

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 160552840	Statement Date: 02/14/2021 Document ID: 5122 M18 4XEH	2020
	Sushruth Radhakrishna 1799 FM 528 Road 13202 Webster, TX 77598 RECIPIENT'S TIN: XXX-XX-0933		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 5.69</p> <p>1b- Qualified dividends 3.41</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 0.35</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p style="text-align: center;">MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p style="text-align: center;">SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	119,657.44	126,825.05	0.00	7,642.91	475.30
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	119,657.44	126,825.05	0.00	7,642.91	475.30
Long	D (basis reported to the IRS)	14.32	9.81	0.00	0.00	4.51
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	14.32	9.81	0.00	0.00	4.51
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	119,671.76	126,834.86	0.00	7,642.91	479.81

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	1.64
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	10.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADT INC. / CUSIP: 00090Q103 / Symbol:							
08/04/20	0.672	8.79	08/03/20	9.76	...	-0.97	Sale ²⁵
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
5 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	210.000	88.68	07/29/20	124.64	...	-35.96	1 of 5 - Sale ²⁵
	90.000	38.00	07/29/20	53.42	...	-15.42	2 of 5 - Sale ²⁵
	30.000	12.67	07/29/20	17.81	...	-5.14	3 of 5 - Sale ²⁵
	2.000	0.84	07/29/20	1.19	...	-0.35	4 of 5 - Sale ²⁵
	50.000	21.12	08/05/20	25.00	...	-3.88	5 of 5 - Sale ²⁵
08/06/20	382.000	161.31	Various	222.06	...	-60.75	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	1,325.30	02/19/20	1,525.63	...	-200.33	1 of 2 - Sale ²⁵
	1.000	1,325.30	03/25/20	1,092.64	...	232.66	2 of 2 - Sale ²⁵
05/01/20	2.000	2,650.60	Various	2,618.27	...	32.33	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/28/20	45.000	512.76	04/27/20	462.56	...	50.20	Sale ²⁵
05/27/20	150.000	1,807.44	05/12/20	1,489.22	...	318.22	Sale ²⁵
	Security total:	2,320.20		1,951.78	...	368.42	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	08/24/20	0.01	...	0.00	1 of 5 - Sale ²⁵
	0.599	75.89	08/24/20	75.67	...	0.22	2 of 5 - Sale ²⁵
	0.911	115.40	08/24/20	115.06	...	0.34	3 of 5 - Sale ²⁵
	8.000	1,013.89	08/24/20	1,010.90	...	2.99	4 of 5 - Sale ²⁵
	19.491	2,470.18	08/27/20	2,462.10	...	8.08	5 of 5 - Sale ²⁵
09/03/20	29.000	3,675.37	Various	3,663.74	...	11.63	Total of 5 transactions
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.247	30.49	08/27/20	31.15	0.66 W	0.00	1 of 5 - Sale ²⁵
	0.053	6.61	08/27/20	6.75	0.14 W	0.00	2 of 5 - Sale ²⁵
	19.700	2,434.68	09/03/20	2,492.04	47.14 W	-10.22	3 of 5 - Sale ²⁵
	0.053	6.61	09/03/20	6.90	0.29 W	0.00	4 of 5 - Sale ²⁵
	0.247	30.48	09/03/20	31.86	1.38 W	0.00	5 of 5 - Sale ²⁵
09/03/20	20.300	2,508.87	Various	2,568.70	49.61 W	-10.22	Total of 5 transactions
5 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	15.998	1,780.85	09/04/20	1,986.82	...	-205.97	1 of 5 - Sale ²⁵
	0.247	27.45	09/04/20	31.29	...	-3.84	2 of 5 - Sale ²⁵
	0.100	11.13	09/04/20	12.41	...	-1.28	3 of 5 - Sale ²⁵
	0.093	10.33	09/04/20	11.52	...	-1.19	4 of 5 - Sale ²⁵
	0.053	5.95	09/04/20	6.77	...	-0.82	5 of 5 - Sale ²⁵
09/08/20	16.491	1,835.71	Various	2,048.81	...	-213.10	Total of 5 transactions
	Security total:	8,019.95		8,281.25	49.61 W	-211.69	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
03/25/20	50.000	1,081.47	03/24/20	1,034.36	...	47.11	Sale ²⁵
06/09/20	21.000	597.22	06/08/20	595.14	...	2.08	Sale ²⁵
	Security total:	1,678.69		1,629.50	...	49.19	
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
<i>5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	5.999	173.97	07/28/20	177.51	...	-3.54	1 of 5 - Sale ²⁵
	0.183	5.30	07/28/20	5.41	...	-0.11	2 of 5 - Sale ²⁵
	0.862	25.05	07/30/20	24.75	...	0.30	3 of 5 - Sale ²⁵
	0.848	24.58	07/30/20	23.74	...	0.84	4 of 5 - Sale ²⁵
	16.138	468.00	07/30/20	452.12	...	15.88	5 of 5 - Sale ²⁵
08/19/20	24.029	696.90	Various	683.53	...	13.37	Total of 5 transactions
08/19/20	16.832	488.12	07/27/20	500.00	0.61 W	-11.27	Sale ²⁵
	Security total:	1,185.02		1,183.53	0.61 W	2.10	
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	363.55	06/16/20	254.20	...	109.35	1 of 2 - Sale ²⁵
	400.000	384.35	06/16/20	254.20	...	130.15	2 of 2 - Sale ²⁵
06/18/20	800.000	747.90	Various	508.40	...	239.50	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
04/07/20	3.000	484.68	03/27/20	498.14	...	-13.46	Sale ²⁵
06/10/20	1.000	206.91	03/27/20	166.04	...	40.87	Sale ²⁵
	Security total:	691.59		664.18	...	27.41	
CARDLYTICS, INC. COMMON STOCK / CUSIP: 14161W105 / Symbol:							
08/10/20	18.000	1,241.97	08/05/20	1,129.83	...	112.14	Sale ²⁵
08/24/20	0.815	64.13	08/05/20	51.17	...	12.96	Sale ²⁵
	Security total:	1,306.10		1,181.00	...	125.10	
CELLDEX THERAPEUTICS, INC. / CUSIP: 15117B202 / Symbol:							
03/30/20	14.000	24.92	03/27/20	25.88	...	-0.96	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	0.242	0.27	07/24/20	0.26	...	0.01	1 of 2 - Sale ²⁵
	112.491	124.94	07/24/20	123.74	...	1.20	2 of 2 - Sale ²⁵
07/28/20	112.733	125.21	Various	124.00	...	1.21	Total of 2 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	11.000	296.00	04/29/20	290.29	...	5.71	1 of 2 - Sale ²⁵
	20.000	538.19	05/12/20	433.95	...	104.24	2 of 2 - Sale ²⁵
05/27/20	31.000	834.19	Various	724.24	...	109.95	Total of 2 transactions
DIREXION DAILY AEROSPACE & DEF ENSE BULL 3X SHARES / CUSIP: 25460E661 / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	0.159	1.98	07/08/20	1.84	...	0.14	1 of 2 - Sale ²⁵
	43.000	534.94	07/08/20	498.16	...	36.78	2 of 2 - Sale ²⁵
07/24/20	43.159	536.92	Various	500.00	...	36.92	Total of 2 transactions
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	0.530	17.64	06/30/20	17.31	...	0.33	1 of 3 - Sale ²⁵
	1.000	33.29	06/30/20	32.69	...	0.60	2 of 3 - Sale ²⁵
	4.440	147.87	07/28/20	143.02	...	4.85	3 of 3 - Sale ²⁵
08/03/20	5.969	198.80	Various	193.02	...	5.78	Total of 3 transactions
5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	0.217	7.21	06/22/20	8.20	0.99 W	0.00	1 of 5 - Sale ²⁵
	0.190	6.31	06/22/20	7.18	0.87 W	0.00	2 of 5 - Sale ²⁵
	25.967	864.81	06/22/20	984.62	119.81 W	0.00	3 of 5 - Sale ²⁵
	14.658	488.17	06/24/20	500.00	11.83 W	0.00	4 of 5 - Sale ²⁵
	0.217	7.21	07/28/20	7.97	0.76 W	0.00	5 of 5 - Sale ²⁵
08/03/20	41.248	1,373.71	Various	1,507.97	134.26 W	0.00	Total of 5 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	14.658	505.69	08/03/20	505.33	...	0.36	1 of 2 - Sale ²⁵
	4.969	171.42	08/03/20	167.30	...	4.12	2 of 2 - Sale ²⁵
08/04/20	19.627	677.11	Various	672.63	...	4.48	Total of 2 transactions
3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	0.217	7.47	08/03/20	8.05	0.58 W	0.00	1 of 3 - Sale ²⁵
	0.190	6.54	08/03/20	7.25	0.71 W	0.00	2 of 3 - Sale ²⁵
08/04/20	25.967	895.84	08/03/20	994.07	98.23 W	0.00	3 of 3 - Sale ²⁵
	26.373	909.85	Various	1,009.37	99.52 W	0.00	Total of 3 transactions
08/05/20	0.706	25.10	08/03/20	23.78	...	1.32	Sale ²⁵
09/02/20	53.372	1,708.68	09/01/20	1,673.20	...	35.48	Sale ²⁵
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	0.217	6.94	09/01/20	7.37	0.43 W	0.00	1 of 4 - Sale ²⁵
	0.190	6.08	09/01/20	6.65	0.57 W	0.00	2 of 4 - Sale ²⁵
	0.339	10.85	09/01/20	11.90	1.05 W	0.00	3 of 4 - Sale ²⁵
	25.628	820.49	09/01/20	900.40	79.91 W	0.00	4 of 4 - Sale ²⁵
09/02/20	26.373	844.36	Various	926.32	81.96 W	0.00	Total of 4 transactions
6 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	0.217	5.20	09/02/20	7.14	1.94 W	0.00	1 of 6 - Sale ²⁵
	0.190	4.55	09/02/20	6.44	1.89 W	0.00	2 of 6 - Sale ²⁵
	0.339	8.13	09/02/20	11.54	3.41 W	0.00	3 of 6 - Sale ²⁵
	0.255	6.12	09/02/20	8.70	2.58 W	0.00	4 of 6 - Sale ²⁵
	25.373	608.94	09/02/20	864.92	255.98 W	0.00	5 of 6 - Sale ²⁵
	53.627	1,287.00	09/02/20	1,660.81	373.81 W	0.00	6 of 6 - Sale ²⁵
09/10/20	80.000	1,919.94	Various	2,559.55	639.61 W	0.00	Total of 6 transactions
8 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	0.724	18.81	09/02/20	22.41	3.60 W	0.00	1 of 8 - Sale ²⁵
	34.903	907.46	09/14/20	1,084.00	2.71 W	-173.83	2 of 8 - Sale ²⁵
	0.217	5.63	09/14/20	7.15	1.52 W	0.00	3 of 8 - Sale ²⁵
	0.076	1.97	09/14/20	2.59	0.62 W	0.00	4 of 8 - Sale ²⁵
	0.114	2.96	09/14/20	3.87	0.91 W	0.00	5 of 8 - Sale ²⁵
	0.255	6.63	09/14/20	8.73	2.10 W	0.00	6 of 8 - Sale ²⁵
	0.339	8.81	09/14/20	11.57	2.76 W	0.00	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
	25.373	659.68	09/14/20	867.13	207.45 W	0.00	8 of 8 - Sale ²⁵
09/17/20	62.000	1,611.95	Various	2,007.45	221.67 W	-173.83	Total of 8 transactions
	10 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	0.999	23.64	09/14/20	31.02	7.38 W	0.00	1 of 10 - Sale ²⁵
	0.217	5.13	09/25/20	6.29	1.16 W	0.00	2 of 10 - Sale ²⁵
	0.076	1.79	09/25/20	2.29	0.50 W	0.00	3 of 10 - Sale ²⁵
	0.114	2.69	09/25/20	3.42	0.73 W	0.00	4 of 10 - Sale ²⁵
	0.255	6.04	09/25/20	7.73	1.69 W	0.00	5 of 10 - Sale ²⁵
	0.339	8.02	09/25/20	10.23	2.21 W	0.00	6 of 10 - Sale ²⁵
	25.373	600.70	09/25/20	766.85	166.15 W	0.00	7 of 10 - Sale ²⁵
	0.724	17.13	09/25/20	19.55	2.42 W	0.00	8 of 10 - Sale ²⁵
	0.536	12.69	09/25/20	14.53	1.84 W	0.00	9 of 10 - Sale ²⁵
	17.725	419.63	09/25/20	514.33	94.70 W	0.00	10 of 10 - Sale ²⁵
10/23/20	46.356	1,097.46	Various	1,376.24	278.78 W	0.00	Total of 10 transactions
	12 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	25.373	559.53	10/27/20	703.93	...	-144.40	1 of 12 - Sale ²⁵
	17.725	390.86	10/27/20	470.38	...	-79.52	2 of 12 - Sale ²⁵
	0.976	21.53	10/27/20	27.84	...	-6.31	3 of 12 - Sale ²⁵
	0.339	7.47	10/27/20	9.39	...	-1.92	4 of 12 - Sale ²⁵
	0.724	15.96	10/27/20	17.76	...	-1.80	5 of 12 - Sale ²⁵
	0.255	5.63	10/27/20	7.10	...	-1.47	6 of 12 - Sale ²⁵
	0.536	11.82	10/27/20	13.20	...	-1.38	7 of 12 - Sale ²⁵
	0.217	4.78	10/27/20	5.75	...	-0.97	8 of 12 - Sale ²⁵
	0.114	2.51	10/27/20	3.14	...	-0.63	9 of 12 - Sale ²⁵
	0.076	1.67	10/27/20	2.11	...	-0.44	10 of 12 - Sale ²⁵
	0.022	0.49	10/27/20	0.63	...	-0.14	11 of 12 - Sale ²⁵
	5.452	120.22	10/27/20	115.55	...	4.67	12 of 12 - Sale ²⁵
11/03/20	51.808	1,142.47	Various	1,376.78	...	-234.31	Total of 12 transactions
	Security total:	11,509.43		13,326.31	1,455.80 W	-361.08	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
03/18/20	10.000	761.58	02/19/20	915.16	153.58 W	0.00	Sale ²⁵
	2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	5.000	414.74	03/17/20	423.99	...	-9.25	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
03/19/20	5.000	414.74	03/17/20	423.54	...	-8.80	2 of 2 - Sale ²⁵
	10.000	829.48	Various	847.53	...	-18.05	Total of 2 transactions
	3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	0.168	24.78	06/09/20	23.80	...	0.98	1 of 3 - Sale ²⁵
	0.525	77.53	06/09/20	74.45	...	3.08	2 of 3 - Sale ²⁵
	2.832	418.33	06/09/20	401.75	...	16.58	3 of 3 - Sale ²⁵
06/10/20	3.525	520.64	Various	500.00	...	20.64	Total of 3 transactions
	5 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	0.141	29.33	06/24/20	23.40	...	5.93	1 of 5 - Sale ²⁵
	10.000	2,079.89	06/24/20	1,659.60	...	420.29	2 of 5 - Sale ²⁵
	5.000	1,039.95	07/13/20	975.00	...	64.95	3 of 5 - Sale ²⁵
	1.859	386.67	07/24/20	349.50	...	37.17	4 of 5 - Sale ²⁵
	2.000	415.98	07/24/20	376.00	...	39.98	5 of 5 - Sale ²⁵
07/29/20	19.000	3,951.82	Various	3,383.50	...	568.32	Total of 5 transactions
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	0.141	30.51	07/24/20	26.50	...	4.01	1 of 3 - Sale ²⁵
	1.000	216.50	07/29/20	208.00	...	8.50	2 of 3 - Sale ²⁵
	17.000	3,680.41	07/29/20	3,536.00	...	144.41	3 of 3 - Sale ²⁵
07/30/20	18.141	3,927.42	Various	3,770.50	...	156.92	Total of 3 transactions
	4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	4.929	1,034.97	08/07/20	1,000.00	...	34.97	1 of 4 - Sale ²⁵
	0.368	77.26	08/10/20	71.76	...	5.50	2 of 4 - Sale ²⁵
	5.510	1,156.97	08/10/20	1,074.96	...	82.01	3 of 4 - Sale ²⁵
	8.988	1,887.49	08/10/20	1,784.30	...	103.19	4 of 4 - Sale ²⁵
08/27/20	19.794	4,156.69	Various	3,931.02	...	225.67	Total of 4 transactions
	3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	210.00	07/31/20	212.82	2.82 W	0.00	1 of 3 - Sale ²⁵
	13.000	2,729.94	08/06/20	2,808.00	78.06 W	0.00	2 of 3 - Sale ²⁵
	2.206	463.20	08/07/20	466.87	3.67 W	0.00	3 of 3 - Sale ²⁵
08/27/20	16.206	3,403.14	Various	3,487.69	84.55 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
4 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	0.488	117.16	08/10/20	96.63	...	20.53	1 of 4 - Sale ²⁵
	0.051	12.33	08/27/20	11.05	...	1.28	2 of 4 - Sale ²⁵
	0.460	110.51	08/27/20	99.14	...	11.37	3 of 4 - Sale ²⁵
	11.000	2,639.93	08/27/20	2,403.44	...	236.49	4 of 4 - Sale ²⁵
09/01/20	12.000	2,879.93	Various	2,610.26	...	269.67	Total of 4 transactions
09/03/20	0.535	123.06	08/27/20	116.90	...	6.16	Sale ²⁵
7 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.007	1.53	09/03/20	1.67	0.14 W	0.00	1 of 7 - Sale ²⁵
	1.458	335.41	09/03/20	366.83	31.42 W	0.00	2 of 7 - Sale ²⁵
	2.206	507.31	09/03/20	545.27	37.96 W	0.00	3 of 7 - Sale ²⁵
	15.794	3,632.58	09/03/20	3,878.08	245.50 W	0.00	4 of 7 - Sale ²⁵
	0.361	81.21	09/03/20	96.33	15.12 W	0.00	5 of 7 - Sale ²⁵
	0.007	1.49	09/03/20	1.77	0.28 W	0.00	6 of 7 - Sale ²⁵
	0.531	119.58	09/03/20	130.43	10.85 W	0.00	7 of 7 - Sale ²⁵
09/03/20	20.364	4,679.11	Various	5,020.38	341.27 W	0.00	Total of 7 transactions
4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.098	220.61	09/03/20	273.89	53.28 W	0.00	1 of 4 - Sale ²⁵
	2.206	443.37	09/03/20	540.87	97.50 W	0.00	2 of 4 - Sale ²⁵
	3.697	743.06	09/03/20	900.31	157.25 W	0.00	3 of 4 - Sale ²⁵
	3.000	603.01	09/03/20	730.63	127.62 W	0.00	4 of 4 - Sale ²⁵
09/08/20	10.000	2,010.05	Various	2,445.70	435.65 W	0.00	Total of 4 transactions
9 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	0.004	0.79	09/10/20	0.97	0.18 W	0.00	1 of 9 - Sale ²⁵
	0.007	1.37	09/10/20	1.64	0.27 W	0.00	2 of 9 - Sale ²⁵
	0.361	74.69	09/10/20	89.09	14.40 W	0.00	3 of 9 - Sale ²⁵
	0.531	109.97	09/10/20	119.75	9.78 W	0.00	4 of 9 - Sale ²⁵
	9.098	1,883.35	09/10/20	2,006.40	123.05 W	0.00	5 of 9 - Sale ²⁵
	1.094	226.42	09/14/20	275.59	49.17 W	0.00	6 of 9 - Sale ²⁵
	2.206	456.63	09/14/20	546.24	89.61 W	0.00	7 of 9 - Sale ²⁵
	2.004	414.82	09/14/20	492.90	78.08 W	0.00	8 of 9 - Sale ²⁵
	3.697	765.28	09/14/20	909.31	144.03 W	0.00	9 of 9 - Sale ²⁵
09/24/20	19.000	3,933.32	Various	4,441.89	508.57 W	0.00	Total of 9 transactions

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Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
10/28/20	1.640	358.11	09/24/20	333.86	...	24.25	Sale ²⁵
	12 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	0.831	181.47	09/14/20	204.39	22.92 W	0.00	1 of 12 - Sale ²⁵
	0.165	36.09	09/24/20	36.69	0.23 W	-0.37	2 of 12 - Sale ²⁵
	0.004	0.84	09/24/20	0.96	0.12 W	0.00	3 of 12 - Sale ²⁵
	0.007	1.45	09/24/20	1.63	0.18 W	0.00	4 of 12 - Sale ²⁵
	0.361	78.80	09/24/20	88.36	9.56 W	0.00	5 of 12 - Sale ²⁵
	0.366	79.92	09/24/20	81.76	1.84 W	0.00	6 of 12 - Sale ²⁵
	9.098	1,986.91	09/24/20	1,988.05	1.14 W	0.00	7 of 12 - Sale ²⁵
	0.165	36.09	09/24/20	40.91	4.82 W	0.00	8 of 12 - Sale ²⁵
	1.094	238.87	09/24/20	271.86	32.99 W	0.00	9 of 12 - Sale ²⁵
	2.206	481.74	09/24/20	538.72	56.98 W	0.00	10 of 12 - Sale ²⁵
	2.004	437.64	09/24/20	486.08	48.44 W	0.00	11 of 12 - Sale ²⁵
	3.697	807.37	09/24/20	896.72	89.35 W	0.00	12 of 12 - Sale ²⁵
10/28/20	19.996	4,367.19	Various	4,636.13	268.57 W	-0.37	Total of 12 transactions
	12 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	3.697	693.66	11/09/20	832.58	...	-138.92	1 of 12 - Sale ²⁵
	9.098	1,707.09	11/09/20	1,830.23	...	-123.14	2 of 12 - Sale ²⁵
	2.206	413.89	11/09/20	500.45	...	-86.56	3 of 12 - Sale ²⁵
	2.004	376.00	11/09/20	451.31	...	-75.31	4 of 12 - Sale ²⁵
	1.094	205.22	11/09/20	252.88	...	-47.66	5 of 12 - Sale ²⁵
	0.831	155.91	11/09/20	189.98	...	-34.07	6 of 12 - Sale ²⁵
	0.361	67.70	11/09/20	82.10	...	-14.40	7 of 12 - Sale ²⁵
	0.165	31.01	11/09/20	38.05	...	-7.04	8 of 12 - Sale ²⁵
	0.366	68.67	11/09/20	75.42	...	-6.75	9 of 12 - Sale ²⁵
	0.064	12.07	11/09/20	13.17	...	-1.10	10 of 12 - Sale ²⁵
	0.007	1.25	11/09/20	1.51	...	-0.26	11 of 12 - Sale ²⁵
	0.004	0.72	11/09/20	0.89	...	-0.17	12 of 12 - Sale ²⁵
11/10/20	19.895	3,733.19	Various	4,268.57	...	-535.38	Total of 12 transactions
	Security total:	39,634.73		40,709.09	1,792.19 W	717.83	

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
4 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	1.000	22.15	02/10/20	18.59	...	3.56	1 of 4 - Sale ²⁵
	1.000	22.15	02/13/20	18.63	...	3.52	2 of 4 - Sale ²⁵
	25.000	553.73	02/19/20	461.44	...	92.29	3 of 4 - Sale ²⁵
	4.000	88.60	02/20/20	74.39	...	14.21	4 of 4 - Sale ²⁵
02/21/20	31.000	686.63	Various	573.05	...	113.58	Total of 4 transactions
EQUINOX GOLD CORP. / CUSIP: 29446Y502 / Symbol:							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	4.000	35.78	02/21/20	39.12	...	-3.34	1 of 2 - Sale ²⁵
	1.000	8.95	03/17/20	5.96	...	2.99	2 of 2 - Sale ²⁵
05/05/20	5.000	44.73	Various	45.08	...	-0.35	Total of 2 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	159.24	02/10/20	213.00	53.76 W	0.00	1 of 2 - Sale ²⁵
	1.000	159.24	02/11/20	207.49	48.25 W	0.00	2 of 2 - Sale ²⁵
03/30/20	2.000	318.48	Various	420.49	102.01 W	0.00	Total of 2 transactions
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	170.50	03/20/20	207.33	...	-36.83	1 of 2 - Sale ²⁵
	1.000	170.50	03/20/20	201.82	...	-31.32	2 of 2 - Sale ²⁵
04/07/20	2.000	341.00	Various	409.15	...	-68.15	Total of 2 transactions
	Security total:	659.48		829.64	102.01 W	-68.15	
FITBIT, INC. CLASS A COMMON ST OCK / CUSIP: 33812L102 / Symbol:							
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	30.000	182.40	02/13/20	195.23	...	-12.83	1 of 2 - Sale ²⁵
	2.000	12.16	02/20/20	12.95	...	-0.79	2 of 2 - Sale ²⁵
03/19/20	32.000	194.56	Various	208.18	...	-13.62	Total of 2 transactions

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
<i>4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	0.239	1.10	08/04/20	1.11	...	-0.01	1 of 4 - Sale ²⁵
	5.000	23.25	08/04/20	23.25	...	0.00	2 of 4 - Sale ²⁵
	0.409	1.90	08/04/20	1.89	...	0.01	3 of 4 - Sale ²⁵
	71.591	332.89	08/04/20	332.54	...	0.35	4 of 4 - Sale ²⁵
08/05/20	77.239	359.14	Various	358.79	...	0.35	Total of 4 transactions
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
03/20/20	228.000	100.34	03/19/20	100.53	...	-0.19	Sale ²⁵
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
06/08/20	181.000	628.05	06/08/20	626.26	...	1.79	Sale ²⁵
HOUGHTON MIFFLIN HARCOURT COMP ANY COMMON STOCK / CUSIP: 44157R109 / Symbol:							
<i>4 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	0.826	2.16	07/28/20	1.89	...	0.27	1 of 4 - Sale ²⁵
	4.321	11.69	07/28/20	9.87	...	1.82	2 of 4 - Sale ²⁵
	64.000	167.99	07/28/20	146.24	...	21.75	3 of 4 - Sale ²⁵
	55.679	150.60	07/28/20	125.00	...	25.60	4 of 4 - Sale ²⁵
07/28/20	124.826	332.44	Various	283.00	...	49.44	Total of 4 transactions
INOVIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45773H201 / Symbol:							
<i>3 transactions for 02/27/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	9.54	02/14/20	8.62	...	0.92	1 of 3 - Sale ²⁵
	2.000	9.54	02/19/20	7.85	...	1.69	2 of 3 - Sale ²⁵
	5.000	23.85	02/19/20	19.09	...	4.76	3 of 3 - Sale ²⁵
02/27/20	9.000	42.93	Various	35.56	...	7.37	Total of 3 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
<i>3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	130.48	04/22/20	116.50	...	13.98	1 of 3 - Sale ²⁵
	400.000	521.94	04/22/20	467.00	...	54.94	2 of 3 - Sale ²⁵
	12.000	15.66	05/07/20	13.14	...	2.52	3 of 3 - Sale ²⁵
05/14/20	512.000	668.08	Various	596.64	...	71.44	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol: (cont'd)							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	18.000	30.78	06/02/20	28.62	...	2.16	1 of 3 - Sale ²⁵
	35.000	59.84	06/02/20	55.65	...	4.19	2 of 3 - Sale ²⁵
	82.000	140.21	06/02/20	130.38	...	9.83	3 of 3 - Sale ²⁵
06/11/20	135.000	230.83	Various	214.65	...	16.18	Total of 3 transactions
	Security total:	898.91		811.29	...	87.62	
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	2.000	99.04	07/24/20	100.12	...	-1.08	1 of 3 - Sale ²⁵
	1.000	49.52	07/24/20	50.06	...	-0.54	2 of 3 - Sale ²⁵
	0.196	9.71	07/24/20	9.82	...	-0.11	3 of 3 - Sale ²⁵
07/28/20	3.196	158.27	Various	160.00	...	-1.73	Total of 3 transactions
IQIYI, INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 46267X108 / Symbol:							
06/22/20	150.000	3,504.28	05/27/20	2,387.72	...	1,116.56	Sale ²⁵
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	95.939	2,125.17	06/22/20	2,252.64	...	-127.47	1 of 2 - Sale ²⁵
	0.058	1.28	06/22/20	1.36	...	-0.08	2 of 2 - Sale ²⁵
06/24/20	95.997	2,126.45	Various	2,254.00	...	-127.55	Total of 2 transactions
	Security total:	5,630.73		4,641.72	...	989.01	
ISHARES SILVER TRUST / CUSIP: 46428Q109 / Symbol: / Note: CL							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	0.504	13.75	08/06/20	13.32	...	0.43	1 of 2 - Sale ²⁵
	65.000	1,770.55	08/06/20	1,717.63	...	52.92	2 of 2 - Sale ²⁵
08/10/20	65.504	1,784.30	Various	1,730.95	...	53.35	3- Proceeds from collectibles [X] Total of 2 transactions
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	08/26/20	0.01	...	0.00	1 of 5 - Sale ²⁵
	0.571	45.94	08/26/20	45.40	...	0.54	2 of 5 - Sale ²⁵
	12.000	965.98	08/26/20	954.59	...	11.39	3 of 5 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol: (cont'd)							
	0.326	26.27	08/27/20	26.04	...	0.23	4 of 5 - Sale ²⁵
	30.103	2,423.22	08/27/20	2,402.80	...	20.42	5 of 5 - Sale ²⁵
09/03/20	43.000	3,461.42	Various	3,428.84	...	32.58	Total of 5 transactions
09/08/20	0.891	67.40	08/27/20	71.16	...	-3.76	Sale ²⁵
	Security total:	3,528.82		3,500.00	...	28.82	
LAKELAND INDUSTRIES, INC. COMM ON STOCK / CUSIP: 511795106 / Symbol:							
	2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	10.000	167.50	03/19/20	178.80	...	-11.30	1 of 2 - Sale ²⁵
	10.000	167.50	03/26/20	154.40	...	13.10	2 of 2 - Sale ²⁵
03/30/20	20.000	335.00	Various	333.20	...	1.80	Total of 2 transactions
MARATHON PETROLEUM CORPORATION / CUSIP: 56585A102 / Symbol:							
	2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	0.331	12.78	06/17/20	12.55	...	0.23	1 of 2 - Sale ²⁵
	6.621	255.58	06/17/20	251.04	...	4.54	2 of 2 - Sale ²⁵
06/18/20	6.952	268.36	Various	263.59	...	4.77	Total of 2 transactions
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	0.027	0.06	06/15/20	0.06	...	0.00	1 of 2 - Sale ²⁵
	0.762	1.74	06/15/20	1.67	...	0.07	2 of 2 - Sale ²⁵
06/16/20	0.790	1.80	Various	1.73	...	0.07	Total of 2 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
03/30/20	4.000	616.57	03/19/20	568.16	...	48.41	Sale ²⁵
	2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	5.000	770.70	02/10/20	919.85	149.15 W	0.00	1 of 2 - Sale ²⁵
	3.000	462.42	02/26/20	518.49	56.07 W	0.00	2 of 2 - Sale ²⁵
03/30/20	8.000	1,233.12	Various	1,438.34	205.22 W	0.00	Total of 2 transactions
	5 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	1.000	170.00	03/19/20	171.87	...	-1.87	1 of 5 - Sale ²⁵
	2.000	339.99	03/30/20	373.44	...	-33.45	2 of 5 - Sale ²⁵
	2.000	339.99	03/30/20	373.44	...	-33.45	3 of 5 - Sale ²⁵

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(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	3.000	509.99	03/30/20	526.74	...	-16.75	4 of 5 - Sale ²⁵
	6.000	1,019.97	03/30/20	941.34	...	78.63	5 of 5 - Sale ²⁵
04/07/20	14.000	2,379.94	Various	2,386.83	...	-6.89	Total of 5 transactions
10/28/20	9.963	2,039.66	09/24/20	2,000.00	...	39.66	Sale ²⁵
	Security total:	6,269.29		6,393.33	205.22 W	81.18	
THE MOSAIC COMPANY / CUSIP: 61945C103 / Symbol:							
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	4.000	48.88	06/19/20	53.03	...	-4.15	1 of 3 - Sale ²⁵
	0.406	4.97	06/19/20	5.39	...	-0.42	2 of 3 - Sale ²⁵
	0.223	2.73	06/19/20	2.96	...	-0.23	3 of 3 - Sale ²⁵
06/24/20	4.630	56.58	Various	61.38	...	-4.80	Total of 3 transactions
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	0.299	19.81	06/09/20	21.72	...	-1.91	1 of 3 - Sale ²⁵
	0.210	13.91	06/09/20	15.33	...	-1.42	2 of 3 - Sale ²⁵
	0.022	1.45	06/09/20	1.60	...	-0.15	3 of 3 - Sale ²⁵
06/16/20	0.531	35.17	Various	38.65	...	-3.48	Total of 3 transactions
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	91.000	191.09	03/06/20	254.80	...	-63.71	1 of 3 - Sale ²⁵
	9.000	18.90	03/06/20	25.20	...	-6.30	2 of 3 - Sale ²⁵
	100.000	209.99	03/20/20	139.92	...	70.07	3 of 3 - Sale ²⁵
04/22/20	200.000	419.98	Various	419.92	...	0.06	Total of 3 transactions
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
03/23/20	100.000	60.74	03/19/20	100.00	...	-39.26	Sale ²⁵
QUALCOMM INCORPORATED COMMON S TOCK / CUSIP: 747525103 / Symbol:							
03/26/20	1.000	68.00	02/04/20	89.23	21.23 W	0.00	Sale ²⁵
05/26/20	1.000	80.05	03/20/20	86.48	...	-6.43	Sale ²⁵
	Security total:	148.05		175.71	21.23 W	-6.43	

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RAMBUS INC. COMMON STOCK / CUSIP: 750917106 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	31.000	485.13	02/19/20	508.35	...	-23.22	1 of 2 - Sale ²⁵
	29.000	453.83	02/26/20	425.37	...	28.46	2 of 2 - Sale ²⁵
05/28/20	60.000	938.96	Various	933.72	...	5.24	Total of 2 transactions
RAND CAPITAL CORPORATION COMMO N STOCK (\$0.10 PAR VALUE) / CUSIP: 752185108 / Symbol:							
04/01/20	50.000	117.50	03/20/20	148.25	...	-30.75	Sale ²⁵
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
03/25/20	122.000	54.61	03/24/20	44.77	...	9.84	Sale ²⁵
RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol:							
5 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	140.000	51.11	03/23/20	55.85	...	-4.74	1 of 5 - Sale ²⁵
	14.000	5.11	03/23/20	5.46	...	-0.35	2 of 5 - Sale ²⁵
	1.000	0.37	03/23/20	0.39	...	-0.02	3 of 5 - Sale ²⁵
	85.000	31.03	03/23/20	26.77	...	4.26	4 of 5 - Sale ²⁵
	500.000	182.54	03/24/20	179.21	...	3.33	5 of 5 - Sale ²⁵
03/24/20	740.000	270.16	Various	267.68	...	2.48	Total of 5 transactions
SCHLUMBERGER LIMITED / CUSIP: 806857108 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	5.000	85.85	04/08/20	84.20	...	1.65	1 of 2 - Sale ²⁵
	5.000	85.85	04/27/20	78.75	...	7.10	2 of 2 - Sale ²⁵
04/29/20	10.000	171.70	Various	162.95	...	8.75	Total of 2 transactions
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	10.000	89.80	03/19/20	92.30	...	-2.50	1 of 2 - Sale ²⁵
	10.000	89.80	03/19/20	79.90	...	9.90	2 of 2 - Sale ²⁵
04/15/20	20.000	179.60	Various	172.20	...	7.40	Total of 2 transactions

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SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	559.99	02/12/20	571.56	...	-11.57	1 of 2 - Sale ²⁵
	1.000	559.98	02/18/20	534.58	...	25.40	2 of 2 - Sale ²⁵
04/17/20	2.000	1,119.97	Various	1,106.14	...	13.83	Total of 2 transactions
SONY CORPORATION ADS / CUSIP: 835699307 / Symbol:							
6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	5.000	348.14	02/18/20	332.11	...	16.03	1 of 6 - Sale ²⁵
	1.000	69.62	05/28/20	66.10	...	3.52	2 of 6 - Sale ²⁵
	1.000	69.62	05/28/20	66.05	...	3.57	3 of 6 - Sale ²⁵
	3.000	208.85	05/28/20	197.89	...	10.96	4 of 6 - Sale ²⁵
	3.000	208.85	05/28/20	195.68	...	13.17	5 of 6 - Sale ²⁵
	5.000	348.14	05/28/20	326.15	...	21.99	6 of 6 - Sale ²⁵
06/11/20	18.000	1,253.22	Various	1,183.98	...	69.24	Total of 6 transactions
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	3.000	208.88	02/10/20	211.08	2.20 W	0.00	1 of 3 - Sale ²⁵
	1.000	69.63	02/10/20	70.45	0.82 W	0.00	2 of 3 - Sale ²⁵
	1.000	69.63	02/10/20	70.50	0.87 W	0.00	3 of 3 - Sale ²⁵
06/11/20	5.000	348.14	Various	352.03	3.89 W	0.00	Total of 3 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.245	86.59	06/11/20	84.52	...	2.07	1 of 2 - Sale ²⁵
	24.933	1,733.89	06/11/20	1,692.48	...	41.41	2 of 2 - Sale ²⁵
06/16/20	26.178	1,820.48	Various	1,777.00	...	43.48	Total of 2 transactions
	Security total:	3,421.84		3,313.01	3.89 W	112.72	
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	100.000	1,229.96	07/31/20	1,200.00	...	29.96	1 of 4 - Sale ²⁵
	100.000	1,229.96	07/31/20	1,196.00	...	33.96	2 of 4 - Sale ²⁵
	5.000	61.50	08/03/20	60.53	...	0.97	3 of 4 - Sale ²⁵
	64.000	787.17	08/04/20	764.80	...	22.37	4 of 4 - Sale ²⁵
08/06/20	269.000	3,308.59	Various	3,221.33	...	87.26	Total of 4 transactions
08/06/20	100.000	1,229.96	07/31/20	1,250.00	20.04 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	66.181	835.51	08/19/20	837.21	0.07 W	-1.63	1 of 3 - Sale ²⁵
	0.181	2.28	08/19/20	2.29	0.01 W	0.00	2 of 3 - Sale ²⁵
	28.819	363.83	08/19/20	364.57	0.74 W	0.00	3 of 3 - Sale ²⁵
08/24/20	95.181	1,201.62	Various	1,204.07	0.82 W	-1.63	Total of 3 transactions
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.181	2.63	09/04/20	2.47	...	0.16	1 of 4 - Sale ²⁵
	2.865	41.74	09/04/20	39.11	...	2.63	2 of 4 - Sale ²⁵
	4.819	70.21	09/04/20	66.66	...	3.55	3 of 4 - Sale ²⁵
	28.819	419.88	09/04/20	393.55	...	26.33	4 of 4 - Sale ²⁵
09/23/20	36.684	534.46	Various	501.79	...	32.67	Total of 4 transactions
3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	17.923	158.34	09/28/20	355.68	...	-197.34	1 of 3 - Sale ²⁵
	3.000	26.51	09/28/20	59.51	...	-33.00	2 of 3 - Sale ²⁵
	5.444	48.09	09/28/20	78.06	...	-29.97	3 of 3 - Sale ²⁵
10/28/20	26.366	232.94	Various	493.25	...	-260.31	Total of 3 transactions
10/28/20	91.000	803.96	09/28/20	1,304.94	115.19 W	-385.79	Sale ²⁵
	Security total:	7,311.53		7,975.38	136.05 W	-527.80	
TAILORED BRANDS, INC. / CUSIP: 87403A107 / Symbol:							
04/29/20	101.000	184.32	04/27/20	171.69	...	12.63	Sale ²⁵
TAPESTRY, INC. COMMON STOCK / CUSIP: 876030107 / Symbol:							
07/13/20	38.622	504.41	07/08/20	500.00	...	4.41	Sale ²⁵
TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol:							
8 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	200.000	24.23	03/30/20	42.40	...	-18.17	1 of 8 - Sale ²⁵
	200.000	24.23	03/30/20	42.40	...	-18.17	2 of 8 - Sale ²⁵
	100.000	12.12	03/30/20	21.20	...	-9.08	3 of 8 - Sale ²⁵
	100.000	12.12	03/30/20	21.20	...	-9.08	4 of 8 - Sale ²⁵
	100.000	12.12	03/30/20	21.20	...	-9.08	5 of 8 - Sale ²⁵
	100.000	12.12	03/30/20	21.20	...	-9.08	6 of 8 - Sale ²⁵
	67.000	8.12	03/30/20	14.20	...	-6.08	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol: (cont'd)							
04/08/20	33.000	4.00	03/30/20	7.00	...	-3.00	8 of 8 - Sale ²⁵
	900.000	109.06	Various	190.80	...	-81.74	Total of 8 transactions
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	5.000	934.73	04/28/20	954.95	...	-20.22	1 of 3 - Sale ²⁵
	3.000	560.84	04/28/20	545.40	...	15.44	2 of 3 - Sale ²⁵
	1.000	186.95	04/29/20	175.25	...	11.70	3 of 3 - Sale ²⁵
05/12/20	9.000	1,682.52	Various	1,675.60	...	6.92	Total of 3 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/19/20	1.000	420.46	02/04/20	903.16	482.70 W	0.00	Sale ²⁵
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	495.72	03/19/20	365.09	...	130.63	1 of 2 - Sale ²⁵
	1.000	495.72	03/27/20	510.19	...	-14.47	2 of 2 - Sale ²⁵
03/27/20	2.000	991.44	Various	875.28	...	116.16	Total of 2 transactions
03/27/20	1.000	495.72	03/06/20	1,172.54	676.82 W	0.00	Sale ²⁵
05/08/20	1.000	812.35	03/27/20	1,187.00	...	-374.65	Sale ²⁵
	Security total:	2,719.97		4,137.98	1,159.52 W	-258.49	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
03/23/20	23.000	298.98	03/17/20	283.34	...	15.64	Sale ²⁵
4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	2.000	26.00	02/19/20	67.36	41.36 W	0.00	1 of 4 - Sale ²⁵
	25.000	324.99	02/20/20	1,057.25	732.26 W	0.00	2 of 4 - Sale ²⁵
	19.000	246.99	02/21/20	641.92	394.93 W	0.00	3 of 4 - Sale ²⁵
	7.000	91.00	03/09/20	129.57	38.57 W	0.00	4 of 4 - Sale ²⁵
03/23/20	53.000	688.98	Various	1,896.10	1,207.12 W	0.00	Total of 4 transactions
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	19.000	299.24	04/01/20	651.43	...	-352.19	1 of 3 - Sale ²⁵
	7.000	110.25	04/01/20	133.07	...	-22.82	2 of 3 - Sale ²⁵
	22.000	346.49	04/01/20	297.00	...	49.49	3 of 3 - Sale ²⁵
04/07/20	48.000	755.98	Various	1,081.50	...	-325.52	Total of 3 transactions

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	2.000	31.50	03/17/20	66.00	34.50 W	0.00	1 of 2 - Sale ²⁵
	25.000	393.74	04/01/20	1,069.76	676.02 W	0.00	2 of 2 - Sale ²⁵
04/07/20	27.000	425.24	Various	1,135.76	710.52 W	0.00	Total of 2 transactions
4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	2.000	29.04	04/01/20	61.50	32.46 W	0.00	1 of 4 - Sale ²⁵
	23.000	333.99	04/27/20	1,033.39	699.40 W	0.00	2 of 4 - Sale ²⁵
	2.000	29.04	04/27/20	89.86	60.82 W	0.00	3 of 4 - Sale ²⁵
	5.000	72.61	05/26/20	78.85	6.24 W	0.00	4 of 4 - Sale ²⁵
06/11/20	32.000	464.68	Various	1,263.60	798.92 W	0.00	Total of 4 transactions
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	23.000	355.35	06/12/20	1,034.05	...	-678.70	1 of 4 - Sale ²⁵
	2.000	30.90	06/12/20	89.92	...	-59.02	2 of 4 - Sale ²⁵
	2.000	30.90	06/12/20	61.56	...	-30.66	3 of 4 - Sale ²⁵
	5.000	77.25	06/12/20	78.99	...	-1.74	4 of 4 - Sale ²⁵
06/19/20	32.000	494.40	Various	1,264.52	...	-770.12	Total of 4 transactions
	Security total:	3,128.26		6,924.82	2,716.56 W	-1,080.00	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	440.000	74.75	03/27/20	69.48	...	5.27	1 of 2 - Sale ²⁵
	560.000	95.13	03/27/20	88.42	...	6.71	2 of 2 - Sale ²⁵
03/30/20	1,000.000	169.88	Various	157.90	...	11.98	Total of 2 transactions
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	0.402	0.42	06/05/20	0.41	...	0.01	1 of 4 - Sale ²⁵
	199.598	207.58	06/05/20	201.59	...	5.99	2 of 4 - Sale ²⁵
	2.476	2.58	06/11/20	2.55	...	0.03	3 of 4 - Sale ²⁵
	50.926	52.96	06/11/20	52.45	...	0.51	4 of 4 - Sale ²⁵
06/17/20	253.402	263.54	Various	257.00	...	6.54	Total of 4 transactions

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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YIELD10 BIOSCIENCE, INC. COMMO N STOCK / CUSIP: 98585K862 / Symbol:							
3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	50.000	337.50	04/15/20	255.84	...	81.66	1 of 3 - Sale ²⁵
	10.000	80.00	04/27/20	74.00	...	6.00	2 of 3 - Sale ²⁵
	40.000	320.00	04/27/20	296.00	...	24.00	3 of 3 - Sale ²⁵
04/27/20	100.000	737.50	Various	625.84	...	111.66	Total of 3 transactions
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
05/07/20	58.000	13.33	04/28/20	12.29	...	1.04	Sale ²⁵
CARDTRONICS PLC CLASS A ORDINARY SHARES (UK) / CUSIP: G1991C105 / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	0.608	13.28	07/09/20	11.87	...	1.41	1 of 2 - Sale ²⁵
	24.930	544.45	07/09/20	488.12	...	56.33	2 of 2 - Sale ²⁵
07/13/20	25.538	557.73	Various	499.99	...	57.74	Total of 2 transactions
CHINA SXT PHARMACEUTICALS, INC. ORDINARY SHARES / CUSIP: G2161P108 / Symbol:							
7 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	100.000	39.53	06/18/20	63.50	...	-23.97	1 of 7 - Sale ²⁵
	100.000	39.53	06/18/20	63.50	...	-23.97	2 of 7 - Sale ²⁵
	100.000	39.53	06/18/20	63.49	...	-23.96	3 of 7 - Sale ²⁵
	102.000	40.32	06/19/20	56.62	...	-16.30	4 of 7 - Sale ²⁵
	178.000	70.36	06/30/20	95.03	...	-24.67	5 of 7 - Sale ²⁵
	184.000	72.73	07/15/20	82.80	...	-10.07	6 of 7 - Sale ²⁵
	9.000	3.56	07/15/20	4.05	...	-0.49	7 of 7 - Sale ²⁵
08/06/20	773.000	305.56	Various	428.99	...	-123.43	Total of 7 transactions
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	100.000	32.30	05/05/20	44.07	...	-11.77	1 of 3 - Sale ²⁵
	320.000	103.36	05/06/20	131.07	...	-27.71	2 of 3 - Sale ²⁵
	240.000	77.51	05/28/20	88.78	...	-11.27	3 of 3 - Sale ²⁵
06/02/20	660.000	213.17	Various	263.92	...	-50.75	Total of 3 transactions

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Robinhood Securities LLC

Account 160552840

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
05/06/20	1,000.000	131.78	04/20/20	165.10	...	-33.32	Sale ²⁵
SCORPIO TANKERS INC. / CUSIP: Y7542C130 / Symbol:							
8 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	30.000	405.02	06/19/20	458.08	...	-53.06	1 of 8 - Sale ²⁵
	1.555	20.99	06/19/20	23.74	...	-2.75	2 of 8 - Sale ²⁵
	16.988	229.35	06/22/20	248.54	...	-19.19	3 of 8 - Sale ²⁵
	0.100	1.35	06/22/20	1.46	...	-0.11	4 of 8 - Sale ²⁵
	16.686	225.28	06/23/20	240.00	...	-14.72	5 of 8 - Sale ²⁵
	0.122	1.64	06/30/20	1.56	...	0.08	6 of 8 - Sale ²⁵
	7.874	106.30	06/30/20	100.85	...	5.45	7 of 8 - Sale ²⁵
	19.877	268.35	06/30/20	250.00	...	18.35	8 of 8 - Sale ²⁵
07/30/20	93.202	1,258.28	Various	1,324.23	...	-65.95	Total of 8 transactions
07/30/20	0.798	10.77	06/19/20	12.18	0.22 W	-1.19	Sale ²⁵
08/03/20	0.124	1.64	06/30/20	1.81	...	-0.17	Sale ²⁵
	Security total:	1,270.69		1,338.22	0.22 W	-67.31	
Totals :		119,657.44		126,825.05	7,642.91 W	475.30	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPRINT CORPORATION / CUSIP: 85207U105 / Symbol:							
03/19/20	1.000	8.09	08/05/18	5.58	...	2.51	Sale ²⁵
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
03/17/20	1.000	6.23	07/26/18	4.23	...	2.00	Sale ²⁵
Totals :		14.32		9.81		4.51	

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Robinhood Securities LLC

Account 160552840

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		06/30/20	0.24	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	2.55	Qualified dividend	
QUALCOMM INCORPORATED COMMON S TOCK	747525103		03/26/20	0.62	Qualified dividend	
SONY CORPORATION ADS	835699307		06/12/20	2.28	Nonqualified dividend	
			06/12/20	-0.35	Foreign tax withheld-JA	
				2.28	Total Dividends & distributions	
				-0.35	Total Foreign tax withheld	
				5.69	Total Dividends & distributions	
				-0.35	Total Foreign tax withheld	

Robinhood Securities LLC

Account 160552840

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		07/31/20	0.05	Interest	
		08/31/20	0.05	Interest	
		09/30/20	0.80	Interest	
		10/30/20	0.13	Interest	
		11/30/20	0.61	Interest	
			1.64	Total Interest	
			1.64	Total Interest	

Robinhood Securities LLC

Account 160552840

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/20/20	-5.00	Margin interest paid	
		05/18/20	-5.00	Margin interest paid	
			-10.00	Total Margin interest paid	
			-10.00	Total Margin interest paid	

Robinhood Securities LLC

Account 160552840

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
JA	SONY CORPORATION ADS	835699307	2.28	0.00		0.00	2.28	-0.35
Total JAPAN			2.28	0.00		0.00	2.28	-0.35
Grand Total	Total Foreign Source Income*			2.28				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax							-0.35

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.