

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 920360617	Statement Date: 02/14/2021 Document ID: F00R B63 28TH	2020
	Miteshkumar Patel 24919 Calvin st Dearborn, MI 48124 RECIPIENT'S TIN: XXX-XX-5825		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 488.07</p> <p>1b- Qualified dividends 228.50</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 47.62</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 44.89</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 7- Foreign tax paid: 6.72</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 42.97</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
---	---

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	122,203.57	89,403.58	0.00	172.12	32,972.11
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	4.58	10.79	0.00	0.00	-6.21
Total Short-term		122,208.15	89,414.37	0.00	172.12	32,965.90
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Long-term		0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	266.92	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term		266.92	0.00	0.00	0.00	0.00
Grand total		122,475.07	89,414.37	0.00	172.12	32,965.90
Withholding		Amount				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 920360617

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME**2020 1099-INT**

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	71.05
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	34.69
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	-34.69
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	149.92
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.03
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 920360617

Original Issue Discount

2020 1099-OID* OMB No. 1545-0117

02/14/2021

Original Issue Discount (OID) income is organized into sections for Non Treasury, Treasury, Tax-exempt and Tax-exempt Private Activity Bonds (subject to AMT). Tax lots are grouped under the security description headings which include the CUSIP numbers. Where information is available, tax lots are identified by the original cost and date of purchase and/or a unique tax lot ID number. Subtotals are provided for each bond and for each section. These amounts may not be the correct figures to report on your tax return(s). See the instructions.

If tax-exempt OID was reported to you for tax years prior to 2017, the amounts were included in the tax-exempt interest totals. Although this income is now presented on these pages along with taxable OID, current regulations do not require that all tax-exempt OID be reported to the Internal Revenue Service (IRS). For your convenience, however, any amounts not reported to the IRS are shown in the column titled "Tax-exempt OID Not Reported to IRS."

Columns are also included for market discount and acquisition premium. Market discount is displayed, however, only if you have elected to recognize it currently rather than at the time of sale or maturity. Wherever the letter "N" appears next to an amount of market discount or acquisition premium it has not been reported to the IRS due either to the tax lot's noncovered status or its date of purchase.

Totals for OID income and related acquisition premium or market discount for the above referenced sections are found in Original Issue Discount and Adjustments. For securities presented in this section, detail of all interest transactions and bond premium amortization are found in either Detail for Interest Income or Detail for Tax-exempt Interest.

Income and adjustment totals at the end of the tax-exempt sections are categorized by your state of residence, US possessions and other states.

FATCA filing requirement []

NON TREASURY ORIGINAL ISSUE DISCOUNT**7- Description:**

Tax lot identifier or Cost and date acquired	Quantity	Begin date	1-Original issue discount for the year	3- Early withdrawal penalty	5- Market discount	6- Acquisition premium	9- Investment expenses	Notes
VOC ENERGY TRUST/ CUSIP: 91829B103/ Note: VO	250.00	12/31/20	34.69	0.00	0.00	0.00	0.00	
Tax lot totals:			34.69	0.00	0.00	0.00	0.00	

Non Treasury Totals

Original issue discount	34.69
--------------------------------	--------------

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 07/17/2020 CALL \$12.00 / CUSIP: / Symbol:							
07/14/20	1.000	34.98	07/10/20	105.02	...	-70.04	Option sale to close-call ²⁵
07/14/20	1.000	34.98	07/10/20	70.00	35.02 W	0.00	Option sale to close-call ²⁵
	Security total:	69.96		175.02	35.02 W	-70.04	
ABT 09/25/2020 CALL \$112.00 / CUSIP: / Symbol:							
09/25/20	5.000	0.00	08/27/20	2,725.00	...	-2,725.00	Option expiration- long call
ARES 08/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
	2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	1.000	199.99	07/28/20	135.00	...	64.99	1 of 2 - Option sale to close-call ²⁵
	2.000	399.98	07/28/20	270.00	...	129.98	2 of 2 - Option sale to close-call ²⁵
08/10/20	3.000	599.97	Various	405.00	...	194.97	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BE 07/17/2020 CALL \$12.00 / CUSIP: / Symbol:							
07/08/20	3.000	299.98	06/30/20	180.00	...	119.98	Option sale to close-call ²⁵
07/13/20	2.000	299.98	06/30/20	120.00	...	179.98	Option sale to close-call ²⁵
	Security total:	599.96		300.00	...	299.96	
BE 07/17/2020 CALL \$13.00 / CUSIP: / Symbol:							
07/13/20	3.000	284.98	07/09/20	255.00	...	29.98	Option sale to close-call ²⁵
BE 07/17/2020 CALL \$18.00 / CUSIP: / Symbol:							
07/16/20	1.000	159.98	07/15/20	145.00	...	14.98	Option sale to close-call ²⁵
BFYT 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/13/20	1.000	84.98	07/13/20	85.00	...	-0.02	Option sale to close-call ²⁵
BLNK 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
11/12/20	2.000	719.97	09/29/20	600.00	...	119.97	Option sale to close-call ²⁵
CDEV 08/21/2020 CALL \$1.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	0.00	07/21/20	33.00	...	-33.00	1 of 2 - Option expiration- long call
	3.000	0.00	07/21/20	33.00	...	-33.00	2 of 2 - Option expiration- long call
08/21/20	6.000	0.00	Various	66.00	...	-66.00	Total of 2 transactions
CGC 07/31/2020 CALL \$16.50 / CUSIP: / Symbol:							
07/13/20	1.000	136.98	07/10/20	82.00	...	54.98	Option sale to close-call ²⁵
KODK 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
12/07/20	1.000	489.97	09/16/20	400.00	...	89.97	Option sale to close-call ²⁵
MRNS 04/16/2021 CALL \$2.00 / CUSIP: / Symbol:							
09/15/20	3.000	674.97	09/15/20	645.00	...	29.97	Option sale to close-call ²⁵
MRO 08/21/2020 CALL \$6.00 / CUSIP: / Symbol:							
08/21/20	2.000	0.00	07/21/20	98.00	...	-98.00	Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MTDR 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	2.000	59.99	07/20/20	140.00	...	-80.01	1 of 3 - Option sale to close-call ²⁵
	1.000	34.99	07/20/20	110.01	...	-75.02	2 of 3 - Option sale to close-call ²⁵
	1.000	34.99	07/20/20	70.00	...	-35.01	3 of 3 - Option sale to close-call ²⁵
08/14/20	4.000	129.97	Various	320.01	...	-190.04	Total of 3 transactions
08/14/20	1.000	29.99	07/20/20	70.00	40.01 W	0.00	Option sale to close-call ²⁵
	Security total:	159.96		390.01	40.01 W	-190.04	
NIO 09/25/2020 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	2.000	63.99	08/26/20	620.00	...	-556.01	1 of 3 - Option sale to close-call ²⁵
	1.000	31.99	08/26/20	307.00	...	-275.01	2 of 3 - Option sale to close-call ²⁵
	1.000	32.00	08/26/20	307.00	...	-275.00	3 of 3 - Option sale to close-call ²⁵
09/22/20	4.000	127.98	Various	1,234.00	...	-1,106.02	Total of 3 transactions
NKLA 04/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
09/15/20	1.000	1,399.95	09/14/20	1,300.00	...	99.95	Option sale to close-call ²⁵
NKLA 07/10/2020 CALL \$55.00 / CUSIP: / Symbol:							
07/10/20	1.000	23.98	07/08/20	250.00	...	-226.02	Option sale to close-call ²⁵
NKLA 09/04/2020 CALL \$34.00 / CUSIP: / Symbol:							
08/04/20	1.000	849.97	07/27/20	580.00	...	269.97	Option sale to close-call ²⁵
08/06/20	2.000	1,319.96	07/27/20	1,160.00	...	159.96	Option sale to close-call ²⁵
	Security total:	2,169.93		1,740.00	...	429.93	
NKLA 09/25/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/21/20	2.000	449.98	09/21/20	520.00	...	-70.02	Option sale to close-call ²⁵
OAS 08/21/2020 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	6.000	59.98	07/21/20	60.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	4.000	39.99	07/21/20	40.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
08/05/20	10.000	99.97	Various	100.00	...	-0.03	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ODP1 07/17/2020 CALL \$3.50 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/30/20	35.00	...	-35.00	1 of 2 - Option expiration- long call
	5.000	0.00	06/30/20	35.00	...	-35.00	2 of 2 - Option expiration- long call
07/17/20	10.000	0.00	Various	70.00	...	-70.00	Total of 2 transactions
PFE 08/21/2020 CALL \$38.50 / CUSIP: / Symbol:							
07/28/20	2.000	289.98	07/22/20	236.00	...	53.98	Option sale to close-call ²⁵
SNAP 07/10/2020 CALL \$27.00 / CUSIP: / Symbol:							
07/10/20	3.000	0.00	07/08/20	102.00	...	-102.00	Option expiration- long call
SOGO 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	3.000	17.98	07/27/20	45.00	...	-27.02	1 of 2 - Option sale to close-call ²⁵
	3.000	17.98	07/27/20	45.00	...	-27.02	2 of 2 - Option sale to close-call ²⁵
07/28/20	6.000	35.96	Various	90.00	...	-54.04	Total of 2 transactions
SOLO 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
07/17/20	2.000	0.00	07/06/20	190.00	...	-190.00	Option expiration- long call
SPCE 07/31/2020 CALL \$25.50 / CUSIP: / Symbol:							
4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	3.99	07/28/20	228.00	...	-224.01	1 of 4 - Option sale to close-call ²⁵
	1.000	1.99	07/28/20	114.00	...	-112.01	2 of 4 - Option sale to close-call ²⁵
	1.000	2.00	07/28/20	114.00	...	-112.00	3 of 4 - Option sale to close-call ²⁵
	1.000	2.00	07/28/20	114.00	...	-112.00	4 of 4 - Option sale to close-call ²⁵
07/31/20	5.000	9.98	Various	570.00	...	-560.02	Total of 4 transactions
SPNE 08/21/2020 CALL \$15.00 / CUSIP: / Symbol:							
08/05/20	1.000	174.98	08/05/20	105.00	...	69.98	Option sale to close-call ²⁵
TELL 08/21/2020 CALL \$1.50 / CUSIP: / Symbol:							
07/28/20	3.000	14.98	07/21/20	90.00	...	-75.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAL 09/18/2020 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	8.000	39.98	07/21/20	120.00	...	-80.02	1 of 2 - Option sale to close-call ²⁵
	2.000	9.99	07/21/20	30.00	...	-20.01	2 of 2 - Option sale to close-call ²⁵
08/03/20	10.000	49.97	Various	150.00	...	-100.03	Total of 2 transactions
WKHS 07/24/2020 CALL \$16.50 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/20/20	135.00	...	-135.00	Option expiration- long call
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	30.000	137.39	03/20/20	103.80	...	33.59	1 of 3 - Sale ²⁵
	50.000	228.99	04/01/20	146.00	...	82.99	2 of 3 - Sale ²⁵
	50.000	228.99	04/02/20	116.00	...	112.99	3 of 3 - Sale ²⁵
05/21/20	130.000	595.37	Various	365.80	...	229.57	Total of 3 transactions
06/04/20	150.000	786.43	04/15/20	359.75	...	426.68	Sale ²⁵
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	50.000	207.59	04/15/20	119.91	...	87.68	1 of 2 - Sale ²⁵
	100.000	415.18	04/16/20	241.00	...	174.18	2 of 2 - Sale ²⁵
06/26/20	150.000	622.77	Various	360.91	...	261.86	Total of 2 transactions
09/08/20	50.000	320.51	04/16/20	120.50	...	200.01	Sale ²⁵
10/15/20	50.000	147.06	04/16/20	120.50	...	26.56	Sale ²⁵
	Security total:	2,472.14		1,327.46	...	1,144.68	
AERPIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00810B105 / Symbol:							
06/19/20	100.000	123.49	05/21/20	80.00	...	43.49	Sale ²⁵
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	5.000	40.30	03/20/20	28.50	...	11.80	1 of 2 - Sale ²⁵
	5.000	40.87	03/20/20	28.50	...	12.37	2 of 2 - Sale ²⁵
04/28/20	10.000	81.17	Various	57.00	...	24.17	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALBERTSONS COMPANIES, INC. / CUSIP: 013091103 / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	21.000	350.72	06/26/20	343.12	...	7.60	1 of 2 - Sale ²⁵
	129.000	2,154.37	06/26/20	2,107.73	...	46.64	2 of 2 - Sale ²⁵
12/29/20	150.000	2,505.09	Various	2,450.85	...	54.24	Total of 2 transactions
12/30/20	50.000	833.73	06/26/20	816.95	...	16.78	Sale ²⁵
	Security total:	3,338.82		3,267.80	...	71.02	
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
05/18/20	50.000	377.01	04/01/20	201.00	...	176.01	Sale ²⁵
06/26/20	50.000	336.57	04/01/20	201.00	...	135.57	Sale ²⁵
	Security total:	713.58		402.00	...	311.58	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	20.000	248.59	04/24/20	200.18	...	48.41	1 of 3 - Sale ²⁵
	30.000	372.89	05/04/20	285.56	...	87.33	2 of 3 - Sale ²⁵
	25.000	310.74	05/11/20	240.50	...	70.24	3 of 3 - Sale ²⁵
06/26/20	75.000	932.22	Various	726.24	...	205.98	Total of 3 transactions
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	25.000	341.24	05/13/20	230.20	...	111.04	1 of 2 - Sale ²⁵
	25.000	341.24	05/14/20	226.70	...	114.54	2 of 2 - Sale ²⁵
09/08/20	50.000	682.48	Various	456.90	...	225.58	Total of 2 transactions
	Security total:	1,614.70		1,183.14	...	431.56	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	16.500	292.88	04/17/20	139.16	...	153.72	1 of 3 - Sale ²⁵
	25.000	417.50	04/17/20	210.84	...	206.66	2 of 3 - Sale ²⁵
	8.500	150.87	05/08/20	67.65	...	83.22	3 of 3 - Sale ²⁵
05/18/20	50.000	861.25	Various	417.65	...	443.60	Total of 3 transactions
4 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	8.000	130.56	05/08/20	63.67	...	66.89	1 of 4 - Sale ²⁵
	25.000	407.81	05/08/20	198.98	...	208.83	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
	17.000	277.44	05/11/20	125.80	...	151.64	3 of 4 - Sale ²⁵
	25.000	433.01	05/12/20	171.00	...	262.01	4 of 4 - Sale ²⁵
05/21/20	75.000	1,248.82	Various	559.45	...	689.37	Total of 4 transactions
05/22/20	25.000	413.31	05/12/20	171.00	...	242.31	Sale ²⁵
4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	8.000	102.12	05/12/20	52.88	...	49.24	1 of 4 - Sale ²⁵
	42.000	536.10	05/12/20	277.62	...	258.48	2 of 4 - Sale ²⁵
	25.000	319.11	05/13/20	152.13	...	166.98	3 of 4 - Sale ²⁵
	25.000	319.11	05/13/20	142.75	...	176.36	4 of 4 - Sale ²⁵
06/26/20	100.000	1,276.44	Various	625.38	...	651.06	Total of 4 transactions
	Security total:	3,799.82		1,773.48	...	2,026.34	
BBX CAPITAL CORPORATION CLASS A COMMON STOCK / CUSIP: 05491N302 / Symbol:							
07/23/20	1.000	13.40	06/02/20	9.75	...	3.65	Sale ²⁵
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	2.600	38.74	06/02/20	25.35	...	13.39	1 of 2 - Sale ²⁵
	46.400	691.34	06/02/20	454.72	...	236.62	2 of 2 - Sale ²⁵
08/07/20	49.000	730.08	Various	480.07	...	250.01	Total of 2 transactions
08/28/20	50.000	768.99	06/02/20	490.00	...	278.99	Sale ²⁵
	Security total:	1,512.47		979.82	...	532.65	
BGC PARTNERS, INC CLASS A COMM ON STOCK / CUSIP: 05541T101 / Symbol:							
04/24/20	10.000	28.20	04/09/20	28.97	...	-0.77	Sale ²⁵
BANCO BILBAO VIZCAYA ARGENTARI A, S.A. / CUSIP: 05946K101 / Symbol:							
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	100.000	461.97	11/16/20	446.00	...	15.97	1 of 3 - Sale ²⁵
	100.000	461.98	11/16/20	446.00	...	15.98	2 of 3 - Sale ²⁵
	200.000	924.05	11/16/20	892.00	...	32.05	3 of 3 - Sale ²⁵
11/30/20	400.000	1,848.00	Various	1,784.00	...	64.00	Total of 3 transactions
BBX CAPITAL, INC. COMMON STOCK CLASS A / CUSIP: 073319105 / Symbol:							
10/09/20	50.000	183.00	09/29/20	0.00	...	183.00	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	7.000	39.94	05/27/20	32.69	...	7.25	1 of 2 - Sale ²⁵
	18.000	102.69	05/27/20	83.88	...	18.81	2 of 2 - Sale ²⁵
11/20/20	25.000	142.63	Various	116.57	...	26.06	Total of 2 transactions
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	22.34	09/21/20	7.94	...	14.40	1 of 2 - Sale ²⁵
	20.000	446.87	09/21/20	160.87	...	286.00	2 of 2 - Sale ²⁵
11/20/20	21.000	469.21	Various	168.81	...	300.40	Total of 2 transactions
12/07/20	20.000	530.58	09/21/20	160.87	...	369.71	Sale ²⁵
12/22/20	10.000	436.00	09/21/20	80.44	...	355.56	Sale ²⁵
	Security total:	1,435.79		410.12	...	1,025.67	
BLUEGREEN VACATIONS HOLDING CO RPORATION / CUSIP: 096308101 / Symbol:							
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	10.000	119.60	06/11/20	96.00	...	23.60	1 of 3 - Sale ²⁵
	20.000	239.21	06/11/20	192.00	...	47.21	2 of 3 - Sale ²⁵
	20.000	239.21	06/11/20	191.50	...	47.71	3 of 3 - Sale ²⁵
10/01/20	50.000	598.02	Various	479.50	...	118.52	Total of 3 transactions
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	100.000	135.99	03/09/20	46.99	...	89.00	1 of 2 - Sale ²⁵
	250.000	325.00	03/09/20	117.48	...	207.52	2 of 2 - Sale ²⁵
06/05/20	350.000	460.99	Various	164.47	...	296.52	Total of 2 transactions
06/26/20	50.000	58.00	03/09/20	23.49	...	34.51	Sale ²⁵
	Security total:	518.99		187.96	...	331.03	
CALLON PETROLEUM COMPANY / CUSIP: 13123X508 / Symbol:							
08/10/20	10.000	106.00	03/09/20	46.99	...	59.01	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
06/26/20	10.000	157.32	04/01/20	93.50	...	63.82	Sale ²⁵
09/23/20	10.000	146.60	04/02/20	80.50	...	66.10	Sale ²⁵
	Security total:	303.92		174.00	...	129.92	
CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
06/09/20	10.000	200.90	04/01/20	86.70	...	114.20	Sale ²⁵
CENTENNIAL RESOURCE DEVELOPMENT, INC. CLASS A COMMON STOCK / CUSIP: 15136A102 / Symbol:							
4 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	200.000	117.32	03/12/20	88.22	...	29.10	1 of 4 - Sale ²⁵
	100.000	58.65	03/31/20	27.59	...	31.06	2 of 4 - Sale ²⁵
	100.000	58.66	03/31/20	27.59	...	31.07	3 of 4 - Sale ²⁵
	100.000	58.66	03/31/20	27.59	...	31.07	4 of 4 - Sale ²⁵
04/23/20	500.000	293.29	Various	170.99	...	122.30	Total of 4 transactions
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	100.000	95.17	03/31/20	27.59	...	67.58	1 of 3 - Sale ²⁵
	100.000	95.17	03/31/20	27.59	...	67.58	2 of 3 - Sale ²⁵
	100.000	95.16	04/01/20	27.13	...	68.03	3 of 3 - Sale ²⁵
06/26/20	300.000	285.50	Various	82.31	...	203.19	Total of 3 transactions
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	100.000	54.20	04/01/20	27.16	...	27.04	1 of 2 - Sale ²⁵
	100.000	54.20	04/01/20	27.16	...	27.04	2 of 2 - Sale ²⁵
10/29/20	200.000	108.40	Various	54.32	...	54.08	Total of 2 transactions
	Security total:	687.19		307.62	...	379.57	
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
07/23/20	50.000	239.00	06/25/20	103.25	...	135.75	Sale ²⁵
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
03/24/20	1.000	4.97	03/02/20	4.76	...	0.21	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
03/19/20	10.000	86.80	03/19/20	53.50	...	33.30	Sale ²⁵
	<i>2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	75.15	03/19/20	26.75	...	48.40	1 of 2 - Sale ²⁵
	5.000	83.00	03/19/20	26.75	...	56.25	2 of 2 - Sale ²⁵
03/24/20	10.000	158.15	Various	53.50	...	104.65	Total of 2 transactions
	Security total:	244.95		107.00	...	137.95	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
06/26/20	15.000	402.35	04/06/20	313.35	...	89.00	Sale ²⁵
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
	<i>6 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>						
	1,000.000	27.38	06/08/20	650.00	...	-622.62	1 of 6 - Sale ²⁵
	500.000	13.69	06/09/20	297.30	...	-283.61	2 of 6 - Sale ²⁵
	500.000	13.69	06/24/20	176.00	...	-162.31	3 of 6 - Sale ²⁵
	1,300.000	35.59	07/15/20	325.26	...	-289.67	4 of 6 - Sale ²⁵
	100.000	2.74	07/15/20	25.02	...	-22.28	5 of 6 - Sale ²⁵
	100.000	2.74	07/15/20	25.02	...	-22.28	6 of 6 - Sale ²⁵
08/21/20	3,500.000	95.83	Various	1,498.60	...	-1,402.77	Total of 6 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
	<i>3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>						
	25.000	338.62	09/16/20	235.75	...	102.87	1 of 3 - Sale ²⁵
	25.000	340.75	09/16/20	235.75	...	105.00	2 of 3 - Sale ²⁵
	25.000	338.61	11/24/20	173.00	...	165.61	3 of 3 - Sale ²⁵
12/07/20	75.000	1,017.98	Various	644.50	...	373.48	Total of 3 transactions
12/08/20	24.000	273.12	11/24/20	166.08	...	107.04	Sale ²⁵
	Security total:	1,291.10		810.58	...	480.52	
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
06/26/20	50.000	251.07	03/19/20	95.00	...	156.07	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
<i>9 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	97.000	627.87	03/03/20	679.97	...	-52.10	1 of 9 - Sale ²⁵
	39.000	252.44	03/03/20	273.39	...	-20.95	2 of 9 - Sale ²⁵
	8.000	53.04	03/09/20	47.20	...	5.84	3 of 9 - Sale ²⁵
	14.000	90.62	03/09/20	82.60	...	8.02	4 of 9 - Sale ²⁵
	75.000	497.23	03/18/20	313.29	...	183.94	5 of 9 - Sale ²⁵
	5.000	33.15	03/19/20	22.25	...	10.90	6 of 9 - Sale ²⁵
	16.000	106.08	03/19/20	71.20	...	34.88	7 of 9 - Sale ²⁵
	29.000	192.26	03/19/20	129.05	...	63.21	8 of 9 - Sale ²⁵
	17.000	112.71	03/23/20	69.31	...	43.40	9 of 9 - Sale ²⁵
06/04/20	300.000	1,965.40	Various	1,688.26	...	277.14	Total of 9 transactions
<i>3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	58.000	341.79	03/23/20	236.48	...	105.31	1 of 3 - Sale ²⁵
	100.000	590.29	03/23/20	410.00	...	180.29	2 of 3 - Sale ²⁵
	142.000	836.80	03/23/20	582.20	...	254.60	3 of 3 - Sale ²⁵
06/26/20	300.000	1,768.88	Various	1,228.68	...	540.20	Total of 3 transactions
	Security total:	3,734.28		2,916.94	...	817.34	
FULGENT GENETICS, INC. COMMON STOCK / CUSIP: 359664109 / Symbol:							
04/28/20	10.000	159.39	03/12/20	89.40	...	69.99	Sale ²⁵
GTT COMMUNICATIONS, INC. / CUSIP: 362393100 / Symbol:							
06/26/20	10.000	77.80	03/20/20	54.80	...	23.00	Sale ²⁵
<i>2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	18.46	03/20/20	16.44	...	2.02	1 of 2 - Sale ²⁵
	7.000	43.07	03/20/20	38.36	...	4.71	2 of 2 - Sale ²⁵
09/08/20	10.000	61.53	Various	54.80	...	6.73	Total of 2 transactions
	Security total:	139.33		109.60	...	29.73	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
06/08/20	10.000	85.13	05/14/20	56.90	...	28.23	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
06/26/20	5.000	123.01	03/23/20	88.25	...	34.76	Sale ²⁵
07/13/20	5.000	123.20	03/23/20	88.25	...	34.95	Sale ²⁵
	Security total:	246.21		176.50	...	69.71	
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
<i>13 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	197.59	06/18/20	200.00	...	-2.41	1 of 13 - Sale ²⁵
	1,000.000	399.88	06/18/20	400.00	...	-0.12	2 of 13 - Sale ²⁵
	1,000.000	401.38	06/18/20	400.00	...	1.38	3 of 13 - Sale ²⁵
	100.000	39.52	06/19/20	37.46	...	2.06	4 of 13 - Sale ²⁵
	100.000	39.52	06/19/20	37.46	...	2.06	5 of 13 - Sale ²⁵
	100.000	39.52	06/19/20	37.46	...	2.06	6 of 13 - Sale ²⁵
	100.000	39.52	06/19/20	37.46	...	2.06	7 of 13 - Sale ²⁵
	100.000	39.52	06/19/20	37.46	...	2.06	8 of 13 - Sale ²⁵
	500.000	197.58	06/24/20	156.90	...	40.68	9 of 13 - Sale ²⁵
	100.000	39.51	06/25/20	30.75	...	8.76	10 of 13 - Sale ²⁵
	100.000	39.52	06/25/20	30.75	...	8.77	11 of 13 - Sale ²⁵
	100.000	39.51	06/25/20	30.63	...	8.88	12 of 13 - Sale ²⁵
	200.000	79.03	06/25/20	61.26	...	17.77	13 of 13 - Sale ²⁵
12/23/20	4,000.000	1,591.60	Various	1,497.59	...	94.01	Total of 13 transactions
GULFPORT ENERGY CORPORATION CO MMON STOCK / CUSIP: 402635304 / Symbol:							
06/26/20	100.000	97.51	03/17/20	73.50	...	24.01	Sale ²⁵
10/12/20	100.000	69.99	04/01/20	45.25	...	24.74	Sale ²⁵
	Security total:	167.50		118.75	...	48.75	
HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol:							
04/07/20	1.000	8.70	03/26/20	6.85	...	1.85	Sale ²⁵
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
<i>8 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	11.60	05/26/20	7.50	...	4.10	1 of 8 - Sale ²⁵
	10.000	11.60	05/26/20	6.28	...	5.32	2 of 8 - Sale ²⁵
	50.000	72.50	05/26/20	31.25	...	41.25	3 of 8 - Sale ²⁵
	100.000	115.99	05/26/20	73.79	...	42.20	4 of 8 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	100.000	115.98	05/26/20	62.63	...	53.35	5 of 8 - Sale ²⁵
	140.000	162.38	05/26/20	87.50	...	74.88	6 of 8 - Sale ²⁵
	150.000	217.50	05/26/20	93.75	...	123.75	7 of 8 - Sale ²⁵
	390.000	452.34	05/26/20	273.00	...	179.34	8 of 8 - Sale ²⁵
05/27/20	950.000	1,159.89	Various	635.70	...	524.19	Total of 8 transactions
	3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	50.000	41.16	05/26/20	31.25	...	9.91	1 of 3 - Sale ²⁵
	250.000	205.79	05/26/20	155.00	...	50.79	2 of 3 - Sale ²⁵
	250.000	205.80	05/26/20	144.75	...	61.05	3 of 3 - Sale ²⁵
06/03/20	550.000	452.75	Various	331.00	...	121.75	Total of 3 transactions
	4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	150.000	190.51	06/12/20	375.00	...	-184.49	1 of 4 - Sale ²⁵
	150.000	190.50	06/22/20	223.14	...	-32.64	2 of 4 - Sale ²⁵
	100.000	127.00	06/23/20	132.00	...	-5.00	3 of 4 - Sale ²⁵
	100.000	127.01	06/23/20	117.47	...	9.54	4 of 4 - Sale ²⁵
09/18/20	500.000	635.02	Various	847.61	...	-212.59	Total of 4 transactions
	Security total:	2,247.66		1,814.31	...	433.35	
HEXO CORP. / CUSIP: 428304109 / Symbol:							
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	50.000	34.99	05/18/20	37.49	...	-2.50	1 of 2 - Sale ²⁵
	50.000	34.99	05/19/20	26.70	...	8.29	2 of 2 - Sale ²⁵
06/26/20	100.000	69.98	Various	64.19	...	5.79	Total of 2 transactions
HEXO CORP. / CUSIP: 428304307 / Symbol:							
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	12.500	49.38	05/19/20	26.69	...	22.69	1 of 2 - Sale ²⁵
	37.500	148.12	05/20/20	75.63	...	72.49	2 of 2 - Sale ²⁵
12/23/20	50.000	197.50	Various	102.32	...	95.18	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOUGHTON MIFFLIN HARCOURT COMP ANY COMMON STOCK / CUSIP: 44157R109 / Symbol:							
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	3.05	06/08/20	2.58	...	0.47	1 of 3 - Sale ²⁵
	99.000	302.44	06/09/20	236.12	...	66.32	2 of 3 - Sale ²⁵
	50.000	152.74	06/11/20	95.35	...	57.39	3 of 3 - Sale ²⁵
08/03/20	150.000	458.23	Various	334.05	...	124.18	Total of 3 transactions
10/02/20	50.000	115.75	06/11/20	95.34	...	20.41	Sale ²⁵
	Security total:	573.98		429.39	...	144.59	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	5.000	12.82	06/17/20	10.60	...	2.22	1 of 2 - Sale ²⁵
	145.000	371.91	06/17/20	307.40	...	64.51	2 of 2 - Sale ²⁵
11/23/20	150.000	384.73	Various	318.00	...	66.73	Total of 2 transactions
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	100.000	345.49	06/17/20	212.00	...	133.49	1 of 2 - Sale ²⁵
	50.000	185.53	10/22/20	47.84	...	137.69	2 of 2 - Sale ²⁵
11/24/20	150.000	531.02	Various	259.84	...	271.18	Total of 2 transactions
12/03/20	50.000	110.55	10/22/20	47.84	...	62.71	Sale ²⁵
	Security total:	1,026.30		625.68	...	400.62	
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
5 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	37.000	36.62	05/27/20	22.10	...	14.52	1 of 5 - Sale ²⁵
	100.000	98.99	05/27/20	59.74	...	39.25	2 of 5 - Sale ²⁵
	363.000	359.32	05/27/20	216.86	...	142.46	3 of 5 - Sale ²⁵
	200.000	197.98	06/08/20	124.00	...	73.98	4 of 5 - Sale ²⁵
	300.000	296.95	06/08/20	186.00	...	110.95	5 of 5 - Sale ²⁵
07/27/20	1,000.000	989.86	Various	608.70	...	381.16	Total of 5 transactions
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1,159.000	715.52	06/08/20	718.58	...	-3.06	1 of 2 - Sale ²⁵
	341.000	210.53	06/08/20	211.42	...	-0.89	2 of 2 - Sale ²⁵
07/28/20	1,500.000	926.05	Various	930.00	...	-3.95	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol: (cont'd)							
4 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	100.000	71.99	06/08/20	62.00	...	9.99	1 of 4 - Sale ²⁵
	200.000	143.97	06/08/20	124.00	...	19.97	2 of 4 - Sale ²⁵
	200.000	143.98	06/08/20	124.00	...	19.98	3 of 4 - Sale ²⁵
	500.000	359.93	06/11/20	285.45	...	74.48	4 of 4 - Sale ²⁵
08/03/20	1,000.000	719.87	Various	595.45	...	124.42	Total of 4 transactions
	Security total:	2,635.78		2,134.15	...	501.63	
INDIVIOR PLC AMERICAN DEPOSIT ARY RECEIPTS - SPONSORED / CUSIP: 45579E105 / Symbol:							
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	93.000	650.97	05/19/20	646.35	...	4.62	1 of 3 - Sale ²⁵
	50.000	472.00	05/19/20	347.50	...	124.50	2 of 3 - Sale ²⁵
	50.000	472.00	05/19/20	347.50	...	124.50	3 of 3 - Sale ²⁵
05/21/20	193.000	1,594.97	Various	1,341.35	...	253.62	Total of 3 transactions
05/21/20	50.000	346.00	05/19/20	347.50	1.50 W	0.00	Sale ²⁵
05/22/20	25.000	229.25	05/19/20	173.75	...	55.50	Sale ²⁵
7 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	25.000	415.74	05/19/20	125.00	...	290.74	1 of 7 - Sale ²⁵
	25.000	450.50	05/19/20	125.00	...	325.50	2 of 7 - Sale ²⁵
	25.000	497.50	05/19/20	125.00	...	372.50	3 of 7 - Sale ²⁵
	82.000	958.54	05/19/20	569.90	...	388.64	4 of 7 - Sale ²⁵
	25.000	527.48	05/19/20	125.00	...	402.48	5 of 7 - Sale ²⁵
	50.000	840.48	05/19/20	251.50	...	588.98	6 of 7 - Sale ²⁵
	50.000	831.47	05/20/20	269.50	...	561.97	7 of 7 - Sale ²⁵
05/26/20	282.000	4,521.71	Various	1,590.90	...	2,930.81	Total of 7 transactions
	Security total:	6,691.93		3,453.50	1.50 W	3,239.93	
KINDER MORGAN, INC. / CUSIP: 49456B101 / Symbol:							
04/02/20	1.000	13.50	03/26/20	13.73	...	-0.23	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	100.000	177.17	03/09/20	73.26	...	103.91	1 of 3 - Sale ²⁵
	100.000	177.17	03/09/20	73.26	...	103.91	2 of 3 - Sale ²⁵
	200.000	354.35	03/09/20	146.51	...	207.84	3 of 3 - Sale ²⁵
06/26/20	400.000	708.69	Various	293.03	...	415.66	Total of 3 transactions
LEXICON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 528872302 / Symbol:							
03/24/20	1.000	2.22	03/05/20	2.61	...	-0.39	Sale ²⁵
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	9.000	49.05	03/05/20	45.90	...	3.15	1 of 3 - Sale ²⁵
	17.000	92.65	03/09/20	76.50	...	16.15	2 of 3 - Sale ²⁵
	74.000	403.29	03/09/20	333.00	...	70.29	3 of 3 - Sale ²⁵
04/09/20	100.000	544.99	Various	455.40	...	89.59	Total of 3 transactions
15 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	9.000	94.05	03/09/20	40.50	...	53.55	1 of 15 - Sale ²⁵
	9.000	118.80	03/10/20	40.41	...	78.39	2 of 15 - Sale ²⁵
	7.000	126.00	03/10/20	26.95	...	99.05	3 of 15 - Sale ²⁵
	16.000	211.19	03/10/20	72.00	...	139.19	4 of 15 - Sale ²⁵
	25.000	329.99	03/10/20	96.25	...	233.74	5 of 15 - Sale ²⁵
	91.000	950.91	03/10/20	408.59	...	542.32	6 of 15 - Sale ²⁵
	1.000	18.00	03/11/20	4.04	...	13.96	7 of 15 - Sale ²⁵
	2.000	36.00	03/11/20	8.08	...	27.92	8 of 15 - Sale ²⁵
	5.000	89.99	03/11/20	20.20	...	69.79	9 of 15 - Sale ²⁵
	21.000	377.98	03/11/20	84.84	...	293.14	10 of 15 - Sale ²⁵
	21.000	377.99	03/11/20	84.84	...	293.15	11 of 15 - Sale ²⁵
	2.000	52.20	03/12/20	5.75	...	46.45	12 of 15 - Sale ²⁵
	4.000	104.40	03/12/20	11.51	...	92.89	13 of 15 - Sale ²⁵
	44.000	1,148.37	03/12/20	126.56	...	1,021.81	14 of 15 - Sale ²⁵
	50.000	2,071.95	03/12/20	151.00	...	1,920.95	15 of 15 - Sale ²⁵
04/15/20	307.000	6,107.82	Various	1,181.52	...	4,926.30	Total of 15 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol: (cont'd)							
8 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	24.000	1,775.96	04/16/20	1,798.56	...	-22.60	1 of 8 - Sale ²⁵
	5.000	369.99	04/16/20	386.01	...	-16.02	2 of 8 - Sale ²⁵
	20.000	1,479.96	04/16/20	1,494.00	...	-14.04	3 of 8 - Sale ²⁵
	1.000	74.00	04/16/20	74.70	...	-0.70	4 of 8 - Sale ²⁵
	20.000	1,479.96	04/16/20	1,480.00	...	-0.04	5 of 8 - Sale ²⁵
	1.000	73.50	04/16/20	73.00	...	0.50	6 of 8 - Sale ²⁵
	9.000	661.49	04/16/20	657.00	...	4.49	7 of 8 - Sale ²⁵
	15.000	1,102.47	04/16/20	1,087.20	...	15.27	8 of 8 - Sale ²⁵
04/16/20	95.000	7,017.33	Various	7,050.47	...	-33.14	Total of 8 transactions
04/16/20	5.000	367.49	04/16/20	380.00	12.51 W	0.00	Sale ²⁵
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	2.000	130.00	06/10/20	101.60	...	28.40	1 of 4 - Sale ²⁵
	8.000	519.98	06/10/20	406.40	...	113.58	2 of 4 - Sale ²⁵
	10.000	649.98	06/10/20	508.00	...	141.98	3 of 4 - Sale ²⁵
	30.000	1,734.56	06/10/20	1,524.00	...	210.56	4 of 4 - Sale ²⁵
06/10/20	50.000	3,034.52	Various	2,540.00	...	494.52	Total of 4 transactions
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	15.000	834.65	07/16/20	823.65	...	11.00	1 of 3 - Sale ²⁵
	25.000	1,391.10	07/16/20	1,372.75	...	18.35	2 of 3 - Sale ²⁵
	10.000	644.98	07/16/20	549.10	...	95.88	3 of 3 - Sale ²⁵
08/12/20	50.000	2,870.73	Various	2,745.50	...	125.23	Total of 3 transactions
	Security total:	19,942.88		14,352.89	12.51 W	5,602.50	
LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol:							
09/14/20	15.000	120.38	07/28/20	102.75	...	17.63	Sale ²⁵
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	25.000	148.77	04/03/20	148.75	...	0.02	1 of 5 - Sale ²⁵
	50.000	297.54	04/03/20	282.00	...	15.54	2 of 5 - Sale ²⁵
	50.000	297.54	04/03/20	270.00	...	27.54	3 of 5 - Sale ²⁵
	25.000	148.77	04/06/20	112.21	...	36.56	4 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	25.000	148.76	05/20/20	63.00	...	85.76	5 of 5 - Sale ²⁵
06/05/20	175.000	1,041.38	Various	875.96	...	165.42	Total of 5 transactions
06/05/20	25.000	148.77	04/02/20	160.50	11.73 W	0.00	Sale ²⁵
	4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	10.000	31.50	05/20/20	29.89	...	1.61	1 of 4 - Sale ²⁵
	15.000	47.25	05/20/20	44.84	...	2.41	2 of 4 - Sale ²⁵
	75.000	236.23	05/20/20	189.00	...	47.23	3 of 4 - Sale ²⁵
	100.000	314.98	05/21/20	216.90	...	98.08	4 of 4 - Sale ²⁵
06/24/20	200.000	629.96	Various	480.63	...	149.33	Total of 4 transactions
	7 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	100.000	135.00	05/21/20	216.90	...	-81.90	1 of 7 - Sale ²⁵
	100.000	128.00	05/22/20	165.90	...	-37.90	2 of 7 - Sale ²⁵
	100.000	135.00	05/22/20	165.90	...	-30.90	3 of 7 - Sale ²⁵
	100.000	128.00	05/26/20	217.00	...	-89.00	4 of 7 - Sale ²⁵
	100.000	142.99	05/26/20	217.00	...	-74.01	5 of 7 - Sale ²⁵
	50.000	64.00	05/26/20	108.50	...	-44.50	6 of 7 - Sale ²⁵
	50.000	71.50	05/26/20	108.50	...	-37.00	7 of 7 - Sale ²⁵
06/26/20	600.000	804.49	Various	1,199.70	...	-395.21	Total of 7 transactions
	Security total:	2,624.60		2,716.79	11.73 W	-80.46	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
06/26/20	500.000	1,166.56	03/24/20	210.00	...	956.56	Sale ²⁵
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
06/26/20	75.000	430.49	03/09/20	271.88	...	158.61	Sale ²⁵
MATADOR RESOURCES COMPANY / CUSIP: 576485205 / Symbol:							
04/29/20	36.000	306.00	03/09/20	87.79	...	218.21	Sale ²⁵
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	14.000	113.03	03/09/20	34.14	...	78.89	1 of 2 - Sale ²⁵
	50.000	406.50	03/09/20	121.93	...	284.57	2 of 2 - Sale ²⁵
06/26/20	64.000	519.53	Various	156.07	...	363.46	Total of 2 transactions
	Security total:	825.53		243.86	...	581.67	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEDALIST DIVERSIFIED REIT, INC. COMMON STOCK / CUSIP: 58403P105 / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	100.000	476.11	06/10/20	243.00	...	233.11	1 of 2 - Sale ²⁵
	100.000	476.12	10/14/20	514.00	...	-37.88	2 of 2 - Sale ²⁵
10/14/20	200.000	952.23	Various	757.00	...	195.23	Total of 2 transactions
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	50.000	199.00	03/24/20	96.00	...	103.00	1 of 2 - Sale ²⁵
	100.000	391.49	03/24/20	246.99	...	144.50	2 of 2 - Sale ²⁵
06/26/20	150.000	590.49	Various	342.99	...	247.50	Total of 2 transactions
07/23/20	50.000	347.77	03/24/20	96.00	...	251.77	Sale ²⁵
	Security total:	938.26		438.99	...	499.27	
MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol:							
08/03/20	100.000	212.99	06/04/20	101.00	...	111.99	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
09/22/20	20.000	372.43	09/08/20	343.00	...	29.43	Sale ²⁵
NORTHERN GENESIS ACQUISITION CORP. / CUSIP: 66516T104 / Symbol:							
12/30/20	1.000	17.65	12/07/20	15.30	...	2.35	Sale ²⁵
NORTHERN OIL AND GAS, INC. COMMON STOCK / CUSIP: 665531109 / Symbol:							
5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	100.000	81.17	04/27/20	71.73	...	9.44	1 of 5 - Sale ²⁵
	100.000	81.17	04/27/20	71.73	...	9.44	2 of 5 - Sale ²⁵
	100.000	81.18	04/27/20	71.73	...	9.45	3 of 5 - Sale ²⁵
	200.000	162.34	04/27/20	143.46	...	18.88	4 of 5 - Sale ²⁵
	200.000	162.35	04/27/20	142.12	...	20.23	5 of 5 - Sale ²⁵
06/26/20	700.000	568.21	Various	500.77	...	67.44	Total of 5 transactions
07/27/20	300.000	240.05	04/27/20	213.18	...	26.87	Sale ²⁵
	Security total:	808.26		713.95	...	94.31	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol:							
<i>11 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	138.35	03/09/20	68.04	...	70.31	1 of 11 - Sale ²⁵
	300.000	207.53	03/09/20	102.06	...	105.47	2 of 11 - Sale ²⁵
	1,000.000	691.76	03/09/20	320.00	...	371.76	3 of 11 - Sale ²⁵
	500.000	345.88	03/27/20	159.95	...	185.93	4 of 11 - Sale ²⁵
	500.000	371.28	03/27/20	159.95	...	211.33	5 of 11 - Sale ²⁵
	100.000	76.08	04/17/20	26.13	...	49.95	6 of 11 - Sale ²⁵
	100.000	76.09	04/17/20	26.13	...	49.96	7 of 11 - Sale ²⁵
	100.000	76.09	04/17/20	26.13	...	49.96	8 of 11 - Sale ²⁵
	100.000	76.09	04/17/20	26.13	...	49.96	9 of 11 - Sale ²⁵
	100.000	76.09	04/17/20	26.13	...	49.96	10 of 11 - Sale ²⁵
	750.000	556.92	04/17/20	210.00	...	346.92	11 of 11 - Sale ²⁵
04/23/20	3,750.000	2,692.16	Various	1,150.65	...	1,541.51	Total of 11 transactions
<i>5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	53.49	04/17/20	13.06	...	40.43	1 of 5 - Sale ²⁵
	50.000	53.50	04/17/20	13.07	...	40.43	2 of 5 - Sale ²⁵
	50.000	53.99	04/17/20	13.06	...	40.93	3 of 5 - Sale ²⁵
	50.000	54.00	04/17/20	13.07	...	40.93	4 of 5 - Sale ²⁵
	150.000	151.50	04/17/20	39.19	...	112.31	5 of 5 - Sale ²⁵
06/05/20	350.000	366.48	Various	91.45	...	275.03	Total of 5 transactions
06/26/20	50.000	38.80	04/17/20	13.06	...	25.74	Sale ²⁵
07/31/20	100.000	64.11	04/17/20	26.13	...	37.98	Sale ²⁵
	Security total:	3,161.55		1,281.29	...	1,880.26	
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
<i>6 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	95.99	05/18/20	51.65	...	44.34	1 of 6 - Sale ²⁵
	100.000	99.99	06/05/20	56.35	...	43.64	2 of 6 - Sale ²⁵
	100.000	101.00	06/05/20	56.35	...	44.65	3 of 6 - Sale ²⁵
	150.000	143.98	06/05/20	84.53	...	59.45	4 of 6 - Sale ²⁵
	250.000	223.75	06/05/20	140.88	...	82.87	5 of 6 - Sale ²⁵
	200.000	196.98	06/05/20	112.70	...	84.28	6 of 6 - Sale ²⁵
07/09/20	900.000	861.69	Various	502.46	...	359.23	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	100.000	137.99	06/05/20	56.35	...	81.64	1 of 3 - Sale ²⁵
	200.000	276.36	06/05/20	112.70	...	163.66	2 of 3 - Sale ²⁵
	300.000	434.96	06/05/20	169.05	...	265.91	3 of 3 - Sale ²⁵
07/21/20	600.000	849.31	Various	338.10	...	511.21	Total of 3 transactions
	Security total:	1,711.00		840.56	...	870.44	
OVINTIV INC. / CUSIP: 69047Q102 / Symbol:							
06/26/20	150.000	1,370.19	03/09/20	406.46	...	963.73	Sale ²⁵
PAR PACIFIC HOLDINGS, INC. COM MON STOCK / CUSIP: 69888T207 / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	13.33	07/10/20	8.60	...	4.73	1 of 2 - Sale ²⁵
	19.000	252.85	07/10/20	163.30	...	89.55	2 of 2 - Sale ²⁵
12/03/20	20.000	266.18	Various	171.90	...	94.28	Total of 2 transactions
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
05/18/20	5.000	111.87	03/20/20	52.90	...	58.97	Sale ²⁵
05/26/20	15.000	436.95	03/20/20	158.70	...	278.25	Sale ²⁵
	Security total:	548.82		211.60	...	337.22	
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	200.000	99.98	06/10/20	76.00	...	23.98	1 of 2 - Sale ²⁵
	300.000	149.96	06/10/20	114.00	...	35.96	2 of 2 - Sale ²⁵
06/16/20	500.000	249.94	Various	190.00	...	59.94	Total of 2 transactions
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	500.000	209.94	06/10/20	190.00	...	19.94	1 of 3 - Sale ²⁵
	500.000	209.94	06/11/20	154.30	...	55.64	2 of 3 - Sale ²⁵
	1,000.000	419.88	06/11/20	310.00	...	109.88	3 of 3 - Sale ²⁵
06/25/20	2,000.000	839.76	Various	654.30	...	185.46	Total of 3 transactions
4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	5.000	2.95	06/11/20	1.54	...	1.41	1 of 4 - Sale ²⁵
	50.000	29.50	06/11/20	15.43	...	14.07	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol: (cont'd)							
	105.000	61.94	06/11/20	32.41	...	29.53	3 of 4 - Sale ²⁵
	340.000	200.56	06/11/20	104.92	...	95.64	4 of 4 - Sale ²⁵
07/23/20	500.000	294.95	Various	154.30	...	140.65	Total of 4 transactions
	Security total:	1,384.65		998.60	...	386.05	
PRECISION DRILLING CORPORATION / CUSIP: 74022D308 / Symbol:							
05/12/20	750.000	435.96	04/24/20	269.93	...	166.03	Sale ²⁵
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	750.000	396.73	04/24/20	269.92	...	126.81	1 of 2 - Sale ²⁵
	1,500.000	793.47	04/24/20	539.70	...	253.77	2 of 2 - Sale ²⁵
05/18/20	2,250.000	1,190.20	Various	809.62	...	380.58	Total of 2 transactions
	9 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	0.98	04/27/20	0.35	...	0.63	1 of 9 - Sale ²⁵
	5.000	4.90	04/27/20	1.77	...	3.13	2 of 9 - Sale ²⁵
	10.000	9.80	04/27/20	3.53	...	6.27	3 of 9 - Sale ²⁵
	26.000	25.48	04/27/20	9.18	...	16.30	4 of 9 - Sale ²⁵
	58.000	56.83	04/27/20	20.47	...	36.36	5 of 9 - Sale ²⁵
	100.000	97.98	04/27/20	35.38	...	62.60	6 of 9 - Sale ²⁵
	100.000	97.99	04/27/20	35.38	...	62.61	7 of 9 - Sale ²⁵
	100.000	97.99	04/27/20	35.30	...	62.69	8 of 9 - Sale ²⁵
	100.000	97.99	04/27/20	35.30	...	62.69	9 of 9 - Sale ²⁵
06/09/20	500.000	489.94	Various	176.66	...	313.28	Total of 9 transactions
	6 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	100.000	73.61	04/27/20	35.52	...	38.09	1 of 6 - Sale ²⁵
	100.000	73.61	04/27/20	35.52	...	38.09	2 of 6 - Sale ²⁵
	100.000	73.60	04/27/20	35.24	...	38.36	3 of 6 - Sale ²⁵
	277.000	203.89	04/27/20	97.61	...	106.28	4 of 6 - Sale ²⁵
	300.000	220.82	04/27/20	106.14	...	114.68	5 of 6 - Sale ²⁵
	623.000	458.69	04/27/20	219.55	...	239.14	6 of 6 - Sale ²⁵
06/25/20	1,500.000	1,104.22	Various	529.58	...	574.64	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PRECISION DRILLING CORPORATION / CUSIP: 74022D308 / Symbol: (cont'd)							
<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	272.07	04/27/20	140.96	...	131.11	1 of 2 - Sale ²⁵
	500.000	342.40	04/27/20	176.20	...	166.20	2 of 2 - Sale ²⁵
06/26/20	900.000	614.47	Various	317.16	...	297.31	Total of 2 transactions
08/28/20	58.000	41.03	04/27/20	20.44	...	20.59	Sale ²⁵
	Security total:	3,875.82		2,123.39	...	1,752.43	
PRECISION DRILLING CORPORATION / CUSIP: 74022D407 / Symbol:							
11/16/20	2.000	32.92	04/27/20	14.10	...	18.82	Sale ²⁵
QEP RESOURCES, INC. / CUSIP: 74733V100 / Symbol:							
12/08/20	15.000	34.28	06/10/20	24.60	...	9.68	Sale ²⁵
RED ROBIN GOURMET BURGERS, INC . COMMON STOCK / CUSIP: 75689M101 / Symbol:							
03/24/20	10.000	102.60	03/12/20	100.10	...	2.50	Sale ²⁵
<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	487.48	03/30/20	430.00	...	57.48	1 of 2 - Sale ²⁵
	25.000	243.75	04/01/20	190.94	...	52.81	2 of 2 - Sale ²⁵
06/26/20	75.000	731.23	Various	620.94	...	110.29	Total of 2 transactions
<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	300.24	04/01/20	190.94	...	109.30	1 of 2 - Sale ²⁵
	25.000	300.25	07/10/20	195.88	...	104.37	2 of 2 - Sale ²⁵
09/01/20	50.000	600.49	Various	386.82	...	213.67	Total of 2 transactions
	Security total:	1,434.32		1,107.86	...	326.46	
RIGEL PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 766559603 / Symbol:							
<i>2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	364.99	07/14/20	297.00	...	67.99	1 of 2 - Sale ²⁵
	150.000	547.46	07/14/20	445.50	...	101.96	2 of 2 - Sale ²⁵
07/15/20	250.000	912.45	Various	742.50	...	169.95	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
06/26/20	150.000	544.76	03/09/20	228.75	...	316.01	Sale ²⁵
	<i>2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	79.25	03/09/20	76.25	...	3.00	1 of 2 - Sale ²⁵
	50.000	79.24	03/18/20	48.16	...	31.08	2 of 2 - Sale ²⁵
10/22/20	100.000	158.49	Various	124.41	...	34.08	Total of 2 transactions
	Security total:	703.25		353.16	...	350.09	
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
	<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	760.47	03/12/20	217.00	...	543.47	1 of 2 - Sale ²⁵
	250.000	1,908.67	03/25/20	412.10	...	1,496.57	2 of 2 - Sale ²⁵
06/26/20	350.000	2,669.14	Various	629.10	...	2,040.04	Total of 2 transactions
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
03/24/20	1.000	4.81	02/05/20	7.13	...	-2.32	Sale ²⁵
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
10/06/20	10.000	286.83	09/08/20	239.30	...	47.53	Sale ²⁵
SOLID BIOSCIENCES INC. COMMON STOCK / CUSIP: 83422E105 / Symbol:							
10/20/20	100.000	349.14	05/26/20	308.00	...	41.14	Sale ²⁵
SUNESIS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 867328700 / Symbol:							
	<i>2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.</i>						
	624.000	191.34	07/29/20	182.33	...	9.01	1 of 2 - Sale ²⁵
	876.000	268.61	07/29/20	256.05	...	12.56	2 of 2 - Sale ²⁵
07/31/20	1,500.000	459.95	Various	438.38	...	21.57	Total of 2 transactions
TELLURIAN INC. COMMON STOCK / CUSIP: 87968A104 / Symbol:							
	<i>3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	160.04	06/09/20	179.00	...	-18.96	1 of 3 - Sale ²⁵
	95.000	152.03	06/09/20	157.70	...	-5.67	2 of 3 - Sale ²⁵
	55.000	88.03	06/09/20	91.30	...	-3.27	3 of 3 - Sale ²⁵
11/27/20	250.000	400.10	Various	428.00	...	-27.90	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
THE ODP CORPORATION COMMON STO CK / CUSIP: 88337F105 / Symbol:							
11/05/20	25.000	622.11	06/30/20	604.38	...	17.73	Sale ²⁵
THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol:							
07/29/20	150.000	300.73	05/22/20	190.25	...	110.48	Sale ²⁵
10/20/20	100.000	162.06	05/22/20	126.83	...	35.23	Sale ²⁵
	Security total:	462.79		317.08	...	145.71	
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
05/13/20	250.000	455.30	04/20/20	499.73	44.43 W	0.00	Sale ²⁵
	<i>9 transactions for 05/14/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	4.28	04/20/20	3.32	...	0.96	1 of 9 - Sale ²⁵
	4.000	8.56	04/20/20	6.63	...	1.93	2 of 9 - Sale ²⁵
	7.000	14.98	04/20/20	12.93	...	2.05	3 of 9 - Sale ²⁵
	100.000	210.12	04/20/20	200.76	...	9.36	4 of 9 - Sale ²⁵
	20.000	42.80	04/20/20	33.15	...	9.65	5 of 9 - Sale ²⁵
	24.000	51.36	04/20/20	39.78	...	11.58	6 of 9 - Sale ²⁵
	43.000	92.01	04/20/20	79.46	...	12.55	7 of 9 - Sale ²⁵
	50.000	106.99	04/20/20	88.88	...	18.11	8 of 9 - Sale ²⁵
	100.000	213.99	04/20/20	192.66	...	21.33	9 of 9 - Sale ²⁵
05/14/20	350.000	745.09	Various	657.57	...	87.52	Total of 9 transactions
05/14/20	250.000	472.80	04/20/20	499.72	26.92 W	0.00	Sale ²⁵
05/15/20	50.000	113.57	04/20/20	82.88	...	30.69	Sale ²⁵
	<i>2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	140.56	04/21/20	76.39	...	64.17	1 of 2 - Sale ²⁵
	50.000	140.56	04/21/20	60.88	...	79.68	2 of 2 - Sale ²⁵
05/20/20	100.000	281.12	Various	137.27	...	143.85	Total of 2 transactions
	<i>3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>						
	39.000	105.69	04/21/20	43.29	...	62.40	1 of 3 - Sale ²⁵
	100.000	270.99	04/21/20	111.00	...	159.99	2 of 3 - Sale ²⁵
	111.000	300.80	04/21/20	123.21	...	177.59	3 of 3 - Sale ²⁵
06/25/20	250.000	677.48	Various	277.50	...	399.98	Total of 3 transactions
06/26/20	200.000	671.98	04/22/20	227.76	...	444.22	Sale ²⁵
07/21/20	25.000	128.53	04/22/20	28.47	...	100.06	Sale ²⁵
	Security total:	3,545.87		2,410.90	71.35 W	1,206.32	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
11/27/20	15.000	422.10	07/28/20	378.00	...	44.10	Sale ²⁵
12/01/20	5.000	138.82	07/28/20	126.00	...	12.82	Sale ²⁵
	Security total:	560.92		504.00	...	56.92	
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
	<i>12 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>						
	700.000	952.80	06/08/20	1,260.00	...	-307.20	1 of 12 - Sale ²⁵
	250.000	335.09	06/08/20	396.95	...	-61.86	2 of 12 - Sale ²⁵
	100.000	136.11	06/08/20	180.00	...	-43.89	3 of 12 - Sale ²⁵
	100.000	136.11	06/08/20	180.00	...	-43.89	4 of 12 - Sale ²⁵
	100.000	136.12	06/08/20	180.00	...	-43.88	5 of 12 - Sale ²⁵
	100.000	124.36	06/11/20	95.01	...	29.35	6 of 12 - Sale ²⁵
	400.000	497.42	06/11/20	380.04	...	117.38	7 of 12 - Sale ²⁵
	750.000	1,005.26	06/11/20	712.58	...	292.68	8 of 12 - Sale ²⁵
	100.000	124.35	07/07/20	77.56	...	46.79	9 of 12 - Sale ²⁵
	400.000	497.42	07/07/20	310.24	...	187.18	10 of 12 - Sale ²⁵
	500.000	621.78	07/15/20	368.65	...	253.13	11 of 12 - Sale ²⁵
	250.000	310.89	10/21/20	155.00	...	155.89	12 of 12 - Sale ²⁵
11/24/20	3,750.000	4,877.71	Various	4,296.03	...	581.68	Total of 12 transactions
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
08/04/20	10.000	87.10	07/28/20	77.50	...	9.60	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
	<i>2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	14.58	06/25/20	9.38	...	5.20	1 of 2 - Sale ²⁵
	8.000	116.67	06/25/20	65.84	...	50.83	2 of 2 - Sale ²⁵
07/07/20	9.000	131.25	Various	75.22	...	56.03	Total of 2 transactions
MALLINCKRODT PUB LTD CO ORDINA RY SHARES / CUSIP: G5785G107 / Symbol:							
	<i>2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.</i>						
	150.000	21.96	05/27/20	457.35	...	-435.39	1 of 2 - Sale ²⁵
	50.000	7.32	06/04/20	141.93	...	-134.61	2 of 2 - Sale ²⁵
10/23/20	200.000	29.28	Various	599.28	...	-570.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	10.000	153.92	03/30/20	111.10	...	42.82	1 of 2 - Sale ²⁵
	40.000	615.67	03/30/20	445.20	...	170.47	2 of 2 - Sale ²⁵
06/26/20	50.000	769.59	Various	556.30	...	213.29	Total of 2 transactions
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
11 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	100.000	52.99	04/28/20	38.39	...	14.60	1 of 11 - Sale ²⁵
	100.000	53.00	04/28/20	38.39	...	14.61	2 of 11 - Sale ²⁵
	300.000	158.99	04/28/20	115.17	...	43.82	3 of 11 - Sale ²⁵
	500.000	264.98	04/28/20	212.25	...	52.73	4 of 11 - Sale ²⁵
	500.000	264.99	04/28/20	195.05	...	69.94	5 of 11 - Sale ²⁵
	100.000	58.85	05/04/20	38.65	...	20.20	6 of 11 - Sale ²⁵
	400.000	235.39	05/04/20	154.56	...	80.83	7 of 11 - Sale ²⁵
	500.000	362.43	05/04/20	193.20	...	169.23	8 of 11 - Sale ²⁵
	500.000	362.43	05/07/20	184.90	...	177.53	9 of 11 - Sale ²⁵
	500.000	401.44	05/07/20	184.90	...	216.54	10 of 11 - Sale ²⁵
	500.000	445.50	05/12/20	185.80	...	259.70	11 of 11 - Sale ²⁵
06/05/20	4,000.000	2,660.99	Various	1,541.26	...	1,119.73	Total of 11 transactions
06/08/20	500.000	830.77	05/14/20	169.05	...	661.72	Sale ²⁵
6 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	500.000	384.93	05/14/20	169.05	...	215.88	1 of 6 - Sale ²⁵
	100.000	76.98	05/21/20	32.73	...	44.25	2 of 6 - Sale ²⁵
	100.000	76.99	05/21/20	32.73	...	44.26	3 of 6 - Sale ²⁵
	100.000	76.99	05/21/20	32.68	...	44.31	4 of 6 - Sale ²⁵
	100.000	76.99	05/21/20	32.68	...	44.31	5 of 6 - Sale ²⁵
	600.000	461.91	05/21/20	196.38	...	265.53	6 of 6 - Sale ²⁵
06/22/20	1,500.000	1,154.79	Various	496.25	...	658.54	Total of 6 transactions
06/26/20	750.000	513.72	06/02/20	245.33	...	268.39	Sale ²⁵
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	50.000	18.01	06/02/20	16.35	...	1.66	1 of 2 - Sale ²⁵
	200.000	71.98	06/02/20	65.42	...	6.56	2 of 2 - Sale ²⁵
07/31/20	250.000	89.99	Various	81.77	...	8.22	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol: (cont'd)							
Security total:		5,250.26		2,533.66	...	2,716.60	
WINS FIN HLDGS INC ORDINARY SH ARES / CUSIP: G97223104 / Symbol:							
<i>9 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	40.00	06/10/20	27.72	...	12.28	1 of 9 - Sale ²⁵
	4.000	160.00	06/10/20	110.88	...	49.12	2 of 9 - Sale ²⁵
	4.000	160.00	06/10/20	110.88	...	49.12	3 of 9 - Sale ²⁵
	10.000	400.00	06/10/20	277.20	...	122.80	4 of 9 - Sale ²⁵
	14.000	559.98	06/10/20	388.08	...	171.90	5 of 9 - Sale ²⁵
	17.000	679.98	06/10/20	471.24	...	208.74	6 of 9 - Sale ²⁵
	10.000	399.99	06/10/20	179.80	...	220.19	7 of 9 - Sale ²⁵
	50.000	1,999.95	06/10/20	1,386.00	...	613.95	8 of 9 - Sale ²⁵
	40.000	1,599.96	06/10/20	692.00	...	907.96	9 of 9 - Sale ²⁵
06/11/20	150.000	5,999.86	Various	3,643.80	...	2,356.06	Total of 9 transactions
INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644106 / Symbol:							
<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	145.84	05/18/20	125.80	...	20.04	1 of 2 - Sale ²⁵
	750.000	218.76	05/22/20	211.61	...	7.15	2 of 2 - Sale ²⁵
06/26/20	1,250.000	364.60	Various	337.41	...	27.19	Total of 2 transactions
07/21/20	250.000	117.47	05/22/20	70.54	...	46.93	Sale ²⁵
Security total:		482.07		407.95	...	74.12	
Totals :		122,203.57		89,403.58	172.12 W	32,972.11	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
11/23/20	0.000	194.91	N/A	Proceeds from sale of rights
VOC ENERGY TRUST / CUSIP: 91829B103 / Symbol: / Note: VO							
07/30/20	250.000	69.05	N/A	Principal payment
10/30/20	10.941	2.96	N/A	Principal payment
	Security total:	72.01		
Totals :		266.92		

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920360617

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
COMPANHIA ENERGETICA DE MINAS GERAIS-CEMIG / CUSIP: 204409882 / Symbol: 08/24/20	0.170	0.32	06/22/20	0.98	...	-0.66	Gain or loss
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: 07/08/20	2.005	2.83	06/30/20	9.11	...	-6.28	Gain or loss
PRECISION DRILLING CORPORATION / CUSIP: 74022D407 / Symbol: 11/19/20	0.100	1.43	04/27/20	0.70	...	0.73	Gain or loss
Totals:		4.58		10.79	...	-6.21	

Robinhood Securities LLC

Account 920360617

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AG MORTGAGE INVESTMENT TRUST, INC.	001228105		01/29/21	3.00	Qualified dividend	
ALBERTSONS COMPANIES, INC.	013091103		11/10/20	2.80	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		08/13/20	0.43	Qualified dividend	
			11/12/20	2.05	Qualified dividend	
				2.48	Total Dividends & distributions	
CREDIT SUISSE AG CREDIT SUISSE	22539T266		06/25/20	45.31	Qualified dividend	
X-LINKS CRUDE OIL SHARES COVE RED			07/27/20	10.19	Qualified dividend	
CALL ETN			08/25/20	10.29	Nonqualified dividend	
			09/25/20	7.12	Nonqualified dividend	
			10/26/20	3.18	Nonqualified dividend	
			11/25/20	12.39	Nonqualified dividend	
			12/29/20	12.27	Nonqualified dividend	
				100.75	Total Dividends & distributions	
CREDIT SUISSE X-LINKS MONTHLY PAY	22539T282		06/19/20	12.35	Qualified dividend	
2XLEVERAGED MORTGAGE REIT ETN			07/21/20	62.10	Qualified dividend	
			08/21/20	14.00	Nonqualified dividend	
			09/22/20	10.60	Nonqualified dividend	
			10/22/20	72.00	Nonqualified dividend	
			11/23/20	17.25	Nonqualified dividend	
			12/21/20	10.75	Nonqualified dividend	
				199.05	Total Dividends & distributions	
INVESCO MORTGAGE CAPITAL INC.	46131B100		06/30/20	5.58	Nondividend distribution	03
			07/28/20	2.18	Nondividend distribution	03
				7.76	Total Dividends & distributions	
MFA FINANCIAL, INC	55272X102		10/30/20	25.00	Nondividend distribution	03
			01/29/21	37.50	Qualified dividend	
				62.50	Total Dividends & distributions	

Robinhood Securities LLC

Account 920360617

Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MARATHON OIL CORPORATION	565849106		12/10/20	0.75	Qualified dividend	
MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE)	583840509		10/19/20	44.83	Nonqualified dividend	
			10/19/20	-6.72	Foreign tax withheld-RS	
				44.83	Total Dividends & distributions	
				-6.72	Total Foreign tax withheld	
MICROSOFT CORPORATION COMMON S TOCK	594918104		12/10/20	0.56	Qualified dividend	
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK	649604501		07/27/20	9.98	Section 199A dividend	03
			07/27/20	2.52	Nondividend distribution	03
			10/26/20	14.96	Section 199A dividend	03
			10/26/20	3.79	Nondividend distribution	03
			01/25/21	19.95	Section 199A dividend	03
			01/25/21	5.05	Nondividend distribution	03
				56.25	Total Dividends & distributions	
OVINTIV INC.	69047Q102		03/31/20	14.06	Qualified dividend	
			06/30/20	18.75	Qualified dividend	
			09/30/20	4.69	Qualified dividend	
			12/31/20	4.69	Qualified dividend	
				42.19	Total Dividends & distributions	
SM ENERGY COMPANY	78454L100		05/08/20	3.00	Qualified dividend	
			11/04/20	1.50	Qualified dividend	
				4.50	Total Dividends & distributions	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/28/20	0.01	Qualified dividend	
SIXTH STREET SPECIALTY LENDING , INC.	83012A109		12/31/20	0.10	Qualified dividend	
			01/15/21	0.41	Qualified dividend	
				0.51	Total Dividends & distributions	
TWO HARBORS INVESTMENT CORP.	90187B408		10/29/20	3.50	Nondividend distribution	03
			01/29/21	4.25	Qualified dividend	
				7.75	Total Dividends & distributions	

Robinhood Securities LLC

Account 920360617

Detail for Dividends and Distributions

2020

(continued)

02/14/2021

535.69	Total Dividends & distributions
-6.72	Total Foreign tax withheld

Robinhood Securities LLC

Account 920360617

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
VOC ENERGY TRUST	91829B103	07/30/20	-69.05	Interest shortfall- contingent debt	
	Note: VO	11/13/20	-2.00	Interest shortfall- contingent debt	03
			-71.05	Total CPDI Interest shortfall	
			-71.05	Total CPDI Interest shortfall	

Robinhood Securities LLC

Account 920360617

Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
ALBERTSONS COMPANIES, INC.	013091103	11/10/20	17.20	Substitute payment	
INVESCO MORTGAGE CAPITAL INC.	46131B100	10/27/20	5.45	Substitute payment	
VOC ENERGY TRUST	91829B103 Note: VO	11/13/20	20.32	Substitute payment	
			42.97	Total Miscellaneous income	

Robinhood Securities LLC

Account 920360617

Other Receipts and Reconciliations

2020

02/14/2021

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
VOC ENERGY TRUST	91829B103 Note: VO	12/31/20	-34.69	Increase to cost basis	
			-34.69	Total Increase to cost basis	

Robinhood Securities LLC

Account 920360617

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/23/20	-2.17	Margin interest paid	
		04/23/20	-5.00	Margin interest paid	
		05/26/20	-5.00	Margin interest paid	
		05/26/20	-12.28	Margin interest paid	
		05/28/20	0.42	Adj- Margin interest paid	
		06/22/20	-5.00	Margin interest paid	
		06/22/20	-16.50	Margin interest paid	
		07/14/20	0.05	Adj- Margin interest paid	
		07/22/20	-5.00	Margin interest paid	
		07/22/20	-10.35	Margin interest paid	
		08/21/20	-2.51	Margin interest paid	
		08/21/20	-5.00	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		09/21/20	-12.58	Margin interest paid	
		10/20/20	-5.00	Margin interest paid	
		10/20/20	-22.33	Margin interest paid	
		11/19/20	-5.00	Margin interest paid	
		11/19/20	-18.46	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
		12/21/20	-8.21	Margin interest paid	
			-149.92	Total Margin interest paid	
VOC ENERGY TRUST	91829B103 Note: VO	11/13/20	-0.03	Expense - Non-Tax Deductible	03
			-149.92	Total Margin interest paid	
			-0.03	Total Expenses not tax deductible	

Robinhood Securities LLC

Account 920360617

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
RS	MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE)	583840509	44.83	0.00		0.00	44.83	-6.72
Total RUSSIA			44.83	0.00		0.00	44.83	-6.72
SZ	CREDIT SUISSE AG CREDIT SUISSE X-LINKS CRUDE OIL SHARES COVE RED CALL ETN	22539T266	45.25	0.00		0.00	45.25	0.00
SZ	CREDIT SUISSE X-LINKS MONTHLY PAY 2XLEVERAGED MORTGAGE REIT ETN	22539T282	124.60	0.00		0.00	124.60	0.00
Total SWITZERLAND			169.85	0.00		0.00	169.85	0.00
Grand Total	Total Foreign Source Income*					214.68		
	Qualified Foreign Source Income**					0.00		
	Foreign Tax					-6.72		

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 920360617

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BBX CAPITAL CORPORATION CLASS A COMMON STOCK	05491N302	10/01/20	-50.00	0.00	Exchange	
BBX CAPITAL, INC. COMMON STOCK CLASS A	073319105	10/01/20	50.00	0.00	Stock spinoff	
BLUEGREEN VACATIONS HOLDING CO RPORATION	096308101	10/01/20	50.00	0.00	Exchange	
COMPANHIA ENERGETICA DE MINAS GERAIS-CEMIG	204409882	08/19/20	6.00	0.00	Stock dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	9.00	0.00	Stock dividend	
ODP 07/17/2020 CALL \$3.50	ODP 07/17/20 C 3.500	07/01/20	-10.00	0.00	Merger	
ODP1 07/17/2020 CALL \$3.50	ODP1 07/17/20 C 3.500	07/01/20	10.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 25 Tax lot closed on a first in first out basis.
- VO VOC Energy Trust is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s). Gains from the sale of trust units attributed to the Term Net Profit Interests are treated as ordinary interest. Any loss will be ordinary loss to the extent of interest income previously included in income, reduced by any negative adjustments and, thereafter, capital loss. Your purchase price allocation for the Hedging Contract and Term Net Profit Interests components can be determined by reference to the additional information published by the trustee at voc.q4web.com. If you can not access the information electronically and do not receive it from the trustee, you may request a hardcopy.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction

Instructions for Recipient

directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-OID Instructions for Recipient

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Column 1. Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount on line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Column 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount on line 2 and 8, the amount on line 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount on lines 2 and 11, the amount on line 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the

Instructions for Form 1040 and 1040-SR.

Column 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for

Form 1040 and 1040-SR to see where to take the deduction.

Column 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Column 5. For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that

you did not want to make a constant yield election for market discount under section 1278(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Column 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported on this line, see the instructions Schedule B (Form 1040 or 1040-SR). If an amount is not reported on this line for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID on line 1 or line 8, you must report the net amount of OID on Schedule B (Form 1040 or 1040-SR) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040 or 1040-SR).

If there is an amount on both lines 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount on line 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Column 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Column 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included on line 1. See the instructions above for a covered security acquired with acquisition premium. If the number on this line is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Column 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in line 2.

Column 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported on this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest on line 2. If the amount on this line is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

If there is an amount on both lines 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount on line 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Column 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040. See the instructions above for a covered security acquired with acquisition premium.

Column 12-14. State income tax withheld reporting.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

This page intentionally left blank.