

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 121134340	Statement Date: 02/14/2021 Document ID: E4XT OKM 4M46	2020
	Surender Yelugandula 7904 Ellis Wyatt Ct Elkridge, MD 21075 RECIPIENT'S TIN: XXX-XX-9399		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 15.15</p> <p>1b- Qualified dividends 15.15</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	81,387.10	76,422.86	0.00	2,738.53	7,702.77
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	22.57	25.95	0.00	0.00	-3.38
	Total Short-term	81,409.67	76,448.81	0.00	2,738.53	7,699.39
Long	D (basis reported to the IRS)	1,739.78	1,591.82	0.00	0.00	147.96
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	1,739.78	1,591.82	0.00	0.00	147.96
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	83,149.45	78,040.63	0.00	2,738.53	7,847.35
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	159.02
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 08/21/2020 CALL \$16.00 / CUSIP: / Symbol:							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/11/20	41.00	...	-41.00	1 of 3 - Option expiration- long call
	1.000	0.00	08/12/20	23.00	...	-23.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/14/20	14.00	...	-14.00	3 of 3 - Option expiration- long call
08/21/20	3.000	0.00	Various	78.00	...	-78.00	Total of 3 transactions
AAPL 01/15/2021 CALL \$160.00 / CUSIP: / Symbol:							
12/02/20	1.000	43.98	10/30/20	36.00	...	7.98	Option sale to close-call ²⁵
12/02/20	1.000	43.98	10/23/20	73.00	29.02 W	0.00	Option sale to close-call ²⁵
	Security total:	87.96		109.00	29.02 W	7.98	

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Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/16/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/13/20	1.000	199.98	10/12/20	280.00	80.02 W	0.00	Option sale to close-call ²⁵
10/16/20	1.000	0.00	10/12/20	360.02	...	-360.02	Option expiration- long call
	Security total:	199.98		640.02	80.02 W	-360.02	
AAPL 12/04/2020 CALL \$122.00 / CUSIP: / Symbol:							
12/01/20	1.000	199.98	11/30/20	115.00	...	84.98	Option sale to close-call ²⁵
ABT 10/16/2020 CALL \$112.00 / CUSIP: / Symbol:							
10/16/20	3.000	14.98	10/12/20	183.00	...	-168.02	Option sale to close-call ²⁵
ADBE 10/09/2020 CALL \$495.00 / CUSIP: / Symbol:							
10/08/20	1.000	159.99	10/08/20	220.00	...	-60.01	Option sale to close-call ²⁵
10/08/20	1.000	159.99	10/08/20	350.00	190.01 W	0.00	Option sale to close-call ²⁵
10/09/20	1.000	399.98	10/08/20	418.01	...	-18.03	Option sale to close-call ²⁵
	Security total:	719.96		988.01	190.01 W	-78.04	
ADBE 10/30/2020 CALL \$500.00 / CUSIP: / Symbol:							
10/30/20	1.000	1.98	10/26/20	85.00	...	-83.02	Option sale to close-call ²⁵
ADBE 12/11/2020 CALL \$530.00 / CUSIP: / Symbol:							
12/09/20	2.000	219.98	12/07/20	370.00	...	-150.02	Option sale to close-call ²⁵
AMWL 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
10/05/20	1.000	509.97	10/02/20	370.00	...	139.97	Option sale to close-call ²⁵
BA 12/04/2020 CALL \$260.00 / CUSIP: / Symbol:							
11/24/20	1.000	117.98	11/18/20	200.00	82.02 W	0.00	Option sale to close-call ²⁵
12/02/20	1.000	27.98	11/18/20	242.02	...	-214.04	Option sale to close-call ²⁵
	Security total:	145.96		442.02	82.02 W	-214.04	
BA 12/11/2020 CALL \$250.00 / CUSIP: / Symbol:							
12/10/20	1.000	23.98	12/08/20	152.00	...	-128.02	Option sale to close-call ²⁵
BAND 03/20/2020 CALL \$85.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	02/21/20	125.00	...	-125.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BB 12/04/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	29.99	12/02/20	30.02	...	-0.03	1 of 2 - Option sale to close-call ²⁵
	1.000	29.99	12/02/20	30.01	...	-0.02	2 of 2 - Option sale to close-call ²⁵
12/04/20	2.000	59.98	Various	60.03	...	-0.05	Total of 2 transactions
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	2.000	59.98	12/02/20	60.00	0.01 W	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	29.98	12/02/20	30.00	0.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/04/20	3.000	89.96	Various	90.00	0.03 W	-0.01	Total of 2 transactions
	Security total:	149.94		150.03	0.03 W	-0.06	
C 12/31/2020 CALL \$65.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/17/20	25.00	...	-25.00	Option expiration- long call
CHGG 09/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/21/20	200.00	...	-200.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/03/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
09/18/20	2.000	0.00	Various	250.00	...	-250.00	Total of 2 transactions
CHWY 12/18/2020 CALL \$95.00 / CUSIP: / Symbol:							
12/15/20	1.000	74.98	12/15/20	37.00	...	37.98	Option sale to close-call ²⁵
COST 10/02/2020 CALL \$345.00 / CUSIP: / Symbol:							
09/28/20	1.000	499.97	09/28/20	350.00	...	149.97	Option sale to close-call ²⁵
COST 12/24/2020 CALL \$365.00 / CUSIP: / Symbol:							
12/24/20	2.000	29.98	12/22/20	120.00	...	-90.02	Option sale to close-call ²⁵
DKNG 10/09/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/05/20	220.00	...	-220.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/06/20	70.00	...	-70.00	2 of 2 - Option expiration- long call
10/09/20	2.000	0.00	Various	290.00	...	-290.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DLTR 12/04/2020 CALL \$113.00 / CUSIP: / Symbol:							
12/01/20	1.000	69.98	11/30/20	42.00	...	27.98	Option sale to close-call ²⁵
DLTR 12/04/2020 CALL \$115.00 / CUSIP: / Symbol:							
12/04/20	1.000	3.98	12/03/20	36.00	...	-32.02	Option sale to close-call ²⁵
DOCU 09/11/2020 CALL \$207.50 / CUSIP: / Symbol:							
09/09/20	1.000	849.97	09/09/20	550.00	...	299.97	Option sale to close-call ²⁵
DPZ 12/31/2020 CALL \$390.00 / CUSIP: / Symbol:							
12/31/20	4.000	0.00	12/29/20	600.00	...	-600.00	Option expiration- long call
EPD 12/04/2020 CALL \$20.50 / CUSIP: / Symbol:							
12/02/20	10.000	99.97	11/30/20	50.00	...	49.97	Option sale to close-call ²⁵
EPD 12/31/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	12/28/20	90.00	...	-90.00	1 of 2 - Option expiration- long call
	8.000	0.00	12/28/20	48.00	...	-48.00	2 of 2 - Option expiration- long call
12/31/20	13.000	0.00	Various	138.00	...	-138.00	Total of 2 transactions
EXPR 12/18/2020 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	3.000	179.98	12/01/20	180.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	1.000	60.00	12/01/20	60.00	...	0.00	2 of 2 - Option sale to close-call ²⁵
12/02/20	4.000	239.98	Various	240.00	...	-0.02	Total of 2 transactions
FB 12/11/2020 CALL \$295.00 / CUSIP: / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	12/03/20	205.00	...	-204.01	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	12/09/20	37.00	...	-36.01	2 of 2 - Option sale to close-call ²⁵
12/11/20	2.000	1.98	Various	242.00	...	-240.02	Total of 2 transactions
FB 12/18/2020 CALL \$275.00 / CUSIP: / Symbol:							
11/20/20	1.000	879.97	11/20/20	860.00	...	19.97	Option sale to close-call ²⁵

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FDX 11/20/2020 CALL \$280.00 / CUSIP: / Symbol:							
11/20/20	1.000	26.98	11/19/20	165.00	...	-138.02	Option sale to close-call ²⁵
FDX 12/11/2020 CALL \$310.00 / CUSIP: / Symbol:							
12/08/20	1.000	149.98	11/27/20	100.00	...	49.98	Option sale to close-call ²⁵
FSLY 12/11/2020 CALL \$100.00 / CUSIP: / Symbol:							
12/04/20	1.000	299.98	12/04/20	150.00	...	149.98	Option sale to close-call ²⁵
GE 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
11/24/20	1.000	15.98	11/23/20	12.00	...	3.98	Option sale to close-call ²⁵
GIS 10/16/2020 CALL \$62.50 / CUSIP: / Symbol:							
10/12/20	1.000	59.98	10/06/20	85.00	25.02 W	0.00	Option sale to close-call ²⁵
10/15/20	1.000	59.98	10/06/20	58.02	...	1.96	Option sale to close-call ²⁵
Security total:		119.96		143.02	25.02 W	1.96	
GPRO 02/07/2020 CALL \$2.50 / CUSIP: / Symbol:							
02/06/20	1.000	131.98	02/05/20	180.00	...	-48.02	Option sale to close-call ²⁵
GPS 12/11/2020 CALL \$22.00 / CUSIP: / Symbol:							
12/11/20	4.000	0.00	12/01/20	240.00	...	-240.00	Option expiration- long call
INO 10/02/2020 CALL \$12.00 / CUSIP: / Symbol:							
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	2.000	29.99	09/28/20	180.00	...	-150.01	1 of 2 - Option sale to close-call ²⁵
	1.000	14.99	09/29/20	39.00	...	-24.01	2 of 2 - Option sale to close-call ²⁵
10/01/20	3.000	44.98	Various	219.00	...	-174.02	Total of 2 transactions
JMIA 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
11/23/20	1.000	519.97	11/20/20	320.00	...	199.97	Option sale to close-call ²⁵
JMIA 02/19/2021 CALL \$42.00 / CUSIP: / Symbol:							
11/25/20	1.000	749.97	11/24/20	450.00	...	299.97	Option sale to close-call ²⁵
JMIA 08/21/2020 CALL \$14.00 / CUSIP: / Symbol:							
07/30/20	1.000	134.98	07/30/20	85.00	...	49.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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JMIA 08/21/2020 CALL \$15.00 / CUSIP: / Symbol:							
07/31/20	1.000	176.98	07/30/20	113.00	...	63.98	Option sale to close-call ²⁵
JMIA 08/21/2020 CALL \$23.00 / CUSIP: / Symbol:							
08/03/20	1.000	199.98	08/03/20	150.00	...	49.98	Option sale to close-call ²⁵
MRNA 12/24/2020 CALL \$140.00 / CUSIP: / Symbol:							
12/23/20	1.000	99.98	12/22/20	80.00	...	19.98	Option sale to close-call ²⁵
MSFT 02/14/2020 CALL \$182.50 / CUSIP: / Symbol:							
02/06/20	1.000	299.98	02/05/20	170.00	...	129.98	Option sale to close-call ²⁵
MSFT 02/14/2020 CALL \$185.00 / CUSIP: / Symbol:							
02/07/20	1.000	249.98	02/05/20	210.00	...	39.98	Option sale to close-call ²⁵
MSFT 02/14/2020 CALL \$187.50 / CUSIP: / Symbol:							
02/10/20	1.000	299.98	02/07/20	171.00	...	128.98	Option sale to close-call ²⁵
MSFT 02/21/2020 CALL \$195.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	24.99	02/11/20	133.00	...	-108.01	1 of 2 - Option sale to close-call ²⁵
	1.000	24.99	02/12/20	92.00	...	-67.01	2 of 2 - Option sale to close-call ²⁵
02/18/20	2.000	49.98	Various	225.00	...	-175.02	Total of 2 transactions
MSFT 03/19/2021 CALL \$260.00 / CUSIP: / Symbol:							
12/01/20	1.000	199.98	11/24/20	156.00	...	43.98	Option sale to close-call ²⁵
NAK 11/20/2020 PUT \$1.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	19.98	11/12/20	50.00	...	-30.02	1 of 2 - Option sale to close-put ²⁵
	2.000	19.98	11/12/20	50.00	...	-30.02	2 of 2 - Option sale to close-put ²⁵
11/20/20	4.000	39.96	Various	100.00	...	-60.04	Total of 2 transactions
NBL 09/18/2020 CALL \$12.50 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/13/20	15.00	...	-15.00	Option expiration- long call
NIO 09/04/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/01/20	1.000	120.98	09/01/20	71.00	...	49.98	Option sale to close-call ²⁵

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NIO 11/06/2020 PUT \$28.00 / CUSIP: / Symbol:							
11/06/20	1.000	0.00	10/29/20	63.00	...	-63.00	Option expiration- long put
NIO 12/04/2020 PUT \$35.00 / CUSIP: / Symbol:							
12/03/20	2.000	19.98	12/02/20	50.00	...	-30.02	Option sale to close-put ²⁵
NVDA 11/20/2020 CALL \$600.00 / CUSIP: / Symbol:							
11/19/20	1.000	10.98	11/17/20	200.00	...	-189.02	Option sale to close-call ²⁵
PLTR 12/31/2020 CALL \$30.00 / CUSIP: / Symbol:							
11/25/20	1.000	249.98	11/24/20	200.00	...	49.98	Option sale to close-call ²⁵
PLTR 12/31/2020 CALL \$34.00 / CUSIP: / Symbol:							
11/25/20	1.000	369.98	11/25/20	240.00	...	129.98	Option sale to close-call ²⁵
PLTR 12/31/2020 CALL \$44.00 / CUSIP: / Symbol:							
<i>3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	11/27/20	450.00	...	-450.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/27/20	330.00	...	-330.00	2 of 3 - Option expiration- long call
	1.000	0.00	12/01/20	82.00	...	-82.00	3 of 3 - Option expiration- long call
12/31/20	3.000	0.00	Various	862.00	...	-862.00	Total of 3 transactions
PLUG 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
08/06/20	1.000	112.98	08/04/20	69.00	...	43.98	Option sale to close-call ²⁵
PTON 09/11/2020 CALL \$91.00 / CUSIP: / Symbol:							
09/09/20	1.000	604.97	09/09/20	505.00	...	99.97	Option sale to close-call ²⁵
RAD 12/24/2020 PUT \$18.00 / CUSIP: / Symbol:							
<i>5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	59.98	12/21/20	20.00	...	39.98	1 of 5 - Option sale to close-put ²⁵
	1.000	59.98	12/21/20	20.00	...	39.98	2 of 5 - Option sale to close-put ²⁵
	5.000	249.98	12/21/20	175.00	...	74.98	3 of 5 - Option sale to close-put ²⁵
	2.000	119.99	12/21/20	40.00	...	79.99	4 of 5 - Option sale to close-put ²⁵
	3.000	179.99	12/21/20	60.00	...	119.99	5 of 5 - Option sale to close-put ²⁵
12/22/20	12.000	669.92	Various	315.00	...	354.92	Total of 5 transactions

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SAGE 11/13/2020 CALL \$81.00 / CUSIP: / Symbol:							
11/10/20	1.000	169.98	11/06/20	160.00	...	9.98	Option sale to close-call ²⁵
SBUX 11/20/2020 CALL \$95.00 / CUSIP: / Symbol:							
11/13/20	1.000	117.98	11/12/20	101.00	...	16.98	Option sale to close-call ²⁵
SPI 02/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
12/23/20	1.000	74.98	12/14/20	54.00	...	20.98	Option sale to close-call ²⁵
SPLK 02/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/21/20	1.000	399.98	12/03/20	276.00	...	123.98	Option sale to close-call ²⁵
SPLK 10/16/2020 CALL \$215.00 / CUSIP: / Symbol:							
	2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	1.000	499.98	10/12/20	330.00	...	169.98	1 of 2 - Option sale to close-call ²⁵
	1.000	499.98	10/12/20	330.00	...	169.98	2 of 2 - Option sale to close-call ²⁵
10/12/20	2.000	999.96	Various	660.00	...	339.96	Total of 2 transactions
SPLK 10/30/2020 CALL \$225.00 / CUSIP: / Symbol:							
	2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	10/23/20	140.00	...	-140.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/26/20	40.00	...	-40.00	2 of 2 - Option expiration- long call
10/30/20	2.000	0.00	Various	180.00	...	-180.00	Total of 2 transactions
SPLK 11/27/2020 CALL \$200.00 / CUSIP: / Symbol:							
11/27/20	1.000	199.98	11/25/20	75.00	...	124.98	Option sale to close-call ²⁵
SPLK 12/11/2020 CALL \$165.00 / CUSIP: / Symbol:							
12/08/20	1.000	149.98	12/07/20	112.00	...	37.98	Option sale to close-call ²⁵
SPLK 12/31/2020 CALL \$200.00 / CUSIP: / Symbol:							
12/24/20	1.000	86.98	12/03/20	73.00	...	13.98	Option sale to close-call ²⁵
SQ 12/11/2020 CALL \$217.50 / CUSIP: / Symbol:							
12/02/20	1.000	293.98	12/01/20	260.00	...	33.98	Option sale to close-call ²⁵
12/10/20	1.000	137.98	12/09/20	172.00	...	-34.02	Option sale to close-call ²⁵
	Security total:	431.96		432.00	...	-0.04	

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SQ 12/11/2020 CALL \$220.00 / CUSIP: / Symbol:							
12/04/20	1.000	249.98	12/04/20	195.00	...	54.98	Option sale to close-call ²⁵
	3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	1.000	181.98	12/08/20	156.00	...	25.98	1 of 3 - Option sale to close-call ²⁵
	1.000	336.98	12/08/20	108.00	...	228.98	2 of 3 - Option sale to close-call ²⁵
	1.000	299.98	12/09/20	215.00	...	84.98	3 of 3 - Option sale to close-call ²⁵
12/09/20	3.000	818.94	Various	479.00	...	339.94	Total of 3 transactions
	Security total:	1,068.92		674.00	...	394.92	
SQ 12/24/2020 CALL \$242.50 / CUSIP: / Symbol:							
12/22/20	1.000	258.98	12/21/20	189.00	...	69.98	Option sale to close-call ²⁵
SQ 12/31/2020 CALL \$245.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/24/20	105.00	...	-105.00	Option expiration- long call
SQ 12/31/2020 CALL \$250.00 / CUSIP: / Symbol:							
	3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	12/23/20	187.00	...	-187.00	1 of 3 - Option expiration- long call
	1.000	0.00	12/24/20	82.00	...	-82.00	2 of 3 - Option expiration- long call
	1.000	0.00	12/28/20	50.00	...	-50.00	3 of 3 - Option expiration- long call
12/31/20	3.000	0.00	Various	319.00	...	-319.00	Total of 3 transactions
STMP 12/24/2020 CALL \$230.00 / CUSIP: / Symbol:							
	2 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	1.000	111.98	11/23/20	360.00	248.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	154.98	12/11/20	428.02	273.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/18/20	2.000	266.96	Various	788.02	521.06 W	0.00	Total of 2 transactions
	2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	12/11/20	398.04	...	-398.04	1 of 2 - Option expiration- long call
	1.000	0.00	12/18/20	100.00	...	-100.00	2 of 2 - Option expiration- long call
12/24/20	2.000	0.00	Various	498.04	...	-498.04	Total of 2 transactions
	Security total:	266.96		1,286.06	521.06 W	-498.04	
STMP 12/31/2020 CALL \$220.00 / CUSIP: / Symbol:							
12/21/20	1.000	339.98	12/21/20	275.00	...	64.98	Option sale to close-call ²⁵

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TDOC 10/02/2020 CALL \$220.00 / CUSIP: / Symbol:							
09/28/20	1.000	339.98	09/28/20	340.00	...	-0.02	Option sale to close-call ²⁵
TOL 09/18/2020 CALL \$47.50 / CUSIP: / Symbol:							
09/01/20	1.000	59.98	08/27/20	60.00	...	-0.02	Option sale to close-call ²⁵
TSLA 08/28/2020 CALL \$2,100.00 / CUSIP: / Symbol:							
08/14/20	1.000	1,649.95	08/13/20	1,530.00	...	119.95	Option sale to close-call ²⁵
TSLA 08/28/2020 CALL \$3,500.00 / CUSIP: / Symbol:							
08/20/20	1.000	89.98	08/20/20	172.04	...	-82.06	Option sale to close-call ²⁵
		2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.					
	1.000	38.98	08/18/20	151.00	112.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	38.98	08/19/20	172.02	133.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/20/20	2.000	77.96	Various	323.02	245.06 W	0.00	Total of 2 transactions
	Security total:	167.94		495.06	245.06 W	-82.06	
TSLA 09/18/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/14/20	1.000	599.97	09/14/20	450.00	...	149.97	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$485.00 / CUSIP: / Symbol:							
09/15/20	1.000	614.97	09/14/20	208.00	...	406.97	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$600.00 / CUSIP: / Symbol:							
09/18/20	1.000	599.97	09/18/20	485.00	...	114.97	Option sale to close-call ²⁵
09/21/20	1.000	524.97	09/21/20	325.00	...	199.97	Option sale to close-call ²⁵
	Security total:	1,124.94		810.00	...	314.94	
TSLA 11/20/2020 CALL \$450.00 / CUSIP: / Symbol:							
		2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.					
	1.000	1,624.95	11/13/20	217.00	...	1,407.95	1 of 2 - Option sale to close-call ²⁵
	1.000	627.97	11/16/20	100.00	...	527.97	2 of 2 - Option sale to close-call ²⁵
11/17/20	2.000	2,252.92	Various	317.00	...	1,935.92	Total of 2 transactions
11/18/20	1.000	1,029.96	11/17/20	575.00	...	454.96	Option sale to close-call ²⁵
	Security total:	3,282.88		892.00	...	2,390.88	

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TSLA 11/20/2020 CALL \$525.00 / CUSIP: / Symbol:							
11/19/20	1.000	185.98	11/19/20	250.00	...	-64.02	Option sale to close-call ²⁵
TSLA 11/27/2020 CALL \$620.00 / CUSIP: / Symbol:							
11/24/20	1.000	67.98	11/19/20	375.00	307.02 W	0.00	Option sale to close-call ²⁵
11/25/20	1.000	89.98	11/19/20	555.02	...	-465.04	Option sale to close-call ²⁵
	Security total:	157.96		930.02	307.02 W	-465.04	
TSLA 12/11/2020 CALL \$690.00 / CUSIP: / Symbol:							
12/11/20	1.000	16.98	12/09/20	260.00	...	-243.02	Option sale to close-call ²⁵
UPS 09/18/2020 CALL \$165.00 / CUSIP: / Symbol:							
08/27/20	1.000	233.98	08/21/20	205.00	...	28.98	Option sale to close-call ²⁵
USO 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/18/20	1.000	7.98	09/01/20	34.00	...	-26.02	Option sale to close-call ²⁵
VST 09/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/14/20	1.000	6.98	08/21/20	50.00	...	-43.02	Option sale to close-call ²⁵
XPEV 11/20/2020 CALL \$65.00 / CUSIP: / Symbol:							
11/16/20	1.000	26.98	11/13/20	75.00	48.02 W	0.00	Option sale to close-call ²⁵
11/17/20	1.000	7.98	11/13/20	91.02	83.04 W	0.00	Option sale to close-call ²⁵
11/20/20	1.000	2.98	11/13/20	112.04	...	-109.06	Option sale to close-call ²⁵
	Security total:	37.94		278.06	131.06 W	-109.06	
ZM 09/11/2020 CALL \$380.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	799.97	09/08/20	500.00	...	299.97	1 of 2 - Option sale to close-call ²⁵
	1.000	809.97	09/08/20	410.00	...	399.97	2 of 2 - Option sale to close-call ²⁵
09/09/20	2.000	1,609.94	Various	910.00	...	699.94	Total of 2 transactions
ZM 09/11/2020 CALL \$390.00 / CUSIP: / Symbol:							
09/04/20	1.000	699.97	09/04/20	500.00	...	199.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZM 09/18/2020 CALL \$420.00 / CUSIP: / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	389.99	09/16/20	350.00	...	39.99	1 of 2 - Option sale to close-call ²⁵
	1.000	389.98	09/16/20	300.00	...	89.98	2 of 2 - Option sale to close-call ²⁵
09/16/20	2.000	779.97	Various	650.00	...	129.97	Total of 2 transactions
ZM 09/25/2020 CALL \$510.00 / CUSIP: / Symbol:							
09/23/20	1.000	1,299.96	09/23/20	450.00	...	849.96	Option sale to close-call ²⁵
ZM 10/02/2020 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	219.99	10/01/20	200.00	...	19.99	1 of 2 - Option sale to close-call ²⁵
	1.000	219.99	10/01/20	120.00	...	99.99	2 of 2 - Option sale to close-call ²⁵
10/01/20	2.000	439.98	Various	320.00	...	119.98	Total of 2 transactions
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	5.000	45.77	05/26/20	28.00	...	17.77	1 of 2 - Sale ²⁵
	20.000	210.00	05/26/20	112.00	...	98.00	2 of 2 - Sale ²⁵
05/29/20	25.000	255.77	Various	140.00	...	115.77	Total of 2 transactions
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	10.000	102.20	08/06/20	84.30	...	17.90	1 of 2 - Sale ²⁵
	5.000	51.10	08/07/20	40.00	...	11.10	2 of 2 - Sale ²⁵
09/16/20	15.000	153.30	Various	124.30	...	29.00	Total of 2 transactions
4 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	5.000	25.00	08/07/20	40.00	15.00 W	0.00	1 of 4 - Sale ²⁵
	15.000	75.00	09/23/20	123.30	48.30 W	0.00	2 of 4 - Sale ²⁵
	5.000	25.00	09/24/20	39.75	14.75 W	0.00	3 of 4 - Sale ²⁵
	5.000	25.00	10/15/20	39.80	14.80 W	0.00	4 of 4 - Sale ²⁵
10/23/20	30.000	150.00	Various	242.85	92.85 W	0.00	Total of 4 transactions
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	5.000	26.40	10/15/20	54.80	28.40 W	0.00	1 of 2 - Sale ²⁵
	10.000	52.80	10/16/20	97.47	44.67 W	0.00	2 of 2 - Sale ²⁵
12/22/20	15.000	79.20	Various	152.27	73.07 W	0.00	Total of 2 transactions

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(continued)

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ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol: (cont'd)							
Security total:		638.27		659.42	165.92 W	144.77	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
08/20/20	1.000	82.61	08/11/20	77.12	...	5.49	Sale ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
06/04/20	8.000	144.00	05/21/20	80.14	...	63.86	Sale ²⁵
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	2.000	28.50	05/21/20	20.04	...	8.46	1 of 3 - Sale ²⁵
	5.000	72.50	10/13/20	61.35	...	11.15	2 of 3 - Sale ²⁵
	14.000	199.50	10/13/20	171.78	...	27.72	3 of 3 - Sale ²⁵
11/24/20	21.000	300.50	Various	253.17	...	47.33	Total of 3 transactions
Security total:		444.50		333.31	...	111.19	
AMESITE INC. COMMON STOCK / CUSIP: 031094105 / Symbol:							
2 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	5.000	30.00	12/02/20	24.85	...	5.15	1 of 2 - Sale ²⁵
	5.000	30.43	12/02/20	24.85	...	5.58	2 of 2 - Sale ²⁵
12/18/20	10.000	60.43	Various	49.70	...	10.73	Total of 2 transactions
APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol:							
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	135.00	11/20/20	126.02	...	8.98	1 of 2 - Sale ²⁵
	1.000	135.00	11/20/20	126.02	...	8.98	2 of 2 - Sale ²⁵
11/23/20	2.000	270.00	Various	252.04	...	17.96	Total of 2 transactions
ARRAY TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 04271T100 / Symbol:							
11/27/20	3.000	146.29	11/24/20	137.74	...	8.55	Sale ²⁵
BANDWIDTH INC. CLASS A COMMON STOCK / CUSIP: 05988J103 / Symbol:							
4 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	2.000	160.00	09/16/19	139.18	...	20.82	1 of 4 - Sale ²⁵
	3.000	239.99	10/02/19	192.75	...	47.24	2 of 4 - Sale ²⁵
	3.000	239.99	10/11/19	186.00	...	53.99	3 of 4 - Sale ²⁵

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BANDWIDTH INC. CLASS A COMMON STOCK / CUSIP: 05988J103 / Symbol: (cont'd)							
	8.000	639.99	10/16/19	476.00	...	163.99	4 of 4 - Sale ²⁵
02/20/20	16.000	1,279.97	Various	993.93	...	286.04	Total of 4 transactions
	2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	2.000	150.60	10/16/19	119.00	...	31.60	1 of 2 - Sale ²⁵
	8.000	602.38	02/26/20	539.49	...	62.89	2 of 2 - Sale ²⁵
04/13/20	10.000	752.98	Various	658.49	...	94.49	Total of 2 transactions
05/05/20	5.000	475.00	02/26/20	337.18	...	137.82	Sale ²⁵
09/25/20	1.000	170.00	09/11/20	150.00	...	20.00	Sale ²⁵
12/10/20	1.000	164.60	09/11/20	150.00	...	14.60	Sale ²⁵
	Security total:	2,842.55		2,289.60	...	552.95	
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
	2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	2.000	210.00	08/19/20	140.00	...	70.00	1 of 2 - Sale ²⁵
	1.000	105.00	08/20/20	66.35	...	38.65	2 of 2 - Sale ²⁵
08/25/20	3.000	315.00	Various	206.35	...	108.65	Total of 2 transactions
BIOMARIN PHARMACEUTICAL INC. C OMMON STOCK / CUSIP: 09061G101 / Symbol:							
10/27/20	1.000	76.28	08/19/20	75.78	...	0.50	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
11/11/20	1.000	181.81	10/29/20	148.40	...	33.41	Sale ²⁵
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
	2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	50.000	67.25	05/19/20	39.75	...	27.50	1 of 2 - Sale ²⁵
	150.000	194.98	05/19/20	119.25	...	75.73	2 of 2 - Sale ²⁵
05/20/20	200.000	262.23	Various	159.00	...	103.23	Total of 2 transactions
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	28.000	30.80	06/02/20	24.64	...	6.16	1 of 2 - Sale ²⁵
	72.000	79.19	06/02/20	63.36	...	15.83	2 of 2 - Sale ²⁵
06/29/20	100.000	109.99	Various	88.00	...	21.99	Total of 2 transactions
07/13/20	100.000	127.99	07/07/20	87.46	...	40.53	Sale ²⁵
08/12/20	40.000	107.60	07/27/20	110.00	2.40 W	0.00	Sale ²⁵

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(continued)

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	10.000	18.00	07/27/20	27.50	9.50 W	0.00	1 of 3 - Sale ²⁵
	25.000	45.00	07/29/20	54.38	9.38 W	0.00	2 of 3 - Sale ²⁵
	15.000	27.00	08/10/20	30.68	3.68 W	0.00	3 of 3 - Sale ²⁵
10/01/20	50.000	90.00	Various	112.56	22.56 W	0.00	Total of 3 transactions
10/05/20	20.000	39.02	08/28/20	30.30	...	8.72	Sale ²⁵
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	10.000	19.51	08/10/20	19.85	0.34 W	0.00	1 of 2 - Sale ²⁵
	10.000	19.51	08/13/20	22.90	3.39 W	0.00	2 of 2 - Sale ²⁵
10/05/20	20.000	39.02	Various	42.75	3.73 W	0.00	Total of 2 transactions
4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	10.000	17.90	08/28/20	15.15	...	2.75	1 of 4 - Sale ²⁵
	5.000	9.06	09/24/20	9.03	...	0.03	2 of 4 - Sale ²⁵
	15.000	27.18	09/24/20	25.13	...	2.05	3 of 4 - Sale ²⁵
	10.000	18.12	10/15/20	16.74	...	1.38	4 of 4 - Sale ²⁵
12/10/20	40.000	72.26	Various	66.05	...	6.21	Total of 4 transactions
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	20.000	35.80	09/24/20	36.10	0.30 W	0.00	1 of 3 - Sale ²⁵
	10.000	18.12	09/24/20	23.80	5.68 W	0.00	2 of 3 - Sale ²⁵
	10.000	18.11	10/15/20	19.79	1.68 W	0.00	3 of 3 - Sale ²⁵
12/10/20	40.000	72.03	Various	79.69	7.66 W	0.00	Total of 3 transactions
	Security total:	920.14		775.81	36.35 W	180.68	
CPS TECHNOLOGIES CORP. COMMON STOCK / CUSIP: 12619F104 / Symbol:							
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	15.000	48.00	11/23/20	47.40	...	0.60	1 of 2 - Sale ²⁵
	10.000	32.00	11/24/20	25.50	...	6.50	2 of 2 - Sale ²⁵
12/30/20	25.000	80.00	Various	72.90	...	7.10	Total of 2 transactions
CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
12/16/20	1.000	93.73	11/13/20	64.56	...	29.17	Sale ²⁵

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CHINA RECYCLING ENERGY CORPORATION COMMON STOCK / CUSIP: 168913309 / Symbol:							
5 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	15.000	98.25	11/23/20	95.55	...	2.70	1 of 5 - Sale ²⁵
	5.000	35.00	11/23/20	30.35	...	4.65	2 of 5 - Sale ²⁵
	10.000	65.50	11/23/20	60.70	...	4.80	3 of 5 - Sale ²⁵
	2.000	14.00	11/24/20	10.38	...	3.62	4 of 5 - Sale ²⁵
	8.000	56.00	11/24/20	46.08	...	9.92	5 of 5 - Sale ²⁵
12/28/20	40.000	268.75	Various	243.06	...	25.69	Total of 5 transactions
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
06/26/20	50.000	130.00	05/21/20	141.00	...	-11.00	Sale ²⁵
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	50.000	160.00	05/21/20	141.00	...	19.00	1 of 2 - Sale ²⁵
	50.000	165.00	05/21/20	141.00	...	24.00	2 of 2 - Sale ²⁵
06/29/20	100.000	325.00	Various	282.00	...	43.00	Total of 2 transactions
12/09/20	15.000	210.00	11/25/20	165.45	...	44.55	Sale ²⁵
	Security total:	665.00		588.45	...	76.55	
CONTRAFECT CORPORATION COMMON STOCK / CUSIP: 212326300 / Symbol:							
11/30/20	3.000	18.81	11/24/20	16.05	...	2.76	Sale ²⁵
DARE BIOSCIENCE, INC. COMMON STOCK / CUSIP: 23666P101 / Symbol:							
08/21/20	20.000	23.40	07/14/20	34.94	11.54 W	0.00	Sale ²⁵
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	15.000	16.65	07/14/20	24.75	...	-8.10	1 of 2 - Sale ²⁵
	5.000	5.55	07/14/20	8.74	...	-3.19	2 of 2 - Sale ²⁵
09/10/20	20.000	22.20	Various	33.49	...	-11.29	Total of 2 transactions
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	10.000	11.30	07/14/20	16.50	...	-5.20	1 of 2 - Sale ²⁵
	20.000	22.60	08/24/20	33.44	...	-10.84	2 of 2 - Sale ²⁵
11/18/20	30.000	33.90	Various	49.94	...	-16.04	Total of 2 transactions
	Security total:	79.50		118.37	11.54 W	-27.33	

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DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
08/28/20	50.000	839.48	06/16/20	838.64	...	0.84	Sale ²⁵
09/01/20	10.000	180.00	06/16/20	160.00	...	20.00	Sale ²⁵
<i>2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	37.000	743.68	06/16/20	592.00	...	151.68	1 of 2 - Sale ²⁵
	3.000	60.30	07/07/20	36.69	...	23.61	2 of 2 - Sale ²⁵
09/03/20	40.000	803.98	Various	628.69	...	175.29	Total of 2 transactions
Security total:		1,823.46		1,627.33	...	196.13	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
06/04/20	10.000	300.00	05/13/20	193.40	...	106.60	Sale ²⁵
08/11/20	5.000	146.95	05/13/20	96.70	...	50.25	Sale ²⁵
Security total:		446.95		290.10	...	156.85	
DUCK CREEK TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 264120106 / Symbol:							
09/29/20	5.000	225.00	09/25/20	210.20	...	14.80	Sale ²⁵
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
<i>2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	10.03	08/17/20	37.37	...	-27.34	1 of 2 - Sale ²⁵
	2.000	18.40	08/17/20	15.18	...	3.22	2 of 2 - Sale ²⁵
09/16/20	3.000	28.43	Various	52.55	...	-24.12	Total of 2 transactions
09/16/20	1.000	9.20	07/29/20	38.98	29.78 W	0.00	Sale ²⁵
Security total:		37.63		91.53	29.78 W	-24.12	
8X8, INC. COMMON STOCK / CUSIP: 282914100 / Symbol:							
<i>2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	56.00	12/08/20	42.54	...	13.46	1 of 2 - Sale ²⁵
	4.000	111.28	12/08/20	85.08	...	26.20	2 of 2 - Sale ²⁵
12/10/20	6.000	167.28	Various	127.62	...	39.66	Total of 2 transactions

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ENGLOBAL CORPORATION COMMON STOCK / CUSIP: 293306106 / Symbol:							
06/09/20	175.000	262.48	06/05/20	190.75	...	71.73	Sale ²⁵
07/29/20	25.000	30.00	06/05/20	27.25	...	2.75	Sale ²⁵
	3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	20.000	19.68	08/19/20	17.26	...	2.42	1 of 3 - Sale ²⁵
	50.000	49.20	08/19/20	43.15	...	6.05	2 of 3 - Sale ²⁵
	30.000	33.00	08/19/20	25.89	...	7.11	3 of 3 - Sale ²⁵
10/07/20	100.000	101.88	Various	86.30	...	15.58	Total of 3 transactions
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	50.000	49.69	08/21/20	40.00	...	9.69	1 of 2 - Sale ²⁵
	20.000	19.88	10/14/20	18.00	...	1.88	2 of 2 - Sale ²⁵
11/17/20	70.000	69.57	Various	58.00	...	11.57	Total of 2 transactions
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	30.000	33.00	10/14/20	27.00	...	6.00	1 of 2 - Sale ²⁵
	20.000	22.00	11/05/20	16.00	...	6.00	2 of 2 - Sale ²⁵
11/20/20	50.000	55.00	Various	43.00	...	12.00	Total of 2 transactions
	2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	4.000	5.08	11/05/20	3.20	...	1.88	1 of 2 - Sale ²⁵
	16.000	20.32	11/05/20	12.80	...	7.52	2 of 2 - Sale ²⁵
11/23/20	20.000	25.40	Various	16.00	...	9.40	Total of 2 transactions
11/24/20	10.000	28.80	11/05/20	8.00	...	20.80	Sale ²⁵
	Security total:	573.13		429.30	...	143.83	
EQUILLIUM, INC. COMMON STOCK / CUSIP: 29446K106 / Symbol:							
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	6.000	138.00	07/13/20	74.46	...	63.54	1 of 2 - Sale ²⁵
	4.000	120.00	07/13/20	49.64	...	70.36	2 of 2 - Sale ²⁵
07/13/20	10.000	258.00	Various	124.10	...	133.90	Total of 2 transactions
EVOFEM BIOSCIENCES, INC. COMMON STOCK / CUSIP: 30048L104 / Symbol:							
08/17/20	30.000	94.95	07/01/20	87.30	...	7.65	Sale ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	101.00	08/06/20	87.00	...	14.00	1 of 2 - Sale ²⁵
	1.000	101.00	08/11/20	74.75	...	26.25	2 of 2 - Sale ²⁵
10/06/20	2.000	202.00	Various	161.75	...	40.25	Total of 2 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
10/22/20	15.000	123.00	02/07/20	122.70	...	0.30	Sale ²⁵
11/18/20	30.000	270.00	02/07/20	245.40	...	24.60	Sale ²⁵
	Security total:	393.00		368.10	...	24.90	
FUEL TECH, INC. COMMON STOCK / CUSIP: 359523107 / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	5.000	26.80	11/24/20	28.84	...	-2.04	1 of 2 - Sale ²⁵
	10.000	53.60	12/01/20	36.50	...	17.10	2 of 2 - Sale ²⁵
12/23/20	15.000	80.40	Various	65.34	...	15.06	Total of 2 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
11/24/20	6.000	60.00	11/23/20	45.83	...	14.17	Sale ²⁵
11/27/20	4.000	40.00	11/23/20	30.56	...	9.44	Sale ²⁵
12/08/20	10.000	88.50	12/02/20	74.50	...	14.00	Sale ²⁵
	Security total:	188.50		150.89	...	37.61	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
06/04/20	15.000	114.00	05/15/20	83.81	...	30.19	Sale ²⁵
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	50.000	214.99	10/07/19	187.50	...	27.49	1 of 3 - Sale ²⁵
	50.000	214.99	12/26/19	210.25	...	4.74	2 of 3 - Sale ²⁵
	25.000	107.49	01/31/20	98.50	...	8.99	3 of 3 - Sale ²⁵
05/26/20	125.000	537.47	Various	496.25	...	41.22	Total of 3 transactions

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GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol: (cont'd)							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	95.000	474.99	01/31/20	374.30	...	100.69	1 of 2 - Sale ²⁵
	5.000	25.00	02/26/20	18.00	...	7.00	2 of 2 - Sale ²⁵
06/08/20	100.000	499.99	Various	392.30	...	107.69	Total of 2 transactions
07/13/20	25.000	125.00	02/26/20	90.00	...	35.00	Sale ²⁵
	Security total:	1,162.46		978.55	...	183.91	
GREENPOWER MOTOR COMPANY INC. COMMON SHARES / CUSIP: 39540E302 / Symbol:							
11/13/20	10.000	128.20	10/06/20	125.00	...	3.20	Sale ²⁵
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	10.000	140.10	10/06/20	125.00	...	15.10	1 of 2 - Sale ²⁵
	10.000	150.00	10/14/20	116.50	...	33.50	2 of 2 - Sale ²⁵
11/16/20	20.000	290.10	Various	241.50	...	48.60	Total of 2 transactions
11/20/20	8.000	176.00	10/22/20	78.32	...	97.68	Sale ²⁵
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	2.000	39.98	10/22/20	19.58	...	20.40	1 of 2 - Sale ²⁵
	1.000	19.99	12/02/20	17.28	...	2.71	2 of 2 - Sale ²⁵
12/03/20	3.000	59.97	Various	36.86	...	23.11	Total of 2 transactions
12/03/20	3.000	59.97	11/24/20	71.43	11.46 W	0.00	Sale ²⁵
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	2.000	39.92	12/02/20	34.56	...	5.36	1 of 3 - Sale ²⁵
	2.000	41.98	12/02/20	34.56	...	7.42	2 of 3 - Sale ²⁵
	3.000	59.88	12/14/20	52.10	...	7.78	3 of 3 - Sale ²⁵
12/21/20	7.000	141.78	Various	121.22	...	20.56	Total of 3 transactions
12/21/20	3.000	62.97	12/02/20	63.30	0.33 W	0.00	Sale ²⁵
12/29/20	2.000	51.12	12/17/20	35.41	...	15.71	Sale ²⁵
	Security total:	970.11		773.04	11.79 W	208.86	
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
5 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	1.000	3.10	08/08/19	2.66	...	0.44	1 of 5 - Sale ²⁵
	1.000	3.10	12/11/19	2.75	...	0.35	2 of 5 - Sale ²⁵
	7.000	21.70	12/11/19	19.25	...	2.45	3 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol: (cont'd)							
	192.000	595.16	12/11/19	528.00	...	67.16	4 of 5 - Sale ²⁵
	99.000	306.89	12/17/19	236.12	...	70.77	5 of 5 - Sale ²⁵
02/06/20	300.000	929.95	Various	788.78	...	141.17	Total of 5 transactions
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
08/07/20	5.050	124.28	02/19/20	212.10	...	-87.82	Sale ²⁵
	3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	4.950	121.82	12/17/19	236.11	114.29 W	0.00	1 of 3 - Sale ²⁵
	8.250	203.03	12/20/19	372.90	67.95 W	-101.92	2 of 3 - Sale ²⁵
	1.750	43.07	12/20/19	79.10	36.03 W	0.00	3 of 3 - Sale ²⁵
08/07/20	14.950	367.92	Various	688.11	218.27 W	-101.92	Total of 3 transactions
	5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	5.000	139.44	02/19/20	210.00	...	-70.56	1 of 5 - Sale ²⁵
	3.400	94.82	02/19/20	142.80	...	-47.98	2 of 5 - Sale ²⁵
	3.100	86.46	02/19/20	130.20	...	-43.74	3 of 5 - Sale ²⁵
	0.950	26.49	02/19/20	39.90	...	-13.41	4 of 5 - Sale ²⁵
	7.550	210.57	02/21/20	248.97	...	-38.40	5 of 5 - Sale ²⁵
08/19/20	20.000	557.78	Various	771.87	...	-214.09	Total of 5 transactions
	6 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	7.450	209.27	02/21/20	245.67	...	-36.40	1 of 6 - Sale ²⁵
	1.550	43.54	03/13/20	24.01	...	19.53	2 of 6 - Sale ²⁵
	5.000	140.44	04/13/20	85.43	...	55.01	3 of 6 - Sale ²⁵
	5.000	140.44	04/13/20	85.42	...	55.02	4 of 6 - Sale ²⁵
	5.000	140.45	04/13/20	85.42	...	55.03	5 of 6 - Sale ²⁵
	5.000	140.45	04/13/20	85.42	...	55.03	6 of 6 - Sale ²⁵
11/18/20	29.000	814.59	Various	611.37	...	203.22	Total of 6 transactions
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	2.000	63.62	04/13/20	34.17	...	29.45	1 of 2 - Sale ²⁵
	3.000	95.43	04/13/20	51.26	...	44.17	2 of 2 - Sale ²⁵
11/24/20	5.000	159.05	Various	85.43	...	73.62	Total of 2 transactions
	Security total:	2,023.62		2,368.88	218.27 W	-126.99	

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Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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GRUBHUB INC. / CUSIP: 400110102 / Symbol:							
05/12/20	4.000	243.72	01/09/20	216.00	...	27.72	Sale ²⁵
05/18/20	4.000	231.04	01/09/20	216.00	...	15.04	Sale ²⁵
	Security total:	474.76		432.00	...	42.76	
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
08/21/20	5.000	52.15	08/18/20	53.68	...	-1.53	Sale ²⁵
IMV INC. COMMON SHARES / CUSIP: 44974L103 / Symbol:							
5 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	20.000	100.71	07/14/20	100.00	...	0.71	1 of 5 - Sale ²⁵
	5.000	25.17	08/12/20	22.15	...	3.02	2 of 5 - Sale ²⁵
	10.000	50.36	08/12/20	44.40	...	5.96	3 of 5 - Sale ²⁵
	5.000	25.18	08/24/20	21.05	...	4.13	4 of 5 - Sale ²⁵
	10.000	50.00	08/24/20	42.10	...	7.90	5 of 5 - Sale ²⁵
09/15/20	50.000	251.42	Various	229.70	...	21.72	Total of 5 transactions
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	20.000	99.60	08/25/20	78.50	...	21.10	1 of 2 - Sale ²⁵
	20.000	99.60	09/08/20	78.00	...	21.60	2 of 2 - Sale ²⁵
09/17/20	40.000	199.20	Various	156.50	...	42.70	Total of 2 transactions
	Security total:	450.62		386.20	...	64.42	
IMMUNOVANT, INC. COMMON STOCK / CUSIP: 45258J102 / Symbol:							
08/20/20	10.000	322.45	08/19/20	306.10	...	16.35	Sale ²⁵
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	50.000	78.99	06/19/20	85.50	...	-6.51	1 of 3 - Sale ²⁵
	15.000	23.70	07/06/20	17.93	...	5.77	2 of 3 - Sale ²⁵
	35.000	55.30	07/06/20	41.83	...	13.47	3 of 3 - Sale ²⁵
08/11/20	100.000	157.99	Various	145.26	...	12.73	Total of 3 transactions

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KUBIENT, INC. COMMON STOCK / CUSIP: 50116V107 / Symbol:							
09/01/20	50.000	250.00	08/20/20	217.00	...	33.00	Sale ²⁵
	2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	25.000	104.75	08/26/20	103.75	...	1.00	1 of 2 - Sale ²⁵
	25.000	104.75	08/26/20	99.50	...	5.25	2 of 2 - Sale ²⁵
11/30/20	50.000	209.50	Various	203.25	...	6.25	Total of 2 transactions
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	25.000	110.00	09/04/20	100.00	...	10.00	1 of 3 - Sale ²⁵
	25.000	110.00	09/10/20	99.50	...	10.50	2 of 3 - Sale ²⁵
	50.000	220.00	09/23/20	159.00	...	61.00	3 of 3 - Sale ²⁵
12/03/20	100.000	440.00	Various	358.50	...	81.50	Total of 3 transactions
	4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	50.000	249.99	09/24/20	145.00	...	104.99	1 of 4 - Sale ²⁵
	25.000	125.00	09/25/20	66.50	...	58.50	2 of 4 - Sale ²⁵
	25.000	168.75	09/25/20	66.50	...	102.25	3 of 4 - Sale ²⁵
	25.000	168.75	11/24/20	72.75	...	96.00	4 of 4 - Sale ²⁵
12/04/20	125.000	712.49	Various	350.75	...	361.74	Total of 4 transactions
	Security total:	1,611.99		1,129.50	...	482.49	
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
08/06/20	5.000	92.28	07/30/20	85.50	...	6.78	Sale ²⁵
	4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	5.000	82.38	08/10/20	80.95	...	1.43	1 of 4 - Sale ²⁵
	5.000	82.37	08/11/20	80.00	...	2.37	2 of 4 - Sale ²⁵
	5.000	85.00	08/11/20	80.00	...	5.00	3 of 4 - Sale ²⁵
	5.000	85.00	08/14/20	72.53	...	12.47	4 of 4 - Sale ²⁵
08/24/20	20.000	334.75	Various	313.48	...	21.27	Total of 4 transactions
	3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	5.000	100.50	08/17/20	75.25	...	25.25	1 of 3 - Sale ²⁵
	5.000	100.50	08/20/20	73.65	...	26.85	2 of 3 - Sale ²⁵
	5.000	109.45	08/20/20	73.65	...	35.80	3 of 3 - Sale ²⁵
08/26/20	15.000	310.45	Various	222.55	...	87.90	Total of 3 transactions
	Security total:	737.48		621.53	...	115.95	

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LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
12/01/20	10.000	44.40	10/23/20	44.15	...	0.25	Sale ²⁵
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	75.000	112.49	06/23/20	476.99	...	-364.50	1 of 3 - Sale ²⁵
	25.000	37.50	06/26/20	127.96	...	-90.46	2 of 3 - Sale ²⁵
	25.000	37.50	06/26/20	65.95	...	-28.45	3 of 3 - Sale ²⁵
06/26/20	125.000	187.49	Various	670.90	...	-483.41	Total of 3 transactions
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	100.000	136.01	06/05/20	510.00	373.99 W	0.00	1 of 2 - Sale ²⁵
	25.000	34.00	06/23/20	65.50	31.50 W	0.00	2 of 2 - Sale ²⁵
06/26/20	125.000	170.01	Various	575.50	405.49 W	0.00	Total of 2 transactions
	Security total:	357.50		1,246.40	405.49 W	-483.41	
MADRIGAL PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 558868105 / Symbol:							
3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	4.000	401.67	12/11/19	404.00	...	-2.33	1 of 3 - Sale ²⁵
	3.000	301.25	12/17/19	279.00	...	22.25	2 of 3 - Sale ²⁵
	3.000	301.25	12/19/19	267.00	...	34.25	3 of 3 - Sale ²⁵
05/11/20	10.000	1,004.17	Various	950.00	...	54.17	Total of 3 transactions
05/22/20	5.000	624.98	01/23/20	407.50	...	217.48	Sale ²⁵
06/11/20	5.000	540.63	01/23/20	407.50	...	133.13	Sale ²⁵
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	3.000	319.71	06/12/20	320.06	...	-0.35	1 of 2 - Sale ²⁵
	2.000	216.26	06/12/20	213.37	...	2.89	2 of 2 - Sale ²⁵
08/12/20	5.000	535.97	Various	533.43	...	2.54	Total of 2 transactions
	Security total:	2,705.75		2,298.43	...	407.32	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
11/04/20	4.000	864.26	02/26/20	664.00	...	200.26	Sale ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
07/13/20	5.000	370.01	05/20/20	345.00	...	25.01	Sale ²⁵
09/29/20	5.000	370.00	05/20/20	345.00	...	25.00	Sale ²⁵
10/13/20	5.000	400.00	05/26/20	310.00	...	90.00	Sale ²⁵
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	2.000	160.00	08/10/20	143.98	...	16.02	1 of 2 - Sale ²⁵
	3.000	241.20	08/10/20	215.97	...	25.23	2 of 2 - Sale ²⁵
11/09/20	5.000	401.20	Various	359.95	...	41.25	Total of 2 transactions
11/16/20	1.000	102.00	10/22/20	69.02	...	32.98	Sale ²⁵
11/23/20	2.000	201.80	10/22/20	138.04	...	63.76	Sale ²⁵
Security total:		1,845.01		1,567.01	...	278.00	
MOLECULAR DATA INC. AMERICAN D EPOSITARY SHARES / CUSIP: 60852L106 / Symbol:							
11/25/20	25.000	35.25	11/24/20	31.00	...	4.25	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
03/17/20	2.000	637.88	09/19/19	573.58	...	64.30	Sale ²⁵
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	5.000	300.00	06/04/20	170.00	...	130.00	1 of 3 - Sale ²⁵
	5.000	306.65	06/04/20	170.00	...	136.65	2 of 3 - Sale ²⁵
	3.000	180.00	06/08/20	150.00	...	30.00	3 of 3 - Sale ²⁵
06/08/20	13.000	786.65	Various	490.00	...	296.65	Total of 3 transactions
06/16/20	2.000	129.84	06/08/20	100.00	...	29.84	Sale ²⁵
3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	5.000	195.00	07/23/20	175.00	...	20.00	1 of 3 - Sale ²⁵
	1.000	39.00	07/24/20	30.00	...	9.00	2 of 3 - Sale ²⁵
	2.000	76.48	07/24/20	60.00	...	16.48	3 of 3 - Sale ²⁵
08/04/20	8.000	310.48	Various	265.00	...	45.48	Total of 3 transactions
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	2.000	92.00	07/24/20	60.00	...	32.00	1 of 2 - Sale ²⁵
	2.000	92.00	08/17/20	87.33	...	4.67	2 of 2 - Sale ²⁵
09/08/20	4.000	184.00	Various	147.33	...	36.67	Total of 2 transactions

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	4.000	90.12	09/04/20	136.00	45.88 W	0.00	1 of 2 - Sale ²⁵
	1.000	22.53	09/11/20	32.09	9.56 W	0.00	2 of 2 - Sale ²⁵
10/01/20	5.000	112.65	Various	168.09	55.44 W	0.00	Total of 2 transactions
11/18/20	5.000	125.50	09/25/20	95.00	...	30.50	Sale ²⁵
4 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	25.10	09/11/20	43.57	18.47 W	0.00	1 of 4 - Sale ²⁵
	1.000	25.10	09/11/20	43.56	18.46 W	0.00	2 of 4 - Sale ²⁵
	2.000	50.20	09/14/20	81.34	31.14 W	0.00	3 of 4 - Sale ²⁵
	1.000	25.10	09/14/20	38.76	13.66 W	0.00	4 of 4 - Sale ²⁵
11/18/20	5.000	125.50	Various	207.23	81.73 W	0.00	Total of 4 transactions
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	5.000	161.90	09/30/20	90.04	...	71.86	1 of 2 - Sale ²⁵
	5.000	161.90	09/30/20	90.03	...	71.87	2 of 2 - Sale ²⁵
11/24/20	10.000	323.80	Various	180.07	...	143.73	Total of 2 transactions
11/25/20	5.000	154.10	10/16/20	102.00	...	52.10	Sale ²⁵
	Security total:	2,252.52		1,754.72	137.17 W	634.97	
NOBLE ENERGY INC. COMMON STOCK / CUSIP: 655044105 / Symbol:							
07/30/20	8.000	82.12	07/14/20	75.32	...	6.80	Sale ²⁵
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
11/19/20	1.000	533.98	11/18/20	533.00	...	0.98	Sale ²⁵
11/27/20	1.000	534.98	11/18/20	533.00	...	1.98	Sale ²⁵
11/30/20	1.000	536.66	11/18/20	533.00	...	3.66	Sale ²⁵
12/01/20	1.000	539.98	11/18/20	520.24	...	19.74	Sale ²⁵
	Security total:	2,145.60		2,119.24	...	26.36	
OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK / CUSIP: 674599162 / Symbol:							
08/10/20	1.000	4.34	07/03/20	5.65	...	-1.31	Sale ²⁵
OUTSET MEDICAL, INC. COMMON ST OCK / CUSIP: 690145107 / Symbol:							
11/24/20	3.000	195.00	11/17/20	183.93	...	11.07	Sale ²⁵

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
10/27/20	10.000	109.70	09/30/20	96.20	...	13.50	Sale ²⁵
11/10/20	8.000	112.00	10/06/20	72.00	...	40.00	Sale ²⁵
	Security total:	221.70		168.20	...	53.50	
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
06/24/20	80.000	112.79	05/28/20	96.00	...	16.79	Sale ²⁵
06/29/20	20.000	56.20	05/28/20	24.00	...	32.20	Sale ²⁵
	Security total:	168.99		120.00	...	48.99	
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
06/01/20	500.000	114.94	01/16/20	409.75	...	-294.81	Sale ²⁵
	<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>						
	500.000	149.94	02/07/20	364.90	...	-214.96	1 of 2 - Sale ²⁵
	100.000	29.99	02/19/20	70.10	...	-40.11	2 of 2 - Sale ²⁵
06/05/20	600.000	179.93	Various	435.00	...	-255.07	Total of 2 transactions
	<i>8 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>						
	25.000	13.78	02/19/20	17.53	...	-3.75	1 of 8 - Sale ²⁵
	14.000	7.71	02/19/20	9.81	...	-2.10	2 of 8 - Sale ²⁵
	10.000	5.51	02/19/20	7.01	...	-1.50	3 of 8 - Sale ²⁵
	1.000	0.55	02/19/20	0.70	...	-0.15	4 of 8 - Sale ²⁵
	200.000	110.20	03/11/20	112.00	...	-1.80	5 of 8 - Sale ²⁵
	175.000	96.42	03/11/20	98.00	...	-1.58	6 of 8 - Sale ²⁵
	18.000	9.92	03/11/20	10.08	...	-0.16	7 of 8 - Sale ²⁵
	7.000	3.86	03/11/20	3.92	...	-0.06	8 of 8 - Sale ²⁵
09/10/20	450.000	247.95	Various	259.05	...	-11.10	Total of 8 transactions
	Security total:	542.82		1,103.80	...	-560.98	
PIVOTAL INVESTMENT CORPORATION II / CUSIP: 72582K100 / Symbol:							
	<i>3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	19.50	12/15/20	14.75	...	4.75	1 of 3 - Sale ²⁵
	5.000	92.65	12/15/20	73.75	...	18.90	2 of 3 - Sale ²⁵
	2.000	39.00	12/16/20	29.44	...	9.56	3 of 3 - Sale ²⁵
12/21/20	8.000	151.15	Various	117.94	...	33.21	Total of 3 transactions

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
07/07/20	19.000	178.84	06/24/20	136.80	...	42.04	Sale ²⁵
	2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	10.000	110.00	07/09/20	86.48	...	23.52	1 of 2 - Sale ²⁵
	2.000	22.00	07/31/20	15.30	...	6.70	2 of 2 - Sale ²⁵
08/06/20	12.000	132.00	Various	101.78	...	30.22	Total of 2 transactions
10/05/20	3.000	46.50	07/31/20	22.95	...	23.55	Sale ²⁵
	Security total:	357.34		261.53	...	95.81	
PREVAIL THERAPEUTICS INC. COMM ON STOCK / CUSIP: 74140Y101 / Symbol:							
	3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	5.000	115.50	05/26/20	96.08	...	19.42	1 of 3 - Sale ²⁵
	10.000	231.00	05/26/20	182.00	...	49.00	2 of 3 - Sale ²⁵
	5.000	114.81	08/11/20	68.90	...	45.91	3 of 3 - Sale ²⁵
12/15/20	20.000	461.31	Various	346.98	...	114.33	Total of 3 transactions
12/21/20	5.000	115.05	08/11/20	68.90	...	46.15	Sale ²⁵
	Security total:	576.36		415.88	...	160.48	
PULMONX CORPORATION COMMON STO CK / CUSIP: 745848101 / Symbol:							
11/27/20	2.000	104.96	11/24/20	102.30	...	2.66	Sale ²⁵
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	57.00	11/24/20	51.15	...	5.85	1 of 3 - Sale ²⁵
	1.000	57.00	11/25/20	50.80	...	6.20	2 of 3 - Sale ²⁵
	1.000	57.00	11/25/20	50.80	...	6.20	3 of 3 - Sale ²⁵
12/22/20	3.000	171.00	Various	152.75	...	18.25	Total of 3 transactions
	Security total:	275.96		255.05	...	20.91	
PULSE BIOSCIENCES, INC COMMON STOCK (DE) / CUSIP: 74587B101 / Symbol:							
12/14/20	15.000	303.30	12/02/20	273.38	...	29.92	Sale ²⁵
SAFEGUARD SCIENTIFICS, INC. / CUSIP: 786449207 / Symbol:							
06/02/20	100.000	699.97	05/04/20	675.00	...	24.97	Sale ²⁵
	3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	10.000	67.80	05/04/20	67.50	...	0.30	1 of 3 - Sale ²⁵
	7.000	47.46	05/06/20	44.10	...	3.36	2 of 3 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SAFEGUARD SCIENTIFICS, INC. / CUSIP: 786449207 / Symbol: (cont'd)							
08/11/20	13.000	88.14	05/06/20	81.90	...	6.24	3 of 3 - Sale ²⁵
	30.000	203.40	Various	193.50	...	9.90	Total of 3 transactions
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	14.000	88.48	05/06/20	88.20	...	0.28	1 of 3 - Sale ²⁵
	16.000	101.12	05/06/20	100.80	...	0.32	2 of 3 - Sale ²⁵
	20.000	126.40	05/13/20	119.00	...	7.40	3 of 3 - Sale ²⁵
08/24/20	50.000	316.00	Various	308.00	...	8.00	Total of 3 transactions
	3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	10.000	63.30	05/13/20	59.50	...	3.80	1 of 3 - Sale ²⁵
	20.000	126.60	05/13/20	119.00	...	7.60	2 of 3 - Sale ²⁵
	10.000	63.30	07/14/20	60.70	...	2.60	3 of 3 - Sale ²⁵
08/28/20	40.000	253.20	Various	239.20	...	14.00	Total of 3 transactions
	3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	10.000	63.50	07/14/20	60.70	...	2.80	1 of 3 - Sale ²⁵
	25.000	158.75	08/18/20	150.11	...	8.64	2 of 3 - Sale ²⁵
	5.000	31.75	08/20/20	29.92	...	1.83	3 of 3 - Sale ²⁵
09/04/20	40.000	254.00	Various	240.73	...	13.27	Total of 3 transactions
	4 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	20.000	125.80	08/20/20	119.68	...	6.12	1 of 4 - Sale ²⁵
	2.000	12.58	09/01/20	12.06	...	0.52	2 of 4 - Sale ²⁵
	11.000	69.08	09/01/20	66.32	...	2.76	3 of 4 - Sale ²⁵
	17.000	106.93	09/01/20	102.50	...	4.43	4 of 4 - Sale ²⁵
10/22/20	50.000	314.39	Various	300.56	...	13.83	Total of 4 transactions
	11 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	10.000	65.00	09/01/20	60.29	...	4.71	1 of 11 - Sale ²⁵
	1.000	6.50	09/09/20	5.81	...	0.69	2 of 11 - Sale ²⁵
	1.000	6.53	09/09/20	5.83	...	0.70	3 of 11 - Sale ²⁵
	1.000	6.53	09/09/20	5.81	...	0.72	4 of 11 - Sale ²⁵
	2.000	13.00	09/09/20	11.61	...	1.39	5 of 11 - Sale ²⁵
	4.000	26.12	09/09/20	23.32	...	2.80	6 of 11 - Sale ²⁵
	5.000	32.50	09/09/20	29.03	...	3.47	7 of 11 - Sale ²⁵
	6.000	39.18	09/09/20	34.83	...	4.35	8 of 11 - Sale ²⁵
	6.000	39.18	09/09/20	34.83	...	4.35	9 of 11 - Sale ²⁵

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Account 121134340

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2020 1099-B* OMB No. 1545-0715

(continued)

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SAFEGUARD SCIENTIFICS, INC. / CUSIP: 786449207 / Symbol: (cont'd)							
	7.000	45.50	09/09/20	40.64	...	4.86	10 of 11 - Sale ²⁵
	7.000	45.71	09/09/20	40.64	...	5.07	11 of 11 - Sale ²⁵
11/10/20	50.000	325.75	Various	292.64	...	33.11	Total of 11 transactions
11/23/20	25.000	164.00	09/21/20	135.00	...	29.00	Sale ²⁵
	Security total:	2,530.71		2,384.63	...	146.08	
SAGE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 78667J108 / Symbol:							
08/06/20	1.000	50.00	09/26/19	152.50	...	-102.50	Sale ²⁵
08/07/20	7.000	350.00	09/26/19	1,067.50	...	-717.50	Sale ²⁵
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	2.000	116.00	09/26/19	305.00	...	-189.00	1 of 2 - Sale ²⁵
	8.000	463.98	09/30/19	1,122.08	...	-658.10	2 of 2 - Sale ²⁵
09/11/20	10.000	579.98	Various	1,427.08	...	-847.10	Total of 2 transactions
	Security total:	979.98		2,647.08	...	-1,667.10	
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
05/27/20	75.000	374.99	04/15/20	239.99	...	135.00	Sale ²⁵
06/08/20	5.000	51.80	04/15/20	16.00	...	35.80	Sale ²⁵
08/13/20	30.000	273.15	04/15/20	96.00	...	177.15	Sale ²⁵
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	15.000	119.85	04/15/20	48.00	...	71.85	1 of 2 - Sale ²⁵
	15.000	119.85	08/17/20	119.85	...	0.00	2 of 2 - Sale ²⁵
08/20/20	30.000	239.70	Various	167.85	...	71.85	Total of 2 transactions
	2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	10.000	79.90	08/14/20	84.70	4.80 W	0.00	1 of 2 - Sale ²⁵
	10.000	79.90	08/14/20	84.70	4.80 W	0.00	2 of 2 - Sale ²⁵
08/20/20	20.000	159.80	Various	169.40	9.60 W	0.00	Total of 2 transactions
	3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	10.000	75.00	08/17/20	84.90	9.90 W	0.00	1 of 3 - Sale ²⁵
	10.000	75.00	08/17/20	84.90	9.90 W	0.00	2 of 3 - Sale ²⁵
	30.000	225.00	09/15/20	237.00	12.00 W	0.00	3 of 3 - Sale ²⁵
11/17/20	50.000	375.00	Various	406.80	31.80 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SASOL LIMITED / CUSIP: 803866300 / Symbol: (cont'd)							
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	14.000	106.82	09/15/20	110.60	...	-3.78	1 of 3 - Sale ²⁵
	6.000	45.78	09/15/20	47.40	...	-1.62	2 of 3 - Sale ²⁵
	10.000	76.30	10/13/20	69.60	...	6.70	3 of 3 - Sale ²⁵
11/23/20	30.000	228.90	Various	227.60	...	1.30	Total of 3 transactions
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	30.000	255.00	10/13/20	208.80	...	46.20	1 of 3 - Sale ²⁵
	9.000	76.50	10/14/20	60.75	...	15.75	2 of 3 - Sale ²⁵
	11.000	93.50	10/14/20	74.25	...	19.25	3 of 3 - Sale ²⁵
11/24/20	50.000	425.00	Various	343.80	...	81.20	Total of 3 transactions
	Security total:	2,128.34		1,667.44	41.40 W	502.30	
SHIFT4 PAYMENTS, INC. / CUSIP: 82452J109 / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	62.00	10/13/20	60.05	...	1.95	1 of 2 - Sale ²⁵
	4.000	248.00	10/14/20	232.56	...	15.44	2 of 2 - Sale ²⁵
11/09/20	5.000	310.00	Various	292.61	...	17.39	Total of 2 transactions
11/09/20	5.000	300.00	10/13/20	300.25	0.25 W	0.00	Sale ²⁵
4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	62.16	10/14/20	55.21	...	6.95	1 of 4 - Sale ²⁵
	2.000	124.32	10/14/20	110.32	...	14.00	2 of 4 - Sale ²⁵
	2.000	130.00	10/14/20	109.80	...	20.20	3 of 4 - Sale ²⁵
	3.000	195.00	10/14/20	165.48	...	29.52	4 of 4 - Sale ²⁵
11/24/20	8.000	511.48	Various	440.81	...	70.67	Total of 4 transactions
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	2.000	130.00	10/14/20	109.80	...	20.20	1 of 2 - Sale ²⁵
	2.000	130.00	11/19/20	110.60	...	19.40	2 of 2 - Sale ²⁵
12/09/20	4.000	260.00	Various	220.40	...	39.60	Total of 2 transactions
	Security total:	1,381.48		1,254.07	0.25 W	127.66	

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
06/02/20	50.000	425.00	05/21/20	361.80	...	63.20	Sale ²⁵
	3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	2.000	19.57	08/13/20	15.94	...	3.63	1 of 3 - Sale ²⁵
	3.000	29.35	08/13/20	25.50	...	3.85	2 of 3 - Sale ²⁵
	10.000	90.00	08/13/20	85.00	...	5.00	3 of 3 - Sale ²⁵
09/02/20	15.000	138.92	Various	126.44	...	12.48	Total of 3 transactions
	2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	1.000	11.00	08/13/20	7.97	...	3.03	1 of 2 - Sale ²⁵
	10.000	110.00	08/17/20	74.50	...	35.50	2 of 2 - Sale ²⁵
09/09/20	11.000	121.00	Various	82.47	...	38.53	Total of 2 transactions
10/21/20	10.000	120.00	08/25/20	72.68	...	47.32	Sale ²⁵
	Security total:	804.92		643.39	...	161.53	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
	2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	5.000	200.85	07/14/20	165.90	...	34.95	1 of 2 - Sale ²⁵
	1.000	40.17	07/30/20	31.00	...	9.17	2 of 2 - Sale ²⁵
10/21/20	6.000	241.02	Various	196.90	...	44.12	Total of 2 transactions
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
	3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	81.89	08/12/19	62.30	...	19.59	1 of 3 - Sale ²⁵
	1.000	81.90	08/12/19	62.30	...	19.60	2 of 3 - Sale ²⁵
	2.000	163.78	08/12/19	124.60	...	39.18	3 of 3 - Sale ²⁵
05/28/20	4.000	327.57	Various	249.20	...	78.37	Total of 3 transactions
	3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	105.18	08/12/19	62.30	...	42.88	1 of 3 - Sale ²⁵
	3.000	315.53	08/16/19	188.38	...	127.15	2 of 3 - Sale ²⁵
	4.000	420.72	09/09/19	240.00	...	180.72	3 of 3 - Sale ²⁵
06/26/20	8.000	841.43	Various	490.68	...	350.75	Total of 3 transactions

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Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	160.00	09/09/19	60.00	...	100.00	1 of 2 - Sale ²⁵
	1.000	160.00	07/14/20	115.00	...	45.00	2 of 2 - Sale ²⁵
08/27/20	2.000	320.00	Various	175.00	...	145.00	Total of 2 transactions
	Security total:	1,489.00		914.88	...	574.12	
STAFFING 360 SOLUTIONS, INC. C OMMON STOCK (DE) / CUSIP: 852387307 / Symbol:							
10/15/20	100.000	177.99	07/02/20	102.00	...	75.99	Sale ²⁵
10/22/20	40.000	52.00	08/11/20	35.20	...	16.80	Sale ²⁵
	Security total:	229.99		137.20	...	92.79	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
11/30/20	50.000	26.50	11/11/20	16.41	...	10.09	Sale ²⁵
SWITCHBACK ENERGY ACQUISITION CORPORATION / CUSIP: 87105M102 / Symbol:							
12/22/20	5.000	225.00	11/23/20	171.95	...	53.05	Sale ²⁵
TELLURIAN INC. COMMON STOCK / CUSIP: 87968A104 / Symbol:							
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	74.000	81.39	07/22/20	81.40	...	-0.01	1 of 3 - Sale ²⁵
	6.000	6.60	07/22/20	6.60	...	0.00	2 of 3 - Sale ²⁵
	20.000	22.00	07/22/20	22.00	...	0.00	3 of 3 - Sale ²⁵
11/10/20	100.000	109.99	Various	110.00	...	-0.01	Total of 3 transactions
11/11/20	50.000	62.56	07/31/20	45.19	...	17.37	Sale ²⁵
11/23/20	25.000	29.25	07/31/20	22.59	...	6.66	Sale ²⁵
12/02/20	25.000	38.38	07/31/20	22.59	...	15.79	Sale ²⁵
	Security total:	240.18		200.37	...	39.81	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/16/20	2.000	1,009.19	01/09/20	978.00	...	31.19	Sale ²⁵
11/16/20	1.000	455.00	08/28/20	443.00	...	12.00	Sale ²⁵
11/18/20	1.000	486.60	08/28/20	443.00	...	43.60	Sale ²⁵
12/17/20	0.800	507.98	08/28/20	354.40	...	153.58	Sale ²⁵
12/29/20	1.000	664.13	08/28/20	443.00	...	221.13	Sale ²⁵
	Security total:	3,122.90		2,661.40	...	461.50	

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Robinhood Securities LLC

Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	180.00	10/03/19	200.00	...	-20.00	1 of 2 - Sale ²⁵
	15.000	539.98	10/24/19	465.00	...	74.98	2 of 2 - Sale ²⁵
07/08/20	20.000	719.98	Various	665.00	...	54.98	Total of 2 transactions
08/06/20	7.000	258.65	03/13/20	190.46	...	68.19	Sale ²⁵
08/13/20	6.000	228.00	03/13/20	163.25	...	64.75	Sale ²⁵
09/23/20	4.000	180.00	03/13/20	108.83	...	71.17	Sale ²⁵
	Security total:	1,386.63		1,127.54	...	259.09	
VAREX IMAGING CORPORATION COMM ON STOCK / CUSIP: 92214X106 / Symbol:							
11/17/20	10.000	156.00	05/14/20	170.00	...	-14.00	Sale ²⁵
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
06/04/20	150.000	187.48	06/04/20	135.15	...	52.33	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
11/12/20	4.000	80.72	08/13/20	60.00	...	20.72	Sale ²⁵
<i>2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.22	08/13/20	15.00	...	6.22	1 of 2 - Sale ²⁵
	5.000	106.10	10/26/20	92.50	...	13.60	2 of 2 - Sale ²⁵
11/13/20	6.000	127.32	Various	107.50	...	19.82	Total of 2 transactions
	Security total:	208.04		167.50	...	40.54	
XUNLEI LIMITED AMERICAN DEPOSITARY RECEIPTS / CUSIP: 98419E108 / Symbol:							
12/01/20	20.000	66.00	11/30/20	57.36	...	8.64	Sale ²⁵
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U604 / Symbol:							
06/04/20	75.000	149.99	05/26/20	67.50	...	82.49	Sale ²⁵
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U703 / Symbol:							
<i>2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	33.76	05/26/20	21.60	...	12.16	1 of 2 - Sale ²⁵
	30.000	126.60	07/01/20	122.10	...	4.50	2 of 2 - Sale ²⁵
08/06/20	38.000	160.36	Various	143.70	...	16.66	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
09/21/20	2.000	885.98	09/01/20	870.00	...	15.98	Sale ²⁵
09/28/20	1.000	505.98	09/03/20	370.00	...	135.98	Sale ²⁵
11/20/20	1.000	430.00	11/09/20	422.92	...	7.08	Sale ²⁵
	Security total:	1,821.96		1,662.92	...	159.04	
KAIXIN AUTO HOLDINGS ORDINARY SHARE / CUSIP: G5223X100 / Symbol:							
11/25/20	6.000	51.19	11/20/20	35.28	...	15.91	Sale ²⁵
ITERUM THERAPEUTICS PLC ORDINARY SHARE / CUSIP: G6333L101 / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	50.000	45.00	11/24/20	40.25	...	4.75	1 of 2 - Sale ²⁵
	50.000	50.00	12/02/20	31.50	...	18.50	2 of 2 - Sale ²⁵
12/03/20	100.000	95.00	Various	71.75	...	23.25	Total of 2 transactions
TANTECH HOLDINGS LTD. COMMON STOCK / CUSIP: G8675X107 / Symbol:							
11/24/20	10.000	25.40	11/20/20	13.40	...	12.00	Sale ²⁵
11/25/20	15.000	30.15	11/20/20	20.10	...	10.05	Sale ²⁵
	Security total:	55.55		33.50	...	22.05	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
08/18/20	100.000	29.30	06/10/20	60.00	30.70 W	0.00	Sale ²⁵
INMODE LTD. ORDINARY SHARES / CUSIP: M5425M103 / Symbol:							
09/02/20	5.000	174.00	07/22/20	164.00	...	10.00	Sale ²⁵
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
5 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	4.000	5.40	06/08/20	22.60	17.20 W	0.00	1 of 5 - Sale ²⁵
	4.000	5.40	06/15/20	14.49	9.09 W	0.00	2 of 5 - Sale ²⁵
	4.000	5.40	07/07/20	11.00	5.60 W	0.00	3 of 5 - Sale ²⁵
	4.000	5.40	07/14/20	10.55	5.15 W	0.00	4 of 5 - Sale ²⁵
	9.000	12.15	08/11/20	14.66	2.51 W	0.00	5 of 5 - Sale ²⁵
08/20/20	25.000	33.75	Various	73.30	39.55 W	0.00	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol: (cont'd)							
8 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	3.000	4.00	08/11/20	17.82	...	-13.82	1 of 8 - Sale ²⁵
	4.000	5.34	08/11/20	15.65	...	-10.31	2 of 8 - Sale ²⁵
	3.000	4.01	08/11/20	9.12	...	-5.11	3 of 8 - Sale ²⁵
	1.000	1.33	08/11/20	5.93	...	-4.60	4 of 8 - Sale ²⁵
	4.000	5.34	09/08/20	9.31	...	-3.97	5 of 8 - Sale ²⁵
	1.000	1.33	09/08/20	2.44	...	-1.11	6 of 8 - Sale ²⁵
	9.000	12.01	09/08/20	11.87	...	0.14	7 of 8 - Sale ²⁵
	36.000	48.06	09/08/20	37.44	...	10.62	8 of 8 - Sale ²⁵
12/04/20	61.000	81.42	Various	109.58	...	-28.16	Total of 8 transactions
	Security total:	115.17		182.88	39.55 W	-28.16	
Totals :		81,387.10		76,422.86	2,738.53 W	7,702.77	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	4.950	157.46	07/09/20	195.97	...	-38.51	1 of 2 - Sale ²⁵
	0.050	1.59	07/09/20	1.85	...	-0.26	2 of 2 - Sale ²⁵
11/24/20	5.000	159.05	Various	197.82	...	-38.77	Total of 2 transactions
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	8.000	639.98	10/10/18	640.00	...	-0.02	1 of 2 - Sale ²⁵
	2.000	160.00	11/19/18	130.00	...	30.00	2 of 2 - Sale ²⁵
05/15/20	10.000	799.98	Various	770.00	...	29.98	Total of 2 transactions

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Account 121134340

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
<i>2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	81.89	11/19/18	65.00	...	16.89	1 of 2 - Sale ²⁵
	5.000	409.45	12/19/18	295.00	...	114.45	2 of 2 - Sale ²⁵
05/28/20	6.000	491.34	Various	360.00	...	131.34	Total of 2 transactions
	Security total:	1,291.32		1,130.00	...	161.32	
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
11/09/20	3.000	289.41	09/27/19	264.00	...	25.41	Sale ²⁵
Totals :		1,739.78		1,591.82		147.96	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 121134340

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHEVRON CORPORATION / CUSIP: 166764100 / Symbol:							
2 transactions for 10/12/20							
	0.238	17.58	07/14/20	18.83	...	-1.25	Gain or loss
	0.000	0.03	08/25/20	0.04	...	-0.01	Gain or loss
10/12/20	0.239	17.61	Various	18.87	...	-1.26	Total of 2 transactions
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
2 transactions for 06/18/20							
	0.050	1.05	12/17/19	2.39	...	-1.34	Gain or loss
	0.050	1.06	12/17/19	2.38	...	-1.32	Gain or loss
06/18/20	0.100	2.11	Various	4.77	...	-2.66	Total of 2 transactions
OCCIDENTAL PETROLEUM CORPORATION WARRANTS TO PURCHASE COMMON STOCK / CUSIP: 674599162 / Symbol:							
08/10/20	0.250	1.23	07/03/20	1.41	...	-0.18	Gain or loss
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U703 / Symbol:							
06/22/20	0.333	1.62	05/26/20	0.90	...	0.72	Gain or loss
Totals:		22.57		25.95	...	-3.38	

Robinhood Securities LLC

Account 121134340

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	1.03	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		07/27/20	0.05	Qualified dividend	
			10/26/20	0.15	Qualified dividend	
				0.20	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON S TOCK	594918104		06/11/20	2.04	Qualified dividend	
			09/10/20	2.05	Qualified dividend	
			12/10/20	2.25	Qualified dividend	
				6.34	Total Dividends & distributions	
NOBLE ENERGY INC. COMMON STOCK	655044105		08/24/20	0.04	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		12/29/20	0.16	Qualified dividend	
OCCIDENTAL PETROLEUM CORPORATI ON	674599105		07/15/20	0.10	Qualified dividend	
			10/15/20	0.20	Qualified dividend	
				0.30	Total Dividends & distributions	
STARBUCKS CORPORATION COMMON S TOCK	855244109		02/21/20	2.05	Qualified dividend	
			05/22/20	2.05	Qualified dividend	
			08/21/20	2.06	Qualified dividend	
			11/27/20	0.92	Qualified dividend	
				7.08	Total Dividends & distributions	
				15.15	Total Dividends & distributions	

Robinhood Securities LLC

Account 121134340

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/03/20	-1.95	Margin interest paid	
		02/03/20	-5.00	Margin interest paid	
		03/03/20	-5.00	Margin interest paid	
		03/03/20	-10.11	Margin interest paid	
		04/02/20	-13.27	Margin interest paid	
		05/04/20	-9.62	Margin interest paid	
		05/28/20	0.34	Adj- Margin interest paid	
		06/01/20	-10.22	Margin interest paid	
		07/01/20	-5.00	Margin interest paid	
		07/01/20	-8.33	Margin interest paid	
		07/14/20	0.49	Adj- Margin interest paid	
		07/31/20	-5.00	Margin interest paid	
		07/31/20	-10.23	Margin interest paid	
		08/31/20	-5.00	Margin interest paid	
		08/31/20	-9.57	Margin interest paid	
		09/29/20	-5.00	Margin interest paid	
		09/29/20	-7.45	Margin interest paid	
		10/29/20	-5.00	Margin interest paid	
		10/29/20	-9.51	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		11/30/20	-11.50	Margin interest paid	
		12/28/20	-5.00	Margin interest paid	
		12/28/20	-13.09	Margin interest paid	
			-159.02	Total Margin interest paid	
			-159.02	Total Margin interest paid	

Robinhood Securities LLC

Account 121134340

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
NOBLE ENERGY INC. COMMON STOCK	655044105	10/06/20	-2.00	0.00	Merger	
OASIS PETROLEUM INC. COMMON STOCK	674215108	11/20/20	-400.00	0.00	Merger	
OASIS PETROLEUM INC. WARRANTS	674215116	11/20/20	2.00	0.00	Merger	
OCCIDENTAL PETROLEUM CORPORATION WARRANTS TO PURCHASE COMMON STOCK	674599162	08/03/20	1.00	0.00	Stock spinoff	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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