

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 920101938	Statement Date: 02/14/2021 Document ID: 77DU 1EU 4V4D	2020
	Srihari Nuthikattu 1324 South Finley Road 30 Lombard, IL 60148 RECIPIENT'S TIN: XXX-XX-0523		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 78.56</p> <p>1b- Qualified dividends 76.98</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 2.58</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 1.58</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 16.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
--	--

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	132,858.44	143,445.91	0.00	15,997.09	5,409.62
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	4.48	5.34	0.00	0.00	-0.86
	Total Short-term	132,862.92	143,451.25	0.00	15,997.09	5,408.76
Long	D (basis reported to the IRS)	132.32	263.23	0.00	0.00	-130.91
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	132.32	263.23	0.00	0.00	-130.91
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	132,995.24	143,714.48	0.00	15,997.09	5,277.85
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 920101938

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	159.36
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/30/2020 CALL \$128.00 / CUSIP: / Symbol:							
10/26/20	1.000	44.98	10/23/20	42.00	...	2.98	Option sale to close-call ²⁵
	2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	1.000	13.98	10/23/20	36.00	22.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	12.98	10/26/20	52.02	39.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
10/28/20	2.000	26.96	Various	88.02	61.06 W	0.00	Total of 2 transactions
10/29/20	1.000	19.98	10/26/20	64.04	...	-44.06	Option sale to close-call ²⁵
	Security total:	91.92		194.06	61.06 W	-41.08	
AAPL 11/13/2020 CALL \$134.00 / CUSIP: / Symbol:							
	2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	1.000	6.99	10/28/20	44.00	...	-37.01	1 of 2 - Option sale to close-call ²⁵
	1.000	6.99	10/30/20	16.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
11/05/20	2.000	13.98	Various	60.00	...	-46.02	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/20/2020 CALL \$135.00 / CUSIP: / Symbol:							
11/09/20	2.000	35.98	10/30/20	30.00	...	5.98	Option sale to close-call ²⁵
ACB 12/18/2020 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	2.000	83.98	11/13/20	6.00	...	77.98	1 of 2 - Option sale to close-call ²⁵
	3.000	125.98	11/13/20	9.00	...	116.98	2 of 2 - Option sale to close-call ²⁵
11/30/20	5.000	209.96	Various	15.00	...	194.96	Total of 2 transactions
3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	11/13/20	3.00	...	41.99	1 of 3 - Option sale to close-call ²⁵
	1.000	44.99	11/13/20	2.00	...	42.99	2 of 3 - Option sale to close-call ²⁵
	3.000	134.98	11/13/20	6.00	...	128.98	3 of 3 - Option sale to close-call ²⁵
12/01/20	5.000	224.96	Various	11.00	...	213.96	Total of 3 transactions
	Security total:	434.92		26.00	...	408.92	
AZUL 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	76.98	12/01/20	45.00	...	31.98	1 of 2 - Option sale to close-call ²⁵
	1.000	76.98	12/01/20	45.00	...	31.98	2 of 2 - Option sale to close-call ²⁵
12/03/20	2.000	153.96	Various	90.00	...	63.96	Total of 2 transactions
BGS 01/15/2021 CALL \$32.50 / CUSIP: / Symbol:							
12/15/20	1.000	12.98	12/08/20	15.00	...	-2.02	Option sale to close-call ²⁵
BLNK 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/16/20	1.000	84.98	11/25/20	140.00	...	-55.02	Option sale to close-call ²⁵
BLNK 01/15/2021 PUT \$7.50 / CUSIP: / Symbol:							
12/15/20	1.000	4.98	12/08/20	15.00	...	-10.02	Option sale to close-put ²⁵
BLNK 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	11/25/20	145.02	...	-130.03	1 of 2 - Option sale to close-call ²⁵
	1.000	14.99	11/25/20	40.00	...	-25.01	2 of 2 - Option sale to close-call ²⁵
12/03/20	2.000	29.98	Various	185.02	...	-155.04	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLNK 12/18/2020 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
12/03/20	1.000	14.98	11/24/20	120.00	105.02 W	0.00	Option sale to close-call ²⁵
Security total:		44.96		305.02	105.02 W	-155.04	
BYND 12/04/2020 CALL \$170.00 / CUSIP: / Symbol:							
11/13/20	1.000	41.98	11/10/20	40.00	...	1.98	Option sale to close-call ²⁵
CCL 12/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
11/24/20	3.000	98.98	11/17/20	84.00	...	14.98	Option sale to close-call ²⁵
CLNE 02/19/2021 CALL \$9.00 / CUSIP: / Symbol:							
12/28/20	1.000	174.98	12/23/20	175.00	...	-0.02	Option sale to close-call ²⁵
EGAN 11/20/2020 CALL \$17.50 / CUSIP: / Symbol:							
11/12/20	1.000	9.98	11/11/20	9.00	...	0.98	Option sale to close-call ²⁵
EOSE 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/24/20	1.000	64.98	11/25/20	85.00	...	-20.02	Option sale to close-call ²⁵
FCEL 12/18/2020 CALL \$10.00 / CUSIP: / Symbol:							
11/27/20	1.000	210.98	11/24/20	131.00	...	79.98	Option sale to close-call ²⁵
12/01/20	1.000	147.98	11/24/20	131.00	...	16.98	Option sale to close-call ²⁵
Security total:		358.96		262.00	...	96.96	
IDEX 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
12/15/20	2.000	49.98	11/24/20	240.00	...	-190.02	Option sale to close-call ²⁵
INTC 01/15/2021 CALL \$47.00 / CUSIP: / Symbol:							
11/11/20	1.000	177.98	10/23/20	330.00	152.02 W	0.00	Option sale to close-call ²⁵
11/13/20	1.000	139.98	10/26/20	407.02	...	-267.04	Option sale to close-call ²⁵
Security total:		317.96		737.02	152.02 W	-267.04	
IVR 01/21/2022 PUT \$2.00 / CUSIP: / Symbol:							
09/11/20	1.000	-6.02	09/10/20	0.00	...	-6.02	Short sale closed- put ²⁵ Option written: 09/09/20
IVR 04/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
11/12/20	1.000	70.98	09/02/20	65.00	...	5.98	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LI 12/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
11/12/20	2.000	181.98	11/11/20	114.00	...	67.98	Option sale to close-call ²⁵
11/13/20	1.000	814.97	11/12/20	362.00	...	452.97	Option sale to close-call ²⁵
	Security total:	996.95		476.00	...	520.95	
MRNA 01/15/2021 CALL \$150.00 / CUSIP: / Symbol:							
12/18/20	1.000	1,219.96	11/18/20	76.00	...	1,143.96	Option sale to close-call ²⁵
12/22/20	1.000	814.97	11/24/20	75.00	...	739.97	Option sale to close-call ²⁵
	Security total:	2,034.93		151.00	...	1,883.93	
MRNA 02/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
12/29/20	1.000	231.98	12/22/20	500.00	268.02 W	0.00	Option sale to close-call ²⁵
12/31/20	1.000	108.99	12/30/20	180.00	...	-71.01	Option sale to close-call ²⁵
	<i>5 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	108.99	12/23/20	628.02	519.03 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	108.99	12/24/20	465.00	356.01 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	108.98	12/28/20	859.03	750.05 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	108.99	12/28/20	631.01	522.02 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	109.00	12/28/20	960.05	851.05 W	0.00	5 of 5 - Option sale to close-call ²⁵
12/31/20	5.000	544.95	Various	3,543.11	2,998.16 W	0.00	Total of 5 transactions
	Security total:	885.92		4,223.11	3,266.18 W	-71.01	
MRNA 12/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	60.98	11/17/20	44.00	...	16.98	1 of 2 - Option sale to close-call ²⁵
	1.000	60.98	11/17/20	44.00	...	16.98	2 of 2 - Option sale to close-call ²⁵
11/19/20	2.000	121.96	Various	88.00	...	33.96	Total of 2 transactions
MRNA 12/24/2020 CALL \$145.00 / CUSIP: / Symbol:							
11/30/20	1.000	1,919.94	11/25/20	167.00	...	1,752.94	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 11/27/2020 CALL \$63.00 / CUSIP: / Symbol:							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	39.99	11/13/20	320.00	280.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	39.99	11/13/20	195.00	155.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/18/20	2.000	79.98	Various	515.00	435.02 W	0.00	Total of 2 transactions
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	62.99	11/16/20	394.01	...	-331.02	1 of 2 - Option sale to close-call ²⁵
	1.000	62.99	11/16/20	269.01	...	-206.02	2 of 2 - Option sale to close-call ²⁵
11/19/20	2.000	125.98	Various	663.02	...	-537.04	Total of 2 transactions
	Security total:	205.96		1,178.02	435.02 W	-537.04	
NIU 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	4.99	11/23/20	106.02	...	-101.03	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	11/23/20	26.00	...	-21.01	2 of 2 - Option sale to close-call ²⁵
12/03/20	2.000	9.98	Various	132.02	...	-122.04	Total of 2 transactions
12/03/20	1.000	4.98	11/20/20	85.00	80.02 W	0.00	Option sale to close-call ²⁵
	Security total:	14.96		217.02	80.02 W	-122.04	
NKLA 01/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
12/15/20	1.000	4.98	11/25/20	40.00	...	-35.02	Option sale to close-call ²⁵
NVAX 01/15/2021 PUT \$40.00 / CUSIP: / Symbol:							
12/23/20	1.000	36.98	12/04/20	30.00	...	6.98	Option sale to close-put ²⁵
OXY 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
11/11/20	1.000	429.98	09/10/20	330.00	...	99.98	Option sale to close-call ²⁵
PFE 06/18/2021 CALL \$38.00 / CUSIP: / Symbol:							
10/23/20	1.000	319.98	10/19/20	330.00	10.02 W	0.00	Option sale to close-call ²⁵
PFE1 06/18/2021 CALL \$38.00 / CUSIP: / Symbol:							
12/15/20	1.000	484.97	10/20/20	299.02	...	185.95	Option sale to close-call ²⁵
PLAY 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/28/20	1.000	10.98	11/30/20	27.00	...	-16.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QS 02/19/2021 PUT \$12.50 / CUSIP: / Symbol:							
12/30/20	1.000	16.98	12/22/20	30.00	...	-13.02	Option sale to close-put ²⁵
RIDE 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	11/19/20	65.00	...	-55.01	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	11/19/20	58.00	...	-48.01	2 of 2 - Option sale to close-call ²⁵
12/28/20	2.000	19.98	Various	123.00	...	-103.02	Total of 2 transactions
RIG 09/25/2020 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	09/21/20	16.00	...	-16.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/21/20	4.00	...	-4.00	2 of 2 - Option expiration- long call
09/25/20	5.000	0.00	Various	20.00	...	-20.00	Total of 2 transactions
SPLK 01/15/2021 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	19.99	12/03/20	57.00	...	-37.01	1 of 2 - Option sale to close-call ²⁵
	1.000	19.99	12/03/20	55.00	...	-35.01	2 of 2 - Option sale to close-call ²⁵
12/15/20	2.000	39.98	Various	112.00	...	-72.02	Total of 2 transactions
SRG 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/27/20	1.000	214.98	11/24/20	230.00	...	-15.02	Option sale to close-call ²⁵
TGI 01/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
10/23/20	1.000	319.98	09/23/20	210.00	...	109.98	Option sale to close-call ²⁵
TGI 12/18/2020 CALL \$5.00 / CUSIP: / Symbol:							
09/14/20	1.000	264.98	08/20/20	250.00	...	14.98	Option sale to close-call ²⁵
TLRY 01/21/2022 CALL \$3.50 / CUSIP: / Symbol:							
10/19/20	1.000	299.98	09/22/20	226.00	...	73.98	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 01/15/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
12/18/20	1.000	564.97	12/15/20	550.00	...	14.97	Option sale to close-call ²⁵
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	75.99	12/18/20	430.00	...	-354.01	1 of 2 - Option sale to close-call ²⁵
	1.000	75.99	12/21/20	191.00	...	-115.01	2 of 2 - Option sale to close-call ²⁵
12/22/20	2.000	151.98	Various	621.00	...	-469.02	Total of 2 transactions
	Security total:	716.95		1,171.00	...	-454.05	
TSLA 01/15/2021 CALL \$950.00 / CUSIP: / Symbol:							
	2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	1.000	399.98	12/14/20	780.00	380.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	444.98	12/15/20	1,050.02	605.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/17/20	2.000	844.96	Various	1,830.02	985.06 W	0.00	Total of 2 transactions
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	90.99	12/16/20	950.04	859.05 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	90.99	12/18/20	560.00	469.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/22/20	2.000	181.98	Various	1,510.04	1,328.06 W	0.00	Total of 2 transactions
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	1.000	116.98	12/18/20	1,339.05	...	-1,222.07	1 of 2 - Option sale to close-call ²⁵
	1.000	117.98	12/18/20	894.01	...	-776.03	2 of 2 - Option sale to close-call ²⁵
12/23/20	2.000	234.96	Various	2,233.06	...	-1,998.10	Total of 2 transactions
	Security total:	1,261.90		5,573.12	2,313.12 W	-1,998.10	
TSLA 12/31/2020 CALL \$1,150.00 / CUSIP: / Symbol:							
12/07/20	1.000	124.98	12/02/20	144.00	19.02 W	0.00	Option sale to close-call ²⁵
12/11/20	1.000	186.98	12/03/20	110.02	...	76.96	Option sale to close-call ²⁵
	Security total:	311.96		254.02	19.02 W	76.96	
TSLA 12/31/2020 CALL \$950.00 / CUSIP: / Symbol:							
12/08/20	1.000	839.97	12/03/20	340.00	...	499.97	Option sale to close-call ²⁵
12/11/20	1.000	639.97	12/09/20	790.00	150.03 W	0.00	Option sale to close-call ²⁵
	2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	1.000	304.99	12/10/20	830.03	...	-525.04	1 of 2 - Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/31/2020 CALL \$950.00 / CUSIP: / Symbol: (cont'd)							
	1.000	304.98	12/11/20	575.00	...	-270.02	2 of 2 - Option sale to close-call ²⁵
12/15/20	2.000	609.97	Various	1,405.03	...	-795.06	Total of 2 transactions
	Security total:	2,089.91		2,535.03	150.03 W	-295.09	
TSLA 12/31/2020 PUT \$60.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/03/20	3.00	...	-3.00	Option expiration- long put
UAL 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/24/20	1.000	309.98	11/18/20	305.00	...	4.98	Option sale to close-call ²⁵
VXRT 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
12/02/20	1.000	109.98	11/27/20	85.00	...	24.98	Option sale to close-call ²⁵
WKHS 04/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
12/16/20	1.000	174.98	12/02/20	175.00	...	-0.02	Option sale to close-call ²⁵
XPEV 02/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/30/20	1.000	374.98	12/24/20	365.00	...	9.98	Option sale to close-call ²⁵
XPEV 11/20/2020 CALL \$50.00 / CUSIP: / Symbol:							
	2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	1.000	55.98	11/11/20	47.00	...	8.98	1 of 2 - Option sale to close-call ²⁵
	1.000	55.98	11/11/20	47.00	...	8.98	2 of 2 - Option sale to close-call ²⁵
11/12/20	2.000	111.96	Various	94.00	...	17.96	Total of 2 transactions
XPEV 12/24/2020 CALL \$85.00 / CUSIP: / Symbol:							
12/07/20	1.000	24.98	11/25/20	515.00	...	-490.02	Option sale to close-call ²⁵
XPEV 12/31/2020 CALL \$80.00 / CUSIP: / Symbol:							
11/24/20	1.000	1,029.96	11/24/20	920.00	...	109.96	Option sale to close-call ²⁵
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
	2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	40.000	78.40	05/08/20	70.80	...	7.60	1 of 2 - Sale ²⁵
	260.000	509.57	05/08/20	460.20	...	49.37	2 of 2 - Sale ²⁵
05/14/20	300.000	587.97	Various	531.00	...	56.97	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol: (cont'd)							
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	3.18	05/14/20	2.13	...	1.05	1 of 3 - Sale ²⁵
	100.000	318.06	11/18/20	300.00	...	18.06	2 of 3 - Sale ²⁵
	459.000	1,459.90	11/18/20	1,377.00	...	82.90	3 of 3 - Sale ²⁵
11/19/20	560.000	1,781.14	Various	1,679.13	...	102.01	Total of 3 transactions
	Security total:	2,369.11		2,210.13	...	158.98	
ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol:							
2 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	1.000	0.84	01/29/20	0.68	...	0.16	1 of 2 - Sale ²⁵
	89.000	75.09	01/29/20	60.97	...	14.12	2 of 2 - Sale ²⁵
01/30/20	90.000	75.93	Various	61.65	...	14.28	Total of 2 transactions
2 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	10.000	8.44	01/02/20	11.10	2.66 W	0.00	1 of 2 - Sale ²⁵
	100.000	84.37	01/21/20	103.50	19.13 W	0.00	2 of 2 - Sale ²⁵
01/30/20	110.000	92.81	Various	114.60	21.79 W	0.00	Total of 2 transactions
4 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1.04	01/29/20	0.68	...	0.36	1 of 4 - Sale ²⁵
	10.000	10.40	01/29/20	9.51	...	0.89	2 of 4 - Sale ²⁵
	100.000	104.00	01/29/20	87.63	...	16.37	3 of 4 - Sale ²⁵
	89.000	92.56	02/07/20	77.08	...	15.48	4 of 4 - Sale ²⁵
02/10/20	200.000	208.00	Various	174.90	...	33.10	Total of 4 transactions
3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	100.000	56.99	02/13/20	103.00	...	-46.01	1 of 3 - Sale ²⁵
	1.000	0.57	02/13/20	1.05	...	-0.48	2 of 3 - Sale ²⁵
	188.000	107.13	02/27/20	142.49	...	-35.36	3 of 3 - Sale ²⁵
03/12/20	289.000	164.69	Various	246.54	...	-81.85	Total of 3 transactions
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	11.000	6.27	02/07/20	9.53	3.26 W	0.00	1 of 2 - Sale ²⁵
	100.000	56.99	02/12/20	103.88	0.94 W	-45.95	2 of 2 - Sale ²⁵
03/12/20	111.000	63.26	Various	113.41	4.20 W	-45.95	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol: (cont'd)							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	11.000	6.56	02/27/20	11.59	...	-5.03	1 of 2 - Sale ²⁵
	1.000	0.60	02/27/20	1.23	...	-0.63	2 of 2 - Sale ²⁵
04/21/20	12.000	7.16	Various	12.82	...	-5.66	Total of 2 transactions
04/22/20	1.000	0.60	03/13/20	1.05	...	-0.45	Sale ²⁵
Security total:		612.45		724.97	25.99 W	-86.53	
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
12/24/20	1.000	159.02	12/10/20	154.92	...	4.10	Sale ²⁵
ALLIANCE DATA SYSTEMS CORPORATION / CUSIP: 018581108 / Symbol:							
04/23/20	10.000	409.37	04/22/20	366.90	...	42.47	Sale ²⁵
05/06/20	10.000	442.03	05/05/20	462.90	20.87 W	0.00	Sale ²⁵
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	10.000	440.31	05/15/20	395.87	...	44.44	1 of 2 - Sale ²⁵
	10.000	440.30	05/15/20	375.00	...	65.30	2 of 2 - Sale ²⁵
05/21/20	20.000	880.61	Various	770.87	...	109.74	Total of 2 transactions
Security total:		1,732.01		1,600.67	20.87 W	152.21	
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	30.000	282.06	04/22/20	303.90	21.84 W	0.00	1 of 4 - Sale ²⁵
	20.000	188.04	05/01/20	228.97	40.93 W	0.00	2 of 4 - Sale ²⁵
	30.000	270.06	05/01/20	365.30	95.24 W	0.00	3 of 4 - Sale ²⁵
	20.000	180.04	05/05/20	234.13	54.09 W	0.00	4 of 4 - Sale ²⁵
05/13/20	100.000	920.20	Various	1,132.30	212.10 W	0.00	Total of 4 transactions
05/19/20	30.000	302.76	05/05/20	385.04	82.28 W	0.00	Sale ²⁵
05/21/20	50.000	498.49	05/06/20	459.00	...	39.49	Sale ²⁵
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	30.000	299.09	05/05/20	367.88	68.79 W	0.00	1 of 2 - Sale ²⁵
	20.000	199.39	05/05/20	244.49	45.10 W	0.00	2 of 2 - Sale ²⁵
05/21/20	50.000	498.48	Various	612.37	113.89 W	0.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	30.000	341.75	05/06/20	348.54	...	-6.79	1 of 2 - Sale ²⁵
	20.000	227.83	05/06/20	231.60	...	-3.77	2 of 2 - Sale ²⁵
05/28/20	50.000	569.58	Various	580.14	...	-10.56	Total of 2 transactions
	Security total:	2,789.51		3,168.85	408.27 W	28.93	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
01/13/20	50.000	247.75	01/06/20	237.75	...	10.00	Sale ²⁵
01/14/20	45.000	231.08	01/13/20	244.70	...	-13.62	Sale ²⁵
	Security total:	478.83		482.45	...	-3.62	
ARCONIC CORPORATION / CUSIP: 03966V107 / Symbol:							
04/29/20	50.000	428.98	04/14/20	478.00	49.02 W	0.00	Sale ²⁵
05/01/20	10.000	86.15	04/15/20	98.80	12.65 W	0.00	Sale ²⁵
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	10.000	84.70	04/16/20	95.21	10.51 W	0.00	1 of 2 - Sale ²⁵
	10.000	84.70	04/24/20	94.45	9.75 W	0.00	2 of 2 - Sale ²⁵
05/13/20	20.000	169.40	Various	189.66	20.26 W	0.00	Total of 2 transactions
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	10.000	95.55	04/24/20	92.31	...	3.24	1 of 3 - Sale ²⁵
	10.000	95.55	04/24/20	90.75	...	4.80	2 of 3 - Sale ²⁵
	30.000	286.65	04/24/20	274.81	...	11.84	3 of 3 - Sale ²⁵
05/19/20	50.000	477.75	Various	457.87	...	19.88	Total of 3 transactions
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	10.000	142.10	04/24/20	81.00	...	61.10	1 of 2 - Sale ²⁵
	50.000	710.48	04/28/20	415.50	...	294.98	2 of 2 - Sale ²⁵
05/29/20	60.000	852.58	Various	496.50	...	356.08	Total of 2 transactions
	Security total:	2,014.86		1,720.83	81.93 W	375.96	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
2 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	50.000	101.74	11/15/19	143.25	...	-41.51	1 of 2 - Sale ²⁵
	50.000	101.75	11/27/19	122.50	...	-20.75	2 of 2 - Sale ²⁵
01/02/20	100.000	203.49	Various	265.75	...	-62.26	Total of 2 transactions
02/12/20	100.000	152.11	02/11/20	164.85	12.74 W	0.00	Sale ²⁵
02/27/20	100.000	144.99	02/12/20	163.62	18.63 W	0.00	Sale ²⁵
3 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	50.000	40.50	03/26/20	57.02	...	-16.52	1 of 3 - Sale ²⁵
	48.000	38.96	03/26/20	54.73	...	-15.77	2 of 3 - Sale ²⁵
	2.000	1.62	03/26/20	2.28	...	-0.66	3 of 3 - Sale ²⁵
04/01/20	100.000	81.08	Various	114.03	...	-32.95	Total of 3 transactions
	Security total:	581.67		708.25	31.37 W	-95.21	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
11/16/20	100.000	673.24	10/20/20	435.81	...	237.43	Sale ²⁵
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
05/04/20	50.000	414.01	04/30/20	462.00	47.99 W	0.00	Sale ²⁵
05/06/20	50.000	386.51	05/01/20	457.99	...	-71.48	Sale ²⁵
	Security total:	800.52		919.99	47.99 W	-71.48	
BALLARD POWER SYSTEMS, INC. COMMON SHARES / CUSIP: 058586108 / Symbol:							
11/27/20	10.000	208.15	11/23/20	205.60	...	2.55	Sale ²⁵
MICROSECTORS US BIG OIL 3X LEVERAGED ETN / CUSIP: 06367V105 / Symbol:							
6 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	5.000	114.55	07/09/20	291.50	176.95 W	0.00	1 of 6 - Sale ²⁵
	5.000	114.55	08/31/20	279.00	164.45 W	0.00	2 of 6 - Sale ²⁵
	5.000	114.55	09/03/20	255.50	140.95 W	0.00	3 of 6 - Sale ²⁵
	5.000	114.56	09/10/20	208.50	93.94 W	0.00	4 of 6 - Sale ²⁵
	5.000	114.55	09/23/20	171.00	56.45 W	0.00	5 of 6 - Sale ²⁵
	5.000	114.56	09/23/20	171.00	56.44 W	0.00	6 of 6 - Sale ²⁵
10/30/20	30.000	687.32	Various	1,376.50	689.18 W	0.00	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 06367V105 / Symbol: (cont'd)							
6 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	5.000	195.84	10/26/20	305.63	...	-109.79	1 of 6 - Sale ²⁵
	5.000	195.85	10/26/20	293.13	...	-97.28	2 of 6 - Sale ²⁵
	5.000	195.84	10/26/20	269.63	...	-73.79	3 of 6 - Sale ²⁵
	5.000	195.85	10/26/20	222.62	...	-26.77	4 of 6 - Sale ²⁵
	5.000	195.84	10/26/20	185.13	...	10.71	5 of 6 - Sale ²⁵
	5.000	195.85	10/26/20	185.12	...	10.73	6 of 6 - Sale ²⁵
11/09/20	30.000	1,175.07	Various	1,461.26	...	-286.19	Total of 6 transactions
	Security total:	1,862.39		2,837.76	689.18 W	-286.19	
BERKSHIRE HILLS BANCORP, INC. / CUSIP: 084680107 / Symbol:							
05/07/20	48.000	556.06	05/06/20	562.80	6.74 W	0.00	Sale ²⁵
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	48.000	562.78	05/07/20	563.78	...	-1.00	1 of 2 - Sale ²⁵
	2.000	23.45	05/07/20	23.21	...	0.24	2 of 2 - Sale ²⁵
05/08/20	50.000	586.23	Various	586.99	...	-0.76	Total of 2 transactions
	Security total:	1,142.29		1,149.79	6.74 W	-0.76	
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
01/21/20	149.000	51.98	01/08/20	61.59	...	-9.61	Sale ²⁵
01/21/20	10.000	3.49	01/02/20	3.59	0.01 W	-0.09	Sale ²⁵
3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	97.000	30.36	03/09/20	42.68	...	-12.32	1 of 3 - Sale ²⁵
	1.000	0.31	03/09/20	0.55	...	-0.24	2 of 3 - Sale ²⁵
	1.000	0.32	03/09/20	0.44	...	-0.12	3 of 3 - Sale ²⁵
03/12/20	99.000	30.99	Various	43.67	...	-12.68	Total of 3 transactions
03/12/20	1.000	0.31	01/08/20	0.42	0.11 W	0.00	Sale ²⁵
04/22/20	1.000	0.40	03/09/20	0.44	...	-0.04	Sale ²⁵
	Security total:	87.17		109.71	0.12 W	-22.42	
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol:							
06/22/20	100.000	207.49	06/04/20	220.99	13.50 W	0.00	Sale ²⁵
07/14/20	100.000	180.49	06/17/20	206.50	...	-26.01	Sale ²⁵
	Security total:	387.98		427.49	13.50 W	-26.01	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
04/01/20	15.000	211.35	03/18/20	136.65	...	74.70	Sale ²⁵
CANTEL MEDICAL CORPORATION / CUSIP: 138098108 / Symbol:							
<i>4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	320.56	04/15/20	317.50	...	3.06	1 of 4 - Sale ²⁵
	5.000	160.28	04/16/20	150.55	...	9.73	2 of 4 - Sale ²⁵
	5.000	166.51	04/16/20	150.55	...	15.96	3 of 4 - Sale ²⁵
	10.000	333.01	04/21/20	270.00	...	63.01	4 of 4 - Sale ²⁵
05/06/20	30.000	980.36	Various	888.60	...	91.76	Total of 4 transactions
CHANGE HEALTHCARE INC. COMMON STOCK / CUSIP: 15912K100 / Symbol:							
04/02/20	50.000	481.62	04/01/20	462.00	...	19.62	Sale ²⁵
CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol:							
<i>3 transactions for 01/17/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	33.20	07/08/19	96.49	...	-63.29	1 of 3 - Sale ²⁵
	50.000	33.21	07/08/19	96.26	...	-63.05	2 of 3 - Sale ²⁵
	100.000	66.41	08/01/19	170.00	...	-103.59	3 of 3 - Sale ²⁵
01/17/20	200.000	132.82	Various	362.75	...	-229.93	Total of 3 transactions
<i>2 transactions for 02/07/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	52.71	11/06/19	90.14	...	-37.43	1 of 2 - Sale ²⁵
	100.000	52.71	11/06/19	90.01	...	-37.30	2 of 2 - Sale ²⁵
02/07/20	200.000	105.42	Various	180.15	...	-74.73	Total of 2 transactions
<i>2 transactions for 02/13/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	50.38	11/12/19	71.99	...	-21.61	1 of 2 - Sale ²⁵
	100.000	50.38	11/12/19	66.21	...	-15.83	2 of 2 - Sale ²⁵
02/13/20	200.000	100.76	Various	138.20	...	-37.44	Total of 2 transactions
02/24/20	100.000	46.58	11/19/19	59.18	...	-12.60	Sale ²⁵
	Security total:	385.58		740.28	...	-354.70	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHILDREN'S PLACE, INC. (THE) C OMMON STOCK / CUSIP: 168905107 / Symbol:							
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	1.000	9.42	03/18/20	22.70	...	-13.28	1 of 2 - Sale ²⁵
03/18/20	1.000	9.42	03/18/20	22.67	...	-13.25	2 of 2 - Sale ²⁵
	2.000	18.84	Various	45.37	...	-26.53	Total of 2 transactions
13 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	9.000	109.80	03/16/20	273.89	164.09 W	0.00	1 of 13 - Sale ²⁵
	1.000	12.20	03/16/20	30.44	18.24 W	0.00	2 of 13 - Sale ²⁵
	2.000	24.40	03/16/20	57.30	32.90 W	0.00	3 of 13 - Sale ²⁵
	1.000	12.20	03/17/20	23.67	11.47 W	0.00	4 of 13 - Sale ²⁵
	1.000	12.20	03/17/20	22.87	10.67 W	0.00	5 of 13 - Sale ²⁵
	2.000	24.40	03/17/20	45.80	21.40 W	0.00	6 of 13 - Sale ²⁵
	1.000	12.20	03/17/20	22.65	10.45 W	0.00	7 of 13 - Sale ²⁵
	1.000	12.20	03/17/20	21.92	9.72 W	0.00	8 of 13 - Sale ²⁵
	2.000	24.40	03/17/20	40.60	16.20 W	0.00	9 of 13 - Sale ²⁵
	1.000	9.42	03/18/20	33.86	24.44 W	0.00	10 of 13 - Sale ²⁵
	9.000	84.77	03/18/20	304.69	219.92 W	0.00	11 of 13 - Sale ²⁵
	2.000	18.83	03/18/20	56.90	38.07 W	0.00	12 of 13 - Sale ²⁵
	1.000	9.42	03/18/20	23.47	14.05 W	0.00	13 of 13 - Sale ²⁵
03/18/20	33.000	366.44	Various	958.06	591.62 W	0.00	Total of 13 transactions
9 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	5.000	92.75	03/18/20	173.63	...	-80.88	1 of 9 - Sale ²⁵
	4.000	74.20	03/18/20	147.79	...	-73.59	2 of 9 - Sale ²⁵
	2.000	37.10	03/18/20	63.09	...	-25.99	3 of 9 - Sale ²⁵
	1.000	18.55	03/18/20	36.95	...	-18.40	4 of 9 - Sale ²⁵
	1.000	18.55	03/18/20	26.56	...	-8.01	5 of 9 - Sale ²⁵
	1.000	18.55	03/18/20	22.70	...	-4.15	6 of 9 - Sale ²⁵
	1.000	18.55	03/18/20	22.45	...	-3.90	7 of 9 - Sale ²⁵
	1.000	18.55	03/18/20	21.72	...	-3.17	8 of 9 - Sale ²⁵
	2.000	37.10	03/18/20	40.20	...	-3.10	9 of 9 - Sale ²⁵
04/01/20	18.000	333.90	Various	555.09	...	-221.19	Total of 9 transactions
	Security total:	719.18		1,558.52	591.62 W	-247.72	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
01/29/20	40.000	361.29	01/24/20	357.40	...	3.89	Sale ²⁵
01/30/20	10.000	84.15	01/30/20	86.69	2.54 W	0.00	Sale ²⁵
02/05/20	20.000	197.49	01/30/20	173.37	...	24.12	Sale ²⁵
	2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.						
	10.000	95.31	02/24/20	101.64	6.33 W	0.00	1 of 2 - Sale ²⁵
	10.000	95.31	02/24/20	99.10	3.79 W	0.00	2 of 2 - Sale ²⁵
02/24/20	20.000	190.62	Various	200.74	10.12 W	0.00	Total of 2 transactions
	3 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	10.000	84.12	02/24/20	99.08	7.48 W	-7.48	1 of 3 - Sale ²⁵
	20.000	168.25	02/24/20	198.20	29.95 W	0.00	2 of 3 - Sale ²⁵
	10.000	84.12	02/24/20	101.62	17.50 W	0.00	3 of 3 - Sale ²⁵
02/25/20	40.000	336.49	Various	398.90	54.93 W	-7.48	Total of 3 transactions
	3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	20.000	162.66	02/27/20	168.50	...	-5.84	1 of 3 - Sale ²⁵
	10.000	81.33	02/27/20	86.78	...	-5.45	2 of 3 - Sale ²⁵
	5.000	40.67	02/27/20	42.12	...	-1.45	3 of 3 - Sale ²⁵
03/04/20	35.000	284.66	Various	297.40	...	-12.74	Total of 3 transactions
	Security total:	1,454.70		1,514.50	67.59 W	7.79	
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol:							
03/16/20	10.000	90.11	03/13/20	99.10	...	-8.99	Sale ²⁵
COTY INC / CUSIP: 222070203 / Symbol:							
07/31/20	100.000	382.99	05/13/20	371.83	...	11.16	Sale ²⁵
10/15/20	100.000	333.23	05/15/20	326.83	...	6.40	Sale ²⁵
10/20/20	100.000	328.16	05/20/20	317.82	...	10.34	Sale ²⁵
	Security total:	1,044.38		1,016.48	...	27.90	
CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol:							
	3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	19.000	330.07	05/08/20	452.96	122.89 W	0.00	1 of 3 - Sale ²⁵
	1.000	17.37	05/12/20	20.16	2.79 W	0.00	2 of 3 - Sale ²⁵
	19.000	316.58	05/12/20	505.93	189.35 W	0.00	3 of 3 - Sale ²⁵
05/13/20	39.000	664.02	Various	979.05	315.03 W	0.00	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol: (cont'd)							
<i>5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	19.000	133.26	06/09/20	562.82	...	-429.56	1 of 5 - Sale ²⁵
	20.000	140.27	06/09/20	393.11	...	-252.84	2 of 5 - Sale ²⁵
	1.000	7.01	06/09/20	22.45	...	-15.44	3 of 5 - Sale ²⁵
	39.000	273.53	06/23/20	371.28	...	-97.75	4 of 5 - Sale ²⁵
	1.000	7.01	06/23/20	9.52	...	-2.51	5 of 5 - Sale ²⁵
06/25/20	80.000	561.08	Various	1,359.18	...	-798.10	Total of 5 transactions
<i>3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	19.000	158.15	05/21/20	472.83	314.68 W	0.00	1 of 3 - Sale ²⁵
	1.000	8.32	05/21/20	17.71	9.39 W	0.00	2 of 3 - Sale ²⁵
	20.000	166.49	05/21/20	298.40	131.91 W	0.00	3 of 3 - Sale ²⁵
06/25/20	40.000	332.96	Various	788.94	455.98 W	0.00	Total of 3 transactions
	Security total:	1,558.06		3,127.17	771.01 W	-798.10	
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
07/27/20	10.000	863.63	07/23/20	841.80	...	21.83	Sale ²⁵
08/13/20	10.000	840.68	08/07/20	741.50	...	99.18	Sale ²⁵
09/01/20	10.000	863.73	08/31/20	816.00	...	47.73	Sale ²⁵
09/09/20	10.000	804.38	09/03/20	800.00	...	4.38	Sale ²⁵
	Security total:	3,372.42		3,199.30	...	173.12	
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
12/16/20	1.000	25.90	06/26/20	12.18	...	13.72	Sale ²⁵
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
<i>2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	116.55	04/03/20	103.80	...	12.75	1 of 2 - Sale ²⁵
	5.000	116.55	04/03/20	102.55	...	14.00	2 of 2 - Sale ²⁵
04/21/20	10.000	233.10	Various	206.35	...	26.75	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DILLARDS INC. / CUSIP: 254067101 / Symbol:							
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	10.000	279.69	04/21/20	240.00	...	39.69	1 of 3 - Sale ²⁵
	10.000	279.70	04/22/20	232.50	...	47.20	2 of 3 - Sale ²⁵
	10.000	279.69	04/22/20	226.00	...	53.69	3 of 3 - Sale ²⁵
05/21/20	30.000	839.08	Various	698.50	...	140.58	Total of 3 transactions
DINE BRANDS GLOBAL, INC. / CUSIP: 254423106 / Symbol:							
06/23/20	20.000	891.10	06/19/20	871.02	...	20.08	Sale ²⁵
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	10.000	420.95	06/26/20	375.40	...	45.55	1 of 2 - Sale ²⁵
	10.000	420.95	07/07/20	377.60	...	43.35	2 of 2 - Sale ²⁵
07/27/20	20.000	841.90	Various	753.00	...	88.90	Total of 2 transactions
	Security total:	1,733.00		1,624.02	...	108.98	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:							
03/30/20	49.000	245.00	03/27/20	217.56	...	27.44	Sale ²⁵
03/30/20	1.000	5.00	03/26/20	5.89	0.89 W	0.00	Sale ²⁵
03/31/20	49.000	221.05	03/30/20	217.56	...	3.49	Sale ²⁵
03/31/20	1.000	4.51	03/27/20	5.33	0.82 W	0.00	Sale ²⁵
04/21/20	1.000	6.50	03/30/20	5.26	...	1.24	Sale ²⁵
	Security total:	482.06		451.60	1.71 W	32.17	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	10.000	273.99	04/15/20	230.00	...	43.99	1 of 2 - Sale ²⁵
	10.000	273.99	04/15/20	230.00	...	43.99	2 of 2 - Sale ²⁵
04/21/20	20.000	547.98	Various	460.00	...	87.98	Total of 2 transactions
3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	3.000	83.46	04/22/20	83.10	...	0.36	1 of 3 - Sale ²⁵
	7.000	194.73	04/22/20	193.90	...	0.83	2 of 3 - Sale ²⁵
	10.000	278.19	04/22/20	273.00	...	5.19	3 of 3 - Sale ²⁵
04/27/20	20.000	556.38	Various	550.00	...	6.38	Total of 3 transactions
05/14/20	20.000	614.22	05/06/20	692.85	78.63 W	0.00	Sale ²⁵
07/06/20	10.000	357.00	05/13/20	346.32	...	10.68	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
07/21/20	10.000	345.03	05/14/20	305.36	...	39.67	Sale ²⁵
07/21/20	10.000	345.02	05/13/20	346.31	1.29 W	0.00	Sale ²⁵
08/05/20	10.000	361.12	05/14/20	305.36	...	55.76	Sale ²⁵
	2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	10.000	243.60	06/11/20	396.39	152.79 W	0.00	1 of 2 - Sale ²⁵
	10.000	243.60	06/26/20	323.29	79.69 W	0.00	2 of 2 - Sale ²⁵
10/23/20	20.000	487.20	Various	719.68	232.48 W	0.00	Total of 2 transactions
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	10.000	271.69	07/07/20	323.70	...	-52.01	1 of 3 - Sale ²⁵
	10.000	271.70	07/09/20	302.37	...	-30.67	2 of 3 - Sale ²⁵
	10.000	271.69	09/10/20	255.60	...	16.09	3 of 3 - Sale ²⁵
11/10/20	30.000	815.08	Various	881.67	...	-66.59	Total of 3 transactions
	4 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	10.000	379.59	09/10/20	255.60	...	123.99	1 of 4 - Sale ²⁵
	10.000	379.59	09/23/20	378.68	...	0.91	2 of 4 - Sale ²⁵
	10.000	379.59	09/23/20	305.58	...	74.01	3 of 4 - Sale ²⁵
	30.000	1,138.76	09/23/20	677.67	...	461.09	4 of 4 - Sale ²⁵
11/27/20	60.000	2,277.53	Various	1,617.53	...	660.00	Total of 4 transactions
	Security total:	6,706.56		6,225.08	312.40 W	793.88	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
07/17/20	1.000	195.72	07/14/20	188.50	...	7.22	Sale ²⁵
EMBRAER S.A. / CUSIP: 29082A107 / Symbol:							
	6 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	31.000	157.51	04/30/20	195.46	37.95 W	0.00	1 of 6 - Sale ²⁵
	31.000	157.51	04/30/20	195.46	37.95 W	0.00	2 of 6 - Sale ²⁵
	38.000	193.08	04/30/20	239.78	46.70 W	0.00	3 of 6 - Sale ²⁵
	31.000	156.89	05/01/20	219.30	62.41 W	0.00	4 of 6 - Sale ²⁵
	31.000	156.89	05/01/20	219.30	62.41 W	0.00	5 of 6 - Sale ²⁵
	38.000	192.32	05/01/20	269.00	76.68 W	0.00	6 of 6 - Sale ²⁵
05/06/20	200.000	1,014.20	Various	1,338.30	324.10 W	0.00	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EMBRAER S.A. / CUSIP: 29082A107 / Symbol: (cont'd)							
3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	38.000	184.72	05/07/20	261.36	...	-76.64	1 of 3 - Sale ²⁵
	31.000	150.70	05/07/20	213.07	...	-62.37	2 of 3 - Sale ²⁵
	31.000	150.70	05/07/20	213.07	...	-62.37	3 of 3 - Sale ²⁵
05/12/20	100.000	486.12	Various	687.50	...	-201.38	Total of 3 transactions
	Security total:	1,500.32		2,025.80	324.10 W	-201.38	
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
06/16/20	10.000	543.34	06/03/20	537.90	...	5.44	Sale ²⁵
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	10.000	419.80	06/17/20	553.00	...	-133.20	1 of 3 - Sale ²⁵
	20.000	841.79	06/17/20	918.00	...	-76.21	2 of 3 - Sale ²⁵
	10.000	419.81	06/17/20	489.71	...	-69.90	3 of 3 - Sale ²⁵
06/17/20	40.000	1,681.40	Various	1,960.71	...	-279.31	Total of 3 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	10.000	420.90	06/03/20	537.90	117.00 W	0.00	1 of 2 - Sale ²⁵
	10.000	420.89	06/17/20	483.60	62.71 W	0.00	2 of 2 - Sale ²⁵
06/17/20	20.000	841.79	Various	1,021.50	179.71 W	0.00	Total of 2 transactions
	Security total:	3,066.53		3,520.11	179.71 W	-273.87	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
08/19/20	10.000	809.48	08/11/20	765.00	...	44.48	Sale ²⁵
09/08/20	10.000	820.35	09/04/20	724.00	...	96.35	Sale ²⁵
11/12/20	10.000	756.43	10/23/20	741.00	...	15.43	Sale ²⁵
	Security total:	2,386.26		2,230.00	...	156.26	
FUELCELL ENERGY, INC. COMMON STOCK / CUSIP: 35952H601 / Symbol:							
01/06/20	100.000	219.49	01/02/20	205.50	...	13.99	Sale ²⁵
2 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	1.000	2.08	01/10/20	2.22	0.14 W	0.00	1 of 2 - Sale ²⁵
	99.000	205.92	01/13/20	208.72	2.80 W	0.00	2 of 2 - Sale ²⁵
01/13/20	100.000	208.00	Various	210.94	2.94 W	0.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
2 transactions for 01/28/20. Total proceeds and cost reported to the IRS.							
	1.000	1.77	01/13/20	2.25	0.48 W	0.00	1 of 2 - Sale ²⁵
01/28/20	49.000	86.48	01/22/20	113.10	26.62 W	0.00	2 of 2 - Sale ²⁵
	50.000	88.25	Various	115.35	27.10 W	0.00	Total of 2 transactions
3 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	46.000	76.82	01/23/20	82.03	...	-5.21	1 of 3 - Sale ²⁵
	1.000	1.67	01/23/20	2.29	...	-0.62	2 of 3 - Sale ²⁵
02/04/20	1.000	1.67	01/23/20	2.24	...	-0.57	3 of 3 - Sale ²⁵
	48.000	80.16	Various	86.56	...	-6.40	Total of 3 transactions
2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	1.000	1.67	01/22/20	2.31	0.64 W	0.00	1 of 2 - Sale ²⁵
02/04/20	1.000	1.67	01/23/20	1.98	0.31 W	0.00	2 of 2 - Sale ²⁵
	2.000	3.34	Various	4.29	0.95 W	0.00	Total of 2 transactions
4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	48.000	50.40	01/29/20	105.76	...	-55.36	1 of 4 - Sale ²⁵
	1.000	1.05	01/29/20	2.30	...	-1.25	2 of 4 - Sale ²⁵
	1.000	1.05	01/29/20	1.97	...	-0.92	3 of 4 - Sale ²⁵
03/12/20	28.000	29.40	03/06/20	50.12	...	-20.72	4 of 4 - Sale ²⁵
	78.000	81.90	Various	160.15	...	-78.25	Total of 4 transactions
03/12/20	2.000	2.10	01/23/20	3.57	1.47 W	0.00	Sale ²⁵
04/21/20	1.000	1.62	03/06/20	2.53	...	-0.91	Sale ²⁵
04/22/20	1.000	1.64	03/06/20	2.52	...	-0.88	Sale ²⁵
07/01/20	100.000	229.17	06/30/20	223.85	...	5.32	Sale ²⁵
	Security total:	915.67		1,015.26	32.46 W	-67.13	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/23/20	100.000	283.49	06/17/20	333.00	49.51 W	0.00	Sale ²⁵
07/02/20	100.000	275.99	06/22/20	314.51	38.52 W	0.00	Sale ²⁵
09/23/20	100.000	110.49	06/26/20	290.52	...	-180.03	Sale ²⁵
	Security total:	669.97		938.03	88.03 W	-180.03	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
05/01/20	100.000	360.01	05/01/20	365.83	5.82 W	0.00	Sale ²⁵
	2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	50.000	142.07	05/04/20	140.50	...	1.57	1 of 2 - Sale ²⁵
	50.000	142.08	05/04/20	135.00	...	7.08	2 of 2 - Sale ²⁵
05/05/20	100.000	284.15	Various	275.50	...	8.65	Total of 2 transactions
	2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	50.000	142.07	05/04/20	156.91	14.84 W	0.00	1 of 2 - Sale ²⁵
	50.000	142.08	05/04/20	156.91	14.83 W	0.00	2 of 2 - Sale ²⁵
05/05/20	100.000	284.15	Various	313.82	29.67 W	0.00	Total of 2 transactions
	3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	49.000	82.02	05/22/20	90.98	...	-8.96	1 of 3 - Sale ²⁵
	49.000	82.02	05/22/20	90.97	...	-8.95	2 of 3 - Sale ²⁵
	1.000	1.67	05/22/20	1.86	...	-0.19	3 of 3 - Sale ²⁵
06/04/20	99.000	165.71	Various	183.81	...	-18.10	Total of 3 transactions
06/04/20	1.000	1.67	05/05/20	3.44	1.77 W	0.00	Sale ²⁵
10/14/20	1.000	1.07	05/22/20	3.33	...	-2.26	Sale ²⁵
	Security total:	1,096.76		1,145.73	37.26 W	-11.71	
INDEPENDENCE CONTRACT DRILLING , INC. / CUSIP: 453415606 / Symbol:							
04/22/20	10.000	76.25	04/14/20	99.10	...	-22.85	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
	2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	1.000	9.22	03/03/20	8.78	...	0.44	1 of 2 - Sale ²⁵
	19.000	175.20	03/03/20	169.10	...	6.10	2 of 2 - Sale ²⁵
03/05/20	20.000	184.42	Various	177.88	...	6.54	Total of 2 transactions
	3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	1.000	6.75	03/03/20	8.90	2.15 W	0.00	1 of 3 - Sale ²⁵
	1.000	6.75	03/06/20	12.38	5.63 W	0.00	2 of 3 - Sale ²⁵
	8.000	54.02	03/06/20	125.57	71.55 W	0.00	3 of 3 - Sale ²⁵
03/13/20	10.000	67.52	Various	146.85	79.33 W	0.00	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
5 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	10.88	03/09/20	8.80	...	2.08	1 of 5 - Sale ²⁵
	10.000	108.80	03/09/20	104.80	...	4.00	2 of 5 - Sale ²⁵
	10.000	108.79	03/09/20	88.00	...	20.79	3 of 5 - Sale ²⁵
	2.000	21.75	03/10/20	14.13	...	7.62	4 of 5 - Sale ²⁵
	10.000	108.80	03/10/20	71.10	...	37.70	5 of 5 - Sale ²⁵
05/04/20	33.000	359.02	Various	286.83	...	72.19	Total of 5 transactions
4 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	10.88	03/06/20	17.85	6.97 W	0.00	1 of 4 - Sale ²⁵
	1.000	10.88	03/06/20	21.32	10.44 W	0.00	2 of 4 - Sale ²⁵
	8.000	87.04	03/09/20	161.57	74.53 W	0.00	3 of 4 - Sale ²⁵
	7.000	76.16	03/09/20	78.76	2.60 W	0.00	4 of 4 - Sale ²⁵
05/04/20	17.000	184.96	Various	279.50	94.54 W	0.00	Total of 4 transactions
10 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	12.77	03/10/20	7.01	...	5.76	1 of 10 - Sale ²⁵
	1.000	12.77	03/10/20	6.13	...	6.64	2 of 10 - Sale ²⁵
	1.000	12.77	03/10/20	5.73	...	7.04	3 of 10 - Sale ²⁵
	1.000	12.77	03/10/20	5.72	...	7.05	4 of 10 - Sale ²⁵
	2.000	25.54	03/10/20	13.81	...	11.73	5 of 10 - Sale ²⁵
	2.000	25.54	03/10/20	11.06	...	14.48	6 of 10 - Sale ²⁵
	4.000	51.08	03/10/20	28.04	...	23.04	7 of 10 - Sale ²⁵
	5.000	63.85	03/10/20	35.08	...	28.77	8 of 10 - Sale ²⁵
	8.000	102.16	03/10/20	56.54	...	45.62	9 of 10 - Sale ²⁵
	25.000	319.23	03/10/20	166.10	...	153.13	10 of 10 - Sale ²⁵
05/13/20	50.000	638.48	Various	335.22	...	303.26	Total of 10 transactions
6 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	6.000	180.89	03/10/20	33.16	...	147.73	1 of 6 - Sale ²⁵
	10.000	301.49	03/12/20	76.00	...	225.49	2 of 6 - Sale ²⁵
	10.000	301.49	03/24/20	70.49	...	231.00	3 of 6 - Sale ²⁵
	1.000	30.15	04/15/20	17.34	...	12.81	4 of 6 - Sale ²⁵
	1.000	30.15	04/15/20	13.87	...	16.28	5 of 6 - Sale ²⁵
	7.000	211.05	04/15/20	113.51	...	97.54	6 of 6 - Sale ²⁵
06/25/20	35.000	1,055.22	Various	324.37	...	730.85	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	9.96	04/15/20	16.22	...	-6.26	1 of 3 - Sale ²⁵
	42.000	418.33	04/30/20	494.34	...	-76.01	2 of 3 - Sale ²⁵
	7.000	69.72	04/30/20	84.99	...	-15.27	3 of 3 - Sale ²⁵
09/10/20	50.000	498.01	Various	595.55	...	-97.54	Total of 3 transactions
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	12.37	04/30/20	11.77	...	0.60	1 of 3 - Sale ²⁵
	1.000	12.37	05/01/20	9.90	...	2.47	2 of 3 - Sale ²⁵
	48.000	593.79	05/01/20	475.20	...	118.59	3 of 3 - Sale ²⁵
10/14/20	50.000	618.53	Various	496.87	...	121.66	Total of 3 transactions
12/22/20	1.000	9.78	05/01/20	9.90	...	-0.12	Sale ²⁵
	Security total:	3,615.94		2,652.97	173.87 W	1,136.84	
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
4 transactions for 01/07/20. Total proceeds and cost reported to the IRS.							
	1.111	8.64	01/02/20	11.88	...	-3.24	1 of 4 - Sale ²⁵
	4.444	34.58	01/03/20	103.00	...	-68.42	2 of 4 - Sale ²⁵
	2.222	17.29	01/06/20	25.70	...	-8.41	3 of 4 - Sale ²⁵
	2.222	17.29	01/06/20	24.31	...	-7.02	4 of 4 - Sale ²⁵
01/07/20	10.000	77.80	Various	164.89	...	-87.09	Total of 4 transactions
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
04/09/20	100.000	460.99	04/03/20	190.76	...	270.23	Sale ²⁵
05/15/20	100.000	267.22	04/13/20	403.50	136.28 W	0.00	Sale ²⁵
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	21.000	60.32	04/16/20	98.13	37.81 W	0.00	1 of 3 - Sale ²⁵
	50.000	143.61	04/16/20	240.64	97.03 W	0.00	2 of 3 - Sale ²⁵
	29.000	83.30	04/16/20	135.51	52.21 W	0.00	3 of 3 - Sale ²⁵
05/18/20	100.000	287.23	Various	474.28	187.05 W	0.00	Total of 3 transactions
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	50.000	138.25	04/20/20	230.53	...	-92.28	1 of 5 - Sale ²⁵
	29.000	80.18	04/20/20	129.64	...	-49.46	2 of 5 - Sale ²⁵
	21.000	58.06	04/20/20	93.88	...	-35.82	3 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	98.000	270.96	04/21/20	279.30	...	-8.34	4 of 5 - Sale ²⁵
	2.000	5.53	04/21/20	5.70	...	-0.17	5 of 5 - Sale ²⁵
09/04/20	200.000	552.98	Various	739.05	...	-186.07	Total of 5 transactions
11/27/20	19.000	65.27	06/30/20	86.33	...	-21.06	Sale ²⁵
	Security total:	1,633.69		1,893.92	323.33 W	63.10	
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
11/27/20	100.000	1,410.45	11/10/20	610.00	...	800.45	Sale ²⁵
LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
10/28/20	1.000	1.45	05/27/20	1.36	...	0.09	Sale ²⁵
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	5.000	184.72	03/06/20	188.83	4.11 W	0.00	1 of 2 - Sale ²⁵
	5.000	183.47	03/06/20	188.82	5.35 W	0.00	2 of 2 - Sale ²⁵
03/09/20	10.000	368.19	Various	377.65	9.46 W	0.00	Total of 2 transactions
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	5.000	129.43	03/27/20	133.09	3.66 W	0.00	1 of 2 - Sale ²⁵
	5.000	129.44	03/27/20	134.32	4.88 W	0.00	2 of 2 - Sale ²⁵
04/01/20	10.000	258.87	Various	267.41	8.54 W	0.00	Total of 2 transactions
05/22/20	279.000	386.06	05/21/20	672.11	...	-286.05	Sale ²⁵
18 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	5.000	7.40	04/01/20	131.66	124.26 W	0.00	1 of 18 - Sale ²⁵
	5.000	7.40	04/01/20	132.88	125.48 W	0.00	2 of 18 - Sale ²⁵
	1.000	1.48	04/02/20	4.27	2.79 W	0.00	3 of 18 - Sale ²⁵
	50.000	74.03	04/02/20	212.00	137.97 W	0.00	4 of 18 - Sale ²⁵
	10.000	14.81	04/02/20	42.00	27.19 W	0.00	5 of 18 - Sale ²⁵
	50.000	74.03	04/02/20	200.00	125.97 W	0.00	6 of 18 - Sale ²⁵
	100.000	148.07	05/20/20	251.00	102.93 W	0.00	7 of 18 - Sale ²⁵
	79.000	116.98	05/20/20	200.66	83.68 W	0.00	8 of 18 - Sale ²⁵
	5.000	6.92	05/20/20	136.96	130.04 W	0.00	9 of 18 - Sale ²⁵
	5.000	6.92	05/20/20	138.18	131.26 W	0.00	10 of 18 - Sale ²⁵
	1.000	1.38	05/20/20	5.33	3.95 W	0.00	11 of 18 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	10.000	13.84	05/20/20	52.99	39.15 W	0.00	12 of 18 - Sale ²⁵
	121.000	167.43	05/21/20	306.01	24.05 W	-114.53	13 of 18 - Sale ²⁵
	10.000	13.84	05/21/20	52.48	38.64 W	0.00	14 of 18 - Sale ²⁵
	40.000	55.35	05/21/20	211.54	156.19 W	0.00	15 of 18 - Sale ²⁵
	50.000	69.19	05/21/20	252.42	183.23 W	0.00	16 of 18 - Sale ²⁵
	79.000	109.32	05/21/20	283.47	174.15 W	0.00	17 of 18 - Sale ²⁵
	100.000	138.37	05/21/20	355.83	217.46 W	0.00	18 of 18 - Sale ²⁵
05/22/20	721.000	1,026.76	Various	2,969.68	1,828.39 W	-114.53	Total of 18 transactions
	10 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	100.000	169.98	05/21/20	429.36	...	-259.38	1 of 10 - Sale ²⁵
	79.000	134.28	05/21/20	341.55	...	-207.27	2 of 10 - Sale ²⁵
	50.000	84.99	05/21/20	289.18	...	-204.19	3 of 10 - Sale ²⁵
	40.000	67.99	05/21/20	240.95	...	-172.96	4 of 10 - Sale ²⁵
	5.000	8.50	05/21/20	143.30	...	-134.80	5 of 10 - Sale ²⁵
	5.000	8.50	05/21/20	142.09	...	-133.59	6 of 10 - Sale ²⁵
	10.000	17.00	05/21/20	63.24	...	-46.24	7 of 10 - Sale ²⁵
	10.000	17.00	05/21/20	59.83	...	-42.83	8 of 10 - Sale ²⁵
	20.000	34.00	05/21/20	65.29	...	-31.29	9 of 10 - Sale ²⁵
	1.000	1.70	05/21/20	6.36	...	-4.66	10 of 10 - Sale ²⁵
06/26/20	320.000	543.94	Various	1,781.15	...	-1,237.21	Total of 10 transactions
	Security total:	2,583.82		6,068.00	1,846.39 W	-1,637.79	
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
05/14/20	20.000	537.18	05/06/20	519.00	...	18.18	Sale ²⁵
06/26/20	10.000	328.81	06/24/20	328.50	...	0.31	Sale ²⁵
10/26/20	50.000	1,249.97	10/15/20	1,286.50	...	-36.53	Sale ²⁵
	Security total:	2,115.96		2,134.00	...	-18.04	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
	7 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	1.000	2.27	03/25/20	0.64	...	1.63	1 of 7 - Sale ²⁵
	1.000	2.30	03/25/20	0.67	...	1.63	2 of 7 - Sale ²⁵
	99.000	224.71	03/25/20	66.13	...	158.58	3 of 7 - Sale ²⁵
	200.000	453.96	03/25/20	128.00	...	325.96	4 of 7 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	100.000	229.98	03/30/20	149.00	...	80.98	5 of 7 - Sale ²⁵
	100.000	229.98	04/01/20	127.00	...	102.98	6 of 7 - Sale ²⁵
	99.000	227.68	04/03/20	102.47	...	125.21	7 of 7 - Sale ²⁵
04/09/20	600.000	1,370.88	Various	573.91	...	796.97	Total of 7 transactions
	3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	1.000	1.83	04/03/20	1.03	...	0.80	1 of 3 - Sale ²⁵
	99.000	181.50	04/13/20	180.68	...	0.82	2 of 3 - Sale ²⁵
	100.000	183.33	04/13/20	182.50	...	0.83	3 of 3 - Sale ²⁵
04/28/20	200.000	366.66	Various	364.21	...	2.45	Total of 3 transactions
04/28/20	100.000	183.33	04/13/20	183.67	0.34 W	0.00	Sale ²⁵
05/06/20	99.000	158.89	04/16/20	158.38	...	0.51	Sale ²⁵
05/06/20	1.000	1.60	04/13/20	1.82	0.22 W	0.00	Sale ²⁵
	Security total:	2,081.36		1,281.99	0.56 W	799.93	
MICROBOT MEDICAL INC. COMMON S TOCK / CUSIP: 59503A204 / Symbol:							
	2 transactions for 01/21/20. Total proceeds and cost reported to the IRS.						
	1.000	12.12	01/02/20	9.90	...	2.22	1 of 2 - Sale ²⁵
	19.000	230.29	01/14/20	225.70	...	4.59	2 of 2 - Sale ²⁵
01/21/20	20.000	242.41	Various	235.60	...	6.81	Total of 2 transactions
01/23/20	1.000	11.30	01/14/20	11.88	0.58 W	0.00	Sale ²⁵
	3 transactions for 02/05/20. Total proceeds and cost reported to the IRS.						
	19.000	168.30	01/30/20	171.66	...	-3.36	1 of 3 - Sale ²⁵
	10.000	88.57	01/30/20	90.29	...	-1.72	2 of 3 - Sale ²⁵
	1.000	8.86	01/30/20	9.61	...	-0.75	3 of 3 - Sale ²⁵
02/05/20	30.000	265.73	Various	271.56	...	-5.83	Total of 3 transactions
	Security total:	519.44		519.04	0.58 W	0.98	
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
	2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	100.000	175.99	03/24/20	243.50	...	-67.51	1 of 2 - Sale ²⁵
	100.000	175.99	03/24/20	235.50	...	-59.51	2 of 2 - Sale ²⁵
03/24/20	200.000	351.98	Various	479.00	...	-127.02	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
03/20/20	1.000	29.51	03/19/20	27.10	...	2.41	Sale ²⁵
03/20/20	1.000	29.51	03/18/20	32.37	2.86 W	0.00	Sale ²⁵
3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	1.000	36.08	03/19/20	29.36	...	6.72	1 of 3 - Sale ²⁵
	1.000	36.08	03/23/20	27.76	...	8.32	2 of 3 - Sale ²⁵
	10.000	360.76	03/23/20	275.45	...	85.31	3 of 3 - Sale ²⁵
04/15/20	12.000	432.92	Various	332.57	...	100.35	Total of 3 transactions
05/01/20	10.000	490.50	04/29/20	463.89	...	26.61	Sale ²⁵
05/05/20	10.000	491.41	05/01/20	467.00	...	24.41	Sale ²⁵
05/22/20	20.000	1,389.26	05/22/20	1,363.10	...	26.16	Sale ²⁵
05/28/20	20.000	1,147.63	05/26/20	1,221.60	73.97 W	0.00	Sale ²⁵
06/25/20	20.000	1,178.07	05/27/20	1,060.37	...	117.70	Sale ²⁵
4 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	105.48	06/02/20	57.50	...	47.98	1 of 4 - Sale ²⁵
	1.000	105.69	06/02/20	57.50	...	48.19	2 of 4 - Sale ²⁵
	3.000	315.75	06/02/20	172.50	...	143.25	3 of 4 - Sale ²⁵
	5.000	516.58	06/02/20	287.50	...	229.08	4 of 4 - Sale ²⁵
12/31/20	10.000	1,043.50	Various	575.00	...	468.50	Total of 4 transactions
	Security total:	6,232.31		5,543.00	76.83 W	766.14	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/08/20	20.000	68.20	05/13/19	86.77	18.57 W	0.00	Sale ²⁵
01/15/20	10.000	43.80	05/13/19	43.38	...	0.42	Sale ²⁵
01/15/20	20.000	87.60	11/06/19	141.97	54.37 W	0.00	Sale ²⁵
3 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	20.000	79.40	11/06/19	141.96	62.56 W	0.00	1 of 3 - Sale ²⁵
	10.000	39.70	11/06/19	46.60	6.90 W	0.00	2 of 3 - Sale ²⁵
	10.000	39.70	11/14/19	44.45	4.75 W	0.00	3 of 3 - Sale ²⁵
02/28/20	40.000	158.80	Various	233.01	74.21 W	0.00	Total of 3 transactions
3 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	40.000	137.67	11/14/19	177.81	...	-40.14	1 of 3 - Sale ²⁵
	20.000	68.83	12/30/19	84.17	...	-15.34	2 of 3 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
03/09/20	10.000	34.42	12/30/19	32.80	...	1.62	3 of 3 - Sale ²⁵
03/09/20	70.000	240.92	Various	294.78	...	-53.86	Total of 3 transactions
4 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	40.000	120.80	12/30/19	131.19	...	-10.39	1 of 4 - Sale ²⁵
	20.000	60.40	01/07/20	68.10	...	-7.70	2 of 4 - Sale ²⁵
	9.000	27.18	02/07/20	40.50	...	-13.32	3 of 4 - Sale ²⁵
	10.000	30.20	02/07/20	42.85	...	-12.65	4 of 4 - Sale ²⁵
03/16/20	79.000	238.58	Various	282.64	...	-44.06	Total of 4 transactions
	Security total:	837.90		1,082.55	147.15 W	-97.50	
NEW RESIDENTIAL INVESTMENT CORP / CUSIP: 64828T201 / Symbol:							
03/27/20	49.000	352.41	03/25/20	285.67	...	66.74	Sale ²⁵
04/08/20	99.000	474.38	04/03/20	348.48	...	125.90	Sale ²⁵
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	4.79	03/25/20	5.83	1.04 W	0.00	1 of 2 - Sale ²⁵
	50.000	239.59	03/31/20	252.00	12.41 W	0.00	2 of 2 - Sale ²⁵
04/08/20	51.000	244.38	Various	257.83	13.45 W	0.00	Total of 2 transactions
4 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	5.18	04/03/20	4.56	...	0.62	1 of 4 - Sale ²⁵
	33.000	170.94	04/13/20	179.46	...	-8.52	2 of 4 - Sale ²⁵
	15.000	77.70	04/13/20	81.57	...	-3.87	3 of 4 - Sale ²⁵
	1.000	5.18	04/13/20	5.44	...	-0.26	4 of 4 - Sale ²⁵
04/21/20	50.000	259.00	Various	271.03	...	-12.03	Total of 4 transactions
05/05/20	1.000	6.73	04/13/20	5.44	...	1.29	Sale ²⁵
	Security total:	1,336.90		1,168.45	13.45 W	181.90	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
06/19/20	10.000	648.73	06/19/20	652.50	3.77 W	0.00	Sale ²⁵
07/08/20	10.000	523.01	07/02/20	619.77	96.76 W	0.00	Sale ²⁵
7 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	10.000	188.99	07/06/20	619.76	430.77 W	0.00	1 of 7 - Sale ²⁵
	10.000	189.00	07/07/20	426.50	237.50 W	0.00	2 of 7 - Sale ²⁵
	10.000	188.99	07/17/20	400.50	211.51 W	0.00	3 of 7 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	10.000	189.00	07/17/20	402.00	213.00 W	0.00	4 of 7 - Sale ²⁵
	10.000	188.99	07/22/20	380.30	191.31 W	0.00	5 of 7 - Sale ²⁵
	10.000	189.00	07/24/20	296.60	107.60 W	0.00	6 of 7 - Sale ²⁵
	10.000	188.99	07/30/20	297.00	108.01 W	0.00	7 of 7 - Sale ²⁵
11/09/20	70.000	1,322.96	Various	2,822.66	1,499.70 W	0.00	Total of 7 transactions
	7 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	10.000	297.86	11/09/20	619.77	...	-321.91	1 of 7 - Sale ²⁵
	10.000	297.86	11/09/20	426.50	...	-128.64	2 of 7 - Sale ²⁵
	10.000	297.86	11/09/20	402.00	...	-104.14	3 of 7 - Sale ²⁵
	10.000	297.86	11/09/20	400.51	...	-102.65	4 of 7 - Sale ²⁵
	10.000	297.86	11/09/20	380.31	...	-82.45	5 of 7 - Sale ²⁵
	10.000	297.86	11/09/20	297.01	...	0.85	6 of 7 - Sale ²⁵
	10.000	297.86	11/09/20	296.60	...	1.26	7 of 7 - Sale ²⁵
11/25/20	70.000	2,085.02	Various	2,822.70	...	-737.68	Total of 7 transactions
	Security total:	4,579.72		6,917.63	1,600.23 W	-737.68	
NIU TECHNOLOGIES AMERICAN DEPO SITARY SHARES / CUSIP: 65481N100 / Symbol:							
11/27/20	10.000	311.00	11/23/20	313.67	...	-2.67	Sale ²⁵
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
02/28/20	10.000	148.35	02/28/20	139.50	...	8.85	Sale ²⁵
06/04/20	10.000	455.00	06/03/20	405.00	...	50.00	Sale ²⁵
09/03/20	10.000	1,097.78	09/01/20	1,033.00	...	64.78	Sale ²⁵
	Security total:	1,701.13		1,577.50	...	123.63	
NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol:							
	5 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	3.000	40.00	03/16/20	39.75	...	0.25	1 of 5 - Sale ²⁵
	7.000	93.33	03/16/20	93.06	...	0.27	2 of 5 - Sale ²⁵
	2.000	26.67	03/16/20	26.29	...	0.38	3 of 5 - Sale ²⁵
	2.000	26.66	03/16/20	25.90	...	0.76	4 of 5 - Sale ²⁵
	1.000	13.33	03/17/20	12.09	...	1.24	5 of 5 - Sale ²⁵
03/18/20	15.000	199.99	Various	197.09	...	2.90	Total of 5 transactions
03/18/20	8.000	105.25	03/16/20	106.36	1.11 W	0.00	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol: (cont'd)							
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	1.000	14.06	03/18/20	12.36	...	1.70	1 of 2 - Sale ²⁵
	19.000	267.14	03/18/20	231.56	...	35.58	2 of 2 - Sale ²⁵
03/19/20	20.000	281.20	Various	243.92	...	37.28	Total of 2 transactions
	Security total:	586.44		547.37	1.11 W	40.18	
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
05/13/20	30.000	407.10	05/05/20	459.00	51.90 W	0.00	Sale ²⁵
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	30.000	382.49	05/06/20	483.90	101.41 W	0.00	1 of 2 - Sale ²⁵
	30.000	382.48	05/06/20	404.70	22.22 W	0.00	2 of 2 - Sale ²⁵
05/29/20	60.000	764.97	Various	888.60	123.63 W	0.00	Total of 2 transactions
09/02/20	30.000	370.55	05/29/20	489.26	...	-118.71	Sale ²⁵
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	10.000	164.11	05/29/20	136.69	...	27.42	1 of 2 - Sale ²⁵
	20.000	328.21	05/29/20	273.38	...	54.83	2 of 2 - Sale ²⁵
11/27/20	30.000	492.32	Various	410.07	...	82.25	Total of 2 transactions
	Security total:	2,034.94		2,246.93	175.53 W	-36.46	
OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK / CUSIP: 674599162 / Symbol:							
10/28/20	7.000	19.29	07/03/20	39.55	...	-20.26	Sale ²⁵
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	90.000	35.91	02/24/20	45.27	...	-9.36	1 of 2 - Sale ²⁵
	4.000	1.59	02/24/20	2.03	...	-0.44	2 of 2 - Sale ²⁵
03/10/20	94.000	37.50	Various	47.30	...	-9.80	Total of 2 transactions
03/10/20	5.000	1.99	01/06/20	3.25	0.25 W	-1.01	Sale ²⁵
04/21/20	1.000	0.37	02/24/20	0.76	...	-0.39	Sale ²⁵
	Security total:	39.86		51.31	0.25 W	-11.20	
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
04/08/20	50.000	504.54	03/23/20	381.50	...	123.04	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFIZER INC. / CUSIP: 717081103 / Symbol:							
12/11/20	10.000	412.05	12/10/20	428.00	...	-15.95	Sale ²⁵
PILGRIM'S PRIDE CORPORATION CO MMON STOCK / CUSIP: 72147K108 / Symbol:							
06/04/20	50.000	940.52	06/03/20	930.50	...	10.02	Sale ²⁵
PLANTRONICS, INC. / CUSIP: 727493108 / Symbol:							
09/03/20	30.000	368.70	08/28/20	367.95	...	0.75	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
10/19/20	50.000	831.98	10/16/20	817.00	...	14.98	Sale ²⁵
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
12/22/20	1.000	121.81	11/27/20	38.38	...	83.43	Sale ²⁵
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	10.000	627.81	04/30/20	649.00	21.19 W	0.00	1 of 2 - Sale ²⁵
	8.000	490.04	04/30/20	519.20	29.16 W	0.00	2 of 2 - Sale ²⁵
05/01/20	18.000	1,117.85	Various	1,168.20	50.35 W	0.00	Total of 2 transactions
3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	2.000	116.44	04/30/20	129.80	13.36 W	0.00	1 of 3 - Sale ²⁵
	8.000	465.76	05/04/20	481.75	15.99 W	0.00	2 of 3 - Sale ²⁵
	2.000	116.32	05/04/20	120.44	4.12 W	0.00	3 of 3 - Sale ²⁵
05/06/20	12.000	698.52	Various	731.99	33.47 W	0.00	Total of 3 transactions
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	2.000	118.54	05/13/20	119.96	...	-1.42	1 of 2 - Sale ²⁵
	3.000	177.81	05/13/20	165.90	...	11.91	2 of 2 - Sale ²⁵
07/29/20	5.000	296.35	Various	285.86	...	10.49	Total of 2 transactions
4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	3.000	172.82	05/13/20	170.84	...	1.98	1 of 4 - Sale ²⁵
	2.000	115.22	05/13/20	110.72	...	4.50	2 of 4 - Sale ²⁵
	5.000	289.85	05/13/20	284.72	...	5.13	3 of 4 - Sale ²⁵
	5.000	288.05	05/13/20	276.49	...	11.56	4 of 4 - Sale ²⁵
07/30/20	15.000	865.94	Various	842.77	...	23.17	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol: (cont'd)							
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	3.000	172.50	05/15/20	155.61	...	16.89	1 of 3 - Sale ²⁵
	7.000	402.50	05/15/20	363.08	...	39.42	2 of 3 - Sale ²⁵
	10.000	574.98	05/15/20	518.70	...	56.28	3 of 3 - Sale ²⁵
07/31/20	20.000	1,149.98	Various	1,037.39	...	112.59	Total of 3 transactions
	Security total:	4,128.64		4,066.21	83.82 W	146.25	
SERITAGE GROWTH PROPERTIES CLA SS A COMMON SHARES OF BENEFICI AL INTEREST, PAR VALUE \$0.01 / CUSIP: 81752R100 / Symbol:							
05/26/20	50.000	410.00	05/06/20	407.49	...	2.51	Sale ²⁵
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	4.000	52.52	05/06/20	32.60	...	19.92	1 of 2 - Sale ²⁵
	46.000	604.01	05/06/20	358.80	...	245.21	2 of 2 - Sale ²⁵
09/23/20	50.000	656.53	Various	391.40	...	265.13	Total of 2 transactions
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	4.000	54.28	05/06/20	31.20	...	23.08	1 of 2 - Sale ²⁵
	96.000	1,302.68	10/14/20	1,285.81	...	16.87	2 of 2 - Sale ²⁵
10/16/20	100.000	1,356.96	Various	1,317.01	...	39.95	Total of 2 transactions
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	4.000	54.52	10/14/20	53.58	...	0.94	1 of 2 - Sale ²⁵
	26.000	354.38	10/20/20	336.18	...	18.20	2 of 2 - Sale ²⁵
10/22/20	30.000	408.90	Various	389.76	...	19.14	Total of 2 transactions
11/24/20	3.000	54.69	10/20/20	38.79	...	15.90	Sale ²⁵
12/11/20	1.000	14.58	10/20/20	12.93	...	1.65	Sale ²⁵
	Security total:	2,901.66		2,557.38	...	344.28	
SERVICE PROPERTIES TRUST COMM O N STOCK / CUSIP: 81761L102 / Symbol:							
05/14/20	99.000	489.44	05/13/20	475.20	...	14.24	Sale ²⁵
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	7.19	05/13/20	4.80	...	2.39	1 of 2 - Sale ²⁵
	99.000	711.88	05/15/20	470.25	...	241.63	2 of 2 - Sale ²⁵
09/23/20	100.000	719.07	Various	475.05	...	244.02	Total of 2 transactions
	Security total:	1,208.51		950.25	...	258.26	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
06/18/20	10.000	338.20	06/04/20	336.00	...	2.20	Sale ²⁵
07/07/20	10.000	315.63	06/04/20	319.00	...	-3.37	Sale ²⁵
09/10/20	30.000	768.58	09/08/20	733.50	...	35.08	Sale ²⁵
	Security total:	1,422.41		1,388.50	...	33.91	
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
07/21/20	50.000	764.98	07/13/20	818.25	53.27 W	0.00	Sale ²⁵
08/07/20	40.000	490.20	07/14/20	612.22	122.02 W	0.00	Sale ²⁵
	2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	10.000	140.75	07/14/20	153.05	...	-12.30	1 of 2 - Sale ²⁵
	40.000	562.98	07/31/20	610.02	...	-47.04	2 of 2 - Sale ²⁵
08/25/20	50.000	703.73	Various	763.07	...	-59.34	Total of 2 transactions
	Security total:	1,958.91		2,193.54	175.29 W	-59.34	
STEMLINE THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 85858C107 / Symbol:							
02/03/20	50.000	339.61	01/21/20	336.84	...	2.77	Sale ²⁵
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol:							
	2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	1.000	6.57	03/25/20	6.50	...	0.07	1 of 2 - Sale ²⁵
	49.000	321.93	03/25/20	318.50	...	3.43	2 of 2 - Sale ²⁵
04/14/20	50.000	328.50	Various	325.00	...	3.50	Total of 2 transactions
TENNECO INC. CLASS A VOTING CO MMON STOCK / CUSIP: 880349105 / Symbol:							
03/16/20	49.000	242.63	03/12/20	238.10	...	4.53	Sale ²⁵
	9 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	70.000	263.90	03/19/20	212.70	...	51.20	1 of 9 - Sale ²⁵
	10.000	37.78	03/20/20	29.60	...	8.18	2 of 9 - Sale ²⁵
	10.000	37.78	03/20/20	29.37	...	8.41	3 of 9 - Sale ²⁵
	10.000	37.78	03/20/20	29.09	...	8.69	4 of 9 - Sale ²⁵
	10.000	37.78	03/20/20	28.58	...	9.20	5 of 9 - Sale ²⁵
	20.000	75.56	03/20/20	58.00	...	17.56	6 of 9 - Sale ²⁵
	20.000	75.56	03/20/20	56.77	...	18.79	7 of 9 - Sale ²⁵
	19.000	71.79	03/20/20	51.65	...	20.14	8 of 9 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TENNECO INC. CLASS A VOTING CO MMON STOCK / CUSIP: 880349105 / Symbol: (cont'd)							
	29.000	109.33	03/20/20	87.00	...	22.33	9 of 9 - Sale ²⁵
03/24/20	198.000	747.26	Various	582.76	...	164.50	Total of 9 transactions
	2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	1.000	3.77	03/12/20	4.86	1.09 W	0.00	1 of 2 - Sale ²⁵
	1.000	3.78	03/20/20	4.09	0.31 W	0.00	2 of 2 - Sale ²⁵
03/24/20	2.000	7.55	Various	8.95	1.40 W	0.00	Total of 2 transactions
	2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	1.000	3.73	03/20/20	3.03	...	0.70	1 of 2 - Sale ²⁵
	99.000	369.27	03/25/20	368.12	...	1.15	2 of 2 - Sale ²⁵
03/25/20	100.000	373.00	Various	371.15	...	1.85	Total of 2 transactions
04/22/20	1.000	3.74	03/25/20	3.72	...	0.02	Sale ²⁵
	Security total:	1,374.18		1,204.68	1.40 W	170.90	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/24/20	1.000	482.58	02/05/20	721.49	238.91 W	0.00	Sale ²⁵
04/02/20	1.000	491.29	03/18/20	624.33	133.04 W	0.00	Sale ²⁵
04/03/20	1.000	492.69	04/02/20	655.04	...	-162.35	Sale ²⁵
08/31/20	1.000	482.10	08/31/20	442.20	...	39.90	Sale ²⁵
09/23/20	1.000	376.52	09/01/20	475.00	98.48 W	0.00	Sale ²⁵
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	641.70	09/02/20	537.48	...	104.22	1 of 2 - Sale ²⁵
	1.000	641.71	09/08/20	355.00	...	286.71	2 of 2 - Sale ²⁵
12/22/20	2.000	1,283.41	Various	892.48	...	390.93	Total of 2 transactions
	Security total:	3,608.59		3,810.54	470.43 W	268.48	
TG THERAPEUTICS, INC. COMMON S TOCK / CUSIP: 88322Q108 / Symbol:							
01/24/20	30.000	405.44	12/18/19	295.05	...	110.39	Sale ²⁵
02/27/20	20.000	253.83	02/25/20	296.37	...	-42.54	Sale ²⁵
	Security total:	659.27		591.42	...	67.85	
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
03/04/20	12.000	148.27	03/03/20	160.45	...	-12.18	Sale ²⁵
	2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	2.000	15.65	06/29/20	15.44	...	0.21	1 of 2 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	98.000	766.79	06/29/20	756.55	...	10.24	2 of 2 - Sale ²⁵
07/06/20	100.000	782.44	Various	771.99	...	10.45	Total of 2 transactions
	Security total:	930.71		932.44	...	-1.73	
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.22	01/30/20	0.27	...	-0.05	1 of 2 - Sale ²⁵
	1.000	0.22	01/31/20	0.26	...	-0.04	2 of 2 - Sale ²⁵
03/24/20	2.000	0.44	Various	0.53	...	-0.09	Total of 2 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
03/30/20	10.000	299.14	03/23/20	257.00	...	42.14	Sale ²⁵
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	22.000	622.15	05/06/20	501.35	...	120.80	1 of 2 - Sale ²⁵
	19.000	537.30	05/13/20	412.08	...	125.22	2 of 2 - Sale ²⁵
05/26/20	41.000	1,159.45	Various	913.43	...	246.02	Total of 2 transactions
07/15/20	1.000	36.22	05/13/20	21.69	...	14.53	Sale ²⁵
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	10.000	362.19	06/09/20	435.00	72.81 W	0.00	1 of 2 - Sale ²⁵
	9.000	325.97	06/19/20	330.89	4.92 W	0.00	2 of 2 - Sale ²⁵
07/15/20	19.000	688.16	Various	765.89	77.73 W	0.00	Total of 2 transactions
5 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	37.09	06/19/20	44.04	...	-6.95	1 of 5 - Sale ²⁵
	9.000	333.80	06/23/20	376.03	...	-42.23	2 of 5 - Sale ²⁵
	1.000	37.09	06/23/20	35.05	...	2.04	3 of 5 - Sale ²⁵
	1.000	37.09	07/08/20	31.60	...	5.49	4 of 5 - Sale ²⁵
	8.000	296.71	07/08/20	257.17	...	39.54	5 of 5 - Sale ²⁵
08/27/20	20.000	741.78	Various	743.89	...	-2.11	Total of 5 transactions
09/10/20	1.000	36.42	07/08/20	31.60	...	4.82	Sale ²⁵
	Security total:	2,961.17		2,733.50	77.73 W	305.40	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	07/08/20	3.98	...	1.00	1 of 2 - Sale ²⁵
	99.000	493.21	07/29/20	383.13	...	110.08	2 of 2 - Sale ²⁵
08/27/20	100.000	498.19	Various	387.11	...	111.08	Total of 2 transactions
11/18/20	1.000	2.76	07/29/20	3.87	...	-1.11	Sale ²⁵
	Security total:	500.95		390.98	...	109.97	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	12.000	97.20	06/29/20	94.32	...	2.88	1 of 2 - Sale ²⁵
	36.000	291.60	06/29/20	282.96	...	8.64	2 of 2 - Sale ²⁵
06/30/20	48.000	388.80	Various	377.28	...	11.52	Total of 2 transactions
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	8.10	06/26/20	11.50	3.40 W	0.00	1 of 2 - Sale ²⁵
	1.000	8.10	06/29/20	11.26	3.16 W	0.00	2 of 2 - Sale ²⁵
06/30/20	2.000	16.20	Various	22.76	6.56 W	0.00	Total of 2 transactions
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	8.51	06/29/20	7.86	...	0.65	1 of 3 - Sale ²⁵
	3.000	25.52	07/02/20	23.10	...	2.42	2 of 3 - Sale ²⁵
	45.000	382.72	07/02/20	346.50	...	36.22	3 of 3 - Sale ²⁵
07/07/20	49.000	416.75	Various	377.46	...	39.29	Total of 3 transactions
07/07/20	1.000	8.50	07/02/20	10.86	2.36 W	0.00	Sale ²⁵
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	10.19	07/02/20	10.06	...	0.13	1 of 2 - Sale ²⁵
	49.000	499.13	07/27/20	465.50	...	33.63	2 of 2 - Sale ²⁵
08/17/20	50.000	509.32	Various	475.56	...	33.76	Total of 2 transactions
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	7.80	07/27/20	9.50	1.70 W	0.00	1 of 2 - Sale ²⁵
	49.000	382.20	08/20/20	443.45	61.25 W	0.00	2 of 2 - Sale ²⁵
09/14/20	50.000	390.00	Various	452.95	62.95 W	0.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	5.69	08/20/20	10.75	...	-5.06	1 of 2 - Sale ²⁵
	49.000	278.93	08/24/20	443.45	...	-164.52	2 of 2 - Sale ²⁵
11/18/20	50.000	284.62	Various	454.20	...	-169.58	Total of 2 transactions
11/27/20	1.000	6.85	08/24/20	7.80	...	-0.95	Sale ²⁵
	Security total:	2,021.04		2,178.87	71.87 W	-85.96	
VIEWRAY, INC. COMMON STOCK / CUSIP: 92672L107 / Symbol:							
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1.88	02/07/20	3.01	1.13 W	0.00	1 of 2 - Sale ²⁵
	99.000	186.12	02/27/20	297.98	27.12 W	-84.74	2 of 2 - Sale ²⁵
03/10/20	100.000	188.00	Various	300.99	28.25 W	-84.74	Total of 2 transactions
6 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	1.000	1.41	02/27/20	4.14	...	-2.73	1 of 6 - Sale ²⁵
	20.000	28.20	03/09/20	64.80	...	-36.60	2 of 6 - Sale ²⁵
	1.000	1.41	03/09/20	3.24	...	-1.83	3 of 6 - Sale ²⁵
	1.000	1.41	03/09/20	3.24	...	-1.83	4 of 6 - Sale ²⁵
	1.000	1.41	03/09/20	3.24	...	-1.83	5 of 6 - Sale ²⁵
	1.000	1.41	03/09/20	3.24	...	-1.83	6 of 6 - Sale ²⁵
03/12/20	25.000	35.25	Various	81.90	...	-46.65	Total of 6 transactions
	Security total:	223.25		382.89	28.25 W	-131.39	
WARNER MUSIC GROUP CORP. CLASS A COMMON STOCK / CUSIP: 934550203 / Symbol:							
06/09/20	10.000	314.30	06/04/20	316.00	1.70 W	0.00	Sale ²⁵
06/15/20	10.000	319.40	06/04/20	304.70	...	14.70	Sale ²⁵
	Security total:	633.70		620.70	1.70 W	14.70	
WESTERN ASSET MORTGAGE CAPITAL CORPORATION / CUSIP: 95790D105 / Symbol:							
05/15/20	1.000	2.13	05/14/20	2.07	...	0.06	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	50.000	1,397.46	06/25/20	393.00	...	1,004.46	1 of 2 - Sale ²⁵
	15.000	419.24	07/07/20	221.25	...	197.99	2 of 2 - Sale ²⁵
11/27/20	65.000	1,816.70	Various	614.25	...	1,202.45	Total of 2 transactions
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	15.000	287.24	07/07/20	221.25	...	65.99	1 of 2 - Sale ²⁵
	49.000	938.33	10/30/20	765.33	...	173.00	2 of 2 - Sale ²⁵
12/02/20	64.000	1,225.57	Various	986.58	...	238.99	Total of 2 transactions
	Security total:	3,042.27		1,600.83	...	1,441.44	
YUNJI INC. AMERICAN DEPOSITORY SHARES / CUSIP: 98873N107 / Symbol:							
11/27/20	1.000	4.06	11/25/20	5.95	...	-1.89	Sale ²⁵
INVESCO LTD / CUSIP: G491BT108 / Symbol:							
05/13/20	100.000	678.98	04/23/20	750.00	71.02 W	0.00	Sale ²⁵
07/30/20	50.000	511.49	04/23/20	397.42	...	114.07	Sale ²⁵
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	50.000	710.62	04/23/20	397.41	...	313.21	1 of 2 - Sale ²⁵
	25.000	355.31	05/13/20	169.70	...	185.61	2 of 2 - Sale ²⁵
10/14/20	75.000	1,065.93	Various	567.11	...	498.82	Total of 2 transactions
11/27/20	75.000	1,263.33	05/13/20	509.09	...	754.24	Sale ²⁵
	Security total:	3,519.73		2,223.62	71.02 W	1,367.13	
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	100.000	132.98	04/27/20	81.61	...	51.37	1 of 4 - Sale ²⁵
	100.000	132.98	04/27/20	81.61	...	51.37	2 of 4 - Sale ²⁵
	100.000	132.99	04/27/20	81.61	...	51.38	3 of 4 - Sale ²⁵
	200.000	265.97	04/27/20	163.94	...	102.03	4 of 4 - Sale ²⁵
05/13/20	500.000	664.92	Various	408.77	...	256.15	Total of 4 transactions
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	387.000	460.48	05/13/20	533.56	...	-73.08	1 of 3 - Sale ²⁵
	111.000	132.08	05/13/20	153.03	...	-20.95	2 of 3 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol: (cont'd)							
	1.000	1.19	05/13/20	1.55	...	-0.36	3 of 3 - Sale ²⁵
05/14/20	499.000	593.75	Various	688.14	...	-94.39	Total of 3 transactions
05/14/20	1.000	1.19	05/13/20	1.36	0.17 W	0.00	Sale ²⁵
	Security total:	1,259.86		1,098.27	0.17 W	161.76	
INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol:							
05/13/20	100.000	92.87	04/14/20	111.00	18.13 W	0.00	Sale ²⁵
05/14/20	400.000	184.35	05/14/20	179.96	...	4.39	Sale ²⁵
	2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	100.000	46.09	04/15/20	109.13	63.04 W	0.00	1 of 2 - Sale ²⁵
	500.000	230.44	05/13/20	400.00	169.56 W	0.00	2 of 2 - Sale ²⁵
05/14/20	600.000	276.53	Various	509.13	232.60 W	0.00	Total of 2 transactions
	4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	500.000	129.94	05/14/20	392.06	...	-262.12	1 of 4 - Sale ²⁵
	1,000.000	259.87	05/14/20	500.10	...	-240.23	2 of 4 - Sale ²⁵
	900.000	233.88	05/18/20	366.84	...	-132.96	3 of 4 - Sale ²⁵
	100.000	25.99	05/18/20	122.80	...	-96.81	4 of 4 - Sale ²⁵
05/19/20	2,500.000	649.68	Various	1,381.80	...	-732.12	Total of 4 transactions
05/19/20	100.000	25.99	05/14/20	108.03	82.04 W	0.00	Sale ²⁵
	Security total:	1,229.42		2,289.92	332.77 W	-727.73	
Totals :		132,858.44		143,445.91	15,997.09 W	5,409.62	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
03/09/20	20.000	68.83	12/30/19	119.97	...	-51.14	Sale ²⁵
03/16/20	20.000	60.40	02/07/20	138.76	...	-78.36	Sale ²⁵
04/22/20	1.000	3.09	02/07/20	4.50	...	-1.41	Sale ²⁵
	Security total:	132.32		263.23	...	-130.91	
Totals :		132.32		263.23		-130.91	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
07/08/20	0.553	2.02	06/30/20	2.51	...	-0.49	Gain or loss
OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK / CUSIP: 674599162 / Symbol:							
08/10/20	0.500	2.46	07/03/20	2.83	...	-0.37	Gain or loss
Totals:		4.48		5.34	...	-0.86	

Robinhood Securities LLC

Account 920101938

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AG MORTGAGE INVESTMENT TRUST, INC.	001228105		01/29/21	0.15	Qualified dividend	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		09/29/20	0.02	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		10/27/20	0.95	Nondividend distribution	03
MFA FINANCIAL, INC	55272X102		10/30/20	0.05	Nondividend distribution	03
			01/29/21	0.08	Qualified dividend	
				0.13	Total Dividends & distributions	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		05/15/20	1.58	Section 199A dividend	03
			05/15/20	0.56	Nondividend distribution	03
			05/15/20	0.41	Qualified dividend	03
				2.55	Total Dividends & distributions	
OCCIDENTAL PETROLEUM CORPORATI ON	674599105		07/15/20	0.60	Qualified dividend	
			10/15/20	0.30	Qualified dividend	
				0.90	Total Dividends & distributions	
RAYTHEON TECHNOLOGIES CORPORAT ION	75513E101		06/18/20	9.50	Qualified dividend	
SERVICE PROPERTIES TRUST COMM ON STOCK	81761L102		08/20/20	1.01	Nondividend distribution	03
			11/19/20	0.01	Nondividend distribution	03
				1.02	Total Dividends & distributions	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/28/20	0.01	Qualified dividend	
			05/29/20	0.01	Qualified dividend	
			08/31/20	0.01	Qualified dividend	
			11/30/20	0.01	Qualified dividend	
				0.04	Total Dividends & distributions	
INVESCO LTD	G491BT108		06/03/20	31.00	Qualified dividend	
			09/01/20	23.25	Qualified dividend	
			12/02/20	11.63	Qualified dividend	
				65.88	Total Dividends & distributions	

Robinhood Securities LLC

Account 920101938

Detail for Dividends and Distributions

2020

(continued)

02/14/2021

81.14 Total Dividends & distributions

Robinhood Securities LLC

Account 920101938

Detail for Miscellaneous Income

2020

02/14/2021

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500	06/30/20	0.45	Substitute payment	
		09/29/20	0.01	Substitute payment	
			0.46	Total Miscellaneous income	
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	11.16	Substitute payment	
		07/28/20	4.38	Substitute payment	
			15.54	Total Miscellaneous income	
			16.00	Total Miscellaneous income	

Robinhood Securities LLC

Account 920101938

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/23/20	-2.67	Margin interest paid	
		04/22/20	-5.00	Margin interest paid	
		05/22/20	-5.00	Margin interest paid	
		05/22/20	-9.10	Margin interest paid	
		06/22/20	-5.00	Margin interest paid	
		06/22/20	-18.43	Margin interest paid	
		07/14/20	0.09	Adj- Margin interest paid	
		07/21/20	-5.00	Margin interest paid	
		07/21/20	-22.36	Margin interest paid	
		08/20/20	-5.00	Margin interest paid	
		08/20/20	-20.46	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		09/21/20	-13.78	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		10/19/20	-11.86	Margin interest paid	
		11/18/20	-5.00	Margin interest paid	
		11/18/20	-8.69	Margin interest paid	
		12/18/20	-5.00	Margin interest paid	
		12/18/20	-7.10	Margin interest paid	
			-159.36	Total Margin interest paid	
			-159.36	Total Margin interest paid	

Robinhood Securities LLC

Account 920101938

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	19.00	0.00	Stock dividend	
OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK	674599162	08/03/20	7.00	0.00	Stock spinoff	
PFE 06/18/2021 CALL \$38.00	PFE 06/18/21 C 38.000	11/17/20	-1.00	0.00	Merger	
PFE1 06/18/2021 CALL \$38.00	PFE1 06/18/21 C 38.000	11/17/20	1.00	0.00	Merger	
		12/02/20	1.00	0.00	Merger	
		12/02/20	-1.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Instructions for Recipient

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction

directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.