

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 784673113	Statement Date: 02/14/2021 Document ID: WQK8 SN4 66S4	2020
	Srikanth reddy Mannem 13132 Kidwell Field Rd Herndon, VA 20171 RECIPIENT'S TIN: XXX-XX-7180		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.20		2- Royalties	0.00	
1b- Qualified dividends	0.20		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	3.37		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	230,950.45	244,579.44	0.00	9,850.92	-3,778.07
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.19	1.41	0.00	0.00	-1.22
	Total Short-term	230,950.64	244,580.85	0.00	9,850.92	-3,779.29
Long	D (basis reported to the IRS)	6.62	5.03	0.00	0.00	1.59
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	6.62	5.03	0.00	0.00	1.59
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	230,957.26	244,585.88	0.00	9,850.92	-3,777.70
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 784673113

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 784673113

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/26/2020 CALL \$380.00 / CUSIP: / Symbol: 06/25/20	1.000	7.98	06/23/20	59.00	...	-51.02	Option sale to close-call ²⁵
AAPL 06/26/2020 PUT \$350.00 / CUSIP: / Symbol: 06/23/20	1.000	92.98	06/22/20	270.00	...	-177.02	Option sale to close-put ²⁵
AAPL 07/17/2020 CALL \$400.00 / CUSIP: / Symbol: 07/08/20	1.000	148.98	07/07/20	125.00	...	23.98	Option sale to close-call ²⁵
AAPL 08/07/2020 CALL \$380.00 / CUSIP: / Symbol: 07/24/20	1.000	499.97	07/23/20	1,230.00	...	-730.03	Option sale to close-call ²⁵

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Account 784673113

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/28/2020 CALL \$450.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	914.97	08/10/20	1,550.00	...	-635.03	1 of 2 - Option sale to close-call ²⁵
	1.000	914.97	08/11/20	945.00	...	-30.03	2 of 2 - Option sale to close-call ²⁵
08/11/20	2.000	1,829.94	Various	2,495.00	...	-665.06	Total of 2 transactions
ADS 08/07/2020 CALL \$47.00 / CUSIP: / Symbol:							
07/28/20	1.000	114.98	07/23/20	300.00	...	-185.02	Option sale to close-call ²⁵
AMZN 07/17/2020 CALL \$3,080.00 / CUSIP: / Symbol:							
07/01/20	1.000	1,714.95	07/01/20	1,155.00	...	559.95	Option sale to close-call ²⁵
AMZN 07/17/2020 CALL \$3,440.00 / CUSIP: / Symbol:							
07/02/20	1.000	599.97	07/01/20	289.00	...	310.97	Option sale to close-call ²⁵
AMZN 07/17/2020 CALL \$3,500.00 / CUSIP: / Symbol:							
07/07/20	1.000	524.97	07/06/20	445.00	...	79.97	Option sale to close-call ²⁵
07/08/20	1.000	639.97	07/07/20	500.00	...	139.97	Option sale to close-call ²⁵
	Security total:	1,164.94		945.00	...	219.94	
AMZN 07/31/2020 CALL \$3,800.00 / CUSIP: / Symbol:							
07/17/20	1.000	1,519.95	07/17/20	1,970.00	...	-450.05	Option sale to close-call ²⁵
AVYA 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/08/20	1.000	56.98	06/03/20	60.00	...	-3.02	Option sale to close-call ²⁵
AVYA 09/18/2020 PUT \$12.50 / CUSIP: / Symbol:							
08/04/20	1.000	99.98	08/03/20	104.00	...	-4.02	Option sale to close-put ²⁵
BA 08/21/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/18/20	1.000	2,289.93	06/16/20	2,860.00	...	-570.07	Option sale to close-call ²⁵
BYND 06/26/2020 PUT \$150.00 / CUSIP: / Symbol:							
06/18/20	1.000	419.98	06/17/20	340.00	...	79.98	Option sale to close-put ²⁵
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	06/19/20	289.00	...	10.99	1 of 2 - Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 06/26/2020 PUT \$150.00 / CUSIP: / Symbol: (cont'd)							
	1.000	299.98	06/19/20	182.00	...	117.98	2 of 2 - Option sale to close-put ²⁵
06/19/20	2.000	599.97	Various	471.00	...	128.97	Total of 2 transactions
	Security total:	1,019.95		811.00	...	208.95	
CCL 06/26/2020 PUT \$18.00 / CUSIP: / Symbol:							
06/22/20	1.000	164.98	06/19/20	150.00	...	14.98	Option sale to close-put ²⁵
CIR 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/11/20	1.000	39.98	06/09/20	230.00	...	-190.02	Option sale to close-call ²⁵
CIR 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	209.99	06/09/20	490.00	...	-280.01	1 of 2 - Option sale to close-call ²⁵
	1.000	209.99	06/09/20	480.00	...	-270.01	2 of 2 - Option sale to close-call ²⁵
06/11/20	2.000	419.98	Various	970.00	...	-550.02	Total of 2 transactions
CPE 06/19/2020 CALL \$3.00 / CUSIP: / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	5.000	24.99	06/08/20	175.00	...	-150.01	1 of 3 - Option sale to close-call ²⁵
	3.000	14.99	06/08/20	120.00	...	-105.01	2 of 3 - Option sale to close-call ²⁵
	2.000	9.99	06/10/20	10.00	...	-0.01	3 of 3 - Option sale to close-call ²⁵
06/11/20	10.000	49.97	Various	305.00	...	-255.03	Total of 3 transactions
DDOG 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/02/20	1.000	469.97	05/28/20	390.00	...	79.97	Option sale to close-call ²⁵
DDOG 06/19/2020 CALL \$75.00 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	199.99	06/03/20	185.00	...	14.99	1 of 3 - Option sale to close-call ²⁵
	1.000	199.99	06/04/20	155.00	...	44.99	2 of 3 - Option sale to close-call ²⁵
	2.000	399.98	06/05/20	360.00	...	39.98	3 of 3 - Option sale to close-call ²⁵
06/08/20	4.000	799.96	Various	700.00	...	99.96	Total of 3 transactions
DDOG 06/19/2020 PUT \$75.00 / CUSIP: / Symbol:							
06/09/20	1.000	399.98	06/08/20	340.00	...	59.98	Option sale to close-put ²⁵

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Account 784673113

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DDOG 07/17/2020 PUT \$50.00 / CUSIP: / Symbol:							
06/11/20	1.000	14.98	06/09/20	20.00	...	-5.02	Option sale to close-put ²⁵
DDOG 08/28/2020 CALL \$80.00 / CUSIP: / Symbol:							
08/14/20	1.000	559.97	08/13/20	450.00	...	109.97	Option sale to close-call ²⁵
DDOG 09/04/2020 CALL \$80.00 / CUSIP: / Symbol:							
08/13/20	1.000	509.97	08/11/20	430.00	...	79.97	Option sale to close-call ²⁵
DDOG 09/18/2020 CALL \$130.00 / CUSIP: / Symbol:							
07/28/20	1.000	69.98	07/20/20	175.00	...	-105.02	Option sale to close-call ²⁵
DKNG 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	439.98	06/03/20	330.00	...	109.98	1 of 2 - Option sale to close-call ²⁵
	1.000	439.98	06/03/20	290.00	...	149.98	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	879.96	Various	620.00	...	259.96	Total of 2 transactions
06/08/20	1.000	253.98	06/08/20	151.02	...	102.96	Option sale to close-call ²⁵
06/08/20	1.000	253.98	06/05/20	255.00	1.02 W	0.00	Option sale to close-call ²⁵
Security total:		1,387.92		1,026.02	1.02 W	362.92	
DKNG 06/26/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/26/20	1.000	0.00	06/22/20	68.00	...	-68.00	Option expiration- long call
DKNG 07/31/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/21/20	1.000	186.98	07/20/20	95.00	...	91.98	Option sale to close-call ²⁵
07/24/20	1.000	79.98	07/21/20	159.00	...	-79.02	Option sale to close-call ²⁵
Security total:		266.96		254.00	...	12.96	
DKNG 08/07/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/17/20	1.000	244.98	07/16/20	185.00	...	59.98	Option sale to close-call ²⁵
DKNG 08/28/2020 PUT \$30.00 / CUSIP: / Symbol:							
08/17/20	1.000	34.98	08/14/20	98.00	...	-63.02	Option sale to close-put ²⁵
ERI 06/12/2020 CALL \$36.00 / CUSIP: / Symbol:							
06/02/20	1.000	264.98	06/02/20	225.00	...	39.98	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ERI 06/19/2020 CALL \$36.00 / CUSIP: / Symbol:							
06/03/20	1.000	349.98	06/02/20	274.00	...	75.98	Option sale to close-call ²⁵
ERI 06/19/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/11/20	1.000	46.98	06/09/20	524.02	...	-477.04	Option sale to close-call ²⁵
06/11/20	1.000	45.98	06/08/20	330.00	284.02 W	0.00	Option sale to close-call ²⁵
	Security total:	92.96		854.02	284.02 W	-477.04	
ERI 06/26/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/11/20	1.000	84.98	06/08/20	360.00	...	-275.02	Option sale to close-call ²⁵
ET 06/05/2020 CALL \$9.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	4.99	05/26/20	10.00	...	-5.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	05/26/20	10.00	...	-5.01	2 of 2 - Option sale to close-call ²⁵
05/28/20	2.000	9.98	Various	20.00	...	-10.02	Total of 2 transactions
FSLY 07/02/2020 CALL \$75.00 / CUSIP: / Symbol:							
06/25/20	1.000	649.97	06/24/20	390.00	...	259.97	Option sale to close-call ²⁵
FSLY 07/10/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/01/20	1.000	442.98	06/29/20	430.00	...	12.98	Option sale to close-call ²⁵
FSLY 07/17/2020 CALL \$77.00 / CUSIP: / Symbol:							
06/23/20	1.000	909.96	06/23/20	760.00	...	149.96	Option sale to close-call ²⁵
FSLY 07/31/2020 CALL \$101.00 / CUSIP: / Symbol:							
07/29/20	1.000	9.98	07/20/20	200.00	...	-190.02	Option sale to close-call ²⁵
FSLY 07/31/2020 CALL \$85.00 / CUSIP: / Symbol:							
07/15/20	1.000	819.97	07/14/20	560.00	...	259.97	Option sale to close-call ²⁵
07/17/20	1.000	359.98	07/16/20	490.00	...	-130.02	Option sale to close-call ²⁵
	Security total:	1,179.95		1,050.00	...	129.95	
FSLY 08/07/2020 CALL \$87.00 / CUSIP: / Symbol:							
07/30/20	1.000	669.97	07/30/20	760.00	...	-90.03	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 784673113

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 08/28/2020 CALL \$85.00 / CUSIP: / Symbol:							
08/11/20	1.000	329.98	08/10/20	810.00	...	-480.02	Option sale to close-call ²⁵
GNUS 06/19/2020 CALL \$4.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	06/12/20	160.00	...	-160.00	Option expiration- long call
GNUS 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/12/20	1.000	59.98	06/12/20	80.00	...	-20.02	Option sale to close-call ²⁵
HEPA 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
12/11/20	1.000	22.98	12/08/20	30.00	...	-7.02	Option sale to close-call ²⁵
IVR 06/19/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/11/20	1.000	19.98	06/08/20	110.00	...	-90.02	Option sale to close-call ²⁵
LCA 08/21/2020 PUT \$10.00 / CUSIP: / Symbol:							
07/20/20	1.000	9.98	07/17/20	20.00	...	-10.02	Option sale to close-put ²⁵
LI 01/08/2021 CALL \$32.00 / CUSIP: / Symbol:							
12/21/20	1.000	299.98	12/18/20	267.00	...	32.98	Option sale to close-call ²⁵
LI 11/27/2020 CALL \$45.00 / CUSIP: / Symbol:							
11/23/20	1.000	269.98	11/23/20	273.00	...	-3.02	Option sale to close-call ²⁵
LVGO 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/05/20	1.000	329.98	06/04/20	235.02	...	94.96	Option sale to close-call ²⁵
06/05/20	1.000	329.98	06/04/20	340.00	10.02 W	0.00	Option sale to close-call ²⁵
	Security total:	659.96		575.02	10.02 W	94.96	
LVGO 07/17/2020 PUT \$50.00 / CUSIP: / Symbol:							
06/25/20	1.000	29.98	06/16/20	95.00	...	-65.02	Option sale to close-put ²⁵
LVS 06/19/2020 CALL \$48.00 / CUSIP: / Symbol:							
06/03/20	1.000	295.98	06/02/20	223.00	...	72.98	Option sale to close-call ²⁵

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LVS 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	238.98	06/03/20	113.00	...	125.98	1 of 3 - Option sale to close-call ²⁵
	1.000	238.99	06/04/20	105.00	...	133.99	2 of 3 - Option sale to close-call ²⁵
	1.000	238.98	06/04/20	83.00	...	155.98	3 of 3 - Option sale to close-call ²⁵
06/05/20	3.000	716.95	Various	301.00	...	415.95	Total of 3 transactions
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	203.99	06/05/20	214.00	...	-10.01	1 of 2 - Option sale to close-call ²⁵
	3.000	611.98	06/05/20	417.00	...	194.98	2 of 2 - Option sale to close-call ²⁵
06/08/20	4.000	815.97	Various	631.00	...	184.97	Total of 2 transactions
	Security total:	1,532.92		932.00	...	600.92	
LVS 07/31/2020 CALL \$60.00 / CUSIP: / Symbol:							
07/23/20	1.000	0.98	07/15/20	38.00	...	-37.02	Option sale to close-call ²⁵
MGM 06/19/2020 CALL \$23.00 / CUSIP: / Symbol:							
06/11/20	1.000	19.98	06/09/20	122.00	...	-102.02	Option sale to close-call ²⁵
MGM 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/02/20	1.000	8.98	06/01/20	9.00	...	-0.02	Option sale to close-call ²⁵
MLCO 06/19/2020 CALL \$18.00 / CUSIP: / Symbol:							
06/04/20	3.000	314.98	06/03/20	135.00	...	179.98	Option sale to close-call ²⁵
MLCO 07/17/2020 CALL \$19.00 / CUSIP: / Symbol:							
06/11/20	1.000	64.98	06/04/20	120.00	...	-55.02	Option sale to close-call ²⁵
MRNA 07/31/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/17/20	1.000	253.98	07/15/20	310.00	...	-56.02	Option sale to close-call ²⁵
NFLX 07/10/2020 CALL \$475.00 / CUSIP: / Symbol:							
07/01/20	1.000	934.96	07/01/20	670.00	...	264.96	Option sale to close-call ²⁵
NFLX 07/10/2020 CALL \$520.00 / CUSIP: / Symbol:							
07/06/20	1.000	129.98	07/01/20	156.00	...	-26.02	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 08/07/2020 CALL \$700.00 / CUSIP: / Symbol:							
07/13/20	1.000	709.97	07/10/20	450.00	...	259.97	Option sale to close-call ²⁵
NKLA 07/31/2020 CALL \$38.00 / CUSIP: / Symbol:							
07/24/20	1.000	34.98	07/23/20	180.00	...	-145.02	Option sale to close-call ²⁵
NKLA 12/04/2020 CALL \$26.00 / CUSIP: / Symbol:							
11/18/20	1.000	259.98	11/18/20	330.00	...	-70.02	Option sale to close-call ²⁵
NVAX 06/26/2020 PUT \$41.00 / CUSIP: / Symbol:							
06/26/20	1.000	0.00	06/16/20	95.00	...	-95.00	Option expiration- long put
PFE 07/24/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/13/20	1.000	62.98	07/01/20	69.00	...	-6.02	Option sale to close-call ²⁵
PFE 08/21/2020 CALL \$38.00 / CUSIP: / Symbol:							
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	172.98	07/22/20	103.00	...	69.98	1 of 2 - Option sale to close-call ²⁵
	1.000	182.98	07/22/20	103.00	...	79.98	2 of 2 - Option sale to close-call ²⁵
07/23/20	2.000	355.96	Various	206.00	...	149.96	Total of 2 transactions
PFE 11/20/2020 CALL \$42.00 / CUSIP: / Symbol:							
	3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	5.000	239.98	11/09/20	400.00	...	-160.02	1 of 3 - Option sale to close-call ²⁵
	4.000	191.98	11/09/20	320.00	...	-128.02	2 of 3 - Option sale to close-call ²⁵
	1.000	48.00	11/09/20	80.00	...	-32.00	3 of 3 - Option sale to close-call ²⁵
11/09/20	10.000	479.96	Various	800.00	...	-320.04	Total of 3 transactions
PK 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/11/20	1.000	14.98	06/09/20	85.00	...	-70.02	Option sale to close-call ²⁵
PYPL 07/24/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/09/20	1.000	479.97	07/09/20	405.00	...	74.97	Option sale to close-call ²⁵
RKT 10/16/2020 CALL \$31.00 / CUSIP: / Symbol:							
08/26/20	1.000	399.98	08/24/20	460.00	...	-60.02	Option sale to close-call ²⁵

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RRD 06/19/2020 CALL \$2.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/12/20	5.00	...	-5.00	Option expiration- long call
RTX 11/20/2020 CALL \$66.00 / CUSIP: / Symbol:							
11/09/20	10.000	1,479.94	11/09/20	1,030.00	...	449.94	Option sale to close-call ²⁵
RTX 11/20/2020 CALL \$69.50 / CUSIP: / Symbol:							
11/17/20	1.000	87.98	11/17/20	95.00	...	-7.02	Option sale to close-call ²⁵
SGC 09/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
07/30/20	1.000	124.98	07/29/20	125.00	...	-0.02	Option sale to close-call ²⁵
SHOP 07/31/2020 CALL \$1,100.00 / CUSIP: / Symbol:							
07/17/20	1.000	1,529.95	07/17/20	1,830.00	...	-300.05	Option sale to close-call ²⁵
SHOP 07/31/2020 CALL \$1,120.00 / CUSIP: / Symbol:							
07/16/20	1.000	1,879.94	07/13/20	4,660.00	...	-2,780.06	Option sale to close-call ²⁵
SHOP 07/31/2020 CALL \$1,140.00 / CUSIP: / Symbol:							
07/20/20	1.000	2,099.94	07/20/20	1,800.00	...	299.94	Option sale to close-call ²⁵
SHOP 07/31/2020 CALL \$1,250.00 / CUSIP: / Symbol:							
07/21/20	1.000	1,009.96	07/20/20	1,100.00	90.04 W	0.00	Option sale to close-call ²⁵
07/24/20	1.000	174.98	07/22/20	890.04	...	-715.06	Option sale to close-call ²⁵
	Security total:	1,184.94		1,990.04	90.04 W	-715.06	
SPN 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/11/20	1.000	4.98	06/08/20	65.02	...	-60.04	Option sale to close-call ²⁵
06/11/20	1.000	4.98	06/08/20	45.00	40.02 W	0.00	Option sale to close-call ²⁵
	Security total:	9.96		110.02	40.02 W	-60.04	
SPY 08/28/2020 CALL \$340.00 / CUSIP: / Symbol:							
08/14/20	1.000	256.98	08/12/20	337.00	...	-80.02	Option sale to close-call ²⁵
SPY 11/20/2020 CALL \$460.00 / CUSIP: / Symbol:							
08/27/20	1.000	3.98	08/11/20	3.00	...	0.98	Option sale to close-call ²⁵

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SQ 07/24/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	48.98	07/09/20	650.00	601.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	48.98	07/14/20	706.02	657.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/17/20	2.000	97.96	Various	1,356.02	1,258.06 W	0.00	Total of 2 transactions
07/21/20	1.000	187.98	07/20/20	728.04	...	-540.06	Option sale to close-call ²⁵
	Security total:	285.94		2,084.06	1,258.06 W	-540.06	
STOR 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	06/15/20	10.00	...	-10.00	Option expiration- long call
SYF 06/19/2020 CALL \$21.50 / CUSIP: / Symbol:							
06/02/20	1.000	149.98	05/29/20	85.00	...	64.98	Option sale to close-call ²⁵
SYF 06/19/2020 CALL \$23.00 / CUSIP: / Symbol:							
06/02/20	3.000	224.98	05/28/20	210.00	...	14.98	Option sale to close-call ²⁵
TDOC 07/10/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	459.98	06/26/20	700.00	...	-240.02	1 of 2 - Option sale to close-call ²⁵
	1.000	459.98	06/29/20	530.00	...	-70.02	2 of 2 - Option sale to close-call ²⁵
06/30/20	2.000	919.96	Various	1,230.00	...	-310.04	Total of 2 transactions
WKHS 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/30/20	1.000	511.97	06/29/20	290.00	...	221.97	Option sale to close-call ²⁵
WKHS 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	409.99	06/30/20	410.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	409.98	07/01/20	275.00	...	134.98	2 of 2 - Option sale to close-call ²⁵
07/02/20	2.000	819.97	Various	685.00	...	134.97	Total of 2 transactions
WKHS 08/28/2020 PUT \$16.00 / CUSIP: / Symbol:							
08/11/20	1.000	229.98	08/10/20	215.00	...	14.98	Option sale to close-put ²⁵

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WYNN 06/19/2020 CALL \$125.00 / CUSIP: / Symbol:							
4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	15.00	06/08/20	144.00	...	-129.00	1 of 4 - Option sale to close-call ²⁵
	1.000	14.99	06/09/20	73.00	...	-58.01	2 of 4 - Option sale to close-call ²⁵
	1.000	14.99	06/10/20	34.00	...	-19.01	3 of 4 - Option sale to close-call ²⁵
	1.000	15.00	06/10/20	34.00	...	-19.00	4 of 4 - Option sale to close-call ²⁵
06/11/20	4.000	59.98	Various	285.00	...	-225.02	Total of 4 transactions
WYNN 06/19/2020 CALL \$84.00 / CUSIP: / Symbol:							
06/03/20	1.000	639.97	06/02/20	495.00	...	144.97	Option sale to close-call ²⁵
WYNN 06/19/2020 CALL \$95.00 / CUSIP: / Symbol:							
06/04/20	1.000	524.97	06/03/20	405.00	...	119.97	Option sale to close-call ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
11/04/20	17.000	5,027.60	11/04/20	5,024.69	...	2.91	Sale ²⁵
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	10.000	2,553.08	11/11/20	2,670.69	...	-117.61	1 of 2 - Sale ²⁵
	10.000	2,553.08	11/17/20	2,568.55	...	-15.47	2 of 2 - Sale ²⁵
11/18/20	20.000	5,106.16	Various	5,239.24	...	-133.08	Total of 2 transactions
	Security total:	10,133.76		10,263.93	...	-130.17	
ALLIED ESPORTS ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 019170109 / Symbol:							
07/15/20	100.000	205.99	07/09/20	260.00	...	-54.01	Sale ²⁵
APEX GLOBAL BRANDS INC. COMMON STOCK / CUSIP: 03755M201 / Symbol:							
06/30/20	100.000	106.99	06/30/20	83.99	...	23.00	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
06/17/20	10.000	3,556.22	06/16/20	3,522.10	...	34.12	Sale ²⁵
06/22/20	3.000	1,065.63	06/19/20	1,049.73	...	15.90	Sale ²⁵
08/10/20	7.000	3,156.41	08/07/20	3,117.94	...	38.47	Sale ²⁵
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	10.000	1,241.57	08/28/20	1,254.22	...	-12.65	1 of 2 - Sale ²⁵
	2.000	248.32	08/28/20	250.84	...	-2.52	2 of 2 - Sale ²⁵
09/03/20	12.000	1,489.89	Various	1,505.06	...	-15.17	Total of 2 transactions

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
Security total:		9,268.15		9,194.83	...		73.32
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103877 / Symbol:							
12/21/20	200.000	704.18	12/15/20	699.76	...	4.42	Sale ²⁵
AVAYA HOLDINGS CORP. COMMON STOCK / CUSIP: 05351X101 / Symbol:							
4 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	1.000	9.87	03/13/20	9.76	...	0.11	1 of 4 - Sale ²⁵
	2.000	19.78	03/13/20	19.51	...	0.27	2 of 4 - Sale ²⁵
	2.000	19.80	03/13/20	19.52	...	0.28	3 of 4 - Sale ²⁵
	5.000	49.50	03/13/20	48.79	...	0.71	4 of 4 - Sale ²⁵
03/13/20	10.000	98.95	Various	97.58	...	1.37	Total of 4 transactions
05/14/20	17.000	219.37	05/08/20	178.80	...	40.57	Sale ²⁵
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	100.000	1,249.18	07/08/20	1,221.56	...	27.62	1 of 2 - Sale ²⁵
	100.000	1,249.18	07/08/20	1,221.56	...	27.62	2 of 2 - Sale ²⁵
07/09/20	200.000	2,498.36	Various	2,443.12	...	55.24	Total of 2 transactions
Security total:		2,816.68		2,719.50	...		97.18
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
07/20/20	10.000	235.85	07/15/20	246.27	...	-10.42	Sale ²⁵
BELLUS HEALTH INC. COMMON SHARES / CUSIP: 07987C204 / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	99.000	247.49	07/06/20	510.35	37.17 W	-225.69	1 of 2 - Sale ²⁵
	100.000	249.99	07/06/20	360.50	110.51 W	0.00	2 of 2 - Sale ²⁵
07/14/20	199.000	497.48	Various	870.85	147.68 W	-225.69	Total of 2 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	2.47	07/06/20	5.16	...	-2.69	1 of 2 - Sale ²⁵
	14.000	34.58	07/17/20	76.50	...	-41.92	2 of 2 - Sale ²⁵
08/31/20	15.000	37.05	Various	81.66	...	-44.61	Total of 2 transactions
Security total:		534.53		952.51	147.68 W		-270.30

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Robinhood Securities LLC

Account 784673113

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
07/08/20	100.000	47.70	06/29/20	50.40	...	-2.70	Sale ²⁵
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	53.49	06/10/20	58.90	...	-5.41	1 of 2 - Sale ²⁵
	100.000	53.49	06/10/20	58.90	...	-5.41	2 of 2 - Sale ²⁵
06/11/20	200.000	106.98	Various	117.80	...	-10.82	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
06/12/20	1.000	182.75	06/12/20	183.85	...	-1.10	Sale ²⁵
11/19/20	10.000	2,015.32	11/18/20	2,136.60	...	-121.28	Sale ²⁵
	Security total:	2,198.07		2,320.45	...	-122.38	
CHF SOLUTIONS, INC. COMMON STOCK / CUSIP: 12542Q706 / Symbol:							
06/11/20	100.000	42.51	06/05/20	55.85	...	-13.34	Sale ²⁵
CIIG MERGER CORP. CLASS A COMMON STOCK / CUSIP: 12559C103 / Symbol:							
4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	17.44	11/18/20	12.79	...	4.65	1 of 4 - Sale ²⁵
	10.000	174.39	11/18/20	132.00	...	42.39	2 of 4 - Sale ²⁵
	10.000	174.39	11/18/20	125.00	...	49.39	3 of 4 - Sale ²⁵
	99.000	1,726.44	11/18/20	1,296.41	...	430.03	4 of 4 - Sale ²⁵
11/19/20	120.000	2,092.66	Various	1,566.20	...	526.46	Total of 4 transactions
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	50.000	36.99	03/09/20	22.50	...	14.49	1 of 2 - Sale ²⁵
	161.000	119.12	03/11/20	78.83	...	40.29	2 of 2 - Sale ²⁵
03/13/20	211.000	156.11	Various	101.33	...	54.78	Total of 2 transactions
03/24/20	158.000	78.98	03/17/20	79.00	...	-0.02	Sale ²⁵
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	100.000	202.98	05/08/20	80.98	...	122.00	1 of 5 - Sale ²⁵
	35.000	71.04	05/11/20	28.80	...	42.24	2 of 5 - Sale ²⁵
	45.000	91.34	05/12/20	36.45	...	54.89	3 of 5 - Sale ²⁵

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CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol: (cont'd)							
	20.000	40.60	05/13/20	13.68	...	26.92	4 of 5 - Sale ²⁵
	50.000	101.49	05/15/20	34.19	...	67.30	5 of 5 - Sale ²⁵
06/09/20	250.000	507.45	Various	194.10	...	313.35	Total of 5 transactions
	Security total:	742.54		374.43	...	368.11	
COTY INC / CUSIP: 222070203 / Symbol:							
06/11/20	1.000	4.69	06/08/20	6.18	...	-1.49	Sale ²⁵
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
06/08/20	10.000	740.79	05/26/20	750.00	...	-9.21	Sale ²⁵
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
06/09/20	6.000	205.32	05/21/20	140.20	...	65.12	Sale ²⁵
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
06/09/20	10.000	603.98	06/08/20	610.30	6.32 W	0.00	Sale ²⁵
06/10/20	10.000	506.54	06/09/20	592.80	...	-86.26	Sale ²⁵
06/10/20	10.000	506.54	06/09/20	590.01	83.47 W	0.00	Sale ²⁵
07/07/20	10.000	331.50	06/16/20	583.47	...	-251.97	Sale ²⁵
	Security total:	1,948.56		2,376.58	89.79 W	-338.23	
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
05/14/20	2.000	51.00	05/13/20	49.36	...	1.64	Sale ²⁵
07/17/20	50.000	1,820.27	07/16/20	1,779.44	...	40.83	Sale ²⁵
09/11/20	100.000	4,313.90	09/10/20	4,340.00	...	-26.10	Sale ²⁵
	Security total:	6,185.17		6,168.80	...	16.37	
DUFF & PHELPS SELECT MLP AND M IDSTREAM ENERGY FUND INC. / CUSIP: 26433F108 / Symbol:							
06/11/20	100.000	59.50	06/08/20	85.00	...	-25.50	Sale ²⁵
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
07/30/20	2.000	78.70	07/29/20	86.14	...	-7.44	Sale ²⁵

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ELDORADO RESORTS, INC. COMMON STOCK / CUSIP: 28470R102 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	23.000	821.54	05/20/20	719.74	...	101.80	1 of 2 - Sale ²⁵
	10.000	357.20	05/21/20	319.20	...	38.00	2 of 2 - Sale ²⁵
05/26/20	33.000	1,178.74	Various	1,038.94	...	139.80	Total of 2 transactions
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	10.000	361.50	05/26/20	361.34	...	0.16	1 of 2 - Sale ²⁵
	30.000	1,151.07	05/26/20	1,084.01	...	67.06	2 of 2 - Sale ²⁵
06/03/20	40.000	1,512.57	Various	1,445.35	...	67.22	Total of 2 transactions
	Security total:	2,691.31		2,484.29	...	207.02	
ENERGOUS CORPORATION COMMON ST OCK / CUSIP: 29272C103 / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	100.000	212.09	12/22/20	184.95	...	27.14	1 of 3 - Sale ²⁵
	100.000	212.09	12/22/20	184.95	...	27.14	2 of 3 - Sale ²⁵
	100.000	212.09	12/23/20	254.70	...	-42.61	3 of 3 - Sale ²⁵
12/24/20	300.000	636.27	Various	624.60	...	11.67	Total of 3 transactions
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
05/18/20	200.000	1,538.38	05/15/20	1,409.56	...	128.82	Sale ²⁵
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	100.000	917.19	05/15/20	704.78	...	212.41	1 of 2 - Sale ²⁵
	200.000	1,834.39	05/18/20	1,534.00	...	300.39	2 of 2 - Sale ²⁵
06/05/20	300.000	2,751.58	Various	2,238.78	...	512.80	Total of 2 transactions
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	9.000	82.80	06/05/20	82.69	...	0.11	1 of 3 - Sale ²⁵
	11.000	101.31	06/05/20	101.06	...	0.25	2 of 3 - Sale ²⁵
	280.000	2,575.91	06/05/20	2,572.56	...	3.35	3 of 3 - Sale ²⁵
06/09/20	300.000	2,760.02	Various	2,756.31	...	3.71	Total of 3 transactions
06/10/20	200.000	1,744.40	06/09/20	1,809.56	...	-65.16	Sale ²⁵
	Security total:	8,794.38		8,214.21	...	580.17	
ENGLOBAL CORPORATION COMMON ST OCK / CUSIP: 293306106 / Symbol:							
11/24/20	100.000	294.79	11/23/20	134.00	...	160.79	Sale ²⁵

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EXTRACTION OIL & GAS INC COMMO N STOCK / CUSIP: 30227M105 / Symbol:							
06/11/20	100.000	60.00	06/08/20	74.17	...	-14.17	Sale ²⁵
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
06/23/20	10.000	767.48	06/22/20	710.10	...	57.38	Sale ²⁵
FLUX POWER HOLDINGS, INC. COMM ON STOCK / CUSIP: 344057302 / Symbol:							
11/24/20	100.000	1,115.31	11/20/20	1,018.56	...	96.75	Sale ²⁵
FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	5.000	73.05	12/10/20	64.10	...	8.95	1 of 6 - Sale ²⁵
	5.000	73.05	12/10/20	64.10	...	8.95	2 of 6 - Sale ²⁵
	25.000	365.23	12/10/20	320.75	...	44.48	3 of 6 - Sale ²⁵
	70.000	1,022.67	12/10/20	910.00	...	112.67	4 of 6 - Sale ²⁵
	5.000	73.05	12/15/20	70.22	...	2.83	5 of 6 - Sale ²⁵
	15.000	219.15	12/15/20	210.67	...	8.48	6 of 6 - Sale ²⁵
12/22/20	125.000	1,826.20	Various	1,639.84	...	186.36	Total of 6 transactions
FRONT YARD RESIDENTIAL CORPORA TION COMMON STOCK / CUSIP: 35904G107 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	100.000	707.97	05/18/20	710.00	...	-2.03	1 of 2 - Sale ²⁵
	50.000	354.00	05/18/20	355.00	...	-1.00	2 of 2 - Sale ²⁵
05/26/20	150.000	1,061.97	Various	1,065.00	...	-3.03	Total of 2 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
11/24/20	100.000	975.16	11/19/20	567.73	...	407.43	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	3.30	06/10/20	5.37	2.07 W	0.00	1 of 2 - Sale ²⁵
	9.000	29.25	06/10/20	48.33	19.08 W	0.00	2 of 2 - Sale ²⁵
06/11/20	10.000	32.55	Various	53.70	21.15 W	0.00	Total of 2 transactions
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	2.34	06/12/20	6.18	...	-3.84	1 of 3 - Sale ²⁵
	9.000	21.08	07/02/20	48.87	...	-27.79	2 of 3 - Sale ²⁵

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	2.35	07/02/20	3.31	...	-0.96	3 of 3 - Sale ²⁵
07/08/20	11.000	25.77	Various	58.36	...	-32.59	Total of 3 transactions
	Security total:	58.32		112.06	21.15 W	-32.59	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
07/08/20	75.000	47.24	07/06/20	44.93	...	2.31	Sale ²⁵
GRAF INDUSTRIAL CORP. COMMON S TOCK / CUSIP: 384278107 / Symbol:							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	23.000	458.15	07/02/20	454.48	...	3.67	1 of 3 - Sale ²⁵
	10.000	199.19	07/02/20	189.00	...	10.19	2 of 3 - Sale ²⁵
	17.000	338.63	07/07/20	302.77	...	35.86	3 of 3 - Sale ²⁵
07/09/20	50.000	995.97	Various	946.25	...	49.72	Total of 3 transactions
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
06/03/20	15.000	12.64	05/27/20	21.86	4.92 W	-4.30	Sale ²⁵
06/11/20	8.000	16.25	06/08/20	48.60	...	-32.35	Sale ²⁵
	Security total:	28.89		70.46	4.92 W	-36.65	
THE HOWARD HUGHES CORPORATION / CUSIP: 44267D107 / Symbol:							
05/12/20	10.000	530.18	05/08/20	540.40	10.22 W	0.00	Sale ²⁵
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	30.000	1,804.75	06/08/20	1,985.34	...	-180.59	1 of 2 - Sale ²⁵
	10.000	601.59	06/08/20	672.00	...	-70.41	2 of 2 - Sale ²⁵
06/10/20	40.000	2,406.34	Various	2,657.34	...	-251.00	Total of 2 transactions
	Security total:	2,936.52		3,197.74	10.22 W	-251.00	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
06/11/20	100.000	147.49	06/04/20	178.00	...	-30.51	Sale ²⁵
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	100.000	512.97	07/20/20	670.50	...	-157.53	1 of 2 - Sale ²⁵
	20.000	102.60	07/20/20	134.10	...	-31.50	2 of 2 - Sale ²⁵
07/22/20	120.000	615.57	Various	804.60	...	-189.03	Total of 2 transactions
	Security total:	763.06		982.60	...	-219.54	

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
05/18/20	380.000	1,087.63	05/18/20	1,082.09	...	5.54	Sale ²⁵
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	10.000	60.80	05/19/20	32.18	...	28.62	1 of 3 - Sale ²⁵
	11.000	66.88	05/19/20	33.39	...	33.49	2 of 3 - Sale ²⁵
	75.000	455.97	06/02/20	209.63	...	246.34	3 of 3 - Sale ²⁵
06/09/20	96.000	583.65	Various	275.20	...	308.45	Total of 3 transactions
06/10/20	100.000	458.99	06/09/20	560.76	101.77 W	0.00	Sale ²⁵
	5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	39.000	126.75	06/22/20	208.95	...	-82.20	1 of 5 - Sale ²⁵
	35.000	113.75	06/22/20	187.52	...	-73.77	2 of 5 - Sale ²⁵
	26.000	84.50	06/22/20	139.30	...	-54.80	3 of 5 - Sale ²⁵
	8.000	26.00	06/22/20	34.72	...	-8.72	4 of 5 - Sale ²⁵
	2.000	6.50	06/30/20	9.09	...	-2.59	5 of 5 - Sale ²⁵
07/07/20	110.000	357.50	Various	579.58	...	-222.08	Total of 5 transactions
	Security total:	2,487.77		2,497.63	101.77 W	91.91	
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol:							
08/03/20	1,000.000	1,469.84	07/29/20	1,170.00	...	299.84	Sale ²⁵
08/05/20	1,500.000	2,584.56	08/05/20	2,457.30	...	127.26	Sale ²⁵
	2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	500.000	804.92	08/05/20	819.10	...	-14.18	1 of 2 - Sale ²⁵
	1,100.655	1,771.88	08/06/20	2,000.00	...	-228.12	2 of 2 - Sale ²⁵
08/07/20	1,600.655	2,576.80	Various	2,819.10	...	-242.30	Total of 2 transactions
	Security total:	6,631.20		6,446.40	...	184.80	
JAKKS PACIFIC, INC. COMMON STO CK / CUSIP: 47012E106 / Symbol:							
07/08/20	100.000	81.10	06/24/20	125.00	...	-43.90	Sale ²⁵
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
05/11/20	15.000	21.38	05/08/20	20.97	...	0.41	Sale ²⁵
	2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	6.000	12.00	05/21/20	11.99	...	0.01	1 of 2 - Sale ²⁵
	200.000	399.98	05/21/20	397.64	...	2.34	2 of 2 - Sale ²⁵
06/02/20	206.000	411.98	Various	409.63	...	2.35	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol: (cont'd)							
Security total:		433.36		430.60	...	2.76	
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
11/19/20	10.000	366.60	11/18/20	362.40	...	4.20	Sale ²⁵
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	15.000	479.54	11/23/20	647.34	...	-167.80	1 of 2 - Sale ²⁵
	10.000	319.69	12/04/20	311.50	...	8.19	2 of 2 - Sale ²⁵
12/22/20	25.000	799.23	Various	958.84	...	-159.61	Total of 2 transactions
Security total:		1,165.83		1,321.24	...	-155.41	
LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
6 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	44.000	586.50	06/29/20	682.44	95.94 W	0.00	1 of 6 - Sale ²⁵
	70.000	933.07	06/29/20	1,085.70	152.63 W	0.00	2 of 6 - Sale ²⁵
	44.000	586.50	06/29/20	778.82	192.32 W	0.00	3 of 6 - Sale ²⁵
	42.000	559.84	06/29/20	651.84	92.00 W	0.00	4 of 6 - Sale ²⁵
	30.000	404.99	07/02/20	575.13	170.14 W	0.00	5 of 6 - Sale ²⁵
	70.000	944.97	07/02/20	1,188.62	243.65 W	0.00	6 of 6 - Sale ²⁵
07/07/20	300.000	4,015.87	Various	4,962.55	946.68 W	0.00	Total of 6 transactions
07/09/20	43.000	569.51	07/07/20	555.99	...	13.52	Sale ²⁵
5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	30.000	397.34	07/07/20	558.04	160.70 W	0.00	1 of 5 - Sale ²⁵
	14.000	185.42	07/07/20	242.21	56.79 W	0.00	2 of 5 - Sale ²⁵
	14.000	185.42	07/07/20	229.75	44.33 W	0.00	3 of 5 - Sale ²⁵
	42.000	556.28	07/07/20	635.06	78.78 W	0.00	4 of 5 - Sale ²⁵
	56.000	741.70	07/07/20	919.00	177.30 W	0.00	5 of 5 - Sale ²⁵
07/09/20	156.000	2,066.16	Various	2,584.06	517.90 W	0.00	Total of 5 transactions
13 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	14.27	07/07/20	18.29	4.02 W	0.00	1 of 13 - Sale ²⁵
	14.000	199.84	07/09/20	266.79	66.95 W	0.00	2 of 13 - Sale ²⁵
	15.000	214.12	07/09/20	287.35	73.23 W	0.00	3 of 13 - Sale ²⁵
	14.000	199.84	07/09/20	249.99	50.15 W	0.00	4 of 13 - Sale ²⁵
	14.000	199.85	07/09/20	237.53	37.68 W	0.00	5 of 13 - Sale ²⁵

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(continued)

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LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol: (cont'd)							
	42.000	599.53	07/09/20	712.58	113.05 W	0.00	6 of 13 - Sale ²⁵
	1.000	14.27	07/09/20	17.82	3.55 W	0.00	7 of 13 - Sale ²⁵
	8.000	114.16	07/09/20	148.66	34.50 W	0.00	8 of 13 - Sale ²⁵
	14.000	199.77	07/09/20	237.52	37.75 W	0.00	9 of 13 - Sale ²⁵
	42.000	599.32	07/09/20	658.38	59.06 W	0.00	10 of 13 - Sale ²⁵
	6.000	85.62	07/09/20	112.90	27.28 W	0.00	11 of 13 - Sale ²⁵
	15.000	214.04	07/09/20	283.76	69.72 W	0.00	12 of 13 - Sale ²⁵
	9.000	128.43	07/09/20	158.55	30.12 W	0.00	13 of 13 - Sale ²⁵
07/20/20	195.000	2,783.06	Various	3,390.12	607.06 W	0.00	Total of 13 transactions
	13 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	13.82	07/20/20	18.00	4.18 W	0.00	1 of 13 - Sale ²⁵
	8.000	110.56	07/20/20	150.10	39.54 W	0.00	2 of 13 - Sale ²⁵
	5.000	69.10	07/20/20	90.16	21.06 W	0.00	3 of 13 - Sale ²⁵
	14.000	193.48	07/20/20	239.98	46.50 W	0.00	4 of 13 - Sale ²⁵
	14.000	193.48	07/20/20	240.05	46.57 W	0.00	5 of 13 - Sale ²⁵
	42.000	580.43	07/20/20	719.95	139.52 W	0.00	6 of 13 - Sale ²⁵
	16.000	221.12	07/20/20	253.70	32.58 W	0.00	7 of 13 - Sale ²⁵
	6.000	82.92	07/20/20	114.28	31.36 W	0.00	8 of 13 - Sale ²⁵
	15.000	207.29	07/20/20	287.22	79.93 W	0.00	9 of 13 - Sale ²⁵
	9.000	124.38	07/20/20	160.62	36.24 W	0.00	10 of 13 - Sale ²⁵
	26.000	359.31	07/20/20	413.56	54.25 W	0.00	11 of 13 - Sale ²⁵
	44.000	608.07	07/20/20	638.00	29.93 W	0.00	12 of 13 - Sale ²⁵
	50.000	690.98	07/22/20	713.00	22.02 W	0.00	13 of 13 - Sale ²⁵
07/23/20	250.000	3,454.94	Various	4,038.62	583.68 W	0.00	Total of 13 transactions
	13 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	34.000	417.50	07/23/20	478.05	25.56 W	-34.99	1 of 13 - Sale ²⁵
	1.000	12.28	07/23/20	17.56	5.28 W	0.00	2 of 13 - Sale ²⁵
	8.000	98.24	07/23/20	146.58	48.34 W	0.00	3 of 13 - Sale ²⁵
	5.000	61.40	07/23/20	87.96	26.56 W	0.00	4 of 13 - Sale ²⁵
	14.000	171.91	07/23/20	233.82	61.91 W	0.00	5 of 13 - Sale ²⁵
	14.000	171.91	07/23/20	233.89	61.98 W	0.00	6 of 13 - Sale ²⁵
	42.000	515.74	07/23/20	701.48	185.74 W	0.00	7 of 13 - Sale ²⁵
	6.000	73.68	07/23/20	92.50	18.82 W	0.00	8 of 13 - Sale ²⁵
	6.000	73.68	07/23/20	111.64	37.96 W	0.00	9 of 13 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol: (cont'd)							
	15.000	184.19	07/23/20	280.63	96.44 W	0.00	10 of 13 - Sale ²⁵
	9.000	110.52	07/23/20	156.66	46.14 W	0.00	11 of 13 - Sale ²⁵
	10.000	122.80	07/23/20	154.16	31.36 W	0.00	12 of 13 - Sale ²⁵
	26.000	319.27	07/23/20	402.13	82.86 W	0.00	13 of 13 - Sale ²⁵
07/28/20	190.000	2,333.12	Various	3,097.06	728.95 W	-34.99	Total of 13 transactions
	11 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	42.000	499.91	07/30/20	695.41	...	-195.50	1 of 11 - Sale ²⁵
	14.000	166.64	07/30/20	231.87	...	-65.23	2 of 11 - Sale ²⁵
	14.000	166.64	07/30/20	231.80	...	-65.16	3 of 11 - Sale ²⁵
	9.000	107.12	07/30/20	167.07	...	-59.95	4 of 11 - Sale ²⁵
	8.000	95.22	07/30/20	145.42	...	-50.20	5 of 11 - Sale ²⁵
	6.000	71.42	07/30/20	110.77	...	-39.35	6 of 11 - Sale ²⁵
	5.000	59.51	07/30/20	92.78	...	-33.27	7 of 11 - Sale ²⁵
	5.000	59.51	07/30/20	87.24	...	-27.73	8 of 11 - Sale ²⁵
	6.000	71.41	07/30/20	91.63	...	-20.22	9 of 11 - Sale ²⁵
	25.000	297.57	07/30/20	314.39	...	-16.82	10 of 11 - Sale ²⁵
	1.000	11.90	07/30/20	17.41	...	-5.51	11 of 11 - Sale ²⁵
08/05/20	135.000	1,606.85	Various	2,185.79	...	-578.94	Total of 11 transactions
	2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	25.000	297.56	07/30/20	315.01	0.70 W	-16.75	1 of 2 - Sale ²⁵
	10.000	119.03	07/30/20	128.40	9.37 W	0.00	2 of 2 - Sale ²⁵
08/05/20	35.000	416.59	Various	443.41	10.07 W	-16.75	Total of 2 transactions
	7 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	13.84	07/30/20	18.55	...	-4.71	1 of 7 - Sale ²⁵
	1.000	13.84	07/30/20	17.26	...	-3.42	2 of 7 - Sale ²⁵
	26.000	359.83	08/18/20	439.31	...	-79.48	3 of 7 - Sale ²⁵
	7.585	104.97	08/18/20	142.86	...	-37.89	4 of 7 - Sale ²⁵
	10.000	138.40	08/18/20	168.46	...	-30.06	5 of 7 - Sale ²⁵
	4.000	55.35	08/18/20	61.96	...	-6.61	6 of 7 - Sale ²⁵
	0.415	5.75	08/18/20	7.82	...	-2.07	7 of 7 - Sale ²⁵
08/20/20	50.000	691.98	Various	856.22	...	-164.24	Total of 7 transactions

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LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol: (cont'd)							
3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	10.353	127.94	08/18/20	160.38	...	-32.44	1 of 3 - Sale ²⁵
	10.000	123.58	09/04/20	172.37	...	-48.79	2 of 3 - Sale ²⁵
	0.647	8.00	09/04/20	11.00	...	-3.00	3 of 3 - Sale ²⁵
10/22/20	21.000	259.52	Various	343.75	...	-84.23	Total of 3 transactions
	Security total:	18,197.60		22,457.57	3,394.34 W	-865.63	
LAS VEGAS SANDS CORP. / CUSIP: 517834107 / Symbol:							
06/05/20	2.000	105.12	06/03/20	101.26	...	3.86	Sale ²⁵
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	11.000	654.48	05/13/20	660.11	...	-5.63	1 of 2 - Sale ²⁵
	3.000	178.50	05/13/20	173.40	...	5.10	2 of 2 - Sale ²⁵
05/14/20	14.000	832.98	Various	833.51	...	-0.53	Total of 2 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
03/30/20	50.000	78.50	03/26/20	105.00	...	-26.50	Sale ²⁵
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	15.000	26.15	05/20/20	24.70	...	1.45	1 of 2 - Sale ²⁵
	5.000	8.72	05/27/20	9.40	...	-0.68	2 of 2 - Sale ²⁵
05/29/20	20.000	34.87	Various	34.10	...	0.77	Total of 2 transactions
	Security total:	113.37		139.10	...	-25.73	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
06/09/20	20.000	450.80	05/27/20	357.97	...	92.83	Sale ²⁵
MCEWEN MINING, INC. / CUSIP: 58039P107 / Symbol:							
03/11/20	8.000	6.57	03/09/20	6.88	...	-0.31	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
07/13/20	50.000	3,204.92	07/09/20	3,194.96	...	9.96	Sale ²⁵

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	10.000	483.98	11/18/20	461.42	...	22.56	1 of 2 - Sale ²⁵
	10.000	483.99	11/18/20	431.16	...	52.83	2 of 2 - Sale ²⁵
11/19/20	20.000	967.97	Various	892.58	...	75.39	Total of 2 transactions
12/23/20	10.000	469.31	11/23/20	519.08	...	-49.77	Sale ²⁵
	Security total:	1,437.28		1,411.66	...	25.62	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	51.50	06/04/20	37.00	...	14.50	1 of 3 - Sale ²⁵
	3.000	154.51	06/04/20	104.48	...	50.03	2 of 3 - Sale ²⁵
	15.000	772.55	06/05/20	533.70	...	238.85	3 of 3 - Sale ²⁵
06/08/20	19.000	978.56	Various	675.18	...	303.38	Total of 3 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	73.21	06/08/20	52.10	...	21.11	1 of 2 - Sale ²⁵
	19.000	1,390.95	06/08/20	989.90	...	401.05	2 of 2 - Sale ²⁵
06/10/20	20.000	1,464.16	Various	1,042.00	...	422.16	Total of 2 transactions
	Security total:	2,442.72		1,717.18	...	725.54	
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	500.000	344.93	07/17/20	305.00	...	39.93	1 of 2 - Sale ²⁵
	500.000	344.93	07/17/20	304.97	...	39.96	2 of 2 - Sale ²⁵
07/20/20	1,000.000	689.86	Various	609.97	...	79.89	Total of 2 transactions
3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	150.000	157.48	07/21/20	125.90	...	31.58	1 of 3 - Sale ²⁵
	200.000	209.97	07/21/20	167.78	...	42.19	2 of 3 - Sale ²⁵
	650.000	682.41	07/21/20	545.54	...	136.87	3 of 3 - Sale ²⁵
07/22/20	1,000.000	1,049.86	Various	839.22	...	210.64	Total of 3 transactions
	Security total:	1,739.72		1,449.19	...	290.53	
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
05/15/20	12.000	492.00	05/12/20	491.04	...	0.96	Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
11/11/20	10.000	163.60	10/15/20	98.55	...	65.05	Sale ²⁵
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
12/03/20	1.000	4.90	11/27/20	4.81	...	0.09	Sale ²⁵
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
06/23/20	10.000	1,733.46	06/22/20	1,705.10	...	28.36	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
06/11/20	1.000	4.96	06/08/20	4.88	...	0.08	Sale ²⁵
	4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	35.000	328.64	06/30/20	285.25	...	43.39	1 of 4 - Sale ²⁵
	65.000	610.32	06/30/20	529.75	...	80.57	2 of 4 - Sale ²⁵
	35.000	328.65	07/02/20	321.65	...	7.00	3 of 4 - Sale ²⁵
	65.000	610.32	07/02/20	597.34	...	12.98	4 of 4 - Sale ²⁵
07/07/20	200.000	1,877.93	Various	1,733.99	...	143.94	Total of 4 transactions
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	69.000	651.33	07/07/20	654.65	...	-3.32	1 of 2 - Sale ²⁵
	31.000	292.64	07/07/20	294.12	...	-1.48	2 of 2 - Sale ²⁵
07/13/20	100.000	943.97	Various	948.77	...	-4.80	Total of 2 transactions
	3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	10.000	351.19	11/18/20	230.35	...	120.84	1 of 3 - Sale ²⁵
	15.000	526.79	12/21/20	477.75	...	49.04	2 of 3 - Sale ²⁵
	55.000	1,973.08	12/24/20	1,964.32	...	8.76	3 of 3 - Sale ²⁵
12/24/20	80.000	2,851.06	Various	2,672.42	...	178.64	Total of 3 transactions
	6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	25.000	877.97	12/22/20	887.38	9.41 W	0.00	1 of 6 - Sale ²⁵
	10.000	351.19	12/22/20	358.00	6.81 W	0.00	2 of 6 - Sale ²⁵
	10.000	351.19	12/23/20	361.20	10.01 W	0.00	3 of 6 - Sale ²⁵
	10.000	358.74	12/24/20	363.96	5.22 W	0.00	4 of 6 - Sale ²⁵
	25.000	896.85	12/24/20	902.29	5.44 W	0.00	5 of 6 - Sale ²⁵
	10.000	358.74	12/24/20	367.16	8.42 W	0.00	6 of 6 - Sale ²⁵
12/24/20	90.000	3,194.68	Various	3,239.99	45.31 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 784673113

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	10.000	316.54	12/24/20	366.51	49.97 W	0.00	1 of 4 - Sale ²⁵
	25.000	791.35	12/24/20	908.68	117.33 W	0.00	2 of 4 - Sale ²⁵
	10.000	316.54	12/24/20	369.72	53.18 W	0.00	3 of 4 - Sale ²⁵
	30.000	949.63	12/24/20	1,083.88	134.25 W	0.00	4 of 4 - Sale ²⁵
12/29/20	75.000	2,374.06	Various	2,728.79	354.73 W	0.00	Total of 4 transactions
4 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	30.000	999.27	12/29/20	1,085.70	14.41 W	-72.02	1 of 4 - Sale ²⁵
	10.000	333.09	12/29/20	367.12	34.03 W	0.00	2 of 4 - Sale ²⁵
	25.000	832.73	12/29/20	910.21	77.48 W	0.00	3 of 4 - Sale ²⁵
	10.000	333.09	12/29/20	370.33	37.24 W	0.00	4 of 4 - Sale ²⁵
12/31/20	75.000	2,498.18	Various	2,733.36	163.16 W	-72.02	Total of 4 transactions
	Security total:	13,744.84		14,062.20	563.20 W	245.84	
PROSPECT CAPITAL CORPORATION COMMON STOCK / CUSIP: 74348T102 / Symbol:							
12/03/20	1.000	5.33	11/27/20	5.52	...	-0.19	Sale ²⁵
RACKSPACE TECHNOLOGY, INC. COMMON STOCK / CUSIP: 750102105 / Symbol:							
12/21/20	20.000	403.77	12/08/20	403.60	...	0.17	Sale ²⁵
REMARK HOLDINGS, INC. COMMON STOCK / CUSIP: 75955K102 / Symbol:							
06/11/20	100.000	235.49	06/10/20	253.64	...	-18.15	Sale ²⁵
RESTAURANT BRANDS INTERNATIONAL INC. / CUSIP: 76131D103 / Symbol:							
05/14/20	8.000	405.28	05/08/20	404.28	...	1.00	Sale ²⁵
05/18/20	6.000	322.08	05/15/20	304.95	...	17.13	Sale ²⁵
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	4.000	212.39	05/19/20	211.04	...	1.35	1 of 2 - Sale ²⁵
	10.000	530.98	05/19/20	527.20	...	3.78	2 of 2 - Sale ²⁵
05/20/20	14.000	743.37	Various	738.24	...	5.13	Total of 2 transactions
	Security total:	1,470.73		1,447.47	...	23.26	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
09/09/20	5.000	116.86	09/02/20	158.00	41.14 W	0.00	Sale ²⁵
		<i>2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>					
	5.000	109.98	10/01/20	151.12	...	-41.14	1 of 2 - Sale ²⁵
	95.000	2,089.52	10/01/20	2,089.52	...	0.00	2 of 2 - Sale ²⁵
10/01/20	100.000	2,199.50	Various	2,240.64	...	-41.14	Total of 2 transactions
	Security total:	2,316.36		2,398.64	41.14 W	-41.14	
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
06/22/20	7.000	6,322.00	06/19/20	6,201.31	...	120.69	Sale ²⁵
		<i>2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>					
	2.000	2,170.79	08/05/20	2,184.00	...	-13.21	1 of 2 - Sale ²⁵
	0.561	608.76	08/05/20	613.00	...	-4.24	2 of 2 - Sale ²⁵
08/06/20	2.561	2,779.55	Various	2,797.00	...	-17.45	Total of 2 transactions
	Security total:	9,101.55		8,998.31	...	103.24	
STARBUCKS CORPORATION COMMON STOCK / CUSIP: 855244109 / Symbol:							
03/11/20	1.000	69.21	03/09/20	70.14	...	-0.93	Sale ²⁵
		<i>3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	76.75	05/13/20	73.43	...	3.32	1 of 3 - Sale ²⁵
	3.000	230.24	05/13/20	220.28	...	9.96	2 of 3 - Sale ²⁵
	6.000	460.49	05/14/20	437.44	...	23.05	3 of 3 - Sale ²⁵
05/18/20	10.000	767.48	Various	731.15	...	36.33	Total of 3 transactions
05/19/20	10.000	769.88	05/14/20	729.07	...	40.81	Sale ²⁵
	Security total:	1,606.57		1,530.36	...	76.21	
SYNCHRONY FINANCIAL / CUSIP: 87165B103 / Symbol:							
05/08/20	10.000	186.12	05/08/20	185.70	...	0.42	Sale ²⁵
05/28/20	30.000	648.62	05/27/20	635.85	...	12.77	Sale ²⁵
06/08/20	50.000	1,341.48	06/05/20	1,332.00	...	9.48	Sale ²⁵
	Security total:	2,176.22		2,153.55	...	22.67	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
08/10/20	1.000	197.50	08/07/20	194.70	...	2.80	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	1.000	799.98	05/13/20	818.49	...	-18.51	1 of 2 - Sale ²⁵
	1.000	799.98	05/13/20	773.51	...	26.47	2 of 2 - Sale ²⁵
05/14/20	2.000	1,599.96	Various	1,592.00	...	7.96	Total of 2 transactions
08/12/20	1.000	1,446.24	08/11/20	1,469.60	23.36 W	0.00	Sale ²⁵
08/19/20	1.000	1,856.38	08/12/20	1,505.57	...	350.81	Sale ²⁵
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	5.000	1,753.16	08/19/20	1,858.15	104.99 W	0.00	1 of 3 - Sale ²⁵
	3.000	1,051.89	09/04/20	1,240.97	189.08 W	0.00	2 of 3 - Sale ²⁵
	5.000	1,753.16	09/04/20	2,076.55	323.39 W	0.00	3 of 3 - Sale ²⁵
09/08/20	13.000	4,558.21	Various	5,175.67	617.46 W	0.00	Total of 3 transactions
4 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	3.000	1,041.60	09/09/20	1,136.33	94.73 W	0.00	1 of 4 - Sale ²⁵
	2.000	694.40	09/09/20	757.64	63.24 W	0.00	2 of 4 - Sale ²⁵
	3.000	1,041.60	09/09/20	1,262.54	220.94 W	0.00	3 of 4 - Sale ²⁵
	4.000	1,388.80	09/09/20	1,689.99	301.19 W	0.00	4 of 4 - Sale ²⁵
09/09/20	12.000	4,166.40	Various	4,846.50	680.10 W	0.00	Total of 4 transactions
5 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	2.000	725.04	09/09/20	759.60	34.56 W	0.00	1 of 5 - Sale ²⁵
	3.000	1,087.56	09/09/20	1,139.27	51.71 W	0.00	2 of 5 - Sale ²⁵
	1.000	362.52	09/09/20	412.86	50.34 W	0.00	3 of 5 - Sale ²⁵
	3.000	1,087.56	09/09/20	1,265.47	177.91 W	0.00	4 of 5 - Sale ²⁵
	4.000	1,450.08	09/09/20	1,693.90	243.82 W	0.00	5 of 5 - Sale ²⁵
09/10/20	13.000	4,712.76	Various	5,271.10	558.34 W	0.00	Total of 5 transactions
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	2.000	882.51	09/11/20	782.76	...	99.75	1 of 2 - Sale ²⁵
	3.000	1,323.77	09/11/20	1,174.01	...	149.76	2 of 2 - Sale ²⁵
09/16/20	5.000	2,206.28	Various	1,956.77	...	249.51	Total of 2 transactions
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	441.26	09/14/20	454.02	12.76 W	0.00	1 of 3 - Sale ²⁵
	3.000	1,323.77	09/14/20	1,388.94	65.17 W	0.00	2 of 3 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	3.000	1,323.77	09/14/20	1,393.90	70.13 W	0.00	3 of 3 - Sale ²⁵
09/16/20	7.000	3,088.80	Various	3,236.86	148.06 W	0.00	Total of 3 transactions
	5 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	1.000	413.19	09/16/20	461.65	48.46 W	0.00	1 of 5 - Sale ²⁵
	3.000	1,239.57	09/16/20	1,411.83	172.26 W	0.00	2 of 5 - Sale ²⁵
	1.000	413.19	09/16/20	509.84	96.65 W	0.00	3 of 5 - Sale ²⁵
	3.000	1,239.57	09/16/20	1,423.42	183.85 W	0.00	4 of 5 - Sale ²⁵
	2.000	826.38	09/16/20	902.20	75.82 W	0.00	5 of 5 - Sale ²⁵
09/17/20	10.000	4,131.90	Various	4,708.94	577.04 W	0.00	Total of 5 transactions
09/22/20	1.000	433.89	09/17/20	430.02	...	3.87	Sale ²⁵
	6 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	1.000	433.89	09/17/20	478.48	44.59 W	0.00	1 of 6 - Sale ²⁵
	3.000	1,301.67	09/17/20	1,462.31	160.64 W	0.00	2 of 6 - Sale ²⁵
	3.000	1,301.67	09/17/20	1,473.89	172.22 W	0.00	3 of 6 - Sale ²⁵
	1.000	433.89	09/17/20	526.67	92.78 W	0.00	4 of 6 - Sale ²⁵
	1.000	433.89	09/17/20	467.93	34.04 W	0.00	5 of 6 - Sale ²⁵
	1.000	433.89	09/17/20	467.92	34.03 W	0.00	6 of 6 - Sale ²⁵
09/22/20	10.000	4,338.90	Various	4,877.20	538.30 W	0.00	Total of 6 transactions
	7 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	3.000	1,182.57	09/24/20	1,356.35	...	-173.78	1 of 7 - Sale ²⁵
	3.000	1,182.57	09/24/20	1,344.77	...	-162.20	2 of 7 - Sale ²⁵
	1.000	394.19	09/24/20	487.49	...	-93.30	3 of 7 - Sale ²⁵
	1.000	394.19	09/24/20	439.30	...	-45.11	4 of 7 - Sale ²⁵
	1.000	394.19	09/24/20	428.75	...	-34.56	5 of 7 - Sale ²⁵
	1.000	394.19	09/24/20	428.74	...	-34.55	6 of 7 - Sale ²⁵
	2.000	788.39	09/24/20	789.42	...	-1.03	7 of 7 - Sale ²⁵
09/25/20	12.000	4,730.29	Various	5,274.82	...	-544.53	Total of 7 transactions
	2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	3.000	1,732.70	12/08/20	1,897.05	164.35 W	0.00	1 of 2 - Sale ²⁵
	2.000	1,155.13	12/09/20	1,209.29	54.16 W	0.00	2 of 2 - Sale ²⁵
12/10/20	5.000	2,887.83	Various	3,106.34	218.51 W	0.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	2.000	1,311.08	12/24/20	1,480.34	...	-169.26	1 of 3 - Sale ²⁵
	2.000	1,311.07	12/24/20	1,371.80	...	-60.73	2 of 3 - Sale ²⁵
	1.000	655.54	12/24/20	713.60	...	-58.06	3 of 3 - Sale ²⁵
12/24/20	5.000	3,277.69	Various	3,565.74	...	-288.05	Total of 3 transactions
12/24/20	2.000	1,283.84	12/18/20	1,446.54	162.70 W	0.00	Sale ²⁵
	Security total:	44,719.37		48,463.67	3,523.87 W	-220.43	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	45.06	05/21/20	26.19	...	18.87	1 of 2 - Sale ²⁵
	4.000	180.24	05/21/20	104.32	...	75.92	2 of 2 - Sale ²⁵
06/09/20	5.000	225.30	Various	130.51	...	94.79	Total of 2 transactions
UNITED NATURAL FOODS INC / CUSIP: 911163103 / Symbol:							
05/14/20	1.000	20.00	05/13/20	20.60	...	-0.60	Sale ²⁵
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
12/07/20	10.000	1,566.47	11/30/20	1,514.44	...	52.03	Sale ²⁵
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
06/11/20	10.000	7.95	06/04/20	12.50	...	-4.55	Sale ²⁵
VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
06/11/20	1.000	44.39	06/09/20	45.56	...	-1.17	Sale ²⁵
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
05/08/20	2.000	1.42	03/13/20	3.64	...	-2.22	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/08/20	100.000	1,666.69	07/07/20	1,630.00	...	36.69	Sale ²⁵
07/20/20	10.000	133.90	07/08/20	180.40	...	-46.50	Sale ²⁵
	Security total:	1,800.59		1,810.40	...	-9.81	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
06/05/20	2.000	201.82	06/03/20	178.27	...	23.55	Sale ²⁵

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Robinhood Securities LLC

Account 784673113

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
12/22/20	30.000	552.39	12/21/20	599.40	...	-47.01	Sale ²⁵
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
06/05/20	100.000	241.00	06/04/20	167.99	...	73.01	Sale ²⁵
BRIDGETOWN HOLDINGS LIMITED CL ASS A ORDINARY SHARES / CUSIP: G1355U113 / Symbol:							
12/16/20	100.000	1,229.96	12/15/20	1,304.00	74.04 W	0.00	Sale ²⁵
3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	50.000	786.22	12/24/20	860.52	74.30 W	0.00	1 of 3 - Sale ²⁵
	50.000	786.23	12/28/20	902.27	116.04 W	0.00	2 of 3 - Sale ²⁵
	50.000	786.22	12/29/20	791.50	5.28 W	0.00	3 of 3 - Sale ²⁵
12/31/20	150.000	2,358.67	Various	2,554.29	195.62 W	0.00	Total of 3 transactions
Security total:		3,588.63		3,858.29	269.66 W	0.00	
NETFIN ACQUISITION CORP. CLASS A ORDINARY SHARES / CUSIP: G6455A107 / Symbol:							
07/06/20	100.000	1,106.97	06/29/20	1,108.00	...	-1.03	Sale ²⁵
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
07/08/20	100.000	32.00	06/30/20	41.99	...	-9.99	Sale ²⁵
Totals :		230,950.45		244,579.44	9,850.92 W	-3,778.07	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
03/11/20	1.000	6.62	02/27/19	5.03	...	1.59	Sale ²⁵
Totals :		6.62		5.03		1.59	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 784673113

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
07/08/20	0.311	0.19	06/30/20	1.41	...	-1.22	Gain or loss
Totals:		0.19		1.41	...	-1.22	

Robinhood Securities LLC

Account 784673113

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		06/30/20	0.09	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		06/30/20	1.17	Nondividend distribution	03
			07/28/20	2.20	Nondividend distribution	03
				3.37	Total Dividends & distributions	
MGM RESORTS INTERNATIONAL	552953101		06/15/20	0.05	Qualified dividend	
PROSPECT CAPITAL CORPORATION COMMON STOCK	74348T102		12/24/20	0.06	Qualified dividend	
				3.57	Total Dividends & distributions	

Robinhood Securities LLC

Account 784673113

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		09/04/20	-5.00	Margin interest paid	
		10/05/20	-5.00	Margin interest paid	
		11/03/20	-5.00	Margin interest paid	
		12/29/20	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			-20.00	Total Margin interest paid	

Robinhood Securities LLC

Account 784673113

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	2.00	0.00	Stock dividend	
PIVOTAL INVESTMENT CORPORATION II	72582K100	12/21/20	-30.00	0.00	Exchange	
XL FLEET CORP.	9837FR100	12/21/20	30.00	0.00	Exchange	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.