

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 492377908</b>	Statement Date: 02/14/2021 Document ID: WH3P K1X 6246	2020
	Krishna reddy Gankidi 860 Clermont St Apt 805 Denver, CO 80220-3838  RECIPIENT'S TIN: XXX-XX-2772		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      18.58</p> <p>1b- Qualified dividends      15.26</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      5.54</p> <p>2b- Unrecaptured Section 1250 gain      0.20</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      3.32</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
--	---

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,203,555.01	1,256,224.99	0.00	49,687.18	-2,982.80
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	8.18	12.12	0.00	0.00	-3.94
	<b>Total Short-term</b>	<b>1,203,563.19</b>	<b>1,256,237.11</b>	<b>0.00</b>	<b>49,687.18</b>	<b>-2,986.74</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,203,563.19</b>	<b>1,256,237.11</b>	<b>0.00</b>	<b>49,687.18</b>	<b>-2,986.74</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 492377908

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	14.34
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAR CORP. / CUSIP: 000361105 / Symbol:							
06/26/20	9.000	171.97	06/25/20	168.78	...	3.19	Sale <sup>25</sup>
08/11/20	1.000	20.70	06/25/20	18.75	...	1.95	Sale <sup>25</sup>
	<b>Security total:</b>	<b>192.67</b>		<b>187.53</b>	...	<b>5.14</b>	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	2.31	12/22/20	2.61	...	-0.30	1 of 2 - Sale <sup>25</sup>
	24.000	55.32	12/24/20	60.48	...	-5.16	2 of 2 - Sale <sup>25</sup>
12/29/20	25.000	57.63	Various	63.09	...	-5.46	Total of 2 transactions
AT&T INC. / CUSIP: 00206R102 / Symbol:							
06/04/20	20.000	634.38	06/02/20	616.80	...	17.58	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
08/11/20	10.000	31.90	07/17/20	37.20	...	-5.30	Sale <sup>25</sup>
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol:							
4 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	18.18	04/24/20	14.28	...	3.90	1 of 4 - Sale <sup>25</sup>
	30.000	545.38	05/12/20	419.70	...	125.68	2 of 4 - Sale <sup>25</sup>
	10.000	181.79	05/13/20	134.50	...	47.29	3 of 4 - Sale <sup>25</sup>
	15.000	272.69	05/13/20	200.70	...	71.99	4 of 4 - Sale <sup>25</sup>
07/27/20	56.000	1,018.04	Various	769.18	...	248.86	Total of 4 transactions
11/10/20	50.000	1,284.99	11/10/20	1,305.49	...	-20.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,303.03</b>		<b>2,074.67</b>	...	<b>228.36</b>	
ALASKA AIR GROUP, INC. / CUSIP: 011659109 / Symbol:							
7 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	3.000	117.94	06/11/20	113.62	...	4.32	1 of 7 - Sale <sup>25</sup>
	5.000	196.57	06/12/20	195.72	...	0.85	2 of 7 - Sale <sup>25</sup>
	20.000	786.27	06/24/20	710.91	...	75.36	3 of 7 - Sale <sup>25</sup>
	10.000	393.13	06/29/20	346.70	...	46.43	4 of 7 - Sale <sup>25</sup>
	10.000	393.13	07/15/20	387.89	...	5.24	5 of 7 - Sale <sup>25</sup>
	20.000	786.27	07/15/20	776.60	...	9.67	6 of 7 - Sale <sup>25</sup>
	10.000	401.99	08/11/20	515.43	...	-113.44	7 of 7 - Sale <sup>25</sup>
08/11/20	78.000	3,075.30	Various	3,046.87	...	28.43	Total of 7 transactions
19 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	10.000	393.13	06/08/20	468.40	75.27 W	0.00	1 of 19 - Sale <sup>25</sup>
	10.000	393.13	06/08/20	504.20	111.07 W	0.00	2 of 19 - Sale <sup>25</sup>
	5.000	196.57	06/09/20	228.97	32.40 W	0.00	3 of 19 - Sale <sup>25</sup>
	5.000	196.57	06/09/20	230.50	33.93 W	0.00	4 of 19 - Sale <sup>25</sup>
	5.000	196.57	06/09/20	231.19	34.62 W	0.00	5 of 19 - Sale <sup>25</sup>
	3.000	117.94	06/09/20	138.90	20.96 W	0.00	6 of 19 - Sale <sup>25</sup>
	2.000	78.63	06/10/20	81.13	2.50 W	0.00	7 of 19 - Sale <sup>25</sup>
	10.000	401.99	08/11/20	479.63	62.11 W	-15.53	8 of 19 - Sale <sup>25</sup>
	5.000	196.57	08/11/20	202.58	6.01 W	0.00	9 of 19 - Sale <sup>25</sup>
	10.000	397.24	08/11/20	472.67	75.43 W	0.00	10 of 19 - Sale <sup>25</sup>
	10.000	397.24	08/11/20	508.47	111.23 W	0.00	11 of 19 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALASKA AIR GROUP, INC. / CUSIP: 011659109 / Symbol: (cont'd)							
	3.000	119.17	08/11/20	140.18	21.01 W	0.00	12 of 19 - Sale <sup>25</sup>
	5.000	198.62	08/11/20	231.10	32.48 W	0.00	13 of 19 - Sale <sup>25</sup>
	5.000	198.62	08/11/20	232.63	34.01 W	0.00	14 of 19 - Sale <sup>25</sup>
	5.000	198.62	08/11/20	233.32	34.70 W	0.00	15 of 19 - Sale <sup>25</sup>
	2.000	79.45	08/11/20	81.98	2.53 W	0.00	16 of 19 - Sale <sup>25</sup>
	5.000	198.62	08/11/20	204.71	6.09 W	0.00	17 of 19 - Sale <sup>25</sup>
	5.000	198.62	08/11/20	198.70	0.08 W	0.00	18 of 19 - Sale <sup>25</sup>
	10.000	397.24	08/11/20	397.40	0.16 W	0.00	19 of 19 - Sale <sup>25</sup>
08/11/20	115.000	4,554.54	Various	5,266.66	696.59 W	-15.53	Total of 19 transactions
	5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	5.000	185.45	08/19/20	220.69	...	-35.24	1 of 5 - Sale <sup>25</sup>
	5.000	185.44	08/19/20	218.16	...	-32.72	2 of 5 - Sale <sup>25</sup>
	5.000	185.45	08/19/20	216.63	...	-31.18	3 of 5 - Sale <sup>25</sup>
	3.000	111.27	08/19/20	132.60	...	-21.33	4 of 5 - Sale <sup>25</sup>
	2.000	74.17	08/19/20	76.93	...	-2.76	5 of 5 - Sale <sup>25</sup>
08/19/20	20.000	741.78	Various	865.01	...	-123.23	Total of 5 transactions
	4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	8.000	296.87	08/24/20	357.07	...	-60.20	1 of 4 - Sale <sup>25</sup>
	5.000	185.55	08/24/20	190.44	...	-4.89	2 of 4 - Sale <sup>25</sup>
	5.000	185.54	08/24/20	184.43	...	1.11	3 of 4 - Sale <sup>25</sup>
	10.000	371.09	08/24/20	368.86	...	2.23	4 of 4 - Sale <sup>25</sup>
08/24/20	28.000	1,039.05	Various	1,100.80	...	-61.75	Total of 4 transactions
	<b>Security total:</b>	<b>9,410.67</b>		<b>10,279.34</b>	<b>696.59 W</b>	<b>-172.08</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
	3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	6.000	1,305.09	05/22/20	1,195.50	...	109.59	1 of 3 - Sale <sup>25</sup>
	10.000	2,175.15	05/22/20	1,994.63	...	180.52	2 of 3 - Sale <sup>25</sup>
	2.000	435.03	05/27/20	398.53	...	36.50	3 of 3 - Sale <sup>25</sup>
06/03/20	18.000	3,915.27	Various	3,588.66	...	326.61	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
06/08/20	1.000	2,499.94	06/04/20	2,459.80	...	40.14	Sale <sup>25</sup>
	3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	3,165.01	08/14/20	3,154.99	...	10.02	1 of 3 - Sale <sup>25</sup>
	1.000	3,165.01	08/14/20	3,154.21	...	10.80	2 of 3 - Sale <sup>25</sup>
	4.000	12,754.39	08/17/20	12,711.78	...	42.61	3 of 3 - Sale <sup>25</sup>
08/17/20	6.000	19,084.41	Various	19,020.98	...	63.43	Total of 3 transactions
08/18/20	1.000	3,277.42	08/18/20	3,278.00	0.58 W	0.00	Sale <sup>25</sup>
	3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	1.000	3,295.28	08/18/20	3,304.39	9.11 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	3,295.28	08/18/20	3,305.00	9.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	3,295.29	08/18/20	3,301.46	6.17 W	0.00	3 of 3 - Sale <sup>25</sup>
08/19/20	3.000	9,885.85	Various	9,910.85	25.00 W	0.00	Total of 3 transactions
	6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	3,341.64	08/24/20	3,378.98	37.34 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	3,341.65	08/24/20	3,379.59	37.94 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	3,341.64	08/24/20	3,382.56	40.92 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	3,337.97	08/24/20	3,382.69	44.72 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	3,337.97	08/24/20	3,383.29	45.32 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	3,337.97	08/24/20	3,386.27	48.30 W	0.00	6 of 6 - Sale <sup>25</sup>
08/24/20	6.000	20,038.84	Various	20,293.38	254.54 W	0.00	Total of 6 transactions
08/26/20	1.000	3,346.24	08/25/20	3,335.46	...	10.78	Sale <sup>25</sup>
08/26/20	1.000	3,346.23	08/25/20	3,348.31	2.08 W	0.00	Sale <sup>25</sup>
09/23/20	1.000	3,079.46	09/09/20	3,333.22	...	-253.76	Sale <sup>25</sup>
10/09/20	1.000	3,232.92	09/22/20	3,043.87	...	189.05	Sale <sup>25</sup>
	3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	3,256.81	11/09/20	3,264.33	...	-7.52	1 of 3 - Sale <sup>25</sup>
	1.000	3,258.25	11/09/20	3,264.32	...	-6.07	2 of 3 - Sale <sup>25</sup>
	2.000	6,513.62	11/09/20	6,426.00	...	87.62	3 of 3 - Sale <sup>25</sup>
11/09/20	4.000	13,028.68	Various	12,954.65	...	74.03	Total of 3 transactions
	<b>Security total:</b>	<b>80,819.99</b>		<b>80,978.52</b>	<b>282.20 W</b>	<b>123.67</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
<i>9 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	189.40	04/07/20	102.80	...	86.60	1 of 9 - Sale <sup>25</sup>
	10.000	189.39	05/05/20	97.39	...	92.00	2 of 9 - Sale <sup>25</sup>
	10.000	189.40	05/05/20	96.45	...	92.95	3 of 9 - Sale <sup>25</sup>
	1.000	18.94	05/12/20	9.62	...	9.32	4 of 9 - Sale <sup>25</sup>
	19.000	359.85	05/12/20	182.78	...	177.07	5 of 9 - Sale <sup>25</sup>
	10.000	189.39	05/13/20	93.38	...	96.01	6 of 9 - Sale <sup>25</sup>
	10.000	189.39	05/29/20	105.60	...	83.79	7 of 9 - Sale <sup>25</sup>
	15.000	284.09	05/29/20	158.03	...	126.06	8 of 9 - Sale <sup>25</sup>
	15.000	284.10	06/04/20	208.05	...	76.05	9 of 9 - Sale <sup>25</sup>
06/05/20	100.000	1,893.95	Various	1,054.10	...	839.85	Total of 9 transactions
<i>5 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	112.01	06/08/20	178.47	66.46 W	0.00	1 of 5 - Sale <sup>25</sup>
	21.000	261.35	06/08/20	416.43	155.08 W	0.00	2 of 5 - Sale <sup>25</sup>
	14.000	174.22	06/10/20	237.16	62.94 W	0.00	3 of 5 - Sale <sup>25</sup>
	6.000	74.67	06/10/20	101.64	26.97 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	248.24	06/10/20	338.80	90.56 W	0.00	5 of 5 - Sale <sup>25</sup>
07/17/20	70.000	870.49	Various	1,272.50	402.01 W	0.00	Total of 5 transactions
<i>9 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	11.000	155.56	06/24/20	229.82	...	-74.26	1 of 9 - Sale <sup>25</sup>
	9.000	127.27	06/24/20	188.03	...	-60.76	2 of 9 - Sale <sup>25</sup>
	10.000	141.41	06/29/20	199.25	...	-57.84	3 of 9 - Sale <sup>25</sup>
	10.000	141.42	06/29/20	170.36	...	-28.94	4 of 9 - Sale <sup>25</sup>
	6.000	84.85	06/29/20	102.09	...	-17.24	5 of 9 - Sale <sup>25</sup>
	4.000	56.57	06/29/20	68.06	...	-11.49	6 of 9 - Sale <sup>25</sup>
	10.000	141.41	07/10/20	164.66	...	-23.25	7 of 9 - Sale <sup>25</sup>
	10.000	141.41	07/15/20	172.56	...	-31.15	8 of 9 - Sale <sup>25</sup>
	30.000	424.25	07/15/20	381.84	...	42.41	9 of 9 - Sale <sup>25</sup>
08/11/20	100.000	1,414.15	Various	1,676.67	...	-262.52	Total of 9 transactions
08/11/20	10.000	141.41	06/12/20	170.90	29.49 W	0.00	Sale <sup>25</sup>
08/19/20	10.000	131.82	08/19/20	160.09	...	-28.27	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,451.82</b>		<b>4,334.26</b>	<b>431.50 W</b>	<b>549.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMGEN INC. COMMON STOCK / CUSIP: 031162100 / Symbol:							
06/05/20	7.000	1,544.91	06/03/20	1,545.99	...	-1.08	Sale <sup>25</sup>
APACHE CORPORATION COMMON STOC K / CUSIP: 037411105 / Symbol:							
05/01/20	20.000	227.60	03/30/20	80.92	...	146.68	Sale <sup>25</sup>
	4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	10.000	132.81	03/30/20	40.46	...	92.35	1 of 4 - Sale <sup>25</sup>
	10.000	132.82	04/01/20	39.45	...	93.37	2 of 4 - Sale <sup>25</sup>
	10.000	132.81	05/13/20	106.46	...	26.35	3 of 4 - Sale <sup>25</sup>
	9.000	119.54	05/29/20	99.45	...	20.09	4 of 4 - Sale <sup>25</sup>
06/26/20	39.000	517.98	Various	285.82	...	232.16	Total of 4 transactions
07/27/20	1.000	13.74	05/29/20	11.05	...	2.69	Sale <sup>25</sup>
	<b>Security total:</b>	<b>759.32</b>		<b>377.79</b>	...	<b>381.53</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
	2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	0.868	378.36	07/31/20	369.00	...	9.36	1 of 2 - Sale <sup>25</sup>
	1.000	436.10	07/31/20	424.38	...	11.72	2 of 2 - Sale <sup>25</sup>
08/03/20	1.868	814.46	Various	793.38	...	21.08	Total of 2 transactions
08/11/20	1.000	440.80	08/11/20	443.83	3.03 W	0.00	Sale <sup>25</sup>
08/12/20	1.000	452.52	08/12/20	444.79	...	7.73	Sale <sup>25</sup>
08/17/20	4.000	1,833.75	08/17/20	1,835.52	1.77 W	0.00	Sale <sup>25</sup>
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	4.000	2,015.11	08/21/20	1,959.93	...	55.18	1 of 3 - Sale <sup>25</sup>
	6.000	3,022.67	08/21/20	2,937.24	...	85.43	2 of 3 - Sale <sup>25</sup>
	15.000	7,556.68	08/21/20	7,366.19	...	190.49	3 of 3 - Sale <sup>25</sup>
08/24/20	25.000	12,594.46	Various	12,263.36	...	331.10	Total of 3 transactions
	2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	11.000	5,501.31	08/24/20	5,568.86	67.55 W	0.00	1 of 2 - Sale <sup>25</sup>
	14.000	7,001.66	08/24/20	7,087.64	85.98 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	25.000	12,502.97	Various	12,656.50	153.53 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	2.000	990.13	08/25/20	1,002.96	12.83 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	1,485.14	08/25/20	1,504.44	19.30 W	0.00	2 of 2 - Sale <sup>25</sup>
08/25/20	5.000	2,475.27	Various	2,507.40	32.13 W	0.00	Total of 2 transactions
6 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	129.78	08/26/20	127.72	...	2.06	1 of 6 - Sale <sup>25</sup>
	4.000	519.10	08/26/20	510.86	...	8.24	2 of 6 - Sale <sup>25</sup>
	15.000	1,946.05	08/26/20	1,915.73	...	30.32	3 of 6 - Sale <sup>25</sup>
	20.000	2,595.54	08/26/20	2,553.89	...	41.65	4 of 6 - Sale <sup>25</sup>
	4.000	518.95	08/27/20	502.14	...	16.81	5 of 6 - Sale <sup>25</sup>
	88.000	11,194.22	09/02/20	11,640.08	...	-445.86	6 of 6 - Sale <sup>25</sup>
09/02/20	132.000	16,903.64	Various	17,250.42	...	-346.78	Total of 6 transactions
09/02/20	44.000	5,708.42	08/31/20	5,728.38	2.26 W	-17.70	Sale <sup>25</sup>
09/15/20	5.000	573.88	09/02/20	653.57	...	-79.69	Sale <sup>25</sup>
09/22/20	9.000	998.54	09/02/20	1,176.42	...	-177.88	Sale <sup>25</sup>
12 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	32.000	3,812.72	09/02/20	4,183.38	...	-370.66	1 of 12 - Sale <sup>25</sup>
	14.000	1,668.07	09/02/20	1,830.25	...	-162.18	2 of 12 - Sale <sup>25</sup>
	10.000	1,191.48	09/02/20	1,307.14	...	-115.66	3 of 12 - Sale <sup>25</sup>
	5.000	595.74	09/03/20	625.49	...	-29.75	4 of 12 - Sale <sup>25</sup>
	5.000	595.74	09/03/20	613.43	...	-17.69	5 of 12 - Sale <sup>25</sup>
	5.000	595.74	09/09/20	592.00	...	3.74	6 of 12 - Sale <sup>25</sup>
	2.000	238.29	09/11/20	226.16	...	12.13	7 of 12 - Sale <sup>25</sup>
	3.000	357.44	09/11/20	334.17	...	23.27	8 of 12 - Sale <sup>25</sup>
	10.000	1,191.47	09/15/20	1,152.41	...	39.06	9 of 12 - Sale <sup>25</sup>
	1.000	119.15	09/17/20	110.99	...	8.16	10 of 12 - Sale <sup>25</sup>
	4.000	476.59	09/17/20	443.78	...	32.81	11 of 12 - Sale <sup>25</sup>
	4.000	476.59	09/21/20	431.00	...	45.59	12 of 12 - Sale <sup>25</sup>
10/19/20	95.000	11,319.02	Various	11,850.20	...	-531.18	Total of 12 transactions
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	10.000	1,148.51	11/24/20	1,148.75	...	-0.24	1 of 3 - Sale <sup>25</sup>
	20.000	2,297.03	11/24/20	2,287.77	...	9.26	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	10.000	1,148.52	11/24/20	1,135.05	...	13.47	3 of 3 - Sale <sup>25</sup>
11/24/20	40.000	4,594.06	Various	4,571.57	...	22.49	Total of 3 transactions
11/25/20	40.000	4,643.63	11/24/20	4,595.02	...	48.61	Sale <sup>25</sup>
	<b>Security total:</b>	<b>75,855.42</b>		<b>76,770.36</b>	<b>192.72 W</b>	<b>-722.22</b>	
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
06/03/20	24.000	259.24	05/29/20	190.80	...	68.44	Sale <sup>25</sup>
06/05/20	1.000	12.82	05/29/20	7.95	...	4.87	Sale <sup>25</sup>
06/09/20	70.000	1,194.87	06/08/20	1,062.60	...	132.27	Sale <sup>25</sup>
	2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	12.000	137.04	06/09/20	187.68	8.44 W	-42.20	1 of 2 - Sale <sup>25</sup>
	18.000	205.56	06/09/20	293.85	88.29 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	30.000	342.60	Various	481.53	96.73 W	-42.20	Total of 2 transactions
	10 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	8.000	99.13	06/09/20	125.12	...	-25.99	1 of 10 - Sale <sup>25</sup>
	10.000	123.92	06/10/20	147.00	...	-23.08	2 of 10 - Sale <sup>25</sup>
	10.000	123.92	06/10/20	146.40	...	-22.48	3 of 10 - Sale <sup>25</sup>
	10.000	123.92	06/11/20	117.85	...	6.07	4 of 10 - Sale <sup>25</sup>
	13.000	161.09	06/12/20	171.21	...	-10.12	5 of 10 - Sale <sup>25</sup>
	10.000	123.92	06/29/20	106.40	...	17.52	6 of 10 - Sale <sup>25</sup>
	20.000	247.84	06/29/20	219.97	...	27.87	7 of 10 - Sale <sup>25</sup>
	10.000	123.92	08/10/20	168.35	...	-44.43	8 of 10 - Sale <sup>25</sup>
	8.000	99.13	08/11/20	140.04	...	-40.91	9 of 10 - Sale <sup>25</sup>
	2.000	24.78	08/11/20	33.64	...	-8.86	10 of 10 - Sale <sup>25</sup>
08/11/20	101.000	1,251.57	Various	1,375.98	...	-124.41	Total of 10 transactions
	<b>Security total:</b>	<b>3,061.10</b>		<b>3,118.86</b>	<b>96.73 W</b>	<b>38.97</b>	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
	3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	5.000	123.81	07/29/20	129.39	...	-5.58	1 of 3 - Sale <sup>25</sup>
	5.000	122.81	07/29/20	126.14	...	-3.33	2 of 3 - Sale <sup>25</sup>
	5.000	123.82	07/30/20	125.41	...	-1.59	3 of 3 - Sale <sup>25</sup>
07/31/20	15.000	370.44	Various	380.94	...	-10.50	Total of 3 transactions
07/31/20	10.000	245.63	07/29/20	252.15	6.52 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>616.07</b>		<b>633.09</b>		<b>6.52 W</b>	<b>-10.50</b>
BANK OF NOVA SCOTIA / CUSIP: 064149107 / Symbol:							
08/11/20	1.000	44.01	08/04/20	41.35	...	2.66	Sale <sup>25</sup>
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
<i>2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	604.91	11/24/20	623.59	...	-18.68	1 of 2 - Sale <sup>25</sup>
	4.000	120.98	11/24/20	120.90	...	0.08	2 of 2 - Sale <sup>25</sup>
11/24/20	24.000	725.89	Various	744.49	...	-18.60	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
<i>2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	140.77	04/22/20	135.28	...	5.49	1 of 2 - Sale <sup>25</sup>
	4.000	563.08	04/22/20	543.84	...	19.24	2 of 2 - Sale <sup>25</sup>
04/29/20	5.000	703.85	Various	679.12	...	24.73	Total of 2 transactions
<i>3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	138.90	04/22/20	135.28	...	3.62	1 of 3 - Sale <sup>25</sup>
	1.000	138.91	04/24/20	133.87	...	5.04	2 of 3 - Sale <sup>25</sup>
	3.000	412.94	04/24/20	401.61	...	11.33	3 of 3 - Sale <sup>25</sup>
05/01/20	5.000	690.75	Various	670.76	...	19.99	Total of 3 transactions
05/04/20	3.000	386.64	05/04/20	383.41	...	3.23	Sale <sup>25</sup>
<i>8 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	357.14	05/05/20	254.56	...	102.58	1 of 8 - Sale <sup>25</sup>
	4.000	714.29	05/05/20	506.34	...	207.95	2 of 8 - Sale <sup>25</sup>
	4.000	714.28	05/05/20	501.84	...	212.44	3 of 8 - Sale <sup>25</sup>
	5.000	892.86	05/05/20	627.84	...	265.02	4 of 8 - Sale <sup>25</sup>
	3.000	535.71	05/06/20	366.60	...	169.11	5 of 8 - Sale <sup>25</sup>
	5.000	892.86	05/06/20	618.14	...	274.72	6 of 8 - Sale <sup>25</sup>
	2.000	357.14	05/13/20	241.12	...	116.02	7 of 8 - Sale <sup>25</sup>
	5.000	892.86	05/13/20	603.85	...	289.01	8 of 8 - Sale <sup>25</sup>
06/04/20	30.000	5,357.14	Various	3,720.29	...	1,636.85	Total of 8 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	3.000	580.70	05/13/20	361.69	...	219.01	1 of 2 - Sale <sup>25</sup>
	27.000	5,226.29	06/04/20	4,956.09	...	270.20	2 of 2 - Sale <sup>25</sup>
06/17/20	30.000	5,806.99	Various	5,317.78	...	489.21	Total of 2 transactions
06/22/20	3.000	557.98	06/04/20	550.68	...	7.30	Sale <sup>25</sup>
5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	15.000	2,789.89	06/04/20	2,814.40	24.51 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	1,859.92	06/08/20	2,299.64	439.72 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	185.99	06/09/20	221.27	35.28 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	371.99	06/09/20	438.24	66.25 W	0.00	4 of 5 - Sale <sup>25</sup>
	30.000	5,579.78	06/17/20	5,849.13	269.35 W	0.00	5 of 5 - Sale <sup>25</sup>
06/22/20	58.000	10,787.57	Various	11,622.68	835.11 W	0.00	Total of 5 transactions
11 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	15.000	2,834.94	06/23/20	2,856.98	22.04 W	0.00	1 of 11 - Sale <sup>25</sup>
	10.000	1,889.96	06/23/20	2,328.03	438.07 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	189.00	06/23/20	224.11	35.11 W	0.00	3 of 11 - Sale <sup>25</sup>
	2.000	377.99	06/23/20	443.91	65.92 W	0.00	4 of 11 - Sale <sup>25</sup>
	22.000	4,157.90	06/23/20	4,351.82	193.92 W	0.00	5 of 11 - Sale <sup>25</sup>
	15.000	2,737.26	07/01/20	2,759.72	22.46 W	0.00	6 of 11 - Sale <sup>25</sup>
	10.000	1,824.84	07/01/20	2,263.19	438.35 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.000	182.48	07/01/20	217.62	35.14 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.000	364.97	07/01/20	430.94	65.97 W	0.00	9 of 11 - Sale <sup>25</sup>
	4.000	729.93	07/01/20	765.31	35.38 W	0.00	10 of 11 - Sale <sup>25</sup>
	8.000	1,459.87	07/01/20	1,531.93	72.06 W	0.00	11 of 11 - Sale <sup>25</sup>
07/01/20	90.000	16,749.14	Various	18,173.56	1,424.42 W	0.00	Total of 11 transactions
07/06/20	15.000	2,804.94	07/02/20	2,760.86	...	44.08	Sale <sup>25</sup>
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	2.000	373.99	07/02/20	452.79	78.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	18.000	3,365.92	07/02/20	3,444.75	78.83 W	0.00	2 of 2 - Sale <sup>25</sup>
07/06/20	20.000	3,739.91	Various	3,897.54	157.63 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	353.23	07/07/20	444.10	90.87 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	353.24	07/07/20	452.97	99.73 W	0.00	2 of 2 - Sale <sup>25</sup>
07/13/20	4.000	706.47	Various	897.07	190.60 W	0.00	Total of 2 transactions
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	8.000	1,447.97	07/08/20	1,422.86	...	25.11	1 of 3 - Sale <sup>25</sup>
	2.000	361.99	07/10/20	351.43	...	10.56	2 of 3 - Sale <sup>25</sup>
	2.000	361.99	07/10/20	348.40	...	13.59	3 of 3 - Sale <sup>25</sup>
07/14/20	12.000	2,171.95	Various	2,122.69	...	49.26	Total of 3 transactions
10 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	6.000	1,085.97	07/07/20	1,358.92	272.95 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	181.00	07/07/20	217.79	36.79 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	361.99	07/07/20	431.27	69.28 W	0.00	3 of 10 - Sale <sup>25</sup>
	5.000	904.98	07/07/20	935.16	30.18 W	0.00	4 of 10 - Sale <sup>25</sup>
	4.000	723.98	07/07/20	765.99	42.01 W	0.00	5 of 10 - Sale <sup>25</sup>
	8.000	1,447.97	07/07/20	1,533.28	85.31 W	0.00	6 of 10 - Sale <sup>25</sup>
	5.000	904.98	07/07/20	932.87	27.89 W	0.00	7 of 10 - Sale <sup>25</sup>
	2.000	361.99	07/08/20	445.19	83.20 W	0.00	8 of 10 - Sale <sup>25</sup>
	8.000	1,447.96	07/08/20	1,452.30	4.34 W	0.00	9 of 10 - Sale <sup>25</sup>
	2.000	361.99	07/08/20	455.44	93.45 W	0.00	10 of 10 - Sale <sup>25</sup>
07/14/20	43.000	7,782.81	Various	8,528.21	745.40 W	0.00	Total of 10 transactions
08/03/20	2.000	322.59	07/15/20	462.64	140.05 W	0.00	Sale <sup>25</sup>
08/04/20	2.000	329.71	07/15/20	462.64	132.93 W	0.00	Sale <sup>25</sup>
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	2.000	343.32	07/15/20	462.63	119.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	171.66	07/15/20	222.62	50.96 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	3.000	514.98	Various	685.25	170.27 W	0.00	Total of 2 transactions
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	185.73	07/29/20	170.22	...	15.51	1 of 3 - Sale <sup>25</sup>
	1.000	185.73	07/30/20	161.93	...	23.80	2 of 3 - Sale <sup>25</sup>
	5.000	928.62	08/05/20	871.75	...	56.87	3 of 3 - Sale <sup>25</sup>
08/11/20	7.000	1,300.08	Various	1,203.90	...	96.18	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
<i>13 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	376.31	07/15/20	440.93	64.62 W	0.00	1 of 13 - Sale <sup>25</sup>
	3.000	564.46	07/15/20	574.22	9.76 W	0.00	2 of 13 - Sale <sup>25</sup>
	5.000	940.78	07/15/20	959.32	18.54 W	0.00	3 of 13 - Sale <sup>25</sup>
	1.000	185.73	07/15/20	191.40	5.67 W	0.00	4 of 13 - Sale <sup>25</sup>
	4.000	742.90	07/15/20	785.32	42.42 W	0.00	5 of 13 - Sale <sup>25</sup>
	8.000	1,485.80	07/15/20	1,571.93	86.13 W	0.00	6 of 13 - Sale <sup>25</sup>
	1.000	185.73	07/29/20	206.23	20.50 W	0.00	7 of 13 - Sale <sup>25</sup>
	1.000	185.73	07/30/20	202.99	17.26 W	0.00	8 of 13 - Sale <sup>25</sup>
	2.000	371.45	08/11/20	497.07	125.62 W	0.00	9 of 13 - Sale <sup>25</sup>
	2.000	371.45	08/11/20	510.69	139.24 W	0.00	10 of 13 - Sale <sup>25</sup>
	2.000	371.45	08/11/20	517.81	146.36 W	0.00	11 of 13 - Sale <sup>25</sup>
	2.000	371.45	08/11/20	471.21	99.76 W	0.00	12 of 13 - Sale <sup>25</sup>
	2.000	371.45	08/11/20	378.85	7.40 W	0.00	13 of 13 - Sale <sup>25</sup>
08/11/20	35.000	6,524.69	Various	7,307.97	783.28 W	0.00	Total of 13 transactions
<i>3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	345.88	08/18/20	408.05	62.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	345.88	08/18/20	350.85	4.97 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	172.95	08/18/20	222.67	49.72 W	0.00	3 of 3 - Sale <sup>25</sup>
08/18/20	5.000	864.71	Various	981.57	116.86 W	0.00	Total of 3 transactions
<i>3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	173.43	08/19/20	183.55	...	-10.12	1 of 3 - Sale <sup>25</sup>
	3.000	520.30	08/19/20	528.59	...	-8.29	2 of 3 - Sale <sup>25</sup>
	1.000	173.44	08/19/20	178.61	...	-5.17	3 of 3 - Sale <sup>25</sup>
08/19/20	5.000	867.17	Various	890.75	...	-23.58	Total of 3 transactions
08/19/20	3.000	520.31	08/19/20	525.39	5.08 W	0.00	Sale <sup>25</sup>
<i>13 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	348.85	08/24/20	495.31	...	-146.46	1 of 13 - Sale <sup>25</sup>
	2.000	348.84	08/24/20	488.19	...	-139.35	2 of 13 - Sale <sup>25</sup>
	2.000	348.85	08/24/20	474.57	...	-125.72	3 of 13 - Sale <sup>25</sup>
	2.000	348.84	08/24/20	448.71	...	-99.87	4 of 13 - Sale <sup>25</sup>
	8.000	1,395.38	08/24/20	1,481.94	...	-86.56	5 of 13 - Sale <sup>25</sup>
	2.000	348.84	08/24/20	411.12	...	-62.28	6 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	1.000	174.42	08/24/20	224.20	...	-49.78	7 of 13 - Sale <sup>25</sup>
	3.000	523.27	08/24/20	555.24	...	-31.97	8 of 13 - Sale <sup>25</sup>
	1.000	174.42	08/24/20	194.98	...	-20.56	9 of 13 - Sale <sup>25</sup>
	1.000	174.42	08/24/20	191.74	...	-17.32	10 of 13 - Sale <sup>25</sup>
	2.000	348.85	08/24/20	356.36	...	-7.51	11 of 13 - Sale <sup>25</sup>
	2.000	348.85	08/24/20	353.93	...	-5.08	12 of 13 - Sale <sup>25</sup>
	2.000	348.84	08/24/20	352.34	...	-3.50	13 of 13 - Sale <sup>25</sup>
08/24/20	30.000	5,232.67	Various	6,028.63	...	-795.96	Total of 13 transactions
08/27/20	1.000	179.69	08/27/20	182.27	...	-2.58	Sale <sup>25</sup>
11/10/20	40.000	7,438.44	11/10/20	7,690.77	...	-252.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>82,341.18</b>		<b>85,746.43</b>	<b>4,701.63 W</b>	<b>1,296.38</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
05/05/20	5.000	65.16	04/22/20	57.30	...	7.86	Sale <sup>25</sup>
05/22/20	5.000	75.00	04/22/20	57.30	...	17.70	Sale <sup>25</sup>
06/05/20	5.000	107.78	06/02/20	84.90	...	22.88	Sale <sup>25</sup>
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	10.000	151.82	06/08/20	243.60	91.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	151.90	06/08/20	243.60	91.70 W	0.00	2 of 2 - Sale <sup>25</sup>
07/10/20	20.000	303.72	Various	487.20	183.48 W	0.00	Total of 2 transactions
	13 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	5.000	79.06	06/10/20	144.58	...	-65.52	1 of 13 - Sale <sup>25</sup>
	5.000	79.06	06/12/20	143.57	...	-64.51	2 of 13 - Sale <sup>25</sup>
	5.000	79.06	06/12/20	143.52	...	-64.46	3 of 13 - Sale <sup>25</sup>
	50.000	790.56	06/18/20	912.33	...	-121.77	4 of 13 - Sale <sup>25</sup>
	5.000	79.06	06/18/20	137.08	...	-58.02	5 of 13 - Sale <sup>25</sup>
	10.000	158.11	06/29/20	153.40	...	4.71	6 of 13 - Sale <sup>25</sup>
	10.000	158.11	07/08/20	151.30	...	6.81	7 of 13 - Sale <sup>25</sup>
	10.000	158.11	07/08/20	150.88	...	7.23	8 of 13 - Sale <sup>25</sup>
	10.000	158.11	07/15/20	250.98	...	-92.87	9 of 13 - Sale <sup>25</sup>
	5.000	79.06	07/15/20	119.84	...	-40.78	10 of 13 - Sale <sup>25</sup>
	5.000	79.06	07/15/20	117.24	...	-38.18	11 of 13 - Sale <sup>25</sup>
	10.000	158.11	08/06/20	137.00	...	21.11	12 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
	20.000	316.22	08/10/20	308.00	...	8.22	13 of 13 - Sale <sup>25</sup>
08/11/20	150.000	2,371.69	Various	2,869.72	...	-498.03	Total of 13 transactions
	3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	10.000	158.10	06/08/20	243.60	85.50 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	79.05	06/09/20	113.55	34.50 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	79.05	06/09/20	116.15	37.10 W	0.00	3 of 3 - Sale <sup>25</sup>
08/11/20	20.000	316.20	Various	473.30	157.10 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>3,239.55</b>		<b>4,029.72</b>	<b>340.58 W</b>	<b>-449.59</b>	
CHARTER COMMUNICATIONS, INC. C LASS A COMMON STOCK NEW / CUSIP: 16119P108 / Symbol:							
05/01/20	1.000	511.88	04/29/20	494.40	...	17.48	Sale <sup>25</sup>
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	1.000	513.43	05/13/20	498.88	...	14.55	1 of 2 - Sale <sup>25</sup>
	2.000	1,026.87	05/13/20	982.32	...	44.55	2 of 2 - Sale <sup>25</sup>
05/27/20	3.000	1,540.30	Various	1,481.20	...	59.10	Total of 2 transactions
08/14/20	2.000	1,209.03	08/14/20	1,220.14	11.11 W	0.00	Sale <sup>25</sup>
	2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	4.000	2,431.63	08/14/20	2,422.00	...	9.63	1 of 2 - Sale <sup>25</sup>
	3.000	1,823.72	08/17/20	1,813.02	...	10.70	2 of 2 - Sale <sup>25</sup>
08/17/20	7.000	4,255.35	Various	4,235.02	...	20.33	Total of 2 transactions
08/17/20	2.000	1,215.81	08/14/20	1,222.11	6.30 W	0.00	Sale <sup>25</sup>
	2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	2.000	1,224.73	08/18/20	1,231.80	...	-7.07	1 of 2 - Sale <sup>25</sup>
	3.000	1,837.08	08/18/20	1,838.25	...	-1.17	2 of 2 - Sale <sup>25</sup>
08/18/20	5.000	3,061.81	Various	3,070.05	...	-8.24	Total of 2 transactions
	<b>Security total:</b>	<b>11,794.18</b>		<b>11,722.92</b>	<b>17.41 W</b>	<b>88.67</b>	
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
06/17/20	2.000	316.64	06/16/20	286.22	...	30.42	Sale <sup>25</sup>
06/24/20	2.000	289.07	06/23/20	257.26	...	31.81	Sale <sup>25</sup>
06/24/20	6.000	867.22	06/24/20	881.40	11.82 W	-2.36	Sale <sup>25</sup>
	3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	2.000	202.31	06/30/20	257.07	...	-54.76	1 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	2.000	202.31	07/01/20	249.58	...	-47.27	2 of 3 - Sale <sup>25</sup>
	1.000	101.16	07/01/20	119.86	...	-18.70	3 of 3 - Sale <sup>25</sup>
07/06/20	5.000	505.78	Various	626.51	...	-120.73	Total of 3 transactions
	<b>Security total:</b>	<b>1,978.71</b>		<b>2,051.39</b>	<b>11.82 W</b>	<b>-60.86</b>	
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
06/30/20	6.000	77.57	06/29/20	73.83	...	3.74	Sale <sup>25</sup>
06/30/20	10.000	129.28	06/25/20	136.49	0.72 W	-6.49	Sale <sup>25</sup>
07/08/20	1.000	12.14	06/29/20	13.03	...	-0.89	Sale <sup>25</sup>
	<b>Security total:</b>	<b>218.99</b>		<b>223.35</b>	<b>0.72 W</b>	<b>-3.64</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	4.000	96.64	04/01/20	101.16	4.52 W	0.00	1 of 3 - Sale <sup>25</sup>
	6.000	143.35	04/01/20	151.74	8.39 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	95.56	04/01/20	101.23	5.67 W	0.00	3 of 3 - Sale <sup>25</sup>
05/01/20	14.000	335.55	Various	354.13	18.58 W	0.00	Total of 3 transactions
10 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	26.91	04/01/20	25.58	...	1.33	1 of 10 - Sale <sup>25</sup>
	1.000	26.91	04/07/20	22.30	...	4.61	2 of 10 - Sale <sup>25</sup>
	4.000	107.64	04/07/20	94.87	...	12.77	3 of 10 - Sale <sup>25</sup>
	5.000	134.55	04/07/20	118.49	...	16.06	4 of 10 - Sale <sup>25</sup>
	10.000	269.09	05/05/20	221.19	...	47.90	5 of 10 - Sale <sup>25</sup>
	10.000	269.09	05/05/20	217.98	...	51.11	6 of 10 - Sale <sup>25</sup>
	10.000	269.09	05/05/20	216.59	...	52.50	7 of 10 - Sale <sup>25</sup>
	10.000	269.09	05/06/20	212.48	...	56.61	8 of 10 - Sale <sup>25</sup>
	9.000	242.19	05/13/20	176.45	...	65.74	9 of 10 - Sale <sup>25</sup>
	15.000	403.64	05/13/20	289.35	...	114.29	10 of 10 - Sale <sup>25</sup>
06/11/20	75.000	2,018.20	Various	1,595.28	...	422.92	Total of 10 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	30.27	05/13/20	19.60	...	10.67	1 of 2 - Sale <sup>25</sup>
	60.000	1,816.15	06/12/20	1,795.57	...	20.58	2 of 2 - Sale <sup>25</sup>
06/17/20	61.000	1,846.42	Various	1,815.17	...	31.25	Total of 2 transactions
07/15/20	10.000	272.00	06/17/20	306.38	34.38 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	5.000	149.00	07/15/20	142.90	...	6.10	1 of 3 - Sale <sup>25</sup>
	5.000	149.01	08/06/20	134.55	...	14.46	2 of 3 - Sale <sup>25</sup>
	9.000	275.32	08/11/20	274.54	...	0.78	3 of 3 - Sale <sup>25</sup>
08/11/20	19.000	573.33	Various	551.99	...	21.34	Total of 3 transactions
8 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	51.000	1,519.84	06/17/20	1,562.56	42.72 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	149.00	06/29/20	154.09	5.09 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	149.01	07/10/20	151.88	2.87 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	298.01	08/11/20	303.30	5.29 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	152.95	08/11/20	157.61	4.66 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	152.95	08/11/20	155.40	2.45 W	0.00	6 of 8 - Sale <sup>25</sup>
	51.000	1,560.14	08/11/20	1,598.48	38.34 W	0.00	7 of 8 - Sale <sup>25</sup>
	10.000	305.91	08/11/20	310.34	4.43 W	0.00	8 of 8 - Sale <sup>25</sup>
08/11/20	142.000	4,287.81	Various	4,393.66	105.85 W	0.00	Total of 8 transactions
08/19/20	39.000	1,125.51	08/19/20	1,122.03	...	3.48	Sale <sup>25</sup>
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	5.000	144.30	08/19/20	146.59	2.29 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	144.30	08/19/20	144.37	0.07 W	0.00	2 of 4 - Sale <sup>25</sup>
	51.000	1,471.82	08/19/20	1,505.60	33.78 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	288.59	08/19/20	292.13	3.54 W	0.00	4 of 4 - Sale <sup>25</sup>
08/19/20	71.000	2,049.01	Various	2,088.69	39.68 W	0.00	Total of 4 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	5.000	146.50	08/24/20	145.52	...	0.98	1 of 2 - Sale <sup>25</sup>
	5.000	146.50	08/24/20	143.30	...	3.20	2 of 2 - Sale <sup>25</sup>
08/24/20	10.000	293.00	Various	288.82	...	4.18	Total of 2 transactions
08/24/20	50.000	1,464.96	08/24/20	1,465.42	0.18 W	-0.28	Sale <sup>25</sup>
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	32.12	09/01/20	31.20	...	0.92	1 of 3 - Sale <sup>25</sup>
	10.000	321.19	09/03/20	327.99	...	-6.80	2 of 3 - Sale <sup>25</sup>
	20.000	642.38	09/03/20	649.08	...	-6.70	3 of 3 - Sale <sup>25</sup>
09/03/20	31.000	995.69	Various	1,008.27	...	-12.58	Total of 3 transactions
11/10/20	50.000	1,825.73	11/10/20	1,849.31	...	-23.58	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
<b>Security total:</b>		<b>17,087.21</b>		<b>16,839.15</b>		<b>198.67 W</b>	<b>446.73</b>
DEUTSCHE LUFTHANSA A G SPONSOR ED ADR (GERMANY) / CUSIP: 251561304 / Symbol:							
07/07/20	1.000	10.23	05/27/20	10.31	...	-0.08	Sale <sup>25</sup>
DOW INC. / CUSIP: 260557103 / Symbol:							
06/05/20	40.000	1,758.36	06/04/20	1,677.17	...	81.19	Sale <sup>25</sup>
06/17/20	3.000	125.52	06/12/20	123.81	...	1.71	Sale <sup>25</sup>
<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	78.35	06/25/20	77.96	...	0.39	1 of 2 - Sale <sup>25</sup>
	5.000	195.86	06/25/20	195.29	...	0.57	2 of 2 - Sale <sup>25</sup>
06/26/20	7.000	274.21	Various	273.25	...	0.96	Total of 2 transactions
<b>Security total:</b>		<b>2,158.09</b>		<b>2,074.23</b>		<b>...</b>	<b>83.86</b>
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
07/29/20	2.000	87.22	07/29/20	91.84	4.62 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	76.64	07/30/20	87.16	...	-10.52	1 of 2 - Sale <sup>25</sup>
	3.000	114.96	07/30/20	123.81	...	-8.85	2 of 2 - Sale <sup>25</sup>
07/30/20	5.000	191.60	Various	210.97	...	-19.37	Total of 2 transactions
<b>Security total:</b>		<b>278.82</b>		<b>302.81</b>	<b>4.62 W</b>	<b>-19.37</b>	
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
<i>4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	13.30	05/27/20	16.64	...	-3.34	1 of 4 - Sale <sup>25</sup>
	5.000	33.26	07/01/20	35.19	...	-1.93	2 of 4 - Sale <sup>25</sup>
	10.000	66.52	07/28/20	62.78	...	3.74	3 of 4 - Sale <sup>25</sup>
	30.000	199.58	07/28/20	189.53	...	10.05	4 of 4 - Sale <sup>25</sup>
08/11/20	47.000	312.66	Various	304.14	...	8.52	Total of 4 transactions
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
07/02/20	20.000	888.62	07/01/20	882.76	...	5.86	Sale <sup>25</sup>
08/10/20	1.000	44.09	08/04/20	43.24	...	0.85	Sale <sup>25</sup>
<b>Security total:</b>		<b>932.71</b>		<b>926.00</b>		<b>...</b>	<b>6.71</b>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
08/17/20	10.000	2,611.44	08/14/20	2,613.97	...	-2.53	Sale <sup>25</sup>
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
07/27/20	1.000	6.76	05/27/20	7.68	...	-0.92	Sale <sup>25</sup>
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
04/24/20	1.000	78.10	04/24/20	77.46	...	0.64	Sale <sup>25</sup>
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
05/18/20	9.000	77.78	05/13/20	71.17	...	6.61	Sale <sup>25</sup>
07/17/20	1.000	11.01	05/13/20	7.91	...	3.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>88.79</b>		<b>79.08</b>	...	<b>9.71</b>	
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
07/30/20	5.000	67.80	07/30/20	71.55	...	-3.75	Sale <sup>25</sup>
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
07/17/20	10.000	227.10	07/15/20	223.90	...	3.20	Sale <sup>25</sup>
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	20.000	564.81	11/17/20	562.80	...	2.01	1 of 2 - Sale <sup>25</sup>
	200.000	5,648.11	11/17/20	5,497.80	...	150.31	2 of 2 - Sale <sup>25</sup>
11/17/20	220.000	6,212.92	Various	6,060.60	...	152.32	Total of 2 transactions
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	250.000	7,060.13	11/17/20	7,109.63	49.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	847.22	11/17/20	867.88	20.66 W	0.00	2 of 2 - Sale <sup>25</sup>
11/17/20	280.000	7,907.35	Various	7,977.51	70.16 W	0.00	Total of 2 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	2.000	58.49	11/17/20	58.15	...	0.34	1 of 2 - Sale <sup>25</sup>
	80.000	2,339.65	11/17/20	2,334.16	...	5.49	2 of 2 - Sale <sup>25</sup>
11/18/20	82.000	2,398.14	Various	2,392.31	...	5.83	Total of 2 transactions
	7 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	100.000	3,308.91	11/24/20	3,355.70	...	-46.79	1 of 7 - Sale <sup>25</sup>
	68.000	2,250.40	11/24/20	2,281.87	...	-31.47	2 of 7 - Sale <sup>25</sup>
	30.000	992.82	11/24/20	1,021.43	...	-28.61	3 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol: (cont'd)							
	25.000	830.27	11/24/20	833.97	...	-3.70	4 of 7 - Sale <sup>25</sup>
	14.000	465.51	11/24/20	467.03	...	-1.52	5 of 7 - Sale <sup>25</sup>
	11.000	365.81	11/24/20	366.95	...	-1.14	6 of 7 - Sale <sup>25</sup>
	2.000	66.19	11/24/20	66.72	...	-0.53	7 of 7 - Sale <sup>25</sup>
11/24/20	250.000	8,279.91	Various	8,393.67	...	-113.76	Total of 7 transactions
	<b>Security total:</b>	<b>25,025.42</b>		<b>25,047.99</b>	<b>70.16 W</b>	<b>47.59</b>	
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	5.000	82.05	07/31/20	80.33	...	1.72	1 of 2 - Sale <sup>25</sup>
	19.000	311.77	07/31/20	306.38	...	5.39	2 of 2 - Sale <sup>25</sup>
08/10/20	24.000	393.82	Various	386.71	...	7.11	Total of 2 transactions
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	5.000	82.05	07/30/20	82.35	0.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	32.82	07/31/20	32.94	0.12 W	0.00	2 of 2 - Sale <sup>25</sup>
08/10/20	7.000	114.87	Various	115.29	0.42 W	0.00	Total of 2 transactions
4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	16.00	07/31/20	16.18	0.18 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	64.00	08/11/20	64.52	0.52 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	32.00	08/11/20	32.26	0.26 W	0.00	3 of 4 - Sale <sup>25</sup>
	44.000	703.97	08/11/20	707.08	3.11 W	0.00	4 of 4 - Sale <sup>25</sup>
08/11/20	51.000	815.97	Various	820.04	4.07 W	0.00	Total of 4 transactions
4 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	16.42	08/17/20	15.66	...	0.76	1 of 4 - Sale <sup>25</sup>
	2.000	32.85	08/17/20	31.22	...	1.63	2 of 4 - Sale <sup>25</sup>
	4.000	65.70	08/17/20	62.44	...	3.26	3 of 4 - Sale <sup>25</sup>
	33.000	542.01	08/17/20	513.17	...	28.84	4 of 4 - Sale <sup>25</sup>
08/18/20	40.000	656.98	Various	622.49	...	34.49	Total of 4 transactions
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	7.000	163.62	08/26/20	138.25	...	25.37	1 of 3 - Sale <sup>25</sup>
	11.000	257.12	08/26/20	218.03	...	39.09	2 of 3 - Sale <sup>25</sup>
	100.000	2,337.43	08/26/20	2,016.00	...	321.43	3 of 3 - Sale <sup>25</sup>
08/26/20	118.000	2,758.17	Various	2,372.28	...	385.89	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
08/26/20	50.000	1,168.72	08/26/20	1,199.75	12.41 W	-18.62	Sale <sup>25</sup>
09/02/20	20.000	356.60	09/02/20	378.81	...	-22.21	Sale <sup>25</sup>
11/13/20	20.000	779.98	11/13/20	779.27	...	0.71	Sale <sup>25</sup>
4 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	519.753	18,225.07	11/16/20	18,908.60	457.67 W	-225.86	1 of 4 - Sale <sup>25</sup>
	30.000	1,017.87	11/16/20	1,025.25	7.38 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.131	4.58	11/16/20	4.78	0.20 W	0.00	3 of 4 - Sale <sup>25</sup>
	29.869	1,047.37	11/16/20	1,094.00	46.63 W	0.00	4 of 4 - Sale <sup>25</sup>
11/16/20	579.753	20,294.89	Various	21,032.63	511.88 W	-225.86	Total of 4 transactions
7 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	152.000	5,560.78	11/17/20	5,781.58	...	-220.80	1 of 7 - Sale <sup>25</sup>
	120.000	4,387.68	11/17/20	4,564.41	...	-176.73	2 of 7 - Sale <sup>25</sup>
	28.000	1,023.79	11/17/20	1,071.91	...	-48.12	3 of 7 - Sale <sup>25</sup>
	11.000	402.42	11/17/20	412.01	...	-9.59	4 of 7 - Sale <sup>25</sup>
	9.000	329.26	11/17/20	337.10	...	-7.84	5 of 7 - Sale <sup>25</sup>
	1.869	68.35	11/17/20	71.66	...	-3.31	6 of 7 - Sale <sup>25</sup>
	0.131	4.78	11/17/20	5.00	...	-0.22	7 of 7 - Sale <sup>25</sup>
11/17/20	322.000	11,777.06	Various	12,243.67	...	-466.61	Total of 7 transactions
	<b>Security total:</b>	<b>39,117.06</b>		<b>39,950.94</b>	<b>528.78 W</b>	<b>-305.10</b>	
LAS VEGAS SANDS CORP. / CUSIP: 517834107 / Symbol:							
06/03/20	19.000	978.72	05/22/20	888.44	...	90.28	Sale <sup>25</sup>
06/05/20	1.000	53.73	05/22/20	46.76	...	6.97	Sale <sup>25</sup>
06/30/20	4.000	175.46	06/29/20	174.35	...	1.11	Sale <sup>25</sup>
07/08/20	1.000	44.84	06/29/20	43.59	...	1.25	Sale <sup>25</sup>
07/22/20	5.000	235.16	07/15/20	251.64	...	-16.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,487.91</b>		<b>1,404.78</b>	...	<b>83.13</b>	
LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
06/09/20	100.000	296.00	06/08/20	272.00	...	24.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
08/10/20	15.000	326.02	06/08/20	352.50	26.48 W	0.00	Sale <sup>25</sup>
	<i>7 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	110.10	06/08/20	117.50	...	-7.40	1 of 7 - Sale <sup>25</sup>
	2.000	44.04	06/09/20	44.16	...	-0.12	2 of 7 - Sale <sup>25</sup>
	5.000	110.11	06/09/20	109.94	...	0.17	3 of 7 - Sale <sup>25</sup>
	5.000	110.11	06/09/20	109.65	...	0.46	4 of 7 - Sale <sup>25</sup>
	5.000	110.11	07/15/20	90.72	...	19.39	5 of 7 - Sale <sup>25</sup>
	15.000	330.31	07/15/20	298.66	...	31.65	6 of 7 - Sale <sup>25</sup>
	10.000	220.21	07/15/20	181.45	...	38.76	7 of 7 - Sale <sup>25</sup>
08/11/20	47.000	1,034.99	Various	952.08	...	82.91	Total of 7 transactions
	<b>Security total:</b>	<b>1,361.01</b>		<b>1,304.58</b>	<b>26.48 W</b>	<b>82.91</b>	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
	<i>3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	31.00	04/01/20	22.30	...	8.70	1 of 3 - Sale <sup>25</sup>
	4.000	24.80	04/07/20	23.67	...	1.13	2 of 3 - Sale <sup>25</sup>
	15.000	93.00	05/12/20	75.57	...	17.43	3 of 3 - Sale <sup>25</sup>
07/27/20	24.000	148.80	Various	121.54	...	27.26	Total of 3 transactions
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
08/11/20	10.000	3,313.42	08/11/20	3,336.00	...	-22.58	Sale <sup>25</sup>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
	<i>2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	420.99	08/17/20	420.44	...	0.55	1 of 2 - Sale <sup>25</sup>
	4.000	841.99	08/17/20	841.28	...	0.71	2 of 2 - Sale <sup>25</sup>
08/18/20	6.000	1,262.98	Various	1,261.72	...	1.26	Total of 2 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
06/02/20	2.000	115.36	05/21/20	133.65	18.29 W	0.00	Sale <sup>25</sup>
	<i>4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	115.68	06/05/20	115.14	...	0.54	1 of 4 - Sale <sup>25</sup>
	3.000	173.51	06/05/20	172.71	...	0.80	2 of 4 - Sale <sup>25</sup>
	3.000	173.52	06/05/20	171.71	...	1.81	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	10.000	578.38	06/05/20	575.65	...	2.73	4 of 4 - Sale <sup>25</sup>
06/08/20	18.000	1,041.09	Various	1,035.21	...	5.88	Total of 4 transactions
06/08/20	2.000	115.68	06/05/20	132.77	17.09 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	8.000	480.64	07/07/20	466.93	...	13.71	1 of 2 - Sale <sup>25</sup>
	10.000	600.81	07/07/20	580.59	...	20.22	2 of 2 - Sale <sup>25</sup>
07/08/20	18.000	1,081.45	Various	1,047.52	...	33.93	Total of 2 transactions
07/08/20	2.000	120.16	07/07/20	133.82	13.66 W	0.00	Sale <sup>25</sup>
07/27/20	2.000	154.74	07/27/20	169.79	15.05 W	0.00	Sale <sup>25</sup>
	4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	2.000	137.72	08/10/20	160.35	22.63 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	139.39	08/11/20	165.31	25.92 W	0.00	2 of 4 - Sale <sup>25</sup>
	18.000	1,254.53	08/11/20	1,284.12	29.59 W	0.00	3 of 4 - Sale <sup>25</sup>
	18.000	1,254.54	08/11/20	1,264.81	10.27 W	0.00	4 of 4 - Sale <sup>25</sup>
08/11/20	40.000	2,786.18	Various	2,874.59	88.41 W	0.00	Total of 4 transactions
	8 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	2.000	131.84	08/12/20	175.20	43.36 W	0.00	1 of 8 - Sale <sup>25</sup>
	3.000	197.75	08/12/20	228.84	31.09 W	0.00	2 of 8 - Sale <sup>25</sup>
	3.000	197.76	08/12/20	223.47	25.71 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	659.18	08/12/20	713.44	54.26 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	65.92	08/14/20	69.26	3.34 W	0.00	5 of 8 - Sale <sup>25</sup>
	2.000	131.84	08/14/20	140.67	8.83 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	329.59	08/17/20	350.50	20.91 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.000	131.83	08/18/20	133.86	2.03 W	0.00	8 of 8 - Sale <sup>25</sup>
08/25/20	28.000	1,845.71	Various	2,035.24	189.53 W	0.00	Total of 8 transactions
	4 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	8.000	549.17	08/18/20	535.45	...	13.72	1 of 4 - Sale <sup>25</sup>
	2.000	137.29	08/19/20	136.10	...	1.19	2 of 4 - Sale <sup>25</sup>
	2.000	137.29	08/25/20	134.53	...	2.76	3 of 4 - Sale <sup>25</sup>
	20.000	1,372.91	08/25/20	1,332.14	...	40.77	4 of 4 - Sale <sup>25</sup>
08/26/20	32.000	2,196.66	Various	2,138.22	...	58.44	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
9 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	2.000	137.29	08/19/20	178.32	41.03 W	0.00	1 of 9 - Sale <sup>25</sup>
	3.000	205.94	08/19/20	233.53	27.59 W	0.00	2 of 9 - Sale <sup>25</sup>
	3.000	205.94	08/19/20	228.14	22.20 W	0.00	3 of 9 - Sale <sup>25</sup>
	10.000	686.46	08/25/20	716.61	30.15 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	68.65	08/25/20	69.59	0.94 W	0.00	5 of 9 - Sale <sup>25</sup>
	2.000	137.29	08/25/20	141.33	4.04 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	343.23	08/25/20	352.16	8.93 W	0.00	7 of 9 - Sale <sup>25</sup>
	20.000	1,372.91	08/26/20	1,419.20	46.29 W	0.00	8 of 9 - Sale <sup>25</sup>
	20.000	1,372.91	08/26/20	1,397.20	24.29 W	0.00	9 of 9 - Sale <sup>25</sup>
08/26/20	66.000	4,530.62	Various	4,736.08	205.46 W	0.00	Total of 9 transactions
11 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	20.000	1,398.74	08/26/20	1,424.29	...	-25.55	1 of 11 - Sale <sup>25</sup>
	3.000	209.81	08/26/20	234.29	...	-24.48	2 of 11 - Sale <sup>25</sup>
	10.000	699.37	08/26/20	719.15	...	-19.78	3 of 11 - Sale <sup>25</sup>
	3.000	209.81	08/26/20	228.90	...	-19.09	4 of 11 - Sale <sup>25</sup>
	20.000	1,398.74	08/26/20	1,409.15	...	-10.41	5 of 11 - Sale <sup>25</sup>
	5.000	349.68	08/26/20	353.43	...	-3.75	6 of 11 - Sale <sup>25</sup>
	20.000	1,398.74	08/26/20	1,402.29	...	-3.55	7 of 11 - Sale <sup>25</sup>
	2.000	139.87	08/26/20	141.84	...	-1.97	8 of 11 - Sale <sup>25</sup>
	1.000	69.94	08/26/20	69.84	...	0.10	9 of 11 - Sale <sup>25</sup>
	24.000	1,678.49	08/26/20	1,653.59	...	24.90	10 of 11 - Sale <sup>25</sup>
	90.000	6,294.33	08/26/20	6,253.19	...	41.14	11 of 11 - Sale <sup>25</sup>
08/27/20	198.000	13,847.52	Various	13,889.96	...	-42.44	Total of 11 transactions
08/27/20	2.000	139.87	08/26/20	178.83	38.96 W	0.00	Sale <sup>25</sup>
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	61.70	08/31/20	82.49	...	-20.79	1 of 2 - Sale <sup>25</sup>
	1.000	61.77	08/31/20	82.50	...	-20.73	2 of 2 - Sale <sup>25</sup>
09/02/20	2.000	123.47	Various	164.99	...	-41.52	Total of 2 transactions
	<b>Security total:</b>	<b>28,098.51</b>		<b>28,670.67</b>	<b>586.45 W</b>	<b>14.29</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
07/20/20	10.000	125.63	07/16/20	128.48	...	-2.85	Sale <sup>25</sup>
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	30.000	1,342.31	11/09/20	1,334.70	...	7.61	1 of 3 - Sale <sup>25</sup>
	25.000	1,118.60	11/09/20	1,104.25	...	14.35	2 of 3 - Sale <sup>25</sup>
	50.000	2,237.19	11/09/20	2,219.54	...	17.65	3 of 3 - Sale <sup>25</sup>
11/10/20	105.000	4,698.10	Various	4,658.49	...	39.61	Total of 3 transactions
11/16/20	10.000	447.95	11/16/20	439.50	...	8.45	Sale <sup>25</sup>
	2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	55.000	2,463.70	11/16/20	2,471.58	7.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	1,343.84	11/16/20	1,346.04	2.20 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	85.000	3,807.54	Various	3,817.62	10.08 W	0.00	Total of 2 transactions
	16 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	10.000	481.00	11/17/20	469.18	...	11.82	1 of 16 - Sale <sup>25</sup>
	10.000	481.09	11/17/20	465.86	...	15.23	2 of 16 - Sale <sup>25</sup>
	20.000	962.00	11/17/20	941.36	...	20.64	3 of 16 - Sale <sup>25</sup>
	15.000	721.50	11/17/20	692.67	...	28.83	4 of 16 - Sale <sup>25</sup>
	20.000	961.99	11/17/20	929.50	...	32.49	5 of 16 - Sale <sup>25</sup>
	30.000	1,442.99	11/17/20	1,407.53	...	35.46	6 of 16 - Sale <sup>25</sup>
	55.000	2,645.43	11/17/20	2,602.87	...	42.56	7 of 16 - Sale <sup>25</sup>
	50.000	2,404.99	11/17/20	2,357.90	...	47.09	8 of 16 - Sale <sup>25</sup>
	50.000	2,404.99	11/17/20	2,349.83	...	55.16	9 of 16 - Sale <sup>25</sup>
	30.000	1,442.99	11/17/20	1,387.53	...	55.46	10 of 16 - Sale <sup>25</sup>
	95.000	4,569.48	11/17/20	4,495.88	...	73.60	11 of 16 - Sale <sup>25</sup>
	100.000	4,810.88	11/17/20	4,732.50	...	78.38	12 of 16 - Sale <sup>25</sup>
	55.000	2,645.49	11/17/20	2,547.66	...	97.83	13 of 16 - Sale <sup>25</sup>
	70.000	3,366.99	11/17/20	3,232.45	...	134.54	14 of 16 - Sale <sup>25</sup>
	5.000	240.55	11/18/20	229.09	...	11.46	15 of 16 - Sale <sup>25</sup>
	5.000	240.54	11/18/20	225.73	...	14.81	16 of 16 - Sale <sup>25</sup>
11/19/20	620.000	29,822.90	Various	29,067.54	...	755.36	Total of 16 transactions
11/19/20	55.000	2,645.98	11/17/20	2,666.68	20.70 W	0.00	Sale <sup>25</sup>
11/20/20	5.000	248.51	11/20/20	248.26	...	0.25	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
<i>7 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	53.60	11/23/20	51.55	...	2.05	1 of 7 - Sale <sup>25</sup>
	28.000	1,500.90	11/23/20	1,498.64	...	2.26	2 of 7 - Sale <sup>25</sup>
	21.000	1,125.68	11/23/20	1,123.42	...	2.26	3 of 7 - Sale <sup>25</sup>
	79.000	4,234.69	11/23/20	4,196.48	...	38.21	4 of 7 - Sale <sup>25</sup>
	100.000	5,360.37	11/23/20	5,312.00	...	48.37	5 of 7 - Sale <sup>25</sup>
	100.000	5,360.37	11/23/20	5,312.00	...	48.37	6 of 7 - Sale <sup>25</sup>
	100.000	5,360.38	11/23/20	5,312.00	...	48.38	7 of 7 - Sale <sup>25</sup>
11/23/20	429.000	22,995.99	Various	22,806.09	...	189.90	Total of 7 transactions
<i>5 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	10,786.50	11/23/20	10,845.00	58.50 W	0.00	1 of 5 - Sale <sup>25</sup>
	200.000	10,708.00	11/23/20	10,906.50	198.50 W	0.00	2 of 5 - Sale <sup>25</sup>
	60.000	3,212.40	11/23/20	3,254.40	42.00 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	5,354.00	11/23/20	5,451.47	97.47 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	5,354.00	11/23/20	5,382.00	28.00 W	0.00	5 of 5 - Sale <sup>25</sup>
11/23/20	660.000	35,414.90	Various	35,839.37	424.47 W	0.00	Total of 5 transactions
<i>2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	80.000	4,363.89	11/24/20	4,471.22	...	-107.33	1 of 2 - Sale <sup>25</sup>
	10.000	526.48	11/24/20	555.42	...	-28.94	2 of 2 - Sale <sup>25</sup>
11/24/20	90.000	4,890.37	Various	5,026.64	...	-136.27	Total of 2 transactions
11/24/20	100.000	5,454.87	11/24/20	5,536.03	50.32 W	-30.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>110,552.74</b>		<b>110,234.70</b>	<b>505.57 W</b>	<b>823.61</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
<i>2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	426.58	04/24/20	424.93	...	1.65	1 of 2 - Sale <sup>25</sup>
	1.000	422.61	04/24/20	419.49	...	3.12	2 of 2 - Sale <sup>25</sup>
05/01/20	2.000	849.19	Various	844.42	...	4.77	Total of 2 transactions
<i>3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	422.61	04/21/20	435.21	12.60 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	427.43	04/24/20	432.01	4.58 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	426.58	04/24/20	429.51	2.93 W	0.00	3 of 3 - Sale <sup>25</sup>
05/01/20	3.000	1,276.62	Various	1,296.73	20.11 W	0.00	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
<i>3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	434.99	04/28/20	417.50	...	17.49	1 of 3 - Sale <sup>25</sup>
	1.000	434.99	04/28/20	411.40	...	23.59	2 of 3 - Sale <sup>25</sup>
	1.000	434.99	04/29/20	403.42	...	31.57	3 of 3 - Sale <sup>25</sup>
05/06/20	3.000	1,304.97	Various	1,232.32	...	72.65	Total of 3 transactions
	<b>Security total:</b>	<b>3,430.78</b>		<b>3,373.47</b>	<b>20.11 W</b>	<b>77.42</b>	
NORFOLK SOUTHERN CORP. / CUSIP: 655844108 / Symbol:							
06/09/20	5.000	957.22	06/08/20	957.09	...	0.13	Sale <sup>25</sup>
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
08/11/20	10.000	6.34	07/30/20	6.89	...	-0.55	Sale <sup>25</sup>
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
08/11/20	10.000	28.90	08/07/20	37.10	...	-8.20	Sale <sup>25</sup>
OPORTUN FINANCIAL CORPORATION COMMON STOCK / CUSIP: 68376D104 / Symbol:							
07/15/20	5.000	66.40	06/30/20	67.08	...	-0.68	Sale <sup>25</sup>
ORACLE CORP / CUSIP: 68389X105 / Symbol:							
08/18/20	18.000	1,014.63	08/18/20	1,020.27	...	-5.64	Sale <sup>25</sup>
08/18/20	20.000	1,123.57	08/18/20	1,124.80	1.11 W	-0.12	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,138.20</b>		<b>2,145.07</b>	<b>1.11 W</b>	<b>-5.76</b>	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
<i>2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	64.75	07/22/20	64.26	...	0.49	1 of 2 - Sale <sup>25</sup>
	2.000	129.50	07/30/20	126.66	...	2.84	2 of 2 - Sale <sup>25</sup>
08/11/20	3.000	194.25	Various	190.92	...	3.33	Total of 2 transactions
08/11/20	3.000	194.25	07/30/20	197.81	3.56 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	210.32	08/18/20	213.49	...	-3.17	1 of 2 - Sale <sup>25</sup>
	7.000	490.76	08/18/20	489.84	...	0.92	2 of 2 - Sale <sup>25</sup>
08/18/20	10.000	701.08	Various	703.33	...	-2.25	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
<i>7 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	5,255.08	11/09/20	5,240.00	...	15.08	1 of 7 - Sale <sup>25</sup>
	10.000	1,051.02	11/09/20	996.09	...	54.93	2 of 7 - Sale <sup>25</sup>
	25.000	2,627.54	11/09/20	2,571.12	...	56.42	3 of 7 - Sale <sup>25</sup>
	15.000	1,576.52	11/09/20	1,512.86	...	63.66	4 of 7 - Sale <sup>25</sup>
	20.000	2,102.03	11/09/20	2,011.66	...	90.37	5 of 7 - Sale <sup>25</sup>
	30.000	3,153.05	11/09/20	3,054.00	...	99.05	6 of 7 - Sale <sup>25</sup>
	50.000	5,255.09	11/09/20	5,144.62	...	110.47	7 of 7 - Sale <sup>25</sup>
11/09/20	200.000	21,020.33	Various	20,530.35	...	489.98	Total of 7 transactions
<i>5 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	19,218.59	11/09/20	21,280.00	2,061.41 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	480.47	11/10/20	489.04	8.57 W	0.00	2 of 5 - Sale <sup>25</sup>
	20.000	1,990.84	11/10/20	2,187.04	196.20 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	9,954.19	11/10/20	10,931.50	977.31 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	995.42	11/10/20	1,107.87	112.45 W	0.00	5 of 5 - Sale <sup>25</sup>
11/10/20	335.000	32,639.51	Various	35,995.45	3,355.94 W	0.00	Total of 5 transactions
11/11/20	5.000	516.96	11/10/20	512.61	...	4.35	Sale <sup>25</sup>
<i>9 transactions for 11/11/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	2,067.82	11/10/20	2,212.36	144.54 W	0.00	1 of 9 - Sale <sup>25</sup>
	55.000	5,686.50	11/10/20	6,081.95	395.45 W	0.00	2 of 9 - Sale <sup>25</sup>
	70.000	7,237.37	11/10/20	7,778.05	540.68 W	0.00	3 of 9 - Sale <sup>25</sup>
	15.000	1,550.86	11/10/20	1,758.96	208.10 W	0.00	4 of 9 - Sale <sup>25</sup>
	20.000	2,087.95	11/11/20	2,188.69	100.74 W	0.00	5 of 9 - Sale <sup>25</sup>
	30.000	3,131.93	11/11/20	3,281.92	149.99 W	0.00	6 of 9 - Sale <sup>25</sup>
	70.000	7,307.83	11/11/20	7,695.19	387.36 W	0.00	7 of 9 - Sale <sup>25</sup>
	10.000	1,043.97	11/11/20	1,134.52	90.55 W	0.00	8 of 9 - Sale <sup>25</sup>
	30.000	3,131.93	11/11/20	3,359.41	227.48 W	0.00	9 of 9 - Sale <sup>25</sup>
11/11/20	320.000	33,246.16	Various	35,491.05	2,244.89 W	0.00	Total of 9 transactions
<i>5 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	3,043.04	11/13/20	3,406.47	...	-363.43	1 of 5 - Sale <sup>25</sup>
	18.000	1,825.83	11/13/20	2,090.38	...	-264.55	2 of 5 - Sale <sup>25</sup>
	12.000	1,217.22	11/13/20	1,306.05	...	-88.83	3 of 5 - Sale <sup>25</sup>
	10.000	1,014.35	11/13/20	1,103.10	...	-88.75	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
11/13/20	2.000	202.87	11/13/20	227.17	...	-24.30	5 of 5 - Sale <sup>25</sup>
11/13/20	72.000	7,303.31	Various	8,133.17	...	-829.86	Total of 5 transactions
	13 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	3.000	303.85	11/12/20	374.55	70.70 W	0.00	1 of 13 - Sale <sup>25</sup>
	25.000	2,532.12	11/12/20	2,954.20	422.08 W	0.00	2 of 13 - Sale <sup>25</sup>
	12.000	1,215.42	11/12/20	1,482.06	266.64 W	0.00	3 of 13 - Sale <sup>25</sup>
	18.000	1,823.13	11/12/20	2,064.03	240.90 W	0.00	4 of 13 - Sale <sup>25</sup>
	70.000	7,089.95	11/12/20	8,061.56	971.61 W	0.00	5 of 13 - Sale <sup>25</sup>
	18.000	1,825.83	11/13/20	2,063.49	132.03 W	-105.63	6 of 13 - Sale <sup>25</sup>
	2.000	202.57	11/13/20	226.44	23.87 W	0.00	7 of 13 - Sale <sup>25</sup>
	30.000	3,038.55	11/13/20	3,395.50	356.95 W	0.00	8 of 13 - Sale <sup>25</sup>
	18.000	1,823.13	11/13/20	2,083.79	260.66 W	0.00	9 of 13 - Sale <sup>25</sup>
	3.000	304.30	11/13/20	374.47	70.17 W	0.00	10 of 13 - Sale <sup>25</sup>
	12.000	1,217.22	11/13/20	1,481.70	264.48 W	0.00	11 of 13 - Sale <sup>25</sup>
	25.000	2,535.87	11/13/20	2,953.46	417.59 W	0.00	12 of 13 - Sale <sup>25</sup>
	70.000	7,100.44	11/13/20	8,059.47	959.03 W	0.00	13 of 13 - Sale <sup>25</sup>
11/13/20	306.000	31,012.38	Various	35,574.72	4,456.71 W	-105.63	Total of 13 transactions
	6 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	70.000	7,837.72	11/20/20	8,818.67	...	-980.95	1 of 6 - Sale <sup>25</sup>
	20.000	2,239.35	11/20/20	2,573.27	...	-333.92	2 of 6 - Sale <sup>25</sup>
	12.000	1,343.61	11/20/20	1,611.85	...	-268.24	3 of 6 - Sale <sup>25</sup>
	10.000	1,119.67	11/20/20	1,254.84	...	-135.17	4 of 6 - Sale <sup>25</sup>
	5.000	559.84	11/20/20	644.92	...	-85.08	5 of 6 - Sale <sup>25</sup>
	3.000	335.90	11/20/20	407.01	...	-71.11	6 of 6 - Sale <sup>25</sup>
11/20/20	120.000	13,436.09	Various	15,310.56	...	-1,874.47	Total of 6 transactions
	<b>Security total:</b>	<b>140,264.32</b>		<b>152,639.97</b>	<b>10,061.10 W</b>	<b>-2,314.55</b>	
PEMBINA PIPELINE CORPORATION / CUSIP: 706327103 / Symbol:							
06/26/20	4.000	94.76	06/24/20	96.28	1.52 W	0.00	Sale <sup>25</sup>
06/30/20	4.000	95.56	06/29/20	97.35	...	-1.79	Sale <sup>25</sup>
06/30/20	1.000	23.89	06/24/20	24.07	0.18 W	0.00	Sale <sup>25</sup>
07/08/20	1.000	23.85	06/29/20	24.14	...	-0.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>238.06</b>		<b>241.84</b>	<b>1.70 W</b>	<b>-2.08</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	100.000	26.80	04/07/20	34.42	7.62 W	0.00	1 of 2 - Sale <sup>25</sup>
05/13/20	100.000	26.80	04/16/20	32.53	5.73 W	0.00	2 of 2 - Sale <sup>25</sup>
	200.000	53.60	Various	66.95	13.35 W	0.00	Total of 2 transactions
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	100.000	18.14	05/06/20	24.45	...	-6.31	1 of 2 - Sale <sup>25</sup>
05/19/20	100.000	18.14	05/06/20	18.72	...	-0.58	2 of 2 - Sale <sup>25</sup>
	200.000	36.28	Various	43.17	...	-6.89	Total of 2 transactions
	<b>Security total:</b>	<b>89.88</b>		<b>110.12</b>	<b>13.35 W</b>	<b>-6.89</b>	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	25.000	1,004.52	11/09/20	1,010.00	...	-5.48	1 of 3 - Sale <sup>25</sup>
11/10/20	10.000	401.80	11/09/20	399.70	...	2.10	2 of 3 - Sale <sup>25</sup>
	25.000	1,004.51	11/09/20	999.25	...	5.26	3 of 3 - Sale <sup>25</sup>
	60.000	2,410.83	Various	2,408.95	...	1.88	Total of 3 transactions
PRUDENTIAL FINANCIAL, INC. / CUSIP: 744320102 / Symbol:							
06/09/20	3.000	214.44	06/04/20	194.46	...	19.98	Sale <sup>25</sup>
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	5.000	328.49	06/12/20	316.81	...	11.68	1 of 2 - Sale <sup>25</sup>
06/17/20	5.000	328.49	06/12/20	316.05	...	12.44	2 of 2 - Sale <sup>25</sup>
07/01/20	10.000	656.98	Various	632.86	...	24.12	Total of 2 transactions
	2.000	119.44	06/25/20	119.44	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>990.86</b>		<b>946.76</b>	<b>...</b>	<b>44.10</b>	
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
08/11/20	10.000	1,112.37	08/11/20	1,115.60	...	-3.23	Sale <sup>25</sup>
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
6 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	60.03	05/07/20	57.47	...	2.56	1 of 6 - Sale <sup>25</sup>
	2.000	119.88	05/07/20	115.78	...	4.10	2 of 6 - Sale <sup>25</sup>
	4.000	239.75	05/07/20	231.64	...	8.11	3 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol: (cont'd)							
	4.000	239.76	05/07/20	229.87	...	9.89	4 of 6 - Sale <sup>25</sup>
	10.000	600.30	05/07/20	568.69	...	31.61	5 of 6 - Sale <sup>25</sup>
	4.000	240.12	05/13/20	220.31	...	19.81	6 of 6 - Sale <sup>25</sup>
05/21/20	25.000	1,499.84	Various	1,423.76	...	76.08	Total of 6 transactions
	3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	59.98	05/13/20	55.08	...	4.90	1 of 3 - Sale <sup>25</sup>
	1.000	59.89	05/14/20	52.70	...	7.19	2 of 3 - Sale <sup>25</sup>
	3.000	179.94	05/14/20	158.09	...	21.85	3 of 3 - Sale <sup>25</sup>
05/22/20	5.000	299.81	Various	265.87	...	33.94	Total of 3 transactions
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	3.000	198.42	05/29/20	195.30	...	3.12	1 of 2 - Sale <sup>25</sup>
	2.000	132.28	06/02/20	127.90	...	4.38	2 of 2 - Sale <sup>25</sup>
06/03/20	5.000	330.70	Various	323.20	...	7.50	Total of 2 transactions
06/05/20	1.000	72.84	06/02/20	63.95	...	8.89	Sale <sup>25</sup>
07/17/20	10.000	621.93	06/08/20	738.10	116.17 W	0.00	Sale <sup>25</sup>
	7 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	2.000	131.30	06/10/20	137.70	...	-6.40	1 of 7 - Sale <sup>25</sup>
	1.000	65.65	06/12/20	65.89	...	-0.24	2 of 7 - Sale <sup>25</sup>
	10.000	656.48	06/29/20	730.97	...	-74.49	3 of 7 - Sale <sup>25</sup>
	10.000	656.48	07/15/20	630.80	...	25.68	4 of 7 - Sale <sup>25</sup>
	2.000	131.30	08/05/20	118.24	...	13.06	5 of 7 - Sale <sup>25</sup>
	10.000	656.48	08/10/20	642.70	...	13.78	6 of 7 - Sale <sup>25</sup>
	2.000	131.30	08/11/20	130.89	...	0.41	7 of 7 - Sale <sup>25</sup>
08/11/20	37.000	2,428.99	Various	2,457.19	...	-28.20	Total of 7 transactions
08/11/20	20.000	1,312.96	06/08/20	1,476.20	163.24 W	0.00	Sale <sup>25</sup>
08/24/20	10.000	619.58	08/24/20	695.12	...	-75.54	Sale <sup>25</sup>
08/27/20	10.000	611.79	08/27/20	694.67	...	-82.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,798.44</b>		<b>8,138.06</b>	<b>279.41 W</b>	<b>-60.21</b>	
ROYAL DUTCH SHELL PLC ADS CLAS S B / CUSIP: 780259107 / Symbol:							
06/09/20	10.000	352.01	05/27/20	308.50	...	43.51	Sale <sup>25</sup>
07/17/20	9.000	279.18	07/15/20	286.83	...	-7.65	Sale <sup>25</sup>
08/11/20	1.000	30.68	07/15/20	31.87	...	-1.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>661.87</b>		<b>627.20</b>	<b>...</b>	<b>34.67</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SL GREEN REALTY CORP. / CUSIP: 78440X101 / Symbol:							
06/09/20	6.000	349.98	05/29/20	255.90	...	94.08	Sale <sup>25</sup>
	3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	15.000	773.23	06/09/20	866.48	...	-93.25	1 of 3 - Sale <sup>25</sup>
	2.000	103.09	08/11/20	106.00	...	-2.91	2 of 3 - Sale <sup>25</sup>
	1.000	51.55	08/11/20	52.95	...	-1.40	3 of 3 - Sale <sup>25</sup>
08/11/20	18.000	927.87	Various	1,025.43	...	-97.56	Total of 3 transactions
	<b>Security total:</b>	<b>1,277.85</b>		<b>1,281.33</b>	...	<b>-3.48</b>	
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
08/11/20	15.000	2,912.63	08/11/20	2,918.99	...	-6.36	Sale <sup>25</sup>
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
07/14/20	4.000	132.49	06/12/20	146.43	13.94 W	0.00	Sale <sup>25</sup>
	4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	5.000	179.50	07/29/20	157.78	...	21.72	1 of 4 - Sale <sup>25</sup>
	10.000	358.99	07/29/20	315.55	...	43.44	2 of 4 - Sale <sup>25</sup>
	10.000	358.99	08/04/20	316.80	...	42.19	3 of 4 - Sale <sup>25</sup>
	110.000	3,946.70	08/11/20	3,944.27	...	2.43	4 of 4 - Sale <sup>25</sup>
08/11/20	135.000	4,844.18	Various	4,734.40	...	109.78	Total of 4 transactions
	27 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	6.000	215.39	06/12/20	219.64	4.25 W	0.00	1 of 27 - Sale <sup>25</sup>
	15.000	538.48	06/12/20	549.41	10.93 W	0.00	2 of 27 - Sale <sup>25</sup>
	4.000	143.60	06/25/20	143.72	0.12 W	0.00	3 of 27 - Sale <sup>25</sup>
	10.000	358.99	08/11/20	367.90	8.91 W	0.00	4 of 27 - Sale <sup>25</sup>
	5.000	179.49	08/11/20	182.85	3.36 W	0.00	5 of 27 - Sale <sup>25</sup>
	4.000	143.52	08/11/20	143.55	0.03 W	0.00	6 of 27 - Sale <sup>25</sup>
	6.000	215.28	08/11/20	219.39	4.11 W	0.00	7 of 27 - Sale <sup>25</sup>
	3.000	107.64	08/11/20	109.76	2.12 W	0.00	8 of 27 - Sale <sup>25</sup>
	12.000	430.55	08/11/20	439.02	8.47 W	0.00	9 of 27 - Sale <sup>25</sup>
	5.000	179.40	08/11/20	182.64	3.24 W	0.00	10 of 27 - Sale <sup>25</sup>
	10.000	358.79	08/11/20	367.48	8.69 W	0.00	11 of 27 - Sale <sup>25</sup>
	4.000	143.52	08/11/20	145.01	1.49 W	0.00	12 of 27 - Sale <sup>25</sup>
	3.000	107.64	08/11/20	110.86	3.22 W	0.00	13 of 27 - Sale <sup>25</sup>
	6.000	215.27	08/11/20	221.58	6.31 W	0.00	14 of 27 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol: (cont'd)							
	37.000	1,327.52	08/11/20	1,341.06	13.54 W	0.00	15 of 27 - Sale <sup>25</sup>
	12.000	430.55	08/11/20	443.41	12.86 W	0.00	16 of 27 - Sale <sup>25</sup>
	5.000	179.40	08/11/20	184.46	5.06 W	0.00	17 of 27 - Sale <sup>25</sup>
	10.000	358.79	08/11/20	371.14	12.35 W	0.00	18 of 27 - Sale <sup>25</sup>
	23.000	825.22	08/11/20	833.64	8.42 W	0.00	19 of 27 - Sale <sup>25</sup>
	4.000	143.52	08/11/20	146.49	2.97 W	0.00	20 of 27 - Sale <sup>25</sup>
	3.000	107.64	08/11/20	111.97	4.33 W	0.00	21 of 27 - Sale <sup>25</sup>
	6.000	215.27	08/11/20	223.81	8.54 W	0.00	22 of 27 - Sale <sup>25</sup>
	37.000	1,327.52	08/11/20	1,354.79	27.27 W	0.00	23 of 27 - Sale <sup>25</sup>
	12.000	435.11	08/11/20	447.86	12.75 W	0.00	24 of 27 - Sale <sup>25</sup>
	5.000	181.29	08/11/20	186.31	5.02 W	0.00	25 of 27 - Sale <sup>25</sup>
	10.000	362.59	08/11/20	374.85	12.26 W	0.00	26 of 27 - Sale <sup>25</sup>
	23.000	833.96	08/11/20	842.17	8.21 W	0.00	27 of 27 - Sale <sup>25</sup>
08/11/20	280.000	10,065.94	Various	10,264.77	198.83 W	0.00	Total of 27 transactions
	4 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	4.000	136.22	08/18/20	139.64	3.42 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	102.16	08/18/20	106.84	4.68 W	0.00	2 of 4 - Sale <sup>25</sup>
	6.000	204.32	08/18/20	213.55	9.23 W	0.00	3 of 4 - Sale <sup>25</sup>
	15.000	510.81	08/18/20	523.59	12.78 W	0.00	4 of 4 - Sale <sup>25</sup>
08/18/20	28.000	953.51	Various	983.62	30.11 W	0.00	Total of 4 transactions
	12 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	100.000	3,526.91	08/19/20	3,561.99	...	-35.08	1 of 12 - Sale <sup>25</sup>
	15.000	529.04	08/19/20	545.06	...	-16.02	2 of 12 - Sale <sup>25</sup>
	6.000	211.61	08/19/20	222.14	...	-10.53	3 of 12 - Sale <sup>25</sup>
	19.000	670.11	08/19/20	677.16	...	-7.05	4 of 12 - Sale <sup>25</sup>
	12.000	423.23	08/19/20	430.10	...	-6.87	5 of 12 - Sale <sup>25</sup>
	3.000	105.81	08/19/20	111.13	...	-5.32	6 of 12 - Sale <sup>25</sup>
	5.000	176.35	08/19/20	181.17	...	-4.82	7 of 12 - Sale <sup>25</sup>
	4.000	141.08	08/19/20	145.82	...	-4.74	8 of 12 - Sale <sup>25</sup>
	20.000	705.38	08/19/20	709.70	...	-4.32	9 of 12 - Sale <sup>25</sup>
	4.000	141.08	08/19/20	145.36	...	-4.28	10 of 12 - Sale <sup>25</sup>
	11.000	387.96	08/19/20	391.46	...	-3.50	11 of 12 - Sale <sup>25</sup>
	1.000	35.27	08/19/20	35.63	...	-0.36	12 of 12 - Sale <sup>25</sup>
08/19/20	200.000	7,053.83	Various	7,156.72	...	-102.89	Total of 12 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol: (cont'd)							
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	10.000	352.69	08/19/20	357.27	4.58 W	0.00	1 of 4 - Sale <sup>25</sup>
	12.000	423.23	08/19/20	436.59	13.36 W	0.00	2 of 4 - Sale <sup>25</sup>
	6.000	211.62	08/19/20	219.28	7.66 W	0.00	3 of 4 - Sale <sup>25</sup>
	12.000	423.23	08/19/20	432.68	9.45 W	0.00	4 of 4 - Sale <sup>25</sup>
08/19/20	40.000	1,410.77	Various	1,445.82	35.05 W	0.00	Total of 4 transactions
4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	12.000	437.03	08/24/20	445.24	...	-8.21	1 of 4 - Sale <sup>25</sup>
	6.000	218.51	08/24/20	223.60	...	-5.09	2 of 4 - Sale <sup>25</sup>
	12.000	437.03	08/24/20	441.33	...	-4.30	3 of 4 - Sale <sup>25</sup>
	10.000	364.19	08/24/20	364.48	...	-0.29	4 of 4 - Sale <sup>25</sup>
08/24/20	40.000	1,456.76	Various	1,474.65	...	-17.89	Total of 4 transactions
	<b>Security total:</b>	<b>25,917.48</b>		<b>26,206.41</b>	<b>277.93 W</b>	<b>-11.00</b>	
SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol:							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	2.000	46.84	06/10/20	61.44	...	-14.60	1 of 3 - Sale <sup>25</sup>
	20.000	468.39	06/12/20	561.40	...	-93.01	2 of 3 - Sale <sup>25</sup>
	20.000	468.38	07/15/20	489.17	...	-20.79	3 of 3 - Sale <sup>25</sup>
08/11/20	42.000	983.61	Various	1,112.01	...	-128.40	Total of 3 transactions
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
9 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	3.000	30.48	05/07/20	30.06	...	0.42	1 of 9 - Sale <sup>25</sup>
	7.000	71.13	05/07/20	70.21	...	0.92	2 of 9 - Sale <sup>25</sup>
	10.000	101.61	05/07/20	100.30	...	1.31	3 of 9 - Sale <sup>25</sup>
	10.000	101.61	05/07/20	99.95	...	1.66	4 of 9 - Sale <sup>25</sup>
	15.000	152.41	05/12/20	148.65	...	3.76	5 of 9 - Sale <sup>25</sup>
	10.000	101.61	05/12/20	96.98	...	4.63	6 of 9 - Sale <sup>25</sup>
	15.000	152.42	05/12/20	145.91	...	6.51	7 of 9 - Sale <sup>25</sup>
	40.000	406.44	05/12/20	388.00	...	18.44	8 of 9 - Sale <sup>25</sup>
	10.000	101.61	05/13/20	87.00	...	14.61	9 of 9 - Sale <sup>25</sup>
05/22/20	120.000	1,219.32	Various	1,167.06	...	52.26	Total of 9 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	16.55	05/13/20	8.19	...	8.36	1 of 3 - Sale <sup>25</sup>
	19.000	314.35	05/13/20	155.61	...	158.74	2 of 3 - Sale <sup>25</sup>
	20.000	330.89	05/13/20	163.15	...	167.74	3 of 3 - Sale <sup>25</sup>
06/03/20	40.000	661.79	Various	326.95	...	334.84	Total of 3 transactions
06/09/20	10.000	225.37	05/13/20	81.79	...	143.58	Sale <sup>25</sup>
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	30.000	676.11	06/08/20	761.96	85.85 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	225.37	06/09/20	231.75	6.38 W	0.00	2 of 2 - Sale <sup>25</sup>
06/09/20	40.000	901.48	Various	993.71	92.23 W	0.00	Total of 2 transactions
10 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	30.000	551.39	06/09/20	782.68	...	-231.29	1 of 10 - Sale <sup>25</sup>
	10.000	183.79	06/09/20	238.66	...	-54.87	2 of 10 - Sale <sup>25</sup>
	10.000	183.80	06/09/20	232.27	...	-48.47	3 of 10 - Sale <sup>25</sup>
	5.000	91.90	06/09/20	113.46	...	-21.56	4 of 10 - Sale <sup>25</sup>
	5.000	91.90	06/10/20	102.93	...	-11.03	5 of 10 - Sale <sup>25</sup>
	10.000	183.79	06/10/20	189.78	...	-5.99	6 of 10 - Sale <sup>25</sup>
	8.000	147.03	06/10/20	149.26	...	-2.23	7 of 10 - Sale <sup>25</sup>
	2.000	36.76	06/11/20	35.31	...	1.45	8 of 10 - Sale <sup>25</sup>
	10.000	183.80	06/12/20	183.98	...	-0.18	9 of 10 - Sale <sup>25</sup>
	10.000	183.79	06/29/20	166.53	...	17.26	10 of 10 - Sale <sup>25</sup>
08/11/20	100.000	1,837.95	Various	2,194.86	...	-356.91	Total of 10 transactions
	<b>Security total:</b>	<b>4,845.91</b>		<b>4,764.37</b>	<b>92.23 W</b>	<b>173.77</b>	
SWITCHBACK ENERGY ACQUISITION CORPORATION / CUSIP: 87105M102 / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	30.09	11/23/20	30.84	0.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	19.000	571.69	11/24/20	757.25	175.79 W	-9.77	2 of 2 - Sale <sup>25</sup>
12/02/20	20.000	601.78	Various	788.09	176.54 W	-9.77	Total of 2 transactions
7 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	18.000	711.61	11/24/20	893.81	...	-182.20	1 of 7 - Sale <sup>25</sup>
	25.000	988.35	11/24/20	1,044.25	...	-55.90	2 of 7 - Sale <sup>25</sup>
	25.000	988.35	11/24/20	1,023.12	...	-34.77	3 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SWITCHBACK ENERGY ACQUISITION CORPORATION / CUSIP: 87105M102 / Symbol: (cont'd)							
	22.000	869.75	11/24/20	877.58	...	-7.83	4 of 7 - Sale <sup>25</sup>
	45.000	1,779.03	11/25/20	1,581.30	...	197.73	5 of 7 - Sale <sup>25</sup>
	100.000	3,953.40	11/25/20	3,514.00	...	439.40	6 of 7 - Sale <sup>25</sup>
	20.000	790.68	12/01/20	594.00	...	196.68	7 of 7 - Sale <sup>25</sup>
12/09/20	255.000	10,081.17	Various	9,528.06	...	553.11	Total of 7 transactions
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	40.000	1,581.36	11/24/20	1,594.20	12.84 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	39.53	11/24/20	40.64	1.11 W	0.00	2 of 2 - Sale <sup>25</sup>
12/09/20	41.000	1,620.89	Various	1,634.84	13.95 W	0.00	Total of 2 transactions
	2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	1.000	35.41	12/10/20	40.89	...	-5.48	1 of 2 - Sale <sup>25</sup>
	19.000	672.87	12/15/20	665.19	...	7.68	2 of 2 - Sale <sup>25</sup>
12/16/20	20.000	708.28	Various	706.08	...	2.20	Total of 2 transactions
	3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	1.000	45.89	12/15/20	35.01	...	10.88	1 of 3 - Sale <sup>25</sup>
	1.000	45.89	12/17/20	36.03	...	9.86	2 of 3 - Sale <sup>25</sup>
	18.000	826.09	12/17/20	634.41	...	191.68	3 of 3 - Sale <sup>25</sup>
12/28/20	20.000	917.87	Various	705.45	...	212.42	Total of 3 transactions
	<b>Security total:</b>	<b>13,929.99</b>		<b>13,362.52</b>	<b>190.49 W</b>	<b>757.96</b>	
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
04/13/20	10.000	47.70	04/07/20	44.18	...	3.52	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	1,844.32	08/17/20	1,830.63	...	13.69	1 of 3 - Sale <sup>25</sup>
	2.000	3,688.64	08/17/20	3,633.98	...	54.66	2 of 3 - Sale <sup>25</sup>
	8.000	14,754.58	08/17/20	14,681.88	...	72.70	3 of 3 - Sale <sup>25</sup>
08/17/20	11.000	20,287.54	Various	20,146.49	...	141.05	Total of 3 transactions
	2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	3.000	5,314.56	08/17/20	5,325.00	10.44 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,764.25	08/17/20	1,781.37	17.12 W	0.00	2 of 2 - Sale <sup>25</sup>
08/17/20	4.000	7,078.81	Various	7,106.37	27.56 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
08/24/20	1.000	2,028.98	08/24/20	2,020.03	...	8.95	Sale <sup>25</sup>
		2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.					
	1.000	1,996.84	08/24/20	2,002.24	5.40 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,996.84	08/24/20	2,003.19	6.35 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	2.000	3,993.68	Various	4,005.43	11.75 W	0.00	Total of 2 transactions
08/25/20	1.000	2,001.99	08/25/20	2,014.34	12.35 W	0.00	Sale <sup>25</sup>
		24 transactions for 09/02/20. Total proceeds and cost reported to the IRS.					
	1.000	437.26	08/31/20	479.80	42.54 W	0.00	1 of 24 - Sale <sup>25</sup>
	9.000	3,935.34	08/31/20	4,207.00	271.66 W	0.00	2 of 24 - Sale <sup>25</sup>
	10.000	4,372.60	08/31/20	4,784.30	411.70 W	0.00	3 of 24 - Sale <sup>25</sup>
	3.000	1,311.78	08/31/20	1,450.56	138.78 W	0.00	4 of 24 - Sale <sup>25</sup>
	2.000	874.52	08/31/20	967.04	92.52 W	0.00	5 of 24 - Sale <sup>25</sup>
	5.000	2,186.30	08/31/20	2,411.36	225.06 W	0.00	6 of 24 - Sale <sup>25</sup>
	10.000	4,372.61	08/31/20	4,885.80	513.19 W	0.00	7 of 24 - Sale <sup>25</sup>
	1.000	409.69	09/02/20	497.01	87.32 W	0.00	8 of 24 - Sale <sup>25</sup>
	2.000	819.37	09/02/20	1,001.46	182.09 W	0.00	9 of 24 - Sale <sup>25</sup>
	3.000	1,229.06	09/02/20	1,502.19	273.13 W	0.00	10 of 24 - Sale <sup>25</sup>
	9.000	3,687.17	09/02/20	4,361.89	674.72 W	0.00	11 of 24 - Sale <sup>25</sup>
	10.000	4,096.86	09/02/20	4,956.40	859.54 W	0.00	12 of 24 - Sale <sup>25</sup>
	5.000	2,048.43	09/02/20	2,499.13	450.70 W	0.00	13 of 24 - Sale <sup>25</sup>
	10.000	4,096.87	09/02/20	5,061.34	964.47 W	0.00	14 of 24 - Sale <sup>25</sup>
	5.000	2,048.43	09/02/20	2,274.08	225.65 W	0.00	15 of 24 - Sale <sup>25</sup>
	1.000	442.00	09/02/20	527.32	85.32 W	0.00	16 of 24 - Sale <sup>25</sup>
	2.000	884.00	09/02/20	1,062.09	178.09 W	0.00	17 of 24 - Sale <sup>25</sup>
	3.000	1,326.01	09/02/20	1,593.13	267.12 W	0.00	18 of 24 - Sale <sup>25</sup>
	5.000	2,210.01	09/02/20	2,650.70	440.69 W	0.00	19 of 24 - Sale <sup>25</sup>
	9.000	3,978.02	09/02/20	4,634.72	656.70 W	0.00	20 of 24 - Sale <sup>25</sup>
	10.000	4,420.02	09/02/20	5,259.54	839.52 W	0.00	21 of 24 - Sale <sup>25</sup>
	10.000	4,420.02	09/02/20	5,364.47	944.45 W	0.00	22 of 24 - Sale <sup>25</sup>
	5.000	2,210.01	09/02/20	2,425.65	215.64 W	0.00	23 of 24 - Sale <sup>25</sup>
	15.000	6,630.04	09/02/20	6,671.05	41.01 W	0.00	24 of 24 - Sale <sup>25</sup>
09/02/20	145.000	62,446.42	Various	71,528.03	9,081.61 W	0.00	Total of 24 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	421.00	09/02/20	534.87	113.87 W	0.00	1 of 3 - Sale <sup>25</sup>
	9.000	3,788.91	09/02/20	4,702.64	913.73 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	420.99	09/02/20	533.50	112.51 W	0.00	3 of 3 - Sale <sup>25</sup>
09/04/20	11.000	4,630.90	Various	5,771.01	1,140.11 W	0.00	Total of 3 transactions
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	3.000	1,215.69	09/02/20	1,600.50	256.54 W	-128.27	1 of 2 - Sale <sup>25</sup>
	2.000	771.89	09/02/20	1,067.00	295.11 W	0.00	2 of 2 - Sale <sup>25</sup>
09/14/20	5.000	1,987.58	Various	2,667.50	551.65 W	-128.27	Total of 2 transactions
4 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	4.000	1,773.59	09/02/20	2,134.01	...	-360.42	1 of 4 - Sale <sup>25</sup>
	3.000	1,330.19	09/02/20	1,612.67	...	-282.48	2 of 4 - Sale <sup>25</sup>
	2.000	886.79	09/02/20	1,075.12	...	-188.33	3 of 4 - Sale <sup>25</sup>
	1.000	443.39	09/02/20	536.65	...	-93.26	4 of 4 - Sale <sup>25</sup>
09/21/20	10.000	4,433.96	Various	5,358.45	...	-924.49	Total of 4 transactions
8 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	441.99	09/03/20	422.86	...	19.13	1 of 8 - Sale <sup>25</sup>
	1.000	441.99	09/03/20	417.32	...	24.67	2 of 8 - Sale <sup>25</sup>
	1.000	441.99	09/03/20	414.57	...	27.42	3 of 8 - Sale <sup>25</sup>
	1.000	441.99	09/03/20	414.49	...	27.50	4 of 8 - Sale <sup>25</sup>
	1.000	441.99	09/03/20	407.73	...	34.26	5 of 8 - Sale <sup>25</sup>
	1.000	441.99	09/03/20	407.27	...	34.72	6 of 8 - Sale <sup>25</sup>
	5.000	2,209.95	09/03/20	2,089.47	...	120.48	7 of 8 - Sale <sup>25</sup>
	1.000	441.99	09/09/20	353.92	...	88.07	8 of 8 - Sale <sup>25</sup>
10/12/20	12.000	5,303.88	Various	4,927.63	...	376.25	Total of 8 transactions
13 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	4.000	1,767.96	09/02/20	2,146.62	378.66 W	0.00	1 of 13 - Sale <sup>25</sup>
	10.000	4,419.90	09/02/20	5,429.60	1,009.70 W	0.00	2 of 13 - Sale <sup>25</sup>
	3.000	1,325.97	09/02/20	1,353.74	27.77 W	0.00	3 of 13 - Sale <sup>25</sup>
	5.000	2,209.95	09/02/20	2,458.22	248.27 W	0.00	4 of 13 - Sale <sup>25</sup>
	1.000	441.99	09/09/20	465.05	23.06 W	0.00	5 of 13 - Sale <sup>25</sup>
	3.000	1,325.97	09/10/20	1,494.83	168.86 W	0.00	6 of 13 - Sale <sup>25</sup>
	2.000	883.98	09/11/20	934.93	50.95 W	0.00	7 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	2.000	883.98	09/22/20	1,063.79	179.81 W	0.00	8 of 13 - Sale <sup>25</sup>
	2.000	883.98	09/22/20	1,064.30	180.32 W	0.00	9 of 13 - Sale <sup>25</sup>
	1.000	441.99	09/22/20	569.56	127.57 W	0.00	10 of 13 - Sale <sup>25</sup>
	1.000	441.99	09/22/20	534.51	92.52 W	0.00	11 of 13 - Sale <sup>25</sup>
	1.000	441.99	09/23/20	550.22	108.23 W	0.00	12 of 13 - Sale <sup>25</sup>
	2.000	883.99	09/24/20	1,041.25	157.26 W	0.00	13 of 13 - Sale <sup>25</sup>
10/12/20	37.000	16,353.64	Various	19,106.62	2,752.98 W	0.00	Total of 13 transactions
	18 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	7.000	2,890.80	11/10/20	3,541.13	...	-650.33	1 of 18 - Sale <sup>25</sup>
	3.000	1,238.92	11/10/20	1,517.96	...	-279.04	2 of 18 - Sale <sup>25</sup>
	3.000	1,238.92	11/10/20	1,498.71	...	-259.79	3 of 18 - Sale <sup>25</sup>
	2.000	825.95	11/10/20	991.69	...	-165.74	4 of 18 - Sale <sup>25</sup>
	2.000	825.94	11/10/20	991.19	...	-165.25	5 of 18 - Sale <sup>25</sup>
	3.000	1,238.92	11/10/20	1,385.93	...	-147.01	6 of 18 - Sale <sup>25</sup>
	3.000	1,238.92	11/10/20	1,366.03	...	-127.11	7 of 18 - Sale <sup>25</sup>
	1.000	412.97	11/10/20	533.26	...	-120.29	8 of 18 - Sale <sup>25</sup>
	1.000	412.97	11/10/20	513.92	...	-100.95	9 of 18 - Sale <sup>25</sup>
	1.000	412.97	11/10/20	498.21	...	-85.24	10 of 18 - Sale <sup>25</sup>
	1.000	412.97	11/10/20	497.94	...	-84.97	11 of 18 - Sale <sup>25</sup>
	2.000	825.94	11/10/20	909.34	...	-83.40	12 of 18 - Sale <sup>25</sup>
	1.000	412.97	11/10/20	484.76	...	-71.79	13 of 18 - Sale <sup>25</sup>
	1.000	412.97	11/10/20	484.31	...	-71.34	14 of 18 - Sale <sup>25</sup>
	2.000	825.94	11/10/20	862.33	...	-36.39	15 of 18 - Sale <sup>25</sup>
	1.000	412.97	11/10/20	428.75	...	-15.78	16 of 18 - Sale <sup>25</sup>
	3.000	1,238.92	11/10/20	1,244.84	...	-5.92	17 of 18 - Sale <sup>25</sup>
	9.000	3,716.76	11/10/20	3,655.19	...	61.57	18 of 18 - Sale <sup>25</sup>
11/12/20	46.000	18,996.72	Various	21,405.49	...	-2,408.77	Total of 18 transactions
	<b>Security total:</b>	<b>149,544.10</b>		<b>166,057.39</b>	<b>13,578.01 W</b>	<b>-2,935.28</b>	
TRANSLATE BIO, INC. COMMON STO CK / CUSIP: 89374L104 / Symbol:							
07/29/20	50.000	805.03	07/15/20	936.86	...	-131.83	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	5.000	129.26	05/05/20	124.05	...	5.21	1 of 2 - Sale <sup>25</sup>
05/21/20	15.000	387.77	05/05/20	361.65	...	26.12	2 of 2 - Sale <sup>25</sup>
	20.000	517.03	Various	485.70	...	31.33	Total of 2 transactions
7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	5.000	164.17	05/05/20	120.55	...	43.62	1 of 7 - Sale <sup>25</sup>
	10.000	328.34	05/05/20	241.20	...	87.14	2 of 7 - Sale <sup>25</sup>
	5.000	164.17	05/06/20	114.33	...	49.84	3 of 7 - Sale <sup>25</sup>
	10.000	328.34	05/06/20	233.30	...	95.04	4 of 7 - Sale <sup>25</sup>
	10.000	328.34	05/13/20	216.80	...	111.54	5 of 7 - Sale <sup>25</sup>
	15.000	492.51	05/13/20	309.45	...	183.06	6 of 7 - Sale <sup>25</sup>
06/11/20	40.000	1,313.37	05/13/20	822.35	...	491.02	7 of 7 - Sale <sup>25</sup>
	95.000	3,119.24	Various	2,057.98	...	1,061.26	Total of 7 transactions
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	30.000	985.02	06/04/20	1,145.10	160.08 W	0.00	1 of 2 - Sale <sup>25</sup>
06/11/20	19.000	623.85	06/08/20	878.18	254.33 W	0.00	2 of 2 - Sale <sup>25</sup>
	49.000	1,608.87	Various	2,023.28	414.41 W	0.00	Total of 2 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	20.000	778.63	06/12/20	756.42	...	22.21	1 of 2 - Sale <sup>25</sup>
06/17/20	72.000	2,803.08	06/12/20	2,714.76	...	88.32	2 of 2 - Sale <sup>25</sup>
	92.000	3,581.71	Various	3,471.18	...	110.53	Total of 2 transactions
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	38.93	06/08/20	51.56	12.63 W	0.00	1 of 3 - Sale <sup>25</sup>
	29.000	1,129.02	06/12/20	1,248.19	119.17 W	0.00	2 of 3 - Sale <sup>25</sup>
06/17/20	19.000	739.70	06/12/20	970.73	231.03 W	0.00	3 of 3 - Sale <sup>25</sup>
	49.000	1,907.65	Various	2,270.48	362.83 W	0.00	Total of 3 transactions
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	33.77	06/17/20	51.41	17.64 W	0.00	1 of 2 - Sale <sup>25</sup>
07/15/20	14.000	472.78	06/17/20	600.41	127.63 W	0.00	2 of 2 - Sale <sup>25</sup>
	15.000	506.55	Various	651.82	145.27 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	20.000	756.38	07/15/20	732.60	...	23.78	1 of 3 - Sale <sup>25</sup>
	5.000	189.10	08/06/20	172.10	...	17.00	2 of 3 - Sale <sup>25</sup>
	10.000	378.19	08/10/20	375.40	...	2.79	3 of 3 - Sale <sup>25</sup>
08/11/20	35.000	1,323.67	Various	1,280.10	...	43.57	Total of 3 transactions
33 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	15.000	567.29	06/17/20	643.30	76.01 W	0.00	1 of 33 - Sale <sup>25</sup>
	19.000	718.56	06/17/20	967.80	249.24 W	0.00	2 of 33 - Sale <sup>25</sup>
	92.000	3,479.36	06/17/20	3,567.52	88.16 W	0.00	3 of 33 - Sale <sup>25</sup>
	1.000	37.82	07/10/20	49.92	12.10 W	0.00	4 of 33 - Sale <sup>25</sup>
	14.000	529.47	07/10/20	579.51	50.04 W	0.00	5 of 33 - Sale <sup>25</sup>
	5.000	189.10	08/11/20	195.42	6.32 W	0.00	6 of 33 - Sale <sup>25</sup>
	5.000	189.09	08/11/20	195.21	6.12 W	0.00	7 of 33 - Sale <sup>25</sup>
	5.000	189.10	08/11/20	195.14	6.04 W	0.00	8 of 33 - Sale <sup>25</sup>
	15.000	569.09	08/11/20	644.21	75.12 W	0.00	9 of 33 - Sale <sup>25</sup>
	19.000	720.84	08/11/20	968.96	248.12 W	0.00	10 of 33 - Sale <sup>25</sup>
	66.000	2,503.98	08/11/20	2,563.33	59.35 W	0.00	11 of 33 - Sale <sup>25</sup>
	1.000	37.94	08/11/20	49.98	12.04 W	0.00	12 of 33 - Sale <sup>25</sup>
	14.000	531.15	08/11/20	580.36	49.21 W	0.00	13 of 33 - Sale <sup>25</sup>
	26.000	986.42	08/11/20	1,009.79	23.37 W	0.00	14 of 33 - Sale <sup>25</sup>
	4.000	151.76	08/11/20	156.42	4.66 W	0.00	15 of 33 - Sale <sup>25</sup>
	5.000	189.70	08/11/20	195.72	6.02 W	0.00	16 of 33 - Sale <sup>25</sup>
	1.000	37.94	08/11/20	39.52	1.58 W	0.00	17 of 33 - Sale <sup>25</sup>
	5.000	189.70	08/11/20	197.52	7.82 W	0.00	18 of 33 - Sale <sup>25</sup>
	14.000	531.15	08/11/20	536.13	4.98 W	0.00	19 of 33 - Sale <sup>25</sup>
	150.000	5,690.85	08/11/20	5,778.00	87.15 W	0.00	20 of 33 - Sale <sup>25</sup>
	1.000	37.93	08/11/20	50.43	12.50 W	0.00	21 of 33 - Sale <sup>25</sup>
	14.000	531.02	08/11/20	586.63	55.61 W	0.00	22 of 33 - Sale <sup>25</sup>
	15.000	568.95	08/11/20	650.93	81.98 W	0.00	23 of 33 - Sale <sup>25</sup>
	19.000	720.66	08/11/20	977.48	256.82 W	0.00	24 of 33 - Sale <sup>25</sup>
	26.000	986.17	08/11/20	1,021.43	35.26 W	0.00	25 of 33 - Sale <sup>25</sup>
	66.000	2,503.36	08/11/20	2,592.90	89.54 W	0.00	26 of 33 - Sale <sup>25</sup>
	4.000	151.72	08/11/20	158.21	6.49 W	0.00	27 of 33 - Sale <sup>25</sup>
	5.000	189.65	08/11/20	197.95	8.30 W	0.00	28 of 33 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	1.000	37.93	08/11/20	40.15	2.22 W	0.00	29 of 33 - Sale <sup>25</sup>
	5.000	189.65	08/11/20	200.65	11.00 W	0.00	30 of 33 - Sale <sup>25</sup>
	14.000	531.02	08/11/20	544.89	13.87 W	0.00	31 of 33 - Sale <sup>25</sup>
	150.000	5,689.45	08/11/20	5,871.93	182.48 W	0.00	32 of 33 - Sale <sup>25</sup>
	30.000	1,137.89	08/11/20	1,156.95	19.06 W	0.00	33 of 33 - Sale <sup>25</sup>
08/11/20	826.000	31,315.71	Various	33,164.29	1,848.58 W	0.00	Total of 33 transactions
	2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	34.49	08/18/20	47.19	12.70 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	310.37	08/18/20	347.91	37.54 W	0.00	2 of 2 - Sale <sup>25</sup>
08/18/20	10.000	344.86	Various	395.10	50.24 W	0.00	Total of 2 transactions
08/19/20	170.000	6,157.68	08/19/20	6,123.18	...	34.50	Sale <sup>25</sup>
	41 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	5.000	181.11	08/19/20	196.06	14.95 W	0.00	1 of 41 - Sale <sup>25</sup>
	5.000	181.11	08/19/20	203.53	22.42 W	0.00	2 of 41 - Sale <sup>25</sup>
	10.000	362.22	08/19/20	409.30	47.08 W	0.00	3 of 41 - Sale <sup>25</sup>
	19.000	688.21	08/19/20	930.64	242.43 W	0.00	4 of 41 - Sale <sup>25</sup>
	51.000	1,847.30	08/19/20	1,877.88	30.58 W	0.00	5 of 41 - Sale <sup>25</sup>
	15.000	543.33	08/19/20	555.02	11.69 W	0.00	6 of 41 - Sale <sup>25</sup>
	26.000	941.76	08/19/20	962.02	20.26 W	0.00	7 of 41 - Sale <sup>25</sup>
	1.000	36.22	08/19/20	37.86	1.64 W	0.00	8 of 41 - Sale <sup>25</sup>
	4.000	144.89	08/19/20	149.07	4.18 W	0.00	9 of 41 - Sale <sup>25</sup>
	5.000	181.11	08/19/20	186.52	5.41 W	0.00	10 of 41 - Sale <sup>25</sup>
	5.000	181.11	08/19/20	189.22	8.11 W	0.00	11 of 41 - Sale <sup>25</sup>
	10.000	362.22	08/19/20	368.62	6.40 W	0.00	12 of 41 - Sale <sup>25</sup>
	14.000	507.10	08/19/20	512.89	5.79 W	0.00	13 of 41 - Sale <sup>25</sup>
	100.000	3,622.17	08/19/20	3,714.25	92.08 W	0.00	14 of 41 - Sale <sup>25</sup>
	1.000	36.22	08/19/20	48.72	12.50 W	0.00	15 of 41 - Sale <sup>25</sup>
	9.000	325.99	08/19/20	361.71	35.72 W	0.00	16 of 41 - Sale <sup>25</sup>
	30.000	1,086.65	08/19/20	1,099.62	12.97 W	0.00	17 of 41 - Sale <sup>25</sup>
	40.000	1,448.87	08/19/20	1,489.41	40.54 W	0.00	18 of 41 - Sale <sup>25</sup>
	10.000	362.22	08/19/20	363.80	1.58 W	0.00	19 of 41 - Sale <sup>25</sup>
	40.000	1,448.86	08/19/20	1,459.56	10.70 W	0.00	20 of 41 - Sale <sup>25</sup>
	1.000	35.84	08/19/20	48.78	12.94 W	0.00	21 of 41 - Sale <sup>25</sup>
	5.000	179.20	08/19/20	196.35	17.15 W	0.00	22 of 41 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	9.000	322.55	08/19/20	362.24	39.69 W	0.00	23 of 41 - Sale <sup>25</sup>
	5.000	179.20	08/19/20	203.82	24.62 W	0.00	24 of 41 - Sale <sup>25</sup>
	10.000	358.39	08/19/20	409.88	51.49 W	0.00	25 of 41 - Sale <sup>25</sup>
	19.000	680.94	08/19/20	931.75	250.81 W	0.00	26 of 41 - Sale <sup>25</sup>
	15.000	537.59	08/19/20	555.89	18.30 W	0.00	27 of 41 - Sale <sup>25</sup>
	26.000	931.82	08/19/20	963.54	31.72 W	0.00	28 of 41 - Sale <sup>25</sup>
	51.000	1,827.80	08/19/20	1,880.85	53.05 W	0.00	29 of 41 - Sale <sup>25</sup>
	1.000	35.84	08/19/20	37.92	2.08 W	0.00	30 of 41 - Sale <sup>25</sup>
	4.000	143.36	08/19/20	149.30	5.94 W	0.00	31 of 41 - Sale <sup>25</sup>
	5.000	179.20	08/19/20	186.81	7.61 W	0.00	32 of 41 - Sale <sup>25</sup>
	5.000	179.20	08/19/20	189.51	10.31 W	0.00	33 of 41 - Sale <sup>25</sup>
	10.000	358.39	08/19/20	369.20	10.81 W	0.00	34 of 41 - Sale <sup>25</sup>
	10.000	358.39	08/19/20	364.38	5.99 W	0.00	35 of 41 - Sale <sup>25</sup>
	14.000	501.75	08/19/20	513.71	11.96 W	0.00	36 of 41 - Sale <sup>25</sup>
	30.000	1,075.17	08/19/20	1,101.37	26.20 W	0.00	37 of 41 - Sale <sup>25</sup>
	40.000	1,433.57	08/19/20	1,491.73	58.16 W	0.00	38 of 41 - Sale <sup>25</sup>
	40.000	1,433.57	08/19/20	1,461.89	28.32 W	0.00	39 of 41 - Sale <sup>25</sup>
	100.000	3,583.91	08/19/20	3,720.07	136.16 W	0.00	40 of 41 - Sale <sup>25</sup>
	170.000	6,092.65	08/19/20	6,167.58	74.93 W	0.00	41 of 41 - Sale <sup>25</sup>
08/19/20	970.000	34,917.00	Various	36,422.27	1,505.27 W	0.00	Total of 41 transactions
22 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	19.000	677.06	08/24/20	907.28	...	-230.22	1 of 22 - Sale <sup>25</sup>
	100.000	3,452.89	08/24/20	3,582.14	...	-129.25	2 of 22 - Sale <sup>25</sup>
	39.000	1,346.63	08/24/20	1,413.90	...	-67.27	3 of 22 - Sale <sup>25</sup>
	10.000	356.35	08/24/20	397.00	...	-40.65	4 of 22 - Sale <sup>25</sup>
	9.000	320.71	08/24/20	350.65	...	-29.94	5 of 22 - Sale <sup>25</sup>
	26.000	897.76	08/24/20	927.68	...	-29.92	6 of 22 - Sale <sup>25</sup>
	21.000	725.11	08/24/20	749.14	...	-24.03	7 of 22 - Sale <sup>25</sup>
	5.000	178.17	08/24/20	197.37	...	-19.20	8 of 22 - Sale <sup>25</sup>
	5.000	178.17	08/24/20	189.90	...	-11.73	9 of 22 - Sale <sup>25</sup>
	1.000	35.63	08/24/20	46.76	...	-11.13	10 of 22 - Sale <sup>25</sup>
	14.000	483.41	08/24/20	494.40	...	-10.99	11 of 22 - Sale <sup>25</sup>
	10.000	345.29	08/24/20	355.41	...	-10.12	12 of 22 - Sale <sup>25</sup>
	5.000	172.65	08/24/20	182.61	...	-9.96	13 of 22 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	5.000	172.65	08/24/20	179.91	...	-7.26	14 of 22 - Sale <sup>25</sup>
	4.000	138.12	08/24/20	143.78	...	-5.66	15 of 22 - Sale <sup>25</sup>
	1.000	34.53	08/24/20	36.54	...	-2.01	16 of 22 - Sale <sup>25</sup>
	1.000	34.53	08/24/20	35.91	...	-1.38	17 of 22 - Sale <sup>25</sup>
	9.000	320.71	08/24/20	320.79	...	-0.08	18 of 22 - Sale <sup>25</sup>
	22.000	783.96	08/24/20	783.00	...	0.96	19 of 22 - Sale <sup>25</sup>
	10.000	356.35	08/24/20	353.69	...	2.66	20 of 22 - Sale <sup>25</sup>
	40.000	1,425.38	08/24/20	1,419.12	...	6.26	21 of 22 - Sale <sup>25</sup>
	170.000	6,057.88	08/24/20	5,985.81	...	72.07	22 of 22 - Sale <sup>25</sup>
08/24/20	526.000	18,493.94	Various	19,052.79	...	-558.85	Total of 22 transactions
	9 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	34.55	08/21/20	46.54	11.99 W	0.00	1 of 9 - Sale <sup>25</sup>
	15.000	517.94	08/24/20	535.20	3.45 W	-13.81	2 of 9 - Sale <sup>25</sup>
	5.000	172.75	08/24/20	188.80	16.05 W	0.00	3 of 9 - Sale <sup>25</sup>
	9.000	310.94	08/24/20	348.66	37.72 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	172.75	08/24/20	196.27	23.52 W	0.00	5 of 9 - Sale <sup>25</sup>
	10.000	345.49	08/24/20	394.79	49.30 W	0.00	6 of 9 - Sale <sup>25</sup>
	19.000	656.43	08/24/20	903.08	246.65 W	0.00	7 of 9 - Sale <sup>25</sup>
	32.000	1,105.57	08/24/20	1,131.85	26.28 W	0.00	8 of 9 - Sale <sup>25</sup>
	19.000	656.43	08/24/20	674.50	18.07 W	0.00	9 of 9 - Sale <sup>25</sup>
08/24/20	115.000	3,972.85	Various	4,419.69	433.03 W	-13.81	Total of 9 transactions
	3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	19.000	711.25	08/27/20	736.27	...	-25.02	1 of 3 - Sale <sup>25</sup>
	1.000	37.43	08/27/20	38.95	...	-1.52	2 of 3 - Sale <sup>25</sup>
	10.000	374.34	08/27/20	373.46	...	0.88	3 of 3 - Sale <sup>25</sup>
08/27/20	30.000	1,123.02	Various	1,148.68	...	-25.66	Total of 3 transactions
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	38.64	09/01/20	36.81	...	1.83	1 of 2 - Sale <sup>25</sup>
	1.000	38.63	09/03/20	40.87	...	-2.24	2 of 2 - Sale <sup>25</sup>
09/03/20	2.000	77.27	Various	77.68	...	-0.41	Total of 2 transactions
	<b>Security total:</b>	<b>108,967.05</b>		<b>113,044.22</b>	<b>4,759.63 W</b>	<b>682.46</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	20.000	51.10	04/20/20	75.30	24.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	51.00	04/21/20	84.80	33.80 W	0.00	2 of 2 - Sale <sup>25</sup>
04/22/20	40.000	102.10	Various	160.10	58.00 W	0.00	Total of 2 transactions
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
5 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	2.500	73.60	04/21/20	94.10	...	-20.50	1 of 5 - Sale <sup>25</sup>
	2.000	58.88	04/21/20	48.48	...	10.40	2 of 5 - Sale <sup>25</sup>
	2.500	73.60	04/21/20	59.50	...	14.10	3 of 5 - Sale <sup>25</sup>
	2.500	73.61	04/21/20	59.40	...	14.21	4 of 5 - Sale <sup>25</sup>
	2.500	73.60	04/22/20	50.50	...	23.10	5 of 5 - Sale <sup>25</sup>
07/27/20	12.000	353.29	Various	311.98	...	41.31	Total of 5 transactions
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	31.72	05/29/20	26.45	...	5.27	1 of 3 - Sale <sup>25</sup>
	4.000	126.85	05/29/20	105.95	...	20.90	2 of 3 - Sale <sup>25</sup>
	4.000	126.85	05/29/20	105.79	...	21.06	3 of 3 - Sale <sup>25</sup>
06/09/20	9.000	285.42	Various	238.19	...	47.23	Total of 3 transactions
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	4.000	102.28	06/09/20	129.20	...	-26.92	1 of 3 - Sale <sup>25</sup>
	10.000	255.70	07/01/20	256.27	...	-0.57	2 of 3 - Sale <sup>25</sup>
	4.000	102.28	07/30/20	98.67	...	3.61	3 of 3 - Sale <sup>25</sup>
08/11/20	18.000	460.26	Various	484.14	...	-23.88	Total of 3 transactions
	<b>Security total:</b>	<b>745.68</b>		<b>722.33</b>	...	<b>23.35</b>	
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	66.10	11/23/20	59.27	...	6.83	1 of 3 - Sale <sup>25</sup>
	20.000	1,321.93	11/23/20	1,303.35	...	18.58	2 of 3 - Sale <sup>25</sup>
	150.000	9,914.48	11/23/20	9,728.27	...	186.21	3 of 3 - Sale <sup>25</sup>
11/23/20	171.000	11,302.51	Various	11,090.89	...	211.62	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPENG INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol: (cont'd)							
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	100.000	6,652.71	11/23/20	6,696.47	39.82 W	-3.94	1 of 2 - Sale <sup>25</sup>
	250.000	16,631.78	11/23/20	16,741.18	109.40 W	0.00	2 of 2 - Sale <sup>25</sup>
11/23/20	350.000	23,284.49	Various	23,437.65	149.22 W	-3.94	Total of 2 transactions
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	15.000	1,043.23	11/24/20	1,086.56	11.55 W	-31.78	1 of 3 - Sale <sup>25</sup>
	1.000	69.55	11/24/20	70.73	1.18 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	695.48	11/24/20	712.38	16.90 W	0.00	3 of 3 - Sale <sup>25</sup>
11/24/20	26.000	1,808.26	Various	1,869.67	29.63 W	-31.78	Total of 3 transactions
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	30.000	1,966.04	11/24/20	2,210.63	...	-244.59	1 of 3 - Sale <sup>25</sup>
	20.000	1,310.69	11/24/20	1,494.75	...	-184.06	2 of 3 - Sale <sup>25</sup>
	25.000	1,638.37	11/24/20	1,810.94	...	-172.57	3 of 3 - Sale <sup>25</sup>
11/25/20	75.000	4,915.10	Various	5,516.32	...	-601.22	Total of 3 transactions
	<b>Security total:</b>	<b>41,310.36</b>		<b>41,914.53</b>	<b>178.85 W</b>	<b>-425.32</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	257.09	08/14/20	244.39	...	12.70	1 of 2 - Sale <sup>25</sup>
	9.000	2,313.84	08/14/20	2,199.51	...	114.33	2 of 2 - Sale <sup>25</sup>
08/17/20	10.000	2,570.93	Various	2,443.90	...	127.03	Total of 2 transactions
08/18/20	20.000	5,414.08	08/17/20	5,334.97	...	79.11	Sale <sup>25</sup>
08/20/20	5.000	1,441.71	08/20/20	1,436.20	...	5.51	Sale <sup>25</sup>
5 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	4.000	1,484.72	11/09/20	1,655.49	170.77 W	0.00	1 of 5 - Sale <sup>25</sup>
	15.000	5,567.71	11/09/20	6,350.04	782.33 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	742.36	11/09/20	840.49	98.13 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	371.18	11/09/20	417.58	46.40 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	1,484.73	11/09/20	1,670.82	186.09 W	0.00	5 of 5 - Sale <sup>25</sup>
11/10/20	26.000	9,650.70	Various	10,934.42	1,283.72 W	0.00	Total of 5 transactions
11/13/20	10.000	4,042.15	11/11/20	3,960.35	...	81.80	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
6 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	4.000	1,616.86	11/11/20	1,756.21	139.35 W	0.00	1 of 6 - Sale <sup>25</sup>
	6.000	2,425.29	11/11/20	2,691.10	265.81 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	404.21	11/11/20	442.44	38.23 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	808.43	11/11/20	890.20	81.77 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	1,616.86	11/11/20	1,770.23	153.37 W	0.00	5 of 6 - Sale <sup>25</sup>
	9.000	3,637.93	11/11/20	4,033.72	395.79 W	0.00	6 of 6 - Sale <sup>25</sup>
11/13/20	26.000	10,509.58	Various	11,583.90	1,074.32 W	0.00	Total of 6 transactions
24 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	2.000	788.56	11/13/20	871.77	83.21 W	0.00	1 of 24 - Sale <sup>25</sup>
	2.000	788.56	11/13/20	875.28	86.72 W	0.00	2 of 24 - Sale <sup>25</sup>
	4.000	1,577.11	11/13/20	1,788.43	211.32 W	0.00	3 of 24 - Sale <sup>25</sup>
	1.000	392.87	11/13/20	441.03	48.16 W	0.00	4 of 24 - Sale <sup>25</sup>
	2.000	785.74	11/13/20	887.38	101.64 W	0.00	5 of 24 - Sale <sup>25</sup>
	2.000	785.74	11/13/20	894.21	108.47 W	0.00	6 of 24 - Sale <sup>25</sup>
	9.000	3,535.84	11/13/20	4,021.04	485.20 W	0.00	7 of 24 - Sale <sup>25</sup>
	2.000	785.74	11/13/20	888.58	102.84 W	0.00	8 of 24 - Sale <sup>25</sup>
	2.000	785.74	11/13/20	892.09	106.35 W	0.00	9 of 24 - Sale <sup>25</sup>
	2.000	785.74	11/13/20	911.03	125.29 W	0.00	10 of 24 - Sale <sup>25</sup>
	4.000	1,571.48	11/13/20	1,764.11	192.63 W	0.00	11 of 24 - Sale <sup>25</sup>
	2.000	785.74	11/13/20	915.86	130.12 W	0.00	12 of 24 - Sale <sup>25</sup>
	28.000	11,000.39	11/13/20	11,342.79	342.40 W	0.00	13 of 24 - Sale <sup>25</sup>
	18.000	7,071.68	11/16/20	7,087.11	15.43 W	0.00	14 of 24 - Sale <sup>25</sup>
	2.000	791.66	11/16/20	894.10	102.44 W	0.00	15 of 24 - Sale <sup>25</sup>
	2.000	791.66	11/16/20	897.61	105.95 W	0.00	16 of 24 - Sale <sup>25</sup>
	2.000	791.66	11/16/20	916.55	124.89 W	0.00	17 of 24 - Sale <sup>25</sup>
	2.000	791.66	11/16/20	921.38	129.72 W	0.00	18 of 24 - Sale <sup>25</sup>
	1.000	395.83	11/16/20	443.79	47.96 W	0.00	19 of 24 - Sale <sup>25</sup>
	2.000	791.66	11/16/20	899.73	108.07 W	0.00	20 of 24 - Sale <sup>25</sup>
	2.000	791.66	11/16/20	892.90	101.24 W	0.00	21 of 24 - Sale <sup>25</sup>
	4.000	1,583.32	11/16/20	1,775.15	191.83 W	0.00	22 of 24 - Sale <sup>25</sup>
	9.000	3,562.46	11/16/20	4,045.87	483.41 W	0.00	23 of 24 - Sale <sup>25</sup>
	14.000	5,541.60	11/16/20	5,710.01	168.41 W	0.00	24 of 24 - Sale <sup>25</sup>
11/16/20	120.000	47,274.10	Various	50,977.80	3,703.70 W	0.00	Total of 24 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
6 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	2.000	795.05	11/17/20	915.31	120.26 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	795.05	11/17/20	918.82	123.77 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	795.05	11/17/20	937.76	142.71 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	795.05	11/17/20	942.59	147.54 W	0.00	4 of 6 - Sale <sup>25</sup>
	14.000	5,565.34	11/17/20	5,861.29	295.95 W	0.00	5 of 6 - Sale <sup>25</sup>
	18.000	7,155.44	11/17/20	7,331.26	175.82 W	0.00	6 of 6 - Sale <sup>25</sup>
11/17/20	40.000	15,900.98	Various	16,907.03	1,006.05 W	0.00	Total of 6 transactions
4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	4.000	1,691.00	11/19/20	1,886.86	...	-195.86	1 of 4 - Sale <sup>25</sup>
	14.000	5,918.50	11/19/20	6,101.01	...	-182.51	2 of 4 - Sale <sup>25</sup>
	2.000	845.50	11/19/20	948.75	...	-103.25	3 of 4 - Sale <sup>25</sup>
	1.000	422.75	11/19/20	471.72	...	-48.97	4 of 4 - Sale <sup>25</sup>
11/19/20	21.000	8,877.75	Various	9,408.34	...	-530.59	Total of 4 transactions
6 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	9.000	3,804.75	11/19/20	4,297.23	437.76 W	-54.72	1 of 6 - Sale <sup>25</sup>
	2.000	845.50	11/19/20	967.78	122.28 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	845.50	11/19/20	971.28	125.78 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	845.50	11/19/20	990.23	144.73 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	845.50	11/19/20	995.05	149.55 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	845.50	11/19/20	955.58	110.08 W	0.00	6 of 6 - Sale <sup>25</sup>
11/19/20	19.000	8,032.25	Various	9,177.15	1,090.18 W	-54.72	Total of 6 transactions
8 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	8.000	3,530.06	11/20/20	3,967.47	...	-437.41	1 of 8 - Sale <sup>25</sup>
	14.000	6,177.62	11/20/20	6,472.95	...	-295.33	2 of 8 - Sale <sup>25</sup>
	18.000	7,942.64	11/20/20	8,117.69	...	-175.05	3 of 8 - Sale <sup>25</sup>
	2.000	882.52	11/20/20	1,031.98	...	-149.46	4 of 8 - Sale <sup>25</sup>
	2.000	882.52	11/20/20	1,027.16	...	-144.64	5 of 8 - Sale <sup>25</sup>
	2.000	882.52	11/20/20	1,008.21	...	-125.69	6 of 8 - Sale <sup>25</sup>
	2.000	882.52	11/20/20	1,004.71	...	-122.19	7 of 8 - Sale <sup>25</sup>
	2.000	882.52	11/20/20	992.51	...	-109.99	8 of 8 - Sale <sup>25</sup>
11/20/20	50.000	22,062.92	Various	23,622.68	...	-1,559.76	Total of 8 transactions
	<b>Security total:</b>	<b>135,777.15</b>		<b>145,786.74</b>	<b>8,157.97 W</b>	<b>-1,851.62</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
04/30/20	3.000	51.04	04/15/20	36.08	...	14.96	Sale <sup>25</sup>
	<i>7 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	14.03	04/15/20	12.03	...	2.00	1 of 7 - Sale <sup>25</sup>
	4.000	56.12	04/16/20	45.59	...	10.53	2 of 7 - Sale <sup>25</sup>
	5.000	70.15	04/20/20	57.54	...	12.61	3 of 7 - Sale <sup>25</sup>
	20.000	280.62	04/22/20	213.97	...	66.65	4 of 7 - Sale <sup>25</sup>
	10.000	140.30	05/11/20	116.39	...	23.91	5 of 7 - Sale <sup>25</sup>
	10.000	140.45	05/12/20	111.80	...	28.65	6 of 7 - Sale <sup>25</sup>
	10.000	140.45	05/13/20	110.00	...	30.45	7 of 7 - Sale <sup>25</sup>
05/21/20	60.000	842.12	Various	667.32	...	174.80	Total of 7 transactions
	<i>10 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	157.84	05/13/20	110.00	...	47.84	1 of 10 - Sale <sup>25</sup>
	10.000	157.21	05/13/20	101.90	...	55.31	2 of 10 - Sale <sup>25</sup>
	10.000	157.84	05/13/20	101.90	...	55.94	3 of 10 - Sale <sup>25</sup>
	50.000	786.07	06/08/20	1,248.00	...	-461.93	4 of 10 - Sale <sup>25</sup>
	3.000	47.16	06/11/20	52.07	...	-4.91	5 of 10 - Sale <sup>25</sup>
	10.000	157.22	06/12/20	203.28	...	-46.06	6 of 10 - Sale <sup>25</sup>
	10.000	157.22	07/08/20	156.18	...	1.04	7 of 10 - Sale <sup>25</sup>
	10.000	157.21	07/21/20	148.30	...	8.91	8 of 10 - Sale <sup>25</sup>
	15.000	235.82	07/29/20	209.81	...	26.01	9 of 10 - Sale <sup>25</sup>
	20.000	314.44	08/10/20	302.80	...	11.64	10 of 10 - Sale <sup>25</sup>
08/11/20	148.000	2,328.03	Various	2,634.24	...	-306.21	Total of 10 transactions
	<b>Security total:</b>	<b>3,221.19</b>		<b>3,337.64</b>	...	<b>-116.45</b>	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
04/28/20	1.000	41.81	04/24/20	35.79	...	6.02	Sale <sup>25</sup>
	<i>2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	224.99	05/12/20	185.82	...	39.17	1 of 2 - Sale <sup>25</sup>
	9.000	404.99	05/13/20	306.90	...	98.09	2 of 2 - Sale <sup>25</sup>
05/22/20	14.000	629.98	Various	492.72	...	137.26	Total of 2 transactions
05/27/20	1.000	53.19	05/13/20	34.10	...	19.09	Sale <sup>25</sup>
06/05/20	1.000	70.00	06/02/20	56.57	...	13.43	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol: (cont'd)							
14 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	245.75	06/08/20	364.90	119.15 W	0.00	1 of 14 - Sale <sup>25</sup>
	2.000	98.30	06/09/20	137.55	39.25 W	0.00	2 of 14 - Sale <sup>25</sup>
	2.000	98.30	06/09/20	138.29	39.99 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	49.15	06/09/20	70.31	21.16 W	0.00	4 of 14 - Sale <sup>25</sup>
	4.000	200.00	06/09/20	281.22	81.22 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	249.99	06/10/20	430.99	181.00 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	50.00	06/10/20	83.63	33.63 W	0.00	7 of 14 - Sale <sup>25</sup>
	2.000	100.00	06/10/20	164.19	64.19 W	0.00	8 of 14 - Sale <sup>25</sup>
	2.000	100.00	06/10/20	164.93	64.93 W	0.00	9 of 14 - Sale <sup>25</sup>
	5.000	249.99	06/10/20	312.35	62.36 W	0.00	10 of 14 - Sale <sup>25</sup>
	4.000	200.00	06/10/20	252.49	52.49 W	0.00	11 of 14 - Sale <sup>25</sup>
	2.000	100.00	06/11/20	118.84	18.84 W	0.00	12 of 14 - Sale <sup>25</sup>
	2.000	100.00	06/11/20	113.16	13.16 W	0.00	13 of 14 - Sale <sup>25</sup>
	8.000	399.98	06/12/20	487.52	87.54 W	0.00	14 of 14 - Sale <sup>25</sup>
07/10/20	45.000	2,241.46	Various	3,120.37	878.91 W	0.00	Total of 14 transactions
4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	103.64	06/12/20	162.49	58.85 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	259.09	06/12/20	487.45	228.36 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	51.82	06/12/20	93.76	41.94 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	103.63	06/12/20	186.77	83.14 W	0.00	4 of 4 - Sale <sup>25</sup>
07/13/20	10.000	518.18	Various	930.47	412.29 W	0.00	Total of 4 transactions
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	114.47	06/25/20	114.40	...	0.07	1 of 2 - Sale <sup>25</sup>
	2.000	114.36	06/25/20	108.71	...	5.65	2 of 2 - Sale <sup>25</sup>
08/10/20	4.000	228.83	Various	223.11	...	5.72	Total of 2 transactions
8 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	114.47	06/12/20	163.19	48.72 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	57.23	06/24/20	81.66	24.43 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	57.23	06/24/20	82.83	25.60 W	0.00	3 of 8 - Sale <sup>25</sup>
	3.000	171.70	06/24/20	186.96	15.26 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	286.17	06/24/20	308.35	22.18 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	57.23	06/25/20	60.90	3.67 W	0.00	6 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol: (cont'd)							
	5.000	285.89	06/25/20	293.60	7.71 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	171.53	06/29/20	277.00	105.47 W	0.00	8 of 8 - Sale <sup>25</sup>
08/10/20	21.000	1,201.45	Various	1,454.49	253.04 W	0.00	Total of 8 transactions
	16 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	3.000	175.50	06/29/20	172.81	...	2.69	1 of 16 - Sale <sup>25</sup>
	2.000	117.00	06/29/20	93.32	...	23.68	2 of 16 - Sale <sup>25</sup>
	10.000	585.01	07/08/20	491.29	...	93.72	3 of 16 - Sale <sup>25</sup>
	10.000	585.01	07/10/20	518.10	...	66.91	4 of 16 - Sale <sup>25</sup>
	5.000	292.51	07/16/20	288.40	...	4.11	5 of 16 - Sale <sup>25</sup>
	9.000	526.51	07/17/20	482.82	...	43.69	6 of 16 - Sale <sup>25</sup>
	10.000	585.01	07/21/20	523.20	...	61.81	7 of 16 - Sale <sup>25</sup>
	10.000	585.01	07/21/20	522.97	...	62.04	8 of 16 - Sale <sup>25</sup>
	5.000	292.51	07/22/20	258.64	...	33.87	9 of 16 - Sale <sup>25</sup>
	10.000	585.01	07/29/20	499.60	...	85.41	10 of 16 - Sale <sup>25</sup>
	3.000	175.50	07/31/20	145.38	...	30.12	11 of 16 - Sale <sup>25</sup>
	3.000	175.50	08/05/20	146.28	...	29.22	12 of 16 - Sale <sup>25</sup>
	28.000	1,708.80	08/11/20	1,731.79	...	-22.99	13 of 16 - Sale <sup>25</sup>
	20.000	1,220.56	08/11/20	1,243.34	...	-22.78	14 of 16 - Sale <sup>25</sup>
	2.000	122.06	08/11/20	123.38	...	-1.32	15 of 16 - Sale <sup>25</sup>
	72.000	4,276.04	08/11/20	4,244.40	...	31.64	16 of 16 - Sale <sup>25</sup>
08/11/20	202.000	12,007.54	Various	11,485.72	...	521.82	Total of 16 transactions
	62 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	2.000	117.00	06/29/20	184.66	67.66 W	0.00	1 of 62 - Sale <sup>25</sup>
	1.000	58.50	06/29/20	88.60	30.10 W	0.00	2 of 62 - Sale <sup>25</sup>
	2.000	117.00	06/29/20	176.46	59.46 W	0.00	3 of 62 - Sale <sup>25</sup>
	2.000	117.00	06/29/20	152.17	35.17 W	0.00	4 of 62 - Sale <sup>25</sup>
	1.000	58.50	07/15/20	82.48	23.98 W	0.00	5 of 62 - Sale <sup>25</sup>
	1.000	58.50	07/15/20	83.65	25.15 W	0.00	6 of 62 - Sale <sup>25</sup>
	2.000	117.00	07/15/20	164.82	47.82 W	0.00	7 of 62 - Sale <sup>25</sup>
	1.000	58.50	07/15/20	63.14	4.64 W	0.00	8 of 62 - Sale <sup>25</sup>
	5.000	292.51	07/15/20	312.42	19.91 W	0.00	9 of 62 - Sale <sup>25</sup>
	2.000	117.00	07/16/20	182.58	65.58 W	0.00	10 of 62 - Sale <sup>25</sup>
	2.000	117.00	07/16/20	122.44	5.44 W	0.00	11 of 62 - Sale <sup>25</sup>
	1.000	58.50	07/16/20	59.81	1.31 W	0.00	12 of 62 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol: (cont'd)							
	1.000	58.50	07/17/20	88.81	30.31 W	0.00	13 of 62 - Sale <sup>25</sup>
	22.000	1,342.62	08/11/20	1,355.47	2.34 W	-10.51	14 of 62 - Sale <sup>25</sup>
	5.000	292.51	08/11/20	305.25	12.74 W	0.00	15 of 62 - Sale <sup>25</sup>
	2.000	118.78	08/11/20	183.48	64.70 W	0.00	16 of 62 - Sale <sup>25</sup>
	1.000	59.39	08/11/20	89.26	29.87 W	0.00	17 of 62 - Sale <sup>25</sup>
	2.000	118.78	08/11/20	185.56	66.78 W	0.00	18 of 62 - Sale <sup>25</sup>
	1.000	59.39	08/11/20	89.05	29.66 W	0.00	19 of 62 - Sale <sup>25</sup>
	1.000	59.39	08/11/20	82.93	23.54 W	0.00	20 of 62 - Sale <sup>25</sup>
	1.000	59.39	08/11/20	84.10	24.71 W	0.00	21 of 62 - Sale <sup>25</sup>
	2.000	118.78	08/11/20	177.36	58.58 W	0.00	22 of 62 - Sale <sup>25</sup>
	2.000	118.78	08/11/20	165.72	46.94 W	0.00	23 of 62 - Sale <sup>25</sup>
	2.000	118.78	08/11/20	153.07	34.29 W	0.00	24 of 62 - Sale <sup>25</sup>
	1.000	59.39	08/11/20	63.59	4.20 W	0.00	25 of 62 - Sale <sup>25</sup>
	5.000	296.95	08/11/20	314.66	17.71 W	0.00	26 of 62 - Sale <sup>25</sup>
	2.000	118.78	08/11/20	123.34	4.56 W	0.00	27 of 62 - Sale <sup>25</sup>
	1.000	59.39	08/11/20	60.26	0.87 W	0.00	28 of 62 - Sale <sup>25</sup>
	5.000	296.95	08/11/20	307.49	10.54 W	0.00	29 of 62 - Sale <sup>25</sup>
	50.000	2,969.47	08/11/20	2,977.50	8.03 W	0.00	30 of 62 - Sale <sup>25</sup>
	2.000	118.94	08/11/20	184.48	65.54 W	0.00	31 of 62 - Sale <sup>25</sup>
	1.000	59.47	08/11/20	89.76	30.29 W	0.00	32 of 62 - Sale <sup>25</sup>
	2.000	118.94	08/11/20	186.56	67.62 W	0.00	33 of 62 - Sale <sup>25</sup>
	1.000	59.47	08/11/20	89.55	30.08 W	0.00	34 of 62 - Sale <sup>25</sup>
	1.000	59.47	08/11/20	83.43	23.96 W	0.00	35 of 62 - Sale <sup>25</sup>
	1.000	59.47	08/11/20	84.60	25.13 W	0.00	36 of 62 - Sale <sup>25</sup>
	2.000	118.94	08/11/20	178.36	59.42 W	0.00	37 of 62 - Sale <sup>25</sup>
	2.000	118.94	08/11/20	166.72	47.78 W	0.00	38 of 62 - Sale <sup>25</sup>
	2.000	118.94	08/11/20	154.07	35.13 W	0.00	39 of 62 - Sale <sup>25</sup>
	1.000	59.47	08/11/20	64.09	4.62 W	0.00	40 of 62 - Sale <sup>25</sup>
	5.000	297.34	08/11/20	317.16	19.82 W	0.00	41 of 62 - Sale <sup>25</sup>
	2.000	118.94	08/11/20	124.34	5.40 W	0.00	42 of 62 - Sale <sup>25</sup>
	1.000	59.47	08/11/20	60.76	1.29 W	0.00	43 of 62 - Sale <sup>25</sup>
	5.000	297.34	08/11/20	309.99	12.65 W	0.00	44 of 62 - Sale <sup>25</sup>
	22.000	1,308.30	08/11/20	1,321.11	12.81 W	0.00	45 of 62 - Sale <sup>25</sup>
	28.000	1,665.12	08/11/20	1,688.08	22.96 W	0.00	46 of 62 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol: (cont'd)							
	2.000	118.94	08/11/20	120.26	1.32 W	0.00	47 of 62 - Sale <sup>25</sup>
	20.000	1,189.36	08/11/20	1,212.10	22.74 W	0.00	48 of 62 - Sale <sup>25</sup>
	2.000	122.06	08/11/20	187.60	65.54 W	0.00	49 of 62 - Sale <sup>25</sup>
	1.000	61.03	08/11/20	91.32	30.29 W	0.00	50 of 62 - Sale <sup>25</sup>
	2.000	122.06	08/11/20	189.68	67.62 W	0.00	51 of 62 - Sale <sup>25</sup>
	1.000	61.03	08/11/20	91.11	30.08 W	0.00	52 of 62 - Sale <sup>25</sup>
	1.000	61.03	08/11/20	84.99	23.96 W	0.00	53 of 62 - Sale <sup>25</sup>
	1.000	61.03	08/11/20	86.16	25.13 W	0.00	54 of 62 - Sale <sup>25</sup>
	2.000	122.06	08/11/20	181.48	59.42 W	0.00	55 of 62 - Sale <sup>25</sup>
	2.000	122.06	08/11/20	169.84	47.78 W	0.00	56 of 62 - Sale <sup>25</sup>
	2.000	122.06	08/11/20	157.19	35.13 W	0.00	57 of 62 - Sale <sup>25</sup>
	1.000	61.03	08/11/20	65.65	4.62 W	0.00	58 of 62 - Sale <sup>25</sup>
	5.000	305.14	08/11/20	324.97	19.83 W	0.00	59 of 62 - Sale <sup>25</sup>
	2.000	122.06	08/11/20	127.46	5.40 W	0.00	60 of 62 - Sale <sup>25</sup>
	1.000	61.03	08/11/20	62.32	1.29 W	0.00	61 of 62 - Sale <sup>25</sup>
	5.000	305.14	08/11/20	317.80	12.66 W	0.00	62 of 62 - Sale <sup>25</sup>
08/11/20	256.000	15,268.71	Various	17,053.12	1,773.90 W	-10.51	Total of 62 transactions
	14 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	2.000	127.06	08/19/20	191.42	...	-64.36	1 of 14 - Sale <sup>25</sup>
	2.000	127.06	08/19/20	189.34	...	-62.28	2 of 14 - Sale <sup>25</sup>
	2.000	127.06	08/19/20	184.98	...	-57.92	3 of 14 - Sale <sup>25</sup>
	2.000	127.06	08/19/20	173.34	...	-46.28	4 of 14 - Sale <sup>25</sup>
	2.000	127.05	08/19/20	160.69	...	-33.64	5 of 14 - Sale <sup>25</sup>
	1.000	63.53	08/19/20	92.86	...	-29.33	6 of 14 - Sale <sup>25</sup>
	1.000	63.53	08/19/20	92.19	...	-28.66	7 of 14 - Sale <sup>25</sup>
	1.000	63.53	08/19/20	87.91	...	-24.38	8 of 14 - Sale <sup>25</sup>
	1.000	63.53	08/19/20	86.74	...	-23.21	9 of 14 - Sale <sup>25</sup>
	4.000	254.11	08/19/20	269.95	...	-15.84	10 of 14 - Sale <sup>25</sup>
	1.000	63.53	08/19/20	68.14	...	-4.61	11 of 14 - Sale <sup>25</sup>
	1.000	63.53	08/19/20	66.75	...	-3.22	12 of 14 - Sale <sup>25</sup>
	1.000	63.53	08/19/20	66.22	...	-2.69	13 of 14 - Sale <sup>25</sup>
	1.000	63.53	08/19/20	66.22	...	-2.69	14 of 14 - Sale <sup>25</sup>
08/19/20	22.000	1,397.64	Various	1,796.75	...	-399.11	Total of 14 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol: (cont'd)							
<i>3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	333.74	08/27/20	348.45	...	-14.71	1 of 3 - Sale <sup>25</sup>
	4.000	266.99	08/27/20	270.97	...	-3.98	2 of 3 - Sale <sup>25</sup>
	1.000	66.75	08/27/20	68.45	...	-1.70	3 of 3 - Sale <sup>25</sup>
08/27/20	10.000	667.48	Various	687.87	...	-20.39	Total of 3 transactions
	<b>Security total:</b>	<b>34,326.27</b>		<b>37,371.08</b>	<b>3,318.14 W</b>	<b>273.33</b>	
<b>Totals :</b>		<b>1,203,555.01</b>		<b>1,256,224.99</b>	<b>49,687.18 W</b>	<b>-2,982.80</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 492377908

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	0.500	8.18	04/21/20	12.12	...	-3.94	Gain or loss
<b>Totals:</b>		<b>8.18</b>		<b>12.12</b>	...	<b>-3.94</b>	



Robinhood Securities LLC

Account 492377908

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APACHE CORPORATION COMMON STOC K	037411105		05/22/20	1.00	Qualified dividend	
			08/21/20	0.03	Qualified dividend	
				<b>1.03</b>	<b>Total Dividends &amp; distributions</b>	
GENERAL ELECTRIC COMPANY	369604103		07/27/20	0.01	Qualified dividend	
MGM RESORTS INTERNATIONAL	552953101		06/15/20	0.05	Qualified dividend	
RAYTHEON TECHNOLOGIES CORPORAT ION	75513E101		06/18/20	12.35	Qualified dividend	
SL GREEN REALTY CORP.	78440X101		07/15/20	2.67	Long-term capital gain	03
			07/15/20	1.66	Section 199A dividend	03
			07/15/20	0.10	Unrecaptured section 1250 gain	03
			08/17/20	2.67	Long-term capital gain	03
			08/17/20	1.66	Section 199A dividend	03
			08/17/20	0.10	Unrecaptured section 1250 gain	03
				<b>8.86</b>	<b>Total Dividends &amp; distributions</b>	
SPIRIT AEROSYSTEMS HOLDINGS, I NC.	848574109		07/06/20	0.02	Qualified dividend	
WELLS FARGO & CO.	949746101		09/01/20	1.80	Qualified dividend	
				<b>24.12</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 492377908

**Other Receipts and Reconciliations**

2020

02/14/2021

*This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.*

*Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	08/19/20	14.34	Partnership distribution	
			<b>14.34</b>	<b>Total Partnership distribution</b>	

Robinhood Securities LLC

Account 492377908

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/20/20	-5.00	Margin interest paid	
		06/19/20	-5.00	Margin interest paid	
		07/20/20	-5.00	Margin interest paid	
		08/18/20	-5.00	Margin interest paid	
		09/17/20	-5.00	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		11/16/20	-5.00	Margin interest paid	
		12/16/20	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
			<b>-40.00</b>	<b>Total Margin interest paid</b>	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

This page intentionally left blank.