

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 136566486	Statement Date: 02/11/2022 Document ID: 6Q1Q K3Z 331F	2021
	Sudeer kumar Gadwala yugender 1014 Grand Ave North Bergen, NJ 07047 RECIPIENT'S TIN: XXX-XX-4664		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 7.50</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	195,879.63	223,395.22	0.00	8,579.23	-18,936.36
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	195,879.63	223,395.22	0.00	8,579.23	-18,936.36
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	195,879.63	223,395.22	0.00	8,579.23	-18,936.36
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 136566486

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.08
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	55.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 05/28/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	1.000	105.99	05/17/21	76.00	...	29.99	1 of 2 - Option sale to close-call ²⁵
	2.000	211.99	05/17/21	152.00	...	59.99	2 of 2 - Option sale to close-call ²⁵
05/18/21	3.000	317.98	Various	228.00	...	89.98	Total of 2 transactions
AAPL 01/29/2021 CALL \$132.00 / CUSIP: / Symbol:							
01/20/21	1.000	379.98	01/19/21	227.00	...	152.98	Option sale to close-call ²⁵
AAPL 01/29/2021 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	0.98	01/27/21	27.00	...	-26.02	1 of 2 - Option sale to close-call ²⁵
	1.000	0.98	01/27/21	27.00	...	-26.02	2 of 2 - Option sale to close-call ²⁵
01/28/21	2.000	1.96	Various	54.00	...	-52.04	Total of 2 transactions

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 CALL \$130.00 / CUSIP: / Symbol:							
3 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	4.000	767.99	02/18/21	1,620.00	...	-852.01	1 of 3 - Option sale to close-call ²⁵
	3.000	575.98	02/18/21	1,215.00	...	-639.02	2 of 3 - Option sale to close-call ²⁵
	1.000	192.00	02/18/21	395.00	...	-203.00	3 of 3 - Option sale to close-call ²⁵
03/02/21	8.000	1,535.97	Various	3,230.00	...	-1,694.03	Total of 3 transactions
AAPL 03/19/2021 CALL \$142.50 / CUSIP: / Symbol:							
4 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	6.000	113.98	02/18/21	1,182.02	...	-1,068.04	1 of 4 - Option sale to close-call ²⁵
	4.000	75.99	02/18/21	788.02	...	-712.03	2 of 4 - Option sale to close-call ²⁵
	1.000	19.00	02/18/21	84.00	...	-65.00	3 of 4 - Option sale to close-call ²⁵
	2.000	37.99	02/22/21	128.00	...	-90.01	4 of 4 - Option sale to close-call ²⁵
03/02/21	13.000	246.96	Various	2,182.04	...	-1,935.08	Total of 4 transactions
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	4.000	75.98	02/17/21	472.00	396.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	6.000	113.98	02/17/21	708.00	594.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
03/02/21	10.000	189.96	Various	1,180.00	990.04 W	0.00	Total of 2 transactions
	Security total:	436.92		3,362.04	990.04 W	-1,935.08	
AAPL 04/16/2021 CALL \$135.00 / CUSIP: / Symbol:							
8 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	80.00	04/15/21	58.00	...	22.00	1 of 8 - Option sale to close-call ²⁵
	2.000	159.99	04/15/21	136.00	...	23.99	2 of 8 - Option sale to close-call ²⁵
	2.000	159.99	04/15/21	116.00	...	43.99	3 of 8 - Option sale to close-call ²⁵
	2.000	160.00	04/15/21	116.00	...	44.00	4 of 8 - Option sale to close-call ²⁵
	4.000	319.99	04/15/21	232.00	...	87.99	5 of 8 - Option sale to close-call ²⁵
	10.000	799.98	04/15/21	650.00	...	149.98	6 of 8 - Option sale to close-call ²⁵
	10.000	799.98	04/15/21	640.00	...	159.98	7 of 8 - Option sale to close-call ²⁵
	26.000	2,079.93	04/15/21	1,508.00	...	571.93	8 of 8 - Option sale to close-call ²⁵
04/15/21	57.000	4,559.86	Various	3,456.00	...	1,103.86	Total of 8 transactions

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/30/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	25.000	0.00	04/29/21	100.00	...	-100.00	1 of 2 - Option expiration- long call
	4.000	0.00	04/29/21	12.00	...	-12.00	2 of 2 - Option expiration- long call
04/30/21	29.000	0.00	Various	112.00	...	-112.00	Total of 2 transactions
AAPL 06/18/2021 CALL \$120.00 / CUSIP: / Symbol:							
03/09/21	1.000	919.98	03/05/21	870.00	...	49.98	Option sale to close-call ²⁵
AAPL 08/06/2021 CALL \$150.00 / CUSIP: / Symbol:							
13 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	5.000	44.99	07/28/21	340.00	...	-295.01	1 of 13 - Option sale to close-call ²⁵
	4.000	35.99	07/28/21	292.00	...	-256.01	2 of 13 - Option sale to close-call ²⁵
	1.000	9.00	07/28/21	68.00	...	-59.00	3 of 13 - Option sale to close-call ²⁵
	10.000	89.97	07/29/21	480.00	...	-390.03	4 of 13 - Option sale to close-call ²⁵
	5.000	44.99	07/29/21	315.00	...	-270.01	5 of 13 - Option sale to close-call ²⁵
	5.000	44.99	07/29/21	295.00	...	-250.01	6 of 13 - Option sale to close-call ²⁵
	1.000	9.00	07/29/21	59.00	...	-50.00	7 of 13 - Option sale to close-call ²⁵
	1.000	9.00	07/29/21	59.00	...	-50.00	8 of 13 - Option sale to close-call ²⁵
	1.000	9.00	07/29/21	59.00	...	-50.00	9 of 13 - Option sale to close-call ²⁵
	1.000	9.00	07/29/21	59.00	...	-50.00	10 of 13 - Option sale to close-call ²⁵
	1.000	9.00	07/29/21	59.00	...	-50.00	11 of 13 - Option sale to close-call ²⁵
	14.000	125.96	07/30/21	490.00	...	-364.04	12 of 13 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/06/2021 CALL \$150.00 / CUSIP: / Symbol: (cont'd)							
	1.000	9.00	07/30/21	35.00	...	-26.00	13 of 13 - Option sale to close-call ²⁵
08/04/21	50.000	449.89	Various	2,610.00	...	-2,160.11	Total of 13 transactions
AAPL 08/20/2021 PUT \$145.00 / CUSIP: / Symbol:							
08/20/21	2.000	0.00	08/16/21	62.00	...	-62.00	Option expiration- long put
AAPL 12/03/2021 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	2.000	221.98	12/01/21	180.00	...	41.98	1 of 2 - Option sale to close-call ²⁵
	2.000	259.98	12/01/21	180.00	...	79.98	2 of 2 - Option sale to close-call ²⁵
12/01/21	4.000	481.96	Various	360.00	...	121.96	Total of 2 transactions
ABNB 03/12/2021 CALL \$190.00 / CUSIP: / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	414.99	03/09/21	554.00	...	-139.01	1 of 2 - Option sale to close-call ²⁵
	1.000	414.99	03/09/21	390.00	...	24.99	2 of 2 - Option sale to close-call ²⁵
03/09/21	2.000	829.98	Various	944.00	...	-114.02	Total of 2 transactions
ABNB 03/19/2021 CALL \$210.00 / CUSIP: / Symbol:							
03/15/21	1.000	749.98	03/15/21	765.00	...	-15.02	Option sale to close-call ²⁵
ABNB 03/26/2021 CALL \$210.00 / CUSIP: / Symbol:							
03/17/21	1.000	414.98	03/16/21	1,019.00	...	-604.02	Option sale to close-call ²⁵
ABNB 03/26/2021 CALL \$220.00 / CUSIP: / Symbol:							
03/16/21	1.000	653.98	03/16/21	620.00	...	33.98	Option sale to close-call ²⁵
ABUS 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
12/02/21	1.000	114.98	12/01/21	100.00	...	14.98	Option sale to close-call ²⁵
ADBE 01/29/2021 CALL \$475.00 / CUSIP: / Symbol:							
2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	534.98	01/20/21	510.00	...	24.98	1 of 2 - Option sale to close-call ²⁵
	1.000	534.98	01/20/21	510.00	...	24.98	2 of 2 - Option sale to close-call ²⁵
01/22/21	2.000	1,069.96	Various	1,020.00	...	49.96	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AEVA 04/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	34.98	04/08/21	64.00	...	-29.02	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	04/08/21	64.00	...	-29.02	2 of 2 - Option sale to close-call ²⁵
04/09/21	2.000	69.96	Various	128.00	...	-58.04	Total of 2 transactions
AMC 01/22/2021 CALL \$2.50 / CUSIP: / Symbol:							
3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	10.000	429.96	01/15/21	440.00	...	-10.04	1 of 3 - Option sale to close-call ²⁵
	1.000	43.00	01/15/21	38.00	...	5.00	2 of 3 - Option sale to close-call ²⁵
	3.000	128.99	01/15/21	114.00	...	14.99	3 of 3 - Option sale to close-call ²⁵
01/19/21	14.000	601.95	Various	592.00	...	9.95	Total of 3 transactions
AMD 01/29/2021 CALL \$95.00 / CUSIP: / Symbol:							
01/22/21	5.000	1,799.95	01/22/21	1,900.00	...	-100.05	Option sale to close-call ²⁵
AMD 08/13/2021 CALL \$116.00 / CUSIP: / Symbol:							
3 transactions for 08/10/21. Total proceeds and cost reported to the IRS.							
	1.000	30.99	08/06/21	390.00	...	-359.01	1 of 3 - Option sale to close-call ²⁵
	1.000	31.00	08/06/21	273.00	...	-242.00	2 of 3 - Option sale to close-call ²⁵
	1.000	30.99	08/06/21	178.00	...	-147.01	3 of 3 - Option sale to close-call ²⁵
08/10/21	3.000	92.98	Various	841.00	...	-748.02	Total of 3 transactions
AMD 11/19/2021 CALL \$146.00 / CUSIP: / Symbol:							
11/12/21	1.000	454.98	11/11/21	405.00	...	49.98	Option sale to close-call ²⁵
AMD 11/26/2021 CALL \$155.00 / CUSIP: / Symbol:							
11/17/21	1.000	271.98	11/16/21	204.00	...	67.98	Option sale to close-call ²⁵
API 02/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
01/21/21	1.000	130.98	01/20/21	170.00	...	-39.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APRN 12/17/2021 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	11/16/21	75.00	...	-10.02	1 of 2 - Option sale to close-call ²⁵
	1.000	64.98	11/16/21	75.00	...	-10.02	2 of 2 - Option sale to close-call ²⁵
11/17/21	2.000	129.96	Various	150.00	...	-20.04	Total of 2 transactions
AXP 08/20/2021 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	1.000	369.99	08/05/21	330.00	...	39.99	1 of 2 - Option sale to close-call ²⁵
	1.000	369.99	08/05/21	269.00	...	100.99	2 of 2 - Option sale to close-call ²⁵
08/06/21	2.000	739.98	Various	599.00	...	140.98	Total of 2 transactions
AYRO 02/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/16/21	1.000	57.98	02/10/21	130.00	...	-72.02	Option sale to close-call ²⁵
AYRO 02/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/16/21	6.000	149.98	02/11/21	282.00	...	-132.02	Option sale to close-call ²⁵
AYRO 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/18/21	1.000	99.98	02/11/21	170.00	...	-70.02	Option sale to close-call ²⁵
BA 01/29/2021 CALL \$210.00 / CUSIP: / Symbol:							
01/19/21	1.000	704.97	01/19/21	585.00	...	119.97	Option sale to close-call ²⁵
BABA 11/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/15/21	118.00	...	-118.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/15/21	118.00	...	-118.00	2 of 3 - Option expiration- long call
	2.000	0.00	11/16/21	178.00	...	-178.00	3 of 3 - Option expiration- long call
11/19/21	4.000	0.00	Various	414.00	...	-414.00	Total of 3 transactions
BABA 12/10/2021 PUT \$120.00 / CUSIP: / Symbol:							
12/08/21	1.000	60.98	12/07/21	156.00	...	-95.02	Option sale to close-put ²⁵
BB 07/30/2021 CALL \$11.00 / CUSIP: / Symbol:							
07/29/21	2.000	23.98	07/28/21	52.00	...	-28.02	Option sale to close-call ²⁵

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(continued)

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BBBY 02/05/2021 CALL \$30.00 / CUSIP: / Symbol:							
6 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	41.00	01/19/21	93.01	...	-52.01	1 of 6 - Option sale to close-call ²⁵
	1.000	41.00	01/19/21	93.01	...	-52.01	2 of 6 - Option sale to close-call ²⁵
	1.000	41.00	01/19/21	93.00	...	-52.00	3 of 6 - Option sale to close-call ²⁵
	1.000	41.00	01/19/21	67.00	...	-26.00	4 of 6 - Option sale to close-call ²⁵
	1.000	41.00	01/19/21	61.00	...	-20.00	5 of 6 - Option sale to close-call ²⁵
	5.000	204.97	01/20/21	255.00	...	-50.03	6 of 6 - Option sale to close-call ²⁵
01/20/21	10.000	409.97	Various	662.02	...	-252.05	Total of 6 transactions
5 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	41.00	01/19/21	67.00	26.00 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	40.99	01/19/21	67.00	26.01 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	40.99	01/19/21	67.00	26.01 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	41.00	01/19/21	67.00	26.00 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	41.00	01/19/21	93.00	52.00 W	0.00	5 of 5 - Option sale to close-call ²⁵
01/20/21	5.000	204.98	Various	361.00	156.02 W	0.00	Total of 5 transactions
01/21/21	1.000	86.98	01/20/21	103.00	...	-16.02	Option sale to close-call ²⁵
	Security total:	701.93		1,126.02	156.02 W	-268.07	
BFLY 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/17/21	1.000	136.98	02/17/21	55.00	...	81.98	Option sale to close-call ²⁵
BFLY 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	1.000	54.99	03/29/21	150.00	...	-95.01	1 of 2 - Option sale to close-call ²⁵
	1.000	54.99	03/30/21	95.00	...	-40.01	2 of 2 - Option sale to close-call ²⁵
04/07/21	2.000	109.98	Various	245.00	...	-135.02	Total of 2 transactions
BIDU 01/15/2021 CALL \$260.00 / CUSIP: / Symbol:							
01/15/21	1.000	35.98	01/14/21	430.00	...	-394.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BITO 12/17/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	114.99	11/16/21	210.00	...	-95.01	1 of 2 - Option sale to close-call ²⁵
	2.000	229.99	11/17/21	370.00	...	-140.01	2 of 2 - Option sale to close-call ²⁵
11/22/21	3.000	344.98	Various	580.00	...	-235.02	Total of 2 transactions
BK 05/21/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/28/21	1.000	59.98	04/26/21	39.00	...	20.98	Option sale to close-call ²⁵
BOX 04/16/2021 CALL \$23.00 / CUSIP: / Symbol:							
03/10/21	1.000	67.98	03/09/21	90.00	...	-22.02	Option sale to close-call ²⁵
BOX 06/18/2021 CALL \$24.00 / CUSIP: / Symbol:							
5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	1.000	129.98	03/09/21	125.00	...	4.98	1 of 5 - Option sale to close-call ²⁵
	1.000	129.98	03/09/21	125.00	...	4.98	2 of 5 - Option sale to close-call ²⁵
	1.000	129.98	03/09/21	125.00	...	4.98	3 of 5 - Option sale to close-call ²⁵
	1.000	129.98	03/09/21	125.00	...	4.98	4 of 5 - Option sale to close-call ²⁵
	2.000	259.98	03/09/21	250.00	...	9.98	5 of 5 - Option sale to close-call ²⁵
03/10/21	6.000	779.90	Various	750.00	...	29.90	Total of 5 transactions
BTBT 11/26/2021 CALL \$11.50 / CUSIP: / Symbol:							
11/22/21	1.000	9.98	11/16/21	60.00	...	-50.02	Option sale to close-call ²⁵
BYND 01/29/2021 CALL \$125.00 / CUSIP: / Symbol:							
01/14/21	1.000	1,199.96	01/13/21	543.00	...	656.96	Option sale to close-call ²⁵
BYND 04/23/2021 CALL \$144.00 / CUSIP: / Symbol:							
04/07/21	1.000	195.98	04/06/21	263.00	...	-67.02	Option sale to close-call ²⁵
CCIV 04/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/12/21	1.000	287.98	03/11/21	304.00	...	-16.02	Option sale to close-call ²⁵
CCL 01/20/2023 CALL \$35.00 / CUSIP: / Symbol:							
01/29/21	1.000	429.98	01/28/21	480.00	...	-50.02	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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CHGG 05/21/2021 CALL \$90.00 / CUSIP: / Symbol:							
5 transactions for 05/04/21. Total proceeds and cost reported to the IRS.							
	7.000	559.98	05/04/21	1,295.00	...	-735.02	1 of 5 - Option sale to close-call ²⁵
	3.000	239.99	05/04/21	555.00	...	-315.01	2 of 5 - Option sale to close-call ²⁵
	3.000	239.99	05/04/21	450.00	...	-210.01	3 of 5 - Option sale to close-call ²⁵
	3.000	239.99	05/04/21	405.00	...	-165.01	4 of 5 - Option sale to close-call ²⁵
	2.000	160.00	05/04/21	300.00	...	-140.00	5 of 5 - Option sale to close-call ²⁵
05/04/21	18.000	1,439.95	Various	3,005.00	...	-1,565.05	Total of 5 transactions
CLF 11/19/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	41.98	11/12/21	56.00	...	-14.02	1 of 2 - Option sale to close-call ²⁵
	1.000	41.98	11/12/21	56.00	...	-14.02	2 of 2 - Option sale to close-call ²⁵
11/12/21	2.000	83.96	Various	112.00	...	-28.04	Total of 2 transactions
CLOV 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/02/21	1.000	6.98	03/01/21	185.02	...	-178.04	Option sale to close-call ²⁵
03/02/21	1.000	6.98	02/08/21	162.00	155.02 W	0.00	Option sale to close-call ²⁵
	Security total:	13.96		347.02	155.02 W	-178.04	
CLOV 03/19/2021 CALL \$17.50 / CUSIP: / Symbol:							
02/10/21	1.000	102.98	02/09/21	139.00	...	-36.02	Option sale to close-call ²⁵
CLSN 07/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/22/21	1.000	139.98	02/12/21	135.00	...	4.98	Option sale to close-call ²⁵
CMCSA 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
07/29/21	1.000	80.98	07/28/21	65.00	...	15.98	Option sale to close-call ²⁵
COST 11/26/2021 CALL \$530.00 / CUSIP: / Symbol:							
11/16/21	1.000	399.98	11/16/21	305.00	...	94.98	Option sale to close-call ²⁵

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CPNG 12/17/2021 CALL \$32.50 / CUSIP: / Symbol:							
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	1.000	14.99	11/16/21	65.00	...	-50.01	1 of 2 - Option sale to close-call ²⁵
	1.000	14.99	11/16/21	65.00	...	-50.01	2 of 2 - Option sale to close-call ²⁵
11/22/21	2.000	29.98	Various	130.00	...	-100.02	Total of 2 transactions
CSCO 06/11/2021 CALL \$54.50 / CUSIP: / Symbol:							
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	14.99	06/07/21	35.00	...	-20.01	1 of 2 - Option sale to close-call ²⁵
	1.000	14.99	06/07/21	35.00	...	-20.01	2 of 2 - Option sale to close-call ²⁵
06/07/21	2.000	29.98	Various	70.00	...	-40.02	Total of 2 transactions
DASH 08/06/2021 CALL \$182.50 / CUSIP: / Symbol:							
08/05/21	1.000	199.98	08/04/21	144.00	...	55.98	Option sale to close-call ²⁵
DBX 04/16/2021 CALL \$23.00 / CUSIP: / Symbol:							
02/02/21	1.000	276.98	02/01/21	282.00	...	-5.02	Option sale to close-call ²⁵
DBX 04/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
02/01/21	1.000	239.98	02/01/21	242.00	...	-2.02	Option sale to close-call ²⁵
DKNG 01/29/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/27/21	1.000	25.98	01/26/21	37.00	11.02 W	0.00	Option sale to close-call ²⁵
01/29/21	1.000	24.98	01/28/21	71.02	...	-46.04	Option sale to close-call ²⁵
	Security total:	50.96		108.02	11.02 W	-46.04	
DKNG 02/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/05/21	1.000	116.98	02/04/21	113.00	...	3.98	Option sale to close-call ²⁵
DKNG 03/26/2021 CALL \$69.00 / CUSIP: / Symbol:							
03/11/21	1.000	469.98	03/10/21	370.00	...	99.98	Option sale to close-call ²⁵
DKNG 04/16/2021 CALL \$70.00 / CUSIP: / Symbol:							
03/11/21	1.000	654.98	03/10/21	478.00	...	176.98	Option sale to close-call ²⁵
DKNG 08/20/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/04/21	1.000	1,594.95	02/01/21	1,195.00	...	399.95	Option sale to close-call ²⁵

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DVA 04/16/2021 CALL \$110.00 / CUSIP: / Symbol:							
04/09/21	1.000	64.98	04/08/21	85.00	...	-20.02	Option sale to close-call ²⁵
EBON 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/29/21	1.000	103.98	03/23/21	195.00	...	-91.02	Option sale to close-call ²⁵
EBON 07/16/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/29/21	1.000	169.98	03/23/21	295.00	...	-125.02	Option sale to close-call ²⁵
EDIT 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
04/07/21	1.000	329.98	04/06/21	420.00	...	-90.02	Option sale to close-call ²⁵
EHTH 02/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
01/22/21	1.000	114.98	01/21/21	131.00	16.02 W	0.00	Option sale to close-call ²⁵
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	329.99	01/22/21	126.02	...	203.97	1 of 2 - Option sale to close-call ²⁵
	1.000	329.98	01/22/21	110.00	...	219.98	2 of 2 - Option sale to close-call ²⁵
01/27/21	2.000	659.97	Various	236.02	...	423.95	Total of 2 transactions
01/28/21	1.000	149.98	01/22/21	110.00	...	39.98	Option sale to close-call ²⁵
	Security total:	924.93		477.02	16.02 W	463.93	
ETSY 01/21/2022 CALL \$350.00 / CUSIP: / Symbol:							
11/22/21	1.000	629.98	11/17/21	550.00	...	79.98	Option sale to close-call ²⁵
EXPR 10/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
03/16/21	1.000	184.98	03/15/21	245.00	...	-60.02	Option sale to close-call ²⁵
EXPR 12/10/2021 CALL \$4.50 / CUSIP: / Symbol:							
	6 transactions for 12/10/21. Total proceeds and cost reported to the IRS.						
	10.000	0.00	12/02/21	300.00	...	-300.00	1 of 6 - Option expiration- long call
	20.000	0.00	12/03/21	200.00	...	-200.00	2 of 6 - Option expiration- long call
	4.000	0.00	12/03/21	20.00	...	-20.00	3 of 6 - Option expiration- long call
	2.000	0.00	12/03/21	10.00	...	-10.00	4 of 6 - Option expiration- long call
	2.000	0.00	12/03/21	10.00	...	-10.00	5 of 6 - Option expiration- long call
	2.000	0.00	12/03/21	10.00	...	-10.00	6 of 6 - Option expiration- long call
12/10/21	40.000	0.00	Various	550.00	...	-550.00	Total of 6 transactions

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EYES 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/07/21	1.000	274.98	04/06/21	340.00	...	-65.02	Option sale to close-call ²⁵
FB 01/29/2021 CALL \$275.00 / CUSIP: / Symbol:							
01/13/21	1.000	324.98	01/11/21	630.00	305.02 W	0.00	Option sale to close-call ²⁵
01/22/21	1.000	1,024.96	01/19/21	645.02	...	379.94	Option sale to close-call ²⁵
	Security total:	1,349.94		1,275.02	305.02 W	379.94	
FB 01/29/2021 CALL \$290.00 / CUSIP: / Symbol:							
01/27/21	1.000	359.98	01/27/21	458.00	...	-98.02	Option sale to close-call ²⁵
01/27/21	1.000	359.99	01/27/21	550.00	190.01 W	0.00	Option sale to close-call ²⁵
01/28/21	1.000	52.98	01/27/21	615.01	...	-562.03	Option sale to close-call ²⁵
	Security total:	772.95		1,623.01	190.01 W	-660.05	
FB 02/19/2021 CALL \$290.00 / CUSIP: / Symbol:							
01/28/21	1.000	419.98	01/27/21	710.00	...	-290.02	Option sale to close-call ²⁵
FB 05/21/2021 CALL \$320.00 / CUSIP: / Symbol:							
	2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.						
	1.000	93.98	05/20/21	73.00	...	20.98	1 of 2 - Option sale to close-call ²⁵
	1.000	93.98	05/20/21	71.00	...	22.98	2 of 2 - Option sale to close-call ²⁵
05/20/21	2.000	187.96	Various	144.00	...	43.96	Total of 2 transactions
FB 05/21/2021 PUT \$250.00 / CUSIP: / Symbol:							
03/19/21	1.000	579.98	03/18/21	605.00	...	-25.02	Option sale to close-put ²⁵
FB 07/30/2021 CALL \$380.00 / CUSIP: / Symbol:							
	2 transactions for 07/28/21. Total proceeds and cost reported to the IRS.						
	2.000	1,259.98	07/27/21	1,344.00	...	-84.02	1 of 2 - Option sale to close-call ²⁵
	2.000	1,259.99	07/28/21	880.00	...	379.99	2 of 2 - Option sale to close-call ²⁵
07/28/21	4.000	2,519.97	Various	2,224.00	...	295.97	Total of 2 transactions
FB 08/13/2021 CALL \$360.00 / CUSIP: / Symbol:							
08/05/21	1.000	614.98	08/05/21	505.00	...	109.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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FCEL 11/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
11/17/21	1.000	14.98	11/15/21	77.02	...	-62.04	Option sale to close-call ²⁵
11/17/21	1.000	14.98	11/15/21	46.00	31.02 W	0.00	Option sale to close-call ²⁵
	Security total:	29.96		123.02	31.02 W	-62.04	
FEYE 05/21/2021 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	1.000	151.98	04/13/21	147.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	3.000	455.98	04/13/21	441.00	...	14.98	2 of 2 - Option sale to close-call ²⁵
04/14/21	4.000	607.96	Various	588.00	...	19.96	Total of 2 transactions
FFIE 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
11/16/21	1.000	79.98	11/15/21	80.00	...	-0.02	Option sale to close-call ²⁵
FINV 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
8 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	03/11/21	74.00	...	-74.00	1 of 8 - Option expiration- long call
	1.000	0.00	03/11/21	55.00	...	-55.00	2 of 8 - Option expiration- long call
	1.000	0.00	03/11/21	20.00	...	-20.00	3 of 8 - Option expiration- long call
	8.000	0.00	03/12/21	40.00	...	-40.00	4 of 8 - Option expiration- long call
	4.000	0.00	03/12/21	20.00	...	-20.00	5 of 8 - Option expiration- long call
	2.000	0.00	03/12/21	10.00	...	-10.00	6 of 8 - Option expiration- long call
	1.000	0.00	03/12/21	5.00	...	-5.00	7 of 8 - Option expiration- long call
	1.000	0.00	03/12/21	5.00	...	-5.00	8 of 8 - Option expiration- long call
03/19/21	20.000	0.00	Various	229.00	...	-229.00	Total of 8 transactions
FLNT 09/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
4 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	5.000	649.98	03/03/21	1,100.00	...	-450.02	1 of 4 - Option sale to close-call ²⁵
	1.000	130.00	03/03/21	225.00	...	-95.00	2 of 4 - Option sale to close-call ²⁵
	1.000	130.00	03/03/21	220.00	...	-90.00	3 of 4 - Option sale to close-call ²⁵
	1.000	129.99	03/03/21	205.00	...	-75.01	4 of 4 - Option sale to close-call ²⁵
03/04/21	8.000	1,039.97	Various	1,750.00	...	-710.03	Total of 4 transactions
FSR 12/10/2021 CALL \$25.00 / CUSIP: / Symbol:							
11/30/21	1.000	38.98	11/29/21	51.00	...	-12.02	Option sale to close-call ²⁵

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Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FUTU 04/23/2021 CALL \$140.00 / CUSIP: / Symbol:							
5 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	1.000	130.00	04/23/21	115.00	...	15.00	1 of 5 - Option sale to close-call ²⁵
	1.000	130.00	04/23/21	100.00	...	30.00	2 of 5 - Option sale to close-call ²⁵
	1.000	130.00	04/23/21	100.00	...	30.00	3 of 5 - Option sale to close-call ²⁵
	4.000	519.99	04/23/21	460.00	...	59.99	4 of 5 - Option sale to close-call ²⁵
	5.000	649.98	04/23/21	375.00	...	274.98	5 of 5 - Option sale to close-call ²⁵
04/23/21	12.000	1,559.97	Various	1,150.00	...	409.97	Total of 5 transactions
GDJX 03/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/09/21	1.000	130.98	02/08/21	169.00	...	-38.02	Option sale to close-call ²⁵
GM 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/18/21	1.000	415.98	03/17/21	244.00	...	171.98	Option sale to close-call ²⁵
GMTX 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
12/15/21	10.000	149.97	12/15/21	100.00	...	49.97	Option sale to close-call ²⁵
GNUS 06/25/2021 CALL \$2.00 / CUSIP: / Symbol:							
06/08/21	1.000	16.98	06/07/21	22.00	...	-5.02	Option sale to close-call ²⁵
GOED 01/21/2022 CALL \$2.50 / CUSIP: / Symbol:							
11/30/21	9.000	134.97	11/29/21	198.00	...	-63.03	Option sale to close-call ²⁵
GOEV 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
03/12/21	1.000	294.98	03/11/21	244.00	...	50.98	Option sale to close-call ²⁵
GOOG 01/22/2021 CALL \$1,765.00 / CUSIP: / Symbol:							
2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	1.000	1,839.95	01/14/21	2,471.00	...	-631.05	1 of 2 - Option sale to close-call ²⁵
	1.000	1,839.95	01/14/21	1,560.00	...	279.95	2 of 2 - Option sale to close-call ²⁵
01/15/21	2.000	3,679.90	Various	4,031.00	...	-351.10	Total of 2 transactions

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GOOG 01/22/2021 CALL \$1,785.00 / CUSIP: / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	-150.04	01/15/21	0.00	...	-150.04	1 of 2 - Short sale closed- call ²⁵ Option written: 01/14/21
	1.000	479.95	01/15/21	0.00	...	479.95	2 of 2 - Short sale closed- call ²⁵ Option written: 01/14/21
01/19/21	2.000	329.91	Various	0.00	...	329.91	Total of 2 transactions
GOOG 02/12/2021 CALL \$1,750.00 / CUSIP: / Symbol:							
01/19/21	1.000	5,934.85	01/15/21	6,390.00	...	-455.15	Option sale to close-call ²⁵
GOOG 02/12/2021 CALL \$1,770.00 / CUSIP: / Symbol:							
01/20/21	1.000	443.87	01/19/21	0.00	...	443.87	Short sale closed- call ²⁵ Option written: 01/15/21
GOOG 04/16/2021 CALL \$2,100.00 / CUSIP: / Symbol:							
01/25/21	1.000	4,539.88	01/22/21	4,585.00	...	-45.12	Option sale to close-call ²⁵
GOOG 04/16/2021 CALL \$2,200.00 / CUSIP: / Symbol:							
01/26/21	1.000	19.92	01/25/21	0.00	...	19.92	Short sale closed- call ²⁵ Option written: 01/22/21
GOOG 05/21/2021 CALL \$2,435.00 / CUSIP: / Symbol:							
3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	1.000	89.99	05/07/21	2,140.00	...	-2,050.01	1 of 3 - Option sale to close-call ²⁵
	1.000	90.00	05/10/21	650.00	...	-560.00	2 of 3 - Option sale to close-call ²⁵
	1.000	89.99	05/11/21	440.00	...	-350.01	3 of 3 - Option sale to close-call ²⁵
05/17/21	3.000	269.98	Various	3,230.00	...	-2,960.02	Total of 3 transactions
GOOGL 02/12/2021 PUT \$1,990.00 / CUSIP: / Symbol:							
02/04/21	1.000	1,349.96	02/03/21	800.00	...	549.96	Option sale to close-put ²⁵

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GPRO 02/05/2021 CALL \$11.00 / CUSIP: / Symbol:							
3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	4.000	259.98	02/01/21	456.00	...	-196.02	1 of 3 - Option sale to close-call ²⁵
	1.000	64.98	02/01/21	114.00	...	-49.02	2 of 3 - Option sale to close-call ²⁵
	1.000	74.98	02/01/21	114.00	...	-39.02	3 of 3 - Option sale to close-call ²⁵
02/02/21	6.000	399.94	Various	684.00	...	-284.06	Total of 3 transactions
GSK 08/20/2021 CALL \$36.00 / CUSIP: / Symbol:							
05/11/21	1.000	254.98	05/11/21	250.00	...	4.98	Option sale to close-call ²⁵
HD 12/17/2021 CALL \$400.00 / CUSIP: / Symbol:							
11/15/21	1.000	165.98	11/15/21	186.00	...	-20.02	Option sale to close-call ²⁵
INFI 09/17/2021 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	1.000	64.99	07/28/21	70.00	...	-5.01	1 of 2 - Option sale to close-call ²⁵
	1.000	64.99	07/28/21	55.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
08/02/21	2.000	129.98	Various	125.00	...	4.98	Total of 2 transactions
INTC 01/29/2021 CALL \$58.00 / CUSIP: / Symbol:							
01/14/21	1.000	268.98	01/13/21	217.00	...	51.98	Option sale to close-call ²⁵
INTC 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/13/21	1.000	193.99	01/13/21	206.00	...	-12.01	Option sale to close-call ²⁵
01/13/21	2.000	387.98	01/13/21	412.00	24.02 W	0.00	Option sale to close-call ²⁵
01/14/21	2.000	453.97	01/13/21	436.02	...	17.95	Option sale to close-call ²⁵
	Security total:	1,035.94		1,054.02	24.02 W	5.94	
IZEA 11/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
04/13/21	1.000	189.98	04/12/21	160.00	...	29.98	Option sale to close-call ²⁵
JPM 01/15/2021 CALL \$145.00 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	01/14/21	95.00	...	-95.00	Option expiration- long call
KR 12/17/2021 CALL \$44.00 / CUSIP: / Symbol:							
12/02/21	1.000	168.98	12/02/21	110.00	...	58.98	Option sale to close-call ²⁵

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MARA 04/16/2021 CALL \$53.50 / CUSIP: / Symbol:							
04/13/21	1.000	289.98	04/12/21	278.02	...	11.96	Option sale to close-call ²⁵
04/13/21	1.000	271.98	04/12/21	350.00	78.02 W	0.00	Option sale to close-call ²⁵
	Security total:	561.96		628.02	78.02 W	11.96	
MCD 01/29/2021 CALL \$215.00 / CUSIP: / Symbol:							
01/20/21	1.000	190.98	01/19/21	154.00	...	36.98	Option sale to close-call ²⁵
MGA 12/17/2021 CALL \$92.50 / CUSIP: / Symbol:							
11/16/21	1.000	140.98	11/15/21	135.00	...	5.98	Option sale to close-call ²⁵
MGNI 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
	2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	1.000	249.99	03/11/21	239.00	...	10.99	1 of 2 - Option sale to close-call ²⁵
	1.000	249.99	03/11/21	204.00	...	45.99	2 of 2 - Option sale to close-call ²⁵
03/12/21	2.000	499.98	Various	443.00	...	56.98	Total of 2 transactions
MNOV 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
	2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.						
	3.000	134.99	11/23/21	90.00	...	44.99	1 of 2 - Option sale to close-call ²⁵
	20.000	899.95	11/23/21	700.00	...	199.95	2 of 2 - Option sale to close-call ²⁵
11/24/21	23.000	1,034.94	Various	790.00	...	244.94	Total of 2 transactions
MOGO 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/19/21	15.00	...	-15.00	Option expiration- long call
MRAM 12/17/2021 CALL \$12.50 / CUSIP: / Symbol:							
	2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.						
	1.000	40.98	12/01/21	105.00	...	-64.02	1 of 2 - Option sale to close-call ²⁵
	1.000	40.98	12/01/21	105.00	...	-64.02	2 of 2 - Option sale to close-call ²⁵
12/02/21	2.000	81.96	Various	210.00	...	-128.04	Total of 2 transactions

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MRK 01/21/2022 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	1.000	204.99	11/16/21	285.00	...	-80.01	1 of 3 - Option sale to close-call ²⁵
	1.000	205.00	11/18/21	202.00	...	3.00	2 of 3 - Option sale to close-call ²⁵
	1.000	204.99	11/19/21	157.00	...	47.99	3 of 3 - Option sale to close-call ²⁵
11/23/21	3.000	614.98	Various	644.00	...	-29.02	Total of 3 transactions
MSFT 11/19/2021 CALL \$340.00 / CUSIP: / Symbol:							
11/15/21	1.000	146.98	11/12/21	163.00	...	-16.02	Option sale to close-call ²⁵
NAK 03/19/2021 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	13.99	01/29/21	34.01	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	13.99	01/29/21	34.01	...	-20.02	2 of 2 - Option sale to close-call ²⁵
02/02/21	2.000	27.98	Various	68.02	...	-40.04	Total of 2 transactions
02/02/21	2.000	27.98	01/29/21	48.00	20.02 W	0.00	Option sale to close-call ²⁵
	Security total:	55.96		116.02	20.02 W	-40.04	
NFLX 04/23/2021 CALL \$550.00 / CUSIP: / Symbol:							
04/15/21	1.000	1,909.98	04/14/21	1,590.00	...	319.98	Option sale to close-call ²⁵
NFLX 04/23/2021 CALL \$600.00 / CUSIP: / Symbol:							
5 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	1.000	364.99	04/13/21	875.01	...	-510.02	1 of 5 - Option sale to close-call ²⁵
	1.000	365.00	04/13/21	780.01	...	-415.01	2 of 5 - Option sale to close-call ²⁵
	1.000	364.99	04/13/21	560.00	...	-195.01	3 of 5 - Option sale to close-call ²⁵
	1.000	365.00	04/13/21	560.00	...	-195.00	4 of 5 - Option sale to close-call ²⁵
	2.000	729.99	04/14/21	780.00	...	-50.01	5 of 5 - Option sale to close-call ²⁵
04/14/21	6.000	2,189.97	Various	3,555.02	...	-1,365.05	Total of 5 transactions
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	1.000	364.99	04/13/21	695.00	330.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	364.99	04/13/21	600.00	235.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/14/21	2.000	729.98	Various	1,295.00	565.02 W	0.00	Total of 2 transactions
	Security total:	2,919.95		4,850.02	565.02 W	-1,365.05	

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NFLX 11/19/2021 CALL \$665.00 / CUSIP: / Symbol:							
11/12/21	1.000	1,472.98	11/11/21	1,169.00	...	303.98	Option sale to close-call ²⁵
NFLX 11/19/2021 CALL \$675.00 / CUSIP: / Symbol:							
11/15/21	1.000	-207.02	11/12/21	0.00	...	-207.02	Short sale closed- call ²⁵ Option written: 11/11/21
NIO 01/22/2021 CALL \$75.00 / CUSIP: / Symbol:							
01/19/21	1.000	4.98	01/14/21	30.00	...	-25.02	Option sale to close-call ²⁵
NIO 03/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/11/21	1.000	464.97	02/10/21	605.00	...	-140.03	Option sale to close-call ²⁵
NKLA 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/27/21	1.000	304.98	01/26/21	252.00	...	52.98	Option sale to close-call ²⁵
NKLA 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
01/28/21	1.000	144.98	01/27/21	350.00	...	-205.02	Option sale to close-call ²⁵
NNDM 08/20/2021 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	7.000	97.97	08/05/21	140.00	...	-42.03	1 of 3 - Option sale to close-call ²⁵
	1.000	14.00	08/05/21	26.02	...	-12.02	2 of 3 - Option sale to close-call ²⁵
	1.000	14.00	08/05/21	20.00	...	-6.00	3 of 3 - Option sale to close-call ²⁵
08/06/21	9.000	125.97	Various	186.02	...	-60.05	Total of 3 transactions
08/06/21	1.000	13.98	08/05/21	20.00	6.02 W	0.00	Option sale to close-call ²⁵
	Security total:	139.95		206.02	6.02 W	-60.05	
NVDA 01/15/2021 CALL \$550.00 / CUSIP: / Symbol:							
01/05/21	1.000	909.96	12/31/20	470.00	...	439.96	Option sale to close-call ²⁵
NVDA 01/29/2021 CALL \$610.00 / CUSIP: / Symbol:							
3 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	270.99	01/11/21	655.00	...	-384.01	1 of 3 - Option sale to close-call ²⁵
	2.000	541.98	01/11/21	870.00	...	-328.02	2 of 3 - Option sale to close-call ²⁵
	1.000	270.99	01/12/21	370.00	...	-99.01	3 of 3 - Option sale to close-call ²⁵
01/13/21	4.000	1,083.96	Various	1,895.00	...	-811.04	Total of 3 transactions

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NVDA 02/19/2021 CALL \$565.00 / CUSIP: / Symbol:							
01/06/21	1.000	1,449.95	01/05/21	1,935.00	485.05 W	0.00	Option sale to close-call ²⁵
01/11/21	1.000	2,509.93	01/06/21	2,085.05	...	424.88	Option sale to close-call ²⁵
	Security total:	3,959.88		4,020.05	485.05 W	424.88	
NVDA 02/19/2021 CALL \$600.00 / CUSIP: / Symbol:							
01/05/21	1.000	1,059.96	12/30/20	940.00	...	119.96	Option sale to close-call ²⁵
NVDA 05/21/2021 CALL \$610.00 / CUSIP: / Symbol:							
05/21/21	1.000	98.98	05/21/21	375.00	...	-276.02	Option sale to close-call ²⁵
NVDA 11/19/2021 CALL \$305.00 / CUSIP: / Symbol:							
11/12/21	1.000	784.98	11/11/21	1,135.00	...	-350.02	Option sale to close-call ²⁵
NXTD 01/21/2022 CALL \$3.50 / CUSIP: / Symbol:							
	<i>2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	84.98	12/15/21	46.00	...	38.98	1 of 2 - Option sale to close-call ²⁵
	1.000	85.98	12/15/21	46.00	...	39.98	2 of 2 - Option sale to close-call ²⁵
12/23/21	2.000	170.96	Various	92.00	...	78.96	Total of 2 transactions
OCGN 12/31/2021 CALL \$5.50 / CUSIP: / Symbol:							
12/31/21	2.000	0.00	12/23/21	50.00	...	-50.00	Option expiration- long call
OCUP 12/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
	<i>3 transactions for 11/24/21. Total proceeds and cost reported to the IRS.</i>						
	15.000	524.97	11/24/21	750.00	...	-225.03	1 of 3 - Option sale to close-call ²⁵
	15.000	524.97	11/24/21	750.00	...	-225.03	2 of 3 - Option sale to close-call ²⁵
	7.000	244.98	11/24/21	315.00	...	-70.02	3 of 3 - Option sale to close-call ²⁵
11/24/21	37.000	1,294.92	Various	1,815.00	...	-520.08	Total of 3 transactions
OEG 06/18/2021 CALL \$7.50 / CUSIP: / Symbol:							
06/02/21	1.000	109.98	06/01/21	150.00	...	-40.02	Option sale to close-call ²⁵
OPK 06/11/2021 CALL \$4.00 / CUSIP: / Symbol:							
06/01/21	10.000	79.97	05/28/21	220.03	...	-140.06	Option sale to close-call ²⁵
06/01/21	10.000	79.97	05/28/21	150.00	70.03 W	0.00	Option sale to close-call ²⁵
	Security total:	159.94		370.03	70.03 W	-140.06	

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PENN 10/15/2021 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1,009.99	04/27/21	1,235.00	...	-225.01	1 of 2 - Option sale to close-call ²⁵
	1.000	1,009.98	04/28/21	1,098.00	...	-88.02	2 of 2 - Option sale to close-call ²⁵
05/03/21	2.000	2,019.97	Various	2,333.00	...	-313.03	Total of 2 transactions
PINS 01/29/2021 CALL \$68.00 / CUSIP: / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	168.99	01/27/21	168.00	...	0.99	1 of 2 - Option sale to close-call ²⁵
	1.000	168.99	01/27/21	162.00	...	6.99	2 of 2 - Option sale to close-call ²⁵
01/28/21	2.000	337.98	Various	330.00	...	7.98	Total of 2 transactions
PINS 04/23/2021 CALL \$85.00 / CUSIP: / Symbol:							
04/13/21	1.000	255.98	04/12/21	230.00	...	25.98	Option sale to close-call ²⁵
PINS 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
05/10/21	1.000	549.98	05/07/21	655.00	...	-105.02	Option sale to close-call ²⁵
PINS 12/17/2021 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 11/16/21. Total proceeds and cost reported to the IRS.							
	1.000	238.99	11/15/21	209.00	...	29.99	1 of 3 - Option sale to close-call ²⁵
	1.000	244.98	11/15/21	213.00	...	31.98	2 of 3 - Option sale to close-call ²⁵
	1.000	238.99	11/15/21	203.00	...	35.99	3 of 3 - Option sale to close-call ²⁵
11/16/21	3.000	722.96	Various	625.00	...	97.96	Total of 3 transactions
PLTR 04/16/2021 CALL \$24.00 / CUSIP: / Symbol:							
04/16/21	10.000	49.97	04/15/21	110.00	...	-60.03	Option sale to close-call ²⁵
PLTR 11/19/2021 CALL \$23.50 / CUSIP: / Symbol:							
3 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/15/21	36.00	...	-36.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/15/21	36.00	...	-36.00	2 of 3 - Option expiration- long call
	1.000	0.00	11/15/21	36.00	...	-36.00	3 of 3 - Option expiration- long call
11/19/21	3.000	0.00	Various	108.00	...	-108.00	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG 05/07/2021 CALL \$29.00 / CUSIP: / Symbol:							
6 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	7.000	433.98	04/29/21	1,890.02	...	-1,456.04	1 of 6 - Option sale to close-call ²⁵
	2.000	123.99	04/29/21	528.01	...	-404.02	2 of 6 - Option sale to close-call ²⁵
	21.000	1,301.94	05/03/21	1,680.00	...	-378.06	3 of 6 - Option sale to close-call ²⁵
	2.000	124.00	05/03/21	380.01	...	-256.01	4 of 6 - Option sale to close-call ²⁵
	1.000	62.00	05/03/21	190.00	...	-128.00	5 of 6 - Option sale to close-call ²⁵
	1.000	62.00	05/03/21	178.00	...	-116.00	6 of 6 - Option sale to close-call ²⁵
05/03/21	34.000	2,107.91	Various	4,846.04	...	-2,738.13	Total of 6 transactions
3 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	2.000	123.99	04/29/21	332.00	208.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	10.000	619.97	04/29/21	1,720.00	1,100.03 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	62.00	04/29/21	160.00	98.00 W	0.00	3 of 3 - Option sale to close-call ²⁵
05/03/21	13.000	805.96	Various	2,212.00	1,406.04 W	0.00	Total of 3 transactions
	Security total:	2,913.87		7,058.04	1,406.04 W	-2,738.13	
PTON 08/20/2021 CALL \$130.00 / CUSIP: / Symbol:							
3 transactions for 07/28/21. Total proceeds and cost reported to the IRS.							
	1.000	369.99	07/27/21	460.00	...	-90.01	1 of 3 - Option sale to close-call ²⁵
	1.000	370.00	07/27/21	390.00	...	-20.00	2 of 3 - Option sale to close-call ²⁵
	1.000	369.99	07/27/21	305.00	...	64.99	3 of 3 - Option sale to close-call ²⁵
07/28/21	3.000	1,109.98	Various	1,155.00	...	-45.02	Total of 3 transactions
PYPL 01/21/2022 CALL \$210.00 / CUSIP: / Symbol:							
11/23/21	1.000	494.98	11/22/21	445.00	...	49.98	Option sale to close-call ²⁵
PYPL 04/16/2021 CALL \$270.00 / CUSIP: / Symbol:							
04/09/21	1.000	285.98	04/08/21	191.00	...	94.98	Option sale to close-call ²⁵
PYPL 05/07/2021 CALL \$260.00 / CUSIP: / Symbol:							
4 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	2.000	233.98	05/06/21	186.00	...	47.98	1 of 4 - Option sale to close-call ²⁵
	6.000	701.98	05/06/21	558.00	...	143.98	2 of 4 - Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PYPL 05/07/2021 CALL \$260.00 / CUSIP: / Symbol: (cont'd)							
	4.000	467.98	05/06/21	304.00	...	163.98	3 of 4 - Option sale to close-call ²⁵
	7.000	818.98	05/06/21	651.00	...	167.98	4 of 4 - Option sale to close-call ²⁵
05/06/21	19.000	2,222.92	Various	1,699.00	...	523.92	Total of 4 transactions
PYPL 05/21/2021 CALL \$247.50 / CUSIP: / Symbol:							
05/20/21	1.000	299.98	05/18/21	310.00	...	-10.02	Option sale to close-call ²⁵
PYPL 11/19/2021 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	27.99	11/16/21	144.00	...	-116.01	1 of 2 - Option sale to close-call ²⁵
	1.000	27.99	11/16/21	68.00	...	-40.01	2 of 2 - Option sale to close-call ²⁵
11/17/21	2.000	55.98	Various	212.00	...	-156.02	Total of 2 transactions
QCOM 03/19/2021 CALL \$165.00 / CUSIP: / Symbol:							
02/08/21	1.000	179.98	02/05/21	204.00	...	-24.02	Option sale to close-call ²⁵
QQQ 04/16/2021 CALL \$321.00 / CUSIP: / Symbol:							
03/02/21	1.000	1,117.98	03/02/21	1,113.00	...	4.98	Option sale to close-call ²⁵
QS 04/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
03/22/21	1.000	449.98	03/22/21	514.00	...	-64.02	Option sale to close-call ²⁵
RDFN 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
05/07/21	1.000	549.98	05/06/21	410.00	...	139.98	Option sale to close-call ²⁵
RIDE 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/11/21	1.000	177.98	02/10/21	198.00	...	-20.02	Option sale to close-call ²⁵
ROKU 04/30/2021 CALL \$380.00 / CUSIP: / Symbol:							
04/29/21	5.000	1,874.98	04/29/21	1,575.00	...	299.98	Option sale to close-call ²⁵
ROKU 11/19/2021 CALL \$280.00 / CUSIP: / Symbol:							
11/15/21	1.000	419.98	11/12/21	460.00	...	-40.02	Option sale to close-call ²⁵
SDC 10/15/2021 CALL \$6.50 / CUSIP: / Symbol:							
10/06/21	1.000	56.98	10/06/21	54.00	...	2.98	Option sale to close-call ²⁵

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SENS 12/17/2021 CALL \$4.00 / CUSIP: / Symbol:							
11/04/21	1.000	59.98	11/03/21	60.00	...	-0.02	Option sale to close-call ²⁵
SFIX 05/21/2021 CALL \$55.00 / CUSIP: / Symbol:							
04/09/21	1.000	304.98	04/08/21	355.00	...	-50.02	Option sale to close-call ²⁵
SHOP 12/03/2021 CALL \$1,595.00 / CUSIP: / Symbol:							
2 transactions for 12/01/21. Total proceeds and cost reported to the IRS.							
	1.000	1,054.98	11/30/21	3,877.02	...	-2,822.04	1 of 2 - Option sale to close-call ²⁵
	1.000	1,054.99	11/30/21	980.00	...	74.99	2 of 2 - Option sale to close-call ²⁵
12/01/21	2.000	2,109.97	Various	4,857.02	...	-2,747.05	Total of 2 transactions
12/01/21	1.000	1,054.98	11/30/21	3,297.00	2,242.02 W	0.00	Option sale to close-call ²⁵
	Security total:	3,164.95		8,154.02	2,242.02 W	-2,747.05	
SHOP 12/03/2021 CALL \$1,610.00 / CUSIP: / Symbol:							
3 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	1.000	-60.02	12/01/21	0.00	...	-60.02	1 of 3 - Short sale closed- call ²⁵ Option written: 11/30/21
	1.000	459.98	12/01/21	0.00	...	459.98	2 of 3 - Short sale closed- call ²⁵ Option written: 11/30/21
	1.000	1,817.97	12/01/21	0.00	...	1,817.97	3 of 3 - Short sale closed- call ²⁵ Option written: 11/30/21
12/02/21	3.000	2,217.93	Various	0.00	...	2,217.93	Total of 3 transactions
SKLZ 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/05/21	1.000	519.97	02/04/21	320.00	...	199.97	Option sale to close-call ²⁵
SNAP 05/28/2021 CALL \$58.00 / CUSIP: / Symbol:							
05/20/21	2.000	309.98	05/20/21	192.00	...	117.98	Option sale to close-call ²⁵
SNAP 08/13/2021 CALL \$77.00 / CUSIP: / Symbol:							
08/05/21	1.000	222.98	08/04/21	241.00	...	-18.02	Option sale to close-call ²⁵
SNDL 06/11/2021 CALL \$1.00 / CUSIP: / Symbol:							
05/28/21	10.000	179.97	05/27/21	110.00	...	69.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNDL 08/20/2021 CALL \$1.00 / CUSIP: / Symbol:							
08/20/21	25.000	0.00	08/05/21	100.00	...	-100.00	Option expiration- long call
SNOW 03/19/2021 CALL \$275.00 / CUSIP: / Symbol:							
03/02/21	1.000	1,629.98	03/02/21	1,740.00	...	-110.02	Option sale to close-call ²⁵
SNOW 04/16/2021 CALL \$240.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,800.98	03/15/21	1,829.00	...	-28.02	Option sale to close-call ²⁵
SNOW 04/16/2021 CALL \$260.00 / CUSIP: / Symbol:							
03/17/21	1.000	37.98	03/16/21	0.00	...	37.98	Short sale closed- call ²⁵ Option written: 03/15/21
SNOW 04/16/2021 CALL \$265.00 / CUSIP: / Symbol:							
03/15/21	1.000	959.98	03/12/21	950.00	...	9.98	Option sale to close-call ²⁵
SNOW 04/23/2021 CALL \$235.00 / CUSIP: / Symbol:							
04/13/21	1.000	899.98	04/12/21	470.00	...	429.98	Option sale to close-call ²⁵
SPCE 11/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 11/09/21. Total proceeds and cost reported to the IRS.							
	1.000	10.99	11/04/21	37.00	...	-26.01	1 of 2 - Option sale to close-call ²⁵
	1.000	10.99	11/04/21	37.00	...	-26.01	2 of 2 - Option sale to close-call ²⁵
11/09/21	2.000	21.98	Various	74.00	...	-52.02	Total of 2 transactions
SPY 04/16/2021 PUT \$408.00 / CUSIP: / Symbol:							
04/14/21	1.000	69.98	04/13/21	98.00	...	-28.02	Option sale to close-put ²⁵
SQ 04/01/2021 CALL \$250.00 / CUSIP: / Symbol:							
03/02/21	1.000	1,804.98	03/02/21	1,940.00	...	-135.02	Option sale to close-call ²⁵
SQ 11/19/2021 CALL \$227.50 / CUSIP: / Symbol:							
11/15/21	1.000	804.98	11/12/21	470.00	...	334.98	Option sale to close-call ²⁵
SQ 11/19/2021 CALL \$235.00 / CUSIP: / Symbol:							
11/16/21	1.000	450.98	11/15/21	365.00	...	85.98	Option sale to close-call ²⁵

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(continued)

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SQ 12/17/2021 CALL \$250.00 / CUSIP: / Symbol:							
11/15/21	2.000	1,019.98	11/12/21	724.00	...	295.98	Option sale to close-call ²⁵
SRAX 08/20/2021 CALL \$5.00 / CUSIP: / Symbol:							
02/02/21	1.000	134.98	02/01/21	175.00	...	-40.02	Option sale to close-call ²⁵
SRNE 06/11/2021 CALL \$9.50 / CUSIP: / Symbol:							
06/11/21	1.000	3.98	06/09/21	22.00	...	-18.02	Option sale to close-call ²⁵
SRNE 08/20/2021 CALL \$8.50 / CUSIP: / Symbol:							
08/05/21	2.000	99.98	08/04/21	92.00	...	7.98	Option sale to close-call ²⁵
STPK 03/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	769.98	02/16/21	700.00	...	69.98	1 of 2 - Option sale to close-call ²⁵
	1.000	769.97	02/16/21	600.00	...	169.97	2 of 2 - Option sale to close-call ²⁵
02/17/21	2.000	1,539.95	Various	1,300.00	...	239.95	Total of 2 transactions
STPK 09/17/2021 CALL \$50.00 / CUSIP: / Symbol:							
02/18/21	1.000	1,219.96	02/17/21	1,400.00	...	-180.04	Option sale to close-call ²⁵
SUP 02/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
02/16/21	1.000	29.98	02/12/21	55.00	...	-25.02	Option sale to close-call ²⁵
SWKS 02/05/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/27/21	1.000	89.98	01/26/21	141.00	...	-51.02	Option sale to close-call ²⁵
TSLA 04/09/2021 CALL \$692.50 / CUSIP: / Symbol:							
04/08/21	1.000	799.98	04/08/21	563.00	...	236.98	Option sale to close-call ²⁵
TSLA 04/16/2021 CALL \$750.00 / CUSIP: / Symbol:							
4 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	528.00	04/15/21	505.00	...	23.00	1 of 4 - Option sale to close-call ²⁵
	1.000	527.99	04/15/21	485.00	...	42.99	2 of 4 - Option sale to close-call ²⁵
	4.000	2,111.98	04/15/21	1,748.00	...	363.98	3 of 4 - Option sale to close-call ²⁵
	5.000	2,639.97	04/15/21	1,715.00	...	924.97	4 of 4 - Option sale to close-call ²⁵
04/15/21	11.000	5,807.94	Various	4,453.00	...	1,354.94	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 04/23/2021 CALL \$720.00 / CUSIP: / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	1.000	1,299.98	04/08/21	2,280.00	...	-980.02	1 of 2 - Option sale to close-call ²⁵
	1.000	1,299.99	04/08/21	1,850.00	...	-550.01	2 of 2 - Option sale to close-call ²⁵
04/09/21	2.000	2,599.97	Various	4,130.00	...	-1,530.03	Total of 2 transactions
TSLA 05/21/2021 CALL \$715.00 / CUSIP: / Symbol:							
05/05/21	1.000	1,639.98	05/04/21	1,420.00	...	219.98	Option sale to close-call ²⁵
TSLA 08/06/2021 CALL \$687.50 / CUSIP: / Symbol:							
07/30/21	1.000	1,712.98	07/30/21	1,713.00	...	-0.02	Option sale to close-call ²⁵
TSLA 08/06/2021 CALL \$700.00 / CUSIP: / Symbol:							
08/02/21	1.000	1.98	07/30/21	0.00	...	1.98	Short sale closed- call ²⁵ Option written: 07/30/21
TSLA 08/13/2021 CALL \$710.00 / CUSIP: / Symbol:							
08/06/21	1.000	1,314.98	08/06/21	1,463.00	...	-148.02	Option sale to close-call ²⁵
TSLA 08/13/2021 CALL \$717.50 / CUSIP: / Symbol:							
08/09/21	1.000	117.98	08/06/21	0.00	...	117.98	Short sale closed- call ²⁵ Option written: 08/06/21
TSLA 08/13/2021 PUT \$695.00 / CUSIP: / Symbol:							
08/09/21	1.000	-14.02	08/06/21	0.00	...	-14.02	Short sale closed- put ²⁵ Option written: 08/06/21
TSLA 08/13/2021 PUT \$702.50 / CUSIP: / Symbol:							
08/06/21	1.000	1,446.98	08/06/21	1,438.00	...	8.98	Option sale to close-put ²⁵
TSLA 11/12/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	1.000	40.99	11/11/21	650.00	...	-609.01	1 of 2 - Option sale to close-call ²⁵
	1.000	40.99	11/11/21	365.00	...	-324.01	2 of 2 - Option sale to close-call ²⁵
11/12/21	2.000	81.98	Various	1,015.00	...	-933.02	Total of 2 transactions

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Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 11/26/2021 CALL \$1,195.00 / CUSIP: / Symbol:							
11/22/21	1.000	3,004.97	11/22/21	3,406.00	...	-401.03	Option sale to close-call ²⁵
TSLA 11/26/2021 CALL \$1,205.00 / CUSIP: / Symbol:							
11/23/21	1.000	365.97	11/22/21	0.00	...	365.97	Short sale closed- call ²⁵ Option written: 11/22/21
TSM 03/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
02/09/21	2.000	839.97	02/08/21	780.00	...	59.97	Option sale to close-call ²⁵
UA 09/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/05/21	1.000	139.98	08/04/21	105.00	...	34.98	Option sale to close-call ²⁵
UAL 04/23/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	1.000	414.99	03/09/21	419.00	...	-4.01	1 of 2 - Option sale to close-call ²⁵
	1.000	414.99	03/09/21	419.00	...	-4.01	2 of 2 - Option sale to close-call ²⁵
03/09/21	2.000	829.98	Various	838.00	...	-8.02	Total of 2 transactions
UAL 08/13/2021 CALL \$46.00 / CUSIP: / Symbol:							
08/05/21	1.000	113.98	08/04/21	98.00	...	15.98	Option sale to close-call ²⁵
UAVS 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/10/21	1.000	459.97	02/09/21	410.00	...	49.97	Option sale to close-call ²⁵
UBER 04/30/2021 CALL \$57.00 / CUSIP: / Symbol:							
04/23/21	1.000	127.98	04/22/21	114.00	...	13.98	Option sale to close-call ²⁵
VFF 11/19/2021 CALL \$9.00 / CUSIP: / Symbol:							
11/09/21	2.000	69.98	11/09/21	80.00	...	-10.02	Option sale to close-call ²⁵
WFC 02/26/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	2.000	41.99	02/17/21	62.00	...	-20.01	1 of 2 - Option sale to close-call ²⁵
	1.000	20.99	02/17/21	19.00	...	1.99	2 of 2 - Option sale to close-call ²⁵
02/18/21	3.000	62.98	Various	81.00	...	-18.02	Total of 2 transactions

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(continued)

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WHR 04/23/2021 CALL \$245.00 / CUSIP: / Symbol:							
9 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	5.000	24.98	04/22/21	475.02	...	-450.04	1 of 9 - Option sale to close-call ²⁵
	2.000	9.99	04/22/21	280.00	...	-270.01	2 of 9 - Option sale to close-call ²⁵
	5.000	24.99	04/22/21	225.00	...	-200.01	3 of 9 - Option sale to close-call ²⁵
	1.000	5.00	04/22/21	190.02	...	-185.02	4 of 9 - Option sale to close-call ²⁵
	1.000	5.00	04/22/21	190.02	...	-185.02	5 of 9 - Option sale to close-call ²⁵
	1.000	5.00	04/22/21	185.02	...	-180.02	6 of 9 - Option sale to close-call ²⁵
	2.000	9.99	04/22/21	170.01	...	-160.02	7 of 9 - Option sale to close-call ²⁵
	3.000	14.99	04/22/21	135.00	...	-120.01	8 of 9 - Option sale to close-call ²⁵
	1.000	4.99	04/22/21	80.00	...	-75.01	9 of 9 - Option sale to close-call ²⁵
04/22/21	21.000	104.93	Various	1,930.09	...	-1,825.16	Total of 9 transactions
9 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	10.000	49.97	04/22/21	750.00	210.01 W	-490.02	1 of 9 - Option sale to close-call ²⁵
	1.000	9.98	04/22/21	100.00	90.02 W	0.00	2 of 9 - Option sale to close-call ²⁵
	1.000	4.98	04/22/21	100.00	95.02 W	0.00	3 of 9 - Option sale to close-call ²⁵
	1.000	4.98	04/22/21	100.00	95.02 W	0.00	4 of 9 - Option sale to close-call ²⁵
	2.000	10.00	04/22/21	200.00	190.00 W	0.00	5 of 9 - Option sale to close-call ²⁵
	1.000	5.00	04/22/21	175.02	170.02 W	0.00	6 of 9 - Option sale to close-call ²⁵
	1.000	5.00	04/22/21	180.02	175.02 W	0.00	7 of 9 - Option sale to close-call ²⁵
	1.000	5.00	04/22/21	180.02	175.02 W	0.00	8 of 9 - Option sale to close-call ²⁵
	5.000	24.98	04/22/21	425.00	400.02 W	0.00	9 of 9 - Option sale to close-call ²⁵
04/22/21	23.000	119.89	Various	2,210.06	1,600.15 W	-490.02	Total of 9 transactions
	Security total:	224.82		4,140.15	1,600.15 W	-2,315.18	
WISH 12/23/2021 CALL \$4.00 / CUSIP: / Symbol:							
5 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	10.000	9.97	12/21/21	30.00	...	-20.03	1 of 5 - Option sale to close-call ²⁵
	2.000	1.98	12/21/21	10.02	...	-8.04	2 of 5 - Option sale to close-call ²⁵
	2.000	1.98	12/21/21	6.00	...	-4.02	3 of 5 - Option sale to close-call ²⁵
	1.000	0.99	12/21/21	5.01	...	-4.02	4 of 5 - Option sale to close-call ²⁵
	1.000	0.99	12/21/21	5.01	...	-4.02	5 of 5 - Option sale to close-call ²⁵
12/22/21	16.000	15.91	Various	56.04	...	-40.13	Total of 5 transactions

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2021 1099-B* OMB No. 1545-0715

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02/11/2022

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WISH 12/23/2021 CALL \$4.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	2.000	1.98	12/21/21	6.00	4.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	1.98	12/21/21	6.00	4.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/22/21	4.000	3.96	Various	12.00	8.04 W	0.00	Total of 2 transactions
	Security total:	19.87		68.04	8.04 W	-40.13	
X 06/11/2021 CALL \$26.50 / CUSIP: / Symbol:							
06/04/21	1.000	62.98	06/04/21	108.00	...	-45.02	Option sale to close-call ²⁵
X 12/17/2021 CALL \$25.00 / CUSIP: / Symbol:							
12/15/21	1.000	1.98	12/01/21	65.00	...	-63.02	Option sale to close-call ²⁵
Z 05/21/2021 PUT \$105.00 / CUSIP: / Symbol:							
05/06/21	1.000	255.98	05/05/21	172.00	...	83.98	Option sale to close-put ²⁵
ZM 02/19/2021 CALL \$450.00 / CUSIP: / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	509.98	02/05/21	890.00	...	-380.02	1 of 2 - Option sale to close-call ²⁵
	1.000	509.98	02/08/21	560.00	...	-50.02	2 of 2 - Option sale to close-call ²⁵
02/09/21	2.000	1,019.96	Various	1,450.00	...	-430.04	Total of 2 transactions
ZM 07/30/2021 CALL \$380.00 / CUSIP: / Symbol:							
07/29/21	1.000	659.98	07/29/21	510.00	...	149.98	Option sale to close-call ²⁵
AEMETIS, INC. (DE) COMMON STOC K / CUSIP: 00770K202 / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	0.003	0.07	03/22/21	0.07	...	0.00	1 of 2 - Sale ²⁵
	4.430	105.87	03/22/21	99.93	...	5.94	2 of 2 - Sale ²⁵
03/23/21	4.433	105.94	Various	100.00	...	5.94	Total of 2 transactions
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
02/09/21	275.000	3,959.88	02/09/21	3,597.39	...	362.49	Sale ²⁵
ALLIED ESPORTS ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 019170109 / Symbol:							
02/11/21	200.000	602.96	02/10/21	614.00	...	-11.04	Sale ²⁵

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ASENSUS SURGICAL, INC. / CUSIP: 04367G103 / Symbol:							
03/05/21	245.000	801.13	03/04/21	1,040.98	...	-239.85	Sale ²⁵
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
02/11/21	200.000	1,852.15	02/10/21	1,816.00	...	36.15	Sale ²⁵
BONE BIOLOGICS CORP COMMON STO CK / CUSIP: 098070303 / Symbol:							
11/22/21	105.934	432.20	11/22/21	496.30	...	-64.10	Sale ²⁵
11/22/21	0.066	0.27	11/22/21	0.31	0.04 W	0.00	Sale ²⁵
<i>2 transactions for 11/23/21. Total proceeds and cost reported to the IRS.</i>							
	0.657	2.52	11/22/21	3.08	...	-0.56	1 of 2 - Sale ²⁵
	0.066	0.25	11/22/21	0.35	...	-0.10	2 of 2 - Sale ²⁵
11/23/21	0.724	2.77	Various	3.43	...	-0.66	Total of 2 transactions
	Security total:	435.24		500.04	0.04 W	-64.76	
BSQUARE CORPORATION COMMON STO CK / CUSIP: 11776U300 / Symbol:							
02/10/21	100.000	1,038.08	02/09/21	946.00	...	92.08	Sale ²⁵
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
<i>2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	33.000	404.73	02/05/21	425.70	1.91 W	-19.06	1 of 2 - Sale ²⁵
	30.000	367.94	02/05/21	382.50	14.56 W	0.00	2 of 2 - Sale ²⁵
02/08/21	63.000	772.67	Various	808.20	16.47 W	-19.06	Total of 2 transactions
02/09/21	167.000	2,199.32	02/05/21	2,154.30	...	45.02	Sale ²⁵
<i>2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	39.51	02/05/21	40.76	1.25 W	0.00	1 of 2 - Sale ²⁵
	30.000	395.09	02/05/21	403.06	7.97 W	0.00	2 of 2 - Sale ²⁵
02/09/21	33.000	434.60	Various	443.82	9.22 W	0.00	Total of 2 transactions
<i>3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	47.000	590.86	02/09/21	638.85	...	-47.99	1 of 3 - Sale ²⁵
	30.000	377.14	02/09/21	415.75	...	-38.61	2 of 3 - Sale ²⁵
	3.000	37.71	02/09/21	42.03	...	-4.32	3 of 3 - Sale ²⁵
02/10/21	80.000	1,005.71	Various	1,096.63	...	-90.92	Total of 3 transactions
	Security total:	4,412.30		4,502.95	25.69 W	-64.96	

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Account 136566486

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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DARIOHEALTH CORP. COMMON STOCK / CUSIP: 23725P209 / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	3.991	96.07	03/22/21	98.42	...	-2.35	1 of 2 - Sale ²⁵
	0.064	1.55	03/22/21	1.58	...	-0.03	2 of 2 - Sale ²⁵
03/23/21	4.056	97.62	Various	100.00	...	-2.38	Total of 2 transactions
1847 GOEDEKER INC. / CUSIP: 28252C109 / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	100.000	215.04	11/29/21	219.50	...	-4.46	1 of 2 - Sale ²⁵
	100.000	215.04	11/29/21	219.50	...	-4.46	2 of 2 - Sale ²⁵
11/30/21	200.000	430.08	Various	439.00	...	-8.92	Total of 2 transactions
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	100.000	281.09	02/09/21	204.00	...	77.09	1 of 3 - Sale ²⁵
	200.000	562.18	02/09/21	408.00	...	154.18	2 of 3 - Sale ²⁵
	200.000	562.18	02/09/21	386.00	...	176.18	3 of 3 - Sale ²⁵
02/10/21	500.000	1,405.45	Various	998.00	...	407.45	Total of 3 transactions
GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol:							
02/18/21	220.000	760.16	02/17/21	907.50	...	-147.34	Sale ²⁵
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
3 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	18.861	86.29	03/22/21	98.64	...	-12.35	1 of 3 - Sale ²⁵
	0.122	0.56	03/22/21	0.71	...	-0.15	2 of 3 - Sale ²⁵
	0.017	0.08	03/22/21	0.09	...	-0.01	3 of 3 - Sale ²⁵
03/23/21	19.000	86.93	Various	99.44	...	-12.51	Total of 3 transactions
03/23/21	0.122	0.56	03/22/21	0.63	0.07 W	0.00	Sale ²⁵
	Security total:	87.49		100.07	0.07 W	-12.51	

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IT TECH PACKAGING, INC. / CUSIP: 46527C100 / Symbol:							
3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	965.000	1,302.60	02/16/21	1,378.70	...	-76.10	1 of 3 - Sale ²⁵
	53.000	71.54	02/16/21	74.73	...	-3.19	2 of 3 - Sale ²⁵
	22.000	29.70	02/16/21	31.02	...	-1.32	3 of 3 - Sale ²⁵
02/17/21	1,040.000	1,403.84	Various	1,484.45	...	-80.61	Total of 3 transactions
JOANN, INC. COMMON STOCK / CUSIP: 47768J101 / Symbol:							
04/12/21	250.000	3,001.73	04/09/21	3,015.00	...	-13.27	Sale ²⁵
MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol:							
4 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	19.000	67.55	11/23/21	84.54	...	-16.99	1 of 4 - Sale ²⁵
	65.000	231.07	11/23/21	240.50	...	-9.43	2 of 4 - Sale ²⁵
	35.000	124.42	11/23/21	129.50	...	-5.08	3 of 4 - Sale ²⁵
	1.000	3.56	11/23/21	4.60	...	-1.04	4 of 4 - Sale ²⁵
11/24/21	120.000	426.60	Various	459.14	...	-32.54	Total of 4 transactions
11/24/21	100.000	355.49	11/23/21	370.00	0.15 W	-14.36	Sale ²⁵
	Security total:	782.09		829.14	0.15 W	-46.90	
MERCURY FINTECH HOLDING INC. ADS / CUSIP: 58936H109 / Symbol:							
03/23/21	10.576	104.30	03/22/21	100.00	...	4.30	Sale ²⁵
MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol:							
03/19/21	50.000	578.54	03/19/21	548.00	...	30.54	Sale ²⁵
NATIONAL HOLDINGS CORPORATION COMMON STOCK / CUSIP: 636375206 / Symbol:							
02/11/21	100.000	330.03	02/10/21	344.00	...	-13.97	Sale ²⁵
NEW CONCEPT ENERGY INC / CUSIP: 643611106 / Symbol:							
04/06/21	500.000	2,974.92	04/06/21	2,844.55	...	130.37	Sale ²⁵
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
4 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	0.112	0.56	01/25/21	0.55	...	0.01	1 of 4 - Sale ²⁵
	25.000	123.53	01/25/21	123.25	...	0.28	2 of 4 - Sale ²⁵

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NOKIA CORPORATION / CUSIP: 654902204 / Symbol: (cont'd)							
	73.000	360.61	01/25/21	359.89	...	0.72	3 of 4 - Sale ²⁵
	267.000	1,319.35	01/25/21	1,316.31	...	3.04	4 of 4 - Sale ²⁵
01/26/21	365.112	1,804.05	Various	1,800.00	...	4.05	Total of 4 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
7 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.181	0.51	02/02/21	0.57	0.06 W	0.00	1 of 7 - Sale ²⁵
	151.000	426.55	02/02/21	479.43	52.88 W	0.00	2 of 7 - Sale ²⁵
	0.805	2.27	02/02/21	2.58	0.31 W	0.00	3 of 7 - Sale ²⁵
	124.000	350.28	02/02/21	397.42	47.14 W	0.00	4 of 7 - Sale ²⁵
	0.069	0.19	02/02/21	0.22	0.03 W	0.00	5 of 7 - Sale ²⁵
	3.946	11.15	02/02/21	12.78	1.63 W	0.00	6 of 7 - Sale ²⁵
	23.166	65.44	02/02/21	75.00	9.56 W	0.00	7 of 7 - Sale ²⁵
02/03/21	303.167	856.39	Various	968.00	111.61 W	0.00	Total of 7 transactions
02/05/21	196.833	1,180.95	02/05/21	1,161.31	...	19.64	Sale ²⁵
7 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	0.069	0.41	02/05/21	0.44	0.03 W	0.00	1 of 7 - Sale ²⁵
	0.181	1.09	02/05/21	1.13	0.04 W	0.00	2 of 7 - Sale ²⁵
	0.805	4.83	02/05/21	5.06	0.23 W	0.00	3 of 7 - Sale ²⁵
	3.946	23.67	02/05/21	24.91	1.24 W	0.00	4 of 7 - Sale ²⁵
	23.166	138.99	02/05/21	146.24	7.25 W	0.00	5 of 7 - Sale ²⁵
	124.000	743.97	02/05/21	778.74	34.77 W	0.00	6 of 7 - Sale ²⁵
	151.000	905.96	02/05/21	943.78	37.82 W	0.00	7 of 7 - Sale ²⁵
02/05/21	303.167	1,818.92	Various	1,900.30	81.38 W	0.00	Total of 7 transactions
11 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	99.819	1,139.89	02/10/21	1,149.97	...	-10.08	1 of 11 - Sale ²⁵
	0.181	2.07	02/10/21	2.08	...	-0.01	2 of 11 - Sale ²⁵
	146.833	1,676.78	02/11/21	1,758.14	...	-81.36	3 of 11 - Sale ²⁵
	75.986	867.73	02/11/21	931.15	...	-63.42	4 of 11 - Sale ²⁵
	23.166	264.55	02/11/21	284.63	...	-20.08	5 of 11 - Sale ²⁵
	16.000	182.71	02/11/21	189.60	...	-6.89	6 of 11 - Sale ²⁵
	3.946	45.06	02/11/21	48.49	...	-3.43	7 of 11 - Sale ²⁵
	0.069	0.79	02/11/21	0.85	...	-0.06	8 of 11 - Sale ²⁵
	0.805	9.19	02/11/21	8.14	...	1.05	9 of 11 - Sale ²⁵

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(continued)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	48.014	548.30	02/11/21	485.44	...	62.86	10 of 11 - Sale ²⁵
	51.181	584.47	02/11/21	515.93	...	68.54	11 of 11 - Sale ²⁵
02/16/21	466.000	5,321.54	Various	5,374.42	...	-52.88	Total of 11 transactions
	Security total:	9,177.80		9,404.03	192.99 W	-33.24	
P & F INDUSTRIES, INC. CLASS A COMMON STOCK / CUSIP: 692830508 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	135.000	874.53	02/04/21	897.80	...	-23.27	1 of 2 - Sale ²⁵
	15.000	97.17	02/04/21	99.76	...	-2.59	2 of 2 - Sale ²⁵
02/04/21	150.000	971.70	Various	997.56	...	-25.86	Total of 2 transactions
PREDICTIVE ONCOLOGY INC. COMMON STOCK / CUSIP: 74039M200 / Symbol:							
2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	495.000	940.92	02/17/21	1,083.51	...	-142.59	1 of 2 - Sale ²⁵
	195.000	370.66	02/17/21	403.65	...	-32.99	2 of 2 - Sale ²⁵
02/18/21	690.000	1,311.58	Various	1,487.16	...	-175.58	Total of 2 transactions
PROFESSIONAL DIVERSITY NETWORK, INC. COMMON STOCK / CUSIP: 74312Y202 / Symbol:							
02/17/21	245.000	1,347.44	02/17/21	1,036.62	...	310.82	Sale ²⁵
02/18/21	200.000	855.76	02/17/21	960.00	...	-104.24	Sale ²⁵
	Security total:	2,203.20		1,996.62	...	206.58	
RAVE RESTAURANT GROUP, INC. COMMON STOCK / CUSIP: 754198109 / Symbol:							
02/12/21	5.000	7.70	02/11/21	8.15	...	-0.45	Sale ²⁵
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
04/12/21	85.000	803.23	04/09/21	842.31	...	-39.08	Sale ²⁵
SALARIUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 79400X107 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	50.000	164.99	02/09/21	165.00	...	-0.01	1 of 3 - Sale ²⁵
	1.000	3.30	02/09/21	3.06	...	0.24	2 of 3 - Sale ²⁵
	299.000	986.67	02/09/21	914.94	...	71.73	3 of 3 - Sale ²⁵
02/10/21	350.000	1,154.96	Various	1,083.00	...	71.96	Total of 3 transactions

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SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
02/05/21	50.000	2,262.44	02/04/21	1,905.50	...	356.94	Sale ²⁵
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
02/11/21	250.000	582.48	02/10/21	651.25	...	-68.77	Sale ²⁵
UNITED STATES ANTIMONY CORPORA TION / CUSIP: 911549103 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	350.000	561.69	02/10/21	593.25	...	-31.56	1 of 2 - Sale ²⁵
	34.000	54.56	02/10/21	54.06	...	0.50	2 of 2 - Sale ²⁵
02/11/21	384.000	616.25	Various	647.31	...	-31.06	Total of 2 transactions
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
02/17/21	675.000	1,349.89	02/16/21	1,390.50	...	-40.61	Sale ²⁵
WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol:							
03/22/21	50.000	508.74	03/19/21	550.00	...	-41.26	Sale ²⁵
BORQS TECHNOLOGIES, INC. ORDIN ARY SHARES / CUSIP: G1466B103 / Symbol:							
3 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	54.005	94.23	03/22/21	98.56	...	-4.33	1 of 3 - Sale ²⁵
	5.205	9.08	03/22/21	9.55	...	-0.47	2 of 3 - Sale ²⁵
	0.789	1.38	03/22/21	1.51	...	-0.13	3 of 3 - Sale ²⁵
03/23/21	60.000	104.69	Various	109.62	...	-4.93	Total of 3 transactions
03/23/21	0.789	1.38	03/22/21	1.44	0.06 W	0.00	Sale ²⁵
	Security total:	106.07		111.06	0.06 W	-4.93	
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
4 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	100.000	219.98	02/17/21	202.00	...	17.98	1 of 4 - Sale ²⁵
	100.000	219.99	02/17/21	202.00	...	17.99	2 of 4 - Sale ²⁵
	375.000	824.93	02/17/21	806.25	...	18.68	3 of 4 - Sale ²⁵
	800.000	1,759.87	02/17/21	1,512.08	...	247.79	4 of 4 - Sale ²⁵
02/17/21	1,375.000	3,024.77	Various	2,722.33	...	302.44	Total of 4 transactions
02/17/21	465.000	1,022.92	02/16/21	1,389.84	0.79 W	-366.13	Sale ²⁵
02/25/21	1.000	1.83	02/17/21	3.09	...	-1.26	Sale ²⁵

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Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol: (cont'd)							
Security total:		4,049.52		4,115.26		0.79 W	-64.95
RECON TECHNOLOGY, LTD. CLASS A ORDINARY SHARES / CUSIP: G7415M124 / Symbol:							
3 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	279.000	1,537.38	04/06/21	1,559.61	...	-22.23	1 of 3 - Sale ²⁵
	179.000	986.35	04/06/21	1,004.19	...	-17.84	2 of 3 - Sale ²⁵
	21.000	115.72	04/06/21	118.65	...	-2.93	3 of 3 - Sale ²⁵
04/06/21	479.000	2,639.45	Various	2,682.45	...	-43.00	Total of 3 transactions
04/06/21	21.000	116.55	04/06/21	117.39	0.84 W	0.00	Sale ²⁵
Security total:		2,756.00		2,799.84	0.84 W	-43.00	
Totals :		195,879.63		223,395.22	8,579.23 W	-18,936.36	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 136566486

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest payment		11/19/21	0.02	Interest	
		11/30/21	0.04	Interest	
		12/31/21	0.02	Interest	
			0.08	Total Interest	
			0.08	Total Interest	

Robinhood Securities LLC

Account 136566486

Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/09/21	7.50	Other income	
			7.50	Total Miscellaneous income	

Robinhood Securities LLC

Account 136566486

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/10/21	-5.00	Margin interest paid	
		04/09/21	-5.00	Margin interest paid	
		05/10/21	-5.00	Margin interest paid	
		06/08/21	-5.00	Margin interest paid	
		07/08/21	-5.00	Margin interest paid	
		07/27/21	-5.00	Margin interest paid	
			-30.00	Total Margin interest paid	
Margin charge		08/25/21	-5.00	Margin interest paid	
		09/24/21	-5.00	Margin interest paid	
		10/25/21	-5.00	Margin interest paid	
		11/23/21	-5.00	Margin interest paid	
		12/23/21	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-55.00	Total Margin interest paid	

Robinhood Securities LLC

Account 136566486

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ASENSUS SURGICAL, INC.	04367G103	03/04/21	245.00	0.00	Exchange	
TRANSENERIX INC.	89366M300	03/04/21	-245.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.