

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 125061648	Statement Date: 02/14/2021 Document ID: 5GM1 Y3G M56E	2020
	Sarath kumar Yanduru 450 Normandy St 605 Houston, TX 77015 RECIPIENT'S TIN: XXX-XX-7745		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.02		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	257,788.14	270,551.48	0.00	2,097.17	-10,666.17
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	257,788.14	270,551.48	0.00	2,097.17	-10,666.17
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	257,788.14	270,551.48	0.00	2,097.17	-10,666.17

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 125061648

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) &	Additional information
AAPL 01/03/2020 CALL \$295.00 / CUSIP: / Symbol:								
01/02/20	1.000	404.98	12/31/19	117.00	...		287.98	Option sale to close-call ²⁵
AAPL 01/03/2020 CALL \$302.50 / CUSIP: / Symbol:								
01/03/20	1.000	4.98	01/02/20	94.00	...		-89.02	Option sale to close-call ²⁵
AAPL 01/10/2020 CALL \$302.50 / CUSIP: / Symbol:								
01/09/20	1.000	694.97	01/08/20	249.00	...		445.97	Option sale to close-call ²⁵
AAPL 01/17/2020 CALL \$312.50 / CUSIP: / Symbol:								
01/16/20	1.000	364.98	01/13/20	430.00	...		-65.02	Option sale to close-call ²⁵
AAPL 01/31/2020 CALL \$325.00 / CUSIP: / Symbol:								
01/31/20	1.000	0.00	01/29/20	696.02	...		-696.02	Option expiration- long call
01/31/20	1.000	23.98	01/29/20	365.00	341.02 W		0.00	Option sale to close-call ²⁵
Security total:		23.98		1,061.02	341.02 W		-696.02	

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Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/31/2020 CALL \$327.50 / CUSIP: / Symbol:							
2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	1.000	6.98	01/29/20	365.00	...	-358.02	1 of 2 - Option sale to close-call ²⁵
	1.000	7.98	01/29/20	365.00	...	-357.02	2 of 2 - Option sale to close-call ²⁵
01/31/20	2.000	14.96	Various	730.00	...	-715.04	Total of 2 transactions
AAPL 01/31/2020 CALL \$330.00 / CUSIP: / Symbol:							
5 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	1.000	5.99	01/29/20	248.01	...	-242.02	1 of 5 - Option sale to close-call ²⁵
	1.000	5.99	01/29/20	248.00	...	-242.01	2 of 5 - Option sale to close-call ²⁵
	1.000	6.00	01/29/20	248.00	...	-242.00	3 of 5 - Option sale to close-call ²⁵
	1.000	7.00	01/29/20	174.00	...	-167.00	4 of 5 - Option sale to close-call ²⁵
	2.000	13.99	01/29/20	162.00	...	-148.01	5 of 5 - Option sale to close-call ²⁵
01/31/20	6.000	38.97	Various	1,080.01	...	-1,041.04	Total of 5 transactions
3 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	1.000	7.00	01/29/20	174.00	167.00 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	7.00	01/29/20	174.00	167.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	6.99	01/29/20	174.00	167.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
01/31/20	3.000	20.99	Various	522.00	501.01 W	0.00	Total of 3 transactions
	Security total:	59.96		1,602.01	501.01 W	-1,041.04	
AAPL 01/31/2020 CALL \$345.00 / CUSIP: / Symbol:							
01/30/20	40.000	119.91	01/29/20	960.00	...	-840.09	Option sale to close-call ²⁵
AAPL 02/07/2020 CALL \$320.00 / CUSIP: / Symbol:							
02/05/20	1.000	499.97	02/04/20	261.00	...	238.97	Option sale to close-call ²⁵
AAPL 02/07/2020 CALL \$325.00 / CUSIP: / Symbol:							
02/07/20	1.000	26.98	02/06/20	76.00	...	-49.02	Option sale to close-call ²⁵
AAPL 02/07/2020 CALL \$327.50 / CUSIP: / Symbol:							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	1.000	107.99	02/04/20	48.00	...	59.99	1 of 2 - Option sale to close-call ²⁵
	1.000	107.99	02/04/20	44.00	...	63.99	2 of 2 - Option sale to close-call ²⁵
02/05/20	2.000	215.98	Various	92.00	...	123.98	Total of 2 transactions

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/07/2020 CALL \$330.00 / CUSIP: / Symbol: 02/07/20	1.000	0.00	02/05/20	65.00	...	-65.00	Option expiration- long call
AAPL 02/14/2020 PUT \$320.00 / CUSIP: / Symbol: 02/14/20	1.000	20.98	02/13/20	37.00	...	-16.02	Option sale to close-put ²⁵
AAPL 02/28/2020 CALL \$295.00 / CUSIP: / Symbol: 02/28/20	1.000	6.98	02/27/20	41.00	...	-34.02	Option sale to close-call ²⁵
AAPL 02/28/2020 PUT \$270.00 / CUSIP: / Symbol: 02/28/20	1.000	166.98	02/27/20	185.00	...	-18.02	Option sale to close-put ²⁵
AAPL 03/06/2020 CALL \$297.50 / CUSIP: / Symbol: 03/05/20	1.000	314.98	03/04/20	435.00	...	-120.02	Option sale to close-call ²⁵
AAPL 03/06/2020 CALL \$312.50 / CUSIP: / Symbol: 03/04/20	1.000	24.98	03/03/20	94.00	...	-69.02	Option sale to close-call ²⁵
AAPL 03/06/2020 PUT \$265.00 / CUSIP: / Symbol: 03/05/20	1.000	117.98	03/04/20	0.00	...	117.98	Short sale closed- put ²⁵ Option written: 03/03/20
AAPL 03/06/2020 PUT \$270.00 / CUSIP: / Symbol: 03/04/20	1.000	38.98	03/03/20	200.00	...	-161.02	Option sale to close-put ²⁵
AAPL 03/13/2020 CALL \$292.50 / CUSIP: / Symbol: 03/10/20	1.000	94.98	03/10/20	200.00	...	-105.02	Option sale to close-call ²⁵
AAPL 03/13/2020 PUT \$275.00 / CUSIP: / Symbol: 03/10/20	1.000	699.97	03/09/20	1,120.00	...	-420.03	Option sale to close-put ²⁵
AAPL 03/27/2020 CALL \$252.50 / CUSIP: / Symbol: 03/26/20	1.000	664.97	03/26/20	425.00	...	239.97	Option sale to close-call ²⁵
AAPL 05/15/2020 CALL \$320.00 / CUSIP: / Symbol: 05/11/20	1.000	97.98	05/08/20	95.00	...	2.98	Option sale to close-call ²⁵
AAPL 05/15/2020 CALL \$322.50 / CUSIP: / Symbol: 05/13/20	1.000	103.98	05/12/20	160.00	...	-56.02	Option sale to close-call ²⁵

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Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 05/22/2020 CALL \$322.50 / CUSIP: / Symbol: 05/19/20	1.000	206.98	05/18/20	88.00	...	118.98	Option sale to close-call ²⁵
AAPL 06/12/2020 CALL \$345.00 / CUSIP: / Symbol: 06/10/20	1.000	679.97	06/09/20	99.00	...	580.97	Option sale to close-call ²⁵
AAPL 06/19/2020 CALL \$360.00 / CUSIP: / Symbol: 06/17/20	1.000	168.98	06/16/20	178.00	...	-9.02	Option sale to close-call ²⁵
AAPL 06/26/2020 CALL \$367.50 / CUSIP: / Symbol: 06/26/20	1.000	77.98	06/25/20	144.00	...	-66.02	Option sale to close-call ²⁵
AAPL 06/26/2020 CALL \$370.00 / CUSIP: / Symbol: 06/25/20	1.000	89.98	06/23/20	227.00	...	-137.02	Option sale to close-call ²⁵
AAPL 06/26/2020 PUT \$352.50 / CUSIP: / Symbol: 06/26/20	1.000	11.98	06/25/20	104.00	...	-92.02	Option sale to close-put ²⁵
AAPL 07/02/2020 CALL \$365.00 / CUSIP: / Symbol: 06/30/20	1.000	264.98	06/29/20	206.00	...	58.98	Option sale to close-call ²⁵
AAPL 07/17/2020 CALL \$390.00 / CUSIP: / Symbol: 07/10/20	1.000	349.98	07/10/20	341.00	...	8.98	Option sale to close-call ²⁵
AAPL 07/17/2020 CALL \$392.50 / CUSIP: / Symbol: 07/16/20	1.000	253.98	07/15/20	365.00	...	-111.02	Option sale to close-call ²⁵
AAPL 07/17/2020 CALL \$397.50 / CUSIP: / Symbol: 07/16/20	1.000	139.98	07/15/20	425.00	...	-285.02	Option sale to close-call ²⁵
AAPL 08/07/2020 CALL \$455.00 / CUSIP: / Symbol: 08/05/20	1.000	120.98	08/04/20	365.00	...	-244.02	Option sale to close-call ²⁵
AAPL 08/14/2020 CALL \$470.00 / CUSIP: / Symbol: 08/11/20	1.000	147.98	08/07/20	375.00	...	-227.02	Option sale to close-call ²⁵
AAPL 08/28/2020 CALL \$505.00 / CUSIP: / Symbol: 08/24/20	1.000	1,339.96	08/24/20	1,612.00	...	-272.04	Option sale to close-call ²⁵

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/28/2020 CALL \$515.00 / CUSIP: / Symbol:							
08/25/20	1.000	211.96	08/24/20	0.00	...	211.96	Short sale closed- call ²⁵ Option written: 08/24/20
AAPL 09/11/2020 CALL \$123.75 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/04/20	257.00	...	-257.00	Option expiration- long call
AAPL 09/18/2020 CALL \$122.50 / CUSIP: / Symbol:							
09/10/20	1.000	161.98	09/08/20	335.00	...	-173.02	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$123.75 / CUSIP: / Symbol:							
10/13/20	1.000	147.98	10/13/20	263.00	...	-115.02	Option sale to close-call ²⁵
AAPL 10/23/2020 CALL \$121.00 / CUSIP: / Symbol:							
10/21/20	1.000	54.98	10/20/20	57.00	...	-2.02	Option sale to close-call ²⁵
AAPL 12/31/2020 CALL \$141.00 / CUSIP: / Symbol:							
12/29/20	1.000	14.98	12/28/20	34.00	...	-19.02	Option sale to close-call ²⁵
ADBE 02/07/2020 CALL \$375.00 / CUSIP: / Symbol:							
2 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	1.000	22.98	02/05/20	23.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	3.000	68.98	02/05/20	69.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
02/06/20	4.000	91.96	Various	92.00	...	-0.04	Total of 2 transactions
02/07/20	1.000	0.00	02/05/20	23.00	...	-23.00	Option expiration- long call
	Security total:	91.96		115.00	...	-23.04	
ADBE 03/13/2020 CALL \$387.50 / CUSIP: / Symbol:							
03/13/20	1.000	0.00	03/04/20	181.00	...	-181.00	Option expiration- long call
ADBE 06/19/2020 CALL \$430.00 / CUSIP: / Symbol:							
06/15/20	1.000	66.98	06/12/20	212.00	...	-145.02	Option sale to close-call ²⁵
ADBE 06/26/2020 CALL \$440.00 / CUSIP: / Symbol:							
06/26/20	1.000	49.98	06/25/20	131.00	...	-81.02	Option sale to close-call ²⁵
ADBE 06/26/2020 CALL \$445.00 / CUSIP: / Symbol:							
06/23/20	1.000	534.97	06/23/20	232.00	...	302.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 07/10/2020 CALL \$452.50 / CUSIP: / Symbol: 07/07/20	1.000	509.97	07/06/20	253.00	...	256.97	Option sale to close-call ²⁵
ADBE 07/31/2020 CALL \$445.00 / CUSIP: / Symbol: 07/28/20	1.000	170.98	07/27/20	365.00	...	-194.02	Option sale to close-call ²⁵
ADBE 08/07/2020 CALL \$457.50 / CUSIP: / Symbol: 08/04/20	1.000	159.98	08/03/20	155.00	...	4.98	Option sale to close-call ²⁵
ADBE 09/04/2020 CALL \$540.00 / CUSIP: / Symbol: 09/03/20	1.000	34.98	09/02/20	423.00	...	-388.02	Option sale to close-call ²⁵
ADBE 09/11/2020 CALL \$502.50 / CUSIP: / Symbol: 09/11/20	1.000	20.98	09/10/20	223.00	...	-202.02	Option sale to close-call ²⁵
ADBE 10/16/2020 CALL \$520.00 / CUSIP: / Symbol: 10/15/20	1.000	44.98	10/14/20	135.00	...	-90.02	Option sale to close-call ²⁵
ADSK 06/05/2020 CALL \$222.50 / CUSIP: / Symbol: 06/03/20	1.000	206.98	06/02/20	211.00	...	-4.02	Option sale to close-call ²⁵
ADSK 06/12/2020 CALL \$240.00 / CUSIP: / Symbol: 06/10/20	1.000	139.98	06/08/20	184.00	...	-44.02	Option sale to close-call ²⁵
AGN 02/14/2020 PUT \$190.00 / CUSIP: / Symbol: 02/14/20	2.000	0.00	02/10/20	74.00	...	-74.00	Option expiration- long put
AMAT 02/14/2020 CALL \$67.50 / CUSIP: / Symbol: 02/14/20	1.000	33.98	02/13/20	67.00	...	-33.02	Option sale to close-call ²⁵
AMAT 02/14/2020 PUT \$65.50 / CUSIP: / Symbol: 02/14/20	1.000	0.00	02/13/20	19.00	...	-19.00	Option expiration- long put
AMD 01/03/2020 CALL \$47.00 / CUSIP: / Symbol: 01/02/20	1.000	45.98	12/31/19	14.00	...	31.98	Option sale to close-call ²⁵

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Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMD 01/10/2020 CALL \$50.00 / CUSIP: / Symbol:							
01/08/20	2.000	19.98	01/07/20	174.00	...	-154.02	Option sale to close-call ²⁵
01/10/20	1.000	2.98	01/07/20	87.00	...	-84.02	Option sale to close-call ²⁵
	Security total:	22.96		261.00	...	-238.04	
AMD 01/10/2020 CALL \$50.50 / CUSIP: / Symbol:							
01/10/20	5.000	0.00	01/09/20	70.00	...	-70.00	Option expiration- long call
AMD 01/31/2020 CALL \$53.50 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/28/20	101.00	...	-101.00	Option expiration- long call
AMD 02/28/2020 PUT \$40.00 / CUSIP: / Symbol:							
02/28/20	10.000	0.00	02/27/20	70.00	...	-70.00	Option expiration- long put
AMD 06/12/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/11/20	1.000	7.98	06/10/20	75.00	...	-67.02	Option sale to close-call ²⁵
AMD 07/31/2020 CALL \$79.00 / CUSIP: / Symbol:							
07/31/20	1.000	40.98	07/30/20	62.00	...	-21.02	Option sale to close-call ²⁵
AMD 08/07/2020 CALL \$81.00 / CUSIP: / Symbol:							
08/04/20	1.000	379.98	08/04/20	135.00	...	244.98	Option sale to close-call ²⁵
AMD 08/07/2020 CALL \$85.00 / CUSIP: / Symbol:							
08/05/20	1.000	170.98	08/04/20	236.00	...	-65.02	Option sale to close-call ²⁵
AMD 08/07/2020 CALL \$86.00 / CUSIP: / Symbol:							
08/06/20	1.000	91.98	08/05/20	125.00	...	-33.02	Option sale to close-call ²⁵
AMD 10/02/2020 CALL \$81.50 / CUSIP: / Symbol:							
	2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	3.000	389.98	09/30/20	408.00	...	-18.02	1 of 2 - Option sale to close-call ²⁵
	2.000	259.98	09/30/20	272.00	...	-12.02	2 of 2 - Option sale to close-call ²⁵
09/30/20	5.000	649.96	Various	680.00	...	-30.04	Total of 2 transactions
AMD 10/09/2020 CALL \$83.50 / CUSIP: / Symbol:							
10/06/20	1.000	196.98	10/05/20	213.00	...	-16.02	Option sale to close-call ²⁵

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AMD 10/09/2020 CALL \$86.50 / CUSIP: / Symbol: 10/08/20	1.000	211.98	10/07/20	153.00	...	58.98	Option sale to close-call ²⁵
AMD 10/09/2020 CALL \$92.00 / CUSIP: / Symbol: 10/09/20	1.000	0.00	10/08/20	66.00	...	-66.00	Option expiration- long call
AMD 10/23/2020 CALL \$83.50 / CUSIP: / Symbol: 10/23/20	1.000	1.98	10/22/20	27.00	...	-25.02	Option sale to close-call ²⁵
AMD 10/30/2020 CALL \$84.50 / CUSIP: / Symbol: 10/28/20	1.000	17.98	10/27/20	65.00	...	-47.02	Option sale to close-call ²⁵
AMD 11/13/2020 CALL \$86.00 / CUSIP: / Symbol: 11/13/20	1.000	2.98	11/12/20	19.00	...	-16.02	Option sale to close-call ²⁵
AMD 11/20/2020 CALL \$89.00 / CUSIP: / Symbol: 11/20/20	1.000	1.98	11/19/20	16.00	...	-14.02	Option sale to close-call ²⁵
AMD 12/11/2020 CALL \$93.00 / CUSIP: / Symbol: 12/09/20	1.000	117.98	12/08/20	136.00	...	-18.02	Option sale to close-call ²⁵
AMD 12/24/2020 CALL \$97.00 / CUSIP: / Symbol: 12/23/20	1.000	4.98	12/22/20	15.00	...	-10.02	Option sale to close-call ²⁵
AMZN 02/28/2020 CALL \$2,000.00 / CUSIP: / Symbol: 02/26/20	1.000	2,997.92	02/26/20	2,719.00	...	278.92	Option sale to close-call ²⁵
AMZN 02/28/2020 CALL \$2,005.00 / CUSIP: / Symbol: 02/27/20	1.000	-285.07	02/26/20	0.00	...	-285.07	Short sale closed- call ²⁵ Option written: 02/26/20
AMZN 02/28/2020 PUT \$1,890.00 / CUSIP: / Symbol: 03/02/20	1.000	-3,188.06	02/28/20	0.00	...	-3,188.06	Short sale closed- put ²⁵ Option written: 02/27/20
AMZN 02/28/2020 PUT \$1,920.00 / CUSIP: / Symbol: 02/28/20	1.000	7,872.81	02/27/20	3,128.00	...	4,744.81	Option sale to close-put ²⁵

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AMZN 02/28/2020 PUT \$1,930.00 / CUSIP: / Symbol: 03/02/20	1.000	-7,170.06	02/28/20	0.00	...	-7,170.06	Short sale closed- put ²⁵ Option written: 02/27/20
AMZN 02/28/2020 PUT \$1,940.00 / CUSIP: / Symbol: 02/28/20	1.000	10,197.76	02/27/20	2,490.00	...	7,707.76	Option sale to close-put ²⁵
AMZN 03/06/2020 PUT \$1,600.00 / CUSIP: / Symbol: 03/05/20	1.000	47.98	03/04/20	0.00	...	47.98	Short sale closed- put ²⁵ Option written: 03/03/20
AMZN 03/06/2020 PUT \$1,610.00 / CUSIP: / Symbol: 03/04/20	1.000	18.98	03/03/20	76.00	...	-57.02	Option sale to close-put ²⁵
AMZN 03/06/2020 PUT \$1,835.00 / CUSIP: / Symbol: 03/05/20	1.000	1,774.94	03/04/20	0.00	...	1,774.94	Short sale closed- put ²⁵ Option written: 03/03/20
AMZN 03/06/2020 PUT \$1,850.00 / CUSIP: / Symbol: 03/04/20	1.000	359.98	03/03/20	2,443.00	...	-2,083.02	Option sale to close-put ²⁵
AMZN 03/20/2020 CALL \$2,900.00 / CUSIP: / Symbol: 02/03/20	1.000	33.98	01/31/20	38.00	...	-4.02	Option sale to close-call ²⁵
AMZN 04/17/2020 CALL \$2,420.00 / CUSIP: / Symbol: 04/15/20	1.000	889.97	04/15/20	906.00	...	-16.03	Option sale to close-call ²⁵
AMZN 04/17/2020 CALL \$2,440.00 / CUSIP: / Symbol: 04/16/20	1.000	60.97	04/15/20	0.00	...	60.97	Short sale closed- call ²⁵ Option written: 04/15/20
AMZN 04/24/2020 CALL \$2,340.00 / CUSIP: / Symbol: 04/16/20	1.000	11,539.73	04/15/20	6,200.00	...	5,339.73	Option sale to close-call ²⁵
AMZN 04/24/2020 CALL \$2,360.00 / CUSIP: / Symbol: 04/17/20	1.000	-4,954.14	04/16/20	0.00	...	-4,954.14	Short sale closed- call ²⁵ Option written: 04/15/20

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AMZN 04/24/2020 CALL \$2,510.00 / CUSIP: / Symbol:							
04/21/20	1.000	3,249.91	04/20/20	4,480.00	...	-1,230.09	Option sale to close-call ²⁵
AMZN 04/24/2020 CALL \$2,520.00 / CUSIP: / Symbol:							
04/22/20	1.000	1,199.89	04/21/20	0.00	...	1,199.89	Short sale closed- call ²⁵ Option written: 04/20/20
AMZN 05/01/2020 CALL \$2,640.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/30/20	1,413.00	...	-1,413.00	Option expiration- long call
AMZN 05/01/2020 CALL \$2,650.00 / CUSIP: / Symbol:							
05/01/20	1.000	1,299.96	05/01/20	0.00	...	1,299.96	Short sale closed- call expired Option written: 04/30/20
AMZN 05/08/2020 CALL \$2,395.00 / CUSIP: / Symbol:							
05/05/20	1.000	1,439.95	05/04/20	965.00	...	474.95	Option sale to close-call ²⁵
05/06/20	1.000	519.97	05/05/20	490.00	...	29.97	Option sale to close-call ²⁵
Security total:		1,959.92		1,455.00	...	504.92	
AMZN 05/08/2020 PUT \$2,090.00 / CUSIP: / Symbol:							
05/05/20	1.000	46.97	05/04/20	0.00	...	46.97	Short sale closed- put ²⁵ Option written: 05/01/20
AMZN 05/08/2020 PUT \$2,095.00 / CUSIP: / Symbol:							
05/04/20	1.000	444.98	05/01/20	493.00	...	-48.02	Option sale to close-put ²⁵
AMZN 05/22/2020 CALL \$2,520.00 / CUSIP: / Symbol:							
05/21/20	1.000	849.97	05/21/20	1,270.00	...	-420.03	Option sale to close-call ²⁵
AMZN 05/22/2020 CALL \$2,530.00 / CUSIP: / Symbol:							
05/22/20	1.000	39.98	05/21/20	215.00	...	-175.02	Option sale to close-call ²⁵
AMZN 06/26/2020 CALL \$2,810.00 / CUSIP: / Symbol:							
06/26/20	1.000	201.98	06/25/20	276.00	...	-74.02	Option sale to close-call ²⁵
AMZN 07/24/2020 CALL \$3,180.00 / CUSIP: / Symbol:							
07/23/20	1.000	1,199.96	07/22/20	3,308.00	...	-2,108.04	Option sale to close-call ²⁵

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AMZN 07/24/2020 CALL \$3,190.00 / CUSIP: / Symbol: 07/24/20	1.000	1,972.92	07/23/20	0.00	...	1,972.92	Short sale closed- call ²⁵ Option written: 07/22/20
AMZN 07/24/2020 CALL \$3,400.00 / CUSIP: / Symbol: 07/21/20	1.000	2,504.93	07/21/20	2,260.00	...	244.93	Option sale to close-call ²⁵
AMZN 07/31/2020 CALL \$3,005.00 / CUSIP: / Symbol: 07/27/20	1.000	13,264.69	07/24/20	11,660.00	...	1,604.69	Option sale to close-call ²⁵
AMZN 07/31/2020 CALL \$3,010.00 / CUSIP: / Symbol: 07/28/20	1.000	-1,605.27	07/27/20	0.00	...	-1,605.27	Short sale closed- call ²⁵ Option written: 07/24/20
AMZN 08/28/2020 CALL \$3,560.00 / CUSIP: / Symbol: 08/28/20	1.000	62.98	08/27/20	465.00	...	-402.02	Option sale to close-call ²⁵
AMZN 10/02/2020 CALL \$3,330.00 / CUSIP: / Symbol: 10/02/20	1.000	14.98	10/01/20	278.00	...	-263.02	Option sale to close-call ²⁵
AMZN 10/09/2020 CALL \$3,295.00 / CUSIP: / Symbol: 10/09/20	1.000	88.98	10/08/20	396.00	...	-307.02	Option sale to close-call ²⁵
AMZN 10/09/2020 CALL \$3,300.00 / CUSIP: / Symbol: 10/12/20	1.000	271.98	10/09/20	0.00	...	271.98	Short sale closed- call ²⁵ Option written: 10/08/20
AMZN 10/16/2020 CALL \$3,540.00 / CUSIP: / Symbol: 10/14/20	1.000	2,401.93	10/13/20	3,424.00	...	-1,022.07	Option sale to close-call ²⁵
AMZN 10/16/2020 CALL \$3,550.00 / CUSIP: / Symbol: 10/15/20	1.000	1,001.91	10/14/20	0.00	...	1,001.91	Short sale closed- call ²⁵ Option written: 10/13/20
AMZN 10/23/2020 CALL \$3,500.00 / CUSIP: / Symbol: 10/23/20	1.000	0.00	10/16/20	3,752.00	...	-3,752.00	Option expiration- long call

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AMZN 10/23/2020 CALL \$3,510.00 / CUSIP: / Symbol:							
10/23/20	1.000	3,506.91	10/23/20	0.00	...	3,506.91	Short sale closed- call expired Option written: 10/16/20
APPN 12/18/2020 CALL \$160.00 / CUSIP: / Symbol:							
12/16/20	1.000	34.98	12/15/20	140.00	...	-105.02	Option sale to close-call ²⁵
ATVI 10/23/2020 CALL \$83.00 / CUSIP: / Symbol:							
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	10/22/20	64.00	...	-64.00	1 of 3 - Option expiration- long call
	1.000	0.98	10/22/20	32.00	...	-31.02	2 of 3 - Option sale to close-call ²⁵
	1.000	0.98	10/22/20	32.00	...	-31.02	3 of 3 - Option sale to close-call ²⁵
10/23/20	4.000	1.96	Various	128.00	...	-126.04	Total of 3 transactions
AUY 02/14/2020 CALL \$4.00 / CUSIP: / Symbol:							
3 transactions for 02/13/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	02/12/20	5.00	...	4.99	1 of 3 - Option sale to close-call ²⁵
	2.000	19.98	02/12/20	10.00	...	9.98	2 of 3 - Option sale to close-call ²⁵
	2.000	19.99	02/12/20	10.00	...	9.99	3 of 3 - Option sale to close-call ²⁵
02/13/20	5.000	49.96	Various	25.00	...	24.96	Total of 3 transactions
2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	1.000	30.00	02/12/20	5.00	...	25.00	1 of 2 - Option sale to close-call ²⁵
	4.000	119.98	02/12/20	20.00	...	99.98	2 of 2 - Option sale to close-call ²⁵
02/14/20	5.000	149.98	Various	25.00	...	124.98	Total of 2 transactions
	Security total:	199.94		50.00	...	149.94	
AUY 07/24/2020 CALL \$6.50 / CUSIP: / Symbol:							
07/24/20	10.000	9.97	07/23/20	30.00	...	-20.03	Option sale to close-call ²⁵
AVGO 01/03/2020 CALL \$317.50 / CUSIP: / Symbol:							
01/02/20	1.000	309.98	12/31/19	75.00	...	234.98	Option sale to close-call ²⁵
AVGO 01/17/2020 PUT \$297.50 / CUSIP: / Symbol:							
01/15/20	1.000	74.98	01/14/20	45.00	...	29.98	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AVGO 01/24/2020 PUT \$312.50 / CUSIP: / Symbol:							
01/24/20	1.000	0.00	01/23/20	24.00	...	-24.00	Option expiration- long put
AVGO 01/31/2020 CALL \$332.50 / CUSIP: / Symbol:							
01/27/20	1.000	69.98	01/24/20	460.00	...	-390.02	Option sale to close-call ²⁵
AVGO 02/07/2020 CALL \$322.50 / CUSIP: / Symbol:							
02/07/20	1.000	0.00	02/06/20	45.00	...	-45.00	Option expiration- long call
AVGO 03/13/2020 CALL \$312.50 / CUSIP: / Symbol:							
03/04/20	1.000	79.98	03/03/20	125.00	...	-45.02	Option sale to close-call ²⁵
AVGO 07/02/2020 CALL \$312.50 / CUSIP: / Symbol:							
06/30/20	1.000	164.98	06/29/20	265.00	...	-100.02	Option sale to close-call ²⁵
AVGO 08/07/2020 CALL \$335.00 / CUSIP: / Symbol:							
08/06/20	1.000	89.98	08/05/20	160.00	...	-70.02	Option sale to close-call ²⁵
AYI 01/17/2020 CALL \$155.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/08/20	119.00	...	-119.00	Option expiration- long call
AZO 01/10/2020 CALL \$1,172.50 / CUSIP: / Symbol:							
01/08/20	1.000	209.98	01/07/20	295.00	...	-85.02	Option sale to close-call ²⁵
AZO 03/06/2020 CALL \$1,110.00 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	02/28/20	879.00	...	-879.00	Option expiration- long call
AZO 03/06/2020 CALL \$1,170.00 / CUSIP: / Symbol:							
03/06/20	1.000	277.98	03/06/20	0.00	...	277.98	Short sale closed- call expired Option written: 02/28/20
BA 01/31/2020 CALL \$345.00 / CUSIP: / Symbol:							
01/28/20	1.000	54.98	01/27/20	63.00	...	-8.02	Option sale to close-call ²⁵
BA 03/27/2020 CALL \$180.00 / CUSIP: / Symbol:							
03/27/20	1.000	199.98	03/26/20	829.00	...	-629.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125061648

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 03/27/2020 CALL \$190.00 / CUSIP: / Symbol:							
03/30/20	1.000	426.97	03/27/20	0.00	...	426.97	Short sale closed- call ²⁵ Option written: 03/26/20
BA 04/09/2020 CALL \$172.50 / CUSIP: / Symbol:							
04/07/20	3.000	899.97	04/07/20	600.00	...	299.97	Option sale to close-call ²⁵
	2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	1.000	24.99	04/07/20	200.00	...	-175.01	1 of 2 - Option sale to close-call ²⁵
	1.000	24.99	04/07/20	200.00	...	-175.01	2 of 2 - Option sale to close-call ²⁵
04/08/20	2.000	49.98	Various	400.00	...	-350.02	Total of 2 transactions
	Security total:	949.95		1,000.00	...	-50.05	
BA 05/29/2020 CALL \$155.00 / CUSIP: / Symbol:							
05/28/20	1.000	190.98	05/27/20	85.00	...	105.98	Option sale to close-call ²⁵
BA 05/29/2020 CALL \$165.00 / CUSIP: / Symbol:							
05/29/20	1.000	1.98	05/28/20	36.00	...	-34.02	Option sale to close-call ²⁵
BA 06/12/2020 CALL \$215.00 / CUSIP: / Symbol:							
06/11/20	1.000	52.98	06/10/20	455.00	...	-402.02	Option sale to close-call ²⁵
BA 06/26/2020 CALL \$190.00 / CUSIP: / Symbol:							
06/24/20	1.000	216.98	06/23/20	270.00	...	-53.02	Option sale to close-call ²⁵
BA 07/02/2020 CALL \$190.00 / CUSIP: / Symbol:							
06/29/20	1.000	444.98	06/29/20	292.00	...	152.98	Option sale to close-call ²⁵
BA 07/02/2020 CALL \$192.50 / CUSIP: / Symbol:							
06/30/20	1.000	439.98	06/29/20	495.00	...	-55.02	Option sale to close-call ²⁵
BA 07/24/2020 CALL \$190.00 / CUSIP: / Symbol:							
07/22/20	1.000	72.98	07/21/20	185.00	...	-112.02	Option sale to close-call ²⁵
BA 07/24/2020 PUT \$170.00 / CUSIP: / Symbol:							
07/22/20	1.000	141.98	07/21/20	111.00	...	30.98	Option sale to close-put ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 08/07/2020 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	134.98	08/04/20	178.00	...	-43.02	1 of 2 - Option sale to close-call ²⁵
	1.000	159.98	08/04/20	178.00	...	-18.02	2 of 2 - Option sale to close-call ²⁵
08/05/20	2.000	294.96	Various	356.00	...	-61.04	Total of 2 transactions
BA 08/07/2020 CALL \$175.00 / CUSIP: / Symbol:							
08/07/20	1.000	26.98	08/05/20	91.00	...	-64.02	Option sale to close-call ²⁵
BA 08/14/2020 CALL \$185.00 / CUSIP: / Symbol:							
08/12/20	4.000	499.97	08/12/20	548.00	...	-48.03	Option sale to close-call ²⁵
08/13/20	1.000	118.98	08/12/20	137.00	...	-18.02	Option sale to close-call ²⁵
	Security total:	618.95		685.00	...	-66.05	
BA 08/21/2020 CALL \$180.00 / CUSIP: / Symbol:							
08/19/20	1.000	98.98	08/17/20	173.00	...	-74.02	Option sale to close-call ²⁵
BA 08/28/2020 CALL \$180.00 / CUSIP: / Symbol:							
08/25/20	1.000	289.98	08/24/20	212.00	...	77.98	Option sale to close-call ²⁵
BA 08/28/2020 CALL \$185.00 / CUSIP: / Symbol:							
08/25/20	1.000	106.98	08/25/20	179.00	...	-72.02	Option sale to close-call ²⁵
BA 09/04/2020 CALL \$175.00 / CUSIP: / Symbol:							
09/02/20	1.000	239.98	09/01/20	247.00	...	-7.02	Option sale to close-call ²⁵
BA 09/04/2020 CALL \$180.00 / CUSIP: / Symbol:							
09/04/20	1.000	17.98	09/03/20	51.00	...	-33.02	Option sale to close-call ²⁵
BA 10/02/2020 CALL \$170.00 / CUSIP: / Symbol:							
09/28/20	4.000	747.97	09/28/20	492.00	...	255.97	Option sale to close-call ²⁵
09/29/20	1.000	138.98	09/28/20	123.00	...	15.98	Option sale to close-call ²⁵
10/02/20	1.000	30.98	09/30/20	191.00	...	-160.02	Option sale to close-call ²⁵
	Security total:	917.93		806.00	...	111.93	
BA 10/09/2020 CALL \$172.50 / CUSIP: / Symbol:							
10/09/20	1.000	21.98	10/08/20	38.00	...	-16.02	Option sale to close-call ²⁵

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Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 10/09/2020 CALL \$180.00 / CUSIP: / Symbol: 10/06/20	1.000	121.98	10/05/20	123.00	...	-1.02	Option sale to close-call ²⁵
BA 10/09/2020 CALL \$182.50 / CUSIP: / Symbol: 10/08/20	1.000	3.98	10/06/20	97.00	...	-93.02	Option sale to close-call ²⁵
BA 10/16/2020 CALL \$172.50 / CUSIP: / Symbol: 10/15/20	1.000	10.98	10/14/20	47.00	...	-36.02	Option sale to close-call ²⁵
BA 10/23/2020 CALL \$182.50 / CUSIP: / Symbol: 10/23/20	1.000	1.98	10/16/20	187.00	...	-185.02	Option sale to close-call ²⁵
BA 11/13/2020 CALL \$200.00 / CUSIP: / Symbol: 11/09/20	1.000	103.98	11/09/20	110.00	...	-6.02	Option sale to close-call ²⁵
BA 11/13/2020 CALL \$205.00 / CUSIP: / Symbol: 11/09/20	1.000	97.98	11/09/20	104.00	...	-6.02	Option sale to close-call ²⁵
BA 11/20/2020 CALL \$235.00 / CUSIP: / Symbol: 11/18/20	1.000	89.98	11/18/20	176.00	...	-86.02	Option sale to close-call ²⁵
BA 12/11/2020 CALL \$232.50 / CUSIP: / Symbol: 12/09/20	1.000	319.98	12/09/20	290.00	...	29.98	Option sale to close-call ²⁵
BA 12/11/2020 CALL \$237.50 / CUSIP: / Symbol: 12/10/20	1.000	164.98	12/09/20	173.00	...	-8.02	Option sale to close-call ²⁵
BA 12/18/2020 CALL \$235.00 / CUSIP: / Symbol: 12/16/20	1.000	148.98	12/15/20	134.00	...	14.98	Option sale to close-call ²⁵
BABA 01/03/2020 CALL \$215.00 / CUSIP: / Symbol: 01/02/20	1.000	499.97	12/31/19	57.00	...	442.97	Option sale to close-call ²⁵
BABA 01/03/2020 CALL \$217.50 / CUSIP: / Symbol: 8 transactions for 01/02/20. Total proceeds and cost reported to the IRS.	1.000	201.98	12/31/19	21.00	...	180.98	1 of 8 - Option sale to close-call ²⁵
	1.000	210.98	12/31/19	20.00	...	190.98	2 of 8 - Option sale to close-call ²⁵
	1.000	211.98	12/31/19	21.00	...	190.98	3 of 8 - Option sale to close-call ²⁵

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BABA 01/03/2020 CALL \$217.50 / CUSIP: / Symbol: (cont'd)							
	1.000	242.98	12/31/19	21.00	...	221.98	4 of 8 - Option sale to close-call ²⁵
	1.000	248.98	12/31/19	21.00	...	227.98	5 of 8 - Option sale to close-call ²⁵
	1.000	249.98	12/31/19	21.00	...	228.98	6 of 8 - Option sale to close-call ²⁵
	2.000	325.98	12/31/19	40.00	...	285.98	7 of 8 - Option sale to close-call ²⁵
	2.000	447.98	12/31/19	40.00	...	407.98	8 of 8 - Option sale to close-call ²⁵
01/02/20	10.000	2,140.84	Various	205.00	...	1,935.84	Total of 8 transactions
BABA 01/10/2020 CALL \$222.50 / CUSIP: / Symbol:							
01/10/20	1.000	249.98	01/09/20	110.02	...	139.96	Option sale to close-call ²⁵
01/10/20	1.000	99.98	01/09/20	105.00	5.02 W	0.00	Option sale to close-call ²⁵
	Security total:	349.96		215.02	5.02 W	139.96	
BABA 01/10/2020 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	25.98	01/09/20	17.00	...	8.98	1 of 2 - Option sale to close-call ²⁵
	2.000	47.98	01/09/20	34.00	...	13.98	2 of 2 - Option sale to close-call ²⁵
01/10/20	3.000	73.96	Various	51.00	...	22.96	Total of 2 transactions
BABA 01/17/2020 CALL \$227.50 / CUSIP: / Symbol:							
01/17/20	1.000	35.98	01/13/20	325.00	...	-289.02	Option sale to close-call ²⁵
BABA 01/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
01/24/20	1.000	0.00	01/23/20	125.00	...	-125.00	Option expiration- long call
BABA 02/07/2020 CALL \$222.50 / CUSIP: / Symbol:							
02/06/20	1.000	276.98	02/05/20	177.00	...	99.98	Option sale to close-call ²⁵
BABA 02/14/2020 CALL \$222.50 / CUSIP: / Symbol:							
02/14/20	1.000	19.98	02/13/20	189.00	...	-169.02	Option sale to close-call ²⁵
BABA 02/28/2020 PUT \$200.00 / CUSIP: / Symbol:							
02/28/20	1.000	62.98	02/27/20	212.00	...	-149.02	Option sale to close-put ²⁵
BABA 06/19/2020 CALL \$230.00 / CUSIP: / Symbol:							
06/19/20	1.000	9.98	06/17/20	93.00	...	-83.02	Option sale to close-call ²⁵

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BABA 07/02/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/30/20	1.000	112.98	06/29/20	135.00	...	-22.02	Option sale to close-call ²⁵
BABA 07/10/2020 CALL \$270.00 / CUSIP: / Symbol:							
07/10/20	1.000	56.98	07/09/20	223.00	...	-166.02	Option sale to close-call ²⁵
BABA 07/17/2020 CALL \$260.00 / CUSIP: / Symbol:							
07/16/20	1.000	22.98	07/15/20	141.00	...	-118.02	Option sale to close-call ²⁵
BABA 07/24/2020 CALL \$260.00 / CUSIP: / Symbol:							
07/21/20	1.000	479.97	07/20/20	262.00	...	217.97	Option sale to close-call ²⁵
07/24/20	1.000	0.98	07/23/20	78.00	...	-77.02	Option sale to close-call ²⁵
Security total:		480.95		340.00	...	140.95	
BABA 07/24/2020 CALL \$265.00 / CUSIP: / Symbol:							
07/22/20	1.000	69.98	07/21/20	253.00	...	-183.02	Option sale to close-call ²⁵
BABA 08/07/2020 CALL \$267.50 / CUSIP: / Symbol:							
08/07/20	1.000	3.98	08/06/20	53.00	...	-49.02	Option sale to close-call ²⁵
BABA 10/02/2020 CALL \$292.50 / CUSIP: / Symbol:							
10/02/20	1.000	52.98	10/01/20	267.00	...	-214.02	Option sale to close-call ²⁵
BABA 10/09/2020 CALL \$295.00 / CUSIP: / Symbol:							
10/06/20	1.000	218.98	10/05/20	164.00	...	54.98	Option sale to close-call ²⁵
BABA 10/30/2020 CALL \$327.50 / CUSIP: / Symbol:							
10/29/20	1.000	15.98	10/27/20	137.00	...	-121.02	Option sale to close-call ²⁵
BABA 11/13/2020 CALL \$295.00 / CUSIP: / Symbol:							
11/11/20	1.000	37.98	11/10/20	96.00	...	-58.02	Option sale to close-call ²⁵
BABA 11/20/2020 CALL \$272.50 / CUSIP: / Symbol:							
11/20/20	1.000	7.98	11/19/20	27.00	...	-19.02	Option sale to close-call ²⁵
BABA 11/27/2020 CALL \$282.50 / CUSIP: / Symbol:							
11/24/20	1.000	130.98	11/20/20	123.00	...	7.98	Option sale to close-call ²⁵

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BABA 12/04/2020 CALL \$282.50 / CUSIP: / Symbol:							
12/02/20	1.000	19.98	12/01/20	36.00	...	-16.02	Option sale to close-call ²⁵
BABA 12/31/2020 CALL \$255.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/30/20	35.00	...	-35.00	Option expiration- long call
BIDU 10/23/2020 CALL \$131.00 / CUSIP: / Symbol:							
10/20/20	1.000	173.98	10/20/20	157.00	...	16.98	Option sale to close-call ²⁵
BKNG 05/29/2020 CALL \$1,780.00 / CUSIP: / Symbol:							
05/28/20	1.000	299.98	05/27/20	390.00	...	-90.02	Option sale to close-call ²⁵
BKNG 05/29/2020 CALL \$1,800.00 / CUSIP: / Symbol:							
05/29/20	1.000	9.98	05/28/20	70.00	...	-60.02	Option sale to close-call ²⁵
BKNG 05/29/2020 CALL \$1,840.00 / CUSIP: / Symbol:							
05/28/20	1.000	59.98	05/27/20	480.00	...	-420.02	Option sale to close-call ²⁵
BKNG 06/12/2020 CALL \$1,950.00 / CUSIP: / Symbol:							
06/08/20	1.000	1,119.96	06/05/20	980.00	...	139.96	Option sale to close-call ²⁵
BLK 01/10/2020 CALL \$525.00 / CUSIP: / Symbol:							
01/07/20	1.000	4.98	01/02/20	30.00	...	-25.02	Option sale to close-call ²⁵
BLK 01/17/2020 CALL \$520.00 / CUSIP: / Symbol:							
01/16/20	1.000	1,509.95	01/15/20	480.00	...	1,029.95	Option sale to close-call ²⁵
BLK 01/17/2020 CALL \$532.50 / CUSIP: / Symbol:							
01/15/20	4.000	1,127.96	01/15/20	320.00	...	807.96	Option sale to close-call ²⁵
		<i>5 transactions for 01/16/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	299.98	01/15/20	185.00	...	114.98	1 of 5 - Option sale to close-call ²⁵
	1.000	299.99	01/15/20	185.00	...	114.99	2 of 5 - Option sale to close-call ²⁵
	1.000	339.98	01/15/20	155.00	...	184.98	3 of 5 - Option sale to close-call ²⁵
	1.000	399.98	01/15/20	155.00	...	244.98	4 of 5 - Option sale to close-call ²⁵
	2.000	599.98	01/15/20	160.00	...	439.98	5 of 5 - Option sale to close-call ²⁵
01/16/20	6.000	1,939.91	Various	840.00	...	1,099.91	Total of 5 transactions
01/17/20	1.000	533.97	01/15/20	120.00	...	413.97	Option sale to close-call ²⁵
Security total:		3,601.84		1,280.00	...	2,321.84	

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BLK 01/17/2020 CALL \$537.50 / CUSIP: / Symbol:							
01/15/20	10.000	1,099.95	01/15/20	650.00	...	449.95	Option sale to close-call ²⁵
BLK 01/17/2020 CALL \$540.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/16/20	75.00	...	-75.00	Option expiration- long call
BLK 01/17/2020 CALL \$545.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/17/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	01/16/20	40.00	...	-40.00	1 of 2 - Option expiration- long call
	2.000	0.00	01/16/20	40.00	...	-40.00	2 of 2 - Option expiration- long call
01/17/20	4.000	0.00	Various	80.00	...	-80.00	Total of 2 transactions
BLK 01/24/2020 CALL \$535.00 / CUSIP: / Symbol:							
01/22/20	1.000	269.98	01/17/20	370.00	...	-100.02	Option sale to close-call ²⁵
BLK 01/24/2020 CALL \$542.50 / CUSIP: / Symbol:							
01/24/20	1.000	299.98	01/17/20	105.00	...	194.98	Option sale to close-call ²⁵
BLK 01/24/2020 CALL \$547.50 / CUSIP: / Symbol:							
01/24/20	1.000	59.98	01/17/20	95.00	...	-35.02	Option sale to close-call ²⁵
BLK 02/07/2020 CALL \$537.50 / CUSIP: / Symbol:							
02/05/20	1.000	609.97	02/04/20	400.00	...	209.97	Option sale to close-call ²⁵
BLK 02/07/2020 PUT \$532.50 / CUSIP: / Symbol:							
02/06/20	1.000	44.98	02/05/20	70.00	...	-25.02	Option sale to close-put ²⁵
BLK 02/07/2020 PUT \$540.00 / CUSIP: / Symbol:							
02/07/20	1.000	0.00	02/06/20	20.00	...	-20.00	Option expiration- long put
BLK 02/14/2020 CALL \$575.00 / CUSIP: / Symbol:							
02/14/20	1.000	0.00	02/13/20	100.00	...	-100.00	Option expiration- long call
BLK 02/14/2020 CALL \$585.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	02/11/20	35.00	...	-35.00	1 of 2 - Option expiration- long call
	5.000	0.00	02/12/20	100.00	...	-100.00	2 of 2 - Option expiration- long call
02/14/20	6.000	0.00	Various	135.00	...	-135.00	Total of 2 transactions

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BYND 01/31/2020 CALL \$135.00 / CUSIP: / Symbol: (cont'd)							
01/28/20	1.000	267.98	01/24/20	231.00	...	36.98	Option sale to close-call ²⁵
Security total:		1,377.93		924.00	...	453.93	
BYND 02/07/2020 CALL \$124.00 / CUSIP: / Symbol:							
02/03/20	1.000	68.98	01/31/20	69.00	...	-0.02	Option sale to close-call ²⁵
BYND 05/15/2020 CALL \$136.00 / CUSIP: / Symbol:							
05/15/20	1.000	449.98	05/14/20	400.00	...	49.98	Option sale to close-call ²⁵
BYND 05/15/2020 CALL \$155.00 / CUSIP: / Symbol:							
05/12/20	1.000	139.98	05/11/20	265.00	...	-125.02	Option sale to close-call ²⁵
BYND 05/22/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/19/20	1.000	125.98	05/18/20	39.00	...	86.98	Option sale to close-call ²⁵
BYND 06/05/2020 CALL \$131.00 / CUSIP: / Symbol:							
06/01/20	1.000	774.97	05/29/20	485.00	...	289.97	Option sale to close-call ²⁵
BYND 06/12/2020 CALL \$143.00 / CUSIP: / Symbol:							
06/08/20	1.000	1,004.96	06/08/20	440.00	...	564.96	Option sale to close-call ²⁵
BYND 06/12/2020 CALL \$175.00 / CUSIP: / Symbol:							
06/10/20	1.000	211.98	06/09/20	201.00	...	10.98	Option sale to close-call ²⁵
BYND 06/19/2020 CALL \$170.00 / CUSIP: / Symbol:							
06/18/20	1.000	104.98	06/17/20	263.00	...	-158.02	Option sale to close-call ²⁵
BYND 06/26/2020 CALL \$152.50 / CUSIP: / Symbol:							
06/26/20	1.000	35.98	06/25/20	193.00	...	-157.02	Option sale to close-call ²⁵
BYND 07/02/2020 CALL \$150.00 / CUSIP: / Symbol:							
07/02/20	1.000	115.98	07/01/20	250.00	...	-134.02	Option sale to close-call ²⁵
BYND 07/17/2020 CALL \$129.00 / CUSIP: / Symbol:							
07/15/20	1.000	291.98	07/14/20	450.00	...	-158.02	Option sale to close-call ²⁵
BYND 07/17/2020 CALL \$135.00 / CUSIP: / Symbol:							
07/17/20	1.000	28.98	07/16/20	205.00	...	-176.02	Option sale to close-call ²⁵

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(continued)

02/14/2021

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BYND 07/17/2020 CALL \$137.00 / CUSIP: / Symbol:							
07/16/20	1.000	101.98	07/15/20	310.00	...	-208.02	Option sale to close-call ²⁵
BYND 10/02/2020 CALL \$175.00 / CUSIP: / Symbol:							
10/02/20	1.000	44.98	10/01/20	120.00	...	-75.02	Option sale to close-call ²⁵
BYND 11/20/2020 CALL \$133.00 / CUSIP: / Symbol:							
11/16/20	1.000	104.98	11/16/20	155.00	...	-50.02	Option sale to close-call ²⁵
CAT 09/04/2020 CALL \$152.50 / CUSIP: / Symbol:							
		4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.					
	1.000	6.98	09/03/20	35.01	...	-28.03	1 of 4 - Option sale to close-call ²⁵
	1.000	2.98	09/03/20	23.00	...	-20.02	2 of 4 - Option sale to close-call ²⁵
	2.000	33.99	09/03/20	46.01	...	-12.02	3 of 4 - Option sale to close-call ²⁵
	1.000	16.99	09/03/20	26.00	...	-9.01	4 of 4 - Option sale to close-call ²⁵
09/04/20	5.000	60.94	Various	130.02	...	-69.08	Total of 4 transactions
		3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.					
	3.000	53.99	09/03/20	78.00	24.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	2.000	35.99	09/03/20	58.00	22.01 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	17.00	09/03/20	37.01	20.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
09/04/20	6.000	106.98	Various	173.01	66.03 W	0.00	Total of 3 transactions
	Security total:	167.92		303.03	66.03 W	-69.08	
CAT 09/11/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/08/20	1.000	208.98	09/04/20	188.00	...	20.98	Option sale to close-call ²⁵
CAT 09/11/2020 CALL \$157.50 / CUSIP: / Symbol:							
09/11/20	1.000	3.98	09/09/20	44.00	...	-40.02	Option sale to close-call ²⁵
CAT 11/13/2020 CALL \$175.00 / CUSIP: / Symbol:							
11/13/20	1.000	9.98	11/12/20	23.00	...	-13.02	Option sale to close-call ²⁵
CF 02/14/2020 CALL \$42.00 / CUSIP: / Symbol:							
02/13/20	1.000	14.98	02/12/20	69.00	...	-54.02	Option sale to close-call ²⁵
CMG 05/29/2020 CALL \$1,035.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/27/20	155.00	...	-155.00	Option expiration- long call

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Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CMG 10/23/2020 CALL \$1,530.00 / CUSIP: / Symbol: 10/23/20	1.000	0.00	10/21/20	135.00	...	-135.00	Option expiration- long call
CMG 10/23/2020 CALL \$1,540.00 / CUSIP: / Symbol: 10/23/20	1.000	99.98	10/23/20	0.00	...	99.98	Short sale closed- call expired Option written: 10/21/20
COST 08/07/2020 CALL \$342.50 / CUSIP: / Symbol: 08/07/20	1.000	159.98	08/06/20	229.00	...	-69.02	Option sale to close-call ²⁵
COST 09/18/2020 CALL \$350.00 / CUSIP: / Symbol: 09/17/20	1.000	10.98	09/16/20	163.00	...	-152.02	Option sale to close-call ²⁵
CRM 01/17/2020 CALL \$187.50 / CUSIP: / Symbol: 01/17/20	1.000	0.00	01/10/20	19.00	...	-19.00	Option expiration- long call
CRM 08/28/2020 CALL \$215.00 / CUSIP: / Symbol: 08/25/20	1.000	584.97	08/24/20	320.00	...	264.97	Option sale to close-call ²⁵
CRM 10/02/2020 CALL \$257.50 / CUSIP: / Symbol: 10/01/20	1.000	108.98	09/30/20	138.00	...	-29.02	Option sale to close-call ²⁵
CRM 10/09/2020 CALL \$270.00 / CUSIP: / Symbol: 10/09/20	1.000	11.98	10/08/20	19.00	...	-7.02	Option sale to close-call ²⁵
CRM 10/30/2020 CALL \$262.50 / CUSIP: / Symbol: 10/26/20	1.000	49.98	10/23/20	85.00	...	-35.02	Option sale to close-call ²⁵
CYBR 02/14/2020 CALL \$127.00 / CUSIP: / Symbol: 02/14/20	1.000	0.00	02/12/20	145.00	...	-145.00	Option expiration- long call
DIS 01/03/2020 CALL \$146.00 / CUSIP: / Symbol: 01/02/20	1.000	59.98	12/31/19	20.00	...	39.98	Option sale to close-call ²⁵
DIS 02/07/2020 CALL \$146.00 / CUSIP: / Symbol: 02/05/20	1.000	144.98	02/04/20	400.00	...	-255.02	Option sale to close-call ²⁵
DIS 02/14/2020 CALL \$143.00 / CUSIP: / Symbol: 02/11/20	1.000	80.98	02/10/20	68.00	...	12.98	Option sale to close-call ²⁵

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DIS 08/07/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/07/20	1.000	69.98	08/06/20	34.00	...	35.98	Option sale to close-call ²⁵
DIS 08/28/2020 CALL \$134.00 / CUSIP: / Symbol:							
08/28/20	1.000	78.98	08/27/20	131.00	...	-52.02	Option sale to close-call ²⁵
DIS 10/02/2020 CALL \$126.00 / CUSIP: / Symbol:							
10/02/20	1.000	3.98	10/01/20	22.00	...	-18.02	Option sale to close-call ²⁵
DIS 10/09/2020 CALL \$128.00 / CUSIP: / Symbol:							
10/06/20	1.000	18.98	10/05/20	16.00	...	2.98	Option sale to close-call ²⁵
DIS 12/18/2020 CALL \$172.50 / CUSIP: / Symbol:							
12/15/20	1.000	89.98	12/14/20	171.00	...	-81.02	Option sale to close-call ²⁵
DIS 12/18/2020 CALL \$180.00 / CUSIP: / Symbol:							
12/16/20	1.000	34.98	12/15/20	30.00	...	4.98	Option sale to close-call ²⁵
DKNG 10/23/2020 CALL \$49.00 / CUSIP: / Symbol:							
10/22/20	1.000	4.98	10/20/20	45.00	...	-40.02	Option sale to close-call ²⁵
DLTR 08/28/2020 CALL \$103.00 / CUSIP: / Symbol:							
08/27/20	1.000	64.98	08/26/20	415.00	...	-350.02	Option sale to close-call ²⁵
DOCU 10/09/2020 CALL \$237.50 / CUSIP: / Symbol:							
10/07/20	1.000	112.98	10/05/20	165.00	52.02 W	0.00	Option sale to close-call ²⁵
10/08/20	1.000	16.98	10/06/20	174.02	...	-157.04	Option sale to close-call ²⁵
	Security total:	129.96		339.02	52.02 W	-157.04	
DOCU 11/20/2020 CALL \$225.00 / CUSIP: / Symbol:							
11/19/20	1.000	152.98	11/18/20	83.00	...	69.98	Option sale to close-call ²⁵
DPZ 01/10/2020 CALL \$295.00 / CUSIP: / Symbol:							
01/10/20	1.000	0.00	01/09/20	35.00	...	-35.00	Option expiration- long call
DPZ 01/17/2020 CALL \$295.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/16/20	30.00	...	-30.00	Option expiration- long call

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DPZ 02/28/2020 CALL \$375.00 / CUSIP: / Symbol: 02/27/20	1.000	59.98	02/25/20	380.00	...	-320.02	Option sale to close-call ²⁵
DPZ 04/24/2020 CALL \$400.00 / CUSIP: / Symbol: 04/20/20	1.000	839.97	04/17/20	290.00	...	549.97	Option sale to close-call ²⁵
DPZ 05/29/2020 CALL \$365.00 / CUSIP: / Symbol: 05/27/20	1.000	869.97	05/27/20	320.00	...	549.97	Option sale to close-call ²⁵
DPZ 06/05/2020 CALL \$395.00 / CUSIP: / Symbol: 06/05/20	1.000	9.98	06/04/20	40.00	...	-30.02	Option sale to close-call ²⁵
DPZ 07/02/2020 CALL \$375.00 / CUSIP: / Symbol: 06/30/20	1.000	124.98	06/29/20	220.00	...	-95.02	Option sale to close-call ²⁵
DPZ 07/17/2020 CALL \$425.00 / CUSIP: / Symbol: 07/17/20	1.000	9.98	07/16/20	250.00	...	-240.02	Option sale to close-call ²⁵
DPZ 10/02/2020 CALL \$437.50 / CUSIP: / Symbol: 10/02/20	1.000	19.98	10/01/20	65.00	...	-45.02	Option sale to close-call ²⁵
DVA 02/14/2020 CALL \$81.50 / CUSIP: / Symbol: 02/11/20	1.000	479.98	02/10/20	265.00	...	214.98	Option sale to close-call ²⁵
EFX 02/14/2020 CALL \$160.00 / CUSIP: / Symbol: 02/13/20	1.000	469.98	02/13/20	40.00	...	429.98	Option sale to close-call ²⁵
EFX 02/14/2020 PUT \$160.00 / CUSIP: / Symbol: 02/14/20	1.000	0.00	02/13/20	15.00	...	-15.00	Option expiration- long put
EFX 06/19/2020 CALL \$175.00 / CUSIP: / Symbol: 06/19/20	1.000	4.98	06/16/20	60.00	...	-55.02	Option sale to close-call ²⁵
ETSY 07/31/2020 CALL \$110.00 / CUSIP: / Symbol: 07/31/20	1.000	699.97	07/30/20	184.00	...	515.97	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 01/03/2020 CALL \$207.50 / CUSIP: / Symbol:							
3 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	1.000	107.98	12/31/19	73.00	...	34.98	1 of 3 - Option sale to close-call ²⁵
	1.000	99.98	12/31/19	38.00	...	61.98	2 of 3 - Option sale to close-call ²⁵
	1.000	105.98	12/31/19	38.00	...	67.98	3 of 3 - Option sale to close-call ²⁵
01/02/20	3.000	313.94	Various	149.00	...	164.94	Total of 3 transactions
FB 01/03/2020 CALL \$210.00 / CUSIP: / Symbol:							
01/02/20	1.000	25.98	12/31/19	11.00	...	14.98	Option sale to close-call ²⁵
FB 01/10/2020 CALL \$217.50 / CUSIP: / Symbol:							
01/10/20	1.000	195.98	01/09/20	104.00	...	91.98	Option sale to close-call ²⁵
FB 01/10/2020 CALL \$220.00 / CUSIP: / Symbol:							
3 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	43.98	01/09/20	34.00	...	9.98	1 of 3 - Option sale to close-call ²⁵
	1.000	48.98	01/09/20	34.00	...	14.98	2 of 3 - Option sale to close-call ²⁵
	3.000	149.98	01/09/20	102.00	...	47.98	3 of 3 - Option sale to close-call ²⁵
01/10/20	5.000	242.94	Various	170.00	...	72.94	Total of 3 transactions
FB 01/17/2020 CALL \$222.50 / CUSIP: / Symbol:							
01/16/20	1.000	85.98	01/14/20	156.00	...	-70.02	Option sale to close-call ²⁵
FB 01/31/2020 CALL \$225.00 / CUSIP: / Symbol:							
01/29/20	1.000	509.97	01/28/20	191.00	...	318.97	Option sale to close-call ²⁵
FB 02/07/2020 CALL \$215.00 / CUSIP: / Symbol:							
02/06/20	1.000	27.98	02/05/20	27.00	...	0.98	Option sale to close-call ²⁵
FB 02/28/2020 CALL \$205.00 / CUSIP: / Symbol:							
02/26/20	1.000	35.98	02/25/20	192.00	...	-156.02	Option sale to close-call ²⁵
FB 05/01/2020 CALL \$205.00 / CUSIP: / Symbol:							
04/28/20	1.000	131.98	04/27/20	262.00	...	-130.02	Option sale to close-call ²⁵
FB 05/22/2020 CALL \$235.00 / CUSIP: / Symbol:							
05/21/20	1.000	262.98	05/20/20	44.00	...	218.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FB 05/29/2020 PUT \$215.00 / CUSIP: / Symbol:							
05/28/20	1.000	10.98	05/27/20	62.00	...	-51.02	Option sale to close-put ²⁵
FB 06/12/2020 CALL \$235.00 / CUSIP: / Symbol:							
06/09/20	1.000	202.98	06/08/20	134.00	...	68.98	Option sale to close-call ²⁵
FB 06/12/2020 CALL \$242.50 / CUSIP: / Symbol:							
06/10/20	1.000	232.98	06/09/20	89.00	...	143.98	Option sale to close-call ²⁵
FB 06/19/2020 CALL \$237.50 / CUSIP: / Symbol:							
06/19/20	1.000	144.98	06/18/20	83.00	...	61.98	Option sale to close-call ²⁵
FB 06/19/2020 CALL \$242.50 / CUSIP: / Symbol:							
06/17/20	1.000	53.98	06/16/20	86.00	...	-32.02	Option sale to close-call ²⁵
FB 06/26/2020 CALL \$242.50 / CUSIP: / Symbol:							
06/25/20	1.000	55.98	06/24/20	130.00	...	-74.02	Option sale to close-call ²⁵
FB 07/10/2020 CALL \$240.00 / CUSIP: / Symbol:							
07/07/20	1.000	459.97	07/06/20	200.00	...	259.97	Option sale to close-call ²⁵
FB 07/10/2020 CALL \$250.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	17.99	07/09/20	180.00	...	-162.01	1 of 2 - Option sale to close-call ²⁵
	1.000	8.99	07/09/20	150.00	...	-141.01	2 of 2 - Option sale to close-call ²⁵
07/10/20	3.000	26.98	Various	330.00	...	-303.02	Total of 2 transactions
FB 08/07/2020 CALL \$255.00 / CUSIP: / Symbol:							
08/06/20	1.000	60.98	08/05/20	139.00	...	-78.02	Option sale to close-call ²⁵
FB 08/14/2020 CALL \$267.50 / CUSIP: / Symbol:							
08/14/20	1.000	22.98	08/13/20	155.00	...	-132.02	Option sale to close-call ²⁵
FB 08/14/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/11/20	1.000	46.98	08/07/20	270.00	...	-223.02	Option sale to close-call ²⁵
FB 08/28/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/26/20	1.000	899.97	08/25/20	420.00	...	479.97	Option sale to close-call ²⁵

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FB 08/28/2020 CALL \$310.00 / CUSIP: / Symbol: 08/28/20	1.000	12.98	08/27/20	184.00	...	-171.02	Option sale to close-call ²⁵
FB 10/02/2020 CALL \$275.00 / CUSIP: / Symbol: 10/02/20	1.000	2.98	10/01/20	28.00	...	-25.02	Option sale to close-call ²⁵
FB 10/16/2020 CALL \$277.50 / CUSIP: / Symbol: 10/14/20	1.000	349.98	10/13/20	287.00	...	62.98	Option sale to close-call ²⁵
FB 10/23/2020 CALL \$285.00 / CUSIP: / Symbol: 10/23/20	1.000	1.98	10/21/20	158.00	...	-156.02	Option sale to close-call ²⁵
FB 10/30/2020 CALL \$325.00 / CUSIP: / Symbol: 10/26/20	1.000	48.98	10/23/20	90.00	...	-41.02	Option sale to close-call ²⁵
FB 12/18/2020 CALL \$285.00 / CUSIP: / Symbol: 12/16/20	1.000	53.98	12/15/20	71.00	...	-17.02	Option sale to close-call ²⁵
FDX 01/17/2020 CALL \$162.50 / CUSIP: / Symbol: 01/16/20	1.000	41.98	01/15/20	100.00	...	-58.02	Option sale to close-call ²⁵
FFIV 07/31/2020 CALL \$160.00 / CUSIP: / Symbol: 07/28/20	1.000	4.98	07/27/20	195.00	...	-190.02	Option sale to close-call ²⁵
FSLY 12/18/2020 CALL \$96.50 / CUSIP: / Symbol: 12/16/20	1.000	274.98	12/15/20	240.00	...	34.98	Option sale to close-call ²⁵
FSLY 12/24/2020 CALL \$112.00 / CUSIP: / Symbol: 12/24/20	1.000	0.00	12/23/20	40.00	...	-40.00	Option expiration- long call
GM 01/15/2021 CALL \$55.00 / CUSIP: / Symbol: 03/19/20	1.000	4.98	03/04/20	8.00	...	-3.02	Option sale to close-call ²⁵
GOOG 06/26/2020 CALL \$1,465.00 / CUSIP: / Symbol: 06/26/20	1.000	19.98	06/25/20	185.00	...	-165.02	Option sale to close-call ²⁵
GOOG 09/18/2020 CALL \$1,590.00 / CUSIP: / Symbol: 09/18/20	1.000	0.00	09/16/20	270.00	...	-270.00	Option expiration- long call

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(continued)

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GOOGL 01/24/2020 CALL \$1,497.5 / CUSIP: / Symbol:								
01/21/20	1.000	469.98	01/17/20	195.00	...		274.98	Option sale to close-call ²⁵
01/24/20	1.000	0.00	01/23/20	200.00	...		-200.00	Option expiration- long call
	Security total:	469.98		395.00	...		74.98	
GOOGL 02/14/2020 CALL \$1,545.0 / CUSIP: / Symbol:								
02/14/20	1.000	9.98	02/13/20	40.00	...		-30.02	Option sale to close-call ²⁵
GOOGL 03/06/2020 CALL \$1,447.5 / CUSIP: / Symbol:								
03/06/20	1.000	0.00	03/03/20	20.00	...		-20.00	Option expiration- long call
GOOGL 03/06/2020 CALL \$1,450.0 / CUSIP: / Symbol:								
03/06/20	1.000	14.98	03/06/20	0.00	...		14.98	Short sale closed- call expired Option written: 03/03/20
GOOGL 03/06/2020 PUT \$1,275.00 / CUSIP: / Symbol:								
03/05/20	1.000	856.96	03/04/20	0.00	...		856.96	Short sale closed- put ²⁵ Option written: 03/03/20
GOOGL 03/06/2020 PUT \$1,290.00 / CUSIP: / Symbol:								
03/04/20	1.000	231.98	03/03/20	1,308.00	...		-1,076.02	Option sale to close-put ²⁵
GOOGL 10/02/2020 CALL \$1,510.0 / CUSIP: / Symbol:								
09/29/20	1.000	294.98	09/28/20	360.00	...		-65.02	Option sale to close-call ²⁵
GOOGL 10/09/2020 CALL \$1,480.0 / CUSIP: / Symbol:								
10/08/20	1.000	589.97	10/07/20	205.00	...		384.97	Option sale to close-call ²⁵
GOOGL 10/16/2020 CALL \$1,660.0 / CUSIP: / Symbol:								
10/14/20	1.000	119.98	10/13/20	162.00	42.02 W		0.00	Option sale to close-call ²⁵
10/16/20	1.000	0.00	10/13/20	147.02	...		-147.02	Option expiration- long call
	Security total:	119.98		309.02	42.02 W		-147.02	
GOOGL 10/16/2020 CALL \$1,670.0 / CUSIP: / Symbol:								
10/16/20	1.000	121.98	10/16/20	0.00	...		121.98	Short sale closed- call expired Option written: 10/13/20

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GOOGL 10/23/2020 CALL \$1,670.0 / CUSIP: / Symbol:							
10/22/20	1.000	29.98	10/21/20	200.00	170.02 W	0.00	Option sale to close-call ²⁵
10/23/20	1.000	0.00	10/22/20	190.02	...	-190.02	Option expiration- long call
	Security total:	29.98		390.02	170.02 W	-190.02	
GOOGL 11/13/2020 CALL \$1,840.0 / CUSIP: / Symbol:							
11/11/20	1.000	69.98	11/10/20	105.00	...	-35.02	Option sale to close-call ²⁵
GOOGL 11/20/2020 CALL \$1,820.0 / CUSIP: / Symbol:							
11/19/20	1.000	29.98	11/18/20	80.00	...	-50.02	Option sale to close-call ²⁵
HD 10/09/2020 CALL \$287.50 / CUSIP: / Symbol:							
10/09/20	1.000	24.98	10/08/20	22.00	...	2.98	Option sale to close-call ²⁵
HD 10/23/2020 CALL \$292.50 / CUSIP: / Symbol:							
10/23/20	1.000	0.98	10/21/20	31.00	...	-30.02	Option sale to close-call ²⁵
HD 11/13/2020 CALL \$287.50 / CUSIP: / Symbol:							
11/13/20	1.000	2.98	11/12/20	27.00	...	-24.02	Option sale to close-call ²⁵
INTC 01/31/2020 CALL \$70.50 / CUSIP: / Symbol:							
	<i>2 transactions for 01/27/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	26.98	01/24/20	51.00	...	-24.02	1 of 2 - Option sale to close-call ²⁵
	7.000	118.98	01/24/20	119.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
01/27/20	10.000	145.96	Various	170.00	...	-24.04	Total of 2 transactions
IRBT 02/07/2020 CALL \$53.50 / CUSIP: / Symbol:							
02/07/20	1.000	389.98	02/06/20	185.00	...	204.98	Option sale to close-call ²⁵
IRBT 02/07/2020 CALL \$54.00 / CUSIP: / Symbol:							
02/07/20	1.000	451.98	02/06/20	161.00	...	290.98	Option sale to close-call ²⁵
IRBT 02/07/2020 CALL \$57.00 / CUSIP: / Symbol:							
02/07/20	1.000	99.98	02/06/20	135.00	...	-35.02	Option sale to close-call ²⁵
IRBT 02/07/2020 CALL \$57.50 / CUSIP: / Symbol:							
02/07/20	1.000	129.98	02/06/20	155.00	...	-25.02	Option sale to close-call ²⁵

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IRBT 02/07/2020 CALL \$63.00 / CUSIP: / Symbol:							
02/07/20	10.000	0.00	02/06/20	400.00	...	-400.00	Option expiration- long call
IRBT 02/14/2020 CALL \$57.00 / CUSIP: / Symbol:							
02/14/20	1.000	0.00	02/07/20	160.00	...	-160.00	Option expiration- long call
ISRG 01/24/2020 CALL \$622.50 / CUSIP: / Symbol:							
01/24/20	1.000	0.00	01/21/20	175.00	...	-175.00	Option expiration- long call
ISRG 01/24/2020 PUT \$587.50 / CUSIP: / Symbol:							
01/24/20	1.000	29.98	01/23/20	180.00	...	-150.02	Option sale to close-put ²⁵
JD 10/09/2020 CALL \$80.00 / CUSIP: / Symbol:							
10/07/20	3.000	191.98	10/07/20	132.00	...	59.98	Option sale to close-call ²⁵
10/08/20	1.000	11.98	10/07/20	44.00	...	-32.02	Option sale to close-call ²⁵
	Security total:	203.96		176.00	...	27.96	
JD 10/23/2020 CALL \$85.00 / CUSIP: / Symbol:							
	3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	68.99	10/20/20	39.00	...	29.99	1 of 3 - Option sale to close-call ²⁵
	1.000	68.99	10/20/20	39.00	...	29.99	2 of 3 - Option sale to close-call ²⁵
	1.000	69.00	10/20/20	39.00	...	30.00	3 of 3 - Option sale to close-call ²⁵
10/21/20	3.000	206.98	Various	117.00	...	89.98	Total of 3 transactions
JD 11/13/2020 CALL \$90.00 / CUSIP: / Symbol:							
11/13/20	1.000	141.98	11/12/20	70.00	...	71.98	Option sale to close-call ²⁵
JPM 09/04/2020 CALL \$105.00 / CUSIP: / Symbol:							
	5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	47.98	09/03/20	55.00	...	-7.02	1 of 5 - Option sale to close-call ²⁵
	1.000	52.99	09/03/20	44.00	...	8.99	2 of 5 - Option sale to close-call ²⁵
	1.000	52.99	09/03/20	44.00	...	8.99	3 of 5 - Option sale to close-call ²⁵
	1.000	47.98	09/03/20	24.00	...	23.98	4 of 5 - Option sale to close-call ²⁵
	1.000	53.00	09/03/20	24.00	...	29.00	5 of 5 - Option sale to close-call ²⁵
09/04/20	5.000	254.94	Various	191.00	...	63.94	Total of 5 transactions
09/04/20	1.000	53.00	09/03/20	84.00	31.00 W	0.00	Option sale to close-call ²⁵

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JPM 09/04/2020 CALL \$105.00 / CUSIP: / Symbol: (cont'd)							
Security total:		307.94		275.00		31.00 W	63.94
KEYS 03/20/2020 CALL \$110.00 / CUSIP: / Symbol:							
02/26/20	1.000	24.98	02/25/20	25.00	...	-0.02	Option sale to close-call ²⁵
LRCX 05/22/2020 CALL \$275.00 / CUSIP: / Symbol:							
05/19/20	1.000	221.98	05/18/20	105.00	...	116.98	Option sale to close-call ²⁵
LRCX 06/26/2020 CALL \$325.00 / CUSIP: / Symbol:							
06/23/20	1.000	299.98	06/22/20	300.00	...	-0.02	Option sale to close-call ²⁵
LRCX 07/31/2020 CALL \$390.00 / CUSIP: / Symbol:							
07/31/20	1.000	4.98	07/30/20	103.00	...	-98.02	Option sale to close-call ²⁵
LULU 03/20/2020 CALL \$280.00 / CUSIP: / Symbol:							
02/14/20	1.000	107.98	02/12/20	131.00	...	-23.02	Option sale to close-call ²⁵
LULU 05/29/2020 CALL \$290.00 / CUSIP: / Symbol:							
05/29/20	1.000	259.98	05/28/20	93.00	...	166.98	Option sale to close-call ²⁵
LULU 06/05/2020 CALL \$310.00 / CUSIP: / Symbol:							
06/01/20	1.000	489.97	06/01/20	278.00	...	211.97	Option sale to close-call ²⁵
LULU 06/05/2020 CALL \$330.00 / CUSIP: / Symbol:							
06/05/20	1.000	20.98	06/04/20	156.00	...	-135.02	Option sale to close-call ²⁵
LULU 06/26/2020 CALL \$310.00 / CUSIP: / Symbol:							
06/24/20	1.000	135.98	06/23/20	295.00	...	-159.02	Option sale to close-call ²⁵
LULU 06/26/2020 PUT \$277.50 / CUSIP: / Symbol:							
06/26/20	1.000	0.98	06/25/20	75.00	...	-74.02	Option sale to close-put ²⁵
LULU 12/24/2020 CALL \$385.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/21/20	375.00	...	-375.00	Option expiration- long call
MA 08/21/2020 CALL \$332.50 / CUSIP: / Symbol:							
08/18/20	1.000	121.98	08/14/20	255.00	...	-133.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MA 12/11/2020 CALL \$342.50 / CUSIP: / Symbol:							
12/09/20	1.000	124.98	12/09/20	141.00	16.02 W	0.00	Option sale to close-call ²⁵
12/10/20	1.000	45.98	12/09/20	138.02	...	-92.04	Option sale to close-call ²⁵
	Security total:	170.96		279.02	16.02 W	-92.04	
MCD 01/17/2020 PUT \$207.50 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/16/20	5.00	...	-5.00	Option expiration- long put
MDB 06/19/2020 CALL \$215.00 / CUSIP: / Symbol:							
06/18/20	1.000	184.98	06/17/20	195.00	...	-10.02	Option sale to close-call ²⁵
MDB 07/17/2020 CALL \$235.00 / CUSIP: / Symbol:							
07/14/20	1.000	44.98	07/13/20	265.00	...	-220.02	Option sale to close-call ²⁵
MDB 08/07/2020 CALL \$222.50 / CUSIP: / Symbol:							
08/03/20	1.000	189.98	08/03/20	235.00	...	-45.02	Option sale to close-call ²⁵
MDB 08/07/2020 CALL \$230.00 / CUSIP: / Symbol:							
08/04/20	1.000	139.98	08/03/20	120.00	...	19.98	Option sale to close-call ²⁵
MELI 02/14/2020 PUT \$582.50 / CUSIP: / Symbol:							
02/14/20	1.000	0.00	02/11/20	200.00	...	-200.00	Option expiration- long put
MELI 05/08/2020 CALL \$820.00 / CUSIP: / Symbol:							
05/07/20	1.000	74.98	05/06/20	310.00	...	-235.02	Option sale to close-call ²⁵
MELI 05/15/2020 CALL \$840.00 / CUSIP: / Symbol:							
05/13/20	1.000	69.98	05/12/20	245.00	...	-175.02	Option sale to close-call ²⁵
MMM 02/28/2020 CALL \$155.00 / CUSIP: / Symbol:							
02/28/20	1.000	159.98	02/27/20	72.00	...	87.98	Option sale to close-call ²⁵
MSFT 01/31/2020 CALL \$180.00 / CUSIP: / Symbol:							
	2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.						
	5.000	4.98	01/30/20	40.00	...	-35.02	1 of 2 - Option sale to close-call ²⁵
	5.000	4.99	01/30/20	40.00	...	-35.01	2 of 2 - Option sale to close-call ²⁵
01/31/20	10.000	9.97	Various	80.00	...	-70.03	Total of 2 transactions

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(continued)

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MSFT 02/07/2020 CALL \$182.50 / CUSIP: / Symbol:							
02/06/20	1.000	59.98	02/05/20	37.00	...	22.98	Option sale to close-call ²⁵
MSFT 02/07/2020 PUT \$177.50 / CUSIP: / Symbol:							
02/07/20	1.000	0.00	02/06/20	7.00	...	-7.00	Option expiration- long put
MSFT 02/14/2020 CALL \$185.00 / CUSIP: / Symbol:							
02/14/20	1.000	19.98	02/13/20	141.00	...	-121.02	Option sale to close-call ²⁵
MSFT 06/12/2020 CALL \$195.00 / CUSIP: / Symbol:							
06/11/20	1.000	88.98	06/10/20	99.00	...	-10.02	Option sale to close-call ²⁵
MSFT 06/19/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/19/20	1.000	167.98	06/16/20	95.00	...	72.98	Option sale to close-call ²⁵
MSFT 06/26/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/23/20	1.000	334.98	06/22/20	155.00	...	179.98	Option sale to close-call ²⁵
06/26/20	1.000	87.98	06/25/20	90.00	...	-2.02	Option sale to close-call ²⁵
Security total:		422.96		245.00	...	177.96	
MSFT 07/02/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/30/20	1.000	135.98	06/29/20	139.00	...	-3.02	Option sale to close-call ²⁵
MSFT 07/02/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/02/20	1.000	180.98	07/01/20	74.00	...	106.98	Option sale to close-call ²⁵
MSFT 07/10/2020 CALL \$217.50 / CUSIP: / Symbol:							
07/08/20	1.000	55.98	07/07/20	129.00	...	-73.02	Option sale to close-call ²⁵
MSFT 07/24/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/21/20	1.000	634.97	07/20/20	325.00	...	309.97	Option sale to close-call ²⁵
MSFT 07/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
07/22/20	1.000	184.98	07/21/20	210.00	25.02 W	0.00	Option sale to close-call ²⁵
07/23/20	1.000	13.98	07/21/20	208.02	...	-194.04	Option sale to close-call ²⁵
Security total:		198.96		418.02	25.02 W	-194.04	

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(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/31/2020 CALL \$207.50 / CUSIP: / Symbol:							
07/28/20	1.000	99.98	07/27/20	154.00	...	-54.02	Option sale to close-call ²⁵
MSFT 08/07/2020 CALL \$202.50 / CUSIP: / Symbol:							
08/03/20	1.000	1,184.96	07/31/20	295.00	...	889.96	Option sale to close-call ²⁵
MSFT 08/07/2020 CALL \$217.50 / CUSIP: / Symbol:							
08/06/20	1.000	33.98	08/05/20	108.00	74.02 W	0.00	Option sale to close-call ²⁵
08/07/20	1.000	17.98	08/06/20	157.02	...	-139.04	Option sale to close-call ²⁵
Security total:		51.96		265.02	74.02 W	-139.04	
MSFT 09/04/2020 CALL \$227.50 / CUSIP: / Symbol:							
09/02/20	1.000	379.98	08/27/20	268.00	...	111.98	Option sale to close-call ²⁵
MSFT 09/11/2020 CALL \$212.50 / CUSIP: / Symbol:							
09/09/20	1.000	257.98	09/04/20	465.00	...	-207.02	Option sale to close-call ²⁵
MSFT 09/11/2020 CALL \$220.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/09/20	70.00	...	-70.00	Option expiration- long call
MSFT 09/11/2020 CALL \$237.50 / CUSIP: / Symbol:							
09/09/20	1.000	4.98	09/02/20	295.00	...	-290.02	Option sale to close-call ²⁵
MSFT 10/23/2020 CALL \$222.50 / CUSIP: / Symbol:							
10/23/20	1.000	0.98	10/20/20	54.00	...	-53.02	Option sale to close-call ²⁵
NFLX 01/24/2020 PUT \$302.50 / CUSIP: / Symbol:							
<i>3 transactions for 01/24/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	01/22/20	58.00	...	-58.00	1 of 3 - Option expiration- long put
	1.000	0.00	01/22/20	30.00	...	-30.00	2 of 3 - Option expiration- long put
	1.000	0.00	01/22/20	30.00	...	-30.00	3 of 3 - Option expiration- long put
01/24/20	4.000	0.00	Various	118.00	...	-118.00	Total of 3 transactions
NFLX 01/24/2020 PUT \$310.00 / CUSIP: / Symbol:							
01/24/20	4.000	0.00	01/22/20	256.00	...	-256.00	Option expiration- long put
NFLX 01/24/2020 PUT \$320.00 / CUSIP: / Symbol:							
01/24/20	1.000	0.00	01/22/20	186.00	...	-186.00	Option expiration- long put

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 02/14/2020 CALL \$382.50 / CUSIP: / Symbol: 02/10/20	1.000	111.98	02/07/20	178.00	...	-66.02	Option sale to close-call ²⁵
NFLX 02/28/2020 CALL \$392.50 / CUSIP: / Symbol: 02/28/20	1.000	8.98	02/27/20	340.00	...	-331.02	Option sale to close-call ²⁵
NFLX 04/17/2020 CALL \$445.00 / CUSIP: / Symbol: 04/16/20	1.000	644.97	04/16/20	615.00	...	29.97	Option sale to close-call ²⁵
NFLX 04/24/2020 CALL \$455.00 / CUSIP: / Symbol: 04/24/20	1.000	4.98	04/23/20	163.00	...	-158.02	Option sale to close-call ²⁵
NFLX 05/01/2020 PUT \$400.00 / CUSIP: / Symbol: 2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.	1.000	31.99	04/30/20	106.00	...	-74.01	1 of 2 - Option sale to close-put ²⁵
	1.000	31.99	04/30/20	105.00	...	-73.01	2 of 2 - Option sale to close-put ²⁵
05/01/20	2.000	63.98	Various	211.00	...	-147.02	Total of 2 transactions
NFLX 05/15/2020 CALL \$450.00 / CUSIP: / Symbol: 05/14/20	1.000	454.97	05/14/20	670.00	...	-215.03	Option sale to close-call ²⁵
NFLX 05/22/2020 CALL \$465.00 / CUSIP: / Symbol: 05/19/20	1.000	544.97	05/18/20	475.00	...	69.97	Option sale to close-call ²⁵
NFLX 06/12/2020 CALL \$430.00 / CUSIP: / Symbol: 06/09/20	1.000	451.98	06/08/20	214.00	...	237.98	Option sale to close-call ²⁵
NFLX 06/12/2020 CALL \$445.00 / CUSIP: / Symbol: 06/11/20	1.000	354.98	06/10/20	255.00	...	99.98	Option sale to close-call ²⁵
06/12/20	1.000	31.98	06/11/20	211.00	...	-179.02	Option sale to close-call ²⁵
Security total:		386.96		466.00	...	-79.04	
NFLX 06/19/2020 CALL \$455.00 / CUSIP: / Symbol: 06/19/20	1.000	197.98	06/18/20	154.00	...	43.98	Option sale to close-call ²⁵
NFLX 06/26/2020 CALL \$475.00 / CUSIP: / Symbol: 06/25/20	1.000	104.98	06/24/20	272.00	...	-167.02	Option sale to close-call ²⁵

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NFLX 06/26/2020 PUT \$440.00 / CUSIP: / Symbol:							
06/26/20	1.000	14.98	06/25/20	149.00	...	-134.02	Option sale to close-put ²⁵
NFLX 07/02/2020 CALL \$460.00 / CUSIP: / Symbol:							
06/30/20	1.000	220.98	06/29/20	169.00	...	51.98	Option sale to close-call ²⁵
NFLX 07/10/2020 CALL \$515.00 / CUSIP: / Symbol:							
07/08/20	1.000	207.98	07/07/20	465.00	...	-257.02	Option sale to close-call ²⁵
NFLX 09/04/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/01/20	1.000	1,034.96	09/01/20	660.00	...	374.96	Option sale to close-call ²⁵
NFLX 09/04/2020 CALL \$575.00 / CUSIP: / Symbol:							
09/03/20	1.000	37.98	09/01/20	560.00	...	-522.02	Option sale to close-call ²⁵
NFLX 09/11/2020 CALL \$515.00 / CUSIP: / Symbol:							
09/11/20	1.000	5.98	09/09/20	670.00	...	-664.02	Option sale to close-call ²⁵
NFLX 09/11/2020 CALL \$525.00 / CUSIP: / Symbol:							
09/14/20	1.000	376.98	09/11/20	0.00	...	376.98	Short sale closed- call ²⁵ Option written: 09/09/20
NFLX 09/18/2020 CALL \$510.00 / CUSIP: / Symbol:							
09/16/20	1.000	286.98	09/16/20	398.00	...	-111.02	Option sale to close-call ²⁵
NFLX 10/02/2020 CALL \$535.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	10/01/20	145.00	...	-145.00	Option expiration- long call
NFLX 10/16/2020 CALL \$575.00 / CUSIP: / Symbol:							
10/16/20	1.000	9.98	10/05/20	266.00	...	-256.02	Option sale to close-call ²⁵
NFLX 10/30/2020 CALL \$535.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/29/20	150.00	...	-150.00	Option expiration- long call
NFLX 12/24/2020 CALL \$545.00 / CUSIP: / Symbol:							
12/22/20	1.000	64.98	12/22/20	89.00	...	-24.02	Option sale to close-call ²⁵
NFLX 12/24/2020 CALL \$550.00 / CUSIP: / Symbol:							
12/24/20	1.000	1.98	12/22/20	43.00	...	-41.02	Option sale to close-call ²⁵

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NIO 01/17/2020 CALL \$4.50 / CUSIP: / Symbol:							
01/17/20	1.000	13.98	01/16/20	8.00	...	5.98	Option sale to close-call ²⁵
NIO 02/07/2020 CALL \$4.50 / CUSIP: / Symbol:							
	<i>2 transactions for 02/07/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	4.98	02/06/20	15.00	...	-10.02	1 of 2 - Option sale to close-call ²⁵
	1.000	1.00	02/06/20	3.00	...	-2.00	2 of 2 - Option sale to close-call ²⁵
02/07/20	6.000	5.98	Various	18.00	...	-12.02	Total of 2 transactions
NIO 08/14/2020 CALL \$14.50 / CUSIP: / Symbol:							
08/11/20	1.000	63.98	08/10/20	99.00	...	-35.02	Option sale to close-call ²⁵
NIO 09/04/2020 CALL \$19.50 / CUSIP: / Symbol:							
09/04/20	1.000	4.98	09/03/20	39.00	...	-34.02	Option sale to close-call ²⁵
NIO 10/16/2020 CALL \$26.00 / CUSIP: / Symbol:							
10/15/20	1.000	96.98	10/14/20	27.00	...	69.98	Option sale to close-call ²⁵
NIO 11/13/2020 CALL \$49.00 / CUSIP: / Symbol:							
11/10/20	1.000	74.98	11/10/20	99.00	...	-24.02	Option sale to close-call ²⁵
NIO 12/04/2020 CALL \$52.00 / CUSIP: / Symbol:							
12/01/20	1.000	92.98	12/01/20	236.00	...	-143.02	Option sale to close-call ²⁵
NKLA 06/19/2020 CALL \$67.00 / CUSIP: / Symbol:							
06/19/20	1.000	224.98	06/17/20	250.00	...	-25.02	Option sale to close-call ²⁵
NKLA 06/19/2020 PUT \$57.50 / CUSIP: / Symbol:							
06/18/20	1.000	89.98	06/17/20	205.00	...	-115.02	Option sale to close-put ²⁵
NKLA 06/26/2020 CALL \$73.50 / CUSIP: / Symbol:							
06/25/20	1.000	169.98	06/23/20	290.00	...	-120.02	Option sale to close-call ²⁵
NKLA 07/02/2020 CALL \$73.00 / CUSIP: / Symbol:							
06/30/20	1.000	104.98	06/29/20	255.00	...	-150.02	Option sale to close-call ²⁵
NKLA 07/24/2020 CALL \$53.00 / CUSIP: / Symbol:							
07/20/20	1.000	29.98	07/17/20	135.00	...	-105.02	Option sale to close-call ²⁵

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NKLA 09/11/2020 CALL \$57.00 / CUSIP: / Symbol:							
09/09/20	1.000	29.98	09/08/20	160.00	...	-130.02	Option sale to close-call ²⁵
NKLA 10/23/2020 CALL \$24.00 / CUSIP: / Symbol:							
10/22/20	1.000	16.98	10/21/20	30.00	...	-13.02	Option sale to close-call ²⁵
NKLA 11/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/18/20	20.00	...	-20.00	Option expiration- long call
NKTR 01/17/2020 CALL \$27.50 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/13/20	150.00	...	-150.00	Option expiration- long call
NKTR 01/17/2020 CALL \$36.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/17/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	0.00	01/13/20	200.00	...	-200.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/14/20	45.00	...	-45.00	2 of 2 - Option expiration- long call
01/17/20	11.000	0.00	Various	245.00	...	-245.00	Total of 2 transactions
NOW 05/08/2020 CALL \$380.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	184.99	05/06/20	350.00	...	-165.01	1 of 2 - Option sale to close-call ²⁵
	1.000	184.99	05/06/20	255.00	...	-70.01	2 of 2 - Option sale to close-call ²⁵
05/07/20	2.000	369.98	Various	605.00	...	-235.02	Total of 2 transactions
NOW 05/15/2020 CALL \$390.00 / CUSIP: / Symbol:							
05/13/20	1.000	154.98	05/12/20	275.00	...	-120.02	Option sale to close-call ²⁵
NOW 06/05/2020 CALL \$402.50 / CUSIP: / Symbol:							
06/04/20	1.000	89.98	06/03/20	125.00	...	-35.02	Option sale to close-call ²⁵
NOW 06/12/2020 CALL \$397.50 / CUSIP: / Symbol:							
06/12/20	1.000	174.98	06/11/20	110.00	...	64.98	Option sale to close-call ²⁵
NOW 12/24/2020 CALL \$565.00 / CUSIP: / Symbol:							
12/24/20	1.000	39.98	12/23/20	60.00	...	-20.02	Option sale to close-call ²⁵
NVDA 01/03/2020 CALL \$237.50 / CUSIP: / Symbol:							
01/02/20	1.000	223.98	12/31/19	93.00	...	130.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 02/28/2020 CALL \$280.00 / CUSIP: / Symbol: 02/26/20	1.000	112.98	02/25/20	580.00	...	-467.02	Option sale to close-call ²⁵
NVDA 03/27/2020 CALL \$262.50 / CUSIP: / Symbol: 03/27/20	1.000	99.98	03/26/20	272.00	...	-172.02	Option sale to close-call ²⁵
NVDA 04/17/2020 CALL \$290.00 / CUSIP: / Symbol: 04/16/20	1.000	539.97	04/16/20	350.00	...	189.97	Option sale to close-call ²⁵
NVDA 05/22/2020 CALL \$365.00 / CUSIP: / Symbol: 05/13/20	1.000	238.98	05/12/20	192.00	...	46.98	Option sale to close-call ²⁵
NVDA 05/22/2020 CALL \$405.00 / CUSIP: / Symbol: 05/22/20	1.000	1.98	05/20/20	177.00	...	-175.02	Option sale to close-call ²⁵
NVDA 06/05/2020 CALL \$360.00 / CUSIP: / Symbol: 06/05/20	1.000	27.98	06/04/20	115.00	...	-87.02	Option sale to close-call ²⁵
NVDA 06/19/2020 CALL \$375.00 / CUSIP: / Symbol: 06/17/20	1.000	359.98	06/16/20	355.00	...	4.98	Option sale to close-call ²⁵
NVDA 07/10/2020 CALL \$405.00 / CUSIP: / Symbol: 07/08/20	1.000	359.98	07/07/20	440.00	...	-80.02	Option sale to close-call ²⁵
NVDA 07/17/2020 CALL \$425.00 / CUSIP: / Symbol: 07/16/20	1.000	50.98	07/15/20	259.00	...	-208.02	Option sale to close-call ²⁵
NVDA 09/11/2020 CALL \$522.50 / CUSIP: / Symbol: 09/11/20	1.000	19.98	09/10/20	202.00	...	-182.02	Option sale to close-call ²⁵
PINS 10/30/2020 CALL \$71.00 / CUSIP: / Symbol: 10/30/20	1.000	0.00	10/29/20	117.00	...	-117.00	Option expiration- long call
PLUG 08/07/2020 CALL \$10.00 / CUSIP: / Symbol: 08/06/20	1.000	89.98	08/05/20	31.00	...	58.98	Option sale to close-call ²⁵
PRVB 06/19/2020 CALL \$17.50 / CUSIP: / Symbol: 06/16/20	1.000	49.98	06/15/20	90.00	...	-40.02	Option sale to close-call ²⁵

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Account 125061648

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2020 1099-B* OMB No. 1545-0715

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PYPL 07/24/2020 CALL \$180.00 / CUSIP: / Symbol:							
07/21/20	1.000	178.98	07/20/20	135.00	...	43.98	Option sale to close-call ²⁵
PYPL 08/07/2020 CALL \$200.00 / CUSIP: / Symbol:							
08/05/20	1.000	394.98	08/05/20	230.00	...	164.98	Option sale to close-call ²⁵
PYPL 10/09/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	142.99	10/05/20	147.02	...	-4.03	1 of 2 - Option sale to close-call ²⁵
	1.000	142.99	10/05/20	145.00	...	-2.01	2 of 2 - Option sale to close-call ²⁵
10/05/20	2.000	285.98	Various	292.02	...	-6.04	Total of 2 transactions
10/05/20	1.000	142.98	10/05/20	145.00	2.02 W	0.00	Option sale to close-call ²⁵
	Security total:	428.96		437.02	2.02 W	-6.04	
PYPL 10/23/2020 CALL \$212.50 / CUSIP: / Symbol:							
10/22/20	1.000	98.98	10/21/20	134.00	...	-35.02	Option sale to close-call ²⁵
QCOM 08/07/2020 CALL \$112.00 / CUSIP: / Symbol:							
08/04/20	1.000	143.98	08/03/20	175.00	...	-31.02	Option sale to close-call ²⁵
QCOM 09/04/2020 CALL \$124.00 / CUSIP: / Symbol:							
09/02/20	1.000	160.98	09/01/20	68.00	...	92.98	Option sale to close-call ²⁵
REGN 02/28/2020 CALL \$480.00 / CUSIP: / Symbol:							
02/28/20	1.000	4.98	02/27/20	250.00	...	-245.02	Option sale to close-call ²⁵
REGN 05/08/2020 CALL \$575.00 / CUSIP: / Symbol:							
05/06/20	1.000	369.98	05/06/20	550.00	...	-180.02	Option sale to close-call ²⁵
RH 01/03/2020 CALL \$222.50 / CUSIP: / Symbol:							
01/03/20	1.000	0.00	12/27/19	410.00	...	-410.00	Option expiration- long call
RH 01/17/2020 PUT \$215.00 / CUSIP: / Symbol:							
01/15/20	1.000	104.98	01/14/20	110.00	5.02 W	0.00	Option sale to close-put ²⁵
01/17/20	1.000	0.00	01/16/20	15.02	...	-15.02	Option expiration- long put
	Security total:	104.98		125.02	5.02 W	-15.02	

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RH 02/07/2020 CALL \$217.50 / CUSIP: / Symbol: 02/05/20	1.000	559.97	02/04/20	265.00	...	294.97	Option sale to close-call ²⁵
RH 02/07/2020 CALL \$222.50 / CUSIP: / Symbol: 02/07/20	1.000	0.00	02/05/20	190.00	...	-190.00	Option expiration- long call
RH 02/07/2020 PUT \$210.00 / CUSIP: / Symbol: 02/07/20	1.000	0.00	02/06/20	10.00	...	-10.00	Option expiration- long put
RH 03/20/2020 CALL \$225.00 / CUSIP: / Symbol: 03/04/20	1.000	59.98	03/03/20	160.00	...	-100.02	Option sale to close-call ²⁵
RH 03/20/2020 CALL \$227.50 / CUSIP: / Symbol: 03/20/20	1.000	0.00	03/04/20	49.00	...	-49.00	Option expiration- long call
RH 03/20/2020 CALL \$260.00 / CUSIP: / Symbol: 02/27/20	1.000	24.98	02/25/20	126.00	...	-101.02	Option sale to close-call ²⁵
RH 05/08/2020 CALL \$155.00 / CUSIP: / Symbol: 05/06/20	1.000	114.98	05/05/20	155.00	...	-40.02	Option sale to close-call ²⁵
RH 06/12/2020 CALL \$257.50 / CUSIP: / Symbol: 06/08/20	1.000	589.97	06/05/20	480.00	...	109.97	Option sale to close-call ²⁵
ROKU 01/03/2020 PUT \$133.00 / CUSIP: / Symbol: 01/03/20	1.000	0.00	01/02/20	39.00	...	-39.00	Option expiration- long put
ROKU 01/10/2020 CALL \$140.00 / CUSIP: / Symbol: 01/10/20	1.000	0.00	01/09/20	22.00	...	-22.00	Option expiration- long call
ROKU 02/21/2020 CALL \$130.00 / CUSIP: / Symbol: 02/18/20	1.000	246.98	02/14/20	580.00	...	-333.02	Option sale to close-call ²⁵
ROKU 02/21/2020 CALL \$132.00 / CUSIP: / Symbol: 02/19/20	1.000	124.98	02/18/20	197.00	...	-72.02	Option sale to close-call ²⁵
ROKU 02/21/2020 CALL \$137.00 / CUSIP: / Symbol: 02/18/20	1.000	110.98	02/14/20	360.00	...	-249.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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02/14/2021

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ROKU 02/21/2020 CALL \$140.00 / CUSIP: / Symbol:							
02/18/20	1.000	70.98	02/14/20	266.00	...	-195.02	Option sale to close-call ²⁵
ROKU 02/21/2020 CALL \$150.00 / CUSIP: / Symbol:							
02/18/20	1.000	27.98	02/14/20	108.00	...	-80.02	Option sale to close-call ²⁵
ROKU 02/21/2020 CALL \$152.50 / CUSIP: / Symbol:							
	3 transactions for 02/19/20. Total proceeds and cost reported to the IRS.						
	1.000	10.98	02/14/20	91.00	...	-80.02	1 of 3 - Option sale to close-call ²⁵
	1.000	10.98	02/14/20	91.00	...	-80.02	2 of 3 - Option sale to close-call ²⁵
	1.000	10.98	02/14/20	91.00	...	-80.02	3 of 3 - Option sale to close-call ²⁵
02/19/20	3.000	32.94	Various	273.00	...	-240.06	Total of 3 transactions
ROKU 02/21/2020 PUT \$120.00 / CUSIP: / Symbol:							
02/19/20	1.000	49.98	02/18/20	188.00	...	-138.02	Option sale to close-put ²⁵
ROKU 02/28/2020 CALL \$120.00 / CUSIP: / Symbol:							
02/28/20	1.000	15.98	02/27/20	63.00	...	-47.02	Option sale to close-call ²⁵
ROKU 02/28/2020 CALL \$122.00 / CUSIP: / Symbol:							
02/26/20	1.000	206.98	02/25/20	267.00	...	-60.02	Option sale to close-call ²⁵
ROKU 05/01/2020 CALL \$119.00 / CUSIP: / Symbol:							
04/29/20	1.000	414.98	04/29/20	261.00	...	153.98	Option sale to close-call ²⁵
ROKU 05/01/2020 CALL \$120.00 / CUSIP: / Symbol:							
04/30/20	1.000	245.98	04/29/20	238.00	...	7.98	Option sale to close-call ²⁵
ROKU 05/01/2020 CALL \$122.00 / CUSIP: / Symbol:							
04/30/20	1.000	163.98	04/29/20	270.00	...	-106.02	Option sale to close-call ²⁵
ROKU 05/08/2020 CALL \$132.00 / CUSIP: / Symbol:							
05/04/20	1.000	404.98	05/01/20	262.00	...	142.98	Option sale to close-call ²⁵

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ROKU 05/08/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	309.98	05/04/20	284.00	...	25.98	1 of 2 - Option sale to close-call ²⁵
	1.000	304.98	05/05/20	288.00	...	16.98	2 of 2 - Option sale to close-call ²⁵
05/06/20	2.000	614.96	Various	572.00	...	42.96	Total of 2 transactions
05/07/20	1.000	529.97	05/06/20	390.00	...	139.97	Option sale to close-call ²⁵
	Security total:	1,144.93		962.00	...	182.93	
ROKU 05/15/2020 CALL \$140.00 / CUSIP: / Symbol:							
05/12/20	1.000	175.98	05/11/20	171.00	...	4.98	Option sale to close-call ²⁵
ROKU 05/15/2020 CALL \$141.00 / CUSIP: / Symbol:							
05/13/20	1.000	25.98	05/12/20	91.00	...	-65.02	Option sale to close-call ²⁵
ROKU 06/19/2020 CALL \$121.00 / CUSIP: / Symbol:							
06/17/20	1.000	324.98	06/17/20	293.00	...	31.98	Option sale to close-call ²⁵
ROKU 06/19/2020 CALL \$123.00 / CUSIP: / Symbol:							
06/18/20	1.000	439.98	06/18/20	205.00	...	234.98	Option sale to close-call ²⁵
ROKU 06/19/2020 PUT \$112.00 / CUSIP: / Symbol:							
06/18/20	1.000	41.98	06/17/20	102.00	...	-60.02	Option sale to close-put ²⁵
ROKU 06/26/2020 CALL \$133.00 / CUSIP: / Symbol:							
06/23/20	1.000	278.98	06/22/20	480.00	...	-201.02	Option sale to close-call ²⁵
ROKU 07/02/2020 CALL \$120.00 / CUSIP: / Symbol:							
07/01/20	1.000	195.98	06/30/20	180.00	...	15.98	Option sale to close-call ²⁵
ROKU 07/02/2020 CALL \$123.00 / CUSIP: / Symbol:							
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	150.99	07/01/20	69.00	...	81.99	1 of 3 - Option sale to close-call ²⁵
	1.000	150.99	07/01/20	59.00	...	91.99	2 of 3 - Option sale to close-call ²⁵
	2.000	301.99	07/01/20	138.00	...	163.99	3 of 3 - Option sale to close-call ²⁵
07/01/20	4.000	603.97	Various	266.00	...	337.97	Total of 3 transactions
07/02/20	1.000	499.97	07/01/20	69.00	...	430.97	Option sale to close-call ²⁵
	Security total:	1,103.94		335.00	...	768.94	

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ROKU 07/10/2020 CALL \$135.00 / CUSIP: / Symbol:							
07/09/20	1.000	544.97	07/08/20	257.00	...	287.97	Option sale to close-call ²⁵
ROKU 07/10/2020 CALL \$148.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	278.98	07/09/20	204.00	...	74.98	1 of 2 - Option sale to close-call ²⁵
	1.000	429.98	07/09/20	171.00	...	258.98	2 of 2 - Option sale to close-call ²⁵
07/10/20	2.000	708.96	Various	375.00	...	333.96	Total of 2 transactions
ROKU 07/17/2020 CALL \$165.00 / CUSIP: / Symbol:							
07/13/20	1.000	834.97	07/10/20	414.00	...	420.97	Option sale to close-call ²⁵
ROKU 07/24/2020 CALL \$155.00 / CUSIP: / Symbol:							
07/21/20	1.000	634.97	07/21/20	365.00	...	269.97	Option sale to close-call ²⁵
ROKU 07/24/2020 CALL \$160.00 / CUSIP: / Symbol:							
07/24/20	1.000	13.98	07/23/20	220.00	...	-206.02	Option sale to close-call ²⁵
ROKU 09/04/2020 CALL \$185.00 / CUSIP: / Symbol:							
09/03/20	1.000	31.98	09/01/20	365.00	...	-333.02	Option sale to close-call ²⁵
ROP 08/21/2020 CALL \$460.00 / CUSIP: / Symbol:							
07/28/20	1.000	189.98	07/28/20	190.00	...	-0.02	Option sale to close-call ²⁵
SHOP 01/24/2020 CALL \$475.00 / CUSIP: / Symbol:							
01/24/20	1.000	229.98	01/23/20	115.00	...	114.98	Option sale to close-call ²⁵
SHOP 02/07/2020 CALL \$495.00 / CUSIP: / Symbol:							
02/07/20	1.000	0.00	02/05/20	190.00	...	-190.00	Option expiration- long call
SHOP 03/06/2020 PUT \$420.00 / CUSIP: / Symbol:							
03/09/20	1.000	273.98	03/06/20	0.00	...	273.98	Short sale closed- put ²⁵ Option written: 03/03/20
SHOP 03/06/2020 PUT \$430.00 / CUSIP: / Symbol:							
03/06/20	1.000	20.98	03/03/20	420.00	...	-399.02	Option sale to close-put ²⁵

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SHOP 03/06/2020 PUT \$490.00 / CUSIP: / Symbol:							
03/04/20	1.000	1,009.96	03/04/20	1,220.00	...	-210.04	Option sale to close-put ²⁵
SHOP 04/24/2020 CALL \$700.00 / CUSIP: / Symbol:							
04/21/20	1.000	2,039.94	04/20/20	1,050.00	...	989.94	Option sale to close-call ²⁵
SHOP 05/01/2020 CALL \$680.00 / CUSIP: / Symbol:							
04/29/20	1.000	339.98	04/28/20	340.00	...	-0.02	Option sale to close-call ²⁵
SHOP 05/08/2020 CALL \$705.00 / CUSIP: / Symbol:							
05/04/20	1.000	1,559.95	05/04/20	1,430.00	...	129.95	Option sale to close-call ²⁵
SHOP 05/08/2020 CALL \$770.00 / CUSIP: / Symbol:							
05/07/20	1.000	619.97	05/07/20	350.00	...	269.97	Option sale to close-call ²⁵
05/08/20	1.000	24.98	05/07/20	330.00	...	-305.02	Option sale to close-call ²⁵
	Security total:	644.95		680.00	...	-35.05	
SHOP 05/08/2020 CALL \$810.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	05/07/20	85.00	...	-85.00	Option expiration- long call
SHOP 05/15/2020 CALL \$795.00 / CUSIP: / Symbol:							
05/13/20	1.000	479.97	05/12/20	640.00	...	-160.03	Option sale to close-call ²⁵
SHOP 05/22/2020 CALL \$830.00 / CUSIP: / Symbol:							
05/19/20	1.000	169.98	05/18/20	360.00	...	-190.02	Option sale to close-call ²⁵
SHOP 05/29/2020 CALL \$860.00 / CUSIP: / Symbol:							
05/27/20	1.000	39.98	05/26/20	295.00	...	-255.02	Option sale to close-call ²⁵
SHOP 05/29/2020 PUT \$630.00 / CUSIP: / Symbol:							
05/28/20	1.000	50.98	05/27/20	230.00	...	-179.02	Option sale to close-put ²⁵
SHOP 06/12/2020 CALL \$795.00 / CUSIP: / Symbol:							
06/09/20	1.000	469.97	06/08/20	320.00	...	149.97	Option sale to close-call ²⁵
SHOP 06/12/2020 PUT \$670.00 / CUSIP: / Symbol:							
06/12/20	1.000	34.98	06/11/20	95.00	...	-60.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SHOP 06/26/2020 CALL \$1,020.00 / CUSIP: / Symbol:							
06/23/20	1.000	279.98	06/22/20	490.00	...	-210.02	Option sale to close-call ²⁵
SHOP 07/24/2020 CALL \$1,020.00 / CUSIP: / Symbol:							
07/24/20	1.000	4.98	07/23/20	460.00	...	-455.02	Option sale to close-call ²⁵
SHOP 07/31/2020 CALL \$1,140.00 / CUSIP: / Symbol:							
07/29/20	1.000	949.96	07/29/20	900.00	...	49.96	Option sale to close-call ²⁵
SHOP 07/31/2020 CALL \$1,180.00 / CUSIP: / Symbol:							
07/30/20	1.000	34.98	07/29/20	590.00	...	-555.02	Option sale to close-call ²⁵
SHOP 07/31/2020 PUT \$995.00 / CUSIP: / Symbol:							
07/30/20	1.000	499.97	07/29/20	370.00	...	129.97	Option sale to close-put ²⁵
SHOP 10/02/2020 CALL \$1,075.00 / CUSIP: / Symbol:							
09/30/20	1.000	649.97	09/30/20	820.00	170.03 W	0.00	Option sale to close-call ²⁵
10/01/20	1.000	244.98	09/30/20	560.03	...	-315.05	Option sale to close-call ²⁵
Security total:		894.95		1,380.03	170.03 W	-315.05	
SHOP 10/02/2020 CALL \$1,110.00 / CUSIP: / Symbol:							
10/02/20	1.000	34.98	10/01/20	65.00	...	-30.02	Option sale to close-call ²⁵
SHOP 10/09/2020 CALL \$1,125.00 / CUSIP: / Symbol:							
10/08/20	1.000	114.98	10/07/20	260.00	...	-145.02	Option sale to close-call ²⁵
SHOP 10/09/2020 CALL \$1,140.00 / CUSIP: / Symbol:							
10/09/20	1.000	0.00	10/06/20	345.00	...	-345.00	Option expiration- long call
SHOP 10/09/2020 CALL \$1,145.00 / CUSIP: / Symbol:							
10/06/20	1.000	329.98	10/05/20	357.00	...	-27.02	Option sale to close-call ²⁵
10/09/20	1.000	299.98	10/09/20	0.00	...	299.98	Short sale closed- call expired Option written: 10/06/20
Security total:		629.96		357.00	...	272.96	
SHOP 10/09/2020 CALL \$1,150.00 / CUSIP: / Symbol:							
10/07/20	1.000	-18.02	10/06/20	0.00	...	-18.02	Short sale closed- call ²⁵ Option written: 10/05/20

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SHOP 10/23/2020 CALL \$1,110.00 / CUSIP: / Symbol:							
10/23/20	1.000	0.00	10/21/20	140.00	...	-140.00	Option expiration- long call
SHW 01/31/2020 CALL \$582.50 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/30/20	30.00	...	-30.00	Option expiration- long call
SHW 03/27/2020 PUT \$425.00 / CUSIP: / Symbol:							
03/27/20	1.000	-24.03	03/26/20	0.00	...	-24.03	Short sale closed- put ²⁵ Option written: 03/25/20
SHW 03/27/2020 PUT \$430.00 / CUSIP: / Symbol:							
03/26/20	1.000	641.97	03/25/20	676.00	...	-34.03	Option sale to close-put ²⁵
SIRI 10/16/2020 CALL \$6.00 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	10/14/20	3.00	...	-3.00	Option expiration- long call
SNE 02/14/2020 CALL \$72.00 / CUSIP: / Symbol:							
02/11/20	4.000	59.98	02/10/20	56.00	...	3.98	Option sale to close-call ²⁵
SNOW 12/11/2020 CALL \$420.00 / CUSIP: / Symbol:							
12/10/20	1.000	194.98	12/09/20	110.00	...	84.98	Option sale to close-call ²⁵
SNOW 12/11/2020 CALL \$430.00 / CUSIP: / Symbol:							
12/09/20	1.000	109.98	12/09/20	170.00	...	-60.02	Option sale to close-call ²⁵
SNOW 12/18/2020 PUT \$285.00 / CUSIP: / Symbol:							
12/16/20	1.000	54.98	12/15/20	145.00	...	-90.02	Option sale to close-put ²⁵
SONO 12/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
12/16/20	1.000	14.98	12/15/20	70.00	...	-55.02	Option sale to close-call ²⁵
SPLK 01/10/2020 CALL \$157.50 / CUSIP: / Symbol:							
3 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	01/09/20	20.00	...	14.98	1 of 3 - Option sale to close-call ²⁵
	1.000	39.98	01/09/20	20.00	...	19.98	2 of 3 - Option sale to close-call ²⁵
	1.000	39.98	01/09/20	20.00	...	19.98	3 of 3 - Option sale to close-call ²⁵
01/10/20	3.000	114.94	Various	60.00	...	54.94	Total of 3 transactions

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SPLK 01/17/2020 CALL \$157.50 / CUSIP: / Symbol:							
01/14/20	1.000	179.98	01/13/20	185.00	...	-5.02	Option sale to close-call ²⁵
SPLK 01/31/2020 PUT \$155.00 / CUSIP: / Symbol:							
3 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	2.000	19.98	01/30/20	40.00	...	-20.02	1 of 3 - Option sale to close-put ²⁵
	2.000	39.98	01/30/20	40.00	...	-0.02	2 of 3 - Option sale to close-put ²⁵
	1.000	59.98	01/30/20	20.00	...	39.98	3 of 3 - Option sale to close-put ²⁵
01/31/20	5.000	119.94	Various	100.00	...	19.94	Total of 3 transactions
SPLK 02/07/2020 CALL \$170.00 / CUSIP: / Symbol:							
4 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/05/20	40.00	...	-40.00	1 of 4 - Option expiration- long call
	1.000	0.00	02/05/20	20.00	...	-20.00	2 of 4 - Option expiration- long call
	1.000	0.00	02/05/20	20.00	...	-20.00	3 of 4 - Option expiration- long call
	1.000	0.00	02/05/20	20.00	...	-20.00	4 of 4 - Option expiration- long call
02/07/20	5.000	0.00	Various	100.00	...	-100.00	Total of 4 transactions
SPLK 02/14/2020 CALL \$172.50 / CUSIP: / Symbol:							
02/11/20	1.000	154.98	02/10/20	25.00	...	129.98	Option sale to close-call ²⁵
SPLK 02/14/2020 PUT \$167.50 / CUSIP: / Symbol:							
02/14/20	1.000	4.98	02/13/20	15.00	...	-10.02	Option sale to close-put ²⁵
SPLK 02/28/2020 CALL \$162.50 / CUSIP: / Symbol:							
02/28/20	10.000	49.97	02/27/20	150.00	...	-100.03	Option sale to close-call ²⁵
SPLK 02/28/2020 CALL \$165.00 / CUSIP: / Symbol:							
02/26/20	1.000	114.98	02/25/20	285.00	...	-170.02	Option sale to close-call ²⁵
SPLK 05/08/2020 CALL \$142.00 / CUSIP: / Symbol:							
05/06/20	1.000	469.97	05/05/20	270.00	...	199.97	Option sale to close-call ²⁵
SPLK 05/08/2020 CALL \$145.00 / CUSIP: / Symbol:							
05/06/20	1.000	289.98	05/05/20	200.00	...	89.98	Option sale to close-call ²⁵

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SPLK 05/15/2020 CALL \$170.00 / CUSIP: / Symbol:							
05/12/20	1.000	54.98	05/11/20	55.00	...	-0.02	Option sale to close-call ²⁵
SPLK 05/29/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/27/20	1.000	79.98	05/26/20	320.00	...	-240.02	Option sale to close-call ²⁵
SPLK 06/12/2020 CALL \$182.50 / CUSIP: / Symbol:							
06/12/20	1.000	74.98	06/11/20	40.00	...	34.98	Option sale to close-call ²⁵
SPLK 06/26/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/23/20	1.000	309.98	06/22/20	265.00	...	44.98	Option sale to close-call ²⁵
SPLK 07/10/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/08/20	1.000	83.98	07/07/20	118.00	...	-34.02	Option sale to close-call ²⁵
SPLK 07/17/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/14/20	1.000	24.98	07/13/20	202.00	...	-177.02	Option sale to close-call ²⁵
SPLK 08/28/2020 CALL \$212.50 / CUSIP: / Symbol:							
08/25/20	1.000	404.98	08/21/20	520.00	...	-115.02	Option sale to close-call ²⁵
SPLK 12/31/2020 CALL \$182.50 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/29/20	71.00	...	-71.00	Option expiration- long call
SPOT 06/26/2020 CALL \$255.00 / CUSIP: / Symbol:							
06/25/20	1.000	174.98	06/24/20	390.00	...	-215.02	Option sale to close-call ²⁵
SPOT 06/26/2020 PUT \$230.00 / CUSIP: / Symbol:							
06/26/20	1.000	4.98	06/25/20	120.00	...	-115.02	Option sale to close-put ²⁵
SPOT 06/26/2020 PUT \$250.00 / CUSIP: / Symbol:							
06/25/20	1.000	169.98	06/25/20	240.00	...	-70.02	Option sale to close-put ²⁵
SPOT 07/02/2020 CALL \$270.00 / CUSIP: / Symbol:							
07/01/20	1.000	214.98	06/30/20	180.00	...	34.98	Option sale to close-call ²⁵
07/02/20	1.000	124.98	07/01/20	210.00	...	-85.02	Option sale to close-call ²⁵
Security total:		339.96		390.00	...	-50.04	

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SPOT 07/10/2020 CALL \$280.00 / CUSIP: / Symbol:							
07/09/20	1.000	449.98	07/09/20	270.00	...	179.98	Option sale to close-call ²⁵
SPOT 07/10/2020 CALL \$295.00 / CUSIP: / Symbol:							
07/09/20	1.000	59.98	07/08/20	220.00	...	-160.02	Option sale to close-call ²⁵
SPOT 07/24/2020 CALL \$287.50 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/23/20	195.00	...	-195.00	Option expiration- long call
SPOT 10/02/2020 CALL \$252.50 / CUSIP: / Symbol:							
09/29/20	1.000	84.98	09/28/20	230.00	...	-145.02	Option sale to close-call ²⁵
SPOT 12/24/2020 CALL \$337.50 / CUSIP: / Symbol:							
12/24/20	1.000	99.98	12/23/20	60.00	...	39.98	Option sale to close-call ²⁵
SPY 03/06/2020 CALL \$309.50 / CUSIP: / Symbol:							
3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	1.000	156.99	03/04/20	530.02	...	-373.03	1 of 3 - Option sale to close-call ²⁵
	1.000	156.99	03/04/20	360.00	...	-203.01	2 of 3 - Option sale to close-call ²⁵
	1.000	156.99	03/04/20	360.00	...	-203.01	3 of 3 - Option sale to close-call ²⁵
03/05/20	3.000	470.97	Various	1,250.02	...	-779.05	Total of 3 transactions
03/05/20	1.000	189.98	03/04/20	360.00	170.02 W	0.00	Option sale to close-call ²⁵
	Security total:	660.95		1,610.02	170.02 W	-779.05	
SPY 03/06/2020 CALL \$310.00 / CUSIP: / Symbol:							
4 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	1.000	157.98	03/05/20	0.00	...	157.98	1 of 4 - Short sale closed- call ²⁵ Option written: 03/04/20
	1.000	189.98	03/05/20	0.00	...	189.98	2 of 4 - Short sale closed- call ²⁵ Option written: 03/04/20
	1.000	189.98	03/05/20	0.00	...	189.98	3 of 4 - Short sale closed- call ²⁵ Option written: 03/04/20
	1.000	189.98	03/05/20	0.00	...	189.98	4 of 4 - Short sale closed- call ²⁵ Option written: 03/04/20
03/06/20	4.000	727.92	Various	0.00	...	727.92	Total of 4 transactions

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SPY 03/06/2020 PUT \$297.50 / CUSIP: / Symbol:							
03/06/20	1.000	32.98	03/05/20	0.00	...	32.98	Short sale closed- put ²⁵ Option written: 03/04/20
SPY 03/06/2020 PUT \$299.50 / CUSIP: / Symbol:							
03/05/20	1.000	166.98	03/04/20	202.00	...	-35.02	Option sale to close-put ²⁵
SPY 03/25/2020 CALL \$250.00 / CUSIP: / Symbol:							
03/24/20	1.000	259.98	03/24/20	125.00	...	134.98	Option sale to close-call ²⁵
SPY 03/25/2020 PUT \$199.50 / CUSIP: / Symbol:							
03/25/20	6.000	1,031.96	03/25/20	0.00	...	1,031.96	Short sale closed- put expired Option written: 03/23/20
SPY 03/25/2020 PUT \$200.00 / CUSIP: / Symbol:							
03/25/20	6.000	0.00	03/23/20	1,074.00	...	-1,074.00	Option expiration- long put
SPY 03/25/2020 PUT \$203.00 / CUSIP: / Symbol:							
03/25/20	1.000	155.98	03/25/20	0.00	...	155.98	Short sale closed- put expired Option written: 03/23/20
SPY 03/25/2020 PUT \$204.00 / CUSIP: / Symbol:							
03/25/20	1.000	0.00	03/23/20	172.00	...	-172.00	Option expiration- long put
SPY 03/30/2020 CALL \$257.00 / CUSIP: / Symbol:							
03/30/20	1.000	206.98	03/27/20	474.00	...	-267.02	Option sale to close-call ²⁵
SPY 04/22/2020 CALL \$283.00 / CUSIP: / Symbol:							
04/22/20	1.000	37.98	04/21/20	28.00	...	9.98	Option sale to close-call ²⁵
SPY 05/04/2020 PUT \$278.00 / CUSIP: / Symbol:							
05/04/20	1.000	69.98	05/01/20	114.00	...	-44.02	Option sale to close-put ²⁵
SPY 07/06/2020 PUT \$310.00 / CUSIP: / Symbol:							
07/06/20	1.000	6.98	07/02/20	64.00	...	-57.02	Option sale to close-put ²⁵
SPY 07/15/2020 PUT \$306.00 / CUSIP: / Symbol:							
07/15/20	1.000	0.98	07/14/20	39.00	...	-38.02	Option sale to close-put ²⁵

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SPY 07/24/2020 CALL \$328.00 / CUSIP: / Symbol: 07/24/20	1.000	0.98	07/23/20	76.00	...	-75.02	Option sale to close-call ²⁵
SPY 07/31/2020 CALL \$325.00 / CUSIP: / Symbol: 07/31/20	1.000	29.98	07/30/20	65.00	...	-35.02	Option sale to close-call ²⁵
SPY 08/03/2020 CALL \$327.00 / CUSIP: / Symbol: 08/03/20	1.000	174.98	07/31/20	52.00	...	122.98	Option sale to close-call ²⁵
SPY 08/28/2020 CALL \$348.00 / CUSIP: / Symbol: 08/28/20	1.000	175.98	08/27/20	170.00	...	5.98	Option sale to close-call ²⁵
SPY 09/04/2020 PUT \$340.00 / CUSIP: / Symbol: 09/04/20	1.000	28.98	09/03/20	121.00	...	-92.02	Option sale to close-put ²⁵
SPY 09/08/2020 CALL \$349.00 / CUSIP: / Symbol: 09/03/20	1.000	180.98	09/03/20	325.00	...	-144.02	Option sale to close-call ²⁵
SPY 09/09/2020 CALL \$344.00 / CUSIP: / Symbol: 09/09/20	1.000	13.98	09/04/20	212.00	...	-198.02	Option sale to close-call ²⁵
SPY 10/02/2020 PUT \$325.00 / CUSIP: / Symbol: 10/02/20	1.000	33.98	10/01/20	8.00	...	25.98	Option sale to close-put ²⁵
SPY 10/14/2020 CALL \$354.00 / CUSIP: / Symbol: 10/14/20	1.000	14.98	10/13/20	35.00	...	-20.02	Option sale to close-call ²⁵
SQ 07/10/2020 CALL \$124.00 / CUSIP: / Symbol: 07/07/20	1.000	209.98	07/06/20	194.00	...	15.98	Option sale to close-call ²⁵
SQ 07/17/2020 CALL \$121.00 / CUSIP: / Symbol: 07/15/20	1.000	429.98	07/14/20	211.00	...	218.98	Option sale to close-call ²⁵
07/17/20	1.000	195.98	07/16/20	165.00	...	30.98	Option sale to close-call ²⁵
Security total:		625.96		376.00	...	249.96	
SQ 07/17/2020 CALL \$125.00 / CUSIP: / Symbol: 07/16/20	1.000	80.98	07/15/20	199.00	...	-118.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 125061648

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2020 1099-B* OMB No. 1545-0715

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02/14/2021

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SQ 07/31/2020 CALL \$131.00 / CUSIP: / Symbol:							
07/31/20	1.000	16.98	07/30/20	104.00	...	-87.02	Option sale to close-call ²⁵
SQ 08/07/2020 CALL \$155.00 / CUSIP: / Symbol:							
08/07/20	1.000	42.98	08/06/20	171.00	...	-128.02	Option sale to close-call ²⁵
SQ 08/28/2020 CALL \$162.50 / CUSIP: / Symbol:							
08/28/20	1.000	20.98	08/27/20	199.00	...	-178.02	Option sale to close-call ²⁵
SQ 12/04/2020 CALL \$210.00 / CUSIP: / Symbol:							
12/04/20	1.000	99.98	12/03/20	239.00	...	-139.02	Option sale to close-call ²⁵
SQ 12/31/2020 CALL \$242.50 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/28/20	70.00	...	-70.00	Option expiration- long call
STZ 01/10/2020 CALL \$192.50 / CUSIP: / Symbol:							
01/09/20	1.000	239.98	01/08/20	175.00	...	64.98	Option sale to close-call ²⁵
STZ 01/10/2020 CALL \$200.00 / CUSIP: / Symbol:							
01/08/20	1.000	19.98	01/07/20	60.00	...	-40.02	Option sale to close-call ²⁵
STZ 01/10/2020 PUT \$182.50 / CUSIP: / Symbol:							
01/08/20	1.000	34.98	01/07/20	295.00	...	-260.02	Option sale to close-put ²⁵
TAP 02/14/2020 CALL \$61.50 / CUSIP: / Symbol:							
	<i>2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	02/12/20	115.00	...	-115.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/12/20	85.00	...	-85.00	2 of 2 - Option expiration- long call
02/14/20	2.000	0.00	Various	200.00	...	-200.00	Total of 2 transactions
TAP 02/14/2020 PUT \$58.00 / CUSIP: / Symbol:							
02/13/20	1.000	259.98	02/12/20	30.00	...	229.98	Option sale to close-put ²⁵
TEAM 10/16/2020 CALL \$200.00 / CUSIP: / Symbol:							
10/16/20	1.000	92.98	10/15/20	20.00	...	72.98	Option sale to close-call ²⁵
TGT 05/22/2020 CALL \$135.00 / CUSIP: / Symbol:							
05/20/20	1.000	4.98	05/18/20	97.00	...	-92.02	Option sale to close-call ²⁵

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TGT 08/14/2020 CALL \$137.00 / CUSIP: / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	5.000	94.98	08/11/20	175.00	...	-80.02	1 of 3 - Option sale to close-call ²⁵
	4.000	75.98	08/11/20	140.00	...	-64.02	2 of 3 - Option sale to close-call ²⁵
	1.000	18.98	08/11/20	35.00	...	-16.02	3 of 3 - Option sale to close-call ²⁵
08/12/20	10.000	189.94	Various	350.00	...	-160.06	Total of 3 transactions
TMUS 02/14/2020 CALL \$95.00 / CUSIP: / Symbol:							
02/12/20	1.000	118.98	02/11/20	139.00	...	-20.02	Option sale to close-call ²⁵
TSLA 01/10/2020 CALL \$475.00 / CUSIP: / Symbol:							
01/08/20	1.000	1,199.96	01/08/20	685.00	...	514.96	Option sale to close-call ²⁵
TSLA 01/10/2020 CALL \$497.50 / CUSIP: / Symbol:							
01/10/20	1.000	0.00	01/08/20	630.00	...	-630.00	Option expiration- long call
TSLA 01/10/2020 CALL \$510.00 / CUSIP: / Symbol:							
01/09/20	1.000	344.98	01/08/20	310.00	...	34.98	Option sale to close-call ²⁵
TSLA 01/10/2020 PUT \$475.00 / CUSIP: / Symbol:							
01/10/20	1.000	105.98	01/09/20	395.00	...	-289.02	Option sale to close-put ²⁵
TSLA 01/17/2020 CALL \$500.00 / CUSIP: / Symbol:							
01/13/20	1.000	2,199.94	01/13/20	1,030.00	...	1,169.94	Option sale to close-call ²⁵
TSLA 01/24/2020 CALL \$532.50 / CUSIP: / Symbol:							
01/22/20	1.000	3,534.91	01/21/20	1,345.00	...	2,189.91	Option sale to close-call ²⁵
TSLA 01/24/2020 CALL \$570.00 / CUSIP: / Symbol:							
01/22/20	1.000	964.97	01/21/20	390.00	...	574.97	Option sale to close-call ²⁵
TSLA 01/24/2020 PUT \$525.00 / CUSIP: / Symbol:							
01/24/20	1.000	5.98	01/22/20	96.00	...	-90.02	Option sale to close-put ²⁵
TSLA 01/31/2020 CALL \$650.00 / CUSIP: / Symbol:							
01/30/20	1.000	1,004.96	01/28/20	565.00	...	439.96	Option sale to close-call ²⁵

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TSLA 01/31/2020 CALL \$700.00 / CUSIP: / Symbol: 01/29/20	1.000	216.98	01/28/20	201.00	...	15.98	Option sale to close-call ²⁵
TSLA 01/31/2020 CALL \$705.00 / CUSIP: / Symbol: 01/29/20	1.000	167.98	01/28/20	162.00	...	5.98	Option sale to close-call ²⁵
TSLA 01/31/2020 CALL \$750.00 / CUSIP: / Symbol: 01/30/20	1.000	8.98	01/29/20	64.00	...	-55.02	Option sale to close-call ²⁵
TSLA 01/31/2020 PUT \$440.00 / CUSIP: / Symbol: 01/31/20	1.000	0.00	01/29/20	62.00	...	-62.00	Option expiration- long put
TSLA 02/07/2020 CALL \$682.50 / CUSIP: / Symbol: 02/03/20	1.000	3,649.91	02/03/20	2,200.00	...	1,449.91	Option sale to close-call ²⁵
TSLA 02/07/2020 CALL \$740.00 / CUSIP: / Symbol: 02/03/20	1.000	1,809.95	02/03/20	1,235.00	...	574.95	Option sale to close-call ²⁵
TSLA 02/07/2020 CALL \$782.50 / CUSIP: / Symbol: 02/03/20	1.000	2,319.94	02/03/20	2,165.00	...	154.94	Option sale to close-call ²⁵
TSLA 02/07/2020 CALL \$830.00 / CUSIP: / Symbol: 02/07/20	1.000	0.00	02/06/20	1,405.00	...	-1,405.00	Option expiration- long call
TSLA 02/14/2020 CALL \$1,155.00 / CUSIP: / Symbol: 02/10/20	1.000	213.98	02/07/20	123.00	...	90.98	Option sale to close-call ²⁵
TSLA 02/14/2020 CALL \$1,680.00 / CUSIP: / Symbol: 2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.	3.000	0.00	02/10/20	153.00	...	-153.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/10/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
02/14/20	4.000	0.00	Various	203.00	...	-203.00	Total of 2 transactions
TSLA 02/21/2020 CALL \$1,100.00 / CUSIP: / Symbol: 02/19/20	1.000	374.98	02/18/20	151.00	...	223.98	Option sale to close-call ²⁵

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TSLA 02/21/2020 CALL \$1,290.00 / CUSIP: / Symbol:							
2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	2.000	99.98	02/18/20	154.00	...	-54.02	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	02/18/20	77.00	...	-27.02	2 of 2 - Option sale to close-call ²⁵
02/19/20	3.000	149.96	Various	231.00	...	-81.04	Total of 2 transactions
TSLA 02/21/2020 CALL \$850.00 / CUSIP: / Symbol:							
02/19/20	1.000	7,859.81	02/18/20	2,400.00	...	5,459.81	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$950.00 / CUSIP: / Symbol:							
02/19/20	1.000	2,429.93	02/19/20	1,280.00	...	1,149.93	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$960.00 / CUSIP: / Symbol:							
02/19/20	1.000	824.97	02/19/20	1,490.00	...	-665.03	Option sale to close-call ²⁵
TSLA 02/28/2020 CALL \$905.00 / CUSIP: / Symbol:							
02/24/20	1.000	1,019.96	02/21/20	3,520.00	...	-2,500.04	Option sale to close-call ²⁵
TSLA 03/06/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
03/06/20	1.000	109.98	03/05/20	0.00	...	109.98	Short sale closed- call ²⁵ Option written: 03/03/20
TSLA 03/06/2020 CALL \$780.00 / CUSIP: / Symbol:							
03/03/20	1.000	1,399.95	02/28/20	928.00	...	471.95	Option sale to close-call ²⁵
TSLA 03/06/2020 CALL \$802.50 / CUSIP: / Symbol:							
03/04/20	1.000	719.97	03/03/20	2,800.00	...	-2,080.03	Option sale to close-call ²⁵
TSLA 03/06/2020 CALL \$830.00 / CUSIP: / Symbol:							
03/04/20	1.000	-27.03	03/03/20	0.00	...	-27.03	Short sale closed- call ²⁵ Option written: 02/28/20
TSLA 03/06/2020 CALL \$880.00 / CUSIP: / Symbol:							
03/05/20	1.000	18.98	03/03/20	365.00	...	-346.02	Option sale to close-call ²⁵
TSLA 03/06/2020 CALL \$900.00 / CUSIP: / Symbol:							
03/06/20	1.000	276.98	03/05/20	0.00	...	276.98	Short sale closed- call ²⁵ Option written: 03/03/20

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TSLA 03/06/2020 CALL \$980.00 / CUSIP: / Symbol: 03/05/20	1.000	10.98	03/03/20	141.00	...	-130.02	Option sale to close-call ²⁵
TSLA 03/06/2020 PUT \$600.00 / CUSIP: / Symbol: 03/05/20	1.000	92.98	03/04/20	0.00	...	92.98	Short sale closed- put ²⁵ Option written: 03/03/20
TSLA 03/06/2020 PUT \$620.00 / CUSIP: / Symbol: 03/04/20	1.000	152.98	03/03/20	285.00	...	-132.02	Option sale to close-put ²⁵
TSLA 03/27/2020 CALL \$555.00 / CUSIP: / Symbol: 03/25/20	1.000	2,104.94	03/25/20	1,935.00	...	169.94	Option sale to close-call ²⁵
TSLA 03/27/2020 PUT \$430.00 / CUSIP: / Symbol: 03/27/20	1.000	132.98	03/27/20	0.00	...	132.98	Short sale closed- put expired Option written: 03/25/20
TSLA 03/27/2020 PUT \$435.00 / CUSIP: / Symbol: 03/27/20	1.000	0.00	03/25/20	152.00	...	-152.00	Option expiration- long put
TSLA 04/09/2020 CALL \$535.00 / CUSIP: / Symbol: 04/06/20	1.000	814.97	04/06/20	1,140.00	...	-325.03	Option sale to close-call ²⁵
TSLA 04/09/2020 CALL \$540.00 / CUSIP: / Symbol: 04/07/20	1.000	221.96	04/06/20	0.00	...	221.96	Short sale closed- call ²⁵ Option written: 04/06/20
TSLA 04/17/2020 CALL \$790.00 / CUSIP: / Symbol: 04/15/20	1.000	863.97	04/14/20	1,487.00	...	-623.03	Option sale to close-call ²⁵
TSLA 04/17/2020 CALL \$795.00 / CUSIP: / Symbol: 04/16/20	1.000	589.95	04/15/20	0.00	...	589.95	Short sale closed- call ²⁵ Option written: 04/14/20
TSLA 04/24/2020 CALL \$772.50 / CUSIP: / Symbol: 04/15/20	1.000	3,611.91	04/14/20	3,710.00	...	-98.09	Option sale to close-call ²⁵

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TSLA 04/24/2020 CALL \$775.00 / CUSIP: / Symbol: 04/16/20	1.000	102.90	04/15/20	0.00	...	102.90	Short sale closed- call ²⁵ Option written: 04/14/20
TSLA 04/24/2020 CALL \$785.00 / CUSIP: / Symbol: 04/21/20	1.000	1,383.95	04/20/20	2,595.00	...	-1,211.05	Option sale to close-call ²⁵
TSLA 04/24/2020 CALL \$795.00 / CUSIP: / Symbol: 04/22/20	1.000	1,088.93	04/21/20	0.00	...	1,088.93	Short sale closed- call ²⁵ Option written: 04/20/20
TSLA 05/29/2020 CALL \$860.00 / CUSIP: / Symbol: 05/28/20	1.000	98.98	05/27/20	480.00	...	-381.02	Option sale to close-call ²⁵
TSLA 05/29/2020 CALL \$865.00 / CUSIP: / Symbol: 05/29/20	1.000	336.98	05/28/20	0.00	...	336.98	Short sale closed- call ²⁵ Option written: 05/27/20
TSLA 05/29/2020 CALL \$900.00 / CUSIP: / Symbol: 05/28/20	1.000	93.98	05/27/20	184.00	...	-90.02	Option sale to close-call ²⁵
TSLA 06/05/2020 CALL \$930.00 / CUSIP: / Symbol: 06/05/20	1.000	13.98	06/04/20	40.00	...	-26.02	Option sale to close-call ²⁵
TSLA 06/05/2020 CALL \$980.00 / CUSIP: / Symbol: 06/02/20	1.000	669.97	06/02/20	415.00	...	254.97	Option sale to close-call ²⁵
TSLA 06/12/2020 CALL \$1,030.00 / CUSIP: / Symbol: 06/10/20	1.000	1,034.96	06/10/20	1,075.00	...	-40.04	Option sale to close-call ²⁵
TSLA 06/12/2020 CALL \$1,080.00 / CUSIP: / Symbol: 06/11/20	1.000	163.98	06/10/20	495.00	...	-331.02	Option sale to close-call ²⁵
TSLA 07/24/2020 CALL \$1,670.00 / CUSIP: / Symbol: 07/23/20	1.000	5,342.87	07/22/20	7,528.00	...	-2,185.13	Option sale to close-call ²⁵
TSLA 07/24/2020 CALL \$1,680.00 / CUSIP: / Symbol: 07/24/20	1.000	2,285.83	07/23/20	0.00	...	2,285.83	Short sale closed- call ²⁵ Option written: 07/22/20

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 07/31/2020 CALL \$1,645.00 / CUSIP: / Symbol: 07/28/20	1.000	1,367.95	07/27/20	1,537.00	...	-169.05	Option sale to close-call ²⁵
TSLA 07/31/2020 CALL \$1,650.00 / CUSIP: / Symbol: 07/29/20	1.000	176.95	07/28/20	0.00	...	176.95	Short sale closed- call ²⁵ Option written: 07/27/20
TSLA 08/14/2020 CALL \$1,555.00 / CUSIP: / Symbol: 08/12/20	1.000	859.97	08/12/20	730.00	...	129.97	Option sale to close-call ²⁵
TSLA 08/14/2020 CALL \$1,670.00 / CUSIP: / Symbol: 08/12/20	1.000	1,569.95	08/12/20	249.00	...	1,320.95	Option sale to close-call ²⁵
TSLA 08/21/2020 CALL \$2,350.00 / CUSIP: / Symbol: 08/19/20	1.000	99.98	08/18/20	540.00	...	-440.02	Option sale to close-call ²⁵
TSLA 08/28/2020 CALL \$2,300.00 / CUSIP: / Symbol: 08/27/20	1.000	2,254.94	08/26/20	1,220.00	...	1,034.94	Option sale to close-call ²⁵
TSLA 08/28/2020 CALL \$2,350.00 / CUSIP: / Symbol: 08/28/20	1.000	899.97	08/27/20	844.00	...	55.97	Option sale to close-call ²⁵
TSLA 09/04/2020 CALL \$520.00 / CUSIP: / Symbol: 09/03/20	1.000	24.98	09/02/20	225.00	...	-200.02	Option sale to close-call ²⁵
TSLA 09/04/2020 CALL \$560.00 / CUSIP: / Symbol: 09/01/20	1.000	429.98	08/31/20	385.00	...	44.98	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$580.00 / CUSIP: / Symbol: 08/31/20	1.000	2,579.93	08/28/20	1,752.80	...	827.13	Option sale to close-call ²⁵
09/11/20	1.000	309.98	08/28/20	1,752.80	...	-1,442.82	Option sale to close-call ²⁵
09/25/20	3.000	0.00	08/28/20	5,258.40	...	-5,258.40	Option expiration- long call
Security total:		2,889.91		8,764.00	...	-5,874.09	

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 09/25/2020 CALL \$600.00 / CUSIP: / Symbol:							
09/01/20	1.000	-749.24	08/31/20	0.00	...	-749.24	Short sale closed- call ²⁵
09/14/20	1.000	1,242.77	09/11/20	0.00	...	1,242.77	Option written: 08/28/20 Short sale closed- call ²⁵
09/25/20	3.000	4,502.29	09/25/20	0.00	...	4,502.29	Option written: 08/28/20 Short sale closed- call expired Option written: 08/28/20
Security total:		4,995.82		0.00	...	4,995.82	
TSLA 10/16/2020 CALL \$480.00 / CUSIP: / Symbol:							
10/16/20	1.000	8.98	10/15/20	129.00	...	-120.02	Option sale to close-call ²⁵
TSLA 10/23/2020 CALL \$460.00 / CUSIP: / Symbol:							
10/23/20	1.000	1.98	10/22/20	186.00	...	-184.02	Option sale to close-call ²⁵
TSLA 11/13/2020 CALL \$435.00 / CUSIP: / Symbol:							
11/11/20	1.000	177.98	11/10/20	286.00	...	-108.02	Option sale to close-call ²⁵
TSLA 11/13/2020 CALL \$440.00 / CUSIP: / Symbol:							
11/12/20	1.000	93.98	11/11/20	0.00	...	93.98	Short sale closed- call ²⁵ Option written: 11/10/20
TSLA 11/20/2020 CALL \$470.00 / CUSIP: / Symbol:							
11/18/20	1.000	1,494.95	11/17/20	572.00	...	922.95	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$475.00 / CUSIP: / Symbol:							
11/19/20	1.000	-743.03	11/18/20	0.00	...	-743.03	Short sale closed- call ²⁵ Option written: 11/17/20
TSLA 12/04/2020 CALL \$670.00 / CUSIP: / Symbol:							
12/04/20	1.000	2.98	12/01/20	510.00	...	-507.02	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/24/20	1.000	6,792.83	11/17/20	1,715.00	...	5,077.83	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$510.00 / CUSIP: / Symbol:							
11/25/20	1.000	-4,619.05	11/24/20	0.00	...	-4,619.05	Short sale closed- call ²⁵ Option written: 11/17/20

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TTD 02/07/2020 PUT \$290.00 / CUSIP: / Symbol:							
02/05/20	1.000	54.98	02/04/20	165.00	...	-110.02	Option sale to close-put ²⁵
TTD 02/28/2020 CALL \$270.00 / CUSIP: / Symbol:							
02/27/20	1.000	934.96	02/26/20	1,672.00	...	-737.04	Option sale to close-call ²⁵
TTD 02/28/2020 CALL \$287.50 / CUSIP: / Symbol:							
02/28/20	1.000	506.96	02/27/20	0.00	...	506.96	Short sale closed- call ²⁵ Option written: 02/26/20
TTD 03/06/2020 CALL \$282.50 / CUSIP: / Symbol:							
03/03/20	1.000	1,077.96	02/28/20	1,755.00	...	-677.04	Option sale to close-call ²⁵
TTD 03/06/2020 CALL \$312.50 / CUSIP: / Symbol:							
03/04/20	1.000	449.97	03/03/20	0.00	...	449.97	Short sale closed- call ²⁵ Option written: 02/28/20
TTD 04/24/2020 CALL \$265.00 / CUSIP: / Symbol:							
04/23/20	1.000	219.98	04/23/20	340.00	...	-120.02	Option sale to close-call ²⁵
TTD 05/01/2020 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	329.98	04/30/20	220.00	...	109.98	1 of 2 - Option sale to close-call ²⁵
	1.000	469.97	04/30/20	240.00	...	229.97	2 of 2 - Option sale to close-call ²⁵
04/30/20	2.000	799.95	Various	460.00	...	339.95	Total of 2 transactions
TTD 05/01/2020 CALL \$320.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/30/20	215.00	...	-215.00	Option expiration- long call
TTD 05/15/2020 CALL \$320.00 / CUSIP: / Symbol:							
05/11/20	1.000	619.97	05/11/20	660.00	40.03 W	0.00	Option sale to close-call ²⁵
05/12/20	1.000	299.98	05/11/20	530.03	230.05 W	0.00	Option sale to close-call ²⁵
05/14/20	1.000	39.98	05/13/20	525.05	...	-485.07	Option sale to close-call ²⁵
	Security total:	959.93		1,715.08	270.08 W	-485.07	
TTD 05/29/2020 CALL \$322.50 / CUSIP: / Symbol:							
05/28/20	1.000	19.98	05/26/20	360.00	...	-340.02	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TTD 06/05/2020 CALL \$350.00 / CUSIP: / Symbol: 06/03/20	1.000	339.98	06/03/20	300.00	...	39.98	Option sale to close-call ²⁵
TTD 06/26/2020 CALL \$415.00 / CUSIP: / Symbol: 06/26/20	1.000	269.98	06/25/20	250.00	...	19.98	Option sale to close-call ²⁵
TTD 09/04/2020 CALL \$500.00 / CUSIP: / Symbol: 09/02/20	1.000	1,299.96	09/02/20	560.00	...	739.96	Option sale to close-call ²⁵
TTD 10/23/2020 CALL \$655.00 / CUSIP: / Symbol: 10/20/20	1.000	259.98	10/16/20	686.00	...	-426.02	Option sale to close-call ²⁵
TTD 10/23/2020 CALL \$660.00 / CUSIP: / Symbol: 10/21/20	1.000	499.97	10/21/20	140.00	...	359.97	Option sale to close-call ²⁵
TTD 10/23/2020 CALL \$665.00 / CUSIP: / Symbol: 10/21/20	1.000	357.97	10/20/20	0.00	...	357.97	Short sale closed- call ²⁵ Option written: 10/16/20
10/22/20	1.000	39.98	10/21/20	130.00	...	-90.02	Option sale to close-call ²⁵
Security total:		397.95		130.00	...	267.95	
TTD 10/30/2020 CALL \$630.00 / CUSIP: / Symbol: 10/30/20	1.000	0.00	10/29/20	285.00	...	-285.00	Option expiration- long call
TTD 10/30/2020 CALL \$670.00 / CUSIP: / Symbol: 10/27/20	1.000	144.98	10/26/20	150.00	...	-5.02	Option sale to close-call ²⁵
TTD 12/31/2020 CALL \$975.00 / CUSIP: / Symbol: 12/28/20	1.000	64.98	12/28/20	150.00	...	-85.02	Option sale to close-call ²⁵
TTWO 08/07/2020 CALL \$185.00 / CUSIP: / Symbol: 08/05/20	1.000	14.98	08/04/20	200.00	...	-185.02	Option sale to close-call ²⁵
TWLO 05/08/2020 CALL \$175.00 / CUSIP: / Symbol: 05/08/20	1.000	125.98	05/07/20	183.00	...	-57.02	Option sale to close-call ²⁵
TWLO 05/15/2020 CALL \$195.00 / CUSIP: / Symbol: 05/14/20	1.000	199.98	05/13/20	273.00	...	-73.02	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWLO 05/22/2020 CALL \$195.00 / CUSIP: / Symbol:							
05/18/20	1.000	479.97	05/18/20	370.00	...	109.97	Option sale to close-call ²⁵
05/19/20	1.000	269.98	05/18/20	506.02	...	-236.04	Option sale to close-call ²⁵
05/19/20	1.000	228.98	05/18/20	385.00	156.02 W	0.00	Option sale to close-call ²⁵
	Security total:	978.93		1,261.02	156.02 W	-126.07	
TWLO 05/29/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/28/20	1.000	174.98	05/26/20	435.00	...	-260.02	Option sale to close-call ²⁵
TWLO 05/29/2020 CALL \$210.00 / CUSIP: / Symbol:							
	2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	19.99	05/26/20	452.00	...	-432.01	1 of 2 - Option sale to close-call ²⁵
	1.000	19.99	05/26/20	264.00	...	-244.01	2 of 2 - Option sale to close-call ²⁵
05/28/20	2.000	39.98	Various	716.00	...	-676.02	Total of 2 transactions
TWLO 06/05/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/05/20	1.000	24.98	06/04/20	87.00	...	-62.02	Option sale to close-call ²⁵
TWLO 06/05/2020 CALL \$202.50 / CUSIP: / Symbol:							
06/05/20	1.000	11.98	06/04/20	74.00	...	-62.02	Option sale to close-call ²⁵
TWLO 06/12/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/09/20	1.000	208.98	06/08/20	175.00	...	33.98	Option sale to close-call ²⁵
TWLO 06/19/2020 CALL \$210.00 / CUSIP: / Symbol:							
06/16/20	1.000	409.98	06/16/20	221.00	...	188.98	Option sale to close-call ²⁵
TWLO 06/26/2020 CALL \$225.00 / CUSIP: / Symbol:							
06/23/20	1.000	249.98	06/22/20	289.00	...	-39.02	Option sale to close-call ²⁵
TWLO 07/31/2020 CALL \$262.50 / CUSIP: / Symbol:							
07/27/20	1.000	261.98	07/27/20	420.00	...	-158.02	Option sale to close-call ²⁵
TWLO 11/20/2020 CALL \$305.00 / CUSIP: / Symbol:							
11/17/20	1.000	88.98	11/16/20	70.00	...	18.98	Option sale to close-call ²⁵
TWLO 12/11/2020 CALL \$322.50 / CUSIP: / Symbol:							
12/10/20	1.000	264.98	12/09/20	165.00	...	99.98	Option sale to close-call ²⁵

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UNH 03/06/2020 CALL \$292.50 / CUSIP: / Symbol:							
03/05/20	1.000	49.98	03/04/20	149.00	...	-99.02	Option sale to close-call ²⁵
V 09/04/2020 CALL \$212.50 / CUSIP: / Symbol:							
09/02/20	1.000	369.98	08/27/20	241.00	...	128.98	Option sale to close-call ²⁵
V 12/11/2020 CALL \$215.00 / CUSIP: / Symbol:							
12/09/20	1.000	38.98	12/08/20	90.00	...	-51.02	Option sale to close-call ²⁵
VMW 06/26/2020 CALL \$162.50 / CUSIP: / Symbol:							
06/25/20	1.000	9.98	06/24/20	185.00	...	-175.02	Option sale to close-call ²⁵
VMW 08/28/2020 CALL \$141.00 / CUSIP: / Symbol:							
08/26/20	1.000	609.97	08/25/20	429.00	...	180.97	Option sale to close-call ²⁵
VTIQ 06/05/2020 CALL \$37.00 / CUSIP: / Symbol:							
06/02/20	1.000	301.98	06/01/20	200.00	...	101.98	Option sale to close-call ²⁵
VTIQ 06/05/2020 CALL \$41.00 / CUSIP: / Symbol:							
06/03/20	1.000	23.98	06/02/20	185.00	...	-161.02	Option sale to close-call ²⁵
WMT 05/22/2020 CALL \$136.00 / CUSIP: / Symbol:							
05/19/20	1.000	28.98	05/18/20	63.00	...	-34.02	Option sale to close-call ²⁵
WMT 07/10/2020 CALL \$122.00 / CUSIP: / Symbol:							
07/08/20	1.000	589.97	07/07/20	128.00	...	461.97	Option sale to close-call ²⁵
WMT 07/10/2020 CALL \$126.00 / CUSIP: / Symbol:							
07/09/20	1.000	135.98	07/08/20	160.00	...	-24.02	Option sale to close-call ²⁵
WMT 08/14/2020 CALL \$132.00 / CUSIP: / Symbol:							
08/12/20	1.000	48.98	08/11/20	149.00	...	-100.02	Option sale to close-call ²⁵
WMT 09/04/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/01/20	1.000	479.97	08/27/20	222.00	...	257.97	Option sale to close-call ²⁵
WMT 09/11/2020 CALL \$141.00 / CUSIP: / Symbol:							
09/10/20	1.000	73.98	09/09/20	178.00	...	-104.02	Option sale to close-call ²⁵

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WMT 10/16/2020 CALL \$146.00 / CUSIP: / Symbol: 10/14/20	1.000	95.98	10/13/20	64.00	...	31.98	Option sale to close-call ²⁵
WMT 11/13/2020 CALL \$150.00 / CUSIP: / Symbol: 11/13/20	1.000	18.98	11/12/20	31.00	...	-12.02	Option sale to close-call ²⁵
WORK 06/05/2020 CALL \$40.00 / CUSIP: / Symbol: 06/02/20	1.000	199.98	06/01/20	95.00	...	104.98	Option sale to close-call ²⁵
WORK 06/05/2020 CALL \$45.00 / CUSIP: / Symbol: 06/05/20	1.000	0.00	06/02/20	60.00	...	-60.00	Option expiration- long call
XPEV 12/04/2020 CALL \$61.00 / CUSIP: / Symbol: 12/01/20	1.000	117.98	12/01/20	250.00	...	-132.02	Option sale to close-call ²⁵
XPEV 12/04/2020 CALL \$62.00 / CUSIP: / Symbol: 12/02/20	1.000	22.98	12/01/20	123.00	...	-100.02	Option sale to close-call ²⁵
ZG 08/21/2020 CALL \$90.00 / CUSIP: / Symbol: 08/10/20	1.000	74.98	08/07/20	240.00	...	-165.02	Option sale to close-call ²⁵
ZM 04/24/2020 CALL \$157.50 / CUSIP: / Symbol: 04/22/20	1.000	189.98	04/22/20	120.00	...	69.98	Option sale to close-call ²⁵
ZM 04/24/2020 CALL \$170.00 / CUSIP: / Symbol: 04/23/20	1.000	359.98	04/23/20	170.00	...	189.98	Option sale to close-call ²⁵
ZM 04/24/2020 CALL \$175.00 / CUSIP: / Symbol: 04/24/20	1.000	499.97	04/23/20	120.00	...	379.97	Option sale to close-call ²⁵
ZM 05/01/2020 PUT \$136.00 / CUSIP: / Symbol: 05/01/20	1.000	134.98	04/30/20	220.00	...	-85.02	Option sale to close-put ²⁵
ZM 06/05/2020 CALL \$220.00 / CUSIP: / Symbol: 06/05/20	1.000	9.98	06/04/20	100.00	...	-90.02	Option sale to close-call ²⁵
ZM 06/05/2020 CALL \$235.00 / CUSIP: / Symbol: 06/05/20	1.000	0.00	06/04/20	85.00	...	-85.00	Option expiration- long call

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 06/05/2020 PUT \$197.50 / CUSIP: / Symbol:							
06/05/20	1.000	45.98	06/04/20	50.00	...	-4.02	Option sale to close-put ²⁵
ZM 06/12/2020 CALL \$237.50 / CUSIP: / Symbol:							
06/11/20	1.000	199.98	06/11/20	190.00	...	9.98	Option sale to close-call ²⁵
ZM 06/19/2020 CALL \$245.00 / CUSIP: / Symbol:							
06/17/20	1.000	439.98	06/16/20	430.00	...	9.98	Option sale to close-call ²⁵
06/18/20	1.000	299.98	06/17/20	210.00	...	89.98	Option sale to close-call ²⁵
	Security total:	739.96		640.00	...	99.96	
ZM 07/10/2020 CALL \$275.00 / CUSIP: / Symbol:							
07/06/20	1.000	439.98	07/06/20	280.00	...	159.98	Option sale to close-call ²⁵
ZM 07/17/2020 CALL \$265.00 / CUSIP: / Symbol:							
07/16/20	1.000	64.98	07/15/20	145.00	...	-80.02	Option sale to close-call ²⁵
ZM 07/17/2020 CALL \$280.00 / CUSIP: / Symbol:							
07/13/20	1.000	619.97	07/13/20	460.00	...	159.97	Option sale to close-call ²⁵
ZM 10/02/2020 CALL \$490.00 / CUSIP: / Symbol:							
10/02/20	1.000	829.97	10/01/20	253.00	...	576.97	Option sale to close-call ²⁵
ZM 10/09/2020 CALL \$512.50 / CUSIP: / Symbol:							
10/08/20	1.000	71.98	10/07/20	175.00	...	-103.02	Option sale to close-call ²⁵
ZM 10/16/2020 CALL \$600.00 / CUSIP: / Symbol:							
10/16/20	1.000	4.98	10/15/20	35.00	...	-30.02	Option sale to close-call ²⁵
ZM 10/23/2020 CALL \$610.00 / CUSIP: / Symbol:							
10/22/20	1.000	9.98	10/20/20	260.00	...	-250.02	Option sale to close-call ²⁵
ZM 10/30/2020 CALL \$575.00 / CUSIP: / Symbol:							
10/29/20	1.000	89.98	10/28/20	125.00	...	-35.02	Option sale to close-call ²⁵
ZM 11/20/2020 PUT \$325.00 / CUSIP: / Symbol:							
11/17/20	1.000	39.98	11/16/20	119.00	...	-79.02	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 12/24/2020 CALL \$427.50 / CUSIP: / Symbol:							
12/23/20	1.000	34.98	12/22/20	105.00	...	-70.02	Option sale to close-call ²⁵
ZS 09/04/2020 CALL \$160.00 / CUSIP: / Symbol:							
09/03/20	1.000	4.98	09/02/20	175.00	...	-170.02	Option sale to close-call ²⁵
BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol:							
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	48.000	564.94	03/20/20	534.72	...	30.22	1 of 2 - Sale ²⁵
	52.000	612.87	03/20/20	579.28	...	33.59	2 of 2 - Sale ²⁵
03/23/20	100.000	1,177.81	Various	1,114.00	...	63.81	Total of 2 transactions
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
02/06/20	10.000	25.00	02/05/20	25.78	0.78 W	0.00	Sale ²⁵
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	90.000	1,090.31	02/27/20	1,248.75	...	-158.44	1 of 2 - Sale ²⁵
	10.000	121.15	02/27/20	139.53	...	-18.38	2 of 2 - Sale ²⁵
02/27/20	100.000	1,211.46	Various	1,388.28	...	-176.82	Total of 2 transactions
	Security total:	1,236.46		1,414.06	0.78 W	-176.82	
DARE BIOSCIENCE, INC. COMMON STOCK / CUSIP: 23666P101 / Symbol:							
02/03/20	10.000	11.20	01/16/20	16.35	...	-5.15	Sale ²⁵
DURECT CORPORATION COMMON STOCK / CUSIP: 266605104 / Symbol:							
02/03/20	10.000	18.80	01/10/20	26.50	...	-7.70	Sale ²⁵
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
03/30/20	70.000	1,474.28	03/27/20	1,514.79	...	-40.51	Sale ²⁵
ISHARES TRUST ISHARES INTERNATIONAL TREASURY BOND ETF / CUSIP: 464288117 / Symbol:							
03/19/20	1.000	47.26	03/10/20	52.65	...	-5.39	Sale ²⁵

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Robinhood Securities LLC

Account 125061648

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
3 transactions for 02/03/20. Total proceeds and cost reported to the IRS.							
	10.000	35.30	01/10/20	34.90	...	0.40	1 of 3 - Sale ²⁵
	10.000	35.30	01/14/20	37.40	...	-2.10	2 of 3 - Sale ²⁵
	20.000	70.60	01/15/20	87.10	...	-16.50	3 of 3 - Sale ²⁵
02/03/20	40.000	141.20	Various	159.40	...	-18.20	Total of 3 transactions
NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	50.000	131.50	05/20/20	242.00	...	-110.50	1 of 3 - Sale ²⁵
	49.000	128.86	05/20/20	146.27	...	-17.41	2 of 3 - Sale ²⁵
	1.000	2.63	05/20/20	2.99	...	-0.36	3 of 3 - Sale ²⁵
05/27/20	100.000	262.99	Various	391.26	...	-128.27	Total of 3 transactions
SPARTAN ENERGY ACQUISITION CORP. / CUSIP: 846784106 / Symbol:							
07/14/20	10.000	146.85	07/13/20	165.50	...	-18.65	Sale ²⁵
YAMANA GOLD, INC. / CUSIP: 98462Y100 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	5.09	11/07/19	3.27	...	1.82	1 of 2 - Sale ²⁵
	0.002	0.01	04/15/20	0.01	...	0.00	2 of 2 - Sale ²⁵
05/28/20	1.002	5.10	Various	3.28	...	1.82	Total of 2 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
07/13/20	1,000.000	110.18	07/10/20	115.30	...	-5.12	Sale ²⁵
Totals :		257,788.14		270,551.48	2,097.17 W	-10,666.17	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 125061648

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
YAMANA GOLD, INC.	98462Y100		01/14/20	0.01	Nonqualified dividend	
			04/14/20	0.01	Nonqualified dividend	
				0.02	Total Dividends & distributions	
				0.02	Total Dividends & distributions	

Robinhood Securities LLC

Account 125061648

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/20/20	-5.00	Margin interest paid	
		06/19/20	-5.00	Margin interest paid	
		07/20/20	-5.00	Margin interest paid	
		08/18/20	-5.00	Margin interest paid	
		09/17/20	-5.00	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		11/16/20	-5.00	Margin interest paid	
		12/16/20	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
			-40.00	Total Margin interest paid	

Robinhood Securities LLC

Account 125061648

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	YAMANA GOLD, INC.	98462Y100	0.02	0.00	0.00	0.00	0.02	0.00
Total CANADA			0.02	0.00	0.00	0.00	0.02	0.00
Grand Total	Total Foreign Source Income*			0.02				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 125061648

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
TSLA 09/25/2020 CALL \$580.00	TSLA 09/25/20 C 580.000	08/31/20	5.00	0.00	Merger	
TSLA 09/25/2020 CALL \$600.00	TSLA 09/25/20 C 600.000	08/31/20	-5.00	0.00	Merger	
TSLA 09/25/2020 CALL \$2,900.00	TSLA 09/25/20 C 2900.000	08/31/20	-1.00	0.00	Merger	
TSLA 09/25/2020 CALL \$3,000.00	TSLA 09/25/20 C 3000.000	08/31/20	1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.