

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 146564489	Statement Date: 02/14/2021 Document ID: 5J7W 45X T012	2020
	Nikhith Vasa 13301 Pontchartrain Ave Charlotte, NC 28273 RECIPIENT'S TIN: XXX-XX-4592		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	99.42		2- Royalties	0.00	
1b- Qualified dividends	78.66		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	2.54	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00				
3- Nondividend distributions	312.38		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
4- Federal income tax withheld	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
5- Section 199A dividends	20.76		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
8- Foreign country or US possession:	0.00		7- Foreign tax paid:	0.00	
9- Cash liquidation distributions	0.00		11- Aggregate profit or (loss) on contracts	0.00	
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	497,617.58	494,534.51	0.00	4,125.39	7,208.46
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	497,617.58	494,534.51	0.00	4,125.39	7,208.46
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	497,617.58	494,534.51	0.00	4,125.39	7,208.46

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

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02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	10.08
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	191.88
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.208	0.74	11/23/20	0.68	...	0.06	1 of 2 - Sale ²⁵
	606.000	2,163.60	11/23/20	1,999.32	...	164.28	2 of 2 - Sale ²⁵
11/24/20	606.208	2,164.34	Various	2,000.00	...	164.34	Total of 2 transactions
AT&T INC. / CUSIP: 00206R102 / Symbol:							
04/08/20	5.000	149.50	04/06/20	141.80	...	7.70	Sale ²⁵
ABERCROMBIE & FITCH CO. / CUSIP: 002896207 / Symbol:							
4 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	0.005	0.05	05/08/20	0.05	...	0.00	1 of 4 - Sale ²⁵
	0.210	2.54	05/08/20	2.22	...	0.32	2 of 4 - Sale ²⁵
	2.000	24.24	05/08/20	21.18	...	3.06	3 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABERCROMBIE & FITCH CO. / CUSIP: 002896207 / Symbol: (cont'd)							
	45.000	545.47	05/08/20	476.55	...	68.92	4 of 4 - Sale ²⁵
05/21/20	47.214	572.30	Various	500.00	...	72.30	Total of 4 transactions
	5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	199.030	2,229.46	08/11/20	2,231.13	...	-1.67	1 of 5 - Sale ²⁵
	99.970	1,119.82	08/11/20	1,120.66	...	-0.84	2 of 5 - Sale ²⁵
	88.000	985.74	08/11/20	986.48	...	-0.74	3 of 5 - Sale ²⁵
	59.000	660.90	08/11/20	661.39	...	-0.49	4 of 5 - Sale ²⁵
	0.030	0.34	08/11/20	0.34	...	0.00	5 of 5 - Sale ²⁵
08/12/20	446.030	4,996.26	Various	5,000.00	...	-3.74	Total of 5 transactions
	Security total:	5,568.56		5,500.00	...	68.56	
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
	2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	1.000	48.08	04/06/20	44.30	...	3.78	1 of 2 - Sale ²⁵
	1.000	48.19	04/06/20	44.30	...	3.89	2 of 2 - Sale ²⁵
04/08/20	2.000	96.27	Various	88.60	...	7.67	Total of 2 transactions
	3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	0.003	0.18	06/03/20	0.18	...	0.00	1 of 3 - Sale ²⁵
	2.458	130.23	06/03/20	129.36	...	0.87	2 of 3 - Sale ²⁵
	54.542	2,889.65	06/03/20	2,870.46	...	19.19	3 of 3 - Sale ²⁵
06/04/20	57.003	3,020.06	Various	3,000.00	...	20.06	Total of 3 transactions
	Security total:	3,116.33		3,088.60	...	27.73	
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
	3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.682	247.88	12/10/20	275.14	...	-27.26	1 of 3 - Sale ²⁵
	0.152	22.40	12/10/20	26.29	...	-3.89	2 of 3 - Sale ²⁵
	0.166	24.52	12/10/20	26.13	...	-1.61	3 of 3 - Sale ²⁵
12/10/20	2.000	294.80	Various	327.56	...	-32.76	Total of 3 transactions
12/10/20	0.152	22.44	12/10/20	24.86	2.42 W	0.00	Sale ²⁵
	Security total:	317.24		352.42	2.42 W	-32.76	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
10/29/20	0.002	7.58	10/19/20	7.93	...	-0.35	Sale ²⁵
	3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	2.000	6,473.85	10/19/20	6,639.52	100.86 W	-64.81	1 of 3 - Sale ²⁵
	0.176	569.64	10/19/20	583.97	14.33 W	0.00	2 of 3 - Sale ²⁵
	4.000	12,948.32	10/19/20	13,279.03	330.71 W	0.00	3 of 3 - Sale ²⁵
10/29/20	6.176	19,991.81	Various	20,502.52	445.90 W	-64.81	Total of 3 transactions
	4 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	2.478	7,999.82	10/19/20	8,225.34	...	-225.52	1 of 4 - Sale ²⁵
	1.000	3,140.43	10/19/20	3,319.76	...	-179.33	2 of 4 - Sale ²⁵
	0.590	1,856.22	10/19/20	1,960.21	...	-103.99	3 of 4 - Sale ²⁵
	0.001	3.16	10/19/20	3.41	...	-0.25	4 of 4 - Sale ²⁵
11/04/20	4.069	12,999.63	Various	13,508.72	...	-509.09	Total of 4 transactions
	7 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	0.999	3,315.40	10/19/20	3,317.74	...	-2.34	1 of 7 - Sale ²⁵
	0.802	2,659.81	10/19/20	2,661.07	...	-1.26	2 of 7 - Sale ²⁵
	0.001	2.02	10/19/20	2.07	...	-0.05	3 of 7 - Sale ²⁵
	3.777	12,575.34	11/05/20	12,919.34	...	-344.00	4 of 7 - Sale ²⁵
	1.218	4,054.24	11/05/20	4,165.33	...	-111.09	5 of 7 - Sale ²⁵
	0.223	742.88	11/05/20	762.40	...	-19.52	6 of 7 - Sale ²⁵
	0.175	583.67	11/05/20	598.78	...	-15.11	7 of 7 - Sale ²⁵
11/05/20	7.195	23,933.36	Various	24,426.73	...	-493.37	Total of 7 transactions
	Security total:	56,932.38		58,445.90	445.90 W	-1,067.62	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	0.112	2.29	05/05/20	1.14	...	1.15	1 of 4 - Sale ²⁵
	0.627	12.74	05/05/20	6.37	...	6.37	2 of 4 - Sale ²⁵
	3.303	67.09	05/05/20	33.57	...	33.52	3 of 4 - Sale ²⁵
	74.697	1,517.05	05/05/20	758.92	...	758.13	4 of 4 - Sale ²⁵
06/05/20	78.740	1,599.17	Various	800.00	...	799.17	Total of 4 transactions
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	0.143	2.28	12/02/20	2.10	...	0.18	1 of 3 - Sale ²⁵
	0.639	10.23	12/02/20	9.42	...	0.81	2 of 3 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	135.000	2,160.17	12/02/20	1,988.48	...	171.69	3 of 3 - Sale ²⁵
12/03/20	135.782	2,172.68	Various	2,000.00	...	172.68	Total of 3 transactions
	Security total:	3,771.85		2,800.00	...	971.85	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	262.96	03/10/20	276.10	...	-13.14	1 of 2 - Sale ²⁵
	2.000	525.93	03/16/20	480.00	...	45.93	2 of 2 - Sale ²⁵
04/07/20	3.000	788.89	Various	756.10	...	32.79	Total of 2 transactions
3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.002	0.21	12/22/20	0.21	...	0.00	1 of 3 - Sale ²⁵
	0.016	2.17	12/22/20	2.16	...	0.01	2 of 3 - Sale ²⁵
	14.998	2,005.39	12/22/20	1,997.63	...	7.76	3 of 3 - Sale ²⁵
12/30/20	15.016	2,007.77	Various	2,000.00	...	7.77	Total of 3 transactions
	Security total:	2,796.66		2,756.10	...	40.56	
AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol:							
2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	100.000	80.48	01/14/20	75.99	...	4.49	1 of 2 - Sale ²⁵
	100.000	80.49	01/31/20	74.97	...	5.52	2 of 2 - Sale ²⁵
02/19/20	200.000	160.97	Various	150.96	...	10.01	Total of 2 transactions
5 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	30.000	24.15	01/08/20	37.02	12.87 W	0.00	1 of 5 - Sale ²⁵
	30.000	24.15	01/08/20	35.18	11.03 W	0.00	2 of 5 - Sale ²⁵
	35.000	28.17	01/08/20	41.04	12.87 W	0.00	3 of 5 - Sale ²⁵
	5.000	4.02	01/08/20	6.37	2.35 W	0.00	4 of 5 - Sale ²⁵
	100.000	80.49	01/14/20	84.02	3.53 W	0.00	5 of 5 - Sale ²⁵
02/19/20	200.000	160.98	Various	203.63	42.65 W	0.00	Total of 5 transactions
4 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	30.000	21.60	02/27/20	33.53	11.93 W	0.00	1 of 4 - Sale ²⁵
	30.000	21.60	02/27/20	31.69	10.09 W	0.00	2 of 4 - Sale ²⁵
	35.000	25.19	02/27/20	36.97	11.78 W	0.00	3 of 4 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol: (cont'd)							
03/03/20	5.000	3.60	02/27/20	5.79	2.19 W	0.00	4 of 4 - Sale ²⁵
	100.000	71.99	Various	107.98	35.99 W	0.00	Total of 4 transactions
	3 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	100.000	78.57	02/27/20	72.39	...	6.18	1 of 3 - Sale ²⁵
	1,800.000	1,403.75	02/27/20	1,239.48	...	164.27	2 of 3 - Sale ²⁵
	500.000	389.92	02/28/20	289.90	...	100.02	3 of 3 - Sale ²⁵
03/06/20	2,400.000	1,872.24	Various	1,601.77	...	270.47	Total of 3 transactions
	4 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	30.000	23.40	02/27/20	32.90	9.50 W	0.00	1 of 4 - Sale ²⁵
	30.000	23.40	02/27/20	31.06	7.66 W	0.00	2 of 4 - Sale ²⁵
	35.000	27.29	02/27/20	36.25	8.96 W	0.00	3 of 4 - Sale ²⁵
	5.000	3.90	02/27/20	5.69	1.79 W	0.00	4 of 4 - Sale ²⁵
03/06/20	100.000	77.99	Various	105.90	27.91 W	0.00	Total of 4 transactions
	3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	100.000	63.37	03/10/20	61.48	...	1.89	1 of 3 - Sale ²⁵
	500.000	316.83	03/10/20	309.45	...	7.38	2 of 3 - Sale ²⁵
	500.000	316.83	03/10/20	309.40	...	7.43	3 of 3 - Sale ²⁵
03/10/20	1,100.000	697.03	Various	680.33	...	16.70	Total of 3 transactions
	4 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	30.000	19.01	03/10/20	29.55	10.54 W	0.00	1 of 4 - Sale ²⁵
	30.000	19.01	03/10/20	27.71	8.70 W	0.00	2 of 4 - Sale ²⁵
	35.000	22.18	03/10/20	32.35	10.17 W	0.00	3 of 4 - Sale ²⁵
	5.000	3.17	03/10/20	5.13	1.96 W	0.00	4 of 4 - Sale ²⁵
03/10/20	100.000	63.37	Various	94.74	31.37 W	0.00	Total of 4 transactions
03/11/20	700.000	440.96	03/11/20	425.66	...	15.30	Sale ²⁵
	4 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	30.000	18.90	03/11/20	28.78	9.88 W	0.00	1 of 4 - Sale ²⁵
	30.000	18.90	03/11/20	26.94	8.04 W	0.00	2 of 4 - Sale ²⁵
	35.000	22.05	03/11/20	31.45	9.40 W	0.00	3 of 4 - Sale ²⁵
	5.000	3.15	03/11/20	5.00	1.85 W	0.00	4 of 4 - Sale ²⁵
03/11/20	100.000	63.00	Various	92.17	29.17 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol: (cont'd)							
<i>23 transactions for 03/24/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	12.08	03/12/20	24.28	12.20 W	0.00	1 of 23 - Sale ²⁵
	30.000	12.08	03/12/20	22.44	10.36 W	0.00	2 of 23 - Sale ²⁵
	35.000	14.09	03/12/20	26.20	12.11 W	0.00	3 of 23 - Sale ²⁵
	5.000	2.01	03/12/20	4.25	2.24 W	0.00	4 of 23 - Sale ²⁵
	408.000	164.25	03/12/20	195.84	31.59 W	0.00	5 of 23 - Sale ²⁵
	250.000	100.70	03/12/20	120.00	19.30 W	0.00	6 of 23 - Sale ²⁵
	42.000	17.63	03/12/20	20.16	2.53 W	0.00	7 of 23 - Sale ²⁵
	30.000	12.60	03/12/20	28.52	15.92 W	0.00	8 of 23 - Sale ²⁵
	30.000	12.60	03/12/20	26.68	14.08 W	0.00	9 of 23 - Sale ²⁵
	35.000	14.70	03/12/20	31.15	16.45 W	0.00	10 of 23 - Sale ²⁵
	5.000	2.10	03/12/20	4.96	2.86 W	0.00	11 of 23 - Sale ²⁵
	250.000	104.97	03/12/20	155.30	50.33 W	0.00	12 of 23 - Sale ²⁵
	408.000	171.30	03/12/20	253.54	82.24 W	0.00	13 of 23 - Sale ²⁵
	42.000	17.63	03/12/20	22.85	5.22 W	0.00	14 of 23 - Sale ²⁵
	500.000	209.93	03/12/20	230.00	20.07 W	0.00	15 of 23 - Sale ²⁵
	158.000	66.34	03/12/20	67.62	1.28 W	0.00	16 of 23 - Sale ²⁵
	42.000	18.06	03/12/20	20.51	2.45 W	0.00	17 of 23 - Sale ²⁵
	30.000	12.90	03/16/20	29.76	16.86 W	0.00	18 of 23 - Sale ²⁵
	30.000	12.90	03/16/20	27.92	15.02 W	0.00	19 of 23 - Sale ²⁵
	35.000	15.04	03/16/20	32.59	17.55 W	0.00	20 of 23 - Sale ²⁵
	5.000	2.15	03/16/20	5.17	3.02 W	0.00	21 of 23 - Sale ²⁵
	58.000	24.93	03/16/20	38.44	13.51 W	0.00	22 of 23 - Sale ²⁵
	300.000	128.96	03/16/20	198.82	69.86 W	0.00	23 of 23 - Sale ²⁵
03/24/20	2,758.000	1,149.95	Various	1,587.00	437.05 W	0.00	Total of 23 transactions
<i>5 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	135.26	03/24/20	135.04	...	0.22	1 of 5 - Sale ²⁵
	158.000	71.24	03/25/20	70.80	...	0.44	2 of 5 - Sale ²⁵
	122.000	55.00	03/25/20	53.68	...	1.32	3 of 5 - Sale ²⁵
	178.000	80.26	03/25/20	76.54	...	3.72	4 of 5 - Sale ²⁵
	200.000	90.17	03/25/20	86.00	...	4.17	5 of 5 - Sale ²⁵
03/25/20	958.000	431.93	Various	422.06	...	9.87	Total of 5 transactions

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Account 146564489

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(continued)

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AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol: (cont'd)							
<i>14 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	92.000	41.48	03/16/20	60.95	19.47 W	0.00	1 of 14 - Sale ²⁵
	50.000	22.54	03/16/20	33.14	10.60 W	0.00	2 of 14 - Sale ²⁵
	13.000	5.86	03/24/20	7.95	2.09 W	0.00	3 of 14 - Sale ²⁵
	100.000	45.09	03/24/20	61.13	16.04 W	0.00	4 of 14 - Sale ²⁵
	45.000	20.29	03/24/20	27.51	7.22 W	0.00	5 of 14 - Sale ²⁵
	42.000	18.94	03/24/20	22.44	3.50 W	0.00	6 of 14 - Sale ²⁵
	30.000	13.53	03/25/20	30.06	16.53 W	0.00	7 of 14 - Sale ²⁵
	30.000	13.53	03/25/20	28.22	14.69 W	0.00	8 of 14 - Sale ²⁵
	35.000	15.78	03/25/20	32.95	17.17 W	0.00	9 of 14 - Sale ²⁵
	5.000	2.25	03/25/20	5.22	2.97 W	0.00	10 of 14 - Sale ²⁵
	42.000	18.94	03/25/20	20.93	1.99 W	0.00	11 of 14 - Sale ²⁵
	200.000	90.17	03/25/20	96.03	5.86 W	0.00	12 of 14 - Sale ²⁵
	58.000	26.15	03/25/20	39.03	12.88 W	0.00	13 of 14 - Sale ²⁵
	300.000	135.26	03/25/20	201.86	66.60 W	0.00	14 of 14 - Sale ²⁵
03/25/20	1,042.000	469.81	Various	667.42	197.61 W	0.00	Total of 14 transactions
<i>4 transactions for 03/27/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	42.99	03/26/20	42.15	...	0.84	1 of 4 - Sale ²⁵
	100.000	43.00	03/26/20	42.15	...	0.85	2 of 4 - Sale ²⁵
	100.000	43.00	03/26/20	42.15	...	0.85	3 of 4 - Sale ²⁵
	400.000	171.98	03/26/20	168.56	...	3.42	4 of 4 - Sale ²⁵
03/27/20	700.000	300.97	Various	295.01	...	5.96	Total of 4 transactions
<i>16 transactions for 03/27/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	12.90	03/25/20	29.43	16.53 W	0.00	1 of 16 - Sale ²⁵
	30.000	12.90	03/25/20	27.59	14.69 W	0.00	2 of 16 - Sale ²⁵
	35.000	15.05	03/25/20	32.22	17.17 W	0.00	3 of 16 - Sale ²⁵
	5.000	2.15	03/25/20	5.12	2.97 W	0.00	4 of 16 - Sale ²⁵
	50.000	21.50	03/25/20	32.10	10.60 W	0.00	5 of 16 - Sale ²⁵
	92.000	39.56	03/25/20	59.03	19.47 W	0.00	6 of 16 - Sale ²⁵
	13.000	5.59	03/25/20	7.68	2.09 W	0.00	7 of 16 - Sale ²⁵
	45.000	19.35	03/25/20	26.57	7.22 W	0.00	8 of 16 - Sale ²⁵
	100.000	43.00	03/25/20	59.04	16.04 W	0.00	9 of 16 - Sale ²⁵
	42.000	18.06	03/25/20	20.05	1.99 W	0.00	10 of 16 - Sale ²⁵

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(continued)

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AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol: (cont'd)							
	42.000	18.06	03/25/20	21.56	3.50 W	0.00	11 of 16 - Sale ²⁵
	138.000	59.33	03/25/20	63.38	4.05 W	0.00	12 of 16 - Sale ²⁵
	58.000	24.94	03/25/20	40.13	15.19 W	0.00	13 of 16 - Sale ²⁵
	300.000	128.99	03/25/20	207.57	78.58 W	0.00	14 of 16 - Sale ²⁵
	62.000	26.66	03/25/20	30.95	4.29 W	0.00	15 of 16 - Sale ²⁵
	880.000	378.37	03/25/20	413.52	35.15 W	0.00	16 of 16 - Sale ²⁵
03/27/20	1,922.000	826.41	Various	1,075.94	249.53 W	0.00	Total of 16 transactions
	4 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	30.000	12.84	03/30/20	31.42	18.58 W	0.00	1 of 4 - Sale ²⁵
	30.000	12.83	03/30/20	29.58	16.75 W	0.00	2 of 4 - Sale ²⁵
	35.000	14.98	03/30/20	34.53	19.55 W	0.00	3 of 4 - Sale ²⁵
	5.000	2.14	03/30/20	5.45	3.31 W	0.00	4 of 4 - Sale ²⁵
04/08/20	100.000	42.79	Various	100.98	58.19 W	0.00	Total of 4 transactions
	27 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	42.000	23.35	03/30/20	22.40	...	0.95	1 of 27 - Sale ²⁵
	42.000	23.35	03/30/20	20.89	...	2.46	2 of 27 - Sale ²⁵
	138.000	76.71	03/30/20	66.15	...	10.56	3 of 27 - Sale ²⁵
	20.000	11.12	03/31/20	10.38	...	0.74	4 of 27 - Sale ²⁵
	42.000	23.35	04/01/20	21.72	...	1.63	5 of 27 - Sale ²⁵
	58.000	32.24	04/01/20	28.29	...	3.95	6 of 27 - Sale ²⁵
	11.000	6.11	04/03/20	5.41	...	0.70	7 of 27 - Sale ²⁵
	17.000	9.45	04/03/20	6.63	...	2.82	8 of 27 - Sale ²⁵
	50.000	27.79	04/03/20	24.79	...	3.00	9 of 27 - Sale ²⁵
	822.000	456.91	04/03/20	353.33	...	103.58	10 of 27 - Sale ²⁵
	58.000	32.25	04/06/20	32.19	...	0.06	11 of 27 - Sale ²⁵
	217.000	120.67	04/06/20	120.45	...	0.22	12 of 27 - Sale ²⁵
	6.000	3.33	04/06/20	2.39	...	0.94	13 of 27 - Sale ²⁵
	13.000	7.23	04/06/20	5.90	...	1.33	14 of 27 - Sale ²⁵
	22.000	12.23	04/06/20	9.98	...	2.25	15 of 27 - Sale ²⁵
	20.000	11.12	04/06/20	7.80	...	3.32	16 of 27 - Sale ²⁵
	24.000	13.34	04/06/20	9.57	...	3.77	17 of 27 - Sale ²⁵
	81.000	45.04	04/06/20	40.89	...	4.15	18 of 27 - Sale ²⁵
	100.000	55.61	04/06/20	45.35	...	10.26	19 of 27 - Sale ²⁵
	500.000	277.93	04/06/20	194.90	...	83.03	20 of 27 - Sale ²⁵

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AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol: (cont'd)							
	35.000	19.46	04/09/20	30.39	...	-10.93	21 of 27 - Sale ²⁵
	30.000	16.68	04/09/20	26.02	...	-9.34	22 of 27 - Sale ²⁵
	83.000	46.15	04/09/20	52.42	...	-6.27	23 of 27 - Sale ²⁵
	5.000	2.78	04/09/20	4.86	...	-2.08	24 of 27 - Sale ²⁵
	23.000	12.79	04/09/20	12.20	...	0.59	25 of 27 - Sale ²⁵
	303.000	168.49	04/09/20	144.08	...	24.41	26 of 27 - Sale ²⁵
	400.000	240.15	04/09/20	190.20	...	49.95	27 of 27 - Sale ²⁵
04/14/20	3,162.000	1,775.63	Various	1,489.58	...	286.05	Total of 27 transactions
	14 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	50.000	27.80	03/30/20	33.10	5.30 W	0.00	1 of 14 - Sale ²⁵
	11.000	6.16	03/30/20	7.28	1.12 W	0.00	2 of 14 - Sale ²⁵
	81.000	45.02	03/30/20	53.59	8.57 W	0.00	3 of 14 - Sale ²⁵
	58.000	32.24	03/30/20	41.29	9.05 W	0.00	4 of 14 - Sale ²⁵
	217.000	120.62	03/30/20	154.49	33.87 W	0.00	5 of 14 - Sale ²⁵
	13.000	7.23	03/30/20	7.94	0.71 W	0.00	6 of 14 - Sale ²⁵
	45.000	25.01	03/30/20	27.47	2.46 W	0.00	7 of 14 - Sale ²⁵
	100.000	55.59	03/30/20	61.04	5.45 W	0.00	8 of 14 - Sale ²⁵
	83.000	46.14	03/31/20	59.09	12.95 W	0.00	9 of 14 - Sale ²⁵
	30.000	16.68	04/03/20	30.28	13.60 W	0.00	10 of 14 - Sale ²⁵
	30.000	16.68	04/03/20	28.44	11.76 W	0.00	11 of 14 - Sale ²⁵
	35.000	19.45	04/03/20	33.20	13.75 W	0.00	12 of 14 - Sale ²⁵
	5.000	2.78	04/03/20	5.26	2.48 W	0.00	13 of 14 - Sale ²⁵
	30.000	16.68	04/09/20	27.86	0.37 W	-10.81	14 of 14 - Sale ²⁵
04/14/20	788.000	438.08	Various	570.33	121.44 W	-10.81	Total of 14 transactions
04/23/20	137.000	77.18	04/09/20	65.14	...	12.04	Sale ²⁵
05/07/20	1.000	0.66	04/27/20	0.95	...	-0.29	Sale ²⁵
	Security total:	9,121.94		9,737.55	1,230.91 W	615.30	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
	2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	5.000	116.80	04/06/20	104.65	...	12.15	1 of 2 - Sale ²⁵
	20.000	467.18	04/08/20	462.40	...	4.78	2 of 2 - Sale ²⁵
04/08/20	25.000	583.98	Various	567.05	...	16.93	Total of 2 transactions

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BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.459	13.73	12/07/20	13.26	...	0.47	1 of 2 - Sale ²⁵
	82.972	2,486.20	12/07/20	2,400.18	...	86.02	2 of 2 - Sale ²⁵
12/30/20	83.431	2,499.93	Various	2,413.44	...	86.49	Total of 2 transactions
	Security total:	3,083.91		2,980.49	...	103.42	
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	0.190	0.96	04/15/20	0.87	...	0.09	1 of 5 - Sale ²⁵
	0.203	1.03	04/15/20	0.90	...	0.13	2 of 5 - Sale ²⁵
	0.573	2.89	04/15/20	2.62	...	0.27	3 of 5 - Sale ²⁵
	43.000	217.15	04/15/20	196.51	...	20.64	4 of 5 - Sale ²⁵
	44.944	226.96	04/15/20	199.10	...	27.86	5 of 5 - Sale ²⁵
04/17/20	88.910	448.99	Various	400.00	...	48.99	Total of 5 transactions
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	3.308	23.72	04/28/20	23.49	...	0.23	1 of 2 - Sale ²⁵
	67.114	481.19	04/28/20	476.51	...	4.68	2 of 2 - Sale ²⁵
04/28/20	70.423	504.91	Various	500.00	...	4.91	Total of 2 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	0.233	2.24	06/10/20	1.98	...	0.26	1 of 4 - Sale ²⁵
	1.571	15.11	06/10/20	13.34	...	1.77	2 of 4 - Sale ²⁵
	5.571	53.53	06/10/20	47.29	...	6.24	3 of 4 - Sale ²⁵
	110.429	1,061.19	06/10/20	937.39	...	123.80	4 of 4 - Sale ²⁵
06/16/20	117.804	1,132.07	Various	1,000.00	...	132.07	Total of 4 transactions
	Security total:	2,085.97		1,900.00	...	185.97	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
04/07/20	2.000	136.71	04/06/20	125.26	...	11.45	Sale ²⁵
04/08/20	1.000	69.50	04/06/20	62.63	...	6.87	Sale ²⁵
	Security total:	206.21		187.89	...	18.32	

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Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/23/20	1.000	101.93	03/23/20	100.41	...	1.52	Sale ²⁵
03/27/20	1.000	161.60	03/23/20	103.06	...	58.54	Sale ²⁵
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	2.000	295.32	03/23/20	206.12	...	89.20	1 of 2 - Sale ²⁵
	1.000	147.66	03/24/20	120.04	...	27.62	2 of 2 - Sale ²⁵
04/07/20	3.000	442.98	Various	326.16	...	116.82	Total of 2 transactions
04/07/20	2.000	295.31	03/30/20	301.20	5.89 W	0.00	Sale ²⁵
	7 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	3.000	450.05	04/07/20	443.74	...	6.31	1 of 7 - Sale ²⁵
	10.000	1,500.17	04/14/20	1,427.50	...	72.67	2 of 7 - Sale ²⁵
	2.000	303.99	04/17/20	309.39	...	-5.40	3 of 7 - Sale ²⁵
	0.097	14.72	04/17/20	14.61	...	0.11	4 of 7 - Sale ²⁵
	0.893	135.67	04/17/20	134.70	...	0.97	5 of 7 - Sale ²⁵
	1.011	153.61	04/17/20	152.53	...	1.08	6 of 7 - Sale ²⁵
	11.000	1,671.95	04/17/20	1,650.88	...	21.07	7 of 7 - Sale ²⁵
04/17/20	28.000	4,230.16	Various	4,133.35	...	96.81	Total of 7 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	2.000	300.03	04/07/20	301.72	1.69 W	0.00	1 of 2 - Sale ²⁵
	2.000	300.03	04/09/20	309.26	9.23 W	0.00	2 of 2 - Sale ²⁵
04/17/20	4.000	600.06	Various	610.98	10.92 W	0.00	Total of 2 transactions
04/24/20	4.989	689.91	04/17/20	748.81	...	-58.90	Sale ²⁵
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	0.221	44.27	06/04/20	40.95	...	3.32	1 of 2 - Sale ²⁵
	4.627	928.70	06/04/20	859.05	...	69.65	2 of 2 - Sale ²⁵
06/05/20	4.848	972.97	Various	900.00	...	72.97	Total of 2 transactions
	6 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	10.000	1,685.95	06/29/20	1,903.68	...	-217.73	1 of 6 - Sale ²⁵
	10.000	1,685.89	06/29/20	1,901.25	...	-215.36	2 of 6 - Sale ²⁵
	0.505	85.19	06/29/20	96.20	...	-11.01	3 of 6 - Sale ²⁵
	0.494	83.35	06/29/20	93.99	...	-10.64	4 of 6 - Sale ²⁵
	0.025	4.22	06/29/20	4.76	...	-0.54	5 of 6 - Sale ²⁵
	0.001	0.11	06/29/20	0.12	...	-0.01	6 of 6 - Sale ²⁵
09/30/20	21.025	3,544.71	Various	4,000.00	...	-455.29	Total of 6 transactions

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(continued)

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.002	0.54	11/23/20	0.49	...	0.05	1 of 3 - Sale ²⁵
	0.386	91.46	11/23/20	82.21	...	9.25	2 of 3 - Sale ²⁵
	8.998	2,132.77	11/23/20	1,917.30	...	215.47	3 of 3 - Sale ²⁵
12/03/20	9.386	2,224.77	Various	2,000.00	...	224.77	Total of 3 transactions
	Security total:	13,264.40		13,223.97	16.81 W	57.24	
CAESARS ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 12769G100 / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	47.000	1,736.61	07/15/20	1,973.06	...	-236.45	1 of 2 - Sale ²⁵
	0.642	23.73	07/15/20	26.94	...	-3.21	2 of 2 - Sale ²⁵
08/06/20	47.642	1,760.34	Various	2,000.00	...	-239.66	Total of 2 transactions
CALIFORNIA RES CORP COMMON STO CK / CUSIP: 13057Q206 / Symbol:							
24 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	0.006	0.02	05/27/20	0.01	...	0.01	1 of 24 - Sale ²⁵
	0.336	0.91	05/27/20	0.47	...	0.44	2 of 24 - Sale ²⁵
	11.426	30.96	05/27/20	16.11	...	14.85	3 of 24 - Sale ²⁵
	99.994	270.97	05/27/20	140.99	...	129.98	4 of 24 - Sale ²⁵
	101.006	273.71	05/27/20	142.42	...	131.29	5 of 24 - Sale ²⁵
	0.838	2.27	06/03/20	1.18	...	1.09	6 of 24 - Sale ²⁵
	16.796	45.51	06/03/20	23.68	...	21.83	7 of 24 - Sale ²⁵
	86.730	235.02	06/03/20	122.28	...	112.74	8 of 24 - Sale ²⁵
	250.270	678.18	06/03/20	352.86	...	325.32	9 of 24 - Sale ²⁵
	0.398	1.08	06/04/20	0.58	...	0.50	10 of 24 - Sale ²⁵
	1.603	4.34	06/04/20	2.34	...	2.00	11 of 24 - Sale ²⁵
	32.935	89.25	06/04/20	48.08	...	41.17	12 of 24 - Sale ²⁵
	0.048	0.13	06/05/20	0.09	...	0.04	13 of 24 - Sale ²⁵
	0.135	0.37	06/05/20	0.25	...	0.12	14 of 24 - Sale ²⁵
	3.955	10.68	06/05/20	7.91	...	2.77	15 of 24 - Sale ²⁵
	28.340	76.51	06/05/20	51.86	...	24.65	16 of 24 - Sale ²⁵
	79.000	213.28	06/05/20	158.00	...	55.28	17 of 24 - Sale ²⁵
	97.864	265.20	06/05/20	179.08	...	86.12	18 of 24 - Sale ²⁵
	100.000	270.99	06/05/20	182.99	...	88.00	19 of 24 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CALIFORNIA RES CORP COMMON STO CK / CUSIP: 13057Q206 / Symbol: (cont'd)							
	100.000	270.99	06/05/20	182.99	...	88.00	20 of 24 - Sale ²⁵
	100.000	270.99	06/05/20	182.99	...	88.00	21 of 24 - Sale ²⁵
	120.136	324.34	06/05/20	219.84	...	104.50	22 of 24 - Sale ²⁵
	144.509	390.15	06/08/20	476.86	...	-86.71	23 of 24 - Sale ²⁵
	7.012	18.93	06/08/20	23.14	...	-4.21	24 of 24 - Sale ²⁵
06/08/20	1,383.336	3,744.78	Various	2,517.00	...	1,227.78	Total of 24 transactions
CAPITAL ONE FINANCIAL / CUSIP: 14040H105 / Symbol:							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	0.213	15.11	05/28/20	14.76	...	0.35	1 of 3 - Sale ²⁵
	0.346	24.54	05/28/20	23.97	...	0.57	2 of 3 - Sale ²⁵
	6.654	472.20	05/28/20	461.27	...	10.93	3 of 3 - Sale ²⁵
06/02/20	7.213	511.85	Various	500.00	...	11.85	Total of 3 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	3.454	48.19	04/28/20	47.98	...	0.21	1 of 2 - Sale ²⁵
	28.546	398.20	04/28/20	396.50	...	1.70	2 of 2 - Sale ²⁵
04/28/20	32.000	446.39	Various	444.48	...	1.91	Total of 2 transactions
04/28/20	18.000	251.09	03/25/20	268.02	16.93 W	0.00	Sale ²⁵
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	10.006	167.73	04/28/20	139.08	...	28.65	1 of 3 - Sale ²⁵
	18.000	301.74	04/28/20	266.95	...	34.79	2 of 3 - Sale ²⁵
	21.994	368.70	04/28/20	305.50	...	63.20	3 of 3 - Sale ²⁵
04/29/20	50.000	838.17	Various	711.53	...	126.64	Total of 3 transactions
6 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	3.355	55.36	04/28/20	46.64	...	8.72	1 of 6 - Sale ²⁵
	6.000	99.00	04/28/20	83.40	...	15.60	2 of 6 - Sale ²⁵
	6.650	109.72	04/28/20	92.44	...	17.28	3 of 6 - Sale ²⁵
	13.000	214.50	04/28/20	180.70	...	33.80	4 of 6 - Sale ²⁵
	14.994	247.40	04/28/20	208.42	...	38.98	5 of 6 - Sale ²⁵
	17.937	295.78	04/28/20	249.32	...	46.46	6 of 6 - Sale ²⁵
04/30/20	61.937	1,021.76	Various	860.92	...	160.84	Total of 6 transactions

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(continued)

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.447	24.16	05/26/20	23.78	...	0.38	1 of 3 - Sale ²⁵
	3.433	57.31	05/26/20	56.40	...	0.91	2 of 3 - Sale ²⁵
	25.553	426.52	05/26/20	419.82	...	6.70	3 of 3 - Sale ²⁵
06/02/20	30.433	507.99	Various	500.00	...	7.99	Total of 3 transactions
4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	117.000	1,623.02	06/08/20	2,848.94	...	-1,225.92	1 of 4 - Sale ²⁵
	5.879	81.56	06/08/20	143.15	...	-61.59	2 of 4 - Sale ²⁵
	0.204	2.83	06/08/20	4.96	...	-2.13	3 of 4 - Sale ²⁵
	0.121	1.68	06/08/20	2.95	...	-1.27	4 of 4 - Sale ²⁵
08/07/20	123.204	1,709.09	Various	3,000.00	...	-1,290.91	Total of 4 transactions
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.948	21.70	11/27/20	20.20	...	1.50	1 of 2 - Sale ²⁵
	46.000	1,052.52	11/27/20	979.80	...	72.72	2 of 2 - Sale ²⁵
12/03/20	46.948	1,074.22	Various	1,000.00	...	74.22	Total of 2 transactions
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	229.000	4,948.55	12/30/20	4,987.23	...	-38.68	1 of 2 - Sale ²⁵
	0.586	12.67	12/30/20	12.77	...	-0.10	2 of 2 - Sale ²⁵
12/30/20	229.586	4,961.22	Various	5,000.00	...	-38.78	Total of 2 transactions
	Security total:	10,809.93		11,784.95	16.93 W	-958.09	
CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	141.243	1,780.33	06/08/20	2,855.71	...	-1,075.38	1 of 4 - Sale ²⁵
	7.137	89.96	06/08/20	144.29	...	-54.33	2 of 4 - Sale ²⁵
	10.225	128.88	06/16/20	176.48	...	-47.60	3 of 4 - Sale ²⁵
	0.060	0.69	06/16/20	1.04	...	-0.35	4 of 4 - Sale ²⁵
09/28/20	158.665	1,999.86	Various	3,177.52	...	-1,177.66	Total of 4 transactions
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	73.711	885.28	06/16/20	1,272.24	386.96 W	0.00	1 of 2 - Sale ²⁵
	4.185	50.27	06/16/20	72.24	21.97 W	0.00	2 of 2 - Sale ²⁵
10/30/20	77.896	935.55	Various	1,344.48	408.93 W	0.00	Total of 2 transactions

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CARNIVAL PLC / CUSIP: 14365C103 / Symbol: (cont'd)							
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	9.540	178.91	11/24/20	173.23	...	5.68	1 of 3 - Sale ²⁵
	22.700	425.72	11/24/20	412.22	...	13.50	2 of 3 - Sale ²⁵
	78.564	1,473.44	12/02/20	1,426.53	...	46.91	3 of 3 - Sale ²⁵
12/29/20	110.804	2,078.07	Various	2,011.98	...	66.09	Total of 3 transactions
9 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.596	11.17	11/24/20	13.94	2.77 W	0.00	1 of 9 - Sale ²⁵
	4.185	78.49	11/24/20	97.97	19.48 W	0.00	2 of 9 - Sale ²⁵
	73.115	1,371.23	11/24/20	1,711.57	340.34 W	0.00	3 of 9 - Sale ²⁵
	0.249	4.66	12/02/20	5.66	1.00 W	0.00	4 of 9 - Sale ²⁵
	0.347	6.51	12/02/20	7.89	1.38 W	0.00	5 of 9 - Sale ²⁵
	54.636	1,024.67	12/02/20	1,243.54	218.87 W	0.00	6 of 9 - Sale ²⁵
	0.335	6.28	12/02/20	7.63	1.35 W	0.00	7 of 9 - Sale ²⁵
	4.185	78.49	12/02/20	95.48	16.99 W	0.00	8 of 9 - Sale ²⁵
	18.144	340.28	12/02/20	413.90	73.62 W	0.00	9 of 9 - Sale ²⁵
12/29/20	155.792	2,921.78	Various	3,597.58	675.80 W	0.00	Total of 9 transactions
17 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	41.587	779.79	12/02/20	921.70	...	-141.91	1 of 17 - Sale ²⁵
	0.335	6.28	12/02/20	7.43	...	-1.15	2 of 17 - Sale ²⁵
	8.427	158.02	12/02/20	153.02	...	5.00	3 of 17 - Sale ²⁵
	18.098	339.36	12/11/20	423.19	...	-83.83	4 of 17 - Sale ²⁵
	13.000	243.76	12/11/20	295.58	...	-51.82	5 of 17 - Sale ²⁵
	51.995	974.95	12/11/20	1,004.80	...	-29.85	6 of 17 - Sale ²⁵
	4.185	78.48	12/11/20	97.87	...	-19.39	7 of 17 - Sale ²⁵
	0.276	5.17	12/11/20	6.26	...	-1.09	8 of 17 - Sale ²⁵
	0.249	4.66	12/11/20	5.65	...	-0.99	9 of 17 - Sale ²⁵
	0.071	1.33	12/11/20	1.61	...	-0.28	10 of 17 - Sale ²⁵
	0.046	0.85	12/11/20	1.06	...	-0.21	11 of 17 - Sale ²⁵
	0.049	0.93	12/11/20	1.13	...	-0.20	12 of 17 - Sale ²⁵
	0.895	16.78	12/11/20	16.74	...	0.04	13 of 17 - Sale ²⁵
	105.944	1,986.56	12/11/20	1,983.26	...	3.30	14 of 17 - Sale ²⁵
	7.433	139.38	12/29/20	140.56	...	-1.18	15 of 17 - Sale ²⁵
	0.349	6.54	12/29/20	6.60	...	-0.06	16 of 17 - Sale ²⁵

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CARNIVAL PLC / CUSIP: 14365C103 / Symbol: (cont'd)							
12/31/20	0.061	1.15	12/29/20	1.16	...	-0.01	17 of 17 - Sale ²⁵
	253.000	4,743.99	Various	5,067.62	...	-323.63	Total of 17 transactions
	5 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	0.249	4.66	12/02/20	5.51	0.85 W	0.00	1 of 5 - Sale ²⁵
	0.276	5.18	12/02/20	6.11	0.93 W	0.00	2 of 5 - Sale ²⁵
	0.071	1.33	12/02/20	1.57	0.24 W	0.00	3 of 5 - Sale ²⁵
	0.049	0.93	12/02/20	1.10	0.17 W	0.00	4 of 5 - Sale ²⁵
	13.000	243.77	12/02/20	288.12	44.35 W	0.00	5 of 5 - Sale ²⁵
12/31/20	13.645	255.87	Various	302.41	46.54 W	0.00	Total of 5 transactions
	Security total:	12,935.12		15,501.59	1,131.27 W	-1,435.20	
CHICOS FAS, INC. / CUSIP: 168615102 / Symbol:							
	5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	645.161	845.90	06/12/20	954.77	108.87 W	0.00	1 of 5 - Sale ²⁵
	30.563	40.07	06/12/20	45.23	5.16 W	0.00	2 of 5 - Sale ²⁵
	771.698	1,011.82	06/16/20	1,172.90	161.08 W	0.00	3 of 5 - Sale ²⁵
	35.594	46.67	06/16/20	54.10	7.43 W	0.00	4 of 5 - Sale ²⁵
	116.983	153.38	06/16/20	178.97	25.59 W	0.00	5 of 5 - Sale ²⁵
06/29/20	1,600.000	2,097.84	Various	2,405.97	308.13 W	0.00	Total of 5 transactions
	28 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	644.936	922.22	06/16/20	1,095.52	...	-173.30	1 of 28 - Sale ²⁵
	114.793	164.15	06/16/20	199.58	...	-35.43	2 of 28 - Sale ²⁵
	41.584	59.46	06/16/20	72.30	...	-12.84	3 of 28 - Sale ²⁵
	30.563	43.70	06/16/20	51.92	...	-8.22	4 of 28 - Sale ²⁵
	603.401	862.83	06/18/20	970.65	...	-107.82	5 of 28 - Sale ²⁵
	18.297	26.16	06/18/20	29.43	...	-3.27	6 of 28 - Sale ²⁵
	11.920	17.04	06/18/20	19.18	...	-2.14	7 of 28 - Sale ²⁵
	116.983	167.28	06/26/20	179.98	...	-12.70	8 of 28 - Sale ²⁵
	17.298	24.74	06/26/20	26.44	...	-1.70	9 of 28 - Sale ²⁵
	0.225	0.32	06/26/20	0.36	...	-0.04	10 of 28 - Sale ²⁵
	100.000	142.99	06/26/20	132.00	...	10.99	11 of 28 - Sale ²⁵
	100.000	142.99	06/26/20	132.00	...	10.99	12 of 28 - Sale ²⁵
	100.000	142.99	06/26/20	132.00	...	10.99	13 of 28 - Sale ²⁵
	100.000	142.99	06/26/20	132.00	...	10.99	14 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 146564489

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CHICOS FAS, INC. / CUSIP: 168615102 / Symbol: (cont'd)							
	100.000	142.99	06/26/20	132.00	...	10.99	15 of 28 - Sale ²⁵
	100.000	143.00	06/26/20	132.00	...	11.00	16 of 28 - Sale ²⁵
	100.000	143.00	06/26/20	132.00	...	11.00	17 of 28 - Sale ²⁵
	100.000	143.00	06/26/20	132.00	...	11.00	18 of 28 - Sale ²⁵
	100.000	143.00	06/26/20	132.00	...	11.00	19 of 28 - Sale ²⁵
	100.000	143.00	06/26/20	132.00	...	11.00	20 of 28 - Sale ²⁵
	100.000	143.00	06/26/20	132.00	...	11.00	21 of 28 - Sale ²⁵
	153.506	219.51	06/26/20	202.63	...	16.88	22 of 28 - Sale ²⁵
	200.000	285.99	06/26/20	264.00	...	21.99	23 of 28 - Sale ²⁵
	200.000	285.99	06/26/20	264.00	...	21.99	24 of 28 - Sale ²⁵
	265.494	379.64	06/26/20	350.40	...	29.24	25 of 28 - Sale ²⁵
	277.000	396.09	06/26/20	365.45	...	30.64	26 of 28 - Sale ²⁵
	300.000	428.99	06/26/20	396.00	...	32.99	27 of 28 - Sale ²⁵
	400.000	571.98	06/26/20	528.00	...	43.98	28 of 28 - Sale ²⁵
07/10/20	4,496.000	6,429.04	Various	6,467.84	...	-38.80	Total of 28 transactions
07/10/20	0.225	0.32	06/16/20	0.38	0.06 W	0.00	Sale ²⁵
	Security total:	8,527.20		8,874.19	308.19 W	-38.80	
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
6 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	11.35	04/08/20	11.07	...	0.28	1 of 6 - Sale ²⁵
	1.000	11.35	04/08/20	11.07	...	0.28	2 of 6 - Sale ²⁵
	2.000	22.70	04/08/20	22.14	...	0.56	3 of 6 - Sale ²⁵
	3.000	34.05	04/08/20	33.20	...	0.85	4 of 6 - Sale ²⁵
	8.000	90.80	04/08/20	88.54	...	2.26	5 of 6 - Sale ²⁵
	10.000	113.50	04/08/20	110.67	...	2.83	6 of 6 - Sale ²⁵
04/08/20	25.000	283.75	Various	276.69	...	7.06	Total of 6 transactions
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	0.045	1.95	08/06/20	1.86	...	0.09	1 of 3 - Sale ²⁵
	0.326	14.18	08/06/20	13.51	...	0.67	2 of 3 - Sale ²⁵
	240.955	10,470.51	08/06/20	9,984.63	...	485.88	3 of 3 - Sale ²⁵
08/07/20	241.326	10,486.64	Various	10,000.00	...	486.64	Total of 3 transactions

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(continued)

02/14/2021

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CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	0.024	0.23	05/15/20	0.22	...	0.01	1 of 4 - Sale ²⁵
	0.052	0.50	05/15/20	0.48	...	0.02	2 of 4 - Sale ²⁵
	0.052	0.50	05/15/20	0.48	...	0.02	3 of 4 - Sale ²⁵
	0.948	9.17	05/15/20	8.82	...	0.35	4 of 4 - Sale ²⁵
05/18/20	1.075	10.40	Various	10.00	...	0.40	Total of 4 transactions
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
04/07/20	5.000	238.30	03/24/20	199.85	...	38.45	Sale ²⁵
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	47.00	03/24/20	39.97	...	7.03	1 of 2 - Sale ²⁵
	4.000	187.96	03/24/20	159.88	...	28.08	2 of 2 - Sale ²⁵
04/08/20	5.000	234.96	Various	199.85	...	35.11	Total of 2 transactions
	Security total:	473.26		399.70	...	73.56	
COTY INC / CUSIP: 222070203 / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	0.288	1.80	11/19/20	1.77	...	0.03	1 of 2 - Sale ²⁵
	16.000	100.16	11/19/20	98.23	...	1.93	2 of 2 - Sale ²⁵
11/20/20	16.288	101.96	Various	100.00	...	1.96	Total of 2 transactions
CRESTWOOD EQUITY PARTNERS LP / CUSIP: 226344208 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	0.513	6.32	05/11/20	5.52	...	0.80	1 of 3 - Sale ²⁵
	1.674	20.55	05/11/20	17.99	...	2.56	2 of 3 - Sale ²⁵
	44.326	544.31	05/11/20	476.49	...	67.82	3 of 3 - Sale ²⁵
05/18/20	46.513	571.18	Various	500.00	...	71.18	Total of 3 transactions
CROCS, INC. COMMON STOCK / CUSIP: 227046109 / Symbol:							
3 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	0.263	5.71	04/23/20	5.40	...	0.31	1 of 3 - Sale ²⁵
	0.473	10.28	04/23/20	9.71	...	0.57	2 of 3 - Sale ²⁵
	48.000	993.57	04/23/20	984.89	...	8.68	3 of 3 - Sale ²⁵
04/24/20	48.736	1,009.56	Various	1,000.00	...	9.56	Total of 3 transactions

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(continued)

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DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
04/24/20	0.666	7.81	04/15/20	7.33	...	0.48	Sale ²⁵
	4 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	3.334	43.13	04/15/20	36.67	...	6.46	1 of 4 - Sale ²⁵
	7.558	97.79	04/17/20	90.69	...	7.10	2 of 4 - Sale ²⁵
	61.000	789.32	04/17/20	732.00	...	57.32	3 of 4 - Sale ²⁵
	98.109	1,269.51	04/17/20	1,177.31	...	92.20	4 of 4 - Sale ²⁵
04/27/20	170.000	2,199.75	Various	2,036.67	...	163.08	Total of 4 transactions
	3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	0.092	1.04	05/04/20	1.04	...	0.00	1 of 3 - Sale ²⁵
	2.018	22.93	05/04/20	22.89	...	0.04	2 of 3 - Sale ²⁵
	41.982	476.83	05/04/20	476.07	...	0.76	3 of 3 - Sale ²⁵
05/18/20	44.092	500.80	Various	500.00	...	0.80	Total of 3 transactions
	Security total:	2,708.36		2,544.00	...	164.36	
DEERE & COMPANY / CUSIP: 244199105 / Symbol:							
	2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	7.000	1,791.02	11/24/20	1,840.97	...	-49.95	1 of 2 - Sale ²⁵
	0.605	154.72	11/24/20	159.03	...	-4.31	2 of 2 - Sale ²⁵
12/01/20	7.605	1,945.74	Various	2,000.00	...	-54.26	Total of 2 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	10.000	370.92	03/24/20	263.90	...	107.02	1 of 3 - Sale ²⁵
	0.217	8.04	04/29/20	5.89	...	2.15	2 of 3 - Sale ²⁵
	36.563	1,356.21	04/29/20	994.11	...	362.10	3 of 3 - Sale ²⁵
06/05/20	46.780	1,735.17	Various	1,263.90	...	471.27	Total of 3 transactions
	12 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	78.597	2,071.89	06/08/20	2,834.98	...	-763.09	1 of 12 - Sale ²⁵
	52.000	1,370.77	06/08/20	1,884.22	...	-513.45	2 of 12 - Sale ²⁵
	3.974	104.76	06/08/20	143.35	...	-38.59	3 of 12 - Sale ²⁵
	2.619	69.05	06/08/20	94.91	...	-25.86	4 of 12 - Sale ²⁵
	0.576	15.19	06/08/20	20.87	...	-5.68	5 of 12 - Sale ²⁵
	0.403	10.63	06/08/20	14.55	...	-3.92	6 of 12 - Sale ²⁵

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	0.197	5.21	06/08/20	7.12	...	-1.91	7 of 12 - Sale ²⁵
	0.027	0.70	06/08/20	0.95	...	-0.25	8 of 12 - Sale ²⁵
	0.001	0.04	06/08/20	0.05	...	-0.01	9 of 12 - Sale ²⁵
	59.000	1,555.29	06/10/20	1,902.70	...	-347.41	10 of 12 - Sale ²⁵
	2.139	56.39	06/10/20	68.98	...	-12.59	11 of 12 - Sale ²⁵
	0.067	1.76	06/10/20	2.15	...	-0.39	12 of 12 - Sale ²⁵
07/24/20	199.601	5,261.68	Various	6,974.83	...	-1,713.15	Total of 12 transactions
07/27/20	0.812	21.02	06/10/20	26.17	...	-5.15	Sale ²⁵
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	0.027	1.18	12/02/20	1.12	...	0.06	1 of 3 - Sale ²⁵
	0.062	2.64	12/02/20	2.51	...	0.13	2 of 3 - Sale ²⁵
	69.000	2,963.13	12/02/20	2,815.12	...	148.01	3 of 3 - Sale ²⁵
12/03/20	69.089	2,966.95	Various	2,818.75	...	148.20	Total of 3 transactions
	Security total:	9,984.82		11,083.65	...	-1,098.83	
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	12.257	50.72	06/08/20	47.31	...	3.41	1 of 3 - Sale ²⁵
	15.146	62.93	06/08/20	58.46	...	4.47	2 of 3 - Sale ²⁵
	233.743	967.36	06/08/20	902.23	...	65.13	3 of 3 - Sale ²⁵
06/11/20	261.146	1,081.01	Various	1,008.00	...	73.01	Total of 3 transactions
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
	3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	1.000	102.57	03/24/20	98.03	...	4.54	1 of 3 - Sale ²⁵
	1.000	102.57	03/30/20	97.52	...	5.05	2 of 3 - Sale ²⁵
	3.000	307.73	04/06/20	291.39	...	16.34	3 of 3 - Sale ²⁵
04/07/20	5.000	512.87	Various	486.94	...	25.93	Total of 3 transactions
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
	5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	0.032	7.71	08/31/20	6.98	...	0.73	1 of 5 - Sale ²⁵
	0.038	9.17	08/31/20	8.29	...	0.88	2 of 5 - Sale ²⁵
	8.997	2,192.66	08/31/20	1,984.73	...	207.93	3 of 5 - Sale ²⁵

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(continued)

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DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
	19.968	4,866.75	09/01/20	4,999.17	...	-132.42	4 of 5 - Sale ²⁵
	0.003	0.80	09/01/20	0.83	...	-0.03	5 of 5 - Sale ²⁵
09/03/20	29.038	7,077.09	Various	7,000.00	...	77.09	Total of 5 transactions
DOMO, INC. CLASS B COMMON STOCK / CUSIP: 257554105 / Symbol:							
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.487	22.44	09/01/20	21.07	...	1.37	1 of 4 - Sale ²⁵
	0.550	25.33	09/01/20	22.64	...	2.69	2 of 4 - Sale ²⁵
	47.874	2,206.48	09/01/20	1,977.36	...	229.12	3 of 4 - Sale ²⁵
	114.930	5,296.98	09/01/20	4,978.93	...	318.05	4 of 4 - Sale ²⁵
09/04/20	163.840	7,551.23	Various	7,000.00	...	551.23	Total of 4 transactions
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.420	57.45	06/03/20	57.25	...	0.20	1 of 2 - Sale ²⁵
	28.342	1,146.69	06/03/20	1,142.75	...	3.94	2 of 2 - Sale ²⁵
06/16/20	29.762	1,204.14	Various	1,200.00	...	4.14	Total of 2 transactions
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	39.000	1,984.66	11/27/20	1,991.77	...	-7.11	1 of 2 - Sale ²⁵
	0.161	8.20	11/27/20	8.23	...	-0.03	2 of 2 - Sale ²⁵
12/02/20	39.161	1,992.86	Various	2,000.00	...	-7.14	Total of 2 transactions
	Security total:	3,197.00		3,200.00	...	-3.00	
DROPBOX, INC. CLASS A COMMON STOCK / CUSIP: 26210C104 / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	43.197	945.64	06/05/20	952.86	...	-7.22	1 of 2 - Sale ²⁵
	2.137	46.78	06/05/20	47.14	...	-0.36	2 of 2 - Sale ²⁵
06/16/20	45.334	992.42	Various	1,000.00	...	-7.58	Total of 2 transactions
ECOLAB, INC. / CUSIP: 278865100 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	0.483	94.54	07/28/20	94.32	...	0.22	1 of 2 - Sale ²⁵
	61.000	11,930.71	07/28/20	11,905.68	...	25.03	2 of 2 - Sale ²⁵
08/07/20	61.483	12,025.25	Various	12,000.00	...	25.25	Total of 2 transactions

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Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	170.89	03/24/20	156.33	...	14.56	1 of 2 - Sale ²⁵
04/08/20	1.000	170.89	04/07/20	170.47	...	0.42	2 of 2 - Sale ²⁵
	2.000	341.78	Various	326.80	...	14.98	Total of 2 transactions
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	0.083	19.41	05/21/20	19.39	...	0.02	1 of 3 - Sale ²⁵
	0.204	47.57	05/21/20	47.53	...	0.04	2 of 3 - Sale ²⁵
05/22/20	4.000	933.96	05/21/20	933.08	...	0.88	3 of 3 - Sale ²⁵
	4.287	1,000.94	Various	1,000.00	...	0.94	Total of 3 transactions
4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	0.078	18.07	05/27/20	17.98	...	0.09	1 of 4 - Sale ²⁵
	0.104	23.94	05/27/20	23.82	...	0.12	2 of 4 - Sale ²⁵
	0.104	23.94	05/27/20	23.82	...	0.12	3 of 4 - Sale ²⁵
05/28/20	1.896	436.51	05/27/20	434.38	...	2.13	4 of 4 - Sale ²⁵
	2.182	502.46	Various	500.00	...	2.46	Total of 4 transactions
	Security total:	1,845.18		1,826.80	...	18.38	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
11/06/20	136.313	10,126.74	11/05/20	10,000.00	...	126.74	Sale ²⁵
FIRSTENERGY CORP. / CUSIP: 337932107 / Symbol:							
5 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	0.688	20.34	07/22/20	19.47	...	0.87	1 of 5 - Sale ²⁵
	352.795	10,424.85	07/22/20	9,980.53	...	444.32	2 of 5 - Sale ²⁵
	179.415	5,301.58	07/23/20	5,000.00	...	301.58	3 of 5 - Sale ²⁵
	99.423	2,947.82	07/24/20	2,953.86	...	-6.04	4 of 5 - Sale ²⁵
07/24/20	0.078	2.30	07/24/20	2.30	...	0.00	5 of 5 - Sale ²⁵
	632.398	18,696.89	Various	17,956.16	...	740.73	Total of 5 transactions
11 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	100.000	2,964.92	07/24/20	2,971.00	1.51 W	-4.57	1 of 11 - Sale ²⁵
	90.990	2,688.69	07/24/20	2,697.70	9.01 W	0.00	2 of 11 - Sale ²⁵
	166.723	4,926.55	07/24/20	5,000.00	73.45 W	0.00	3 of 11 - Sale ²⁵
	9.010	267.14	07/24/20	271.66	4.52 W	0.00	4 of 11 - Sale ²⁵

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(continued)

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FIRSTENERGY CORP. / CUSIP: 337932107 / Symbol: (cont'd)							
	90.990	2,697.78	07/24/20	2,712.32	14.54 W	0.00	5 of 11 - Sale ²⁵
	100.000	2,964.92	07/24/20	3,015.05	50.13 W	0.00	6 of 11 - Sale ²⁵
	57.713	1,711.14	07/24/20	1,740.07	28.93 W	0.00	7 of 11 - Sale ²⁵
	42.287	1,253.78	07/24/20	1,256.36	2.58 W	0.00	8 of 11 - Sale ²⁵
	132.000	3,913.70	07/24/20	3,923.04	9.34 W	0.00	9 of 11 - Sale ²⁵
	10.000	296.49	07/24/20	297.10	0.61 W	0.00	10 of 11 - Sale ²⁵
	200.000	5,929.84	07/24/20	5,942.00	12.16 W	0.00	11 of 11 - Sale ²⁵
07/24/20	999.713	29,614.95	Various	29,826.30	206.78 W	-4.57	Total of 11 transactions
	11 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	100.000	2,923.93	07/27/20	3,049.13	...	-125.20	1 of 11 - Sale ²⁵
	132.000	3,859.59	07/27/20	3,968.02	...	-108.43	2 of 11 - Sale ²⁵
	117.000	3,421.02	07/27/20	3,515.95	...	-94.93	3 of 11 - Sale ²⁵
	90.990	2,660.47	07/27/20	2,743.32	...	-82.85	4 of 11 - Sale ²⁵
	57.713	1,687.48	07/27/20	1,759.73	...	-72.25	5 of 11 - Sale ²⁵
	83.000	2,426.85	07/27/20	2,494.21	...	-67.36	6 of 11 - Sale ²⁵
	42.287	1,236.45	07/27/20	1,270.78	...	-34.33	7 of 11 - Sale ²⁵
	24.889	727.75	07/27/20	747.93	...	-20.18	8 of 11 - Sale ²⁵
	9.010	263.45	07/27/20	274.73	...	-11.28	9 of 11 - Sale ²⁵
	10.000	292.39	07/27/20	300.51	...	-8.12	10 of 11 - Sale ²⁵
	0.000	0.00	07/27/20	0.00	...	0.00	11 of 11 - Zero proceeds / negative sale ²⁵
08/06/20	666.889	19,499.38	Various	20,124.31	...	-624.93	Total of 11 transactions
	Security total:	67,811.22		67,906.77	206.78 W	111.23	
FOOT LOCKER, INC. / CUSIP: 344849104 / Symbol:							
	3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	0.065	1.83	05/22/20	1.70	...	0.13	1 of 3 - Sale ²⁵
	0.909	25.63	05/22/20	23.85	...	1.78	2 of 3 - Sale ²⁵
	18.091	509.77	05/22/20	474.45	...	35.32	3 of 3 - Sale ²⁵
05/26/20	19.065	537.23	Various	500.00	...	37.23	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
04/08/20	1.000	5.04	03/25/20	5.56	...	-0.52	Sale ²⁵
		<i>2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>					
	8.000	56.00	08/04/20	55.60	...	0.40	1 of 2 - Sale ²⁵
	135.889	951.19	08/04/20	944.40	...	6.79	2 of 2 - Sale ²⁵
08/05/20	143.889	1,007.19	Various	1,000.00	...	7.19	Total of 2 transactions
	Security total:	1,012.23		1,005.56	...	6.67	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
		<i>3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>					
	294.840	1,998.93	11/24/20	2,983.78	...	-984.85	1 of 3 - Sale ²⁵
	186.480	1,264.28	11/24/20	2,000.00	...	-735.72	2 of 3 - Sale ²⁵
	1.603	10.87	11/24/20	16.22	...	-5.35	3 of 3 - Sale ²⁵
12/02/20	482.923	3,274.08	Various	5,000.00	...	-1,725.92	Total of 3 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
03/10/20	1.000	3.91	03/06/20	3.74	...	0.17	Sale ²⁵
THE GAP, INC. / CUSIP: 364760108 / Symbol:							
06/02/20	0.170	1.72	05/28/20	1.71	...	0.01	Sale ²⁵
		<i>4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>					
	0.299	3.02	05/28/20	3.03	0.01 W	0.00	1 of 4 - Sale ²⁵
	46.701	471.68	05/28/20	472.02	0.34 W	0.00	2 of 4 - Sale ²⁵
	0.299	3.02	05/28/20	3.04	0.02 W	0.00	3 of 4 - Sale ²⁵
	2.000	20.20	05/28/20	20.21	0.01 W	0.00	4 of 4 - Sale ²⁵
06/02/20	49.299	497.92	Various	498.30	0.38 W	0.00	Total of 4 transactions
		<i>9 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>					
	0.074	1.06	06/05/20	0.88	...	0.18	1 of 9 - Sale ²⁵
	0.225	3.22	06/05/20	2.69	...	0.53	2 of 9 - Sale ²⁵
	2.000	28.60	06/05/20	23.73	...	4.87	3 of 9 - Sale ²⁵
	8.018	114.67	06/05/20	95.08	...	19.59	4 of 9 - Sale ²⁵
	46.701	667.89	06/05/20	554.12	...	113.77	5 of 9 - Sale ²⁵
	111.643	1,596.67	06/05/20	1,323.87	...	272.80	6 of 9 - Sale ²⁵
	0.215	3.08	06/16/20	2.37	...	0.71	7 of 9 - Sale ²⁵
	2.198	31.44	06/16/20	24.20	...	7.24	8 of 9 - Sale ²⁵

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THE GAP, INC. / CUSIP: 364760108 / Symbol: (cont'd)							
	43.000	614.96	06/16/20	473.43	...	141.53	9 of 9 - Sale ²⁵
06/26/20	214.074	3,061.59	Various	2,500.37	...	561.22	Total of 9 transactions
	Security total:	3,561.23		3,000.38	0.38 W	561.23	
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
04/07/20	2.000	155.12	03/25/20	147.50	...	7.62	Sale ²⁵
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	2.365	172.53	05/04/20	190.42	...	-17.89	1 of 2 - Sale ²⁵
	0.119	8.68	05/04/20	9.58	...	-0.90	2 of 2 - Sale ²⁵
06/15/20	2.484	181.21	Various	200.00	...	-18.79	Total of 2 transactions
	Security total:	336.33		347.50	...	-11.17	
GOLDMAN SACHS GROUP INC. / CUSIP: 38141G104 / Symbol:							
	3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	3.805	994.65	12/31/20	1,000.00	...	-5.35	1 of 3 - Sale ²⁵
	3.000	784.15	12/31/20	788.37	...	-4.22	2 of 3 - Sale ²⁵
	0.805	210.51	12/31/20	211.63	...	-1.12	3 of 3 - Sale ²⁵
12/31/20	7.610	1,989.31	Various	2,000.00	...	-10.69	Total of 3 transactions
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
	3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	98.000	88.01	03/26/20	95.24	...	-7.23	1 of 3 - Sale ²⁵
	200.000	179.61	03/30/20	197.14	...	-17.53	2 of 3 - Sale ²⁵
	508.000	456.21	04/14/20	441.86	...	14.35	3 of 3 - Sale ²⁵
04/17/20	806.000	723.83	Various	734.24	...	-10.41	Total of 3 transactions
GRUBHUB INC. / CUSIP: 400110102 / Symbol:							
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	0.288	16.39	05/12/20	17.13	...	-0.74	1 of 2 - Sale ²⁵
	0.015	0.83	05/12/20	0.87	...	-0.04	2 of 2 - Sale ²⁵
05/18/20	0.303	17.22	Various	18.00	...	-0.78	Total of 2 transactions
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
03/10/20	1,000.000	423.49	03/10/20	333.43	...	90.06	Sale ²⁵

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GUESS?, INC. / CUSIP: 401617105 / Symbol:							
<i>4 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.044	0.33	04/16/20	0.31	...	0.02	1 of 4 - Sale ²⁵
	0.257	1.95	04/16/20	1.80	...	0.15	2 of 4 - Sale ²⁵
	0.956	7.27	04/16/20	6.72	...	0.55	3 of 4 - Sale ²⁵
	198.000	1,505.38	04/16/20	1,391.17	...	114.21	4 of 4 - Sale ²⁵
04/23/20	199.257	1,514.93	Various	1,400.00	...	114.93	Total of 4 transactions
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
05/28/20	0.901	1.06	05/27/20	1.23	...	-0.17	Sale ²⁵
<i>4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	190.000	163.42	05/27/20	260.31	...	-96.89	1 of 4 - Sale ²⁵
	7.952	6.84	05/27/20	10.89	...	-4.05	2 of 4 - Sale ²⁵
	1.000	0.92	05/27/20	1.37	...	-0.45	3 of 4 - Sale ²⁵
	0.148	0.14	05/27/20	0.20	...	-0.06	4 of 4 - Sale ²⁵
06/02/20	199.099	171.32	Various	272.77	...	-101.45	Total of 4 transactions
	Security total:	172.38		274.00	...	-101.62	
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
<i>3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>							
	0.077	18.51	05/19/20	18.50	...	0.01	1 of 3 - Sale ²⁵
	0.100	24.12	05/19/20	24.10	...	0.02	2 of 3 - Sale ²⁵
	1.900	457.69	05/19/20	457.40	...	0.29	3 of 3 - Sale ²⁵
05/22/20	2.077	500.32	Various	500.00	...	0.32	Total of 3 transactions
INTERCEPT PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45845P108 / Symbol:							
<i>4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	46.81	06/29/20	46.57	...	0.24	1 of 4 - Sale ²⁵
	0.949	45.15	06/29/20	44.21	...	0.94	2 of 4 - Sale ²⁵
	19.000	889.37	06/29/20	884.76	...	4.61	3 of 4 - Sale ²⁵
	22.000	1,044.75	06/29/20	1,024.46	...	20.29	4 of 4 - Sale ²⁵
06/30/20	42.949	2,026.08	Various	2,000.00	...	26.08	Total of 4 transactions

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INVESTORS BANCORP, INC. COMMON STOCK / CUSIP: 46146L101 / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	103.842	880.55	06/04/20	952.79	...	-72.24	1 of 2 - Sale ²⁵
	5.145	43.63	06/04/20	47.21	...	-3.58	2 of 2 - Sale ²⁵
06/30/20	108.987	924.18	Various	1,000.00	...	-75.82	Total of 2 transactions
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	0.225	24.84	05/04/20	20.47	...	4.37	1 of 5 - Sale ²⁵
	0.262	28.92	05/04/20	23.84	...	5.08	2 of 5 - Sale ²⁵
	5.000	552.84	05/04/20	455.69	...	97.15	3 of 5 - Sale ²⁵
	0.031	3.41	05/27/20	3.13	...	0.28	4 of 5 - Sale ²⁵
	0.620	68.57	05/27/20	62.87	...	5.70	5 of 5 - Sale ²⁵
06/05/20	6.137	678.58	Various	566.00	...	112.58	Total of 5 transactions
JAMF HOLDING CORP. COMMON STOC K / CUSIP: 47074L105 / Symbol:							
4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	47.926	1,673.05	08/31/20	1,859.04	...	-185.99	1 of 4 - Sale ²⁵
	2.435	84.99	08/31/20	94.30	...	-9.31	2 of 4 - Sale ²⁵
	0.565	19.73	08/31/20	24.05	...	-4.32	3 of 4 - Sale ²⁵
	0.074	2.59	08/31/20	2.88	...	-0.29	4 of 4 - Sale ²⁵
09/28/20	51.000	1,780.36	Various	1,980.27	...	-199.91	Total of 4 transactions
09/28/20	0.565	19.73	08/31/20	21.89	2.16 W	0.00	Sale ²⁵
	Security total:	1,800.09		2,002.16	2.16 W	-199.91	
JEFFERIES FINANCIAL GROUP INC. / CUSIP: 47233W109 / Symbol:							
04/24/20	3.000	36.48	04/06/20	39.06	...	-2.58	Sale ²⁵
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.000	0.07	12/08/20	0.07	...	0.00	1 of 3 - Sale ²⁵
	0.594	90.63	12/08/20	90.10	...	0.53	2 of 3 - Sale ²⁵
	6.000	915.21	12/08/20	909.83	...	5.38	3 of 3 - Sale ²⁵
12/09/20	6.595	1,005.91	Various	1,000.00	...	5.91	Total of 3 transactions

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Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
04/24/20	10.000	162.50	04/08/20	182.38	...	-19.88	Sale ²⁵
		3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.					
	10.000	260.41	11/16/20	260.00	...	0.21	1 of 3 - Sale ²⁵
	19.865	517.29	11/16/20	516.88	...	0.41	2 of 3 - Sale ²⁵
	47.000	1,223.91	11/16/20	1,222.92	...	0.99	3 of 3 - Sale ²⁵
11/16/20	76.865	2,001.61	Various	2,000.00	...	1.61	Total of 3 transactions
	Security total:	2,164.11		2,182.38	...	-18.27	
LIVERAMP HOLDINGS, INC. COMMON STOCK / CUSIP: 53815P108 / Symbol:							
		4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.					
	0.298	16.42	08/07/20	15.86	...	0.56	1 of 4 - Sale ²⁵
	0.564	31.07	08/07/20	30.03	...	1.04	2 of 4 - Sale ²⁵
	92.878	5,118.38	08/07/20	4,954.11	...	164.27	3 of 4 - Sale ²⁵
	92.558	5,100.76	08/10/20	5,000.00	...	100.76	4 of 4 - Sale ²⁵
08/10/20	186.298	10,266.63	Various	10,000.00	...	266.63	Total of 4 transactions
08/11/20	180.734	10,051.19	08/10/20	10,000.00	...	51.19	Sale ²⁵
	Security total:	20,317.82		20,000.00	...	317.82	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
02/05/20	2.000	78.18	02/03/20	66.70	...	11.48	Sale ²⁵
		2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.					
	5.000	189.22	02/24/20	181.75	...	7.47	1 of 2 - Sale ²⁵
	5.000	191.36	02/24/20	181.75	...	9.61	2 of 2 - Sale ²⁵
02/25/20	10.000	380.58	Various	363.50	...	17.08	Total of 2 transactions
02/27/20	35.000	1,336.42	02/27/20	1,250.57	...	85.85	Sale ²⁵
		2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.					
	1.000	2.21	03/25/20	28.85	...	-26.64	1 of 2 - Sale ²⁵
	2.000	4.42	04/02/20	14.00	...	-9.58	2 of 2 - Sale ²⁵
06/02/20	3.000	6.63	Various	42.85	...	-36.22	Total of 2 transactions
	Security total:	1,801.81		1,723.62	...	78.19	
LULULEMON ATHLETICA INC. COMMO N STOCK / CUSIP: 550021109 / Symbol:							
04/07/20	1.000	199.32	04/06/20	188.22	...	11.10	Sale ²⁵

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Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
06/05/20	500.000	1,586.15	04/09/20	1,135.00	...	451.15	Sale ²⁵
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
<i>6 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.142	2.58	05/01/20	2.14	...	0.44	1 of 6 - Sale ²⁵
	3.024	54.95	05/01/20	45.42	...	9.53	2 of 6 - Sale ²⁵
	63.412	1,152.17	05/01/20	952.44	...	199.73	3 of 6 - Sale ²⁵
	0.036	0.65	05/26/20	0.62	...	0.03	4 of 6 - Sale ²⁵
	2.528	45.95	05/26/20	43.64	...	2.31	5 of 6 - Sale ²⁵
	49.000	890.32	05/26/20	845.74	...	44.58	6 of 6 - Sale ²⁵
06/02/20	118.142	2,146.62	Various	1,890.00	...	256.62	Total of 6 transactions
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
<i>3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	0.731	6.46	05/28/20	5.27	...	1.19	1 of 3 - Sale ²⁵
	6.805	60.09	05/28/20	49.05	...	11.04	2 of 3 - Sale ²⁵
	131.195	1,158.63	05/28/20	945.68	...	212.95	3 of 3 - Sale ²⁵
06/05/20	138.731	1,225.18	Various	1,000.00	...	225.18	Total of 3 transactions
<i>2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	0.344	3.11	11/17/20	3.02	...	0.09	1 of 2 - Sale ²⁵
	228.000	2,057.07	11/17/20	1,996.98	...	60.09	2 of 2 - Sale ²⁵
11/18/20	228.344	2,060.18	Various	2,000.00	...	60.18	Total of 2 transactions
	Security total:	3,285.36		3,000.00	...	285.36	
MAGNITE, INC. COMMON STOCK / CUSIP: 55955D100 / Symbol:							
<i>3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.686	21.02	12/22/20	19.28	...	1.74	1 of 3 - Sale ²⁵
	72.543	2,224.10	12/22/20	2,000.00	...	224.10	2 of 3 - Sale ²⁵
	105.737	3,241.80	12/22/20	2,980.72	...	261.08	3 of 3 - Sale ²⁵
12/23/20	178.965	5,486.92	Various	5,000.00	...	486.92	Total of 3 transactions
<i>4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	0.139	4.33	12/23/20	4.32	...	0.01	1 of 4 - Sale ²⁵
	0.247	7.69	12/23/20	7.68	...	0.01	2 of 4 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MAGNITE, INC. COMMON STOCK / CUSIP: 55955D100 / Symbol: (cont'd)							
	31.975	996.62	12/23/20	995.68	...	0.94	3 of 4 - Sale ²⁵
	64.000	1,994.83	12/23/20	1,992.32	...	2.51	4 of 4 - Sale ²⁵
12/28/20	96.360	3,003.47	Various	3,000.00	...	3.47	Total of 4 transactions
	Security total:	8,490.39		8,000.00	...	490.39	
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	0.313	0.54	05/15/20	0.53	...	0.01	1 of 2 - Sale ²⁵
	5.587	9.58	05/15/20	9.47	...	0.11	2 of 2 - Sale ²⁵
05/18/20	5.899	10.12	Various	10.00	...	0.12	Total of 2 transactions
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
05/05/20	214.000	333.81	05/04/20	190.22	...	143.59	Sale ²⁵
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
	5 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	65.967	263.86	05/01/20	281.68	...	-17.82	1 of 5 - Sale ²⁵
	3.775	15.10	05/01/20	16.12	...	-1.02	2 of 5 - Sale ²⁵
	0.258	1.03	05/01/20	1.17	...	-0.14	3 of 5 - Sale ²⁵
	3.609	15.41	05/01/20	13.64	...	1.77	4 of 5 - Sale ²⁵
	75.758	323.47	05/01/20	286.36	...	37.11	5 of 5 - Sale ²⁵
05/01/20	149.366	618.87	Various	598.97	...	19.90	Total of 5 transactions
05/01/20	0.258	1.03	05/01/20	1.10	0.07 W	0.00	Sale ²⁵
	Security total:	619.90		600.07	0.07 W	19.90	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
04/07/20	1.000	34.01	03/30/20	30.59	...	3.42	Sale ²⁵
	4 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	12.000	831.78	08/04/20	935.75	...	-103.97	1 of 4 - Sale ²⁵
	0.485	33.63	08/04/20	37.84	...	-4.21	2 of 4 - Sale ²⁵
	0.339	23.47	08/04/20	26.40	...	-2.93	3 of 4 - Sale ²⁵
	0.000	0.01	08/04/20	0.01	...	0.00	4 of 4 - Sale ²⁵
09/25/20	12.824	888.89	Various	1,000.00	...	-111.11	Total of 4 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
<i>4 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	0.032	3.36	11/23/20	3.26	...	0.10	1 of 4 - Sale ²⁵
	0.715	74.76	11/23/20	72.54	...	2.22	2 of 4 - Sale ²⁵
	9.857	1,030.48	11/23/20	1,000.00	...	30.48	3 of 4 - Sale ²⁵
	19.000	1,986.30	11/23/20	1,924.20	...	62.10	4 of 4 - Sale ²⁵
11/25/20	29.604	3,094.90	Various	3,000.00	...	94.90	Total of 4 transactions
<i>6 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	0.048	8.33	11/27/20	5.64	...	2.69	1 of 6 - Sale ²⁵
	6.980	1,209.04	11/27/20	819.82	...	389.22	2 of 6 - Sale ²⁵
	10.000	1,732.16	11/27/20	1,174.54	...	557.62	3 of 6 - Sale ²⁵
	0.003	0.58	11/30/20	0.50	...	0.08	4 of 6 - Sale ²⁵
	0.361	62.50	11/30/20	53.85	...	8.65	5 of 6 - Sale ²⁵
	5.000	866.08	11/30/20	745.65	...	120.43	6 of 6 - Sale ²⁵
12/01/20	22.392	3,878.69	Various	2,800.00	...	1,078.69	Total of 6 transactions
<i>4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	10.978	1,450.20	12/01/20	1,500.00	49.80 W	0.00	1 of 4 - Sale ²⁵
	0.476	62.88	12/02/20	65.76	2.88 W	0.00	2 of 4 - Sale ²⁵
	0.049	6.44	12/02/20	6.71	0.27 W	0.00	3 of 4 - Sale ²⁵
	14.000	1,849.48	12/02/20	1,927.53	78.05 W	0.00	4 of 4 - Sale ²⁵
12/02/20	25.502	3,369.00	Various	3,500.00	131.00 W	0.00	Total of 4 transactions
<i>5 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>							
	0.049	7.79	12/04/20	7.69	...	0.10	1 of 5 - Sale ²⁵
	0.155	24.82	12/04/20	24.31	...	0.51	2 of 5 - Sale ²⁵
	0.476	76.07	12/04/20	75.35	...	0.72	3 of 5 - Sale ²⁵
	1.305	208.49	12/04/20	204.56	...	3.93	4 of 5 - Sale ²⁵
	11.151	1,782.01	12/04/20	1,760.03	...	21.98	5 of 5 - Sale ²⁵
12/14/20	13.135	2,099.18	Various	2,071.94	...	27.24	Total of 5 transactions
12/14/20	9.518	1,521.04	12/03/20	1,543.18	9.43 W	-12.71	Sale ²⁵
<i>2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	4.056	423.99	12/17/20	596.79	...	-172.80	1 of 2 - Sale ²⁵
	2.849	297.88	12/17/20	428.52	...	-130.64	2 of 2 - Sale ²⁵
12/31/20	6.905	721.87	Various	1,025.31	...	-303.44	Total of 2 transactions
	Security total:	15,607.58		14,971.02	140.43 W	776.99	

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(continued)

02/14/2021

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MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	13.289	2,690.31	06/05/20	2,709.94	...	-19.63	1 of 3 - Sale ²⁵
	0.695	140.72	06/05/20	142.69	...	-1.97	2 of 3 - Sale ²⁵
	0.016	3.25	06/05/20	3.30	...	-0.05	3 of 3 - Sale ²⁵
06/16/20	14.000	2,834.28	Various	2,855.93	...	-21.65	Total of 3 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	0.016	3.26	06/05/20	3.28	0.02 W	0.00	1 of 2 - Sale ²⁵
	0.695	140.81	06/05/20	141.75	0.94 W	0.00	2 of 2 - Sale ²⁵
06/16/20	0.711	144.07	Various	145.03	0.96 W	0.00	Total of 2 transactions
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.010	2.42	08/31/20	2.36	...	0.06	1 of 4 - Sale ²⁵
	0.043	10.04	08/31/20	9.95	...	0.09	2 of 4 - Sale ²⁵
	0.029	6.95	08/31/20	6.80	...	0.15	3 of 4 - Sale ²⁵
	20.971	4,980.35	08/31/20	4,875.48	...	104.87	4 of 4 - Sale ²⁵
09/03/20	21.053	4,999.76	Various	4,894.59	...	105.17	Total of 4 transactions
09/25/20	21.960	5,126.17	08/31/20	5,105.41	...	20.76	Sale ²⁵
	Security total:	13,104.28		13,000.96	0.96 W	104.28	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
12/07/20	45.801	2,059.22	12/02/20	2,000.00	...	59.22	Sale ²⁵
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	0.004	0.17	12/01/20	0.18	0.01 W	0.00	1 of 3 - Sale ²⁵
	21.370	960.78	12/01/20	999.82	39.04 W	0.00	2 of 3 - Sale ²⁵
	19.816	890.91	12/03/20	925.00	34.09 W	0.00	3 of 3 - Sale ²⁵
12/07/20	41.189	1,851.86	Various	1,925.00	73.14 W	0.00	Total of 3 transactions
5 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.004	0.19	12/11/20	0.17	...	0.02	1 of 5 - Sale ²⁵
	0.041	1.98	12/11/20	1.80	...	0.18	2 of 5 - Sale ²⁵
	14.139	687.07	12/11/20	621.53	...	65.54	3 of 5 - Sale ²⁵
	21.329	1,036.47	12/11/20	939.87	...	96.60	4 of 5 - Sale ²⁵
	0.002	0.10	12/21/20	0.10	...	0.00	5 of 5 - Sale ²⁵
12/21/20	35.514	1,725.81	Various	1,563.47	...	162.34	Total of 5 transactions

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
5 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.344	16.71	12/21/20	17.49	0.78 W	0.00	1 of 5 - Sale ²⁵
	5.333	259.16	12/21/20	271.99	12.83 W	0.00	2 of 5 - Sale ²⁵
	14.616	710.28	12/21/20	720.29	10.01 W	0.00	3 of 5 - Sale ²⁵
	0.237	11.51	12/21/20	11.70	0.19 W	0.00	4 of 5 - Sale ²⁵
	20.000	971.87	12/21/20	988.20	16.33 W	0.00	5 of 5 - Sale ²⁵
12/21/20	40.530	1,969.53	Various	2,009.67	40.14 W	0.00	Total of 5 transactions
	Security total:	7,606.42		7,498.14	113.28 W	221.56	
NATIONAL RETAIL PROPERTIES, IN C. / CUSIP: 637417106 / Symbol:							
3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	0.020	0.65	04/15/20	0.64	...	0.01	1 of 3 - Sale ²⁵
	0.077	2.41	04/15/20	2.39	...	0.02	2 of 3 - Sale ²⁵
	15.923	502.05	04/15/20	496.97	...	5.08	3 of 3 - Sale ²⁵
04/30/20	16.020	505.11	Various	500.00	...	5.11	Total of 3 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	0.000	0.07	08/18/20	0.07	...	0.00	1 of 3 - Sale ²⁵
	0.097	47.68	08/18/20	47.37	...	0.31	2 of 3 - Sale ²⁵
	4.000	1,963.14	08/18/20	1,952.56	...	10.58	3 of 3 - Sale ²⁵
08/19/20	4.097	2,010.89	Various	2,000.00	...	10.89	Total of 3 transactions
NEW RESIDENTIAL INVESTMENT CORP / CUSIP: 64828T201 / Symbol:							
6 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	100.000	802.97	06/08/20	878.00	...	-75.03	1 of 6 - Sale ²⁵
	86.481	694.42	06/08/20	759.30	...	-64.88	2 of 6 - Sale ²⁵
	10.636	85.40	06/08/20	93.38	...	-7.98	3 of 6 - Sale ²⁵
	2.209	17.73	08/03/20	19.11	...	-1.38	4 of 6 - Sale ²⁵
	0.519	4.17	08/03/20	4.49	...	-0.32	5 of 6 - Sale ²⁵
	0.155	1.25	08/03/20	1.34	...	-0.09	6 of 6 - Sale ²⁵
08/06/20	200.000	1,605.94	Various	1,755.62	...	-149.68	Total of 6 transactions

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Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	30.000	240.90	06/08/20	263.40	1.66 W	-20.84	1 of 3 - Sale ²⁵
	0.155	1.25	06/08/20	1.36	0.11 W	0.00	2 of 3 - Sale ²⁵
	0.519	4.17	06/08/20	4.56	0.39 W	0.00	3 of 3 - Sale ²⁵
08/06/20	30.674	246.32	Various	269.32	2.16 W	-20.84	Total of 3 transactions
	Security total:	1,852.26		2,024.94	2.16 W	-170.52	
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	50.000	159.12	04/08/20	93.50	...	65.62	1 of 2 - Sale ²⁵
	500.000	1,591.20	04/09/20	1,334.90	...	256.30	2 of 2 - Sale ²⁵
06/05/20	550.000	1,750.32	Various	1,428.40	...	321.92	Total of 2 transactions
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	0.226	15.40	06/08/20	10.03	...	5.37	1 of 2 - Sale ²⁵
	29.774	2,028.75	06/08/20	1,321.96	...	706.79	2 of 2 - Sale ²⁵
06/09/20	30.000	2,044.15	Various	1,331.99	...	712.16	Total of 2 transactions
6 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	3.342	235.58	06/08/20	148.37	...	87.21	1 of 6 - Sale ²⁵
	34.226	2,412.88	06/08/20	1,519.64	...	893.24	2 of 6 - Sale ²⁵
	6.000	422.99	06/09/20	469.59	...	-46.60	3 of 6 - Sale ²⁵
	0.312	21.97	06/09/20	24.39	...	-2.42	4 of 6 - Sale ²⁵
	0.077	5.41	06/09/20	6.02	...	-0.61	5 of 6 - Sale ²⁵
	25.000	1,762.46	06/09/20	1,762.50	...	-0.04	6 of 6 - Sale ²⁵
06/23/20	68.956	4,861.29	Various	3,930.51	...	930.78	Total of 6 transactions
	Security total:	6,905.44		5,262.50	...	1,642.94	
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	13.883	1,749.17	12/01/20	1,983.95	...	-234.78	1 of 2 - Sale ²⁵
	0.112	14.15	12/01/20	16.05	...	-1.90	2 of 2 - Sale ²⁵
12/11/20	13.995	1,763.32	Various	2,000.00	...	-236.68	Total of 2 transactions

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(continued)

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OLIN CORP. / CUSIP: 680665205 / Symbol:							
4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	0.164	2.22	04/30/20	2.21	...	0.01	1 of 4 - Sale ²⁵
	1.388	18.79	04/30/20	18.71	...	0.08	2 of 4 - Sale ²⁵
	9.000	121.77	04/30/20	121.32	...	0.45	3 of 4 - Sale ²⁵
	212.000	2,868.28	04/30/20	2,857.76	...	10.52	4 of 4 - Sale ²⁵
06/03/20	222.552	3,011.06	Various	3,000.00	...	11.06	Total of 4 transactions
ORACLE CORP / CUSIP: 68389X105 / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	0.802	49.07	09/14/20	48.90	...	0.17	1 of 2 - Sale ²⁵
	32.000	1,957.75	09/14/20	1,951.10	...	6.65	2 of 2 - Sale ²⁵
09/15/20	32.802	2,006.82	Various	2,000.00	...	6.82	Total of 2 transactions
PPL CORPORATION / CUSIP: 69351T106 / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	5.000	125.00	04/06/20	114.15	...	10.85	1 of 2 - Sale ²⁵
	5.000	127.05	04/06/20	114.15	...	12.90	2 of 2 - Sale ²⁵
04/08/20	10.000	252.05	Various	228.30	...	23.75	Total of 2 transactions
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	66.957	1,928.66	11/23/20	1,999.25	...	-70.59	1 of 4 - Sale ²⁵
	34.000	979.34	11/25/20	998.75	...	-19.41	2 of 4 - Sale ²⁵
	0.025	0.72	11/25/20	0.77	...	-0.05	3 of 4 - Sale ²⁵
	0.017	0.50	11/25/20	0.51	...	-0.01	4 of 4 - Sale ²⁵
12/09/20	101.000	2,909.22	Various	2,999.28	...	-90.06	Total of 4 transactions
12/09/20	0.025	0.72	11/23/20	0.75	0.03 W	0.00	Sale ²⁵
	Security total:	3,161.99		3,228.33	0.03 W	-66.31	
PAGERDUTY, INC. / CUSIP: 69553P100 / Symbol:							
5 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	37.000	997.28	09/02/20	1,314.57	...	-317.29	1 of 5 - Sale ²⁵
	19.000	517.55	09/02/20	675.05	...	-157.50	2 of 5 - Sale ²⁵
	0.192	5.23	09/02/20	6.83	...	-1.60	3 of 5 - Sale ²⁵
	0.058	1.55	09/02/20	2.05	...	-0.50	4 of 5 - Sale ²⁵

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(continued)

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PAGERDUTY, INC. / CUSIP: 69553P100 / Symbol: (cont'd)							
	0.042	1.11	09/02/20	1.50	...	-0.39	5 of 5 - Sale ²⁵
09/28/20	56.292	1,522.72	Various	2,000.00	...	-477.28	Total of 5 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	0.088	2.25	11/24/20	2.07	...	0.18	1 of 3 - Sale ²⁵
	26.898	684.71	11/24/20	633.19	...	51.52	2 of 3 - Sale ²⁵
	58.000	1,476.39	11/24/20	1,364.74	...	111.65	3 of 3 - Sale ²⁵
11/25/20	84.987	2,163.35	Various	2,000.00	...	163.35	Total of 3 transactions
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	0.003	0.39	12/07/20	0.34	...	0.05	1 of 3 - Sale ²⁵
	0.300	39.49	12/07/20	34.63	...	4.86	2 of 3 - Sale ²⁵
	17.000	2,243.84	12/07/20	1,965.03	...	278.81	3 of 3 - Sale ²⁵
12/16/20	17.302	2,283.72	Various	2,000.00	...	283.72	Total of 3 transactions
PERFORMANCE FOOD GROUP COMPANY / CUSIP: 71377A103 / Symbol:							
5 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	17.887	436.25	05/18/20	444.83	...	-8.58	1 of 5 - Sale ²⁵
	16.920	412.66	05/18/20	420.37	...	-7.71	2 of 5 - Sale ²⁵
	1.255	30.61	05/18/20	31.59	...	-0.98	3 of 5 - Sale ²⁵
	0.975	23.78	05/18/20	24.50	...	-0.72	4 of 5 - Sale ²⁵
	0.963	23.49	05/18/20	23.95	...	-0.46	5 of 5 - Sale ²⁵
05/19/20	38.000	926.79	Various	945.24	...	-18.45	Total of 5 transactions
05/19/20	2.230	54.76	05/18/20	55.41	0.65 W	0.00	Sale ²⁵
	Security total:	981.55		1,000.65	0.65 W	-18.45	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	26.713	999.30	12/08/20	1,126.22	...	-126.92	1 of 3 - Sale ²⁵
	20.594	769.26	12/08/20	868.23	...	-98.97	2 of 3 - Sale ²⁵
	0.018	0.64	12/08/20	0.76	...	-0.12	3 of 3 - Sale ²⁵
12/24/20	47.325	1,769.20	Various	1,995.21	...	-226.01	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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PHILIP MORRIS INTERNATIONAL IN C. / CUSIP: 718172109 / Symbol:							
4 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	0.223	17.35	10/19/20	17.43	...	-0.08	1 of 4 - Sale ²⁵
	0.001	0.04	10/19/20	0.04	...	0.00	2 of 4 - Sale ²⁵
	0.223	17.49	10/19/20	17.43	...	0.06	3 of 4 - Sale ²⁵
	18.777	1,469.79	10/19/20	1,465.10	...	4.69	4 of 4 - Sale ²⁵
10/20/20	19.224	1,504.67	Various	1,500.00	...	4.67	Total of 4 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	0.553	36.50	12/17/20	35.94	...	0.56	1 of 2 - Sale ²⁵
	21.000	1,385.12	12/17/20	1,364.06	...	21.06	2 of 2 - Sale ²⁵
12/17/20	21.553	1,421.62	Various	1,400.00	...	21.62	Total of 2 transactions
10 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.009	0.79	12/21/20	0.77	...	0.02	1 of 10 - Sale ²⁵
	0.079	7.14	12/21/20	6.97	...	0.17	2 of 10 - Sale ²⁵
	0.185	16.76	12/21/20	16.39	...	0.37	3 of 10 - Sale ²⁵
	0.511	46.38	12/21/20	44.37	...	2.01	4 of 10 - Sale ²⁵
	0.182	16.60	12/21/20	14.28	...	2.32	5 of 10 - Sale ²⁵
	0.251	22.77	12/21/20	19.72	...	3.05	6 of 10 - Sale ²⁵
	0.251	22.77	12/21/20	19.72	...	3.05	7 of 10 - Sale ²⁵
	11.000	998.88	12/21/20	975.87	...	23.01	8 of 10 - Sale ²⁵
	10.967	995.86	12/21/20	955.63	...	40.23	9 of 10 - Sale ²⁵
	24.749	2,247.42	12/21/20	1,946.28	...	301.14	10 of 10 - Sale ²⁵
12/21/20	48.182	4,375.37	Various	4,000.00	...	375.37	Total of 10 transactions
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	10.642	1,250.37	12/21/20	1,000.00	...	250.37	1 of 3 - Sale ²⁵
	15.950	1,874.03	12/22/20	2,000.00	...	-125.97	2 of 3 - Sale ²⁵
	17.218	2,023.12	12/24/20	2,000.00	...	23.12	3 of 3 - Sale ²⁵
12/28/20	43.810	5,147.52	Various	5,000.00	...	147.52	Total of 3 transactions
	Security total:	10,944.51		10,400.00	...	544.51	

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REDWOOD TRUST, INC. / CUSIP: 758075402 / Symbol:							
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	368.550	2,749.94	06/08/20	2,869.16	...	-119.22	1 of 3 - Sale ²⁵
	16.807	125.40	06/08/20	130.84	...	-5.44	2 of 3 - Sale ²⁵
	7.063	52.70	06/30/20	48.17	...	4.53	3 of 3 - Sale ²⁵
09/14/20	392.420	2,928.04	Various	3,048.17	...	-120.13	Total of 3 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	0.190	25.84	05/05/20	24.08	...	1.76	1 of 2 - Sale ²⁵
	3.762	510.69	05/05/20	475.92	...	34.77	2 of 2 - Sale ²⁵
05/07/20	3.952	536.53	Various	500.00	...	36.53	Total of 2 transactions
9 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	0.224	26.67	05/28/20	24.02	...	2.65	1 of 9 - Sale ²⁵
	0.786	93.35	05/28/20	84.07	...	9.28	2 of 9 - Sale ²⁵
	3.662	435.07	05/28/20	391.91	...	43.16	3 of 9 - Sale ²⁵
	0.224	26.57	06/04/20	23.80	...	2.77	4 of 9 - Sale ²⁵
	0.223	26.52	06/04/20	23.71	...	2.81	5 of 9 - Sale ²⁵
	0.227	26.97	06/04/20	24.11	...	2.86	6 of 9 - Sale ²⁵
	4.474	531.58	06/04/20	476.20	...	55.38	7 of 9 - Sale ²⁵
	4.484	532.72	06/04/20	476.29	...	56.43	8 of 9 - Sale ²⁵
	4.481	532.43	06/04/20	475.89	...	56.54	9 of 9 - Sale ²⁵
06/16/20	18.786	2,231.88	Various	2,000.00	...	231.88	Total of 9 transactions
	Security total:	2,768.41		2,500.00	...	268.41	
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
8 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	48.000	95.99	04/14/20	90.24	...	5.75	1 of 8 - Sale ²⁵
	154.000	307.97	04/14/20	289.52	...	18.45	2 of 8 - Sale ²⁵
	198.000	395.97	04/14/20	372.24	...	23.73	3 of 8 - Sale ²⁵
	0.929	1.86	04/21/20	1.43	...	0.43	4 of 8 - Sale ²⁵
	99.631	198.26	04/21/20	153.32	...	44.94	5 of 8 - Sale ²⁵
	296.071	592.09	04/21/20	455.62	...	136.47	6 of 8 - Sale ²⁵
	411.000	821.93	04/21/20	632.49	...	189.44	7 of 8 - Sale ²⁵

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SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol: (cont'd)							
	492.000	983.91	04/21/20	757.14	...	226.77	8 of 8 - Sale ²⁵
04/24/20	1,699.631	3,397.98	Various	2,752.00	...	645.98	Total of 8 transactions
	14 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	0.615	1.33	04/27/20	1.02	...	0.31	1 of 14 - Sale ²⁵
	3.106	6.86	04/27/20	5.56	...	1.30	2 of 14 - Sale ²⁵
	3.246	7.03	04/27/20	5.68	...	1.35	3 of 14 - Sale ²⁵
	25.617	55.46	04/27/20	42.48	...	12.98	4 of 14 - Sale ²⁵
	76.792	166.25	04/27/20	127.35	...	38.90	5 of 14 - Sale ²⁵
	100.000	215.99	04/27/20	175.00	...	40.99	6 of 14 - Sale ²⁵
	104.000	224.63	04/27/20	182.00	...	42.63	7 of 14 - Sale ²⁵
	119.962	259.70	04/27/20	209.93	...	49.77	8 of 14 - Sale ²⁵
	252.674	558.37	04/27/20	452.28	...	106.09	9 of 14 - Sale ²⁵
	244.220	539.68	04/27/20	427.39	...	112.29	10 of 14 - Sale ²⁵
	302.881	660.25	04/27/20	542.16	...	118.09	11 of 14 - Sale ²⁵
	500.000	1,082.41	04/27/20	829.15	...	253.26	12 of 14 - Sale ²⁵
	1.559	5.75	04/29/20	5.58	...	0.17	13 of 14 - Sale ²⁵
	277.441	1,023.73	04/29/20	993.22	...	30.51	14 of 14 - Sale ²⁵
04/29/20	2,012.114	4,807.44	Various	3,998.80	...	808.64	Total of 14 transactions
05/04/20	0.336	1.20	04/29/20	1.20	...	0.00	Sale ²⁵
	3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	0.069	0.26	05/18/20	0.24	...	0.02	1 of 3 - Sale ²⁵
	11.682	43.47	05/18/20	40.18	...	3.29	2 of 3 - Sale ²⁵
	174.318	648.78	05/18/20	599.58	...	49.20	3 of 3 - Sale ²⁵
05/22/20	186.069	692.51	Various	640.00	...	52.51	Total of 3 transactions
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	0.073	0.35	05/26/20	0.31	...	0.04	1 of 3 - Sale ²⁵
	0.422	2.02	05/26/20	1.78	...	0.24	2 of 3 - Sale ²⁵
	0.927	4.44	05/26/20	3.91	...	0.53	3 of 3 - Sale ²⁵
06/05/20	1.422	6.81	Various	6.00	...	0.81	Total of 3 transactions
	Security total:	8,905.94		7,398.00	...	1,507.94	

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Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SERVICEMASTER GLOBAL HOLDINGS, INC. / CUSIP: 81761R109 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	0.945	26.67	05/07/20	23.72	...	2.95	1 of 3 - Sale ²⁵
	0.920	26.11	05/07/20	23.10	...	3.01	2 of 3 - Sale ²⁵
	18.055	509.49	05/07/20	453.18	...	56.31	3 of 3 - Sale ²⁵
05/18/20	19.920	562.27	Various	500.00	...	62.27	Total of 3 transactions
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	0.061	52.69	06/05/20	44.56	...	8.13	1 of 3 - Sale ²⁵
	0.100	85.85	06/05/20	72.63	...	13.22	2 of 3 - Sale ²⁵
	1.900	1,634.48	06/05/20	1,382.81	...	251.67	3 of 3 - Sale ²⁵
06/18/20	2.061	1,773.02	Various	1,500.00	...	273.02	Total of 3 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	24.262	783.87	06/02/20	902.51	...	-118.64	1 of 6 - Sale ²⁵
	0.595	19.23	06/02/20	25.04	...	-5.81	2 of 6 - Sale ²⁵
	1.144	36.97	06/02/20	42.56	...	-5.59	3 of 6 - Sale ²⁵
	0.143	4.63	06/02/20	6.03	...	-1.40	4 of 6 - Sale ²⁵
	24.618	795.39	06/03/20	952.14	...	-156.75	5 of 6 - Sale ²⁵
	1.237	39.99	06/03/20	47.86	...	-7.87	6 of 6 - Sale ²⁵
06/16/20	52.000	1,680.08	Various	1,976.14	...	-296.06	Total of 6 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	0.595	19.23	06/02/20	22.13	2.90 W	0.00	1 of 2 - Sale ²⁵
	0.143	4.63	06/02/20	5.33	0.70 W	0.00	2 of 2 - Sale ²⁵
06/16/20	0.738	23.86	Various	27.46	3.60 W	0.00	Total of 2 transactions
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.483	20.72	11/25/20	19.72	...	1.00	1 of 2 - Sale ²⁵
	23.980	1,029.21	11/25/20	980.28	...	48.93	2 of 2 - Sale ²⁵
12/02/20	24.463	1,049.93	Various	1,000.00	...	49.93	Total of 2 transactions
	Security total:	2,753.87		3,003.60	3.60 W	-246.13	

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Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
04/07/20	10.000	338.90	04/06/20	304.60	...	34.30	Sale ²⁵
	6 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	4.966	152.89	04/17/20	170.41	...	-17.52	1 of 6 - Sale ²⁵
	18.071	556.37	04/17/20	572.63	...	-16.26	2 of 6 - Sale ²⁵
	7.894	243.05	04/17/20	250.15	...	-7.10	3 of 6 - Sale ²⁵
	0.346	10.66	04/17/20	10.97	...	-0.31	4 of 6 - Sale ²⁵
	0.106	3.27	04/17/20	3.45	...	-0.18	5 of 6 - Sale ²⁵
	0.034	1.06	04/17/20	1.21	...	-0.15	6 of 6 - Sale ²⁵
04/20/20	31.417	967.30	Various	1,008.82	...	-41.52	Total of 6 transactions
	4 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	0.034	1.06	04/07/20	1.15	0.09 W	0.00	1 of 4 - Sale ²⁵
	4.966	152.89	04/07/20	165.95	13.06 W	0.00	2 of 4 - Sale ²⁵
	0.034	1.06	04/17/20	1.18	0.12 W	0.00	3 of 4 - Sale ²⁵
	0.106	3.27	04/17/20	3.36	0.09 W	0.00	4 of 4 - Sale ²⁵
04/20/20	5.140	158.28	Various	171.64	13.36 W	0.00	Total of 4 transactions
	3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	0.012	0.61	11/23/20	0.57	...	0.04	1 of 3 - Sale ²⁵
	0.049	2.41	11/23/20	2.29	...	0.12	2 of 3 - Sale ²⁵
	42.988	2,102.47	11/23/20	1,997.14	...	105.33	3 of 3 - Sale ²⁵
11/24/20	43.049	2,105.49	Various	2,000.00	...	105.49	Total of 3 transactions
	Security total:	3,569.97		3,485.06	13.36 W	98.27	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
06/03/20	10.000	157.70	03/27/20	154.45	...	3.25	Sale ²⁵
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.124	27.71	06/04/20	23.60	...	4.11	1 of 2 - Sale ²⁵
	22.696	559.21	06/04/20	476.40	...	82.81	2 of 2 - Sale ²⁵
06/05/20	23.821	586.92	Various	500.00	...	86.92	Total of 2 transactions
	3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	37.044	653.02	06/05/20	931.29	...	-278.27	1 of 3 - Sale ²⁵
	1.956	34.48	06/05/20	49.17	...	-14.69	2 of 3 - Sale ²⁵
	0.777	13.71	06/05/20	19.54	...	-5.83	3 of 3 - Sale ²⁵
07/23/20	39.777	701.21	Various	1,000.00	...	-298.79	Total of 3 transactions

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Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
Security total:		1,445.83		1,654.45	...	-208.62	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
09/24/20	12.798	1,932.68	09/22/20	2,000.00	...	-67.32	Sale ²⁵
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
05/07/20	2.000	228.17	05/05/20	222.92	...	5.25	Sale ²⁵
06/03/20	5.000	605.13	05/20/20	603.34	...	1.79	Sale ²⁵
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	0.002	0.30	08/18/20	0.28	...	0.02	1 of 3 - Sale ²⁵
	0.548	81.46	08/18/20	75.28	...	6.18	2 of 3 - Sale ²⁵
	13.998	2,081.81	08/18/20	1,924.44	...	157.37	3 of 3 - Sale ²⁵
08/19/20	14.548	2,163.57	Various	2,000.00	...	163.57	Total of 3 transactions
09/22/20	13.393	2,031.63	09/16/20	2,000.00	...	31.63	Sale ²⁵
3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	0.021	3.48	11/17/20	3.41	...	0.07	1 of 3 - Sale ²⁵
	0.102	16.96	11/17/20	16.61	...	0.35	2 of 3 - Sale ²⁵
	5.979	995.73	11/17/20	979.98	...	15.75	3 of 3 - Sale ²⁵
11/18/20	6.102	1,016.17	Various	1,000.00	...	16.17	Total of 3 transactions
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.000	0.04	11/23/20	0.04	...	0.00	1 of 2 - Sale ²⁵
	1.645	292.44	11/23/20	291.96	...	0.48	2 of 2 - Sale ²⁵
11/24/20	1.645	292.48	Various	292.00	...	0.48	Total of 2 transactions
Security total:		6,337.15		6,118.26	...	218.89	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	2.000	724.61	09/04/20	764.81	...	-40.20	1 of 2 - Sale ²⁵
	2.000	724.60	09/08/20	674.90	...	49.70	2 of 2 - Sale ²⁵
09/09/20	4.000	1,449.21	Various	1,439.71	...	9.50	Total of 2 transactions
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	0.339	176.04	11/23/20	175.64	...	0.40	1 of 3 - Sale ²⁵
	0.303	157.28	11/23/20	156.87	...	0.41	2 of 3 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.919	1,002.41	11/23/20	1,000.44	...	1.97	3 of 3 - Sale ²⁵
11/23/20	2.560	1,335.73	Various	1,332.95	...	2.78	Total of 3 transactions
	2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	8.661	4,489.92	11/23/20	4,491.91	1.99 W	0.00	1 of 2 - Sale ²⁵
	0.339	175.57	11/23/20	175.58	0.01 W	0.00	2 of 2 - Sale ²⁵
11/23/20	9.000	4,665.49	Various	4,667.49	2.00 W	0.00	Total of 2 transactions
	2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.008	4.68	11/24/20	4.29	...	0.39	1 of 2 - Sale ²⁵
	3.683	2,174.56	11/24/20	1,996.56	...	178.00	2 of 2 - Sale ²⁵
11/30/20	3.691	2,179.24	Various	2,000.85	...	178.39	Total of 2 transactions
	5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.005	3.17	12/01/20	3.00	...	0.17	1 of 5 - Sale ²⁵
	0.142	87.32	12/01/20	82.75	...	4.57	2 of 5 - Sale ²⁵
	0.339	208.33	12/01/20	197.61	...	10.72	3 of 5 - Sale ²⁵
	1.752	1,077.68	12/01/20	1,022.18	...	55.50	4 of 5 - Sale ²⁵
	2.904	1,786.50	12/01/20	1,695.17	...	91.33	5 of 5 - Sale ²⁵
12/07/20	5.142	3,163.00	Various	3,000.71	...	162.29	Total of 5 transactions
	3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	0.001	0.38	12/08/20	0.38	...	0.00	1 of 3 - Sale ²⁵
	0.773	505.65	12/08/20	499.62	...	6.03	2 of 3 - Sale ²⁵
	0.805	526.69	12/10/20	500.00	...	26.69	3 of 3 - Sale ²⁵
12/21/20	1.578	1,032.72	Various	1,000.00	...	32.72	Total of 3 transactions
	Security total:	13,825.39		13,441.71	2.00 W	385.68	
TRIPADVISOR, INC. COMMON STOCK / CUSIP: 896945201 / Symbol:							
	3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	0.033	0.71	06/16/20	0.69	...	0.02	1 of 3 - Sale ²⁵
	4.537	96.41	06/16/20	94.94	...	1.47	2 of 3 - Sale ²⁵
	91.000	1,933.83	06/16/20	1,904.37	...	29.46	3 of 3 - Sale ²⁵
07/28/20	95.570	2,030.95	Various	2,000.00	...	30.95	Total of 3 transactions

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(continued)

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TYSON FOODS, INC. / CUSIP: 902494103 / Symbol:							
3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	0.067	4.08	04/22/20	4.00	...	0.08	1 of 3 - Sale ²⁵
	0.329	20.02	04/22/20	19.64	...	0.38	2 of 3 - Sale ²⁵
	13.000	791.29	04/22/20	776.36	...	14.93	3 of 3 - Sale ²⁵
04/23/20	13.396	815.39	Various	800.00	...	15.39	Total of 3 transactions
VIACOMCBS INC. CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	0.651	12.05	05/14/20	11.10	...	0.95	1 of 3 - Sale ²⁵
	2.816	52.11	05/14/20	48.02	...	4.09	2 of 3 - Sale ²⁵
	55.184	1,020.86	05/14/20	940.88	...	79.98	3 of 3 - Sale ²⁵
05/18/20	58.651	1,085.02	Various	1,000.00	...	85.02	Total of 3 transactions
WALMART INC. / CUSIP: 931142103 / Symbol:							
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.672	91.29	08/27/20	91.66	0.37 W	0.00	1 of 3 - Sale ²⁵
	1.000	135.75	08/27/20	136.31	0.56 W	0.00	2 of 3 - Sale ²⁵
	13.000	1,764.84	08/27/20	1,772.03	7.19 W	0.00	3 of 3 - Sale ²⁵
08/31/20	14.672	1,991.88	Various	2,000.00	8.12 W	0.00	Total of 3 transactions
5 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	13.000	1,789.69	09/15/20	1,799.47	...	-9.78	1 of 5 - Sale ²⁵
	21.652	2,980.83	09/15/20	2,985.14	...	-4.31	2 of 5 - Sale ²⁵
	1.000	137.67	09/15/20	138.43	...	-0.76	3 of 5 - Sale ²⁵
	0.348	47.89	09/15/20	48.15	...	-0.26	4 of 5 - Sale ²⁵
	0.325	44.69	09/15/20	44.93	...	-0.24	5 of 5 - Sale ²⁵
09/15/20	36.325	5,000.77	Various	5,016.12	...	-15.35	Total of 5 transactions
	Security total:	6,992.65		7,016.12	8.12 W	-15.35	
WARNER MUSIC GROUP CORP. CLASS A COMMON STOCK / CUSIP: 934550203 / Symbol:							
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	0.161	5.11	06/04/20	4.98	...	0.13	1 of 4 - Sale ²⁵
	0.257	8.12	06/04/20	7.92	...	0.20	2 of 4 - Sale ²⁵

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WARNER MUSIC GROUP CORP. CLASS A COMMON STOCK / CUSIP: 934550203 / Symbol: (cont'd)							
	0.743	23.53	06/04/20	23.00	...	0.53	3 of 4 - Sale ²⁵
	15.000	474.73	06/04/20	464.10	...	10.63	4 of 4 - Sale ²⁵
06/15/20	16.161	511.49	Various	500.00	...	11.49	Total of 4 transactions
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	0.301	8.38	05/01/20	8.29	...	0.09	1 of 6 - Sale ²⁵
	0.841	23.43	05/01/20	23.19	...	0.24	2 of 6 - Sale ²⁵
	17.000	473.27	05/01/20	468.52	...	4.75	3 of 6 - Sale ²⁵
	0.417	11.59	05/15/20	9.71	...	1.88	4 of 6 - Sale ²⁵
	1.033	28.77	05/15/20	24.09	...	4.68	5 of 6 - Sale ²⁵
	20.000	556.78	05/15/20	466.20	...	90.58	6 of 6 - Sale ²⁵
05/27/20	39.592	1,102.22	Various	1,000.00	...	102.22	Total of 6 transactions
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
01/17/20	1.000	180.47	12/03/19	173.60	...	6.87	Sale ²⁵
11/24/20	9.670	2,034.30	11/23/20	2,000.00	...	34.30	Sale ²⁵
12/21/20	4.246	1,024.92	12/17/20	1,000.00	...	24.92	Sale ²⁵
	Security total:	3,239.69		3,173.60	...	66.09	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
12/08/20	0.001	0.03	11/23/20	0.04	...	-0.01	Sale ²⁵
12/08/20	70.947	1,509.71	11/23/20	1,999.96	478.98 W	-11.27	Sale ²⁵
	Security total:	1,509.74		2,000.00	478.98 W	-11.28	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
6 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	0.018	1.75	04/28/20	1.54	...	0.21	1 of 6 - Sale ²⁵
	0.538	53.58	04/28/20	47.16	...	6.42	2 of 6 - Sale ²⁵
	10.847	1,080.79	04/28/20	951.30	...	129.49	3 of 6 - Sale ²⁵
	0.396	39.48	05/22/20	30.92	...	8.56	4 of 6 - Sale ²⁵
	1.219	121.45	05/22/20	95.17	...	26.28	5 of 6 - Sale ²⁵
	24.000	2,391.29	05/22/20	1,873.91	...	517.38	6 of 6 - Sale ²⁵
06/05/20	37.018	3,688.34	Various	3,000.00	...	688.34	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 146564489

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
YEEX, INC. / CUSIP: 98585N106 / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	100.000	1,550.96	09/02/20	1,949.00	...	-398.04	1 of 2 - Sale ²⁵
	2.616	40.57	09/02/20	51.00	...	-10.43	2 of 2 - Sale ²⁵
09/25/20	102.616	1,591.53	Various	2,000.00	...	-408.47	Total of 2 transactions
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
04/23/20	1.000	164.03	03/23/20	156.91	...	7.12	Sale ²⁵
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	0.000	0.08	08/31/20	0.06	...	0.02	1 of 2 - Sale ²⁵
	6.353	2,705.49	08/31/20	1,999.94	...	705.55	2 of 2 - Sale ²⁵
09/01/20	6.353	2,705.57	Various	2,000.00	...	705.57	Total of 2 transactions
	Security total:	2,869.60		2,156.91	...	712.69	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
04/30/20	10.000	161.90	03/25/20	149.90	...	12.00	Sale ²⁵
3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	0.013	0.27	11/10/20	0.26	...	0.01	1 of 3 - Sale ²⁵
	0.541	11.10	11/10/20	10.92	...	0.18	2 of 3 - Sale ²⁵
	48.987	1,004.79	11/10/20	988.82	...	15.97	3 of 3 - Sale ²⁵
11/20/20	49.541	1,016.16	Various	1,000.00	...	16.16	Total of 3 transactions
	Security total:	1,178.06		1,149.90	...	28.16	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	29.000	1,481.67	06/16/20	1,866.44	...	-384.77	1 of 4 - Sale ²⁵
	1.463	74.77	06/16/20	94.18	...	-19.41	2 of 4 - Sale ²⁵
	0.537	27.42	06/16/20	34.53	...	-7.11	3 of 4 - Sale ²⁵
	0.075	3.85	06/16/20	4.85	...	-1.00	4 of 4 - Sale ²⁵
08/06/20	31.075	1,587.71	Various	2,000.00	...	-412.29	Total of 4 transactions
Totals :		497,617.58		494,534.51	4,125.39 W	7,208.46	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 146564489

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AT&T INC.	00206R102		05/01/20	2.60	Qualified dividend	
FIRSTENERGY CORP.	337932107		09/01/20	259.31	Nondividend distribution	03
GILEAD SCIENCES, INC. COMMON S TOCK	375558103		06/29/20	1.69	Qualified dividend	
NATIONAL RETAIL PROPERTIES, IN C.	637417106		05/15/20	6.61	Section 199A dividend	03
			05/15/20	1.64	Nondividend distribution	03
				8.25	Total Dividends & distributions	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		07/31/20	14.15	Section 199A dividend	03
			07/31/20	5.01	Nondividend distribution	03
			07/31/20	3.62	Qualified dividend	03
				22.78	Total Dividends & distributions	
OLIN CORP.	680665205		06/10/20	44.51	Qualified dividend	
REDWOOD TRUST, INC.	758075402		06/29/20	46.42	Nondividend distribution	03
SM ENERGY COMPANY	78454L100		05/08/20	16.99	Qualified dividend	
WELLS FARGO & CO.	949746101		06/01/20	9.25	Qualified dividend	
				411.80	Total Dividends & distributions	

Robinhood Securities LLC

Account 146564489

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/31/20	0.40	Interest	
		04/29/20	0.20	Interest	
		04/30/20	0.14	Interest	
		05/29/20	0.16	Interest	
		08/31/20	2.49	Interest	
		09/30/20	1.51	Interest	
		10/30/20	4.17	Interest	
		11/30/20	1.01	Interest	
			10.08	Total Interest	
			10.08	Total Interest	

Robinhood Securities LLC

Account 146564489

Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
FIRSTENERGY CORP.	337932107	09/01/20	0.78	Substitute payment	
REDWOOD TRUST, INC.	758075402	06/29/20	1.75	Substitute payment	
SM ENERGY COMPANY	78454L100	05/08/20	0.01	Substitute payment	
			2.54	Total Miscellaneous income	

Robinhood Securities LLC

Account 146564489

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/23/20	-5.00	Margin interest paid	
		05/26/20	-5.00	Margin interest paid	
		06/22/20	-5.00	Margin interest paid	
		06/22/20	-20.76	Margin interest paid	
		07/22/20	-5.00	Margin interest paid	
		07/22/20	-25.80	Margin interest paid	
		08/21/20	-5.00	Margin interest paid	
		08/21/20	-42.18	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		09/21/20	-7.91	Margin interest paid	
		10/20/20	-5.00	Margin interest paid	
		11/19/20	-5.00	Margin interest paid	
		11/19/20	-30.03	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
		12/21/20	-20.20	Margin interest paid	
			-191.88	Total Margin interest paid	
			-191.88	Total Margin interest paid	

Robinhood Securities LLC

Account 146564489

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CAESARS ENTERTAINMENT, INC. COMMON STOCK	12769G100	07/21/20	47.64	0.00	Exchange	
ELDORADO RESORTS, INC. COMMON STOCK	28470R102	07/21/20	-47.64	0.00	Exchange	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.