

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 114832611	Statement Date: 02/14/2021 Document ID: 6PX5 8C4 EFR0	2020
	Venkataramaiah Nimmagadda 352 Waterloo Blvd Unit 309 Exton, PA 19341 RECIPIENT'S TIN: XXX-XX-6552		

[] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,681,308.65	1,688,944.45	0.00	120,004.25	112,368.45
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	1,681,308.65	1,688,944.45	0.00	120,004.25	112,368.45
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1,681,308.65	1,688,944.45	0.00	120,004.25	112,368.45
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 114832611

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	88.56
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACHIEVE LIFE SCIENCES, INC. CO MMON SHARES / CUSIP: 004468203 / Symbol:							
16 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	5.000	3.90	07/29/20	3.60	...	0.30	1 of 16 - Sale ²⁵
	11.000	8.58	07/29/20	7.92	...	0.66	2 of 16 - Sale ²⁵
	20.000	15.59	07/29/20	14.41	...	1.18	3 of 16 - Sale ²⁵
	25.000	19.49	07/29/20	18.01	...	1.48	4 of 16 - Sale ²⁵
	40.000	31.19	07/29/20	28.82	...	2.37	5 of 16 - Sale ²⁵
	47.000	36.65	07/29/20	33.86	...	2.79	6 of 16 - Sale ²⁵
	75.000	58.47	07/29/20	54.03	...	4.44	7 of 16 - Sale ²⁵
	100.000	77.96	07/29/20	72.04	...	5.92	8 of 16 - Sale ²⁵
	100.000	77.96	07/29/20	72.04	...	5.92	9 of 16 - Sale ²⁵
	100.000	77.96	07/29/20	72.04	...	5.92	10 of 16 - Sale ²⁵
	100.000	77.96	07/29/20	72.04	...	5.92	11 of 16 - Sale ²⁵
	1,000.000	779.56	07/29/20	720.40	...	59.16	12 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACHIEVE LIFE SCIENCES, INC. CO MMON SHARES / CUSIP: 004468203 / Symbol: (cont'd)							
	1,456.000	1,135.04	07/29/20	1,048.90	...	86.14	13 of 16 - Sale ²⁵
	1,921.000	1,497.53	07/29/20	1,383.89	...	113.64	14 of 16 - Sale ²⁵
	2,000.000	1,559.12	07/29/20	1,440.80	...	118.32	15 of 16 - Sale ²⁵
	3,000.000	2,338.68	07/29/20	2,161.20	...	177.48	16 of 16 - Sale ²⁵
07/29/20	10,000.000	7,795.64	Various	7,204.00	...	591.64	Total of 16 transactions
	23 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1,661.000	1,120.78	07/30/20	1,220.84	...	-100.06	1 of 23 - Sale ²⁵
	339.000	228.75	07/30/20	290.01	...	-61.26	2 of 23 - Sale ²⁵
	500.000	337.38	07/30/20	397.61	...	-60.23	3 of 23 - Sale ²⁵
	240.000	161.94	07/30/20	205.32	...	-43.38	4 of 23 - Sale ²⁵
	161.000	108.64	07/30/20	147.42	...	-38.78	5 of 23 - Sale ²⁵
	263.000	177.46	07/30/20	209.15	...	-31.69	6 of 23 - Sale ²⁵
	161.000	108.64	07/30/20	137.74	...	-29.10	7 of 23 - Sale ²⁵
	161.000	108.64	07/30/20	137.72	...	-29.08	8 of 23 - Sale ²⁵
	171.000	115.38	07/30/20	135.99	...	-20.61	9 of 23 - Sale ²⁵
	168.000	113.36	07/30/20	133.60	...	-20.24	10 of 23 - Sale ²⁵
	68.000	45.88	07/30/20	58.17	...	-12.29	11 of 23 - Sale ²⁵
	40.000	26.99	07/30/20	36.62	...	-9.63	12 of 23 - Sale ²⁵
	39.000	26.32	07/30/20	35.71	...	-9.39	13 of 23 - Sale ²⁵
	20.000	13.50	07/30/20	18.30	...	-4.80	14 of 23 - Sale ²⁵
	5.000	3.37	07/30/20	4.28	...	-0.91	15 of 23 - Sale ²⁵
	3.000	2.02	07/30/20	2.58	...	-0.56	16 of 23 - Sale ²⁵
	50.000	36.99	07/30/20	36.75	...	0.24	17 of 23 - Sale ²⁵
	50.000	36.99	07/30/20	36.75	...	0.24	18 of 23 - Sale ²⁵
	64.000	47.34	07/30/20	47.04	...	0.30	19 of 23 - Sale ²⁵
	400.000	295.90	07/30/20	294.00	...	1.90	20 of 23 - Sale ²⁵
	400.000	295.90	07/30/20	294.00	...	1.90	21 of 23 - Sale ²⁵
	600.000	443.86	07/30/20	441.00	...	2.86	22 of 23 - Sale ²⁵
	600.000	443.86	07/30/20	441.00	...	2.86	23 of 23 - Sale ²⁵
07/30/20	6,164.000	4,299.89	Various	4,761.60	...	-461.71	Total of 23 transactions
	23 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	200.000	134.96	07/30/20	147.00	12.04 W	0.00	1 of 23 - Sale ²⁵
	40.000	27.00	07/30/20	29.40	2.40 W	0.00	2 of 23 - Sale ²⁵
	20.000	13.50	07/30/20	14.70	1.20 W	0.00	3 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACHIEVE LIFE SCIENCES, INC. CO MMON SHARES / CUSIP: 004468203 / Symbol: (cont'd)							
	76.000	51.28	07/30/20	55.86	4.58 W	0.00	4 of 23 - Sale ²⁵
	20.000	13.50	07/30/20	15.90	2.40 W	0.00	5 of 23 - Sale ²⁵
	40.000	26.99	07/30/20	31.80	4.81 W	0.00	6 of 23 - Sale ²⁵
	200.000	134.95	07/30/20	159.04	24.09 W	0.00	7 of 23 - Sale ²⁵
	240.000	161.94	07/30/20	176.40	14.46 W	0.00	8 of 23 - Sale ²⁵
	500.000	337.38	07/30/20	367.50	30.12 W	0.00	9 of 23 - Sale ²⁵
	500.000	337.38	07/30/20	367.50	30.12 W	0.00	10 of 23 - Sale ²⁵
	500.000	337.39	07/30/20	367.50	30.11 W	0.00	11 of 23 - Sale ²⁵
	263.000	177.46	07/30/20	193.31	15.85 W	0.00	12 of 23 - Sale ²⁵
	3.000	2.02	07/30/20	2.39	0.37 W	0.00	13 of 23 - Sale ²⁵
	5.000	3.37	07/30/20	3.98	0.61 W	0.00	14 of 23 - Sale ²⁵
	68.000	45.88	07/30/20	54.07	8.19 W	0.00	15 of 23 - Sale ²⁵
	161.000	108.64	07/30/20	137.72	29.08 W	0.00	16 of 23 - Sale ²⁵
	20.000	13.50	07/30/20	17.10	3.60 W	0.00	17 of 23 - Sale ²⁵
	39.000	26.32	07/30/20	33.37	7.05 W	0.00	18 of 23 - Sale ²⁵
	40.000	26.99	07/30/20	34.21	7.22 W	0.00	19 of 23 - Sale ²⁵
	161.000	108.63	07/30/20	128.03	19.40 W	0.00	20 of 23 - Sale ²⁵
	240.000	161.94	07/30/20	190.86	28.92 W	0.00	21 of 23 - Sale ²⁵
	161.000	108.64	07/30/20	128.03	19.39 W	0.00	22 of 23 - Sale ²⁵
	339.000	228.74	07/30/20	269.59	40.85 W	0.00	23 of 23 - Sale ²⁵
07/30/20	3,836.000	2,588.40	Various	2,925.26	336.86 W	0.00	Total of 23 transactions
	Security total:	14,683.93		14,890.86	336.86 W	129.93	

ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:

11 transactions for 05/21/20. Total proceeds and cost reported to the IRS.

1.000	0.20	05/20/20	0.18	...	0.02	1 of 11 - Sale ²⁵
15.000	3.00	05/20/20	2.71	...	0.29	2 of 11 - Sale ²⁵
39.000	7.79	05/20/20	7.04	...	0.75	3 of 11 - Sale ²⁵
160.000	31.95	05/20/20	28.88	...	3.07	4 of 11 - Sale ²⁵
215.000	42.93	05/20/20	38.81	...	4.12	5 of 11 - Sale ²⁵
340.000	67.89	05/20/20	61.37	...	6.52	6 of 11 - Sale ²⁵
785.000	156.75	05/20/20	141.69	...	15.06	7 of 11 - Sale ²⁵
1,175.000	234.62	05/20/20	212.09	...	22.53	8 of 11 - Sale ²⁵
1,299.000	259.38	05/20/20	234.47	...	24.91	9 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol: (cont'd)							
	2,286.000	456.45	05/20/20	412.62	...	43.83	10 of 11 - Sale ²⁵
	3,685.000	735.80	05/20/20	665.14	...	70.66	11 of 11 - Sale ²⁵
05/21/20	10,000.000	1,996.76	Various	1,805.00	...	191.76	Total of 11 transactions
AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
11 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	70.000	79.09	07/22/20	72.10	...	6.99	1 of 11 - Sale ²⁵
	100.000	112.99	07/22/20	103.00	...	9.99	2 of 11 - Sale ²⁵
	100.000	112.99	07/22/20	103.00	...	9.99	3 of 11 - Sale ²⁵
	430.000	485.85	07/22/20	442.90	...	42.95	4 of 11 - Sale ²⁵
	600.000	677.91	07/22/20	618.00	...	59.91	5 of 11 - Sale ²⁵
	700.000	790.90	07/22/20	721.00	...	69.90	6 of 11 - Sale ²⁵
	770.000	869.99	07/22/20	793.10	...	76.89	7 of 11 - Sale ²⁵
	1,030.000	1,163.75	07/22/20	1,060.90	...	102.85	8 of 11 - Sale ²⁵
	1,300.000	1,468.81	07/22/20	1,339.00	...	129.81	9 of 11 - Sale ²⁵
	1,300.000	1,468.81	07/22/20	1,339.00	...	129.81	10 of 11 - Sale ²⁵
	3,600.000	4,067.47	07/22/20	3,708.00	...	359.47	11 of 11 - Sale ²⁵
07/23/20	10,000.000	11,298.56	Various	10,300.00	...	998.56	Total of 11 transactions
AILERON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00887A105 / Symbol:							
42 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	35.000	36.39	12/08/20	35.35	...	1.04	1 of 42 - Sale ²⁵
	65.000	67.59	12/08/20	65.65	...	1.94	2 of 42 - Sale ²⁵
	100.000	103.98	12/08/20	101.00	...	2.98	3 of 42 - Sale ²⁵
	100.000	103.98	12/08/20	101.00	...	2.98	4 of 42 - Sale ²⁵
	100.000	103.98	12/08/20	101.00	...	2.98	5 of 42 - Sale ²⁵
	100.000	103.98	12/08/20	101.00	...	2.98	6 of 42 - Sale ²⁵
	100.000	103.98	12/08/20	101.00	...	2.98	7 of 42 - Sale ²⁵
	100.000	103.98	12/08/20	101.00	...	2.98	8 of 42 - Sale ²⁵
	100.000	103.98	12/08/20	101.00	...	2.98	9 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	10 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	11 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	12 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	13 of 42 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AILERON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00887A105 / Symbol: (cont'd)							
	100.000	103.99	12/08/20	101.00	...	2.99	14 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	15 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	16 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	17 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	18 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	19 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	20 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	21 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	22 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	23 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	24 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	25 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	26 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	27 of 42 - Sale ²⁵
	100.000	103.99	12/08/20	101.00	...	2.99	28 of 42 - Sale ²⁵
	193.000	200.69	12/08/20	194.93	...	5.76	29 of 42 - Sale ²⁵
	200.000	207.97	12/08/20	202.00	...	5.97	30 of 42 - Sale ²⁵
	200.000	207.97	12/08/20	202.00	...	5.97	31 of 42 - Sale ²⁵
	200.000	207.97	12/08/20	202.00	...	5.97	32 of 42 - Sale ²⁵
	200.000	207.97	12/08/20	202.00	...	5.97	33 of 42 - Sale ²⁵
	207.000	215.25	12/08/20	209.07	...	6.18	34 of 42 - Sale ²⁵
	300.000	311.96	12/08/20	303.00	...	8.96	35 of 42 - Sale ²⁵
	500.000	519.92	12/08/20	505.00	...	14.92	36 of 42 - Sale ²⁵
	500.000	519.93	12/08/20	505.00	...	14.93	37 of 42 - Sale ²⁵
	700.000	727.90	12/08/20	707.00	...	20.90	38 of 42 - Sale ²⁵
	800.000	831.88	12/08/20	808.00	...	23.88	39 of 42 - Sale ²⁵
	1,300.000	1,351.81	12/08/20	1,313.00	...	38.81	40 of 42 - Sale ²⁵
	2,000.000	2,079.70	12/08/20	2,020.00	...	59.70	41 of 42 - Sale ²⁵
	1.000	1.04	12/14/20	0.93	...	0.11	42 of 42 - Sale ²⁵
12/21/20	10,001.000	10,399.61	Various	10,100.93	...	298.68	Total of 42 transactions
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	9.000	9.54	12/14/20	8.37	...	1.17	1 of 2 - Sale ²⁵
	9,990.000	10,587.97	12/14/20	9,290.70	...	1,297.27	2 of 2 - Sale ²⁵
12/22/20	9,999.000	10,597.51	Various	9,299.07	...	1,298.44	Total of 2 transactions

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AILERON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00887A105 / Symbol: (cont'd)							
Security total:		20,997.12		19,400.00	...	1,597.12	
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
7 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	10.000	29.80	12/09/20	29.10	...	0.70	1 of 7 - Sale ²⁵
	90.000	268.19	12/09/20	261.90	...	6.29	2 of 7 - Sale ²⁵
	166.000	494.65	12/09/20	483.06	...	11.59	3 of 7 - Sale ²⁵
	223.000	664.50	12/09/20	648.93	...	15.57	4 of 7 - Sale ²⁵
	628.000	1,871.32	12/09/20	1,827.48	...	43.84	5 of 7 - Sale ²⁵
	1,139.000	3,394.01	12/09/20	3,314.49	...	79.52	6 of 7 - Sale ²⁵
	2,744.000	8,176.61	12/09/20	7,985.04	...	191.57	7 of 7 - Sale ²⁵
12/10/20	5,000.000	14,899.08	Various	14,550.00	...	349.08	Total of 7 transactions
17 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	4.000	11.68	12/28/20	11.24	...	0.44	1 of 17 - Sale ²⁵
	23.000	67.16	12/28/20	64.63	...	2.53	2 of 17 - Sale ²⁵
	31.000	90.51	12/28/20	87.11	...	3.40	3 of 17 - Sale ²⁵
	95.000	277.38	12/28/20	266.95	...	10.43	4 of 17 - Sale ²⁵
	104.000	303.66	12/28/20	292.24	...	11.42	5 of 17 - Sale ²⁵
	396.000	1,156.24	12/28/20	1,112.76	...	43.48	6 of 17 - Sale ²⁵
	405.000	1,182.53	12/28/20	1,138.05	...	44.48	7 of 17 - Sale ²⁵
	469.000	1,369.39	12/28/20	1,317.89	...	51.50	8 of 17 - Sale ²⁵
	473.000	1,381.07	12/28/20	1,329.13	...	51.94	9 of 17 - Sale ²⁵
	500.000	1,459.91	12/28/20	1,405.00	...	54.91	10 of 17 - Sale ²⁵
	100.000	291.98	12/29/20	273.00	...	18.98	11 of 17 - Sale ²⁵
	200.000	583.96	12/29/20	546.00	...	37.96	12 of 17 - Sale ²⁵
	300.000	875.94	12/29/20	819.00	...	56.94	13 of 17 - Sale ²⁵
	400.000	1,167.93	12/29/20	1,092.00	...	75.93	14 of 17 - Sale ²⁵
	469.000	1,369.39	12/29/20	1,275.68	...	93.71	15 of 17 - Sale ²⁵
	500.000	1,459.91	12/29/20	1,364.20	...	95.71	16 of 17 - Sale ²⁵
	2,031.000	5,930.15	12/29/20	5,524.32	...	405.83	17 of 17 - Sale ²⁵
12/30/20	6,500.000	18,978.79	Various	17,919.20	...	1,059.59	Total of 17 transactions
Security total:		33,877.87		32,469.20	...	1,408.67	

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALLIED ESPORTS ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 019170109 / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	4,155.000	4,569.90	11/30/20	4,155.00	...	414.90	1 of 2 - Sale ²⁵
	5,845.000	6,428.65	11/30/20	5,845.00	...	583.65	2 of 2 - Sale ²⁵
12/03/20	10,000.000	10,998.55	Various	10,000.00	...	998.55	Total of 2 transactions
8 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	19.000	21.09	12/07/20	19.57	...	1.52	1 of 8 - Sale ²⁵
	100.000	110.99	12/07/20	103.00	...	7.99	2 of 8 - Sale ²⁵
	100.000	110.99	12/07/20	103.00	...	7.99	3 of 8 - Sale ²⁵
	300.000	332.96	12/07/20	309.00	...	23.96	4 of 8 - Sale ²⁵
	600.000	665.91	12/07/20	618.00	...	47.91	5 of 8 - Sale ²⁵
	881.000	977.78	12/07/20	907.43	...	70.35	6 of 8 - Sale ²⁵
	3,500.000	3,884.48	12/07/20	3,605.00	...	279.48	7 of 8 - Sale ²⁵
	4,500.000	4,994.35	12/07/20	4,635.00	...	359.35	8 of 8 - Sale ²⁵
12/08/20	10,000.000	11,098.55	Various	10,300.00	...	798.55	Total of 8 transactions
	Security total:	22,097.10		20,300.00	...	1,797.10	
ASTROTECH CORPORATION (DE) COM MON STOCK / CUSIP: 046484200 / Symbol:							
9 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	721.000	1,297.68	12/04/20	1,297.00	...	-0.12	1 of 9 - Sale ²⁵
	178.000	320.37	12/04/20	320.46	...	-0.09	2 of 9 - Sale ²⁵
	100.000	179.98	12/04/20	180.06	...	-0.08	3 of 9 - Sale ²⁵
	140.000	251.98	12/04/20	252.04	...	-0.06	4 of 9 - Sale ²⁵
	108.000	194.38	12/04/20	194.43	...	-0.05	5 of 9 - Sale ²⁵
	15.000	27.00	12/04/20	27.00	...	0.00	6 of 9 - Sale ²⁵
	12.000	21.60	12/04/20	21.60	...	0.00	7 of 9 - Sale ²⁵
	15.000	27.00	12/04/20	27.00	...	0.00	8 of 9 - Sale ²⁵
	2.000	3.60	12/04/20	3.60	...	0.00	9 of 9 - Sale ²⁵
12/08/20	1,291.000	2,323.59	Various	2,323.99	...	-0.40	Total of 9 transactions
6 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	432.000	777.53	12/04/20	777.60	0.07 W	0.00	1 of 6 - Sale ²⁵
	135.000	242.98	12/04/20	243.00	0.02 W	0.00	2 of 6 - Sale ²⁵
	280.000	503.95	12/04/20	504.05	0.10 W	0.00	3 of 6 - Sale ²⁵
	108.000	194.39	12/04/20	194.42	0.03 W	0.00	4 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ASTROTECH CORPORATION (DE) COM MON STOCK / CUSIP: 046484200 / Symbol: (cont'd)							
	152.000	273.58	12/04/20	273.62	0.04 W	0.00	5 of 6 - Sale ²⁵
	102.000	183.58	12/04/20	183.64	0.06 W	0.00	6 of 6 - Sale ²⁵
12/08/20	1,209.000	2,176.01	Various	2,176.33	0.32 W	0.00	Total of 6 transactions
	Security total:	4,499.60		4,500.32	0.32 W	-0.40	
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
12/02/20	1,105.000	343.86	12/01/20	325.98	...	17.88	Sale ²⁵
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
32 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	1.000	1.41	04/14/20	1.31	...	0.10	1 of 32 - Sale ²⁵
	2.000	2.82	04/14/20	2.62	...	0.20	2 of 32 - Sale ²⁵
	2.000	2.82	04/14/20	2.62	...	0.20	3 of 32 - Sale ²⁵
	5.000	7.05	04/14/20	6.55	...	0.50	4 of 32 - Sale ²⁵
	20.000	28.20	04/14/20	26.20	...	2.00	5 of 32 - Sale ²⁵
	25.000	35.25	04/14/20	32.75	...	2.50	6 of 32 - Sale ²⁵
	25.000	35.25	04/14/20	32.75	...	2.50	7 of 32 - Sale ²⁵
	25.000	35.25	04/14/20	32.75	...	2.50	8 of 32 - Sale ²⁵
	50.000	70.50	04/14/20	65.50	...	5.00	9 of 32 - Sale ²⁵
	61.000	86.00	04/14/20	79.91	...	6.09	10 of 32 - Sale ²⁵
	86.000	121.25	04/14/20	112.66	...	8.59	11 of 32 - Sale ²⁵
	96.000	135.35	04/14/20	125.76	...	9.59	12 of 32 - Sale ²⁵
	100.000	140.99	04/14/20	131.00	...	9.99	13 of 32 - Sale ²⁵
	102.000	143.81	04/14/20	133.62	...	10.19	14 of 32 - Sale ²⁵
	120.000	169.18	04/14/20	157.20	...	11.98	15 of 32 - Sale ²⁵
	141.000	198.79	04/14/20	184.71	...	14.08	16 of 32 - Sale ²⁵
	150.000	211.48	04/14/20	196.50	...	14.98	17 of 32 - Sale ²⁵
	160.000	225.58	04/14/20	209.60	...	15.98	18 of 32 - Sale ²⁵
	200.000	281.97	04/14/20	262.00	...	19.97	19 of 32 - Sale ²⁵
	216.000	304.53	04/14/20	282.96	...	21.57	20 of 32 - Sale ²⁵
	220.000	310.17	04/14/20	288.20	...	21.97	21 of 32 - Sale ²⁵
	232.000	327.08	04/14/20	303.92	...	23.16	22 of 32 - Sale ²⁵
	439.000	618.92	04/14/20	575.09	...	43.83	23 of 32 - Sale ²⁵
	561.000	790.92	04/14/20	734.91	...	56.01	24 of 32 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
	593.000	836.04	04/14/20	776.83	...	59.21	25 of 32 - Sale ²⁵
	1,257.000	1,772.18	04/14/20	1,646.67	...	125.51	26 of 32 - Sale ²⁵
	1,400.000	1,973.79	04/14/20	1,834.00	...	139.79	27 of 32 - Sale ²⁵
	1,425.000	2,009.03	04/14/20	1,866.75	...	142.28	28 of 32 - Sale ²⁵
	1,500.000	2,114.77	04/14/20	1,965.00	...	149.77	29 of 32 - Sale ²⁵
	2,760.000	3,891.18	04/14/20	3,615.60	...	275.58	30 of 32 - Sale ²⁵
	3,826.000	5,394.08	04/14/20	5,012.06	...	382.02	31 of 32 - Sale ²⁵
	4,200.000	5,921.36	04/14/20	5,502.00	...	419.36	32 of 32 - Sale ²⁵
04/14/20	20,000.000	28,197.00	Various	26,200.00	...	1,997.00	Total of 32 transactions
	18 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	1.000	1.27	04/22/20	1.27	...	0.00	1 of 18 - Sale ²⁵
	4.000	5.08	04/22/20	5.08	...	0.00	2 of 18 - Sale ²⁵
	9.000	11.43	04/22/20	11.43	...	0.00	3 of 18 - Sale ²⁵
	5.000	6.35	04/22/20	6.35	...	0.00	4 of 18 - Sale ²⁵
	5.000	6.35	04/22/20	6.35	...	0.00	5 of 18 - Sale ²⁵
	10.000	12.70	04/22/20	12.70	...	0.00	6 of 18 - Sale ²⁵
	1.000	1.27	04/22/20	1.27	...	0.00	7 of 18 - Sale ²⁵
	5.000	6.35	04/22/20	6.35	...	0.00	8 of 18 - Sale ²⁵
	2.000	2.54	04/22/20	2.54	...	0.00	9 of 18 - Sale ²⁵
	1.000	1.27	04/22/20	1.27	...	0.00	10 of 18 - Sale ²⁵
	17.000	21.59	04/22/20	21.59	...	0.00	11 of 18 - Sale ²⁵
	1.000	1.27	04/22/20	1.27	...	0.00	12 of 18 - Sale ²⁵
	1.000	1.27	04/22/20	1.27	...	0.00	13 of 18 - Sale ²⁵
	10.000	12.70	04/22/20	12.70	...	0.00	14 of 18 - Sale ²⁵
	1.000	1.27	04/22/20	1.27	...	0.00	15 of 18 - Sale ²⁵
	10.000	12.70	04/22/20	12.70	...	0.00	16 of 18 - Sale ²⁵
	1.000	1.27	04/22/20	1.27	...	0.00	17 of 18 - Sale ²⁵
	2.000	2.54	04/22/20	2.54	...	0.00	18 of 18 - Sale ²⁵
04/22/20	86.000	109.22	Various	109.22	...	0.00	Total of 18 transactions
	79 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	100.000	126.99	04/22/20	127.00	0.01 W	0.00	1 of 79 - Sale ²⁵
	500.000	634.92	04/22/20	635.00	0.08 W	0.00	2 of 79 - Sale ²⁵
	734.000	932.06	04/22/20	932.18	0.12 W	0.00	3 of 79 - Sale ²⁵
	100.000	126.99	04/22/20	127.00	0.01 W	0.00	4 of 79 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
226.000		286.99	04/22/20	287.02	0.03 W	0.00	5 of 79 - Sale ²⁵
100.000		126.98	04/22/20	127.01	0.03 W	0.00	6 of 79 - Sale ²⁵
214.000		271.75	04/22/20	271.81	0.06 W	0.00	7 of 79 - Sale ²⁵
283.000		359.37	04/22/20	359.46	0.09 W	0.00	8 of 79 - Sale ²⁵
717.000		910.48	04/22/20	910.71	0.23 W	0.00	9 of 79 - Sale ²⁵
100.000		126.98	04/22/20	127.01	0.03 W	0.00	10 of 79 - Sale ²⁵
130.000		165.08	04/22/20	165.12	0.04 W	0.00	11 of 79 - Sale ²⁵
26.000		33.02	04/22/20	33.03	0.01 W	0.00	12 of 79 - Sale ²⁵
45.000		57.14	04/22/20	57.16	0.02 W	0.00	13 of 79 - Sale ²⁵
25.000		31.75	04/22/20	31.76	0.01 W	0.00	14 of 79 - Sale ²⁵
30.000		38.10	04/22/20	38.11	0.01 W	0.00	15 of 79 - Sale ²⁵
100.000		126.98	04/22/20	127.03	0.05 W	0.00	16 of 79 - Sale ²⁵
116.000		147.30	04/22/20	147.36	0.06 W	0.00	17 of 79 - Sale ²⁵
184.000		233.65	04/22/20	233.73	0.08 W	0.00	18 of 79 - Sale ²⁵
50.000		63.49	04/22/20	63.51	0.02 W	0.00	19 of 79 - Sale ²⁵
25.000		31.75	04/22/20	31.76	0.01 W	0.00	20 of 79 - Sale ²⁵
41.000		52.06	04/22/20	52.08	0.02 W	0.00	21 of 79 - Sale ²⁵
611.000		775.88	04/22/20	776.17	0.29 W	0.00	22 of 79 - Sale ²⁵
69.000		87.62	04/22/20	87.65	0.03 W	0.00	23 of 79 - Sale ²⁵
106.000		134.61	04/22/20	134.65	0.04 W	0.00	24 of 79 - Sale ²⁵
31.000		39.37	04/22/20	39.38	0.01 W	0.00	25 of 79 - Sale ²⁵
19.000		24.13	04/22/20	24.14	0.01 W	0.00	26 of 79 - Sale ²⁵
26.000		33.02	04/22/20	33.03	0.01 W	0.00	27 of 79 - Sale ²⁵
40.000		50.79	04/22/20	50.82	0.03 W	0.00	28 of 79 - Sale ²⁵
45.000		57.14	04/22/20	57.17	0.03 W	0.00	29 of 79 - Sale ²⁵
109.000		138.41	04/22/20	138.46	0.05 W	0.00	30 of 79 - Sale ²⁵
30.000		38.10	04/22/20	38.11	0.01 W	0.00	31 of 79 - Sale ²⁵
60.000		76.19	04/22/20	76.23	0.04 W	0.00	32 of 79 - Sale ²⁵
15.000		19.05	04/22/20	19.06	0.01 W	0.00	33 of 79 - Sale ²⁵
85.000		107.94	04/22/20	107.99	0.05 W	0.00	34 of 79 - Sale ²⁵
99.000		125.72	04/22/20	125.77	0.05 W	0.00	35 of 79 - Sale ²⁵
100.000		126.98	04/22/20	127.05	0.07 W	0.00	36 of 79 - Sale ²⁵
15.000		19.05	04/22/20	19.06	0.01 W	0.00	37 of 79 - Sale ²⁵
15.000		19.05	04/22/20	19.06	0.01 W	0.00	38 of 79 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
	50.000	63.49	04/22/20	63.52	0.03 W	0.00	39 of 79 - Sale ²⁵
	10.000	12.70	04/22/20	12.71	0.01 W	0.00	40 of 79 - Sale ²⁵
	31.000	39.36	04/22/20	39.39	0.03 W	0.00	41 of 79 - Sale ²⁵
	29.000	36.83	04/22/20	36.84	0.01 W	0.00	42 of 79 - Sale ²⁵
	40.000	50.80	04/22/20	50.82	0.02 W	0.00	43 of 79 - Sale ²⁵
	330.000	419.06	04/22/20	419.26	0.20 W	0.00	44 of 79 - Sale ²⁵
	42.000	53.34	04/22/20	53.36	0.02 W	0.00	45 of 79 - Sale ²⁵
	19.000	24.13	04/22/20	24.14	0.01 W	0.00	46 of 79 - Sale ²⁵
	26.000	33.02	04/22/20	33.03	0.01 W	0.00	47 of 79 - Sale ²⁵
	33.000	41.90	04/22/20	41.93	0.03 W	0.00	48 of 79 - Sale ²⁵
	69.000	87.62	04/22/20	87.66	0.04 W	0.00	49 of 79 - Sale ²⁵
	85.000	107.94	04/22/20	107.99	0.05 W	0.00	50 of 79 - Sale ²⁵
	99.000	125.71	04/22/20	125.78	0.07 W	0.00	51 of 79 - Sale ²⁵
	169.000	214.60	04/22/20	214.71	0.11 W	0.00	52 of 79 - Sale ²⁵
	10.000	12.70	04/22/20	12.71	0.01 W	0.00	53 of 79 - Sale ²⁵
	10.000	12.70	04/22/20	12.71	0.01 W	0.00	54 of 79 - Sale ²⁵
	15.000	19.05	04/22/20	19.06	0.01 W	0.00	55 of 79 - Sale ²⁵
	15.000	19.05	04/22/20	19.06	0.01 W	0.00	56 of 79 - Sale ²⁵
	15.000	19.05	04/22/20	19.06	0.01 W	0.00	57 of 79 - Sale ²⁵
	29.000	36.83	04/22/20	36.84	0.01 W	0.00	58 of 79 - Sale ²⁵
	30.000	38.10	04/22/20	38.11	0.01 W	0.00	59 of 79 - Sale ²⁵
	31.000	39.37	04/22/20	39.40	0.03 W	0.00	60 of 79 - Sale ²⁵
	40.000	50.79	04/22/20	50.83	0.04 W	0.00	61 of 79 - Sale ²⁵
	40.000	50.79	04/22/20	50.82	0.03 W	0.00	62 of 79 - Sale ²⁵
	50.000	63.49	04/22/20	63.53	0.04 W	0.00	63 of 79 - Sale ²⁵
	60.000	76.19	04/22/20	76.24	0.05 W	0.00	64 of 79 - Sale ²⁵
	85.000	107.94	04/22/20	108.00	0.06 W	0.00	65 of 79 - Sale ²⁵
	99.000	125.72	04/22/20	125.78	0.06 W	0.00	66 of 79 - Sale ²⁵
	100.000	126.99	04/22/20	127.07	0.08 W	0.00	67 of 79 - Sale ²⁵
	196.000	248.89	04/22/20	248.92	0.03 W	0.00	68 of 79 - Sale ²⁵
	10.000	12.70	04/22/20	12.71	0.01 W	0.00	69 of 79 - Sale ²⁵
	19.000	24.13	04/22/20	24.14	0.01 W	0.00	70 of 79 - Sale ²⁵
	26.000	33.02	04/22/20	33.03	0.01 W	0.00	71 of 79 - Sale ²⁵
	33.000	41.90	04/22/20	41.94	0.04 W	0.00	72 of 79 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
	42.000	53.33	04/22/20	53.36	0.03 W	0.00	73 of 79 - Sale ²⁵
	69.000	87.62	04/22/20	87.67	0.05 W	0.00	74 of 79 - Sale ²⁵
	85.000	107.94	04/22/20	108.00	0.06 W	0.00	75 of 79 - Sale ²⁵
	99.000	125.71	04/22/20	125.80	0.09 W	0.00	76 of 79 - Sale ²⁵
	169.000	214.60	04/22/20	214.74	0.14 W	0.00	77 of 79 - Sale ²⁵
	330.000	419.05	04/22/20	419.30	0.25 W	0.00	78 of 79 - Sale ²⁵
	1,854.000	2,354.30	04/22/20	2,354.58	0.28 W	0.00	79 of 79 - Sale ²⁵
04/22/20	9,914.000	12,589.33	Various	12,593.20	3.87 W	0.00	Total of 79 transactions
	20 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	6.000	7.62	04/23/20	7.49	...	0.13	1 of 20 - Sale ²⁵
	9.000	11.43	04/23/20	11.23	...	0.20	2 of 20 - Sale ²⁵
	10.000	12.70	04/23/20	12.49	...	0.21	3 of 20 - Sale ²⁵
	15.000	19.05	04/23/20	18.72	...	0.33	4 of 20 - Sale ²⁵
	15.000	19.05	04/23/20	18.72	...	0.33	5 of 20 - Sale ²⁵
	19.000	24.13	04/23/20	23.72	...	0.41	6 of 20 - Sale ²⁵
	21.000	26.67	04/23/20	26.21	...	0.46	7 of 20 - Sale ²⁵
	29.000	36.83	04/23/20	36.20	...	0.63	8 of 20 - Sale ²⁵
	29.000	36.82	04/23/20	36.19	...	0.63	9 of 20 - Sale ²⁵
	29.000	36.83	04/23/20	36.19	...	0.64	10 of 20 - Sale ²⁵
	30.000	38.10	04/23/20	37.43	...	0.67	11 of 20 - Sale ²⁵
	31.000	39.37	04/23/20	38.70	...	0.67	12 of 20 - Sale ²⁵
	40.000	50.79	04/23/20	49.94	...	0.85	13 of 20 - Sale ²⁵
	50.000	63.49	04/23/20	62.42	...	1.07	14 of 20 - Sale ²⁵
	60.000	76.19	04/23/20	74.90	...	1.29	15 of 20 - Sale ²⁵
	70.000	88.89	04/23/20	87.37	...	1.52	16 of 20 - Sale ²⁵
	71.000	90.16	04/23/20	88.63	...	1.53	17 of 20 - Sale ²⁵
	81.000	102.86	04/23/20	101.06	...	1.80	18 of 20 - Sale ²⁵
	85.000	107.94	04/23/20	106.10	...	1.84	19 of 20 - Sale ²⁵
	100.000	126.99	04/23/20	124.77	...	2.22	20 of 20 - Sale ²⁵
04/23/20	800.000	1,015.91	Various	998.48	...	17.43	Total of 20 transactions
	25 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	10.000	12.90	04/23/20	12.48	...	0.42	1 of 25 - Sale ²⁵
	15.000	19.35	04/23/20	18.71	...	0.64	2 of 25 - Sale ²⁵
	19.000	24.51	04/23/20	23.71	...	0.80	3 of 25 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
	26.000	33.54	04/23/20	32.45	...	1.09	4 of 25 - Sale ²⁵
	33.000	42.57	04/23/20	41.21	...	1.36	5 of 25 - Sale ²⁵
	42.000	54.17	04/23/20	52.42	...	1.75	6 of 25 - Sale ²⁵
	69.000	89.00	04/23/20	86.13	...	2.87	7 of 25 - Sale ²⁵
	85.000	109.64	04/23/20	106.10	...	3.54	8 of 25 - Sale ²⁵
	99.000	127.70	04/23/20	123.59	...	4.11	9 of 25 - Sale ²⁵
	100.000	129.99	04/23/20	124.00	...	5.99	10 of 25 - Sale ²⁵
	100.000	129.99	04/23/20	124.00	...	5.99	11 of 25 - Sale ²⁵
	100.000	129.99	04/23/20	124.00	...	5.99	12 of 25 - Sale ²⁵
	100.000	129.99	04/23/20	124.00	...	5.99	13 of 25 - Sale ²⁵
	169.000	217.99	04/23/20	210.97	...	7.02	14 of 25 - Sale ²⁵
	330.000	425.65	04/23/20	411.93	...	13.72	15 of 25 - Sale ²⁵
	500.000	649.92	04/23/20	620.00	...	29.92	16 of 25 - Sale ²⁵
	1,000.000	1,299.85	04/23/20	1,240.00	...	59.85	17 of 25 - Sale ²⁵
	1,000.000	1,299.85	04/23/20	1,240.00	...	59.85	18 of 25 - Sale ²⁵
	1,200.000	1,559.82	04/23/20	1,488.00	...	71.82	19 of 25 - Sale ²⁵
	1,854.000	2,391.38	04/23/20	2,313.14	...	78.24	20 of 25 - Sale ²⁵
	1,400.000	1,819.79	04/23/20	1,736.00	...	83.79	21 of 25 - Sale ²⁵
	1,400.000	1,819.79	04/23/20	1,736.00	...	83.79	22 of 25 - Sale ²⁵
	1,500.000	1,949.78	04/23/20	1,860.00	...	89.78	23 of 25 - Sale ²⁵
	1,600.000	2,079.76	04/23/20	1,984.00	...	95.76	24 of 25 - Sale ²⁵
	6,449.000	8,318.24	04/23/20	8,045.13	...	273.11	25 of 25 - Sale ²⁵
04/24/20	19,200.000	24,865.16	Various	23,877.97	...	987.19	Total of 25 transactions
	Security total:	66,776.62		63,778.87	3.87 W	3,001.62	
BIRKS GROUP INC / CUSIP: 09088U109 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	10.000	10.50	12/11/20	8.80	...	1.70	1 of 2 - Sale ²⁵
	10.000	10.50	12/11/20	8.80	...	1.70	2 of 2 - Sale ²⁵
12/11/20	20.000	21.00	Various	17.60	...	3.40	Total of 2 transactions
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1,060.000	943.24	12/11/20	933.01	...	10.23	1 of 2 - Sale ²⁵
	1,420.000	1,263.60	12/11/20	1,249.89	...	13.71	2 of 2 - Sale ²⁵
12/29/20	2,480.000	2,206.84	Various	2,182.90	...	23.94	Total of 2 transactions

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(continued)

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BIRKS GROUP INC / CUSIP: 09088U109 / Symbol: (cont'd)							
Security total:		2,227.84		2,200.50	...	27.34	
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
<i>53 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
1.000		0.33	12/07/20	0.30	...	0.03	1 of 53 - Sale ²⁵
125.000		41.22	12/07/20	38.00	...	3.22	2 of 53 - Sale ²⁵
200.000		65.95	12/07/20	60.80	...	5.15	3 of 53 - Sale ²⁵
302.000		99.59	12/07/20	91.81	...	7.78	4 of 53 - Sale ²⁵
400.000		131.91	12/07/20	121.60	...	10.31	5 of 53 - Sale ²⁵
1,400.000		461.68	12/07/20	425.60	...	36.08	6 of 53 - Sale ²⁵
3,352.000		1,105.41	12/07/20	1,019.01	...	86.40	7 of 53 - Sale ²⁵
3,820.000		1,259.74	12/07/20	1,161.28	...	98.46	8 of 53 - Sale ²⁵
10,400.000		3,429.64	12/07/20	3,161.60	...	268.04	9 of 53 - Sale ²⁵
34.000		11.55	12/18/20	9.35	...	2.20	10 of 53 - Sale ²⁵
34.000		11.55	12/18/20	9.35	...	2.20	11 of 53 - Sale ²⁵
66.000		22.42	12/18/20	18.16	...	4.26	12 of 53 - Sale ²⁵
66.000		22.42	12/18/20	18.16	...	4.26	13 of 53 - Sale ²⁵
66.000		22.43	12/18/20	18.16	...	4.27	14 of 53 - Sale ²⁵
66.000		22.43	12/18/20	18.16	...	4.27	15 of 53 - Sale ²⁵
66.000		22.43	12/18/20	18.16	...	4.27	16 of 53 - Sale ²⁵
66.000		22.43	12/18/20	18.16	...	4.27	17 of 53 - Sale ²⁵
66.000		22.43	12/18/20	18.16	...	4.27	18 of 53 - Sale ²⁵
66.000		22.43	12/18/20	18.16	...	4.27	19 of 53 - Sale ²⁵
66.000		22.43	12/18/20	18.16	...	4.27	20 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	21 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	22 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	23 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	24 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	25 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	26 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	27 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	28 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	29 of 53 - Sale ²⁵
100.000		33.98	12/18/20	27.51	...	6.47	30 of 53 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol: (cont'd)							
	134.000	45.53	12/18/20	36.86	...	8.67	31 of 53 - Sale ²⁵
	178.000	60.48	12/18/20	48.96	...	11.52	32 of 53 - Sale ²⁵
	200.000	67.95	12/18/20	55.02	...	12.93	33 of 53 - Sale ²⁵
	234.000	79.51	12/18/20	64.37	...	15.14	34 of 53 - Sale ²⁵
	234.000	79.51	12/18/20	64.37	...	15.14	35 of 53 - Sale ²⁵
	234.000	79.51	12/18/20	64.37	...	15.14	36 of 53 - Sale ²⁵
	266.000	90.38	12/18/20	73.18	...	17.20	37 of 53 - Sale ²⁵
	300.000	101.93	12/18/20	82.53	...	19.40	38 of 53 - Sale ²⁵
	300.000	101.93	12/18/20	82.53	...	19.40	39 of 53 - Sale ²⁵
	334.000	113.48	12/18/20	91.88	...	21.60	40 of 53 - Sale ²⁵
	356.000	120.96	12/18/20	97.94	...	23.02	41 of 53 - Sale ²⁵
	366.000	124.36	12/18/20	100.69	...	23.67	42 of 53 - Sale ²⁵
	366.000	124.36	12/18/20	100.69	...	23.67	43 of 53 - Sale ²⁵
	400.000	135.91	12/18/20	110.04	...	25.87	44 of 53 - Sale ²⁵
	400.000	135.91	12/18/20	110.04	...	25.87	45 of 53 - Sale ²⁵
	434.000	147.46	12/18/20	119.39	...	28.07	46 of 53 - Sale ²⁵
	434.000	147.46	12/18/20	119.39	...	28.07	47 of 53 - Sale ²⁵
	434.000	147.46	12/18/20	119.39	...	28.07	48 of 53 - Sale ²⁵
	434.000	147.46	12/18/20	119.39	...	28.07	49 of 53 - Sale ²⁵
	500.000	169.88	12/18/20	137.55	...	32.33	50 of 53 - Sale ²⁵
	500.000	169.88	12/18/20	137.55	...	32.33	51 of 53 - Sale ²⁵
	600.000	203.86	12/18/20	165.06	...	38.80	52 of 53 - Sale ²⁵
	700.000	237.85	12/18/20	192.57	...	45.28	53 of 53 - Sale ²⁵
12/23/20	30,000.000	9,993.24	Various	8,831.00	...	1,162.24	Total of 53 transactions

CEMTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol:

7 transactions for 07/09/20. Total proceeds and cost reported to the IRS.

980.000	1,489.45	07/02/20	1,489.76	...	-0.31	1 of 7 - Sale ²⁵
620.000	942.31	07/02/20	942.50	...	-0.19	2 of 7 - Sale ²⁵
380.000	577.54	07/02/20	577.66	...	-0.12	3 of 7 - Sale ²⁵
640.000	972.70	07/02/20	972.80	...	-0.10	4 of 7 - Sale ²⁵
100.000	151.98	07/02/20	152.01	...	-0.03	5 of 7 - Sale ²⁵
80.000	121.59	07/02/20	121.61	...	-0.02	6 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CEMTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol: (cont'd)							
	20.000	30.40	07/02/20	30.40	...	0.00	7 of 7 - Sale ²⁵
07/09/20	2,820.000	4,285.97	Various	4,286.74	...	-0.77	Total of 7 transactions
	3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	200.000	303.98	07/02/20	304.00	0.02 W	0.00	1 of 3 - Sale ²⁵
	1,000.000	1,519.84	07/02/20	1,520.00	0.16 W	0.00	2 of 3 - Sale ²⁵
	980.000	1,489.44	07/02/20	1,489.60	0.16 W	0.00	3 of 3 - Sale ²⁵
07/09/20	2,180.000	3,313.26	Various	3,313.60	0.34 W	0.00	Total of 3 transactions
	Security total:	7,599.23		7,600.34	0.34 W	-0.77	
COCRYSTAL PHARMA, INC. COMMON STOCK / CUSIP: 19188J300 / Symbol:							
	13 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	100.000	89.97	04/17/20	85.01	...	4.96	1 of 13 - Sale ²⁵
	100.000	89.97	04/17/20	85.01	...	4.96	2 of 13 - Sale ²⁵
	100.000	89.97	04/17/20	85.01	...	4.96	3 of 13 - Sale ²⁵
	200.000	179.94	04/17/20	170.02	...	9.92	4 of 13 - Sale ²⁵
	400.000	359.86	04/17/20	340.04	...	19.82	5 of 13 - Sale ²⁵
	600.000	539.80	04/17/20	510.06	...	29.74	6 of 13 - Sale ²⁵
	1,000.000	899.66	04/17/20	850.10	...	49.56	7 of 13 - Sale ²⁵
	1,393.000	1,253.23	04/17/20	1,184.19	...	69.04	8 of 13 - Sale ²⁵
	1,900.000	1,709.36	04/17/20	1,615.19	...	94.17	9 of 13 - Sale ²⁵
	2,400.000	2,159.19	04/17/20	2,040.24	...	118.95	10 of 13 - Sale ²⁵
	3,400.000	3,058.84	04/17/20	2,890.34	...	168.50	11 of 13 - Sale ²⁵
	3,707.000	3,335.03	04/17/20	3,151.32	...	183.71	12 of 13 - Sale ²⁵
	4,700.000	4,228.40	04/17/20	3,995.47	...	232.93	13 of 13 - Sale ²⁵
04/20/20	20,000.000	17,993.22	Various	17,002.00	...	991.22	Total of 13 transactions
CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol:							
	18 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	20.000	10.90	12/26/19	10.00	...	0.90	1 of 18 - Sale ²⁵
	40.000	21.80	12/26/19	20.00	...	1.80	2 of 18 - Sale ²⁵
	60.000	32.69	12/26/19	30.01	...	2.68	3 of 18 - Sale ²⁵
	85.000	46.32	12/26/19	42.51	...	3.81	4 of 18 - Sale ²⁵
	140.000	76.28	12/26/19	70.01	...	6.27	5 of 18 - Sale ²⁵
	187.000	101.89	12/26/19	93.52	...	8.37	6 of 18 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	200.000	108.98	12/26/19	100.02	...	8.96	7 of 18 - Sale ²⁵
	200.000	108.98	12/26/19	100.02	...	8.96	8 of 18 - Sale ²⁵
	462.000	251.73	12/26/19	235.67	...	16.06	9 of 18 - Sale ²⁵
	470.000	256.09	12/26/19	235.05	...	21.04	10 of 18 - Sale ²⁵
	613.000	334.01	12/26/19	306.56	...	27.45	11 of 18 - Sale ²⁵
	1,100.000	599.35	12/26/19	550.11	...	49.24	12 of 18 - Sale ²⁵
	1,738.000	946.98	12/26/19	886.55	...	60.43	13 of 18 - Sale ²⁵
	1,500.000	817.30	12/26/19	750.15	...	67.15	14 of 18 - Sale ²⁵
	2,738.000	1,491.85	12/26/19	1,396.65	...	95.20	15 of 18 - Sale ²⁵
	2,887.000	1,573.04	12/26/19	1,443.79	...	129.25	16 of 18 - Sale ²⁵
	7,800.000	4,249.97	12/26/19	3,978.78	...	271.19	17 of 18 - Sale ²⁵
	9,760.000	5,317.92	12/26/19	4,880.97	...	436.95	18 of 18 - Sale ²⁵
02/25/20	30,000.000	16,346.08	Various	15,130.37	...	1,215.71	Total of 18 transactions
	23 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	5.000	2.90	12/26/19	2.55	...	0.35	1 of 23 - Sale ²⁵
	28.000	16.24	12/26/19	14.28	...	1.96	2 of 23 - Sale ²⁵
	400.000	231.91	12/26/19	204.04	...	27.87	3 of 23 - Sale ²⁵
	6,829.000	3,959.23	12/26/19	3,483.48	...	475.75	4 of 23 - Sale ²⁵
	323.000	187.26	12/30/19	132.46	...	54.80	5 of 23 - Sale ²⁵
	500.000	289.88	12/30/19	205.05	...	84.83	6 of 23 - Sale ²⁵
	1,277.000	740.37	12/30/19	523.70	...	216.67	7 of 23 - Sale ²⁵
	5.000	3.25	12/31/19	2.05	...	1.20	8 of 23 - Sale ²⁵
	50.000	32.48	12/31/19	20.51	...	11.97	9 of 23 - Sale ²⁵
	73.000	47.41	12/31/19	29.94	...	17.47	10 of 23 - Sale ²⁵
	127.000	82.47	12/31/19	52.08	...	30.39	11 of 23 - Sale ²⁵
	180.000	116.89	12/31/19	73.82	...	43.07	12 of 23 - Sale ²⁵
	309.000	179.18	12/31/19	126.72	...	52.46	13 of 23 - Sale ²⁵
	400.000	259.75	12/31/19	164.04	...	95.71	14 of 23 - Sale ²⁵
	638.000	369.89	12/31/19	261.64	...	108.25	15 of 23 - Sale ²⁵
	940.000	610.40	12/31/19	385.49	...	224.91	16 of 23 - Sale ²⁵
	1,587.000	1,030.54	12/31/19	650.83	...	379.71	17 of 23 - Sale ²⁵
	2,000.000	1,298.73	12/31/19	820.20	...	478.53	18 of 23 - Sale ²⁵
	2,000.000	1,298.73	12/31/19	820.20	...	478.53	19 of 23 - Sale ²⁵
	2,000.000	1,298.73	12/31/19	820.20	...	478.53	20 of 23 - Sale ²⁵

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(continued)

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	3,479.000	2,017.36	12/31/19	1,426.74	...	590.62	21 of 23 - Sale ²⁵
	6,212.000	3,602.14	12/31/19	2,547.54	...	1,054.60	22 of 23 - Sale ²⁵
	5,638.000	3,661.12	12/31/19	2,312.14	...	1,348.98	23 of 23 - Sale ²⁵
02/28/20	35,000.000	21,336.86	Various	15,079.70	...	6,257.16	Total of 23 transactions
	8 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	2,700.000	944.11	12/31/19	1,107.27	163.16 W	0.00	1 of 8 - Sale ²⁵
	61.000	21.33	12/31/19	25.02	3.69 W	0.00	2 of 8 - Sale ²⁵
	6,601.000	2,308.19	12/31/19	2,707.07	398.88 W	0.00	3 of 8 - Sale ²⁵
	638.000	223.09	02/27/20	236.33	13.24 W	0.00	4 of 8 - Sale ²⁵
	61.000	21.34	02/27/20	22.60	1.26 W	0.00	5 of 8 - Sale ²⁵
	2,062.000	721.23	02/27/20	763.83	42.60 W	0.00	6 of 8 - Sale ²⁵
	1,377.000	481.63	02/27/20	510.08	28.45 W	0.00	7 of 8 - Sale ²⁵
	100.000	34.98	02/27/20	37.04	2.06 W	0.00	8 of 8 - Sale ²⁵
03/23/20	13,600.000	4,755.90	Various	5,409.24	653.34 W	0.00	Total of 8 transactions
	2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	251.000	89.08	02/27/20	83.02	...	6.06	1 of 2 - Sale ²⁵
	387.000	137.34	02/27/20	128.00	...	9.34	2 of 2 - Sale ²⁵
03/25/20	638.000	226.42	Various	211.02	...	15.40	Total of 2 transactions
	157 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	1,200.000	425.86	02/27/20	444.51	18.65 W	0.00	1 of 157 - Sale ²⁵
	1,000.000	354.88	02/27/20	370.43	15.55 W	0.00	2 of 157 - Sale ²⁵
	400.000	141.95	02/27/20	148.17	6.22 W	0.00	3 of 157 - Sale ²⁵
	400.000	141.95	02/27/20	148.17	6.22 W	0.00	4 of 157 - Sale ²⁵
	136.000	48.26	02/27/20	50.38	2.12 W	0.00	5 of 157 - Sale ²⁵
	500.000	177.44	02/27/20	185.21	7.77 W	0.00	6 of 157 - Sale ²⁵
	13.000	4.61	02/27/20	4.82	0.21 W	0.00	7 of 157 - Sale ²⁵
	313.000	111.08	02/27/20	115.94	4.86 W	0.00	8 of 157 - Sale ²⁵
	500.000	177.44	02/27/20	185.22	7.78 W	0.00	9 of 157 - Sale ²⁵
	662.000	234.93	02/27/20	245.22	10.29 W	0.00	10 of 157 - Sale ²⁵
	238.000	84.46	02/28/20	151.26	66.80 W	0.00	11 of 157 - Sale ²⁵
	600.000	212.93	02/28/20	381.32	168.39 W	0.00	12 of 157 - Sale ²⁵
	30.000	10.65	02/28/20	19.07	8.42 W	0.00	13 of 157 - Sale ²⁵
	362.000	128.46	02/28/20	230.07	101.61 W	0.00	14 of 157 - Sale ²⁵

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	800.000	283.90	02/28/20	508.44	224.54 W	0.00	15 of 157 - Sale ²⁵
	400.000	141.95	02/28/20	254.22	112.27 W	0.00	16 of 157 - Sale ²⁵
	230.000	81.62	02/28/20	146.18	64.56 W	0.00	17 of 157 - Sale ²⁵
	170.000	60.33	02/28/20	108.04	47.71 W	0.00	18 of 157 - Sale ²⁵
	128.000	45.42	02/28/20	81.36	35.94 W	0.00	19 of 157 - Sale ²⁵
	170.000	60.33	02/28/20	108.04	47.71 W	0.00	20 of 157 - Sale ²⁵
	110.000	39.04	02/28/20	70.47	31.43 W	0.00	21 of 157 - Sale ²⁵
	1,000.000	354.88	02/28/20	640.66	285.78 W	0.00	22 of 157 - Sale ²⁵
	48.000	17.03	02/28/20	30.75	13.72 W	0.00	23 of 157 - Sale ²⁵
	952.000	337.85	02/28/20	609.91	272.06 W	0.00	24 of 157 - Sale ²⁵
	13.000	4.61	02/28/20	8.33	3.72 W	0.00	25 of 157 - Sale ²⁵
	100.000	35.49	02/28/20	64.06	28.57 W	0.00	26 of 157 - Sale ²⁵
	1,377.000	488.66	02/28/20	882.19	393.53 W	0.00	27 of 157 - Sale ²⁵
	8.000	2.84	02/28/20	5.08	2.24 W	0.00	28 of 157 - Sale ²⁵
	13.000	4.61	02/28/20	8.27	3.66 W	0.00	29 of 157 - Sale ²⁵
	313.000	111.07	02/28/20	198.92	87.85 W	0.00	30 of 157 - Sale ²⁵
	500.000	177.44	02/28/20	317.77	140.33 W	0.00	31 of 157 - Sale ²⁵
	176.000	62.46	02/28/20	111.86	49.40 W	0.00	32 of 157 - Sale ²⁵
	166.000	58.91	02/28/20	105.50	46.59 W	0.00	33 of 157 - Sale ²⁵
	324.000	114.98	02/28/20	205.92	90.94 W	0.00	34 of 157 - Sale ²⁵
	100.000	35.49	02/28/20	63.53	28.04 W	0.00	35 of 157 - Sale ²⁵
	350.000	124.20	02/28/20	222.44	98.24 W	0.00	36 of 157 - Sale ²⁵
	238.000	84.46	02/28/20	215.55	131.09 W	0.00	37 of 157 - Sale ²⁵
	122.000	43.29	02/28/20	110.49	67.20 W	0.00	38 of 157 - Sale ²⁵
	600.000	212.92	02/28/20	543.39	330.47 W	0.00	39 of 157 - Sale ²⁵
	30.000	10.65	02/28/20	27.17	16.52 W	0.00	40 of 157 - Sale ²⁵
	128.000	45.42	02/28/20	115.94	70.52 W	0.00	41 of 157 - Sale ²⁵
	170.000	60.33	02/28/20	153.96	93.63 W	0.00	42 of 157 - Sale ²⁵
	170.000	60.33	02/28/20	153.96	93.63 W	0.00	43 of 157 - Sale ²⁵
	230.000	81.62	02/28/20	208.31	126.69 W	0.00	44 of 157 - Sale ²⁵
	240.000	85.17	02/28/20	217.37	132.20 W	0.00	45 of 157 - Sale ²⁵
	400.000	141.95	02/28/20	362.27	220.32 W	0.00	46 of 157 - Sale ²⁵
	800.000	283.90	02/28/20	724.54	440.64 W	0.00	47 of 157 - Sale ²⁵
	110.000	39.04	02/28/20	100.18	61.14 W	0.00	48 of 157 - Sale ²⁵

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
625.000		221.79	02/28/20	569.24	347.45 W	0.00	49 of 157 - Sale ²⁵
46.000		16.32	02/28/20	29.47	13.15 W	0.00	50 of 157 - Sale ²⁵
10.000		3.55	02/28/20	9.12	5.57 W	0.00	51 of 157 - Sale ²⁵
365.000		129.53	02/28/20	334.26	204.73 W	0.00	52 of 157 - Sale ²⁵
388.000		137.69	02/28/20	355.32	217.63 W	0.00	53 of 157 - Sale ²⁵
1.000		0.35	02/28/20	0.92	0.57 W	0.00	54 of 157 - Sale ²⁵
13.000		4.61	02/28/20	11.91	7.30 W	0.00	55 of 157 - Sale ²⁵
48.000		17.03	02/28/20	43.96	26.93 W	0.00	56 of 157 - Sale ²⁵
263.000		93.34	02/28/20	240.85	147.51 W	0.00	57 of 157 - Sale ²⁵
563.000		199.79	02/28/20	515.58	315.79 W	0.00	58 of 157 - Sale ²⁵
113.000		40.63	02/28/20	103.49	62.86 W	0.00	59 of 157 - Sale ²⁵
1.000		0.36	02/28/20	0.92	0.56 W	0.00	60 of 157 - Sale ²⁵
50.000		17.98	02/28/20	46.79	28.81 W	0.00	61 of 157 - Sale ²⁵
100.000		35.96	02/28/20	93.58	57.62 W	0.00	62 of 157 - Sale ²⁵
798.000		286.94	02/28/20	746.75	459.81 W	0.00	63 of 157 - Sale ²⁵
238.000		85.58	02/28/20	285.79	200.21 W	0.00	64 of 157 - Sale ²⁵
400.000		143.82	02/28/20	480.31	336.49 W	0.00	65 of 157 - Sale ²⁵
30.000		10.79	02/28/20	36.02	25.23 W	0.00	66 of 157 - Sale ²⁵
122.000		43.87	02/28/20	146.50	102.63 W	0.00	67 of 157 - Sale ²⁵
240.000		86.30	02/28/20	288.20	201.90 W	0.00	68 of 157 - Sale ²⁵
368.000		132.32	02/28/20	441.89	309.57 W	0.00	69 of 157 - Sale ²⁵
200.000		71.92	02/28/20	240.16	168.24 W	0.00	70 of 157 - Sale ²⁵
8.000		2.88	02/28/20	7.44	4.56 W	0.00	71 of 157 - Sale ²⁵
32.000		11.50	02/28/20	29.78	18.28 W	0.00	72 of 157 - Sale ²⁵
13.000		4.68	02/28/20	12.11	7.43 W	0.00	73 of 157 - Sale ²⁵
19.000		6.83	02/28/20	17.68	10.85 W	0.00	74 of 157 - Sale ²⁵
468.000		168.28	02/28/20	435.55	267.27 W	0.00	75 of 157 - Sale ²⁵
40.000		14.38	02/28/20	37.22	22.84 W	0.00	76 of 157 - Sale ²⁵
166.000		59.69	02/28/20	154.49	94.80 W	0.00	77 of 157 - Sale ²⁵
176.000		63.29	02/28/20	163.80	100.51 W	0.00	78 of 157 - Sale ²⁵
294.000		105.72	02/28/20	273.62	167.90 W	0.00	79 of 157 - Sale ²⁵
324.000		116.50	02/28/20	301.54	185.04 W	0.00	80 of 157 - Sale ²⁵
60.000		21.57	02/28/20	55.82	34.25 W	0.00	81 of 157 - Sale ²⁵
350.000		125.85	02/28/20	325.74	199.89 W	0.00	82 of 157 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	52.000	18.70	02/28/20	48.66	29.96 W	0.00	83 of 157 - Sale ²⁵
	100.000	35.96	02/28/20	93.57	57.61 W	0.00	84 of 157 - Sale ²⁵
	30.000	10.79	03/11/20	36.63	25.84 W	0.00	85 of 157 - Sale ²⁵
	122.000	43.87	03/11/20	149.00	105.13 W	0.00	86 of 157 - Sale ²⁵
	200.000	71.91	03/11/20	244.26	172.35 W	0.00	87 of 157 - Sale ²⁵
	238.000	85.58	03/11/20	290.67	205.09 W	0.00	88 of 157 - Sale ²⁵
	240.000	86.30	03/11/20	293.12	206.82 W	0.00	89 of 157 - Sale ²⁵
	368.000	132.32	03/11/20	449.45	317.13 W	0.00	90 of 157 - Sale ²⁵
	400.000	143.83	03/11/20	488.53	344.70 W	0.00	91 of 157 - Sale ²⁵
	8.000	2.88	03/11/20	7.60	4.72 W	0.00	92 of 157 - Sale ²⁵
	13.000	4.67	03/11/20	12.37	7.70 W	0.00	93 of 157 - Sale ²⁵
	19.000	6.83	03/11/20	18.07	11.24 W	0.00	94 of 157 - Sale ²⁵
	32.000	11.51	03/11/20	30.44	18.93 W	0.00	95 of 157 - Sale ²⁵
	40.000	14.38	03/11/20	38.04	23.66 W	0.00	96 of 157 - Sale ²⁵
	128.000	46.03	03/11/20	119.17	73.14 W	0.00	97 of 157 - Sale ²⁵
	166.000	59.69	03/11/20	157.90	98.21 W	0.00	98 of 157 - Sale ²⁵
	170.000	61.13	03/11/20	158.25	97.12 W	0.00	99 of 157 - Sale ²⁵
	170.000	61.13	03/11/20	158.25	97.12 W	0.00	100 of 157 - Sale ²⁵
	176.000	63.28	03/11/20	167.41	104.13 W	0.00	101 of 157 - Sale ²⁵
	230.000	82.70	03/11/20	214.11	131.41 W	0.00	102 of 157 - Sale ²⁵
	294.000	105.71	03/11/20	279.65	173.94 W	0.00	103 of 157 - Sale ²⁵
	324.000	116.50	03/11/20	308.19	191.69 W	0.00	104 of 157 - Sale ²⁵
	400.000	143.83	03/11/20	372.36	228.53 W	0.00	105 of 157 - Sale ²⁵
	432.000	155.33	03/11/20	402.15	246.82 W	0.00	106 of 157 - Sale ²⁵
	468.000	168.28	03/11/20	445.16	276.88 W	0.00	107 of 157 - Sale ²⁵
	110.000	39.55	03/11/20	102.95	63.40 W	0.00	108 of 157 - Sale ²⁵
	460.000	165.41	03/11/20	430.57	265.16 W	0.00	109 of 157 - Sale ²⁵
	60.000	21.58	03/11/20	57.06	35.48 W	0.00	110 of 157 - Sale ²⁵
	350.000	125.89	03/11/20	332.93	207.04 W	0.00	111 of 157 - Sale ²⁵
	1.000	0.36	03/11/20	0.95	0.59 W	0.00	112 of 157 - Sale ²⁵
	1.000	0.36	03/11/20	0.94	0.58 W	0.00	113 of 157 - Sale ²⁵
	10.000	3.60	03/11/20	9.37	5.77 W	0.00	114 of 157 - Sale ²⁵
	13.000	4.68	03/11/20	12.24	7.56 W	0.00	115 of 157 - Sale ²⁵
	48.000	17.26	03/11/20	45.17	27.91 W	0.00	116 of 157 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	50.000	17.98	03/11/20	47.82	29.84 W	0.00	117 of 157 - Sale ²⁵
	52.000	18.70	03/11/20	49.73	31.03 W	0.00	118 of 157 - Sale ²⁵
	100.000	35.97	03/11/20	95.63	59.66 W	0.00	119 of 157 - Sale ²⁵
	100.000	35.97	03/11/20	95.62	59.65 W	0.00	120 of 157 - Sale ²⁵
	113.000	40.64	03/11/20	105.81	65.17 W	0.00	121 of 157 - Sale ²⁵
	263.000	94.59	03/11/20	247.48	152.89 W	0.00	122 of 157 - Sale ²⁵
	365.000	131.28	03/11/20	343.47	212.19 W	0.00	123 of 157 - Sale ²⁵
	388.000	139.55	03/11/20	365.11	225.56 W	0.00	124 of 157 - Sale ²⁵
	563.000	202.49	03/11/20	529.79	327.30 W	0.00	125 of 157 - Sale ²⁵
	165.000	59.35	03/11/20	154.44	95.09 W	0.00	126 of 157 - Sale ²⁵
	798.000	287.02	03/11/20	763.13	476.11 W	0.00	127 of 157 - Sale ²⁵
	46.000	16.55	03/11/20	30.63	14.08 W	0.00	128 of 157 - Sale ²⁵
	1,214.000	436.64	03/11/20	461.44	24.80 W	0.00	129 of 157 - Sale ²⁵
	62.000	22.30	03/11/20	23.57	1.27 W	0.00	130 of 157 - Sale ²⁵
	30.000	10.79	03/23/20	35.29	24.50 W	0.00	131 of 157 - Sale ²⁵
	122.000	43.88	03/23/20	143.56	99.68 W	0.00	132 of 157 - Sale ²⁵
	200.000	71.93	03/23/20	235.35	163.42 W	0.00	133 of 157 - Sale ²⁵
	238.000	85.60	03/23/20	280.06	194.46 W	0.00	134 of 157 - Sale ²⁵
	240.000	86.32	03/23/20	282.42	196.10 W	0.00	135 of 157 - Sale ²⁵
	368.000	132.36	03/23/20	433.05	300.69 W	0.00	136 of 157 - Sale ²⁵
	400.000	143.87	03/23/20	470.70	326.83 W	0.00	137 of 157 - Sale ²⁵
	8.000	2.88	03/23/20	7.24	4.36 W	0.00	138 of 157 - Sale ²⁵
	13.000	4.68	03/23/20	11.80	7.12 W	0.00	139 of 157 - Sale ²⁵
	19.000	6.83	03/23/20	17.22	10.39 W	0.00	140 of 157 - Sale ²⁵
	32.000	11.51	03/23/20	29.01	17.50 W	0.00	141 of 157 - Sale ²⁵
	40.000	14.39	03/23/20	36.26	21.87 W	0.00	142 of 157 - Sale ²⁵
	60.000	21.58	03/23/20	54.38	32.80 W	0.00	143 of 157 - Sale ²⁵
	128.000	46.04	03/23/20	113.46	67.42 W	0.00	144 of 157 - Sale ²⁵
	166.000	59.71	03/23/20	150.50	90.79 W	0.00	145 of 157 - Sale ²⁵
	170.000	61.14	03/23/20	150.67	89.53 W	0.00	146 of 157 - Sale ²⁵
	170.000	61.14	03/23/20	150.67	89.53 W	0.00	147 of 157 - Sale ²⁵
	176.000	63.30	03/23/20	159.57	96.27 W	0.00	148 of 157 - Sale ²⁵
	230.000	82.73	03/23/20	203.86	121.13 W	0.00	149 of 157 - Sale ²⁵
	294.000	105.74	03/23/20	266.55	160.81 W	0.00	150 of 157 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	324.000	116.53	03/23/20	293.75	177.22 W	0.00	151 of 157 - Sale ²⁵
	350.000	125.88	03/23/20	317.29	191.41 W	0.00	152 of 157 - Sale ²⁵
	400.000	143.87	03/23/20	354.53	210.66 W	0.00	153 of 157 - Sale ²⁵
	432.000	155.38	03/23/20	382.90	227.52 W	0.00	154 of 157 - Sale ²⁵
	468.000	168.33	03/23/20	424.30	255.97 W	0.00	155 of 157 - Sale ²⁵
	110.000	39.57	03/23/20	98.05	58.48 W	0.00	156 of 157 - Sale ²⁵
	50.000	17.98	03/23/20	44.57	26.59 W	0.00	157 of 157 - Sale ²⁵
03/25/20	39,362.000	14,063.55	Various	31,636.55	17,573.00 W	0.00	Total of 157 transactions
	65 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	62.000	23.86	03/23/20	20.80	...	3.06	1 of 65 - Sale ²⁵
	1,214.000	467.24	03/23/20	407.21	...	60.03	2 of 65 - Sale ²⁵
	687.000	264.34	03/25/20	537.36	...	-273.02	3 of 65 - Sale ²⁵
	400.000	153.91	03/25/20	375.18	...	-221.27	4 of 65 - Sale ²⁵
	368.000	141.60	03/25/20	345.18	...	-203.58	5 of 65 - Sale ²⁵
	385.000	148.14	03/25/20	308.88	...	-160.74	6 of 65 - Sale ²⁵
	350.000	134.67	03/25/20	280.81	...	-146.14	7 of 65 - Sale ²⁵
	324.000	124.67	03/25/20	259.94	...	-135.27	8 of 65 - Sale ²⁵
	240.000	92.35	03/25/20	225.11	...	-132.76	9 of 65 - Sale ²⁵
	294.000	113.13	03/25/20	235.87	...	-122.74	10 of 65 - Sale ²⁵
	283.000	108.89	03/25/20	221.29	...	-112.40	11 of 65 - Sale ²⁵
	200.000	76.95	03/25/20	187.61	...	-110.66	12 of 65 - Sale ²⁵
	334.000	128.51	03/25/20	232.89	...	-104.38	13 of 65 - Sale ²⁵
	263.000	101.20	03/25/20	201.71	...	-100.51	14 of 65 - Sale ²⁵
	230.000	88.50	03/25/20	179.85	...	-91.35	15 of 65 - Sale ²⁵
	159.000	61.18	03/25/20	149.13	...	-87.95	16 of 65 - Sale ²⁵
	217.000	83.50	03/25/20	166.42	...	-82.92	17 of 65 - Sale ²⁵
	249.000	95.81	03/25/20	174.85	...	-79.04	18 of 65 - Sale ²⁵
	195.000	75.04	03/25/20	149.55	...	-74.51	19 of 65 - Sale ²⁵
	170.000	65.42	03/25/20	132.94	...	-67.52	20 of 65 - Sale ²⁵
	122.000	46.94	03/25/20	114.43	...	-67.49	21 of 65 - Sale ²⁵
	154.000	59.26	03/25/20	123.58	...	-64.32	22 of 65 - Sale ²⁵
	151.000	58.10	03/25/20	115.81	...	-57.71	23 of 65 - Sale ²⁵
	176.000	67.72	03/25/20	123.60	...	-55.88	24 of 65 - Sale ²⁵
	200.000	76.95	03/25/20	129.50	...	-52.55	25 of 65 - Sale ²⁵

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(continued)

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
165.000		63.49	03/25/20	115.05	...	-51.56	26 of 65 - Sale ²⁵
183.000		70.41	03/25/20	118.51	...	-48.10	27 of 65 - Sale ²⁵
170.000		65.41	03/25/20	110.09	...	-44.68	28 of 65 - Sale ²⁵
110.000		42.32	03/25/20	86.58	...	-44.26	29 of 65 - Sale ²⁵
111.000		42.71	03/25/20	86.82	...	-44.11	30 of 65 - Sale ²⁵
79.000		30.39	03/25/20	74.09	...	-43.70	31 of 65 - Sale ²⁵
113.000		43.48	03/25/20	86.13	...	-42.65	32 of 65 - Sale ²⁵
100.000		38.48	03/25/20	78.21	...	-39.73	33 of 65 - Sale ²⁵
100.000		38.48	03/25/20	78.20	...	-39.72	34 of 65 - Sale ²⁵
91.000		35.01	03/25/20	73.01	...	-38.00	35 of 65 - Sale ²⁵
116.000		44.63	03/25/20	81.45	...	-36.82	36 of 65 - Sale ²⁵
85.000		32.71	03/25/20	68.20	...	-35.49	37 of 65 - Sale ²⁵
83.000		31.94	03/25/20	66.59	...	-34.65	38 of 65 - Sale ²⁵
75.000		28.86	03/25/20	58.65	...	-29.79	39 of 65 - Sale ²⁵
84.000		32.32	03/25/20	58.99	...	-26.67	40 of 65 - Sale ²⁵
60.000		23.09	03/25/20	48.13	...	-25.04	41 of 65 - Sale ²⁵
76.000		29.24	03/25/20	53.00	...	-23.76	42 of 65 - Sale ²⁵
53.000		20.39	03/25/20	41.45	...	-21.06	43 of 65 - Sale ²⁵
52.000		20.01	03/25/20	40.69	...	-20.68	44 of 65 - Sale ²⁵
50.000		19.24	03/25/20	39.37	...	-20.13	45 of 65 - Sale ²⁵
47.000		18.08	03/25/20	36.75	...	-18.67	46 of 65 - Sale ²⁵
70.000		26.93	03/25/20	45.35	...	-18.42	47 of 65 - Sale ²⁵
48.000		18.47	03/25/20	36.81	...	-18.34	48 of 65 - Sale ²⁵
40.000		15.39	03/25/20	32.08	...	-16.69	49 of 65 - Sale ²⁵
30.000		11.54	03/25/20	28.12	...	-16.58	50 of 65 - Sale ²⁵
32.000		12.31	03/25/20	25.66	...	-13.35	51 of 65 - Sale ²⁵
47.000		18.08	03/25/20	30.43	...	-12.35	52 of 65 - Sale ²⁵
28.000		10.77	03/25/20	21.90	...	-11.13	53 of 65 - Sale ²⁵
22.000		8.47	03/25/20	17.20	...	-8.73	54 of 65 - Sale ²⁵
19.000		7.31	03/25/20	15.24	...	-7.93	55 of 65 - Sale ²⁵
13.000		5.00	03/25/20	10.44	...	-5.44	56 of 65 - Sale ²⁵
12.000		4.62	03/25/20	9.62	...	-5.00	57 of 65 - Sale ²⁵
13.000		5.00	03/25/20	9.97	...	-4.97	58 of 65 - Sale ²⁵
46.000		17.70	03/25/20	22.61	...	-4.91	59 of 65 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	8.000	3.08	03/25/20	6.40	...	-3.32	60 of 65 - Sale ²⁵
	10.000	3.85	03/25/20	6.97	...	-3.12	61 of 65 - Sale ²⁵
	2.000	0.77	03/25/20	1.29	...	-0.52	62 of 65 - Sale ²⁵
	1.000	0.38	03/25/20	0.78	...	-0.40	63 of 65 - Sale ²⁵
	1.000	0.38	03/25/20	0.78	...	-0.40	64 of 65 - Sale ²⁵
	1,276.000	490.97	03/25/20	408.45	...	82.52	65 of 65 - Sale ²⁵
03/31/20	11,148.000	4,289.59	Various	7,629.56	...	-3,339.97	Total of 65 transactions
	102 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	1.000	0.38	03/23/20	0.90	0.52 W	0.00	1 of 102 - Sale ²⁵
	1.000	0.38	03/23/20	0.90	0.52 W	0.00	2 of 102 - Sale ²⁵
	10.000	3.85	03/23/20	8.92	5.07 W	0.00	3 of 102 - Sale ²⁵
	13.000	5.00	03/23/20	11.65	6.65 W	0.00	4 of 102 - Sale ²⁵
	22.000	8.47	03/23/20	20.06	11.59 W	0.00	5 of 102 - Sale ²⁵
	48.000	18.47	03/23/20	43.03	24.56 W	0.00	6 of 102 - Sale ²⁵
	113.000	43.49	03/23/20	100.76	57.27 W	0.00	7 of 102 - Sale ²⁵
	165.000	63.50	03/23/20	147.07	83.57 W	0.00	8 of 102 - Sale ²⁵
	263.000	101.22	03/23/20	235.74	134.52 W	0.00	9 of 102 - Sale ²⁵
	365.000	140.48	03/23/20	327.16	186.68 W	0.00	10 of 102 - Sale ²⁵
	388.000	149.33	03/23/20	347.78	198.45 W	0.00	11 of 102 - Sale ²⁵
	410.000	157.80	03/23/20	365.49	207.69 W	0.00	12 of 102 - Sale ²⁵
	563.000	216.68	03/23/20	504.65	287.97 W	0.00	13 of 102 - Sale ²⁵
	30.000	11.55	03/23/20	33.95	22.40 W	0.00	14 of 102 - Sale ²⁵
	122.000	46.95	03/23/20	138.11	91.16 W	0.00	15 of 102 - Sale ²⁵
	200.000	76.97	03/23/20	226.42	149.45 W	0.00	16 of 102 - Sale ²⁵
	238.000	91.60	03/23/20	269.43	177.83 W	0.00	17 of 102 - Sale ²⁵
	240.000	92.37	03/23/20	271.70	179.33 W	0.00	18 of 102 - Sale ²⁵
	368.000	141.63	03/23/20	416.61	274.98 W	0.00	19 of 102 - Sale ²⁵
	400.000	153.95	03/23/20	452.83	298.88 W	0.00	20 of 102 - Sale ²⁵
	70.000	26.94	03/23/20	58.92	31.98 W	0.00	21 of 102 - Sale ²⁵
	170.000	65.43	03/23/20	143.08	77.65 W	0.00	22 of 102 - Sale ²⁵
	432.000	166.27	03/23/20	363.60	197.33 W	0.00	23 of 102 - Sale ²⁵
	28.000	10.78	03/23/20	25.53	14.75 W	0.00	24 of 102 - Sale ²⁵
	52.000	20.01	03/23/20	47.41	27.40 W	0.00	25 of 102 - Sale ²⁵
	100.000	38.49	03/23/20	91.16	52.67 W	0.00	26 of 102 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
100.000		38.49	03/23/20	91.15	52.66 W	0.00	27 of 102 - Sale ²⁵
798.000		307.13	03/23/20	727.48	420.35 W	0.00	28 of 102 - Sale ²⁵
46.000		17.70	03/23/20	28.57	10.87 W	0.00	29 of 102 - Sale ²⁵
304.000		116.97	03/25/20	233.14	67.26 W	-48.91	30 of 102 - Sale ²⁵
8.000		3.08	03/25/20	6.92	3.84 W	0.00	31 of 102 - Sale ²⁵
13.000		5.00	03/25/20	11.28	6.28 W	0.00	32 of 102 - Sale ²⁵
19.000		7.31	03/25/20	16.47	9.16 W	0.00	33 of 102 - Sale ²⁵
32.000		12.32	03/25/20	27.74	15.42 W	0.00	34 of 102 - Sale ²⁵
128.000		49.26	03/25/20	108.39	59.13 W	0.00	35 of 102 - Sale ²⁵
12.000		4.62	03/25/20	10.40	5.78 W	0.00	36 of 102 - Sale ²⁵
170.000		65.43	03/25/20	143.95	78.52 W	0.00	37 of 102 - Sale ²⁵
176.000		67.74	03/25/20	152.61	84.87 W	0.00	38 of 102 - Sale ²⁵
230.000		88.52	03/25/20	194.75	106.23 W	0.00	39 of 102 - Sale ²⁵
294.000		113.16	03/25/20	254.92	141.76 W	0.00	40 of 102 - Sale ²⁵
324.000		124.70	03/25/20	280.93	156.23 W	0.00	41 of 102 - Sale ²⁵
330.000		127.01	03/25/20	279.42	152.41 W	0.00	42 of 102 - Sale ²⁵
468.000		180.12	03/25/20	405.78	225.66 W	0.00	43 of 102 - Sale ²⁵
30.000		11.54	03/25/20	32.00	20.46 W	0.00	44 of 102 - Sale ²⁵
122.000		46.94	03/25/20	130.21	83.27 W	0.00	45 of 102 - Sale ²⁵
200.000		76.95	03/25/20	213.47	136.52 W	0.00	46 of 102 - Sale ²⁵
238.000		91.57	03/25/20	254.01	162.44 W	0.00	47 of 102 - Sale ²⁵
240.000		92.35	03/25/20	256.15	163.80 W	0.00	48 of 102 - Sale ²⁵
368.000		141.60	03/25/20	392.78	251.18 W	0.00	49 of 102 - Sale ²⁵
400.000		153.91	03/25/20	426.92	273.01 W	0.00	50 of 102 - Sale ²⁵
2.000		0.77	03/25/20	1.55	0.78 W	0.00	51 of 102 - Sale ²⁵
47.000		18.09	03/25/20	36.51	18.42 W	0.00	52 of 102 - Sale ²⁵
200.000		76.96	03/25/20	155.38	78.42 W	0.00	53 of 102 - Sale ²⁵
70.000		26.93	03/25/20	54.39	27.46 W	0.00	54 of 102 - Sale ²⁵
170.000		65.41	03/25/20	132.07	66.66 W	0.00	55 of 102 - Sale ²⁵
183.000		70.41	03/25/20	142.17	71.76 W	0.00	56 of 102 - Sale ²⁵
1.000		0.38	03/25/20	0.84	0.46 W	0.00	57 of 102 - Sale ²⁵
1.000		0.38	03/25/20	0.84	0.46 W	0.00	58 of 102 - Sale ²⁵
10.000		3.85	03/25/20	8.27	4.42 W	0.00	59 of 102 - Sale ²⁵
13.000		5.00	03/25/20	10.81	5.81 W	0.00	60 of 102 - Sale ²⁵

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Account 114832611

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2020 1099-B* OMB No. 1545-0715

(continued)

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	22.000	8.47	03/25/20	18.63	10.16 W	0.00	61 of 102 - Sale ²⁵
	28.000	10.77	03/25/20	23.71	12.94 W	0.00	62 of 102 - Sale ²⁵
	48.000	18.47	03/25/20	39.92	21.45 W	0.00	63 of 102 - Sale ²⁵
	100.000	38.48	03/25/20	84.68	46.20 W	0.00	64 of 102 - Sale ²⁵
	113.000	43.48	03/25/20	93.44	49.96 W	0.00	65 of 102 - Sale ²⁵
	165.000	63.49	03/25/20	136.39	72.90 W	0.00	66 of 102 - Sale ²⁵
	263.000	101.19	03/25/20	218.71	117.52 W	0.00	67 of 102 - Sale ²⁵
	365.000	140.44	03/25/20	303.52	163.08 W	0.00	68 of 102 - Sale ²⁵
	388.000	149.29	03/25/20	322.65	173.36 W	0.00	69 of 102 - Sale ²⁵
	410.000	157.76	03/25/20	338.93	181.17 W	0.00	70 of 102 - Sale ²⁵
	563.000	216.63	03/25/20	468.19	251.56 W	0.00	71 of 102 - Sale ²⁵
	687.000	264.34	03/25/20	581.79	317.45 W	0.00	72 of 102 - Sale ²⁵
	52.000	20.01	03/25/20	44.05	24.04 W	0.00	73 of 102 - Sale ²⁵
	100.000	38.48	03/25/20	84.67	46.19 W	0.00	74 of 102 - Sale ²⁵
	111.000	42.71	03/25/20	94.00	51.29 W	0.00	75 of 102 - Sale ²⁵
	40.000	15.39	03/25/20	34.67	19.28 W	0.00	76 of 102 - Sale ²⁵
	60.000	23.09	03/25/20	52.01	28.92 W	0.00	77 of 102 - Sale ²⁵
	154.000	59.25	03/25/20	133.53	74.28 W	0.00	78 of 102 - Sale ²⁵
	350.000	134.67	03/25/20	303.45	168.78 W	0.00	79 of 102 - Sale ²⁵
	50.000	19.24	03/25/20	42.60	23.36 W	0.00	80 of 102 - Sale ²⁵
	110.000	42.32	03/25/20	93.69	51.37 W	0.00	81 of 102 - Sale ²⁵
	46.000	17.70	03/25/20	25.59	7.89 W	0.00	82 of 102 - Sale ²⁵
	30.000	11.54	03/25/20	30.06	18.52 W	0.00	83 of 102 - Sale ²⁵
	122.000	46.94	03/25/20	122.32	75.38 W	0.00	84 of 102 - Sale ²⁵
	200.000	76.95	03/25/20	200.54	123.59 W	0.00	85 of 102 - Sale ²⁵
	238.000	91.58	03/25/20	238.62	147.04 W	0.00	86 of 102 - Sale ²⁵
	240.000	92.34	03/25/20	240.63	148.29 W	0.00	87 of 102 - Sale ²⁵
	368.000	141.60	03/25/20	368.98	227.38 W	0.00	88 of 102 - Sale ²⁵
	400.000	153.91	03/25/20	401.05	247.14 W	0.00	89 of 102 - Sale ²⁵
	2.000	0.77	03/25/20	1.42	0.65 W	0.00	90 of 102 - Sale ²⁵
	47.000	18.08	03/25/20	33.46	15.38 W	0.00	91 of 102 - Sale ²⁵
	70.000	26.93	03/25/20	49.87	22.94 W	0.00	92 of 102 - Sale ²⁵
	170.000	65.41	03/25/20	121.08	55.67 W	0.00	93 of 102 - Sale ²⁵
	183.000	70.41	03/25/20	130.34	59.93 W	0.00	94 of 102 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	200.000	76.96	03/25/20	142.44	65.48 W	0.00	95 of 102 - Sale ²⁵
	334.000	128.52	03/25/20	254.50	125.98 W	0.00	96 of 102 - Sale ²⁵
	10.000	3.85	03/25/20	7.62	3.77 W	0.00	97 of 102 - Sale ²⁵
	165.000	63.49	03/25/20	125.72	62.23 W	0.00	98 of 102 - Sale ²⁵
	249.000	95.81	03/25/20	190.96	95.15 W	0.00	99 of 102 - Sale ²⁵
	76.000	29.24	03/25/20	57.91	28.67 W	0.00	100 of 102 - Sale ²⁵
	116.000	44.64	03/25/20	88.96	44.32 W	0.00	101 of 102 - Sale ²⁵
	84.000	32.32	03/25/20	64.42	32.10 W	0.00	102 of 102 - Sale ²⁵
03/31/20	18,088.000	6,960.55	Various	16,284.85	9,275.39 W	-48.91	Total of 102 transactions
	Security total:	67,978.95		91,381.29	27,501.73 W	4,099.39	
EMAGIN CORPORATION / CUSIP: 29076N206 / Symbol:							
05/08/20	892.000	481.30	05/08/20	472.85	...	8.45	Sale ²⁵
	3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	50.000	26.98	05/08/20	26.51	...	0.47	1 of 3 - Sale ²⁵
	88.000	47.48	05/08/20	46.65	...	0.83	2 of 3 - Sale ²⁵
	3,970.000	2,142.09	05/08/20	2,104.50	...	37.59	3 of 3 - Sale ²⁵
05/11/20	4,108.000	2,216.55	Various	2,177.66	...	38.89	Total of 3 transactions
	5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	1,613.000	965.97	05/19/20	927.48	...	38.49	1 of 5 - Sale ²⁵
	3,787.000	2,267.91	05/19/20	2,177.53	...	90.38	2 of 5 - Sale ²⁵
	4,600.000	2,754.78	05/19/20	2,645.00	...	109.78	3 of 5 - Sale ²⁵
	4,600.000	2,758.92	05/19/20	2,576.46	...	182.46	4 of 5 - Sale ²⁵
	5,400.000	3,238.74	05/19/20	3,024.54	...	214.20	5 of 5 - Sale ²⁵
06/01/20	20,000.000	11,986.32	Various	11,351.01	...	635.31	Total of 5 transactions
	20 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	620.000	371.29	05/22/20	328.72	...	42.57	1 of 20 - Sale ²⁵
	793.000	474.90	05/22/20	420.45	...	54.45	2 of 20 - Sale ²⁵
	3,587.000	2,148.14	05/22/20	1,901.83	...	246.31	3 of 20 - Sale ²⁵
	1.000	0.60	05/26/20	0.52	...	0.08	4 of 20 - Sale ²⁵
	379.000	226.97	05/26/20	197.27	...	29.70	5 of 20 - Sale ²⁵
	999.000	598.27	05/26/20	519.98	...	78.29	6 of 20 - Sale ²⁵
	8,621.000	5,162.83	05/26/20	4,487.23	...	675.60	7 of 20 - Sale ²⁵
	1.000	0.60	05/27/20	0.50	...	0.10	8 of 20 - Sale ²⁵

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EMAGIN CORPORATION / CUSIP: 29076N206 / Symbol: (cont'd)							
	27.000	16.17	05/27/20	13.51	...	2.66	9 of 20 - Sale ²⁵
	100.000	59.89	05/27/20	50.05	...	9.84	10 of 20 - Sale ²⁵
	100.000	59.89	05/27/20	50.05	...	9.84	11 of 20 - Sale ²⁵
	147.000	88.03	05/27/20	73.57	...	14.46	12 of 20 - Sale ²⁵
	253.000	151.51	05/27/20	126.63	...	24.88	13 of 20 - Sale ²⁵
	400.000	239.54	05/27/20	200.20	...	39.34	14 of 20 - Sale ²⁵
	400.000	239.54	05/27/20	200.20	...	39.34	15 of 20 - Sale ²⁵
	400.000	239.55	05/27/20	200.20	...	39.35	16 of 20 - Sale ²⁵
	400.000	239.55	05/27/20	200.20	...	39.35	17 of 20 - Sale ²⁵
	400.000	239.55	05/27/20	200.20	...	39.35	18 of 20 - Sale ²⁵
	473.000	283.26	05/27/20	236.74	...	46.52	19 of 20 - Sale ²⁵
	600.000	359.32	05/27/20	300.30	...	59.02	20 of 20 - Sale ²⁵
06/04/20	18,701.000	11,199.40	Various	9,708.35	...	1,491.05	Total of 20 transactions
	Security total:	25,883.57		23,709.87	...	2,173.70	
ENTASIS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 293614103 / Symbol:							
5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	200.000	365.96	12/02/20	350.00	...	15.96	1 of 5 - Sale ²⁵
	400.000	731.93	12/02/20	700.00	...	31.93	2 of 5 - Sale ²⁵
	600.000	1,097.90	12/02/20	1,050.00	...	47.90	3 of 5 - Sale ²⁵
	600.000	1,097.90	12/02/20	1,050.00	...	47.90	4 of 5 - Sale ²⁵
	700.000	1,280.89	12/02/20	1,225.00	...	55.89	5 of 5 - Sale ²⁵
12/08/20	2,500.000	4,574.58	Various	4,375.00	...	199.58	Total of 5 transactions
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
07/13/20	5,000.000	2,198.35	06/18/20	2,150.00	...	48.35	Sale ²⁵
HTG MOLECULAR DIAGNOSTICS, INC . COMMON STOCK / CUSIP: 40434H104 / Symbol:							
9 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	522.000	339.08	07/10/20	329.02	...	10.06	1 of 9 - Sale ²⁵
	1,400.000	909.38	07/10/20	882.42	...	26.96	2 of 9 - Sale ²⁵
	3,078.000	1,999.37	07/10/20	1,940.06	...	59.31	3 of 9 - Sale ²⁵
	108.000	70.15	07/13/20	64.83	...	5.32	4 of 9 - Sale ²⁵
	300.000	194.87	07/13/20	180.09	...	14.78	5 of 9 - Sale ²⁵

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HTG MOLECULAR DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 40434H104 / Symbol: (cont'd)							
	385.000	250.08	07/13/20	231.12	...	18.96	6 of 9 - Sale ²⁵
	900.000	584.61	07/13/20	540.27	...	44.34	7 of 9 - Sale ²⁵
	1,080.000	701.53	07/13/20	648.32	...	53.21	8 of 9 - Sale ²⁵
	2,227.000	1,446.59	07/13/20	1,336.87	...	109.72	9 of 9 - Sale ²⁵
07/17/20	10,000.000	6,495.66	Various	6,153.00	...	342.66	Total of 9 transactions
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol:							
42 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	30.000	29.09	06/10/20	24.62	...	4.47	1 of 42 - Sale ²⁵
	100.000	96.94	06/10/20	82.05	...	14.89	2 of 42 - Sale ²⁵
	1,500.000	1,454.04	06/10/20	1,230.75	...	223.29	3 of 42 - Sale ²⁵
	2,000.000	1,938.71	06/10/20	1,641.00	...	297.71	4 of 42 - Sale ²⁵
	2,370.000	2,297.38	06/10/20	1,944.58	...	352.80	5 of 42 - Sale ²⁵
	4,030.000	3,906.52	06/10/20	3,306.62	...	599.90	6 of 42 - Sale ²⁵
	4,970.000	4,817.71	06/10/20	4,077.88	...	739.83	7 of 42 - Sale ²⁵
	100.000	96.94	06/11/20	75.02	...	21.92	8 of 42 - Sale ²⁵
	1,067.000	1,034.31	06/11/20	800.46	...	233.85	9 of 42 - Sale ²⁵
	1,433.000	1,389.09	06/11/20	1,075.04	...	314.05	10 of 42 - Sale ²⁵
	2,400.000	2,326.46	06/11/20	1,800.48	...	525.98	11 of 42 - Sale ²⁵
	100.000	97.97	06/12/20	73.02	...	24.95	12 of 42 - Sale ²⁵
	100.000	97.97	06/12/20	73.02	...	24.95	13 of 42 - Sale ²⁵
	100.000	97.97	06/12/20	73.02	...	24.95	14 of 42 - Sale ²⁵
	100.000	97.97	06/12/20	73.02	...	24.95	15 of 42 - Sale ²⁵
	121.000	118.54	06/12/20	88.35	...	30.19	16 of 42 - Sale ²⁵
	179.000	175.36	06/12/20	130.71	...	44.65	17 of 42 - Sale ²⁵
	224.000	217.14	06/12/20	163.56	...	53.58	18 of 42 - Sale ²⁵
	421.000	412.43	06/12/20	307.42	...	105.01	19 of 42 - Sale ²⁵
	579.000	567.22	06/12/20	422.79	...	144.43	20 of 42 - Sale ²⁵
	800.000	783.72	06/12/20	584.16	...	199.56	21 of 42 - Sale ²⁵
	2,276.000	2,206.26	06/12/20	1,661.94	...	544.32	22 of 42 - Sale ²⁵
	2,500.000	2,423.39	06/12/20	1,876.75	...	546.64	23 of 42 - Sale ²⁵
	200.000	195.94	06/17/20	146.04	...	49.90	24 of 42 - Sale ²⁵
	400.000	391.87	06/17/20	292.08	...	99.79	25 of 42 - Sale ²⁵
	400.000	391.87	06/17/20	292.08	...	99.79	26 of 42 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	500.000	489.84	06/17/20	365.10	...	124.74	27 of 42 - Sale ²⁵
	500.000	489.84	06/17/20	365.10	...	124.74	28 of 42 - Sale ²⁵
	500.000	489.84	06/17/20	365.10	...	124.74	29 of 42 - Sale ²⁵
	500.000	489.84	06/17/20	365.10	...	124.74	30 of 42 - Sale ²⁵
	500.000	489.84	06/17/20	365.10	...	124.74	31 of 42 - Sale ²⁵
	500.000	489.84	06/17/20	365.10	...	124.74	32 of 42 - Sale ²⁵
	500.000	489.84	06/17/20	365.10	...	124.74	33 of 42 - Sale ²⁵
	500.000	489.84	06/17/20	365.10	...	124.74	34 of 42 - Sale ²⁵
	1.000	0.98	06/24/20	0.72	...	0.26	35 of 42 - Sale ²⁵
	200.000	195.94	06/24/20	144.06	...	51.88	36 of 42 - Sale ²⁵
	200.000	195.94	06/24/20	144.06	...	51.88	37 of 42 - Sale ²⁵
	227.000	222.38	06/24/20	163.51	...	58.87	38 of 42 - Sale ²⁵
	300.000	293.90	06/24/20	216.09	...	77.81	39 of 42 - Sale ²⁵
	500.000	489.84	06/24/20	360.15	...	129.69	40 of 42 - Sale ²⁵
	500.000	489.84	06/24/20	360.15	...	129.69	41 of 42 - Sale ²⁵
	500.000	489.84	06/24/20	360.15	...	129.69	42 of 42 - Sale ²⁵
06/26/20	34,928.000	33,960.19	Various	26,986.15	...	6,974.04	Total of 42 transactions
	11 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	40.000	39.19	06/24/20	28.02	...	11.17	1 of 11 - Sale ²⁵
	52.000	50.94	06/24/20	36.42	...	14.52	2 of 11 - Sale ²⁵
	109.000	106.78	06/24/20	76.34	...	30.44	3 of 11 - Sale ²⁵
	150.000	146.95	06/24/20	105.06	...	41.89	4 of 11 - Sale ²⁵
	348.000	340.92	06/24/20	243.74	...	97.18	5 of 11 - Sale ²⁵
	448.000	438.88	06/24/20	313.78	...	125.10	6 of 11 - Sale ²⁵
	852.000	834.66	06/24/20	596.74	...	237.92	7 of 11 - Sale ²⁵
	952.000	932.63	06/24/20	666.78	...	265.85	8 of 11 - Sale ²⁵
	1,001.000	980.64	06/24/20	701.10	...	279.54	9 of 11 - Sale ²⁵
	1,048.000	1,026.68	06/24/20	734.02	...	292.66	10 of 11 - Sale ²⁵
	7,572.000	7,417.22	06/24/20	5,454.11	...	1,963.11	11 of 11 - Sale ²⁵
06/29/20	12,572.000	12,315.49	Various	8,956.11	...	3,359.38	Total of 11 transactions
	21 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	30.000	26.69	06/30/20	24.91	...	1.78	1 of 21 - Sale ²⁵
	40.000	35.58	06/30/20	33.21	...	2.37	2 of 21 - Sale ²⁵
	43.000	38.26	06/30/20	35.70	...	2.56	3 of 21 - Sale ²⁵

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(continued)

02/14/2021

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	69.000	61.38	06/30/20	57.29	...	4.09	4 of 21 - Sale ²⁵
	76.000	67.61	06/30/20	63.11	...	4.50	5 of 21 - Sale ²⁵
	83.000	73.83	06/30/20	68.91	...	4.92	6 of 21 - Sale ²⁵
	100.000	88.95	06/30/20	83.03	...	5.92	7 of 21 - Sale ²⁵
	100.000	88.96	06/30/20	83.03	...	5.93	8 of 21 - Sale ²⁵
	101.000	89.84	06/30/20	83.86	...	5.98	9 of 21 - Sale ²⁵
	127.000	112.97	06/30/20	105.45	...	7.52	10 of 21 - Sale ²⁵
	203.000	180.58	06/30/20	168.55	...	12.03	11 of 21 - Sale ²⁵
	313.000	278.43	06/30/20	259.88	...	18.55	12 of 21 - Sale ²⁵
	341.000	303.34	06/30/20	283.13	...	20.21	13 of 21 - Sale ²⁵
	387.000	344.26	06/30/20	321.33	...	22.93	14 of 21 - Sale ²⁵
	500.000	444.78	06/30/20	415.15	...	29.63	15 of 21 - Sale ²⁵
	500.000	444.78	06/30/20	415.15	...	29.63	16 of 21 - Sale ²⁵
	500.000	444.78	06/30/20	415.15	...	29.63	17 of 21 - Sale ²⁵
	500.000	444.78	06/30/20	415.15	...	29.63	18 of 21 - Sale ²⁵
	500.000	444.78	06/30/20	415.15	...	29.63	19 of 21 - Sale ²⁵
	500.000	444.78	06/30/20	415.15	...	29.63	20 of 21 - Sale ²⁵
	1,280.000	1,138.63	06/30/20	1,062.78	...	75.85	21 of 21 - Sale ²⁵
07/01/20	6,293.000	5,597.99	Various	5,225.07	...	372.92	Total of 21 transactions
	6 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	349.000	338.42	06/30/20	289.78	...	48.64	1 of 6 - Sale ²⁵
	1,307.000	1,267.34	06/30/20	1,085.20	...	182.14	2 of 6 - Sale ²⁵
	2,051.000	1,988.77	06/30/20	1,702.95	...	285.82	3 of 6 - Sale ²⁵
	1,200.000	1,163.59	07/01/20	984.36	...	179.23	4 of 6 - Sale ²⁵
	2,400.000	2,327.17	07/01/20	1,968.72	...	358.45	5 of 6 - Sale ²⁵
	2,400.000	2,327.17	07/01/20	1,968.72	...	358.45	6 of 6 - Sale ²⁵
07/10/20	9,707.000	9,412.46	Various	7,999.73	...	1,412.73	Total of 6 transactions
	21 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	27.000	32.40	07/15/20	29.70	...	2.70	1 of 21 - Sale ²⁵
	100.000	119.99	07/15/20	110.00	...	9.99	2 of 21 - Sale ²⁵
	100.000	119.99	07/15/20	110.00	...	9.99	3 of 21 - Sale ²⁵
	100.000	119.99	07/15/20	110.00	...	9.99	4 of 21 - Sale ²⁵
	100.000	119.99	07/15/20	110.00	...	9.99	5 of 21 - Sale ²⁵
	100.000	119.99	07/15/20	110.00	...	9.99	6 of 21 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	100.000	119.99	07/15/20	110.00	...	9.99	7 of 21 - Sale ²⁵
	100.000	119.99	07/15/20	110.00	...	9.99	8 of 21 - Sale ²⁵
	100.000	119.99	07/15/20	110.00	...	9.99	9 of 21 - Sale ²⁵
	299.000	358.76	07/15/20	328.90	...	29.86	10 of 21 - Sale ²⁵
	300.000	359.96	07/15/20	330.00	...	29.96	11 of 21 - Sale ²⁵
	300.000	359.96	07/15/20	330.00	...	29.96	12 of 21 - Sale ²⁵
	300.000	359.96	07/15/20	330.00	...	29.96	13 of 21 - Sale ²⁵
	300.000	359.96	07/15/20	330.00	...	29.96	14 of 21 - Sale ²⁵
	300.000	359.96	07/15/20	330.00	...	29.96	15 of 21 - Sale ²⁵
	474.000	568.72	07/15/20	521.40	...	47.32	16 of 21 - Sale ²⁵
	600.000	719.91	07/15/20	660.00	...	59.91	17 of 21 - Sale ²⁵
	700.000	839.90	07/15/20	770.00	...	69.90	18 of 21 - Sale ²⁵
	800.000	959.87	07/15/20	880.00	...	79.87	19 of 21 - Sale ²⁵
	800.000	959.87	07/15/20	880.00	...	79.87	20 of 21 - Sale ²⁵
	4,000.000	4,799.41	07/15/20	4,400.00	...	399.41	21 of 21 - Sale ²⁵
07/15/20	10,000.000	11,998.56	Various	11,000.00	...	998.56	Total of 21 transactions
	Security total:	73,284.69		60,167.06	...	13,117.63	
HEPION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 426897104 / Symbol:							
12/08/20	11.000	18.26	12/01/20	18.04	...	0.22	Sale ²⁵
HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol:							
5 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	3,000.000	599.32	01/07/20	720.30	120.98 W	0.00	1 of 5 - Sale ²⁵
	50.000	9.99	01/07/20	12.01	2.02 W	0.00	2 of 5 - Sale ²⁵
	2,500.000	499.45	01/07/20	600.25	100.80 W	0.00	3 of 5 - Sale ²⁵
	30.000	6.00	01/07/20	7.20	1.20 W	0.00	4 of 5 - Sale ²⁵
	4,420.000	883.01	01/07/20	1,061.24	178.23 W	0.00	5 of 5 - Sale ²⁵
01/08/20	10,000.000	1,997.77	Various	2,401.00	403.23 W	0.00	Total of 5 transactions
5 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	30.000	5.20	01/07/20	8.40	3.20 W	0.00	1 of 5 - Sale ²⁵
	50.000	8.66	01/07/20	14.03	5.37 W	0.00	2 of 5 - Sale ²⁵
	2,500.000	432.94	01/07/20	701.05	268.11 W	0.00	3 of 5 - Sale ²⁵
	3,000.000	519.53	01/07/20	841.28	321.75 W	0.00	4 of 5 - Sale ²⁵

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(continued)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
01/10/20	3,420.000	592.26	01/07/20	959.05	366.79 W	0.00	5 of 5 - Sale ²⁵
	9,000.000	1,558.59	Various	2,523.81	965.22 W	0.00	Total of 5 transactions
	22 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	1,000.000	119.78	01/07/20	280.42	...	-160.64	1 of 22 - Sale ²⁵
	3,000.000	359.33	01/08/20	892.05	...	-532.72	2 of 22 - Sale ²⁵
	2,500.000	299.45	01/08/20	743.36	...	-443.91	3 of 22 - Sale ²⁵
	2,000.000	239.56	01/08/20	594.70	...	-355.14	4 of 22 - Sale ²⁵
	956.000	114.51	01/08/20	284.26	...	-169.75	5 of 22 - Sale ²⁵
	464.000	55.58	01/08/20	137.97	...	-82.39	6 of 22 - Sale ²⁵
	379.000	49.15	01/08/20	72.04	...	-22.89	7 of 22 - Sale ²⁵
	300.000	38.90	01/08/20	57.03	...	-18.13	8 of 22 - Sale ²⁵
	175.000	22.70	01/08/20	33.27	...	-10.57	9 of 22 - Sale ²⁵
	50.000	5.99	01/08/20	14.88	...	-8.89	10 of 22 - Sale ²⁵
	30.000	3.59	01/08/20	8.90	...	-5.31	11 of 22 - Sale ²⁵
	80.000	10.37	01/08/20	15.21	...	-4.84	12 of 22 - Sale ²⁵
	50.000	6.49	01/08/20	9.51	...	-3.02	13 of 22 - Sale ²⁵
	15.000	1.95	01/08/20	2.85	...	-0.90	14 of 22 - Sale ²⁵
	1.000	0.13	01/08/20	0.19	...	-0.06	15 of 22 - Sale ²⁵
	4,001.000	518.83	01/10/20	693.37	...	-174.54	16 of 22 - Sale ²⁵
	1,678.000	217.60	01/10/20	290.80	...	-73.20	17 of 22 - Sale ²⁵
	1,000.000	129.68	01/10/20	173.30	...	-43.62	18 of 22 - Sale ²⁵
	900.000	116.71	01/10/20	155.97	...	-39.26	19 of 22 - Sale ²⁵
	621.000	80.53	01/10/20	107.62	...	-27.09	20 of 22 - Sale ²⁵
	600.000	77.81	01/10/20	103.98	...	-26.17	21 of 22 - Sale ²⁵
	200.000	25.94	01/10/20	34.66	...	-8.72	22 of 22 - Sale ²⁵
03/30/20	20,000.000	2,494.58	Various	4,706.34	...	-2,211.76	Total of 22 transactions
	30 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	1.000	0.16	04/30/20	0.15	...	0.01	1 of 30 - Sale ²⁵
	20.000	3.20	04/30/20	3.09	...	0.11	2 of 30 - Sale ²⁵
	21.000	3.36	04/30/20	3.24	...	0.12	3 of 30 - Sale ²⁵
	52.000	8.30	04/30/20	8.09	...	0.21	4 of 30 - Sale ²⁵
	100.000	15.97	04/30/20	15.60	...	0.37	5 of 30 - Sale ²⁵
	100.000	15.97	04/30/20	15.60	...	0.37	6 of 30 - Sale ²⁵
	100.000	15.97	04/30/20	15.59	...	0.38	7 of 30 - Sale ²⁵

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	100.000	15.97	04/30/20	15.59	...	0.38	8 of 30 - Sale ²⁵
	100.000	15.97	04/30/20	15.58	...	0.39	9 of 30 - Sale ²⁵
	100.000	15.97	04/30/20	15.57	...	0.40	10 of 30 - Sale ²⁵
	100.000	15.97	04/30/20	15.57	...	0.40	11 of 30 - Sale ²⁵
	100.000	15.97	04/30/20	15.57	...	0.40	12 of 30 - Sale ²⁵
	100.000	15.97	04/30/20	15.56	...	0.41	13 of 30 - Sale ²⁵
	100.000	15.97	04/30/20	15.41	...	0.56	14 of 30 - Sale ²⁵
	110.000	17.56	04/30/20	16.95	...	0.61	15 of 30 - Sale ²⁵
	200.000	31.93	04/30/20	31.17	...	0.76	16 of 30 - Sale ²⁵
	200.000	31.93	04/30/20	31.14	...	0.79	17 of 30 - Sale ²⁵
	200.000	31.93	04/30/20	31.14	...	0.79	18 of 30 - Sale ²⁵
	200.000	31.94	04/30/20	31.14	...	0.80	19 of 30 - Sale ²⁵
	200.000	31.94	04/30/20	31.13	...	0.81	20 of 30 - Sale ²⁵
	248.000	39.60	04/30/20	38.63	...	0.97	21 of 30 - Sale ²⁵
	200.000	31.94	04/30/20	30.88	...	1.06	22 of 30 - Sale ²⁵
	300.000	47.90	04/30/20	46.72	...	1.18	23 of 30 - Sale ²⁵
	500.000	79.84	04/30/20	77.87	...	1.97	24 of 30 - Sale ²⁵
	380.000	60.68	04/30/20	58.68	...	2.00	25 of 30 - Sale ²⁵
	400.000	63.87	04/30/20	61.77	...	2.10	26 of 30 - Sale ²⁵
	500.000	79.84	04/30/20	77.23	...	2.61	27 of 30 - Sale ²⁵
	1,152.000	183.94	04/30/20	177.52	...	6.42	28 of 30 - Sale ²⁵
	4,390.000	700.98	04/30/20	676.50	...	24.48	29 of 30 - Sale ²⁵
	4,468.000	713.43	04/30/20	688.52	...	24.91	30 of 30 - Sale ²⁵
04/30/20	14,742.000	2,353.97	Various	2,277.20	...	76.77	Total of 30 transactions
<i>78 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	15.38	04/30/20	15.41	0.03 W	0.00	1 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.41	0.03 W	0.00	2 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.41	0.03 W	0.00	3 of 78 - Sale ²⁵
	800.000	123.02	04/30/20	123.28	0.26 W	0.00	4 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.44	0.06 W	0.00	5 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.44	0.06 W	0.00	6 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.44	0.06 W	0.00	7 of 78 - Sale ²⁵
	1,100.000	169.15	04/30/20	169.51	0.36 W	0.00	8 of 78 - Sale ²⁵
	500.000	76.89	04/30/20	77.05	0.16 W	0.00	9 of 78 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	300.000	46.13	04/30/20	46.23	0.10 W	0.00	10 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.47	0.09 W	0.00	11 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.47	0.09 W	0.00	12 of 78 - Sale ²⁵
	100.000	15.37	04/30/20	15.47	0.10 W	0.00	13 of 78 - Sale ²⁵
	800.000	123.03	04/30/20	123.54	0.51 W	0.00	14 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.44	0.06 W	0.00	15 of 78 - Sale ²⁵
	500.000	76.89	04/30/20	77.21	0.32 W	0.00	16 of 78 - Sale ²⁵
	1,000.000	153.78	04/30/20	154.43	0.65 W	0.00	17 of 78 - Sale ²⁵
	300.000	46.13	04/30/20	46.33	0.20 W	0.00	18 of 78 - Sale ²⁵
	600.000	92.27	04/30/20	92.84	0.57 W	0.00	19 of 78 - Sale ²⁵
	200.000	30.76	04/30/20	30.95	0.19 W	0.00	20 of 78 - Sale ²⁵
	700.000	107.64	04/30/20	108.32	0.68 W	0.00	21 of 78 - Sale ²⁵
	300.000	46.13	04/30/20	46.42	0.29 W	0.00	22 of 78 - Sale ²⁵
	300.000	46.14	04/30/20	46.43	0.29 W	0.00	23 of 78 - Sale ²⁵
	300.000	46.13	04/30/20	46.52	0.39 W	0.00	24 of 78 - Sale ²⁵
	200.000	30.76	04/30/20	31.01	0.25 W	0.00	25 of 78 - Sale ²⁵
	300.000	46.13	04/30/20	46.51	0.38 W	0.00	26 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.53	0.15 W	0.00	27 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.53	0.15 W	0.00	28 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.54	0.16 W	0.00	29 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.50	0.12 W	0.00	30 of 78 - Sale ²⁵
	300.000	46.13	04/30/20	46.54	0.41 W	0.00	31 of 78 - Sale ²⁵
	500.000	76.89	04/30/20	77.54	0.65 W	0.00	32 of 78 - Sale ²⁵
	200.000	30.76	04/30/20	31.01	0.25 W	0.00	33 of 78 - Sale ²⁵
	300.000	46.13	04/30/20	46.52	0.39 W	0.00	34 of 78 - Sale ²⁵
	200.000	30.76	04/30/20	31.01	0.25 W	0.00	35 of 78 - Sale ²⁵
	300.000	46.13	04/30/20	46.52	0.39 W	0.00	36 of 78 - Sale ²⁵
	200.000	30.76	04/30/20	31.08	0.32 W	0.00	37 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.56	0.18 W	0.00	38 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.56	0.18 W	0.00	39 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.57	0.19 W	0.00	40 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.53	0.15 W	0.00	41 of 78 - Sale ²⁵
	200.000	30.76	04/30/20	31.07	0.31 W	0.00	42 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.54	0.16 W	0.00	43 of 78 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	300.000	46.13	04/30/20	46.61	0.48 W	0.00	44 of 78 - Sale ²⁵
	200.000	30.75	04/30/20	31.09	0.34 W	0.00	45 of 78 - Sale ²⁵
	200.000	30.76	04/30/20	31.07	0.31 W	0.00	46 of 78 - Sale ²⁵
	200.000	30.75	04/30/20	31.07	0.32 W	0.00	47 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.55	0.17 W	0.00	48 of 78 - Sale ²⁵
	200.000	30.76	04/30/20	31.08	0.32 W	0.00	49 of 78 - Sale ²⁵
	500.000	76.89	04/30/20	77.70	0.81 W	0.00	50 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.54	0.16 W	0.00	51 of 78 - Sale ²⁵
	1,100.000	169.16	04/30/20	169.51	0.35 W	0.00	52 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.50	0.12 W	0.00	53 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.50	0.12 W	0.00	54 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.51	0.13 W	0.00	55 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.47	0.09 W	0.00	56 of 78 - Sale ²⁵
	200.000	30.76	04/30/20	30.95	0.19 W	0.00	57 of 78 - Sale ²⁵
	300.000	46.12	04/30/20	46.43	0.31 W	0.00	58 of 78 - Sale ²⁵
	400.000	61.51	04/30/20	61.64	0.13 W	0.00	59 of 78 - Sale ²⁵
	52.000	8.00	04/30/20	8.08	0.08 W	0.00	60 of 78 - Sale ²⁵
	100.000	15.38	04/30/20	15.57	0.19 W	0.00	61 of 78 - Sale ²⁵
	248.000	38.13	04/30/20	38.54	0.41 W	0.00	62 of 78 - Sale ²⁵
	100.000	15.41	04/30/20	15.57	0.16 W	0.00	63 of 78 - Sale ²⁵
	100.000	15.41	04/30/20	15.59	0.18 W	0.00	64 of 78 - Sale ²⁵
	100.000	15.41	04/30/20	15.59	0.18 W	0.00	65 of 78 - Sale ²⁵
	100.000	15.41	04/30/20	15.60	0.19 W	0.00	66 of 78 - Sale ²⁵
	100.000	15.41	04/30/20	15.56	0.15 W	0.00	67 of 78 - Sale ²⁵
	100.000	15.41	04/30/20	15.58	0.17 W	0.00	68 of 78 - Sale ²⁵
	100.000	15.41	04/30/20	15.57	0.16 W	0.00	69 of 78 - Sale ²⁵
	200.000	30.82	04/30/20	31.13	0.31 W	0.00	70 of 78 - Sale ²⁵
	200.000	30.81	04/30/20	31.16	0.35 W	0.00	71 of 78 - Sale ²⁵
	200.000	30.81	04/30/20	31.13	0.32 W	0.00	72 of 78 - Sale ²⁵
	300.000	46.22	04/30/20	46.71	0.49 W	0.00	73 of 78 - Sale ²⁵
	500.000	77.04	04/30/20	77.86	0.82 W	0.00	74 of 78 - Sale ²⁵
	100.000	15.41	04/30/20	15.57	0.16 W	0.00	75 of 78 - Sale ²⁵
	200.000	30.82	04/30/20	31.14	0.32 W	0.00	76 of 78 - Sale ²⁵
	200.000	30.82	04/30/20	31.14	0.32 W	0.00	77 of 78 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	500.000	77.03	04/30/20	77.21	0.18 W	0.00	78 of 78 - Sale ²⁵
04/30/20	20,000.000	3,076.54	Various	3,096.65	20.11 W	0.00	Total of 78 transactions
	159 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	11.000	1.59	04/30/20	1.70	0.11 W	0.00	1 of 159 - Sale ²⁵
	9.000	1.30	04/30/20	1.39	0.09 W	0.00	2 of 159 - Sale ²⁵
	78.000	11.29	04/30/20	12.02	0.73 W	0.00	3 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.15	0.01 W	0.00	4 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.31	0.02 W	0.00	5 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.54	0.09 W	0.00	6 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.54	0.09 W	0.00	7 of 159 - Sale ²⁵
	89.000	12.89	04/30/20	13.71	0.82 W	0.00	8 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.65	0.20 W	0.00	9 of 159 - Sale ²⁵
	9.000	1.31	04/30/20	1.48	0.17 W	0.00	10 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.16	0.02 W	0.00	11 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.63	0.18 W	0.00	12 of 159 - Sale ²⁵
	40.000	5.80	04/30/20	6.54	0.74 W	0.00	13 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.82	0.10 W	0.00	14 of 159 - Sale ²⁵
	1.000	0.15	04/30/20	0.16	0.01 W	0.00	15 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.33	0.04 W	0.00	16 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.63	0.18 W	0.00	17 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.65	0.07 W	0.00	18 of 159 - Sale ²⁵
	23.000	3.33	04/30/20	3.76	0.43 W	0.00	19 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.82	0.10 W	0.00	20 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.16	0.02 W	0.00	21 of 159 - Sale ²⁵
	86.000	12.45	04/30/20	14.04	1.59 W	0.00	22 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.16	0.02 W	0.00	23 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.33	0.04 W	0.00	24 of 159 - Sale ²⁵
	38.000	5.51	04/30/20	5.86	0.35 W	0.00	25 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.31	0.02 W	0.00	26 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.15	0.01 W	0.00	27 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.62	0.04 W	0.00	28 of 159 - Sale ²⁵
	18.000	2.61	04/30/20	2.77	0.16 W	0.00	29 of 159 - Sale ²⁵
	55.000	7.96	04/30/20	8.48	0.52 W	0.00	30 of 159 - Sale ²⁵
	15.000	2.17	04/30/20	2.31	0.14 W	0.00	31 of 159 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	657.000	95.12	04/30/20	101.24	6.12 W	0.00	32 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.17	0.03 W	0.00	33 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.16	0.02 W	0.00	34 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.17	0.03 W	0.00	35 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.17	0.03 W	0.00	36 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.35	0.06 W	0.00	37 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.35	0.06 W	0.00	38 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.69	0.11 W	0.00	39 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.87	0.15 W	0.00	40 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.87	0.15 W	0.00	41 of 159 - Sale ²⁵
	9.000	1.30	04/30/20	1.56	0.26 W	0.00	42 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.74	0.29 W	0.00	43 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.72	0.27 W	0.00	44 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.72	0.27 W	0.00	45 of 159 - Sale ²⁵
	23.000	3.33	04/30/20	3.97	0.64 W	0.00	46 of 159 - Sale ²⁵
	40.000	5.79	04/30/20	6.90	1.11 W	0.00	47 of 159 - Sale ²⁵
	86.000	12.46	04/30/20	14.84	2.38 W	0.00	48 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.16	0.02 W	0.00	49 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.33	0.04 W	0.00	50 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.66	0.08 W	0.00	51 of 159 - Sale ²⁵
	15.000	2.17	04/30/20	2.45	0.28 W	0.00	52 of 159 - Sale ²⁵
	18.000	2.61	04/30/20	2.93	0.32 W	0.00	53 of 159 - Sale ²⁵
	38.000	5.51	04/30/20	6.21	0.70 W	0.00	54 of 159 - Sale ²⁵
	55.000	7.97	04/30/20	9.00	1.03 W	0.00	55 of 159 - Sale ²⁵
	130.000	18.82	04/30/20	21.25	2.43 W	0.00	56 of 159 - Sale ²⁵
	12.000	1.74	04/30/20	1.96	0.22 W	0.00	57 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.65	0.07 W	0.00	58 of 159 - Sale ²⁵
	25.000	3.62	04/30/20	4.09	0.47 W	0.00	59 of 159 - Sale ²⁵
	8.000	1.16	04/30/20	1.31	0.15 W	0.00	60 of 159 - Sale ²⁵
	37.000	5.36	04/30/20	6.05	0.69 W	0.00	61 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.33	0.04 W	0.00	62 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.16	0.02 W	0.00	63 of 159 - Sale ²⁵
	300.000	43.43	04/30/20	49.03	5.60 W	0.00	64 of 159 - Sale ²⁵
	138.000	19.98	04/30/20	22.55	2.57 W	0.00	65 of 159 - Sale ²⁵

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(continued)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	1.000	0.14	04/30/20	0.18	0.04 W	0.00	66 of 159 - Sale ²⁵
	1.000	0.15	04/30/20	0.17	0.02 W	0.00	67 of 159 - Sale ²⁵
	1.000	0.15	04/30/20	0.18	0.03 W	0.00	68 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.37	0.08 W	0.00	69 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.73	0.15 W	0.00	70 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.92	0.20 W	0.00	71 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.92	0.20 W	0.00	72 of 159 - Sale ²⁵
	9.000	1.30	04/30/20	1.65	0.35 W	0.00	73 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.83	0.38 W	0.00	74 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.81	0.36 W	0.00	75 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.81	0.36 W	0.00	76 of 159 - Sale ²⁵
	23.000	3.33	04/30/20	4.18	0.85 W	0.00	77 of 159 - Sale ²⁵
	40.000	5.79	04/30/20	7.27	1.48 W	0.00	78 of 159 - Sale ²⁵
	6.000	0.87	04/30/20	1.09	0.22 W	0.00	79 of 159 - Sale ²⁵
	69.000	9.99	04/30/20	12.54	2.55 W	0.00	80 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.18	0.04 W	0.00	81 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.37	0.08 W	0.00	82 of 159 - Sale ²⁵
	11.000	1.59	04/30/20	2.00	0.41 W	0.00	83 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.17	0.03 W	0.00	84 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.35	0.06 W	0.00	85 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.70	0.12 W	0.00	86 of 159 - Sale ²⁵
	18.000	2.61	04/30/20	3.09	0.48 W	0.00	87 of 159 - Sale ²⁵
	38.000	5.51	04/30/20	6.56	1.05 W	0.00	88 of 159 - Sale ²⁵
	25.000	3.62	04/30/20	4.32	0.70 W	0.00	89 of 159 - Sale ²⁵
	18.000	2.61	04/30/20	3.11	0.50 W	0.00	90 of 159 - Sale ²⁵
	7.000	1.01	04/30/20	1.21	0.20 W	0.00	91 of 159 - Sale ²⁵
	15.000	2.18	04/30/20	2.59	0.41 W	0.00	92 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.87	0.15 W	0.00	93 of 159 - Sale ²⁵
	30.000	4.35	04/30/20	5.19	0.84 W	0.00	94 of 159 - Sale ²⁵
	100.000	14.48	04/30/20	17.28	2.80 W	0.00	95 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.17	0.03 W	0.00	96 of 159 - Sale ²⁵
	1.000	0.15	04/30/20	0.17	0.02 W	0.00	97 of 159 - Sale ²⁵
	11.000	1.59	04/30/20	1.90	0.31 W	0.00	98 of 159 - Sale ²⁵
	3.000	0.43	04/30/20	0.52	0.09 W	0.00	99 of 159 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	15.000	2.18	04/30/20	2.59	0.41 W	0.00	100 of 159 - Sale ²⁵
	7.000	1.01	04/30/20	1.21	0.20 W	0.00	101 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.73	0.28 W	0.00	102 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.17	0.03 W	0.00	103 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.35	0.06 W	0.00	104 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.73	0.28 W	0.00	105 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.17	0.03 W	0.00	106 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.35	0.06 W	0.00	107 of 159 - Sale ²⁵
	25.000	3.62	04/30/20	4.31	0.69 W	0.00	108 of 159 - Sale ²⁵
	105.000	15.20	04/30/20	18.14	2.94 W	0.00	109 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.86	0.14 W	0.00	110 of 159 - Sale ²⁵
	20.000	2.90	04/30/20	3.46	0.56 W	0.00	111 of 159 - Sale ²⁵
	138.000	19.98	04/30/20	23.84	3.86 W	0.00	112 of 159 - Sale ²⁵
	170.000	24.61	04/30/20	29.38	4.77 W	0.00	113 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.19	0.05 W	0.00	114 of 159 - Sale ²⁵
	9.000	1.30	04/30/20	1.74	0.44 W	0.00	115 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.92	0.47 W	0.00	116 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.90	0.45 W	0.00	117 of 159 - Sale ²⁵
	18.000	2.61	04/30/20	3.45	0.84 W	0.00	118 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.17	0.03 W	0.00	119 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.18	0.04 W	0.00	120 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.39	0.10 W	0.00	121 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.77	0.19 W	0.00	122 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.97	0.25 W	0.00	123 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.97	0.25 W	0.00	124 of 159 - Sale ²⁵
	6.000	0.87	04/30/20	1.14	0.27 W	0.00	125 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.90	0.45 W	0.00	126 of 159 - Sale ²⁵
	22.000	3.19	04/30/20	4.20	1.01 W	0.00	127 of 159 - Sale ²⁵
	23.000	3.33	04/30/20	4.39	1.06 W	0.00	128 of 159 - Sale ²⁵
	65.000	9.42	04/30/20	12.42	3.00 W	0.00	129 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.20	0.06 W	0.00	130 of 159 - Sale ²⁵
	9.000	1.31	04/30/20	1.83	0.52 W	0.00	131 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	2.01	0.56 W	0.00	132 of 159 - Sale ²⁵
	10.000	1.45	04/30/20	1.99	0.54 W	0.00	133 of 159 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	18.000	2.61	04/30/20	3.62	1.01 W	0.00	134 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.38	0.09 W	0.00	135 of 159 - Sale ²⁵
	11.000	1.59	04/30/20	2.11	0.52 W	0.00	136 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.38	0.09 W	0.00	137 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.19	0.05 W	0.00	138 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.39	0.10 W	0.00	139 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.18	0.04 W	0.00	140 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.37	0.08 W	0.00	141 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.74	0.16 W	0.00	142 of 159 - Sale ²⁵
	18.000	2.61	04/30/20	3.25	0.64 W	0.00	143 of 159 - Sale ²⁵
	9.000	1.30	04/30/20	1.64	0.34 W	0.00	144 of 159 - Sale ²⁵
	25.000	3.63	04/30/20	4.55	0.92 W	0.00	145 of 159 - Sale ²⁵
	38.000	5.50	04/30/20	6.91	1.41 W	0.00	146 of 159 - Sale ²⁵
	5.000	0.73	04/30/20	0.91	0.18 W	0.00	147 of 159 - Sale ²⁵
	9.000	1.30	04/30/20	1.63	0.33 W	0.00	148 of 159 - Sale ²⁵
	5.000	0.72	04/30/20	0.92	0.20 W	0.00	149 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.37	0.08 W	0.00	150 of 159 - Sale ²⁵
	9.000	1.31	04/30/20	1.63	0.32 W	0.00	151 of 159 - Sale ²⁵
	2.000	0.29	04/30/20	0.36	0.07 W	0.00	152 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.73	0.15 W	0.00	153 of 159 - Sale ²⁵
	11.000	1.59	04/30/20	2.00	0.41 W	0.00	154 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.18	0.04 W	0.00	155 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.18	0.04 W	0.00	156 of 159 - Sale ²⁵
	1.000	0.14	04/30/20	0.18	0.04 W	0.00	157 of 159 - Sale ²⁵
	16.000	2.32	04/30/20	2.92	0.60 W	0.00	158 of 159 - Sale ²⁵
	4.000	0.58	04/30/20	0.73	0.15 W	0.00	159 of 159 - Sale ²⁵
05/04/20	3,635.000	526.30	Various	608.66	82.36 W	0.00	Total of 159 transactions
05/19/20	20.000	2.76	04/30/20	3.64	0.88 W	0.00	Sale ²⁵
	75 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	20.000	2.60	04/30/20	3.64	1.04 W	0.00	1 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.18	0.05 W	0.00	2 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.17	0.04 W	0.00	3 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.18	0.05 W	0.00	4 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.18	0.05 W	0.00	5 of 75 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	2.000	0.26	04/30/20	0.37	0.11 W	0.00	6 of 75 - Sale ²⁵
	2.000	0.26	04/30/20	0.37	0.11 W	0.00	7 of 75 - Sale ²⁵
	3.000	0.39	04/30/20	0.55	0.16 W	0.00	8 of 75 - Sale ²⁵
	7.000	0.91	04/30/20	1.28	0.37 W	0.00	9 of 75 - Sale ²⁵
	10.000	1.30	04/30/20	1.82	0.52 W	0.00	10 of 75 - Sale ²⁵
	10.000	1.30	04/30/20	1.82	0.52 W	0.00	11 of 75 - Sale ²⁵
	11.000	1.43	04/30/20	2.01	0.58 W	0.00	12 of 75 - Sale ²⁵
	15.000	1.95	04/30/20	2.72	0.77 W	0.00	13 of 75 - Sale ²⁵
	25.000	3.24	04/30/20	4.54	1.30 W	0.00	14 of 75 - Sale ²⁵
	56.000	7.27	04/30/20	10.20	2.93 W	0.00	15 of 75 - Sale ²⁵
	55.000	7.13	04/30/20	10.02	2.89 W	0.00	16 of 75 - Sale ²⁵
	5.000	0.65	04/30/20	0.91	0.26 W	0.00	17 of 75 - Sale ²⁵
	20.000	2.60	04/30/20	3.64	1.04 W	0.00	18 of 75 - Sale ²⁵
	50.000	6.49	04/30/20	9.11	2.62 W	0.00	19 of 75 - Sale ²⁵
	25.000	3.24	04/30/20	4.56	1.32 W	0.00	20 of 75 - Sale ²⁵
	34.000	4.42	04/30/20	6.20	1.78 W	0.00	21 of 75 - Sale ²⁵
	138.000	17.91	04/30/20	25.14	7.23 W	0.00	22 of 75 - Sale ²⁵
	111.000	14.41	04/30/20	20.22	5.81 W	0.00	23 of 75 - Sale ²⁵
	20.000	2.60	04/30/20	3.96	1.36 W	0.00	24 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.21	0.08 W	0.00	25 of 75 - Sale ²⁵
	9.000	1.17	04/30/20	1.91	0.74 W	0.00	26 of 75 - Sale ²⁵
	10.000	1.30	04/30/20	2.10	0.80 W	0.00	27 of 75 - Sale ²⁵
	10.000	1.30	04/30/20	2.08	0.78 W	0.00	28 of 75 - Sale ²⁵
	18.000	2.34	04/30/20	3.78	1.44 W	0.00	29 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.18	0.05 W	0.00	30 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.19	0.06 W	0.00	31 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.20	0.07 W	0.00	32 of 75 - Sale ²⁵
	2.000	0.26	04/30/20	0.41	0.15 W	0.00	33 of 75 - Sale ²⁵
	2.000	0.26	04/30/20	0.40	0.14 W	0.00	34 of 75 - Sale ²⁵
	2.000	0.26	04/30/20	0.40	0.14 W	0.00	35 of 75 - Sale ²⁵
	2.000	0.26	04/30/20	0.41	0.15 W	0.00	36 of 75 - Sale ²⁵
	4.000	0.52	04/30/20	0.81	0.29 W	0.00	37 of 75 - Sale ²⁵
	5.000	0.65	04/30/20	1.02	0.37 W	0.00	38 of 75 - Sale ²⁵
	5.000	0.65	04/30/20	1.02	0.37 W	0.00	39 of 75 - Sale ²⁵

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(continued)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	6.000	0.78	04/30/20	1.19	0.41 W	0.00	40 of 75 - Sale ²⁵
	10.000	1.30	04/30/20	1.99	0.69 W	0.00	41 of 75 - Sale ²⁵
	11.000	1.43	04/30/20	2.22	0.79 W	0.00	42 of 75 - Sale ²⁵
	22.000	2.85	04/30/20	4.40	1.55 W	0.00	43 of 75 - Sale ²⁵
	23.000	2.98	04/30/20	4.60	1.62 W	0.00	44 of 75 - Sale ²⁵
	65.000	8.44	04/30/20	13.02	4.58 W	0.00	45 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.19	0.06 W	0.00	46 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.19	0.06 W	0.00	47 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.19	0.06 W	0.00	48 of 75 - Sale ²⁵
	1.000	0.13	04/30/20	0.19	0.06 W	0.00	49 of 75 - Sale ²⁵
	2.000	0.26	04/30/20	0.39	0.13 W	0.00	50 of 75 - Sale ²⁵
	2.000	0.26	04/30/20	0.39	0.13 W	0.00	51 of 75 - Sale ²⁵
	2.000	0.26	04/30/20	0.38	0.12 W	0.00	52 of 75 - Sale ²⁵
	4.000	0.52	04/30/20	0.78	0.26 W	0.00	53 of 75 - Sale ²⁵
	4.000	0.52	04/30/20	0.77	0.25 W	0.00	54 of 75 - Sale ²⁵
	4.000	0.52	04/30/20	0.77	0.25 W	0.00	55 of 75 - Sale ²⁵
	5.000	0.65	04/30/20	0.95	0.30 W	0.00	56 of 75 - Sale ²⁵
	5.000	0.65	04/30/20	0.97	0.32 W	0.00	57 of 75 - Sale ²⁵
	9.000	1.17	04/30/20	1.73	0.56 W	0.00	58 of 75 - Sale ²⁵
	9.000	1.17	04/30/20	1.72	0.55 W	0.00	59 of 75 - Sale ²⁵
	9.000	1.17	04/30/20	1.71	0.54 W	0.00	60 of 75 - Sale ²⁵
	11.000	1.43	04/30/20	2.11	0.68 W	0.00	61 of 75 - Sale ²⁵
	16.000	2.08	04/30/20	3.07	0.99 W	0.00	62 of 75 - Sale ²⁵
	18.000	2.34	04/30/20	3.41	1.07 W	0.00	63 of 75 - Sale ²⁵
	25.000	3.24	04/30/20	4.77	1.53 W	0.00	64 of 75 - Sale ²⁵
	38.000	4.93	04/30/20	7.27	2.34 W	0.00	65 of 75 - Sale ²⁵
	603.000	78.25	04/30/20	92.92	14.67 W	0.00	66 of 75 - Sale ²⁵
	3,031.000	393.31	04/30/20	462.23	68.92 W	0.00	67 of 75 - Sale ²⁵
	3,000.000	389.34	04/30/20	457.50	68.16 W	0.00	68 of 75 - Sale ²⁵
	700.000	90.85	04/30/20	106.75	15.90 W	0.00	69 of 75 - Sale ²⁵
	3,269.000	423.92	04/30/20	498.52	74.60 W	0.00	70 of 75 - Sale ²⁵
	7,131.000	924.73	05/01/20	1,034.71	109.98 W	0.00	71 of 75 - Sale ²⁵
	9,600.000	1,244.91	05/01/20	1,392.96	148.05 W	0.00	72 of 75 - Sale ²⁵
	100.000	12.98	05/01/20	14.51	1.53 W	0.00	73 of 75 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	1,366.000	177.28	05/01/20	198.21	20.93 W	0.00	74 of 75 - Sale ²⁵
	10,200.000	1,323.74	05/01/20	1,480.02	156.28 W	0.00	75 of 75 - Sale ²⁵
06/04/20	40,000.000	5,189.15	Various	5,926.61	737.46 W	0.00	Total of 75 transactions
	55 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	3.000	0.48	05/01/20	0.42	...	0.06	1 of 55 - Sale ²⁵
	22.000	3.51	05/01/20	3.08	...	0.43	2 of 55 - Sale ²⁵
	75.000	11.98	05/01/20	10.52	...	1.46	3 of 55 - Sale ²⁵
	100.000	15.97	05/01/20	14.02	...	1.95	4 of 55 - Sale ²⁵
	100.000	15.97	05/01/20	14.02	...	1.95	5 of 55 - Sale ²⁵
	100.000	15.97	05/01/20	14.02	...	1.95	6 of 55 - Sale ²⁵
	100.000	15.97	05/01/20	14.02	...	1.95	7 of 55 - Sale ²⁵
	100.000	15.97	05/01/20	14.02	...	1.95	8 of 55 - Sale ²⁵
	100.000	15.97	05/01/20	14.00	...	1.97	9 of 55 - Sale ²⁵
	140.000	22.36	05/01/20	19.63	...	2.73	10 of 55 - Sale ²⁵
	97.000	16.45	05/01/20	13.60	...	2.85	11 of 55 - Sale ²⁵
	103.000	17.47	05/01/20	14.44	...	3.03	12 of 55 - Sale ²⁵
	300.000	47.90	05/01/20	42.06	...	5.84	13 of 55 - Sale ²⁵
	300.000	47.90	05/01/20	42.06	...	5.84	14 of 55 - Sale ²⁵
	303.000	48.38	05/01/20	42.42	...	5.96	15 of 55 - Sale ²⁵
	1,603.000	239.93	05/01/20	232.59	...	7.34	16 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.08	...	7.79	17 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.08	...	7.79	18 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.08	...	7.79	19 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.08	...	7.79	20 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.08	...	7.79	21 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.08	...	7.79	22 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.08	...	7.79	23 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.08	...	7.79	24 of 55 - Sale ²⁵
	397.000	63.39	05/01/20	55.58	...	7.81	25 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.00	...	7.87	26 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.00	...	7.87	27 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.00	...	7.87	28 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.00	...	7.87	29 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.00	...	7.87	30 of 55 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	400.000	63.87	05/01/20	56.00	...	7.87	31 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.00	...	7.87	32 of 55 - Sale ²⁵
	400.000	63.87	05/01/20	56.00	...	7.87	33 of 55 - Sale ²⁵
	457.000	72.97	05/01/20	64.07	...	8.90	34 of 55 - Sale ²⁵
	1,103.000	165.09	05/01/20	154.64	...	10.45	35 of 55 - Sale ²⁵
	1,197.000	179.16	05/01/20	167.82	...	11.34	36 of 55 - Sale ²⁵
	1,197.000	179.16	05/01/20	167.82	...	11.34	37 of 55 - Sale ²⁵
	1,003.000	160.16	05/01/20	140.62	...	19.54	38 of 55 - Sale ²⁵
	674.000	114.30	05/01/20	94.49	...	19.81	39 of 55 - Sale ²⁵
	2,400.000	359.23	05/01/20	336.48	...	22.75	40 of 55 - Sale ²⁵
	2,500.000	374.20	05/01/20	350.50	...	23.70	41 of 55 - Sale ²⁵
	1,000.000	169.58	05/01/20	140.20	...	29.38	42 of 55 - Sale ²⁵
	1,100.000	186.54	05/01/20	154.22	...	32.32	43 of 55 - Sale ²⁵
	2,425.000	387.70	05/01/20	339.98	...	47.72	44 of 55 - Sale ²⁵
	2,575.000	411.68	05/01/20	361.02	...	50.66	45 of 55 - Sale ²⁵
	366.000	62.10	05/12/20	59.60	...	2.50	46 of 55 - Sale ²⁵
	423.000	71.73	05/12/20	68.88	...	2.85	47 of 55 - Sale ²⁵
	603.000	102.26	05/12/20	99.16	...	3.10	48 of 55 - Sale ²⁵
	2,608.000	442.51	05/12/20	424.71	...	17.80	49 of 55 - Sale ²⁵
	100.000	16.97	05/13/20	15.31	...	1.66	50 of 55 - Sale ²⁵
	423.000	71.77	05/13/20	61.68	...	10.09	51 of 55 - Sale ²⁵
	700.000	118.77	05/13/20	107.18	...	11.59	52 of 55 - Sale ²⁵
	726.000	123.19	05/13/20	111.16	...	12.03	53 of 55 - Sale ²⁵
	1,808.000	306.77	05/13/20	276.84	...	29.93	54 of 55 - Sale ²⁵
	3,269.000	554.68	05/13/20	500.88	...	53.80	55 of 55 - Sale ²⁵
06/05/20	39,000.000	6,268.01	Various	5,654.40	...	613.61	Total of 55 transactions
	66 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	20.000	3.39	05/12/20	4.16	0.77 W	0.00	1 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.22	0.05 W	0.00	2 of 66 - Sale ²⁵
	9.000	1.53	05/12/20	2.00	0.47 W	0.00	3 of 66 - Sale ²⁵
	10.000	1.70	05/12/20	2.20	0.50 W	0.00	4 of 66 - Sale ²⁵
	10.000	1.70	05/12/20	2.18	0.48 W	0.00	5 of 66 - Sale ²⁵
	18.000	3.05	05/12/20	3.96	0.91 W	0.00	6 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.19	0.02 W	0.00	7 of 66 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	1.000	0.17	05/12/20	0.20	0.03 W	0.00	8 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.21	0.04 W	0.00	9 of 66 - Sale ²⁵
	2.000	0.34	05/12/20	0.43	0.09 W	0.00	10 of 66 - Sale ²⁵
	2.000	0.34	05/12/20	0.42	0.08 W	0.00	11 of 66 - Sale ²⁵
	2.000	0.34	05/12/20	0.42	0.08 W	0.00	12 of 66 - Sale ²⁵
	2.000	0.34	05/12/20	0.43	0.09 W	0.00	13 of 66 - Sale ²⁵
	4.000	0.68	05/12/20	0.85	0.17 W	0.00	14 of 66 - Sale ²⁵
	5.000	0.85	05/12/20	1.07	0.22 W	0.00	15 of 66 - Sale ²⁵
	5.000	0.85	05/12/20	1.07	0.22 W	0.00	16 of 66 - Sale ²⁵
	6.000	1.02	05/12/20	1.25	0.23 W	0.00	17 of 66 - Sale ²⁵
	10.000	1.70	05/12/20	2.09	0.39 W	0.00	18 of 66 - Sale ²⁵
	11.000	1.87	05/12/20	2.33	0.46 W	0.00	19 of 66 - Sale ²⁵
	22.000	3.73	05/12/20	4.63	0.90 W	0.00	20 of 66 - Sale ²⁵
	23.000	3.90	05/12/20	4.84	0.94 W	0.00	21 of 66 - Sale ²⁵
	65.000	11.02	05/12/20	13.69	2.67 W	0.00	22 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.20	0.03 W	0.00	23 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.20	0.03 W	0.00	24 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.20	0.03 W	0.00	25 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.20	0.03 W	0.00	26 of 66 - Sale ²⁵
	2.000	0.34	05/12/20	0.41	0.07 W	0.00	27 of 66 - Sale ²⁵
	2.000	0.34	05/12/20	0.41	0.07 W	0.00	28 of 66 - Sale ²⁵
	2.000	0.34	05/12/20	0.40	0.06 W	0.00	29 of 66 - Sale ²⁵
	4.000	0.68	05/12/20	0.82	0.14 W	0.00	30 of 66 - Sale ²⁵
	4.000	0.68	05/12/20	0.81	0.13 W	0.00	31 of 66 - Sale ²⁵
	4.000	0.68	05/12/20	0.81	0.13 W	0.00	32 of 66 - Sale ²⁵
	5.000	0.85	05/12/20	1.00	0.15 W	0.00	33 of 66 - Sale ²⁵
	5.000	0.85	05/12/20	1.02	0.17 W	0.00	34 of 66 - Sale ²⁵
	9.000	1.53	05/12/20	1.82	0.29 W	0.00	35 of 66 - Sale ²⁵
	9.000	1.53	05/12/20	1.81	0.28 W	0.00	36 of 66 - Sale ²⁵
	9.000	1.53	05/12/20	1.80	0.27 W	0.00	37 of 66 - Sale ²⁵
	11.000	1.86	05/12/20	2.22	0.36 W	0.00	38 of 66 - Sale ²⁵
	16.000	2.71	05/12/20	3.23	0.52 W	0.00	39 of 66 - Sale ²⁵
	18.000	3.05	05/12/20	3.59	0.54 W	0.00	40 of 66 - Sale ²⁵
	25.000	4.24	05/12/20	5.03	0.79 W	0.00	41 of 66 - Sale ²⁵

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(continued)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	38.000	6.44	05/12/20	7.66	1.22 W	0.00	42 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.19	0.02 W	0.00	43 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.18	0.01 W	0.00	44 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.19	0.02 W	0.00	45 of 66 - Sale ²⁵
	1.000	0.17	05/12/20	0.19	0.02 W	0.00	46 of 66 - Sale ²⁵
	2.000	0.34	05/12/20	0.39	0.05 W	0.00	47 of 66 - Sale ²⁵
	2.000	0.34	05/12/20	0.39	0.05 W	0.00	48 of 66 - Sale ²⁵
	3.000	0.51	05/12/20	0.58	0.07 W	0.00	49 of 66 - Sale ²⁵
	5.000	0.85	05/12/20	0.96	0.11 W	0.00	50 of 66 - Sale ²⁵
	7.000	1.19	05/12/20	1.35	0.16 W	0.00	51 of 66 - Sale ²⁵
	10.000	1.70	05/12/20	1.92	0.22 W	0.00	52 of 66 - Sale ²⁵
	10.000	1.70	05/12/20	1.92	0.22 W	0.00	53 of 66 - Sale ²⁵
	11.000	1.86	05/12/20	2.12	0.26 W	0.00	54 of 66 - Sale ²⁵
	15.000	2.54	05/12/20	2.87	0.33 W	0.00	55 of 66 - Sale ²⁵
	20.000	3.39	05/12/20	3.84	0.45 W	0.00	56 of 66 - Sale ²⁵
	20.000	3.39	05/12/20	3.84	0.45 W	0.00	57 of 66 - Sale ²⁵
	25.000	4.24	05/12/20	4.80	0.56 W	0.00	58 of 66 - Sale ²⁵
	25.000	4.24	05/12/20	4.82	0.58 W	0.00	59 of 66 - Sale ²⁵
	34.000	5.76	05/12/20	6.54	0.78 W	0.00	60 of 66 - Sale ²⁵
	50.000	8.47	05/12/20	9.63	1.16 W	0.00	61 of 66 - Sale ²⁵
	55.000	9.32	05/12/20	10.60	1.28 W	0.00	62 of 66 - Sale ²⁵
	56.000	9.49	05/12/20	10.78	1.29 W	0.00	63 of 66 - Sale ²⁵
	111.000	18.81	05/12/20	21.36	2.55 W	0.00	64 of 66 - Sale ²⁵
	64.000	10.85	05/12/20	12.32	1.47 W	0.00	65 of 66 - Sale ²⁵
	74.000	12.55	05/12/20	14.24	1.69 W	0.00	66 of 66 - Sale ²⁵
06/05/20	1,000.000	169.58	Various	198.50	28.92 W	0.00	Total of 66 transactions
	104 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	0.21	05/13/20	0.18	...	0.03	1 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.17	...	0.04	2 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.16	...	0.05	3 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.16	...	0.05	4 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.16	...	0.05	5 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.16	...	0.05	6 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.16	...	0.05	7 of 104 - Sale ²⁵

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(continued)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	1.000	0.21	05/13/20	0.15	...	0.06	8 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.15	...	0.06	9 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.15	...	0.06	10 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.15	...	0.06	11 of 104 - Sale ²⁵
	2.000	0.42	05/13/20	0.35	...	0.07	12 of 104 - Sale ²⁵
	2.000	0.42	05/13/20	0.35	...	0.07	13 of 104 - Sale ²⁵
	1.000	0.21	05/13/20	0.14	...	0.07	14 of 104 - Sale ²⁵
	2.000	0.42	05/13/20	0.34	...	0.08	15 of 104 - Sale ²⁵
	2.000	0.42	05/13/20	0.34	...	0.08	16 of 104 - Sale ²⁵
	2.000	0.42	05/13/20	0.33	...	0.09	17 of 104 - Sale ²⁵
	2.000	0.42	05/13/20	0.33	...	0.09	18 of 104 - Sale ²⁵
	2.000	0.42	05/13/20	0.32	...	0.10	19 of 104 - Sale ²⁵
	2.000	0.42	05/13/20	0.31	...	0.11	20 of 104 - Sale ²⁵
	2.000	0.42	05/13/20	0.31	...	0.11	21 of 104 - Sale ²⁵
	4.000	0.84	05/13/20	0.69	...	0.15	22 of 104 - Sale ²⁵
	3.000	0.61	05/13/20	0.44	...	0.17	23 of 104 - Sale ²⁵
	3.000	0.63	05/13/20	0.46	...	0.17	24 of 104 - Sale ²⁵
	5.000	1.05	05/13/20	0.87	...	0.18	25 of 104 - Sale ²⁵
	5.000	1.05	05/13/20	0.87	...	0.18	26 of 104 - Sale ²⁵
	4.000	0.84	05/13/20	0.66	...	0.18	27 of 104 - Sale ²⁵
	2.000	0.44	05/13/20	0.26	...	0.18	28 of 104 - Sale ²⁵
	4.000	0.84	05/13/20	0.65	...	0.19	29 of 104 - Sale ²⁵
	4.000	0.84	05/13/20	0.65	...	0.19	30 of 104 - Sale ²⁵
	5.000	1.05	05/13/20	0.82	...	0.23	31 of 104 - Sale ²⁵
	9.000	1.89	05/13/20	1.64	...	0.25	32 of 104 - Sale ²⁵
	6.000	1.26	05/13/20	1.01	...	0.25	33 of 104 - Sale ²⁵
	5.000	1.05	05/13/20	0.80	...	0.25	34 of 104 - Sale ²⁵
	5.000	1.05	05/13/20	0.76	...	0.29	35 of 104 - Sale ²⁵
	10.000	2.10	05/13/20	1.80	...	0.30	36 of 104 - Sale ²⁵
	10.000	2.10	05/13/20	1.78	...	0.32	37 of 104 - Sale ²⁵
	4.000	0.88	05/13/20	0.52	...	0.36	38 of 104 - Sale ²⁵
	4.000	0.88	05/13/20	0.52	...	0.36	39 of 104 - Sale ²⁵
	7.000	1.47	05/13/20	1.07	...	0.40	40 of 104 - Sale ²⁵
	10.000	2.10	05/13/20	1.69	...	0.41	41 of 104 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
11.000		2.31	05/13/20	1.89	...	0.42	42 of 104 - Sale ²⁵
9.000		1.89	05/13/20	1.46	...	0.43	43 of 104 - Sale ²⁵
9.000		1.89	05/13/20	1.45	...	0.44	44 of 104 - Sale ²⁵
9.000		1.89	05/13/20	1.44	...	0.45	45 of 104 - Sale ²⁵
18.000		3.77	05/13/20	3.25	...	0.52	46 of 104 - Sale ²⁵
11.000		2.31	05/13/20	1.79	...	0.52	47 of 104 - Sale ²⁵
10.000		2.10	05/13/20	1.52	...	0.58	48 of 104 - Sale ²⁵
10.000		2.10	05/13/20	1.52	...	0.58	49 of 104 - Sale ²⁵
11.000		2.31	05/13/20	1.69	...	0.62	50 of 104 - Sale ²⁵
16.000		3.35	05/13/20	2.60	...	0.75	51 of 104 - Sale ²⁵
20.000		4.19	05/13/20	3.37	...	0.82	52 of 104 - Sale ²⁵
22.000		4.61	05/13/20	3.76	...	0.85	53 of 104 - Sale ²⁵
15.000		3.15	05/13/20	2.28	...	0.87	54 of 104 - Sale ²⁵
23.000		4.82	05/13/20	3.93	...	0.89	55 of 104 - Sale ²⁵
18.000		3.77	05/13/20	2.88	...	0.89	56 of 104 - Sale ²⁵
10.000		2.19	05/13/20	1.30	...	0.89	57 of 104 - Sale ²⁵
10.000		2.19	05/13/20	1.30	...	0.89	58 of 104 - Sale ²⁵
12.000		2.63	05/13/20	1.56	...	1.07	59 of 104 - Sale ²⁵
20.000		4.19	05/13/20	3.05	...	1.14	60 of 104 - Sale ²⁵
20.000		4.19	05/13/20	3.05	...	1.14	61 of 104 - Sale ²⁵
25.000		5.24	05/13/20	4.05	...	1.19	62 of 104 - Sale ²⁵
15.000		3.29	05/13/20	1.95	...	1.34	63 of 104 - Sale ²⁵
25.000		5.24	05/13/20	3.84	...	1.40	64 of 104 - Sale ²⁵
25.000		5.24	05/13/20	3.82	...	1.42	65 of 104 - Sale ²⁵
20.000		4.39	05/13/20	2.60	...	1.79	66 of 104 - Sale ²⁵
38.000		7.97	05/13/20	6.17	...	1.80	67 of 104 - Sale ²⁵
34.000		7.13	05/13/20	5.21	...	1.92	68 of 104 - Sale ²⁵
65.000		13.63	05/13/20	11.13	...	2.50	69 of 104 - Sale ²⁵
50.000		10.48	05/13/20	7.67	...	2.81	70 of 104 - Sale ²⁵
55.000		11.53	05/13/20	8.44	...	3.09	71 of 104 - Sale ²⁵
56.000		11.74	05/13/20	8.58	...	3.16	72 of 104 - Sale ²⁵
64.000		13.42	05/13/20	9.80	...	3.62	73 of 104 - Sale ²⁵
74.000		15.52	05/13/20	11.33	...	4.19	74 of 104 - Sale ²⁵
100.000		20.28	05/13/20	14.55	...	5.73	75 of 104 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	111.000	23.27	05/13/20	17.00	...	6.27	76 of 104 - Sale ²⁵
	100.000	21.93	05/13/20	13.02	...	8.91	77 of 104 - Sale ²⁵
	120.000	26.32	05/13/20	15.63	...	10.69	78 of 104 - Sale ²⁵
	136.000	29.82	05/13/20	17.72	...	12.10	79 of 104 - Sale ²⁵
	138.000	30.26	05/13/20	17.97	...	12.29	80 of 104 - Sale ²⁵
	400.000	81.11	05/13/20	58.25	...	22.86	81 of 104 - Sale ²⁵
	300.000	65.78	05/13/20	39.06	...	26.72	82 of 104 - Sale ²⁵
	492.000	103.16	05/13/20	71.74	...	31.42	83 of 104 - Sale ²⁵
	400.000	87.71	05/13/20	52.09	...	35.62	84 of 104 - Sale ²⁵
	905.000	183.51	05/13/20	131.79	...	51.72	85 of 104 - Sale ²⁵
	1,366.000	276.99	05/13/20	198.78	...	78.21	86 of 104 - Sale ²⁵
	1,571.000	329.40	05/13/20	228.61	...	100.79	87 of 104 - Sale ²⁵
	1,626.000	340.93	05/13/20	237.11	...	103.82	88 of 104 - Sale ²⁵
	1,429.000	299.62	05/13/20	186.08	...	113.54	89 of 104 - Sale ²⁵
	1,300.000	285.07	05/13/20	169.28	...	115.79	90 of 104 - Sale ²⁵
	2,026.000	424.80	05/13/20	295.03	...	129.77	91 of 104 - Sale ²⁵
	2,308.000	483.93	05/13/20	336.55	...	147.38	92 of 104 - Sale ²⁵
	2,629.000	533.09	05/13/20	382.58	...	150.51	93 of 104 - Sale ²⁵
	2,774.000	581.64	05/13/20	404.52	...	177.12	94 of 104 - Sale ²⁵
	5,774.000	1,210.67	05/13/20	841.98	...	368.69	95 of 104 - Sale ²⁵
	6,000.000	1,258.03	05/13/20	873.13	...	384.90	96 of 104 - Sale ²⁵
	2.000	0.44	05/27/20	0.24	...	0.20	97 of 104 - Sale ²⁵
	8.000	1.76	05/27/20	0.97	...	0.79	98 of 104 - Sale ²⁵
	100.000	21.93	05/27/20	12.10	...	9.83	99 of 104 - Sale ²⁵
	100.000	21.93	05/27/20	12.10	...	9.83	100 of 104 - Sale ²⁵
	456.000	100.00	05/27/20	55.18	...	44.82	101 of 104 - Sale ²⁵
	864.000	189.46	05/27/20	104.54	...	84.92	102 of 104 - Sale ²⁵
	2,000.000	438.56	05/27/20	242.00	...	196.56	103 of 104 - Sale ²⁵
	2,500.000	548.18	05/27/20	302.50	...	245.68	104 of 104 - Sale ²⁵
06/08/20	39,004.000	8,223.52	Various	5,484.61	...	2,738.91	Total of 104 transactions
	24 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	0.17	05/27/20	0.12	...	0.05	1 of 24 - Sale ²⁵
	1.000	0.17	05/27/20	0.12	...	0.05	2 of 24 - Sale ²⁵
	1.000	0.17	05/27/20	0.12	...	0.05	3 of 24 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	1.000	0.17	05/27/20	0.12	...	0.05	4 of 24 - Sale ²⁵
	1.000	0.17	05/27/20	0.12	...	0.05	5 of 24 - Sale ²⁵
	1.000	0.17	05/27/20	0.12	...	0.05	6 of 24 - Sale ²⁵
	3.000	0.51	05/27/20	0.36	...	0.15	7 of 24 - Sale ²⁵
	6.000	1.02	05/27/20	0.73	...	0.29	8 of 24 - Sale ²⁵
	8.000	1.36	05/27/20	0.97	...	0.39	9 of 24 - Sale ²⁵
	8.000	1.37	05/27/20	0.97	...	0.40	10 of 24 - Sale ²⁵
	8.000	1.37	05/27/20	0.97	...	0.40	11 of 24 - Sale ²⁵
	15.000	2.55	05/27/20	1.82	...	0.73	12 of 24 - Sale ²⁵
	15.000	2.55	05/27/20	1.81	...	0.74	13 of 24 - Sale ²⁵
	27.000	4.59	05/27/20	3.27	...	1.32	14 of 24 - Sale ²⁵
	30.000	5.13	05/27/20	3.63	...	1.50	15 of 24 - Sale ²⁵
	37.000	6.32	05/27/20	4.48	...	1.84	16 of 24 - Sale ²⁵
	56.000	9.56	05/27/20	6.78	...	2.78	17 of 24 - Sale ²⁵
	80.000	13.66	05/27/20	9.68	...	3.98	18 of 24 - Sale ²⁵
	100.000	16.98	05/27/20	12.10	...	4.88	19 of 24 - Sale ²⁵
	500.000	85.39	05/27/20	60.50	...	24.89	20 of 24 - Sale ²⁵
	1,000.000	169.78	05/27/20	121.00	...	48.78	21 of 24 - Sale ²⁵
	1,000.000	169.78	05/27/20	121.00	...	48.78	22 of 24 - Sale ²⁵
	3,837.000	651.43	05/27/20	464.28	...	187.15	23 of 24 - Sale ²⁵
	5,101.000	871.13	05/27/20	617.21	...	253.92	24 of 24 - Sale ²⁵
06/09/20	11,837.000	2,015.50	Various	1,432.28	...	583.22	Total of 24 transactions
	Security total:	33,876.27		34,313.70	2,238.18 W	1,800.75	

INPIXON COMMON STOCK / CUSIP: 45790J503 / Symbol:

21 transactions for 01/03/20. Total proceeds and cost reported to the IRS.

4.000	1.98	01/03/20	1.66	...	0.32	1 of 21 - Sale ²⁵
100.000	49.50	01/03/20	41.52	...	7.98	2 of 21 - Sale ²⁵
135.000	66.82	01/03/20	56.05	...	10.77	3 of 21 - Sale ²⁵
161.000	79.69	01/03/20	66.86	...	12.83	4 of 21 - Sale ²⁵
161.000	79.69	01/03/20	66.85	...	12.84	5 of 21 - Sale ²⁵
199.000	98.50	01/03/20	82.63	...	15.87	6 of 21 - Sale ²⁵
335.000	165.81	01/03/20	139.11	...	26.70	7 of 21 - Sale ²⁵
351.000	173.73	01/03/20	145.75	...	27.98	8 of 21 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 114832611
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INPIXON COMMON STOCK / CUSIP: 45790J503 / Symbol: (cont'd)							
	351.000	173.74	01/03/20	145.75	...	27.99	9 of 21 - Sale ²⁵
	404.000	199.97	01/03/20	167.75	...	32.22	10 of 21 - Sale ²⁵
	435.000	215.31	01/03/20	180.62	...	34.69	11 of 21 - Sale ²⁵
	435.000	215.31	01/03/20	180.62	...	34.69	12 of 21 - Sale ²⁵
	435.000	215.31	01/03/20	174.04	...	41.27	13 of 21 - Sale ²⁵
	551.000	272.73	01/03/20	228.80	...	43.93	14 of 21 - Sale ²⁵
	565.000	279.66	01/03/20	234.61	...	45.05	15 of 21 - Sale ²⁵
	600.000	296.98	01/03/20	249.14	...	47.84	16 of 21 - Sale ²⁵
	615.000	304.40	01/03/20	255.36	...	49.04	17 of 21 - Sale ²⁵
	665.000	329.15	01/03/20	276.13	...	53.02	18 of 21 - Sale ²⁵
	800.000	395.98	01/03/20	332.18	...	63.80	19 of 21 - Sale ²⁵
	1,249.000	618.22	01/03/20	518.62	...	99.60	20 of 21 - Sale ²⁵
	1,449.000	717.21	01/03/20	601.66	...	115.55	21 of 21 - Sale ²⁵
01/03/20	10,000.000	4,949.69	Various	4,145.71	...	803.98	Total of 21 transactions
	9 transactions for 01/03/20. Total proceeds and cost reported to the IRS.						
	800.000	395.98	01/03/20	408.08	12.10 W	0.00	1 of 9 - Sale ²⁵
	600.000	296.98	01/03/20	306.06	9.08 W	0.00	2 of 9 - Sale ²⁵
	161.000	79.69	01/03/20	82.13	2.44 W	0.00	3 of 9 - Sale ²⁵
	600.000	296.98	01/03/20	306.06	9.08 W	0.00	4 of 9 - Sale ²⁵
	839.000	415.28	01/03/20	427.97	12.69 W	0.00	5 of 9 - Sale ²⁵
	1,800.000	890.95	01/03/20	918.18	27.23 W	0.00	6 of 9 - Sale ²⁵
	1,800.000	890.95	01/03/20	918.18	27.23 W	0.00	7 of 9 - Sale ²⁵
	1,600.000	791.95	01/03/20	816.16	24.21 W	0.00	8 of 9 - Sale ²⁵
	1,800.000	890.95	01/03/20	918.18	27.23 W	0.00	9 of 9 - Sale ²⁵
01/03/20	10,000.000	4,949.71	Various	5,101.00	151.29 W	0.00	Total of 9 transactions
	40 transactions for 01/06/20. Total proceeds and cost reported to the IRS.						
	800.000	159.90	01/06/20	568.26	...	-408.36	1 of 40 - Sale ²⁵
	800.000	159.90	01/06/20	568.26	...	-408.36	2 of 40 - Sale ²⁵
	800.000	159.91	01/06/20	568.26	...	-408.35	3 of 40 - Sale ²⁵
	700.000	139.91	01/06/20	497.23	...	-357.32	4 of 40 - Sale ²⁵
	700.000	139.91	01/06/20	497.22	...	-357.31	5 of 40 - Sale ²⁵
	500.000	99.94	01/06/20	355.16	...	-255.22	6 of 40 - Sale ²⁵
	500.000	99.94	01/06/20	355.16	...	-255.22	7 of 40 - Sale ²⁵
	435.000	86.95	01/06/20	315.56	...	-228.61	8 of 40 - Sale ²⁵

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(continued)

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INPIXON COMMON STOCK / CUSIP: 45790J503 / Symbol: (cont'd)							
	400.000	79.95	01/06/20	284.13	...	-204.18	9 of 40 - Sale ²⁵
	400.000	79.95	01/06/20	284.13	...	-204.18	10 of 40 - Sale ²⁵
	330.000	65.96	01/06/20	234.40	...	-168.44	11 of 40 - Sale ²⁵
	300.000	59.95	01/06/20	213.10	...	-153.15	12 of 40 - Sale ²⁵
	300.000	59.96	01/06/20	213.10	...	-153.14	13 of 40 - Sale ²⁵
	300.000	59.96	01/06/20	213.10	...	-153.14	14 of 40 - Sale ²⁵
	265.000	52.97	01/06/20	188.25	...	-135.28	15 of 40 - Sale ²⁵
	257.000	51.37	01/06/20	182.56	...	-131.19	16 of 40 - Sale ²⁵
	200.000	39.97	01/06/20	142.06	...	-102.09	17 of 40 - Sale ²⁵
	200.000	39.98	01/06/20	142.07	...	-102.09	18 of 40 - Sale ²⁵
	169.000	33.78	01/06/20	120.06	...	-86.28	19 of 40 - Sale ²⁵
	104.000	20.79	01/06/20	73.87	...	-53.08	20 of 40 - Sale ²⁵
	100.000	19.98	01/06/20	71.03	...	-51.05	21 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	22 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	23 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	24 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	25 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	26 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	27 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	28 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	29 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	30 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	31 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	32 of 40 - Sale ²⁵
	100.000	19.99	01/06/20	71.03	...	-51.04	33 of 40 - Sale ²⁵
	83.000	16.59	01/06/20	58.96	...	-42.37	34 of 40 - Sale ²⁵
	83.000	16.59	01/06/20	58.96	...	-42.37	35 of 40 - Sale ²⁵
	20.000	4.00	01/06/20	14.20	...	-10.20	36 of 40 - Sale ²⁵
	17.000	3.40	01/06/20	12.07	...	-8.67	37 of 40 - Sale ²⁵
	17.000	3.40	01/06/20	12.07	...	-8.67	38 of 40 - Sale ²⁵
	10.000	2.00	01/06/20	7.10	...	-5.10	39 of 40 - Sale ²⁵
	10.000	2.00	01/06/20	7.10	...	-5.10	40 of 40 - Sale ²⁵
01/06/20	10,000.000	1,998.79	Various	7,109.79	...	-5,111.00	Total of 40 transactions

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Account 114832611

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2020 1099-B* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INPIXON COMMON STOCK / CUSIP: 45790J503 / Symbol: (cont'd)							
<i>10 transactions for 01/06/20. Total proceeds and cost reported to the IRS.</i>							
	435.000	86.95	01/06/20	206.72	119.77 W	0.00	1 of 10 - Sale ²⁵
	365.000	72.95	01/06/20	167.94	94.99 W	0.00	2 of 10 - Sale ²⁵
	2,200.000	439.74	01/06/20	1,012.22	572.48 W	0.00	3 of 10 - Sale ²⁵
	1,600.000	319.81	01/06/20	736.16	416.35 W	0.00	4 of 10 - Sale ²⁵
	1,400.000	279.83	01/06/20	644.14	364.31 W	0.00	5 of 10 - Sale ²⁵
	100.000	19.99	01/06/20	46.01	26.02 W	0.00	6 of 10 - Sale ²⁵
	3,600.000	719.55	01/06/20	1,656.36	936.81 W	0.00	7 of 10 - Sale ²⁵
	100.000	19.99	01/06/20	46.01	26.02 W	0.00	8 of 10 - Sale ²⁵
	100.000	19.99	01/06/20	46.01	26.02 W	0.00	9 of 10 - Sale ²⁵
	100.000	19.99	01/06/20	46.01	26.02 W	0.00	10 of 10 - Sale ²⁵
01/06/20	10,000.000	1,998.79	Various	4,607.58	2,608.79 W	0.00	Total of 10 transactions
	Security total:	13,896.98		20,964.08	2,760.08 W	-4,307.02	
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
<i>14 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	1,325.000	1,377.81	12/04/20	1,378.20	...	-0.39	1 of 14 - Sale ²⁵
	2,116.000	2,200.32	12/04/20	2,200.64	...	-0.32	2 of 14 - Sale ²⁵
	384.000	399.30	12/04/20	399.42	...	-0.12	3 of 14 - Sale ²⁵
	275.000	285.96	12/04/20	286.04	...	-0.08	4 of 14 - Sale ²⁵
	300.000	311.96	12/04/20	312.04	...	-0.08	5 of 14 - Sale ²⁵
	200.000	207.97	12/04/20	208.03	...	-0.06	6 of 14 - Sale ²⁵
	100.000	103.99	12/04/20	104.01	...	-0.02	7 of 14 - Sale ²⁵
	100.000	103.99	12/04/20	104.01	...	-0.02	8 of 14 - Sale ²⁵
	100.000	103.99	12/04/20	104.01	...	-0.02	9 of 14 - Sale ²⁵
	100.000	103.99	12/04/20	104.01	...	-0.02	10 of 14 - Sale ²⁵
	116.000	120.62	12/04/20	120.52	...	0.10	11 of 14 - Sale ²⁵
	100.000	103.99	12/04/20	103.80	...	0.19	12 of 14 - Sale ²⁵
	300.000	311.96	12/04/20	311.38	...	0.58	13 of 14 - Sale ²⁵
	1,100.000	1,143.83	12/04/20	1,141.58	...	2.25	14 of 14 - Sale ²⁵
12/21/20	6,616.000	6,879.68	Various	6,877.69	...	1.99	Total of 14 transactions
<i>11 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	103.99	12/04/20	104.00	0.01 W	0.00	1 of 11 - Sale ²⁵
	300.000	311.96	12/04/20	312.00	0.04 W	0.00	2 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol: (cont'd)							
	100.000	103.99	12/04/20	104.01	0.02 W	0.00	3 of 11 - Sale ²⁵
	200.000	207.97	12/04/20	208.00	0.03 W	0.00	4 of 11 - Sale ²⁵
	300.000	311.96	12/04/20	312.00	0.04 W	0.00	5 of 11 - Sale ²⁵
	1,600.000	1,663.76	12/04/20	1,664.00	0.24 W	0.00	6 of 11 - Sale ²⁵
	100.000	103.99	12/04/20	104.00	0.01 W	0.00	7 of 11 - Sale ²⁵
	100.000	103.99	12/04/20	104.00	0.01 W	0.00	8 of 11 - Sale ²⁵
	100.000	103.99	12/04/20	104.00	0.01 W	0.00	9 of 11 - Sale ²⁵
	384.000	399.30	12/04/20	399.36	0.06 W	0.00	10 of 11 - Sale ²⁵
	100.000	103.99	12/04/20	104.00	0.01 W	0.00	11 of 11 - Sale ²⁵
12/21/20	3,384.000	3,518.89	Various	3,519.37	0.48 W	0.00	Total of 11 transactions
	Security total:	10,398.57		10,397.06	0.48 W	1.99	
JIANPU TECHNOLOGY INC. AMERICA N DEPOSITARY SHARES, EACH REPR ESENTING TWENTY CLASS A ORDINA / CUSIP: 47738D309 / Symbol:							
12/02/20	10.000	26.50	12/02/20	25.50	...	1.00	Sale ²⁵
	8 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	10.000	26.50	12/02/20	25.50	...	1.00	1 of 8 - Sale ²⁵
	90.000	238.48	12/02/20	229.50	...	8.98	2 of 8 - Sale ²⁵
	100.000	264.99	12/02/20	255.00	...	9.99	3 of 8 - Sale ²⁵
	200.000	529.96	12/02/20	510.00	...	19.96	4 of 8 - Sale ²⁵
	390.000	1,033.42	12/02/20	994.50	...	38.92	5 of 8 - Sale ²⁵
	400.000	1,059.93	12/02/20	1,020.00	...	39.93	6 of 8 - Sale ²⁵
	400.000	1,059.93	12/02/20	1,020.00	...	39.93	7 of 8 - Sale ²⁵
	400.000	1,059.93	12/02/20	1,020.00	...	39.93	8 of 8 - Sale ²⁵
12/03/20	1,990.000	5,273.14	Various	5,074.50	...	198.64	Total of 8 transactions
	Security total:	5,299.64		5,100.00	...	199.64	
KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol:							
05/05/20	13.000	16.25	05/05/20	16.25	...	0.00	Sale ²⁵
	18 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	721.000	901.14	05/05/20	901.25	0.11 W	0.00	1 of 18 - Sale ²⁵
	200.000	249.97	05/05/20	250.00	0.03 W	0.00	2 of 18 - Sale ²⁵
	100.000	124.99	05/05/20	125.00	0.01 W	0.00	3 of 18 - Sale ²⁵
	400.000	499.94	05/05/20	500.00	0.06 W	0.00	4 of 18 - Sale ²⁵
	300.000	374.96	05/05/20	375.00	0.04 W	0.00	5 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol: (cont'd)							
	121.000	151.23	05/05/20	151.25	0.02 W	0.00	6 of 18 - Sale ²⁵
	400.000	499.94	05/05/20	500.00	0.06 W	0.00	7 of 18 - Sale ²⁵
	400.000	499.94	05/05/20	500.00	0.06 W	0.00	8 of 18 - Sale ²⁵
	300.000	374.96	05/05/20	375.00	0.04 W	0.00	9 of 18 - Sale ²⁵
	100.000	124.99	05/05/20	125.00	0.01 W	0.00	10 of 18 - Sale ²⁵
	300.000	374.95	05/05/20	375.00	0.05 W	0.00	11 of 18 - Sale ²⁵
	300.000	374.95	05/05/20	375.00	0.05 W	0.00	12 of 18 - Sale ²⁵
	300.000	374.95	05/05/20	375.00	0.05 W	0.00	13 of 18 - Sale ²⁵
	150.000	187.48	05/05/20	187.50	0.02 W	0.00	14 of 18 - Sale ²⁵
	300.000	374.96	05/05/20	375.00	0.04 W	0.00	15 of 18 - Sale ²⁵
	100.000	124.98	05/05/20	125.00	0.02 W	0.00	16 of 18 - Sale ²⁵
	95.000	118.74	05/05/20	118.75	0.01 W	0.00	17 of 18 - Sale ²⁵
	400.000	499.94	05/05/20	500.00	0.06 W	0.00	18 of 18 - Sale ²⁵
05/05/20	4,987.000	6,233.01	Various	6,233.75	0.74 W	0.00	Total of 18 transactions
	20 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	500.000	599.92	05/05/20	625.08	25.16 W	0.00	1 of 20 - Sale ²⁵
	10.000	12.00	05/05/20	12.50	0.50 W	0.00	2 of 20 - Sale ²⁵
	95.000	113.99	05/05/20	118.76	4.77 W	0.00	3 of 20 - Sale ²⁵
	100.000	119.99	05/05/20	125.01	5.02 W	0.00	4 of 20 - Sale ²⁵
	100.000	119.99	05/05/20	125.01	5.02 W	0.00	5 of 20 - Sale ²⁵
	100.000	119.99	05/05/20	125.02	5.03 W	0.00	6 of 20 - Sale ²⁵
	121.000	145.18	05/05/20	151.27	6.09 W	0.00	7 of 20 - Sale ²⁵
	150.000	179.98	05/05/20	187.52	7.54 W	0.00	8 of 20 - Sale ²⁵
	200.000	239.97	05/05/20	250.03	10.06 W	0.00	9 of 20 - Sale ²⁵
	300.000	359.96	05/05/20	375.04	15.08 W	0.00	10 of 20 - Sale ²⁵
	300.000	359.96	05/05/20	375.04	15.08 W	0.00	11 of 20 - Sale ²⁵
	300.000	359.96	05/05/20	375.05	15.09 W	0.00	12 of 20 - Sale ²⁵
	300.000	359.95	05/05/20	375.05	15.10 W	0.00	13 of 20 - Sale ²⁵
	300.000	359.96	05/05/20	375.05	15.09 W	0.00	14 of 20 - Sale ²⁵
	300.000	359.95	05/05/20	375.04	15.09 W	0.00	15 of 20 - Sale ²⁵
	400.000	479.94	05/05/20	500.06	20.12 W	0.00	16 of 20 - Sale ²⁵
	400.000	479.94	05/05/20	500.06	20.12 W	0.00	17 of 20 - Sale ²⁵
	400.000	479.94	05/05/20	500.06	20.12 W	0.00	18 of 20 - Sale ²⁵
	211.000	253.17	05/05/20	263.78	10.61 W	0.00	19 of 20 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol: (cont'd)							
05/07/20	413.000	495.53	05/05/20	516.25	20.72 W	0.00	20 of 20 - Sale ²⁵
	5,000.000	5,999.27	Various	6,250.68	251.41 W	0.00	Total of 20 transactions
	47 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	10.000	12.80	05/05/20	12.80	...	0.00	1 of 47 - Sale ²⁵
	8.000	10.24	05/05/20	10.24	...	0.00	2 of 47 - Sale ²⁵
	12.000	15.36	05/05/20	15.36	...	0.00	3 of 47 - Sale ²⁵
	20.000	25.60	05/05/20	25.21	...	0.39	4 of 47 - Sale ²⁵
	60.000	76.79	05/05/20	75.64	...	1.15	5 of 47 - Sale ²⁵
	100.000	126.98	05/05/20	125.02	...	1.96	6 of 47 - Sale ²⁵
	100.000	126.99	05/05/20	125.02	...	1.97	7 of 47 - Sale ²⁵
	100.000	126.98	05/05/20	125.01	...	1.97	8 of 47 - Sale ²⁵
	100.000	126.99	05/05/20	125.01	...	1.98	9 of 47 - Sale ²⁵
	14.000	18.06	05/06/20	15.27	...	2.79	10 of 47 - Sale ²⁵
	20.000	25.80	05/06/20	21.81	...	3.99	11 of 47 - Sale ²⁵
	21.000	27.09	05/06/20	22.90	...	4.19	12 of 47 - Sale ²⁵
	21.000	27.09	05/06/20	22.90	...	4.19	13 of 47 - Sale ²⁵
	28.000	36.12	05/06/20	30.55	...	5.57	14 of 47 - Sale ²⁵
	38.000	49.01	05/06/20	41.45	...	7.56	15 of 47 - Sale ²⁵
	38.000	49.01	05/06/20	41.45	...	7.56	16 of 47 - Sale ²⁵
	38.000	49.01	05/06/20	41.45	...	7.56	17 of 47 - Sale ²⁵
	38.000	49.01	05/06/20	41.44	...	7.57	18 of 47 - Sale ²⁵
	42.000	54.17	05/06/20	45.81	...	8.36	19 of 47 - Sale ²⁵
	42.000	54.17	05/06/20	45.81	...	8.36	20 of 47 - Sale ²⁵
	42.000	54.17	05/06/20	45.81	...	8.36	21 of 47 - Sale ²⁵
	45.000	58.04	05/06/20	49.08	...	8.96	22 of 47 - Sale ²⁵
	67.000	86.42	05/06/20	74.39	...	12.03	23 of 47 - Sale ²⁵
	62.000	79.97	05/06/20	67.62	...	12.35	24 of 47 - Sale ²⁵
	62.000	79.97	05/06/20	67.62	...	12.35	25 of 47 - Sale ²⁵
	62.000	79.97	05/06/20	67.62	...	12.35	26 of 47 - Sale ²⁵
	62.000	79.97	05/06/20	67.61	...	12.36	27 of 47 - Sale ²⁵
	79.000	101.90	05/06/20	86.16	...	15.74	28 of 47 - Sale ²⁵
	80.000	103.19	05/06/20	87.25	...	15.94	29 of 47 - Sale ²⁵
	100.000	128.98	05/06/20	109.06	...	19.92	30 of 47 - Sale ²⁵
	100.000	128.98	05/06/20	109.06	...	19.92	31 of 47 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol: (cont'd)							
	100.000	128.99	05/06/20	109.06	...	19.93	32 of 47 - Sale ²⁵
	100.000	128.99	05/06/20	109.06	...	19.93	33 of 47 - Sale ²⁵
	100.000	128.99	05/06/20	109.04	...	19.95	34 of 47 - Sale ²⁵
	110.000	141.88	05/06/20	119.90	...	21.98	35 of 47 - Sale ²⁵
	141.000	181.87	05/06/20	153.77	...	28.10	36 of 47 - Sale ²⁵
	150.000	193.48	05/06/20	163.58	...	29.90	37 of 47 - Sale ²⁵
	190.000	245.07	05/06/20	207.21	...	37.86	38 of 47 - Sale ²⁵
	200.000	257.97	05/06/20	218.12	...	39.85	39 of 47 - Sale ²⁵
	279.000	359.87	05/06/20	304.26	...	55.61	40 of 47 - Sale ²⁵
	300.000	386.95	05/06/20	327.19	...	59.76	41 of 47 - Sale ²⁵
	300.000	386.96	05/06/20	327.17	...	59.79	42 of 47 - Sale ²⁵
	358.000	461.77	05/06/20	390.44	...	71.33	43 of 47 - Sale ²⁵
	358.000	461.77	05/06/20	390.43	...	71.34	44 of 47 - Sale ²⁵
	413.000	532.71	05/06/20	458.56	...	74.15	45 of 47 - Sale ²⁵
	400.000	515.94	05/06/20	436.24	...	79.70	46 of 47 - Sale ²⁵
	400.000	515.94	05/06/20	436.24	...	79.70	47 of 47 - Sale ²⁵
05/08/20	5,510.000	7,097.98	Various	6,101.70	...	996.28	Total of 47 transactions
	69 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	10.000	12.70	05/05/20	13.00	0.30 W	0.00	1 of 69 - Sale ²⁵
	190.000	241.27	05/05/20	247.05	5.78 W	0.00	2 of 69 - Sale ²⁵
	500.000	634.93	05/05/20	650.16	15.23 W	0.00	3 of 69 - Sale ²⁵
	21.000	26.67	05/05/20	27.31	0.64 W	0.00	4 of 69 - Sale ²⁵
	45.000	57.14	05/05/20	58.51	1.37 W	0.00	5 of 69 - Sale ²⁵
	100.000	126.98	05/05/20	130.02	3.04 W	0.00	6 of 69 - Sale ²⁵
	155.000	196.83	05/05/20	201.55	4.72 W	0.00	7 of 69 - Sale ²⁵
	279.000	354.29	05/05/20	362.77	8.48 W	0.00	8 of 69 - Sale ²⁵
	400.000	507.94	05/05/20	520.12	12.18 W	0.00	9 of 69 - Sale ²⁵
	21.000	26.67	05/05/20	27.31	0.64 W	0.00	10 of 69 - Sale ²⁵
	79.000	100.32	05/05/20	102.73	2.41 W	0.00	11 of 69 - Sale ²⁵
	42.000	53.33	05/05/20	54.61	1.28 W	0.00	12 of 69 - Sale ²⁵
	358.000	454.60	05/05/20	465.51	10.91 W	0.00	13 of 69 - Sale ²⁵
	358.000	454.61	05/05/20	465.51	10.90 W	0.00	14 of 69 - Sale ²⁵
	42.000	53.33	05/05/20	54.61	1.28 W	0.00	15 of 69 - Sale ²⁵
	38.000	48.25	05/05/20	49.41	1.16 W	0.00	16 of 69 - Sale ²⁵

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(continued)

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KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol: (cont'd)							
42.000		53.33	05/05/20	54.61	1.28 W	0.00	17 of 69 - Sale ²⁵
100.000		126.99	05/05/20	130.03	3.04 W	0.00	18 of 69 - Sale ²⁵
100.000		126.99	05/05/20	130.02	3.03 W	0.00	19 of 69 - Sale ²⁵
38.000		48.25	05/05/20	49.41	1.16 W	0.00	20 of 69 - Sale ²⁵
62.000		78.73	05/05/20	80.62	1.89 W	0.00	21 of 69 - Sale ²⁵
200.000		253.97	05/05/20	260.06	6.09 W	0.00	22 of 69 - Sale ²⁵
62.000		78.73	05/05/20	80.62	1.89 W	0.00	23 of 69 - Sale ²⁵
100.000		126.99	05/05/20	130.02	3.03 W	0.00	24 of 69 - Sale ²⁵
238.000		302.22	05/05/20	309.48	7.26 W	0.00	25 of 69 - Sale ²⁵
62.000		78.73	05/05/20	80.62	1.89 W	0.00	26 of 69 - Sale ²⁵
88.000		111.75	05/05/20	114.43	2.68 W	0.00	27 of 69 - Sale ²⁵
150.000		190.48	05/05/20	195.04	4.56 W	0.00	28 of 69 - Sale ²⁵
300.000		380.96	05/05/20	390.09	9.13 W	0.00	29 of 69 - Sale ²⁵
300.000		380.95	05/05/20	390.09	9.14 W	0.00	30 of 69 - Sale ²⁵
80.000		101.59	05/05/20	102.44	0.85 W	0.00	31 of 69 - Sale ²⁵
12.000		15.24	05/05/20	15.60	0.36 W	0.00	32 of 69 - Sale ²⁵
28.000		35.55	05/05/20	36.41	0.86 W	0.00	33 of 69 - Sale ²⁵
67.000		85.75	05/05/20	87.11	1.36 W	0.00	34 of 69 - Sale ²⁵
413.000		528.58	05/05/20	536.97	8.39 W	0.00	35 of 69 - Sale ²⁵
14.000		17.92	05/05/20	17.93	0.01 W	0.00	36 of 69 - Sale ²⁵
21.000		26.88	05/05/20	26.89	0.01 W	0.00	37 of 69 - Sale ²⁵
45.000		57.59	05/05/20	57.62	0.03 W	0.00	38 of 69 - Sale ²⁵
190.000		243.17	05/05/20	243.28	0.11 W	0.00	39 of 69 - Sale ²⁵
420.000		537.54	05/05/20	537.79	0.25 W	0.00	40 of 69 - Sale ²⁵
38.000		48.63	05/05/20	48.66	0.03 W	0.00	41 of 69 - Sale ²⁵
62.000		79.35	05/05/20	79.38	0.03 W	0.00	42 of 69 - Sale ²⁵
100.000		127.99	05/05/20	128.05	0.06 W	0.00	43 of 69 - Sale ²⁵
100.000		127.99	05/05/20	128.05	0.06 W	0.00	44 of 69 - Sale ²⁵
62.000		79.35	05/05/20	79.39	0.04 W	0.00	45 of 69 - Sale ²⁵
150.000		191.98	05/05/20	192.06	0.08 W	0.00	46 of 69 - Sale ²⁵
300.000		383.96	05/05/20	384.13	0.17 W	0.00	47 of 69 - Sale ²⁵
300.000		383.95	05/05/20	384.14	0.19 W	0.00	48 of 69 - Sale ²⁵
80.000		102.39	05/05/20	102.44	0.05 W	0.00	49 of 69 - Sale ²⁵
28.000		35.83	05/05/20	35.86	0.03 W	0.00	50 of 69 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol: (cont'd)							
	21.000	26.88	05/05/20	26.89	0.01 W	0.00	51 of 69 - Sale ²⁵
	38.000	48.63	05/05/20	48.66	0.03 W	0.00	52 of 69 - Sale ²⁵
	38.000	48.63	05/05/20	48.66	0.03 W	0.00	53 of 69 - Sale ²⁵
	38.000	48.63	05/05/20	48.65	0.02 W	0.00	54 of 69 - Sale ²⁵
	42.000	53.75	05/05/20	53.78	0.03 W	0.00	55 of 69 - Sale ²⁵
	42.000	53.75	05/05/20	53.78	0.03 W	0.00	56 of 69 - Sale ²⁵
	42.000	53.75	05/05/20	53.78	0.03 W	0.00	57 of 69 - Sale ²⁵
	62.000	79.35	05/05/20	79.39	0.04 W	0.00	58 of 69 - Sale ²⁵
	62.000	79.35	05/05/20	79.39	0.04 W	0.00	59 of 69 - Sale ²⁵
	79.000	101.11	05/05/20	101.16	0.05 W	0.00	60 of 69 - Sale ²⁵
	100.000	127.98	05/05/20	128.04	0.06 W	0.00	61 of 69 - Sale ²⁵
	100.000	127.98	05/05/20	128.04	0.06 W	0.00	62 of 69 - Sale ²⁵
	100.000	127.99	05/05/20	128.03	0.04 W	0.00	63 of 69 - Sale ²⁵
	141.000	180.46	05/05/20	180.54	0.08 W	0.00	64 of 69 - Sale ²⁵
	200.000	255.97	05/05/20	256.09	0.12 W	0.00	65 of 69 - Sale ²⁵
	279.000	357.08	05/05/20	357.23	0.15 W	0.00	66 of 69 - Sale ²⁵
	358.000	458.19	05/05/20	458.41	0.22 W	0.00	67 of 69 - Sale ²⁵
	358.000	458.19	05/05/20	458.40	0.21 W	0.00	68 of 69 - Sale ²⁵
	400.000	511.94	05/05/20	512.18	0.24 W	0.00	69 of 69 - Sale ²⁵
05/08/20	9,490.000	12,099.77	Various	12,250.62	150.85 W	0.00	Total of 69 transactions
6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	100.000	127.99	05/06/20	109.00	...	18.99	1 of 6 - Sale ²⁵
	2,191.000	2,804.15	05/06/20	2,388.19	...	415.96	2 of 6 - Sale ²⁵
	2,709.000	3,467.12	05/06/20	2,952.81	...	514.31	3 of 6 - Sale ²⁵
	74.000	99.15	05/14/20	82.88	...	16.27	4 of 6 - Sale ²⁵
	213.000	285.39	05/14/20	238.56	...	46.83	5 of 6 - Sale ²⁵
	600.000	803.90	05/14/20	672.00	...	131.90	6 of 6 - Sale ²⁵
05/18/20	5,887.000	7,587.70	Various	6,443.44	...	1,144.26	Total of 6 transactions
18 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	5.000	6.90	05/14/20	5.60	...	1.30	1 of 18 - Sale ²⁵
	20.000	27.60	05/14/20	22.40	...	5.20	2 of 18 - Sale ²⁵
	32.000	44.15	05/14/20	35.84	...	8.31	3 of 18 - Sale ²⁵
	100.000	137.98	05/14/20	112.00	...	25.98	4 of 18 - Sale ²⁵
	100.000	137.98	05/14/20	112.00	...	25.98	5 of 18 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 114832611
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol: (cont'd)							
	100.000	137.99	05/14/20	112.00	...	25.99	6 of 18 - Sale ²⁵
	100.000	137.99	05/14/20	112.00	...	25.99	7 of 18 - Sale ²⁵
	100.000	137.99	05/14/20	112.00	...	25.99	8 of 18 - Sale ²⁵
	115.000	158.68	05/14/20	128.80	...	29.88	9 of 18 - Sale ²⁵
	200.000	275.97	05/14/20	224.00	...	51.97	10 of 18 - Sale ²⁵
	247.000	340.82	05/14/20	276.64	...	64.18	11 of 18 - Sale ²⁵
	265.000	365.66	05/14/20	296.80	...	68.86	12 of 18 - Sale ²⁵
	296.000	408.44	05/14/20	331.52	...	76.92	13 of 18 - Sale ²⁵
	300.000	413.95	05/14/20	336.00	...	77.95	14 of 18 - Sale ²⁵
	398.000	549.18	05/14/20	445.76	...	103.42	15 of 18 - Sale ²⁵
	524.000	723.04	05/14/20	586.88	...	136.16	16 of 18 - Sale ²⁵
	600.000	827.91	05/14/20	672.00	...	155.91	17 of 18 - Sale ²⁵
	611.000	843.09	05/14/20	684.32	...	158.77	18 of 18 - Sale ²⁵
05/19/20	4,113.000	5,675.32	Various	4,606.56	...	1,068.76	Total of 18 transactions
	30 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	20.000	44.00	06/25/20	43.00	...	1.00	1 of 30 - Sale ²⁵
	80.000	175.99	06/25/20	172.00	...	3.99	2 of 30 - Sale ²⁵
	80.000	175.99	06/25/20	172.00	...	3.99	3 of 30 - Sale ²⁵
	100.000	219.98	06/25/20	215.00	...	4.98	4 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	5 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	6 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	7 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	8 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	9 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	10 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	11 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	12 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	13 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	14 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	15 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	16 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	17 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	18 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	19 of 30 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol: (cont'd)							
	100.000	219.99	06/25/20	215.00	...	4.99	20 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	21 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	22 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	23 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	24 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	25 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	26 of 30 - Sale ²⁵
	100.000	219.99	06/25/20	215.00	...	4.99	27 of 30 - Sale ²⁵
	400.000	879.93	06/25/20	860.00	...	19.93	28 of 30 - Sale ²⁵
	520.000	1,143.91	06/25/20	1,118.00	...	25.91	29 of 30 - Sale ²⁵
	1,500.000	3,299.75	06/25/20	3,225.00	...	74.75	30 of 30 - Sale ²⁵
06/26/20	5,000.000	10,999.32	Various	10,750.00	...	249.32	Total of 30 transactions
	17 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	400.000	795.93	06/29/20	852.00	56.07 W	0.00	1 of 17 - Sale ²⁵
	100.000	198.99	06/29/20	213.00	14.01 W	0.00	2 of 17 - Sale ²⁵
	100.000	198.99	06/29/20	213.00	14.01 W	0.00	3 of 17 - Sale ²⁵
	300.000	596.94	06/29/20	639.00	42.06 W	0.00	4 of 17 - Sale ²⁵
	100.000	198.99	06/29/20	213.00	14.01 W	0.00	5 of 17 - Sale ²⁵
	400.000	795.93	06/29/20	852.00	56.07 W	0.00	6 of 17 - Sale ²⁵
	200.000	397.97	06/29/20	454.03	56.06 W	0.00	7 of 17 - Sale ²⁵
	45.000	89.55	06/29/20	102.16	12.61 W	0.00	8 of 17 - Sale ²⁵
	55.000	109.44	06/29/20	132.57	23.13 W	0.00	9 of 17 - Sale ²⁵
	100.000	198.99	06/29/20	241.03	42.04 W	0.00	10 of 17 - Sale ²⁵
	45.000	89.54	06/29/20	108.46	18.92 W	0.00	11 of 17 - Sale ²⁵
	45.000	89.55	06/29/20	108.46	18.91 W	0.00	12 of 17 - Sale ²⁵
	10.000	19.90	06/29/20	22.70	2.80 W	0.00	13 of 17 - Sale ²⁵
	34.000	67.66	06/29/20	77.19	9.53 W	0.00	14 of 17 - Sale ²⁵
	66.000	131.33	06/29/20	149.83	18.50 W	0.00	15 of 17 - Sale ²⁵
	90.000	188.09	06/29/20	204.31	16.22 W	0.00	16 of 17 - Sale ²⁵
	45.000	94.04	06/29/20	102.16	8.12 W	0.00	17 of 17 - Sale ²⁵
07/08/20	2,135.000	4,261.83	Various	4,684.90	423.07 W	0.00	Total of 17 transactions
	6 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	10.000	19.90	06/29/20	22.70	2.80 W	0.00	1 of 6 - Sale ²⁵
	100.000	198.98	06/29/20	227.01	28.03 W	0.00	2 of 6 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KLX ENERGY SERVICES HOLDINGS, INC. COMMON STOCK / CUSIP: 48253L106 / Symbol: (cont'd)							
	100.000	198.98	06/29/20	227.01	28.03 W	0.00	3 of 6 - Sale ²⁵
	300.000	596.95	06/29/20	681.06	84.11 W	0.00	4 of 6 - Sale ²⁵
	400.000	795.93	06/29/20	908.07	112.14 W	0.00	5 of 6 - Sale ²⁵
	90.000	179.09	06/29/20	191.70	12.61 W	0.00	6 of 6 - Sale ²⁵
07/15/20	1,000.000	1,989.83	Various	2,257.55	267.72 W	0.00	Total of 6 transactions
	20 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	400.000	799.94	06/29/20	956.14	...	-156.20	1 of 20 - Sale ²⁵
	300.000	599.95	06/29/20	717.11	...	-117.16	2 of 20 - Sale ²⁵
	100.000	199.98	06/29/20	239.03	...	-39.05	3 of 20 - Sale ²⁵
	100.000	199.98	06/29/20	239.03	...	-39.05	4 of 20 - Sale ²⁵
	55.000	109.99	06/29/20	139.72	...	-29.73	5 of 20 - Sale ²⁵
	45.000	90.00	06/29/20	119.28	...	-29.28	6 of 20 - Sale ²⁵
	43.000	86.00	06/29/20	113.98	...	-27.98	7 of 20 - Sale ²⁵
	90.000	179.99	06/29/20	206.12	...	-26.13	8 of 20 - Sale ²⁵
	45.000	89.99	06/29/20	114.31	...	-24.32	9 of 20 - Sale ²⁵
	45.000	89.99	06/29/20	113.87	...	-23.88	10 of 20 - Sale ²⁵
	90.000	179.99	06/29/20	202.51	...	-22.52	11 of 20 - Sale ²⁵
	55.000	109.99	06/29/20	131.47	...	-21.48	12 of 20 - Sale ²⁵
	145.000	289.98	06/29/20	305.95	...	-15.97	13 of 20 - Sale ²⁵
	65.000	129.99	06/29/20	145.61	...	-15.62	14 of 20 - Sale ²⁵
	34.000	67.99	06/29/20	81.27	...	-13.28	15 of 20 - Sale ²⁵
	45.000	89.99	06/29/20	103.07	...	-13.08	16 of 20 - Sale ²⁵
	12.000	24.00	06/29/20	31.81	...	-7.81	17 of 20 - Sale ²⁵
	11.000	22.00	06/29/20	26.29	...	-4.29	18 of 20 - Sale ²⁵
	10.000	20.00	06/29/20	23.90	...	-3.90	19 of 20 - Sale ²⁵
	10.000	20.00	06/29/20	23.90	...	-3.90	20 of 20 - Sale ²⁵
07/16/20	1,700.000	3,399.74	Various	4,034.37	...	-634.63	Total of 20 transactions
	3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	65.000	129.99	06/29/20	138.45	8.46 W	0.00	1 of 3 - Sale ²⁵
	45.000	89.99	06/29/20	114.32	24.33 W	0.00	2 of 3 - Sale ²⁵
	55.000	109.99	06/29/20	139.73	29.74 W	0.00	3 of 3 - Sale ²⁵
07/16/20	165.000	329.97	Various	392.50	62.53 W	0.00	Total of 3 transactions
	Security total:	65,689.99		64,022.32	1,156.32 W	2,823.99	

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
22 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	30.000	12.00	04/15/20	9.90	...	2.10	1 of 22 - Sale ²⁵
	80.000	32.00	04/15/20	26.41	...	5.59	2 of 22 - Sale ²⁵
	100.000	40.00	04/15/20	33.01	...	6.99	3 of 22 - Sale ²⁵
	100.000	40.00	04/15/20	33.01	...	6.99	4 of 22 - Sale ²⁵
	250.000	99.99	04/15/20	82.53	...	17.46	5 of 22 - Sale ²⁵
	500.000	199.98	04/15/20	165.05	...	34.93	6 of 22 - Sale ²⁵
	500.000	199.98	04/15/20	165.05	...	34.93	7 of 22 - Sale ²⁵
	500.000	199.99	04/15/20	165.05	...	34.94	8 of 22 - Sale ²⁵
	500.000	199.99	04/15/20	165.05	...	34.94	9 of 22 - Sale ²⁵
	500.000	199.99	04/15/20	165.05	...	34.94	10 of 22 - Sale ²⁵
	900.000	359.97	04/15/20	297.09	...	62.88	11 of 22 - Sale ²⁵
	1,100.000	439.97	04/15/20	363.11	...	76.86	12 of 22 - Sale ²⁵
	1,120.000	447.97	04/15/20	369.71	...	78.26	13 of 22 - Sale ²⁵
	1,400.000	559.96	04/15/20	462.14	...	97.82	14 of 22 - Sale ²⁵
	1,400.000	559.96	04/15/20	462.14	...	97.82	15 of 22 - Sale ²⁵
	1,420.000	567.96	04/15/20	468.74	...	99.22	16 of 22 - Sale ²⁵
	1,500.000	599.95	04/15/20	495.15	...	104.80	17 of 22 - Sale ²⁵
	1,500.000	599.96	04/15/20	495.15	...	104.81	18 of 22 - Sale ²⁵
	1,500.000	599.96	04/15/20	495.15	...	104.81	19 of 22 - Sale ²⁵
	1,500.000	599.96	04/15/20	495.15	...	104.81	20 of 22 - Sale ²⁵
	1,500.000	599.96	04/15/20	495.15	...	104.81	21 of 22 - Sale ²⁵
	2,100.000	839.94	04/15/20	693.21	...	146.73	22 of 22 - Sale ²⁵
04/17/20	20,000.000	7,999.44	Various	6,602.00	...	1,397.44	Total of 22 transactions
13 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	3.000	0.99	04/20/20	0.99	...	0.00	1 of 13 - Sale ²⁵
	125.000	41.86	04/20/20	41.44	...	0.42	2 of 13 - Sale ²⁵
	225.000	75.35	04/20/20	74.39	...	0.96	3 of 13 - Sale ²⁵
	328.000	109.84	04/20/20	108.74	...	1.10	4 of 13 - Sale ²⁵
	471.000	157.72	04/20/20	156.32	...	1.40	5 of 13 - Sale ²⁵
	500.000	167.44	04/20/20	165.95	...	1.49	6 of 13 - Sale ²⁵
	376.000	125.91	04/20/20	124.16	...	1.75	7 of 13 - Sale ²⁵
	900.000	301.39	04/20/20	297.57	...	3.82	8 of 13 - Sale ²⁵
	1,500.000	502.31	04/20/20	496.58	...	5.73	9 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	1,500.000	502.31	04/20/20	495.30	...	7.01	10 of 13 - Sale ²⁵
	1,600.000	535.80	04/20/20	528.32	...	7.48	11 of 13 - Sale ²⁵
	2,475.000	828.81	04/20/20	818.30	...	10.51	12 of 13 - Sale ²⁵
	10,000.000	3,348.72	04/20/20	3,302.00	...	46.72	13 of 13 - Sale ²⁵
04/20/20	20,003.000	6,698.45	Various	6,610.06	...	88.39	Total of 13 transactions
	32 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	1,171.000	386.16	04/20/20	386.66	0.50 W	0.00	1 of 32 - Sale ²⁵
	50.000	16.49	04/20/20	16.52	0.03 W	0.00	2 of 32 - Sale ²⁵
	779.000	256.89	04/20/20	257.23	0.34 W	0.00	3 of 32 - Sale ²⁵
	50.000	16.49	04/20/20	16.54	0.05 W	0.00	4 of 32 - Sale ²⁵
	700.000	230.84	04/20/20	231.44	0.60 W	0.00	5 of 32 - Sale ²⁵
	316.000	104.21	04/20/20	104.48	0.27 W	0.00	6 of 32 - Sale ²⁵
	471.000	155.32	04/20/20	155.72	0.40 W	0.00	7 of 32 - Sale ²⁵
	10.000	3.30	04/20/20	3.31	0.01 W	0.00	8 of 32 - Sale ²⁵
	50.000	16.50	04/20/20	16.51	0.01 W	0.00	9 of 32 - Sale ²⁵
	471.000	155.32	04/20/20	155.92	0.60 W	0.00	10 of 32 - Sale ²⁵
	453.000	149.39	04/20/20	149.78	0.39 W	0.00	11 of 32 - Sale ²⁵
	1,500.000	494.66	04/20/20	495.30	0.64 W	0.00	12 of 32 - Sale ²⁵
	701.000	231.17	04/20/20	231.47	0.30 W	0.00	13 of 32 - Sale ²⁵
	10.000	3.30	04/20/20	3.31	0.01 W	0.00	14 of 32 - Sale ²⁵
	50.000	16.49	04/20/20	16.56	0.07 W	0.00	15 of 32 - Sale ²⁵
	316.000	104.21	04/20/20	104.61	0.40 W	0.00	16 of 32 - Sale ²⁵
	700.000	230.84	04/20/20	231.74	0.90 W	0.00	17 of 32 - Sale ²⁵
	2,424.000	799.37	04/20/20	800.41	1.04 W	0.00	18 of 32 - Sale ²⁵
	900.000	296.79	04/20/20	297.18	0.39 W	0.00	19 of 32 - Sale ²⁵
	2,475.000	816.19	04/20/20	817.24	1.05 W	0.00	20 of 32 - Sale ²⁵
	328.000	108.16	04/20/20	108.59	0.43 W	0.00	21 of 32 - Sale ²⁵
	471.000	155.32	04/20/20	156.12	0.80 W	0.00	22 of 32 - Sale ²⁵
	500.000	164.89	04/20/20	165.74	0.85 W	0.00	23 of 32 - Sale ²⁵
	1,500.000	494.66	04/20/20	495.94	1.28 W	0.00	24 of 32 - Sale ²⁵
	125.000	41.22	04/20/20	41.39	0.17 W	0.00	25 of 32 - Sale ²⁵
	76.000	25.06	04/20/20	25.19	0.13 W	0.00	26 of 32 - Sale ²⁵
	10.000	3.30	04/20/20	3.31	0.01 W	0.00	27 of 32 - Sale ²⁵
	50.000	16.49	04/20/20	16.58	0.09 W	0.00	28 of 32 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	124.000	40.89	04/20/20	41.11	0.22 W	0.00	29 of 32 - Sale ²⁵
	316.000	104.21	04/20/20	104.74	0.53 W	0.00	30 of 32 - Sale ²⁵
	476.000	156.97	04/20/20	157.38	0.41 W	0.00	31 of 32 - Sale ²⁵
	2,424.000	799.37	04/20/20	801.45	2.08 W	0.00	32 of 32 - Sale ²⁵
04/20/20	19,997.000	6,594.47	Various	6,609.47	15.00 W	0.00	Total of 32 transactions
	90 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	200.000	63.07	04/20/20	66.19	3.12 W	0.00	1 of 90 - Sale ²⁵
	400.000	126.15	04/20/20	132.38	6.23 W	0.00	2 of 90 - Sale ²⁵
	10.000	3.15	04/20/20	3.46	0.31 W	0.00	3 of 90 - Sale ²⁵
	50.000	15.77	04/20/20	17.33	1.56 W	0.00	4 of 90 - Sale ²⁵
	76.000	23.97	04/20/20	26.34	2.37 W	0.00	5 of 90 - Sale ²⁵
	124.000	39.11	04/20/20	42.97	3.86 W	0.00	6 of 90 - Sale ²⁵
	316.000	99.65	04/20/20	109.49	9.84 W	0.00	7 of 90 - Sale ²⁵
	424.000	133.72	04/20/20	146.57	12.85 W	0.00	8 of 90 - Sale ²⁵
	10.000	3.15	04/20/20	3.31	0.16 W	0.00	9 of 90 - Sale ²⁵
	50.000	15.77	04/20/20	16.60	0.83 W	0.00	10 of 90 - Sale ²⁵
	76.000	23.97	04/20/20	25.22	1.25 W	0.00	11 of 90 - Sale ²⁵
	124.000	39.11	04/20/20	41.15	2.04 W	0.00	12 of 90 - Sale ²⁵
	316.000	99.66	04/20/20	104.84	5.18 W	0.00	13 of 90 - Sale ²⁵
	1,324.000	417.54	04/20/20	438.19	20.65 W	0.00	14 of 90 - Sale ²⁵
	100.000	31.54	04/20/20	33.10	1.56 W	0.00	15 of 90 - Sale ²⁵
	600.000	189.23	04/20/20	198.57	9.34 W	0.00	16 of 90 - Sale ²⁵
	200.000	63.07	04/20/20	72.08	9.01 W	0.00	17 of 90 - Sale ²⁵
	200.000	63.07	04/20/20	72.07	9.00 W	0.00	18 of 90 - Sale ²⁵
	300.000	94.61	04/20/20	108.12	13.51 W	0.00	19 of 90 - Sale ²⁵
	900.000	283.84	04/20/20	310.35	26.51 W	0.00	20 of 90 - Sale ²⁵
	200.000	63.08	04/20/20	72.08	9.00 W	0.00	21 of 90 - Sale ²⁵
	24.000	7.57	04/20/20	8.27	0.70 W	0.00	22 of 90 - Sale ²⁵
	190.000	59.92	04/20/20	65.52	5.60 W	0.00	23 of 90 - Sale ²⁵
	276.000	87.04	04/20/20	95.42	8.38 W	0.00	24 of 90 - Sale ²⁵
	10.000	3.15	04/20/20	3.76	0.61 W	0.00	25 of 90 - Sale ²⁵
	24.000	7.57	04/20/20	9.00	1.43 W	0.00	26 of 90 - Sale ²⁵
	50.000	15.77	04/20/20	18.81	3.04 W	0.00	27 of 90 - Sale ²⁵
	76.000	23.97	04/20/20	28.58	4.61 W	0.00	28 of 90 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	90.000	28.38	04/20/20	33.76	5.38 W	0.00	29 of 90 - Sale ²⁵
	124.000	39.11	04/20/20	46.61	7.50 W	0.00	30 of 90 - Sale ²⁵
	316.000	99.66	04/20/20	118.80	19.14 W	0.00	31 of 90 - Sale ²⁵
	210.000	66.23	04/20/20	72.42	6.19 W	0.00	32 of 90 - Sale ²⁵
	200.000	63.07	04/20/20	75.03	11.96 W	0.00	33 of 90 - Sale ²⁵
	110.000	34.69	04/20/20	41.26	6.57 W	0.00	34 of 90 - Sale ²⁵
	100.000	31.54	04/20/20	37.52	5.98 W	0.00	35 of 90 - Sale ²⁵
	100.000	31.54	04/20/20	34.57	3.03 W	0.00	36 of 90 - Sale ²⁵
	200.000	63.08	04/20/20	69.14	6.06 W	0.00	37 of 90 - Sale ²⁵
	600.000	189.22	04/20/20	207.40	18.18 W	0.00	38 of 90 - Sale ²⁵
	900.000	283.83	04/20/20	311.13	27.30 W	0.00	39 of 90 - Sale ²⁵
	200.000	63.08	04/20/20	69.14	6.06 W	0.00	40 of 90 - Sale ²⁵
	200.000	63.08	04/20/20	69.13	6.05 W	0.00	41 of 90 - Sale ²⁵
	276.000	87.04	04/20/20	91.35	4.31 W	0.00	42 of 90 - Sale ²⁵
	24.000	7.57	04/20/20	7.92	0.35 W	0.00	43 of 90 - Sale ²⁵
	1,300.000	409.98	04/20/20	429.14	19.16 W	0.00	44 of 90 - Sale ²⁵
	10.000	3.15	04/20/20	3.61	0.46 W	0.00	45 of 90 - Sale ²⁵
	24.000	7.57	04/20/20	8.65	1.08 W	0.00	46 of 90 - Sale ²⁵
	50.000	15.77	04/20/20	18.07	2.30 W	0.00	47 of 90 - Sale ²⁵
	76.000	23.97	04/20/20	27.46	3.49 W	0.00	48 of 90 - Sale ²⁵
	124.000	39.11	04/20/20	44.79	5.68 W	0.00	49 of 90 - Sale ²⁵
	316.000	99.66	04/20/20	114.15	14.49 W	0.00	50 of 90 - Sale ²⁵
	100.000	31.54	04/20/20	36.04	4.50 W	0.00	51 of 90 - Sale ²⁵
	100.000	31.54	04/20/20	36.04	4.50 W	0.00	52 of 90 - Sale ²⁵
	400.000	126.15	04/20/20	144.16	18.01 W	0.00	53 of 90 - Sale ²⁵
	900.000	283.83	04/20/20	324.39	40.56 W	0.00	54 of 90 - Sale ²⁵
	200.000	63.07	04/20/20	72.08	9.01 W	0.00	55 of 90 - Sale ²⁵
	90.000	28.38	04/20/20	33.76	5.38 W	0.00	56 of 90 - Sale ²⁵
	200.000	63.07	04/20/20	75.03	11.96 W	0.00	57 of 90 - Sale ²⁵
	300.000	94.61	04/20/20	112.54	17.93 W	0.00	58 of 90 - Sale ²⁵
	90.000	28.38	04/20/20	33.76	5.38 W	0.00	59 of 90 - Sale ²⁵
	110.000	34.69	04/20/20	41.26	6.57 W	0.00	60 of 90 - Sale ²⁵
	10.000	3.15	04/20/20	3.91	0.76 W	0.00	61 of 90 - Sale ²⁵
	10.000	3.15	04/20/20	3.90	0.75 W	0.00	62 of 90 - Sale ²⁵

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(continued)

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	24.000	7.57	04/20/20	9.35	1.78 W	0.00	63 of 90 - Sale ²⁵
	50.000	15.77	04/20/20	19.54	3.77 W	0.00	64 of 90 - Sale ²⁵
	76.000	23.97	04/20/20	29.70	5.73 W	0.00	65 of 90 - Sale ²⁵
	90.000	28.38	04/20/20	35.09	6.71 W	0.00	66 of 90 - Sale ²⁵
	90.000	28.38	04/20/20	35.08	6.70 W	0.00	67 of 90 - Sale ²⁵
	100.000	31.54	04/20/20	38.99	7.45 W	0.00	68 of 90 - Sale ²⁵
	100.000	31.54	04/20/20	38.98	7.44 W	0.00	69 of 90 - Sale ²⁵
	110.000	34.69	04/20/20	42.88	8.19 W	0.00	70 of 90 - Sale ²⁵
	110.000	34.69	04/20/20	42.89	8.20 W	0.00	71 of 90 - Sale ²⁵
	124.000	39.11	04/20/20	48.43	9.32 W	0.00	72 of 90 - Sale ²⁵
	200.000	63.08	04/20/20	77.98	14.90 W	0.00	73 of 90 - Sale ²⁵
	200.000	63.08	04/20/20	77.98	14.90 W	0.00	74 of 90 - Sale ²⁵
	316.000	99.66	04/20/20	123.45	23.79 W	0.00	75 of 90 - Sale ²⁵
	690.000	217.61	04/20/20	269.02	51.41 W	0.00	76 of 90 - Sale ²⁵
	110.000	34.69	04/20/20	41.26	6.57 W	0.00	77 of 90 - Sale ²⁵
	200.000	63.08	04/20/20	72.09	9.01 W	0.00	78 of 90 - Sale ²⁵
	690.000	217.61	04/20/20	258.86	41.25 W	0.00	79 of 90 - Sale ²⁵
	90.000	28.39	04/20/20	33.76	5.37 W	0.00	80 of 90 - Sale ²⁵
	110.000	34.69	04/20/20	41.27	6.58 W	0.00	81 of 90 - Sale ²⁵
	10.000	3.15	04/20/20	3.75	0.60 W	0.00	82 of 90 - Sale ²⁵
	100.000	31.54	04/20/20	37.51	5.97 W	0.00	83 of 90 - Sale ²⁵
	200.000	63.08	04/20/20	75.03	11.95 W	0.00	84 of 90 - Sale ²⁵
	100.000	31.54	04/20/20	38.99	7.45 W	0.00	85 of 90 - Sale ²⁵
	24.000	7.57	04/20/20	8.62	1.05 W	0.00	86 of 90 - Sale ²⁵
	190.000	59.92	04/20/20	68.32	8.40 W	0.00	87 of 90 - Sale ²⁵
	210.000	66.23	04/20/20	75.51	9.28 W	0.00	88 of 90 - Sale ²⁵
	76.000	23.97	04/20/20	27.40	3.43 W	0.00	89 of 90 - Sale ²⁵
	900.000	283.84	04/20/20	323.60	39.76 W	0.00	90 of 90 - Sale ²⁵
04/21/20	20,000.000	6,307.48	Various	7,113.02	805.54 W	0.00	Total of 90 transactions
12 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	10.000	3.20	04/21/20	3.20	...	0.00	1 of 12 - Sale ²⁵
	24.000	7.67	04/21/20	7.60	...	0.07	2 of 12 - Sale ²⁵
	76.000	24.30	04/21/20	24.12	...	0.18	3 of 12 - Sale ²⁵
	61.000	19.50	04/21/20	18.32	...	1.18	4 of 12 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	500.000	159.84	04/21/20	150.10	...	9.74	5 of 12 - Sale ²⁵
	500.000	159.84	04/21/20	150.10	...	9.74	6 of 12 - Sale ²⁵
	500.000	159.84	04/21/20	150.10	...	9.74	7 of 12 - Sale ²⁵
	500.000	159.84	04/21/20	150.10	...	9.74	8 of 12 - Sale ²⁵
	893.000	285.47	04/21/20	268.08	...	17.39	9 of 12 - Sale ²⁵
	1,346.000	430.28	04/21/20	404.07	...	26.21	10 of 12 - Sale ²⁵
	3,000.000	959.02	04/21/20	900.60	...	58.42	11 of 12 - Sale ²⁵
	7,955.000	2,543.00	04/21/20	2,308.54	...	234.46	12 of 12 - Sale ²⁵
04/23/20	15,365.000	4,911.80	Various	4,534.93	...	376.87	Total of 12 transactions
	94 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	76.000	24.30	04/21/20	28.55	4.25 W	0.00	1 of 94 - Sale ²⁵
	124.000	39.64	04/21/20	46.54	6.90 W	0.00	2 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.76	0.56 W	0.00	3 of 94 - Sale ²⁵
	24.000	7.67	04/21/20	8.98	1.31 W	0.00	4 of 94 - Sale ²⁵
	50.000	15.98	04/21/20	18.78	2.80 W	0.00	5 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	33.73	4.96 W	0.00	6 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	37.47	5.50 W	0.00	7 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	41.21	6.05 W	0.00	8 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	74.94	11.01 W	0.00	9 of 94 - Sale ²⁵
	210.000	67.13	04/21/20	78.70	11.57 W	0.00	10 of 94 - Sale ²⁵
	316.000	101.02	04/21/20	118.65	17.63 W	0.00	11 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	32.40	3.63 W	0.00	12 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	32.40	3.63 W	0.00	13 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	39.59	4.43 W	0.00	14 of 94 - Sale ²⁵
	200.000	63.94	04/21/20	71.99	8.05 W	0.00	15 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	72.00	8.07 W	0.00	16 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.75	0.55 W	0.00	17 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	33.72	4.95 W	0.00	18 of 94 - Sale ²⁵
	10.000	3.19	04/21/20	3.75	0.56 W	0.00	19 of 94 - Sale ²⁵
	110.000	35.17	04/21/20	41.22	6.05 W	0.00	20 of 94 - Sale ²⁵
	480.000	153.45	04/21/20	179.86	26.41 W	0.00	21 of 94 - Sale ²⁵
	24.000	7.67	04/21/20	8.54	0.87 W	0.00	22 of 94 - Sale ²⁵
	76.000	24.29	04/21/20	27.07	2.78 W	0.00	23 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	33.71	4.94 W	0.00	24 of 94 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	200.000	63.94	04/21/20	74.94	11.00 W	0.00	25 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.60	0.40 W	0.00	26 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	37.47	5.50 W	0.00	27 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	35.99	4.02 W	0.00	28 of 94 - Sale ²⁵
	24.000	7.67	04/21/20	8.25	0.58 W	0.00	29 of 94 - Sale ²⁵
	76.000	24.30	04/21/20	26.25	1.95 W	0.00	30 of 94 - Sale ²⁵
	190.000	60.74	04/21/20	65.44	4.70 W	0.00	31 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	69.05	5.12 W	0.00	32 of 94 - Sale ²⁵
	210.000	67.13	04/21/20	72.32	5.19 W	0.00	33 of 94 - Sale ²⁵
	900.000	287.71	04/21/20	309.94	22.23 W	0.00	34 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.56	0.36 W	0.00	35 of 94 - Sale ²⁵
	24.000	7.67	04/21/20	8.52	0.85 W	0.00	36 of 94 - Sale ²⁵
	50.000	15.98	04/21/20	17.81	1.83 W	0.00	37 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	31.98	3.21 W	0.00	38 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	35.58	3.61 W	0.00	39 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	35.52	3.55 W	0.00	40 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	39.07	3.91 W	0.00	41 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	71.05	7.12 W	0.00	42 of 94 - Sale ²⁵
	316.000	101.02	04/21/20	112.49	11.47 W	0.00	43 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	35.53	3.56 W	0.00	44 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	39.08	3.92 W	0.00	45 of 94 - Sale ²⁵
	136.000	43.48	04/21/20	46.30	2.82 W	0.00	46 of 94 - Sale ²⁵
	24.000	7.67	04/21/20	8.07	0.40 W	0.00	47 of 94 - Sale ²⁵
	76.000	24.30	04/21/20	25.60	1.30 W	0.00	48 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.55	0.35 W	0.00	49 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.56	0.36 W	0.00	50 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	31.97	3.20 W	0.00	51 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	31.96	3.19 W	0.00	52 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	39.07	3.91 W	0.00	53 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	71.04	7.11 W	0.00	54 of 94 - Sale ²⁵
	480.000	153.44	04/21/20	170.51	17.07 W	0.00	55 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.40	0.20 W	0.00	56 of 94 - Sale ²⁵
	64.000	20.46	04/21/20	21.79	1.33 W	0.00	57 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	30.65	1.88 W	0.00	58 of 94 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	90.000	28.77	04/21/20	30.65	1.88 W	0.00	59 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	37.45	2.29 W	0.00	60 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	68.11	4.18 W	0.00	61 of 94 - Sale ²⁵
	61.000	19.50	04/21/20	20.51	1.01 W	0.00	62 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	35.52	3.55 W	0.00	63 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	34.04	2.07 W	0.00	64 of 94 - Sale ²⁵
	24.000	7.67	04/21/20	7.78	0.11 W	0.00	65 of 94 - Sale ²⁵
	76.000	24.30	04/21/20	24.77	0.47 W	0.00	66 of 94 - Sale ²⁵
	190.000	60.74	04/21/20	61.74	1.00 W	0.00	67 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	65.16	1.23 W	0.00	68 of 94 - Sale ²⁵
	210.000	67.13	04/21/20	68.23	1.10 W	0.00	69 of 94 - Sale ²⁵
	900.000	287.71	04/21/20	292.41	4.70 W	0.00	70 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.36	0.16 W	0.00	71 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.35	0.15 W	0.00	72 of 94 - Sale ²⁵
	10.000	3.20	04/21/20	3.36	0.16 W	0.00	73 of 94 - Sale ²⁵
	24.000	7.67	04/21/20	8.05	0.38 W	0.00	74 of 94 - Sale ²⁵
	39.000	12.47	04/21/20	13.12	0.65 W	0.00	75 of 94 - Sale ²⁵
	50.000	15.98	04/21/20	16.84	0.86 W	0.00	76 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	30.23	1.46 W	0.00	77 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	30.22	1.45 W	0.00	78 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	30.21	1.44 W	0.00	79 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	33.57	1.60 W	0.00	80 of 94 - Sale ²⁵
	100.000	31.97	04/21/20	33.58	1.61 W	0.00	81 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	36.93	1.77 W	0.00	82 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	36.94	1.78 W	0.00	83 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	36.93	1.77 W	0.00	84 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	67.16	3.23 W	0.00	85 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	67.15	3.22 W	0.00	86 of 94 - Sale ²⁵
	316.000	101.02	04/21/20	106.33	5.31 W	0.00	87 of 94 - Sale ²⁵
	480.000	153.44	04/21/20	161.17	7.73 W	0.00	88 of 94 - Sale ²⁵
	64.000	20.46	04/21/20	20.54	0.08 W	0.00	89 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	28.90	0.13 W	0.00	90 of 94 - Sale ²⁵
	90.000	28.77	04/21/20	28.90	0.13 W	0.00	91 of 94 - Sale ²⁵
	110.000	35.16	04/21/20	35.31	0.15 W	0.00	92 of 94 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	136.000	43.48	04/21/20	43.65	0.17 W	0.00	93 of 94 - Sale ²⁵
	200.000	63.93	04/21/20	64.22	0.29 W	0.00	94 of 94 - Sale ²⁵
04/23/20	12,590.000	4,024.68	Various	4,378.61	353.93 W	0.00	Total of 94 transactions
	34 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	10.000	3.30	04/24/20	3.26	...	0.04	1 of 34 - Sale ²⁵
	10.000	3.30	04/24/20	3.26	...	0.04	2 of 34 - Sale ²⁵
	10.000	3.30	04/24/20	3.25	...	0.05	3 of 34 - Sale ²⁵
	24.000	7.91	04/24/20	7.82	...	0.09	4 of 34 - Sale ²⁵
	39.000	12.86	04/24/20	12.75	...	0.11	5 of 34 - Sale ²⁵
	50.000	16.48	04/24/20	16.37	...	0.11	6 of 34 - Sale ²⁵
	61.000	20.11	04/24/20	19.93	...	0.18	7 of 34 - Sale ²⁵
	90.000	29.67	04/24/20	29.38	...	0.29	8 of 34 - Sale ²⁵
	90.000	29.67	04/24/20	29.37	...	0.30	9 of 34 - Sale ²⁵
	90.000	29.67	04/24/20	29.36	...	0.31	10 of 34 - Sale ²⁵
	100.000	32.97	04/24/20	32.63	...	0.34	11 of 34 - Sale ²⁵
	100.000	32.97	04/24/20	32.62	...	0.35	12 of 34 - Sale ²⁵
	110.000	36.26	04/24/20	35.90	...	0.36	13 of 34 - Sale ²⁵
	24.000	7.91	04/24/20	7.55	...	0.36	14 of 34 - Sale ²⁵
	110.000	36.26	04/24/20	35.89	...	0.37	15 of 34 - Sale ²⁵
	110.000	36.26	04/24/20	35.89	...	0.37	16 of 34 - Sale ²⁵
	200.000	65.93	04/24/20	65.27	...	0.66	17 of 34 - Sale ²⁵
	200.000	65.93	04/24/20	65.26	...	0.67	18 of 34 - Sale ²⁵
	316.000	104.18	04/24/20	103.33	...	0.85	19 of 34 - Sale ²⁵
	76.000	25.06	04/24/20	24.05	...	1.01	20 of 34 - Sale ²⁵
	64.000	21.10	04/24/20	19.93	...	1.17	21 of 34 - Sale ²⁵
	480.000	158.24	04/24/20	156.63	...	1.61	22 of 34 - Sale ²⁵
	90.000	29.67	04/24/20	28.05	...	1.62	23 of 34 - Sale ²⁵
	90.000	29.67	04/24/20	28.05	...	1.62	24 of 34 - Sale ²⁵
	110.000	36.26	04/24/20	34.27	...	1.99	25 of 34 - Sale ²⁵
	136.000	44.84	04/24/20	42.36	...	2.48	26 of 34 - Sale ²⁵
	200.000	65.93	04/24/20	63.27	...	2.66	27 of 34 - Sale ²⁵
	190.000	62.64	04/24/20	59.94	...	2.70	28 of 34 - Sale ²⁵
	210.000	69.23	04/24/20	66.24	...	2.99	29 of 34 - Sale ²⁵
	200.000	65.93	04/24/20	62.33	...	3.60	30 of 34 - Sale ²⁵

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Account 114832611

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	900.000	296.71	04/24/20	283.88	...	12.83	31 of 34 - Sale ²⁵
	5,310.000	1,750.57	04/24/20	1,647.16	...	103.41	32 of 34 - Sale ²⁵
	5,875.000	2,055.21	04/24/20	1,822.43	...	232.78	33 of 34 - Sale ²⁵
	14,125.000	4,941.25	04/24/20	4,381.58	...	559.67	34 of 34 - Sale ²⁵
04/27/20	29,800.000	10,227.25	Various	9,289.26	...	937.99	Total of 34 transactions
	2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	100.000	32.97	04/24/20	34.57	1.60 W	0.00	1 of 2 - Sale ²⁵
	100.000	32.97	04/24/20	33.09	0.12 W	0.00	2 of 2 - Sale ²⁵
04/27/20	200.000	65.94	Various	67.66	1.72 W	0.00	Total of 2 transactions
	10 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	100.000	35.97	04/27/20	34.66	...	1.31	1 of 10 - Sale ²⁵
	100.000	35.97	04/27/20	33.18	...	2.79	2 of 10 - Sale ²⁵
	600.000	215.80	04/27/20	198.36	...	17.44	3 of 10 - Sale ²⁵
	800.000	287.74	04/27/20	264.48	...	23.26	4 of 10 - Sale ²⁵
	800.000	287.74	04/27/20	264.48	...	23.26	5 of 10 - Sale ²⁵
	800.000	287.74	04/27/20	264.48	...	23.26	6 of 10 - Sale ²⁵
	4,100.000	1,474.66	04/27/20	1,355.46	...	119.20	7 of 10 - Sale ²⁵
	4,100.000	1,474.66	04/27/20	1,355.46	...	119.20	8 of 10 - Sale ²⁵
	4,300.000	1,546.59	04/27/20	1,421.58	...	125.01	9 of 10 - Sale ²⁵
	4,300.000	1,546.59	04/27/20	1,421.58	...	125.01	10 of 10 - Sale ²⁵
04/30/20	20,000.000	7,193.46	Various	6,613.72	...	579.74	Total of 10 transactions
	7 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	100.000	37.96	04/28/20	32.02	...	5.94	1 of 7 - Sale ²⁵
	300.000	113.87	04/28/20	96.06	...	17.81	2 of 7 - Sale ²⁵
	300.000	113.87	04/28/20	96.06	...	17.81	3 of 7 - Sale ²⁵
	1,936.000	734.85	04/28/20	619.91	...	114.94	4 of 7 - Sale ²⁵
	3,125.000	1,186.16	04/28/20	1,000.63	...	185.53	5 of 7 - Sale ²⁵
	3,439.000	1,305.35	04/28/20	1,101.17	...	204.18	6 of 7 - Sale ²⁵
	10,800.000	4,099.37	04/28/20	3,458.16	...	641.21	7 of 7 - Sale ²⁵
05/04/20	20,000.000	7,591.43	Various	6,404.01	...	1,187.42	Total of 7 transactions
	6 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	24.000	12.47	05/12/20	11.28	...	1.19	1 of 6 - Sale ²⁵
	100.000	51.97	05/12/20	45.02	...	6.95	2 of 6 - Sale ²⁵

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	250.000	129.92	05/12/20	112.55	...	17.37	3 of 6 - Sale ²⁵
	4,976.000	2,585.87	05/12/20	2,339.22	...	246.65	4 of 6 - Sale ²⁵
	5,000.000	2,598.34	05/12/20	2,351.00	...	247.34	5 of 6 - Sale ²⁵
	4,650.000	2,416.46	05/12/20	2,093.43	...	323.03	6 of 6 - Sale ²⁵
05/15/20	15,000.000	7,795.03	Various	6,952.50	...	842.53	Total of 6 transactions
	16 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	0.60	05/12/20	0.45	...	0.15	1 of 16 - Sale ²⁵
	9.000	5.39	05/12/20	4.05	...	1.34	2 of 16 - Sale ²⁵
	350.000	209.60	05/12/20	157.57	...	52.03	3 of 16 - Sale ²⁵
	500.000	299.43	05/12/20	225.10	...	74.33	4 of 16 - Sale ²⁵
	1,051.000	629.41	05/12/20	473.16	...	156.25	5 of 16 - Sale ²⁵
	1,089.000	652.17	05/12/20	490.27	...	161.90	6 of 16 - Sale ²⁵
	2,000.000	1,197.74	05/12/20	900.40	...	297.34	7 of 16 - Sale ²⁵
	4.000	2.40	05/13/20	1.64	...	0.76	8 of 16 - Sale ²⁵
	10.000	5.99	05/13/20	4.10	...	1.89	9 of 16 - Sale ²⁵
	25.000	14.98	05/13/20	10.25	...	4.73	10 of 16 - Sale ²⁵
	371.000	222.18	05/13/20	152.15	...	70.03	11 of 16 - Sale ²⁵
	390.000	233.56	05/13/20	159.94	...	73.62	12 of 16 - Sale ²⁵
	450.000	269.49	05/13/20	184.55	...	84.94	13 of 16 - Sale ²⁵
	500.000	299.44	05/13/20	205.05	...	94.39	14 of 16 - Sale ²⁵
	3,333.000	1,996.02	05/13/20	1,366.86	...	629.16	15 of 16 - Sale ²⁵
	4,917.000	2,944.62	05/13/20	2,016.46	...	928.16	16 of 16 - Sale ²⁵
05/18/20	15,000.000	8,983.02	Various	6,352.00	...	2,631.02	Total of 16 transactions
	18 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	12.000	7.80	05/19/20	6.60	...	1.20	1 of 18 - Sale ²⁵
	50.000	32.48	05/19/20	27.51	...	4.97	2 of 18 - Sale ²⁵
	50.000	32.49	05/19/20	27.51	...	4.98	3 of 18 - Sale ²⁵
	50.000	32.49	05/19/20	27.51	...	4.98	4 of 18 - Sale ²⁵
	85.000	55.22	05/19/20	46.77	...	8.45	5 of 18 - Sale ²⁵
	88.000	57.17	05/19/20	48.42	...	8.75	6 of 18 - Sale ²⁵
	200.000	129.93	05/19/20	110.04	...	19.89	7 of 18 - Sale ²⁵
	200.000	129.94	05/19/20	110.04	...	19.90	8 of 18 - Sale ²⁵
	200.000	129.94	05/19/20	110.04	...	19.90	9 of 18 - Sale ²⁵
	232.000	150.72	05/19/20	127.65	...	23.07	10 of 18 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	256.000	166.32	05/19/20	140.85	...	25.47	11 of 18 - Sale ²⁵
	300.000	194.90	05/19/20	165.06	...	29.84	12 of 18 - Sale ²⁵
	412.000	267.66	05/19/20	226.68	...	40.98	13 of 18 - Sale ²⁵
	500.000	324.84	05/19/20	275.10	...	49.74	14 of 18 - Sale ²⁵
	650.000	422.28	05/19/20	357.63	...	64.65	15 of 18 - Sale ²⁵
	1,715.000	1,114.18	05/19/20	943.59	...	170.59	16 of 18 - Sale ²⁵
	1,234.000	863.38	05/19/20	678.95	...	184.43	17 of 18 - Sale ²⁵
	1,766.000	1,235.61	05/19/20	971.65	...	263.96	18 of 18 - Sale ²⁵
05/20/20	8,000.000	5,347.35	Various	4,401.60	...	945.75	Total of 18 transactions
	46 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	2,000.000	1,459.33	05/19/20	1,100.40	...	358.93	1 of 46 - Sale ²⁵
	12.000	8.88	05/20/20	6.96	...	1.92	2 of 46 - Sale ²⁵
	200.000	147.96	05/20/20	116.04	...	31.92	3 of 46 - Sale ²⁵
	300.000	221.93	05/20/20	174.06	...	47.87	4 of 46 - Sale ²⁵
	488.000	361.00	05/20/20	283.14	...	77.86	5 of 46 - Sale ²⁵
	1,000.000	739.76	05/20/20	580.20	...	159.56	6 of 46 - Sale ²⁵
	3,000.000	2,188.99	05/20/20	1,740.60	...	448.39	7 of 46 - Sale ²⁵
	5,000.000	3,698.81	05/20/20	2,901.00	...	797.81	8 of 46 - Sale ²⁵
	10,000.000	7,296.64	05/20/20	5,902.00	...	1,394.64	9 of 46 - Sale ²⁵
	15.000	11.10	05/21/20	8.12	...	2.98	10 of 46 - Sale ²⁵
	901.000	666.53	05/21/20	487.44	...	179.09	11 of 46 - Sale ²⁵
	9,084.000	6,720.01	05/21/20	4,914.44	...	1,805.57	12 of 46 - Sale ²⁵
	5.000	3.75	05/22/20	2.65	...	1.10	13 of 46 - Sale ²⁵
	45.000	33.74	05/22/20	23.87	...	9.87	14 of 46 - Sale ²⁵
	185.000	138.69	05/22/20	98.12	...	40.57	15 of 46 - Sale ²⁵
	285.000	213.65	05/22/20	151.17	...	62.48	16 of 46 - Sale ²⁵
	500.000	374.84	05/22/20	265.20	...	109.64	17 of 46 - Sale ²⁵
	980.000	734.66	05/22/20	519.79	...	214.87	18 of 46 - Sale ²⁵
	3,000.000	2,219.29	05/22/20	1,591.20	...	628.09	19 of 46 - Sale ²⁵
	10,000.000	7,496.65	05/27/20	5,302.00	...	2,194.65	20 of 46 - Sale ²⁵
	16.000	13.59	05/29/20	7.36	...	6.23	21 of 46 - Sale ²⁵
	20.000	17.00	05/29/20	9.21	...	7.79	22 of 46 - Sale ²⁵
	20.000	17.00	05/29/20	9.20	...	7.80	23 of 46 - Sale ²⁵
	200.000	169.94	05/29/20	92.04	...	77.90	24 of 46 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	400.000	339.87	05/29/20	184.08	...	155.79	25 of 46 - Sale ²⁵
	2,682.000	2,010.60	05/29/20	1,234.26	...	776.34	26 of 46 - Sale ²⁵
	2,000.000	1,699.33	05/29/20	920.40	...	778.93	27 of 46 - Sale ²⁵
	5,318.000	3,986.72	05/29/20	2,447.34	...	1,539.38	28 of 46 - Sale ²⁵
	4,344.000	3,690.93	05/29/20	1,999.11	...	1,691.82	29 of 46 - Sale ²⁵
	3.000	2.73	06/12/20	1.29	...	1.44	30 of 46 - Sale ²⁵
	5.000	4.55	06/12/20	2.15	...	2.40	31 of 46 - Sale ²⁵
	10.000	9.09	06/12/20	4.31	...	4.78	32 of 46 - Sale ²⁵
	17.000	15.45	06/12/20	7.32	...	8.13	33 of 46 - Sale ²⁵
	20.000	18.18	06/12/20	8.61	...	9.57	34 of 46 - Sale ²⁵
	50.000	45.45	06/12/20	21.52	...	23.93	35 of 46 - Sale ²⁵
	100.000	90.89	06/12/20	43.05	...	47.84	36 of 46 - Sale ²⁵
	120.000	109.07	06/12/20	51.66	...	57.41	37 of 46 - Sale ²⁵
	200.000	181.78	06/12/20	86.10	...	95.68	38 of 46 - Sale ²⁵
	379.000	344.46	06/12/20	163.16	...	181.30	39 of 46 - Sale ²⁵
	500.000	454.44	06/12/20	215.25	...	239.19	40 of 46 - Sale ²⁵
	500.000	454.44	06/12/20	215.25	...	239.19	41 of 46 - Sale ²⁵
	500.000	454.44	06/12/20	215.25	...	239.19	42 of 46 - Sale ²⁵
	1,000.000	908.85	06/12/20	430.50	...	478.35	43 of 46 - Sale ²⁵
	3,000.000	2,548.99	06/12/20	1,291.50	...	1,257.49	44 of 46 - Sale ²⁵
	3,596.000	3,268.25	06/12/20	1,548.08	...	1,720.17	45 of 46 - Sale ²⁵
	5,000.000	4,248.31	06/12/20	2,252.50	...	1,995.81	46 of 46 - Sale ²⁵
06/22/20	77,000.000	59,840.56	Various	39,628.90	...	20,211.66	Total of 46 transactions
	46 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	10.000	11.90	06/12/20	4.30	...	7.60	1 of 46 - Sale ²⁵
	1,000.000	1,189.85	06/12/20	430.50	...	759.35	2 of 46 - Sale ²⁵
	1,434.000	1,706.25	06/12/20	617.34	...	1,088.91	3 of 46 - Sale ²⁵
	2,556.000	3,041.27	06/12/20	1,100.36	...	1,940.91	4 of 46 - Sale ²⁵
	5,000.000	5,949.26	06/12/20	2,152.50	...	3,796.76	5 of 46 - Sale ²⁵
	1.000	1.27	06/25/20	1.24	...	0.03	6 of 46 - Sale ²⁵
	1.000	1.27	06/25/20	1.24	...	0.03	7 of 46 - Sale ²⁵
	2.000	2.54	06/25/20	2.48	...	0.06	8 of 46 - Sale ²⁵
	2.000	2.54	06/25/20	2.48	...	0.06	9 of 46 - Sale ²⁵
	9.000	11.43	06/25/20	11.16	...	0.27	10 of 46 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	13.000	16.51	06/25/20	16.12	...	0.39	11 of 46 - Sale ²⁵
	20.000	25.40	06/25/20	24.80	...	0.60	12 of 46 - Sale ²⁵
	20.000	25.40	06/25/20	24.80	...	0.60	13 of 46 - Sale ²⁵
	21.000	26.67	06/25/20	26.04	...	0.63	14 of 46 - Sale ²⁵
	21.000	26.67	06/25/20	26.04	...	0.63	15 of 46 - Sale ²⁵
	38.000	48.25	06/25/20	47.12	...	1.13	16 of 46 - Sale ²⁵
	50.000	63.50	06/25/20	62.00	...	1.50	17 of 46 - Sale ²⁵
	62.000	78.73	06/25/20	76.88	...	1.85	18 of 46 - Sale ²⁵
	65.000	82.54	06/25/20	80.60	...	1.94	19 of 46 - Sale ²⁵
	66.000	83.81	06/25/20	81.84	...	1.97	20 of 46 - Sale ²⁵
	87.000	110.48	06/25/20	107.88	...	2.60	21 of 46 - Sale ²⁵
	98.000	124.45	06/25/20	121.52	...	2.93	22 of 46 - Sale ²⁵
	100.000	126.98	06/25/20	124.00	...	2.98	23 of 46 - Sale ²⁵
	100.000	126.98	06/25/20	124.00	...	2.98	24 of 46 - Sale ²⁵
	100.000	126.98	06/25/20	124.00	...	2.98	25 of 46 - Sale ²⁵
	100.000	126.98	06/25/20	124.00	...	2.98	26 of 46 - Sale ²⁵
	100.000	126.98	06/25/20	124.00	...	2.98	27 of 46 - Sale ²⁵
	100.000	126.99	06/25/20	124.00	...	2.99	28 of 46 - Sale ²⁵
	100.000	126.99	06/25/20	124.00	...	2.99	29 of 46 - Sale ²⁵
	100.000	126.99	06/25/20	124.00	...	2.99	30 of 46 - Sale ²⁵
	100.000	126.99	06/25/20	124.00	...	2.99	31 of 46 - Sale ²⁵
	100.000	126.99	06/25/20	124.00	...	2.99	32 of 46 - Sale ²⁵
	113.000	143.49	06/25/20	140.12	...	3.37	33 of 46 - Sale ²⁵
	115.000	146.04	06/25/20	142.60	...	3.44	34 of 46 - Sale ²⁵
	193.000	245.09	06/25/20	239.32	...	5.77	35 of 46 - Sale ²⁵
	200.000	253.97	06/25/20	248.00	...	5.97	36 of 46 - Sale ²⁵
	200.000	253.97	06/25/20	248.00	...	5.97	37 of 46 - Sale ²⁵
	200.000	253.97	06/25/20	248.00	...	5.97	38 of 46 - Sale ²⁵
	200.000	253.97	06/25/20	248.00	...	5.97	39 of 46 - Sale ²⁵
	200.000	253.97	06/25/20	248.00	...	5.97	40 of 46 - Sale ²⁵
	310.000	393.65	06/25/20	384.40	...	9.25	41 of 46 - Sale ²⁵
	379.000	481.27	06/25/20	469.96	...	11.31	42 of 46 - Sale ²⁵
	387.000	491.43	06/25/20	479.88	...	11.55	43 of 46 - Sale ²⁵
	403.000	511.75	06/25/20	499.72	...	12.03	44 of 46 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	407.000	516.83	06/25/20	504.68	...	12.15	45 of 46 - Sale ²⁵
	793.000	1,006.99	06/25/20	983.32	...	23.67	46 of 46 - Sale ²⁵
06/25/20	15,676.000	19,106.23	Various	11,343.24	...	7,762.99	Total of 46 transactions
06/26/20	5,000.000	5,749.27	06/26/20	5,736.50	...	12.77	Sale ²⁵
	3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	300.000	239.93	07/14/20	213.09	...	26.84	1 of 3 - Sale ²⁵
	4,500.000	3,598.93	07/14/20	3,196.35	...	402.58	2 of 3 - Sale ²⁵
	5,200.000	4,158.76	07/14/20	3,693.56	...	465.20	3 of 3 - Sale ²⁵
07/15/20	10,000.000	7,997.62	Various	7,103.00	...	894.62	Total of 3 transactions
	Security total:	176,433.48		139,740.48	1,176.19 W	37,869.19	
KOPIN CORPORATION COMMON STOCK / CUSIP: 500600101 / Symbol:							
05/11/20	1,900.000	1,276.54	05/08/20	1,197.76	...	78.78	Sale ²⁵
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
	3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	46.000	64.39	07/08/20	60.26	...	4.13	1 of 3 - Sale ²⁵
	2,454.000	3,435.23	07/08/20	3,214.74	...	220.49	2 of 3 - Sale ²⁵
	576.000	806.32	07/13/20	696.96	...	109.36	3 of 3 - Sale ²⁵
07/20/20	3,076.000	4,305.94	Various	3,971.96	...	333.98	Total of 3 transactions
	24 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	3.000	4.53	07/22/20	4.23	...	0.30	1 of 24 - Sale ²⁵
	33.000	49.83	07/22/20	46.53	...	3.30	2 of 24 - Sale ²⁵
	50.000	75.50	07/22/20	70.50	...	5.00	3 of 24 - Sale ²⁵
	100.000	150.98	07/22/20	141.00	...	9.98	4 of 24 - Sale ²⁵
	100.000	150.98	07/22/20	141.00	...	9.98	5 of 24 - Sale ²⁵
	100.000	150.99	07/22/20	141.00	...	9.99	6 of 24 - Sale ²⁵
	102.000	154.01	07/22/20	143.82	...	10.19	7 of 24 - Sale ²⁵
	150.000	226.48	07/22/20	211.50	...	14.98	8 of 24 - Sale ²⁵
	180.000	271.77	07/22/20	253.80	...	17.97	9 of 24 - Sale ²⁵
	198.000	298.95	07/22/20	279.18	...	19.77	10 of 24 - Sale ²⁵
	200.000	301.98	07/22/20	282.00	...	19.98	11 of 24 - Sale ²⁵
	298.000	449.93	07/22/20	420.18	...	29.75	12 of 24 - Sale ²⁵
	381.000	575.25	07/22/20	537.21	...	38.04	13 of 24 - Sale ²⁵

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	400.000	603.93	07/22/20	564.00	...	39.93	14 of 24 - Sale ²⁵
	400.000	603.94	07/22/20	564.00	...	39.94	15 of 24 - Sale ²⁵
	402.000	606.96	07/22/20	566.82	...	40.14	16 of 24 - Sale ²⁵
	500.000	754.92	07/22/20	705.00	...	49.92	17 of 24 - Sale ²⁵
	548.000	827.39	07/22/20	772.68	...	54.71	18 of 24 - Sale ²⁵
	616.000	930.06	07/22/20	868.56	...	61.50	19 of 24 - Sale ²⁵
	619.000	934.59	07/22/20	872.79	...	61.80	20 of 24 - Sale ²⁵
	852.000	1,286.39	07/22/20	1,201.32	...	85.07	21 of 24 - Sale ²⁵
	1,148.000	1,733.30	07/22/20	1,618.68	...	114.62	22 of 24 - Sale ²⁵
	1,220.000	1,842.02	07/22/20	1,720.20	...	121.82	23 of 24 - Sale ²⁵
	1,400.000	2,113.78	07/22/20	1,974.00	...	139.78	24 of 24 - Sale ²⁵
07/28/20	10,000.000	15,098.46	Various	14,100.00	...	998.46	Total of 24 transactions
	46 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	1.000	1.70	08/03/20	1.64	...	0.06	1 of 46 - Sale ²⁵
	1.000	1.70	08/03/20	1.64	...	0.06	2 of 46 - Sale ²⁵
	2.000	3.40	08/03/20	3.28	...	0.12	3 of 46 - Sale ²⁵
	5.000	8.50	08/03/20	8.20	...	0.30	4 of 46 - Sale ²⁵
	6.000	10.20	08/03/20	9.84	...	0.36	5 of 46 - Sale ²⁵
	7.000	11.90	08/03/20	11.48	...	0.42	6 of 46 - Sale ²⁵
	10.000	17.00	08/03/20	16.40	...	0.60	7 of 46 - Sale ²⁵
	10.000	17.00	08/03/20	16.40	...	0.60	8 of 46 - Sale ²⁵
	10.000	17.00	08/03/20	16.40	...	0.60	9 of 46 - Sale ²⁵
	11.000	18.70	08/03/20	18.04	...	0.66	10 of 46 - Sale ²⁵
	12.000	20.40	08/03/20	19.68	...	0.72	11 of 46 - Sale ²⁵
	16.000	27.20	08/03/20	26.24	...	0.96	12 of 46 - Sale ²⁵
	20.000	34.00	08/03/20	32.80	...	1.20	13 of 46 - Sale ²⁵
	20.000	34.00	08/03/20	32.80	...	1.20	14 of 46 - Sale ²⁵
	21.000	35.70	08/03/20	34.44	...	1.26	15 of 46 - Sale ²⁵
	22.000	37.40	08/03/20	36.08	...	1.32	16 of 46 - Sale ²⁵
	22.000	37.40	08/03/20	36.08	...	1.32	17 of 46 - Sale ²⁵
	25.000	42.50	08/03/20	41.00	...	1.50	18 of 46 - Sale ²⁵
	25.000	42.50	08/03/20	41.00	...	1.50	19 of 46 - Sale ²⁵
	32.000	54.40	08/03/20	52.48	...	1.92	20 of 46 - Sale ²⁵
	50.000	85.00	08/03/20	82.00	...	3.00	21 of 46 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	78.000	132.59	08/03/20	127.92	...	4.67	22 of 46 - Sale ²⁵
	90.000	152.98	08/03/20	147.60	...	5.38	23 of 46 - Sale ²⁵
	100.000	169.99	08/03/20	164.00	...	5.99	24 of 46 - Sale ²⁵
	100.000	169.99	08/03/20	164.00	...	5.99	25 of 46 - Sale ²⁵
	100.000	169.99	08/03/20	164.00	...	5.99	26 of 46 - Sale ²⁵
	105.000	178.48	08/03/20	172.20	...	6.28	27 of 46 - Sale ²⁵
	120.000	203.99	08/03/20	196.80	...	7.19	28 of 46 - Sale ²⁵
	129.000	219.28	08/03/20	211.56	...	7.72	29 of 46 - Sale ²⁵
	148.000	251.58	08/03/20	242.72	...	8.86	30 of 46 - Sale ²⁵
	150.000	254.97	08/03/20	246.00	...	8.97	31 of 46 - Sale ²⁵
	150.000	254.98	08/03/20	246.00	...	8.98	32 of 46 - Sale ²⁵
	165.000	280.48	08/03/20	270.60	...	9.88	33 of 46 - Sale ²⁵
	170.000	288.98	08/03/20	278.80	...	10.18	34 of 46 - Sale ²⁵
	200.000	339.98	08/03/20	328.00	...	11.98	35 of 46 - Sale ²⁵
	200.000	339.98	08/03/20	328.00	...	11.98	36 of 46 - Sale ²⁵
	223.000	379.06	08/03/20	365.72	...	13.34	37 of 46 - Sale ²⁵
	285.000	484.47	08/03/20	467.40	...	17.07	38 of 46 - Sale ²⁵
	295.000	501.44	08/03/20	483.80	...	17.64	39 of 46 - Sale ²⁵
	400.000	679.94	08/03/20	656.00	...	23.94	40 of 46 - Sale ²⁵
	484.000	822.72	08/03/20	793.76	...	28.96	41 of 46 - Sale ²⁵
	485.000	824.42	08/03/20	795.40	...	29.02	42 of 46 - Sale ²⁵
	500.000	849.92	08/03/20	820.00	...	29.92	43 of 46 - Sale ²⁵
	695.000	1,181.39	08/03/20	1,139.80	...	41.59	44 of 46 - Sale ²⁵
	1,300.000	2,209.80	08/03/20	2,132.00	...	77.80	45 of 46 - Sale ²⁵
	3,000.000	5,099.52	08/03/20	4,920.00	...	179.52	46 of 46 - Sale ²⁵
08/03/20	10,000.000	16,998.52	Various	16,400.00	...	598.52	Total of 46 transactions
08/26/20	2,000.000	3,419.69	08/24/20	3,400.00	...	19.69	Sale ²⁵
	147 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	198.000	324.69	08/18/20	427.68	102.99 W	0.00	1 of 147 - Sale ²⁵
	4,602.000	7,546.56	08/18/20	9,940.32	2,393.76 W	0.00	2 of 147 - Sale ²⁵
	198.000	324.69	08/18/20	530.67	205.98 W	0.00	3 of 147 - Sale ²⁵
	179.000	293.53	08/18/20	386.64	93.11 W	0.00	4 of 147 - Sale ²⁵
	33.000	54.29	08/18/20	71.28	16.99 W	0.00	5 of 147 - Sale ²⁵
	7.000	11.51	08/18/20	18.76	7.25 W	0.00	6 of 147 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
16.000		26.24	08/18/20	42.88	16.64 W	0.00	7 of 147 - Sale ²⁵
553.000		906.82	08/18/20	1,482.13	575.31 W	0.00	8 of 147 - Sale ²⁵
400.000		655.93	08/18/20	1,072.06	416.13 W	0.00	9 of 147 - Sale ²⁵
260.000		426.37	08/18/20	696.84	270.47 W	0.00	10 of 147 - Sale ²⁵
148.000		242.71	08/18/20	396.67	153.96 W	0.00	11 of 147 - Sale ²⁵
20.000		32.80	08/18/20	53.50	20.70 W	0.00	12 of 147 - Sale ²⁵
32.000		52.47	08/18/20	85.60	33.13 W	0.00	13 of 147 - Sale ²⁵
20.000		32.80	08/18/20	53.50	20.70 W	0.00	14 of 147 - Sale ²⁵
298.000		488.67	08/18/20	797.20	308.53 W	0.00	15 of 147 - Sale ²⁵
102.000		167.26	08/18/20	272.87	105.61 W	0.00	16 of 147 - Sale ²⁵
68.000		111.51	08/18/20	181.91	70.40 W	0.00	17 of 147 - Sale ²⁵
32.000		52.48	08/18/20	85.60	33.12 W	0.00	18 of 147 - Sale ²⁵
58.000		95.11	08/18/20	155.16	60.05 W	0.00	19 of 147 - Sale ²⁵
42.000		68.88	08/18/20	134.41	65.53 W	0.00	20 of 147 - Sale ²⁵
146.000		239.42	08/18/20	467.25	227.83 W	0.00	21 of 147 - Sale ²⁵
2,588.000		4,243.91	08/18/20	6,936.25	2,692.34 W	0.00	22 of 147 - Sale ²⁵
7.000		11.97	08/19/20	21.67	9.70 W	0.00	23 of 147 - Sale ²⁵
10.000		17.10	08/19/20	31.00	13.90 W	0.00	24 of 147 - Sale ²⁵
16.000		27.36	08/19/20	49.60	22.24 W	0.00	25 of 147 - Sale ²⁵
334.000		571.08	08/19/20	1,035.51	464.43 W	0.00	26 of 147 - Sale ²⁵
33.000		56.42	08/19/20	85.15	28.73 W	0.00	27 of 147 - Sale ²⁵
100.000		170.99	08/19/20	258.01	87.02 W	0.00	28 of 147 - Sale ²⁵
33.000		56.43	08/19/20	84.97	28.54 W	0.00	29 of 147 - Sale ²⁵
46.000		78.65	08/19/20	118.69	40.04 W	0.00	30 of 147 - Sale ²⁵
71.000		121.40	08/19/20	220.13	98.73 W	0.00	31 of 147 - Sale ²⁵
10.000		17.10	08/19/20	31.00	13.90 W	0.00	32 of 147 - Sale ²⁵
138.000		235.96	08/19/20	427.85	191.89 W	0.00	33 of 147 - Sale ²⁵
302.000		516.37	08/19/20	936.30	419.93 W	0.00	34 of 147 - Sale ²⁵
42.000		71.81	08/19/20	152.05	80.24 W	0.00	35 of 147 - Sale ²⁵
122.000		208.60	08/19/20	441.70	233.10 W	0.00	36 of 147 - Sale ²⁵
20.000		34.20	08/19/20	61.90	27.70 W	0.00	37 of 147 - Sale ²⁵
20.000		34.20	08/19/20	61.90	27.70 W	0.00	38 of 147 - Sale ²⁵
32.000		54.71	08/19/20	99.05	44.34 W	0.00	39 of 147 - Sale ²⁵
98.000		167.56	08/19/20	303.83	136.27 W	0.00	40 of 147 - Sale ²⁵

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(continued)

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	148.000	253.06	08/19/20	458.84	205.78 W	0.00	41 of 147 - Sale ²⁵
	260.000	444.56	08/19/20	806.07	361.51 W	0.00	42 of 147 - Sale ²⁵
	58.000	99.17	08/19/20	179.53	80.36 W	0.00	43 of 147 - Sale ²⁵
	100.000	170.99	08/19/20	309.53	138.54 W	0.00	44 of 147 - Sale ²⁵
	32.000	54.71	08/19/20	99.04	44.33 W	0.00	45 of 147 - Sale ²⁵
	58.000	99.17	08/19/20	179.53	80.36 W	0.00	46 of 147 - Sale ²⁵
	68.000	116.27	08/19/20	210.48	94.21 W	0.00	47 of 147 - Sale ²⁵
	102.000	174.40	08/19/20	315.73	141.33 W	0.00	48 of 147 - Sale ²⁵
	140.000	239.38	08/19/20	433.35	193.97 W	0.00	49 of 147 - Sale ²⁵
	24.000	41.04	08/19/20	86.89	45.85 W	0.00	50 of 147 - Sale ²⁵
	1,276.000	2,181.76	08/19/20	3,956.00	1,774.24 W	0.00	51 of 147 - Sale ²⁵
	1,300.000	2,222.80	08/19/20	4,030.42	1,807.62 W	0.00	52 of 147 - Sale ²⁵
	42.000	71.81	08/19/20	164.66	92.85 W	0.00	53 of 147 - Sale ²⁵
	122.000	208.60	08/19/20	478.32	269.72 W	0.00	54 of 147 - Sale ²⁵
	7.000	11.97	08/19/20	23.77	11.80 W	0.00	55 of 147 - Sale ²⁵
	10.000	17.10	08/19/20	34.00	16.90 W	0.00	56 of 147 - Sale ²⁵
	10.000	17.10	08/19/20	34.00	16.90 W	0.00	57 of 147 - Sale ²⁵
	16.000	27.36	08/19/20	54.40	27.04 W	0.00	58 of 147 - Sale ²⁵
	54.000	92.33	08/19/20	183.63	91.30 W	0.00	59 of 147 - Sale ²⁵
	71.000	121.40	08/19/20	241.44	120.04 W	0.00	60 of 147 - Sale ²⁵
	138.000	235.96	08/19/20	469.27	233.31 W	0.00	61 of 147 - Sale ²⁵
	302.000	516.37	08/19/20	1,026.95	510.58 W	0.00	62 of 147 - Sale ²⁵
	334.000	571.09	08/19/20	1,135.77	564.68 W	0.00	63 of 147 - Sale ²⁵
	12.000	20.52	08/19/20	36.60	16.08 W	0.00	64 of 147 - Sale ²⁵
	33.000	56.42	08/19/20	95.06	38.64 W	0.00	65 of 147 - Sale ²⁵
	33.000	56.42	08/19/20	94.87	38.45 W	0.00	66 of 147 - Sale ²⁵
	46.000	78.65	08/19/20	132.50	53.85 W	0.00	67 of 147 - Sale ²⁵
	100.000	170.98	08/19/20	288.02	117.04 W	0.00	68 of 147 - Sale ²⁵
	20.000	34.20	08/19/20	67.90	33.70 W	0.00	69 of 147 - Sale ²⁵
	20.000	34.20	08/19/20	67.90	33.70 W	0.00	70 of 147 - Sale ²⁵
	32.000	54.71	08/19/20	108.66	53.95 W	0.00	71 of 147 - Sale ²⁵
	44.000	75.23	08/19/20	149.62	74.39 W	0.00	72 of 147 - Sale ²⁵
	58.000	99.17	08/19/20	196.94	97.77 W	0.00	73 of 147 - Sale ²⁵
	72.000	123.11	08/19/20	244.47	121.36 W	0.00	74 of 147 - Sale ²⁵

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(continued)

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
148.000		253.06	08/19/20	503.26	250.20 W	0.00	75 of 147 - Sale ²⁵
260.000		444.56	08/19/20	884.11	439.55 W	0.00	76 of 147 - Sale ²⁵
28.000		47.88	08/19/20	95.07	47.19 W	0.00	77 of 147 - Sale ²⁵
2,988.000		5,109.01	08/19/20	6,005.88	896.87 W	0.00	78 of 147 - Sale ²⁵
42.000		71.81	08/24/20	164.25	92.44 W	0.00	79 of 147 - Sale ²⁵
122.000		208.60	08/24/20	477.12	268.52 W	0.00	80 of 147 - Sale ²⁵
24.000		41.04	08/24/20	86.65	45.61 W	0.00	81 of 147 - Sale ²⁵
7.000		11.97	08/24/20	23.70	11.73 W	0.00	82 of 147 - Sale ²⁵
10.000		17.10	08/24/20	33.90	16.80 W	0.00	83 of 147 - Sale ²⁵
10.000		17.10	08/24/20	33.90	16.80 W	0.00	84 of 147 - Sale ²⁵
16.000		27.36	08/24/20	54.24	26.88 W	0.00	85 of 147 - Sale ²⁵
44.000		75.23	08/24/20	149.19	73.96 W	0.00	86 of 147 - Sale ²⁵
54.000		92.33	08/24/20	183.10	90.77 W	0.00	87 of 147 - Sale ²⁵
71.000		121.40	08/24/20	240.74	119.34 W	0.00	88 of 147 - Sale ²⁵
106.000		181.24	08/24/20	359.40	178.16 W	0.00	89 of 147 - Sale ²⁵
138.000		235.96	08/24/20	467.91	231.95 W	0.00	90 of 147 - Sale ²⁵
302.000		516.37	08/24/20	1,023.98	507.61 W	0.00	91 of 147 - Sale ²⁵
334.000		571.09	08/24/20	1,132.48	561.39 W	0.00	92 of 147 - Sale ²⁵
12.000		20.52	08/24/20	36.48	15.96 W	0.00	93 of 147 - Sale ²⁵
32.000		54.71	08/24/20	98.73	44.02 W	0.00	94 of 147 - Sale ²⁵
58.000		99.17	08/24/20	178.96	79.79 W	0.00	95 of 147 - Sale ²⁵
68.000		116.27	08/24/20	209.81	93.54 W	0.00	96 of 147 - Sale ²⁵
102.000		174.40	08/24/20	314.73	140.33 W	0.00	97 of 147 - Sale ²⁵
140.000		239.38	08/24/20	431.97	192.59 W	0.00	98 of 147 - Sale ²⁵
1,276.000		2,181.76	08/24/20	3,943.44	1,761.68 W	0.00	99 of 147 - Sale ²⁵
1,300.000		2,222.80	08/24/20	4,017.62	1,794.82 W	0.00	100 of 147 - Sale ²⁵
33.000		56.42	08/24/20	94.74	38.32 W	0.00	101 of 147 - Sale ²⁵
33.000		56.42	08/24/20	94.55	38.13 W	0.00	102 of 147 - Sale ²⁵
46.000		78.65	08/24/20	132.05	53.40 W	0.00	103 of 147 - Sale ²⁵
100.000		170.98	08/24/20	287.04	116.06 W	0.00	104 of 147 - Sale ²⁵
8.000		13.68	08/24/20	27.09	13.41 W	0.00	105 of 147 - Sale ²⁵
20.000		34.20	08/24/20	67.70	33.50 W	0.00	106 of 147 - Sale ²⁵
20.000		34.20	08/24/20	67.70	33.50 W	0.00	107 of 147 - Sale ²⁵
32.000		54.72	08/24/20	108.35	53.63 W	0.00	108 of 147 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	148.000	253.06	08/24/20	501.80	248.74 W	0.00	109 of 147 - Sale ²⁵
	154.000	263.32	08/24/20	522.15	258.83 W	0.00	110 of 147 - Sale ²⁵
	28.000	47.88	08/24/20	94.79	46.91 W	0.00	111 of 147 - Sale ²⁵
	50.000	85.49	08/24/20	169.28	83.79 W	0.00	112 of 147 - Sale ²⁵
	72.000	123.11	08/24/20	243.76	120.65 W	0.00	113 of 147 - Sale ²⁵
	350.000	598.44	08/24/20	700.06	101.62 W	0.00	114 of 147 - Sale ²⁵
	33.000	56.42	08/24/20	66.01	9.59 W	0.00	115 of 147 - Sale ²⁵
	2,605.000	4,454.14	08/24/20	5,210.40	756.26 W	0.00	116 of 147 - Sale ²⁵
	42.000	74.75	08/25/20	152.50	77.75 W	0.00	117 of 147 - Sale ²⁵
	25.000	44.50	08/25/20	90.77	46.27 W	0.00	118 of 147 - Sale ²⁵
	97.000	172.64	08/25/20	352.21	179.57 W	0.00	119 of 147 - Sale ²⁵
	24.000	42.72	08/25/20	79.93	37.21 W	0.00	120 of 147 - Sale ²⁵
	7.000	12.46	08/25/20	21.74	9.28 W	0.00	121 of 147 - Sale ²⁵
	10.000	17.80	08/25/20	31.10	13.30 W	0.00	122 of 147 - Sale ²⁵
	16.000	28.48	08/25/20	49.76	21.28 W	0.00	123 of 147 - Sale ²⁵
	45.000	80.09	08/25/20	139.99	59.90 W	0.00	124 of 147 - Sale ²⁵
	334.000	594.46	08/25/20	1,039.01	444.55 W	0.00	125 of 147 - Sale ²⁵
	10.000	17.80	08/25/20	31.10	13.30 W	0.00	126 of 147 - Sale ²⁵
	26.000	46.28	08/25/20	80.88	34.60 W	0.00	127 of 147 - Sale ²⁵
	31.000	55.17	08/25/20	96.43	41.26 W	0.00	128 of 147 - Sale ²⁵
	10.000	17.80	08/25/20	31.11	13.31 W	0.00	129 of 147 - Sale ²⁵
	97.000	172.64	08/25/20	301.75	129.11 W	0.00	130 of 147 - Sale ²⁵
	226.000	402.24	08/25/20	703.05	300.81 W	0.00	131 of 147 - Sale ²⁵
	44.000	78.31	08/25/20	136.88	58.57 W	0.00	132 of 147 - Sale ²⁵
	54.000	96.11	08/25/20	167.99	71.88 W	0.00	133 of 147 - Sale ²⁵
	76.000	135.27	08/25/20	236.42	101.15 W	0.00	134 of 147 - Sale ²⁵
	106.000	188.67	08/25/20	329.74	141.07 W	0.00	135 of 147 - Sale ²⁵
	32.000	56.96	08/25/20	89.78	32.82 W	0.00	136 of 147 - Sale ²⁵
	55.000	97.89	08/25/20	154.31	56.42 W	0.00	137 of 147 - Sale ²⁵
	68.000	121.03	08/25/20	190.78	69.75 W	0.00	138 of 147 - Sale ²⁵
	102.000	181.54	08/25/20	286.19	104.65 W	0.00	139 of 147 - Sale ²⁵
	140.000	249.18	08/25/20	392.79	143.61 W	0.00	140 of 147 - Sale ²⁵
	3.000	5.34	08/25/20	8.42	3.08 W	0.00	141 of 147 - Sale ²⁵
	220.000	391.56	08/25/20	618.34	226.78 W	0.00	142 of 147 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	100.000	177.98	08/25/20	281.06	103.08 W	0.00	143 of 147 - Sale ²⁵
	77.000	137.05	08/25/20	216.42	79.37 W	0.00	144 of 147 - Sale ²⁵
	123.000	218.92	08/25/20	345.71	126.79 W	0.00	145 of 147 - Sale ²⁵
	177.000	315.02	08/25/20	497.48	182.46 W	0.00	146 of 147 - Sale ²⁵
	123.000	218.93	08/25/20	345.71	126.78 W	0.00	147 of 147 - Sale ²⁵
08/26/20	30,500.000	51,625.41	Various	82,141.09	30,515.68 W	0.00	Total of 147 transactions
	80 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	200.000	357.97	08/25/20	562.12	204.15 W	0.00	1 of 80 - Sale ²⁵
	44.000	78.75	08/25/20	123.67	44.92 W	0.00	2 of 80 - Sale ²⁵
	256.000	458.20	08/25/20	719.52	261.32 W	0.00	3 of 80 - Sale ²⁵
	100.000	178.98	08/25/20	281.06	102.08 W	0.00	4 of 80 - Sale ²⁵
	50.000	89.50	08/25/20	140.53	51.03 W	0.00	5 of 80 - Sale ²⁵
	216.000	386.61	08/25/20	607.10	220.49 W	0.00	6 of 80 - Sale ²⁵
	34.000	60.85	08/25/20	95.56	34.71 W	0.00	7 of 80 - Sale ²⁵
	250.000	447.46	08/25/20	702.66	255.20 W	0.00	8 of 80 - Sale ²⁵
	40.000	71.60	08/25/20	112.43	40.83 W	0.00	9 of 80 - Sale ²⁵
	27.000	48.33	08/25/20	75.89	27.56 W	0.00	10 of 80 - Sale ²⁵
	50.000	89.50	08/25/20	140.53	51.03 W	0.00	11 of 80 - Sale ²⁵
	129.000	230.89	08/25/20	362.57	131.68 W	0.00	12 of 80 - Sale ²⁵
	104.000	186.14	08/25/20	292.30	106.16 W	0.00	13 of 80 - Sale ²⁵
	200.000	357.97	08/25/20	562.12	204.15 W	0.00	14 of 80 - Sale ²⁵
	12.000	21.48	08/25/20	33.12	11.64 W	0.00	15 of 80 - Sale ²⁵
	56.000	100.23	08/25/20	157.40	57.17 W	0.00	16 of 80 - Sale ²⁵
	33.000	59.06	08/25/20	85.51	26.45 W	0.00	17 of 80 - Sale ²⁵
	99.000	177.19	08/25/20	256.47	79.28 W	0.00	18 of 80 - Sale ²⁵
	120.000	214.78	08/25/20	373.29	158.51 W	0.00	19 of 80 - Sale ²⁵
	1.000	1.79	08/25/20	2.59	0.80 W	0.00	20 of 80 - Sale ²⁵
	33.000	59.07	08/25/20	85.32	26.25 W	0.00	21 of 80 - Sale ²⁵
	46.000	82.33	08/25/20	119.18	36.85 W	0.00	22 of 80 - Sale ²⁵
	14.000	25.06	08/25/20	43.47	18.41 W	0.00	23 of 80 - Sale ²⁵
	34.000	60.85	08/25/20	105.76	44.91 W	0.00	24 of 80 - Sale ²⁵
	148.000	264.90	08/25/20	460.38	195.48 W	0.00	25 of 80 - Sale ²⁵
	1.000	1.79	08/25/20	3.10	1.31 W	0.00	26 of 80 - Sale ²⁵
	8.000	14.32	08/25/20	24.85	10.53 W	0.00	27 of 80 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	5.000	8.95	08/25/20	15.53	6.58 W	0.00	28 of 80 - Sale ²⁵
	20.000	35.80	08/25/20	62.10	26.30 W	0.00	29 of 80 - Sale ²⁵
	32.000	57.28	08/25/20	99.39	42.11 W	0.00	30 of 80 - Sale ²⁵
	35.000	62.64	08/25/20	108.70	46.06 W	0.00	31 of 80 - Sale ²⁵
	3.000	5.37	08/25/20	9.32	3.95 W	0.00	32 of 80 - Sale ²⁵
	12.000	21.48	08/25/20	37.27	15.79 W	0.00	33 of 80 - Sale ²⁵
	16.000	28.64	08/25/20	49.69	21.05 W	0.00	34 of 80 - Sale ²⁵
	72.000	128.87	08/25/20	223.61	94.74 W	0.00	35 of 80 - Sale ²⁵
	12.000	20.28	08/25/20	37.26	16.98 W	0.00	36 of 80 - Sale ²⁵
	88.000	148.71	08/25/20	151.39	2.68 W	0.00	37 of 80 - Sale ²⁵
	200.000	337.97	08/25/20	344.07	6.10 W	0.00	38 of 80 - Sale ²⁵
	33.000	55.76	08/25/20	56.78	1.02 W	0.00	39 of 80 - Sale ²⁵
	62.000	104.77	08/25/20	106.66	1.89 W	0.00	40 of 80 - Sale ²⁵
	205.000	346.42	08/25/20	352.66	6.24 W	0.00	41 of 80 - Sale ²⁵
	400.000	675.94	08/25/20	688.13	12.19 W	0.00	42 of 80 - Sale ²⁵
	600.000	1,013.90	08/25/20	1,032.19	18.29 W	0.00	43 of 80 - Sale ²⁵
	500.000	844.92	08/25/20	860.15	15.23 W	0.00	44 of 80 - Sale ²⁵
	400.000	675.94	08/25/20	688.12	12.18 W	0.00	45 of 80 - Sale ²⁵
	115.000	194.34	08/25/20	197.84	3.50 W	0.00	46 of 80 - Sale ²⁵
	385.000	650.59	08/25/20	662.32	11.73 W	0.00	47 of 80 - Sale ²⁵
	25.000	42.25	08/25/20	82.02	39.77 W	0.00	48 of 80 - Sale ²⁵
	42.000	70.97	08/25/20	137.81	66.84 W	0.00	49 of 80 - Sale ²⁵
	97.000	163.91	08/25/20	318.28	154.37 W	0.00	50 of 80 - Sale ²⁵
	24.000	40.56	08/25/20	71.53	30.97 W	0.00	51 of 80 - Sale ²⁵
	2.000	3.38	08/25/20	5.51	2.13 W	0.00	52 of 80 - Sale ²⁵
	10.000	16.90	08/25/20	27.60	10.70 W	0.00	53 of 80 - Sale ²⁵
	5.000	8.45	08/25/20	13.78	5.33 W	0.00	54 of 80 - Sale ²⁵
	16.000	27.04	08/25/20	44.16	17.12 W	0.00	55 of 80 - Sale ²⁵
	179.000	302.48	08/25/20	494.22	191.74 W	0.00	56 of 80 - Sale ²⁵
	10.000	16.90	08/25/20	27.60	10.70 W	0.00	57 of 80 - Sale ²⁵
	10.000	16.90	08/25/20	27.61	10.71 W	0.00	58 of 80 - Sale ²⁵
	26.000	43.94	08/25/20	71.78	27.84 W	0.00	59 of 80 - Sale ²⁵
	26.000	43.94	08/25/20	71.79	27.85 W	0.00	60 of 80 - Sale ²⁵
	31.000	52.38	08/25/20	85.59	33.21 W	0.00	61 of 80 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	45.000	76.04	08/25/20	124.25	48.21 W	0.00	62 of 80 - Sale ²⁵
	97.000	163.91	08/25/20	267.82	103.91 W	0.00	63 of 80 - Sale ²⁵
	155.000	261.92	08/25/20	427.95	166.03 W	0.00	64 of 80 - Sale ²⁵
	44.000	74.35	08/25/20	121.49	47.14 W	0.00	65 of 80 - Sale ²⁵
	54.000	91.25	08/25/20	149.10	57.85 W	0.00	66 of 80 - Sale ²⁵
	76.000	128.43	08/25/20	209.83	81.40 W	0.00	67 of 80 - Sale ²⁵
	106.000	179.12	08/25/20	292.65	113.53 W	0.00	68 of 80 - Sale ²⁵
	200.000	337.97	08/25/20	552.20	214.23 W	0.00	69 of 80 - Sale ²⁵
	20.000	33.80	08/25/20	49.12	15.32 W	0.00	70 of 80 - Sale ²⁵
	10.000	16.90	08/25/20	24.56	7.66 W	0.00	71 of 80 - Sale ²⁵
	68.000	114.91	08/25/20	166.99	52.08 W	0.00	72 of 80 - Sale ²⁵
	102.000	172.36	08/25/20	250.51	78.15 W	0.00	73 of 80 - Sale ²⁵
	120.000	202.78	08/25/20	294.69	91.91 W	0.00	74 of 80 - Sale ²⁵
	15.000	25.35	08/25/20	36.83	11.48 W	0.00	75 of 80 - Sale ²⁵
	3.000	5.07	08/25/20	7.37	2.30 W	0.00	76 of 80 - Sale ²⁵
	7.000	11.83	08/25/20	17.19	5.36 W	0.00	77 of 80 - Sale ²⁵
	55.000	92.94	08/25/20	135.07	42.13 W	0.00	78 of 80 - Sale ²⁵
	100.000	168.98	08/25/20	246.08	77.10 W	0.00	79 of 80 - Sale ²⁵
	220.000	371.76	08/25/20	541.38	169.62 W	0.00	80 of 80 - Sale ²⁵
08/27/20	7,500.000	12,923.84	Various	17,706.04	4,782.20 W	0.00	Total of 80 transactions
34 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	75.000	93.74	09/02/20	175.56	81.82 W	0.00	1 of 34 - Sale ²⁵
	1.000	1.25	09/02/20	2.62	1.37 W	0.00	2 of 34 - Sale ²⁵
	3.000	3.75	09/02/20	7.88	4.13 W	0.00	3 of 34 - Sale ²⁵
	5.000	6.25	09/02/20	13.13	6.88 W	0.00	4 of 34 - Sale ²⁵
	8.000	10.00	09/02/20	21.01	11.01 W	0.00	5 of 34 - Sale ²⁵
	12.000	15.00	09/02/20	31.51	16.51 W	0.00	6 of 34 - Sale ²⁵
	12.000	15.00	09/02/20	32.70	17.70 W	0.00	7 of 34 - Sale ²⁵
	14.000	17.50	09/02/20	36.75	19.25 W	0.00	8 of 34 - Sale ²⁵
	16.000	20.00	09/02/20	42.01	22.01 W	0.00	9 of 34 - Sale ²⁵
	20.000	25.00	09/02/20	52.50	27.50 W	0.00	10 of 34 - Sale ²⁵
	32.000	40.00	09/02/20	84.03	44.03 W	0.00	11 of 34 - Sale ²⁵
	34.000	42.49	09/02/20	89.45	46.96 W	0.00	12 of 34 - Sale ²⁵
	35.000	43.74	09/02/20	91.91	48.17 W	0.00	13 of 34 - Sale ²⁵

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(continued)

02/14/2021

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	72.000	89.99	09/02/20	189.06	99.07 W	0.00	14 of 34 - Sale ²⁵
	120.000	149.98	09/02/20	315.71	165.73 W	0.00	15 of 34 - Sale ²⁵
	148.000	184.98	09/02/20	389.36	204.38 W	0.00	16 of 34 - Sale ²⁵
	12.000	15.00	09/02/20	27.36	12.36 W	0.00	17 of 34 - Sale ²⁵
	27.000	33.75	09/02/20	62.93	29.18 W	0.00	18 of 34 - Sale ²⁵
	34.000	42.50	09/02/20	79.25	36.75 W	0.00	19 of 34 - Sale ²⁵
	40.000	49.99	09/02/20	93.23	43.24 W	0.00	20 of 34 - Sale ²⁵
	44.000	54.99	09/02/20	102.56	47.57 W	0.00	21 of 34 - Sale ²⁵
	50.000	62.49	09/02/20	116.53	54.04 W	0.00	22 of 34 - Sale ²⁵
	50.000	62.49	09/02/20	116.53	54.04 W	0.00	23 of 34 - Sale ²⁵
	56.000	69.99	09/02/20	130.53	60.54 W	0.00	24 of 34 - Sale ²⁵
	100.000	124.98	09/02/20	233.08	108.10 W	0.00	25 of 34 - Sale ²⁵
	104.000	129.99	09/02/20	242.40	112.41 W	0.00	26 of 34 - Sale ²⁵
	129.000	161.23	09/02/20	300.67	139.44 W	0.00	27 of 34 - Sale ²⁵
	200.000	249.97	09/02/20	466.15	216.18 W	0.00	28 of 34 - Sale ²⁵
	200.000	249.97	09/02/20	466.15	216.18 W	0.00	29 of 34 - Sale ²⁵
	216.000	269.97	09/02/20	503.45	233.48 W	0.00	30 of 34 - Sale ²⁵
	250.000	312.46	09/02/20	582.70	270.24 W	0.00	31 of 34 - Sale ²⁵
	256.000	319.96	09/02/20	596.68	276.72 W	0.00	32 of 34 - Sale ²⁵
	2.000	2.50	09/02/20	4.68	2.18 W	0.00	33 of 34 - Sale ²⁵
	123.000	153.73	09/02/20	287.92	134.19 W	0.00	34 of 34 - Sale ²⁵
09/04/20	2,500.000	3,124.63	Various	5,987.99	2,863.36 W	0.00	Total of 34 transactions
	91 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	256.000	345.56	09/02/20	612.08	...	-266.52	1 of 91 - Sale ²⁵
	250.000	337.46	09/02/20	597.74	...	-260.28	2 of 91 - Sale ²⁵
	216.000	291.57	09/02/20	516.44	...	-224.87	3 of 91 - Sale ²⁵
	200.000	269.97	09/02/20	478.18	...	-208.21	4 of 91 - Sale ²⁵
	200.000	269.97	09/02/20	476.23	...	-206.26	5 of 91 - Sale ²⁵
	148.000	199.78	09/02/20	398.26	...	-198.48	6 of 91 - Sale ²⁵
	179.000	241.62	09/02/20	426.23	...	-184.61	7 of 91 - Sale ²⁵
	177.000	238.92	09/02/20	414.33	...	-175.41	8 of 91 - Sale ²⁵
	120.000	161.98	09/02/20	322.93	...	-160.95	9 of 91 - Sale ²⁵
	220.000	296.97	09/02/20	457.82	...	-160.85	10 of 91 - Sale ²⁵
	155.000	209.23	09/02/20	369.08	...	-159.85	11 of 91 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
97.000		130.94	09/02/20	281.44	...	-150.50	12 of 91 - Sale ²⁵
126.000		170.08	09/02/20	301.26	...	-131.18	13 of 91 - Sale ²⁵
123.000		166.03	09/02/20	287.91	...	-121.88	14 of 91 - Sale ²⁵
106.000		143.08	09/02/20	252.39	...	-109.31	15 of 91 - Sale ²⁵
100.000		134.98	09/02/20	239.10	...	-104.12	16 of 91 - Sale ²⁵
97.000		130.94	09/02/20	230.98	...	-100.04	17 of 91 - Sale ²⁵
72.000		97.19	09/02/20	193.39	...	-96.20	18 of 91 - Sale ²⁵
120.000		161.98	09/02/20	249.11	...	-87.13	19 of 91 - Sale ²⁵
75.000		101.24	09/02/20	180.07	...	-78.83	20 of 91 - Sale ²⁵
76.000		102.59	09/02/20	180.96	...	-78.37	21 of 91 - Sale ²⁵
99.000		133.64	09/02/20	208.97	...	-75.33	22 of 91 - Sale ²⁵
102.000		137.68	09/02/20	211.77	...	-74.09	23 of 91 - Sale ²⁵
100.000		134.98	09/02/20	208.10	...	-73.12	24 of 91 - Sale ²⁵
42.000		56.69	09/02/20	121.86	...	-65.17	25 of 91 - Sale ²⁵
54.000		72.89	09/02/20	128.59	...	-55.70	26 of 91 - Sale ²⁵
50.000		67.49	09/02/20	119.54	...	-52.05	27 of 91 - Sale ²⁵
50.000		67.49	09/02/20	119.54	...	-52.05	28 of 91 - Sale ²⁵
68.000		91.79	09/02/20	141.16	...	-49.37	29 of 91 - Sale ²⁵
35.000		47.24	09/02/20	94.02	...	-46.78	30 of 91 - Sale ²⁵
45.000		60.74	09/02/20	107.16	...	-46.42	31 of 91 - Sale ²⁵
44.000		59.39	09/02/20	105.21	...	-45.82	32 of 91 - Sale ²⁵
34.000		45.89	09/02/20	91.50	...	-45.61	33 of 91 - Sale ²⁵
44.000		59.39	09/02/20	104.78	...	-45.39	34 of 91 - Sale ²⁵
32.000		43.20	09/02/20	85.95	...	-42.75	35 of 91 - Sale ²⁵
40.000		53.99	09/02/20	95.64	...	-41.65	36 of 91 - Sale ²⁵
55.000		74.24	09/02/20	114.18	...	-39.94	37 of 91 - Sale ²⁵
25.000		33.75	09/02/20	72.52	...	-38.77	38 of 91 - Sale ²⁵
34.000		45.89	09/02/20	81.29	...	-35.40	39 of 91 - Sale ²⁵
46.000		62.09	09/02/20	97.11	...	-35.02	40 of 91 - Sale ²⁵
31.000		41.85	09/02/20	73.82	...	-31.97	41 of 91 - Sale ²⁵
24.000		32.40	09/02/20	62.41	...	-30.01	42 of 91 - Sale ²⁵
27.000		36.45	09/02/20	64.55	...	-28.10	43 of 91 - Sale ²⁵
26.000		35.10	09/02/20	61.91	...	-26.81	44 of 91 - Sale ²⁵
26.000		35.10	09/02/20	61.90	...	-26.80	45 of 91 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	20.000	27.00	09/02/20	53.70	...	-26.70	46 of 91 - Sale ²⁵
	33.000	44.55	09/02/20	69.68	...	-25.13	47 of 91 - Sale ²⁵
	33.000	44.55	09/02/20	69.48	...	-24.93	48 of 91 - Sale ²⁵
	16.000	21.60	09/02/20	42.97	...	-21.37	49 of 91 - Sale ²⁵
	14.000	18.90	09/02/20	37.59	...	-18.69	50 of 91 - Sale ²⁵
	12.000	16.20	09/02/20	33.42	...	-17.22	51 of 91 - Sale ²⁵
	16.000	21.60	09/02/20	38.08	...	-16.48	52 of 91 - Sale ²⁵
	12.000	16.20	09/02/20	32.23	...	-16.03	53 of 91 - Sale ²⁵
	20.000	27.00	09/02/20	41.52	...	-14.52	54 of 91 - Sale ²⁵
	15.000	20.25	09/02/20	31.13	...	-10.88	55 of 91 - Sale ²⁵
	8.000	10.80	09/02/20	21.49	...	-10.69	56 of 91 - Sale ²⁵
	10.000	13.50	09/02/20	23.81	...	-10.31	57 of 91 - Sale ²⁵
	10.000	13.50	09/02/20	23.80	...	-10.30	58 of 91 - Sale ²⁵
	10.000	13.50	09/02/20	23.80	...	-10.30	59 of 91 - Sale ²⁵
	10.000	13.50	09/02/20	20.76	...	-7.26	60 of 91 - Sale ²⁵
	5.000	6.75	09/02/20	13.43	...	-6.68	61 of 91 - Sale ²⁵
	5.000	6.75	09/02/20	11.88	...	-5.13	62 of 91 - Sale ²⁵
	7.000	9.45	09/02/20	14.53	...	-5.08	63 of 91 - Sale ²⁵
	3.000	4.05	09/02/20	8.06	...	-4.01	64 of 91 - Sale ²⁵
	3.000	4.05	09/02/20	6.23	...	-2.18	65 of 91 - Sale ²⁵
	2.000	2.70	09/02/20	4.75	...	-2.05	66 of 91 - Sale ²⁵
	1.000	1.35	09/02/20	2.68	...	-1.33	67 of 91 - Sale ²⁵
	1.000	1.35	09/02/20	2.11	...	-0.76	68 of 91 - Sale ²⁵
	25.000	33.75	09/02/20	33.51	...	0.24	69 of 91 - Sale ²⁵
	33.000	44.55	09/02/20	44.25	...	0.30	70 of 91 - Sale ²⁵
	62.000	83.69	09/02/20	83.11	...	0.58	71 of 91 - Sale ²⁵
	88.000	118.79	09/02/20	117.96	...	0.83	72 of 91 - Sale ²⁵
	115.000	155.23	09/02/20	154.15	...	1.08	73 of 91 - Sale ²⁵
	200.000	269.97	09/02/20	268.10	...	1.87	74 of 91 - Sale ²⁵
	205.000	276.72	09/02/20	274.79	...	1.93	75 of 91 - Sale ²⁵
	385.000	519.69	09/02/20	516.08	...	3.61	76 of 91 - Sale ²⁵
	400.000	539.94	09/02/20	536.19	...	3.75	77 of 91 - Sale ²⁵
	400.000	539.94	09/02/20	536.18	...	3.76	78 of 91 - Sale ²⁵
	475.000	641.18	09/02/20	636.72	...	4.46	79 of 91 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 114832611
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	600.000	809.91	09/02/20	804.29	...	5.62	80 of 91 - Sale ²⁵
	200.000	269.97	09/04/20	448.18	...	-178.21	81 of 91 - Sale ²⁵
	123.000	166.03	09/04/20	276.87	...	-110.84	82 of 91 - Sale ²⁵
	104.000	140.38	09/04/20	233.05	...	-92.67	83 of 91 - Sale ²⁵
	56.000	75.59	09/04/20	125.50	...	-49.91	84 of 91 - Sale ²⁵
	12.000	16.20	09/04/20	26.28	...	-10.08	85 of 91 - Sale ²⁵
	3.000	4.05	09/04/20	6.72	...	-2.67	86 of 91 - Sale ²⁵
	2.000	2.70	09/04/20	4.50	...	-1.80	87 of 91 - Sale ²⁵
	711.000	959.74	09/04/20	824.76	...	134.98	88 of 91 - Sale ²⁵
	1,800.000	2,429.73	09/04/20	2,088.00	...	341.73	89 of 91 - Sale ²⁵
	2,289.000	3,089.81	09/04/20	2,655.24	...	434.57	90 of 91 - Sale ²⁵
	4,700.000	6,344.29	09/04/20	5,452.00	...	892.29	91 of 91 - Sale ²⁵
09/14/20	17,500.000	23,622.39	Various	26,872.97	...	-3,250.58	Total of 91 transactions
	27 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	100.000	128.98	10/28/20	125.00	...	3.98	1 of 27 - Sale ²⁵
	100.000	128.99	10/28/20	125.00	...	3.99	2 of 27 - Sale ²⁵
	100.000	128.99	10/28/20	125.00	...	3.99	3 of 27 - Sale ²⁵
	100.000	128.99	10/28/20	125.00	...	3.99	4 of 27 - Sale ²⁵
	100.000	128.99	10/28/20	125.00	...	3.99	5 of 27 - Sale ²⁵
	100.000	128.99	10/28/20	125.00	...	3.99	6 of 27 - Sale ²⁵
	100.000	128.99	10/28/20	125.00	...	3.99	7 of 27 - Sale ²⁵
	100.000	128.99	10/28/20	125.00	...	3.99	8 of 27 - Sale ²⁵
	200.000	257.98	10/28/20	250.00	...	7.98	9 of 27 - Sale ²⁵
	200.000	257.98	10/28/20	250.00	...	7.98	10 of 27 - Sale ²⁵
	300.000	386.96	10/28/20	375.00	...	11.96	11 of 27 - Sale ²⁵
	500.000	644.92	10/28/20	625.00	...	19.92	12 of 27 - Sale ²⁵
	600.000	773.91	10/28/20	750.00	...	23.91	13 of 27 - Sale ²⁵
	2,600.000	3,353.62	10/28/20	3,250.00	...	103.62	14 of 27 - Sale ²⁵
	4,800.000	6,191.29	10/28/20	6,000.00	...	191.29	15 of 27 - Sale ²⁵
	99.000	127.70	10/30/20	118.80	...	8.90	16 of 27 - Sale ²⁵
	100.000	128.99	10/30/20	120.00	...	8.99	17 of 27 - Sale ²⁵
	100.000	129.99	10/30/20	115.00	...	14.99	18 of 27 - Sale ²⁵
	120.000	155.98	10/30/20	138.00	...	17.98	19 of 27 - Sale ²⁵
	200.000	259.97	10/30/20	230.00	...	29.97	20 of 27 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	384.000	499.14	10/30/20	441.60	...	57.54	21 of 27 - Sale ²⁵
	600.000	779.91	10/30/20	690.00	...	89.91	22 of 27 - Sale ²⁵
	1,000.000	1,299.85	10/30/20	1,150.00	...	149.85	23 of 27 - Sale ²⁵
	2,301.000	2,967.94	10/30/20	2,761.20	...	206.74	24 of 27 - Sale ²⁵
	1,400.000	1,819.79	10/30/20	1,610.00	...	209.79	25 of 27 - Sale ²⁵
	1,780.000	2,313.74	10/30/20	2,047.00	...	266.74	26 of 27 - Sale ²⁵
	4,416.000	5,740.15	10/30/20	5,078.40	...	661.75	27 of 27 - Sale ²⁵
11/03/20	22,500.000	29,121.72	Various	27,000.00	...	2,121.72	Total of 27 transactions
	Security total:	160,240.60		197,580.05	38,161.24 W	821.79	
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
	3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	8,355.000	3,340.09	04/15/20	3,345.58	...	-5.49	1 of 3 - Sale ²⁵
	737.000	294.63	04/15/20	295.11	...	-0.48	2 of 3 - Sale ²⁵
	318.000	127.13	04/15/20	127.33	...	-0.20	3 of 3 - Sale ²⁵
04/15/20	9,410.000	3,761.85	Various	3,768.02	...	-6.17	Total of 3 transactions
	4 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	737.000	287.34	04/15/20	302.24	14.90 W	0.00	1 of 4 - Sale ²⁵
	318.000	123.98	04/15/20	130.41	6.43 W	0.00	2 of 4 - Sale ²⁵
	12,820.000	4,998.15	04/15/20	5,257.49	259.34 W	0.00	3 of 4 - Sale ²⁵
	6,125.000	2,387.96	04/15/20	2,511.86	123.90 W	0.00	4 of 4 - Sale ²⁵
04/15/20	20,000.000	7,797.43	Various	8,202.00	404.57 W	0.00	Total of 4 transactions
	7 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	3,889.000	1,501.04	04/15/20	1,557.27	...	-56.23	1 of 7 - Sale ²⁵
	544.000	209.32	04/15/20	217.83	...	-8.51	2 of 7 - Sale ²⁵
	500.000	192.39	04/15/20	200.22	...	-7.83	3 of 7 - Sale ²⁵
	500.000	192.39	04/15/20	200.21	...	-7.82	4 of 7 - Sale ²⁵
	256.000	98.50	04/15/20	102.51	...	-4.01	5 of 7 - Sale ²⁵
	220.000	84.65	04/15/20	88.10	...	-3.45	6 of 7 - Sale ²⁵
	100.000	38.60	04/15/20	40.04	...	-1.44	7 of 7 - Sale ²⁵
04/27/20	6,009.000	2,316.89	Various	2,406.18	...	-89.29	Total of 7 transactions

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(continued)

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NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol: (cont'd)							
<i>6 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	2,000.000	739.14	04/15/20	800.86	...	-61.72	1 of 6 - Sale ²⁵
	911.000	336.69	04/15/20	364.79	...	-28.10	2 of 6 - Sale ²⁵
	770.000	284.58	04/15/20	308.33	...	-23.75	3 of 6 - Sale ²⁵
	500.000	184.79	04/15/20	200.21	...	-15.42	4 of 6 - Sale ²⁵
	200.000	73.92	04/15/20	80.09	...	-6.17	5 of 6 - Sale ²⁵
	200.000	75.94	04/15/20	80.09	...	-4.15	6 of 6 - Sale ²⁵
04/30/20	4,581.000	1,695.06	Various	1,834.37	...	-139.31	Total of 6 transactions
	Security total:	15,571.23		16,210.57	404.57 W	-234.77	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	2,770.000	608.78	05/28/20	1,109.72	...	-500.94	1 of 5 - Sale ²⁵
	1,530.000	336.26	05/28/20	612.94	...	-276.68	2 of 5 - Sale ²⁵
	1,100.000	241.76	05/28/20	341.22	...	-99.46	3 of 5 - Sale ²⁵
	76.000	16.70	05/28/20	37.34	...	-20.64	4 of 5 - Sale ²⁵
	24.000	5.27	05/28/20	11.78	...	-6.51	5 of 5 - Sale ²⁵
06/01/20	5,500.000	1,208.77	Various	2,113.00	...	-904.23	Total of 5 transactions
<i>5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	76.000	16.70	05/28/20	23.58	6.88 W	0.00	1 of 5 - Sale ²⁵
	1,554.000	341.55	05/28/20	482.05	140.50 W	0.00	2 of 5 - Sale ²⁵
	76.000	16.70	05/28/20	30.46	13.76 W	0.00	3 of 5 - Sale ²⁵
	2,770.000	608.78	05/28/20	859.25	250.47 W	0.00	4 of 5 - Sale ²⁵
	24.000	5.27	05/28/20	9.61	4.34 W	0.00	5 of 5 - Sale ²⁵
06/01/20	4,500.000	989.00	Various	1,404.95	415.95 W	0.00	Total of 5 transactions
	Security total:	2,197.77		3,517.95	415.95 W	-904.23	
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
<i>2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	1,912.000	3,536.89	12/11/20	3,537.20	...	-0.31	1 of 2 - Sale ²⁵
	588.000	1,087.70	12/11/20	1,087.80	...	-0.10	2 of 2 - Sale ²⁵
12/30/20	2,500.000	4,624.59	Various	4,625.00	...	-0.41	Total of 2 transactions

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ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	5.000	7.20	07/21/20	7.00	...	0.20	1 of 4 - Sale ²⁵
	100.000	143.99	07/21/20	140.00	...	3.99	2 of 4 - Sale ²⁵
	1,550.000	2,231.77	07/21/20	2,170.00	...	61.77	3 of 4 - Sale ²⁵
	8,345.000	12,015.54	07/21/20	11,683.00	...	332.54	4 of 4 - Sale ²⁵
07/21/20	10,000.000	14,398.50	Various	14,000.00	...	398.50	Total of 4 transactions
19 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	80.000	88.79	07/21/20	100.80	12.01 W	0.00	1 of 19 - Sale ²⁵
	2.000	2.22	07/21/20	2.52	0.30 W	0.00	2 of 19 - Sale ²⁵
	2.000	2.22	07/21/20	2.52	0.30 W	0.00	3 of 19 - Sale ²⁵
	16.000	17.76	07/21/20	20.16	2.40 W	0.00	4 of 19 - Sale ²⁵
	43.000	47.72	07/21/20	60.64	12.92 W	0.00	5 of 19 - Sale ²⁵
	2.000	2.22	07/21/20	2.82	0.60 W	0.00	6 of 19 - Sale ²⁵
	2.000	2.22	07/21/20	2.82	0.60 W	0.00	7 of 19 - Sale ²⁵
	4.000	4.44	07/21/20	5.64	1.20 W	0.00	8 of 19 - Sale ²⁵
	37.000	41.07	07/21/20	52.17	11.10 W	0.00	9 of 19 - Sale ²⁵
	6.000	6.66	07/21/20	8.46	1.80 W	0.00	10 of 19 - Sale ²⁵
	6.000	6.66	07/21/20	8.46	1.80 W	0.00	11 of 19 - Sale ²⁵
	14.000	15.54	07/21/20	17.64	2.10 W	0.00	12 of 19 - Sale ²⁵
	13.000	14.43	07/21/20	16.38	1.95 W	0.00	13 of 19 - Sale ²⁵
	100.000	110.99	07/21/20	126.00	15.01 W	0.00	14 of 19 - Sale ²⁵
	1.000	1.11	07/21/20	1.26	0.15 W	0.00	15 of 19 - Sale ²⁵
	40.000	44.40	07/21/20	50.40	6.00 W	0.00	16 of 19 - Sale ²⁵
	500.000	554.92	07/21/20	630.00	75.08 W	0.00	17 of 19 - Sale ²⁵
	50.000	55.50	07/21/20	63.00	7.50 W	0.00	18 of 19 - Sale ²⁵
	182.000	202.00	07/21/20	229.32	27.32 W	0.00	19 of 19 - Sale ²⁵
07/28/20	1,100.000	1,220.87	Various	1,401.01	180.14 W	0.00	Total of 19 transactions
28 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	600.000	695.91	07/21/20	756.00	60.09 W	0.00	1 of 28 - Sale ²⁵
	2.000	2.32	07/21/20	3.12	0.80 W	0.00	2 of 28 - Sale ²⁵
	2.000	2.32	07/21/20	3.12	0.80 W	0.00	3 of 28 - Sale ²⁵
	4.000	4.64	07/21/20	6.24	1.60 W	0.00	4 of 28 - Sale ²⁵
	6.000	6.96	07/21/20	9.36	2.40 W	0.00	5 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	6.000	6.96	07/21/20	9.36	2.40 W	0.00	6 of 28 - Sale ²⁵
	37.000	42.91	07/21/20	57.72	14.81 W	0.00	7 of 28 - Sale ²⁵
	43.000	49.87	07/21/20	67.10	17.23 W	0.00	8 of 28 - Sale ²⁵
	13.000	15.08	07/21/20	18.33	3.25 W	0.00	9 of 28 - Sale ²⁵
	14.000	16.24	07/21/20	19.74	3.50 W	0.00	10 of 28 - Sale ²⁵
	73.000	84.67	07/21/20	102.94	18.27 W	0.00	11 of 28 - Sale ²⁵
	1.000	1.16	07/21/20	1.41	0.25 W	0.00	12 of 28 - Sale ²⁵
	27.000	31.32	07/21/20	38.07	6.75 W	0.00	13 of 28 - Sale ²⁵
	32.000	37.12	07/21/20	45.13	8.01 W	0.00	14 of 28 - Sale ²⁵
	40.000	46.39	07/21/20	56.40	10.01 W	0.00	15 of 28 - Sale ²⁵
	400.000	463.94	07/21/20	564.06	100.12 W	0.00	16 of 28 - Sale ²⁵
	30.000	34.80	07/21/20	42.30	7.50 W	0.00	17 of 28 - Sale ²⁵
	2.000	2.32	07/21/20	2.82	0.50 W	0.00	18 of 28 - Sale ²⁵
	38.000	44.07	07/21/20	53.59	9.52 W	0.00	19 of 28 - Sale ²⁵
	48.000	55.67	07/21/20	67.68	12.01 W	0.00	20 of 28 - Sale ²⁵
	152.000	176.30	07/21/20	214.34	38.04 W	0.00	21 of 28 - Sale ²⁵
	30.000	34.80	07/21/20	42.30	7.50 W	0.00	22 of 28 - Sale ²⁵
	280.000	324.76	07/21/20	352.80	28.04 W	0.00	23 of 28 - Sale ²⁵
	20.000	23.20	07/21/20	25.20	2.00 W	0.00	24 of 28 - Sale ²⁵
	200.000	231.97	07/21/20	252.00	20.03 W	0.00	25 of 28 - Sale ²⁵
	200.000	231.97	07/21/20	252.00	20.03 W	0.00	26 of 28 - Sale ²⁵
	400.000	463.94	07/21/20	504.00	40.06 W	0.00	27 of 28 - Sale ²⁵
	1,300.000	1,507.80	07/21/20	1,638.00	130.20 W	0.00	28 of 28 - Sale ²⁵
07/29/20	4,000.000	4,639.41	Various	5,205.13	565.72 W	0.00	Total of 28 transactions
68 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	1.07	08/11/20	0.99	...	0.08	1 of 68 - Sale ²⁵
	2.000	2.14	08/11/20	1.98	...	0.16	2 of 68 - Sale ²⁵
	9.000	9.63	08/11/20	8.91	...	0.72	3 of 68 - Sale ²⁵
	15.000	16.05	08/11/20	14.85	...	1.20	4 of 68 - Sale ²⁵
	74.000	79.17	08/11/20	73.30	...	5.87	5 of 68 - Sale ²⁵
	101.000	108.05	08/11/20	100.03	...	8.02	6 of 68 - Sale ²⁵
	504.000	539.21	08/11/20	499.18	...	40.03	7 of 68 - Sale ²⁵
	585.000	625.86	08/11/20	579.41	...	46.45	8 of 68 - Sale ²⁵
	1,709.000	1,828.38	08/11/20	1,692.67	...	135.71	9 of 68 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	400.000	427.94	08/12/20	484.51	...	-56.57	10 of 68 - Sale ²⁵
	290.000	310.26	08/12/20	342.39	...	-32.13	11 of 68 - Sale ²⁵
	200.000	213.96	08/12/20	236.13	...	-22.17	12 of 68 - Sale ²⁵
	43.000	46.00	08/12/20	65.43	...	-19.43	13 of 68 - Sale ²⁵
	124.000	132.66	08/12/20	150.19	...	-17.53	14 of 68 - Sale ²⁵
	37.000	39.58	08/12/20	56.29	...	-16.71	15 of 68 - Sale ²⁵
	150.000	160.48	08/12/20	177.10	...	-16.62	16 of 68 - Sale ²⁵
	1,475.000	1,578.04	08/12/20	1,593.72	...	-15.68	17 of 68 - Sale ²⁵
	73.000	78.10	08/12/20	88.41	...	-10.31	18 of 68 - Sale ²⁵
	87.000	93.08	08/12/20	102.71	...	-9.63	19 of 68 - Sale ²⁵
	48.000	51.35	08/12/20	58.14	...	-6.79	20 of 68 - Sale ²⁵
	40.000	42.79	08/12/20	48.46	...	-5.67	21 of 68 - Sale ²⁵
	38.000	40.65	08/12/20	46.05	...	-5.40	22 of 68 - Sale ²⁵
	475.000	508.17	08/12/20	513.23	...	-5.06	23 of 68 - Sale ²⁵
	32.000	34.24	08/12/20	38.75	...	-4.51	24 of 68 - Sale ²⁵
	30.000	32.10	08/12/20	36.33	...	-4.23	25 of 68 - Sale ²⁵
	27.000	28.89	08/12/20	32.69	...	-3.80	26 of 68 - Sale ²⁵
	20.000	21.40	08/12/20	24.21	...	-2.81	27 of 68 - Sale ²⁵
	14.000	14.98	08/12/20	16.94	...	-1.96	28 of 68 - Sale ²⁵
	13.000	13.91	08/12/20	15.73	...	-1.82	29 of 68 - Sale ²⁵
	4.000	4.28	08/12/20	6.08	...	-1.80	30 of 68 - Sale ²⁵
	6.000	6.42	08/12/20	8.16	...	-1.74	31 of 68 - Sale ²⁵
	12.000	12.84	08/12/20	14.53	...	-1.69	32 of 68 - Sale ²⁵
	13.000	13.91	08/12/20	15.35	...	-1.44	33 of 68 - Sale ²⁵
	10.000	10.70	08/12/20	12.11	...	-1.41	34 of 68 - Sale ²⁵
	4.000	4.28	08/12/20	5.44	...	-1.16	35 of 68 - Sale ²⁵
	10.000	10.70	08/12/20	11.80	...	-1.10	36 of 68 - Sale ²⁵
	2.000	2.14	08/12/20	3.04	...	-0.90	37 of 68 - Sale ²⁵
	2.000	2.14	08/12/20	3.04	...	-0.90	38 of 68 - Sale ²⁵
	2.000	2.14	08/12/20	3.04	...	-0.90	39 of 68 - Sale ²⁵
	6.000	6.42	08/12/20	7.27	...	-0.85	40 of 68 - Sale ²⁵
	9.000	9.63	08/12/20	9.99	...	-0.36	41 of 68 - Sale ²⁵
	2.000	2.14	08/12/20	2.42	...	-0.28	42 of 68 - Sale ²⁵
	25.000	26.74	08/12/20	27.01	...	-0.27	43 of 68 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 114832611
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	18.000	19.26	08/12/20	19.45	...	-0.19	44 of 68 - Sale ²⁵
	1.000	1.07	08/12/20	1.21	...	-0.14	45 of 68 - Sale ²⁵
	1.000	1.07	08/12/20	1.11	...	-0.04	46 of 68 - Sale ²⁵
	4.000	4.28	08/12/20	4.32	...	-0.04	47 of 68 - Sale ²⁵
	3.000	3.21	08/12/20	3.24	...	-0.03	48 of 68 - Sale ²⁵
	1.000	1.07	08/12/20	0.96	...	0.11	49 of 68 - Sale ²⁵
	1.000	1.07	08/12/20	0.96	...	0.11	50 of 68 - Sale ²⁵
	10.000	10.70	08/12/20	9.60	...	1.10	51 of 68 - Sale ²⁵
	10.000	10.70	08/12/20	9.60	...	1.10	52 of 68 - Sale ²⁵
	24.000	25.68	08/12/20	23.05	...	2.63	53 of 68 - Sale ²⁵
	28.000	29.96	08/12/20	26.91	...	3.05	54 of 68 - Sale ²⁵
	30.000	32.10	08/12/20	28.83	...	3.27	55 of 68 - Sale ²⁵
	50.000	53.49	08/12/20	48.05	...	5.44	56 of 68 - Sale ²⁵
	50.000	53.49	08/12/20	48.05	...	5.44	57 of 68 - Sale ²⁵
	70.000	74.89	08/12/20	67.28	...	7.61	58 of 68 - Sale ²⁵
	120.000	128.38	08/12/20	115.32	...	13.06	59 of 68 - Sale ²⁵
	138.000	147.64	08/12/20	132.62	...	15.02	60 of 68 - Sale ²⁵
	142.000	151.92	08/12/20	136.46	...	15.46	61 of 68 - Sale ²⁵
	154.000	164.76	08/12/20	148.00	...	16.76	62 of 68 - Sale ²⁵
	322.000	344.48	08/12/20	309.43	...	35.05	63 of 68 - Sale ²⁵
	200.000	213.97	08/12/20	174.19	...	39.78	64 of 68 - Sale ²⁵
	248.000	265.32	08/12/20	220.81	...	44.51	65 of 68 - Sale ²⁵
	762.000	815.23	08/12/20	678.44	...	136.79	66 of 68 - Sale ²⁵
	900.000	962.87	08/12/20	783.85	...	179.02	67 of 68 - Sale ²⁵
	1,830.000	1,957.84	08/12/20	1,555.87	...	401.97	68 of 68 - Sale ²⁵
08/20/20	11,840.000	12,667.07	Various	11,775.62	...	891.45	Total of 68 transactions
	116 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	2.000	2.14	07/21/20	3.32	1.18 W	0.00	1 of 116 - Sale ²⁵
	2.000	2.14	07/21/20	3.32	1.18 W	0.00	2 of 116 - Sale ²⁵
	4.000	4.28	07/21/20	6.64	2.36 W	0.00	3 of 116 - Sale ²⁵
	6.000	6.42	07/21/20	9.96	3.54 W	0.00	4 of 116 - Sale ²⁵
	6.000	6.42	07/21/20	9.96	3.54 W	0.00	5 of 116 - Sale ²⁵
	37.000	39.58	07/21/20	61.43	21.85 W	0.00	6 of 116 - Sale ²⁵
	43.000	46.00	07/21/20	71.41	25.41 W	0.00	7 of 116 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	1.000	1.07	07/21/20	1.51	0.44 W	0.00	8 of 116 - Sale ²⁵
	2.000	2.14	07/21/20	3.02	0.88 W	0.00	9 of 116 - Sale ²⁵
	13.000	13.91	07/21/20	19.63	5.72 W	0.00	10 of 116 - Sale ²⁵
	14.000	14.98	07/21/20	21.14	6.16 W	0.00	11 of 116 - Sale ²⁵
	27.000	28.89	07/21/20	40.77	11.88 W	0.00	12 of 116 - Sale ²⁵
	30.000	32.09	07/21/20	45.30	13.21 W	0.00	13 of 116 - Sale ²⁵
	20.000	21.40	07/21/20	30.20	8.80 W	0.00	14 of 116 - Sale ²⁵
	32.000	34.24	07/21/20	48.33	14.09 W	0.00	15 of 116 - Sale ²⁵
	38.000	40.65	07/21/20	57.40	16.75 W	0.00	16 of 116 - Sale ²⁵
	40.000	42.79	07/21/20	60.41	17.62 W	0.00	17 of 116 - Sale ²⁵
	48.000	51.35	07/21/20	72.49	21.14 W	0.00	18 of 116 - Sale ²⁵
	73.000	78.10	07/21/20	110.25	32.15 W	0.00	19 of 116 - Sale ²⁵
	152.000	162.62	07/21/20	229.56	66.94 W	0.00	20 of 116 - Sale ²⁵
	400.000	427.94	07/21/20	604.12	176.18 W	0.00	21 of 116 - Sale ²⁵
	200.000	213.97	07/21/20	272.03	58.06 W	0.00	22 of 116 - Sale ²⁵
	10.000	10.70	07/21/20	15.10	4.40 W	0.00	23 of 116 - Sale ²⁵
	20.000	21.40	07/21/20	27.20	5.80 W	0.00	24 of 116 - Sale ²⁵
	200.000	213.97	07/21/20	272.03	58.06 W	0.00	25 of 116 - Sale ²⁵
	200.000	213.97	07/21/20	272.03	58.06 W	0.00	26 of 116 - Sale ²⁵
	280.000	299.56	07/21/20	380.84	81.28 W	0.00	27 of 116 - Sale ²⁵
	400.000	427.94	07/21/20	544.06	116.12 W	0.00	28 of 116 - Sale ²⁵
	600.000	641.91	07/21/20	816.09	174.18 W	0.00	29 of 116 - Sale ²⁵
	2,000.000	2,139.72	07/21/20	2,520.00	380.28 W	0.00	30 of 116 - Sale ²⁵
	2,395.000	2,562.31	07/28/20	2,658.45	96.14 W	0.00	31 of 116 - Sale ²⁵
	2.000	2.14	07/28/20	3.40	1.26 W	0.00	32 of 116 - Sale ²⁵
	2.000	2.14	07/28/20	3.40	1.26 W	0.00	33 of 116 - Sale ²⁵
	4.000	4.28	07/28/20	6.80	2.52 W	0.00	34 of 116 - Sale ²⁵
	6.000	6.42	07/28/20	10.20	3.78 W	0.00	35 of 116 - Sale ²⁵
	6.000	6.42	07/28/20	10.20	3.78 W	0.00	36 of 116 - Sale ²⁵
	37.000	39.58	07/28/20	62.92	23.34 W	0.00	37 of 116 - Sale ²⁵
	43.000	46.00	07/28/20	73.14	27.14 W	0.00	38 of 116 - Sale ²⁵
	1.000	1.07	07/28/20	1.55	0.48 W	0.00	39 of 116 - Sale ²⁵
	2.000	2.14	07/28/20	3.10	0.96 W	0.00	40 of 116 - Sale ²⁵
	13.000	13.91	07/28/20	20.15	6.24 W	0.00	41 of 116 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	14.000	14.98	07/28/20	21.70	6.72 W	0.00	42 of 116 - Sale ²⁵
	20.000	21.40	07/28/20	31.00	9.60 W	0.00	43 of 116 - Sale ²⁵
	27.000	28.89	07/28/20	41.85	12.96 W	0.00	44 of 116 - Sale ²⁵
	30.000	32.10	07/28/20	46.51	14.41 W	0.00	45 of 116 - Sale ²⁵
	32.000	34.24	07/28/20	49.61	15.37 W	0.00	46 of 116 - Sale ²⁵
	38.000	40.65	07/28/20	58.93	18.28 W	0.00	47 of 116 - Sale ²⁵
	40.000	42.79	07/28/20	62.02	19.23 W	0.00	48 of 116 - Sale ²⁵
	48.000	51.35	07/28/20	74.42	23.07 W	0.00	49 of 116 - Sale ²⁵
	73.000	78.10	07/28/20	113.18	35.08 W	0.00	50 of 116 - Sale ²⁵
	152.000	162.62	07/28/20	235.66	73.04 W	0.00	51 of 116 - Sale ²⁵
	400.000	427.94	07/28/20	620.18	192.24 W	0.00	52 of 116 - Sale ²⁵
	1,100.000	1,176.84	07/28/20	1,331.17	154.33 W	0.00	53 of 116 - Sale ²⁵
	504.000	539.21	07/28/20	559.44	20.23 W	0.00	54 of 116 - Sale ²⁵
	2.000	2.14	07/28/20	2.22	0.08 W	0.00	55 of 116 - Sale ²⁵
	9.000	9.63	07/28/20	9.99	0.36 W	0.00	56 of 116 - Sale ²⁵
	15.000	16.05	07/31/20	16.65	0.60 W	0.00	57 of 116 - Sale ²⁵
	1.000	1.07	07/31/20	1.11	0.04 W	0.00	58 of 116 - Sale ²⁵
	1,084.000	1,159.72	07/31/20	1,203.24	43.52 W	0.00	59 of 116 - Sale ²⁵
	9.000	9.63	07/31/20	13.95	4.32 W	0.00	60 of 116 - Sale ²⁵
	1.000	1.07	07/31/20	1.55	0.48 W	0.00	61 of 116 - Sale ²⁵
	24.000	25.68	07/31/20	33.61	7.93 W	0.00	62 of 116 - Sale ²⁵
	352.000	376.59	07/31/20	492.90	116.31 W	0.00	63 of 116 - Sale ²⁵
	10.000	10.70	07/31/20	14.00	3.30 W	0.00	64 of 116 - Sale ²⁵
	224.000	239.65	07/31/20	313.67	74.02 W	0.00	65 of 116 - Sale ²⁵
	280.000	299.56	07/31/20	392.08	92.52 W	0.00	66 of 116 - Sale ²⁵
	10.000	10.70	08/05/20	14.00	3.30 W	0.00	67 of 116 - Sale ²⁵
	200.000	213.97	08/05/20	280.06	66.09 W	0.00	68 of 116 - Sale ²⁵
	200.000	213.97	08/05/20	280.06	66.09 W	0.00	69 of 116 - Sale ²⁵
	290.000	310.26	08/05/20	406.09	95.83 W	0.00	70 of 116 - Sale ²⁵
	87.000	93.08	08/05/20	121.82	28.74 W	0.00	71 of 116 - Sale ²⁵
	10.000	10.70	08/05/20	14.00	3.30 W	0.00	72 of 116 - Sale ²⁵
	13.000	13.91	08/05/20	18.21	4.30 W	0.00	73 of 116 - Sale ²⁵
	3.000	3.21	08/05/20	3.90	0.69 W	0.00	74 of 116 - Sale ²⁵
	25.000	26.75	08/05/20	32.50	5.75 W	0.00	75 of 116 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	2.000	2.14	08/05/20	3.48	1.34 W	0.00	76 of 116 - Sale ²⁵
	2.000	2.14	08/05/20	3.48	1.34 W	0.00	77 of 116 - Sale ²⁵
	2.000	2.14	08/05/20	3.48	1.34 W	0.00	78 of 116 - Sale ²⁵
	4.000	4.28	08/05/20	6.96	2.68 W	0.00	79 of 116 - Sale ²⁵
	37.000	39.58	08/05/20	64.41	24.83 W	0.00	80 of 116 - Sale ²⁵
	43.000	46.00	08/05/20	74.87	28.87 W	0.00	81 of 116 - Sale ²⁵
	200.000	213.97	08/05/20	280.06	66.09 W	0.00	82 of 116 - Sale ²⁵
	1,972.000	2,109.75	08/05/20	2,563.88	454.13 W	0.00	83 of 116 - Sale ²⁵
	900.000	962.87	08/11/20	981.54	18.67 W	0.00	84 of 116 - Sale ²⁵
	4.000	4.28	08/11/20	6.32	2.04 W	0.00	85 of 116 - Sale ²⁵
	6.000	6.42	08/11/20	9.48	3.06 W	0.00	86 of 116 - Sale ²⁵
	1.000	1.07	08/11/20	1.43	0.36 W	0.00	87 of 116 - Sale ²⁵
	2.000	2.14	08/11/20	2.86	0.72 W	0.00	88 of 116 - Sale ²⁵
	13.000	13.91	08/11/20	18.59	4.68 W	0.00	89 of 116 - Sale ²⁵
	14.000	14.98	08/11/20	20.02	5.04 W	0.00	90 of 116 - Sale ²⁵
	20.000	21.40	08/11/20	28.61	7.21 W	0.00	91 of 116 - Sale ²⁵
	27.000	28.89	08/11/20	38.62	9.73 W	0.00	92 of 116 - Sale ²⁵
	30.000	32.10	08/11/20	42.92	10.82 W	0.00	93 of 116 - Sale ²⁵
	32.000	34.24	08/11/20	45.78	11.54 W	0.00	94 of 116 - Sale ²⁵
	38.000	40.65	08/11/20	54.39	13.74 W	0.00	95 of 116 - Sale ²⁵
	40.000	42.79	08/11/20	57.24	14.45 W	0.00	96 of 116 - Sale ²⁵
	48.000	51.35	08/11/20	68.68	17.33 W	0.00	97 of 116 - Sale ²⁵
	73.000	78.10	08/11/20	104.45	26.35 W	0.00	98 of 116 - Sale ²⁵
	152.000	162.62	08/11/20	217.49	54.87 W	0.00	99 of 116 - Sale ²⁵
	400.000	427.94	08/11/20	572.37	144.43 W	0.00	100 of 116 - Sale ²⁵
	200.000	213.97	08/11/20	218.12	4.15 W	0.00	101 of 116 - Sale ²⁵
	1.000	1.07	08/12/20	1.33	0.26 W	0.00	102 of 116 - Sale ²⁵
	9.000	9.63	08/12/20	11.97	2.34 W	0.00	103 of 116 - Sale ²⁵
	24.000	25.68	08/12/20	28.33	2.65 W	0.00	104 of 116 - Sale ²⁵
	224.000	239.64	08/12/20	264.47	24.83 W	0.00	105 of 116 - Sale ²⁵
	142.000	151.92	08/12/20	167.65	15.73 W	0.00	106 of 116 - Sale ²⁵
	352.000	376.59	08/12/20	415.58	38.99 W	0.00	107 of 116 - Sale ²⁵
	10.000	10.70	08/12/20	11.80	1.10 W	0.00	108 of 116 - Sale ²⁵
	138.000	147.64	08/12/20	162.93	15.29 W	0.00	109 of 116 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	10.000	10.70	08/12/20	11.80	1.10 W	0.00	110 of 116 - Sale ²⁵
	28.000	29.96	08/12/20	33.06	3.10 W	0.00	111 of 116 - Sale ²⁵
	1.000	1.07	08/12/20	1.18	0.11 W	0.00	112 of 116 - Sale ²⁵
	120.000	128.38	08/12/20	141.68	13.30 W	0.00	113 of 116 - Sale ²⁵
	1.000	1.07	08/12/20	1.18	0.11 W	0.00	114 of 116 - Sale ²⁵
	50.000	53.49	08/12/20	59.03	5.54 W	0.00	115 of 116 - Sale ²⁵
	50.000	53.49	08/12/20	59.03	5.54 W	0.00	116 of 116 - Sale ²⁵
08/20/20	18,160.000	19,428.60	Various	23,254.69	3,826.09 W	0.00	Total of 116 transactions
	Security total:	52,354.45		55,636.45	4,571.95 W	1,289.95	
OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol:							
5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	4.000	6.84	07/21/20	5.96	...	0.88	1 of 5 - Sale ²⁵
	68.000	115.60	07/21/20	101.32	...	14.28	2 of 5 - Sale ²⁵
	96.000	163.38	07/21/20	143.04	...	20.34	3 of 5 - Sale ²⁵
	4,800.000	8,159.72	07/21/20	7,152.00	...	1,007.72	4 of 5 - Sale ²⁵
	5,032.000	8,554.11	07/21/20	7,497.68	...	1,056.43	5 of 5 - Sale ²⁵
07/23/20	10,000.000	16,999.65	Various	14,900.00	...	2,099.65	Total of 5 transactions
08/14/20	10.000	14.90	07/23/20	14.90	...	0.00	Sale ²⁵
19 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	55.000	81.94	07/23/20	81.95	0.01 W	0.00	1 of 19 - Sale ²⁵
	45.000	67.04	07/23/20	67.05	0.01 W	0.00	2 of 19 - Sale ²⁵
	55.000	81.94	07/23/20	81.96	0.02 W	0.00	3 of 19 - Sale ²⁵
	172.000	256.25	07/23/20	256.28	0.03 W	0.00	4 of 19 - Sale ²⁵
	128.000	190.70	07/23/20	190.72	0.02 W	0.00	5 of 19 - Sale ²⁵
	55.000	81.94	07/23/20	81.97	0.03 W	0.00	6 of 19 - Sale ²⁵
	45.000	67.04	07/23/20	67.06	0.02 W	0.00	7 of 19 - Sale ²⁵
	172.000	256.25	07/23/20	256.31	0.06 W	0.00	8 of 19 - Sale ²⁵
	228.000	339.69	07/23/20	339.72	0.03 W	0.00	9 of 19 - Sale ²⁵
	875.000	1,303.62	07/23/20	1,303.75	0.13 W	0.00	10 of 19 - Sale ²⁵
	5,987.000	8,919.72	07/23/20	8,920.63	0.91 W	0.00	11 of 19 - Sale ²⁵
	55.000	81.94	07/23/20	81.98	0.04 W	0.00	12 of 19 - Sale ²⁵
	45.000	67.04	07/23/20	67.07	0.03 W	0.00	13 of 19 - Sale ²⁵
	172.000	256.25	07/23/20	256.34	0.09 W	0.00	14 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol: (cont'd)							
	128.000	190.70	07/23/20	190.74	0.04 W	0.00	15 of 19 - Sale ²⁵
	228.000	339.69	07/23/20	339.75	0.06 W	0.00	16 of 19 - Sale ²⁵
	875.000	1,303.62	07/23/20	1,303.88	0.26 W	0.00	17 of 19 - Sale ²⁵
	510.000	759.82	07/23/20	759.90	0.08 W	0.00	18 of 19 - Sale ²⁵
	160.000	238.38	07/23/20	238.42	0.04 W	0.00	19 of 19 - Sale ²⁵
08/14/20	9,990.000	14,883.57	Various	14,885.48	1.91 W	0.00	Total of 19 transactions
	21 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	500.000	744.92	07/24/20	730.08	...	14.84	1 of 21 - Sale ²⁵
	1,200.000	1,787.82	07/24/20	1,752.18	...	35.64	2 of 21 - Sale ²⁵
	1,600.000	2,383.76	07/24/20	2,336.24	...	47.52	3 of 21 - Sale ²⁵
	1,700.000	2,532.74	07/24/20	2,482.26	...	50.48	4 of 21 - Sale ²⁵
	5.000	7.45	08/04/20	6.55	...	0.90	5 of 21 - Sale ²⁵
	5.000	7.45	08/04/20	6.55	...	0.90	6 of 21 - Sale ²⁵
	45.000	67.04	08/04/20	58.98	...	8.06	7 of 21 - Sale ²⁵
	55.000	81.94	08/04/20	72.09	...	9.85	8 of 21 - Sale ²⁵
	70.000	104.29	08/04/20	91.71	...	12.58	9 of 21 - Sale ²⁵
	100.000	148.99	08/04/20	131.00	...	17.99	10 of 21 - Sale ²⁵
	100.000	148.99	08/04/20	131.00	...	17.99	11 of 21 - Sale ²⁵
	100.000	148.99	08/04/20	131.00	...	17.99	12 of 21 - Sale ²⁵
	102.000	151.96	08/04/20	133.64	...	18.32	13 of 21 - Sale ²⁵
	128.000	190.70	08/04/20	167.72	...	22.98	14 of 21 - Sale ²⁵
	155.000	230.93	08/04/20	203.09	...	27.84	15 of 21 - Sale ²⁵
	172.000	256.25	08/04/20	225.41	...	30.84	16 of 21 - Sale ²⁵
	228.000	339.69	08/04/20	298.74	...	40.95	17 of 21 - Sale ²⁵
	510.000	759.82	08/04/20	668.18	...	91.64	18 of 21 - Sale ²⁵
	650.000	968.40	08/04/20	851.60	...	116.80	19 of 21 - Sale ²⁵
	875.000	1,303.62	08/04/20	1,146.51	...	157.11	20 of 21 - Sale ²⁵
	1,700.000	2,532.74	08/04/20	2,227.00	...	305.74	21 of 21 - Sale ²⁵
08/19/20	10,000.000	14,898.49	Various	13,851.53	...	1,046.96	Total of 21 transactions
	Security total:	46,796.61		43,651.91	1.91 W	3,146.61	

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2020 1099-B* OMB No. 1545-0715

(continued)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol:							
<i>19 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	2,760.000	927.00	06/24/20	1,436.03	509.03 W	0.00	1 of 19 - Sale ²⁵
	150.000	50.38	06/24/20	78.04	27.66 W	0.00	2 of 19 - Sale ²⁵
	1.000	0.34	06/24/20	0.52	0.18 W	0.00	3 of 19 - Sale ²⁵
	10.000	3.36	06/24/20	5.20	1.84 W	0.00	4 of 19 - Sale ²⁵
	100.000	33.59	06/24/20	52.03	18.44 W	0.00	5 of 19 - Sale ²⁵
	20.000	6.72	06/24/20	10.41	3.69 W	0.00	6 of 19 - Sale ²⁵
	494.000	165.92	06/24/20	257.03	91.11 W	0.00	7 of 19 - Sale ²⁵
	3.000	1.01	06/24/20	1.56	0.55 W	0.00	8 of 19 - Sale ²⁵
	600.000	201.53	06/24/20	312.18	110.65 W	0.00	9 of 19 - Sale ²⁵
	50.000	16.80	06/24/20	26.02	9.22 W	0.00	10 of 19 - Sale ²⁵
	50.000	16.75	06/24/20	26.01	9.26 W	0.00	11 of 19 - Sale ²⁵
	300.000	100.43	06/24/20	156.09	55.66 W	0.00	12 of 19 - Sale ²⁵
	462.000	154.67	06/24/20	240.38	85.71 W	0.00	13 of 19 - Sale ²⁵
	38.000	12.72	06/26/20	17.87	5.15 W	0.00	14 of 19 - Sale ²⁵
	4,962.000	1,641.79	06/26/20	2,333.63	691.84 W	0.00	15 of 19 - Sale ²⁵
	100.000	32.98	07/01/20	65.46	32.48 W	0.00	16 of 19 - Sale ²⁵
	100.000	32.98	07/01/20	65.46	32.48 W	0.00	17 of 19 - Sale ²⁵
	400.000	131.91	07/01/20	261.85	129.94 W	0.00	18 of 19 - Sale ²⁵
	200.000	65.96	07/01/20	130.93	64.97 W	0.00	19 of 19 - Sale ²⁵
07/30/20	10,800.000	3,596.84	Various	5,476.70	1,879.86 W	0.00	Total of 19 transactions
<i>4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	169.000	62.49	08/03/20	50.91	...	11.58	1 of 4 - Sale ²⁵
	200.000	73.95	08/03/20	60.26	...	13.69	2 of 4 - Sale ²⁵
	531.000	196.35	08/03/20	159.97	...	36.38	3 of 4 - Sale ²⁵
	659.000	243.67	08/03/20	198.53	...	45.14	4 of 4 - Sale ²⁵
08/10/20	1,559.000	576.46	Various	469.67	...	106.79	Total of 4 transactions
<i>213 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.35	07/01/20	0.65	0.30 W	0.00	1 of 213 - Sale ²⁵
	3.000	1.05	07/01/20	1.96	0.91 W	0.00	2 of 213 - Sale ²⁵
	10.000	3.50	07/01/20	6.54	3.04 W	0.00	3 of 213 - Sale ²⁵
	20.000	7.00	07/01/20	13.09	6.09 W	0.00	4 of 213 - Sale ²⁵
	50.000	17.49	07/01/20	32.73	15.24 W	0.00	5 of 213 - Sale ²⁵

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	50.000	17.49	07/01/20	32.77	15.28 W	0.00	6 of 213 - Sale ²⁵
	100.000	34.98	07/01/20	65.46	30.48 W	0.00	7 of 213 - Sale ²⁵
	150.000	52.47	07/01/20	98.19	45.72 W	0.00	8 of 213 - Sale ²⁵
	300.000	104.93	07/01/20	196.72	91.79 W	0.00	9 of 213 - Sale ²⁵
	462.000	161.59	07/01/20	302.95	141.36 W	0.00	10 of 213 - Sale ²⁵
	494.000	172.79	07/01/20	323.39	150.60 W	0.00	11 of 213 - Sale ²⁵
	600.000	209.86	07/01/20	392.77	182.91 W	0.00	12 of 213 - Sale ²⁵
	1,960.000	685.55	07/01/20	1,283.08	597.53 W	0.00	13 of 213 - Sale ²⁵
	38.000	13.29	07/06/20	22.65	9.36 W	0.00	14 of 213 - Sale ²⁵
	462.000	161.59	07/06/20	277.12	115.53 W	0.00	15 of 213 - Sale ²⁵
	300.000	104.93	07/06/20	179.95	75.02 W	0.00	16 of 213 - Sale ²⁵
	100.000	35.98	07/06/20	59.98	24.00 W	0.00	17 of 213 - Sale ²⁵
	85.000	30.58	07/06/20	50.98	20.40 W	0.00	18 of 213 - Sale ²⁵
	415.000	149.31	07/06/20	248.93	99.62 W	0.00	19 of 213 - Sale ²⁵
	85.000	30.58	07/06/20	50.98	20.40 W	0.00	20 of 213 - Sale ²⁵
	415.000	149.31	07/06/20	248.93	99.62 W	0.00	21 of 213 - Sale ²⁵
	100.000	35.98	07/06/20	59.98	24.00 W	0.00	22 of 213 - Sale ²⁵
	400.000	143.91	07/06/20	239.93	96.02 W	0.00	23 of 213 - Sale ²⁵
	400.000	143.91	07/06/20	239.93	96.02 W	0.00	24 of 213 - Sale ²⁵
	400.000	143.91	07/06/20	239.93	96.02 W	0.00	25 of 213 - Sale ²⁵
	400.000	143.90	07/06/20	239.93	96.03 W	0.00	26 of 213 - Sale ²⁵
	85.000	30.58	07/06/20	50.99	20.41 W	0.00	27 of 213 - Sale ²⁵
	315.000	113.33	07/06/20	188.94	75.61 W	0.00	28 of 213 - Sale ²⁵
	385.000	138.52	07/06/20	230.94	92.42 W	0.00	29 of 213 - Sale ²⁵
	1.000	0.36	07/06/20	0.60	0.24 W	0.00	30 of 213 - Sale ²⁵
	14.000	5.04	07/06/20	8.40	3.36 W	0.00	31 of 213 - Sale ²⁵
	7.000	2.52	07/06/20	4.20	1.68 W	0.00	32 of 213 - Sale ²⁵
	393.000	141.39	07/06/20	235.73	94.34 W	0.00	33 of 213 - Sale ²⁵
	100.000	35.98	07/06/20	78.52	42.54 W	0.00	34 of 213 - Sale ²⁵
	7.000	2.52	07/06/20	5.50	2.98 W	0.00	35 of 213 - Sale ²⁵
	93.000	33.46	07/06/20	73.02	39.56 W	0.00	36 of 213 - Sale ²⁵
	200.000	71.95	07/06/20	119.97	48.02 W	0.00	37 of 213 - Sale ²⁵
	400.000	143.91	07/06/20	314.10	170.19 W	0.00	38 of 213 - Sale ²⁵
	200.000	71.95	07/06/20	157.05	85.10 W	0.00	39 of 213 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	200.000	71.95	07/06/20	92.08	20.13 W	0.00	40 of 213 - Sale ²⁵
	2,740.000	985.78	07/06/20	1,261.50	275.72 W	0.00	41 of 213 - Sale ²⁵
	5.000	1.80	07/06/20	2.30	0.50 W	0.00	42 of 213 - Sale ²⁵
	1,150.000	413.75	07/06/20	529.46	115.71 W	0.00	43 of 213 - Sale ²⁵
	80.000	28.78	07/06/20	36.83	8.05 W	0.00	44 of 213 - Sale ²⁵
	15.000	5.40	07/06/20	6.91	1.51 W	0.00	45 of 213 - Sale ²⁵
	10.000	3.60	07/06/20	4.60	1.00 W	0.00	46 of 213 - Sale ²⁵
	1,200.000	431.73	07/06/20	540.48	108.75 W	0.00	47 of 213 - Sale ²⁵
	1,200.000	431.72	07/06/20	540.48	108.76 W	0.00	48 of 213 - Sale ²⁵
	100.000	35.98	07/06/20	45.04	9.06 W	0.00	49 of 213 - Sale ²⁵
	1,200.000	431.73	07/06/20	540.48	108.75 W	0.00	50 of 213 - Sale ²⁵
	1,290.000	464.10	07/06/20	581.02	116.92 W	0.00	51 of 213 - Sale ²⁵
	10.000	3.60	07/06/20	4.50	0.90 W	0.00	52 of 213 - Sale ²⁵
	5,000.000	1,798.86	07/06/20	2,251.50	452.64 W	0.00	53 of 213 - Sale ²⁵
	500.000	179.89	07/29/20	327.63	147.74 W	0.00	54 of 213 - Sale ²⁵
	300.000	107.93	07/29/20	196.58	88.65 W	0.00	55 of 213 - Sale ²⁵
	200.000	73.96	07/29/20	131.05	57.09 W	0.00	56 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	65.53	28.55 W	0.00	57 of 213 - Sale ²⁵
	400.000	147.91	07/29/20	262.10	114.19 W	0.00	58 of 213 - Sale ²⁵
	300.000	110.93	07/29/20	196.58	85.65 W	0.00	59 of 213 - Sale ²⁵
	40.000	14.79	07/29/20	26.21	11.42 W	0.00	60 of 213 - Sale ²⁵
	160.000	59.16	07/29/20	104.84	45.68 W	0.00	61 of 213 - Sale ²⁵
	1.000	0.37	07/29/20	0.65	0.28 W	0.00	62 of 213 - Sale ²⁵
	10.000	3.70	07/29/20	6.54	2.84 W	0.00	63 of 213 - Sale ²⁵
	20.000	7.40	07/29/20	13.10	5.70 W	0.00	64 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	65.52	28.54 W	0.00	65 of 213 - Sale ²⁵
	110.000	40.67	07/29/20	72.07	31.40 W	0.00	66 of 213 - Sale ²⁵
	259.000	95.77	07/29/20	169.72	73.95 W	0.00	67 of 213 - Sale ²⁵
	3.000	1.11	07/29/20	1.96	0.85 W	0.00	68 of 213 - Sale ²⁵
	235.000	86.90	07/29/20	153.98	67.08 W	0.00	69 of 213 - Sale ²⁵
	262.000	96.88	07/29/20	171.68	74.80 W	0.00	70 of 213 - Sale ²⁵
	200.000	73.95	07/29/20	131.05	57.10 W	0.00	71 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	65.53	28.55 W	0.00	72 of 213 - Sale ²⁵
	38.000	14.05	07/29/20	24.90	10.85 W	0.00	73 of 213 - Sale ²⁵

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Account 114832611

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	50.000	18.49	07/29/20	32.76	14.27 W	0.00	74 of 213 - Sale ²⁵
	50.000	18.49	07/29/20	32.80	14.31 W	0.00	75 of 213 - Sale ²⁵
	62.000	22.93	07/29/20	40.69	17.76 W	0.00	76 of 213 - Sale ²⁵
	300.000	110.93	07/29/20	196.91	85.98 W	0.00	77 of 213 - Sale ²⁵
	200.000	73.95	07/29/20	131.28	57.33 W	0.00	78 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	65.64	28.66 W	0.00	79 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	65.63	28.65 W	0.00	80 of 213 - Sale ²⁵
	38.000	14.05	07/29/20	22.68	8.63 W	0.00	81 of 213 - Sale ²⁵
	162.000	59.90	07/29/20	97.27	37.37 W	0.00	82 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	60.05	23.07 W	0.00	83 of 213 - Sale ²⁵
	200.000	73.95	07/29/20	120.09	46.14 W	0.00	84 of 213 - Sale ²⁵
	200.000	73.95	07/29/20	120.09	46.14 W	0.00	85 of 213 - Sale ²⁵
	85.000	31.43	07/29/20	50.18	18.75 W	0.00	86 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	60.05	23.07 W	0.00	87 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	59.04	22.06 W	0.00	88 of 213 - Sale ²⁵
	115.000	42.52	07/29/20	67.91	25.39 W	0.00	89 of 213 - Sale ²⁵
	200.000	73.95	07/29/20	118.09	44.14 W	0.00	90 of 213 - Sale ²⁵
	50.000	18.50	07/29/20	29.52	11.02 W	0.00	91 of 213 - Sale ²⁵
	50.000	18.49	07/29/20	29.52	11.03 W	0.00	92 of 213 - Sale ²⁵
	85.000	31.43	07/29/20	50.18	18.75 W	0.00	93 of 213 - Sale ²⁵
	65.000	24.04	07/29/20	38.38	14.34 W	0.00	94 of 213 - Sale ²⁵
	10.000	3.70	07/29/20	5.90	2.20 W	0.00	95 of 213 - Sale ²⁵
	240.000	88.75	07/29/20	141.72	52.97 W	0.00	96 of 213 - Sale ²⁵
	7.000	2.59	07/29/20	5.43	2.84 W	0.00	97 of 213 - Sale ²⁵
	93.000	34.39	07/29/20	72.15	37.76 W	0.00	98 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	77.58	40.60 W	0.00	99 of 213 - Sale ²⁵
	200.000	73.95	07/29/20	155.18	81.23 W	0.00	100 of 213 - Sale ²⁵
	400.000	147.91	07/29/20	310.35	162.44 W	0.00	101 of 213 - Sale ²⁵
	1.000	0.37	07/29/20	0.59	0.22 W	0.00	102 of 213 - Sale ²⁵
	7.000	2.59	07/29/20	4.13	1.54 W	0.00	103 of 213 - Sale ²⁵
	14.000	5.18	07/29/20	8.27	3.09 W	0.00	104 of 213 - Sale ²⁵
	85.000	31.43	07/29/20	50.19	18.76 W	0.00	105 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	59.04	22.06 W	0.00	106 of 213 - Sale ²⁵
	100.000	36.98	07/29/20	59.04	22.06 W	0.00	107 of 213 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	200.000	73.95	07/29/20	118.10	44.15 W	0.00	108 of 213 - Sale ²⁵
	315.000	116.48	07/29/20	185.99	69.51 W	0.00	109 of 213 - Sale ²⁵
	385.000	142.36	07/29/20	227.32	84.96 W	0.00	110 of 213 - Sale ²⁵
	393.000	145.32	07/29/20	232.05	86.73 W	0.00	111 of 213 - Sale ²⁵
	400.000	147.91	07/29/20	236.18	88.27 W	0.00	112 of 213 - Sale ²⁵
	400.000	147.91	07/29/20	236.18	88.27 W	0.00	113 of 213 - Sale ²⁵
	400.000	147.91	07/29/20	236.18	88.27 W	0.00	114 of 213 - Sale ²⁵
	400.000	147.91	07/29/20	236.19	88.28 W	0.00	115 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	77.17	3.22 W	0.00	116 of 213 - Sale ²⁵
	700.000	258.84	08/03/20	270.08	11.24 W	0.00	117 of 213 - Sale ²⁵
	900.000	332.79	08/03/20	347.24	14.45 W	0.00	118 of 213 - Sale ²⁵
	500.000	184.89	08/03/20	192.92	8.03 W	0.00	119 of 213 - Sale ²⁵
	5.000	1.85	08/03/20	1.93	0.08 W	0.00	120 of 213 - Sale ²⁵
	155.000	57.31	08/03/20	59.80	2.49 W	0.00	121 of 213 - Sale ²⁵
	640.000	236.65	08/03/20	246.93	10.28 W	0.00	122 of 213 - Sale ²⁵
	800.000	295.82	08/03/20	308.65	12.83 W	0.00	123 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	38.58	1.60 W	0.00	124 of 213 - Sale ²⁵
	10.000	3.70	08/03/20	3.85	0.15 W	0.00	125 of 213 - Sale ²⁵
	15.000	5.55	08/03/20	5.79	0.24 W	0.00	126 of 213 - Sale ²⁵
	80.000	29.58	08/03/20	30.87	1.29 W	0.00	127 of 213 - Sale ²⁵
	95.000	35.13	08/03/20	36.65	1.52 W	0.00	128 of 213 - Sale ²⁵
	400.000	147.91	08/03/20	150.33	2.42 W	0.00	129 of 213 - Sale ²⁵
	300.000	110.93	08/03/20	112.75	1.82 W	0.00	130 of 213 - Sale ²⁵
	800.000	295.82	08/03/20	300.66	4.84 W	0.00	131 of 213 - Sale ²⁵
	500.000	184.88	08/03/20	187.92	3.04 W	0.00	132 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	37.58	0.60 W	0.00	133 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	37.58	0.60 W	0.00	134 of 213 - Sale ²⁵
	400.000	147.91	08/03/20	150.33	2.42 W	0.00	135 of 213 - Sale ²⁵
	300.000	110.93	08/03/20	112.75	1.82 W	0.00	136 of 213 - Sale ²⁵
	660.000	244.04	08/03/20	248.04	4.00 W	0.00	137 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	37.58	0.60 W	0.00	138 of 213 - Sale ²⁵
	140.000	51.77	08/03/20	52.62	0.85 W	0.00	139 of 213 - Sale ²⁵
	800.000	295.82	08/03/20	300.67	4.85 W	0.00	140 of 213 - Sale ²⁵
	10.000	3.70	08/03/20	3.75	0.05 W	0.00	141 of 213 - Sale ²⁵

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(continued)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	390.000	144.21	08/03/20	146.58	2.37 W	0.00	142 of 213 - Sale ²⁵
	400.000	147.91	08/03/20	150.29	2.38 W	0.00	143 of 213 - Sale ²⁵
	800.000	295.82	08/03/20	300.58	4.76 W	0.00	144 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	75.15	1.20 W	0.00	145 of 213 - Sale ²⁵
	600.000	221.86	08/03/20	225.44	3.58 W	0.00	146 of 213 - Sale ²⁵
	800.000	295.82	08/03/20	300.58	4.76 W	0.00	147 of 213 - Sale ²⁵
	1.000	0.37	08/03/20	0.57	0.20 W	0.00	148 of 213 - Sale ²⁵
	3.000	1.11	08/03/20	1.71	0.60 W	0.00	149 of 213 - Sale ²⁵
	10.000	3.70	08/03/20	5.69	1.99 W	0.00	150 of 213 - Sale ²⁵
	20.000	7.40	08/03/20	11.40	4.00 W	0.00	151 of 213 - Sale ²⁵
	38.000	14.05	08/03/20	21.69	7.64 W	0.00	152 of 213 - Sale ²⁵
	40.000	14.79	08/03/20	22.83	8.04 W	0.00	153 of 213 - Sale ²⁵
	50.000	18.49	08/03/20	28.53	10.04 W	0.00	154 of 213 - Sale ²⁵
	50.000	18.49	08/03/20	28.57	10.08 W	0.00	155 of 213 - Sale ²⁵
	62.000	22.93	08/03/20	35.44	12.51 W	0.00	156 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	57.07	20.09 W	0.00	157 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	57.06	20.08 W	0.00	158 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	57.07	20.09 W	0.00	159 of 213 - Sale ²⁵
	110.000	40.67	08/03/20	62.77	22.10 W	0.00	160 of 213 - Sale ²⁵
	160.000	59.16	08/03/20	91.31	32.15 W	0.00	161 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	114.13	40.18 W	0.00	162 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	114.14	40.19 W	0.00	163 of 213 - Sale ²⁵
	235.000	86.90	08/03/20	134.10	47.20 W	0.00	164 of 213 - Sale ²⁵
	259.000	95.77	08/03/20	147.82	52.05 W	0.00	165 of 213 - Sale ²⁵
	262.000	96.88	08/03/20	149.52	52.64 W	0.00	166 of 213 - Sale ²⁵
	300.000	110.93	08/03/20	174.21	63.28 W	0.00	167 of 213 - Sale ²⁵
	300.000	110.93	08/03/20	171.21	60.28 W	0.00	168 of 213 - Sale ²⁵
	300.000	110.92	08/03/20	171.54	60.62 W	0.00	169 of 213 - Sale ²⁵
	400.000	147.91	08/03/20	228.27	80.36 W	0.00	170 of 213 - Sale ²⁵
	500.000	184.89	08/03/20	290.34	105.45 W	0.00	171 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	57.18	20.20 W	0.00	172 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	57.17	20.19 W	0.00	173 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	114.37	40.42 W	0.00	174 of 213 - Sale ²⁵
	10.000	3.70	08/03/20	5.05	1.35 W	0.00	175 of 213 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	38.000	14.05	08/03/20	19.47	5.42 W	0.00	176 of 213 - Sale ²⁵
	40.000	14.79	08/03/20	20.24	5.45 W	0.00	177 of 213 - Sale ²⁵
	50.000	18.49	08/03/20	25.28	6.79 W	0.00	178 of 213 - Sale ²⁵
	50.000	18.49	08/03/20	25.29	6.80 W	0.00	179 of 213 - Sale ²⁵
	65.000	24.04	08/03/20	32.88	8.84 W	0.00	180 of 213 - Sale ²⁵
	85.000	31.43	08/03/20	42.99	11.56 W	0.00	181 of 213 - Sale ²⁵
	85.000	31.43	08/03/20	42.99	11.56 W	0.00	182 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	51.59	14.61 W	0.00	183 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	51.59	14.61 W	0.00	184 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	50.58	13.60 W	0.00	185 of 213 - Sale ²⁵
	115.000	42.52	08/03/20	58.19	15.67 W	0.00	186 of 213 - Sale ²⁵
	162.000	59.90	08/03/20	83.57	23.67 W	0.00	187 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	103.18	29.23 W	0.00	188 of 213 - Sale ²⁵
	200.000	73.96	08/03/20	103.18	29.22 W	0.00	189 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	101.18	27.23 W	0.00	190 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	75.15	1.20 W	0.00	191 of 213 - Sale ²⁵
	2,000.000	739.54	08/03/20	751.45	11.91 W	0.00	192 of 213 - Sale ²⁵
	7.000	2.59	08/03/20	4.84	2.25 W	0.00	193 of 213 - Sale ²⁵
	93.000	34.39	08/03/20	64.28	29.89 W	0.00	194 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	69.12	32.14 W	0.00	195 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	138.27	64.32 W	0.00	196 of 213 - Sale ²⁵
	400.000	147.91	08/03/20	276.52	128.61 W	0.00	197 of 213 - Sale ²⁵
	85.000	31.43	08/03/20	43.00	11.57 W	0.00	198 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	50.58	13.60 W	0.00	199 of 213 - Sale ²⁵
	100.000	36.98	08/03/20	50.58	13.60 W	0.00	200 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	101.18	27.23 W	0.00	201 of 213 - Sale ²⁵
	315.000	116.48	08/03/20	159.35	42.87 W	0.00	202 of 213 - Sale ²⁵
	369.000	136.45	08/03/20	186.67	50.22 W	0.00	203 of 213 - Sale ²⁵
	400.000	147.91	08/03/20	202.35	54.44 W	0.00	204 of 213 - Sale ²⁵
	400.000	147.91	08/03/20	202.35	54.44 W	0.00	205 of 213 - Sale ²⁵
	400.000	147.91	08/03/20	202.35	54.44 W	0.00	206 of 213 - Sale ²⁵
	400.000	147.91	08/03/20	202.36	54.45 W	0.00	207 of 213 - Sale ²⁵
	1.000	0.37	08/03/20	0.51	0.14 W	0.00	208 of 213 - Sale ²⁵
	7.000	2.59	08/03/20	3.54	0.95 W	0.00	209 of 213 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	14.000	5.18	08/03/20	7.08	1.90 W	0.00	210 of 213 - Sale ²⁵
	16.000	5.92	08/03/20	8.09	2.17 W	0.00	211 of 213 - Sale ²⁵
	200.000	73.95	08/03/20	101.19	27.24 W	0.00	212 of 213 - Sale ²⁵
	393.000	145.32	08/03/20	198.81	53.49 W	0.00	213 of 213 - Sale ²⁵
08/10/20	58,400.000	21,294.77	Various	30,022.62	8,727.85 W	0.00	Total of 213 transactions
	3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	241.000	87.94	08/11/20	81.04	...	6.90	1 of 3 - Sale ²⁵
	274.000	99.98	08/11/20	92.14	...	7.84	2 of 3 - Sale ²⁵
	500.000	182.44	08/11/20	168.13	...	14.31	3 of 3 - Sale ²⁵
08/19/20	1,015.000	370.36	Various	341.31	...	29.05	Total of 3 transactions
	38 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	5.000	1.75	08/11/20	1.68	...	0.07	1 of 38 - Sale ²⁵
	10.000	3.50	08/11/20	3.35	...	0.15	2 of 38 - Sale ²⁵
	7.000	2.45	08/11/20	2.28	...	0.17	3 of 38 - Sale ²⁵
	15.000	5.25	08/11/20	5.04	...	0.21	4 of 38 - Sale ²⁵
	10.000	3.50	08/11/20	3.25	...	0.25	5 of 38 - Sale ²⁵
	80.000	27.98	08/11/20	26.91	...	1.07	6 of 38 - Sale ²⁵
	46.000	16.09	08/11/20	15.01	...	1.08	7 of 38 - Sale ²⁵
	54.000	18.89	08/11/20	17.61	...	1.28	8 of 38 - Sale ²⁵
	95.000	33.23	08/11/20	31.94	...	1.29	9 of 38 - Sale ²⁵
	56.000	19.59	08/11/20	18.27	...	1.32	10 of 38 - Sale ²⁵
	100.000	34.98	08/11/20	33.62	...	1.36	11 of 38 - Sale ²⁵
	65.000	22.73	08/11/20	21.20	...	1.53	12 of 38 - Sale ²⁵
	155.000	54.21	08/11/20	52.12	...	2.09	13 of 38 - Sale ²⁵
	100.000	34.98	08/11/20	32.63	...	2.35	14 of 38 - Sale ²⁵
	100.000	34.98	08/11/20	32.62	...	2.36	15 of 38 - Sale ²⁵
	100.000	34.98	08/11/20	32.62	...	2.36	16 of 38 - Sale ²⁵
	106.000	37.08	08/11/20	34.58	...	2.50	17 of 38 - Sale ²⁵
	107.000	37.42	08/11/20	34.91	...	2.51	18 of 38 - Sale ²⁵
	140.000	48.97	08/11/20	45.68	...	3.29	19 of 38 - Sale ²⁵
	193.000	67.51	08/11/20	62.97	...	4.54	20 of 38 - Sale ²⁵
	200.000	69.95	08/11/20	65.24	...	4.71	21 of 38 - Sale ²⁵
	200.000	69.95	08/11/20	65.24	...	4.71	22 of 38 - Sale ²⁵
	366.000	128.02	08/11/20	123.07	...	4.95	23 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	283.000	98.99	08/11/20	92.34	...	6.65	24 of 38 - Sale ²⁵
	300.000	104.93	08/11/20	97.88	...	7.05	25 of 38 - Sale ²⁵
	339.000	118.57	08/11/20	110.60	...	7.97	26 of 38 - Sale ²⁵
	344.000	120.31	08/11/20	112.23	...	8.08	27 of 38 - Sale ²⁵
	355.000	124.17	08/11/20	115.82	...	8.35	28 of 38 - Sale ²⁵
	400.000	139.91	08/11/20	130.50	...	9.41	29 of 38 - Sale ²⁵
	400.000	139.91	08/11/20	130.46	...	9.45	30 of 38 - Sale ²⁵
	800.000	279.82	08/11/20	268.99	...	10.83	31 of 38 - Sale ²⁵
	471.000	164.75	08/11/20	153.62	...	11.13	32 of 38 - Sale ²⁵
	500.000	174.89	08/11/20	163.14	...	11.75	33 of 38 - Sale ²⁵
	600.000	209.86	08/11/20	195.70	...	14.16	34 of 38 - Sale ²⁵
	660.000	230.85	08/11/20	215.33	...	15.52	35 of 38 - Sale ²⁵
	800.000	279.81	08/11/20	261.01	...	18.80	36 of 38 - Sale ²⁵
	800.000	279.82	08/11/20	260.92	...	18.90	37 of 38 - Sale ²⁵
	800.000	279.82	08/11/20	260.92	...	18.90	38 of 38 - Sale ²⁵
08/21/20	10,162.000	3,554.40	Various	3,331.30	...	223.10	Total of 38 transactions
	49 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	1.000	0.35	08/11/20	0.52	0.17 W	0.00	1 of 49 - Sale ²⁵
	3.000	1.05	08/11/20	1.56	0.51 W	0.00	2 of 49 - Sale ²⁵
	10.000	3.50	08/11/20	5.19	1.69 W	0.00	3 of 49 - Sale ²⁵
	20.000	7.00	08/11/20	10.40	3.40 W	0.00	4 of 49 - Sale ²⁵
	38.000	13.29	08/11/20	19.81	6.52 W	0.00	5 of 49 - Sale ²⁵
	40.000	13.99	08/11/20	20.85	6.86 W	0.00	6 of 49 - Sale ²⁵
	50.000	17.49	08/11/20	26.05	8.56 W	0.00	7 of 49 - Sale ²⁵
	50.000	17.49	08/11/20	26.09	8.60 W	0.00	8 of 49 - Sale ²⁵
	100.000	34.98	08/11/20	52.11	17.13 W	0.00	9 of 49 - Sale ²⁵
	100.000	34.98	08/11/20	52.10	17.12 W	0.00	10 of 49 - Sale ²⁵
	100.000	34.98	08/11/20	52.11	17.13 W	0.00	11 of 49 - Sale ²⁵
	110.000	38.47	08/11/20	57.32	18.85 W	0.00	12 of 49 - Sale ²⁵
	160.000	55.96	08/11/20	83.38	27.42 W	0.00	13 of 49 - Sale ²⁵
	200.000	69.95	08/11/20	104.22	34.27 W	0.00	14 of 49 - Sale ²⁵
	200.000	69.95	08/11/20	104.23	34.28 W	0.00	15 of 49 - Sale ²⁵
	235.000	82.20	08/11/20	122.45	40.25 W	0.00	16 of 49 - Sale ²⁵
	259.000	90.59	08/11/20	134.98	44.39 W	0.00	17 of 49 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	262.000	91.64	08/11/20	136.53	44.89 W	0.00	18 of 49 - Sale ²⁵
	300.000	104.93	08/11/20	159.34	54.41 W	0.00	19 of 49 - Sale ²⁵
	300.000	104.93	08/11/20	156.34	51.41 W	0.00	20 of 49 - Sale ²⁵
	229.000	80.09	08/11/20	119.60	39.51 W	0.00	21 of 49 - Sale ²⁵
	400.000	139.91	08/11/20	208.44	68.53 W	0.00	22 of 49 - Sale ²⁵
	500.000	174.88	08/11/20	265.55	90.67 W	0.00	23 of 49 - Sale ²⁵
	62.000	21.69	08/11/20	32.36	10.67 W	0.00	24 of 49 - Sale ²⁵
	117.000	40.92	08/11/20	61.11	20.19 W	0.00	25 of 49 - Sale ²⁵
	71.000	24.83	08/11/20	37.08	12.25 W	0.00	26 of 49 - Sale ²⁵
	100.000	34.98	08/11/20	52.22	17.24 W	0.00	27 of 49 - Sale ²⁵
	100.000	34.98	08/11/20	52.21	17.23 W	0.00	28 of 49 - Sale ²⁵
	83.000	29.03	08/11/20	43.35	14.32 W	0.00	29 of 49 - Sale ²⁵
	38.000	13.29	08/11/20	17.59	4.30 W	0.00	30 of 49 - Sale ²⁵
	100.000	34.98	08/11/20	46.63	11.65 W	0.00	31 of 49 - Sale ²⁵
	162.000	56.66	08/11/20	75.55	18.89 W	0.00	32 of 49 - Sale ²⁵
	184.000	64.36	08/11/20	85.81	21.45 W	0.00	33 of 49 - Sale ²⁵
	7.000	2.45	08/11/20	4.49	2.04 W	0.00	34 of 49 - Sale ²⁵
	77.000	26.93	08/11/20	49.41	22.48 W	0.00	35 of 49 - Sale ²⁵
	100.000	34.98	08/11/20	64.16	29.18 W	0.00	36 of 49 - Sale ²⁵
	10.000	3.50	08/11/20	4.55	1.05 W	0.00	37 of 49 - Sale ²⁵
	16.000	5.60	08/11/20	7.46	1.86 W	0.00	38 of 49 - Sale ²⁵
	40.000	13.99	08/11/20	18.26	4.27 W	0.00	39 of 49 - Sale ²⁵
	50.000	17.49	08/11/20	22.80	5.31 W	0.00	40 of 49 - Sale ²⁵
	50.000	17.49	08/11/20	22.81	5.32 W	0.00	41 of 49 - Sale ²⁵
	65.000	22.73	08/11/20	29.65	6.92 W	0.00	42 of 49 - Sale ²⁵
	85.000	29.73	08/11/20	38.78	9.05 W	0.00	43 of 49 - Sale ²⁵
	85.000	29.73	08/11/20	38.78	9.05 W	0.00	44 of 49 - Sale ²⁵
	100.000	34.98	08/11/20	46.63	11.65 W	0.00	45 of 49 - Sale ²⁵
	100.000	34.98	08/11/20	45.62	10.64 W	0.00	46 of 49 - Sale ²⁵
	115.000	40.22	08/11/20	52.49	12.27 W	0.00	47 of 49 - Sale ²⁵
	200.000	69.95	08/11/20	93.26	23.31 W	0.00	48 of 49 - Sale ²⁵
	200.000	69.95	08/11/20	91.27	21.32 W	0.00	49 of 49 - Sale ²⁵
08/21/20	5,984.000	2,093.02	Various	3,053.50	960.48 W	0.00	Total of 49 transactions

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
<i>14 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	35.98	08/11/20	32.62	...	3.36	1 of 14 - Sale ²⁵
	100.000	35.98	08/11/20	32.62	...	3.36	2 of 14 - Sale ²⁵
	464.000	166.94	08/11/20	151.33	...	15.61	3 of 14 - Sale ²⁵
	800.000	287.82	08/11/20	260.92	...	26.90	4 of 14 - Sale ²⁵
	100.000	35.98	08/12/20	32.03	...	3.95	5 of 14 - Sale ²⁵
	200.000	71.96	08/12/20	64.06	...	7.90	6 of 14 - Sale ²⁵
	367.000	132.04	08/12/20	117.55	...	14.49	7 of 14 - Sale ²⁵
	500.000	179.89	08/12/20	160.15	...	19.74	8 of 14 - Sale ²⁵
	550.000	197.88	08/12/20	176.16	...	21.72	9 of 14 - Sale ²⁵
	700.000	251.84	08/12/20	224.21	...	27.63	10 of 14 - Sale ²⁵
	750.000	269.83	08/12/20	240.23	...	29.60	11 of 14 - Sale ²⁵
	933.000	335.68	08/12/20	298.84	...	36.84	12 of 14 - Sale ²⁵
	1,000.000	359.78	08/12/20	320.30	...	39.48	13 of 14 - Sale ²⁵
	1,300.000	467.72	08/12/20	416.39	...	51.33	14 of 14 - Sale ²⁵
08/24/20	7,864.000	2,829.32	Various	2,527.41	...	301.91	Total of 14 transactions
<i>91 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	16.000	5.76	08/11/20	10.26	4.50 W	0.00	1 of 91 - Sale ²⁵
	20.000	7.20	08/11/20	12.84	5.64 W	0.00	2 of 91 - Sale ²⁵
	400.000	143.90	08/11/20	256.69	112.79 W	0.00	3 of 91 - Sale ²⁵
	180.000	64.76	08/11/20	115.52	50.76 W	0.00	4 of 91 - Sale ²⁵
	100.000	35.98	08/11/20	45.62	9.64 W	0.00	5 of 91 - Sale ²⁵
	100.000	35.98	08/11/20	45.62	9.64 W	0.00	6 of 91 - Sale ²⁵
	200.000	71.95	08/11/20	91.27	19.32 W	0.00	7 of 91 - Sale ²⁵
	220.000	79.15	08/11/20	100.39	21.24 W	0.00	8 of 91 - Sale ²⁵
	139.000	50.01	08/11/20	63.43	13.42 W	0.00	9 of 91 - Sale ²⁵
	16.000	5.76	08/12/20	9.62	3.86 W	0.00	10 of 91 - Sale ²⁵
	20.000	7.20	08/12/20	12.05	4.85 W	0.00	11 of 91 - Sale ²⁵
	25.000	8.99	08/12/20	15.06	6.07 W	0.00	12 of 91 - Sale ²⁵
	400.000	143.91	08/12/20	240.91	97.00 W	0.00	13 of 91 - Sale ²⁵
	155.000	55.77	08/12/20	93.35	37.58 W	0.00	14 of 91 - Sale ²⁵
	7.000	2.52	08/12/20	4.28	1.76 W	0.00	15 of 91 - Sale ²⁵
	77.000	27.70	08/12/20	47.14	19.44 W	0.00	16 of 91 - Sale ²⁵
	100.000	35.98	08/12/20	61.21	25.23 W	0.00	17 of 91 - Sale ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	261.000	93.90	08/12/20	130.93	37.03 W	0.00	18 of 91 - Sale ²⁵
	61.000	21.95	08/12/20	29.99	8.04 W	0.00	19 of 91 - Sale ²⁵
	300.000	107.93	08/12/20	150.50	42.57 W	0.00	20 of 91 - Sale ²⁵
	239.000	85.99	08/12/20	119.89	33.90 W	0.00	21 of 91 - Sale ²⁵
	100.000	35.98	08/12/20	49.16	13.18 W	0.00	22 of 91 - Sale ²⁵
	139.000	50.01	08/12/20	68.34	18.33 W	0.00	23 of 91 - Sale ²⁵
	361.000	129.88	08/12/20	177.48	47.60 W	0.00	24 of 91 - Sale ²⁵
	61.000	21.95	08/12/20	29.99	8.04 W	0.00	25 of 91 - Sale ²⁵
	39.000	14.03	08/12/20	19.17	5.14 W	0.00	26 of 91 - Sale ²⁵
	200.000	71.96	08/12/20	98.33	26.37 W	0.00	27 of 91 - Sale ²⁵
	40.000	14.39	08/12/20	19.67	5.28 W	0.00	28 of 91 - Sale ²⁵
	61.000	21.95	08/12/20	29.99	8.04 W	0.00	29 of 91 - Sale ²⁵
	160.000	57.56	08/12/20	78.67	21.11 W	0.00	30 of 91 - Sale ²⁵
	39.000	14.03	08/12/20	19.18	5.15 W	0.00	31 of 91 - Sale ²⁵
	1.000	0.36	08/12/20	0.49	0.13 W	0.00	32 of 91 - Sale ²⁵
	10.000	3.60	08/12/20	4.89	1.29 W	0.00	33 of 91 - Sale ²⁵
	20.000	7.20	08/12/20	9.81	2.61 W	0.00	34 of 91 - Sale ²⁵
	100.000	35.98	08/12/20	49.15	13.17 W	0.00	35 of 91 - Sale ²⁵
	49.000	17.63	08/12/20	24.09	6.46 W	0.00	36 of 91 - Sale ²⁵
	161.000	57.92	08/12/20	79.14	21.22 W	0.00	37 of 91 - Sale ²⁵
	259.000	93.18	08/12/20	127.35	34.17 W	0.00	38 of 91 - Sale ²⁵
	3.000	1.08	08/12/20	1.47	0.39 W	0.00	39 of 91 - Sale ²⁵
	61.000	21.95	08/12/20	29.99	8.04 W	0.00	40 of 91 - Sale ²⁵
	200.000	71.96	08/12/20	98.34	26.38 W	0.00	41 of 91 - Sale ²⁵
	74.000	26.62	08/12/20	36.38	9.76 W	0.00	42 of 91 - Sale ²⁵
	262.000	94.26	08/12/20	128.81	34.55 W	0.00	43 of 91 - Sale ²⁵
	38.000	13.67	08/12/20	18.69	5.02 W	0.00	44 of 91 - Sale ²⁵
	50.000	17.99	08/12/20	24.58	6.59 W	0.00	45 of 91 - Sale ²⁵
	50.000	17.99	08/12/20	24.62	6.63 W	0.00	46 of 91 - Sale ²⁵
	62.000	22.30	08/12/20	30.53	8.23 W	0.00	47 of 91 - Sale ²⁵
	71.000	25.55	08/12/20	34.99	9.44 W	0.00	48 of 91 - Sale ²⁵
	39.000	14.03	08/12/20	19.17	5.14 W	0.00	49 of 91 - Sale ²⁵
	61.000	21.95	08/12/20	30.07	8.12 W	0.00	50 of 91 - Sale ²⁵
	229.000	82.39	08/12/20	112.86	30.47 W	0.00	51 of 91 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	83.000	29.86	08/12/20	40.90	11.04 W	0.00	52 of 91 - Sale ²⁵
	100.000	35.98	08/12/20	49.27	13.29 W	0.00	53 of 91 - Sale ²⁵
	100.000	35.98	08/12/20	49.26	13.28 W	0.00	54 of 91 - Sale ²⁵
	56.000	20.15	08/12/20	27.60	7.45 W	0.00	55 of 91 - Sale ²⁵
	61.000	21.95	08/12/20	25.42	3.47 W	0.00	56 of 91 - Sale ²⁵
	200.000	71.95	08/12/20	83.38	11.43 W	0.00	57 of 91 - Sale ²⁵
	39.000	14.03	08/12/20	16.25	2.22 W	0.00	58 of 91 - Sale ²⁵
	100.000	35.98	08/12/20	41.67	5.69 W	0.00	59 of 91 - Sale ²⁵
	139.000	50.01	08/12/20	57.94	7.93 W	0.00	60 of 91 - Sale ²⁵
	220.000	79.15	08/12/20	91.71	12.56 W	0.00	61 of 91 - Sale ²⁵
	10.000	3.60	08/12/20	4.25	0.65 W	0.00	62 of 91 - Sale ²⁵
	16.000	5.76	08/12/20	6.98	1.22 W	0.00	63 of 91 - Sale ²⁵
	38.000	13.67	08/12/20	16.47	2.80 W	0.00	64 of 91 - Sale ²⁵
	40.000	14.39	08/12/20	17.08	2.69 W	0.00	65 of 91 - Sale ²⁵
	50.000	17.99	08/12/20	21.33	3.34 W	0.00	66 of 91 - Sale ²⁵
	50.000	17.99	08/12/20	21.34	3.35 W	0.00	67 of 91 - Sale ²⁵
	65.000	23.39	08/12/20	27.74	4.35 W	0.00	68 of 91 - Sale ²⁵
	85.000	30.58	08/12/20	36.28	5.70 W	0.00	69 of 91 - Sale ²⁵
	85.000	30.58	08/12/20	36.28	5.70 W	0.00	70 of 91 - Sale ²⁵
	100.000	35.98	08/12/20	43.68	7.70 W	0.00	71 of 91 - Sale ²⁵
	100.000	35.98	08/12/20	43.68	7.70 W	0.00	72 of 91 - Sale ²⁵
	100.000	35.98	08/12/20	42.67	6.69 W	0.00	73 of 91 - Sale ²⁵
	115.000	41.37	08/12/20	49.10	7.73 W	0.00	74 of 91 - Sale ²⁵
	162.000	58.28	08/12/20	70.78	12.50 W	0.00	75 of 91 - Sale ²⁵
	184.000	66.20	08/12/20	80.39	14.19 W	0.00	76 of 91 - Sale ²⁵
	200.000	71.95	08/12/20	87.37	15.42 W	0.00	77 of 91 - Sale ²⁵
	200.000	71.95	08/12/20	85.38	13.43 W	0.00	78 of 91 - Sale ²⁵
	1.000	0.36	08/12/20	0.46	0.10 W	0.00	79 of 91 - Sale ²⁵
	7.000	2.52	08/12/20	3.19	0.67 W	0.00	80 of 91 - Sale ²⁵
	14.000	5.04	08/12/20	6.38	1.34 W	0.00	81 of 91 - Sale ²⁵
	16.000	5.76	08/12/20	7.29	1.53 W	0.00	82 of 91 - Sale ²⁵
	41.000	14.75	08/12/20	18.71	3.96 W	0.00	83 of 91 - Sale ²⁵
	85.000	30.58	08/12/20	38.80	8.22 W	0.00	84 of 91 - Sale ²⁵
	200.000	71.95	08/12/20	91.30	19.35 W	0.00	85 of 91 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	315.000	113.33	08/12/20	143.76	30.43 W	0.00	86 of 91 - Sale ²⁵
	369.000	132.75	08/12/20	168.41	35.66 W	0.00	87 of 91 - Sale ²⁵
	393.000	141.38	08/12/20	179.37	37.99 W	0.00	88 of 91 - Sale ²⁵
	400.000	143.91	08/12/20	182.56	38.65 W	0.00	89 of 91 - Sale ²⁵
	400.000	143.91	08/12/20	182.56	38.65 W	0.00	90 of 91 - Sale ²⁵
	400.000	143.91	08/12/20	182.57	38.66 W	0.00	91 of 91 - Sale ²⁵
08/24/20	11,375.000	4,092.47	Various	5,511.53	1,419.06 W	0.00	Total of 91 transactions
	28 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	39.000	14.42	08/12/20	14.33	...	0.09	1 of 28 - Sale ²⁵
	61.000	22.56	08/12/20	22.40	...	0.16	2 of 28 - Sale ²⁵
	100.000	36.98	08/12/20	36.73	...	0.25	3 of 28 - Sale ²⁵
	139.000	51.40	08/12/20	51.08	...	0.32	4 of 28 - Sale ²⁵
	200.000	73.95	08/12/20	73.51	...	0.44	5 of 28 - Sale ²⁵
	220.000	81.35	08/12/20	80.85	...	0.50	6 of 28 - Sale ²⁵
	3,600.000	1,331.18	08/12/20	1,153.08	...	178.10	7 of 28 - Sale ²⁵
	100.000	36.98	08/18/20	29.03	...	7.95	8 of 28 - Sale ²⁵
	100.000	36.98	08/18/20	29.03	...	7.95	9 of 28 - Sale ²⁵
	100.000	36.98	08/18/20	29.03	...	7.95	10 of 28 - Sale ²⁵
	100.000	36.98	08/18/20	29.03	...	7.95	11 of 28 - Sale ²⁵
	100.000	36.98	08/18/20	29.03	...	7.95	12 of 28 - Sale ²⁵
	100.000	36.98	08/18/20	29.03	...	7.95	13 of 28 - Sale ²⁵
	100.000	36.98	08/18/20	29.03	...	7.95	14 of 28 - Sale ²⁵
	200.000	73.95	08/18/20	58.06	...	15.89	15 of 28 - Sale ²⁵
	200.000	73.95	08/18/20	58.06	...	15.89	16 of 28 - Sale ²⁵
	200.000	73.95	08/18/20	58.06	...	15.89	17 of 28 - Sale ²⁵
	200.000	73.95	08/18/20	58.06	...	15.89	18 of 28 - Sale ²⁵
	200.000	73.95	08/18/20	58.06	...	15.89	19 of 28 - Sale ²⁵
	300.000	110.93	08/18/20	87.09	...	23.84	20 of 28 - Sale ²⁵
	300.000	110.93	08/18/20	87.09	...	23.84	21 of 28 - Sale ²⁵
	400.000	147.90	08/18/20	116.12	...	31.78	22 of 28 - Sale ²⁵
	400.000	147.90	08/18/20	116.12	...	31.78	23 of 28 - Sale ²⁵
	400.000	147.91	08/18/20	116.12	...	31.79	24 of 28 - Sale ²⁵
	400.000	147.91	08/18/20	116.12	...	31.79	25 of 28 - Sale ²⁵
	500.000	184.88	08/18/20	145.15	...	39.73	26 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	600.000	221.86	08/18/20	174.18	...	47.68	27 of 28 - Sale ²⁵
	700.000	258.84	08/18/20	203.21	...	55.63	28 of 28 - Sale ²⁵
08/25/20	10,059.000	3,719.51	Various	3,086.69	...	632.82	Total of 28 transactions
	76 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	16.000	5.92	08/12/20	8.83	2.91 W	0.00	1 of 76 - Sale ²⁵
	20.000	7.40	08/12/20	11.06	3.66 W	0.00	2 of 76 - Sale ²⁵
	25.000	9.24	08/12/20	13.83	4.59 W	0.00	3 of 76 - Sale ²⁵
	155.000	57.31	08/12/20	85.69	28.38 W	0.00	4 of 76 - Sale ²⁵
	400.000	147.91	08/12/20	221.16	73.25 W	0.00	5 of 76 - Sale ²⁵
	7.000	2.59	08/12/20	3.93	1.34 W	0.00	6 of 76 - Sale ²⁵
	77.000	28.47	08/12/20	43.34	14.87 W	0.00	7 of 76 - Sale ²⁵
	100.000	36.98	08/12/20	56.27	19.29 W	0.00	8 of 76 - Sale ²⁵
	1.000	0.37	08/12/20	0.44	0.07 W	0.00	9 of 76 - Sale ²⁵
	3.000	1.11	08/12/20	1.32	0.21 W	0.00	10 of 76 - Sale ²⁵
	10.000	3.70	08/12/20	4.39	0.69 W	0.00	11 of 76 - Sale ²⁵
	20.000	7.40	08/12/20	8.82	1.42 W	0.00	12 of 76 - Sale ²⁵
	38.000	14.05	08/12/20	16.82	2.77 W	0.00	13 of 76 - Sale ²⁵
	39.000	14.42	08/12/20	17.25	2.83 W	0.00	14 of 76 - Sale ²⁵
	39.000	14.42	08/12/20	17.26	2.84 W	0.00	15 of 76 - Sale ²⁵
	39.000	14.42	08/12/20	17.25	2.83 W	0.00	16 of 76 - Sale ²⁵
	40.000	14.79	08/12/20	17.70	2.91 W	0.00	17 of 76 - Sale ²⁵
	49.000	18.12	08/12/20	21.67	3.55 W	0.00	18 of 76 - Sale ²⁵
	50.000	18.49	08/12/20	22.11	3.62 W	0.00	19 of 76 - Sale ²⁵
	50.000	18.49	08/12/20	22.15	3.66 W	0.00	20 of 76 - Sale ²⁵
	56.000	20.71	08/12/20	24.83	4.12 W	0.00	21 of 76 - Sale ²⁵
	61.000	22.56	08/12/20	26.97	4.41 W	0.00	22 of 76 - Sale ²⁵
	61.000	22.56	08/12/20	26.97	4.41 W	0.00	23 of 76 - Sale ²⁵
	61.000	22.56	08/12/20	26.97	4.41 W	0.00	24 of 76 - Sale ²⁵
	61.000	22.56	08/12/20	26.97	4.41 W	0.00	25 of 76 - Sale ²⁵
	61.000	22.56	08/12/20	27.05	4.49 W	0.00	26 of 76 - Sale ²⁵
	62.000	22.93	08/12/20	27.47	4.54 W	0.00	27 of 76 - Sale ²⁵
	71.000	26.25	08/12/20	31.48	5.23 W	0.00	28 of 76 - Sale ²⁵
	74.000	27.36	08/12/20	32.73	5.37 W	0.00	29 of 76 - Sale ²⁵
	83.000	30.69	08/12/20	36.80	6.11 W	0.00	30 of 76 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	100.000	36.98	08/12/20	44.22	7.24 W	0.00	31 of 76 - Sale ²⁵
	100.000	36.98	08/12/20	44.21	7.23 W	0.00	32 of 76 - Sale ²⁵
	100.000	36.98	08/12/20	44.33	7.35 W	0.00	33 of 76 - Sale ²⁵
	100.000	36.98	08/12/20	44.32	7.34 W	0.00	34 of 76 - Sale ²⁵
	139.000	51.40	08/12/20	61.48	10.08 W	0.00	35 of 76 - Sale ²⁵
	160.000	59.16	08/12/20	70.77	11.61 W	0.00	36 of 76 - Sale ²⁵
	161.000	59.53	08/12/20	71.19	11.66 W	0.00	37 of 76 - Sale ²⁵
	200.000	73.95	08/12/20	88.45	14.50 W	0.00	38 of 76 - Sale ²⁵
	200.000	73.95	08/12/20	88.46	14.51 W	0.00	39 of 76 - Sale ²⁵
	229.000	84.68	08/12/20	101.55	16.87 W	0.00	40 of 76 - Sale ²⁵
	239.000	88.38	08/12/20	108.09	19.71 W	0.00	41 of 76 - Sale ²⁵
	259.000	95.77	08/12/20	114.56	18.79 W	0.00	42 of 76 - Sale ²⁵
	261.000	96.51	08/12/20	118.04	21.53 W	0.00	43 of 76 - Sale ²⁵
	262.000	96.88	08/12/20	115.88	19.00 W	0.00	44 of 76 - Sale ²⁵
	300.000	110.93	08/12/20	135.69	24.76 W	0.00	45 of 76 - Sale ²⁵
	361.000	133.49	08/12/20	159.65	26.16 W	0.00	46 of 76 - Sale ²⁵
	10.000	3.70	08/12/20	3.75	0.05 W	0.00	47 of 76 - Sale ²⁵
	16.000	5.92	08/12/20	6.19	0.27 W	0.00	48 of 76 - Sale ²⁵
	38.000	14.05	08/12/20	14.60	0.55 W	0.00	49 of 76 - Sale ²⁵
	40.000	14.79	08/12/20	15.11	0.32 W	0.00	50 of 76 - Sale ²⁵
	50.000	18.49	08/12/20	18.86	0.37 W	0.00	51 of 76 - Sale ²⁵
	50.000	18.49	08/12/20	18.87	0.38 W	0.00	52 of 76 - Sale ²⁵
	65.000	24.04	08/12/20	24.53	0.49 W	0.00	53 of 76 - Sale ²⁵
	85.000	31.43	08/12/20	32.08	0.65 W	0.00	54 of 76 - Sale ²⁵
	85.000	31.43	08/12/20	32.08	0.65 W	0.00	55 of 76 - Sale ²⁵
	100.000	36.98	08/12/20	38.74	1.76 W	0.00	56 of 76 - Sale ²⁵
	100.000	36.98	08/12/20	38.74	1.76 W	0.00	57 of 76 - Sale ²⁵
	100.000	36.98	08/12/20	37.73	0.75 W	0.00	58 of 76 - Sale ²⁵
	115.000	42.52	08/12/20	43.43	0.91 W	0.00	59 of 76 - Sale ²⁵
	162.000	59.90	08/12/20	62.79	2.89 W	0.00	60 of 76 - Sale ²⁵
	184.000	68.04	08/12/20	71.30	3.26 W	0.00	61 of 76 - Sale ²⁵
	200.000	73.95	08/12/20	77.50	3.55 W	0.00	62 of 76 - Sale ²⁵
	200.000	73.95	08/12/20	75.51	1.56 W	0.00	63 of 76 - Sale ²⁵
	1.000	0.37	08/12/20	0.41	0.04 W	0.00	64 of 76 - Sale ²⁵

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	7.000	2.59	08/12/20	2.84	0.25 W	0.00	65 of 76 - Sale ²⁵
	14.000	5.18	08/12/20	5.69	0.51 W	0.00	66 of 76 - Sale ²⁵
	16.000	5.92	08/12/20	6.50	0.58 W	0.00	67 of 76 - Sale ²⁵
	41.000	15.16	08/12/20	16.69	1.53 W	0.00	68 of 76 - Sale ²⁵
	85.000	31.43	08/12/20	34.60	3.17 W	0.00	69 of 76 - Sale ²⁵
	200.000	73.95	08/12/20	81.43	7.48 W	0.00	70 of 76 - Sale ²⁵
	315.000	116.48	08/12/20	128.21	11.73 W	0.00	71 of 76 - Sale ²⁵
	369.000	136.44	08/12/20	150.20	13.76 W	0.00	72 of 76 - Sale ²⁵
	393.000	145.32	08/12/20	159.97	14.65 W	0.00	73 of 76 - Sale ²⁵
	400.000	147.91	08/12/20	162.81	14.90 W	0.00	74 of 76 - Sale ²⁵
	400.000	147.91	08/12/20	162.81	14.90 W	0.00	75 of 76 - Sale ²⁵
	400.000	147.91	08/12/20	162.82	14.91 W	0.00	76 of 76 - Sale ²⁵
08/25/20	9,241.000	3,417.10	Various	3,997.21	580.11 W	0.00	Total of 76 transactions
	157 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	16.000	4.12	09/01/20	8.05	3.93 W	0.00	1 of 157 - Sale ²⁵
	20.000	5.15	09/01/20	10.08	4.93 W	0.00	2 of 157 - Sale ²⁵
	25.000	6.43	09/01/20	12.61	6.18 W	0.00	3 of 157 - Sale ²⁵
	155.000	39.89	09/01/20	78.14	38.25 W	0.00	4 of 157 - Sale ²⁵
	400.000	102.95	09/01/20	201.65	98.70 W	0.00	5 of 157 - Sale ²⁵
	7.000	1.80	09/01/20	3.59	1.79 W	0.00	6 of 157 - Sale ²⁵
	77.000	19.82	09/01/20	39.59	19.77 W	0.00	7 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	51.39	25.65 W	0.00	8 of 157 - Sale ²⁵
	1.000	0.26	09/01/20	0.39	0.13 W	0.00	9 of 157 - Sale ²⁵
	3.000	0.77	09/01/20	1.17	0.40 W	0.00	10 of 157 - Sale ²⁵
	10.000	2.57	09/01/20	3.90	1.33 W	0.00	11 of 157 - Sale ²⁵
	20.000	5.15	09/01/20	7.84	2.69 W	0.00	12 of 157 - Sale ²⁵
	38.000	9.78	09/01/20	14.97	5.19 W	0.00	13 of 157 - Sale ²⁵
	39.000	10.04	09/01/20	15.35	5.31 W	0.00	14 of 157 - Sale ²⁵
	39.000	10.04	09/01/20	15.36	5.32 W	0.00	15 of 157 - Sale ²⁵
	39.000	10.04	09/01/20	15.35	5.31 W	0.00	16 of 157 - Sale ²⁵
	40.000	10.29	09/01/20	15.75	5.46 W	0.00	17 of 157 - Sale ²⁵
	49.000	12.61	09/01/20	19.28	6.67 W	0.00	18 of 157 - Sale ²⁵
	50.000	12.87	09/01/20	19.67	6.80 W	0.00	19 of 157 - Sale ²⁵
	50.000	12.87	09/01/20	19.71	6.84 W	0.00	20 of 157 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	56.000	14.41	09/01/20	22.10	7.69 W	0.00	21 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	23.99	8.29 W	0.00	22 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	23.99	8.29 W	0.00	23 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	23.99	8.29 W	0.00	24 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	23.99	8.29 W	0.00	25 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	24.07	8.37 W	0.00	26 of 157 - Sale ²⁵
	62.000	15.96	09/01/20	24.44	8.48 W	0.00	27 of 157 - Sale ²⁵
	71.000	18.27	09/01/20	28.02	9.75 W	0.00	28 of 157 - Sale ²⁵
	74.000	19.05	09/01/20	29.12	10.07 W	0.00	29 of 157 - Sale ²⁵
	83.000	21.36	09/01/20	32.75	11.39 W	0.00	30 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	39.34	13.60 W	0.00	31 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	39.33	13.59 W	0.00	32 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	39.45	13.71 W	0.00	33 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	39.44	13.70 W	0.00	34 of 157 - Sale ²⁵
	139.000	35.78	09/01/20	54.70	18.92 W	0.00	35 of 157 - Sale ²⁵
	160.000	41.18	09/01/20	62.97	21.79 W	0.00	36 of 157 - Sale ²⁵
	161.000	41.44	09/01/20	63.34	21.90 W	0.00	37 of 157 - Sale ²⁵
	200.000	51.48	09/01/20	78.70	27.22 W	0.00	38 of 157 - Sale ²⁵
	200.000	51.47	09/01/20	78.71	27.24 W	0.00	39 of 157 - Sale ²⁵
	229.000	58.94	09/01/20	90.38	31.44 W	0.00	40 of 157 - Sale ²⁵
	239.000	61.51	09/01/20	96.43	34.92 W	0.00	41 of 157 - Sale ²⁵
	259.000	66.66	09/01/20	101.93	35.27 W	0.00	42 of 157 - Sale ²⁵
	261.000	67.17	09/01/20	105.31	38.14 W	0.00	43 of 157 - Sale ²⁵
	262.000	67.43	09/01/20	103.10	35.67 W	0.00	44 of 157 - Sale ²⁵
	300.000	77.21	09/01/20	121.06	43.85 W	0.00	45 of 157 - Sale ²⁵
	361.000	92.91	09/01/20	142.04	49.13 W	0.00	46 of 157 - Sale ²⁵
	10.000	2.57	09/01/20	3.26	0.69 W	0.00	47 of 157 - Sale ²⁵
	16.000	4.12	09/01/20	5.41	1.29 W	0.00	48 of 157 - Sale ²⁵
	38.000	9.78	09/01/20	12.75	2.97 W	0.00	49 of 157 - Sale ²⁵
	40.000	10.29	09/01/20	13.16	2.87 W	0.00	50 of 157 - Sale ²⁵
	50.000	12.87	09/01/20	16.42	3.55 W	0.00	51 of 157 - Sale ²⁵
	50.000	12.87	09/01/20	16.43	3.56 W	0.00	52 of 157 - Sale ²⁵
	65.000	16.73	09/01/20	21.35	4.62 W	0.00	53 of 157 - Sale ²⁵
	85.000	21.88	09/01/20	27.94	6.06 W	0.00	54 of 157 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	85.000	21.88	09/01/20	27.94	6.06 W	0.00	55 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	33.86	8.12 W	0.00	56 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	33.86	8.12 W	0.00	57 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	32.85	7.11 W	0.00	58 of 157 - Sale ²⁵
	115.000	29.60	09/01/20	37.82	8.22 W	0.00	59 of 157 - Sale ²⁵
	162.000	41.69	09/01/20	54.89	13.20 W	0.00	60 of 157 - Sale ²⁵
	184.000	47.36	09/01/20	62.32	14.96 W	0.00	61 of 157 - Sale ²⁵
	200.000	51.47	09/01/20	67.75	16.28 W	0.00	62 of 157 - Sale ²⁵
	200.000	51.47	09/01/20	65.76	14.29 W	0.00	63 of 157 - Sale ²⁵
	1.000	0.26	09/01/20	0.36	0.10 W	0.00	64 of 157 - Sale ²⁵
	7.000	1.80	09/01/20	2.50	0.70 W	0.00	65 of 157 - Sale ²⁵
	14.000	3.60	09/01/20	5.00	1.40 W	0.00	66 of 157 - Sale ²⁵
	16.000	4.12	09/01/20	5.72	1.60 W	0.00	67 of 157 - Sale ²⁵
	41.000	10.55	09/01/20	14.69	4.14 W	0.00	68 of 157 - Sale ²⁵
	85.000	21.88	09/01/20	30.46	8.58 W	0.00	69 of 157 - Sale ²⁵
	200.000	51.47	09/01/20	71.68	20.21 W	0.00	70 of 157 - Sale ²⁵
	315.000	81.07	09/01/20	112.84	31.77 W	0.00	71 of 157 - Sale ²⁵
	369.000	94.97	09/01/20	132.21	37.24 W	0.00	72 of 157 - Sale ²⁵
	393.000	101.15	09/01/20	140.80	39.65 W	0.00	73 of 157 - Sale ²⁵
	400.000	102.95	09/01/20	143.30	40.35 W	0.00	74 of 157 - Sale ²⁵
	400.000	102.95	09/01/20	143.30	40.35 W	0.00	75 of 157 - Sale ²⁵
	400.000	102.95	09/01/20	143.31	40.36 W	0.00	76 of 157 - Sale ²⁵
	4,509.000	1,160.51	09/01/20	1,447.39	286.88 W	0.00	77 of 157 - Sale ²⁵
	2,750.000	707.78	09/01/20	882.75	174.97 W	0.00	78 of 157 - Sale ²⁵
	16.000	4.12	09/01/20	9.07	4.95 W	0.00	79 of 157 - Sale ²⁵
	20.000	5.15	09/01/20	11.35	6.20 W	0.00	80 of 157 - Sale ²⁵
	25.000	6.43	09/01/20	14.20	7.77 W	0.00	81 of 157 - Sale ²⁵
	155.000	39.89	09/01/20	88.01	48.12 W	0.00	82 of 157 - Sale ²⁵
	400.000	102.95	09/01/20	227.10	124.15 W	0.00	83 of 157 - Sale ²⁵
	7.000	1.80	09/01/20	4.04	2.24 W	0.00	84 of 157 - Sale ²⁵
	77.000	19.82	09/01/20	44.49	24.67 W	0.00	85 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	57.75	32.01 W	0.00	86 of 157 - Sale ²⁵
	1.000	0.26	09/01/20	0.45	0.19 W	0.00	87 of 157 - Sale ²⁵
	3.000	0.77	09/01/20	1.36	0.59 W	0.00	88 of 157 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	10.000	2.57	09/01/20	4.54	1.97 W	0.00	89 of 157 - Sale ²⁵
	20.000	5.15	09/01/20	9.11	3.96 W	0.00	90 of 157 - Sale ²⁵
	38.000	9.78	09/01/20	17.39	7.61 W	0.00	91 of 157 - Sale ²⁵
	39.000	10.04	09/01/20	17.83	7.79 W	0.00	92 of 157 - Sale ²⁵
	39.000	10.04	09/01/20	17.84	7.80 W	0.00	93 of 157 - Sale ²⁵
	39.000	10.04	09/01/20	17.83	7.79 W	0.00	94 of 157 - Sale ²⁵
	40.000	10.30	09/01/20	18.30	8.00 W	0.00	95 of 157 - Sale ²⁵
	49.000	12.61	09/01/20	22.40	9.79 W	0.00	96 of 157 - Sale ²⁵
	50.000	12.87	09/01/20	22.85	9.98 W	0.00	97 of 157 - Sale ²⁵
	50.000	12.87	09/01/20	22.89	10.02 W	0.00	98 of 157 - Sale ²⁵
	56.000	14.41	09/01/20	25.67	11.26 W	0.00	99 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	27.87	12.17 W	0.00	100 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	27.87	12.17 W	0.00	101 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	27.87	12.17 W	0.00	102 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	27.87	12.17 W	0.00	103 of 157 - Sale ²⁵
	61.000	15.70	09/01/20	27.95	12.25 W	0.00	104 of 157 - Sale ²⁵
	62.000	15.96	09/01/20	28.38	12.42 W	0.00	105 of 157 - Sale ²⁵
	71.000	18.27	09/01/20	32.54	14.27 W	0.00	106 of 157 - Sale ²⁵
	74.000	19.05	09/01/20	33.82	14.77 W	0.00	107 of 157 - Sale ²⁵
	83.000	21.36	09/01/20	38.03	16.67 W	0.00	108 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	45.70	19.96 W	0.00	109 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	45.69	19.95 W	0.00	110 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	45.81	20.07 W	0.00	111 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	45.80	20.06 W	0.00	112 of 157 - Sale ²⁵
	139.000	35.78	09/01/20	63.54	27.76 W	0.00	113 of 157 - Sale ²⁵
	160.000	41.18	09/01/20	73.15	31.97 W	0.00	114 of 157 - Sale ²⁵
	161.000	41.44	09/01/20	73.58	32.14 W	0.00	115 of 157 - Sale ²⁵
	200.000	51.47	09/01/20	91.42	39.95 W	0.00	116 of 157 - Sale ²⁵
	200.000	51.47	09/01/20	91.44	39.97 W	0.00	117 of 157 - Sale ²⁵
	229.000	58.94	09/01/20	104.95	46.01 W	0.00	118 of 157 - Sale ²⁵
	239.000	61.51	09/01/20	111.64	50.13 W	0.00	119 of 157 - Sale ²⁵
	259.000	66.66	09/01/20	118.41	51.75 W	0.00	120 of 157 - Sale ²⁵
	261.000	67.17	09/01/20	121.92	54.75 W	0.00	121 of 157 - Sale ²⁵
	262.000	67.43	09/01/20	119.77	52.34 W	0.00	122 of 157 - Sale ²⁵

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(continued)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	300.000	77.21	09/01/20	140.15	62.94 W	0.00	123 of 157 - Sale ²⁵
	361.000	92.91	09/01/20	165.01	72.10 W	0.00	124 of 157 - Sale ²⁵
	10.000	2.57	09/01/20	3.90	1.33 W	0.00	125 of 157 - Sale ²⁵
	16.000	4.12	09/01/20	6.43	2.31 W	0.00	126 of 157 - Sale ²⁵
	38.000	9.78	09/01/20	15.17	5.39 W	0.00	127 of 157 - Sale ²⁵
	40.000	10.29	09/01/20	15.71	5.42 W	0.00	128 of 157 - Sale ²⁵
	50.000	12.87	09/01/20	19.60	6.73 W	0.00	129 of 157 - Sale ²⁵
	50.000	12.87	09/01/20	19.61	6.74 W	0.00	130 of 157 - Sale ²⁵
	65.000	16.73	09/01/20	25.48	8.75 W	0.00	131 of 157 - Sale ²⁵
	85.000	21.88	09/01/20	33.35	11.47 W	0.00	132 of 157 - Sale ²⁵
	85.000	21.88	09/01/20	33.35	11.47 W	0.00	133 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	40.22	14.48 W	0.00	134 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	40.22	14.48 W	0.00	135 of 157 - Sale ²⁵
	100.000	25.74	09/01/20	39.21	13.47 W	0.00	136 of 157 - Sale ²⁵
	115.000	29.60	09/01/20	45.13	15.53 W	0.00	137 of 157 - Sale ²⁵
	162.000	41.69	09/01/20	65.20	23.51 W	0.00	138 of 157 - Sale ²⁵
	184.000	47.36	09/01/20	74.02	26.66 W	0.00	139 of 157 - Sale ²⁵
	200.000	51.47	09/01/20	80.48	29.01 W	0.00	140 of 157 - Sale ²⁵
	200.000	51.47	09/01/20	78.49	27.02 W	0.00	141 of 157 - Sale ²⁵
	41.000	10.55	09/01/20	17.30	6.75 W	0.00	142 of 157 - Sale ²⁵
	85.000	21.88	09/01/20	35.87	13.99 W	0.00	143 of 157 - Sale ²⁵
	259.000	66.66	09/01/20	109.28	42.62 W	0.00	144 of 157 - Sale ²⁵
	315.000	81.07	09/01/20	132.88	51.81 W	0.00	145 of 157 - Sale ²⁵
	400.000	102.95	09/01/20	168.75	65.80 W	0.00	146 of 157 - Sale ²⁵
	400.000	102.95	09/01/20	168.75	65.80 W	0.00	147 of 157 - Sale ²⁵
	400.000	102.95	09/01/20	168.76	65.81 W	0.00	148 of 157 - Sale ²⁵
	1.000	0.26	09/02/20	0.41	0.15 W	0.00	149 of 157 - Sale ²⁵
	7.000	1.80	09/02/20	2.84	1.04 W	0.00	150 of 157 - Sale ²⁵
	14.000	3.60	09/02/20	5.67	2.07 W	0.00	151 of 157 - Sale ²⁵
	16.000	4.12	09/02/20	6.48	2.36 W	0.00	152 of 157 - Sale ²⁵
	110.000	28.31	09/02/20	44.67	16.36 W	0.00	153 of 157 - Sale ²⁵
	200.000	51.47	09/02/20	81.25	29.78 W	0.00	154 of 157 - Sale ²⁵
	393.000	101.15	09/02/20	159.60	58.45 W	0.00	155 of 157 - Sale ²⁵
	410.000	105.52	09/02/20	151.22	45.70 W	0.00	156 of 157 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	3,849.000	990.64	09/02/20	1,419.59	428.95 W	0.00	157 of 157 - Sale ²⁵
09/25/20	30,000.000	7,721.25	Various	11,570.15	3,848.90 W	0.00	Total of 157 transactions
	81 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	800.000	229.90	09/23/20	262.64	...	-32.74	1 of 81 - Sale ²⁵
	125.000	35.92	09/23/20	41.04	...	-5.12	2 of 81 - Sale ²⁵
	75.000	21.55	09/23/20	24.63	...	-3.08	3 of 81 - Sale ²⁵
	75.000	21.56	09/23/20	24.62	...	-3.06	4 of 81 - Sale ²⁵
	100.000	28.44	09/23/20	23.80	...	4.64	5 of 81 - Sale ²⁵
	100.000	28.44	09/23/20	23.80	...	4.64	6 of 81 - Sale ²⁵
	200.000	56.87	09/23/20	47.60	...	9.27	7 of 81 - Sale ²⁵
	300.000	85.31	09/23/20	71.40	...	13.91	8 of 81 - Sale ²⁵
	400.000	113.75	09/23/20	95.20	...	18.55	9 of 81 - Sale ²⁵
	400.000	113.75	09/23/20	95.20	...	18.55	10 of 81 - Sale ²⁵
	200.000	57.47	09/24/20	99.31	...	-41.84	11 of 81 - Sale ²⁵
	300.000	86.21	09/24/20	112.44	...	-26.23	12 of 81 - Sale ²⁵
	125.000	35.92	09/24/20	62.07	...	-26.15	13 of 81 - Sale ²⁵
	100.000	28.74	09/24/20	50.62	...	-21.88	14 of 81 - Sale ²⁵
	100.000	28.74	09/24/20	49.66	...	-20.92	15 of 81 - Sale ²⁵
	77.000	22.13	09/24/20	38.98	...	-16.85	16 of 81 - Sale ²⁵
	400.000	114.95	09/24/20	128.82	...	-13.87	17 of 81 - Sale ²⁵
	200.000	57.47	09/24/20	71.08	...	-13.61	18 of 81 - Sale ²⁵
	59.000	16.96	09/24/20	29.30	...	-12.34	19 of 81 - Sale ²⁵
	200.000	57.47	09/24/20	69.07	...	-11.60	20 of 81 - Sale ²⁵
	315.000	90.52	09/24/20	101.45	...	-10.93	21 of 81 - Sale ²⁵
	100.000	28.74	09/24/20	39.59	...	-10.85	22 of 81 - Sale ²⁵
	100.000	28.74	09/24/20	39.58	...	-10.84	23 of 81 - Sale ²⁵
	259.000	74.43	09/24/20	83.44	...	-9.01	24 of 81 - Sale ²⁵
	259.000	74.43	09/24/20	83.42	...	-8.99	25 of 81 - Sale ²⁵
	100.000	28.74	09/24/20	35.50	...	-6.76	26 of 81 - Sale ²⁵
	115.000	33.05	09/24/20	39.73	...	-6.68	27 of 81 - Sale ²⁵
	352.000	101.16	09/24/20	107.81	...	-6.65	28 of 81 - Sale ²⁵
	100.000	28.74	09/24/20	34.49	...	-5.75	29 of 81 - Sale ²⁵
	25.000	7.18	09/24/20	12.43	...	-5.25	30 of 81 - Sale ²⁵
	25.000	7.18	09/24/20	12.42	...	-5.24	31 of 81 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	59.000	16.96	09/24/20	22.11	...	-5.15	32 of 81 - Sale ²⁵
	85.000	24.43	09/24/20	29.34	...	-4.91	33 of 81 - Sale ²⁵
	85.000	24.43	09/24/20	29.34	...	-4.91	34 of 81 - Sale ²⁵
	141.000	40.52	09/24/20	45.42	...	-4.90	35 of 81 - Sale ²⁵
	65.000	18.68	09/24/20	22.42	...	-3.74	36 of 81 - Sale ²⁵
	41.000	11.78	09/24/20	15.37	...	-3.59	37 of 81 - Sale ²⁵
	16.000	4.60	09/24/20	7.94	...	-3.34	38 of 81 - Sale ²⁵
	16.000	4.60	09/24/20	7.94	...	-3.34	39 of 81 - Sale ²⁵
	16.000	4.60	09/24/20	7.92	...	-3.32	40 of 81 - Sale ²⁵
	200.000	57.47	09/24/20	60.68	...	-3.21	41 of 81 - Sale ²⁵
	14.000	4.02	09/24/20	6.95	...	-2.93	42 of 81 - Sale ²⁵
	50.000	14.37	09/24/20	17.25	...	-2.88	43 of 81 - Sale ²⁵
	50.000	14.37	09/24/20	17.24	...	-2.87	44 of 81 - Sale ²⁵
	40.000	11.49	09/24/20	13.81	...	-2.32	45 of 81 - Sale ²⁵
	11.000	3.16	09/24/20	5.45	...	-2.29	46 of 81 - Sale ²⁵
	110.000	31.61	09/24/20	33.70	...	-2.09	47 of 81 - Sale ²⁵
	59.000	16.96	09/24/20	19.00	...	-2.04	48 of 81 - Sale ²⁵
	9.000	2.59	09/24/20	4.47	...	-1.88	49 of 81 - Sale ²⁵
	7.000	2.01	09/24/20	3.56	...	-1.55	50 of 81 - Sale ²⁵
	41.000	11.78	09/24/20	13.20	...	-1.42	51 of 81 - Sale ²⁵
	26.000	7.47	09/24/20	8.38	...	-0.91	52 of 81 - Sale ²⁵
	41.000	11.78	09/24/20	12.44	...	-0.66	53 of 81 - Sale ²⁵
	10.000	2.87	09/24/20	3.44	...	-0.57	54 of 81 - Sale ²⁵
	16.000	4.60	09/24/20	4.88	...	-0.28	55 of 81 - Sale ²⁵
	14.000	4.02	09/24/20	4.28	...	-0.26	56 of 81 - Sale ²⁵
	7.000	2.01	09/24/20	2.15	...	-0.14	57 of 81 - Sale ²⁵
	1.000	0.29	09/24/20	0.32	...	-0.03	58 of 81 - Sale ²⁵
	25.000	7.18	09/24/20	6.77	...	0.41	59 of 81 - Sale ²⁵
	35.000	10.06	09/24/20	9.32	...	0.74	60 of 81 - Sale ²⁵
	50.000	14.37	09/24/20	13.55	...	0.82	61 of 81 - Sale ²⁵
	49.000	14.08	09/24/20	13.04	...	1.04	62 of 81 - Sale ²⁵
	75.000	21.55	09/24/20	20.32	...	1.23	63 of 81 - Sale ²⁵
	59.000	16.96	09/24/20	15.68	...	1.28	64 of 81 - Sale ²⁵
	75.000	21.55	09/24/20	19.95	...	1.60	65 of 81 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	100.000	28.74	09/24/20	27.09	...	1.65	66 of 81 - Sale ²⁵
	90.000	25.86	09/24/20	23.95	...	1.91	67 of 81 - Sale ²⁵
	100.000	28.74	09/24/20	26.60	...	2.14	68 of 81 - Sale ²⁵
	200.000	57.47	09/24/20	54.18	...	3.29	69 of 81 - Sale ²⁵
	200.000	57.48	09/24/20	53.21	...	4.27	70 of 81 - Sale ²⁵
	200.000	57.47	09/24/20	53.20	...	4.27	71 of 81 - Sale ²⁵
	200.000	57.48	09/24/20	53.20	...	4.28	72 of 81 - Sale ²⁵
	200.000	57.48	09/24/20	53.19	...	4.29	73 of 81 - Sale ²⁵
	225.000	64.66	09/24/20	59.86	...	4.80	74 of 81 - Sale ²⁵
	300.000	86.21	09/24/20	81.26	...	4.95	75 of 81 - Sale ²⁵
	350.000	100.58	09/24/20	94.82	...	5.76	76 of 81 - Sale ²⁵
	400.000	114.95	09/24/20	108.36	...	6.59	77 of 81 - Sale ²⁵
	351.000	100.87	09/24/20	93.38	...	7.49	78 of 81 - Sale ²⁵
	575.000	165.24	09/24/20	155.77	...	9.47	79 of 81 - Sale ²⁵
	475.000	136.50	09/24/20	126.35	...	10.15	80 of 81 - Sale ²⁵
	925.000	265.82	09/24/20	250.59	...	15.23	81 of 81 - Sale ²⁵
09/28/20	12,575.000	3,609.23	Various	3,843.78	...	-234.55	Total of 81 transactions
	405 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	16.000	4.55	09/02/20	9.83	5.28 W	0.00	1 of 405 - Sale ²⁵
	20.000	5.69	09/02/20	12.30	6.61 W	0.00	2 of 405 - Sale ²⁵
	25.000	7.11	09/02/20	15.40	8.29 W	0.00	3 of 405 - Sale ²⁵
	155.000	44.08	09/02/20	95.43	51.35 W	0.00	4 of 405 - Sale ²⁵
	400.000	113.75	09/02/20	246.23	132.48 W	0.00	5 of 405 - Sale ²⁵
	7.000	1.99	09/02/20	4.38	2.39 W	0.00	6 of 405 - Sale ²⁵
	77.000	21.90	09/02/20	48.17	26.27 W	0.00	7 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	62.53	34.09 W	0.00	8 of 405 - Sale ²⁵
	61.000	17.34	09/02/20	30.79	13.45 W	0.00	9 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	50.48	22.04 W	0.00	10 of 405 - Sale ²⁵
	139.000	39.52	09/02/20	70.18	30.66 W	0.00	11 of 405 - Sale ²⁵
	239.000	67.96	09/02/20	123.07	55.11 W	0.00	12 of 405 - Sale ²⁵
	261.000	74.22	09/02/20	134.41	60.19 W	0.00	13 of 405 - Sale ²⁵
	300.000	85.31	09/02/20	154.50	69.19 W	0.00	14 of 405 - Sale ²⁵
	1.000	0.28	09/02/20	0.50	0.22 W	0.00	15 of 405 - Sale ²⁵
	3.000	0.85	09/02/20	1.51	0.66 W	0.00	16 of 405 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	10.000	2.84	09/02/20	5.02	2.18 W	0.00	17 of 405 - Sale ²⁵
	20.000	5.69	09/02/20	10.06	4.37 W	0.00	18 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	19.69	8.60 W	0.00	19 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	19.70	8.61 W	0.00	20 of 405 - Sale ²⁵
	40.000	11.37	09/02/20	20.21	8.84 W	0.00	21 of 405 - Sale ²⁵
	49.000	13.93	09/02/20	24.74	10.81 W	0.00	22 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	30.79	13.44 W	0.00	23 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	30.79	13.44 W	0.00	24 of 405 - Sale ²⁵
	74.000	21.04	09/02/20	37.35	16.31 W	0.00	25 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	50.47	22.03 W	0.00	26 of 405 - Sale ²⁵
	160.000	45.50	09/02/20	80.80	35.30 W	0.00	27 of 405 - Sale ²⁵
	161.000	45.79	09/02/20	81.28	35.49 W	0.00	28 of 405 - Sale ²⁵
	200.000	56.87	09/02/20	100.99	44.12 W	0.00	29 of 405 - Sale ²⁵
	259.000	73.65	09/02/20	130.80	57.15 W	0.00	30 of 405 - Sale ²⁵
	262.000	74.51	09/02/20	132.30	57.79 W	0.00	31 of 405 - Sale ²⁵
	361.000	102.66	09/02/20	182.28	79.62 W	0.00	32 of 405 - Sale ²⁵
	38.000	10.81	09/02/20	19.21	8.40 W	0.00	33 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	19.69	8.60 W	0.00	34 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	25.24	11.02 W	0.00	35 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	25.28	11.06 W	0.00	36 of 405 - Sale ²⁵
	56.000	15.92	09/02/20	28.35	12.43 W	0.00	37 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	30.79	13.44 W	0.00	38 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	30.87	13.52 W	0.00	39 of 405 - Sale ²⁵
	62.000	17.63	09/02/20	31.34	13.71 W	0.00	40 of 405 - Sale ²⁵
	71.000	20.19	09/02/20	35.94	15.75 W	0.00	41 of 405 - Sale ²⁵
	83.000	23.60	09/02/20	42.00	18.40 W	0.00	42 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	50.59	22.15 W	0.00	43 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	50.58	22.14 W	0.00	44 of 405 - Sale ²⁵
	200.000	56.88	09/02/20	101.01	44.13 W	0.00	45 of 405 - Sale ²⁵
	229.000	65.12	09/02/20	115.90	50.78 W	0.00	46 of 405 - Sale ²⁵
	38.000	10.81	09/02/20	16.99	6.18 W	0.00	47 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	45.00	16.56 W	0.00	48 of 405 - Sale ²⁵
	162.000	46.06	09/02/20	72.95	26.89 W	0.00	49 of 405 - Sale ²⁵
	10.000	2.84	09/02/20	4.38	1.54 W	0.00	50 of 405 - Sale ²⁵

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(continued)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	16.000	4.55	09/02/20	7.19	2.64 W	0.00	51 of 405 - Sale ²⁵
	40.000	11.38	09/02/20	17.63	6.25 W	0.00	52 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	21.99	7.77 W	0.00	53 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	22.00	7.78 W	0.00	54 of 405 - Sale ²⁵
	65.000	18.48	09/02/20	28.59	10.11 W	0.00	55 of 405 - Sale ²⁵
	85.000	24.17	09/02/20	37.41	13.24 W	0.00	56 of 405 - Sale ²⁵
	85.000	24.17	09/02/20	37.41	13.24 W	0.00	57 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	45.00	16.56 W	0.00	58 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	43.99	15.55 W	0.00	59 of 405 - Sale ²⁵
	115.000	32.70	09/02/20	50.63	17.93 W	0.00	60 of 405 - Sale ²⁵
	184.000	52.32	09/02/20	82.82	30.50 W	0.00	61 of 405 - Sale ²⁵
	200.000	56.87	09/02/20	90.05	33.18 W	0.00	62 of 405 - Sale ²⁵
	200.000	56.87	09/02/20	88.06	31.19 W	0.00	63 of 405 - Sale ²⁵
	41.000	11.66	09/02/20	19.26	7.60 W	0.00	64 of 405 - Sale ²⁵
	359.000	102.09	09/02/20	168.63	66.54 W	0.00	65 of 405 - Sale ²⁵
	200.000	56.88	09/02/20	73.77	16.89 W	0.00	66 of 405 - Sale ²⁵
	1,750.000	497.65	09/02/20	645.44	147.79 W	0.00	67 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	18.44	4.22 W	0.00	68 of 405 - Sale ²⁵
	1,000.000	284.37	09/02/20	368.83	84.46 W	0.00	69 of 405 - Sale ²⁵
	16.000	4.55	09/02/20	10.08	5.53 W	0.00	70 of 405 - Sale ²⁵
	20.000	5.69	09/02/20	12.61	6.92 W	0.00	71 of 405 - Sale ²⁵
	25.000	7.11	09/02/20	15.79	8.68 W	0.00	72 of 405 - Sale ²⁵
	155.000	44.08	09/02/20	97.87	53.79 W	0.00	73 of 405 - Sale ²⁵
	400.000	113.75	09/02/20	252.52	138.77 W	0.00	74 of 405 - Sale ²⁵
	7.000	1.99	09/02/20	4.49	2.50 W	0.00	75 of 405 - Sale ²⁵
	77.000	21.90	09/02/20	49.38	27.48 W	0.00	76 of 405 - Sale ²⁵
	100.000	28.43	09/02/20	64.10	35.67 W	0.00	77 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	20.30	9.21 W	0.00	78 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	20.31	9.22 W	0.00	79 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	31.76	14.41 W	0.00	80 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	31.75	14.40 W	0.00	81 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	52.05	23.61 W	0.00	82 of 405 - Sale ²⁵
	139.000	39.53	09/02/20	72.37	32.84 W	0.00	83 of 405 - Sale ²⁵
	200.000	56.87	09/02/20	104.14	47.27 W	0.00	84 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	239.000	67.97	09/02/20	126.83	58.86 W	0.00	85 of 405 - Sale ²⁵
	261.000	74.22	09/02/20	138.52	64.30 W	0.00	86 of 405 - Sale ²⁵
	300.000	85.31	09/02/20	159.22	73.91 W	0.00	87 of 405 - Sale ²⁵
	361.000	102.65	09/02/20	187.96	85.31 W	0.00	88 of 405 - Sale ²⁵
	1.000	0.28	09/02/20	0.52	0.24 W	0.00	89 of 405 - Sale ²⁵
	10.000	2.84	09/02/20	5.18	2.34 W	0.00	90 of 405 - Sale ²⁵
	20.000	5.69	09/02/20	10.37	4.68 W	0.00	91 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	20.30	9.21 W	0.00	92 of 405 - Sale ²⁵
	40.000	11.37	09/02/20	20.84	9.47 W	0.00	93 of 405 - Sale ²⁵
	49.000	13.93	09/02/20	25.51	11.58 W	0.00	94 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	31.75	14.40 W	0.00	95 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	52.04	23.60 W	0.00	96 of 405 - Sale ²⁵
	160.000	45.50	09/02/20	83.32	37.82 W	0.00	97 of 405 - Sale ²⁵
	161.000	45.79	09/02/20	83.81	38.02 W	0.00	98 of 405 - Sale ²⁵
	259.000	73.65	09/02/20	134.88	61.23 W	0.00	99 of 405 - Sale ²⁵
	41.000	11.66	09/02/20	19.04	7.38 W	0.00	100 of 405 - Sale ²⁵
	59.000	16.78	09/02/20	27.42	10.64 W	0.00	101 of 405 - Sale ²⁵
	400.000	113.75	09/02/20	185.84	72.09 W	0.00	102 of 405 - Sale ²⁵
	400.000	113.75	09/02/20	185.85	72.10 W	0.00	103 of 405 - Sale ²⁵
	26.000	7.39	09/02/20	12.08	4.69 W	0.00	104 of 405 - Sale ²⁵
	259.000	73.65	09/02/20	120.35	46.70 W	0.00	105 of 405 - Sale ²⁵
	315.000	89.58	09/02/20	146.34	56.76 W	0.00	106 of 405 - Sale ²⁵
	1.000	0.28	09/02/20	0.45	0.17 W	0.00	107 of 405 - Sale ²⁵
	7.000	1.99	09/02/20	3.14	1.15 W	0.00	108 of 405 - Sale ²⁵
	14.000	3.98	09/02/20	6.27	2.29 W	0.00	109 of 405 - Sale ²⁵
	16.000	4.55	09/02/20	7.16	2.61 W	0.00	110 of 405 - Sale ²⁵
	110.000	31.28	09/02/20	49.37	18.09 W	0.00	111 of 405 - Sale ²⁵
	200.000	56.88	09/02/20	89.80	32.92 W	0.00	112 of 405 - Sale ²⁵
	393.000	111.76	09/02/20	176.39	64.63 W	0.00	113 of 405 - Sale ²⁵
	410.000	116.60	09/02/20	168.74	52.14 W	0.00	114 of 405 - Sale ²⁵
	49.000	13.93	09/02/20	20.17	6.24 W	0.00	115 of 405 - Sale ²⁵
	1,800.000	511.87	09/02/20	740.78	228.91 W	0.00	116 of 405 - Sale ²⁵
	1,800.000	511.87	09/02/20	740.78	228.91 W	0.00	117 of 405 - Sale ²⁵
	200.000	56.87	09/02/20	82.31	25.44 W	0.00	118 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	3.000	0.85	09/02/20	1.55	0.70 W	0.00	119 of 405 - Sale ²⁵
	35.000	9.95	09/02/20	18.04	8.09 W	0.00	120 of 405 - Sale ²⁵
	38.000	10.81	09/02/20	19.61	8.80 W	0.00	121 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	20.11	9.02 W	0.00	122 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	20.17	9.08 W	0.00	123 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	25.78	11.56 W	0.00	124 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	25.81	11.59 W	0.00	125 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	31.44	14.09 W	0.00	126 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	31.52	14.17 W	0.00	127 of 405 - Sale ²⁵
	62.000	17.63	09/02/20	32.01	14.38 W	0.00	128 of 405 - Sale ²⁵
	71.000	20.19	09/02/20	36.70	16.51 W	0.00	129 of 405 - Sale ²⁵
	200.000	56.88	09/02/20	103.15	46.27 W	0.00	130 of 405 - Sale ²⁵
	229.000	65.12	09/02/20	118.36	53.24 W	0.00	131 of 405 - Sale ²⁵
	262.000	74.51	09/02/20	135.10	60.59 W	0.00	132 of 405 - Sale ²⁵
	16.000	4.55	09/02/20	10.25	5.70 W	0.00	133 of 405 - Sale ²⁵
	384.000	109.19	09/02/20	246.54	137.35 W	0.00	134 of 405 - Sale ²⁵
	20.000	5.69	09/02/20	12.82	7.13 W	0.00	135 of 405 - Sale ²⁵
	25.000	7.11	09/02/20	16.06	8.95 W	0.00	136 of 405 - Sale ²⁵
	155.000	44.08	09/02/20	99.53	55.45 W	0.00	137 of 405 - Sale ²⁵
	16.000	4.55	09/02/20	10.27	5.72 W	0.00	138 of 405 - Sale ²⁵
	7.000	1.99	09/02/20	4.57	2.58 W	0.00	139 of 405 - Sale ²⁵
	77.000	21.90	09/02/20	50.20	28.30 W	0.00	140 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	65.18	36.74 W	0.00	141 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	32.41	15.06 W	0.00	142 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	53.12	24.68 W	0.00	143 of 405 - Sale ²⁵
	139.000	39.53	09/02/20	73.86	34.33 W	0.00	144 of 405 - Sale ²⁵
	239.000	67.96	09/02/20	129.39	61.43 W	0.00	145 of 405 - Sale ²⁵
	261.000	74.22	09/02/20	141.32	67.10 W	0.00	146 of 405 - Sale ²⁵
	300.000	85.31	09/02/20	162.44	77.13 W	0.00	147 of 405 - Sale ²⁵
	300.000	85.30	09/02/20	159.42	74.12 W	0.00	148 of 405 - Sale ²⁵
	1.000	0.28	09/02/20	0.54	0.26 W	0.00	149 of 405 - Sale ²⁵
	10.000	2.84	09/02/20	5.29	2.45 W	0.00	150 of 405 - Sale ²⁵
	20.000	5.69	09/02/20	10.58	4.89 W	0.00	151 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	20.72	9.63 W	0.00	152 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	39.000	11.09	09/02/20	20.73	9.64 W	0.00	153 of 405 - Sale ²⁵
	39.000	11.09	09/02/20	20.72	9.63 W	0.00	154 of 405 - Sale ²⁵
	40.000	11.37	09/02/20	21.27	9.90 W	0.00	155 of 405 - Sale ²⁵
	49.000	13.93	09/02/20	26.04	12.11 W	0.00	156 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	32.40	15.05 W	0.00	157 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	32.40	15.05 W	0.00	158 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	53.11	24.67 W	0.00	159 of 405 - Sale ²⁵
	160.000	45.50	09/02/20	85.04	39.54 W	0.00	160 of 405 - Sale ²⁵
	161.000	45.79	09/02/20	85.53	39.74 W	0.00	161 of 405 - Sale ²⁵
	200.000	56.87	09/02/20	106.29	49.42 W	0.00	162 of 405 - Sale ²⁵
	259.000	73.65	09/02/20	137.66	64.01 W	0.00	163 of 405 - Sale ²⁵
	61.000	17.35	09/02/20	32.42	15.07 W	0.00	164 of 405 - Sale ²⁵
	17.000	4.83	09/02/20	8.79	3.96 W	0.00	165 of 405 - Sale ²⁵
	83.000	23.60	09/02/20	42.89	19.29 W	0.00	166 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	51.66	23.22 W	0.00	167 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	51.65	23.21 W	0.00	168 of 405 - Sale ²⁵
	38.000	10.81	09/02/20	17.39	6.58 W	0.00	169 of 405 - Sale ²⁵
	162.000	46.07	09/02/20	74.70	28.63 W	0.00	170 of 405 - Sale ²⁵
	16.000	4.55	09/02/20	7.36	2.81 W	0.00	171 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	46.07	17.63 W	0.00	172 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	46.07	17.63 W	0.00	173 of 405 - Sale ²⁵
	184.000	52.33	09/02/20	84.80	32.47 W	0.00	174 of 405 - Sale ²⁵
	200.000	56.87	09/02/20	92.20	35.33 W	0.00	175 of 405 - Sale ²⁵
	10.000	2.84	09/02/20	4.49	1.65 W	0.00	176 of 405 - Sale ²⁵
	40.000	11.38	09/02/20	18.05	6.67 W	0.00	177 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	22.52	8.30 W	0.00	178 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	22.54	8.32 W	0.00	179 of 405 - Sale ²⁵
	65.000	18.49	09/02/20	29.29	10.80 W	0.00	180 of 405 - Sale ²⁵
	85.000	24.17	09/02/20	38.32	14.15 W	0.00	181 of 405 - Sale ²⁵
	85.000	24.17	09/02/20	38.32	14.15 W	0.00	182 of 405 - Sale ²⁵
	100.000	28.44	09/02/20	45.06	16.62 W	0.00	183 of 405 - Sale ²⁵
	115.000	32.70	09/02/20	51.87	19.17 W	0.00	184 of 405 - Sale ²⁵
	200.000	56.88	09/02/20	90.21	33.33 W	0.00	185 of 405 - Sale ²⁵
	41.000	11.66	09/02/20	19.70	8.04 W	0.00	186 of 405 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	359.000	102.09	09/02/20	172.48	70.39 W	0.00	187 of 405 - Sale ²⁵
	50.000	14.22	09/02/20	18.98	4.76 W	0.00	188 of 405 - Sale ²⁵
	200.000	56.87	09/02/20	75.91	19.04 W	0.00	189 of 405 - Sale ²⁵
	1,000.000	284.37	09/02/20	379.56	95.19 W	0.00	190 of 405 - Sale ²⁵
	1,750.000	497.66	09/02/20	664.22	166.56 W	0.00	191 of 405 - Sale ²⁵
	26.000	7.39	09/09/20	11.20	3.81 W	0.00	192 of 405 - Sale ²⁵
	41.000	11.66	09/09/20	17.65	5.99 W	0.00	193 of 405 - Sale ²⁵
	59.000	16.78	09/09/20	25.42	8.64 W	0.00	194 of 405 - Sale ²⁵
	259.000	73.65	09/09/20	111.58	37.93 W	0.00	195 of 405 - Sale ²⁵
	315.000	89.58	09/09/20	135.67	46.09 W	0.00	196 of 405 - Sale ²⁵
	400.000	113.75	09/09/20	172.29	58.54 W	0.00	197 of 405 - Sale ²⁵
	400.000	113.75	09/09/20	172.30	58.55 W	0.00	198 of 405 - Sale ²⁵
	1.000	0.28	09/09/20	0.42	0.14 W	0.00	199 of 405 - Sale ²⁵
	7.000	1.99	09/09/20	2.90	0.91 W	0.00	200 of 405 - Sale ²⁵
	14.000	3.98	09/09/20	5.80	1.82 W	0.00	201 of 405 - Sale ²⁵
	16.000	4.55	09/09/20	6.62	2.07 W	0.00	202 of 405 - Sale ²⁵
	110.000	31.28	09/09/20	45.65	14.37 W	0.00	203 of 405 - Sale ²⁵
	200.000	56.88	09/09/20	83.02	26.14 W	0.00	204 of 405 - Sale ²⁵
	393.000	111.76	09/09/20	163.08	51.32 W	0.00	205 of 405 - Sale ²⁵
	49.000	13.93	09/09/20	18.51	4.58 W	0.00	206 of 405 - Sale ²⁵
	410.000	116.59	09/09/20	154.84	38.25 W	0.00	207 of 405 - Sale ²⁵
	1,800.000	511.88	09/09/20	679.81	167.93 W	0.00	208 of 405 - Sale ²⁵
	16.000	4.55	09/09/20	9.71	5.16 W	0.00	209 of 405 - Sale ²⁵
	16.000	4.55	09/09/20	9.73	5.18 W	0.00	210 of 405 - Sale ²⁵
	20.000	5.69	09/09/20	12.14	6.45 W	0.00	211 of 405 - Sale ²⁵
	25.000	7.11	09/09/20	15.21	8.10 W	0.00	212 of 405 - Sale ²⁵
	155.000	44.08	09/09/20	94.28	50.20 W	0.00	213 of 405 - Sale ²⁵
	384.000	109.20	09/09/20	233.54	124.34 W	0.00	214 of 405 - Sale ²⁵
	7.000	1.99	09/09/20	4.33	2.34 W	0.00	215 of 405 - Sale ²⁵
	77.000	21.90	09/09/20	47.59	25.69 W	0.00	216 of 405 - Sale ²⁵
	100.000	28.44	09/09/20	61.79	33.35 W	0.00	217 of 405 - Sale ²⁵
	39.000	11.09	09/09/20	19.40	8.31 W	0.00	218 of 405 - Sale ²⁵
	61.000	17.35	09/09/20	30.34	12.99 W	0.00	219 of 405 - Sale ²⁵
	61.000	17.35	09/09/20	30.35	13.00 W	0.00	220 of 405 - Sale ²⁵

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(continued)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	100.000	28.44	09/09/20	49.73	21.29 W	0.00	221 of 405 - Sale ²⁵
	139.000	39.53	09/09/20	69.15	29.62 W	0.00	222 of 405 - Sale ²⁵
	239.000	67.97	09/09/20	121.30	53.33 W	0.00	223 of 405 - Sale ²⁵
	261.000	74.22	09/09/20	132.48	58.26 W	0.00	224 of 405 - Sale ²⁵
	300.000	85.31	09/09/20	152.28	66.97 W	0.00	225 of 405 - Sale ²⁵
	300.000	85.31	09/09/20	149.27	63.96 W	0.00	226 of 405 - Sale ²⁵
	3.000	0.85	09/09/20	1.45	0.60 W	0.00	227 of 405 - Sale ²⁵
	35.000	9.95	09/09/20	16.86	6.91 W	0.00	228 of 405 - Sale ²⁵
	38.000	10.81	09/09/20	18.32	7.51 W	0.00	229 of 405 - Sale ²⁵
	39.000	11.09	09/09/20	18.79	7.70 W	0.00	230 of 405 - Sale ²⁵
	39.000	11.09	09/09/20	18.85	7.76 W	0.00	231 of 405 - Sale ²⁵
	50.000	14.22	09/09/20	24.08	9.86 W	0.00	232 of 405 - Sale ²⁵
	50.000	14.22	09/09/20	24.12	9.90 W	0.00	233 of 405 - Sale ²⁵
	61.000	17.35	09/09/20	29.37	12.02 W	0.00	234 of 405 - Sale ²⁵
	61.000	17.35	09/09/20	29.45	12.10 W	0.00	235 of 405 - Sale ²⁵
	62.000	17.63	09/09/20	29.91	12.28 W	0.00	236 of 405 - Sale ²⁵
	71.000	20.19	09/09/20	34.30	14.11 W	0.00	237 of 405 - Sale ²⁵
	200.000	56.87	09/09/20	96.37	39.50 W	0.00	238 of 405 - Sale ²⁵
	229.000	65.12	09/09/20	110.60	45.48 W	0.00	239 of 405 - Sale ²⁵
	262.000	74.51	09/09/20	126.22	51.71 W	0.00	240 of 405 - Sale ²⁵
	200.000	56.87	09/09/20	75.54	18.67 W	0.00	241 of 405 - Sale ²⁵
	1,800.000	511.87	09/09/20	679.81	167.94 W	0.00	242 of 405 - Sale ²⁵
	925.000	265.82	09/23/20	303.68	20.47 W	-17.39	243 of 405 - Sale ²⁵
	39.000	11.09	09/23/20	18.92	7.83 W	0.00	244 of 405 - Sale ²⁵
	39.000	11.09	09/23/20	18.92	7.83 W	0.00	245 of 405 - Sale ²⁵
	40.000	11.37	09/23/20	19.42	8.05 W	0.00	246 of 405 - Sale ²⁵
	61.000	17.35	09/23/20	29.57	12.22 W	0.00	247 of 405 - Sale ²⁵
	61.000	17.35	09/23/20	29.57	12.22 W	0.00	248 of 405 - Sale ²⁵
	160.000	45.50	09/23/20	77.62	32.12 W	0.00	249 of 405 - Sale ²⁵
	200.000	56.87	09/23/20	97.02	40.15 W	0.00	250 of 405 - Sale ²⁵
	1.000	0.28	09/23/20	0.50	0.22 W	0.00	251 of 405 - Sale ²⁵
	10.000	2.84	09/23/20	4.85	2.01 W	0.00	252 of 405 - Sale ²⁵
	10.000	2.84	09/23/20	4.83	1.99 W	0.00	253 of 405 - Sale ²⁵
	79.000	22.47	09/23/20	38.29	15.82 W	0.00	254 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	20.000	5.69	09/23/20	9.65	3.96 W	0.00	255 of 405 - Sale ²⁵
	21.000	5.97	09/23/20	10.18	4.21 W	0.00	256 of 405 - Sale ²⁵
	159.000	45.22	09/23/20	77.14	31.92 W	0.00	257 of 405 - Sale ²⁵
	39.000	11.09	09/23/20	18.91	7.82 W	0.00	258 of 405 - Sale ²⁵
	100.000	28.44	09/23/20	48.51	20.07 W	0.00	259 of 405 - Sale ²⁵
	161.000	45.78	09/23/20	78.06	32.28 W	0.00	260 of 405 - Sale ²⁵
	17.000	4.83	09/23/20	8.01	3.18 W	0.00	261 of 405 - Sale ²⁵
	83.000	23.60	09/23/20	39.04	15.44 W	0.00	262 of 405 - Sale ²⁵
	100.000	28.44	09/23/20	47.02	18.58 W	0.00	263 of 405 - Sale ²⁵
	100.000	28.44	09/23/20	47.01	18.57 W	0.00	264 of 405 - Sale ²⁵
	38.000	10.81	09/23/20	15.62	4.81 W	0.00	265 of 405 - Sale ²⁵
	100.000	28.44	09/23/20	41.43	12.99 W	0.00	266 of 405 - Sale ²⁵
	162.000	46.07	09/23/20	67.19	21.12 W	0.00	267 of 405 - Sale ²⁵
	100.000	28.44	09/23/20	41.45	13.01 W	0.00	268 of 405 - Sale ²⁵
	16.000	4.55	09/23/20	6.62	2.07 W	0.00	269 of 405 - Sale ²⁵
	84.000	23.89	09/23/20	34.81	10.92 W	0.00	270 of 405 - Sale ²⁵
	100.000	28.44	09/23/20	41.43	12.99 W	0.00	271 of 405 - Sale ²⁵
	100.000	28.44	09/23/20	40.42	11.98 W	0.00	272 of 405 - Sale ²⁵
	200.000	56.87	09/23/20	82.93	26.06 W	0.00	273 of 405 - Sale ²⁵
	85.000	24.17	09/23/20	34.38	10.21 W	0.00	274 of 405 - Sale ²⁵
	115.000	32.70	09/23/20	46.54	13.84 W	0.00	275 of 405 - Sale ²⁵
	200.000	56.88	09/23/20	80.93	24.05 W	0.00	276 of 405 - Sale ²⁵
	10.000	2.84	09/23/20	4.03	1.19 W	0.00	277 of 405 - Sale ²⁵
	40.000	11.38	09/23/20	16.19	4.81 W	0.00	278 of 405 - Sale ²⁵
	50.000	14.22	09/23/20	20.20	5.98 W	0.00	279 of 405 - Sale ²⁵
	50.000	14.22	09/23/20	20.22	6.00 W	0.00	280 of 405 - Sale ²⁵
	65.000	18.48	09/23/20	26.27	7.79 W	0.00	281 of 405 - Sale ²⁵
	85.000	24.17	09/23/20	34.38	10.21 W	0.00	282 of 405 - Sale ²⁵
	41.000	11.66	09/23/20	17.80	6.14 W	0.00	283 of 405 - Sale ²⁵
	59.000	16.78	09/23/20	25.61	8.83 W	0.00	284 of 405 - Sale ²⁵
	300.000	85.31	09/23/20	130.22	44.91 W	0.00	285 of 405 - Sale ²⁵
	200.000	57.48	09/23/20	66.64	9.16 W	0.00	286 of 405 - Sale ²⁵
	50.000	14.37	09/23/20	16.66	2.29 W	0.00	287 of 405 - Sale ²⁵
	350.000	100.58	09/23/20	116.61	16.03 W	0.00	288 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	400.000	114.95	09/23/20	133.27	18.32 W	0.00	289 of 405 - Sale ²⁵
	41.000	11.78	09/23/20	15.75	3.97 W	0.00	290 of 405 - Sale ²⁵
	400.000	114.96	09/23/20	153.74	38.78 W	0.00	291 of 405 - Sale ²⁵
	259.000	74.43	09/23/20	99.55	25.12 W	0.00	292 of 405 - Sale ²⁵
	26.000	7.47	09/23/20	10.00	2.53 W	0.00	293 of 405 - Sale ²⁵
	59.000	16.96	09/23/20	22.68	5.72 W	0.00	294 of 405 - Sale ²⁵
	259.000	74.43	09/23/20	99.57	25.14 W	0.00	295 of 405 - Sale ²⁵
	315.000	90.52	09/23/20	121.06	30.54 W	0.00	296 of 405 - Sale ²⁵
	141.000	40.52	09/23/20	54.20	13.68 W	0.00	297 of 405 - Sale ²⁵
	1.000	0.29	09/23/20	0.38	0.09 W	0.00	298 of 405 - Sale ²⁵
	7.000	2.01	09/23/20	2.58	0.57 W	0.00	299 of 405 - Sale ²⁵
	14.000	4.02	09/23/20	5.15	1.13 W	0.00	300 of 405 - Sale ²⁵
	16.000	4.60	09/23/20	5.88	1.28 W	0.00	301 of 405 - Sale ²⁵
	110.000	31.61	09/23/20	40.55	8.94 W	0.00	302 of 405 - Sale ²⁵
	352.000	101.16	09/23/20	129.74	28.58 W	0.00	303 of 405 - Sale ²⁵
	300.000	86.22	09/23/20	99.95	13.73 W	0.00	304 of 405 - Sale ²⁵
	25.000	7.19	09/23/20	8.33	1.14 W	0.00	305 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	33.32	4.58 W	0.00	306 of 405 - Sale ²⁵
	575.000	165.24	09/23/20	191.58	26.34 W	0.00	307 of 405 - Sale ²⁵
	925.000	265.83	09/23/20	308.20	42.37 W	0.00	308 of 405 - Sale ²⁵
	75.000	21.55	09/23/20	24.99	3.44 W	0.00	309 of 405 - Sale ²⁵
	41.000	11.78	09/23/20	14.99	3.21 W	0.00	310 of 405 - Sale ²⁵
	200.000	57.48	09/23/20	73.14	15.66 W	0.00	311 of 405 - Sale ²⁵
	59.000	16.96	09/23/20	19.36	2.40 W	0.00	312 of 405 - Sale ²⁵
	49.000	14.08	09/23/20	16.09	2.01 W	0.00	313 of 405 - Sale ²⁵
	351.000	100.87	09/23/20	115.24	14.37 W	0.00	314 of 405 - Sale ²⁵
	225.000	64.66	09/23/20	73.87	9.21 W	0.00	315 of 405 - Sale ²⁵
	475.000	136.51	09/23/20	155.94	19.43 W	0.00	316 of 405 - Sale ²⁵
	35.000	10.06	09/23/20	11.50	1.44 W	0.00	317 of 405 - Sale ²⁵
	200.000	57.47	09/23/20	65.66	8.19 W	0.00	318 of 405 - Sale ²⁵
	200.000	57.48	09/23/20	65.66	8.18 W	0.00	319 of 405 - Sale ²⁵
	90.000	25.86	09/23/20	29.55	3.69 W	0.00	320 of 405 - Sale ²⁵
	75.000	21.55	09/23/20	24.62	3.07 W	0.00	321 of 405 - Sale ²⁵
	200.000	57.48	09/23/20	65.66	8.18 W	0.00	322 of 405 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	200.000	57.48	09/23/20	65.65	8.17 W	0.00	323 of 405 - Sale ²⁵
	16.000	4.60	09/23/20	8.92	4.32 W	0.00	324 of 405 - Sale ²⁵
	59.000	16.95	09/23/20	32.97	16.02 W	0.00	325 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	32.83	4.09 W	0.00	326 of 405 - Sale ²⁵
	200.000	57.47	09/23/20	111.76	54.29 W	0.00	327 of 405 - Sale ²⁵
	16.000	4.60	09/23/20	8.94	4.34 W	0.00	328 of 405 - Sale ²⁵
	9.000	2.58	09/23/20	5.02	2.44 W	0.00	329 of 405 - Sale ²⁵
	125.000	35.93	09/23/20	69.86	33.93 W	0.00	330 of 405 - Sale ²⁵
	14.000	4.02	09/23/20	7.82	3.80 W	0.00	331 of 405 - Sale ²⁵
	11.000	3.16	09/23/20	6.13	2.97 W	0.00	332 of 405 - Sale ²⁵
	25.000	7.18	09/23/20	13.97	6.79 W	0.00	333 of 405 - Sale ²⁵
	25.000	7.18	09/23/20	13.98	6.80 W	0.00	334 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	55.89	27.15 W	0.00	335 of 405 - Sale ²⁵
	16.000	4.60	09/23/20	8.94	4.34 W	0.00	336 of 405 - Sale ²⁵
	7.000	2.01	09/23/20	3.99	1.98 W	0.00	337 of 405 - Sale ²⁵
	77.000	22.13	09/23/20	43.78	21.65 W	0.00	338 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	56.85	28.11 W	0.00	339 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	45.82	17.08 W	0.00	340 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	45.82	17.08 W	0.00	341 of 405 - Sale ²⁵
	61.000	17.53	09/23/20	27.96	10.43 W	0.00	342 of 405 - Sale ²⁵
	139.000	39.95	09/23/20	63.68	23.73 W	0.00	343 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	45.81	17.07 W	0.00	344 of 405 - Sale ²⁵
	125.000	35.92	09/23/20	57.28	21.36 W	0.00	345 of 405 - Sale ²⁵
	75.000	21.55	09/23/20	34.37	12.82 W	0.00	346 of 405 - Sale ²⁵
	61.000	17.53	09/23/20	27.33	9.80 W	0.00	347 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	45.82	17.08 W	0.00	348 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	44.79	16.05 W	0.00	349 of 405 - Sale ²⁵
	139.000	39.95	09/23/20	62.28	22.33 W	0.00	350 of 405 - Sale ²⁵
	200.000	57.48	09/23/20	89.64	32.16 W	0.00	351 of 405 - Sale ²⁵
	25.000	7.18	09/23/20	11.21	4.03 W	0.00	352 of 405 - Sale ²⁵
	39.000	11.21	09/23/20	17.47	6.26 W	0.00	353 of 405 - Sale ²⁵
	61.000	17.53	09/23/20	27.34	9.81 W	0.00	354 of 405 - Sale ²⁵
	75.000	21.55	09/23/20	33.61	12.06 W	0.00	355 of 405 - Sale ²⁵
	3.000	0.86	09/23/20	1.30	0.44 W	0.00	356 of 405 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	35.000	10.06	09/23/20	15.14	5.08 W	0.00	357 of 405 - Sale ²⁵
	87.000	25.00	09/23/20	37.61	12.61 W	0.00	358 of 405 - Sale ²⁵
	75.000	21.55	09/23/20	32.43	10.88 W	0.00	359 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	43.24	14.50 W	0.00	360 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	43.25	14.51 W	0.00	361 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	43.25	14.51 W	0.00	362 of 405 - Sale ²⁵
	12.000	3.45	09/23/20	5.20	1.75 W	0.00	363 of 405 - Sale ²⁵
	38.000	10.92	09/23/20	16.44	5.52 W	0.00	364 of 405 - Sale ²⁵
	39.000	11.21	09/23/20	16.86	5.65 W	0.00	365 of 405 - Sale ²⁵
	50.000	14.37	09/23/20	21.61	7.24 W	0.00	366 of 405 - Sale ²⁵
	61.000	17.53	09/23/20	26.36	8.83 W	0.00	367 of 405 - Sale ²⁵
	38.000	10.92	09/23/20	16.45	5.53 W	0.00	368 of 405 - Sale ²⁵
	162.000	46.55	09/23/20	70.24	23.69 W	0.00	369 of 405 - Sale ²⁵
	33.000	9.48	09/23/20	14.31	4.83 W	0.00	370 of 405 - Sale ²⁵
	67.000	19.25	09/23/20	29.06	9.81 W	0.00	371 of 405 - Sale ²⁵
	38.000	10.92	09/23/20	16.48	5.56 W	0.00	372 of 405 - Sale ²⁵
	62.000	17.82	09/23/20	26.85	9.03 W	0.00	373 of 405 - Sale ²⁵
	25.000	7.19	09/23/20	10.84	3.65 W	0.00	374 of 405 - Sale ²⁵
	39.000	11.21	09/23/20	16.92	5.71 W	0.00	375 of 405 - Sale ²⁵
	36.000	10.35	09/23/20	15.60	5.25 W	0.00	376 of 405 - Sale ²⁵
	1.000	0.29	09/23/20	0.46	0.17 W	0.00	377 of 405 - Sale ²⁵
	10.000	2.87	09/23/20	4.36	1.49 W	0.00	378 of 405 - Sale ²⁵
	10.000	2.87	09/23/20	4.34	1.47 W	0.00	379 of 405 - Sale ²⁵
	20.000	5.75	09/23/20	8.66	2.91 W	0.00	380 of 405 - Sale ²⁵
	21.000	6.03	09/23/20	9.14	3.11 W	0.00	381 of 405 - Sale ²⁵
	39.000	11.21	09/23/20	16.99	5.78 W	0.00	382 of 405 - Sale ²⁵
	39.000	11.21	09/23/20	16.99	5.78 W	0.00	383 of 405 - Sale ²⁵
	40.000	11.50	09/23/20	17.45	5.95 W	0.00	384 of 405 - Sale ²⁵
	61.000	17.53	09/23/20	26.56	9.03 W	0.00	385 of 405 - Sale ²⁵
	61.000	17.53	09/23/20	26.56	9.03 W	0.00	386 of 405 - Sale ²⁵
	79.000	22.70	09/23/20	34.38	11.68 W	0.00	387 of 405 - Sale ²⁵
	25.000	7.18	09/23/20	10.89	3.71 W	0.00	388 of 405 - Sale ²⁵
	159.000	45.70	09/23/20	69.29	23.59 W	0.00	389 of 405 - Sale ²⁵
	160.000	45.98	09/23/20	69.72	23.74 W	0.00	390 of 405 - Sale ²⁵

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	200.000	57.47	09/23/20	87.15	29.68 W	0.00	391 of 405 - Sale ²⁵
	39.000	11.21	09/23/20	16.99	5.78 W	0.00	392 of 405 - Sale ²⁵
	75.000	21.55	09/23/20	32.68	11.13 W	0.00	393 of 405 - Sale ²⁵
	161.000	46.27	09/23/20	70.11	23.84 W	0.00	394 of 405 - Sale ²⁵
	17.000	4.89	09/23/20	7.17	2.28 W	0.00	395 of 405 - Sale ²⁵
	83.000	23.85	09/23/20	34.95	11.10 W	0.00	396 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	42.08	13.34 W	0.00	397 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	42.07	13.33 W	0.00	398 of 405 - Sale ²⁵
	38.000	10.92	09/23/20	13.74	2.82 W	0.00	399 of 405 - Sale ²⁵
	25.000	7.18	09/23/20	9.13	1.95 W	0.00	400 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	36.49	7.75 W	0.00	401 of 405 - Sale ²⁵
	100.000	28.74	09/23/20	36.51	7.77 W	0.00	402 of 405 - Sale ²⁵
	162.000	46.55	09/23/20	59.19	12.64 W	0.00	403 of 405 - Sale ²⁵
	16.000	4.60	09/23/20	5.83	1.23 W	0.00	404 of 405 - Sale ²⁵
	59.000	16.96	09/23/20	21.53	4.57 W	0.00	405 of 405 - Sale ²⁵
09/28/20	57,425.000	16,372.02	Various	25,434.50	9,045.09 W	-17.39	Total of 405 transactions
	2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	61.000	17.99	09/30/20	27.82	...	-9.83	1 of 2 - Sale ²⁵
	39.000	11.50	09/30/20	17.77	...	-6.27	2 of 2 - Sale ²⁵
09/30/20	100.000	29.49	Various	45.59	...	-16.10	Total of 2 transactions
	66 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	200.000	56.97	09/30/20	86.68	...	-29.71	1 of 66 - Sale ²⁵
	161.000	45.86	09/30/20	69.73	...	-23.87	2 of 66 - Sale ²⁵
	160.000	45.58	09/30/20	69.34	...	-23.76	3 of 66 - Sale ²⁵
	162.000	46.15	09/30/20	69.86	...	-23.71	4 of 66 - Sale ²⁵
	159.000	45.29	09/30/20	68.90	...	-23.61	5 of 66 - Sale ²⁵
	139.000	39.60	09/30/20	61.94	...	-22.34	6 of 66 - Sale ²⁵
	125.000	35.61	09/30/20	56.99	...	-21.38	7 of 66 - Sale ²⁵
	450.000	128.19	09/30/20	146.67	...	-18.48	8 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	45.58	...	-17.09	9 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	45.57	...	-17.08	10 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	45.57	...	-17.08	11 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	44.58	...	-16.09	12 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	44.58	...	-16.09	13 of 66 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	100.000	28.49	09/30/20	44.55	...	-16.06	14 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	43.01	...	-14.52	15 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	43.01	...	-14.52	16 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	43.00	...	-14.51	17 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	41.84	...	-13.35	18 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	41.83	...	-13.34	19 of 66 - Sale ²⁵
	75.000	21.37	09/30/20	34.19	...	-12.82	20 of 66 - Sale ²⁵
	162.000	46.15	09/30/20	58.81	...	-12.66	21 of 66 - Sale ²⁵
	87.000	24.78	09/30/20	37.41	...	-12.63	22 of 66 - Sale ²⁵
	75.000	21.37	09/30/20	33.43	...	-12.06	23 of 66 - Sale ²⁵
	79.000	22.50	09/30/20	34.19	...	-11.69	24 of 66 - Sale ²⁵
	75.000	21.37	09/30/20	32.50	...	-11.13	25 of 66 - Sale ²⁵
	83.000	23.64	09/30/20	34.75	...	-11.11	26 of 66 - Sale ²⁵
	75.000	21.37	09/30/20	32.25	...	-10.88	27 of 66 - Sale ²⁵
	61.000	17.38	09/30/20	27.20	...	-9.82	28 of 66 - Sale ²⁵
	67.000	19.09	09/30/20	28.91	...	-9.82	29 of 66 - Sale ²⁵
	61.000	17.38	09/30/20	27.19	...	-9.81	30 of 66 - Sale ²⁵
	61.000	17.38	09/30/20	26.42	...	-9.04	31 of 66 - Sale ²⁵
	62.000	17.66	09/30/20	26.70	...	-9.04	32 of 66 - Sale ²⁵
	61.000	17.38	09/30/20	26.42	...	-9.04	33 of 66 - Sale ²⁵
	61.000	17.38	09/30/20	26.22	...	-8.84	34 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	36.27	...	-7.78	35 of 66 - Sale ²⁵
	100.000	28.49	09/30/20	36.25	...	-7.76	36 of 66 - Sale ²⁵
	50.000	14.24	09/30/20	21.49	...	-7.25	37 of 66 - Sale ²⁵
	39.000	11.11	09/30/20	17.37	...	-6.26	38 of 66 - Sale ²⁵
	40.000	11.39	09/30/20	17.35	...	-5.96	39 of 66 - Sale ²⁵
	39.000	11.11	09/30/20	16.89	...	-5.78	40 of 66 - Sale ²⁵
	39.000	11.11	09/30/20	16.89	...	-5.78	41 of 66 - Sale ²⁵
	39.000	11.11	09/30/20	16.89	...	-5.78	42 of 66 - Sale ²⁵
	39.000	11.11	09/30/20	16.82	...	-5.71	43 of 66 - Sale ²⁵
	39.000	11.11	09/30/20	16.76	...	-5.65	44 of 66 - Sale ²⁵
	38.000	10.82	09/30/20	16.39	...	-5.57	45 of 66 - Sale ²⁵
	38.000	10.82	09/30/20	16.36	...	-5.54	46 of 66 - Sale ²⁵
	38.000	10.82	09/30/20	16.35	...	-5.53	47 of 66 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	36.000	10.26	09/30/20	15.51	...	-5.25	48 of 66 - Sale ²⁵
	35.000	9.97	09/30/20	15.06	...	-5.09	49 of 66 - Sale ²⁵
	33.000	9.40	09/30/20	14.23	...	-4.83	50 of 66 - Sale ²⁵
	59.000	16.81	09/30/20	21.38	...	-4.57	51 of 66 - Sale ²⁵
	25.000	7.12	09/30/20	11.16	...	-4.04	52 of 66 - Sale ²⁵
	25.000	7.12	09/30/20	10.84	...	-3.72	53 of 66 - Sale ²⁵
	25.000	7.12	09/30/20	10.78	...	-3.66	54 of 66 - Sale ²⁵
	21.000	5.98	09/30/20	9.10	...	-3.12	55 of 66 - Sale ²⁵
	20.000	5.70	09/30/20	8.61	...	-2.91	56 of 66 - Sale ²⁵
	38.000	10.83	09/30/20	13.65	...	-2.82	57 of 66 - Sale ²⁵
	17.000	4.84	09/30/20	7.13	...	-2.29	58 of 66 - Sale ²⁵
	50.000	14.24	09/30/20	16.30	...	-2.06	59 of 66 - Sale ²⁵
	25.000	7.12	09/30/20	9.08	...	-1.96	60 of 66 - Sale ²⁵
	12.000	3.42	09/30/20	5.17	...	-1.75	61 of 66 - Sale ²⁵
	10.000	2.85	09/30/20	4.34	...	-1.49	62 of 66 - Sale ²⁵
	10.000	2.85	09/30/20	4.32	...	-1.47	63 of 66 - Sale ²⁵
	16.000	4.56	09/30/20	5.79	...	-1.23	64 of 66 - Sale ²⁵
	3.000	0.85	09/30/20	1.29	...	-0.44	65 of 66 - Sale ²⁵
	1.000	0.28	09/30/20	0.46	...	-0.18	66 of 66 - Sale ²⁵
10/07/20	4,900.000	1,395.89	Various	2,046.10	...	-650.21	Total of 66 transactions
	Security total:	74,672.13		100,758.06	26,461.35 W	375.42	
REGULUS THERAPEUTICS INC. COMM ON STOCK / CUSIP: 75915K200 / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1,351.000	1,242.46	06/17/20	1,216.17	...	26.29	1 of 3 - Sale ²⁵
	1,800.000	1,655.39	06/17/20	1,620.36	...	35.03	2 of 3 - Sale ²⁵
	1,849.000	1,700.45	06/17/20	1,664.47	...	35.98	3 of 3 - Sale ²⁵
06/17/20	5,000.000	4,598.30	Various	4,501.00	...	97.30	Total of 3 transactions
27 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	43.000	40.41	06/17/20	38.71	...	1.70	1 of 27 - Sale ²⁵
	100.000	93.96	06/17/20	90.02	...	3.94	2 of 27 - Sale ²⁵
	300.000	281.86	06/17/20	270.06	...	11.80	3 of 27 - Sale ²⁵
	400.000	375.82	06/17/20	360.08	...	15.74	4 of 27 - Sale ²⁵
	600.000	563.73	06/17/20	540.12	...	23.61	5 of 27 - Sale ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REGULUS THERAPEUTICS INC. COMM ON STOCK / CUSIP: 75915K200 / Symbol: (cont'd)							
	757.000	711.24	06/17/20	681.45	...	29.79	6 of 27 - Sale ²⁵
	800.000	751.64	06/17/20	720.16	...	31.48	7 of 27 - Sale ²⁵
	900.000	845.60	06/17/20	810.18	...	35.42	8 of 27 - Sale ²⁵
	1,100.000	1,033.51	06/17/20	990.22	...	43.29	9 of 27 - Sale ²⁵
	57.000	53.55	06/22/20	46.76	...	6.79	10 of 27 - Sale ²⁵
	143.000	134.36	06/22/20	117.32	...	17.04	11 of 27 - Sale ²⁵
	357.000	335.42	06/22/20	292.88	...	42.54	12 of 27 - Sale ²⁵
	457.000	429.38	06/22/20	374.92	...	54.46	13 of 27 - Sale ²⁵
	543.000	510.18	06/22/20	445.48	...	64.70	14 of 27 - Sale ²⁵
	743.000	698.09	06/22/20	609.56	...	88.53	15 of 27 - Sale ²⁵
	900.000	845.60	06/22/20	738.36	...	107.24	16 of 27 - Sale ²⁵
	900.000	845.60	06/22/20	738.36	...	107.24	17 of 27 - Sale ²⁵
	900.000	845.60	06/22/20	738.36	...	107.24	18 of 27 - Sale ²⁵
	443.000	416.22	06/26/20	332.38	...	83.84	19 of 27 - Sale ²⁵
	457.000	429.38	06/26/20	342.89	...	86.49	20 of 27 - Sale ²⁵
	600.000	563.74	06/26/20	450.18	...	113.56	21 of 27 - Sale ²⁵
	800.000	751.64	06/26/20	600.24	...	151.40	22 of 27 - Sale ²⁵
	900.000	845.60	06/26/20	675.27	...	170.33	23 of 27 - Sale ²⁵
	900.000	845.60	06/26/20	675.27	...	170.33	24 of 27 - Sale ²⁵
	900.000	845.60	06/26/20	675.27	...	170.33	25 of 27 - Sale ²⁵
	2,500.000	2,348.89	06/29/20	1,750.75	...	598.14	26 of 27 - Sale ²⁵
	85.000	79.87	07/02/20	58.68	...	21.19	27 of 27 - Sale ²⁵
07/20/20	17,585.000	16,522.09	Various	14,163.93	...	2,358.16	Total of 27 transactions
	Security total:	21,120.39		18,664.93	...	2,455.46	

SALARIUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 79400X107 / Symbol:

5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.

	3.000	2.34	11/25/20	2.04	...	0.30	1 of 5 - Sale ²⁵
	200.000	155.96	11/25/20	136.10	...	19.86	2 of 5 - Sale ²⁵
	700.000	545.83	11/25/20	476.35	...	69.48	3 of 5 - Sale ²⁵
	3,897.000	3,038.74	11/25/20	2,651.91	...	386.83	4 of 5 - Sale ²⁵
	5,200.000	4,054.77	11/25/20	3,538.60	...	516.17	5 of 5 - Sale ²⁵
12/03/20	10,000.000	7,797.64	Various	6,805.00	...	992.64	Total of 5 transactions

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(continued)

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SHILOH INDUSTRIES, INC. COMMON STOCK / CUSIP: 824543102 / Symbol:							
06/22/20	2,000.000	2,219.71	06/18/20	2,220.00	0.17 W	-0.12	Sale ²⁵
06/26/20	25.000	26.75	06/24/20	25.50	...	1.25	Sale ²⁵
5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	75.000	78.74	06/24/20	76.51	...	2.23	1 of 5 - Sale ²⁵
	200.000	209.98	06/24/20	204.03	...	5.95	2 of 5 - Sale ²⁵
	300.000	314.96	06/24/20	306.05	...	8.91	3 of 5 - Sale ²⁵
	300.000	314.96	06/24/20	306.04	...	8.92	4 of 5 - Sale ²⁵
	300.000	314.96	06/24/20	306.04	...	8.92	5 of 5 - Sale ²⁵
06/30/20	1,175.000	1,233.60	Various	1,198.67	...	34.93	Total of 5 transactions
	Security total:	3,480.06		3,444.17	0.17 W	36.06	
SOLIGENIX, INC. COMMON STOCK / CUSIP: 834223307 / Symbol:							
5 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	574.000	751.85	12/23/20	751.94	...	-0.09	1 of 5 - Sale ²⁵
	40.000	52.40	12/23/20	52.40	...	0.00	2 of 5 - Sale ²⁵
	13.000	17.10	12/23/20	17.03	...	0.07	3 of 5 - Sale ²⁵
	100.000	131.49	12/23/20	131.00	...	0.49	4 of 5 - Sale ²⁵
	300.000	394.46	12/23/20	393.00	...	1.46	5 of 5 - Sale ²⁵
12/30/20	1,027.000	1,347.30	Various	1,345.37	...	1.93	Total of 5 transactions
12 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	3,126.000	4,094.59	12/23/20	4,095.06	0.16 W	-0.31	1 of 12 - Sale ²⁵
	700.000	916.89	12/23/20	917.00	0.11 W	0.00	2 of 12 - Sale ²⁵
	293.000	383.80	12/23/20	383.83	0.03 W	0.00	3 of 12 - Sale ²⁵
	107.000	140.16	12/23/20	140.17	0.01 W	0.00	4 of 12 - Sale ²⁵
	100.000	130.99	12/23/20	131.00	0.01 W	0.00	5 of 12 - Sale ²⁵
	100.000	130.99	12/23/20	131.00	0.01 W	0.00	6 of 12 - Sale ²⁵
	3,247.000	4,253.09	12/23/20	4,253.57	0.48 W	0.00	7 of 12 - Sale ²⁵
	100.000	130.99	12/23/20	131.01	0.02 W	0.00	8 of 12 - Sale ²⁵
	100.000	130.99	12/23/20	131.01	0.02 W	0.00	9 of 12 - Sale ²⁵
	107.000	140.15	12/23/20	140.18	0.03 W	0.00	10 of 12 - Sale ²⁵
	293.000	383.79	12/23/20	383.86	0.07 W	0.00	11 of 12 - Sale ²⁵
	700.000	916.90	12/23/20	917.11	0.21 W	0.00	12 of 12 - Sale ²⁵
12/30/20	8,973.000	11,753.33	Various	11,754.80	1.16 W	-0.31	Total of 12 transactions

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(continued)

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SOLIGENIX, INC. COMMON STOCK / CUSIP: 834223307 / Symbol: (cont'd)							
Security total:		13,100.63		13,100.17		1.16 W	1.62
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	100.000	579.97	05/20/20	465.00	...	114.97	1 of 3 - Sale ²⁵
	400.000	2,315.89	05/20/20	1,860.00	...	455.89	2 of 3 - Sale ²⁵
	500.000	2,794.87	05/20/20	2,325.00	...	469.87	3 of 3 - Sale ²⁵
05/20/20	1,000.000	5,690.73	Various	4,650.00	...	1,040.73	Total of 3 transactions
19 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	5.04	05/21/20	5.01	...	0.03	1 of 19 - Sale ²⁵
	2.000	10.44	05/21/20	10.40	...	0.04	2 of 19 - Sale ²⁵
	7.000	36.33	05/21/20	36.27	...	0.06	3 of 19 - Sale ²⁵
	15.000	77.85	05/21/20	77.73	...	0.12	4 of 19 - Sale ²⁵
	31.000	161.81	05/21/20	161.22	...	0.59	5 of 19 - Sale ²⁵
	36.000	187.91	05/21/20	187.22	...	0.69	6 of 19 - Sale ²⁵
	45.000	234.89	05/21/20	234.02	...	0.87	7 of 19 - Sale ²⁵
	100.000	518.97	05/21/20	518.02	...	0.95	8 of 19 - Sale ²⁵
	139.000	721.37	05/21/20	720.17	...	1.20	9 of 19 - Sale ²⁵
	64.000	334.07	05/21/20	332.83	...	1.24	10 of 19 - Sale ²⁵
	41.000	207.04	05/21/20	205.41	...	1.63	11 of 19 - Sale ²⁵
	239.000	1,240.35	05/21/20	1,238.08	...	2.27	12 of 19 - Sale ²⁵
	59.000	297.93	05/21/20	295.59	...	2.34	13 of 19 - Sale ²⁵
	100.000	503.98	05/21/20	501.00	...	2.98	14 of 19 - Sale ²⁵
	100.000	504.98	05/21/20	501.00	...	3.98	15 of 19 - Sale ²⁵
	100.000	504.98	05/21/20	501.00	...	3.98	16 of 19 - Sale ²⁵
	161.000	840.38	05/21/20	834.02	...	6.36	17 of 19 - Sale ²⁵
	200.000	1,009.95	05/21/20	1,002.00	...	7.95	18 of 19 - Sale ²⁵
	399.000	2,010.86	05/21/20	1,998.99	...	11.87	19 of 19 - Sale ²⁵
05/21/20	1,839.000	9,409.13	Various	9,359.98	...	49.15	Total of 19 transactions
19 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	197.000	1,028.29	05/21/20	1,032.28	3.99 W	0.00	1 of 19 - Sale ²⁵
	95.000	495.88	05/21/20	497.80	1.92 W	0.00	2 of 19 - Sale ²⁵
	2.000	10.44	05/21/20	10.48	0.04 W	0.00	3 of 19 - Sale ²⁵
	45.000	234.89	05/21/20	235.80	0.91 W	0.00	4 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	22.000	114.84	05/21/20	115.73	0.89 W	0.00	5 of 19 - Sale ²⁵
	139.000	725.55	05/21/20	731.17	5.62 W	0.00	6 of 19 - Sale ²⁵
	36.000	187.91	05/21/20	189.37	1.46 W	0.00	7 of 19 - Sale ²⁵
	64.000	334.06	05/21/20	336.65	2.59 W	0.00	8 of 19 - Sale ²⁵
	2.000	10.44	05/21/20	10.52	0.08 W	0.00	9 of 19 - Sale ²⁵
	22.000	114.83	05/21/20	116.17	1.34 W	0.00	10 of 19 - Sale ²⁵
	45.000	234.89	05/21/20	236.71	1.82 W	0.00	11 of 19 - Sale ²⁵
	31.000	161.81	05/21/20	163.07	1.26 W	0.00	12 of 19 - Sale ²⁵
	139.000	725.55	05/21/20	733.98	8.43 W	0.00	13 of 19 - Sale ²⁵
	161.000	840.38	05/21/20	843.64	3.26 W	0.00	14 of 19 - Sale ²⁵
	22.000	114.83	05/21/20	114.86	0.03 W	0.00	15 of 19 - Sale ²⁵
	139.000	725.55	05/21/20	725.67	0.12 W	0.00	16 of 19 - Sale ²⁵
	22.000	114.17	05/21/20	114.21	0.04 W	0.00	17 of 19 - Sale ²⁵
	139.000	721.38	05/21/20	721.53	0.15 W	0.00	18 of 19 - Sale ²⁵
	339.000	1,759.33	05/21/20	1,759.41	0.08 W	0.00	19 of 19 - Sale ²⁵
05/21/20	1,661.000	8,655.02	Various	8,689.05	34.03 W	0.00	Total of 19 transactions
05/22/20	90.000	455.39	05/21/20	450.90	...	4.49	Sale ²⁵
	4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	100.000	524.97	05/22/20	525.00	0.03 W	0.00	1 of 4 - Sale ²⁵
	100.000	524.97	05/22/20	525.00	0.03 W	0.00	2 of 4 - Sale ²⁵
	200.000	1,049.95	05/22/20	1,050.00	0.05 W	0.00	3 of 4 - Sale ²⁵
	100.000	524.97	05/22/20	525.00	0.03 W	0.00	4 of 4 - Sale ²⁵
05/22/20	500.000	2,624.86	Various	2,625.00	0.14 W	0.00	Total of 4 transactions
06/05/20	500.000	2,119.88	06/05/20	2,120.00	...	-0.12	Sale ²⁵
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	100.000	423.98	06/05/20	424.03	0.05 W	0.00	1 of 4 - Sale ²⁵
	100.000	423.98	06/05/20	424.03	0.05 W	0.00	2 of 4 - Sale ²⁵
	100.000	423.98	06/05/20	424.03	0.05 W	0.00	3 of 4 - Sale ²⁵
	200.000	847.96	06/05/20	848.05	0.09 W	0.00	4 of 4 - Sale ²⁵
06/05/20	500.000	2,119.90	Various	2,120.14	0.24 W	0.00	Total of 4 transactions
	5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	9.000	40.41	06/05/20	36.09	...	4.32	1 of 5 - Sale ²⁵
	100.000	448.97	06/05/20	401.05	...	47.92	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	100.000	448.98	06/05/20	401.05	...	47.93	3 of 5 - Sale ²⁵
	100.000	448.98	06/05/20	401.05	...	47.93	4 of 5 - Sale ²⁵
	191.000	857.55	06/05/20	766.00	...	91.55	5 of 5 - Sale ²⁵
06/08/20	500.000	2,244.89	Various	2,005.24	...	239.65	Total of 5 transactions
	Security total:	33,319.80		32,020.31	34.41 W	1,333.90	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
	4 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	296.000	127.21	05/07/20	124.45	...	2.76	1 of 4 - Sale ²⁵
	1,917.000	823.87	05/07/20	805.96	...	17.91	2 of 4 - Sale ²⁵
	2,787.000	1,197.77	05/07/20	1,170.82	...	26.95	3 of 4 - Sale ²⁵
	5,000.000	2,148.85	05/07/20	2,102.14	...	46.71	4 of 4 - Sale ²⁵
05/07/20	10,000.000	4,297.70	Various	4,203.37	...	94.33	Total of 4 transactions
	4 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	5,696.000	2,391.02	05/07/20	2,392.89	1.87 W	0.00	1 of 4 - Sale ²⁵
	1,917.000	804.70	05/07/20	805.33	0.63 W	0.00	2 of 4 - Sale ²⁵
	1,987.000	834.09	05/07/20	834.74	0.65 W	0.00	3 of 4 - Sale ²⁵
	400.000	167.91	05/07/20	168.17	0.26 W	0.00	4 of 4 - Sale ²⁵
05/07/20	10,000.000	4,197.72	Various	4,201.13	3.41 W	0.00	Total of 4 transactions
05/15/20	15.000	5.85	05/13/20	5.63	...	0.22	Sale ²⁵
	15 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	3.000	1.17	05/13/20	1.13	...	0.04	1 of 15 - Sale ²⁵
	11.000	4.29	05/13/20	4.13	...	0.16	2 of 15 - Sale ²⁵
	29.000	11.31	05/13/20	10.88	...	0.43	3 of 15 - Sale ²⁵
	100.000	38.98	05/13/20	37.50	...	1.48	4 of 15 - Sale ²⁵
	171.000	66.65	05/13/20	64.13	...	2.52	5 of 15 - Sale ²⁵
	292.000	113.81	05/13/20	109.50	...	4.31	6 of 15 - Sale ²⁵
	400.000	155.91	05/13/20	150.26	...	5.65	7 of 15 - Sale ²⁵
	729.000	284.14	05/13/20	273.61	...	10.53	8 of 15 - Sale ²⁵
	729.000	284.14	05/13/20	273.38	...	10.76	9 of 15 - Sale ²⁵
	554.000	220.98	05/13/20	207.75	...	13.23	10 of 15 - Sale ²⁵
	1,171.000	456.42	05/13/20	439.12	...	17.30	11 of 15 - Sale ²⁵
	1,200.000	467.74	05/13/20	450.40	...	17.34	12 of 15 - Sale ²⁵
	1,200.000	467.74	05/13/20	450.00	...	17.74	13 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol: (cont'd)							
	1,600.000	623.63	05/13/20	600.00	...	23.63	14 of 15 - Sale ²⁵
	2,365.000	921.80	05/13/20	886.88	...	34.92	15 of 15 - Sale ²⁵
05/18/20	10,554.000	4,118.71	Various	3,958.67	...	160.04	Total of 15 transactions
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	374.000	160.71	05/13/20	140.25	...	20.46	1 of 2 - Sale ²⁵
	4,057.000	1,743.18	05/13/20	1,521.37	...	221.81	2 of 2 - Sale ²⁵
05/19/20	4,431.000	1,903.89	Various	1,661.62	...	242.27	Total of 2 transactions
	Security total:	14,523.87		14,030.42	3.41 W	496.86	
SYNTHETIC BIOLOGICS, INC. / CUSIP: 87164U201 / Symbol:							
	2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	1,000.000	364.88	12/11/20	357.50	...	7.38	1 of 2 - Sale ²⁵
	1,238.000	451.72	12/11/20	442.59	...	9.13	2 of 2 - Sale ²⁵
12/15/20	2,238.000	816.60	Various	800.09	...	16.51	Total of 2 transactions
	5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	82.000	29.50	12/11/20	29.31	...	0.19	1 of 5 - Sale ²⁵
	155.000	55.77	12/11/20	55.41	...	0.36	2 of 5 - Sale ²⁵
	400.000	143.91	12/11/20	143.00	...	0.91	3 of 5 - Sale ²⁵
	468.000	168.37	12/11/20	167.31	...	1.06	4 of 5 - Sale ²⁵
	585.000	210.47	12/11/20	209.14	...	1.33	5 of 5 - Sale ²⁵
12/22/20	1,690.000	608.02	Various	604.17	...	3.85	Total of 5 transactions
	Security total:	1,424.62		1,404.26	...	20.36	
TELIGENT, INC. COMMON STOCK / CUSIP: 87960W203 / Symbol:							
	9 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	17.000	10.03	12/02/20	8.84	...	1.19	1 of 9 - Sale ²⁵
	49.000	28.41	12/02/20	25.49	...	2.92	2 of 9 - Sale ²⁵
	100.000	57.97	12/02/20	52.02	...	5.95	3 of 9 - Sale ²⁵
	137.000	79.41	12/02/20	71.27	...	8.14	4 of 9 - Sale ²⁵
	246.000	142.60	12/02/20	127.97	...	14.63	5 of 9 - Sale ²⁵
	1,085.000	628.93	12/02/20	564.42	...	64.51	6 of 9 - Sale ²⁵
	1,983.000	1,169.50	12/02/20	1,031.55	...	137.95	7 of 9 - Sale ²⁵
	3,383.000	1,961.01	12/02/20	1,759.84	...	201.17	8 of 9 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TELIGENT, INC. COMMON STOCK / CUSIP: 87960W203 / Symbol: (cont'd)							
	3,000.000	1,769.30	12/02/20	1,560.60	...	208.70	9 of 9 - Sale ²⁵
12/07/20	10,000.000	5,847.16	Various	5,202.00	...	645.16	Total of 9 transactions
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol:							
12 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	500.000	119.89	03/06/20	147.50	27.61 W	0.00	1 of 12 - Sale ²⁵
	250.000	59.95	03/06/20	73.75	13.80 W	0.00	2 of 12 - Sale ²⁵
	50.000	12.00	03/06/20	14.75	2.75 W	0.00	3 of 12 - Sale ²⁵
	2.000	0.48	03/06/20	0.59	0.11 W	0.00	4 of 12 - Sale ²⁵
	20.000	4.80	03/06/20	5.90	1.10 W	0.00	5 of 12 - Sale ²⁵
	10.000	2.40	03/06/20	2.95	0.55 W	0.00	6 of 12 - Sale ²⁵
	20.000	4.80	03/06/20	5.90	1.10 W	0.00	7 of 12 - Sale ²⁵
	2.000	0.48	03/06/20	0.59	0.11 W	0.00	8 of 12 - Sale ²⁵
	65.000	15.58	03/06/20	19.18	3.60 W	0.00	9 of 12 - Sale ²⁵
	8.000	1.92	03/06/20	2.36	0.44 W	0.00	10 of 12 - Sale ²⁵
	100.000	23.98	03/06/20	29.50	5.52 W	0.00	11 of 12 - Sale ²⁵
	10.000	2.40	03/06/20	2.95	0.55 W	0.00	12 of 12 - Sale ²⁵
03/23/20	1,037.000	248.68	Various	305.92	57.24 W	0.00	Total of 12 transactions
5 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	63.000	18.26	03/09/20	17.68	...	0.58	1 of 5 - Sale ²⁵
	100.000	28.97	03/09/20	28.05	...	0.92	2 of 5 - Sale ²⁵
	100.000	28.98	03/09/20	28.05	...	0.93	3 of 5 - Sale ²⁵
	137.000	39.70	03/09/20	38.44	...	1.26	4 of 5 - Sale ²⁵
	147.000	42.60	03/09/20	41.24	...	1.36	5 of 5 - Sale ²⁵
03/25/20	547.000	158.51	Various	153.46	...	5.05	Total of 5 transactions
36 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1,100.000	318.76	03/06/20	324.50	5.74 W	0.00	1 of 36 - Sale ²⁵
	17.000	4.93	03/06/20	5.95	1.02 W	0.00	2 of 36 - Sale ²⁵
	1,083.000	313.83	03/06/20	319.48	5.65 W	0.00	3 of 36 - Sale ²⁵
	100.000	28.98	03/06/20	35.02	6.04 W	0.00	4 of 36 - Sale ²⁵
	373.000	108.09	03/06/20	130.64	22.55 W	0.00	5 of 36 - Sale ²⁵
	17.000	4.93	03/06/20	6.04	1.11 W	0.00	6 of 36 - Sale ²⁵
	100.000	28.98	03/06/20	35.54	6.56 W	0.00	7 of 36 - Sale ²⁵
	210.000	60.85	03/06/20	63.05	2.20 W	0.00	8 of 36 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	373.000	108.09	03/06/20	132.58	24.49 W	0.00	9 of 36 - Sale ²⁵
	427.000	123.73	03/06/20	128.19	4.46 W	0.00	10 of 36 - Sale ²⁵
	337.000	97.65	03/06/20	101.17	3.52 W	0.00	11 of 36 - Sale ²⁵
	463.000	134.17	03/06/20	139.00	4.83 W	0.00	12 of 36 - Sale ²⁵
	600.000	173.87	03/06/20	180.14	6.27 W	0.00	13 of 36 - Sale ²⁵
	146.000	42.31	03/06/20	43.83	1.52 W	0.00	14 of 36 - Sale ²⁵
	2.000	0.58	03/06/20	0.70	0.12 W	0.00	15 of 36 - Sale ²⁵
	2.000	0.58	03/06/20	0.70	0.12 W	0.00	16 of 36 - Sale ²⁵
	8.000	2.32	03/06/20	2.80	0.48 W	0.00	17 of 36 - Sale ²⁵
	10.000	2.90	03/06/20	3.50	0.60 W	0.00	18 of 36 - Sale ²⁵
	10.000	2.90	03/06/20	3.50	0.60 W	0.00	19 of 36 - Sale ²⁵
	10.000	2.90	03/06/20	3.50	0.60 W	0.00	20 of 36 - Sale ²⁵
	20.000	5.80	03/06/20	7.00	1.20 W	0.00	21 of 36 - Sale ²⁵
	20.000	5.80	03/06/20	7.00	1.20 W	0.00	22 of 36 - Sale ²⁵
	50.000	14.49	03/06/20	17.50	3.01 W	0.00	23 of 36 - Sale ²⁵
	65.000	18.83	03/06/20	22.77	3.94 W	0.00	24 of 36 - Sale ²⁵
	100.000	28.97	03/06/20	35.02	6.05 W	0.00	25 of 36 - Sale ²⁵
	250.000	72.44	03/06/20	87.55	15.11 W	0.00	26 of 36 - Sale ²⁵
	107.000	31.00	03/06/20	31.57	0.57 W	0.00	27 of 36 - Sale ²⁵
	800.000	231.82	03/06/20	236.00	4.18 W	0.00	28 of 36 - Sale ²⁵
	800.000	231.82	03/06/20	236.00	4.18 W	0.00	29 of 36 - Sale ²⁵
	800.000	231.82	03/06/20	236.00	4.18 W	0.00	30 of 36 - Sale ²⁵
	563.000	163.15	03/06/20	166.09	2.94 W	0.00	31 of 36 - Sale ²⁵
	17.000	4.93	03/09/20	5.70	0.77 W	0.00	32 of 36 - Sale ²⁵
	20.000	5.79	03/09/20	6.71	0.92 W	0.00	33 of 36 - Sale ²⁵
	80.000	23.18	03/09/20	26.86	3.68 W	0.00	34 of 36 - Sale ²⁵
	220.000	63.75	03/09/20	73.87	10.12 W	0.00	35 of 36 - Sale ²⁵
	153.000	44.33	03/09/20	51.37	7.04 W	0.00	36 of 36 - Sale ²⁵
03/25/20	9,453.000	2,739.27	Various	2,906.84	167.57 W	0.00	Total of 36 transactions
38 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	10.000	2.90	03/09/20	2.90	...	0.00	1 of 38 - Sale ²⁵
	2.000	0.58	03/09/20	0.58	...	0.00	2 of 38 - Sale ²⁵
	2.000	0.58	03/09/20	0.58	...	0.00	3 of 38 - Sale ²⁵
	5.000	1.45	03/09/20	1.45	...	0.00	4 of 38 - Sale ²⁵

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(continued)

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	8.000	2.32	03/09/20	2.32	...	0.00	5 of 38 - Sale ²⁵
	10.000	2.90	03/09/20	2.90	...	0.00	6 of 38 - Sale ²⁵
	10.000	2.90	03/09/20	2.90	...	0.00	7 of 38 - Sale ²⁵
	15.000	4.35	03/09/20	4.35	...	0.00	8 of 38 - Sale ²⁵
	20.000	5.80	03/09/20	5.80	...	0.00	9 of 38 - Sale ²⁵
	12.000	3.48	03/09/20	3.37	...	0.11	10 of 38 - Sale ²⁵
	12.000	3.48	03/09/20	3.37	...	0.11	11 of 38 - Sale ²⁵
	10.000	2.90	03/09/20	2.75	...	0.15	12 of 38 - Sale ²⁵
	27.000	7.82	03/09/20	7.57	...	0.25	13 of 38 - Sale ²⁵
	17.000	4.93	03/09/20	4.68	...	0.25	14 of 38 - Sale ²⁵
	20.000	5.80	03/09/20	5.52	...	0.28	15 of 38 - Sale ²⁵
	37.000	10.72	03/09/20	10.24	...	0.48	16 of 38 - Sale ²⁵
	88.000	25.50	03/09/20	24.69	...	0.81	17 of 38 - Sale ²⁵
	90.000	26.08	03/09/20	25.25	...	0.83	18 of 38 - Sale ²⁵
	63.000	18.26	03/09/20	17.34	...	0.92	19 of 38 - Sale ²⁵
	80.000	23.18	03/09/20	22.15	...	1.03	20 of 38 - Sale ²⁵
	134.000	38.83	03/09/20	37.59	...	1.24	21 of 38 - Sale ²⁵
	107.000	31.00	03/09/20	29.47	...	1.53	22 of 38 - Sale ²⁵
	110.000	31.87	03/09/20	30.29	...	1.58	23 of 38 - Sale ²⁵
	156.000	45.21	03/09/20	43.19	...	2.02	24 of 38 - Sale ²⁵
	163.000	47.23	03/09/20	44.88	...	2.35	25 of 38 - Sale ²⁵
	190.000	55.06	03/09/20	52.31	...	2.75	26 of 38 - Sale ²⁵
	310.000	89.83	03/09/20	86.97	...	2.86	27 of 38 - Sale ²⁵
	198.000	57.37	03/09/20	54.51	...	2.86	28 of 38 - Sale ²⁵
	163.000	47.22	03/09/20	44.03	...	3.19	29 of 38 - Sale ²⁵
	227.000	65.77	03/09/20	62.50	...	3.27	30 of 38 - Sale ²⁵
	273.000	79.11	03/09/20	75.17	...	3.94	31 of 38 - Sale ²⁵
	275.000	79.69	03/09/20	75.72	...	3.97	32 of 38 - Sale ²⁵
	451.000	130.69	03/09/20	126.52	...	4.17	33 of 38 - Sale ²⁵
	327.000	94.75	03/09/20	90.03	...	4.72	34 of 38 - Sale ²⁵
	327.000	94.76	03/09/20	90.03	...	4.73	35 of 38 - Sale ²⁵
	512.000	148.38	03/09/20	143.64	...	4.74	36 of 38 - Sale ²⁵
	337.000	97.65	03/09/20	92.78	...	4.87	37 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
03/30/20	463.000	134.17	03/09/20	127.48	...	6.69	38 of 38 - Sale ²⁵
	5,261.000	1,524.52	Various	1,457.82	...	66.70	Total of 38 transactions
	51 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	10.000	2.90	03/09/20	3.30	0.40 W	0.00	1 of 51 - Sale ²⁵
	217.000	62.88	03/09/20	71.73	8.85 W	0.00	2 of 51 - Sale ²⁵
	2.000	0.58	03/09/20	0.66	0.08 W	0.00	3 of 51 - Sale ²⁵
	15.000	4.35	03/09/20	4.95	0.60 W	0.00	4 of 51 - Sale ²⁵
	33.000	9.56	03/09/20	10.90	1.34 W	0.00	5 of 51 - Sale ²⁵
	50.000	14.49	03/09/20	16.52	2.03 W	0.00	6 of 51 - Sale ²⁵
	2.000	0.58	03/09/20	0.66	0.08 W	0.00	7 of 51 - Sale ²⁵
	5.000	1.45	03/09/20	1.65	0.20 W	0.00	8 of 51 - Sale ²⁵
	8.000	2.32	03/09/20	2.64	0.32 W	0.00	9 of 51 - Sale ²⁵
	10.000	2.90	03/09/20	3.30	0.40 W	0.00	10 of 51 - Sale ²⁵
	15.000	4.35	03/09/20	4.96	0.61 W	0.00	11 of 51 - Sale ²⁵
	20.000	5.80	03/09/20	6.60	0.80 W	0.00	12 of 51 - Sale ²⁵
	65.000	18.84	03/09/20	21.50	2.66 W	0.00	13 of 51 - Sale ²⁵
	10.000	2.90	03/09/20	3.30	0.40 W	0.00	14 of 51 - Sale ²⁵
	85.000	24.63	03/09/20	28.10	3.47 W	0.00	15 of 51 - Sale ²⁵
	17.000	4.93	03/09/20	5.36	0.43 W	0.00	16 of 51 - Sale ²⁵
	20.000	5.80	03/09/20	6.32	0.52 W	0.00	17 of 51 - Sale ²⁵
	80.000	23.18	03/09/20	25.29	2.11 W	0.00	18 of 51 - Sale ²⁵
	153.000	44.34	03/09/20	48.37	4.03 W	0.00	19 of 51 - Sale ²⁵
	220.000	63.75	03/09/20	69.54	5.79 W	0.00	20 of 51 - Sale ²⁵
	10.000	2.90	03/09/20	3.10	0.20 W	0.00	21 of 51 - Sale ²⁵
	10.000	2.90	03/09/20	3.11	0.21 W	0.00	22 of 51 - Sale ²⁵
	17.000	4.93	03/09/20	5.02	0.09 W	0.00	23 of 51 - Sale ²⁵
	20.000	5.80	03/09/20	5.92	0.12 W	0.00	24 of 51 - Sale ²⁵
	80.000	23.18	03/09/20	23.72	0.54 W	0.00	25 of 51 - Sale ²⁵
	156.000	45.20	03/09/20	46.25	1.05 W	0.00	26 of 51 - Sale ²⁵
	2.000	0.58	03/09/20	0.62	0.04 W	0.00	27 of 51 - Sale ²⁵
	2.000	0.58	03/09/20	0.62	0.04 W	0.00	28 of 51 - Sale ²⁵
	5.000	1.45	03/09/20	1.55	0.10 W	0.00	29 of 51 - Sale ²⁵
	8.000	2.32	03/09/20	2.48	0.16 W	0.00	30 of 51 - Sale ²⁵
	10.000	2.90	03/09/20	3.10	0.20 W	0.00	31 of 51 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	10.000	2.90	03/09/20	3.10	0.20 W	0.00	32 of 51 - Sale ²⁵
	15.000	4.35	03/09/20	4.65	0.30 W	0.00	33 of 51 - Sale ²⁵
	15.000	4.35	03/09/20	4.66	0.31 W	0.00	34 of 51 - Sale ²⁵
	20.000	5.80	03/09/20	6.20	0.40 W	0.00	35 of 51 - Sale ²⁵
	33.000	9.56	03/09/20	10.25	0.69 W	0.00	36 of 51 - Sale ²⁵
	50.000	14.49	03/09/20	15.54	1.05 W	0.00	37 of 51 - Sale ²⁵
	65.000	18.83	03/09/20	20.22	1.39 W	0.00	38 of 51 - Sale ²⁵
	85.000	24.62	03/09/20	26.43	1.81 W	0.00	39 of 51 - Sale ²⁵
	207.000	59.98	03/09/20	64.35	4.37 W	0.00	40 of 51 - Sale ²⁵
	37.000	10.72	03/09/20	10.97	0.25 W	0.00	41 of 51 - Sale ²⁵
	27.000	7.82	03/09/20	8.00	0.18 W	0.00	42 of 51 - Sale ²⁵
	153.000	44.34	03/09/20	45.35	1.01 W	0.00	43 of 51 - Sale ²⁵
	10.000	2.90	03/09/20	2.91	0.01 W	0.00	44 of 51 - Sale ²⁵
	90.000	26.08	03/09/20	26.21	0.13 W	0.00	45 of 51 - Sale ²⁵
	15.000	4.35	03/09/20	4.36	0.01 W	0.00	46 of 51 - Sale ²⁵
	33.000	9.56	03/09/20	9.60	0.04 W	0.00	47 of 51 - Sale ²⁵
	50.000	14.49	03/09/20	14.56	0.07 W	0.00	48 of 51 - Sale ²⁵
	65.000	18.83	03/09/20	18.95	0.12 W	0.00	49 of 51 - Sale ²⁵
	85.000	24.63	03/09/20	24.77	0.14 W	0.00	50 of 51 - Sale ²⁵
	117.000	33.90	03/09/20	34.07	0.17 W	0.00	51 of 51 - Sale ²⁵
03/30/20	2,539.000	735.77	Various	786.29	50.52 W	0.00	Total of 51 transactions
	68 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	6.000	1.49	03/10/20	1.44	...	0.05	1 of 68 - Sale ²⁵
	6.000	1.50	03/10/20	1.44	...	0.06	2 of 68 - Sale ²⁵
	4.000	1.00	03/10/20	0.92	...	0.08	3 of 68 - Sale ²⁵
	57.000	14.15	03/10/20	13.69	...	0.46	4 of 68 - Sale ²⁵
	40.000	9.99	03/10/20	9.20	...	0.79	5 of 68 - Sale ²⁵
	100.000	24.84	03/10/20	24.01	...	0.83	6 of 68 - Sale ²⁵
	100.000	24.84	03/10/20	24.01	...	0.83	7 of 68 - Sale ²⁵
	100.000	24.84	03/10/20	24.01	...	0.83	8 of 68 - Sale ²⁵
	47.000	11.74	03/10/20	10.81	...	0.93	9 of 68 - Sale ²⁵
	100.000	24.97	03/10/20	24.01	...	0.96	10 of 68 - Sale ²⁵
	49.000	12.23	03/10/20	11.27	...	0.96	11 of 68 - Sale ²⁵
	70.000	17.48	03/10/20	16.11	...	1.37	12 of 68 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	100.000	24.97	03/10/20	23.01	...	1.96	13 of 68 - Sale ²⁵
	100.000	24.97	03/10/20	23.01	...	1.96	14 of 68 - Sale ²⁵
	300.000	74.51	03/10/20	72.03	...	2.48	15 of 68 - Sale ²⁵
	134.000	33.45	03/10/20	30.83	...	2.62	16 of 68 - Sale ²⁵
	337.000	83.70	03/10/20	80.91	...	2.79	17 of 68 - Sale ²⁵
	337.000	83.71	03/10/20	80.88	...	2.83	18 of 68 - Sale ²⁵
	300.000	74.90	03/10/20	72.03	...	2.87	19 of 68 - Sale ²⁵
	300.000	74.90	03/10/20	72.03	...	2.87	20 of 68 - Sale ²⁵
	300.000	74.91	03/10/20	72.03	...	2.88	21 of 68 - Sale ²⁵
	153.000	38.20	03/10/20	35.21	...	2.99	22 of 68 - Sale ²⁵
	161.000	40.20	03/10/20	37.05	...	3.15	23 of 68 - Sale ²⁵
	390.000	96.87	03/10/20	93.64	...	3.23	24 of 68 - Sale ²⁵
	400.000	99.35	03/10/20	96.04	...	3.31	25 of 68 - Sale ²⁵
	410.000	101.83	03/10/20	98.44	...	3.39	26 of 68 - Sale ²⁵
	200.000	49.93	03/10/20	46.02	...	3.91	27 of 68 - Sale ²⁵
	200.000	49.94	03/10/20	46.02	...	3.92	28 of 68 - Sale ²⁵
	200.000	49.94	03/10/20	46.02	...	3.92	29 of 68 - Sale ²⁵
	206.000	51.43	03/10/20	47.40	...	4.03	30 of 68 - Sale ²⁵
	210.000	52.43	03/10/20	48.32	...	4.11	31 of 68 - Sale ²⁵
	600.000	149.02	03/10/20	144.06	...	4.96	32 of 68 - Sale ²⁵
	600.000	149.02	03/10/20	144.06	...	4.96	33 of 68 - Sale ²⁵
	600.000	149.03	03/10/20	144.06	...	4.97	34 of 68 - Sale ²⁵
	606.000	150.52	03/10/20	145.50	...	5.02	35 of 68 - Sale ²⁵
	694.000	172.37	03/10/20	166.63	...	5.74	36 of 68 - Sale ²⁵
	700.000	173.86	03/10/20	168.07	...	5.79	37 of 68 - Sale ²⁵
	700.000	173.86	03/10/20	168.07	...	5.79	38 of 68 - Sale ²⁵
	700.000	173.87	03/10/20	168.07	...	5.80	39 of 68 - Sale ²⁵
	300.000	74.90	03/10/20	69.03	...	5.87	40 of 68 - Sale ²⁵
	300.000	74.90	03/10/20	69.03	...	5.87	41 of 68 - Sale ²⁵
	306.000	76.40	03/10/20	70.41	...	5.99	42 of 68 - Sale ²⁵
	794.000	197.21	03/10/20	190.64	...	6.57	43 of 68 - Sale ²⁵
	800.000	198.70	03/10/20	192.08	...	6.62	44 of 68 - Sale ²⁵
	390.000	97.38	03/10/20	89.74	...	7.64	45 of 68 - Sale ²⁵
	396.000	98.87	03/10/20	91.12	...	7.75	46 of 68 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	399.000	99.62	03/10/20	91.81	...	7.81	47 of 68 - Sale ²⁵
	406.000	101.37	03/10/20	93.42	...	7.95	48 of 68 - Sale ²⁵
	494.000	123.34	03/10/20	113.67	...	9.67	49 of 68 - Sale ²⁵
	494.000	123.34	03/10/20	113.67	...	9.67	50 of 68 - Sale ²⁵
	500.000	124.84	03/10/20	115.05	...	9.79	51 of 68 - Sale ²⁵
	600.000	149.80	03/10/20	138.06	...	11.74	52 of 68 - Sale ²⁵
	600.000	149.81	03/10/20	138.06	...	11.75	53 of 68 - Sale ²⁵
	1,500.000	372.57	03/10/20	360.00	...	12.57	54 of 68 - Sale ²⁵
	1,500.000	372.57	03/10/20	360.00	...	12.57	55 of 68 - Sale ²⁵
	1,500.000	372.57	03/10/20	360.00	...	12.57	56 of 68 - Sale ²⁵
	647.000	161.54	03/10/20	148.87	...	12.67	57 of 68 - Sale ²⁵
	1,563.000	388.21	03/10/20	375.12	...	13.09	58 of 68 - Sale ²⁵
	694.000	173.28	03/10/20	159.69	...	13.59	59 of 68 - Sale ²⁵
	800.000	199.74	03/10/20	184.08	...	15.66	60 of 68 - Sale ²⁵
	800.000	199.75	03/10/20	184.08	...	15.67	61 of 68 - Sale ²⁵
	3,600.000	894.15	03/10/20	864.00	...	30.15	62 of 68 - Sale ²⁵
	1.000	0.25	03/12/20	0.21	...	0.04	63 of 68 - Sale ²⁵
	299.000	74.65	03/12/20	62.82	...	11.83	64 of 68 - Sale ²⁵
	907.000	226.46	03/12/20	190.56	...	35.90	65 of 68 - Sale ²⁵
	2,034.000	507.84	03/12/20	427.34	...	80.50	66 of 68 - Sale ²⁵
	2,293.000	572.50	03/12/20	481.76	...	90.74	67 of 68 - Sale ²⁵
	3,460.000	863.88	03/12/20	726.95	...	136.93	68 of 68 - Sale ²⁵
04/17/20	38,994.000	9,711.20	Various	8,991.63	...	719.57	Total of 68 transactions
	15 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	27.000	6.71	03/09/20	7.47	0.76 W	0.00	1 of 15 - Sale ²⁵
	136.000	33.78	03/09/20	37.64	3.86 W	0.00	2 of 15 - Sale ²⁵
	17.000	4.22	03/09/20	4.70	0.48 W	0.00	3 of 15 - Sale ²⁵
	10.000	2.48	03/09/20	2.71	0.23 W	0.00	4 of 15 - Sale ²⁵
	33.000	8.20	03/09/20	8.95	0.75 W	0.00	5 of 15 - Sale ²⁵
	33.000	8.20	03/09/20	8.97	0.77 W	0.00	6 of 15 - Sale ²⁵
	90.000	22.35	03/09/20	24.44	2.09 W	0.00	7 of 15 - Sale ²⁵
	117.000	29.06	03/09/20	31.77	2.71 W	0.00	8 of 15 - Sale ²⁵
	15.000	3.73	03/09/20	4.06	0.33 W	0.00	9 of 15 - Sale ²⁵
	17.000	4.22	03/09/20	4.61	0.39 W	0.00	10 of 15 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 114832611
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	65.000	16.14	03/09/20	17.68	1.54 W	0.00	11 of 15 - Sale ²⁵
	85.000	21.11	03/09/20	23.10	1.99 W	0.00	12 of 15 - Sale ²⁵
	118.000	29.31	03/09/20	31.87	2.56 W	0.00	13 of 15 - Sale ²⁵
	100.000	24.84	03/09/20	27.01	2.17 W	0.00	14 of 15 - Sale ²⁵
	300.000	74.51	03/09/20	81.03	6.52 W	0.00	15 of 15 - Sale ²⁵
04/17/20	1,163.000	288.86	Various	316.01	27.15 W	0.00	Total of 15 transactions
<i>65 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	2.40	04/23/20	2.37	...	0.03	1 of 65 - Sale ²⁵
	17.000	4.07	04/23/20	4.04	...	0.03	2 of 65 - Sale ²⁵
	15.000	3.60	04/23/20	3.55	...	0.05	3 of 65 - Sale ²⁵
	33.000	7.91	04/23/20	7.84	...	0.07	4 of 65 - Sale ²⁵
	33.000	7.91	04/23/20	7.82	...	0.09	5 of 65 - Sale ²⁵
	65.000	15.58	04/23/20	15.48	...	0.10	6 of 65 - Sale ²⁵
	85.000	20.37	04/23/20	20.22	...	0.15	7 of 65 - Sale ²⁵
	17.000	4.07	04/23/20	3.92	...	0.15	8 of 65 - Sale ²⁵
	90.000	21.57	04/23/20	21.40	...	0.17	9 of 65 - Sale ²⁵
	117.000	28.04	04/23/20	27.81	...	0.23	10 of 65 - Sale ²⁵
	27.000	6.47	04/23/20	6.21	...	0.26	11 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	23.62	...	0.35	12 of 65 - Sale ²⁵
	118.000	28.28	04/23/20	27.88	...	0.40	13 of 65 - Sale ²⁵
	75.000	17.98	04/23/20	17.03	...	0.95	14 of 65 - Sale ²⁵
	75.000	17.98	04/23/20	17.03	...	0.95	15 of 65 - Sale ²⁵
	300.000	71.91	04/23/20	70.88	...	1.03	16 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	17 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	18 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	19 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	20 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	21 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	22 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	23 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	24 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	25 of 65 - Sale ²⁵
	100.000	23.97	04/23/20	22.71	...	1.26	26 of 65 - Sale ²⁵
	136.000	32.60	04/23/20	31.33	...	1.27	27 of 65 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	137.000	32.84	04/23/20	31.11	...	1.73	28 of 65 - Sale ²⁵
	161.000	38.59	04/23/20	36.56	...	2.03	29 of 65 - Sale ²⁵
	200.000	47.93	04/23/20	45.42	...	2.51	30 of 65 - Sale ²⁵
	200.000	47.93	04/23/20	45.42	...	2.51	31 of 65 - Sale ²⁵
	200.000	47.93	04/23/20	45.42	...	2.51	32 of 65 - Sale ²⁵
	237.000	56.80	04/23/20	53.83	...	2.97	33 of 65 - Sale ²⁵
	439.000	105.22	04/23/20	99.70	...	5.52	34 of 65 - Sale ²⁵
	500.000	119.84	04/23/20	113.55	...	6.29	35 of 65 - Sale ²⁵
	561.000	134.46	04/23/20	127.40	...	7.06	36 of 65 - Sale ²⁵
	600.000	143.81	04/23/20	136.26	...	7.55	37 of 65 - Sale ²⁵
	720.000	172.57	04/23/20	163.51	...	9.06	38 of 65 - Sale ²⁵
	939.000	225.05	04/23/20	213.25	...	11.80	39 of 65 - Sale ²⁵
	939.000	225.06	04/23/20	213.25	...	11.81	40 of 65 - Sale ²⁵
	1,050.000	251.65	04/23/20	238.46	...	13.19	41 of 65 - Sale ²⁵
	1,061.000	254.30	04/23/20	240.95	...	13.35	42 of 65 - Sale ²⁵
	1,339.000	320.92	04/23/20	304.09	...	16.83	43 of 65 - Sale ²⁵
	1,500.000	359.51	04/23/20	340.65	...	18.86	44 of 65 - Sale ²⁵
	1.000	0.24	04/24/20	0.24	...	0.00	45 of 65 - Sale ²⁵
	12.000	2.87	04/24/20	2.82	...	0.05	46 of 65 - Sale ²⁵
	20.000	4.78	04/24/20	4.70	...	0.08	47 of 65 - Sale ²⁵
	23.000	5.52	04/24/20	5.18	...	0.34	48 of 65 - Sale ²⁵
	100.000	23.87	04/24/20	23.51	...	0.36	49 of 65 - Sale ²⁵
	100.000	23.87	04/24/20	23.51	...	0.36	50 of 65 - Sale ²⁵
	126.000	30.07	04/24/20	29.62	...	0.45	51 of 65 - Sale ²⁵
	270.000	64.44	04/24/20	63.48	...	0.96	52 of 65 - Sale ²⁵
	72.000	17.25	04/24/20	16.21	...	1.04	53 of 65 - Sale ²⁵
	89.000	21.33	04/24/20	20.04	...	1.29	54 of 65 - Sale ²⁵
	100.000	23.96	04/24/20	22.52	...	1.44	55 of 65 - Sale ²⁵
	100.000	23.97	04/24/20	22.52	...	1.45	56 of 65 - Sale ²⁵
	100.000	23.97	04/24/20	22.52	...	1.45	57 of 65 - Sale ²⁵
	500.000	119.34	04/24/20	117.55	...	1.79	58 of 65 - Sale ²⁵
	589.000	140.58	04/24/20	138.47	...	2.11	59 of 65 - Sale ²⁵
	200.000	47.93	04/24/20	45.04	...	2.89	60 of 65 - Sale ²⁵
	874.000	208.61	04/24/20	205.47	...	3.14	61 of 65 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	1,730.000	412.92	04/24/20	406.72	...	6.20	62 of 65 - Sale ²⁵
	2,250.000	538.59	04/24/20	528.98	...	9.61	63 of 65 - Sale ²⁵
	800.000	191.75	04/24/20	180.16	...	11.59	64 of 65 - Sale ²⁵
	1,000.000	239.68	04/24/20	225.20	...	14.48	65 of 65 - Sale ²⁵
04/24/20	22,152.000	5,304.36	Various	5,088.82	...	215.54	Total of 65 transactions
	18 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	17.000	4.07	04/23/20	4.34	0.27 W	0.00	1 of 18 - Sale ²⁵
	27.000	6.47	04/23/20	6.89	0.42 W	0.00	2 of 18 - Sale ²⁵
	136.000	32.60	04/23/20	34.75	2.15 W	0.00	3 of 18 - Sale ²⁵
	10.000	2.40	04/23/20	2.50	0.10 W	0.00	4 of 18 - Sale ²⁵
	15.000	3.60	04/23/20	3.74	0.14 W	0.00	5 of 18 - Sale ²⁵
	17.000	4.07	04/23/20	4.25	0.18 W	0.00	6 of 18 - Sale ²⁵
	33.000	7.91	04/23/20	8.24	0.33 W	0.00	7 of 18 - Sale ²⁵
	33.000	7.91	04/23/20	8.26	0.35 W	0.00	8 of 18 - Sale ²⁵
	65.000	15.58	04/23/20	16.30	0.72 W	0.00	9 of 18 - Sale ²⁵
	85.000	20.37	04/23/20	21.29	0.92 W	0.00	10 of 18 - Sale ²⁵
	90.000	21.57	04/23/20	22.53	0.96 W	0.00	11 of 18 - Sale ²⁵
	117.000	28.04	04/23/20	29.28	1.24 W	0.00	12 of 18 - Sale ²⁵
	100.000	23.97	04/23/20	24.88	0.91 W	0.00	13 of 18 - Sale ²⁵
	118.000	28.28	04/23/20	29.36	1.08 W	0.00	14 of 18 - Sale ²⁵
	300.000	71.91	04/23/20	74.66	2.75 W	0.00	15 of 18 - Sale ²⁵
	17.000	4.07	04/23/20	4.13	0.06 W	0.00	16 of 18 - Sale ²⁵
	27.000	6.47	04/23/20	6.55	0.08 W	0.00	17 of 18 - Sale ²⁵
	136.000	32.60	04/23/20	33.04	0.44 W	0.00	18 of 18 - Sale ²⁵
04/24/20	1,343.000	321.89	Various	334.99	13.10 W	0.00	Total of 18 transactions
	6 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	100.000	24.96	04/24/20	23.51	...	1.45	1 of 6 - Sale ²⁵
	102.000	25.46	04/24/20	23.98	...	1.48	2 of 6 - Sale ²⁵
	400.000	99.83	04/24/20	94.04	...	5.79	3 of 6 - Sale ²⁵
	1,316.000	328.44	04/24/20	309.39	...	19.05	4 of 6 - Sale ²⁵
	1,654.000	412.79	04/24/20	388.86	...	23.93	5 of 6 - Sale ²⁵
	3,172.000	791.65	04/24/20	745.74	...	45.91	6 of 6 - Sale ²⁵
04/27/20	6,744.000	1,683.13	Various	1,585.52	...	97.61	Total of 6 transactions

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(continued)

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
42 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
1.000		0.25	04/24/20	0.24	...	0.01	1 of 42 - Sale ²⁵
29.000		7.23	04/24/20	6.82	...	0.41	2 of 42 - Sale ²⁵
71.000		17.70	04/24/20	16.69	...	1.01	3 of 42 - Sale ²⁵
83.000		20.69	04/24/20	19.51	...	1.18	4 of 42 - Sale ²⁵
83.000		20.69	04/24/20	19.51	...	1.18	5 of 42 - Sale ²⁵
100.000		24.93	04/24/20	23.51	...	1.42	6 of 42 - Sale ²⁵
100.000		24.93	04/24/20	23.51	...	1.42	7 of 42 - Sale ²⁵
100.000		24.93	04/24/20	23.51	...	1.42	8 of 42 - Sale ²⁵
100.000		24.93	04/24/20	23.51	...	1.42	9 of 42 - Sale ²⁵
100.000		24.97	04/24/20	23.51	...	1.46	10 of 42 - Sale ²⁵
100.000		24.97	04/24/20	23.51	...	1.46	11 of 42 - Sale ²⁵
100.000		24.97	04/24/20	23.51	...	1.46	12 of 42 - Sale ²⁵
117.000		29.17	04/24/20	27.51	...	1.66	13 of 42 - Sale ²⁵
283.000		70.55	04/24/20	66.53	...	4.02	14 of 42 - Sale ²⁵
346.000		86.25	04/24/20	81.34	...	4.91	15 of 42 - Sale ²⁵
400.000		99.71	04/24/20	94.04	...	5.67	16 of 42 - Sale ²⁵
417.000		103.95	04/24/20	98.04	...	5.91	17 of 42 - Sale ²⁵
483.000		120.40	04/24/20	113.55	...	6.85	18 of 42 - Sale ²⁵
483.000		120.40	04/24/20	113.55	...	6.85	19 of 42 - Sale ²⁵
483.000		120.40	04/24/20	113.55	...	6.85	20 of 42 - Sale ²⁵
483.000		120.59	04/24/20	113.55	...	7.04	21 of 42 - Sale ²⁵
517.000		128.88	04/24/20	121.55	...	7.33	22 of 42 - Sale ²⁵
517.000		128.88	04/24/20	121.55	...	7.33	23 of 42 - Sale ²⁵
546.000		136.11	04/24/20	128.36	...	7.75	24 of 42 - Sale ²⁵
583.000		145.56	04/24/20	137.06	...	8.50	25 of 42 - Sale ²⁵
600.000		149.57	04/24/20	141.06	...	8.51	26 of 42 - Sale ²⁵
600.000		149.57	04/24/20	141.06	...	8.51	27 of 42 - Sale ²⁵
854.000		212.88	04/24/20	200.78	...	12.10	28 of 42 - Sale ²⁵
883.000		220.11	04/24/20	207.59	...	12.52	29 of 42 - Sale ²⁵
917.000		228.59	04/24/20	215.59	...	13.00	30 of 42 - Sale ²⁵
954.000		237.81	04/24/20	224.29	...	13.52	31 of 42 - Sale ²⁵
983.000		245.04	04/24/20	231.10	...	13.94	32 of 42 - Sale ²⁵
1,000.000		249.28	04/24/20	235.10	...	14.18	33 of 42 - Sale ²⁵

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Account 114832611

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(continued)

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	1,017.000	253.52	04/24/20	239.10	...	14.42	34 of 42 - Sale ²⁵
	1,000.000	249.68	04/24/20	235.10	...	14.58	35 of 42 - Sale ²⁵
	1,117.000	278.44	04/24/20	262.61	...	15.83	36 of 42 - Sale ²⁵
	1,400.000	348.99	04/24/20	329.14	...	19.85	37 of 42 - Sale ²⁵
	1,400.000	348.99	04/24/20	329.14	...	19.85	38 of 42 - Sale ²⁵
	1,500.000	373.92	04/24/20	352.65	...	21.27	39 of 42 - Sale ²⁵
	1,500.000	373.92	04/24/20	352.65	...	21.27	40 of 42 - Sale ²⁵
	2,117.000	528.56	04/24/20	497.71	...	30.85	41 of 42 - Sale ²⁵
	2,217.000	553.53	04/24/20	521.22	...	32.31	42 of 42 - Sale ²⁵
04/28/20	26,684.000	6,654.44	Various	6,273.41	...	381.03	Total of 42 transactions
	3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1,150.000	287.36	05/01/20	281.87	...	5.49	1 of 3 - Sale ²⁵
	3,000.000	749.62	05/01/20	735.30	...	14.32	2 of 3 - Sale ²⁵
	3,000.000	749.63	05/01/20	735.30	...	14.33	3 of 3 - Sale ²⁵
05/01/20	7,150.000	1,786.61	Various	1,752.47	...	34.14	Total of 3 transactions
	10 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	800.000	199.90	04/28/20	200.16	0.26 W	0.00	1 of 10 - Sale ²⁵
	300.000	74.96	04/28/20	75.06	0.10 W	0.00	2 of 10 - Sale ²⁵
	2,200.000	549.73	04/28/20	550.44	0.71 W	0.00	3 of 10 - Sale ²⁵
	1,200.000	299.85	04/28/20	300.24	0.39 W	0.00	4 of 10 - Sale ²⁵
	200.000	49.97	04/28/20	50.04	0.07 W	0.00	5 of 10 - Sale ²⁵
	150.000	37.48	04/28/20	37.53	0.05 W	0.00	6 of 10 - Sale ²⁵
	3,000.000	749.63	04/28/20	750.60	0.97 W	0.00	7 of 10 - Sale ²⁵
	1,000.000	249.87	04/28/20	250.20	0.33 W	0.00	8 of 10 - Sale ²⁵
	1,500.000	374.81	04/28/20	375.30	0.49 W	0.00	9 of 10 - Sale ²⁵
	2,500.000	624.69	04/28/20	625.50	0.81 W	0.00	10 of 10 - Sale ²⁵
05/01/20	12,850.000	3,210.89	Various	3,215.07	4.18 W	0.00	Total of 10 transactions
	81 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	1.000	0.25	05/01/20	0.25	...	0.00	1 of 81 - Sale ²⁵
	14.000	3.48	05/01/20	3.43	...	0.05	2 of 81 - Sale ²⁵
	25.000	6.22	05/01/20	6.14	...	0.08	3 of 81 - Sale ²⁵
	36.000	8.96	05/01/20	8.82	...	0.14	4 of 81 - Sale ²⁵
	51.000	12.69	05/01/20	12.50	...	0.19	5 of 81 - Sale ²⁵

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	50.000	12.48	05/01/20	12.26	...	0.22	6 of 81 - Sale ²⁵
	100.000	24.88	05/01/20	24.54	...	0.34	7 of 81 - Sale ²⁵
	100.000	24.88	05/01/20	24.54	...	0.34	8 of 81 - Sale ²⁵
	100.000	24.88	05/01/20	24.51	...	0.37	9 of 81 - Sale ²⁵
	100.000	24.88	05/01/20	24.51	...	0.37	10 of 81 - Sale ²⁵
	114.000	28.36	05/01/20	27.94	...	0.42	11 of 81 - Sale ²⁵
	95.000	23.72	05/01/20	23.28	...	0.44	12 of 81 - Sale ²⁵
	105.000	26.22	05/01/20	25.74	...	0.48	13 of 81 - Sale ²⁵
	150.000	37.32	05/01/20	36.82	...	0.50	14 of 81 - Sale ²⁵
	150.000	37.32	05/01/20	36.81	...	0.51	15 of 81 - Sale ²⁵
	200.000	49.75	05/01/20	49.09	...	0.66	16 of 81 - Sale ²⁵
	199.000	49.51	05/01/20	48.84	...	0.67	17 of 81 - Sale ²⁵
	200.000	49.76	05/01/20	49.02	...	0.74	18 of 81 - Sale ²⁵
	200.000	49.94	05/01/20	49.02	...	0.92	19 of 81 - Sale ²⁵
	265.000	65.93	05/01/20	64.95	...	0.98	20 of 81 - Sale ²⁵
	300.000	74.63	05/01/20	73.63	...	1.00	21 of 81 - Sale ²⁵
	300.000	74.63	05/01/20	73.53	...	1.10	22 of 81 - Sale ²⁵
	300.000	74.63	05/01/20	73.53	...	1.10	23 of 81 - Sale ²⁵
	335.000	83.34	05/01/20	82.11	...	1.23	24 of 81 - Sale ²⁵
	472.000	117.42	05/01/20	115.84	...	1.58	25 of 81 - Sale ²⁵
	435.000	108.22	05/01/20	106.62	...	1.60	26 of 81 - Sale ²⁵
	399.000	99.62	05/01/20	97.79	...	1.83	27 of 81 - Sale ²⁵
	550.000	136.83	05/01/20	134.99	...	1.84	28 of 81 - Sale ²⁵
	500.000	124.39	05/01/20	122.55	...	1.84	29 of 81 - Sale ²⁵
	500.000	124.39	05/01/20	122.55	...	1.84	30 of 81 - Sale ²⁵
	535.000	133.10	05/01/20	131.13	...	1.97	31 of 81 - Sale ²⁵
	565.000	140.56	05/01/20	138.48	...	2.08	32 of 81 - Sale ²⁵
	650.000	161.70	05/01/20	159.52	...	2.18	33 of 81 - Sale ²⁵
	686.000	170.67	05/01/20	168.36	...	2.31	34 of 81 - Sale ²⁵
	550.000	137.32	05/01/20	134.80	...	2.52	35 of 81 - Sale ²⁵
	700.000	174.15	05/01/20	171.57	...	2.58	36 of 81 - Sale ²⁵
	700.000	174.15	05/01/20	171.57	...	2.58	37 of 81 - Sale ²⁵
	700.000	174.15	05/01/20	171.57	...	2.58	38 of 81 - Sale ²⁵
	700.000	174.15	05/01/20	171.57	...	2.58	39 of 81 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	800.000	199.02	05/01/20	196.34	...	2.68	40 of 81 - Sale ²⁵
	801.000	199.27	05/01/20	196.59	...	2.68	41 of 81 - Sale ²⁵
	900.000	223.90	05/01/20	220.88	...	3.02	42 of 81 - Sale ²⁵
	914.000	227.38	05/01/20	224.32	...	3.06	43 of 81 - Sale ²⁵
	1,200.000	298.53	05/01/20	294.51	...	4.02	44 of 81 - Sale ²⁵
	1,325.000	329.63	05/01/20	325.19	...	4.44	45 of 81 - Sale ²⁵
	1,500.000	373.15	05/01/20	368.14	...	5.01	46 of 81 - Sale ²⁵
	1,828.000	454.76	05/01/20	448.63	...	6.13	47 of 81 - Sale ²⁵
	1,450.000	362.03	05/01/20	355.40	...	6.63	48 of 81 - Sale ²⁵
	16.000	3.99	05/04/20	3.84	...	0.15	49 of 81 - Sale ²⁵
	50.000	12.48	05/04/20	12.02	...	0.46	50 of 81 - Sale ²⁵
	84.000	20.97	05/04/20	20.19	...	0.78	51 of 81 - Sale ²⁵
	100.000	24.97	05/04/20	24.02	...	0.95	52 of 81 - Sale ²⁵
	151.000	37.70	05/04/20	36.27	...	1.43	53 of 81 - Sale ²⁵
	200.000	49.93	05/04/20	48.06	...	1.87	54 of 81 - Sale ²⁵
	200.000	49.94	05/04/20	48.06	...	1.88	55 of 81 - Sale ²⁵
	200.000	49.94	05/04/20	48.06	...	1.88	56 of 81 - Sale ²⁵
	200.000	49.94	05/04/20	48.06	...	1.88	57 of 81 - Sale ²⁵
	200.000	49.94	05/04/20	48.06	...	1.88	58 of 81 - Sale ²⁵
	200.000	49.94	05/04/20	48.06	...	1.88	59 of 81 - Sale ²⁵
	300.000	74.90	05/04/20	72.09	...	2.81	60 of 81 - Sale ²⁵
	400.000	99.87	05/04/20	96.12	...	3.75	61 of 81 - Sale ²⁵
	400.000	99.87	05/04/20	96.12	...	3.75	62 of 81 - Sale ²⁵
	400.000	99.87	05/04/20	96.12	...	3.75	63 of 81 - Sale ²⁵
	400.000	99.87	05/04/20	96.12	...	3.75	64 of 81 - Sale ²⁵
	450.000	112.35	05/04/20	108.14	...	4.21	65 of 81 - Sale ²⁵
	499.000	124.59	05/04/20	119.86	...	4.73	66 of 81 - Sale ²⁵
	499.000	124.59	05/04/20	119.86	...	4.73	67 of 81 - Sale ²⁵
	500.000	124.84	05/04/20	120.10	...	4.74	68 of 81 - Sale ²⁵
	600.000	149.80	05/04/20	144.18	...	5.62	69 of 81 - Sale ²⁵
	600.000	149.81	05/04/20	144.18	...	5.63	70 of 81 - Sale ²⁵
	600.000	149.81	05/04/20	144.18	...	5.63	71 of 81 - Sale ²⁵
	700.000	174.77	05/04/20	168.21	...	6.56	72 of 81 - Sale ²⁵
	700.000	174.77	05/04/20	168.21	...	6.56	73 of 81 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	700.000	174.77	05/04/20	168.21	...	6.56	74 of 81 - Sale ²⁵
	800.000	199.74	05/04/20	192.24	...	7.50	75 of 81 - Sale ²⁵
	800.000	199.74	05/04/20	192.24	...	7.50	76 of 81 - Sale ²⁵
	800.000	199.74	05/04/20	192.24	...	7.50	77 of 81 - Sale ²⁵
	1,050.000	262.15	05/04/20	252.21	...	9.94	78 of 81 - Sale ²⁵
	1,301.000	324.83	05/04/20	312.50	...	12.33	79 of 81 - Sale ²⁵
	1,301.000	324.84	05/04/20	312.50	...	12.34	80 of 81 - Sale ²⁵
	1,749.000	436.68	05/04/20	420.11	...	16.57	81 of 81 - Sale ²⁵
05/04/20	40,000.000	9,969.09	Various	9,725.16	...	243.93	Total of 81 transactions
	24 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	16.000	4.15	05/04/20	3.84	...	0.31	1 of 24 - Sale ²⁵
	34.000	8.83	05/04/20	8.17	...	0.66	2 of 24 - Sale ²⁵
	50.000	12.99	05/04/20	12.01	...	0.98	3 of 24 - Sale ²⁵
	50.000	12.99	05/04/20	12.01	...	0.98	4 of 24 - Sale ²⁵
	52.000	13.50	05/04/20	12.49	...	1.01	5 of 24 - Sale ²⁵
	84.000	21.81	05/04/20	20.18	...	1.63	6 of 24 - Sale ²⁵
	91.000	23.63	05/04/20	21.86	...	1.77	7 of 24 - Sale ²⁵
	100.000	25.97	05/04/20	24.02	...	1.95	8 of 24 - Sale ²⁵
	150.000	38.95	05/04/20	36.03	...	2.92	9 of 24 - Sale ²⁵
	198.000	51.42	05/04/20	47.56	...	3.86	10 of 24 - Sale ²⁵
	250.000	64.92	05/04/20	60.05	...	4.87	11 of 24 - Sale ²⁵
	357.000	92.70	05/04/20	85.75	...	6.95	12 of 24 - Sale ²⁵
	400.000	103.87	05/04/20	96.08	...	7.79	13 of 24 - Sale ²⁵
	543.000	141.00	05/04/20	130.43	...	10.57	14 of 24 - Sale ²⁵
	750.000	194.76	05/04/20	180.15	...	14.61	15 of 24 - Sale ²⁵
	850.000	220.72	05/04/20	204.17	...	16.55	16 of 24 - Sale ²⁵
	900.000	233.71	05/04/20	216.18	...	17.53	17 of 24 - Sale ²⁵
	966.000	250.85	05/04/20	232.03	...	18.82	18 of 24 - Sale ²⁵
	1,050.000	272.66	05/04/20	252.21	...	20.45	19 of 24 - Sale ²⁵
	1,066.000	276.81	05/04/20	256.05	...	20.76	20 of 24 - Sale ²⁵
	1,100.000	285.64	05/04/20	264.22	...	21.42	21 of 24 - Sale ²⁵
	1,150.000	298.63	05/04/20	276.23	...	22.40	22 of 24 - Sale ²⁵
	1,300.000	337.57	05/04/20	312.26	...	25.31	23 of 24 - Sale ²⁵

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(continued)

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	1,343.000	348.75	05/04/20	322.59	...	26.16	24 of 24 - Sale ²⁵
05/05/20	12,850.000	3,336.83	Various	3,086.57	...	250.26	Total of 24 transactions
	21 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	100.000	34.97	05/11/20	29.02	...	5.95	1 of 21 - Sale ²⁵
	100.000	34.97	05/11/20	29.02	...	5.95	2 of 21 - Sale ²⁵
	100.000	34.97	05/11/20	29.02	...	5.95	3 of 21 - Sale ²⁵
	100.000	34.97	05/11/20	29.02	...	5.95	4 of 21 - Sale ²⁵
	200.000	69.93	05/11/20	58.04	...	11.89	5 of 21 - Sale ²⁵
	200.000	69.94	05/11/20	58.04	...	11.90	6 of 21 - Sale ²⁵
	200.000	69.94	05/11/20	58.04	...	11.90	7 of 21 - Sale ²⁵
	200.000	69.94	05/11/20	58.04	...	11.90	8 of 21 - Sale ²⁵
	300.000	104.90	05/11/20	87.06	...	17.84	9 of 21 - Sale ²⁵
	300.000	104.90	05/11/20	87.06	...	17.84	10 of 21 - Sale ²⁵
	300.000	104.90	05/11/20	87.06	...	17.84	11 of 21 - Sale ²⁵
	600.000	209.80	05/11/20	174.12	...	35.68	12 of 21 - Sale ²⁵
	600.000	209.80	05/11/20	174.12	...	35.68	13 of 21 - Sale ²⁵
	600.000	209.80	05/11/20	174.12	...	35.68	14 of 21 - Sale ²⁵
	600.000	209.81	05/11/20	174.12	...	35.69	15 of 21 - Sale ²⁵
	600.000	209.81	05/11/20	174.12	...	35.69	16 of 21 - Sale ²⁵
	800.000	279.74	05/11/20	232.16	...	47.58	17 of 21 - Sale ²⁵
	800.000	279.74	05/11/20	232.16	...	47.58	18 of 21 - Sale ²⁵
	800.000	279.74	05/11/20	232.16	...	47.58	19 of 21 - Sale ²⁵
	1,200.000	419.62	05/11/20	348.24	...	71.38	20 of 21 - Sale ²⁵
	1,300.000	454.57	05/11/20	377.26	...	77.31	21 of 21 - Sale ²⁵
05/12/20	10,000.000	3,496.76	Various	2,902.00	...	594.76	Total of 21 transactions
	Security total:	51,170.81		48,881.98	319.76 W	2,608.59	
TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol:							
	17 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	1.000	1.20	04/15/20	1.14	...	0.06	1 of 17 - Sale ²⁵
	2.000	2.40	04/15/20	2.28	...	0.12	2 of 17 - Sale ²⁵
	23.000	27.60	04/15/20	26.22	...	1.38	3 of 17 - Sale ²⁵
	32.000	38.40	04/15/20	36.48	...	1.92	4 of 17 - Sale ²⁵
	71.000	85.19	04/15/20	80.94	...	4.25	5 of 17 - Sale ²⁵

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TOUGHBUILT INDUSTRIES, INC. COMMON STOCK / CUSIP: 89157G504 / Symbol: (cont'd)							
	135.000	161.98	04/15/20	153.90	...	8.08	6 of 17 - Sale ²⁵
	200.000	239.97	04/15/20	228.00	...	11.97	7 of 17 - Sale ²⁵
	250.000	299.97	04/15/20	285.00	...	14.97	8 of 17 - Sale ²⁵
	310.000	371.95	04/15/20	353.40	...	18.55	9 of 17 - Sale ²⁵
	354.000	424.76	04/15/20	403.56	...	21.20	10 of 17 - Sale ²⁵
	500.000	599.92	04/15/20	570.00	...	29.92	11 of 17 - Sale ²⁵
	906.000	1,087.06	04/15/20	1,032.84	...	54.22	12 of 17 - Sale ²⁵
	1,100.000	1,319.84	04/15/20	1,254.00	...	65.84	13 of 17 - Sale ²⁵
	1,538.000	1,845.38	04/15/20	1,753.32	...	92.06	14 of 17 - Sale ²⁵
	2,400.000	2,879.64	04/15/20	2,736.00	...	143.64	15 of 17 - Sale ²⁵
	3,178.000	3,813.13	04/15/20	3,622.92	...	190.21	16 of 17 - Sale ²⁵
	9,000.000	10,798.69	04/15/20	10,260.00	...	538.69	17 of 17 - Sale ²⁵
04/15/20	20,000.000	23,997.08	Various	22,800.00	...	1,197.08	Total of 17 transactions
TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol:							
04/06/20	2,000.000	1,019.73	12/23/19	1,460.40	440.67 W	0.00	Sale ²⁵
	40 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	2,000.000	1,399.31	02/26/20	1,380.00	...	19.31	1 of 40 - Sale ²⁵
	10.000	7.00	04/03/20	4.90	...	2.10	2 of 40 - Sale ²⁵
	18.000	12.59	04/03/20	8.82	...	3.77	3 of 40 - Sale ²⁵
	19.000	13.29	04/03/20	9.32	...	3.97	4 of 40 - Sale ²⁵
	46.000	32.18	04/03/20	22.55	...	9.63	5 of 40 - Sale ²⁵
	54.000	37.78	04/03/20	26.50	...	11.28	6 of 40 - Sale ²⁵
	54.000	37.78	04/03/20	26.50	...	11.28	7 of 40 - Sale ²⁵
	81.000	56.67	04/03/20	39.73	...	16.94	8 of 40 - Sale ²⁵
	100.000	69.96	04/03/20	49.05	...	20.91	9 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.06	...	20.91	10 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.06	...	20.91	11 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.06	...	20.91	12 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.06	...	20.91	13 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.06	...	20.91	14 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.06	...	20.91	15 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	16 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	17 of 40 - Sale ²⁵

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TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol: (cont'd)							
	100.000	69.97	04/03/20	49.05	...	20.92	18 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	19 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	20 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	21 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	22 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	23 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	24 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	25 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	26 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	27 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	28 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	29 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	30 of 40 - Sale ²⁵
	100.000	69.97	04/03/20	49.05	...	20.92	31 of 40 - Sale ²⁵
	128.000	89.56	04/03/20	62.79	...	26.77	32 of 40 - Sale ²⁵
	2,000.000	1,399.31	04/03/20	1,360.87	...	38.44	33 of 40 - Sale ²⁵
	200.000	139.93	04/03/20	98.11	...	41.82	34 of 40 - Sale ²⁵
	200.000	139.93	04/03/20	98.11	...	41.82	35 of 40 - Sale ²⁵
	260.000	181.91	04/03/20	127.55	...	54.36	36 of 40 - Sale ²⁵
	271.000	189.61	04/03/20	132.96	...	56.65	37 of 40 - Sale ²⁵
	729.000	510.05	04/03/20	357.68	...	152.37	38 of 40 - Sale ²⁵
	1,738.000	1,216.02	04/03/20	852.72	...	363.30	39 of 40 - Sale ²⁵
	3,784.000	2,647.50	04/03/20	1,741.02	...	906.48	40 of 40 - Sale ²⁵
04/13/20	13,892.000	9,719.72	Various	7,478.34	...	2,241.38	Total of 40 transactions
<i>35 transactions for 04/13/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	699.66	12/23/19	730.20	30.54 W	0.00	1 of 35 - Sale ²⁵
	1,738.000	1,216.02	12/23/19	1,269.09	53.07 W	0.00	2 of 35 - Sale ²⁵
	200.000	139.93	12/23/19	146.02	6.09 W	0.00	3 of 35 - Sale ²⁵
	10.000	7.00	12/23/19	7.30	0.30 W	0.00	4 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	5 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	6 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	7 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	8 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	9 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol: (cont'd)							
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	10 of 35 - Sale ²⁵
	100.000	69.96	12/23/19	73.01	3.05 W	0.00	11 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	12 of 35 - Sale ²⁵
	100.000	69.96	12/23/19	73.01	3.05 W	0.00	13 of 35 - Sale ²⁵
	100.000	69.96	12/23/19	73.01	3.05 W	0.00	14 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	15 of 35 - Sale ²⁵
	100.000	69.96	12/23/19	73.01	3.05 W	0.00	16 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	17 of 35 - Sale ²⁵
	100.000	69.96	12/23/19	73.01	3.05 W	0.00	18 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	19 of 35 - Sale ²⁵
	100.000	69.96	12/23/19	73.01	3.05 W	0.00	20 of 35 - Sale ²⁵
	200.000	139.93	12/23/19	146.02	6.09 W	0.00	21 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	22 of 35 - Sale ²⁵
	128.000	89.55	12/23/19	93.45	3.90 W	0.00	23 of 35 - Sale ²⁵
	18.000	12.60	12/23/19	13.14	0.54 W	0.00	24 of 35 - Sale ²⁵
	54.000	37.78	12/23/19	39.43	1.65 W	0.00	25 of 35 - Sale ²⁵
	46.000	32.19	12/23/19	33.58	1.39 W	0.00	26 of 35 - Sale ²⁵
	54.000	37.78	12/23/19	39.43	1.65 W	0.00	27 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	28 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	29 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	30 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	31 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	32 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	33 of 35 - Sale ²⁵
	100.000	69.97	12/23/19	73.01	3.04 W	0.00	34 of 35 - Sale ²⁵
	260.000	181.91	12/23/19	189.83	7.92 W	0.00	35 of 35 - Sale ²⁵
04/13/20	6,108.000	4,273.57	Various	4,459.73	186.16 W	0.00	Total of 35 transactions
04/14/20	8,108.000	5,796.13	04/03/20	3,730.49	...	2,065.64	Sale ²⁵
6 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	100.000	63.98	04/21/20	62.01	...	1.97	1 of 6 - Sale ²⁵
	100.000	63.98	04/21/20	62.01	...	1.97	2 of 6 - Sale ²⁵
	1,535.000	982.04	04/21/20	951.85	...	30.19	3 of 6 - Sale ²⁵
	2,235.000	1,429.88	04/21/20	1,385.92	...	43.96	4 of 6 - Sale ²⁵
	2,465.000	1,577.02	04/21/20	1,528.55	...	48.47	5 of 6 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 114832611
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol: (cont'd)							
04/21/20	3,565.000	2,280.76	04/21/20	2,210.66	...	70.10	6 of 6 - Sale ²⁵
	10,000.000	6,397.66	Various	6,201.00	...	196.66	Total of 6 transactions
	3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	5.000	3.20	04/22/20	3.10	...	0.10	1 of 3 - Sale ²⁵
	5.000	3.20	04/22/20	3.10	...	0.10	2 of 3 - Sale ²⁵
	37.000	23.68	04/22/20	22.94	...	0.74	3 of 3 - Sale ²⁵
04/22/20	47.000	30.08	Various	29.14	...	0.94	Total of 3 transactions
	39 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	2.000	1.36	04/22/20	1.24	...	0.12	1 of 39 - Sale ²⁵
	10.000	6.50	04/22/20	6.20	...	0.30	2 of 39 - Sale ²⁵
	8.000	5.44	04/22/20	4.96	...	0.48	3 of 39 - Sale ²⁵
	10.000	6.80	04/22/20	6.20	...	0.60	4 of 39 - Sale ²⁵
	10.000	6.80	04/22/20	6.20	...	0.60	5 of 39 - Sale ²⁵
	50.000	32.49	04/22/20	31.01	...	1.48	6 of 39 - Sale ²⁵
	30.000	20.39	04/22/20	18.60	...	1.79	7 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	8 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	9 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	10 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	11 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	12 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	13 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	14 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	15 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	16 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	17 of 39 - Sale ²⁵
	100.000	64.98	04/22/20	62.01	...	2.97	18 of 39 - Sale ²⁵
	200.000	129.96	04/22/20	124.02	...	5.94	19 of 39 - Sale ²⁵
	200.000	129.96	04/22/20	124.02	...	5.94	20 of 39 - Sale ²⁵
	200.000	129.96	04/22/20	124.02	...	5.94	21 of 39 - Sale ²⁵
	200.000	129.96	04/22/20	124.02	...	5.94	22 of 39 - Sale ²⁵
	200.000	129.96	04/22/20	124.02	...	5.94	23 of 39 - Sale ²⁵
	200.000	129.96	04/22/20	124.02	...	5.94	24 of 39 - Sale ²⁵
	200.000	129.96	04/22/20	124.02	...	5.94	25 of 39 - Sale ²⁵
	200.000	129.96	04/22/20	124.02	...	5.94	26 of 39 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol: (cont'd)							
	200.000	129.96	04/22/20	124.02	...	5.94	27 of 39 - Sale ²⁵
	125.000	84.97	04/22/20	77.51	...	7.46	28 of 39 - Sale ²⁵
	300.000	194.93	04/22/20	186.03	...	8.90	29 of 39 - Sale ²⁵
	250.000	168.70	04/22/20	155.03	...	13.67	30 of 39 - Sale ²⁵
	349.000	237.21	04/22/20	216.42	...	20.79	31 of 39 - Sale ²⁵
	1,000.000	649.76	04/22/20	620.10	...	29.66	32 of 39 - Sale ²⁵
	1,000.000	649.76	04/22/20	620.10	...	29.66	33 of 39 - Sale ²⁵
	500.000	339.84	04/22/20	310.05	...	29.79	34 of 39 - Sale ²⁵
	500.000	339.84	04/22/20	310.05	...	29.79	35 of 39 - Sale ²⁵
	1,359.000	883.03	04/22/20	842.72	...	40.31	36 of 39 - Sale ²⁵
	1,000.000	679.66	04/22/20	620.10	...	59.56	37 of 39 - Sale ²⁵
	1,500.000	1,012.14	04/22/20	930.15	...	81.99	38 of 39 - Sale ²⁵
	3,334.000	2,166.32	04/22/20	2,067.41	...	98.91	39 of 39 - Sale ²⁵
04/23/20	14,237.000	9,370.36	Various	8,828.37	...	541.99	Total of 39 transactions
	10 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	3.000	2.04	04/22/20	1.86	...	0.18	1 of 10 - Sale ²⁵
	67.000	45.54	04/22/20	41.55	...	3.99	2 of 10 - Sale ²⁵
	100.000	67.97	04/22/20	62.01	...	5.96	3 of 10 - Sale ²⁵
	100.000	67.97	04/22/20	62.01	...	5.96	4 of 10 - Sale ²⁵
	100.000	67.97	04/22/20	62.01	...	5.96	5 of 10 - Sale ²⁵
	200.000	135.94	04/22/20	124.02	...	11.92	6 of 10 - Sale ²⁵
	232.000	157.68	04/22/20	143.86	...	13.82	7 of 10 - Sale ²⁵
	700.000	475.77	04/22/20	434.07	...	41.70	8 of 10 - Sale ²⁵
	1,717.000	1,166.99	04/22/20	1,064.71	...	102.28	9 of 10 - Sale ²⁵
	2,497.000	1,697.12	04/22/20	1,548.39	...	148.73	10 of 10 - Sale ²⁵
04/24/20	5,716.000	3,884.99	Various	3,544.49	...	340.50	Total of 10 transactions
05/13/20	1.000	1.10	05/11/20	1.00	...	0.10	Sale ²⁵
	49 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	33.000	42.89	05/11/20	33.00	...	9.89	1 of 49 - Sale ²⁵
	47.000	63.44	05/11/20	47.00	...	16.44	2 of 49 - Sale ²⁵
	100.000	129.99	05/11/20	100.00	...	29.99	3 of 49 - Sale ²⁵
	193.000	250.87	05/11/20	193.00	...	57.87	4 of 49 - Sale ²⁵
	184.000	248.38	05/11/20	184.00	...	64.38	5 of 49 - Sale ²⁵
	274.000	356.16	05/11/20	274.00	...	82.16	6 of 49 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol: (cont'd)							
	400.000	519.93	05/11/20	400.00	...	119.93	7 of 49 - Sale ²⁵
	9.000	12.15	05/12/20	9.09	...	3.06	8 of 49 - Sale ²⁵
	10.000	14.00	05/12/20	10.10	...	3.90	9 of 49 - Sale ²⁵
	13.000	18.20	05/12/20	13.13	...	5.07	10 of 49 - Sale ²⁵
	41.000	57.39	05/12/20	41.41	...	15.98	11 of 49 - Sale ²⁵
	41.000	57.39	05/12/20	41.41	...	15.98	12 of 49 - Sale ²⁵
	44.000	61.60	05/12/20	44.44	...	17.16	13 of 49 - Sale ²⁵
	59.000	82.59	05/12/20	59.59	...	23.00	14 of 49 - Sale ²⁵
	59.000	82.59	05/12/20	59.59	...	23.00	15 of 49 - Sale ²⁵
	59.000	82.59	05/12/20	59.59	...	23.00	16 of 49 - Sale ²⁵
	91.000	122.84	05/12/20	91.91	...	30.93	17 of 49 - Sale ²⁵
	69.000	102.80	05/12/20	69.00	...	33.80	18 of 49 - Sale ²⁵
	87.000	121.79	05/12/20	87.87	...	33.92	19 of 49 - Sale ²⁵
	100.000	134.98	05/12/20	101.00	...	33.98	20 of 49 - Sale ²⁵
	100.000	134.98	05/12/20	101.00	...	33.98	21 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	22 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	23 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	24 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	25 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	26 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	27 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	28 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	29 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	30 of 49 - Sale ²⁵
	100.000	139.98	05/12/20	101.00	...	38.98	31 of 49 - Sale ²⁵
	100.000	139.99	05/12/20	101.00	...	38.99	32 of 49 - Sale ²⁵
	100.000	139.99	05/12/20	101.00	...	38.99	33 of 49 - Sale ²⁵
	100.000	139.99	05/12/20	101.00	...	38.99	34 of 49 - Sale ²⁵
	100.000	139.99	05/12/20	101.00	...	38.99	35 of 49 - Sale ²⁵
	100.000	139.99	05/12/20	101.00	...	38.99	36 of 49 - Sale ²⁵
	100.000	139.99	05/12/20	101.00	...	38.99	37 of 49 - Sale ²⁵
	100.000	139.99	05/12/20	101.00	...	38.99	38 of 49 - Sale ²⁵
	200.000	279.97	05/12/20	202.00	...	77.97	39 of 49 - Sale ²⁵
	200.000	279.97	05/12/20	202.00	...	77.97	40 of 49 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol: (cont'd)							
	169.000	251.78	05/12/20	169.00	...	82.78	41 of 49 - Sale ²⁵
	231.000	344.15	05/12/20	233.31	...	110.84	42 of 49 - Sale ²⁵
	287.000	401.76	05/12/20	289.87	...	111.89	43 of 49 - Sale ²⁵
	400.000	559.94	05/12/20	404.00	...	155.94	44 of 49 - Sale ²⁵
	400.000	559.94	05/12/20	404.00	...	155.94	45 of 49 - Sale ²⁵
	400.000	559.94	05/12/20	404.00	...	155.94	46 of 49 - Sale ²⁵
	469.000	633.08	05/12/20	473.69	...	159.39	47 of 49 - Sale ²⁵
	731.000	1,089.07	05/12/20	731.00	...	358.07	48 of 49 - Sale ²⁵
	800.000	1,191.87	05/12/20	800.00	...	391.87	49 of 49 - Sale ²⁵
05/19/20	8,000.000	11,228.75	Various	8,050.00	...	3,178.75	Total of 49 transactions
	4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	31.000	46.19	05/12/20	31.00	...	15.19	1 of 4 - Sale ²⁵
	800.000	1,191.88	05/13/20	808.00	...	383.88	2 of 4 - Sale ²⁵
	1,200.000	1,787.82	05/13/20	1,212.00	...	575.82	3 of 4 - Sale ²⁵
	1,000.000	1,489.84	05/14/20	1,010.00	...	479.84	4 of 4 - Sale ²⁵
05/27/20	3,031.000	4,515.73	Various	3,061.00	...	1,454.73	Total of 4 transactions
	6 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	32.000	42.56	05/28/20	42.56	...	0.00	1 of 6 - Sale ²⁵
	1.000	1.33	05/28/20	1.31	...	0.02	2 of 6 - Sale ²⁵
	34.000	45.22	05/28/20	44.54	...	0.68	3 of 6 - Sale ²⁵
	215.000	285.92	05/28/20	281.68	...	4.24	4 of 6 - Sale ²⁵
	312.000	414.91	05/28/20	408.77	...	6.14	5 of 6 - Sale ²⁵
	438.000	582.47	05/28/20	573.85	...	8.62	6 of 6 - Sale ²⁵
05/28/20	1,032.000	1,372.41	Various	1,352.71	...	19.70	Total of 6 transactions
05/28/20	968.000	1,287.29	05/28/20	1,287.44	0.15 W	0.00	Sale ²⁵
	Security total:	58,897.52		49,484.11	626.98 W	10,040.39	
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
	8 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	1.000	0.57	03/06/20	0.55	...	0.02	1 of 8 - Sale ²⁵
	9.000	5.14	03/06/20	4.95	...	0.19	2 of 8 - Sale ²⁵
	69.000	39.31	03/06/20	37.95	...	1.36	3 of 8 - Sale ²⁵
	177.000	101.14	03/06/20	97.35	...	3.79	4 of 8 - Sale ²⁵
	500.000	284.88	03/06/20	275.00	...	9.88	5 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	1,400.000	799.91	03/06/20	770.00	...	29.91	6 of 8 - Sale ²⁵
	1,800.000	1,025.58	03/06/20	990.00	...	35.58	7 of 8 - Sale ²⁵
	6,044.000	3,443.69	03/06/20	3,324.20	...	119.49	8 of 8 - Sale ²⁵
03/06/20	10,000.000	5,700.22	Various	5,500.00	...	200.22	Total of 8 transactions
	25 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	100.000	55.97	03/10/20	52.01	...	3.96	1 of 25 - Sale ²⁵
	100.000	55.98	03/10/20	52.01	...	3.97	2 of 25 - Sale ²⁵
	100.000	55.98	03/10/20	52.01	...	3.97	3 of 25 - Sale ²⁵
	100.000	55.98	03/10/20	52.01	...	3.97	4 of 25 - Sale ²⁵
	100.000	55.98	03/10/20	52.01	...	3.97	5 of 25 - Sale ²⁵
	100.000	55.98	03/10/20	52.01	...	3.97	6 of 25 - Sale ²⁵
	100.000	55.98	03/10/20	52.01	...	3.97	7 of 25 - Sale ²⁵
	100.000	55.98	03/10/20	52.01	...	3.97	8 of 25 - Sale ²⁵
	134.000	75.01	03/10/20	69.69	...	5.32	9 of 25 - Sale ²⁵
	166.000	92.92	03/10/20	86.34	...	6.58	10 of 25 - Sale ²⁵
	276.000	154.50	03/10/20	143.55	...	10.95	11 of 25 - Sale ²⁵
	300.000	167.93	03/10/20	156.03	...	11.90	12 of 25 - Sale ²⁵
	300.000	167.93	03/10/20	156.03	...	11.90	13 of 25 - Sale ²⁵
	300.000	167.93	03/10/20	156.03	...	11.90	14 of 25 - Sale ²⁵
	324.000	181.36	03/10/20	168.51	...	12.85	15 of 25 - Sale ²⁵
	500.000	279.89	03/10/20	260.05	...	19.84	16 of 25 - Sale ²⁵
	600.000	335.86	03/10/20	312.06	...	23.80	17 of 25 - Sale ²⁵
	600.000	335.86	03/10/20	312.06	...	23.80	18 of 25 - Sale ²⁵
	700.000	391.83	03/10/20	364.07	...	27.76	19 of 25 - Sale ²⁵
	700.000	391.83	03/10/20	364.07	...	27.76	20 of 25 - Sale ²⁵
	700.000	391.84	03/10/20	364.07	...	27.77	21 of 25 - Sale ²⁵
	700.000	391.84	03/10/20	364.07	...	27.77	22 of 25 - Sale ²⁵
	800.000	447.81	03/10/20	416.08	...	31.73	23 of 25 - Sale ²⁵
	800.000	447.81	03/10/20	416.08	...	31.73	24 of 25 - Sale ²⁵
	1,300.000	727.69	03/10/20	676.13	...	51.56	25 of 25 - Sale ²⁵
03/11/20	10,000.000	5,597.67	Various	5,201.00	...	396.67	Total of 25 transactions
03/17/20	5,000.000	1,849.35	03/11/20	2,250.50	401.15 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
<i>19 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	823.000	296.17	03/11/20	370.43	74.26 W	0.00	1 of 19 - Sale ²⁵
	882.000	317.41	03/11/20	467.75	150.34 W	0.00	2 of 19 - Sale ²⁵
	2,018.000	726.22	03/11/20	1,070.21	343.99 W	0.00	3 of 19 - Sale ²⁵
	150.000	53.98	03/11/20	79.55	25.57 W	0.00	4 of 19 - Sale ²⁵
	500.000	179.93	03/11/20	265.17	85.24 W	0.00	5 of 19 - Sale ²⁵
	332.000	119.48	03/11/20	176.07	56.59 W	0.00	6 of 19 - Sale ²⁵
	295.000	106.16	03/11/20	156.44	50.28 W	0.00	7 of 19 - Sale ²⁵
	61.000	21.95	03/18/20	31.75	9.80 W	0.00	8 of 19 - Sale ²⁵
	150.000	53.98	03/18/20	78.07	24.09 W	0.00	9 of 19 - Sale ²⁵
	304.000	109.40	03/18/20	158.23	48.83 W	0.00	10 of 19 - Sale ²⁵
	946.000	340.44	03/18/20	492.35	151.91 W	0.00	11 of 19 - Sale ²⁵
	700.000	251.91	03/18/20	301.16	49.25 W	0.00	12 of 19 - Sale ²⁵
	100.000	35.99	03/18/20	43.02	7.03 W	0.00	13 of 19 - Sale ²⁵
	577.000	207.65	03/18/20	254.01	46.36 W	0.00	14 of 19 - Sale ²⁵
	23.000	8.28	03/18/20	9.90	1.62 W	0.00	15 of 19 - Sale ²⁵
	272.000	97.89	03/18/20	141.57	43.68 W	0.00	16 of 19 - Sale ²⁵
	882.000	317.40	03/18/20	459.04	141.64 W	0.00	17 of 19 - Sale ²⁵
	246.000	88.53	03/18/20	108.30	19.77 W	0.00	18 of 19 - Sale ²⁵
	739.000	265.94	03/18/20	384.62	118.68 W	0.00	19 of 19 - Sale ²⁵
03/18/20	10,000.000	3,598.71	Various	5,047.64	1,448.93 W	0.00	Total of 19 transactions
<i>24 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	19.000	11.39	03/18/20	9.70	...	1.69	1 of 24 - Sale ²⁵
	23.000	13.79	03/18/20	9.67	...	4.12	2 of 24 - Sale ²⁵
	56.000	33.58	03/18/20	29.15	...	4.43	3 of 24 - Sale ²⁵
	26.000	15.59	03/18/20	10.93	...	4.66	4 of 24 - Sale ²⁵
	61.000	36.58	03/18/20	31.16	...	5.42	5 of 24 - Sale ²⁵
	131.000	78.56	03/18/20	66.90	...	11.66	6 of 24 - Sale ²⁵
	133.000	79.76	03/18/20	67.92	...	11.84	7 of 24 - Sale ²⁵
	74.000	44.38	03/18/20	31.11	...	13.27	8 of 24 - Sale ²⁵
	196.000	117.54	03/18/20	102.03	...	15.51	9 of 24 - Sale ²⁵
	200.000	119.94	03/18/20	102.14	...	17.80	10 of 24 - Sale ²⁵
	239.000	143.33	03/18/20	124.41	...	18.92	11 of 24 - Sale ²⁵
	272.000	163.11	03/18/20	138.91	...	24.20	12 of 24 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	332.000	199.09	03/18/20	172.82	...	26.27	13 of 24 - Sale ²⁵
	304.000	182.30	03/18/20	155.26	...	27.04	14 of 24 - Sale ²⁵
	369.000	221.28	03/18/20	188.44	...	32.84	15 of 24 - Sale ²⁵
	246.000	147.52	03/18/20	105.89	...	41.63	16 of 24 - Sale ²⁵
	549.000	329.22	03/18/20	280.37	...	48.85	17 of 24 - Sale ²⁵
	577.000	346.01	03/18/20	294.66	...	51.35	18 of 24 - Sale ²⁵
	739.000	443.15	03/18/20	377.41	...	65.74	19 of 24 - Sale ²⁵
	577.000	346.01	03/18/20	248.37	...	97.64	20 of 24 - Sale ²⁵
	700.000	419.76	03/18/20	294.32	...	125.44	21 of 24 - Sale ²⁵
	692.000	414.97	03/18/20	242.27	...	172.70	22 of 24 - Sale ²⁵
	1,600.000	959.46	03/18/20	560.16	...	399.30	23 of 24 - Sale ²⁵
	1,885.000	1,130.37	03/18/20	659.94	...	470.43	24 of 24 - Sale ²⁵
03/19/20	10,000.000	5,996.69	Various	4,303.94	...	1,692.75	Total of 24 transactions
	3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	4,200.000	1,763.45	03/19/20	1,953.00	...	-189.55	1 of 3 - Sale ²⁵
	600.000	251.93	03/19/20	279.00	...	-27.07	2 of 3 - Sale ²⁵
	200.000	83.97	03/19/20	93.00	...	-9.03	3 of 3 - Sale ²⁵
03/24/20	5,000.000	2,099.35	Various	2,325.00	...	-225.65	Total of 3 transactions
	Security total:	24,841.99		24,628.08	1,850.08 W	2,063.99	
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
	36 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	200.000	349.97	05/01/20	350.00	...	-0.03	1 of 36 - Sale ²⁵
	29.000	50.75	05/01/20	50.75	...	0.00	2 of 36 - Sale ²⁵
	29.000	50.75	05/01/20	50.75	...	0.00	3 of 36 - Sale ²⁵
	10.000	17.50	05/01/20	17.50	...	0.00	4 of 36 - Sale ²⁵
	3.000	5.25	05/01/20	5.25	...	0.00	5 of 36 - Sale ²⁵
	1.000	1.75	05/01/20	1.75	...	0.00	6 of 36 - Sale ²⁵
	5.000	8.75	05/01/20	8.75	...	0.00	7 of 36 - Sale ²⁵
	1.000	1.78	05/01/20	1.74	...	0.04	8 of 36 - Sale ²⁵
	15.000	26.25	05/01/20	26.18	...	0.07	9 of 36 - Sale ²⁵
	2.000	3.56	05/01/20	3.48	...	0.08	10 of 36 - Sale ²⁵
	4.000	7.12	05/01/20	6.96	...	0.16	11 of 36 - Sale ²⁵
	42.000	73.49	05/01/20	73.30	...	0.19	12 of 36 - Sale ²⁵

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(continued)

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VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
	71.000	124.24	05/01/20	123.92	...	0.32	13 of 36 - Sale ²⁵
	8.000	14.24	05/01/20	13.92	...	0.32	14 of 36 - Sale ²⁵
	71.000	124.24	05/01/20	123.90	...	0.34	15 of 36 - Sale ²⁵
	10.000	17.80	05/01/20	17.40	...	0.40	16 of 36 - Sale ²⁵
	100.000	174.98	05/01/20	174.50	...	0.48	17 of 36 - Sale ²⁵
	100.000	174.98	05/01/20	174.50	...	0.48	18 of 36 - Sale ²⁵
	100.000	174.98	05/01/20	174.50	...	0.48	19 of 36 - Sale ²⁵
	100.000	174.98	05/01/20	174.50	...	0.48	20 of 36 - Sale ²⁵
	100.000	174.98	05/01/20	174.50	...	0.48	21 of 36 - Sale ²⁵
	100.000	174.98	05/01/20	174.50	...	0.48	22 of 36 - Sale ²⁵
	100.000	174.98	05/01/20	174.50	...	0.48	23 of 36 - Sale ²⁵
	15.000	26.70	05/01/20	26.10	...	0.60	24 of 36 - Sale ²⁵
	16.000	28.48	05/01/20	27.84	...	0.64	25 of 36 - Sale ²⁵
	200.000	349.97	05/01/20	349.02	...	0.95	26 of 36 - Sale ²⁵
	200.000	349.97	05/01/20	349.00	...	0.97	27 of 36 - Sale ²⁵
	200.000	349.97	05/01/20	349.00	...	0.97	28 of 36 - Sale ²⁵
	25.000	44.50	05/01/20	43.50	...	1.00	29 of 36 - Sale ²⁵
	30.000	53.40	05/01/20	52.20	...	1.20	30 of 36 - Sale ²⁵
	300.000	524.95	05/01/20	523.50	...	1.45	31 of 36 - Sale ²⁵
	300.000	524.95	05/01/20	523.50	...	1.45	32 of 36 - Sale ²⁵
	401.000	701.69	05/01/20	699.75	...	1.94	33 of 36 - Sale ²⁵
	800.000	1,399.87	05/01/20	1,396.00	...	3.87	34 of 36 - Sale ²⁵
	800.000	1,399.87	05/01/20	1,396.00	...	3.87	35 of 36 - Sale ²⁵
	100.000	177.99	05/01/20	174.02	...	3.97	36 of 36 - Sale ²⁵
05/01/20	4,588.000	8,034.61	Various	8,006.48	...	28.13	Total of 36 transactions
	17 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	71.000	124.24	05/01/20	124.25	0.01 W	0.00	1 of 17 - Sale ²⁵
	71.000	124.24	05/01/20	124.26	0.02 W	0.00	2 of 17 - Sale ²⁵
	71.000	124.24	05/01/20	124.25	0.01 W	0.00	3 of 17 - Sale ²⁵
	15.000	26.25	05/01/20	26.26	0.01 W	0.00	4 of 17 - Sale ²⁵
	15.000	26.25	05/01/20	26.26	0.01 W	0.00	5 of 17 - Sale ²⁵
	42.000	73.50	05/01/20	73.51	0.01 W	0.00	6 of 17 - Sale ²⁵
	71.000	124.24	05/01/20	124.26	0.02 W	0.00	7 of 17 - Sale ²⁵
	71.000	124.24	05/01/20	124.25	0.01 W	0.00	8 of 17 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
	200.000	349.98	05/01/20	350.00	0.02 W	0.00	9 of 17 - Sale ²⁵
	1,296.000	2,267.80	05/01/20	2,268.00	0.20 W	0.00	10 of 17 - Sale ²⁵
	907.000	1,587.11	05/01/20	1,587.25	0.14 W	0.00	11 of 17 - Sale ²⁵
	1,493.000	2,612.53	05/01/20	2,612.75	0.22 W	0.00	12 of 17 - Sale ²⁵
	300.000	524.95	05/01/20	525.00	0.05 W	0.00	13 of 17 - Sale ²⁵
	200.000	349.97	05/01/20	350.00	0.03 W	0.00	14 of 17 - Sale ²⁵
	200.000	349.97	05/01/20	350.00	0.03 W	0.00	15 of 17 - Sale ²⁵
	300.000	524.96	05/01/20	525.00	0.04 W	0.00	16 of 17 - Sale ²⁵
	300.000	524.95	05/01/20	525.00	0.05 W	0.00	17 of 17 - Sale ²⁵
05/01/20	5,623.000	9,839.42	Various	9,840.30	0.88 W	0.00	Total of 17 transactions
	9 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	4.000	7.76	05/01/20	6.96	...	0.80	1 of 9 - Sale ²⁵
	200.000	387.97	05/01/20	348.03	...	39.94	2 of 9 - Sale ²⁵
	200.000	387.97	05/01/20	348.03	...	39.94	3 of 9 - Sale ²⁵
	300.000	581.95	05/01/20	522.05	...	59.90	4 of 9 - Sale ²⁵
	300.000	581.95	05/01/20	522.05	...	59.90	5 of 9 - Sale ²⁵
	300.000	581.95	05/01/20	522.04	...	59.91	6 of 9 - Sale ²⁵
	907.000	1,759.43	05/01/20	1,578.32	...	181.11	7 of 9 - Sale ²⁵
	1,085.000	2,104.72	05/01/20	1,888.08	...	216.64	8 of 9 - Sale ²⁵
	1,493.000	2,896.18	05/01/20	2,598.04	...	298.14	9 of 9 - Sale ²⁵
05/04/20	4,789.000	9,289.88	Various	8,333.60	...	956.28	Total of 9 transactions
	5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	245.000	431.16	06/11/20	423.85	...	7.31	1 of 5 - Sale ²⁵
	300.000	527.94	06/11/20	519.00	...	8.94	2 of 5 - Sale ²⁵
	1,255.000	2,208.60	06/11/20	2,171.15	...	37.45	3 of 5 - Sale ²⁵
	1,300.000	2,287.79	06/11/20	2,249.00	...	38.79	4 of 5 - Sale ²⁵
	1,900.000	3,343.70	06/11/20	3,287.00	...	56.70	5 of 5 - Sale ²⁵
06/15/20	5,000.000	8,799.19	Various	8,650.00	...	149.19	Total of 5 transactions
07/29/20	1,000.000	1,539.85	07/07/20	1,510.00	...	29.85	Sale ²⁵
	42 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	300.000	461.96	06/29/20	507.00	45.04 W	0.00	1 of 42 - Sale ²⁵
	2,000.000	3,079.69	06/29/20	3,380.00	300.31 W	0.00	2 of 42 - Sale ²⁵
	100.000	153.99	06/29/20	169.00	15.01 W	0.00	3 of 42 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
	600.000	923.90	06/29/20	1,014.00	90.10 W	0.00	4 of 42 - Sale ²⁵
	300.000	461.96	06/29/20	507.00	45.04 W	0.00	5 of 42 - Sale ²⁵
	600.000	923.90	06/29/20	1,014.00	90.10 W	0.00	6 of 42 - Sale ²⁵
	300.000	461.96	06/29/20	507.00	45.04 W	0.00	7 of 42 - Sale ²⁵
	600.000	923.90	06/29/20	1,014.00	90.10 W	0.00	8 of 42 - Sale ²⁵
	200.000	307.97	06/29/20	338.00	30.03 W	0.00	9 of 42 - Sale ²⁵
	300.000	461.95	06/30/20	540.04	78.09 W	0.00	10 of 42 - Sale ²⁵
	300.000	461.95	06/30/20	540.05	78.10 W	0.00	11 of 42 - Sale ²⁵
	400.000	615.93	06/30/20	720.06	104.13 W	0.00	12 of 42 - Sale ²⁵
	100.000	153.99	06/30/20	180.02	26.03 W	0.00	13 of 42 - Sale ²⁵
	900.000	1,385.85	06/30/20	1,620.13	234.28 W	0.00	14 of 42 - Sale ²⁵
	200.000	307.98	06/30/20	360.03	52.05 W	0.00	15 of 42 - Sale ²⁵
	90.000	138.59	06/30/20	162.01	23.42 W	0.00	16 of 42 - Sale ²⁵
	100.000	153.99	06/30/20	180.02	26.03 W	0.00	17 of 42 - Sale ²⁵
	10.000	15.40	06/30/20	18.00	2.60 W	0.00	18 of 42 - Sale ²⁵
	90.000	138.59	06/30/20	162.01	23.42 W	0.00	19 of 42 - Sale ²⁵
	300.000	461.95	06/30/20	540.04	78.09 W	0.00	20 of 42 - Sale ²⁵
	100.000	153.98	06/30/20	180.01	26.03 W	0.00	21 of 42 - Sale ²⁵
	510.000	785.32	06/30/20	918.08	132.76 W	0.00	22 of 42 - Sale ²⁵
	600.000	923.91	06/30/20	1,080.10	156.19 W	0.00	23 of 42 - Sale ²⁵
	200.000	307.97	06/30/20	360.03	52.06 W	0.00	24 of 42 - Sale ²⁵
	200.000	307.97	06/30/20	360.03	52.06 W	0.00	25 of 42 - Sale ²⁵
	600.000	923.91	06/30/20	1,080.10	156.19 W	0.00	26 of 42 - Sale ²⁵
	100.000	153.98	07/07/20	177.03	23.05 W	0.00	27 of 42 - Sale ²⁵
	300.000	461.95	07/07/20	531.09	69.14 W	0.00	28 of 42 - Sale ²⁵
	300.000	461.95	07/07/20	531.10	69.15 W	0.00	29 of 42 - Sale ²⁵
	400.000	615.94	07/07/20	708.13	92.19 W	0.00	30 of 42 - Sale ²⁵
	835.000	1,285.77	07/07/20	1,478.21	192.44 W	0.00	31 of 42 - Sale ²⁵
	10.000	15.40	07/07/20	17.70	2.30 W	0.00	32 of 42 - Sale ²⁵
	65.000	100.09	07/07/20	115.07	14.98 W	0.00	33 of 42 - Sale ²⁵
	68.000	104.71	07/07/20	120.38	15.67 W	0.00	34 of 42 - Sale ²⁵
	90.000	138.59	07/07/20	159.32	20.73 W	0.00	35 of 42 - Sale ²⁵
	100.000	153.99	07/07/20	177.03	23.04 W	0.00	36 of 42 - Sale ²⁵
	200.000	307.97	07/07/20	354.05	46.08 W	0.00	37 of 42 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
	22.000	33.88	07/07/20	38.94	5.06 W	0.00	38 of 42 - Sale ²⁵
	100.000	153.98	07/07/20	177.03	23.05 W	0.00	39 of 42 - Sale ²⁵
	300.000	461.95	07/07/20	531.09	69.14 W	0.00	40 of 42 - Sale ²⁵
	510.000	785.32	07/07/20	902.86	117.54 W	0.00	41 of 42 - Sale ²⁵
	600.000	923.91	07/07/20	1,062.19	138.28 W	0.00	42 of 42 - Sale ²⁵
07/29/20	14,000.000	21,557.84	Various	24,531.98	2,974.14 W	0.00	Total of 42 transactions
	28 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	10.000	15.40	07/29/20	14.20	...	1.20	1 of 28 - Sale ²⁵
	22.000	33.88	07/29/20	31.24	...	2.64	2 of 28 - Sale ²⁵
	33.000	50.81	07/29/20	46.89	...	3.92	3 of 28 - Sale ²⁵
	33.000	50.82	07/29/20	46.87	...	3.95	4 of 28 - Sale ²⁵
	65.000	100.09	07/29/20	92.34	...	7.75	5 of 28 - Sale ²⁵
	67.000	103.17	07/29/20	95.18	...	7.99	6 of 28 - Sale ²⁵
	68.000	104.71	07/29/20	96.60	...	8.11	7 of 28 - Sale ²⁵
	90.000	138.59	07/29/20	127.84	...	10.75	8 of 28 - Sale ²⁵
	100.000	153.98	07/29/20	142.07	...	11.91	9 of 28 - Sale ²⁵
	100.000	153.98	07/29/20	142.07	...	11.91	10 of 28 - Sale ²⁵
	100.000	153.98	07/29/20	142.06	...	11.92	11 of 28 - Sale ²⁵
	100.000	153.98	07/29/20	142.06	...	11.92	12 of 28 - Sale ²⁵
	100.000	153.98	07/29/20	142.06	...	11.92	13 of 28 - Sale ²⁵
	100.000	153.99	07/29/20	142.06	...	11.93	14 of 28 - Sale ²⁵
	126.000	194.02	07/29/20	179.00	...	15.02	15 of 28 - Sale ²⁵
	200.000	307.97	07/29/20	290.09	...	17.88	16 of 28 - Sale ²⁵
	200.000	307.97	07/29/20	290.09	...	17.88	17 of 28 - Sale ²⁵
	167.000	257.15	07/29/20	237.24	...	19.91	18 of 28 - Sale ²⁵
	200.000	307.97	07/29/20	284.12	...	23.85	19 of 28 - Sale ²⁵
	200.000	307.97	07/29/20	284.12	...	23.85	20 of 28 - Sale ²⁵
	200.000	307.97	07/29/20	284.11	...	23.86	21 of 28 - Sale ²⁵
	300.000	461.95	07/29/20	426.20	...	35.75	22 of 28 - Sale ²⁵
	300.000	461.95	07/29/20	426.19	...	35.76	23 of 28 - Sale ²⁵
	335.000	515.85	07/29/20	475.91	...	39.94	24 of 28 - Sale ²⁵
	374.000	575.90	07/29/20	531.31	...	44.59	25 of 28 - Sale ²⁵
	400.000	615.94	07/29/20	568.25	...	47.69	26 of 28 - Sale ²⁵
	410.000	631.34	07/29/20	582.45	...	48.89	27 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
08/04/20	600.000	923.91	07/29/20	870.28	...	53.63	28 of 28 - Sale ²⁵
	5,000.000	7,699.22	Various	7,132.90	...	566.32	Total of 28 transactions
	26 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	100.000	153.99	07/13/20	155.05	1.06 W	0.00	1 of 26 - Sale ²⁵
	200.000	307.97	07/13/20	310.09	2.12 W	0.00	2 of 26 - Sale ²⁵
	200.000	307.97	07/13/20	316.06	8.09 W	0.00	3 of 26 - Sale ²⁵
	200.000	307.97	07/13/20	316.06	8.09 W	0.00	4 of 26 - Sale ²⁵
	600.000	923.91	07/13/20	948.19	24.28 W	0.00	5 of 26 - Sale ²⁵
	100.000	153.98	07/13/20	155.05	1.07 W	0.00	6 of 26 - Sale ²⁵
	300.000	461.95	07/13/20	465.15	3.20 W	0.00	7 of 26 - Sale ²⁵
	400.000	615.94	07/13/20	620.19	4.25 W	0.00	8 of 26 - Sale ²⁵
	500.000	769.92	07/13/20	775.23	5.31 W	0.00	9 of 26 - Sale ²⁵
	10.000	15.40	07/13/20	15.50	0.10 W	0.00	10 of 26 - Sale ²⁵
	22.000	33.88	07/13/20	34.10	0.22 W	0.00	11 of 26 - Sale ²⁵
	65.000	100.09	07/13/20	100.78	0.69 W	0.00	12 of 26 - Sale ²⁵
	68.000	104.71	07/13/20	105.43	0.72 W	0.00	13 of 26 - Sale ²⁵
	90.000	138.59	07/13/20	139.53	0.94 W	0.00	14 of 26 - Sale ²⁵
	100.000	153.98	07/13/20	155.04	1.06 W	0.00	15 of 26 - Sale ²⁵
	200.000	307.97	07/13/20	310.08	2.11 W	0.00	16 of 26 - Sale ²⁵
	335.000	515.85	07/13/20	519.41	3.56 W	0.00	17 of 26 - Sale ²⁵
	410.000	631.34	07/13/20	635.69	4.35 W	0.00	18 of 26 - Sale ²⁵
	100.000	153.98	07/13/20	155.05	1.07 W	0.00	19 of 26 - Sale ²⁵
	300.000	461.95	07/13/20	465.14	3.19 W	0.00	20 of 26 - Sale ²⁵
	267.000	411.14	07/13/20	413.97	2.83 W	0.00	21 of 26 - Sale ²⁵
	200.000	307.98	07/13/20	310.10	2.12 W	0.00	22 of 26 - Sale ²⁵
	100.000	153.99	07/13/20	155.05	1.06 W	0.00	23 of 26 - Sale ²⁵
	67.000	103.17	07/13/20	103.88	0.71 W	0.00	24 of 26 - Sale ²⁵
	33.000	50.82	07/13/20	51.16	0.34 W	0.00	25 of 26 - Sale ²⁵
	33.000	50.81	07/13/20	51.17	0.36 W	0.00	26 of 26 - Sale ²⁵
08/04/20	5,000.000	7,699.25	Various	7,782.15	82.90 W	0.00	Total of 26 transactions
	10 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	500.000	639.93	08/24/20	730.16	...	-90.23	1 of 10 - Sale ²⁵
	1,300.000	1,663.80	08/24/20	1,742.00	...	-78.20	2 of 10 - Sale ²⁵
	500.000	639.93	08/24/20	670.00	...	-30.07	3 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
	200.000	255.97	08/24/20	280.03	...	-24.06	4 of 10 - Sale ²⁵
	88.000	112.63	08/24/20	128.50	...	-15.87	5 of 10 - Sale ²⁵
	88.000	112.63	08/24/20	123.21	...	-10.58	6 of 10 - Sale ²⁵
	12.000	15.36	08/24/20	17.52	...	-2.16	7 of 10 - Sale ²⁵
	12.000	15.36	08/24/20	16.80	...	-1.44	8 of 10 - Sale ²⁵
	100.000	127.99	09/02/20	133.03	...	-5.04	9 of 10 - Sale ²⁵
	4,900.000	6,271.27	09/02/20	5,929.00	...	342.27	10 of 10 - Sale ²⁵
09/10/20	7,700.000	9,854.87	Various	9,770.25	...	84.62	Total of 10 transactions
	8 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	400.000	511.94	08/24/20	560.07	12.03 W	-36.10	1 of 8 - Sale ²⁵
	588.000	752.55	08/24/20	787.92	35.37 W	0.00	2 of 8 - Sale ²⁵
	412.000	527.29	08/24/20	552.08	24.79 W	0.00	3 of 8 - Sale ²⁵
	88.000	112.63	08/24/20	117.92	5.29 W	0.00	4 of 8 - Sale ²⁵
	212.000	271.33	08/24/20	284.08	12.75 W	0.00	5 of 8 - Sale ²⁵
	500.000	639.92	08/24/20	700.08	60.16 W	0.00	6 of 8 - Sale ²⁵
	12.000	15.36	08/24/20	16.80	1.44 W	0.00	7 of 8 - Sale ²⁵
	88.000	112.63	08/24/20	123.21	10.58 W	0.00	8 of 8 - Sale ²⁵
09/10/20	2,300.000	2,943.65	Various	3,142.16	162.41 W	-36.10	Total of 8 transactions
	14 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	5.000	6.05	10/28/20	5.75	...	0.30	1 of 14 - Sale ²⁵
	20.000	24.20	10/28/20	23.00	...	1.20	2 of 14 - Sale ²⁵
	24.000	29.04	10/28/20	27.60	...	1.44	3 of 14 - Sale ²⁵
	100.000	120.99	10/28/20	115.00	...	5.99	4 of 14 - Sale ²⁵
	100.000	120.99	10/28/20	115.00	...	5.99	5 of 14 - Sale ²⁵
	100.000	120.99	10/28/20	115.00	...	5.99	6 of 14 - Sale ²⁵
	100.000	120.99	10/28/20	115.00	...	5.99	7 of 14 - Sale ²⁵
	376.000	454.90	10/28/20	432.40	...	22.50	8 of 14 - Sale ²⁵
	500.000	604.92	10/28/20	575.00	...	29.92	9 of 14 - Sale ²⁵
	500.000	604.92	10/28/20	575.00	...	29.92	10 of 14 - Sale ²⁵
	600.000	725.91	10/28/20	690.00	...	35.91	11 of 14 - Sale ²⁵
	676.000	817.86	10/28/20	777.40	...	40.46	12 of 14 - Sale ²⁵
	3,000.000	3,629.55	10/28/20	3,450.00	...	179.55	13 of 14 - Sale ²⁵
	3,899.000	4,717.22	10/28/20	4,483.85	...	233.37	14 of 14 - Sale ²⁵
11/02/20	10,000.000	12,098.53	Various	11,500.00	...	598.53	Total of 14 transactions

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
Security total:		99,356.31		100,199.82	3,220.33 W	2,376.82	
VINCO VENTURES, INC. COMMON STOCK / CUSIP: 927330100 / Symbol:							
16 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	17.000	27.03	11/25/20	24.31	...	2.72	1 of 16 - Sale ²⁵
	30.000	47.70	11/25/20	42.00	...	5.70	2 of 16 - Sale ²⁵
	50.000	79.50	11/25/20	71.50	...	8.00	3 of 16 - Sale ²⁵
	83.000	131.96	11/25/20	118.69	...	13.27	4 of 16 - Sale ²⁵
	100.000	158.99	11/25/20	143.00	...	15.99	5 of 16 - Sale ²⁵
	100.000	158.99	11/25/20	143.00	...	15.99	6 of 16 - Sale ²⁵
	100.000	158.98	11/25/20	140.00	...	18.98	7 of 16 - Sale ²⁵
	100.000	158.99	11/25/20	140.00	...	18.99	8 of 16 - Sale ²⁵
	300.000	476.96	11/25/20	429.00	...	47.96	9 of 16 - Sale ²⁵
	270.000	429.26	11/25/20	378.00	...	51.26	10 of 16 - Sale ²⁵
	475.000	755.18	11/25/20	679.25	...	75.93	11 of 16 - Sale ²⁵
	500.000	794.92	11/25/20	700.00	...	94.92	12 of 16 - Sale ²⁵
	650.000	1,033.39	11/25/20	929.50	...	103.89	13 of 16 - Sale ²⁵
	700.000	1,112.89	11/25/20	1,001.00	...	111.89	14 of 16 - Sale ²⁵
	2,525.000	4,014.36	11/25/20	3,610.75	...	403.61	15 of 16 - Sale ²⁵
	470.000	747.22	11/30/20	634.50	...	112.72	16 of 16 - Sale ²⁵
12/01/20	6,470.000	10,286.32	Various	9,184.50	...	1,101.82	Total of 16 transactions
12/28/20	3.000	4.50	11/30/20	4.05	...	0.45	Sale ²⁵
16 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	24.000	36.24	11/30/20	32.40	...	3.84	1 of 16 - Sale ²⁵
	698.000	1,053.87	11/30/20	942.30	...	111.57	2 of 16 - Sale ²⁵
	700.000	1,056.89	11/30/20	945.00	...	111.89	3 of 16 - Sale ²⁵
	1,005.000	1,517.39	11/30/20	1,356.75	...	160.64	4 of 16 - Sale ²⁵
	1,100.000	1,660.83	11/30/20	1,485.00	...	175.83	5 of 16 - Sale ²⁵
	8.000	12.08	12/15/20	10.80	...	1.28	6 of 16 - Sale ²⁵
	8.000	12.08	12/15/20	10.80	...	1.28	7 of 16 - Sale ²⁵
	8.000	12.08	12/15/20	10.80	...	1.28	8 of 16 - Sale ²⁵
	17.000	25.67	12/15/20	22.95	...	2.72	9 of 16 - Sale ²⁵
	35.000	52.84	12/15/20	47.25	...	5.59	10 of 16 - Sale ²⁵
	36.000	54.35	12/15/20	48.60	...	5.75	11 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol: (cont'd)							
	99.000	149.47	12/15/20	133.65	...	15.82	12 of 16 - Sale ²⁵
	343.000	517.88	12/15/20	463.05	...	54.83	13 of 16 - Sale ²⁵
	1,334.000	2,014.14	12/15/20	1,800.90	...	213.24	14 of 16 - Sale ²⁵
	3,112.000	4,698.64	12/15/20	4,201.20	...	497.44	15 of 16 - Sale ²⁵
	1,500.000	2,264.77	12/17/20	2,010.00	...	254.77	16 of 16 - Sale ²⁵
12/29/20	10,027.000	15,139.22	Various	13,521.45	...	1,617.77	Total of 16 transactions
	Security total:	25,430.04		22,710.00	...	2,720.04	
VIVEVE MEDICAL, INC. COMMON ST OCK / CUSIP: 92852W303 / Symbol:							
23 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	73.000	49.62	04/16/20	48.21	...	1.41	1 of 23 - Sale ²⁵
	113.000	76.81	04/16/20	74.63	...	2.18	2 of 23 - Sale ²⁵
	100.000	68.49	04/16/20	66.04	...	2.45	3 of 23 - Sale ²⁵
	100.000	68.49	04/16/20	66.04	...	2.45	4 of 23 - Sale ²⁵
	100.000	68.49	04/16/20	66.04	...	2.45	5 of 23 - Sale ²⁵
	100.000	68.49	04/16/20	66.04	...	2.45	6 of 23 - Sale ²⁵
	140.000	95.17	04/16/20	92.46	...	2.71	7 of 23 - Sale ²⁵
	174.000	118.28	04/16/20	114.91	...	3.37	8 of 23 - Sale ²⁵
	181.000	123.04	04/16/20	119.53	...	3.51	9 of 23 - Sale ²⁵
	200.000	135.95	04/16/20	132.08	...	3.87	10 of 23 - Sale ²⁵
	212.000	144.11	04/16/20	140.00	...	4.11	11 of 23 - Sale ²⁵
	274.000	186.26	04/16/20	180.95	...	5.31	12 of 23 - Sale ²⁵
	220.000	150.67	04/16/20	145.29	...	5.38	13 of 23 - Sale ²⁵
	280.000	190.33	04/16/20	184.91	...	5.42	14 of 23 - Sale ²⁵
	294.000	199.85	04/16/20	194.16	...	5.69	15 of 23 - Sale ²⁵
	462.000	314.05	04/16/20	305.10	...	8.95	16 of 23 - Sale ²⁵
	500.000	339.88	04/16/20	330.20	...	9.68	17 of 23 - Sale ²⁵
	598.000	406.50	04/16/20	394.92	...	11.58	18 of 23 - Sale ²⁵
	926.000	629.46	04/16/20	611.53	...	17.93	19 of 23 - Sale ²⁵
	1,026.000	697.44	04/16/20	677.57	...	19.87	20 of 23 - Sale ²⁵
	1,080.000	734.14	04/16/20	713.23	...	20.91	21 of 23 - Sale ²⁵
	1,547.000	1,051.60	04/16/20	1,021.63	...	29.97	22 of 23 - Sale ²⁵
	1,300.000	890.31	04/16/20	858.52	...	31.79	23 of 23 - Sale ²⁵
04/16/20	10,000.000	6,807.43	Various	6,603.99	...	203.44	Total of 23 transactions

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Robinhood Securities LLC

Account 114832611

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(continued)

02/14/2021

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ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H202 / Symbol:							
<i>9 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	142.98	08/12/20	133.00	...	9.98	1 of 9 - Sale ²⁵
	100.000	142.99	08/12/20	133.00	...	9.99	2 of 9 - Sale ²⁵
	100.000	142.99	08/12/20	133.00	...	9.99	3 of 9 - Sale ²⁵
	124.000	177.30	08/12/20	164.92	...	12.38	4 of 9 - Sale ²⁵
	400.000	571.94	08/12/20	532.00	...	39.94	5 of 9 - Sale ²⁵
	500.000	714.92	08/12/20	665.00	...	49.92	6 of 9 - Sale ²⁵
	800.000	1,143.88	08/12/20	1,064.00	...	79.88	7 of 9 - Sale ²⁵
	900.000	1,286.86	08/12/20	1,197.00	...	89.86	8 of 9 - Sale ²⁵
	6,976.000	9,974.63	08/12/20	9,278.08	...	696.55	9 of 9 - Sale ²⁵
08/14/20	10,000.000	14,298.49	Various	13,300.00	...	998.49	Total of 9 transactions
BORQS TECHNOLOGIES, INC. ORDIN ARY SHARES / CUSIP: G1466B103 / Symbol:							
<i>6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	70.000	76.29	12/09/20	75.61	...	0.68	1 of 6 - Sale ²⁵
	195.000	212.52	12/09/20	210.63	...	1.89	2 of 6 - Sale ²⁵
	198.000	215.79	12/09/20	213.90	...	1.89	3 of 6 - Sale ²⁵
	200.000	217.97	12/09/20	216.03	...	1.94	4 of 6 - Sale ²⁵
	262.000	285.54	12/09/20	280.38	...	5.16	5 of 6 - Sale ²⁵
	735.000	801.05	12/09/20	786.55	...	14.50	6 of 6 - Sale ²⁵
12/22/20	1,660.000	1,809.16	Various	1,783.10	...	26.06	Total of 6 transactions
<i>17 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	435.94	12/08/20	440.00	4.06 W	0.00	1 of 17 - Sale ²⁵
	45.000	49.04	12/08/20	49.50	0.46 W	0.00	2 of 17 - Sale ²⁵
	1,694.000	1,846.22	12/08/20	1,863.40	17.18 W	0.00	3 of 17 - Sale ²⁵
	25.000	27.25	12/08/20	27.50	0.25 W	0.00	4 of 17 - Sale ²⁵
	2,300.000	2,506.67	12/08/20	2,530.00	23.33 W	0.00	5 of 17 - Sale ²⁵
	70.000	76.29	12/08/20	77.00	0.71 W	0.00	6 of 17 - Sale ²⁵
	200.000	217.97	12/08/20	220.00	2.03 W	0.00	7 of 17 - Sale ²⁵
	997.000	1,086.59	12/09/20	1,086.73	0.14 W	0.00	8 of 17 - Sale ²⁵
	45.000	49.04	12/09/20	49.51	0.47 W	0.00	9 of 17 - Sale ²⁵
	400.000	435.94	12/09/20	440.06	4.12 W	0.00	10 of 17 - Sale ²⁵
	1,268.000	1,381.94	12/09/20	1,394.98	13.04 W	0.00	11 of 17 - Sale ²⁵
	138.000	150.40	12/09/20	151.82	1.42 W	0.00	12 of 17 - Sale ²⁵

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(continued)

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BORQS TECHNOLOGIES, INC. ORDINARY SHARES / CUSIP: G1466B103 / Symbol: (cont'd)							
	25.000	27.25	12/09/20	27.50	0.25 W	0.00	13 of 17 - Sale ²⁵
	288.000	313.88	12/09/20	316.84	2.96 W	0.00	14 of 17 - Sale ²⁵
	549.000	598.33	12/09/20	603.98	5.65 W	0.00	15 of 17 - Sale ²⁵
	1,000.000	1,089.85	12/09/20	1,100.14	10.29 W	0.00	16 of 17 - Sale ²⁵
	556.000	605.96	12/09/20	611.68	5.72 W	0.00	17 of 17 - Sale ²⁵
12/22/20	10,000.000	10,898.56	Various	10,990.64	92.08 W	0.00	Total of 17 transactions
	Security total:	12,707.72		12,773.74	92.08 W	26.06	
CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol:							
14 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1,300.000	597.83	04/27/20	598.26	0.43 W	0.00	1 of 14 - Sale ²⁵
	407.000	187.17	04/27/20	187.30	0.13 W	0.00	2 of 14 - Sale ²⁵
	1,193.000	548.62	04/27/20	549.41	0.79 W	0.00	3 of 14 - Sale ²⁵
	107.000	49.21	04/27/20	49.28	0.07 W	0.00	4 of 14 - Sale ²⁵
	407.000	187.17	04/27/20	187.43	0.26 W	0.00	5 of 14 - Sale ²⁵
	986.000	453.43	04/27/20	453.76	0.33 W	0.00	6 of 14 - Sale ²⁵
	1,600.000	735.79	04/27/20	736.32	0.53 W	0.00	7 of 14 - Sale ²⁵
	500.000	229.94	04/27/20	230.10	0.16 W	0.00	8 of 14 - Sale ²⁵
	200.000	91.97	04/27/20	92.04	0.07 W	0.00	9 of 14 - Sale ²⁵
	500.000	229.94	04/27/20	230.10	0.16 W	0.00	10 of 14 - Sale ²⁵
	500.000	229.93	04/27/20	230.10	0.17 W	0.00	11 of 14 - Sale ²⁵
	99.000	45.53	04/27/20	45.56	0.03 W	0.00	12 of 14 - Sale ²⁵
	1,500.000	689.80	04/27/20	690.30	0.50 W	0.00	13 of 14 - Sale ²⁵
	701.000	322.37	04/27/20	322.60	0.23 W	0.00	14 of 14 - Sale ²⁵
05/04/20	10,000.000	4,598.70	Various	4,602.56	3.86 W	0.00	Total of 14 transactions
24 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	0.51	04/27/20	0.46	...	0.05	1 of 24 - Sale ²⁵
	93.000	47.40	04/27/20	42.83	...	4.57	2 of 24 - Sale ²⁵
	93.000	47.40	04/27/20	42.83	...	4.57	3 of 24 - Sale ²⁵
	99.000	50.46	04/27/20	45.59	...	4.87	4 of 24 - Sale ²⁵
	100.000	50.96	04/27/20	46.02	...	4.94	5 of 24 - Sale ²⁵
	100.000	50.97	04/27/20	46.02	...	4.95	6 of 24 - Sale ²⁵
	107.000	54.53	04/27/20	49.31	...	5.22	7 of 24 - Sale ²⁵
	186.000	94.80	04/27/20	85.66	...	9.14	8 of 24 - Sale ²⁵

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(continued)

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CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol: (cont'd)							
	192.000	97.86	04/27/20	88.36	...	9.50	9 of 24 - Sale ²⁵
	200.000	101.93	04/27/20	92.11	...	9.82	10 of 24 - Sale ²⁵
	314.000	160.04	04/27/20	144.61	...	15.43	11 of 24 - Sale ²⁵
	394.000	200.81	04/27/20	181.58	...	19.23	12 of 24 - Sale ²⁵
	394.000	200.81	04/27/20	181.45	...	19.36	13 of 24 - Sale ²⁵
	407.000	207.44	04/27/20	187.56	...	19.88	14 of 24 - Sale ²⁵
	494.000	251.78	04/27/20	227.50	...	24.28	15 of 24 - Sale ²⁵
	500.000	254.83	04/27/20	230.26	...	24.57	16 of 24 - Sale ²⁵
	500.000	254.83	04/27/20	230.26	...	24.57	17 of 24 - Sale ²⁵
	515.000	262.48	04/27/20	237.17	...	25.31	18 of 24 - Sale ²⁵
	515.000	262.48	04/27/20	237.17	...	25.31	19 of 24 - Sale ²⁵
	592.000	301.72	04/27/20	272.64	...	29.08	20 of 24 - Sale ²⁵
	799.000	407.23	04/27/20	368.23	...	39.00	21 of 24 - Sale ²⁵
	985.000	502.02	04/27/20	453.63	...	48.39	22 of 24 - Sale ²⁵
	1,106.000	563.69	04/27/20	509.35	...	54.34	23 of 24 - Sale ²⁵
	1,314.000	669.71	04/27/20	604.70	...	65.01	24 of 24 - Sale ²⁵
05/05/20	10,000.000	5,096.69	Various	4,605.30	...	491.39	Total of 24 transactions
	39 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	100.000	46.89	05/14/20	46.59	...	0.30	1 of 39 - Sale ²⁵
	500.000	234.43	05/14/20	232.97	...	1.46	2 of 39 - Sale ²⁵
	700.000	328.21	05/14/20	326.15	...	2.06	3 of 39 - Sale ²⁵
	700.000	328.21	05/14/20	326.15	...	2.06	4 of 39 - Sale ²⁵
	700.000	328.21	05/14/20	326.15	...	2.06	5 of 39 - Sale ²⁵
	800.000	375.10	05/14/20	372.74	...	2.36	6 of 39 - Sale ²⁵
	800.000	375.10	05/14/20	372.74	...	2.36	7 of 39 - Sale ²⁵
	371.000	173.95	05/22/20	167.32	...	6.63	8 of 39 - Sale ²⁵
	489.000	229.27	05/22/20	220.56	...	8.71	9 of 39 - Sale ²⁵
	511.000	239.59	05/22/20	230.48	...	9.11	10 of 39 - Sale ²⁵
	800.000	375.10	05/22/20	360.82	...	14.28	11 of 39 - Sale ²⁵
	889.000	416.83	05/22/20	400.96	...	15.87	12 of 39 - Sale ²⁵
	911.000	427.14	05/22/20	410.89	...	16.25	13 of 39 - Sale ²⁵
	1,029.000	482.47	05/22/20	464.12	...	18.35	14 of 39 - Sale ²⁵
	21.000	9.85	05/26/20	9.27	...	0.58	15 of 39 - Sale ²⁵
	79.000	37.04	05/26/20	32.51	...	4.53	16 of 39 - Sale ²⁵

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(continued)

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CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol: (cont'd)							
	600.000	281.32	05/26/20	264.79	...	16.53	17 of 39 - Sale ²⁵
	79.000	37.04	05/29/20	32.12	...	4.92	18 of 39 - Sale ²⁵
	79.000	37.04	05/29/20	32.12	...	4.92	19 of 39 - Sale ²⁵
	100.000	46.89	05/29/20	40.65	...	6.24	20 of 39 - Sale ²⁵
	188.000	88.15	05/29/20	76.43	...	11.72	21 of 39 - Sale ²⁵
	200.000	93.77	05/29/20	81.32	...	12.45	22 of 39 - Sale ²⁵
	200.000	93.77	05/29/20	81.32	...	12.45	23 of 39 - Sale ²⁵
	212.000	99.40	05/29/20	86.19	...	13.21	24 of 39 - Sale ²⁵
	154.000	72.21	05/29/20	58.55	...	13.66	25 of 39 - Sale ²⁵
	200.000	93.77	05/29/20	76.04	...	17.73	26 of 39 - Sale ²⁵
	362.000	169.73	05/29/20	147.17	...	22.56	27 of 39 - Sale ²⁵
	279.000	130.81	05/29/20	106.08	...	24.73	28 of 39 - Sale ²⁵
	400.000	187.55	05/29/20	162.62	...	24.93	29 of 39 - Sale ²⁵
	400.000	187.55	05/29/20	162.62	...	24.93	30 of 39 - Sale ²⁵
	450.000	210.99	05/29/20	182.95	...	28.04	31 of 39 - Sale ²⁵
	488.000	228.81	05/29/20	198.39	...	30.42	32 of 39 - Sale ²⁵
	400.000	187.55	05/29/20	152.08	...	35.47	33 of 39 - Sale ²⁵
	621.000	291.17	05/29/20	255.39	...	35.78	34 of 39 - Sale ²⁵
	621.000	291.17	05/29/20	255.28	...	35.89	35 of 39 - Sale ²⁵
	600.000	281.32	05/29/20	243.94	...	37.38	36 of 39 - Sale ²⁵
	621.000	291.17	05/29/20	240.05	...	51.12	37 of 39 - Sale ²⁵
	2,846.000	1,334.40	05/29/20	1,082.05	...	252.35	38 of 39 - Sale ²⁵
	5,500.000	2,578.79	05/29/20	2,091.10	...	487.69	39 of 39 - Sale ²⁵
06/08/20	25,000.000	11,721.76	Various	10,409.67	...	1,312.09	Total of 39 transactions
<i>35 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	1,321.000	600.88	05/07/20	660.76	59.88 W	0.00	1 of 35 - Sale ²⁵
	79.000	36.29	05/07/20	39.52	3.23 W	0.00	2 of 35 - Sale ²⁵
	100.000	45.94	05/07/20	50.02	4.08 W	0.00	3 of 35 - Sale ²⁵
	200.000	91.87	05/07/20	100.04	8.17 W	0.00	4 of 35 - Sale ²⁵
	1,300.000	597.18	05/07/20	650.26	53.08 W	0.00	5 of 35 - Sale ²⁵
	400.000	183.75	05/07/20	200.08	16.33 W	0.00	6 of 35 - Sale ²⁵
	400.000	183.75	05/07/20	200.08	16.33 W	0.00	7 of 35 - Sale ²⁵
	200.000	91.87	05/07/20	100.04	8.17 W	0.00	8 of 35 - Sale ²⁵
	400.000	183.75	05/07/20	200.08	16.33 W	0.00	9 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol: (cont'd)							
	600.000	275.62	05/07/20	300.12	24.50 W	0.00	10 of 35 - Sale ²⁵
	800.000	367.50	05/07/20	400.16	32.66 W	0.00	11 of 35 - Sale ²⁵
	800.000	367.50	05/07/20	400.16	32.66 W	0.00	12 of 35 - Sale ²⁵
	800.000	367.50	05/07/20	400.16	32.66 W	0.00	13 of 35 - Sale ²⁵
	700.000	321.56	05/07/20	350.14	28.58 W	0.00	14 of 35 - Sale ²⁵
	500.000	229.68	05/07/20	250.10	20.42 W	0.00	15 of 35 - Sale ²⁵
	700.000	321.56	05/07/20	350.14	28.58 W	0.00	16 of 35 - Sale ²⁵
	700.000	321.56	05/07/20	350.14	28.58 W	0.00	17 of 35 - Sale ²⁵
	1,400.000	643.11	05/07/20	700.28	57.17 W	0.00	18 of 35 - Sale ²⁵
	1,400.000	643.12	05/07/20	700.28	57.16 W	0.00	19 of 35 - Sale ²⁵
	371.000	170.43	05/07/20	185.57	15.14 W	0.00	20 of 35 - Sale ²⁵
	1,029.000	472.69	05/07/20	514.71	42.02 W	0.00	21 of 35 - Sale ²⁵
	1,421.000	652.77	05/07/20	710.78	58.01 W	0.00	22 of 35 - Sale ²⁵
	700.000	321.56	05/14/20	329.30	7.74 W	0.00	23 of 35 - Sale ²⁵
	79.000	36.31	05/14/20	36.81	0.50 W	0.00	24 of 35 - Sale ²⁵
	100.000	45.96	05/14/20	46.59	0.63 W	0.00	25 of 35 - Sale ²⁵
	200.000	91.91	05/14/20	93.19	1.28 W	0.00	26 of 35 - Sale ²⁵
	850.000	390.64	05/14/20	396.04	5.40 W	0.00	27 of 35 - Sale ²⁵
	621.000	285.39	05/14/20	292.14	6.75 W	0.00	28 of 35 - Sale ²⁵
	200.000	91.91	05/14/20	93.19	1.28 W	0.00	29 of 35 - Sale ²⁵
	400.000	183.83	05/14/20	186.37	2.54 W	0.00	30 of 35 - Sale ²⁵
	400.000	183.83	05/14/20	186.37	2.54 W	0.00	31 of 35 - Sale ²⁵
	400.000	183.83	05/14/20	186.37	2.54 W	0.00	32 of 35 - Sale ²⁵
	600.000	275.74	05/14/20	279.56	3.82 W	0.00	33 of 35 - Sale ²⁵
	700.000	321.70	05/14/20	326.15	4.45 W	0.00	34 of 35 - Sale ²⁵
	450.000	206.81	05/14/20	209.67	2.86 W	0.00	35 of 35 - Sale ²⁵
06/08/20	21,321.000	9,789.30	Various	10,475.37	686.07 W	0.00	Total of 35 transactions
06/15/20	5,000.000	2,248.35	06/15/20	2,002.50	...	245.85	Sale ²⁵
07/31/20	100.000	38.13	07/31/20	38.04	...	0.09	Sale ²⁵
47 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	4,700.000	1,549.94	08/21/20	1,692.94	...	-143.00	1 of 47 - Sale ²⁵
	3,300.000	1,088.26	08/21/20	1,188.66	...	-100.40	2 of 47 - Sale ²⁵
	1,000.000	329.77	08/21/20	360.20	...	-30.43	3 of 47 - Sale ²⁵
	1,000.000	329.78	08/21/20	360.20	...	-30.42	4 of 47 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol: (cont'd)							
	300.000	98.93	09/02/20	78.03	...	20.90	5 of 47 - Sale ²⁵
	500.000	164.88	09/02/20	130.05	...	34.83	6 of 47 - Sale ²⁵
	500.000	164.89	09/02/20	130.05	...	34.84	7 of 47 - Sale ²⁵
	500.000	164.89	09/02/20	130.05	...	34.84	8 of 47 - Sale ²⁵
	500.000	164.89	09/02/20	130.05	...	34.84	9 of 47 - Sale ²⁵
	500.000	164.89	09/02/20	130.05	...	34.84	10 of 47 - Sale ²⁵
	500.000	164.89	09/02/20	130.05	...	34.84	11 of 47 - Sale ²⁵
	500.000	164.89	09/02/20	130.05	...	34.84	12 of 47 - Sale ²⁵
	500.000	164.89	09/02/20	130.05	...	34.84	13 of 47 - Sale ²⁵
	500.000	164.89	09/02/20	130.05	...	34.84	14 of 47 - Sale ²⁵
	600.000	197.86	09/02/20	156.06	...	41.80	15 of 47 - Sale ²⁵
	600.000	197.86	09/02/20	156.06	...	41.80	16 of 47 - Sale ²⁵
	4,000.000	1,319.08	09/02/20	1,040.40	...	278.68	17 of 47 - Sale ²⁵
	500.000	169.89	09/09/20	130.10	...	39.79	18 of 47 - Sale ²⁵
	500.000	169.89	09/09/20	130.10	...	39.79	19 of 47 - Sale ²⁵
	1,000.000	339.77	09/09/20	260.20	...	79.57	20 of 47 - Sale ²⁵
	3,000.000	1,019.32	09/09/20	780.60	...	238.72	21 of 47 - Sale ²⁵
	5,000.000	1,698.87	09/09/20	1,301.00	...	397.87	22 of 47 - Sale ²⁵
	1,200.000	407.73	09/10/20	288.60	...	119.13	23 of 47 - Sale ²⁵
	3,800.000	1,291.13	09/10/20	913.90	...	377.23	24 of 47 - Sale ²⁵
	5,000.000	1,698.86	09/10/20	1,202.50	...	496.36	25 of 47 - Sale ²⁵
	71.000	24.12	10/15/20	20.14	...	3.98	26 of 47 - Sale ²⁵
	71.000	24.12	10/15/20	20.14	...	3.98	27 of 47 - Sale ²⁵
	100.000	33.98	10/15/20	28.36	...	5.62	28 of 47 - Sale ²⁵
	100.000	33.98	10/15/20	28.36	...	5.62	29 of 47 - Sale ²⁵
	129.000	43.83	10/15/20	36.58	...	7.25	30 of 47 - Sale ²⁵
	171.000	58.10	10/15/20	48.50	...	9.60	31 of 47 - Sale ²⁵
	300.000	101.93	10/15/20	85.09	...	16.84	32 of 47 - Sale ²⁵
	300.000	101.93	10/15/20	85.09	...	16.84	33 of 47 - Sale ²⁵
	329.000	111.79	10/15/20	93.31	...	18.48	34 of 47 - Sale ²⁵
	371.000	126.05	10/15/20	105.22	...	20.83	35 of 47 - Sale ²⁵
	429.000	145.76	10/15/20	121.68	...	24.08	36 of 47 - Sale ²⁵
	429.000	145.76	10/15/20	121.67	...	24.09	37 of 47 - Sale ²⁵
	500.000	169.89	10/15/20	141.82	...	28.07	38 of 47 - Sale ²⁵

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Account 114832611

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(continued)

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CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol: (cont'd)							
	500.000	169.89	10/15/20	141.82	...	28.07	39 of 47 - Sale ²⁵
	500.000	169.89	10/15/20	141.81	...	28.08	40 of 47 - Sale ²⁵
	500.000	169.89	10/15/20	141.81	...	28.08	41 of 47 - Sale ²⁵
	500.000	169.89	10/15/20	141.81	...	28.08	42 of 47 - Sale ²⁵
	700.000	237.84	10/15/20	198.54	...	39.30	43 of 47 - Sale ²⁵
	1,000.000	339.77	10/15/20	283.63	...	56.14	44 of 47 - Sale ²⁵
	1,000.000	339.77	10/15/20	283.63	...	56.14	45 of 47 - Sale ²⁵
	1,000.000	339.77	10/15/20	283.63	...	56.14	46 of 47 - Sale ²⁵
	1,000.000	339.78	10/15/20	283.63	...	56.15	47 of 47 - Sale ²⁵
10/21/20	50,000.000	16,788.67	Various	14,046.27	...	2,742.40	Total of 47 transactions
<i>14 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	371.000	122.35	08/21/20	133.63	11.28 W	0.00	1 of 14 - Sale ²⁵
	629.000	207.43	08/21/20	226.57	19.14 W	0.00	2 of 14 - Sale ²⁵
	1,000.000	329.77	08/21/20	360.20	30.43 W	0.00	3 of 14 - Sale ²⁵
	1,000.000	329.77	08/21/20	360.20	30.43 W	0.00	4 of 14 - Sale ²⁵
	1,000.000	329.77	08/21/20	360.20	30.43 W	0.00	5 of 14 - Sale ²⁵
	371.000	122.35	08/21/20	133.63	11.28 W	0.00	6 of 14 - Sale ²⁵
	200.000	65.96	08/21/20	72.04	6.08 W	0.00	7 of 14 - Sale ²⁵
	429.000	141.48	08/21/20	154.53	13.05 W	0.00	8 of 14 - Sale ²⁵
	571.000	188.30	08/21/20	205.67	17.37 W	0.00	9 of 14 - Sale ²⁵
	429.000	141.47	08/21/20	154.53	13.06 W	0.00	10 of 14 - Sale ²⁵
	1,000.000	329.77	08/21/20	360.20	30.43 W	0.00	11 of 14 - Sale ²⁵
	1,000.000	329.77	08/21/20	360.20	30.43 W	0.00	12 of 14 - Sale ²⁵
	1,000.000	329.77	08/21/20	360.20	30.43 W	0.00	13 of 14 - Sale ²⁵
	1,000.000	329.77	08/21/20	360.20	30.43 W	0.00	14 of 14 - Sale ²⁵
10/21/20	10,000.000	3,297.73	Various	3,602.00	304.27 W	0.00	Total of 14 transactions
	Security total:	53,579.33		49,781.71	994.20 W	4,791.82	
KAIXIN AUTO HOLDINGS ORDINARY SHARE / CUSIP: G5223X100 / Symbol:							
<i>17 transactions for 12/18/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	14.94	12/09/20	13.53	...	1.41	1 of 17 - Sale ²⁵
	3.000	14.94	12/09/20	13.26	...	1.68	2 of 17 - Sale ²⁵
	27.000	134.45	12/09/20	119.35	...	15.10	3 of 17 - Sale ²⁵
	30.000	149.39	12/09/20	129.31	...	20.08	4 of 17 - Sale ²⁵

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(continued)

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KAIXIN AUTO HOLDINGS ORDINARY SHARE / CUSIP: G5223X100 / Symbol: (cont'd)							
	50.000	248.99	12/09/20	225.51	...	23.48	5 of 17 - Sale ²⁵
	50.000	248.99	12/09/20	221.01	...	27.98	6 of 17 - Sale ²⁵
	50.000	248.99	12/09/20	215.51	...	33.48	7 of 17 - Sale ²⁵
	80.000	398.38	12/09/20	360.81	...	37.57	8 of 17 - Sale ²⁵
	70.000	348.58	12/09/20	309.41	...	39.17	9 of 17 - Sale ²⁵
	50.000	248.99	12/09/20	203.52	...	45.47	10 of 17 - Sale ²⁵
	50.000	248.99	12/09/20	203.51	...	45.48	11 of 17 - Sale ²⁵
	50.000	248.99	12/09/20	203.51	...	45.48	12 of 17 - Sale ²⁵
	70.000	348.58	12/09/20	301.71	...	46.87	13 of 17 - Sale ²⁵
	117.000	582.63	12/09/20	527.70	...	54.93	14 of 17 - Sale ²⁵
	100.000	497.98	12/09/20	442.02	...	55.96	15 of 17 - Sale ²⁵
	100.000	497.98	12/09/20	431.02	...	66.96	16 of 17 - Sale ²⁵
	100.000	497.97	12/09/20	407.02	...	90.95	17 of 17 - Sale ²⁵
12/18/20	1,000.000	4,979.76	Various	4,327.71	...	652.05	Total of 17 transactions
	7 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	120.000	533.97	12/09/20	541.20	7.23 W	0.00	1 of 7 - Sale ²⁵
	80.000	355.99	12/09/20	360.80	4.81 W	0.00	2 of 7 - Sale ²⁵
	200.000	889.96	12/09/20	902.00	12.04 W	0.00	3 of 7 - Sale ²⁵
	70.000	311.49	12/09/20	315.70	4.21 W	0.00	4 of 7 - Sale ²⁵
	200.000	889.96	12/09/20	902.00	12.04 W	0.00	5 of 7 - Sale ²⁵
	130.000	578.46	12/09/20	586.30	7.84 W	0.00	6 of 7 - Sale ²⁵
	200.000	889.96	12/09/20	902.00	12.04 W	0.00	7 of 7 - Sale ²⁵
12/18/20	1,000.000	4,449.79	Various	4,510.00	60.21 W	0.00	Total of 7 transactions
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	37.000	172.05	12/22/20	160.95	...	11.10	1 of 2 - Sale ²⁵
	963.000	4,477.74	12/22/20	4,189.05	...	288.69	2 of 2 - Sale ²⁵
12/22/20	1,000.000	4,649.79	Various	4,350.00	...	299.79	Total of 2 transactions
	Security total:	14,079.34		13,187.71	60.21 W	951.84	
NABRIVA THERAPEUTICS PLC ORDINARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
	45 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	18.000	10.17	04/13/20	9.27	...	0.90	1 of 45 - Sale ²⁵
	50.000	28.25	04/13/20	25.75	...	2.50	2 of 45 - Sale ²⁵
	60.000	33.89	04/13/20	30.90	...	2.99	3 of 45 - Sale ²⁵

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(continued)

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NABRIVA THERAPEUTICS PLC ORDIN ARY SHARES IRELAND / CUSIP: G63637105 / Symbol: (cont'd)							
	100.000	54.99	04/13/20	51.50	...	3.49	4 of 45 - Sale ²⁵
	82.000	46.32	04/13/20	42.23	...	4.09	5 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	6 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	7 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	8 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	9 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	10 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	11 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	12 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	13 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	14 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	15 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	16 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	17 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	18 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	19 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	20 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	21 of 45 - Sale ²⁵
	100.000	56.49	04/13/20	51.50	...	4.99	22 of 45 - Sale ²⁵
	122.000	68.92	04/13/20	62.83	...	6.09	23 of 45 - Sale ²⁵
	250.000	137.47	04/13/20	128.75	...	8.72	24 of 45 - Sale ²⁵
	200.000	112.98	04/13/20	103.00	...	9.98	25 of 45 - Sale ²⁵
	200.000	112.98	04/13/20	103.00	...	9.98	26 of 45 - Sale ²⁵
	200.000	112.98	04/13/20	103.00	...	9.98	27 of 45 - Sale ²⁵
	243.000	137.26	04/13/20	125.15	...	12.11	28 of 45 - Sale ²⁵
	271.000	153.09	04/13/20	139.56	...	13.53	29 of 45 - Sale ²⁵
	282.000	159.30	04/13/20	145.23	...	14.07	30 of 45 - Sale ²⁵
	300.000	169.46	04/13/20	154.50	...	14.96	31 of 45 - Sale ²⁵
	318.000	179.63	04/13/20	163.77	...	15.86	32 of 45 - Sale ²⁵
	400.000	225.95	04/13/20	206.00	...	19.95	33 of 45 - Sale ²⁵
	478.000	270.01	04/13/20	246.17	...	23.84	34 of 45 - Sale ²⁵
	486.000	274.53	04/13/20	250.29	...	24.24	35 of 45 - Sale ²⁵
	600.000	338.93	04/13/20	309.00	...	29.93	36 of 45 - Sale ²⁵
	600.000	338.93	04/13/20	309.00	...	29.93	37 of 45 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NABRIVA THERAPEUTICS PLC ORDIN ARY SHARES IRELAND / CUSIP: G63637105 / Symbol: (cont'd)							
	790.000	446.26	04/13/20	406.85	...	39.41	38 of 45 - Sale ²⁵
	1,000.000	564.86	04/13/20	515.00	...	49.86	39 of 45 - Sale ²⁵
	1,000.000	564.86	04/13/20	515.00	...	49.86	40 of 45 - Sale ²⁵
	1,200.000	677.84	04/13/20	618.00	...	59.84	41 of 45 - Sale ²⁵
	1,400.000	790.81	04/13/20	721.00	...	69.81	42 of 45 - Sale ²⁵
	1,500.000	847.30	04/13/20	772.50	...	74.80	43 of 45 - Sale ²⁵
	1,500.000	847.30	04/13/20	772.50	...	74.80	44 of 45 - Sale ²⁵
	4,650.000	2,556.89	04/13/20	2,394.75	...	162.14	45 of 45 - Sale ²⁵
04/14/20	20,000.000	11,222.49	Various	10,300.00	...	922.49	Total of 45 transactions
04/15/20	300.000	160.46	04/15/20	153.03	...	7.43	Sale ²⁵
	2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	245.000	126.15	04/15/20	124.97	...	1.18	1 of 2 - Sale ²⁵
	7,000.000	3,604.09	04/15/20	3,570.70	...	33.39	2 of 2 - Sale ²⁵
04/21/20	7,245.000	3,730.24	Various	3,695.67	...	34.57	Total of 2 transactions
	7 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	700.000	363.22	04/15/20	357.07	...	6.15	1 of 7 - Sale ²⁵
	700.000	363.22	04/15/20	357.07	...	6.15	2 of 7 - Sale ²⁵
	3,600.000	1,867.93	04/15/20	1,836.36	...	31.57	3 of 7 - Sale ²⁵
	7,455.000	3,868.17	04/15/20	3,802.80	...	65.37	4 of 7 - Sale ²⁵
	800.000	415.10	04/16/20	408.08	...	7.02	5 of 7 - Sale ²⁵
	800.000	415.10	04/16/20	408.08	...	7.02	6 of 7 - Sale ²⁵
	3,400.000	1,764.15	04/16/20	1,734.34	...	29.81	7 of 7 - Sale ²⁵
04/23/20	17,455.000	9,056.89	Various	8,903.80	...	153.09	Total of 7 transactions
	6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	100.000	58.98	05/14/20	53.50	...	5.48	1 of 6 - Sale ²⁵
	100.000	59.69	05/14/20	53.50	...	6.19	2 of 6 - Sale ²⁵
	1,000.000	589.77	05/14/20	535.00	...	54.77	3 of 6 - Sale ²⁵
	1,200.000	707.72	05/14/20	642.00	...	65.72	4 of 6 - Sale ²⁵
	1,300.000	766.69	05/14/20	695.50	...	71.19	5 of 6 - Sale ²⁵
	1,300.000	766.70	05/14/20	695.50	...	71.20	6 of 6 - Sale ²⁵
05/18/20	5,000.000	2,949.55	Various	2,675.00	...	274.55	Total of 6 transactions
08/04/20	5,000.000	4,047.31	07/28/20	4,250.00	103.05 W	-99.64	Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NABRIVA THERAPEUTICS PLC ORDINARY SHARES IRELAND / CUSIP: G63637105 / Symbol: (cont'd)							
<i>4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	2,400.000	1,967.18	07/28/20	2,137.29	...	-170.11	1 of 4 - Sale ²⁵
	2,358.000	1,932.29	07/28/20	2,004.30	...	-72.01	2 of 4 - Sale ²⁵
	142.000	116.36	07/28/20	126.46	...	-10.10	3 of 4 - Sale ²⁵
	100.000	81.97	07/28/20	85.00	...	-3.03	4 of 4 - Sale ²⁵
08/05/20	5,000.000	4,097.80	Various	4,353.05	...	-255.25	Total of 4 transactions
	Security total:	35,264.74		34,330.55	103.05 W	1,037.24	
URBAN TEA, INC. ORDINARY SHARE S / CUSIP: G9396G118 / Symbol:							
<i>3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	524.95	12/11/20	519.00	...	5.95	1 of 3 - Sale ²⁵
	415.000	726.18	12/11/20	717.95	...	8.23	2 of 3 - Sale ²⁵
	4,285.000	7,498.07	12/11/20	7,413.05	...	85.02	3 of 3 - Sale ²⁵
12/15/20	5,000.000	8,749.20	Various	8,650.00	...	99.20	Total of 3 transactions
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
<i>8 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>							
	44.000	25.07	05/12/20	22.02	...	3.05	1 of 8 - Sale ²⁵
	100.000	56.98	05/12/20	51.00	...	5.98	2 of 8 - Sale ²⁵
	1,044.000	594.84	05/12/20	532.44	...	62.40	3 of 8 - Sale ²⁵
	1,000.000	569.76	05/12/20	500.50	...	69.26	4 of 8 - Sale ²⁵
	3,856.000	2,197.02	05/12/20	1,966.56	...	230.46	5 of 8 - Sale ²⁵
	8,956.000	5,102.84	05/12/20	4,482.48	...	620.36	6 of 8 - Sale ²⁵
	672.000	382.88	05/13/20	342.79	...	40.09	7 of 8 - Sale ²⁵
	5,000.000	2,848.84	05/13/20	2,560.00	...	288.84	8 of 8 - Sale ²⁵
05/18/20	20,672.000	11,778.23	Various	10,457.79	...	1,320.44	Total of 8 transactions
<i>7 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	36.49	05/28/20	26.53	...	9.96	1 of 7 - Sale ²⁵
	200.000	129.87	05/28/20	106.12	...	23.75	2 of 7 - Sale ²⁵
	327.000	238.64	05/28/20	173.51	...	65.13	3 of 7 - Sale ²⁵
	700.000	510.83	05/28/20	371.42	...	139.41	4 of 7 - Sale ²⁵
	959.000	699.84	05/28/20	508.85	...	190.99	5 of 7 - Sale ²⁵
	4,800.000	3,116.95	05/28/20	2,546.88	...	570.07	6 of 7 - Sale ²⁵

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(continued)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
06/09/20	2,964.000	2,163.02	05/28/20	1,572.69	...	590.33	7 of 7 - Sale ²⁵
	10,000.000	6,895.64	Various	5,306.00	...	1,589.64	Total of 7 transactions
	7 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	290.000	173.90	06/12/20	153.85	...	20.05	1 of 7 - Sale ²⁵
	610.000	365.79	06/12/20	323.61	...	42.18	2 of 7 - Sale ²⁵
	1,000.000	599.66	06/12/20	525.00	...	74.66	3 of 7 - Sale ²⁵
	1,000.000	599.67	06/12/20	525.00	...	74.67	4 of 7 - Sale ²⁵
	1,000.000	599.67	06/12/20	525.00	...	74.67	5 of 7 - Sale ²⁵
	1,000.000	599.67	06/12/20	525.00	...	74.67	6 of 7 - Sale ²⁵
	1,890.000	1,133.37	06/12/20	1,002.64	...	130.73	7 of 7 - Sale ²⁵
06/22/20	6,790.000	4,071.73	Various	3,580.10	...	491.63	Total of 7 transactions
	48 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	200.000	76.52	08/14/20	96.21	19.69 W	0.00	1 of 48 - Sale ²⁵
	100.000	38.26	08/14/20	48.11	9.85 W	0.00	2 of 48 - Sale ²⁵
	300.000	114.77	08/14/20	144.31	29.54 W	0.00	3 of 48 - Sale ²⁵
	1,200.000	456.32	08/14/20	577.26	120.94 W	0.00	4 of 48 - Sale ²⁵
	500.000	190.14	08/14/20	240.53	50.39 W	0.00	5 of 48 - Sale ²⁵
	500.000	190.09	08/14/20	240.52	50.43 W	0.00	6 of 48 - Sale ²⁵
	150.000	57.03	08/14/20	72.16	15.13 W	0.00	7 of 48 - Sale ²⁵
	200.000	76.03	08/14/20	96.22	20.19 W	0.00	8 of 48 - Sale ²⁵
	150.000	57.03	08/14/20	72.16	15.13 W	0.00	9 of 48 - Sale ²⁵
	50.000	19.00	08/14/20	24.06	5.06 W	0.00	10 of 48 - Sale ²⁵
	104.000	39.52	08/14/20	50.03	10.51 W	0.00	11 of 48 - Sale ²⁵
	46.000	17.48	08/14/20	22.13	4.65 W	0.00	12 of 48 - Sale ²⁵
	354.000	134.47	08/14/20	170.31	35.84 W	0.00	13 of 48 - Sale ²⁵
	200.000	75.97	08/14/20	96.22	20.25 W	0.00	14 of 48 - Sale ²⁵
	100.000	37.99	08/14/20	48.11	10.12 W	0.00	15 of 48 - Sale ²⁵
	200.000	75.97	08/14/20	96.22	20.25 W	0.00	16 of 48 - Sale ²⁵
	200.000	75.97	08/14/20	96.22	20.25 W	0.00	17 of 48 - Sale ²⁵
	100.000	37.99	08/14/20	48.11	10.12 W	0.00	18 of 48 - Sale ²⁵
	2,496.000	948.17	08/14/20	1,200.83	252.66 W	0.00	19 of 48 - Sale ²⁵
	2,850.000	1,082.64	08/14/20	1,371.14	288.50 W	0.00	20 of 48 - Sale ²⁵
	500.000	194.89	08/14/20	235.20	40.31 W	0.00	21 of 48 - Sale ²⁵
	500.000	194.89	08/14/20	235.20	40.31 W	0.00	22 of 48 - Sale ²⁵

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(continued)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	500.000	194.88	08/14/20	235.20	40.32 W	0.00	23 of 48 - Sale ²⁵
	500.000	194.89	08/14/20	235.20	40.31 W	0.00	24 of 48 - Sale ²⁵
	500.000	194.88	08/14/20	235.20	40.32 W	0.00	25 of 48 - Sale ²⁵
	500.000	194.89	08/14/20	235.20	40.31 W	0.00	26 of 48 - Sale ²⁵
	500.000	194.88	08/14/20	235.20	40.32 W	0.00	27 of 48 - Sale ²⁵
	500.000	194.89	08/14/20	235.20	40.31 W	0.00	28 of 48 - Sale ²⁵
	100.000	38.98	08/14/20	47.04	8.06 W	0.00	29 of 48 - Sale ²⁵
	95.000	37.03	08/14/20	44.69	7.66 W	0.00	30 of 48 - Sale ²⁵
	245.000	95.49	08/14/20	115.25	19.76 W	0.00	31 of 48 - Sale ²⁵
	160.000	62.36	08/14/20	75.26	12.90 W	0.00	32 of 48 - Sale ²⁵
	500.000	194.89	08/14/20	235.20	40.31 W	0.00	33 of 48 - Sale ²⁵
	92.000	35.86	08/14/20	43.28	7.42 W	0.00	34 of 48 - Sale ²⁵
	300.000	116.93	08/14/20	141.12	24.19 W	0.00	35 of 48 - Sale ²⁵
	8.000	3.12	08/14/20	3.76	0.64 W	0.00	36 of 48 - Sale ²⁵
	92.000	35.86	08/14/20	43.28	7.42 W	0.00	37 of 48 - Sale ²⁵
	300.000	116.93	08/14/20	141.12	24.19 W	0.00	38 of 48 - Sale ²⁵
	108.000	42.10	08/14/20	50.80	8.70 W	0.00	39 of 48 - Sale ²⁵
	92.000	35.86	08/14/20	43.28	7.42 W	0.00	40 of 48 - Sale ²⁵
	200.000	77.96	08/14/20	94.08	16.12 W	0.00	41 of 48 - Sale ²⁵
	300.000	116.93	08/14/20	141.12	24.19 W	0.00	42 of 48 - Sale ²⁵
	100.000	38.98	08/14/20	47.04	8.06 W	0.00	43 of 48 - Sale ²⁵
	300.000	116.93	08/14/20	141.12	24.19 W	0.00	44 of 48 - Sale ²⁵
	300.000	116.93	08/14/20	141.12	24.19 W	0.00	45 of 48 - Sale ²⁵
	100.000	38.98	08/14/20	47.04	8.06 W	0.00	46 of 48 - Sale ²⁵
	200.000	77.96	08/14/20	94.08	16.12 W	0.00	47 of 48 - Sale ²⁵
	100.000	38.98	08/14/20	47.04	8.06 W	0.00	48 of 48 - Sale ²⁵
10/08/20	17,692.000	6,799.51	Various	8,429.18	1,629.67 W	0.00	Total of 48 transactions
	172 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	474.000	160.87	09/04/20	149.36	...	11.51	1 of 172 - Sale ²⁵
	500.000	169.69	09/04/20	157.55	...	12.14	2 of 172 - Sale ²⁵
	1,743.000	591.53	09/04/20	549.22	...	42.31	3 of 172 - Sale ²⁵
	2,283.000	774.79	09/04/20	719.37	...	55.42	4 of 172 - Sale ²⁵
	2,500.000	848.43	09/04/20	787.75	...	60.68	5 of 172 - Sale ²⁵
	2,500.000	848.43	09/04/20	787.75	...	60.68	6 of 172 - Sale ²⁵

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	500.000	169.68	10/15/20	215.87	...	-46.19	7 of 172 - Sale ²⁵
	500.000	169.68	10/15/20	215.86	...	-46.18	8 of 172 - Sale ²⁵
	500.000	169.69	10/15/20	215.86	...	-46.17	9 of 172 - Sale ²⁵
	300.000	101.81	10/15/20	129.52	...	-27.71	10 of 172 - Sale ²⁵
	300.000	101.81	10/15/20	129.52	...	-27.71	11 of 172 - Sale ²⁵
	300.000	101.81	10/15/20	129.52	...	-27.71	12 of 172 - Sale ²⁵
	300.000	101.81	10/15/20	129.52	...	-27.71	13 of 172 - Sale ²⁵
	245.000	83.15	10/15/20	105.78	...	-22.63	14 of 172 - Sale ²⁵
	200.000	67.87	10/15/20	86.34	...	-18.47	15 of 172 - Sale ²⁵
	200.000	67.88	10/15/20	86.34	...	-18.46	16 of 172 - Sale ²⁵
	160.000	54.30	10/15/20	69.08	...	-14.78	17 of 172 - Sale ²⁵
	151.000	51.25	10/15/20	65.20	...	-13.95	18 of 172 - Sale ²⁵
	108.000	36.65	10/15/20	46.62	...	-9.97	19 of 172 - Sale ²⁵
	100.000	33.93	10/15/20	43.17	...	-9.24	20 of 172 - Sale ²⁵
	100.000	33.94	10/15/20	43.17	...	-9.23	21 of 172 - Sale ²⁵
	100.000	33.94	10/15/20	43.17	...	-9.23	22 of 172 - Sale ²⁵
	100.000	33.94	10/15/20	43.17	...	-9.23	23 of 172 - Sale ²⁵
	98.000	33.26	10/15/20	42.31	...	-9.05	24 of 172 - Sale ²⁵
	95.000	32.24	10/15/20	41.01	...	-8.77	25 of 172 - Sale ²⁵
	92.000	31.22	10/15/20	39.72	...	-8.50	26 of 172 - Sale ²⁵
	92.000	31.22	10/15/20	39.72	...	-8.50	27 of 172 - Sale ²⁵
	51.000	17.31	10/15/20	22.02	...	-4.71	28 of 172 - Sale ²⁵
	48.000	16.29	10/15/20	20.72	...	-4.43	29 of 172 - Sale ²⁵
	44.000	14.93	10/15/20	19.00	...	-4.07	30 of 172 - Sale ²⁵
	8.000	2.71	10/15/20	3.45	...	-0.74	31 of 172 - Sale ²⁵
	300.000	106.46	10/15/20	105.33	...	1.13	32 of 172 - Sale ²⁵
	1,202.000	426.55	10/15/20	422.02	...	4.53	33 of 172 - Sale ²⁵
	1,200.000	425.86	10/15/20	421.32	...	4.54	34 of 172 - Sale ²⁵
	1,800.000	638.77	10/15/20	631.98	...	6.79	35 of 172 - Sale ²⁵
	800.000	283.91	10/21/20	392.66	...	-108.75	36 of 172 - Sale ²⁵
	1,300.000	461.35	10/21/20	507.81	...	-46.46	37 of 172 - Sale ²⁵
	308.000	109.30	10/21/20	151.17	...	-41.87	38 of 172 - Sale ²⁵
	302.000	107.17	10/21/20	148.23	...	-41.06	39 of 172 - Sale ²⁵
	298.000	105.75	10/21/20	146.27	...	-40.52	40 of 172 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	1,102.000	391.08	10/21/20	430.47	...	-39.39	41 of 172 - Sale ²⁵
	1,000.000	354.88	10/21/20	390.62	...	-35.74	42 of 172 - Sale ²⁵
	202.000	71.69	10/21/20	99.15	...	-27.46	43 of 172 - Sale ²⁵
	2,500.000	887.18	10/21/20	914.57	...	-27.39	44 of 172 - Sale ²⁵
	200.000	70.97	10/21/20	98.17	...	-27.20	45 of 172 - Sale ²⁵
	2,110.000	748.78	10/21/20	771.90	...	-23.12	46 of 172 - Sale ²⁵
	600.000	212.93	10/21/20	234.37	...	-21.44	47 of 172 - Sale ²⁵
	1,802.000	639.48	10/21/20	659.22	...	-19.74	48 of 172 - Sale ²⁵
	500.000	177.44	10/21/20	195.32	...	-17.88	49 of 172 - Sale ²⁵
	500.000	177.44	10/21/20	195.31	...	-17.87	50 of 172 - Sale ²⁵
	498.000	176.73	10/21/20	194.54	...	-17.81	51 of 172 - Sale ²⁵
	1,500.000	532.31	10/21/20	548.75	...	-16.44	52 of 172 - Sale ²⁵
	398.000	141.24	10/21/20	155.47	...	-14.23	53 of 172 - Sale ²⁵
	398.000	141.24	10/21/20	155.47	...	-14.23	54 of 172 - Sale ²⁵
	398.000	141.24	10/21/20	155.47	...	-14.23	55 of 172 - Sale ²⁵
	100.000	35.49	10/21/20	49.08	...	-13.59	56 of 172 - Sale ²⁵
	98.000	34.78	10/21/20	48.10	...	-13.32	57 of 172 - Sale ²⁵
	1,000.000	354.87	10/21/20	365.82	...	-10.95	58 of 172 - Sale ²⁵
	698.000	247.70	10/21/20	255.34	...	-7.64	59 of 172 - Sale ²⁵
	202.000	71.68	10/21/20	78.91	...	-7.23	60 of 172 - Sale ²⁵
	200.000	70.98	10/21/20	78.13	...	-7.15	61 of 172 - Sale ²⁵
	198.000	70.27	10/21/20	77.35	...	-7.08	62 of 172 - Sale ²⁵
	192.000	68.14	10/21/20	75.00	...	-6.86	63 of 172 - Sale ²⁵
	102.000	36.20	10/21/20	39.84	...	-3.64	64 of 172 - Sale ²⁵
	102.000	36.20	10/21/20	39.84	...	-3.64	65 of 172 - Sale ²⁵
	198.000	70.27	10/21/20	72.43	...	-2.16	66 of 172 - Sale ²⁵
	192.000	68.14	10/21/20	70.24	...	-2.10	67 of 172 - Sale ²⁵
	2.000	0.71	10/21/20	0.78	...	-0.07	68 of 172 - Sale ²⁵
	8.000	2.88	11/03/20	2.25	...	0.63	69 of 172 - Sale ²⁵
	8.000	2.88	11/03/20	2.25	...	0.63	70 of 172 - Sale ²⁵
	8.000	2.88	11/03/20	2.25	...	0.63	71 of 172 - Sale ²⁵
	42.000	15.11	11/03/20	11.79	...	3.32	72 of 172 - Sale ²⁵
	42.000	15.11	11/03/20	11.79	...	3.32	73 of 172 - Sale ²⁵
	65.000	23.38	11/03/20	19.56	...	3.82	74 of 172 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	57.000	20.50	11/03/20	16.02	...	4.48	75 of 172 - Sale ²⁵
	65.000	23.38	11/03/20	18.26	...	5.12	76 of 172 - Sale ²⁵
	65.000	23.38	11/03/20	18.25	...	5.13	77 of 172 - Sale ²⁵
	92.000	33.09	11/03/20	25.84	...	7.25	78 of 172 - Sale ²⁵
	92.000	33.09	11/03/20	25.84	...	7.25	79 of 172 - Sale ²⁵
	100.000	35.97	11/03/20	28.08	...	7.89	80 of 172 - Sale ²⁵
	100.000	35.97	11/03/20	28.08	...	7.89	81 of 172 - Sale ²⁵
	100.000	35.97	11/03/20	28.08	...	7.89	82 of 172 - Sale ²⁵
	100.000	35.97	11/03/20	28.08	...	7.89	83 of 172 - Sale ²⁵
	100.000	35.97	11/03/20	28.08	...	7.89	84 of 172 - Sale ²⁵
	100.000	35.97	11/03/20	28.08	...	7.89	85 of 172 - Sale ²⁵
	100.000	35.97	11/03/20	28.08	...	7.89	86 of 172 - Sale ²⁵
	108.000	38.84	11/03/20	30.33	...	8.51	87 of 172 - Sale ²⁵
	108.000	38.84	11/03/20	30.33	...	8.51	88 of 172 - Sale ²⁵
	108.000	38.85	11/03/20	30.33	...	8.52	89 of 172 - Sale ²⁵
	165.000	59.35	11/03/20	49.65	...	9.70	90 of 172 - Sale ²⁵
	143.000	51.43	11/03/20	40.15	...	11.28	91 of 172 - Sale ²⁵
	143.000	51.43	11/03/20	40.15	...	11.28	92 of 172 - Sale ²⁵
	200.000	71.94	11/03/20	60.18	...	11.76	93 of 172 - Sale ²⁵
	150.000	53.95	11/03/20	42.13	...	11.82	94 of 172 - Sale ²⁵
	192.000	69.06	11/03/20	53.92	...	15.14	95 of 172 - Sale ²⁵
	200.000	71.93	11/03/20	56.16	...	15.77	96 of 172 - Sale ²⁵
	200.000	71.94	11/03/20	56.17	...	15.77	97 of 172 - Sale ²⁵
	208.000	74.81	11/03/20	58.42	...	16.39	98 of 172 - Sale ²⁵
	208.000	74.81	11/03/20	58.41	...	16.40	99 of 172 - Sale ²⁵
	208.000	74.81	11/03/20	58.41	...	16.40	100 of 172 - Sale ²⁵
	300.000	107.90	11/03/20	90.28	...	17.62	101 of 172 - Sale ²⁵
	308.000	110.78	11/03/20	92.69	...	18.09	102 of 172 - Sale ²⁵
	243.000	87.41	11/03/20	68.23	...	19.18	103 of 172 - Sale ²⁵
	250.000	89.92	11/03/20	70.22	...	19.70	104 of 172 - Sale ²⁵
	292.000	105.02	11/03/20	82.01	...	23.01	105 of 172 - Sale ²⁵
	292.000	105.02	11/03/20	82.00	...	23.02	106 of 172 - Sale ²⁵
	292.000	105.03	11/03/20	82.01	...	23.02	107 of 172 - Sale ²⁵
	292.000	105.03	11/03/20	82.01	...	23.02	108 of 172 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
292.000		105.03	11/03/20	82.00	...	23.03	109 of 172 - Sale ²⁵
400.000		143.87	11/03/20	120.37	...	23.50	110 of 172 - Sale ²⁵
435.000		156.46	11/03/20	130.90	...	25.56	111 of 172 - Sale ²⁵
435.000		156.46	11/03/20	130.90	...	25.56	112 of 172 - Sale ²⁵
392.000		141.00	11/03/20	110.08	...	30.92	113 of 172 - Sale ²⁵
400.000		143.87	11/03/20	112.34	...	31.53	114 of 172 - Sale ²⁵
400.000		143.87	11/03/20	112.33	...	31.54	115 of 172 - Sale ²⁵
400.000		143.87	11/03/20	112.33	...	31.54	116 of 172 - Sale ²⁵
492.000		176.96	11/03/20	138.17	...	38.79	117 of 172 - Sale ²⁵
500.000		179.84	11/03/20	140.41	...	39.43	118 of 172 - Sale ²⁵
6.000		2.16	11/04/20	1.99	...	0.17	119 of 172 - Sale ²⁵
6.000		2.16	11/04/20	1.99	...	0.17	120 of 172 - Sale ²⁵
6.000		2.16	11/04/20	1.99	...	0.17	121 of 172 - Sale ²⁵
6.000		2.16	11/04/20	1.82	...	0.34	122 of 172 - Sale ²⁵
100.000		35.97	11/04/20	34.96	...	1.01	123 of 172 - Sale ²⁵
40.000		14.39	11/04/20	13.30	...	1.09	124 of 172 - Sale ²⁵
40.000		14.39	11/04/20	13.29	...	1.10	125 of 172 - Sale ²⁵
44.000		15.83	11/04/20	14.61	...	1.22	126 of 172 - Sale ²⁵
50.000		17.98	11/04/20	16.61	...	1.37	127 of 172 - Sale ²⁵
194.000		69.78	11/04/20	68.27	...	1.51	128 of 172 - Sale ²⁵
60.000		21.58	11/04/20	19.93	...	1.65	129 of 172 - Sale ²⁵
60.000		21.58	11/04/20	19.93	...	1.65	130 of 172 - Sale ²⁵
200.000		71.94	11/04/20	69.92	...	2.02	131 of 172 - Sale ²⁵
100.000		35.97	11/04/20	33.22	...	2.75	132 of 172 - Sale ²⁵
102.000		36.69	11/04/20	33.85	...	2.84	133 of 172 - Sale ²⁵
104.000		37.41	11/04/20	34.54	...	2.87	134 of 172 - Sale ²⁵
300.000		107.90	11/04/20	104.88	...	3.02	135 of 172 - Sale ²⁵
192.000		69.05	11/04/20	65.77	...	3.28	136 of 172 - Sale ²⁵
194.000		69.78	11/04/20	66.34	...	3.44	137 of 172 - Sale ²⁵
198.000		71.22	11/04/20	67.71	...	3.51	138 of 172 - Sale ²⁵
140.000		50.35	11/04/20	46.53	...	3.82	139 of 172 - Sale ²⁵
150.000		53.95	11/04/20	49.78	...	4.17	140 of 172 - Sale ²⁵
150.000		53.95	11/04/20	49.78	...	4.17	141 of 172 - Sale ²⁵
156.000		56.11	11/04/20	51.79	...	4.32	142 of 172 - Sale ²⁵

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(continued)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	600.000	215.81	11/04/20	211.15	...	4.66	143 of 172 - Sale ²⁵
	600.000	215.81	11/04/20	211.14	...	4.67	144 of 172 - Sale ²⁵
	306.000	110.06	11/04/20	104.83	...	5.23	145 of 172 - Sale ²⁵
	306.000	110.06	11/04/20	104.62	...	5.44	146 of 172 - Sale ²⁵
	200.000	71.93	11/04/20	66.46	...	5.47	147 of 172 - Sale ²⁵
	200.000	71.93	11/04/20	66.46	...	5.47	148 of 172 - Sale ²⁵
	200.000	71.93	11/04/20	66.46	...	5.47	149 of 172 - Sale ²⁵
	244.000	87.76	11/04/20	81.07	...	6.69	150 of 172 - Sale ²⁵
	100.000	35.97	11/04/20	27.10	...	8.87	151 of 172 - Sale ²⁵
	340.000	122.29	11/04/20	112.97	...	9.32	152 of 172 - Sale ²⁵
	354.000	127.32	11/04/20	117.62	...	9.70	153 of 172 - Sale ²⁵
	356.000	128.04	11/04/20	118.28	...	9.76	154 of 172 - Sale ²⁵
	194.000	69.78	11/04/20	59.08	...	10.70	155 of 172 - Sale ²⁵
	400.000	143.87	11/04/20	132.90	...	10.97	156 of 172 - Sale ²⁵
	500.000	179.84	11/04/20	166.13	...	13.71	157 of 172 - Sale ²⁵
	500.000	179.84	11/04/20	166.12	...	13.72	158 of 172 - Sale ²⁵
	500.000	179.84	11/04/20	166.12	...	13.72	159 of 172 - Sale ²⁵
	500.000	179.84	11/04/20	166.12	...	13.72	160 of 172 - Sale ²⁵
	600.000	215.80	11/04/20	199.35	...	16.45	161 of 172 - Sale ²⁵
	600.000	215.80	11/04/20	199.35	...	16.45	162 of 172 - Sale ²⁵
	600.000	215.81	11/04/20	199.36	...	16.45	163 of 172 - Sale ²⁵
	217.000	78.05	11/04/20	58.82	...	19.23	164 of 172 - Sale ²⁵
	494.000	177.68	11/04/20	153.96	...	23.72	165 of 172 - Sale ²⁵
	500.000	179.83	11/04/20	155.83	...	24.00	166 of 172 - Sale ²⁵
	500.000	179.83	11/04/20	155.82	...	24.01	167 of 172 - Sale ²⁵
	500.000	179.84	11/04/20	155.82	...	24.02	168 of 172 - Sale ²⁵
	443.000	159.34	11/04/20	120.06	...	39.28	169 of 172 - Sale ²⁵
	465.000	167.25	11/04/20	126.04	...	41.21	170 of 172 - Sale ²⁵
	526.000	189.20	11/04/20	142.56	...	46.64	171 of 172 - Sale ²⁵
	557.000	200.34	11/04/20	150.96	...	49.38	172 of 172 - Sale ²⁵
11/20/20	64,194.000	22,673.19	Various	22,253.15	...	420.04	Total of 172 transactions
	89 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	2,308.000	737.80	08/14/20	1,085.68	347.88 W	0.00	1 of 89 - Sale ²⁵
	2,192.000	700.72	09/01/20	811.48	110.76 W	0.00	2 of 89 - Sale ²⁵

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(continued)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	5,500.000	1,758.21	09/01/20	2,036.10	277.89 W	0.00	3 of 89 - Sale ²⁵
	2,308.000	783.27	09/01/20	854.42	71.15 W	0.00	4 of 89 - Sale ²⁵
	192.000	65.16	09/01/20	71.08	5.92 W	0.00	5 of 89 - Sale ²⁵
	1,500.000	509.05	09/01/20	555.30	46.25 W	0.00	6 of 89 - Sale ²⁵
	1,000.000	339.38	09/01/20	370.20	30.82 W	0.00	7 of 89 - Sale ²⁵
	2,500.000	848.43	09/01/20	925.50	77.07 W	0.00	8 of 89 - Sale ²⁵
	1,802.000	611.55	09/01/20	667.10	55.55 W	0.00	9 of 89 - Sale ²⁵
	698.000	236.89	09/01/20	258.40	21.51 W	0.00	10 of 89 - Sale ²⁵
	565.000	191.75	09/01/20	209.16	17.41 W	0.00	11 of 89 - Sale ²⁵
	1,000.000	339.38	09/01/20	370.20	30.82 W	0.00	12 of 89 - Sale ²⁵
	743.000	252.15	09/01/20	275.06	22.91 W	0.00	13 of 89 - Sale ²⁵
	100.000	33.94	09/02/20	35.01	1.07 W	0.00	14 of 89 - Sale ²⁵
	600.000	203.62	09/02/20	210.06	6.44 W	0.00	15 of 89 - Sale ²⁵
	700.000	237.56	09/02/20	245.07	7.51 W	0.00	16 of 89 - Sale ²⁵
	357.000	121.16	09/02/20	124.99	3.83 W	0.00	17 of 89 - Sale ²⁵
	143.000	48.53	09/02/20	50.06	1.53 W	0.00	18 of 89 - Sale ²⁵
	500.000	169.68	09/02/20	175.05	5.37 W	0.00	19 of 89 - Sale ²⁵
	500.000	169.68	09/02/20	175.05	5.37 W	0.00	20 of 89 - Sale ²⁵
	357.000	121.16	09/02/20	124.99	3.83 W	0.00	21 of 89 - Sale ²⁵
	143.000	48.53	09/02/20	50.06	1.53 W	0.00	22 of 89 - Sale ²⁵
	400.000	135.75	09/02/20	140.04	4.29 W	0.00	23 of 89 - Sale ²⁵
	400.000	135.75	09/02/20	140.04	4.29 W	0.00	24 of 89 - Sale ²⁵
	100.000	33.94	09/02/20	35.01	1.07 W	0.00	25 of 89 - Sale ²⁵
	400.000	135.75	09/02/20	140.04	4.29 W	0.00	26 of 89 - Sale ²⁵
	100.000	33.94	09/02/20	35.01	1.07 W	0.00	27 of 89 - Sale ²⁵
	100.000	33.94	09/02/20	35.01	1.07 W	0.00	28 of 89 - Sale ²⁵
	100.000	33.94	09/02/20	35.01	1.07 W	0.00	29 of 89 - Sale ²⁵
	300.000	101.81	09/02/20	105.03	3.22 W	0.00	30 of 89 - Sale ²⁵
	400.000	135.74	09/02/20	140.04	4.30 W	0.00	31 of 89 - Sale ²⁵
	57.000	19.34	09/02/20	19.96	0.62 W	0.00	32 of 89 - Sale ²⁵
	243.000	82.47	09/02/20	85.07	2.60 W	0.00	33 of 89 - Sale ²⁵
	150.000	50.91	09/02/20	52.52	1.61 W	0.00	34 of 89 - Sale ²⁵
	250.000	84.84	09/02/20	87.53	2.69 W	0.00	35 of 89 - Sale ²⁵
	250.000	84.84	09/02/20	87.53	2.69 W	0.00	36 of 89 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
150.000		50.91	09/02/20	52.52	1.61 W	0.00	37 of 89 - Sale ²⁵
100.000		33.94	09/02/20	35.01	1.07 W	0.00	38 of 89 - Sale ²⁵
400.000		135.75	09/02/20	140.04	4.29 W	0.00	39 of 89 - Sale ²⁵
957.000		324.77	09/02/20	335.05	10.28 W	0.00	40 of 89 - Sale ²⁵
1,000.000		339.38	09/02/20	350.10	10.72 W	0.00	41 of 89 - Sale ²⁵
217.000		73.64	09/02/20	75.97	2.33 W	0.00	42 of 89 - Sale ²⁵
526.000		178.51	09/02/20	184.15	5.64 W	0.00	43 of 89 - Sale ²⁵
100.000		33.94	09/23/20	42.87	8.93 W	0.00	44 of 89 - Sale ²⁵
194.000		65.84	09/23/20	83.61	17.77 W	0.00	45 of 89 - Sale ²⁵
200.000		67.87	09/23/20	85.73	17.86 W	0.00	46 of 89 - Sale ²⁵
300.000		101.81	09/23/20	128.60	26.79 W	0.00	47 of 89 - Sale ²⁵
1,200.000		407.25	09/23/20	517.18	109.93 W	0.00	48 of 89 - Sale ²⁵
194.000		65.84	09/24/20	81.69	15.85 W	0.00	49 of 89 - Sale ²⁵
306.000		103.85	09/24/20	128.82	24.97 W	0.00	50 of 89 - Sale ²⁵
300.000		101.81	09/24/20	126.31	24.50 W	0.00	51 of 89 - Sale ²⁵
6.000		2.04	09/24/20	2.53	0.49 W	0.00	52 of 89 - Sale ²⁵
44.000		14.93	09/24/20	18.53	3.60 W	0.00	53 of 89 - Sale ²⁵
150.000		50.91	09/24/20	63.16	12.25 W	0.00	54 of 89 - Sale ²⁵
40.000		13.57	09/24/20	16.85	3.28 W	0.00	55 of 89 - Sale ²⁵
50.000		16.97	09/24/20	21.07	4.10 W	0.00	56 of 89 - Sale ²⁵
104.000		35.29	09/24/20	43.81	8.52 W	0.00	57 of 89 - Sale ²⁵
150.000		50.91	09/24/20	63.16	12.25 W	0.00	58 of 89 - Sale ²⁵
156.000		52.94	09/24/20	65.70	12.76 W	0.00	59 of 89 - Sale ²⁵
6.000		2.04	09/24/20	2.53	0.49 W	0.00	60 of 89 - Sale ²⁵
140.000		47.51	09/24/20	59.01	11.50 W	0.00	61 of 89 - Sale ²⁵
354.000		120.14	09/24/20	149.19	29.05 W	0.00	62 of 89 - Sale ²⁵
40.000		13.57	09/24/20	16.86	3.29 W	0.00	63 of 89 - Sale ²⁵
60.000		20.36	09/24/20	25.28	4.92 W	0.00	64 of 89 - Sale ²⁵
100.000		33.94	09/24/20	42.14	8.20 W	0.00	65 of 89 - Sale ²⁵
200.000		67.87	09/24/20	84.29	16.42 W	0.00	66 of 89 - Sale ²⁵
200.000		67.87	09/24/20	84.29	16.42 W	0.00	67 of 89 - Sale ²⁵
60.000		20.36	09/24/20	25.28	4.92 W	0.00	68 of 89 - Sale ²⁵
340.000		115.39	09/24/20	143.29	27.90 W	0.00	69 of 89 - Sale ²⁵
400.000		135.75	09/24/20	168.57	32.82 W	0.00	70 of 89 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	600.000	203.62	09/24/20	252.85	49.23 W	0.00	71 of 89 - Sale ²⁵
	200.000	67.87	09/24/20	84.29	16.42 W	0.00	72 of 89 - Sale ²⁵
	600.000	203.62	09/24/20	252.85	49.23 W	0.00	73 of 89 - Sale ²⁵
	244.000	82.81	09/24/20	102.83	20.02 W	0.00	74 of 89 - Sale ²⁵
	356.000	120.82	09/24/20	150.03	29.21 W	0.00	75 of 89 - Sale ²⁵
	600.000	203.62	09/24/20	252.86	49.24 W	0.00	76 of 89 - Sale ²⁵
	500.000	169.69	09/24/20	210.71	41.02 W	0.00	77 of 89 - Sale ²⁵
	500.000	169.69	09/24/20	210.71	41.02 W	0.00	78 of 89 - Sale ²⁵
	500.000	169.69	09/24/20	210.72	41.03 W	0.00	79 of 89 - Sale ²⁵
	500.000	169.69	09/24/20	210.71	41.02 W	0.00	80 of 89 - Sale ²⁵
	6.000	2.04	09/24/20	2.53	0.49 W	0.00	81 of 89 - Sale ²⁵
	494.000	167.65	09/24/20	198.01	30.36 W	0.00	82 of 89 - Sale ²⁵
	500.000	169.69	09/24/20	200.41	30.72 W	0.00	83 of 89 - Sale ²⁵
	500.000	169.69	09/24/20	200.41	30.72 W	0.00	84 of 89 - Sale ²⁵
	500.000	169.69	09/24/20	200.42	30.73 W	0.00	85 of 89 - Sale ²⁵
	6.000	2.04	09/24/20	2.36	0.32 W	0.00	86 of 89 - Sale ²⁵
	194.000	65.84	09/24/20	76.38	10.54 W	0.00	87 of 89 - Sale ²⁵
	500.000	169.69	10/15/20	215.86	17.73 W	-28.44	88 of 89 - Sale ²⁵
	306.000	103.85	10/15/20	132.12	28.27 W	0.00	89 of 89 - Sale ²⁵
11/20/20	45,308.000	15,179.33	Various	17,448.11	2,240.34 W	-28.44	Total of 89 transactions
	Security total:	67,397.63		67,474.33	3,870.01 W	3,793.31	
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	91.000	34.55	06/30/20	40.04	5.49 W	0.00	1 of 3 - Sale ²⁵
	8.000	3.04	06/30/20	3.52	0.48 W	0.00	2 of 3 - Sale ²⁵
	1.000	0.38	06/30/20	0.44	0.06 W	0.00	3 of 3 - Sale ²⁵
07/09/20	100.000	37.97	Various	44.00	6.03 W	0.00	Total of 3 transactions
9 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	8.000	3.04	06/30/20	4.00	0.96 W	0.00	1 of 9 - Sale ²⁵
	91.000	34.54	06/30/20	45.53	10.99 W	0.00	2 of 9 - Sale ²⁵
	4,907.000	1,862.55	06/30/20	2,159.08	296.53 W	0.00	3 of 9 - Sale ²⁵
	100.000	37.96	06/30/20	44.00	6.04 W	0.00	4 of 9 - Sale ²⁵
	2,388.000	906.41	06/30/20	1,050.72	144.31 W	0.00	5 of 9 - Sale ²⁵

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Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	8.000	3.04	06/30/20	4.48	1.44 W	0.00	6 of 9 - Sale ²⁵
	91.000	34.54	06/30/20	51.03	16.49 W	0.00	7 of 9 - Sale ²⁵
	2,306.000	875.29	06/30/20	1,153.99	278.70 W	0.00	8 of 9 - Sale ²⁵
	1.000	0.38	06/30/20	0.50	0.12 W	0.00	9 of 9 - Sale ²⁵
07/10/20	9,900.000	3,757.75	Various	4,513.33	755.58 W	0.00	Total of 9 transactions
	141 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	3,835.000	708.61	07/24/20	806.12	...	-97.51	1 of 141 - Sale ²⁵
	1,350.000	249.45	07/24/20	283.77	...	-34.32	2 of 141 - Sale ²⁵
	665.000	122.88	07/24/20	139.78	...	-16.90	3 of 141 - Sale ²⁵
	500.000	92.38	07/24/20	105.10	...	-12.72	4 of 141 - Sale ²⁵
	500.000	92.39	07/24/20	105.10	...	-12.71	5 of 141 - Sale ²⁵
	500.000	92.39	07/24/20	105.10	...	-12.71	6 of 141 - Sale ²⁵
	500.000	92.39	07/24/20	105.10	...	-12.71	7 of 141 - Sale ²⁵
	500.000	92.39	07/24/20	105.10	...	-12.71	8 of 141 - Sale ²⁵
	300.000	55.43	07/24/20	63.06	...	-7.63	9 of 141 - Sale ²⁵
	300.000	55.43	07/24/20	63.06	...	-7.63	10 of 141 - Sale ²⁵
	200.000	36.95	07/24/20	42.04	...	-5.09	11 of 141 - Sale ²⁵
	165.000	30.49	07/24/20	34.68	...	-4.19	12 of 141 - Sale ²⁵
	150.000	27.72	07/24/20	31.53	...	-3.81	13 of 141 - Sale ²⁵
	135.000	24.94	07/24/20	28.38	...	-3.44	14 of 141 - Sale ²⁵
	100.000	18.48	07/24/20	21.02	...	-2.54	15 of 141 - Sale ²⁵
	100.000	18.48	07/24/20	21.02	...	-2.54	16 of 141 - Sale ²⁵
	100.000	18.48	07/24/20	21.02	...	-2.54	17 of 141 - Sale ²⁵
	100.000	18.48	07/24/20	21.02	...	-2.54	18 of 141 - Sale ²⁵
	67.000	12.38	08/19/20	9.41	...	2.97	19 of 141 - Sale ²⁵
	100.000	18.48	08/19/20	14.05	...	4.43	20 of 141 - Sale ²⁵
	200.000	36.95	08/19/20	28.10	...	8.85	21 of 141 - Sale ²⁵
	200.000	36.96	08/19/20	28.10	...	8.86	22 of 141 - Sale ²⁵
	333.000	61.53	08/19/20	46.79	...	14.74	23 of 141 - Sale ²⁵
	400.000	73.91	08/19/20	56.20	...	17.71	24 of 141 - Sale ²⁵
	400.000	73.91	08/19/20	56.20	...	17.71	25 of 141 - Sale ²⁵
	400.000	73.91	08/19/20	56.20	...	17.71	26 of 141 - Sale ²⁵
	400.000	73.91	08/19/20	56.20	...	17.71	27 of 141 - Sale ²⁵
	500.000	92.39	08/19/20	70.25	...	22.14	28 of 141 - Sale ²⁵

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(continued)

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	500.000	92.39	08/19/20	70.25	...	22.14	29 of 141 - Sale ²⁵
	500.000	92.39	08/19/20	70.25	...	22.14	30 of 141 - Sale ²⁵
	600.000	110.86	08/19/20	84.30	...	26.56	31 of 141 - Sale ²⁵
	600.000	110.86	08/19/20	84.30	...	26.56	32 of 141 - Sale ²⁵
	600.000	110.87	08/19/20	84.30	...	26.57	33 of 141 - Sale ²⁵
	600.000	110.87	08/19/20	84.30	...	26.57	34 of 141 - Sale ²⁵
	700.000	129.34	08/19/20	98.35	...	30.99	35 of 141 - Sale ²⁵
	900.000	166.30	08/19/20	126.45	...	39.85	36 of 141 - Sale ²⁵
	2,000.000	369.55	08/19/20	281.00	...	88.55	37 of 141 - Sale ²⁵
	1,189.000	219.70	09/09/20	388.19	...	-168.49	38 of 141 - Sale ²⁵
	2,932.000	541.76	09/09/20	632.21	...	-90.45	39 of 141 - Sale ²⁵
	2,499.000	461.75	09/09/20	538.84	...	-77.09	40 of 141 - Sale ²⁵
	1,900.000	351.07	09/09/20	409.69	...	-58.62	41 of 141 - Sale ²⁵
	405.000	74.83	09/09/20	132.22	...	-57.39	42 of 141 - Sale ²⁵
	600.000	110.87	09/09/20	159.63	...	-48.76	43 of 141 - Sale ²⁵
	312.000	57.65	09/09/20	101.86	...	-44.21	44 of 141 - Sale ²⁵
	300.000	55.43	09/09/20	97.95	...	-42.52	45 of 141 - Sale ²⁵
	1,368.000	252.77	09/09/20	294.97	...	-42.20	46 of 141 - Sale ²⁵
	500.000	92.39	09/09/20	133.03	...	-40.64	47 of 141 - Sale ²⁵
	500.000	92.39	09/09/20	133.03	...	-40.64	48 of 141 - Sale ²⁵
	500.000	92.39	09/09/20	133.03	...	-40.64	49 of 141 - Sale ²⁵
	500.000	92.39	09/09/20	133.03	...	-40.64	50 of 141 - Sale ²⁵
	500.000	92.39	09/09/20	133.03	...	-40.64	51 of 141 - Sale ²⁵
	400.000	73.91	09/09/20	106.42	...	-32.51	52 of 141 - Sale ²⁵
	400.000	73.91	09/09/20	106.42	...	-32.51	53 of 141 - Sale ²⁵
	319.000	58.94	09/09/20	84.87	...	-25.93	54 of 141 - Sale ²⁵
	1,200.000	221.73	09/09/20	246.75	...	-25.02	55 of 141 - Sale ²⁵
	801.000	148.00	09/09/20	172.71	...	-24.71	56 of 141 - Sale ²⁵
	299.000	55.25	09/09/20	79.55	...	-24.30	57 of 141 - Sale ²⁵
	91.000	16.81	09/09/20	35.21	...	-18.40	58 of 141 - Sale ²⁵
	181.000	33.45	09/09/20	48.16	...	-14.71	59 of 141 - Sale ²⁵
	100.000	18.48	09/09/20	32.65	...	-14.17	60 of 141 - Sale ²⁵
	500.000	92.39	09/09/20	102.81	...	-10.42	61 of 141 - Sale ²⁵
	500.000	92.39	09/09/20	102.81	...	-10.42	62 of 141 - Sale ²⁵

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	300.000	55.43	09/09/20	64.69	...	-9.26	63 of 141 - Sale ²⁵
	101.000	18.66	09/09/20	26.87	...	-8.21	64 of 141 - Sale ²⁵
	100.000	18.48	09/09/20	26.60	...	-8.12	65 of 141 - Sale ²⁵
	100.000	18.48	09/09/20	26.60	...	-8.12	66 of 141 - Sale ²⁵
	89.000	16.44	09/09/20	23.68	...	-7.24	67 of 141 - Sale ²⁵
	305.000	56.36	09/09/20	62.71	...	-6.35	68 of 141 - Sale ²⁵
	100.000	18.48	09/09/20	21.56	...	-3.08	69 of 141 - Sale ²⁵
	100.000	18.48	09/09/20	21.56	...	-3.08	70 of 141 - Sale ²⁵
	8.000	1.48	09/09/20	3.08	...	-1.60	71 of 141 - Sale ²⁵
	1.000	0.18	09/09/20	0.33	...	-0.15	72 of 141 - Sale ²⁵
	1,300.000	240.22	09/21/20	252.89	...	-12.67	73 of 141 - Sale ²⁵
	1,300.000	240.22	09/21/20	252.89	...	-12.67	74 of 141 - Sale ²⁵
	1,300.000	240.22	09/21/20	252.88	...	-12.66	75 of 141 - Sale ²⁵
	601.000	111.05	09/21/20	116.97	...	-5.92	76 of 141 - Sale ²⁵
	599.000	110.68	09/21/20	116.57	...	-5.89	77 of 141 - Sale ²⁵
	500.000	92.39	09/21/20	97.31	...	-4.92	78 of 141 - Sale ²⁵
	500.000	92.39	09/21/20	97.31	...	-4.92	79 of 141 - Sale ²⁵
	500.000	92.39	09/21/20	97.31	...	-4.92	80 of 141 - Sale ²⁵
	500.000	92.39	09/21/20	97.31	...	-4.92	81 of 141 - Sale ²⁵
	500.000	92.39	09/21/20	97.31	...	-4.92	82 of 141 - Sale ²⁵
	500.000	92.39	09/21/20	97.26	...	-4.87	83 of 141 - Sale ²⁵
	500.000	92.39	09/21/20	97.26	...	-4.87	84 of 141 - Sale ²⁵
	400.000	73.91	09/21/20	77.85	...	-3.94	85 of 141 - Sale ²⁵
	201.000	37.14	09/21/20	39.12	...	-1.98	86 of 141 - Sale ²⁵
	200.000	36.95	09/21/20	38.92	...	-1.97	87 of 141 - Sale ²⁵
	200.000	36.96	09/21/20	38.92	...	-1.96	88 of 141 - Sale ²⁵
	100.000	18.48	09/21/20	19.47	...	-0.99	89 of 141 - Sale ²⁵
	99.000	18.29	09/21/20	19.27	...	-0.98	90 of 141 - Sale ²⁵
	100.000	18.48	09/21/20	19.46	...	-0.98	91 of 141 - Sale ²⁵
	100.000	18.48	09/21/20	19.45	...	-0.97	92 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.77	...	0.71	93 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.76	...	0.72	94 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.76	...	0.72	95 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.76	...	0.72	96 of 141 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	100.000	18.48	09/24/20	17.76	...	0.72	97 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.76	...	0.72	98 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.76	...	0.72	99 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.76	...	0.72	100 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.76	...	0.72	101 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.76	...	0.72	102 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	17.75	...	0.73	103 of 141 - Sale ²⁵
	139.000	25.68	09/24/20	24.69	...	0.99	104 of 141 - Sale ²⁵
	200.000	36.96	09/24/20	35.52	...	1.44	105 of 141 - Sale ²⁵
	200.000	36.96	09/24/20	35.51	...	1.45	106 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	16.76	...	1.72	107 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	16.76	...	1.72	108 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	16.76	...	1.72	109 of 141 - Sale ²⁵
	100.000	18.48	09/24/20	16.76	...	1.72	110 of 141 - Sale ²⁵
	300.000	55.43	09/24/20	53.29	...	2.14	111 of 141 - Sale ²⁵
	300.000	55.43	09/24/20	53.29	...	2.14	112 of 141 - Sale ²⁵
	300.000	55.43	09/24/20	53.28	...	2.15	113 of 141 - Sale ²⁵
	300.000	55.44	09/24/20	53.29	...	2.15	114 of 141 - Sale ²⁵
	300.000	55.44	09/24/20	53.29	...	2.15	115 of 141 - Sale ²⁵
	400.000	73.91	09/24/20	71.05	...	2.86	116 of 141 - Sale ²⁵
	400.000	73.91	09/24/20	71.05	...	2.86	117 of 141 - Sale ²⁵
	400.000	73.92	09/24/20	71.05	...	2.87	118 of 141 - Sale ²⁵
	200.000	36.95	09/24/20	33.53	...	3.42	119 of 141 - Sale ²⁵
	200.000	36.96	09/24/20	33.52	...	3.44	120 of 141 - Sale ²⁵
	500.000	92.39	09/24/20	88.81	...	3.58	121 of 141 - Sale ²⁵
	500.000	92.39	09/24/20	88.78	...	3.61	122 of 141 - Sale ²⁵
	700.000	129.35	09/24/20	124.30	...	5.05	123 of 141 - Sale ²⁵
	300.000	55.43	09/24/20	50.29	...	5.14	124 of 141 - Sale ²⁵
	300.000	55.43	09/24/20	50.29	...	5.14	125 of 141 - Sale ²⁵
	761.000	140.62	09/24/20	135.17	...	5.45	126 of 141 - Sale ²⁵
	400.000	73.91	09/24/20	67.05	...	6.86	127 of 141 - Sale ²⁵
	1,000.000	184.78	09/24/20	177.63	...	7.15	128 of 141 - Sale ²⁵
	1,000.000	184.78	09/24/20	177.62	...	7.16	129 of 141 - Sale ²⁵
	500.000	92.39	09/24/20	83.81	...	8.58	130 of 141 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	500.000	92.39	09/24/20	83.81	...	8.58	131 of 141 - Sale ²⁵
	500.000	92.39	09/24/20	83.81	...	8.58	132 of 141 - Sale ²⁵
	500.000	92.39	09/24/20	83.81	...	8.58	133 of 141 - Sale ²⁵
	500.000	92.39	09/24/20	83.81	...	8.58	134 of 141 - Sale ²⁵
	500.000	92.39	09/24/20	83.81	...	8.58	135 of 141 - Sale ²⁵
	1,200.000	221.74	09/24/20	213.15	...	8.59	136 of 141 - Sale ²⁵
	600.000	110.87	09/24/20	100.58	...	10.29	137 of 141 - Sale ²⁵
	600.000	110.87	09/24/20	100.57	...	10.30	138 of 141 - Sale ²⁵
	1,176.000	217.29	09/24/20	197.12	...	20.17	139 of 141 - Sale ²⁵
	1,324.000	244.64	09/24/20	221.94	...	22.70	140 of 141 - Sale ²⁵
	1,500.000	277.15	09/24/20	251.44	...	25.71	141 of 141 - Sale ²⁵
10/07/20	70,000.000	12,934.44	Various	13,721.84	...	-787.40	Total of 141 transactions
	69 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	500.000	92.39	07/16/20	150.27	57.88 W	0.00	1 of 69 - Sale ²⁵
	600.000	110.87	07/16/20	180.32	69.45 W	0.00	2 of 69 - Sale ²⁵
	400.000	73.91	07/16/20	120.21	46.30 W	0.00	3 of 69 - Sale ²⁵
	100.000	18.48	07/16/20	30.05	11.57 W	0.00	4 of 69 - Sale ²⁵
	400.000	73.91	07/16/20	120.21	46.30 W	0.00	5 of 69 - Sale ²⁵
	500.000	92.39	07/16/20	150.27	57.88 W	0.00	6 of 69 - Sale ²⁵
	100.000	18.48	07/16/20	30.05	11.57 W	0.00	7 of 69 - Sale ²⁵
	101.000	18.66	07/16/20	30.35	11.69 W	0.00	8 of 69 - Sale ²⁵
	299.000	55.25	07/16/20	89.86	34.61 W	0.00	9 of 69 - Sale ²⁵
	500.000	92.39	07/16/20	150.27	57.88 W	0.00	10 of 69 - Sale ²⁵
	500.000	92.38	07/16/20	150.26	57.88 W	0.00	11 of 69 - Sale ²⁵
	500.000	92.39	07/16/20	150.27	57.88 W	0.00	12 of 69 - Sale ²⁵
	500.000	92.38	07/16/20	150.26	57.88 W	0.00	13 of 69 - Sale ²⁵
	8.000	1.48	07/16/20	3.36	1.88 W	0.00	14 of 69 - Sale ²⁵
	91.000	16.81	07/16/20	38.34	21.53 W	0.00	15 of 69 - Sale ²⁵
	312.000	57.65	07/16/20	112.62	54.97 W	0.00	16 of 69 - Sale ²⁵
	89.000	16.45	07/16/20	26.75	10.30 W	0.00	17 of 69 - Sale ²⁵
	300.000	55.43	07/16/20	108.29	52.86 W	0.00	18 of 69 - Sale ²⁵
	100.000	18.48	07/16/20	36.10	17.62 W	0.00	19 of 69 - Sale ²⁵
	500.000	92.39	07/16/20	120.05	27.66 W	0.00	20 of 69 - Sale ²⁵
	1,594.000	294.53	07/16/20	575.36	280.83 W	0.00	21 of 69 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	1.000	0.18	07/16/20	0.36	0.18 W	0.00	22 of 69 - Sale ²⁵
	2,005.000	370.48	07/16/20	481.40	110.92 W	0.00	23 of 69 - Sale ²⁵
	100.000	18.48	07/16/20	25.01	6.53 W	0.00	24 of 69 - Sale ²⁵
	100.000	18.48	07/16/20	25.01	6.53 W	0.00	25 of 69 - Sale ²⁵
	2,499.000	461.76	07/16/20	625.00	163.24 W	0.00	26 of 69 - Sale ²⁵
	2,701.000	499.08	07/16/20	675.52	176.44 W	0.00	27 of 69 - Sale ²⁵
	4,600.000	849.97	07/16/20	1,150.46	300.49 W	0.00	28 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	115.10	22.71 W	0.00	29 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	115.10	22.71 W	0.00	30 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	115.10	22.71 W	0.00	31 of 69 - Sale ²⁵
	99.000	18.29	07/20/20	22.79	4.50 W	0.00	32 of 69 - Sale ²⁵
	200.000	36.96	07/20/20	46.04	9.08 W	0.00	33 of 69 - Sale ²⁵
	201.000	37.14	07/20/20	46.27	9.13 W	0.00	34 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	115.10	22.71 W	0.00	35 of 69 - Sale ²⁵
	599.000	110.69	07/20/20	137.89	27.20 W	0.00	36 of 69 - Sale ²⁵
	601.000	111.05	07/20/20	138.35	27.30 W	0.00	37 of 69 - Sale ²⁵
	200.000	36.96	07/20/20	46.04	9.08 W	0.00	38 of 69 - Sale ²⁵
	100.000	18.47	07/20/20	23.02	4.55 W	0.00	39 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	115.10	22.71 W	0.00	40 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	115.10	22.71 W	0.00	41 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	115.10	22.71 W	0.00	42 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	115.10	22.71 W	0.00	43 of 69 - Sale ²⁵
	4,000.000	739.09	07/20/20	920.80	181.71 W	0.00	44 of 69 - Sale ²⁵
	100.000	18.48	07/20/20	22.03	3.55 W	0.00	45 of 69 - Sale ²⁵
	1,300.000	240.21	07/20/20	286.39	46.18 W	0.00	46 of 69 - Sale ²⁵
	300.000	55.43	07/20/20	66.09	10.66 W	0.00	47 of 69 - Sale ²⁵
	100.000	18.48	07/20/20	22.03	3.55 W	0.00	48 of 69 - Sale ²⁵
	200.000	36.96	07/20/20	44.06	7.10 W	0.00	49 of 69 - Sale ²⁵
	2,000.000	369.55	07/20/20	440.60	71.05 W	0.00	50 of 69 - Sale ²⁵
	2,000.000	369.55	07/20/20	440.60	71.05 W	0.00	51 of 69 - Sale ²⁵
	200.000	36.95	07/20/20	44.06	7.11 W	0.00	52 of 69 - Sale ²⁵
	1,800.000	332.60	07/20/20	396.54	63.94 W	0.00	53 of 69 - Sale ²⁵
	2,000.000	369.55	07/20/20	440.60	71.05 W	0.00	54 of 69 - Sale ²⁵
	600.000	110.87	07/20/20	126.18	15.31 W	0.00	55 of 69 - Sale ²⁵

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Robinhood Securities LLC

Account 114832611

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	600.000	110.86	07/20/20	126.18	15.32 W	0.00	56 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	105.15	12.76 W	0.00	57 of 69 - Sale ²⁵
	300.000	55.43	07/20/20	63.09	7.66 W	0.00	58 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	105.15	12.76 W	0.00	59 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	105.15	12.76 W	0.00	60 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	105.15	12.76 W	0.00	61 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	105.15	12.76 W	0.00	62 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	105.15	12.76 W	0.00	63 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	105.15	12.76 W	0.00	64 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	105.15	12.76 W	0.00	65 of 69 - Sale ²⁵
	1,324.000	244.64	07/20/20	278.44	33.80 W	0.00	66 of 69 - Sale ²⁵
	1,176.000	217.30	07/20/20	247.31	30.01 W	0.00	67 of 69 - Sale ²⁵
	500.000	92.39	07/20/20	105.15	12.76 W	0.00	68 of 69 - Sale ²⁵
	1,500.000	277.16	07/20/20	315.45	38.29 W	0.00	69 of 69 - Sale ²⁵
10/07/20	50,000.000	9,238.83	Various	12,114.28	2,875.45 W	0.00	Total of 69 transactions
	Security total:	25,968.99		30,393.45	3,637.06 W	-787.40	
Totals :		1,681,308.65		1,688,944.45	120,004.25 W	112,368.45	

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Robinhood Securities LLC

Account 114832611

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/31/20	0.88	Interest	
		04/30/20	3.39	Interest	
		08/31/20	3.51	Interest	
		09/23/20	9.60	Interest	
		09/30/20	4.02	Interest	
		10/30/20	23.64	Interest	
		11/30/20	24.08	Interest	
		12/15/20	9.76	Interest	
		12/31/20	9.68	Interest	
			88.56	Total Interest	
			88.56	Total Interest	

Robinhood Securities LLC

Account 114832611

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/13/20	-5.00	Margin interest paid	
		06/12/20	-5.00	Margin interest paid	
		07/13/20	-5.00	Margin interest paid	
		08/11/20	-5.00	Margin interest paid	
		09/10/20	-5.00	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
		11/09/20	-5.00	Margin interest paid	
		12/09/20	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
			-40.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Instructions for Recipient

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S.

Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient.

Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Instructions for Recipient

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.