	54.45	7 of 9 - Sale <sup>25</sup>
	15.000	2,875.43	04/13/20	2,817.00	...	58.43	8 of 9 - Sale <sup>25</sup>
	15.000	2,875.43	04/13/20	2,797.50	...	77.93	9 of 9 - Sale <sup>25</sup>
04/14/20	50.000	9,584.78	Various	9,580.60	...	4.18	Total of 9 transactions
	<b>Security total:</b>	<b>13,427.93</b>		<b>13,591.58</b>	<b>345.55 W</b>	<b>181.90</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
02/06/20	5.000	1,699.96	12/17/19	1,605.00	...	94.96	Sale <sup>25</sup>
03/26/20	5.000	889.98	12/17/19	1,605.00	715.02 W	0.00	Sale <sup>25</sup>
	3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	5.000	660.49	03/18/20	495.00	...	165.49	1 of 3 - Sale <sup>25</sup>
	5.000	660.48	05/04/20	1,506.36	...	-845.88	2 of 3 - Sale <sup>25</sup>
	5.000	660.48	05/05/20	2,235.54	...	-1,575.06	3 of 3 - Sale <sup>25</sup>
05/18/20	15.000	1,981.45	Various	4,236.90	...	-2,255.45	Total of 3 transactions
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	5.000	649.99	01/21/20	1,531.35	881.36 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	649.98	02/25/20	2,260.02	1,610.04 W	0.00	2 of 2 - Sale <sup>25</sup>
05/18/20	10.000	1,299.97	Various	3,791.37	2,491.40 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,871.36</b>		<b>11,238.27</b>	<b>3,206.42 W</b>	<b>-2,160.49</b>	
BOOKING HOLDINGS INC. COMMON S TOCK / CUSIP: 09857L108 / Symbol:							
	2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	1.000	1,449.97	04/13/20	1,401.00	...	48.97	1 of 2 - Sale <sup>25</sup>
	1.000	1,449.96	04/13/20	1,396.50	...	53.46	2 of 2 - Sale <sup>25</sup>
04/14/20	2.000	2,899.93	Various	2,797.50	...	102.43	Total of 2 transactions
	3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	1.000	1,406.97	04/15/20	1,396.50	...	10.47	1 of 3 - Sale <sup>25</sup>
	1.000	1,406.97	04/15/20	1,396.50	...	10.47	2 of 3 - Sale <sup>25</sup>
	2.000	2,813.93	04/16/20	2,790.00	...	23.93	3 of 3 - Sale <sup>25</sup>
04/27/20	4.000	5,627.87	Various	5,583.00	...	44.87	Total of 3 transactions
	<b>Security total:</b>	<b>8,527.80</b>		<b>8,380.50</b>	...	<b>147.30</b>	

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Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BROADCOM INC. COMMON STOCK / CUSIP: 11135F101 / Symbol:							
03/26/20	3.000	732.73	03/06/20	795.00	41.51 W	-20.76	Sale <sup>25</sup>
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	7.000	1,833.95	03/06/20	1,855.00	...	-21.05	1 of 2 - Sale <sup>25</sup>
	2.000	523.99	03/31/20	541.51	...	-17.52	2 of 2 - Sale <sup>25</sup>
04/07/20	9.000	2,357.94	Various	2,396.51	...	-38.57	Total of 2 transactions
	<b>Security total:</b>	<b>3,090.67</b>		<b>3,191.51</b>	<b>41.51 W</b>	<b>-59.33</b>	
BROOKFIELD RENEWABLE CORPORATI ON CLASS A SUBORDINATE VOTING SHARES / CUSIP: 11284V105 / Symbol:							
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	2.500	124.53	07/24/20	135.70	...	-11.17	1 of 2 - Sale <sup>25</sup>
	2.500	124.52	07/28/20	125.00	...	-0.48	2 of 2 - Sale <sup>25</sup>
08/20/20	5.000	249.05	Various	260.70	...	-11.65	Total of 2 transactions
CVR ENERGY, INC. / CUSIP: 12662P108 / Symbol:							
	10 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	10.000	133.80	11/18/19	462.50	...	-328.70	1 of 10 - Sale <sup>25</sup>
	10.000	133.79	11/18/19	452.50	...	-318.71	2 of 10 - Sale <sup>25</sup>
	5.000	66.90	11/20/19	222.50	...	-155.60	3 of 10 - Sale <sup>25</sup>
	25.000	334.49	12/09/19	1,056.25	...	-721.76	4 of 10 - Sale <sup>25</sup>
	25.000	334.49	01/14/20	990.00	...	-655.51	5 of 10 - Sale <sup>25</sup>
	40.000	533.98	01/23/20	1,468.00	...	-934.02	6 of 10 - Sale <sup>25</sup>
	10.000	133.79	01/23/20	367.00	...	-233.21	7 of 10 - Sale <sup>25</sup>
	40.000	535.18	01/27/20	1,402.00	...	-866.82	8 of 10 - Sale <sup>25</sup>
	10.000	133.50	01/27/20	350.50	...	-217.00	9 of 10 - Sale <sup>25</sup>
	10.000	133.80	01/27/20	350.10	...	-216.30	10 of 10 - Sale <sup>25</sup>
11/13/20	185.000	2,473.72	Various	7,121.35	...	-4,647.63	Total of 10 transactions
	7 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	15.000	221.54	01/27/20	525.15	...	-303.61	1 of 7 - Sale <sup>25</sup>
	8.000	118.16	02/24/20	264.80	...	-146.64	2 of 7 - Sale <sup>25</sup>
	2.000	29.54	02/25/20	65.00	...	-35.46	3 of 7 - Sale <sup>25</sup>
	40.000	590.77	05/11/20	803.11	...	-212.34	4 of 7 - Sale <sup>25</sup>
	2.000	29.54	07/02/20	37.98	...	-8.44	5 of 7 - Sale <sup>25</sup>
	97.000	1,454.95	08/05/20	1,649.00	...	-194.05	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CVR ENERGY, INC. / CUSIP: 12662P108 / Symbol: (cont'd)							
	3.000	45.00	08/05/20	51.00	...	-6.00	7 of 7 - Sale <sup>25</sup>
11/16/20	167.000	2,489.50	Various	3,396.04	...	-906.54	Total of 7 transactions
	<b>Security total:</b>	<b>4,963.22</b>		<b>10,517.39</b>	...	<b>-5,554.17</b>	
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	36.000	575.98	08/20/20	581.40	5.42 W	0.00	1 of 3 - Sale <sup>25</sup>
	14.000	223.99	08/20/20	226.10	2.11 W	0.00	2 of 3 - Sale <sup>25</sup>
	250.000	3,999.88	09/04/20	4,000.00	0.08 W	-0.04	3 of 3 - Sale <sup>25</sup>
09/09/20	300.000	4,799.85	Various	4,807.50	7.61 W	-0.04	Total of 3 transactions
4 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	11.000	184.25	09/04/20	173.26	...	10.99	1 of 4 - Sale <sup>25</sup>
	14.000	234.49	09/04/20	222.61	...	11.88	2 of 4 - Sale <sup>25</sup>
	36.000	602.98	09/04/20	572.42	...	30.56	3 of 4 - Sale <sup>25</sup>
	39.000	653.23	09/04/20	614.27	...	38.96	4 of 4 - Sale <sup>25</sup>
09/16/20	100.000	1,674.95	Various	1,582.56	...	92.39	Total of 4 transactions
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	16.75	09/17/20	16.15	...	0.60	1 of 3 - Sale <sup>25</sup>
	24.000	401.99	09/17/20	387.61	...	14.38	2 of 3 - Sale <sup>25</sup>
	25.000	418.74	09/21/20	398.76	...	19.98	3 of 3 - Sale <sup>25</sup>
10/08/20	50.000	837.48	Various	802.52	...	34.96	Total of 3 transactions
11/13/20	25.000	610.48	09/21/20	398.76	...	211.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,922.76</b>		<b>7,591.34</b>	<b>7.61 W</b>	<b>339.03</b>	
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	9.000	124.65	08/25/20	117.00	...	7.65	1 of 2 - Sale <sup>25</sup>
	91.000	1,260.31	08/25/20	1,183.00	...	77.31	2 of 2 - Sale <sup>25</sup>
08/27/20	100.000	1,384.96	Various	1,300.00	...	84.96	Total of 2 transactions
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.362	5.02	09/10/20	4.79	...	0.23	1 of 2 - Sale <sup>25</sup>
	2.000	27.64	09/10/20	26.46	...	1.18	2 of 2 - Sale <sup>25</sup>
11/09/20	2.362	32.66	Various	31.25	...	1.41	Total of 2 transactions

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,417.62</b>		<b>1,331.25</b>	...	<b>86.37</b>	
VELOCITYSHARES3X LONG CRUDE OIL ETNS LINKED TO THE S&P GSCI CRUDE OIL INDEX ER / CUSIP: 17325E291 / Symbol:							
7 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	100.000	16.47	02/03/20	775.00	...	-758.53	1 of 7 - Final principal payment <sup>25</sup>
	100.000	16.47	02/25/20	760.00	...	-743.53	2 of 7 - Final principal payment <sup>25</sup>
	200.000	32.94	03/17/20	155.94	...	-123.00	3 of 7 - Final principal payment <sup>25</sup>
	100.000	16.47	03/17/20	77.97	...	-61.50	4 of 7 - Final principal payment <sup>25</sup>
	400.000	65.88	03/31/20	70.92	...	-5.04	5 of 7 - Final principal payment <sup>25</sup>
	400.000	65.88	03/31/20	70.92	...	-5.04	6 of 7 - Final principal payment <sup>25</sup>
	200.000	32.94	03/31/20	35.46	...	-2.52	7 of 7 - Final principal payment <sup>25</sup>
04/03/20	1,500.000	247.05	Various	1,946.21	...	-1,699.16	Total of 7 transactions
VELOCITYSHARES 3X INVERSE CRUDE OIL ETNS LINKED TO THE S&P GSCI CRUDE OIL INDEX ER / CUSIP: 17325E309 / Symbol:							
4 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	50.000	277.49	09/16/19	232.50	...	44.99	1 of 4 - Sale <sup>25</sup>
	50.000	277.48	09/17/19	209.00	...	68.48	2 of 4 - Sale <sup>25</sup>
	100.000	554.97	09/17/19	440.00	...	114.97	3 of 4 - Sale <sup>25</sup>
	50.000	277.49	11/21/19	209.95	...	67.54	4 of 4 - Sale <sup>25</sup>
01/31/20	250.000	1,387.43	Various	1,091.45	...	295.98	Total of 4 transactions
3 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	50.000	277.50	09/11/19	307.50	30.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	32.000	177.59	10/09/19	184.32	6.73 W	0.00	2 of 3 - Sale <sup>25</sup>
	18.000	99.89	10/09/19	103.68	3.79 W	0.00	3 of 3 - Sale <sup>25</sup>
01/31/20	100.000	554.98	Various	595.50	40.52 W	0.00	Total of 3 transactions
2 transactions for 02/03/20. Total proceeds and cost reported to the IRS.							
	50.000	307.49	12/13/19	191.00	...	116.49	1 of 2 - Sale <sup>25</sup>
	50.000	307.48	01/03/20	187.00	...	120.48	2 of 2 - Sale <sup>25</sup>
02/03/20	100.000	614.97	Various	378.00	...	236.97	Total of 2 transactions
2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	18.000	113.04	02/05/20	105.47	...	7.57	1 of 2 - Sale <sup>25</sup>
	32.000	200.96	02/05/20	187.50	...	13.46	2 of 2 - Sale <sup>25</sup>
02/10/20	50.000	314.00	Various	292.97	...	21.03	Total of 2 transactions

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VELOCITYSHARES 3X INVERSE CRUD E OIL ETNS LINKED TO THE S&P G SCI CRUDE OIL INDEX ER / CUSIP: 17325E309 / Symbol: (cont'd)							
4 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	50.000	317.49	02/12/20	284.00	...	33.49	1 of 4 - Sale <sup>25</sup>
	50.000	317.48	02/12/20	278.50	...	38.98	2 of 4 - Sale <sup>25</sup>
	50.000	326.99	02/13/20	272.50	...	54.49	3 of 4 - Sale <sup>25</sup>
	50.000	326.98	02/14/20	271.99	...	54.99	4 of 4 - Sale <sup>25</sup>
02/26/20	200.000	1,288.94	Various	1,106.99	...	181.95	Total of 4 transactions
	<b>Security total:</b>	<b>4,160.32</b>		<b>3,464.91</b>	<b>40.52 W</b>	<b>735.93</b>	
CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol:							
04/29/20	1.000	4.60	03/16/20	4.00	...	0.60	Sale <sup>25</sup>
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
06/09/20	100.000	1,204.96	06/03/20	1,100.00	...	104.96	Sale <sup>25</sup>
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
01/14/20	18.000	1,008.33	11/04/19	954.00	...	54.33	Sale <sup>25</sup>
10/09/20	0.619	31.40	09/10/20	31.25	...	0.15	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,039.73</b>		<b>985.25</b>	...	<b>54.48</b>	
CONSTELLATION BRANDS, INC. / CUSIP: 21036P108 / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	201.99	11/15/19	180.45	...	21.54	1 of 2 - Sale <sup>25</sup>
	4.000	807.98	11/15/19	718.60	...	89.38	2 of 2 - Sale <sup>25</sup>
11/13/20	5.000	1,009.97	Various	899.05	...	110.92	Total of 2 transactions
11/16/20	2.000	415.99	11/19/19	349.78	...	66.21	Sale <sup>25</sup>
7 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	207.99	03/09/20	167.50	...	40.49	1 of 7 - Sale <sup>25</sup>
	2.000	415.99	03/09/20	335.00	...	80.99	2 of 7 - Sale <sup>25</sup>
	2.000	415.99	04/13/20	316.00	...	99.99	3 of 7 - Sale <sup>25</sup>
	0.164	34.06	09/10/20	31.25	...	2.81	4 of 7 - Sale <sup>25</sup>
	0.171	35.47	09/24/20	31.25	...	4.22	5 of 7 - Sale <sup>25</sup>
	0.168	34.97	10/09/20	31.25	...	3.72	6 of 7 - Sale <sup>25</sup>
	0.173	35.92	10/21/20	31.25	...	4.67	7 of 7 - Sale <sup>25</sup>
11/24/20	5.676	1,180.39	Various	943.50	...	236.89	Total of 7 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CONSTELLATION BRANDS, INC. / CUSIP: 21036P108 / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,606.35</b>		<b>2,192.33</b>	...	<b>414.02</b>	
CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol:							
3 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	10.000	349.99	11/25/19	1,299.00	949.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	175.00	11/26/19	592.00	417.00 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	349.99	11/29/19	1,020.00	670.01 W	0.00	3 of 3 - Sale <sup>25</sup>
04/20/20	25.000	874.98	Various	2,911.00	2,036.02 W	0.00	Total of 3 transactions
8 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	5.000	142.00	11/29/19	499.00	357.00 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	142.00	11/29/19	477.50	335.50 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.300	8.52	11/29/19	27.78	19.26 W	0.00	3 of 8 - Sale <sup>25</sup>
	4.700	133.48	11/29/19	435.22	301.74 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	283.99	12/09/19	782.00	498.01 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	283.99	01/15/20	653.50	369.51 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	283.99	01/16/20	619.00	335.01 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	141.99	01/16/20	306.25	164.26 W	0.00	8 of 8 - Sale <sup>25</sup>
04/29/20	50.000	1,419.96	Various	3,800.25	2,380.29 W	0.00	Total of 8 transactions
17 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	10.000	291.99	05/06/20	694.05	...	-402.06	1 of 17 - Sale <sup>25</sup>
	7.000	204.39	05/06/20	461.69	...	-257.30	2 of 17 - Sale <sup>25</sup>
	5.000	146.00	05/06/20	326.51	...	-180.51	3 of 17 - Sale <sup>25</sup>
	100.000	2,934.92	05/06/20	3,090.00	...	-155.08	4 of 17 - Sale <sup>25</sup>
	47.000	1,379.41	05/06/20	1,532.24	...	-152.83	5 of 17 - Sale <sup>25</sup>
	3.000	87.60	05/06/20	197.86	...	-110.26	6 of 17 - Sale <sup>25</sup>
	25.000	729.97	05/06/20	815.02	...	-85.05	7 of 17 - Sale <sup>25</sup>
	53.000	1,555.51	05/06/20	1,637.70	...	-82.19	8 of 17 - Sale <sup>25</sup>
	50.000	1,467.46	05/06/20	1,545.00	...	-77.54	9 of 17 - Sale <sup>25</sup>
	3.000	87.60	05/06/20	92.70	...	-5.10	10 of 17 - Sale <sup>25</sup>
	1.000	30.95	05/06/20	30.90	...	0.05	11 of 17 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	309.00	...	0.49	12 of 17 - Sale <sup>25</sup>
	15.000	464.24	05/06/20	463.50	...	0.74	13 of 17 - Sale <sup>25</sup>
	25.000	773.73	05/06/20	772.50	...	1.23	14 of 17 - Sale <sup>25</sup>
	44.000	1,361.76	05/06/20	1,359.60	...	2.16	15 of 17 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol: (cont'd)							
	80.000	2,475.94	05/06/20	2,472.00	...	3.94	16 of 17 - Sale <sup>25</sup>
	90.000	2,785.43	05/06/20	2,781.00	...	4.43	17 of 17 - Sale <sup>25</sup>
05/06/20	568.000	17,086.39	Various	18,581.27	...	-1,494.88	Total of 17 transactions
	66 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	10.000	309.49	05/06/20	1,258.01	948.52 W	0.00	1 of 66 - Sale <sup>25</sup>
	0.300	9.28	05/06/20	28.53	19.25 W	0.00	2 of 66 - Sale <sup>25</sup>
	4.700	145.46	05/06/20	446.97	301.51 W	0.00	3 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	511.50	356.75 W	0.00	4 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	490.00	335.25 W	0.00	5 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	571.50	416.75 W	0.00	6 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	979.01	669.52 W	0.00	7 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	807.01	497.52 W	0.00	8 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	678.51	369.02 W	0.00	9 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	318.76	164.01 W	0.00	10 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	644.01	334.52 W	0.00	11 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	1,257.52	948.03 W	0.00	12 of 66 - Sale <sup>25</sup>
	0.300	9.28	05/06/20	28.52	19.24 W	0.00	13 of 66 - Sale <sup>25</sup>
	3.700	114.51	05/06/20	351.69	237.18 W	0.00	14 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	511.25	356.50 W	0.00	15 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	489.75	335.00 W	0.00	16 of 66 - Sale <sup>25</sup>
	1.000	30.95	05/06/20	95.05	64.10 W	0.00	17 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	571.25	416.50 W	0.00	18 of 66 - Sale <sup>25</sup>
	8.000	247.59	05/06/20	782.82	535.23 W	0.00	19 of 66 - Sale <sup>25</sup>
	2.000	61.90	05/06/20	195.70	133.80 W	0.00	20 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	806.52	497.03 W	0.00	21 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	678.02	368.53 W	0.00	22 of 66 - Sale <sup>25</sup>
	3.000	92.85	05/06/20	193.06	100.21 W	0.00	23 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	1,257.03	947.54 W	0.00	24 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	318.51	163.76 W	0.00	25 of 66 - Sale <sup>25</sup>
	7.000	216.64	05/06/20	450.46	233.82 W	0.00	26 of 66 - Sale <sup>25</sup>
	0.300	9.28	05/06/20	28.51	19.23 W	0.00	27 of 66 - Sale <sup>25</sup>
	1.000	30.95	05/06/20	95.00	64.05 W	0.00	28 of 66 - Sale <sup>25</sup>
	3.700	114.51	05/06/20	351.51	237.00 W	0.00	29 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	511.00	356.25 W	0.00	30 of 66 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol: (cont'd)							
	5.000	154.75	05/06/20	489.50	334.75 W	0.00	31 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	571.00	416.25 W	0.00	32 of 66 - Sale <sup>25</sup>
	2.000	61.90	05/06/20	195.60	133.70 W	0.00	33 of 66 - Sale <sup>25</sup>
	8.000	247.59	05/06/20	782.43	534.84 W	0.00	34 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	806.03	496.54 W	0.00	35 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	677.53	368.04 W	0.00	36 of 66 - Sale <sup>25</sup>
	3.000	92.85	05/06/20	192.91	100.06 W	0.00	37 of 66 - Sale <sup>25</sup>
	10.000	309.49	05/06/20	1,256.54	947.05 W	0.00	38 of 66 - Sale <sup>25</sup>
	5.000	154.75	05/06/20	318.26	163.51 W	0.00	39 of 66 - Sale <sup>25</sup>
	7.000	216.64	05/06/20	450.12	233.48 W	0.00	40 of 66 - Sale <sup>25</sup>
	0.300	8.76	05/06/20	28.50	19.74 W	0.00	41 of 66 - Sale <sup>25</sup>
	1.000	29.20	05/06/20	94.95	65.75 W	0.00	42 of 66 - Sale <sup>25</sup>
	3.700	108.04	05/06/20	351.33	243.29 W	0.00	43 of 66 - Sale <sup>25</sup>
	5.000	146.00	05/06/20	510.75	364.75 W	0.00	44 of 66 - Sale <sup>25</sup>
	5.000	146.00	05/06/20	489.25	343.25 W	0.00	45 of 66 - Sale <sup>25</sup>
	10.000	291.99	05/06/20	1,256.05	964.06 W	0.00	46 of 66 - Sale <sup>25</sup>
	5.000	145.99	05/06/20	570.75	424.76 W	0.00	47 of 66 - Sale <sup>25</sup>
	2.000	58.40	05/06/20	195.50	137.10 W	0.00	48 of 66 - Sale <sup>25</sup>
	8.000	233.59	05/06/20	782.04	548.45 W	0.00	49 of 66 - Sale <sup>25</sup>
	10.000	291.99	05/06/20	805.54	513.55 W	0.00	50 of 66 - Sale <sup>25</sup>
	10.000	291.99	05/06/20	677.04	385.05 W	0.00	51 of 66 - Sale <sup>25</sup>
	3.000	87.60	05/06/20	192.76	105.16 W	0.00	52 of 66 - Sale <sup>25</sup>
	5.000	146.00	05/06/20	318.01	172.01 W	0.00	53 of 66 - Sale <sup>25</sup>
	7.000	204.39	05/06/20	449.78	245.39 W	0.00	54 of 66 - Sale <sup>25</sup>
	25.000	729.98	05/06/20	772.50	42.52 W	0.00	55 of 66 - Sale <sup>25</sup>
	47.000	1,372.36	05/06/20	1,452.30	79.94 W	0.00	56 of 66 - Sale <sup>25</sup>
	0.300	8.76	05/06/20	29.01	20.25 W	0.00	57 of 66 - Sale <sup>25</sup>
	1.000	29.20	05/06/20	96.65	67.45 W	0.00	58 of 66 - Sale <sup>25</sup>
	3.700	108.04	05/06/20	357.62	249.58 W	0.00	59 of 66 - Sale <sup>25</sup>
	5.000	146.00	05/06/20	519.25	373.25 W	0.00	60 of 66 - Sale <sup>25</sup>
	5.000	146.00	05/06/20	497.75	351.75 W	0.00	61 of 66 - Sale <sup>25</sup>
	10.000	291.99	05/06/20	1,273.06	981.07 W	0.00	62 of 66 - Sale <sup>25</sup>
	5.000	146.00	05/06/20	579.26	433.26 W	0.00	63 of 66 - Sale <sup>25</sup>
	2.000	58.40	05/06/20	198.90	140.50 W	0.00	64 of 66 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol: (cont'd)							
	8.000	233.59	05/06/20	795.65	562.06 W	0.00	65 of 66 - Sale <sup>25</sup>
	10.000	291.99	05/06/20	822.55	530.56 W	0.00	66 of 66 - Sale <sup>25</sup>
05/06/20	432.000	13,025.31	Various	35,563.65	22,538.34 W	0.00	Total of 66 transactions
	6 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	10.000	161.00	05/06/20	309.00	...	-148.00	1 of 6 - Sale <sup>25</sup>
	5.000	80.50	05/07/20	503.25	...	-422.75	2 of 6 - Sale <sup>25</sup>
	5.000	80.50	05/07/20	481.75	...	-401.25	3 of 6 - Sale <sup>25</sup>
	3.700	59.57	05/07/20	345.78	...	-286.21	4 of 6 - Sale <sup>25</sup>
	1.000	16.10	05/07/20	93.45	...	-77.35	5 of 6 - Sale <sup>25</sup>
	0.300	4.83	05/07/20	28.05	...	-23.22	6 of 6 - Sale <sup>25</sup>
05/13/20	25.000	402.50	Various	1,761.28	...	-1,358.78	Total of 6 transactions
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	10.000	174.50	05/07/20	1,241.07	...	-1,066.57	1 of 2 - Sale <sup>25</sup>
	5.000	95.60	05/07/20	563.26	...	-467.66	2 of 2 - Sale <sup>25</sup>
05/18/20	15.000	270.10	Various	1,804.33	...	-1,534.23	Total of 2 transactions
05/19/20	5.000	97.50	05/07/20	481.29	...	-383.79	Sale <sup>25</sup>
	4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	5.000	50.70	05/07/20	395.28	...	-344.58	1 of 4 - Sale <sup>25</sup>
	5.000	50.85	05/07/20	395.28	...	-344.43	2 of 4 - Sale <sup>25</sup>
	3.000	30.42	05/07/20	288.77	...	-258.35	3 of 4 - Sale <sup>25</sup>
	2.000	20.28	05/07/20	192.50	...	-172.22	4 of 4 - Sale <sup>25</sup>
06/16/20	15.000	152.25	Various	1,271.83	...	-1,119.58	Total of 4 transactions
	<b>Security total:</b>	<b>33,328.99</b>		<b>66,174.90</b>	<b>26,954.65 W</b>	<b>-5,891.26</b>	
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
	2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	25.000	2,999.93	02/28/20	2,875.00	...	124.93	1 of 2 - Sale <sup>25</sup>
	25.000	2,999.93	02/28/20	2,800.00	...	199.93	2 of 2 - Sale <sup>25</sup>
02/28/20	50.000	5,999.86	Various	5,675.00	...	324.86	Total of 2 transactions
	3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	10.000	1,252.77	02/28/20	995.00	...	257.77	1 of 3 - Sale <sup>25</sup>
	5.000	629.98	03/03/20	485.00	...	144.98	2 of 3 - Sale <sup>25</sup>

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Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	5.000	634.98	03/03/20	485.00	...	149.98	3 of 3 - Sale <sup>25</sup>
03/05/20	20.000	2,517.73	Various	1,965.00	...	552.73	Total of 3 transactions
	5 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	1.000	574.99	03/20/20	480.00	...	94.99	1 of 5 - Sale <sup>25</sup>
	1.000	594.99	03/20/20	480.00	...	114.99	2 of 5 - Sale <sup>25</sup>
	1.000	594.99	03/20/20	450.00	...	144.99	3 of 5 - Sale <sup>25</sup>
	8.000	4,759.88	03/20/20	4,600.00	...	159.88	4 of 5 - Sale <sup>25</sup>
	2.000	1,149.97	03/20/20	960.00	...	189.97	5 of 5 - Sale <sup>25</sup>
03/20/20	13.000	7,674.82	Various	6,970.00	...	704.82	Total of 5 transactions
03/23/20	3.000	1,499.96	03/23/20	1,464.00	...	35.96	Sale <sup>25</sup>
03/23/20	2.000	999.98	03/20/20	1,150.00	150.02 W	0.00	Sale <sup>25</sup>
	2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	1.000	310.13	03/23/20	563.01	252.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	310.13	03/23/20	563.01	252.88 W	0.00	2 of 2 - Sale <sup>25</sup>
03/31/20	2.000	620.26	Various	1,126.02	505.76 W	0.00	Total of 2 transactions
	4 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	1.000	356.99	03/23/20	732.88	375.89 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	356.99	03/23/20	732.88	375.89 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	356.99	03/23/20	480.00	123.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	356.99	03/23/20	440.00	83.01 W	0.00	4 of 4 - Sale <sup>25</sup>
04/01/20	4.000	1,427.96	Various	2,385.76	957.80 W	0.00	Total of 4 transactions
	4 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	1.000	247.99	03/23/20	965.89	...	-717.90	1 of 4 - Sale <sup>25</sup>
	1.000	247.99	03/23/20	778.89	...	-530.90	2 of 4 - Sale <sup>25</sup>
	1.000	248.00	03/23/20	526.01	...	-278.01	3 of 4 - Sale <sup>25</sup>
	1.000	247.99	03/23/20	486.01	...	-238.02	4 of 4 - Sale <sup>25</sup>
04/13/20	4.000	991.97	Various	2,756.80	...	-1,764.83	Total of 4 transactions
04/13/20	1.000	253.00	03/23/20	815.89	562.89 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>21,985.54</b>		<b>24,308.47</b>	<b>2,176.47 W</b>	<b>-146.46</b>	

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(continued)

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CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22542D282 / Symbol:							
01/09/20	10.000	1,972.45	01/07/20	1,865.00	...	107.45	Sale <sup>25</sup>
	3 transactions for 01/15/20. Total proceeds and cost reported to the IRS.						
	10.000	1,981.45	01/09/20	1,880.00	...	101.45	1 of 3 - Sale <sup>25</sup>
	5.000	1,000.73	01/10/20	896.25	...	104.48	2 of 3 - Sale <sup>25</sup>
	5.000	1,000.72	01/10/20	877.50	...	123.22	3 of 3 - Sale <sup>25</sup>
01/15/20	20.000	3,982.90	Various	3,653.75	...	329.15	Total of 3 transactions
	2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.						
	10.000	3,039.93	01/31/20	2,800.00	...	239.93	1 of 2 - Sale <sup>25</sup>
	5.000	1,519.97	02/03/20	1,400.00	...	119.97	2 of 2 - Sale <sup>25</sup>
02/10/20	15.000	4,559.90	Various	4,200.00	...	359.90	Total of 2 transactions
	3 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	2.000	559.99	02/04/20	548.00	...	11.99	1 of 3 - Sale <sup>25</sup>
	3.000	839.98	02/04/20	816.00	...	23.98	2 of 3 - Sale <sup>25</sup>
	5.000	1,399.96	02/04/20	1,325.00	...	74.96	3 of 3 - Sale <sup>25</sup>
02/25/20	10.000	2,799.93	Various	2,689.00	...	110.93	Total of 3 transactions
	2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	5.000	1,624.96	02/06/20	1,265.00	...	359.96	1 of 2 - Sale <sup>25</sup>
	5.000	1,624.96	02/12/20	1,400.00	...	224.96	2 of 2 - Sale <sup>25</sup>
02/27/20	10.000	3,249.92	Various	2,665.00	...	584.92	Total of 2 transactions
03/06/20	5.000	1,620.11	02/18/20	1,200.00	...	420.11	Sale <sup>25</sup>
03/17/20	5.000	1,329.97	02/18/20	1,150.00	...	179.97	Sale <sup>25</sup>
	4 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	1.000	259.99	04/08/20	215.50	...	44.49	1 of 4 - Sale <sup>25</sup>
	2.000	519.99	04/08/20	440.00	...	79.99	2 of 4 - Sale <sup>25</sup>
	2.000	519.99	04/08/20	435.00	...	84.99	3 of 4 - Sale <sup>25</sup>
	5.000	1,299.97	04/09/20	1,205.00	...	94.97	4 of 4 - Sale <sup>25</sup>
04/13/20	10.000	2,599.94	Various	2,295.50	...	304.44	Total of 4 transactions
04/27/20	5.000	1,349.97	04/09/20	1,205.00	...	144.97	Sale <sup>25</sup>
05/07/20	1.000	199.99	05/04/20	195.01	...	4.98	Sale <sup>25</sup>
	3 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	5.000	974.98	04/24/20	1,100.00	125.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	974.97	04/28/20	1,000.00	25.03 W	0.00	2 of 3 - Sale <sup>25</sup>

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CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22542D282 / Symbol: (cont'd)							
	5.000	999.98	05/04/20	1,075.02	75.04 W	0.00	3 of 3 - Sale <sup>25</sup>
05/07/20	15.000	2,949.93	Various	3,175.02	225.09 W	0.00	Total of 3 transactions
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	4.000	939.98	05/04/20	780.02	...	159.96	1 of 2 - Sale <sup>25</sup>
	1.000	234.99	05/06/20	186.27	...	48.72	2 of 2 - Sale <sup>25</sup>
05/12/20	5.000	1,174.97	Various	966.29	...	208.68	Total of 2 transactions
	2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	1.000	262.40	05/06/20	179.00	...	83.40	1 of 2 - Sale <sup>25</sup>
	4.000	1,049.62	05/06/20	745.07	...	304.55	2 of 2 - Sale <sup>25</sup>
05/13/20	5.000	1,312.02	Various	924.07	...	387.95	Total of 2 transactions
05/20/20	5.000	1,334.97	05/18/20	1,075.00	...	259.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>30,436.97</b>		<b>27,258.64</b>	<b>225.09 W</b>	<b>3,403.42</b>	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
06/03/20	10.000	1,019.97	06/02/20	910.00	...	109.97	Sale <sup>25</sup>
DIREXION DAILY TECHNOLOGY BULL 3X SHARES / CUSIP: 25459W102 / Symbol:							
04/14/20	10.000	1,459.96	03/06/20	1,960.00	500.04 W	0.00	Sale <sup>25</sup>
	5 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	146.25	04/15/20	142.00	...	4.25	1 of 5 - Sale <sup>25</sup>
	2.000	292.49	04/15/20	284.01	...	8.48	2 of 5 - Sale <sup>25</sup>
	5.000	731.23	04/15/20	710.00	...	21.23	3 of 5 - Sale <sup>25</sup>
	15.000	2,193.70	04/15/20	2,160.00	...	33.70	4 of 5 - Sale <sup>25</sup>
	15.000	2,193.70	04/15/20	2,145.00	...	48.70	5 of 5 - Sale <sup>25</sup>
04/16/20	38.000	5,557.37	Various	5,441.01	...	116.36	Total of 5 transactions
04/16/20	10.000	1,462.47	04/15/20	1,940.04	477.57 W	0.00	Sale <sup>25</sup>
	4 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	9.000	1,293.72	04/20/20	1,813.59	...	-519.87	1 of 4 - Sale <sup>25</sup>
	10.000	1,437.47	04/20/20	1,520.03	...	-82.56	2 of 4 - Sale <sup>25</sup>
	1.000	143.75	04/20/20	201.51	...	-57.76	3 of 4 - Sale <sup>25</sup>
	5.000	718.73	04/20/20	760.02	...	-41.29	4 of 4 - Sale <sup>25</sup>
04/24/20	25.000	3,593.67	Various	4,295.15	...	-701.48	Total of 4 transactions

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY TECHNOLOGY BULL 3X SHARES / CUSIP: 25459W102 / Symbol: (cont'd)							
4 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	9.000	1,264.47	04/20/20	1,750.56	486.09 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	140.50	04/20/20	194.51	54.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	702.48	04/20/20	733.75	31.27 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	1,404.97	04/20/20	1,480.00	75.03 W	0.00	4 of 4 - Sale <sup>25</sup>
04/24/20	25.000	3,512.42	Various	4,158.82	646.40 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>15,585.89</b>		<b>17,795.02</b>	<b>1,624.01 W</b>	<b>-585.12</b>	
DIREXION DAILY SEMICONDUCTOR BULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	8.000	1,007.98	03/06/20	1,536.00	528.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	251.99	03/06/20	384.00	132.01 W	0.00	2 of 2 - Sale <sup>25</sup>
04/16/20	10.000	1,259.97	Various	1,920.00	660.03 W	0.00	Total of 2 transactions
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	5.000	704.98	04/15/20	915.01	...	-210.03	1 of 3 - Sale <sup>25</sup>
	3.000	422.99	04/15/20	548.41	...	-125.42	2 of 3 - Sale <sup>25</sup>
	2.000	281.99	04/15/20	365.61	...	-83.62	3 of 3 - Sale <sup>25</sup>
04/29/20	10.000	1,409.96	Various	1,829.03	...	-419.07	Total of 3 transactions
	<b>Security total:</b>	<b>2,669.93</b>		<b>3,749.03</b>	<b>660.03 W</b>	<b>-419.07</b>	
DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol:							
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	24.000	497.98	03/09/20	984.00	486.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	62.25	03/09/20	120.36	58.11 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	62.25	03/11/20	117.00	54.75 W	0.00	3 of 3 - Sale <sup>25</sup>
03/24/20	30.000	622.48	Various	1,221.36	598.88 W	0.00	Total of 3 transactions
04/14/20	25.000	824.97	04/13/20	751.50	...	73.47	Sale <sup>25</sup>
5 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	20.000	659.98	03/11/20	1,185.02	525.04 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	99.00	03/11/20	171.51	72.51 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	132.00	03/11/20	232.20	100.20 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	99.00	03/11/20	168.15	69.15 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol: (cont'd)							
	15.000	494.98	03/11/20	567.00	72.02 W	0.00	5 of 5 - Sale <sup>25</sup>
04/14/20	45.000	1,484.96	Various	2,323.88	838.92 W	0.00	Total of 5 transactions
	4 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	5.000	162.50	04/15/20	158.75	...	3.75	1 of 4 - Sale <sup>25</sup>
	30.000	965.97	04/15/20	960.00	...	5.97	2 of 4 - Sale <sup>25</sup>
	25.000	804.98	04/15/20	798.75	...	6.23	3 of 4 - Sale <sup>25</sup>
	50.000	1,624.95	04/15/20	1,575.00	...	49.95	4 of 4 - Sale <sup>25</sup>
04/16/20	110.000	3,558.40	Various	3,492.50	...	65.90	Total of 4 transactions
	12 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	32.20	04/15/20	55.17	22.97 W	0.00	1 of 12 - Sale <sup>25</sup>
	4.000	128.80	04/15/20	224.20	95.40 W	0.00	2 of 12 - Sale <sup>25</sup>
	20.000	643.98	04/15/20	1,145.04	501.06 W	0.00	3 of 12 - Sale <sup>25</sup>
	2.000	64.40	04/15/20	112.34	47.94 W	0.00	4 of 12 - Sale <sup>25</sup>
	3.000	96.60	04/15/20	165.15	68.55 W	0.00	5 of 12 - Sale <sup>25</sup>
	15.000	482.98	04/15/20	552.02	69.04 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	32.50	04/15/20	54.92	22.42 W	0.00	7 of 12 - Sale <sup>25</sup>
	4.000	130.00	04/15/20	223.20	93.20 W	0.00	8 of 12 - Sale <sup>25</sup>
	20.000	649.98	04/15/20	1,140.06	490.08 W	0.00	9 of 12 - Sale <sup>25</sup>
	2.000	65.00	04/15/20	111.44	46.44 W	0.00	10 of 12 - Sale <sup>25</sup>
	3.000	97.50	04/15/20	163.80	66.30 W	0.00	11 of 12 - Sale <sup>25</sup>
	15.000	487.48	04/15/20	545.29	57.81 W	0.00	12 of 12 - Sale <sup>25</sup>
04/16/20	90.000	2,911.42	Various	4,492.63	1,581.21 W	0.00	Total of 12 transactions
	10 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	20.000	632.18	04/20/20	1,178.10	...	-545.92	1 of 10 - Sale <sup>25</sup>
	50.000	1,580.46	04/20/20	1,687.50	...	-107.04	2 of 10 - Sale <sup>25</sup>
	4.000	126.44	04/20/20	230.80	...	-104.36	3 of 10 - Sale <sup>25</sup>
	15.000	474.14	04/20/20	568.87	...	-94.73	4 of 10 - Sale <sup>25</sup>
	3.000	94.83	04/20/20	168.51	...	-73.68	5 of 10 - Sale <sup>25</sup>
	2.000	63.22	04/20/20	114.58	...	-51.36	6 of 10 - Sale <sup>25</sup>
	25.000	790.22	04/20/20	837.50	...	-47.28	7 of 10 - Sale <sup>25</sup>
	25.000	790.23	04/20/20	833.75	...	-43.52	8 of 10 - Sale <sup>25</sup>
	1.000	31.61	04/20/20	56.82	...	-25.21	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol: (cont'd)							
	5.000	158.04	04/20/20	170.35	...	-12.31	10 of 10 - Sale <sup>25</sup>
04/23/20	150.000	4,741.37	Various	5,846.78	...	-1,105.41	Total of 10 transactions
	8 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	50.000	1,580.46	04/20/20	1,712.50	66.02 W	-66.02	1 of 8 - Sale <sup>25</sup>
	1.000	31.61	04/20/20	55.42	23.81 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	63.22	04/20/20	112.44	49.22 W	0.00	3 of 8 - Sale <sup>25</sup>
	4.000	126.44	04/20/20	225.20	98.76 W	0.00	4 of 8 - Sale <sup>25</sup>
	20.000	632.18	04/20/20	1,150.08	517.90 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	94.83	04/20/20	165.30	70.47 W	0.00	6 of 8 - Sale <sup>25</sup>
	15.000	474.14	04/20/20	552.81	78.67 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	158.05	04/20/20	165.00	6.95 W	0.00	8 of 8 - Sale <sup>25</sup>
04/23/20	100.000	3,160.93	Various	4,138.75	911.80 W	-66.02	Total of 8 transactions
04/24/20	25.000	824.98	04/20/20	883.02	...	-58.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>18,129.51</b>		<b>23,150.42</b>	<b>3,930.81 W</b>	<b>-1,090.10</b>	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol:							
	3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	500.000	3,199.86	05/21/20	3,425.00	225.14 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	641.98	05/21/20	730.03	88.05 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	641.97	05/21/20	730.03	88.06 W	0.00	3 of 3 - Sale <sup>25</sup>
05/28/20	700.000	4,483.81	Various	4,885.06	401.25 W	0.00	Total of 3 transactions
	9 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	100.000	686.97	05/21/20	746.08	...	-59.11	1 of 9 - Sale <sup>25</sup>
	150.000	1,030.46	05/21/20	1,078.58	...	-48.12	2 of 9 - Sale <sup>25</sup>
	50.000	343.49	05/21/20	382.03	...	-38.54	3 of 9 - Sale <sup>25</sup>
	100.000	686.97	05/21/20	718.06	...	-31.09	4 of 9 - Sale <sup>25</sup>
	50.000	343.49	05/21/20	373.04	...	-29.55	5 of 9 - Sale <sup>25</sup>
	50.000	343.49	05/21/20	348.55	...	-5.06	6 of 9 - Sale <sup>25</sup>
	147.000	1,009.85	05/21/20	977.55	...	32.30	7 of 9 - Sale <sup>25</sup>
	153.000	1,051.06	05/21/20	1,017.45	...	33.61	8 of 9 - Sale <sup>25</sup>
	200.000	1,373.94	05/22/20	1,340.00	...	33.94	9 of 9 - Sale <sup>25</sup>
05/29/20	1,000.000	6,869.72	Various	6,981.34	...	-111.62	Total of 9 transactions

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(continued)

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460E174 / Symbol: (cont'd)							
<i>4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	2,060.91	05/21/20	2,190.08	129.17 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	686.97	05/21/20	768.05	81.08 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	343.49	05/21/20	384.03	40.54 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	343.48	05/21/20	359.53	16.05 W	0.00	4 of 4 - Sale <sup>25</sup>
05/29/20	500.000	3,434.85	Various	3,701.69	266.84 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>14,788.38</b>		<b>15,568.09</b>	<b>668.09 W</b>	<b>-111.62</b>	
DIREXION DAILY S&P 500 BEAR 3X SHARES / CUSIP: 25460E885 / Symbol:							
12/11/20	100.000	427.99	12/03/20	422.00	...	5.99	Sale <sup>25</sup>
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
04/07/20	5.000	522.48	02/24/20	660.00	137.52 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	488.72	02/24/20	528.00	...	-39.28	1 of 4 - Sale <sup>25</sup>
	1.000	122.18	02/24/20	132.00	...	-9.82	2 of 4 - Sale <sup>25</sup>
	4.000	488.72	03/31/20	518.02	...	-29.30	3 of 4 - Sale <sup>25</sup>
	1.000	122.18	03/31/20	129.50	...	-7.32	4 of 4 - Sale <sup>25</sup>
06/03/20	10.000	1,221.80	Various	1,307.52	...	-85.72	Total of 4 transactions
	<b>Security total:</b>	<b>1,744.28</b>		<b>1,967.52</b>	<b>137.52 W</b>	<b>-85.72</b>	
EQM MIDSTREAM PARTNERS, LP / CUSIP: 26885B100 / Symbol:							
<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	24.000	509.98	01/28/20	580.08	...	-70.10	1 of 2 - Sale <sup>25</sup>
	1.000	21.25	01/28/20	24.17	...	-2.92	2 of 2 - Sale <sup>25</sup>
06/04/20	25.000	531.23	Various	604.25	...	-73.02	Total of 2 transactions
<i>4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	26.000	572.70	01/28/20	628.42	...	-55.72	1 of 4 - Merger
	25.000	550.68	01/29/20	600.75	...	-50.07	2 of 4 - Merger
	24.000	528.65	01/29/20	576.00	...	-47.35	3 of 4 - Merger
	25.000	550.68	01/31/20	574.25	...	-23.57	4 of 4 - Merger
06/16/20	100.000	2,202.71	Various	2,379.42	...	-176.71	Total of 4 transactions
	<b>Security total:</b>	<b>2,733.94</b>		<b>2,983.67</b>	...	<b>-249.73</b>	

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2020 1099-B\* OMB No. 1545-0715

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ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
05/12/20	15.000	119.24	05/01/20	117.30	...	1.94	Sale <sup>25</sup>
	5 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	50.000	397.48	12/30/19	637.50	240.02 W	0.00	1 of 5 - Sale <sup>25</sup>
	50.000	397.49	02/24/20	587.50	190.01 W	0.00	2 of 5 - Sale <sup>25</sup>
	15.000	119.25	05/01/20	120.00	0.75 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	397.48	05/01/20	397.50	0.02 W	0.00	4 of 5 - Sale <sup>25</sup>
	70.000	556.48	05/05/20	566.30	2.81 W	-7.01	5 of 5 - Sale <sup>25</sup>
05/12/20	235.000	1,868.18	Various	2,308.80	433.61 W	-7.01	Total of 5 transactions
	6 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	50.000	402.48	05/05/20	644.52	...	-242.04	1 of 6 - Sale <sup>25</sup>
	50.000	402.49	05/05/20	594.51	...	-192.02	2 of 6 - Sale <sup>25</sup>
	15.000	121.05	05/05/20	122.10	...	-1.05	3 of 6 - Sale <sup>25</sup>
	50.000	403.48	05/05/20	404.52	...	-1.04	4 of 6 - Sale <sup>25</sup>
	15.000	121.05	05/05/20	121.35	...	-0.30	5 of 6 - Sale <sup>25</sup>
	20.000	161.39	05/13/20	143.81	...	17.58	6 of 6 - Sale <sup>25</sup>
05/21/20	200.000	1,611.94	Various	2,030.81	...	-418.87	Total of 6 transactions
	4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	50.000	327.50	06/24/20	369.89	...	-42.39	1 of 4 - Sale <sup>25</sup>
	100.000	654.97	06/26/20	715.00	...	-60.03	2 of 4 - Sale <sup>25</sup>
	50.000	327.49	06/26/20	353.00	...	-25.51	3 of 4 - Sale <sup>25</sup>
	50.000	327.48	07/06/20	310.00	...	17.48	4 of 4 - Sale <sup>25</sup>
12/03/20	250.000	1,637.44	Various	1,747.89	...	-110.45	Total of 4 transactions
	<b>Security total:</b>	<b>5,236.80</b>		<b>6,204.80</b>	<b>433.61 W</b>	<b>-534.39</b>	
ENTERPRISE PRODUCTS PARTNERS L . P. / CUSIP: 293792107 / Symbol:							
	5 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	50.000	924.97	11/19/19	1,275.50	...	-350.53	1 of 5 - Sale <sup>25</sup>
	25.000	462.49	02/03/20	637.75	...	-175.26	2 of 5 - Sale <sup>25</sup>
	25.000	462.49	02/07/20	636.50	...	-174.01	3 of 5 - Sale <sup>25</sup>
	50.000	924.97	06/09/20	1,095.00	...	-170.03	4 of 5 - Sale <sup>25</sup>
	50.000	924.97	06/11/20	980.00	...	-55.03	5 of 5 - Sale <sup>25</sup>
11/13/20	200.000	3,699.89	Various	4,624.75	...	-924.86	Total of 5 transactions

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EQUITRANS MIDSTREAM CORPORATIO N COMMON STOCK / CUSIP: 294600101 / Symbol:							
07/07/20	44.000	439.12	06/16/20	397.21	...	41.91	Sale <sup>25</sup>
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
3 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	10.000	663.99	10/30/19	475.00	...	188.99	1 of 3 - Sale <sup>25</sup>
	5.000	331.99	11/07/19	209.23	...	122.76	2 of 3 - Sale <sup>25</sup>
	5.000	331.99	11/13/19	199.90	...	132.09	3 of 3 - Sale <sup>25</sup>
04/24/20	20.000	1,327.97	Various	884.13	...	443.84	Total of 3 transactions
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	5.000	792.53	01/14/20	817.34	...	-24.81	1 of 2 - Sale <sup>25</sup>
	5.000	794.98	01/14/20	817.33	...	-22.35	2 of 2 - Sale <sup>25</sup>
07/14/20	10.000	1,587.51	Various	1,634.67	...	-47.16	Total of 2 transactions
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	160.50	01/28/20	148.00	...	12.50	1 of 3 - Sale <sup>25</sup>
	4.000	641.98	01/28/20	592.00	...	49.98	2 of 3 - Sale <sup>25</sup>
	5.000	805.14	03/03/20	675.00	...	130.14	3 of 3 - Sale <sup>25</sup>
07/15/20	10.000	1,607.62	Various	1,415.00	...	192.62	Total of 3 transactions
	<b>Security total:</b>	<b>3,195.13</b>		<b>3,049.67</b>	...	<b>145.46</b>	
GENERAL DYNAMICS CORPORATION / CUSIP: 369550108 / Symbol:							
01/24/20	10.000	1,854.96	01/08/20	1,800.00	...	54.96	Sale <sup>25</sup>
5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	5.000	735.88	09/21/20	700.00	...	35.88	1 of 5 - Sale <sup>25</sup>
	0.231	33.94	09/24/20	31.25	...	2.69	2 of 5 - Sale <sup>25</sup>
	0.432	63.63	10/09/20	62.50	...	1.13	3 of 5 - Sale <sup>25</sup>
	0.115	16.88	10/21/20	15.91	...	0.97	4 of 5 - Sale <sup>25</sup>
	0.337	49.61	10/21/20	46.59	...	3.02	5 of 5 - Sale <sup>25</sup>
11/09/20	6.115	899.94	Various	856.25	...	43.69	Total of 5 transactions
	<b>Security total:</b>	<b>2,754.90</b>		<b>2,656.25</b>	...	<b>98.65</b>	
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
12/04/20	20.000	1,227.97	10/22/20	1,254.00	26.03 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HP INC. / CUSIP: 40434L105 / Symbol:							
06/03/20	100.000	1,649.95	05/27/20	1,650.00	...	-0.05	Sale <sup>25</sup>
HASBRO, INC. COMMON STOCK / CUSIP: 418056107 / Symbol:							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	0.394	31.29	09/10/20	31.25	...	0.04	1 of 2 - Sale <sup>25</sup>
	14.606	1,158.93	09/21/20	1,109.28	...	49.65	2 of 2 - Sale <sup>25</sup>
09/29/20	15.000	1,190.22	Various	1,140.53	...	49.69	Total of 2 transactions
09/29/20	10.000	793.48	02/21/20	900.00	106.52 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,983.70</b>		<b>2,040.53</b>	<b>106.52 W</b>	<b>49.69</b>	
HAWAIIAN HOLDINGS, INC. COMMON STOCK / CUSIP: 419879101 / Symbol:							
7 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.472	9.83	09/10/20	6.60	...	3.23	1 of 7 - Sale <sup>25</sup>
	3.999	83.21	09/10/20	55.90	...	27.31	2 of 7 - Sale <sup>25</sup>
	4.891	101.78	09/21/20	62.50	...	39.28	3 of 7 - Sale <sup>25</sup>
	0.601	12.50	10/08/20	8.16	...	4.34	4 of 7 - Sale <sup>25</sup>
	3.997	83.17	10/08/20	54.34	...	28.83	5 of 7 - Sale <sup>25</sup>
	0.507	10.55	10/21/20	7.00	...	3.55	6 of 7 - Sale <sup>25</sup>
	0.534	11.11	10/21/20	7.36	...	3.75	7 of 7 - Sale <sup>25</sup>
11/09/20	15.000	312.15	Various	201.86	...	110.29	Total of 7 transactions
HEALTHY EQUITY, INC. COMMON STOCK / CUSIP: 42226A107 / Symbol:							
4 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	15.000	892.48	03/06/20	930.00	37.52 W	0.00	1 of 4 - Sale <sup>25</sup>
	20.000	1,189.97	03/06/20	1,250.00	60.03 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	178.50	03/06/20	187.50	9.00 W	0.00	3 of 4 - Sale <sup>25</sup>
	7.000	416.49	03/06/20	437.50	21.01 W	0.00	4 of 4 - Sale <sup>25</sup>
04/29/20	45.000	2,677.44	Various	2,805.00	127.56 W	0.00	Total of 4 transactions
05/26/20	25.000	1,493.71	03/06/20	1,562.50	...	-68.79	Sale <sup>25</sup>
10 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	5.000	302.59	03/09/20	276.50	...	26.09	1 of 10 - Sale <sup>25</sup>
	5.000	302.59	04/13/20	242.51	...	60.08	2 of 10 - Sale <sup>25</sup>
	10.000	609.99	04/13/20	485.01	...	124.98	3 of 10 - Sale <sup>25</sup>
	5.000	304.99	05/13/20	270.01	...	34.98	4 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HEALTHYQUITY, INC. COMMON STOC K / CUSIP: 42226A107 / Symbol: (cont'd)							
	3.000	192.00	05/14/20	156.00	...	36.00	5 of 10 - Sale <sup>25</sup>
	5.000	304.99	05/14/20	260.01	...	44.98	6 of 10 - Sale <sup>25</sup>
	5.000	305.00	05/14/20	260.01	...	44.99	7 of 10 - Sale <sup>25</sup>
	5.000	319.99	05/14/20	260.00	...	59.99	8 of 10 - Sale <sup>25</sup>
	7.000	447.99	05/14/20	364.01	...	83.98	9 of 10 - Sale <sup>25</sup>
	10.000	639.98	09/17/20	500.00	...	139.98	10 of 10 - Sale <sup>25</sup>
11/09/20	60.000	3,730.11	Various	3,074.06	...	656.05	Total of 10 transactions
	<b>Security total:</b>	<b>7,901.26</b>		<b>7,441.56</b>	<b>127.56 W</b>	<b>587.26</b>	
HONEYWELL INTERNATIONAL, INC. / CUSIP: 438516106 / Symbol:							
03/25/20	10.000	1,262.47	03/23/20	1,035.00	...	227.47	Sale <sup>25</sup>
ILLUMINA, INC. COMMON STOCK / CUSIP: 452327109 / Symbol:							
03/26/20	7.000	1,802.46	02/24/20	2,030.00	162.53 W	-65.01	Sale <sup>25</sup>
04/09/20	5.000	1,424.96	03/18/20	1,207.53	...	217.43	Sale <sup>25</sup>
04/09/20	3.000	854.98	02/24/20	870.00	10.01 W	-5.01	Sale <sup>25</sup>
	2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1.000	358.00	04/30/20	324.00	...	34.00	1 of 2 - Sale <sup>25</sup>
	1.000	358.00	04/30/20	323.99	...	34.01	2 of 2 - Sale <sup>25</sup>
05/20/20	2.000	716.00	Various	647.99	...	68.01	Total of 2 transactions
08/18/20	5.000	1,784.96	08/06/20	1,770.00	...	14.96	Sale <sup>25</sup>
	3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	1.000	359.99	08/06/20	354.00	...	5.99	1 of 3 - Sale <sup>25</sup>
	4.000	1,439.97	08/06/20	1,416.00	...	23.97	2 of 3 - Sale <sup>25</sup>
	5.000	1,799.96	08/06/20	1,750.00	...	49.96	3 of 3 - Sale <sup>25</sup>
09/02/20	10.000	3,599.92	Various	3,520.00	...	79.92	Total of 3 transactions
	<b>Security total:</b>	<b>10,183.28</b>		<b>10,045.52</b>	<b>172.54 W</b>	<b>310.30</b>	
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
	8 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	10.000	499.99	09/03/20	515.00	...	-15.01	1 of 8 - Sale <sup>25</sup>
	10.000	499.99	09/03/20	505.00	...	-5.01	2 of 8 - Sale <sup>25</sup>
	5.000	249.99	09/03/20	251.50	...	-1.51	3 of 8 - Sale <sup>25</sup>
	0.631	31.54	09/10/20	31.25	...	0.29	4 of 8 - Sale <sup>25</sup>

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Account 158724484

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol: (cont'd)							
	0.637	31.86	09/23/20	31.25	...	0.61	5 of 8 - Sale <sup>25</sup>
	0.587	29.36	10/09/20	31.25	...	-1.89	6 of 8 - Sale <sup>25</sup>
	0.250	12.50	10/22/20	12.11	...	0.39	7 of 8 - Sale <sup>25</sup>
	2.895	144.73	10/22/20	140.34	...	4.39	8 of 8 - Sale <sup>25</sup>
12/07/20	30.000	1,499.96	Various	1,517.70	...	-17.74	Total of 8 transactions
	2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	28.105	1,440.63	10/22/20	1,362.54	...	78.09	1 of 2 - Sale <sup>25</sup>
	0.683	35.03	11/05/20	31.25	...	3.78	2 of 2 - Sale <sup>25</sup>
12/14/20	28.789	1,475.66	Various	1,393.79	...	81.87	Total of 2 transactions
	<b>Security total:</b>	<b>2,975.62</b>		<b>2,911.49</b>	...	<b>64.13</b>	
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
03/24/20	5.000	507.48	03/20/20	480.75	...	26.73	Sale <sup>25</sup>
03/25/20	5.000	534.98	03/20/20	480.75	...	54.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,042.46</b>		<b>961.50</b>	...	<b>80.96</b>	
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	10.000	176.60	02/20/20	240.00	57.06 W	-6.34	1 of 2 - Sale <sup>25</sup>
	40.000	706.38	02/20/20	960.00	253.62 W	0.00	2 of 2 - Sale <sup>25</sup>
06/16/20	50.000	882.98	Various	1,200.00	310.68 W	-6.34	Total of 2 transactions
	3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	25.50	03/06/20	18.59	...	6.91	1 of 3 - Sale <sup>25</sup>
	20.000	549.98	03/06/20	371.80	...	178.18	2 of 3 - Sale <sup>25</sup>
	29.000	739.48	03/06/20	539.11	...	200.37	3 of 3 - Sale <sup>25</sup>
06/22/20	50.000	1,314.96	Various	929.50	...	385.46	Total of 3 transactions
	2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	1.000	30.00	03/06/20	18.59	...	11.41	1 of 2 - Sale <sup>25</sup>
	24.000	719.98	06/10/20	575.77	...	144.21	2 of 2 - Sale <sup>25</sup>
06/23/20	25.000	749.98	Various	594.36	...	155.62	Total of 2 transactions
08/17/20	1.000	32.00	06/10/20	23.99	...	8.01	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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INVITAE CORPORATION / CUSIP: 46185L103 / Symbol: (cont'd)							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	9.000	290.24	06/10/20	215.91	...	74.33	1 of 2 - Sale <sup>25</sup>
	15.000	483.74	06/10/20	359.86	...	123.88	2 of 2 - Sale <sup>25</sup>
08/18/20	24.000	773.98	Various	575.77	...	198.21	Total of 2 transactions
	<b>Security total:</b>	<b>3,753.90</b>		<b>3,323.62</b>	<b>310.68 W</b>	<b>740.96</b>	
ISHARES 20 YEAR TREASURY BOND ETF / CUSIP: 464287432 / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	2.000	316.74	11/10/20	309.92	...	6.82	1 of 2 - Sale <sup>25</sup>
	8.000	1,266.93	11/10/20	1,239.68	...	27.25	2 of 2 - Sale <sup>25</sup>
11/13/20	10.000	1,583.67	Various	1,549.60	...	34.07	Total of 2 transactions
ISHARES CORE DIVIDEND GROWTH E TF / CUSIP: 46434V621 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	7.000	254.79	02/24/20	291.55	...	-36.76	1 of 3 - Sale <sup>25</sup>
	25.000	909.98	02/25/20	1,025.00	...	-115.02	2 of 3 - Sale <sup>25</sup>
	18.000	655.18	02/25/20	733.50	...	-78.32	3 of 3 - Sale <sup>25</sup>
05/18/20	50.000	1,819.95	Various	2,050.05	...	-230.10	Total of 3 transactions
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	25.000	912.48	03/06/20	933.75	...	-21.27	1 of 3 - Sale <sup>25</sup>
	2.000	73.00	03/06/20	74.46	...	-1.46	2 of 3 - Sale <sup>25</sup>
	23.000	839.47	03/11/20	805.69	...	33.78	3 of 3 - Sale <sup>25</sup>
05/20/20	50.000	1,824.95	Various	1,813.90	...	11.05	Total of 3 transactions
	<b>Security total:</b>	<b>3,644.90</b>		<b>3,863.95</b>	...	<b>-219.05</b>	
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	2.000	232.50	03/23/20	223.00	...	9.50	1 of 2 - Sale <sup>25</sup>
	8.000	951.97	03/23/20	892.00	...	59.97	2 of 2 - Sale <sup>25</sup>
03/24/20	10.000	1,184.47	Various	1,115.00	...	69.47	Total of 2 transactions

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Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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KEYSIGHT TECHNOLOGIES, INC. / CUSIP: 49338L103 / Symbol:							
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	4.000	404.79	05/26/20	384.00	...	20.79	1 of 3 - Sale <sup>25</sup>
	10.000	1,011.98	05/26/20	965.00	...	46.98	2 of 3 - Sale <sup>25</sup>
	11.000	1,113.17	05/26/20	1,056.00	...	57.17	3 of 3 - Sale <sup>25</sup>
05/27/20	25.000	2,529.94	Various	2,405.00	...	124.94	Total of 3 transactions
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	200.000	295.98	05/05/20	292.00	...	3.98	1 of 2 - Sale <sup>25</sup>
	200.000	295.98	05/06/20	300.00	...	-4.02	2 of 2 - Sale <sup>25</sup>
05/08/20	400.000	591.96	Various	592.00	...	-0.04	Total of 2 transactions
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	200.000	295.98	05/06/20	300.00	2.01 W	-2.01	1 of 2 - Sale <sup>25</sup>
	200.000	295.98	05/06/20	300.00	4.02 W	0.00	2 of 2 - Sale <sup>25</sup>
05/08/20	400.000	591.96	Various	600.00	6.03 W	-2.01	Total of 2 transactions
5 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	100.000	154.98	05/06/20	150.01	...	4.97	1 of 5 - Sale <sup>25</sup>
	100.000	154.99	05/06/20	150.01	...	4.98	2 of 5 - Sale <sup>25</sup>
	100.000	154.99	05/06/20	150.01	...	4.98	3 of 5 - Sale <sup>25</sup>
	100.000	154.99	05/06/20	150.00	...	4.99	4 of 5 - Sale <sup>25</sup>
	300.000	464.96	05/06/20	450.00	...	14.96	5 of 5 - Sale <sup>25</sup>
05/12/20	700.000	1,084.91	Various	1,050.03	...	34.88	Total of 5 transactions
	<b>Security total:</b>	<b>2,268.83</b>		<b>2,242.03</b>	<b>6.03 W</b>	<b>32.83</b>	
LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	2.000	136.90	08/06/20	136.00	...	0.90	1 of 3 - Sale <sup>25</sup>
	3.000	205.83	08/06/20	204.00	...	1.83	2 of 3 - Sale <sup>25</sup>
	5.000	343.00	08/06/20	340.00	...	3.00	3 of 3 - Sale <sup>25</sup>
08/07/20	10.000	685.73	Various	680.00	...	5.73	Total of 3 transactions
7 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	4.000	253.00	08/07/20	266.00	13.00 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	316.25	08/07/20	332.50	16.25 W	0.00	2 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LEMONADE, INC. / CUSIP: 52567D107 / Symbol: (cont'd)							
	1.000	63.25	08/07/20	66.50	3.25 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	63.25	08/11/20	67.65	4.40 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	63.25	08/11/20	67.65	4.40 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	126.50	08/11/20	135.30	8.80 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	63.25	08/11/20	67.65	4.40 W	0.00	7 of 7 - Sale <sup>25</sup>
08/14/20	15.000	948.75	Various	1,003.25	54.50 W	0.00	Total of 7 transactions
	6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	66.00	08/11/20	64.40	...	1.60	1 of 6 - Sale <sup>25</sup>
	1.000	66.00	08/11/20	64.40	...	1.60	2 of 6 - Sale <sup>25</sup>
	1.000	66.00	08/11/20	64.40	...	1.60	3 of 6 - Sale <sup>25</sup>
	1.000	66.00	08/11/20	63.25	...	2.75	4 of 6 - Sale <sup>25</sup>
	2.000	132.00	08/11/20	128.80	...	3.20	5 of 6 - Sale <sup>25</sup>
	4.000	263.98	08/11/20	253.00	...	10.98	6 of 6 - Sale <sup>25</sup>
08/24/20	10.000	659.98	Various	638.25	...	21.73	Total of 6 transactions
	8 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	10.000	544.98	08/13/20	585.00	40.02 W	0.00	1 of 8 - Sale <sup>25</sup>
	9.000	490.49	08/20/20	540.00	49.51 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	54.50	08/20/20	64.00	9.50 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	272.49	08/25/20	325.01	52.52 W	0.00	4 of 8 - Sale <sup>25</sup>
	4.000	217.99	08/27/20	256.01	38.02 W	0.00	5 of 8 - Sale <sup>25</sup>
	9.000	490.49	08/27/20	589.51	99.02 W	0.00	6 of 8 - Sale <sup>25</sup>
	30.000	1,634.96	08/27/20	1,800.00	165.04 W	0.00	7 of 8 - Sale <sup>25</sup>
	32.000	1,743.96	08/27/20	1,920.00	176.04 W	0.00	8 of 8 - Sale <sup>25</sup>
09/18/20	100.000	5,449.86	Various	6,079.53	629.67 W	0.00	Total of 8 transactions
	8 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	24.000	1,427.97	08/27/20	1,540.83	...	-112.86	1 of 8 - Sale <sup>25</sup>
	9.000	535.49	08/27/20	639.02	...	-103.53	2 of 8 - Sale <sup>25</sup>
	5.000	297.49	08/27/20	352.52	...	-55.03	3 of 8 - Sale <sup>25</sup>
	4.000	237.99	08/27/20	278.02	...	-40.03	4 of 8 - Sale <sup>25</sup>
	6.000	356.99	08/27/20	393.01	...	-36.02	5 of 8 - Sale <sup>25</sup>
	1.000	59.50	08/27/20	69.50	...	-10.00	6 of 8 - Sale <sup>25</sup>
	1.000	59.50	08/27/20	64.20	...	-4.70	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LEMONADE, INC. / CUSIP: 52567D107 / Symbol: (cont'd)							
	25.000	1,487.46	08/31/20	1,575.03	...	-87.57	8 of 8 - Sale <sup>25</sup>
10/08/20	75.000	4,462.39	Various	4,912.13	...	-449.74	Total of 8 transactions
	<b>Security total:</b>	<b>12,206.71</b>		<b>13,313.16</b>	<b>684.17 W</b>	<b>-422.28</b>	
MSC INDUSTRIAL DIRECT CO., INC. CLASS A / CUSIP: 553530106 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	10.000	751.48	01/09/20	752.50	...	-1.02	1 of 2 - Sale <sup>25</sup>
	5.000	375.74	01/09/20	374.75	...	0.99	2 of 2 - Sale <sup>25</sup>
06/09/20	15.000	1,127.22	Various	1,127.25	...	-0.03	Total of 2 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	5.000	851.38	04/13/20	813.00	...	38.38	1 of 2 - Sale <sup>25</sup>
	15.000	2,554.15	04/13/20	2,443.50	...	110.65	2 of 2 - Sale <sup>25</sup>
04/14/20	20.000	3,405.53	Various	3,256.50	...	149.03	Total of 2 transactions
5 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	175.85	04/15/20	172.00	...	3.85	1 of 5 - Sale <sup>25</sup>
	1.000	175.85	04/15/20	171.90	...	3.95	2 of 5 - Sale <sup>25</sup>
	3.000	527.54	04/15/20	515.70	...	11.84	3 of 5 - Sale <sup>25</sup>
	20.000	3,516.91	04/15/20	3,439.40	...	77.51	4 of 5 - Sale <sup>25</sup>
	25.000	4,396.15	04/15/20	4,300.00	...	96.15	5 of 5 - Sale <sup>25</sup>
04/16/20	50.000	8,792.30	Various	8,599.00	...	193.30	Total of 5 transactions
	<b>Security total:</b>	<b>12,197.83</b>		<b>11,855.50</b>	...	<b>342.33</b>	
NEXTRA ENERGY, INC. / CUSIP: 65339F101 / Symbol:							
10/08/20	0.114	33.97	09/23/20	15.62	...	18.35	Sale <sup>25</sup>
NORTONLIFELOCK INC. COMMON STO CK / CUSIP: 668771108 / Symbol:							
25 transactions for 02/03/20. Total proceeds and cost reported to the IRS.							
	25.000	414.98	01/13/20	656.25	241.27 W	0.00	1 of 25 - Sale <sup>25</sup>
	19.000	315.39	01/13/20	503.50	188.11 W	0.00	2 of 25 - Sale <sup>25</sup>
	6.000	99.60	01/14/20	161.16	61.56 W	0.00	3 of 25 - Sale <sup>25</sup>
	15.000	248.99	01/14/20	402.75	153.76 W	0.00	4 of 25 - Sale <sup>25</sup>
	10.000	170.00	01/14/20	365.01	195.01 W	0.00	5 of 25 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NORTONLIFELOCK INC. COMMON STO CK / CUSIP: 668771108 / Symbol: (cont'd)							
	10.000	169.99	01/14/20	368.51	198.52 W	0.00	6 of 25 - Sale <sup>25</sup>
	15.000	254.99	01/14/20	549.01	294.02 W	0.00	7 of 25 - Sale <sup>25</sup>
	9.000	153.00	01/14/20	331.38	178.38 W	0.00	8 of 25 - Sale <sup>25</sup>
	6.000	102.00	01/14/20	223.08	121.08 W	0.00	9 of 25 - Sale <sup>25</sup>
	10.000	169.99	01/14/20	371.71	201.72 W	0.00	10 of 25 - Sale <sup>25</sup>
	5.000	85.00	01/14/20	185.30	100.30 W	0.00	11 of 25 - Sale <sup>25</sup>
	45.000	764.98	01/14/20	1,206.45	441.47 W	0.00	12 of 25 - Sale <sup>25</sup>
	25.000	424.99	01/15/20	680.75	255.76 W	0.00	13 of 25 - Sale <sup>25</sup>
	26.000	441.98	01/15/20	707.20	265.22 W	0.00	14 of 25 - Sale <sup>25</sup>
	3.000	51.00	01/15/20	81.60	30.60 W	0.00	15 of 25 - Sale <sup>25</sup>
	9.000	152.99	01/15/20	423.18	270.19 W	0.00	16 of 25 - Sale <sup>25</sup>
	10.000	169.99	01/15/20	467.01	297.02 W	0.00	17 of 25 - Sale <sup>25</sup>
	10.000	170.00	01/15/20	470.52	300.52 W	0.00	18 of 25 - Sale <sup>25</sup>
	15.000	254.99	01/15/20	702.02	447.03 W	0.00	19 of 25 - Sale <sup>25</sup>
	2.000	34.00	01/15/20	94.76	60.76 W	0.00	20 of 25 - Sale <sup>25</sup>
	4.000	68.00	01/15/20	189.32	121.32 W	0.00	21 of 25 - Sale <sup>25</sup>
	5.000	85.00	01/15/20	236.05	151.05 W	0.00	22 of 25 - Sale <sup>25</sup>
	10.000	169.99	01/15/20	473.22	303.23 W	0.00	23 of 25 - Sale <sup>25</sup>
	6.000	102.00	01/15/20	221.76	119.76 W	0.00	24 of 25 - Sale <sup>25</sup>
	15.000	254.99	01/15/20	559.66	304.67 W	0.00	25 of 25 - Sale <sup>25</sup>
02/03/20	315.000	5,328.83	Various	10,631.16	5,302.33 W	0.00	Total of 25 transactions
21 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	22.000	393.79	01/16/20	1,038.42	...	-644.63	1 of 21 - Sale <sup>25</sup>
	14.000	250.59	01/16/20	669.78	...	-419.19	2 of 21 - Sale <sup>25</sup>
	10.000	178.99	01/16/20	579.33	...	-400.34	3 of 21 - Sale <sup>25</sup>
	10.000	178.99	01/16/20	576.62	...	-397.63	4 of 21 - Sale <sup>25</sup>
	9.000	161.10	01/16/20	518.68	...	-357.58	5 of 21 - Sale <sup>25</sup>
	12.000	214.79	01/16/20	571.45	...	-356.66	6 of 21 - Sale <sup>25</sup>
	6.000	107.03	01/16/20	345.01	...	-237.98	7 of 21 - Sale <sup>25</sup>
	5.000	89.50	01/16/20	289.10	...	-199.60	8 of 21 - Sale <sup>25</sup>
	6.000	107.40	01/16/20	285.42	...	-178.02	9 of 21 - Sale <sup>25</sup>
	4.000	71.60	01/16/20	231.76	...	-160.16	10 of 21 - Sale <sup>25</sup>
	3.000	53.70	01/16/20	172.24	...	-118.54	11 of 21 - Sale <sup>25</sup>
	2.000	35.80	01/16/20	115.98	...	-80.18	12 of 21 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NORTONLIFELOCK INC. COMMON STO CK / CUSIP: 668771108 / Symbol: (cont'd)							
	3.000	53.52	01/16/20	113.70	...	-60.18	13 of 21 - Sale <sup>25</sup>
	1.000	17.90	01/16/20	47.92	...	-30.02	14 of 21 - Sale <sup>25</sup>
	1.000	17.90	01/16/20	47.20	...	-29.30	15 of 21 - Sale <sup>25</sup>
	1.000	17.90	01/16/20	47.20	...	-29.30	16 of 21 - Sale <sup>25</sup>
	21.000	375.89	01/27/20	1,001.30	...	-625.41	17 of 21 - Sale <sup>25</sup>
	10.000	178.99	01/27/20	671.83	...	-492.84	18 of 21 - Sale <sup>25</sup>
	11.000	196.89	01/27/20	524.82	...	-327.93	19 of 21 - Sale <sup>25</sup>
	6.000	107.40	01/27/20	403.69	...	-296.29	20 of 21 - Sale <sup>25</sup>
	2.000	35.80	01/27/20	95.42	...	-59.62	21 of 21 - Sale <sup>25</sup>
02/06/20	159.000	2,845.47	Various	8,346.87	...	-5,501.40	Total of 21 transactions
8 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	26.000	463.83	01/16/20	985.42	421.28 W	-100.31	1 of 8 - Sale <sup>25</sup>
	1.000	17.84	01/16/20	37.51	19.67 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	17.84	01/16/20	37.51	19.67 W	0.00	3 of 8 - Sale <sup>25</sup>
	22.000	392.47	01/16/20	825.23	432.76 W	0.00	4 of 8 - Sale <sup>25</sup>
	23.000	410.31	01/16/20	872.40	462.09 W	0.00	5 of 8 - Sale <sup>25</sup>
	6.000	107.04	01/16/20	345.01	237.97 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	178.39	01/16/20	574.02	395.63 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.000	35.68	01/16/20	75.86	40.18 W	0.00	8 of 8 - Sale <sup>25</sup>
02/06/20	91.000	1,623.40	Various	3,752.96	2,029.25 W	-100.31	Total of 8 transactions
<b>Security total:</b>		<b>9,797.70</b>		<b>22,730.99</b>	<b>7,331.58 W</b>	<b>-5,601.71</b>	
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
5 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	25.000	1,099.97	11/12/19	960.00	...	139.97	1 of 5 - Sale <sup>25</sup>
	25.000	1,099.97	11/13/19	950.00	...	149.97	2 of 5 - Sale <sup>25</sup>
	50.000	2,199.95	11/14/19	1,887.50	...	312.45	3 of 5 - Sale <sup>25</sup>
	25.000	1,132.47	12/03/19	940.00	...	192.47	4 of 5 - Sale <sup>25</sup>
	25.000	1,132.48	01/08/20	1,108.75	...	23.73	5 of 5 - Sale <sup>25</sup>
01/09/20	150.000	6,664.84	Various	5,846.25	...	818.59	Total of 5 transactions
9 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	25.000	294.52	01/24/20	1,050.00	...	-755.48	1 of 9 - Sale <sup>25</sup>
	25.000	294.52	01/27/20	1,018.75	...	-724.23	2 of 9 - Sale <sup>25</sup>
	8.000	94.25	01/31/20	316.00	...	-221.75	3 of 9 - Sale <sup>25</sup>

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(continued)

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OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol: (cont'd)							
	17.000	200.28	02/25/20	652.80	...	-452.52	4 of 9 - Sale <sup>25</sup>
	25.000	294.52	03/06/20	701.50	...	-406.98	5 of 9 - Sale <sup>25</sup>
	50.000	589.05	05/01/20	775.00	...	-185.95	6 of 9 - Sale <sup>25</sup>
	44.000	519.18	05/29/20	573.76	...	-54.58	7 of 9 - Sale <sup>25</sup>
	6.000	70.80	05/29/20	78.24	...	-7.44	8 of 9 - Sale <sup>25</sup>
	100.000	1,179.96	10/13/20	1,055.00	...	124.96	9 of 9 - Sale <sup>25</sup>
11/13/20	300.000	3,537.08	Various	6,221.05	...	-2,683.97	Total of 9 transactions
	<b>Security total:</b>	<b>10,201.92</b>		<b>12,067.30</b>	...	<b>-1,865.38</b>	
OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK / CUSIP: 674599162 / Symbol:							
11/18/20	25.000	94.50	07/02/20	141.25	...	-46.75	Sale <sup>25</sup>
OLLIE'S BARGAIN OUTLET HOLDING S, INC. COMMON STOCK / CUSIP: 681116109 / Symbol:							
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	70.000	2,106.94	08/28/19	4,200.00	...	-2,093.06	1 of 2 - Sale <sup>25</sup>
	30.000	902.98	08/28/19	1,800.00	...	-897.02	2 of 2 - Sale <sup>25</sup>
03/18/20	100.000	3,009.92	Various	6,000.00	...	-2,990.08	Total of 2 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
03/26/20	25.000	768.81	02/21/20	891.25	122.44 W	0.00	Sale <sup>25</sup>
9 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	25.000	1,049.97	03/19/20	891.19	...	158.78	1 of 9 - Sale <sup>25</sup>
	15.000	629.99	06/26/20	475.50	...	154.49	2 of 9 - Sale <sup>25</sup>
	0.870	36.54	09/10/20	31.25	...	5.29	3 of 9 - Sale <sup>25</sup>
	0.883	37.07	09/21/20	31.25	...	5.82	4 of 9 - Sale <sup>25</sup>
	0.000	0.01	10/09/20	0.01	...	0.00	5 of 9 - Sale <sup>25</sup>
	0.848	35.63	10/09/20	31.24	...	4.39	6 of 9 - Sale <sup>25</sup>
	0.838	35.21	10/21/20	31.25	...	3.96	7 of 9 - Sale <sup>25</sup>
	0.250	10.50	11/05/20	9.11	...	1.39	8 of 9 - Sale <sup>25</sup>
	6.310	265.03	11/05/20	230.07	...	34.96	9 of 9 - Sale <sup>25</sup>
12/09/20	50.000	2,099.95	Various	1,730.87	...	369.08	Total of 9 transactions
	<b>Security total:</b>	<b>2,868.76</b>		<b>2,622.12</b>	<b>122.44 W</b>	<b>369.08</b>	

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
<i>3 transactions for 02/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	133.00	10/31/19	100.50	...	32.50	1 of 3 - Sale <sup>25</sup>
	25.000	664.98	10/31/19	525.00	...	139.98	2 of 3 - Sale <sup>25</sup>
	10.000	265.99	11/22/19	185.00	...	80.99	3 of 3 - Sale <sup>25</sup>
02/06/20	40.000	1,063.97	Various	810.50	...	253.47	Total of 3 transactions
04/22/20	30.000	556.48	03/06/20	553.46	...	3.02	Sale <sup>25</sup>
04/24/20	10.000	198.33	03/06/20	184.49	...	13.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,818.78</b>		<b>1,548.45</b>	...	<b>270.33</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
02/18/20	1.000	5.00	01/31/20	4.02	...	0.98	Sale <sup>25</sup>
06/04/20	5.000	23.96	05/01/20	19.60	...	4.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>28.96</b>		<b>23.62</b>	...	<b>5.34</b>	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
<i>5 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	214.99	04/29/20	197.50	...	17.49	1 of 5 - Sale <sup>25</sup>
	15.000	644.99	04/29/20	596.25	...	48.74	2 of 5 - Sale <sup>25</sup>
	20.000	864.98	04/29/20	790.00	...	74.98	3 of 5 - Sale <sup>25</sup>
	25.000	1,074.97	04/29/20	995.50	...	79.47	4 of 5 - Sale <sup>25</sup>
	25.000	1,081.22	04/29/20	975.00	...	106.22	5 of 5 - Sale <sup>25</sup>
04/30/20	90.000	3,881.15	Various	3,554.25	...	326.90	Total of 5 transactions
<i>5 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	198.74	05/08/20	192.00	...	6.74	1 of 5 - Sale <sup>25</sup>
	25.000	993.73	05/08/20	967.00	...	26.73	2 of 5 - Sale <sup>25</sup>
	10.000	409.99	05/08/20	377.20	...	32.79	3 of 5 - Sale <sup>25</sup>
	20.000	794.98	05/08/20	760.00	...	34.98	4 of 5 - Sale <sup>25</sup>
	15.000	614.98	05/08/20	562.50	...	52.48	5 of 5 - Sale <sup>25</sup>
05/12/20	75.000	3,012.42	Various	2,858.70	...	153.72	Total of 5 transactions
<i>5 transactions for 05/13/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	203.74	05/08/20	187.50	...	16.24	1 of 5 - Sale <sup>25</sup>
	20.000	814.98	05/08/20	741.00	...	73.98	2 of 5 - Sale <sup>25</sup>
	20.000	879.98	05/08/20	733.00	...	146.98	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol: (cont'd)							
	5.000	219.99	05/11/20	169.50	...	50.49	4 of 5 - Sale <sup>25</sup>
	25.000	1,149.97	05/11/20	847.50	...	302.47	5 of 5 - Sale <sup>25</sup>
05/13/20	75.000	3,268.66	Various	2,678.50	...	590.16	Total of 5 transactions
	2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	25.000	1,183.47	05/11/20	838.75	...	344.72	1 of 2 - Sale <sup>25</sup>
	25.000	1,187.47	05/11/20	831.25	...	356.22	2 of 2 - Sale <sup>25</sup>
05/14/20	50.000	2,370.94	Various	1,670.00	...	700.94	Total of 2 transactions
	13 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	10.000	359.99	05/18/20	359.50	...	0.49	1 of 13 - Sale <sup>25</sup>
	5.000	180.00	05/18/20	176.25	...	3.75	2 of 13 - Sale <sup>25</sup>
	10.000	359.99	05/18/20	350.00	...	9.99	3 of 13 - Sale <sup>25</sup>
	5.000	180.00	05/19/20	173.75	...	6.25	4 of 13 - Sale <sup>25</sup>
	5.000	180.00	05/19/20	172.50	...	7.50	5 of 13 - Sale <sup>25</sup>
	10.000	359.99	05/19/20	350.00	...	9.99	6 of 13 - Sale <sup>25</sup>
	5.000	179.99	05/20/20	175.00	...	4.99	7 of 13 - Sale <sup>25</sup>
	5.000	179.99	05/20/20	171.95	...	8.04	8 of 13 - Sale <sup>25</sup>
	10.000	359.99	05/20/20	347.50	...	12.49	9 of 13 - Sale <sup>25</sup>
	11.000	395.99	05/20/20	379.50	...	16.49	10 of 13 - Sale <sup>25</sup>
	14.000	503.99	05/20/20	483.00	...	20.99	11 of 13 - Sale <sup>25</sup>
	5.000	180.00	05/21/20	170.50	...	9.50	12 of 13 - Sale <sup>25</sup>
	5.000	179.99	05/22/20	177.00	...	2.99	13 of 13 - Sale <sup>25</sup>
05/29/20	100.000	3,599.91	Various	3,486.45	...	113.46	Total of 13 transactions
	4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	33.50	06/03/20	31.57	...	1.93	1 of 4 - Sale <sup>25</sup>
	12.000	401.99	06/03/20	378.84	...	23.15	2 of 4 - Sale <sup>25</sup>
	12.000	401.99	06/03/20	378.84	...	23.15	3 of 4 - Sale <sup>25</sup>
	25.000	881.23	06/03/20	819.02	...	62.21	4 of 4 - Sale <sup>25</sup>
06/11/20	50.000	1,718.71	Various	1,608.27	...	110.44	Total of 4 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	48.000	1,607.96	05/22/20	1,687.68	79.72 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	67.00	05/22/20	70.32	3.32 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	837.47	06/01/20	843.75	6.28 W	0.00	3 of 3 - Sale <sup>25</sup>
06/11/20	75.000	2,512.43	Various	2,601.75	89.32 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol: (cont'd)							
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	2.000	76.00	06/19/20	74.18	...	1.82	1 of 3 - Sale <sup>25</sup>
	23.000	873.98	06/19/20	853.03	...	20.95	2 of 3 - Sale <sup>25</sup>
	25.000	949.97	06/19/20	884.28	...	65.69	3 of 3 - Sale <sup>25</sup>
06/22/20	50.000	1,899.95	Various	1,811.49	...	88.46	Total of 3 transactions
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	5.000	190.00	06/19/20	175.60	...	14.40	1 of 2 - Sale <sup>25</sup>
	20.000	759.98	06/19/20	702.40	...	57.58	2 of 2 - Sale <sup>25</sup>
06/25/20	25.000	949.98	Various	878.00	...	71.98	Total of 2 transactions
4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	2.000	72.00	06/22/20	69.50	...	2.50	1 of 4 - Sale <sup>25</sup>
	25.000	899.98	06/22/20	875.00	...	24.98	2 of 4 - Sale <sup>25</sup>
	23.000	827.98	06/22/20	799.25	...	28.73	3 of 4 - Sale <sup>25</sup>
	25.000	949.97	06/22/20	855.00	...	94.97	4 of 4 - Sale <sup>25</sup>
06/26/20	75.000	2,749.93	Various	2,598.75	...	151.18	Total of 4 transactions
08/27/20	50.000	1,162.47	07/16/20	1,485.00	322.53 W	0.00	Sale <sup>25</sup>
08/31/20	25.000	562.48	07/17/20	693.75	131.27 W	0.00	Sale <sup>25</sup>
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	25.000	637.48	08/10/20	538.75	...	98.73	1 of 5 - Sale <sup>25</sup>
	25.000	649.98	08/11/20	656.53	...	-6.55	2 of 5 - Sale <sup>25</sup>
	25.000	649.98	08/27/20	647.54	...	2.44	3 of 5 - Sale <sup>25</sup>
	4.000	104.00	08/27/20	94.12	...	9.88	4 of 5 - Sale <sup>25</sup>
	21.000	545.98	08/27/20	494.16	...	51.82	5 of 5 - Sale <sup>25</sup>
09/03/20	100.000	2,587.42	Various	2,431.10	...	156.32	Total of 5 transactions
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	25.000	637.48	08/03/20	780.26	142.78 W	0.00	1 of 4 - Sale <sup>25</sup>
	25.000	637.48	08/04/20	753.77	116.29 W	0.00	2 of 4 - Sale <sup>25</sup>
	4.000	102.00	08/06/20	111.12	9.12 W	0.00	3 of 4 - Sale <sup>25</sup>
	21.000	535.49	08/06/20	583.40	47.91 W	0.00	4 of 4 - Sale <sup>25</sup>
09/03/20	75.000	1,912.45	Various	2,228.55	316.10 W	0.00	Total of 4 transactions
10/26/20	50.000	949.97	10/08/20	947.50	...	2.47	Sale <sup>25</sup>
	<b>Security total:</b>	<b>33,138.87</b>		<b>31,532.06</b>	<b>859.22 W</b>	<b>2,466.03</b>	

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Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PROSHARES ULTRAPRO 3X CRUDE OI L ETF / CUSIP: 74347W221 / Symbol:							
02/05/20	100.000	1,279.96	02/03/20	1,175.00	...	104.96	Sale <sup>25</sup>
	13 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	50.000	33.99	03/09/20	616.51	...	-582.52	1 of 13 - Sale <sup>25</sup>
	25.000	17.00	03/09/20	313.00	...	-296.00	2 of 13 - Sale <sup>25</sup>
	25.000	17.00	03/09/20	308.00	...	-291.00	3 of 13 - Sale <sup>25</sup>
	32.000	21.75	03/17/20	791.99	...	-770.24	4 of 13 - Sale <sup>25</sup>
	12.000	8.16	03/17/20	287.04	...	-278.88	5 of 13 - Sale <sup>25</sup>
	15.000	10.20	03/17/20	204.46	...	-194.26	6 of 13 - Sale <sup>25</sup>
	238.000	161.81	03/17/20	292.74	...	-130.93	7 of 13 - Sale <sup>25</sup>
	250.000	169.97	03/17/20	300.00	...	-130.03	8 of 13 - Sale <sup>25</sup>
	5.000	3.40	03/17/20	118.65	...	-115.25	9 of 13 - Sale <sup>25</sup>
	2.000	1.36	03/17/20	36.92	...	-35.56	10 of 13 - Sale <sup>25</sup>
	1.000	0.68	03/17/20	23.73	...	-23.05	11 of 13 - Sale <sup>25</sup>
	1.000	0.68	03/17/20	14.87	...	-14.19	12 of 13 - Sale <sup>25</sup>
	12.000	8.16	03/17/20	12.48	...	-4.32	13 of 13 - Sale <sup>25</sup>
03/18/20	668.000	454.16	Various	3,320.39	...	-2,866.23	Total of 13 transactions
	12 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	45.000	30.60	02/05/20	551.25	520.65 W	0.00	1 of 12 - Sale <sup>25</sup>
	55.000	37.39	02/05/20	673.75	636.36 W	0.00	2 of 12 - Sale <sup>25</sup>
	25.000	17.00	02/10/20	287.50	270.50 W	0.00	3 of 12 - Sale <sup>25</sup>
	50.000	33.99	02/10/20	565.50	531.51 W	0.00	4 of 12 - Sale <sup>25</sup>
	25.000	17.00	02/10/20	282.50	265.50 W	0.00	5 of 12 - Sale <sup>25</sup>
	44.000	29.92	02/24/20	1,028.28	998.36 W	0.00	6 of 12 - Sale <sup>25</sup>
	5.000	3.40	02/24/20	116.85	113.45 W	0.00	7 of 12 - Sale <sup>25</sup>
	1.000	0.68	02/24/20	23.37	22.69 W	0.00	8 of 12 - Sale <sup>25</sup>
	2.000	1.36	03/06/20	36.20	34.84 W	0.00	9 of 12 - Sale <sup>25</sup>
	47.000	31.95	03/09/20	623.70	188.86 W	-402.89	10 of 12 - Sale <sup>25</sup>
	1.000	0.68	03/09/20	14.51	13.83 W	0.00	11 of 12 - Sale <sup>25</sup>
	32.000	21.76	03/09/20	780.48	758.72 W	0.00	12 of 12 - Sale <sup>25</sup>
03/18/20	332.000	225.73	Various	4,983.89	4,355.27 W	-402.89	Total of 12 transactions
	<b>Security total:</b>	<b>1,959.85</b>		<b>9,479.28</b>	<b>4,355.27 W</b>	<b>-3,164.16</b>	

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Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol:							
03/26/20	25.000	1,124.97	03/11/20	1,581.25	456.28 W	0.00	Sale <sup>25</sup>
04/14/20	25.000	1,556.21	03/11/20	1,581.25	25.04 W	0.00	Sale <sup>25</sup>
	6 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	24.000	1,477.16	04/20/20	1,602.00	...	-124.84	1 of 6 - Sale <sup>25</sup>
	25.000	1,538.71	04/20/20	1,656.25	...	-117.54	2 of 6 - Sale <sup>25</sup>
	25.000	1,538.72	04/20/20	1,650.00	...	-111.28	3 of 6 - Sale <sup>25</sup>
	25.000	1,538.71	04/20/20	1,643.75	...	-105.04	4 of 6 - Sale <sup>25</sup>
	1.000	61.55	04/20/20	73.24	...	-11.69	5 of 6 - Sale <sup>25</sup>
	1.000	61.55	04/20/20	65.00	...	-3.45	6 of 6 - Sale <sup>25</sup>
04/23/20	101.000	6,216.40	Various	6,690.24	...	-473.84	Total of 6 transactions
	3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	1.000	61.51	04/20/20	68.00	6.49 W	0.00	1 of 3 - Sale <sup>25</sup>
	24.000	1,477.16	04/20/20	1,632.04	154.88 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	1,538.71	04/20/20	2,131.28	592.57 W	0.00	3 of 3 - Sale <sup>25</sup>
04/23/20	50.000	3,077.38	Various	3,831.32	753.94 W	0.00	Total of 3 transactions
	2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	25.000	1,587.46	04/20/20	2,192.82	...	-605.36	1 of 2 - Sale <sup>25</sup>
	24.000	1,523.97	04/20/20	1,714.88	...	-190.91	2 of 2 - Sale <sup>25</sup>
04/24/20	49.000	3,111.43	Various	3,907.70	...	-796.27	Total of 2 transactions
	<b>Security total:</b>	<b>15,086.39</b>		<b>17,591.76</b>	<b>1,235.26 W</b>	<b>-1,270.11</b>	
QORVO, INC. COMMON STOCK / CUSIP: 74736K101 / Symbol:							
09/09/20	5.000	624.98	09/03/20	625.00	0.02 W	0.00	Sale <sup>25</sup>
	3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	130.00	09/03/20	121.82	...	8.18	1 of 3 - Sale <sup>25</sup>
	2.000	259.99	09/03/20	244.01	...	15.98	2 of 3 - Sale <sup>25</sup>
	2.000	259.99	09/03/20	242.01	...	17.98	3 of 3 - Sale <sup>25</sup>
09/29/20	5.000	649.98	Various	607.84	...	42.14	Total of 3 transactions
	<b>Security total:</b>	<b>1,274.96</b>		<b>1,232.84</b>	<b>0.02 W</b>	<b>42.14</b>	

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
05/20/20	15.000	1,214.97	05/15/20	1,121.25	...	93.72	Sale <sup>25</sup>
09/28/20	10.000	1,169.97	09/18/20	1,100.00	...	69.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,384.94</b>		<b>2,221.25</b>	...	<b>163.69</b>	
ROYAL DUTCH SHELL PLC ADS CLAS S B / CUSIP: 780259107 / Symbol:							
4 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	3.000	88.17	08/18/20	87.66	...	0.51	1 of 4 - Sale <sup>25</sup>
	14.000	411.46	08/18/20	409.08	...	2.38	2 of 4 - Sale <sup>25</sup>
	33.000	969.84	08/18/20	964.26	...	5.58	3 of 4 - Sale <sup>25</sup>
	36.000	1,058.37	09/01/20	994.32	...	64.05	4 of 4 - Sale <sup>25</sup>
11/13/20	86.000	2,527.84	Various	2,455.32	...	72.52	Total of 4 transactions
11/16/20	14.000	435.68	09/01/20	386.68	...	49.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,963.52</b>		<b>2,842.00</b>	...	<b>121.52</b>	
ROYAL DUTCH SHELL PLC ADS CLAS S A / CUSIP: 780259206 / Symbol:							
05/05/20	25.000	862.48	04/30/20	850.00	...	12.48	Sale <sup>25</sup>
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
13 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	5.000	17.95	05/06/20	16.40	...	1.55	1 of 13 - Sale <sup>25</sup>
	24.000	86.16	05/06/20	78.00	...	8.16	2 of 13 - Sale <sup>25</sup>
	38.000	136.79	05/06/20	123.50	...	13.29	3 of 13 - Sale <sup>25</sup>
	62.000	223.19	05/06/20	201.50	...	21.69	4 of 13 - Sale <sup>25</sup>
	76.000	272.83	05/06/20	247.00	...	25.83	5 of 13 - Sale <sup>25</sup>
	95.000	341.04	05/06/20	311.60	...	29.44	6 of 13 - Sale <sup>25</sup>
	100.000	358.99	05/06/20	328.00	...	30.99	7 of 13 - Sale <sup>25</sup>
	100.000	358.99	05/06/20	328.00	...	30.99	8 of 13 - Sale <sup>25</sup>
	100.000	359.98	05/06/20	328.00	...	31.98	9 of 13 - Sale <sup>25</sup>
	100.000	359.98	05/06/20	328.00	...	31.98	10 of 13 - Sale <sup>25</sup>
	100.000	358.99	05/06/20	325.00	...	33.99	11 of 13 - Sale <sup>25</sup>
	100.000	359.97	05/06/20	325.00	...	34.97	12 of 13 - Sale <sup>25</sup>
	100.000	359.98	05/06/20	325.00	...	34.98	13 of 13 - Sale <sup>25</sup>
05/08/20	1,000.000	3,594.84	Various	3,265.00	...	329.84	Total of 13 transactions

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol: (cont'd)							
<i>12 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	7.10	05/13/20	6.32	...	0.78	1 of 12 - Sale <sup>25</sup>
	2.000	7.10	05/13/20	6.10	...	1.00	2 of 12 - Sale <sup>25</sup>
	100.000	343.98	05/13/20	328.00	...	15.98	3 of 12 - Sale <sup>25</sup>
	100.000	343.98	05/13/20	328.00	...	15.98	4 of 12 - Sale <sup>25</sup>
	200.000	687.96	05/13/20	660.00	...	27.96	5 of 12 - Sale <sup>25</sup>
	100.000	354.98	05/13/20	325.00	...	29.98	6 of 12 - Sale <sup>25</sup>
	100.000	354.97	05/13/20	320.00	...	34.97	7 of 12 - Sale <sup>25</sup>
	100.000	354.98	05/13/20	320.00	...	34.98	8 of 12 - Sale <sup>25</sup>
	98.000	347.88	05/13/20	309.68	...	38.20	9 of 12 - Sale <sup>25</sup>
	100.000	354.98	05/13/20	315.00	...	39.98	10 of 12 - Sale <sup>25</sup>
	300.000	1,031.94	05/13/20	984.00	...	47.94	11 of 12 - Sale <sup>25</sup>
	298.000	1,057.83	05/13/20	908.90	...	148.93	12 of 12 - Sale <sup>25</sup>
05/18/20	1,500.000	5,247.68	Various	4,811.00	...	436.68	Total of 12 transactions
	<b>Security total:</b>	<b>8,842.52</b>		<b>8,076.00</b>	...	<b>766.52</b>	
SPDR PORTFOLIO S&P 500 GROWTH ETF / CUSIP: 78464A409 / Symbol:							
<i>2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	962.48	02/24/20	1,075.00	112.52 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	962.47	02/25/20	1,068.75	72.27 W	-34.01	2 of 2 - Sale <sup>25</sup>
04/09/20	50.000	1,924.95	Various	2,143.75	184.79 W	-34.01	Total of 2 transactions
<i>6 transactions for 04/14/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	976.22	03/06/20	991.25	...	-15.03	1 of 6 - Sale <sup>25</sup>
	4.000	156.20	03/06/20	158.00	...	-1.80	2 of 6 - Sale <sup>25</sup>
	4.000	156.20	03/09/20	151.76	...	4.44	3 of 6 - Sale <sup>25</sup>
	17.000	663.83	03/11/20	708.06	...	-44.23	4 of 6 - Sale <sup>25</sup>
	17.000	663.83	04/13/20	713.85	...	-50.02	5 of 6 - Sale <sup>25</sup>
	8.000	312.39	04/13/20	337.93	...	-25.54	6 of 6 - Sale <sup>25</sup>
04/14/20	75.000	2,928.67	Various	3,060.85	...	-132.18	Total of 6 transactions
	<b>Security total:</b>	<b>4,853.62</b>		<b>5,204.60</b>	<b>184.79 W</b>	<b>-166.19</b>	

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Robinhood Securities LLC

Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
04/08/20	10.000	1,509.96	03/31/20	1,510.00	...	-0.04	Sale <sup>25</sup>
		3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.					
	10.000	1,786.06	05/28/20	1,780.00	...	6.06	1 of 3 - Sale <sup>25</sup>
	10.000	1,786.06	05/28/20	1,765.00	...	21.06	2 of 3 - Sale <sup>25</sup>
	5.000	893.23	06/10/20	905.67	...	-12.44	3 of 3 - Sale <sup>25</sup>
06/15/20	25.000	4,465.35	Various	4,450.67	...	14.68	Total of 3 transactions
		5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.					
	1.000	178.61	05/28/20	182.50	3.89 W	0.00	1 of 5 - Sale <sup>25</sup>
	9.000	1,607.45	05/28/20	1,642.50	35.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	893.03	05/28/20	900.00	6.97 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	178.65	05/28/20	178.89	0.24 W	0.00	4 of 5 - Sale <sup>25</sup>
	9.000	1,607.81	05/28/20	1,610.05	2.24 W	0.00	5 of 5 - Sale <sup>25</sup>
06/15/20	25.000	4,465.55	Various	4,513.94	48.39 W	0.00	Total of 5 transactions
		3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.					
	1.000	182.00	06/10/20	179.98	...	2.02	1 of 3 - Sale <sup>25</sup>
	3.000	545.98	06/10/20	539.96	...	6.02	2 of 3 - Sale <sup>25</sup>
	6.000	1,091.97	06/10/20	1,079.93	...	12.04	3 of 3 - Sale <sup>25</sup>
06/16/20	10.000	1,819.95	Various	1,799.87	...	20.08	Total of 3 transactions
	<b>Security total:</b>	<b>12,260.81</b>		<b>12,274.48</b>	<b>48.39 W</b>	<b>34.72</b>	
SCHLUMBERGER LIMITED / CUSIP: 806857108 / Symbol:							
		10 transactions for 05/21/20. Total proceeds and cost reported to the IRS.					
	25.000	446.49	01/09/20	971.25	...	-524.76	1 of 10 - Sale <sup>25</sup>
	15.000	267.89	01/31/20	498.75	...	-230.86	2 of 10 - Sale <sup>25</sup>
	10.000	178.59	02/10/20	339.00	...	-160.41	3 of 10 - Sale <sup>25</sup>
	36.000	642.94	02/11/20	1,220.40	...	-577.46	4 of 10 - Sale <sup>25</sup>
	14.000	250.59	02/11/20	474.60	...	-224.01	5 of 10 - Sale <sup>25</sup>
	21.000	375.89	02/18/20	694.05	...	-318.16	6 of 10 - Sale <sup>25</sup>
	4.000	71.60	02/18/20	132.20	...	-60.60	7 of 10 - Sale <sup>25</sup>
	25.000	447.48	02/24/20	775.00	...	-327.52	8 of 10 - Sale <sup>25</sup>
	5.000	89.50	03/09/20	87.45	...	2.05	9 of 10 - Sale <sup>25</sup>
	1.000	17.90	04/09/20	16.95	...	0.95	10 of 10 - Sale <sup>25</sup>
05/21/20	156.000	2,788.87	Various	5,209.65	...	-2,420.78	Total of 10 transactions

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Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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UTILITIES SELECT SECTOR SPDR F UND / CUSIP: 81369Y886 / Symbol:							
03/25/20	25.000	1,249.97	03/20/20	1,250.00	...	-0.03	Sale <sup>25</sup>
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
01/08/20	50.000	1,199.97	10/18/19	1,210.03	...	-10.06	Sale <sup>25</sup>
	2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.						
	25.000	649.49	10/18/19	553.77	...	95.72	1 of 2 - Sale <sup>25</sup>
	25.000	649.48	10/18/19	537.50	...	111.98	2 of 2 - Sale <sup>25</sup>
02/10/20	50.000	1,298.97	Various	1,091.27	...	207.70	Total of 2 transactions
03/25/20	25.000	674.98	03/09/20	587.50	...	87.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,173.92</b>		<b>2,888.80</b>	...	<b>285.12</b>	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
04/28/20	50.000	1,487.46	04/28/20	1,437.50	...	49.96	Sale <sup>25</sup>
	2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	25.000	761.23	04/28/20	711.25	...	49.98	1 of 2 - Sale <sup>25</sup>
	50.000	1,522.45	04/28/20	1,437.50	...	84.95	2 of 2 - Sale <sup>25</sup>
04/29/20	75.000	2,283.68	Various	2,148.75	...	134.93	Total of 2 transactions
	<b>Security total:</b>	<b>3,771.14</b>		<b>3,586.25</b>	...	<b>184.89</b>	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
05/05/20	1.000	65.43	05/01/20	63.00	...	2.43	Sale <sup>25</sup>
TANGER FACTORY OUTLET CENTERS / CUSIP: 875465106 / Symbol:							
	5 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	50.000	325.99	01/14/20	782.25	...	-456.26	1 of 5 - Sale <sup>25</sup>
	20.000	130.39	01/14/20	310.00	...	-179.61	2 of 5 - Sale <sup>25</sup>
	5.000	32.60	01/14/20	77.50	...	-44.90	3 of 5 - Sale <sup>25</sup>
	25.000	162.99	01/15/20	381.25	...	-218.26	4 of 5 - Sale <sup>25</sup>
	25.000	163.00	01/15/20	381.25	...	-218.25	5 of 5 - Sale <sup>25</sup>
04/27/20	125.000	814.97	Various	1,932.25	...	-1,117.28	Total of 5 transactions

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Account 158724484

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
08/18/20	2.000	3,823.91	08/11/20	2,928.00	...	895.91	Sale <sup>25</sup>
09/15/20	1.000	460.00	09/09/20	359.25	...	100.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,283.91</b>		<b>3,287.25</b>	...	<b>996.66</b>	
3M COMPANY / CUSIP: 88579Y101 / Symbol:							
03/25/20	5.000	664.98	03/03/20	725.00	60.02 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	1.000	150.05	03/03/20	145.00	...	5.05	1 of 3 - Sale <sup>25</sup>
	4.000	600.19	03/03/20	580.00	...	20.19	2 of 3 - Sale <sup>25</sup>
	5.000	750.23	03/20/20	705.02	...	45.21	3 of 3 - Sale <sup>25</sup>
04/14/20	10.000	1,500.47	Various	1,430.02	...	70.45	Total of 3 transactions
	<b>Security total:</b>	<b>2,165.45</b>		<b>2,155.02</b>	<b>60.02 W</b>	<b>70.45</b>	
VALERO ENERGY CORPORATION / CUSIP: 91913Y100 / Symbol:							
	9 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	12.000	518.99	04/02/20	535.94	...	-16.95	1 of 9 - Sale <sup>25</sup>
	8.000	345.99	04/02/20	357.29	...	-11.30	2 of 9 - Sale <sup>25</sup>
	8.000	345.99	04/02/20	357.29	...	-11.30	3 of 9 - Sale <sup>25</sup>
	3.000	129.00	04/02/20	129.00	...	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	43.25	04/02/20	42.70	...	0.55	5 of 9 - Sale <sup>25</sup>
	1.000	43.25	04/02/20	42.70	...	0.55	6 of 9 - Sale <sup>25</sup>
	20.000	859.97	04/02/20	833.20	...	26.77	7 of 9 - Sale <sup>25</sup>
	18.000	778.48	04/02/20	743.22	...	35.26	8 of 9 - Sale <sup>25</sup>
	50.000	2,162.44	04/02/20	2,083.00	...	79.44	9 of 9 - Sale <sup>25</sup>
04/02/20	121.000	5,227.36	Various	5,124.34	...	103.02	Total of 9 transactions
	5 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	10.000	429.99	04/02/20	460.00	30.01 W	0.00	1 of 5 - Sale <sup>25</sup>
	8.000	343.99	04/02/20	368.00	24.01 W	0.00	2 of 5 - Sale <sup>25</sup>
	12.000	515.98	04/02/20	552.00	36.02 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	43.25	04/02/20	44.66	1.41 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	43.25	04/02/20	44.66	1.41 W	0.00	5 of 5 - Sale <sup>25</sup>
04/02/20	32.000	1,376.46	Various	1,469.32	92.86 W	0.00	Total of 5 transactions
11/09/20	50.000	2,424.94	09/08/20	2,500.00	...	-75.06	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VALERO ENERGY CORPORATION / CUSIP: 91913Y100 / Symbol: (cont'd)							
3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	5.000	254.60	10/01/20	200.00	...	54.60	1 of 3 - Sale <sup>25</sup>
	20.000	1,017.97	10/01/20	800.00	...	217.97	2 of 3 - Sale <sup>25</sup>
	25.000	1,272.47	10/01/20	1,000.00	...	272.47	3 of 3 - Sale <sup>25</sup>
11/13/20	50.000	2,545.04	Various	2,000.00	...	545.04	Total of 3 transactions
	<b>Security total:</b>	<b>11,573.80</b>		<b>11,093.66</b>	<b>92.86 W</b>	<b>573.00</b>	
VANGUARD DIVIDEND APPRECIATION ETF / CUSIP: 921908844 / Symbol:							
02/28/20	10.000	1,145.97	02/28/20	1,123.32	...	22.65	Sale <sup>25</sup>
VANGUARD TOTAL STOCK MARKET ET F / CUSIP: 922908769 / Symbol:							
3 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	5.000	700.68	04/13/20	682.50	...	18.18	1 of 3 - Sale <sup>25</sup>
	25.000	3,503.42	04/13/20	3,420.00	...	83.42	2 of 3 - Sale <sup>25</sup>
	25.000	3,503.41	04/13/20	3,412.50	...	90.91	3 of 3 - Sale <sup>25</sup>
04/14/20	55.000	7,707.51	Various	7,515.00	...	192.51	Total of 3 transactions
5 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	139.29	04/15/20	138.84	...	0.45	1 of 5 - Sale <sup>25</sup>
	2.000	278.57	04/15/20	277.10	...	1.47	2 of 5 - Sale <sup>25</sup>
	10.000	1,392.87	04/15/20	1,385.50	...	7.37	3 of 5 - Sale <sup>25</sup>
	13.000	1,810.73	04/15/20	1,801.28	...	9.45	4 of 5 - Sale <sup>25</sup>
	24.000	3,342.88	04/15/20	3,312.00	...	30.88	5 of 5 - Sale <sup>25</sup>
04/16/20	50.000	6,964.34	Various	6,914.72	...	49.62	Total of 5 transactions
	<b>Security total:</b>	<b>14,671.85</b>		<b>14,429.72</b>	...	<b>242.13</b>	
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	10.000	839.98	02/13/20	875.00	...	-35.02	1 of 2 - Sale <sup>25</sup>
	10.000	839.98	02/13/20	837.50	...	2.48	2 of 2 - Sale <sup>25</sup>
04/09/20	20.000	1,679.96	Various	1,712.50	...	-32.54	Total of 2 transactions
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	5.000	441.24	02/19/20	400.00	...	41.24	1 of 2 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAYFAIR INC. / CUSIP: 94419L101 / Symbol: (cont'd)							
	5.000	441.24	02/24/20	375.00	...	66.24	2 of 2 - Sale <sup>25</sup>
04/17/20	10.000	882.48	Various	775.00	...	107.48	Total of 2 transactions
	<b>Security total:</b>	<b>2,562.44</b>		<b>2,487.50</b>	...	<b>74.94</b>	
WESTROCK COMPANY / CUSIP: 96145D105 / Symbol:							
05/08/20	35.000	936.22	05/05/20	927.50	...	8.72	Sale <sup>25</sup>
05/20/20	3.000	76.35	05/05/20	79.50	3.15 W	0.00	Sale <sup>25</sup>
	3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	12.000	349.07	05/05/20	318.00	...	31.07	1 of 3 - Sale <sup>25</sup>
	3.000	87.27	05/13/20	75.93	...	11.34	2 of 3 - Sale <sup>25</sup>
	12.000	349.07	05/13/20	291.12	...	57.95	3 of 3 - Sale <sup>25</sup>
05/27/20	27.000	785.41	Various	685.05	...	100.36	Total of 3 transactions
06/30/20	10.000	280.14	06/25/20	257.50	...	22.64	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,078.12</b>		<b>1,949.55</b>	<b>3.15 W</b>	<b>131.72</b>	
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
05/06/20	25.000	1,799.96	08/26/19	1,762.50	...	37.46	Sale <sup>25</sup>
	7 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	10.000	769.98	08/26/19	701.50	...	68.48	1 of 7 - Sale <sup>25</sup>
	1.000	77.00	08/28/19	69.50	...	7.50	2 of 7 - Sale <sup>25</sup>
	5.000	384.99	08/28/19	344.57	...	40.42	3 of 7 - Sale <sup>25</sup>
	9.000	692.98	08/28/19	625.50	...	67.48	4 of 7 - Sale <sup>25</sup>
	1.000	78.00	09/03/19	66.02	...	11.98	5 of 7 - Sale <sup>25</sup>
	14.000	1,091.97	09/03/19	924.28	...	167.69	6 of 7 - Sale <sup>25</sup>
	10.000	779.98	09/05/19	645.00	...	134.98	7 of 7 - Sale <sup>25</sup>
05/12/20	50.000	3,874.90	Various	3,376.37	...	498.53	Total of 7 transactions
	<b>Security total:</b>	<b>5,674.86</b>		<b>5,138.87</b>	...	<b>535.99</b>	
ATLASSIAN CORPORATION PLC CLAS S A ORDINARY SHARES / CUSIP: G06242104 / Symbol:							
06/10/20	10.000	1,779.96	06/04/20	1,721.00	...	58.96	Sale <sup>25</sup>
BROOKFIELD RENEWABLE PARTNERS L.P. / CUSIP: G16258108 / Symbol:							
12/18/20	0.977	39.18	07/24/20	26.52	...	12.66	Cash in lieu
<b>Totals :</b>		<b>459,997.84</b>		<b>538,289.42</b>	<b>56,281.04 W</b>	<b>-22,010.54</b>	

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Robinhood Securities LLC

Account 158724484

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Gain or loss(-) &amp; Additional information</b>
CONSTELLATION BRANDS, INC. / CUSIP: 21036P108 / Symbol:							
11/13/20	5.000	1,009.98	11/11/19	921.75	...	88.23	Sale <sup>25</sup>
11/16/20	1.000	207.99	11/15/19	179.65	...	28.34	Sale <sup>25</sup>
11/24/20	2.000	415.99	11/19/19	349.78	...	66.21	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,633.96</b>		<b>1,451.18</b>	...	<b>182.78</b>	
<b>Totals :</b>		<b>1,633.96</b>		<b>1,451.18</b>		<b>182.78</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 158724484

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol: 11/23/20	0.267	4.11	03/19/20	0.00	...	4.11	Gain or loss
<b>Totals:</b>		<b>4.11</b>		<b>0.00</b>	...	<b>4.11</b>	

Robinhood Securities LLC

Account 158724484

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102		02/03/20	13.00	Qualified dividend	
			05/01/20	39.00	Qualified dividend	
			08/03/20	52.00	Qualified dividend	
			11/02/20	53.13	Qualified dividend	
				<b>157.13</b>	<b>Total Dividends &amp; distributions</b>	
ARK INNOVATION ETF	00214Q104		12/31/20	32.47	Short-term capital gain	03
			12/31/20	8.47	Long-term capital gain	
			12/31/20	0.63	Qualified dividend	03
				<b>41.57</b>	<b>Total Dividends &amp; distributions</b>	
ARK AUTONOMOUS TECHNOLOGY & ROBOTICS ETF	00214Q203		12/31/20	3.41	Short-term capital gain	03
			12/31/20	0.44	Qualified dividend	03
			12/31/20	0.16	Long-term capital gain	
	<b>4.01</b>	<b>Total Dividends &amp; distributions</b>				
ARK GENOMIC REVOLUTION ETF	00214Q302		12/31/20	18.08	Short-term capital gain	03
			12/31/20	15.51	Long-term capital gain	
			12/31/20	0.03	Qualified dividend	03
	<b>33.62</b>	<b>Total Dividends &amp; distributions</b>				
ARK NEXT GENERATION INTERNET E TF	00214Q401		12/31/20	6.76	Short-term capital gain	03
			12/31/20	0.11	Qualified dividend	03
	<b>6.87</b>	<b>Total Dividends &amp; distributions</b>				
ARK FINTECH INNOVATION ETF	00214Q708		12/31/20	1.33	Short-term capital gain	03
			12/31/20	0.28	Long-term capital gain	
			12/31/20	0.22	Qualified dividend	03
	<b>1.83</b>	<b>Total Dividends &amp; distributions</b>				
APPLE INC. COMMON STOCK	037833100		11/12/20	0.36	Qualified dividend	

Robinhood Securities LLC

Account 158724484

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BP P.L.C.	055622104		06/19/20	15.75	Qualified dividend	
			09/25/20	12.60	Qualified dividend	
			12/18/20	20.18	Qualified dividend	
				<b>48.53</b>	<b>Total Dividends &amp; distributions</b>	
BARRICK GOLD CORP.	067901108		06/15/20	7.00	Nonqualified dividend	
			06/15/20	-1.05	Foreign tax withheld-CA	
				<b>7.00</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-1.05</b>	<b>Total Foreign tax withheld</b>	
BOEING COMPANY	097023105		03/06/20	20.55	Qualified dividend	
BROADCOM INC. COMMON STOCK	11135F101		03/31/20	32.50	Qualified dividend	
CVR ENERGY, INC.	12662P108		03/09/20	13.60	Qualified dividend	
			05/26/20	100.00	Qualified dividend	
				<b>113.60</b>	<b>Total Dividends &amp; distributions</b>	
VELOCITYSHARES3X LONG CRUDE OIL ETNS LINKED TO THE S&P GSCI CRUDE OIL INDEX ER	17325E291		04/03/20	247.05	Cash liquidation	
COCA-COLA COMPANY	191216100		10/01/20	0.25	Qualified dividend	
CONSTELLATION BRANDS, INC.	21036P108		02/25/20	11.25	Qualified dividend	
			05/19/20	15.00	Qualified dividend	
			08/25/20	15.00	Qualified dividend	
			11/20/20	15.51	Qualified dividend	
				<b>56.76</b>	<b>Total Dividends &amp; distributions</b>	
DIREXION DAILY TECHNOLOGY BULL 3X SHARES	25459W102		03/31/20	1.72	Nonqualified dividend	03
			03/31/20	0.04	Qualified dividend	03
				<b>1.76</b>	<b>Total Dividends &amp; distributions</b>	
DIREXION DAILY SEMICONDUCTOR BULL 3X SHARES	25459W458		03/31/20	0.66	Nonqualified dividend	03
			03/31/20	0.13	Qualified dividend	03
				<b>0.79</b>	<b>Total Dividends &amp; distributions</b>	
DIREXION DAILY S&P OIL & GAS EXP. & PROD. BEAR 2X SHARES	25460G658		12/30/20	0.42	Nonqualified dividend	03



Robinhood Securities LLC

Account 158724484

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
EQUITRANS MIDSTREAM CORPORATIO N COMMON STOCK	294600101		08/13/20	30.00	Qualified dividend	
			11/13/20	30.00	Qualified dividend	
				<b>60.00</b>	<b>Total Dividends &amp; distributions</b>	
FEDEX CORPORATION	31428X106		04/01/20	12.35	Qualified dividend	
			07/13/20	13.00	Qualified dividend	
				<b>25.35</b>	<b>Total Dividends &amp; distributions</b>	
GENERAL DYNAMICS CORPORATION	369550108		02/07/20	10.20	Qualified dividend	
			11/13/20	5.75	Qualified dividend	
				<b>15.95</b>	<b>Total Dividends &amp; distributions</b>	
GILEAD SCIENCES, INC. COMMON S TOCK	375558103		12/30/20	20.40	Qualified dividend	
HASBRO, INC. COMMON STOCK	418056107		05/15/20	6.80	Qualified dividend	
			08/17/20	6.80	Qualified dividend	
			11/16/20	11.80	Qualified dividend	
				<b>25.40</b>	<b>Total Dividends &amp; distributions</b>	
INTEL CORPORATION COMMON STOCK	458140100		12/01/20	19.17	Qualified dividend	
ISHARES 20 YEAR TREASURY BOND ETF	464287432		12/23/20	1.64	Nonqualified dividend	03
ISHARES CORE DIVIDEND GROWTH E TF	46434V621		03/31/20	24.68	Qualified dividend	
MSC INDUSTRIAL DIRECT CO., INC . CLASS A	553530106		02/05/20	75.00	Qualified dividend	
			02/05/20	11.25	Qualified dividend	
			04/28/20	11.25	Qualified dividend	
				<b>97.50</b>	<b>Total Dividends &amp; distributions</b>	
NORTONLIFELOCK INC. COMMON STO CK	668771108		02/03/20	6,780.00	Qualified dividend	
OCCIDENTAL PETROLEUM CORPORATI ON	674599105		01/15/20	158.00	Qualified dividend	
			04/15/20	16.59	Qualified dividend	
			07/15/20	2.00	Qualified dividend	
			10/15/20	2.00	Qualified dividend	
				<b>178.59</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 158724484

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
PFIZER INC.	717081103		06/05/20	9.50	Qualified dividend	
			09/01/20	15.20	Qualified dividend	
			12/01/20	16.51	Qualified dividend	
				<b>41.21</b>	<b>Total Dividends &amp; distributions</b>	
ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107		12/16/20	33.30	Qualified dividend	
SPDR PORTFOLIO S&P 500 GROWTH ETF	78464A409		03/26/20	13.59	Qualified dividend	
SCHLUMBERGER LIMITED	806857108		01/10/20	50.00	Qualified dividend	
			04/09/20	25.00	Qualified dividend	
				<b>75.00</b>	<b>Total Dividends &amp; distributions</b>	
ENERGY SELECT SECTOR SPDR FUND	81369Y506		12/24/20	11.84	Qualified dividend	03
			12/24/20	1.16	Nonqualified dividend	03
				<b>13.00</b>	<b>Total Dividends &amp; distributions</b>	
UTILITIES SELECT SECTOR SPDR FUND	81369Y886		03/26/20	11.85	Qualified dividend	
SIMON PROPERTY GROUP, INC.	828806109		10/23/20	2.23	Section 199A dividend	03
			10/23/20	0.19	Qualified dividend	03
			10/23/20	0.06	Long-term capital gain	03
			10/23/20	0.01	Unrecaptured section 1250 gain	03
			01/22/21	4.45	Section 199A dividend	03
			01/22/21	0.38	Qualified dividend	03
			01/22/21	0.12	Long-term capital gain	03
01/22/21	0.01	Unrecaptured section 1250 gain	03			
				<b>7.45</b>	<b>Total Dividends &amp; distributions</b>	
WESTROCK COMPANY	96145D105		05/28/20	6.00	Qualified dividend	
				<b>8,224.68</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-1.05</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 158724484

## Detail for Miscellaneous Income

2020

02/14/2021

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
CVR ENERGY, INC.	12662P108	03/09/20	154.40	Substitute payment	
CLEVELAND-CLIFFS INC.	185899101	04/15/20	0.06	Substitute payment	
DIREXION DAILY TECHNOLOGY BULL 3X SHARES	25459W102	03/31/20	0.19	Substitute payment	
FEDEX CORPORATION	31428X106	04/01/20	0.65	Substitute payment	
NGL ENERGY PARTNERS LP	62913M107	02/14/20	0.39	Substitute payment	
OCCIDENTAL PETROLEUM CORPORATION	674599105	04/15/20	62.41	Substitute payment	
TANGER FACTORY OUTLET CENTERS	875465106	02/14/20	44.38	Substitute payment	
			<b>262.48</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

Account 158724484

## Other Receipts and Reconciliations

2020

02/14/2021

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
EQM MIDSTREAM PARTNERS, LP	26885B100	02/13/20	145.00	Partnership distribution	
		05/14/20	48.44	Partnership distribution	
			193.44	Total Partnership distribution	
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	02/19/20	15.25	Partnership distribution	
		05/19/20	131.15	Partnership distribution	
		08/19/20	76.25	Partnership distribution	
		11/19/20	76.25	Partnership distribution	
		298.90	Total Partnership distribution		
ENTERPRISE PRODUCTS PARTNERS L.P.	293792107	02/12/20	22.25	Partnership distribution	
		05/12/20	44.50	Partnership distribution	
		08/12/20	111.25	Partnership distribution	
		11/12/20	133.50	Partnership distribution	
		311.50	Total Partnership distribution		
NGL ENERGY PARTNERS LP	62913M107	02/14/20	58.11	Partnership distribution	
		05/15/20	40.00	Partnership distribution	
		08/14/20	40.00	Partnership distribution	
		11/13/20	30.00	Partnership distribution	
		168.11	Total Partnership distribution		
BROOKFIELD RENEWABLE PARTNERS L.P.	G16258108	09/30/20	17.23	Partnership distribution	
		09/30/20	-2.58	Partnership distribution fgn tax	
		12/31/20	18.10	Partnership distribution	
		12/31/20	4.44	Partnership distribution	
		12/31/20	0.01	Partnership distribution	
		12/31/20	-2.72	Partnership distribution fgn tax	
		39.78	Total Partnership distribution		
		-5.30	Total Partnership distribution fgn tax		
		<b>1,011.73</b>	<b>Total Partnership distribution</b>		
		<b>-5.30</b>	<b>Total Partnership distribution fgn tax</b>		

Robinhood Securities LLC

Account 158724484

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/27/20	-5.00	Margin interest paid	
		01/27/20	-5.85	Margin interest paid	
		02/25/20	-5.00	Margin interest paid	
		02/25/20	-49.92	Margin interest paid	
		03/26/20	-107.11	Margin interest paid	
		04/27/20	-58.49	Margin interest paid	
		05/26/20	-7.56	Margin interest paid	
		06/24/20	-5.00	Margin interest paid	
		07/14/20	1.03	Adj- Margin interest paid	
		07/24/20	-5.00	Margin interest paid	
		08/24/20	-5.00	Margin interest paid	
		09/22/20	-5.00	Margin interest paid	
		10/22/20	-5.00	Margin interest paid	
		11/23/20	-5.00	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
			-272.90	Total Margin interest paid	
			<b>-272.90</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 158724484

**Mutual Fund and UIT Supplemental Information**

2020

02/14/2021

*The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

**ISHARES 20 YEAR TREASURY BOND ETF / 464287432****PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES****Fed Source Total**

99.39% (A detailed breakdown is shown below when available)

<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>	<u>Agency</u>	<u>%</u>
U.S. Treasury	99.39	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

Robinhood Securities LLC

Account 158724484

**Foreign Income and Taxes Summary**

2020

02/14/2021

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	BARRICK GOLD CORP.	067901108	7.00	0.00	0.00	7.00	-1.05	
<b>Total CANADA</b>			<b>7.00</b>	<b>0.00</b>	<b>0.00</b>	<b>7.00</b>	<b>-1.05</b>	
NL	ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107	0.00	33.30	0.00	33.30	0.00	
<b>Total NETHERLANDS</b>			<b>0.00</b>	<b>33.30</b>	<b>0.00</b>	<b>33.30</b>	<b>0.00</b>	
UK	BP P.L.C.	055622104	0.00	48.53	0.00	48.53	0.00	
<b>Total UNITED KINGDOM</b>			<b>0.00</b>	<b>48.53</b>	<b>0.00</b>	<b>48.53</b>	<b>0.00</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>88.83</b>				
	<b>Qualified Foreign Source Income**</b>			<b>81.83</b>				
	<b>Foreign Tax</b>			<b>-1.05</b>				

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 158724484

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BROOKFIELD RENEWABLE CORPORATI ON CLASS A SUBORDINATE VOTING SHARES	11284V105	07/30/20	5.00	0.00	Stock spinoff	
VELOCITYSHARES3X LONG CRUDE OI L ETNS LINKED TO THE S&P GSCI CRUDE OIL INDEX ER	17325E291	04/03/20	-1,500.00	0.00	Exchange	
EQUITRANS MIDSTREAM CORPORATIO N COMMON STOCK	294600101	06/17/20	244.00	0.00	Merger	
OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK	674599162	08/03/20	25.00	0.00	Stock spinoff	
VIATRIS INC. COMMON STOCK	92556V106	11/17/20	9.00	0.00	Stock spinoff	



03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

### Instructions for Recipient

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

### 1099-MISC Instructions for Recipient

**Line 2.** Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 16-18.** Show state or local income tax withheld from the payments.

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