

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 156202236	Statement Date: 02/06/2020 Document ID: 48RU 0VY 964Y	2019
	Ashok Kumar Kavuru 9002 Red Deer Circle 101 Hurstbourne Acres, KY 40220 RECIPIENT'S TIN: XXX-XX-7596		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2019 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 1.38</p> <p>1b- Qualified dividends 1.38</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p style="text-align: center;">MISCELLANEOUS INCOME 2019 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 8.89</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p style="text-align: center;">SECTION 1256 CONTRACTS 2019 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2019 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2018 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	78,952.92	87,533.28	0.00	3,691.59	-4,888.77
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	78,952.92	87,533.28	0.00	3,691.59	-4,888.77
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	78,952.92	87,533.28	0.00	3,691.59	-4,888.77

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2019 may require an amended tax form.

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Summary Information

2019

(continued)

02/06/2020

INTEREST INCOME

2019 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for 2019	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

02/06/2020

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to other corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 03/22/2019 PUT \$9.00 / CUSIP: / Symbol:							
3 transactions for 03/22/19. Total proceeds and cost reported to the IRS.							
	6.000	0.00	03/19/19	60.00	...	-60.00	1 of 3 - Option expiration- long put
	2.000	0.00	03/19/19	20.00	...	-20.00	2 of 3 - Option expiration- long put
	2.000	0.00	03/19/19	20.00	...	-20.00	3 of 3 - Option expiration- long put
03/22/19	10.000	0.00	Various	100.00	...	-100.00	Total of 3 transactions
AMZN 11/08/2019 CALL \$1,792.50 / CUSIP: / Symbol:							
11/01/19	1.000	1,739.95	11/01/19	1,630.00	...	109.95	Option sale to close-call ²⁵
AMZN 11/08/2019 CALL \$1,800.00 / CUSIP: / Symbol:							
11/01/19	1.000	914.97	11/01/19	1,390.00	...	-475.03	Option sale to close-call ²⁵
BABA 12/06/2019 PUT \$190.00 / CUSIP: / Symbol:							
12/03/19	1.000	129.98	12/03/19	250.00	...	-120.02	Option sale to close-put ²⁵

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Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 03/29/2019 CALL \$113.00 / CUSIP: / Symbol:							
<i>27 transactions for 03/26/19. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.98	03/26/19	3.00	...	0.98	1 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	2 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	3 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	4 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	5 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	6 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	7 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	8 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	9 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	10 of 27 - Option sale to close-call 25
	1.000	3.99	03/26/19	3.00	...	0.99	11 of 27 - Option sale to close-call 25
	1.000	4.00	03/26/19	3.00	...	1.00	12 of 27 - Option sale to close-call 25
	1.000	4.00	03/26/19	3.00	...	1.00	13 of 27 - Option sale to close-call 25
	1.000	4.00	03/26/19	3.00	...	1.00	14 of 27 - Option sale to close-call 25
	1.000	4.00	03/26/19	3.00	...	1.00	15 of 27 - Option sale to close-call 25
	1.000	4.00	03/26/19	3.00	...	1.00	16 of 27 - Option sale to close-call 25
	3.000	11.98	03/26/19	9.00	...	2.98	17 of 27 - Option sale to close-call 25

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 03/29/2019 CALL \$113.00 / CUSIP: / Symbol: (cont'd)							
	3.000	11.98	03/26/19	9.00	...	2.98	18 of 27 - Option sale to close-call ₂₅
	3.000	11.98	03/26/19	9.00	...	2.98	19 of 27 - Option sale to close-call ₂₅
	3.000	11.98	03/26/19	9.00	...	2.98	20 of 27 - Option sale to close-call ₂₅
	3.000	11.98	03/26/19	9.00	...	2.98	21 of 27 - Option sale to close-call ₂₅
	3.000	11.98	03/26/19	9.00	...	2.98	22 of 27 - Option sale to close-call ₂₅
	3.000	11.99	03/26/19	9.00	...	2.99	23 of 27 - Option sale to close-call ₂₅
	3.000	11.99	03/26/19	9.00	...	2.99	24 of 27 - Option sale to close-call ₂₅
	3.000	11.99	03/26/19	9.00	...	2.99	25 of 27 - Option sale to close-call ₂₅
	3.000	11.99	03/26/19	9.00	...	2.99	26 of 27 - Option sale to close-call ₂₅
	4.000	15.99	03/26/19	12.00	...	3.99	27 of 27 - Option sale to close-call ₂₅
03/26/19	50.000	199.71	Various	150.00	...	49.71	Total of 27 transactions
DIS 03/29/2019 CALL \$114.00 / CUSIP: / Symbol:							
14 transactions for 03/20/19. Total proceeds and cost reported to the IRS.							
	1.000	15.98	03/20/19	14.00	...	1.98	1 of 14 - Option sale to close-call ₂₅
	1.000	15.98	03/20/19	14.00	...	1.98	2 of 14 - Option sale to close-call ₂₅
	1.000	16.00	03/20/19	14.00	...	2.00	3 of 14 - Option sale to close-call ₂₅
	1.000	16.00	03/20/19	14.00	...	2.00	4 of 14 - Option sale to close-call ₂₅
	1.000	16.00	03/20/19	14.00	...	2.00	5 of 14 - Option sale to close-call ₂₅

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 03/29/2019 CALL \$114.00 / CUSIP: / Symbol: (cont'd)							
	1.000	16.00	03/20/19	14.00	...	2.00	6 of 14 - Option sale to close-call ²⁵
	1.000	16.00	03/20/19	14.00	...	2.00	7 of 14 - Option sale to close-call ²⁵
	1.000	16.00	03/20/19	14.00	...	2.00	8 of 14 - Option sale to close-call ²⁵
	1.000	16.00	03/20/19	14.00	...	2.00	9 of 14 - Option sale to close-call ²⁵
	1.000	16.00	03/20/19	14.00	...	2.00	10 of 14 - Option sale to close-call ²⁵
	1.000	16.00	03/20/19	14.00	...	2.00	11 of 14 - Option sale to close-call ²⁵
	2.000	31.99	03/20/19	28.00	...	3.99	12 of 14 - Option sale to close-call ²⁵
	2.000	32.00	03/20/19	28.00	...	4.00	13 of 14 - Option sale to close-call ²⁵
	85.000	1,359.78	03/20/19	1,190.00	...	169.78	14 of 14 - Option sale to close-call ²⁵
03/20/19	100.000	1,599.73	Various	1,400.00	...	199.73	Total of 14 transactions
03/21/19	170.000	3,909.60	03/20/19	3,060.00	...	849.60	Option sale to close-call ²⁵
29 transactions for 03/27/19. Total proceeds and cost reported to the IRS.							
	3.000	5.98	03/22/19	36.00	30.02 W	0.00	1 of 29 - Option sale to close-call ²⁵
	1.000	1.98	03/22/19	12.00	10.02 W	0.00	2 of 29 - Option sale to close-call ²⁵
	4.000	7.98	03/22/19	48.00	40.02 W	0.00	3 of 29 - Option sale to close-call ²⁵
	2.000	3.98	03/22/19	24.00	20.02 W	0.00	4 of 29 - Option sale to close-call ²⁵
	1.000	1.98	03/22/19	12.00	10.02 W	0.00	5 of 29 - Option sale to close-call ²⁵
	11.000	21.97	03/22/19	132.00	110.03 W	0.00	6 of 29 - Option sale to close-call ²⁵
	11.000	21.97	03/22/19	132.00	110.03 W	0.00	7 of 29 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 03/29/2019 CALL \$114.00 / CUSIP: / Symbol: (cont'd)							
	11.000	21.97	03/22/19	132.00	110.03 W	0.00	8 of 29 - Option sale to close-call 25
	3.000	5.99	03/22/19	66.01	60.02 W	0.00	9 of 29 - Option sale to close-call 25
	8.000	15.98	03/22/19	96.00	80.02 W	0.00	10 of 29 - Option sale to close-call 25
	11.000	21.97	03/22/19	242.02	220.05 W	0.00	11 of 29 - Option sale to close-call 25
	1.000	2.00	03/22/19	22.01	20.01 W	0.00	12 of 29 - Option sale to close-call 25
	2.000	3.99	03/22/19	44.02	40.03 W	0.00	13 of 29 - Option sale to close-call 25
	5.000	9.99	03/22/19	110.01	100.02 W	0.00	14 of 29 - Option sale to close-call 25
	3.000	5.99	03/22/19	66.01	60.02 W	0.00	15 of 29 - Option sale to close-call 25
	1.000	2.00	03/22/19	32.02	30.02 W	0.00	16 of 29 - Option sale to close-call 25
	2.000	3.99	03/22/19	64.02	60.03 W	0.00	17 of 29 - Option sale to close-call 25
	3.000	5.99	03/22/19	96.01	90.02 W	0.00	18 of 29 - Option sale to close-call 25
	5.000	9.99	03/22/19	110.02	100.03 W	0.00	19 of 29 - Option sale to close-call 25
	1.000	1.98	03/22/19	32.01	30.03 W	0.00	20 of 29 - Option sale to close-call 25
	3.000	5.99	03/22/19	96.01	90.02 W	0.00	21 of 29 - Option sale to close-call 25
	17.000	33.96	03/22/19	204.00	170.04 W	0.00	22 of 29 - Option sale to close-call 25
	2.000	3.98	03/22/19	24.00	20.02 W	0.00	23 of 29 - Option sale to close-call 25
	2.000	3.99	03/22/19	44.01	40.02 W	0.00	24 of 29 - Option sale to close-call 25

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 03/29/2019 CALL \$114.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.99	03/22/19	12.00	10.01 W	0.00	25 of 29 - Option sale to close-call ₂₅
	1.000	1.98	03/22/19	22.00	20.02 W	0.00	26 of 29 - Option sale to close-call ₂₅
	7.000	13.98	03/22/19	154.01	140.03 W	0.00	27 of 29 - Option sale to close-call ₂₅
	10.000	19.97	03/22/19	120.00	100.03 W	0.00	28 of 29 - Option sale to close-call ₂₅
	10.000	19.98	03/22/19	120.00	100.02 W	0.00	29 of 29 - Option sale to close-call ₂₅
03/27/19	142.000	283.49	Various	2,304.19	2,020.70 W	0.00	Total of 29 transactions
	13 transactions for 03/28/19. Total proceeds and cost reported to the IRS.						
	84.000	83.82	03/22/19	1,008.00	...	-924.18	1 of 13 - Option sale to close-call ₂₅
	15.000	14.96	03/22/19	180.00	...	-165.04	2 of 13 - Option sale to close-call ₂₅
	7.000	6.98	03/22/19	84.00	...	-77.02	3 of 13 - Option sale to close-call ₂₅
	4.000	3.98	03/22/19	48.00	...	-44.02	4 of 13 - Option sale to close-call ₂₅
	2.000	1.98	03/22/19	24.00	...	-22.02	5 of 13 - Option sale to close-call ₂₅
	2.000	1.98	03/22/19	24.00	...	-22.02	6 of 13 - Option sale to close-call ₂₅
	2.000	1.98	03/22/19	24.00	...	-22.02	7 of 13 - Option sale to close-call ₂₅
	1.000	0.98	03/22/19	12.00	...	-11.02	8 of 13 - Option sale to close-call ₂₅
	1.000	0.98	03/22/19	12.00	...	-11.02	9 of 13 - Option sale to close-call ₂₅
	1.000	0.98	03/22/19	12.00	...	-11.02	10 of 13 - Option sale to close-call ₂₅
	1.000	0.98	03/22/19	12.00	...	-11.02	11 of 13 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIS 03/29/2019 CALL \$114.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.98	03/22/19	12.00	...	-11.02	12 of 13 - Option sale to close-call ²⁵
	1.000	0.98	03/22/19	12.00	...	-11.02	13 of 13 - Option sale to close-call ²⁵
03/28/19	122.000	121.56	Various	1,464.00	...	-1,342.44	Total of 13 transactions
2 transactions for 03/28/19. Total proceeds and cost reported to the IRS.							
	10.000	9.97	03/22/19	120.00	33.01 W	-77.02	1 of 2 - Option sale to close-call ²⁵
	16.000	15.96	03/22/19	192.00	176.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
03/28/19	26.000	25.93	Various	312.00	209.05 W	-77.02	Total of 2 transactions
26 transactions for 03/29/19. Total proceeds and cost reported to the IRS.							
	30.000	0.00	03/22/19	360.00	...	-360.00	1 of 26 - Option expiration- long call
	11.000	0.00	03/25/19	275.05	...	-275.05	2 of 26 - Option expiration- long call
	11.000	0.00	03/25/19	165.03	...	-165.03	3 of 26 - Option expiration- long call
	11.000	0.00	03/25/19	165.03	...	-165.03	4 of 26 - Option expiration- long call
	11.000	0.00	03/25/19	165.03	...	-165.03	5 of 26 - Option expiration- long call
	8.000	0.00	03/25/19	128.02	...	-128.02	6 of 26 - Option expiration- long call
	5.000	0.00	03/25/19	125.03	...	-125.03	7 of 26 - Option expiration- long call
	5.000	0.00	03/25/19	125.02	...	-125.02	8 of 26 - Option expiration- long call
	8.000	0.00	03/25/19	120.02	...	-120.02	9 of 26 - Option expiration- long call
	3.000	0.00	03/25/19	105.02	...	-105.02	10 of 26 - Option expiration- long call
	3.000	0.00	03/25/19	105.02	...	-105.02	11 of 26 - Option expiration- long call
	3.000	0.00	03/25/19	75.02	...	-75.02	12 of 26 - Option expiration- long call

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIS 03/29/2019 CALL \$114.00 / CUSIP: / Symbol: (cont'd)							
	3.000	0.00	03/25/19	75.02	...	-75.02	13 of 26 - Option expiration- long call
	2.000	0.00	03/25/19	70.03	...	-70.03	14 of 26 - Option expiration- long call
	4.000	0.00	03/25/19	60.02	...	-60.02	15 of 26 - Option expiration- long call
	2.000	0.00	03/25/19	50.03	...	-50.03	16 of 26 - Option expiration- long call
	3.000	0.00	03/25/19	45.02	...	-45.02	17 of 26 - Option expiration- long call
	1.000	0.00	03/25/19	35.03	...	-35.03	18 of 26 - Option expiration- long call
	1.000	0.00	03/25/19	35.02	...	-35.02	19 of 26 - Option expiration- long call
	2.000	0.00	03/25/19	30.02	...	-30.02	20 of 26 - Option expiration- long call
	1.000	0.00	03/25/19	25.01	...	-25.01	21 of 26 - Option expiration- long call
	1.000	0.00	03/25/19	15.02	...	-15.02	22 of 26 - Option expiration- long call
	1.000	0.00	03/25/19	15.02	...	-15.02	23 of 26 - Option expiration- long call
	8.000	0.00	03/27/19	104.02	...	-104.02	24 of 26 - Option expiration- long call
	2.000	0.00	03/27/19	26.01	...	-26.01	25 of 26 - Option expiration- long call
	1.000	0.00	03/27/19	13.00	...	-13.00	26 of 26 - Option expiration- long call
03/29/19	141.000	0.00	Various	2,511.56	...	-2,511.56	Total of 26 transactions
	Security total:	5,940.31		11,051.75	2,229.75 W	-2,881.69	
DIS 04/05/2019 CALL \$110.00 / CUSIP: / Symbol:							
03/27/19	3.000	440.98	03/26/19	369.00	...	71.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DT 11/15/2019 CALL \$20.00 / CUSIP: / Symbol:							
<i>4 transactions for 10/31/19. Total proceeds and cost reported to the IRS.</i>							
	2.000	251.98	10/30/19	220.00	...	31.98	1 of 4 - Option sale to close-call ²⁵
	1.000	145.99	10/30/19	105.00	...	40.99	2 of 4 - Option sale to close-call ²⁵
	4.000	583.97	10/30/19	440.00	...	143.97	3 of 4 - Option sale to close-call ²⁵
	4.000	583.98	10/30/19	440.00	...	143.98	4 of 4 - Option sale to close-call ²⁵
10/31/19	11.000	1,565.92	Various	1,205.00	...	360.92	Total of 4 transactions
NET 12/20/2019 CALL \$17.50 / CUSIP: / Symbol:							
12/10/19	25.000	2,249.90	12/09/19	1,750.00	...	499.90	Option sale to close-call ²⁵
OKTA 09/27/2019 CALL \$106.00 / CUSIP: / Symbol:							
09/20/19	3.000	989.96	09/17/19	930.00	...	59.96	Option sale to close-call ²⁵
OKTA 10/04/2019 CALL \$108.00 / CUSIP: / Symbol:							
10/03/19	1.000	109.98	09/26/19	95.00	...	14.98	Option sale to close-call ²⁵
OKTA 10/25/2019 CALL \$117.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/25/19. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	10/16/19	110.00	...	-110.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/16/19	60.00	...	-60.00	2 of 2 - Option expiration- long call
10/25/19	2.000	0.00	Various	170.00	...	-170.00	Total of 2 transactions
OKTA 12/06/2019 CALL \$123.00 / CUSIP: / Symbol:							
12/05/19	1.000	349.98	12/05/19	448.00	...	-98.02	Option sale to close-call ²⁵
OKTA 12/06/2019 PUT \$121.00 / CUSIP: / Symbol:							
12/04/19	1.000	429.98	12/04/19	460.00	...	-30.02	Option sale to close-put ²⁵
ROKU 04/18/2019 PUT \$52.50 / CUSIP: / Symbol:							
04/16/19	1.000	5.98	04/10/19	36.00	...	-30.02	Option sale to close-put ²⁵
ROKU 11/08/2019 CALL \$145.00 / CUSIP: / Symbol:							
11/07/19	1.000	11.98	11/06/19	920.00	...	-908.02	Option sale to close-call ²⁵
ROKU 12/06/2019 CALL \$162.50 / CUSIP: / Symbol:							
11/22/19	1.000	549.97	11/22/19	565.00	...	-15.03	Option sale to close-call ²⁵

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(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROKU 12/13/2019 CALL \$147.00 / CUSIP: / Symbol:							
12/10/19	1.000	339.98	12/10/19	391.00	...	-51.02	Option sale to close-call ²⁵
SPLK 10/25/2019 CALL \$120.00 / CUSIP: / Symbol:							
09/20/19	1.000	599.97	09/20/19	560.00	...	39.97	Option sale to close-call ²⁵
TSLA 11/29/2019 PUT \$340.00 / CUSIP: / Symbol:							
11/22/19	1.000	994.96	11/22/19	905.00	...	89.96	Option sale to close-put ²⁵
TWLO 12/06/2019 CALL \$99.00 / CUSIP: / Symbol:							
2 transactions for 12/04/19. Total proceeds and cost reported to the IRS.							
	1.000	184.99	12/04/19	162.00	...	22.99	1 of 2 - Option sale to close-call ²⁵
	4.000	739.98	12/04/19	648.00	...	91.98	2 of 2 - Option sale to close-call ²⁵
12/04/19	5.000	924.97	Various	810.00	...	114.97	Total of 2 transactions
TWLO 12/13/2019 CALL \$97.50 / CUSIP: / Symbol:							
2 transactions for 12/06/19. Total proceeds and cost reported to the IRS.							
	4.000	975.96	12/05/19	928.00	...	47.96	1 of 2 - Option sale to close-call ²⁵
	6.000	1,463.95	12/05/19	1,392.00	...	71.95	2 of 2 - Option sale to close-call ²⁵
12/06/19	10.000	2,439.91	Various	2,320.00	...	119.91	Total of 2 transactions
TWLO 12/20/2019 CALL \$97.50 / CUSIP: / Symbol:							
12/03/19	5.000	2,149.94	12/02/19	1,850.00	...	299.94	Option sale to close-call ²⁵
ASTROTECH CORPORATION (DE) COM MON STOCK / CUSIP: 046484200 / Symbol:							
09/20/19	50.000	111.19	09/20/19	113.33	...	-2.14	Sale ²⁵
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
02/26/19	76.000	1,105.77	02/25/19	1,084.52	...	21.25	Sale ²⁵
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
03/29/19	230.000	1,138.89	03/28/19	1,244.30	...	-105.41	Sale ²⁵

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Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

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CENTURYLINK, INC. / CUSIP: 156700106 / Symbol:							
2 transactions for 02/12/19. Total proceeds and cost reported to the IRS.							
	3.000	43.53	02/11/19	42.66	...	0.87	1 of 2 - Sale ²⁵
02/12/19	44.000	638.42	02/11/19	625.68	...	12.74	2 of 2 - Sale ²⁵
	47.000	681.95	Various	668.34	...	13.61	Total of 2 transactions
2 transactions for 02/15/19. Total proceeds and cost reported to the IRS.							
	2.000	26.94	02/14/19	26.00	...	0.94	1 of 2 - Sale ²⁵
02/15/19	80.000	1,077.57	02/14/19	1,040.00	...	37.57	2 of 2 - Sale ²⁵
	82.000	1,104.51	Various	1,066.00	...	38.51	Total of 2 transactions
2 transactions for 02/22/19. Total proceeds and cost reported to the IRS.							
	2.000	27.09	02/21/19	27.10	...	-0.01	1 of 2 - Sale ²⁵
02/22/19	3.000	40.64	02/21/19	39.90	...	0.74	2 of 2 - Sale ²⁵
	5.000	67.73	Various	67.00	...	0.73	Total of 2 transactions
	Security total:	1,854.19		1,801.34	...	52.85	
CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol:							
3 transactions for 02/27/19. Total proceeds and cost reported to the IRS.							
	55.000	153.99	02/27/19	158.95	4.96 W	0.00	1 of 3 - Sale ²⁵
02/27/19	155.000	433.97	02/27/19	447.95	13.98 W	0.00	2 of 3 - Sale ²⁵
	175.000	489.97	02/27/19	505.75	15.78 W	0.00	3 of 3 - Sale ²⁵
	385.000	1,077.93	Various	1,112.65	34.72 W	0.00	Total of 3 transactions
7 transactions for 03/19/19. Total proceeds and cost reported to the IRS.							
	100.000	324.98	03/19/19	354.04	...	-29.06	1 of 7 - Sale ²⁵
	99.000	321.73	03/19/19	350.50	...	-28.77	2 of 7 - Sale ²⁵
	76.000	246.99	03/19/19	269.07	...	-22.08	3 of 7 - Sale ²⁵
	55.000	178.74	03/19/19	194.72	...	-15.98	4 of 7 - Sale ²⁵
	115.000	373.73	03/19/19	385.25	...	-11.52	5 of 7 - Sale ²⁵
	45.000	146.24	03/19/19	154.81	...	-8.57	6 of 7 - Sale ²⁵
03/19/19	10.000	32.50	03/19/19	35.40	...	-2.90	7 of 7 - Sale ²⁵
	500.000	1,624.91	Various	1,743.79	...	-118.88	Total of 7 transactions
4 transactions for 03/19/19. Total proceeds and cost reported to the IRS.							
	100.000	324.98	03/19/19	344.02	19.04 W	0.00	1 of 4 - Sale ²⁵
	10.000	32.50	03/19/19	34.40	1.90 W	0.00	2 of 4 - Sale ²⁵
	55.000	178.74	03/19/19	189.21	10.47 W	0.00	3 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol: (cont'd)							
	175.000	568.71	03/19/19	602.03	33.32 W	0.00	4 of 4 - Sale ²⁵
03/19/19	340.000	1,104.93	Various	1,169.66	64.73 W	0.00	Total of 4 transactions
	Security total:	3,807.77		4,026.10	99.45 W	-118.88	
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
2 transactions for 09/17/19. Total proceeds and cost reported to the IRS.							
	1.000	18.75	09/16/19	18.60	...	0.15	1 of 2 - Sale ²⁵
	52.000	975.15	09/17/19	970.32	...	4.83	2 of 2 - Sale ²⁵
09/17/19	53.000	993.90	Various	988.92	...	4.98	Total of 2 transactions
10/25/19	121.000	1,966.31	09/24/19	2,601.50	...	-635.19	Sale ²⁵
	Security total:	2,960.21		3,590.42	...	-630.21	
CONAGRA BRANDS, INC. / CUSIP: 205887102 / Symbol:							
02/28/19	139.000	3,235.85	02/27/19	3,201.09	...	34.76	Sale ²⁵
CONATUS PHARMACEUTICALS INC. C OMMON STOCK / CUSIP: 20600T108 / Symbol:							
03/15/19	382.000	1,016.78	03/14/19	1,142.18	...	-125.40	Sale ²⁵
CONCHO RESOURCES INC. / CUSIP: 20605P101 / Symbol:							
02/22/19	9.000	959.82	02/21/19	932.85	...	26.97	Sale ²⁵
DYNATRACE, INC. / CUSIP: 268150109 / Symbol:							
3 transactions for 11/13/19. Total proceeds and cost reported to the IRS.							
	2.000	42.58	11/06/19	41.26	...	1.32	1 of 3 - Sale ²⁵
	14.000	298.18	11/06/19	288.82	...	9.36	2 of 3 - Sale ²⁵
	74.000	1,576.15	11/06/19	1,526.61	...	49.54	3 of 3 - Sale ²⁵
11/13/19	90.000	1,916.91	Various	1,856.69	...	60.22	Total of 3 transactions
12/05/19	140.000	3,448.10	12/04/19	3,444.00	...	4.10	Sale ²⁵
12/09/19	59.000	1,439.57	12/06/19	1,423.67	...	15.90	Sale ²⁵
	Security total:	6,804.58		6,724.36	...	80.22	
FITBIT, INC. CLASS A COMMON ST OCK / CUSIP: 33812L102 / Symbol:							
02/26/19	1.000	6.68	02/25/19	6.58	...	0.10	Sale ²⁵
2 transactions for 11/01/19. Total proceeds and cost reported to the IRS.							
	100.000	715.97	11/01/19	720.00	...	-4.03	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol: (cont'd)							
	100.000	719.97	11/01/19	720.00	...	-0.03	2 of 2 - Sale ²⁵
11/01/19	200.000	1,435.94	Various	1,440.00	...	-4.06	Total of 2 transactions
	Security total:	1,442.62		1,446.58	...	-3.96	
FUJIFILM HOLDINGS CORPORATION AMERICAN DEPOSITARY SHARES / CUSIP: 35958N107 / Symbol:							
2 transactions for 01/07/19. Total proceeds and cost reported to the IRS.							
	1.000	40.74	12/27/18	38.06	...	2.68	1 of 2 - Sale ²⁵
	1.000	40.74	01/02/19	38.21	...	2.53	2 of 2 - Sale ²⁵
01/07/19	2.000	81.48	Various	76.27	...	5.21	Total of 2 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
2 transactions for 01/30/19. Total proceeds and cost reported to the IRS.							
	6.000	54.41	01/28/19	54.00	...	0.41	1 of 2 - Sale ²⁵
	5.000	45.34	01/29/19	44.65	...	0.69	2 of 2 - Sale ²⁵
01/30/19	11.000	99.75	Various	98.65	...	1.10	Total of 2 transactions
02/04/19	9.000	91.87	02/04/19	91.80	...	0.07	Sale ²⁵
02/11/19	29.000	287.37	02/06/19	304.50	...	-17.13	Sale ²⁵
	Security total:	478.99		494.95	...	-15.96	
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
02/13/19	1.000	3.48	02/11/19	3.73	0.25 W	0.00	Sale ²⁵
19 transactions for 02/22/19. Total proceeds and cost reported to the IRS.							
	1.000	3.34	02/22/19	3.58	...	-0.24	1 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	2 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	3 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	4 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	5 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	6 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	7 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	8 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	9 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	10 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	11 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	12 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol: (cont'd)							
	1.000	3.34	02/22/19	3.33	...	0.01	13 of 19 - Sale ²⁵
	1.000	3.34	02/22/19	3.33	...	0.01	14 of 19 - Sale ²⁵
	2.000	6.68	02/22/19	6.66	...	0.02	15 of 19 - Sale ²⁵
	2.000	6.68	02/22/19	6.66	...	0.02	16 of 19 - Sale ²⁵
	2.000	6.68	02/22/19	6.66	...	0.02	17 of 19 - Sale ²⁵
	4.000	13.36	02/22/19	13.32	...	0.04	18 of 19 - Sale ²⁵
	338.000	1,128.86	02/22/19	1,125.54	...	3.32	19 of 19 - Sale ²⁵
02/22/19	362.000	1,209.02	Various	1,205.71	...	3.31	Total of 19 transactions
	Security total:	1,212.50		1,209.44	0.25 W	3.31	
HELIOS AND MATHESON ANALYTICS INC. COMMON STOCK / CUSIP: 42327L309 / Symbol:							
7 transactions for 01/02/19. Total proceeds and cost reported to the IRS.							
	20.524	0.31	07/13/18	999.92	...	-999.61	1 of 7 - Sale ²⁵
	0.102	0.00	07/13/18	4.96	...	-4.96	2 of 7 - Zero proceeds / negative sale ²⁵
	0.195	0.00	07/17/18	5.50	...	-5.50	3 of 7 - Zero proceeds / negative sale ²⁵
	0.004	0.00	07/17/18	0.11	...	-0.11	4 of 7 - Zero proceeds / negative sale ²⁵
	0.004	0.00	07/17/18	0.11	...	-0.11	5 of 7 - Zero proceeds / negative sale ²⁵
	0.163	0.01	07/20/18	4.22	...	-4.21	6 of 7 - Sale ²⁵
	0.008	0.00	07/20/18	0.21	...	-0.21	7 of 7 - Zero proceeds / negative sale ²⁵
01/02/19	21.000	0.32	Various	1,015.03	...	-1,014.71	Total of 7 transactions
HYRECAR INC. COMMON STOCK / CUSIP: 44916T107 / Symbol:							
3 transactions for 02/27/19. Total proceeds and cost reported to the IRS.							
	50.000	270.45	02/25/19	260.00	...	10.45	1 of 3 - Sale ²⁵
	83.000	448.96	02/25/19	431.60	...	17.36	2 of 3 - Sale ²⁵
	100.000	540.91	02/25/19	520.00	...	20.91	3 of 3 - Sale ²⁵
02/27/19	233.000	1,260.32	Various	1,211.60	...	48.72	Total of 3 transactions
THE KRAFT HEINZ COMPANY COMMON STOCK / CUSIP: 500754106 / Symbol:							
02/22/19	34.000	1,194.05	02/22/19	1,188.30	...	5.75	Sale ²⁵

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MATTEL, INC. COMMON STOCK / CUSIP: 577081102 / Symbol:							
02/21/19	2.000	27.46	02/20/19	27.20	...	0.26	Sale ²⁵
	2 transactions for 02/27/19. Total proceeds and cost reported to the IRS.						
	14.000	204.38	02/26/19	202.58	...	1.80	1 of 2 - Sale ²⁵
	50.000	729.98	02/26/19	723.50	...	6.48	2 of 2 - Sale ²⁵
02/27/19	64.000	934.36	Various	926.08	...	8.28	Total of 2 transactions
	Security total:	961.82		953.28	...	8.54	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
08/14/19	1.000	136.59	12/26/18	98.05	...	38.54	Sale ²⁵
NEWELL BRANDS INC. COMMON STOC K / CUSIP: 651229106 / Symbol:							
02/25/19	63.000	1,091.13	02/15/19	1,103.76	...	-12.63	Sale ²⁵
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
02/06/19	2.000	300.82	01/28/19	273.00	...	27.82	Sale ²⁵
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
	3 transactions for 01/07/19. Total proceeds and cost reported to the IRS.						
	2.000	133.90	12/26/18	115.19	...	18.71	1 of 3 - Sale ²⁵
	1.000	66.95	01/02/19	63.62	...	3.33	2 of 3 - Sale ²⁵
	10.000	669.47	01/02/19	639.90	...	29.57	3 of 3 - Sale ²⁵
01/07/19	13.000	870.32	Various	818.71	...	51.61	Total of 3 transactions
02/21/19	2.000	162.98	01/02/19	127.98	...	35.00	Sale ²⁵
12/05/19	7.000	843.83	08/28/19	932.19	...	-88.36	Sale ²⁵
	Security total:	1,877.13		1,878.88	...	-1.75	
ONE HORIZON GROUP INC COMMON S TOCK / CUSIP: 68235H304 / Symbol:							
01/10/19	12.000	1.56	01/04/19	1.60	...	-0.04	Sale ²⁵
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
02/11/19	2.000	96.23	02/04/19	94.60	...	1.63	Sale ²⁵
02/14/19	20.000	1,031.97	02/14/19	1,028.00	...	3.97	Sale ²⁵
	Security total:	1,128.20		1,122.60	...	5.60	

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SSR MINING INC. COMMON STOCK / CUSIP: 784730103 / Symbol:							
02/04/19	7.000	96.16	01/30/19	95.13	...	1.03	Sale ²⁵
SOLID BIOSCIENCES INC. COMMON STOCK / CUSIP: 83422E105 / Symbol:							
<i>3 transactions for 03/13/19. Total proceeds and cost reported to the IRS.</i>							
	72.000	701.98	03/04/19	840.24	138.26 W	0.00	1 of 3 - Sale ²⁵
	72.000	701.98	03/04/19	978.50	276.52 W	0.00	2 of 3 - Sale ²⁵
	28.000	272.99	03/04/19	326.76	53.77 W	0.00	3 of 3 - Sale ²⁵
03/13/19	172.000	1,676.95	Various	2,145.50	468.55 W	0.00	Total of 3 transactions
<i>3 transactions for 03/15/19. Total proceeds and cost reported to the IRS.</i>							
	3.000	31.15	03/12/19	28.89	...	2.26	1 of 3 - Sale ²⁵
	75.000	778.81	03/12/19	722.25	...	56.56	2 of 3 - Sale ²⁵
	100.000	1,038.41	03/12/19	963.00	...	75.41	3 of 3 - Sale ²⁵
03/15/19	178.000	1,848.37	Various	1,714.14	...	134.23	Total of 3 transactions
<i>2 transactions for 03/15/19. Total proceeds and cost reported to the IRS.</i>							
	72.000	747.66	03/04/19	1,116.76	369.10 W	0.00	1 of 2 - Sale ²⁵
	28.000	290.76	03/04/19	380.53	89.77 W	0.00	2 of 2 - Sale ²⁵
03/15/19	100.000	1,038.42	Various	1,497.29	458.87 W	0.00	Total of 2 transactions
<i>3 transactions for 03/18/19. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,017.47	03/18/19	994.82	...	22.65	1 of 3 - Sale ²⁵
	100.000	1,018.97	03/18/19	994.82	...	24.15	2 of 3 - Sale ²⁵
	100.000	1,018.97	03/18/19	994.82	...	24.15	3 of 3 - Sale ²⁵
03/18/19	300.000	3,055.41	Various	2,984.46	...	70.95	Total of 3 transactions
<i>2 transactions for 03/18/19. Total proceeds and cost reported to the IRS.</i>							
	72.000	733.66	03/18/19	1,085.37	351.71 W	0.00	1 of 2 - Sale ²⁵
	28.000	285.31	03/18/19	368.32	83.01 W	0.00	2 of 2 - Sale ²⁵
03/18/19	100.000	1,018.97	Various	1,453.69	434.72 W	0.00	Total of 2 transactions
<i>4 transactions for 03/20/19. Total proceeds and cost reported to the IRS.</i>							
	72.000	756.70	03/19/19	1,099.31	...	-342.61	1 of 4 - Sale ²⁵
	28.000	294.27	03/19/19	373.74	...	-79.47	2 of 4 - Sale ²⁵
	98.000	1,029.95	03/19/19	1,017.56	...	12.39	3 of 4 - Sale ²⁵
	200.000	2,101.94	03/19/19	2,076.66	...	25.28	4 of 4 - Sale ²⁵
03/20/19	398.000	4,182.86	Various	4,567.27	...	-384.41	Total of 4 transactions
	Security total:	12,820.98		14,362.35	1,362.14 W	-179.23	

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Robinhood Securities LLC

Account 156202236

Proceeds from Broker and Barter Exchange Transactions

2019 1099-B* OMB No. 1545-0715

(continued)

02/06/2020

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
02/21/19	18.000	983.13	02/20/19	980.10	...	3.03	Sale ²⁵
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
01/02/19	9.000	789.45	12/27/18	748.23	...	41.22	Sale ²⁵
11/21/19	20.000	2,070.94	11/13/19	1,933.60	...	137.34	Sale ²⁵
	Security total:	2,860.39		2,681.83	...	178.56	
VIACOM INC. CLASS B COMMON STO CK / CUSIP: 92553P201 / Symbol:							
<i>2 transactions for 08/14/19. Total proceeds and cost reported to the IRS.</i>							
	21.000	591.58	08/13/19	610.05	...	-18.47	1 of 2 - Sale ²⁵
	6.000	169.02	08/13/19	174.30	...	-5.28	2 of 2 - Sale ²⁵
08/14/19	27.000	760.60	Various	784.35	...	-23.75	Total of 2 transactions
ZILLOW GROUP, INC. CLASS C CAP ITAL STOCK / CUSIP: 98954M200 / Symbol:							
03/04/19	79.000	3,167.85	02/28/19	3,318.00	...	-150.15	Sale ²⁵
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
03/06/19	1.000	5.17	03/05/19	5.16	...	0.01	Sale ²⁵
REWALK ROBOTICS LTD ORDINARY S HARES / CUSIP: M8216Q101 / Symbol:							
02/13/19	2,989.000	1,016.78	02/13/19	1,015.78	...	1.00	Sale ²⁵
MRO 03/15/2019 CALL \$18.00 / CUSIP: / Symbol: MRO 03/15/19 C 18.000							
03/15/19	1.000	0.00	03/13/19	1.00	...	-1.00	Option expiration- long call
Totals :		78,952.92		87,533.28	3,691.59 W	-4,888.77	

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Robinhood Securities LLC

Account 156202236

Detail for Dividends and Distributions

2019

02/06/2020

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/14/19	0.46	Qualified dividend	
			06/13/19	0.46	Qualified dividend	
			09/12/19	0.46	Qualified dividend	
				1.38	Total Dividends & distributions	
				1.38	Total Dividends & distributions	

Robinhood Securities LLC

Account 156202236

Detail for Miscellaneous Income

2019

02/06/2020

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
GROUPON, INC. COMMON STOCK	399473107	02/11/19	3.73	Other income	
ZYNGA INC. CLASS A COMMON STOC K	98986T108	03/05/19	5.16	Other income	
			8.89	Total Miscellaneous income	

Robinhood Securities LLC

Account 156202236

Fees and Expenses

2019

02/06/2020

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		08/26/19	-5.00	Margin interest paid	
			-5.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required.

Line 1b. Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See the Form 1040 instructions for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See *How To Report* in the Instructions for Schedule D (Form 1040). But, if no amount is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 rather than Schedule D. See the Form 1040 instructions.

Line 2b. Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-- in the instructions for Schedule D (Form 1040).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is reducible to you as capital gain. See Pub. 550.

Line 4. See "Backup Withholding" section.

Line 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included on line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040. See the Form 1040 instructions.

Lines 8. This line should be left blank if a RIC reported the foreign tax shown on line 7.

Line 9 and 10. Shows cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See *Line 4* above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included on line 11. See the instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for your Schedule D and/or Form 8949.

Column 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Column 1b. The column may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Column 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Column 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This column does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the Instructions for Schedule D.

Column 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If "NONCOVERED" appears on line 5, this column may be blank. See the Instructions for Form 8949, Instructions for Schedule D, or Pub. 550 for details.

Column 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

Column 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040) instructions, Instructions for Form 8949, and Pub. 550. If "NONCOVERED" appears on line 5, this column may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, line 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. See "Backup Withholding" section.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Column 6. If the exercise of a non-compensatory option resulted in a sale of a security, indicates whether the amount in column 1d was adjusted for premium.

Column 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in column 1d.

See the Form 8949 and Schedule D instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis on line 1e has been reported to the IRS and either the short-term or the long-term gain or loss on line 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D as you may be able to report your transaction directly on Schedule D. If the Ordinary box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. State income tax withheld reporting lines.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.