Robinhood Securities LLC 500 Colonial Center Parkway	Tax Information Account 127564045	Statement Date: Document ID:	02/14/2021 4916 VB7 GRYS	2020
Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700	Ravi srimanth Vellanki 2120 South Goebbert Road 214 Arlington Heights, IL 60005			
PAYER'S TIN: 38-4019216	RECIPIENT'S TIN: XXX-XX-9319			

[] FATCA filing requirement (see instructions)	Summary Info	ormation		
DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.00	2- Royalties		0.00
1b- Qualified dividends	0.00	3- Other income		0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00	4- Federal income tax withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments in lieu of dividends or in	nterest	0.00
2c- Section 1202 gain	0.00	• •		
2d- Collectibles (28%) gain	0.00	SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00	8- Profit or (loss) realized in 2020 on closed co	ntracts	0.00
4- Federal income tax withheld	0.00	9- Unrealized profit or (loss) on open contracts		0.00
5- Section 199A dividends	0.00	10- Unrealized profit or (loss) on open contracts		0.00
6- Investment expenses	0.00	11- Aggregate profit or (loss) on contracts	-12/31/2020	0.00
8- Foreign country or US possession: 7- Foreign tax paid:	0.00	11- Aggregate profit of (loss) of contracts		0.00
9- Cash liquidation distributions	0.00	If applicable, proceeds from sale transactions	s appear summarized beld	ow and are
10- Noncash liquidation distributions	0.00	detailed in subsequent sections of this docur		
11- Exempt-interest dividends (includes line 12)	0.00	,		
12- Specified private activity bond interest dividends (AMT)	0.00			

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	25,633.74	24,798.60	0.00	0.00	835.14
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	25,633.74	24,798.60	0.00	0.00	835.14
Long	D (basis reported to the IRS)	34.37	785.93	0.00	0.00	-751.56
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	34.37	785.93	0.00	0.00	-751.56
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	25,668.11	25,584.53	0.00	0.00	83.58
Withholding		Amount				
Federal income	tax withheld	0.00				

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid Taxable accrued Treasury interest paid Tax-exempt accrued interest paid Tax-exempt accrued interest paid (AMT) Taxable accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid Tax-exempt accrued nonqualified interest paid (AMT) Nonqualified interest Tax-exempt nonqualified interest Tax-exempt nonqualified interest (AMT)	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0
	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00 0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Account 127564045

Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715 02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12) "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LYFT 12/24/2020 CAL	L \$51.00 / CUSIP: / Symbo	l:					
	2 transactions for	r 12/23/20. Total proceed	ds and cost repo	rted to the IRS.			
12/23/20	4.000 10.000 14.000	59.99 149.97 209.96	12/23/20 12/23/20 Various	108.00 180.00 288.00	 	-48.01 -30.03 -78.04	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
PINS 12/24/2020 CALI	L \$72.00 / CUSIP: / Symbol	l:					
12/22/20 CF FINANCE ACQUIS	1.000 SITION CORP. I I CLASS A	62.98 COMMON STOCK / CU	12/22/20 SIP: 15725Q104	66.00 / Symbol:		-3.02	Option sale to close-call ²⁵
	2 transactions for	r 12/18/20. Total proceed	ds and cost repo	rted to the IRS.			
12/18/20	0.035 5.000 5.035	<i>0</i> .38 53.33 53.71	12/11/20 12/11/20 Various	0.37 52.40 52.77	 	0.01 0.93 0.94	1 of 2 - Sale 25 2 of 2 - Sale 25 Total of 2 transactions

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC Account 127564045

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715 **(continued)** 02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of prope	rty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
disposed		() ()	•		uisailoweu (vv)	also not reported (2)	Additional information
CF FINANCE ACQUISITI				, ,			
12/21/20	0.000	0.00	12/11/20	0.00		0.00	Zero proceeds / negative sale ²⁵
	Security total:	53.71		52.77		0.94	
ELECTRAMECCANICA V	'EHICLES CORP . LTD. (COMMON STOCK / CUS	SIP: 284849205	/ Symbol:			
	2 transactions for	12/02/20. Total proceed:	s and cost repo	rted to the IRS.			
	100.000	732.97	12/01/20	726.88		6.09	1 of 2 - Sale ²⁵
	100.000	732.97	12/01/20	726.88		6.09	2 of 2 - Sale ²⁵
12/02/20	200.000	1,465.94	Various	1,453.76		12.18	Total of 2 transactions
12/04/20	200.000	1,382.94	12/04/20	1,351.56		31.38	Sale ²⁵
	Security total:	2,848.88		2,805.32	•••	43.56	
FORUM MERGER III CO	RPORATION C LASS A C	COMMON STOCK / CUS	SIP: 349885103	/ Symbol:			
	4 transactions for	12/30/20. Total proceed:	s and cost repo	rted to the IRS.			
	100.000	1,377.95	12/30/20	1,379.00		-1.05	1 of 4 - Sale ²⁵
	100.000	1,377.96	12/30/20	1,379.00		-1.04	2 of 4 - Sale ²⁵
	50.000	688.98	12/30/20	690.00		-1.02	3 of 4 - Sale ²⁵
40/00/00	100.000	1,377.96	12/30/20	1,378.00		-0.04	4 of 4 - Sale ²⁵
12/30/20	350.000	4,822.85	Various	4,826.00		-3.15	Total of 4 transactions
FUBOTV INC. / CUSIP: 3	5953D104 / Symbol:						
	2 transactions for	12/23/20. Total proceed:	s and cost repo	rted to the IRS.			
	1.000	54.01	12/18/20	37.50		16.51	1 of 2 - Sale ²⁵
	12.000	648.10	12/18/20	458.64		189.46	2 of 2 - Sale ²⁵
12/23/20	13.000	702.11	Various	496.14		205.97	Total of 2 transactions
GORES HOLDINGS IV, II	NC. CLASS A COMMON	STOCK / CUSIP: 38286	5103 / Symbol:				
12/10/20	4.000	44.18	12/09/20	43.70		0.48	Sale ²⁵
LUCKIN COFFEE INC AN	MERICAN DEP OSITARY	SHARES - SPONSORE	D / CUSIP: 549	951L109 / Symbol:			
02/10/20	10.000	358.83	02/04/20	343.93		14.90	Sale ²⁵
02/12/20	8.000	312.00	02/12/20	299.04		12.96	Sale ²⁵
	Security total:	670.83		642.97	•••	27.86	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Account 127564045

Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715 (continued) 02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1a- Description of pro	perty/CUSIP/Symbol						
1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or		6- Reported	1b- Date	1e- Cost or	1g- Wash sale loss	7- Loss not allowed (X)	
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
MEDIGUS LTD. AMER	RICAN DEPOSITA RY SHAR	RES / CUSIP: 58471G30	00 / Symbol:				
	4 transactions for	12/04/20. Total proceed	ds and cost repo	rted to the IRS.			
	1.000	2.01	12/03/20	1.87		0.14	1 of 4 - Sale ²⁵
	12.000	24.12	12/03/20	22.44		1.68	2 of 4 - Sale ²⁵
	100.000	199.99	12/03/20	187.00		12.99	3 of 4 - Sale ²⁵
	100.000	199.99	12/03/20	187.00		12.99	4 of 4 - Sale ²⁵
12/04/20	213.000	426.11	Various	398.31		27.80	Total of 4 transactions
	4 transactions for	12/07/20. Total proceed	ls and cost repo	rted to the IRS.			
	100.000	193.99	12/04/20	193.00		0.99	1 of 4 - Sale ²⁵
	100.000	193.99	12/04/20	193.00		0.99	2 of 4 - Sale ²⁵
	100.000	193.99	12/04/20	193.00		0.99	3 of 4 - Sale ²⁵
	100.000	193.99	12/04/20	193.00		0.99	4 of 4 - Sale ²⁵
12/07/20	400.000	775.96	Various	772.00		3.96	Total of 4 transactions
	Security total:	1,202.07		1,170.31		31.76	
MUSCLE MAKER, INC	C COMMON STOCK / CUSIF	P: 627333107 / Symbol:					
03/06/20	10.000	33.50	03/05/20	34.80		-1.30	Sale ²⁵
	3 transactions for	03/09/20. Total proceed	ls and cost repo	rted to the IRS.			
	99.000	280.16	03/05/20	344.51		<i>-64.35</i>	1 of 3 - Sale ²⁵
	65.000	183.29	03/05/20	226.19		-42.90	2 of 3 - Sale ²⁵
	1.000	2.94	03/05/20	3. <i>4</i> 8		-0.54	3 of 3 - Sale ²⁵
03/09/20	165.000	466.39	Various	574.18		-107.79	Total of 3 transactions
	Security total:	499.89		608.98		-109.09	
NTN BUZZTIME, INC	/ CUSIP: 629410606 / Symbo	ol:					
12/28/20	250.000	549.95	12/22/20	518.28		31.67	Sale ²⁵
VIRGIN GALACTIC H	OLDINGS, INC. / CUSIP: 927	766K106 / Symbol:					
02/07/20	10.000	188.39	02/05/20	185.57		2.82	Sale ²⁵
	8 transactions for	02/12/20. Total proceed	ls and cost repo	rted to the IRS.			
	10.000	227.71	02/11/20	213.45		14.26	1 of 8 - Sale ²⁵
	1.000	23.10	02/12/20	23.09		0.01	2 of 8 - Sale ²⁵
	3.000	69.30	02/12/20	69.28		0.02	3 of 8 - Sale ²⁵
	3.000	69.30	02/12/20	69.28		0.02	4 of 8 - Sale ²⁵
	4.000	92.40	02/12/20	92.37		0.03	5 of 8 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Proceeds from Broker and Barter Exchange Transactions

02/14/2021

Account 127564045

2020 **1099-B*** OMB No. 1545-0715

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

1c- Date sold or	.	1d- Proceeds & 6- Reported	1b- Date	1e- Cost or	1f- Accrued mkt disc (D) & 1g- Wash sale loss	Gain or loss(-) & 7- Loss not allowed (X)	A 1 100 1 1 6 10
disposed	Quantity	(G)ross or (N)et	acquired	other basis	disallowed (W)	also not reported (Z)	Additional information
IRGIN GALACTIC	HOLDINGS, INC. / CUSIP: 92	2766K106 / Symbol: (cor	nt'd)				
	4.000	92.40	02/12/20	92.37	···	0.03	6 of 8 - Sale ²⁵
	5.000	115.50	02/12/20	115.46		0.04	7 of 8 - Sale ²⁵
	5.000	115.70	02/12/20	115.46		0.24	8 of 8 - Sale ²⁵
02/12/20	35.000	805.41	Various	790.76		14.65	Total of 8 transactions
	2 transactions fo	r 02/20/20. Total proceed	ds and cost repor	ted to the IRS.			
	20.000	754.08	02/20/20	749.44		4.64	1 of 2 - Sale ²⁵
	10.000	354.05	02/20/20	318.91		35.14	2 of 2 - Sale ²⁵
02/20/20	30.000	1,108.13	Various	1,068.35		39.78	Total of 2 transactions
02/21/20	22.000	760.30	02/21/20	760.33		-0.03	Sale 25
	Security total:	2,862.23		2,805.01	•••	57.22	
VRAP TECHNOLO	OGIES, INC. COMMON STOCK	K / CUSIP: 98212N107 /	Symbol:				
02/18/20	90.000	621.87	02/14/20	607.50	•••	14.37	Sale ²⁵
		r 02/27/20. Total proceed					
	50.000	308.93	02/25/20	287.25		21.68	1 of 2 - Sale ²⁵
	50.000	308.92	02/27/20	285.28		23.64	2 of 2 - Sale ²⁵
02/27/20	100.000	617.85	Various	572.53		45.32	Total of 2 transactions
32/27/20		r 02/28/20. Total proceed				10.02	Total of E transactions
	50.000	293.49	02/28/20	285.59		7.90	1 of 3 - Sale ²⁵
	100.000	586.97	02/28/20	571.38		15.59	2 of 3 - Sale ²⁵
	100.000	586.97	02/28/20	569.88	···	17.09	3 of 3 - Sale ²⁵
02/28/20	250.000	1,467.43	Various	1,426.85	•••	40.58	Total of 3 transactions
02,20,20		r 03/04/20. Total proceed		,		40.00	Total of o transactions
	260.000	1,666.50	03/03/20	1,635.40	•••	31.10	1 of 2 - Sale ²⁵
	100.000	641.97	03/04/20	625.00	···	16.97	2 of 2 - Sale ²⁵
03/04/20	360.000	2,308.47	Various	2,260.40		48.07	Total of 2 transactions
		r 03/05/20. Total proceed			•••		
	75.000	470.98	03/04/20	468.75		2.23	1 of 2 - Sale ²⁵
	100.000	627.97	03/04/20	625.00	•••	2.97	2 of 2 - Sale ²⁵
03/05/20	175.000	1,098.95	Various	1,093.75		5.20	Total of 2 transactions
		or 03/06/20. Total procee				- 	
	1.000	6.23	03/06/20	6.15	•••	0.08	1 of 12 - Sale ²⁵
	1.000	6.23	03/06/20	6.13	•••	0.10	2 of 12 - Sale ²⁵

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

02/14/2021

Robinhood Securities LLC Account 127564045

Proceeds from Broker and Barter Exchange Transactions

(continued)

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1099-B* OMB No. 1545-0715

2020

1a- Description of p	property/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
·	GIES, INC. COMMON STOCK	· , , , , ,	•			(_)	
	1.000	6.23	03/06/20	6.13		0.10	3 of 12 - Sale ²⁵
	1.000	6.23	03/06/20	6.13	···	0.10	4 of 12 - Sale ²⁵
	1.000	6.23	03/06/20	6.13	•	0.10	5 of 12 - Sale ²⁵
	2.000	12.46	03/06/20	12.26		0.20	6 of 12 - Sale ²⁵
	2.000	12.46	03/06/20	12.26		0.20	7 of 12 - Sale ²⁵
	3.000	18.69	03/06/20	18.39	···	0.30	8 of 12 - Sale ²⁵
	3.000	18.69	03/06/20	18.39		0.30	9 of 12 - Sale ²⁵
	14.000	87.22	03/06/20	86.10		1.12	10 of 12 - Sale ²⁵
	65.000	404.94	03/06/20	399.75		5.19	11 of 12 - Sale ²⁵
	86.000	535.75	03/06/20	528.90	•••	6.85	12 of 12 - Sale ²⁵
03/06/20	180.000	1,121.36	Various	1,106.72	•••	14.64	Total of 12 transactions
	6 transactions for	r 03/09/20. Total proceed	ds and cost repor	ted to the IRS.			
	3.000	17.55	03/09/20	17.04		0.51	1 of 6 - Sale ²⁵
	14.000	81.76	03/09/20	79.52		2.24	2 of 6 - Sale ²⁵
	14.000	81.90	03/09/20	79.52		2.38	3 of 6 - Sale ²⁵
	17.000	99.27	03/09/20	96.56		2.71	4 of 6 - Sale ²⁵
	83.000	484.70	03/09/20	471.44		13.26	5 of 6 - Sale ²⁵
	83.000	485.52	03/09/20	471.44		14.08	6 of 6 - Sale ²⁵
03/09/20	214.000	1,250.70	Various	1,215.52		35.18	Total of 6 transactions
	2 transactions for	r 06/01/20. Total proceed	ls and cost repor	ted to the IRS.			
	100.000	730.97	03/09/20	585.88		1 <i>4</i> 5.09	1 of 2 - Sale ²⁵
	190.000	1,388.86	03/09/20	1,113.17		275.69	2 of 2 - Sale ²⁵
06/01/20	290.000	2,119.83	Various	1,699.05		420.78	Total of 2 transactions
	Security total:	10,606.46		9,982.32	···	624.14	
VG ACQUISITION C	CORP. / CUSIP: G9446E105 /	Symbol:					
12/16/20	44.000	497.64	12/08/20	492.80	•••	4.84	Sale ²⁵
Totals :		25,633.74		24,798.60		835.14	
. Glaio .		20,000.14		₹,,,,,,,,,,,		000.14	

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC Account 127564045

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715 (continued) 02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

1a- Description of property/CUSIP/Symbol									
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information		
CHESAPEAKE ENERGY		. , , , ,			,	,			
01/29/20	1.000	0.56	10/11/17	3.80		-3.24	Sale ²⁵		
GROUPON, INC. COMMO	ON STOCK / CUSIP: 399	9473107 / Symbol:							
	2 transactions fo	r 01/29/20. Total proceed	ls and cost repo	rted to the IRS.					
01/29/20	1.000 1.000 2.000	2.88 2.87 5.75	10/16/17 10/16/17 Various	4.72 4.70 9.42	 	-1.84 -1.83 -3.67	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions		
SPRINT CORPORATION			various	5.42		5.07	Total of 2 transactions		
01/29/20	1.000	4.50	12/08/17	5.49		-0.99	Sale ²⁵		
ZYNGA INC. CLASS A CO	OMMON STOC K / CUS	IP: 98986T108 / Symbol:							
	2 transactions for	r 01/29/20. Total proceed	ls and cost repo	rted to the IRS.					
01/29/20	1.000 1.000 2.000	6.10 6.11 12.21	10/16/17 10/16/17 Various	3.84 3.82 7.66	 	2.26 2.29 4.55	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions		
AURIS MEDICAL HOLDIN	NG LTD. COM MON SHA	ARES 0.01 SF (BERMU	DA) / CUSIP: G0	7025201 / Symbol	•				
01/29/20	5.000	7.10	10/17/18	110.00	•••	-102.90	Sale ²⁵		
TOP SHIPS, INC. COMMO	ON STOCK / CUSIP: Y8	897Y164 / Symbol:							
	2 transactions for	r 01/29/20. Total proceed	ls and cost repo	rted to the IRS.					
01/29/20 Totals :	1.000 5.000 6.000	0.71 3.54 4.25 34.37	11/17/17 11/21/17 Various	114.56 535.00 649.56 785.93	 	-113.85 -531.46 -645.31 -751.56	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions		

^{*} This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

10

2020 End Notes for Account 127564045 Robinhood Securities LLC

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option "or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR)

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Page 10 of 1