

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 125489310</b>	<b>Statement Date:</b> 02/14/2021 <b>Document ID:</b> 2311 41H 3V14	<b>2020</b>
	Ranadheer Kelari 50 Anderson St d1 Hackensack, NJ 07601  RECIPIENT'S TIN: XXX-XX-7213		

[ ] FATCA filing requirement (see instructions)		Summary Information			
<b>DIVIDENDS AND DISTRIBUTIONS</b>	<b>2020 1099-DIV*</b>	OMB No. 1545-0110	<b>MISCELLANEOUS INCOME</b>	<b>2020 1099-MISC*</b>	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	19.25		2- Royalties	0.00	
1b- Qualified dividends	19.25		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	8.10		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,628,297.78	1,931,424.97	0.00	347,270.34	44,143.15
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	3.14	0.00	0.00	0.00	3.14
	<b>Total Short-term</b>	<b>1,628,300.92</b>	<b>1,931,424.97</b>	<b>0.00</b>	<b>347,270.34</b>	<b>44,146.29</b>
Long	D (basis reported to the IRS)	0.70	3.66	0.00	0.00	-2.96
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.70</b>	<b>3.66</b>	<b>0.00</b>	<b>0.00</b>	<b>-2.96</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,628,301.62</b>	<b>1,931,428.63</b>	<b>0.00</b>	<b>347,270.34</b>	<b>44,143.33</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 125489310

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME****2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	45.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	79.99	07/21/20	90.00	10.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	80.00	07/21/20	90.00	10.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	79.99	07/22/20	90.00	10.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/28/20	3.000	239.98	Various	270.00	30.02 W	0.00	Total of 3 transactions
10 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	55.00	07/22/20	100.01	45.01 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	55.00	07/22/20	100.00	45.00 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	55.00	07/23/20	95.01	40.01 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 01/15/2021 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
	1.000	55.00	07/23/20	85.00	30.00 W	0.00	4 of 10 - Option sale to close-call 25
	3.000	164.99	07/23/20	255.00	90.01 W	0.00	5 of 10 - Option sale to close-call 25
	3.000	164.99	07/24/20	225.00	60.01 W	0.00	6 of 10 - Option sale to close-call 25
	3.000	164.99	07/27/20	225.00	60.01 W	0.00	7 of 10 - Option sale to close-call 25
	1.000	55.00	07/28/20	65.00	10.00 W	0.00	8 of 10 - Option sale to close-call 25
	6.000	329.97	07/28/20	390.00	60.03 W	0.00	9 of 10 - Option sale to close-call 25
	5.000	274.97	07/30/20	325.00	50.03 W	0.00	10 of 10 - Option sale to close-call 25
07/30/20	25.000	1,374.91	Various	1,865.02	490.11 W	0.00	Total of 10 transactions
	17 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	1.000	89.99	07/30/20	80.00	...	9.99	1 of 17 - Option sale to close-call 25
	1.000	89.98	07/30/20	70.01	...	19.97	2 of 17 - Option sale to close-call 25
	2.000	179.99	07/30/20	160.01	...	19.98	3 of 17 - Option sale to close-call 25
	1.000	89.99	07/30/20	70.01	...	19.98	4 of 17 - Option sale to close-call 25
	1.000	89.98	07/30/20	70.00	...	19.98	5 of 17 - Option sale to close-call 25
	1.000	89.99	07/30/20	70.00	...	19.99	6 of 17 - Option sale to close-call 25
	1.000	89.99	07/30/20	70.00	...	19.99	7 of 17 - Option sale to close-call 25
	3.000	269.99	07/30/20	240.01	...	29.98	8 of 17 - Option sale to close-call 25
	2.000	179.98	07/30/20	140.01	...	39.97	9 of 17 - Option sale to close-call 25

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 01/15/2021 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
	1.000	89.98	07/31/20	62.01	...	27.97	10 of 17 - Option sale to close-call <sup>25</sup>
	1.000	89.99	07/31/20	62.01	...	27.98	11 of 17 - Option sale to close-call <sup>25</sup>
	1.000	89.99	07/31/20	60.01	...	29.98	12 of 17 - Option sale to close-call <sup>25</sup>
	1.000	90.00	07/31/20	60.01	...	29.99	13 of 17 - Option sale to close-call <sup>25</sup>
	1.000	89.99	07/31/20	55.01	...	34.98	14 of 17 - Option sale to close-call <sup>25</sup>
	1.000	89.99	07/31/20	45.01	...	44.98	15 of 17 - Option sale to close-call <sup>25</sup>
	1.000	90.00	07/31/20	45.01	...	44.99	16 of 17 - Option sale to close-call <sup>25</sup>
	3.000	269.99	07/31/20	186.01	...	83.98	17 of 17 - Option sale to close-call <sup>25</sup>
08/03/20	23.000	2,069.81	Various	1,545.13	...	524.68	Total of 17 transactions
	5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	3.000	269.99	07/30/20	270.01	0.01 W	-0.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	90.00	07/30/20	105.01	15.01 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	89.99	07/30/20	105.00	15.01 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	90.00	07/30/20	100.01	10.01 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	89.99	07/30/20	90.00	0.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
08/03/20	7.000	629.97	Various	670.03	40.05 W	-0.01	Total of 5 transactions
	<b>Security total:</b>	<b>4,314.67</b>		<b>4,350.18</b>	<b>560.18 W</b>	<b>524.67</b>	
GNUS 04/16/2021 CALL \$3.00 / CUSIP: / Symbol:							
	2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	1.000	39.98	08/24/20	35.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	199.98	08/24/20	175.00	...	24.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/26/20	6.000	239.96	Various	210.00	...	29.96	Total of 2 transactions
09/03/20	4.000	159.98	08/24/20	140.00	...	19.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>399.94</b>		<b>350.00</b>	...	<b>49.94</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GNUS 07/10/2020 CALL \$5.00 / CUSIP: / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	9.000	179.97	07/02/20	225.00	...	-45.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	20.00	07/02/20	25.02	...	-5.02	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	99.99	07/02/20	100.00	...	-0.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/06/20	15.000	299.96	Various	350.02	...	-50.06	Total of 3 transactions
07/06/20	1.000	19.98	07/02/20	20.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>319.94</b>		<b>370.02</b>	<b>0.02 W</b>	<b>-50.06</b>	
GNUS 08/28/2020 CALL \$2.00 / CUSIP: / Symbol:							
9 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	5.000	124.99	08/07/20	125.00	...	-0.01	1 of 9 - Option sale to close-call <sup>25</sup>
	5.000	124.99	08/10/20	125.02	...	-0.03	2 of 9 - Option sale to close-call <sup>25</sup>
	4.000	99.99	08/10/20	100.00	...	-0.01	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	25.00	08/10/20	25.00	...	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	49.99	08/11/20	40.00	...	9.99	5 of 9 - Option sale to close-call <sup>25</sup>
	8.000	199.97	08/11/20	160.00	...	39.97	6 of 9 - Option sale to close-call <sup>25</sup>
	5.000	124.99	08/12/20	100.00	...	24.99	7 of 9 - Option sale to close-call <sup>25</sup>
	5.000	124.98	08/12/20	75.00	...	49.98	8 of 9 - Option sale to close-call <sup>25</sup>
	5.000	124.99	08/12/20	75.00	...	49.99	9 of 9 - Option sale to close-call <sup>25</sup>
08/13/20	40.000	999.89	Various	825.02	...	174.87	Total of 9 transactions
08/13/20	5.000	124.98	08/07/20	125.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,124.87</b>		<b>950.02</b>	<b>0.02 W</b>	<b>174.87</b>	
GNUS 09/04/2020 CALL \$2.00 / CUSIP: / Symbol:							
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	08/17/20	150.00	...	-150.00	1 of 3 - Option expiration- long call
	8.000	0.00	08/18/20	80.00	...	-80.00	2 of 3 - Option expiration- long call
	2.000	0.00	08/18/20	20.00	...	-20.00	3 of 3 - Option expiration- long call
09/04/20	20.000	0.00	Various	250.00	...	-250.00	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOS 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	54.99	07/21/20	55.00	...	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	54.99	07/21/20	55.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	2.000	109.98	Various	110.00	...	-0.02	Total of 2 transactions
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol:							
10 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	132.00	07/08/20	132.00	...	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	2.000	263.99	07/08/20	262.00	...	1.99	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	131.99	07/09/20	124.00	...	7.99	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	132.00	07/09/20	120.00	...	12.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	131.99	07/09/20	116.00	...	15.99	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	132.00	07/09/20	116.00	...	16.00	6 of 10 - Option sale to close-call <sup>25</sup>
	2.000	263.99	07/09/20	234.00	...	29.99	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	131.99	07/10/20	112.00	...	19.99	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	132.00	07/13/20	112.00	...	20.00	9 of 10 - Option sale to close-call <sup>25</sup>
	2.000	263.99	07/13/20	216.00	...	47.99	10 of 10 - Option sale to close-call <sup>25</sup>
07/22/20	13.000	1,715.94	Various	1,544.00	...	171.94	Total of 10 transactions
4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	5.000	659.97	06/29/20	795.00	135.03 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	659.97	07/06/20	715.00	55.03 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	132.00	07/07/20	136.00	4.00 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	131.99	07/07/20	132.00	0.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/22/20	12.000	1,583.93	Various	1,778.00	194.07 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
07/23/20	1.000	131.00	07/14/20	129.00	...	2.00	Option sale to close-call <sup>25</sup>
	3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	130.99	07/13/20	135.01	4.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	130.99	07/13/20	136.01	5.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	130.99	07/14/20	146.00	15.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/23/20	3.000	392.97	Various	417.02	24.05 W	0.00	Total of 3 transactions
	11 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	4.000	583.98	07/27/20	540.02	...	43.96	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	145.99	07/28/20	136.01	...	9.98	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	145.99	07/28/20	130.02	...	15.97	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	145.99	07/28/20	129.02	...	16.97	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	146.00	07/28/20	129.00	...	17.00	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	146.00	07/28/20	125.01	...	20.99	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	146.00	08/03/20	140.03	...	5.97	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	145.99	08/03/20	136.01	...	9.98	8 of 11 - Option sale to close-call <sup>25</sup>
	3.000	437.99	08/03/20	363.00	...	74.99	9 of 11 - Option sale to close-call <sup>25</sup>
	2.000	291.99	08/07/20	218.00	...	73.99	10 of 11 - Option sale to close-call <sup>25</sup>
	5.000	729.97	08/07/20	555.00	...	174.97	11 of 11 - Option sale to close-call <sup>25</sup>
08/11/20	21.000	3,065.89	Various	2,601.12	...	464.77	Total of 11 transactions
08/11/20	1.000	141.98	07/24/20	161.01	19.03 W	0.00	Option sale to close-call <sup>25</sup>
	5 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	1.000	63.98	09/01/20	93.00	29.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	9.000	584.96	09/01/20	837.00	252.04 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	65.00	09/01/20	121.02	56.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	129.99	09/01/20	184.00	54.01 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	129.99	09/01/20	184.00	54.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
09/09/20	15.000	973.92	Various	1,419.02	445.10 W	0.00	Total of 5 transactions
	9 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	1.000	49.00	09/02/20	115.00	66.00 W	0.00	1 of 9 - Option sale to close-call <sup>25</sup>
	4.000	195.99	09/02/20	460.02	264.03 W	0.00	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	49.00	09/03/20	141.02	92.02 W	0.00	3 of 9 - Option sale to close-call <sup>25</sup>
	4.000	195.99	09/03/20	452.02	256.03 W	0.00	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	97.99	09/03/20	226.01	128.02 W	0.00	5 of 9 - Option sale to close-call <sup>25</sup>
	2.000	97.99	09/03/20	226.01	128.02 W	0.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	49.00	09/03/20	86.00	37.00 W	0.00	7 of 9 - Option sale to close-call <sup>25</sup>
	5.000	244.98	09/03/20	415.00	170.02 W	0.00	8 of 9 - Option sale to close-call <sup>25</sup>
	5.000	244.98	09/03/20	410.00	165.02 W	0.00	9 of 9 - Option sale to close-call <sup>25</sup>
09/15/20	25.000	1,224.92	Various	2,531.08	1,306.16 W	0.00	Total of 9 transactions
	10 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.000	45.00	09/08/20	138.00	93.00 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	4.000	179.98	09/08/20	552.03	372.05 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	45.00	09/08/20	161.02	116.02 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>
	4.000	179.98	09/08/20	532.03	352.05 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	45.00	09/09/20	129.01	84.01 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	45.00	09/09/20	129.01	84.01 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup>
	2.000	89.99	09/09/20	258.02	168.03 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	45.00	09/09/20	102.00	57.00 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup>
	3.000	134.99	09/09/20	294.01	159.02 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	2.000	89.98	09/09/20	196.01	106.03 W	0.00	10 of 10 - Option sale to close-call <sup>25</sup>
09/23/20	20.000	899.92	Various	2,491.14	1,591.22 W	0.00	Total of 10 transactions
	6 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	5.000	284.98	09/15/20	275.00	...	9.98	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	113.99	09/15/20	102.00	...	11.99	2 of 6 - Option sale to close-call <sup>25</sup>
	5.000	284.97	09/15/20	270.00	...	14.97	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	284.98	09/15/20	270.00	...	14.98	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	170.99	09/15/20	153.00	...	17.99	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	284.97	09/15/20	265.00	...	19.97	6 of 6 - Option sale to close-call <sup>25</sup>
10/09/20	25.000	1,424.88	Various	1,335.00	...	89.88	Total of 6 transactions
	13 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	5.000	284.98	09/10/20	475.02	190.04 W	0.00	1 of 13 - Option sale to close-call <sup>25</sup>
	1.000	57.00	09/10/20	155.00	98.00 W	0.00	2 of 13 - Option sale to close-call <sup>25</sup>
	4.000	227.99	09/10/20	620.05	392.06 W	0.00	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	57.00	09/10/20	173.02	116.02 W	0.00	4 of 13 - Option sale to close-call <sup>25</sup>
	4.000	227.99	09/10/20	580.05	352.06 W	0.00	5 of 13 - Option sale to close-call <sup>25</sup>
	1.000	57.00	09/14/20	136.01	79.01 W	0.00	6 of 13 - Option sale to close-call <sup>25</sup>
	1.000	57.00	09/14/20	136.01	79.01 W	0.00	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	57.00	09/14/20	136.02	79.02 W	0.00	8 of 13 - Option sale to close-call <sup>25</sup>
	1.000	57.00	09/14/20	136.01	79.01 W	0.00	9 of 13 - Option sale to close-call <sup>25</sup>
	1.000	57.00	09/14/20	109.00	52.00 W	0.00	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	57.00	09/15/20	108.02	51.02 W	0.00	11 of 13 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	3.000	170.99	09/15/20	324.02	153.03 W	0.00	12 of 13 - Option sale to close-call <sup>25</sup>
	1.000	57.00	09/15/20	108.01	51.01 W	0.00	13 of 13 - Option sale to close-call <sup>25</sup>
10/09/20	25.000	1,424.95	Various	3,196.24	1,771.29 W	0.00	Total of 13 transactions
9 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	5.000	264.98	09/24/20	240.00	...	24.98	1 of 9 - Option sale to close-call <sup>25</sup>
	5.000	264.98	09/24/20	230.00	...	34.98	2 of 9 - Option sale to close-call <sup>25</sup>
	5.000	264.98	10/01/20	230.00	...	34.98	3 of 9 - Option sale to close-call <sup>25</sup>
	5.000	264.97	10/01/20	220.00	...	44.97	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	52.99	10/02/20	42.00	...	10.99	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	52.99	10/02/20	42.00	...	10.99	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	53.00	10/02/20	42.00	...	11.00	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	53.00	10/02/20	42.00	...	11.00	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	53.00	10/02/20	42.00	...	11.00	9 of 9 - Option sale to close-call <sup>25</sup>
11/09/20	25.000	1,324.89	Various	1,130.00	...	194.89	Total of 9 transactions
14 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	53.00	09/23/20	149.00	96.00 W	0.00	1 of 14 - Option sale to close-call <sup>25</sup>
	4.000	211.99	09/23/20	596.06	384.07 W	0.00	2 of 14 - Option sale to close-call <sup>25</sup>
	5.000	264.98	09/23/20	445.04	180.06 W	0.00	3 of 14 - Option sale to close-call <sup>25</sup>
	1.000	53.00	09/23/20	161.02	108.02 W	0.00	4 of 14 - Option sale to close-call <sup>25</sup>
	4.000	211.99	09/23/20	532.06	320.07 W	0.00	5 of 14 - Option sale to close-call <sup>25</sup>
	1.000	53.00	09/23/20	124.01	71.01 W	0.00	6 of 14 - Option sale to close-call <sup>25</sup>
	1.000	53.00	09/23/20	124.01	71.01 W	0.00	7 of 14 - Option sale to close-call <sup>25</sup>
	1.000	53.00	09/23/20	124.02	71.02 W	0.00	8 of 14 - Option sale to close-call <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	53.00	09/23/20	124.01	71.01 W	0.00	9 of 14 - Option sale to close-call <sub>25</sub>
	1.000	53.00	09/23/20	97.00	44.00 W	0.00	10 of 14 - Option sale to close-call <sub>25</sub>
	2.000	105.99	09/23/20	192.02	86.03 W	0.00	11 of 14 - Option sale to close-call <sub>25</sub>
	1.000	53.00	09/23/20	96.01	43.01 W	0.00	12 of 14 - Option sale to close-call <sub>25</sub>
	1.000	53.00	09/23/20	96.02	43.02 W	0.00	13 of 14 - Option sale to close-call <sub>25</sub>
	1.000	53.00	09/23/20	96.01	43.01 W	0.00	14 of 14 - Option sale to close-call <sub>25</sub>
11/09/20	25.000	1,324.95	Various	2,956.29	1,631.34 W	0.00	Total of 14 transactions
11/11/20	5.000	299.97	10/22/20	240.00	...	59.97	Option sale to close-call <sub>25</sub>
	14 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	5.000	299.98	10/12/20	445.06	145.08 W	0.00	1 of 14 - Option sale to close-call <sub>25</sub>
	1.000	60.00	10/14/20	151.00	91.00 W	0.00	2 of 14 - Option sale to close-call <sub>25</sub>
	4.000	239.99	10/14/20	604.07	364.08 W	0.00	3 of 14 - Option sale to close-call <sub>25</sub>
	1.000	60.00	10/19/20	157.02	97.02 W	0.00	4 of 14 - Option sale to close-call <sub>25</sub>
	4.000	239.99	10/19/20	516.07	276.08 W	0.00	5 of 14 - Option sale to close-call <sub>25</sub>
	1.000	60.00	10/22/20	120.01	60.01 W	0.00	6 of 14 - Option sale to close-call <sub>25</sub>
	1.000	60.00	10/22/20	120.01	60.01 W	0.00	7 of 14 - Option sale to close-call <sub>25</sub>
	1.000	60.00	10/22/20	120.02	60.02 W	0.00	8 of 14 - Option sale to close-call <sub>25</sub>
	1.000	60.00	10/22/20	120.01	60.01 W	0.00	9 of 14 - Option sale to close-call <sub>25</sub>
	1.000	59.99	10/22/20	93.00	33.01 W	0.00	10 of 14 - Option sale to close-call <sub>25</sub>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	60.00	10/22/20	92.01	32.01 W	0.00	11 of 14 - Option sale to close-call <sup>25</sup>
	1.000	59.99	10/22/20	92.02	32.03 W	0.00	12 of 14 - Option sale to close-call <sup>25</sup>
	1.000	60.00	10/22/20	92.01	32.01 W	0.00	13 of 14 - Option sale to close-call <sup>25</sup>
	2.000	119.99	10/22/20	184.03	64.04 W	0.00	14 of 14 - Option sale to close-call <sup>25</sup>
11/11/20	25.000	1,499.93	Various	2,906.34	1,406.41 W	0.00	Total of 14 transactions
	4 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	5.000	349.98	10/22/20	385.08	35.10 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	70.00	10/29/20	139.02	69.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	70.00	10/29/20	133.00	63.00 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	209.98	10/29/20	399.06	189.08 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
11/16/20	10.000	699.96	Various	1,056.16	356.20 W	0.00	Total of 4 transactions
	4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	70.99	11/09/20	58.03	...	12.96	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	354.98	11/09/20	320.10	...	34.88	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	354.98	11/17/20	350.00	...	4.98	3 of 4 - Option sale to close-call <sup>25</sup>
	11.000	813.95	11/17/20	770.00	...	43.95	4 of 4 - Option sale to close-call <sup>25</sup>
11/19/20	22.000	1,594.90	Various	1,498.13	...	96.77	Total of 4 transactions
	46 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	73.98	10/29/20	133.02	59.04 W	0.00	1 of 46 - Option sale to close-call <sup>25</sup>
	1.000	73.98	10/29/20	111.02	37.04 W	0.00	2 of 46 - Option sale to close-call <sup>25</sup>
	1.000	73.98	10/29/20	111.02	37.04 W	0.00	3 of 46 - Option sale to close-call <sup>25</sup>
	1.000	73.98	10/29/20	111.02	37.04 W	0.00	4 of 46 - Option sale to close-call <sup>25</sup>
	1.000	74.00	10/29/20	111.02	37.02 W	0.00	5 of 46 - Option sale to close-call <sup>25</sup>
	1.000	74.00	10/29/20	102.01	28.01 W	0.00	6 of 46 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	73.99	10/29/20	102.01	28.02 W	0.00	7 of 46 - Option sale to close-call 25
	1.000	74.00	10/29/20	102.02	28.02 W	0.00	8 of 46 - Option sale to close-call 25
	1.000	73.99	10/29/20	102.01	28.02 W	0.00	9 of 46 - Option sale to close-call 25
	1.000	73.98	10/29/20	75.01	1.03 W	0.00	10 of 46 - Option sale to close-call 25
	2.000	141.99	11/09/20	174.04	32.05 W	0.00	11 of 46 - Option sale to close-call 25
	1.000	71.00	11/09/20	126.02	55.02 W	0.00	12 of 46 - Option sale to close-call 25
	1.000	71.00	11/09/20	120.00	49.00 W	0.00	13 of 46 - Option sale to close-call 25
	3.000	212.99	11/09/20	360.08	147.09 W	0.00	14 of 46 - Option sale to close-call 25
	1.000	71.00	11/09/20	89.01	18.01 W	0.00	15 of 46 - Option sale to close-call 25
	1.000	71.00	11/09/20	89.03	18.03 W	0.00	16 of 46 - Option sale to close-call 25
	1.000	71.00	11/09/20	89.01	18.01 W	0.00	17 of 46 - Option sale to close-call 25
	1.000	71.00	11/09/20	116.04	45.04 W	0.00	18 of 46 - Option sale to close-call 25
	1.000	70.99	11/09/20	94.04	23.05 W	0.00	19 of 46 - Option sale to close-call 25
	1.000	71.00	11/09/20	94.04	23.04 W	0.00	20 of 46 - Option sale to close-call 25
	1.000	70.99	11/09/20	94.04	23.05 W	0.00	21 of 46 - Option sale to close-call 25
	1.000	71.00	11/09/20	94.02	23.02 W	0.00	22 of 46 - Option sale to close-call 25
	1.000	70.99	11/09/20	85.01	14.02 W	0.00	23 of 46 - Option sale to close-call 25

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	71.00	11/09/20	85.02	14.02 W	0.00	24 of 46 - Option sale to close-call 25
	1.000	70.99	11/09/20	85.02	14.03 W	0.00	25 of 46 - Option sale to close-call 25
	1.000	71.00	11/09/20	85.02	14.02 W	0.00	26 of 46 - Option sale to close-call 25
	1.000	71.98	11/17/20	115.04	43.06 W	0.00	27 of 46 - Option sale to close-call 25
	1.000	72.00	11/17/20	125.02	53.02 W	0.00	28 of 46 - Option sale to close-call 25
	1.000	72.00	11/17/20	119.00	47.00 W	0.00	29 of 46 - Option sale to close-call 25
	3.000	215.98	11/17/20	357.09	141.11 W	0.00	30 of 46 - Option sale to close-call 25
	1.000	72.00	11/17/20	93.05	21.05 W	0.00	31 of 46 - Option sale to close-call 25
	1.000	71.99	11/17/20	93.04	21.05 W	0.00	32 of 46 - Option sale to close-call 25
	1.000	72.00	11/17/20	93.05	21.05 W	0.00	33 of 46 - Option sale to close-call 25
	1.000	71.99	11/17/20	93.02	21.03 W	0.00	34 of 46 - Option sale to close-call 25
	1.000	74.00	11/17/20	84.02	10.02 W	0.00	35 of 46 - Option sale to close-call 25
	1.000	74.00	11/17/20	84.02	10.02 W	0.00	36 of 46 - Option sale to close-call 25
	1.000	74.00	11/17/20	84.03	10.03 W	0.00	37 of 46 - Option sale to close-call 25
	1.000	74.00	11/17/20	84.02	10.02 W	0.00	38 of 46 - Option sale to close-call 25
	1.000	74.00	11/17/20	88.01	14.01 W	0.00	39 of 46 - Option sale to close-call 25
	1.000	74.00	11/17/20	88.03	14.03 W	0.00	40 of 46 - Option sale to close-call 25

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	73.99	11/17/20	88.01	14.02 W	0.00	41 of 46 - Option sale to close-call <sub>25</sub>
	2.000	147.99	11/17/20	172.05	24.06 W	0.00	42 of 46 - Option sale to close-call <sub>25</sub>
	1.000	73.99	11/18/20	123.06	49.07 W	0.00	43 of 46 - Option sale to close-call <sub>25</sub>
	1.000	74.00	11/18/20	133.02	59.02 W	0.00	44 of 46 - Option sale to close-call <sub>25</sub>
	1.000	73.99	11/18/20	127.00	53.01 W	0.00	45 of 46 - Option sale to close-call <sub>25</sub>
	2.000	147.99	11/18/20	254.07	106.08 W	0.00	46 of 46 - Option sale to close-call <sub>25</sub>
11/19/20	53.000	3,844.71	Various	5,437.25	1,592.54 W	0.00	Total of 46 transactions
	20 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	111.99	11/18/20	101.05	...	10.94	1 of 20 - Option sale to close-call <sub>25</sub>
	1.000	111.99	11/18/20	101.05	...	10.94	2 of 20 - Option sale to close-call <sub>25</sub>
	1.000	112.00	11/18/20	101.05	...	10.95	3 of 20 - Option sale to close-call <sub>25</sub>
	1.000	111.99	11/18/20	101.03	...	10.96	4 of 20 - Option sale to close-call <sub>25</sub>
	1.000	107.00	11/18/20	94.03	...	12.97	5 of 20 - Option sale to close-call <sub>25</sub>
	1.000	107.00	11/18/20	94.02	...	12.98	6 of 20 - Option sale to close-call <sub>25</sub>
	1.000	107.00	11/18/20	94.01	...	12.99	7 of 20 - Option sale to close-call <sub>25</sub>
	1.000	111.98	11/18/20	92.03	...	19.95	8 of 20 - Option sale to close-call <sub>25</sub>
	1.000	111.98	11/18/20	92.03	...	19.95	9 of 20 - Option sale to close-call <sub>25</sub>
	1.000	111.98	11/18/20	90.02	...	21.96	10 of 20 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	111.99	11/18/20	90.03	...	21.96	11 of 20 - Option sale to close-call <sup>25</sup>
	1.000	111.98	11/18/20	90.02	...	21.96	12 of 20 - Option sale to close-call <sup>25</sup>
	1.000	111.99	11/18/20	90.02	...	21.97	13 of 20 - Option sale to close-call <sup>25</sup>
	11.000	1,176.95	11/18/20	880.00	...	296.95	14 of 20 - Option sale to close-call <sup>25</sup>
	1.000	106.99	11/20/20	95.05	...	11.94	15 of 20 - Option sale to close-call <sup>25</sup>
	1.000	106.99	11/20/20	80.00	...	26.99	16 of 20 - Option sale to close-call <sup>25</sup>
	8.000	855.96	11/20/20	640.00	...	215.96	17 of 20 - Option sale to close-call <sup>25</sup>
	1.000	106.99	11/23/20	93.00	...	13.99	18 of 20 - Option sale to close-call <sup>25</sup>
	4.000	427.98	11/23/20	372.00	...	55.98	19 of 20 - Option sale to close-call <sup>25</sup>
	5.000	534.98	11/23/20	415.00	...	119.98	20 of 20 - Option sale to close-call <sup>25</sup>
11/25/20	44.000	4,757.71	Various	3,805.44	...	952.27	Total of 20 transactions
	5 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	111.99	11/18/20	127.04	15.05 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	107.00	11/20/20	129.07	22.07 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	106.99	11/20/20	139.02	32.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	107.00	11/20/20	133.01	26.01 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	213.99	11/20/20	266.08	52.09 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
11/25/20	6.000	646.97	Various	794.22	147.25 W	0.00	Total of 5 transactions
	6 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	1.000	104.99	11/23/20	98.00	...	6.99	1 of 6 - Option sale to close-call <sup>25</sup>
	5.000	524.98	11/23/20	490.00	...	34.98	2 of 6 - Option sale to close-call <sup>25</sup>
	5.000	524.98	11/23/20	490.00	...	34.98	3 of 6 - Option sale to close-call <sup>25</sup>
	5.000	524.98	11/23/20	490.00	...	34.98	4 of 6 - Option sale to close-call <sup>25</sup>
	14.000	1,469.93	11/23/20	1,372.00	...	97.93	5 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
11/30/20	15.000	1,574.93	11/23/20	1,395.00	...	179.93	6 of 6 - Option sale to close-call <sup>25</sup>
	45.000	4,724.79	Various	4,335.00	...	389.79	Total of 6 transactions
	18 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	1.000	105.00	11/23/20	115.07	10.07 W	0.00	1 of 18 - Option sale to close-call <sup>25</sup>
	1.000	105.00	11/23/20	125.03	20.03 W	0.00	2 of 18 - Option sale to close-call <sup>25</sup>
	1.000	105.00	11/23/20	119.01	14.01 W	0.00	3 of 18 - Option sale to close-call <sup>25</sup>
	2.000	209.99	11/23/20	238.09	28.10 W	0.00	4 of 18 - Option sale to close-call <sup>25</sup>
	1.000	105.00	11/25/20	127.07	22.07 W	0.00	5 of 18 - Option sale to close-call <sup>25</sup>
	1.000	105.00	11/25/20	137.03	32.03 W	0.00	6 of 18 - Option sale to close-call <sup>25</sup>
	1.000	105.00	11/25/20	131.01	26.01 W	0.00	7 of 18 - Option sale to close-call <sup>25</sup>
	2.000	209.99	11/25/20	262.10	52.11 W	0.00	8 of 18 - Option sale to close-call <sup>25</sup>
	5.000	524.98	11/25/20	585.00	60.02 W	0.00	9 of 18 - Option sale to close-call <sup>25</sup>
	6.000	629.97	11/25/20	696.00	66.03 W	0.00	10 of 18 - Option sale to close-call <sup>25</sup>
	6.000	629.97	11/25/20	696.00	66.03 W	0.00	11 of 18 - Option sale to close-call <sup>25</sup>
	2.000	209.99	11/25/20	234.00	24.01 W	0.00	12 of 18 - Option sale to close-call <sup>25</sup>
	2.000	209.99	11/25/20	234.00	24.01 W	0.00	13 of 18 - Option sale to close-call <sup>25</sup>
	2.000	209.99	11/25/20	234.00	24.01 W	0.00	14 of 18 - Option sale to close-call <sup>25</sup>
	9.000	944.96	11/25/20	1,053.00	108.04 W	0.00	15 of 18 - Option sale to close-call <sup>25</sup>
	1.000	105.00	11/25/20	117.00	12.00 W	0.00	16 of 18 - Option sale to close-call <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	1.000	104.99	11/25/20	117.00	12.01 W	0.00	17 of 18 - Option sale to close-call <sub>25</sub>
	11.000	1,154.95	11/25/20	1,287.00	132.05 W	0.00	18 of 18 - Option sale to close-call <sub>25</sub>
11/30/20	55.000	5,774.77	Various	6,507.41	732.64 W	0.00	Total of 18 transactions
	27 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1.000	161.99	11/30/20	138.03	...	23.96	1 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	11/30/20	132.01	...	29.98	2 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	11/30/20	128.07	...	33.92	3 of 27 - Option sale to close-call <sub>25</sub>
	1.000	162.00	11/30/20	116.01	...	45.99	4 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	11/30/20	115.01	...	46.98	5 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	11/30/20	113.00	...	48.99	6 of 27 - Option sale to close-call <sub>25</sub>
	1.000	162.00	11/30/20	113.01	...	48.99	7 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	11/30/20	113.00	...	48.99	8 of 27 - Option sale to close-call <sub>25</sub>
	2.000	323.99	11/30/20	264.11	...	59.88	9 of 27 - Option sale to close-call <sub>25</sub>
	2.000	323.99	11/30/20	232.01	...	91.98	10 of 27 - Option sale to close-call <sub>25</sub>
	2.000	323.99	11/30/20	226.01	...	97.98	11 of 27 - Option sale to close-call <sub>25</sub>
	5.000	809.97	11/30/20	590.02	...	219.95	12 of 27 - Option sale to close-call <sub>25</sub>
	5.000	809.97	11/30/20	575.02	...	234.95	13 of 27 - Option sale to close-call <sub>25</sub>
	5.000	809.98	11/30/20	565.02	...	244.96	14 of 27 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRO 01/21/2022 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
	6.000	971.97	11/30/20	690.03	...	281.94	15 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	12/01/20	101.00	...	60.99	16 of 27 - Option sale to close-call <sub>25</sub>
	1.000	162.00	12/01/20	101.01	...	60.99	17 of 27 - Option sale to close-call <sub>25</sub>
	2.000	323.99	12/01/20	202.01	...	121.98	18 of 27 - Option sale to close-call <sub>25</sub>
	6.000	971.97	12/01/20	606.03	...	365.94	19 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	12/04/20	133.00	...	28.99	20 of 27 - Option sale to close-call <sub>25</sub>
	5.000	809.97	12/04/20	725.02	...	84.95	21 of 27 - Option sale to close-call <sub>25</sub>
	19.000	3,077.90	12/04/20	2,527.00	...	550.90	22 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	12/09/20	157.00	...	4.99	23 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	12/09/20	157.00	...	4.99	24 of 27 - Option sale to close-call <sub>25</sub>
	1.000	161.99	12/09/20	157.00	...	4.99	25 of 27 - Option sale to close-call <sub>25</sub>
	2.000	323.99	12/09/20	314.00	...	9.99	26 of 27 - Option sale to close-call <sub>25</sub>
	5.000	809.98	12/09/20	785.00	...	24.98	27 of 27 - Option sale to close-call <sub>25</sub>
12/11/20	80.000	12,959.55	Various	10,075.43	...	2,884.12	Total of 27 transactions
	<b>Security total:</b>	<b>52,433.40</b>		<b>58,344.30</b>	<b>11,217.30 W</b>	<b>5,306.40</b>	
NOK 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
10 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	65.98	07/22/20	84.00	18.02 W	0.00	1 of 10 - Option sale to close-call <sub>25</sub>
	1.000	65.98	07/22/20	84.00	18.02 W	0.00	2 of 10 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOK 01/21/2022 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	2.000	131.98	07/22/20	168.00	36.02 W	0.00	3 of 10 - Option sale to close-call <sub>25</sub>
	6.000	395.98	07/22/20	504.00	108.02 W	0.00	4 of 10 - Option sale to close-call <sub>25</sub>
	1.000	66.00	07/23/20	96.02	30.02 W	0.00	5 of 10 - Option sale to close-call <sub>25</sub>
	1.000	66.00	07/23/20	96.02	30.02 W	0.00	6 of 10 - Option sale to close-call <sub>25</sub>
	2.000	131.99	07/23/20	192.02	60.03 W	0.00	7 of 10 - Option sale to close-call <sub>25</sub>
	1.000	66.00	07/23/20	78.00	12.00 W	0.00	8 of 10 - Option sale to close-call <sub>25</sub>
	1.000	65.99	07/27/20	76.00	10.01 W	0.00	9 of 10 - Option sale to close-call <sub>25</sub>
	4.000	263.98	07/28/20	284.00	20.02 W	0.00	10 of 10 - Option sale to close-call <sub>25</sub>
07/30/20	20.000	1,319.88	Various	1,662.06	342.18 W	0.00	Total of 10 transactions
	11 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	2.000	191.99	07/30/20	194.03	...	-2.04	1 of 11 - Option sale to close-call <sub>25</sub>
	1.000	95.99	07/30/20	97.02	...	-1.03	2 of 11 - Option sale to close-call <sub>25</sub>
	1.000	96.00	07/30/20	97.02	...	-1.02	3 of 11 - Option sale to close-call <sub>25</sub>
	1.000	95.99	07/30/20	85.00	...	10.99	4 of 11 - Option sale to close-call <sub>25</sub>
	1.000	95.99	07/30/20	79.00	...	16.99	5 of 11 - Option sale to close-call <sub>25</sub>
	1.000	96.00	07/30/20	77.01	...	18.99	6 of 11 - Option sale to close-call <sub>25</sub>
	2.000	191.99	07/30/20	170.01	...	21.98	7 of 11 - Option sale to close-call <sub>25</sub>
	1.000	95.99	07/30/20	72.01	...	23.98	8 of 11 - Option sale to close-call <sub>25</sub>

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Account 125489310

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NOK 01/21/2022 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	3.000	287.98	07/30/20	255.01	...	32.97	9 of 11 - Option sale to close-call <sup>25</sup>
	3.000	287.99	07/30/20	216.01	...	71.98	10 of 11 - Option sale to close-call <sup>25</sup>
	4.000	383.98	07/30/20	268.00	...	115.98	11 of 11 - Option sale to close-call <sup>25</sup>
07/31/20	20.000	1,919.89	Various	1,610.12	...	309.77	Total of 11 transactions
	<b>Security total:</b>	<b>3,239.77</b>		<b>3,272.18</b>	<b>342.18 W</b>	<b>309.77</b>	
RIG 02/19/2021 CALL \$3.00 / CUSIP: / Symbol:							
	5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	5.000	139.98	11/25/20	215.00	75.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	20.000	559.94	11/25/20	860.00	300.06 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	5.000	139.99	11/27/20	270.02	130.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	5.000	139.98	11/30/20	190.00	50.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	5.000	139.99	11/30/20	155.00	15.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
12/03/20	40.000	1,119.88	Various	1,690.02	570.14 W	0.00	Total of 5 transactions
	8 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	5.000	189.99	11/30/20	195.02	...	-5.03	1 of 8 - Option sale to close-call <sup>25</sup>
	5.000	189.98	11/30/20	185.04	...	4.94	2 of 8 - Option sale to close-call <sup>25</sup>
	5.000	189.99	11/30/20	160.01	...	29.98	3 of 8 - Option sale to close-call <sup>25</sup>
	6.000	227.98	12/03/20	234.04	...	-6.06	4 of 8 - Option sale to close-call <sup>25</sup>
	4.000	151.99	12/03/20	156.02	...	-4.03	5 of 8 - Option sale to close-call <sup>25</sup>
	5.000	189.98	12/04/20	255.04	...	-65.06	6 of 8 - Option sale to close-call <sup>25</sup>
	3.000	113.99	12/04/20	120.02	...	-6.03	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	75.99	12/04/20	80.01	...	-4.02	8 of 8 - Option sale to close-call <sup>25</sup>
12/08/20	35.000	1,329.89	Various	1,385.20	...	-55.31	Total of 8 transactions
	5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	10.000	379.97	11/30/20	430.03	50.06 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	5.000	189.98	11/30/20	230.02	40.04 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	5.000	189.99	11/30/20	275.03	85.04 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	3.000	113.99	11/30/20	132.01	18.02 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	75.99	11/30/20	88.00	12.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
12/08/20	25.000	949.92	Various	1,155.09	205.17 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RIG 02/19/2021 CALL \$3.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>3,399.69</b>		<b>4,230.31</b>	<b>775.31 W</b>		<b>-55.31</b>
RIG 02/19/2021 CALL \$3.50 / CUSIP: / Symbol:							
12/11/20	50.000	1,749.86	12/10/20	1,600.00	...	149.86	Option sale to close-call <sup>25</sup>
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
07/15/20	50.000	175.51	06/10/20	297.00	121.49 W	0.00	Sale <sup>25</sup>
07/21/20	25.000	78.86	06/26/20	79.13	...	-0.27	Sale <sup>25</sup>
<i>5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	63.10	06/22/20	124.20	61.10 W	0.00	1 of 5 - Sale <sup>25</sup>
	30.000	94.65	06/22/20	186.59	91.94 W	0.00	2 of 5 - Sale <sup>25</sup>
	20.000	63.10	06/23/20	77.40	14.30 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	31.55	06/25/20	35.80	4.25 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	63.10	06/26/20	63.30	0.20 W	0.00	5 of 5 - Sale <sup>25</sup>
07/21/20	100.000	315.50	Various	487.29	171.79 W	0.00	Total of 5 transactions
<i>7 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	64.70	06/26/20	124.40	...	-59.70	1 of 7 - Sale <sup>25</sup>
	5.000	16.17	06/26/20	31.14	...	-14.97	2 of 7 - Sale <sup>25</sup>
	25.000	80.87	07/16/20	166.75	...	-85.88	3 of 7 - Sale <sup>25</sup>
	20.000	64.70	07/21/20	77.70	...	-13.00	4 of 7 - Sale <sup>25</sup>
	5.000	16.17	07/21/20	18.02	...	-1.85	5 of 7 - Sale <sup>25</sup>
	5.000	16.18	07/21/20	17.98	...	-1.80	6 of 7 - Sale <sup>25</sup>
	20.000	64.70	07/21/20	63.80	...	0.90	7 of 7 - Sale <sup>25</sup>
07/22/20	100.000	323.49	Various	499.79	...	-176.30	Total of 7 transactions
<b>Security total:</b>		<b>893.36</b>		<b>1,363.21</b>	<b>293.28 W</b>		<b>-176.57</b>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
<i>2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	391.11	11/09/20	403.80	...	-12.69	1 of 2 - Sale <sup>25</sup>
	100.000	391.11	11/09/20	375.80	...	15.31	2 of 2 - Sale <sup>25</sup>
11/10/20	200.000	782.22	Various	779.60	...	2.62	Total of 2 transactions

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol:							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	175.000	159.90	01/14/20	198.63	38.73 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	22.84	01/23/20	24.50	1.66 W	0.00	2 of 2 - Sale <sup>25</sup>
02/05/20	200.000	182.74	Various	223.13	40.39 W	0.00	Total of 2 transactions
4 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	49.000	44.22	01/29/20	43.78	...	0.44	1 of 4 - Sale <sup>25</sup>
	16.000	14.44	01/29/20	11.81	...	2.63	2 of 4 - Sale <sup>25</sup>
	9.000	8.12	01/30/20	7.58	...	0.54	3 of 4 - Sale <sup>25</sup>
	21.000	18.95	01/30/20	16.27	...	2.68	4 of 4 - Sale <sup>25</sup>
02/06/20	95.000	85.73	Various	79.44	...	6.29	Total of 4 transactions
3 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	75.000	67.68	01/23/20	90.08	22.40 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	0.90	01/28/20	1.00	0.10 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	45.13	01/29/20	51.14	6.01 W	0.00	3 of 3 - Sale <sup>25</sup>
02/06/20	126.000	113.71	Various	142.22	28.51 W	0.00	Total of 3 transactions
5 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	75.000	69.17	02/10/20	93.27	24.10 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	0.92	02/10/20	1.04	0.12 W	0.00	2 of 5 - Sale <sup>25</sup>
	24.000	22.14	02/10/20	25.56	3.42 W	0.00	3 of 5 - Sale <sup>25</sup>
	26.000	23.98	02/10/20	28.06	4.08 W	0.00	4 of 5 - Sale <sup>25</sup>
	74.000	68.25	02/10/20	70.96	2.71 W	0.00	5 of 5 - Sale <sup>25</sup>
02/10/20	200.000	184.46	Various	218.89	34.43 W	0.00	Total of 5 transactions
8 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	26.000	26.78	02/11/20	35.02	...	-8.24	1 of 8 - Sale <sup>25</sup>
	24.000	24.72	02/11/20	31.98	...	-7.26	2 of 8 - Sale <sup>25</sup>
	24.000	24.72	02/11/20	29.44	...	-4.72	3 of 8 - Sale <sup>25</sup>
	12.000	12.36	02/11/20	14.12	...	-1.76	4 of 8 - Sale <sup>25</sup>
	4.000	4.12	02/11/20	4.71	...	-0.59	5 of 8 - Sale <sup>25</sup>
	1.000	1.03	02/11/20	1.31	...	-0.28	6 of 8 - Sale <sup>25</sup>
	34.000	35.01	02/12/20	34.90	...	0.11	7 of 8 - Sale <sup>25</sup>
	16.000	16.48	02/12/20	15.84	...	0.64	8 of 8 - Sale <sup>25</sup>
02/19/20	141.000	145.22	Various	167.32	...	-22.10	Total of 8 transactions
02/19/20	75.000	77.25	02/11/20	113.35	5.78 W	-30.32	Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol: (cont'd)							
02/26/20	12.000	10.47	02/25/20	16.39	...	-5.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>799.58</b>		<b>960.74</b>	<b>109.11 W</b>	<b>-52.05</b>	
AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	250.000	46.17	04/09/20	49.95	3.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	250.000	46.18	04/09/20	49.93	3.75 W	0.00	2 of 2 - Sale <sup>25</sup>
04/14/20	500.000	92.35	Various	99.88	7.53 W	0.00	Total of 2 transactions
5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	50.000	20.52	04/14/20	10.00	...	10.52	1 of 5 - Sale <sup>25</sup>
	100.000	41.05	04/14/20	20.00	...	21.05	2 of 5 - Sale <sup>25</sup>
	100.000	41.05	04/14/20	20.00	...	21.05	3 of 5 - Sale <sup>25</sup>
	100.000	35.05	04/15/20	19.78	...	15.27	4 of 5 - Sale <sup>25</sup>
	150.000	52.57	04/15/20	29.48	...	23.09	5 of 5 - Sale <sup>25</sup>
04/17/20	500.000	190.24	Various	99.26	...	90.98	Total of 5 transactions
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	350.000	98.39	05/15/20	100.56	...	-2.17	1 of 2 - Sale <sup>25</sup>
	18.000	5.06	05/15/20	5.12	...	-0.06	2 of 2 - Sale <sup>25</sup>
05/18/20	368.000	103.45	Various	105.68	...	-2.23	Total of 2 transactions
	<b>Security total:</b>	<b>386.04</b>		<b>304.82</b>	<b>7.53 W</b>	<b>88.75</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
04/07/20	1.000	198.32	03/24/20	182.17	...	16.15	Sale <sup>25</sup>
ALLENA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 018119107 / Symbol:							
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	250.000	302.46	07/27/20	382.18	79.72 W	0.00	1 of 3 - Sale <sup>25</sup>
	150.000	181.48	07/28/20	194.99	13.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	120.98	07/29/20	128.98	8.00 W	0.00	3 of 3 - Sale <sup>25</sup>
07/31/20	500.000	604.92	Various	706.15	101.23 W	0.00	Total of 3 transactions
4 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	150.000	198.22	07/30/20	196.49	...	1.73	1 of 4 - Sale <sup>25</sup>
	100.000	132.14	07/30/20	129.99	...	2.15	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALLENA PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 018119107 / Symbol: (cont'd)							
	500.000	660.72	07/31/20	610.00	...	50.72	3 of 4 - Sale <sup>25</sup>
	500.000	660.73	07/31/20	610.00	...	50.73	4 of 4 - Sale <sup>25</sup>
08/03/20	1,250.000	1,651.81	Various	1,546.48	...	105.33	Total of 4 transactions
	3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	100.000	132.14	07/30/20	153.88	21.74 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	132.15	07/30/20	153.76	21.61 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	66.07	07/30/20	76.94	10.87 W	0.00	3 of 3 - Sale <sup>25</sup>
08/03/20	250.000	330.36	Various	384.58	54.22 W	0.00	Total of 3 transactions
08/04/20	250.000	333.43	08/03/20	332.50	...	0.93	Sale <sup>25</sup>
	3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	50.000	66.69	08/03/20	77.37	10.68 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	133.37	08/03/20	154.74	21.37 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	133.38	08/03/20	154.61	21.23 W	0.00	3 of 3 - Sale <sup>25</sup>
08/04/20	250.000	333.44	Various	386.72	53.28 W	0.00	Total of 3 transactions
	4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	100.000	150.50	08/04/20	163.37	...	-12.87	1 of 4 - Sale <sup>25</sup>
	100.000	150.50	08/04/20	163.23	...	-12.73	2 of 4 - Sale <sup>25</sup>
	50.000	75.25	08/04/20	81.68	...	-6.43	3 of 4 - Sale <sup>25</sup>
	750.000	1,128.79	08/04/20	1,065.00	...	63.79	4 of 4 - Sale <sup>25</sup>
08/06/20	1,000.000	1,505.04	Various	1,473.28	...	31.76	Total of 4 transactions
	<b>Security total:</b>	<b>4,759.00</b>		<b>4,829.71</b>	<b>208.73 W</b>	<b>138.02</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
	2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	5.000	55.60	04/28/20	54.39	...	1.21	1 of 2 - Sale <sup>25</sup>
	5.000	55.61	04/28/20	52.54	...	3.07	2 of 2 - Sale <sup>25</sup>
05/01/20	10.000	111.21	Various	106.93	...	4.28	Total of 2 transactions
05/13/20	1.000	9.02	05/11/20	9.60	0.58 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	1.000	10.75	05/27/20	12.35	1.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	19.000	204.25	05/27/20	223.63	19.38 W	0.00	2 of 2 - Sale <sup>25</sup>
06/01/20	20.000	215.00	Various	235.98	20.98 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	56.00	05/27/20	58.85	2.85 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	112.00	06/01/20	118.00	3.00 W	-3.00	2 of 4 - Sale <sup>25</sup>
	1.000	11.20	06/01/20	12.43	1.23 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	100.80	06/01/20	106.65	5.85 W	0.00	4 of 4 - Sale <sup>25</sup>
06/02/20	25.000	280.00	Various	295.93	12.93 W	-3.00	Total of 4 transactions
<i>5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.96	06/04/20	15.00	...	4.96	1 of 5 - Sale <sup>25</sup>
	5.000	99.81	06/04/20	79.70	...	20.11	2 of 5 - Sale <sup>25</sup>
	5.000	99.81	06/04/20	79.45	...	20.36	3 of 5 - Sale <sup>25</sup>
	4.000	79.85	06/04/20	57.68	...	22.17	4 of 5 - Sale <sup>25</sup>
	5.000	99.81	06/04/20	71.70	...	28.11	5 of 5 - Sale <sup>25</sup>
06/05/20	20.000	399.24	Various	303.53	...	95.71	Total of 5 transactions
	<b>Security total:</b>	<b>1,014.47</b>		<b>951.97</b>	<b>34.49 W</b>	<b>96.99</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
<i>15 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	234.05	09/04/20	229.47	...	4.58	1 of 15 - Sale <sup>25</sup>
	2.000	234.05	09/04/20	229.14	...	4.91	2 of 15 - Sale <sup>25</sup>
	1.000	117.02	09/08/20	116.19	...	0.83	3 of 15 - Sale <sup>25</sup>
	1.000	117.02	09/08/20	115.19	...	1.83	4 of 15 - Sale <sup>25</sup>
	4.000	468.10	09/08/20	466.11	...	1.99	5 of 15 - Sale <sup>25</sup>
	1.000	117.02	09/08/20	114.05	...	2.97	6 of 15 - Sale <sup>25</sup>
	1.000	117.02	09/08/20	114.03	...	2.99	7 of 15 - Sale <sup>25</sup>
	2.000	234.05	09/09/20	232.38	...	1.67	8 of 15 - Sale <sup>25</sup>
	3.000	351.07	09/09/20	349.26	...	1.81	9 of 15 - Sale <sup>25</sup>
	2.000	234.05	09/10/20	233.89	...	0.16	10 of 15 - Sale <sup>25</sup>
	2.000	234.05	09/10/20	230.76	...	3.29	11 of 15 - Sale <sup>25</sup>
	1.000	117.02	09/11/20	111.70	...	5.32	12 of 15 - Sale <sup>25</sup>
	2.000	234.05	09/14/20	228.88	...	5.17	13 of 15 - Sale <sup>25</sup>
	10.000	1,170.24	09/14/20	1,157.50	...	12.74	14 of 15 - Sale <sup>25</sup>
	5.000	585.12	09/14/20	567.80	...	17.32	15 of 15 - Sale <sup>25</sup>
09/15/20	39.000	4,563.93	Various	4,496.35	...	67.58	Total of 15 transactions

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	10.000	1,170.24	09/04/20	1,210.80	40.56 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	117.02	09/04/20	117.06	0.04 W	0.00	2 of 2 - Sale <sup>25</sup>
09/15/20	11.000	1,287.26	Various	1,327.86	40.60 W	0.00	Total of 2 transactions
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	111.76	09/15/20	116.03	4.27 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	1,117.64	09/15/20	1,200.46	82.82 W	0.00	2 of 4 - Sale <sup>25</sup>
	14.000	1,564.70	09/15/20	1,623.86	59.16 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	558.82	09/15/20	588.57	29.75 W	0.00	4 of 4 - Sale <sup>25</sup>
09/23/20	30.000	3,352.92	Various	3,528.92	176.00 W	0.00	Total of 4 transactions
6 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	10.000	1,080.58	09/15/20	1,259.95	179.37 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	108.06	09/15/20	119.33	11.27 W	0.00	2 of 6 - Sale <sup>25</sup>
	4.000	432.23	09/15/20	477.14	44.91 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	540.29	09/15/20	589.88	49.59 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	540.30	09/17/20	573.83	33.53 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	540.29	09/21/20	554.99	14.70 W	0.00	6 of 6 - Sale <sup>25</sup>
09/24/20	30.000	3,241.75	Various	3,575.12	333.37 W	0.00	Total of 6 transactions
4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	5.000	573.13	10/06/20	589.48	...	-16.35	1 of 4 - Sale <sup>25</sup>
	5.000	573.13	10/06/20	569.67	...	3.46	2 of 4 - Sale <sup>25</sup>
	5.000	573.12	10/06/20	568.75	...	4.37	3 of 4 - Sale <sup>25</sup>
	10.000	1,146.24	10/06/20	1,134.16	...	12.08	4 of 4 - Sale <sup>25</sup>
10/07/20	25.000	2,865.62	Various	2,862.06	...	3.56	Total of 4 transactions
5 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	114.63	10/06/20	126.54	11.91 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	1,146.25	10/06/20	1,332.07	185.82 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	458.50	10/06/20	505.99	47.49 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	573.13	10/06/20	625.17	52.04 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	573.12	10/06/20	609.10	35.98 W	0.00	5 of 5 - Sale <sup>25</sup>
10/07/20	25.000	2,865.63	Various	3,198.87	333.24 W	0.00	Total of 5 transactions

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>5 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,230.92	10/08/20	1,339.62	...	-108.70	1 of 5 - Sale <sup>25</sup>
	4.000	492.37	10/08/20	509.01	...	-16.64	2 of 5 - Sale <sup>25</sup>
	5.000	615.46	10/08/20	628.94	...	-13.48	3 of 5 - Sale <sup>25</sup>
	1.000	123.09	10/08/20	127.29	...	-4.20	4 of 5 - Sale <sup>25</sup>
	5.000	615.45	10/08/20	612.88	...	2.57	5 of 5 - Sale <sup>25</sup>
10/13/20	25.000	3,077.29	Various	3,217.74	...	-140.45	Total of 5 transactions
	<b>Security total:</b>	<b>21,254.40</b>		<b>22,206.92</b>	<b>883.21 W</b>	<b>-69.31</b>	
APPLIED OPTOELECTRONICS, INC. COMMON STOCK / CUSIP: 03823U102 / Symbol:							
06/09/20	50.000	477.54	06/08/20	505.44	27.90 W	0.00	Sale <sup>25</sup>
<i>6 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	97.50	06/09/20	96.99	...	0.51	1 of 6 - Sale <sup>25</sup>
	15.000	146.25	06/09/20	143.81	...	2.44	2 of 6 - Sale <sup>25</sup>
	40.000	389.99	06/09/20	387.26	...	2.73	3 of 6 - Sale <sup>25</sup>
	35.000	341.24	06/10/20	337.28	...	3.96	4 of 6 - Sale <sup>25</sup>
	50.000	487.48	06/12/20	457.00	...	30.48	5 of 6 - Sale <sup>25</sup>
	50.000	487.48	06/12/20	456.50	...	30.98	6 of 6 - Sale <sup>25</sup>
06/18/20	200.000	1,949.94	Various	1,878.84	...	71.10	Total of 6 transactions
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	499.87	06/08/20	505.44	5.57 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	487.49	06/09/20	506.40	18.91 W	0.00	2 of 2 - Sale <sup>25</sup>
06/18/20	100.000	987.36	Various	1,011.84	24.48 W	0.00	Total of 2 transactions
<i>2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	490.60	06/15/20	454.18	...	36.42	1 of 2 - Sale <sup>25</sup>
	50.000	490.60	06/15/20	443.00	...	47.60	2 of 2 - Sale <sup>25</sup>
06/22/20	100.000	981.20	Various	897.18	...	84.02	Total of 2 transactions
<i>5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	693.99	07/21/20	682.50	...	11.49	1 of 5 - Sale <sup>25</sup>
	50.000	693.98	07/21/20	677.50	...	16.48	2 of 5 - Sale <sup>25</sup>
	100.000	1,387.97	07/21/20	1,348.00	...	39.97	3 of 5 - Sale <sup>25</sup>
	100.000	1,387.96	07/21/20	1,346.00	...	41.96	4 of 5 - Sale <sup>25</sup>

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Account 125489310

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APPLIED OPTOELECTRONICS, INC. COMMON STOCK / CUSIP: 03823U102 / Symbol: (cont'd)							
	100.000	1,387.96	07/21/20	1,345.00	...	42.96	5 of 5 - Sale <sup>25</sup>
07/21/20	400.000	5,551.86	Various	5,399.00	...	152.86	Total of 5 transactions
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	50.000	395.23	11/19/20	387.00	...	8.23	1 of 2 - Sale <sup>25</sup>
	50.000	395.24	11/19/20	385.87	...	9.37	2 of 2 - Sale <sup>25</sup>
11/20/20	100.000	790.47	Various	772.87	...	17.60	Total of 2 transactions
	<b>Security total:</b>	<b>10,738.37</b>		<b>10,465.17</b>	<b>52.38 W</b>	<b>325.58</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
02/20/20	150.000	259.78	02/19/20	257.82	...	1.96	Sale <sup>25</sup>
	3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	5.000	3.55	03/18/20	3.46	...	0.09	1 of 3 - Sale <sup>25</sup>
	14.000	9.94	03/18/20	9.69	...	0.25	2 of 3 - Sale <sup>25</sup>
	81.000	57.50	03/18/20	54.82	...	2.68	3 of 3 - Sale <sup>25</sup>
03/20/20	100.000	70.99	Various	67.97	...	3.02	Total of 3 transactions
	3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	5.000	3.55	03/17/20	3.63	0.08 W	0.00	1 of 3 - Sale <sup>25</sup>
	14.000	9.94	03/17/20	10.15	0.21 W	0.00	2 of 3 - Sale <sup>25</sup>
	181.000	128.49	03/17/20	131.22	2.73 W	0.00	3 of 3 - Sale <sup>25</sup>
03/20/20	200.000	141.98	Various	145.00	3.02 W	0.00	Total of 3 transactions
	5 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	150.000	120.01	03/26/20	141.75	...	-21.74	1 of 5 - Sale <sup>25</sup>
	100.000	80.01	03/26/20	94.67	...	-14.66	2 of 5 - Sale <sup>25</sup>
	81.000	64.81	03/26/20	73.71	...	-8.90	3 of 5 - Sale <sup>25</sup>
	100.000	80.01	03/26/20	85.26	...	-5.25	4 of 5 - Sale <sup>25</sup>
	19.000	15.20	03/26/20	17.00	...	-1.80	5 of 5 - Sale <sup>25</sup>
04/07/20	450.000	360.04	Various	412.39	...	-52.35	Total of 5 transactions
	<b>Security total:</b>	<b>832.79</b>		<b>883.18</b>	<b>3.02 W</b>	<b>-47.37</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
	4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	5.000	84.15	05/18/20	78.64	...	5.51	1 of 4 - Sale <sup>25</sup>
	10.000	168.30	05/18/20	159.40	...	8.90	2 of 4 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
	10.000	168.29	05/18/20	159.18	...	9.11	3 of 4 - Sale <sup>25</sup>
	10.000	168.29	05/18/20	154.20	...	14.09	4 of 4 - Sale <sup>25</sup>
05/19/20	35.000	589.03	Various	551.42	...	37.61	Total of 4 transactions
	12 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	5.000	84.15	05/18/20	87.50	3.35 W	0.00	1 of 12 - Sale <sup>25</sup>
	10.000	168.29	05/18/20	193.60	25.31 W	0.00	2 of 12 - Sale <sup>25</sup>
	2.000	33.66	05/18/20	37.88	4.22 W	0.00	3 of 12 - Sale <sup>25</sup>
	3.000	50.49	05/18/20	56.79	6.30 W	0.00	4 of 12 - Sale <sup>25</sup>
	5.000	84.15	05/18/20	93.30	9.15 W	0.00	5 of 12 - Sale <sup>25</sup>
	5.000	73.30	05/19/20	84.00	10.70 W	0.00	6 of 12 - Sale <sup>25</sup>
	5.000	73.30	05/19/20	93.31	20.01 W	0.00	7 of 12 - Sale <sup>25</sup>
	2.000	29.32	05/19/20	36.92	7.60 W	0.00	8 of 12 - Sale <sup>25</sup>
	3.000	43.98	05/19/20	55.35	11.37 W	0.00	9 of 12 - Sale <sup>25</sup>
	5.000	73.30	05/19/20	94.41	21.11 W	0.00	10 of 12 - Sale <sup>25</sup>
	5.000	73.30	05/19/20	89.58	16.28 W	0.00	11 of 12 - Sale <sup>25</sup>
	13.000	190.56	05/19/20	209.11	18.55 W	0.00	12 of 12 - Sale <sup>25</sup>
05/19/20	63.000	977.80	Various	1,131.75	153.95 W	0.00	Total of 12 transactions
	9 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	62.000	795.31	05/19/20	906.31	21.48 W	-89.52	1 of 9 - Sale <sup>25</sup>
	5.000	64.14	05/19/20	84.45	20.31 W	0.00	2 of 9 - Sale <sup>25</sup>
	5.000	64.14	05/19/20	93.76	29.62 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	64.14	05/19/20	93.90	29.76 W	0.00	4 of 9 - Sale <sup>25</sup>
	2.000	25.66	05/19/20	36.76	11.10 W	0.00	5 of 9 - Sale <sup>25</sup>
	3.000	38.48	05/19/20	55.10	16.62 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	64.14	05/19/20	89.17	25.03 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	64.14	05/19/20	80.16	16.02 W	0.00	8 of 9 - Sale <sup>25</sup>
	8.000	102.62	05/19/20	128.36	25.74 W	0.00	9 of 9 - Sale <sup>25</sup>
05/20/20	100.000	1,282.77	Various	1,567.97	195.68 W	-89.52	Total of 9 transactions
	10 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	5.000	80.27	05/19/20	92.69	...	-12.42	1 of 10 - Sale <sup>25</sup>
	5.000	80.27	05/20/20	95.11	...	-14.84	2 of 10 - Sale <sup>25</sup>
	5.000	80.27	05/20/20	94.32	...	-14.05	3 of 10 - Sale <sup>25</sup>
	5.000	80.28	05/20/20	87.28	...	-7.00	4 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
	3.000	48.16	05/20/20	54.05	...	-5.89	5 of 10 - Sale <sup>25</sup>
	2.000	32.11	05/20/20	36.06	...	-3.95	6 of 10 - Sale <sup>25</sup>
	8.000	128.44	05/20/20	128.92	...	-0.48	7 of 10 - Sale <sup>25</sup>
	5.000	80.27	05/20/20	80.51	...	-0.24	8 of 10 - Sale <sup>25</sup>
	2.000	32.11	05/20/20	29.37	...	2.74	9 of 10 - Sale <sup>25</sup>
	10.000	160.55	05/20/20	146.08	...	14.47	10 of 10 - Sale <sup>25</sup>
05/21/20	50.000	802.73	Various	844.39	...	-41.66	Total of 10 transactions
	2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	100.000	873.97	11/05/20	610.81	...	263.16	1 of 2 - Sale <sup>25</sup>
	150.000	1,310.95	11/05/20	911.72	...	399.23	2 of 2 - Sale <sup>25</sup>
11/06/20	250.000	2,184.92	Various	1,522.53	...	662.39	Total of 2 transactions
11/10/20	1.000	8.72	11/06/20	8.55	...	0.17	Sale <sup>25</sup>
11/10/20	19.000	165.68	11/09/20	259.92	94.24 W	0.00	Sale <sup>25</sup>
	7 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	5.000	43.95	11/10/20	43.89	...	0.06	1 of 7 - Sale <sup>25</sup>
	5.000	43.95	11/11/20	39.24	...	4.71	2 of 7 - Sale <sup>25</sup>
	60.000	527.38	11/12/20	415.19	...	112.19	3 of 7 - Sale <sup>25</sup>
	8.000	70.80	11/17/20	57.28	...	13.52	4 of 7 - Sale <sup>25</sup>
	12.000	106.20	11/17/20	85.92	...	20.28	5 of 7 - Sale <sup>25</sup>
	50.000	439.48	11/17/20	358.00	...	81.48	6 of 7 - Sale <sup>25</sup>
	15.000	132.74	11/18/20	109.62	...	23.12	7 of 7 - Sale <sup>25</sup>
11/24/20	155.000	1,364.50	Various	1,109.14	...	255.36	Total of 7 transactions
	9 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	5.000	43.95	11/09/20	68.40	24.45 W	0.00	1 of 9 - Sale <sup>25</sup>
	19.000	167.00	11/09/20	357.96	190.96 W	0.00	2 of 9 - Sale <sup>25</sup>
	6.000	52.74	11/09/20	83.28	30.54 W	0.00	3 of 9 - Sale <sup>25</sup>
	19.000	168.15	11/17/20	327.00	158.85 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	44.25	11/17/20	60.25	16.00 W	0.00	5 of 9 - Sale <sup>25</sup>
	6.000	53.10	11/17/20	73.50	20.40 W	0.00	6 of 9 - Sale <sup>25</sup>
	19.000	168.15	11/18/20	297.71	129.56 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	44.25	11/18/20	52.54	8.29 W	0.00	8 of 9 - Sale <sup>25</sup>
	6.000	53.10	11/18/20	64.25	11.15 W	0.00	9 of 9 - Sale <sup>25</sup>
11/24/20	90.000	794.69	Various	1,384.89	590.20 W	0.00	Total of 9 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	5.000	50.46	11/18/20	36.54	...	13.92	1 of 2 - Sale <sup>25</sup>
11/27/20	65.000	655.98	11/24/20	587.55	...	68.43	2 of 2 - Sale <sup>25</sup>
	70.000	706.44	Various	624.09	...	82.35	Total of 2 transactions
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	19.000	191.75	11/24/20	301.30	109.55 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	50.46	11/24/20	53.49	3.03 W	0.00	2 of 3 - Sale <sup>25</sup>
11/27/20	6.000	60.55	11/24/20	65.38	4.83 W	0.00	3 of 3 - Sale <sup>25</sup>
	30.000	302.76	Various	420.17	117.41 W	0.00	Total of 3 transactions
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	19.000	211.27	11/27/20	301.43	...	-90.16	1 of 4 - Sale <sup>25</sup>
	6.000	66.72	11/27/20	65.42	...	1.30	2 of 4 - Sale <sup>25</sup>
	5.000	55.60	11/27/20	53.52	...	2.08	3 of 4 - Sale <sup>25</sup>
11/30/20	70.000	778.37	11/27/20	706.93	...	71.44	4 of 4 - Sale <sup>25</sup>
	100.000	1,111.96	Various	1,127.30	...	-15.34	Total of 4 transactions
	<b>Security total:</b>	<b>10,292.00</b>		<b>10,552.12</b>	<b>1,151.48 W</b>	<b>891.36</b>	
BANCO DO BRASIL SA SPONSORED A DR / CUSIP: 059578104 / Symbol:							
04/27/20	100.000	452.99	04/24/20	434.00	...	18.99	Sale <sup>25</sup>
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	100.000	46.99	03/05/20	46.74	...	0.25	1 of 2 - Sale <sup>25</sup>
	200.000	93.97	03/05/20	93.17	...	0.80	2 of 2 - Sale <sup>25</sup>
03/09/20	300.000	140.96	Various	139.91	...	1.05	Total of 2 transactions
BIOFRONTERA AG AMERICAN DEPOSITARY SHARES / CUSIP: 09075G105 / Symbol:							
7 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	12.18	08/18/20	11.65	...	0.53	1 of 7 - Sale <sup>25</sup>
	1.000	12.18	08/18/20	11.65	...	0.53	2 of 7 - Sale <sup>25</sup>
	8.000	97.44	08/18/20	93.20	...	4.24	3 of 7 - Sale <sup>25</sup>
	10.000	121.79	08/18/20	116.50	...	5.29	4 of 7 - Sale <sup>25</sup>
	25.000	304.49	08/18/20	294.75	...	9.74	5 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOFRONTERA AG AMERICAN DEPOSITARY SHARES / CUSIP: 09075G105 / Symbol: (cont'd)							
	25.000	304.49	08/18/20	294.75	...	9.74	6 of 7 - Sale <sup>25</sup>
	50.000	608.98	08/18/20	590.25	...	18.73	7 of 7 - Sale <sup>25</sup>
08/19/20	120.000	1,461.55	Various	1,412.75	...	48.80	Total of 7 transactions
	8 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	100.000	1,203.97	08/19/20	1,118.86	...	85.11	1 of 8 - Sale <sup>25</sup>
	10.000	120.40	08/20/20	107.07	...	13.33	2 of 8 - Sale <sup>25</sup>
	10.000	120.40	08/20/20	105.90	...	14.50	3 of 8 - Sale <sup>25</sup>
	10.000	120.40	08/20/20	102.75	...	17.65	4 of 8 - Sale <sup>25</sup>
	30.000	361.19	08/20/20	321.30	...	39.89	5 of 8 - Sale <sup>25</sup>
	40.000	481.59	08/20/20	408.00	...	73.59	6 of 8 - Sale <sup>25</sup>
	50.000	601.98	08/20/20	513.01	...	88.97	7 of 8 - Sale <sup>25</sup>
	50.000	601.98	08/20/20	511.89	...	90.09	8 of 8 - Sale <sup>25</sup>
08/21/20	300.000	3,611.91	Various	3,188.78	...	423.13	Total of 8 transactions
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	10.51	08/21/20	12.06	1.55 W	0.00	1 of 3 - Sale <sup>25</sup>
	24.000	252.26	08/21/20	265.68	13.42 W	0.00	2 of 3 - Sale <sup>25</sup>
	75.000	788.33	08/21/20	822.57	34.24 W	0.00	3 of 3 - Sale <sup>25</sup>
08/24/20	100.000	1,051.10	Various	1,100.31	49.21 W	0.00	Total of 3 transactions
	4 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	50.000	530.49	08/25/20	548.58	...	-18.09	1 of 4 - Sale <sup>25</sup>
	24.000	255.35	08/25/20	257.16	...	-1.81	2 of 4 - Sale <sup>25</sup>
	1.000	10.64	08/25/20	11.71	...	-1.07	3 of 4 - Sale <sup>25</sup>
	25.000	265.99	08/25/20	265.30	...	0.69	4 of 4 - Sale <sup>25</sup>
08/26/20	100.000	1,062.47	Various	1,082.75	...	-20.28	Total of 4 transactions
	3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	1.000	10.61	08/25/20	12.07	1.46 W	0.00	1 of 3 - Sale <sup>25</sup>
	24.000	254.63	08/25/20	265.79	11.16 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	265.24	08/25/20	274.29	9.05 W	0.00	3 of 3 - Sale <sup>25</sup>
08/26/20	50.000	530.48	Various	552.15	21.67 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>7,717.51</b>		<b>7,336.74</b>	<b>70.88 W</b>	<b>451.65</b>	
THE BLACKSTONE GROUP INC. CLAS S A COMMON STOCK / CUSIP: 09260D107 / Symbol:							
03/23/20	2.000	70.02	03/20/20	73.91	...	-3.89	Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol:							
3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	34.00	12/07/20	29.70	...	4.30	1 of 3 - Sale <sup>25</sup>
	10.000	340.00	12/07/20	311.64	...	28.36	2 of 3 - Sale <sup>25</sup>
	9.000	306.00	12/07/20	267.30	...	38.70	3 of 3 - Sale <sup>25</sup>
12/08/20	20.000	680.00	Various	608.64	...	71.36	Total of 3 transactions
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	200.000	150.68	06/02/20	151.68	1.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	200.000	150.68	06/02/20	151.58	0.90 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	37.66	06/02/20	37.94	0.28 W	0.00	3 of 3 - Sale <sup>25</sup>
06/04/20	450.000	339.02	Various	341.20	2.18 W	0.00	Total of 3 transactions
6 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	16.000	20.72	06/02/20	12.22	...	8.50	1 of 6 - Sale <sup>25</sup>
	34.000	43.86	06/02/20	25.97	...	17.89	2 of 6 - Sale <sup>25</sup>
	9.000	11.65	06/04/20	7.34	...	4.31	3 of 6 - Sale <sup>25</sup>
	50.000	64.75	06/04/20	40.71	...	24.04	4 of 6 - Sale <sup>25</sup>
	50.000	64.74	06/04/20	40.68	...	24.06	5 of 6 - Sale <sup>25</sup>
	91.000	117.83	06/04/20	74.16	...	43.67	6 of 6 - Sale <sup>25</sup>
06/05/20	250.000	323.55	Various	201.08	...	122.47	Total of 6 transactions
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	50.000	97.99	06/08/20	109.27	11.28 W	0.00	1 of 3 - Sale <sup>25</sup>
	150.000	293.97	06/08/20	327.66	33.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	195.98	06/08/20	217.99	22.01 W	0.00	3 of 3 - Sale <sup>25</sup>
06/09/20	300.000	587.94	Various	654.92	66.98 W	0.00	Total of 3 transactions
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	200.000	305.98	06/08/20	435.98	130.00 W	0.00	1 of 5 - Sale <sup>25</sup>
	50.000	76.49	06/09/20	110.23	33.74 W	0.00	2 of 5 - Sale <sup>25</sup>
	50.000	73.50	06/09/20	110.28	36.78 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	147.00	06/09/20	220.46	73.46 W	0.00	4 of 5 - Sale <sup>25</sup>
	90.000	132.29	06/09/20	198.01	65.72 W	0.00	5 of 5 - Sale <sup>25</sup>
06/10/20	490.000	735.26	Various	1,074.96	339.70 W	0.00	Total of 5 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol: (cont'd)							
5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	10.000	13.90	06/09/20	22.00	8.10 W	0.00	1 of 5 - Sale <sup>25</sup>
	40.000	55.60	06/10/20	90.80	35.20 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	6.95	06/10/20	11.40	4.45 W	0.00	3 of 5 - Sale <sup>25</sup>
	45.000	62.56	06/10/20	102.60	40.04 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	139.01	06/10/20	233.00	93.99 W	0.00	5 of 5 - Sale <sup>25</sup>
06/11/20	200.000	278.02	Various	459.80	181.78 W	0.00	Total of 5 transactions
07/06/20	100.000	114.99	06/25/20	112.76	...	2.23	Sale <sup>25</sup>
16 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	40.000	45.99	06/10/20	100.19	54.20 W	0.00	1 of 16 - Sale <sup>25</sup>
	10.000	11.50	06/10/20	24.80	13.30 W	0.00	2 of 16 - Sale <sup>25</sup>
	10.000	11.50	06/10/20	25.65	14.15 W	0.00	3 of 16 - Sale <sup>25</sup>
	40.000	45.99	06/10/20	105.02	59.03 W	0.00	4 of 16 - Sale <sup>25</sup>
	100.000	114.99	06/10/20	262.46	147.47 W	0.00	5 of 16 - Sale <sup>25</sup>
	10.000	11.50	06/11/20	21.34	9.84 W	0.00	6 of 16 - Sale <sup>25</sup>
	5.000	5.75	06/11/20	11.44	5.69 W	0.00	7 of 16 - Sale <sup>25</sup>
	10.000	11.50	06/11/20	22.08	10.58 W	0.00	8 of 16 - Sale <sup>25</sup>
	40.000	45.99	06/11/20	91.10	45.11 W	0.00	9 of 16 - Sale <sup>25</sup>
	45.000	51.74	06/11/20	102.93	51.19 W	0.00	10 of 16 - Sale <sup>25</sup>
	90.000	103.49	06/11/20	191.50	88.01 W	0.00	11 of 16 - Sale <sup>25</sup>
	100.000	114.98	06/15/20	235.99	121.01 W	0.00	12 of 16 - Sale <sup>25</sup>
	1.000	1.15	06/25/20	1.21	0.06 W	0.00	13 of 16 - Sale <sup>25</sup>
	49.000	56.34	06/25/20	59.29	2.95 W	0.00	14 of 16 - Sale <sup>25</sup>
	50.000	57.49	06/26/20	58.88	1.39 W	0.00	15 of 16 - Sale <sup>25</sup>
	300.000	344.96	06/26/20	347.28	2.32 W	0.00	16 of 16 - Sale <sup>25</sup>
07/06/20	900.000	1,034.86	Various	1,661.16	626.30 W	0.00	Total of 16 transactions
07/15/20	300.000	366.71	07/06/20	361.57	...	5.14	Sale <sup>25</sup>
18 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	10.000	12.12	07/06/20	25.73	13.61 W	0.00	1 of 18 - Sale <sup>25</sup>
	40.000	48.50	07/06/20	100.50	52.00 W	0.00	2 of 18 - Sale <sup>25</sup>
	40.000	48.49	07/06/20	105.33	56.84 W	0.00	3 of 18 - Sale <sup>25</sup>
	100.000	121.24	07/06/20	263.23	141.99 W	0.00	4 of 18 - Sale <sup>25</sup>
	10.000	12.12	07/06/20	21.42	9.30 W	0.00	5 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol: (cont'd)							
	5.000	6.06	07/06/20	11.48	5.42 W	0.00	6 of 18 - Sale <sup>25</sup>
	10.000	12.12	07/06/20	24.88	12.76 W	0.00	7 of 18 - Sale <sup>25</sup>
	10.000	12.12	07/06/20	22.16	10.04 W	0.00	8 of 18 - Sale <sup>25</sup>
	40.000	48.50	07/06/20	91.41	42.91 W	0.00	9 of 18 - Sale <sup>25</sup>
	45.000	54.56	07/06/20	103.28	48.72 W	0.00	10 of 18 - Sale <sup>25</sup>
	90.000	109.12	07/06/20	192.19	83.07 W	0.00	11 of 18 - Sale <sup>25</sup>
	100.000	122.27	07/06/20	236.77	114.50 W	0.00	12 of 18 - Sale <sup>25</sup>
	10.000	12.23	07/06/20	25.59	13.36 W	0.00	13 of 18 - Sale <sup>25</sup>
	40.000	48.91	07/06/20	99.90	50.99 W	0.00	14 of 18 - Sale <sup>25</sup>
	50.000	61.13	07/06/20	130.87	69.74 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	1.22	07/06/20	1.26	0.04 W	0.00	16 of 18 - Sale <sup>25</sup>
	49.000	59.91	07/06/20	61.63	1.72 W	0.00	17 of 18 - Sale <sup>25</sup>
	50.000	61.13	07/06/20	61.26	0.13 W	0.00	18 of 18 - Sale <sup>25</sup>
07/15/20	700.000	851.75	Various	1,578.89	727.14 W	0.00	Total of 18 transactions
	4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	1.23	07/17/20	1.17	...	0.06	1 of 4 - Sale <sup>25</sup>
	49.000	60.39	07/17/20	56.97	...	3.42	2 of 4 - Sale <sup>25</sup>
	50.000	61.62	07/17/20	56.51	...	5.11	3 of 4 - Sale <sup>25</sup>
	150.000	184.85	07/17/20	169.14	...	15.71	4 of 4 - Sale <sup>25</sup>
07/22/20	250.000	308.09	Various	283.79	...	24.30	Total of 4 transactions
	17 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	1.23	07/15/20	2.65	1.42 W	0.00	1 of 17 - Sale <sup>25</sup>
	40.000	49.29	07/16/20	104.35	55.06 W	0.00	2 of 17 - Sale <sup>25</sup>
	49.000	60.38	07/16/20	127.76	67.38 W	0.00	3 of 17 - Sale <sup>25</sup>
	10.000	12.32	07/16/20	21.17	8.85 W	0.00	4 of 17 - Sale <sup>25</sup>
	1.000	1.23	07/16/20	2.47	1.24 W	0.00	5 of 17 - Sale <sup>25</sup>
	5.000	6.16	07/16/20	11.46	5.30 W	0.00	6 of 17 - Sale <sup>25</sup>
	9.000	11.09	07/16/20	22.35	11.26 W	0.00	7 of 17 - Sale <sup>25</sup>
	10.000	12.32	07/16/20	22.11	9.79 W	0.00	8 of 17 - Sale <sup>25</sup>
	36.000	44.37	07/16/20	82.45	38.08 W	0.00	9 of 17 - Sale <sup>25</sup>
	40.000	49.29	07/16/20	91.21	41.92 W	0.00	10 of 17 - Sale <sup>25</sup>
	9.000	11.09	07/16/20	20.70	9.61 W	0.00	11 of 17 - Sale <sup>25</sup>
	40.000	49.30	07/16/20	85.62	36.32 W	0.00	12 of 17 - Sale <sup>25</sup>
	10.000	12.32	07/16/20	25.54	13.22 W	0.00	13 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol: (cont'd)							
	40.000	49.29	07/16/20	99.69	50.40 W	0.00	14 of 17 - Sale <sup>25</sup>
	50.000	61.62	07/16/20	130.61	68.99 W	0.00	15 of 17 - Sale <sup>25</sup>
	50.000	61.62	07/16/20	107.03	45.41 W	0.00	16 of 17 - Sale <sup>25</sup>
	100.000	123.24	07/16/20	236.25	113.01 W	0.00	17 of 17 - Sale <sup>25</sup>
07/22/20	500.000	616.16	Various	1,193.42	577.26 W	0.00	Total of 17 transactions
	13 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1.000	1.32	07/22/20	2.67	1.35 W	0.00	1 of 13 - Sale <sup>25</sup>
	9.000	11.90	07/23/20	21.31	9.41 W	0.00	2 of 13 - Sale <sup>25</sup>
	15.000	19.84	07/23/20	35.37	15.53 W	0.00	3 of 13 - Sale <sup>25</sup>
	40.000	52.89	07/23/20	88.32	35.43 W	0.00	4 of 13 - Sale <sup>25</sup>
	40.000	52.89	07/23/20	107.06	54.17 W	0.00	5 of 13 - Sale <sup>25</sup>
	49.000	64.79	07/23/20	131.08	66.29 W	0.00	6 of 13 - Sale <sup>25</sup>
	10.000	13.22	07/23/20	21.85	8.63 W	0.00	7 of 13 - Sale <sup>25</sup>
	1.000	1.32	07/23/20	2.54	1.22 W	0.00	8 of 13 - Sale <sup>25</sup>
	5.000	6.61	07/23/20	11.80	5.19 W	0.00	9 of 13 - Sale <sup>25</sup>
	9.000	11.90	07/23/20	22.96	11.06 W	0.00	10 of 13 - Sale <sup>25</sup>
	10.000	13.22	07/23/20	22.79	9.57 W	0.00	11 of 13 - Sale <sup>25</sup>
	21.000	27.77	07/23/20	49.51	21.74 W	0.00	12 of 13 - Sale <sup>25</sup>
	40.000	52.90	07/23/20	93.92	41.02 W	0.00	13 of 13 - Sale <sup>25</sup>
07/27/20	250.000	330.57	Various	611.18	280.61 W	0.00	Total of 13 transactions
	<b>Security total:</b>	<b>5,886.92</b>		<b>8,534.73</b>	<b>2,801.95 W</b>	<b>154.14</b>	
CALLON PETROLEUM COMPANY / CUSIP: 13123X508 / Symbol:							
	19 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	10.000	106.21	08/07/20	215.77	...	-109.56	1 of 19 - Sale <sup>25</sup>
	5.000	53.10	08/07/20	120.37	...	-67.27	2 of 19 - Sale <sup>25</sup>
	4.900	52.04	08/07/20	116.64	...	-64.60	3 of 19 - Sale <sup>25</sup>
	4.000	42.48	08/07/20	95.27	...	-52.79	4 of 19 - Sale <sup>25</sup>
	4.000	42.48	08/07/20	91.50	...	-49.02	5 of 19 - Sale <sup>25</sup>
	5.000	53.10	08/07/20	96.79	...	-43.69	6 of 19 - Sale <sup>25</sup>
	4.000	42.48	08/07/20	82.12	...	-39.64	7 of 19 - Sale <sup>25</sup>
	4.000	42.48	08/07/20	76.53	...	-34.05	8 of 19 - Sale <sup>25</sup>
	2.100	22.30	08/07/20	43.32	...	-21.02	9 of 19 - Sale <sup>25</sup>
	1.500	15.93	08/07/20	30.94	...	-15.01	10 of 19 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CALLON PETROLEUM COMPANY / CUSIP: 13123X508 / Symbol: (cont'd)							
	1.000	10.62	08/07/20	23.50	...	-12.88	11 of 19 - Sale <sup>25</sup>
	0.900	9.56	08/07/20	20.31	...	-10.75	12 of 19 - Sale <sup>25</sup>
	1.000	10.62	08/07/20	19.85	...	-9.23	13 of 19 - Sale <sup>25</sup>
	0.900	9.56	08/07/20	18.66	...	-9.10	14 of 19 - Sale <sup>25</sup>
	1.000	10.62	08/07/20	18.91	...	-8.29	15 of 19 - Sale <sup>25</sup>
	0.500	5.31	08/07/20	10.33	...	-5.02	16 of 19 - Sale <sup>25</sup>
	0.100	1.06	08/07/20	2.38	...	-1.32	17 of 19 - Sale <sup>25</sup>
	0.100	1.06	08/07/20	2.25	...	-1.19	18 of 19 - Sale <sup>25</sup>
	50.000	531.05	08/07/20	518.80	...	12.25	19 of 19 - Sale <sup>25</sup>
08/10/20	100.000	1,062.06	Various	1,604.24	...	-542.18	Total of 19 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
2 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	8.000	14.08	01/28/20	14.72	...	-0.64	1 of 2 - Sale <sup>25</sup>
	11.000	19.36	02/04/20	18.48	...	0.88	2 of 2 - Sale <sup>25</sup>
02/06/20	19.000	33.44	Various	33.20	...	0.24	Total of 2 transactions
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol:							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	80.000	243.19	06/01/20	172.00	...	71.19	1 of 3 - Sale <sup>25</sup>
	100.000	303.99	06/01/20	209.99	...	94.00	2 of 3 - Sale <sup>25</sup>
	120.000	364.78	06/01/20	250.80	...	113.98	3 of 3 - Sale <sup>25</sup>
06/02/20	300.000	911.96	Various	632.79	...	279.17	Total of 3 transactions
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	100.000	306.00	06/02/20	323.00	17.00 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	306.00	06/02/20	323.00	17.00 W	0.00	2 of 4 - Sale <sup>25</sup>
	260.000	795.55	06/02/20	839.80	44.25 W	0.00	3 of 4 - Sale <sup>25</sup>
	40.000	122.00	06/02/20	129.20	7.20 W	0.00	4 of 4 - Sale <sup>25</sup>
06/02/20	500.000	1,529.55	Various	1,615.00	85.45 W	0.00	Total of 4 transactions
10 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	100.000	244.98	06/02/20	318.00	73.02 W	0.00	1 of 10 - Sale <sup>25</sup>
	50.000	122.49	06/02/20	154.25	31.76 W	0.00	2 of 10 - Sale <sup>25</sup>
	50.000	122.49	06/02/20	155.00	32.51 W	0.00	3 of 10 - Sale <sup>25</sup>
	50.000	122.49	06/02/20	155.51	33.02 W	0.00	4 of 10 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	50.000	122.49	06/02/20	152.51	30.02 W	0.00	5 of 10 - Sale <sup>25</sup>
	50.000	122.49	06/02/20	147.51	25.02 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	122.49	06/02/20	143.76	21.27 W	0.00	7 of 10 - Sale <sup>25</sup>
	50.000	122.50	06/02/20	147.01	24.51 W	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	24.50	06/02/20	28.45	3.95 W	0.00	9 of 10 - Sale <sup>25</sup>
	40.000	97.99	06/02/20	114.20	16.21 W	0.00	10 of 10 - Sale <sup>25</sup>
06/03/20	500.000	1,224.91	Various	1,516.20	291.29 W	0.00	Total of 10 transactions
	10 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	100.000	229.99	06/02/20	335.52	105.53 W	0.00	1 of 10 - Sale <sup>25</sup>
	10.000	23.00	06/02/20	30.15	7.15 W	0.00	2 of 10 - Sale <sup>25</sup>
	40.000	91.99	06/02/20	121.01	29.02 W	0.00	3 of 10 - Sale <sup>25</sup>
	50.000	115.00	06/02/20	162.76	47.76 W	0.00	4 of 10 - Sale <sup>25</sup>
	50.000	115.00	06/02/20	163.51	48.51 W	0.00	5 of 10 - Sale <sup>25</sup>
	50.000	115.00	06/02/20	164.02	49.02 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	114.99	06/02/20	161.02	46.03 W	0.00	7 of 10 - Sale <sup>25</sup>
	50.000	115.00	06/02/20	156.02	41.02 W	0.00	8 of 10 - Sale <sup>25</sup>
	50.000	114.99	06/02/20	152.27	37.28 W	0.00	9 of 10 - Sale <sup>25</sup>
	50.000	115.00	06/02/20	155.51	40.51 W	0.00	10 of 10 - Sale <sup>25</sup>
06/04/20	500.000	1,149.96	Various	1,601.79	451.83 W	0.00	Total of 10 transactions
	16 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	100.000	207.65	06/02/20	355.53	147.88 W	0.00	1 of 16 - Sale <sup>25</sup>
	50.000	103.83	06/02/20	168.26	64.43 W	0.00	2 of 16 - Sale <sup>25</sup>
	50.000	103.83	06/02/20	169.01	65.18 W	0.00	3 of 16 - Sale <sup>25</sup>
	50.000	103.83	06/02/20	169.52	65.69 W	0.00	4 of 16 - Sale <sup>25</sup>
	50.000	103.83	06/02/20	166.53	62.70 W	0.00	5 of 16 - Sale <sup>25</sup>
	10.000	20.77	06/02/20	31.20	10.43 W	0.00	6 of 16 - Sale <sup>25</sup>
	40.000	83.06	06/02/20	125.24	42.18 W	0.00	7 of 16 - Sale <sup>25</sup>
	50.000	103.83	06/02/20	161.30	57.47 W	0.00	8 of 16 - Sale <sup>25</sup>
	50.000	103.83	06/02/20	157.55	53.72 W	0.00	9 of 16 - Sale <sup>25</sup>
	50.000	103.83	06/02/20	160.79	56.96 W	0.00	10 of 16 - Sale <sup>25</sup>
	50.000	103.83	06/03/20	123.25	19.42 W	0.00	11 of 16 - Sale <sup>25</sup>
	200.000	415.30	06/03/20	493.06	77.76 W	0.00	12 of 16 - Sale <sup>25</sup>
	250.000	519.13	06/03/20	619.98	100.85 W	0.00	13 of 16 - Sale <sup>25</sup>
	250.000	519.13	06/04/20	571.25	52.12 W	0.00	14 of 16 - Sale <sup>25</sup>

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(continued)

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CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	250.000	519.13	06/04/20	572.50	53.37 W	0.00	15 of 16 - Sale <sup>25</sup>
	500.000	1,038.25	06/04/20	1,087.50	49.25 W	0.00	16 of 16 - Sale <sup>25</sup>
06/05/20	2,000.000	4,153.06	Various	5,132.47	979.41 W	0.00	Total of 16 transactions
	13 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	10.000	20.83	06/04/20	32.13	11.30 W	0.00	1 of 13 - Sale <sup>25</sup>
	40.000	83.31	06/04/20	128.98	45.67 W	0.00	2 of 13 - Sale <sup>25</sup>
	50.000	104.14	06/04/20	172.93	68.79 W	0.00	3 of 13 - Sale <sup>25</sup>
	50.000	104.14	06/04/20	173.67	69.53 W	0.00	4 of 13 - Sale <sup>25</sup>
	50.000	104.14	06/04/20	174.19	70.05 W	0.00	5 of 13 - Sale <sup>25</sup>
	50.000	104.14	06/04/20	171.19	67.05 W	0.00	6 of 13 - Sale <sup>25</sup>
	50.000	104.14	06/04/20	165.97	61.83 W	0.00	7 of 13 - Sale <sup>25</sup>
	50.000	104.14	06/04/20	162.21	58.07 W	0.00	8 of 13 - Sale <sup>25</sup>
	50.000	104.14	06/04/20	165.46	61.32 W	0.00	9 of 13 - Sale <sup>25</sup>
	100.000	208.28	06/04/20	364.87	156.59 W	0.00	10 of 13 - Sale <sup>25</sup>
	50.000	104.14	06/04/20	127.91	23.77 W	0.00	11 of 13 - Sale <sup>25</sup>
	200.000	416.57	06/04/20	511.74	95.17 W	0.00	12 of 13 - Sale <sup>25</sup>
	250.000	520.72	06/04/20	643.32	122.60 W	0.00	13 of 13 - Sale <sup>25</sup>
06/08/20	1,000.000	2,082.83	Various	2,994.57	911.74 W	0.00	Total of 13 transactions
	13 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	100.000	241.09	06/04/20	239.75	...	1.34	1 of 13 - Sale <sup>25</sup>
	250.000	602.45	06/04/20	600.62	...	1.83	2 of 13 - Sale <sup>25</sup>
	150.000	361.47	06/04/20	359.62	...	1.85	3 of 13 - Sale <sup>25</sup>
	500.000	1,204.91	06/04/20	1,143.75	...	61.16	4 of 13 - Sale <sup>25</sup>
	50.000	119.99	06/05/20	115.47	...	4.52	5 of 13 - Sale <sup>25</sup>
	50.000	119.99	06/05/20	114.75	...	5.24	6 of 13 - Sale <sup>25</sup>
	50.000	119.99	06/05/20	114.24	...	5.75	7 of 13 - Sale <sup>25</sup>
	50.000	119.99	06/05/20	114.23	...	5.76	8 of 13 - Sale <sup>25</sup>
	100.000	239.98	06/05/20	230.95	...	9.03	9 of 13 - Sale <sup>25</sup>
	100.000	239.98	06/05/20	230.95	...	9.03	10 of 13 - Sale <sup>25</sup>
	100.000	239.98	06/05/20	229.50	...	10.48	11 of 13 - Sale <sup>25</sup>
	500.000	1,199.92	06/08/20	1,045.00	...	154.92	12 of 13 - Sale <sup>25</sup>
	500.000	1,199.93	06/08/20	1,042.50	...	157.43	13 of 13 - Sale <sup>25</sup>
06/10/20	2,500.000	6,009.67	Various	5,581.33	...	428.34	Total of 13 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
35 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
70.000		168.69	06/05/20	258.01	89.32 W	0.00	1 of 35 - Sale <sup>25</sup>
50.000		120.49	06/05/20	174.79	54.30 W	0.00	2 of 35 - Sale <sup>25</sup>
20.000		48.20	06/05/20	70.21	22.01 W	0.00	3 of 35 - Sale <sup>25</sup>
30.000		72.30	06/05/20	110.58	38.28 W	0.00	4 of 35 - Sale <sup>25</sup>
30.000		72.30	06/05/20	105.32	33.02 W	0.00	5 of 35 - Sale <sup>25</sup>
50.000		120.49	06/05/20	176.05	55.56 W	0.00	6 of 35 - Sale <sup>25</sup>
20.000		48.20	06/05/20	69.22	21.02 W	0.00	7 of 35 - Sale <sup>25</sup>
19.000		45.79	06/05/20	63.78	17.99 W	0.00	8 of 35 - Sale <sup>25</sup>
30.000		72.30	06/05/20	103.83	31.53 W	0.00	9 of 35 - Sale <sup>25</sup>
31.000		74.70	06/05/20	104.05	29.35 W	0.00	10 of 35 - Sale <sup>25</sup>
50.000		120.49	06/05/20	164.07	43.58 W	0.00	11 of 35 - Sale <sup>25</sup>
10.000		24.10	06/05/20	32.50	8.40 W	0.00	12 of 35 - Sale <sup>25</sup>
40.000		96.40	06/05/20	130.47	34.07 W	0.00	13 of 35 - Sale <sup>25</sup>
50.000		120.49	06/05/20	167.32	46.83 W	0.00	14 of 35 - Sale <sup>25</sup>
50.000		120.49	06/05/20	130.22	9.73 W	0.00	15 of 35 - Sale <sup>25</sup>
50.000		120.50	06/05/20	130.24	9.74 W	0.00	16 of 35 - Sale <sup>25</sup>
100.000		240.99	06/05/20	260.49	19.50 W	0.00	17 of 35 - Sale <sup>25</sup>
50.000		120.49	06/05/20	130.24	9.75 W	0.00	18 of 35 - Sale <sup>25</sup>
50.000		120.50	06/05/20	130.97	10.47 W	0.00	19 of 35 - Sale <sup>25</sup>
100.000		240.99	06/05/20	261.94	20.95 W	0.00	20 of 35 - Sale <sup>25</sup>
100.000		240.99	06/05/20	261.94	20.95 W	0.00	21 of 35 - Sale <sup>25</sup>
10.000		24.00	06/05/20	29.66	5.66 W	0.00	22 of 35 - Sale <sup>25</sup>
19.000		45.60	06/05/20	58.39	12.79 W	0.00	23 of 35 - Sale <sup>25</sup>
20.000		48.00	06/05/20	64.54	16.54 W	0.00	24 of 35 - Sale <sup>25</sup>
20.000		48.00	06/05/20	63.55	15.55 W	0.00	25 of 35 - Sale <sup>25</sup>
30.000		71.99	06/05/20	102.07	30.08 W	0.00	26 of 35 - Sale <sup>25</sup>
30.000		71.99	06/05/20	96.81	24.82 W	0.00	27 of 35 - Sale <sup>25</sup>
30.000		71.99	06/05/20	95.32	23.33 W	0.00	28 of 35 - Sale <sup>25</sup>
31.000		74.39	06/05/20	95.27	20.88 W	0.00	29 of 35 - Sale <sup>25</sup>
40.000		95.99	06/05/20	119.13	23.14 W	0.00	30 of 35 - Sale <sup>25</sup>
50.000		119.99	06/05/20	160.62	40.63 W	0.00	31 of 35 - Sale <sup>25</sup>
50.000		119.99	06/05/20	161.88	41.89 W	0.00	32 of 35 - Sale <sup>25</sup>
50.000		119.99	06/05/20	149.90	29.91 W	0.00	33 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	50.000	119.99	06/05/20	153.15	33.16 W	0.00	34 of 35 - Sale <sup>25</sup>
	70.000	167.99	06/05/20	238.17	70.18 W	0.00	35 of 35 - Sale <sup>25</sup>
06/10/20	1,500.000	3,609.79	Various	4,624.70	1,014.91 W	0.00	Total of 35 transactions
	16 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	10.000	18.92	06/10/20	29.36	10.44 W	0.00	1 of 16 - Sale <sup>25</sup>
	19.000	35.95	06/10/20	57.82	21.87 W	0.00	2 of 16 - Sale <sup>25</sup>
	20.000	37.84	06/10/20	63.94	26.10 W	0.00	3 of 16 - Sale <sup>25</sup>
	20.000	37.84	06/10/20	62.95	25.11 W	0.00	4 of 16 - Sale <sup>25</sup>
	30.000	56.76	06/10/20	101.18	44.42 W	0.00	5 of 16 - Sale <sup>25</sup>
	30.000	56.76	06/10/20	95.92	39.16 W	0.00	6 of 16 - Sale <sup>25</sup>
	30.000	56.76	06/10/20	94.43	37.67 W	0.00	7 of 16 - Sale <sup>25</sup>
	31.000	58.65	06/10/20	94.35	35.70 W	0.00	8 of 16 - Sale <sup>25</sup>
	40.000	75.68	06/10/20	117.94	42.26 W	0.00	9 of 16 - Sale <sup>25</sup>
	50.000	94.60	06/10/20	159.13	64.53 W	0.00	10 of 16 - Sale <sup>25</sup>
	50.000	94.60	06/10/20	160.39	65.79 W	0.00	11 of 16 - Sale <sup>25</sup>
	50.000	94.60	06/10/20	148.41	53.81 W	0.00	12 of 16 - Sale <sup>25</sup>
	50.000	94.60	06/10/20	151.66	57.06 W	0.00	13 of 16 - Sale <sup>25</sup>
	70.000	132.44	06/10/20	236.08	103.64 W	0.00	14 of 16 - Sale <sup>25</sup>
	500.000	945.95	06/10/20	1,200.00	254.05 W	0.00	15 of 16 - Sale <sup>25</sup>
	500.000	945.95	06/11/20	1,075.00	129.05 W	0.00	16 of 16 - Sale <sup>25</sup>
07/06/20	1,500.000	2,837.90	Various	3,848.56	1,010.66 W	0.00	Total of 16 transactions
	19 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	2.000	3.65	06/15/20	6.22	2.57 W	0.00	1 of 19 - Sale <sup>25</sup>
	19.000	34.63	06/15/20	59.30	24.67 W	0.00	2 of 19 - Sale <sup>25</sup>
	20.000	36.46	06/15/20	65.50	29.04 W	0.00	3 of 19 - Sale <sup>25</sup>
	20.000	36.46	06/15/20	64.51	28.05 W	0.00	4 of 19 - Sale <sup>25</sup>
	30.000	54.68	06/15/20	103.52	48.84 W	0.00	5 of 19 - Sale <sup>25</sup>
	30.000	54.69	06/15/20	98.26	43.57 W	0.00	6 of 19 - Sale <sup>25</sup>
	30.000	54.68	06/15/20	96.77	42.09 W	0.00	7 of 19 - Sale <sup>25</sup>
	31.000	56.51	06/15/20	96.77	40.26 W	0.00	8 of 19 - Sale <sup>25</sup>
	50.000	91.14	06/15/20	163.03	71.89 W	0.00	9 of 19 - Sale <sup>25</sup>
	50.000	91.14	06/15/20	164.29	73.15 W	0.00	10 of 19 - Sale <sup>25</sup>
	50.000	91.14	06/15/20	152.31	61.17 W	0.00	11 of 19 - Sale <sup>25</sup>
	70.000	127.60	06/15/20	241.54	113.94 W	0.00	12 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	10.000	18.23	06/15/20	30.14	11.91 W	0.00	13 of 19 - Sale <sup>25</sup>
	40.000	72.91	06/15/20	121.06	48.15 W	0.00	14 of 19 - Sale <sup>25</sup>
	48.000	87.50	06/15/20	149.34	61.84 W	0.00	15 of 19 - Sale <sup>25</sup>
	500.000	911.42	06/17/20	1,224.05	312.63 W	0.00	16 of 19 - Sale <sup>25</sup>
	100.000	182.28	06/18/20	218.31	36.03 W	0.00	17 of 19 - Sale <sup>25</sup>
	100.000	182.28	06/18/20	218.31	36.03 W	0.00	18 of 19 - Sale <sup>25</sup>
	300.000	546.85	06/18/20	662.43	115.58 W	0.00	19 of 19 - Sale <sup>25</sup>
07/07/20	1,500.000	2,734.25	Various	3,935.66	1,201.41 W	0.00	Total of 19 transactions
	71 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	30.000	59.73	06/18/20	106.29	46.56 W	0.00	1 of 71 - Sale <sup>25</sup>
	70.000	139.36	06/18/20	247.99	108.63 W	0.00	2 of 71 - Sale <sup>25</sup>
	20.000	39.82	06/18/20	67.34	27.52 W	0.00	3 of 71 - Sale <sup>25</sup>
	30.000	59.72	06/18/20	101.02	41.30 W	0.00	4 of 71 - Sale <sup>25</sup>
	50.000	99.55	06/18/20	167.64	68.09 W	0.00	5 of 71 - Sale <sup>25</sup>
	20.000	39.82	06/19/20	67.15	27.33 W	0.00	6 of 71 - Sale <sup>25</sup>
	30.000	59.72	06/19/20	100.74	41.02 W	0.00	7 of 71 - Sale <sup>25</sup>
	50.000	99.55	06/19/20	170.90	71.35 W	0.00	8 of 71 - Sale <sup>25</sup>
	19.000	37.83	06/19/20	61.82	23.99 W	0.00	9 of 71 - Sale <sup>25</sup>
	31.000	61.72	06/19/20	100.86	39.14 W	0.00	10 of 71 - Sale <sup>25</sup>
	50.000	99.54	06/19/20	158.92	59.38 W	0.00	11 of 71 - Sale <sup>25</sup>
	2.000	3.98	06/19/20	6.48	2.50 W	0.00	12 of 71 - Sale <sup>25</sup>
	10.000	19.91	06/19/20	31.46	11.55 W	0.00	13 of 71 - Sale <sup>25</sup>
	40.000	79.64	06/19/20	126.35	46.71 W	0.00	14 of 71 - Sale <sup>25</sup>
	48.000	95.56	06/19/20	155.68	60.12 W	0.00	15 of 71 - Sale <sup>25</sup>
	500.000	989.92	06/19/20	1,270.13	280.21 W	0.00	16 of 71 - Sale <sup>25</sup>
	100.000	197.98	06/26/20	225.53	27.55 W	0.00	17 of 71 - Sale <sup>25</sup>
	100.000	197.98	06/26/20	224.53	26.55 W	0.00	18 of 71 - Sale <sup>25</sup>
	300.000	593.95	06/26/20	681.08	87.13 W	0.00	19 of 71 - Sale <sup>25</sup>
	30.000	59.24	06/29/20	100.71	41.47 W	0.00	20 of 71 - Sale <sup>25</sup>
	70.000	138.24	06/29/20	234.98	96.74 W	0.00	21 of 71 - Sale <sup>25</sup>
	20.000	39.50	06/29/20	63.72	24.22 W	0.00	22 of 71 - Sale <sup>25</sup>
	30.000	59.24	06/29/20	95.60	36.36 W	0.00	23 of 71 - Sale <sup>25</sup>
	50.000	98.74	06/29/20	158.59	59.85 W	0.00	24 of 71 - Sale <sup>25</sup>
	2.000	3.95	06/29/20	6.12	2.17 W	0.00	25 of 71 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	10.000	19.75	06/29/20	29.65	9.90 W	0.00	26 of 71 - Sale <sup>25</sup>
	19.000	37.52	06/29/20	58.38	20.86 W	0.00	27 of 71 - Sale <sup>25</sup>
	20.000	39.50	06/29/20	63.53	24.03 W	0.00	28 of 71 - Sale <sup>25</sup>
	30.000	59.25	06/29/20	95.32	36.07 W	0.00	29 of 71 - Sale <sup>25</sup>
	31.000	61.22	06/29/20	95.25	34.03 W	0.00	30 of 71 - Sale <sup>25</sup>
	40.000	78.99	06/29/20	119.11	40.12 W	0.00	31 of 71 - Sale <sup>25</sup>
	48.000	94.79	06/29/20	147.00	52.21 W	0.00	32 of 71 - Sale <sup>25</sup>
	50.000	98.74	06/29/20	161.85	63.11 W	0.00	33 of 71 - Sale <sup>25</sup>
	50.000	98.74	06/29/20	149.88	51.14 W	0.00	34 of 71 - Sale <sup>25</sup>
	500.000	987.42	07/02/20	1,208.56	221.14 W	0.00	35 of 71 - Sale <sup>25</sup>
	20.000	38.87	07/06/20	62.14	23.27 W	0.00	36 of 71 - Sale <sup>25</sup>
	30.000	58.31	07/06/20	98.35	40.04 W	0.00	37 of 71 - Sale <sup>25</sup>
	30.000	58.31	07/06/20	93.24	34.93 W	0.00	38 of 71 - Sale <sup>25</sup>
	50.000	97.18	07/06/20	154.65	57.47 W	0.00	39 of 71 - Sale <sup>25</sup>
	70.000	136.05	07/06/20	229.45	93.40 W	0.00	40 of 71 - Sale <sup>25</sup>
	2.000	3.89	07/06/20	5.96	2.07 W	0.00	41 of 71 - Sale <sup>25</sup>
	10.000	19.44	07/06/20	28.86	9.42 W	0.00	42 of 71 - Sale <sup>25</sup>
	19.000	36.93	07/06/20	56.88	19.95 W	0.00	43 of 71 - Sale <sup>25</sup>
	20.000	38.87	07/06/20	61.95	23.08 W	0.00	44 of 71 - Sale <sup>25</sup>
	30.000	58.31	07/06/20	92.95	34.64 W	0.00	45 of 71 - Sale <sup>25</sup>
	31.000	60.25	07/06/20	92.80	32.55 W	0.00	46 of 71 - Sale <sup>25</sup>
	40.000	77.74	07/06/20	115.96	38.22 W	0.00	47 of 71 - Sale <sup>25</sup>
	48.000	93.29	07/06/20	143.21	49.92 W	0.00	48 of 71 - Sale <sup>25</sup>
	50.000	97.18	07/06/20	157.90	60.72 W	0.00	49 of 71 - Sale <sup>25</sup>
	50.000	97.18	07/06/20	145.93	48.75 W	0.00	50 of 71 - Sale <sup>25</sup>
	100.000	194.35	07/06/20	217.14	22.79 W	0.00	51 of 71 - Sale <sup>25</sup>
	100.000	194.35	07/06/20	216.14	21.79 W	0.00	52 of 71 - Sale <sup>25</sup>
	300.000	583.05	07/06/20	655.90	72.85 W	0.00	53 of 71 - Sale <sup>25</sup>
	500.000	971.75	07/06/20	1,168.64	196.89 W	0.00	54 of 71 - Sale <sup>25</sup>
	30.000	58.27	07/07/20	94.79	36.52 W	0.00	55 of 71 - Sale <sup>25</sup>
	70.000	135.96	07/07/20	221.15	85.19 W	0.00	56 of 71 - Sale <sup>25</sup>
	37.000	71.87	07/07/20	110.06	38.19 W	0.00	57 of 71 - Sale <sup>25</sup>
	13.000	25.25	07/07/20	38.67	13.42 W	0.00	58 of 71 - Sale <sup>25</sup>
	20.000	38.85	07/07/20	59.77	20.92 W	0.00	59 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CANAAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	30.000	58.27	07/07/20	89.68	31.41 W	0.00	60 of 71 - Sale <sup>25</sup>
	37.000	71.87	07/07/20	112.45	40.58 W	0.00	61 of 71 - Sale <sup>25</sup>
	13.000	25.25	07/07/20	39.52	14.27 W	0.00	62 of 71 - Sale <sup>25</sup>
	20.000	38.85	07/07/20	59.58	20.73 W	0.00	63 of 71 - Sale <sup>25</sup>
	30.000	58.27	07/07/20	89.39	31.12 W	0.00	64 of 71 - Sale <sup>25</sup>
	19.000	36.90	07/07/20	54.62	17.72 W	0.00	65 of 71 - Sale <sup>25</sup>
	31.000	60.21	07/07/20	89.13	28.92 W	0.00	66 of 71 - Sale <sup>25</sup>
	50.000	97.11	07/07/20	140.00	42.89 W	0.00	67 of 71 - Sale <sup>25</sup>
	2.000	3.88	07/07/20	5.72	1.84 W	0.00	68 of 71 - Sale <sup>25</sup>
	10.000	19.42	07/07/20	27.67	8.25 W	0.00	69 of 71 - Sale <sup>25</sup>
	40.000	77.70	07/07/20	111.22	33.52 W	0.00	70 of 71 - Sale <sup>25</sup>
	48.000	93.23	07/07/20	137.52	44.29 W	0.00	71 of 71 - Sale <sup>25</sup>
07/15/20	4,500.000	8,836.57	Various	12,139.15	3,302.58 W	0.00	Total of 71 transactions
	29 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	50.000	127.74	07/07/20	102.40	...	25.34	1 of 29 - Sale <sup>25</sup>
	50.000	127.74	07/07/20	102.39	...	25.35	2 of 29 - Sale <sup>25</sup>
	100.000	255.48	07/07/20	207.28	...	48.20	3 of 29 - Sale <sup>25</sup>
	100.000	255.48	07/07/20	205.79	...	49.69	4 of 29 - Sale <sup>25</sup>
	200.000	510.97	07/07/20	414.57	...	96.40	5 of 29 - Sale <sup>25</sup>
	500.000	1,401.20	07/07/20	1,111.89	...	289.31	6 of 29 - Sale <sup>25</sup>
	2.000	5.06	07/16/20	4.04	...	1.02	7 of 29 - Sale <sup>25</sup>
	13.000	33.02	07/16/20	28.83	...	4.19	8 of 29 - Sale <sup>25</sup>
	13.000	33.02	07/16/20	27.98	...	5.04	9 of 29 - Sale <sup>25</sup>
	10.000	25.30	07/16/20	19.25	...	6.05	10 of 29 - Sale <sup>25</sup>
	30.000	76.35	07/16/20	70.13	...	6.22	11 of 29 - Sale <sup>25</sup>
	20.000	50.80	07/16/20	43.32	...	7.48	12 of 29 - Sale <sup>25</sup>
	20.000	50.80	07/16/20	42.93	...	7.87	13 of 29 - Sale <sup>25</sup>
	19.000	48.26	07/16/20	38.82	...	9.44	14 of 29 - Sale <sup>25</sup>
	30.000	76.19	07/16/20	65.02	...	11.17	15 of 29 - Sale <sup>25</sup>
	30.000	76.19	07/16/20	64.43	...	11.76	16 of 29 - Sale <sup>25</sup>
	37.000	93.97	07/16/20	82.03	...	11.94	17 of 29 - Sale <sup>25</sup>
	37.000	93.98	07/16/20	79.64	...	14.34	18 of 29 - Sale <sup>25</sup>
	70.000	178.14	07/16/20	163.60	...	14.54	19 of 29 - Sale <sup>25</sup>
	31.000	78.73	07/16/20	63.33	...	15.40	20 of 29 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	40.000	101.19	07/16/20	77.53	...	23.66	21 of 29 - Sale <sup>25</sup>
	48.000	121.43	07/16/20	97.10	...	24.33	22 of 29 - Sale <sup>25</sup>
	50.000	126.99	07/16/20	97.90	...	29.09	23 of 29 - Sale <sup>25</sup>
	50.000	126.49	07/16/20	91.75	...	34.74	24 of 29 - Sale <sup>25</sup>
	100.000	252.98	07/16/20	186.50	...	66.48	25 of 29 - Sale <sup>25</sup>
	150.000	379.47	07/16/20	278.25	...	101.22	26 of 29 - Sale <sup>25</sup>
	200.000	505.96	07/16/20	367.00	...	138.96	27 of 29 - Sale <sup>25</sup>
	250.000	632.45	07/16/20	463.75	...	168.70	28 of 29 - Sale <sup>25</sup>
	250.000	632.46	07/16/20	463.75	...	168.71	29 of 29 - Sale <sup>25</sup>
07/21/20	2,500.000	6,477.84	Various	5,061.20	...	1,416.64	Total of 29 transactions
	17 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	13.000	35.42	07/15/20	38.64	3.22 W	0.00	1 of 17 - Sale <sup>25</sup>
	20.000	54.50	07/15/20	59.72	5.22 W	0.00	2 of 17 - Sale <sup>25</sup>
	30.000	81.74	07/15/20	94.72	12.98 W	0.00	3 of 17 - Sale <sup>25</sup>
	30.000	81.74	07/15/20	89.61	7.87 W	0.00	4 of 17 - Sale <sup>25</sup>
	37.000	100.82	07/15/20	109.97	9.15 W	0.00	5 of 17 - Sale <sup>25</sup>
	70.000	190.74	07/15/20	220.99	30.25 W	0.00	6 of 17 - Sale <sup>25</sup>
	2.000	5.45	07/15/20	5.72	0.27 W	0.00	7 of 17 - Sale <sup>25</sup>
	10.000	27.25	07/15/20	27.65	0.40 W	0.00	8 of 17 - Sale <sup>25</sup>
	13.000	35.42	07/15/20	39.49	4.07 W	0.00	9 of 17 - Sale <sup>25</sup>
	19.000	51.77	07/15/20	54.58	2.81 W	0.00	10 of 17 - Sale <sup>25</sup>
	20.000	54.50	07/15/20	59.53	5.03 W	0.00	11 of 17 - Sale <sup>25</sup>
	30.000	81.74	07/15/20	89.32	7.58 W	0.00	12 of 17 - Sale <sup>25</sup>
	31.000	84.47	07/15/20	89.06	4.59 W	0.00	13 of 17 - Sale <sup>25</sup>
	37.000	100.82	07/15/20	112.36	11.54 W	0.00	14 of 17 - Sale <sup>25</sup>
	40.000	108.99	07/15/20	111.12	2.13 W	0.00	15 of 17 - Sale <sup>25</sup>
	48.000	130.79	07/15/20	137.41	6.62 W	0.00	16 of 17 - Sale <sup>25</sup>
	50.000	136.24	07/15/20	139.89	3.65 W	0.00	17 of 17 - Sale <sup>25</sup>
07/21/20	500.000	1,362.40	Various	1,479.78	117.38 W	0.00	Total of 17 transactions
	3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	250.000	617.46	07/22/20	608.75	...	8.71	1 of 3 - Sale <sup>25</sup>
	100.000	246.98	07/24/20	200.00	...	46.98	2 of 3 - Sale <sup>25</sup>
	400.000	987.93	07/24/20	799.80	...	188.13	3 of 3 - Sale <sup>25</sup>
07/27/20	750.000	1,852.37	Various	1,608.55	...	243.82	Total of 3 transactions

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
<i>7 transactions for 07/27/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	247.99	07/21/20	254.00	6.01 W	0.00	1 of 7 - Sale <sup>25</sup>
	100.000	247.99	07/22/20	257.00	9.01 W	0.00	2 of 7 - Sale <sup>25</sup>
	100.000	247.99	07/22/20	264.01	16.02 W	0.00	3 of 7 - Sale <sup>25</sup>
	100.000	246.98	07/22/20	267.01	20.03 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	246.98	07/22/20	258.00	11.02 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	246.98	07/22/20	276.02	29.04 W	0.00	6 of 7 - Sale <sup>25</sup>
	150.000	370.48	07/22/20	390.00	19.52 W	0.00	7 of 7 - Sale <sup>25</sup>
07/27/20	750.000	1,855.39	Various	1,966.04	110.65 W	0.00	Total of 7 transactions
<i>6 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	124.24	07/27/20	138.77	14.53 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	248.48	07/27/20	268.53	20.05 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	248.48	07/27/20	259.52	11.04 W	0.00	3 of 6 - Sale <sup>25</sup>
	50.000	124.24	07/27/20	140.52	16.28 W	0.00	4 of 6 - Sale <sup>25</sup>
	150.000	372.73	07/27/20	397.52	24.79 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	124.24	07/27/20	126.00	1.76 W	0.00	6 of 6 - Sale <sup>25</sup>
07/28/20	500.000	1,242.41	Various	1,330.86	88.45 W	0.00	Total of 6 transactions
<i>2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	127.99	07/27/20	127.71	...	0.28	1 of 2 - Sale <sup>25</sup>
	50.000	127.99	07/28/20	124.53	...	3.46	2 of 2 - Sale <sup>25</sup>
07/29/20	100.000	255.98	Various	252.24	...	3.74	Total of 2 transactions
<i>13 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	128.50	07/27/20	140.28	11.78 W	0.00	1 of 13 - Sale <sup>25</sup>
	50.000	128.49	07/27/20	135.78	7.29 W	0.00	2 of 13 - Sale <sup>25</sup>
	50.000	128.49	07/27/20	135.77	7.28 W	0.00	3 of 13 - Sale <sup>25</sup>
	50.000	128.49	07/27/20	131.27	2.78 W	0.00	4 of 13 - Sale <sup>25</sup>
	50.000	128.49	07/27/20	142.28	13.79 W	0.00	5 of 13 - Sale <sup>25</sup>
	50.000	128.49	07/27/20	131.52	3.03 W	0.00	6 of 13 - Sale <sup>25</sup>
	150.000	383.97	07/27/20	402.64	18.67 W	0.00	7 of 13 - Sale <sup>25</sup>
	50.000	127.99	07/28/20	133.28	5.29 W	0.00	8 of 13 - Sale <sup>25</sup>
	50.000	127.99	07/28/20	128.79	0.80 W	0.00	9 of 13 - Sale <sup>25</sup>
	50.000	127.99	07/28/20	129.03	1.04 W	0.00	10 of 13 - Sale <sup>25</sup>
	50.000	127.99	07/28/20	147.04	19.05 W	0.00	11 of 13 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	50.000	127.99	07/28/20	136.28	8.29 W	0.00	12 of 13 - Sale <sup>25</sup>
	200.000	511.98	07/28/20	533.00	21.02 W	0.00	13 of 13 - Sale <sup>25</sup>
07/29/20	900.000	2,306.85	Various	2,426.96	120.11 W	0.00	Total of 13 transactions
	8 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	2.61	07/29/20	2.70	0.09 W	0.00	1 of 8 - Sale <sup>25</sup>
	50.000	130.74	07/29/20	136.04	5.30 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	130.74	07/29/20	149.80	19.06 W	0.00	3 of 8 - Sale <sup>25</sup>
	50.000	130.74	07/29/20	131.55	0.81 W	0.00	4 of 8 - Sale <sup>25</sup>
	50.000	130.74	07/29/20	131.79	1.05 W	0.00	5 of 8 - Sale <sup>25</sup>
	50.000	130.74	07/29/20	139.04	8.30 W	0.00	6 of 8 - Sale <sup>25</sup>
	149.000	389.61	07/29/20	408.19	18.58 W	0.00	7 of 8 - Sale <sup>25</sup>
	100.000	261.49	07/29/20	272.01	10.52 W	0.00	8 of 8 - Sale <sup>25</sup>
07/30/20	500.000	1,307.41	Various	1,371.12	63.71 W	0.00	Total of 8 transactions
	8 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	50.000	119.24	08/03/20	147.05	27.81 W	0.00	1 of 8 - Sale <sup>25</sup>
	50.000	119.24	08/03/20	160.81	41.57 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	119.24	08/03/20	142.56	23.32 W	0.00	3 of 8 - Sale <sup>25</sup>
	50.000	119.24	08/03/20	142.80	23.56 W	0.00	4 of 8 - Sale <sup>25</sup>
	50.000	119.24	08/03/20	150.05	30.81 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	2.38	08/03/20	2.93	0.55 W	0.00	6 of 8 - Sale <sup>25</sup>
	149.000	355.34	08/03/20	440.99	85.65 W	0.00	7 of 8 - Sale <sup>25</sup>
	100.000	238.49	08/03/20	294.01	55.52 W	0.00	8 of 8 - Sale <sup>25</sup>
08/10/20	500.000	1,192.41	Various	1,481.20	288.79 W	0.00	Total of 8 transactions
	8 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	100.000	204.48	08/04/20	265.02	60.54 W	0.00	1 of 8 - Sale <sup>25</sup>
	50.000	102.24	08/04/20	155.06	52.82 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	102.24	08/04/20	168.82	66.58 W	0.00	3 of 8 - Sale <sup>25</sup>
	50.000	102.24	08/04/20	150.57	48.33 W	0.00	4 of 8 - Sale <sup>25</sup>
	50.000	102.24	08/04/20	150.81	48.57 W	0.00	5 of 8 - Sale <sup>25</sup>
	50.000	102.24	08/04/20	158.06	55.82 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	2.04	08/04/20	3.10	1.06 W	0.00	7 of 8 - Sale <sup>25</sup>
	149.000	304.69	08/04/20	464.85	160.16 W	0.00	8 of 8 - Sale <sup>25</sup>
08/12/20	500.000	1,022.41	Various	1,516.29	493.88 W	0.00	Total of 8 transactions

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(continued)

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CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
<i>9 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	104.49	08/07/20	179.07	74.58 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	208.98	08/07/20	313.04	104.06 W	0.00	2 of 9 - Sale <sup>25</sup>
	100.000	208.98	08/07/20	308.02	99.04 W	0.00	3 of 9 - Sale <sup>25</sup>
	50.000	104.49	08/07/20	189.34	84.85 W	0.00	4 of 9 - Sale <sup>25</sup>
	50.000	104.49	08/07/20	163.08	58.59 W	0.00	5 of 9 - Sale <sup>25</sup>
	50.000	104.49	08/07/20	163.32	58.83 W	0.00	6 of 9 - Sale <sup>25</sup>
	50.000	104.50	08/07/20	170.57	66.07 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	2.09	08/07/20	3.36	1.27 W	0.00	8 of 9 - Sale <sup>25</sup>
	49.000	102.40	08/07/20	165.12	62.72 W	0.00	9 of 9 - Sale <sup>25</sup>
08/18/20	500.000	1,044.91	Various	1,654.92	610.01 W	0.00	Total of 9 transactions
<i>28 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	20.00	08/07/20	37.92	17.92 W	0.00	1 of 28 - Sale <sup>25</sup>
	40.000	80.00	08/07/20	151.66	71.66 W	0.00	2 of 28 - Sale <sup>25</sup>
	50.000	100.00	08/07/20	199.85	99.85 W	0.00	3 of 28 - Sale <sup>25</sup>
	50.000	99.99	08/07/20	173.59	73.60 W	0.00	4 of 28 - Sale <sup>25</sup>
	50.000	100.00	08/07/20	173.83	73.83 W	0.00	5 of 28 - Sale <sup>25</sup>
	100.000	199.99	08/07/20	337.49	137.50 W	0.00	6 of 28 - Sale <sup>25</sup>
	100.000	199.99	08/07/20	334.06	134.07 W	0.00	7 of 28 - Sale <sup>25</sup>
	100.000	199.99	08/07/20	329.04	129.05 W	0.00	8 of 28 - Sale <sup>25</sup>
	10.000	20.05	08/10/20	41.77	21.72 W	0.00	9 of 28 - Sale <sup>25</sup>
	40.000	80.19	08/10/20	167.06	86.87 W	0.00	10 of 28 - Sale <sup>25</sup>
	50.000	100.24	08/10/20	219.10	118.86 W	0.00	11 of 28 - Sale <sup>25</sup>
	50.000	100.24	08/10/20	192.85	92.61 W	0.00	12 of 28 - Sale <sup>25</sup>
	50.000	100.24	08/10/20	185.32	85.08 W	0.00	13 of 28 - Sale <sup>25</sup>
	1.000	2.00	08/10/20	3.65	1.65 W	0.00	14 of 28 - Sale <sup>25</sup>
	49.000	98.24	08/10/20	179.59	81.35 W	0.00	15 of 28 - Sale <sup>25</sup>
	50.000	100.24	08/10/20	187.64	87.40 W	0.00	16 of 28 - Sale <sup>25</sup>
	100.000	200.49	08/10/20	365.11	164.62 W	0.00	17 of 28 - Sale <sup>25</sup>
	100.000	200.48	08/10/20	361.68	161.20 W	0.00	18 of 28 - Sale <sup>25</sup>
	100.000	199.99	08/11/20	345.55	145.56 W	0.00	19 of 28 - Sale <sup>25</sup>
	10.000	20.00	08/11/20	43.27	23.27 W	0.00	20 of 28 - Sale <sup>25</sup>
	40.000	80.00	08/11/20	173.07	93.07 W	0.00	21 of 28 - Sale <sup>25</sup>
	50.000	100.00	08/11/20	226.61	126.61 W	0.00	22 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	50.000	100.00	08/11/20	200.36	100.36 W	0.00	23 of 28 - Sale <sup>25</sup>
	50.000	99.99	08/11/20	195.15	95.16 W	0.00	24 of 28 - Sale <sup>25</sup>
	50.000	100.00	08/11/20	192.83	92.83 W	0.00	25 of 28 - Sale <sup>25</sup>
	100.000	199.99	08/11/20	380.12	180.13 W	0.00	26 of 28 - Sale <sup>25</sup>
	1.000	2.00	08/11/20	3.80	1.80 W	0.00	27 of 28 - Sale <sup>25</sup>
	49.000	97.99	08/11/20	186.95	88.96 W	0.00	28 of 28 - Sale <sup>25</sup>
08/19/20	1,500.000	3,002.33	Various	5,588.92	2,586.59 W	0.00	Total of 28 transactions
	4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	10.000	19.50	08/11/20	44.67	25.17 W	0.00	1 of 4 - Sale <sup>25</sup>
	40.000	78.00	08/11/20	178.67	100.67 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	195.00	08/11/20	375.20	180.20 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	195.00	08/11/20	359.56	164.56 W	0.00	4 of 4 - Sale <sup>25</sup>
08/28/20	250.000	487.50	Various	958.10	470.60 W	0.00	Total of 4 transactions
	32 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	50.000	108.99	08/12/20	228.86	119.87 W	0.00	1 of 32 - Sale <sup>25</sup>
	50.000	108.99	08/12/20	202.61	93.62 W	0.00	2 of 32 - Sale <sup>25</sup>
	50.000	108.99	08/12/20	197.41	88.42 W	0.00	3 of 32 - Sale <sup>25</sup>
	50.000	108.99	08/12/20	195.08	86.09 W	0.00	4 of 32 - Sale <sup>25</sup>
	50.000	108.99	08/12/20	192.32	83.33 W	0.00	5 of 32 - Sale <sup>25</sup>
	10.000	21.85	08/12/20	45.82	23.97 W	0.00	6 of 32 - Sale <sup>25</sup>
	40.000	87.39	08/12/20	183.27	95.88 W	0.00	7 of 32 - Sale <sup>25</sup>
	30.000	65.54	08/12/20	116.01	50.47 W	0.00	8 of 32 - Sale <sup>25</sup>
	50.000	109.24	08/12/20	193.31	84.07 W	0.00	9 of 32 - Sale <sup>25</sup>
	1.000	2.18	08/12/20	3.87	1.69 W	0.00	10 of 32 - Sale <sup>25</sup>
	49.000	107.06	08/12/20	190.14	83.08 W	0.00	11 of 32 - Sale <sup>25</sup>
	20.000	43.70	08/12/20	77.34	33.64 W	0.00	12 of 32 - Sale <sup>25</sup>
	50.000	109.24	08/12/20	193.35	84.11 W	0.00	13 of 32 - Sale <sup>25</sup>
	50.000	110.75	08/12/20	221.62	110.87 W	0.00	14 of 32 - Sale <sup>25</sup>
	50.000	110.74	08/12/20	195.37	84.63 W	0.00	15 of 32 - Sale <sup>25</sup>
	50.000	110.75	08/12/20	190.17	79.42 W	0.00	16 of 32 - Sale <sup>25</sup>
	100.000	221.49	08/12/20	368.06	146.57 W	0.00	17 of 32 - Sale <sup>25</sup>
	10.000	21.05	08/13/20	45.02	23.97 W	0.00	18 of 32 - Sale <sup>25</sup>
	40.000	84.21	08/13/20	180.08	95.87 W	0.00	19 of 32 - Sale <sup>25</sup>
	30.000	63.16	08/13/20	113.62	50.46 W	0.00	20 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
	50.000	105.27	08/13/20	191.34	86.07 W	0.00	21 of 32 - Sale <sup>25</sup>
	50.000	105.27	08/13/20	188.58	83.31 W	0.00	22 of 32 - Sale <sup>25</sup>
	50.000	105.27	08/13/20	189.32	84.05 W	0.00	23 of 32 - Sale <sup>25</sup>
	1.000	2.11	08/13/20	3.79	1.68 W	0.00	24 of 32 - Sale <sup>25</sup>
	19.000	40.00	08/13/20	72.21	32.21 W	0.00	25 of 32 - Sale <sup>25</sup>
	50.000	105.26	08/17/20	219.37	114.11 W	0.00	26 of 32 - Sale <sup>25</sup>
	4.000	8.42	08/17/20	15.45	7.03 W	0.00	27 of 32 - Sale <sup>25</sup>
	20.000	42.11	08/17/20	77.04	34.93 W	0.00	28 of 32 - Sale <sup>25</sup>
	50.000	105.26	08/17/20	192.61	87.35 W	0.00	29 of 32 - Sale <sup>25</sup>
	30.000	63.16	08/17/20	115.97	52.81 W	0.00	30 of 32 - Sale <sup>25</sup>
	46.000	96.84	08/17/20	177.68	80.84 W	0.00	31 of 32 - Sale <sup>25</sup>
	50.000	105.27	08/17/20	187.92	82.65 W	0.00	32 of 32 - Sale <sup>25</sup>
08/31/20	1,250.000	2,697.54	Various	4,964.61	2,267.07 W	0.00	Total of 32 transactions
	18 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	10.000	18.35	08/18/20	44.92	26.57 W	0.00	1 of 18 - Sale <sup>25</sup>
	40.000	73.39	08/18/20	179.67	106.28 W	0.00	2 of 18 - Sale <sup>25</sup>
	50.000	91.74	08/18/20	218.86	127.12 W	0.00	3 of 18 - Sale <sup>25</sup>
	4.000	7.34	08/18/20	15.41	8.07 W	0.00	4 of 18 - Sale <sup>25</sup>
	30.000	55.04	08/18/20	113.31	58.27 W	0.00	5 of 18 - Sale <sup>25</sup>
	20.000	36.70	08/18/20	76.83	40.13 W	0.00	6 of 18 - Sale <sup>25</sup>
	50.000	91.74	08/18/20	192.10	100.36 W	0.00	7 of 18 - Sale <sup>25</sup>
	50.000	91.74	08/18/20	190.82	99.08 W	0.00	8 of 18 - Sale <sup>25</sup>
	50.000	91.74	08/18/20	188.06	96.32 W	0.00	9 of 18 - Sale <sup>25</sup>
	50.000	91.74	08/18/20	188.80	97.06 W	0.00	10 of 18 - Sale <sup>25</sup>
	1.000	1.83	08/18/20	3.77	1.94 W	0.00	11 of 18 - Sale <sup>25</sup>
	19.000	34.86	08/18/20	72.02	37.16 W	0.00	12 of 18 - Sale <sup>25</sup>
	26.000	47.71	08/18/20	100.24	52.53 W	0.00	13 of 18 - Sale <sup>25</sup>
	100.000	183.49	08/18/20	356.07	172.58 W	0.00	14 of 18 - Sale <sup>25</sup>
	46.000	84.40	08/19/20	173.07	88.67 W	0.00	15 of 18 - Sale <sup>25</sup>
	50.000	91.74	08/19/20	182.90	91.16 W	0.00	16 of 18 - Sale <sup>25</sup>
	4.000	7.34	08/19/20	15.06	7.72 W	0.00	17 of 18 - Sale <sup>25</sup>
	400.000	733.94	08/19/20	802.00	68.06 W	0.00	18 of 18 - Sale <sup>25</sup>
09/04/20	1,000.000	1,834.83	Various	3,113.91	1,279.08 W	0.00	Total of 18 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
14 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	10.000	19.75	08/19/20	46.72	26.97 W	0.00	1 of 14 - Sale <sup>25</sup>
	40.000	78.99	08/19/20	186.88	107.89 W	0.00	2 of 14 - Sale <sup>25</sup>
	50.000	98.74	08/19/20	227.87	129.13 W	0.00	3 of 14 - Sale <sup>25</sup>
	4.000	7.90	08/19/20	16.13	8.23 W	0.00	4 of 14 - Sale <sup>25</sup>
	30.000	59.24	08/19/20	118.72	59.48 W	0.00	5 of 14 - Sale <sup>25</sup>
	20.000	39.50	08/19/20	80.43	40.93 W	0.00	6 of 14 - Sale <sup>25</sup>
	50.000	98.74	08/19/20	201.11	102.37 W	0.00	7 of 14 - Sale <sup>25</sup>
	50.000	98.74	08/19/20	199.83	101.09 W	0.00	8 of 14 - Sale <sup>25</sup>
	50.000	98.74	08/19/20	197.07	98.33 W	0.00	9 of 14 - Sale <sup>25</sup>
	50.000	98.74	08/19/20	197.81	99.07 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	1.97	08/19/20	3.96	1.99 W	0.00	11 of 14 - Sale <sup>25</sup>
	19.000	37.52	08/19/20	75.44	37.92 W	0.00	12 of 14 - Sale <sup>25</sup>
	26.000	51.35	08/19/20	104.92	53.57 W	0.00	13 of 14 - Sale <sup>25</sup>
	100.000	197.49	08/19/20	374.08	176.59 W	0.00	14 of 14 - Sale <sup>25</sup>
09/08/20	500.000	987.41	Various	2,030.97	1,043.56 W	0.00	Total of 14 transactions
17 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	100.000	200.98	08/27/20	203.54	...	-2.56	1 of 17 - Sale <sup>25</sup>
	100.000	200.98	08/27/20	203.53	...	-2.55	2 of 17 - Sale <sup>25</sup>
	100.000	200.99	09/04/20	363.60	...	-162.61	3 of 17 - Sale <sup>25</sup>
	50.000	100.49	09/04/20	222.64	...	-122.15	4 of 17 - Sale <sup>25</sup>
	40.000	80.39	09/04/20	182.70	...	-102.31	5 of 17 - Sale <sup>25</sup>
	50.000	100.49	09/04/20	195.88	...	-95.39	6 of 17 - Sale <sup>25</sup>
	50.000	100.49	09/04/20	194.60	...	-94.11	7 of 17 - Sale <sup>25</sup>
	50.000	100.49	09/04/20	192.58	...	-92.09	8 of 17 - Sale <sup>25</sup>
	50.000	100.49	09/04/20	191.84	...	-91.35	9 of 17 - Sale <sup>25</sup>
	30.000	60.30	09/04/20	115.59	...	-55.29	10 of 17 - Sale <sup>25</sup>
	26.000	52.26	09/04/20	102.19	...	-49.93	11 of 17 - Sale <sup>25</sup>
	20.000	40.20	09/04/20	78.33	...	-38.13	12 of 17 - Sale <sup>25</sup>
	19.000	38.19	09/04/20	73.45	...	-35.26	13 of 17 - Sale <sup>25</sup>
	10.000	20.10	09/04/20	45.67	...	-25.57	14 of 17 - Sale <sup>25</sup>
	4.000	8.04	09/04/20	15.71	...	-7.67	15 of 17 - Sale <sup>25</sup>
	1.000	2.01	09/04/20	3.87	...	-1.86	16 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
09/09/20	500.000	1,004.92	09/04/20	917.50	...	87.42	17 of 17 - Sale <sup>25</sup>
	1,200.000	2,411.81	Various	3,303.22	...	-891.41	Total of 17 transactions
	23 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	46.000	93.60	08/19/20	181.82	88.22 W	0.00	1 of 23 - Sale <sup>25</sup>
	50.000	101.74	08/19/20	192.41	90.67 W	0.00	2 of 23 - Sale <sup>25</sup>
	4.000	8.14	08/19/20	15.82	7.68 W	0.00	3 of 23 - Sale <sup>25</sup>
	400.000	813.93	08/19/20	878.06	64.13 W	0.00	4 of 23 - Sale <sup>25</sup>
	10.000	20.35	08/19/20	47.67	27.32 W	0.00	5 of 23 - Sale <sup>25</sup>
	40.000	81.39	08/19/20	190.69	109.30 W	0.00	6 of 23 - Sale <sup>25</sup>
	50.000	101.74	08/19/20	232.63	130.89 W	0.00	7 of 23 - Sale <sup>25</sup>
	4.000	8.14	08/19/20	16.51	8.37 W	0.00	8 of 23 - Sale <sup>25</sup>
	30.000	61.04	08/19/20	121.58	60.54 W	0.00	9 of 23 - Sale <sup>25</sup>
	20.000	40.70	08/19/20	82.33	41.63 W	0.00	10 of 23 - Sale <sup>25</sup>
	50.000	101.74	08/19/20	205.87	104.13 W	0.00	11 of 23 - Sale <sup>25</sup>
	50.000	101.74	08/19/20	204.59	102.85 W	0.00	12 of 23 - Sale <sup>25</sup>
	50.000	101.74	08/19/20	201.83	100.09 W	0.00	13 of 23 - Sale <sup>25</sup>
	50.000	101.74	08/19/20	202.57	100.83 W	0.00	14 of 23 - Sale <sup>25</sup>
	1.000	2.03	08/19/20	4.06	2.03 W	0.00	15 of 23 - Sale <sup>25</sup>
	19.000	38.66	08/19/20	77.25	38.59 W	0.00	16 of 23 - Sale <sup>25</sup>
	26.000	52.91	08/19/20	107.39	54.48 W	0.00	17 of 23 - Sale <sup>25</sup>
	100.000	203.49	08/19/20	383.59	180.10 W	0.00	18 of 23 - Sale <sup>25</sup>
	46.000	92.45	08/25/20	174.01	81.56 W	0.00	19 of 23 - Sale <sup>25</sup>
	50.000	100.49	08/25/20	183.92	83.43 W	0.00	20 of 23 - Sale <sup>25</sup>
	4.000	8.04	08/25/20	15.14	7.10 W	0.00	21 of 23 - Sale <sup>25</sup>
	100.000	200.98	08/25/20	202.53	1.55 W	0.00	22 of 23 - Sale <sup>25</sup>
	100.000	200.98	08/27/20	203.53	1.27 W	-1.28	23 of 23 - Sale <sup>25</sup>
09/09/20	1,300.000	2,637.76	Various	4,125.80	1,486.76 W	-1.28	Total of 23 transactions
	5 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	50.000	96.70	10/08/20	179.81	...	-83.11	1 of 5 - Sale <sup>25</sup>
	46.000	88.97	10/08/20	170.23	...	-81.26	2 of 5 - Sale <sup>25</sup>
	4.000	7.74	10/08/20	14.81	...	-7.07	3 of 5 - Sale <sup>25</sup>
	50.000	96.70	10/08/20	97.64	...	-0.94	4 of 5 - Sale <sup>25</sup>
	100.000	193.41	10/08/20	194.30	...	-0.89	5 of 5 - Sale <sup>25</sup>
10/12/20	250.000	483.52	Various	656.79	...	-173.27	Total of 5 transactions

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
11/20/20	500.000	2,051.79	11/20/20	2,063.15	11.36 W	0.00	Sale <sup>25</sup>
	3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	74.000	396.63	11/20/20	312.48	...	84.15	1 of 3 - Sale <sup>25</sup>
	176.000	943.31	11/20/20	743.20	...	200.11	2 of 3 - Sale <sup>25</sup>
	250.000	1,305.64	11/20/20	1,063.18	...	242.46	3 of 3 - Sale <sup>25</sup>
11/24/20	500.000	2,645.58	Various	2,118.86	...	526.72	Total of 3 transactions
	4 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	5.34	11/24/20	5.24	...	0.10	1 of 4 - Sale <sup>25</sup>
	9.000	48.06	11/24/20	47.64	...	0.42	2 of 4 - Sale <sup>25</sup>
	40.000	213.60	11/24/20	211.76	...	1.84	3 of 4 - Sale <sup>25</sup>
	50.000	267.00	11/24/20	264.69	...	2.31	4 of 4 - Sale <sup>25</sup>
11/25/20	100.000	534.00	Various	529.33	...	4.67	Total of 4 transactions
11/27/20	250.000	1,447.81	11/25/20	1,625.00	177.19 W	0.00	Sale <sup>25</sup>
	9 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	100.000	536.98	11/25/20	723.88	...	-186.90	1 of 9 - Sale <sup>25</sup>
	150.000	805.46	11/25/20	975.00	...	-169.54	2 of 9 - Sale <sup>25</sup>
	50.000	268.49	11/30/20	293.36	...	-24.87	3 of 9 - Sale <sup>25</sup>
	100.000	536.97	12/01/20	582.87	...	-45.90	4 of 9 - Sale <sup>25</sup>
	50.000	268.49	12/03/20	198.00	...	70.49	5 of 9 - Sale <sup>25</sup>
	50.000	268.49	12/03/20	190.88	...	77.61	6 of 9 - Sale <sup>25</sup>
	100.000	536.97	12/07/20	382.78	...	154.19	7 of 9 - Sale <sup>25</sup>
	50.000	268.49	12/08/20	191.75	...	76.74	8 of 9 - Sale <sup>25</sup>
	50.000	268.49	12/11/20	162.27	...	106.22	9 of 9 - Sale <sup>25</sup>
12/31/20	700.000	3,758.83	Various	3,700.79	...	58.04	Total of 9 transactions
	<b>Security total:</b>	<b>79,981.54</b>		<b>98,550.83</b>	<b>20,464.47 W</b>	<b>1,895.18</b>	
CAPRICOR THERAPEUTICS, INC. COMMON STOCK / CUSIP: 14070B309 / Symbol:							
	4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	50.000	321.02	08/10/20	307.00	...	14.02	1 of 4 - Sale <sup>25</sup>
	50.000	321.02	08/10/20	304.92	...	16.10	2 of 4 - Sale <sup>25</sup>
	50.000	321.02	08/10/20	304.85	...	16.17	3 of 4 - Sale <sup>25</sup>
	25.000	160.52	08/11/20	143.50	...	17.02	4 of 4 - Sale <sup>25</sup>
08/12/20	175.000	1,123.58	Various	1,060.27	...	63.31	Total of 4 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol: (cont'd)							
4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	100.000	642.04	08/07/20	665.92	23.88 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	321.02	08/07/20	321.46	0.44 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	321.02	08/07/20	321.46	0.44 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	321.02	08/07/20	323.00	1.98 W	0.00	4 of 4 - Sale <sup>25</sup>
08/12/20	250.000	1,605.10	Various	1,631.84	26.74 W	0.00	Total of 4 transactions
6 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	100.000	615.04	08/12/20	611.38	...	3.66	1 of 6 - Sale <sup>25</sup>
	50.000	307.52	08/12/20	300.19	...	7.33	2 of 6 - Sale <sup>25</sup>
	50.000	307.52	08/12/20	297.44	...	10.08	3 of 6 - Sale <sup>25</sup>
	50.000	307.52	08/12/20	295.90	...	11.62	4 of 6 - Sale <sup>25</sup>
	50.000	307.52	08/12/20	294.50	...	13.02	5 of 6 - Sale <sup>25</sup>
	100.000	615.05	08/12/20	599.50	...	15.55	6 of 6 - Sale <sup>25</sup>
08/13/20	400.000	2,460.17	Various	2,398.91	...	61.26	Total of 6 transactions
08/17/20	500.000	3,119.92	08/13/20	3,089.40	...	30.52	Sale <sup>25</sup>
08/18/20	200.000	1,293.97	08/17/20	1,323.64	29.67 W	0.00	Sale <sup>25</sup>
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	300.000	1,831.11	08/17/20	1,985.46	154.35 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	610.97	08/18/20	700.53	89.56 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	610.97	08/18/20	663.92	52.95 W	0.00	3 of 3 - Sale <sup>25</sup>
08/20/20	500.000	3,053.05	Various	3,349.91	296.86 W	0.00	Total of 3 transactions
5 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	100.000	565.98	08/18/20	663.91	97.93 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	565.99	08/19/20	679.00	113.01 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	565.98	08/19/20	667.28	101.30 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	565.99	08/19/20	706.44	140.45 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	565.98	08/19/20	669.83	103.85 W	0.00	5 of 5 - Sale <sup>25</sup>
08/24/20	500.000	2,829.92	Various	3,386.46	556.54 W	0.00	Total of 5 transactions
4 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	50.000	305.99	08/24/20	304.83	...	1.16	1 of 4 - Sale <sup>25</sup>
	50.000	305.99	08/24/20	304.54	...	1.45	2 of 4 - Sale <sup>25</sup>
	50.000	305.99	08/24/20	304.33	...	1.66	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol: (cont'd)							
08/25/20	100.000	611.97	08/24/20	582.73	...	29.24	4 of 4 - Sale <sup>25</sup>
08/25/20	250.000	1,529.94	Various	1,496.43	...	33.51	Total of 4 transactions
	10 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	100.000	660.98	08/20/20	754.22	93.24 W	0.00	1 of 10 - Sale <sup>25</sup>
	100.000	660.97	08/20/20	726.78	65.81 W	0.00	2 of 10 - Sale <sup>25</sup>
	50.000	330.50	08/20/20	357.54	27.04 W	0.00	3 of 10 - Sale <sup>25</sup>
	50.000	337.99	08/20/20	357.53	19.54 W	0.00	4 of 10 - Sale <sup>25</sup>
	50.000	337.98	08/20/20	358.81	20.83 W	0.00	5 of 10 - Sale <sup>25</sup>
	50.000	337.48	08/20/20	358.81	21.33 W	0.00	6 of 10 - Sale <sup>25</sup>
	100.000	674.97	08/20/20	711.70	36.73 W	0.00	7 of 10 - Sale <sup>25</sup>
	100.000	611.97	08/24/20	663.24	51.27 W	0.00	8 of 10 - Sale <sup>25</sup>
	100.000	611.97	08/24/20	635.81	23.84 W	0.00	9 of 10 - Sale <sup>25</sup>
	50.000	305.99	08/24/20	312.04	6.05 W	0.00	10 of 10 - Sale <sup>25</sup>
08/25/20	750.000	4,870.80	Various	5,236.48	365.68 W	0.00	Total of 10 transactions
	4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	100.000	552.97	08/25/20	600.75	...	-47.78	1 of 4 - Sale <sup>25</sup>
	50.000	276.49	08/27/20	287.10	...	-10.61	2 of 4 - Sale <sup>25</sup>
	20.000	110.59	08/27/20	103.94	...	6.65	3 of 4 - Sale <sup>25</sup>
	30.000	165.89	08/27/20	156.03	...	9.86	4 of 4 - Sale <sup>25</sup>
08/31/20	200.000	1,105.94	Various	1,147.82	...	-41.88	Total of 4 transactions
08/31/20	100.000	552.98	08/25/20	628.18	37.60 W	-37.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>23,545.37</b>		<b>24,749.34</b>	<b>1,313.09 W</b>	<b>109.12</b>	
CARS.COM INC. COMMON STOCK / CUSIP: 14575E105 / Symbol:							
	3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	10.000	52.50	04/28/20	49.50	...	3.00	1 of 3 - Sale <sup>25</sup>
	40.000	210.00	04/28/20	198.00	...	12.00	2 of 3 - Sale <sup>25</sup>
	50.000	262.49	04/28/20	242.50	...	19.99	3 of 3 - Sale <sup>25</sup>
04/30/20	100.000	524.99	Various	490.00	...	34.99	Total of 3 transactions
06/02/20	50.000	322.50	05/26/20	319.50	...	3.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>847.49</b>		<b>809.50</b>	...	<b>37.99</b>	

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
8 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	15.000	67.12	01/07/20	65.03	...	2.09	1 of 8 - Sale <sup>25</sup>
	10.000	44.75	01/07/20	42.50	...	2.25	2 of 8 - Sale <sup>25</sup>
	100.000	447.00	01/07/20	425.00	...	22.00	3 of 8 - Sale <sup>25</sup>
	32.000	141.28	01/13/20	138.72	...	2.56	4 of 8 - Sale <sup>25</sup>
	43.000	192.42	01/13/20	186.41	...	6.01	5 of 8 - Sale <sup>25</sup>
	18.000	79.47	01/16/20	76.86	...	2.61	6 of 8 - Sale <sup>25</sup>
	50.000	221.25	01/21/20	199.50	...	21.75	7 of 8 - Sale <sup>25</sup>
	50.000	221.25	01/21/20	199.50	...	21.75	8 of 8 - Sale <sup>25</sup>
01/29/20	318.000	1,414.54	Various	1,333.52	...	81.02	Total of 8 transactions
5 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	35.000	106.05	03/16/20	102.14	...	3.91	1 of 5 - Sale <sup>25</sup>
	15.000	45.45	03/17/20	43.05	...	2.40	2 of 5 - Sale <sup>25</sup>
	50.000	151.50	03/17/20	146.42	...	5.08	3 of 5 - Sale <sup>25</sup>
	25.000	75.74	03/17/20	70.16	...	5.58	4 of 5 - Sale <sup>25</sup>
	5.000	15.15	03/18/20	13.30	...	1.85	5 of 5 - Sale <sup>25</sup>
03/23/20	130.000	393.89	Various	375.07	...	18.82	Total of 5 transactions
08/11/20	500.000	1,801.00	08/10/20	1,745.00	...	56.00	Sale <sup>25</sup>
5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	100.000	335.99	08/11/20	336.00	0.01 W	0.00	1 of 5 - Sale <sup>25</sup>
	250.000	839.95	08/11/20	840.00	0.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	335.98	08/11/20	336.01	0.03 W	0.00	3 of 5 - Sale <sup>25</sup>
	43.000	144.47	08/11/20	144.48	0.01 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	336.19	08/12/20	336.83	0.64 W	0.00	5 of 5 - Sale <sup>25</sup>
08/12/20	593.000	1,992.58	Various	1,993.32	0.74 W	0.00	Total of 5 transactions
7 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	43.000	158.72	08/13/20	144.49	...	14.23	1 of 7 - Sale <sup>25</sup>
	67.000	247.31	08/13/20	225.13	...	22.18	2 of 7 - Sale <sup>25</sup>
	83.000	306.37	08/13/20	278.90	...	27.47	3 of 7 - Sale <sup>25</sup>
	100.000	369.12	08/13/20	336.64	...	32.48	4 of 7 - Sale <sup>25</sup>
	100.000	369.12	08/13/20	336.03	...	33.09	5 of 7 - Sale <sup>25</sup>
	107.000	394.96	08/13/20	359.52	...	35.44	6 of 7 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol: (cont'd)							
08/18/20	500.000	1,845.59	08/14/20	1,740.00	...	105.59	7 of 7 - Sale <sup>25</sup>
	1,000.000	3,691.19	Various	3,420.71	...	270.48	Total of 7 transactions
	6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	35.000	118.30	08/19/20	128.73	10.43 W	0.00	1 of 6 - Sale <sup>25</sup>
	465.000	1,571.65	08/19/20	1,710.32	138.67 W	0.00	2 of 6 - Sale <sup>25</sup>
	35.000	118.64	08/19/20	137.06	18.42 W	0.00	3 of 6 - Sale <sup>25</sup>
	165.000	559.32	08/19/20	646.20	86.88 W	0.00	4 of 6 - Sale <sup>25</sup>
	200.000	677.96	08/20/20	761.24	83.28 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	338.99	08/20/20	380.62	41.63 W	0.00	6 of 6 - Sale <sup>25</sup>
08/24/20	1,000.000	3,384.86	Various	3,764.17	379.31 W	0.00	Total of 6 transactions
	5 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	165.000	562.63	08/24/20	647.48	...	-84.85	1 of 5 - Sale <sup>25</sup>
	150.000	511.48	08/24/20	564.21	...	-52.73	2 of 5 - Sale <sup>25</sup>
	100.000	340.99	08/24/20	376.13	...	-35.14	3 of 5 - Sale <sup>25</sup>
	50.000	170.50	08/24/20	190.70	...	-20.20	4 of 5 - Sale <sup>25</sup>
	35.000	119.35	08/24/20	137.34	...	-17.99	5 of 5 - Sale <sup>25</sup>
08/26/20	500.000	1,704.95	Various	1,915.86	...	-210.91	Total of 5 transactions
	<b>Security total:</b>	<b>14,383.01</b>		<b>14,547.65</b>	<b>380.05 W</b>	<b>215.41</b>	
CENTURY CASINOS, INC. COMMON S TOCK / CUSIP: 156492100 / Symbol:							
	5 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	10.000	34.85	04/03/20	23.82	...	11.03	1 of 5 - Sale <sup>25</sup>
	10.000	34.85	04/03/20	21.77	...	13.08	2 of 5 - Sale <sup>25</sup>
	20.000	69.69	04/08/20	58.40	...	11.29	3 of 5 - Sale <sup>25</sup>
	10.000	34.85	04/14/20	32.20	...	2.65	4 of 5 - Sale <sup>25</sup>
	50.000	174.23	04/21/20	157.81	...	16.42	5 of 5 - Sale <sup>25</sup>
04/27/20	100.000	348.47	Various	294.00	...	54.47	Total of 5 transactions
05/01/20	75.000	315.74	04/28/20	289.49	...	26.25	Sale <sup>25</sup>
	7 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	1.000	3.76	05/01/20	4.13	0.37 W	0.00	1 of 7 - Sale <sup>25</sup>
	50.000	187.99	05/04/20	207.50	19.51 W	0.00	2 of 7 - Sale <sup>25</sup>
	20.000	75.20	05/04/20	77.60	2.40 W	0.00	3 of 7 - Sale <sup>25</sup>
	29.000	109.04	05/05/20	111.00	1.96 W	0.00	4 of 7 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CENTURY CASINOS, INC. COMMON S TOCK / CUSIP: 156492100 / Symbol: (cont'd)							
	1.000	3.75	05/05/20	4.20	0.45 W	0.00	5 of 7 - Sale <sup>25</sup>
	19.000	71.25	05/05/20	81.13	9.88 W	0.00	6 of 7 - Sale <sup>25</sup>
	30.000	112.50	05/11/20	128.71	16.21 W	0.00	7 of 7 - Sale <sup>25</sup>
05/12/20	150.000	563.49	Various	614.27	50.78 W	0.00	Total of 7 transactions
	7 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	5.51	05/18/20	4.47	...	1.04	1 of 7 - Sale <sup>25</sup>
	1.000	5.51	05/18/20	4.41	...	1.10	2 of 7 - Sale <sup>25</sup>
	1.000	5.45	05/18/20	4.09	...	1.36	3 of 7 - Sale <sup>25</sup>
	19.000	104.64	05/18/20	86.26	...	18.38	4 of 7 - Sale <sup>25</sup>
	20.000	110.15	05/18/20	82.80	...	27.35	5 of 7 - Sale <sup>25</sup>
	30.000	165.22	05/18/20	136.81	...	28.41	6 of 7 - Sale <sup>25</sup>
	28.000	154.21	05/18/20	114.45	...	39.76	7 of 7 - Sale <sup>25</sup>
06/02/20	100.000	550.69	Various	433.29	...	117.40	Total of 7 transactions
	<b>Security total:</b>	<b>1,778.39</b>		<b>1,631.05</b>	<b>50.78 W</b>	<b>198.12</b>	
CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol:							
06/09/20	1,000.000	1,129.85	06/04/20	492.90	...	636.95	Sale <sup>25</sup>
	3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	1.000	0.81	06/09/20	1.14	0.33 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	81.09	06/09/20	114.00	32.91 W	0.00	2 of 3 - Sale <sup>25</sup>
	19.000	15.41	06/09/20	21.66	6.25 W	0.00	3 of 3 - Sale <sup>25</sup>
06/10/20	120.000	97.31	Various	136.80	39.49 W	0.00	Total of 3 transactions
	9 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	0.71	06/09/20	1.47	0.76 W	0.00	1 of 9 - Sale <sup>25</sup>
	80.000	56.91	06/09/20	117.53	60.62 W	0.00	2 of 9 - Sale <sup>25</sup>
	19.000	13.52	06/09/20	28.10	14.58 W	0.00	3 of 9 - Sale <sup>25</sup>
	20.000	14.23	06/09/20	29.58	15.35 W	0.00	4 of 9 - Sale <sup>25</sup>
	11.000	7.83	06/09/20	12.65	4.82 W	0.00	5 of 9 - Sale <sup>25</sup>
	50.000	35.57	06/10/20	43.50	7.93 W	0.00	6 of 9 - Sale <sup>25</sup>
	20.000	14.23	06/10/20	18.16	3.93 W	0.00	7 of 9 - Sale <sup>25</sup>
	50.000	35.57	06/10/20	46.33	10.76 W	0.00	8 of 9 - Sale <sup>25</sup>
	99.000	70.41	06/10/20	96.72	26.31 W	0.00	9 of 9 - Sale <sup>25</sup>
06/11/20	350.000	248.98	Various	394.04	145.06 W	0.00	Total of 9 transactions
06/18/20	101.000	82.83	06/11/20	72.30	...	10.53	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol: (cont'd)							
12 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.82	06/10/20	1.74	0.92 W	0.00	1 of 12 - Sale <sup>25</sup>
	50.000	41.00	06/10/20	86.69	45.69 W	0.00	2 of 12 - Sale <sup>25</sup>
	19.000	15.58	06/10/20	33.12	17.54 W	0.00	3 of 12 - Sale <sup>25</sup>
	20.000	16.40	06/10/20	34.87	18.47 W	0.00	4 of 12 - Sale <sup>25</sup>
	30.000	24.60	06/10/20	52.01	27.41 W	0.00	5 of 12 - Sale <sup>25</sup>
	11.000	9.02	06/10/20	15.56	6.54 W	0.00	6 of 12 - Sale <sup>25</sup>
	20.000	16.40	06/10/20	22.69	6.29 W	0.00	7 of 12 - Sale <sup>25</sup>
	20.000	16.40	06/11/20	18.25	1.85 W	0.00	8 of 12 - Sale <sup>25</sup>
	30.000	24.60	06/11/20	26.23	1.63 W	0.00	9 of 12 - Sale <sup>25</sup>
	50.000	41.01	06/11/20	46.55	5.54 W	0.00	10 of 12 - Sale <sup>25</sup>
	99.000	81.19	06/11/20	97.17	15.98 W	0.00	11 of 12 - Sale <sup>25</sup>
	49.000	40.19	06/15/20	43.17	2.98 W	0.00	12 of 12 - Sale <sup>25</sup>
06/18/20	399.000	327.21	Various	478.05	150.84 W	0.00	Total of 12 transactions
35 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	0.59	06/15/20	1.80	1.21 W	0.00	1 of 35 - Sale <sup>25</sup>
	19.000	11.23	06/18/20	33.26	22.03 W	0.00	2 of 35 - Sale <sup>25</sup>
	30.000	17.72	06/18/20	52.21	34.49 W	0.00	3 of 35 - Sale <sup>25</sup>
	50.000	29.54	06/18/20	87.03	57.49 W	0.00	4 of 35 - Sale <sup>25</sup>
	1.000	0.58	06/19/20	1.79	1.21 W	0.00	5 of 35 - Sale <sup>25</sup>
	19.000	11.11	06/19/20	33.99	22.88 W	0.00	6 of 35 - Sale <sup>25</sup>
	11.000	6.44	06/19/20	16.07	9.63 W	0.00	7 of 35 - Sale <sup>25</sup>
	20.000	11.70	06/19/20	23.61	11.91 W	0.00	8 of 35 - Sale <sup>25</sup>
	19.000	11.11	06/19/20	18.21	7.10 W	0.00	9 of 35 - Sale <sup>25</sup>
	30.000	17.55	06/19/20	27.61	10.06 W	0.00	10 of 35 - Sale <sup>25</sup>
	1.000	0.59	06/19/20	0.96	0.37 W	0.00	11 of 35 - Sale <sup>25</sup>
	49.000	28.95	06/19/20	47.85	18.90 W	0.00	12 of 35 - Sale <sup>25</sup>
	1.000	0.59	06/22/20	2.00	1.41 W	0.00	13 of 35 - Sale <sup>25</sup>
	49.000	28.95	06/22/20	95.15	66.20 W	0.00	14 of 35 - Sale <sup>25</sup>
	19.000	11.21	06/22/20	36.89	25.68 W	0.00	15 of 35 - Sale <sup>25</sup>
	1.000	0.59	06/22/20	1.94	1.35 W	0.00	16 of 35 - Sale <sup>25</sup>
	19.000	11.04	06/22/20	37.08	26.04 W	0.00	17 of 35 - Sale <sup>25</sup>
	11.000	6.39	06/22/20	21.36	14.97 W	0.00	18 of 35 - Sale <sup>25</sup>
	1.000	0.58	06/22/20	2.00	1.42 W	0.00	19 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol: (cont'd)							
	1.000	0.58	06/22/20	0.90	0.32 W	0.00	20 of 35 - Sale <sup>25</sup>
	99.000	57.51	06/22/20	94.39	36.88 W	0.00	21 of 35 - Sale <sup>25</sup>
	49.000	28.46	06/22/20	41.79	13.33 W	0.00	22 of 35 - Sale <sup>25</sup>
	19.000	11.04	06/25/20	35.88	24.84 W	0.00	23 of 35 - Sale <sup>25</sup>
	11.000	6.39	06/25/20	17.15	10.76 W	0.00	24 of 35 - Sale <sup>25</sup>
	20.000	11.62	06/25/20	25.59	13.97 W	0.00	25 of 35 - Sale <sup>25</sup>
	1.000	0.58	06/25/20	1.05	0.47 W	0.00	26 of 35 - Sale <sup>25</sup>
	19.000	11.04	06/25/20	20.10	9.06 W	0.00	27 of 35 - Sale <sup>25</sup>
	30.000	17.43	06/25/20	30.58	13.15 W	0.00	28 of 35 - Sale <sup>25</sup>
	1.000	0.58	06/26/20	2.10	1.52 W	0.00	29 of 35 - Sale <sup>25</sup>
	1.000	0.58	06/26/20	2.04	1.46 W	0.00	30 of 35 - Sale <sup>25</sup>
	49.000	28.46	06/26/20	99.79	71.33 W	0.00	31 of 35 - Sale <sup>25</sup>
	49.000	28.46	06/26/20	52.49	24.03 W	0.00	32 of 35 - Sale <sup>25</sup>
	19.000	11.04	06/26/20	38.71	27.67 W	0.00	33 of 35 - Sale <sup>25</sup>
	181.000	105.13	06/26/20	124.09	18.96 W	0.00	34 of 35 - Sale <sup>25</sup>
	100.000	58.09	06/26/20	68.56	10.47 W	0.00	35 of 35 - Sale <sup>25</sup>
07/06/20	1,000.000	583.45	Various	1,196.02	612.57 W	0.00	Total of 35 transactions
	20 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	0.56	06/26/20	2.21	1.65 W	0.00	1 of 20 - Sale <sup>25</sup>
	1.000	0.56	06/26/20	2.15	1.59 W	0.00	2 of 20 - Sale <sup>25</sup>
	19.000	10.70	06/26/20	40.71	30.01 W	0.00	3 of 20 - Sale <sup>25</sup>
	49.000	27.60	06/26/20	104.95	77.35 W	0.00	4 of 20 - Sale <sup>25</sup>
	11.000	6.20	06/26/20	22.52	16.32 W	0.00	5 of 20 - Sale <sup>25</sup>
	19.000	10.71	06/26/20	39.08	28.37 W	0.00	6 of 20 - Sale <sup>25</sup>
	1.000	0.56	06/26/20	2.11	1.55 W	0.00	7 of 20 - Sale <sup>25</sup>
	19.000	10.70	06/26/20	37.88	27.18 W	0.00	8 of 20 - Sale <sup>25</sup>
	11.000	6.20	06/26/20	18.31	12.11 W	0.00	9 of 20 - Sale <sup>25</sup>
	20.000	11.27	06/26/20	27.69	16.42 W	0.00	10 of 20 - Sale <sup>25</sup>
	19.000	10.70	06/26/20	22.10	11.40 W	0.00	11 of 20 - Sale <sup>25</sup>
	30.000	16.89	06/26/20	33.74	16.85 W	0.00	12 of 20 - Sale <sup>25</sup>
	1.000	0.56	06/26/20	1.16	0.60 W	0.00	13 of 20 - Sale <sup>25</sup>
	49.000	27.60	06/26/20	57.65	30.05 W	0.00	14 of 20 - Sale <sup>25</sup>
	1.000	0.56	06/26/20	1.01	0.45 W	0.00	15 of 20 - Sale <sup>25</sup>
	49.000	27.61	06/26/20	51.88	24.27 W	0.00	16 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol: (cont'd)							
	50.000	28.16	06/26/20	52.93	24.77 W	0.00	17 of 20 - Sale <sup>25</sup>
	49.000	27.60	06/26/20	46.95	19.35 W	0.00	18 of 20 - Sale <sup>25</sup>
	1.000	0.57	06/26/20	0.79	0.22 W	0.00	19 of 20 - Sale <sup>25</sup>
	100.000	56.33	06/26/20	79.08	22.75 W	0.00	20 of 20 - Sale <sup>25</sup>
07/15/20	500.000	281.64	Various	644.90	363.26 W	0.00	Total of 20 transactions
	90 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	1.000	0.48	07/06/20	2.24	1.76 W	0.00	1 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/06/20	2.18	1.70 W	0.00	2 of 90 - Sale <sup>25</sup>
	19.000	9.18	07/06/20	41.24	32.06 W	0.00	3 of 90 - Sale <sup>25</sup>
	49.000	23.67	07/06/20	106.31	82.64 W	0.00	4 of 90 - Sale <sup>25</sup>
	11.000	5.32	07/06/20	22.82	17.50 W	0.00	5 of 90 - Sale <sup>25</sup>
	19.000	9.18	07/06/20	39.60	30.42 W	0.00	6 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/06/20	2.14	1.66 W	0.00	7 of 90 - Sale <sup>25</sup>
	19.000	9.16	07/06/20	38.41	29.25 W	0.00	8 of 90 - Sale <sup>25</sup>
	11.000	5.30	07/06/20	18.61	13.31 W	0.00	9 of 90 - Sale <sup>25</sup>
	20.000	9.64	07/06/20	28.24	18.60 W	0.00	10 of 90 - Sale <sup>25</sup>
	19.000	9.15	07/06/20	22.63	13.48 W	0.00	11 of 90 - Sale <sup>25</sup>
	30.000	14.46	07/06/20	34.58	20.12 W	0.00	12 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/06/20	1.19	0.71 W	0.00	13 of 90 - Sale <sup>25</sup>
	49.000	23.61	07/06/20	59.01	35.40 W	0.00	14 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/06/20	1.04	0.56 W	0.00	15 of 90 - Sale <sup>25</sup>
	49.000	23.62	07/06/20	53.23	29.61 W	0.00	16 of 90 - Sale <sup>25</sup>
	20.000	9.64	07/06/20	21.73	12.09 W	0.00	17 of 90 - Sale <sup>25</sup>
	80.000	38.55	07/06/20	55.66	17.11 W	0.00	18 of 90 - Sale <sup>25</sup>
	50.000	24.10	07/06/20	34.78	10.68 W	0.00	19 of 90 - Sale <sup>25</sup>
	50.000	24.10	07/06/20	34.78	10.68 W	0.00	20 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/06/20	2.34	1.86 W	0.00	21 of 90 - Sale <sup>25</sup>
	49.000	23.61	07/06/20	111.13	87.52 W	0.00	22 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/06/20	2.28	1.80 W	0.00	23 of 90 - Sale <sup>25</sup>
	19.000	9.16	07/06/20	43.11	33.95 W	0.00	24 of 90 - Sale <sup>25</sup>
	30.000	14.46	07/06/20	32.30	17.84 W	0.00	25 of 90 - Sale <sup>25</sup>
	49.000	23.61	07/06/20	47.84	24.23 W	0.00	26 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/06/20	0.80	0.32 W	0.00	27 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/06/20	0.81	0.33 W	0.00	28 of 90 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol: (cont'd)							
99.000		47.71	07/06/20	80.08	32.37 W	0.00	29 of 90 - Sale <sup>25</sup>
1.000		0.48	07/09/20	2.14	1.66 W	0.00	30 of 90 - Sale <sup>25</sup>
10.000		4.82	07/09/20	21.41	16.59 W	0.00	31 of 90 - Sale <sup>25</sup>
19.000		9.16	07/09/20	40.87	31.71 W	0.00	32 of 90 - Sale <sup>25</sup>
1.000		0.48	07/09/20	2.21	1.73 W	0.00	33 of 90 - Sale <sup>25</sup>
19.000		9.16	07/09/20	39.70	30.54 W	0.00	34 of 90 - Sale <sup>25</sup>
11.000		5.30	07/09/20	19.36	14.06 W	0.00	35 of 90 - Sale <sup>25</sup>
20.000		9.63	07/09/20	29.60	19.97 W	0.00	36 of 90 - Sale <sup>25</sup>
20.000		9.64	07/09/20	24.41	14.77 W	0.00	37 of 90 - Sale <sup>25</sup>
1.000		0.48	07/09/20	1.22	0.74 W	0.00	38 of 90 - Sale <sup>25</sup>
1.000		0.48	07/09/20	1.26	0.78 W	0.00	39 of 90 - Sale <sup>25</sup>
19.000		9.12	07/09/20	23.93	14.81 W	0.00	40 of 90 - Sale <sup>25</sup>
9.000		4.32	07/09/20	10.99	6.67 W	0.00	41 of 90 - Sale <sup>25</sup>
49.000		23.51	07/09/20	62.35	38.84 W	0.00	42 of 90 - Sale <sup>25</sup>
1.000		0.48	07/09/20	1.11	0.63 W	0.00	43 of 90 - Sale <sup>25</sup>
20.000		9.60	07/09/20	23.09	13.49 W	0.00	44 of 90 - Sale <sup>25</sup>
49.000		23.51	07/09/20	56.56	33.05 W	0.00	45 of 90 - Sale <sup>25</sup>
1.000		0.48	07/16/20	2.35	1.87 W	0.00	46 of 90 - Sale <sup>25</sup>
1.000		0.48	07/16/20	2.29	1.81 W	0.00	47 of 90 - Sale <sup>25</sup>
19.000		9.12	07/16/20	43.21	34.09 W	0.00	48 of 90 - Sale <sup>25</sup>
49.000		23.51	07/16/20	111.40	87.89 W	0.00	49 of 90 - Sale <sup>25</sup>
50.000		24.00	07/16/20	35.06	11.06 W	0.00	50 of 90 - Sale <sup>25</sup>
50.000		23.99	07/16/20	35.06	11.07 W	0.00	51 of 90 - Sale <sup>25</sup>
80.000		38.39	07/16/20	56.11	17.72 W	0.00	52 of 90 - Sale <sup>25</sup>
1.000		0.48	07/16/20	2.17	1.69 W	0.00	53 of 90 - Sale <sup>25</sup>
1.000		0.48	07/16/20	2.17	1.69 W	0.00	54 of 90 - Sale <sup>25</sup>
9.000		4.32	07/16/20	19.52	15.20 W	0.00	55 of 90 - Sale <sup>25</sup>
19.000		9.12	07/16/20	41.40	32.28 W	0.00	56 of 90 - Sale <sup>25</sup>
1.000		0.48	07/16/20	2.24	1.76 W	0.00	57 of 90 - Sale <sup>25</sup>
19.000		9.12	07/16/20	40.23	31.11 W	0.00	58 of 90 - Sale <sup>25</sup>
11.000		5.28	07/16/20	19.66	14.38 W	0.00	59 of 90 - Sale <sup>25</sup>
9.000		4.32	07/16/20	13.58	9.26 W	0.00	60 of 90 - Sale <sup>25</sup>
30.000		14.40	07/16/20	33.14	18.74 W	0.00	61 of 90 - Sale <sup>25</sup>
49.000		23.52	07/16/20	49.22	25.70 W	0.00	62 of 90 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol: (cont'd)							
	1.000	0.48	07/16/20	0.83	0.35 W	0.00	63 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/16/20	0.84	0.36 W	0.00	64 of 90 - Sale <sup>25</sup>
	99.000	47.52	07/16/20	82.85	35.33 W	0.00	65 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/16/20	2.38	1.90 W	0.00	66 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/16/20	2.32	1.84 W	0.00	67 of 90 - Sale <sup>25</sup>
	19.000	9.12	07/16/20	43.78	34.66 W	0.00	68 of 90 - Sale <sup>25</sup>
	49.000	23.52	07/16/20	112.88	89.36 W	0.00	69 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/16/20	2.20	1.72 W	0.00	70 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/16/20	2.20	1.72 W	0.00	71 of 90 - Sale <sup>25</sup>
	11.000	5.28	07/16/20	16.59	11.31 W	0.00	72 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/16/20	1.25	0.77 W	0.00	73 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/16/20	1.29	0.81 W	0.00	74 of 90 - Sale <sup>25</sup>
	9.000	4.32	07/16/20	11.26	6.94 W	0.00	75 of 90 - Sale <sup>25</sup>
	19.000	9.12	07/16/20	24.50	15.38 W	0.00	76 of 90 - Sale <sup>25</sup>
	20.000	9.60	07/16/20	24.97	15.37 W	0.00	77 of 90 - Sale <sup>25</sup>
	49.000	23.52	07/16/20	63.82	40.30 W	0.00	78 of 90 - Sale <sup>25</sup>
	1.000	0.48	07/16/20	1.14	0.66 W	0.00	79 of 90 - Sale <sup>25</sup>
	20.000	9.60	07/16/20	23.69	14.09 W	0.00	80 of 90 - Sale <sup>25</sup>
	49.000	23.52	07/16/20	58.03	34.51 W	0.00	81 of 90 - Sale <sup>25</sup>
	50.000	24.00	07/16/20	36.55	12.55 W	0.00	82 of 90 - Sale <sup>25</sup>
	50.000	24.00	07/16/20	36.57	12.57 W	0.00	83 of 90 - Sale <sup>25</sup>
	80.000	38.40	07/16/20	58.51	20.11 W	0.00	84 of 90 - Sale <sup>25</sup>
	68.000	32.64	07/16/20	34.67	2.03 W	0.00	85 of 90 - Sale <sup>25</sup>
	100.000	47.99	07/17/20	48.32	0.33 W	0.00	86 of 90 - Sale <sup>25</sup>
	300.000	143.99	07/17/20	144.96	0.97 W	0.00	87 of 90 - Sale <sup>25</sup>
	100.000	47.99	07/17/20	48.32	0.33 W	0.00	88 of 90 - Sale <sup>25</sup>
	500.000	239.98	07/20/20	247.75	7.77 W	0.00	89 of 90 - Sale <sup>25</sup>
	500.000	239.97	07/21/20	262.40	22.43 W	0.00	90 of 90 - Sale <sup>25</sup>
07/24/20	3,500.000	1,681.61	Various	3,205.00	1,523.39 W	0.00	Total of 90 transactions
	12 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	252.000	108.55	07/24/20	133.17	...	-24.62	1 of 12 - Sale <sup>25</sup>
	100.000	43.08	07/24/20	51.62	...	-8.54	2 of 12 - Sale <sup>25</sup>
	250.000	107.69	07/27/20	131.96	...	-24.27	3 of 12 - Sale <sup>25</sup>
	500.000	215.38	07/27/20	236.50	...	-21.12	4 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol: (cont'd)							
	248.000	106.83	07/27/20	123.88	...	-17.05	5 of 12 - Sale <sup>25</sup>
	300.000	129.23	07/27/20	141.00	...	-11.77	6 of 12 - Sale <sup>25</sup>
	148.000	63.75	07/27/20	72.99	...	-9.24	7 of 12 - Sale <sup>25</sup>
	200.000	86.15	07/27/20	94.00	...	-7.85	8 of 12 - Sale <sup>25</sup>
	100.000	43.08	07/27/20	49.29	...	-6.21	9 of 12 - Sale <sup>25</sup>
	152.000	65.48	07/27/20	68.14	...	-2.66	10 of 12 - Sale <sup>25</sup>
	100.000	43.08	07/27/20	44.83	...	-1.75	11 of 12 - Sale <sup>25</sup>
	2.000	0.86	07/27/20	1.06	...	-0.20	12 of 12 - Sale <sup>25</sup>
07/29/20	2,352.000	1,013.16	Various	1,148.44	...	-135.28	Total of 12 transactions
	34 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	300.000	129.23	07/24/20	154.84	12.98 W	-12.63	1 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	2.39	1.96 W	0.00	2 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	2.31	1.88 W	0.00	3 of 34 - Sale <sup>25</sup>
	9.000	3.88	07/24/20	19.60	15.72 W	0.00	4 of 34 - Sale <sup>25</sup>
	19.000	8.18	07/24/20	41.57	33.39 W	0.00	5 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	2.25	1.82 W	0.00	6 of 34 - Sale <sup>25</sup>
	19.000	8.18	07/24/20	40.40	32.22 W	0.00	7 of 34 - Sale <sup>25</sup>
	11.000	4.74	07/24/20	19.76	15.02 W	0.00	8 of 34 - Sale <sup>25</sup>
	9.000	3.88	07/24/20	13.66	9.78 W	0.00	9 of 34 - Sale <sup>25</sup>
	30.000	12.92	07/24/20	33.40	20.48 W	0.00	10 of 34 - Sale <sup>25</sup>
	49.000	21.11	07/24/20	49.64	28.53 W	0.00	11 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	0.84	0.41 W	0.00	12 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	0.85	0.42 W	0.00	13 of 34 - Sale <sup>25</sup>
	99.000	42.65	07/24/20	83.70	41.05 W	0.00	14 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	2.33	1.90 W	0.00	15 of 34 - Sale <sup>25</sup>
	19.000	8.18	07/24/20	43.93	35.75 W	0.00	16 of 34 - Sale <sup>25</sup>
	48.000	20.68	07/24/20	110.95	90.27 W	0.00	17 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	2.21	1.78 W	0.00	18 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	2.21	1.78 W	0.00	19 of 34 - Sale <sup>25</sup>
	11.000	4.74	07/24/20	16.67	11.93 W	0.00	20 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	1.26	0.83 W	0.00	21 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	1.30	0.87 W	0.00	22 of 34 - Sale <sup>25</sup>
	9.000	3.88	07/24/20	11.33	7.45 W	0.00	23 of 34 - Sale <sup>25</sup>
	19.000	8.18	07/24/20	24.65	16.47 W	0.00	24 of 34 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol: (cont'd)							
	20.000	8.62	07/24/20	25.12	16.50 W	0.00	25 of 34 - Sale <sup>25</sup>
	49.000	21.11	07/24/20	64.19	43.08 W	0.00	26 of 34 - Sale <sup>25</sup>
	1.000	0.43	07/24/20	1.15	0.72 W	0.00	27 of 34 - Sale <sup>25</sup>
	20.000	8.62	07/24/20	23.84	15.22 W	0.00	28 of 34 - Sale <sup>25</sup>
	49.000	21.11	07/24/20	58.41	37.30 W	0.00	29 of 34 - Sale <sup>25</sup>
	50.000	21.54	07/24/20	38.20	16.66 W	0.00	30 of 34 - Sale <sup>25</sup>
	50.000	21.54	07/24/20	38.21	16.67 W	0.00	31 of 34 - Sale <sup>25</sup>
	80.000	34.46	07/24/20	61.14	26.68 W	0.00	32 of 34 - Sale <sup>25</sup>
	68.000	29.29	07/24/20	36.91	7.62 W	0.00	33 of 34 - Sale <sup>25</sup>
	100.000	43.08	07/24/20	51.62	8.54 W	0.00	34 of 34 - Sale <sup>25</sup>
07/29/20	1,148.000	494.53	Various	1,080.84	573.68 W	-12.63	Total of 34 transactions
36 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	48.000	21.13	08/04/20	108.27	...	-87.14	1 of 36 - Sale <sup>25</sup>
	49.000	21.57	08/04/20	61.45	...	-39.88	2 of 36 - Sale <sup>25</sup>
	99.000	43.58	08/04/20	78.17	...	-34.59	3 of 36 - Sale <sup>25</sup>
	19.000	8.36	08/04/20	42.87	...	-34.51	4 of 36 - Sale <sup>25</sup>
	49.000	21.57	08/04/20	55.67	...	-34.10	5 of 36 - Sale <sup>25</sup>
	19.000	8.36	08/04/20	40.51	...	-32.15	6 of 36 - Sale <sup>25</sup>
	19.000	8.36	08/04/20	39.34	...	-30.98	7 of 36 - Sale <sup>25</sup>
	49.000	21.57	08/04/20	46.91	...	-25.34	8 of 36 - Sale <sup>25</sup>
	80.000	35.22	08/04/20	56.68	...	-21.46	9 of 36 - Sale <sup>25</sup>
	30.000	13.21	08/04/20	31.73	...	-18.52	10 of 36 - Sale <sup>25</sup>
	19.000	8.36	08/04/20	23.59	...	-15.23	11 of 36 - Sale <sup>25</sup>
	20.000	8.80	08/04/20	24.00	...	-15.20	12 of 36 - Sale <sup>25</sup>
	11.000	4.84	08/04/20	19.15	...	-14.31	13 of 36 - Sale <sup>25</sup>
	20.000	8.80	08/04/20	22.72	...	-13.92	14 of 36 - Sale <sup>25</sup>
	8.000	3.52	08/04/20	16.97	...	-13.45	15 of 36 - Sale <sup>25</sup>
	50.000	22.01	08/04/20	35.42	...	-13.41	16 of 36 - Sale <sup>25</sup>
	11.000	4.84	08/04/20	16.06	...	-11.22	17 of 36 - Sale <sup>25</sup>
	9.000	3.96	08/04/20	13.15	...	-9.19	18 of 36 - Sale <sup>25</sup>
	30.000	13.21	08/04/20	21.25	...	-8.04	19 of 36 - Sale <sup>25</sup>
	9.000	3.96	08/04/20	10.83	...	-6.87	20 of 36 - Sale <sup>25</sup>
	20.000	8.80	08/04/20	14.16	...	-5.36	21 of 36 - Sale <sup>25</sup>
	68.000	29.93	08/04/20	33.12	...	-3.19	22 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHAPARRAL ENERGY INC-NEW COMMO N STOCK / CUSIP: 15942R208 / Symbol: (cont'd)							
	152.000	66.92	08/04/20	69.98	...	-3.06	23 of 36 - Sale <sup>25</sup>
	100.000	44.02	08/04/20	46.04	...	-2.02	24 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	2.34	...	-1.90	25 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	2.28	...	-1.84	26 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	2.25	...	-1.81	27 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	2.20	...	-1.76	28 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	2.16	...	-1.72	29 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	2.15	...	-1.71	30 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	2.13	...	-1.69	31 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	1.25	...	-0.81	32 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	1.20	...	-0.76	33 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	1.10	...	-0.66	34 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	0.80	...	-0.36	35 of 36 - Sale <sup>25</sup>
	1.000	0.44	08/04/20	0.78	...	-0.34	36 of 36 - Sale <sup>25</sup>
08/05/20	1,000.000	440.18	Various	948.68	...	-508.50	Total of 36 transactions
	<b>Security total:</b>	<b>6,380.75</b>		<b>9,797.97</b>	<b>3,408.29 W</b>	<b>-8.93</b>	
CHEETAH MOBILE INC. / CUSIP: 163075104 / Symbol:							
	4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	1.82	06/29/20	1.72	...	0.10	1 of 4 - Sale <sup>25</sup>
	50.000	91.00	06/29/20	86.00	...	5.00	2 of 4 - Sale <sup>25</sup>
	99.000	180.17	06/29/20	170.28	...	9.89	3 of 4 - Sale <sup>25</sup>
	100.000	181.99	06/29/20	172.00	...	9.99	4 of 4 - Sale <sup>25</sup>
07/06/20	250.000	454.98	Various	430.00	...	24.98	Total of 4 transactions
CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol:							
01/16/20	3.000	2.09	01/02/20	2.48	...	-0.39	Sale <sup>25</sup>
	3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	100.000	25.17	02/28/20	27.33	2.16 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	25.17	02/28/20	27.33	2.16 W	0.00	2 of 3 - Sale <sup>25</sup>
	200.000	50.33	02/28/20	55.36	5.03 W	0.00	3 of 3 - Sale <sup>25</sup>
03/04/20	400.000	100.67	Various	110.02	9.35 W	0.00	Total of 3 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol: (cont'd)							
8 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	100.000	17.68	03/05/20	26.85	...	-9.17	1 of 8 - Sale <sup>25</sup>
	59.000	10.43	03/05/20	15.84	...	-5.41	2 of 8 - Sale <sup>25</sup>
	41.000	7.25	03/05/20	11.15	...	-3.90	3 of 8 - Sale <sup>25</sup>
	41.000	7.25	03/05/20	11.02	...	-3.77	4 of 8 - Sale <sup>25</sup>
	135.000	23.86	03/09/20	24.26	...	-0.40	5 of 8 - Sale <sup>25</sup>
	20.000	3.54	03/11/20	3.45	...	0.09	6 of 8 - Sale <sup>25</sup>
	4.000	0.71	03/13/20	0.81	...	-0.10	7 of 8 - Sale <sup>25</sup>
	31.000	5.47	03/13/20	5.48	...	-0.01	8 of 8 - Sale <sup>25</sup>
03/16/20	431.000	76.19	Various	98.86	...	-22.67	Total of 8 transactions
	<b>Security total:</b>	<b>178.95</b>		<b>211.36</b>	<b>9.35 W</b>	<b>-23.06</b>	
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
06/09/20	10.000	192.00	06/08/20	590.00	...	-398.00	Sale <sup>25</sup>
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
5 transactions for 02/13/20. Total proceeds and cost reported to the IRS.							
	9.000	30.15	02/11/20	29.33	...	0.82	1 of 5 - Sale <sup>25</sup>
	27.000	90.45	02/11/20	87.99	...	2.46	2 of 5 - Sale <sup>25</sup>
	50.000	167.49	02/11/20	163.96	...	3.53	3 of 5 - Sale <sup>25</sup>
	25.000	83.75	02/12/20	79.23	...	4.52	4 of 5 - Sale <sup>25</sup>
	39.000	130.64	02/12/20	124.00	...	6.64	5 of 5 - Sale <sup>25</sup>
02/13/20	150.000	502.48	Various	484.51	...	17.97	Total of 5 transactions
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	200.000	652.45	02/19/20	595.58	...	56.87	1 of 2 - Sale <sup>25</sup>
	18.000	58.72	02/20/20	52.74	...	5.98	2 of 2 - Sale <sup>25</sup>
02/24/20	218.000	711.17	Various	648.32	...	62.85	Total of 2 transactions
8 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	10.000	125.89	03/04/20	118.88	...	7.01	1 of 8 - Sale <sup>25</sup>
	5.000	62.95	03/11/20	47.92	...	15.03	2 of 8 - Sale <sup>25</sup>
	5.000	62.95	03/11/20	43.62	...	19.33	3 of 8 - Sale <sup>25</sup>
	10.000	125.90	03/11/20	102.69	...	23.21	4 of 8 - Sale <sup>25</sup>
	10.000	125.90	03/11/20	95.39	...	30.51	5 of 8 - Sale <sup>25</sup>

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CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
	10.000	125.89	03/11/20	92.40	...	33.49	6 of 8 - Sale <sup>25</sup>
	10.000	125.89	03/11/20	92.30	...	33.59	7 of 8 - Sale <sup>25</sup>
	10.000	125.89	03/11/20	84.60	...	41.29	8 of 8 - Sale <sup>25</sup>
03/17/20	70.000	881.26	Various	677.80	...	203.46	Total of 8 transactions
	3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	10.000	125.90	02/28/20	144.00	18.10 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	125.90	03/04/20	137.62	11.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	125.90	03/06/20	129.45	3.55 W	0.00	3 of 3 - Sale <sup>25</sup>
03/17/20	30.000	377.70	Various	411.07	33.37 W	0.00	Total of 3 transactions
	4 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	10.000	90.60	03/23/20	90.50	...	0.10	1 of 4 - Sale <sup>25</sup>
	10.000	90.80	03/24/20	82.40	...	8.40	2 of 4 - Sale <sup>25</sup>
	10.000	90.79	03/25/20	76.38	...	14.41	3 of 4 - Sale <sup>25</sup>
	40.000	363.18	03/25/20	302.80	...	60.38	4 of 4 - Sale <sup>25</sup>
03/26/20	70.000	635.37	Various	552.08	...	83.29	Total of 4 transactions
	7 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	25.000	226.50	03/19/20	272.38	45.88 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	45.30	03/20/20	53.98	8.68 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	90.60	03/20/20	105.21	14.61 W	0.00	3 of 7 - Sale <sup>25</sup>
	20.000	181.59	03/23/20	223.70	42.11 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	45.40	03/23/20	55.93	10.53 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	45.40	03/23/20	55.43	10.03 W	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	90.80	03/23/20	108.11	17.31 W	0.00	7 of 7 - Sale <sup>25</sup>
03/26/20	80.000	725.59	Various	874.74	149.15 W	0.00	Total of 7 transactions
	2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	1.000	7.16	03/26/20	11.22	4.06 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	71.61	03/26/20	112.75	41.14 W	0.00	2 of 2 - Sale <sup>25</sup>
04/01/20	11.000	78.77	Various	123.97	45.20 W	0.00	Total of 2 transactions
	2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	60.000	865.17	04/21/20	804.60	...	60.57	1 of 2 - Sale <sup>25</sup>
	20.000	288.39	04/22/20	265.20	...	23.19	2 of 2 - Sale <sup>25</sup>
04/24/20	80.000	1,153.56	Various	1,069.80	...	83.76	Total of 2 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
6 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	1.000	14.42	04/21/20	17.47	3.05 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	144.20	04/21/20	175.24	31.04 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	72.10	04/21/20	77.58	5.48 W	0.00	3 of 6 - Sale <sup>25</sup>
	9.000	129.78	04/21/20	139.64	9.86 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	72.10	04/21/20	77.08	4.98 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	144.20	04/21/20	151.41	7.21 W	0.00	6 of 6 - Sale <sup>25</sup>
04/24/20	40.000	576.80	Various	638.42	61.62 W	0.00	Total of 6 transactions
04/29/20	50.000	613.47	04/28/20	669.42	...	-55.95	Sale <sup>25</sup>
9 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	12.27	04/27/20	16.94	4.67 W	0.00	1 of 9 - Sale <sup>25</sup>
	10.000	122.70	04/27/20	169.94	47.24 W	0.00	2 of 9 - Sale <sup>25</sup>
	5.000	61.35	04/27/20	74.93	13.58 W	0.00	3 of 9 - Sale <sup>25</sup>
	9.000	110.43	04/27/20	134.87	24.44 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	61.35	04/27/20	74.43	13.08 W	0.00	5 of 9 - Sale <sup>25</sup>
	10.000	122.70	04/27/20	146.11	23.41 W	0.00	6 of 9 - Sale <sup>25</sup>
	10.000	122.70	04/27/20	138.90	16.20 W	0.00	7 of 9 - Sale <sup>25</sup>
	50.000	613.47	04/27/20	694.50	81.03 W	0.00	8 of 9 - Sale <sup>25</sup>
	50.000	613.48	04/28/20	675.50	24.81 W	-37.21	9 of 9 - Sale <sup>25</sup>
04/29/20	150.000	1,840.45	Various	2,126.12	248.46 W	-37.21	Total of 9 transactions
9 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	40.000	503.98	04/29/20	562.76	...	-58.78	1 of 9 - Sale <sup>25</sup>
	10.000	128.00	04/29/20	170.04	...	-42.04	2 of 9 - Sale <sup>25</sup>
	10.000	126.00	04/29/20	146.21	...	-20.21	3 of 9 - Sale <sup>25</sup>
	9.000	115.20	04/29/20	134.96	...	-19.76	4 of 9 - Sale <sup>25</sup>
	10.000	126.00	04/29/20	140.11	...	-14.11	5 of 9 - Sale <sup>25</sup>
	10.000	125.99	04/29/20	139.00	...	-13.01	6 of 9 - Sale <sup>25</sup>
	5.000	63.00	04/29/20	74.98	...	-11.98	7 of 9 - Sale <sup>25</sup>
	5.000	63.00	04/29/20	74.48	...	-11.48	8 of 9 - Sale <sup>25</sup>
	1.000	12.80	04/29/20	16.95	...	-4.15	9 of 9 - Sale <sup>25</sup>
05/01/20	100.000	1,263.97	Various	1,459.49	...	-195.52	Total of 9 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	5.000	99.30	05/14/20	123.40	...	-24.10	1 of 2 - Sale <sup>25</sup>
	5.000	99.50	05/14/20	123.40	...	-23.90	2 of 2 - Sale <sup>25</sup>
05/15/20	10.000	198.80	Various	246.80	...	-48.00	Total of 2 transactions
05/18/20	10.000	184.05	05/15/20	212.21	...	-28.16	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,743.44</b>		<b>10,194.75</b>	<b>537.80 W</b>	<b>86.49</b>	
COLONY CAPITAL, INC. CLASS A C OMMON STOCK / CUSIP: 19626G108 / Symbol:							
6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	2.000	3.15	05/15/20	3.10	...	0.05	1 of 6 - Sale <sup>25</sup>
	50.000	78.63	05/15/20	77.37	...	1.26	2 of 6 - Sale <sup>25</sup>
	50.000	78.62	05/15/20	77.25	...	1.37	3 of 6 - Sale <sup>25</sup>
	98.000	154.10	05/15/20	151.90	...	2.20	4 of 6 - Sale <sup>25</sup>
	50.000	78.62	05/15/20	75.87	...	2.75	5 of 6 - Sale <sup>25</sup>
	50.000	78.63	05/15/20	75.85	...	2.78	6 of 6 - Sale <sup>25</sup>
05/18/20	300.000	471.75	Various	461.34	...	10.41	Total of 6 transactions
4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	50.000	78.63	05/15/20	80.37	1.74 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	78.63	05/15/20	80.37	1.74 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	78.63	05/15/20	82.87	4.24 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	78.63	05/15/20	79.00	0.37 W	0.00	4 of 4 - Sale <sup>25</sup>
05/18/20	200.000	314.52	Various	322.61	8.09 W	0.00	Total of 4 transactions
05/26/20	2.000	3.76	05/21/20	3.80	...	-0.04	Sale <sup>25</sup>
5 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	40.000	75.20	05/21/20	76.20	1.00 W	0.00	1 of 5 - Sale <sup>25</sup>
	50.000	94.01	05/21/20	96.61	2.60 W	0.00	2 of 5 - Sale <sup>25</sup>
	50.000	94.00	05/21/20	96.61	2.61 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	94.01	05/21/20	99.11	5.10 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	18.80	05/21/20	19.07	0.27 W	0.00	5 of 5 - Sale <sup>25</sup>
05/26/20	200.000	376.02	Various	387.60	11.58 W	0.00	Total of 5 transactions
5 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	50.000	127.13	06/03/20	131.97	...	-4.84	1 of 5 - Sale <sup>25</sup>
	50.000	127.12	06/03/20	129.48	...	-2.36	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COLONY CAPITAL, INC. CLASS A C OMMON STOCK / CUSIP: 19626G108 / Symbol: (cont'd)							
	50.000	127.13	06/03/20	129.47	...	-2.34	3 of 5 - Sale <sup>25</sup>
	40.000	101.70	06/03/20	102.50	...	-0.80	4 of 5 - Sale <sup>25</sup>
	10.000	25.42	06/03/20	25.64	...	-0.22	5 of 5 - Sale <sup>25</sup>
06/04/20	200.000	508.50	Various	519.06	...	-10.56	Total of 5 transactions
	<b>Security total:</b>	<b>1,674.55</b>		<b>1,694.41</b>	<b>19.67 W</b>	<b>-0.19</b>	
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol:							
	3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	50.000	207.00	08/07/20	204.25	...	2.75	1 of 3 - Sale <sup>25</sup>
	100.000	413.99	08/07/20	409.00	...	4.99	2 of 3 - Sale <sup>25</sup>
	100.000	413.99	08/07/20	408.50	...	5.49	3 of 3 - Sale <sup>25</sup>
08/10/20	250.000	1,034.98	Various	1,021.75	...	13.23	Total of 3 transactions
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
	3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	50.000	378.48	12/08/20	309.00	...	69.48	1 of 3 - Sale <sup>25</sup>
	100.000	756.97	12/08/20	616.00	...	140.97	2 of 3 - Sale <sup>25</sup>
	250.000	1,892.43	12/09/20	1,999.85	...	-107.42	3 of 3 - Sale <sup>25</sup>
12/09/20	400.000	3,027.88	Various	2,924.85	...	103.03	Total of 3 transactions
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
	4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	20.000	170.07	05/13/20	182.40	12.33 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	85.04	05/13/20	91.60	6.56 W	0.00	2 of 4 - Sale <sup>25</sup>
	20.000	170.07	05/13/20	182.80	12.73 W	0.00	3 of 4 - Sale <sup>25</sup>
	40.000	340.14	05/13/20	366.80	26.66 W	0.00	4 of 4 - Sale <sup>25</sup>
05/14/20	90.000	765.32	Various	823.60	58.28 W	0.00	Total of 4 transactions
	8 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	10.000	191.19	05/13/20	97.87	...	93.32	1 of 8 - Sale <sup>25</sup>
	10.000	191.20	05/14/20	93.56	...	97.64	2 of 8 - Sale <sup>25</sup>
	10.000	191.19	05/14/20	93.37	...	97.82	3 of 8 - Sale <sup>25</sup>
	10.000	191.20	05/14/20	93.31	...	97.89	4 of 8 - Sale <sup>25</sup>
	10.000	191.19	05/14/20	91.25	...	99.94	5 of 8 - Sale <sup>25</sup>
	15.000	286.79	05/14/20	143.50	...	143.29	6 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol: (cont'd)							
	10.000	191.19	05/19/20	110.39	...	80.80	7 of 8 - Sale <sup>25</sup>
	25.000	477.99	05/19/20	292.64	...	185.35	8 of 8 - Sale <sup>25</sup>
06/05/20	100.000	1,911.94	Various	1,015.89	...	896.05	Total of 8 transactions
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	15.000	250.81	06/15/20	245.79	...	5.02	1 of 2 - Sale <sup>25</sup>
	10.000	167.21	06/15/20	158.41	...	8.80	2 of 2 - Sale <sup>25</sup>
06/16/20	25.000	418.02	Various	404.20	...	13.82	Total of 2 transactions
06/16/20	24.000	401.29	06/15/20	410.10	8.81 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	15.57	06/15/20	17.09	1.52 W	0.00	1 of 2 - Sale <sup>25</sup>
	24.000	373.60	06/17/20	398.06	24.46 W	0.00	2 of 2 - Sale <sup>25</sup>
06/22/20	25.000	389.17	Various	415.15	25.98 W	0.00	Total of 2 transactions
	4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	13.85	06/17/20	17.74	3.89 W	0.00	1 of 4 - Sale <sup>25</sup>
	24.000	332.39	06/17/20	389.24	56.85 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	138.50	06/22/20	166.54	28.04 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	138.49	06/22/20	166.74	28.25 W	0.00	4 of 4 - Sale <sup>25</sup>
06/24/20	45.000	623.23	Various	740.26	117.03 W	0.00	Total of 4 transactions
	4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	11.91	06/22/20	19.54	7.63 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	47.64	06/22/20	66.70	19.06 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	119.10	06/22/20	176.29	57.19 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	119.10	06/22/20	171.36	52.26 W	0.00	4 of 4 - Sale <sup>25</sup>
06/29/20	25.000	297.75	Various	433.89	136.14 W	0.00	Total of 4 transactions
	12 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	6.000	81.42	06/22/20	105.55	24.13 W	0.00	1 of 12 - Sale <sup>25</sup>
	4.000	54.28	06/22/20	68.62	14.34 W	0.00	2 of 12 - Sale <sup>25</sup>
	4.000	54.28	06/23/20	70.50	16.22 W	0.00	3 of 12 - Sale <sup>25</sup>
	6.000	81.42	06/23/20	105.87	24.45 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	13.57	06/24/20	21.35	7.78 W	0.00	5 of 12 - Sale <sup>25</sup>
	10.000	135.71	06/24/20	194.35	58.64 W	0.00	6 of 12 - Sale <sup>25</sup>
	10.000	135.71	06/24/20	189.42	53.71 W	0.00	7 of 12 - Sale <sup>25</sup>
	4.000	54.28	06/24/20	73.92	19.64 W	0.00	8 of 12 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol: (cont'd)							
	4.000	54.28	06/24/20	66.16	11.88 W	0.00	9 of 12 - Sale <sup>25</sup>
	6.000	81.43	06/24/20	82.30	0.87 W	0.00	10 of 12 - Sale <sup>25</sup>
	20.000	271.41	06/24/20	275.00	3.59 W	0.00	11 of 12 - Sale <sup>25</sup>
	5.000	67.86	06/25/20	68.94	1.08 W	0.00	12 of 12 - Sale <sup>25</sup>
07/15/20	80.000	1,085.65	Various	1,321.98	236.33 W	0.00	Total of 12 transactions
	5 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	5.000	67.70	07/09/20	59.52	...	8.18	1 of 5 - Sale <sup>25</sup>
	5.000	67.70	07/09/20	59.35	...	8.35	2 of 5 - Sale <sup>25</sup>
	6.000	81.25	07/09/20	71.73	...	9.52	3 of 5 - Sale <sup>25</sup>
	15.000	203.11	07/09/20	179.84	...	23.27	4 of 5 - Sale <sup>25</sup>
	10.000	135.41	07/16/20	129.58	...	5.83	5 of 5 - Sale <sup>25</sup>
07/22/20	41.000	555.17	Various	500.02	...	55.15	Total of 5 transactions
	12 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	5.000	67.70	06/25/20	89.05	21.35 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	13.54	06/26/20	16.73	3.19 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	13.54	06/26/20	16.79	3.25 W	0.00	3 of 12 - Sale <sup>25</sup>
	4.000	54.16	06/26/20	65.18	11.02 W	0.00	4 of 12 - Sale <sup>25</sup>
	4.000	54.16	06/26/20	67.06	12.90 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	13.54	06/29/20	19.66	6.12 W	0.00	6 of 12 - Sale <sup>25</sup>
	10.000	135.41	06/29/20	177.44	42.03 W	0.00	7 of 12 - Sale <sup>25</sup>
	10.000	135.41	06/29/20	172.51	37.10 W	0.00	8 of 12 - Sale <sup>25</sup>
	4.000	54.16	06/29/20	67.16	13.00 W	0.00	9 of 12 - Sale <sup>25</sup>
	5.000	67.70	06/29/20	79.77	12.07 W	0.00	10 of 12 - Sale <sup>25</sup>
	4.000	54.16	07/09/20	59.12	4.96 W	0.00	11 of 12 - Sale <sup>25</sup>
	20.000	270.82	07/15/20	272.98	0.11 W	-2.05	12 of 12 - Sale <sup>25</sup>
07/22/20	69.000	934.30	Various	1,103.45	167.10 W	-2.05	Total of 12 transactions
	13 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	9.000	110.07	07/27/20	147.36	...	-37.29	1 of 13 - Sale <sup>25</sup>
	10.000	122.29	07/27/20	159.05	...	-36.76	2 of 13 - Sale <sup>25</sup>
	5.000	61.15	07/27/20	82.05	...	-20.90	3 of 13 - Sale <sup>25</sup>
	4.000	48.92	07/27/20	61.78	...	-12.86	4 of 13 - Sale <sup>25</sup>
	4.000	48.92	07/27/20	61.58	...	-12.66	5 of 13 - Sale <sup>25</sup>
	5.000	61.14	07/27/20	73.05	...	-11.91	6 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol: (cont'd)							
	4.000	48.92	07/27/20	59.57	...	-10.65	7 of 13 - Sale <sup>25</sup>
	1.000	12.23	07/27/20	18.29	...	-6.06	8 of 13 - Sale <sup>25</sup>
	4.000	48.92	07/27/20	53.74	...	-4.82	9 of 13 - Sale <sup>25</sup>
	1.000	12.23	07/27/20	16.40	...	-4.17	10 of 13 - Sale <sup>25</sup>
	1.000	12.23	07/27/20	15.42	...	-3.19	11 of 13 - Sale <sup>25</sup>
	1.000	12.23	07/27/20	15.33	...	-3.10	12 of 13 - Sale <sup>25</sup>
	1.000	12.23	07/27/20	12.30	...	-0.07	13 of 13 - Sale <sup>25</sup>
07/28/20	50.000	611.48	Various	775.92	...	-164.44	Total of 13 transactions
	<b>Security total:</b>	<b>7,993.32</b>		<b>7,944.46</b>	<b>749.67 W</b>	<b>798.53</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
5 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	24.07	04/23/20	22.56	...	1.51	1 of 5 - Sale <sup>25</sup>
	4.000	96.28	04/23/20	90.36	...	5.92	2 of 5 - Sale <sup>25</sup>
	5.000	120.12	04/28/20	118.15	...	1.97	3 of 5 - Sale <sup>25</sup>
	5.000	120.35	04/28/20	118.15	...	2.20	4 of 5 - Sale <sup>25</sup>
	5.000	120.35	04/28/20	116.18	...	4.17	5 of 5 - Sale <sup>25</sup>
05/01/20	20.000	481.17	Various	465.40	...	15.77	Total of 5 transactions
06/01/20	10.000	262.50	05/26/20	249.18	...	13.32	Sale <sup>25</sup>
06/04/20	5.000	150.20	06/03/20	141.89	...	8.31	Sale <sup>25</sup>
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	10.000	355.90	06/04/20	317.00	...	38.90	1 of 2 - Sale <sup>25</sup>
	10.000	355.91	06/04/20	304.78	...	51.13	2 of 2 - Sale <sup>25</sup>
06/05/20	20.000	711.81	Various	621.78	...	90.03	Total of 2 transactions
	<b>Security total:</b>	<b>1,605.68</b>		<b>1,478.25</b>	...	<b>127.43</b>	
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
06/09/20	500.000	269.94	06/08/20	318.70	48.76 W	0.00	Sale <sup>25</sup>
06/10/20	500.000	215.30	06/08/20	318.70	103.40 W	0.00	Sale <sup>25</sup>
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	250.000	85.37	06/09/20	164.88	79.51 W	0.00	1 of 3 - Sale <sup>25</sup>
	250.000	85.37	06/09/20	163.23	77.86 W	0.00	2 of 3 - Sale <sup>25</sup>
	174.000	59.42	06/10/20	126.24	66.82 W	0.00	3 of 3 - Sale <sup>25</sup>
06/24/20	674.000	230.16	Various	454.35	224.19 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol: (cont'd)							
<i>9 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	76.000	21.21	06/10/20	55.14	33.93 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	27.91	06/10/20	71.43	43.52 W	0.00	2 of 9 - Sale <sup>25</sup>
	150.000	41.86	06/10/20	107.15	65.29 W	0.00	3 of 9 - Sale <sup>25</sup>
	100.000	27.91	06/15/20	75.87	47.96 W	0.00	4 of 9 - Sale <sup>25</sup>
	150.000	41.86	06/22/20	106.65	64.79 W	0.00	5 of 9 - Sale <sup>25</sup>
	250.000	69.77	06/22/20	176.08	106.31 W	0.00	6 of 9 - Sale <sup>25</sup>
	100.000	27.91	06/23/20	77.42	49.51 W	0.00	7 of 9 - Sale <sup>25</sup>
	74.000	20.65	06/23/20	57.29	36.64 W	0.00	8 of 9 - Sale <sup>25</sup>
	1,000.000	279.06	06/24/20	345.40	66.34 W	0.00	9 of 9 - Sale <sup>25</sup>
07/06/20	2,000.000	558.14	Various	1,072.43	514.29 W	0.00	Total of 9 transactions
<i>18 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	23.74	06/24/20	82.15	58.41 W	0.00	1 of 18 - Sale <sup>25</sup>
	74.000	17.57	06/24/20	57.26	39.69 W	0.00	2 of 18 - Sale <sup>25</sup>
	76.000	18.04	06/24/20	59.91	41.87 W	0.00	3 of 18 - Sale <sup>25</sup>
	100.000	23.74	06/24/20	77.71	53.97 W	0.00	4 of 18 - Sale <sup>25</sup>
	150.000	35.61	06/24/20	116.58	80.97 W	0.00	5 of 18 - Sale <sup>25</sup>
	76.000	18.04	06/24/20	58.81	40.77 W	0.00	6 of 18 - Sale <sup>25</sup>
	250.000	59.34	06/24/20	191.79	132.45 W	0.00	7 of 18 - Sale <sup>25</sup>
	74.000	17.57	06/24/20	61.94	44.37 W	0.00	8 of 18 - Sale <sup>25</sup>
	100.000	23.74	06/24/20	83.70	59.96 W	0.00	9 of 18 - Sale <sup>25</sup>
	100.000	23.74	06/25/20	38.75	15.01 W	0.00	10 of 18 - Sale <sup>25</sup>
	100.000	23.74	06/25/20	38.75	15.01 W	0.00	11 of 18 - Sale <sup>25</sup>
	200.000	47.47	06/25/20	77.51	30.04 W	0.00	12 of 18 - Sale <sup>25</sup>
	100.000	23.74	06/25/20	38.76	15.02 W	0.00	13 of 18 - Sale <sup>25</sup>
	500.000	118.68	06/26/20	198.22	79.54 W	0.00	14 of 18 - Sale <sup>25</sup>
	500.000	118.68	06/30/20	140.65	21.97 W	0.00	15 of 18 - Sale <sup>25</sup>
	500.000	118.69	07/02/20	143.90	25.21 W	0.00	16 of 18 - Sale <sup>25</sup>
	1,000.000	237.37	07/02/20	287.67	50.30 W	0.00	17 of 18 - Sale <sup>25</sup>
	1,000.000	237.37	07/06/20	279.60	42.23 W	0.00	18 of 18 - Sale <sup>25</sup>
07/17/20	5,000.000	1,186.87	Various	2,033.66	846.79 W	0.00	Total of 18 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol: (cont'd)							
21 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	100.000	22.99	07/06/20	86.38	63.39 W	0.00	1 of 21 - Sale <sup>25</sup>
	74.000	17.01	07/06/20	60.39	43.38 W	0.00	2 of 21 - Sale <sup>25</sup>
	76.000	17.47	07/06/20	62.03	44.56 W	0.00	3 of 21 - Sale <sup>25</sup>
	250.000	57.47	07/06/20	202.37	144.90 W	0.00	4 of 21 - Sale <sup>25</sup>
	74.000	17.01	07/06/20	65.07	48.06 W	0.00	5 of 21 - Sale <sup>25</sup>
	100.000	22.99	07/06/20	87.93	64.94 W	0.00	6 of 21 - Sale <sup>25</sup>
	76.000	17.47	07/06/20	63.13	45.66 W	0.00	7 of 21 - Sale <sup>25</sup>
	100.000	22.99	07/06/20	81.94	58.95 W	0.00	8 of 21 - Sale <sup>25</sup>
	150.000	34.48	07/06/20	122.92	88.44 W	0.00	9 of 21 - Sale <sup>25</sup>
	100.000	22.99	07/06/20	40.84	17.85 W	0.00	10 of 21 - Sale <sup>25</sup>
	100.000	22.99	07/06/20	40.84	17.85 W	0.00	11 of 21 - Sale <sup>25</sup>
	100.000	22.99	07/06/20	40.85	17.86 W	0.00	12 of 21 - Sale <sup>25</sup>
	200.000	45.97	07/06/20	81.70	35.73 W	0.00	13 of 21 - Sale <sup>25</sup>
	500.000	114.94	07/06/20	208.69	93.75 W	0.00	14 of 21 - Sale <sup>25</sup>
	500.000	114.94	07/09/20	147.67	32.73 W	0.00	15 of 21 - Sale <sup>25</sup>
	500.000	114.94	07/15/20	150.30	35.36 W	0.00	16 of 21 - Sale <sup>25</sup>
	1,000.000	229.87	07/16/20	295.49	65.62 W	0.00	17 of 21 - Sale <sup>25</sup>
	1,000.000	229.87	07/17/20	279.70	49.83 W	0.00	18 of 21 - Sale <sup>25</sup>
	100.000	22.99	07/17/20	23.74	0.75 W	0.00	19 of 21 - Sale <sup>25</sup>
	100.000	22.99	07/17/20	23.74	0.75 W	0.00	20 of 21 - Sale <sup>25</sup>
	800.000	183.89	07/17/20	189.92	6.03 W	0.00	21 of 21 - Sale <sup>25</sup>
07/27/20	6,000.000	1,379.25	Various	2,355.64	976.39 W	0.00	Total of 21 transactions
20 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	100.000	22.99	07/17/20	87.10	64.11 W	0.00	1 of 20 - Sale <sup>25</sup>
	74.000	17.01	07/17/20	60.93	43.92 W	0.00	2 of 20 - Sale <sup>25</sup>
	76.000	17.47	07/17/20	62.58	45.11 W	0.00	3 of 20 - Sale <sup>25</sup>
	250.000	57.47	07/17/20	204.17	146.70 W	0.00	4 of 20 - Sale <sup>25</sup>
	74.000	17.01	07/17/20	65.61	48.60 W	0.00	5 of 20 - Sale <sup>25</sup>
	100.000	22.99	07/17/20	88.65	65.66 W	0.00	6 of 20 - Sale <sup>25</sup>
	76.000	17.47	07/17/20	63.68	46.21 W	0.00	7 of 20 - Sale <sup>25</sup>
	100.000	22.99	07/17/20	82.66	59.67 W	0.00	8 of 20 - Sale <sup>25</sup>
	150.000	34.48	07/17/20	124.00	89.52 W	0.00	9 of 20 - Sale <sup>25</sup>
	100.000	22.99	07/17/20	41.53	18.54 W	0.00	10 of 20 - Sale <sup>25</sup>

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(continued)

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DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol: (cont'd)							
	100.000	22.99	07/17/20	41.53	18.54 W	0.00	11 of 20 - Sale <sup>25</sup>
	100.000	22.99	07/17/20	41.54	18.55 W	0.00	12 of 20 - Sale <sup>25</sup>
	200.000	45.97	07/17/20	83.09	37.12 W	0.00	13 of 20 - Sale <sup>25</sup>
	500.000	114.94	07/17/20	212.15	97.21 W	0.00	14 of 20 - Sale <sup>25</sup>
	500.000	114.94	07/17/20	143.88	28.94 W	0.00	15 of 20 - Sale <sup>25</sup>
	500.000	114.94	07/17/20	146.56	31.62 W	0.00	16 of 20 - Sale <sup>25</sup>
	100.000	22.99	07/21/20	31.54	8.55 W	0.00	17 of 20 - Sale <sup>25</sup>
	100.000	22.99	07/21/20	31.55	8.56 W	0.00	18 of 20 - Sale <sup>25</sup>
	800.000	183.89	07/21/20	252.42	68.53 W	0.00	19 of 20 - Sale <sup>25</sup>
	1,000.000	229.87	07/27/20	279.92	50.05 W	0.00	20 of 20 - Sale <sup>25</sup>
07/28/20	5,000.000	1,149.38	Various	2,145.09	995.71 W	0.00	Total of 20 transactions
25 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	800.000	45.50	07/27/20	252.60	...	-207.10	1 of 25 - Sale <sup>25</sup>
	250.000	14.22	07/27/20	204.20	...	-189.98	2 of 25 - Sale <sup>25</sup>
	500.000	28.44	07/27/20	212.21	...	-183.77	3 of 25 - Sale <sup>25</sup>
	800.000	45.50	07/27/20	190.03	...	-144.53	4 of 25 - Sale <sup>25</sup>
	500.000	28.44	07/27/20	146.66	...	-118.22	5 of 25 - Sale <sup>25</sup>
	500.000	28.44	07/27/20	143.99	...	-115.55	6 of 25 - Sale <sup>25</sup>
	150.000	8.53	07/27/20	124.02	...	-115.49	7 of 25 - Sale <sup>25</sup>
	100.000	5.69	07/27/20	88.66	...	-82.97	8 of 25 - Sale <sup>25</sup>
	100.000	5.69	07/27/20	87.11	...	-81.42	9 of 25 - Sale <sup>25</sup>
	100.000	5.69	07/27/20	82.67	...	-76.98	10 of 25 - Sale <sup>25</sup>
	200.000	11.38	07/27/20	83.12	...	-71.74	11 of 25 - Sale <sup>25</sup>
	74.000	4.21	07/27/20	65.62	...	-61.41	12 of 25 - Sale <sup>25</sup>
	76.000	4.32	07/27/20	63.69	...	-59.37	13 of 25 - Sale <sup>25</sup>
	76.000	4.32	07/27/20	62.59	...	-58.27	14 of 25 - Sale <sup>25</sup>
	74.000	4.21	07/27/20	60.94	...	-56.73	15 of 25 - Sale <sup>25</sup>
	100.000	5.69	07/27/20	41.55	...	-35.86	16 of 25 - Sale <sup>25</sup>
	100.000	5.69	07/27/20	41.54	...	-35.85	17 of 25 - Sale <sup>25</sup>
	100.000	5.69	07/27/20	41.54	...	-35.85	18 of 25 - Sale <sup>25</sup>
	100.000	5.69	07/27/20	31.57	...	-25.88	19 of 25 - Sale <sup>25</sup>
	100.000	5.69	07/27/20	31.56	...	-25.87	20 of 25 - Sale <sup>25</sup>
	2,331.000	132.58	07/28/20	536.36	...	-403.78	21 of 25 - Sale <sup>25</sup>
	1,500.000	85.31	07/28/20	345.15	...	-259.84	22 of 25 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol: (cont'd)							
	1,000.000	56.88	07/28/20	280.15	...	-223.27	23 of 25 - Sale <sup>25</sup>
	100.000	5.69	07/28/20	41.07	...	-35.38	24 of 25 - Sale <sup>25</sup>
	69.000	3.92	07/28/20	28.34	...	-24.42	25 of 25 - Sale <sup>25</sup>
07/31/20	9,800.000	557.41	Various	3,286.94	...	-2,729.53	Total of 25 transactions
	2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	100.000	5.69	07/27/20	23.75	12.46 W	-5.60	1 of 2 - Sale <sup>25</sup>
	100.000	5.69	07/27/20	23.75	18.06 W	0.00	2 of 2 - Sale <sup>25</sup>
07/31/20	200.000	11.38	Various	47.50	30.52 W	-5.60	Total of 2 transactions
	<b>Security total:</b>	<b>5,557.83</b>		<b>12,033.01</b>	<b>3,740.05 W</b>	<b>-2,735.13</b>	
DEVON ENERGY CORPORATION / CUSIP: 25179M103 / Symbol:							
	2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	50.000	484.48	10/02/20	488.88	...	-4.40	1 of 2 - Sale <sup>25</sup>
	50.000	484.49	10/02/20	488.50	...	-4.01	2 of 2 - Sale <sup>25</sup>
10/05/20	100.000	968.97	Various	977.38	...	-8.41	Total of 2 transactions
DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol:							
05/11/20	10.000	411.19	05/06/20	396.74	...	14.45	Sale <sup>25</sup>
	4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	10.000	480.30	06/15/20	460.29	...	20.01	1 of 4 - Sale <sup>25</sup>
	15.000	720.45	06/15/20	695.01	...	25.44	2 of 4 - Sale <sup>25</sup>
	15.000	720.45	06/15/20	691.54	...	28.91	3 of 4 - Sale <sup>25</sup>
	10.000	480.30	06/15/20	449.17	...	31.13	4 of 4 - Sale <sup>25</sup>
06/17/20	50.000	2,401.50	Various	2,296.01	...	105.49	Total of 4 transactions
06/17/20	10.000	480.30	06/15/20	487.97	7.67 W	0.00	Sale <sup>25</sup>
	8 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	10.000	421.79	06/15/20	487.96	66.17 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	421.79	06/17/20	473.44	51.65 W	0.00	2 of 8 - Sale <sup>25</sup>
	20.000	843.59	06/17/20	932.50	88.91 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	418.26	06/17/20	532.42	114.16 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	418.26	06/18/20	524.76	106.50 W	0.00	5 of 8 - Sale <sup>25</sup>
	20.000	836.53	06/18/20	1,035.14	198.61 W	0.00	6 of 8 - Sale <sup>25</sup>
	20.000	836.52	06/18/20	946.22	109.70 W	0.00	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol: (cont'd)							
	9.000	376.44	06/22/20	412.61	36.17 W	0.00	8 of 8 - Sale <sup>25</sup>
06/24/20	109.000	4,573.18	Various	5,345.05	771.87 W	0.00	Total of 8 transactions
	8 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	40.72	06/22/20	57.26	16.54 W	0.00	1 of 8 - Sale <sup>25</sup>
	9.000	366.45	06/24/20	479.90	113.45 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	407.17	06/24/20	526.74	119.57 W	0.00	3 of 8 - Sale <sup>25</sup>
	20.000	814.34	06/24/20	1,043.54	229.20 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	407.17	06/24/20	477.31	70.14 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	407.17	06/24/20	477.90	70.73 W	0.00	6 of 8 - Sale <sup>25</sup>
	9.000	366.45	06/24/20	416.92	50.47 W	0.00	7 of 8 - Sale <sup>25</sup>
	31.000	1,262.21	06/24/20	1,311.45	49.24 W	0.00	8 of 8 - Sale <sup>25</sup>
06/26/20	100.000	4,071.68	Various	4,791.02	719.34 W	0.00	Total of 8 transactions
	9 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	1.000	40.70	06/26/20	57.28	16.58 W	0.00	1 of 9 - Sale <sup>25</sup>
	9.000	366.33	06/26/20	480.07	113.74 W	0.00	2 of 9 - Sale <sup>25</sup>
	10.000	407.04	06/26/20	526.92	119.88 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	203.52	06/26/20	260.97	57.45 W	0.00	4 of 9 - Sale <sup>25</sup>
	15.000	610.56	06/26/20	781.99	171.43 W	0.00	5 of 9 - Sale <sup>25</sup>
	10.000	407.04	06/26/20	476.87	69.83 W	0.00	6 of 9 - Sale <sup>25</sup>
	10.000	407.04	06/26/20	477.28	70.24 W	0.00	7 of 9 - Sale <sup>25</sup>
	9.000	366.33	06/26/20	416.37	50.04 W	0.00	8 of 9 - Sale <sup>25</sup>
	11.000	447.74	06/26/20	464.68	16.94 W	0.00	9 of 9 - Sale <sup>25</sup>
07/07/20	80.000	3,256.30	Various	3,942.43	686.13 W	0.00	Total of 9 transactions
	6 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	11.000	446.92	07/09/20	432.30	...	14.62	1 of 6 - Sale <sup>25</sup>
	10.000	406.29	07/09/20	381.50	...	24.79	2 of 6 - Sale <sup>25</sup>
	10.000	406.29	07/09/20	377.00	...	29.29	3 of 6 - Sale <sup>25</sup>
	5.000	203.15	07/10/20	188.39	...	14.76	4 of 6 - Sale <sup>25</sup>
	10.000	406.29	07/10/20	371.97	...	34.32	5 of 6 - Sale <sup>25</sup>
	5.000	203.14	07/13/20	187.34	...	15.80	6 of 6 - Sale <sup>25</sup>
07/22/20	51.000	2,072.08	Various	1,938.50	...	133.58	Total of 6 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol: (cont'd)							
10 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	20.000	812.58	06/26/20	844.86	32.28 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	40.63	07/07/20	57.27	16.64 W	0.00	2 of 10 - Sale <sup>25</sup>
	9.000	365.66	07/07/20	479.97	114.31 W	0.00	3 of 10 - Sale <sup>25</sup>
	10.000	406.29	07/07/20	526.81	120.52 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	203.15	07/07/20	260.91	57.76 W	0.00	5 of 10 - Sale <sup>25</sup>
	5.000	203.14	07/07/20	260.61	57.47 W	0.00	6 of 10 - Sale <sup>25</sup>
	10.000	406.29	07/08/20	518.92	112.63 W	0.00	7 of 10 - Sale <sup>25</sup>
	10.000	406.29	07/08/20	473.78	67.49 W	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	406.29	07/09/20	447.84	41.55 W	0.00	9 of 10 - Sale <sup>25</sup>
	9.000	365.66	07/09/20	389.88	24.22 W	0.00	10 of 10 - Sale <sup>25</sup>
07/22/20	89.000	3,615.98	Various	4,260.85	644.87 W	0.00	Total of 10 transactions
08/05/20	3.000	124.23	08/03/20	123.94	...	0.29	Sale <sup>25</sup>
7 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	41.41	08/03/20	56.34	14.93 W	0.00	1 of 7 - Sale <sup>25</sup>
	9.000	372.68	08/03/20	471.61	98.93 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	414.09	08/03/20	517.52	103.43 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	207.04	08/03/20	256.26	49.22 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	207.05	08/03/20	255.97	48.92 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	414.09	08/03/20	509.63	95.54 W	0.00	6 of 7 - Sale <sup>25</sup>
	7.000	289.86	08/03/20	325.14	35.28 W	0.00	7 of 7 - Sale <sup>25</sup>
08/05/20	47.000	1,946.22	Various	2,392.47	446.25 W	0.00	Total of 7 transactions
08/06/20	14.000	575.97	08/03/20	555.80	...	20.17	Sale <sup>25</sup>
11 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	41.14	08/03/20	54.63	13.49 W	0.00	1 of 11 - Sale <sup>25</sup>
	9.000	370.26	08/03/20	456.23	85.97 W	0.00	2 of 11 - Sale <sup>25</sup>
	10.000	411.41	08/03/20	500.43	89.02 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	205.70	08/03/20	247.72	42.02 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	205.70	08/03/20	247.42	41.72 W	0.00	5 of 11 - Sale <sup>25</sup>
	10.000	411.41	08/03/20	492.54	81.13 W	0.00	6 of 11 - Sale <sup>25</sup>
	7.000	287.98	08/03/20	313.18	25.20 W	0.00	7 of 11 - Sale <sup>25</sup>
	3.000	123.42	08/03/20	139.35	15.93 W	0.00	8 of 11 - Sale <sup>25</sup>
	10.000	411.40	08/03/20	438.55	27.15 W	0.00	9 of 11 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol: (cont'd)							
	9.000	370.26	08/03/20	381.52	11.26 W	0.00	10 of 11 - Sale <sup>25</sup>
	17.000	699.39	08/03/20	702.34	2.95 W	0.00	11 of 11 - Sale <sup>25</sup>
08/06/20	86.000	3,538.07	Various	3,973.91	435.84 W	0.00	Total of 11 transactions
	7 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	44.67	08/11/20	59.86	15.19 W	0.00	1 of 7 - Sale <sup>25</sup>
	9.000	402.02	08/11/20	503.26	101.24 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	44.67	08/11/20	55.27	10.60 W	0.00	3 of 7 - Sale <sup>25</sup>
	3.000	134.01	08/11/20	155.03	21.02 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	446.69	08/11/20	490.81	44.12 W	0.00	5 of 7 - Sale <sup>25</sup>
	9.000	402.02	08/11/20	428.55	26.53 W	0.00	6 of 7 - Sale <sup>25</sup>
	17.000	759.37	08/11/20	791.18	31.81 W	0.00	7 of 7 - Sale <sup>25</sup>
08/12/20	50.000	2,233.45	Various	2,483.96	250.51 W	0.00	Total of 7 transactions
	13 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	9.000	323.72	09/03/20	427.91	...	-104.19	1 of 13 - Sale <sup>25</sup>
	10.000	358.25	09/03/20	444.09	...	-85.84	2 of 13 - Sale <sup>25</sup>
	9.000	323.72	09/03/20	406.79	...	-83.07	3 of 13 - Sale <sup>25</sup>
	10.000	358.25	09/03/20	407.08	...	-48.83	4 of 13 - Sale <sup>25</sup>
	5.000	179.12	09/03/20	223.20	...	-44.08	5 of 13 - Sale <sup>25</sup>
	5.000	179.85	09/03/20	223.50	...	-43.65	6 of 13 - Sale <sup>25</sup>
	17.000	609.02	09/03/20	648.84	...	-39.82	7 of 13 - Sale <sup>25</sup>
	9.000	322.42	09/03/20	353.19	...	-30.77	8 of 13 - Sale <sup>25</sup>
	7.000	250.77	09/03/20	279.27	...	-28.50	9 of 13 - Sale <sup>25</sup>
	3.000	107.48	09/03/20	129.91	...	-22.43	10 of 13 - Sale <sup>25</sup>
	1.000	35.97	09/03/20	51.49	...	-15.52	11 of 13 - Sale <sup>25</sup>
	1.000	35.97	09/03/20	46.90	...	-10.93	12 of 13 - Sale <sup>25</sup>
	14.000	501.55	09/03/20	508.15	...	-6.60	13 of 13 - Sale <sup>25</sup>
09/04/20	100.000	3,586.09	Various	4,150.32	...	-564.23	Total of 13 transactions
11/19/20	40.000	1,463.96	11/18/20	1,566.06	102.10 W	0.00	Sale <sup>25</sup>
11/20/20	40.000	1,558.36	11/19/20	1,638.30	...	-79.94	Sale <sup>25</sup>
11/20/20	60.000	2,337.54	11/18/20	2,349.08	9.62 W	-1.92	Sale <sup>25</sup>
11/24/20	50.000	2,280.59	11/23/20	2,159.37	...	121.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>40,526.69</b>		<b>44,851.78</b>	<b>4,074.20 W</b>	<b>-250.89</b>	

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(continued)

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DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol:							
08/04/20	100.000	1,352.46	08/03/20	1,281.00	...	71.46	Sale <sup>25</sup>
	3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	50.000	593.48	08/06/20	626.00		0.00	1 of 3 - Sale <sup>25</sup>
	50.000	593.48	08/06/20	618.00	32.52 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	593.48	08/06/20	623.49	24.52 W	0.00	3 of 3 - Sale <sup>25</sup>
08/07/20	150.000	1,780.44	Various	1,867.49	30.01 W	0.00	Total of 3 transactions
	6 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	50.000	628.48	08/06/20	623.75	87.05 W	0.00	
	6.000	75.42	08/07/20	73.50	...	4.73	1 of 6 - Sale <sup>25</sup>
	44.000	553.06	08/07/20	537.90	...	1.92	2 of 6 - Sale <sup>25</sup>
	50.000	628.47	08/07/20	601.00	...	15.16	3 of 6 - Sale <sup>25</sup>
	50.000	628.48	08/07/20	590.25	...	27.47	4 of 6 - Sale <sup>25</sup>
	50.000	628.48	08/07/20	589.00	...	38.23	5 of 6 - Sale <sup>25</sup>
	50.000	628.48	08/07/20	589.00	...	39.48	6 of 6 - Sale <sup>25</sup>
08/10/20	250.000	3,142.39	Various	3,015.40	...	126.99	Total of 6 transactions
	4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	50.000	628.48	08/06/20	654.01	12.77 W	-12.76	1 of 4 - Sale <sup>25</sup>
	50.000	628.48	08/06/20	656.00	27.52 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	12.57	08/06/20	12.97	0.40 W	0.00	3 of 4 - Sale <sup>25</sup>
	49.000	615.91	08/06/20	635.55	19.64 W	0.00	4 of 4 - Sale <sup>25</sup>
08/10/20	150.000	1,885.44	Various	1,958.53	60.33 W	-12.76	Total of 4 transactions
	5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	10.000	131.10	08/10/20	131.25	...	-0.15	1 of 5 - Sale <sup>25</sup>
	40.000	524.38	08/10/20	524.42	...	-0.04	2 of 5 - Sale <sup>25</sup>
	1.000	13.11	08/10/20	12.96	...	0.15	3 of 5 - Sale <sup>25</sup>
	49.000	642.37	08/10/20	635.08	...	7.29	4 of 5 - Sale <sup>25</sup>
	25.000	327.74	08/12/20	322.66	...	5.08	5 of 5 - Sale <sup>25</sup>
08/13/20	125.000	1,638.70	Various	1,626.37	...	12.33	Total of 5 transactions
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	50.000	1,349.47	09/15/20	1,409.55	60.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	1,360.71	09/15/20	1,409.55	48.84 W	0.00	2 of 2 - Sale <sup>25</sup>
09/16/20	100.000	2,710.18	Various	2,819.10	108.92 W	0.00	Total of 2 transactions

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(continued)

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DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol: (cont'd)							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	50.000	1,202.21	09/24/20	1,245.83	...	-43.62	1 of 2 - Sale <sup>25</sup>
	50.000	1,202.22	09/24/20	1,234.59	...	-32.37	2 of 2 - Sale <sup>25</sup>
09/25/20	100.000	2,404.43	Various	2,480.42	...	-75.99	Total of 2 transactions
	<b>Security total:</b>	<b>14,914.04</b>		<b>15,048.31</b>	<b>256.30 W</b>	<b>122.03</b>	
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol:							
3 transactions for 01/16/20. Total proceeds and cost reported to the IRS.							
	8.000	49.52	01/02/20	43.35	...	6.17	1 of 3 - Sale <sup>25</sup>
	84.000	521.80	01/02/20	455.26	...	66.54	2 of 3 - Sale <sup>25</sup>
	92.000	569.53	01/02/20	498.62	...	70.91	3 of 3 - Sale <sup>25</sup>
01/16/20	184.000	1,140.85	Various	997.23	...	143.62	Total of 3 transactions
02/06/20	15.000	79.55	01/27/20	81.58	2.03 W	0.00	Sale <sup>25</sup>
2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	40.000	210.27	01/27/20	217.55	7.28 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	160.57	01/27/20	163.17	2.60 W	0.00	2 of 2 - Sale <sup>25</sup>
02/11/20	70.000	370.84	Various	380.72	9.88 W	0.00	Total of 2 transactions
18 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	15.000	54.75	01/27/20	81.58	26.83 W	0.00	1 of 18 - Sale <sup>25</sup>
	25.000	91.25	01/27/20	138.79	47.54 W	0.00	2 of 18 - Sale <sup>25</sup>
	40.000	146.00	01/27/20	225.88	79.88 W	0.00	3 of 18 - Sale <sup>25</sup>
	15.000	54.75	01/27/20	84.00	29.25 W	0.00	4 of 18 - Sale <sup>25</sup>
	5.000	18.25	01/28/20	26.47	8.22 W	0.00	5 of 18 - Sale <sup>25</sup>
	15.000	54.75	01/28/20	78.12	23.37 W	0.00	6 of 18 - Sale <sup>25</sup>
	50.000	182.49	01/29/20	250.25	67.76 W	0.00	7 of 18 - Sale <sup>25</sup>
	20.000	73.00	01/30/20	99.57	26.57 W	0.00	8 of 18 - Sale <sup>25</sup>
	30.000	109.50	01/30/20	153.90	44.40 W	0.00	9 of 18 - Sale <sup>25</sup>
	10.000	36.50	01/30/20	50.99	14.49 W	0.00	10 of 18 - Sale <sup>25</sup>
	20.000	73.00	01/31/20	98.57	25.57 W	0.00	11 of 18 - Sale <sup>25</sup>
	20.000	73.00	01/31/20	99.76	26.76 W	0.00	12 of 18 - Sale <sup>25</sup>
	50.000	182.49	01/31/20	255.00	72.51 W	0.00	13 of 18 - Sale <sup>25</sup>
	15.000	54.75	02/03/20	75.98	21.23 W	0.00	14 of 18 - Sale <sup>25</sup>
	9.000	32.85	02/10/20	46.70	13.85 W	0.00	15 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	1.000	3.65	02/10/20	5.19	1.54 W	0.00	16 of 18 - Sale <sup>25</sup>
	100.000	364.98	02/14/20	510.83	145.85 W	0.00	17 of 18 - Sale <sup>25</sup>
	60.000	218.98	02/18/20	299.22	80.24 W	0.00	18 of 18 - Sale <sup>25</sup>
03/26/20	500.000	1,824.94	Various	2,580.80	755.86 W	0.00	Total of 18 transactions
03/31/20	130.000	468.48	03/11/20	440.05	...	28.43	Sale <sup>25</sup>
30 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	15.000	54.06	02/18/20	74.81	20.75 W	0.00	1 of 30 - Sale <sup>25</sup>
	75.000	270.28	02/18/20	374.84	104.56 W	0.00	2 of 30 - Sale <sup>25</sup>
	50.000	180.18	02/19/20	253.93	73.75 W	0.00	3 of 30 - Sale <sup>25</sup>
	10.000	36.04	02/19/20	50.67	14.63 W	0.00	4 of 30 - Sale <sup>25</sup>
	70.000	252.26	02/20/20	336.60	84.34 W	0.00	5 of 30 - Sale <sup>25</sup>
	25.000	90.09	02/25/20	161.46	71.37 W	0.00	6 of 30 - Sale <sup>25</sup>
	40.000	144.15	02/25/20	262.15	118.00 W	0.00	7 of 30 - Sale <sup>25</sup>
	5.000	18.02	02/25/20	31.00	12.98 W	0.00	8 of 30 - Sale <sup>25</sup>
	15.000	54.06	02/25/20	97.60	43.54 W	0.00	9 of 30 - Sale <sup>25</sup>
	15.000	54.06	02/25/20	95.18	41.12 W	0.00	10 of 30 - Sale <sup>25</sup>
	15.000	54.06	02/25/20	91.73	37.67 W	0.00	11 of 30 - Sale <sup>25</sup>
	15.000	54.06	02/25/20	88.70	34.64 W	0.00	12 of 30 - Sale <sup>25</sup>
	35.000	126.13	02/26/20	203.53	77.40 W	0.00	13 of 30 - Sale <sup>25</sup>
	10.000	36.04	02/26/20	59.09	23.05 W	0.00	14 of 30 - Sale <sup>25</sup>
	20.000	72.07	02/26/20	115.77	43.70 W	0.00	15 of 30 - Sale <sup>25</sup>
	30.000	108.11	02/26/20	178.19	70.08 W	0.00	16 of 30 - Sale <sup>25</sup>
	5.000	18.02	02/26/20	28.69	10.67 W	0.00	17 of 30 - Sale <sup>25</sup>
	13.000	46.85	02/26/20	74.86	28.01 W	0.00	18 of 30 - Sale <sup>25</sup>
	2.000	7.21	02/26/20	11.08	3.87 W	0.00	19 of 30 - Sale <sup>25</sup>
	20.000	72.07	02/26/20	111.96	39.89 W	0.00	20 of 30 - Sale <sup>25</sup>
	50.000	180.18	02/26/20	285.50	105.32 W	0.00	21 of 30 - Sale <sup>25</sup>
	15.000	54.05	02/26/20	85.13	31.08 W	0.00	22 of 30 - Sale <sup>25</sup>
	1.000	3.60	02/26/20	5.80	2.20 W	0.00	23 of 30 - Sale <sup>25</sup>
	9.000	32.43	02/26/20	52.19	19.76 W	0.00	24 of 30 - Sale <sup>25</sup>
	100.000	360.37	02/28/20	538.85	178.48 W	0.00	25 of 30 - Sale <sup>25</sup>
	50.000	180.18	02/28/20	263.37	83.19 W	0.00	26 of 30 - Sale <sup>25</sup>
	10.000	36.04	03/04/20	56.26	20.22 W	0.00	27 of 30 - Sale <sup>25</sup>
	10.000	36.04	03/04/20	42.88	6.84 W	0.00	28 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	50.000	180.18	03/06/20	208.50	28.32 W	0.00	29 of 30 - Sale <sup>25</sup>
	90.000	324.33	03/09/20	351.55	27.22 W	0.00	30 of 30 - Sale <sup>25</sup>
03/31/20	870.000	3,135.22	Various	4,591.87	1,456.65 W	0.00	Total of 30 transactions
	12 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	20.000	67.40	03/11/20	95.68	28.28 W	0.00	1 of 12 - Sale <sup>25</sup>
	30.000	101.10	03/11/20	145.95	44.85 W	0.00	2 of 12 - Sale <sup>25</sup>
	10.000	33.70	03/11/20	48.53	14.83 W	0.00	3 of 12 - Sale <sup>25</sup>
	20.000	67.40	03/11/20	97.30	29.90 W	0.00	4 of 12 - Sale <sup>25</sup>
	20.000	67.40	03/11/20	91.90	24.50 W	0.00	5 of 12 - Sale <sup>25</sup>
	50.000	168.49	03/11/20	229.74	61.25 W	0.00	6 of 12 - Sale <sup>25</sup>
	15.000	50.55	03/11/20	71.53	20.98 W	0.00	7 of 12 - Sale <sup>25</sup>
	55.000	185.34	03/11/20	262.85	77.51 W	0.00	8 of 12 - Sale <sup>25</sup>
	25.000	84.24	03/13/20	128.84	44.60 W	0.00	9 of 12 - Sale <sup>25</sup>
	40.000	134.79	03/13/20	209.95	75.16 W	0.00	10 of 12 - Sale <sup>25</sup>
	5.000	16.85	03/13/20	24.47	7.62 W	0.00	11 of 12 - Sale <sup>25</sup>
	10.000	33.70	03/13/20	52.02	18.32 W	0.00	12 of 12 - Sale <sup>25</sup>
04/14/20	300.000	1,010.96	Various	1,458.76	447.80 W	0.00	Total of 12 transactions
	18 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	5.000	17.80	03/13/20	26.01	8.21 W	0.00	1 of 18 - Sale <sup>25</sup>
	15.000	53.40	03/13/20	75.60	22.20 W	0.00	2 of 18 - Sale <sup>25</sup>
	15.000	53.40	03/17/20	73.51	20.11 W	0.00	3 of 18 - Sale <sup>25</sup>
	15.000	53.40	03/17/20	70.48	17.08 W	0.00	4 of 18 - Sale <sup>25</sup>
	35.000	124.59	03/17/20	161.02	36.43 W	0.00	5 of 18 - Sale <sup>25</sup>
	10.000	35.60	03/17/20	46.94	11.34 W	0.00	6 of 18 - Sale <sup>25</sup>
	20.000	71.20	03/17/20	91.49	20.29 W	0.00	7 of 18 - Sale <sup>25</sup>
	30.000	106.80	03/17/20	141.76	34.96 W	0.00	8 of 18 - Sale <sup>25</sup>
	2.000	7.12	03/17/20	8.65	1.53 W	0.00	9 of 18 - Sale <sup>25</sup>
	5.000	17.80	03/17/20	22.62	4.82 W	0.00	10 of 18 - Sale <sup>25</sup>
	13.000	46.28	03/17/20	59.07	12.79 W	0.00	11 of 18 - Sale <sup>25</sup>
	15.000	53.40	03/17/20	67.44	14.04 W	0.00	12 of 18 - Sale <sup>25</sup>
	20.000	71.20	03/17/20	87.67	16.47 W	0.00	13 of 18 - Sale <sup>25</sup>
	35.000	124.59	03/20/20	179.39	54.80 W	0.00	14 of 18 - Sale <sup>25</sup>
	15.000	53.40	03/20/20	76.37	22.97 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	3.56	03/20/20	5.22	1.66 W	0.00	16 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	9.000	32.04	03/20/20	46.93	14.89 W	0.00	17 of 18 - Sale <sup>25</sup>
	40.000	142.38	03/20/20	192.16	49.78 W	0.00	18 of 18 - Sale <sup>25</sup>
04/16/20	300.000	1,067.96	Various	1,432.33	364.37 W	0.00	Total of 18 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	20.000	70.63	03/20/20	66.44	...	4.19	1 of 2 - Sale <sup>25</sup>
	70.000	247.09	03/20/20	232.57	...	14.52	2 of 2 - Sale <sup>25</sup>
04/17/20	90.000	317.72	Various	299.01	...	18.71	Total of 2 transactions
	36 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	37.000	130.66	03/20/20	173.27	42.61 W	0.00	1 of 36 - Sale <sup>25</sup>
	10.000	35.31	03/20/20	50.41	15.10 W	0.00	2 of 36 - Sale <sup>25</sup>
	10.000	35.31	03/20/20	37.03	1.72 W	0.00	3 of 36 - Sale <sup>25</sup>
	50.000	176.57	03/20/20	179.28	2.71 W	0.00	4 of 36 - Sale <sup>25</sup>
	3.000	10.59	03/20/20	14.70	4.11 W	0.00	5 of 36 - Sale <sup>25</sup>
	7.000	24.71	03/20/20	34.29	9.58 W	0.00	6 of 36 - Sale <sup>25</sup>
	15.000	52.95	03/20/20	66.28	13.33 W	0.00	7 of 36 - Sale <sup>25</sup>
	20.000	70.60	03/20/20	88.68	18.08 W	0.00	8 of 36 - Sale <sup>25</sup>
	55.000	194.14	03/20/20	243.61	49.47 W	0.00	9 of 36 - Sale <sup>25</sup>
	10.000	35.30	03/20/20	45.03	9.73 W	0.00	10 of 36 - Sale <sup>25</sup>
	20.000	70.59	03/20/20	90.30	19.71 W	0.00	11 of 36 - Sale <sup>25</sup>
	30.000	105.89	03/20/20	135.45	29.56 W	0.00	12 of 36 - Sale <sup>25</sup>
	20.000	70.60	03/20/20	84.90	14.30 W	0.00	13 of 36 - Sale <sup>25</sup>
	23.000	81.18	03/20/20	97.64	16.46 W	0.00	14 of 36 - Sale <sup>25</sup>
	27.000	95.31	03/20/20	114.61	19.30 W	0.00	15 of 36 - Sale <sup>25</sup>
	60.000	211.28	03/20/20	288.24	76.96 W	0.00	16 of 36 - Sale <sup>25</sup>
	13.000	45.78	03/20/20	60.88	15.10 W	0.00	17 of 36 - Sale <sup>25</sup>
	3.000	10.59	03/23/20	13.99	3.40 W	0.00	18 of 36 - Sale <sup>25</sup>
	25.000	88.25	03/23/20	114.22	25.97 W	0.00	19 of 36 - Sale <sup>25</sup>
	27.000	95.31	03/23/20	125.92	30.61 W	0.00	20 of 36 - Sale <sup>25</sup>
	5.000	17.65	03/23/20	21.54	3.89 W	0.00	21 of 36 - Sale <sup>25</sup>
	5.000	17.65	03/23/20	22.13	4.48 W	0.00	22 of 36 - Sale <sup>25</sup>
	10.000	35.30	03/23/20	46.17	10.87 W	0.00	23 of 36 - Sale <sup>25</sup>
	15.000	52.94	03/23/20	63.97	11.03 W	0.00	24 of 36 - Sale <sup>25</sup>
	13.000	45.89	03/23/20	53.63	7.74 W	0.00	25 of 36 - Sale <sup>25</sup>
	2.000	7.06	03/23/20	8.25	1.19 W	0.00	26 of 36 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	15.000	52.95	03/23/20	58.85	5.90 W	0.00	27 of 36 - Sale <sup>25</sup>
	35.000	123.54	03/23/20	133.91	10.37 W	0.00	28 of 36 - Sale <sup>25</sup>
	20.000	70.60	03/23/20	75.99	5.39 W	0.00	29 of 36 - Sale <sup>25</sup>
	25.000	88.25	03/23/20	98.75	10.50 W	0.00	30 of 36 - Sale <sup>25</sup>
	5.000	17.65	03/23/20	20.67	3.02 W	0.00	31 of 36 - Sale <sup>25</sup>
	10.000	35.30	03/23/20	41.03	5.73 W	0.00	32 of 36 - Sale <sup>25</sup>
	2.000	7.06	03/23/20	7.47	0.41 W	0.00	33 of 36 - Sale <sup>25</sup>
	5.000	17.65	03/23/20	19.66	2.01 W	0.00	34 of 36 - Sale <sup>25</sup>
	13.000	45.88	03/23/20	51.38	5.50 W	0.00	35 of 36 - Sale <sup>25</sup>
	18.000	63.54	03/23/20	68.26	4.72 W	0.00	36 of 36 - Sale <sup>25</sup>
04/17/20	663.000	2,339.83	Various	2,850.39	510.56 W	0.00	Total of 36 transactions
26 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	2.000	6.94	04/03/20	6.94	...	0.00	1 of 26 - Sale <sup>25</sup>
	2.000	7.08	04/03/20	6.71	...	0.37	2 of 26 - Sale <sup>25</sup>
	32.000	111.03	04/03/20	110.28	...	0.75	3 of 26 - Sale <sup>25</sup>
	20.000	69.40	04/03/20	68.39	...	1.01	4 of 26 - Sale <sup>25</sup>
	18.000	63.72	04/03/20	61.42	...	2.30	5 of 26 - Sale <sup>25</sup>
	1.000	3.60	04/07/20	3.47	...	0.13	6 of 26 - Sale <sup>25</sup>
	15.000	54.01	04/07/20	53.81	...	0.20	7 of 26 - Sale <sup>25</sup>
	1.000	3.56	04/07/20	3.30	...	0.26	8 of 26 - Sale <sup>25</sup>
	1.000	3.71	04/07/20	3.41	...	0.30	9 of 26 - Sale <sup>25</sup>
	5.000	17.80	04/07/20	16.70	...	1.10	10 of 26 - Sale <sup>25</sup>
	10.000	35.59	04/07/20	34.16	...	1.43	11 of 26 - Sale <sup>25</sup>
	3.000	11.14	04/07/20	9.49	...	1.65	12 of 26 - Sale <sup>25</sup>
	13.000	46.81	04/07/20	45.09	...	1.72	13 of 26 - Sale <sup>25</sup>
	11.000	40.83	04/07/20	39.08	...	1.75	14 of 26 - Sale <sup>25</sup>
	5.000	17.80	04/07/20	15.68	...	2.12	15 of 26 - Sale <sup>25</sup>
	5.000	17.80	04/07/20	15.34	...	2.46	16 of 26 - Sale <sup>25</sup>
	5.000	18.56	04/07/20	16.04	...	2.52	17 of 26 - Sale <sup>25</sup>
	9.000	32.04	04/07/20	29.14	...	2.90	18 of 26 - Sale <sup>25</sup>
	20.000	74.23	04/07/20	71.04	...	3.19	19 of 26 - Sale <sup>25</sup>
	10.000	37.12	04/07/20	32.60	...	4.52	20 of 26 - Sale <sup>25</sup>
	13.000	46.29	04/07/20	40.14	...	6.15	21 of 26 - Sale <sup>25</sup>
	15.000	53.40	04/07/20	47.24	...	6.16	22 of 26 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	30.000	108.02	04/07/20	100.19	...	7.83	23 of 26 - Sale <sup>25</sup>
	20.000	71.21	04/07/20	63.11	...	8.10	24 of 26 - Sale <sup>25</sup>
	40.000	144.02	04/07/20	132.03	...	11.99	25 of 26 - Sale <sup>25</sup>
	50.000	178.02	04/07/20	164.94	...	13.08	26 of 26 - Sale <sup>25</sup>
04/20/20	356.000	1,273.73	Various	1,189.74	...	83.99	Total of 26 transactions
	112 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	15.000	52.06	03/23/20	58.57	6.51 W	0.00	1 of 112 - Sale <sup>25</sup>
	2.000	6.94	03/23/20	7.58	0.64 W	0.00	2 of 112 - Sale <sup>25</sup>
	30.000	104.11	03/23/20	136.03	31.92 W	0.00	3 of 112 - Sale <sup>25</sup>
	5.000	17.35	03/23/20	22.13	4.78 W	0.00	4 of 112 - Sale <sup>25</sup>
	15.000	52.06	03/23/20	65.87	13.81 W	0.00	5 of 112 - Sale <sup>25</sup>
	1.000	3.47	03/23/20	4.52	1.05 W	0.00	6 of 112 - Sale <sup>25</sup>
	9.000	31.23	03/23/20	40.63	9.40 W	0.00	7 of 112 - Sale <sup>25</sup>
	30.000	104.11	03/23/20	124.28	20.17 W	0.00	8 of 112 - Sale <sup>25</sup>
	40.000	138.82	03/23/20	164.17	25.35 W	0.00	9 of 112 - Sale <sup>25</sup>
	3.000	10.41	03/25/20	14.02	3.61 W	0.00	10 of 112 - Sale <sup>25</sup>
	3.000	10.41	03/26/20	15.14	4.73 W	0.00	11 of 112 - Sale <sup>25</sup>
	7.000	24.29	03/26/20	35.33	11.04 W	0.00	12 of 112 - Sale <sup>25</sup>
	3.000	10.41	03/26/20	14.43	4.02 W	0.00	13 of 112 - Sale <sup>25</sup>
	25.000	86.76	03/26/20	117.93	31.17 W	0.00	14 of 112 - Sale <sup>25</sup>
	27.000	93.70	03/26/20	129.93	36.23 W	0.00	15 of 112 - Sale <sup>25</sup>
	5.000	17.35	03/26/20	22.28	4.93 W	0.00	16 of 112 - Sale <sup>25</sup>
	5.000	17.35	03/26/20	22.87	5.52 W	0.00	17 of 112 - Sale <sup>25</sup>
	10.000	34.71	03/26/20	47.65	12.94 W	0.00	18 of 112 - Sale <sup>25</sup>
	15.000	52.06	03/26/20	66.21	14.15 W	0.00	19 of 112 - Sale <sup>25</sup>
	2.000	6.94	03/26/20	8.55	1.61 W	0.00	20 of 112 - Sale <sup>25</sup>
	13.000	45.11	03/26/20	55.56	10.45 W	0.00	21 of 112 - Sale <sup>25</sup>
	15.000	52.05	03/26/20	61.07	9.02 W	0.00	22 of 112 - Sale <sup>25</sup>
	3.000	10.41	03/26/20	11.92	1.51 W	0.00	23 of 112 - Sale <sup>25</sup>
	15.000	52.05	03/26/20	68.50	16.45 W	0.00	24 of 112 - Sale <sup>25</sup>
	20.000	69.40	03/26/20	91.64	22.24 W	0.00	25 of 112 - Sale <sup>25</sup>
	55.000	190.84	03/26/20	251.77	60.93 W	0.00	26 of 112 - Sale <sup>25</sup>
	10.000	34.70	03/26/20	46.51	11.81 W	0.00	27 of 112 - Sale <sup>25</sup>
	20.000	69.40	03/26/20	93.28	23.88 W	0.00	28 of 112 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DYNAVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	30.000	104.10	03/26/20	139.91	35.81 W	0.00	29 of 112 - Sale <sup>25</sup>
	20.000	69.40	03/26/20	87.86	18.46 W	0.00	30 of 112 - Sale <sup>25</sup>
	23.000	79.81	03/26/20	101.06	21.25 W	0.00	31 of 112 - Sale <sup>25</sup>
	27.000	93.69	03/26/20	118.61	24.92 W	0.00	32 of 112 - Sale <sup>25</sup>
	27.000	93.69	03/26/20	133.94	40.25 W	0.00	33 of 112 - Sale <sup>25</sup>
	13.000	45.11	03/26/20	62.92	17.81 W	0.00	34 of 112 - Sale <sup>25</sup>
	37.000	128.38	03/26/20	178.70	50.32 W	0.00	35 of 112 - Sale <sup>25</sup>
	10.000	34.70	03/26/20	51.88	17.18 W	0.00	36 of 112 - Sale <sup>25</sup>
	10.000	34.70	03/26/20	38.50	3.80 W	0.00	37 of 112 - Sale <sup>25</sup>
	50.000	173.49	03/26/20	186.62	13.13 W	0.00	38 of 112 - Sale <sup>25</sup>
	15.000	52.05	04/03/20	53.75	1.70 W	0.00	39 of 112 - Sale <sup>25</sup>
	5.000	17.35	04/03/20	18.77	1.42 W	0.00	40 of 112 - Sale <sup>25</sup>
	1.000	3.47	04/03/20	3.72	0.25 W	0.00	41 of 112 - Sale <sup>25</sup>
	25.000	86.74	04/03/20	89.25	2.51 W	0.00	42 of 112 - Sale <sup>25</sup>
	9.000	31.86	04/03/20	33.51	1.65 W	0.00	43 of 112 - Sale <sup>25</sup>
	3.000	10.62	04/03/20	12.64	2.02 W	0.00	44 of 112 - Sale <sup>25</sup>
	5.000	17.70	04/03/20	17.76	0.06 W	0.00	45 of 112 - Sale <sup>25</sup>
	13.000	46.02	04/03/20	46.45	0.43 W	0.00	46 of 112 - Sale <sup>25</sup>
	3.000	10.62	04/03/20	14.24	3.62 W	0.00	47 of 112 - Sale <sup>25</sup>
	7.000	24.78	04/03/20	33.23	8.45 W	0.00	48 of 112 - Sale <sup>25</sup>
	3.000	10.62	04/03/20	13.53	2.91 W	0.00	49 of 112 - Sale <sup>25</sup>
	7.000	24.78	04/03/20	31.58	6.80 W	0.00	50 of 112 - Sale <sup>25</sup>
	5.000	17.70	04/03/20	20.63	2.93 W	0.00	51 of 112 - Sale <sup>25</sup>
	27.000	95.57	04/03/20	114.32	18.75 W	0.00	52 of 112 - Sale <sup>25</sup>
	15.000	53.10	04/03/20	61.36	8.26 W	0.00	53 of 112 - Sale <sup>25</sup>
	1.000	3.54	04/03/20	4.22	0.68 W	0.00	54 of 112 - Sale <sup>25</sup>
	9.000	31.86	04/03/20	37.93	6.07 W	0.00	55 of 112 - Sale <sup>25</sup>
	30.000	106.19	04/03/20	115.27	9.08 W	0.00	56 of 112 - Sale <sup>25</sup>
	40.000	141.59	04/03/20	152.14	10.55 W	0.00	57 of 112 - Sale <sup>25</sup>
	3.000	10.62	04/03/20	13.12	2.50 W	0.00	58 of 112 - Sale <sup>25</sup>
	20.000	70.79	04/03/20	90.17	19.38 W	0.00	59 of 112 - Sale <sup>25</sup>
	25.000	88.49	04/03/20	110.34	21.85 W	0.00	60 of 112 - Sale <sup>25</sup>
	5.000	17.70	04/03/20	20.76	3.06 W	0.00	61 of 112 - Sale <sup>25</sup>
	5.000	17.70	04/03/20	21.35	3.65 W	0.00	62 of 112 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNAVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	10.000	35.40	04/03/20	44.61	9.21 W	0.00	63 of 112 - Sale <sup>25</sup>
	15.000	53.09	04/03/20	61.65	8.56 W	0.00	64 of 112 - Sale <sup>25</sup>
	2.000	7.08	04/03/20	7.94	0.86 W	0.00	65 of 112 - Sale <sup>25</sup>
	13.000	46.01	04/03/20	51.62	5.61 W	0.00	66 of 112 - Sale <sup>25</sup>
	5.000	17.70	04/03/20	18.85	1.15 W	0.00	67 of 112 - Sale <sup>25</sup>
	10.000	35.40	04/06/20	38.21	2.81 W	0.00	68 of 112 - Sale <sup>25</sup>
	3.000	10.62	04/06/20	11.17	0.55 W	0.00	69 of 112 - Sale <sup>25</sup>
	15.000	53.10	04/06/20	64.75	11.65 W	0.00	70 of 112 - Sale <sup>25</sup>
	17.000	60.18	04/06/20	73.64	13.46 W	0.00	71 of 112 - Sale <sup>25</sup>
	55.000	194.69	04/06/20	238.03	43.34 W	0.00	72 of 112 - Sale <sup>25</sup>
	3.000	10.62	04/06/20	13.00	2.38 W	0.00	73 of 112 - Sale <sup>25</sup>
	17.000	60.18	04/06/20	75.04	14.86 W	0.00	74 of 112 - Sale <sup>25</sup>
	30.000	106.20	04/06/20	132.41	26.21 W	0.00	75 of 112 - Sale <sup>25</sup>
	3.000	10.62	04/07/20	13.24	2.62 W	0.00	76 of 112 - Sale <sup>25</sup>
	10.000	35.40	04/07/20	44.01	8.61 W	0.00	77 of 112 - Sale <sup>25</sup>
	20.000	70.79	04/07/20	82.86	12.07 W	0.00	78 of 112 - Sale <sup>25</sup>
	17.000	60.18	04/07/20	70.45	10.27 W	0.00	79 of 112 - Sale <sup>25</sup>
	6.000	21.36	04/07/20	24.86	3.50 W	0.00	80 of 112 - Sale <sup>25</sup>
	27.000	96.12	04/07/20	111.86	15.74 W	0.00	81 of 112 - Sale <sup>25</sup>
	27.000	96.11	04/07/20	127.19	31.08 W	0.00	82 of 112 - Sale <sup>25</sup>
	13.000	46.28	04/07/20	59.67	13.39 W	0.00	83 of 112 - Sale <sup>25</sup>
	27.000	96.11	04/07/20	123.65	27.54 W	0.00	84 of 112 - Sale <sup>25</sup>
	10.000	35.60	04/07/20	43.96	8.36 W	0.00	85 of 112 - Sale <sup>25</sup>
	10.000	35.60	04/07/20	47.54	11.94 W	0.00	86 of 112 - Sale <sup>25</sup>
	3.000	10.68	04/07/20	12.78	2.10 W	0.00	87 of 112 - Sale <sup>25</sup>
	7.000	24.92	04/07/20	29.84	4.92 W	0.00	88 of 112 - Sale <sup>25</sup>
	3.000	10.68	04/07/20	12.07	1.39 W	0.00	89 of 112 - Sale <sup>25</sup>
	7.000	24.92	04/07/20	28.19	3.27 W	0.00	90 of 112 - Sale <sup>25</sup>
	3.000	10.68	04/07/20	11.19	0.51 W	0.00	91 of 112 - Sale <sup>25</sup>
	24.000	85.45	04/07/20	89.99	4.54 W	0.00	92 of 112 - Sale <sup>25</sup>
	20.000	72.01	04/07/20	80.12	8.11 W	0.00	93 of 112 - Sale <sup>25</sup>
	25.000	90.01	04/07/20	97.77	7.76 W	0.00	94 of 112 - Sale <sup>25</sup>
	5.000	18.00	04/07/20	18.24	0.24 W	0.00	95 of 112 - Sale <sup>25</sup>
	5.000	18.00	04/07/20	18.84	0.84 W	0.00	96 of 112 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DYNAVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	10.000	36.01	04/07/20	39.58	3.57 W	0.00	97 of 112 - Sale <sup>25</sup>
	15.000	54.01	04/07/20	54.11	0.10 W	0.00	98 of 112 - Sale <sup>25</sup>
	3.000	10.80	04/07/20	11.19	0.39 W	0.00	99 of 112 - Sale <sup>25</sup>
	5.000	18.00	04/07/20	18.11	0.11 W	0.00	100 of 112 - Sale <sup>25</sup>
	1.000	3.60	04/07/20	3.72	0.12 W	0.00	101 of 112 - Sale <sup>25</sup>
	9.000	32.40	04/07/20	33.40	1.00 W	0.00	102 of 112 - Sale <sup>25</sup>
	3.000	10.80	04/07/20	11.61	0.81 W	0.00	103 of 112 - Sale <sup>25</sup>
	11.000	40.83	04/07/20	41.47	0.64 W	0.00	104 of 112 - Sale <sup>25</sup>
	15.000	55.67	04/07/20	56.33	0.66 W	0.00	105 of 112 - Sale <sup>25</sup>
	55.000	204.14	04/07/20	207.15	3.01 W	0.00	106 of 112 - Sale <sup>25</sup>
	3.000	11.14	04/07/20	11.23	0.09 W	0.00	107 of 112 - Sale <sup>25</sup>
	6.000	22.27	04/07/20	22.44	0.17 W	0.00	108 of 112 - Sale <sup>25</sup>
	17.000	63.10	04/07/20	64.99	1.89 W	0.00	109 of 112 - Sale <sup>25</sup>
	30.000	111.35	04/07/20	114.67	3.32 W	0.00	110 of 112 - Sale <sup>25</sup>
	3.000	11.13	04/07/20	11.47	0.34 W	0.00	111 of 112 - Sale <sup>25</sup>
	10.000	37.12	04/07/20	38.09	0.97 W	0.00	112 of 112 - Sale <sup>25</sup>
04/20/20	1,594.000	5,629.19	Various	6,747.21	1,118.02 W	0.00	Total of 112 transactions
	53 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	3.000	11.01	04/07/20	10.89	...	0.12	1 of 53 - Sale <sup>25</sup>
	7.000	25.69	04/07/20	25.42	...	0.27	2 of 53 - Sale <sup>25</sup>
	1.000	3.67	04/07/20	3.12	...	0.55	3 of 53 - Sale <sup>25</sup>
	6.000	22.02	04/07/20	21.31	...	0.71	4 of 53 - Sale <sup>25</sup>
	6.000	22.02	04/07/20	21.19	...	0.83	5 of 53 - Sale <sup>25</sup>
	3.000	11.01	04/07/20	10.17	...	0.84	6 of 53 - Sale <sup>25</sup>
	3.000	11.01	04/07/20	9.29	...	1.72	7 of 53 - Sale <sup>25</sup>
	7.000	25.69	04/07/20	23.77	...	1.92	8 of 53 - Sale <sup>25</sup>
	27.000	99.09	04/07/20	95.35	...	3.74	9 of 53 - Sale <sup>25</sup>
	1.000	3.67	04/09/20	3.05	...	0.62	10 of 53 - Sale <sup>25</sup>
	3.000	11.02	04/09/20	9.60	...	1.42	11 of 53 - Sale <sup>25</sup>
	3.000	11.02	04/09/20	9.18	...	1.84	12 of 53 - Sale <sup>25</sup>
	5.000	18.36	04/09/20	15.49	...	2.87	13 of 53 - Sale <sup>25</sup>
	5.000	18.36	04/09/20	14.89	...	3.47	14 of 53 - Sale <sup>25</sup>
	5.000	18.36	04/09/20	14.76	...	3.60	15 of 53 - Sale <sup>25</sup>
	10.000	36.73	04/09/20	32.87	...	3.86	16 of 53 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DYNAVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	9.000	33.05	04/09/20	27.37	...	5.68	17 of 53 - Sale <sup>25</sup>
	20.000	73.45	04/09/20	66.71	...	6.74	18 of 53 - Sale <sup>25</sup>
	11.000	40.40	04/09/20	32.83	...	7.57	19 of 53 - Sale <sup>25</sup>
	15.000	55.09	04/09/20	44.60	...	10.49	20 of 53 - Sale <sup>25</sup>
	25.000	91.81	04/09/20	81.01	...	10.80	21 of 53 - Sale <sup>25</sup>
	15.000	55.09	04/09/20	44.05	...	11.04	22 of 53 - Sale <sup>25</sup>
	23.000	84.47	04/09/20	71.74	...	12.73	23 of 53 - Sale <sup>25</sup>
	39.000	143.23	04/20/20	142.33	...	0.90	24 of 53 - Sale <sup>25</sup>
	100.000	367.25	04/21/20	347.99	...	19.26	25 of 53 - Sale <sup>25</sup>
	100.000	367.25	04/21/20	345.85	...	21.40	26 of 53 - Sale <sup>25</sup>
	100.000	367.25	04/21/20	343.86	...	23.39	27 of 53 - Sale <sup>25</sup>
	100.000	367.25	04/21/20	342.86	...	24.39	28 of 53 - Sale <sup>25</sup>
	100.000	367.25	04/22/20	329.00	...	38.25	29 of 53 - Sale <sup>25</sup>
	100.000	367.25	04/22/20	329.00	...	38.25	30 of 53 - Sale <sup>25</sup>
	100.000	367.25	04/22/20	321.99	...	45.26	31 of 53 - Sale <sup>25</sup>
	100.000	367.25	04/22/20	319.00	...	48.25	32 of 53 - Sale <sup>25</sup>
	150.000	550.87	04/22/20	477.00	...	73.87	33 of 53 - Sale <sup>25</sup>
	300.000	1,101.75	04/22/20	987.00	...	114.75	34 of 53 - Sale <sup>25</sup>
	50.000	183.63	04/23/20	169.81	...	13.82	35 of 53 - Sale <sup>25</sup>
	1.000	3.94	04/24/20	3.42	...	0.52	36 of 53 - Sale <sup>25</sup>
	3.000	11.82	04/24/20	10.85	...	0.97	37 of 53 - Sale <sup>25</sup>
	2.000	7.88	04/24/20	6.84	...	1.04	38 of 53 - Sale <sup>25</sup>
	10.000	39.40	04/24/20	37.81	...	1.59	39 of 53 - Sale <sup>25</sup>
	3.000	11.82	04/24/20	10.01	...	1.81	40 of 53 - Sale <sup>25</sup>
	11.000	43.34	04/24/20	41.11	...	2.23	41 of 53 - Sale <sup>25</sup>
	10.000	39.40	04/24/20	35.96	...	3.44	42 of 53 - Sale <sup>25</sup>
	16.000	63.04	04/24/20	59.49	...	3.55	43 of 53 - Sale <sup>25</sup>
	6.000	23.64	04/24/20	20.02	...	3.62	44 of 53 - Sale <sup>25</sup>
	10.000	39.40	04/24/20	34.23	...	5.17	45 of 53 - Sale <sup>25</sup>
	10.000	39.40	04/24/20	34.05	...	5.35	46 of 53 - Sale <sup>25</sup>
	11.000	43.34	04/24/20	37.02	...	6.32	47 of 53 - Sale <sup>25</sup>
	17.000	66.98	04/24/20	58.12	...	8.86	48 of 53 - Sale <sup>25</sup>
	27.000	106.38	04/24/20	97.36	...	9.02	49 of 53 - Sale <sup>25</sup>
	30.000	118.20	04/24/20	102.55	...	15.65	50 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	44.000	173.36	04/24/20	147.94	...	25.42	51 of 53 - Sale <sup>25</sup>
	200.000	734.50	04/24/20	663.98	...	70.52	52 of 53 - Sale <sup>25</sup>
	289.000	1,138.65	04/24/20	962.34	...	176.31	53 of 53 - Sale <sup>25</sup>
04/27/20	2,252.000	8,404.06	Various	7,507.45	...	896.61	Total of 53 transactions
	18 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	11.000	40.37	04/07/20	45.10	4.73 W	0.00	1 of 18 - Sale <sup>25</sup>
	16.000	58.71	04/07/20	65.28	6.57 W	0.00	2 of 18 - Sale <sup>25</sup>
	10.000	36.70	04/07/20	39.58	2.88 W	0.00	3 of 18 - Sale <sup>25</sup>
	10.000	36.72	04/07/20	37.65	0.93 W	0.00	4 of 18 - Sale <sup>25</sup>
	3.000	11.02	04/07/20	11.88	0.86 W	0.00	5 of 18 - Sale <sup>25</sup>
	27.000	99.16	04/07/20	106.61	7.45 W	0.00	6 of 18 - Sale <sup>25</sup>
	10.000	36.72	04/07/20	41.23	4.51 W	0.00	7 of 18 - Sale <sup>25</sup>
	11.000	40.40	04/20/20	44.88	4.48 W	0.00	8 of 18 - Sale <sup>25</sup>
	16.000	58.76	04/20/20	64.97	6.21 W	0.00	9 of 18 - Sale <sup>25</sup>
	3.000	11.02	04/20/20	11.04	0.02 W	0.00	10 of 18 - Sale <sup>25</sup>
	6.000	22.03	04/20/20	22.07	0.04 W	0.00	11 of 18 - Sale <sup>25</sup>
	11.000	40.40	04/20/20	40.79	0.39 W	0.00	12 of 18 - Sale <sup>25</sup>
	44.000	161.59	04/20/20	163.01	1.42 W	0.00	13 of 18 - Sale <sup>25</sup>
	10.000	36.72	04/20/20	39.38	2.66 W	0.00	14 of 18 - Sale <sup>25</sup>
	17.000	62.43	04/20/20	63.94	1.51 W	0.00	15 of 18 - Sale <sup>25</sup>
	30.000	110.17	04/20/20	112.82	2.65 W	0.00	16 of 18 - Sale <sup>25</sup>
	3.000	11.02	04/20/20	11.29	0.27 W	0.00	17 of 18 - Sale <sup>25</sup>
	10.000	36.72	04/20/20	37.47	0.75 W	0.00	18 of 18 - Sale <sup>25</sup>
04/27/20	248.000	910.66	Various	958.99	48.33 W	0.00	Total of 18 transactions
04/29/20	50.000	191.59	04/28/20	190.86	...	0.73	Sale <sup>25</sup>
	8 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	1.000	3.83	04/27/20	3.95	0.12 W	0.00	1 of 8 - Sale <sup>25</sup>
	100.000	383.18	04/27/20	401.99	18.81 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	191.59	04/27/20	203.43	11.84 W	0.00	3 of 8 - Sale <sup>25</sup>
	50.000	191.59	04/27/20	196.37	4.78 W	0.00	4 of 8 - Sale <sup>25</sup>
	100.000	383.18	04/27/20	388.99	5.81 W	0.00	5 of 8 - Sale <sup>25</sup>
	50.000	191.59	04/28/20	196.42	4.83 W	0.00	6 of 8 - Sale <sup>25</sup>
	49.000	187.75	04/28/20	191.05	3.30 W	0.00	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	50.000	191.59	04/28/20	196.00	4.41 W	0.00	8 of 8 - Sale <sup>25</sup>
04/29/20	450.000	1,724.30	Various	1,778.20	53.90 W	0.00	Total of 8 transactions
	4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	3.90	04/29/20	3.85	...	0.05	1 of 4 - Sale <sup>25</sup>
	9.000	35.10	04/29/20	34.98	...	0.12	2 of 4 - Sale <sup>25</sup>
	50.000	194.99	04/29/20	194.21	...	0.78	3 of 4 - Sale <sup>25</sup>
	49.000	191.10	04/29/20	190.03	...	1.07	4 of 4 - Sale <sup>25</sup>
05/01/20	109.000	425.09	Various	423.07	...	2.02	Total of 4 transactions
	5 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	3.90	04/29/20	3.95	0.05 W	0.00	1 of 5 - Sale <sup>25</sup>
	49.000	191.09	04/29/20	192.35	1.26 W	0.00	2 of 5 - Sale <sup>25</sup>
	50.000	194.99	04/29/20	203.33	8.34 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	389.99	04/29/20	401.80	11.81 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	3.90	04/29/20	3.92	0.02 W	0.00	5 of 5 - Sale <sup>25</sup>
05/01/20	201.000	783.87	Various	805.35	21.48 W	0.00	Total of 5 transactions
	27 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	10.000	38.10	05/11/20	42.78	4.68 W	0.00	1 of 27 - Sale <sup>25</sup>
	41.000	156.20	05/11/20	175.75	19.55 W	0.00	2 of 27 - Sale <sup>25</sup>
	49.000	186.68	05/11/20	208.61	21.93 W	0.00	3 of 27 - Sale <sup>25</sup>
	15.000	57.14	05/12/20	60.96	3.82 W	0.00	4 of 27 - Sale <sup>25</sup>
	1.000	3.81	05/12/20	4.03	0.22 W	0.00	5 of 27 - Sale <sup>25</sup>
	24.000	91.43	05/12/20	98.28	6.85 W	0.00	6 of 27 - Sale <sup>25</sup>
	10.000	38.10	05/12/20	40.66	2.56 W	0.00	7 of 27 - Sale <sup>25</sup>
	15.000	54.75	05/12/20	60.99	6.24 W	0.00	8 of 27 - Sale <sup>25</sup>
	35.000	127.75	05/12/20	143.79	16.04 W	0.00	9 of 27 - Sale <sup>25</sup>
	9.000	32.85	05/12/20	36.68	3.83 W	0.00	10 of 27 - Sale <sup>25</sup>
	41.000	149.65	05/12/20	165.10	15.45 W	0.00	11 of 27 - Sale <sup>25</sup>
	9.000	32.85	05/12/20	35.13	2.28 W	0.00	12 of 27 - Sale <sup>25</sup>
	41.000	149.64	05/12/20	165.81	16.17 W	0.00	13 of 27 - Sale <sup>25</sup>
	1.000	3.65	05/12/20	3.90	0.25 W	0.00	14 of 27 - Sale <sup>25</sup>
	40.000	146.00	05/12/20	156.23	10.23 W	0.00	15 of 27 - Sale <sup>25</sup>
	9.000	32.85	05/12/20	39.21	6.36 W	0.00	16 of 27 - Sale <sup>25</sup>
	10.000	36.50	05/12/20	43.66	7.16 W	0.00	17 of 27 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	32.000	116.80	05/12/20	140.02	23.22 W	0.00	18 of 27 - Sale <sup>25</sup>
	49.000	178.84	05/12/20	212.97	34.13 W	0.00	19 of 27 - Sale <sup>25</sup>
	9.000	32.85	05/12/20	37.38	4.53 W	0.00	20 of 27 - Sale <sup>25</sup>
	1.000	3.65	05/12/20	4.05	0.40 W	0.00	21 of 27 - Sale <sup>25</sup>
	24.000	87.60	05/12/20	98.73	11.13 W	0.00	22 of 27 - Sale <sup>25</sup>
	6.000	21.90	05/12/20	24.50	2.60 W	0.00	23 of 27 - Sale <sup>25</sup>
	10.000	36.50	05/12/20	40.84	4.34 W	0.00	24 of 27 - Sale <sup>25</sup>
	9.000	32.85	05/12/20	34.45	1.60 W	0.00	25 of 27 - Sale <sup>25</sup>
	50.000	182.49	05/12/20	189.94	7.45 W	0.00	26 of 27 - Sale <sup>25</sup>
	90.000	328.48	05/13/20	342.90	14.42 W	0.00	27 of 27 - Sale <sup>25</sup>
05/13/20	640.000	2,359.91	Various	2,607.35	247.44 W	0.00	Total of 27 transactions
12 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	5.000	33.50	05/13/20	20.33	...	13.17	1 of 12 - Sale <sup>25</sup>
	5.000	33.50	05/13/20	20.22	...	13.28	2 of 12 - Sale <sup>25</sup>
	5.000	34.00	05/13/20	20.22	...	13.78	3 of 12 - Sale <sup>25</sup>
	5.000	35.00	05/13/20	20.13	...	14.87	4 of 12 - Sale <sup>25</sup>
	9.000	61.20	05/13/20	36.68	...	24.52	5 of 12 - Sale <sup>25</sup>
	9.000	60.29	05/13/20	35.04	...	25.25	6 of 12 - Sale <sup>25</sup>
	10.000	70.00	05/13/20	42.26	...	27.74	7 of 12 - Sale <sup>25</sup>
	10.000	67.00	05/13/20	38.96	...	28.04	8 of 12 - Sale <sup>25</sup>
	15.000	100.49	05/13/20	58.29	...	42.20	9 of 12 - Sale <sup>25</sup>
	31.000	207.69	05/13/20	125.07	...	82.62	10 of 12 - Sale <sup>25</sup>
	36.000	244.80	05/13/20	144.96	...	99.84	11 of 12 - Sale <sup>25</sup>
	35.000	245.00	05/13/20	143.79	...	101.21	12 of 12 - Sale <sup>25</sup>
05/18/20	175.000	1,192.47	Various	705.95	...	486.52	Total of 12 transactions
15 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	5.09	05/13/20	4.05	...	1.04	1 of 15 - Sale <sup>25</sup>
	1.000	5.09	05/13/20	3.88	...	1.21	2 of 15 - Sale <sup>25</sup>
	6.000	30.54	05/13/20	24.50	...	6.04	3 of 15 - Sale <sup>25</sup>
	9.000	45.81	05/13/20	39.03	...	6.78	4 of 15 - Sale <sup>25</sup>
	10.000	50.90	05/13/20	43.66	...	7.24	5 of 15 - Sale <sup>25</sup>
	10.000	50.90	05/13/20	43.56	...	7.34	6 of 15 - Sale <sup>25</sup>
	9.000	45.81	05/13/20	37.38	...	8.43	7 of 15 - Sale <sup>25</sup>
	10.000	50.90	05/13/20	40.84	...	10.06	8 of 15 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DYNAVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	9.000	45.81	05/13/20	34.45	...	11.36	9 of 15 - Sale <sup>25</sup>
	18.000	91.62	05/13/20	77.99	...	13.63	10 of 15 - Sale <sup>25</sup>
	22.000	111.98	05/13/20	95.96	...	16.02	11 of 15 - Sale <sup>25</sup>
	15.000	76.35	05/13/20	58.28	...	18.07	12 of 15 - Sale <sup>25</sup>
	31.000	157.78	05/13/20	134.74	...	23.04	13 of 15 - Sale <sup>25</sup>
	24.000	122.15	05/13/20	98.73	...	23.42	14 of 15 - Sale <sup>25</sup>
	50.000	254.48	05/13/20	189.93	...	64.55	15 of 15 - Sale <sup>25</sup>
05/22/20	225.000	1,145.21	Various	926.98	...	218.23	Total of 15 transactions
06/01/20	30.000	174.00	05/29/20	176.37	2.37 W	0.00	Sale <sup>25</sup>
06/02/20	10.000	73.11	06/01/20	73.00	...	0.11	Sale <sup>25</sup>
	10 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	30.000	219.33	06/01/20	221.37	2.04 W	0.00	1 of 10 - Sale <sup>25</sup>
	60.000	438.66	06/01/20	447.60	8.94 W	0.00	2 of 10 - Sale <sup>25</sup>
	30.000	205.19	06/01/20	220.44	15.25 W	0.00	3 of 10 - Sale <sup>25</sup>
	60.000	410.39	06/01/20	445.73	35.34 W	0.00	4 of 10 - Sale <sup>25</sup>
	10.000	68.40	06/01/20	72.80	4.40 W	0.00	5 of 10 - Sale <sup>25</sup>
	50.000	341.99	06/01/20	367.00	25.01 W	0.00	6 of 10 - Sale <sup>25</sup>
	30.000	205.20	06/01/20	221.10	15.90 W	0.00	7 of 10 - Sale <sup>25</sup>
	10.000	68.40	06/02/20	72.80	4.40 W	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	68.40	06/02/20	73.10	4.70 W	0.00	9 of 10 - Sale <sup>25</sup>
	50.000	341.98	06/02/20	360.94	18.96 W	0.00	10 of 10 - Sale <sup>25</sup>
06/02/20	340.000	2,367.94	Various	2,502.88	134.94 W	0.00	Total of 10 transactions
	6 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	50.000	363.05	06/02/20	392.95	...	-29.90	1 of 6 - Sale <sup>25</sup>
	30.000	217.83	06/02/20	236.66	...	-18.83	2 of 6 - Sale <sup>25</sup>
	10.000	72.61	06/02/20	78.28	...	-5.67	3 of 6 - Sale <sup>25</sup>
	40.000	290.44	06/02/20	295.91	...	-5.47	4 of 6 - Sale <sup>25</sup>
	10.000	72.61	06/02/20	77.99	...	-5.38	5 of 6 - Sale <sup>25</sup>
	10.000	72.61	06/02/20	72.49	...	0.12	6 of 6 - Sale <sup>25</sup>
06/03/20	150.000	1,089.15	Various	1,154.28	...	-65.13	Total of 6 transactions
	5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	10.000	72.61	06/02/20	76.38	3.77 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	72.61	06/02/20	76.48	3.87 W	0.00	2 of 5 - Sale <sup>25</sup>

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(continued)

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	10.000	72.61	06/02/20	74.18	1.57 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	72.61	06/02/20	74.99	2.38 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	72.61	06/02/20	74.85	2.24 W	0.00	5 of 5 - Sale <sup>25</sup>
06/03/20	50.000	363.05	Various	376.88	13.83 W	0.00	Total of 5 transactions
	7 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	50.000	303.23	06/05/20	328.46	...	-25.23	1 of 7 - Sale <sup>25</sup>
	10.000	60.65	06/05/20	63.57	...	-2.92	2 of 7 - Sale <sup>25</sup>
	10.000	60.65	06/05/20	63.47	...	-2.82	3 of 7 - Sale <sup>25</sup>
	10.000	60.64	06/05/20	62.08	...	-1.44	4 of 7 - Sale <sup>25</sup>
	8.000	48.52	06/05/20	49.55	...	-1.03	5 of 7 - Sale <sup>25</sup>
	10.000	60.65	06/05/20	61.27	...	-0.62	6 of 7 - Sale <sup>25</sup>
	2.000	12.38	06/05/20	12.39	...	-0.01	7 of 7 - Sale <sup>25</sup>
06/08/20	100.000	606.72	Various	640.79	...	-34.07	Total of 7 transactions
07/23/20	1.000	10.38	07/22/20	9.88	...	0.50	Sale <sup>25</sup>
08/06/20	500.000	4,389.84	08/06/20	4,500.00	110.16 W	0.00	Sale <sup>25</sup>
	5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	50.000	334.16	08/06/20	451.02	116.86 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	167.08	08/06/20	222.01	54.93 W	0.00	2 of 5 - Sale <sup>25</sup>
	25.000	167.08	08/06/20	223.51	56.43 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	334.17	08/06/20	418.52	84.35 W	0.00	4 of 5 - Sale <sup>25</sup>
	50.000	334.16	08/06/20	411.01	76.85 W	0.00	5 of 5 - Sale <sup>25</sup>
08/13/20	200.000	1,336.65	Various	1,726.07	389.42 W	0.00	Total of 5 transactions
	4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	50.000	338.55	08/07/20	375.27	36.72 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	338.55	08/07/20	393.04	54.49 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	338.56	08/07/20	394.77	56.21 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	338.55	08/07/20	394.51	55.96 W	0.00	4 of 4 - Sale <sup>25</sup>
08/17/20	200.000	1,354.21	Various	1,557.59	203.38 W	0.00	Total of 4 transactions
	7 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	10.000	65.41	08/07/20	76.30	10.89 W	0.00	1 of 7 - Sale <sup>25</sup>
	40.000	261.65	08/07/20	304.61	42.96 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	327.06	08/11/20	368.02	40.96 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	327.06	08/12/20	449.36	122.30 W	0.00	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	10.000	65.41	08/12/20	86.34	20.93 W	0.00	5 of 7 - Sale <sup>25</sup>
	15.000	98.12	08/12/20	130.01	31.89 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	163.54	08/12/20	218.18	54.64 W	0.00	7 of 7 - Sale <sup>25</sup>
08/18/20	200.000	1,308.25	Various	1,632.82	324.57 W	0.00	Total of 7 transactions
	7 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	40.000	198.01	08/12/20	335.08	137.07 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	49.50	08/12/20	85.47	35.97 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	247.51	08/12/20	419.85	172.34 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	247.51	08/13/20	371.16	123.65 W	0.00	4 of 7 - Sale <sup>25</sup>
	50.000	247.51	08/13/20	390.74	143.23 W	0.00	5 of 7 - Sale <sup>25</sup>
	50.000	247.52	08/13/20	392.65	145.13 W	0.00	6 of 7 - Sale <sup>25</sup>
	50.000	247.51	08/13/20	391.90	144.39 W	0.00	7 of 7 - Sale <sup>25</sup>
09/10/20	300.000	1,485.07	Various	2,386.85	901.78 W	0.00	Total of 7 transactions
	16 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	10.000	58.51	08/14/20	77.59	19.08 W	0.00	1 of 16 - Sale <sup>25</sup>
	40.000	234.04	08/14/20	309.76	75.72 W	0.00	2 of 16 - Sale <sup>25</sup>
	50.000	292.55	08/14/20	375.96	83.41 W	0.00	3 of 16 - Sale <sup>25</sup>
	10.000	58.51	08/17/20	103.86	45.35 W	0.00	4 of 16 - Sale <sup>25</sup>
	40.000	234.04	08/17/20	408.61	174.57 W	0.00	5 of 16 - Sale <sup>25</sup>
	50.000	292.55	08/17/20	511.77	219.22 W	0.00	6 of 16 - Sale <sup>25</sup>
	10.000	58.51	08/17/20	88.82	30.31 W	0.00	7 of 16 - Sale <sup>25</sup>
	15.000	87.77	08/17/20	133.72	45.95 W	0.00	8 of 16 - Sale <sup>25</sup>
	25.000	146.28	08/17/20	224.36	78.08 W	0.00	9 of 16 - Sale <sup>25</sup>
	50.000	292.55	08/17/20	461.74	169.19 W	0.00	10 of 16 - Sale <sup>25</sup>
	25.000	146.28	08/18/20	234.86	88.58 W	0.00	11 of 16 - Sale <sup>25</sup>
	50.000	292.55	08/18/20	450.14	157.59 W	0.00	12 of 16 - Sale <sup>25</sup>
	25.000	146.28	08/18/20	235.12	88.84 W	0.00	13 of 16 - Sale <sup>25</sup>
	25.000	146.27	08/18/20	236.07	89.80 W	0.00	14 of 16 - Sale <sup>25</sup>
	25.000	146.28	08/18/20	236.81	90.53 W	0.00	15 of 16 - Sale <sup>25</sup>
	50.000	292.55	08/18/20	472.88	180.33 W	0.00	16 of 16 - Sale <sup>25</sup>
09/14/20	500.000	2,925.52	Various	4,562.07	1,636.55 W	0.00	Total of 16 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
32 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	10.000	57.41	08/18/20	111.65	54.24 W	0.00	1 of 32 - Sale <sup>25</sup>
	40.000	229.63	08/18/20	439.77	210.14 W	0.00	2 of 32 - Sale <sup>25</sup>
	50.000	287.04	08/18/20	550.71	263.67 W	0.00	3 of 32 - Sale <sup>25</sup>
	10.000	57.41	08/18/20	85.38	27.97 W	0.00	4 of 32 - Sale <sup>25</sup>
	40.000	229.63	08/18/20	340.92	111.29 W	0.00	5 of 32 - Sale <sup>25</sup>
	50.000	287.04	08/18/20	414.90	127.86 W	0.00	6 of 32 - Sale <sup>25</sup>
	50.000	287.04	08/27/20	468.69	181.65 W	0.00	7 of 32 - Sale <sup>25</sup>
	10.000	57.41	08/28/20	88.91	31.50 W	0.00	8 of 32 - Sale <sup>25</sup>
	15.000	86.11	08/28/20	133.85	47.74 W	0.00	9 of 32 - Sale <sup>25</sup>
	25.000	143.52	08/28/20	224.58	81.06 W	0.00	10 of 32 - Sale <sup>25</sup>
	25.000	143.52	09/01/20	227.53	84.01 W	0.00	11 of 32 - Sale <sup>25</sup>
	25.000	143.52	09/01/20	227.79	84.27 W	0.00	12 of 32 - Sale <sup>25</sup>
	50.000	287.03	09/01/20	435.49	148.46 W	0.00	13 of 32 - Sale <sup>25</sup>
	25.000	143.52	09/02/20	229.75	86.23 W	0.00	14 of 32 - Sale <sup>25</sup>
	25.000	143.51	09/02/20	230.48	86.97 W	0.00	15 of 32 - Sale <sup>25</sup>
	50.000	287.03	09/02/20	460.23	173.20 W	0.00	16 of 32 - Sale <sup>25</sup>
	10.000	58.30	09/02/20	110.22	51.92 W	0.00	17 of 32 - Sale <sup>25</sup>
	40.000	233.19	09/02/20	434.06	200.87 W	0.00	18 of 32 - Sale <sup>25</sup>
	50.000	291.49	09/02/20	543.57	252.08 W	0.00	19 of 32 - Sale <sup>25</sup>
	10.000	58.30	09/04/20	74.64	16.34 W	0.00	20 of 32 - Sale <sup>25</sup>
	40.000	233.19	09/04/20	297.97	64.78 W	0.00	21 of 32 - Sale <sup>25</sup>
	50.000	291.49	09/04/20	361.20	69.71 W	0.00	22 of 32 - Sale <sup>25</sup>
	50.000	291.48	09/04/20	413.09	121.61 W	0.00	23 of 32 - Sale <sup>25</sup>
	10.000	58.30	09/04/20	77.79	19.49 W	0.00	24 of 32 - Sale <sup>25</sup>
	15.000	87.45	09/04/20	117.17	29.72 W	0.00	25 of 32 - Sale <sup>25</sup>
	25.000	145.74	09/04/20	196.77	51.03 W	0.00	26 of 32 - Sale <sup>25</sup>
	25.000	145.74	09/04/20	200.45	54.71 W	0.00	27 of 32 - Sale <sup>25</sup>
	25.000	145.74	09/04/20	200.70	54.96 W	0.00	28 of 32 - Sale <sup>25</sup>
	50.000	291.48	09/04/20	381.33	89.85 W	0.00	29 of 32 - Sale <sup>25</sup>
	25.000	145.74	09/04/20	208.45	62.71 W	0.00	30 of 32 - Sale <sup>25</sup>
	25.000	145.75	09/04/20	209.19	63.44 W	0.00	31 of 32 - Sale <sup>25</sup>
	50.000	291.49	09/04/20	417.63	126.14 W	0.00	32 of 32 - Sale <sup>25</sup>
09/15/20	1,000.000	5,785.24	Various	8,914.86	3,129.62 W	0.00	Total of 32 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	100.000	565.97	09/16/20	540.98	...	24.99	1 of 2 - Sale <sup>25</sup>
	100.000	565.98	09/16/20	535.97	...	30.01	2 of 2 - Sale <sup>25</sup>
09/18/20	200.000	1,131.95	Various	1,076.95	...	55.00	Total of 2 transactions
25 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	10.000	56.60	09/08/20	100.40	43.80 W	0.00	1 of 25 - Sale <sup>25</sup>
	40.000	226.39	09/08/20	394.79	168.40 W	0.00	2 of 25 - Sale <sup>25</sup>
	50.000	282.99	09/08/20	494.49	211.50 W	0.00	3 of 25 - Sale <sup>25</sup>
	10.000	56.60	09/09/20	65.14	8.54 W	0.00	4 of 25 - Sale <sup>25</sup>
	40.000	226.39	09/09/20	259.97	33.58 W	0.00	5 of 25 - Sale <sup>25</sup>
	50.000	282.99	09/09/20	313.70	30.71 W	0.00	6 of 25 - Sale <sup>25</sup>
	25.000	141.49	09/10/20	178.96	37.47 W	0.00	7 of 25 - Sale <sup>25</sup>
	25.000	141.49	09/10/20	179.21	37.72 W	0.00	8 of 25 - Sale <sup>25</sup>
	50.000	282.99	09/10/20	338.34	55.35 W	0.00	9 of 25 - Sale <sup>25</sup>
	25.000	141.49	09/10/20	186.96	45.47 W	0.00	10 of 25 - Sale <sup>25</sup>
	25.000	141.49	09/10/20	187.68	46.19 W	0.00	11 of 25 - Sale <sup>25</sup>
	50.000	282.99	09/10/20	374.63	91.64 W	0.00	12 of 25 - Sale <sup>25</sup>
	50.000	282.99	09/10/20	370.11	87.12 W	0.00	13 of 25 - Sale <sup>25</sup>
	10.000	56.60	09/10/20	69.19	12.59 W	0.00	14 of 25 - Sale <sup>25</sup>
	15.000	84.90	09/10/20	104.27	19.37 W	0.00	15 of 25 - Sale <sup>25</sup>
	25.000	141.49	09/10/20	175.28	33.79 W	0.00	16 of 25 - Sale <sup>25</sup>
	10.000	56.68	09/16/20	97.90	41.22 W	0.00	17 of 25 - Sale <sup>25</sup>
	40.000	226.73	09/16/20	384.79	158.06 W	0.00	18 of 25 - Sale <sup>25</sup>
	50.000	283.42	09/16/20	481.99	198.57 W	0.00	19 of 25 - Sale <sup>25</sup>
	25.000	141.71	09/16/20	172.72	31.01 W	0.00	20 of 25 - Sale <sup>25</sup>
	25.000	141.71	09/16/20	172.96	31.25 W	0.00	21 of 25 - Sale <sup>25</sup>
	50.000	283.42	09/16/20	325.84	42.42 W	0.00	22 of 25 - Sale <sup>25</sup>
	10.000	56.68	09/16/20	62.64	5.96 W	0.00	23 of 25 - Sale <sup>25</sup>
	40.000	226.73	09/16/20	249.97	23.24 W	0.00	24 of 25 - Sale <sup>25</sup>
	50.000	283.42	09/16/20	301.20	17.78 W	0.00	25 of 25 - Sale <sup>25</sup>
09/18/20	800.000	4,530.38	Various	6,043.13	1,512.75 W	0.00	Total of 25 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
12 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	4.22	09/18/20	7.39	3.17 W	0.00	1 of 12 - Sale <sup>25</sup>
	17.000	71.75	09/18/20	123.98	52.23 W	0.00	2 of 12 - Sale <sup>25</sup>
	24.000	101.30	09/18/20	174.69	73.39 W	0.00	3 of 12 - Sale <sup>25</sup>
	25.000	105.52	09/18/20	182.69	77.17 W	0.00	4 of 12 - Sale <sup>25</sup>
	33.000	139.29	09/18/20	241.98	102.69 W	0.00	5 of 12 - Sale <sup>25</sup>
	50.000	211.05	09/21/20	345.62	134.57 W	0.00	6 of 12 - Sale <sup>25</sup>
	10.000	42.21	09/21/20	64.29	22.08 W	0.00	7 of 12 - Sale <sup>25</sup>
	15.000	63.31	09/21/20	96.92	33.61 W	0.00	8 of 12 - Sale <sup>25</sup>
	25.000	105.52	09/21/20	163.04	57.52 W	0.00	9 of 12 - Sale <sup>25</sup>
	10.000	42.21	09/21/20	93.59	51.38 W	0.00	10 of 12 - Sale <sup>25</sup>
	40.000	168.84	09/21/20	367.52	198.68 W	0.00	11 of 12 - Sale <sup>25</sup>
	50.000	211.04	09/21/20	454.06	243.02 W	0.00	12 of 12 - Sale <sup>25</sup>
10/01/20	300.000	1,266.26	Various	2,315.77	1,049.51 W	0.00	Total of 12 transactions
4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	50.000	230.12	09/30/20	213.96	...	16.16	1 of 4 - Sale <sup>25</sup>
	50.000	230.12	10/01/20	218.69	...	11.43	2 of 4 - Sale <sup>25</sup>
	100.000	460.24	10/01/20	422.92	...	37.32	3 of 4 - Sale <sup>25</sup>
	300.000	1,380.71	10/01/20	1,268.31	...	112.40	4 of 4 - Sale <sup>25</sup>
10/07/20	500.000	2,301.19	Various	2,123.88	...	177.31	Total of 4 transactions
19 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	50.000	230.12	09/22/20	295.92	65.80 W	0.00	1 of 19 - Sale <sup>25</sup>
	25.000	115.06	09/22/20	158.37	43.31 W	0.00	2 of 19 - Sale <sup>25</sup>
	25.000	115.06	09/22/20	158.60	43.54 W	0.00	3 of 19 - Sale <sup>25</sup>
	10.000	46.02	09/23/20	52.16	6.14 W	0.00	4 of 19 - Sale <sup>25</sup>
	40.000	184.09	09/23/20	208.04	23.95 W	0.00	5 of 19 - Sale <sup>25</sup>
	25.000	115.06	09/23/20	122.89	7.83 W	0.00	6 of 19 - Sale <sup>25</sup>
	25.000	115.06	09/23/20	123.13	8.07 W	0.00	7 of 19 - Sale <sup>25</sup>
	1.000	4.60	09/24/20	7.70	3.10 W	0.00	8 of 19 - Sale <sup>25</sup>
	24.000	110.46	09/24/20	182.11	71.65 W	0.00	9 of 19 - Sale <sup>25</sup>
	25.000	115.06	09/24/20	190.42	75.36 W	0.00	10 of 19 - Sale <sup>25</sup>
	17.000	78.24	09/24/20	125.81	47.57 W	0.00	11 of 19 - Sale <sup>25</sup>
	33.000	151.88	09/24/20	245.52	93.64 W	0.00	12 of 19 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	50.000	230.12	09/24/20	351.45	121.33 W	0.00	13 of 19 - Sale <sup>25</sup>
	10.000	46.02	09/24/20	65.45	19.43 W	0.00	14 of 19 - Sale <sup>25</sup>
	15.000	69.04	09/24/20	98.67	29.63 W	0.00	15 of 19 - Sale <sup>25</sup>
	25.000	115.06	09/24/20	165.96	50.90 W	0.00	16 of 19 - Sale <sup>25</sup>
	10.000	46.02	09/25/20	95.35	49.33 W	0.00	17 of 19 - Sale <sup>25</sup>
	40.000	184.09	09/25/20	374.54	190.45 W	0.00	18 of 19 - Sale <sup>25</sup>
	50.000	230.12	09/29/20	461.38	231.26 W	0.00	19 of 19 - Sale <sup>25</sup>
10/07/20	500.000	2,301.18	Various	3,483.47	1,182.29 W	0.00	Total of 19 transactions
	17 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	4.91	10/07/20	5.93	1.02 W	0.00	1 of 17 - Sale <sup>25</sup>
	25.000	122.81	10/08/20	161.05	38.24 W	0.00	2 of 17 - Sale <sup>25</sup>
	25.000	122.81	10/08/20	161.29	38.48 W	0.00	3 of 17 - Sale <sup>25</sup>
	49.000	240.72	10/08/20	295.27	54.55 W	0.00	4 of 17 - Sale <sup>25</sup>
	1.000	4.91	10/08/20	7.81	2.90 W	0.00	5 of 17 - Sale <sup>25</sup>
	24.000	117.90	10/08/20	184.67	66.77 W	0.00	6 of 17 - Sale <sup>25</sup>
	25.000	122.81	10/08/20	193.08	70.27 W	0.00	7 of 17 - Sale <sup>25</sup>
	10.000	49.13	10/08/20	53.23	4.10 W	0.00	8 of 17 - Sale <sup>25</sup>
	40.000	196.50	10/08/20	212.31	15.81 W	0.00	9 of 17 - Sale <sup>25</sup>
	25.000	122.81	10/08/20	125.55	2.74 W	0.00	10 of 17 - Sale <sup>25</sup>
	25.000	122.82	10/08/20	125.79	2.97 W	0.00	11 of 17 - Sale <sup>25</sup>
	17.000	83.51	10/12/20	122.69	39.18 W	0.00	12 of 17 - Sale <sup>25</sup>
	33.000	162.12	10/12/20	239.46	77.34 W	0.00	13 of 17 - Sale <sup>25</sup>
	50.000	245.63	10/12/20	342.26	96.63 W	0.00	14 of 17 - Sale <sup>25</sup>
	10.000	49.13	10/12/20	63.50	14.37 W	0.00	15 of 17 - Sale <sup>25</sup>
	15.000	73.69	10/12/20	95.73	22.04 W	0.00	16 of 17 - Sale <sup>25</sup>
	25.000	122.81	10/12/20	161.08	38.27 W	0.00	17 of 17 - Sale <sup>25</sup>
10/13/20	400.000	1,965.02	Various	2,550.70	585.68 W	0.00	Total of 17 transactions
	6 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	100.000	442.34	10/21/20	418.96	...	23.38	1 of 6 - Sale <sup>25</sup>
	50.000	221.17	10/29/20	196.91	...	24.26	2 of 6 - Sale <sup>25</sup>
	50.000	221.17	10/29/20	182.45	...	38.72	3 of 6 - Sale <sup>25</sup>
	100.000	442.33	11/05/20	412.00	...	30.33	4 of 6 - Sale <sup>25</sup>
	100.000	442.34	11/09/20	404.80	...	37.54	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
11/11/20	100.000	442.33	11/09/20	397.95	...	44.38	6 of 6 - Sale <sup>25</sup>
	500.000	2,211.68	Various	2,013.07	...	198.61	Total of 6 transactions
	25 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	10.000	44.23	10/13/20	99.53	55.30 W	0.00	1 of 25 - Sale <sup>25</sup>
	40.000	176.93	10/13/20	391.25	214.32 W	0.00	2 of 25 - Sale <sup>25</sup>
	50.000	221.16	10/13/20	482.26	261.10 W	0.00	3 of 25 - Sale <sup>25</sup>
	1.000	4.42	10/14/20	5.92	1.50 W	0.00	4 of 25 - Sale <sup>25</sup>
	49.000	216.74	10/14/20	294.53	77.79 W	0.00	5 of 25 - Sale <sup>25</sup>
	25.000	110.58	10/14/20	152.68	42.10 W	0.00	6 of 25 - Sale <sup>25</sup>
	25.000	110.58	10/14/20	152.92	42.34 W	0.00	7 of 25 - Sale <sup>25</sup>
	1.000	4.42	10/14/20	7.48	3.06 W	0.00	8 of 25 - Sale <sup>25</sup>
	24.000	106.16	10/14/20	176.63	70.47 W	0.00	9 of 25 - Sale <sup>25</sup>
	25.000	110.58	10/14/20	184.70	74.12 W	0.00	10 of 25 - Sale <sup>25</sup>
	10.000	44.23	10/14/20	49.87	5.64 W	0.00	11 of 25 - Sale <sup>25</sup>
	40.000	176.93	10/14/20	198.91	21.98 W	0.00	12 of 25 - Sale <sup>25</sup>
	17.000	75.20	10/14/20	117.21	42.01 W	0.00	13 of 25 - Sale <sup>25</sup>
	33.000	145.97	10/14/20	228.81	82.84 W	0.00	14 of 25 - Sale <sup>25</sup>
	25.000	110.58	10/14/20	117.49	6.91 W	0.00	15 of 25 - Sale <sup>25</sup>
	25.000	110.58	10/14/20	117.72	7.14 W	0.00	16 of 25 - Sale <sup>25</sup>
	40.000	176.93	10/14/20	260.90	83.97 W	0.00	17 of 25 - Sale <sup>25</sup>
	4.000	17.69	10/14/20	26.09	8.40 W	0.00	18 of 25 - Sale <sup>25</sup>
	6.000	26.54	10/14/20	39.14	12.60 W	0.00	19 of 25 - Sale <sup>25</sup>
	7.000	30.96	10/14/20	42.19	11.23 W	0.00	20 of 25 - Sale <sup>25</sup>
	3.000	13.27	10/14/20	18.08	4.81 W	0.00	21 of 25 - Sale <sup>25</sup>
	4.000	17.69	10/14/20	24.24	6.55 W	0.00	22 of 25 - Sale <sup>25</sup>
	7.000	30.96	10/14/20	42.41	11.45 W	0.00	23 of 25 - Sale <sup>25</sup>
	4.000	17.69	10/14/20	24.24	6.55 W	0.00	24 of 25 - Sale <sup>25</sup>
	25.000	110.58	10/14/20	153.02	42.44 W	0.00	25 of 25 - Sale <sup>25</sup>
11/11/20	500.000	2,211.60	Various	3,408.22	1,196.62 W	0.00	Total of 25 transactions
	24 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	50.000	217.49	11/11/20	482.60	...	-265.11	1 of 24 - Sale <sup>25</sup>
	25.000	108.74	11/11/20	184.87	...	-76.13	2 of 24 - Sale <sup>25</sup>
	24.000	104.39	11/11/20	176.79	...	-72.40	3 of 24 - Sale <sup>25</sup>
	34.000	147.89	11/11/20	204.60	...	-56.71	4 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	25.000	108.74	11/11/20	153.09	...	-44.35	5 of 24 - Sale <sup>25</sup>
	25.000	108.75	11/11/20	152.85	...	-44.10	6 of 24 - Sale <sup>25</sup>
	15.000	65.25	11/11/20	90.26	...	-25.01	7 of 24 - Sale <sup>25</sup>
	1.000	4.35	11/11/20	7.49	...	-3.14	8 of 24 - Sale <sup>25</sup>
	1.000	4.35	11/11/20	5.93	...	-1.58	9 of 24 - Sale <sup>25</sup>
	33.000	143.54	11/12/20	216.71	...	-73.17	10 of 24 - Sale <sup>25</sup>
	40.000	173.99	11/12/20	246.25	...	-72.26	11 of 24 - Sale <sup>25</sup>
	17.000	73.95	11/12/20	110.98	...	-37.03	12 of 24 - Sale <sup>25</sup>
	25.000	108.74	11/12/20	143.86	...	-35.12	13 of 24 - Sale <sup>25</sup>
	6.000	26.10	11/12/20	36.94	...	-10.84	14 of 24 - Sale <sup>25</sup>
	40.000	173.99	11/12/20	184.26	...	-10.27	15 of 24 - Sale <sup>25</sup>
	7.000	30.45	11/12/20	39.85	...	-9.40	16 of 24 - Sale <sup>25</sup>
	7.000	30.45	11/12/20	39.63	...	-9.18	17 of 24 - Sale <sup>25</sup>
	4.000	17.40	11/12/20	24.63	...	-7.23	18 of 24 - Sale <sup>25</sup>
	4.000	17.40	11/12/20	22.78	...	-5.38	19 of 24 - Sale <sup>25</sup>
	4.000	17.40	11/12/20	22.78	...	-5.38	20 of 24 - Sale <sup>25</sup>
	3.000	13.05	11/12/20	16.98	...	-3.93	21 of 24 - Sale <sup>25</sup>
	10.000	43.50	11/12/20	46.21	...	-2.71	22 of 24 - Sale <sup>25</sup>
	25.000	108.74	11/12/20	108.56	...	0.18	23 of 24 - Sale <sup>25</sup>
	25.000	108.75	11/12/20	108.33	...	0.42	24 of 24 - Sale <sup>25</sup>
11/16/20	450.000	1,957.40	Various	2,827.23	...	-869.83	Total of 24 transactions
	2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	10.000	43.50	11/11/20	99.60	56.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	40.000	173.99	11/11/20	391.52	217.53 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	50.000	217.49	Various	491.12	273.63 W	0.00	Total of 2 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	40.000	181.27	11/17/20	394.66	...	-213.39	1 of 2 - Sale <sup>25</sup>
	10.000	45.32	11/17/20	100.38	...	-55.06	2 of 2 - Sale <sup>25</sup>
11/18/20	50.000	226.59	Various	495.04	...	-268.45	Total of 2 transactions
	<b>Security total:</b>	<b>79,386.30</b>		<b>95,996.81</b>	<b>17,683.42 W</b>	<b>1,072.91</b>	

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	141.000	5,745.60	07/30/20	5,806.38	...	-60.78	1 of 2 - Sale <sup>25</sup>
	59.000	2,404.18	07/30/20	2,429.62	...	-25.44	2 of 2 - Sale <sup>25</sup>
07/30/20	200.000	8,149.78	Various	8,236.00	...	-86.22	Total of 2 transactions
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	100.000	986.97	09/16/20	928.00	...	58.97	1 of 2 - Sale <sup>25</sup>
	400.000	3,947.86	09/16/20	3,744.00	...	203.86	2 of 2 - Sale <sup>25</sup>
09/16/20	500.000	4,934.83	Various	4,672.00	...	262.83	Total of 2 transactions
	<b>Security total:</b>	<b>13,084.61</b>		<b>12,908.00</b>	...	<b>176.61</b>	
EVER-GLORY INTERNATIONAL GROUP, INC. COMMON STOCK / CUSIP: 299766204 / Symbol:							
3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	100.000	333.98	12/03/20	500.00	...	-166.02	1 of 3 - Sale <sup>25</sup>
	50.000	166.99	12/07/20	183.00	...	-16.01	2 of 3 - Sale <sup>25</sup>
	50.000	166.99	12/11/20	162.69	...	4.30	3 of 3 - Sale <sup>25</sup>
12/16/20	200.000	667.96	Various	845.69	...	-177.73	Total of 3 transactions
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
6 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	10.000	778.61	09/03/20	834.82	...	-56.21	1 of 6 - Sale <sup>25</sup>
	5.000	389.31	09/03/20	411.65	...	-22.34	2 of 6 - Sale <sup>25</sup>
	5.000	389.31	09/04/20	411.00	...	-21.69	3 of 6 - Sale <sup>25</sup>
	5.000	389.31	09/04/20	380.94	...	8.37	4 of 6 - Sale <sup>25</sup>
	2.000	155.72	09/04/20	145.48	...	10.24	5 of 6 - Sale <sup>25</sup>
	3.000	233.58	09/04/20	217.77	...	15.81	6 of 6 - Sale <sup>25</sup>
09/08/20	30.000	2,335.84	Various	2,401.66	...	-65.82	Total of 6 transactions
10/19/20	12.000	1,004.16	10/15/20	1,110.78	106.62 W	0.00	Sale <sup>25</sup>
10/22/20	15.000	1,221.35	10/15/20	1,388.47	167.12 W	0.00	Sale <sup>25</sup>
3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	13.000	872.80	10/15/20	1,203.34	330.54 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	134.27	10/16/20	186.19	51.92 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	335.69	10/19/20	463.30	127.61 W	0.00	3 of 3 - Sale <sup>25</sup>
10/29/20	20.000	1,342.76	Various	1,852.83	510.07 W	0.00	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
7 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	5.000	316.96	10/19/20	466.73	149.77 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	633.93	10/20/20	959.83	325.90 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	316.96	10/20/20	480.34	163.38 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	316.96	10/21/20	530.84	213.88 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	126.79	10/22/20	214.79	88.00 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	316.96	10/22/20	534.80	217.84 W	0.00	6 of 7 - Sale <sup>25</sup>
	8.000	507.14	10/22/20	854.91	347.77 W	0.00	7 of 7 - Sale <sup>25</sup>
10/30/20	40.000	2,535.70	Various	4,042.24	1,506.54 W	0.00	Total of 7 transactions
8 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	5.000	368.92	10/26/20	589.02	...	-220.10	1 of 8 - Sale <sup>25</sup>
	5.000	368.92	10/29/20	553.55	...	-184.63	2 of 8 - Sale <sup>25</sup>
	5.000	368.92	10/29/20	553.07	...	-184.15	3 of 8 - Sale <sup>25</sup>
	3.000	221.35	10/29/20	335.53	...	-114.18	4 of 8 - Sale <sup>25</sup>
	2.000	147.57	10/29/20	224.74	...	-77.17	5 of 8 - Sale <sup>25</sup>
	20.000	1,475.67	10/29/20	1,344.43	...	131.24	6 of 8 - Sale <sup>25</sup>
	15.000	1,106.76	10/30/20	951.76	...	155.00	7 of 8 - Sale <sup>25</sup>
	15.000	1,106.75	10/30/20	950.70	...	156.05	8 of 8 - Sale <sup>25</sup>
11/05/20	70.000	5,164.86	Various	5,502.80	...	-337.94	Total of 8 transactions
4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	5.000	368.92	10/22/20	570.13	201.21 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	368.92	10/22/20	556.96	188.04 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	368.92	10/23/20	543.05	174.13 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	368.92	10/23/20	543.48	174.56 W	0.00	4 of 4 - Sale <sup>25</sup>
11/05/20	20.000	1,475.68	Various	2,213.62	737.94 W	0.00	Total of 4 transactions
4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	5.000	371.10	11/05/20	569.52	...	-198.42	1 of 4 - Sale <sup>25</sup>
	5.000	371.09	11/05/20	556.35	...	-185.26	2 of 4 - Sale <sup>25</sup>
	5.000	371.10	11/05/20	542.86	...	-171.76	3 of 4 - Sale <sup>25</sup>
	5.000	371.09	11/05/20	542.44	...	-171.35	4 of 4 - Sale <sup>25</sup>
11/06/20	20.000	1,484.38	Various	2,211.17	...	-726.79	Total of 4 transactions
	<b>Security total:</b>	<b>16,564.73</b>		<b>20,723.57</b>	<b>3,028.29 W</b>	<b>-1,130.55</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FISKER INC. / CUSIP: 33813J106 / Symbol:							
11/09/20	100.000	1,349.96	11/09/20	1,340.50	...	9.46	Sale <sup>25</sup>
FORWARD INDUSTRIES, INC. COMMO N STOCK / CUSIP: 349862300 / Symbol:							
08/19/20	500.000	839.97	08/18/20	1,138.25	...	-298.28	Sale <sup>25</sup>
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
11/24/20	200.000	1,957.93	11/23/20	1,499.46	...	458.47	Sale <sup>25</sup>
GW PHARMACEUTICALS PLC AMERICA N DEPOSITARY SHARES / CUSIP: 36197T103 / Symbol:							
03/06/20	2.000	196.97	03/03/20	204.46	7.49 W	0.00	Sale <sup>25</sup>
	2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	2.000	156.14	03/17/20	143.63	...	12.51	1 of 2 - Sale <sup>25</sup>
	8.000	624.54	03/17/20	544.58	...	79.96	2 of 2 - Sale <sup>25</sup>
03/23/20	10.000	780.68	Various	688.21	...	92.47	Total of 2 transactions
	4 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	1.000	86.65	03/24/20	89.19	...	-2.54	1 of 4 - Sale <sup>25</sup>
	1.000	86.65	03/26/20	88.66	...	-2.01	2 of 4 - Sale <sup>25</sup>
	1.000	86.65	03/27/20	83.83	...	2.82	3 of 4 - Sale <sup>25</sup>
	5.000	433.23	03/30/20	433.15	...	0.08	4 of 4 - Sale <sup>25</sup>
03/31/20	8.000	693.18	Various	694.83	...	-1.65	Total of 4 transactions
	<b>Security total:</b>	<b>1,670.83</b>		<b>1,587.50</b>	<b>7.49 W</b>	<b>90.82</b>	
GANNETT CO., INC. / CUSIP: 36472T109 / Symbol:							
06/09/20	150.000	381.30	06/08/20	432.00	50.70 W	0.00	Sale <sup>25</sup>
06/10/20	150.000	352.48	06/08/20	432.00	79.52 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	50.000	97.10	06/09/20	142.80	45.70 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	97.10	06/09/20	142.65	45.55 W	0.00	2 of 2 - Sale <sup>25</sup>
06/18/20	100.000	194.20	Various	285.45	91.25 W	0.00	Total of 2 transactions
	5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	50.000	71.60	06/09/20	142.65	71.05 W	0.00	1 of 5 - Sale <sup>25</sup>
	50.000	71.60	06/10/20	144.41	72.81 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	143.20	06/12/20	270.81	127.61 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	71.60	06/15/20	148.10	76.50 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GANNETT CO., INC. / CUSIP: 36472T109 / Symbol: (cont'd)							
	50.000	71.59	06/15/20	147.95	76.36 W	0.00	5 of 5 - Sale <sup>25</sup>
06/26/20	300.000	429.59	Various	853.92	424.33 W	0.00	Total of 5 transactions
	6 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	50.000	61.00	06/18/20	167.55	106.55 W	0.00	1 of 6 - Sale <sup>25</sup>
	50.000	61.00	06/18/20	169.31	108.31 W	0.00	2 of 6 - Sale <sup>25</sup>
	50.000	61.00	06/18/20	160.31	99.31 W	0.00	3 of 6 - Sale <sup>25</sup>
	50.000	61.00	06/19/20	157.20	96.20 W	0.00	4 of 6 - Sale <sup>25</sup>
	50.000	61.00	06/26/20	147.90	86.90 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	60.99	06/26/20	147.76	86.77 W	0.00	6 of 6 - Sale <sup>25</sup>
07/06/20	300.000	365.99	Various	950.03	584.04 W	0.00	Total of 6 transactions
	7 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	50.000	57.60	06/26/20	178.55	120.95 W	0.00	1 of 7 - Sale <sup>25</sup>
	50.000	57.60	06/26/20	180.31	122.71 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	57.60	06/26/20	171.31	113.71 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	57.60	06/26/20	168.20	110.60 W	0.00	4 of 7 - Sale <sup>25</sup>
	50.000	57.60	06/26/20	158.90	101.30 W	0.00	5 of 7 - Sale <sup>25</sup>
	50.000	57.60	06/26/20	158.77	101.17 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.000	115.19	07/06/20	122.80	7.61 W	0.00	7 of 7 - Sale <sup>25</sup>
07/07/20	400.000	460.79	Various	1,138.84	678.05 W	0.00	Total of 7 transactions
07/15/20	100.000	134.19	07/07/20	123.41	...	10.78	Sale <sup>25</sup>
	12 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	50.000	66.60	07/06/20	182.35	115.75 W	0.00	1 of 12 - Sale <sup>25</sup>
	50.000	66.59	07/06/20	184.11	117.52 W	0.00	2 of 12 - Sale <sup>25</sup>
	50.000	66.60	07/06/20	175.11	108.51 W	0.00	3 of 12 - Sale <sup>25</sup>
	50.000	66.59	07/06/20	172.00	105.41 W	0.00	4 of 12 - Sale <sup>25</sup>
	50.000	67.09	07/07/20	159.20	92.11 W	0.00	5 of 12 - Sale <sup>25</sup>
	50.000	67.10	07/07/20	159.07	91.97 W	0.00	6 of 12 - Sale <sup>25</sup>
	50.000	67.09	07/07/20	173.75	106.66 W	0.00	7 of 12 - Sale <sup>25</sup>
	50.000	68.10	07/07/20	167.51	99.41 W	0.00	8 of 12 - Sale <sup>25</sup>
	50.000	68.10	07/07/20	164.41	96.31 W	0.00	9 of 12 - Sale <sup>25</sup>
	50.000	68.10	07/07/20	151.51	83.41 W	0.00	10 of 12 - Sale <sup>25</sup>
	50.000	68.10	07/07/20	175.51	107.41 W	0.00	11 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GANNETT CO., INC. / CUSIP: 36472T109 / Symbol: (cont'd)							
	49.000	66.73	07/08/20	146.97	80.24 W	0.00	12 of 12 - Sale <sup>25</sup>
07/15/20	599.000	806.79	Various	2,011.50	1,204.71 W	0.00	Total of 12 transactions
07/17/20	50.000	69.59	07/16/20	69.40	...	0.19	Sale <sup>25</sup>
	8 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	1.39	07/08/20	3.00	1.61 W	0.00	1 of 8 - Sale <sup>25</sup>
	50.000	69.60	07/16/20	175.06	105.46 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	69.60	07/16/20	175.81	106.21 W	0.00	3 of 8 - Sale <sup>25</sup>
	50.000	69.60	07/16/20	168.81	99.21 W	0.00	4 of 8 - Sale <sup>25</sup>
	50.000	69.60	07/16/20	165.71	96.11 W	0.00	5 of 8 - Sale <sup>25</sup>
	50.000	69.60	07/16/20	152.81	83.21 W	0.00	6 of 8 - Sale <sup>25</sup>
	49.000	68.20	07/16/20	148.25	80.05 W	0.00	7 of 8 - Sale <sup>25</sup>
	150.000	208.79	07/16/20	212.70	3.91 W	0.00	8 of 8 - Sale <sup>25</sup>
07/17/20	450.000	626.38	Various	1,202.15	575.77 W	0.00	Total of 8 transactions
	3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	100.000	134.19	07/21/20	133.79	...	0.40	1 of 3 - Sale <sup>25</sup>
	50.000	67.10	07/21/20	63.50	...	3.60	2 of 3 - Sale <sup>25</sup>
	150.000	201.28	07/21/20	194.41	...	6.87	3 of 3 - Sale <sup>25</sup>
07/22/20	300.000	402.57	Various	391.70	...	10.87	Total of 3 transactions
	9 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	1.34	07/17/20	3.01	1.67 W	0.00	1 of 9 - Sale <sup>25</sup>
	50.000	67.09	07/17/20	172.46	105.37 W	0.00	2 of 9 - Sale <sup>25</sup>
	50.000	67.09	07/17/20	173.21	106.12 W	0.00	3 of 9 - Sale <sup>25</sup>
	49.000	65.75	07/17/20	162.89	97.14 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	1.34	07/20/20	3.30	1.96 W	0.00	5 of 9 - Sale <sup>25</sup>
	49.000	65.75	07/20/20	158.77	93.02 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	1.34	07/21/20	3.18	1.84 W	0.00	7 of 9 - Sale <sup>25</sup>
	50.000	67.09	07/21/20	146.10	79.01 W	0.00	8 of 9 - Sale <sup>25</sup>
	49.000	65.75	07/21/20	141.69	75.94 W	0.00	9 of 9 - Sale <sup>25</sup>
07/22/20	300.000	402.54	Various	964.61	562.07 W	0.00	Total of 9 transactions
	11 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	1.000	1.59	07/22/20	3.02	1.43 W	0.00	1 of 11 - Sale <sup>25</sup>
	50.000	79.60	07/23/20	173.27	93.67 W	0.00	2 of 11 - Sale <sup>25</sup>
	50.000	79.60	07/23/20	174.02	94.42 W	0.00	3 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GANNETT CO., INC. / CUSIP: 36472T109 / Symbol: (cont'd)							
	49.000	78.01	07/23/20	163.68	85.67 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	1.59	07/23/20	3.32	1.73 W	0.00	5 of 11 - Sale <sup>25</sup>
	49.000	78.01	07/23/20	159.56	81.55 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	1.59	07/23/20	3.20	1.61 W	0.00	7 of 11 - Sale <sup>25</sup>
	49.000	78.01	07/23/20	143.97	65.96 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	1.59	07/24/20	3.21	1.62 W	0.00	9 of 11 - Sale <sup>25</sup>
	49.000	78.01	07/24/20	155.70	77.69 W	0.00	10 of 11 - Sale <sup>25</sup>
	450.000	716.43	07/24/20	732.46	16.03 W	0.00	11 of 11 - Sale <sup>25</sup>
07/24/20	750.000	1,194.03	Various	1,715.41	521.38 W	0.00	Total of 11 transactions
	2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	150.000	232.78	07/27/20	224.05	...	8.73	1 of 2 - Sale <sup>25</sup>
	250.000	387.96	07/27/20	364.50	...	23.46	2 of 2 - Sale <sup>25</sup>
07/29/20	400.000	620.74	Various	588.55	...	32.19	Total of 2 transactions
	13 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	49.000	76.04	07/24/20	171.78	95.74 W	0.00	1 of 13 - Sale <sup>25</sup>
	50.000	77.59	07/24/20	174.54	96.95 W	0.00	2 of 13 - Sale <sup>25</sup>
	1.000	1.55	07/24/20	3.05	1.50 W	0.00	3 of 13 - Sale <sup>25</sup>
	1.000	1.55	07/24/20	3.51	1.96 W	0.00	4 of 13 - Sale <sup>25</sup>
	49.000	76.04	07/24/20	165.05	89.01 W	0.00	5 of 13 - Sale <sup>25</sup>
	1.000	1.55	07/24/20	3.35	1.80 W	0.00	6 of 13 - Sale <sup>25</sup>
	49.000	76.04	07/24/20	160.93	84.89 W	0.00	7 of 13 - Sale <sup>25</sup>
	1.000	1.55	07/24/20	3.24	1.69 W	0.00	8 of 13 - Sale <sup>25</sup>
	49.000	76.04	07/24/20	145.58	69.54 W	0.00	9 of 13 - Sale <sup>25</sup>
	1.000	1.55	07/24/20	3.27	1.72 W	0.00	10 of 13 - Sale <sup>25</sup>
	49.000	76.04	07/24/20	158.42	82.38 W	0.00	11 of 13 - Sale <sup>25</sup>
	200.000	310.37	07/24/20	336.62	26.25 W	0.00	12 of 13 - Sale <sup>25</sup>
	100.000	155.19	07/27/20	155.35	0.16 W	0.00	13 of 13 - Sale <sup>25</sup>
07/29/20	600.000	931.10	Various	1,484.69	553.59 W	0.00	Total of 13 transactions
	12 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	200.000	308.43	08/03/20	331.82	5.85 W	-17.54	1 of 12 - Sale <sup>25</sup>
	1.000	1.54	08/03/20	3.49	1.95 W	0.00	2 of 12 - Sale <sup>25</sup>
	49.000	75.56	08/03/20	170.61	95.05 W	0.00	3 of 12 - Sale <sup>25</sup>
	50.000	77.11	08/03/20	173.35	96.24 W	0.00	4 of 12 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GANNETT CO., INC. / CUSIP: 36472T109 / Symbol: (cont'd)							
	49.000	75.57	08/03/20	163.88	88.31 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	1.54	08/03/20	3.03	1.49 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	1.54	08/03/20	3.33	1.79 W	0.00	7 of 12 - Sale <sup>25</sup>
	49.000	75.57	08/03/20	159.75	84.18 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	1.54	08/03/20	3.22	1.68 W	0.00	9 of 12 - Sale <sup>25</sup>
	49.000	75.56	08/03/20	144.41	68.85 W	0.00	10 of 12 - Sale <sup>25</sup>
	1.000	1.54	08/03/20	3.25	1.71 W	0.00	11 of 12 - Sale <sup>25</sup>
	49.000	75.57	08/03/20	157.24	81.67 W	0.00	12 of 12 - Sale <sup>25</sup>
08/04/20	500.000	771.07	Various	1,317.38	528.77 W	-17.54	Total of 12 transactions
	6 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	50.000	83.00	08/04/20	178.12	...	-95.12	1 of 6 - Sale <sup>25</sup>
	49.000	81.34	08/04/20	175.29	...	-93.95	2 of 6 - Sale <sup>25</sup>
	49.000	81.34	08/04/20	168.54	...	-87.20	3 of 6 - Sale <sup>25</sup>
	1.000	1.66	08/04/20	3.59	...	-1.93	4 of 6 - Sale <sup>25</sup>
	1.000	1.66	08/04/20	3.13	...	-1.47	5 of 6 - Sale <sup>25</sup>
	100.000	166.00	08/04/20	163.91	...	2.09	6 of 6 - Sale <sup>25</sup>
08/05/20	250.000	415.00	Various	692.58	...	-277.58	Total of 6 transactions
	7 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	49.000	88.32	08/11/20	179.73	...	-91.41	1 of 7 - Sale <sup>25</sup>
	49.000	88.33	08/11/20	177.22	...	-88.89	2 of 7 - Sale <sup>25</sup>
	49.000	88.32	08/11/20	164.40	...	-76.08	3 of 7 - Sale <sup>25</sup>
	50.000	90.13	08/11/20	103.35	...	-13.22	4 of 7 - Sale <sup>25</sup>
	1.000	1.80	08/11/20	3.74	...	-1.94	5 of 7 - Sale <sup>25</sup>
	1.000	1.80	08/11/20	3.66	...	-1.86	6 of 7 - Sale <sup>25</sup>
	1.000	1.80	08/11/20	3.63	...	-1.83	7 of 7 - Sale <sup>25</sup>
08/12/20	200.000	360.50	Various	635.73	...	-275.23	Total of 7 transactions
	2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	150.000	409.77	11/24/20	436.37	...	-26.60	1 of 2 - Sale <sup>25</sup>
	150.000	409.77	11/25/20	446.84	...	-37.07	2 of 2 - Sale <sup>25</sup>
11/30/20	300.000	819.54	Various	883.21	...	-63.67	Total of 2 transactions
	<b>Security total:</b>	<b>9,738.39</b>		<b>16,172.56</b>	<b>5,854.18 W</b>	<b>-579.99</b>	

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Account 125489310

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(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/15/20	50.000	225.49	06/11/20	214.52	...	10.97	Sale <sup>25</sup>
	4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	400.000	1,783.91	06/10/20	2,260.00	476.09 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	445.99	06/10/20	565.00	119.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	450.99	06/10/20	575.52	124.53 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	450.98	06/10/20	575.52	124.54 W	0.00	4 of 4 - Sale <sup>25</sup>
06/15/20	700.000	3,131.87	Various	3,976.04	844.17 W	0.00	Total of 4 transactions
	17 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	28.000	84.84	06/18/20	83.21	...	1.63	1 of 17 - Sale <sup>25</sup>
	36.000	109.07	06/18/20	106.93	...	2.14	2 of 17 - Sale <sup>25</sup>
	100.000	302.98	06/18/20	297.02	...	5.96	3 of 17 - Sale <sup>25</sup>
	64.000	193.91	06/22/20	192.93	...	0.98	4 of 17 - Sale <sup>25</sup>
	14.000	42.42	06/22/20	41.30	...	1.12	5 of 17 - Sale <sup>25</sup>
	22.000	66.66	06/22/20	65.33	...	1.33	6 of 17 - Sale <sup>25</sup>
	36.000	109.08	06/22/20	107.48	...	1.60	7 of 17 - Sale <sup>25</sup>
	164.000	496.90	06/22/20	490.40	...	6.50	8 of 17 - Sale <sup>25</sup>
	67.000	203.00	06/22/20	187.60	...	15.40	9 of 17 - Sale <sup>25</sup>
	50.000	151.49	06/23/20	138.79	...	12.70	10 of 17 - Sale <sup>25</sup>
	200.000	605.98	06/23/20	551.00	...	54.98	11 of 17 - Sale <sup>25</sup>
	35.000	106.05	06/26/20	84.35	...	21.70	12 of 17 - Sale <sup>25</sup>
	65.000	196.94	06/26/20	156.65	...	40.29	13 of 17 - Sale <sup>25</sup>
	100.000	302.99	06/26/20	251.50	...	51.49	14 of 17 - Sale <sup>25</sup>
	200.000	605.98	06/26/20	475.00	...	130.98	15 of 17 - Sale <sup>25</sup>
	300.000	908.97	06/26/20	723.00	...	185.97	16 of 17 - Sale <sup>25</sup>
	300.000	908.96	07/02/20	841.59	...	67.37	17 of 17 - Sale <sup>25</sup>
07/06/20	1,781.000	5,396.22	Various	4,794.08	...	602.14	Total of 17 transactions
	168 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	50.000	151.49	06/11/20	214.51	63.02 W	0.00	1 of 168 - Sale <sup>25</sup>
	100.000	302.98	06/11/20	459.02	156.04 W	0.00	2 of 168 - Sale <sup>25</sup>
	100.000	302.98	06/11/20	459.01	156.03 W	0.00	3 of 168 - Sale <sup>25</sup>
	100.000	302.98	06/11/20	473.03	170.05 W	0.00	4 of 168 - Sale <sup>25</sup>
	100.000	302.98	06/11/20	473.04	170.06 W	0.00	5 of 168 - Sale <sup>25</sup>
	200.000	605.96	06/11/20	676.00	70.04 W	0.00	6 of 168 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
100.000		302.98	06/11/20	335.92	32.94 W	0.00	7 of 168 - Sale <sup>25</sup>
100.000		302.98	06/17/20	308.50	5.52 W	0.00	8 of 168 - Sale <sup>25</sup>
64.000		193.91	06/17/20	197.44	3.53 W	0.00	9 of 168 - Sale <sup>25</sup>
20.000		60.60	06/17/20	86.91	26.31 W	0.00	10 of 168 - Sale <sup>25</sup>
16.000		48.48	06/17/20	74.33	25.85 W	0.00	11 of 168 - Sale <sup>25</sup>
30.000		90.89	06/17/20	130.36	39.47 W	0.00	12 of 168 - Sale <sup>25</sup>
20.000		60.60	06/17/20	92.91	32.31 W	0.00	13 of 168 - Sale <sup>25</sup>
15.000		45.45	06/18/20	66.00	20.55 W	0.00	14 of 168 - Sale <sup>25</sup>
36.000		109.07	06/18/20	158.41	49.34 W	0.00	15 of 168 - Sale <sup>25</sup>
49.000		148.46	06/18/20	215.62	67.16 W	0.00	16 of 168 - Sale <sup>25</sup>
36.000		109.07	06/18/20	163.46	54.39 W	0.00	17 of 168 - Sale <sup>25</sup>
64.000		193.91	06/18/20	281.62	87.71 W	0.00	18 of 168 - Sale <sup>25</sup>
64.000		193.91	06/18/20	290.91	97.00 W	0.00	19 of 168 - Sale <sup>25</sup>
40.000		121.19	06/18/20	181.82	60.63 W	0.00	20 of 168 - Sale <sup>25</sup>
60.000		181.79	06/18/20	272.74	90.95 W	0.00	21 of 168 - Sale <sup>25</sup>
36.000		109.07	06/18/20	115.03	5.96 W	0.00	22 of 168 - Sale <sup>25</sup>
36.000		109.07	06/18/20	114.46	5.39 W	0.00	23 of 168 - Sale <sup>25</sup>
164.000		496.89	06/18/20	524.83	27.94 W	0.00	24 of 168 - Sale <sup>25</sup>
64.000		193.91	06/18/20	207.64	13.73 W	0.00	25 of 168 - Sale <sup>25</sup>
4.000		12.12	06/18/20	16.93	4.81 W	0.00	26 of 168 - Sale <sup>25</sup>
1.000		3.03	06/18/20	4.23	1.20 W	0.00	27 of 168 - Sale <sup>25</sup>
16.000		48.48	06/18/20	72.52	24.04 W	0.00	28 of 168 - Sale <sup>25</sup>
15.000		45.45	06/18/20	63.48	18.03 W	0.00	29 of 168 - Sale <sup>25</sup>
20.000		60.59	06/18/20	90.64	30.05 W	0.00	30 of 168 - Sale <sup>25</sup>
30.000		90.90	06/18/20	126.97	36.07 W	0.00	31 of 168 - Sale <sup>25</sup>
15.000		45.45	06/18/20	64.30	18.85 W	0.00	32 of 168 - Sale <sup>25</sup>
4.000		12.12	06/18/20	17.15	5.03 W	0.00	33 of 168 - Sale <sup>25</sup>
22.000		66.66	06/18/20	94.32	27.66 W	0.00	34 of 168 - Sale <sup>25</sup>
45.000		136.35	06/18/20	192.93	56.58 W	0.00	35 of 168 - Sale <sup>25</sup>
36.000		109.08	06/19/20	156.99	47.91 W	0.00	36 of 168 - Sale <sup>25</sup>
14.000		42.42	06/19/20	59.09	16.67 W	0.00	37 of 168 - Sale <sup>25</sup>
36.000		109.08	06/19/20	156.08	47.00 W	0.00	38 of 168 - Sale <sup>25</sup>
64.000		193.91	06/19/20	268.19	74.28 W	0.00	39 of 168 - Sale <sup>25</sup>
28.000		84.84	06/19/20	121.40	36.56 W	0.00	40 of 168 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	32.000	96.96	06/19/20	138.75	41.79 W	0.00	41 of 168 - Sale <sup>25</sup>
	40.000	121.20	06/19/20	173.43	52.23 W	0.00	42 of 168 - Sale <sup>25</sup>
	28.000	84.84	06/22/20	121.40	36.56 W	0.00	43 of 168 - Sale <sup>25</sup>
	1.000	3.03	06/22/20	4.00	0.97 W	0.00	44 of 168 - Sale <sup>25</sup>
	4.000	12.12	06/22/20	16.01	3.89 W	0.00	45 of 168 - Sale <sup>25</sup>
	15.000	45.45	06/22/20	60.03	14.58 W	0.00	46 of 168 - Sale <sup>25</sup>
	16.000	48.48	06/22/20	68.84	20.36 W	0.00	47 of 168 - Sale <sup>25</sup>
	20.000	60.60	06/22/20	86.05	25.45 W	0.00	48 of 168 - Sale <sup>25</sup>
	30.000	90.90	06/22/20	120.07	29.17 W	0.00	49 of 168 - Sale <sup>25</sup>
	4.000	12.12	06/22/20	16.23	4.11 W	0.00	50 of 168 - Sale <sup>25</sup>
	15.000	45.45	06/22/20	60.85	15.40 W	0.00	51 of 168 - Sale <sup>25</sup>
	16.000	48.88	07/06/20	70.33	21.45 W	0.00	52 of 168 - Sale <sup>25</sup>
	36.000	109.97	07/06/20	159.15	49.18 W	0.00	53 of 168 - Sale <sup>25</sup>
	36.000	109.97	07/06/20	158.24	48.27 W	0.00	54 of 168 - Sale <sup>25</sup>
	22.000	67.21	07/06/20	95.64	28.43 W	0.00	55 of 168 - Sale <sup>25</sup>
	45.000	137.47	07/06/20	195.63	58.16 W	0.00	56 of 168 - Sale <sup>25</sup>
	14.000	42.77	07/06/20	59.93	17.16 W	0.00	57 of 168 - Sale <sup>25</sup>
	64.000	195.51	07/06/20	272.04	76.53 W	0.00	58 of 168 - Sale <sup>25</sup>
	1.000	3.05	07/06/20	4.06	1.01 W	0.00	59 of 168 - Sale <sup>25</sup>
	4.000	12.22	07/06/20	16.25	4.03 W	0.00	60 of 168 - Sale <sup>25</sup>
	15.000	45.82	07/06/20	60.93	15.11 W	0.00	61 of 168 - Sale <sup>25</sup>
	16.000	48.88	07/06/20	69.80	20.92 W	0.00	62 of 168 - Sale <sup>25</sup>
	20.000	61.10	07/06/20	87.25	26.15 W	0.00	63 of 168 - Sale <sup>25</sup>
	30.000	91.64	07/06/20	121.87	30.23 W	0.00	64 of 168 - Sale <sup>25</sup>
	4.000	12.22	07/06/20	16.47	4.25 W	0.00	65 of 168 - Sale <sup>25</sup>
	15.000	45.82	07/06/20	61.75	15.93 W	0.00	66 of 168 - Sale <sup>25</sup>
	12.000	36.66	07/06/20	52.75	16.09 W	0.00	67 of 168 - Sale <sup>25</sup>
	32.000	97.75	07/06/20	140.67	42.92 W	0.00	68 of 168 - Sale <sup>25</sup>
	40.000	122.19	07/06/20	175.83	53.64 W	0.00	69 of 168 - Sale <sup>25</sup>
	28.000	85.53	07/06/20	123.08	37.55 W	0.00	70 of 168 - Sale <sup>25</sup>
	300.000	916.44	07/06/20	927.00	10.56 W	0.00	71 of 168 - Sale <sup>25</sup>
	16.000	46.88	07/06/20	70.89	24.01 W	0.00	72 of 168 - Sale <sup>25</sup>
	36.000	105.48	07/06/20	160.42	54.94 W	0.00	73 of 168 - Sale <sup>25</sup>
	36.000	105.47	07/06/20	159.51	54.04 W	0.00	74 of 168 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
12.000		35.16	07/06/20	52.59	17.43 W	0.00	75 of 168 - Sale <sup>25</sup>
22.000		64.24	07/06/20	96.41	32.17 W	0.00	76 of 168 - Sale <sup>25</sup>
33.000		96.35	07/06/20	144.62	48.27 W	0.00	77 of 168 - Sale <sup>25</sup>
14.000		40.88	07/06/20	60.42	19.54 W	0.00	78 of 168 - Sale <sup>25</sup>
64.000		186.87	07/06/20	274.29	87.42 W	0.00	79 of 168 - Sale <sup>25</sup>
17.000		49.64	07/06/20	52.53	2.89 W	0.00	80 of 168 - Sale <sup>25</sup>
1.000		2.92	07/06/20	4.08	1.16 W	0.00	81 of 168 - Sale <sup>25</sup>
4.000		11.68	07/06/20	16.29	4.61 W	0.00	82 of 168 - Sale <sup>25</sup>
15.000		43.80	07/06/20	61.09	17.29 W	0.00	83 of 168 - Sale <sup>25</sup>
16.000		46.72	07/06/20	69.96	23.24 W	0.00	84 of 168 - Sale <sup>25</sup>
20.000		58.40	07/06/20	87.45	29.05 W	0.00	85 of 168 - Sale <sup>25</sup>
30.000		87.59	07/06/20	122.18	34.59 W	0.00	86 of 168 - Sale <sup>25</sup>
4.000		11.68	07/06/20	16.51	4.83 W	0.00	87 of 168 - Sale <sup>25</sup>
15.000		43.80	07/06/20	61.90	18.10 W	0.00	88 of 168 - Sale <sup>25</sup>
12.000		35.04	07/06/20	52.87	17.83 W	0.00	89 of 168 - Sale <sup>25</sup>
32.000		93.43	07/06/20	141.00	47.57 W	0.00	90 of 168 - Sale <sup>25</sup>
40.000		116.79	07/06/20	176.24	59.45 W	0.00	91 of 168 - Sale <sup>25</sup>
28.000		81.75	07/06/20	123.37	41.62 W	0.00	92 of 168 - Sale <sup>25</sup>
260.000		759.15	07/06/20	806.05	46.90 W	0.00	93 of 168 - Sale <sup>25</sup>
16.000		46.72	07/06/20	73.13	26.41 W	0.00	94 of 168 - Sale <sup>25</sup>
36.000		105.11	07/06/20	165.46	60.35 W	0.00	95 of 168 - Sale <sup>25</sup>
36.000		105.11	07/06/20	164.56	59.45 W	0.00	96 of 168 - Sale <sup>25</sup>
12.000		35.04	07/06/20	54.27	19.23 W	0.00	97 of 168 - Sale <sup>25</sup>
40.000		116.79	07/06/20	124.21	7.42 W	0.00	98 of 168 - Sale <sup>25</sup>
233.000		680.31	07/06/20	715.28	34.97 W	0.00	99 of 168 - Sale <sup>25</sup>
22.000		64.24	07/06/20	99.71	35.47 W	0.00	100 of 168 - Sale <sup>25</sup>
33.000		96.35	07/06/20	149.58	53.23 W	0.00	101 of 168 - Sale <sup>25</sup>
14.000		40.88	07/06/20	62.52	21.64 W	0.00	102 of 168 - Sale <sup>25</sup>
64.000		186.87	07/06/20	283.88	97.01 W	0.00	103 of 168 - Sale <sup>25</sup>
17.000		49.64	07/06/20	55.08	5.44 W	0.00	104 of 168 - Sale <sup>25</sup>
1.000		2.92	07/06/20	4.15	1.23 W	0.00	105 of 168 - Sale <sup>25</sup>
4.000		11.68	07/06/20	16.59	4.91 W	0.00	106 of 168 - Sale <sup>25</sup>
15.000		43.80	07/06/20	62.21	18.41 W	0.00	107 of 168 - Sale <sup>25</sup>
16.000		46.71	07/06/20	71.15	24.44 W	0.00	108 of 168 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
20.000		58.39	07/06/20	88.94	30.55 W	0.00	109 of 168 - Sale <sup>25</sup>
30.000		87.59	07/06/20	124.42	36.83 W	0.00	110 of 168 - Sale <sup>25</sup>
14.000		40.88	07/06/20	58.81	17.93 W	0.00	111 of 168 - Sale <sup>25</sup>
1.000		2.92	07/06/20	4.16	1.24 W	0.00	112 of 168 - Sale <sup>25</sup>
4.000		11.68	07/06/20	16.63	4.95 W	0.00	113 of 168 - Sale <sup>25</sup>
12.000		35.04	07/06/20	53.23	18.19 W	0.00	114 of 168 - Sale <sup>25</sup>
32.000		93.43	07/06/20	141.96	48.53 W	0.00	115 of 168 - Sale <sup>25</sup>
40.000		116.80	07/06/20	177.45	60.65 W	0.00	116 of 168 - Sale <sup>25</sup>
11.000		32.12	07/06/20	48.80	16.68 W	0.00	117 of 168 - Sale <sup>25</sup>
23.000		67.16	07/06/20	105.14	37.98 W	0.00	118 of 168 - Sale <sup>25</sup>
17.000		49.64	07/06/20	74.49	24.85 W	0.00	119 of 168 - Sale <sup>25</sup>
60.000		175.19	07/06/20	184.52	9.33 W	0.00	120 of 168 - Sale <sup>25</sup>
200.000		583.96	07/06/20	615.08	31.12 W	0.00	121 of 168 - Sale <sup>25</sup>
11.000		32.12	07/06/20	50.72	18.60 W	0.00	122 of 168 - Sale <sup>25</sup>
13.000		37.96	07/06/20	60.27	22.31 W	0.00	123 of 168 - Sale <sup>25</sup>
36.000		105.11	07/06/20	166.01	60.90 W	0.00	124 of 168 - Sale <sup>25</sup>
5.000		14.60	07/06/20	23.05	8.45 W	0.00	125 of 168 - Sale <sup>25</sup>
12.000		35.04	07/06/20	54.75	19.71 W	0.00	126 of 168 - Sale <sup>25</sup>
40.000		116.79	07/06/20	125.82	9.03 W	0.00	127 of 168 - Sale <sup>25</sup>
43.000		125.55	07/06/20	133.73	8.18 W	0.00	128 of 168 - Sale <sup>25</sup>
7.000		20.44	07/06/20	32.01	11.57 W	0.00	129 of 168 - Sale <sup>25</sup>
33.000		96.35	07/06/20	150.91	54.56 W	0.00	130 of 168 - Sale <sup>25</sup>
16.000		46.72	07/06/20	72.60	25.88 W	0.00	131 of 168 - Sale <sup>25</sup>
20.000		58.40	07/06/20	90.75	32.35 W	0.00	132 of 168 - Sale <sup>25</sup>
14.000		40.88	07/06/20	60.07	19.19 W	0.00	133 of 168 - Sale <sup>25</sup>
1.000		2.92	07/06/20	4.21	1.29 W	0.00	134 of 168 - Sale <sup>25</sup>
4.000		11.68	07/06/20	16.81	5.13 W	0.00	135 of 168 - Sale <sup>25</sup>
12.000		35.04	07/06/20	53.77	18.73 W	0.00	136 of 168 - Sale <sup>25</sup>
33.000		96.35	07/06/20	147.88	51.53 W	0.00	137 of 168 - Sale <sup>25</sup>
23.000		67.16	07/06/20	106.17	39.01 W	0.00	138 of 168 - Sale <sup>25</sup>
32.000		93.44	07/06/20	143.41	49.97 W	0.00	139 of 168 - Sale <sup>25</sup>
7.000		20.44	07/06/20	31.37	10.93 W	0.00	140 of 168 - Sale <sup>25</sup>
11.000		32.12	07/06/20	49.29	17.17 W	0.00	141 of 168 - Sale <sup>25</sup>
17.000		49.63	07/06/20	75.26	25.63 W	0.00	142 of 168 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	10.000	29.20	07/06/20	31.21	2.01 W	0.00	143 of 168 - Sale <sup>25</sup>
	50.000	146.00	07/06/20	156.02	10.02 W	0.00	144 of 168 - Sale <sup>25</sup>
	50.000	145.99	07/06/20	156.03	10.04 W	0.00	145 of 168 - Sale <sup>25</sup>
	15.000	43.80	07/06/20	68.58	24.78 W	0.00	146 of 168 - Sale <sup>25</sup>
	14.000	40.88	07/06/20	63.08	22.20 W	0.00	147 of 168 - Sale <sup>25</sup>
	64.000	186.87	07/06/20	286.45	99.58 W	0.00	148 of 168 - Sale <sup>25</sup>
	107.000	312.41	07/06/20	332.78	20.37 W	0.00	149 of 168 - Sale <sup>25</sup>
	17.000	49.64	07/06/20	55.76	6.12 W	0.00	150 of 168 - Sale <sup>25</sup>
	83.000	242.35	07/06/20	258.14	15.79 W	0.00	151 of 168 - Sale <sup>25</sup>
	1.000	2.92	07/06/20	4.24	1.32 W	0.00	152 of 168 - Sale <sup>25</sup>
	4.000	11.68	07/06/20	16.95	5.27 W	0.00	153 of 168 - Sale <sup>25</sup>
	15.000	43.80	07/06/20	63.56	19.76 W	0.00	154 of 168 - Sale <sup>25</sup>
	30.000	87.60	07/06/20	127.13	39.53 W	0.00	155 of 168 - Sale <sup>25</sup>
	150.000	437.97	07/06/20	468.09	30.12 W	0.00	156 of 168 - Sale <sup>25</sup>
	5.000	14.60	07/06/20	24.38	9.78 W	0.00	157 of 168 - Sale <sup>25</sup>
	11.000	32.12	07/06/20	53.64	21.52 W	0.00	158 of 168 - Sale <sup>25</sup>
	13.000	37.96	07/06/20	63.72	25.76 W	0.00	159 of 168 - Sale <sup>25</sup>
	36.000	105.11	07/06/20	175.59	70.48 W	0.00	160 of 168 - Sale <sup>25</sup>
	7.000	20.44	07/06/20	33.87	13.43 W	0.00	161 of 168 - Sale <sup>25</sup>
	12.000	35.04	07/06/20	57.94	22.90 W	0.00	162 of 168 - Sale <sup>25</sup>
	15.000	43.80	07/06/20	72.56	28.76 W	0.00	163 of 168 - Sale <sup>25</sup>
	33.000	96.35	07/06/20	159.69	63.34 W	0.00	164 of 168 - Sale <sup>25</sup>
	14.000	40.88	07/06/20	66.80	25.92 W	0.00	165 of 168 - Sale <sup>25</sup>
	21.000	61.31	07/06/20	99.57	38.26 W	0.00	166 of 168 - Sale <sup>25</sup>
	40.000	116.79	07/06/20	136.46	19.67 W	0.00	167 of 168 - Sale <sup>25</sup>
	43.000	125.55	07/06/20	145.17	19.62 W	0.00	168 of 168 - Sale <sup>25</sup>
07/06/20	5,969.000	17,774.82	Various	23,245.42	5,470.60 W	0.00	Total of 168 transactions
	38 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	1.000	1.69	07/06/20	4.26	2.57 W	0.00	1 of 38 - Sale <sup>25</sup>
	4.000	6.78	07/06/20	17.01	10.23 W	0.00	2 of 38 - Sale <sup>25</sup>
	15.000	25.42	07/06/20	63.78	38.36 W	0.00	3 of 38 - Sale <sup>25</sup>
	16.000	27.11	07/06/20	72.84	45.73 W	0.00	4 of 38 - Sale <sup>25</sup>
	20.000	33.89	07/06/20	91.05	57.16 W	0.00	5 of 38 - Sale <sup>25</sup>
	30.000	50.83	07/06/20	127.58	76.75 W	0.00	6 of 38 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.69	07/06/20	4.23	2.54 W	0.00	7 of 38 - Sale <sup>25</sup>
	4.000	6.78	07/06/20	16.87	10.09 W	0.00	8 of 38 - Sale <sup>25</sup>
	14.000	23.72	07/06/20	60.28	36.56 W	0.00	9 of 38 - Sale <sup>25</sup>
	7.000	11.86	07/06/20	31.48	19.62 W	0.00	10 of 38 - Sale <sup>25</sup>
	12.000	20.33	07/06/20	53.95	33.62 W	0.00	11 of 38 - Sale <sup>25</sup>
	23.000	38.97	07/06/20	106.51	67.54 W	0.00	12 of 38 - Sale <sup>25</sup>
	32.000	54.22	07/06/20	143.89	89.67 W	0.00	13 of 38 - Sale <sup>25</sup>
	33.000	55.92	07/06/20	148.38	92.46 W	0.00	14 of 38 - Sale <sup>25</sup>
	11.000	18.64	07/06/20	49.45	30.81 W	0.00	15 of 38 - Sale <sup>25</sup>
	17.000	28.81	07/06/20	75.53	46.72 W	0.00	16 of 38 - Sale <sup>25</sup>
	43.000	72.86	07/06/20	193.12	120.26 W	0.00	17 of 38 - Sale <sup>25</sup>
	10.000	16.94	07/06/20	31.36	14.42 W	0.00	18 of 38 - Sale <sup>25</sup>
	17.000	28.81	07/06/20	56.01	27.20 W	0.00	19 of 38 - Sale <sup>25</sup>
	83.000	140.64	07/06/20	259.40	118.76 W	0.00	20 of 38 - Sale <sup>25</sup>
	107.000	181.31	07/06/20	334.41	153.10 W	0.00	21 of 38 - Sale <sup>25</sup>
	5.000	8.47	07/06/20	24.71	16.24 W	0.00	22 of 38 - Sale <sup>25</sup>
	11.000	18.64	07/06/20	54.35	35.71 W	0.00	23 of 38 - Sale <sup>25</sup>
	13.000	22.03	07/06/20	64.57	42.54 W	0.00	24 of 38 - Sale <sup>25</sup>
	36.000	61.00	07/06/20	177.94	116.94 W	0.00	25 of 38 - Sale <sup>25</sup>
	7.000	11.86	07/06/20	34.33	22.47 W	0.00	26 of 38 - Sale <sup>25</sup>
	12.000	20.33	07/06/20	58.72	38.39 W	0.00	27 of 38 - Sale <sup>25</sup>
	15.000	25.42	07/06/20	73.53	48.11 W	0.00	28 of 38 - Sale <sup>25</sup>
	33.000	55.92	07/06/20	161.84	105.92 W	0.00	29 of 38 - Sale <sup>25</sup>
	14.000	23.72	07/06/20	67.71	43.99 W	0.00	30 of 38 - Sale <sup>25</sup>
	21.000	35.58	07/06/20	100.94	65.36 W	0.00	31 of 38 - Sale <sup>25</sup>
	40.000	67.78	07/06/20	139.07	71.29 W	0.00	32 of 38 - Sale <sup>25</sup>
	43.000	72.86	07/06/20	147.98	75.12 W	0.00	33 of 38 - Sale <sup>25</sup>
	50.000	84.72	07/06/20	159.27	74.55 W	0.00	34 of 38 - Sale <sup>25</sup>
	50.000	84.72	07/06/20	159.29	74.57 W	0.00	35 of 38 - Sale <sup>25</sup>
	150.000	254.17	07/06/20	477.87	223.70 W	0.00	36 of 38 - Sale <sup>25</sup>
	100.000	169.44	07/06/20	287.50	118.06 W	0.00	37 of 38 - Sale <sup>25</sup>
	400.000	677.78	07/06/20	1,154.00	476.22 W	0.00	38 of 38 - Sale <sup>25</sup>
07/29/20	1,500.000	2,541.66	Various	5,285.01	2,743.35 W	0.00	Total of 38 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
48 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
1.000		1.61	07/06/20	5.56	3.95 W	0.00	1 of 48 - Sale <sup>25</sup>
4.000		6.46	07/06/20	22.21	15.75 W	0.00	2 of 48 - Sale <sup>25</sup>
15.000		24.22	07/06/20	83.29	59.07 W	0.00	3 of 48 - Sale <sup>25</sup>
16.000		25.84	07/06/20	93.65	67.81 W	0.00	4 of 48 - Sale <sup>25</sup>
20.000		32.30	07/06/20	117.06	84.76 W	0.00	5 of 48 - Sale <sup>25</sup>
30.000		48.45	07/06/20	166.60	118.15 W	0.00	6 of 48 - Sale <sup>25</sup>
14.000		22.61	07/06/20	78.49	55.88 W	0.00	7 of 48 - Sale <sup>25</sup>
1.000		1.61	07/06/20	5.44	3.83 W	0.00	8 of 48 - Sale <sup>25</sup>
4.000		6.46	07/06/20	21.69	15.23 W	0.00	9 of 48 - Sale <sup>25</sup>
7.000		11.30	07/06/20	39.92	28.62 W	0.00	10 of 48 - Sale <sup>25</sup>
11.000		17.76	07/06/20	64.20	46.44 W	0.00	11 of 48 - Sale <sup>25</sup>
12.000		19.38	07/06/20	68.42	49.04 W	0.00	12 of 48 - Sale <sup>25</sup>
32.000		51.67	07/06/20	182.47	130.80 W	0.00	13 of 48 - Sale <sup>25</sup>
33.000		53.29	07/06/20	188.16	134.87 W	0.00	14 of 48 - Sale <sup>25</sup>
12.000		19.38	07/06/20	70.04	50.66 W	0.00	15 of 48 - Sale <sup>25</sup>
11.000		17.76	07/06/20	62.71	44.95 W	0.00	16 of 48 - Sale <sup>25</sup>
17.000		27.45	07/06/20	96.02	68.57 W	0.00	17 of 48 - Sale <sup>25</sup>
26.000		41.99	07/06/20	148.12	106.13 W	0.00	18 of 48 - Sale <sup>25</sup>
17.000		27.45	07/06/20	96.84	69.39 W	0.00	19 of 48 - Sale <sup>25</sup>
83.000		134.03	07/06/20	359.46	225.43 W	0.00	20 of 48 - Sale <sup>25</sup>
24.000		38.76	07/06/20	103.94	65.18 W	0.00	21 of 48 - Sale <sup>25</sup>
76.000		122.73	07/06/20	329.14	206.41 W	0.00	22 of 48 - Sale <sup>25</sup>
7.000		11.30	07/06/20	30.32	19.02 W	0.00	23 of 48 - Sale <sup>25</sup>
10.000		16.15	07/06/20	43.42	27.27 W	0.00	24 of 48 - Sale <sup>25</sup>
17.000		27.45	07/06/20	76.50	49.05 W	0.00	25 of 48 - Sale <sup>25</sup>
5.000		8.07	07/06/20	30.48	22.41 W	0.00	26 of 48 - Sale <sup>25</sup>
11.000		17.76	07/06/20	67.03	49.27 W	0.00	27 of 48 - Sale <sup>25</sup>
13.000		20.99	07/06/20	79.56	58.57 W	0.00	28 of 48 - Sale <sup>25</sup>
36.000		58.13	07/06/20	219.45	161.32 W	0.00	29 of 48 - Sale <sup>25</sup>
12.000		19.38	07/06/20	72.56	53.18 W	0.00	30 of 48 - Sale <sup>25</sup>
23.000		37.14	07/06/20	139.31	102.17 W	0.00	31 of 48 - Sale <sup>25</sup>
7.000		11.30	07/06/20	42.39	31.09 W	0.00	32 of 48 - Sale <sup>25</sup>
10.000		16.15	07/06/20	60.55	44.40 W	0.00	33 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	15.000	24.22	07/06/20	90.78	66.56 W	0.00	34 of 48 - Sale <sup>25</sup>
	14.000	22.61	07/06/20	83.82	61.21 W	0.00	35 of 48 - Sale <sup>25</sup>
	21.000	33.91	07/06/20	125.11	91.20 W	0.00	36 of 48 - Sale <sup>25</sup>
	33.000	53.29	07/06/20	143.08	89.79 W	0.00	37 of 48 - Sale <sup>25</sup>
	17.000	27.45	07/06/20	71.71	44.26 W	0.00	38 of 48 - Sale <sup>25</sup>
	33.000	53.29	07/06/20	139.20	85.91 W	0.00	39 of 48 - Sale <sup>25</sup>
	50.000	80.74	07/06/20	210.93	130.19 W	0.00	40 of 48 - Sale <sup>25</sup>
	40.000	64.59	07/06/20	180.69	116.10 W	0.00	41 of 48 - Sale <sup>25</sup>
	43.000	69.44	07/06/20	192.72	123.28 W	0.00	42 of 48 - Sale <sup>25</sup>
	117.000	188.94	07/06/20	494.49	305.55 W	0.00	43 of 48 - Sale <sup>25</sup>
	200.000	322.97	07/07/20	456.88	133.91 W	0.00	44 of 48 - Sale <sup>25</sup>
	300.000	484.46	07/07/20	679.50	195.04 W	0.00	45 of 48 - Sale <sup>25</sup>
	100.000	161.49	07/07/20	348.56	187.07 W	0.00	46 of 48 - Sale <sup>25</sup>
	100.000	161.49	07/07/20	349.56	188.07 W	0.00	47 of 48 - Sale <sup>25</sup>
	300.000	484.46	07/07/20	1,053.13	568.67 W	0.00	48 of 48 - Sale <sup>25</sup>
07/30/20	2,000.000	3,229.68	Various	7,885.16	4,655.48 W	0.00	Total of 48 transactions
	52 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	1.000	1.52	07/15/20	6.25	4.73 W	0.00	1 of 52 - Sale <sup>25</sup>
	4.000	6.08	07/15/20	24.93	18.85 W	0.00	2 of 52 - Sale <sup>25</sup>
	15.000	22.80	07/15/20	93.49	70.69 W	0.00	3 of 52 - Sale <sup>25</sup>
	16.000	24.32	07/15/20	104.53	80.21 W	0.00	4 of 52 - Sale <sup>25</sup>
	20.000	30.40	07/15/20	130.66	100.26 W	0.00	5 of 52 - Sale <sup>25</sup>
	30.000	45.60	07/15/20	187.00	141.40 W	0.00	6 of 52 - Sale <sup>25</sup>
	14.000	21.28	07/15/20	88.01	66.73 W	0.00	7 of 52 - Sale <sup>25</sup>
	1.000	1.52	07/15/20	6.13	4.61 W	0.00	8 of 52 - Sale <sup>25</sup>
	4.000	6.08	07/15/20	24.43	18.35 W	0.00	9 of 52 - Sale <sup>25</sup>
	7.000	10.64	07/15/20	44.72	34.08 W	0.00	10 of 52 - Sale <sup>25</sup>
	11.000	16.72	07/15/20	71.74	55.02 W	0.00	11 of 52 - Sale <sup>25</sup>
	12.000	18.24	07/15/20	76.64	58.40 W	0.00	12 of 52 - Sale <sup>25</sup>
	32.000	48.64	07/15/20	204.40	155.76 W	0.00	13 of 52 - Sale <sup>25</sup>
	33.000	50.16	07/15/20	210.77	160.61 W	0.00	14 of 52 - Sale <sup>25</sup>
	12.000	18.24	07/16/20	77.66	59.42 W	0.00	15 of 52 - Sale <sup>25</sup>
	11.000	16.72	07/16/20	69.70	52.98 W	0.00	16 of 52 - Sale <sup>25</sup>
	17.000	25.84	07/16/20	106.82	80.98 W	0.00	17 of 52 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	17.000	25.84	07/16/20	107.64	81.80 W	0.00	18 of 52 - Sale <sup>25</sup>
	26.000	39.52	07/16/20	164.63	125.11 W	0.00	19 of 52 - Sale <sup>25</sup>
	17.000	25.84	07/16/20	84.42	58.58 W	0.00	20 of 52 - Sale <sup>25</sup>
	7.000	10.64	07/16/20	34.77	24.13 W	0.00	21 of 52 - Sale <sup>25</sup>
	10.000	15.20	07/16/20	49.77	34.57 W	0.00	22 of 52 - Sale <sup>25</sup>
	17.000	25.84	07/16/20	87.30	61.46 W	0.00	23 of 52 - Sale <sup>25</sup>
	24.000	36.48	07/16/20	119.18	82.70 W	0.00	24 of 52 - Sale <sup>25</sup>
	66.000	100.32	07/16/20	327.76	227.44 W	0.00	25 of 52 - Sale <sup>25</sup>
	76.000	115.52	07/16/20	377.41	261.89 W	0.00	26 of 52 - Sale <sup>25</sup>
	5.000	7.60	07/17/20	33.41	25.81 W	0.00	27 of 52 - Sale <sup>25</sup>
	11.000	16.72	07/17/20	73.47	56.75 W	0.00	28 of 52 - Sale <sup>25</sup>
	13.000	19.76	07/17/20	87.17	67.41 W	0.00	29 of 52 - Sale <sup>25</sup>
	36.000	54.72	07/17/20	240.52	185.80 W	0.00	30 of 52 - Sale <sup>25</sup>
	12.000	18.24	07/17/20	79.58	61.34 W	0.00	31 of 52 - Sale <sup>25</sup>
	23.000	34.96	07/17/20	152.77	117.81 W	0.00	32 of 52 - Sale <sup>25</sup>
	7.000	10.64	07/20/20	45.76	35.12 W	0.00	33 of 52 - Sale <sup>25</sup>
	10.000	15.20	07/20/20	65.35	50.15 W	0.00	34 of 52 - Sale <sup>25</sup>
	15.000	22.80	07/20/20	97.98	75.18 W	0.00	35 of 52 - Sale <sup>25</sup>
	14.000	21.28	07/20/20	90.54	69.26 W	0.00	36 of 52 - Sale <sup>25</sup>
	21.000	31.92	07/20/20	135.20	103.28 W	0.00	37 of 52 - Sale <sup>25</sup>
	33.000	50.16	07/20/20	158.92	108.76 W	0.00	38 of 52 - Sale <sup>25</sup>
	17.000	25.84	07/20/20	79.96	54.12 W	0.00	39 of 52 - Sale <sup>25</sup>
	33.000	50.16	07/20/20	155.21	105.05 W	0.00	40 of 52 - Sale <sup>25</sup>
	50.000	76.00	07/20/20	235.18	159.18 W	0.00	41 of 52 - Sale <sup>25</sup>
	100.000	152.01	07/20/20	471.14	319.13 W	0.00	42 of 52 - Sale <sup>25</sup>
	17.000	25.84	07/21/20	77.47	51.63 W	0.00	43 of 52 - Sale <sup>25</sup>
	40.000	60.80	07/21/20	193.90	133.10 W	0.00	44 of 52 - Sale <sup>25</sup>
	43.000	65.36	07/21/20	206.91	141.55 W	0.00	45 of 52 - Sale <sup>25</sup>
	100.000	152.01	07/21/20	385.57	233.56 W	0.00	46 of 52 - Sale <sup>25</sup>
	100.000	152.01	07/21/20	384.07	232.06 W	0.00	47 of 52 - Sale <sup>25</sup>
	161.000	244.73	07/21/20	620.75	376.02 W	0.00	48 of 52 - Sale <sup>25</sup>
	139.000	211.29	07/21/20	535.92	324.63 W	0.00	49 of 52 - Sale <sup>25</sup>
	100.000	152.01	07/21/20	263.46	111.45 W	0.00	50 of 52 - Sale <sup>25</sup>
	100.000	152.01	07/22/20	263.45	111.44 W	0.00	51 of 52 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
07/31/20	300.000	456.02	07/22/20	784.54	328.52 W	0.00	52 of 52 - Sale <sup>25</sup>
	2,000.000	3,040.09	Various	8,798.96	5,758.87 W	0.00	Total of 52 transactions
	69 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	1.000	1.83	07/22/20	6.70	4.87 W	0.00	1 of 69 - Sale <sup>25</sup>
	4.000	7.32	07/22/20	26.71	19.39 W	0.00	2 of 69 - Sale <sup>25</sup>
	15.000	27.45	07/22/20	100.16	72.71 W	0.00	3 of 69 - Sale <sup>25</sup>
	16.000	29.28	07/22/20	111.65	82.37 W	0.00	4 of 69 - Sale <sup>25</sup>
	20.000	36.60	07/22/20	139.56	102.96 W	0.00	5 of 69 - Sale <sup>25</sup>
	30.000	54.89	07/22/20	200.35	145.46 W	0.00	6 of 69 - Sale <sup>25</sup>
	1.000	1.83	07/22/20	6.57	4.74 W	0.00	7 of 69 - Sale <sup>25</sup>
	4.000	7.32	07/22/20	26.21	18.89 W	0.00	8 of 69 - Sale <sup>25</sup>
	14.000	25.62	07/22/20	94.24	68.62 W	0.00	9 of 69 - Sale <sup>25</sup>
	7.000	12.81	07/22/20	47.83	35.02 W	0.00	10 of 69 - Sale <sup>25</sup>
	11.000	20.13	07/22/20	76.64	56.51 W	0.00	11 of 69 - Sale <sup>25</sup>
	12.000	21.96	07/22/20	81.98	60.02 W	0.00	12 of 69 - Sale <sup>25</sup>
	32.000	58.55	07/22/20	218.64	160.09 W	0.00	13 of 69 - Sale <sup>25</sup>
	33.000	60.38	07/22/20	225.46	165.08 W	0.00	14 of 69 - Sale <sup>25</sup>
	12.000	21.96	07/22/20	82.76	60.80 W	0.00	15 of 69 - Sale <sup>25</sup>
	11.000	20.13	07/22/20	74.37	54.24 W	0.00	16 of 69 - Sale <sup>25</sup>
	17.000	31.11	07/22/20	114.05	82.94 W	0.00	17 of 69 - Sale <sup>25</sup>
	17.000	31.11	07/22/20	114.87	83.76 W	0.00	18 of 69 - Sale <sup>25</sup>
	26.000	47.58	07/22/20	175.68	128.10 W	0.00	19 of 69 - Sale <sup>25</sup>
	7.000	12.81	07/22/20	37.74	24.93 W	0.00	20 of 69 - Sale <sup>25</sup>
	10.000	18.30	07/22/20	54.02	35.72 W	0.00	21 of 69 - Sale <sup>25</sup>
	17.000	31.11	07/22/20	91.64	60.53 W	0.00	22 of 69 - Sale <sup>25</sup>
	17.000	31.11	07/22/20	94.53	63.42 W	0.00	23 of 69 - Sale <sup>25</sup>
	24.000	43.92	07/22/20	129.38	85.46 W	0.00	24 of 69 - Sale <sup>25</sup>
	66.000	120.77	07/22/20	355.81	235.04 W	0.00	25 of 69 - Sale <sup>25</sup>
	76.000	139.07	07/22/20	409.71	270.64 W	0.00	26 of 69 - Sale <sup>25</sup>
	5.000	9.15	07/22/20	35.34	26.19 W	0.00	27 of 69 - Sale <sup>25</sup>
	11.000	20.13	07/22/20	77.70	57.57 W	0.00	28 of 69 - Sale <sup>25</sup>
	13.000	23.79	07/22/20	92.18	68.39 W	0.00	29 of 69 - Sale <sup>25</sup>
	36.000	65.87	07/22/20	254.38	188.51 W	0.00	30 of 69 - Sale <sup>25</sup>
	12.000	21.96	07/22/20	84.20	62.24 W	0.00	31 of 69 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	23.000	42.08	07/22/20	161.62	119.54 W	0.00	32 of 69 - Sale <sup>25</sup>
	7.000	12.81	07/22/20	48.46	35.65 W	0.00	33 of 69 - Sale <sup>25</sup>
	10.000	18.30	07/22/20	69.20	50.90 W	0.00	34 of 69 - Sale <sup>25</sup>
	15.000	27.45	07/22/20	103.75	76.30 W	0.00	35 of 69 - Sale <sup>25</sup>
	14.000	25.62	07/22/20	95.93	70.31 W	0.00	36 of 69 - Sale <sup>25</sup>
	21.000	38.43	07/22/20	143.29	104.86 W	0.00	37 of 69 - Sale <sup>25</sup>
	17.000	31.11	07/22/20	86.51	55.40 W	0.00	38 of 69 - Sale <sup>25</sup>
	33.000	60.38	07/22/20	171.62	111.24 W	0.00	39 of 69 - Sale <sup>25</sup>
	33.000	60.38	07/22/20	167.92	107.54 W	0.00	40 of 69 - Sale <sup>25</sup>
	17.000	31.11	07/22/20	86.68	55.57 W	0.00	41 of 69 - Sale <sup>25</sup>
	33.000	60.38	07/22/20	168.24	107.86 W	0.00	42 of 69 - Sale <sup>25</sup>
	100.000	182.98	07/22/20	510.63	327.65 W	0.00	43 of 69 - Sale <sup>25</sup>
	17.000	31.11	07/22/20	84.19	53.08 W	0.00	44 of 69 - Sale <sup>25</sup>
	40.000	73.19	07/22/20	209.70	136.51 W	0.00	45 of 69 - Sale <sup>25</sup>
	43.000	78.68	07/22/20	223.89	145.21 W	0.00	46 of 69 - Sale <sup>25</sup>
	100.000	182.97	07/22/20	425.06	242.09 W	0.00	47 of 69 - Sale <sup>25</sup>
	100.000	182.97	07/22/20	423.56	240.59 W	0.00	48 of 69 - Sale <sup>25</sup>
	19.000	34.77	07/22/20	80.76	45.99 W	0.00	49 of 69 - Sale <sup>25</sup>
	139.000	254.35	07/22/20	590.81	336.46 W	0.00	50 of 69 - Sale <sup>25</sup>
	142.000	259.84	07/22/20	603.58	343.74 W	0.00	51 of 69 - Sale <sup>25</sup>
	100.000	182.98	07/23/20	295.95	112.97 W	0.00	52 of 69 - Sale <sup>25</sup>
	1.000	1.83	07/23/20	6.72	4.89 W	0.00	53 of 69 - Sale <sup>25</sup>
	4.000	7.32	07/23/20	26.77	19.45 W	0.00	54 of 69 - Sale <sup>25</sup>
	15.000	27.45	07/23/20	100.38	72.93 W	0.00	55 of 69 - Sale <sup>25</sup>
	16.000	29.28	07/23/20	111.89	82.61 W	0.00	56 of 69 - Sale <sup>25</sup>
	20.000	36.60	07/23/20	139.86	103.26 W	0.00	57 of 69 - Sale <sup>25</sup>
	30.000	54.89	07/23/20	200.81	145.92 W	0.00	58 of 69 - Sale <sup>25</sup>
	14.000	25.62	07/23/20	94.45	68.83 W	0.00	59 of 69 - Sale <sup>25</sup>
	100.000	182.98	07/23/20	295.94	112.96 W	0.00	60 of 69 - Sale <sup>25</sup>
	119.000	217.75	07/23/20	349.87	132.12 W	0.00	61 of 69 - Sale <sup>25</sup>
	181.000	331.20	07/23/20	532.15	200.95 W	0.00	62 of 69 - Sale <sup>25</sup>
	1.000	1.83	07/23/20	6.57	4.74 W	0.00	63 of 69 - Sale <sup>25</sup>
	4.000	7.32	07/23/20	26.19	18.87 W	0.00	64 of 69 - Sale <sup>25</sup>
	7.000	12.81	07/23/20	47.80	34.99 W	0.00	65 of 69 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	11.000	20.13	07/23/20	76.58	56.45 W	0.00	66 of 69 - Sale <sup>25</sup>
	12.000	21.96	07/23/20	81.92	59.96 W	0.00	67 of 69 - Sale <sup>25</sup>
	32.000	58.55	07/23/20	218.49	159.94 W	0.00	68 of 69 - Sale <sup>25</sup>
	33.000	60.38	07/23/20	225.30	164.92 W	0.00	69 of 69 - Sale <sup>25</sup>
08/03/20	2,200.000	4,025.64	Various	10,934.10	6,908.46 W	0.00	Total of 69 transactions
	5 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	300.000	525.73	07/29/20	510.00	...	15.73	1 of 5 - Sale <sup>25</sup>
	500.000	876.23	07/29/20	850.00	...	26.23	2 of 5 - Sale <sup>25</sup>
	500.000	876.22	07/29/20	837.50	...	38.72	3 of 5 - Sale <sup>25</sup>
	500.000	876.23	07/29/20	837.50	...	38.73	4 of 5 - Sale <sup>25</sup>
	400.000	700.98	07/30/20	646.00	...	54.98	5 of 5 - Sale <sup>25</sup>
08/04/20	2,200.000	3,855.39	Various	3,681.00	...	174.39	Total of 5 transactions
	61 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	12.000	21.03	07/23/20	82.70	61.67 W	0.00	1 of 61 - Sale <sup>25</sup>
	11.000	19.28	07/23/20	74.32	55.04 W	0.00	2 of 61 - Sale <sup>25</sup>
	17.000	29.79	07/23/20	113.96	84.17 W	0.00	3 of 61 - Sale <sup>25</sup>
	17.000	29.79	07/23/20	114.79	85.00 W	0.00	4 of 61 - Sale <sup>25</sup>
	26.000	45.56	07/23/20	175.55	129.99 W	0.00	5 of 61 - Sale <sup>25</sup>
	7.000	12.27	07/23/20	37.71	25.44 W	0.00	6 of 61 - Sale <sup>25</sup>
	10.000	17.52	07/23/20	53.97	36.45 W	0.00	7 of 61 - Sale <sup>25</sup>
	17.000	29.79	07/23/20	91.55	61.76 W	0.00	8 of 61 - Sale <sup>25</sup>
	17.000	29.79	07/23/20	94.44	64.65 W	0.00	9 of 61 - Sale <sup>25</sup>
	24.000	42.06	07/23/20	129.26	87.20 W	0.00	10 of 61 - Sale <sup>25</sup>
	66.000	115.66	07/23/20	355.49	239.83 W	0.00	11 of 61 - Sale <sup>25</sup>
	76.000	133.19	07/23/20	409.34	276.15 W	0.00	12 of 61 - Sale <sup>25</sup>
	7.000	12.27	07/24/20	48.08	35.81 W	0.00	13 of 61 - Sale <sup>25</sup>
	10.000	17.52	07/24/20	68.65	51.13 W	0.00	14 of 61 - Sale <sup>25</sup>
	15.000	26.29	07/24/20	102.92	76.63 W	0.00	15 of 61 - Sale <sup>25</sup>
	14.000	24.53	07/24/20	95.16	70.63 W	0.00	16 of 61 - Sale <sup>25</sup>
	21.000	36.80	07/24/20	142.14	105.34 W	0.00	17 of 61 - Sale <sup>25</sup>
	33.000	57.83	07/24/20	169.81	111.98 W	0.00	18 of 61 - Sale <sup>25</sup>
	5.000	8.76	07/24/20	35.02	26.26 W	0.00	19 of 61 - Sale <sup>25</sup>
	11.000	19.28	07/24/20	76.98	57.70 W	0.00	20 of 61 - Sale <sup>25</sup>
	13.000	22.78	07/24/20	91.34	68.56 W	0.00	21 of 61 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	36.000	63.09	07/24/20	252.05	188.96 W	0.00	22 of 61 - Sale <sup>25</sup>
	12.000	21.03	07/24/20	83.42	62.39 W	0.00	23 of 61 - Sale <sup>25</sup>
	23.000	40.31	07/24/20	160.13	119.82 W	0.00	24 of 61 - Sale <sup>25</sup>
	17.000	29.79	07/27/20	85.41	55.62 W	0.00	25 of 61 - Sale <sup>25</sup>
	17.000	29.79	07/27/20	85.58	55.79 W	0.00	26 of 61 - Sale <sup>25</sup>
	33.000	57.83	07/27/20	165.78	107.95 W	0.00	27 of 61 - Sale <sup>25</sup>
	33.000	57.83	07/27/20	166.10	108.27 W	0.00	28 of 61 - Sale <sup>25</sup>
	100.000	175.24	07/27/20	504.15	328.91 W	0.00	29 of 61 - Sale <sup>25</sup>
	17.000	29.79	07/27/20	83.09	53.30 W	0.00	30 of 61 - Sale <sup>25</sup>
	40.000	70.10	07/27/20	207.11	137.01 W	0.00	31 of 61 - Sale <sup>25</sup>
	43.000	75.36	07/27/20	221.10	145.74 W	0.00	32 of 61 - Sale <sup>25</sup>
	69.000	120.92	07/27/20	286.07	165.15 W	0.00	33 of 61 - Sale <sup>25</sup>
	30.000	52.57	07/27/20	124.38	71.81 W	0.00	34 of 61 - Sale <sup>25</sup>
	1.000	1.75	07/27/20	4.15	2.40 W	0.00	35 of 61 - Sale <sup>25</sup>
	99.000	173.49	07/27/20	408.95	235.46 W	0.00	36 of 61 - Sale <sup>25</sup>
	1.000	1.75	07/27/20	4.14	2.39 W	0.00	37 of 61 - Sale <sup>25</sup>
	19.000	33.30	07/27/20	78.76	45.46 W	0.00	38 of 61 - Sale <sup>25</sup>
	22.000	38.55	07/27/20	91.21	52.66 W	0.00	39 of 61 - Sale <sup>25</sup>
	9.000	15.77	07/27/20	37.32	21.55 W	0.00	40 of 61 - Sale <sup>25</sup>
	111.000	194.52	07/27/20	459.61	265.09 W	0.00	41 of 61 - Sale <sup>25</sup>
	139.000	243.59	07/27/20	575.54	331.95 W	0.00	42 of 61 - Sale <sup>25</sup>
	1.000	1.75	07/28/20	6.52	4.77 W	0.00	43 of 61 - Sale <sup>25</sup>
	4.000	7.01	07/28/20	25.95	18.94 W	0.00	44 of 61 - Sale <sup>25</sup>
	15.000	26.29	07/28/20	97.30	71.01 W	0.00	45 of 61 - Sale <sup>25</sup>
	16.000	28.04	07/28/20	108.61	80.57 W	0.00	46 of 61 - Sale <sup>25</sup>
	20.000	35.05	07/28/20	135.76	100.71 W	0.00	47 of 61 - Sale <sup>25</sup>
	30.000	52.57	07/28/20	194.67	142.10 W	0.00	48 of 61 - Sale <sup>25</sup>
	14.000	24.53	07/28/20	91.58	67.05 W	0.00	49 of 61 - Sale <sup>25</sup>
	100.000	175.24	07/28/20	275.47	100.23 W	0.00	50 of 61 - Sale <sup>25</sup>
	81.000	141.95	07/28/20	230.06	88.11 W	0.00	51 of 61 - Sale <sup>25</sup>
	100.000	175.24	07/28/20	285.96	110.72 W	0.00	52 of 61 - Sale <sup>25</sup>
	119.000	208.54	07/28/20	337.99	129.45 W	0.00	53 of 61 - Sale <sup>25</sup>
	1.000	1.75	07/29/20	6.44	4.69 W	0.00	54 of 61 - Sale <sup>25</sup>
	4.000	7.01	07/29/20	25.67	18.66 W	0.00	55 of 61 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	7.000	12.27	07/29/20	46.89	34.62 W	0.00	56 of 61 - Sale <sup>25</sup>
	11.000	19.28	07/29/20	75.15	55.87 W	0.00	57 of 61 - Sale <sup>25</sup>
	12.000	21.03	07/29/20	80.36	59.33 W	0.00	58 of 61 - Sale <sup>25</sup>
	32.000	56.08	07/29/20	214.34	158.26 W	0.00	59 of 61 - Sale <sup>25</sup>
	33.000	57.83	07/29/20	221.02	163.19 W	0.00	60 of 61 - Sale <sup>25</sup>
	100.000	175.24	07/29/20	281.02	105.78 W	0.00	61 of 61 - Sale <sup>25</sup>
08/04/20	2,000.000	3,504.86	Various	9,387.99	5,883.13 W	0.00	Total of 61 transactions
	99 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	12.000	19.56	07/30/20	81.05	61.49 W	0.00	1 of 99 - Sale <sup>25</sup>
	11.000	17.93	07/30/20	72.81	54.88 W	0.00	2 of 99 - Sale <sup>25</sup>
	17.000	27.71	07/30/20	111.62	83.91 W	0.00	3 of 99 - Sale <sup>25</sup>
	17.000	27.71	07/30/20	112.46	84.75 W	0.00	4 of 99 - Sale <sup>25</sup>
	26.000	42.38	07/30/20	171.98	129.60 W	0.00	5 of 99 - Sale <sup>25</sup>
	17.000	27.70	07/30/20	89.21	61.51 W	0.00	6 of 99 - Sale <sup>25</sup>
	1.000	1.63	07/30/20	6.86	5.23 W	0.00	7 of 99 - Sale <sup>25</sup>
	13.000	21.19	07/30/20	89.56	68.37 W	0.00	8 of 99 - Sale <sup>25</sup>
	36.000	58.67	07/30/20	247.10	188.43 W	0.00	9 of 99 - Sale <sup>25</sup>
	5.000	8.15	07/30/20	34.34	26.19 W	0.00	10 of 99 - Sale <sup>25</sup>
	10.000	16.30	07/30/20	68.60	52.30 W	0.00	11 of 99 - Sale <sup>25</sup>
	12.000	19.56	07/30/20	81.77	62.21 W	0.00	12 of 99 - Sale <sup>25</sup>
	23.000	37.49	07/30/20	156.96	119.47 W	0.00	13 of 99 - Sale <sup>25</sup>
	7.000	11.41	07/30/20	47.12	35.71 W	0.00	14 of 99 - Sale <sup>25</sup>
	10.000	16.30	07/30/20	67.28	50.98 W	0.00	15 of 99 - Sale <sup>25</sup>
	15.000	24.45	07/30/20	100.85	76.40 W	0.00	16 of 99 - Sale <sup>25</sup>
	14.000	22.82	07/30/20	93.24	70.42 W	0.00	17 of 99 - Sale <sup>25</sup>
	21.000	34.23	07/30/20	139.26	105.03 W	0.00	18 of 99 - Sale <sup>25</sup>
	7.000	11.41	07/30/20	36.75	25.34 W	0.00	19 of 99 - Sale <sup>25</sup>
	10.000	16.30	07/30/20	52.60	36.30 W	0.00	20 of 99 - Sale <sup>25</sup>
	17.000	27.71	07/30/20	92.10	64.39 W	0.00	21 of 99 - Sale <sup>25</sup>
	24.000	39.12	07/30/20	125.96	86.84 W	0.00	22 of 99 - Sale <sup>25</sup>
	66.000	107.57	07/30/20	346.42	238.85 W	0.00	23 of 99 - Sale <sup>25</sup>
	76.000	123.87	07/30/20	398.89	275.02 W	0.00	24 of 99 - Sale <sup>25</sup>
	33.000	53.78	07/30/20	165.27	111.49 W	0.00	25 of 99 - Sale <sup>25</sup>
	17.000	27.71	07/30/20	83.08	55.37 W	0.00	26 of 99 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
17.000		27.71	07/30/20	83.25	55.54 W	0.00	27 of 99 - Sale <sup>25</sup>
33.000		53.78	07/30/20	161.24	107.46 W	0.00	28 of 99 - Sale <sup>25</sup>
33.000		53.78	07/30/20	161.56	107.78 W	0.00	29 of 99 - Sale <sup>25</sup>
100.000		162.98	07/30/20	490.41	327.43 W	0.00	30 of 99 - Sale <sup>25</sup>
17.000		27.71	07/30/20	80.76	53.05 W	0.00	31 of 99 - Sale <sup>25</sup>
40.000		65.19	07/30/20	201.61	136.42 W	0.00	32 of 99 - Sale <sup>25</sup>
43.000		70.08	07/30/20	215.18	145.10 W	0.00	33 of 99 - Sale <sup>25</sup>
1.000		1.63	07/30/20	4.02	2.39 W	0.00	34 of 99 - Sale <sup>25</sup>
1.000		1.63	07/30/20	4.01	2.38 W	0.00	35 of 99 - Sale <sup>25</sup>
9.000		14.67	07/30/20	36.09	21.42 W	0.00	36 of 99 - Sale <sup>25</sup>
19.000		30.97	07/30/20	76.14	45.17 W	0.00	37 of 99 - Sale <sup>25</sup>
22.000		35.85	07/30/20	88.19	52.34 W	0.00	38 of 99 - Sale <sup>25</sup>
30.000		48.89	07/30/20	120.26	71.37 W	0.00	39 of 99 - Sale <sup>25</sup>
50.000		81.49	07/30/20	200.16	118.67 W	0.00	40 of 99 - Sale <sup>25</sup>
69.000		112.46	07/30/20	276.58	164.12 W	0.00	41 of 99 - Sale <sup>25</sup>
99.000		161.35	07/30/20	395.34	233.99 W	0.00	42 of 99 - Sale <sup>25</sup>
1.000		1.63	07/30/20	6.39	4.76 W	0.00	43 of 99 - Sale <sup>25</sup>
4.000		6.52	07/30/20	25.40	18.88 W	0.00	44 of 99 - Sale <sup>25</sup>
15.000		24.45	07/30/20	95.23	70.78 W	0.00	45 of 99 - Sale <sup>25</sup>
30.000		48.89	07/30/20	190.55	141.66 W	0.00	46 of 99 - Sale <sup>25</sup>
16.000		26.08	07/30/20	106.41	80.33 W	0.00	47 of 99 - Sale <sup>25</sup>
20.000		32.60	07/30/20	133.01	100.41 W	0.00	48 of 99 - Sale <sup>25</sup>
14.000		22.82	07/30/20	89.66	66.84 W	0.00	49 of 99 - Sale <sup>25</sup>
61.000		99.42	07/30/20	244.20	144.78 W	0.00	50 of 99 - Sale <sup>25</sup>
20.000		32.60	07/30/20	80.06	47.46 W	0.00	51 of 99 - Sale <sup>25</sup>
119.000		193.95	07/30/20	476.37	282.42 W	0.00	52 of 99 - Sale <sup>25</sup>
100.000		162.98	07/30/20	261.73	98.75 W	0.00	53 of 99 - Sale <sup>25</sup>
100.000		162.98	07/30/20	272.22	109.24 W	0.00	54 of 99 - Sale <sup>25</sup>
1.000		1.63	07/31/20	6.29	4.66 W	0.00	55 of 99 - Sale <sup>25</sup>
4.000		6.52	07/31/20	24.98	18.46 W	0.00	56 of 99 - Sale <sup>25</sup>
15.000		24.45	07/31/20	93.66	69.21 W	0.00	57 of 99 - Sale <sup>25</sup>
16.000		26.08	07/31/20	104.73	78.65 W	0.00	58 of 99 - Sale <sup>25</sup>
20.000		32.60	07/31/20	130.92	98.32 W	0.00	59 of 99 - Sale <sup>25</sup>
30.000		48.90	07/31/20	187.42	138.52 W	0.00	60 of 99 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
14.000		22.82	07/31/20	88.19	65.37 W	0.00	61 of 99 - Sale <sup>25</sup>
1.000		1.63	07/31/20	6.22	4.59 W	0.00	62 of 99 - Sale <sup>25</sup>
4.000		6.52	07/31/20	24.76	18.24 W	0.00	63 of 99 - Sale <sup>25</sup>
7.000		11.41	07/31/20	45.30	33.89 W	0.00	64 of 99 - Sale <sup>25</sup>
11.000		17.93	07/31/20	72.65	54.72 W	0.00	65 of 99 - Sale <sup>25</sup>
12.000		19.56	07/31/20	77.63	58.07 W	0.00	66 of 99 - Sale <sup>25</sup>
12.000		19.56	07/31/20	79.79	60.23 W	0.00	67 of 99 - Sale <sup>25</sup>
32.000		52.15	07/31/20	207.07	154.92 W	0.00	68 of 99 - Sale <sup>25</sup>
33.000		53.78	07/31/20	213.52	159.74 W	0.00	69 of 99 - Sale <sup>25</sup>
1.000		1.63	07/31/20	6.76	5.13 W	0.00	70 of 99 - Sale <sup>25</sup>
5.000		8.15	07/31/20	33.82	25.67 W	0.00	71 of 99 - Sale <sup>25</sup>
10.000		16.29	07/31/20	67.55	51.26 W	0.00	72 of 99 - Sale <sup>25</sup>
13.000		21.19	07/31/20	88.20	67.01 W	0.00	73 of 99 - Sale <sup>25</sup>
36.000		58.67	07/31/20	243.34	184.67 W	0.00	74 of 99 - Sale <sup>25</sup>
4.000		6.52	07/31/20	26.06	19.54 W	0.00	75 of 99 - Sale <sup>25</sup>
7.000		11.41	07/31/20	45.60	34.19 W	0.00	76 of 99 - Sale <sup>25</sup>
17.000		27.71	07/31/20	109.84	82.13 W	0.00	77 of 99 - Sale <sup>25</sup>
17.000		27.71	07/31/20	110.68	82.97 W	0.00	78 of 99 - Sale <sup>25</sup>
26.000		42.38	07/31/20	169.26	126.88 W	0.00	79 of 99 - Sale <sup>25</sup>
12.000		19.56	07/31/20	80.51	60.95 W	0.00	80 of 99 - Sale <sup>25</sup>
23.000		37.49	07/31/20	154.55	117.06 W	0.00	81 of 99 - Sale <sup>25</sup>
7.000		11.41	07/31/20	46.39	34.98 W	0.00	82 of 99 - Sale <sup>25</sup>
10.000		16.30	07/31/20	66.23	49.93 W	0.00	83 of 99 - Sale <sup>25</sup>
15.000		24.45	07/31/20	99.28	74.83 W	0.00	84 of 99 - Sale <sup>25</sup>
14.000		22.82	07/31/20	91.77	68.95 W	0.00	85 of 99 - Sale <sup>25</sup>
21.000		34.23	07/31/20	137.06	102.83 W	0.00	86 of 99 - Sale <sup>25</sup>
7.000		11.41	07/31/20	36.02	24.61 W	0.00	87 of 99 - Sale <sup>25</sup>
10.000		16.30	07/31/20	51.55	35.25 W	0.00	88 of 99 - Sale <sup>25</sup>
17.000		27.71	07/31/20	87.44	59.73 W	0.00	89 of 99 - Sale <sup>25</sup>
17.000		27.71	07/31/20	90.32	62.61 W	0.00	90 of 99 - Sale <sup>25</sup>
24.000		39.11	07/31/20	123.45	84.34 W	0.00	91 of 99 - Sale <sup>25</sup>
66.000		107.56	07/31/20	339.52	231.96 W	0.00	92 of 99 - Sale <sup>25</sup>
76.000		123.86	07/31/20	390.94	267.08 W	0.00	93 of 99 - Sale <sup>25</sup>
33.000		53.78	07/31/20	161.83	108.05 W	0.00	94 of 99 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	17.000	27.71	07/31/20	81.30	53.59 W	0.00	95 of 99 - Sale <sup>25</sup>
	17.000	27.71	07/31/20	81.47	53.76 W	0.00	96 of 99 - Sale <sup>25</sup>
	33.000	53.78	07/31/20	157.79	104.01 W	0.00	97 of 99 - Sale <sup>25</sup>
	33.000	53.78	07/31/20	158.12	104.34 W	0.00	98 of 99 - Sale <sup>25</sup>
	100.000	162.98	07/31/20	479.96	316.98 W	0.00	99 of 99 - Sale <sup>25</sup>
08/05/20	2,500.000	4,074.61	Various	12,828.91	8,754.30 W	0.00	Total of 99 transactions
132 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	17.000	26.76	07/31/20	78.98	52.22 W	0.00	1 of 132 - Sale <sup>25</sup>
	40.000	62.96	07/31/20	197.43	134.47 W	0.00	2 of 132 - Sale <sup>25</sup>
	43.000	67.68	07/31/20	210.69	143.01 W	0.00	3 of 132 - Sale <sup>25</sup>
	1.000	1.57	07/31/20	3.92	2.35 W	0.00	4 of 132 - Sale <sup>25</sup>
	1.000	1.57	07/31/20	3.91	2.34 W	0.00	5 of 132 - Sale <sup>25</sup>
	9.000	14.17	07/31/20	35.15	20.98 W	0.00	6 of 132 - Sale <sup>25</sup>
	19.000	29.91	07/31/20	74.15	44.24 W	0.00	7 of 132 - Sale <sup>25</sup>
	20.000	31.48	07/31/20	77.97	46.49 W	0.00	8 of 132 - Sale <sup>25</sup>
	22.000	34.63	07/31/20	85.90	51.27 W	0.00	9 of 132 - Sale <sup>25</sup>
	30.000	47.22	07/31/20	117.13	69.91 W	0.00	10 of 132 - Sale <sup>25</sup>
	50.000	78.70	07/31/20	194.93	116.23 W	0.00	11 of 132 - Sale <sup>25</sup>
	61.000	96.02	07/31/20	237.82	141.80 W	0.00	12 of 132 - Sale <sup>25</sup>
	69.000	108.61	07/31/20	269.37	160.76 W	0.00	13 of 132 - Sale <sup>25</sup>
	99.000	155.83	07/31/20	384.99	229.16 W	0.00	14 of 132 - Sale <sup>25</sup>
	119.000	187.31	07/31/20	463.93	276.62 W	0.00	15 of 132 - Sale <sup>25</sup>
	100.000	157.40	07/31/20	251.28	93.88 W	0.00	16 of 132 - Sale <sup>25</sup>
	100.000	157.40	07/31/20	261.76	104.36 W	0.00	17 of 132 - Sale <sup>25</sup>
	81.000	127.50	07/31/20	211.66	84.16 W	0.00	18 of 132 - Sale <sup>25</sup>
	119.000	187.31	07/31/20	310.96	123.65 W	0.00	19 of 132 - Sale <sup>25</sup>
	100.000	157.41	07/31/20	258.31	100.90 W	0.00	20 of 132 - Sale <sup>25</sup>
	1.000	1.57	07/31/20	6.12	4.55 W	0.00	21 of 132 - Sale <sup>25</sup>
	4.000	6.30	07/31/20	24.28	17.98 W	0.00	22 of 132 - Sale <sup>25</sup>
	15.000	23.61	07/31/20	91.03	67.42 W	0.00	23 of 132 - Sale <sup>25</sup>
	16.000	25.18	07/31/20	101.93	76.75 W	0.00	24 of 132 - Sale <sup>25</sup>
	20.000	31.48	07/31/20	127.42	95.94 W	0.00	25 of 132 - Sale <sup>25</sup>
	30.000	47.22	07/31/20	182.17	134.95 W	0.00	26 of 132 - Sale <sup>25</sup>
	14.000	22.04	07/31/20	85.74	63.70 W	0.00	27 of 132 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.57	07/31/20	6.05	4.48 W	0.00	28 of 132 - Sale <sup>25</sup>
	4.000	6.30	07/31/20	24.06	17.76 W	0.00	29 of 132 - Sale <sup>25</sup>
	7.000	11.02	07/31/20	44.08	33.06 W	0.00	30 of 132 - Sale <sup>25</sup>
	11.000	17.31	07/31/20	70.72	53.41 W	0.00	31 of 132 - Sale <sup>25</sup>
	12.000	18.89	07/31/20	75.53	56.64 W	0.00	32 of 132 - Sale <sup>25</sup>
	32.000	50.37	07/31/20	201.48	151.11 W	0.00	33 of 132 - Sale <sup>25</sup>
	33.000	51.94	07/31/20	207.75	155.81 W	0.00	34 of 132 - Sale <sup>25</sup>
	12.000	18.89	08/03/20	82.14	63.25 W	0.00	35 of 132 - Sale <sup>25</sup>
	1.000	1.57	08/03/20	6.96	5.39 W	0.00	36 of 132 - Sale <sup>25</sup>
	5.000	7.87	08/03/20	34.80	26.93 W	0.00	37 of 132 - Sale <sup>25</sup>
	10.000	15.74	08/03/20	69.52	53.78 W	0.00	38 of 132 - Sale <sup>25</sup>
	13.000	20.46	08/03/20	90.75	70.29 W	0.00	39 of 132 - Sale <sup>25</sup>
	36.000	56.67	08/03/20	250.40	193.73 W	0.00	40 of 132 - Sale <sup>25</sup>
	4.000	6.30	08/03/20	26.84	20.54 W	0.00	41 of 132 - Sale <sup>25</sup>
	7.000	11.02	08/03/20	46.97	35.95 W	0.00	42 of 132 - Sale <sup>25</sup>
	17.000	26.76	08/03/20	113.17	86.41 W	0.00	43 of 132 - Sale <sup>25</sup>
	17.000	26.76	08/03/20	114.01	87.25 W	0.00	44 of 132 - Sale <sup>25</sup>
	26.000	40.93	08/03/20	174.35	133.42 W	0.00	45 of 132 - Sale <sup>25</sup>
	12.000	18.89	08/03/20	82.86	63.97 W	0.00	46 of 132 - Sale <sup>25</sup>
	23.000	36.20	08/03/20	159.06	122.86 W	0.00	47 of 132 - Sale <sup>25</sup>
	7.000	11.02	08/03/20	47.76	36.74 W	0.00	48 of 132 - Sale <sup>25</sup>
	10.000	15.74	08/03/20	68.19	52.45 W	0.00	49 of 132 - Sale <sup>25</sup>
	15.000	23.61	08/03/20	102.22	78.61 W	0.00	50 of 132 - Sale <sup>25</sup>
	14.000	22.04	08/03/20	94.51	72.47 W	0.00	51 of 132 - Sale <sup>25</sup>
	21.000	33.05	08/03/20	141.17	108.12 W	0.00	52 of 132 - Sale <sup>25</sup>
	7.000	11.02	08/03/20	37.39	26.37 W	0.00	53 of 132 - Sale <sup>25</sup>
	17.000	26.76	08/03/20	90.77	64.01 W	0.00	54 of 132 - Sale <sup>25</sup>
	10.000	15.74	08/03/20	55.09	39.35 W	0.00	55 of 132 - Sale <sup>25</sup>
	24.000	37.78	08/03/20	128.16	90.38 W	0.00	56 of 132 - Sale <sup>25</sup>
	66.000	103.89	08/03/20	352.47	248.58 W	0.00	57 of 132 - Sale <sup>25</sup>
	76.000	119.62	08/03/20	405.85	286.23 W	0.00	58 of 132 - Sale <sup>25</sup>
	1.000	1.57	08/03/20	6.38	4.81 W	0.00	59 of 132 - Sale <sup>25</sup>
	4.000	6.28	08/03/20	25.28	19.00 W	0.00	60 of 132 - Sale <sup>25</sup>
	15.000	23.55	08/03/20	94.81	71.26 W	0.00	61 of 132 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	16.000	25.12	08/03/20	105.96	80.84 W	0.00	62 of 132 - Sale <sup>25</sup>
	20.000	31.39	08/03/20	132.46	101.07 W	0.00	63 of 132 - Sale <sup>25</sup>
	30.000	47.10	08/03/20	189.73	142.63 W	0.00	64 of 132 - Sale <sup>25</sup>
	14.000	21.98	08/03/20	89.26	67.28 W	0.00	65 of 132 - Sale <sup>25</sup>
	1.000	1.57	08/03/20	6.31	4.74 W	0.00	66 of 132 - Sale <sup>25</sup>
	2.000	3.14	08/03/20	12.53	9.39 W	0.00	67 of 132 - Sale <sup>25</sup>
	2.000	3.14	08/03/20	12.53	9.39 W	0.00	68 of 132 - Sale <sup>25</sup>
	7.000	10.99	08/03/20	45.84	34.85 W	0.00	69 of 132 - Sale <sup>25</sup>
	11.000	17.27	08/03/20	73.50	56.23 W	0.00	70 of 132 - Sale <sup>25</sup>
	12.000	18.84	08/03/20	78.55	59.71 W	0.00	71 of 132 - Sale <sup>25</sup>
	32.000	50.23	08/03/20	209.54	159.31 W	0.00	72 of 132 - Sale <sup>25</sup>
	33.000	51.81	08/03/20	216.06	164.25 W	0.00	73 of 132 - Sale <sup>25</sup>
	10.000	15.70	08/03/20	53.51	37.81 W	0.00	74 of 132 - Sale <sup>25</sup>
	7.000	10.99	08/03/20	38.56	27.57 W	0.00	75 of 132 - Sale <sup>25</sup>
	17.000	26.69	08/03/20	83.26	56.57 W	0.00	76 of 132 - Sale <sup>25</sup>
	40.000	62.79	08/03/20	207.51	144.72 W	0.00	77 of 132 - Sale <sup>25</sup>
	43.000	67.50	08/03/20	221.52	154.02 W	0.00	78 of 132 - Sale <sup>25</sup>
	17.000	26.69	08/03/20	70.66	43.97 W	0.00	79 of 132 - Sale <sup>25</sup>
	69.000	108.32	08/03/20	286.75	178.43 W	0.00	80 of 132 - Sale <sup>25</sup>
	1.000	1.57	08/03/20	4.18	2.61 W	0.00	81 of 132 - Sale <sup>25</sup>
	1.000	1.57	08/03/20	4.17	2.60 W	0.00	82 of 132 - Sale <sup>25</sup>
	9.000	14.13	08/03/20	37.41	23.28 W	0.00	83 of 132 - Sale <sup>25</sup>
	19.000	29.83	08/03/20	78.93	49.10 W	0.00	84 of 132 - Sale <sup>25</sup>
	20.000	31.40	08/03/20	83.01	51.61 W	0.00	85 of 132 - Sale <sup>25</sup>
	22.000	34.54	08/03/20	91.44	56.90 W	0.00	86 of 132 - Sale <sup>25</sup>
	13.000	20.41	08/03/20	54.03	33.62 W	0.00	87 of 132 - Sale <sup>25</sup>
	50.000	78.49	08/03/20	207.53	129.04 W	0.00	88 of 132 - Sale <sup>25</sup>
	61.000	95.76	08/03/20	253.18	157.42 W	0.00	89 of 132 - Sale <sup>25</sup>
	99.000	155.41	08/03/20	409.92	254.51 W	0.00	90 of 132 - Sale <sup>25</sup>
	119.000	186.81	08/03/20	493.90	307.09 W	0.00	91 of 132 - Sale <sup>25</sup>
	33.000	51.80	08/03/20	168.30	116.50 W	0.00	92 of 132 - Sale <sup>25</sup>
	17.000	26.69	08/03/20	84.63	57.94 W	0.00	93 of 132 - Sale <sup>25</sup>
	3.000	4.71	08/03/20	14.96	10.25 W	0.00	94 of 132 - Sale <sup>25</sup>
	33.000	51.80	08/03/20	164.26	112.46 W	0.00	95 of 132 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	5.000	7.85	08/03/20	24.94	17.09 W	0.00	96 of 132 - Sale <sup>25</sup>
	9.000	14.13	08/03/20	44.90	30.77 W	0.00	97 of 132 - Sale <sup>25</sup>
	1.000	1.57	08/03/20	4.99	3.42 W	0.00	98 of 132 - Sale <sup>25</sup>
	32.000	50.24	08/03/20	159.61	109.37 W	0.00	99 of 132 - Sale <sup>25</sup>
	68.000	106.75	08/03/20	339.71	232.96 W	0.00	100 of 132 - Sale <sup>25</sup>
	1.000	1.57	08/03/20	5.00	3.43 W	0.00	101 of 132 - Sale <sup>25</sup>
	31.000	48.67	08/03/20	154.86	106.19 W	0.00	102 of 132 - Sale <sup>25</sup>
	100.000	156.99	08/03/20	276.47	119.48 W	0.00	103 of 132 - Sale <sup>25</sup>
	36.000	56.51	08/03/20	103.30	46.79 W	0.00	104 of 132 - Sale <sup>25</sup>
	32.000	50.24	08/03/20	91.83	41.59 W	0.00	105 of 132 - Sale <sup>25</sup>
	16.000	25.12	08/03/20	45.91	20.79 W	0.00	106 of 132 - Sale <sup>25</sup>
	16.000	25.12	08/03/20	45.91	20.79 W	0.00	107 of 132 - Sale <sup>25</sup>
	4.000	6.28	08/03/20	11.46	5.18 W	0.00	108 of 132 - Sale <sup>25</sup>
	50.000	78.50	08/03/20	143.25	64.75 W	0.00	109 of 132 - Sale <sup>25</sup>
	81.000	127.16	08/03/20	232.06	104.90 W	0.00	110 of 132 - Sale <sup>25</sup>
	65.000	102.04	08/03/20	186.22	84.18 W	0.00	111 of 132 - Sale <sup>25</sup>
	100.000	156.98	08/03/20	283.49	126.51 W	0.00	112 of 132 - Sale <sup>25</sup>
	200.000	313.97	08/03/20	365.18	51.21 W	0.00	113 of 132 - Sale <sup>25</sup>
	1.000	1.57	08/04/20	6.57	5.00 W	0.00	114 of 132 - Sale <sup>25</sup>
	4.000	6.28	08/04/20	26.02	19.74 W	0.00	115 of 132 - Sale <sup>25</sup>
	15.000	23.55	08/04/20	97.58	74.03 W	0.00	116 of 132 - Sale <sup>25</sup>
	30.000	47.09	08/04/20	195.28	148.19 W	0.00	117 of 132 - Sale <sup>25</sup>
	12.000	18.84	08/04/20	84.31	65.47 W	0.00	118 of 132 - Sale <sup>25</sup>
	1.000	1.57	08/04/20	7.14	5.57 W	0.00	119 of 132 - Sale <sup>25</sup>
	5.000	7.85	08/04/20	35.71	27.86 W	0.00	120 of 132 - Sale <sup>25</sup>
	10.000	15.70	08/04/20	71.33	55.63 W	0.00	121 of 132 - Sale <sup>25</sup>
	13.000	20.41	08/04/20	93.11	72.70 W	0.00	122 of 132 - Sale <sup>25</sup>
	36.000	56.51	08/04/20	256.91	200.40 W	0.00	123 of 132 - Sale <sup>25</sup>
	4.000	6.28	08/04/20	27.56	21.28 W	0.00	124 of 132 - Sale <sup>25</sup>
	7.000	10.99	08/04/20	48.23	37.24 W	0.00	125 of 132 - Sale <sup>25</sup>
	17.000	26.69	08/04/20	116.25	89.56 W	0.00	126 of 132 - Sale <sup>25</sup>
	17.000	26.69	08/04/20	117.08	90.39 W	0.00	127 of 132 - Sale <sup>25</sup>
	26.000	40.81	08/04/20	179.05	138.24 W	0.00	128 of 132 - Sale <sup>25</sup>
	12.000	18.84	08/04/20	85.03	66.19 W	0.00	129 of 132 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	23.000	36.10	08/04/20	163.23	127.13 W	0.00	130 of 132 - Sale <sup>25</sup>
	7.000	10.99	08/04/20	49.02	38.03 W	0.00	131 of 132 - Sale <sup>25</sup>
	10.000	15.70	08/04/20	70.00	54.30 W	0.00	132 of 132 - Sale <sup>25</sup>
08/12/20	3,750.000	5,894.30	Various	16,412.87	10,518.57 W	0.00	Total of 132 transactions
	78 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	15.000	23.02	08/04/20	104.94	81.92 W	0.00	1 of 78 - Sale <sup>25</sup>
	14.000	21.49	08/04/20	97.04	75.55 W	0.00	2 of 78 - Sale <sup>25</sup>
	21.000	32.23	08/04/20	144.97	112.74 W	0.00	3 of 78 - Sale <sup>25</sup>
	7.000	10.74	08/04/20	38.65	27.91 W	0.00	4 of 78 - Sale <sup>25</sup>
	10.000	15.35	08/04/20	56.90	41.55 W	0.00	5 of 78 - Sale <sup>25</sup>
	17.000	26.09	08/04/20	93.85	67.76 W	0.00	6 of 78 - Sale <sup>25</sup>
	24.000	36.84	08/04/20	132.50	95.66 W	0.00	7 of 78 - Sale <sup>25</sup>
	66.000	101.30	08/04/20	364.41	263.11 W	0.00	8 of 78 - Sale <sup>25</sup>
	76.000	116.65	08/04/20	419.61	302.96 W	0.00	9 of 78 - Sale <sup>25</sup>
	16.000	24.56	08/04/20	108.44	83.88 W	0.00	10 of 78 - Sale <sup>25</sup>
	20.000	30.70	08/04/20	135.57	104.87 W	0.00	11 of 78 - Sale <sup>25</sup>
	14.000	21.49	08/04/20	91.43	69.94 W	0.00	12 of 78 - Sale <sup>25</sup>
	1.000	1.53	08/04/20	6.47	4.94 W	0.00	13 of 78 - Sale <sup>25</sup>
	2.000	3.07	08/04/20	12.84	9.77 W	0.00	14 of 78 - Sale <sup>25</sup>
	2.000	3.07	08/04/20	12.84	9.77 W	0.00	15 of 78 - Sale <sup>25</sup>
	7.000	10.74	08/04/20	46.93	36.19 W	0.00	16 of 78 - Sale <sup>25</sup>
	11.000	16.88	08/04/20	75.20	58.32 W	0.00	17 of 78 - Sale <sup>25</sup>
	12.000	18.42	08/04/20	80.41	61.99 W	0.00	18 of 78 - Sale <sup>25</sup>
	32.000	49.12	08/04/20	214.51	165.39 W	0.00	19 of 78 - Sale <sup>25</sup>
	33.000	50.65	08/04/20	221.17	170.52 W	0.00	20 of 78 - Sale <sup>25</sup>
	7.000	10.74	08/04/20	39.64	28.90 W	0.00	21 of 78 - Sale <sup>25</sup>
	10.000	15.35	08/04/20	55.06	39.71 W	0.00	22 of 78 - Sale <sup>25</sup>
	17.000	26.09	08/04/20	85.90	59.81 W	0.00	23 of 78 - Sale <sup>25</sup>
	26.000	39.91	08/04/20	137.98	98.07 W	0.00	24 of 78 - Sale <sup>25</sup>
	40.000	61.39	08/04/20	213.72	152.33 W	0.00	25 of 78 - Sale <sup>25</sup>
	17.000	26.09	08/04/20	90.62	64.53 W	0.00	26 of 78 - Sale <sup>25</sup>
	1.000	1.53	08/04/20	4.36	2.83 W	0.00	27 of 78 - Sale <sup>25</sup>
	13.000	19.95	08/04/20	56.36	36.41 W	0.00	28 of 78 - Sale <sup>25</sup>
	17.000	26.09	08/04/20	73.70	47.61 W	0.00	29 of 78 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
69.000		105.90	08/04/20	299.11	193.21 W	0.00	30 of 78 - Sale <sup>25</sup>
83.000		127.39	08/04/20	358.55	231.16 W	0.00	31 of 78 - Sale <sup>25</sup>
1.000		1.53	08/04/20	4.35	2.82 W	0.00	32 of 78 - Sale <sup>25</sup>
14.000		21.49	08/04/20	60.70	39.21 W	0.00	33 of 78 - Sale <sup>25</sup>
16.000		24.56	08/04/20	69.11	44.55 W	0.00	34 of 78 - Sale <sup>25</sup>
19.000		29.16	08/04/20	82.33	53.17 W	0.00	35 of 78 - Sale <sup>25</sup>
8.000		12.28	08/04/20	34.85	22.57 W	0.00	36 of 78 - Sale <sup>25</sup>
9.000		13.81	08/04/20	39.21	25.40 W	0.00	37 of 78 - Sale <sup>25</sup>
20.000		30.70	08/04/20	87.01	56.31 W	0.00	38 of 78 - Sale <sup>25</sup>
50.000		76.74	08/04/20	217.54	140.80 W	0.00	39 of 78 - Sale <sup>25</sup>
61.000		93.63	08/04/20	265.39	171.76 W	0.00	40 of 78 - Sale <sup>25</sup>
119.000		182.65	08/04/20	517.72	335.07 W	0.00	41 of 78 - Sale <sup>25</sup>
33.000		50.65	08/04/20	174.91	124.26 W	0.00	42 of 78 - Sale <sup>25</sup>
1.000		1.53	08/04/20	5.19	3.66 W	0.00	43 of 78 - Sale <sup>25</sup>
1.000		1.53	08/04/20	5.20	3.67 W	0.00	44 of 78 - Sale <sup>25</sup>
3.000		4.60	08/04/20	15.56	10.96 W	0.00	45 of 78 - Sale <sup>25</sup>
5.000		7.67	08/04/20	25.94	18.27 W	0.00	46 of 78 - Sale <sup>25</sup>
9.000		13.81	08/04/20	46.70	32.89 W	0.00	47 of 78 - Sale <sup>25</sup>
17.000		26.09	08/04/20	88.03	61.94 W	0.00	48 of 78 - Sale <sup>25</sup>
31.000		47.58	08/04/20	161.06	113.48 W	0.00	49 of 78 - Sale <sup>25</sup>
32.000		49.12	08/04/20	166.01	116.89 W	0.00	50 of 78 - Sale <sup>25</sup>
33.000		50.65	08/04/20	170.87	120.22 W	0.00	51 of 78 - Sale <sup>25</sup>
68.000		104.37	08/04/20	353.32	248.95 W	0.00	52 of 78 - Sale <sup>25</sup>
1.000		1.53	08/04/20	6.76	5.23 W	0.00	53 of 78 - Sale <sup>25</sup>
4.000		6.14	08/04/20	26.78	20.64 W	0.00	54 of 78 - Sale <sup>25</sup>
15.000		23.02	08/04/20	100.41	77.39 W	0.00	55 of 78 - Sale <sup>25</sup>
30.000		46.05	08/04/20	200.96	154.91 W	0.00	56 of 78 - Sale <sup>25</sup>
12.000		18.42	08/04/20	86.58	68.16 W	0.00	57 of 78 - Sale <sup>25</sup>
1.000		1.53	08/04/20	7.33	5.80 W	0.00	58 of 78 - Sale <sup>25</sup>
5.000		7.67	08/04/20	36.65	28.98 W	0.00	59 of 78 - Sale <sup>25</sup>
10.000		15.35	08/04/20	73.22	57.87 W	0.00	60 of 78 - Sale <sup>25</sup>
13.000		19.95	08/04/20	95.57	75.62 W	0.00	61 of 78 - Sale <sup>25</sup>
36.000		55.26	08/04/20	263.72	208.46 W	0.00	62 of 78 - Sale <sup>25</sup>
4.000		6.14	08/04/20	28.32	22.18 W	0.00	63 of 78 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	7.000	10.74	08/04/20	49.55	38.81 W	0.00	64 of 78 - Sale <sup>25</sup>
	17.000	26.09	08/04/20	119.46	93.37 W	0.00	65 of 78 - Sale <sup>25</sup>
	17.000	26.09	08/04/20	120.29	94.20 W	0.00	66 of 78 - Sale <sup>25</sup>
	26.000	39.91	08/04/20	183.97	144.06 W	0.00	67 of 78 - Sale <sup>25</sup>
	12.000	18.42	08/04/20	87.30	68.88 W	0.00	68 of 78 - Sale <sup>25</sup>
	23.000	35.31	08/04/20	167.58	132.27 W	0.00	69 of 78 - Sale <sup>25</sup>
	7.000	10.74	08/04/20	50.34	39.60 W	0.00	70 of 78 - Sale <sup>25</sup>
	10.000	15.35	08/04/20	71.89	56.54 W	0.00	71 of 78 - Sale <sup>25</sup>
	100.000	153.50	08/04/20	295.37	141.87 W	0.00	72 of 78 - Sale <sup>25</sup>
	16.000	24.56	08/04/20	48.93	24.37 W	0.00	73 of 78 - Sale <sup>25</sup>
	16.000	24.56	08/04/20	48.93	24.37 W	0.00	74 of 78 - Sale <sup>25</sup>
	32.000	49.12	08/04/20	97.87	48.75 W	0.00	75 of 78 - Sale <sup>25</sup>
	36.000	55.26	08/04/20	110.11	54.85 W	0.00	76 of 78 - Sale <sup>25</sup>
	4.000	6.14	08/04/20	12.22	6.08 W	0.00	77 of 78 - Sale <sup>25</sup>
	46.000	70.61	08/04/20	140.48	69.87 W	0.00	78 of 78 - Sale <sup>25</sup>
08/17/20	1,750.000	2,685.98	Various	8,995.27	6,309.29 W	0.00	Total of 78 transactions
	89 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	16.000	20.19	08/04/20	112.02	91.83 W	0.00	1 of 89 - Sale <sup>25</sup>
	20.000	25.24	08/04/20	140.05	114.81 W	0.00	2 of 89 - Sale <sup>25</sup>
	14.000	17.67	08/04/20	94.56	76.89 W	0.00	3 of 89 - Sale <sup>25</sup>
	1.000	1.26	08/04/20	6.70	5.44 W	0.00	4 of 89 - Sale <sup>25</sup>
	2.000	2.52	08/04/20	13.29	10.77 W	0.00	5 of 89 - Sale <sup>25</sup>
	2.000	2.52	08/04/20	13.29	10.77 W	0.00	6 of 89 - Sale <sup>25</sup>
	7.000	8.83	08/04/20	48.50	39.67 W	0.00	7 of 89 - Sale <sup>25</sup>
	11.000	13.88	08/04/20	77.67	63.79 W	0.00	8 of 89 - Sale <sup>25</sup>
	12.000	15.14	08/04/20	83.10	67.96 W	0.00	9 of 89 - Sale <sup>25</sup>
	32.000	40.38	08/04/20	221.67	181.29 W	0.00	10 of 89 - Sale <sup>25</sup>
	33.000	41.64	08/04/20	228.56	186.92 W	0.00	11 of 89 - Sale <sup>25</sup>
	15.000	18.93	08/04/20	108.30	89.37 W	0.00	12 of 89 - Sale <sup>25</sup>
	14.000	17.67	08/04/20	100.17	82.50 W	0.00	13 of 89 - Sale <sup>25</sup>
	21.000	26.50	08/04/20	149.68	123.18 W	0.00	14 of 89 - Sale <sup>25</sup>
	7.000	8.83	08/04/20	40.22	31.39 W	0.00	15 of 89 - Sale <sup>25</sup>
	7.000	8.83	08/04/20	41.21	32.38 W	0.00	16 of 89 - Sale <sup>25</sup>
	10.000	12.62	08/04/20	59.14	46.52 W	0.00	17 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
10.000		12.62	08/04/20	57.30	44.68 W	0.00	18 of 89 - Sale <sup>25</sup>
17.000		21.45	08/04/20	97.66	76.21 W	0.00	19 of 89 - Sale <sup>25</sup>
24.000		30.28	08/04/20	137.87	107.59 W	0.00	20 of 89 - Sale <sup>25</sup>
66.000		83.28	08/04/20	379.20	295.92 W	0.00	21 of 89 - Sale <sup>25</sup>
76.000		95.90	08/04/20	436.64	340.74 W	0.00	22 of 89 - Sale <sup>25</sup>
17.000		21.45	08/04/20	89.71	68.26 W	0.00	23 of 89 - Sale <sup>25</sup>
17.000		21.45	08/04/20	94.43	72.98 W	0.00	24 of 89 - Sale <sup>25</sup>
26.000		32.81	08/04/20	143.80	110.99 W	0.00	25 of 89 - Sale <sup>25</sup>
40.000		50.47	08/04/20	222.69	172.22 W	0.00	26 of 89 - Sale <sup>25</sup>
1.000		1.26	08/04/20	4.59	3.33 W	0.00	27 of 89 - Sale <sup>25</sup>
1.000		1.26	08/04/20	4.58	3.32 W	0.00	28 of 89 - Sale <sup>25</sup>
8.000		10.09	08/04/20	36.64	26.55 W	0.00	29 of 89 - Sale <sup>25</sup>
9.000		11.36	08/04/20	41.23	29.87 W	0.00	30 of 89 - Sale <sup>25</sup>
13.000		16.40	08/04/20	59.28	42.88 W	0.00	31 of 89 - Sale <sup>25</sup>
14.000		17.67	08/04/20	63.83	46.16 W	0.00	32 of 89 - Sale <sup>25</sup>
16.000		20.19	08/04/20	72.69	52.50 W	0.00	33 of 89 - Sale <sup>25</sup>
17.000		21.45	08/04/20	77.51	56.06 W	0.00	34 of 89 - Sale <sup>25</sup>
19.000		23.98	08/04/20	86.59	62.61 W	0.00	35 of 89 - Sale <sup>25</sup>
20.000		25.24	08/04/20	91.49	66.25 W	0.00	36 of 89 - Sale <sup>25</sup>
50.000		63.09	08/04/20	228.75	165.66 W	0.00	37 of 89 - Sale <sup>25</sup>
61.000		76.97	08/04/20	279.05	202.08 W	0.00	38 of 89 - Sale <sup>25</sup>
69.000		87.07	08/04/20	314.57	227.50 W	0.00	39 of 89 - Sale <sup>25</sup>
83.000		104.73	08/04/20	377.15	272.42 W	0.00	40 of 89 - Sale <sup>25</sup>
119.000		150.16	08/04/20	544.38	394.22 W	0.00	41 of 89 - Sale <sup>25</sup>
33.000		41.64	08/04/20	182.30	140.66 W	0.00	42 of 89 - Sale <sup>25</sup>
1.000		1.26	08/04/20	5.42	4.16 W	0.00	43 of 89 - Sale <sup>25</sup>
1.000		1.26	08/04/20	5.43	4.17 W	0.00	44 of 89 - Sale <sup>25</sup>
3.000		3.79	08/04/20	16.24	12.45 W	0.00	45 of 89 - Sale <sup>25</sup>
5.000		6.31	08/04/20	27.06	20.75 W	0.00	46 of 89 - Sale <sup>25</sup>
9.000		11.36	08/04/20	48.72	37.36 W	0.00	47 of 89 - Sale <sup>25</sup>
17.000		21.45	08/04/20	91.84	70.39 W	0.00	48 of 89 - Sale <sup>25</sup>
31.000		39.12	08/04/20	168.01	128.89 W	0.00	49 of 89 - Sale <sup>25</sup>
32.000		40.38	08/04/20	173.18	132.80 W	0.00	50 of 89 - Sale <sup>25</sup>
33.000		41.64	08/04/20	178.26	136.62 W	0.00	51 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
68.000		85.81	08/04/20	368.56	282.75 W	0.00	52 of 89 - Sale <sup>25</sup>
4.000		5.05	08/04/20	12.22	7.17 W	0.00	53 of 89 - Sale <sup>25</sup>
65.000		82.02	08/04/20	198.51	116.49 W	0.00	54 of 89 - Sale <sup>25</sup>
81.000		102.21	08/04/20	247.37	145.16 W	0.00	55 of 89 - Sale <sup>25</sup>
100.000		126.19	08/04/20	302.40	176.21 W	0.00	56 of 89 - Sale <sup>25</sup>
200.000		252.37	08/04/20	402.99	150.62 W	0.00	57 of 89 - Sale <sup>25</sup>
300.000		378.56	08/04/20	527.67	149.11 W	0.00	58 of 89 - Sale <sup>25</sup>
1.000		1.26	08/04/20	6.96	5.70 W	0.00	59 of 89 - Sale <sup>25</sup>
4.000		5.05	08/04/20	27.54	22.49 W	0.00	60 of 89 - Sale <sup>25</sup>
15.000		18.93	08/04/20	103.26	84.33 W	0.00	61 of 89 - Sale <sup>25</sup>
30.000		37.86	08/04/20	206.66	168.80 W	0.00	62 of 89 - Sale <sup>25</sup>
12.000		15.14	08/04/20	88.86	73.72 W	0.00	63 of 89 - Sale <sup>25</sup>
1.000		1.26	08/04/20	7.52	6.26 W	0.00	64 of 89 - Sale <sup>25</sup>
5.000		6.31	08/04/20	37.61	31.30 W	0.00	65 of 89 - Sale <sup>25</sup>
10.000		12.62	08/04/20	75.12	62.50 W	0.00	66 of 89 - Sale <sup>25</sup>
13.000		16.40	08/04/20	98.05	81.65 W	0.00	67 of 89 - Sale <sup>25</sup>
36.000		45.43	08/04/20	270.56	225.13 W	0.00	68 of 89 - Sale <sup>25</sup>
4.000		5.05	08/04/20	29.08	24.03 W	0.00	69 of 89 - Sale <sup>25</sup>
7.000		8.83	08/04/20	50.88	42.05 W	0.00	70 of 89 - Sale <sup>25</sup>
17.000		21.45	08/04/20	122.70	101.25 W	0.00	71 of 89 - Sale <sup>25</sup>
17.000		21.45	08/04/20	123.52	102.07 W	0.00	72 of 89 - Sale <sup>25</sup>
26.000		32.81	08/04/20	188.91	156.10 W	0.00	73 of 89 - Sale <sup>25</sup>
12.000		15.14	08/04/20	89.58	74.44 W	0.00	74 of 89 - Sale <sup>25</sup>
23.000		29.02	08/04/20	171.94	142.92 W	0.00	75 of 89 - Sale <sup>25</sup>
7.000		8.83	08/04/20	51.68	42.85 W	0.00	76 of 89 - Sale <sup>25</sup>
10.000		12.62	08/04/20	73.79	61.17 W	0.00	77 of 89 - Sale <sup>25</sup>
100.000		126.19	08/04/20	314.37	188.18 W	0.00	78 of 89 - Sale <sup>25</sup>
16.000		20.19	08/04/20	51.97	31.78 W	0.00	79 of 89 - Sale <sup>25</sup>
16.000		20.19	08/04/20	51.97	31.78 W	0.00	80 of 89 - Sale <sup>25</sup>
32.000		40.38	08/04/20	103.95	63.57 W	0.00	81 of 89 - Sale <sup>25</sup>
36.000		45.43	08/04/20	116.95	71.52 W	0.00	82 of 89 - Sale <sup>25</sup>
4.000		5.05	08/04/20	12.98	7.93 W	0.00	83 of 89 - Sale <sup>25</sup>
46.000		58.04	08/04/20	149.22	91.18 W	0.00	84 of 89 - Sale <sup>25</sup>
500.000		630.92	08/05/20	817.50	186.58 W	0.00	85 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	250.000	315.46	08/05/20	408.75	93.29 W	0.00	86 of 89 - Sale <sup>25</sup>
	250.000	315.46	08/05/20	416.25	100.79 W	0.00	87 of 89 - Sale <sup>25</sup>
	250.000	315.47	08/05/20	416.25	100.78 W	0.00	88 of 89 - Sale <sup>25</sup>
	250.000	315.46	08/05/20	416.25	100.79 W	0.00	89 of 89 - Sale <sup>25</sup>
08/20/20	4,000.000	5,047.40	Various	13,536.57	8,489.17 W	0.00	Total of 89 transactions
	89 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	16.000	20.99	08/05/20	119.67	98.68 W	0.00	1 of 89 - Sale <sup>25</sup>
	20.000	26.24	08/05/20	149.61	123.37 W	0.00	2 of 89 - Sale <sup>25</sup>
	14.000	18.37	08/05/20	101.25	82.88 W	0.00	3 of 89 - Sale <sup>25</sup>
	1.000	1.31	08/05/20	7.18	5.87 W	0.00	4 of 89 - Sale <sup>25</sup>
	2.000	2.62	08/05/20	14.25	11.63 W	0.00	5 of 89 - Sale <sup>25</sup>
	2.000	2.62	08/05/20	14.25	11.63 W	0.00	6 of 89 - Sale <sup>25</sup>
	7.000	9.19	08/05/20	51.85	42.66 W	0.00	7 of 89 - Sale <sup>25</sup>
	11.000	14.43	08/05/20	82.93	68.50 W	0.00	8 of 89 - Sale <sup>25</sup>
	12.000	15.75	08/05/20	88.84	73.09 W	0.00	9 of 89 - Sale <sup>25</sup>
	32.000	41.99	08/05/20	236.97	194.98 W	0.00	10 of 89 - Sale <sup>25</sup>
	33.000	43.30	08/05/20	244.34	201.04 W	0.00	11 of 89 - Sale <sup>25</sup>
	15.000	19.68	08/05/20	115.47	95.79 W	0.00	12 of 89 - Sale <sup>25</sup>
	14.000	18.37	08/05/20	106.86	88.49 W	0.00	13 of 89 - Sale <sup>25</sup>
	21.000	27.56	08/05/20	159.72	132.16 W	0.00	14 of 89 - Sale <sup>25</sup>
	7.000	9.19	08/05/20	43.57	34.38 W	0.00	15 of 89 - Sale <sup>25</sup>
	10.000	13.12	08/05/20	63.92	50.80 W	0.00	16 of 89 - Sale <sup>25</sup>
	17.000	22.31	08/05/20	105.79	83.48 W	0.00	17 of 89 - Sale <sup>25</sup>
	24.000	31.49	08/05/20	149.35	117.86 W	0.00	18 of 89 - Sale <sup>25</sup>
	66.000	86.60	08/05/20	410.76	324.16 W	0.00	19 of 89 - Sale <sup>25</sup>
	76.000	99.72	08/05/20	472.98	373.26 W	0.00	20 of 89 - Sale <sup>25</sup>
	7.000	9.19	08/05/20	44.56	35.37 W	0.00	21 of 89 - Sale <sup>25</sup>
	10.000	13.12	08/05/20	62.08	48.96 W	0.00	22 of 89 - Sale <sup>25</sup>
	17.000	22.31	08/05/20	97.84	75.53 W	0.00	23 of 89 - Sale <sup>25</sup>
	26.000	34.12	08/05/20	156.23	122.11 W	0.00	24 of 89 - Sale <sup>25</sup>
	40.000	52.49	08/05/20	241.82	189.33 W	0.00	25 of 89 - Sale <sup>25</sup>
	17.000	22.31	08/06/20	101.46	79.15 W	0.00	26 of 89 - Sale <sup>25</sup>
	14.000	18.37	08/06/20	69.62	51.25 W	0.00	27 of 89 - Sale <sup>25</sup>
	69.000	90.54	08/06/20	343.07	252.53 W	0.00	28 of 89 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.31	08/06/20	5.00	3.69 W	0.00	29 of 89 - Sale <sup>25</sup>
	3.000	3.94	08/06/20	14.92	10.98 W	0.00	30 of 89 - Sale <sup>25</sup>
	13.000	17.06	08/06/20	64.65	47.59 W	0.00	31 of 89 - Sale <sup>25</sup>
	83.000	108.91	08/06/20	411.45	302.54 W	0.00	32 of 89 - Sale <sup>25</sup>
	1.000	1.31	08/07/20	4.92	3.61 W	0.00	33 of 89 - Sale <sup>25</sup>
	2.000	2.62	08/07/20	9.82	7.20 W	0.00	34 of 89 - Sale <sup>25</sup>
	8.000	10.50	08/07/20	39.31	28.81 W	0.00	35 of 89 - Sale <sup>25</sup>
	9.000	11.81	08/07/20	44.23	32.42 W	0.00	36 of 89 - Sale <sup>25</sup>
	14.000	18.37	08/07/20	68.49	50.12 W	0.00	37 of 89 - Sale <sup>25</sup>
	16.000	20.99	08/07/20	78.02	57.03 W	0.00	38 of 89 - Sale <sup>25</sup>
	19.000	24.93	08/07/20	92.91	67.98 W	0.00	39 of 89 - Sale <sup>25</sup>
	20.000	26.24	08/07/20	98.15	71.91 W	0.00	40 of 89 - Sale <sup>25</sup>
	50.000	65.61	08/07/20	245.41	179.80 W	0.00	41 of 89 - Sale <sup>25</sup>
	61.000	80.04	08/07/20	299.37	219.33 W	0.00	42 of 89 - Sale <sup>25</sup>
	100.000	131.21	08/07/20	490.77	359.56 W	0.00	43 of 89 - Sale <sup>25</sup>
	17.000	22.31	08/07/20	83.27	60.96 W	0.00	44 of 89 - Sale <sup>25</sup>
	33.000	43.30	08/07/20	192.96	149.66 W	0.00	45 of 89 - Sale <sup>25</sup>
	1.000	1.31	08/07/20	5.74	4.43 W	0.00	46 of 89 - Sale <sup>25</sup>
	1.000	1.31	08/07/20	5.76	4.45 W	0.00	47 of 89 - Sale <sup>25</sup>
	3.000	3.94	08/07/20	17.21	13.27 W	0.00	48 of 89 - Sale <sup>25</sup>
	5.000	6.56	08/07/20	28.67	22.11 W	0.00	49 of 89 - Sale <sup>25</sup>
	9.000	11.81	08/07/20	51.63	39.82 W	0.00	50 of 89 - Sale <sup>25</sup>
	17.000	22.31	08/07/20	97.34	75.03 W	0.00	51 of 89 - Sale <sup>25</sup>
	31.000	40.68	08/07/20	178.02	137.34 W	0.00	52 of 89 - Sale <sup>25</sup>
	32.000	41.99	08/07/20	183.52	141.53 W	0.00	53 of 89 - Sale <sup>25</sup>
	33.000	43.30	08/07/20	188.92	145.62 W	0.00	54 of 89 - Sale <sup>25</sup>
	68.000	89.23	08/07/20	390.53	301.30 W	0.00	55 of 89 - Sale <sup>25</sup>
	4.000	5.25	08/07/20	13.51	8.26 W	0.00	56 of 89 - Sale <sup>25</sup>
	65.000	85.29	08/07/20	219.52	134.23 W	0.00	57 of 89 - Sale <sup>25</sup>
	81.000	106.28	08/07/20	273.54	167.26 W	0.00	58 of 89 - Sale <sup>25</sup>
	100.000	131.21	08/07/20	334.71	203.50 W	0.00	59 of 89 - Sale <sup>25</sup>
	200.000	262.43	08/10/20	481.62	219.19 W	0.00	60 of 89 - Sale <sup>25</sup>
	300.000	393.64	08/10/20	645.61	251.97 W	0.00	61 of 89 - Sale <sup>25</sup>
	1.000	1.31	08/11/20	7.32	6.01 W	0.00	62 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	4.000	5.25	08/11/20	28.95	23.70 W	0.00	63 of 89 - Sale <sup>25</sup>
	15.000	19.68	08/11/20	108.55	88.87 W	0.00	64 of 89 - Sale <sup>25</sup>
	30.000	39.36	08/11/20	217.25	177.89 W	0.00	65 of 89 - Sale <sup>25</sup>
	12.000	15.75	08/11/20	93.10	77.35 W	0.00	66 of 89 - Sale <sup>25</sup>
	1.000	1.31	08/11/20	7.87	6.56 W	0.00	67 of 89 - Sale <sup>25</sup>
	5.000	6.56	08/11/20	39.38	32.82 W	0.00	68 of 89 - Sale <sup>25</sup>
	10.000	13.12	08/11/20	78.65	65.53 W	0.00	69 of 89 - Sale <sup>25</sup>
	13.000	17.06	08/11/20	102.65	85.59 W	0.00	70 of 89 - Sale <sup>25</sup>
	36.000	47.24	08/11/20	283.27	236.03 W	0.00	71 of 89 - Sale <sup>25</sup>
	4.000	5.25	08/11/20	30.49	25.24 W	0.00	72 of 89 - Sale <sup>25</sup>
	7.000	9.19	08/11/20	53.35	44.16 W	0.00	73 of 89 - Sale <sup>25</sup>
	17.000	22.31	08/11/20	128.71	106.40 W	0.00	74 of 89 - Sale <sup>25</sup>
	17.000	22.31	08/11/20	129.52	107.21 W	0.00	75 of 89 - Sale <sup>25</sup>
	26.000	34.12	08/11/20	198.09	163.97 W	0.00	76 of 89 - Sale <sup>25</sup>
	12.000	15.75	08/11/20	93.82	78.07 W	0.00	77 of 89 - Sale <sup>25</sup>
	23.000	30.18	08/11/20	180.07	149.89 W	0.00	78 of 89 - Sale <sup>25</sup>
	7.000	9.18	08/11/20	54.15	44.97 W	0.00	79 of 89 - Sale <sup>25</sup>
	10.000	13.12	08/11/20	77.32	64.20 W	0.00	80 of 89 - Sale <sup>25</sup>
	100.000	131.21	08/12/20	344.68	213.47 W	0.00	81 of 89 - Sale <sup>25</sup>
	16.000	20.99	08/12/20	56.82	35.83 W	0.00	82 of 89 - Sale <sup>25</sup>
	16.000	20.99	08/12/20	56.82	35.83 W	0.00	83 of 89 - Sale <sup>25</sup>
	32.000	41.99	08/12/20	113.65	71.66 W	0.00	84 of 89 - Sale <sup>25</sup>
	36.000	47.24	08/12/20	127.86	80.62 W	0.00	85 of 89 - Sale <sup>25</sup>
	4.000	5.25	08/12/20	14.19	8.94 W	0.00	86 of 89 - Sale <sup>25</sup>
	46.000	60.36	08/12/20	163.17	102.81 W	0.00	87 of 89 - Sale <sup>25</sup>
	250.000	328.04	08/12/20	484.54	156.50 W	0.00	88 of 89 - Sale <sup>25</sup>
	250.000	328.03	08/12/20	487.04	159.01 W	0.00	89 of 89 - Sale <sup>25</sup>
08/21/20	3,000.000	3,936.45	Various	12,977.02	9,040.57 W	0.00	Total of 89 transactions
	214 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	250.000	275.99	08/12/20	487.04	211.05 W	0.00	1 of 214 - Sale <sup>25</sup>
	250.000	275.99	08/12/20	492.04	216.05 W	0.00	2 of 214 - Sale <sup>25</sup>
	250.000	275.99	08/12/20	492.03	216.04 W	0.00	3 of 214 - Sale <sup>25</sup>
	250.000	275.99	08/12/20	487.04	211.05 W	0.00	4 of 214 - Sale <sup>25</sup>
	16.000	17.66	08/12/20	123.40	105.74 W	0.00	5 of 214 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
20.000		22.08	08/12/20	154.27	132.19 W	0.00	6 of 214 - Sale <sup>25</sup>
14.000		15.46	08/12/20	104.51	89.05 W	0.00	7 of 214 - Sale <sup>25</sup>
1.000		1.10	08/12/20	7.42	6.32 W	0.00	8 of 214 - Sale <sup>25</sup>
2.000		2.21	08/12/20	14.72	12.51 W	0.00	9 of 214 - Sale <sup>25</sup>
2.000		2.21	08/12/20	14.72	12.51 W	0.00	10 of 214 - Sale <sup>25</sup>
7.000		7.73	08/12/20	53.48	45.75 W	0.00	11 of 214 - Sale <sup>25</sup>
11.000		12.14	08/12/20	85.49	73.35 W	0.00	12 of 214 - Sale <sup>25</sup>
12.000		13.25	08/12/20	91.63	78.38 W	0.00	13 of 214 - Sale <sup>25</sup>
32.000		35.33	08/12/20	244.42	209.09 W	0.00	14 of 214 - Sale <sup>25</sup>
33.000		36.43	08/12/20	252.02	215.59 W	0.00	15 of 214 - Sale <sup>25</sup>
15.000		16.56	08/12/20	118.97	102.41 W	0.00	16 of 214 - Sale <sup>25</sup>
14.000		15.46	08/12/20	110.12	94.66 W	0.00	17 of 214 - Sale <sup>25</sup>
21.000		23.18	08/12/20	164.60	141.42 W	0.00	18 of 214 - Sale <sup>25</sup>
17.000		18.77	08/12/20	109.75	90.98 W	0.00	19 of 214 - Sale <sup>25</sup>
33.000		36.43	08/12/20	213.06	176.63 W	0.00	20 of 214 - Sale <sup>25</sup>
7.000		7.73	08/12/20	45.12	37.39 W	0.00	21 of 214 - Sale <sup>25</sup>
7.000		7.73	08/12/20	46.12	38.39 W	0.00	22 of 214 - Sale <sup>25</sup>
10.000		11.04	08/12/20	66.15	55.11 W	0.00	23 of 214 - Sale <sup>25</sup>
10.000		11.04	08/12/20	64.31	53.27 W	0.00	24 of 214 - Sale <sup>25</sup>
24.000		26.49	08/12/20	154.70	128.21 W	0.00	25 of 214 - Sale <sup>25</sup>
33.000		36.43	08/12/20	212.74	176.31 W	0.00	26 of 214 - Sale <sup>25</sup>
76.000		83.90	08/12/20	489.92	406.02 W	0.00	27 of 214 - Sale <sup>25</sup>
17.000		18.77	08/12/20	101.62	82.85 W	0.00	28 of 214 - Sale <sup>25</sup>
26.000		28.70	08/12/20	162.02	133.32 W	0.00	29 of 214 - Sale <sup>25</sup>
40.000		44.16	08/12/20	250.73	206.57 W	0.00	30 of 214 - Sale <sup>25</sup>
17.000		18.77	08/12/20	105.25	86.48 W	0.00	31 of 214 - Sale <sup>25</sup>
1.000		1.10	08/12/20	5.22	4.12 W	0.00	32 of 214 - Sale <sup>25</sup>
3.000		3.31	08/12/20	15.58	12.27 W	0.00	33 of 214 - Sale <sup>25</sup>
13.000		14.35	08/12/20	67.55	53.20 W	0.00	34 of 214 - Sale <sup>25</sup>
14.000		15.46	08/12/20	72.74	57.28 W	0.00	35 of 214 - Sale <sup>25</sup>
69.000		76.17	08/12/20	358.44	282.27 W	0.00	36 of 214 - Sale <sup>25</sup>
83.000		91.63	08/12/20	429.95	338.32 W	0.00	37 of 214 - Sale <sup>25</sup>
1.000		1.10	08/12/20	5.14	4.04 W	0.00	38 of 214 - Sale <sup>25</sup>
14.000		15.46	08/12/20	71.61	56.15 W	0.00	39 of 214 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
16.000		17.66	08/12/20	81.59	63.93 W	0.00	40 of 214 - Sale <sup>25</sup>
19.000		20.98	08/12/20	97.15	76.17 W	0.00	41 of 214 - Sale <sup>25</sup>
2.000		2.21	08/12/20	10.27	8.06 W	0.00	42 of 214 - Sale <sup>25</sup>
8.000		8.83	08/12/20	41.09	32.26 W	0.00	43 of 214 - Sale <sup>25</sup>
9.000		9.94	08/12/20	46.24	36.30 W	0.00	44 of 214 - Sale <sup>25</sup>
17.000		18.77	08/12/20	87.05	68.28 W	0.00	45 of 214 - Sale <sup>25</sup>
20.000		22.08	08/12/20	102.61	80.53 W	0.00	46 of 214 - Sale <sup>25</sup>
50.000		55.20	08/12/20	256.55	201.35 W	0.00	47 of 214 - Sale <sup>25</sup>
61.000		67.34	08/12/20	312.96	245.62 W	0.00	48 of 214 - Sale <sup>25</sup>
100.000		110.40	08/12/20	513.06	402.66 W	0.00	49 of 214 - Sale <sup>25</sup>
33.000		36.43	08/12/20	200.32	163.89 W	0.00	50 of 214 - Sale <sup>25</sup>
1.000		1.10	08/12/20	5.97	4.87 W	0.00	51 of 214 - Sale <sup>25</sup>
1.000		1.10	08/12/20	5.98	4.88 W	0.00	52 of 214 - Sale <sup>25</sup>
3.000		3.31	08/12/20	17.87	14.56 W	0.00	53 of 214 - Sale <sup>25</sup>
5.000		5.52	08/12/20	29.79	24.27 W	0.00	54 of 214 - Sale <sup>25</sup>
9.000		9.94	08/12/20	53.63	43.69 W	0.00	55 of 214 - Sale <sup>25</sup>
17.000		18.77	08/12/20	101.12	82.35 W	0.00	56 of 214 - Sale <sup>25</sup>
31.000		34.22	08/12/20	184.93	150.71 W	0.00	57 of 214 - Sale <sup>25</sup>
32.000		35.33	08/12/20	190.65	155.32 W	0.00	58 of 214 - Sale <sup>25</sup>
33.000		36.43	08/12/20	196.28	159.85 W	0.00	59 of 214 - Sale <sup>25</sup>
68.000		75.07	08/12/20	405.68	330.61 W	0.00	60 of 214 - Sale <sup>25</sup>
4.000		4.42	08/13/20	14.68	10.26 W	0.00	61 of 214 - Sale <sup>25</sup>
65.000		71.76	08/13/20	238.56	166.80 W	0.00	62 of 214 - Sale <sup>25</sup>
81.000		89.42	08/13/20	297.26	207.84 W	0.00	63 of 214 - Sale <sup>25</sup>
100.000		110.39	08/13/20	364.00	253.61 W	0.00	64 of 214 - Sale <sup>25</sup>
200.000		220.79	08/13/20	538.19	317.40 W	0.00	65 of 214 - Sale <sup>25</sup>
50.000		55.20	08/13/20	121.75	66.55 W	0.00	66 of 214 - Sale <sup>25</sup>
250.000		275.99	08/14/20	608.72	332.73 W	0.00	67 of 214 - Sale <sup>25</sup>
1.000		1.10	08/17/20	7.55	6.45 W	0.00	68 of 214 - Sale <sup>25</sup>
4.000		4.42	08/17/20	29.84	25.42 W	0.00	69 of 214 - Sale <sup>25</sup>
15.000		16.56	08/17/20	111.90	95.34 W	0.00	70 of 214 - Sale <sup>25</sup>
30.000		33.12	08/17/20	223.96	190.84 W	0.00	71 of 214 - Sale <sup>25</sup>
12.000		13.25	08/17/20	95.78	82.53 W	0.00	72 of 214 - Sale <sup>25</sup>
1.000		1.10	08/17/20	8.10	7.00 W	0.00	73 of 214 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	5.000	5.52	08/17/20	40.50	34.98 W	0.00	74 of 214 - Sale <sup>25</sup>
	10.000	11.04	08/17/20	80.89	69.85 W	0.00	75 of 214 - Sale <sup>25</sup>
	13.000	14.35	08/17/20	105.55	91.20 W	0.00	76 of 214 - Sale <sup>25</sup>
	36.000	39.74	08/17/20	291.31	251.57 W	0.00	77 of 214 - Sale <sup>25</sup>
	4.000	4.42	08/17/20	31.38	26.96 W	0.00	78 of 214 - Sale <sup>25</sup>
	7.000	7.73	08/17/20	54.91	47.18 W	0.00	79 of 214 - Sale <sup>25</sup>
	17.000	18.77	08/17/20	132.51	113.74 W	0.00	80 of 214 - Sale <sup>25</sup>
	17.000	18.77	08/17/20	133.32	114.55 W	0.00	81 of 214 - Sale <sup>25</sup>
	26.000	28.70	08/17/20	203.90	175.20 W	0.00	82 of 214 - Sale <sup>25</sup>
	12.000	13.25	08/17/20	96.50	83.25 W	0.00	83 of 214 - Sale <sup>25</sup>
	23.000	25.39	08/17/20	185.21	159.82 W	0.00	84 of 214 - Sale <sup>25</sup>
	7.000	7.73	08/17/20	55.72	47.99 W	0.00	85 of 214 - Sale <sup>25</sup>
	10.000	11.04	08/17/20	79.56	68.52 W	0.00	86 of 214 - Sale <sup>25</sup>
	100.000	110.39	08/17/20	367.03	256.64 W	0.00	87 of 214 - Sale <sup>25</sup>
	16.000	17.66	08/17/20	60.40	42.74 W	0.00	88 of 214 - Sale <sup>25</sup>
	16.000	17.66	08/17/20	60.40	42.74 W	0.00	89 of 214 - Sale <sup>25</sup>
	32.000	35.33	08/17/20	120.80	85.47 W	0.00	90 of 214 - Sale <sup>25</sup>
	36.000	39.74	08/17/20	135.90	96.16 W	0.00	91 of 214 - Sale <sup>25</sup>
	4.000	4.42	08/17/20	15.08	10.66 W	0.00	92 of 214 - Sale <sup>25</sup>
	46.000	50.78	08/17/20	173.45	122.67 W	0.00	93 of 214 - Sale <sup>25</sup>
	250.000	275.99	08/17/20	540.39	264.40 W	0.00	94 of 214 - Sale <sup>25</sup>
	250.000	275.99	08/17/20	542.91	266.92 W	0.00	95 of 214 - Sale <sup>25</sup>
	1,000.000	1,103.94	08/17/20	1,535.58	431.64 W	0.00	96 of 214 - Sale <sup>25</sup>
	50.000	55.20	08/18/20	74.50	19.30 W	0.00	97 of 214 - Sale <sup>25</sup>
	100.000	110.39	08/18/20	149.00	38.61 W	0.00	98 of 214 - Sale <sup>25</sup>
	100.000	110.39	08/18/20	149.00	38.61 W	0.00	99 of 214 - Sale <sup>25</sup>
	250.000	275.99	08/18/20	372.50	96.51 W	0.00	100 of 214 - Sale <sup>25</sup>
	500.000	551.97	08/19/20	712.50	160.53 W	0.00	101 of 214 - Sale <sup>25</sup>
	100.000	110.39	08/19/20	136.50	26.11 W	0.00	102 of 214 - Sale <sup>25</sup>
	100.000	110.40	08/19/20	132.50	22.10 W	0.00	103 of 214 - Sale <sup>25</sup>
	100.000	110.39	08/19/20	132.00	21.61 W	0.00	104 of 214 - Sale <sup>25</sup>
	200.000	220.79	08/19/20	279.00	58.21 W	0.00	105 of 214 - Sale <sup>25</sup>
	500.000	551.98	08/19/20	697.50	145.52 W	0.00	106 of 214 - Sale <sup>25</sup>
	250.000	275.36	08/20/20	528.55	253.19 W	0.00	107 of 214 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with 8 columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd) with various quantities and dates from 08/20/20.

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
69.000		76.00	08/20/20	369.55	293.55 W	0.00	142 of 214 - Sale <sup>25</sup>
83.000		91.42	08/20/20	443.31	351.89 W	0.00	143 of 214 - Sale <sup>25</sup>
1.000		1.10	08/20/20	5.31	4.21 W	0.00	144 of 214 - Sale <sup>25</sup>
2.000		2.20	08/20/20	10.59	8.39 W	0.00	145 of 214 - Sale <sup>25</sup>
8.000		8.81	08/20/20	42.38	33.57 W	0.00	146 of 214 - Sale <sup>25</sup>
9.000		9.91	08/20/20	47.69	37.78 W	0.00	147 of 214 - Sale <sup>25</sup>
14.000		15.42	08/20/20	73.86	58.44 W	0.00	148 of 214 - Sale <sup>25</sup>
16.000		17.62	08/20/20	84.17	66.55 W	0.00	149 of 214 - Sale <sup>25</sup>
19.000		20.93	08/20/20	100.20	79.27 W	0.00	150 of 214 - Sale <sup>25</sup>
20.000		22.03	08/20/20	105.83	83.80 W	0.00	151 of 214 - Sale <sup>25</sup>
50.000		55.07	08/20/20	264.60	209.53 W	0.00	152 of 214 - Sale <sup>25</sup>
61.000		67.19	08/20/20	322.78	255.59 W	0.00	153 of 214 - Sale <sup>25</sup>
100.000		110.15	08/20/20	529.16	419.01 W	0.00	154 of 214 - Sale <sup>25</sup>
17.000		18.72	08/20/20	89.79	71.07 W	0.00	155 of 214 - Sale <sup>25</sup>
33.000		36.35	08/20/20	205.63	169.28 W	0.00	156 of 214 - Sale <sup>25</sup>
1.000		1.10	08/20/20	6.13	5.03 W	0.00	157 of 214 - Sale <sup>25</sup>
1.000		1.10	08/20/20	6.15	5.05 W	0.00	158 of 214 - Sale <sup>25</sup>
3.000		3.30	08/20/20	18.36	15.06 W	0.00	159 of 214 - Sale <sup>25</sup>
5.000		5.51	08/20/20	30.59	25.08 W	0.00	160 of 214 - Sale <sup>25</sup>
9.000		9.91	08/20/20	55.08	45.17 W	0.00	161 of 214 - Sale <sup>25</sup>
17.000		18.72	08/20/20	103.86	85.14 W	0.00	162 of 214 - Sale <sup>25</sup>
31.000		34.15	08/20/20	189.92	155.77 W	0.00	163 of 214 - Sale <sup>25</sup>
32.000		35.25	08/20/20	195.80	160.55 W	0.00	164 of 214 - Sale <sup>25</sup>
33.000		36.35	08/20/20	201.59	165.24 W	0.00	165 of 214 - Sale <sup>25</sup>
68.000		74.90	08/20/20	416.63	341.73 W	0.00	166 of 214 - Sale <sup>25</sup>
4.000		4.41	08/20/20	15.32	10.91 W	0.00	167 of 214 - Sale <sup>25</sup>
65.000		71.60	08/20/20	249.03	177.43 W	0.00	168 of 214 - Sale <sup>25</sup>
81.000		89.22	08/20/20	310.30	221.08 W	0.00	169 of 214 - Sale <sup>25</sup>
100.000		110.15	08/20/20	380.11	269.96 W	0.00	170 of 214 - Sale <sup>25</sup>
200.000		220.29	08/20/20	570.40	350.11 W	0.00	171 of 214 - Sale <sup>25</sup>
50.000		55.07	08/20/20	129.80	74.73 W	0.00	172 of 214 - Sale <sup>25</sup>
250.000		275.37	08/20/20	648.98	373.61 W	0.00	173 of 214 - Sale <sup>25</sup>
1.000		1.10	08/21/20	7.79	6.69 W	0.00	174 of 214 - Sale <sup>25</sup>
4.000		4.41	08/21/20	30.76	26.35 W	0.00	175 of 214 - Sale <sup>25</sup>

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Robinhood Securities LLC	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 125489310
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
15.000		16.52	08/21/20	115.38	98.86 W	0.00	176 of 214 - Sale <sup>25</sup>
30.000		33.04	08/21/20	230.92	197.88 W	0.00	177 of 214 - Sale <sup>25</sup>
12.000		13.22	08/21/20	98.56	85.34 W	0.00	178 of 214 - Sale <sup>25</sup>
1.000		1.10	08/21/20	8.34	7.24 W	0.00	179 of 214 - Sale <sup>25</sup>
5.000		5.51	08/21/20	41.66	36.15 W	0.00	180 of 214 - Sale <sup>25</sup>
10.000		11.01	08/21/20	83.21	72.20 W	0.00	181 of 214 - Sale <sup>25</sup>
13.000		14.32	08/21/20	108.57	94.25 W	0.00	182 of 214 - Sale <sup>25</sup>
36.000		39.65	08/21/20	299.67	260.02 W	0.00	183 of 214 - Sale <sup>25</sup>
4.000		4.41	08/21/20	32.30	27.89 W	0.00	184 of 214 - Sale <sup>25</sup>
7.000		7.71	08/21/20	56.53	48.82 W	0.00	185 of 214 - Sale <sup>25</sup>
17.000		18.72	08/21/20	136.45	117.73 W	0.00	186 of 214 - Sale <sup>25</sup>
17.000		18.72	08/21/20	137.26	118.54 W	0.00	187 of 214 - Sale <sup>25</sup>
26.000		28.64	08/21/20	209.94	181.30 W	0.00	188 of 214 - Sale <sup>25</sup>
12.000		13.22	08/21/20	99.28	86.06 W	0.00	189 of 214 - Sale <sup>25</sup>
23.000		25.33	08/21/20	190.55	165.22 W	0.00	190 of 214 - Sale <sup>25</sup>
7.000		7.71	08/21/20	57.34	49.63 W	0.00	191 of 214 - Sale <sup>25</sup>
10.000		11.01	08/21/20	81.88	70.87 W	0.00	192 of 214 - Sale <sup>25</sup>
100.000		110.15	08/21/20	390.25	280.10 W	0.00	193 of 214 - Sale <sup>25</sup>
16.000		17.62	08/21/20	64.12	46.50 W	0.00	194 of 214 - Sale <sup>25</sup>
16.000		17.62	08/21/20	64.12	46.50 W	0.00	195 of 214 - Sale <sup>25</sup>
32.000		35.25	08/21/20	128.23	92.98 W	0.00	196 of 214 - Sale <sup>25</sup>
36.000		39.65	08/21/20	144.26	104.61 W	0.00	197 of 214 - Sale <sup>25</sup>
4.000		4.41	08/21/20	16.00	11.59 W	0.00	198 of 214 - Sale <sup>25</sup>
46.000		50.67	08/21/20	184.13	133.46 W	0.00	199 of 214 - Sale <sup>25</sup>
250.000		275.37	08/21/20	598.44	323.07 W	0.00	200 of 214 - Sale <sup>25</sup>
250.000		275.37	08/21/20	600.95	325.58 W	0.00	201 of 214 - Sale <sup>25</sup>
100.000		110.15	08/21/20	177.16	67.01 W	0.00	202 of 214 - Sale <sup>25</sup>
100.000		110.15	08/21/20	177.16	67.01 W	0.00	203 of 214 - Sale <sup>25</sup>
800.000		881.17	08/21/20	1,417.16	535.99 W	0.00	204 of 214 - Sale <sup>25</sup>
50.000		55.07	08/21/20	86.05	30.98 W	0.00	205 of 214 - Sale <sup>25</sup>
100.000		110.15	08/21/20	172.11	61.96 W	0.00	206 of 214 - Sale <sup>25</sup>
100.000		110.15	08/21/20	172.11	61.96 W	0.00	207 of 214 - Sale <sup>25</sup>
250.000		275.36	08/21/20	430.26	154.90 W	0.00	208 of 214 - Sale <sup>25</sup>
500.000		550.73	08/21/20	828.03	277.30 W	0.00	209 of 214 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	100.000	110.15	08/24/20	137.61	27.46 W	0.00	210 of 214 - Sale <sup>25</sup>
	100.000	110.15	08/24/20	133.60	23.45 W	0.00	211 of 214 - Sale <sup>25</sup>
	100.000	110.14	08/24/20	133.11	22.97 W	0.00	212 of 214 - Sale <sup>25</sup>
	200.000	220.29	08/24/20	281.21	60.92 W	0.00	213 of 214 - Sale <sup>25</sup>
	500.000	550.73	08/24/20	703.02	152.29 W	0.00	214 of 214 - Sale <sup>25</sup>
08/24/20	14,000.000	15,437.88	Various	41,248.09	25,810.21 W	0.00	Total of 214 transactions
	96 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	250.000	301.21	08/24/20	529.44	228.23 W	0.00	1 of 96 - Sale <sup>25</sup>
	250.000	301.21	08/24/20	534.44	233.23 W	0.00	2 of 96 - Sale <sup>25</sup>
	250.000	301.21	08/24/20	534.43	233.22 W	0.00	3 of 96 - Sale <sup>25</sup>
	250.000	301.22	08/24/20	529.44	228.22 W	0.00	4 of 96 - Sale <sup>25</sup>
	16.000	19.28	08/24/20	126.04	106.76 W	0.00	5 of 96 - Sale <sup>25</sup>
	20.000	24.10	08/24/20	157.56	133.46 W	0.00	6 of 96 - Sale <sup>25</sup>
	14.000	16.87	08/24/20	106.81	89.94 W	0.00	7 of 96 - Sale <sup>25</sup>
	1.000	1.20	08/24/20	7.59	6.39 W	0.00	8 of 96 - Sale <sup>25</sup>
	2.000	2.41	08/24/20	15.05	12.64 W	0.00	9 of 96 - Sale <sup>25</sup>
	2.000	2.41	08/24/20	15.05	12.64 W	0.00	10 of 96 - Sale <sup>25</sup>
	7.000	8.43	08/24/20	54.64	46.21 W	0.00	11 of 96 - Sale <sup>25</sup>
	11.000	13.25	08/24/20	87.29	74.04 W	0.00	12 of 96 - Sale <sup>25</sup>
	12.000	14.46	08/24/20	93.60	79.14 W	0.00	13 of 96 - Sale <sup>25</sup>
	32.000	38.56	08/24/20	249.68	211.12 W	0.00	14 of 96 - Sale <sup>25</sup>
	33.000	39.76	08/24/20	257.45	217.69 W	0.00	15 of 96 - Sale <sup>25</sup>
	15.000	18.07	08/24/20	121.45	103.38 W	0.00	16 of 96 - Sale <sup>25</sup>
	14.000	16.87	08/24/20	112.42	95.55 W	0.00	17 of 96 - Sale <sup>25</sup>
	21.000	25.30	08/24/20	168.05	142.75 W	0.00	18 of 96 - Sale <sup>25</sup>
	7.000	8.43	08/24/20	46.26	37.83 W	0.00	19 of 96 - Sale <sup>25</sup>
	7.000	8.43	08/24/20	47.28	38.85 W	0.00	20 of 96 - Sale <sup>25</sup>
	10.000	12.05	08/24/20	67.80	55.75 W	0.00	21 of 96 - Sale <sup>25</sup>
	10.000	12.05	08/24/20	65.96	53.91 W	0.00	22 of 96 - Sale <sup>25</sup>
	17.000	20.48	08/24/20	112.56	92.08 W	0.00	23 of 96 - Sale <sup>25</sup>
	24.000	28.92	08/24/20	158.65	129.73 W	0.00	24 of 96 - Sale <sup>25</sup>
	33.000	39.76	08/24/20	218.48	178.72 W	0.00	25 of 96 - Sale <sup>25</sup>
	33.000	39.76	08/24/20	218.18	178.42 W	0.00	26 of 96 - Sale <sup>25</sup>
	76.000	91.57	08/24/20	502.43	410.86 W	0.00	27 of 96 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
17.000		20.48	08/24/20	104.41	83.93 W	0.00	28 of 96 - Sale <sup>25</sup>
26.000		31.33	08/24/20	166.30	134.97 W	0.00	29 of 96 - Sale <sup>25</sup>
40.000		48.19	08/24/20	257.31	209.12 W	0.00	30 of 96 - Sale <sup>25</sup>
17.000		20.48	08/24/20	108.14	87.66 W	0.00	31 of 96 - Sale <sup>25</sup>
1.000		1.20	08/24/20	5.40	4.20 W	0.00	32 of 96 - Sale <sup>25</sup>
3.000		3.61	08/24/20	16.10	12.49 W	0.00	33 of 96 - Sale <sup>25</sup>
13.000		15.66	08/24/20	69.75	54.09 W	0.00	34 of 96 - Sale <sup>25</sup>
14.000		16.87	08/24/20	75.11	58.24 W	0.00	35 of 96 - Sale <sup>25</sup>
69.000		83.14	08/24/20	370.14	287.00 W	0.00	36 of 96 - Sale <sup>25</sup>
83.000		100.01	08/24/20	444.02	344.01 W	0.00	37 of 96 - Sale <sup>25</sup>
1.000		1.20	08/24/20	5.32	4.12 W	0.00	38 of 96 - Sale <sup>25</sup>
2.000		2.41	08/24/20	10.61	8.20 W	0.00	39 of 96 - Sale <sup>25</sup>
8.000		9.64	08/24/20	42.45	32.81 W	0.00	40 of 96 - Sale <sup>25</sup>
9.000		10.84	08/24/20	47.77	36.93 W	0.00	41 of 96 - Sale <sup>25</sup>
14.000		16.87	08/24/20	73.98	57.11 W	0.00	42 of 96 - Sale <sup>25</sup>
16.000		19.28	08/24/20	84.31	65.03 W	0.00	43 of 96 - Sale <sup>25</sup>
19.000		22.89	08/24/20	100.36	77.47 W	0.00	44 of 96 - Sale <sup>25</sup>
20.000		24.10	08/24/20	106.00	81.90 W	0.00	45 of 96 - Sale <sup>25</sup>
50.000		60.24	08/24/20	265.03	204.79 W	0.00	46 of 96 - Sale <sup>25</sup>
61.000		73.50	08/24/20	323.30	249.80 W	0.00	47 of 96 - Sale <sup>25</sup>
100.000		120.49	08/24/20	530.01	409.52 W	0.00	48 of 96 - Sale <sup>25</sup>
17.000		19.63	08/24/20	90.02	70.39 W	0.00	49 of 96 - Sale <sup>25</sup>
33.000		38.11	08/24/20	206.08	167.97 W	0.00	50 of 96 - Sale <sup>25</sup>
1.000		1.15	08/24/20	6.14	4.99 W	0.00	51 of 96 - Sale <sup>25</sup>
3.000		3.46	08/24/20	18.41	14.95 W	0.00	52 of 96 - Sale <sup>25</sup>
5.000		5.77	08/24/20	30.65	24.88 W	0.00	53 of 96 - Sale <sup>25</sup>
9.000		10.39	08/24/20	55.21	44.82 W	0.00	54 of 96 - Sale <sup>25</sup>
17.000		19.63	08/24/20	104.09	84.46 W	0.00	55 of 96 - Sale <sup>25</sup>
32.000		36.96	08/24/20	196.23	159.27 W	0.00	56 of 96 - Sale <sup>25</sup>
33.000		38.11	08/24/20	202.04	163.93 W	0.00	57 of 96 - Sale <sup>25</sup>
50.000		57.74	08/24/20	307.02	249.28 W	0.00	58 of 96 - Sale <sup>25</sup>
1.000		1.15	08/24/20	6.17	5.02 W	0.00	59 of 96 - Sale <sup>25</sup>
18.000		20.79	08/24/20	110.53	89.74 W	0.00	60 of 96 - Sale <sup>25</sup>
31.000		35.80	08/24/20	190.33	154.53 W	0.00	61 of 96 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	4.000	4.62	08/24/20	15.37	10.75 W	0.00	62 of 96 - Sale <sup>25</sup>
	65.000	75.07	08/24/20	249.91	174.84 W	0.00	63 of 96 - Sale <sup>25</sup>
	81.000	93.55	08/24/20	311.39	217.84 W	0.00	64 of 96 - Sale <sup>25</sup>
	100.000	115.49	08/24/20	381.46	265.97 W	0.00	65 of 96 - Sale <sup>25</sup>
	200.000	228.39	08/24/20	573.11	344.72 W	0.00	66 of 96 - Sale <sup>25</sup>
	50.000	57.10	08/24/20	130.48	73.38 W	0.00	67 of 96 - Sale <sup>25</sup>
	250.000	285.49	08/24/20	652.36	366.87 W	0.00	68 of 96 - Sale <sup>25</sup>
	1.000	1.14	08/24/20	7.80	6.66 W	0.00	69 of 96 - Sale <sup>25</sup>
	4.000	4.57	08/24/20	30.79	26.22 W	0.00	70 of 96 - Sale <sup>25</sup>
	15.000	17.13	08/24/20	115.51	98.38 W	0.00	71 of 96 - Sale <sup>25</sup>
	30.000	34.26	08/24/20	231.18	196.92 W	0.00	72 of 96 - Sale <sup>25</sup>
	12.000	13.70	08/24/20	98.66	84.96 W	0.00	73 of 96 - Sale <sup>25</sup>
	1.000	1.14	08/24/20	8.35	7.21 W	0.00	74 of 96 - Sale <sup>25</sup>
	5.000	5.71	08/24/20	41.70	35.99 W	0.00	75 of 96 - Sale <sup>25</sup>
	10.000	11.42	08/24/20	83.30	71.88 W	0.00	76 of 96 - Sale <sup>25</sup>
	13.000	14.85	08/24/20	108.68	93.83 W	0.00	77 of 96 - Sale <sup>25</sup>
	36.000	41.11	08/24/20	299.98	258.87 W	0.00	78 of 96 - Sale <sup>25</sup>
	4.000	4.57	08/24/20	32.33	27.76 W	0.00	79 of 96 - Sale <sup>25</sup>
	7.000	7.99	08/24/20	56.59	48.60 W	0.00	80 of 96 - Sale <sup>25</sup>
	17.000	19.41	08/24/20	136.60	117.19 W	0.00	81 of 96 - Sale <sup>25</sup>
	17.000	19.41	08/24/20	137.41	118.00 W	0.00	82 of 96 - Sale <sup>25</sup>
	26.000	29.69	08/24/20	210.16	180.47 W	0.00	83 of 96 - Sale <sup>25</sup>
	12.000	13.70	08/24/20	99.38	85.68 W	0.00	84 of 96 - Sale <sup>25</sup>
	23.000	26.27	08/24/20	190.75	164.48 W	0.00	85 of 96 - Sale <sup>25</sup>
	7.000	7.99	08/24/20	57.40	49.41 W	0.00	86 of 96 - Sale <sup>25</sup>
	10.000	11.42	08/24/20	81.97	70.55 W	0.00	87 of 96 - Sale <sup>25</sup>
	100.000	114.20	08/24/20	391.10	276.90 W	0.00	88 of 96 - Sale <sup>25</sup>
	16.000	18.27	08/24/20	64.26	45.99 W	0.00	89 of 96 - Sale <sup>25</sup>
	16.000	18.27	08/24/20	64.26	45.99 W	0.00	90 of 96 - Sale <sup>25</sup>
	32.000	36.54	08/24/20	128.50	91.96 W	0.00	91 of 96 - Sale <sup>25</sup>
	36.000	41.11	08/24/20	144.57	103.46 W	0.00	92 of 96 - Sale <sup>25</sup>
	4.000	4.57	08/24/20	16.03	11.46 W	0.00	93 of 96 - Sale <sup>25</sup>
	46.000	52.53	08/24/20	184.52	131.99 W	0.00	94 of 96 - Sale <sup>25</sup>
	250.000	285.49	08/24/20	603.07	317.58 W	0.00	95 of 96 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	250.000	285.49	08/24/20	605.58	320.09 W	0.00	96 of 96 - Sale <sup>25</sup>
08/25/20	4,000.000	4,700.05	Various	16,411.28	11,711.23 W	0.00	Total of 96 transactions
	3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	100.000	121.49	08/25/20	182.01	60.52 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	121.48	08/25/20	182.01	60.53 W	0.00	2 of 3 - Sale <sup>25</sup>
	800.000	971.88	08/25/20	1,455.99	484.11 W	0.00	3 of 3 - Sale <sup>25</sup>
08/26/20	1,000.000	1,214.85	Various	1,820.01	605.16 W	0.00	Total of 3 transactions
	53 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	50.000	63.49	08/26/20	91.73	28.24 W	0.00	1 of 53 - Sale <sup>25</sup>
	50.000	63.49	08/26/20	91.73	28.24 W	0.00	2 of 53 - Sale <sup>25</sup>
	50.000	63.49	08/26/20	91.73	28.24 W	0.00	3 of 53 - Sale <sup>25</sup>
	100.000	126.99	08/26/20	179.46	52.47 W	0.00	4 of 53 - Sale <sup>25</sup>
	250.000	317.46	08/26/20	448.65	131.19 W	0.00	5 of 53 - Sale <sup>25</sup>
	100.000	127.43	08/26/20	172.96	45.53 W	0.00	6 of 53 - Sale <sup>25</sup>
	100.000	127.42	08/26/20	172.96	45.54 W	0.00	7 of 53 - Sale <sup>25</sup>
	50.000	63.71	08/26/20	86.48	22.77 W	0.00	8 of 53 - Sale <sup>25</sup>
	250.000	318.56	08/26/20	439.90	121.34 W	0.00	9 of 53 - Sale <sup>25</sup>
	100.000	127.43	08/26/20	147.46	20.03 W	0.00	10 of 53 - Sale <sup>25</sup>
	100.000	127.43	08/26/20	144.45	17.02 W	0.00	11 of 53 - Sale <sup>25</sup>
	4.000	5.10	08/26/20	6.02	0.92 W	0.00	12 of 53 - Sale <sup>25</sup>
	100.000	127.42	08/26/20	142.97	15.55 W	0.00	13 of 53 - Sale <sup>25</sup>
	96.000	122.33	08/26/20	144.44	22.11 W	0.00	14 of 53 - Sale <sup>25</sup>
	100.000	127.42	08/27/20	147.96	20.54 W	0.00	15 of 53 - Sale <sup>25</sup>
	150.000	191.14	08/27/20	221.94	30.80 W	0.00	16 of 53 - Sale <sup>25</sup>
	150.000	191.14	08/27/20	221.94	30.80 W	0.00	17 of 53 - Sale <sup>25</sup>
	200.000	254.85	08/27/20	295.91	41.06 W	0.00	18 of 53 - Sale <sup>25</sup>
	100.000	127.42	08/28/20	205.29	77.87 W	0.00	19 of 53 - Sale <sup>25</sup>
	50.000	63.71	08/28/20	102.65	38.94 W	0.00	20 of 53 - Sale <sup>25</sup>
	100.000	127.43	08/28/20	205.79	78.36 W	0.00	21 of 53 - Sale <sup>25</sup>
	250.000	318.56	08/28/20	519.48	200.92 W	0.00	22 of 53 - Sale <sup>25</sup>
	100.000	127.43	08/28/20	207.79	80.36 W	0.00	23 of 53 - Sale <sup>25</sup>
	100.000	127.42	08/28/20	206.79	79.37 W	0.00	24 of 53 - Sale <sup>25</sup>
	50.000	63.71	08/28/20	103.39	39.68 W	0.00	25 of 53 - Sale <sup>25</sup>
	50.000	63.71	08/28/20	102.39	38.68 W	0.00	26 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	200.000	254.85	08/28/20	409.58	154.73 W	0.00	27 of 53 - Sale <sup>25</sup>
	16.000	20.39	09/01/20	123.32	102.93 W	0.00	28 of 53 - Sale <sup>25</sup>
	20.000	25.49	09/01/20	154.16	128.67 W	0.00	29 of 53 - Sale <sup>25</sup>
	14.000	17.84	09/01/20	104.43	86.59 W	0.00	30 of 53 - Sale <sup>25</sup>
	1.000	1.27	09/01/20	7.42	6.15 W	0.00	31 of 53 - Sale <sup>25</sup>
	2.000	2.55	09/01/20	14.71	12.16 W	0.00	32 of 53 - Sale <sup>25</sup>
	2.000	2.55	09/01/20	14.71	12.16 W	0.00	33 of 53 - Sale <sup>25</sup>
	7.000	8.92	09/01/20	53.46	44.54 W	0.00	34 of 53 - Sale <sup>25</sup>
	11.000	14.02	09/01/20	85.42	71.40 W	0.00	35 of 53 - Sale <sup>25</sup>
	12.000	15.29	09/01/20	91.56	76.27 W	0.00	36 of 53 - Sale <sup>25</sup>
	32.000	40.78	09/01/20	244.24	203.46 W	0.00	37 of 53 - Sale <sup>25</sup>
	33.000	42.05	09/01/20	251.85	209.80 W	0.00	38 of 53 - Sale <sup>25</sup>
	15.000	19.11	09/01/20	119.21	100.10 W	0.00	39 of 53 - Sale <sup>25</sup>
	14.000	17.84	09/01/20	110.32	92.48 W	0.00	40 of 53 - Sale <sup>25</sup>
	21.000	26.76	09/01/20	164.90	138.14 W	0.00	41 of 53 - Sale <sup>25</sup>
	7.000	8.92	09/01/20	45.21	36.29 W	0.00	42 of 53 - Sale <sup>25</sup>
	7.000	8.92	09/01/20	46.24	37.32 W	0.00	43 of 53 - Sale <sup>25</sup>
	10.000	12.74	09/01/20	66.30	53.56 W	0.00	44 of 53 - Sale <sup>25</sup>
	10.000	12.74	09/01/20	64.46	51.72 W	0.00	45 of 53 - Sale <sup>25</sup>
	17.000	21.66	09/01/20	110.02	88.36 W	0.00	46 of 53 - Sale <sup>25</sup>
	24.000	30.58	09/01/20	155.05	124.47 W	0.00	47 of 53 - Sale <sup>25</sup>
	33.000	42.05	09/01/20	213.53	171.48 W	0.00	48 of 53 - Sale <sup>25</sup>
	33.000	42.05	09/01/20	213.24	171.19 W	0.00	49 of 53 - Sale <sup>25</sup>
	76.000	96.84	09/01/20	491.04	394.20 W	0.00	50 of 53 - Sale <sup>25</sup>
	17.000	21.66	09/01/20	101.86	80.20 W	0.00	51 of 53 - Sale <sup>25</sup>
	26.000	33.13	09/01/20	162.40	129.27 W	0.00	52 of 53 - Sale <sup>25</sup>
	40.000	50.98	09/01/20	251.32	200.34 W	0.00	53 of 53 - Sale <sup>25</sup>
09/02/20	3,500.000	4,457.67	Various	8,772.22	4,314.55 W	0.00	Total of 53 transactions
	37 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	17.000	16.25	09/02/20	107.98	91.73 W	0.00	1 of 37 - Sale <sup>25</sup>
	1.000	0.96	09/02/20	5.39	4.43 W	0.00	2 of 37 - Sale <sup>25</sup>
	3.000	2.87	09/02/20	16.07	13.20 W	0.00	3 of 37 - Sale <sup>25</sup>
	13.000	12.43	09/02/20	69.63	57.20 W	0.00	4 of 37 - Sale <sup>25</sup>
	14.000	13.38	09/02/20	74.97	61.59 W	0.00	5 of 37 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	69.000	65.95	09/02/20	369.45	303.50 W	0.00	6 of 37 - Sale <sup>25</sup>
	83.000	79.34	09/02/20	443.20	363.86 W	0.00	7 of 37 - Sale <sup>25</sup>
	1.000	0.96	09/02/20	5.31	4.35 W	0.00	8 of 37 - Sale <sup>25</sup>
	2.000	1.91	09/02/20	10.59	8.68 W	0.00	9 of 37 - Sale <sup>25</sup>
	8.000	7.65	09/02/20	42.37	34.72 W	0.00	10 of 37 - Sale <sup>25</sup>
	9.000	8.60	09/02/20	47.69	39.09 W	0.00	11 of 37 - Sale <sup>25</sup>
	14.000	13.38	09/02/20	73.84	60.46 W	0.00	12 of 37 - Sale <sup>25</sup>
	16.000	15.29	09/02/20	84.15	68.86 W	0.00	13 of 37 - Sale <sup>25</sup>
	19.000	18.16	09/02/20	100.18	82.02 W	0.00	14 of 37 - Sale <sup>25</sup>
	20.000	19.12	09/02/20	105.80	86.68 W	0.00	15 of 37 - Sale <sup>25</sup>
	50.000	47.79	09/02/20	264.54	216.75 W	0.00	16 of 37 - Sale <sup>25</sup>
	61.000	58.31	09/02/20	322.69	264.38 W	0.00	17 of 37 - Sale <sup>25</sup>
	100.000	95.58	09/02/20	529.02	433.44 W	0.00	18 of 37 - Sale <sup>25</sup>
	17.000	16.25	09/02/20	89.77	73.52 W	0.00	19 of 37 - Sale <sup>25</sup>
	33.000	31.54	09/02/20	205.59	174.05 W	0.00	20 of 37 - Sale <sup>25</sup>
	1.000	0.96	09/02/20	6.13	5.17 W	0.00	21 of 37 - Sale <sup>25</sup>
	3.000	2.87	09/02/20	18.37	15.50 W	0.00	22 of 37 - Sale <sup>25</sup>
	5.000	4.78	09/02/20	30.58	25.80 W	0.00	23 of 37 - Sale <sup>25</sup>
	9.000	8.60	09/02/20	55.08	46.48 W	0.00	24 of 37 - Sale <sup>25</sup>
	17.000	16.25	09/02/20	103.84	87.59 W	0.00	25 of 37 - Sale <sup>25</sup>
	17.000	16.25	09/02/20	103.99	87.74 W	0.00	26 of 37 - Sale <sup>25</sup>
	33.000	31.54	09/02/20	201.55	170.01 W	0.00	27 of 37 - Sale <sup>25</sup>
	1.000	0.96	09/02/20	6.16	5.20 W	0.00	28 of 37 - Sale <sup>25</sup>
	15.000	14.34	09/02/20	91.76	77.42 W	0.00	29 of 37 - Sale <sup>25</sup>
	18.000	17.20	09/02/20	110.26	93.06 W	0.00	30 of 37 - Sale <sup>25</sup>
	31.000	29.63	09/02/20	189.87	160.24 W	0.00	31 of 37 - Sale <sup>25</sup>
	50.000	47.79	09/02/20	306.28	258.49 W	0.00	32 of 37 - Sale <sup>25</sup>
	3.000	2.87	09/03/20	11.33	8.46 W	0.00	33 of 37 - Sale <sup>25</sup>
	1.000	0.96	09/03/20	3.78	2.82 W	0.00	34 of 37 - Sale <sup>25</sup>
	65.000	62.13	09/03/20	245.69	183.56 W	0.00	35 of 37 - Sale <sup>25</sup>
	81.000	77.42	09/03/20	306.13	228.71 W	0.00	36 of 37 - Sale <sup>25</sup>
	100.000	95.58	09/03/20	374.97	279.39 W	0.00	37 of 37 - Sale <sup>25</sup>
09/04/20	1,000.000	955.85	Various	5,134.00	4,178.15 W	0.00	Total of 37 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
<i>18 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	250.000	263.29	09/03/20	630.62	...	-367.33	1 of 18 - Sale <sup>25</sup>
	200.000	210.63	09/03/20	555.72	...	-345.09	2 of 18 - Sale <sup>25</sup>
	100.000	105.32	09/03/20	382.40	...	-277.08	3 of 18 - Sale <sup>25</sup>
	23.000	24.22	09/03/20	188.74	...	-164.52	4 of 18 - Sale <sup>25</sup>
	46.000	48.45	09/03/20	180.52	...	-132.07	5 of 18 - Sale <sup>25</sup>
	36.000	37.91	09/03/20	141.44	...	-103.53	6 of 18 - Sale <sup>25</sup>
	32.000	33.70	09/03/20	125.72	...	-92.02	7 of 18 - Sale <sup>25</sup>
	50.000	52.66	09/03/20	126.13	...	-73.47	8 of 18 - Sale <sup>25</sup>
	10.000	10.53	09/03/20	81.10	...	-70.57	9 of 18 - Sale <sup>25</sup>
	7.000	7.37	09/03/20	56.80	...	-49.43	10 of 18 - Sale <sup>25</sup>
	16.000	16.85	09/03/20	62.87	...	-46.02	11 of 18 - Sale <sup>25</sup>
	16.000	16.85	09/03/20	62.87	...	-46.02	12 of 18 - Sale <sup>25</sup>
	4.000	4.21	09/03/20	15.68	...	-11.47	13 of 18 - Sale <sup>25</sup>
	250.000	263.30	09/04/20	561.34	...	-298.04	14 of 18 - Sale <sup>25</sup>
	250.000	263.29	09/04/20	558.83	...	-295.54	15 of 18 - Sale <sup>25</sup>
	300.000	315.95	09/04/20	483.04	...	-167.09	16 of 18 - Sale <sup>25</sup>
	100.000	105.32	09/04/20	155.53	...	-50.21	17 of 18 - Sale <sup>25</sup>
	100.000	105.32	09/04/20	155.52	...	-50.20	18 of 18 - Sale <sup>25</sup>
09/10/20	1,790.000	1,885.17	Various	4,524.87	...	-2,639.70	Total of 18 transactions
<i>16 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	12.64	09/03/20	98.34	14.28 W	-71.42	1 of 16 - Sale <sup>25</sup>
	1.000	1.05	09/03/20	7.72	6.67 W	0.00	2 of 16 - Sale <sup>25</sup>
	4.000	4.21	09/03/20	30.44	26.23 W	0.00	3 of 16 - Sale <sup>25</sup>
	15.000	15.80	09/03/20	114.20	98.40 W	0.00	4 of 16 - Sale <sup>25</sup>
	30.000	31.59	09/03/20	228.57	196.98 W	0.00	5 of 16 - Sale <sup>25</sup>
	12.000	12.64	09/03/20	97.62	84.98 W	0.00	6 of 16 - Sale <sup>25</sup>
	1.000	1.05	09/03/20	8.26	7.21 W	0.00	7 of 16 - Sale <sup>25</sup>
	5.000	5.27	09/03/20	41.27	36.00 W	0.00	8 of 16 - Sale <sup>25</sup>
	10.000	10.53	09/03/20	82.43	71.90 W	0.00	9 of 16 - Sale <sup>25</sup>
	13.000	13.69	09/03/20	107.55	93.86 W	0.00	10 of 16 - Sale <sup>25</sup>
	36.000	37.91	09/03/20	296.85	258.94 W	0.00	11 of 16 - Sale <sup>25</sup>
	4.000	4.21	09/03/20	31.98	27.77 W	0.00	12 of 16 - Sale <sup>25</sup>
	7.000	7.37	09/03/20	55.98	48.61 W	0.00	13 of 16 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	17.000	17.90	09/03/20	135.12	117.22 W	0.00	14 of 16 - Sale <sup>25</sup>
	17.000	17.90	09/03/20	135.94	118.04 W	0.00	15 of 16 - Sale <sup>25</sup>
	26.000	27.38	09/03/20	207.90	180.52 W	0.00	16 of 16 - Sale <sup>25</sup>
09/10/20	210.000	221.14	Various	1,680.17	1,387.61 W	-71.42	Total of 16 transactions
	6 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	115.000	122.47	09/14/20	171.72	...	-49.25	1 of 6 - Sale <sup>25</sup>
	85.000	90.51	09/14/20	126.93	...	-36.42	2 of 6 - Sale <sup>25</sup>
	50.000	53.24	09/14/20	76.67	...	-23.43	3 of 6 - Sale <sup>25</sup>
	50.000	53.24	09/14/20	74.66	...	-21.42	4 of 6 - Sale <sup>25</sup>
	50.000	53.25	09/14/20	74.66	...	-21.41	5 of 6 - Sale <sup>25</sup>
	50.000	53.25	09/14/20	74.66	...	-21.41	6 of 6 - Sale <sup>25</sup>
09/15/20	400.000	425.96	Various	599.30	...	-173.34	Total of 6 transactions
	6 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	100.000	106.49	09/10/20	167.01	60.52 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	106.49	09/10/20	167.02	60.53 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	106.49	09/10/20	167.01	60.52 W	0.00	3 of 6 - Sale <sup>25</sup>
	200.000	212.98	09/10/20	334.03	121.05 W	0.00	4 of 6 - Sale <sup>25</sup>
	50.000	53.24	09/14/20	76.67	23.43 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	53.24	09/14/20	76.66	23.42 W	0.00	6 of 6 - Sale <sup>25</sup>
09/15/20	600.000	638.93	Various	988.40	349.47 W	0.00	Total of 6 transactions
	6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	100.000	112.49	09/15/20	152.03	...	-39.54	1 of 6 - Sale <sup>25</sup>
	50.000	56.24	09/15/20	76.02	...	-19.78	2 of 6 - Sale <sup>25</sup>
	50.000	56.24	09/15/20	76.02	...	-19.78	3 of 6 - Sale <sup>25</sup>
	50.000	56.25	09/15/20	76.02	...	-19.77	4 of 6 - Sale <sup>25</sup>
	50.000	56.25	09/17/20	75.02	...	-18.77	5 of 6 - Sale <sup>25</sup>
	50.000	56.25	09/17/20	75.02	...	-18.77	6 of 6 - Sale <sup>25</sup>
09/18/20	350.000	393.72	Various	530.13	...	-136.41	Total of 6 transactions
	21 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	100.000	125.37	09/18/20	200.37	...	-75.00	1 of 21 - Sale <sup>25</sup>
	100.000	125.38	09/18/20	198.36	...	-72.98	2 of 21 - Sale <sup>25</sup>
	100.000	125.37	09/18/20	197.87	...	-72.50	3 of 21 - Sale <sup>25</sup>
	150.000	188.06	09/18/20	252.80	...	-64.74	4 of 21 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	50.000	62.69	09/18/20	98.94	...	-36.25	5 of 21 - Sale <sup>25</sup>
	150.000	188.06	09/18/20	210.80	...	-22.74	6 of 21 - Sale <sup>25</sup>
	150.000	188.06	09/18/20	210.80	...	-22.74	7 of 21 - Sale <sup>25</sup>
	150.000	188.06	09/18/20	210.79	...	-22.73	8 of 21 - Sale <sup>25</sup>
	96.000	120.36	09/18/20	137.31	...	-16.95	9 of 21 - Sale <sup>25</sup>
	100.000	125.38	09/18/20	140.54	...	-15.16	10 of 21 - Sale <sup>25</sup>
	100.000	125.38	09/18/20	140.03	...	-14.65	11 of 21 - Sale <sup>25</sup>
	100.000	125.37	09/18/20	137.02	...	-11.65	12 of 21 - Sale <sup>25</sup>
	100.000	125.38	09/18/20	135.55	...	-10.17	13 of 21 - Sale <sup>25</sup>
	50.000	62.69	09/18/20	70.27	...	-7.58	14 of 21 - Sale <sup>25</sup>
	4.000	5.01	09/18/20	5.72	...	-0.71	15 of 21 - Sale <sup>25</sup>
	150.000	188.06	09/21/20	310.30	...	-122.24	16 of 21 - Sale <sup>25</sup>
	100.000	125.38	09/21/20	206.86	...	-81.48	17 of 21 - Sale <sup>25</sup>
	100.000	125.38	09/21/20	205.87	...	-80.49	18 of 21 - Sale <sup>25</sup>
	50.000	62.69	09/21/20	102.93	...	-40.24	19 of 21 - Sale <sup>25</sup>
	50.000	62.68	09/21/20	101.93	...	-39.25	20 of 21 - Sale <sup>25</sup>
	50.000	62.69	09/21/20	101.93	...	-39.24	21 of 21 - Sale <sup>25</sup>
09/21/20	2,000.000	2,507.50	Various	3,376.99	...	-869.49	Total of 21 transactions
	2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	50.000	59.74	09/21/20	98.43	...	-38.69	1 of 2 - Sale <sup>25</sup>
	50.000	59.75	09/21/20	97.69	...	-37.94	2 of 2 - Sale <sup>25</sup>
09/23/20	100.000	119.49	Various	196.12	...	-76.63	Total of 2 transactions
	15 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	33.000	41.90	09/24/20	243.78	...	-201.88	1 of 15 - Sale <sup>25</sup>
	32.000	40.64	09/24/20	236.41	...	-195.77	2 of 15 - Sale <sup>25</sup>
	21.000	26.66	09/24/20	159.76	...	-133.10	3 of 15 - Sale <sup>25</sup>
	20.000	25.40	09/24/20	149.27	...	-123.87	4 of 15 - Sale <sup>25</sup>
	16.000	20.32	09/24/20	119.41	...	-99.09	5 of 15 - Sale <sup>25</sup>
	15.000	19.05	09/24/20	115.54	...	-96.49	6 of 15 - Sale <sup>25</sup>
	14.000	17.78	09/24/20	106.90	...	-89.12	7 of 15 - Sale <sup>25</sup>
	14.000	17.78	09/24/20	101.01	...	-83.23	8 of 15 - Sale <sup>25</sup>
	12.000	15.24	09/24/20	88.63	...	-73.39	9 of 15 - Sale <sup>25</sup>
	11.000	13.97	09/24/20	82.73	...	-68.76	10 of 15 - Sale <sup>25</sup>
	7.000	8.89	09/24/20	51.75	...	-42.86	11 of 15 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	50.000	63.49	09/24/20	90.17	...	-26.68	12 of 15 - Sale <sup>25</sup>
	2.000	2.54	09/24/20	14.22	...	-11.68	13 of 15 - Sale <sup>25</sup>
	2.000	2.54	09/24/20	14.22	...	-11.68	14 of 15 - Sale <sup>25</sup>
	1.000	1.27	09/24/20	7.18	...	-5.91	15 of 15 - Sale <sup>25</sup>
09/25/20	250.000	317.47	Various	1,580.98	...	-1,263.51	Total of 15 transactions
	56 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	100.000	143.98	09/25/20	570.94	...	-426.96	1 of 56 - Sale <sup>25</sup>
	83.000	119.51	09/25/20	477.98	...	-358.47	2 of 56 - Sale <sup>25</sup>
	69.000	99.35	09/25/20	398.37	...	-299.02	3 of 56 - Sale <sup>25</sup>
	100.000	143.97	09/25/20	421.89	...	-277.92	4 of 56 - Sale <sup>25</sup>
	61.000	87.83	09/25/20	348.25	...	-260.42	5 of 56 - Sale <sup>25</sup>
	36.000	51.83	09/25/20	310.24	...	-258.41	6 of 56 - Sale <sup>25</sup>
	50.000	71.99	09/25/20	327.24	...	-255.25	7 of 56 - Sale <sup>25</sup>
	81.000	116.62	09/25/20	344.13	...	-227.51	8 of 56 - Sale <sup>25</sup>
	30.000	43.19	09/25/20	239.73	...	-196.54	9 of 56 - Sale <sup>25</sup>
	26.000	37.43	09/25/20	217.57	...	-180.14	10 of 56 - Sale <sup>25</sup>
	33.000	47.51	09/25/20	219.42	...	-171.91	11 of 56 - Sale <sup>25</sup>
	33.000	47.51	09/25/20	215.38	...	-167.87	12 of 56 - Sale <sup>25</sup>
	31.000	44.63	09/25/20	202.86	...	-158.23	13 of 56 - Sale <sup>25</sup>
	33.000	47.51	09/25/20	188.43	...	-140.92	14 of 56 - Sale <sup>25</sup>
	26.000	37.44	09/25/20	165.02	...	-127.58	15 of 56 - Sale <sup>25</sup>
	46.000	66.23	09/25/20	193.15	...	-126.92	16 of 56 - Sale <sup>25</sup>
	17.000	24.48	09/25/20	142.26	...	-117.78	17 of 56 - Sale <sup>25</sup>
	17.000	24.48	09/25/20	141.45	...	-116.97	18 of 56 - Sale <sup>25</sup>
	15.000	21.60	09/25/20	119.77	...	-98.17	19 of 56 - Sale <sup>25</sup>
	13.000	18.72	09/25/20	112.39	...	-93.67	20 of 56 - Sale <sup>25</sup>
	18.000	25.92	09/25/20	117.81	...	-91.89	21 of 56 - Sale <sup>25</sup>
	17.000	24.48	09/25/20	115.11	...	-90.63	22 of 56 - Sale <sup>25</sup>
	17.000	24.48	09/25/20	111.12	...	-86.64	23 of 56 - Sale <sup>25</sup>
	17.000	24.48	09/25/20	110.97	...	-86.49	24 of 56 - Sale <sup>25</sup>
	20.000	28.80	09/25/20	114.18	...	-85.38	25 of 56 - Sale <sup>25</sup>
	12.000	17.28	09/25/20	102.08	...	-84.80	26 of 56 - Sale <sup>25</sup>
	19.000	27.36	09/25/20	108.14	...	-80.78	27 of 56 - Sale <sup>25</sup>
	15.000	21.60	09/25/20	98.04	...	-76.44	28 of 56 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	17.000	24.48	09/25/20	97.07	...	-72.59	29 of 56 - Sale <sup>25</sup>
	17.000	24.48	09/25/20	96.90	...	-72.42	30 of 56 - Sale <sup>25</sup>
	10.000	14.40	09/25/20	86.15	...	-71.75	31 of 56 - Sale <sup>25</sup>
	16.000	23.04	09/25/20	90.86	...	-67.82	32 of 56 - Sale <sup>25</sup>
	14.000	20.16	09/25/20	80.84	...	-60.68	33 of 56 - Sale <sup>25</sup>
	14.000	20.16	09/25/20	79.71	...	-59.55	34 of 56 - Sale <sup>25</sup>
	13.000	18.72	09/25/20	75.07	...	-56.35	35 of 56 - Sale <sup>25</sup>
	19.000	27.36	09/25/20	80.74	...	-53.38	36 of 56 - Sale <sup>25</sup>
	7.000	10.08	09/25/20	58.58	...	-48.50	37 of 56 - Sale <sup>25</sup>
	9.000	12.96	09/25/20	58.86	...	-45.90	38 of 56 - Sale <sup>25</sup>
	9.000	12.96	09/25/20	51.47	...	-38.51	39 of 56 - Sale <sup>25</sup>
	5.000	7.20	09/25/20	43.13	...	-35.93	40 of 56 - Sale <sup>25</sup>
	8.000	11.52	09/25/20	45.72	...	-34.20	41 of 56 - Sale <sup>25</sup>
	4.000	5.76	09/25/20	33.47	...	-27.71	42 of 56 - Sale <sup>25</sup>
	4.000	5.76	09/25/20	31.93	...	-26.17	43 of 56 - Sale <sup>25</sup>
	5.000	7.20	09/25/20	32.67	...	-25.47	44 of 56 - Sale <sup>25</sup>
	3.000	4.32	09/25/20	19.63	...	-15.31	45 of 56 - Sale <sup>25</sup>
	2.000	2.88	09/25/20	17.13	...	-14.25	46 of 56 - Sale <sup>25</sup>
	3.000	4.32	09/25/20	17.33	...	-13.01	47 of 56 - Sale <sup>25</sup>
	2.000	2.88	09/25/20	11.43	...	-8.55	48 of 56 - Sale <sup>25</sup>
	3.000	4.32	09/25/20	12.59	...	-8.27	49 of 56 - Sale <sup>25</sup>
	1.000	1.44	09/25/20	8.63	...	-7.19	50 of 56 - Sale <sup>25</sup>
	1.000	1.44	09/25/20	8.10	...	-6.66	51 of 56 - Sale <sup>25</sup>
	1.000	1.44	09/25/20	6.58	...	-5.14	52 of 56 - Sale <sup>25</sup>
	1.000	1.44	09/25/20	6.54	...	-5.10	53 of 56 - Sale <sup>25</sup>
	1.000	1.44	09/25/20	5.81	...	-4.37	54 of 56 - Sale <sup>25</sup>
	1.000	1.44	09/25/20	5.73	...	-4.29	55 of 56 - Sale <sup>25</sup>
	1.000	1.44	09/25/20	4.19	...	-2.75	56 of 56 - Sale <sup>25</sup>
10/12/20	1,226.000	1,765.25	Various	7,400.78	...	-5,635.53	Total of 56 transactions
	13 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	40.000	57.59	09/25/20	255.34	79.10 W	-118.65	1 of 13 - Sale <sup>25</sup>
	1.000	1.44	09/25/20	6.45	5.01 W	0.00	2 of 13 - Sale <sup>25</sup>
	16.000	23.04	09/25/20	104.12	81.08 W	0.00	3 of 13 - Sale <sup>25</sup>
	17.000	24.48	09/25/20	110.44	85.96 W	0.00	4 of 13 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	33.000	47.51	09/25/20	214.71	167.20 W	0.00	5 of 13 - Sale <sup>25</sup>
	33.000	47.51	09/25/20	214.42	166.91 W	0.00	6 of 13 - Sale <sup>25</sup>
	7.000	10.08	09/25/20	45.93	35.85 W	0.00	7 of 13 - Sale <sup>25</sup>
	7.000	10.08	09/25/20	45.91	35.83 W	0.00	8 of 13 - Sale <sup>25</sup>
	7.000	10.08	09/25/20	46.95	36.87 W	0.00	9 of 13 - Sale <sup>25</sup>
	10.000	14.40	09/25/20	67.31	52.91 W	0.00	10 of 13 - Sale <sup>25</sup>
	10.000	14.40	09/25/20	65.47	51.07 W	0.00	11 of 13 - Sale <sup>25</sup>
	76.000	109.43	09/25/20	498.70	389.27 W	0.00	12 of 13 - Sale <sup>25</sup>
	17.000	24.48	09/25/20	103.57	79.09 W	0.00	13 of 13 - Sale <sup>25</sup>
10/12/20	274.000	394.52	Various	1,779.32	1,266.15 W	-118.65	Total of 13 transactions
	4 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	200.000	298.97	10/12/20	432.05	...	-133.08	1 of 4 - Sale <sup>25</sup>
	100.000	149.48	10/12/20	216.02	...	-66.54	2 of 4 - Sale <sup>25</sup>
	50.000	74.75	10/15/20	90.68	...	-15.93	3 of 4 - Sale <sup>25</sup>
	50.000	74.74	10/15/20	90.67	...	-15.93	4 of 4 - Sale <sup>25</sup>
10/23/20	400.000	597.94	Various	829.42	...	-231.48	Total of 4 transactions
	2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	100.000	149.49	10/12/20	207.02	57.53 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	149.48	10/12/20	216.03	66.55 W	0.00	2 of 2 - Sale <sup>25</sup>
10/23/20	200.000	298.97	Various	423.05	124.08 W	0.00	Total of 2 transactions
	6 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	16.000	18.32	11/09/20	96.14	...	-77.82	1 of 6 - Sale <sup>25</sup>
	17.000	19.46	11/09/20	97.20	...	-77.74	2 of 6 - Sale <sup>25</sup>
	10.000	11.45	11/09/20	63.56	...	-52.11	3 of 6 - Sale <sup>25</sup>
	10.000	11.45	11/09/20	61.72	...	-50.27	4 of 6 - Sale <sup>25</sup>
	7.000	8.02	11/09/20	44.32	...	-36.30	5 of 6 - Sale <sup>25</sup>
	7.000	8.01	11/09/20	43.28	...	-35.27	6 of 6 - Sale <sup>25</sup>
11/12/20	67.000	76.71	Various	406.22	...	-329.51	Total of 6 transactions
	7 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	76.000	87.02	11/09/20	470.21	216.80 W	-166.39	1 of 7 - Sale <sup>25</sup>
	1.000	1.14	11/09/20	6.08	4.94 W	0.00	2 of 7 - Sale <sup>25</sup>
	7.000	8.01	11/09/20	43.31	35.30 W	0.00	3 of 7 - Sale <sup>25</sup>
	16.000	18.32	11/09/20	98.12	79.80 W	0.00	4 of 7 - Sale <sup>25</sup>

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(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	17.000	19.46	11/09/20	104.06	84.60 W	0.00	5 of 7 - Sale <sup>25</sup>
	33.000	37.78	11/09/20	202.34	164.56 W	0.00	6 of 7 - Sale <sup>25</sup>
	33.000	37.78	11/09/20	202.06	164.28 W	0.00	7 of 7 - Sale <sup>25</sup>
11/12/20	183.000	209.51	Various	1,126.18	750.28 W	-166.39	Total of 7 transactions
	3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	100.000	117.04	11/20/20	178.42	...	-61.38	1 of 3 - Sale <sup>25</sup>
	50.000	58.52	11/20/20	93.75	...	-35.23	2 of 3 - Sale <sup>25</sup>
	50.000	58.52	11/20/20	93.73	...	-35.21	3 of 3 - Sale <sup>25</sup>
11/23/20	200.000	234.08	Various	365.90	...	-131.82	Total of 3 transactions
	7 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	43.000	66.01	11/30/20	281.71	...	-215.70	1 of 7 - Sale <sup>25</sup>
	33.000	50.65	11/30/20	214.38	...	-163.73	2 of 7 - Sale <sup>25</sup>
	33.000	50.65	11/30/20	214.09	...	-163.44	3 of 7 - Sale <sup>25</sup>
	17.000	26.09	11/30/20	110.26	...	-84.17	4 of 7 - Sale <sup>25</sup>
	16.000	24.56	11/30/20	103.95	...	-79.39	5 of 7 - Sale <sup>25</sup>
	7.000	10.74	11/30/20	45.87	...	-35.13	6 of 7 - Sale <sup>25</sup>
	1.000	1.53	11/30/20	6.45	...	-4.92	7 of 7 - Sale <sup>25</sup>
12/08/20	150.000	230.23	Various	976.71	...	-746.48	Total of 7 transactions
	<b>Security total:</b>	<b>105,447.35</b>		<b>243,123.06</b>	<b>125,872.85 W</b>	<b>-11,802.86</b>	
GLOBAL EAGLE ENTERTAINMENT INC . COMMON STOCK / CUSIP: 37951D300 / Symbol:							
06/15/20	50.000	316.50	06/10/20	373.50	57.00 W	0.00	Sale <sup>25</sup>
	12 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	50.000	216.50	06/10/20	440.00	223.50 W	0.00	1 of 12 - Sale <sup>25</sup>
	10.000	43.30	06/15/20	63.90	20.60 W	0.00	2 of 12 - Sale <sup>25</sup>
	40.000	173.19	06/15/20	257.60	84.41 W	0.00	3 of 12 - Sale <sup>25</sup>
	50.000	214.99	06/15/20	565.25	350.26 W	0.00	4 of 12 - Sale <sup>25</sup>
	10.000	43.00	06/24/20	71.45	28.45 W	0.00	5 of 12 - Sale <sup>25</sup>
	40.000	171.99	06/24/20	287.81	115.82 W	0.00	6 of 12 - Sale <sup>25</sup>
	50.000	214.99	06/24/20	245.50	30.51 W	0.00	7 of 12 - Sale <sup>25</sup>
	47.000	202.09	06/25/20	222.31	20.22 W	0.00	8 of 12 - Sale <sup>25</sup>
	3.000	12.90	06/25/20	14.19	1.29 W	0.00	9 of 12 - Sale <sup>25</sup>
	50.000	214.99	06/29/20	221.00	6.01 W	0.00	10 of 12 - Sale <sup>25</sup>
	50.000	214.99	06/29/20	221.00	6.01 W	0.00	11 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GLOBAL EAGLE ENTERTAINMENT INC . COMMON STOCK / CUSIP: 37951D300 / Symbol: (cont'd)							
07/06/20	100.000	429.97	06/30/20	471.50	41.53 W	0.00	12 of 12 - Sale <sup>25</sup>
	500.000	2,152.90	Various	3,081.51	928.61 W	0.00	Total of 12 transactions
	48 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	50.000	113.50	07/06/20	567.01	453.51 W	0.00	1 of 48 - Sale <sup>25</sup>
	10.000	22.70	07/06/20	71.80	49.10 W	0.00	2 of 48 - Sale <sup>25</sup>
	40.000	90.79	07/06/20	289.22	198.43 W	0.00	3 of 48 - Sale <sup>25</sup>
	50.000	113.50	07/06/20	247.26	133.76 W	0.00	4 of 48 - Sale <sup>25</sup>
	3.000	6.81	07/06/20	14.29	7.48 W	0.00	5 of 48 - Sale <sup>25</sup>
	47.000	106.68	07/06/20	223.97	117.29 W	0.00	6 of 48 - Sale <sup>25</sup>
	50.000	113.50	07/06/20	222.76	109.26 W	0.00	7 of 48 - Sale <sup>25</sup>
	50.000	113.49	07/06/20	222.76	109.27 W	0.00	8 of 48 - Sale <sup>25</sup>
	100.000	226.99	07/06/20	468.03	241.04 W	0.00	9 of 48 - Sale <sup>25</sup>
	50.000	113.50	07/08/20	635.76	522.26 W	0.00	10 of 48 - Sale <sup>25</sup>
	10.000	22.70	07/08/20	85.55	62.85 W	0.00	11 of 48 - Sale <sup>25</sup>
	40.000	90.79	07/08/20	344.23	253.44 W	0.00	12 of 48 - Sale <sup>25</sup>
	50.000	113.50	07/09/20	320.76	207.26 W	0.00	13 of 48 - Sale <sup>25</sup>
	3.000	6.81	07/09/20	18.70	11.89 W	0.00	14 of 48 - Sale <sup>25</sup>
	47.000	106.68	07/09/20	293.07	186.39 W	0.00	15 of 48 - Sale <sup>25</sup>
	50.000	113.49	07/13/20	293.76	180.27 W	0.00	16 of 48 - Sale <sup>25</sup>
	50.000	113.49	07/13/20	293.77	180.28 W	0.00	17 of 48 - Sale <sup>25</sup>
	100.000	226.98	07/21/20	595.04	368.06 W	0.00	18 of 48 - Sale <sup>25</sup>
	50.000	113.49	07/22/20	659.76	546.27 W	0.00	19 of 48 - Sale <sup>25</sup>
	10.000	22.70	07/22/20	90.35	67.65 W	0.00	20 of 48 - Sale <sup>25</sup>
	40.000	90.79	07/22/20	363.44	272.65 W	0.00	21 of 48 - Sale <sup>25</sup>
	50.000	113.49	07/22/20	671.27	557.78 W	0.00	22 of 48 - Sale <sup>25</sup>
	10.000	22.70	07/22/20	92.65	69.95 W	0.00	23 of 48 - Sale <sup>25</sup>
	37.000	83.99	07/22/20	344.70	260.71 W	0.00	24 of 48 - Sale <sup>25</sup>
	3.000	6.81	07/22/20	27.95	21.14 W	0.00	25 of 48 - Sale <sup>25</sup>
	50.000	113.50	07/22/20	332.26	218.76 W	0.00	26 of 48 - Sale <sup>25</sup>
	3.000	6.81	07/22/20	19.39	12.58 W	0.00	27 of 48 - Sale <sup>25</sup>
	47.000	106.68	07/22/20	303.89	197.21 W	0.00	28 of 48 - Sale <sup>25</sup>
	50.000	113.50	07/22/20	305.26	191.76 W	0.00	29 of 48 - Sale <sup>25</sup>
	50.000	113.49	07/22/20	305.27	191.78 W	0.00	30 of 48 - Sale <sup>25</sup>
	100.000	226.99	07/22/20	618.05	391.06 W	0.00	31 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLOBAL EAGLE ENTERTAINMENT INC . COMMON STOCK / CUSIP: 37951D300 / Symbol: (cont'd)							
	100.000	226.99	07/22/20	249.99	23.00 W	0.00	32 of 48 - Sale <sup>25</sup>
	150.000	338.98	07/22/20	374.98	36.00 W	0.00	33 of 48 - Sale <sup>25</sup>
	100.000	224.99	07/22/20	249.99	25.00 W	0.00	34 of 48 - Sale <sup>25</sup>
	250.000	562.46	07/22/20	624.97	62.51 W	0.00	35 of 48 - Sale <sup>25</sup>
	50.000	112.49	07/22/20	682.78	570.29 W	0.00	36 of 48 - Sale <sup>25</sup>
	3.000	6.75	07/22/20	28.64	21.89 W	0.00	37 of 48 - Sale <sup>25</sup>
	10.000	22.50	07/22/20	94.95	72.45 W	0.00	38 of 48 - Sale <sup>25</sup>
	37.000	83.24	07/22/20	353.21	269.97 W	0.00	39 of 48 - Sale <sup>25</sup>
	50.000	112.49	07/22/20	343.75	231.26 W	0.00	40 of 48 - Sale <sup>25</sup>
	3.000	6.75	07/22/20	20.08	13.33 W	0.00	41 of 48 - Sale <sup>25</sup>
	47.000	105.74	07/22/20	314.71	208.97 W	0.00	42 of 48 - Sale <sup>25</sup>
	50.000	112.49	07/22/20	316.75	204.26 W	0.00	43 of 48 - Sale <sup>25</sup>
	50.000	112.50	07/22/20	316.77	204.27 W	0.00	44 of 48 - Sale <sup>25</sup>
	50.000	112.49	07/22/20	320.52	208.03 W	0.00	45 of 48 - Sale <sup>25</sup>
	50.000	112.49	07/22/20	320.52	208.03 W	0.00	46 of 48 - Sale <sup>25</sup>
	100.000	224.98	07/22/20	272.99	48.01 W	0.00	47 of 48 - Sale <sup>25</sup>
	100.000	224.98	07/22/20	273.99	49.01 W	0.00	48 of 48 - Sale <sup>25</sup>
07/23/20	2,500.000	5,654.15	Various	14,501.57	8,847.42 W	0.00	Total of 48 transactions
	30 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	2.000	12.54	07/22/20	11.93	...	0.61	1 of 30 - Sale <sup>25</sup>
	3.000	18.81	07/22/20	17.39	...	1.42	2 of 30 - Sale <sup>25</sup>
	1.000	6.27	07/22/20	3.18	...	3.09	3 of 30 - Sale <sup>25</sup>
	10.000	62.70	07/22/20	57.45	...	5.25	4 of 30 - Sale <sup>25</sup>
	3.000	18.81	07/22/20	8.98	...	9.83	5 of 30 - Sale <sup>25</sup>
	48.000	300.95	07/22/20	286.38	...	14.57	6 of 30 - Sale <sup>25</sup>
	37.000	231.98	07/22/20	214.49	...	17.49	7 of 30 - Sale <sup>25</sup>
	49.000	307.22	07/22/20	155.59	...	151.63	8 of 30 - Sale <sup>25</sup>
	47.000	294.68	07/22/20	140.82	...	153.86	9 of 30 - Sale <sup>25</sup>
	50.000	313.49	07/22/20	137.00	...	176.49	10 of 30 - Sale <sup>25</sup>
	50.000	313.48	07/22/20	135.54	...	177.94	11 of 30 - Sale <sup>25</sup>
	50.000	313.49	07/22/20	135.53	...	177.96	12 of 30 - Sale <sup>25</sup>
	50.000	313.49	07/22/20	131.77	...	181.72	13 of 30 - Sale <sup>25</sup>
	50.000	313.49	07/22/20	131.77	...	181.72	14 of 30 - Sale <sup>25</sup>
	100.000	626.97	07/22/20	298.00	...	328.97	15 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GLOBAL EAGLE ENTERTAINMENT INC . COMMON STOCK / CUSIP: 37951D300 / Symbol: (cont'd)							
	100.000	626.97	07/22/20	278.00	...	348.97	16 of 30 - Sale <sup>25</sup>
	100.000	626.97	07/22/20	274.99	...	351.98	17 of 30 - Sale <sup>25</sup>
	150.000	940.45	07/22/20	349.48	...	590.97	18 of 30 - Sale <sup>25</sup>
	250.000	1,567.44	07/22/20	687.48	...	879.96	19 of 30 - Sale <sup>25</sup>
	400.000	2,507.88	07/22/20	915.95	...	1,591.93	20 of 30 - Sale <sup>25</sup>
	50.000	301.00	07/23/20	109.00	...	192.00	21 of 30 - Sale <sup>25</sup>
	67.000	409.37	07/23/20	153.42	...	255.95	22 of 30 - Sale <sup>25</sup>
	74.000	456.58	07/23/20	168.71	...	287.87	23 of 30 - Sale <sup>25</sup>
	200.000	1,203.99	07/23/20	436.00	...	767.99	24 of 30 - Sale <sup>25</sup>
	250.000	1,504.99	07/23/20	557.50	...	947.49	25 of 30 - Sale <sup>25</sup>
	433.000	2,645.60	07/23/20	991.53	...	1,654.07	26 of 30 - Sale <sup>25</sup>
	426.000	2,628.35	07/23/20	971.24	...	1,657.11	27 of 30 - Sale <sup>25</sup>
	500.000	3,084.96	07/23/20	1,204.95	...	1,880.01	28 of 30 - Sale <sup>25</sup>
	500.000	3,009.96	07/23/20	1,084.95	...	1,925.01	29 of 30 - Sale <sup>25</sup>
	500.000	3,074.97	07/23/20	1,144.95	...	1,930.02	30 of 30 - Sale <sup>25</sup>
07/24/20	4,550.000	28,037.85	Various	11,193.97	...	16,843.88	Total of 30 transactions
	14 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	48.000	300.95	07/22/20	666.52	365.57 W	0.00	1 of 14 - Sale <sup>25</sup>
	2.000	12.54	07/22/20	27.77	15.23 W	0.00	2 of 14 - Sale <sup>25</sup>
	3.000	18.81	07/22/20	29.33	10.52 W	0.00	3 of 14 - Sale <sup>25</sup>
	10.000	62.70	07/22/20	97.25	34.55 W	0.00	4 of 14 - Sale <sup>25</sup>
	37.000	231.97	07/22/20	361.73	129.76 W	0.00	5 of 14 - Sale <sup>25</sup>
	50.000	313.49	07/22/20	355.76	42.27 W	0.00	6 of 14 - Sale <sup>25</sup>
	3.000	18.81	07/22/20	20.80	1.99 W	0.00	7 of 14 - Sale <sup>25</sup>
	47.000	294.68	07/22/20	326.00	31.32 W	0.00	8 of 14 - Sale <sup>25</sup>
	50.000	313.49	07/22/20	328.76	15.27 W	0.00	9 of 14 - Sale <sup>25</sup>
	50.000	313.49	07/22/20	328.77	15.28 W	0.00	10 of 14 - Sale <sup>25</sup>
	50.000	313.49	07/22/20	332.53	19.04 W	0.00	11 of 14 - Sale <sup>25</sup>
	50.000	313.49	07/22/20	332.53	19.04 W	0.00	12 of 14 - Sale <sup>25</sup>
	2.000	12.54	07/22/20	19.81	7.27 W	0.00	13 of 14 - Sale <sup>25</sup>
	48.000	300.95	07/22/20	475.49	174.54 W	0.00	14 of 14 - Sale <sup>25</sup>
07/24/20	450.000	2,821.40	Various	3,703.05	881.65 W	0.00	Total of 14 transactions
07/27/20	1.000	3.07	07/24/20	5.84	...	-2.77	Sale <sup>25</sup>
	<b>Security total:</b>	<b>38,985.87</b>		<b>32,859.44</b>	<b>10,714.68 W</b>	<b>16,841.11</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
08/19/20	250.000	2,054.92	08/18/20	2,027.03	...	27.89	Sale <sup>25</sup>
GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	100.000	288.17	06/05/20	341.00	52.83 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	288.17	06/05/20	341.00	52.83 W	0.00	2 of 2 - Sale <sup>25</sup>
06/10/20	200.000	576.34	Various	682.00	105.66 W	0.00	Total of 2 transactions
5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	10.000	25.00	06/05/20	36.98	11.98 W	0.00	1 of 5 - Sale <sup>25</sup>
	40.000	99.99	06/05/20	147.94	47.95 W	0.00	2 of 5 - Sale <sup>25</sup>
	50.000	125.00	06/05/20	185.91	60.91 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	25.00	06/05/20	43.86	18.86 W	0.00	4 of 5 - Sale <sup>25</sup>
	40.000	99.99	06/05/20	148.66	48.67 W	0.00	5 of 5 - Sale <sup>25</sup>
06/11/20	150.000	374.98	Various	563.35	188.37 W	0.00	Total of 5 transactions
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	40.000	102.87	06/05/20	175.47	72.60 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	128.60	06/05/20	220.32	91.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	60.000	154.31	06/05/20	222.98	68.67 W	0.00	3 of 3 - Sale <sup>25</sup>
06/18/20	150.000	385.78	Various	618.77	232.99 W	0.00	Total of 3 transactions
7 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	40.000	104.20	06/11/20	172.92	68.72 W	0.00	1 of 7 - Sale <sup>25</sup>
	50.000	130.24	06/11/20	217.12	86.88 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	26.05	06/11/20	36.53	10.48 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.000	26.05	06/11/20	43.94	17.89 W	0.00	4 of 7 - Sale <sup>25</sup>
	40.000	104.20	06/11/20	148.99	44.79 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	26.05	06/12/20	42.46	16.41 W	0.00	6 of 7 - Sale <sup>25</sup>
	40.000	104.19	06/12/20	169.04	64.85 W	0.00	7 of 7 - Sale <sup>25</sup>
06/24/20	200.000	520.98	Various	831.00	310.02 W	0.00	Total of 7 transactions
8 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	40.000	114.87	06/18/20	172.70	...	-57.83	1 of 8 - Sale <sup>25</sup>
	40.000	114.87	06/18/20	168.04	...	-53.17	2 of 8 - Sale <sup>25</sup>
	40.000	114.87	06/18/20	147.99	...	-33.12	3 of 8 - Sale <sup>25</sup>
	49.000	139.75	06/18/20	164.66	...	-24.91	4 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol: (cont'd)							
	10.000	28.72	06/18/20	43.69	...	-14.97	5 of 8 - Sale <sup>25</sup>
	10.000	28.72	06/18/20	42.21	...	-13.49	6 of 8 - Sale <sup>25</sup>
	10.000	28.72	06/18/20	36.28	...	-7.56	7 of 8 - Sale <sup>25</sup>
	50.000	143.59	06/18/20	129.00	...	14.59	8 of 8 - Sale <sup>25</sup>
06/26/20	249.000	714.11	Various	904.57	...	-190.46	Total of 8 transactions
06/26/20	50.000	143.59	06/12/20	182.62	39.03 W	0.00	Sale <sup>25</sup>
06/29/20	1.000	2.76	06/18/20	3.36	...	-0.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,718.54</b>		<b>3,785.67</b>	<b>876.07 W</b>	<b>-191.06</b>	
GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	97.36	12/10/20	80.00	...	17.36	1 of 2 - Sale <sup>25</sup>
	4.000	389.44	12/10/20	324.36	...	65.08	2 of 2 - Sale <sup>25</sup>
12/10/20	5.000	486.80	Various	404.36	...	82.44	Total of 2 transactions
GROWGENERATION CORP. COMMON ST OCK / CUSIP: 39986L109 / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	25.000	357.61	09/03/20	357.75	...	-0.14	1 of 2 - Sale <sup>25</sup>
	25.000	357.62	09/03/20	357.38	...	0.24	2 of 2 - Sale <sup>25</sup>
09/04/20	50.000	715.23	Various	715.13	...	0.10	Total of 2 transactions
HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	10.000	97.50	04/30/20	108.28	...	-10.78	1 of 2 - Sale <sup>25</sup>
	5.000	48.65	04/30/20	57.07	...	-8.42	2 of 2 - Sale <sup>25</sup>
05/01/20	15.000	146.15	Various	165.35	...	-19.20	Total of 2 transactions
05/01/20	10.000	97.50	04/30/20	107.28	4.89 W	-4.89	Sale <sup>25</sup>
	<b>Security total:</b>	<b>243.65</b>		<b>272.63</b>	<b>4.89 W</b>	<b>-24.09</b>	
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K300 / Symbol:							
13 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	30.000	18.01	03/13/20	16.76	...	1.25	1 of 13 - Sale <sup>25</sup>
	100.000	60.05	03/17/20	59.94	...	0.11	2 of 13 - Sale <sup>25</sup>
	100.000	60.04	03/18/20	55.90	...	4.14	3 of 13 - Sale <sup>25</sup>

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Account 125489310

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	70.000	42.04	03/25/20	41.19	...	0.85	4 of 13 - Sale <sup>25</sup>
	30.000	18.02	03/25/20	17.10	...	0.92	5 of 13 - Sale <sup>25</sup>
	25.000	14.98	03/25/20	13.79	...	1.19	6 of 13 - Sale <sup>25</sup>
	55.000	33.03	03/25/20	31.40	...	1.63	7 of 13 - Sale <sup>25</sup>
	100.000	59.92	03/25/20	55.16	...	4.76	8 of 13 - Sale <sup>25</sup>
	100.000	60.05	03/25/20	55.07	...	4.98	9 of 13 - Sale <sup>25</sup>
	115.000	69.05	03/25/20	63.42	...	5.63	10 of 13 - Sale <sup>25</sup>
	120.000	72.07	03/25/20	66.19	...	5.88	11 of 13 - Sale <sup>25</sup>
	175.000	104.87	03/25/20	96.53	...	8.34	12 of 13 - Sale <sup>25</sup>
	300.000	179.78	03/25/20	165.48	...	14.30	13 of 13 - Sale <sup>25</sup>
03/30/20	1,320.000	791.91	Various	737.93	...	53.98	Total of 13 transactions
	21 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	150.000	90.07	03/05/20	146.24	56.17 W	0.00	1 of 21 - Sale <sup>25</sup>
	50.000	30.02	03/05/20	53.75	23.73 W	0.00	2 of 21 - Sale <sup>25</sup>
	15.000	9.01	03/06/20	13.12	4.11 W	0.00	3 of 21 - Sale <sup>25</sup>
	100.000	60.05	03/11/20	78.42	18.37 W	0.00	4 of 21 - Sale <sup>25</sup>
	25.000	15.01	03/11/20	19.50	4.49 W	0.00	5 of 21 - Sale <sup>25</sup>
	60.000	36.03	03/11/20	44.10	8.07 W	0.00	6 of 21 - Sale <sup>25</sup>
	70.000	42.03	03/17/20	44.67	2.64 W	0.00	7 of 21 - Sale <sup>25</sup>
	85.000	51.04	03/18/20	52.68	1.64 W	0.00	8 of 21 - Sale <sup>25</sup>
	50.000	30.03	03/25/20	51.26	21.23 W	0.00	9 of 21 - Sale <sup>25</sup>
	150.000	90.09	03/25/20	138.78	48.69 W	0.00	10 of 21 - Sale <sup>25</sup>
	15.000	9.01	03/25/20	12.37	3.36 W	0.00	11 of 21 - Sale <sup>25</sup>
	25.000	15.01	03/25/20	18.26	3.25 W	0.00	12 of 21 - Sale <sup>25</sup>
	60.000	36.03	03/25/20	41.11	5.08 W	0.00	13 of 21 - Sale <sup>25</sup>
	100.000	60.06	03/25/20	73.44	13.38 W	0.00	14 of 21 - Sale <sup>25</sup>
	25.000	14.98	03/25/20	21.91	6.93 W	0.00	15 of 21 - Sale <sup>25</sup>
	50.000	29.96	03/25/20	48.81	18.85 W	0.00	16 of 21 - Sale <sup>25</sup>
	125.000	74.91	03/25/20	109.52	34.61 W	0.00	17 of 21 - Sale <sup>25</sup>
	15.000	8.99	03/25/20	11.63	2.64 W	0.00	18 of 21 - Sale <sup>25</sup>
	25.000	14.98	03/25/20	17.04	2.06 W	0.00	19 of 21 - Sale <sup>25</sup>
	60.000	35.96	03/25/20	38.18	2.22 W	0.00	20 of 21 - Sale <sup>25</sup>
	100.000	59.93	03/25/20	68.54	8.61 W	0.00	21 of 21 - Sale <sup>25</sup>
03/30/20	1,355.000	813.20	Various	1,103.33	290.13 W	0.00	Total of 21 transactions

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
5 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	100.000	54.63	03/25/20	63.76	...	-9.13	1 of 5 - Sale <sup>25</sup>
	15.000	8.19	03/25/20	10.91	...	-2.72	2 of 5 - Sale <sup>25</sup>
	60.000	32.77	03/25/20	35.31	...	-2.54	3 of 5 - Sale <sup>25</sup>
	25.000	13.66	03/25/20	15.85	...	-2.19	4 of 5 - Sale <sup>25</sup>
	100.000	54.63	03/25/20	55.15	...	-0.52	5 of 5 - Sale <sup>25</sup>
04/01/20	300.000	163.88	Various	180.98	...	-17.10	Total of 5 transactions
4 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	25.000	13.66	03/25/20	20.72	7.06 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	54.63	03/25/20	82.85	28.22 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	13.66	03/25/20	20.71	7.05 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	27.31	03/25/20	46.42	19.11 W	0.00	4 of 4 - Sale <sup>25</sup>
04/01/20	200.000	109.26	Various	170.70	61.44 W	0.00	Total of 4 transactions
4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	100.000	57.38	05/01/20	89.02	...	-31.64	1 of 4 - Sale <sup>25</sup>
	50.000	28.69	05/01/20	49.51	...	-20.82	2 of 4 - Sale <sup>25</sup>
	25.000	14.35	05/01/20	22.26	...	-7.91	3 of 4 - Sale <sup>25</sup>
	25.000	14.34	05/01/20	22.25	...	-7.91	4 of 4 - Sale <sup>25</sup>
05/01/20	200.000	114.76	Various	183.04	...	-68.28	Total of 4 transactions
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	7.000	14.56	07/16/20	14.14	...	0.42	1 of 3 - Sale <sup>25</sup>
	17.000	35.36	07/16/20	34.34	...	1.02	2 of 3 - Sale <sup>25</sup>
	476.000	989.99	07/16/20	961.52	...	28.47	3 of 3 - Sale <sup>25</sup>
07/16/20	500.000	1,039.91	Various	1,010.00	...	29.91	Total of 3 transactions
21 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	500.000	1,039.91	07/16/20	1,075.00	35.09 W	0.00	1 of 21 - Sale <sup>25</sup>
	100.000	207.98	07/16/20	210.00	2.02 W	0.00	2 of 21 - Sale <sup>25</sup>
	376.000	782.02	07/16/20	891.12	109.10 W	0.00	3 of 21 - Sale <sup>25</sup>
	500.000	1,039.91	07/16/20	1,220.09	180.18 W	0.00	4 of 21 - Sale <sup>25</sup>
	100.000	207.98	07/16/20	239.02	31.04 W	0.00	5 of 21 - Sale <sup>25</sup>
	900.000	1,871.85	07/16/20	2,133.00	261.15 W	0.00	6 of 21 - Sale <sup>25</sup>
	100.000	207.99	07/16/20	237.00	29.01 W	0.00	7 of 21 - Sale <sup>25</sup>
	24.000	49.92	07/16/20	56.88	6.96 W	0.00	8 of 21 - Sale <sup>25</sup>

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	124.000	265.35	07/16/20	303.84	38.49 W	0.00	9 of 21 - Sale <sup>25</sup>
	376.000	804.61	07/16/20	894.94	90.33 W	0.00	10 of 21 - Sale <sup>25</sup>
	100.000	213.99	07/16/20	243.03	29.04 W	0.00	11 of 21 - Sale <sup>25</sup>
	100.000	213.99	07/16/20	241.03	27.04 W	0.00	12 of 21 - Sale <sup>25</sup>
	100.000	213.99	07/16/20	241.01	27.02 W	0.00	13 of 21 - Sale <sup>25</sup>
	24.000	51.36	07/16/20	56.64	5.28 W	0.00	14 of 21 - Sale <sup>25</sup>
	76.000	162.64	07/16/20	184.71	22.07 W	0.00	15 of 21 - Sale <sup>25</sup>
	100.000	213.99	07/16/20	238.04	24.05 W	0.00	16 of 21 - Sale <sup>25</sup>
	500.000	1,069.97	07/16/20	1,215.04	145.07 W	0.00	17 of 21 - Sale <sup>25</sup>
	5.000	10.70	07/16/20	12.45	1.75 W	0.00	18 of 21 - Sale <sup>25</sup>
	24.000	51.36	07/16/20	59.76	8.40 W	0.00	19 of 21 - Sale <sup>25</sup>
	100.000	213.99	07/16/20	249.01	35.02 W	0.00	20 of 21 - Sale <sup>25</sup>
	371.000	793.92	07/16/20	923.85	129.93 W	0.00	21 of 21 - Sale <sup>25</sup>
07/16/20	4,600.000	9,687.42	Various	10,925.46	1,238.04 W	0.00	Total of 21 transactions
	10 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	100.000	227.99	07/16/20	238.04	...	-10.05	1 of 10 - Sale <sup>25</sup>
	79.000	180.90	07/16/20	189.63	...	-8.73	2 of 10 - Sale <sup>25</sup>
	100.000	227.99	07/16/20	236.04	...	-8.05	3 of 10 - Sale <sup>25</sup>
	176.000	403.03	07/16/20	410.12	...	-7.09	4 of 10 - Sale <sup>25</sup>
	45.000	103.05	07/16/20	108.02	...	-4.97	5 of 10 - Sale <sup>25</sup>
	76.000	174.03	07/16/20	177.11	...	-3.08	6 of 10 - Sale <sup>25</sup>
	100.000	228.99	07/16/20	231.02	...	-2.03	7 of 10 - Sale <sup>25</sup>
	76.000	174.03	07/16/20	174.08	...	-0.05	8 of 10 - Sale <sup>25</sup>
	24.000	54.96	07/16/20	54.73	...	0.23	9 of 10 - Sale <sup>25</sup>
	24.000	54.96	07/16/20	54.48	...	0.48	10 of 10 - Sale <sup>25</sup>
07/17/20	800.000	1,829.93	Various	1,873.27	...	-43.34	Total of 10 transactions
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	100.000	228.99	07/16/20	233.53	2.32 W	-2.22	1 of 2 - Sale <sup>25</sup>
	100.000	228.99	07/16/20	233.52	4.53 W	0.00	2 of 2 - Sale <sup>25</sup>
07/17/20	200.000	457.98	Various	467.05	6.85 W	-2.22	Total of 2 transactions
	14 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	2.000	7.28	07/16/20	4.78	...	2.50	1 of 14 - Sale <sup>25</sup>
	5.000	17.10	07/16/20	11.50	...	5.60	2 of 14 - Sale <sup>25</sup>

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	19.000	69.16	07/16/20	43.80	...	25.36	3 of 14 - Sale <sup>25</sup>
	24.000	81.84	07/16/20	55.68	...	26.16	4 of 14 - Sale <sup>25</sup>
	26.000	88.66	07/16/20	60.32	...	28.34	5 of 14 - Sale <sup>25</sup>
	26.000	88.66	07/16/20	60.32	...	28.34	6 of 14 - Sale <sup>25</sup>
	31.000	112.83	07/16/20	71.46	...	41.37	7 of 14 - Sale <sup>25</sup>
	50.000	171.50	07/16/20	116.01	...	55.49	8 of 14 - Sale <sup>25</sup>
	50.000	181.99	07/16/20	115.26	...	66.73	9 of 14 - Sale <sup>25</sup>
	74.000	252.33	07/16/20	171.69	...	80.64	10 of 14 - Sale <sup>25</sup>
	95.000	324.89	07/16/20	218.52	...	106.37	11 of 14 - Sale <sup>25</sup>
	100.000	340.99	07/16/20	232.01	...	108.98	12 of 14 - Sale <sup>25</sup>
	100.000	341.99	07/16/20	232.01	...	109.98	13 of 14 - Sale <sup>25</sup>
	398.000	1,448.63	07/16/20	951.28	...	497.35	14 of 14 - Sale <sup>25</sup>
07/21/20	1,000.000	3,527.85	Various	2,344.64	...	1,183.21	Total of 14 transactions
	3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	99.000	240.56	07/21/20	313.36	...	-72.80	1 of 3 - Sale <sup>25</sup>
	50.000	121.49	07/21/20	158.27	...	-36.78	2 of 3 - Sale <sup>25</sup>
	1.000	2.43	07/21/20	3.47	...	-1.04	3 of 3 - Sale <sup>25</sup>
07/22/20	150.000	364.48	Various	475.10	...	-110.62	Total of 3 transactions
07/27/20	1.000	2.54	07/22/20	2.50	...	0.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>18,903.12</b>		<b>19,474.00</b>	<b>1,596.46 W</b>	<b>1,025.58</b>	
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
	2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	100.000	1,042.46	08/18/20	1,078.00	...	-35.54	1 of 2 - Sale <sup>25</sup>
	100.000	1,042.47	08/18/20	1,078.00	...	-35.53	2 of 2 - Sale <sup>25</sup>
08/19/20	200.000	2,084.93	Various	2,156.00	...	-71.07	Total of 2 transactions
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
	4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	100.000	350.97	06/08/20	451.50	100.53 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	175.49	06/08/20	226.00	50.51 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	339.97	06/08/20	552.53	212.56 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	169.99	06/09/20	252.51	82.52 W	0.00	4 of 4 - Sale <sup>25</sup>
06/10/20	300.000	1,036.42	Various	1,482.54	446.12 W	0.00	Total of 4 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	100.000	211.98	06/24/20	441.06	229.08 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	105.99	06/24/20	196.77	90.78 W	0.00	2 of 4 - Sale <sup>25</sup>
	250.000	529.96	06/24/20	571.25	41.29 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	211.98	06/24/20	212.00	0.02 W	0.00	4 of 4 - Sale <sup>25</sup>
06/24/20	500.000	1,059.91	Various	1,421.08	361.17 W	0.00	Total of 4 transactions
7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	179.00	06/24/20	441.08	...	-262.08	1 of 7 - Sale <sup>25</sup>
	50.000	89.50	06/24/20	183.99	...	-94.49	2 of 7 - Sale <sup>25</sup>
	100.000	185.45	06/24/20	208.51	...	-23.06	3 of 7 - Sale <sup>25</sup>
	50.000	89.50	06/24/20	104.26	...	-14.76	4 of 7 - Sale <sup>25</sup>
	50.000	89.50	06/24/20	101.46	...	-11.96	5 of 7 - Sale <sup>25</sup>
	50.000	92.72	06/24/20	104.26	...	-11.54	6 of 7 - Sale <sup>25</sup>
	100.000	185.45	06/24/20	192.02	...	-6.57	7 of 7 - Sale <sup>25</sup>
06/25/20	500.000	911.12	Various	1,335.58	...	-424.46	Total of 7 transactions
	<b>Security total:</b>	<b>3,007.45</b>		<b>4,239.20</b>	<b>807.29 W</b>	<b>-424.46</b>	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	53.000	106.52	06/26/20	165.69	...	-59.17	1 of 2 - Sale <sup>25</sup>
	47.000	94.47	06/26/20	146.93	...	-52.46	2 of 2 - Sale <sup>25</sup>
07/01/20	100.000	200.99	Various	312.62	...	-111.63	Total of 2 transactions
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	180.000	362.68	06/26/20	459.90	97.22 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	20.15	06/26/20	25.60	5.45 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	20.15	06/26/20	25.86	5.71 W	0.00	3 of 3 - Sale <sup>25</sup>
07/01/20	200.000	402.98	Various	511.36	108.38 W	0.00	Total of 3 transactions
5 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	80.000	158.39	06/26/20	250.10	...	-91.71	1 of 5 - Sale <sup>25</sup>
	90.000	178.19	06/26/20	232.75	...	-54.56	2 of 5 - Sale <sup>25</sup>
	10.000	19.80	06/26/20	31.66	...	-11.86	3 of 5 - Sale <sup>25</sup>
	10.000	19.80	06/26/20	31.31	...	-11.51	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	10.000	19.80	06/26/20	25.86	...	-6.06	5 of 5 - Sale <sup>25</sup>
07/02/20	200.000	395.98	Various	571.68	...	-175.70	Total of 5 transactions
08/17/20	100.000	248.99	08/11/20	291.80	42.81 W	0.00	Sale <sup>25</sup>
	7 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	100.000	218.49	08/11/20	336.31	117.82 W	0.00	1 of 7 - Sale <sup>25</sup>
	50.000	109.25	08/11/20	142.00	32.75 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	109.24	08/11/20	146.50	37.26 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	109.25	08/11/20	205.41	96.16 W	0.00	4 of 7 - Sale <sup>25</sup>
	50.000	109.24	08/13/20	190.66	81.42 W	0.00	5 of 7 - Sale <sup>25</sup>
	50.000	109.25	08/13/20	164.50	55.25 W	0.00	6 of 7 - Sale <sup>25</sup>
	50.000	109.24	08/13/20	169.01	59.77 W	0.00	7 of 7 - Sale <sup>25</sup>
08/20/20	400.000	873.96	Various	1,354.39	480.43 W	0.00	Total of 7 transactions
	8 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	10.000	22.90	08/20/20	19.75	...	3.15	1 of 8 - Sale <sup>25</sup>
	50.000	114.50	08/20/20	103.25	...	11.25	2 of 8 - Sale <sup>25</sup>
	50.000	114.50	08/20/20	103.25	...	11.25	3 of 8 - Sale <sup>25</sup>
	40.000	91.60	08/20/20	78.40	...	13.20	4 of 8 - Sale <sup>25</sup>
	50.000	114.50	08/20/20	97.50	...	17.00	5 of 8 - Sale <sup>25</sup>
	400.000	915.97	08/20/20	874.00	...	41.97	6 of 8 - Sale <sup>25</sup>
	600.000	1,373.94	08/20/20	1,173.00	...	200.94	7 of 8 - Sale <sup>25</sup>
	200.000	457.98	08/21/20	353.00	...	104.98	8 of 8 - Sale <sup>25</sup>
08/24/20	1,400.000	3,205.89	Various	2,802.15	...	403.74	Total of 8 transactions
	10 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	50.000	112.50	08/17/20	220.91	108.41 W	0.00	1 of 10 - Sale <sup>25</sup>
	50.000	112.49	08/17/20	206.17	93.68 W	0.00	2 of 10 - Sale <sup>25</sup>
	50.000	112.00	08/17/20	180.00	68.00 W	0.00	3 of 10 - Sale <sup>25</sup>
	50.000	111.99	08/17/20	184.52	72.53 W	0.00	4 of 10 - Sale <sup>25</sup>
	50.000	114.50	08/18/20	237.16	122.66 W	0.00	5 of 10 - Sale <sup>25</sup>
	50.000	114.50	08/18/20	222.43	107.93 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	114.50	08/18/20	196.75	82.25 W	0.00	7 of 10 - Sale <sup>25</sup>
	50.000	114.50	08/18/20	201.28	86.78 W	0.00	8 of 10 - Sale <sup>25</sup>
	200.000	457.98	08/18/20	515.00	57.02 W	0.00	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	200.000	457.98	08/19/20	479.00	10.51 W	-10.51	10 of 10 - Sale <sup>25</sup>
08/24/20	800.000	1,822.94	Various	2,643.22	809.77 W	-10.51	Total of 10 transactions
<i>9 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	49.000	110.24	08/24/20	231.93	...	-121.69	1 of 9 - Sale <sup>25</sup>
	50.000	112.49	08/24/20	221.92	...	-109.43	2 of 9 - Sale <sup>25</sup>
	50.000	112.49	08/24/20	198.03	...	-85.54	3 of 9 - Sale <sup>25</sup>
	50.000	112.49	08/24/20	193.50	...	-81.01	4 of 9 - Sale <sup>25</sup>
	100.000	224.99	08/24/20	251.01	...	-26.02	5 of 9 - Sale <sup>25</sup>
	50.000	112.49	08/24/20	125.51	...	-13.02	6 of 9 - Sale <sup>25</sup>
	50.000	112.49	08/24/20	125.00	...	-12.51	7 of 9 - Sale <sup>25</sup>
	100.000	224.98	08/24/20	233.01	...	-8.03	8 of 9 - Sale <sup>25</sup>
	1.000	2.25	08/24/20	4.73	...	-2.48	9 of 9 - Sale <sup>25</sup>
08/25/20	500.000	1,124.91	Various	1,584.64	...	-459.73	Total of 9 transactions
	<b>Security total:</b>	<b>8,276.64</b>		<b>10,071.86</b>	<b>1,441.39 W</b>	<b>-353.83</b>	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
<i>23 transactions for 02/13/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	184.55	02/05/20	177.39	...	7.16	1 of 23 - Sale <sup>25</sup>
	2.000	7.38	02/06/20	6.88	...	0.50	2 of 23 - Sale <sup>25</sup>
	30.000	110.73	02/06/20	102.58	...	8.15	3 of 23 - Sale <sup>25</sup>
	33.000	121.81	02/06/20	108.90	...	12.91	4 of 23 - Sale <sup>25</sup>
	3.000	11.07	02/07/20	9.81	...	1.26	5 of 23 - Sale <sup>25</sup>
	8.000	31.21	02/10/20	31.18	...	0.03	6 of 23 - Sale <sup>25</sup>
	17.000	66.32	02/10/20	65.68	...	0.64	7 of 23 - Sale <sup>25</sup>
	8.000	31.21	02/10/20	29.31	...	1.90	8 of 23 - Sale <sup>25</sup>
	17.000	65.99	02/10/20	63.72	...	2.27	9 of 23 - Sale <sup>25</sup>
	11.000	42.91	02/10/20	39.62	...	3.29	10 of 23 - Sale <sup>25</sup>
	12.000	46.81	02/10/20	42.57	...	4.24	11 of 23 - Sale <sup>25</sup>
	3.000	11.79	02/11/20	10.83	...	0.96	12 of 23 - Sale <sup>25</sup>
	2.000	7.92	02/11/20	6.85	...	1.07	13 of 23 - Sale <sup>25</sup>
	3.000	11.79	02/11/20	10.12	...	1.67	14 of 23 - Sale <sup>25</sup>
	3.000	11.88	02/11/20	10.07	...	1.81	15 of 23 - Sale <sup>25</sup>
	4.000	15.73	02/11/20	13.60	...	2.13	16 of 23 - Sale <sup>25</sup>
	5.000	19.66	02/11/20	17.34	...	2.32	17 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	7.000	27.30	02/11/20	24.03	...	3.27	18 of 23 - Sale <sup>25</sup>
	7.000	27.52	02/11/20	23.72	...	3.80	19 of 23 - Sale <sup>25</sup>
	23.000	90.42	02/11/20	78.79	...	11.63	20 of 23 - Sale <sup>25</sup>
	29.000	114.00	02/11/20	99.54	...	14.46	21 of 23 - Sale <sup>25</sup>
	26.000	102.21	02/11/20	87.18	...	15.03	22 of 23 - Sale <sup>25</sup>
	100.000	396.13	02/12/20	332.87	...	63.26	23 of 23 - Sale <sup>25</sup>
02/13/20	403.000	1,556.34	Various	1,392.58	...	163.76	Total of 23 transactions
	13 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	80.000	295.28	01/24/20	354.00	58.72 W	0.00	1 of 13 - Sale <sup>25</sup>
	20.000	73.82	01/29/20	80.78	6.96 W	0.00	2 of 13 - Sale <sup>25</sup>
	12.000	44.29	02/03/20	48.88	4.59 W	0.00	3 of 13 - Sale <sup>25</sup>
	25.000	92.27	02/03/20	100.74	8.47 W	0.00	4 of 13 - Sale <sup>25</sup>
	25.000	92.27	02/03/20	98.97	6.70 W	0.00	5 of 13 - Sale <sup>25</sup>
	20.000	73.82	02/04/20	75.20	1.38 W	0.00	6 of 13 - Sale <sup>25</sup>
	47.000	182.42	02/07/20	188.19	5.77 W	0.00	7 of 13 - Sale <sup>25</sup>
	30.000	116.43	02/07/20	117.72	1.29 W	0.00	8 of 13 - Sale <sup>25</sup>
	3.000	11.64	02/10/20	12.40	0.76 W	0.00	9 of 13 - Sale <sup>25</sup>
	3.000	11.64	02/10/20	11.69	0.05 W	0.00	10 of 13 - Sale <sup>25</sup>
	7.000	27.31	02/10/20	27.52	0.21 W	0.00	11 of 13 - Sale <sup>25</sup>
	5.000	19.51	02/10/20	20.06	0.55 W	0.00	12 of 13 - Sale <sup>25</sup>
	25.000	97.53	02/10/20	99.22	1.69 W	0.00	13 of 13 - Sale <sup>25</sup>
02/13/20	302.000	1,138.23	Various	1,235.37	97.14 W	0.00	Total of 13 transactions
02/26/20	10.000	38.91	02/19/20	38.19	...	0.72	Sale <sup>25</sup>
02/26/20	100.000	389.11	02/14/20	421.88	32.77 W	0.00	Sale <sup>25</sup>
	4 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	10.000	46.42	02/19/20	37.89	...	8.53	1 of 4 - Sale <sup>25</sup>
	50.000	232.05	02/19/20	191.38	...	40.67	2 of 4 - Sale <sup>25</sup>
	100.000	464.11	02/19/20	414.65	...	49.46	3 of 4 - Sale <sup>25</sup>
	90.000	417.69	02/19/20	343.69	...	74.00	4 of 4 - Sale <sup>25</sup>
02/27/20	250.000	1,160.27	Various	987.61	...	172.66	Total of 4 transactions
	4 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	70.000	334.08	02/19/20	265.21	...	68.87	1 of 4 - Sale <sup>25</sup>
	30.000	143.17	02/20/20	111.26	...	31.91	2 of 4 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	70.000	322.80	02/20/20	259.61	...	63.19	3 of 4 - Sale <sup>25</sup>
	30.000	138.34	02/25/20	113.33	...	25.01	4 of 4 - Sale <sup>25</sup>
02/28/20	200.000	938.39	Various	749.41	...	188.98	Total of 4 transactions
03/26/20	10.000	68.30	03/03/20	52.18	...	16.12	Sale <sup>25</sup>
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	10.000	68.30	03/04/20	90.90	22.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	68.30	03/05/20	75.99	7.69 W	0.00	2 of 2 - Sale <sup>25</sup>
03/26/20	20.000	136.60	Various	166.89	30.29 W	0.00	Total of 2 transactions
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	5.000	40.65	03/11/20	51.68	11.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	40.65	03/13/20	49.25	8.60 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	40.65	03/13/20	41.80	1.15 W	0.00	3 of 3 - Sale <sup>25</sup>
03/27/20	15.000	121.95	Various	142.73	20.78 W	0.00	Total of 3 transactions
10 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	5.000	65.05	03/13/20	47.22	...	17.83	1 of 10 - Sale <sup>25</sup>
	5.000	65.05	03/13/20	44.35	...	20.70	2 of 10 - Sale <sup>25</sup>
	5.000	65.05	03/13/20	41.79	...	23.26	3 of 10 - Sale <sup>25</sup>
	5.000	65.05	03/13/20	36.90	...	28.15	4 of 10 - Sale <sup>25</sup>
	10.000	130.10	03/16/20	58.89	...	71.21	5 of 10 - Sale <sup>25</sup>
	15.000	195.15	03/16/20	90.15	...	105.00	6 of 10 - Sale <sup>25</sup>
	20.000	260.19	03/16/20	116.73	...	143.46	7 of 10 - Sale <sup>25</sup>
	5.000	65.15	03/19/20	32.53	...	32.62	8 of 10 - Sale <sup>25</sup>
	15.000	195.14	03/19/20	97.59	...	97.55	9 of 10 - Sale <sup>25</sup>
	5.000	65.15	04/15/20	35.70	...	29.45	10 of 10 - Sale <sup>25</sup>
04/23/20	90.000	1,171.08	Various	601.85	...	569.23	Total of 10 transactions
4 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	20.000	271.79	04/15/20	142.80	...	128.99	1 of 4 - Sale <sup>25</sup>
	15.000	203.84	04/23/20	196.05	...	7.79	2 of 4 - Sale <sup>25</sup>
	15.000	203.84	04/23/20	195.45	...	8.39	3 of 4 - Sale <sup>25</sup>
	30.000	407.69	04/23/20	362.97	...	44.72	4 of 4 - Sale <sup>25</sup>
04/24/20	80.000	1,087.16	Various	897.27	...	189.89	Total of 4 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
<i>9 transactions for 05/04/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	218.60	04/23/20	241.98	23.38 W	0.00	1 of 9 - Sale <sup>25</sup>
	30.000	327.89	04/24/20	416.40	88.51 W	0.00	2 of 9 - Sale <sup>25</sup>
	25.000	273.25	04/24/20	348.25	75.00 W	0.00	3 of 9 - Sale <sup>25</sup>
	25.000	273.24	04/27/20	405.25	132.01 W	0.00	4 of 9 - Sale <sup>25</sup>
	50.000	546.49	04/27/20	663.00	116.51 W	0.00	5 of 9 - Sale <sup>25</sup>
	20.000	218.60	04/27/20	259.60	41.00 W	0.00	6 of 9 - Sale <sup>25</sup>
	30.000	327.89	04/27/20	393.90	66.01 W	0.00	7 of 9 - Sale <sup>25</sup>
	10.000	109.30	04/27/20	130.90	21.60 W	0.00	8 of 9 - Sale <sup>25</sup>
	40.000	437.19	04/28/20	525.60	88.41 W	0.00	9 of 9 - Sale <sup>25</sup>
05/04/20	250.000	2,732.45	Various	3,384.88	652.43 W	0.00	Total of 9 transactions
<i>11 transactions for 05/08/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	108.60	04/28/20	143.09	34.49 W	0.00	1 of 11 - Sale <sup>25</sup>
	10.000	108.60	04/28/20	142.99	34.39 W	0.00	2 of 11 - Sale <sup>25</sup>
	30.000	325.79	04/28/20	482.41	156.62 W	0.00	3 of 11 - Sale <sup>25</sup>
	20.000	217.19	04/28/20	314.60	97.41 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	54.30	04/28/20	79.50	25.20 W	0.00	5 of 11 - Sale <sup>25</sup>
	25.000	271.49	04/28/20	454.51	183.02 W	0.00	6 of 11 - Sale <sup>25</sup>
	50.000	542.99	04/30/20	714.51	171.52 W	0.00	7 of 11 - Sale <sup>25</sup>
	20.000	217.19	05/01/20	260.40	43.21 W	0.00	8 of 11 - Sale <sup>25</sup>
	30.000	325.79	05/01/20	395.11	69.32 W	0.00	9 of 11 - Sale <sup>25</sup>
	10.000	108.60	05/01/20	137.60	29.00 W	0.00	10 of 11 - Sale <sup>25</sup>
	40.000	434.39	05/01/20	507.20	72.81 W	0.00	11 of 11 - Sale <sup>25</sup>
05/08/20	250.000	2,714.93	Various	3,631.92	916.99 W	0.00	Total of 11 transactions
<i>5 transactions for 05/11/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	224.00	05/04/20	219.40	...	4.60	1 of 5 - Sale <sup>25</sup>
	30.000	335.99	05/04/20	330.86	...	5.13	2 of 5 - Sale <sup>25</sup>
	40.000	447.99	05/04/20	439.19	...	8.80	3 of 5 - Sale <sup>25</sup>
	50.000	559.98	05/04/20	549.00	...	10.98	4 of 5 - Sale <sup>25</sup>
	60.000	671.98	05/04/20	656.40	...	15.58	5 of 5 - Sale <sup>25</sup>
05/11/20	200.000	2,239.94	Various	2,194.85	...	45.09	Total of 5 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
<i>15 transactions for 05/11/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	112.00	05/01/20	133.54	21.54 W	0.00	1 of 15 - Sale <sup>25</sup>
	10.000	112.00	05/01/20	136.08	24.08 W	0.00	2 of 15 - Sale <sup>25</sup>
	10.000	112.00	05/01/20	153.90	41.90 W	0.00	3 of 15 - Sale <sup>25</sup>
	20.000	223.99	05/01/20	309.01	85.02 W	0.00	4 of 15 - Sale <sup>25</sup>
	5.000	56.00	05/01/20	75.90	19.90 W	0.00	5 of 15 - Sale <sup>25</sup>
	20.000	223.99	05/01/20	300.21	76.22 W	0.00	6 of 15 - Sale <sup>25</sup>
	25.000	279.99	05/01/20	436.52	156.53 W	0.00	7 of 15 - Sale <sup>25</sup>
	20.000	223.99	05/01/20	267.39	43.40 W	0.00	8 of 15 - Sale <sup>25</sup>
	30.000	335.99	05/01/20	410.41	74.42 W	0.00	9 of 15 - Sale <sup>25</sup>
	20.000	224.00	05/01/20	247.01	23.01 W	0.00	10 of 15 - Sale <sup>25</sup>
	10.000	112.00	05/01/20	125.81	13.81 W	0.00	11 of 15 - Sale <sup>25</sup>
	10.000	112.00	05/01/20	125.80	13.80 W	0.00	12 of 15 - Sale <sup>25</sup>
	10.000	112.00	05/04/20	132.91	20.91 W	0.00	13 of 15 - Sale <sup>25</sup>
	10.000	112.00	05/04/20	138.80	26.80 W	0.00	14 of 15 - Sale <sup>25</sup>
	40.000	447.99	05/04/20	512.01	64.02 W	0.00	15 of 15 - Sale <sup>25</sup>
05/11/20	250.000	2,799.94	Various	3,505.30	705.36 W	0.00	Total of 15 transactions
<i>10 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	244.23	05/08/20	237.01	...	7.22	1 of 10 - Sale <sup>25</sup>
	10.000	122.12	05/11/20	121.33	...	0.79	2 of 10 - Sale <sup>25</sup>
	10.000	121.09	05/11/20	119.40	...	1.69	3 of 10 - Sale <sup>25</sup>
	10.000	121.10	05/11/20	118.49	...	2.61	4 of 10 - Sale <sup>25</sup>
	10.000	121.19	05/11/20	113.96	...	7.23	5 of 10 - Sale <sup>25</sup>
	30.000	363.58	05/11/20	355.47	...	8.11	6 of 10 - Sale <sup>25</sup>
	10.000	121.10	05/11/20	112.30	...	8.80	7 of 10 - Sale <sup>25</sup>
	10.000	121.10	05/11/20	112.29	...	8.81	8 of 10 - Sale <sup>25</sup>
	30.000	363.29	05/11/20	349.48	...	13.81	9 of 10 - Sale <sup>25</sup>
	20.000	242.19	05/11/20	226.57	...	15.62	10 of 10 - Sale <sup>25</sup>
06/03/20	160.000	1,940.99	Various	1,866.30	...	74.69	Total of 10 transactions
<i>24 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	122.20	05/05/20	130.83	8.63 W	0.00	1 of 24 - Sale <sup>25</sup>
	10.000	122.20	05/05/20	133.37	11.17 W	0.00	2 of 24 - Sale <sup>25</sup>
	10.000	122.20	05/05/20	151.19	28.99 W	0.00	3 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	20.000	244.39	05/05/20	303.59	59.20 W	0.00	4 of 24 - Sale <sup>25</sup>
	5.000	61.10	05/05/20	72.90	11.80 W	0.00	5 of 24 - Sale <sup>25</sup>
	20.000	244.39	05/05/20	288.22	43.83 W	0.00	6 of 24 - Sale <sup>25</sup>
	25.000	305.48	05/05/20	421.53	116.05 W	0.00	7 of 24 - Sale <sup>25</sup>
	20.000	244.23	05/06/20	244.80	0.57 W	0.00	8 of 24 - Sale <sup>25</sup>
	30.000	366.34	05/06/20	376.82	10.48 W	0.00	9 of 24 - Sale <sup>25</sup>
	10.000	122.11	05/08/20	122.21	0.10 W	0.00	10 of 24 - Sale <sup>25</sup>
	10.000	122.11	05/08/20	122.20	0.09 W	0.00	11 of 24 - Sale <sup>25</sup>
	10.000	122.11	05/08/20	129.31	7.20 W	0.00	12 of 24 - Sale <sup>25</sup>
	10.000	122.11	05/11/20	139.20	17.09 W	0.00	13 of 24 - Sale <sup>25</sup>
	40.000	488.46	05/11/20	513.62	25.16 W	0.00	14 of 24 - Sale <sup>25</sup>
	10.000	122.11	05/11/20	123.87	1.76 W	0.00	15 of 24 - Sale <sup>25</sup>
	10.000	122.11	05/11/20	141.69	19.58 W	0.00	16 of 24 - Sale <sup>25</sup>
	20.000	244.23	05/11/20	284.60	40.37 W	0.00	17 of 24 - Sale <sup>25</sup>
	5.000	61.06	05/11/20	68.30	7.24 W	0.00	18 of 24 - Sale <sup>25</sup>
	20.000	244.23	05/11/20	269.83	25.60 W	0.00	19 of 24 - Sale <sup>25</sup>
	25.000	305.29	05/11/20	398.55	93.26 W	0.00	20 of 24 - Sale <sup>25</sup>
	10.000	121.09	05/11/20	129.29	8.20 W	0.00	21 of 24 - Sale <sup>25</sup>
	10.000	121.20	05/11/20	131.78	10.58 W	0.00	22 of 24 - Sale <sup>25</sup>
	20.000	242.39	05/11/20	277.77	35.38 W	0.00	23 of 24 - Sale <sup>25</sup>
	5.000	60.60	05/11/20	65.75	5.15 W	0.00	24 of 24 - Sale <sup>25</sup>
06/03/20	365.000	4,453.74	Various	5,041.22	587.48 W	0.00	Total of 24 transactions
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	35.000	436.79	06/03/20	430.84	...	5.95	1 of 2 - Sale <sup>25</sup>
	100.000	1,247.97	06/03/20	1,238.99	...	8.98	2 of 2 - Sale <sup>25</sup>
06/04/20	135.000	1,684.76	Various	1,669.83	...	14.93	Total of 2 transactions
	11 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	5.000	62.40	05/11/20	66.59	4.19 W	0.00	1 of 11 - Sale <sup>25</sup>
	15.000	187.20	05/11/20	197.25	10.05 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.000	62.40	05/11/20	78.00	15.60 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	62.40	06/03/20	66.70	4.30 W	0.00	4 of 11 - Sale <sup>25</sup>
	10.000	124.80	06/03/20	133.68	8.88 W	0.00	5 of 11 - Sale <sup>25</sup>
	20.000	249.59	06/03/20	281.58	31.99 W	0.00	6 of 11 - Sale <sup>25</sup>
	20.000	249.59	06/03/20	320.81	71.22 W	0.00	7 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	10.000	124.80	06/03/20	131.30	6.50 W	0.00	8 of 11 - Sale <sup>25</sup>
	75.000	935.98	06/03/20	941.24	5.26 W	0.00	9 of 11 - Sale <sup>25</sup>
	100.000	1,247.96	06/03/20	1,254.99	7.03 W	0.00	10 of 11 - Sale <sup>25</sup>
	50.000	623.99	06/03/20	632.00	8.01 W	0.00	11 of 11 - Sale <sup>25</sup>
06/04/20	315.000	3,931.11	Various	4,104.14	173.03 W	0.00	Total of 11 transactions
	15 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	11.76	06/04/20	13.12	1.36 W	0.00	1 of 15 - Sale <sup>25</sup>
	5.000	58.80	06/04/20	66.74	7.94 W	0.00	2 of 15 - Sale <sup>25</sup>
	5.000	58.80	06/04/20	66.85	8.05 W	0.00	3 of 15 - Sale <sup>25</sup>
	10.000	117.60	06/04/20	133.98	16.38 W	0.00	4 of 15 - Sale <sup>25</sup>
	14.000	164.64	06/04/20	184.52	19.88 W	0.00	5 of 15 - Sale <sup>25</sup>
	20.000	235.19	06/04/20	282.19	47.00 W	0.00	6 of 15 - Sale <sup>25</sup>
	5.000	58.80	06/04/20	78.15	19.35 W	0.00	7 of 15 - Sale <sup>25</sup>
	20.000	235.19	06/04/20	321.42	86.23 W	0.00	8 of 15 - Sale <sup>25</sup>
	10.000	117.60	06/04/20	131.60	14.00 W	0.00	9 of 15 - Sale <sup>25</sup>
	11.000	129.36	06/04/20	138.38	9.02 W	0.00	10 of 15 - Sale <sup>25</sup>
	36.000	423.35	06/04/20	454.33	30.98 W	0.00	11 of 15 - Sale <sup>25</sup>
	64.000	752.62	06/04/20	807.68	55.06 W	0.00	12 of 15 - Sale <sup>25</sup>
	20.000	235.19	06/04/20	250.01	14.82 W	0.00	13 of 15 - Sale <sup>25</sup>
	10.000	117.59	06/04/20	124.50	6.91 W	0.00	14 of 15 - Sale <sup>25</sup>
	19.000	223.43	06/04/20	236.08	12.65 W	0.00	15 of 15 - Sale <sup>25</sup>
06/05/20	250.000	2,939.92	Various	3,289.55	349.63 W	0.00	Total of 15 transactions
	28 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	11.53	06/04/20	12.43	0.90 W	0.00	1 of 28 - Sale <sup>25</sup>
	10.000	115.30	06/04/20	121.00	5.70 W	0.00	2 of 28 - Sale <sup>25</sup>
	4.000	46.12	06/04/20	48.59	2.47 W	0.00	3 of 28 - Sale <sup>25</sup>
	36.000	415.06	06/04/20	440.59	25.53 W	0.00	4 of 28 - Sale <sup>25</sup>
	1.000	11.53	06/04/20	13.27	1.74 W	0.00	5 of 28 - Sale <sup>25</sup>
	5.000	57.65	06/04/20	67.49	9.84 W	0.00	6 of 28 - Sale <sup>25</sup>
	5.000	57.65	06/04/20	67.60	9.95 W	0.00	7 of 28 - Sale <sup>25</sup>
	10.000	115.30	06/04/20	135.48	20.18 W	0.00	8 of 28 - Sale <sup>25</sup>
	14.000	161.41	06/04/20	186.62	25.21 W	0.00	9 of 28 - Sale <sup>25</sup>
	20.000	230.59	06/04/20	285.20	54.61 W	0.00	10 of 28 - Sale <sup>25</sup>
	5.000	57.65	06/04/20	78.90	21.25 W	0.00	11 of 28 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 125489310
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	20.000	230.59	06/04/20	324.43	93.84 W	0.00	12 of 28 - Sale <sup>25</sup>
	6.000	69.18	06/04/20	79.86	10.68 W	0.00	13 of 28 - Sale <sup>25</sup>
	14.000	161.41	06/04/20	168.98	7.57 W	0.00	14 of 28 - Sale <sup>25</sup>
	4.000	46.12	06/04/20	53.24	7.12 W	0.00	15 of 28 - Sale <sup>25</sup>
	11.000	126.83	06/04/20	140.03	13.20 W	0.00	16 of 28 - Sale <sup>25</sup>
	34.000	392.00	06/04/20	434.19	42.19 W	0.00	17 of 28 - Sale <sup>25</sup>
	1.000	11.54	06/04/20	12.77	1.23 W	0.00	18 of 28 - Sale <sup>25</sup>
	5.000	57.70	06/05/20	64.08	6.38 W	0.00	19 of 28 - Sale <sup>25</sup>
	10.000	115.40	06/05/20	128.41	13.01 W	0.00	20 of 28 - Sale <sup>25</sup>
	20.000	230.79	06/05/20	257.82	27.03 W	0.00	21 of 28 - Sale <sup>25</sup>
	29.000	334.65	06/05/20	377.30	42.65 W	0.00	22 of 28 - Sale <sup>25</sup>
	36.000	415.43	06/05/20	468.38	52.95 W	0.00	23 of 28 - Sale <sup>25</sup>
	1.000	11.54	06/05/20	12.55	1.01 W	0.00	24 of 28 - Sale <sup>25</sup>
	4.000	46.16	06/05/20	49.07	2.91 W	0.00	25 of 28 - Sale <sup>25</sup>
	10.000	115.40	06/05/20	122.20	6.80 W	0.00	26 of 28 - Sale <sup>25</sup>
	21.000	242.33	06/05/20	259.54	17.21 W	0.00	27 of 28 - Sale <sup>25</sup>
	13.000	150.01	06/05/20	160.10	10.09 W	0.00	28 of 28 - Sale <sup>25</sup>
06/08/20	350.000	4,036.87	Various	4,570.12	533.25 W	0.00	Total of 28 transactions
	20 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	12.43	06/05/20	12.32	...	0.11	1 of 20 - Sale <sup>25</sup>
	15.000	186.52	06/05/20	186.14	...	0.38	2 of 20 - Sale <sup>25</sup>
	14.000	174.01	06/05/20	168.01	...	6.00	3 of 20 - Sale <sup>25</sup>
	20.000	248.58	06/08/20	308.74	...	-60.16	4 of 20 - Sale <sup>25</sup>
	14.000	174.01	06/08/20	188.66	...	-14.65	5 of 20 - Sale <sup>25</sup>
	5.000	62.15	06/08/20	74.98	...	-12.83	6 of 20 - Sale <sup>25</sup>
	5.000	62.15	06/08/20	66.23	...	-4.08	7 of 20 - Sale <sup>25</sup>
	5.000	62.15	06/08/20	63.68	...	-1.53	8 of 20 - Sale <sup>25</sup>
	10.000	124.30	06/08/20	125.33	...	-1.03	9 of 20 - Sale <sup>25</sup>
	1.000	12.43	06/08/20	13.04	...	-0.61	10 of 20 - Sale <sup>25</sup>
	4.000	49.72	06/08/20	50.10	...	-0.38	11 of 20 - Sale <sup>25</sup>
	5.000	62.15	06/08/20	62.42	...	-0.27	12 of 20 - Sale <sup>25</sup>
	1.000	12.43	06/08/20	12.26	...	0.17	13 of 20 - Sale <sup>25</sup>
	1.000	12.43	06/08/20	12.07	...	0.36	14 of 20 - Sale <sup>25</sup>
	4.000	49.72	06/08/20	49.23	...	0.49	15 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	20.000	248.59	06/08/20	247.99	...	0.60	16 of 20 - Sale <sup>25</sup>
	2.000	24.86	06/08/20	23.38	...	1.48	17 of 20 - Sale <sup>25</sup>
	14.000	174.01	06/08/20	172.42	...	1.59	18 of 20 - Sale <sup>25</sup>
	10.000	124.30	06/08/20	122.60	...	1.70	19 of 20 - Sale <sup>25</sup>
	13.000	161.58	06/08/20	156.34	...	5.24	20 of 20 - Sale <sup>25</sup>
06/11/20	164.000	2,038.52	Various	2,115.94	...	-77.42	Total of 20 transactions
	24 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	12.43	06/05/20	13.44	1.01 W	0.00	1 of 24 - Sale <sup>25</sup>
	5.000	62.17	06/05/20	68.34	6.17 W	0.00	2 of 24 - Sale <sup>25</sup>
	5.000	62.17	06/05/20	72.15	9.98 W	0.00	3 of 24 - Sale <sup>25</sup>
	10.000	124.35	06/05/20	137.18	12.83 W	0.00	4 of 24 - Sale <sup>25</sup>
	14.000	174.09	06/05/20	189.01	14.92 W	0.00	5 of 24 - Sale <sup>25</sup>
	5.000	62.17	06/05/20	67.40	5.23 W	0.00	6 of 24 - Sale <sup>25</sup>
	15.000	186.52	06/05/20	213.31	26.79 W	0.00	7 of 24 - Sale <sup>25</sup>
	5.000	62.17	06/05/20	78.70	16.53 W	0.00	8 of 24 - Sale <sup>25</sup>
	20.000	248.70	06/05/20	323.64	74.94 W	0.00	9 of 24 - Sale <sup>25</sup>
	4.000	49.74	06/05/20	53.08	3.34 W	0.00	10 of 24 - Sale <sup>25</sup>
	1.000	12.43	06/05/20	13.27	0.84 W	0.00	11 of 24 - Sale <sup>25</sup>
	1.000	12.43	06/05/20	13.24	0.81 W	0.00	12 of 24 - Sale <sup>25</sup>
	4.000	49.72	06/05/20	52.96	3.24 W	0.00	13 of 24 - Sale <sup>25</sup>
	11.000	136.73	06/05/20	139.26	2.53 W	0.00	14 of 24 - Sale <sup>25</sup>
	20.000	248.59	06/05/20	254.02	5.43 W	0.00	15 of 24 - Sale <sup>25</sup>
	5.000	62.15	06/08/20	64.28	0.85 W	-1.28	16 of 24 - Sale <sup>25</sup>
	1.000	12.43	06/08/20	12.78	0.35 W	0.00	17 of 24 - Sale <sup>25</sup>
	14.000	174.01	06/08/20	179.07	5.06 W	0.00	18 of 24 - Sale <sup>25</sup>
	6.000	74.58	06/08/20	78.13	3.55 W	0.00	19 of 24 - Sale <sup>25</sup>
	29.000	360.46	06/08/20	377.60	17.14 W	0.00	20 of 24 - Sale <sup>25</sup>
	20.000	248.59	06/08/20	258.56	9.97 W	0.00	21 of 24 - Sale <sup>25</sup>
	30.000	372.89	06/08/20	391.41	18.52 W	0.00	22 of 24 - Sale <sup>25</sup>
	1.000	12.43	06/08/20	12.59	0.16 W	0.00	23 of 24 - Sale <sup>25</sup>
	10.000	124.29	06/08/20	128.81	4.52 W	0.00	24 of 24 - Sale <sup>25</sup>
06/11/20	237.000	2,946.24	Various	3,192.23	244.71 W	-1.28	Total of 24 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
<i>10 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	13.48	06/11/20	13.23	...	0.25	1 of 10 - Sale <sup>25</sup>
	1.000	13.48	06/11/20	13.20	...	0.28	2 of 10 - Sale <sup>25</sup>
	1.000	13.48	06/11/20	12.74	...	0.74	3 of 10 - Sale <sup>25</sup>
	4.000	53.92	06/11/20	52.80	...	1.12	4 of 10 - Sale <sup>25</sup>
	6.000	80.88	06/11/20	77.89	...	2.99	5 of 10 - Sale <sup>25</sup>
	13.000	175.23	06/11/20	169.10	...	6.13	6 of 10 - Sale <sup>25</sup>
	11.000	148.28	06/11/20	138.82	...	9.46	7 of 10 - Sale <sup>25</sup>
	14.000	188.71	06/11/20	178.52	...	10.19	8 of 10 - Sale <sup>25</sup>
	29.000	390.91	06/11/20	376.45	...	14.46	9 of 10 - Sale <sup>25</sup>
	20.000	269.59	06/11/20	253.23	...	16.36	10 of 10 - Sale <sup>25</sup>
06/16/20	100.000	1,347.96	Various	1,285.98	...	61.98	Total of 10 transactions
<i>2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	66.73	06/23/20	56.79	...	9.94	1 of 2 - Sale <sup>25</sup>
	17.000	378.18	06/23/20	323.80	...	54.38	2 of 2 - Sale <sup>25</sup>
06/24/20	20.000	444.91	Various	380.59	...	64.32	Total of 2 transactions
<i>4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	17.000	477.73	06/25/20	562.16	...	-84.43	1 of 4 - Sale <sup>25</sup>
	10.000	281.02	06/25/20	330.22	...	-49.20	2 of 4 - Sale <sup>25</sup>
	2.000	56.20	06/25/20	65.99	...	-9.79	3 of 4 - Sale <sup>25</sup>
	1.000	28.10	06/25/20	32.73	...	-4.63	4 of 4 - Sale <sup>25</sup>
06/26/20	30.000	843.05	Various	991.10	...	-148.05	Total of 4 transactions
<i>2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	70.000	1,154.96	08/10/20	1,344.88	...	-189.92	1 of 2 - Sale <sup>25</sup>
	30.000	495.00	08/10/20	576.38	...	-81.38	2 of 2 - Sale <sup>25</sup>
08/11/20	100.000	1,649.96	Various	1,921.26	...	-271.30	Total of 2 transactions
	<b>Security total:</b>	<b>46,551.63</b>		<b>49,831.17</b>	<b>4,343.86 W</b>	<b>1,064.32</b>	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
<i>3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	184.49	06/10/20	269.00	84.51 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	73.80	06/22/20	83.55	9.75 W	0.00	2 of 3 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
07/15/20	5.000	18.45	06/22/20	23.05	4.60 W	0.00	3 of 3 - Sale <sup>25</sup>
	75.000	276.74	Various	375.60	98.86 W	0.00	Total of 3 transactions
	9 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	3.000	9.97	06/22/20	18.90	8.93 W	0.00	1 of 9 - Sale <sup>25</sup>
	12.000	39.87	06/23/20	71.13	31.26 W	0.00	2 of 9 - Sale <sup>25</sup>
	10.000	33.23	06/23/20	59.28	26.05 W	0.00	3 of 9 - Sale <sup>25</sup>
	20.000	66.46	06/25/20	113.76	47.30 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	16.61	06/26/20	26.64	10.03 W	0.00	5 of 9 - Sale <sup>25</sup>
	5.000	16.61	06/26/20	22.79	6.18 W	0.00	6 of 9 - Sale <sup>25</sup>
	20.000	66.46	06/26/20	82.51	16.05 W	0.00	7 of 9 - Sale <sup>25</sup>
	20.000	66.46	06/26/20	72.75	6.29 W	0.00	8 of 9 - Sale <sup>25</sup>
	5.000	16.61	06/26/20	18.19	1.58 W	0.00	9 of 9 - Sale <sup>25</sup>
07/21/20	100.000	332.28	Various	485.95	153.67 W	0.00	Total of 9 transactions
	9 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	12.000	40.11	06/26/20	74.91	...	-34.80	1 of 9 - Sale <sup>25</sup>
	10.000	33.42	06/26/20	62.43	...	-29.01	2 of 9 - Sale <sup>25</sup>
	3.000	10.03	06/26/20	19.84	...	-9.81	3 of 9 - Sale <sup>25</sup>
	20.000	66.85	07/16/20	121.05	...	-54.20	4 of 9 - Sale <sup>25</sup>
	5.000	16.71	07/16/20	28.47	...	-11.76	5 of 9 - Sale <sup>25</sup>
	20.000	66.84	07/21/20	82.60	...	-15.76	6 of 9 - Sale <sup>25</sup>
	20.000	66.85	07/21/20	73.03	...	-6.18	7 of 9 - Sale <sup>25</sup>
	5.000	16.71	07/21/20	22.82	...	-6.11	8 of 9 - Sale <sup>25</sup>
	5.000	16.71	07/21/20	18.27	...	-1.56	9 of 9 - Sale <sup>25</sup>
07/22/20	100.000	334.23	Various	503.42	...	-169.19	Total of 9 transactions
	<b>Security total:</b>	<b>943.25</b>		<b>1,364.97</b>	<b>252.53 W</b>	<b>-169.19</b>	
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
05/01/20	10.000	89.42	04/27/20	79.99	...	9.43	Sale <sup>25</sup>
	3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	10.000	87.30	04/27/20	79.99	...	7.31	1 of 3 - Sale <sup>25</sup>
	8.000	69.84	04/28/20	69.68	...	0.16	2 of 3 - Sale <sup>25</sup>
	42.000	366.64	04/28/20	365.82	...	0.82	3 of 3 - Sale <sup>25</sup>
05/05/20	60.000	523.78	Various	515.49	...	8.29	Total of 3 transactions
05/13/20	1.000	7.65	05/11/20	8.51	0.86 W	0.00	Sale <sup>25</sup>

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Account 125489310

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2020 1099-B\* OMB No. 1545-0715

(continued)

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JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol: (cont'd)							
05/15/20	9.000	73.46	05/14/20	72.45	...	1.01	Sale <sup>25</sup>
05/15/20	1.000	8.16	05/14/20	8.91	0.75 W	0.00	Sale <sup>25</sup>
06/01/20	49.000	510.57	05/26/20	498.23	...	12.34	Sale <sup>25</sup>
06/01/20	1.000	10.42	05/26/20	10.92	0.50 W	0.00	Sale <sup>25</sup>
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	11.88	06/02/20	10.72	...	1.16	1 of 3 - Sale <sup>25</sup>
	25.000	296.99	06/02/20	255.20	...	41.79	2 of 3 - Sale <sup>25</sup>
	49.000	582.10	06/02/20	500.68	...	81.42	3 of 3 - Sale <sup>25</sup>
06/04/20	75.000	890.97	Various	766.60	...	124.37	Total of 3 transactions
	<b>Security total:</b>	<b>2,114.43</b>		<b>1,961.10</b>	<b>2.11 W</b>	<b>155.44</b>	
KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol:							
04/13/20	15.000	14.55	04/13/20	18.15	3.60 W	0.00	Sale <sup>25</sup>
5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	20.000	17.30	04/14/20	19.96	...	-2.66	1 of 5 - Sale <sup>25</sup>
	10.000	8.65	04/14/20	8.94	...	-0.29	2 of 5 - Sale <sup>25</sup>
	50.000	43.24	04/15/20	41.10	...	2.14	3 of 5 - Sale <sup>25</sup>
	60.000	51.89	04/15/20	49.38	...	2.51	4 of 5 - Sale <sup>25</sup>
	50.000	43.24	04/16/20	41.38	...	1.86	5 of 5 - Sale <sup>25</sup>
04/17/20	190.000	164.32	Various	160.76	...	3.56	Total of 5 transactions
4 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	25.000	21.62	04/13/20	24.35	1.64 W	-1.09	1 of 4 - Sale <sup>25</sup>
	10.000	8.65	04/13/20	12.10	3.45 W	0.00	2 of 4 - Sale <sup>25</sup>
	15.000	12.97	04/13/20	18.21	5.24 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	8.65	04/13/20	9.74	1.09 W	0.00	4 of 4 - Sale <sup>25</sup>
04/17/20	60.000	51.89	Various	64.40	11.42 W	-1.09	Total of 4 transactions
4 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	15.000	17.55	04/23/20	21.44	...	-3.89	1 of 4 - Sale <sup>25</sup>
	10.000	11.70	04/23/20	14.25	...	-2.55	2 of 4 - Sale <sup>25</sup>
	15.000	17.55	04/23/20	17.84	...	-0.29	3 of 4 - Sale <sup>25</sup>
	10.000	11.70	04/23/20	11.89	...	-0.19	4 of 4 - Sale <sup>25</sup>
04/24/20	50.000	58.50	Various	65.42	...	-6.92	Total of 4 transactions
	<b>Security total:</b>	<b>289.26</b>		<b>308.73</b>	<b>15.02 W</b>	<b>-4.45</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

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KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
12/15/20	200.000	1,601.94	12/09/20	1,783.08	...	-181.14	Sale <sup>25</sup>
KINROSS GOLD CORPORATION / CUSIP: 496902404 / Symbol:							
06/04/20	50.000	309.04	06/03/20	306.44	...	2.60	Sale <sup>25</sup>
06/04/20	100.000	618.10	06/02/20	640.87	22.77 W	0.00	Sale <sup>25</sup>
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	50.000	304.55	06/03/20	319.39	...	-14.84	1 of 2 - Sale <sup>25</sup>
	50.000	304.55	06/04/20	320.63	...	-16.08	2 of 2 - Sale <sup>25</sup>
06/05/20	100.000	609.10	Various	640.02	...	-30.92	Total of 2 transactions
	<b>Security total:</b>	<b>1,536.24</b>		<b>1,587.33</b>	<b>22.77 W</b>	<b>-28.32</b>	
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
07/23/20	50.000	88.59	07/21/20	87.88	...	0.71	Sale <sup>25</sup>
07/23/20	50.000	88.59	07/21/20	91.25	2.66 W	0.00	Sale <sup>25</sup>
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	50.000	75.10	08/04/20	71.16	...	3.94	1 of 3 - Sale <sup>25</sup>
	127.000	190.75	08/04/20	173.99	...	16.76	2 of 3 - Sale <sup>25</sup>
	323.000	485.12	08/04/20	442.51	...	42.61	3 of 3 - Sale <sup>25</sup>
08/05/20	500.000	750.97	Various	687.66	...	63.31	Total of 3 transactions
	<b>Security total:</b>	<b>928.15</b>		<b>866.79</b>	<b>2.66 W</b>	<b>64.02</b>	
LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
6 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	5.000	74.70	09/24/20	68.20	...	6.50	1 of 6 - Sale <sup>25</sup>
	5.000	74.70	09/24/20	68.15	...	6.55	2 of 6 - Sale <sup>25</sup>
	5.000	74.70	09/24/20	65.38	...	9.32	3 of 6 - Sale <sup>25</sup>
	10.000	149.40	09/24/20	131.00	...	18.40	4 of 6 - Sale <sup>25</sup>
	50.000	747.00	09/24/20	654.63	...	92.37	5 of 6 - Sale <sup>25</sup>
	100.000	1,493.99	09/24/20	1,376.77	...	117.22	6 of 6 - Sale <sup>25</sup>
09/29/20	175.000	2,614.49	Various	2,364.13	...	250.36	Total of 6 transactions
09/29/20	25.000	373.50	09/23/20	375.25	1.75 W	0.00	Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol: (cont'd)							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	25.000	363.53	09/30/20	349.33	...	14.20	1 of 2 - Sale <sup>25</sup>
10/01/20	25.000	363.53	09/30/20	348.50	...	15.03	2 of 2 - Sale <sup>25</sup>
	50.000	727.06	Various	697.83	...	29.23	Total of 2 transactions
5 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	14.54	09/29/20	15.06	0.52 W	0.00	1 of 5 - Sale <sup>25</sup>
	9.000	130.87	09/29/20	133.37	2.50 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	145.41	09/30/20	147.55	2.14 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	72.71	09/30/20	73.73	1.02 W	0.00	4 of 5 - Sale <sup>25</sup>
10/01/20	75.000	1,090.58	09/30/20	1,100.63	10.05 W	0.00	5 of 5 - Sale <sup>25</sup>
	100.000	1,454.11	Various	1,470.34	16.23 W	0.00	Total of 5 transactions
11 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	13.64	10/06/20	14.25	0.61 W	0.00	1 of 11 - Sale <sup>25</sup>
	9.000	122.76	10/06/20	126.02	3.26 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.000	68.20	10/06/20	69.65	1.45 W	0.00	3 of 11 - Sale <sup>25</sup>
	10.000	136.39	10/06/20	139.39	3.00 W	0.00	4 of 11 - Sale <sup>25</sup>
	75.000	1,022.96	10/06/20	1,039.42	16.46 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	13.64	10/06/20	14.18	0.54 W	0.00	6 of 11 - Sale <sup>25</sup>
	9.000	122.76	10/06/20	125.39	2.63 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	68.20	10/06/20	69.30	1.10 W	0.00	8 of 11 - Sale <sup>25</sup>
	10.000	136.39	10/06/20	138.70	2.31 W	0.00	9 of 11 - Sale <sup>25</sup>
	25.000	340.99	10/06/20	344.99	4.00 W	0.00	10 of 11 - Sale <sup>25</sup>
10/07/20	50.000	681.97	10/06/20	688.26	6.29 W	0.00	11 of 11 - Sale <sup>25</sup>
	200.000	2,727.90	Various	2,769.55	41.65 W	0.00	Total of 11 transactions
7 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	9.000	126.67	10/07/20	128.44	...	-1.77	1 of 7 - Sale <sup>25</sup>
	25.000	351.86	10/07/20	353.47	...	-1.61	2 of 7 - Sale <sup>25</sup>
	50.000	703.73	10/07/20	705.24	...	-1.51	3 of 7 - Sale <sup>25</sup>
	10.000	140.75	10/07/20	142.10	...	-1.35	4 of 7 - Sale <sup>25</sup>
	5.000	70.37	10/07/20	71.00	...	-0.63	5 of 7 - Sale <sup>25</sup>
	1.000	14.07	10/07/20	14.18	...	-0.11	6 of 7 - Sale <sup>25</sup>
	50.000	703.73	10/07/20	698.95	...	4.78	7 of 7 - Sale <sup>25</sup>
10/08/20	150.000	2,111.18	Various	2,113.38	...	-2.20	Total of 7 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol: (cont'd)							
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	2.000	42.12	11/27/20	39.63	...	2.49	1 of 4 - Sale <sup>25</sup>
	13.000	273.91	11/27/20	257.56	...	16.35	2 of 4 - Sale <sup>25</sup>
	35.000	737.43	11/27/20	693.44	...	43.99	3 of 4 - Sale <sup>25</sup>
	50.000	1,053.47	11/27/20	990.63	...	62.84	4 of 4 - Sale <sup>25</sup>
11/30/20	100.000	2,106.93	Various	1,981.26	...	125.67	Total of 4 transactions
	<b>Security total:</b>	<b>12,115.17</b>		<b>11,771.74</b>	<b>59.63 W</b>	<b>403.06</b>	
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES A COMMON STOCK / CUSIP: 531465102 / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	45.000	102.82	06/22/20	87.30	...	15.52	1 of 2 - Sale <sup>25</sup>
	55.000	125.67	06/22/20	110.00	...	15.67	2 of 2 - Sale <sup>25</sup>
06/24/20	100.000	228.49	Various	197.30	...	31.19	Total of 2 transactions
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
8 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	25.000	1,087.47	06/11/20	1,653.78	...	-566.31	1 of 8 - Sale <sup>25</sup>
	17.000	739.48	06/11/20	1,107.15	...	-367.67	2 of 8 - Sale <sup>25</sup>
	5.000	217.49	06/11/20	329.75	...	-112.26	3 of 8 - Sale <sup>25</sup>
	3.000	130.50	06/11/20	195.38	...	-64.88	4 of 8 - Sale <sup>25</sup>
	20.000	839.98	06/11/20	861.00	...	-21.02	5 of 8 - Sale <sup>25</sup>
	17.000	713.98	06/11/20	731.00	...	-17.02	6 of 8 - Sale <sup>25</sup>
	10.000	434.99	06/11/20	441.01	...	-6.02	7 of 8 - Sale <sup>25</sup>
	3.000	126.00	06/11/20	129.15	...	-3.15	8 of 8 - Sale <sup>25</sup>
06/11/20	100.000	4,289.89	Various	5,448.22	...	-1,158.33	Total of 8 transactions
4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	5.000	210.00	06/10/20	324.50	114.50 W	0.00	1 of 4 - Sale <sup>25</sup>
	45.000	1,889.95	06/10/20	2,929.50	1,039.55 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	419.99	06/11/20	440.75	10.38 W	-10.38	3 of 4 - Sale <sup>25</sup>
	20.000	839.98	06/11/20	881.50	41.52 W	0.00	4 of 4 - Sale <sup>25</sup>
06/11/20	80.000	3,359.92	Various	4,576.25	1,205.95 W	-10.38	Total of 4 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol: (cont'd)							
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	10.000	586.99	06/12/20	640.86	...	-53.87	1 of 3 - Sale <sup>25</sup>
	4.000	234.79	06/12/20	244.30	...	-9.51	2 of 3 - Sale <sup>25</sup>
	1.000	58.70	06/12/20	61.83	...	-3.13	3 of 3 - Sale <sup>25</sup>
06/15/20	15.000	880.48	Various	946.99	...	-66.51	Total of 3 transactions
	<b>Security total:</b>	<b>8,530.29</b>		<b>10,971.46</b>	<b>1,205.95 W</b>	<b>-1,235.22</b>	
LIGHTINTHEBOX HOLDING CO., LTD . / CUSIP: 53225G102 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	150.000	420.48	08/10/20	507.75	...	-87.27	1 of 2 - Sale <sup>25</sup>
	50.000	140.16	08/10/20	189.00	...	-48.84	2 of 2 - Sale <sup>25</sup>
08/12/20	200.000	560.64	Various	696.75	...	-136.11	Total of 2 transactions
08/12/20	100.000	276.00	08/10/20	338.50	31.25 W	-31.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>836.64</b>		<b>1,035.25</b>	<b>31.25 W</b>	<b>-167.36</b>	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
04/02/20	25.000	165.50	04/02/20	176.50	11.00 W	0.00	Sale <sup>25</sup>
8 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	25.000	62.25	04/02/20	188.50	126.25 W	0.00	1 of 8 - Sale <sup>25</sup>
	25.000	62.25	04/02/20	177.50	115.25 W	0.00	2 of 8 - Sale <sup>25</sup>
	25.000	62.24	04/02/20	167.95	105.71 W	0.00	3 of 8 - Sale <sup>25</sup>
	18.000	44.82	04/03/20	102.22	57.40 W	0.00	4 of 8 - Sale <sup>25</sup>
	7.000	17.43	04/03/20	39.75	22.32 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	7.47	04/06/20	14.88	7.41 W	0.00	6 of 8 - Sale <sup>25</sup>
	22.000	54.78	04/06/20	109.12	54.34 W	0.00	7 of 8 - Sale <sup>25</sup>
	35.000	87.14	04/06/20	152.60	65.46 W	0.00	8 of 8 - Sale <sup>25</sup>
05/20/20	160.000	398.38	Various	952.52	554.14 W	0.00	Total of 8 transactions
4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	20.000	58.00	05/29/20	42.54	...	15.46	1 of 4 - Sale <sup>25</sup>
	20.000	58.00	06/01/20	41.20	...	16.80	2 of 4 - Sale <sup>25</sup>
	50.000	144.98	06/02/20	118.00	...	26.98	3 of 4 - Sale <sup>25</sup>
	50.000	144.99	06/02/20	105.00	...	39.99	4 of 4 - Sale <sup>25</sup>
06/04/20	140.000	405.97	Various	306.74	...	99.23	Total of 4 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
18 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	25.000	72.50	05/27/20	189.48	116.98 W	0.00	1 of 18 - Sale <sup>25</sup>
	25.000	72.50	05/27/20	178.47	105.97 W	0.00	2 of 18 - Sale <sup>25</sup>
	20.000	58.00	05/28/20	130.75	72.75 W	0.00	3 of 18 - Sale <sup>25</sup>
	5.000	14.50	05/28/20	32.64	18.14 W	0.00	4 of 18 - Sale <sup>25</sup>
	7.000	20.30	05/28/20	38.41	18.11 W	0.00	5 of 18 - Sale <sup>25</sup>
	18.000	52.19	05/28/20	98.78	46.59 W	0.00	6 of 18 - Sale <sup>25</sup>
	3.000	8.70	05/28/20	14.13	5.43 W	0.00	7 of 18 - Sale <sup>25</sup>
	22.000	63.80	05/28/20	103.60	39.80 W	0.00	8 of 18 - Sale <sup>25</sup>
	25.000	72.50	05/28/20	102.73	30.23 W	0.00	9 of 18 - Sale <sup>25</sup>
	15.000	43.50	05/28/20	97.16	53.66 W	0.00	10 of 18 - Sale <sup>25</sup>
	25.000	72.50	05/28/20	172.96	100.46 W	0.00	11 of 18 - Sale <sup>25</sup>
	10.000	29.00	05/28/20	41.09	12.09 W	0.00	12 of 18 - Sale <sup>25</sup>
	10.000	29.00	05/28/20	64.76	35.76 W	0.00	13 of 18 - Sale <sup>25</sup>
	5.000	14.50	05/28/20	29.32	14.82 W	0.00	14 of 18 - Sale <sup>25</sup>
	20.000	58.00	05/28/20	117.48	59.48 W	0.00	15 of 18 - Sale <sup>25</sup>
	15.000	43.50	05/28/20	72.38	28.88 W	0.00	16 of 18 - Sale <sup>25</sup>
	3.000	8.70	05/29/20	14.14	5.44 W	0.00	17 of 18 - Sale <sup>25</sup>
	7.000	20.30	05/29/20	33.00	12.70 W	0.00	18 of 18 - Sale <sup>25</sup>
06/04/20	260.000	753.99	Various	1,531.28	777.29 W	0.00	Total of 18 transactions
17 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	5.61	06/04/20	4.85	...	0.76	1 of 17 - Sale <sup>25</sup>
	1.000	5.61	06/04/20	4.83	...	0.78	2 of 17 - Sale <sup>25</sup>
	2.000	11.22	06/04/20	9.85	...	1.37	3 of 17 - Sale <sup>25</sup>
	2.000	11.22	06/04/20	9.78	...	1.44	4 of 17 - Sale <sup>25</sup>
	5.000	28.00	06/04/20	26.47	...	1.53	5 of 17 - Sale <sup>25</sup>
	7.000	39.27	06/04/20	34.47	...	4.80	6 of 17 - Sale <sup>25</sup>
	20.000	111.99	06/04/20	106.08	...	5.91	7 of 17 - Sale <sup>25</sup>
	15.000	84.15	06/04/20	74.48	...	9.67	8 of 17 - Sale <sup>25</sup>
	10.000	56.10	06/04/20	42.88	...	13.22	9 of 17 - Sale <sup>25</sup>
	22.000	123.41	06/04/20	107.54	...	15.87	10 of 17 - Sale <sup>25</sup>
	25.000	140.24	06/04/20	107.20	...	33.04	11 of 17 - Sale <sup>25</sup>
	54.000	302.93	06/04/20	264.60	...	38.33	12 of 17 - Sale <sup>25</sup>
	71.000	397.58	06/04/20	347.90	...	49.68	13 of 17 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	100.000	559.97	06/04/20	483.90	...	76.07	14 of 17 - Sale <sup>25</sup>
	41.000	230.00	06/04/20	127.51	...	102.49	15 of 17 - Sale <sup>25</sup>
	100.000	560.97	06/04/20	337.00	...	223.97	16 of 17 - Sale <sup>25</sup>
	100.000	560.97	06/04/20	332.99	...	227.98	17 of 17 - Sale <sup>25</sup>
06/05/20	576.000	3,229.24	Various	2,422.33	...	806.91	Total of 17 transactions
	10 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	5.61	06/04/20	6.66	1.05 W	0.00	1 of 10 - Sale <sup>25</sup>
	15.000	84.15	06/04/20	99.84	15.69 W	0.00	2 of 10 - Sale <sup>25</sup>
	25.000	140.24	06/04/20	177.44	37.20 W	0.00	3 of 10 - Sale <sup>25</sup>
	9.000	50.49	06/04/20	59.54	9.05 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	28.05	06/04/20	30.02	1.97 W	0.00	5 of 10 - Sale <sup>25</sup>
	20.000	112.20	06/04/20	120.28	8.08 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	5.60	06/04/20	5.95	0.35 W	0.00	7 of 10 - Sale <sup>25</sup>
	9.000	50.40	06/04/20	53.15	2.75 W	0.00	8 of 10 - Sale <sup>25</sup>
	15.000	84.00	06/04/20	89.19	5.19 W	0.00	9 of 10 - Sale <sup>25</sup>
	25.000	139.99	06/04/20	159.70	19.71 W	0.00	10 of 10 - Sale <sup>25</sup>
06/05/20	125.000	700.73	Various	801.77	101.04 W	0.00	Total of 10 transactions
	17 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	4.75	06/05/20	6.37	1.62 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	4.75	06/05/20	6.08	1.33 W	0.00	2 of 17 - Sale <sup>25</sup>
	9.000	42.75	06/05/20	54.32	11.57 W	0.00	3 of 17 - Sale <sup>25</sup>
	15.000	71.25	06/05/20	91.14	19.89 W	0.00	4 of 17 - Sale <sup>25</sup>
	24.000	113.99	06/05/20	156.44	42.45 W	0.00	5 of 17 - Sale <sup>25</sup>
	51.000	242.24	06/05/20	292.23	49.99 W	0.00	6 of 17 - Sale <sup>25</sup>
	100.000	474.97	06/05/20	573.00	98.03 W	0.00	7 of 17 - Sale <sup>25</sup>
	49.000	232.74	06/05/20	280.77	48.03 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	4.28	06/05/20	7.35	3.07 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	4.28	06/05/20	7.06	2.78 W	0.00	10 of 17 - Sale <sup>25</sup>
	9.000	38.52	06/05/20	63.14	24.62 W	0.00	11 of 17 - Sale <sup>25</sup>
	15.000	64.20	06/05/20	105.84	41.64 W	0.00	12 of 17 - Sale <sup>25</sup>
	24.000	102.72	06/05/20	179.97	77.25 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	4.28	06/05/20	6.71	2.43 W	0.00	14 of 17 - Sale <sup>25</sup>
	49.000	209.71	06/05/20	331.74	122.03 W	0.00	15 of 17 - Sale <sup>25</sup>
	1.000	3.82	06/05/20	6.77	2.95 W	0.00	16 of 17 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
06/08/20	49.000	187.18	06/05/20	331.74	144.56 W	0.00	17 of 17 - Sale <sup>25</sup>
06/08/20	400.000	1,806.43	Various	2,500.67	694.24 W	0.00	Total of 17 transactions
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	4.35	06/05/20	6.77	2.42 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	217.50	06/08/20	249.01	31.51 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	4.35	06/08/20	7.36	3.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	48.000	208.80	06/08/20	252.97	44.17 W	0.00	4 of 4 - Sale <sup>25</sup>
06/09/20	100.000	435.00	Various	516.11	81.11 W	0.00	Total of 4 transactions
25 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	4.39	06/08/20	5.27	0.88 W	0.00	1 of 25 - Sale <sup>25</sup>
	1.000	4.39	06/08/20	7.58	3.19 W	0.00	2 of 25 - Sale <sup>25</sup>
	9.000	39.51	06/08/20	67.82	28.31 W	0.00	3 of 25 - Sale <sup>25</sup>
	15.000	65.85	06/08/20	113.64	47.79 W	0.00	4 of 25 - Sale <sup>25</sup>
	24.000	105.36	06/08/20	192.45	87.09 W	0.00	5 of 25 - Sale <sup>25</sup>
	1.000	4.39	06/08/20	7.23	2.84 W	0.00	6 of 25 - Sale <sup>25</sup>
	1.000	4.39	06/08/20	7.75	3.36 W	0.00	7 of 25 - Sale <sup>25</sup>
	49.000	215.11	06/08/20	357.23	142.12 W	0.00	8 of 25 - Sale <sup>25</sup>
	1.000	4.39	06/08/20	7.38	2.99 W	0.00	9 of 25 - Sale <sup>25</sup>
	49.000	215.11	06/08/20	387.60	172.49 W	0.00	10 of 25 - Sale <sup>25</sup>
	49.000	215.11	06/08/20	270.44	55.33 W	0.00	11 of 25 - Sale <sup>25</sup>
	1.000	4.07	06/08/20	5.52	1.45 W	0.00	12 of 25 - Sale <sup>25</sup>
	1.000	4.07	06/09/20	7.41	3.34 W	0.00	13 of 25 - Sale <sup>25</sup>
	1.000	4.07	06/09/20	5.28	1.21 W	0.00	14 of 25 - Sale <sup>25</sup>
	48.000	195.56	06/09/20	255.16	59.60 W	0.00	15 of 25 - Sale <sup>25</sup>
	1.000	4.07	06/09/20	7.58	3.51 W	0.00	16 of 25 - Sale <sup>25</sup>
	9.000	36.67	06/09/20	67.82	31.15 W	0.00	17 of 25 - Sale <sup>25</sup>
	15.000	61.11	06/09/20	113.64	52.53 W	0.00	18 of 25 - Sale <sup>25</sup>
	24.000	97.78	06/09/20	192.45	94.67 W	0.00	19 of 25 - Sale <sup>25</sup>
	1.000	4.07	06/09/20	7.23	3.16 W	0.00	20 of 25 - Sale <sup>25</sup>
	1.000	4.07	06/09/20	7.50	3.43 W	0.00	21 of 25 - Sale <sup>25</sup>
	48.000	195.56	06/09/20	355.70	160.14 W	0.00	22 of 25 - Sale <sup>25</sup>
	1.000	4.10	06/09/20	7.87	3.77 W	0.00	23 of 25 - Sale <sup>25</sup>
	1.000	4.10	06/09/20	7.41	3.31 W	0.00	24 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	48.000	196.80	06/09/20	385.44	188.64 W	0.00	25 of 25 - Sale <sup>25</sup>
06/10/20	400.000	1,694.10	Various	2,850.40	1,156.30 W	0.00	Total of 25 transactions
	8 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	48.000	199.66	06/10/20	354.52	...	-154.86	1 of 8 - Sale <sup>25</sup>
	6.000	24.96	06/10/20	47.88	...	-22.92	2 of 8 - Sale <sup>25</sup>
	1.000	4.16	06/10/20	7.82	...	-3.66	3 of 8 - Sale <sup>25</sup>
	1.000	4.16	06/10/20	7.48	...	-3.32	4 of 8 - Sale <sup>25</sup>
	1.000	4.16	06/10/20	7.36	...	-3.20	5 of 8 - Sale <sup>25</sup>
	1.000	4.16	06/10/20	7.21	...	-3.05	6 of 8 - Sale <sup>25</sup>
	42.000	174.71	06/12/20	333.86	...	-159.15	7 of 8 - Sale <sup>25</sup>
	50.000	207.98	06/12/20	200.95	...	7.03	8 of 8 - Sale <sup>25</sup>
06/16/20	150.000	623.95	Various	967.08	...	-343.13	Total of 8 transactions
	12 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	4.16	06/09/20	8.03	3.87 W	0.00	1 of 12 - Sale <sup>25</sup>
	7.000	29.12	06/09/20	37.37	8.25 W	0.00	2 of 12 - Sale <sup>25</sup>
	7.000	29.12	06/10/20	55.86	26.74 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	4.16	06/10/20	7.38	3.22 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	4.16	06/10/20	5.49	1.33 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	4.16	06/10/20	5.25	1.09 W	0.00	6 of 12 - Sale <sup>25</sup>
	42.000	174.71	06/10/20	216.95	42.24 W	0.00	7 of 12 - Sale <sup>25</sup>
	48.000	199.67	06/10/20	253.32	53.65 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	4.16	06/10/20	7.56	3.40 W	0.00	9 of 12 - Sale <sup>25</sup>
	9.000	37.44	06/10/20	67.60	30.16 W	0.00	10 of 12 - Sale <sup>25</sup>
	15.000	62.40	06/10/20	113.28	50.88 W	0.00	11 of 12 - Sale <sup>25</sup>
	17.000	70.72	06/10/20	135.91	65.19 W	0.00	12 of 12 - Sale <sup>25</sup>
06/16/20	150.000	623.98	Various	914.00	290.02 W	0.00	Total of 12 transactions
	10 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	7.000	21.70	06/12/20	36.38	...	-14.68	1 of 10 - Sale <sup>25</sup>
	1.000	3.10	06/12/20	7.89	...	-4.79	2 of 10 - Sale <sup>25</sup>
	34.000	105.40	06/15/20	162.37	...	-56.97	3 of 10 - Sale <sup>25</sup>
	6.000	18.60	06/15/20	45.54	...	-26.94	4 of 10 - Sale <sup>25</sup>
	1.000	3.10	06/15/20	7.59	...	-4.49	5 of 10 - Sale <sup>25</sup>
	1.000	3.10	06/15/20	6.99	...	-3.89	6 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	40.000	123.99	06/17/20	198.71	...	-74.72	7 of 10 - Sale <sup>25</sup>
	8.000	24.80	06/17/20	38.85	...	-14.05	8 of 10 - Sale <sup>25</sup>
	1.000	3.10	06/17/20	5.18	...	-2.08	9 of 10 - Sale <sup>25</sup>
	1.000	3.10	06/17/20	4.94	...	-1.84	10 of 10 - Sale <sup>25</sup>
06/22/20	100.000	309.99	Various	514.44	...	-204.45	Total of 10 transactions
	5 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	17.000	47.09	06/18/20	130.47	...	-83.38	1 of 5 - Sale <sup>25</sup>
	15.000	41.55	06/18/20	108.48	...	-66.93	2 of 5 - Sale <sup>25</sup>
	9.000	24.94	06/18/20	64.72	...	-39.78	3 of 5 - Sale <sup>25</sup>
	8.000	22.16	06/18/20	39.66	...	-17.50	4 of 5 - Sale <sup>25</sup>
	1.000	2.77	06/18/20	7.24	...	-4.47	5 of 5 - Sale <sup>25</sup>
06/23/20	50.000	138.51	Various	350.57	...	-212.06	Total of 5 transactions
	<b>Security total:</b>	<b>11,285.77</b>		<b>14,804.41</b>	<b>3,665.14 W</b>	<b>146.50</b>	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
04/07/20	50.000	71.49	03/31/20	68.33	...	3.16	Sale <sup>25</sup>
	9 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	50.000	71.50	03/26/20	116.00	44.50 W	0.00	1 of 9 - Sale <sup>25</sup>
	50.000	71.50	03/26/20	137.50	66.00 W	0.00	2 of 9 - Sale <sup>25</sup>
	25.000	35.75	03/26/20	43.63	7.88 W	0.00	3 of 9 - Sale <sup>25</sup>
	25.000	35.75	03/26/20	43.41	7.66 W	0.00	4 of 9 - Sale <sup>25</sup>
	15.000	21.45	03/26/20	26.50	5.05 W	0.00	5 of 9 - Sale <sup>25</sup>
	35.000	50.05	03/27/20	63.93	13.88 W	0.00	6 of 9 - Sale <sup>25</sup>
	50.000	71.50	03/30/20	80.84	9.34 W	0.00	7 of 9 - Sale <sup>25</sup>
	100.000	142.99	03/30/20	149.67	6.68 W	0.00	8 of 9 - Sale <sup>25</sup>
	100.000	142.99	03/31/20	146.99	4.00 W	0.00	9 of 9 - Sale <sup>25</sup>
04/07/20	450.000	643.48	Various	808.47	164.99 W	0.00	Total of 9 transactions
	10 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	400.000	563.94	03/31/20	550.68	...	13.26	1 of 10 - Sale <sup>25</sup>
	35.000	61.72	04/01/20	61.02	...	0.70	2 of 10 - Sale <sup>25</sup>
	15.000	26.45	04/01/20	25.25	...	1.20	3 of 10 - Sale <sup>25</sup>
	25.000	44.08	04/01/20	41.22	...	2.86	4 of 10 - Sale <sup>25</sup>
	25.000	44.08	04/01/20	40.99	...	3.09	5 of 10 - Sale <sup>25</sup>
	150.000	211.48	04/01/20	208.01	...	3.47	6 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	50.000	88.17	04/01/20	76.68	...	11.49	7 of 10 - Sale <sup>25</sup>
	37.000	65.24	04/01/20	52.31	...	12.93	8 of 10 - Sale <sup>25</sup>
	50.000	88.16	04/01/20	69.18	...	18.98	9 of 10 - Sale <sup>25</sup>
	63.000	111.09	04/01/20	89.06	...	22.03	10 of 10 - Sale <sup>25</sup>
04/08/20	850.000	1,304.41	Various	1,214.40	...	90.01	Total of 10 transactions
	12 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	25.000	35.25	03/31/20	42.05	6.80 W	0.00	1 of 12 - Sale <sup>25</sup>
	25.000	35.25	03/31/20	41.82	6.57 W	0.00	2 of 12 - Sale <sup>25</sup>
	50.000	70.49	03/31/20	112.83	42.34 W	0.00	3 of 12 - Sale <sup>25</sup>
	50.000	70.49	03/31/20	134.34	63.85 W	0.00	4 of 12 - Sale <sup>25</sup>
	15.000	21.15	03/31/20	25.75	4.60 W	0.00	5 of 12 - Sale <sup>25</sup>
	35.000	49.34	03/31/20	62.18	12.84 W	0.00	6 of 12 - Sale <sup>25</sup>
	50.000	70.49	03/31/20	78.34	7.85 W	0.00	7 of 12 - Sale <sup>25</sup>
	63.000	88.82	03/31/20	91.15	2.33 W	0.00	8 of 12 - Sale <sup>25</sup>
	37.000	52.16	03/31/20	53.53	1.37 W	0.00	9 of 12 - Sale <sup>25</sup>
	100.000	140.98	03/31/20	141.67	0.69 W	0.00	10 of 12 - Sale <sup>25</sup>
	50.000	88.17	04/01/20	111.17	23.00 W	0.00	11 of 12 - Sale <sup>25</sup>
	50.000	88.17	04/01/20	132.69	44.52 W	0.00	12 of 12 - Sale <sup>25</sup>
04/08/20	550.000	810.76	Various	1,027.52	216.76 W	0.00	Total of 12 transactions
	5 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	50.000	101.65	04/01/20	91.50	...	10.15	1 of 5 - Sale <sup>25</sup>
	50.000	114.16	04/01/20	69.18	...	44.98	2 of 5 - Sale <sup>25</sup>
	100.000	203.31	04/01/20	137.67	...	65.64	3 of 5 - Sale <sup>25</sup>
	100.000	203.31	04/01/20	136.99	...	66.32	4 of 5 - Sale <sup>25</sup>
	450.000	1,027.40	04/01/20	619.52	...	407.88	5 of 5 - Sale <sup>25</sup>
04/09/20	750.000	1,649.83	Various	1,054.86	...	594.97	Total of 5 transactions
04/09/20	50.000	101.66	04/01/20	113.01	11.35 W	0.00	Sale <sup>25</sup>
04/17/20	170.000	306.59	04/01/20	232.88	...	73.71	Sale <sup>25</sup>
	4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	85.000	125.37	04/01/20	116.44	...	8.93	1 of 4 - Sale <sup>25</sup>
	130.000	192.38	04/01/20	178.09	...	14.29	2 of 4 - Sale <sup>25</sup>
	165.000	243.36	04/01/20	226.03	...	17.33	3 of 4 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
05/13/20	250.000	368.72	04/01/20	342.48	...	26.24	4 of 4 - Sale <sup>25</sup>
	630.000	929.83	Various	863.04	...	66.79	Total of 4 transactions
	4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	50.000	73.99	04/08/20	91.68	17.69 W	0.00	1 of 4 - Sale <sup>25</sup>
	150.000	221.98	04/08/20	241.01	19.03 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	147.98	04/15/20	170.67	22.69 W	0.00	3 of 4 - Sale <sup>25</sup>
	70.000	103.59	04/15/20	115.97	12.38 W	0.00	4 of 4 - Sale <sup>25</sup>
05/13/20	370.000	547.54	Various	619.33	71.79 W	0.00	Total of 4 transactions
	11 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	30.000	41.40	04/15/20	60.31	18.91 W	0.00	1 of 11 - Sale <sup>25</sup>
	20.000	27.60	04/15/20	40.41	12.81 W	0.00	2 of 11 - Sale <sup>25</sup>
	80.000	110.39	04/15/20	143.49	33.10 W	0.00	3 of 11 - Sale <sup>25</sup>
	70.000	96.59	04/20/20	126.95	30.36 W	0.00	4 of 11 - Sale <sup>25</sup>
	50.000	68.99	04/20/20	95.68	26.69 W	0.00	5 of 11 - Sale <sup>25</sup>
	200.000	275.96	04/24/20	310.00	34.04 W	0.00	6 of 11 - Sale <sup>25</sup>
	50.000	68.99	04/24/20	86.34	17.35 W	0.00	7 of 11 - Sale <sup>25</sup>
	70.000	96.59	04/24/20	117.38	20.79 W	0.00	8 of 11 - Sale <sup>25</sup>
	130.000	179.38	04/24/20	195.00	15.62 W	0.00	9 of 11 - Sale <sup>25</sup>
	293.000	404.29	04/27/20	474.66	70.37 W	0.00	10 of 11 - Sale <sup>25</sup>
	7.000	9.66	04/27/20	15.75	6.09 W	0.00	11 of 11 - Sale <sup>25</sup>
05/14/20	1,000.000	1,379.84	Various	1,665.97	286.13 W	0.00	Total of 11 transactions
	21 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	7.000	16.13	05/11/20	14.77	...	1.36	1 of 21 - Sale <sup>25</sup>
	20.000	46.10	05/11/20	44.55	...	1.55	2 of 21 - Sale <sup>25</sup>
	23.000	53.01	05/11/20	51.00	...	2.01	3 of 21 - Sale <sup>25</sup>
	7.000	16.13	05/11/20	13.04	...	3.09	4 of 21 - Sale <sup>25</sup>
	23.000	53.01	05/11/20	45.78	...	7.23	5 of 21 - Sale <sup>25</sup>
	43.000	99.11	05/11/20	90.31	...	8.80	6 of 21 - Sale <sup>25</sup>
	57.000	131.38	05/11/20	114.02	...	17.36	7 of 21 - Sale <sup>25</sup>
	50.000	115.25	05/11/20	95.67	...	19.58	8 of 21 - Sale <sup>25</sup>
	70.000	161.35	05/11/20	140.71	...	20.64	9 of 21 - Sale <sup>25</sup>
	7.000	16.13	05/12/20	12.09	...	4.04	10 of 21 - Sale <sup>25</sup>
	37.000	85.28	05/12/20	62.05	...	23.23	11 of 21 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	63.000	145.21	05/12/20	116.79	...	28.42	12 of 21 - Sale <sup>25</sup>
	93.000	214.36	05/12/20	155.95	...	58.41	13 of 21 - Sale <sup>25</sup>
	7.000	15.78	05/13/20	10.46	...	5.32	14 of 21 - Sale <sup>25</sup>
	7.000	15.96	05/13/20	10.41	...	5.55	15 of 21 - Sale <sup>25</sup>
	50.000	112.74	05/13/20	73.00	...	39.74	16 of 21 - Sale <sup>25</sup>
	100.000	225.48	05/13/20	145.98	...	79.50	17 of 21 - Sale <sup>25</sup>
	100.000	225.49	05/13/20	145.98	...	79.51	18 of 21 - Sale <sup>25</sup>
	193.000	440.00	05/13/20	319.80	...	120.20	19 of 21 - Sale <sup>25</sup>
	293.000	667.99	05/13/20	506.00	...	161.99	20 of 21 - Sale <sup>25</sup>
	243.000	547.92	05/13/20	344.26	...	203.66	21 of 21 - Sale <sup>25</sup>
06/04/20	1,493.000	3,403.81	Various	2,512.62	...	891.19	Total of 21 transactions
06/04/20	7.000	15.96	05/13/20	16.50	0.54 W	0.00	Sale <sup>25</sup>
	5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	25.000	75.33	05/14/20	36.63	...	38.70	1 of 5 - Sale <sup>25</sup>
	100.000	301.31	05/14/20	146.50	...	154.81	2 of 5 - Sale <sup>25</sup>
	175.000	527.29	05/14/20	256.38	...	270.91	3 of 5 - Sale <sup>25</sup>
	200.000	602.62	05/14/20	293.00	...	309.62	4 of 5 - Sale <sup>25</sup>
	500.000	1,506.56	05/14/20	693.35	...	813.21	5 of 5 - Sale <sup>25</sup>
06/10/20	1,000.000	3,013.11	Various	1,425.86	...	1,587.25	Total of 5 transactions
	3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	2.97	06/10/20	2.74	...	0.23	1 of 3 - Sale <sup>25</sup>
	80.000	237.58	06/15/20	199.20	...	38.38	2 of 3 - Sale <sup>25</sup>
	120.000	356.38	06/15/20	298.80	...	57.58	3 of 3 - Sale <sup>25</sup>
06/16/20	201.000	596.93	Various	500.74	...	96.19	Total of 3 transactions
07/06/20	500.000	1,251.56	06/18/20	1,328.30	76.74 W	0.00	Sale <sup>25</sup>
	5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	150.000	338.98	07/06/20	405.03	...	-66.05	1 of 5 - Sale <sup>25</sup>
	100.000	225.99	07/06/20	270.02	...	-44.03	2 of 5 - Sale <sup>25</sup>
	100.000	225.98	07/06/20	266.35	...	-40.37	3 of 5 - Sale <sup>25</sup>
	100.000	225.98	07/06/20	266.35	...	-40.37	4 of 5 - Sale <sup>25</sup>
	50.000	112.99	07/06/20	133.17	...	-20.18	5 of 5 - Sale <sup>25</sup>
07/09/20	500.000	1,129.92	Various	1,340.92	...	-211.00	Total of 5 transactions
11/23/20	150.000	550.79	11/20/20	545.57	...	5.22	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	350.000	1,289.53	11/24/20	1,374.73	...	-85.20	1 of 3 - Sale <sup>25</sup>
	100.000	368.44	11/25/20	384.50	...	-16.06	2 of 3 - Sale <sup>25</sup>
	50.000	184.22	11/25/20	192.25	...	-8.03	3 of 3 - Sale <sup>25</sup>
11/30/20	500.000	1,842.19	Various	1,951.48	...	-109.29	Total of 3 transactions
	<b>Security total:</b>	<b>19,549.70</b>		<b>17,289.80</b>	<b>828.30 W</b>	<b>3,088.20</b>	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
10 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	50.000	192.25	03/17/20	190.87	...	1.38	1 of 10 - Sale <sup>25</sup>
	25.000	96.12	03/17/20	93.50	...	2.62	2 of 10 - Sale <sup>25</sup>
	25.000	96.12	03/17/20	90.19	...	5.93	3 of 10 - Sale <sup>25</sup>
	1.000	3.84	03/18/20	3.36	...	0.48	4 of 10 - Sale <sup>25</sup>
	1.000	3.84	03/18/20	3.27	...	0.57	5 of 10 - Sale <sup>25</sup>
	50.000	192.25	03/18/20	176.50	...	15.75	6 of 10 - Sale <sup>25</sup>
	50.000	192.24	03/18/20	170.43	...	21.81	7 of 10 - Sale <sup>25</sup>
	50.000	192.25	03/18/20	170.43	...	21.82	8 of 10 - Sale <sup>25</sup>
	49.000	188.40	03/18/20	160.10	...	28.30	9 of 10 - Sale <sup>25</sup>
	50.000	192.25	03/18/20	163.37	...	28.88	10 of 10 - Sale <sup>25</sup>
03/19/20	351.000	1,349.56	Various	1,222.02	...	127.54	Total of 10 transactions
3 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	3.000	11.50	03/20/20	10.88	...	0.62	1 of 3 - Sale <sup>25</sup>
	12.000	45.98	03/20/20	44.73	...	1.25	2 of 3 - Sale <sup>25</sup>
	18.000	68.98	03/20/20	67.50	...	1.48	3 of 3 - Sale <sup>25</sup>
03/26/20	33.000	126.46	Various	123.11	...	3.35	Total of 3 transactions
12 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	33.000	109.89	03/30/20	107.58	...	2.31	1 of 12 - Sale <sup>25</sup>
	50.000	166.49	03/30/20	160.50	...	5.99	2 of 12 - Sale <sup>25</sup>
	3.000	11.02	03/31/20	10.89	...	0.13	3 of 12 - Sale <sup>25</sup>
	53.000	197.68	03/31/20	181.27	...	16.41	4 of 12 - Sale <sup>25</sup>
	97.000	361.78	03/31/20	343.20	...	18.58	5 of 12 - Sale <sup>25</sup>
	27.000	91.58	04/01/20	89.64	...	1.94	6 of 12 - Sale <sup>25</sup>
	17.000	57.66	04/01/20	53.98	...	3.68	7 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol: (cont'd)							
	33.000	111.93	04/01/20	106.43	...	5.50	8 of 12 - Sale <sup>25</sup>
	40.000	135.67	04/01/20	129.40	...	6.27	9 of 12 - Sale <sup>25</sup>
	50.000	169.59	04/01/20	161.26	...	8.33	10 of 12 - Sale <sup>25</sup>
	36.000	122.10	04/01/20	112.68	...	9.42	11 of 12 - Sale <sup>25</sup>
	47.000	159.41	04/01/20	147.13	...	12.28	12 of 12 - Sale <sup>25</sup>
04/02/20	486.000	1,694.80	Various	1,603.96	...	90.84	Total of 12 transactions
	10 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	47.000	156.51	03/20/20	170.52	14.01 W	0.00	1 of 10 - Sale <sup>25</sup>
	100.000	332.99	03/20/20	358.80	25.81 W	0.00	2 of 10 - Sale <sup>25</sup>
	30.000	99.90	03/20/20	104.10	4.20 W	0.00	3 of 10 - Sale <sup>25</sup>
	100.000	332.99	03/20/20	347.00	14.01 W	0.00	4 of 10 - Sale <sup>25</sup>
	50.000	166.49	03/23/20	168.75	2.26 W	0.00	5 of 10 - Sale <sup>25</sup>
	40.000	133.20	03/23/20	135.40	2.20 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	166.49	03/31/20	168.75	2.26 W	0.00	7 of 10 - Sale <sup>25</sup>
	47.000	172.61	03/31/20	172.64	0.03 W	0.00	8 of 10 - Sale <sup>25</sup>
	3.000	10.18	03/31/20	10.26	0.08 W	0.00	9 of 10 - Sale <sup>25</sup>
	47.000	159.41	03/31/20	160.74	1.33 W	0.00	10 of 10 - Sale <sup>25</sup>
04/02/20	514.000	1,730.77	Various	1,796.96	66.19 W	0.00	Total of 10 transactions
	4 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	1.000	3.66	04/02/20	3.42	...	0.24	1 of 4 - Sale <sup>25</sup>
	3.000	10.99	04/02/20	10.69	...	0.30	2 of 4 - Sale <sup>25</sup>
	46.000	168.45	04/02/20	164.02	...	4.43	3 of 4 - Sale <sup>25</sup>
	50.000	183.09	04/02/20	177.50	...	5.59	4 of 4 - Sale <sup>25</sup>
04/03/20	100.000	366.19	Various	355.63	...	10.56	Total of 4 transactions
	4 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	4.14	04/09/20	4.49	0.35 W	0.00	1 of 4 - Sale <sup>25</sup>
	49.000	202.87	04/13/20	216.48	13.61 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	4.34	04/14/20	4.59	0.25 W	0.00	3 of 4 - Sale <sup>25</sup>
	19.000	82.36	04/14/20	85.84	3.48 W	0.00	4 of 4 - Sale <sup>25</sup>
04/17/20	70.000	293.71	Various	311.40	17.69 W	0.00	Total of 4 transactions
	9 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	5.000	24.61	04/14/20	22.59	...	2.02	1 of 9 - Sale <sup>25</sup>
	25.000	123.06	04/14/20	112.38	...	10.68	2 of 9 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol: (cont'd)							
	1.000	4.92	04/15/20	4.29	...	0.63	3 of 9 - Sale <sup>25</sup>
	19.000	93.52	04/15/20	80.20	...	13.32	4 of 9 - Sale <sup>25</sup>
	30.000	147.67	04/16/20	121.12	...	26.55	5 of 9 - Sale <sup>25</sup>
	50.000	246.11	04/16/20	198.37	...	47.74	6 of 9 - Sale <sup>25</sup>
	50.000	246.11	04/16/20	197.50	...	48.61	7 of 9 - Sale <sup>25</sup>
	50.000	246.12	04/16/20	197.50	...	48.62	8 of 9 - Sale <sup>25</sup>
	50.000	246.11	04/16/20	195.50	...	50.61	9 of 9 - Sale <sup>25</sup>
04/23/20	280.000	1,378.23	Various	1,129.45	...	248.78	Total of 9 transactions
	4 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	1.000	4.92	04/23/20	4.88	...	0.04	1 of 4 - Sale <sup>25</sup>
	24.000	118.20	04/24/20	116.52	...	1.68	2 of 4 - Sale <sup>25</sup>
	25.000	123.12	04/24/20	121.20	...	1.92	3 of 4 - Sale <sup>25</sup>
	50.000	246.25	04/24/20	241.90	...	4.35	4 of 4 - Sale <sup>25</sup>
04/27/20	100.000	492.49	Various	484.50	...	7.99	Total of 4 transactions
	4 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	25.000	123.12	04/24/20	127.20	4.08 W	0.00	1 of 4 - Sale <sup>25</sup>
	25.000	123.13	04/24/20	126.95	3.82 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	123.12	04/24/20	125.21	2.09 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	123.12	04/24/20	124.94	1.82 W	0.00	4 of 4 - Sale <sup>25</sup>
04/27/20	100.000	492.49	Various	504.30	11.81 W	0.00	Total of 4 transactions
05/01/20	5.000	27.53	04/30/20	30.86	3.33 W	0.00	Sale <sup>25</sup>
	4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	5.000	27.31	05/04/20	31.42	4.11 W	0.00	1 of 4 - Sale <sup>25</sup>
	20.000	109.24	05/04/20	114.03	4.79 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	136.54	05/04/20	142.27	5.73 W	0.00	3 of 4 - Sale <sup>25</sup>
	24.000	131.09	05/08/20	138.66	7.57 W	0.00	4 of 4 - Sale <sup>25</sup>
05/13/20	74.000	404.18	Various	426.38	22.20 W	0.00	Total of 4 transactions
	8 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	5.88	05/08/20	6.60	...	-0.72	1 of 8 - Sale <sup>25</sup>
	4.000	23.53	05/13/20	25.20	...	-1.67	2 of 8 - Sale <sup>25</sup>
	24.000	141.16	05/13/20	138.80	...	2.36	3 of 8 - Sale <sup>25</sup>
	20.000	117.63	05/13/20	114.35	...	3.28	4 of 8 - Sale <sup>25</sup>
	25.000	147.04	05/13/20	142.43	...	4.61	5 of 8 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol: (cont'd)							
	26.000	152.93	05/13/20	142.17	...	10.76	6 of 8 - Sale <sup>25</sup>
	50.000	294.09	05/13/20	273.90	...	20.19	7 of 8 - Sale <sup>25</sup>
	50.000	294.09	05/14/20	266.90	...	27.19	8 of 8 - Sale <sup>25</sup>
06/04/20	200.000	1,176.35	Various	1,110.35	...	66.00	Total of 8 transactions
	<b>Security total:</b>	<b>9,532.76</b>		<b>9,098.92</b>	<b>121.22 W</b>	<b>555.06</b>	
MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	20.000	237.94	03/11/20	228.92	...	9.02	1 of 2 - Sale <sup>25</sup>
	30.000	353.51	03/11/20	343.39	...	10.12	2 of 2 - Sale <sup>25</sup>
03/25/20	50.000	591.45	Various	572.31	...	19.14	Total of 2 transactions
5 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	2.000	19.07	03/16/20	18.19	...	0.88	1 of 5 - Sale <sup>25</sup>
	8.000	76.26	03/17/20	76.24	...	0.02	2 of 5 - Sale <sup>25</sup>
	20.000	190.65	03/17/20	179.59	...	11.06	3 of 5 - Sale <sup>25</sup>
	25.000	238.32	03/18/20	199.04	...	39.28	4 of 5 - Sale <sup>25</sup>
	25.000	238.32	03/20/20	200.28	...	38.04	5 of 5 - Sale <sup>25</sup>
04/01/20	80.000	762.62	Various	673.34	...	89.28	Total of 5 transactions
04/01/20	20.000	190.65	03/17/20	192.79	2.14 W	0.00	Sale <sup>25</sup>
5 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	11.05	04/01/20	9.71	...	1.34	1 of 5 - Sale <sup>25</sup>
	1.000	11.05	04/01/20	9.56	...	1.49	2 of 5 - Sale <sup>25</sup>
	4.000	44.20	04/01/20	38.52	...	5.68	3 of 5 - Sale <sup>25</sup>
	5.000	55.25	04/01/20	46.50	...	8.75	4 of 5 - Sale <sup>25</sup>
	19.000	209.95	04/01/20	183.60	...	26.35	5 of 5 - Sale <sup>25</sup>
04/07/20	30.000	331.50	Various	287.89	...	43.61	Total of 5 transactions
04/27/20	200.000	2,152.93	04/21/20	2,005.94	...	146.99	Sale <sup>25</sup>
26 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	9.82	05/04/20	10.93	1.11 W	0.00	1 of 26 - Sale <sup>25</sup>
	99.000	972.57	05/04/20	1,096.73	124.16 W	0.00	2 of 26 - Sale <sup>25</sup>
	30.000	294.72	05/04/20	325.98	31.26 W	0.00	3 of 26 - Sale <sup>25</sup>
	20.000	196.48	05/05/20	215.20	18.72 W	0.00	4 of 26 - Sale <sup>25</sup>
	20.000	196.48	05/06/20	213.31	16.83 W	0.00	5 of 26 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol: (cont'd)							
	10.000	98.24	05/06/20	104.40	6.16 W	0.00	6 of 26 - Sale <sup>25</sup>
	20.000	196.48	05/07/20	210.13	13.65 W	0.00	7 of 26 - Sale <sup>25</sup>
	20.000	196.48	05/12/20	213.57	17.09 W	0.00	8 of 26 - Sale <sup>25</sup>
	30.000	294.72	05/12/20	308.36	13.64 W	0.00	9 of 26 - Sale <sup>25</sup>
	50.000	491.19	05/12/20	515.44	24.25 W	0.00	10 of 26 - Sale <sup>25</sup>
	1.000	9.27	05/12/20	11.42	2.15 W	0.00	11 of 26 - Sale <sup>25</sup>
	19.000	176.08	05/12/20	219.69	43.61 W	0.00	12 of 26 - Sale <sup>25</sup>
	30.000	278.02	05/12/20	341.48	63.46 W	0.00	13 of 26 - Sale <sup>25</sup>
	50.000	463.37	05/12/20	572.08	108.71 W	0.00	14 of 26 - Sale <sup>25</sup>
	30.000	278.02	05/12/20	331.82	53.80 W	0.00	15 of 26 - Sale <sup>25</sup>
	20.000	185.35	05/13/20	215.67	30.32 W	0.00	16 of 26 - Sale <sup>25</sup>
	10.000	92.67	05/13/20	104.63	11.96 W	0.00	17 of 26 - Sale <sup>25</sup>
	20.000	185.35	05/13/20	213.78	28.43 W	0.00	18 of 26 - Sale <sup>25</sup>
	20.000	185.35	05/13/20	210.26	24.91 W	0.00	19 of 26 - Sale <sup>25</sup>
	10.000	92.68	05/13/20	102.85	10.17 W	0.00	20 of 26 - Sale <sup>25</sup>
	20.000	185.35	05/13/20	213.70	28.35 W	0.00	21 of 26 - Sale <sup>25</sup>
	20.000	185.35	05/13/20	209.04	23.69 W	0.00	22 of 26 - Sale <sup>25</sup>
	30.000	278.02	05/13/20	314.47	36.45 W	0.00	23 of 26 - Sale <sup>25</sup>
	20.000	185.35	05/13/20	210.36	25.01 W	0.00	24 of 26 - Sale <sup>25</sup>
	30.000	278.03	05/13/20	300.98	22.95 W	0.00	25 of 26 - Sale <sup>25</sup>
	70.000	648.72	05/13/20	703.78	55.06 W	0.00	26 of 26 - Sale <sup>25</sup>
05/13/20	700.000	6,654.16	Various	7,490.06	835.90 W	0.00	Total of 26 transactions
	25 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	8.93	05/13/20	11.44	2.51 W	0.00	1 of 25 - Sale <sup>25</sup>
	1.000	8.93	05/13/20	11.47	2.54 W	0.00	2 of 25 - Sale <sup>25</sup>
	19.000	169.66	05/13/20	220.26	50.60 W	0.00	3 of 25 - Sale <sup>25</sup>
	30.000	267.89	05/13/20	342.38	74.49 W	0.00	4 of 25 - Sale <sup>25</sup>
	1.000	8.93	05/13/20	11.21	2.28 W	0.00	5 of 25 - Sale <sup>25</sup>
	49.000	437.55	05/13/20	567.99	130.44 W	0.00	6 of 25 - Sale <sup>25</sup>
	29.000	258.96	05/13/20	325.45	66.49 W	0.00	7 of 25 - Sale <sup>25</sup>
	20.000	178.59	05/13/20	218.89	40.30 W	0.00	8 of 25 - Sale <sup>25</sup>
	1.000	8.93	05/13/20	10.85	1.92 W	0.00	9 of 25 - Sale <sup>25</sup>
	10.000	89.30	05/13/20	106.26	16.96 W	0.00	10 of 25 - Sale <sup>25</sup>
	19.000	169.66	05/13/20	206.17	36.51 W	0.00	11 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol: (cont'd)							
	20.000	178.59	05/13/20	213.50	34.91 W	0.00	12 of 25 - Sale <sup>25</sup>
	1.000	8.93	05/13/20	10.85	1.92 W	0.00	13 of 25 - Sale <sup>25</sup>
	10.000	89.30	05/13/20	104.24	14.94 W	0.00	14 of 25 - Sale <sup>25</sup>
	19.000	169.66	05/13/20	205.67	36.01 W	0.00	15 of 25 - Sale <sup>25</sup>
	20.000	178.59	05/13/20	211.84	33.25 W	0.00	16 of 25 - Sale <sup>25</sup>
	20.000	178.60	05/13/20	213.16	34.56 W	0.00	17 of 25 - Sale <sup>25</sup>
	30.000	267.89	05/13/20	318.67	50.78 W	0.00	18 of 25 - Sale <sup>25</sup>
	1.000	8.93	05/13/20	10.18	1.25 W	0.00	19 of 25 - Sale <sup>25</sup>
	21.000	187.53	05/13/20	213.47	25.94 W	0.00	20 of 25 - Sale <sup>25</sup>
	29.000	258.96	05/13/20	294.17	35.21 W	0.00	21 of 25 - Sale <sup>25</sup>
	49.000	437.56	05/13/20	500.48	62.92 W	0.00	22 of 25 - Sale <sup>25</sup>
	1.000	8.93	05/13/20	9.43	0.50 W	0.00	23 of 25 - Sale <sup>25</sup>
	50.000	446.49	05/13/20	458.44	11.95 W	0.00	24 of 25 - Sale <sup>25</sup>
	50.000	446.48	05/13/20	450.00	3.52 W	0.00	25 of 25 - Sale <sup>25</sup>
05/14/20	501.000	4,473.77	Various	5,246.47	772.70 W	0.00	Total of 25 transactions
	12 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	49.000	437.94	05/14/20	568.67	...	-130.73	1 of 12 - Sale <sup>25</sup>
	19.000	169.81	05/14/20	213.58	...	-43.77	2 of 12 - Sale <sup>25</sup>
	20.000	178.75	05/14/20	218.70	...	-39.95	3 of 12 - Sale <sup>25</sup>
	20.000	178.79	05/14/20	208.51	...	-29.72	4 of 12 - Sale <sup>25</sup>
	14.000	125.15	05/14/20	151.64	...	-26.49	5 of 12 - Sale <sup>25</sup>
	10.000	89.37	05/14/20	112.13	...	-22.76	6 of 12 - Sale <sup>25</sup>
	5.000	44.70	05/14/20	54.16	...	-9.46	7 of 12 - Sale <sup>25</sup>
	5.000	44.70	05/14/20	53.03	...	-8.33	8 of 12 - Sale <sup>25</sup>
	5.000	44.70	05/14/20	51.88	...	-7.18	9 of 12 - Sale <sup>25</sup>
	1.000	8.94	05/14/20	11.48	...	-2.54	10 of 12 - Sale <sup>25</sup>
	1.000	8.94	05/14/20	11.23	...	-2.29	11 of 12 - Sale <sup>25</sup>
	1.000	8.94	05/14/20	10.83	...	-1.89	12 of 12 - Sale <sup>25</sup>
05/15/20	150.000	1,340.73	Various	1,665.84	...	-325.11	Total of 12 transactions
	3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	30.000	268.12	05/14/20	342.79	72.18 W	-2.49	1 of 3 - Sale <sup>25</sup>
	1.000	8.94	05/14/20	11.45	2.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	19.000	169.81	05/14/20	220.52	50.71 W	0.00	3 of 3 - Sale <sup>25</sup>
05/15/20	50.000	446.87	Various	574.76	125.40 W	-2.49	Total of 3 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol: (cont'd)							
15 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	16.57	05/14/20	10.69	...	5.88	1 of 15 - Sale <sup>25</sup>
	1.000	16.48	05/14/20	10.43	...	6.05	2 of 15 - Sale <sup>25</sup>
	1.000	16.53	05/14/20	10.19	...	6.34	3 of 15 - Sale <sup>25</sup>
	7.000	116.00	05/14/20	74.66	...	41.34	4 of 15 - Sale <sup>25</sup>
	10.000	165.36	05/14/20	105.23	...	60.13	5 of 15 - Sale <sup>25</sup>
	10.000	165.31	05/14/20	104.11	...	61.20	6 of 15 - Sale <sup>25</sup>
	10.000	165.71	05/14/20	103.23	...	62.48	7 of 15 - Sale <sup>25</sup>
	12.000	198.85	05/14/20	128.02	...	70.83	8 of 15 - Sale <sup>25</sup>
	20.000	330.71	05/14/20	211.16	...	119.55	9 of 15 - Sale <sup>25</sup>
	20.000	330.72	05/14/20	210.45	...	120.27	10 of 15 - Sale <sup>25</sup>
	19.000	314.10	05/14/20	192.86	...	121.24	11 of 15 - Sale <sup>25</sup>
	20.000	331.41	05/14/20	209.82	...	121.59	12 of 15 - Sale <sup>25</sup>
	20.000	330.63	05/14/20	208.66	...	121.97	13 of 15 - Sale <sup>25</sup>
	24.000	395.54	05/14/20	251.55	...	143.99	14 of 15 - Sale <sup>25</sup>
	25.000	411.31	05/14/20	262.04	...	149.27	15 of 15 - Sale <sup>25</sup>
06/01/20	200.000	3,305.23	Various	2,093.10	...	1,212.13	Total of 15 transactions
8 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	15.93	05/15/20	11.71	...	4.22	1 of 8 - Sale <sup>25</sup>
	1.000	15.46	05/15/20	9.27	...	6.19	2 of 8 - Sale <sup>25</sup>
	1.000	15.93	05/15/20	9.42	...	6.51	3 of 8 - Sale <sup>25</sup>
	1.000	16.40	05/15/20	9.70	...	6.70	4 of 8 - Sale <sup>25</sup>
	19.000	302.60	05/15/20	225.51	...	77.09	5 of 8 - Sale <sup>25</sup>
	29.000	461.86	05/15/20	338.98	...	122.88	6 of 8 - Sale <sup>25</sup>
	49.000	780.40	05/15/20	453.27	...	327.13	7 of 8 - Sale <sup>25</sup>
	49.000	803.63	05/15/20	462.52	...	341.11	8 of 8 - Sale <sup>25</sup>
06/02/20	150.000	2,412.21	Various	1,520.38	...	891.83	Total of 8 transactions
06/24/20	50.000	836.87	06/19/20	777.87	...	59.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>23,498.99</b>		<b>23,100.75</b>	<b>1,736.14 W</b>	<b>2,134.38</b>	

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MERCCK & CO., INC. / CUSIP: 58933Y105 / Symbol:							
04/01/20	1.000	73.23	03/26/20	70.04	...	3.19	Sale <sup>25</sup>
04/01/20	1.000	73.23	04/01/20	74.04	0.81 W	0.00	Sale <sup>25</sup>
04/03/20	1.000	76.53	04/01/20	73.98	...	2.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>222.99</b>		<b>218.06</b>	<b>0.81 W</b>	<b>5.74</b>	
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
05/13/20	30.000	92.40	05/01/20	101.90	9.50 W	0.00	Sale <sup>25</sup>
	4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	20.000	64.60	05/01/20	77.13	12.53 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	32.30	05/01/20	38.97	6.67 W	0.00	2 of 4 - Sale <sup>25</sup>
	40.000	129.19	05/01/20	143.20	14.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	30.000	96.90	05/05/20	101.17	4.27 W	0.00	4 of 4 - Sale <sup>25</sup>
05/18/20	100.000	322.99	Various	360.47	37.48 W	0.00	Total of 4 transactions
	5 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	20.000	73.40	05/05/20	79.98	...	-6.58	1 of 5 - Sale <sup>25</sup>
	10.000	36.70	05/06/20	38.55	...	-1.85	2 of 5 - Sale <sup>25</sup>
	20.000	73.40	05/06/20	70.76	...	2.64	3 of 5 - Sale <sup>25</sup>
	20.000	73.40	05/13/20	68.80	...	4.60	4 of 5 - Sale <sup>25</sup>
	30.000	110.09	05/13/20	96.97	...	13.12	5 of 5 - Sale <sup>25</sup>
06/02/20	100.000	366.99	Various	355.06	...	11.93	Total of 5 transactions
	<b>Security total:</b>	<b>782.38</b>		<b>817.43</b>	<b>46.98 W</b>	<b>11.93</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
06/15/20	40.000	2,548.74	06/11/20	2,566.82	18.08 W	0.00	Sale <sup>25</sup>
	3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	10.000	639.80	06/11/20	641.71	...	-1.91	1 of 3 - Sale <sup>25</sup>
	20.000	1,279.60	06/12/20	1,259.17	...	20.43	2 of 3 - Sale <sup>25</sup>
	20.000	1,279.59	06/15/20	1,287.75	...	-8.16	3 of 3 - Sale <sup>25</sup>
06/17/20	50.000	3,198.99	Various	3,188.63	...	10.36	Total of 3 transactions
08/13/20	50.000	3,369.14	08/12/20	3,721.00	351.86 W	0.00	Sale <sup>25</sup>
	9 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	10.000	665.41	08/12/20	781.42	116.01 W	0.00	1 of 9 - Sale <sup>25</sup>
	5.000	332.71	08/12/20	388.79	56.08 W	0.00	2 of 9 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	5.000	332.71	08/12/20	390.74	58.03 W	0.00	3 of 9 - Sale <sup>25</sup>
	10.000	665.41	08/12/20	764.07	98.66 W	0.00	4 of 9 - Sale <sup>25</sup>
	20.000	1,330.83	08/12/20	1,533.62	202.79 W	0.00	5 of 9 - Sale <sup>25</sup>
	10.000	665.41	08/13/20	677.42	12.01 W	0.00	6 of 9 - Sale <sup>25</sup>
	10.000	665.41	08/13/20	676.45	11.04 W	0.00	7 of 9 - Sale <sup>25</sup>
	10.000	665.41	08/13/20	674.40	8.99 W	0.00	8 of 9 - Sale <sup>25</sup>
	20.000	1,330.83	08/13/20	1,365.84	35.01 W	0.00	9 of 9 - Sale <sup>25</sup>
08/18/20	100.000	6,654.13	Various	7,252.75	598.62 W	0.00	Total of 9 transactions
	5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	5.000	342.34	08/19/20	394.57	52.23 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	342.34	08/19/20	396.52	54.18 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	684.69	08/19/20	792.98	108.29 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	684.69	08/19/20	775.63	90.94 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	1,369.36	08/19/20	1,556.73	187.37 W	0.00	5 of 5 - Sale <sup>25</sup>
08/19/20	50.000	3,423.42	Various	3,916.43	493.01 W	0.00	Total of 5 transactions
	4 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	10.000	639.03	08/24/20	667.61	28.58 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	639.04	08/24/20	666.64	27.60 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	639.03	08/24/20	664.59	25.56 W	0.00	3 of 4 - Sale <sup>25</sup>
	20.000	1,278.07	08/24/20	1,346.21	68.14 W	0.00	4 of 4 - Sale <sup>25</sup>
08/25/20	50.000	3,195.17	Various	3,345.05	149.88 W	0.00	Total of 4 transactions
	5 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	1.000	68.21	08/25/20	74.80	6.59 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	341.04	08/25/20	385.18	44.14 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	341.04	08/25/20	387.13	46.09 W	0.00	3 of 5 - Sale <sup>25</sup>
	9.000	613.87	08/25/20	696.77	82.90 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	341.05	08/25/20	378.42	37.37 W	0.00	5 of 5 - Sale <sup>25</sup>
08/26/20	25.000	1,705.21	Various	1,922.30	217.09 W	0.00	Total of 5 transactions
	2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	5.000	315.09	08/26/20	390.24	75.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	1,260.37	08/26/20	1,566.47	306.10 W	0.00	2 of 2 - Sale <sup>25</sup>
09/02/20	25.000	1,575.46	Various	1,956.71	381.25 W	0.00	Total of 2 transactions

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
<i>13 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	1,360.77	08/26/20	1,446.17	...	-85.40	1 of 13 - Sale <sup>25</sup>
	10.000	667.89	08/26/20	718.13	...	-50.24	2 of 13 - Sale <sup>25</sup>
	10.000	667.89	08/26/20	717.15	...	-49.26	3 of 13 - Sale <sup>25</sup>
	5.000	333.95	08/26/20	357.55	...	-23.60	4 of 13 - Sale <sup>25</sup>
	5.000	340.19	08/26/20	357.29	...	-17.10	5 of 13 - Sale <sup>25</sup>
	9.000	612.34	09/01/20	656.83	...	-44.49	6 of 13 - Sale <sup>25</sup>
	5.000	340.19	09/01/20	364.94	...	-24.75	7 of 13 - Sale <sup>25</sup>
	5.000	340.19	09/01/20	362.99	...	-22.80	8 of 13 - Sale <sup>25</sup>
	5.000	340.19	09/01/20	356.22	...	-16.03	9 of 13 - Sale <sup>25</sup>
	1.000	68.04	09/01/20	70.36	...	-2.32	10 of 13 - Sale <sup>25</sup>
	19.000	1,292.74	09/02/20	1,485.70	...	-192.96	11 of 13 - Sale <sup>25</sup>
	5.000	340.19	09/02/20	390.25	...	-50.06	12 of 13 - Sale <sup>25</sup>
	1.000	68.04	09/02/20	78.21	...	-10.17	13 of 13 - Sale <sup>25</sup>
09/03/20	100.000	6,772.61	Various	7,361.79	...	-589.18	Total of 13 transactions
<i>2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	2,514.51	11/30/20	2,208.96	...	305.55	1 of 2 - Sale <sup>25</sup>
	25.000	4,190.86	11/30/20	3,587.25	...	603.61	2 of 2 - Sale <sup>25</sup>
12/01/20	40.000	6,705.37	Various	5,796.21	...	909.16	Total of 2 transactions
<i>3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,395.03	12/01/20	1,690.60	295.57 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	1,395.03	12/01/20	1,704.90	309.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	2,790.05	12/01/20	3,437.20	647.15 W	0.00	3 of 3 - Sale <sup>25</sup>
12/02/20	40.000	5,580.11	Various	6,832.70	1,252.59 W	0.00	Total of 3 transactions
<i>5 transactions for 12/04/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	3,038.53	12/01/20	2,844.92	...	193.61	1 of 5 - Sale <sup>25</sup>
	20.000	3,038.53	12/01/20	2,757.53	...	281.00	2 of 5 - Sale <sup>25</sup>
	20.000	3,038.53	12/01/20	2,755.15	...	283.38	3 of 5 - Sale <sup>25</sup>
	20.000	3,038.53	12/02/20	2,809.98	...	228.55	4 of 5 - Sale <sup>25</sup>
	20.000	3,038.53	12/02/20	2,807.91	...	230.62	5 of 5 - Sale <sup>25</sup>
12/04/20	100.000	15,192.65	Various	13,975.49	...	1,217.16	Total of 5 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
8 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	10.000	1,519.26	12/01/20	1,869.47	350.21 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	759.63	12/01/20	976.14	216.51 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	151.93	12/01/20	177.11	25.18 W	0.00	3 of 8 - Sale <sup>25</sup>
	4.000	607.71	12/01/20	708.28	100.57 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	759.63	12/01/20	884.06	124.43 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	759.63	12/01/20	836.79	77.16 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	1,519.27	12/01/20	1,691.62	172.35 W	0.00	7 of 8 - Sale <sup>25</sup>
	10.000	1,519.26	12/03/20	1,522.11	2.85 W	0.00	8 of 8 - Sale <sup>25</sup>
12/04/20	50.000	7,596.32	Various	8,665.58	1,069.26 W	0.00	Total of 8 transactions
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	10.000	1,660.06	12/04/20	1,528.83	...	131.23	1 of 3 - Sale <sup>25</sup>
	25.000	4,150.16	12/07/20	4,029.11	...	121.05	2 of 3 - Sale <sup>25</sup>
	25.000	4,150.16	12/07/20	3,886.76	...	263.40	3 of 3 - Sale <sup>25</sup>
12/09/20	60.000	9,960.38	Various	9,444.70	...	515.68	Total of 3 transactions
7 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	166.01	12/04/20	177.12	11.11 W	0.00	1 of 7 - Sale <sup>25</sup>
	4.000	664.02	12/04/20	708.34	44.32 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	830.03	12/04/20	976.23	146.20 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	830.03	12/04/20	884.15	54.12 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	1,660.06	12/04/20	1,869.64	209.58 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	830.03	12/04/20	836.91	6.88 W	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	1,660.06	12/04/20	1,691.85	31.79 W	0.00	7 of 7 - Sale <sup>25</sup>
12/09/20	40.000	6,640.24	Various	7,144.24	504.00 W	0.00	Total of 7 transactions
4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	5.000	794.95	12/09/20	779.59	...	15.36	1 of 4 - Sale <sup>25</sup>
	5.000	794.96	12/09/20	777.16	...	17.80	2 of 4 - Sale <sup>25</sup>
	5.000	794.96	12/09/20	763.88	...	31.08	3 of 4 - Sale <sup>25</sup>
	10.000	1,589.91	12/09/20	1,541.75	...	48.16	4 of 4 - Sale <sup>25</sup>
12/11/20	25.000	3,974.78	Various	3,862.38	...	112.40	Total of 4 transactions
6 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	10.000	1,589.91	12/09/20	1,864.08	274.17 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	317.98	12/09/20	357.98	40.00 W	0.00	2 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	3.000	476.97	12/09/20	541.71	64.74 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	158.99	12/09/20	162.39	3.40 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	635.96	12/09/20	649.42	13.46 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	794.96	12/09/20	811.87	16.91 W	0.00	6 of 6 - Sale <sup>25</sup>
12/11/20	25.000	3,974.77	Various	4,387.45	412.68 W	0.00	Total of 6 transactions
	7 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	1.000	142.68	12/11/20	187.21	44.53 W	0.00	1 of 7 - Sale <sup>25</sup>
	9.000	1,284.09	12/11/20	1,686.34	402.25 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	142.68	12/11/20	161.63	18.95 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.000	570.71	12/11/20	646.38	75.67 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	285.35	12/11/20	355.95	70.60 W	0.00	5 of 7 - Sale <sup>25</sup>
	3.000	428.03	12/11/20	538.66	110.63 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	713.38	12/11/20	811.82	98.44 W	0.00	7 of 7 - Sale <sup>25</sup>
12/17/20	25.000	3,566.92	Various	4,387.99	821.07 W	0.00	Total of 7 transactions
	9 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	127.73	12/11/20	203.51	75.78 W	0.00	1 of 9 - Sale <sup>25</sup>
	4.000	510.91	12/11/20	814.70	303.79 W	0.00	2 of 9 - Sale <sup>25</sup>
	2.000	255.45	12/14/20	385.53	130.08 W	0.00	3 of 9 - Sale <sup>25</sup>
	3.000	383.18	12/14/20	583.02	199.84 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	638.64	12/14/20	1,010.80	372.16 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	127.73	12/14/20	176.40	48.67 W	0.00	6 of 9 - Sale <sup>25</sup>
	4.000	510.91	12/14/20	705.47	194.56 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	638.63	12/14/20	885.69	247.06 W	0.00	8 of 9 - Sale <sup>25</sup>
	10.000	1,277.27	12/14/20	1,556.75	279.48 W	0.00	9 of 9 - Sale <sup>25</sup>
12/22/20	35.000	4,470.45	Various	6,321.87	1,851.42 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>100,104.86</b>		<b>106,050.09</b>	<b>8,120.81 W</b>	<b>2,175.58</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	50.000	549.48	07/06/20	593.50	...	-44.02	1 of 2 - Sale <sup>25</sup>
	50.000	549.48	07/06/20	593.25	...	-43.77	2 of 2 - Sale <sup>25</sup>
07/06/20	100.000	1,098.96	Various	1,186.75	...	-87.79	Total of 2 transactions
10/14/20	50.000	1,309.09	10/14/20	1,227.83	...	81.26	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
10/14/20	50.000	1,309.08	10/14/20	1,322.89	13.81 W	0.00	Sale <sup>25</sup>
11/13/20	50.000	2,602.46	11/13/20	2,553.81	...	48.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,319.59</b>		<b>6,291.28</b>	<b>13.81 W</b>	<b>42.12</b>	
NTN BUZZTIME, INC / CUSIP: 629410606 / Symbol:							
12 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	200.000	1,439.95	08/13/20	1,530.00	...	-90.05	1 of 12 - Sale <sup>25</sup>
	200.000	1,439.94	08/13/20	1,528.00	...	-88.06	2 of 12 - Sale <sup>25</sup>
	180.000	1,295.95	08/13/20	1,375.20	...	-79.25	3 of 12 - Sale <sup>25</sup>
	100.000	719.97	08/13/20	765.00	...	-45.03	4 of 12 - Sale <sup>25</sup>
	100.000	719.97	08/13/20	765.00	...	-45.03	5 of 12 - Sale <sup>25</sup>
	100.000	719.97	08/13/20	765.00	...	-45.03	6 of 12 - Sale <sup>25</sup>
	100.000	719.97	08/13/20	764.00	...	-44.03	7 of 12 - Sale <sup>25</sup>
	100.000	719.97	08/13/20	764.00	...	-44.03	8 of 12 - Sale <sup>25</sup>
	90.000	647.98	08/13/20	688.50	...	-40.52	9 of 12 - Sale <sup>25</sup>
	18.000	129.59	08/13/20	137.52	...	-7.93	10 of 12 - Sale <sup>25</sup>
	10.000	72.00	08/13/20	76.40	...	-4.40	11 of 12 - Sale <sup>25</sup>
	2.000	14.40	08/13/20	15.28	...	-0.88	12 of 12 - Sale <sup>25</sup>
08/13/20	1,200.000	8,639.66	Various	9,173.90	...	-534.24	Total of 12 transactions
NANOVICRIDES INC. / CUSIP: 630087302 / Symbol:							
3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	5.000	30.00	03/11/20	37.15	...	-7.15	1 of 3 - Sale <sup>25</sup>
	15.000	89.99	03/13/20	103.05	...	-13.06	2 of 3 - Sale <sup>25</sup>
	25.000	149.99	03/25/20	116.75	...	33.24	3 of 3 - Sale <sup>25</sup>
03/31/20	45.000	269.98	Various	256.95	...	13.03	Total of 3 transactions
6 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	10.000	60.00	02/28/20	90.85	30.85 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	60.00	03/04/20	83.90	23.90 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	60.00	03/04/20	81.40	21.40 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	30.00	03/05/20	41.45	11.45 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	60.00	03/11/20	75.00	7.50 W	-7.50	5 of 6 - Sale <sup>25</sup>
	10.000	60.00	03/11/20	75.00	15.00 W	0.00	6 of 6 - Sale <sup>25</sup>
03/31/20	55.000	330.00	Various	447.60	110.10 W	-7.50	Total of 6 transactions

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANOVICRIDES INC. / CUSIP: 630087302 / Symbol: (cont'd)							
7 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	10.000	58.50	03/25/20	78.25	...	-19.75	1 of 7 - Sale <sup>25</sup>
	10.000	58.50	03/25/20	71.30	...	-12.80	2 of 7 - Sale <sup>25</sup>
	5.000	29.25	03/25/20	35.15	...	-5.90	3 of 7 - Sale <sup>25</sup>
	5.000	29.25	03/25/20	34.40	...	-5.15	4 of 7 - Sale <sup>25</sup>
	5.000	29.25	03/25/20	34.40	...	-5.15	5 of 7 - Sale <sup>25</sup>
	10.000	58.50	03/25/20	62.40	...	-3.90	6 of 7 - Sale <sup>25</sup>
	5.000	29.25	03/25/20	31.20	...	-1.95	7 of 7 - Sale <sup>25</sup>
04/01/20	50.000	292.50	Various	347.10	...	-54.60	Total of 7 transactions
	<b>Security total:</b>	<b>892.48</b>		<b>1,051.65</b>	<b>110.10 W</b>	<b>-49.07</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
4 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	10.000	554.48	07/06/20	495.00	...	59.48	1 of 4 - Sale <sup>25</sup>
	10.000	554.49	07/06/20	494.00	...	60.49	2 of 4 - Sale <sup>25</sup>
	10.000	554.49	07/08/20	482.15	...	72.34	3 of 4 - Sale <sup>25</sup>
	20.000	1,108.97	07/08/20	962.30	...	146.67	4 of 4 - Sale <sup>25</sup>
07/09/20	50.000	2,772.43	Various	2,433.45	...	338.98	Total of 4 transactions
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	25.000	885.12	07/21/20	1,000.75	115.63 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	354.05	07/21/20	400.90	46.85 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	177.03	07/21/20	200.45	23.42 W	0.00	3 of 3 - Sale <sup>25</sup>
07/23/20	40.000	1,416.20	Various	1,602.10	185.90 W	0.00	Total of 3 transactions
9 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	10.000	307.49	07/21/20	447.15	139.66 W	0.00	1 of 9 - Sale <sup>25</sup>
	2.000	61.50	07/22/20	86.51	25.01 W	0.00	2 of 9 - Sale <sup>25</sup>
	3.000	92.25	07/22/20	129.76	37.51 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	153.75	07/22/20	214.75	61.00 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	153.75	07/22/20	211.85	58.10 W	0.00	5 of 9 - Sale <sup>25</sup>
	5.000	153.74	07/22/20	212.15	58.41 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	153.75	07/22/20	207.30	53.55 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	153.74	07/22/20	207.29	53.55 W	0.00	8 of 9 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
07/29/20	10.000	307.49	07/22/20	366.40	58.91 W	0.00	9 of 9 - Sale <sup>25</sup>
	50.000	1,537.46	Various	2,083.16	545.70 W	0.00	Total of 9 transactions
	6 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	10.000	299.09	07/23/20	493.56	194.47 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	59.82	07/23/20	95.79	35.97 W	0.00	2 of 6 - Sale <sup>25</sup>
	3.000	89.73	07/23/20	143.68	53.95 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	149.55	07/23/20	237.95	88.40 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	149.54	07/23/20	235.43	85.89 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	149.55	07/23/20	235.73	86.18 W	0.00	6 of 6 - Sale <sup>25</sup>
07/31/20	30.000	897.28	Various	1,442.14	544.86 W	0.00	Total of 6 transactions
	9 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	20.000	660.58	07/24/20	593.70	...	66.88	1 of 9 - Sale <sup>25</sup>
	20.000	660.58	07/29/20	618.50	...	42.08	2 of 9 - Sale <sup>25</sup>
	20.000	660.58	07/29/20	618.30	...	42.28	3 of 9 - Sale <sup>25</sup>
	30.000	990.87	07/29/20	927.90	...	62.97	4 of 9 - Sale <sup>25</sup>
	30.000	990.87	07/29/20	921.60	...	69.27	5 of 9 - Sale <sup>25</sup>
	20.000	660.58	07/30/20	585.40	...	75.18	6 of 9 - Sale <sup>25</sup>
	30.000	990.88	07/30/20	909.21	...	81.67	7 of 9 - Sale <sup>25</sup>
	30.000	990.88	07/31/20	897.30	...	93.58	8 of 9 - Sale <sup>25</sup>
	30.000	990.87	07/31/20	891.15	...	99.72	9 of 9 - Sale <sup>25</sup>
08/03/20	230.000	7,596.69	Various	6,963.06	...	633.63	Total of 9 transactions
	11 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	5.000	165.15	07/23/20	229.13	63.98 W	0.00	1 of 11 - Sale <sup>25</sup>
	5.000	165.15	07/23/20	229.12	63.97 W	0.00	2 of 11 - Sale <sup>25</sup>
	10.000	330.29	07/23/20	409.86	79.57 W	0.00	3 of 11 - Sale <sup>25</sup>
	10.000	330.29	07/23/20	539.77	209.48 W	0.00	4 of 11 - Sale <sup>25</sup>
	2.000	66.06	07/23/20	103.69	37.63 W	0.00	5 of 11 - Sale <sup>25</sup>
	3.000	99.09	07/23/20	155.53	56.44 W	0.00	6 of 11 - Sale <sup>25</sup>
	5.000	165.15	07/23/20	257.70	92.55 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	165.15	07/24/20	234.42	69.27 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	165.15	07/24/20	234.71	69.56 W	0.00	9 of 11 - Sale <sup>25</sup>
	50.000	1,651.45	07/27/20	1,674.00	22.55 W	0.00	10 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
08/03/20	20.000	660.58	07/27/20	675.00	14.42 W	0.00	11 of 11 - Sale <sup>25</sup>
08/03/20	120.000	3,963.51	Various	4,742.93	779.42 W	0.00	Total of 11 transactions
	3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	20.000	760.18	08/03/20	707.82	...	52.36	1 of 3 - Sale <sup>25</sup>
	50.000	1,900.45	08/03/20	1,693.05	...	207.40	2 of 3 - Sale <sup>25</sup>
	80.000	3,040.72	08/03/20	2,773.60	...	267.12	3 of 3 - Sale <sup>25</sup>
08/04/20	150.000	5,701.35	Various	5,174.47	...	526.88	Total of 3 transactions
	10 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	1.000	38.01	08/03/20	45.73	7.72 W	0.00	1 of 10 - Sale <sup>25</sup>
	10.000	380.09	08/03/20	543.43	163.34 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	76.02	08/03/20	104.42	28.40 W	0.00	3 of 10 - Sale <sup>25</sup>
	3.000	114.03	08/03/20	156.62	42.59 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	190.05	08/03/20	259.53	69.48 W	0.00	5 of 10 - Sale <sup>25</sup>
	5.000	190.04	08/03/20	236.24	46.20 W	0.00	6 of 10 - Sale <sup>25</sup>
	5.000	190.05	08/03/20	236.54	46.49 W	0.00	7 of 10 - Sale <sup>25</sup>
	4.000	152.04	08/03/20	184.76	32.72 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	190.04	08/03/20	230.95	40.91 W	0.00	9 of 10 - Sale <sup>25</sup>
	10.000	380.09	08/03/20	413.52	33.43 W	0.00	10 of 10 - Sale <sup>25</sup>
08/04/20	50.000	1,900.46	Various	2,411.74	511.28 W	0.00	Total of 10 transactions
	8 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	5.000	202.50	08/04/20	221.12	...	-18.62	1 of 8 - Sale <sup>25</sup>
	5.000	202.49	08/04/20	215.53	...	-13.04	2 of 8 - Sale <sup>25</sup>
	4.000	162.00	08/04/20	172.42	...	-10.42	3 of 8 - Sale <sup>25</sup>
	1.000	40.50	08/04/20	40.17	...	0.33	4 of 8 - Sale <sup>25</sup>
	4.000	162.00	08/04/20	153.12	...	8.88	5 of 8 - Sale <sup>25</sup>
	4.000	162.00	08/04/20	150.92	...	11.08	6 of 8 - Sale <sup>25</sup>
	5.000	202.49	08/04/20	191.35	...	11.14	7 of 8 - Sale <sup>25</sup>
	46.000	1,862.96	08/04/20	1,734.43	...	128.53	8 of 8 - Sale <sup>25</sup>
08/10/20	74.000	2,996.94	Various	2,879.06	...	117.88	Total of 8 transactions
	18 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	5.000	202.49	08/04/20	220.84	14.68 W	-3.67	1 of 18 - Sale <sup>25</sup>
	1.000	40.51	08/04/20	45.74	5.23 W	0.00	2 of 18 - Sale <sup>25</sup>
	10.000	405.09	08/04/20	540.39	135.30 W	0.00	3 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	2.000	81.02	08/04/20	103.81	22.79 W	0.00	4 of 18 - Sale <sup>25</sup>
	3.000	121.53	08/04/20	155.71	34.18 W	0.00	5 of 18 - Sale <sup>25</sup>
	5.000	202.54	08/04/20	258.00	55.46 W	0.00	6 of 18 - Sale <sup>25</sup>
	5.000	202.54	08/04/20	234.73	32.19 W	0.00	7 of 18 - Sale <sup>25</sup>
	5.000	202.54	08/04/20	235.01	32.47 W	0.00	8 of 18 - Sale <sup>25</sup>
	4.000	162.04	08/04/20	183.54	21.50 W	0.00	9 of 18 - Sale <sup>25</sup>
	5.000	202.55	08/04/20	229.43	26.88 W	0.00	10 of 18 - Sale <sup>25</sup>
	5.000	202.54	08/04/20	205.24	2.70 W	0.00	11 of 18 - Sale <sup>25</sup>
	1.000	40.50	08/04/20	42.94	2.44 W	0.00	12 of 18 - Sale <sup>25</sup>
	5.000	202.49	08/04/20	205.24	2.75 W	0.00	13 of 18 - Sale <sup>25</sup>
	4.000	162.00	08/04/20	205.04	43.04 W	0.00	14 of 18 - Sale <sup>25</sup>
	6.000	242.99	08/04/20	307.56	64.57 W	0.00	15 of 18 - Sale <sup>25</sup>
	2.000	81.00	08/04/20	98.25	17.25 W	0.00	16 of 18 - Sale <sup>25</sup>
	3.000	121.50	08/04/20	147.37	25.87 W	0.00	17 of 18 - Sale <sup>25</sup>
	5.000	202.49	08/04/20	244.11	41.62 W	0.00	18 of 18 - Sale <sup>25</sup>
08/10/20	76.000	3,078.36	Various	3,662.95	580.92 W	-3.67	Total of 18 transactions
	7 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	6.000	250.16	08/13/20	346.33	...	-96.17	1 of 7 - Sale <sup>25</sup>
	5.000	208.47	08/13/20	276.42	...	-67.95	2 of 7 - Sale <sup>25</sup>
	4.000	166.78	08/13/20	230.88	...	-64.10	3 of 7 - Sale <sup>25</sup>
	3.000	125.08	08/13/20	166.75	...	-41.67	4 of 7 - Sale <sup>25</sup>
	4.000	166.77	08/13/20	202.52	...	-35.75	5 of 7 - Sale <sup>25</sup>
	2.000	83.39	08/13/20	111.17	...	-27.78	6 of 7 - Sale <sup>25</sup>
	1.000	41.70	08/13/20	47.51	...	-5.81	7 of 7 - Sale <sup>25</sup>
08/18/20	25.000	1,042.35	Various	1,381.58	...	-339.23	Total of 7 transactions
09/23/20	5.000	141.79	09/21/20	136.75	...	5.04	Sale <sup>25</sup>
11/24/20	10.000	307.00	11/23/20	277.90	...	29.10	Sale <sup>25</sup>
	5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	15.000	251.05	11/24/20	529.11	278.06 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	167.37	11/24/20	352.74	185.37 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	83.69	11/30/20	103.45	19.76 W	0.00	3 of 5 - Sale <sup>25</sup>
	20.000	334.74	11/30/20	425.88	91.14 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	167.37	11/30/20	212.95	45.58 W	0.00	5 of 5 - Sale <sup>25</sup>
12/22/20	60.000	1,004.22	Various	1,624.13	619.91 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
<b>Security total:</b>		<b>34,356.04</b>		<b>36,815.42</b>	<b>3,767.99 W</b>	<b>1,308.61</b>	
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	500.000	530.83	08/18/20	702.50	...	-171.67	1 of 5 - Sale <sup>25</sup>
	250.000	265.41	08/18/20	343.75	...	-78.34	2 of 5 - Sale <sup>25</sup>
	250.000	265.41	08/18/20	315.00	...	-49.59	3 of 5 - Sale <sup>25</sup>
	1,000.000	1,061.65	08/19/20	1,055.00	...	6.65	4 of 5 - Sale <sup>25</sup>
	1,000.000	1,061.66	08/19/20	1,055.00	...	6.66	5 of 5 - Sale <sup>25</sup>
08/19/20	3,000.000	3,184.96	Various	3,471.25	...	-286.29	Total of 5 transactions
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
01/16/20	19.000	87.59	01/13/20	71.82	...	15.77	Sale <sup>25</sup>
01/16/20	100.000	460.98	01/07/20	466.40	5.42 W	0.00	Sale <sup>25</sup>
01/21/20	50.000	413.51	01/16/20	241.15	...	172.36	Sale <sup>25</sup>
02/10/20	24.000	171.84	01/16/20	115.75	...	56.09	Sale <sup>25</sup>
6 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	1.000	7.21	01/16/20	4.82	...	2.39	1 of 6 - Sale <sup>25</sup>
	25.000	180.55	01/16/20	120.58	...	59.97	2 of 6 - Sale <sup>25</sup>
	7.000	50.33	02/03/20	49.56	...	0.77	3 of 6 - Sale <sup>25</sup>
	14.000	100.65	02/06/20	95.07	...	5.58	4 of 6 - Sale <sup>25</sup>
	20.000	143.79	02/07/20	128.60	...	15.19	5 of 6 - Sale <sup>25</sup>
	20.000	143.80	02/10/20	134.60	...	9.20	6 of 6 - Sale <sup>25</sup>
02/11/20	87.000	626.33	Various	533.23	...	93.10	Total of 6 transactions
14 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	20.000	144.25	01/21/20	185.20	40.95 W	0.00	1 of 14 - Sale <sup>25</sup>
	10.000	72.12	01/21/20	91.10	18.98 W	0.00	2 of 14 - Sale <sup>25</sup>
	9.000	64.92	01/21/20	87.14	22.22 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	7.20	01/21/20	11.58	4.38 W	0.00	4 of 14 - Sale <sup>25</sup>
	20.000	144.00	01/21/20	234.59	90.59 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	36.00	01/30/20	47.64	11.64 W	0.00	6 of 14 - Sale <sup>25</sup>
	9.000	64.80	01/30/20	80.62	15.82 W	0.00	7 of 14 - Sale <sup>25</sup>
	11.000	79.09	01/30/20	125.72	46.63 W	0.00	8 of 14 - Sale <sup>25</sup>
	4.000	28.76	01/30/20	37.48	8.72 W	0.00	9 of 14 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	7.19	01/31/20	11.24	4.05 W	0.00	10 of 14 - Sale <sup>25</sup>
	9.000	64.71	01/31/20	102.49	37.78 W	0.00	11 of 14 - Sale <sup>25</sup>
	5.000	35.95	01/31/20	43.07	7.12 W	0.00	12 of 14 - Sale <sup>25</sup>
	4.000	28.76	02/03/20	35.35	6.59 W	0.00	13 of 14 - Sale <sup>25</sup>
	5.000	35.95	02/03/20	47.04	11.09 W	0.00	14 of 14 - Sale <sup>25</sup>
02/11/20	113.000	813.70	Various	1,140.26	326.56 W	0.00	Total of 14 transactions
	3 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	11.000	86.24	02/12/20	130.01	43.77 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	39.20	02/12/20	58.89	19.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	31.36	02/12/20	39.04	7.68 W	0.00	3 of 3 - Sale <sup>25</sup>
02/13/20	20.000	156.80	Various	227.94	71.14 W	0.00	Total of 3 transactions
	3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	4.000	48.92	03/04/20	48.67	...	0.25	1 of 3 - Sale <sup>25</sup>
	6.000	73.37	03/11/20	64.08	...	9.29	2 of 3 - Sale <sup>25</sup>
	5.000	61.15	03/11/20	51.60	...	9.55	3 of 3 - Sale <sup>25</sup>
03/19/20	15.000	183.44	Various	164.35	...	19.09	Total of 3 transactions
	8 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	1.000	12.23	02/28/20	19.72	7.49 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.000	48.92	02/28/20	79.47	30.55 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	61.15	02/28/20	85.47	24.32 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	12.23	03/04/20	14.50	2.27 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	61.15	03/04/20	63.69	2.54 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	122.29	03/05/20	160.99	38.70 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	61.15	03/06/20	82.19	21.04 W	0.00	7 of 8 - Sale <sup>25</sup>
	4.000	48.92	03/11/20	50.40	1.48 W	0.00	8 of 8 - Sale <sup>25</sup>
03/19/20	35.000	428.04	Various	556.43	128.39 W	0.00	Total of 8 transactions
	14 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	5.000	53.40	03/11/20	77.17	...	-23.77	1 of 14 - Sale <sup>25</sup>
	1.000	10.68	03/11/20	18.21	...	-7.53	2 of 14 - Sale <sup>25</sup>
	1.000	10.68	03/11/20	18.06	...	-7.38	3 of 14 - Sale <sup>25</sup>
	9.000	96.12	03/13/20	109.53	...	-13.41	4 of 14 - Sale <sup>25</sup>
	5.000	53.40	03/13/20	62.34	...	-8.94	5 of 14 - Sale <sup>25</sup>
	1.000	10.68	03/13/20	12.13	...	-1.45	6 of 14 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	10.68	03/13/20	10.57	...	0.11	7 of 14 - Sale <sup>25</sup>
	2.000	21.36	03/13/20	16.52	...	4.84	8 of 14 - Sale <sup>25</sup>
	4.000	42.72	03/13/20	34.52	...	8.20	9 of 14 - Sale <sup>25</sup>
	5.000	53.40	03/13/20	44.04	...	9.36	10 of 14 - Sale <sup>25</sup>
	10.000	106.80	03/16/20	75.50	...	31.30	11 of 14 - Sale <sup>25</sup>
	10.000	106.79	03/16/20	71.00	...	35.79	12 of 14 - Sale <sup>25</sup>
	20.000	213.59	03/16/20	139.40	...	74.19	13 of 14 - Sale <sup>25</sup>
	23.000	245.63	03/17/20	196.88	...	48.75	14 of 14 - Sale <sup>25</sup>
03/23/20	97.000	1,035.93	Various	885.87	...	150.06	Total of 14 transactions
03/23/20	3.000	32.04	03/11/20	53.87	14.56 W	-7.27	Sale <sup>25</sup>
	2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	1.000	12.58	03/23/20	17.99	...	-5.41	1 of 2 - Sale <sup>25</sup>
	1.000	12.58	03/24/20	21.02	...	-8.44	2 of 2 - Sale <sup>25</sup>
03/26/20	2.000	25.16	Various	39.01	...	-13.85	Total of 2 transactions
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	25.000	999.97	05/11/20	527.97	...	472.00	1 of 2 - Sale <sup>25</sup>
	50.000	2,013.95	05/11/20	1,050.94	...	963.01	2 of 2 - Sale <sup>25</sup>
05/12/20	75.000	3,013.92	Various	1,578.91	...	1,435.01	Total of 2 transactions
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	20.000	910.38	06/03/20	871.72	...	38.66	1 of 4 - Sale <sup>25</sup>
	25.000	1,137.98	06/03/20	1,077.75	...	60.23	2 of 4 - Sale <sup>25</sup>
	25.000	1,137.97	06/03/20	1,072.75	...	65.22	3 of 4 - Sale <sup>25</sup>
	25.000	1,137.97	06/03/20	1,072.23	...	65.74	4 of 4 - Sale <sup>25</sup>
06/05/20	95.000	4,324.30	Various	4,094.45	...	229.85	Total of 4 transactions
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	44.26	06/05/20	45.56	1.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	24.000	1,062.21	06/05/20	1,111.60	49.39 W	0.00	2 of 2 - Sale <sup>25</sup>
06/08/20	25.000	1,106.47	Various	1,157.16	50.69 W	0.00	Total of 2 transactions
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	46.30	06/05/20	47.62	1.32 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	416.70	06/05/20	416.84	0.14 W	0.00	2 of 2 - Sale <sup>25</sup>
06/09/20	10.000	463.00	Various	464.46	1.46 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
7 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	45.83	06/08/20	44.13	...	1.70	1 of 7 - Sale <sup>25</sup>
	10.000	458.29	06/08/20	448.68	...	9.61	2 of 7 - Sale <sup>25</sup>
	5.000	229.14	06/08/20	214.05	...	15.09	3 of 7 - Sale <sup>25</sup>
	9.000	412.46	06/08/20	385.43	...	27.03	4 of 7 - Sale <sup>25</sup>
	10.000	458.29	06/08/20	430.30	...	27.99	5 of 7 - Sale <sup>25</sup>
	10.000	458.29	06/08/20	427.84	...	30.45	6 of 7 - Sale <sup>25</sup>
	10.000	458.29	06/08/20	427.31	...	30.98	7 of 7 - Sale <sup>25</sup>
06/10/20	55.000	2,520.59	Various	2,377.74	...	142.85	Total of 7 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	45.83	06/05/20	46.32	0.49 W	0.00	1 of 2 - Sale <sup>25</sup>
	14.000	641.60	06/05/20	668.05	26.45 W	0.00	2 of 2 - Sale <sup>25</sup>
06/10/20	15.000	687.43	Various	714.37	26.94 W	0.00	Total of 2 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	48.92	06/11/20	45.75	...	3.17	1 of 3 - Sale <sup>25</sup>
	14.000	684.86	06/11/20	660.09	...	24.77	2 of 3 - Sale <sup>25</sup>
	35.000	1,712.16	06/11/20	1,584.10	...	128.06	3 of 3 - Sale <sup>25</sup>
06/15/20	50.000	2,445.94	Various	2,289.94	...	156.00	Total of 3 transactions
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	8.000	1,254.57	08/04/20	951.20	...	303.37	1 of 3 - Sale <sup>25</sup>
	20.000	3,136.43	08/04/20	2,378.00	...	758.43	2 of 3 - Sale <sup>25</sup>
	22.000	3,450.07	08/04/20	2,611.40	...	838.67	3 of 3 - Sale <sup>25</sup>
08/11/20	50.000	7,841.07	Various	5,940.60	...	1,900.47	Total of 3 transactions
4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	132.76	08/12/20	115.77	...	16.99	1 of 4 - Sale <sup>25</sup>
	9.000	1,194.84	08/12/20	1,048.59	...	146.25	2 of 4 - Sale <sup>25</sup>
	15.000	1,991.41	08/12/20	1,764.15	...	227.26	3 of 4 - Sale <sup>25</sup>
	25.000	3,319.01	08/12/20	2,936.75	...	382.26	4 of 4 - Sale <sup>25</sup>
08/13/20	50.000	6,638.02	Various	5,865.26	...	772.76	Total of 4 transactions
5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	10.000	1,333.77	08/11/20	1,590.67	256.90 W	0.00	1 of 5 - Sale <sup>25</sup>
	15.000	1,991.40	08/11/20	2,386.01	394.61 W	0.00	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	5.000	663.80	08/12/20	798.02	134.22 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	663.80	08/12/20	804.20	140.40 W	0.00	4 of 5 - Sale <sup>25</sup>
	15.000	1,991.41	08/12/20	2,010.01	18.60 W	0.00	5 of 5 - Sale <sup>25</sup>
08/13/20	50.000	6,644.18	Various	7,588.91	944.73 W	0.00	Total of 5 transactions
	2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	10.000	1,504.00	08/13/20	1,361.40	...	142.60	1 of 2 - Sale <sup>25</sup>
	15.000	2,256.00	08/13/20	2,060.70	...	195.30	2 of 2 - Sale <sup>25</sup>
08/18/20	25.000	3,760.00	Various	3,422.10	...	337.90	Total of 2 transactions
	3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	5.000	752.00	08/13/20	799.01	47.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	752.00	08/13/20	805.20	53.20 W	0.00	2 of 3 - Sale <sup>25</sup>
	15.000	2,256.00	08/13/20	2,388.99	132.99 W	0.00	3 of 3 - Sale <sup>25</sup>
08/18/20	25.000	3,760.00	Various	3,993.20	233.20 W	0.00	Total of 3 transactions
08/19/20	5.000	724.36	08/18/20	699.80	...	24.56	Sale <sup>25</sup>
	4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	5.000	724.36	08/18/20	766.74	42.38 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	724.36	08/18/20	772.93	48.57 W	0.00	2 of 4 - Sale <sup>25</sup>
	15.000	2,173.10	08/18/20	2,293.89	120.79 W	0.00	3 of 4 - Sale <sup>25</sup>
	20.000	2,897.46	08/18/20	2,907.03	9.57 W	0.00	4 of 4 - Sale <sup>25</sup>
08/19/20	45.000	6,519.28	Various	6,740.59	221.31 W	0.00	Total of 4 transactions
	4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	5.000	736.28	08/19/20	774.38	38.10 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	736.28	08/19/20	780.57	44.29 W	0.00	2 of 4 - Sale <sup>25</sup>
	15.000	2,208.85	08/19/20	2,324.44	115.59 W	0.00	3 of 4 - Sale <sup>25</sup>
	15.000	2,208.85	08/19/20	2,211.28	2.43 W	0.00	4 of 4 - Sale <sup>25</sup>
08/20/20	40.000	5,890.26	Various	6,090.67	200.41 W	0.00	Total of 4 transactions
	4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	5.000	717.13	08/20/20	753.20	36.07 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	717.14	08/20/20	759.39	42.25 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	1,434.27	08/20/20	1,507.26	72.99 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	717.13	08/20/20	717.49	0.36 W	0.00	4 of 4 - Sale <sup>25</sup>
08/21/20	25.000	3,585.67	Various	3,737.34	151.67 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
<i>9 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	514.44	08/21/20	717.57	203.13 W	0.00	1 of 9 - Sale <sup>25</sup>
	5.000	514.44	08/21/20	720.03	205.59 W	0.00	2 of 9 - Sale <sup>25</sup>
	15.000	1,543.32	08/21/20	2,046.93	503.61 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	514.44	08/24/20	704.50	190.06 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	103.00	08/24/20	130.93	27.93 W	0.00	5 of 9 - Sale <sup>25</sup>
	4.000	411.99	08/24/20	523.71	111.72 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	514.99	08/24/20	650.65	135.66 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	514.99	08/24/20	815.45	300.46 W	0.00	8 of 9 - Sale <sup>25</sup>
	5.000	514.98	08/24/20	612.69	97.71 W	0.00	9 of 9 - Sale <sup>25</sup>
09/01/20	50.000	5,146.59	Various	6,922.46	1,775.87 W	0.00	Total of 9 transactions
<i>26 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	495.99	08/24/20	814.76	318.77 W	0.00	1 of 26 - Sale <sup>25</sup>
	5.000	495.99	08/24/20	777.03	281.04 W	0.00	2 of 26 - Sale <sup>25</sup>
	10.000	991.97	08/24/20	1,570.49	578.52 W	0.00	3 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/24/20	801.63	306.64 W	0.00	4 of 26 - Sale <sup>25</sup>
	1.000	99.00	08/24/20	150.24	51.24 W	0.00	5 of 26 - Sale <sup>25</sup>
	4.000	395.99	08/24/20	600.97	204.98 W	0.00	6 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/24/20	747.66	252.67 W	0.00	7 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/24/20	896.86	401.87 W	0.00	8 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/24/20	916.05	421.06 W	0.00	9 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/24/20	695.00	200.01 W	0.00	10 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/25/20	833.29	338.30 W	0.00	11 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/25/20	787.92	292.93 W	0.00	12 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/25/20	792.96	297.97 W	0.00	13 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/25/20	503.70	8.71 W	0.00	14 of 26 - Sale <sup>25</sup>
	10.000	989.97	08/25/20	1,036.60	46.63 W	0.00	15 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/26/20	556.35	61.36 W	0.00	16 of 26 - Sale <sup>25</sup>
	5.000	494.99	08/26/20	574.75	79.76 W	0.00	17 of 26 - Sale <sup>25</sup>
	1.000	99.00	08/27/20	109.64	10.64 W	0.00	18 of 26 - Sale <sup>25</sup>
	1.000	99.00	08/27/20	108.64	9.64 W	0.00	19 of 26 - Sale <sup>25</sup>
	3.000	296.99	08/28/20	323.76	26.77 W	0.00	20 of 26 - Sale <sup>25</sup>
	5.000	494.99	09/01/20	523.21	28.22 W	0.00	21 of 26 - Sale <sup>25</sup>
	25.000	2,474.93	09/01/20	2,580.97	106.04 W	0.00	22 of 26 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	10.000	989.97	09/01/20	1,028.50	38.53 W	0.00	23 of 26 - Sale <sup>25</sup>
	15.000	1,484.96	09/01/20	1,554.00	69.04 W	0.00	24 of 26 - Sale <sup>25</sup>
	5.000	494.99	09/02/20	496.35	1.36 W	0.00	25 of 26 - Sale <sup>25</sup>
	5.000	494.99	09/02/20	496.00	1.01 W	0.00	26 of 26 - Sale <sup>25</sup>
09/02/20	160.000	15,843.62	Various	20,277.33	4,433.71 W	0.00	Total of 26 transactions
	10 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	111.00	09/02/20	109.65	...	1.35	1 of 10 - Sale <sup>25</sup>
	1.000	111.00	09/02/20	108.65	...	2.35	2 of 10 - Sale <sup>25</sup>
	3.000	332.99	09/02/20	323.80	...	9.19	3 of 10 - Sale <sup>25</sup>
	5.000	549.98	09/02/20	520.32	...	29.66	4 of 10 - Sale <sup>25</sup>
	5.000	549.99	09/02/20	518.36	...	31.63	5 of 10 - Sale <sup>25</sup>
	5.000	554.99	09/02/20	523.27	...	31.72	6 of 10 - Sale <sup>25</sup>
	5.000	554.99	09/02/20	515.97	...	39.02	7 of 10 - Sale <sup>25</sup>
	5.000	554.98	09/02/20	514.02	...	40.96	8 of 10 - Sale <sup>25</sup>
	5.000	549.99	09/02/20	505.61	...	44.38	9 of 10 - Sale <sup>25</sup>
	20.000	2,219.94	09/02/20	2,096.90	...	123.04	10 of 10 - Sale <sup>25</sup>
09/03/20	55.000	6,089.85	Various	5,736.55	...	353.30	Total of 10 transactions
	14 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	2.000	225.00	09/02/20	360.49	135.49 W	0.00	1 of 14 - Sale <sup>25</sup>
	3.000	337.19	09/02/20	540.73	203.54 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	561.99	09/02/20	920.41	358.42 W	0.00	3 of 14 - Sale <sup>25</sup>
	5.000	561.99	09/02/20	805.99	244.00 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.000	112.40	09/02/20	151.11	38.71 W	0.00	5 of 14 - Sale <sup>25</sup>
	4.000	449.59	09/02/20	604.46	154.87 W	0.00	6 of 14 - Sale <sup>25</sup>
	5.000	561.98	09/02/20	752.02	190.04 W	0.00	7 of 14 - Sale <sup>25</sup>
	5.000	561.99	09/02/20	835.20	273.21 W	0.00	8 of 14 - Sale <sup>25</sup>
	3.000	330.00	09/02/20	473.90	143.90 W	0.00	9 of 14 - Sale <sup>25</sup>
	2.000	219.99	09/02/20	315.93	95.94 W	0.00	10 of 14 - Sale <sup>25</sup>
	5.000	549.99	09/02/20	794.87	244.88 W	0.00	11 of 14 - Sale <sup>25</sup>
	5.000	549.99	09/02/20	696.91	146.92 W	0.00	12 of 14 - Sale <sup>25</sup>
	5.000	554.99	09/02/20	556.41	1.42 W	0.00	13 of 14 - Sale <sup>25</sup>
	5.000	554.99	09/02/20	574.81	19.82 W	0.00	14 of 14 - Sale <sup>25</sup>
09/03/20	55.000	6,132.08	Various	8,383.24	2,251.16 W	0.00	Total of 14 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	84.63	09/03/20	109.53	24.90 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	338.51	09/03/20	438.11	99.60 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	423.13	09/03/20	551.39	128.26 W	0.00	3 of 3 - Sale <sup>25</sup>
09/08/20	10.000	846.27	Various	1,099.03	252.76 W	0.00	Total of 3 transactions
09/10/20	5.000	475.39	09/09/20	445.96	...	29.43	Sale <sup>25</sup>
17 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	10.000	950.80	09/03/20	1,102.78	151.98 W	0.00	1 of 17 - Sale <sup>25</sup>
	5.000	475.40	09/03/20	529.73	54.33 W	0.00	2 of 17 - Sale <sup>25</sup>
	2.000	190.16	09/04/20	197.38	7.22 W	0.00	3 of 17 - Sale <sup>25</sup>
	3.000	285.24	09/04/20	296.42	11.18 W	0.00	4 of 17 - Sale <sup>25</sup>
	2.000	190.16	09/04/20	326.69	136.53 W	0.00	5 of 17 - Sale <sup>25</sup>
	3.000	285.24	09/04/20	490.34	205.10 W	0.00	6 of 17 - Sale <sup>25</sup>
	5.000	475.40	09/04/20	831.57	356.17 W	0.00	7 of 17 - Sale <sup>25</sup>
	2.000	190.16	09/04/20	282.80	92.64 W	0.00	8 of 17 - Sale <sup>25</sup>
	3.000	285.24	09/04/20	416.40	131.16 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	95.08	09/04/20	127.41	32.33 W	0.00	10 of 17 - Sale <sup>25</sup>
	4.000	380.32	09/04/20	509.66	129.34 W	0.00	11 of 17 - Sale <sup>25</sup>
	5.000	475.40	09/04/20	648.95	173.55 W	0.00	12 of 17 - Sale <sup>25</sup>
	5.000	475.40	09/04/20	733.16	257.76 W	0.00	13 of 17 - Sale <sup>25</sup>
	2.000	190.16	09/08/20	265.91	75.75 W	0.00	14 of 17 - Sale <sup>25</sup>
	3.000	285.24	09/08/20	398.86	113.62 W	0.00	15 of 17 - Sale <sup>25</sup>
	5.000	475.39	09/08/20	669.81	194.42 W	0.00	16 of 17 - Sale <sup>25</sup>
	5.000	475.40	09/08/20	583.47	108.07 W	0.00	17 of 17 - Sale <sup>25</sup>
09/10/20	65.000	6,180.19	Various	8,411.34	2,231.15 W	0.00	Total of 17 transactions
4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	5.000	542.04	09/10/20	528.83	...	13.21	1 of 4 - Sale <sup>25</sup>
	2.000	216.81	09/10/20	197.02	...	19.79	2 of 4 - Sale <sup>25</sup>
	3.000	325.22	09/10/20	295.88	...	29.34	3 of 4 - Sale <sup>25</sup>
	5.000	551.03	09/10/20	496.41	...	54.62	4 of 4 - Sale <sup>25</sup>
09/15/20	15.000	1,635.10	Various	1,518.14	...	116.96	Total of 4 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
14 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	4.000	440.83	09/10/20	442.07	1.24 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	110.10	09/10/20	110.52	0.42 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	548.29	09/10/20	552.58	4.29 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	109.66	09/10/20	120.22	10.56 W	0.00	4 of 14 - Sale <sup>25</sup>
	4.000	438.62	09/10/20	480.87	42.25 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	542.04	09/10/20	604.85	62.81 W	0.00	6 of 14 - Sale <sup>25</sup>
	2.000	216.81	09/10/20	327.55	110.74 W	0.00	7 of 14 - Sale <sup>25</sup>
	3.000	325.23	09/10/20	491.63	166.40 W	0.00	8 of 14 - Sale <sup>25</sup>
	5.000	549.21	09/10/20	835.69	286.48 W	0.00	9 of 14 - Sale <sup>25</sup>
	2.000	219.68	09/10/20	284.44	64.76 W	0.00	10 of 14 - Sale <sup>25</sup>
	3.000	329.52	09/10/20	418.87	89.35 W	0.00	11 of 14 - Sale <sup>25</sup>
	1.000	109.84	09/10/20	127.73	17.89 W	0.00	12 of 14 - Sale <sup>25</sup>
	4.000	439.37	09/10/20	510.93	71.56 W	0.00	13 of 14 - Sale <sup>25</sup>
	5.000	549.21	09/10/20	650.53	101.32 W	0.00	14 of 14 - Sale <sup>25</sup>
09/15/20	45.000	4,928.41	Various	5,958.48	1,030.07 W	0.00	Total of 14 transactions
5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	5.000	555.16	09/15/20	802.61	247.45 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	222.06	09/15/20	293.69	71.63 W	0.00	2 of 5 - Sale <sup>25</sup>
	3.000	333.10	09/15/20	440.53	107.43 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	555.16	09/15/20	737.84	182.68 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	555.17	09/15/20	651.48	96.31 W	0.00	5 of 5 - Sale <sup>25</sup>
09/16/20	20.000	2,220.65	Various	2,926.15	705.50 W	0.00	Total of 5 transactions
8 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	114.50	09/16/20	119.33	4.83 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.000	457.99	09/16/20	476.87	18.88 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	572.48	09/16/20	598.83	26.35 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	114.50	09/16/20	129.47	14.97 W	0.00	4 of 8 - Sale <sup>25</sup>
	4.000	457.99	09/16/20	517.88	59.89 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	572.48	09/16/20	657.35	84.87 W	0.00	6 of 8 - Sale <sup>25</sup>
	2.000	229.00	09/16/20	348.99	119.99 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	343.49	09/16/20	523.77	180.28 W	0.00	8 of 8 - Sale <sup>25</sup>
09/18/20	25.000	2,862.43	Various	3,372.49	510.06 W	0.00	Total of 8 transactions

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Robinhood Securities LLC

Account 125489310

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	113.73	09/24/20	103.96	...	9.77	1 of 3 - Sale <sup>25</sup>
	4.000	454.91	09/24/20	415.40	...	39.51	2 of 3 - Sale <sup>25</sup>
	5.000	568.64	09/24/20	522.01	...	46.63	3 of 3 - Sale <sup>25</sup>
09/30/20	10.000	1,137.28	Various	1,041.37	...	95.91	Total of 3 transactions
11 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	5.000	568.71	09/16/20	885.01	316.30 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	227.48	09/16/20	306.72	79.24 W	0.00	2 of 11 - Sale <sup>25</sup>
	3.000	341.22	09/16/20	452.28	111.06 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	113.74	09/16/20	138.87	25.13 W	0.00	4 of 11 - Sale <sup>25</sup>
	4.000	454.97	09/16/20	555.47	100.50 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	568.71	09/16/20	706.21	137.50 W	0.00	6 of 11 - Sale <sup>25</sup>
	5.000	568.70	09/16/20	852.33	283.63 W	0.00	7 of 11 - Sale <sup>25</sup>
	2.000	227.46	09/18/20	287.33	59.87 W	0.00	8 of 11 - Sale <sup>25</sup>
	3.000	341.19	09/18/20	430.98	89.79 W	0.00	9 of 11 - Sale <sup>25</sup>
	5.000	568.64	09/21/20	696.63	127.99 W	0.00	10 of 11 - Sale <sup>25</sup>
	5.000	568.64	09/21/20	648.10	79.46 W	0.00	11 of 11 - Sale <sup>25</sup>
09/30/20	40.000	4,549.46	Various	5,959.93	1,410.47 W	0.00	Total of 11 transactions
13 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	113.72	09/30/20	128.84	15.12 W	0.00	1 of 13 - Sale <sup>25</sup>
	5.000	568.59	09/30/20	897.05	328.46 W	0.00	2 of 13 - Sale <sup>25</sup>
	2.000	227.43	09/30/20	311.54	84.11 W	0.00	3 of 13 - Sale <sup>25</sup>
	3.000	341.15	09/30/20	459.51	118.36 W	0.00	4 of 13 - Sale <sup>25</sup>
	2.000	227.43	09/30/20	352.29	124.86 W	0.00	5 of 13 - Sale <sup>25</sup>
	3.000	341.15	09/30/20	528.73	187.58 W	0.00	6 of 13 - Sale <sup>25</sup>
	4.000	454.87	09/30/20	524.49	69.62 W	0.00	7 of 13 - Sale <sup>25</sup>
	5.000	568.59	09/30/20	665.62	97.03 W	0.00	8 of 13 - Sale <sup>25</sup>
	1.000	113.72	09/30/20	133.88	20.16 W	0.00	9 of 13 - Sale <sup>25</sup>
	4.000	454.87	09/30/20	535.50	80.63 W	0.00	10 of 13 - Sale <sup>25</sup>
	4.000	454.87	09/30/20	544.72	89.85 W	0.00	11 of 13 - Sale <sup>25</sup>
	1.000	113.72	09/30/20	136.25	22.53 W	0.00	12 of 13 - Sale <sup>25</sup>
	5.000	568.58	10/01/20	809.86	241.28 W	0.00	13 of 13 - Sale <sup>25</sup>
10/08/20	40.000	4,548.69	Various	6,028.28	1,479.59 W	0.00	Total of 13 transactions

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
<i>4 transactions for 10/23/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	185.86	10/22/20	246.23	60.37 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	278.78	10/22/20	369.34	90.56 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	464.64	10/22/20	593.90	129.26 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	185.85	10/23/20	215.03	29.18 W	0.00	4 of 4 - Sale <sup>25</sup>
10/23/20	12.000	1,115.13	Various	1,424.50	309.37 W	0.00	Total of 4 transactions
<i>4 transactions for 11/02/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	419.40	10/30/20	744.38	324.98 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	83.88	10/30/20	125.25	41.37 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	251.64	10/30/20	297.24	45.60 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	83.88	10/30/20	98.30	14.42 W	0.00	4 of 4 - Sale <sup>25</sup>
11/02/20	10.000	838.80	Various	1,265.17	426.37 W	0.00	Total of 4 transactions
<i>6 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	88.77	11/04/20	130.63	41.86 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	266.30	11/04/20	384.11	117.81 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	177.53	11/04/20	302.03	124.50 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.000	266.30	11/04/20	453.33	187.03 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	177.53	11/04/20	215.97	38.44 W	0.00	5 of 6 - Sale <sup>25</sup>
	4.000	355.06	11/04/20	423.95	68.89 W	0.00	6 of 6 - Sale <sup>25</sup>
11/05/20	15.000	1,331.49	Various	1,910.02	578.53 W	0.00	Total of 6 transactions
<i>20 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	96.31	11/05/20	112.92	16.61 W	0.00	1 of 20 - Sale <sup>25</sup>
	1.000	96.31	11/05/20	115.30	18.99 W	0.00	2 of 20 - Sale <sup>25</sup>
	4.000	385.26	11/05/20	451.69	66.43 W	0.00	3 of 20 - Sale <sup>25</sup>
	4.000	385.26	11/05/20	460.91	75.65 W	0.00	4 of 20 - Sale <sup>25</sup>
	5.000	481.57	11/05/20	705.10	223.53 W	0.00	5 of 20 - Sale <sup>25</sup>
	2.000	192.63	11/05/20	245.90	53.27 W	0.00	6 of 20 - Sale <sup>25</sup>
	3.000	288.95	11/05/20	336.51	47.56 W	0.00	7 of 20 - Sale <sup>25</sup>
	5.000	481.57	11/09/20	789.98	308.41 W	0.00	8 of 20 - Sale <sup>25</sup>
	1.000	96.32	11/09/20	134.37	38.05 W	0.00	9 of 20 - Sale <sup>25</sup>
	1.000	96.31	11/09/20	134.86	38.55 W	0.00	10 of 20 - Sale <sup>25</sup>
	3.000	288.95	11/09/20	396.81	107.86 W	0.00	11 of 20 - Sale <sup>25</sup>
	2.000	192.63	11/09/20	310.50	117.87 W	0.00	12 of 20 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	3.000	288.94	11/09/20	466.03	177.09 W	0.00	13 of 20 - Sale <sup>25</sup>
	1.000	96.32	11/09/20	123.19	26.87 W	0.00	14 of 20 - Sale <sup>25</sup>
	2.000	192.63	11/09/20	246.37	53.74 W	0.00	15 of 20 - Sale <sup>25</sup>
	5.000	481.57	11/09/20	594.26	112.69 W	0.00	16 of 20 - Sale <sup>25</sup>
	3.000	288.95	11/09/20	324.60	35.65 W	0.00	17 of 20 - Sale <sup>25</sup>
	2.000	192.63	11/09/20	215.18	22.55 W	0.00	18 of 20 - Sale <sup>25</sup>
	1.000	96.31	11/09/20	107.42	11.11 W	0.00	19 of 20 - Sale <sup>25</sup>
	1.000	96.32	11/09/20	110.22	13.90 W	0.00	20 of 20 - Sale <sup>25</sup>
11/09/20	50.000	4,815.74	Various	6,382.12	1,566.38 W	0.00	Total of 20 transactions
	4 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	1.000	93.06	11/10/20	92.68	...	0.38	1 of 4 - Sale <sup>25</sup>
	1.000	93.06	11/10/20	91.91	...	1.15	2 of 4 - Sale <sup>25</sup>
	2.000	186.11	11/10/20	184.15	...	1.96	3 of 4 - Sale <sup>25</sup>
	15.000	1,395.86	11/10/20	1,228.72	...	167.14	4 of 4 - Sale <sup>25</sup>
11/12/20	19.000	1,768.09	Various	1,597.46	...	170.63	Total of 4 transactions
	21 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	5.000	465.29	11/09/20	808.18	342.89 W	0.00	1 of 21 - Sale <sup>25</sup>
	1.000	93.06	11/09/20	116.56	23.50 W	0.00	2 of 21 - Sale <sup>25</sup>
	1.000	93.06	11/09/20	118.95	25.89 W	0.00	3 of 21 - Sale <sup>25</sup>
	4.000	372.23	11/09/20	466.25	94.02 W	0.00	4 of 21 - Sale <sup>25</sup>
	4.000	372.23	11/09/20	475.47	103.24 W	0.00	5 of 21 - Sale <sup>25</sup>
	5.000	465.29	11/09/20	723.30	258.01 W	0.00	6 of 21 - Sale <sup>25</sup>
	2.000	186.11	11/09/20	253.18	67.07 W	0.00	7 of 21 - Sale <sup>25</sup>
	3.000	279.17	11/09/20	347.43	68.26 W	0.00	8 of 21 - Sale <sup>25</sup>
	2.000	186.11	11/09/20	238.35	52.24 W	0.00	9 of 21 - Sale <sup>25</sup>
	3.000	279.17	11/09/20	351.53	72.36 W	0.00	10 of 21 - Sale <sup>25</sup>
	1.000	93.06	11/09/20	123.55	30.49 W	0.00	11 of 21 - Sale <sup>25</sup>
	1.000	93.06	11/09/20	124.05	30.99 W	0.00	12 of 21 - Sale <sup>25</sup>
	3.000	279.17	11/09/20	364.36	85.19 W	0.00	13 of 21 - Sale <sup>25</sup>
	1.000	93.06	11/09/20	144.53	51.47 W	0.00	14 of 21 - Sale <sup>25</sup>
	2.000	186.11	11/09/20	288.87	102.76 W	0.00	15 of 21 - Sale <sup>25</sup>
	2.000	186.11	11/09/20	289.26	103.15 W	0.00	16 of 21 - Sale <sup>25</sup>
	1.000	93.06	11/09/20	114.43	21.37 W	0.00	17 of 21 - Sale <sup>25</sup>
	2.000	186.11	11/09/20	228.86	42.75 W	0.00	18 of 21 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	5.000	465.29	11/09/20	550.49	85.20 W	0.00	19 of 21 - Sale <sup>25</sup>
	2.000	186.11	11/09/20	198.89	12.78 W	0.00	20 of 21 - Sale <sup>25</sup>
	1.000	93.06	11/10/20	94.69	1.63 W	0.00	21 of 21 - Sale <sup>25</sup>
11/12/20	51.000	4,745.92	Various	6,421.18	1,675.26 W	0.00	Total of 21 transactions
11/24/20	1.000	93.43	11/23/20	165.48	72.05 W	0.00	Sale <sup>25</sup>
	18 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	4.000	482.25	11/27/20	720.96	238.71 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	120.56	11/27/20	135.16	14.60 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	120.56	11/27/20	137.55	16.99 W	0.00	3 of 18 - Sale <sup>25</sup>
	4.000	482.26	11/27/20	540.67	58.41 W	0.00	4 of 18 - Sale <sup>25</sup>
	4.000	482.25	11/27/20	549.89	67.64 W	0.00	5 of 18 - Sale <sup>25</sup>
	5.000	602.82	11/27/20	816.33	213.51 W	0.00	6 of 18 - Sale <sup>25</sup>
	2.000	241.13	11/27/20	290.40	49.27 W	0.00	7 of 18 - Sale <sup>25</sup>
	3.000	361.69	11/27/20	403.25	41.56 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	120.56	11/27/20	135.78	15.22 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	120.56	11/27/20	154.20	33.64 W	0.00	10 of 18 - Sale <sup>25</sup>
	1.000	120.56	11/27/20	154.70	34.14 W	0.00	11 of 18 - Sale <sup>25</sup>
	3.000	361.69	11/27/20	456.33	94.64 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	120.57	11/27/20	175.18	54.61 W	0.00	13 of 18 - Sale <sup>25</sup>
	2.000	241.13	11/27/20	350.19	109.06 W	0.00	14 of 18 - Sale <sup>25</sup>
	2.000	241.13	11/27/20	350.58	109.45 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	120.56	11/27/20	145.08	24.52 W	0.00	16 of 18 - Sale <sup>25</sup>
	2.000	241.13	11/27/20	295.67	54.54 W	0.00	17 of 18 - Sale <sup>25</sup>
	2.000	241.13	11/27/20	299.66	58.53 W	0.00	18 of 18 - Sale <sup>25</sup>
11/30/20	40.000	4,822.54	Various	6,111.58	1,289.04 W	0.00	Total of 18 transactions
	9 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	5.000	717.82	11/30/20	796.70	...	-78.88	1 of 9 - Sale <sup>25</sup>
	4.000	574.26	11/30/20	652.41	...	-78.15	2 of 9 - Sale <sup>25</sup>
	1.000	143.56	11/30/20	214.35	...	-70.79	3 of 9 - Sale <sup>25</sup>
	4.000	574.26	11/30/20	627.61	...	-53.35	4 of 9 - Sale <sup>25</sup>
	2.000	287.13	11/30/20	327.35	...	-40.22	5 of 9 - Sale <sup>25</sup>
	1.000	143.56	11/30/20	163.18	...	-19.62	6 of 9 - Sale <sup>25</sup>
	1.000	143.56	11/30/20	156.90	...	-13.34	7 of 9 - Sale <sup>25</sup>
	2.000	287.13	11/30/20	297.38	...	-10.25	8 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	143.57	11/30/20	143.93	...	-0.36	9 of 9 - Sale <sup>25</sup>
12/01/20	21.000	3,014.85	Various	3,379.81	...	-364.96	Total of 9 transactions
12/01/20	4.000	574.26	11/30/20	807.91	233.65 W	0.00	Sale <sup>25</sup>
18 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	4.000	515.50	12/01/20	735.75	...	-220.25	1 of 18 - Sale <sup>25</sup>
	3.000	386.62	12/01/20	565.27	...	-178.65	2 of 18 - Sale <sup>25</sup>
	2.000	257.74	12/01/20	369.89	...	-112.15	3 of 18 - Sale <sup>25</sup>
	2.000	257.75	12/01/20	362.92	...	-105.17	4 of 18 - Sale <sup>25</sup>
	2.000	257.75	12/01/20	318.05	...	-60.30	5 of 18 - Sale <sup>25</sup>
	2.000	257.74	12/01/20	316.65	...	-58.91	6 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	186.47	...	-57.60	7 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	181.66	...	-52.79	8 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	181.53	...	-52.66	9 of 18 - Sale <sup>25</sup>
	2.000	257.74	12/01/20	302.93	...	-45.19	10 of 18 - Sale <sup>25</sup>
	3.000	386.62	12/01/20	422.05	...	-35.43	11 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	161.02	...	-32.15	12 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	160.92	...	-32.05	13 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	160.42	...	-31.55	14 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	158.48	...	-29.61	15 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	156.27	...	-27.40	16 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	154.78	...	-25.91	17 of 18 - Sale <sup>25</sup>
	1.000	128.87	12/01/20	142.00	...	-13.13	18 of 18 - Sale <sup>25</sup>
12/04/20	30.000	3,866.16	Various	5,037.06	...	-1,170.90	Total of 18 transactions
	<b>Security total:</b>	<b>153,942.27</b>		<b>173,834.62</b>	<b>24,607.47 W</b>	<b>4,715.12</b>	
NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol:							
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	5.000	77.55	03/20/20	78.49	...	-0.94	1 of 2 - Sale <sup>25</sup>
	2.000	31.02	03/20/20	29.88	...	1.14	2 of 2 - Sale <sup>25</sup>
03/23/20	7.000	108.57	Various	108.37	...	0.20	Total of 2 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	100.000	71.24	06/24/20	79.04	7.80 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	71.24	06/24/20	78.94	7.70 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	71.24	06/25/20	73.95	2.71 W	0.00	3 of 4 - Sale <sup>25</sup>
	200.000	142.47	06/25/20	167.60	25.13 W	0.00	4 of 4 - Sale <sup>25</sup>
07/06/20	500.000	356.19	Various	399.53	43.34 W	0.00	Total of 4 transactions
9 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.68	07/06/20	0.80	0.12 W	0.00	1 of 9 - Sale <sup>25</sup>
	99.000	67.31	07/06/20	78.55	11.24 W	0.00	2 of 9 - Sale <sup>25</sup>
	100.000	67.99	07/06/20	79.25	11.26 W	0.00	3 of 9 - Sale <sup>25</sup>
	100.000	67.99	07/06/20	74.26	6.27 W	0.00	4 of 9 - Sale <sup>25</sup>
	200.000	135.97	07/06/20	168.23	32.26 W	0.00	5 of 9 - Sale <sup>25</sup>
	150.000	101.98	07/06/20	107.09	5.11 W	0.00	6 of 9 - Sale <sup>25</sup>
	50.000	33.99	07/06/20	35.60	1.61 W	0.00	7 of 9 - Sale <sup>25</sup>
	50.000	33.99	07/06/20	35.60	1.61 W	0.00	8 of 9 - Sale <sup>25</sup>
	250.000	169.96	07/07/20	173.28	3.32 W	0.00	9 of 9 - Sale <sup>25</sup>
07/09/20	1,000.000	679.86	Various	752.66	72.80 W	0.00	Total of 9 transactions
6 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	0.70	07/09/20	0.80	0.10 W	0.00	1 of 6 - Sale <sup>25</sup>
	99.000	69.31	07/09/20	79.68	10.37 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	70.01	07/09/20	80.39	10.38 W	0.00	3 of 6 - Sale <sup>25</sup>
	50.000	35.00	07/09/20	37.70	2.70 W	0.00	4 of 6 - Sale <sup>25</sup>
	50.000	35.00	07/09/20	37.64	2.64 W	0.00	5 of 6 - Sale <sup>25</sup>
	200.000	140.02	07/09/20	170.28	30.26 W	0.00	6 of 6 - Sale <sup>25</sup>
07/13/20	500.000	350.04	Various	406.49	56.45 W	0.00	Total of 6 transactions
6 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.73	07/13/20	0.74	...	-0.01	1 of 6 - Sale <sup>25</sup>
	150.000	109.95	07/15/20	114.61	...	-4.66	2 of 6 - Sale <sup>25</sup>
	50.000	36.65	07/15/20	38.11	...	-1.46	3 of 6 - Sale <sup>25</sup>
	49.000	35.92	07/15/20	37.35	...	-1.43	4 of 6 - Sale <sup>25</sup>
	249.000	182.51	07/16/20	195.01	...	-12.50	5 of 6 - Sale <sup>25</sup>
	1.000	0.73	07/16/20	0.78	...	-0.05	6 of 6 - Sale <sup>25</sup>
07/17/20	500.000	366.49	Various	386.60	...	-20.11	Total of 6 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol: (cont'd)							
6 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	200.000	142.82	07/21/20	172.26	...	-29.44	1 of 6 - Sale <sup>25</sup>
	99.000	70.70	07/21/20	79.46	...	-8.76	2 of 6 - Sale <sup>25</sup>
	100.000	71.41	07/21/20	80.17	...	-8.76	3 of 6 - Sale <sup>25</sup>
	50.000	35.71	07/21/20	38.14	...	-2.43	4 of 6 - Sale <sup>25</sup>
	50.000	35.70	07/21/20	37.59	...	-1.89	5 of 6 - Sale <sup>25</sup>
	1.000	0.71	07/21/20	0.80	...	-0.09	6 of 6 - Sale <sup>25</sup>
07/22/20	500.000	357.05	Various	408.42	...	-51.37	Total of 6 transactions
	<b>Security total:</b>	<b>2,109.63</b>		<b>2,353.70</b>	<b>172.59 W</b>	<b>-71.48</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
4 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	500.000	403.15	07/27/20	282.45	...	120.70	1 of 4 - Sale <sup>25</sup>
	500.000	403.16	07/27/20	279.40	...	123.76	2 of 4 - Sale <sup>25</sup>
	500.000	440.44	07/27/20	277.10	...	163.34	3 of 4 - Sale <sup>25</sup>
	500.000	440.79	07/27/20	277.10	...	163.69	4 of 4 - Sale <sup>25</sup>
07/28/20	2,000.000	1,687.54	Various	1,116.05	...	571.49	Total of 4 transactions
6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	500.000	225.38	08/14/20	272.50	...	-47.12	1 of 6 - Sale <sup>25</sup>
	250.000	112.69	08/17/20	125.00	...	-12.31	2 of 6 - Sale <sup>25</sup>
	250.000	112.69	08/18/20	122.78	...	-10.09	3 of 6 - Sale <sup>25</sup>
	500.000	225.39	08/19/20	241.30	...	-15.91	4 of 6 - Sale <sup>25</sup>
	500.000	225.39	08/19/20	239.75	...	-14.36	5 of 6 - Sale <sup>25</sup>
	500.000	225.38	08/20/20	239.00	...	-13.62	6 of 6 - Sale <sup>25</sup>
08/24/20	2,500.000	1,126.92	Various	1,240.33	...	-113.41	Total of 6 transactions
	<b>Security total:</b>	<b>2,814.46</b>		<b>2,356.38</b>	...	<b>458.08</b>	
OPTINOSE, INC. COMMON STOCK / CUSIP: 68404V100 / Symbol:							
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	11/18/20	4.97	...	0.01	1 of 3 - Sale <sup>25</sup>
	249.000	1,239.95	11/18/20	1,236.68	...	3.27	2 of 3 - Sale <sup>25</sup>
	50.000	248.99	11/19/20	235.50	...	13.49	3 of 3 - Sale <sup>25</sup>
11/19/20	300.000	1,493.92	Various	1,477.15	...	16.77	Total of 3 transactions

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
06/10/20	500.000	1,115.56	06/09/20	1,075.00	...	40.56	Sale <sup>25</sup>
PENNSYLVANIA REAL ESTATE INVESTMENT TRUST / CUSIP: 709102107 / Symbol:							
4 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	20.000	20.04	04/09/20	22.00	...	-1.96	1 of 4 - Sale <sup>25</sup>
	20.000	20.04	04/13/20	20.80	...	-0.76	2 of 4 - Sale <sup>25</sup>
	10.000	10.02	04/14/20	9.90	...	0.12	3 of 4 - Sale <sup>25</sup>
	25.000	25.05	04/15/20	24.63	...	0.42	4 of 4 - Sale <sup>25</sup>
04/17/20	75.000	75.15	Various	77.33	...	-2.18	Total of 4 transactions
06/01/20	200.000	228.42	05/21/20	238.00	9.58 W	0.00	Sale <sup>25</sup>
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	100.000	237.99	06/05/20	189.79	...	48.20	1 of 2 - Sale <sup>25</sup>
	100.000	237.99	06/05/20	189.79	...	48.20	2 of 2 - Sale <sup>25</sup>
06/09/20	200.000	475.98	Various	379.58	...	96.40	Total of 2 transactions
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	250.000	338.02	06/18/20	369.98	...	-31.96	1 of 2 - Sale <sup>25</sup>
	50.000	67.60	06/24/20	64.36	...	3.24	2 of 2 - Sale <sup>25</sup>
06/26/20	300.000	405.62	Various	434.34	...	-28.72	Total of 2 transactions
	<b>Security total:</b>	<b>1,185.17</b>		<b>1,129.25</b>	<b>9.58 W</b>	<b>65.50</b>	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	25.000	897.73	11/09/20	1,045.00	147.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	6.000	215.46	11/09/20	251.70	36.24 W	0.00	2 of 3 - Sale <sup>25</sup>
	19.000	682.27	11/09/20	796.86	114.59 W	0.00	3 of 3 - Sale <sup>25</sup>
11/19/20	50.000	1,795.46	Various	2,093.56	298.10 W	0.00	Total of 3 transactions
14 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	20.000	760.58	11/18/20	743.40	...	17.18	1 of 14 - Sale <sup>25</sup>
	30.000	1,140.87	11/18/20	1,115.10	...	25.77	2 of 14 - Sale <sup>25</sup>
	50.000	1,901.46	11/18/20	1,870.90	...	30.56	3 of 14 - Sale <sup>25</sup>
	50.000	1,901.46	11/18/20	1,867.75	...	33.71	4 of 14 - Sale <sup>25</sup>
	50.000	1,901.46	11/18/20	1,835.00	...	66.46	5 of 14 - Sale <sup>25</sup>
	50.000	1,901.46	11/18/20	1,828.95	...	72.51	6 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
	100.000	3,802.92	11/18/20	3,718.00	...	84.92	7 of 14 - Sale <sup>25</sup>
	4.000	152.12	11/19/20	143.66	...	8.46	8 of 14 - Sale <sup>25</sup>
	46.000	1,749.34	11/19/20	1,652.27	...	97.07	9 of 14 - Sale <sup>25</sup>
	50.000	1,901.46	11/19/20	1,800.25	...	101.21	10 of 14 - Sale <sup>25</sup>
	50.000	1,901.45	11/20/20	1,854.37	...	47.08	11 of 14 - Sale <sup>25</sup>
	50.000	1,901.46	11/20/20	1,838.00	...	63.46	12 of 14 - Sale <sup>25</sup>
	50.000	1,901.46	11/20/20	1,834.25	...	67.21	13 of 14 - Sale <sup>25</sup>
	50.000	1,901.45	11/24/20	1,814.50	...	86.95	14 of 14 - Sale <sup>25</sup>
11/30/20	650.000	24,718.95	Various	23,916.40	...	802.55	Total of 14 transactions
	3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	6.000	228.17	11/18/20	259.61	31.44 W	0.00	1 of 3 - Sale <sup>25</sup>
	19.000	722.55	11/18/20	821.94	99.39 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	950.73	11/18/20	1,078.00	127.27 W	0.00	3 of 3 - Sale <sup>25</sup>
11/30/20	50.000	1,901.45	Various	2,159.55	258.10 W	0.00	Total of 3 transactions
	5 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	50.000	1,977.57	11/30/20	1,904.48	...	73.09	1 of 5 - Sale <sup>25</sup>
	50.000	1,977.57	11/30/20	1,901.75	...	75.82	2 of 5 - Sale <sup>25</sup>
	100.000	3,955.14	11/30/20	3,798.79	...	156.35	3 of 5 - Sale <sup>25</sup>
	3.000	118.65	12/01/20	118.05	...	0.60	4 of 5 - Sale <sup>25</sup>
	97.000	3,836.48	12/01/20	3,816.93	...	19.55	5 of 5 - Sale <sup>25</sup>
12/01/20	300.000	11,865.41	Various	11,540.00	...	325.41	Total of 5 transactions
	3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	6.000	237.31	11/30/20	259.65	22.34 W	0.00	1 of 3 - Sale <sup>25</sup>
	19.000	751.48	11/30/20	822.05	70.57 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	988.79	11/30/20	1,078.15	89.36 W	0.00	3 of 3 - Sale <sup>25</sup>
12/01/20	50.000	1,977.58	Various	2,159.85	182.27 W	0.00	Total of 3 transactions
	6 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	24.000	975.36	12/01/20	959.04	...	16.32	1 of 6 - Sale <sup>25</sup>
	50.000	2,031.99	12/01/20	1,987.50	...	44.49	2 of 6 - Sale <sup>25</sup>
	76.000	3,088.63	12/01/20	3,036.96	...	51.67	3 of 6 - Sale <sup>25</sup>
	50.000	2,032.00	12/01/20	1,971.75	...	60.25	4 of 6 - Sale <sup>25</sup>
	50.000	2,031.99	12/01/20	1,970.37	...	61.62	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
12/03/20	50.000	2,031.99	12/01/20	1,965.87	...	66.12	6 of 6 - Sale <sup>25</sup>
	300.000	12,191.96	Various	11,891.49	...	300.47	Total of 6 transactions
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	6.000	243.84	12/01/20	260.84	17.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	19.000	772.16	12/01/20	825.82	53.66 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	1,016.00	12/01/20	1,083.11	67.11 W	0.00	3 of 3 - Sale <sup>25</sup>
12/03/20	50.000	2,032.00	Various	2,169.77	137.77 W	0.00	Total of 3 transactions
	3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	50.000	2,127.95	12/03/20	2,027.40	...	100.55	1 of 3 - Sale <sup>25</sup>
	50.000	2,127.94	12/04/20	2,006.40	...	121.54	2 of 3 - Sale <sup>25</sup>
	50.000	2,127.95	12/04/20	2,004.40	...	123.55	3 of 3 - Sale <sup>25</sup>
12/09/20	150.000	6,383.84	Various	6,038.20	...	345.64	Total of 3 transactions
	5 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	1.000	42.56	12/03/20	43.29	0.73 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	425.59	12/03/20	431.75	6.16 W	0.00	2 of 5 - Sale <sup>25</sup>
	6.000	255.35	12/03/20	260.00	4.65 W	0.00	3 of 5 - Sale <sup>25</sup>
	14.000	595.83	12/03/20	604.58	8.75 W	0.00	4 of 5 - Sale <sup>25</sup>
	19.000	808.62	12/03/20	823.16	14.54 W	0.00	5 of 5 - Sale <sup>25</sup>
12/09/20	50.000	2,127.95	Various	2,162.78	34.83 W	0.00	Total of 5 transactions
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	25.000	1,053.23	12/09/20	1,045.45	...	7.78	1 of 2 - Sale <sup>25</sup>
	25.000	1,053.23	12/09/20	1,037.44	...	15.79	2 of 2 - Sale <sup>25</sup>
12/11/20	50.000	2,106.46	Various	2,082.89	...	23.57	Total of 2 transactions
	5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1.000	42.13	12/09/20	43.06	0.93 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	421.29	12/09/20	429.46	8.17 W	0.00	2 of 5 - Sale <sup>25</sup>
	14.000	589.81	12/09/20	601.37	11.56 W	0.00	3 of 5 - Sale <sup>25</sup>
	6.000	252.77	12/09/20	253.82	1.05 W	0.00	4 of 5 - Sale <sup>25</sup>
	19.000	800.45	12/09/20	803.56	3.11 W	0.00	5 of 5 - Sale <sup>25</sup>
12/11/20	50.000	2,106.45	Various	2,131.27	24.82 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
7 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	36.83	12/11/20	43.02	6.19 W	0.00	1 of 7 - Sale <sup>25</sup>
	9.000	331.51	12/11/20	378.22	46.71 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	36.83	12/11/20	42.05	5.22 W	0.00	3 of 7 - Sale <sup>25</sup>
	9.000	331.51	12/11/20	378.50	46.99 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	184.17	12/11/20	208.57	24.40 W	0.00	5 of 7 - Sale <sup>25</sup>
	6.000	221.00	12/14/20	239.76	18.76 W	0.00	6 of 7 - Sale <sup>25</sup>
	19.000	699.85	12/14/20	759.03	59.18 W	0.00	7 of 7 - Sale <sup>25</sup>
12/22/20	50.000	1,841.70	Various	2,049.15	207.45 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>71,049.21</b>		<b>70,394.91</b>	<b>1,143.34 W</b>	<b>1,797.64</b>	
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol:							
3 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	100.000	907.15	04/15/20	1,044.00	136.85 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.000	226.79	04/15/20	249.50	22.71 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	226.78	04/15/20	243.50	16.72 W	0.00	3 of 3 - Sale <sup>25</sup>
04/20/20	150.000	1,360.72	Various	1,537.00	176.28 W	0.00	Total of 3 transactions
4 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	25.000	232.24	04/17/20	226.96	...	5.28	1 of 4 - Sale <sup>25</sup>
	25.000	232.25	04/17/20	216.47	...	15.78	2 of 4 - Sale <sup>25</sup>
	25.000	232.24	04/17/20	215.46	...	16.78	3 of 4 - Sale <sup>25</sup>
	100.000	928.98	04/17/20	797.99	...	130.99	4 of 4 - Sale <sup>25</sup>
04/21/20	175.000	1,625.71	Various	1,456.88	...	168.83	Total of 4 transactions
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	25.000	232.24	04/16/20	260.46	28.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	464.49	04/16/20	529.21	64.72 W	0.00	2 of 2 - Sale <sup>25</sup>
04/21/20	75.000	696.73	Various	789.67	92.94 W	0.00	Total of 2 transactions
6 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	25.000	257.99	04/20/20	243.97	...	14.02	1 of 6 - Sale <sup>25</sup>
	50.000	515.98	04/20/20	496.22	...	19.76	2 of 6 - Sale <sup>25</sup>
	25.000	257.99	04/20/20	215.75	...	42.24	3 of 6 - Sale <sup>25</sup>
	50.000	515.98	04/20/20	435.00	...	80.98	4 of 6 - Sale <sup>25</sup>
	50.000	515.98	04/20/20	433.00	...	82.98	5 of 6 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
	50.000	518.73	04/20/20	433.00	...	85.73	6 of 6 - Sale <sup>25</sup>
04/23/20	250.000	2,582.65	Various	2,256.94	...	325.71	Total of 6 transactions
	2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	8.12	04/27/20	11.70	3.58 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	73.08	04/27/20	100.53	27.45 W	0.00	2 of 2 - Sale <sup>25</sup>
05/01/20	10.000	81.20	Various	112.23	31.03 W	0.00	Total of 2 transactions
05/04/20	40.000	368.38	04/29/20	354.80	...	13.58	Sale <sup>25</sup>
	18 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	1.000	9.22	04/27/20	14.75	5.53 W	0.00	1 of 18 - Sale <sup>25</sup>
	9.000	82.98	04/27/20	100.53	17.55 W	0.00	2 of 18 - Sale <sup>25</sup>
	9.000	82.98	04/27/20	128.24	45.26 W	0.00	3 of 18 - Sale <sup>25</sup>
	11.000	101.41	04/27/20	123.19	21.78 W	0.00	4 of 18 - Sale <sup>25</sup>
	25.000	230.49	04/27/20	269.94	39.45 W	0.00	5 of 18 - Sale <sup>25</sup>
	25.000	230.49	04/27/20	271.88	41.39 W	0.00	6 of 18 - Sale <sup>25</sup>
	10.000	92.20	04/27/20	108.08	15.88 W	0.00	7 of 18 - Sale <sup>25</sup>
	10.000	92.19	04/27/20	107.98	15.79 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	9.21	04/27/20	16.33	7.12 W	0.00	9 of 18 - Sale <sup>25</sup>
	9.000	82.89	04/27/20	114.72	31.83 W	0.00	10 of 18 - Sale <sup>25</sup>
	9.000	82.89	04/27/20	141.38	58.49 W	0.00	11 of 18 - Sale <sup>25</sup>
	10.000	92.10	04/27/20	122.58	30.48 W	0.00	12 of 18 - Sale <sup>25</sup>
	11.000	101.31	04/27/20	139.26	37.95 W	0.00	13 of 18 - Sale <sup>25</sup>
	10.000	92.10	04/27/20	124.86	32.76 W	0.00	14 of 18 - Sale <sup>25</sup>
	15.000	138.14	04/27/20	187.14	49.00 W	0.00	15 of 18 - Sale <sup>25</sup>
	25.000	230.24	04/27/20	313.83	83.59 W	0.00	16 of 18 - Sale <sup>25</sup>
	10.000	92.10	04/27/20	122.19	30.09 W	0.00	17 of 18 - Sale <sup>25</sup>
	40.000	368.38	04/28/20	434.80	66.42 W	0.00	18 of 18 - Sale <sup>25</sup>
05/04/20	240.000	2,211.32	Various	2,841.68	630.36 W	0.00	Total of 18 transactions
05/05/20	15.000	139.51	04/30/20	137.70	...	1.81	Sale <sup>25</sup>
	14 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	9.30	04/29/20	15.99	6.69 W	0.00	1 of 14 - Sale <sup>25</sup>
	9.000	83.71	04/29/20	138.32	54.61 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	46.50	04/29/20	61.07	14.57 W	0.00	3 of 14 - Sale <sup>25</sup>
	9.000	83.71	04/29/20	111.66	27.95 W	0.00	4 of 14 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
	10.000	93.01	04/29/20	119.18	26.17 W	0.00	5 of 14 - Sale <sup>25</sup>
	11.000	102.31	04/29/20	135.52	33.21 W	0.00	6 of 14 - Sale <sup>25</sup>
	15.000	139.51	04/29/20	182.04	42.53 W	0.00	7 of 14 - Sale <sup>25</sup>
	10.000	93.01	04/29/20	129.96	36.95 W	0.00	8 of 14 - Sale <sup>25</sup>
	10.000	93.01	04/29/20	127.29	34.28 W	0.00	9 of 14 - Sale <sup>25</sup>
	20.000	186.02	04/29/20	261.27	75.25 W	0.00	10 of 14 - Sale <sup>25</sup>
	10.000	93.01	04/29/20	113.81	20.80 W	0.00	11 of 14 - Sale <sup>25</sup>
	30.000	279.02	04/29/20	335.71	56.69 W	0.00	12 of 14 - Sale <sup>25</sup>
	20.000	186.02	04/29/20	190.60	4.58 W	0.00	13 of 14 - Sale <sup>25</sup>
	25.000	232.52	04/29/20	236.50	3.98 W	0.00	14 of 14 - Sale <sup>25</sup>
05/05/20	185.000	1,720.66	Various	2,158.92	438.26 W	0.00	Total of 14 transactions
13 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	20.000	183.99	05/01/20	176.98	...	7.01	1 of 13 - Sale <sup>25</sup>
	10.000	92.00	05/01/20	84.29	...	7.71	2 of 13 - Sale <sup>25</sup>
	25.000	229.99	05/01/20	221.23	...	8.76	3 of 13 - Sale <sup>25</sup>
	25.000	229.99	05/01/20	217.25	...	12.74	4 of 13 - Sale <sup>25</sup>
	20.000	183.99	05/01/20	168.39	...	15.60	5 of 13 - Sale <sup>25</sup>
	20.000	183.99	05/01/20	161.59	...	22.40	6 of 13 - Sale <sup>25</sup>
	20.000	183.99	05/01/20	158.60	...	25.39	7 of 13 - Sale <sup>25</sup>
	50.000	459.98	05/01/20	421.00	...	38.98	8 of 13 - Sale <sup>25</sup>
	30.000	275.99	05/01/20	236.66	...	39.33	9 of 13 - Sale <sup>25</sup>
	40.000	367.99	05/01/20	322.00	...	45.99	10 of 13 - Sale <sup>25</sup>
	50.000	459.98	05/01/20	403.50	...	56.48	11 of 13 - Sale <sup>25</sup>
	50.000	459.98	05/01/20	401.50	...	58.48	12 of 13 - Sale <sup>25</sup>
	50.000	459.98	05/07/20	431.40	...	28.58	13 of 13 - Sale <sup>25</sup>
05/11/20	410.000	3,771.84	Various	3,404.39	...	367.45	Total of 13 transactions
14 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	9.20	04/30/20	15.87	6.67 W	0.00	1 of 14 - Sale <sup>25</sup>
	9.000	82.80	04/30/20	137.23	54.43 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	46.00	04/30/20	58.99	12.99 W	0.00	3 of 14 - Sale <sup>25</sup>
	9.000	82.80	04/30/20	110.57	27.77 W	0.00	4 of 14 - Sale <sup>25</sup>
	11.000	101.20	04/30/20	134.19	32.99 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	46.00	04/30/20	58.93	12.93 W	0.00	6 of 14 - Sale <sup>25</sup>
	5.000	46.00	04/30/20	60.42	14.42 W	0.00	7 of 14 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
	15.000	137.99	04/30/20	180.08	42.09 W	0.00	8 of 14 - Sale <sup>25</sup>
	5.000	46.00	05/01/20	60.82	14.82 W	0.00	9 of 14 - Sale <sup>25</sup>
	20.000	183.99	05/01/20	244.60	60.61 W	0.00	10 of 14 - Sale <sup>25</sup>
	5.000	46.00	05/01/20	61.42	15.42 W	0.00	11 of 14 - Sale <sup>25</sup>
	10.000	92.00	05/01/20	120.19	28.19 W	0.00	12 of 14 - Sale <sup>25</sup>
	10.000	92.00	05/01/20	106.71	14.71 W	0.00	13 of 14 - Sale <sup>25</sup>
	30.000	275.99	05/01/20	315.29	39.30 W	0.00	14 of 14 - Sale <sup>25</sup>
05/11/20	140.000	1,287.97	Various	1,665.31	377.34 W	0.00	Total of 14 transactions
	2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	10.000	93.09	05/11/20	91.68	...	1.41	1 of 2 - Sale <sup>25</sup>
	50.000	458.98	05/11/20	457.50	...	1.48	2 of 2 - Sale <sup>25</sup>
05/13/20	60.000	552.07	Various	549.18	...	2.89	Total of 2 transactions
	56 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	1.000	9.31	05/11/20	15.77	6.46 W	0.00	1 of 56 - Sale <sup>25</sup>
	9.000	83.79	05/11/20	136.32	52.53 W	0.00	2 of 56 - Sale <sup>25</sup>
	5.000	46.55	05/11/20	58.89	12.34 W	0.00	3 of 56 - Sale <sup>25</sup>
	5.000	46.55	05/11/20	58.83	12.28 W	0.00	4 of 56 - Sale <sup>25</sup>
	9.000	83.79	05/11/20	110.39	26.60 W	0.00	5 of 56 - Sale <sup>25</sup>
	10.000	93.10	05/11/20	119.86	26.76 W	0.00	6 of 56 - Sale <sup>25</sup>
	11.000	102.41	05/11/20	133.97	31.56 W	0.00	7 of 56 - Sale <sup>25</sup>
	5.000	46.55	05/11/20	59.88	13.33 W	0.00	8 of 56 - Sale <sup>25</sup>
	5.000	46.55	05/11/20	60.27	13.72 W	0.00	9 of 56 - Sale <sup>25</sup>
	5.000	46.55	05/11/20	60.67	14.12 W	0.00	10 of 56 - Sale <sup>25</sup>
	5.000	46.55	05/11/20	61.27	14.72 W	0.00	11 of 56 - Sale <sup>25</sup>
	10.000	93.10	05/11/20	119.89	26.79 W	0.00	12 of 56 - Sale <sup>25</sup>
	20.000	186.19	05/11/20	244.01	57.82 W	0.00	13 of 56 - Sale <sup>25</sup>
	8.000	74.48	05/11/20	85.05	10.57 W	0.00	14 of 56 - Sale <sup>25</sup>
	1.000	9.31	05/11/20	10.63	1.32 W	0.00	15 of 56 - Sale <sup>25</sup>
	1.000	9.31	05/11/20	10.64	1.33 W	0.00	16 of 56 - Sale <sup>25</sup>
	30.000	279.29	05/11/20	314.33	35.04 W	0.00	17 of 56 - Sale <sup>25</sup>
	1.000	9.23	05/11/20	15.72	6.49 W	0.00	18 of 56 - Sale <sup>25</sup>
	9.000	83.07	05/11/20	135.88	52.81 W	0.00	19 of 56 - Sale <sup>25</sup>
	5.000	46.15	05/11/20	58.65	12.50 W	0.00	20 of 56 - Sale <sup>25</sup>
	5.000	46.15	05/11/20	58.59	12.44 W	0.00	21 of 56 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
	9.000	83.07	05/11/20	109.95	26.88 W	0.00	22 of 56 - Sale <sup>25</sup>
	10.000	92.30	05/11/20	119.37	27.07 W	0.00	23 of 56 - Sale <sup>25</sup>
	11.000	101.53	05/11/20	133.44	31.91 W	0.00	24 of 56 - Sale <sup>25</sup>
	1.000	9.18	05/11/20	15.89	6.71 W	0.00	25 of 56 - Sale <sup>25</sup>
	9.000	82.62	05/11/20	137.41	54.79 W	0.00	26 of 56 - Sale <sup>25</sup>
	5.000	45.90	05/11/20	60.33	14.43 W	0.00	27 of 56 - Sale <sup>25</sup>
	5.000	45.90	05/11/20	60.72	14.82 W	0.00	28 of 56 - Sale <sup>25</sup>
	5.000	45.90	05/11/20	61.12	15.22 W	0.00	29 of 56 - Sale <sup>25</sup>
	5.000	45.90	05/11/20	61.72	15.82 W	0.00	30 of 56 - Sale <sup>25</sup>
	10.000	91.80	05/11/20	120.79	28.99 W	0.00	31 of 56 - Sale <sup>25</sup>
	20.000	183.59	05/11/20	245.82	62.23 W	0.00	32 of 56 - Sale <sup>25</sup>
	1.000	9.18	05/11/20	10.72	1.54 W	0.00	33 of 56 - Sale <sup>25</sup>
	1.000	9.18	05/11/20	10.73	1.55 W	0.00	34 of 56 - Sale <sup>25</sup>
	8.000	73.44	05/11/20	85.77	12.33 W	0.00	35 of 56 - Sale <sup>25</sup>
	30.000	275.39	05/11/20	317.03	41.64 W	0.00	36 of 56 - Sale <sup>25</sup>
	5.000	45.90	05/11/20	58.64	12.74 W	0.00	37 of 56 - Sale <sup>25</sup>
	5.000	45.90	05/11/20	58.58	12.68 W	0.00	38 of 56 - Sale <sup>25</sup>
	9.000	82.62	05/11/20	109.93	27.31 W	0.00	39 of 56 - Sale <sup>25</sup>
	10.000	91.79	05/11/20	119.35	27.56 W	0.00	40 of 56 - Sale <sup>25</sup>
	11.000	100.97	05/11/20	133.42	32.45 W	0.00	41 of 56 - Sale <sup>25</sup>
	10.000	91.80	05/11/20	92.28	0.48 W	0.00	42 of 56 - Sale <sup>25</sup>
	50.000	458.97	05/11/20	459.17	0.20 W	0.00	43 of 56 - Sale <sup>25</sup>
	1.000	9.16	05/11/20	15.86	6.70 W	0.00	44 of 56 - Sale <sup>25</sup>
	9.000	82.44	05/11/20	137.14	54.70 W	0.00	45 of 56 - Sale <sup>25</sup>
	5.000	45.80	05/11/20	60.18	14.38 W	0.00	46 of 56 - Sale <sup>25</sup>
	5.000	45.80	05/11/20	60.57	14.77 W	0.00	47 of 56 - Sale <sup>25</sup>
	5.000	45.80	05/11/20	60.97	15.17 W	0.00	48 of 56 - Sale <sup>25</sup>
	5.000	45.80	05/11/20	61.57	15.77 W	0.00	49 of 56 - Sale <sup>25</sup>
	20.000	183.19	05/11/20	245.23	62.04 W	0.00	50 of 56 - Sale <sup>25</sup>
	10.000	91.59	05/11/20	125.59	34.00 W	0.00	51 of 56 - Sale <sup>25</sup>
	1.000	9.16	05/11/20	11.20	2.04 W	0.00	52 of 56 - Sale <sup>25</sup>
	1.000	9.16	05/11/20	11.21	2.05 W	0.00	53 of 56 - Sale <sup>25</sup>
	8.000	73.28	05/11/20	89.61	16.33 W	0.00	54 of 56 - Sale <sup>25</sup>
	9.000	82.43	05/11/20	99.43	17.00 W	0.00	55 of 56 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
05/13/20	1.000	9.16	05/11/20	11.05	1.89 W	0.00	56 of 56 - Sale <sup>25</sup>
	470.000	4,333.58	Various	5,501.30	1,167.72 W	0.00	Total of 56 transactions
	24 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	20.000	177.59	05/11/20	220.96	43.37 W	0.00	1 of 24 - Sale <sup>25</sup>
	5.000	44.40	05/13/20	58.78	14.38 W	0.00	2 of 24 - Sale <sup>25</sup>
	5.000	44.40	05/13/20	58.72	14.32 W	0.00	3 of 24 - Sale <sup>25</sup>
	9.000	79.92	05/13/20	110.19	30.27 W	0.00	4 of 24 - Sale <sup>25</sup>
	11.000	97.68	05/13/20	133.74	36.06 W	0.00	5 of 24 - Sale <sup>25</sup>
	10.000	88.80	05/13/20	118.84	30.04 W	0.00	6 of 24 - Sale <sup>25</sup>
	10.000	88.80	05/13/20	91.76	2.96 W	0.00	7 of 24 - Sale <sup>25</sup>
	30.000	266.39	05/13/20	273.69	7.30 W	0.00	8 of 24 - Sale <sup>25</sup>
	10.000	88.80	05/13/20	91.02	2.22 W	0.00	9 of 24 - Sale <sup>25</sup>
	10.000	88.80	05/13/20	91.22	2.42 W	0.00	10 of 24 - Sale <sup>25</sup>
	1.000	8.88	05/13/20	15.89	7.01 W	0.00	11 of 24 - Sale <sup>25</sup>
	9.000	79.92	05/13/20	137.41	57.49 W	0.00	12 of 24 - Sale <sup>25</sup>
	5.000	44.40	05/13/20	60.33	15.93 W	0.00	13 of 24 - Sale <sup>25</sup>
	5.000	44.40	05/13/20	60.72	16.32 W	0.00	14 of 24 - Sale <sup>25</sup>
	10.000	88.79	05/13/20	122.91	34.12 W	0.00	15 of 24 - Sale <sup>25</sup>
	10.000	88.79	05/13/20	120.50	31.71 W	0.00	16 of 24 - Sale <sup>25</sup>
	5.000	44.40	05/13/20	60.07	15.67 W	0.00	17 of 24 - Sale <sup>25</sup>
	5.000	44.40	05/13/20	60.67	16.27 W	0.00	18 of 24 - Sale <sup>25</sup>
	10.000	88.79	05/13/20	124.10	35.31 W	0.00	19 of 24 - Sale <sup>25</sup>
	1.000	8.88	05/13/20	11.05	2.17 W	0.00	20 of 24 - Sale <sup>25</sup>
	1.000	8.88	05/13/20	11.06	2.18 W	0.00	21 of 24 - Sale <sup>25</sup>
	1.000	8.88	05/13/20	10.90	2.02 W	0.00	22 of 24 - Sale <sup>25</sup>
	8.000	71.04	05/13/20	88.41	17.37 W	0.00	23 of 24 - Sale <sup>25</sup>
	9.000	79.91	05/13/20	98.09	18.18 W	0.00	24 of 24 - Sale <sup>25</sup>
05/19/20	200.000	1,775.94	Various	2,231.03	455.09 W	0.00	Total of 24 transactions
	15 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	5.000	43.11	05/13/20	59.73	16.62 W	0.00	1 of 15 - Sale <sup>25</sup>
	5.000	43.11	05/13/20	59.67	16.56 W	0.00	2 of 15 - Sale <sup>25</sup>
	9.000	77.60	05/13/20	111.90	34.30 W	0.00	3 of 15 - Sale <sup>25</sup>
	11.000	94.84	05/13/20	135.83	40.99 W	0.00	4 of 15 - Sale <sup>25</sup>
	20.000	172.43	05/13/20	224.77	52.34 W	0.00	5 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
	10.000	86.22	05/13/20	120.86	34.64 W	0.00	6 of 15 - Sale <sup>25</sup>
	10.000	86.22	05/13/20	93.79	7.57 W	0.00	7 of 15 - Sale <sup>25</sup>
	30.000	258.65	05/13/20	279.77	21.12 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.000	8.62	05/13/20	16.10	7.48 W	0.00	9 of 15 - Sale <sup>25</sup>
	9.000	77.60	05/13/20	139.28	61.68 W	0.00	10 of 15 - Sale <sup>25</sup>
	5.000	43.11	05/13/20	61.37	18.26 W	0.00	11 of 15 - Sale <sup>25</sup>
	5.000	43.11	05/13/20	61.76	18.65 W	0.00	12 of 15 - Sale <sup>25</sup>
	10.000	86.22	05/13/20	125.00	38.78 W	0.00	13 of 15 - Sale <sup>25</sup>
	10.000	86.21	05/13/20	93.10	6.89 W	0.00	14 of 15 - Sale <sup>25</sup>
	10.000	86.22	05/13/20	93.30	7.08 W	0.00	15 of 15 - Sale <sup>25</sup>
05/20/20	150.000	1,293.27	Various	1,676.23	382.96 W	0.00	Total of 15 transactions
27 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	5.000	42.91	05/13/20	61.27	18.36 W	0.00	1 of 27 - Sale <sup>25</sup>
	5.000	42.91	05/13/20	61.87	18.96 W	0.00	2 of 27 - Sale <sup>25</sup>
	10.000	85.83	05/13/20	122.92	37.09 W	0.00	3 of 27 - Sale <sup>25</sup>
	10.000	85.83	05/13/20	126.52	40.69 W	0.00	4 of 27 - Sale <sup>25</sup>
	1.000	8.58	05/13/20	11.29	2.71 W	0.00	5 of 27 - Sale <sup>25</sup>
	1.000	8.58	05/13/20	11.30	2.72 W	0.00	6 of 27 - Sale <sup>25</sup>
	1.000	8.58	05/13/20	11.14	2.56 W	0.00	7 of 27 - Sale <sup>25</sup>
	8.000	68.66	05/13/20	90.34	21.68 W	0.00	8 of 27 - Sale <sup>25</sup>
	9.000	77.24	05/13/20	100.27	23.03 W	0.00	9 of 27 - Sale <sup>25</sup>
	50.000	429.14	05/19/20	443.61	14.47 W	0.00	10 of 27 - Sale <sup>25</sup>
	5.000	42.91	05/19/20	61.23	18.32 W	0.00	11 of 27 - Sale <sup>25</sup>
	5.000	42.91	05/19/20	61.17	18.26 W	0.00	12 of 27 - Sale <sup>25</sup>
	9.000	77.24	05/19/20	114.59	37.35 W	0.00	13 of 27 - Sale <sup>25</sup>
	10.000	85.83	05/19/20	123.85	38.02 W	0.00	14 of 27 - Sale <sup>25</sup>
	11.000	94.41	05/19/20	139.12	44.71 W	0.00	15 of 27 - Sale <sup>25</sup>
	20.000	171.65	05/19/20	230.76	59.11 W	0.00	16 of 27 - Sale <sup>25</sup>
	10.000	85.83	05/19/20	96.78	10.95 W	0.00	17 of 27 - Sale <sup>25</sup>
	30.000	257.48	05/19/20	288.76	31.28 W	0.00	18 of 27 - Sale <sup>25</sup>
	1.000	8.58	05/19/20	16.40	7.82 W	0.00	19 of 27 - Sale <sup>25</sup>
	9.000	77.24	05/19/20	141.95	64.71 W	0.00	20 of 27 - Sale <sup>25</sup>
	5.000	42.91	05/19/20	62.85	19.94 W	0.00	21 of 27 - Sale <sup>25</sup>
	5.000	42.91	05/19/20	63.24	20.33 W	0.00	22 of 27 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
	10.000	85.83	05/19/20	127.97	42.14 W	0.00	23 of 27 - Sale <sup>25</sup>
	10.000	85.83	05/19/20	96.08	10.25 W	0.00	24 of 27 - Sale <sup>25</sup>
	10.000	85.83	05/19/20	96.27	10.44 W	0.00	25 of 27 - Sale <sup>25</sup>
	50.000	429.14	05/19/20	444.40	15.26 W	0.00	26 of 27 - Sale <sup>25</sup>
	100.000	858.28	05/20/20	863.93	5.65 W	0.00	27 of 27 - Sale <sup>25</sup>
05/21/20	400.000	3,433.07	Various	4,069.88	636.81 W	0.00	Total of 27 transactions
<i>25 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	42.05	05/20/20	61.52	19.47 W	0.00	1 of 25 - Sale <sup>25</sup>
	5.000	42.05	05/20/20	61.46	19.41 W	0.00	2 of 25 - Sale <sup>25</sup>
	9.000	75.69	05/20/20	115.10	39.41 W	0.00	3 of 25 - Sale <sup>25</sup>
	10.000	84.10	05/20/20	124.41	40.31 W	0.00	4 of 25 - Sale <sup>25</sup>
	11.000	92.51	05/20/20	139.74	47.23 W	0.00	5 of 25 - Sale <sup>25</sup>
	5.000	42.05	05/20/20	61.56	19.51 W	0.00	6 of 25 - Sale <sup>25</sup>
	5.000	42.05	05/20/20	62.16	20.11 W	0.00	7 of 25 - Sale <sup>25</sup>
	10.000	84.10	05/20/20	123.48	39.38 W	0.00	8 of 25 - Sale <sup>25</sup>
	10.000	84.10	05/20/20	127.08	42.98 W	0.00	9 of 25 - Sale <sup>25</sup>
	10.000	84.10	05/20/20	115.96	31.86 W	0.00	10 of 25 - Sale <sup>25</sup>
	1.000	8.41	05/20/20	11.35	2.94 W	0.00	11 of 25 - Sale <sup>25</sup>
	1.000	8.41	05/20/20	11.36	2.95 W	0.00	12 of 25 - Sale <sup>25</sup>
	1.000	8.41	05/20/20	11.20	2.79 W	0.00	13 of 25 - Sale <sup>25</sup>
	8.000	67.28	05/20/20	90.79	23.51 W	0.00	14 of 25 - Sale <sup>25</sup>
	9.000	75.69	05/20/20	100.78	25.09 W	0.00	15 of 25 - Sale <sup>25</sup>
	1.000	8.41	05/21/20	16.42	8.01 W	0.00	16 of 25 - Sale <sup>25</sup>
	9.000	75.68	05/21/20	142.15	66.47 W	0.00	17 of 25 - Sale <sup>25</sup>
	5.000	42.05	05/21/20	62.96	20.91 W	0.00	18 of 25 - Sale <sup>25</sup>
	5.000	42.05	05/21/20	63.35	21.30 W	0.00	19 of 25 - Sale <sup>25</sup>
	10.000	84.09	05/21/20	128.19	44.10 W	0.00	20 of 25 - Sale <sup>25</sup>
	10.000	84.09	05/21/20	115.60	31.51 W	0.00	21 of 25 - Sale <sup>25</sup>
	10.000	84.10	05/21/20	97.00	12.90 W	0.00	22 of 25 - Sale <sup>25</sup>
	10.000	84.10	05/21/20	96.30	12.20 W	0.00	23 of 25 - Sale <sup>25</sup>
	10.000	84.09	05/21/20	96.49	12.40 W	0.00	24 of 25 - Sale <sup>25</sup>
	30.000	252.28	05/21/20	289.41	37.13 W	0.00	25 of 25 - Sale <sup>25</sup>
05/22/20	200.000	1,681.94	Various	2,325.82	643.88 W	0.00	Total of 25 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
<i>61 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
50.000		413.98	05/21/20	444.69	30.71 W	0.00	1 of 61 - Sale <sup>25</sup>
11.000		91.08	05/21/20	97.63	6.55 W	0.00	2 of 61 - Sale <sup>25</sup>
39.000		322.91	05/21/20	346.13	23.22 W	0.00	3 of 61 - Sale <sup>25</sup>
50.000		413.98	05/21/20	429.47	15.49 W	0.00	4 of 61 - Sale <sup>25</sup>
50.000		413.98	05/21/20	428.96	14.98 W	0.00	5 of 61 - Sale <sup>25</sup>
5.000		41.40	05/21/20	62.37	20.97 W	0.00	6 of 61 - Sale <sup>25</sup>
5.000		41.40	05/21/20	62.31	20.91 W	0.00	7 of 61 - Sale <sup>25</sup>
9.000		74.52	05/21/20	116.63	42.11 W	0.00	8 of 61 - Sale <sup>25</sup>
10.000		82.80	05/21/20	126.11	43.31 W	0.00	9 of 61 - Sale <sup>25</sup>
11.000		91.08	05/21/20	141.61	50.53 W	0.00	10 of 61 - Sale <sup>25</sup>
10.000		82.80	05/21/20	125.18	42.38 W	0.00	11 of 61 - Sale <sup>25</sup>
5.000		41.40	05/21/20	62.26	20.86 W	0.00	12 of 61 - Sale <sup>25</sup>
5.000		41.40	05/21/20	62.86	21.46 W	0.00	13 of 61 - Sale <sup>25</sup>
10.000		82.80	05/21/20	128.48	45.68 W	0.00	14 of 61 - Sale <sup>25</sup>
10.000		82.80	05/21/20	117.36	34.56 W	0.00	15 of 61 - Sale <sup>25</sup>
1.000		8.28	05/21/20	11.49	3.21 W	0.00	16 of 61 - Sale <sup>25</sup>
1.000		8.28	05/21/20	11.50	3.22 W	0.00	17 of 61 - Sale <sup>25</sup>
1.000		8.28	05/21/20	11.34	3.06 W	0.00	18 of 61 - Sale <sup>25</sup>
8.000		66.24	05/21/20	91.91	25.67 W	0.00	19 of 61 - Sale <sup>25</sup>
9.000		74.52	05/21/20	102.04	27.52 W	0.00	20 of 61 - Sale <sup>25</sup>
1.000		8.28	05/21/20	16.51	8.23 W	0.00	21 of 61 - Sale <sup>25</sup>
9.000		74.51	05/21/20	142.98	68.47 W	0.00	22 of 61 - Sale <sup>25</sup>
5.000		41.40	05/21/20	63.42	22.02 W	0.00	23 of 61 - Sale <sup>25</sup>
5.000		41.40	05/21/20	63.81	22.41 W	0.00	24 of 61 - Sale <sup>25</sup>
10.000		82.79	05/21/20	129.11	46.32 W	0.00	25 of 61 - Sale <sup>25</sup>
10.000		82.79	05/21/20	116.52	33.73 W	0.00	26 of 61 - Sale <sup>25</sup>
10.000		82.80	05/21/20	97.91	15.11 W	0.00	27 of 61 - Sale <sup>25</sup>
10.000		82.80	05/21/20	97.12	14.32 W	0.00	28 of 61 - Sale <sup>25</sup>
10.000		82.79	05/21/20	97.32	14.53 W	0.00	29 of 61 - Sale <sup>25</sup>
30.000		248.38	05/21/20	291.89	43.51 W	0.00	30 of 61 - Sale <sup>25</sup>
11.000		87.46	05/22/20	99.15	11.69 W	0.00	31 of 61 - Sale <sup>25</sup>
39.000		310.08	05/22/20	351.51	41.43 W	0.00	32 of 61 - Sale <sup>25</sup>
50.000		397.54	05/22/20	451.61	54.07 W	0.00	33 of 61 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
	50.000	397.54	05/22/20	436.49	38.95 W	0.00	34 of 61 - Sale <sup>25</sup>
	50.000	397.54	05/22/20	436.98	39.44 W	0.00	35 of 61 - Sale <sup>25</sup>
	1.000	7.95	05/26/20	16.55	8.60 W	0.00	36 of 61 - Sale <sup>25</sup>
	9.000	71.56	05/26/20	143.38	71.82 W	0.00	37 of 61 - Sale <sup>25</sup>
	5.000	39.75	05/26/20	62.59	22.84 W	0.00	38 of 61 - Sale <sup>25</sup>
	5.000	39.75	05/26/20	62.53	22.78 W	0.00	39 of 61 - Sale <sup>25</sup>
	9.000	71.56	05/26/20	117.02	45.46 W	0.00	40 of 61 - Sale <sup>25</sup>
	10.000	79.51	05/26/20	126.54	47.03 W	0.00	41 of 61 - Sale <sup>25</sup>
	11.000	87.46	05/26/20	142.09	54.63 W	0.00	42 of 61 - Sale <sup>25</sup>
	5.000	39.75	05/26/20	63.64	23.89 W	0.00	43 of 61 - Sale <sup>25</sup>
	5.000	39.75	05/26/20	64.03	24.28 W	0.00	44 of 61 - Sale <sup>25</sup>
	5.000	39.75	05/26/20	62.48	22.73 W	0.00	45 of 61 - Sale <sup>25</sup>
	5.000	39.75	05/26/20	63.08	23.33 W	0.00	46 of 61 - Sale <sup>25</sup>
	10.000	79.51	05/26/20	125.61	46.10 W	0.00	47 of 61 - Sale <sup>25</sup>
	10.000	79.51	05/26/20	128.91	49.40 W	0.00	48 of 61 - Sale <sup>25</sup>
	10.000	79.51	05/26/20	129.55	50.04 W	0.00	49 of 61 - Sale <sup>25</sup>
	10.000	79.51	05/26/20	117.79	38.28 W	0.00	50 of 61 - Sale <sup>25</sup>
	1.000	7.95	05/26/20	11.53	3.58 W	0.00	51 of 61 - Sale <sup>25</sup>
	1.000	7.95	05/26/20	11.54	3.59 W	0.00	52 of 61 - Sale <sup>25</sup>
	1.000	7.95	05/26/20	11.38	3.43 W	0.00	53 of 61 - Sale <sup>25</sup>
	8.000	63.61	05/26/20	92.26	28.65 W	0.00	54 of 61 - Sale <sup>25</sup>
	9.000	71.56	05/26/20	102.43	30.87 W	0.00	55 of 61 - Sale <sup>25</sup>
	10.000	79.51	05/26/20	116.97	37.46 W	0.00	56 of 61 - Sale <sup>25</sup>
	10.000	79.51	05/26/20	98.34	18.83 W	0.00	57 of 61 - Sale <sup>25</sup>
	10.000	79.51	05/26/20	98.32	18.81 W	0.00	58 of 61 - Sale <sup>25</sup>
	10.000	79.51	05/26/20	98.53	19.02 W	0.00	59 of 61 - Sale <sup>25</sup>
	30.000	238.53	05/26/20	295.51	56.98 W	0.00	60 of 61 - Sale <sup>25</sup>
	50.000	397.54	05/26/20	419.99	22.45 W	0.00	61 of 61 - Sale <sup>25</sup>
05/26/20	850.000	6,889.74	Various	8,655.25	1,765.51 W	0.00	Total of 61 transactions
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	50.000	396.51	05/26/20	467.97	71.46 W	0.00	1 of 3 - Sale <sup>25</sup>
	11.000	86.80	05/26/20	99.36	12.56 W	0.00	2 of 3 - Sale <sup>25</sup>
	39.000	307.75	05/26/20	352.26	44.51 W	0.00	3 of 3 - Sale <sup>25</sup>
06/01/20	100.000	791.06	Various	919.59	128.53 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
<i>35 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
50.000		392.49	05/26/20	435.85	43.36 W	0.00	1 of 35 - Sale <sup>25</sup>
50.000		392.49	05/26/20	438.54	46.05 W	0.00	2 of 35 - Sale <sup>25</sup>
1.000		7.85	05/26/20	16.58	8.73 W	0.00	3 of 35 - Sale <sup>25</sup>
9.000		70.65	05/26/20	143.64	72.99 W	0.00	4 of 35 - Sale <sup>25</sup>
5.000		39.25	05/26/20	62.74	23.49 W	0.00	5 of 35 - Sale <sup>25</sup>
5.000		39.25	05/26/20	62.68	23.43 W	0.00	6 of 35 - Sale <sup>25</sup>
9.000		70.65	05/26/20	117.28	46.63 W	0.00	7 of 35 - Sale <sup>25</sup>
10.000		78.49	05/26/20	126.83	48.34 W	0.00	8 of 35 - Sale <sup>25</sup>
11.000		86.34	05/26/20	142.41	56.07 W	0.00	9 of 35 - Sale <sup>25</sup>
5.000		36.55	05/26/20	63.33	26.78 W	0.00	10 of 35 - Sale <sup>25</sup>
5.000		36.55	05/26/20	63.72	27.17 W	0.00	11 of 35 - Sale <sup>25</sup>
10.000		73.10	05/26/20	124.98	51.88 W	0.00	12 of 35 - Sale <sup>25</sup>
5.000		36.55	05/26/20	62.13	25.58 W	0.00	13 of 35 - Sale <sup>25</sup>
5.000		36.55	05/26/20	62.73	26.18 W	0.00	14 of 35 - Sale <sup>25</sup>
10.000		73.10	05/26/20	128.19	55.09 W	0.00	15 of 35 - Sale <sup>25</sup>
10.000		73.10	05/26/20	128.84	55.74 W	0.00	16 of 35 - Sale <sup>25</sup>
10.000		73.09	05/26/20	117.48	44.39 W	0.00	17 of 35 - Sale <sup>25</sup>
1.000		7.31	05/26/20	11.50	4.19 W	0.00	18 of 35 - Sale <sup>25</sup>
1.000		7.31	05/26/20	11.51	4.20 W	0.00	19 of 35 - Sale <sup>25</sup>
1.000		7.31	05/26/20	11.35	4.04 W	0.00	20 of 35 - Sale <sup>25</sup>
8.000		58.48	05/26/20	92.01	33.53 W	0.00	21 of 35 - Sale <sup>25</sup>
9.000		65.78	05/26/20	102.15	36.37 W	0.00	22 of 35 - Sale <sup>25</sup>
10.000		73.10	05/26/20	116.66	43.56 W	0.00	23 of 35 - Sale <sup>25</sup>
10.000		73.09	05/26/20	98.03	24.94 W	0.00	24 of 35 - Sale <sup>25</sup>
10.000		73.10	05/26/20	97.99	24.89 W	0.00	25 of 35 - Sale <sup>25</sup>
10.000		73.10	05/26/20	98.20	25.10 W	0.00	26 of 35 - Sale <sup>25</sup>
30.000		219.29	05/26/20	294.52	75.23 W	0.00	27 of 35 - Sale <sup>25</sup>
50.000		365.48	06/02/20	422.04	1.13 W	-55.43	28 of 35 - Sale <sup>25</sup>
50.000		365.48	06/02/20	416.45	50.97 W	0.00	29 of 35 - Sale <sup>25</sup>
25.000		182.74	06/02/20	231.48	48.74 W	0.00	30 of 35 - Sale <sup>25</sup>
25.000		182.74	06/02/20	230.86	48.12 W	0.00	31 of 35 - Sale <sup>25</sup>
10.000		73.10	06/02/20	89.52	16.42 W	0.00	32 of 35 - Sale <sup>25</sup>
1.000		7.31	06/02/20	8.97	1.66 W	0.00	33 of 35 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 125489310
<b>2020 1099-B*</b> OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
	39.000	285.08	06/02/20	349.88	64.80 W	0.00	34 of 35 - Sale <sup>25</sup>
	50.000	365.49	06/02/20	419.36	53.87 W	0.00	35 of 35 - Sale <sup>25</sup>
06/02/20	550.000	4,101.34	Various	5,400.43	1,243.66 W	-55.43	Total of 35 transactions
	<i>25 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>						
	9.000	68.98	06/02/20	139.32	...	-70.34	1 of 25 - Sale <sup>25</sup>
	30.000	229.95	06/02/20	295.13	...	-65.18	2 of 25 - Sale <sup>25</sup>
	11.000	84.31	06/02/20	137.14	...	-52.83	3 of 25 - Sale <sup>25</sup>
	10.000	76.65	06/02/20	129.44	...	-52.79	4 of 25 - Sale <sup>25</sup>
	10.000	76.65	06/02/20	128.79	...	-52.14	5 of 25 - Sale <sup>25</sup>
	10.000	76.65	06/02/20	125.58	...	-48.93	6 of 25 - Sale <sup>25</sup>
	10.000	76.65	06/02/20	122.04	...	-45.39	7 of 25 - Sale <sup>25</sup>
	9.000	68.98	06/02/20	112.96	...	-43.98	8 of 25 - Sale <sup>25</sup>
	10.000	76.65	06/02/20	118.08	...	-41.43	9 of 25 - Sale <sup>25</sup>
	10.000	76.65	06/02/20	117.25	...	-40.60	10 of 25 - Sale <sup>25</sup>
	9.000	68.99	06/02/20	102.69	...	-33.70	11 of 25 - Sale <sup>25</sup>
	8.000	61.32	06/02/20	92.48	...	-31.16	12 of 25 - Sale <sup>25</sup>
	5.000	38.32	06/02/20	64.02	...	-25.70	13 of 25 - Sale <sup>25</sup>
	5.000	38.32	06/02/20	63.63	...	-25.31	14 of 25 - Sale <sup>25</sup>
	5.000	38.32	06/02/20	63.03	...	-24.71	15 of 25 - Sale <sup>25</sup>
	5.000	38.32	06/02/20	62.43	...	-24.11	16 of 25 - Sale <sup>25</sup>
	5.000	38.32	06/02/20	60.34	...	-22.02	17 of 25 - Sale <sup>25</sup>
	10.000	76.65	06/02/20	98.64	...	-21.99	18 of 25 - Sale <sup>25</sup>
	5.000	38.32	06/02/20	60.28	...	-21.96	19 of 25 - Sale <sup>25</sup>
	10.000	76.65	06/02/20	98.40	...	-21.75	20 of 25 - Sale <sup>25</sup>
	10.000	76.65	06/02/20	98.19	...	-21.54	21 of 25 - Sale <sup>25</sup>
	1.000	7.66	06/02/20	16.10	...	-8.44	22 of 25 - Sale <sup>25</sup>
	1.000	7.66	06/02/20	11.57	...	-3.91	23 of 25 - Sale <sup>25</sup>
	1.000	7.66	06/02/20	11.56	...	-3.90	24 of 25 - Sale <sup>25</sup>
	1.000	7.66	06/02/20	11.41	...	-3.75	25 of 25 - Sale <sup>25</sup>
06/03/20	200.000	1,532.94	Various	2,340.50	...	-807.56	Total of 25 transactions
	<i>7 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>						
	25.000	199.50	06/02/20	235.99	...	-36.49	1 of 7 - Sale <sup>25</sup>
	25.000	199.49	06/02/20	235.37	...	-35.88	2 of 7 - Sale <sup>25</sup>
	50.000	398.99	06/02/20	410.97	...	-11.98	3 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol: (cont'd)							
	39.000	311.21	06/03/20	369.78	...	-58.57	4 of 7 - Sale <sup>25</sup>
	50.000	398.99	06/03/20	444.86	...	-45.87	5 of 7 - Sale <sup>25</sup>
	10.000	79.80	06/03/20	94.62	...	-14.82	6 of 7 - Sale <sup>25</sup>
	1.000	7.98	06/03/20	9.48	...	-1.50	7 of 7 - Sale <sup>25</sup>
06/04/20	200.000	1,595.96	Various	1,801.07	...	-205.11	Total of 7 transactions
06/05/20	1.000	8.02	06/04/20	9.14	...	-1.12	Sale <sup>25</sup>
	<b>Security total:</b>	<b>43,835.62</b>		<b>52,194.94</b>	<b>8,170.37 W</b>	<b>-188.95</b>	
RIGEL PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 766559603 / Symbol:							
07/14/20	100.000	352.24	07/14/20	322.00	...	30.24	Sale <sup>25</sup>
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
11/11/20	25.000	531.36	11/10/20	531.00	...	0.36	Sale <sup>25</sup>
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
05/15/20	3.000	346.17	05/14/20	358.78	...	-12.61	Sale <sup>25</sup>
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	500.000	1,079.91	12/02/20	834.95	...	244.96	1 of 3 - Sale <sup>25</sup>
	32.000	69.11	12/03/20	59.84	...	9.27	2 of 3 - Sale <sup>25</sup>
	218.000	470.85	12/03/20	407.66	...	63.19	3 of 3 - Sale <sup>25</sup>
12/07/20	750.000	1,619.87	Various	1,302.45	...	317.42	Total of 3 transactions
11 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	250.000	392.46	12/07/20	542.48	...	-150.02	1 of 11 - Sale <sup>25</sup>
	100.000	156.98	12/08/20	179.00	...	-22.02	2 of 11 - Sale <sup>25</sup>
	100.000	156.98	12/08/20	176.99	...	-20.01	3 of 11 - Sale <sup>25</sup>
	50.000	78.49	12/08/20	98.00	...	-19.51	4 of 11 - Sale <sup>25</sup>
	100.000	156.99	12/09/20	186.00	...	-29.01	5 of 11 - Sale <sup>25</sup>
	100.000	156.98	12/09/20	184.00	...	-27.02	6 of 11 - Sale <sup>25</sup>
	200.000	313.97	12/10/20	355.98	...	-42.01	7 of 11 - Sale <sup>25</sup>
	100.000	156.99	12/10/20	176.00	...	-19.01	8 of 11 - Sale <sup>25</sup>
	250.000	392.46	12/11/20	415.00	...	-22.54	9 of 11 - Sale <sup>25</sup>
	250.000	392.46	12/11/20	410.00	...	-17.54	10 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	150.000	235.48	12/11/20	244.50	...	-9.02	11 of 11 - Sale <sup>25</sup>
12/23/20	1,650.000	2,590.24	Various	2,967.95	...	-377.71	Total of 11 transactions
	<b>Security total:</b>	<b>4,210.11</b>		<b>4,270.40</b>	...	<b>-60.29</b>	
SALEM MEDIA GROUP, INC. CLASS A COMMON STOCK / CUSIP: 794093104 / Symbol:							
<i>2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	188.81	07/14/20	179.70	...	9.11	1 of 2 - Sale <sup>25</sup>
	300.000	283.22	07/14/20	270.12	...	13.10	2 of 2 - Sale <sup>25</sup>
07/15/20	500.000	472.03	Various	449.82	...	22.21	Total of 2 transactions
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
<i>12 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	44.01	03/20/20	32.99	...	11.02	1 of 12 - Sale <sup>25</sup>
	50.000	44.01	03/20/20	32.97	...	11.04	2 of 12 - Sale <sup>25</sup>
	100.000	88.02	03/20/20	68.11	...	19.91	3 of 12 - Sale <sup>25</sup>
	100.000	88.02	03/20/20	68.11	...	19.91	4 of 12 - Sale <sup>25</sup>
	100.000	88.01	03/20/20	65.28	...	22.73	5 of 12 - Sale <sup>25</sup>
	100.000	88.01	03/23/20	60.90	...	27.11	6 of 12 - Sale <sup>25</sup>
	100.000	88.02	03/23/20	59.97	...	28.05	7 of 12 - Sale <sup>25</sup>
	100.000	88.01	03/23/20	56.59	...	31.42	8 of 12 - Sale <sup>25</sup>
	100.000	88.02	03/23/20	55.00	...	33.02	9 of 12 - Sale <sup>25</sup>
	100.000	88.01	03/23/20	54.88	...	33.13	10 of 12 - Sale <sup>25</sup>
	100.000	88.02	03/23/20	54.88	...	33.14	11 of 12 - Sale <sup>25</sup>
	100.000	88.01	03/23/20	54.52	...	33.49	12 of 12 - Sale <sup>25</sup>
03/25/20	1,100.000	968.17	Various	664.20	...	303.97	Total of 12 transactions
<i>7 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	61.11	03/23/20	54.52	...	6.59	1 of 7 - Sale <sup>25</sup>
	50.000	30.07	03/24/20	26.77	...	3.30	2 of 7 - Sale <sup>25</sup>
	50.000	30.55	03/24/20	26.78	...	3.77	3 of 7 - Sale <sup>25</sup>
	100.000	60.15	03/24/20	53.76	...	6.39	4 of 7 - Sale <sup>25</sup>
	100.000	60.15	03/24/20	53.76	...	6.39	5 of 7 - Sale <sup>25</sup>
	200.000	122.22	03/24/20	107.10	...	15.12	6 of 7 - Sale <sup>25</sup>
	200.000	120.29	03/30/20	119.98	...	0.31	7 of 7 - Sale <sup>25</sup>
04/07/20	800.000	484.54	Various	442.67	...	41.87	Total of 7 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	100.000	60.15	03/27/20	66.99	6.84 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	60.15	03/27/20	65.47	5.32 W	0.00	2 of 2 - Sale <sup>25</sup>
04/07/20	200.000	120.30	Various	132.46	12.16 W	0.00	Total of 2 transactions
11 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	50.000	33.79	03/31/20	31.59	...	2.20	1 of 11 - Sale <sup>25</sup>
	50.000	33.80	03/31/20	31.59	...	2.21	2 of 11 - Sale <sup>25</sup>
	50.000	33.80	03/31/20	30.35	...	3.45	3 of 11 - Sale <sup>25</sup>
	50.000	34.30	03/31/20	30.35	...	3.95	4 of 11 - Sale <sup>25</sup>
	100.000	67.60	03/31/20	61.66	...	5.94	5 of 11 - Sale <sup>25</sup>
	100.000	68.60	04/01/20	59.99	...	8.61	6 of 11 - Sale <sup>25</sup>
	100.000	68.60	04/01/20	58.49	...	10.11	7 of 11 - Sale <sup>25</sup>
	100.000	68.60	04/01/20	58.12	...	10.48	8 of 11 - Sale <sup>25</sup>
	100.000	68.60	04/01/20	57.46	...	11.14	9 of 11 - Sale <sup>25</sup>
	100.000	68.60	04/01/20	57.44	...	11.16	10 of 11 - Sale <sup>25</sup>
	300.000	205.79	04/02/20	167.37	...	38.42	11 of 11 - Sale <sup>25</sup>
04/17/20	1,100.000	752.08	Various	644.41	...	107.67	Total of 11 transactions
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	100.000	67.59	03/31/20	70.33	2.74 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	67.59	03/31/20	68.81	1.22 W	0.00	2 of 2 - Sale <sup>25</sup>
04/17/20	200.000	135.18	Various	139.14	3.96 W	0.00	Total of 2 transactions
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	100.000	59.99	04/02/20	54.99	...	5.00	1 of 3 - Sale <sup>25</sup>
	100.000	60.00	04/02/20	54.99	...	5.01	2 of 3 - Sale <sup>25</sup>
	200.000	120.00	04/02/20	111.58	...	8.42	3 of 3 - Sale <sup>25</sup>
04/29/20	400.000	239.99	Various	221.56	...	18.43	Total of 3 transactions
23 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	200.000	99.17	04/02/20	108.50	9.33 W	0.00	1 of 23 - Sale <sup>25</sup>
	100.000	49.59	04/02/20	54.01	4.42 W	0.00	2 of 23 - Sale <sup>25</sup>
	200.000	99.17	04/02/20	109.02	9.85 W	0.00	3 of 23 - Sale <sup>25</sup>
	100.000	49.59	04/03/20	54.64	5.05 W	0.00	4 of 23 - Sale <sup>25</sup>
	200.000	99.17	04/03/20	107.18	8.01 W	0.00	5 of 23 - Sale <sup>25</sup>
	100.000	49.59	04/03/20	52.78	3.19 W	0.00	6 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
	100.000	49.59	04/03/20	52.79	3.20 W	0.00	7 of 23 - Sale <sup>25</sup>
	100.000	49.59	04/03/20	52.69	3.10 W	0.00	8 of 23 - Sale <sup>25</sup>
	200.000	99.17	04/03/20	101.94	2.77 W	0.00	9 of 23 - Sale <sup>25</sup>
	200.000	99.17	04/22/20	120.00	20.83 W	0.00	10 of 23 - Sale <sup>25</sup>
	100.000	48.99	04/22/20	64.67	15.68 W	0.00	11 of 23 - Sale <sup>25</sup>
	50.000	24.49	04/23/20	31.46	6.97 W	0.00	12 of 23 - Sale <sup>25</sup>
	100.000	48.99	04/23/20	62.65	13.66 W	0.00	13 of 23 - Sale <sup>25</sup>
	100.000	48.99	04/23/20	62.41	13.42 W	0.00	14 of 23 - Sale <sup>25</sup>
	150.000	73.48	04/23/20	94.36	20.88 W	0.00	15 of 23 - Sale <sup>25</sup>
	100.000	48.92	05/01/20	59.35	10.43 W	0.00	16 of 23 - Sale <sup>25</sup>
	100.000	48.92	05/01/20	58.31	9.39 W	0.00	17 of 23 - Sale <sup>25</sup>
	100.000	48.92	05/01/20	57.71	8.79 W	0.00	18 of 23 - Sale <sup>25</sup>
	100.000	48.92	05/01/20	56.90	7.98 W	0.00	19 of 23 - Sale <sup>25</sup>
	100.000	48.92	05/01/20	56.91	7.99 W	0.00	20 of 23 - Sale <sup>25</sup>
	100.000	48.92	05/04/20	57.40	8.48 W	0.00	21 of 23 - Sale <sup>25</sup>
	100.000	48.92	05/04/20	55.69	6.77 W	0.00	22 of 23 - Sale <sup>25</sup>
	100.000	48.92	05/11/20	55.00	6.08 W	0.00	23 of 23 - Sale <sup>25</sup>
05/14/20	2,800.000	1,380.10	Various	1,586.37	206.27 W	0.00	Total of 23 transactions
	15 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	200.000	90.41	05/11/20	128.07	37.66 W	0.00	1 of 15 - Sale <sup>25</sup>
	100.000	45.21	05/14/20	64.62	19.41 W	0.00	2 of 15 - Sale <sup>25</sup>
	100.000	45.21	05/14/20	62.60	17.39 W	0.00	3 of 15 - Sale <sup>25</sup>
	100.000	45.21	05/14/20	62.36	17.15 W	0.00	4 of 15 - Sale <sup>25</sup>
	50.000	22.60	05/14/20	31.43	8.83 W	0.00	5 of 15 - Sale <sup>25</sup>
	150.000	67.81	05/14/20	94.26	26.45 W	0.00	6 of 15 - Sale <sup>25</sup>
	100.000	45.21	05/14/20	57.93	12.72 W	0.00	7 of 15 - Sale <sup>25</sup>
	100.000	45.21	05/14/20	56.89	11.68 W	0.00	8 of 15 - Sale <sup>25</sup>
	100.000	45.21	05/14/20	56.29	11.08 W	0.00	9 of 15 - Sale <sup>25</sup>
	100.000	45.21	05/14/20	56.73	11.52 W	0.00	10 of 15 - Sale <sup>25</sup>
	100.000	45.20	05/14/20	56.74	11.54 W	0.00	11 of 15 - Sale <sup>25</sup>
	100.000	45.21	05/14/20	57.45	12.24 W	0.00	12 of 15 - Sale <sup>25</sup>
	100.000	45.20	05/14/20	55.74	10.54 W	0.00	13 of 15 - Sale <sup>25</sup>
	100.000	45.21	05/14/20	55.05	9.84 W	0.00	14 of 15 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
05/21/20	34.000	15.36	05/14/20	16.65	1.29 W	0.00	15 of 15 - Sale <sup>25</sup>
	1,534.000	693.47	Various	912.81	219.34 W	0.00	Total of 15 transactions
	16 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	100.000	42.04	05/14/20	68.38	26.34 W	0.00	1 of 16 - Sale <sup>25</sup>
	100.000	42.04	05/14/20	66.35	24.31 W	0.00	2 of 16 - Sale <sup>25</sup>
	66.000	27.73	05/14/20	43.64	15.91 W	0.00	3 of 16 - Sale <sup>25</sup>
	200.000	84.04	05/14/20	135.60	51.56 W	0.00	4 of 16 - Sale <sup>25</sup>
	16.000	6.72	05/14/20	10.66	3.94 W	0.00	5 of 16 - Sale <sup>25</sup>
	34.000	14.29	05/14/20	22.49	8.20 W	0.00	6 of 16 - Sale <sup>25</sup>
	50.000	21.01	05/14/20	33.34	12.33 W	0.00	7 of 16 - Sale <sup>25</sup>
	100.000	42.02	05/14/20	66.64	24.62 W	0.00	8 of 16 - Sale <sup>25</sup>
	34.000	14.29	05/14/20	22.66	8.37 W	0.00	9 of 16 - Sale <sup>25</sup>
	66.000	27.73	05/14/20	40.75	13.02 W	0.00	10 of 16 - Sale <sup>25</sup>
	34.000	14.29	05/14/20	20.98	6.69 W	0.00	11 of 16 - Sale <sup>25</sup>
	66.000	27.73	05/14/20	39.66	11.93 W	0.00	12 of 16 - Sale <sup>25</sup>
	100.000	42.01	05/14/20	60.69	18.68 W	0.00	13 of 16 - Sale <sup>25</sup>
	34.000	14.29	05/19/20	20.09	5.80 W	0.00	14 of 16 - Sale <sup>25</sup>
	100.000	42.01	05/21/20	71.87	29.86 W	0.00	15 of 16 - Sale <sup>25</sup>
	100.000	42.02	05/21/20	69.84	27.82 W	0.00	16 of 16 - Sale <sup>25</sup>
06/04/20	1,200.000	504.26	Various	793.64	289.38 W	0.00	Total of 16 transactions
	16 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	16.000	7.18	05/21/20	11.22	4.04 W	0.00	1 of 16 - Sale <sup>25</sup>
	34.000	15.27	05/21/20	23.68	8.41 W	0.00	2 of 16 - Sale <sup>25</sup>
	34.000	15.27	05/21/20	23.85	8.58 W	0.00	3 of 16 - Sale <sup>25</sup>
	50.000	22.45	05/21/20	35.10	12.65 W	0.00	4 of 16 - Sale <sup>25</sup>
	66.000	29.63	05/21/20	45.96	16.33 W	0.00	5 of 16 - Sale <sup>25</sup>
	100.000	44.90	05/21/20	70.15	25.25 W	0.00	6 of 16 - Sale <sup>25</sup>
	34.000	15.27	05/21/20	22.17	6.90 W	0.00	7 of 16 - Sale <sup>25</sup>
	66.000	29.63	05/21/20	43.07	13.44 W	0.00	8 of 16 - Sale <sup>25</sup>
	66.000	29.63	05/21/20	41.98	12.35 W	0.00	9 of 16 - Sale <sup>25</sup>
	100.000	44.89	05/21/20	64.21	19.32 W	0.00	10 of 16 - Sale <sup>25</sup>
	34.000	15.27	05/21/20	21.28	6.01 W	0.00	11 of 16 - Sale <sup>25</sup>
	100.000	44.91	05/21/20	57.05	12.14 W	0.00	12 of 16 - Sale <sup>25</sup>
	100.000	44.91	05/21/20	57.07	12.16 W	0.00	13 of 16 - Sale <sup>25</sup>

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
	100.000	44.91	05/21/20	57.77	12.86 W	0.00	14 of 16 - Sale <sup>25</sup>
	100.000	44.91	05/21/20	56.07	11.16 W	0.00	15 of 16 - Sale <sup>25</sup>
	100.000	44.90	05/21/20	71.31	26.41 W	0.00	16 of 16 - Sale <sup>25</sup>
06/10/20	1,100.000	493.93	Various	701.94	208.01 W	0.00	Total of 16 transactions
	4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	100.000	40.64	06/04/20	53.21	...	-12.57	1 of 4 - Sale <sup>25</sup>
	66.000	26.83	06/09/20	49.65	...	-22.82	2 of 4 - Sale <sup>25</sup>
	34.000	13.82	07/06/20	21.59	...	-7.77	3 of 4 - Sale <sup>25</sup>
	466.000	189.40	07/06/20	172.79	...	16.61	4 of 4 - Sale <sup>25</sup>
07/10/20	666.000	270.69	Various	297.24	...	-26.55	Total of 4 transactions
	19 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	100.000	40.64	05/21/20	71.31	30.67 W	0.00	1 of 19 - Sale <sup>25</sup>
	100.000	40.64	05/21/20	55.37	14.73 W	0.00	2 of 19 - Sale <sup>25</sup>
	34.000	13.82	05/21/20	16.77	2.95 W	0.00	3 of 19 - Sale <sup>25</sup>
	100.000	40.65	06/04/20	54.92	2.28 W	-11.99	4 of 19 - Sale <sup>25</sup>
	16.000	6.50	06/04/20	10.77	4.27 W	0.00	5 of 19 - Sale <sup>25</sup>
	34.000	13.82	06/04/20	22.71	8.89 W	0.00	6 of 19 - Sale <sup>25</sup>
	34.000	13.82	06/04/20	22.88	9.06 W	0.00	7 of 19 - Sale <sup>25</sup>
	50.000	20.32	06/04/20	33.68	13.36 W	0.00	8 of 19 - Sale <sup>25</sup>
	66.000	26.82	06/04/20	44.09	17.27 W	0.00	9 of 19 - Sale <sup>25</sup>
	100.000	40.64	06/04/20	67.31	26.67 W	0.00	10 of 19 - Sale <sup>25</sup>
	100.000	40.64	06/04/20	71.92	31.28 W	0.00	11 of 19 - Sale <sup>25</sup>
	100.000	40.64	06/04/20	69.88	29.24 W	0.00	12 of 19 - Sale <sup>25</sup>
	34.000	13.82	06/04/20	21.20	7.38 W	0.00	13 of 19 - Sale <sup>25</sup>
	66.000	26.83	06/04/20	41.20	14.37 W	0.00	14 of 19 - Sale <sup>25</sup>
	66.000	26.83	06/04/20	40.11	13.28 W	0.00	15 of 19 - Sale <sup>25</sup>
	100.000	40.64	06/04/20	61.38	20.74 W	0.00	16 of 19 - Sale <sup>25</sup>
	34.000	13.82	06/04/20	20.31	6.49 W	0.00	17 of 19 - Sale <sup>25</sup>
	100.000	40.64	06/04/20	54.20	13.56 W	0.00	18 of 19 - Sale <sup>25</sup>
	100.000	40.64	06/04/20	54.21	13.57 W	0.00	19 of 19 - Sale <sup>25</sup>
07/10/20	1,334.000	542.17	Various	834.22	280.06 W	-11.99	Total of 19 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
<i>18 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.43	07/10/20	0.73	0.30 W	0.00	1 of 18 - Sale <sup>25</sup>
	99.000	42.87	07/10/20	71.59	28.72 W	0.00	2 of 18 - Sale <sup>25</sup>
	88.000	38.11	07/10/20	49.61	11.50 W	0.00	3 of 18 - Sale <sup>25</sup>
	16.000	6.93	07/13/20	11.22	4.29 W	0.00	4 of 18 - Sale <sup>25</sup>
	34.000	14.72	07/13/20	23.66	8.94 W	0.00	5 of 18 - Sale <sup>25</sup>
	34.000	14.72	07/13/20	23.83	9.11 W	0.00	6 of 18 - Sale <sup>25</sup>
	50.000	21.65	07/13/20	35.08	13.43 W	0.00	7 of 18 - Sale <sup>25</sup>
	66.000	28.58	07/13/20	45.95	17.37 W	0.00	8 of 18 - Sale <sup>25</sup>
	100.000	43.30	07/13/20	70.12	26.82 W	0.00	9 of 18 - Sale <sup>25</sup>
	100.000	43.30	07/13/20	74.73	31.43 W	0.00	10 of 18 - Sale <sup>25</sup>
	100.000	43.31	07/13/20	72.69	29.38 W	0.00	11 of 18 - Sale <sup>25</sup>
	34.000	14.72	07/13/20	22.15	7.43 W	0.00	12 of 18 - Sale <sup>25</sup>
	66.000	28.58	07/13/20	43.05	14.47 W	0.00	13 of 18 - Sale <sup>25</sup>
	66.000	28.58	07/13/20	41.96	13.38 W	0.00	14 of 18 - Sale <sup>25</sup>
	100.000	43.31	07/13/20	64.19	20.88 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	0.43	07/13/20	0.62	0.19 W	0.00	16 of 18 - Sale <sup>25</sup>
	12.000	5.20	07/13/20	6.98	1.78 W	0.00	17 of 18 - Sale <sup>25</sup>
	33.000	14.29	07/13/20	17.20	2.91 W	0.00	18 of 18 - Sale <sup>25</sup>
07/17/20	1,000.000	433.03	Various	675.36	242.33 W	0.00	Total of 18 transactions
<i>5 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.45	07/13/20	0.52	...	-0.07	1 of 5 - Sale <sup>25</sup>
	100.000	44.98	07/21/20	57.75	...	-12.77	2 of 5 - Sale <sup>25</sup>
	100.000	44.99	07/21/20	57.74	...	-12.75	3 of 5 - Sale <sup>25</sup>
	33.000	14.85	07/21/20	20.88	...	-6.03	4 of 5 - Sale <sup>25</sup>
	16.000	7.20	07/21/20	9.35	...	-2.15	5 of 5 - Sale <sup>25</sup>
07/22/20	250.000	112.47	Various	146.24	...	-33.77	Total of 5 transactions
<i>18 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	55.36	08/10/20	83.88	...	-28.52	1 of 18 - Sale <sup>25</sup>
	100.000	55.35	08/10/20	81.83	...	-26.48	2 of 18 - Sale <sup>25</sup>
	99.000	54.80	08/10/20	80.65	...	-25.85	3 of 18 - Sale <sup>25</sup>
	100.000	55.36	08/10/20	79.27	...	-23.91	4 of 18 - Sale <sup>25</sup>
	100.000	55.36	08/10/20	73.33	...	-17.97	5 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
	66.000	36.53	08/10/20	51.99	...	-15.46	6 of 18 - Sale <sup>25</sup>
	66.000	36.53	08/10/20	49.09	...	-12.56	7 of 18 - Sale <sup>25</sup>
	50.000	27.68	08/10/20	39.65	...	-11.97	8 of 18 - Sale <sup>25</sup>
	66.000	36.54	08/10/20	48.00	...	-11.46	9 of 18 - Sale <sup>25</sup>
	88.000	48.72	08/10/20	57.66	...	-8.94	10 of 18 - Sale <sup>25</sup>
	34.000	18.82	08/10/20	26.94	...	-8.12	11 of 18 - Sale <sup>25</sup>
	34.000	18.82	08/10/20	26.77	...	-7.95	12 of 18 - Sale <sup>25</sup>
	34.000	18.82	08/10/20	25.26	...	-6.44	13 of 18 - Sale <sup>25</sup>
	16.000	8.86	08/10/20	12.68	...	-3.82	14 of 18 - Sale <sup>25</sup>
	33.000	18.27	08/10/20	20.22	...	-1.95	15 of 18 - Sale <sup>25</sup>
	12.000	6.64	08/10/20	8.08	...	-1.44	16 of 18 - Sale <sup>25</sup>
	1.000	0.55	08/10/20	0.82	...	-0.27	17 of 18 - Sale <sup>25</sup>
	1.000	0.55	08/10/20	0.71	...	-0.16	18 of 18 - Sale <sup>25</sup>
08/11/20	1,000.000	553.56	Various	766.83	...	-213.27	Total of 18 transactions
	<b>Security total:</b>	<b>7,683.94</b>		<b>8,959.09</b>	<b>1,461.51 W</b>	<b>186.36</b>	
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol:							
3 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	50.000	84.11	01/29/20	77.75	...	6.36	1 of 3 - Sale <sup>25</sup>
	33.000	55.51	01/30/20	50.16	...	5.35	2 of 3 - Sale <sup>25</sup>
	32.000	53.84	01/31/20	50.56	...	3.28	3 of 3 - Sale <sup>25</sup>
02/07/20	115.000	193.46	Various	178.47	...	14.99	Total of 3 transactions
2 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	150.000	252.34	01/21/20	264.75	12.41 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	168.22	01/21/20	174.50	6.28 W	0.00	2 of 2 - Sale <sup>25</sup>
02/07/20	250.000	420.56	Various	439.25	18.69 W	0.00	Total of 2 transactions
02/13/20	100.000	158.99	02/12/20	164.27	5.28 W	0.00	Sale <sup>25</sup>
4 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	100.000	137.99	02/28/20	143.28	...	-5.29	1 of 4 - Sale <sup>25</sup>
	50.000	68.99	02/28/20	68.50	...	0.49	2 of 4 - Sale <sup>25</sup>
	100.000	137.99	02/28/20	137.00	...	0.99	3 of 4 - Sale <sup>25</sup>
	100.000	137.99	02/28/20	137.00	...	0.99	4 of 4 - Sale <sup>25</sup>
03/04/20	350.000	482.96	Various	485.78	...	-2.82	Total of 4 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol: (cont'd)							
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	100.000	137.99	02/28/20	142.28	4.29 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	69.00	02/28/20	72.64	3.64 W	0.00	2 of 2 - Sale <sup>25</sup>
03/04/20	150.000	206.99	Various	214.92	7.93 W	0.00	Total of 2 transactions
2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	50.000	76.61	03/05/20	66.14	...	10.47	1 of 2 - Sale <sup>25</sup>
	100.000	153.23	03/05/20	129.29	...	23.94	2 of 2 - Sale <sup>25</sup>
03/09/20	150.000	229.84	Various	195.43	...	34.41	Total of 2 transactions
04/30/20	200.000	605.96	04/27/20	607.42	...	-1.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,298.76</b>		<b>2,285.54</b>	<b>31.90 W</b>	<b>45.12</b>	
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
6 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	24.34	07/10/20	33.26	8.92 W	0.00	1 of 6 - Sale <sup>25</sup>
	18.000	219.05	07/10/20	299.34	80.29 W	0.00	2 of 6 - Sale <sup>25</sup>
	15.000	182.54	07/10/20	249.45	66.91 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	60.85	07/10/20	83.15	22.30 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	121.70	07/10/20	166.30	44.60 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	608.48	07/10/20	830.50	222.02 W	0.00	6 of 6 - Sale <sup>25</sup>
07/31/20	100.000	1,216.96	Various	1,662.00	445.04 W	0.00	Total of 6 transactions
26 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	2.000	23.82	07/13/20	39.24	15.42 W	0.00	1 of 26 - Sale <sup>25</sup>
	8.000	95.28	07/13/20	156.96	61.68 W	0.00	2 of 26 - Sale <sup>25</sup>
	10.000	119.10	07/14/20	182.61	63.51 W	0.00	3 of 26 - Sale <sup>25</sup>
	5.000	59.55	07/14/20	92.60	33.05 W	0.00	4 of 26 - Sale <sup>25</sup>
	5.000	59.55	07/14/20	94.96	35.41 W	0.00	5 of 26 - Sale <sup>25</sup>
	5.000	59.55	07/15/20	91.55	32.00 W	0.00	6 of 26 - Sale <sup>25</sup>
	5.000	59.55	07/15/20	91.55	32.00 W	0.00	7 of 26 - Sale <sup>25</sup>
	10.000	119.10	07/15/20	183.25	64.15 W	0.00	8 of 26 - Sale <sup>25</sup>
	10.000	119.10	07/16/20	183.35	64.25 W	0.00	9 of 26 - Sale <sup>25</sup>
	10.000	119.09	07/16/20	183.31	64.22 W	0.00	10 of 26 - Sale <sup>25</sup>
	10.000	119.10	07/16/20	180.50	61.40 W	0.00	11 of 26 - Sale <sup>25</sup>
	5.000	59.55	07/16/20	90.25	30.70 W	0.00	12 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	5.000	59.55	07/16/20	90.25	30.70 W	0.00	13 of 26 - Sale <sup>25</sup>
	10.000	119.09	07/17/20	185.01	65.92 W	0.00	14 of 26 - Sale <sup>25</sup>
	10.000	119.09	07/21/20	149.60	30.51 W	0.00	15 of 26 - Sale <sup>25</sup>
	10.000	119.10	07/21/20	149.75	30.65 W	0.00	16 of 26 - Sale <sup>25</sup>
	10.000	119.09	07/22/20	145.15	26.06 W	0.00	17 of 26 - Sale <sup>25</sup>
	20.000	238.19	07/22/20	279.60	41.41 W	0.00	18 of 26 - Sale <sup>25</sup>
	8.000	95.28	07/23/20	108.72	13.44 W	0.00	19 of 26 - Sale <sup>25</sup>
	2.000	23.82	07/23/20	27.18	3.36 W	0.00	20 of 26 - Sale <sup>25</sup>
	10.000	119.09	07/23/20	132.95	13.86 W	0.00	21 of 26 - Sale <sup>25</sup>
	10.000	119.10	07/23/20	131.30	12.20 W	0.00	22 of 26 - Sale <sup>25</sup>
	20.000	238.19	07/23/20	262.80	24.61 W	0.00	23 of 26 - Sale <sup>25</sup>
	20.000	238.19	07/27/20	264.50	26.31 W	0.00	24 of 26 - Sale <sup>25</sup>
	30.000	357.28	07/29/20	414.59	57.31 W	0.00	25 of 26 - Sale <sup>25</sup>
	50.000	595.48	07/30/20	677.00	81.52 W	0.00	26 of 26 - Sale <sup>25</sup>
08/05/20	300.000	3,572.88	Various	4,588.53	1,015.65 W	0.00	Total of 26 transactions
08/06/20	100.000	1,192.25	08/05/20	1,191.00	...	1.25	Sale <sup>25</sup>
	55 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	2.000	23.38	07/31/20	39.82	16.44 W	0.00	1 of 55 - Sale <sup>25</sup>
	8.000	93.52	07/31/20	159.28	65.76 W	0.00	2 of 55 - Sale <sup>25</sup>
	5.000	58.45	07/31/20	94.05	35.60 W	0.00	3 of 55 - Sale <sup>25</sup>
	5.000	58.45	07/31/20	96.41	37.96 W	0.00	4 of 55 - Sale <sup>25</sup>
	10.000	116.90	07/31/20	185.51	68.61 W	0.00	5 of 55 - Sale <sup>25</sup>
	5.000	58.45	07/31/20	93.00	34.55 W	0.00	6 of 55 - Sale <sup>25</sup>
	5.000	58.45	07/31/20	93.00	34.55 W	0.00	7 of 55 - Sale <sup>25</sup>
	10.000	116.90	07/31/20	186.15	69.25 W	0.00	8 of 55 - Sale <sup>25</sup>
	5.000	58.45	07/31/20	91.70	33.25 W	0.00	9 of 55 - Sale <sup>25</sup>
	5.000	58.45	07/31/20	91.70	33.25 W	0.00	10 of 55 - Sale <sup>25</sup>
	10.000	116.90	07/31/20	186.25	69.35 W	0.00	11 of 55 - Sale <sup>25</sup>
	10.000	116.89	07/31/20	186.22	69.33 W	0.00	12 of 55 - Sale <sup>25</sup>
	10.000	116.90	07/31/20	183.40	66.50 W	0.00	13 of 55 - Sale <sup>25</sup>
	10.000	116.89	07/31/20	187.92	71.03 W	0.00	14 of 55 - Sale <sup>25</sup>
	10.000	116.89	07/31/20	152.51	35.62 W	0.00	15 of 55 - Sale <sup>25</sup>
	10.000	116.90	07/31/20	152.65	35.75 W	0.00	16 of 55 - Sale <sup>25</sup>
	10.000	116.89	07/31/20	148.06	31.17 W	0.00	17 of 55 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
10.000		116.90	07/31/20	142.71	25.81 W	0.00	18 of 55 - Sale <sup>25</sup>
10.000		116.90	07/31/20	142.70	25.80 W	0.00	19 of 55 - Sale <sup>25</sup>
2.000		23.38	07/31/20	27.76	4.38 W	0.00	20 of 55 - Sale <sup>25</sup>
8.000		93.52	07/31/20	111.04	17.52 W	0.00	21 of 55 - Sale <sup>25</sup>
10.000		116.89	07/31/20	135.86	18.97 W	0.00	22 of 55 - Sale <sup>25</sup>
10.000		116.89	07/31/20	134.20	17.31 W	0.00	23 of 55 - Sale <sup>25</sup>
20.000		233.79	07/31/20	268.61	34.82 W	0.00	24 of 55 - Sale <sup>25</sup>
2.000		23.84	07/31/20	40.06	16.22 W	0.00	25 of 55 - Sale <sup>25</sup>
8.000		95.38	07/31/20	160.24	64.86 W	0.00	26 of 55 - Sale <sup>25</sup>
5.000		59.61	07/31/20	94.65	35.04 W	0.00	27 of 55 - Sale <sup>25</sup>
5.000		59.61	07/31/20	97.01	37.40 W	0.00	28 of 55 - Sale <sup>25</sup>
10.000		119.22	07/31/20	186.71	67.49 W	0.00	29 of 55 - Sale <sup>25</sup>
5.000		59.61	07/31/20	93.60	33.99 W	0.00	30 of 55 - Sale <sup>25</sup>
5.000		59.61	07/31/20	93.60	33.99 W	0.00	31 of 55 - Sale <sup>25</sup>
10.000		119.22	07/31/20	187.35	68.13 W	0.00	32 of 55 - Sale <sup>25</sup>
20.000		238.45	07/31/20	263.11	24.66 W	0.00	33 of 55 - Sale <sup>25</sup>
30.000		357.67	07/31/20	412.51	54.84 W	0.00	34 of 55 - Sale <sup>25</sup>
50.000		596.12	07/31/20	673.52	77.40 W	0.00	35 of 55 - Sale <sup>25</sup>
5.000		59.61	08/03/20	93.13	33.52 W	0.00	36 of 55 - Sale <sup>25</sup>
5.000		59.61	08/03/20	93.12	33.51 W	0.00	37 of 55 - Sale <sup>25</sup>
10.000		119.22	08/03/20	189.10	69.88 W	0.00	38 of 55 - Sale <sup>25</sup>
10.000		119.22	08/03/20	189.08	69.86 W	0.00	39 of 55 - Sale <sup>25</sup>
10.000		119.22	08/03/20	186.25	67.03 W	0.00	40 of 55 - Sale <sup>25</sup>
10.000		119.22	08/03/20	190.78	71.56 W	0.00	41 of 55 - Sale <sup>25</sup>
10.000		119.23	08/03/20	156.22	36.99 W	0.00	42 of 55 - Sale <sup>25</sup>
10.000		119.22	08/03/20	156.35	37.13 W	0.00	43 of 55 - Sale <sup>25</sup>
10.000		119.23	08/03/20	151.77	32.54 W	0.00	44 of 55 - Sale <sup>25</sup>
10.000		119.22	08/03/20	146.41	27.19 W	0.00	45 of 55 - Sale <sup>25</sup>
10.000		119.23	08/03/20	146.40	27.17 W	0.00	46 of 55 - Sale <sup>25</sup>
2.000		23.84	08/03/20	28.50	4.66 W	0.00	47 of 55 - Sale <sup>25</sup>
8.000		95.38	08/03/20	114.00	18.62 W	0.00	48 of 55 - Sale <sup>25</sup>
10.000		119.23	08/03/20	139.57	20.34 W	0.00	49 of 55 - Sale <sup>25</sup>
10.000		119.22	08/03/20	137.91	18.69 W	0.00	50 of 55 - Sale <sup>25</sup>
20.000		238.45	08/03/20	276.02	37.57 W	0.00	51 of 55 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	300.000	3,576.75	08/05/20	3,578.28	1.53 W	0.00	52 of 55 - Sale <sup>25</sup>
	20.000	238.59	08/05/20	262.86	24.27 W	0.00	53 of 55 - Sale <sup>25</sup>
	30.000	357.89	08/05/20	412.14	54.25 W	0.00	54 of 55 - Sale <sup>25</sup>
	50.000	596.48	08/05/20	672.90	76.42 W	0.00	55 of 55 - Sale <sup>25</sup>
08/06/20	900.000	10,684.33	Various	12,913.66	2,229.33 W	0.00	Total of 55 transactions
	2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	300.000	3,794.89	08/06/20	3,511.53	...	283.36	1 of 2 - Sale <sup>25</sup>
	400.000	5,059.86	08/06/20	4,680.00	...	379.86	2 of 2 - Sale <sup>25</sup>
08/07/20	700.000	8,854.75	Various	8,191.53	...	663.22	Total of 2 transactions
	27 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	2.000	25.30	08/06/20	39.62	14.32 W	0.00	1 of 27 - Sale <sup>25</sup>
	8.000	101.20	08/06/20	158.46	57.26 W	0.00	2 of 27 - Sale <sup>25</sup>
	5.000	63.25	08/06/20	93.54	30.29 W	0.00	3 of 27 - Sale <sup>25</sup>
	5.000	63.25	08/06/20	95.90	32.65 W	0.00	4 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	184.49	57.99 W	0.00	5 of 27 - Sale <sup>25</sup>
	5.000	63.25	08/06/20	92.49	29.24 W	0.00	6 of 27 - Sale <sup>25</sup>
	5.000	63.25	08/06/20	92.49	29.24 W	0.00	7 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	185.13	58.63 W	0.00	8 of 27 - Sale <sup>25</sup>
	5.000	63.25	08/06/20	92.02	28.77 W	0.00	9 of 27 - Sale <sup>25</sup>
	5.000	63.25	08/06/20	92.01	28.76 W	0.00	10 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	186.88	60.38 W	0.00	11 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	186.86	60.36 W	0.00	12 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	184.03	57.53 W	0.00	13 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	188.56	62.06 W	0.00	14 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	153.99	27.49 W	0.00	15 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	154.13	27.63 W	0.00	16 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	149.54	23.04 W	0.00	17 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	144.19	17.69 W	0.00	18 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	144.17	17.67 W	0.00	19 of 27 - Sale <sup>25</sup>
	2.000	25.30	08/06/20	28.06	2.76 W	0.00	20 of 27 - Sale <sup>25</sup>
	8.000	101.20	08/06/20	112.22	11.02 W	0.00	21 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	137.34	10.84 W	0.00	22 of 27 - Sale <sup>25</sup>
	10.000	126.50	08/06/20	135.69	9.19 W	0.00	23 of 27 - Sale <sup>25</sup>
	20.000	252.99	08/06/20	271.57	18.58 W	0.00	24 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	20.000	252.99	08/06/20	258.27	5.28 W	0.00	25 of 27 - Sale <sup>25</sup>
	30.000	379.49	08/06/20	405.25	25.76 W	0.00	26 of 27 - Sale <sup>25</sup>
	50.000	632.48	08/06/20	661.42	28.94 W	0.00	27 of 27 - Sale <sup>25</sup>
08/07/20	300.000	3,794.95	Various	4,628.32	833.37 W	0.00	Total of 27 transactions
	10 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	10.000	124.90	08/10/20	148.48	...	-23.58	1 of 10 - Sale <sup>25</sup>
	10.000	124.89	08/10/20	148.34	...	-23.45	2 of 10 - Sale <sup>25</sup>
	10.000	124.89	08/10/20	143.89	...	-19.00	3 of 10 - Sale <sup>25</sup>
	10.000	124.90	08/10/20	138.54	...	-13.64	4 of 10 - Sale <sup>25</sup>
	10.000	124.89	08/10/20	138.52	...	-13.63	5 of 10 - Sale <sup>25</sup>
	19.000	237.30	08/10/20	247.27	...	-9.97	6 of 10 - Sale <sup>25</sup>
	8.000	99.92	08/10/20	107.70	...	-7.78	7 of 10 - Sale <sup>25</sup>
	10.000	124.89	08/10/20	131.69	...	-6.80	8 of 10 - Sale <sup>25</sup>
	10.000	124.90	08/10/20	130.04	...	-5.14	9 of 10 - Sale <sup>25</sup>
	2.000	24.98	08/10/20	26.93	...	-1.95	10 of 10 - Sale <sup>25</sup>
08/11/20	99.000	1,236.46	Various	1,361.40	...	-124.94	Total of 10 transactions
	14 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	10.000	124.89	08/07/20	185.46	54.51 W	-6.06	1 of 14 - Sale <sup>25</sup>
	2.000	24.98	08/07/20	39.00	14.02 W	0.00	2 of 14 - Sale <sup>25</sup>
	8.000	99.92	08/07/20	155.98	56.06 W	0.00	3 of 14 - Sale <sup>25</sup>
	5.000	62.45	08/07/20	91.99	29.54 W	0.00	4 of 14 - Sale <sup>25</sup>
	5.000	62.45	08/07/20	94.35	31.90 W	0.00	5 of 14 - Sale <sup>25</sup>
	10.000	124.90	08/07/20	181.39	56.49 W	0.00	6 of 14 - Sale <sup>25</sup>
	5.000	62.45	08/07/20	90.94	28.49 W	0.00	7 of 14 - Sale <sup>25</sup>
	5.000	62.45	08/07/20	90.94	28.49 W	0.00	8 of 14 - Sale <sup>25</sup>
	10.000	124.89	08/07/20	182.03	57.14 W	0.00	9 of 14 - Sale <sup>25</sup>
	10.000	124.90	08/07/20	183.78	58.88 W	0.00	10 of 14 - Sale <sup>25</sup>
	10.000	124.89	08/07/20	183.76	58.87 W	0.00	11 of 14 - Sale <sup>25</sup>
	5.000	62.45	08/07/20	90.47	28.02 W	0.00	12 of 14 - Sale <sup>25</sup>
	5.000	62.45	08/07/20	90.46	28.01 W	0.00	13 of 14 - Sale <sup>25</sup>
	10.000	124.90	08/07/20	180.93	56.03 W	0.00	14 of 14 - Sale <sup>25</sup>
08/11/20	100.000	1,248.97	Various	1,841.48	586.45 W	-6.06	Total of 14 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
25 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	12.44	08/10/20	13.01	...	-0.57	1 of 25 - Sale <sup>25</sup>
	10.000	124.39	08/11/20	179.29	...	-54.90	2 of 25 - Sale <sup>25</sup>
	8.000	99.52	08/11/20	154.30	...	-54.78	3 of 25 - Sale <sup>25</sup>
	9.000	111.96	08/11/20	161.95	...	-49.99	4 of 25 - Sale <sup>25</sup>
	29.000	360.75	08/11/20	396.83	...	-36.08	5 of 25 - Sale <sup>25</sup>
	5.000	62.20	08/11/20	93.30	...	-31.10	6 of 25 - Sale <sup>25</sup>
	5.000	62.20	08/11/20	89.89	...	-27.69	7 of 25 - Sale <sup>25</sup>
	5.000	62.20	08/11/20	89.89	...	-27.69	8 of 25 - Sale <sup>25</sup>
	3.000	37.32	08/11/20	54.56	...	-17.24	9 of 25 - Sale <sup>25</sup>
	2.000	24.88	08/11/20	38.58	...	-13.70	10 of 25 - Sale <sup>25</sup>
	20.000	248.79	08/11/20	261.78	...	-12.99	11 of 25 - Sale <sup>25</sup>
	2.000	24.88	08/11/20	36.38	...	-11.50	12 of 25 - Sale <sup>25</sup>
	24.000	298.55	08/11/20	308.61	...	-10.06	13 of 25 - Sale <sup>25</sup>
	19.000	236.35	08/11/20	244.32	...	-7.97	14 of 25 - Sale <sup>25</sup>
	4.000	49.76	08/11/20	51.43	...	-1.67	15 of 25 - Sale <sup>25</sup>
	3.000	37.32	08/11/20	38.58	...	-1.26	16 of 25 - Sale <sup>25</sup>
	1.000	12.44	08/11/20	13.14	...	-0.70	17 of 25 - Sale <sup>25</sup>
	10.000	124.39	08/12/20	180.62	...	-56.23	18 of 25 - Sale <sup>25</sup>
	10.000	124.40	08/12/20	177.78	...	-53.38	19 of 25 - Sale <sup>25</sup>
	9.000	111.95	08/12/20	164.08	...	-52.13	20 of 25 - Sale <sup>25</sup>
	9.000	111.95	08/12/20	162.56	...	-50.61	21 of 25 - Sale <sup>25</sup>
	5.000	62.20	08/12/20	88.89	...	-26.69	22 of 25 - Sale <sup>25</sup>
	5.000	62.20	08/12/20	88.89	...	-26.69	23 of 25 - Sale <sup>25</sup>
	1.000	12.44	08/12/20	18.07	...	-5.63	24 of 25 - Sale <sup>25</sup>
	1.000	12.44	08/12/20	17.89	...	-5.45	25 of 25 - Sale <sup>25</sup>
08/17/20	200.000	2,487.92	Various	3,124.62	...	-636.70	Total of 25 transactions
	<b>Security total:</b>	<b>34,289.47</b>		<b>39,502.54</b>		<b>5,109.84 W</b>	<b>-103.23</b>
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
06/04/20	50.000	952.32	05/26/20	574.00	...	378.32	Sale <sup>25</sup>
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	10.000	244.27	06/04/20	204.67	...	39.60	1 of 3 - Sale <sup>25</sup>
	10.000	244.27	06/04/20	202.70	...	41.57	2 of 3 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
	30.000	732.81	06/04/20	617.10	...	115.71	3 of 3 - Sale <sup>25</sup>
06/05/20	50.000	1,221.35	Various	1,024.47	...	196.88	Total of 3 transactions
06/17/20	100.000	1,968.19	06/15/20	1,916.00	...	52.19	Sale <sup>25</sup>
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	16.81	06/17/20	19.67	2.86 W	0.00	1 of 2 - Sale <sup>25</sup>
	49.000	823.80	06/17/20	975.35	151.55 W	0.00	2 of 2 - Sale <sup>25</sup>
06/24/20	50.000	840.61	Various	995.02	154.41 W	0.00	Total of 2 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	50.000	868.05	06/24/20	842.00	...	26.05	1 of 3 - Sale <sup>25</sup>
	10.000	173.61	06/25/20	166.65	...	6.96	2 of 3 - Sale <sup>25</sup>
	10.000	173.61	06/25/20	161.95	...	11.66	3 of 3 - Sale <sup>25</sup>
07/13/20	70.000	1,215.27	Various	1,170.60	...	44.67	Total of 3 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	17.36	06/18/20	22.49	5.13 W	0.00	1 of 3 - Sale <sup>25</sup>
	9.000	156.25	06/18/20	204.51	48.26 W	0.00	2 of 3 - Sale <sup>25</sup>
	40.000	694.44	06/19/20	886.41	191.97 W	0.00	3 of 3 - Sale <sup>25</sup>
07/13/20	50.000	868.05	Various	1,113.41	245.36 W	0.00	Total of 3 transactions
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	9.000	153.64	06/26/20	194.47	...	-40.83	1 of 4 - Sale <sup>25</sup>
	1.000	17.07	06/26/20	21.37	...	-4.30	2 of 4 - Sale <sup>25</sup>
	10.000	170.71	07/09/20	208.09	...	-37.38	3 of 4 - Sale <sup>25</sup>
	30.000	512.13	07/13/20	662.38	...	-150.25	4 of 4 - Sale <sup>25</sup>
07/14/20	50.000	853.55	Various	1,086.31	...	-232.76	Total of 4 transactions
<b>Security total:</b>		<b>7,919.34</b>		<b>7,879.81</b>	<b>399.77 W</b>	<b>439.30</b>	
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
4 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	62.52	03/20/20	62.31	...	0.21	1 of 4 - Sale <sup>25</sup>
	1.000	62.52	03/20/20	61.84	...	0.68	2 of 4 - Sale <sup>25</sup>
	1.000	62.42	03/20/20	61.49	...	0.93	3 of 4 - Sale <sup>25</sup>
	2.000	124.84	03/23/20	112.96	...	11.88	4 of 4 - Sale <sup>25</sup>
04/01/20	5.000	312.30	Various	298.60	...	13.70	Total of 4 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol: (cont'd)							
3 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	62.52	03/20/20	62.62	0.10 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	125.04	03/20/20	129.64	4.60 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	124.84	03/20/20	128.51	3.67 W	0.00	3 of 3 - Sale <sup>25</sup>
04/01/20	5.000	312.40	Various	320.77	8.37 W	0.00	Total of 3 transactions
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	2.000	140.82	04/02/20	133.41	...	7.41	1 of 2 - Sale <sup>25</sup>
	3.000	211.23	04/02/20	194.61	...	16.62	2 of 2 - Sale <sup>25</sup>
04/07/20	5.000	352.05	Various	328.02	...	24.03	Total of 2 transactions
	<b>Security total:</b>	<b>976.75</b>		<b>947.39</b>	<b>8.37 W</b>	<b>37.73</b>	
SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol:							
6 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	20.000	53.33	04/21/20	50.80	...	2.53	1 of 6 - Sale <sup>25</sup>
	20.000	53.34	04/21/20	48.60	...	4.74	2 of 6 - Sale <sup>25</sup>
	20.000	53.34	04/21/20	47.60	...	5.74	3 of 6 - Sale <sup>25</sup>
	20.000	53.34	04/21/20	46.90	...	6.44	4 of 6 - Sale <sup>25</sup>
	20.000	53.33	04/21/20	46.40	...	6.93	5 of 6 - Sale <sup>25</sup>
	50.000	131.00	04/23/20	134.00	...	-3.00	6 of 6 - Sale <sup>25</sup>
04/27/20	150.000	397.68	Various	374.30	...	23.38	Total of 6 transactions
SUNOPTA, INC. COMMON STOCK / CUSIP: 8676EP108 / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	50.000	86.58	03/24/20	84.50	...	2.08	1 of 3 - Sale <sup>25</sup>
	100.000	173.16	03/24/20	169.00	...	4.16	2 of 3 - Sale <sup>25</sup>
	50.000	86.58	03/24/20	81.50	...	5.08	3 of 3 - Sale <sup>25</sup>
03/25/20	200.000	346.32	Various	335.00	...	11.32	Total of 3 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	435.46	03/18/20	397.32	...	38.14	1 of 2 - Sale <sup>25</sup>
	1.000	435.45	03/18/20	390.06	...	45.39	2 of 2 - Sale <sup>25</sup>
03/20/20	2.000	870.91	Various	787.38	...	83.53	Total of 2 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
03/20/20	1.000	435.46	03/17/20	457.62	22.16 W	0.00	Sale <sup>25</sup>
04/01/20	1.000	482.91	03/24/20	523.84	...	-40.93	Sale <sup>25</sup>
		13 transactions for 09/10/20. Total proceeds and cost reported to the IRS.					
	1.000	382.09	09/04/20	376.77	...	5.32	1 of 13 - Sale <sup>25</sup>
	2.000	764.18	09/04/20	757.48	...	6.70	2 of 13 - Sale <sup>25</sup>
	1.000	382.09	09/08/20	362.50	...	19.59	3 of 13 - Sale <sup>25</sup>
	1.000	382.09	09/08/20	345.37	...	36.72	4 of 13 - Sale <sup>25</sup>
	1.000	382.09	09/08/20	344.86	...	37.23	5 of 13 - Sale <sup>25</sup>
	1.000	382.09	09/08/20	344.28	...	37.81	6 of 13 - Sale <sup>25</sup>
	1.000	382.09	09/08/20	343.62	...	38.47	7 of 13 - Sale <sup>25</sup>
	1.000	382.09	09/08/20	341.97	...	40.12	8 of 13 - Sale <sup>25</sup>
	1.000	382.09	09/08/20	341.68	...	40.41	9 of 13 - Sale <sup>25</sup>
	1.000	382.09	09/08/20	339.41	...	42.68	10 of 13 - Sale <sup>25</sup>
	1.000	382.09	09/09/20	351.35	...	30.74	11 of 13 - Sale <sup>25</sup>
	2.000	764.19	09/09/20	723.93	...	40.26	12 of 13 - Sale <sup>25</sup>
	2.000	764.19	09/09/20	721.01	...	43.18	13 of 13 - Sale <sup>25</sup>
09/10/20	16.000	6,113.46	Various	5,694.23	...	419.23	Total of 13 transactions
		9 transactions for 09/10/20. Total proceeds and cost reported to the IRS.					
	4.000	1,528.37	09/04/20	1,647.96	119.59 W	0.00	1 of 9 - Sale <sup>25</sup>
	6.000	2,292.55	09/04/20	2,472.00	179.45 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	382.09	09/04/20	402.60	20.51 W	0.00	3 of 9 - Sale <sup>25</sup>
	4.000	1,528.37	09/04/20	1,593.19	64.82 W	0.00	4 of 9 - Sale <sup>25</sup>
	2.000	764.18	09/04/20	787.89	23.71 W	0.00	5 of 9 - Sale <sup>25</sup>
	4.000	1,507.00	09/10/20	1,656.79	149.79 W	0.00	6 of 9 - Sale <sup>25</sup>
	6.000	2,260.49	09/10/20	2,485.25	224.76 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	376.75	09/10/20	406.40	29.65 W	0.00	8 of 9 - Sale <sup>25</sup>
	4.000	1,506.99	09/10/20	1,608.36	101.37 W	0.00	9 of 9 - Sale <sup>25</sup>
09/10/20	32.000	12,146.79	Various	13,060.44	913.65 W	0.00	Total of 9 transactions
		17 transactions for 09/16/20. Total proceeds and cost reported to the IRS.					
	2.000	886.28	09/10/20	866.56	...	19.72	1 of 17 - Sale <sup>25</sup>
	2.000	886.28	09/10/20	866.36	...	19.92	2 of 17 - Sale <sup>25</sup>
	1.000	443.14	09/10/20	420.20	...	22.94	3 of 17 - Sale <sup>25</sup>
	1.000	443.14	09/10/20	414.75	...	28.39	4 of 17 - Sale <sup>25</sup>

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Account 125489310

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	443.14	09/10/20	406.93	...	36.21	5 of 17 - Sale <sup>25</sup>
	1.000	443.14	09/10/20	400.70	...	42.44	6 of 17 - Sale <sup>25</sup>
	1.000	443.14	09/10/20	394.64	...	48.50	7 of 17 - Sale <sup>25</sup>
	1.000	443.14	09/10/20	378.75	...	64.39	8 of 17 - Sale <sup>25</sup>
	1.000	443.14	09/10/20	378.67	...	64.47	9 of 17 - Sale <sup>25</sup>
	1.000	443.14	09/10/20	376.38	...	66.76	10 of 17 - Sale <sup>25</sup>
	4.000	1,772.56	09/10/20	1,705.17	...	67.39	11 of 17 - Sale <sup>25</sup>
	1.000	443.14	09/10/20	369.89	...	73.25	12 of 17 - Sale <sup>25</sup>
	2.000	886.28	09/10/20	805.97	...	80.31	13 of 17 - Sale <sup>25</sup>
	2.000	886.28	09/10/20	805.26	...	81.02	14 of 17 - Sale <sup>25</sup>
	2.000	886.28	09/10/20	756.78	...	129.50	15 of 17 - Sale <sup>25</sup>
	3.000	1,329.42	09/10/20	1,135.95	...	193.47	16 of 17 - Sale <sup>25</sup>
	4.000	1,772.56	09/10/20	1,479.72	...	292.84	17 of 17 - Sale <sup>25</sup>
09/16/20	30.000	13,294.20	Various	11,962.68	...	1,331.52	Total of 17 transactions
	5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.000	406.03	09/16/20	444.83	38.80 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	1,624.12	09/16/20	1,792.44	168.32 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	406.03	09/17/20	426.32	20.29 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	406.03	09/17/20	427.59	21.56 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	1,218.10	09/17/20	1,280.29	62.19 W	0.00	5 of 5 - Sale <sup>25</sup>
09/23/20	10.000	4,060.31	Various	4,371.47	311.16 W	0.00	Total of 5 transactions
	5 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	371.51	09/18/20	484.30	112.79 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	1,486.05	09/18/20	1,950.32	464.27 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	371.51	09/18/20	465.79	94.28 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	371.51	09/18/20	467.06	95.55 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	1,114.55	09/18/20	1,398.69	284.14 W	0.00	5 of 5 - Sale <sup>25</sup>
09/24/20	10.000	3,715.13	Various	4,766.16	1,051.03 W	0.00	Total of 5 transactions
	11 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	416.12	09/23/20	406.62	...	9.50	1 of 11 - Sale <sup>25</sup>
	1.000	416.12	09/23/20	406.58	...	9.54	2 of 11 - Sale <sup>25</sup>
	1.000	416.12	09/23/20	403.39	...	12.73	3 of 11 - Sale <sup>25</sup>
	1.000	416.12	09/23/20	368.00	...	48.12	4 of 11 - Sale <sup>25</sup>

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Account 125489310

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	416.13	09/23/20	368.00	...	48.13	5 of 11 - Sale <sup>25</sup>
	1.000	416.12	09/24/20	361.70	...	54.42	6 of 11 - Sale <sup>25</sup>
	1.000	416.13	09/24/20	357.97	...	58.16	7 of 11 - Sale <sup>25</sup>
	2.000	832.25	09/24/20	717.08	...	115.17	8 of 11 - Sale <sup>25</sup>
	5.000	2,080.62	09/24/20	1,844.37	...	236.25	9 of 11 - Sale <sup>25</sup>
	6.000	2,496.75	09/24/20	2,164.98	...	331.77	10 of 11 - Sale <sup>25</sup>
	10.000	4,161.25	09/24/20	3,704.61	...	456.64	11 of 11 - Sale <sup>25</sup>
09/28/20	30.000	12,483.73	Various	11,103.30	...	1,380.43	Total of 11 transactions
	8 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	416.12	09/18/20	559.08	142.96 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.000	1,664.50	09/18/20	2,249.43	584.93 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	416.12	09/18/20	541.48	125.36 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	416.12	09/18/20	542.75	126.63 W	0.00	4 of 8 - Sale <sup>25</sup>
	3.000	1,248.37	09/18/20	1,625.74	377.37 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	2,080.62	09/21/20	2,096.95	16.33 W	0.00	6 of 8 - Sale <sup>25</sup>
	2.000	832.25	09/21/20	838.16	5.91 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	1,248.37	09/21/20	1,282.91	34.54 W	0.00	8 of 8 - Sale <sup>25</sup>
09/28/20	20.000	8,322.47	Various	9,736.50	1,414.03 W	0.00	Total of 8 transactions
	5 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	425.00	09/28/20	558.73	133.73 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	1,700.00	09/29/20	2,252.78	552.78 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	425.00	09/29/20	549.56	124.56 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	425.00	09/29/20	550.83	125.83 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	1,275.00	09/29/20	1,648.62	373.62 W	0.00	5 of 5 - Sale <sup>25</sup>
09/30/20	10.000	4,250.00	Various	5,560.52	1,310.52 W	0.00	Total of 5 transactions
	3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	2.000	875.14	09/30/20	864.11	...	11.03	1 of 3 - Sale <sup>25</sup>
	5.000	2,187.85	09/30/20	2,158.32	...	29.53	2 of 3 - Sale <sup>25</sup>
	10.000	4,375.71	09/30/20	4,306.33	...	69.38	3 of 3 - Sale <sup>25</sup>
10/01/20	17.000	7,438.70	Various	7,328.76	...	109.94	Total of 3 transactions
	6 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	3.000	1,312.71	09/30/20	1,321.84	9.13 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	437.57	09/30/20	562.56	124.99 W	0.00	2 of 6 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	4.000	1,750.29	09/30/20	2,268.09	517.80 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	437.57	09/30/20	554.12	116.55 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	437.57	09/30/20	555.39	117.82 W	0.00	5 of 6 - Sale <sup>25</sup>
	3.000	1,312.71	09/30/20	1,662.28	349.57 W	0.00	6 of 6 - Sale <sup>25</sup>
10/01/20	13.000	5,688.42	Various	6,924.28	1,235.86 W	0.00	Total of 6 transactions
	12 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	418.99	10/06/20	424.63	5.64 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	418.99	10/06/20	421.61	2.62 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	418.99	10/06/20	421.66	2.67 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	418.99	10/06/20	543.44	124.45 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	418.99	10/06/20	547.90	128.91 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	418.99	10/06/20	545.08	126.09 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	418.99	10/06/20	544.18	125.19 W	0.00	7 of 12 - Sale <sup>25</sup>
	1.000	418.99	10/06/20	543.93	124.94 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	418.99	10/06/20	531.03	112.04 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	418.99	10/06/20	532.30	113.31 W	0.00	10 of 12 - Sale <sup>25</sup>
	1.000	418.97	10/06/20	527.30	108.33 W	0.00	11 of 12 - Sale <sup>25</sup>
	1.000	418.97	10/06/20	530.23	111.26 W	0.00	12 of 12 - Sale <sup>25</sup>
10/07/20	12.000	5,027.84	Various	6,113.29	1,085.45 W	0.00	Total of 12 transactions
10/12/20	1.000	442.91	10/08/20	552.52	109.61 W	0.00	Sale <sup>25</sup>
	8 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	429.87	10/20/20	549.29	...	-119.42	1 of 8 - Sale <sup>25</sup>
	1.000	429.87	10/20/20	549.05	...	-119.18	2 of 8 - Sale <sup>25</sup>
	1.000	429.87	10/20/20	537.42	...	-107.55	3 of 8 - Sale <sup>25</sup>
	1.000	429.86	10/20/20	536.14	...	-106.28	4 of 8 - Sale <sup>25</sup>
	1.000	429.87	10/20/20	529.20	...	-99.33	5 of 8 - Sale <sup>25</sup>
	1.000	429.86	10/20/20	429.75	...	0.11	6 of 8 - Sale <sup>25</sup>
	1.000	429.86	10/20/20	426.78	...	3.08	7 of 8 - Sale <sup>25</sup>
	1.000	429.87	10/20/20	426.73	...	3.14	8 of 8 - Sale <sup>25</sup>
10/21/20	8.000	3,438.93	Various	3,984.36	...	-545.43	Total of 8 transactions
	3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	429.87	10/20/20	548.56	118.69 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	429.87	10/20/20	553.02	123.15 W	0.00	2 of 3 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
10/21/20	1.000	429.87	10/20/20	550.20	120.33 W	0.00	3 of 3 - Sale <sup>25</sup>
	3.000	1,289.61	Various	1,651.78	362.17 W	0.00	Total of 3 transactions
	5 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	1.000	394.58	10/30/20	498.38	103.80 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	394.58	10/30/20	497.42	102.84 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	394.58	10/30/20	506.49	111.91 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	394.58	10/30/20	510.96	116.38 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	394.58	10/30/20	508.13	113.55 W	0.00	5 of 5 - Sale <sup>25</sup>
11/02/20	5.000	1,972.90	Various	2,521.38	548.48 W	0.00	Total of 5 transactions
	5 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	540.98	11/23/20	613.08	72.10 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	540.99	11/23/20	614.04	73.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	540.99	11/23/20	623.66	82.67 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	540.98	11/23/20	628.13	87.15 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	540.99	11/23/20	625.29	84.30 W	0.00	5 of 5 - Sale <sup>25</sup>
11/24/20	5.000	2,704.93	Various	3,104.20	399.27 W	0.00	Total of 5 transactions
	5 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	1.000	587.30	11/30/20	649.48	62.18 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	587.30	11/30/20	660.05	72.75 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	587.29	11/30/20	664.54	77.25 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	587.30	11/30/20	661.68	74.38 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	587.29	11/30/20	650.43	63.14 W	0.00	5 of 5 - Sale <sup>25</sup>
12/01/20	5.000	2,936.48	Various	3,286.18	349.70 W	0.00	Total of 5 transactions
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	3.000	1,852.40	12/10/20	1,843.37	...	9.03	1 of 2 - Sale <sup>25</sup>
	2.000	1,234.93	12/10/20	1,145.00	...	89.93	2 of 2 - Sale <sup>25</sup>
12/11/20	5.000	3,087.33	Various	2,988.37	...	98.96	Total of 2 transactions
	5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1.000	617.47	12/10/20	634.18	16.71 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	617.47	12/10/20	644.75	27.28 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	617.47	12/10/20	649.25	31.78 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	617.47	12/10/20	646.38	28.91 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	617.46	12/10/20	635.14	17.68 W	0.00	5 of 5 - Sale <sup>25</sup>
12/11/20	5.000	3,087.34	Various	3,209.70	122.36 W	0.00	Total of 5 transactions
5 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	641.24	12/11/20	641.36	...	-0.12	1 of 5 - Sale <sup>25</sup>
	1.000	641.25	12/11/20	638.49	...	2.76	2 of 5 - Sale <sup>25</sup>
	1.000	641.25	12/11/20	636.81	...	4.44	3 of 5 - Sale <sup>25</sup>
	1.000	641.24	12/11/20	627.26	...	13.98	4 of 5 - Sale <sup>25</sup>
	1.000	641.25	12/11/20	626.24	...	15.01	5 of 5 - Sale <sup>25</sup>
12/14/20	5.000	3,206.23	Various	3,170.16	...	36.07	Total of 5 transactions
	<b>Security total:</b>	<b>106,496.99</b>		<b>112,859.12</b>	<b>9,235.45 W</b>	<b>2,873.32</b>	
TILRAY, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	250.000	2,187.42	11/24/20	1,894.08	...	293.34	1 of 2 - Sale <sup>25</sup>
	250.000	2,187.42	11/30/20	2,245.00	...	-57.58	2 of 2 - Sale <sup>25</sup>
11/30/20	500.000	4,374.84	Various	4,139.08	...	235.76	Total of 2 transactions
T2 BIOSYSTEMS, INC. COMMON STOCK / CUSIP: 89853L104 / Symbol:							
08/12/20	500.000	857.42	08/11/20	835.00	...	22.42	Sale <sup>25</sup>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	27.18	04/23/20	26.36	...	0.82	1 of 3 - Sale <sup>25</sup>
	4.000	108.72	04/23/20	105.48	...	3.24	2 of 3 - Sale <sup>25</sup>
	5.000	135.90	04/28/20	131.25	...	4.65	3 of 3 - Sale <sup>25</sup>
05/01/20	10.000	271.80	Various	263.09	...	8.71	Total of 3 transactions
VAPOTHERM, INC. COMMON STOCK / CUSIP: 922107107 / Symbol:							
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	335.60	08/05/20	356.35	20.75 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	335.61	08/05/20	358.45	22.84 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	167.80	08/05/20	178.98	11.18 W	0.00	3 of 3 - Sale <sup>25</sup>
08/05/20	25.000	839.01	Various	893.78	54.77 W	0.00	Total of 3 transactions
08/06/20	25.000	793.86	08/05/20	792.25	...	1.61	Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VAPOTHERM, INC. COMMON STOCK / CUSIP: 922107107 / Symbol: (cont'd)							
6 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	31.75	08/05/20	35.50	3.75 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	127.02	08/05/20	132.08	5.06 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	63.51	08/05/20	66.38	2.87 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.000	95.26	08/05/20	99.97	4.71 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	317.54	08/05/20	338.02	20.48 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	158.77	08/05/20	169.63	10.86 W	0.00	6 of 6 - Sale <sup>25</sup>
08/06/20	25.000	793.85	Various	841.58	47.73 W	0.00	Total of 6 transactions
12 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	10.000	300.83	08/07/20	350.19	...	-49.36	1 of 12 - Sale <sup>25</sup>
	10.000	300.83	08/07/20	330.85	...	-30.02	2 of 12 - Sale <sup>25</sup>
	10.000	300.83	08/07/20	329.76	...	-28.93	3 of 12 - Sale <sup>25</sup>
	5.000	150.42	08/07/20	175.69	...	-25.27	4 of 12 - Sale <sup>25</sup>
	5.000	150.42	08/07/20	164.83	...	-14.41	5 of 12 - Sale <sup>25</sup>
	4.000	120.33	08/07/20	134.32	...	-13.99	6 of 12 - Sale <sup>25</sup>
	3.000	90.25	08/07/20	101.66	...	-11.41	7 of 12 - Sale <sup>25</sup>
	2.000	60.17	08/07/20	67.50	...	-7.33	8 of 12 - Sale <sup>25</sup>
	1.000	30.08	08/07/20	36.07	...	-5.99	9 of 12 - Sale <sup>25</sup>
	8.000	240.66	08/10/20	234.00	...	6.66	10 of 12 - Sale <sup>25</sup>
	42.000	1,263.49	08/10/20	1,228.50	...	34.99	11 of 12 - Sale <sup>25</sup>
	50.000	1,504.16	08/10/20	1,462.00	...	42.16	12 of 12 - Sale <sup>25</sup>
08/12/20	150.000	4,512.47	Various	4,615.37	...	-102.90	Total of 12 transactions
7 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	30.15	08/06/20	36.23	6.08 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	60.31	08/06/20	67.83	7.52 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	90.46	08/06/20	102.15	11.69 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.000	120.62	08/06/20	134.98	14.36 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	150.77	08/06/20	176.51	25.74 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	301.54	08/06/20	351.78	50.24 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	753.86	08/06/20	828.25	74.39 W	0.00	7 of 7 - Sale <sup>25</sup>
08/12/20	50.000	1,507.71	Various	1,697.73	190.02 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>8,446.90</b>		<b>8,840.71</b>	<b>292.52 W</b>	<b>-101.29</b>	

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
<i>5 transactions for 03/17/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	19.91	03/03/20	19.87	...	0.04	1 of 5 - Sale <sup>25</sup>
	25.000	49.79	03/17/20	33.75	...	16.04	2 of 5 - Sale <sup>25</sup>
	25.000	49.78	03/17/20	33.66	...	16.12	3 of 5 - Sale <sup>25</sup>
	25.000	49.78	03/17/20	33.21	...	16.57	4 of 5 - Sale <sup>25</sup>
	25.000	49.79	03/17/20	33.22	...	16.57	5 of 5 - Sale <sup>25</sup>
03/17/20	110.000	219.05	Various	153.71	...	65.34	Total of 5 transactions
<i>3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	59.74	03/03/20	62.01	2.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	39.83	03/06/20	47.60	7.77 W	0.00	2 of 3 - Sale <sup>25</sup>
	40.000	79.66	03/11/20	82.00	2.34 W	0.00	3 of 3 - Sale <sup>25</sup>
03/17/20	90.000	179.23	Various	191.61	12.38 W	0.00	Total of 3 transactions
03/23/20	25.000	47.26	03/20/20	46.17	...	1.09	Sale <sup>25</sup>
<i>4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	3.78	03/20/20	3.93	0.15 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	5.67	03/20/20	5.90	0.23 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	47.26	03/20/20	47.55	0.29 W	0.00	3 of 4 - Sale <sup>25</sup>
	20.000	37.81	03/20/20	44.37	6.56 W	0.00	4 of 4 - Sale <sup>25</sup>
03/23/20	50.000	94.52	Various	101.75	7.23 W	0.00	Total of 4 transactions
<i>3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	59.15	03/24/20	58.40	...	0.75	1 of 3 - Sale <sup>25</sup>
	50.000	84.50	03/24/20	83.50	...	1.00	2 of 3 - Sale <sup>25</sup>
	50.000	84.50	03/25/20	81.50	...	3.00	3 of 3 - Sale <sup>25</sup>
03/30/20	135.000	228.15	Various	223.40	...	4.75	Total of 3 transactions
<i>6 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	3.38	03/24/20	3.51	0.13 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	5.07	03/24/20	5.27	0.20 W	0.00	2 of 6 - Sale <sup>25</sup>
	25.000	42.25	03/24/20	42.26	0.01 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	8.45	03/24/20	10.03	1.58 W	0.00	4 of 6 - Sale <sup>25</sup>
	15.000	25.35	03/24/20	26.06	0.71 W	0.00	5 of 6 - Sale <sup>25</sup>
	15.000	25.35	03/24/20	29.95	4.60 W	0.00	6 of 6 - Sale <sup>25</sup>
03/30/20	65.000	109.85	Various	117.08	7.23 W	0.00	Total of 6 transactions

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	50.000	155.00	04/21/20	152.50	...	2.50	1 of 2 - Sale <sup>25</sup>
	50.000	155.00	04/22/20	145.25	...	9.75	2 of 2 - Sale <sup>25</sup>
04/24/20	100.000	310.00	Various	297.75	...	12.25	Total of 2 transactions
7 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	2.000	6.20	04/21/20	6.41	0.21 W	0.00	1 of 7 - Sale <sup>25</sup>
	3.000	9.30	04/21/20	9.62	0.32 W	0.00	2 of 7 - Sale <sup>25</sup>
	25.000	77.50	04/21/20	78.51	1.01 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	15.50	04/21/20	17.28	1.78 W	0.00	4 of 7 - Sale <sup>25</sup>
	15.000	46.50	04/21/20	51.70	5.20 W	0.00	5 of 7 - Sale <sup>25</sup>
	15.000	46.50	04/21/20	47.81	1.31 W	0.00	6 of 7 - Sale <sup>25</sup>
	35.000	108.50	04/21/20	109.90	1.40 W	0.00	7 of 7 - Sale <sup>25</sup>
04/24/20	100.000	310.00	Various	321.23	11.23 W	0.00	Total of 7 transactions
23 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	2.66	04/27/20	3.71	1.05 W	0.00	1 of 23 - Sale <sup>25</sup>
	1.000	2.66	04/27/20	3.77	1.11 W	0.00	2 of 23 - Sale <sup>25</sup>
	3.000	7.99	04/27/20	11.33	3.34 W	0.00	3 of 23 - Sale <sup>25</sup>
	25.000	66.59	04/27/20	92.76	26.17 W	0.00	4 of 23 - Sale <sup>25</sup>
	5.000	13.32	04/27/20	20.13	6.81 W	0.00	5 of 23 - Sale <sup>25</sup>
	15.000	39.96	04/27/20	60.25	20.29 W	0.00	6 of 23 - Sale <sup>25</sup>
	15.000	39.96	04/27/20	56.36	16.40 W	0.00	7 of 23 - Sale <sup>25</sup>
	35.000	93.23	04/27/20	129.85	36.62 W	0.00	8 of 23 - Sale <sup>25</sup>
	101.000	269.04	04/27/20	370.67	101.63 W	0.00	9 of 23 - Sale <sup>25</sup>
	30.000	79.91	04/28/20	102.60	22.69 W	0.00	10 of 23 - Sale <sup>25</sup>
	50.000	133.19	04/28/20	167.00	33.81 W	0.00	11 of 23 - Sale <sup>25</sup>
	20.000	53.27	04/28/20	66.72	13.45 W	0.00	12 of 23 - Sale <sup>25</sup>
	50.000	133.19	04/28/20	166.87	33.68 W	0.00	13 of 23 - Sale <sup>25</sup>
	100.000	266.37	04/28/20	332.90	66.53 W	0.00	14 of 23 - Sale <sup>25</sup>
	50.000	133.19	04/28/20	166.48	33.29 W	0.00	15 of 23 - Sale <sup>25</sup>
	100.000	266.37	04/28/20	332.88	66.51 W	0.00	16 of 23 - Sale <sup>25</sup>
	24.000	63.93	04/28/20	78.71	14.78 W	0.00	17 of 23 - Sale <sup>25</sup>
	75.000	199.78	04/29/20	234.00	34.22 W	0.00	18 of 23 - Sale <sup>25</sup>
	100.000	266.37	04/29/20	305.00	38.63 W	0.00	19 of 23 - Sale <sup>25</sup>

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	100.000	266.37	04/29/20	299.65	33.28 W	0.00	20 of 23 - Sale <sup>25</sup>
	98.000	261.04	05/01/20	281.26	20.22 W	0.00	21 of 23 - Sale <sup>25</sup>
	102.000	271.70	05/01/20	293.76	22.06 W	0.00	22 of 23 - Sale <sup>25</sup>
	100.000	266.37	05/01/20	283.00	16.63 W	0.00	23 of 23 - Sale <sup>25</sup>
05/11/20	1,200.000	3,196.46	Various	3,859.66	663.20 W	0.00	Total of 23 transactions
	3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	102.000	296.30	05/11/20	294.91	...	1.39	1 of 3 - Sale <sup>25</sup>
	98.000	284.68	05/11/20	282.37	...	2.31	2 of 3 - Sale <sup>25</sup>
	100.000	289.99	05/11/20	284.13	...	5.86	3 of 3 - Sale <sup>25</sup>
05/13/20	300.000	870.97	Various	861.41	...	9.56	Total of 3 transactions
	48 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	1.000	2.90	05/01/20	3.63	0.73 W	0.00	1 of 48 - Sale <sup>25</sup>
	1.000	2.90	05/01/20	3.69	0.79 W	0.00	2 of 48 - Sale <sup>25</sup>
	3.000	8.71	05/01/20	11.07	2.36 W	0.00	3 of 48 - Sale <sup>25</sup>
	25.000	72.62	05/01/20	90.57	17.95 W	0.00	4 of 48 - Sale <sup>25</sup>
	5.000	14.52	05/01/20	19.69	5.17 W	0.00	5 of 48 - Sale <sup>25</sup>
	15.000	43.57	05/01/20	58.93	15.36 W	0.00	6 of 48 - Sale <sup>25</sup>
	15.000	43.57	05/01/20	55.04	11.47 W	0.00	7 of 48 - Sale <sup>25</sup>
	35.000	101.67	05/01/20	126.78	25.11 W	0.00	8 of 48 - Sale <sup>25</sup>
	101.000	293.39	05/04/20	380.38	86.99 W	0.00	9 of 48 - Sale <sup>25</sup>
	19.000	55.19	05/04/20	65.22	10.03 W	0.00	10 of 48 - Sale <sup>25</sup>
	30.000	87.15	05/04/20	105.49	18.34 W	0.00	11 of 48 - Sale <sup>25</sup>
	50.000	145.24	05/04/20	171.80	26.56 W	0.00	12 of 48 - Sale <sup>25</sup>
	1.000	2.90	05/06/20	3.22	0.32 W	0.00	13 of 48 - Sale <sup>25</sup>
	49.000	142.34	05/06/20	157.30	14.96 W	0.00	14 of 48 - Sale <sup>25</sup>
	50.000	145.24	05/06/20	160.93	15.69 W	0.00	15 of 48 - Sale <sup>25</sup>
	49.000	142.34	05/08/20	157.33	14.99 W	0.00	16 of 48 - Sale <sup>25</sup>
	51.000	148.15	05/08/20	163.72	15.57 W	0.00	17 of 48 - Sale <sup>25</sup>
	1.000	2.90	05/11/20	3.36	0.46 W	0.00	18 of 48 - Sale <sup>25</sup>
	99.000	287.59	05/11/20	331.93	44.34 W	0.00	19 of 48 - Sale <sup>25</sup>
	24.000	69.72	05/11/20	79.28	9.56 W	0.00	20 of 48 - Sale <sup>25</sup>
	1.000	2.90	05/11/20	3.35	0.45 W	0.00	21 of 48 - Sale <sup>25</sup>
	75.000	217.86	05/11/20	235.80	17.94 W	0.00	22 of 48 - Sale <sup>25</sup>
	100.000	290.48	05/11/20	307.40	16.92 W	0.00	23 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	2.90	05/11/20	3.41	0.51 W	0.00	24 of 48 - Sale <sup>25</sup>
	1.000	2.90	05/11/20	3.46	0.56 W	0.00	25 of 48 - Sale <sup>25</sup>
	3.000	8.71	05/11/20	10.38	1.67 W	0.00	26 of 48 - Sale <sup>25</sup>
	25.000	72.62	05/11/20	84.83	12.21 W	0.00	27 of 48 - Sale <sup>25</sup>
	5.000	14.52	05/11/20	18.54	4.02 W	0.00	28 of 48 - Sale <sup>25</sup>
	15.000	43.57	05/11/20	55.49	11.92 W	0.00	29 of 48 - Sale <sup>25</sup>
	15.000	43.57	05/11/20	51.59	8.02 W	0.00	30 of 48 - Sale <sup>25</sup>
	35.000	101.67	05/11/20	118.74	17.07 W	0.00	31 of 48 - Sale <sup>25</sup>
	100.000	290.49	05/11/20	300.78	10.29 W	0.00	32 of 48 - Sale <sup>25</sup>
	41.000	118.90	05/11/20	144.78	25.88 W	0.00	33 of 48 - Sale <sup>25</sup>
	59.000	171.09	05/11/20	208.35	37.26 W	0.00	34 of 48 - Sale <sup>25</sup>
	1.000	2.90	05/11/20	3.53	0.63 W	0.00	35 of 48 - Sale <sup>25</sup>
	19.000	55.10	05/11/20	60.76	5.66 W	0.00	36 of 48 - Sale <sup>25</sup>
	30.000	87.00	05/11/20	98.44	11.44 W	0.00	37 of 48 - Sale <sup>25</sup>
	50.000	144.99	05/11/20	160.06	15.07 W	0.00	38 of 48 - Sale <sup>25</sup>
	1.000	2.82	05/11/20	3.01	0.19 W	0.00	39 of 48 - Sale <sup>25</sup>
	49.000	138.36	05/11/20	146.77	8.41 W	0.00	40 of 48 - Sale <sup>25</sup>
	50.000	141.19	05/11/20	150.18	8.99 W	0.00	41 of 48 - Sale <sup>25</sup>
	49.000	138.36	05/11/20	146.80	8.44 W	0.00	42 of 48 - Sale <sup>25</sup>
	51.000	144.01	05/11/20	152.75	8.74 W	0.00	43 of 48 - Sale <sup>25</sup>
	1.000	2.82	05/11/20	3.15	0.33 W	0.00	44 of 48 - Sale <sup>25</sup>
	1.000	2.82	05/11/20	3.14	0.32 W	0.00	45 of 48 - Sale <sup>25</sup>
	24.000	67.77	05/11/20	74.12	6.35 W	0.00	46 of 48 - Sale <sup>25</sup>
	99.000	279.55	05/11/20	310.65	31.10 W	0.00	47 of 48 - Sale <sup>25</sup>
	75.000	211.78	05/11/20	219.69	7.91 W	0.00	48 of 48 - Sale <sup>25</sup>
05/13/20	1,600.000	4,614.26	Various	5,229.31	615.05 W	0.00	Total of 48 transactions
	16 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	100.000	278.99	05/11/20	285.92	6.93 W	0.00	1 of 16 - Sale <sup>25</sup>
	1.000	2.79	05/13/20	3.30	0.51 W	0.00	2 of 16 - Sale <sup>25</sup>
	1.000	2.79	05/13/20	3.34	0.55 W	0.00	3 of 16 - Sale <sup>25</sup>
	3.000	8.37	05/13/20	10.03	1.66 W	0.00	4 of 16 - Sale <sup>25</sup>
	25.000	69.75	05/13/20	81.83	12.08 W	0.00	5 of 16 - Sale <sup>25</sup>
	5.000	13.95	05/13/20	17.95	4.00 W	0.00	6 of 16 - Sale <sup>25</sup>
	15.000	41.85	05/13/20	53.69	11.84 W	0.00	7 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	15.000	41.85	05/13/20	49.79	7.94 W	0.00	8 of 16 - Sale <sup>25</sup>
	35.000	97.65	05/13/20	114.55	16.90 W	0.00	9 of 16 - Sale <sup>25</sup>
	41.000	114.38	05/13/20	140.27	25.89 W	0.00	10 of 16 - Sale <sup>25</sup>
	59.000	164.60	05/13/20	201.87	37.27 W	0.00	11 of 16 - Sale <sup>25</sup>
	100.000	278.99	05/13/20	289.29	10.30 W	0.00	12 of 16 - Sale <sup>25</sup>
	1.000	2.79	05/13/20	3.48	0.69 W	0.00	13 of 16 - Sale <sup>25</sup>
	19.000	53.01	05/13/20	59.81	6.80 W	0.00	14 of 16 - Sale <sup>25</sup>
	30.000	83.70	05/13/20	96.94	13.24 W	0.00	15 of 16 - Sale <sup>25</sup>
	50.000	139.49	05/13/20	157.56	18.07 W	0.00	16 of 16 - Sale <sup>25</sup>
05/15/20	500.000	1,394.95	Various	1,569.62	174.67 W	0.00	Total of 16 transactions
24 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	2.75	05/13/20	3.04	0.29 W	0.00	1 of 24 - Sale <sup>25</sup>
	49.000	134.75	05/13/20	148.06	13.31 W	0.00	2 of 24 - Sale <sup>25</sup>
	50.000	137.50	05/13/20	151.48	13.98 W	0.00	3 of 24 - Sale <sup>25</sup>
	49.000	134.75	05/13/20	148.09	13.34 W	0.00	4 of 24 - Sale <sup>25</sup>
	51.000	140.24	05/13/20	154.08	13.84 W	0.00	5 of 24 - Sale <sup>25</sup>
	100.000	274.99	05/13/20	291.92	16.93 W	0.00	6 of 24 - Sale <sup>25</sup>
	1.000	2.75	05/13/20	3.18	0.43 W	0.00	7 of 24 - Sale <sup>25</sup>
	1.000	2.75	05/13/20	3.17	0.42 W	0.00	8 of 24 - Sale <sup>25</sup>
	24.000	66.00	05/13/20	74.75	8.75 W	0.00	9 of 24 - Sale <sup>25</sup>
	99.000	272.24	05/13/20	313.24	41.00 W	0.00	10 of 24 - Sale <sup>25</sup>
	75.000	206.24	05/13/20	221.65	15.41 W	0.00	11 of 24 - Sale <sup>25</sup>
	1.000	2.75	05/13/20	3.38	0.63 W	0.00	12 of 24 - Sale <sup>25</sup>
	1.000	2.75	05/13/20	3.42	0.67 W	0.00	13 of 24 - Sale <sup>25</sup>
	3.000	8.25	05/13/20	10.27	2.02 W	0.00	14 of 24 - Sale <sup>25</sup>
	25.000	68.75	05/13/20	83.83	15.08 W	0.00	15 of 24 - Sale <sup>25</sup>
	5.000	13.75	05/13/20	18.35	4.60 W	0.00	16 of 24 - Sale <sup>25</sup>
	15.000	41.25	05/13/20	54.89	13.64 W	0.00	17 of 24 - Sale <sup>25</sup>
	15.000	41.25	05/13/20	50.99	9.74 W	0.00	18 of 24 - Sale <sup>25</sup>
	35.000	96.25	05/13/20	117.35	21.10 W	0.00	19 of 24 - Sale <sup>25</sup>
	41.000	112.74	05/13/20	143.56	30.82 W	0.00	20 of 24 - Sale <sup>25</sup>
	59.000	162.24	05/13/20	206.60	44.36 W	0.00	21 of 24 - Sale <sup>25</sup>
	84.000	230.99	05/13/20	252.25	21.26 W	0.00	22 of 24 - Sale <sup>25</sup>
	1.000	2.75	05/13/20	3.59	0.84 W	0.00	23 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	15.000	41.25	05/13/20	50.12	8.87 W	0.00	24 of 24 - Sale <sup>25</sup>
05/18/20	800.000	2,199.93	Various	2,511.26	311.33 W	0.00	Total of 24 transactions
32 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	2.56	05/13/20	3.19	0.63 W	0.00	1 of 32 - Sale <sup>25</sup>
	49.000	125.43	05/13/20	155.41	29.98 W	0.00	2 of 32 - Sale <sup>25</sup>
	50.000	127.99	05/13/20	158.98	30.99 W	0.00	3 of 32 - Sale <sup>25</sup>
	19.000	48.64	05/13/20	61.90	13.26 W	0.00	4 of 32 - Sale <sup>25</sup>
	15.000	38.40	05/13/20	50.12	11.72 W	0.00	5 of 32 - Sale <sup>25</sup>
	50.000	127.99	05/13/20	163.07	35.08 W	0.00	6 of 32 - Sale <sup>25</sup>
	16.000	40.96	05/13/20	48.05	7.09 W	0.00	7 of 32 - Sale <sup>25</sup>
	49.000	125.43	05/13/20	155.32	29.89 W	0.00	8 of 32 - Sale <sup>25</sup>
	51.000	130.55	05/13/20	161.61	31.06 W	0.00	9 of 32 - Sale <sup>25</sup>
	100.000	255.97	05/13/20	306.68	50.71 W	0.00	10 of 32 - Sale <sup>25</sup>
	1.000	2.56	05/13/20	3.33	0.77 W	0.00	11 of 32 - Sale <sup>25</sup>
	49.000	125.43	05/13/20	162.27	36.84 W	0.00	12 of 32 - Sale <sup>25</sup>
	50.000	127.99	05/13/20	162.21	34.22 W	0.00	13 of 32 - Sale <sup>25</sup>
	1.000	2.56	05/15/20	3.23	0.67 W	0.00	14 of 32 - Sale <sup>25</sup>
	24.000	61.44	05/15/20	76.07	14.63 W	0.00	15 of 32 - Sale <sup>25</sup>
	75.000	191.98	05/15/20	225.78	33.80 W	0.00	16 of 32 - Sale <sup>25</sup>
	1.000	2.56	05/15/20	3.44	0.88 W	0.00	17 of 32 - Sale <sup>25</sup>
	1.000	2.56	05/15/20	3.48	0.92 W	0.00	18 of 32 - Sale <sup>25</sup>
	3.000	7.68	05/15/20	10.45	2.77 W	0.00	19 of 32 - Sale <sup>25</sup>
	25.000	63.99	05/15/20	85.33	21.34 W	0.00	20 of 32 - Sale <sup>25</sup>
	5.000	12.80	05/15/20	18.65	5.85 W	0.00	21 of 32 - Sale <sup>25</sup>
	15.000	38.40	05/15/20	55.79	17.39 W	0.00	22 of 32 - Sale <sup>25</sup>
	15.000	38.40	05/15/20	51.89	13.49 W	0.00	23 of 32 - Sale <sup>25</sup>
	35.000	89.59	05/15/20	119.45	29.86 W	0.00	24 of 32 - Sale <sup>25</sup>
	41.000	104.54	05/15/20	144.39	39.85 W	0.00	25 of 32 - Sale <sup>25</sup>
	59.000	150.44	05/15/20	207.78	57.34 W	0.00	26 of 32 - Sale <sup>25</sup>
	1.000	2.55	05/15/20	3.63	1.08 W	0.00	27 of 32 - Sale <sup>25</sup>
	15.000	38.25	05/15/20	50.66	12.41 W	0.00	28 of 32 - Sale <sup>25</sup>
	84.000	214.18	05/15/20	255.29	41.11 W	0.00	29 of 32 - Sale <sup>25</sup>
	1.000	2.55	05/15/20	3.41	0.86 W	0.00	30 of 32 - Sale <sup>25</sup>
	49.000	124.94	05/15/20	165.95	41.01 W	0.00	31 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	50.000	127.49	05/15/20	169.74	42.25 W	0.00	32 of 30 - Sale <sup>25</sup>
05/27/20	1,000.000	2,556.80	Various	3,246.55	689.75 W	0.00	Total of 32 transactions
	30 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	15.000	40.24	05/15/20	53.12	12.88 W	0.00	1 of 30 - Sale <sup>25</sup>
	19.000	50.97	05/15/20	65.70	14.73 W	0.00	2 of 30 - Sale <sup>25</sup>
	50.000	134.13	05/15/20	173.07	38.94 W	0.00	3 of 30 - Sale <sup>25</sup>
	16.000	42.92	05/15/20	51.25	8.33 W	0.00	4 of 30 - Sale <sup>25</sup>
	49.000	131.44	05/18/20	165.62	34.18 W	0.00	5 of 30 - Sale <sup>25</sup>
	51.000	136.81	05/18/20	172.33	35.52 W	0.00	6 of 30 - Sale <sup>25</sup>
	100.000	268.25	05/18/20	327.71	59.46 W	0.00	7 of 30 - Sale <sup>25</sup>
	1.000	2.68	05/18/20	3.54	0.86 W	0.00	8 of 30 - Sale <sup>25</sup>
	49.000	131.44	05/18/20	172.57	41.13 W	0.00	9 of 30 - Sale <sup>25</sup>
	50.000	134.13	05/18/20	172.72	38.59 W	0.00	10 of 30 - Sale <sup>25</sup>
	1.000	2.68	05/18/20	3.65	0.97 W	0.00	11 of 30 - Sale <sup>25</sup>
	1.000	2.68	05/18/20	3.69	1.01 W	0.00	12 of 30 - Sale <sup>25</sup>
	3.000	8.05	05/18/20	11.08	3.03 W	0.00	13 of 30 - Sale <sup>25</sup>
	25.000	67.06	05/18/20	90.59	23.53 W	0.00	14 of 30 - Sale <sup>25</sup>
	5.000	13.41	05/18/20	19.70	6.29 W	0.00	15 of 30 - Sale <sup>25</sup>
	15.000	40.24	05/18/20	58.94	18.70 W	0.00	16 of 30 - Sale <sup>25</sup>
	15.000	40.24	05/18/20	55.04	14.80 W	0.00	17 of 30 - Sale <sup>25</sup>
	35.000	93.89	05/18/20	126.81	32.92 W	0.00	18 of 30 - Sale <sup>25</sup>
	1.000	2.68	05/18/20	3.44	0.76 W	0.00	19 of 30 - Sale <sup>25</sup>
	24.000	64.38	05/18/20	81.11	16.73 W	0.00	20 of 30 - Sale <sup>25</sup>
	75.000	201.19	05/18/20	241.55	40.36 W	0.00	21 of 30 - Sale <sup>25</sup>
	41.000	109.98	05/18/20	153.42	43.44 W	0.00	22 of 30 - Sale <sup>25</sup>
	59.000	158.27	05/18/20	220.77	62.50 W	0.00	23 of 30 - Sale <sup>25</sup>
	1.000	2.68	05/18/20	3.87	1.19 W	0.00	24 of 30 - Sale <sup>25</sup>
	15.000	40.24	05/18/20	54.26	14.02 W	0.00	25 of 30 - Sale <sup>25</sup>
	84.000	225.33	05/18/20	275.46	50.13 W	0.00	26 of 30 - Sale <sup>25</sup>
	1.000	2.68	05/26/20	3.60	0.92 W	0.00	27 of 30 - Sale <sup>25</sup>
	49.000	131.44	05/26/20	175.02	43.58 W	0.00	28 of 30 - Sale <sup>25</sup>
	50.000	134.13	05/26/20	179.00	44.87 W	0.00	29 of 30 - Sale <sup>25</sup>
	100.000	268.26	05/26/20	273.50	5.24 W	0.00	30 of 30 - Sale <sup>25</sup>
06/04/20	1,000.000	2,682.52	Various	3,392.13	709.61 W	0.00	Total of 30 transactions

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
31 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	2.51	05/27/20	3.53	1.02 W	0.00	1 of 31 - Sale <sup>25</sup>
	1.000	2.51	05/27/20	3.57	1.06 W	0.00	2 of 31 - Sale <sup>25</sup>
	3.000	7.54	05/27/20	10.71	3.17 W	0.00	3 of 31 - Sale <sup>25</sup>
	25.000	62.87	05/27/20	87.51	24.64 W	0.00	4 of 31 - Sale <sup>25</sup>
	5.000	12.57	05/27/20	19.09	6.52 W	0.00	5 of 31 - Sale <sup>25</sup>
	15.000	37.72	05/27/20	57.09	19.37 W	0.00	6 of 31 - Sale <sup>25</sup>
	15.000	37.72	05/27/20	53.19	15.47 W	0.00	7 of 31 - Sale <sup>25</sup>
	35.000	88.02	05/27/20	122.49	34.47 W	0.00	8 of 31 - Sale <sup>25</sup>
	15.000	37.72	05/27/20	51.27	13.55 W	0.00	9 of 31 - Sale <sup>25</sup>
	19.000	47.78	05/27/20	63.36	15.58 W	0.00	10 of 31 - Sale <sup>25</sup>
	50.000	125.74	05/27/20	166.90	41.16 W	0.00	11 of 31 - Sale <sup>25</sup>
	49.000	123.23	05/27/20	159.59	36.36 W	0.00	12 of 31 - Sale <sup>25</sup>
	51.000	128.26	05/27/20	166.04	37.78 W	0.00	13 of 31 - Sale <sup>25</sup>
	100.000	251.48	05/27/20	315.39	63.91 W	0.00	14 of 31 - Sale <sup>25</sup>
	1.000	2.51	05/27/20	3.42	0.91 W	0.00	15 of 31 - Sale <sup>25</sup>
	49.000	123.23	05/27/20	166.54	43.31 W	0.00	16 of 31 - Sale <sup>25</sup>
	50.000	125.74	05/27/20	166.55	40.81 W	0.00	17 of 31 - Sale <sup>25</sup>
	16.000	40.24	05/27/20	49.28	9.04 W	0.00	18 of 31 - Sale <sup>25</sup>
	1.000	2.51	05/27/20	3.75	1.24 W	0.00	19 of 31 - Sale <sup>25</sup>
	41.000	103.11	05/27/20	148.40	45.29 W	0.00	20 of 31 - Sale <sup>25</sup>
	59.000	148.38	05/27/20	213.53	65.15 W	0.00	21 of 31 - Sale <sup>25</sup>
	15.000	37.72	05/27/20	52.42	14.70 W	0.00	22 of 31 - Sale <sup>25</sup>
	1.000	2.51	05/27/20	3.32	0.81 W	0.00	23 of 31 - Sale <sup>25</sup>
	24.000	60.36	05/27/20	78.17	17.81 W	0.00	24 of 31 - Sale <sup>25</sup>
	34.000	85.51	05/27/20	107.33	21.82 W	0.00	25 of 31 - Sale <sup>25</sup>
	75.000	188.62	05/27/20	232.35	43.73 W	0.00	26 of 31 - Sale <sup>25</sup>
	1.000	2.51	05/27/20	3.48	0.97 W	0.00	27 of 31 - Sale <sup>25</sup>
	49.000	123.23	05/27/20	169.02	45.79 W	0.00	28 of 31 - Sale <sup>25</sup>
	50.000	125.74	05/27/20	172.86	47.12 W	0.00	29 of 31 - Sale <sup>25</sup>
	50.000	125.74	05/27/20	157.84	32.10 W	0.00	30 of 31 - Sale <sup>25</sup>
	100.000	251.49	05/27/20	261.23	9.74 W	0.00	31 of 31 - Sale <sup>25</sup>
06/05/20	1,000.000	2,514.82	Various	3,269.22	754.40 W	0.00	Total of 31 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
19 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	2.55	06/04/20	3.68	1.13 W	0.00	1 of 19 - Sale <sup>25</sup>
	1.000	2.55	06/04/20	3.71	1.16 W	0.00	2 of 19 - Sale <sup>25</sup>
	3.000	7.65	06/04/20	11.14	3.49 W	0.00	3 of 19 - Sale <sup>25</sup>
	25.000	63.75	06/04/20	91.01	27.26 W	0.00	4 of 19 - Sale <sup>25</sup>
	5.000	12.75	06/04/20	19.80	7.05 W	0.00	5 of 19 - Sale <sup>25</sup>
	15.000	38.25	06/04/20	59.19	20.94 W	0.00	6 of 19 - Sale <sup>25</sup>
	15.000	38.25	06/04/20	55.30	17.05 W	0.00	7 of 19 - Sale <sup>25</sup>
	35.000	89.24	06/04/20	127.39	38.15 W	0.00	8 of 19 - Sale <sup>25</sup>
	15.000	38.25	06/04/20	53.38	15.13 W	0.00	9 of 19 - Sale <sup>25</sup>
	19.000	48.45	06/04/20	66.02	17.57 W	0.00	10 of 19 - Sale <sup>25</sup>
	50.000	127.49	06/04/20	173.91	46.42 W	0.00	11 of 19 - Sale <sup>25</sup>
	49.000	124.94	06/04/20	166.45	41.51 W	0.00	12 of 19 - Sale <sup>25</sup>
	51.000	130.04	06/04/20	173.19	43.15 W	0.00	13 of 19 - Sale <sup>25</sup>
	16.000	40.80	06/04/20	52.71	11.91 W	0.00	14 of 19 - Sale <sup>25</sup>
	84.000	214.18	06/04/20	275.02	60.84 W	0.00	15 of 19 - Sale <sup>25</sup>
	1.000	2.55	06/04/20	3.55	1.00 W	0.00	16 of 19 - Sale <sup>25</sup>
	49.000	124.94	06/04/20	172.42	47.48 W	0.00	17 of 19 - Sale <sup>25</sup>
	50.000	127.48	06/04/20	172.56	45.08 W	0.00	18 of 19 - Sale <sup>25</sup>
	16.000	40.80	06/04/20	51.20	10.40 W	0.00	19 of 19 - Sale <sup>25</sup>
06/22/20	500.000	1,274.91	Various	1,731.63	456.72 W	0.00	Total of 19 transactions
16 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	41.000	107.42	06/04/20	152.51	45.09 W	0.00	1 of 16 - Sale <sup>25</sup>
	59.000	154.58	06/04/20	219.43	64.85 W	0.00	2 of 16 - Sale <sup>25</sup>
	1.000	2.62	06/04/20	3.86	1.24 W	0.00	3 of 16 - Sale <sup>25</sup>
	15.000	39.30	06/04/20	53.92	14.62 W	0.00	4 of 16 - Sale <sup>25</sup>
	1.000	2.62	06/04/20	3.43	0.81 W	0.00	5 of 16 - Sale <sup>25</sup>
	24.000	62.88	06/04/20	80.57	17.69 W	0.00	6 of 16 - Sale <sup>25</sup>
	59.000	154.58	06/04/20	188.68	34.10 W	0.00	7 of 16 - Sale <sup>25</sup>
	1.000	2.62	06/04/20	3.58	0.96 W	0.00	8 of 16 - Sale <sup>25</sup>
	49.000	128.38	06/04/20	173.82	45.44 W	0.00	9 of 16 - Sale <sup>25</sup>
	49.000	127.64	06/04/20	173.43	45.79 W	0.00	10 of 16 - Sale <sup>25</sup>
	1.000	2.60	06/04/20	3.55	0.95 W	0.00	11 of 16 - Sale <sup>25</sup>
	16.000	41.68	06/04/20	51.01	9.33 W	0.00	12 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	34.000	88.57	06/04/20	110.39	21.82 W	0.00	13 of 16 - Sale <sup>25</sup>
	10.000	26.05	06/04/20	32.47	6.42 W	0.00	14 of 16 - Sale <sup>25</sup>
	40.000	103.99	06/04/20	129.88	25.89 W	0.00	15 of 16 - Sale <sup>25</sup>
	100.000	259.99	06/04/20	270.24	10.25 W	0.00	16 of 16 - Sale <sup>25</sup>
06/23/20	500.000	1,305.52	Various	1,650.77	345.25 W	0.00	Total of 16 transactions
	17 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	1.000	5.78	06/05/20	3.66	...	2.12	1 of 17 - Sale <sup>25</sup>
	1.000	5.78	06/05/20	3.63	...	2.15	2 of 17 - Sale <sup>25</sup>
	1.000	5.79	06/05/20	3.50	...	2.29	3 of 17 - Sale <sup>25</sup>
	3.000	17.35	06/05/20	10.98	...	6.37	4 of 17 - Sale <sup>25</sup>
	5.000	28.92	06/05/20	19.53	...	9.39	5 of 17 - Sale <sup>25</sup>
	15.000	86.77	06/05/20	58.37	...	28.40	6 of 17 - Sale <sup>25</sup>
	15.000	86.78	06/05/20	54.48	...	32.30	7 of 17 - Sale <sup>25</sup>
	15.000	86.77	06/05/20	52.56	...	34.21	8 of 17 - Sale <sup>25</sup>
	15.000	86.77	06/05/20	51.96	...	34.81	9 of 17 - Sale <sup>25</sup>
	16.000	92.56	06/05/20	51.84	...	40.72	10 of 17 - Sale <sup>25</sup>
	19.000	109.91	06/05/20	64.98	...	44.93	11 of 17 - Sale <sup>25</sup>
	25.000	144.62	06/05/20	89.64	...	54.98	12 of 17 - Sale <sup>25</sup>
	35.000	202.47	06/05/20	125.49	...	76.98	13 of 17 - Sale <sup>25</sup>
	50.000	289.24	06/05/20	171.19	...	118.05	14 of 17 - Sale <sup>25</sup>
	49.000	283.45	06/05/20	163.78	...	119.67	15 of 17 - Sale <sup>25</sup>
	51.000	295.02	06/05/20	170.41	...	124.61	16 of 17 - Sale <sup>25</sup>
	84.000	485.91	06/05/20	270.45	...	215.46	17 of 17 - Sale <sup>25</sup>
06/25/20	400.000	2,313.89	Various	1,366.45	...	947.44	Total of 17 transactions
	21 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	9.12	06/05/20	3.67	...	5.45	1 of 21 - Sale <sup>25</sup>
	1.000	9.12	06/05/20	3.39	...	5.73	2 of 21 - Sale <sup>25</sup>
	1.000	9.12	06/05/20	3.38	...	5.74	3 of 21 - Sale <sup>25</sup>
	1.000	9.12	06/05/20	3.24	...	5.88	4 of 21 - Sale <sup>25</sup>
	10.000	91.20	06/05/20	30.68	...	60.52	5 of 21 - Sale <sup>25</sup>
	15.000	136.79	06/05/20	51.01	...	85.78	6 of 21 - Sale <sup>25</sup>
	16.000	145.91	06/05/20	50.33	...	95.58	7 of 21 - Sale <sup>25</sup>
	16.000	145.92	06/05/20	48.14	...	97.78	8 of 21 - Sale <sup>25</sup>
	19.000	173.28	06/05/20	57.07	...	116.21	9 of 21 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	24.000	218.87	06/05/20	75.91	...	142.96	10 of 21 - Sale <sup>25</sup>
	34.000	310.07	06/05/20	117.79	...	192.28	11 of 21 - Sale <sup>25</sup>
	34.000	310.08	06/05/20	104.30	...	205.78	12 of 21 - Sale <sup>25</sup>
	41.000	373.91	06/05/20	144.55	...	229.36	13 of 21 - Sale <sup>25</sup>
	40.000	364.79	06/05/20	122.92	...	241.87	14 of 21 - Sale <sup>25</sup>
	40.000	364.79	06/05/20	120.15	...	244.64	15 of 21 - Sale <sup>25</sup>
	40.000	364.80	06/05/20	101.13	...	263.67	16 of 21 - Sale <sup>25</sup>
	49.000	446.87	06/05/20	164.65	...	282.22	17 of 21 - Sale <sup>25</sup>
	49.000	446.86	06/05/20	164.30	...	282.56	18 of 21 - Sale <sup>25</sup>
	50.000	455.98	06/05/20	169.85	...	286.13	19 of 21 - Sale <sup>25</sup>
	59.000	538.06	06/05/20	207.97	...	330.09	20 of 21 - Sale <sup>25</sup>
	60.000	547.19	06/05/20	151.69	...	395.50	21 of 21 - Sale <sup>25</sup>
06/26/20	600.000	5,471.85	Various	1,896.12	...	3,575.73	Total of 21 transactions
	10 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	8.41	06/26/20	9.03	0.62 W	0.00	1 of 10 - Sale <sup>25</sup>
	7.000	58.87	06/26/20	63.14	4.27 W	0.00	2 of 10 - Sale <sup>25</sup>
	3.000	25.23	06/26/20	27.06	1.83 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	8.41	06/26/20	9.16	0.75 W	0.00	4 of 10 - Sale <sup>25</sup>
	88.000	740.05	06/26/20	806.08	66.03 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	8.41	06/26/20	10.05	1.64 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	8.41	06/26/20	10.18	1.77 W	0.00	7 of 10 - Sale <sup>25</sup>
	3.000	25.23	06/26/20	30.12	4.89 W	0.00	8 of 10 - Sale <sup>25</sup>
	7.000	58.87	06/26/20	70.28	11.41 W	0.00	9 of 10 - Sale <sup>25</sup>
	88.000	740.05	06/26/20	895.87	155.82 W	0.00	10 of 10 - Sale <sup>25</sup>
06/26/20	200.000	1,681.94	Various	1,930.97	249.03 W	0.00	Total of 10 transactions
06/29/20	50.000	432.98	06/26/20	432.80	...	0.18	Sale <sup>25</sup>
	13 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	9.18	06/26/20	10.06	0.88 W	0.00	1 of 13 - Sale <sup>25</sup>
	1.000	9.18	06/26/20	10.19	1.01 W	0.00	2 of 13 - Sale <sup>25</sup>
	3.000	27.54	06/26/20	30.15	2.61 W	0.00	3 of 13 - Sale <sup>25</sup>
	7.000	64.26	06/26/20	70.35	6.09 W	0.00	4 of 13 - Sale <sup>25</sup>
	23.000	211.13	06/26/20	234.39	23.26 W	0.00	5 of 13 - Sale <sup>25</sup>
	15.000	137.69	06/26/20	152.86	15.17 W	0.00	6 of 13 - Sale <sup>25</sup>
	50.000	458.98	06/26/20	491.03	32.05 W	0.00	7 of 13 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	8.66	06/26/20	8.90	0.24 W	0.00	8 of 13 - Sale <sup>25</sup>
	1.000	8.66	06/26/20	9.02	0.36 W	0.00	9 of 13 - Sale <sup>25</sup>
	3.000	25.98	06/26/20	26.66	0.68 W	0.00	10 of 13 - Sale <sup>25</sup>
	7.000	60.62	06/26/20	62.19	1.57 W	0.00	11 of 13 - Sale <sup>25</sup>
	15.000	129.90	06/26/20	135.39	5.49 W	0.00	12 of 13 - Sale <sup>25</sup>
	23.000	199.17	06/26/20	207.61	8.44 W	0.00	13 of 13 - Sale <sup>25</sup>
06/29/20	150.000	1,350.95	Various	1,448.80	97.85 W	0.00	Total of 13 transactions
	9 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	8.50	06/26/20	8.35	...	0.15	1 of 9 - Sale <sup>25</sup>
	1.000	8.50	06/26/20	8.23	...	0.27	2 of 9 - Sale <sup>25</sup>
	4.000	34.00	06/26/20	33.43	...	0.57	3 of 9 - Sale <sup>25</sup>
	5.000	42.50	06/26/20	41.78	...	0.72	4 of 9 - Sale <sup>25</sup>
	3.000	25.50	06/26/20	24.65	...	0.85	5 of 9 - Sale <sup>25</sup>
	10.000	85.00	06/26/20	83.56	...	1.44	6 of 9 - Sale <sup>25</sup>
	7.000	59.50	06/26/20	57.50	...	2.00	7 of 9 - Sale <sup>25</sup>
	19.000	161.50	06/26/20	158.78	...	2.72	8 of 9 - Sale <sup>25</sup>
	50.000	424.98	06/26/20	399.50	...	25.48	9 of 9 - Sale <sup>25</sup>
07/01/20	100.000	849.98	Various	815.78	...	34.20	Total of 9 transactions
	2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	25.000	212.49	06/30/20	216.75	4.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	75.000	637.47	06/30/20	650.25	12.78 W	0.00	2 of 2 - Sale <sup>25</sup>
07/01/20	100.000	849.96	Various	867.00	17.04 W	0.00	Total of 2 transactions
	5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	1.000	8.18	07/01/20	8.64	...	-0.46	1 of 5 - Sale <sup>25</sup>
	1.000	8.18	07/07/20	7.76	...	0.42	2 of 5 - Sale <sup>25</sup>
	24.000	196.32	07/07/20	190.33	...	5.99	3 of 5 - Sale <sup>25</sup>
	75.000	613.49	07/07/20	594.78	...	18.71	4 of 5 - Sale <sup>25</sup>
	100.000	817.99	07/07/20	787.00	...	30.99	5 of 5 - Sale <sup>25</sup>
07/08/20	201.000	1,644.16	Various	1,588.51	...	55.65	Total of 5 transactions
	8 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	25.000	254.49	08/12/20	231.00	...	23.49	1 of 8 - Sale <sup>25</sup>
	25.000	254.49	08/12/20	230.75	...	23.74	2 of 8 - Sale <sup>25</sup>
	50.000	508.98	08/12/20	480.99	...	27.99	3 of 8 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	50.000	508.98	08/12/20	480.33	...	28.65	4 of 8 - Sale <sup>25</sup>
	50.000	508.98	08/12/20	448.00	...	60.98	5 of 8 - Sale <sup>25</sup>
	25.000	254.49	08/13/20	227.63	...	26.86	6 of 8 - Sale <sup>25</sup>
	25.000	254.49	08/13/20	227.63	...	26.86	7 of 8 - Sale <sup>25</sup>
	50.000	508.99	08/13/20	453.00	...	55.99	8 of 8 - Sale <sup>25</sup>
08/18/20	300.000	3,053.89	Various	2,779.33	...	274.56	Total of 8 transactions
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	100.000	742.97	08/20/20	913.00	170.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	150.000	1,114.46	08/20/20	1,369.50	255.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	371.48	08/20/20	457.25	85.77 W	0.00	3 of 3 - Sale <sup>25</sup>
08/24/20	300.000	2,228.91	Various	2,739.75	510.84 W	0.00	Total of 3 transactions
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	100.000	606.47	08/20/20	1,084.53	478.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	606.47	08/20/20	1,084.53	478.06 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	303.24	08/20/20	525.26	222.02 W	0.00	3 of 3 - Sale <sup>25</sup>
08/28/20	250.000	1,516.18	Various	2,694.32	1,178.14 W	0.00	Total of 3 transactions
8 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	50.000	282.74	08/20/20	526.27	243.53 W	0.00	1 of 8 - Sale <sup>25</sup>
	50.000	282.73	08/21/20	657.78	375.05 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	282.49	08/21/20	656.03	373.54 W	0.00	3 of 8 - Sale <sup>25</sup>
	50.000	282.49	08/21/20	654.28	371.79 W	0.00	4 of 8 - Sale <sup>25</sup>
	50.000	282.49	08/21/20	654.53	372.04 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	56.50	08/21/20	125.75	69.25 W	0.00	6 of 8 - Sale <sup>25</sup>
	30.000	169.49	08/21/20	380.57	211.08 W	0.00	7 of 8 - Sale <sup>25</sup>
	10.000	56.49	08/21/20	131.16	74.67 W	0.00	8 of 8 - Sale <sup>25</sup>
09/01/20	300.000	1,695.42	Various	3,786.37	2,090.95 W	0.00	Total of 8 transactions
11 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	12.000	58.68	08/21/20	157.39	98.71 W	0.00	1 of 11 - Sale <sup>25</sup>
	28.000	136.91	08/21/20	367.23	230.32 W	0.00	2 of 11 - Sale <sup>25</sup>
	10.000	48.90	08/21/20	126.85	77.95 W	0.00	3 of 11 - Sale <sup>25</sup>
	50.000	244.49	08/24/20	746.30	501.81 W	0.00	4 of 11 - Sale <sup>25</sup>
	50.000	244.49	08/24/20	744.79	500.30 W	0.00	5 of 11 - Sale <sup>25</sup>
	50.000	244.49	08/24/20	733.04	488.55 W	0.00	6 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	50.000	244.48	08/24/20	733.29	488.81 W	0.00	7 of 11 - Sale <sup>25</sup>
	12.000	58.68	08/24/20	186.97	128.29 W	0.00	8 of 11 - Sale <sup>25</sup>
	10.000	48.90	08/24/20	148.22	99.32 W	0.00	9 of 11 - Sale <sup>25</sup>
	10.000	48.90	08/24/20	142.80	93.90 W	0.00	10 of 11 - Sale <sup>25</sup>
	18.000	88.01	08/24/20	259.04	171.03 W	0.00	11 of 11 - Sale <sup>25</sup>
09/02/20	300.000	1,466.93	Various	4,345.92	2,878.99 W	0.00	Total of 11 transactions
	6 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	28.000	167.71	08/24/20	436.26	268.55 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	59.90	08/24/20	151.50	91.60 W	0.00	2 of 6 - Sale <sup>25</sup>
	12.000	71.88	08/24/20	172.69	100.81 W	0.00	3 of 6 - Sale <sup>25</sup>
	50.000	299.48	08/25/20	849.31	549.83 W	0.00	4 of 6 - Sale <sup>25</sup>
	50.000	299.49	08/25/20	847.80	548.31 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	299.48	08/26/20	818.80	519.32 W	0.00	6 of 6 - Sale <sup>25</sup>
09/08/20	200.000	1,197.94	Various	3,276.36	2,078.42 W	0.00	Total of 6 transactions
	11 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	50.000	244.99	08/26/20	819.06	574.07 W	0.00	1 of 11 - Sale <sup>25</sup>
	28.000	137.19	08/27/20	450.97	313.78 W	0.00	2 of 11 - Sale <sup>25</sup>
	10.000	49.00	08/27/20	156.75	107.75 W	0.00	3 of 11 - Sale <sup>25</sup>
	12.000	58.80	08/27/20	178.99	120.19 W	0.00	4 of 11 - Sale <sup>25</sup>
	12.000	58.80	08/27/20	206.47	147.67 W	0.00	5 of 11 - Sale <sup>25</sup>
	10.000	49.00	08/27/20	164.47	115.47 W	0.00	6 of 11 - Sale <sup>25</sup>
	10.000	49.00	08/27/20	159.05	110.05 W	0.00	7 of 11 - Sale <sup>25</sup>
	18.000	88.19	08/27/20	288.30	200.11 W	0.00	8 of 11 - Sale <sup>25</sup>
	50.000	244.98	08/27/20	875.33	630.35 W	0.00	9 of 11 - Sale <sup>25</sup>
	50.000	244.99	08/28/20	853.06	608.07 W	0.00	10 of 11 - Sale <sup>25</sup>
	50.000	244.98	08/28/20	824.07	579.09 W	0.00	11 of 11 - Sale <sup>25</sup>
09/10/20	300.000	1,469.92	Various	4,976.52	3,506.60 W	0.00	Total of 11 transactions
	12 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	50.000	375.99	09/01/20	276.25	...	99.74	1 of 12 - Sale <sup>25</sup>
	50.000	375.99	09/01/20	263.75	...	112.24	2 of 12 - Sale <sup>25</sup>
	50.000	375.98	09/01/20	263.25	...	112.73	3 of 12 - Sale <sup>25</sup>
	50.000	375.98	09/01/20	260.25	...	115.73	4 of 12 - Sale <sup>25</sup>
	200.000	1,501.94	09/02/20	1,157.00	...	344.94	5 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	100.000	727.04	09/03/20	577.00	...	150.04	6 of 12 - Sale <sup>25</sup>
	100.000	727.04	09/03/20	576.98	...	150.06	7 of 12 - Sale <sup>25</sup>
	100.000	727.04	09/04/20	482.50	...	244.54	8 of 12 - Sale <sup>25</sup>
	100.000	727.04	09/04/20	471.00	...	256.04	9 of 12 - Sale <sup>25</sup>
	35.000	254.47	09/10/20	171.33	...	83.14	10 of 12 - Sale <sup>25</sup>
	65.000	472.58	09/10/20	318.50	...	154.08	11 of 12 - Sale <sup>25</sup>
	200.000	1,454.09	09/10/20	980.00	...	474.09	12 of 12 - Sale <sup>25</sup>
09/15/20	1,100.000	8,095.18	Various	5,797.81	...	2,297.37	Total of 12 transactions
	35 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	50.000	375.98	08/28/20	878.32	502.34 W	0.00	1 of 35 - Sale <sup>25</sup>
	28.000	210.55	08/28/20	484.16	273.61 W	0.00	2 of 35 - Sale <sup>25</sup>
	10.000	75.20	08/28/20	168.60	93.40 W	0.00	3 of 35 - Sale <sup>25</sup>
	12.000	90.24	08/28/20	193.21	102.97 W	0.00	4 of 35 - Sale <sup>25</sup>
	50.000	375.99	08/28/20	934.35	558.36 W	0.00	5 of 35 - Sale <sup>25</sup>
	12.000	90.24	08/28/20	220.63	130.39 W	0.00	6 of 35 - Sale <sup>25</sup>
	10.000	75.20	08/28/20	176.27	101.07 W	0.00	7 of 35 - Sale <sup>25</sup>
	10.000	75.20	08/28/20	170.85	95.65 W	0.00	8 of 35 - Sale <sup>25</sup>
	18.000	135.35	08/28/20	309.55	174.20 W	0.00	9 of 35 - Sale <sup>25</sup>
	50.000	375.98	09/01/20	888.97	512.99 W	0.00	10 of 35 - Sale <sup>25</sup>
	50.000	375.98	09/01/20	859.99	484.01 W	0.00	11 of 35 - Sale <sup>25</sup>
	28.000	210.27	09/02/20	410.11	199.84 W	0.00	12 of 35 - Sale <sup>25</sup>
	10.000	75.10	09/02/20	142.15	67.05 W	0.00	13 of 35 - Sale <sup>25</sup>
	12.000	90.12	09/02/20	161.47	71.35 W	0.00	14 of 35 - Sale <sup>25</sup>
	50.000	375.48	09/02/20	746.09	370.61 W	0.00	15 of 35 - Sale <sup>25</sup>
	50.000	375.49	09/02/20	806.11	430.62 W	0.00	16 of 35 - Sale <sup>25</sup>
	12.000	90.12	09/02/20	189.85	99.73 W	0.00	17 of 35 - Sale <sup>25</sup>
	10.000	75.10	09/02/20	150.62	75.52 W	0.00	18 of 35 - Sale <sup>25</sup>
	10.000	75.10	09/02/20	145.20	70.10 W	0.00	19 of 35 - Sale <sup>25</sup>
	18.000	135.17	09/02/20	263.39	128.22 W	0.00	20 of 35 - Sale <sup>25</sup>
	50.000	375.48	09/02/20	758.99	383.51 W	0.00	21 of 35 - Sale <sup>25</sup>
	50.000	375.48	09/02/20	730.01	354.53 W	0.00	22 of 35 - Sale <sup>25</sup>
	28.000	203.57	09/03/20	361.40	157.83 W	0.00	23 of 35 - Sale <sup>25</sup>
	10.000	72.70	09/03/20	124.75	52.05 W	0.00	24 of 35 - Sale <sup>25</sup>
	12.000	87.24	09/03/20	140.59	53.35 W	0.00	25 of 35 - Sale <sup>25</sup>

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Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	35.000	254.46	09/03/20	461.38	206.92 W	0.00	26 of 35 - Sale <sup>25</sup>
	50.000	363.52	09/03/20	719.12	355.60 W	0.00	27 of 35 - Sale <sup>25</sup>
	12.000	87.25	09/03/20	168.97	81.72 W	0.00	28 of 35 - Sale <sup>25</sup>
	10.000	72.70	09/03/20	133.22	60.52 W	0.00	29 of 35 - Sale <sup>25</sup>
	15.000	109.06	09/03/20	197.73	88.67 W	0.00	30 of 35 - Sale <sup>25</sup>
	3.000	21.81	09/03/20	38.68	16.87 W	0.00	31 of 35 - Sale <sup>25</sup>
	10.000	72.70	09/03/20	127.80	55.10 W	0.00	32 of 35 - Sale <sup>25</sup>
	50.000	363.52	09/03/20	672.00	308.48 W	0.00	33 of 35 - Sale <sup>25</sup>
	50.000	363.52	09/03/20	643.02	279.50 W	0.00	34 of 35 - Sale <sup>25</sup>
	15.000	109.06	09/03/20	193.40	84.34 W	0.00	35 of 35 - Sale <sup>25</sup>
09/15/20	900.000	6,689.93	Various	13,770.95	7,081.02 W	0.00	Total of 35 transactions
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	5.000	39.33	09/16/20	34.39	...	4.94	1 of 2 - Sale <sup>25</sup>
	195.000	1,533.62	09/16/20	1,341.40	...	192.22	2 of 2 - Sale <sup>25</sup>
09/17/20	200.000	1,572.95	Various	1,375.79	...	197.16	Total of 2 transactions
53 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	7.86	09/15/20	12.88	5.02 W	0.00	1 of 53 - Sale <sup>25</sup>
	27.000	212.21	09/15/20	330.65	118.44 W	0.00	2 of 53 - Sale <sup>25</sup>
	10.000	78.60	09/15/20	118.15	39.55 W	0.00	3 of 53 - Sale <sup>25</sup>
	12.000	94.32	09/15/20	132.67	38.35 W	0.00	4 of 53 - Sale <sup>25</sup>
	15.000	117.89	09/15/20	205.81	87.92 W	0.00	5 of 53 - Sale <sup>25</sup>
	35.000	275.09	09/15/20	438.24	163.15 W	0.00	6 of 53 - Sale <sup>25</sup>
	35.000	275.26	09/15/20	478.14	202.88 W	0.00	7 of 53 - Sale <sup>25</sup>
	12.000	94.38	09/15/20	160.30	65.92 W	0.00	8 of 53 - Sale <sup>25</sup>
	3.000	23.59	09/15/20	37.81	14.22 W	0.00	9 of 53 - Sale <sup>25</sup>
	15.000	117.97	09/15/20	189.14	71.17 W	0.00	10 of 53 - Sale <sup>25</sup>
	7.000	55.05	09/15/20	87.44	32.39 W	0.00	11 of 53 - Sale <sup>25</sup>
	15.000	117.97	09/15/20	185.27	67.30 W	0.00	12 of 53 - Sale <sup>25</sup>
	3.000	23.59	09/15/20	36.19	12.60 W	0.00	13 of 53 - Sale <sup>25</sup>
	10.000	78.65	09/15/20	119.50	40.85 W	0.00	14 of 53 - Sale <sup>25</sup>
	35.000	275.27	09/16/20	442.68	167.41 W	0.00	15 of 53 - Sale <sup>25</sup>
	15.000	117.97	09/16/20	181.02	63.05 W	0.00	16 of 53 - Sale <sup>25</sup>
	15.000	117.97	09/16/20	187.65	69.68 W	0.00	17 of 53 - Sale <sup>25</sup>
	1.000	7.86	09/16/20	11.67	3.81 W	0.00	18 of 53 - Sale <sup>25</sup>

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
27.000		212.36	09/16/20	297.95	85.59 W	0.00	19 of 53 - Sale <sup>25</sup>
7.000		55.05	09/16/20	74.22	19.17 W	0.00	20 of 53 - Sale <sup>25</sup>
35.000		275.26	09/16/20	435.57	160.31 W	0.00	21 of 53 - Sale <sup>25</sup>
15.000		117.97	09/16/20	170.90	52.93 W	0.00	22 of 53 - Sale <sup>25</sup>
3.000		23.59	09/16/20	31.81	8.22 W	0.00	23 of 53 - Sale <sup>25</sup>
12.000		94.38	09/16/20	118.13	23.75 W	0.00	24 of 53 - Sale <sup>25</sup>
35.000		275.27	09/16/20	428.34	153.07 W	0.00	25 of 53 - Sale <sup>25</sup>
12.000		94.38	09/16/20	145.70	51.32 W	0.00	26 of 53 - Sale <sup>25</sup>
3.000		23.59	09/16/20	34.16	10.57 W	0.00	27 of 53 - Sale <sup>25</sup>
7.000		55.05	09/16/20	78.93	23.88 W	0.00	28 of 53 - Sale <sup>25</sup>
15.000		117.97	09/16/20	167.02	49.05 W	0.00	29 of 53 - Sale <sup>25</sup>
35.000		275.27	09/16/20	395.84	120.57 W	0.00	30 of 53 - Sale <sup>25</sup>
3.000		23.59	09/16/20	32.55	8.96 W	0.00	31 of 53 - Sale <sup>25</sup>
10.000		78.65	09/16/20	107.33	28.68 W	0.00	32 of 53 - Sale <sup>25</sup>
15.000		117.97	09/16/20	184.06	66.09 W	0.00	33 of 53 - Sale <sup>25</sup>
15.000		117.97	09/16/20	172.86	54.89 W	0.00	34 of 53 - Sale <sup>25</sup>
1.000		7.86	09/16/20	10.69	2.83 W	0.00	35 of 53 - Sale <sup>25</sup>
27.000		212.35	09/16/20	271.32	58.97 W	0.00	36 of 53 - Sale <sup>25</sup>
33.000		259.54	09/16/20	384.85	125.31 W	0.00	37 of 53 - Sale <sup>25</sup>
35.000		275.26	09/16/20	401.07	125.81 W	0.00	38 of 53 - Sale <sup>25</sup>
15.000		117.97	09/16/20	156.11	38.14 W	0.00	39 of 53 - Sale <sup>25</sup>
3.000		23.59	09/16/20	28.86	5.27 W	0.00	40 of 53 - Sale <sup>25</sup>
7.000		55.05	09/16/20	67.32	12.27 W	0.00	41 of 53 - Sale <sup>25</sup>
2.000		15.73	09/16/20	23.32	7.59 W	0.00	42 of 53 - Sale <sup>25</sup>
12.000		94.38	09/16/20	106.30	11.92 W	0.00	43 of 53 - Sale <sup>25</sup>
15.000		117.97	09/16/20	166.23	48.26 W	0.00	44 of 53 - Sale <sup>25</sup>
35.000		275.27	09/16/20	393.83	118.56 W	0.00	45 of 53 - Sale <sup>25</sup>
12.000		94.38	09/16/20	133.87	39.49 W	0.00	46 of 53 - Sale <sup>25</sup>
3.000		23.59	09/16/20	31.21	7.62 W	0.00	47 of 53 - Sale <sup>25</sup>
7.000		55.05	09/16/20	72.03	16.98 W	0.00	48 of 53 - Sale <sup>25</sup>
15.000		117.97	09/16/20	152.23	34.26 W	0.00	49 of 53 - Sale <sup>25</sup>
35.000		275.26	09/16/20	361.33	86.07 W	0.00	50 of 53 - Sale <sup>25</sup>
3.000		23.59	09/16/20	29.60	6.01 W	0.00	51 of 53 - Sale <sup>25</sup>
10.000		78.65	09/16/20	97.47	18.82 W	0.00	52 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	15.000	117.97	09/16/20	169.27	51.30 W	0.00	53 of 53 - Sale <sup>25</sup>
09/17/20	800.000	6,291.25	Various	9,287.49	2,996.24 W	0.00	Total of 53 transactions
	26 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	7.97	09/17/20	11.45	3.48 W	0.00	1 of 26 - Sale <sup>25</sup>
	14.000	111.59	09/17/20	160.40	48.81 W	0.00	2 of 26 - Sale <sup>25</sup>
	24.000	191.30	09/17/20	273.42	82.12 W	0.00	3 of 26 - Sale <sup>25</sup>
	1.000	7.97	09/17/20	10.63	2.66 W	0.00	4 of 26 - Sale <sup>25</sup>
	27.000	215.21	09/17/20	269.52	54.31 W	0.00	5 of 26 - Sale <sup>25</sup>
	33.000	263.04	09/17/20	382.65	119.61 W	0.00	6 of 26 - Sale <sup>25</sup>
	11.000	87.68	09/17/20	127.42	39.74 W	0.00	7 of 26 - Sale <sup>25</sup>
	15.000	119.56	09/17/20	157.97	38.41 W	0.00	8 of 26 - Sale <sup>25</sup>
	2.000	15.94	09/17/20	23.57	7.63 W	0.00	9 of 26 - Sale <sup>25</sup>
	3.000	23.91	09/17/20	29.24	5.33 W	0.00	10 of 26 - Sale <sup>25</sup>
	7.000	55.80	09/17/20	68.19	12.39 W	0.00	11 of 26 - Sale <sup>25</sup>
	12.000	95.65	09/17/20	107.79	12.14 W	0.00	12 of 26 - Sale <sup>25</sup>
	15.000	119.56	09/17/20	168.09	48.53 W	0.00	13 of 26 - Sale <sup>25</sup>
	35.000	278.98	09/17/20	398.17	119.19 W	0.00	14 of 26 - Sale <sup>25</sup>
	12.000	95.65	09/17/20	135.36	39.71 W	0.00	15 of 26 - Sale <sup>25</sup>
	3.000	23.91	09/17/20	31.59	7.68 W	0.00	16 of 26 - Sale <sup>25</sup>
	7.000	55.80	09/17/20	72.90	17.10 W	0.00	17 of 26 - Sale <sup>25</sup>
	28.000	223.19	09/17/20	292.55	69.36 W	0.00	18 of 26 - Sale <sup>25</sup>
	7.000	55.80	09/17/20	73.13	17.33 W	0.00	19 of 26 - Sale <sup>25</sup>
	15.000	119.56	09/17/20	154.09	34.53 W	0.00	20 of 26 - Sale <sup>25</sup>
	3.000	23.91	09/17/20	29.98	6.07 W	0.00	21 of 26 - Sale <sup>25</sup>
	10.000	79.71	09/17/20	98.71	19.00 W	0.00	22 of 26 - Sale <sup>25</sup>
	15.000	119.56	09/17/20	171.13	51.57 W	0.00	23 of 26 - Sale <sup>25</sup>
	50.000	398.55	09/17/20	399.45	0.90 W	0.00	24 of 26 - Sale <sup>25</sup>
	150.000	1,195.64	09/17/20	1,204.34	8.70 W	0.00	25 of 26 - Sale <sup>25</sup>
	100.000	797.10	09/17/20	807.78	10.68 W	0.00	26 of 26 - Sale <sup>25</sup>
09/21/20	600.000	4,782.54	Various	5,659.52	876.98 W	0.00	Total of 26 transactions
	4 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	100.000	873.03	09/21/20	871.49	...	1.54	1 of 4 - Sale <sup>25</sup>
	50.000	436.52	09/21/20	413.70	...	22.82	2 of 4 - Sale <sup>25</sup>
	400.000	3,492.13	09/21/20	3,443.24	...	48.89	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
09/22/20	150.000	1,309.55	09/21/20	1,247.10	...	62.45	4 of 4 - Sale <sup>25</sup>
	700.000	6,111.23	Various	5,975.53	...	135.70	Total of 4 transactions
	24 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	1.000	8.73	09/21/20	11.46	2.73 W	0.00	1 of 24 - Sale <sup>25</sup>
	1.000	8.73	09/21/20	11.73	3.00 W	0.00	2 of 24 - Sale <sup>25</sup>
	14.000	122.22	09/21/20	162.48	40.26 W	0.00	3 of 24 - Sale <sup>25</sup>
	24.000	209.53	09/21/20	276.99	67.46 W	0.00	4 of 24 - Sale <sup>25</sup>
	1.000	8.73	09/21/20	10.78	2.05 W	0.00	5 of 24 - Sale <sup>25</sup>
	27.000	235.72	09/21/20	273.54	37.82 W	0.00	6 of 24 - Sale <sup>25</sup>
	33.000	288.10	09/21/20	387.55	99.45 W	0.00	7 of 24 - Sale <sup>25</sup>
	10.000	87.30	09/21/20	118.09	30.79 W	0.00	8 of 24 - Sale <sup>25</sup>
	15.000	130.95	09/21/20	161.35	30.40 W	0.00	9 of 24 - Sale <sup>25</sup>
	2.000	17.46	09/21/20	24.02	6.56 W	0.00	10 of 24 - Sale <sup>25</sup>
	3.000	26.19	09/21/20	29.92	3.73 W	0.00	11 of 24 - Sale <sup>25</sup>
	7.000	61.11	09/21/20	69.76	8.65 W	0.00	12 of 24 - Sale <sup>25</sup>
	12.000	104.76	09/21/20	110.50	5.74 W	0.00	13 of 24 - Sale <sup>25</sup>
	15.000	130.95	09/21/20	171.48	40.53 W	0.00	14 of 24 - Sale <sup>25</sup>
	35.000	305.56	09/21/20	406.06	100.50 W	0.00	15 of 24 - Sale <sup>25</sup>
	12.000	104.76	09/21/20	138.07	33.31 W	0.00	16 of 24 - Sale <sup>25</sup>
	3.000	26.19	09/21/20	32.27	6.08 W	0.00	17 of 24 - Sale <sup>25</sup>
	7.000	61.11	09/21/20	74.47	13.36 W	0.00	18 of 24 - Sale <sup>25</sup>
	28.000	244.45	09/21/20	298.86	54.41 W	0.00	19 of 24 - Sale <sup>25</sup>
	7.000	61.11	09/21/20	75.12	14.01 W	0.00	20 of 24 - Sale <sup>25</sup>
	15.000	130.95	09/21/20	158.37	27.42 W	0.00	21 of 24 - Sale <sup>25</sup>
	3.000	26.19	09/21/20	30.84	4.65 W	0.00	22 of 24 - Sale <sup>25</sup>
	10.000	87.30	09/21/20	101.56	14.26 W	0.00	23 of 24 - Sale <sup>25</sup>
	15.000	130.95	09/21/20	175.41	44.46 W	0.00	24 of 24 - Sale <sup>25</sup>
09/22/20	300.000	2,619.05	Various	3,310.68	691.63 W	0.00	Total of 24 transactions
	10 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	5.000	36.30	09/24/20	33.00	...	3.30	1 of 10 - Sale <sup>25</sup>
	20.000	145.20	09/24/20	132.00	...	13.20	2 of 10 - Sale <sup>25</sup>
	25.000	181.49	09/24/20	163.00	...	18.49	3 of 10 - Sale <sup>25</sup>
	100.000	725.98	09/24/20	687.78	...	38.20	4 of 10 - Sale <sup>25</sup>
	50.000	362.99	09/24/20	323.35	...	39.64	5 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	100.000	725.97	09/24/20	667.63	...	58.34	6 of 10 - Sale <sup>25</sup>
	100.000	725.98	09/24/20	662.78	...	63.20	7 of 10 - Sale <sup>25</sup>
	1.000	7.26	09/29/20	6.96	...	0.30	8 of 10 - Sale <sup>25</sup>
	99.000	718.72	09/29/20	688.93	...	29.79	9 of 10 - Sale <sup>25</sup>
	50.000	362.99	10/01/20	328.89	...	34.10	10 of 10 - Sale <sup>25</sup>
10/02/20	550.000	3,992.88	Various	3,694.32	...	298.56	Total of 10 transactions
	29 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	7.26	09/22/20	11.47	4.21 W	0.00	1 of 29 - Sale <sup>25</sup>
	1.000	7.26	09/22/20	11.72	4.46 W	0.00	2 of 29 - Sale <sup>25</sup>
	14.000	101.64	09/22/20	162.34	60.70 W	0.00	3 of 29 - Sale <sup>25</sup>
	24.000	174.24	09/22/20	276.74	102.50 W	0.00	4 of 29 - Sale <sup>25</sup>
	1.000	7.26	09/22/20	10.77	3.51 W	0.00	5 of 29 - Sale <sup>25</sup>
	9.000	65.34	09/22/20	91.09	25.75 W	0.00	6 of 29 - Sale <sup>25</sup>
	18.000	130.68	09/22/20	181.09	50.41 W	0.00	7 of 29 - Sale <sup>25</sup>
	7.000	50.82	09/22/20	81.72	30.90 W	0.00	8 of 29 - Sale <sup>25</sup>
	25.000	181.49	09/23/20	280.79	99.30 W	0.00	9 of 29 - Sale <sup>25</sup>
	10.000	72.60	09/23/20	111.97	39.37 W	0.00	10 of 29 - Sale <sup>25</sup>
	4.000	29.04	09/23/20	40.58	11.54 W	0.00	11 of 29 - Sale <sup>25</sup>
	1.000	7.26	09/23/20	11.13	3.87 W	0.00	12 of 29 - Sale <sup>25</sup>
	11.000	79.86	09/23/20	114.02	34.16 W	0.00	13 of 29 - Sale <sup>25</sup>
	2.000	14.52	09/23/20	23.24	8.72 W	0.00	14 of 29 - Sale <sup>25</sup>
	3.000	21.78	09/23/20	28.75	6.97 W	0.00	15 of 29 - Sale <sup>25</sup>
	7.000	50.82	09/23/20	67.02	16.20 W	0.00	16 of 29 - Sale <sup>25</sup>
	12.000	87.12	09/23/20	132.48	45.36 W	0.00	17 of 29 - Sale <sup>25</sup>
	3.000	21.78	09/23/20	30.19	8.41 W	0.00	18 of 29 - Sale <sup>25</sup>
	12.000	87.12	09/23/20	94.05	6.93 W	0.00	19 of 29 - Sale <sup>25</sup>
	35.000	254.09	09/23/20	358.06	103.97 W	0.00	20 of 29 - Sale <sup>25</sup>
	12.000	87.12	09/23/20	121.50	34.38 W	0.00	21 of 29 - Sale <sup>25</sup>
	3.000	21.78	09/23/20	28.13	6.35 W	0.00	22 of 29 - Sale <sup>25</sup>
	7.000	50.82	09/23/20	64.80	13.98 W	0.00	23 of 29 - Sale <sup>25</sup>
	28.000	203.27	09/23/20	260.18	56.91 W	0.00	24 of 29 - Sale <sup>25</sup>
	7.000	50.82	09/23/20	65.45	14.63 W	0.00	25 of 29 - Sale <sup>25</sup>
	15.000	108.90	09/23/20	137.66	28.76 W	0.00	26 of 29 - Sale <sup>25</sup>
	3.000	21.78	09/23/20	26.70	4.92 W	0.00	27 of 29 - Sale <sup>25</sup>

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Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	10.000	72.60	09/23/20	87.75	15.15 W	0.00	28 of 29 - Sale <sup>25</sup>
	15.000	108.90	09/23/20	154.69	45.79 W	0.00	29 of 29 - Sale <sup>25</sup>
10/02/20	300.000	2,177.97	Various	3,066.08	888.11 W	0.00	Total of 29 transactions
	6 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	50.000	362.04	10/05/20	346.99	...	15.05	1 of 6 - Sale <sup>25</sup>
	50.000	362.04	10/05/20	335.45	...	26.59	2 of 6 - Sale <sup>25</sup>
	5.000	36.20	10/06/20	34.09	...	2.11	3 of 6 - Sale <sup>25</sup>
	20.000	144.82	10/06/20	136.33	...	8.49	4 of 6 - Sale <sup>25</sup>
	25.000	181.02	10/06/20	170.17	...	10.85	5 of 6 - Sale <sup>25</sup>
	50.000	362.04	10/06/20	343.50	...	18.54	6 of 6 - Sale <sup>25</sup>
10/08/20	200.000	1,448.16	Various	1,366.53	...	81.63	Total of 6 transactions
	29 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	7.24	10/02/20	11.48	4.24 W	0.00	1 of 29 - Sale <sup>25</sup>
	1.000	7.24	10/02/20	11.73	4.49 W	0.00	2 of 29 - Sale <sup>25</sup>
	14.000	101.37	10/02/20	162.45	61.08 W	0.00	3 of 29 - Sale <sup>25</sup>
	24.000	173.78	10/02/20	276.93	103.15 W	0.00	4 of 29 - Sale <sup>25</sup>
	10.000	72.41	10/02/20	112.05	39.64 W	0.00	5 of 29 - Sale <sup>25</sup>
	1.000	7.24	10/02/20	10.78	3.54 W	0.00	6 of 29 - Sale <sup>25</sup>
	9.000	65.17	10/02/20	91.16	25.99 W	0.00	7 of 29 - Sale <sup>25</sup>
	18.000	130.33	10/02/20	181.24	50.91 W	0.00	8 of 29 - Sale <sup>25</sup>
	4.000	28.96	10/02/20	40.61	11.65 W	0.00	9 of 29 - Sale <sup>25</sup>
	11.000	79.65	10/02/20	114.11	34.46 W	0.00	10 of 29 - Sale <sup>25</sup>
	7.000	50.69	10/02/20	81.78	31.09 W	0.00	11 of 29 - Sale <sup>25</sup>
	1.000	7.24	10/02/20	11.14	3.90 W	0.00	12 of 29 - Sale <sup>25</sup>
	2.000	14.48	10/02/20	23.26	8.78 W	0.00	13 of 29 - Sale <sup>25</sup>
	3.000	21.72	10/02/20	28.77	7.05 W	0.00	14 of 29 - Sale <sup>25</sup>
	7.000	50.69	10/02/20	67.08	16.39 W	0.00	15 of 29 - Sale <sup>25</sup>
	25.000	181.02	10/02/20	281.00	99.98 W	0.00	16 of 29 - Sale <sup>25</sup>
	3.000	21.72	10/02/20	30.21	8.49 W	0.00	17 of 29 - Sale <sup>25</sup>
	12.000	86.89	10/02/20	132.58	45.69 W	0.00	18 of 29 - Sale <sup>25</sup>
	12.000	86.89	10/02/20	94.15	7.26 W	0.00	19 of 29 - Sale <sup>25</sup>
	35.000	253.43	10/02/20	358.35	104.92 W	0.00	20 of 29 - Sale <sup>25</sup>
	12.000	86.89	10/05/20	114.89	28.00 W	0.00	21 of 29 - Sale <sup>25</sup>
	3.000	21.72	10/05/20	26.48	4.76 W	0.00	22 of 29 - Sale <sup>25</sup>

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(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	7.000	50.69	10/05/20	60.94	10.25 W	0.00	23 of 29 - Sale <sup>25</sup>
	7.000	50.69	10/05/20	61.59	10.90 W	0.00	24 of 29 - Sale <sup>25</sup>
	15.000	108.61	10/05/20	129.39	20.78 W	0.00	25 of 29 - Sale <sup>25</sup>
	28.000	202.74	10/05/20	244.76	42.02 W	0.00	26 of 29 - Sale <sup>25</sup>
	3.000	21.72	10/05/20	25.05	3.33 W	0.00	27 of 29 - Sale <sup>25</sup>
	10.000	72.41	10/05/20	82.24	9.83 W	0.00	28 of 29 - Sale <sup>25</sup>
	15.000	108.61	10/05/20	146.42	37.81 W	0.00	29 of 29 - Sale <sup>25</sup>
10/08/20	300.000	2,172.24	Various	3,012.62	840.38 W	0.00	Total of 29 transactions
	32 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	1.000	5.83	10/13/20	12.42	6.59 W	0.00	1 of 32 - Sale <sup>25</sup>
	1.000	5.83	10/13/20	12.67	6.84 W	0.00	2 of 32 - Sale <sup>25</sup>
	14.000	81.62	10/13/20	175.57	93.95 W	0.00	3 of 32 - Sale <sup>25</sup>
	24.000	139.92	10/13/20	299.43	159.51 W	0.00	4 of 32 - Sale <sup>25</sup>
	10.000	58.30	10/13/20	121.42	63.12 W	0.00	5 of 32 - Sale <sup>25</sup>
	1.000	5.83	10/13/20	11.72	5.89 W	0.00	6 of 32 - Sale <sup>25</sup>
	9.000	52.47	10/13/20	99.59	47.12 W	0.00	7 of 32 - Sale <sup>25</sup>
	18.000	104.94	10/13/20	198.12	93.18 W	0.00	8 of 32 - Sale <sup>25</sup>
	4.000	23.32	10/13/20	44.36	21.04 W	0.00	9 of 32 - Sale <sup>25</sup>
	11.000	64.13	10/13/20	124.42	60.29 W	0.00	10 of 32 - Sale <sup>25</sup>
	7.000	40.81	10/13/20	88.34	47.53 W	0.00	11 of 32 - Sale <sup>25</sup>
	1.000	5.83	10/13/20	12.08	6.25 W	0.00	12 of 32 - Sale <sup>25</sup>
	2.000	11.66	10/13/20	25.14	13.48 W	0.00	13 of 32 - Sale <sup>25</sup>
	3.000	17.49	10/13/20	31.58	14.09 W	0.00	14 of 32 - Sale <sup>25</sup>
	7.000	40.81	10/13/20	73.63	32.82 W	0.00	15 of 32 - Sale <sup>25</sup>
	25.000	145.75	10/13/20	304.43	158.68 W	0.00	16 of 32 - Sale <sup>25</sup>
	12.000	69.96	10/13/20	143.83	73.87 W	0.00	17 of 32 - Sale <sup>25</sup>
	3.000	17.49	10/13/20	31.46	13.97 W	0.00	18 of 32 - Sale <sup>25</sup>
	12.000	69.96	10/13/20	99.12	29.16 W	0.00	19 of 32 - Sale <sup>25</sup>
	35.000	204.04	10/13/20	372.84	168.80 W	0.00	20 of 32 - Sale <sup>25</sup>
	12.000	69.96	10/13/20	120.00	50.04 W	0.00	21 of 32 - Sale <sup>25</sup>
	3.000	17.49	10/13/20	27.76	10.27 W	0.00	22 of 32 - Sale <sup>25</sup>
	7.000	40.81	10/13/20	63.92	23.11 W	0.00	23 of 32 - Sale <sup>25</sup>
	28.000	163.23	10/13/20	256.68	93.45 W	0.00	24 of 32 - Sale <sup>25</sup>
	7.000	40.81	10/13/20	64.31	23.50 W	0.00	25 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	15.000	87.45	10/13/20	135.23	47.78 W	0.00	26 of 32 - Sale <sup>25</sup>
	3.000	17.49	10/13/20	26.22	8.73 W	0.00	27 of 32 - Sale <sup>25</sup>
	10.000	58.30	10/13/20	86.13	27.83 W	0.00	28 of 32 - Sale <sup>25</sup>
	15.000	87.45	10/13/20	152.26	64.81 W	0.00	29 of 32 - Sale <sup>25</sup>
	50.000	291.49	10/14/20	346.89	55.40 W	0.00	30 of 32 - Sale <sup>25</sup>
	50.000	291.48	10/14/20	347.00	55.52 W	0.00	31 of 32 - Sale <sup>25</sup>
	100.000	582.97	10/14/20	694.89	111.92 W	0.00	32 of 32 - Sale <sup>25</sup>
11/18/20	500.000	2,914.92	Various	4,603.46	1,688.54 W	0.00	Total of 32 transactions
	8 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	25.000	156.80	10/16/20	154.24	...	2.56	1 of 8 - Sale <sup>25</sup>
	25.000	156.80	10/16/20	154.23	...	2.57	2 of 8 - Sale <sup>25</sup>
	50.000	313.60	10/16/20	308.50	...	5.10	3 of 8 - Sale <sup>25</sup>
	40.000	250.88	11/09/20	150.00	...	100.88	4 of 8 - Sale <sup>25</sup>
	100.000	627.19	11/09/20	486.92	...	140.27	5 of 8 - Sale <sup>25</sup>
	60.000	376.32	11/09/20	225.00	...	151.32	6 of 8 - Sale <sup>25</sup>
	93.000	583.29	11/09/20	348.75	...	234.54	7 of 8 - Sale <sup>25</sup>
	107.000	671.10	11/09/20	401.25	...	269.85	8 of 8 - Sale <sup>25</sup>
11/23/20	500.000	3,135.98	Various	2,228.89	...	907.09	Total of 8 transactions
	36 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	25.000	156.80	10/15/20	165.19	8.39 W	0.00	1 of 36 - Sale <sup>25</sup>
	25.000	156.80	10/15/20	165.22	8.42 W	0.00	2 of 36 - Sale <sup>25</sup>
	50.000	313.60	10/15/20	321.89	8.29 W	0.00	3 of 36 - Sale <sup>25</sup>
	1.000	6.27	10/19/20	12.34	6.07 W	0.00	4 of 36 - Sale <sup>25</sup>
	1.000	6.27	10/19/20	12.59	6.32 W	0.00	5 of 36 - Sale <sup>25</sup>
	14.000	87.81	10/19/20	174.45	86.64 W	0.00	6 of 36 - Sale <sup>25</sup>
	24.000	150.53	10/19/20	297.51	146.98 W	0.00	7 of 36 - Sale <sup>25</sup>
	10.000	62.72	10/19/20	120.62	57.90 W	0.00	8 of 36 - Sale <sup>25</sup>
	1.000	6.27	10/21/20	11.17	4.90 W	0.00	9 of 36 - Sale <sup>25</sup>
	9.000	56.45	10/21/20	94.64	38.19 W	0.00	10 of 36 - Sale <sup>25</sup>
	18.000	112.89	10/21/20	188.22	75.33 W	0.00	11 of 36 - Sale <sup>25</sup>
	4.000	25.09	10/21/20	42.16	17.07 W	0.00	12 of 36 - Sale <sup>25</sup>
	11.000	68.99	10/21/20	118.37	49.38 W	0.00	13 of 36 - Sale <sup>25</sup>
	7.000	43.90	10/21/20	84.49	40.59 W	0.00	14 of 36 - Sale <sup>25</sup>
	1.000	6.27	10/23/20	11.85	5.58 W	0.00	15 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	12.54	10/23/20	24.68	12.14 W	0.00	16 of 36 - Sale <sup>25</sup>
	3.000	18.82	10/23/20	30.89	12.07 W	0.00	17 of 36 - Sale <sup>25</sup>
	7.000	43.90	10/23/20	72.02	28.12 W	0.00	18 of 36 - Sale <sup>25</sup>
	25.000	156.80	10/23/20	298.68	141.88 W	0.00	19 of 36 - Sale <sup>25</sup>
	12.000	75.26	10/23/20	141.07	65.81 W	0.00	20 of 36 - Sale <sup>25</sup>
	12.000	75.26	10/29/20	92.04	16.78 W	0.00	21 of 36 - Sale <sup>25</sup>
	13.000	81.54	10/29/20	130.82	49.28 W	0.00	22 of 36 - Sale <sup>25</sup>
	3.000	18.82	10/29/20	28.87	10.05 W	0.00	23 of 36 - Sale <sup>25</sup>
	22.000	137.98	10/29/20	215.33	77.35 W	0.00	24 of 36 - Sale <sup>25</sup>
	12.000	75.26	11/03/20	116.63	41.37 W	0.00	25 of 36 - Sale <sup>25</sup>
	3.000	18.82	11/03/20	26.92	8.10 W	0.00	26 of 36 - Sale <sup>25</sup>
	7.000	43.90	11/03/20	61.95	18.05 W	0.00	27 of 36 - Sale <sup>25</sup>
	7.000	43.90	11/03/20	62.34	18.44 W	0.00	28 of 36 - Sale <sup>25</sup>
	15.000	94.08	11/03/20	131.01	36.93 W	0.00	29 of 36 - Sale <sup>25</sup>
	28.000	175.61	11/03/20	248.82	73.21 W	0.00	30 of 36 - Sale <sup>25</sup>
	3.000	18.82	11/03/20	25.38	6.56 W	0.00	31 of 36 - Sale <sup>25</sup>
	10.000	62.72	11/03/20	83.32	20.60 W	0.00	32 of 36 - Sale <sup>25</sup>
	15.000	94.08	11/03/20	148.04	53.96 W	0.00	33 of 36 - Sale <sup>25</sup>
	50.000	313.60	11/05/20	321.80	8.20 W	0.00	34 of 36 - Sale <sup>25</sup>
	50.000	313.60	11/05/20	321.92	8.32 W	0.00	35 of 36 - Sale <sup>25</sup>
	200.000	1,254.38	11/20/20	1,267.68	13.30 W	0.00	36 of 36 - Sale <sup>25</sup>
11/23/20	700.000	4,390.35	Various	5,670.92	1,280.57 W	0.00	Total of 36 transactions
	10 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	15.000	102.59	11/23/20	158.92	...	-56.33	1 of 10 - Sale <sup>25</sup>
	15.000	102.59	11/23/20	141.89	...	-39.30	2 of 10 - Sale <sup>25</sup>
	10.000	68.39	11/23/20	90.57	...	-22.18	3 of 10 - Sale <sup>25</sup>
	7.000	47.88	11/23/20	67.42	...	-19.54	4 of 10 - Sale <sup>25</sup>
	50.000	341.48	11/23/20	358.20	...	-16.72	5 of 10 - Sale <sup>25</sup>
	50.000	341.49	11/23/20	358.07	...	-16.58	6 of 10 - Sale <sup>25</sup>
	3.000	20.52	11/23/20	27.55	...	-7.03	7 of 10 - Sale <sup>25</sup>
	25.000	170.99	11/23/20	164.34	...	6.65	8 of 10 - Sale <sup>25</sup>
	25.000	170.99	11/23/20	164.32	...	6.67	9 of 10 - Sale <sup>25</sup>
	50.000	341.99	11/23/20	320.14	...	21.85	10 of 10 - Sale <sup>25</sup>
11/24/20	250.000	1,708.91	Various	1,851.42	...	-142.51	Total of 10 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
25 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	6.84	11/23/20	12.55	5.71 W	0.00	1 of 25 - Sale <sup>25</sup>
	1.000	6.84	11/23/20	12.80	5.96 W	0.00	2 of 25 - Sale <sup>25</sup>
	14.000	95.76	11/23/20	177.30	81.54 W	0.00	3 of 25 - Sale <sup>25</sup>
	24.000	164.15	11/23/20	302.39	138.24 W	0.00	4 of 25 - Sale <sup>25</sup>
	10.000	68.40	11/23/20	122.66	54.26 W	0.00	5 of 25 - Sale <sup>25</sup>
	1.000	6.84	11/23/20	11.38	4.54 W	0.00	6 of 25 - Sale <sup>25</sup>
	9.000	61.56	11/23/20	96.47	34.91 W	0.00	7 of 25 - Sale <sup>25</sup>
	18.000	123.11	11/23/20	191.89	68.78 W	0.00	8 of 25 - Sale <sup>25</sup>
	4.000	27.36	11/23/20	42.97	15.61 W	0.00	9 of 25 - Sale <sup>25</sup>
	11.000	75.24	11/23/20	120.61	45.37 W	0.00	10 of 25 - Sale <sup>25</sup>
	7.000	47.88	11/23/20	85.92	38.04 W	0.00	11 of 25 - Sale <sup>25</sup>
	1.000	6.84	11/23/20	12.06	5.22 W	0.00	12 of 25 - Sale <sup>25</sup>
	2.000	13.68	11/23/20	25.09	11.41 W	0.00	13 of 25 - Sale <sup>25</sup>
	3.000	20.52	11/23/20	31.50	10.98 W	0.00	14 of 25 - Sale <sup>25</sup>
	7.000	47.88	11/23/20	73.45	25.57 W	0.00	15 of 25 - Sale <sup>25</sup>
	25.000	170.99	11/23/20	303.77	132.78 W	0.00	16 of 25 - Sale <sup>25</sup>
	12.000	82.08	11/23/20	143.52	61.44 W	0.00	17 of 25 - Sale <sup>25</sup>
	3.000	20.52	11/23/20	29.48	8.96 W	0.00	18 of 25 - Sale <sup>25</sup>
	12.000	82.08	11/23/20	94.48	12.40 W	0.00	19 of 25 - Sale <sup>25</sup>
	13.000	88.91	11/23/20	133.46	44.55 W	0.00	20 of 25 - Sale <sup>25</sup>
	22.000	150.47	11/23/20	219.81	69.34 W	0.00	21 of 25 - Sale <sup>25</sup>
	12.000	82.08	11/23/20	119.07	36.99 W	0.00	22 of 25 - Sale <sup>25</sup>
	3.000	20.52	11/23/20	27.53	7.01 W	0.00	23 of 25 - Sale <sup>25</sup>
	7.000	47.88	11/23/20	63.38	15.50 W	0.00	24 of 25 - Sale <sup>25</sup>
	28.000	191.51	11/23/20	254.52	63.01 W	0.00	25 of 25 - Sale <sup>25</sup>
11/24/20	250.000	1,709.94	Various	2,708.06	998.12 W	0.00	Total of 25 transactions
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	6.70	11/24/20	6.90	...	-0.20	1 of 2 - Sale <sup>25</sup>
	99.000	663.02	11/25/20	663.29	...	-0.27	2 of 2 - Sale <sup>25</sup>
11/27/20	100.000	669.72	Various	670.19	...	-0.47	Total of 2 transactions
11/30/20	100.000	747.97	11/27/20	680.53	...	67.44	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
25 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	24.000	195.41	11/30/20	323.44	...	-128.03	1 of 25 - Sale <sup>25</sup>
	25.000	203.56	11/30/20	330.78	...	-127.22	2 of 25 - Sale <sup>25</sup>
	14.000	113.99	11/30/20	189.57	...	-75.58	3 of 25 - Sale <sup>25</sup>
	22.000	179.13	11/30/20	243.58	...	-64.45	4 of 25 - Sale <sup>25</sup>
	18.000	146.56	11/30/20	207.67	...	-61.11	5 of 25 - Sale <sup>25</sup>
	12.000	97.71	11/30/20	156.48	...	-58.77	6 of 25 - Sale <sup>25</sup>
	28.000	227.97	11/30/20	284.77	...	-56.80	7 of 25 - Sale <sup>25</sup>
	10.000	81.42	11/30/20	131.42	...	-50.00	8 of 25 - Sale <sup>25</sup>
	13.000	105.85	11/30/20	147.51	...	-41.66	9 of 25 - Sale <sup>25</sup>
	11.000	89.56	11/30/20	130.25	...	-40.69	10 of 25 - Sale <sup>25</sup>
	7.000	57.00	11/30/20	92.05	...	-35.05	11 of 25 - Sale <sup>25</sup>
	12.000	97.71	11/30/20	132.03	...	-34.32	12 of 25 - Sale <sup>25</sup>
	9.000	73.28	11/30/20	104.36	...	-31.08	13 of 25 - Sale <sup>25</sup>
	7.000	57.00	11/30/20	81.01	...	-24.01	14 of 25 - Sale <sup>25</sup>
	7.000	56.99	11/30/20	70.94	...	-13.95	15 of 25 - Sale <sup>25</sup>
	4.000	32.57	11/30/20	46.48	...	-13.91	16 of 25 - Sale <sup>25</sup>
	2.000	16.28	11/30/20	27.25	...	-10.97	17 of 25 - Sale <sup>25</sup>
	3.000	24.43	11/30/20	34.74	...	-10.31	18 of 25 - Sale <sup>25</sup>
	12.000	97.70	11/30/20	107.44	...	-9.74	19 of 25 - Sale <sup>25</sup>
	3.000	24.43	11/30/20	32.72	...	-8.29	20 of 25 - Sale <sup>25</sup>
	3.000	24.43	11/30/20	30.77	...	-6.34	21 of 25 - Sale <sup>25</sup>
	1.000	8.14	11/30/20	13.68	...	-5.54	22 of 25 - Sale <sup>25</sup>
	1.000	8.14	11/30/20	13.19	...	-5.05	23 of 25 - Sale <sup>25</sup>
	1.000	8.14	11/30/20	13.14	...	-5.00	24 of 25 - Sale <sup>25</sup>
	1.000	8.14	11/30/20	12.26	...	-4.12	25 of 25 - Sale <sup>25</sup>
12/01/20	250.000	2,035.54	Various	2,957.53	...	-921.99	Total of 25 transactions
	<b>Security total:</b>	<b>114,600.81</b>		<b>141,407.58</b>	<b>34,707.50 W</b>	<b>7,900.73</b>	

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(continued)

02/14/2021

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VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	0.744	11.94	11/09/20	0.00	...	11.94	1 of 3 - Sale <sup>25</sup>
	2.358	37.82	11/09/20	0.00	...	37.82	2 of 3 - Sale <sup>25</sup>
	2.898	46.49	11/09/20	0.00	...	46.49	3 of 3 - Sale <sup>25</sup>
11/18/20	6.000	96.25	Various	0.00	...	96.25	Total of 3 transactions
VIPER ENERGY PARTNERS LP COMMO N UNIT / CUSIP: 92763M105 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	9.000	77.23	04/30/20	80.86	3.63 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	8.31	04/30/20	8.98	0.67 W	0.00	2 of 2 - Sale <sup>25</sup>
05/01/20	10.000	85.54	Various	89.84	4.30 W	0.00	Total of 2 transactions
4 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	9.000	79.29	05/05/20	78.15	...	1.14	1 of 4 - Sale <sup>25</sup>
	15.000	132.15	05/05/20	118.87	...	13.28	2 of 4 - Sale <sup>25</sup>
	40.000	352.39	05/05/20	331.20	...	21.19	3 of 4 - Sale <sup>25</sup>
	35.000	308.34	05/05/20	278.44	...	29.90	4 of 4 - Sale <sup>25</sup>
05/12/20	99.000	872.17	Various	806.66	...	65.51	Total of 4 transactions
05/12/20	1.000	8.81	05/05/20	8.95	0.14 W	0.00	Sale <sup>25</sup>
05/13/20	1.000	8.46	05/12/20	8.97	0.51 W	0.00	Sale <sup>25</sup>
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	8.24	05/14/20	8.80	0.56 W	0.00	1 of 2 - Sale <sup>25</sup>
	48.000	395.63	05/14/20	397.95	2.32 W	0.00	2 of 2 - Sale <sup>25</sup>
05/15/20	49.000	403.87	Various	406.75	2.88 W	0.00	Total of 2 transactions
05/18/20	1.000	9.82	05/14/20	8.29	...	1.53	Sale <sup>25</sup>
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	10.68	05/15/20	9.08	...	1.60	1 of 3 - Sale <sup>25</sup>
	1.000	10.68	05/15/20	8.52	...	2.16	2 of 3 - Sale <sup>25</sup>
	48.000	512.82	05/15/20	411.22	...	101.60	3 of 3 - Sale <sup>25</sup>
06/01/20	50.000	534.18	Various	428.82	...	105.36	Total of 3 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	24.000	277.29	06/15/20	272.47	...	4.82	1 of 2 - Sale <sup>25</sup>
	25.000	288.83	06/15/20	278.93	...	9.90	2 of 2 - Sale <sup>25</sup>
06/17/20	49.000	566.12	Various	551.40	...	14.72	Total of 2 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIPER ENERGY PARTNERS LP COMMO N UNIT / CUSIP: 92763M105 / Symbol: (cont'd)							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	10.47	06/15/20	11.35	0.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	39.000	408.23	06/17/20	441.43	33.20 W	0.00	2 of 2 - Sale <sup>25</sup>
06/24/20	40.000	418.70	Various	452.78	34.08 W	0.00	Total of 2 transactions
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	10.32	06/17/20	12.20	1.88 W	0.00	1 of 3 - Sale <sup>25</sup>
	9.000	92.89	06/17/20	101.87	8.98 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	206.43	06/18/20	244.34	37.91 W	0.00	3 of 3 - Sale <sup>25</sup>
07/15/20	30.000	309.64	Various	358.41	48.77 W	0.00	Total of 3 transactions
11 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	10.000	106.20	06/24/20	105.24	...	0.96	1 of 11 - Sale <sup>25</sup>
	20.000	212.39	06/25/20	206.68	...	5.71	2 of 11 - Sale <sup>25</sup>
	10.000	106.20	06/26/20	101.97	...	4.23	3 of 11 - Sale <sup>25</sup>
	10.000	106.19	06/26/20	100.39	...	5.80	4 of 11 - Sale <sup>25</sup>
	1.000	10.61	07/09/20	10.06	...	0.55	5 of 11 - Sale <sup>25</sup>
	10.000	107.10	07/09/20	104.06	...	3.04	6 of 11 - Sale <sup>25</sup>
	5.000	53.55	07/09/20	48.29	...	5.26	7 of 11 - Sale <sup>25</sup>
	15.000	160.64	07/09/20	146.78	...	13.86	8 of 11 - Sale <sup>25</sup>
	1.000	10.71	07/10/20	9.76	...	0.95	9 of 11 - Sale <sup>25</sup>
	4.000	42.84	07/10/20	39.42	...	3.42	10 of 11 - Sale <sup>25</sup>
	4.000	42.83	07/10/20	39.03	...	3.80	11 of 11 - Sale <sup>25</sup>
07/21/20	90.000	959.26	Various	911.68	...	47.58	Total of 11 transactions
15 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	10.63	06/18/20	13.25	2.62 W	0.00	1 of 15 - Sale <sup>25</sup>
	9.000	95.67	06/18/20	111.27	15.60 W	0.00	2 of 15 - Sale <sup>25</sup>
	19.000	201.77	06/18/20	232.13	30.36 W	0.00	3 of 15 - Sale <sup>25</sup>
	1.000	10.62	06/18/20	11.36	0.74 W	0.00	4 of 15 - Sale <sup>25</sup>
	20.000	212.39	06/24/20	246.89	34.50 W	0.00	5 of 15 - Sale <sup>25</sup>
	1.000	10.62	06/24/20	13.14	2.52 W	0.00	6 of 15 - Sale <sup>25</sup>
	9.000	95.58	06/24/20	110.32	14.74 W	0.00	7 of 15 - Sale <sup>25</sup>
	10.000	106.10	06/26/20	116.36	10.26 W	0.00	8 of 15 - Sale <sup>25</sup>
	15.000	159.15	07/09/20	165.68	6.53 W	0.00	9 of 15 - Sale <sup>25</sup>
	9.000	95.49	07/09/20	98.26	2.77 W	0.00	10 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VIPER ENERGY PARTNERS LP COMMO N UNIT / CUSIP: 92763M105 / Symbol: (cont'd)							
	1.000	10.61	07/09/20	11.90	1.29 W	0.00	11 of 15 - Sale <sup>25</sup>
	4.000	42.44	07/09/20	44.07	1.63 W	0.00	12 of 15 - Sale <sup>25</sup>
	5.000	53.55	07/09/20	55.09	1.54 W	0.00	13 of 15 - Sale <sup>25</sup>
	5.000	53.55	07/09/20	55.52	1.97 W	0.00	14 of 15 - Sale <sup>25</sup>
	1.000	10.71	07/10/20	10.74	0.03 W	0.00	15 of 15 - Sale <sup>25</sup>
07/21/20	110.000	1,168.88	Various	1,295.98	127.10 W	0.00	Total of 15 transactions
	4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	5.000	54.45	08/03/20	54.22	...	0.23	1 of 4 - Sale <sup>25</sup>
	1.000	10.89	08/03/20	10.48	...	0.41	2 of 4 - Sale <sup>25</sup>
	4.000	43.56	08/03/20	43.03	...	0.53	3 of 4 - Sale <sup>25</sup>
	490.000	5,335.66	08/03/20	5,120.50	...	215.16	4 of 4 - Sale <sup>25</sup>
08/05/20	500.000	5,444.56	Various	5,228.23	...	216.33	Total of 4 transactions
11/27/20	100.000	1,159.00	11/24/20	1,147.94	...	11.06	Sale <sup>25</sup>
12/15/20	100.000	1,279.87	12/10/20	1,319.90	...	-40.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13,228.88</b>		<b>13,024.60</b>	<b>217.78 W</b>	<b>422.06</b>	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
03/27/20	100.000	15.60	03/26/20	14.86	...	0.74	Sale <sup>25</sup>
03/27/20	200.000	31.19	03/26/20	31.76	0.28 W	-0.29	Sale <sup>25</sup>
03/30/20	100.000	15.70	03/26/20	15.26	...	0.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>62.49</b>		<b>61.88</b>	<b>0.28 W</b>	<b>0.89</b>	
WILLIAM HILL PLC UNSPONSORED A DR (UK) / CUSIP: 96925P104 / Symbol:							
10/01/20	50.000	713.99	09/30/20	713.00	...	0.99	Sale <sup>25</sup>
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/02/20	25.000	498.27	07/01/20	443.22	...	55.05	Sale <sup>25</sup>
	2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	50.000	888.28	09/03/20	887.45	...	0.83	1 of 2 - Sale <sup>25</sup>
	50.000	888.28	09/03/20	881.50	...	6.78	2 of 2 - Sale <sup>25</sup>
09/04/20	100.000	1,776.56	Various	1,768.95	...	7.61	Total of 2 transactions

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	10.000	298.21	10/08/20	248.00	...	50.21	1 of 2 - Sale <sup>25</sup>
	90.000	2,683.86	10/08/20	2,231.91	...	451.95	2 of 2 - Sale <sup>25</sup>
10/12/20	100.000	2,982.07	Various	2,479.91	...	502.16	Total of 2 transactions
10/21/20	75.000	1,445.95	10/13/20	1,997.24	551.29 W	0.00	Sale <sup>25</sup>
7 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	25.000	390.99	10/13/20	665.74	274.75 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	156.39	10/13/20	320.62	164.23 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	156.39	10/13/20	320.38	163.99 W	0.00	3 of 7 - Sale <sup>25</sup>
	30.000	469.19	10/13/20	963.53	494.34 W	0.00	4 of 7 - Sale <sup>25</sup>
	25.000	390.99	10/13/20	805.08	414.09 W	0.00	5 of 7 - Sale <sup>25</sup>
	25.000	390.99	10/13/20	621.31	230.32 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	390.98	10/13/20	605.92	214.94 W	0.00	7 of 7 - Sale <sup>25</sup>
10/30/20	150.000	2,345.92	Various	4,302.58	1,956.66 W	0.00	Total of 7 transactions
13 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	25.000	536.48	10/20/20	522.50	...	13.98	1 of 13 - Sale <sup>25</sup>
	25.000	536.74	10/28/20	461.12	...	75.62	2 of 13 - Sale <sup>25</sup>
	25.000	536.73	10/29/20	465.81	...	70.92	3 of 13 - Sale <sup>25</sup>
	50.000	1,073.47	10/29/20	932.41	...	141.06	4 of 13 - Sale <sup>25</sup>
	25.000	536.74	10/30/20	429.92	...	106.82	5 of 13 - Sale <sup>25</sup>
	25.000	536.74	10/30/20	421.70	...	115.04	6 of 13 - Sale <sup>25</sup>
	25.000	536.73	10/30/20	416.02	...	120.71	7 of 13 - Sale <sup>25</sup>
	25.000	536.74	10/30/20	408.26	...	128.48	8 of 13 - Sale <sup>25</sup>
	25.000	536.73	10/30/20	408.02	...	128.71	9 of 13 - Sale <sup>25</sup>
	25.000	536.73	10/30/20	402.71	...	134.02	10 of 13 - Sale <sup>25</sup>
	25.000	536.74	11/03/20	473.62	...	63.12	11 of 13 - Sale <sup>25</sup>
	25.000	536.73	11/03/20	420.98	...	115.75	12 of 13 - Sale <sup>25</sup>
	50.000	1,073.47	11/03/20	946.69	...	126.78	13 of 13 - Sale <sup>25</sup>
11/17/20	375.000	8,050.77	Various	6,709.76	...	1,341.01	Total of 13 transactions
26 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	25.000	536.49	10/13/20	879.88	343.39 W	0.00	1 of 26 - Sale <sup>25</sup>
	5.000	107.30	10/13/20	199.56	92.26 W	0.00	2 of 26 - Sale <sup>25</sup>
	10.000	214.59	10/13/20	398.57	183.98 W	0.00	3 of 26 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	10.000	214.59	10/13/20	398.34	183.75 W	0.00	4 of 26 - Sale <sup>25</sup>
	25.000	536.49	10/13/20	997.65	461.16 W	0.00	5 of 26 - Sale <sup>25</sup>
	25.000	536.48	10/14/20	993.28	456.80 W	0.00	6 of 26 - Sale <sup>25</sup>
	25.000	536.49	10/14/20	804.57	268.08 W	0.00	7 of 26 - Sale <sup>25</sup>
	25.000	536.48	10/14/20	790.13	253.65 W	0.00	8 of 26 - Sale <sup>25</sup>
	25.000	536.49	10/14/20	576.00	39.51 W	0.00	9 of 26 - Sale <sup>25</sup>
	50.000	1,072.97	10/14/20	1,153.38	80.41 W	0.00	10 of 26 - Sale <sup>25</sup>
	25.000	536.48	10/14/20	576.44	39.96 W	0.00	11 of 26 - Sale <sup>25</sup>
	25.000	536.49	10/14/20	575.41	38.92 W	0.00	12 of 26 - Sale <sup>25</sup>
	25.000	536.48	10/15/20	561.50	25.02 W	0.00	13 of 26 - Sale <sup>25</sup>
	25.000	536.49	10/15/20	567.19	30.70 W	0.00	14 of 26 - Sale <sup>25</sup>
	50.000	1,072.97	10/15/20	1,107.00	34.03 W	0.00	15 of 26 - Sale <sup>25</sup>
	25.000	536.48	10/15/20	547.94	11.46 W	0.00	16 of 26 - Sale <sup>25</sup>
	25.000	536.49	10/16/20	589.13	52.64 W	0.00	17 of 26 - Sale <sup>25</sup>
	50.000	1,072.97	10/16/20	1,177.69	104.72 W	0.00	18 of 26 - Sale <sup>25</sup>
	25.000	536.74	10/20/20	865.11	328.37 W	0.00	19 of 26 - Sale <sup>25</sup>
	5.000	107.35	10/21/20	188.86	81.51 W	0.00	20 of 26 - Sale <sup>25</sup>
	10.000	214.69	10/21/20	377.18	162.49 W	0.00	21 of 26 - Sale <sup>25</sup>
	10.000	214.69	10/21/20	376.95	162.26 W	0.00	22 of 26 - Sale <sup>25</sup>
	25.000	536.74	10/21/20	944.16	407.42 W	0.00	23 of 26 - Sale <sup>25</sup>
	25.000	536.73	10/21/20	939.80	403.07 W	0.00	24 of 26 - Sale <sup>25</sup>
	25.000	536.74	10/21/20	758.31	221.57 W	0.00	25 of 26 - Sale <sup>25</sup>
	25.000	536.73	10/21/20	744.36	207.63 W	0.00	26 of 26 - Sale <sup>25</sup>
11/17/20	625.000	13,413.63	Various	18,088.39	4,674.76 W	0.00	Total of 26 transactions
	5 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	50.000	1,168.55	11/17/20	1,068.00	...	100.55	1 of 5 - Sale <sup>25</sup>
	50.000	1,168.55	11/18/20	1,078.75	...	89.80	2 of 5 - Sale <sup>25</sup>
	100.000	2,337.10	11/18/20	2,199.62	...	137.48	3 of 5 - Sale <sup>25</sup>
	100.000	2,337.10	11/18/20	2,157.50	...	179.60	4 of 5 - Sale <sup>25</sup>
	150.000	3,505.65	11/18/20	3,266.06	...	239.59	5 of 5 - Sale <sup>25</sup>
11/18/20	450.000	10,516.95	Various	9,769.93	...	747.02	Total of 5 transactions
	16 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	5.000	106.37	11/17/20	189.21	82.84 W	0.00	1 of 16 - Sale <sup>25</sup>
	10.000	212.74	11/17/20	377.89	165.15 W	0.00	2 of 16 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	10.000	212.74	11/17/20	377.66	164.92 W	0.00	3 of 16 - Sale <sup>25</sup>
	25.000	531.86	11/17/20	945.92	414.06 W	0.00	4 of 16 - Sale <sup>25</sup>
	25.000	531.86	11/17/20	941.57	409.71 W	0.00	5 of 16 - Sale <sup>25</sup>
	25.000	531.86	11/17/20	866.87	335.01 W	0.00	6 of 16 - Sale <sup>25</sup>
	25.000	531.86	11/17/20	760.07	228.21 W	0.00	7 of 16 - Sale <sup>25</sup>
	25.000	531.86	11/17/20	746.13	214.27 W	0.00	8 of 16 - Sale <sup>25</sup>
	5.000	116.85	11/17/20	190.18	73.33 W	0.00	9 of 16 - Sale <sup>25</sup>
	10.000	233.71	11/17/20	379.83	146.12 W	0.00	10 of 16 - Sale <sup>25</sup>
	10.000	233.71	11/17/20	379.59	145.88 W	0.00	11 of 16 - Sale <sup>25</sup>
	25.000	584.27	11/17/20	950.75	366.48 W	0.00	12 of 16 - Sale <sup>25</sup>
	25.000	584.27	11/17/20	946.39	362.12 W	0.00	13 of 16 - Sale <sup>25</sup>
	25.000	584.27	11/17/20	871.70	287.43 W	0.00	14 of 16 - Sale <sup>25</sup>
	25.000	584.28	11/17/20	764.96	180.68 W	0.00	15 of 16 - Sale <sup>25</sup>
	25.000	584.27	11/17/20	751.02	166.75 W	0.00	16 of 16 - Sale <sup>25</sup>
11/18/20	300.000	6,696.78	Various	10,439.74	3,742.96 W	0.00	Total of 16 transactions
	8 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	10.000	263.09	11/18/20	229.10	...	33.99	1 of 8 - Sale <sup>25</sup>
	15.000	394.64	11/18/20	341.25	...	53.39	2 of 8 - Sale <sup>25</sup>
	35.000	920.83	11/18/20	795.20	...	125.63	3 of 8 - Sale <sup>25</sup>
	40.000	1,052.37	11/18/20	916.76	...	135.61	4 of 8 - Sale <sup>25</sup>
	50.000	1,315.47	11/18/20	1,138.00	...	177.47	5 of 8 - Sale <sup>25</sup>
	100.000	2,630.93	11/19/20	2,378.95	...	251.98	6 of 8 - Sale <sup>25</sup>
	100.000	2,630.93	11/19/20	2,378.95	...	251.98	7 of 8 - Sale <sup>25</sup>
	200.000	5,261.87	11/20/20	4,999.40	...	262.47	8 of 8 - Sale <sup>25</sup>
11/23/20	550.000	14,470.13	Various	13,177.61	...	1,292.52	Total of 8 transactions
	8 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	5.000	131.55	11/18/20	189.78	58.23 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	263.09	11/18/20	379.02	115.93 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	263.09	11/18/20	378.78	115.69 W	0.00	3 of 8 - Sale <sup>25</sup>
	25.000	657.73	11/18/20	948.72	290.99 W	0.00	4 of 8 - Sale <sup>25</sup>
	25.000	657.73	11/18/20	944.36	286.63 W	0.00	5 of 8 - Sale <sup>25</sup>
	25.000	657.73	11/18/20	869.68	211.95 W	0.00	6 of 8 - Sale <sup>25</sup>
	25.000	657.73	11/18/20	755.80	98.07 W	0.00	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
11/23/20	25.000	657.73	11/18/20	738.16	80.43 W	0.00	8 of 8 - Sale <sup>25</sup>
	150.000	3,946.38	Various	5,204.30	1,257.92 W	0.00	Total of 8 transactions
	4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	25.000	730.23	11/23/20	728.22	...	2.01	1 of 4 - Sale <sup>25</sup>
	25.000	730.23	11/23/20	710.56	...	19.67	2 of 4 - Sale <sup>25</sup>
	50.000	1,460.47	11/23/20	1,397.99	...	62.48	3 of 4 - Sale <sup>25</sup>
	50.000	1,452.96	11/23/20	1,315.25	...	137.71	4 of 4 - Sale <sup>25</sup>
11/24/20	150.000	4,373.89	Various	4,152.02	...	221.87	Total of 4 transactions
	16 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	5.000	145.30	11/23/20	189.76	44.46 W	0.00	1 of 16 - Sale <sup>25</sup>
	10.000	290.59	11/23/20	378.98	88.39 W	0.00	2 of 16 - Sale <sup>25</sup>
	10.000	290.59	11/23/20	378.74	88.15 W	0.00	3 of 16 - Sale <sup>25</sup>
	25.000	726.48	11/23/20	948.61	222.13 W	0.00	4 of 16 - Sale <sup>25</sup>
	25.000	726.48	11/23/20	944.26	217.78 W	0.00	5 of 16 - Sale <sup>25</sup>
	14.000	406.83	11/23/20	486.96	80.13 W	0.00	6 of 16 - Sale <sup>25</sup>
	11.000	319.65	11/23/20	382.61	62.96 W	0.00	7 of 16 - Sale <sup>25</sup>
	25.000	726.48	11/23/20	755.70	29.22 W	0.00	8 of 16 - Sale <sup>25</sup>
	25.000	726.48	11/23/20	738.05	11.57 W	0.00	9 of 16 - Sale <sup>25</sup>
	5.000	146.05	11/23/20	184.26	38.21 W	0.00	10 of 16 - Sale <sup>25</sup>
	10.000	292.09	11/23/20	367.99	75.90 W	0.00	11 of 16 - Sale <sup>25</sup>
	10.000	292.09	11/23/20	367.75	75.66 W	0.00	12 of 16 - Sale <sup>25</sup>
	25.000	730.23	11/23/20	921.13	190.90 W	0.00	13 of 16 - Sale <sup>25</sup>
	25.000	730.23	11/23/20	916.78	186.55 W	0.00	14 of 16 - Sale <sup>25</sup>
	11.000	321.30	11/23/20	370.52	49.22 W	0.00	15 of 16 - Sale <sup>25</sup>
	14.000	408.93	11/23/20	471.57	62.64 W	0.00	16 of 16 - Sale <sup>25</sup>
11/24/20	250.000	7,279.80	Various	8,803.67	1,523.87 W	0.00	Total of 16 transactions
	4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	5.000	112.02	11/24/20	177.75	65.73 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	224.04	11/24/20	354.98	130.94 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	224.05	11/24/20	354.74	130.69 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	560.11	11/24/20	888.61	328.50 W	0.00	4 of 4 - Sale <sup>25</sup>
12/22/20	50.000	1,120.22	Various	1,776.08	655.86 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>78,917.32</b>		<b>89,113.40</b>	<b>14,363.32 W</b>	<b>4,167.24</b>	

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
06/24/20	60.000	73.94	06/16/20	96.60	22.66 W	0.00	Sale <sup>25</sup>
	7 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	100.000	103.00	06/16/20	161.00	58.00 W	0.00	1 of 7 - Sale <sup>25</sup>
	40.000	41.70	06/16/20	64.40	22.70 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	52.12	06/18/20	93.63	41.51 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.000	10.42	06/18/20	18.78	8.36 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	104.24	06/24/20	181.97	77.73 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	10.42	06/24/20	20.70	10.28 W	0.00	6 of 7 - Sale <sup>25</sup>
	40.000	41.69	06/24/20	72.30	30.61 W	0.00	7 of 7 - Sale <sup>25</sup>
07/06/20	350.000	363.59	Various	612.78	249.19 W	0.00	Total of 7 transactions
	7 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	40.000	42.45	07/06/20	78.66	...	-36.21	1 of 7 - Sale <sup>25</sup>
	40.000	42.46	07/06/20	74.55	...	-32.09	2 of 7 - Sale <sup>25</sup>
	10.000	10.61	07/06/20	19.73	...	-9.12	3 of 7 - Sale <sup>25</sup>
	50.000	53.07	07/06/20	55.42	...	-2.35	4 of 7 - Sale <sup>25</sup>
	150.000	159.20	07/06/20	158.95	...	0.25	5 of 7 - Sale <sup>25</sup>
	50.000	53.07	07/06/20	52.50	...	0.57	6 of 7 - Sale <sup>25</sup>
	100.000	106.14	07/09/20	90.30	...	15.84	7 of 7 - Sale <sup>25</sup>
07/15/20	440.000	467.00	Various	530.11	...	-63.11	Total of 7 transactions
	8 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	10.000	10.61	06/26/20	19.84	9.23 W	0.00	1 of 8 - Sale <sup>25</sup>
	40.000	42.45	06/26/20	79.11	36.66 W	0.00	2 of 8 - Sale <sup>25</sup>
	100.000	106.14	06/26/20	188.72	82.58 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	10.61	07/02/20	21.38	10.77 W	0.00	4 of 8 - Sale <sup>25</sup>
	40.000	42.46	07/02/20	75.01	32.55 W	0.00	5 of 8 - Sale <sup>25</sup>
	50.000	53.07	07/02/20	55.50	2.43 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	10.61	07/06/20	21.27	1.07 W	-9.59	7 of 8 - Sale <sup>25</sup>
	100.000	106.13	07/06/20	187.58	81.45 W	0.00	8 of 8 - Sale <sup>25</sup>
07/15/20	360.000	382.08	Various	648.41	256.74 W	-9.59	Total of 8 transactions
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	1.00	07/15/20	1.88	...	-0.88	1 of 3 - Sale <sup>25</sup>
	99.000	99.14	07/16/20	186.42	...	-87.28	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 125489310

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol: (cont'd)							
	1.000	1.00	07/16/20	2.14	...	-1.14	3 of 3 - Sale <sup>25</sup>
07/17/20	101.000	101.14	Various	190.44	...	-89.30	Total of 3 transactions
	<b>Security total:</b>	<b>1,387.75</b>		<b>2,078.34</b>	<b>528.59 W</b>	<b>-162.00</b>	
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	50.000	455.48	10/16/20	447.97	...	7.51	1 of 2 - Sale <sup>25</sup>
	100.000	910.96	10/16/20	893.98	...	16.98	2 of 2 - Sale <sup>25</sup>
10/19/20	150.000	1,366.44	Various	1,341.95	...	24.49	Total of 2 transactions
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	100.000	170.15	06/08/20	191.00	...	-20.85	1 of 5 - Sale <sup>25</sup>
	100.000	170.15	06/08/20	191.00	...	-20.85	2 of 5 - Sale <sup>25</sup>
	50.000	85.08	06/08/20	95.50	...	-10.42	3 of 5 - Sale <sup>25</sup>
	110.000	187.17	06/08/20	180.40	...	6.77	4 of 5 - Sale <sup>25</sup>
	140.000	238.22	06/08/20	229.60	...	8.62	5 of 5 - Sale <sup>25</sup>
06/09/20	500.000	850.77	Various	887.50	...	-36.73	Total of 5 transactions
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
04/29/20	100.000	103.00	04/28/20	89.01	...	13.99	Sale <sup>25</sup>
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	1.000	1.20	05/13/20	1.37	0.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	99.000	118.80	05/13/20	135.50	16.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	60.00	05/13/20	69.94	9.94 W	0.00	3 of 3 - Sale <sup>25</sup>
05/14/20	150.000	180.00	Various	206.81	26.81 W	0.00	Total of 3 transactions
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	1.29	05/13/20	1.57	0.28 W	0.00	1 of 4 - Sale <sup>25</sup>
	99.000	127.83	05/13/20	155.17	27.34 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	64.56	05/13/20	69.93	5.37 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	64.56	05/14/20	70.88	6.32 W	0.00	4 of 4 - Sale <sup>25</sup>
05/29/20	200.000	258.24	Various	297.55	39.31 W	0.00	Total of 4 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol: (cont'd)							
<i>4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.37	05/14/20	1.54	0.17 W	0.00	1 of 4 - Sale <sup>25</sup>
	49.000	67.13	05/14/20	75.21	8.08 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	68.50	05/14/20	76.75	8.25 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	68.50	05/29/20	69.87	1.37 W	0.00	4 of 4 - Sale <sup>25</sup>
06/01/20	150.000	205.50	Various	223.37	17.87 W	0.00	Total of 4 transactions
<i>3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	77.50	06/02/20	77.32	...	0.18	1 of 3 - Sale <sup>25</sup>
	50.000	77.50	06/02/20	72.37	...	5.13	2 of 3 - Sale <sup>25</sup>
	100.000	154.99	06/02/20	142.00	...	12.99	3 of 3 - Sale <sup>25</sup>
06/04/20	200.000	309.99	Various	291.69	...	18.30	Total of 3 transactions
<i>3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.55	06/02/20	1.59	0.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	49.000	75.95	06/02/20	77.66	1.71 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	77.50	06/02/20	79.25	1.75 W	0.00	3 of 3 - Sale <sup>25</sup>
06/04/20	100.000	155.00	Various	158.50	3.50 W	0.00	Total of 3 transactions
<i>3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.76	06/05/20	2.49	...	0.27	1 of 3 - Sale <sup>25</sup>
	49.000	135.24	06/05/20	121.70	...	13.54	2 of 3 - Sale <sup>25</sup>
	50.000	138.01	06/05/20	124.18	...	13.83	3 of 3 - Sale <sup>25</sup>
06/08/20	100.000	276.01	Various	248.37	...	27.64	Total of 3 transactions
<i>2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	109.000	235.44	06/09/20	316.10	80.66 W	0.00	1 of 2 - Sale <sup>25</sup>
	341.000	736.57	06/09/20	988.87	252.30 W	0.00	2 of 2 - Sale <sup>25</sup>
06/10/20	450.000	972.01	Various	1,304.97	332.96 W	0.00	Total of 2 transactions
<i>2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	108.06	06/09/20	181.99	73.93 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	108.06	06/10/20	148.94	40.88 W	0.00	2 of 2 - Sale <sup>25</sup>
06/11/20	100.000	216.12	Various	330.93	114.81 W	0.00	Total of 2 transactions
<i>9 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	16.92	06/10/20	26.63	9.71 W	0.00	1 of 9 - Sale <sup>25</sup>
	41.000	77.08	06/10/20	121.31	44.23 W	0.00	2 of 9 - Sale <sup>25</sup>

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(continued)

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TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol: (cont'd)							
	50.000	94.00	06/10/20	152.93	58.93 W	0.00	3 of 9 - Sale <sup>25</sup>
	100.000	188.00	06/11/20	290.49	102.49 W	0.00	4 of 9 - Sale <sup>25</sup>
	100.000	188.00	06/15/20	294.99	106.99 W	0.00	5 of 9 - Sale <sup>25</sup>
	50.000	94.00	06/18/20	149.49	55.49 W	0.00	6 of 9 - Sale <sup>25</sup>
	50.000	94.00	06/19/20	181.37	87.37 W	0.00	7 of 9 - Sale <sup>25</sup>
	50.000	94.01	06/22/20	145.38	51.37 W	0.00	8 of 9 - Sale <sup>25</sup>
	50.000	94.00	06/22/20	103.44	9.44 W	0.00	9 of 9 - Sale <sup>25</sup>
07/10/20	500.000	940.01	Various	1,466.03	526.02 W	0.00	Total of 9 transactions
	9 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	50.000	102.08	06/25/20	154.36	...	-52.28	1 of 9 - Sale <sup>25</sup>
	41.000	83.71	06/25/20	122.49	...	-38.78	2 of 9 - Sale <sup>25</sup>
	9.000	18.37	06/25/20	26.89	...	-8.52	3 of 9 - Sale <sup>25</sup>
	100.000	204.16	06/26/20	288.86	...	-84.70	4 of 9 - Sale <sup>25</sup>
	100.000	204.16	06/26/20	287.29	...	-83.13	5 of 9 - Sale <sup>25</sup>
	50.000	103.10	06/26/20	178.30	...	-75.20	6 of 9 - Sale <sup>25</sup>
	50.000	103.09	06/26/20	146.43	...	-43.34	7 of 9 - Sale <sup>25</sup>
	50.000	103.09	06/26/20	142.31	...	-39.22	8 of 9 - Sale <sup>25</sup>
	50.000	103.10	06/26/20	100.37	...	2.73	9 of 9 - Sale <sup>25</sup>
07/15/20	500.000	1,024.86	Various	1,447.30	...	-422.44	Total of 9 transactions
11/04/20	100.000	84.37	11/02/20	72.99	...	11.38	Sale <sup>25</sup>
11/09/20	100.000	114.99	11/05/20	100.62	...	14.37	Sale <sup>25</sup>
11/19/20	100.000	134.24	11/18/20	133.81	...	0.43	Sale <sup>25</sup>
	4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	150.000	334.47	11/20/20	211.13	...	123.34	1 of 4 - Sale <sup>25</sup>
	150.000	334.47	11/20/20	211.13	...	123.34	2 of 4 - Sale <sup>25</sup>
	150.000	334.47	11/23/20	266.25	...	68.22	3 of 4 - Sale <sup>25</sup>
	200.000	445.97	11/23/20	321.00	...	124.97	4 of 4 - Sale <sup>25</sup>
11/24/20	650.000	1,449.38	Various	1,009.51	...	439.87	Total of 4 transactions
12/10/20	250.000	615.55	12/09/20	577.03	...	38.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,039.27</b>		<b>7,958.49</b>	<b>1,061.28 W</b>	<b>142.06</b>	
<b>Totals :</b>		<b>1,628,297.78</b>		<b>1,931,424.97</b>	<b>347,270.34 W</b>	<b>44,143.15</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 125489310

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Gain or loss(-) &amp; Additional information</b>
CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol:							
01/16/20	1.000	0.70	09/11/17	3.66	...	-2.96	Sale <sup>25</sup>
<b>Totals :</b>		<b>0.70</b>		<b>3.66</b>		<b>-2.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 125489310

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
11/23/20	0.204	3.14	11/09/20	0.00	...	3.14	Gain or loss
<b>Totals:</b>		<b>3.14</b>		<b>0.00</b>	...	<b>3.14</b>	

Robinhood Securities LLC

Account 125489310

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
DIAMONDBACK ENERGY, INC. COMMM ON STOCK	25278X109		08/20/20	18.75	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/28/20	4.00	Nondividend distribution	03
MAXAR TECHNOLOGIES INC. COMMON STOCK	57778K105		03/31/20	0.50	Qualified dividend	
PENNSYLVANIA REAL ESTATE INVESTMENT TRUST	709102107		06/15/20	4.00	Nondividend distribution	03
VIPER ENERGY PARTNERS LP COMMON UNIT	92763M105		05/21/20	0.10	Nondividend distribution	03
				<b>27.35</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 125489310

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/13/20	-5.00	Margin interest paid	
		05/11/20	-5.00	Margin interest paid	
		06/09/20	-5.00	Margin interest paid	
		07/09/20	-5.00	Margin interest paid	
		08/10/20	-5.00	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		10/07/20	-5.00	Margin interest paid	
		11/06/20	-5.00	Margin interest paid	
		12/07/20	-5.00	Margin interest paid	
			-45.00	Total Margin interest paid	
			<b>-45.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 125489310

**Non-Reportable Actions**

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
VIATRIS INC. COMMON STOCK	92556V106	11/17/20	6.00	0.00	Stock spinoff	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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