

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 142819002	Statement Date: 02/14/2021 Document ID: 24WQ 151 183W	2020
	Venkata Katta 9 Essex Ln Apt 9 Peabody, MA 01960 RECIPIENT'S TIN: XXX-XX-0853		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 15.01</p> <p>1b- Qualified dividends 2.49</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 3.20</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	61,391.73	54,129.80	0.00	378.91	7,640.84
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.81	1.37	0.00	0.00	-0.56
	Total Short-term	61,392.54	54,131.17	0.00	378.91	7,640.28
Long	D (basis reported to the IRS)	6.67	3.65	0.00	0.00	3.02
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	6.67	3.65	0.00	0.00	3.02
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	61,399.21	54,134.82	0.00	378.91	7,643.30
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 12/04/2020 CALL \$4.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	12/03/20	11.00	...	-11.00	Option expiration- long call
BAC 12/31/2020 CALL \$30.50 / CUSIP: / Symbol:							
12/31/20	1.000	0.98	12/28/20	25.00	...	-24.02	Option sale to close-call ²⁵
IPOC 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/28/20	1.000	319.98	12/21/20	140.00	...	179.98	Option sale to close-call ²⁵
IQ 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
12/30/20	1.000	122.98	12/23/20	112.00	...	10.98	Option sale to close-call ²⁵
NKLA 12/24/2020 CALL \$15.50 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/23/20	15.00	...	-15.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPLK 02/19/2021 CALL \$190.00 / CUSIP: / Symbol:							
12/21/20	1.000	604.97	12/14/20	288.00	...	316.97	Option sale to close-call ²⁵
SPLK 12/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
12/10/20	1.000	8.98	12/03/20	35.00	...	-26.02	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	4.25	04/13/20	2.18	...	2.07	1 of 3 - Sale ²⁵
	2.000	8.50	04/13/20	4.12	...	4.38	2 of 3 - Sale ²⁵
	37.000	157.32	04/13/20	76.22	...	81.10	3 of 3 - Sale ²⁵
05/05/20	40.000	170.07	Various	82.52	...	87.55	Total of 3 transactions
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
3 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	1.000	126.72	12/14/20	126.93	0.21 W	0.00	1 of 3 - Sale ²⁵
	0.908	115.00	12/14/20	115.22	0.22 W	0.00	2 of 3 - Sale ²⁵
	0.092	11.69	12/14/20	11.71	0.02 W	0.00	3 of 3 - Sale ²⁵
12/18/20	2.000	253.41	Various	253.86	0.45 W	0.00	Total of 3 transactions
5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	0.092	12.35	12/14/20	11.73	...	0.62	1 of 5 - Sale ²⁵
	0.908	121.53	12/14/20	115.46	...	6.07	2 of 5 - Sale ²⁵
	1.000	133.88	12/14/20	127.17	...	6.71	3 of 5 - Sale ²⁵
	1.000	133.88	12/14/20	126.96	...	6.92	4 of 5 - Sale ²⁵
	1.000	134.88	12/14/20	126.93	...	7.95	5 of 5 - Sale ²⁵
12/22/20	4.000	536.52	Various	508.25	...	28.27	Total of 5 transactions
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	132.53	12/14/20	126.96	...	5.57	1 of 2 - Sale ²⁵
	2.000	269.30	12/14/20	253.92	...	15.38	2 of 2 - Sale ²⁵
12/24/20	3.000	401.83	Various	380.88	...	20.95	Total of 2 transactions
	Security total:	1,191.76		1,142.99	0.45 W	49.22	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	6.000	296.82	12/28/20	298.02	...	-1.20	1 of 2 - Sale ²⁵
	4.000	197.88	12/28/20	198.58	...	-0.70	2 of 2 - Sale ²⁵
12/31/20	10.000	494.70	Various	496.60	...	-1.90	Total of 2 transactions
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
12/22/20	1.210	195.82	12/18/20	191.41	...	4.41	Sale ²⁵
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	0.847	133.03	12/18/20	129.79	...	3.24	1 of 2 - Sale ²⁵
	1.363	213.96	12/18/20	209.82	...	4.14	2 of 2 - Sale ²⁵
12/24/20	2.210	346.99	Various	339.61	...	7.38	Total of 2 transactions
12/24/20	1.790	281.04	12/18/20	283.16	2.12 W	0.00	Sale ²⁵
6 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.524	80.69	12/18/20	80.75	...	-0.06	1 of 6 - Sale ²⁵
	0.010	1.49	12/18/20	1.49	...	0.00	2 of 6 - Sale ²⁵
	0.210	32.35	12/18/20	32.33	...	0.02	3 of 6 - Sale ²⁵
	0.481	73.97	12/21/20	71.73	...	2.24	4 of 6 - Sale ²⁵
	0.686	105.49	12/21/20	101.80	...	3.69	5 of 6 - Sale ²⁵
	1.309	201.46	12/21/20	195.85	...	5.61	6 of 6 - Sale ²⁵
12/28/20	3.220	495.45	Various	483.95	...	11.50	Total of 6 transactions
12/28/20	1.790	275.75	12/18/20	277.73	1.98 W	0.00	Sale ²⁵
	Security total:	1,595.05		1,575.86	4.10 W	23.29	
AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol:							
13 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	5.000	1.05	04/20/20	1.65	...	-0.60	1 of 13 - Sale ²⁵
	49.000	10.32	04/21/20	14.43	...	-4.11	2 of 13 - Sale ²⁵
	2.000	0.42	04/21/20	0.59	...	-0.17	3 of 13 - Sale ²⁵
	44.000	9.27	04/22/20	12.96	...	-3.69	4 of 13 - Sale ²⁵
	2.000	0.42	04/22/20	0.59	...	-0.17	5 of 13 - Sale ²⁵
	3.000	0.63	04/29/20	0.77	...	-0.14	6 of 13 - Sale ²⁵
	1.000	0.21	04/29/20	0.25	...	-0.04	7 of 13 - Sale ²⁵
	1.000	0.21	04/29/20	0.25	...	-0.04	8 of 13 - Sale ²⁵
	33.000	6.96	05/05/20	8.44	...	-1.48	9 of 13 - Sale ²⁵

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Account 142819002

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol: (cont'd)							
	21.000	4.43	05/08/20	5.12	...	-0.69	10 of 13 - Sale ²⁵
	2.000	0.42	05/08/20	0.49	...	-0.07	11 of 13 - Sale ²⁵
	1.000	0.21	05/11/20	0.25	...	-0.04	12 of 13 - Sale ²⁵
	1.000	0.21	05/20/20	0.28	...	-0.07	13 of 13 - Sale ²⁵
05/21/20	165.000	34.76	Various	46.07	...	-11.31	Total of 13 transactions
AKERS BIOSCIENCES INC. COMMON STOCK / CUSIP: 00973E409 / Symbol:							
5 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	30.000	179.39	04/07/20	255.30	...	-75.91	1 of 5 - Sale ²⁵
	2.000	11.96	04/07/20	15.62	...	-3.66	2 of 5 - Sale ²⁵
	4.000	23.92	04/07/20	22.92	...	1.00	3 of 5 - Sale ²⁵
	1.000	5.98	04/08/20	6.19	...	-0.21	4 of 5 - Sale ²⁵
	2.000	11.96	04/08/20	12.03	...	-0.07	5 of 5 - Sale ²⁵
04/08/20	39.000	233.21	Various	312.06	...	-78.85	Total of 5 transactions
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	13.000	77.74	04/07/20	86.45	6.03 W	-2.68	1 of 2 - Sale ²⁵
	30.000	178.50	04/07/20	199.50	21.00 W	0.00	2 of 2 - Sale ²⁵
04/08/20	43.000	256.24	Various	285.95	27.03 W	-2.68	Total of 2 transactions
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	6.000	29.82	04/09/20	38.70	...	-8.88	1 of 3 - Sale ²⁵
	2.000	9.94	04/09/20	12.84	...	-2.90	2 of 3 - Sale ²⁵
	1.000	4.97	04/09/20	5.72	...	-0.75	3 of 3 - Sale ²⁵
04/09/20	9.000	44.73	Various	57.26	...	-12.53	Total of 3 transactions
	Security total:	534.18		655.27	27.03 W	-94.06	
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
05/05/20	6.000	58.09	04/22/20	61.74	...	-3.65	Sale ²⁵
11/18/20	1.000	13.25	11/16/20	12.72	...	0.53	Sale ²⁵
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	24.000	305.56	11/16/20	305.18	...	0.38	1 of 2 - Sale ²⁵
	15.000	190.97	11/17/20	187.58	...	3.39	2 of 2 - Sale ²⁵
11/19/20	39.000	496.53	Various	492.76	...	3.77	Total of 2 transactions
12/18/20	7.000	116.00	11/25/20	101.54	...	14.46	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	13.000	204.38	11/25/20	188.56	...	15.82	1 of 3 - Sale ²⁵
	16.000	251.55	12/16/20	270.22	...	-18.67	2 of 3 - Sale ²⁵
	1.000	15.72	12/16/20	16.88	...	-1.16	3 of 3 - Sale ²⁵
12/22/20	30.000	471.65	Various	475.66	...	-4.01	Total of 3 transactions
	Security total:	1,155.52		1,144.42	...	11.10	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	8.74	12/01/20	7.91	...	0.83	1 of 4 - Sale ²⁵
	5.000	43.71	12/01/20	39.55	...	4.16	2 of 4 - Sale ²⁵
	24.000	209.80	12/01/20	190.20	...	19.60	3 of 4 - Sale ²⁵
	1.000	8.74	12/03/20	8.21	...	0.53	4 of 4 - Sale ²⁵
12/04/20	31.000	270.99	Various	245.87	...	25.12	Total of 4 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	2.000	241.02	09/11/20	220.76	...	20.26	1 of 2 - Sale ²⁵
	0.017	2.06	11/13/20	2.05	...	0.01	2 of 2 - Sale ²⁵
11/16/20	2.017	243.08	Various	222.81	...	20.27	Total of 2 transactions
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	7.000	843.57	09/03/20	860.44	16.87 W	0.00	1 of 2 - Sale ²⁵
	1.000	120.51	09/03/20	122.90	2.39 W	0.00	2 of 2 - Sale ²⁵
11/16/20	8.000	964.08	Various	983.34	19.26 W	0.00	Total of 2 transactions
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	5.000	613.15	12/10/20	627.50	14.35 W	0.00	1 of 4 - Sale ²⁵
	1.000	122.63	12/10/20	125.44	2.81 W	0.00	2 of 4 - Sale ²⁵
	2.000	245.26	12/10/20	250.91	5.65 W	0.00	3 of 4 - Sale ²⁵
	2.000	245.25	12/10/20	245.70	0.45 W	0.00	4 of 4 - Sale ²⁵
12/14/20	10.000	1,226.29	Various	1,249.55	23.26 W	0.00	Total of 4 transactions
	Security total:	2,433.45		2,455.70	42.52 W	20.27	

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Robinhood Securities LLC

Account 142819002

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol:							
11/18/20	30.000	108.63	11/17/20	104.40	...	4.23	Sale ²⁵
ASTRAZENECA PLC AMERICAN DEPOSITARY SHARES / CUSIP: 046353108 / Symbol:							
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	5.000	256.20	05/21/20	284.95	...	-28.75	1 of 3 - Sale ²⁵
	3.000	153.72	05/21/20	169.35	...	-15.63	2 of 3 - Sale ²⁵
	1.000	51.24	05/21/20	56.45	...	-5.21	3 of 3 - Sale ²⁵
05/27/20	9.000	461.16	Various	510.75	...	-49.59	Total of 3 transactions
AURORA CANNABIS INC. COMMON SHARES / CUSIP: 05156X884 / Symbol:							
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	3.000	40.05	05/20/20	38.31	...	1.74	1 of 4 - Sale ²⁵
	4.000	53.40	05/20/20	51.15	...	2.25	2 of 4 - Sale ²⁵
	5.000	66.76	05/20/20	63.94	...	2.82	3 of 4 - Sale ²⁵
	17.000	226.97	05/20/20	217.90	...	9.07	4 of 4 - Sale ²⁵
06/19/20	29.000	387.18	Various	371.30	...	15.88	Total of 4 transactions
11/30/20	5.000	59.83	11/09/20	56.39	...	3.44	Sale ²⁵
12/03/20	10.000	109.91	11/09/20	112.79	2.88 W	0.00	Sale ²⁵
5 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	11.87	11/09/20	11.28	...	0.59	1 of 5 - Sale ²⁵
	14.000	162.68	11/09/20	157.90	...	4.78	2 of 5 - Sale ²⁵
	5.000	58.10	11/11/20	33.20	...	24.90	3 of 5 - Sale ²⁵
	10.000	116.20	11/11/20	69.17	...	47.03	4 of 5 - Sale ²⁵
	10.000	116.20	11/11/20	66.29	...	49.91	5 of 5 - Sale ²⁵
12/04/20	40.000	465.05	Various	337.84	...	127.21	Total of 5 transactions
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	10.32	11/11/20	6.64	...	3.68	1 of 4 - Sale ²⁵
	1.000	10.33	11/11/20	6.64	...	3.69	2 of 4 - Sale ²⁵
	9.000	92.99	11/11/20	59.76	...	33.23	3 of 4 - Sale ²⁵
	14.000	144.65	11/12/20	97.57	...	47.08	4 of 4 - Sale ²⁵
12/09/20	25.000	258.29	Various	170.61	...	87.68	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
<i>5 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.56	11/12/20	6.97	...	2.59	1 of 5 - Sale ²⁵
	6.000	57.36	11/12/20	41.81	...	15.55	2 of 5 - Sale ²⁵
	3.000	28.68	11/19/20	20.90	...	7.78	3 of 5 - Sale ²⁵
	5.000	47.80	11/19/20	34.59	...	13.21	4 of 5 - Sale ²⁵
	10.000	95.60	11/19/20	69.17	...	26.43	5 of 5 - Sale ²⁵
12/10/20	25.000	239.00	Various	173.44	...	65.56	Total of 5 transactions
	Security total:	1,519.26		1,222.37	2.88 W	299.77	
AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol:							
04/21/20	13.000	160.58	04/14/20	197.60	...	-37.02	Sale ²⁵
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
05/11/20	10.000	227.33	04/14/20	236.50	...	-9.17	Sale ²⁵
BJ'S RESTAURANTS, INC. COMMON STOCK / CUSIP: 09180C106 / Symbol:							
04/20/20	10.000	167.40	04/14/20	178.20	...	-10.80	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
06/03/20	2.000	345.82	06/03/20	346.43	...	-0.61	Sale ²⁵
12/30/20	1.472	320.00	12/28/20	319.60	...	0.40	Sale ²⁵
	Security total:	665.82		666.03	...	-0.21	
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
12/29/20	8.000	118.72	11/23/20	88.04	...	30.68	Sale ²⁵
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
<i>4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	177.64	11/16/20	174.38	...	3.26	1 of 4 - Sale ²⁵
	1.000	17.76	11/18/20	17.76	...	0.00	2 of 4 - Sale ²⁵
	4.000	71.06	11/20/20	72.12	...	-1.06	3 of 4 - Sale ²⁵
	1.000	17.76	11/20/20	17.92	...	-0.16	4 of 4 - Sale ²⁵
11/23/20	16.000	284.22	Various	282.18	...	2.04	Total of 4 transactions

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Account 142819002

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CHIMERA INVESTMENT CORP. / CUSIP: 16934Q208 / Symbol:							
04/07/20	3.000	19.80	04/07/20	20.49	...	-0.69	Sale ²⁵
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	50.000	38.73	05/21/20	66.50	...	-27.77	1 of 4 - Sale ²⁵
	7.000	5.42	05/21/20	9.31	...	-3.89	2 of 4 - Sale ²⁵
	2.000	1.55	05/21/20	2.66	...	-1.11	3 of 4 - Sale ²⁵
	4.000	3.10	05/27/20	3.26	...	-0.16	4 of 4 - Sale ²⁵
06/01/20	63.000	48.80	Various	81.73	...	-32.93	Total of 4 transactions
3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	0.72	12/04/20	0.71	...	0.01	1 of 3 - Sale ²⁵
	100.000	72.27	12/04/20	72.18	...	0.09	2 of 3 - Sale ²⁵
	19.000	13.73	12/04/20	13.44	...	0.29	3 of 3 - Sale ²⁵
12/08/20	120.000	86.72	Various	86.33	...	0.39	Total of 3 transactions
12/09/20	10.000	10.20	12/08/20	7.75	...	2.45	Sale ²⁵
	Security total:	145.72		175.81	...	-30.09	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
6 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	142.54	11/09/20	130.97	...	11.57	1 of 6 - Sale ²⁵
	2.000	285.09	11/09/20	263.20	...	21.89	2 of 6 - Sale ²⁵
	2.000	285.10	11/09/20	261.80	...	23.30	3 of 6 - Sale ²⁵
	5.000	712.74	11/09/20	671.28	...	41.46	4 of 6 - Sale ²⁵
	2.000	294.62	11/16/20	261.82	...	32.80	5 of 6 - Sale ²⁵
	3.000	441.92	11/16/20	390.17	...	51.75	6 of 6 - Sale ²⁵
11/23/20	15.000	2,162.01	Various	1,979.24	...	182.77	Total of 6 transactions
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	163.91	11/24/20	145.27	...	18.64	1 of 3 - Sale ²⁵
	4.000	655.06	11/24/20	581.08	...	73.98	2 of 3 - Sale ²⁵
	5.000	819.57	11/24/20	726.36	...	93.21	3 of 3 - Sale ²⁵
12/03/20	10.000	1,638.54	Various	1,452.71	...	185.83	Total of 3 transactions
	Security total:	3,800.55		3,431.95	...	368.60	

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Robinhood Securities LLC

Account 142819002

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	2.000	194.75	11/23/20	181.84	...	12.91	1 of 4 - Sale ²⁵
	1.000	97.38	11/24/20	89.18	...	8.20	2 of 4 - Sale ²⁵
	2.000	194.75	11/24/20	177.34	...	17.41	3 of 4 - Sale ²⁵
	5.000	489.65	11/24/20	442.36	...	47.29	4 of 4 - Sale ²⁵
12/03/20	10.000	976.53	Various	890.72	...	85.81	Total of 4 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
05/20/20	10.000	226.93	04/22/20	225.50	...	1.43	Sale ²⁵
05/21/20	10.000	228.20	04/22/20	225.50	...	2.70	Sale ²⁵
	Security total:	455.13		451.00	...	4.13	
DESTINATION XL GROUP INC COMMO N STOCK / CUSIP: 25065K104 / Symbol:							
5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	0.87	05/11/20	0.79	...	0.08	1 of 5 - Sale ²⁵
	48.000	20.87	05/11/20	18.93	...	1.94	2 of 5 - Sale ²⁵
	2.000	0.87	05/20/20	0.85	...	0.02	3 of 5 - Sale ²⁵
	1.000	0.43	05/21/20	0.44	...	-0.01	4 of 5 - Sale ²⁵
	1.000	0.44	05/27/20	0.41	...	0.03	5 of 5 - Sale ²⁵
05/28/20	54.000	23.48	Various	21.42	...	2.06	Total of 5 transactions
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	50.000	296.75	11/06/20	194.00	...	102.75	1 of 2 - Sale ²⁵
	6.000	36.72	11/09/20	22.62	...	14.10	2 of 2 - Sale ²⁵
11/13/20	56.000	333.47	Various	216.62	...	116.85	Total of 2 transactions
11/17/20	20.000	120.22	11/16/20	117.60	...	2.62	Sale ²⁵
12/18/20	1.000	7.28	11/23/20	10.62	3.34 W	0.00	Sale ²⁵
12/28/20	1.000	6.84	12/16/20	6.56	...	0.28	Sale ²⁵
10 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	24.000	164.15	11/23/20	254.76	90.61 W	0.00	1 of 10 - Sale ²⁵
	1.000	6.84	11/23/20	13.70	6.86 W	0.00	2 of 10 - Sale ²⁵
	3.000	20.52	11/23/20	31.08	10.56 W	0.00	3 of 10 - Sale ²⁵
	23.000	157.31	11/23/20	227.36	70.05 W	0.00	4 of 10 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	1.000	6.84	11/23/20	9.90	3.06 W	0.00	5 of 10 - Sale ²⁵
	1.000	6.84	11/30/20	7.72	0.88 W	0.00	6 of 10 - Sale ²⁵
	1.000	6.84	11/30/20	7.72	0.88 W	0.00	7 of 10 - Sale ²⁵
	20.000	136.79	12/03/20	142.50	5.71 W	0.00	8 of 10 - Sale ²⁵
	1.000	6.84	12/03/20	7.11	0.27 W	0.00	9 of 10 - Sale ²⁵
	2.000	13.68	12/23/20	14.09	0.41 W	0.00	10 of 10 - Sale ²⁵
12/28/20	77.000	526.65	Various	715.94	189.29 W	0.00	Total of 10 transactions
	Security total:	994.46		1,067.34	192.63 W	119.75	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
10/13/20	1.000	274.97	09/16/20	266.73	...	8.24	Sale ²⁵
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	120.28	05/11/20	34.22	...	86.06	1 of 3 - Sale ²⁵
	26.000	3,127.22	05/11/20	889.98	...	2,237.24	2 of 3 - Sale ²⁵
	3.000	360.83	09/11/20	227.67	...	133.16	3 of 3 - Sale ²⁵
10/08/20	30.000	3,608.33	Various	1,151.87	...	2,456.46	Total of 3 transactions
FORUM MERGER III CORPORATION C LASS A COMMON STOCK / CUSIP: 349885103 / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	25.000	370.88	12/22/20	302.75	...	68.13	1 of 2 - Sale ²⁵
	5.000	74.17	12/24/20	71.60	...	2.57	2 of 2 - Sale ²⁵
12/28/20	30.000	445.05	Various	374.35	...	70.70	Total of 2 transactions
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	27.90	12/03/20	27.06	...	0.84	1 of 2 - Sale ²⁵
	1.000	27.95	12/03/20	27.06	...	0.89	2 of 2 - Sale ²⁵
12/08/20	2.000	55.85	Various	54.12	...	1.73	Total of 2 transactions
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	5.000	146.35	12/03/20	135.35	...	11.00	1 of 2 - Sale ²⁵
	8.000	234.16	12/03/20	216.48	...	17.68	2 of 2 - Sale ²⁵
12/10/20	13.000	380.51	Various	351.83	...	28.68	Total of 2 transactions

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(continued)

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
Security total:		436.36		405.95	...	30.41	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
7 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	100.000	117.18	11/16/20	124.50	...	-7.32	1 of 7 - Sale ²⁵
	31.000	36.32	11/16/20	37.36	...	-1.04	2 of 7 - Sale ²⁵
	1.000	1.17	11/16/20	1.21	...	-0.04	3 of 7 - Sale ²⁵
	1.000	1.17	11/16/20	1.21	...	-0.04	4 of 7 - Sale ²⁵
	4.000	4.69	11/18/20	4.64	...	0.05	5 of 7 - Sale ²⁵
	6.000	7.03	11/18/20	6.87	...	0.16	6 of 7 - Sale ²⁵
	5.000	5.86	11/19/20	5.93	...	-0.07	7 of 7 - Sale ²⁵
11/20/20	148.000	173.42	Various	181.72	...	-8.30	Total of 7 transactions
GORES METROPOULOS, INC. CLASS A COMMON STOCK / CUSIP: 382872109 / Symbol:							
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	9.000	92.07	11/13/20	91.44	...	0.63	1 of 3 - Sale ²⁵
	16.000	163.76	11/13/20	162.56	...	1.20	2 of 3 - Sale ²⁵
	25.000	255.88	11/13/20	254.00	...	1.88	3 of 3 - Sale ²⁵
11/17/20	50.000	511.71	Various	508.00	...	3.71	Total of 3 transactions
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
04/07/20	296.000	265.50	04/03/20	214.84	...	50.66	Sale ²⁵
HC2 HOLDINGS, INC. COMMON STOCK / CUSIP: 404139107 / Symbol:							
12/28/20	50.000	174.50	12/23/20	172.00	...	2.50	Sale ²⁵
HENNESSY CAPITAL ACQUISITION CORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
12/04/20	5.000	65.12	11/23/20	55.03	...	10.09	Sale ²⁵
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
4 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	25.000	74.31	04/29/20	102.94	...	-28.63	1 of 4 - Sale ²⁵
	22.000	65.39	04/29/20	88.66	...	-23.27	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 142819002

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	1.000	2.97	04/29/20	4.03	...	-1.06	3 of 4 - Sale ²⁵
	1.000	2.98	04/29/20	4.03	...	-1.05	4 of 4 - Sale ²⁵
05/05/20	49.000	145.65	Various	199.66	...	-54.01	Total of 4 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	2.03	09/04/20	1.73	...	0.30	1 of 2 - Sale ²⁵
	10.000	20.30	09/04/20	17.25	...	3.05	2 of 2 - Sale ²⁵
09/10/20	11.000	22.33	Various	18.98	...	3.35	Total of 2 transactions
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	3.000	5.11	11/17/20	5.01	...	0.10	1 of 2 - Sale ²⁵
	100.000	170.18	11/17/20	167.81	...	2.37	2 of 2 - Sale ²⁵
11/18/20	103.000	175.29	Various	172.82	...	2.47	Total of 2 transactions
	Security total:	197.62		191.80	...	5.82	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
05/11/20	10.000	118.20	04/14/20	75.20	...	43.00	Sale ²⁵
INTERPRIVATE ACQUISITION CORP. COMMON STOCK / CUSIP: 46064A103 / Symbol:							
12/16/20	10.000	133.00	12/04/20	123.97	...	9.03	Sale ²⁵
12/17/20	10.000	132.50	12/04/20	123.97	...	8.53	Sale ²⁵
5 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	0.012	0.16	12/04/20	0.15	...	0.01	1 of 5 - Sale ²⁵
	2.000	26.20	12/04/20	24.82	...	1.38	2 of 5 - Sale ²⁵
	2.777	36.39	12/04/20	34.41	...	1.98	3 of 5 - Sale ²⁵
	3.988	52.25	12/04/20	49.44	...	2.81	4 of 5 - Sale ²⁵
	10.000	130.50	12/04/20	123.97	...	6.53	5 of 5 - Sale ²⁵
12/18/20	18.777	245.50	Various	232.79	...	12.71	Total of 5 transactions
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.223	2.98	12/04/20	2.76	...	0.22	1 of 2 - Sale ²⁵
	11.000	147.07	12/04/20	136.27	...	10.80	2 of 2 - Sale ²⁵
12/21/20	11.223	150.05	Various	139.03	...	11.02	Total of 2 transactions
12/22/20	1.000	17.79	12/04/20	12.39	...	5.40	Sale ²⁵

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Account 142819002

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2020 1099-B* OMB No. 1545-0715

(continued)

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INTERPRIVATE ACQUISITION CORP. COMMON STOCK / CUSIP: 46064A103 / Symbol: (cont'd)							
5 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	17.80	12/04/20	12.36	...	5.44	1 of 5 - Sale ²⁵
	2.000	35.60	12/04/20	24.72	...	10.88	2 of 5 - Sale ²⁵
	5.000	89.01	12/04/20	61.73	...	27.28	3 of 5 - Sale ²⁵
	6.000	106.81	12/04/20	74.32	...	32.49	4 of 5 - Sale ²⁵
	15.000	267.02	12/04/20	185.55	...	81.47	5 of 5 - Sale ²⁵
12/23/20	29.000	516.24	Various	358.68	...	157.56	Total of 5 transactions
	Security total:	1,195.08		990.83	...	204.25	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
4 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	50.000	140.99	04/20/20	148.00	...	-7.01	1 of 4 - Sale ²⁵
	15.000	42.30	04/20/20	43.35	...	-1.05	2 of 4 - Sale ²⁵
	25.000	70.50	04/20/20	71.25	...	-0.75	3 of 4 - Sale ²⁵
	1.000	2.82	04/20/20	2.89	...	-0.07	4 of 4 - Sale ²⁵
04/22/20	91.000	256.61	Various	265.49	...	-8.88	Total of 4 transactions
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	39.000	110.46	05/27/20	112.13	...	-1.67	1 of 3 - Sale ²⁵
	2.000	5.66	05/27/20	5.75	...	-0.09	2 of 3 - Sale ²⁵
	1.000	2.84	06/01/20	2.89	...	-0.05	3 of 3 - Sale ²⁵
06/02/20	42.000	118.96	Various	120.77	...	-1.81	Total of 3 transactions
	Security total:	375.57		386.26	...	-10.69	
IQIYI, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 46267X108 / Symbol:							
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	17.09	12/23/20	16.92	...	0.17	1 of 4 - Sale ²⁵
	9.000	153.81	12/23/20	152.01	...	1.80	2 of 4 - Sale ²⁵
	10.000	170.90	12/23/20	169.10	...	1.80	3 of 4 - Sale ²⁵
	10.000	170.91	12/23/20	169.00	...	1.91	4 of 4 - Sale ²⁵
12/28/20	30.000	512.71	Various	507.03	...	5.68	Total of 4 transactions

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(continued)

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ISHARES RUSSELL 1000 GROWTH ET F / CUSIP: 464287614 / Symbol:							
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	0.082	19.52	12/21/20	19.40	...	0.12	1 of 4 - Sale ²⁵
	0.460	110.29	12/21/20	109.52	...	0.77	2 of 4 - Sale ²⁵
	2.000	478.85	12/21/20	476.05	...	2.80	3 of 4 - Sale ²⁵
	1.540	369.15	12/21/20	366.05	...	3.10	4 of 4 - Sale ²⁵
12/23/20	4.082	977.81	Various	971.02	...	6.79	Total of 4 transactions
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
12/24/20	5.000	417.75	12/22/20	403.60	...	14.15	Sale ²⁵
KENSINGTON CAPITAL ACQUISITION CORP. / CUSIP: 490073103 / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	20.000	374.99	11/09/20	272.38	...	102.61	1 of 2 - Sale ²⁵
	20.000	374.99	11/09/20	269.80	...	105.19	2 of 2 - Sale ²⁵
11/13/20	40.000	749.98	Various	542.18	...	207.80	Total of 2 transactions
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	5.000	95.90	11/09/20	67.45	...	28.45	1 of 2 - Sale ²⁵
	5.000	95.90	11/09/20	67.00	...	28.90	2 of 2 - Sale ²⁵
11/16/20	10.000	191.80	Various	134.45	...	57.35	Total of 2 transactions
	Security total:	941.78		676.63	...	265.15	
KINGSOFT CLOUD HOLDINGS LIMITE D AMERICAN DEPOSITARY SHARES / CUSIP: 49639K101 / Symbol:							
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	35.70	11/18/20	32.57	...	3.13	1 of 3 - Sale ²⁵
	5.000	178.52	11/18/20	167.43	...	11.09	2 of 3 - Sale ²⁵
	9.000	321.34	11/18/20	293.83	...	27.51	3 of 3 - Sale ²⁵
11/23/20	15.000	535.56	Various	493.83	...	41.73	Total of 3 transactions
12/04/20	5.000	207.33	11/23/20	178.43	...	28.90	Sale ²⁵
12/21/20	20.000	941.37	11/23/20	713.74	...	227.63	Sale ²⁵
	Security total:	1,684.26		1,386.00	...	298.26	

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(continued)

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LEMONADE, INC. / CUSIP: 52567D107 / Symbol:							
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	103.42	12/04/20	81.27	...	22.15	1 of 3 - Sale ²⁵
	5.000	517.08	12/04/20	405.43	...	111.65	2 of 3 - Sale ²⁵
	5.000	517.09	12/04/20	404.73	...	112.36	3 of 3 - Sale ²⁵
12/10/20	11.000	1,137.59	Various	891.43	...	246.16	Total of 3 transactions
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	4.000	10.33	06/03/20	9.92	...	0.41	1 of 2 - Sale ²⁵
	78.000	201.40	06/03/20	191.87	...	9.53	2 of 2 - Sale ²⁵
06/03/20	82.000	211.73	Various	201.79	...	9.94	Total of 2 transactions
LUMINAR TECHNOLOGIES, INC. CLA SS A COMMON STOCK / CUSIP: 550424105 / Symbol:							
5 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	34.52	12/03/20	21.93	...	12.59	1 of 5 - Sale ²⁵
	1.000	34.74	12/03/20	21.94	...	12.80	2 of 5 - Sale ²⁵
	6.000	208.41	12/03/20	131.22	...	77.19	3 of 5 - Sale ²⁵
	9.000	310.74	12/03/20	197.42	...	113.32	4 of 5 - Sale ²⁵
	10.000	345.27	12/03/20	219.48	...	125.79	5 of 5 - Sale ²⁵
12/10/20	27.000	933.68	Various	591.99	...	341.69	Total of 5 transactions
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol:							
04/07/20	280.000	150.53	04/03/20	149.83	...	0.70	Sale ²⁵
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.54	04/08/20	0.55	...	-0.01	1 of 2 - Sale ²⁵
	19.000	10.36	04/08/20	9.88	...	0.48	2 of 2 - Sale ²⁵
04/09/20	20.000	10.90	Various	10.43	...	0.47	Total of 2 transactions
	Security total:	161.43		160.26	...	1.17	
MESA AIR GROUP, INC. COMMON ST OCK / CUSIP: 590479135 / Symbol:							
10 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	7.000	20.30	04/20/20	26.45	...	-6.15	1 of 10 - Sale ²⁵
	7.000	20.30	04/20/20	26.11	...	-5.81	2 of 10 - Sale ²⁵
	1.000	2.90	04/20/20	5.70	...	-2.80	3 of 10 - Sale ²⁵

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MESA AIR GROUP, INC. COMMON ST OCK / CUSIP: 590479135 / Symbol: (cont'd)							
	1.000	2.90	04/20/20	5.60	...	-2.70	4 of 10 - Sale ²⁵
	1.000	2.90	04/20/20	5.59	...	-2.69	5 of 10 - Sale ²⁵
	1.000	2.90	04/20/20	5.28	...	-2.38	6 of 10 - Sale ²⁵
	23.000	66.70	04/20/20	69.00	...	-2.30	7 of 10 - Sale ²⁵
	1.000	2.90	04/20/20	4.30	...	-1.40	8 of 10 - Sale ²⁵
	5.000	14.50	04/20/20	15.54	...	-1.04	9 of 10 - Sale ²⁵
	1.000	2.90	04/20/20	3.21	...	-0.31	10 of 10 - Sale ²⁵
04/22/20	48.000	139.20	Various	166.78	...	-27.58	Total of 10 transactions
	14 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	4.000	11.60	04/14/20	17.18	5.58 W	0.00	1 of 14 - Sale ²⁵
	1.000	2.90	04/17/20	3.47	0.57 W	0.00	2 of 14 - Sale ²⁵
	4.000	11.60	04/17/20	19.46	7.86 W	0.00	3 of 14 - Sale ²⁵
	7.000	20.30	04/17/20	24.29	3.99 W	0.00	4 of 14 - Sale ²⁵
	1.000	2.90	04/17/20	3.99	1.09 W	0.00	5 of 14 - Sale ²⁵
	7.000	20.30	04/17/20	23.94	3.64 W	0.00	6 of 14 - Sale ²⁵
	2.000	5.80	04/17/20	10.77	4.97 W	0.00	7 of 14 - Sale ²⁵
	1.000	2.90	04/17/20	5.39	2.49 W	0.00	8 of 14 - Sale ²⁵
	1.000	2.90	04/20/20	5.07	2.17 W	0.00	9 of 14 - Sale ²⁵
	1.000	2.90	04/20/20	4.20	1.30 W	0.00	10 of 14 - Sale ²⁵
	7.000	20.30	04/20/20	25.75	5.45 W	0.00	11 of 14 - Sale ²⁵
	7.000	20.30	04/20/20	25.41	5.11 W	0.00	12 of 14 - Sale ²⁵
	1.000	2.90	04/20/20	3.11	0.21 W	0.00	13 of 14 - Sale ²⁵
	1.000	2.90	04/20/20	5.60	2.70 W	0.00	14 of 14 - Sale ²⁵
04/22/20	45.000	130.50	Various	177.63	47.13 W	0.00	Total of 14 transactions
	Security total:	269.70		344.41	47.13 W	-27.58	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
09/16/20	1.000	208.57	09/15/20	207.80	...	0.77	Sale ²⁵
	2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	5.000	1,104.47	10/22/20	1,074.12	...	30.35	1 of 2 - Sale ²⁵
	2.000	441.79	10/28/20	405.13	...	36.66	2 of 2 - Sale ²⁵
11/06/20	7.000	1,546.26	Various	1,479.25	...	67.01	Total of 2 transactions
	Security total:	1,754.83		1,687.05	...	67.78	

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(continued)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	5.000	156.20	10/15/20	141.19	...	15.01	1 of 4 - Sale ²⁵
	20.000	605.78	10/15/20	564.74	...	41.04	2 of 4 - Sale ²⁵
	8.000	249.91	10/22/20	217.44	...	32.47	3 of 4 - Sale ²⁵
	7.000	218.67	10/26/20	181.57	...	37.10	4 of 4 - Sale ²⁵
10/30/20	40.000	1,230.56	Various	1,104.94	...	125.62	Total of 4 transactions
NANO DIMENSION LTD. AMERICAN DEPOSITORY SHARES / CUSIP: 63008G203 / Symbol:							
11/23/20	10.000	48.91	11/20/20	46.27	...	2.64	Sale ²⁵
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.483	9.00	12/03/20	8.60	...	0.40	1 of 3 - Sale ²⁵
	1.000	18.68	12/03/20	17.84	...	0.84	2 of 3 - Sale ²⁵
	7.517	140.39	12/03/20	133.80	...	6.59	3 of 3 - Sale ²⁵
12/04/20	9.000	168.07	Various	160.24	...	7.83	Total of 3 transactions
ONCTERNAL THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68236P107 / Symbol:							
12/30/20	1.852	9.00	12/23/20	8.68	...	0.32	Sale ²⁵
OPENDOOR TECHNOLOGIES INC COMMON STOCK / CUSIP: 683712103 / Symbol:							
7 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.246	7.17	12/17/20	7.06	...	0.11	1 of 7 - Sale ²⁵
	0.370	10.79	12/17/20	10.61	...	0.18	2 of 7 - Sale ²⁵
	0.399	11.63	12/17/20	11.44	...	0.19	3 of 7 - Sale ²⁵
	0.619	18.02	12/17/20	17.76	...	0.26	4 of 7 - Sale ²⁵
	3.999	116.59	12/17/20	114.68	...	1.91	5 of 7 - Sale ²⁵
	5.356	156.16	12/17/20	153.72	...	2.44	6 of 7 - Sale ²⁵
	6.381	185.69	12/17/20	183.20	...	2.49	7 of 7 - Sale ²⁵
12/21/20	17.370	506.05	Various	498.47	...	7.58	Total of 7 transactions
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
09/03/20	1.000	3.16	07/13/20	3.98	...	-0.82	Sale ²⁵

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Robinhood Securities LLC

Account 142819002

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	5.000	79.52	11/13/20	75.10	...	4.42	1 of 2 - Sale ²⁵
	10.000	159.05	11/13/20	151.25	...	7.80	2 of 2 - Sale ²⁵
11/16/20	15.000	238.57	Various	226.35	...	12.22	Total of 2 transactions
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	9.000	428.33	06/02/20	440.10	...	-11.77	1 of 2 - Sale ²⁵
	1.000	47.60	06/02/20	48.90	...	-1.30	2 of 2 - Sale ²⁵
06/03/20	10.000	475.93	Various	489.00	...	-13.07	Total of 2 transactions
PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	17.000	55.93	11/24/20	56.61	...	-0.68	1 of 2 - Sale ²⁵
	1.000	3.29	11/24/20	3.33	...	-0.04	2 of 2 - Sale ²⁵
11/27/20	18.000	59.22	Various	59.94	...	-0.72	Total of 2 transactions
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	60.000	76.19	05/21/20	103.80	...	-27.61	1 of 2 - Sale ²⁵
	40.000	50.80	05/21/20	69.20	...	-18.40	2 of 2 - Sale ²⁵
06/01/20	100.000	126.99	Various	173.00	...	-46.01	Total of 2 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
11/18/20	5.000	113.56	11/17/20	111.18	...	2.38	Sale ²⁵
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	26.84	11/19/20	23.74	...	3.10	1 of 3 - Sale ²⁵
	3.000	80.50	11/19/20	70.92	...	9.58	2 of 3 - Sale ²⁵
	19.000	509.86	11/19/20	451.25	...	58.61	3 of 3 - Sale ²⁵
11/24/20	23.000	617.20	Various	545.91	...	71.29	Total of 3 transactions
	Security total:	730.76		657.09	...	73.67	

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POLARITYTE, INC. COMMON STOCK / CUSIP: 731094108 / Symbol:							
<i>4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	5.85	11/23/20	5.67	...	0.18	1 of 4 - Sale ²⁵
	50.000	32.50	11/23/20	31.62	...	0.88	2 of 4 - Sale ²⁵
	50.000	32.50	11/23/20	31.61	...	0.89	3 of 4 - Sale ²⁵
	60.000	38.99	11/23/20	37.94	...	1.05	4 of 4 - Sale ²⁵
11/24/20	169.000	109.84	Various	106.84	...	3.00	Total of 4 transactions
QIWI PLC AMERICAN DEPOSITARY S HARES / CUSIP: 74735M108 / Symbol:							
11/23/20	11.000	162.36	11/20/20	167.29	...	-4.93	Sale ²⁵
RACKSPACE TECHNOLOGY, INC. COM MON STOCK / CUSIP: 750102105 / Symbol:							
12/08/20	10.000	194.63	12/04/20	192.17	...	2.46	Sale ²⁵
RAPT THERAPEUTICS, INC. COMMON STOCK / CUSIP: 75382E109 / Symbol:							
12/10/20	10.000	230.63	12/03/20	217.28	...	13.35	Sale ²⁵
RENREN INC. AMERICAN DEPOSITAR Y SHARE (EACH REPRESENTING FOR TY-FIVE (45) CLASS A ORDINARY / CUSIP: 759892300 / Symbol:							
<i>6 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	19.08	05/27/20	15.72	...	3.36	1 of 6 - Sale ²⁵
	50.000	238.49	05/27/20	197.50	...	40.99	2 of 6 - Sale ²⁵
	5.000	23.85	06/02/20	25.18	...	-1.33	3 of 6 - Sale ²⁵
	3.000	14.31	06/02/20	15.18	...	-0.87	4 of 6 - Sale ²⁵
	2.000	9.54	06/02/20	10.18	...	-0.64	5 of 6 - Sale ²⁵
	1.000	4.77	06/02/20	5.04	...	-0.27	6 of 6 - Sale ²⁵
06/08/20	65.000	310.04	Various	268.80	...	41.24	Total of 6 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
12/15/20	6.000	59.85	12/08/20	59.55	...	0.30	Sale ²⁵
<i>5 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.17	12/08/20	9.91	...	1.26	1 of 5 - Sale ²⁵
	3.000	33.51	12/08/20	29.67	...	3.84	2 of 5 - Sale ²⁵
	3.000	33.50	12/08/20	29.63	...	3.87	3 of 5 - Sale ²⁵
	12.000	134.04	12/08/20	119.10	...	14.94	4 of 5 - Sale ²⁵
	1.000	11.17	12/09/20	9.41	...	1.76	5 of 5 - Sale ²⁵
12/16/20	20.000	223.39	Various	197.72	...	25.67	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
Security total:		283.24		257.27	...	25.97	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	5.000	10.15	12/04/20	9.90	...	0.25	1 of 2 - Sale ²⁵
	45.000	91.35	12/04/20	89.10	...	2.25	2 of 2 - Sale ²⁵
12/07/20	50.000	101.50	Various	99.00	...	2.50	Total of 2 transactions
12/16/20	2.000	3.24	12/09/20	3.66	0.42 W	0.00	Sale ²⁵
9 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	129.340	217.29	12/09/20	236.69	...	-19.40	1 of 9 - Sale ²⁵
	2.000	3.36	12/09/20	4.08	...	-0.72	2 of 9 - Sale ²⁵
	3.340	5.61	12/09/20	6.11	...	-0.50	3 of 9 - Sale ²⁵
	0.660	1.11	12/09/20	1.31	...	-0.20	4 of 9 - Sale ²⁵
	4.000	6.72	12/10/20	7.12	...	-0.40	5 of 9 - Sale ²⁵
	1.000	1.68	12/10/20	1.78	...	-0.10	6 of 9 - Sale ²⁵
	1.000	1.68	12/10/20	1.77	...	-0.09	7 of 9 - Sale ²⁵
	0.660	1.10	12/18/20	1.06	...	0.04	8 of 9 - Sale ²⁵
	2.000	3.36	12/18/20	3.22	...	0.14	9 of 9 - Sale ²⁵
12/28/20	144.000	241.91	Various	263.14	...	-21.23	Total of 9 transactions
12/28/20	0.660	1.11	12/09/20	1.21	0.10 W	0.00	Sale ²⁵
Security total:		347.76		367.01	0.52 W	-18.73	
ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	10.000	153.85	12/02/20	157.36	...	-3.51	1 of 2 - Sale ²⁵
	5.000	76.93	12/03/20	71.53	...	5.40	2 of 2 - Sale ²⁵
12/07/20	15.000	230.78	Various	228.89	...	1.89	Total of 2 transactions
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	3.000	669.92	12/03/20	671.80	...	-1.88	1 of 2 - Sale ²⁵
	1.000	223.31	12/03/20	223.97	...	-0.66	2 of 2 - Sale ²⁵
12/04/20	4.000	893.23	Various	895.77	...	-2.54	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SATSUMA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 80405P107 / Symbol:							
11/20/20	21.000	94.14	09/10/20	122.43	1.35 W	-26.94	Sale ²⁵
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	29.000	131.43	09/10/20	169.07	...	-37.64	1 of 2 - Sale ²⁵
	1.000	4.53	11/12/20	5.37	...	-0.84	2 of 2 - Sale ²⁵
12/03/20	30.000	135.96	Various	174.44	...	-38.48	Total of 2 transactions
	Security total:	230.10		296.87	1.35 W	-65.42	
SENECA BIOPHARMA, INC. COMMON STOCK / CUSIP: 81689B103 / Symbol:							
	2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	50.000	45.50	05/21/20	81.00	...	-35.50	1 of 2 - Sale ²⁵
	2.000	1.82	05/27/20	1.72	...	0.10	2 of 2 - Sale ²⁵
06/01/20	52.000	47.32	Various	82.72	...	-35.40	Total of 2 transactions
SENMIAO TECHNOLOGY LIMITED COM MON STOCK / CUSIP: 817225105 / Symbol:							
	2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	49.000	19.53	05/28/20	22.54	...	-3.01	1 of 2 - Sale ²⁵
	2.000	0.80	05/28/20	0.92	...	-0.12	2 of 2 - Sale ²⁵
06/01/20	51.000	20.33	Various	23.46	...	-3.13	Total of 2 transactions
SHARPSRING, INC. COMMON STOCK / CUSIP: 820054104 / Symbol:							
	3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	24.000	134.40	04/03/20	110.64	...	23.76	1 of 3 - Sale ²⁵
	26.000	150.28	04/03/20	119.86	...	30.42	2 of 3 - Sale ²⁵
	26.000	145.60	04/07/20	156.65	...	-11.05	3 of 3 - Sale ²⁵
04/08/20	76.000	430.28	Various	387.15	...	43.13	Total of 3 transactions
SIX FLAGS ENTERTAINMENT CORP. / CUSIP: 83001A102 / Symbol:							
	4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	14.000	312.30	04/14/20	215.32	...	96.98	1 of 4 - Sale ²⁵
	10.000	223.07	04/21/20	145.55	...	77.52	2 of 4 - Sale ²⁵
	1.000	22.30	05/05/20	19.84	...	2.46	3 of 4 - Sale ²⁵
	9.000	200.76	05/05/20	178.70	...	22.06	4 of 4 - Sale ²⁵
06/19/20	34.000	758.43	Various	559.41	...	199.02	Total of 4 transactions

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SNAP INC. / CUSIP: 83304A106 / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	7.000	325.15	11/23/20	320.25	...	4.90	1 of 2 - Sale ²⁵
	3.000	139.35	11/24/20	134.36	...	4.99	2 of 2 - Sale ²⁵
12/03/20	10.000	464.50	Various	454.61	...	9.89	Total of 2 transactions
SONIM TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 83548F101 / Symbol:							
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	0.59	11/20/20	0.58	...	0.01	1 of 2 - Sale ²⁵
	18.000	10.68	11/20/20	10.44	...	0.24	2 of 2 - Sale ²⁵
11/23/20	19.000	11.27	Various	11.02	...	0.25	Total of 2 transactions
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
06/03/20	7.000	120.35	06/03/20	122.29	...	-1.94	Sale ²⁵
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	25.94	12/07/20	25.42	...	0.52	1 of 2 - Sale ²⁵
	8.000	207.52	12/07/20	203.36	...	4.16	2 of 2 - Sale ²⁵
12/16/20	9.000	233.46	Various	228.78	...	4.68	Total of 2 transactions
	Security total:	353.81		351.07	...	2.74	
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	159.91	12/03/20	155.34	...	4.57	1 of 3 - Sale ²⁵
	2.000	319.82	12/03/20	315.12	...	4.70	2 of 3 - Sale ²⁵
	2.000	319.82	12/03/20	310.37	...	9.45	3 of 3 - Sale ²⁵
12/07/20	5.000	799.55	Various	780.83	...	18.72	Total of 3 transactions
12/14/20	1.000	158.15	12/10/20	153.32	...	4.83	Sale ²⁵
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	159.88	12/10/20	153.36	...	6.52	1 of 2 - Sale ²⁵
	5.000	799.42	12/10/20	766.62	...	32.80	2 of 2 - Sale ²⁵
12/15/20	6.000	959.30	Various	919.98	...	39.32	Total of 2 transactions
	Security total:	1,917.00		1,854.13	...	62.87	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
12/24/20	0.672	154.26	12/21/20	159.17	4.91 W	0.00	Sale ²⁵

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STAR PEAK ENERGY TRANSITION CO RP. / CUSIP: 855185104 / Symbol:							
12/15/20	25.000	430.50	12/07/20	394.50	...	36.00	Sale ²⁵
12/17/20	9.000	153.72	12/07/20	142.02	...	11.70	Sale ²⁵
5 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	18.37	12/07/20	15.58	...	2.79	1 of 5 - Sale ²⁵
	1.000	18.37	12/07/20	15.56	...	2.81	2 of 5 - Sale ²⁵
	6.000	110.22	12/07/20	94.68	...	15.54	3 of 5 - Sale ²⁵
	10.000	183.70	12/07/20	156.50	...	27.20	4 of 5 - Sale ²⁵
	2.000	36.74	12/08/20	31.65	...	5.09	5 of 5 - Sale ²⁵
12/21/20	20.000	367.40	Various	313.97	...	53.43	Total of 5 transactions
	Security total:	951.62		850.49	...	101.13	
TAKEDA PHARMACEUTICAL COMPANY LIMITED AMERICAN DEPOSITARY SH ARES (EACH REPRESENTING 1/2 OF / CUSIP: 874060205 / Symbol:							
4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	13.726	252.90	12/17/20	258.59	...	-5.69	1 of 4 - Sale ²⁵
	0.726	13.38	12/17/20	13.68	...	-0.30	2 of 4 - Sale ²⁵
	0.274	5.05	12/17/20	5.27	...	-0.22	3 of 4 - Sale ²⁵
	0.274	5.04	12/17/20	5.16	...	-0.12	4 of 4 - Sale ²⁵
12/22/20	15.000	276.37	Various	282.70	...	-6.33	Total of 4 transactions
12/22/20	0.274	5.05	12/17/20	5.16	0.11 W	0.00	Sale ²⁵
	Security total:	281.42		287.86	0.11 W	-6.33	
TENCENT HOLDINGS LIMITED UNSPO NSORED ADR REPRESENTING B SHAR ES (CAYMAN ISLANDS) / CUSIP: 88032Q109 / Symbol:							
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	2.000	147.00	12/22/20	148.56	...	-1.56	1 of 4 - Sale ²⁵
	1.000	73.50	12/22/20	74.68	...	-1.18	2 of 4 - Sale ²⁵
	1.000	73.50	12/22/20	74.29	...	-0.79	3 of 4 - Sale ²⁵
	1.000	73.50	12/22/20	73.40	...	0.10	4 of 4 - Sale ²⁵
12/23/20	5.000	367.50	Various	370.93	...	-3.43	Total of 4 transactions
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	73.50	12/22/20	73.89	0.39 W	0.00	1 of 4 - Sale ²⁵
	2.000	147.08	12/22/20	147.78	0.70 W	0.00	2 of 4 - Sale ²⁵
	1.000	73.54	12/22/20	74.32	0.78 W	0.00	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 142819002

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TENCENT HOLDINGS LIMITED UNSPO NSORED ADR REPRESENTING B SHAR ES (CAYMAN ISLANDS) / CUSIP: 88032Q109 / Symbol: (cont'd)							
	1.000	73.54	12/22/20	73.93	0.39 W	0.00	4 of 4 - Sale ²⁵
12/23/20	5.000	367.66	Various	369.92	2.26 W	0.00	Total of 4 transactions
	Security total:	735.16		740.85	2.26 W	-3.43	
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	1.14	05/20/20	1.13	...	0.01	1 of 4 - Sale ²⁵
	10.000	11.42	05/20/20	11.30	...	0.12	2 of 4 - Sale ²⁵
	10.000	11.42	05/20/20	11.25	...	0.17	3 of 4 - Sale ²⁵
	1.000	1.14	06/01/20	1.24	...	-0.10	4 of 4 - Sale ²⁵
06/02/20	22.000	25.12	Various	24.92	...	0.20	Total of 4 transactions
TRIUMPH GROUP, INC. / CUSIP: 896818101 / Symbol:							
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	8.85	06/01/20	7.86	...	0.99	1 of 4 - Sale ²⁵
	2.000	17.70	06/01/20	15.60	...	2.10	2 of 4 - Sale ²⁵
	8.000	70.80	06/01/20	62.76	...	8.04	3 of 4 - Sale ²⁵
	20.000	177.00	06/01/20	156.00	...	21.00	4 of 4 - Sale ²⁵
06/02/20	31.000	274.35	Various	242.22	...	32.13	Total of 4 transactions
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
12/18/20	1.000	55.02	12/16/20	54.87	...	0.15	Sale ²⁵
12/21/20	9.000	491.04	12/16/20	493.78	...	-2.74	Sale ²⁵
	Security total:	546.06		548.65	...	-2.59	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
12/22/20	3.000	128.64	12/09/20	145.10	16.46 W	0.00	Sale ²⁵
4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	6.000	264.21	12/09/20	290.19	...	-25.98	1 of 4 - Sale ²⁵
	1.000	44.04	12/09/20	53.86	...	-9.82	2 of 4 - Sale ²⁵
	2.000	88.07	12/24/20	98.24	...	-10.17	3 of 4 - Sale ²⁵
	1.000	44.03	12/24/20	43.64	...	0.39	4 of 4 - Sale ²⁵
12/29/20	10.000	440.35	Various	485.93	...	-45.58	Total of 4 transactions
	Security total:	568.99		631.03	16.46 W	-45.58	

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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UNITED MICROELECTRONIC CORP. / CUSIP: 910873405 / Symbol:							
12/01/20	5.000	37.08	11/20/20	29.15	...	7.93	Sale ²⁵
12/03/20	1.000	7.83	11/20/20	5.83	...	2.00	Sale ²⁵
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	8.73	11/20/20	5.83	...	2.90	1 of 3 - Sale ²⁵
	26.000	226.98	11/20/20	151.58	...	75.40	2 of 3 - Sale ²⁵
	17.000	148.41	11/24/20	113.73	...	34.68	3 of 3 - Sale ²⁵
12/09/20	44.000	384.12	Various	271.14	...	112.98	Total of 3 transactions
	Security total:	429.03		306.12	...	122.91	
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	276.04	05/27/20	244.29	...	31.75	1 of 3 - Sale ²⁵
	1.000	27.60	06/02/20	27.02	...	0.58	2 of 3 - Sale ²⁵
	12.000	331.25	06/02/20	324.18	...	7.07	3 of 3 - Sale ²⁵
06/19/20	23.000	634.89	Various	595.49	...	39.40	Total of 3 transactions
VERB TECHNOLOGY COMPANY, INC. COMMON STOCK / CUSIP: 92337U104 / Symbol:							
4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	0.733	0.96	12/04/20	0.88	...	0.08	1 of 4 - Sale ²⁵
	7.207	9.44	12/04/20	8.36	...	1.08	2 of 4 - Sale ²⁵
	14.267	18.70	12/04/20	17.12	...	1.58	3 of 4 - Sale ²⁵
	78.526	102.90	12/04/20	91.64	...	11.26	4 of 4 - Sale ²⁵
12/08/20	100.733	132.00	Various	118.00	...	14.00	Total of 4 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	24.60	11/13/20	22.18	...	2.42	1 of 4 - Sale ²⁵
	4.000	98.38	11/13/20	87.04	...	11.34	2 of 4 - Sale ²⁵
	10.000	245.95	11/13/20	221.80	...	24.15	3 of 4 - Sale ²⁵
	5.000	122.97	11/23/20	121.28	...	1.69	4 of 4 - Sale ²⁵
11/24/20	20.000	491.90	Various	452.30	...	39.60	Total of 4 transactions
12/31/20	0.002	0.05	12/28/20	0.05	...	0.00	Sale ²⁵
12/31/20	2.381	56.95	12/28/20	58.07	1.12 W	0.00	Sale ²⁵
	Security total:	548.90		510.42	1.12 W	39.60	

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Robinhood Securities LLC

Account 142819002

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
12/29/20	5.000	216.31	12/18/20	204.85	...	11.46	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
10/22/20	10.000	202.35	10/21/20	202.37	0.02 W	0.00	Sale ²⁵
<i>8 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	133.12	10/26/20	90.94	...	42.18	1 of 8 - Sale ²⁵
	10.000	266.24	10/26/20	195.27	...	70.97	2 of 8 - Sale ²⁵
	5.000	133.12	10/28/20	84.24	...	48.88	3 of 8 - Sale ²⁵
	5.000	133.12	10/29/20	84.54	...	48.58	4 of 8 - Sale ²⁵
	5.000	133.12	10/30/20	80.73	...	52.39	5 of 8 - Sale ²⁵
	10.000	266.24	11/06/20	171.64	...	94.60	6 of 8 - Sale ²⁵
	5.000	130.10	11/09/20	86.29	...	43.81	7 of 8 - Sale ²⁵
	5.000	133.12	11/09/20	86.30	...	46.82	8 of 8 - Sale ²⁵
11/24/20	50.000	1,328.18	Various	879.95	...	448.23	Total of 8 transactions
	Security total:	1,530.53		1,082.32	0.02 W	448.23	
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
11/24/20	10.000	643.03	11/23/20	621.88	...	21.15	Sale ²⁵
12/09/20	2.000	91.52	12/08/20	98.94	7.42 W	0.00	Sale ²⁵
	Security total:	734.55		720.82	7.42 W	21.15	
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
<i>2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	210.25	11/06/20	219.38	9.13 W	0.00	1 of 2 - Sale ²⁵
	4.000	33.64	11/09/20	33.72	0.08 W	0.00	2 of 2 - Sale ²⁵
11/20/20	29.000	243.89	Various	253.10	9.21 W	0.00	Total of 2 transactions
<i>2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	8.27	11/17/20	7.96	...	0.31	1 of 2 - Sale ²⁵
	4.000	33.06	11/17/20	31.90	...	1.16	2 of 2 - Sale ²⁵
11/23/20	5.000	41.33	Various	39.86	...	1.47	Total of 2 transactions
<i>3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	21.000	173.56	11/09/20	184.70	11.14 W	0.00	1 of 3 - Sale ²⁵
	2.000	16.53	11/13/20	17.09	0.56 W	0.00	2 of 3 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol: (cont'd)							
	2.000	16.53	11/17/20	16.64	0.11 W	0.00	3 of 3 - Sale ²⁵
11/23/20	25.000	206.62	Various	218.43	11.81 W	0.00	Total of 3 transactions
12/14/20	21.000	185.69	12/10/20	192.27	6.58 W	0.00	Sale ²⁵
	6 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	1.000	9.09	12/10/20	8.94	...	0.15	1 of 6 - Sale ²⁵
	1.000	9.10	12/10/20	8.95	...	0.15	2 of 6 - Sale ²⁵
	2.000	18.19	12/10/20	17.81	...	0.38	3 of 6 - Sale ²⁵
	1.000	9.10	12/10/20	8.62	...	0.48	4 of 6 - Sale ²⁵
	2.000	18.19	12/10/20	17.36	...	0.83	5 of 6 - Sale ²⁵
	18.000	163.71	12/10/20	161.31	...	2.40	6 of 6 - Sale ²⁵
12/15/20	25.000	227.38	Various	222.99	...	4.39	Total of 6 transactions
	2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	1.000	9.37	12/10/20	8.96	...	0.41	1 of 2 - Sale ²⁵
	1.000	9.38	12/10/20	8.65	...	0.73	2 of 2 - Sale ²⁵
12/16/20	2.000	18.75	Various	17.61	...	1.14	Total of 2 transactions
	6 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.000	9.86	12/10/20	8.63	...	1.23	1 of 6 - Sale ²⁵
	2.000	19.72	12/10/20	17.29	...	2.43	2 of 6 - Sale ²⁵
	6.000	59.16	12/10/20	51.88	...	7.28	3 of 6 - Sale ²⁵
	7.000	69.02	12/10/20	60.40	...	8.62	4 of 6 - Sale ²⁵
	7.000	69.02	12/10/20	60.31	...	8.71	5 of 6 - Sale ²⁵
	1.000	9.86	12/16/20	9.39	...	0.47	6 of 6 - Sale ²⁵
12/21/20	24.000	236.64	Various	207.90	...	28.74	Total of 6 transactions
	Security total:	1,160.30		1,152.16	27.60 W	35.74	
ARCOS DORADOS HOLDINGS INC. / CUSIP: G0457F107 / Symbol:							
	2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	17.000	79.65	11/06/20	74.05	...	5.60	1 of 2 - Sale ²⁵
	33.000	154.60	11/06/20	143.74	...	10.86	2 of 2 - Sale ²⁵
11/10/20	50.000	234.25	Various	217.79	...	16.46	Total of 2 transactions
11/25/20	100.000	477.99	11/24/20	473.86	...	4.13	Sale ²⁵
	Security total:	712.24		691.65	...	20.59	

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(continued)

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CC NEUBERGER PRINCIPAL HOLDING S I / CUSIP: G1992Y114 / Symbol:							
12/17/20	15.000	155.25	12/15/20	155.85	0.40 W	-0.20	Sale ²⁵
	3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	1.000	11.25	12/15/20	10.36	...	0.89	1 of 3 - Sale ²⁵
	9.000	101.25	12/15/20	93.24	...	8.01	2 of 3 - Sale ²⁵
	30.000	337.50	12/15/20	311.70	...	25.80	3 of 3 - Sale ²⁵
12/29/20	40.000	450.00	Various	415.30	...	34.70	Total of 3 transactions
	Security total:	605.25		571.15	0.40 W	34.50	
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK / CUSIP: G5876H105 / Symbol:							
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	16.000	547.69	05/20/20	458.56	...	89.13	1 of 2 - Sale ²⁵
	3.000	102.69	06/02/20	103.68	...	-0.99	2 of 2 - Sale ²⁵
06/19/20	19.000	650.38	Various	562.24	...	88.14	Total of 2 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
	2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	9.000	105.17	04/08/20	101.07	...	4.10	1 of 2 - Sale ²⁵
	8.000	93.48	04/08/20	89.04	...	4.44	2 of 2 - Sale ²⁵
05/11/20	17.000	198.65	Various	190.11	...	8.54	Total of 2 transactions
	2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	5.000	63.85	04/08/20	55.65	...	8.20	1 of 2 - Sale ²⁵
	5.000	63.85	04/08/20	55.05	...	8.80	2 of 2 - Sale ²⁵
05/20/20	10.000	127.70	Various	110.70	...	17.00	Total of 2 transactions
	6 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	18.74	04/08/20	11.21	...	7.53	1 of 6 - Sale ²⁵
	11.000	206.18	04/08/20	121.11	...	85.07	2 of 6 - Sale ²⁵
	25.000	468.58	04/08/20	277.50	...	191.08	3 of 6 - Sale ²⁵
	26.000	487.32	04/08/20	291.46	...	195.86	4 of 6 - Sale ²⁵
	3.000	56.23	04/09/20	40.86	...	15.37	5 of 6 - Sale ²⁵
	14.000	262.41	05/05/20	161.26	...	101.15	6 of 6 - Sale ²⁵
06/19/20	80.000	1,499.46	Various	903.40	...	596.06	Total of 6 transactions
12/16/20	4.000	101.36	11/16/20	85.63	...	15.73	Sale ²⁵
12/17/20	5.000	126.12	11/16/20	107.04	...	19.08	Sale ²⁵

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(continued)

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	24.15	11/16/20	21.41	...	2.74	1 of 3 - Sale ²⁵
	1.000	24.16	11/18/20	20.81	...	3.35	2 of 3 - Sale ²⁵
	8.000	193.22	11/18/20	165.99	...	27.23	3 of 3 - Sale ²⁵
12/21/20	10.000	241.53	Various	208.21	...	33.32	Total of 3 transactions
5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	23.63	11/18/20	20.80	...	2.83	1 of 5 - Sale ²⁵
	4.000	94.54	11/20/20	80.39	...	14.15	2 of 5 - Sale ²⁵
	6.000	141.78	11/20/20	120.58	...	21.20	3 of 5 - Sale ²⁵
	5.000	118.15	11/23/20	102.34	...	15.81	4 of 5 - Sale ²⁵
	4.000	94.53	12/15/20	98.22	...	-3.69	5 of 5 - Sale ²⁵
12/22/20	20.000	472.63	Various	422.33	...	50.30	Total of 5 transactions
	Security total:	2,767.45		2,027.42	...	740.03	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol:							
12/28/20	19.594	319.48	12/17/20	214.15	...	105.33	Sale ²⁵
EVOGENE LTD ORDINARY SHARES / CUSIP: M4119S104 / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	4.000	14.24	11/23/20	12.88	...	1.36	1 of 2 - Sale ²⁵
	53.000	188.67	11/23/20	172.25	...	16.42	2 of 2 - Sale ²⁵
12/01/20	57.000	202.91	Various	185.13	...	17.78	Total of 2 transactions
4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	36.000	116.94	11/23/20	117.00	...	-0.06	1 of 4 - Sale ²⁵
	3.000	9.74	11/23/20	9.75	...	-0.01	2 of 4 - Sale ²⁵
	1.000	3.25	11/23/20	3.25	...	0.00	3 of 4 - Sale ²⁵
	10.000	32.48	11/24/20	29.98	...	2.50	4 of 4 - Sale ²⁵
12/02/20	50.000	162.41	Various	159.98	...	2.43	Total of 4 transactions
	Security total:	365.32		345.11	...	20.21	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142819002

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644106 / Symbol:							
06/01/20	8.000	2.82	05/21/20	2.34	...	0.48	Sale ²⁵
	4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	0.36	05/21/20	0.29	...	0.07	1 of 4 - Sale ²⁵
	10.000	3.62	05/21/20	2.93	...	0.69	2 of 4 - Sale ²⁵
	217.000	78.51	05/21/20	63.47	...	15.04	3 of 4 - Sale ²⁵
	2.000	0.73	05/27/20	0.52	...	0.21	4 of 4 - Sale ²⁵
06/02/20	230.000	83.22	Various	67.21	...	16.01	Total of 4 transactions
	Security total:	86.04		69.55	...	16.49	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
10/22/20	5.000	311.80	10/13/20	311.89	...	-0.09	Sale ²⁵
Totals :		61,391.73		54,129.80	378.91 W	7,640.84	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
04/03/20	1.000	6.67	01/30/18	3.65	...	3.02	Sale ²⁵
Totals :		6.67		3.65		3.02	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142819002

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
2 transactions for 04/27/20							
	0.045	0.73	04/14/20	1.23	...	-0.50	Gain or loss
	0.005	0.08	04/14/20	0.14	...	-0.06	Gain or loss
04/27/20	0.050	0.81	Various	1.37	...	-0.56	Total of 2 transactions
Totals:		0.81		1.37	...	-0.56	

Robinhood Securities LLC

Account 142819002

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ARK INNOVATION ETF	00214Q104		12/31/20	11.17	Short-term capital gain	03
			12/31/20	2.92	Long-term capital gain	
			12/31/20	0.22	Qualified dividend	03
				14.31	Total Dividends & distributions	
ARK FINTECH INNOVATION ETF	00214Q708		12/31/20	1.35	Short-term capital gain	03
			12/31/20	0.28	Long-term capital gain	
			12/31/20	0.22	Qualified dividend	03
				1.85	Total Dividends & distributions	
APPLE INC. COMMON STOCK	037833100		11/12/20	2.05	Qualified dividend	
				18.21	Total Dividends & distributions	

Robinhood Securities LLC

Account 142819002

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CANOO INC. CLASS A COMMON STOC K	13803R102	12/22/20	50.39	0.00	Exchange	
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK	42589C104	12/22/20	-50.39	0.00	Exchange	
OPENDOOR TECHNOLOGIES INC COMM ON STOCK	683712103	12/21/20	17.37	0.00	Exchange	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. II	G8250T109	12/21/20	-17.37	0.00	Exchange	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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